# BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM Washington, D. C. 20551

Summary of Equity Security Transactions and Ownership of Directors,
Officers, and Principal Stockholders of Member State Banks
as Reported Pursuant to Section 16(a) of the
Securities Exchange Act of 1934

October 1969

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank.

Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest.

Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

#### GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

## RELATIONSHIP

- "O" Officer
  - "D" Director or person performing similar functions
  - "B" Beneficial owner of more than 10 per cent of any registered equity security of the bank
  - "V" Voting trustee
  - "T" Trustee
  - "M" Member of advisory board or honorary director

#### CHARACTER OF TRANSACTION

No symbol - Purchase or Sale

- "B" Bequest or Inheritance
- "C" Compensation
- "D" Distribution
- "E" Exchange or Conversion
- "F" Exercise of rights
- "G" Gift
- "S" Stock dividend or stock split
- "X" Exercise of option

#### NATURE OF OWNERSHIP

No symbol - Securities are beneficially owned directly.

- "1" Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

# MONTH-END OWNERSHIP

"PR" - Holdings as previously reported.

# TRANSACTIONS AND MONTH-END OWNERSHIP

Reports included in this summary were filed with the Board of Governors of the Federal Reserve System during the period from

October 11, 1969 to November 11, 1969

IMPORTANT - See Guide to Symbols on preceding page.

BANK	RELATIONSHIP		TRANSACTION		REGATE ACTIONS	
REPORTING PERSON	IHSNO	DATE 6F		Bought or Otherwise	Sold or Otherwise	MONTH-EN
INDIRECT ACCOUNT	P	TRANSACTION	N AND SYMBOL	Acquired	Disposed of	OWNERSHI
BANK OF THE COMMONWEALTH (Detroit, Michigan)						
Common Stock		!				
BOC Investment Company	В	9- 8-69 10- 3-69		2,554 3,352		698,747
Horace C. Ford	D			0.554		696
BOC Investment Company		9- 8-69 10- 3-69	2	2,554 3,352		698,747
Donald H. Parsons BOC Investment Co.	OD	9- 8-69	2	2,554		507
Parsons Investment Co.		10- 3-69	2 2	3,352		698,747 759,402
Norman E. Kleinert BOC Investment Company	0	9- 8-69	2	2,544		1,519 695,395
George W. Miller	OD	y= 0*0y	۲	2,344		3,209
BOC Investment Company		9- 8-69 10- 3-69	2 2	2,544 3,352		698,747
Thomas H. Wagner BOC Investment Company	OD	9- 8-69	2	2,544		2,025
Parsons Investment Co.		10- 3-69	2 2	3,352 3,352		698,747 759,402
5.82% Cummulative Preference Stock	ed					
BOC Investment Company *	В	ı				40,000
* The above-named person also holds an equal amount						
of Common Stock warrants with the right to purchase						
2 shares of Common Stock @\$56.00 per share (expires for PAA27474)						

http://fraser.stlouisfed.org/ Federal Reserve Bank of St. Louis

BANK	RELATIONSHIP	!	TRANSACTION DWNERSHIP S		REGATE SACTIONS	
REPORTING PERSON	)NSHIP	DATE OF		Bought or Otherwise	Sold or Otherwise	MONTH-EN
INDIRECT ACCOUNT		TRANSACTION	SYMBOL SYMBOL	Acquired	Disposed of	OWNERSHI
BANK OF THE COMMONWEALTH (Continued)						
5.82% Cummulative Preferred Stock						
Horace C. Ford *	D		2			70 40,000
Donald H. Parsons *	OD					51
BOC Investment Company			2			40,000
Griswald Investment Co.			2			30,000
Parsons Investment Co.			2			75,000
Norman E. Kleinert *	0		<b>i</b> ,			-0-
BOC Investment Company			2			40,000
George W. Miller *	OD					321
BOC Investment Company	UD		2			40,000
* The above-named persons also hold an equal amount of Common Stock warrants with the right to purchase 2 share of Common Stock @\$56.00 per share (Expires 3/27/74)						
CAMDEN TRUST COMPANY (N.J.)			:			
Sylvan G. Fletcher	OD	10- 6-69			60	1,009
J. Boyd Morris	D	10-24-69 10-31-69		55 100		13,545
Wife			2			611
THE CLEVELAND TRUST COMPANY (Ohio)						
Allan K. Shaw		10-21-69	·	50		200
THE COLONIAL BANK AND TRUST COMPANY (Waterbury, Conn.)						
Samuel P. Williams, III	D	10-29-69	2	274		2,010 1,595

12	,	SAC	TRAN	REGATE SACTIONS	
RELATIONSHIF	DATE OF	TRANSACTION DWNERSHIP SY	Bought or Otherwise	Sold or Otherwise	Month-end
	TRANSACTION	TOBINA DINA	Acquired	Disposed of	OWNERSHIP
		•			
D	9-12-69	2	50		11,752 19,438
D					6,090
	9- 2-69	2 3 3	81		420 3,922 2,322
D	3-28-69	2	67.		1,120 495
		2 2			165 36
0	1-24-68 3-15-68 6-24-68 9-16-68			100 30 12 15	
	2-25-69	2		102	600 543
D	October 69	3	150		70 300
	10- 1-69	2	100		2,234 1,691 113
D	10-13-69	2	100		14,100 3,500
	D D OD	D 9-12-69 D 9-2-69 D 3-28-69 D 1-24-68 3-15-68 6-24-68 9-16-68 10-10-68 2-25-69  D October 69	TRANSACTION BY STATE OF THE PROPERTY OF THE PR	TRANSACTION	TRANSACTION

BANK	RELATIONSHIP		TRANSACTION AND OWNERSHIP SYMBOL	1	REGATE SACTIONS	
REPORTING PERSON	I HSN(	DATE OF	TION IP SY	Bought or Otherwise	Sold or Otherwise	MONTH-END
INDIRECT ACCOUNT		TRANSACTION	AND	Acquired	Disposed of	OWNERSHIP
THE DOLLAR SAVINGS AND TRUST COMPANY (Youngstown, Ohio)			_			
Paul J. Thomas Wife	D	11- 3-69	3	215		14,800 2,200
FIDELITY UNION TRUST COMPANY (Newark, New Jersey)						
Robert S. Corbin	D	10- 9-69	3		1,142	159 217,766
FIFTH THIRD BANK (Cincinnati, Ohio)						
Wickliffe Jones Trust	D	10- 7-69	2	300 200		450 200
James A. Markley, Jr. * Wife	0	9-11-69	2	300		542 83
* Amended Report						
HARRIS TRUST AND SAVINGS BANK (Chicago, Illinois)						
Stanley G. Harris	В	10- 1-69	2		1,120	-0- 293,704
Stanley G. Harris, Jr.	OD	10- 1-69 10- 1-69	2	80 80		3,972 2,815
Trustee		10- 1-69 10-28-69	2 2	160	8	18,282
HEMPSTEAD BANK (New York)						
Victor C. McCuaig Jr.	D	10-15-69		100		908
Frank T. Powers, Jr.	D	10- 3-69		71		1,100

BANK	RELATIONSHIF		TRANSACTION OWNERSHIP SY		REGATE SACTIONS	
REPORTING PERSON	AIHSN(	DATE OF	TION AND IP SYMBOL	Bought or Otherwise	Sold or Otherwise	MONTH-END
INDIRECT ACCOUNT		TRANSACTION	AND	Acquired	Disposed of	OWNERSHIP
HOUSTON BANK & TRUST COMPANY (Texas)						
	BOD	9- 2-69 9- 8-69 9-24-69		1,850 25,959** 1,046	5 <b>,</b> 672**	1,046
Perpetual Corporation 5% Convertible Capital			2			31,628
Notes due 1985		9- 8-69	2	\$3,893,400		\$3,893,400
Ross P. Bennett * 5% Convertible Capital	OD	9- 8-69		461		4,770
Notes due 1985		9- 8-69		\$18,600	\$1,200	\$17,400
Forrest S. Warren	D	10- 1-69 10-16-69		33 <u>5</u> 22		14,431
5% Convertible Capital Debenture						\$218,400
John M. Winterbotham 5% Convertible Capital	M	9- 2-69		48		365
Notes due 1985		9- 2-69		\$7,200		\$7,200
* Amended Report						
** Acquired by Perpetual Corporation wholly-owned by Joe L. Allbritton						
THE LOUISVILLE TRUST COMPANY (Kentucky)						·
Herbert F. Boehl	D	10- 6-69		192		31,464
Joseph E. Stopher	D	10-13-69 10-29-69		189 40		2,000
Family .		10 25 ,05	2			83
MANUFACTURERS AND TRADERS TRUST COMPANY (Buffalo, N.Y.						
Charles P. Stevenson	D	10- 3-69 10- 8-69		500 500		2,000
Eastman Machine Company Children		7-17-69	2 2	5,937		5,937 600
for FRASER						

BANK	RELATIONSHIP		TRANSACTION OWNERSHIP S'		REGATE SACTIONS	
REPORTING PERSON	THSNC	DATE		Bought or Otherwise	Sold or Otherwise	MONTH-END
INDIRECT ACCOUNT	7	TRANSACTION	SYMBOL	Acquired	Disposed of	OWNERSHIP
THE NORTHERN TRUST COMPANY (Chicago, Illinois)						
Edward Byron Smith Trusts Trusts	OD	9- 4-69	2 3		550	50 24,360 1,922
PEOPLES BANK OF GLEN ROCK (Pennsylvania)						
Jeffrey C. Bortner	D	9-15-69		144		725
Richard H. Mowery	D	9-15-69		690		1,000
Claire V. Neuhaus	OD	9-15-69		112		1,360
Robert M. Seitz	o	9-15-69		50		202
Paul W. Shepperd	D	9-15-69		132		1,046
ST. JOSEPH VALLEY BANK (Elkhart, Indiana)						
SJV Corporation	В	9-30-69		543		264,554
THE SCHENECTADY TRUST COMPANY (New York)						
William L. Webster	D	10- 2-69	2	100		1,000 383
SEARS BANK AND TRUST COMPANY (Chicago, Illinois)			-			
Profit Sharing Retirement Fund	В	10- 3-69 10-16-69 10-31-69		65 341 143		54,333
SEATTLE TRUST AND SAVINGS BANK (Washington)						
Joseph Cebert Baillargeon	OD	6-11-69	2	80		2,766 380
Thomas David Stimson	D	September 69	2		920	886 682
for FRASER	l	į			j	

BANK	RELATIONSHIF		RANSAC	1	REGATE SACTIONS	
REPORTING PERSON  INDIRECT ACCOUNT	NSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	Bought or Otherwise Acquired	Sold or Otherwise Disposed of	MONTH-END OWNERSHIP
SOUTHERN BANK AND TRUST COMPANY (Richmond, Va.)			S A	-		
Louis W. Ballou	D	10-28-69	.2	100		454 100
STATE BANK OF ALBANY (N.Y.)						
William Barnet, II	D	8-19-69		58		1,360
THE TOLEDO TRUST COMPANY (Ohio)						
Howard P. DeVilbiss	D	9-12-69 9-24-69	S	181 119		2,300
UNION BANK AND TRUST COMPANY (Montgomery, Alabama)						
Robert F. Henry Wife	D	10- 7-69	2	64		<b>500</b> 6
THE UNION COMMERCE BANK (Cleveland, Ohio)						
Joseph C. Coakley Unicom Investment Co.	D	10-16-69	3	490,791		100 490,791
Hans F. Fischer Unicom Investment Co.	D	10-16-69	3	490,791		100 490,791
UNION COUNTY TRUST COMPANY (Elizabeth, New Jersey)						
Philip J. Levin * Family	D	8-15-69	2 2	145		105,600
* Amended Report		8-25-69	2	95		3,666
VALLEY BANK OF NEVADA (Las Vegas)		,				
E. Parry Thomas Family Bank Building, Inc.	OD	9- 5-69	2 2	2,000		73,396 130 6,563

BANK	RELATIONSHIF		TRANSACTION AND OWNERSHIP SYMBOL		REGATE SACTIONS	
REPORTING PERSON	IHSN	DATE OF	TION IP SY	Bought or Otherwise	Sold or Otherwise	MONTH-END
INDIRECT ACCOUNT		TRANSACTION	AND NBOI	Acquired	Disposed of	ownership
VALLEY BANK OF NEVADA (Continued)						
Jerome D. Mack Trustee	В	9- 5-69	PR 2	2,000		35,038 128,490
Robert J. Sullivan	OD		E	12,000		
Navillus Investment Co.		9- 8-69 * 7- 1-69	2E	250 15,500		12,500
Custodian UNUGMA		9- 8-69	2 2	250		13,550 11
Kenneth J. Sullivan, Jr. Navillus Investment Co.	OD	7- 1-69 * 7- 1-69 *		1,760 12,500		2,260
Custodian UNUGMA		9- 8-69	2 2	250		13,550 19
* Amended Report						
WARREN BANK (Michigan)						
David Lebenbom 5% Convertible Capital	D	10-27-69		90		1,060
Notes						\$15,600
WILMINGTON TRUST COMPANY (Delaware)						
William W. Geddes	OD	10-17-69	2	378		500 246
Robert H. Richards, Jr.	D	10-16-69		100		3,568
Wife		4-17-69	2 3	75		870 315
or FRASER						