

**G.16**

**BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM  
Washington, D. C. 20551**

**Summary of Equity Security Transactions and Ownership of Directors,  
Officers, and Principal Stockholders of Member State Banks  
as Reported Pursuant to Section 16(a) of the  
Securities Exchange Act of 1934**

**September 1971**

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank. Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest. Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

## GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

### RELATIONSHIP

- "O" - Officer
- "D" - Director or person performing similar functions
- "B" - Beneficial owner of more than 10 per cent of any registered equity security of the bank
- "V" - Voting trustee
- "T" - Trustee
- "M" - Member of advisory board or honorary director

### CHARACTER OF TRANSACTION

- No symbol - Purchase or Sale
- "B" - Bequest or Inheritance
- "C" - Compensation
- "D" - Distribution
- "E" - Exchange or Conversion
- "F" - Exercise of rights
- "G" - Gift
- "S" - Stock dividend or stock split
- "X" - Exercise of option

### NATURE OF OWNERSHIP

- No symbol - Securities are beneficially owned directly.
- "1" - Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" - Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" - Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

### MONTH-END OWNERSHIP

- "PR" - Holdings as previously reported.

TRANSACTIONS AND MONTH-END OWNERSHIP

Reports included in this summary were filed with the Board of Governors of the Federal Reserve System during the period from

September 11, 1971 to October 10, 1971

IMPORTANT - See Guide to Symbols on preceding page.

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>BANK OF THE COMMONWEALTH (Detroit, Michigan)</u>						
Leo G. Lapham	OD	9-20-71			203	7,078
<u>BANK OF SMITHTOWN (New York)</u>						
Carlyle Hodgkinson	D	8-12-71			200	43,959
<u>CENTRAL BANK (Monroe, La.)</u>						
James D. Sparks	D	8-17-71		100		2,213
<u>CITIZENS FIDELITY BANK AND TRUST CO (Louisville, Kentucky)</u>						
George E. Egger	D	8-9-71	2		400	1,100 354
Dinwiddie Lampton, Jr.	D	8-18-71	2	400		418 51,014
<u>THE CLEVELAND TRUST COMPANY (Ohio)</u>						
George F. Karch	O	9-17-71	2PR	212		1,112 60
Allan K. Shaw	O	9-17-71		109		469
<u>CORPUS CHRISTI BANK AND TRUST (Texas)</u>						
H. C. Heldenfels	D	8-9-71 8-11-71 8-19-71		275 660 245		5,637

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BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>COUNTY TRUST COMPANY (Tenafly, New Jersey)</u>						
T. Craig Carle	D	6-2-71		200		14,225
		8-12-71		380		
Benjamin Casser	D	6-2-71		238		18,691
		6-21-71			4	
		8-2-71		1,017		
		6-2-71	2			
			2			5,259
Harry I. Etelman	D					6,092
		8-12-71	2		1,000	
			2		580	
<u>EWING BANK AND TRUST COMPANY (Trenton, New Jersey)</u>						
William A. Blackwell	D	9-20-71		7,000		8,000
Roy P. Briehler	B	Sept.71			2,418	50,228
		Sept.71	2		2	7
A. J. Lanning	D	9-20-71		1,556		8,000
Gordon L. Youngs	D	9-20-71		1,444		7,189
<u>THE FIFTH THIRD BANK (Cincinnati, Ohio)</u>						
Daniel H. Harkness*	O	3-21-71		420		2,000
		6-23-71		300		
		8-4-71		440		
		3-21-71	2	54		
*Amended Report						
<u>FIRST BANK OF COLONIA (N. J.)</u>						
Eugene J. Gosselin	OD	8-17-71			1,082	2,886
<u>GENESEE MERCHANTS BK &amp; TR CO (Flint, Michigan)</u>						
Lloyd H. Drake	D	9-15-71			100	5,100

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BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>GENESEE MERCHANTS BK (Con't)</u>						
John F. Sarvis	O	9-10-71		954		1,887
Robert A. Whisner	O	8-4-71		100		900
<u>HARRIS TRUST &amp; SVGS BANK (Chicago, Illinois)</u>						
Robert W. Galvin	D	7-21-71	2	1,400		1,613
5% Conv. Capital Notes						3,265
Stanley G. Harris, Jr.	OD	8-23-71	2	312		1,700
			4			4,750
			2			8,810
						112,215
						3,718
<u>THE NORTHERN TRUST COMPANY (Chicago, Illinois)</u>						
Thrift Incentive Plan	T	9-1-71		800		26,509
<u>THE OHIO CITIZENS TRUST CO (Toledo, Ohio)</u>						
Paul Putnam	D	9-30-71		58		158
<u>PIEDMONT TRUST BANK (Martinsville, Virginia)</u>						
Leon Globman	D	8-27-71	2	75		344
		9-30-71	2	25		100
<u>TOMPKINS COUNTY TRUST COMPANY (Ithaca, New York)</u>						
Robert T. Dean	D	8-4-71		100		
		8-5-71			100	100
<u>SEARS BANK AND TRUST COMPANY (Chicago, Illinois)</u>						
Profit Sharing Plan	B	9-1-71		100		
		9-7-71		10		
		9-29-71		100		57,916

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BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>UNITED STATES TRUST COMPANY OF NEW YORK (New York City)</u>  Bonus Plan	T				101	32,941