

**G.16**

**BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM  
Washington, D. C. 20551**

**Summary of Equity Security Transactions and Ownership of Directors,  
Officers, and Principal Stockholders of Member State Banks  
as Reported Pursuant to Section 16(a) of the  
Securities Exchange Act of 1934**

**January 1972**

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank. Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest. Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

## GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

### RELATIONSHIP

- "O" - Officer
- "D" - Director or person performing similar functions
- "B" - Beneficial owner of more than 10 per cent of any registered equity security of the bank
- "V" - Voting trustee
- "T" - Trustee
- "M" - Member of advisory board or honorary director

### CHARACTER OF TRANSACTION

- No symbol - Purchase or Sale
- "B" - Bequest or Inheritance
- "C" - Compensation
- "D" - Distribution
- "E" - Exchange or Conversion
- "F" - Exercise of rights
- "G" - Gift
- "S" - Stock dividend or stock split
- "X" - Exercise of option

### NATURE OF OWNERSHIP

- No symbol - Securities are beneficially owned directly.
- "1" - Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" - Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" - Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

### MONTH-END OWNERSHIP

- "PR" - Holdings as previously reported.

**TRANSACTIONS AND MONTH-END OWNERSHIP**

Reports included in this summary were filed with the Board of Governors of the  
Federal Reserve System during the period from

January 11, 1972 to February 10, 1972

IMPORTANT - See Guide to Symbols on preceding page.

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>ANN ARBOR BANK (Michigan)</u>						
Joseph B. Foster	OD	1-31-72	2			3,200 779
<u>BANK OF THE COMMONWEALTH (Detroit, Michigan)</u>						
Horace C. Ford	D	Jan. 1972	2		1,048,434	1,044 -0-
Common Stock Warrants		Jan. 1972	2		90,100	70 -0-
Comm. Preferred Stock		Jan. 1972	2		90,000	70 -0-
<u>BANK OF DELAWARE (Wilmington)</u>						
Ira T. Ellis	D	1-4-72	3 3		100	536 10 298
<u>THE BANK OF NEW JERSEY (Camden)</u>						
John B. Campbell	D	12-30-71	2 2	100		389 61 24
Robert Davis	OD	12-23-72	2	100		1,600 100
Lester B. Du Bell		12-10-71 12-30-71		158 100		1,492
Ernest L. Ransome, III	D	12-27-71		55		1,545
William A. Stretch	D	12-27-71	2 2 2	500		908 195 364 364

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BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>BANK OF NEW JERSEY (Con't)</u>						
M. F. Van Istendal, Jr.	D	1-31-72		50		1,617
<u>BANK OF SMITHTOWN (New York)</u>						
Carlyle Hodgkinson	D	12-9-71			277	43,534
Frank Radau	OD	12-8-71		200		10,262
Attmore Robinson	D	12-24-71			100	5,551
<u>BROADWAY BANK &amp; TRUST COMPANY (Paterson, New Jersey)</u>						
Anthony A. Accavallo	O	1-31-72		65		282
John P. Africano	OD	1-31-72		50		2,166
S. Thomas Dudiak	D	12-29-71		100		300
Abe J. Greene	D	12-30-71			700	8,966
George Kent	D	12-29-71			2,925	9,600
Dennis Kruithof, Jr.	O	1-31-72		135		842
William E. Lee	OD	1-31-72		120		606
<u>CENTRAL BANK (Monroe, La.)</u>						
Guy Campbell, Jr.	D	12-15-71 12-20-71	2	3 159		389 1,152
T. H. Scott	D	12-28-71	2	500		4,255 5,365
<u>CITIZENS FIDELITY BANK AND TRUST COMPANY (Louisville, Ky)</u>						
Thomas W. Bullitt	D	12-21-71	2 2	100		6,202 760 5,662

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				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<b>COMMERCE UNION BANK (Nashville, Tennessee)</b>						
William F. Earthman	0	12-31-71	2	234		1,768
			2			1,809
			2			47
			2			66
			2			8
			2			134
			2			15
Dan Hopkins	0	12-31-71	2	111		134
			2			289
						9
Harold Hull*	0	11-22-71			200	1,467
		11-30-71	2	4		
		12-31-71	2	108		845
John Moore Lee	0	12-31-71	2	103		326
Edward Nelson	0	12-31-71	2	165		10,667
			2			364
						155
Louie Phillips	0	12-31-71	2	94		18,806
						768
Edward Potter, Jr.	0	12-20-71			1,090	155,870
		12-31-71	2	254		2,244
James A. Rainey	0	12-31-71	2	100		61
			2			553
						101
Charles A. Rountree, Jr.	0	12-31-71	2	51		78
			2			412
						18
William G. Simms	0	12-31-71	2	123		7
						769
Robert H. Smith	0	12-31-71	2	107		580
Patricia McDonald	D	12-20-71		84		13,187
		12-20-71	2	336		3,704

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<u>COMMERCE UNION BANK (Con't)</u>						
David K. Wilson	D	10-29-71	2 2		1,731	6,302 -0- 4,894
<u>* Amended Report</u>						
<u>COMMERCIAL TRUST COMPANY OF NEW JERSEY (Jersey City)</u>						
Aaron Last	D	1-13-71		400		18,000
<u>CORPUS CHRISTI BANK &amp; TRUST (Texas)</u>						
James T. Denton, Jr.	O	9-24-71			1,000	12,679
Charles E. Francis	O	12-28-71		220		704
Edwin Singer 7% Registered Capital Debenture Warrants	O	10-15-71 10-15-71	PR	64,000 1,600		25,646 64,000 1,600
C. Iven Wilson Debentures	O	12-28-71	2PR	220		2,414 250 10,000
<u>DAVENPORT BANK &amp; TRUST CO. (Iowa)</u>						
V. O. Figge	OD	12-6-71 Dec. 1971	G 2		20 1,004	458 468
<u>THE DETROIT BANK AND TRUST COMPANY (Michigan)</u>						
Raymond T. Perring	O	12-28-71	2		100	5,014 142
H. Lynn Pierson	D	1-14-72	2	375		12,500 3,500

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<u>THE FIFTH THIRD BANK (Cincinnati, Ohio)</u>						
Sanford M. Brooks	D	11-1-71			1,545	210
Guido J. Gores	OD	12-28-71	X 2 2	100		1,500 375 26
<u>GENESEE MERCHANTS BANK &amp; TR (Flint, Michigan)</u>						
John R. Butler	OD	1-10-72		85		554
George N. Hall		1-12-72		50		832
M. Harry Piper	O	1-18-72	2	75		690 550
John F. Sarvis	O	12-30-71 1-10-72	2	58	1,236	254 740
Alexander W. Steinmetz	O	12-30-71		54		454
Robert A. Whisner	O	12-21-71		100		1,000
<u>HEMPSTEAD BANK (Garden City, New York)</u>						
Newton Millham	D	12-3-71 12-15-71	2	227	100	2,970 1,200
<u>LONG ISLAND TRUST COMPANY (Garden City, New York)</u>						
Robert W. Rennie	O	12-15-71		130		900
<u>THE LOUISVILLE TRUST COMPANY (Kentucky)</u>						
D. Paul Alagia, Jr.	D	12-1-71 12-7-71	2 2 2	24 140		204 313 141



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<u>MANUFACTURERS BANK &amp; TRUST CO (St. Louis, Missouri)</u>						
Fred R. Weber, Jr.	D	1-31-71		58		1937
<u>THE MERCHANTS BANK OF NEW YORK (New York City)</u>						
Max M. Vas	D	1-14-71			100	
		2-24-71			200	
		5-11-71			100	
		11-11-71			25	
		12-30-71			25	
		12-30-71		83		
		1-11-72			227	
		1-28-72			100	1,424
<u>MOUNTAIN TRUST BANK (Roanoke, Virginia)</u>						
T. P. Parsley	D	5-28-71	2	100		4,700 1,500
Gordon C. Willis	D	6-5-67			151	452
<u>THE OHIO CITIZENS TRUST CO (Toledo, Ohio)</u>						
Alfred F. Bauer	D	12-31-71		596		1,263
Marvin H. Rorick, Jr.	O	1-5-72			2	
		1-10-72			140	
		1-25-72			1	4,251
		1-10-72	2		50	471
<u>PEOPLES BANK AND TRUST CO (Montgomery, Alabama)</u>						
J. Hunter Flack	D	3-17-71	2	9,020		400 9,020

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<u>PEOPLES-MERCHANTS TRUST CO (Canton, Ohio)</u>						
Herbert L. Fisher	D	10-1-71		10		
		10-7-71		174		
		10-27-71			10	867
Robert L. Joliet	O	10-8-71		75		
		1-4-72		140		2,510
Dean E. Krauter	OD	10-8-71			75	610
<u>SEARS BANK &amp; TRUST COMPANY (Chicago, Illinois)</u>						
Profit Sharing Plan	B	1-7-72		100		
		1-28-72			250	56,094
<u>STATE BANK OF ALBANY (N.Y.)</u>						
William Barnet 2nd	D	1-3-72			1,532*	-0-
Brooks R. Barvoets	D	1-3-72			857*	-0-
Frederick R. Clark	O	1-3-72			396*	-0-
Frederick S. de Beer, Jr.	O	1-3-72			431*	-0-
Robert J. Donough	O	1-3-72			180*	-0-
Dorothy D. Farrell	O	1-3-72			849*	-0-
Colin W. Getz	D	1-3-72			242*	-0-
William W. Gibson	D	1-3-72			8,874*	-0-
Robert H. Griessel	D	1-3-72			278*	-0-
Hollis E. Harrington	D	1-3-72			4,000*	-0-
Thomas L. Hawkins, Jr.	D	1-3-72			210*	-0-
Martin H. Heck	O	1-3-72			147*	-0-

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<b>STATE BANK OF ALBANY (Con't)</b>						
Reynolds Holding	D	1-3-72			2,127*	-0-
Peter D. Kiernan	D	1-3-72			5,573*	-0-
Peter F. McCarthy	D	1-3-72	2		1,849*	-0-
		1-3-72			1,384*	-0-
Norton McKean	D	1-3-72			707*	-0-
James McKee, Jr.	O	1-3-72			15,572*	-0-
Frank A. McNamee, Jr.	D	1-3-72			1,872*	-0-
Frank H. Odell	O	1-3-72			205*	-0-
Bernard F. Picotte	D	12-29-71 1-3-72		1,218	1,218 1,718*	-0-
Edward S. Poole	D	1-3-72			1,721*	-0-
Everett C. Reed	D	1-3-72			319*	-0-
Richard C. Rockwell	D	1-3-72			9,638*	-0-
Thomas W. Rourke	D	1-3-72			46,244*	-0-
Donald McD. Slingerland	D	1-3-72			11,592*	-0-
* Exchanged for shares of United Bank Corp. of N.Y.						
<b>SYLVANIA SAVINGS BANK COMPANY (Ohio)</b>						
D. W. Beveridge	OD	12-1-71 12-27-71		100	97	980
Norman E. Bischoff	D	12-2-71		99		511
Robert W. Browning	D	12-3-71		99		258
David M. Johnson	D	12-8-71			885	64

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<u>THE SYLVANIA SVGS BK (Con't)</u>						
Eugene F. Paul	D	12-3-71		99		169
Paul F. Piehl	D	12-1-71		99		189
Paul W. Zietner	OD	12-3-71 12-20-71		99	10	1,293
<u>UNION BANK &amp; TRUST COMPANY (Montgomery, Alabama)</u>						
Thomas B. Hill, Jr.	D	8-26-71	2	109		4,314 75
John H. Neill, Jr.	OD	1-3-72	2		1,000	750 3,269
<u>UNION COUNTY TRUST COMPANY (Elizabeth, New Jersey)</u>						
George M. Bauer	D	12-17-71 12-31-71	3		500 500	42,194 9,302
Raymond W. Bauer	O	12-17-71 12-17-71	3 3 3 3	100 100	330	18,721 1,135 13,767 1,814 4,656
Robert J. Bauer	D	12-17-71 12-13-71	3 3 3 3 3	100	100	17,620 2,065 13,767 1,814 3,730 10,632 386
<u>UNION TRUST COMPANY OF MD. (Baltimore)</u>						
H. Coe Culbertson	D	5-11-71 5-17-71 12-27-71	2 2 2	500 500 500		100  14,600

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<u>UNION COUNTY TRUST CO OF MARYLAND(Con't)</u>						
William H. Hill	D	1-7-72		100		200
<u>UNITED STATES TRUST CO OF NEW YORK (New York City)</u>						
Hoyt Ammidon	O	1-14-72	3	250		750 500
Berkley D. Johnson	OT	1-31-71		2,036		3,836
Bonus Plan	T	1-31-72			3,442	29,392
<u>WILMINGTON TRUST COMPANY (Delaware)</u>						
Joseph M. Moran	O	Feb. 72		100		301