

**G.16**

**BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM  
Washington, D. C. 20551**

**Summary of Equity Security Transactions and Ownership of Directors,  
Officers, and Principal Stockholders of Member State Banks  
as Reported Pursuant to Section 16(a) of the  
Securities Exchange Act of 1934**

**February 1969**

### EXPLANATORY NOTE

Information in this Summary has been drawn from reports on Form F-8, "Statement of Changes in Beneficial Ownership of Securities," filed with the Board of Governors of the Federal Reserve System. In the interest of simplification, the Summary omits reported data with respect to initial holdings (reported on Form F-7), purchases and sales aggregating less than 50 shares during the month for which the report is filed, stock splits, stock dividends, rights, and gifts. The underlying reports may be inspected at the Federal Deposit Insurance Corporation Building, 550 17th Street, N. W., Washington, D. C. Publication of this information is in no sense a representation that it is correct or complete.

On the following pages, the name of the bank is followed by the name of the person reporting and his relationship to the bank. Unless otherwise indicated, all transactions involve the bank's common stock. Any indirect interest (through holding companies, partnerships, trusts, family ownership, etc.) is shown below the direct interest. Symbols indicate the nature of the transactions, if other than a purchase or sale, and the nature of ownership, if other than direct (see "Guide to Symbols" below).

## GUIDE TO SYMBOLS

Reference to these symbols is essential for a full and accurate interpretation of the data reported

### RELATIONSHIP

- "O" - Officer
- "D" - Director or person performing similar functions
- "B" - Beneficial owner of more than 10 per cent of any registered equity security of the bank
- "V" - Voting trustee
- "T" - Trustee
- "M" - Member of advisory board or honorary director

### CHARACTER OF TRANSACTION

- No symbol - Purchase or Sale
- "B" - Bequest or Inheritance
- "C" - Compensation
- "D" - Distribution
- "E" - Exchange or Conversion
- "F" - Exercise of rights
- "G" - Gift
- "S" - Stock dividend or stock split
- "X" - Exercise of option

### NATURE OF OWNERSHIP

- No symbol - Securities are beneficially owned directly.
- "1" - Securities are directly held, but reporter disclaims any admission that he is the beneficial owner of the securities.
- "2" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the TOTAL transactions and holdings of the intermediary.
- "3" - Same as in "2," except that reporter disclaims any admission that he is the beneficial owner of the securities.
- "4" - Securities are beneficially owned indirectly through a holding company, partnership, trust, or other intermediary; figures reported represent the PROPORTIONATE interest of the reporter.
- "5" - Same as "4," except that reporter disclaims any admission that he is the beneficial owner of the securities.

TRANSACTIONS AND MONTH-END OWNERSHIP

Reports included in this summary were filed with the Board of Governors of the Federal Reserve System during the period from

February 11, 1969 to March 10, 1969

IMPORTANT - See Guide to Symbols on preceding page.

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<b>BANK OF THE COMMONWEALTH (Detroit, Michigan)</b>						
BOC Investment Company	B	2-13-69 2-10-69	S	226,044 120		678,131
Basil M. Briggs Family	D	February 69 February 69		450 1,850		1,350 5,550
Horace C. Ford BOC Investment Co.	D	2-13-69 2-10-69 2-13-69	S 2 2S	232 120 226,044		696  678,131
Thomas G. Gies BOC Investment Co.	D	1-30-69	2	1,905		135 451,967
Norman E. Kleinert BOC Investment Co.	O	2- 7-69 2-13-69 2-10-69	S S2 2	338 226,044 120		1,013  678,131
Frederick C. Matthaei, Jr. Controlled Corporations	D	2- 7-69 2- 7-69 2-10-69 2-13-69	S S2 2 2	169 482,661 120 60		506   1,448,163
George W. Miller BOC Investment Company	OD	2- 7-69 2-10-69 2-13-69	S 2 S2	1,070 120 226,044		3,209  678,131
<b>CENTRAL TRUST COMPANY ROCHESTER N.Y.</b>						
Elmer B. Milliman Wife	OD	1-29-69	2		100	4,143 450

BANK  REPORTING PERSON  INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>THE CENTRAL TRUST COMPANY (Cincinnati, Ohio)</u>						
Ashley F. Ward	D	11-29-68		100		
		12-23-68		33		
		1-21-69		180		
		1-22-69		200		
		1-28-69		500		
		1-28-69		100		
		1-24-69		100		
		2-11-69		300		
		2-17-69		1,500		7,432
Trust			2			500
Member of Household			3			1,000
William J. Whittaker	D	12- 2-68	3	50		50
Member of Household						50
<u>CHEMICAL BANK (New York City)</u>						
Raymond F. Adams *	O	12-13-68	X	1,400		1,750
Herbert W. Nannen *	O	12-11-68	X	1,000		1,269
* In addition the above persons continue to own previously reported Capital Notes						
<u>CITIZENS FIDELITY BANK AND TRUST COMPANY (Louisville, Ky.)</u>						
A. M. Brinkley, Jr. *	OD	12-20-68		233		933
Thomas W. Bullitt *	D	12-20-68		1,209		4,833
Life interest in a trust		12-20-68	2	1,131		4,530
Charles A. Kane *	O	12-20-68		84		334
* Amended Report						
<u>CITY TRUST COMPANY (Bridgeport, Connecticut)</u>						
Frederick F. Lovejoy, Jr.	D	2-26-68		155		1,761
Wife						1,055

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<u>CLARK STATE BANK AND TRUST COMPANY (New Jersey)</u>						
Cuddie E. Davidson, Jr.	D	1-28-69		79		738
<u>THE CLEVELAND TRUST COMPANY (Ohio)</u>						
George E. Enos Family Wife	D	1-21-69	3 3	280		4,000 880 2,000
<u>THE COLONIAL BANK AND TRUST COMPANY (Waterbury, Conn.)</u>						
Donald W. Henry Family	OD	2-13-69 2-14-69	2	400 840		1,000 2,500
Kenneth V. Robinson	D	1-16-69		100		680
Arthur E. B. Tanner	D	1- 3-69		100		3,100
Robert N. Whittemore Co-trustee and beneficiary of a trust Wife	D	1-22-69	2 2	610		200 29,000 30
<u>COMMERCE UNION BANK (Nashville, Tennessee)</u>						
Albert Werthan * Mary Jane Werthan As trustee	D	7-29-68	2 2	309		2,501 891 926
* Amended Report						
<u>COUNTY TRUST COMPANY (Tenafly, New Jersey)</u>						
Stewart F. Alexander Daughter Wife Daughter Co-trustee	D	1-10-69	2 2 2 2		840	10,000 -0- 1,060 1,140 7,816

BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
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<u>COUNTY TRUST COMPANY (cont'd)</u>						
Benjamin Casser	D	1-10-69	2 2	500		14,288 2,880 474
<u>EWING BANK AND TRUST COMPANY (Trenton, New Jersey)</u>						
Robert David Carr Eileen B. Carr	OD	2- 5-69			100	34,900 1,010
<u>THE FIDELITY BANK (Philadelphia, Pennsylvania)</u>						
John R. Wanamaker As trustee	D	2- 3-69	2		200	600 1,791
<u>FIRST BANK OF COLONIA (New Jersey)</u>						
Eugene J. Gosselin	D	1-16-69 1-27-69 1-28-69 1-28-69	S	88 100 25 134		2,881
<u>THE FIRST PENNSYLVANIA BANKING AND TRUST COMPANY (Philadelphia)</u>						
Profit-Sharing Plan		1- 2-69 1- 9-69		3,159	8	17,867
<u>FIRST BANK AND TRUST COMPANY OF HAMPDEN COUNTY (Springfield, Massachusetts)</u>						
Robert R. Emerson Wife	D	8- 5-68	2	79		1,200 32
<u>HARRIS TRUST AND SAVINGS BANK (Chicago, Illinois)</u>						
Stanley G. Harris, Jr. * Trustee Wife	OD	12-31-68	3	2,735		5,005 19,909 2,735

\* In addition the above person continues to own \$50,000 of Capital Notes

BANK REPORTING PERSON INDIRECT ACCOUNT	RELATIONSHIP	DATE OF TRANSACTION	TRANSACTION AND OWNERSHIP SYMBOL	AGGREGATE TRANSACTIONS		MONTH-END OWNERSHIP
				Bought or Otherwise Acquired	Sold or Otherwise Disposed of	
<u>LONG ISLAND TRUST COMPANY (Garden City, New York)</u>						
Burton R. Young Wife	D	1- 7-69	2	300		1,320 300
<u>THE LOUISVILLE TRUST COMPANY (Kentucky)</u>						
Fred Pfeiffer, Jr.	D	1- 8-69		100		1,056
<u>MANCHESTER BANK OF ST. LOUIS (Missouri)</u>						
Harry A. Baumstark	D	1- 6-69		662		2,212
<u>MORGAN GUARANTY TRUST COMPANY OF NEW YORK (New York City)</u>						
Profit Sharing Plan		2- 1-69	D		464	34,543
<u>MOUNTAIN TRUST BANK (Roanoke, Virginia)</u>						
J. Lindsay Almond, Jr.	D	1-15-69		57		435
		1-15-69	2	15		165
Henry M. Hart	D	1-15-69		85		435
		1-15-69	2	10		110
Warren W. Hobbie	D	1-15-69		410		3,510
Wiley N. Jackson	D	1-15-69		72		600
		1-15-69	2	68		200
Harry D. McReynolds	D	1-15-69		16		181
		1-15-69	2	58		138
O. D. Oakey, Jr.	D	1-15-69		50		300
Thomas P. Parsley	OD	1-15-69		420		4,620
		1-15-69	2	100		
		1-28-69	2	60		1,160
Robert H. Turner, Jr.	O	1-15-69		50		550

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<u>MOUNTAIN TRUST BANK (cont'd)</u>						
Roy L. Webber	D	1-15-69		54		399
Holman Willis, Jr.	D	1-15-69	2	110		1,210
		1-15-69		7		77
Reginald M. Wood	D	1-15-69	2	169		1,612
		1-15-69		56		624
<u>THE NORTHERN TRUST COMPANY (Chicago, Illinois)</u>						
Thrift-Incentive Plan		2- 3-69		175		6,885
<u>THE OHIO CITIZENS TRUST COMPANY (Toledo)</u>						
Maury I. Kaplin	D	2- 7-69		57		191
<u>OLD KENT BANK AND TRUST COMPANY (Grand Rapids, Mich.)</u>						
Wendell C. Davis	D	1-15-69		50		1,175
		2-13-69		100		
<u>RHODE ISLAND HOSPITAL TRUST COMPANY (Providence)</u>						
Profit Sharing Plan		2-17-69		3,000		5,200
<u>SEARS BANK AND TRUST COMPANY (Chicago, Illinois)</u>						
Profit Sharing Retirement Fund		1-10-69		1,320		53,789
<u>TRADE BANK AND TRUST COMPANY (New York City)</u>						
John O'Hare	O	2-17-69			340	742
		2-26-69			351	
<u>THE TRUST COMPANY OF NEW JERSEY (Jersey City)</u>						
Donald R. Brenner	D	2-21-69	2	400		2,895
						5,315

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<u>UNION BANK (Los Angeles, California)</u>						
Union Bancorp	B	February 69		39,902		3,419,015
<u>UNION COUNTY TRUST COMPANY (Elizabeth, New Jersey)</u>						
George W. Bauer C. H. Winans Company	OD	1-10-69	4	300		42,708 8,866
John K. P. Stone, Jr. Wife Trust	OD	1-17-69	3 3		200	2,400 300 1,300
<u>UNITED BANK AND TRUST COMPANY (Hartford, Connecticut)</u>						
James J. Preble Wife Minor Child	OD	2- 5-69 2- 5-69 2- 5-69	3 3 3	100 200 10		700 200 177
<u>UNITED STATES TRUST COMPANY OF NEW YORK (New York City)</u>						
William L. Crow * Wife	T	9- 5-68 1-24-68 7-18-68 9- 5-68	S 3 3 S3	106  50 50	100	212   100
* Amended Report						
<u>WARREN BANK (Michigan)</u>						
<u>Common Stock</u>						
Leon A. Garwood *	D	2- 9-69			40	251
Frederick D. Kassab **	D	2- 9-69		4,000		32,696
David Lebenbom **	D	1-22-69		350		862
* In addition the above person continues to own 11 shares of preferred stock						
** In addition these persons continue to own previously reported Convertible Capital Notes.						

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<u>WARREN BANK (Continued)</u>						
<u>5% Convertible Capital Notes</u> Leon A. Garwood	D	2- 9-69			\$ 2,000	-0-
<u>WILMINGTON TRUST COMPANY</u> <u>(Delaware)</u>						
Robert H. Richards, Jr. Wife	D	2-27-69	3 2	100		3,268 240 870