

**G.16**

**BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM  
Washington, D. C. 20551**

**Summary of Equity Security Transactions and Ownership of Directors,  
Officers, and Principal Stockholders of Member State Banks  
as Reported Pursuant to Section 16(a) of the  
Securities Exchange Act of 1934**

**April 1970**

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank. Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest. Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

## GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

### RELATIONSHIP

- "O" - Officer
- "D" - Director or person performing similar functions
- "B" - Beneficial owner of more than 10 per cent of any registered equity security of the bank
- "V" - Voting trustee
- "T" - Trustee
- "M" - Member of advisory board or honorary director

### CHARACTER OF TRANSACTION

- No symbol - Purchase or Sale
- "B" - Bequest or Inheritance
- "C" - Compensation
- "D" - Distribution
- "E" - Exchange or Conversion
- "F" - Exercise of rights
- "G" - Gift
- "S" - Stock dividend or stock split
- "X" - Exercise of option

### NATURE OF OWNERSHIP

- No symbol - Securities are beneficially owned directly.
- "1" - Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" - Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" - Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

### MONTH-END OWNERSHIP

- "PR" - Holdings as previously reported.

**TRANSACTIONS AND MONTH-END OWNERSHIP**

Reports included in this summary were filed with the Board of Governors of the  
Federal Reserve System during the period from

April 11, 1970 to May 10, 1970

**IMPORTANT - See Guide to Symbols on preceding page**

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>AMERICAN BANK AND TRUST COMPANY (Lansing, Mich.)</u>						
John R. Pettibone	OD	4-28-70		71		192
<u>BANK OF THE COMMONWEALTH (Detroit, Michigan)</u>						
<u>Common Stock Warrants</u>						
BOC Investment Company (1)	B	1-23-70		100		90,100
Herbert Fisher * (1)	D					-0-
BOC Investment Company		11-17-69	2	50,000		
		1-23-70	2	100		90,100
Parsons Investment Co.			2			75,000
Griswold Investment Co.			2			30,000
Thomas G. Gies * (1)	D					20
BOC Investment Company		1-23-70	2	100		90,100
Griswold Investment Co.			2			30,000
Norman E. Kleinert * (1)	O					-0-
BOC Investment Company		1-23-70	2	100		90,100
George W. Miller * (1)	O					321
BOC Investment Company		1-23-70	2	100		90,100
* The above-named persons continue to own shares of Common Stock & 5.82% Cumu- lative Preferred Stock previously reported.						
(1) Amended Report						

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	MEMBERSHIP AND TRANSACTION SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>BANK OF DELAWARE (Wilmington)</u>						
T. Crawley Davis, Jr.	OD	4- 2-70		50		773
Elmer F. Hinner	D	3- 2-70	3	100		110 100
<u>BROADWAY BANK &amp; TRUST COMPANY (Paterson, New Jersey)</u>						
Carnie P. Bragg	D	4-27-70		100		350
Jack Stern	D	4- 9-70			374	1,300
<u>CENTRAL TRUST COMPANY ROCHESTER N.Y.</u>						
James P. Wilmot	D	3-27-70		500		10,508
<u>CITY TRUST COMPANY (Bridgeport, Connecticut)</u>						
Connecticut Financial Services Corporation	B	2-11-70 3-11-70		100 100		1,377,425
<u>THE CLEVELAND TRUST COMPANY (Ohio)</u>						
Walter M. Halle	D	July 69	3 2	297		253 478 76
Henry J. Nave	D	4- 6-70			100	900
Walter S. Sparling	D	4- 9-70		100		400
<u>THE COLONIAL BANK AND TRUST COMPANY (Waterbury, Conn.)</u>						
Franklin M. Robert	O	2-27-70	2	1,000		53 1,447
Benjamin H. Stone	OD	3- 3-70	2		100	1,852 253

BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND PARTNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>COMMERCIAL TRUST COMPANY OF NEW JERSEY (Jersey City)</u>						
Gustav H. Koven	D	2- 2-70		15		649
		2- 2-70	2	68		2,810
		2- 2-70	2	4		174
<u>CORPUS CHRISTI BANK &amp; TRUST (Texas)</u>						
T. S. Scibienski	OD	3-10-70		7,602		13,937
<u>THE DETROIT BANK AND TRUST COMPANY (Michigan)</u>						
Cleveland Thurber	D	4-24-70		100		14,400
		4-29-70	2	100		3,500
<u>FIDELITY UNION TRUST COMPANY (Newark, New Jersey)</u>						
Peter Cartmell	OD	4- 6-70	X	2,699		4,924
			2			192
			2			6
C. Malcolm Davis	OD	4- 6-70	X	6,013		11,704
			2			192
			2			59
			2			84
			2			48
			2			59
Frank C. Surges	O	4- 6-70	X	150		1,150
<u>FIRST BANK OF COLONIA (N.J.)</u>						
Eileen Salsano *	O	1-29-68	S	42		
				12		
		8-28-68		15		
		1-28-69	S	56		
				9		
		9- 2-69		317		
		10- 6-69			5	
		1-20-70	S	74		
				10		
* Amended Report						1,604

BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<b>FIRST BANK OF COLONIA (Cont'd)</b>						
Caroline T. Steinitz *	O	1-28-69	S	5		
		9- 2-69		85		
* Amended Report		1-20-70	S	9		200
<b>FIRST TRUST &amp; DEPOSIT COMPANY (Syracuse, N.Y.)</b>						
Robert M. Salisbury	D	3-23-70		110		3,817
Joseph Spector	D	3- 4-70	3	100		822 100
William P. Tolley	D	3- 6-70		135		
		3-17-70		100		5,109
William G. Tumbridge	D	3- 4-70		300		
		3-11-70		1,011		
		3-16-70		210		
		3-23-70		250		
		3-26-70		180		
		3-27-70		146		2,965
<b>GENESEE MERCHANTS BANK &amp; TRUST CO. (Flint, Mich.)</b>						
F. Charles Duryea, Jr.	O	1-27-70		100		100
		11-28-69	2	300		13,738
<b>HANCOCK BANK AND TRUST COMPANY (Quincy, Mass.)</b>						
James M. Brown	O	3- 2-70			75	
		3- 9-70			100	-0-
Henry Hoffman	D	3-13-70	2	100		655 200
<b>HARRIS TRUST AND SAVINGS BANK (Chicago, Illinois)</b>						
William F. Murray 5% Convertible Cap. Notes	OD	3-23-70		\$10,000		419 \$15,000

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
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<b>THE LORAIN COUNTY SAVINGS &amp; TRUST COMPANY (Elyria, Ohio)</b>						
Earl J. Fauver	OD	4- 4-70		27		1,480
		4- 4-70	2	55		413
<b>THE LOUISVILLE TRUST COMPANY (Kentucky)</b>						
William S. Farmer	OD	3- 5-70		100		394
<b>THE NORTHERN TRUST COMPANY (Chicago, Illinois)</b>						
Thirft-Incentive Plan	T	1-12-70 *		300		
		1-20-70 *			155	
		1-26-70 *		300		
		1-30-70 *	D	1		
		3- 3-70		300		
		3-10-70		160		
		4- 3-70		280		
* Amended Report		4-17-70			38	12,766
<b>OLD KENT BANK AND TRUST COMPANY (Grand Rapids, Mich.)</b>						
Herbert G. Daverman	D	4-15-70		52		1,036
Richard M. Gillett	OD	4-15-70		265		5,532
Carl H. Morgenstern	D	12- 7-69		50		4,294
Peter Van Domelen, III	D	3- 5-70		55		111
			2			113
<b>PEOPLES BANK &amp; TRUST CO. (Montgomery, Alabama)</b>						
J. Hunter Flack	D	2-26-70		50		
		4- 1-70		200		5,210
			2			5,000
<b>THE SCHENECTADY TRUST COMPANY (New York)</b>						
William H. Milton, III	O	4-20-70	3		84	100
						970



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<u>SEARS BANK AND TRUST COMPANY (Chicago, Illinois)</u>						
Savings & Profit Plan	B	4- 6-70		36		
		4- 9-70		100		
		4-20-70		75		
		4-22-70		300		54,216
<u>SEATTLE TRUST &amp; SAVINGS BANK (Washington)</u>						
Thomas M. Pelly	D	3-24-70		400		6,916
<u>SOUTHERN BANK &amp; TRUST COMPANY (Richmond, Virginia)</u>						
J. Garland Hening, Jr.	B	3-23-70	2		1,000	31,105
			2			4,143
						1,242
<u>SUMMIT &amp; ELIZABETH TRUST COMPANY (New Jersey)</u>						
Robert W. Parsons	D	2-20-70		140		400
		3-26-69	E2	255		2,009
<u>TRADE BANK &amp; TRUST COMPANY (New York, New York)</u>						
Max Stern	D	3-19-70	2		403	235
						1,000
<u>TRUST COMPANY OF GEORGIA (Atlanta)</u>						
Joseph K. Heyman	O	3- 1-70	2	104		632
						877
Champney A. McNair	O	March 70	2	86		200
						558
<u>THE TRUST COMPANY OF NEW JERSEY (Jersey City)</u>						
George Behrens	D	3-12-70		200	5,896	200
		3-12-70	2		1,523	-0-

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<u>UNION COUNTY TRUST COMPANY (Elizabeth, New Jersey)</u>						
Carton S. Stallard	D		3			3,925
		3-19-70	3	49		575
		3-12-70	3	80		1,694
		3-20-70	3	200		485
<u>VALLEY BANK OF NEVADA (Las Vegas)</u>						
Jerome D. Mack	B		PR			35,138
		3- 9-70	2	2,930		131,402
<u>WARREN BANK (Michigan)</u>						
Frederick D. Kassab 5% Convertible Cap. Notes	D	3-21-70		68		32,764
						\$548,800