

**G.16**

**BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM  
Washington, D. C. 20551**

**Summary of Equity Security Transactions and Ownership of Directors,  
Officers, and Principal Stockholders of Member State Banks  
as Reported Pursuant to Section 16(a) of the  
Securities Exchange Act of 1934**

**December 1973**

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank. Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest. Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

## GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

### RELATIONSHIP

- "O" - Officer
- "D" - Director or person performing similar functions
- "B" - Beneficial owner of more than 10 per cent of any registered equity security of the bank
- "V" - Voting trustee
- "T" - Trustee
- "M" - Member of advisory board or honorary director

### CHARACTER OF TRANSACTION

- No symbol - Purchase or Sale
- "B" - Bequest or Inheritance
- "C" - Compensation
- "D" - Distribution
- "E" - Exchange or Conversion
- "F" - Exercise of rights
- "G" - Gift
- "S" - Stock dividend or stock split
- "X" - Exercise of option

### NATURE OF OWNERSHIP

- No symbol - Securities are beneficially owned directly.
- "1" - Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" - Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" - Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

### MONTH-END OWNERSHIP

- "PR" - Holdings as previously reported.

**TRANSACTIONS AND MONTH-END OWNERSHIP**

Reports included in this summary were filed with the Board of Governors of the  
Federal Reserve System during the period from

December 11, 1973 to January 10, 1973

**IMPORTANT - See Guide to Symbols on preceding page**

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>BANK OF SMITHTOWN (New York)</u>						
Frank Radau	OD	11-12-73	2	107		11,342 288
<u>THE CLEVELAND TRUST COMPANY (Ohio)</u>						
George F. Karch	M	12- 5-73	3	138		1,250 60
<u>COMMERCIAL TRUST COMPANY OF NEW JERSEY (Jersey City)</u>						
Alfred H. Hauser	D	11-27-73	2	1,700	3,700	3,037 6,019
George Neupauer	D	12-13-73	2 3	110	110	6,169 837 6,722
Horace K. Roberson	D	11-30-73		100		1,500
<u>THE DOLLAR SAVINGS AND TRUST COMPANY (Youngstown, Ohio)</u>						
William J. Brown	D	4- 6-73	3	80		725 805
<u>HEMPSTEAD BANK (Garden City, N.Y.)</u>						
*Robert E. Scheuing	OD	1- 9-73 1-12-73 4-27-73	2 2 2	110  206	200	1,486  1,148

\*Amended report.

BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>HEMPSTEAD BANK (Con't)</u>						
William H. VanTuyl	D	12-28-73		100		120
<u>THE LORAIN COUNTY SAVINGS &amp; TRUST CO. (Elyria, Ohio)</u>						
E. J. Fauver	OD	11-10-73 11-30-73		61	61	1,650 599
R. W. Vandemark	D	12-28-73	2	55		
			2	300		1,000 500
<u>MAIN BANK AND TRUST (San Antonio, Texas)</u>						
Reginald Roberts	D	12-19-73			3,148	3,885 3,884
			2	2,784		
<u>MANCHESTER STATE BANK (Connecticut)</u>						
Anthony Dzen	D	12-27-73		100		1,990
<u>MERCHANTS BANK OF NEW YORK (New York City)</u>						
Abe Gellis	D	12-27-73		188 49		3,970 1,045
			2			
Arnold Markel	OD	5-27-73		1,150		
		5-29-73			200	
		6-20-73			200	
		7-17-73			1,000	
		7-24-73			1,000	
		9-13-73			200	
		12-28-73		150		3,160
		5-27-73	2		3,000	
		12-28-73	2	2,035		42,769
			2			4,414
Andrew Ziegeler	OD	12-28-73		78		1,838
			2	21		263

BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>NASSAU TRUST COMPANY (Glen Cove, New York)</u>						
Benjamin Shapiro	D	10-26-73	3	1,000 1,000	2,000	19,878 26,633
<u>PIEDMONT TRUST BANK (Martinsville, Virginia)</u>						
Thomas W. Turner	O	11- 3-73	2		100	65 66
<u>THE STATE BANK OF NORTH JERSEY (Pine Brook)</u>						
Albert J. O'Dowd	D	11-19-71 12- 5-72 12- 5-73	2		700 350 320	3,780 1,722
David Young, IV	D	12-14-73			116	4,799
<u>TEXAS BANK &amp; TRUST COMPANY (Dallas)</u>						
Charles G. Young, Jr.	OD	11-20-73			300	1,848
<u>TRUST COMPANY OF GEORGIA (Atlanta)</u>						
Sartain Lanier	D	12-31-73			200	3,360
<u>UNITED STATES TRUST COMPANY OF NEW YORK (New York City)</u>						
Bonus Plan	T	12- 6-73		1,115		53,151
Samuel C. Park, Jr.	T	12- 6-73 12-20-73	2	80 20		1,500 600