

**G.16**

**BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM  
Washington, D. C. 20551**

**Summary of Equity Security Transactions and Ownership of Directors,  
Officers, and Principal Stockholders of Member State Banks  
as Reported Pursuant to Section 16(a) of the  
Securities Exchange Act of 1934**

**October 1972**

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank. Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest. Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

## GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

### RELATIONSHIP

- "O" - Officer
- "D" - Director or person performing similar functions
- "B" - Beneficial owner of more than 10 per cent of any registered equity security of the bank
- "V" - Voting trustee
- "T" - Trustee
- "M" - Member of advisory board or honorary director

### CHARACTER OF TRANSACTION

- No symbol - Purchase or Sale
- "B" - Bequest or Inheritance
- "C" - Compensation
- "D" - Distribution
- "E" - Exchange or Conversion
- "F" - Exercise of rights
- "G" - Gift
- "S" - Stock dividend or stock split
- "X" - Exercise of option

### NATURE OF OWNERSHIP

- No symbol - Securities are beneficially owned directly.
- "1" - Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" - Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" - Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

### MONTH-END OWNERSHIP

- "PR" - Holdings as previously reported.

# TRANSACTIONS AND MONTH-END OWNERSHIP

Reports included in this summary were filed with the Board of Governors of the Federal Reserve System during the period from

October 11, 1972 to November 10, 1972

IMPORTANT - See Guide to Symbols on preceding page

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>ANN ARBOR BANK (Michigan)</u>						
Joseph H. Buhr	D	8-18-72	2		270	386 640
<u>BANK OF THE COMMONWEALTH (Detroit, Michigan)</u>						
The Chase Manhattan Bank, N.A. \$5.82 preferred stock Warrants	B	9-19-72			1,780,435 65,000 195,000	-0- 100,000* -0-
* transferable right to acquire						
<u>BANK OF DELAWARE (Wilmington)</u>						
James H. Dawson	OD	9-29-72		100		600
Ira T. Ellis	D	9-14-72	3	100		736 408
Charles Lee Reese, Jr.	D	8- 8-72	2		237	604 -0-
<u>THE BANK OF NEW JERSEY (Camden)</u>						
W. Robert Davis	OD	10-31-72	2	100		2,000 100
<u>CENTRAL BANK (Monroe, Louisiana)</u>						
Walter W. Kellogg	D	9- 1-72	2		644	134 -0-

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				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
CITIZENS FIDELITY BANK AND TRUST COMPANY (Louisville, Ky.)						
William H. Abell	D	9-18-72	2	1,025 9,393		2,050 18,786
Robert W. Adams	O	9-18-72 3-10-72 9-18-72	2 2 2	50 50 50		100  100
A. M. Brinkley, Jr.	D	9-18-72		1,167		2,334
Thomas W. Bullitt	D	9-18-72	2	6,302 6,422		12,604 12,844
Almond Cooke	D	9-18-72		2,365		4,730
John J. Cross, Jr.	O	9-18-72		225		450
Richard W. Denton	O	9-18-72		200		400
Joseph E. Edens	D	9-18-72		250		500
George E. Egger	D	9-18-72	2	1,100 354		2,200 708
Joe L. Hamilton	O	9-18-72 9- 1-72 9-18-72	2 2 2	23 4 177		46  354
Henry V. Heuser	D	9-18-72	2	649 1,573		1,298 3,146
F. J. Johnson	D	9-18-72		418		836
Maurice D. S. Johnson	OD	9-18-72		600		1,200
Charles J. Kane	O	9-18-72		418		836
William H. Kendall	D	9-18-72		500		1,000
Dinwiddie Lampton, Jr.	D	9-18-72	2	250 53,680		500 107,360

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<u>CITIZENS FIDELITY BANK &amp; TRUST COMPANY (Con't)</u>						
F. E. MacDonald	O	9-18-72		100		200
T. Ballard Morton, Jr.	D	9-18-72		417		834
John C. Nichols, II	O	9-18-72	2	250 113		500 226
J. A. Paradis	D	9-18-72		250		
		10- 3-72			250	250
		9-18-72	2	1,400		
		10- 3-72	2	250		3,050
Willis J. Price	D	9-18-72		250		500
P. Booker Robinson	D	9-18-72		418		
		10- 3-72	2	100	100	736 100
William C. Smith	D	9-18-72		625		1,250
Frank B. Thompson, Jr.	D	9-18-72		418		836
John W. Tyler	O	9-18-72		100		200
William H. Veeneman, Jr.	D	9-18-72		585		1,170
Kenneth H. Williams	D	9-18-72		417		834
Houston F. Zettel	O	9-18-72	2	50 28		100 56
<u>COMMERCIAL TRUST COMPANY OF NEW JERSEY (Jersey City)</u>						
Michael A. Cocca	O	9-29-72		80		480
Irving C. Johnson	D	9-19-72		100		850
Aaron Last	D	9-12-72		500		19,500

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<b>CORPUS CHRISTI BANK &amp; TRUST (Texas)</b>						
H. L. Eichelberger	D	9-14-72	2	1,811	1,811	12,354 13,080 2,128 \$76,000
Warrants Debentures						
Arnold O. Morgan	D	9- 8-72	2	10,000	10,000	19,575 10,000 1,400 \$50,000
Warrants Debentures						
John E. Smothers	D	9-21-72		190		13,620
<b>THE DETROIT BANK AND TRUST COMPANY (Michigan)</b>						
Walker L. Cisler	D	8-30-72	2		100	300 162
Roblee B. Martin	D	6-23-72	2	309	309	100 309
<b>EWING BANK AND TRUST COMPANY (Trenton, New Jersey)</b>						
Robert David Carr	OD	10-23-72	2	1,066 134		50,000 1,200
Edward J. McManimon, Jr.	D	10-18-72		235		1,450
<b>THE FIFTH THIRD BANK (Cincinnati, Ohio)</b>						
William S. Rowe	OD	11- 2-72	2	6,000		17,270 1,500
<b>GENESEE MERCHANTS BANK &amp; TRUST CO. (Flint, Michigan)</b>						
Russell S. Bishop, III	D	9-22-72	2	2,435	7,303	7,768 -0-

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<u>HOUSTON CITIZENS BANK &amp; TRUST COMPANY (Texas)</u>						
Marion L. Martin	D	8-25-72		100		1,152
Forrest S. Warren	D	8- 4-72			150	5,015
<u>THE LOUISVILLE TRUST COMPANY (Louisville, Kentucky)</u>						
Herbert F. Boehl	D	9- 5-72 9-11-72		31 140		30,187
<u>MAIN BANK AND TRUST (San Antonio, Texas)</u>						
Samuel M. Jorrie	D	10-16-72	2 2	1,659	7,136	2,166 2,659
<u>MOUNTAIN TRUST BANK (Roanoke, Virginia)</u>						
David H. Burrows	D	10-17-72	2	90		110 276
<u>THE OHIO CITIZENS TRUST COMPANY (Toledo)</u>						
Kenneth G. Herrick	D	10- 5-72	2	100	100	50 251
Marvin H. Rorick, Jr.	O	9-28-72	2	875	875	3,374 1,208
<u>PEOPLES BANK &amp; TRUST CO. (Montgomery, Alabama)</u>						
J. Hunter Flack, Sr.	D	10-18-72	2	275		775 9,020
Edward L. Lowder	D	10- 3-72			3,200	12,485
Edward H. Wilson	D	11- 6-72	2	100		325 925



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<u>THE PEOPLES-MERCHANTS TRUST CO (Canton, Ohio)</u>						
Robert L. Joliet	OD	10- 6-72	2	100		2,646 100
<u>THE SAVINGS &amp; TRUST COMPANY OF INDIANA (Pennsylvania)</u>						
H. Vernon Fritchman	D	9-19-72		90		400
<u>THE SCHENECTADY TRUST COMPANY (New York)</u>						
Robert J. Anderson	D	9-25-72			200	-0-
William H. Milton, III	OD	10-18-72	3	100	100	225 972
<u>SEARS BANK AND TRUST COMPANY (Chicago, Illinois)</u>						
Richard M. Pollack	O	10- 4-72	2		100	153 74
Stanley H. Richards	O	10-24-72			200	50 450
<u>TOMPKINS COUNTY TRUST COMPANY (Ithaca, New York)</u>						
C. E. Treman, Jr.	OD	9-29-72	2	100		2,175 1,824
<u>THE UNION SAVINGS &amp; TRUST COMPANY (Warren, Ohio)</u>						
Howard E. Kaighin	D	10-13-72	3	142		1,518 274
<u>UNITED COUNTIES TRUST COMPANY (Elizabeth, New Jersey)</u>						
Robert J. Bauer	D	9-18-72	2	200		17,870 32,894

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<u>UNITED STATES TRUST COMPANY OF NEW YORK (New York City)</u>						
Frederick K. Trask, Jr.	T	6-23-72	3	400 100		1,000 500
Bonus Plan	T	9-22-72 9-28-72 10-24-72 10-25-72 10-31-72		1,500	28 26 31 75	33,984
<u>VALLEY BANK OF NEVADA (Las Vegas)</u>						
E. Parry Thomas	OD	9-25-72	2	150		122,655 30
<u>WHEELING DOLLAR SAVINGS &amp; TRUST CO. (West Virginia)</u>						
Gilbert S. Bachmann	D	10- 9-72	2	50		350 100