Actions of the Board, Its Staff, and the Federal Reserve Banks; Applications and Reports Received

No. 41

Week Ending October 9, 2021
Personnel

Division of Financial Stability -- appointment of Adele Morris as senior adviser.
- Announced, October 8, 2021

Division of Supervision and Regulation -- appointment of Yaniv Gershon, Vice President in Supervision, Regulation, and Credit, Federal Reserve Bank of Boston, as lead of the newly established System Fintech Supervision Program.
- Announced, October 6, 2021

Enforcement

Pacific Premier Bank, Irvine, California -- issuance of a final decision and an order of prohibition requiring restitution against Mai Ly-Vu, a former institution-affiliated party.
- Approved, September 29, 2021

(A/C)

Pioneer Bank, Mapleton, Minnesota -- consent order of assessment of a civil money penalty pursuant to the National Flood Insurance Act.
- Announced, October 7, 2021
Bank Branches, Domestic

San Francisco

Commonwealth Business Bank, Los Angeles, California -- to establish a branch at 14370 Culver Drive, Irvine, California.
- Approved, October 5, 2021

Minneapolis

First State Bank Southwest, Pipestone, Minnesota -- to establish a branch at the northeast corner of the intersection of East 57th Street and South Graystone Avenue, Sioux Falls, South Dakota.
- Approved, October 8, 2021

Secretary

First Western Bank & Trust, Minot, North Dakota -- to establish a branch at 320 South Phillip Avenue, Sioux Falls, South Dakota.
- Approved, October 7, 2021

Dallas

Frost Bank, San Antonio, Texas -- to establish a branch at 2051 West Airport Freeway, Euless, Texas.
- Approved, October 6, 2021

San Francisco

Oak Valley Community Bank, Oakdale, California -- to establish a branch at 1478 Stone Point Drive, Roseville, California.
- Approved, October 6, 2021
Bank Holding Companies

New York


- Approved, October 7, 2021

Minneapolis

Dairyland Bank Holding Corporation, La Crosse, Wisconsin -- to retain voting shares of Farmers State Bank-Hillsboro, Hillsboro, Wisconsin.

- Approved, October 8, 2021

Kansas City


- Approved, October 5, 2021

Chicago


- Approved, October 6, 2021

St. Louis

Friendship Bancshares, Inc., Linn, Missouri -- to acquire voting shares of Grey Mountain Holdings, Inc., Fulton, Missouri, and thereby indirectly acquire Investors Community Bank, Chillicothe, Missouri.

- Approved, October 7, 2021
Bank Holding Companies

Kansas City

Grey Mountain Holdings, Inc., and GM Acquisition Sub, Inc., both of Fulton, Missouri -- to become bank holding companies by acquiring IFB Holdings, Inc., and thereby indirectly acquiring voting shares of Investors Community Bank, both of Chillicothe, Missouri.
- Approved, October 7, 2021

Richmond

MCNB Banks, Inc., Welch, West Virginia -- to engage in lending activities.
- Approved, October 7, 2021

Chicago

Old Second Bancorp, Inc., Aurora, Illinois -- to merge with West Suburban Bancorp, Inc., and thereby indirectly acquire West Suburban Bank, both of Lombard, Illinois.
- Approved, October 8, 2021

Secretary

Platte Valley Financial Services Companies, Inc. (PVFSC), Scottsbluff, Nebraska -- waiver of application to acquire Cache Bank & Trust (Cache Bank), Greeley, Colorado, in connection with the merger of Cache Bank with and into PVFSC’s subsidiary, Mountain Valley Bank, Walden, Colorado.
- Granted, October 8, 2021

St. Louis

Poplar Bluff Bancorp, Inc., Poplar Bluff, Missouri -- to become a bank holding company by acquiring First Missouri State Bank, Poplar Bluff, Missouri.
- Approved, October 5, 2021

(A/C) = Addition or Correction
Bank Mergers

Secretary

Mountain Valley Bank, Walden, Colorado -- to merge with Cache Bank & Trust (Cache Bank), Greeley, Colorado, and thereby establish branches at the locations of the main office and branch of Cache Bank.

- Approved, October 8, 2021

St. Louis

Peoples Bank & Trust Co., Troy, Missouri -- to merge with Bank of Louisiana, Louisiana, Missouri, and to retain the acquired facilities as branches.

- Approved, October 5, 2021

Change in Bank Control

Chicago

Community First Financial Corporation, Kokomo, Indiana -- Robert F. Hingst Kokomo, Indiana, along with Ann Hingst Vyas and Amit Vyas, Chicago, Illinois, to become members of the Hingst Family Control Group, a group acting in concert, to acquire additional voting shares of Community First Financial Corporation and thereby indirectly acquire voting shares of Community First Bank of Indiana, Kokomo, Indiana.

- Permitted, October 6, 2021

Minneapolis

Financial Services of Lowry, Inc. (FSLI), Lowry, Minnesota -- the Peter J. Nelson Trust (in formation), Kari A.M. Nelson, trustee, both of Glenwood, Minnesota, to join the Nelson-Martinson Family Shareholder Group, a group acting in concert, to acquire voting shares of FSLI and thereby indirectly retain voting shares of Lowry State Bank, Lowry, Minnesota, and First National Bank of Osakis, Osakis, Minnesota.

- Permitted, October 8, 2021
Change in Bank Control

Kansas City


- Permitted, October 5, 2021

Chicago

Fostoria Bankshares, Inc., Fostoria, Iowa -- the CAOS Family Irrevocable Trust, Teresa J. Simington and Bradley D. Simington, as co-trustees, and Bradley D. Simington, as an individual, all of Milford, Iowa, to form the CAOS Family Irrevocable Trust control group, a group acting in concert, to retain voting shares of Fostoria Bankshares, Inc., and thereby indirectly retain voting shares of Farm Savings Bank, Fostoria, Iowa.

- Permitted, October 8, 2021

Minneapolis

Minnesota Community Bancshares, Inc., Albert Lea, Minnesota -- Daniel Otten, Hayward, Minnesota, to retain voting shares of Minnesota Community Bancshares, Inc. (MCBI), and thereby indirectly retain voting shares of Arcadian Bank (Bank), Hartland, Minnesota. Additionally, Tony Kermes, Hayward, Minnesota, to acquire voting shares of MCBI and join the Otten Family Control Group, a group acting in concert, and thereby indirectly acquire voting shares of Bank.

- Permitted, October 5, 2021
Extensions of Time

Chicago

Nicolet Bankshares, Inc., Green Bay, Wisconsin -- extension to December 1, 2021, to merge with County Bancorp Inc. and thereby indirectly acquire Investors Community Bank, both of Manitowoc, Wisconsin.

- Granted, October 5, 2021

Financial Holding Companies

Atlanta

Commerce National Bankshares of Florida, Inc., Winter Park, Florida -- election to become a financial holding company.

- Effective, October 6, 2021

Kansas City

Employee Stock Ownership Plan Accounts Trust of the Security Bank KSOP & Trust, Laurel, Nebraska -- election to become a financial holding company.

- Effective, October 5, 2021

Regulations and Policies

Chair

Lending and Liquidity Facilities -- reports to Congress pursuant to section 13(3) of the Federal Reserve Act in response to COVID-19.

- Approved, October 7, 2021
Filer | Filing Type | Filing Proposal | End of Comment Period |
---|---|---|---|
15 BEACH MHC | * MHC Formation | 15 Beach MHC (in formation) and CFSB Bancorp, Inc. (in formation), both of Quincy, Massachusetts, to become a mutual holding company and a savings and loan holding company, respectively, by acquiring 100% of the voting shares of Colonial Federal Savings Bank, Quincy, Massachusetts following the conversion of Colonial Federal Savings Bank, Quincy, Massachusetts from a federal mutual savings bank to a federal stock savings bank, and for CFSB Bancorp Inc. to conduct a minority stock issuance. | Newspaper: Not available | Federal Register: 10/21/2021 |
| MHC Minority Stock Issuance | | | |
CITIZENS FINANCIAL GROUP, INC. | * 3A3 | Application by Citizens Financial Group, Inc. and its wholly-owned subsidiary bank, Citizens Bank N.A., both of Providence, Rhode Island to acquire Investors Bancorp, Inc. and its wholly-owned subsidiary bank, Investors Bank, both of Short Hills, New Jersey pursuant to section 3(a)(3) and 3(a)(5) of the Bank Holding Company Act of 1956, as amended, and section 225.15 of Regulation Y. | Newspaper: Not available | Federal Register: 10/21/2021 |
| * 3A5 | | | |
STATE STREET BANK AND TRUST COMPANY | * Branch | State Street Bank and Trust Company, Boston, Massachusetts, submitted a notice to open a branch office located at 185 Hudson Street, Suite 1150, Jersey City, New Jersey. | Newspaper: Not available | Federal Register: Not applicable |

* Subject to the provisions of the Community Reinvestment Act
Availability of CRA Public Evaluations

The Community Reinvestment Act is intended to encourage depository institutions to help meet the credit needs of the communities in which they operate, including low- and moderate-income neighborhoods. It was enacted by the Congress in 1977 (12 U.S.C. 2901) and is implemented by Regulation BB (12 CFR 228). The regulation was revised in May 1995.

The CRA requires that each depository institution's record in helping meet the credit needs of its entire community be evaluated periodically. That record is taken into account in considering an institution's application for deposit facilities.

A copy of an institution's CRA evaluation may be obtained directly from the institution or Reserve Bank.

Federal bank regulators use the following performance levels to rate an institution's performance under CRA:

- O = Outstanding
- S = Satisfactory
- NI = Needs to improve
- SN = Substantial noncompliance

The following state member banks have been examined and their CRA public evaluations are now available.

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| DIME COMMUNITY BANK         | * Branch    | Dime Community Bank, Bridgehampton, New York, to establish a branch office to be located at 60 East 42nd Street, New York, New York. | Newspaper: Not available  
|                             |             |                                                                                                      | Federal Register: Not applicable |
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| DOUBLE BOTTOMLINE CORP. | * SLHC      | Double Bottomline Corp., Caldwell, Ohio, to become a savings and loan holding company by acquiring 100 percent of Community Savings Bancorp, Inc., Caldwell, Ohio, and indirectly acquiring its thrift, Community Savings, Caldwell, Ohio. | Newspaper: 11/03/2021  
Federal Register: 11/15/2021 |
| VANGUARD GROUP, INC.  | CIC         | Change in Control notice submitted by The Vanguard Group, Inc., Malvern, PA, on behalf of itself, its subsidiaries and affiliates (together with The Vanguard Group, Inc., "Vanguard"), and the investment companies registered under the Investment Company Act of 1940, other pooled investment vehicles, and institutional accounts that are sponsored, managed, or advised by Vanguard (the "Vanguard funds"), for the Vanguard funds to increase the aggregate ownership position of the Vanguard funds to more than 15% of the common stock of First Commonwealth Financial Corporation, Indiana, Pennsylvania, parent of First Commonwealth Bank, Indiana, Pennsylvania. | Newspaper: 10/21/2021  
Federal Register: 10/21/2021 |
| VANGUARD GROUP, INC.  | CIC         | Change in Control notice submitted by The Vanguard Group, Inc., Malvern, PA, on behalf of itself, its subsidiaries and affiliates (together with The Vanguard Group, Inc., "Vanguard"), and the investment companies registered under the Investment Company Act of 1940, other pooled investment vehicles, and institutional accounts that are sponsored, managed, or advised by Vanguard (the "Vanguard funds"), for the Vanguard funds to increase the aggregate ownership position of the Vanguard funds to more than 15% of the common stock of PNC Financial Services Group, Inc., Pittsburgh, PA, parent company of PNC Bank, National Association, Pittsburgh, PA. | Newspaper: 10/23/2021  
Federal Register: 10/21/2021 |

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District: 5
Federal Reserve Bank of Richmond
Filings received during the week ending October 9, 2021

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<td>VANGUARD GROUP, INC.</td>
<td>CIC</td>
<td>The Vanguard Group, Inc., Malvern, Pennsylvania; on behalf of itself, its subsidiaries and affiliates, including investment companies registered under the Investment Company Act of 1940, other pooled investment vehicles, and institutional accounts that are sponsored, managed, or advised by Vanguard; to acquire voting shares of United Community Banks, Inc., Blairsville, Georgia, and thereby indirectly acquire voting shares of United Community Bank, of Greenville, South Carolina</td>
<td>Federal Register: 10/25/2021</td>
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| CIB MARINE BANCSHARES, INC.                | CIC         | Stilwell Activist Investments, L.P, Stilwell Activist Fund, L.P., and Stilwell Value Partners VII, L.P., known as The Stilwell Group, all of New York, New York; Stilwell Value LLC, New York, New York, the general partner of each of the limited partnerships; Joseph D. Stilwell, San Juan, Puerto Rico, managing member of Stilwell Value LLC, a group acting in concert, to acquire up to 14.99 percent of voting shares of CIB Marine Bancshares, Inc., Brookfield, Wisconsin, and thereby indirectly acquire voting shares of CIBM Bank, Champaign, Illinois. | Newspaper: 10/07/2021  
Federal Register: 10/12/2021 |
| COMMUNITY BANCSHARES OF WISCONSIN, INC.    | CIC         | The Foy 2021 Spousal Trust, Milwaukee, Wisconsin, Lori J. Foy, Cedarburg, Wisconsin, and Peter J. Wilder, Pewaukee, Wisconsin, as co-trustees; and the Foy Dynasty Trust, Milwaukee, Wisconsin, Peter J. Wilder, Pewaukee, Wisconsin, as trustee, to join the Foy/Lukas Family Control Group, a group acting in concert, and acquire voting shares of Community Bancshares of Wisconsin, Inc., and thereby indirectly acquire voting shares of Cornerstone Community Bank, both of Grafton, Wisconsin. | Newspaper: Not available  
Federal Register: Not available |
| FIRST LENA CORPORATION                     | CIC         | Dean A. Holmes General Trust, Dean Holmes as trustee, Lena, Illinois, Arlene E. Holmes General Trust, Arlene E. Holmes as trustee, Lena, Illinois, Neil Holmes, Lena, Illinois, Craig Holmes, Pearl City, Illinois, David Holmes, Erie, Pennsylvania, Kevin Holmes, Mesa, Arizona, and Kay Overson, San Diego, California, as a group acting in concert, to form the Holmes family control group, and to retain 10 percent or more of First Lena Corporation, Lena, Illinois, and thereby indirectly retain voting shares of Citizens State Bank, Lena, Illinois. | Newspaper: Not available  
Federal Register: Not available |
Federal Register: 10/14/2021 |

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| RESOURCE BANCSHARES, INC.                 | CIC         | The 2021 Katz Dynasty Trust, Milwaukee, Wisconsin, Peter J. Wilder, Pewaukee, Wisconsin, as trustee, to join the Katz Family Control Group to acquire voting shares of Resource Bancshares, Inc., and thereby indirectly acquire voting shares of Resource Bank, National Association, both of DeKalb, Illinois.                                                                 | Newspaper: Not available  
Federal Register: Not available |
| SHANNON BANCORP, INC.                     | CIC         | Robin Saar, individually, Shannon, Illinois, together with Cassandre Rae Mlakar, Lake Carrol, Illinois, and Candace Schubert, Shannon, Illinois, to form the Saar Family Control Group, to retain 25 percent or more of the voting shares of Shannon Bancorp, Inc., and thereby indirectly control First State Bank, both of Shannon, Illinois. | Newspaper: Not available  
Federal Register: 10/22/2021 |
| VAN DIEST INVESTMENT COMPANY             | * 3A3       | Van Diest Investment Company, Webster City, Iowa to acquire NorthStar Bank, Estherville, Iowa                                                                                                                                                                                                                                                                                                           | Newspaper: Not available  
Federal Register: Not available |

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**District: 8**  
Federal Reserve Bank of St. Louis  
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<td>COMMUNITY BANK, LEXINGTON, TENNESSEE</td>
<td>* 18C</td>
<td>Community Bank, Lexington, Tennessee to purchase certain assets and assume certain liabilities of one branch of Decatur County Bank, Decaturville, Tennessee, and to retain the acquired facility as a branch office.</td>
<td>11/10/2021</td>
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<td>* Branch</td>
<td>First State Bank, Russellville, Arkansas, to establish a branch facility to be located at 3654 Investment Drive, Fayetteville, Arkansas.</td>
<td>10/18/2021</td>
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<td>FIRST STATE BANK</td>
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District: 9
Federal Reserve Bank of Minneapolis
Filings received during the week ending October 9, 2021

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| FISHBACK FINANCIAL CORPORATION | CIC         | The Barbara B. Fishback Revocable FFC Holdings Trust U/A dated 3/18/19, Barbara B. Fishback and Van D. Fishback, as co-trustees, all of Brookings, South Dakota; to join the Fishback family shareholder group, a group acting in concert; and to retain voting shares of Fishback Financial Corporation, Brookings, South Dakota, and thereby indirectly retain voting shares of First Bank & Trust, Brookings, South Dakota. | Newspaper: Not available  
Federal Register: Not available |
| FRANDSEN FINANCIAL CORPORATION | * 3A3       | Frandsen Financial Corporation, Arden Hills, Minnesota, to acquire Bank of Zumbrota, Zumbrota, Minnesota, and Pine Island Bank, Pine Island, Minnesota.                                                                 | Newspaper: 10/29/2021  
Federal Register: 10/28/2021 |
| U.S. BANCORP                  | * 3A3       | U.S. Bancorp, Minneapolis, Minnesota, to acquire all of the issued and outstanding shares of common stock of MUFG Union Bank, National Association, San Francisco, California, a direct wholly-owned national bank subsidiary of MUFG Americas Holdings Corporation, New York, New York, and an indirect subsidiary of Mitsubishi UFJ Financial Group, Inc., Tokyo, Japan. | Newspaper: Not available  
Federal Register: Not available |

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<tr>
<td>COMMUNITY BANCSHARES, INC.</td>
<td>* 3A3</td>
<td>Community Bancshares, Inc., Seneca, Kansas, to indirectly acquire VisionBank, through the merger of its newly formed subsidiary, CBI Acquisition Corporation, Seneca, Kansas, into BOTS, Inc., parent of VisionBank, both of Topeka, Kansas.</td>
<td>Newspaper: Not available  Federal Register: Not available</td>
</tr>
<tr>
<td>FIRST STATE FREMONT, INC.</td>
<td>* 3A3</td>
<td>First State Fremont, Inc., Fremont, Nebraska, to acquire Two Rivers Bank, Blair, Nebraska.</td>
<td>Newspaper: Not available  Federal Register: 11/05/2021</td>
</tr>
<tr>
<td>GARDEN PLAIN BANCSHARES, INC.</td>
<td>CIC</td>
<td>Notice submitted by Charlotte Walden, as trustee of the George D. Walden Family Trust, both of Garden Plain, Kansas, and members of the Walden Family Group, a group acting in concert, to retain voting shares of Garden Plain Bancshares, Inc., Wichita, Kansas (the company), and thereby indirectly acquire voting shares of Garden Plain State Bank, Wichita, Kansas. In addition, Tyler Walden, Wichita, Kansas; Mary Conley, Savage, Minnesota; and the Kelli Walden Ventling Revocable Trust, Kelli Walden Ventling, trustee, Bluffton, South Carolina, to retain voting shares of the company and to join the Walden Family Group.</td>
<td>Newspaper: Not available  Federal Register: 10/22/2021</td>
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<tr>
<td>NEBRASKA BANKSHARES, INC.</td>
<td>CIC</td>
<td>Leslie Vezner, Lewisville, Texas; to retain voting shares of Nebraska Bankshares, Inc., and thereby indirectly retain voting shares of First State Bank, both of Farnam, Nebraska.</td>
<td>Newspaper: Not available  Federal Register: Not available</td>
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<tr>
<td>PLAINS BANCSHARES, INC.</td>
<td>CIC</td>
<td>Mary Beth Woods, Plains, Kansas, to retain voting shares of Plains Bancshares, Inc., and indirectly retain voting shares of The Plains State Bank, both of Plains, Kansas. Additionally, Walker Clawson; Gatlin Clawson; Korben Clawson; and the LAC Clawson Irrevocable Trust, David Clawson and Daniel Clawson, co-trustees; all of Plains, Kansas; and Abigail Giles; Betsy Giles; Matthew Giles; and Josiah Giles; all of Byers, Kansas; to join the Clawson Family Group, a group acting in concert, to retain voting shares of Plains Bancshares, Inc., and indirectly retain voting shares of The Plains State Bank.</td>
<td>Newspaper: Not available  Federal Register: 10/19/2021</td>
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<td>UNION STATE BANK</td>
<td>* 18C</td>
<td>Union State Bank, Clay Center, Kansas, to merge with Bank of Palmer, Palmer, Kansas, and incident thereto, to establish branches at 104 E. 2nd Street, Palmer, Kansas, and 11 S. Center Street, Barnes, Kansas.</td>
<td>Newspaper: 10/30/2021  Federal Register: Not applicable</td>
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| CENTRAL BANCSHARES, INC.                | CIC         | Prior notice by the John H. Young 2020 Trust, Houston, Texas (Edward E. Hartline and Gus K. Eifler as co-trustees) to acquire more than 25 percent of the shares of Central Bancshares, Inc., Houston Texas, and indirectly Central Bank, Houston, Texas | Newspaper: Not available  
Federal Register: Not available |
| JACKSBORO NATIONAL BANCSHARES, INC.     | CIC         | Craig Anderle, Windthorst, Texas; Bryan Bumpas, Jacksboro, Texas; Margaret Sue Cherryhomes, Jacksboro, Texas; James Cook, San Antonio, Texas; Jerry Craft, Jacksboro, Texas; Sue Craft McMahan, Austin, Texas; the Sue Craft McMahan Trust, Austin, Texas, Sue Craft McMahan trustee; the Clint Creighton Craft Trust, Celina, Texas, Clint Creighton Craft trustee; the Jay David Craft Trust, Christiansed, Virgin Islands, Jay David Craft trustee; Malinda R. Crumley, Fort Worth, Texas; the Davis Revocable Trust, La Vernia, Texas, Danna Ritter trustee; Jerry Graybill, Jacksboro, Texas; Dayna Geer Gunter, Azle, Texas; Stella Jeanette McClure Matthews, Medford, Oregon; Alan Miller, Bowie, Texas; Kay R. Murphey, Fort Worth, Texas; Malinda Murphey Cowan, Fort Worth, Texas; James Rhodes Murphey, Willow Park, Texas; Emily Loomis Murphey, Willow Park, Texas; Debbie J. Reaves, Jacksboro, Texas; Karen Buckley Rumage, Jacksboro, Texas; William W. Rumage, Gunter, Texas; Jennifer Louise Stayton, Murphy, Texas; Stephen Stamper, Wichita Falls, Texas; Willis G. Stamper, Jr., Frisco, Texas; Charles Tyson, Bellevue, Texas; Paula Williams, Jacksboro, Texas; Mallory Tolleson, Jacksboro, Texas; and the Amended and Restated Voting Trust Agreement dated as of September 23, 2019, C. Blain Rumage, individually and as trustee; both of Jacksboro, Texas; to join Edwin C. Rumage, previously cleared, to become members of the Voting Trust Control Group, a group acting in concert to retain voting shares of Jacksboro National Bancshares, Inc., and thereby indirectly, Jacksboro National Bank, both of Jacksboro, Texas. | Newspaper: Not available  
Federal Register: Not available |

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<td>LOUISE BANCSHARES, INC.</td>
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<td>Prior notice under the Change in Bank Control Act by Rita Hancock, El Campo, Texas, individually and as trustee of the John W. Hancock, Jr. SB Trust, to acquire greater than 10 percent of Louise, Bancshares, Inc., Louise, Texas, and indirectly, The First State Bank, Louise, Texas, and Dilley State Bank, Dilley, Texas.</td>
<td>Newspaper: 10/12/2021</td>
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<tr>
<td>SOUTH PLAINS FINANCIAL, INC.</td>
<td>CIC</td>
<td>Notice by Curtis C. Griffith, Lubbock, Texas, to retain control of South Plains Financial, Inc., Lubbock, Texas (&quot;Company&quot;), and therefore City Bank, Lubbock, Texas (&quot;Bank&quot;). Additionally, Cynthia Ann Griffith; the Curtis Clay Griffith 2021 Irrevocable Trust, Cynthia Ann Griffith, trustee; the Richard Thomas White 2021 Trust, Curtis C. Griffith, trustee; the Birdie Lucille White 2021 Trust, Curtis C. Griffith, trustee; the William Hogan White 2021 Trust, Curtis C. Griffith, trustee; the Sydney Suzanne Griffith 2021 Trust, Curtis C. Griffith, trustee; and the Johnathan Brockway Griffith 2021 Trust, Curtis C. Griffith, trustee, each of Lubbock, Texas, intends to apply to the Federal Reserve Board for approval as a member of the Griffith Family Control Group which, acting in concert, will control 10 percent or more of the shares of the Company and indirectly the Bank.</td>
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| BAWAG P.S.K. BANK FUR ARBAN UND WIRTSCHAFT UND OSTERREICHISCHE POSTSPARKASSE AKTIENGESELLSCHAFT | FBSEA       | BAWAG P.S.K. Bank Fur Arbeite und Wirtschaft und Osterreichische Postsparkasse Aktiengesellschaft, Wien, Austria, to establish a representative office at 521 Boccaccio Avenue, Venice, California, pursuant to section 10(a) of the International Banking Act. | Newspaper: Not available  
Federal Register: Not applicable |
| PACIFIC VALLEY BANCORP * 3A1                                        |             | Pacific Valley Bancorp to become a bank holding company by acquiring 100 percent of Pacific Valley Bank, both of Salinas, California.                                                                         | Newspaper: Not available  
Federal Register: Not available |
| SILICON VALLEY BANK PWI                                            |             | Silicon Valley Bank, Santa Clara, California, requests prior approval to make a $29 million public welfare investment in Red Stone Equity 2021 CA Regional Fund, L.P. | Newspaper: Not applicable  
Federal Register: Not applicable |
| VANGUARD GROUP, INC. CIC                                            |             | Notification submitted by The Vanguard Group, Inc., Malvern, Pennsylvania, on behalf of itself, its subsidiaries and affiliates (together with The Vanguard Group, Inc., "Vanguard"), and the investment companies registered under the Investment Company Act of 1940, other pooled investment vehicles, and institutional accounts that are sponsored, managed, or advised by Vanguard (the "Vanguard funds"), for the Vanguard funds to increase the aggregate ownership position of the Vanguard funds to more than 15 percent of the common stock of First American Financial Corporation, parent of First American Trust, FSB, both of Santa Ana, California. | Newspaper: 10/21/2021  
Federal Register: 10/22/2021 |
| VANGUARD GROUP, INC. CIC                                            |             | Notification submitted by The Vanguard Group, Inc., Malvern, Pennsylvania, on behalf of itself, its subsidiaries and affiliates (together with The Vanguard Group, Inc., "Vanguard"), and the investment companies registered under the Investment Company Act of 1940, other pooled investment vehicles, and institutional accounts that are sponsored, managed, or advised by Vanguard (the "Vanguard funds"), for the Vanguard funds to increase the aggregate ownership position of the Vanguard funds to more than 15 percent of the common stock of First Hawaiian, Inc., parent of First Hawaiian Bank, both of Honolulu, Hawaii. | Newspaper: 10/21/2021  
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