

# APPENDIX

BUDGET OF THE U.S. GOVERNMENT



**FISCAL YEAR 2017**

OFFICE OF MANAGEMENT AND BUDGET



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# THE BUDGET DOCUMENTS

*Budget of the United States Government, Fiscal Year 2017* contains the Budget Message of the President, information on the President's priorities, and summary tables.

*Analytical Perspectives, Budget of the United States Government, Fiscal Year 2017* contains analyses that are designed to highlight specified subject areas or provide other significant presentations of budget data that place the budget in perspective. This volume includes economic and accounting analyses; information on Federal receipts and collections; analyses of Federal spending; information on Federal borrowing and debt; baseline or current services estimates; and other technical presentations.

The *Analytical Perspectives* volume also has supplemental materials that are available on the internet at [www.budget.gov/budget/Analytical\\_Perspectives](http://www.budget.gov/budget/Analytical_Perspectives) and on the Budget CD-ROM. These supplemental materials include tables showing the budget by agency and account and by function, subfunction, and program.

*Appendix, Budget of the United States Government, Fiscal Year 2017* contains detailed information on the various appropriations and funds that constitute the budget and is designed primarily for the use of the Appropriations Committees. The *Appendix* contains more detailed financial information on individual programs and appropriation accounts than any of the other budget documents. It includes for each agency: the proposed text of appropriations language; budget schedules for each account; legislative proposals; narrative explanations of each budget account; and proposed general provisions applicable to the appropriations of entire agen-

cies or group of agencies. Information is also provided on certain activities whose transactions are not part of the budget totals.

## ELECTRONIC SOURCES OF BUDGET INFORMATION

The information contained in these documents is available in electronic format from the following sources:

**Internet.** All budget documents, including documents that are released at a future date, spreadsheets of many of the budget tables, and a public use budget database are available for downloading in several formats from the internet at [www.budget.gov/budget](http://www.budget.gov/budget). Links to documents and materials from budgets of prior years are also provided.

**Budget CD-ROM.** The CD-ROM contains all of the printed budget documents in fully indexed PDF format along with the software required for viewing the documents.

The Internet and CD-ROM also include many of the budget tables in spreadsheet format, and supplemental materials that are part of the *Analytical Perspectives* volume. It also includes *Historical Tables* that provide data on budget receipts, outlays, surpluses or deficits, Federal debt, and Federal employment over an extended time period, generally from 1940 or earlier to 2017 or 2021.

For more information on access to electronic versions of the budget documents (except CD-ROMs), call (202) 512-1530 in the D.C. area or toll-free (888) 293-6498. To purchase the Budget CD-ROM or printed documents call (202) 512-1800.

### GENERAL NOTES

1. All years referenced for budget data are fiscal years unless otherwise noted. All years referenced for economic data are calendar years unless otherwise noted.
2. Detail in this document may not add to the totals due to rounding.

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### ***On the cover:***

*Denali National Park*

*Photo by Jacob Frank, National Park Service*

*Photograph has been reformatted from full color to monochrome*



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# DETAILED BUDGET ESTIMATES

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## DETAILED BUDGET ESTIMATES

The Budget *Appendix* contains various tables and schedules in support of the Budget. It includes explanations of the work to be performed and the money needed. It includes the language proposed for enactment by the Congress on each item that requires congressional action in an appropriations bill. It also contains the language proposed for the general provisions of appropriations acts that apply to entire agencies or groups of agencies. The chapter, "Budget Concepts," in the *Analytical Perspectives*, explains the terms and budget concepts used throughout the Budget.

### ARRANGEMENT

The second chapter in the *Appendix* presents general provisions of law that apply to all Government activities (see explanation below). Chapters for the Legislative Branch and the Judiciary follow. These are succeeded by chapters for the Executive Branch. The cabinet departments appear first in alphabetical order and are followed by the larger non-departmental agencies, such as Other Defense—Civil Programs, and the Executive Office of the President. The remaining small agencies are listed under the heading Other Independent Agencies. If the amounts in the individual accounts for other independent agencies are below the million dollar reporting threshold applicable to data in the *Appendix*, the data are consolidated into a single set of schedules under "Other Commissions and Boards." Appropriations language for these agencies is presented individually under the same heading.

A section for a large agency is usually organized by major subordinate organizations within the agency (usually bureaus) or by major program area (such as military personnel in the Department of Defense).

Within each bureau or major program area, accounts usually appear in the following order:

- general fund accounts;
- special fund accounts;
- public enterprise revolving funds;
- intragovernmental revolving funds and management funds;
- credit reform accounts, in the following order: program account, financing account, and liquidating account;
- trust funds; and
- trust revolving funds.

By law, the Old-Age and Survivors Insurance and Disability Insurance trust funds (Social Security) are outside the budget totals. These accounts are presented in the Social Security Administration section. Also, by law, the Postal Service Fund is outside the budget totals. A presentation for the Fund is included in the Other Independent Agencies section.

General provisions are provisions in appropriations acts that apply to more than one appropriation. They usually appear in separate titles of the appropriations acts. The proposed language for general provisions of appropriations acts that are applicable to one agency appear at the end of the section for that agency. When they apply only to the appropriations for two or more agencies covered by the act, they will appear at the end of the section for one of those agencies. The Government-wide general provisions apply to all appropriations Government-wide.

The following table indicates the location of all general provisions. The first column of the table lists the most recently enacted appropriations and the major agencies responsible for programs funded by each act. The second column provides the location of the general provisions that apply to the agencies listed in the first column. The general provisions that are Government-wide in scope (identified as "Departments, Agencies, and Corporations") contained in the Financial Services and General Government Appropriations Act, appear in a separate chapter following this one.

Appropriations Act	Chapter in which general provisions appear
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, P.L. 114–113.	
Department of Agriculture, excluding Forest Service.....	Department of Agriculture
Department of Health and Human Services, Food and Drug Administration.	Department of Agriculture
Commerce, Justice, Science, and Related Agencies Appropriations Act, P.L. 114–113.	
Department of Commerce.....	Department of Commerce
Department of Justice.....	Department of Justice
National Aeronautics and Space Administration.....	Department of Commerce
National Science Foundation.....	Department of Commerce
Department of Defense Appropriations Act, P.L. 114–113.....	Department of Defense
Energy and Water Development and Related Agencies Appropriations Act, P.L. 114–113.	
Department of Energy.....	Department of Energy
Corps of Engineers.....	Corps of Engineers—Civil Works
Department of the Interior, Bureau of Reclamation.....	Department of the Interior
Financial Services and General Government Appropriations Act, P.L. 114–113.	
Department of the Treasury.....	Department of the Treasury
District of Columbia.....	Other Independent Agencies
Executive Office of the President.....	Department of the Treasury
Department of Homeland Security Appropriations Act, P.L. 114–113.....	Department of Homeland Security

Appropriations Act	Chapter in which general provisions appear
Department of the Interior, Environment, and Related Agencies Appropriations Act, P.L. 114–113.	
Department of the Interior, excluding Bureau of Reclamation.....	Department of the Interior
Department of Agriculture, Forest Service.....	Department of the Interior
Department of Health and Human Services, Indian Health Service.....	Department of the Interior
Environmental Protection Agency.....	Department of the Interior
Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, P.L. 114–113.	
Department of Labor.....	Department of Labor
Department of Health and Human Services, excluding Food and Drug Administration, and the Indian Health Service.....	Department of Health and Human Services
Department of Education.....	Department of Education
Social Security Administration.....	Department of Labor
Legislative Branch Appropriations Act, P.L. 114–113.....	Legislative Branch
Military Construction and Veterans Affairs and Related Agencies Appropriations Act, P.L. 114–113.	
Department of Defense, Military Construction.....	Department of Defense
Department of Veterans Affairs.....	Department of Veterans Affairs
Department of State, Foreign Operations, and Related Programs Appropriations Act, P.L. 114–113.	
Department of State.....	Department of State and Other International Programs
Agency for International Development.....	Department of State and Other International Programs
Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, P.L. 114–113.	
Department of Transportation.....	Department of Transportation
Department of Housing and Urban Development.....	Department of Housing and Urban Development

**FORM OF DETAILED MATERIAL**

APPROPRIATIONS LANGUAGE

The language proposed for inclusion in the 2017 appropriations acts appears following the account title, and the amounts are stated in dollars. Accounts included in the enacted 2016 appropriations bills are printed in roman type as a base. Bolded brackets enclose material that is proposed for deletion; italic type indicates proposed new language. The citation to the specific appropriations act from which the basic text of the 2016 language is taken appears at the end of the final language paragraph, printed in italic type within parentheses. If an appropriation is being proposed for the first time for an account assumed to be covered by these bills in 2017, all of the language is printed in italics. An illustration of proposed appropriations language for 2017 follows:

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, [**\$715,903,000**] *\$687,249,000. (Department of Health and Human Services Appropriations Act, 2016.)*

BASIS FOR SCHEDULES

Dollar amounts in *Appendix* schedules are stated in millions, unless otherwise specified.

The 2015 column of the budget presents the actual transactions and balances for that year, as recorded in agency accounts.

For 2016, the regular schedules include the enacted appropriations. They may also include indefinite appropriations on the basis of amounts likely to be required.

The 2017 column of the regular schedules includes proposed appropriations for all programs.

Amounts for proposed new legislation are shown generally in separate schedules, following the regular schedules or in budget sequence in the respective bureau. These schedules are identified as "Legislative proposals, subject to PAYGO" or "Legislative proposals not subject to PAYGO." The term "PAYGO" refers to the "pay-as-you-go" requirements of the Statutory Pay-As-You-Go Act of 2010. Appropriations language is included with the regular schedule, but usually not with the separate schedules for proposed legislation. Usually the necessary appropriations language is transmitted later upon enactment of the proposed legislation.

PROGRAM AND FINANCING SCHEDULE

This schedule provides the following information:

- obligations by program activity;
- budgetary resources;
- change in obligated balance; and
- budget authority and outlays, net.

The "Obligations by program activity" section shows obligations for specific activities or projects. The activity structure is developed for each appropriation or fund account to provide a meaningful presentation of information for the program. Where the amounts are significant, this section distinguishes between operating expenses and capital investment and between direct and reimbursable programs. The last entry, "Total new obligations," indicates the amount of budgetary resources required to finance the activities of the account.

The "Budgetary resources" section shows the budgetary resources available or estimated to be available to finance the obligations. The resources available for obligation include the start-of-year unobligated balances of prior year's resources that have not expired, new budget authority, and adjusting entries, such as recoveries from prior year obligations. This section provides

detailed information on the total new budget authority (gross) available to finance the program. It includes information on the type of budget authority that is available, reductions, and amounts precluded from obligation. It indicates whether the budget authority is discretionary (controlled by appropriations acts) or mandatory (controlled by other laws).

The "Change in obligated balance" section shows components of the change in obligated balances from the start to the end of the year. The two components of the obligated balance—unpaid obligations and uncollected payments from Federal sources—are presented separately. New obligations are added to the obligations that were incurred in a previous year but not liquidated. Total disbursements to liquidate obligations (outlays, gross) are subtracted from these amounts. Adjusting entries, such as adjustments in expired accounts and recoveries of prior year unpaid obligations, are included as appropriate, resulting in the end-of-year obligated balance.

The "Budget authority and outlays, net" section bridges from gross budget authority and outlays to net budget authority and outlays. The section presents discretionary and mandatory amounts separately and indicates whether the outlays pertain to balances or new authority. It also indicates the amounts to be deducted from gross budget authority and outlays and the resulting net budget authority and outlay amounts. Offsetting collections (cash) and the change in uncollected payments from Federal sources are deducted from gross budget authority; only offsetting collections (cash) are deducted from gross outlays.

A schedule titled "Summary of Budget Authority and Outlays" immediately follows the first program and financing schedule for any account that has additional program and financing schedules for supplemental requests, legislative proposals, or current year cancellation proposals.

#### NARRATIVE STATEMENT OF PROGRAM AND PERFORMANCE

Narrative statements present briefly the objectives of the program and the work to be financed primarily for 2017. They may include measures of expected performance and describe a relationship to the financial estimates.

#### SCHEDULE OF OBJECT CLASSIFICATION AND EMPLOYMENT SUMMARY

Object classes reflect the nature of the things or services purchased, regardless of the purpose of the program for which they are used. Object class entry 11.9, "Total personnel compensation" sums the amounts in object classes 11.1 through 11.8. Except for revolving funds, reimbursable obligations are aggregated in a single line and not identified by object class. Amounts for any object class that are below the reporting threshold (i.e., amounts that are \$500 thousand or less) are reported together as a single entry. If all of the obligations for an account are in a single object class, the schedule is omitted and the object class code is printed in the Program and Financing Schedule on the "Total new obligations" line.

When obligations for personnel compensation are shown in the object classification schedule, an employment summary generally follows the object classification schedule.

Federal civilian employment generally is stated on a full-time equivalent (FTE) basis. It is the total number of hours worked (or to be worked) divided by the number of compensable hours applicable to each fiscal year.

#### BALANCE SHEETS

Balance sheets are presented for all direct and guaranteed loan liquidating and financing accounts and most Government-sponsored enterprises.

The balance sheets show assets, liabilities, and equity for the fund at the close of each fiscal year. In addition to this information, which is similar to commercial balance sheet data, budget needs also require additional information, such as appropriated capital, which is shown in the equity section. The amounts in the 2014 column are audited.

#### FEDERAL CREDIT SCHEDULES

Federal credit programs provide benefits to the public in the form of direct loans and loan guarantees. The Federal Credit Reform Act of 1990 requires that the costs of direct and guaranteed loans of a program be calculated on a net present value basis, excluding administrative costs. For most programs, direct loan obligations and loan guarantee commitments cannot be made unless appropriations for the cost have been provided in advance in annual appropriations acts. Annual limitations on the amount of obligations and commitments may also be enacted in appropriations language. For additional information on Federal Credit Reform Act accounts, see below.

Appropriations for the costs of direct loans and loan guarantees are recorded as budget authority in credit program accounts. The administrative expenses associated with a credit program are also recorded in the program account, but on a cash basis. All cash flows to and from the public arising from direct loan obligations and loan guarantee commitments are recorded in separate financing accounts. The transactions of the financing accounts are not included in the budget totals. Program accounts make subsidy payments, recorded as budget outlays, to the financing accounts at the time of the disbursement of the direct or guaranteed loans.

The transactions associated with direct loan obligations and loan guarantee commitments made prior to 1992 continue to be accounted for on a cash flow basis and are recorded in liquidating accounts. In most cases, the liquidating account is the account that was used for the program prior to the enactment of the new requirements.

Program and Financing schedules (described above) are shown for program, financing, and liquidating accounts. In addition, a Summary of Loan Levels, Subsidy Budget Authority, and Outlays by Program schedule is shown for program accounts. This schedule displays credit program information at the risk category level. Status of Direct Loans and Status of Guaranteed Loans schedules (as applicable) are shown for financing accounts and liquidating accounts. Summary information on Federal credit programs is provided in the chapter titled "Credit and Insurance" in the *Analytical Perspectives* volume of the Budget.

#### SPECIAL AND TRUST FUND RECEIPTS SCHEDULE

This schedule is printed for special fund and trust fund accounts to show the amount of receipts that are credited to them. It also shows any balances of unappropriated receipts or receipts that are only available for investment or precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations. When present, it appears after the appropriation language, but before the Program and Financing schedule for the account.

## STATUS OF FUNDS SCHEDULE

This schedule reports balances, cash income, and cash outgo for major trust funds and certain other accounts. It also includes outstanding debt for certain funds. When present, it appears after the narrative statement for the account.

### GENERAL FUND RECEIPT ACCOUNTS SCHEDULE

This schedule shows the amount of receipts attributed to an agency that are credited to the general fund of the Treasury. It is printed at the end of the presentation for the agency, before any general provisions.

### ALLOCATIONS BETWEEN AGENCIES

In some cases, funds appropriated to the President or to an agency are allocated to one or more agencies that help to carry out a program. Obligations incurred under such allocations are included in the data for the account to which the appropriation is made in the allocating agency. The object classification schedule for such accounts identifies the amount of such obligations by performing agency. A note at the end of a bureau or equivalent grouping identifies allocations received from other agencies.

### FEDERAL CREDIT REFORM ACT ACCOUNTS

#### PROGRAM ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program.

The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

#### DIRECT LOAN FINANCING ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

#### GUARANTEED LOAN FINANCING ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

#### BUDGETS FOR OFFICES OF INSPECTOR GENERAL

The "separate statement of the budget estimate" for each Office of Inspector General, referenced in Section 6(f)(3)(A) of the Inspector General Act of 1978, as amended, is included in the respective congressional justification for that Office.

#### BUDGETS NOT SUBJECT TO REVIEW

In accordance with law or established practice, the presentations for the Legislative Branch, the Judiciary, the Milk Market Orders Assessment Fund of the Department of Agriculture, and the International Trade Commission have been included, without review, in the amounts submitted by the agencies.

The budgets of the privately owned Government-sponsored enterprises and the Board of Governors of the Federal Reserve System are not subject to review. Data for these entities are included for information purposes only.

## GENERAL PROVISIONS GOVERNMENT-WIDE

### GENERAL PROVISIONS GOVERNMENT-WIDE

#### DEPARTMENTS, AGENCIES, AND CORPORATIONS (INCLUDING TRANSFER OF FUNDS)

SEC. 701. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for fiscal year [2016] 2017 shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from the illegal use, possession, or distribution of controlled substances (as defined in the Controlled Substances Act (21 U.S.C. 802)) by the officers and employees of such department, agency, or instrumentality.

SEC. 702. Unless otherwise specifically provided, the maximum amount allowable during the current fiscal year in accordance with subsection 1343(c) of title 31, United States Code, for the purchase of any passenger motor vehicle (exclusive of buses, ambulances, law enforcement vehicles, protective vehicles, and undercover surveillance vehicles), is hereby fixed at \$19,947 except station wagons for which the maximum shall be \$19,997: *Provided*, That these limits may be exceeded by not to exceed \$7,250 for police-type vehicles: *Provided further*, That the limits set forth in this section may not be exceeded by more than 5 percent for electric or hybrid vehicles purchased for demonstration under the provisions of the Electric and Hybrid Vehicle Research, Development, and Demonstration Act of 1976: *Provided further*, That the limits set forth in this section may be exceeded by the incremental cost of clean alternative fuels vehicles acquired pursuant to Public Law 101-549 over the cost of comparable conventionally fueled vehicles: *Provided further*, That the limits set forth in this section shall not apply to any vehicle that is a commercial item and which operates on alternative fuel, including but not limited to electric, plug-in hybrid electric, and hydrogen fuel cell vehicles.

SEC. 703. Appropriations of the executive departments and independent establishments for the current fiscal year available for expenses of travel, or for the expenses of the activity concerned, are hereby made available for quarters allowances and cost-of-living allowances, in accordance with 5 U.S.C. 5922-5924.

SEC. 704. Unless otherwise specified in law during the current fiscal year, no part of any appropriation contained in this or any other Act shall be used to pay the compensation of any officer or employee of the Government of the United States (including any agency the majority of the stock of which is owned by the Government of the United States) whose post of duty is in the continental United States unless such person: (1) is a citizen of the United States; (2) is a person who is lawfully admitted for permanent residence and is seeking citizenship as outlined in 8 U.S.C. 1324b(a)(3)(B); (3) is a person who is admitted as a refugee under 8 U.S.C. 1157 or is granted asylum under 8 U.S.C. 1158 and has filed a declaration of intention to become a lawful permanent resident and then a citizen when eligible; or (4) is a person who owes allegiance to the United States: *Provided*, That for purposes of this section, affidavits signed by any such person shall be considered prima facie evidence that the requirements of this section with respect to his or her status are being complied with: *Provided further*, That for purposes of subsections (2) and (3) such affidavits shall be submitted prior to employment and updated thereafter as necessary: *Provided further*, That any person making a false affidavit shall be guilty of a felony, and upon conviction, shall be fined no more than \$4,000 or imprisoned for not more than 1 year, or both: *Provided further*, That the above penal clause shall be in addition to, and not in substitution for, any other provisions of existing law: *Provided further*, That any payment made to any officer or employee contrary to the provisions of this section shall be recoverable in action by the Federal Government: *Provided further*, That this section shall not apply to any person who is an officer or employee of the Government of the United States on the date of enactment of this Act, or to international broadcasters employed by the Broadcasting Board of Governors, or to temporary employment of translators, or to temporary employment in the field service (not to exceed 60 days) as a result of emergencies: *Provided further*, That this section does not apply to the employment as Wildland firefighters for not more than 120 days of nonresident aliens employed by the Department of the Interior or the USDA Forest Service pursuant to an agreement with another country.

SEC. 705. Appropriations available to any department or agency during the current fiscal year for necessary expenses, including maintenance or operating expenses, shall also be available for payment to the General Services Administration for charges for space and services and those expenses of renovation and alteration of buildings and facilities which constitute public improvements performed in accordance with the Public Buildings Act of 1959 (73 Stat. 479), the Public Buildings Amendments of 1972 (86 Stat. 216), or other applicable law.

SEC. 706. In addition to funds provided in this or any other Act, all Federal agencies are authorized to receive and use funds resulting from the sale of materials, including Federal records disposed of pursuant to a records schedule recovered through recycling or waste prevention programs. Such funds shall be available until expended for the following purposes:

(1) Acquisition, waste reduction and prevention, and recycling programs as described in Executive Order No. [13423] 13693 ([January 24, 2007] March 19, 2015), including any such programs adopted prior to the effective date of the Executive order.

(2) Other Federal agency environmental management programs, including, but not limited to, the development and implementation of hazardous waste management and pollution prevention programs.

(3) Other employee programs as authorized by law or as deemed appropriate by the head of the Federal agency.

SEC. 707. Funds made available by this or any other Act for administrative expenses in the current fiscal year of the corporations and agencies subject to chapter 91 of title 31, United States Code, shall be available, in addition to objects for which such funds are otherwise available, for rent in the District of Columbia; services in accordance with 5 U.S.C. 3109; and the objects specified under this head, all the provisions of which shall be applicable to the expenditure of such funds unless otherwise specified in the Act by which they are made available: *Provided*, That in the event any functions budgeted as administrative expenses are subsequently transferred to or paid from other funds, the limitations on administrative expenses shall be correspondingly reduced.

SEC. 708. No part of any appropriation contained in this or any other Act shall be available for interagency financing of boards (except Federal Executive Boards), commissions, councils, committees, or similar groups (whether or not they are interagency entities) which do not have a prior and specific statutory approval to receive financial support from more than one agency or instrumentality.

SEC. 709. None of the funds made available pursuant to the provisions of this or any other Act shall be used to implement, administer, or enforce any regulation which has been disapproved pursuant to a joint resolution duly adopted in accordance with the applicable law of the United States.

SEC. 710. During the period in which the head of any department or agency, or any other officer or civilian employee of the Federal Government appointed by the President of the United States, holds office, no funds may be obligated or expended in excess of \$5,000 to furnish or redecorate the office of such department head, agency head, officer, or employee, or to purchase furniture or make improvements for any such office, unless advance notice of such furnishing or redecoration is transmitted to the Committees on Appropriations of the House of Representatives and the Senate. For the purposes of this section, the term "office" shall include the entire suite of offices assigned to the individual, as well as any other space used primarily by the individual or the use of which is directly controlled by the individual.

SEC. 711. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities, as provided by Executive Order No. 13618 (July 6, 2012).

SEC. 712. (a) None of the funds made available by this or any other Act may be obligated or expended by any department, agency, or other instrumentality of the Federal Government to pay the salaries or expenses of any individual appointed to a position of a confidential or policy-determining character that is excepted from the competitive service under section 3302 of title 5, United States Code, (pursuant to schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations) unless the head of the applicable department, agency, or other instrumentality employing such schedule C individual certifies to the Director of the Office of Personnel Management that the schedule C position occupied by the individual was not created solely or primarily in order to detail the individual to the White House.

(b) The provisions of this section shall not apply to Federal employees or members of the armed forces detailed to or from an element of the intelligence community (as that term is defined under section 3(4) of the National Security Act of 1947 (50 U.S.C. 3003(4))).

SEC. 713. No part of any appropriation contained in this or any other Act shall be available for the payment of the salary of any officer or employee of the Federal Government, who—

(1) prohibits or prevents, or attempts or threatens to prohibit or prevent, any other officer or employee of the Federal Government from having any direct oral or written communication or contact with any Member, committee, or subcommittee of the Congress in connection with any matter pertaining to the employment of such other officer or employee or pertaining to the department or

agency of such other officer or employee in any way, irrespective of whether such communication or contact is at the initiative of such other officer or employee or in response to the request or inquiry of such Member, committee, or subcommittee; or

(2) removes, suspends from duty without pay, demotes, reduces in rank, seniority, status, pay, or performance or efficiency rating, denies promotion to, relocates, reassigns, transfers, disciplines, or discriminates in regard to any employment right, entitlement, or benefit, or any term or condition of employment of, any other officer or employee of the Federal Government, or attempts or threatens to commit any of the foregoing actions with respect to such other officer or employee, by reason of any communication or contact of such other officer or employee with any Member, committee, or subcommittee of the Congress as described in paragraph (1).】

【SEC. 714. (a) None of the funds made available in this or any other Act may be obligated or expended for any employee training that—

(1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;

(2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;

(3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;

(4) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or

(5) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties.】

【SEC. 715. No part of any funds appropriated in this or any other Act shall be used by an agency of the executive branch, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, and for the preparation, distribution or use of any kit, pamphlet, booklet, publication, radio, television, or film presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself.】

SEC. 【716】713. None of the funds appropriated by this or any other Act may be used by an agency to provide a Federal employee's home address to any labor organization except when the employee has authorized such disclosure or when such disclosure has been ordered by a court of competent jurisdiction.

【SEC. 717. None of the funds made available in this or any other Act may be used to provide any non-public information such as mailing, telephone or electronic mailing lists to any person or any organization outside of the Federal Government without the approval of the Committees on Appropriations of the House of Representatives and the Senate.】

【SEC. 718. No part of any appropriation contained in this or any other Act shall be used directly or indirectly, including by private contractor, for publicity or propaganda purposes within the United States not heretofore authorized by Congress.】

SEC. 【719】714. (a) In this section, the term "agency"—

(1) means an Executive agency, as defined under 5 U.S.C. 105; and

(2) includes a military department, as defined under section 102 of such title, the Postal Service, and the Postal Regulatory Commission.

(b) Unless authorized in accordance with law or regulations to use such time for other purposes, an employee of an agency shall use official time in an honest effort to perform official duties. An employee not under a leave system, including a Presidential appointee exempted under 5 U.S.C. 6301(2), has an obligation to expend an honest effort and a reasonable proportion of such employee's time in the performance of official duties.

SEC. 【720】715. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, funds made available for the current fiscal year by this or any other Act to any department or agency, which is a member of the Federal Accounting Standards Advisory Board (FASAB), shall be available to finance an appropriate share of FASAB administrative costs.

SEC. 【721】716. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, the head of each Executive department and agency is hereby authorized to transfer to or reimburse "General Services Administration, Government-wide Policy" with the approval of the Director of the Office of Management and Budget, funds made available for the current fiscal year by this or any other Act, including rebates from charge card and other contracts: *Provided*, That these funds shall be administered by the Administrator of General Services to support Government-wide and other multi-agency financial, information technology, procurement, and other management innovations, initiatives, and activities, including improving coordination and reducing duplication, as approved by the Director of the Office of Management and Budget, in consultation with the appropriate interagency and multi-agency groups designated

by the Director (including the President's Management Council for overall management improvement initiatives, the Chief Financial Officers Council for financial management initiatives, the Chief Information Officers Council for information technology initiatives, the Chief Human Capital Officers Council for human capital initiatives, the Chief Acquisition Officers Council for procurement initiatives, and the Performance Improvement Council for performance improvement initiatives): *Provided further*, That the total funds transferred or reimbursed shall not exceed \$15,000,000 to improve coordination, reduce duplication, and for other activities related to Federal Government Priority Goals established by 31 U.S.C. 1120, and not to exceed \$17,000,000 for Government-Wide innovations, initiatives, and activities: *Provided further*, That the funds transferred to or for reimbursement of "General Services Administration, Government-wide Policy" during fiscal year 【2016】 2017 shall remain available for obligation through September 30, 【2017】 2018: *Provided further*, That such transfers or reimbursements may only be made after 15 days following notification of the Committees on Appropriations of the House of Representatives and the Senate by the Director of the Office of Management and Budget.

SEC. 【722】717. Notwithstanding any other provision of law, a woman may breastfeed her child at any location in a Federal building or on Federal property, if the woman and her child are otherwise authorized to be present at the location.

SEC. 【723】718. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of specific projects, workshops, studies, and similar efforts to carry out the purposes of the National Science and Technology Council (authorized by Executive Order No. 12881), which benefit multiple Federal departments, agencies, or entities: *Provided*, That the Office of 【Management and Budget】 *Science and Technology Policy* shall provide a report describing the budget of and resources connected with the National Science and Technology Council to the Committees on Appropriations, the House Committee on Science and Technology, and the Senate Committee on Commerce, Science, and Transportation 90 days after enactment of this Act.

SEC. 【724】719. Any request for proposals, solicitation, grant application, form, notification, press release, or other publications involving the distribution of Federal funds shall comply with any relevant requirements in part 200 of title 2, Code of Federal Regulations: *Provided*, That this section shall apply to direct payments, formula funds, and grants received by a State receiving Federal funds.

SEC. 【725】720. (a) PROHIBITION OF FEDERAL AGENCY MONITORING OF INDIVIDUALS' INTERNET USE.—None of the funds made available in this or any other Act may be used by any Federal agency—

(1) to collect, review, or create any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any Federal Government Internet site of the agency; or

(2) to enter into any agreement with a third party (including another government agency) to collect, review, or obtain any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any nongovernmental Internet site.

(b) EXCEPTIONS.—The limitations established in subsection (a) shall not apply to—

- (1) any record of aggregate data that does not identify particular persons;
- (2) any voluntary submission of personally identifiable information;
- (3) any action taken for law enforcement, regulatory, or supervisory purposes, in accordance with applicable law; or
- (4) any action described in subsection (a)(1) that is a system security action taken by the operator of an Internet site and is necessarily incident to providing the Internet site services or to protecting the rights or property of the provider of the Internet site.

(c) DEFINITIONS.—For the purposes of this section:

(1) The term "regulatory" means agency actions to implement, interpret or enforce authorities provided in law.

(2) The term "supervisory" means examinations of the agency's supervised institutions, including assessing safety and soundness, overall financial condition, management practices and policies and compliance with applicable standards as provided in law.

SEC. 【726】721. (a) None of the funds appropriated by this Act may be used to enter into or renew a contract which includes a provision providing prescription drug coverage, except where the contract also includes a provision for contraceptive coverage.

(b) Nothing in this section shall apply to a contract with—

(1) any of the following religious plans:

- (A) Personal Care's HMO; and
- (B) OSF HealthPlans, Inc.; and

(2) any existing or future plan, if the carrier for the plan objects to such coverage on the basis of religious beliefs.

(c) In implementing this section, any plan that enters into or renews a contract under this section may not subject any individual to discrimination on the basis that the individual refuses to prescribe or otherwise provide for contraceptives because such activities would be contrary to the individual's religious beliefs or moral convictions.

(d) Nothing in this section shall be construed to require coverage of abortion or abortion-related services.

SEC. [727] 722. The United States is committed to ensuring the health of its Olympic, Pan American, and Paralympic athletes, and supports the strict adherence to anti-doping in sport through testing, adjudication, education, and research as performed by nationally recognized oversight authorities.

SEC. [728] 723. Notwithstanding any other provision of law, funds appropriated for official travel to Federal departments and agencies may be used by such departments and agencies, if consistent with Office of Management and Budget Circular A-126 regarding official travel for Government personnel, to participate in the fractional aircraft ownership pilot program.

SEC. [729] 724. Notwithstanding any other provision of law, none of the funds appropriated or made available under this or any other appropriations Act may be used to implement or enforce restrictions or limitations on the Coast Guard Congressional Fellowship Program, or to implement the proposed regulations of the Office of Personnel Management to add sections 300.311 through 300.316 to part 300 of title 5 of the Code of Federal Regulations, published in the Federal Register, volume 68, number 174, on September 9, 2003 (relating to the detail of executive branch employees to the legislative branch).

SEC. [730] 725. Notwithstanding any other provision of law, no executive branch agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without [the] advance [approval of] notification to the Committees on Appropriations of the House of Representatives and the Senate, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training which cannot be accommodated in existing Center facilities.

SEC. [731] 726. Unless otherwise authorized by existing law, none of the funds provided in this or any other Act may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States, unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.

[SEC. 732. None of the funds made available in this Act may be used in contravention of section 552a of title 5, United States Code (popularly known as the Privacy Act), and regulations implementing that section.]

SEC. [733] 727. (a) IN GENERAL.—None of the funds appropriated or otherwise made available by this or any other Act may be used for any Federal Government contract with any foreign incorporated entity which is treated as an inverted domestic corporation under section 835(b) of the Homeland Security Act of 2002 (6 U.S.C. 395(b)) or any subsidiary of such an entity.

(b) WAIVERS.—

(1) IN GENERAL.—Any Secretary shall waive subsection (a) with respect to any Federal Government contract under the authority of such Secretary if the Secretary determines that the waiver is required in the interest of national security.

(2) REPORT TO CONGRESS.—Any Secretary issuing a waiver under paragraph (1) shall report such issuance to Congress.

(c) EXCEPTION.—This section shall not apply to any Federal Government contract entered into before the date of the enactment of this Act, or to any task order issued pursuant to such contract.

SEC. [734] 728. During fiscal year [2016] 2017, for each employee who—

(1) retires under section 8336(d)(2) or 8414(b)(1)(B) of title 5, United States Code; or

(2) retires under any other provision of subchapter III of chapter 83 or chapter 84 of such title 5 and receives a payment as an incentive to separate, the separating agency shall remit to the Civil Service Retirement and Disability Fund an amount equal to the Office of Personnel Management's average unit cost of processing a retirement claim for the preceding fiscal year. Such amounts shall be available until expended to the Office of Personnel Management and shall be deemed to be an administrative expense under section 8348(a)(1)(B) of title 5, United States Code.

[SEC. 735. (a) None of the funds made available in this or any other Act may be used to recommend or require any entity submitting an offer for a Federal contract to disclose any of the following information as a condition of submitting the offer:

(1) Any payment consisting of a contribution, expenditure, independent expenditure, or disbursement for an electioneering communication that is made by the entity, its officers or directors, or any of its affiliates or subsidiaries to a candidate for election for Federal office or to a political committee, or that is otherwise made with respect to any election for Federal office.

(2) Any disbursement of funds (other than a payment described in paragraph (1)) made by the entity, its officers or directors, or any of its affiliates or subsidiaries to any person with the intent or the reasonable expectation that the person will use the funds to make a payment described in paragraph (1).

(b) In this section, each of the terms "contribution", "expenditure", "independent expenditure", "electioneering communication", "candidate", "election", and "Federal office" has the meaning given such term in the Federal Election Campaign Act of 1971 (2 U.S.C. 431 et seq.).]

SEC. [736] 729. None of the funds made available in this or any other Act may be used to pay for the painting of a portrait of an officer or employee of the Federal government, including the President, the Vice President, a member of Congress (including a Delegate or a Resident Commissioner to Congress), the head of an executive branch agency (as defined in section 133 of title 41, United States Code), or the head of an office of the legislative branch.

SEC. [737] 730. (a)(1) Notwithstanding any other provision of law, and except as otherwise provided in this section, no part of any of the funds appropriated for fiscal year [2016] 2017, by this or any other Act, may be used to pay any prevailing rate employee described in section 5342(a)(2)(A) of title 5, United States Code—

(A) during the period from the date of expiration of the limitation imposed by the comparable section for the previous fiscal years until the normal effective date of the applicable wage survey adjustment that is to take effect in fiscal year [2016] 2017, in an amount that exceeds the rate payable for the applicable grade and step of the applicable wage schedule in accordance with such section; and

(B) during the period consisting of the remainder of fiscal year [2016] 2017, in an amount that exceeds, as a result of a wage survey adjustment, the rate payable under subparagraph (A) by more than the sum of—

(i) the percentage adjustment taking effect in fiscal year [2016] 2017 under section 5303 of title 5, United States Code, in the rates of pay under the General Schedule; and

(ii) the difference between the overall average percentage of the locality-based comparability payments taking effect in fiscal year [2016] 2017 under section 5304 of such title (whether by adjustment or otherwise), and the overall average percentage of such payments which was effective in the previous fiscal year under such section.

(2) Notwithstanding any other provision of law, no prevailing rate employee described in subparagraph (B) or (C) of section 5342(a)(2) of title 5, United States Code, and no employee covered by section 5348 of such title, may be paid during the periods for which paragraph (1) is in effect at a rate that exceeds the rates that would be payable under paragraph (1) were paragraph (1) applicable to such employee.

(3) For the purposes of this subsection, the rates payable to an employee who is covered by this subsection and who is paid from a schedule not in existence on September 30, [2015] 2016, shall be determined under regulations prescribed by the Office of Personnel Management.

(4) Notwithstanding any other provision of law, rates of premium pay for employees subject to this subsection may not be changed from the rates in effect on September 30, [2015] 2016, except to the extent determined by the Office of Personnel Management to be consistent with the purpose of this subsection.

(5) This subsection shall apply with respect to pay for service performed after September 30, [2015] 2016.

(6) For the purpose of administering any provision of law (including any rule or regulation that provides premium pay, retirement, life insurance, or any other employee benefit) that requires any deduction or contribution, or that imposes any requirement or limitation on the basis of a rate of salary or basic pay, the rate of salary or basic pay payable after the application of this subsection shall be treated as the rate of salary or basic pay.

(7) Nothing in this subsection shall be considered to permit or require the payment to any employee covered by this subsection at a rate in excess of the rate that would be payable were this subsection not in effect.

(8) The Office of Personnel Management may provide for exceptions to the limitations imposed by this subsection if the Office determines that such exceptions are necessary to ensure the recruitment or retention of qualified employees.

(b) Notwithstanding subsection (a), the adjustment in rates of basic pay for the statutory pay systems that take place in fiscal year [2016] 2017 under sections 5344 and 5348 of title 5, United States Code, shall be—

(1) not less than the percentage received by employees in the same location whose rates of basic pay are adjusted pursuant to the statutory pay systems under sections 5303 and 5304 of title 5, United States Code: *Provided*, That prevailing rate employees at locations where there are no employees whose pay is increased pursuant to sections 5303 and 5304 of title 5, United States Code, and prevailing rate employees described in section 5343(a)(5) of title 5, United States Code, shall be considered to be located in the pay locality designated as "Rest of United States" pursuant to section 5304 of title 5, United States Code, for purposes of this subsection; and

(2) effective as of the first day of the first applicable pay period beginning after September 30, [2015] 2016.

SEC. [738] 731. (a) The Vice President may not receive a pay raise in calendar year [2016] 2017, notwithstanding the rate adjustment made under section 104 of title 3, United States Code, or any other provision of law.

(b) An employee serving in an Executive Schedule position, or in a position for which the rate of pay is fixed by statute at an Executive Schedule rate, may not receive a pay rate increase in calendar year [2016] 2017, notwithstanding schedule adjustments made under section 5318 of title 5, United States Code, or any other provision of law, except as provided in subsection (g), (h), or (i). This subsection applies only to employees who are holding a position under a political appointment.

(c) A chief of mission or ambassador at large may not receive a pay rate increase in calendar year [2016] 2017, notwithstanding section 401 of the Foreign Service Act of 1980 (Public Law 96-465) or any other provision of law, except as provided in subsection (g), (h), or (i).

(d) Notwithstanding sections 5382 and 5383 of title 5, United States Code, a pay rate increase may not be received in calendar year [2016] 2017 (except as provided in subsection (g), (h), or (i)) by—

(1) a noncareer appointee in the Senior Executive Service paid a rate of basic pay at or above level IV of the Executive Schedule; or

(2) a limited term appointee or limited emergency appointee in the Senior Executive Service serving under a political appointment and paid a rate of basic pay at or above level IV of the Executive Schedule.

(e) Any employee paid a rate of basic pay (including any locality-based payments under section 5304 of title 5, United States Code, or similar authority) at or above level IV of the Executive Schedule who serves under a political appointment may not receive a pay rate increase in calendar year [2016] 2017, notwithstanding any other provision of law, except as provided in subsection (g), (h), or (i). This subsection does not apply to employees in the General Schedule pay system or the Foreign Service pay system, or to employees appointed under section 3161 of title 5, United States Code, or to employees in another pay system whose position would be classified at GS-15 or below if chapter 51 of title 5, United States Code, applied to them.

(f) Nothing in subsections (b) through (e) shall prevent employees who do not serve under a political appointment from receiving pay increases as otherwise provided under applicable law.

(g) A career appointee in the Senior Executive Service who receives a Presidential appointment and who makes an election to retain Senior Executive Service basic pay entitlements under section 3392 of title 5, United States Code, is not subject to this section.

(h) A member of the Senior Foreign Service who receives a Presidential appointment to any position in the executive branch and who makes an election to retain Senior Foreign Service pay entitlements under section 302(b) of the Foreign Service Act of 1980 (Public Law 96-465) is not subject to this section.

(i) Notwithstanding subsections (b) through (e), an employee in a covered position may receive a pay rate increase upon an authorized movement to a different covered position with higher-level duties and a pre-established higher level or range of pay, except that any such increase must be based on the rates of pay and applicable pay limitations in effect on December 31, 2013.

(j) Notwithstanding any other provision of law, for an individual who is newly appointed to a covered position during the period of time subject to this section, the initial pay rate shall be based on the rates of pay and applicable pay limitations in effect on December 31, 2013.

(k) If an employee affected by subsections (b) through (e) is subject to a biweekly pay period that begins in calendar year [2016] 2017 but ends in calendar year [2017] 2018, the bar on the employee's receipt of pay rate increases shall apply through the end of that pay period.

SEC. [739] 732. (a) The head of any Executive branch department, agency, board, commission, or office funded by this or any other appropriations Act shall submit annual reports to the Inspector General or senior ethics official for any entity without an Inspector General, regarding the costs and contracting procedures related to each conference held by any such department, agency, board, commission, or office

during fiscal year [2016] 2017 for which the cost to the United States Government was more than \$100,000.

(b) Each report submitted shall include, for each conference described in subsection (a) held during the applicable period—

(1) a description of its purpose;

(2) the number of participants attending;

(3) a [detailed statement] *general description* of the costs to the United States Government, including—

(A) the cost of any food or beverages;

(B) the cost of any audio-visual services; and

(C) the cost of employee or contractor travel to and from the conference [; and]

[(D) a discussion of the methodology used to determine which costs relate to the conference; and]

[(4) a description of the contracting procedures used including—

(A) whether contracts were awarded on a competitive basis; and

(B) a discussion of any cost comparison conducted by the departmental component or office in evaluating potential contractors for the conference].

(c) [Within 15 days of the date of] *Quarterly*, a conference held by any Executive branch department, agency, board, commission, or office funded by this or any other appropriations Act during fiscal year [2016] 2017 for which the cost to the United States Government was more than [\$20,000] \$50,000, the head of any such department, agency, board, commission, or office shall notify the Inspector General or senior ethics official for any entity without an Inspector General, of the date, location, and number of employees attending such conference.

(d) A grant or contract funded by amounts appropriated by this or any other appropriations Act may not be used for the purpose of defraying the costs of a conference described in subsection (c) that is not directly and programmatically related to the purpose for which the grant or contract was awarded, such as a conference held in connection with planning, training, assessment, review, or other routine purposes related to a project funded by the grant or contract.

(e) None of the funds made available in this or any other appropriations Act may be used for travel and conference activities that are not in compliance with Office of Management and Budget Memorandum M-12-12 dated May 11, 2012 or any subsequent revisions to that memorandum.

[SEC. 740. None of the funds made available in this or any other appropriations Act may be used to increase, eliminate, or reduce funding for a program, project, or activity as proposed in the President's budget request for a fiscal year until such proposed change is subsequently enacted in an appropriation Act, or unless such change is made pursuant to the reprogramming or transfer provisions of this or any other appropriations Act.]

SEC. [741] 733. None of the funds made available by this or any other Act may be used to implement, administer, enforce, or apply the rule entitled "Competitive Area" published by the Office of Personnel Management in the Federal Register on April 15, 2008 (73 Fed. Reg. 20180 et seq.).

SEC. [742] 734. None of the funds appropriated or otherwise made available by this or any other Act may be used to begin or announce a study or public-private competition regarding the conversion to contractor performance of any function performed by Federal employees pursuant to Office of Management and Budget Circular A-76 or any other administrative regulation, directive, or policy.

SEC. [743] 735. (a) None of the funds appropriated or otherwise made available by this or any other Act may be available for a contract, grant, or cooperative agreement with an entity that requires employees or contractors of such entity seeking to report fraud, waste, or abuse to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(b) The limitation in subsection (a) shall not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a Federal department or agency governing the nondisclosure of classified information.

[SEC. 744. (a) No funds appropriated in this or any other Act may be used to implement or enforce the agreements in Standard Forms 312 and 4414 of the Government or any other nondisclosure policy, form, or agreement if such policy, form, or agreement does not contain the following provisions: "These provisions are consistent with and do not supersede, conflict with, or otherwise alter the employee obligations, rights, or liabilities created by existing statute or Executive order relating to (1) classified information, (2) communications to Congress, (3) the reporting to an Inspector General of a violation of any law, rule, or regulation, or mismanagement, a gross waste of funds, an abuse of authority, or a substantial and specific danger to public health or safety, or (4) any other whistleblower protection. The definitions, requirements, obligations, rights, sanctions, and liabilities created by controlling

Executive orders and statutory provisions are incorporated into this agreement and are controlling." *Provided*, That notwithstanding the preceding provision of this section, a nondisclosure policy form or agreement that is to be executed by a person connected with the conduct of an intelligence or intelligence-related activity, other than an employee or officer of the United States Government, may contain provisions appropriate to the particular activity for which such document is to be used. Such form or agreement shall, at a minimum, require that the person will not disclose any classified information received in the course of such activity unless specifically authorized to do so by the United States Government. Such nondisclosure forms shall also make it clear that they do not bar disclosures to Congress, or to an authorized official of an executive agency or the Department of Justice, that are essential to reporting a substantial violation of law.

(b) A nondisclosure agreement may continue to be implemented and enforced notwithstanding subsection (a) if it complies with the requirements for such agreement that were in effect when the agreement was entered into.

(c) No funds appropriated in this or any other Act may be used to implement or enforce any agreement entered into during fiscal year 2014 which does not contain substantially similar language to that required in subsection (a).】

SEC. 【745】736. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 【746】737. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

【SEC. 747. (a) The Act entitled "An Act providing for the incorporation of certain persons as Group Hospitalization and Medical Services, Inc.", approved August 11, 1939 (53 Stat. 1412), is amended—

- (1) by redesignating section 11 as section 12; and
- (2) by inserting after section 10 the following:

"SEC. The surplus of the corporation is for the benefit and protection of all of its certificate holders and shall be available for the satisfaction of all obligations of the corporation regardless of the jurisdiction in which such surplus originated or such obligations arise. The corporation shall not divide, attribute, distribute, or reduce its surplus pursuant to any statute, regulation, or order of any jurisdiction without the express agreement of the District of Columbia, Maryland, and Virginia—

- "(1) that the entire surplus of the corporation is excessive; and
- "(2) to any plan for reduction or distribution of surplus."

(b) The amendments made by subsection (a) shall apply with respect to the surplus of Group Hospitalization and Medical Services, Inc. for any year after 2011.】

【SEC. 748. (a) During fiscal year 2016, on the date on which a request is made for a transfer of funds in accordance with section 1017 of Public Law 111–203, the Bureau of Consumer Financial Protection shall notify the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate of such request.

(b) Any notification required by this section shall be made available on the Bureau's public Web site.】

SEC. 【749】738. (a) Notwithstanding the time limitations specified in section 3744 of title 10, United States Code, or any other time limitation with respect to the awarding of certain medals to persons who served in the Armed Forces, the President may award the Medal of Honor under section 3741 of such title to Charles S. Kettles for the acts of valor during the Vietnam War described in subsection (b).

(b) The acts of valor referred to in subsection (a) are the actions of Charles S. Kettles during combat operations on May 15, 1967, while serving as Flight Commander, 176th Aviation Company, 14th Aviation Battalion, Task Force Oregon, Republic of Vietnam, for which he was previously awarded the Distinguished Service Cross.

【SEC. 750. (a) None of the funds made available under this or any other Act may be used to—

(1) implement, administer, carry out, modify, revise, or enforce Executive Order 13690, entitled "Establishing a Federal Flood Risk Management Standard and a Process for Further Soliciting and Considering Stakeholder Input" (issued January 30, 2015), other than for—

- (A) acquiring, managing, or disposing of Federal lands and facilities;
  - (B) providing federally undertaken, financed, or assisted construction or improvements; or
  - (C) conducting Federal activities or programs affecting land use, including water and related land resources planning, regulating, and licensing activities;
- (2) implement Executive Order 13690 in a manner that modifies the non-grant components of the National Flood Insurance Program; or

(3) apply Executive Order 13690 or the Federal Flood Risk Management Standard by any component of the Department of Defense, including the Army Corps of Engineers in a way that changes the "floodplain" considered when determining whether or not to issue a Department of the Army permit under section 404 of the Clean Water Act or section 10 of the Rivers and Harbors Act.

(b) Subsection (a) of this section shall not be in effect during the period beginning on October 1, 2016 and ending on September 30, 2017.】

【SEC. 751. Except as expressly provided otherwise, any reference to "this Act" contained in any title other than title IV or VIII shall not apply to such title IV or VIII.】

SEC. 739. *If, for fiscal year 2017, new budget authority provided in appropriations Acts exceeds the discretionary spending limit for any category set forth in section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985 due to estimating differences with the Congressional Budget Office, an adjustment to the discretionary spending limit in such category for fiscal year 2017 shall be made by the Director of the Office of Management and Budget in the amount of the excess but the total of all such adjustments shall not exceed 0.2 percent of the sum of the adjusted discretionary spending limits for all categories for that fiscal year. (Financial Services and General Government Appropriations Act, 2016.)*



# LEGISLATIVE BRANCH

## SENATE

### Federal Funds

#### SENATE

##### EXPENSE ALLOWANCES

For expense allowances of the Vice President, \$18,760; the President Pro Tempore of the Senate, \$37,520; Majority Leader of the Senate, \$39,920; Minority Leader of the Senate, \$39,920; Majority Whip of the Senate, \$9,980; Minority Whip of the Senate, \$9,980; Chairmen of the Majority and Minority Conference Committees, \$4,690 for each Chairman; and Chairmen of the Majority and Minority Policy Committees, \$4,690 for each Chairman; in all, \$174,840. (*Legislative Branch Appropriations Act, 2016.*)

##### REPRESENTATION ALLOWANCES FOR THE MAJORITY AND MINORITY LEADERS

For representation allowances of the Majority and Minority Leaders of the Senate, \$14,070 for each such Leader; in all, \$28,140. (*Legislative Branch Appropriations Act, 2016.*)

##### SALARIES, OFFICERS AND EMPLOYEES

For compensation of officers, employees, and others as authorized by law, including agency contributions, **[\$179,185,311]** \$186,260,812, which shall be paid from this appropriation without regard to the following limitations:

##### OFFICE OF THE VICE PRESIDENT

For the Office of the Vice President, **[\$2,417,248]** \$2,474,248.

##### OFFICE OF THE PRESIDENT PRO TEMPORE

For the Office of the President Pro Tempore, **[\$723,466]** \$741,466.

##### OFFICES OF THE MAJORITY AND MINORITY LEADERS

For Offices of the Majority and Minority Leaders, **[\$5,255,576]** \$5,377,576.

##### OFFICES OF THE MAJORITY AND MINORITY WHIPS

For Offices of the Majority and Minority Whips, **[\$3,359,424]** \$3,439,424.

##### COMMITTEE ON APPROPRIATIONS

For salaries of the Committee on Appropriations, **[\$15,142,000]** \$15,142,000.

##### CONFERENCE COMMITTEES

For the Conference of the Majority and the Conference of the Minority, at rates of compensation to be fixed by the Chairman of each such committee, **[\$1,658,000]** \$1,698,000 for each such committee; in all, **[\$3,316,000]** \$3,396,000.

##### OFFICES OF THE SECRETARIES OF THE CONFERENCE OF THE MAJORITY AND THE CONFERENCE OF THE MINORITY

For Offices of the Secretaries of the Conference of the Majority and the Conference of the Minority, **[\$817,402]** \$839,402.

##### POLICY COMMITTEES

For salaries of the Majority Policy Committee and the Minority Policy Committee, **[\$1,692,905]** \$1,732,905 for each such committee; in all, **[\$3,385,810]** \$3,465,810.

##### OFFICE OF THE CHAPLAIN

For Office of the Chaplain, **[\$436,886]** \$447,886.

##### OFFICE OF THE SECRETARY

For Office of the Secretary, **[\$24,772,000]** \$25,339,000.

##### OFFICE OF THE SERGEANT AT ARMS AND DOORKEEPER

For Office of the Sergeant at Arms and Doorkeeper, **[\$69,000,000]** \$72,500,000.

##### OFFICES OF THE SECRETARIES FOR THE MAJORITY AND MINORITY

For Offices of the Secretary for the Majority and the Secretary for the Minority, **[\$1,762,000]** \$1,804,000.

## AGENCY CONTRIBUTIONS AND RELATED EXPENSES

For agency contributions for employee benefits, as authorized by law, and related expenses, **[\$48,797,499]** \$51,294,000. (*Legislative Branch Appropriations Act, 2016.*)

##### OFFICE OF THE LEGISLATIVE COUNSEL OF THE SENATE

For salaries and expenses of the Office of the Legislative Counsel of the Senate, **[\$5,408,500]** \$5,842,300. (*Legislative Branch Appropriations Act, 2016.*)

##### OFFICE OF SENATE LEGAL COUNSEL

For salaries and expenses of the Office of Senate Legal Counsel, **[\$1,120,000]** \$1,144,000. (*Legislative Branch Appropriations Act, 2016.*)

##### EXPENSE ALLOWANCES OF THE SECRETARY OF THE SENATE, SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE, AND SECRETARIES FOR THE MAJORITY AND MINORITY OF THE SENATE

For expense allowances of the Secretary of the Senate, \$7,110; Sergeant at Arms and Doorkeeper of the Senate, \$7,110; Secretary for the Majority of the Senate, \$7,110; Secretary for the Minority of the Senate, \$7,110; in all, \$28,440. (*Legislative Branch Appropriations Act, 2016.*)

##### CONTINGENT EXPENSES OF THE SENATE

##### INQUIRIES AND INVESTIGATIONS

For expenses of inquiries and investigations ordered by the Senate, or conducted under paragraph 1 of rule XXVI of the Standing Rules of the Senate, section 112 of the Supplemental Appropriations and Rescission Act, 1980 (Public Law 96-304), and Senate Resolution 281, 96th Congress, agreed to March 11, 1980, **[\$133,265,000]** \$135,799,075, of which **[\$26,650,000]** \$26,650,000 shall remain available until September 30, **[2018]** 2019. (*Legislative Branch Appropriations Act, 2016.*)

##### EXPENSES OF THE UNITED STATES SENATE CAUCUS ON INTERNATIONAL NARCOTICS CONTROL

For expenses of the United States Senate Caucus on International Narcotics Control, **[\$508,000]** \$520,000. (*Legislative Branch Appropriations Act, 2016.*)

##### SECRETARY OF THE SENATE

For expenses of the Office of the Secretary of the Senate, **[\$8,750,000]** \$10,250,000, of which \$4,350,000 shall remain available until September 30, **[2020]** 2021, and of which **[\$2,500,000]** \$4,000,000 shall remain available until expended. (*Legislative Branch Appropriations Act, 2016.*)

##### SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE

For expenses of the Office of the Sergeant at Arms and Doorkeeper of the Senate, **[\$130,000,000]** \$128,535,000, which shall remain available until September 30, **[2020]** 2021. (*Legislative Branch Appropriations Act, 2016.*)

##### MISCELLANEOUS ITEMS

For miscellaneous items, **[\$21,390,270]** \$20,870,349, which shall remain available until September 30, **[2018]** 2019. (*Legislative Branch Appropriations Act, 2016.*)

## SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT

For Senators' Official Personnel and Office Expense Account, **[\$390,000,000]** \$445,933,464, of which **[\$19,121,212]** \$21,850,740 shall remain available until September 30, **[2018]** 2019. (*Legislative Branch Appropriations Act, 2016.*)

## OFFICIAL MAIL COSTS

For expenses necessary for official mail costs of the Senate, \$300,000. (*Legislative Branch Appropriations Act, 2016.*)

## 【ADMINISTRATIVE PROVISIONS】

【REQUIRING AMOUNTS REMAINING IN SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT】

【SEC. 1. Notwithstanding any other provision of law, any amounts appropriated under this Act under the heading "Senate" under the heading "Contingent Expenses of the Senate" under the heading "Senators' Official Personnel and Office Expense Account" shall be available for obligation only during the fiscal year or fiscal years for which such amounts are made available. Any unexpended balances under such allowances remaining after the end of the period of availability shall be returned to the Treasury in accordance with the undesignated paragraph under the center heading "General Provision" under chapter XI of the Third Supplemental Appropriation Act, 1957 (2 U.S.C. 4107) and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).】

## 【AUTHORITY FOR TRANSFER OF FUNDS】

【SEC. 2. Section 1 of the Legislative Branch Appropriations Act, 1991 (2 U.S.C. 6153) is amended—

(1) by redesignating subsections (c) and (d) as subsections (d) and (e), respectively;

(2) by inserting after subsection (b) the following:

"(c)

"(1) The Chaplain of the Senate may, during any fiscal year, at the election of the Chaplain of the Senate, transfer funds from the appropriation account for salaries for the Office of the Chaplain of the Senate to the account, within the contingent fund of the Senate, from which expenses are payable for the Office of the Chaplain.

"(2) The Chaplain of the Senate may, during any fiscal year, at the election of the Chaplain of the Senate, transfer funds from the appropriation account for expenses, within the contingent fund of the Senate, for the Office of the Chaplain to the account from which salaries are payable for the Office of the Chaplain of the Senate.";

(3) in subsection (d), as so redesignated—

(A) in paragraph (1), by inserting "or the Office of the Chaplain of the Senate, as the case may be," after "such committee" each place it appears; and

(B) in paragraph (2), by inserting "or the Chaplain of the Senate, as the case may be," after "the Chairman"; and

(4) in subsection (e), as so redesignated, by inserting "or the Chaplain of the Senate, as the case may be," after "The Chairman of a committee".】

(*Legislative Branch Appropriations Act, 2016.*)

## HOUSE OF REPRESENTATIVES

## Federal Funds

## SALARIES AND EXPENSES

For salaries and expenses of the House of Representatives, **[\$1,180,736,000]** \$1,187,310,000, as follows:

## HOUSE LEADERSHIP OFFICES

For salaries and expenses, as authorized by law, \$22,278,891, including: Office of the Speaker, \$6,645,417, including \$25,000 for official expenses of the Speaker; Office of the Majority Floor Leader, \$2,180,048, including \$10,000 for official expenses of the Majority Leader; Office of the Minority Floor Leader, \$7,114,471, including \$10,000 for official expenses of the Minority Leader; Office of the Majority Whip, including the Chief Deputy Majority Whip, \$1,886,632, including \$5,000 for official expenses of the Majority Whip; Office of the Minority Whip, including the Chief Deputy Minority Whip, \$1,459,639, including \$5,000 for official expenses of the Minority Whip; Republican Conference, \$1,505,426; Democratic Caucus,

\$1,487,258: *Provided*, That such amount for salaries and expenses shall remain available from January 3, **[2016]** 2017 until January 2, **[2017]** 2018.

## MEMBERS' REPRESENTATIONAL ALLOWANCES

INCLUDING MEMBERS' CLERK HIRE, OFFICIAL EXPENSES OF MEMBERS, AND OFFICIAL MAIL

For Members' representational allowances, including Members' clerk hire, official expenses, and official mail, \$554,317,732.

## COMMITTEE EMPLOYEES

## STANDING COMMITTEES, SPECIAL AND SELECT

For salaries and expenses of standing committees, special and select, authorized by House resolutions, **[\$123,903,173]** \$127,053,373: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, **[2016]** 2018, *except that \$3,150,200 of such amount shall remain available until expended for committee room upgrading.*

## COMMITTEE ON APPROPRIATIONS

For salaries and expenses of the Committee on Appropriations, \$23,271,004, including studies and examinations of executive agencies and temporary personal services for such committee, to be expended in accordance with section 202(b) of the Legislative Reorganization Act of 1946 and to be available for reimbursement to agencies for services performed: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, **[2016]** 2018.

## SALARIES, OFFICERS AND EMPLOYEES

For compensation and expenses of officers and employees, as authorized by law, **[\$178,531,768]** \$181,756,000, including: for salaries and expenses of the Office of the Clerk, including the positions of the Chaplain and the Historian, and including not more than \$25,000 for official representation and reception expenses, of which not more than \$20,000 is for the Family Room and not more than \$2,000 is for the Office of the Chaplain, **[\$24,980,898]** \$26,411,000; for salaries and expenses of the Office of the Sergeant at Arms, including the position of Superintendent of Garages and the Office of Emergency Management, and including not more than \$3,000 for official representation and reception expenses, **[\$14,827,120]** \$15,571,000 of which **[\$4,784,229]** \$5,618,902 shall remain available until expended; for salaries and expenses of the Office of the Chief Administrative Officer including not more than \$3,000 for official representation and reception expenses, \$117,165,000, of which **[\$1,350,000]** \$2,120,000 shall remain available until expended; for salaries and expenses of the Office of the Inspector General, **[\$4,741,809]** \$4,987,000; for salaries and expenses of the Office of General Counsel, **[\$1,413,450]** \$1,451,000; for salaries and expenses of the Office of the Parliamentarian, including the Parliamentarian, \$2,000 for preparing the Digest of Rules, and not more than \$1,000 for official representation and reception expenses, **[\$1,974,606]** \$2,010,000; for salaries and expenses of the Office of the Law Revision Counsel of the House, **[\$3,119,766]** \$3,182,000; for salaries and expenses of the Office of the Legislative Counsel of the House, **[\$8,352,975]** \$8,979,000; for salaries and expenses of the Office of Interparliamentary Affairs, **[\$814,069]** \$814,000; for other authorized employees, **[\$1,142,075]** \$1,186,000.

## ALLOWANCES AND EXPENSES

For allowances and expenses as authorized by House resolution or law, **[\$278,433,432]** \$278,633,000, including: supplies, materials, administrative costs and Federal tort claims, **[\$3,625,236]** \$3,625,000; official mail for committees, leadership offices, and administrative offices of the House, **[\$190,486]** \$190,000; Government contributions for health, retirement, Social Security, and other applicable employee benefits, **[\$251,629,425]** \$251,630,000, to remain available until March 31, **[2017]** 2018; Business Continuity and Disaster Recovery, **[\$16,217,008]** \$16,217,000, of which \$5,000,000 shall remain available until expended; transition activities for new members and staff, \$2,084,000, to remain available until expended; Wounded Warrior Program, \$2,500,000, to remain available until expended; Office of Congressional Ethics, **[\$1,467,030]** \$1,667,000; and miscellaneous items including purchase, exchange, maintenance, repair and operation of House motor vehicles, interparliamentary receptions, and gratuities to heirs of de-

ceased employees of the House, **[\$720,247]** \$720,000. (*Legislative Branch Appropriations Act, 2016.*)

#### ADMINISTRATIVE PROVISIONS

REQUIRING AMOUNTS REMAINING IN MEMBERS' REPRESENTATIONAL ALLOWANCES TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT

SEC. 101. (a) Notwithstanding any other provision of law, any amounts appropriated under this Act for "HOUSE OF REPRESENTATIVES—Salaries and Expenses—Members' Representational Allowances" shall be available only for fiscal year **[2016]** 2017. Any amount remaining after all payments are made under such allowances for fiscal year **[2016]** 2017 shall be deposited in the Treasury and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

(b) REGULATIONS.—The Committee on House Administration of the House of Representatives shall have authority to prescribe regulations to carry out this section.

(c) DEFINITION.—As used in this section, the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.

#### DELIVERY OF BILLS AND RESOLUTIONS

SEC. 102. None of the funds made available in this Act may be used to deliver a printed copy of a bill, joint resolution, or resolution to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) unless the Member requests a copy.

#### DELIVERY OF CONGRESSIONAL RECORD

SEC. 103. None of the funds made available by this Act may be used to deliver a printed copy of any version of the Congressional Record to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

#### LIMITATION ON AMOUNT AVAILABLE TO LEASE VEHICLES

SEC. 104. None of the funds made available in this Act may be used by the Chief Administrative Officer of the House of Representatives to make any payments from any Members' Representational Allowance for the leasing of a vehicle, excluding mobile district offices, in an aggregate amount that exceeds \$1,000 for the vehicle in any month.

#### LIMITATION ON PRINTED COPIES OF U.S. CODE TO HOUSE

SEC. 105. None of the funds made available by this Act may be used to provide an aggregate number of more than 50 printed copies of any edition of the United States Code to all offices of the House of Representatives.

#### DELIVERY OF REPORTS OF DISBURSEMENTS

SEC. 106. None of the funds made available by this Act may be used to deliver a printed copy of the report of disbursements for the operations of the House of Representatives under section 106 of the House of Representatives Administrative Reform Technical Corrections Act (2 U.S.C. 5535) to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

#### DELIVERY OF DAILY CALENDAR

SEC. 107. None of the funds made available by this Act may be used to deliver to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) a printed copy of the Daily Calendar of the House of Representatives which is prepared by the Clerk of the House of Representatives.

#### DELIVERY OF CONGRESSIONAL PICTORIAL DIRECTORY

SEC. 108. None of the funds made available by this Act may be used to deliver a printed copy of the Congressional Pictorial Directory to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress). (*Legislative Branch Appropriations Act, 2016.*)

### JOINT ITEMS

#### Federal Funds

#### **[JOINT CONGRESSIONAL COMMITTEE ON INAUGURAL CEREMONIES OF 2017]**

**[**For salaries and expenses associated with conducting the inaugural ceremonies of the President and Vice President of the United States, January 20, 2017, in accordance with such program as may be adopted by the joint congressional committee authorized to conduct the inaugural ceremonies of 2017, \$1,250,000 to be disbursed by the Secretary of the Senate and to remain available until September 30, 2017:

*Provided*, That funds made available under this heading shall be available for payment, on a direct or reimbursable basis, whether incurred on, before, or after, October 1, 2016: *Provided further*, That the compensation of any employee of the Committee on Rules and Administration of the Senate who has been designated to perform service with respect to the inaugural ceremonies of 2017 shall continue to be paid by the Committee on Rules and Administration, but the account from which such staff member is paid may be reimbursed for the services of the staff member out of funds made available under this heading: *Provided further*, That there are authorized to be paid from the appropriations account for "Expenses of Inquiries and Investigations" of the Senate such sums as may be necessary, without fiscal year limitation, for agency contributions related to the compensation of employees of the joint congressional committee. **[**(*Legislative Branch Appropriations Act, 2016.*)

#### JOINT ECONOMIC COMMITTEE

For salaries and expenses of the Joint Economic Committee, \$4,203,000, to be disbursed by the Secretary of the Senate. (*Legislative Branch Appropriations Act, 2016.*)

#### JOINT COMMITTEE ON TAXATION

For salaries and expenses of the Joint Committee on Taxation, **[\$10,095,000]** \$11,540,000, to be disbursed by the Chief Administrative Officer of the House of Representatives.

For other joint items, as follows: (*Legislative Branch Appropriations Act, 2016.*)

#### OFFICE OF THE ATTENDING PHYSICIAN

For medical supplies, equipment, and contingent expenses of the emergency rooms, and for the Attending Physician and his assistants, including:

- (1) an allowance of \$2,175 per month to the Attending Physician;
- (2) an allowance of \$1,300 per month to the Senior Medical Officer;
- (3) an allowance of \$725 per month each to three medical officers while on duty in the Office of the Attending Physician;
- (4) an allowance of \$725 per month to 2 assistants and \$580 per month each not to exceed 11 assistants on the basis heretofore provided for such assistants; and
- (5) **[\$2,692,000]** \$2,780,000 for reimbursement to the Department of the Navy for expenses incurred for staff and equipment assigned to the Office of the Attending Physician, which shall be advanced and credited to the applicable appropriation or appropriations from which such salaries, allowances, and other expenses are payable and shall be available for all the purposes thereof, **[\$3,784,000]** \$3,838,000, to be disbursed by the Chief Administrative Officer of the House of Representatives. (*Legislative Branch Appropriations Act, 2016.*)

#### OFFICE OF CONGRESSIONAL ACCESSIBILITY SERVICES

##### SALARIES AND EXPENSES

For salaries and expenses of the Office of Congressional Accessibility Services, **[\$1,400,000]** \$1,429,000, to be disbursed by the Secretary of the Senate. (*Legislative Branch Appropriations Act, 2016.*)

### CAPITOL POLICE

#### Federal Funds

##### SALARIES

For salaries of employees of the Capitol Police, including overtime, hazardous duty pay, and Government contributions for health, retirement, social security, professional liability insurance, and other applicable employee benefits, **[\$309,000,000]** \$333,128,000 of which overtime shall not exceed **[\$30,928,000]** \$35,335,000 unless the Committees on Appropriations of the House and Senate are notified, to be disbursed by the Chief of the Capitol Police or his designee. (*Legislative Branch Appropriations Act, 2016.*)

SALARIES—Continued  
Program and Financing (in millions of dollars)

Identification code 002-0477-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries (Direct) .....	288	309	333
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	286	309	333
1121 Appropriations transferred from other acct [002-0476] ....	3		
1160 Appropriation, discretionary (total) .....	289	309	333
1930 Total budgetary resources available .....	289	309	333
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	15	22
3010 Obligations incurred, unexpired accounts .....	288	309	333
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-289	-302	-332
3050 Unpaid obligations, end of year .....	15	22	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	15	22
3200 Obligated balance, end of year .....	15	22	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	289	309	333
Outlays, gross:			
4010 Outlays from new discretionary authority .....	277	287	310
4011 Outlays from discretionary balances .....	12	15	22
4020 Outlays, gross (total) .....	289	302	332
4180 Budget authority, net (total) .....	289	309	333
4190 Outlays, net (total) .....	289	302	332

Object Classification (in millions of dollars)

Identification code 002-0477-0-1-801	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	215	231	248
12.1 Civilian personnel benefits .....	73	78	85
99.9 Total new obligations .....	288	309	333

Employment Summary

Identification code 002-0477-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,088	2,266	2,338

GENERAL EXPENSES

For necessary expenses of the Capitol Police, including motor vehicles, communications and other equipment, security equipment and installation, uniforms, weapons, supplies, materials, training, medical services, forensic services, stenographic services, personal and professional services, the employee assistance program, the awards program, postage, communication services, travel advances, relocation of instructor and liaison personnel for the Federal Law Enforcement Training Center, and not more than \$5,000 to be expended on the certification of the Chief of the Capitol Police in connection with official representation and reception expenses, **[\$66,000,000] \$76,460,000**, to be disbursed by the Chief of the Capitol Police or his designee: *Provided*, That, notwithstanding any other provision of law, the cost of basic training for the Capitol Police at the Federal Law Enforcement Training Center for fiscal year **[2016]2017** shall be paid by the Secretary of Homeland Security from funds available to the Department of Homeland Security. (*Legislative Branch Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 002-0476-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General Expenses (Direct) .....	58	66	76
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	10	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	66	76
1120 Appropriations transferred to other acct [002-0477] .....	-3		
1160 Appropriation, discretionary (total) .....	58	66	76
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	3	3	3
1900 Budget authority (total) .....	61	69	79
1930 Total budgetary resources available .....	69	79	91
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	-1	-1
1941 Unexpired unobligated balance, end of year .....	10	12	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	38	14
3010 Obligations incurred, unexpired accounts .....	58	66	76
3020 Outlays (gross) .....	-55	-90	-87
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	38	14	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-2	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	37	12
3200 Obligated balance, end of year .....	37	12	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	61	69	79
Outlays, gross:			
4010 Outlays from new discretionary authority .....	28	52	59
4011 Outlays from discretionary balances .....	27	38	28
4020 Outlays, gross (total) .....	55	90	87
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
4070 Budget authority, net (discretionary) .....	58	66	76
4080 Outlays, net (discretionary) .....	53	88	85
4180 Budget authority, net (total) .....	58	66	76
4190 Outlays, net (total) .....	53	88	85

Object Classification (in millions of dollars)

Identification code 002-0476-0-1-801	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	10	14	13
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services from non-Federal sources .....	30	33	36
26.0 Supplies and materials .....	3	4	5
31.0 Equipment .....	12	12	19
99.9 Total new obligations .....	58	66	76

SECURITY ENHANCEMENTS

Program and Financing (in millions of dollars)

Identification code 002-0461-0-1-801	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

ADMINISTRATIVE PROVISIONS

【DEPOSIT OF REIMBURSEMENTS FOR LAW ENFORCEMENT ASSISTANCE】

【IN GENERAL.—Section 2802(a)(1) of the Supplemental Appropriations Act, 2001 (2 U.S.C. 1905(a)(1)) is amended by striking "District of Columbia" and inserting the following: "District of Columbia), and from any other source in the case of assistance provided in connection with an activity that was not sponsored by Congress".】

【CONFORMING AMENDMENT.—Section 2802(a)(2) of such Act (2 U.S.C. 1905(a)(2)) is amended by striking "law enforcement assistance to any Federal, State, or local government agency (including any agency of the District of Columbia)" and inserting "any law enforcement assistance for which reimbursement described in paragraph (1) is made".】

【EFFECTIVE DATE.—The amendments made by this section shall only apply with respect to any reimbursement received before, on, or after the date of the enactment of the Act.】

2 U.S.C. § 1906 is amended by inserting "and acceptance" after "Disposal" in the title, by inserting ", including disposed, forfeited, voluntarily abandoned and unclaimed property" after "surplus or obsolete property" and by inserting "and the Capitol Police may accept the surplus or obsolete property of federal agencies upon notification to the Committees on Appropriations of the House of Representatives and the Senate" after "method" in subsection (a). (Legislative Branch Appropriations Act, 2016.)

OFFICE OF COMPLIANCE

Federal Funds

SALARIES AND EXPENSES

For salaries and expenses of the Office of Compliance, as authorized by section 305 of the Congressional Accountability Act of 1995 (2 U.S.C. 1385), 【\$3,959,000】 \$4,315,151, of which \$450,000 shall remain available until September 30, 【2017】2018: Provided, That not more than \$500 may be expended on the certification of the Executive Director of the Office of Compliance in connection with official representation and reception expenses. (Legislative Branch Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 009-1600-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	4	4	4
0900 Total new obligations .....	4	4	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4

Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

The Congressional Accountability Act of 1995 (CAA) established an independent Office of Compliance to apply the rights and protections of the following labor and employment statutes to covered employees within the Legislative Branch: the Fair Labor Standards Act of 1938, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act of 1990, the Age Discrimination in Employment Act of 1967, the Family and Medical Leave Act of 1993, the Occupational Safety and Health Act of 1970, chapter 71 of title 5 of the U.S. Code (relating to Federal service labor-management relations), the Employee Polygraph Protection Act of 1988, the Worker Adjustment and Retraining Notification Act, the Rehabilitation Act of 1973, and chapter 43 of title 38 of the U.S. Code (relating to veterans' employment and reemployment). This Act was amended in 1998 to apply the Veterans Employment Opportunities Act. In 2008, the CAA was amended to apply the Genetic Information and Nondiscrimination Act of 2008.

The Office provides employees and employing offices with an independent, neutral dispute resolution process, as an alternative to the court system, through which they may adjudicate claims under the laws applied by the CAA. The Office is headed by a five-member Board of Directors, who are appointed jointly by the House and Senate majority and minority leadership.

Object Classification (in millions of dollars)

Identification code 009-1600-0-1-801	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	4	4	4

Employment Summary

Identification code 009-1600-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18	22	22

AWARDS AND SETTLEMENTS FUNDS

Section 415 of the Congressional Accountability Act (CAA) established "an account of the Office in the Treasury of the United States for the payment of awards and settlements under this Act," and further authorized to be appropriated "such sums as may be necessary to pay such awards and settlements." Section 415 stipulated that awards and settlements under the CAA should only be paid from that account, which was to be kept separate from the operating expenses account of the Office of Compliance.

The Legislative Branch Appropriations Acts have appropriated funds for awards and settlements under the CAA by means of the following language:

Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of Public Law 104-1 to pay awards and settlements as authorized under such subsection.

CONGRESSIONAL BUDGET OFFICE

Federal Funds

SALARIES AND EXPENSES

For salaries and expenses necessary for operation of the Congressional Budget Office, including not more than \$6,000 to be expended on the certification of the Director of the Congressional Budget Office in connection with official representation and reception expenses, 【\$46,500,000】 \$47,637,000. (Legislative Branch Appropriations Act, 2016.)

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 008-0100-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	46	47	48
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	46	47	48
1930 Total budgetary resources available .....	46	47	48
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	6
3010 Obligations incurred, unexpired accounts .....	46	47	48
3020 Outlays (gross) .....	-46	-45	-47
3050 Unpaid obligations, end of year .....	4	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	6
3200 Obligated balance, end of year .....	4	6	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	46	47	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....	42	42	43
4011 Outlays from discretionary balances .....	4	3	4
4020 Outlays, gross (total) .....	46	45	47
4180 Budget authority, net (total) .....	46	47	48
4190 Outlays, net (total) .....	46	45	47

The Congressional Budget Office (CBO) was established as a non-partisan office of Congress by Title II of the Congressional Budget and Impoundment Control Act of 1974 (2 U.S.C. 601 et seq.). CBO provides objective economic and budgetary analysis and information to assist Congress in the fulfillment of its responsibilities. That information includes forecasts of the economy, analyses of economic trends and alternative fiscal policies, long-term projections of Federal spending and revenue, and, upon request, studies on budget-related issues. In addition, CBO provides Congress with multi-year cost estimates for reported bills, as well as analyses of the costs of state, local, tribal, or private sector mandates.

Object Classification (in millions of dollars)

Identification code 008-0100-0-1-801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	29	30	31
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	31	31	32
12.1 Civilian personnel benefits .....	10	11	11
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1		
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	2	2	2
99.9 Total new obligations .....	46	47	48

Employment Summary

Identification code 008-0100-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	233	235	238

ADMINISTRATIVE PROVISION

HIRING EMPLOYEES WITH NON-IMMIGRANT VISAS

SEC. 1001. During fiscal year 2017, appropriations available to the Congressional Budget Office may be used to pay the compensation of employees in specialty occupations with non-immigrant visas.

AUTHORIZATION FOR SENIOR POSITIONS

SEC. 1002. SENIOR POSITIONS

(a) AUTHORIZATION

—The Director of the Congressional Budget Office is authorized to establish senior positions to meet critical scientific, technical, professional, and executive needs of the Congressional Budget Office.

(b) REGULATIONS

—The Director may, by regulation, make applicable such provisions of chapter 53 of title 5, United States Code, as the Director determines necessary to provide for such positions.

(c) SELF-CERTIFICATION OF PERFORMANCE APPRAISAL SYSTEM

—Notwithstanding any provision of section 5307(d)(3) of title 5, United States Code, any regulations, certifications, or other measures necessary to carry out this section with respect to employees of the Congressional Budget Office, shall be the responsibility of the Director.

(d) APPLICABILITY

—This section shall apply to fiscal year 2017 and each fiscal year thereafter.

ARCHITECT OF THE CAPITOL

Federal Funds

CAPITAL CONSTRUCTION AND OPERATIONS

For salaries for the Architect of the Capitol, and other personal services, at rates of pay provided by law; for all necessary expenses for surveys and studies, construction, operation, and general and administrative support in connection with facilities and activities under the care of the Architect of the Capitol including the Botanic Garden; electrical substations of the Capitol, Senate and House office buildings, and other facilities under the jurisdiction of the Architect of the Capitol; including furnishings and office equipment; including not more than \$5,000 for official reception and representation expenses, to be expended as the Architect of the Capitol may approve; for purchase or exchange, maintenance, and operation of a passenger motor vehicle, **[\$91,589,000]** \$103,650,000, of which \$5,268,000 shall remain available until September 30, 2021. (Legislative Branch Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 001-0100-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General Administration (Direct) .....	92	92	104
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	91	92	104
1930 Total budgetary resources available .....	94	92	104
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	25	30
3010 Obligations incurred, unexpired accounts .....	92	92	104
3011 Obligations incurred, expired accounts .....	8		
3020 Outlays (gross) .....	-96	-87	-107
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	25	30	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	24	25	30
3200 Obligated balance, end of year .....	25	30	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	91	92	104
Outlays, gross:			
4010 Outlays from new discretionary authority .....	72	83	94
4011 Outlays from discretionary balances .....	24	4	13
4020 Outlays, gross (total) .....	96	87	107
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	4		

4070	Budget authority, net (discretionary) .....	91	92	104
4080	Outlays, net (discretionary) .....	92	87	107
4180	Budget authority, net (total) .....	91	92	104
4190	Outlays, net (total) .....	92	87	107

**Object Classification** (in millions of dollars)

Identification code 001-0100-0-1-801	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	39	40	42
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	40	41	43
12.1	Civilian personnel benefits .....	15	16	17
25.1	Advisory and assistance services .....	14	13	19
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	1	1	16
25.4	Operation and maintenance of facilities .....	15	14	.....
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	3	3	5
99.9	Total new obligations .....	92	92	104

**Employment Summary**

Identification code 001-0100-0-1-801	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	381	402	407

**CAPITOL BUILDING**

For all necessary expenses for the maintenance, care and operation of the Capitol, **[\$46,737,000]** \$44,010,000, of which **[\$22,737,000]** \$18,551,000 shall remain available until September 30, **[2020]** 2021. (Legislative Branch Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 001-0105-0-1-801	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Capitol Building (Direct) .....	67	47	44
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	45	35	35
1021	Recoveries of prior year unpaid obligations .....	2	.....	.....
1050	Unobligated balance (total) .....	47	35	35
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	55	47	44
1930	Total budgetary resources available .....	102	82	79
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	35	35	35
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	56	55	45
3010	Obligations incurred, unexpired accounts .....	67	47	44
3011	Obligations incurred, expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-66	-57	-58
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	55	45	31
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	56	55	45
3200	Obligated balance, end of year .....	55	45	31
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	55	47	44
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	32	19	18
4011	Outlays from discretionary balances .....	34	38	40
4020	Outlays, gross (total) .....	66	57	58
4180	Budget authority, net (total) .....	55	47	44
4190	Outlays, net (total) .....	66	57	58

Included in this presentation is "Alterations and improvements, buildings and grounds, to provide facilities for the physically handicapped."

**Object Classification** (in millions of dollars)

Identification code 001-0105-0-1-801	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	13	12	13
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	16	15	16
12.1	Civilian personnel benefits .....	6	6	6
25.1	Advisory and assistance services .....	7	3	2
25.4	Operation and maintenance of facilities .....	2	2	2
26.0	Supplies and materials .....	3	2	2
32.0	Land and structures .....	33	19	16
99.9	Total new obligations .....	67	47	44

**Employment Summary**

Identification code 001-0105-0-1-801	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	217	226	226

**CAPITOL GROUNDS**

For all necessary expenses for care and improvement of grounds surrounding the Capitol, the Senate and House office buildings, and the Capitol Power Plant, **[\$11,880,000]** \$13,083,000, of which **[\$2,000,000]** \$2,946,000 shall remain available until September 30, **[2020]** 2021. (Legislative Branch Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 001-0108-0-1-801	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Capitol Grounds (Direct) .....	12	12	13
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	8	8	8
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	12	12	13
1930	Total budgetary resources available .....	20	20	21
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	8	8	8
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4	3
3010	Obligations incurred, unexpired accounts .....	12	12	13
3020	Outlays (gross) .....	-12	-13	-13
3050	Unpaid obligations, end of year .....	4	3	3
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	4	4	3
3200	Obligated balance, end of year .....	4	3	3
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	12	12	13
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	8	8	9
4011	Outlays from discretionary balances .....	4	5	4
4020	Outlays, gross (total) .....	12	13	13
4180	Budget authority, net (total) .....	12	12	13
4190	Outlays, net (total) .....	12	13	13

**Object Classification** (in millions of dollars)

Identification code 001-0108-0-1-801	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	4	4	4

CAPITOL GROUNDS—Continued  
Object Classification—Continued

Identification code 001-0108-0-1-801	2015 actual	2016 est.	2017 est.
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.4 Operation and maintenance of facilities .....	2	2	2
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	1	2
99.9 Total new obligations .....	12	12	13

Employment Summary

Identification code 001-0108-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	67	73	73

SENATE OFFICE BUILDINGS

For all necessary expenses for the maintenance, care and operation of Senate office buildings; and furniture and furnishings to be expended under the control and supervision of the Architect of the Capitol, **[\$84,221,000]** \$88,406,000, of which **[\$26,283,000]** \$27,944,000 shall remain available until September 30, **[2020]** 2021. (*Legislative Branch Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 001-0123-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Senate Office Buildings (Direct) .....	67	84	88
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	61	61
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	94	84	88
1930 Total budgetary resources available .....	128	145	149
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	61	61	61
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	13	12
3010 Obligations incurred, unexpired accounts .....	67	84	88
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-75	-85	-87
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	13	12	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	13	12
3200 Obligated balance, end of year .....	13	12	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	94	84	88
Outlays, gross:			
4010 Outlays from new discretionary authority .....	54	54	56
4011 Outlays from discretionary balances .....	21	31	31
4020 Outlays, gross (total) .....	75	85	87
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	94	84	88
4080 Outlays, net (discretionary) .....	74	85	87
4180 Budget authority, net (total) .....	94	84	88
4190 Outlays, net (total) .....	74	85	87

This presentation includes the Senate restaurant fund and Senate Wellness Center fund.

Object Classification (in millions of dollars)

Identification code 001-0123-0-1-801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	27	27	28
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	32	32	33
12.1 Civilian personnel benefits .....	12	12	12
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....	3	3	3
25.1 Advisory and assistance services .....	1	1	1
25.4 Operation and maintenance of facilities .....	5	2	4
26.0 Supplies and materials .....	4	8	8
31.0 Equipment .....	1	2	2
32.0 Land and structures .....	5	20	21
99.9 Total new obligations .....	67	84	88

Employment Summary

Identification code 001-0123-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	464	498	498

HOUSE OFFICE BUILDINGS

For all necessary expenses for the maintenance, care and operation of the House office buildings, **[\$174,962,000]** \$189,528,000, of which **[\$48,885,000]** \$61,404,000 shall remain available until September 30, **[2020]** 2021, and of which \$62,000,000 shall remain available until expended for the restoration and renovation of the Cannon House Office Building.

In addition, for a payment to the House Historic Buildings Revitalization Trust Fund, \$10,000,000, to remain available until expended. (*Legislative Branch Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 001-0127-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 House Office Buildings (Direct) .....	77	175	190
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	61	70	70
1050 Unobligated balance (total) .....	61	70	70
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	159	185	200
1120 Appropriations transferred to other acts [001-1833] .....	-70	-10	-10
1160 Appropriation, discretionary (total) .....	89	175	190
1930 Total budgetary resources available .....	150	245	260
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	70	70	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	16	62
3010 Obligations incurred, unexpired accounts .....	77	175	190
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-98	-129	-175
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	16	62	77
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	36	16	62
3200 Obligated balance, end of year .....	16	62	77
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	89	175	190
Outlays, gross:			
4010 Outlays from new discretionary authority .....	59	96	105
4011 Outlays from discretionary balances .....	39	33	70
4020 Outlays, gross (total) .....	98	129	175

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-1	
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	1	
4070	Budget authority, net (discretionary) .....	89	175 190
4080	Outlays, net (discretionary) .....	97	129 175
4180	Budget authority, net (total) .....	89	175 190
4190	Outlays, net (total) .....	97	129 175

This presentation includes the House of Representatives Wellness Center fund.

**Object Classification** (in millions of dollars)

Identification code 001-0127-0-1-801	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	28	30 31
11.3	Other than full-time permanent .....	2	2 2
11.5	Other personnel compensation .....	4	4 4
11.9	Total personnel compensation .....	34	36 37
12.1	Civilian personnel benefits .....	12	13 14
23.1	Rental payments to GSA .....	13	16 16
25.1	Advisory and assistance services .....	6	28 28
25.4	Operation and maintenance of facilities .....	4	4 4
26.0	Supplies and materials .....	5	7 7
31.0	Equipment .....	1	1 7
32.0	Land and structures .....	2	70 77
99.9	Total new obligations .....	77	175 190

**Employment Summary**

Identification code 001-0127-0-1-801	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	467	503 503

**HOUSE HISTORIC BUILDINGS REVITALIZATION TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 001-1833-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	House Historic Buildings Revitalization Trust Fund (Direct) .....	91	10 10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	223	202 202
1050	Unobligated balance (total) .....	223	202 202
Budget authority:			
Appropriations, discretionary:			
1121	Appropriations transferred from other acct [001-0127] ....	70	10 10
1930	Total budgetary resources available .....	293	212 212
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	202	202 202
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	7	63 29
3010	Obligations incurred, unexpired accounts .....	91	10 10
3020	Outlays (gross) .....	-35	-44 -34
3050	Unpaid obligations, end of year .....	63	29 5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	7	63 29
3200	Obligated balance, end of year .....	63	29 5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	70	10 10
Outlays, gross:			
4010	Outlays from new discretionary authority .....	28	
4011	Outlays from discretionary balances .....	7	44 34
4020	Outlays, gross (total) .....	35	44 34
4180	Budget authority, net (total) .....	70	10 10
4190	Outlays, net (total) .....	35	44 34

**Object Classification** (in millions of dollars)

Identification code 001-1833-0-1-801	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1	Advisory and assistance services .....	21	
32.0	Land and structures .....	70	10 10
99.9	Total new obligations .....	91	10 10

**CAPITOL POWER PLANT**

For all necessary expenses for the maintenance, care and operation of the Capitol Power Plant; lighting, heating, power (including the purchase of electrical energy) and water and sewer services for the Capitol, Senate and House office buildings, Library of Congress buildings, and the grounds about the same, Botanic Garden, Senate garage, and air conditioning refrigeration not supplied from plants in any of such buildings; heating the Government Publishing Office and Washington City Post Office, and heating and chilled water for air conditioning for the Supreme Court Building, the Union Station complex, the Thurgood Marshall Federal Judiciary Building and the Folger Shakespeare Library, expenses for which shall be advanced or reimbursed upon request of the Architect of the Capitol and amounts so received shall be deposited into the Treasury to the credit of this appropriation, **[\$94,722,499]** \$105,765,000, of which **[\$17,581,499]** \$27,339,000 shall remain available until September 30, **[2020]** 2021: *Provided*, That not more than \$9,000,000 of the funds credited or to be reimbursed to this appropriation as herein provided shall be available for obligation during fiscal year **[2016]** 2017. (Legislative Branch Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 001-0133-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Capitol Power Plant (Direct) .....	91	95 106
0801	Capitol Power Plant (Reimbursable) .....	9	9 9
0900	Total new obligations .....	100	104 115
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	26	26 26
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	27	26 26
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	91	95 106
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	8	9 9
1701	Change in uncollected payments, Federal sources .....	1	
1750	Spending auth from offsetting collections, disc (total) .....	9	9 9
1900	Budget authority (total) .....	100	104 115
1930	Total budgetary resources available .....	127	130 141
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-1	
1941	Unexpired unobligated balance, end of year .....	26	26 26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	52	37 28
3010	Obligations incurred, unexpired accounts .....	100	104 115
3011	Obligations incurred, expired accounts .....	4	
3020	Outlays (gross) .....	-115	-113 -119
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	37	28 24
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1 -1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	
3071	Change in uncollected pymts, Fed sources, expired .....	1	
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1 -1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	51	36 27
3200	Obligated balance, end of year .....	36	27 23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	100	104 115

**CAPITOL POWER PLANT—Continued**  
**Program and Financing—Continued**

Identification code 001-0133-0-1-801	2015 actual	2016 est.	2017 est.
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	66	89	98
4011 Outlays from discretionary balances .....	49	24	21
4020 Outlays, gross (total) .....	115	113	119
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-8	-1	-1
4033 Non-Federal sources .....	-1	-8	-8
4040 Offsets against gross budget authority and outlays (total) ....	-9	-9	-9
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	91	95	106
4080 Outlays, net (discretionary) .....	106	104	110
4180 Budget authority, net (total) .....	91	95	106
4190 Outlays, net (total) .....	106	104	110

**Object Classification (in millions of dollars)**

Identification code 001-0133-0-1-801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7	5	6
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	8	6	7
12.1 Civilian personnel benefits .....	5	4	4
23.3 Communications, utilities, and miscellaneous charges .....	47	55	58
25.1 Advisory and assistance services .....	5	3	3
25.4 Operation and maintenance of facilities .....	24	25	32
26.0 Supplies and materials .....	2	2	2
99.0 Direct obligations .....	91	95	106
99.0 Reimbursable obligations .....	9	9	9
99.9 Total new obligations .....	100	104	115

**Employment Summary**

Identification code 001-0133-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	73	85	85

**LIBRARY BUILDINGS AND GROUNDS**

For all necessary expenses for the mechanical and structural maintenance, care and operation of the Library buildings and grounds, **[\$40,689,000]** \$65,959,000, of which **[\$15,746,000]** \$39,240,000 shall remain available until September 30, **[2020]** 2021. (Legislative Branch Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 001-0155-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Library Buildings and Grounds (Direct) .....	47	41	66
0801 Library Buildings and Grounds (Reimbursable) .....	8		
0900 Total new obligations .....	55	41	66
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	39	34	34
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	42	41	66
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	8		
1900 Budget authority (total) .....	50	41	66
1930 Total budgetary resources available .....	89	75	100
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	34	34	34

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	32	26
3010 Obligations incurred, unexpired accounts .....	55	41	66
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-33	-47	-51
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	32	26	41
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	32	26
3200 Obligated balance, end of year .....	32	26	41

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	50	41	66
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21	16	25
4011 Outlays from discretionary balances .....	12	31	26
4020 Outlays, gross (total) .....	33	47	51
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-8		
4040 Offsets against gross budget authority and outlays (total) ....	-8		
4180 Budget authority, net (total) .....	42	41	66
4190 Outlays, net (total) .....	25	47	51

**Object Classification (in millions of dollars)**

Identification code 001-0155-0-1-801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	12	11	12
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	15	13	14
12.1 Civilian personnel benefits .....	6	3	3
25.1 Advisory and assistance services .....	3		
25.4 Operation and maintenance of facilities .....	5	5	12
26.0 Supplies and materials .....	2	2	3
32.0 Land and structures .....	16	18	34
99.0 Direct obligations .....	47	41	66
99.0 Reimbursable obligations .....	8		
99.9 Total new obligations .....	55	41	66

**Employment Summary**

Identification code 001-0155-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	143	160	160

**CAPITOL POLICE BUILDINGS, GROUNDS, AND SECURITY**

For all necessary expenses for the maintenance, care and operation of buildings, grounds and security enhancements of the United States Capitol Police, wherever located, the Alternate Computer Facility, and AOC security operations, **[\$25,434,000]** \$37,513,000, of which **[\$7,901,000]** \$17,164,000 shall remain available until September 30, **[2020]** 2021. (Legislative Branch Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 001-0171-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Capitol Police Buildings, Grounds, and Security (Direct) .....	20	25	38
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	19	25	38
1930 Total budgetary resources available .....	23	28	41
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	12	10	12
3010	Obligations incurred, unexpired accounts .....	20	25	38
3020	Outlays (gross) .....	-22	-23	-28
3050	Unpaid obligations, end of year .....	10	12	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12	10	12
3200	Obligated balance, end of year .....	10	12	22

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	19	25	38
Outlays, gross:				
4010	Outlays from new discretionary authority .....	12	13	19
4011	Outlays from discretionary balances .....	10	10	9
4020	Outlays, gross (total) .....	22	23	28
4180	Budget authority, net (total) .....	19	25	38
4190	Outlays, net (total) .....	22	23	28

**Object Classification (in millions of dollars)**

Identification code 001-0171-0-1-801	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	3
12.1	Civilian personnel benefits .....	1	1	1
23.2	Rental payments to others .....	7	8	9
25.1	Advisory and assistance services .....	1	1	1
25.4	Operation and maintenance of facilities .....	8	12	14
32.0	Land and structures .....	1	1	10
99.9	Total new obligations .....	20	25	38

**Employment Summary**

Identification code 001-0171-0-1-801	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	15	16	20

**CAPITOL VISITOR CENTER**

For all necessary expenses for the operation of the Capitol Visitor Center, **[\$20,557,000] \$21,306,000.** (Legislative Branch Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 001-0161-0-1-801	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001	Capitol Visitor Center (Direct) .....	22	21	21
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**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	3	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	21	21	21
1930	Total budgetary resources available .....	25	24	24
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	5	5
3010	Obligations incurred, unexpired accounts .....	22	21	21
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-22	-21	-21
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	5	5
3200	Obligated balance, end of year .....	5	5	5

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	21	21	21
Outlays, gross:				
4010	Outlays from new discretionary authority .....	18	17	17

4011	Outlays from discretionary balances .....	4	4	4
4020	Outlays, gross (total) .....	22	21	21
4180	Budget authority, net (total) .....	21	21	21
4190	Outlays, net (total) .....	22	21	21

**Object Classification (in millions of dollars)**

Identification code 001-0161-0-1-801	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	12	12	12
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	13	13	13
12.1	Civilian personnel benefits .....	4	4	4
25.1	Advisory and assistance services .....	1	1	1
25.4	Operation and maintenance of facilities .....	3	2	2
31.0	Equipment .....	1	1	1
99.9	Total new obligations .....	22	21	21

**Employment Summary**

Identification code 001-0161-0-1-801	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	212	232	232

**CAPITOL VISITOR CENTER REVOLVING FUND**

**Program and Financing (in millions of dollars)**

Identification code 001-4296-0-3-801	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0801	Capitol Visitor Center Revolving Fund (Reimbursable) .....	2	4	4
0900	Total new obligations (object class 26.0) .....	2	4	4

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	13	15	15
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	4	4
1930	Total budgetary resources available .....	17	19	19
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	15	15	15

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	2	4	4
3020	Outlays (gross) .....	-2	-4	-4

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	3	3
4011	Outlays from discretionary balances .....		1	1
4020	Outlays, gross (total) .....	2	4	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-4	-4	-4
4040	Offsets against gross budget authority and outlays (total) ...	-4	-4	-4
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-2		

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	10	16	16
5001	Total investments, EOY: Federal securities: Par value .....	16	16	16

JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS FUND

Program and Financing (in millions of dollars)

Identification code 001-4518-0-4-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Operations and Maintenance .....	34	28	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	27	27
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	11	27	27
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	10	17	17
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	48	28	28
1801 Change in uncollected payments, Federal sources .....	1		
1825 Spending authority from offsetting collections applied to repay debt .....	-9	-17	-17
1850 Spending auth from offsetting collections, mand (total) .....	40	11	11
1900 Budget authority (total) .....	50	28	28
1930 Total budgetary resources available .....	61	55	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27	27	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	11	26
3010 Obligations incurred, unexpired accounts .....	34	28	28
3020 Outlays (gross) .....	-34	-13	-17
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	11	26	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	10	25
3200 Obligated balance, end of year .....	10	25	36
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	50	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	29	11	11
4101 Outlays from mandatory balances .....	5	2	6
4110 Outlays, gross (total) .....	34	13	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-42	-28	-28
4123 Non-Federal sources .....	-6		
4130 Offsets against gross budget authority and outlays (total) .....	-48	-28	-28
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4160 Budget authority, net (mandatory) .....	1		
4170 Outlays, net (mandatory) .....	-14	-15	-11
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....	-14	-15	-11
<b>Memorandum (non-add) entries:</b>			
5010 Total investments, SOY: non-Fed securities: Market value .....	27	21	22
5011 Total investments, EOY: non-Fed securities: Market value .....	21	22	21

The Judiciary Office Building Development Act, Public Law 100-480, among other purposes, authorized the Architect of the Capitol to contract for the design and construction of a building adjacent to Union Station in the District of Columbia to be leased to the Judicial Branch of the United States. This schedule reflects the costs associated with the construction of the building. Costs of construction were financed by an initial \$125 million of Federal agency debt (sales price less unamortized discount) issued in 1989.

Estimates prepared by the Legislative Branch assumed the financial arrangements to be a lease-purchase, which would distribute outlays associated with acquisition of the building over a period of thirty years. However,

the arrangements involve Federally guaranteed financing and other characteristics that make them substantively the same as direct Federal construction, financed by direct Federal borrowing.

Estimates shown are consistent with the requirements of the Budget Enforcement Act and are presented with the agreement of the Budget and Appropriations Committees.

Object Classification (in millions of dollars)

Identification code 001-4518-0-4-801	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.4 Operation and maintenance of facilities .....	12	10	10
32.0 Land and structures .....	19	15	15
99.9 Total new obligations .....	34	28	28

Trust Funds

GIFTS AND DONATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 001-8189-0-7-801	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Gifts and Donations .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

BOTANIC GARDEN

Federal Funds

BOTANIC GARDEN

For all necessary expenses for the maintenance, care and operation of the Botanic Garden and the nurseries, buildings, grounds, and collections; and purchase and exchange, maintenance, repair, and operation of a passenger motor vehicle; all under the direction of the Joint Committee on the Library, [\$12,113,000] \$15,081,000, of which [\$2,100,000] \$4,554,000 shall remain available until September 30, [2020] 2021: Provided, That, of the amount made available under this heading, the Architect of the Capitol may obligate and expend such sums as may be necessary for the maintenance, care and operation of the National Garden established under section 307E of the Legislative Branch Appropriations Act, 1989 (2 U.S.C. 2146), upon vouchers approved by the Architect of the Capitol or a duly authorized designee. (Legislative Branch Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 009-0200-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Botanic Garden (Direct) .....	12	12	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	16	12	15
1930 Total budgetary resources available .....	19	19	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	2
3010 Obligations incurred, unexpired accounts .....	12	12	15
3020 Outlays (gross) .....	-11	-15	-15
3050 Unpaid obligations, end of year .....	5	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	2

3200	Obligated balance, end of year .....	5	2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	16	12	15
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8	10	12
4011	Outlays from discretionary balances .....	3	5	3
4020	Outlays, gross (total) .....	11	15	15
4180	Budget authority, net (total) .....	16	12	15
4190	Outlays, net (total) .....	11	15	15

**Object Classification** (in millions of dollars)

Identification code 009-0200-0-1-801	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	4	5
12.1	Civilian personnel benefits .....	2	2	2
25.4	Operation and maintenance of facilities .....	2	1	2
26.0	Supplies and materials .....	2	1	1
32.0	Land and structures .....	2	4	5
99.9	Total new obligations .....	12	12	15

**Employment Summary**

Identification code 009-0200-0-1-801	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	64	68	68

**Trust Funds**

**GIFTS AND DONATIONS**

Pursuant to 2 U.S.C. 2146, as amended, the Architect of the Capitol, subject to the direction of the Joint Committee on the Library, is authorized to construct a National Garden and to solicit and accept certain gifts on behalf of the United States Botanic Garden for the purpose of constructing the National Garden, or for the general benefit of the Botanic Garden and the renovation of the Botanic Garden conservatory, to deposit such gift funds in the Treasury of the United States, and, subject to approval in appropriations Acts, to obligate and expend such sums.

**ADMINISTRATIVE PROVISIONS**

**NO BONUSES FOR CONTRACTORS BEHIND SCHEDULE OR OVER BUDGET**

SEC. 1101. None of the funds made available in this Act for the Architect of the Capitol may be used to make incentive or award payments to contractors for work on contracts or programs for which the contractor is behind schedule or over budget, unless the Architect of the Capitol, or agency-employed designee, determines that any such deviations are due to unforeseeable events, government-driven scope changes, or are not significant within the overall scope of the project and/or program.

**SCRIMS**

SEC. 1102. None of the funds made available by this Act may be used for scrims containing photographs of building facades during restoration or construction projects performed by the Architect of the Capitol.

**ACQUISITION OF PARCEL AT FORT MEADE**

SEC. 1103. (a) **ACQUISITION.**—The Architect of the Capitol is authorized to acquire from the Maryland State Highway Administration, at no cost to the United States, a parcel of real property (including improvements thereon) consisting of approximately 7.34 acres located within the portion of Fort George G. Meade in Anne Arundel County, Maryland, that was transferred to the Architect of the Capitol by the Secretary of the Army pursuant to section 122 of the Military Construction Appropriations Act, 1994 (2 U.S.C. 141 note).

(b) **TERMS AND CONDITIONS.**—The terms and conditions applicable under subsections (b) and (d) of section 122 of the Military Construction Appropriations Act, 1994 (2 U.S.C. 141 note) to the property acquired by the Architect of the Capitol pursuant to such section shall apply to the real property acquired by the Architect pursuant to the authority of this section.

**WORKING CAPITAL FUND**

SEC. 1103. Title 2, United States Code, is hereby amended by adding the following new section:

"SEC. ———. Architect of the Capitol Working Capital Fund.

(a) **Establishment.**—There is hereby established in the Treasury of the United States a working capital fund (hereinafter "Fund") for the Architect of the Capitol.

(b) **Availability of amounts.**—Amounts in the Fund shall be available to the Architect of the Capitol for such common agency services, activities, equipment, and other common costs as the Architect determines to be appropriate, efficient, and economical. The Fund shall also be available for construction, capital repairs, renovations, rehabilitation, maintenance of real property, and similar agency expenses, on a reimbursable basis within the Architect of the Capitol.

(c) **Contents.**—The capital of the Fund consists of—

(1) amounts appropriated to the Fund;

(2) the reasonable value of stocks of supplies, equipment, and other assets and inventories on order that the Architect transfers to the fund, less related liabilities and unpaid obligations;

(3) receipts from the sale or exchange of property held in the Fund;

(4) all miscellaneous receipts compensating the Architect of the Capitol for loss or damage to any Government property under the Architect's jurisdiction or care, including but not limited to the United States Botanic Garden;

(5) reimbursements pursuant to subsection (d); and

(6) amounts transferred to the Fund pursuant to subsection (e).

(d) **Reimbursement.**—The Fund shall be reimbursed from available accounts of the Architect of the Capitol for supplies, materials, services, and related expenses, at rates which will approximate the full cost of operations, including accrual of employee leave and benefits; depreciation of plant, property, and equipment; and overhead;

(e) **Transfers from other accounts.**—Notwithstanding any other provision of law, the Architect is authorized to transfer amounts from other available Architect of the Capitol accounts to the Fund as the Architect determines to be appropriate, efficient, and economical.

(f) **Continuing availability of funds.**—Amounts in the Fund are available without regard to fiscal year limitation.

(g) **Effective date.**—This section shall apply with respect to fiscal year 2017 and each succeeding fiscal year."

**AUTHORITY FOR A HOUSE OFFICE BUILDINGS SHUTTLE**

SEC. 1104. Title 2, United States Code, is hereby amended by adding the following new section:

"

SEC. ———. House Office Buildings Shuttle Service. For fiscal year 2017 and each fiscal year thereafter, funds appropriated for the House Office Buildings shall be available for the purchase or rental, maintenance and operation of passenger motor vehicles to provide shuttle service for Members and employees of Congress to and from the House Office Buildings."

**USE OF EXPIRED FUNDS FOR UNEMPLOYMENT COMPENSATION PAYMENTS**

SEC. 1105. 2 U.S.C. § 1871(a) is amended by striking the period at the end and inserting the following: ", and for reimbursing the Secretary of Labor for any amounts paid with respect to unemployment compensation payments for former employees of the Architect of the Capitol. Notwithstanding any other provision of law, payments may be made without regard to the fiscal year for which the obligation to make such payments is incurred." (Legislative Branch Appropriations Act, 2016.)

**LIBRARY OF CONGRESS**

**Federal Funds**

**SALARIES AND EXPENSES**

For all necessary expenses of the Library of Congress not otherwise provided for, including development and maintenance of the Library's catalogs; custody and custodial care of the Library buildings; special clothing; cleaning, laundering and repair of uniforms; preservation of motion pictures in the custody of the Library; operation and maintenance of the American Folklife Center in the Library; preparation and distribution of catalog records and other publications of the Library; hire or purchase of one passenger motor vehicle; and expenses of the Library of Congress Trust Fund Board not properly chargeable to the income of any trust fund held by the Board, **[\$425,971,000] \$479,235,000**, of which not more than \$6,000,000 shall be derived from collections credited to this appropriation during fiscal year **[2016] 2017**, and shall remain available until expended, under the Act of June 28, 1902 (chapter 1301; 32 Stat. 480; 2 U.S.C. 150) and not more than \$350,000 shall be derived from collections during fiscal year **[2016] 2017** and shall remain available until expended for the development and maintenance of an international legal information database and activities related thereto: *Provided*, That the Library of Congress may not obligate or expend any funds derived from collections under the Act of June 28, 1902, in excess of the amount authorized for obligation or expenditure in appropriations

SALARIES AND EXPENSES—Continued

Acts: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$6,350,000: *Provided further*, That, of the total amount appropriated, not more than \$12,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for the Overseas Field Offices: *Provided further*, That of the total amount appropriated, **[\$8,231,000]** \$8,444,000 shall remain available until expended for the digital collections and educational curricula program: *Provided further*, That, of the total amount appropriated, \$1,300,000 shall remain available until expended for upgrade of the Legislative Branch Financial Management System: *Provided further*, That, of the total amount appropriated, \$4,039,000 shall remain available until September 30, 2019, to complete the first of three phases of shelving replacement in Law Library's collection storage areas: *Provided further*, That, of the total amount appropriated, \$24,575,000 shall remain available until September 30, 2019, to migrate the Library's Primary Computing Facility (PCF) in the James Madison Building to an alternated PCF. (Legislative Branch Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 003-0101-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Librarian .....	22	163	200
0002 Library Services .....	207	214	223
0003 National and International Outreach .....		24	25
0004 Office of Strategic Initiatives .....	104		
0005 Law Library .....	16	16	22
0006 Office of Support Operations .....	59		
0007 Office of Inspector General .....	3	3	3
0799 Total direct obligations .....	411	420	473
0801 Reimbursable program - Interagency/ Intra-agency .....	9	11	11
0802 Reimbursable program - National Library .....	3	6	7
0899 Total reimbursable obligations .....	12	17	18
0900 Total new obligations .....	423	437	491
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	10	10
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	10	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	413	420	473
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	17	18
1900 Budget authority (total) .....	423	437	491
1930 Total budgetary resources available .....	433	447	501
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	119	123	113
3010 Obligations incurred, unexpired accounts .....	423	437	491
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-415	-447	-455
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	123	113	149
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	118	123	113
3200 Obligated balance, end of year .....	123	113	149
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	423	437	491
Outlays, gross:			
4010 Outlays from new discretionary authority .....	321	332	372
4011 Outlays from discretionary balances .....	94	115	83
4020 Outlays, gross (total) .....	415	447	455
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-11	-11
4033 Non-Federal sources .....	-4	-6	-7

4040	Offsets against gross budget authority and outlays (total) ....	-12	-17	-18
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	2		
4070	Budget authority, net (discretionary) .....	413	420	473
4080	Outlays, net (discretionary) .....	403	430	437
4180	Budget authority, net (total) .....	413	420	473
4190	Outlays, net (total) .....	403	430	437

*Office of the Librarian.*—The Office of the Librarian provides leadership and policy direction to the Library, overseeing the implementation and management of the library's mission to support the Congress in fulfilling its constitutional duties and to further the progress of knowledge and creativity for the benefit of the American people. It also ensures that the Library's infrastructure provides the necessary services and compliance with statutory and regulatory requirements in the areas of human capital, budget and finance, contracts and grants, information technology, facilities logistics, asset management and protection, emergency preparedness, and personnel security, health, and safety. The Librarian of Congress, Deputy Librarian of Congress, Chief of Staff, and Chief Operating Officer provide executive management to the Library service units.

*Library Services.*—The mission of Library Services is to develop qualitatively the Library's universal collections; provide cataloging, classification, and preparation of the materials for use and provide leadership in bibliographic access collaborations and standards for the library and information services communities, nationally and internationally; serve the public by providing on- and off-site reference/information services; manage the physical and digital items in conditions that facilitate access and long-term use; and preserve materials for use now and in the future.

*National and International Outreach (NIO).*—National and International Outreach serves the public and contributes directly to the nation's educational and intellectual life through programs and activities that interpret and promote the Library's resources and the use of its unparalleled collections onsite as well as via the internet. It also supports literacy programs, creates partnerships for digital collaboration, catalyzes public-private partnership networks to jointly sustain at-risk cultural heritage content, and administers a national program to provide reading material for blind and physically handicapped residents of the United States, its outlying areas, and for U.S. citizens residing abroad, circulating at no cost to users approximately 23 million items a year. It oversees the preservation of sound and film material of national significance, and administers two high profile Library events: the Gershwin Prize for Popular Song and the National Book Festival. It manages programs that deliver fee-based services to other federal agencies or to the public. It also oversees the Library's national programs for education outreach, encouraging kindergarten through twelfth grade educational use of the Library's online primary sources.

*Law Library.*—The Law Library of Congress (LAW) is the world's largest law and legislative library. Its primary mission is to provide the United States Congress, Executive Branch agencies, federal courts, the legal community, and others with legal research and/or reference services in foreign, international, and comparative law. LAW's core research capacity includes foreign and U.S. trained attorneys and librarians. They use LAW's collection of comprehensive legal materials, a total of more than 5 million items including nearly 3 million books and periodicals. These cover approximately 240 legal systems and jurisdictions. LAW acquires, maintains, and preserves its collection in both analog and digital formats, and draws on technology to make the collection accessible through various systems and products. The Law Library ensures public access to congressional resources for Congress.gov, which includes full texts of bills, resolutions, the Congressional Record, legislative calendars, committee information, treaties plus a full range of other congressional material. Additional LAW-supported systems and products provide access to historic legislative documents which focus on legal research techniques, events and issues. The Law Library assists Congress and other constituents with a forum for the exchange of legal sources online.

*Office of the Inspector General.*—The Office of the Inspector General (OIG) functions within the Library of Congress as an independent, objective office with authority to: conduct and supervise audits and investigations relating to the Library; provide leadership and coordination in recommending policies to promote economy, efficiency, and effectiveness; and inform the Librarian of Congress and the Congress fully about problems and deficiencies related to the administration and operations of the Library. The OIG specializes in auditing and analyzing the design of and compliance with the Library's systems of internal control, with special emphasis on deterring waste, fraud, and abuse. Through its audit operation it recommends corrective actions to improve financial, operational, technological, and managerial activities. In its investigative capacity, it performs administrative, civil, and criminal investigations concerning fraud, conflict of interest, and other misconduct involving Library employees, contractors, and grantees. As part of its oversight role, OIG continually reviews and provides recommendations about the effects of existing and proposed legislation and Library policies. The OIG also coordinates relationships within the Library and with outside organizations regarding OIG matters. The designed objective of the office's efforts are to assist the Library in accomplishing its mission in the most effective and efficient manner.

**Object Classification** (in millions of dollars)

Identification code 003-0101-0-1-503	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	190	196	204
11.3 Other than full-time permanent .....	4	6	6
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	197	205	213
12.1 Civilian personnel benefits .....	58	60	66
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....		1	3
23.3 Communications, utilities, and miscellaneous charges .....	5	9	10
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	25	26	34
25.2 Other services from non-Federal sources .....	24	25	27
25.3 Other goods and services from Federal sources .....	15	10	10
25.4 Operation and maintenance of facilities .....	9	7	7
25.7 Operation and maintenance of equipment .....	18	22	24
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	44	37	60
41.0 Grants, subsidies, and contributions .....	6	9	7
99.0 Direct obligations .....	411	420	470
99.0 Reimbursable obligations .....	8	17	20
99.5 Adjustment for rounding .....	4		1
99.9 Total new obligations .....	423	437	491

**Employment Summary**

Identification code 003-0101-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,034	2,482	2,507
2001 Reimbursable civilian full-time equivalent employment .....	24	38	39

**COPYRIGHT OFFICE  
SALARIES AND EXPENSES**

For all necessary expenses of the Copyright Office, **[\$58,875,000]** \$74,026,000, of which not more than **[\$30,000,000]** \$33,619,000, to remain available until expended, shall be derived from collections credited to this appropriation during fiscal year **[2016]**2017 under section 708(d) of title 17, United States Code: *Provided*, That the Copyright Office may not obligate or expend any funds derived from collections under such section, in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That not more than **[\$5,777,000]**\$5,929,000 shall be derived from collections during fiscal year **[2016]**2017 under sections 111(d)(2), 119(b)(3), 803(e), 1005, and 1316 of such title: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than **[\$35,777,000]**\$39,548,000:

*Provided further*, That not more than \$6,147,000 shall be derived from prior year unobligated balances: *Provided further*, That not more than \$100,000 of the amount appropriated is available for the maintenance of an "International Copyright Institute" in the Copyright Office of the Library of Congress for the purpose of training nationals of developing countries in intellectual property laws and policies: *Provided further*, That not more than \$6,500 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for activities of the International Copyright Institute and for copyright delegations, visitors, and seminars: *Provided further*, That, notwithstanding any provision of chapter 8 of title 17, United States Code, any amounts made available under this heading which are attributable to royalty fees and payments received by the Copyright Office pursuant to sections 111, 119, and chapter 10 of such title may be used for the costs incurred in the administration of the Copyright Royalty Judges program, with the exception of the costs of salaries and benefits for the Copyright Royalty Judges and staff under section 802(e). (*Legislative Branch Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 003-0102-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Registration, recordation, cataloging, acquisitions, & public reference (Basic) .....	20	22	27
0002 Determinations by Copyright Royalty Judges .....	1	1	1
0799 Total direct obligations .....	21	23	28
0801 Registration, recordation, cataloging, acquisitions, & public reference (Basic) .....	27	30	40
0802 Licensing .....	5	6	6
0899 Total reimbursable obligations .....	32	36	46
0900 Total new obligations .....	53	59	74
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	10	10
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	21	23	28
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	39	36	46
1900 Budget authority (total) .....	60	59	74
1930 Total budgetary resources available .....	64	69	84
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	10	10
3010 Obligations incurred, unexpired accounts .....	53	59	74
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-52	-59	-77
3050 Unpaid obligations, end of year .....	10	10	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8	10	10
3200 Obligated balance, end of year .....	10	10	7
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	60	59	74
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	44	50	62
4011 Outlays from discretionary balances .....	8	9	15
4020 Outlays, gross (total) .....	52	59	77
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4	-6	-6
4033 Non-Federal sources .....	-35	-30	-40
4040 Offsets against gross budget authority and outlays (total) ...	-39	-36	-46
4070 Budget authority, net (discretionary) .....	21	23	28
4080 Outlays, net (discretionary) .....	13	23	31
4180 Budget authority, net (total) .....	21	23	28
4190 Outlays, net (total) .....	13	23	31

The Copyright Office operates the largest database of copyrighted works and copyright ownership information in the world, and through its work contributes significantly to the development of the copyright law, the na-

COPYRIGHT OFFICE—Continued

tional economy, and the cultural heritage of the United States. Approximately sixty percent of the office's operations are funded by fees paid by authors and other copyright owners for services rendered, and the remainder is funded by appropriated dollars. The amount requested is more than offset by projected fee receipts plus the value of books and other materials deposited with the Office in accordance with the Copyright Act (17 U.S.C. subsections 407 and 408) and transferred annually to the Library of Congress for its permanent collections. The receipts and obligations for 2015, and estimates for 2016 and 2017 are as follows:

	(Dollars in thousands)		
	2015 actual	2016 est.	2017 est.
Receipts:			
Offsetting Collections .....	\$33,582	\$30,000	\$33,619
Prior year unobligated balances .....	0	0	6,147
Estimated value of materials deposited and transferred to the Library of Congress .....	29,304	30,000	30,000
Total Receipts .....	\$62,886	\$60,000	\$69,766
Obligations .....	\$53,144	\$60,000	\$69,766

*Registration, recordation, acquisitions, copyright records, public information, and expert advice on copyright issues.*—The Copyright Office is responsible for administering the national copyright registration system. In 2015, the Office examined and registered 443,812 claims to copyright in original works of authorship, and it expects to register an estimated 450,000 copyright claims in both 2016 and 2017. Additional responsibilities of the Office include: recording assignments and other copyright-related documents; acquiring books, films, sound recordings, and other works for possible inclusion in Library of Congress collections under the mandatory deposit provision of the copyright law; creating and making available records of copyright ownership; providing copyright information to the public; and providing expert advice to Congress and executive agencies on copyright policy, piracy, and copyright trade agreements.

*Licensing Division.*—The Licensing Division handles administrative provisions of statutory licenses and obligations under the copyright law, including those involving secondary transmissions by cable television systems and satellite carriers and the importation, manufacture and distribution of digital audio recording devices and distribution media. The division collects specified royalty fees for distribution to copyright owners upon determinations rendered by the Copyright Royalty Judges. The Division also collects receipts from digital audio devices and distributes to the copyright owners through this appropriation after deduction of administrative costs incurred by the Licensing Division and the Copyright Royalty Judges program. Distributions are made in accordance with the schedule established by 17 U.S.C. subsection 1005.

*Copyright Royalty Judges (CRJ).*—The Copyright Royalty Judges and their staff, who operate under the Librarian of Congress, determine royalty distributions and adjust royalty rates.

Object Classification (in millions of dollars)

Identification code 003–0102–0–1–376	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	14	16	19
11.9 Total personnel compensation .....	14	16	19
12.1 Civilian personnel benefits .....	4	5	6
25.2 Other services from non-Federal sources .....	2	2	2
99.0 Direct obligations .....	20	23	27
99.0 Reimbursable obligations .....	30	35	44
99.5 Adjustment for rounding .....	3	1	3
99.9 Total new obligations .....	53	59	74

Employment Summary

Identification code 003–0102–0–1–376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	171	202	236

2001 Reimbursable civilian full-time equivalent employment .....	221	298	316
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CONGRESSIONAL RESEARCH SERVICE

SALARIES AND EXPENSES

For all necessary expenses to carry out the provisions of section 203 of the Legislative Reorganization Act of 1946 (2 U.S.C. 166) and to revise and extend the Annotated Constitution of the United States of America, **[\$106,945,000] \$114,408,000: Provided,** That no part of such amount may be used to pay any salary or expense in connection with any publication, or preparation of material therefor (except the Digest of Public General Bills), to be issued by the Library of Congress unless such publication has obtained prior approval of either the Committee on House Administration of the House of Representatives or the Committee on Rules and Administration of the Senate. (*Legislative Branch Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 003–0127–0–1–801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Congressional Research Service, Salaries and Expenses (Direct) .....	107	107	114
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	107	107	114
1930 Total budgetary resources available .....	107	107	114
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	10	11
3010 Obligations incurred, unexpired accounts .....	107	107	114
3020 Outlays (gross) .....	–108	–106	–112
3050 Unpaid obligations, end of year .....	10	11	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	10	11
3200 Obligated balance, end of year .....	10	11	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	107	107	114
Outlays, gross:			
4010 Outlays from new discretionary authority .....	99	98	105
4011 Outlays from discretionary balances .....	9	8	7
4020 Outlays, gross (total) .....	108	106	112
4180 Budget authority, net (total) .....	107	107	114
4190 Outlays, net (total) .....	108	106	112

The Congressional Research Service (CRS) assists all Members and committees of Congress with its deliberations and legislative decisions by providing objective, authoritative, non-partisan, and confidential research and analysis. As a shared resource, serving Congress exclusively, CRS experts support the Congress at all stages of the legislative process by providing integrated and interdisciplinary analysis and insights in all areas of legislative activity.

Object Classification (in millions of dollars)

Identification code 003–0127–0–1–801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	72	72	76
11.3 Other than full-time permanent .....	1	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	74	75	79
12.1 Civilian personnel benefits .....	21	22	25
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	4	3	4
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	106	106	114
99.5 Adjustment for rounding .....	1	1	

99.9	Total new obligations .....	107	107	114
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**Employment Summary**

Identification code 003-0127-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	609	651	673

**BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED**

**SALARIES AND EXPENSES**

For all necessary expenses to carry out the Act of March 3, 1931 (chapter 400; 46 Stat. 1487; 2 U.S.C. 135a), **[\$50,248,000] \$51,591,000: Provided,** That of the total amount appropriated, \$650,000 shall be available to contract to provide newspapers to blind and physically handicapped residents at no cost to the individual. (*Legislative Branch Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 003-0141-0-1-503	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>				
0001	Direct service to users .....	49	50	52

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	50	50	52
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1900	Budget authority (total) .....	51	50	52
1930	Total budgetary resources available .....	51	50	52
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	44	37	31
3010	Obligations incurred, unexpired accounts .....	49	50	52
3020	Outlays (gross) .....	-55	-56	-56
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	37	31	27
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	44	37	31
3200	Obligated balance, end of year .....	37	31	27

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	51	50	52
Outlays, gross:				
4010	Outlays from new discretionary authority .....	19	26	27
4011	Outlays from discretionary balances .....	36	30	29
4020	Outlays, gross (total) .....	55	56	56
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4180	Budget authority, net (total) .....	50	50	52
4190	Outlays, net (total) .....	54	56	56

The National Library Service for the Blind and Physically Handicapped (NLS) is responsible for administering a national program to provide reading material for blind and physically handicapped residents of the United States, its outlying areas, and for U.S. citizens residing abroad.

*Direct service to users.*—During the past five-year period, 2011–2015, the blind and physically handicapped reader accounts throughout the country ranged from 932,670 to 861,505 and circulation ranged from 25,943,676 units (volumes and containers) to 21,636,968.

*Support services.*—A variety of professional, technical, and clerical functions are performed by the NLS. A total of 9,246 requests for information concerning library and related services available to the blind and to other physically handicapped persons were received in 2015 and 140,325 interlibrary loan items were circulated.

**Object Classification** (in millions of dollars)

Identification code 003-0141-0-1-503	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	9	10	10
12.1	Civilian personnel benefits .....	3	3	3
23.1	Rental payments to GSA .....	2	4	4
24.0	Printing and reproduction .....	1	1	
25.1	Advisory and assistance services .....	2	3	3
25.2	Other services from non-Federal sources .....	6	9	10
25.5	Research and development contracts .....		1	1
31.0	Equipment .....	25	17	18
99.0	Direct obligations .....	48	48	49
99.5	Adjustment for rounding .....	1	2	3
99.9	Total new obligations .....	49	50	52

**Employment Summary**

Identification code 003-0141-0-1-503	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	109	128	128

**PAYMENTS TO COPYRIGHT OWNERS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 003-5175-0-2-376	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....			
<b>Receipts:</b>				
Current law:				
1110	Fees from Jukebox, Satellite and Cable Television for Operating Costs, Copyright Office .....	4	5	6
2000	Total: Balances and receipts .....	4	5	6
<b>Appropriations:</b>				
Current law:				
2101	Payments to Copyright Owners .....	-4	-5	-6
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 003-5175-0-2-376	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>				
0001	Licensing costs .....	4	5	6
0900	Total new obligations (object class 25.3) .....	4	5	6

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	4	5	6
1930	Total budgetary resources available .....	4	5	6

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	4	5	6
3020	Outlays (gross) .....	-4	-5	-6

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	4	5	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	5	6
4180	Budget authority, net (total) .....	4	5	6
4190	Outlays, net (total) .....	4	5	6

COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 003-4325-0-3-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Cooperative Acquisitions Program .....	4	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	5
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	6	6
1930 Total budgetary resources available .....	9	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	2
3010 Obligations incurred, unexpired accounts .....	4	6	6
3020 Outlays (gross) .....	-3	-5	-5
3050 Unpaid obligations, end of year .....	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	2
3200 Obligated balance, end of year .....	1	2	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-3	-6	-6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		-1	-1

Under the authority of 2 U.S.C. 182, the Library of Congress operates a revolving fund for the acquisition of foreign research materials for participating institutions through the Library's overseas offices.

Object Classification (in millions of dollars)

Identification code 003-4325-0-3-503	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
31.0 Equipment .....	3	4	3
99.0 Reimbursable obligations .....	3	5	4
99.5 Adjustment for rounding .....	1	1	2
99.9 Total new obligations .....	4	6	6

Employment Summary

Identification code 003-4325-0-3-503	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....		7	7

DUPLICATION SERVICES

Under the authority of 2 U.S.C. 182a, the Library of Congress operates a revolving fund to provide preservation and duplication and delivery services for the Library's audio-visual collections, including duplication services for motion pictures, videotapes, sound recordings, and radio and television broadcasts. Audio-visual preservation and duplication services are also available to other archives, libraries, and industry constituents.

GIFT SHOP, DECIMAL CLASSIFICATION, PHOTO DUPLICATION, AND RELATED SERVICES

Program and Financing (in millions of dollars)

Identification code 003-4346-0-3-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 National Library .....	7	9	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	9	10
1930 Total budgetary resources available .....	10	12	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	7	9	10
3020 Outlays (gross) .....	-7	-9	-10
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	8	9
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	7	9	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-9	-10
4033 Non-Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) .....	-7	-9	-10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Under the authority of 2 U.S.C. 182b, the Library of Congress operates a revolving fund for the support of the Library's retail marketing sales shop activities; for providing preservation microfilming services for the Library's collections and photocopy, microfilm, photographic and digital services to other libraries, research institutions, government agencies, and individuals in the United States and abroad; and for operating special events and programs.

Object Classification (in millions of dollars)

Identification code 003-4346-0-3-503	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....		1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Reimbursable obligations .....	7	8	8
99.5 Adjustment for rounding .....		1	2
99.9 Total new obligations .....	7	9	10

Employment Summary

Identification code 003-4346-0-3-503	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	25	27	27

FEDLINK PROGRAM AND FEDERAL RESEARCH PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 003-4543-0-4-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Fedlink and Federal Research .....	83	168	169
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	23	23
1021 Recoveries of prior year unpaid obligations .....	14		
1050 Unobligated balance (total) .....	29	23	23
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	86	168	169
1701 Change in uncollected payments, Federal sources .....	-9		
1750 Spending auth from offsetting collections, disc (total) .....	77	168	169
1930 Total budgetary resources available .....	106	191	192
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	23	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	55	44	45
3010 Obligations incurred, unexpired accounts .....	83	168	169
3020 Outlays (gross) .....	-80	-167	-167
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14		
3050 Unpaid obligations, end of year .....	44	45	47
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	35	36
3200 Obligated balance, end of year .....	35	36	38
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	77	168	169
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	106	106
4011 Outlays from discretionary balances .....	34	61	61
4020 Outlays, gross (total) .....	80	167	167
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-85	-168	-169
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-86	-168	-169
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	9		
4080 Outlays, net (discretionary) .....	-6	-1	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-6	-1	-2

Under the authority of 2 U.S.C. 182c, the Library of Congress operates a revolving fund for providing support to federal libraries through cost effective training, procurement of books, serials, and computer-based information retrieval services, and for providing customized research services to federal agencies.

**Object Classification** (in millions of dollars)

Identification code 003-4543-0-4-503	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	7	7
12.1 Civilian personnel benefits .....	1	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	45	88	90
25.3 Other goods and services from Federal sources .....	2	2	2
31.0 Equipment .....	26	66	66
44.0 Refunds .....	2		
99.0 Reimbursable obligations .....	81	166	168
99.5 Adjustment for rounding .....	2	2	1
99.9 Total new obligations .....	83	168	169

**Employment Summary**

Identification code 003-4543-0-4-503	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	57	70	71

**Trust Funds**

GIFT AND TRUST FUND ACCOUNTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 003-9971-0-7-503	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	14	7	5
Receipts:			
Current law:			
1130 Contributions, Library of Congress Gift Fund .....	4	4	4
1130 Contributions, Library of Congress Permanent Loan Account .....	3	4	4
1130 Income from Donated Securities, Library of Congress .....	2	4	4
1140 Interest, Library of Congress Permanent Loan Account .....		1	1
1199 Total current law receipts .....	9	13	13
1999 Total receipts .....	9	13	13
2000 Total: Balances and receipts .....	23	20	18
Appropriations:			
Current law:			
2101 Gift and Trust Fund Accounts .....	-16	-15	-14
5099 Balance, end of year .....	7	5	4

**Program and Financing** (in millions of dollars)

Identification code 003-9971-0-7-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Librarian .....	7	10	10
0003 Library Services .....	16	15	14
0900 Total new obligations .....	23	25	24
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	26	16
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	16	15	14
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7		
1900 Budget authority (total) .....	23	15	14
1930 Total budgetary resources available .....	49	41	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	16	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	12
3010 Obligations incurred, unexpired accounts .....	23	25	24
3020 Outlays (gross) .....	-23	-16	-15
3050 Unpaid obligations, end of year .....	3	12	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	12
3200 Obligated balance, end of year .....	3	12	21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	23	15	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	12	11
4101 Outlays from mandatory balances .....	15	4	4
4110 Outlays, gross (total) .....	23	16	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-7		
4180 Budget authority, net (total) .....	16	15	14
4190 Outlays, net (total) .....	16	16	15
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	29	23	24
5001 Total investments, EOY: Federal securities: Par value .....	23	24	24

GIFT AND TRUST FUND ACCOUNTS—Continued  
Program and Financing—Continued

Identification code 003-9971-0-7-503	2015 actual	2016 est.	2017 est.
5010 Total investments, SOY: non-Fed securities: Market value .....	123	122	122
5011 Total investments, EOY: non-Fed securities: Market value .....	122	122	122

This schedule covers: (1) funds received as gifts for immediate expenditure, funds received as trust funds for expenditure, and receipts from the sale of recordings, publications, and other materials financed from capital originally received as gifts; (2) income from investments held by or for the Library of Congress Trust Fund Board; and (3) interest paid by the Treasury on the principal funds deposited therewith as described under "Library of Congress Trust Fund, Principal Accounts." The Library has seven program areas related to Gift and Trust funds:

*Library Services.*—Library Services acquires and catalogs materials for the Library's collections; manages and maintains the collections; preserves materials for use now and in the future; and serves the public.

*Law Library.*—The Law Library of Congress maintains a global law collection of over 2,910,000 volumes and approximately 2.9 million microforms and digital items as well as legal information websites, and provides legal research and reference services, covering more than 240 foreign jurisdictions, to the Congress, the Judiciary, federal agencies, and the public—serving approximately 100,000 users and receiving over 9,000,000 queries and website visits and page views in fiscal year 2015.

*Copyright Office.*—The Copyright Office administers the U.S. Copyright Laws; provides expert advice to the Congress on matters relating to copyright law and policy; provides information and assistance to the executive branch and the courts; and promotes international protection of U.S. copyrighted works.

*Congressional Research Service.*—The Congressional Research Service (CRS) serves all Members and committees of the Congress. CRS experts provide Congress with authoritative, confidential, non-partisan, and objective expertise across the full range of legislative policy issues.

*National and International Outreach (NIO).*—National and International Outreach serves the public and contributes directly to the nation's educational and intellectual life through programs and activities that interpret and promote the Library's resources and the use of its unparalleled collections onsite as well as via internet. NIO also administers the National Library Service for the Blind and Physically Handicapped, which manages a free national reading program for blind and physical handicapped people—circulating at no cost to users approximately 23,000,000 items a year. It also operates gift revolving activities that provide traveling exhibits, publishing services and special programs for the benefit of other libraries, institutions and the general public.

Object Classification (in millions of dollars)

Identification code 003-9971-0-7-503	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	2	2	3
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	3	3
33.0 Investments and loans .....	9	9	9
41.0 Grants, subsidies, and contributions .....	3	4	3
99.0 Direct obligations .....	21	22	22
99.0 Reimbursable obligations .....	2		
99.5 Adjustment for rounding .....		3	2
99.9 Total new obligations .....	23	25	24

Employment Summary

Identification code 003-9971-0-7-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	30	30	30

ADMINISTRATIVE PROVISIONS

REIMBURSABLE AND REVOLVING FUND ACTIVITIES

SEC. 1201. (a) IN GENERAL.—For fiscal year **[2016]** 2017, the obligational authority of the Library of Congress for the activities described in subsection (b) may not exceed **[\$186,015,000]** \$188,188,000.

(b) ACTIVITIES.—The activities referred to in subsection (a) are reimbursable and revolving fund activities that are funded from sources other than appropriations to the Library in appropriations Acts for the legislative branch.

**[LIBRARIAN OF CONGRESS EMERITUS]**

**[SEC. 1202. (a) DESIGNATION OF JAMES BILLINGTON AS LIBRARIAN OF CONGRESS EMERITUS.**—As an honorary designation, James H. Billington, upon leaving service as the Librarian of Congress, may be known as the Librarian of Congress Emeritus.

(b) NO APPOINTMENT TO GOVERNMENT SERVICE; AVAILABILITY OF INCIDENTAL SUPPORT.—The honorary designation under this section does not constitute an appointment to a position in the Federal Government under title 5, United States Code. Notwithstanding the previous sentence, in connection with his activities as Librarian of Congress Emeritus, James H. Billington may receive incidental administrative and clerical support through the Library of Congress. **[***Legislative Branch Appropriations Act, 2016.***]**

GOVERNMENT PUBLISHING OFFICE

Federal Funds

CONGRESSIONAL PUBLISHING

(INCLUDING TRANSFER OF FUNDS)

For authorized publishing of congressional information and the distribution of congressional information in any format; expenses necessary for preparing the semi-monthly and session index to the Congressional Record, as authorized by law (section 902 of title 44, United States Code); publishing of Government publications authorized by law to be distributed to Members of Congress; and publishing, and distribution of Government publications authorized by law to be distributed without charge to the recipient, \$79,736,000: *Provided*, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: *Provided further*, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: *Provided further*, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: *Provided further*, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Publishing Office Business Operations Revolving Fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate: *Provided further*, That notwithstanding sections 901, 902, and 906 of title 44, United States Code, this appropriation may be used to prepare indexes to the Congressional Record on only a monthly and session basis. *(Legislative Branch Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 004-0203-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Congressional Publishing .....	80	80	80
0900 Total new obligations (object class 24.0) .....	80	80	80

<b>Budgetary resources:</b>				
Budget authority:				
	Appropriations, discretionary:			
1100	Appropriation .....	80	80	80
1930	Total budgetary resources available .....	80	80	80
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	59	62	42
3010	Obligations incurred, unexpired accounts .....	80	80	80
3020	Outlays (gross) .....	-76	-100	-89
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	62	42	33
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	59	62	42
3200	Obligated balance, end of year .....	62	42	33
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	80	80	80
Outlays, gross:				
4010	Outlays from new discretionary authority .....	57	57	57
4011	Outlays from discretionary balances .....	19	43	32
4020	Outlays, gross (total) .....	76	100	89
4180	Budget authority, net (total) .....	80	80	80
4190	Outlays, net (total) .....	76	100	89

This appropriation covers publishing for the Congress, content management, and the publishing of Government publications authorized by law to be distributed to Members of Congress. Also, this appropriation includes funding for the publishing and distribution of Government publications authorized by law to be distributed without charge to the recipients.

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	26	12
3200	Obligated balance, end of year .....	26	12	9
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	32	31	30
Outlays, gross:				
4010	Outlays from new discretionary authority .....	21	25	24
4011	Outlays from discretionary balances .....	4	20	9
4020	Outlays, gross (total) .....	25	45	33
4180	Budget authority, net (total) .....	32	31	30
4190	Outlays, net (total) .....	25	45	33

The Public Information Programs of the Superintendent of Documents operate under a separate appropriation that provides funds (salaries and expenses) for: (1) the distribution of certain tangible publications to Members of Congress and other Government agencies, as authorized by law; (2) the distribution of Government publications to designated Federal depository libraries, as authorized by law, including tangible Government information products and online access to more than 1 million titles via GPO's Federal Digital System (FDsys); (3) the compilation of catalogs and indexes of Government publications, as authorized by law; and (4) the distribution of Federal Government publications to foreign governments via the International Exchange Service. These four functions are related to the publication activity of Federal agencies and the demands of the public, Members of Congress, and depository libraries. Following is a description of these four functions:

*Distribution for other Government agencies and Members of Congress (By-Law Distribution).*—The Public Information Programs of the Superintendent of Documents maintain mailing lists and distribute, at the request of Government agencies and Members of Congress, certain publications specified by public law.

*Federal Depository Library Program.*—Established by Congress to ensure the American public has access to its Government's information, the Federal Depository Library Program (FDLP) involves the acquisition, format conversion, and distribution of depository materials to Federal depository libraries across the country.

The mission of the FDLP is to coordinate with depository libraries, almost 1,200 nationwide, to disseminate information products from all three branches of the Government. Libraries that have been designated as Federal depositories maintain these information products (as provided by GPO) as part of their existing collections and are responsible for assuring that the public has free access to the material provided by the FDLP.

Included in this program is the maintenance and expansion of free, electronic access to information products produced by the Federal Government via FDsys. Electronic information dissemination and access have greatly expanded the number of publications offered to the Federal depository libraries as well as increasing public use of the FDLP content. As the FDLP continues its transition to a primarily electronic program, the costs of the program are increasingly related to identifying, acquiring, cataloging, linking to, authenticating, modernizing, and providing permanent public access to digital Government information.

*Cataloging and indexing.*—The Public Information Programs of the Superintendent of Documents are charged with preparing catalogs and indexes of all publications issued by the Federal Government that are not confidential in character. The principal publication is the Web-based "Catalog of U.S. Government Publications" (CGP). GPO's goal is to expand the CGP to a more comprehensive title listing of public documents, both historic and electronic, to increase the visibility and use of Government information products.

*International exchange.*—Under the direction of the Library of Congress (LC), the Public Information Programs of the Superintendent of Documents distributes tangible Government publications to foreign governments that agree to send the United States similar publications of their governments for LC collections.

PUBLIC INFORMATION PROGRAMS OF THE SUPERINTENDENT OF DOCUMENTS  
SALARIES AND EXPENSES  
(INCLUDING TRANSFER OF FUNDS)

For expenses of the public information programs of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, **[\$30,500,000] \$29,500,000: Provided,** That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating Congressional serial sets and other related publications for fiscal years **[2014 and] 2015 and 2016** to depository and other designated libraries: *Provided further,* That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Publishing Office Business Operations Revolving Fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate. (*Legislative Branch Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 004-0201-0-1-808	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Depository Library Distribution .....	22	21	21
0002	Cataloging and Indexing .....	9	9	8
0003	International Exchange .....	1	1	1
0900	Total new obligations .....	32	31	30
<b>Budgetary resources:</b>				
Budget authority:				
	Appropriations, discretionary:			
1100	Appropriation .....	32	31	30
1930	Total budgetary resources available .....	32	31	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	25	26	12
3010	Obligations incurred, unexpired accounts .....	32	31	30
3020	Outlays (gross) .....	-25	-45	-33
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	26	12	9

PUBLIC INFORMATION PROGRAMS OF THE SUPERINTENDENT OF DOCUMENTS—Continued  
**Object Classification** (in millions of dollars)

Identification code 004-0201-0-1-808	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	7	8
12.1 Civilian personnel benefits .....	2	2	2
22.0 Transportation of things .....	1	1	1
24.0 Printing and reproduction .....	8	8	8
25.2 Other services from non-Federal sources .....	14	13	11
99.9 Total new obligations .....	32	31	30

**Employment Summary**

Identification code 004-0201-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	77	94	94

GOVERNMENT PUBLISHING OFFICE BUSINESS OPERATIONS REVOLVING FUND

For payment to the Government Publishing Office Business Operations Revolving Fund, **[\$6,832,000] \$7,832,000**, to remain available until expended, for information technology development and facilities repair: *Provided*, That the Government Publishing Office is hereby authorized to make such expenditures, within the limits of funds available and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Publishing Office Business Operations Revolving Fund: *Provided further*, That not more than \$7,500 may be expended on the certification of the Director of the Government Publishing Office in connection with official representation and reception expenses: *Provided further*, That the business operations revolving fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: *Provided further*, That expenditures in connection with travel expenses of the advisory councils to the Director of the Government Publishing Office shall be deemed necessary to carry out the provisions of title 44, United States Code: *Provided further*, That the business operations revolving fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: *Provided further*, That activities financed through the business operations revolving fund may provide information in any format: *Provided further*, That the business operations revolving fund and the funds provided under the heading "Public Information Programs of the Superintendent of Documents" may not be used for contracted security services at GPO's passport facility in the District of Columbia. (*Legislative Branch Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 004-4505-0-4-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Publication Production Operations .....	648	672	688
0802 Publication and Information Sales .....	12	13	14
0811 Capital investment .....	33	50	23
0900 Total new obligations .....	693	735	725
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	141	178	181
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	15	12	
1012 Unobligated balance transfers between expired and unexpired accounts .....	7		
1050 Unobligated balance (total) .....	148	178	181
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	9	7	8
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	733	736	759
1801 Change in uncollected payments, Federal sources .....	-19	-5	-5
1850 Spending auth from offsetting collections, mand (total) .....	714	731	754
1900 Budget authority (total) .....	723	738	762
1930 Total budgetary resources available .....	871	916	943

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	178	181	218
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	426	385	385
3010 Obligations incurred, unexpired accounts .....	693	735	725
3020 Outlays (gross) .....	-734	-735	-743
3050 Unpaid obligations, end of year .....	385	385	367
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-176	-157	-152
3070 Change in uncollected pymts, Fed sources, unexpired .....	19	5	5
3090 Uncollected pymts, Fed sources, end of year .....	-157	-152	-147
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	250	228	233
3200 Obligated balance, end of year .....	228	233	220
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	9	7	8
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	4	4
4011 Outlays from discretionary balances .....	1	11	10
4020 Outlays, gross (total) .....	3	15	14
<b>Mandatory:</b>			
4090 Budget authority, gross .....	714	731	754
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	585	585	603
4101 Outlays from mandatory balances .....	146	135	126
4110 Outlays, gross (total) .....	731	720	729
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-720	-731	-753
4123 Non-Federal sources .....	-13	-5	-6
4130 Offsets against gross budget authority and outlays (total) ....	-733	-736	-759
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	19	5	5
4170 Outlays, net (mandatory) .....	-2	-16	-30
4180 Budget authority, net (total) .....	9	7	8
4190 Outlays, net (total) .....	1	-1	-16

By law, GPO's Business Operations Revolving Fund is used to finance GPO's publishing operations. Approximately 70 percent of GPO's publishing revenue (excluding Security and Intelligent Documents) is from procuring publications and related services for customer agencies. This work is procured through GPO's Customer Services business unit utilizing the private sector printing and information product industry. The majority of the Government's publishing requirements are effectively satisfied through this procurement activity because the highly competitive process provides access to the vast resources, expertise, and specialization within the commercial sector at the most cost effective price.

GPO's in-plant facility provides digital and tangible products in support of the information and day-to-day business needs of Congress and Federal agencies. GPO produces the *Congressional Record* overnight when Congress is in session, and bills, hearings, documents, reports and committee prints in time to support Congress' legislative needs. Also produced are the *Federal Register*, the *Code of Federal Regulations*, and other key Government documents, such as the annual *U.S. Budget*.

With a few exceptions, the paper used to produce tangible documents by GPO and its contractors meets or exceeds Federal recycled paper requirements and all GPO inks are manufactured from vegetable oil in accordance with the Vegetable Ink Printing Act of 1994. GPO plays a critical role in America's security by producing secure Federal credentials, including the U.S. Passport for the Department of State, that combine digital and print security measures.

GPO's publications sales program provides the public with an opportunity to purchase a wide variety of low cost, consumer-oriented publications, Congressional documents, and Executive and Judicial publications. Documents can be ordered through GPO's secure Online Bookstore site at <http://bookstore.gpo.gov> or from GPO's bookstore in Washington, D.C. Free and low cost publications are distributed through the Federal Citizen Information Center (FCIC) in Pueblo, CO. GPO also provides warehousing

and publication distribution services for Federal agencies on a reimbursable basis. Thousands of titles are for sale at any given time, including books, CD-ROMs, eBooks and other digital formats.

**Object Classification** (in millions of dollars)

Identification code 004-4505-0-4-808	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	146	151	156
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	147	152	157
12.1 Civilian personnel benefits .....	51	55	57
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	11	10	10
23.2 Rental payments to others .....	4	5	5
23.3 Communications, utilities, and miscellaneous charges .....	12	12	12
24.0 Printing and reproduction .....	255	269	275
25.2 Other services from non-Federal sources .....	37	49	50
26.0 Supplies and materials .....	142	132	135
31.0 Equipment .....	33	50	23
99.9 Total new obligations .....	693	735	725

**Employment Summary**

Identification code 004-4505-0-4-808	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,625	1,740	1,740

**GOVERNMENT ACCOUNTABILITY OFFICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Government Accountability Office, including not more than \$12,500 to be expended on the certification of the Comptroller General of the United States in connection with official representation and reception expenses; temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level IV of the Executive Schedule under section 5315 of such title; hire of one passenger motor vehicle; advance payments in foreign countries in accordance with section 3324 of title 31, United States Code; benefits comparable to those payable under sections 901(5), (6), and (8) of the Foreign Service Act of 1980 (22 U.S.C. 4081(5), (6), and (8)); and under regulations prescribed by the Comptroller General of the United States, rental of living quarters in foreign countries, **[\$531,000,000] \$567,825,000: Provided**, That, in addition, **[\$25,450,000] \$23,350,000** of payments received under sections 782, 791, 3521, and 9105 of title 31, United States Code, shall be available without fiscal year limitation: *Provided further*, That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the National Intergovernmental Audit Forum or a Regional Intergovernmental Audit Forum shall be available to finance an appropriate share of either Forum's costs as determined by the respective Forum, including necessary travel expenses of non-Federal participants: *Provided further*, That payments hereunder to the Forum may be credited as reimbursements to any appropriation from which costs involved are initially financed. (*Legislative Branch Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 005-0107-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 GOAL 1-Address Current and Emerging Challenges to the Well-being and Financial Security of the American People .....	209	209	223
0002 GOAL 2-Help the Congress Respond to Changing Security Threats and the Challenges of Global Interdependence .....	143	125	134
0003 GOAL 3-Help Transform the Federal Government to Address National Challenges .....	128	144	155
0004 GOAL 4-Maximize the Value of GAO by Enabling Quality, Timely Service to the Congress, and by Being a Leading Practices Federal Agency .....	14	14	14
0005 GOAL 8-Other Costs in Support of the Congress .....	28	39	42
0799 Total direct obligations .....	522	531	568
0801 Reimbursable program activity goal 1 .....	2	2	2
0803 Reimbursable program activity goal 3 .....	25	31	29

0805 Reimbursable program activity goal 8 .....	1	1	1
0809 Reimbursable program activities, subtotal .....	28	34	32
0899 Total reimbursable obligations .....	28	34	32
0900 Total new obligations .....	550	565	600

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	28	29	29
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	522	531	568
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	28	34	32
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	30	34	32
1900 Budget authority (total) .....	552	565	600
1930 Total budgetary resources available .....	580	594	629
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	29	29	29

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	52	21
3010 Obligations incurred, unexpired accounts .....	550	565	600
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-550	-596	-598
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	52	21	23
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	44	41	10
3200 Obligated balance, end of year .....	41	10	12

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	552	565	600
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	501	553	588
4011 Outlays from discretionary balances .....	49	43	10
4020 Outlays, gross (total) .....	550	596	598
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-28	-34	-32
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-29	-34	-32
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	522	531	568
4080 Outlays, net (discretionary) .....	521	562	566
4180 Budget authority, net (total) .....	522	531	568
4190 Outlays, net (total) .....	521	562	566

GAO exists to support the Congress in meeting its constitutional responsibilities and to help improve the performance and ensure the accountability of the Federal Government for the benefit of the American people.

**Object Classification** (in millions of dollars)

Identification code 005-0107-0-1-801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	307	316	332
11.3 Other than full-time permanent .....	18	16	18
11.5 Other personnel compensation .....	5	3	4
11.9 Total personnel compensation .....	330	335	354
12.1 Civilian personnel benefits .....	102	104	111
21.0 Travel and transportation of persons .....	8	7	8
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	4	5	6
25.1 Advisory and assistance services .....	3	4	4
25.2 Other services from non-Federal sources .....	8	11	12

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 005-0107-0-1-801	2015 actual	2016 est.	2017 est.
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	7	5	8
25.7 Operation and maintenance of equipment .....	45	40	45
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	6	10	9
32.0 Land and structures .....	1	2	3
99.0 Direct obligations .....	522	531	568
99.0 Reimbursable obligations .....	28	34	32
99.9 Total new obligations .....	550	565	600

Employment Summary

Identification code 005-0107-0-1-801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,982	2,998	3,093
2001 Reimbursable civilian full-time equivalent employment .....	7	7	7

【ADMINISTRATIVE PROVISION】

【FEDERAL GOVERNMENT DETAILS】

【SEC. 1301. (a) PERMITTING DETAILS FROM OTHER FEDERAL OFFICES.—Section 731 of title 31, United States Code, is amended by adding at the end the following new subsection:

"(k) FEDERAL GOVERNMENT DETAILS.—The activities of the Government Accountability Office may, in the reasonable discretion of the Comptroller General, be carried out by receiving details of personnel from other offices of the Federal Government on a reimbursable, partially-reimbursable, or nonreimbursable basis."

(b) EFFECTIVE DATE.—The amendment made by subsection (a) shall apply with respect to fiscal year 2016 and each succeeding fiscal year.】  
(Legislative Branch Appropriations Act, 2016.)

UNITED STATES TAX COURT

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, including contract reporting and other services as authorized by 5 U.S.C. 3109, 【\$51,300,000】\$53,861,000: Provided, That travel expenses of the judges shall be paid upon the written certificate of the judge. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 023-0100-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	50	51	54

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	51	54
1930 Total budgetary resources available .....	51	51	54
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	4	5
3010 Obligations incurred, unexpired accounts .....	50	51	54
3020 Outlays (gross) .....	-51	-50	-54
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	4	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	4	5
3200 Obligated balance, end of year .....	4	5	5

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	51	51	54
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	49	52
4011 Outlays from discretionary balances .....	4	1	2
4020 Outlays, gross (total) .....	51	50	54
4180 Budget authority, net (total) .....	51	51	54
4190 Outlays, net (total) .....	51	50	54

The United States Tax Court (referred to herein as the Tax Court or Court) was established in 1969 under Article I of the United States Constitution. The Court's jurisdiction is established by U.S. Code Title 26 (the Internal Revenue Code). The Court is a court of law, which the Supreme Court has said closely resembles the Federal District Courts and solely exercises judicial powers. It is also a court of national jurisdiction and conducts trial sessions in 74 cities throughout the United States.

The Tax Court is independent of the Executive and Legislative Branches. It is not affiliated with the Internal Revenue Service (IRS). It is one of three courts in which taxpayers can bring suit to contest IRS liability determinations, and the only one in which taxpayers can do so without prepaying any portion of the disputed taxes.

By law, the Tax Court is authorized 19 judges who, among themselves, elect one as Chief Judge. Judges are appointed to 15-year terms by the President, by and with the advice and consent of the Senate. Retired (also known as Senior) judges may be recalled by the Chief Judge to perform judicial duties. The Chief Judge is also authorized to appoint special trial judges who have statutory authority to decide several categories of cases, including cases involving up to \$50,000 in dispute per tax year. Decisions by the Tax Court are reviewable by the United States Courts of Appeals and, if *certiorari* is granted, by the Supreme Court.

Object Classification (in millions of dollars)

Identification code 023-0100-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	23	24	25
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	11	11	10
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	4
25.3 Other goods and services from Federal sources .....	3	3	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	50	51	54

Employment Summary

Identification code 023-0100-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	335	335	335

Trust Funds

TAX COURT JUDGES SURVIVORS ANNUITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 023-8115-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	9	9	10
Receipts:			
Current law:			
1110 Tax Court Judges Survivors Annuity, Deductions from Employees Salaries .....	1	1	1
1140 Tax Court Judges Survivors Annuity, Interest and Profits on Investments .....		1	1
1199 Total current law receipts .....	1	2	2
1999 Total receipts .....	1	2	2
2000 Total: Balances and receipts .....	10	11	12

Appropriations:				
Current law:				
2101	Tax Court Judges Survivors Annuity Fund .....	-1	-1	-1
5099	Balance, end of year .....	9	10	11

**Program and Financing** (in millions of dollars)

Identification code 023-8115-0-7-602		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Tax Court Judges Survivors Annuity Fund (Direct) .....	1	1	1
0900	Total new obligations (object class 11.5) .....	1	1	1
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			1
3010	Obligations incurred, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1		
3050	Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			1
3200	Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1		
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	1		
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	11	11	12
5001	Total investments, EOY: Federal securities: Par value .....	11	12	12

The Tax Court Judges' Survivors Annuity Fund provides survivorship benefits to eligible surviving spouses and dependent children of deceased Tax Court judges. Participating judges pay 3.5 percent of their salaries or retired pay into the fund to cover creditable service for which payment is required. Additional funds, as needed, are provided through the Court's annual appropriation. As of September 30, 2012, 23 judges were participating in the fund. Also as of September 30, 2012, 6 surviving spouses and 1 eligible dependent child were receiving survivorship annuity payments.

**LEGISLATIVE BRANCH BOARDS AND COMMISSIONS**

*Federal Funds*

**MEDICARE PAYMENT ADVISORY COMMISSION**

SALARIES AND EXPENSES

For expenses necessary to carry out section 1805 of the Social Security Act, **[\$11,925,000] \$12,234,000**, to be transferred to this appropriation from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 235-1550-0-1-571		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0801	Medicare Payment Advisory Commission (Reimbursable) .....	12	12	12
0809	Reimbursable program activities, subtotal .....	12	12	12
<b>Budgetary resources:</b>				
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	12	12	12

1930	Total budgetary resources available .....	12	12	12
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010	Obligations incurred, unexpired accounts .....	12	12	12
3020	Outlays (gross) .....	-12	-12	-12
3050	Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	3	3
3200	Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	12	12	12
Outlays, gross:				
4010	Outlays from new discretionary authority .....	9	9	9
4011	Outlays from discretionary balances .....	3	3	3
4020	Outlays, gross (total) .....	12	12	12
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-12	-12	-12
4040	Offsets against gross budget authority and outlays (total) ....	-12	-12	-12
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The Medicare Payment Advisory Commission, established under section 1805 of the Social Security Act (42 U.S.C. 1395(b)(6) as added by section 4022 of the Balanced Budget Act of 1997 (P.L. 105-33), is an independent legislative agency charged with advising the Congress on payment and other policy issues affecting the Medicare program, as well as on the implications of changes in health care delivery in the United States and in the market for health care services on the Medicare program.

The Commission's 17 members represent diverse points of view including providers, payers, consumers, employers, and individuals with expertise in biomedical, health services, and health economics research. It maintains a full time staff of 35 in Washington, D.C.

The Commission is required by law to report to the Congress on March 1 and June 15 of each year, and to comment on congressionally mandated reports of the Secretary of Health and Human Services.

**Object Classification** (in millions of dollars)

Identification code 235-1550-0-1-571		2015 actual	2016 est.	2017 est.
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	5	5	5
12.1	Civilian personnel benefits .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	5	5	5
99.9	Total new obligations .....	12	12	12

**Employment Summary**

Identification code 235-1550-0-1-571		2015 actual	2016 est.	2017 est.
2001	Reimbursable civilian full-time equivalent employment .....	34	36	37

**MEDICAID AND CHIP PAYMENT AND ACCESS COMMISSION**

SALARIES AND EXPENSES

For expenses necessary to carry out section 1900 of the Social Security Act, **[\$7,765,000] \$8,700,000**. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 009-1801-0-1-551		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0123	Medicaid and CHIP Payment and Access Commission (Direct) .....	8	8	9

MEDICAID AND CHIP PAYMENT AND ACCESS COMMISSION—Continued  
Program and Financing—Continued

Identification code 009-1801-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	9
1930 Total budgetary resources available .....	11	11	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	8	8	9
3020 Outlays (gross) .....	-9	-8	-9
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	8
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	8	8	9
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1		
4180 Budget authority, net (total) .....	8	8	9
4190 Outlays, net (total) .....	9	8	9

The Medicaid and CHIP Payment and Access Commission (MACPAC) is a non-partisan legislative branch agency that provides policy and data analysis and makes recommendations to Congress, the Secretary of the U.S. Department of Health and Human Services, and the states on a wide array of issues affecting Medicaid and the State Children's Health Insurance Program (CHIP). The U.S. Comptroller General appoints MACPAC's 17 commissioners, who come from diverse regions across the United States and bring broad expertise and a wide range of perspectives on Medicaid and CHIP.

MACPAC serves as an independent source of information on Medicaid and CHIP, publishing issue briefs and data reports throughout the year to support policy analysis and program accountability. The Commission's authorizing statute, 42 U.S.C. 1396, outlines a number of areas for analysis, including: payment; eligibility; enrollment and retention; coverage; access to care; quality of care; and the programs' interaction with Medicare and the health care system generally.

MACPAC's authorizing statute also requires the Commission to submit reports to Congress by March 15 and June 15 of each year. In carrying out its work, the Commission holds public meetings and regularly consults with state officials, congressional and executive branch staff, beneficiaries, health care providers, researchers, and policy experts.

Object Classification (in millions of dollars)

Identification code 009-1801-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	4	3	4
99.9 Total new obligations .....	8	8	9

Employment Summary

Identification code 009-1801-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	28	30	30

UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW COMMISSION

SALARIES AND EXPENSES

For necessary expenses of the United States-China Economic and Security Review Commission, as authorized by section 1238 of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (22 U.S.C. 7002), \$3,500,000, including not more than \$4,000 for representation expenses, to remain available until September 30, [2017] 2018: *Provided*, That the authorities, requirements, limitations, and conditions contained in the second through sixth provisos under this heading in the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111-117) shall continue in effect during fiscal year [2016] 2017 and shall apply to funds appropriated under this heading as if included in this Act. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 292-2973-0-1-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United States-China Economic and Security Review Commission (Direct) .....	4	4	4
0900 Total new obligations .....	4	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-3	-4	-4
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	4	4
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	3	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	3	4	4

*US-China Economic and Security Review Commission.*—Congress created the U.S.-China Economic and Security Review Commission (USCC) in 2000 in the National Defense Authorization Act (Public Law 106-398 as amended by Division P of the Consolidated Appropriations Resolution, 2003 (Public Law 108-7), as amended by Public Law 109-108 (November 10, 2005), as amended by Public Law 113-291 (December 19, 2014). The statute gives the Commission the mandate to monitor, investigate, and assess the "national security implications of the bilateral trade and economic relationship between the United States and the People's Republic of China." Its members are appointed by Congressional leaders, and its statutory mandate is to report to Congress on Chinese proliferation practices; the qualitative and quantitative effects of transfers of U.S. economic production activities to China; the effects of the need for energy on China's foreign

and military policies and the impact of China's growing economy on world energy resources; foreign investment by the U.S. in China, and China's foreign investment in the U.S.; the military plans, strategy, doctrine and structure of China's military; strategic economic and security implications of China's cyber capabilities and operations; China's national budget, fiscal policy, monetary policy, and currency management practices; the drivers, nature, and implications of China's growing economic, technological, political, cultural, people-to-people, and security relations of China with other countries and international organizations; China's compliance with its commitments to the World Trade Organization and other bilateral and multilateral agreements; the implications of China's restrictions on freedom of expression; and the safety of food, drug, and other products imported from China. The Commission reports annually on these issues to the Congress, making recommendations for policy action and legislation when appropriate. In order to obtain new information and perspectives on these issues, the USCC conducts hearings throughout the year and maintains a website containing the records of these proceedings as well as original commissioned research on economic and security matters related to the Commission's statutory mandate.

The Commission is comprised of 12 Commissioners, 3 Commissioners appointed by each leader in the House and Senate, supported by a professional staff numbering approximately 18. The chairmanship of the USCC rotates between a Republican and a Democratic Commissioner upon issuance of each annual report to Congress.

**Object Classification** (in millions of dollars)

Identification code 292–2973–0–1–801	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	4	4	4

**Employment Summary**

Identification code 292–2973–0–1–801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18	18	18
1001 Direct civilian full-time equivalent employment .....	12	12	12

UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM

SALARIES AND EXPENSES

For necessary expenses for the United States Commission on International Religious Freedom, as authorized by title II of the International Religious Freedom Act of 1998 (22 U.S.C. 6431 et seq.), \$3,500,000, to remain available until **September 30, 2017** the earlier of (1) the date on which this amount has been expended; or (2) the date on which the Commission is terminated under Section 209 of the International Religious Freedom Act of 1998, including not more than \$4,000 for representation expenses. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 295–2975–0–1–801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United States Commission on International Religious Freedom (Direct) .....	3	5	5
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	5	6	5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	1	

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 Obligations incurred, unexpired accounts .....	3	5	5
3020 Outlays (gross) .....	-3	-3	-4
3050 Unpaid obligations, end of year .....		2	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			2
3200 Obligated balance, end of year .....		2	3

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	4	4	4
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	2
4011 Outlays from discretionary balances .....	1	1	2
4020 Outlays, gross (total) .....	3	3	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	3	3	4

The United States Commission on International Religious Freedom is an independent, bipartisan U.S. Government agency that was created by the International Religious Freedom Act of 1998 to monitor the status of freedom of thought, conscience, and religion or belief abroad, as defined in the Universal Declaration of Human Rights and related international instruments, and to give independent policy recommendations to the President, the Secretary of State and the Congress.

**Object Classification** (in millions of dollars)

Identification code 295–2975–0–1–801	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
21.0 Travel and transportation of persons .....		1	1
25.2 Other services from non-Federal sources .....		1	1
99.0 Direct obligations .....	2	4	4
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	3	5	5

**Employment Summary**

Identification code 295–2975–0–1–801	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

DWIGHT D. EISENHOWER MEMORIAL COMMISSION

SALARIES AND EXPENSES

For necessary expenses, including the costs of construction design, of the Dwight D. Eisenhower Memorial Commission, **[\$1,000,000]** \$1,800,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

COMMISSION ON SECURITY AND COOPERATION IN EUROPE

SALARIES AND EXPENSES

For necessary expenses of the Commission on Security and Cooperation in Europe, as authorized by Public Law 94–304, \$2,579,000, including not more than \$4,000 for representation expenses, to remain available until September 30, **2017** 2018. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

CONGRESSIONAL-EXECUTIVE COMMISSION ON THE PEOPLE'S REPUBLIC OF CHINA

SALARIES AND EXPENSES

For necessary expenses of the Congressional-Executive Commission on the People's Republic of China, as authorized by title III of the U.S.-China Relations Act of 2000 (22 U.S.C. 6911 et seq.), \$2,000,000, including not more than \$3,000 for representation expenses, to remain available until September 30, **2017** 2018. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS—Continued  
**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 009-9911-0-1-999	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Bequests, World War I Centennial Commission .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Other Legislative Branch Boards and Commissions .....	-1	-1	-1
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 009-9911-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Other Legislative Branch Boards and Commissions (Direct) .....	6	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	8
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	6	7	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1900 Budget authority (total) .....	7	7	7
1930 Total budgetary resources available .....	13	14	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	8	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	6	6	6
3020 Outlays (gross) .....	-7	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	5	5
4011 Outlays from discretionary balances .....	4		
4020 Outlays, gross (total) .....	6	5	5
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	7	7	7
4190 Outlays, net (total) .....	7	6	6

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	7	7	7
Outlays .....	7	6	6
Legislative proposal, subject to PAYGO:			
Budget Authority .....			19
Outlays .....			6
Total:			
Budget Authority .....	7	7	26
Outlays .....	7	6	12

This presentation includes the following:

*Commission on Security and Cooperation in Europe.*—The Commission on Security and Cooperation in Europe is authorized and directed to monitor the acts of the signatories which reflect compliance with or violation of the articles of the Final Act of the Conference on Security and Cooperation in Europe, with particular regard to the provisions relating to Cooperation in Humanitarian Fields. The law establishing the Commission on Security and Cooperation in Europe also mandated it to monitor

and encourage U.S. Government and private activities designed to expand East-West trade and the exchange of people and ideas. The Commission will receive an annual report from the Secretary of State discussing the overall United States policy objectives that are advanced through meetings of decision-making bodies of the Organization for Security and Cooperation in Europe (OSCE), the OSCE implementation review process, and other activities of the OSCE.

*Congressional-Executive Commission on the People's Republic of China.*—Congress created the Congressional-Executive Commission on the People's Republic of China (CECC) in 2000 by passing Title III of P.L. 106-286, the China Relations Act of 2000. The statute gives the Commission the mandate to monitor the Chinese government's compliance with international human rights standards and to track the development of the rule of law in China. The Commission reports annually on these issues to the President and the Congressional leadership, making recommendations for policy action and legislation when appropriate. The CECC was also charged with creating and maintaining a registry of victims of human rights abuses in China, including prisoners of conscience. The CECC conducts hearings and staff-led issues roundtables throughout the year and maintains a website containing the records of these proceedings, as well as other information about human rights and rule of law issues in China. The CECC seeks to be a resource on these issues for Capitol Hill, the NGO community, the academic world, and the general public.

The Commission comprises nine Senators, nine Members of the House of Representatives, and five Executive Branch officials, supported by a professional staff numbering about 15 people. The chairmanship of the CECC rotates from the Senate to the House in even-numbered Congresses.

*Dwight D Eisenhower Memorial Commission.*—The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106-79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied forces in Europe in World War II and subsequently as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created to perpetuate his memory and his contributions to the United States. The Commission is bipartisan and consists of 12 members. Four Commissioners are members of the House of Representatives, four are Senators, and four are private citizens appointed by the President. The Commission is led by U. S. Senator Pat Roberts (Chair) and Congressman Mike Thompson (Vice Chair). Its work on building the memorial since 2001 has been informed by the active participation of the Eisenhower family, along with input from members of Congress and federal review agencies. The design has received all required final approvals from federal agencies. It is shovel-ready. In order to build this world-class memorial in the most efficient way possible and avoid escalating costs due to delays in the start of construction, the Commission requests these funds in FY 2017. Capital Construction.—\$43,000,000

**Object Classification** (in millions of dollars)

Identification code 009-9911-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
99.9 Total new obligations .....	6	6	6

**Employment Summary**

Identification code 009-9911-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	44	44	44

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 009-9911-4-1-999	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>			
0001 WWI Centennial Commission .....			6

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			19
1930 Total budgetary resources available .....			19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			13

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			6
3020 Outlays (gross) .....			-6

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			19
Outlays, gross:			
4100 Outlays from new mandatory authority .....			6
4180 Budget authority, net (total) .....			19
4190 Outlays, net (total) .....			6

The World War I Centennial Commission was created by Congress in 2013 by P.L. 112-272, and amended in 2014 by P.L. 113-291 to ensure a suitable observance of the centennial of World War I, which ushered in the 'American Century'. It began the advance of the rights of women and minorities in the U.S., and sowed the seeds for international conflicts for a century, many of which are ongoing today. America's support of Great Britain, France, Belgium, and its other allies in World War I marked the first time in United States history that American soldiers went abroad in defense of liberty against foreign aggression. 4.7 million men and women from the United States served in uniform during World War I, among them 2 future presidents, Harry S. Truman and Dwight D. Eisenhower. Two million individuals from the United States served overseas during World War I, including 200,000 naval personnel who served on the seas. The United States suffered 375,000 casualties during World War I, including 116,516 deaths, more than in the Korean War and Vietnam War combined. The centennial of World War I offers an opportunity for people in the United States to learn about and commemorate the sacrifices of their predecessors. Commemorative programs, activities, and sites allow them to learn about the history of World War I, the United States involvement in that war, and the war's effects on the remainder of the 20th and into the 21st century, and to commemorate and honor the participation of the United States and its citizens in the war effort. Congress also redesignated Pershing Park in the District of Columbia as a 'World War I Memorial', and authorized The Commission to plan, develop, and execute ceremonies for that redesignation, and for the enhancement of the General Pershing Commemorative Work by constructing a World War I Memorial containing appropriate sculptural and other commemorative elements, including landscaping, to further honor the service of members of the United States Armed Forces in World War I. The Commission is bipartisan and consists of 12 private citizens: 6 are appointed by the Congress, 3 are appointed by the President, 1 each is appointed by the National World War I Museum in Kansas City, MO, the VFW, and the American Legion. The Commission is augmented by ex-officio members and advisors: The Archivist of the United States, The Librarian of Congress, The Secretary of the Smithsonian Institution, The Secretary of Education, The Secretary of State, The Secretary of Vet-

erans Affairs, The Administrator of General Services, The Department of Defense, The Department of Homeland Security, and the Secretary of the Interior.

**Object Classification** (in millions of dollars)

Identification code 009-9911-4-1-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			3
25.1 Advisory and assistance services .....			3
99.9 Total new obligations .....			6

**Employment Summary**

Identification code 009-9911-4-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			20

CAPITAL CONSTRUCTION, DWIGHT D. EISENHOWER MEMORIAL COMMISSION

**Program and Financing** (in millions of dollars)

Identification code 283-2990-0-1-801	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>			
0001 Capital Construction, Dwight D. Eisenhower Memorial Commission (Direct) .....	1	1	68
0293 Direct program activities, subtotal .....	1	1	68
0900 Total new obligations (object class 25.1) .....	1	1	68

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	19	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			68
1930 Total budgetary resources available .....	20	19	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	18	18

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	1	2
3010 Obligations incurred, unexpired accounts .....	1	1	68
3020 Outlays (gross) .....	-3		-34
3050 Unpaid obligations, end of year .....	1	2	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	1	2
3200 Obligated balance, end of year .....	1	2	36

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			68
Outlays, gross:			
4010 Outlays from new discretionary authority .....			34
4011 Outlays from discretionary balances .....	3		
4020 Outlays, gross (total) .....	3		34
4180 Budget authority, net (total) .....			68
4190 Outlays, net (total) .....	3		34

OPEN WORLD LEADERSHIP CENTER TRUST FUND

For a payment to the Open World Leadership Center Trust Fund for financing activities of the Open World Leadership Center under section 313 of the Legislative Branch Appropriations Act, 2001 (2 U.S.C. 1151), **[\$5,600,000]** *\$5,800,000*: *Provided*, That funds made available to support Russian participants shall only be used for those engaging in free market development, humanitarian activities, and civic engagement, and shall not be used for officials of the central government of Russia. (*Legislative Branch Appropriations Act, 2016.*)

OPEN WORLD LEADERSHIP CENTER TRUST FUND—Continued

Program and Financing (in millions of dollars)

Identification code 009-0145-0-1-154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Open World Leadership Center Trust Fund (Direct) .....	6	6	6
0900 Total new obligations (object class 94.0) .....	6	6	6
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
1930 Total budgetary resources available .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	6	6	6
3020 Outlays (gross) .....	-6	-6	-6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	6	6
4180 Budget authority, net (total) .....	6	6	6
4190 Outlays, net (total) .....	6	6	6

The Open World Leadership Center, under the direction of its Board of Trustees, supports the identification of emerging leaders from foreign countries selected by the Board of Trustees and oversees the development of an intensive program in the United States to link up to 3,000 participants each year with U.S. counterparts. The Center's mission entails enhancing the understanding and capabilities for cooperation between the United States and participating countries by developing a network of leaders who have gained significant, first-hand exposure to America's democratic, accountable government and its free-market system. The Center has also administered a program to enable cultural leaders from the Russian Federation to gain exposure to the operations of American cultural institutions.

The Center is authorized to solicit and accept federal and private funds, in addition to receipt of this appropriation, and to invest appropriated funds in par value securities at the U.S. Treasury. The Center is governed by an eleven-member board of trustees, composed of the Librarian of Congress, members of the U.S. Senate and House of Representatives and representatives of the private sector. The Center is authorized to obtain a wide range of administrative support, including space, from the Library of Congress.

FY 2017 funding supports U.S. grants and logistical services for hosting in communities throughout the United States as well as other operating expenses of the Center.

Trust Funds

JOHN C. STENNIS CENTER FOR PUBLIC SERVICE TRAINING AND DEVELOPMENT

For payment to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105), \$430,000. (*Legislative Branch Appropriations Act, 2016.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 009-8275-0-7-801	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	7	8	9
Receipts:			
Current law:			
1140 Payments, John C. Stennis Center for Public Service Training and Development .....	1	1	1
2000 Total: Balances and receipts .....	8	9	10
5099 Balance, end of year .....	8	9	10

Program and Financing (in millions of dollars)

Identification code 009-8275-0-7-801	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 John C. Stennis Center for Public Service Training and Development (Direct) .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	6	5
1930 Total budgetary resources available .....	7	6	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	5	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	2
3010 Obligations incurred, unexpired accounts .....	1	1	1
3050 Unpaid obligations, end of year .....	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	2
3200 Obligated balance, end of year .....	1	2	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	15	15	15
5001 Total investments, EOY: Federal securities: Par value .....	15	15	15

The principal for this fund was established by the transfer of \$7,500,000 from the appropriation "Payment to the John C. Stennis Center". The principal for the Stennis Center Fund is a non-expendable corpus invested in Special Issue Certificates of Indebtedness with the U.S. Treasury. The Center's operations are funded by the interest on these Treasury investments as well as by other funds and contributions provided by outside sources.

U.S. CAPITOL PRESERVATION COMMISSION

Program and Financing (in millions of dollars)

Identification code 009-8300-0-7-801	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	10	11	11
5001 Total investments, EOY: Federal securities: Par value .....	11	11	11

OPEN WORLD LEADERSHIP CENTER TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 009-8148-0-7-154	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Donations, Open World Leadership Center Trust Fund .....			1
1140 Payment from the General Fund, Open World Leadership Center Trust Fund .....	6	6	6
1199 Total current law receipts .....	6	6	7
1999 Total receipts .....	6	6	7
2000 Total: Balances and receipts .....	6	6	7

Appropriations:				
Current law:				
2101	Open World Leadership Center Trust Fund .....	-6	-6	-6
5099	Balance, end of year .....			1

**Program and Financing** (in millions of dollars)

Identification code 009-8148-0-7-154	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Open World Leadership Center Trust Fund (Direct) .....	6	6	6
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	6	6	6
1930	Total budgetary resources available .....	6	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	2	1
3010	Obligations incurred, unexpired accounts .....	6	6	6
3020	Outlays (gross) .....	-9	-7	-6
3050	Unpaid obligations, end of year .....	2	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	2	1
3200	Obligated balance, end of year .....	2	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	6	6	6
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	5	5
4011	Outlays from discretionary balances .....	5	2	1
4020	Outlays, gross (total) .....	9	7	6
4180	Budget authority, net (total) .....	6	6	6
4190	Outlays, net (total) .....	9	7	6
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	5	2	2
5001	Total investments, EOY: Federal securities: Par value .....	2	2	2

**Object Classification** (in millions of dollars)

Identification code 009-8148-0-7-154	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	2	2	2
25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2	2	2
99.0	Direct obligations .....	5	5	5
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations .....	6	6	6

**Employment Summary**

Identification code 009-8148-0-7-154	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	5	5	5

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.	
Offsetting receipts from the public:				
001-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		2	2
General Fund Offsetting receipts from the public .....				
		2	2	

**GENERAL PROVISIONS**

GENERAL PROVISIONS

MAINTENANCE AND CARE OF PRIVATE VEHICLES

SEC. 201. No part of the funds appropriated in this Act shall be used for the maintenance or care of private vehicles, except for emergency assistance and cleaning as may be provided under regulations relating to parking facilities for the House of Representatives issued by the Committee on House Administration and for the Senate issued by the Committee on Rules and Administration.

FISCAL YEAR LIMITATION

SEC. 202. No part of the funds appropriated in this Act shall remain available for obligation beyond fiscal year **[2016]** (2017) unless expressly so provided in this Act.

RATES OF COMPENSATION AND DESIGNATION

SEC. 203. Whenever in this Act any office or position not specifically established by the Legislative Pay Act of 1929 (46 Stat. 32 et seq.) is appropriated for or the rate of compensation or designation of any office or position appropriated for is different from that specifically established by such Act, the rate of compensation and the designation in this Act shall be the permanent law with respect thereto: *Provided*, That the provisions in this Act for the various items of official expenses of Members, officers, and committees of the Senate and House of Representatives, and clerk hire for Senators and Members of the House of Representatives shall be the permanent law with respect thereto.

CONSULTING SERVICES

SEC. 204. The expenditure of any appropriation under this Act for any consulting service through procurement contract, under section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued under existing law.

COSTS OF LBFMC

SEC. 205. Amounts available for administrative expenses of any legislative branch entity which participates in the Legislative Branch Financial Managers Council (LBFMC) established by charter on March 26, 1996, shall be available to finance an appropriate share of LBFMC costs as determined by the LBFMC, except that the total LBFMC costs to be shared among all participating legislative branch entities (in such allocations among the entities as the entities may determine) may not exceed \$2,000.

**[LANDSCAPE MAINTENANCE]**

**[SEC. 206.** For fiscal year 2016 and each fiscal year thereafter, the Architect of the Capitol, in consultation with the District of Columbia, is authorized to maintain and improve the landscape features, excluding streets, in Square 580 up to the beginning of I-395.]

LIMITATION ON TRANSFERS

SEC. **[207]** 206. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act.

GUIDED TOURS OF THE CAPITOL

SEC. **[208]** 207. (a) Except as provided in subsection (b), none of the funds made available to the Architect of the Capitol in this Act may be used to eliminate or restrict guided tours of the United States Capitol which are led by employees and interns of offices of Members of Congress and other offices of the House of Representatives and Senate.

(b) At the direction of the Capitol Police Board, or at the direction of the Architect of the Capitol with the approval of the Capitol Police Board, guided tours of the United States Capitol which are led by employees and interns described in subsection (a) may be suspended temporarily or otherwise subject to restriction for security or related reasons to the same extent as guided tours of the United States Capitol which are led by the Architect of the Capitol.

**[BATTERY RECHARGING STATIONS FOR PRIVATELY OWNED VEHICLES IN PARKING AREAS UNDER THE JURISDICTION OF THE LIBRARIAN OF CONGRESS AT NO NET COST TO THE FEDERAL GOVERNMENT]**

**[SEC. 209. (a) DEFINITION.—**In this section, the term "covered employee" means—  
 (1) an employee of the Library of Congress; or  
 (2) any other individual who is authorized to park in any parking area under the jurisdiction of the Library of Congress on the Library of Congress buildings and grounds.  
**(b) AUTHORITY.—**

(1) **IN GENERAL.—**Subject to paragraph (3), funds appropriated to the Architect of the Capitol under the heading "Capitol Power Plant" under the heading "ARCHITECT OF THE CAPITOL" in any fiscal year are available to construct,

operate, and maintain on a reimbursable basis battery recharging stations in parking areas under the jurisdiction of the Library of Congress on Library of Congress buildings and grounds for use by privately owned vehicles used by covered employees.

(2) **VENDORS AUTHORIZED.**—In carrying out paragraph (1), the Architect of the Capitol may use one or more vendors on a commission basis.

(3) **APPROVAL OF CONSTRUCTION.**—The Architect of the Capitol may construct or direct the construction of battery recharging stations described under paragraph (1) after—

(A) submission of written notice detailing the numbers and locations of the battery recharging stations to the Joint Committee on the Library; and

(B) approval by that Committee.

(c) **FEES AND CHARGES.**—

(1) **IN GENERAL.**—Subject to paragraph (2), the Architect of the Capitol shall charge fees or charges for electricity provided to covered employees sufficient to cover the costs to the Architect of the Capitol to carry out this section, including costs to any vendors or other costs associated with maintaining the battery charging stations.

(2) **APPROVAL OF FEES OR CHARGES.**—The Architect of the Capitol may establish and adjust fees or charges under paragraph (1) after—

(A) submission of written notice detailing the amount of the fee or charge to be established or adjusted to the Joint Committee on the Library; and

(B) approval by that Committee.

(d) **DEPOSIT AND AVAILABILITY OF FEES, CHARGES, AND COMMISSIONS.**—Any fees, charges, or commissions collected by the Architect of the Capitol under this section shall be—

(1) deposited in the Treasury to the credit of the appropriations account described under subsection (b); and

(2) available for obligation without further appropriation during the fiscal year collected.

(e) **REPORTS.**—

(1) **IN GENERAL.**—Not later than 30 days after the end of each fiscal year, the Architect of the Capitol shall submit a report on the financial administration and cost recovery of activities under this section with respect to that fiscal year to the Joint Committee on the Library and the Committees on Appropriations of the House of Representatives and Senate.

(2) **AVOIDING SUBSIDY.**—

(A) **DETERMINATION.**—Not later than 3 years after the date of enactment of this Act and every 3 years thereafter, the Architect of the Capitol shall submit a report to the Joint Committee on the Library determining whether covered employees using battery charging stations as authorized by this section are receiving a subsidy from the taxpayers.

(B) **MODIFICATION OF RATES AND FEES.**—If a determination is made under subparagraph (A) that a subsidy is being received, the Architect of the Capitol shall submit a plan to the Joint Committee on the Library on how to update the program to ensure no subsidy is being received. If the Joint Committee does not act on the plan within 60 days, the Architect of the Capitol shall take appropriate steps to increase rates or fees to ensure reimbursement for the cost of the program consistent with an appropriate schedule for amortization, to be charged to those using the charging stations.

(f) **EFFECTIVE DATE.**—This section shall apply with respect to fiscal year 2016 and each fiscal year thereafter. **■**

**【SELF-CERTIFICATION OF PERFORMANCE APPRAISAL SYSTEMS FOR SENIOR-LEVEL EMPLOYEES】**

**【SEC. 210. (a) SELF-CERTIFICATION BY LIBRARIAN OF CONGRESS, ARCHITECT OF THE CAPITOL, AND DIRECTOR OF GOVERNMENT PUBLISHING OFFICE.**—Section 5307(d) of title 5, United States Code, is amended—

(1) in paragraph (1)(A), by striking "this title or section 332(f), 603, or 604 of title 28" and inserting "this title, section 332(f), 603, or 604 of title 28, or section 108 of the Legislative Branch Appropriations Act, 1991 (2 U.S.C. 1849)"; and

(2) by adding at the end the following new paragraph:

"(5)

"(A) Notwithstanding any provision of paragraph (3), any regulations, certifications, or other measures necessary to carry out this subsection—

"(i) with respect to employees of the Library of Congress shall be the responsibility of the Librarian of Congress;

"(ii) with respect to employees of the Office of the Architect of the Capitol shall be the responsibility of the Architect of the Capitol; and

"(iii) with respect to employees of the Government Publishing Office shall be the responsibility of the Director of the Government Publishing Office.

"(B) The regulations under this paragraph shall be consistent with those promulgated under paragraph (3)."

(b) **EFFECTIVE DATE.**—The amendment made by subsection (a) shall take effect on the date of the enactment of this Act. **■**

*(Legislative Branch Appropriations Act, 2016.)*

# JUDICIAL BRANCH

## SUPREME COURT OF THE UNITED STATES

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the operation of the Supreme Court, as required by law, excluding care of the building and grounds, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; not to exceed \$10,000 for official reception and representation expenses; and for miscellaneous expenses, to be expended as the Chief Justice may approve, **[\$75,838,000]** *\$76,668,000*, of which **[\$2,000,000]** *\$1,500,000* shall remain available until expended.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief justice and associate justices of the court. (*Judiciary Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 010-0100-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	75	79	80
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100    Appropriation .....	73	76	77
Appropriations, mandatory:			
1200    Appropriation .....	2	3	3
1900 Budget authority (total) .....	75	79	80
1930 Total budgetary resources available .....	75	79	80
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	75	79	80
3020 Outlays (gross) .....	-75	-79	-80
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	73	76	77
Outlays, gross:			
4010 Outlays from new discretionary authority .....	73	76	77
Mandatory:			
4090 Budget authority, gross .....	2	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	3	3
4180 Budget authority, net (total) .....	75	79	80
4190 Outlays, net (total) .....	75	79	80

The Supreme Court of the United States is the highest court of our country and stands at the apex of the judicial branch of our constitutional form of government. The U.S. Supreme Court is the only constitutionally indispensable court in the Federal court system of the United States. The jurisdiction of the Supreme Court is spelled out in the Constitution and allotted by the Congress. The funds herein requested are required to enable the U.S. Supreme Court to carry out its constitutional and congressionally allotted responsibilities.

#### Object Classification (in millions of dollars)

Identification code 010-0100-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	40	43	43
11.3 Other than full-time permanent .....	2	3	3
11.9 Total personnel compensation .....	42	46	46
12.1 Civilian personnel benefits .....	14	16	16
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	10	9	9
26.0 Supplies and materials .....	2	2	3
31.0 Equipment .....	5	4	4
99.9 Total new obligations .....	75	79	80

#### Employment Summary

Identification code 010-0100-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	497	497	497

#### CARE OF THE BUILDING AND GROUNDS

For such expenditures as may be necessary to enable the Architect of the Capitol to carry out the duties imposed upon the Architect by 40 U.S.C. 6111 and 6112, **[\$9,964,000]** *\$14,868,000*, to remain available until expended. (*Judiciary Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 010-0103-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Care of the Building and Grounds (Direct) .....	14	13	14
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	5	2
Budget authority:			
Appropriations, discretionary:			
1100    Appropriation .....	12	10	15
1930 Total budgetary resources available .....	19	15	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	2	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	7	7
3010 Obligations incurred, unexpired accounts .....	14	13	14
3020 Outlays (gross) .....	-9	-11	-17
3050 Unpaid obligations, end of year .....	5	7	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	7	7
3200 Obligated balance, end of year .....	5	7	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	10	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	8	11
4011 Outlays from discretionary balances .....	3	3	6
4020 Outlays, gross (total) .....	9	11	17
4180 Budget authority, net (total) .....	12	10	15
4190 Outlays, net (total) .....	9	11	17

#### Object Classification (in millions of dollars)

Identification code 010-0103-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	4
12.1 Civilian personnel benefits .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	2	2	2
25.4 Operation and maintenance of facilities .....	4	3	3
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	1	1
99.9 Total new obligations .....	14	13	14

#### Employment Summary

Identification code 010-0103-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	41	50	50

## UNITED STATES COURT OF APPEALS FOR THE FEDERAL CIRCUIT

### Federal Funds

#### SALARIES AND EXPENSES

For salaries of officers and employees, and for necessary expenses of the court, as authorized by law, **【\$30,872,000】 \$30,108,000.**

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief judge and judges of the court. (*Judiciary Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 010-0510-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	31	34	33
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	31	30
1120 Appropriations transferred to other accts [010-0920] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	29	31	30
Appropriations, mandatory:			
1200 Appropriation .....	3	3	3
1900 Budget authority (total) .....	32	34	33
1930 Total budgetary resources available .....	32	34	33
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	6
3010 Obligations incurred, unexpired accounts .....	31	34	33
3020 Outlays (gross) .....	-30	-33	-33
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	5	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	6
3200 Obligated balance, end of year .....	5	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	29	31	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	25	25	24
4011 Outlays from discretionary balances .....	2	5	6
4020 Outlays, gross (total) .....	27	30	30
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4180 Budget authority, net (total) .....	32	34	33
4190 Outlays, net (total) .....	30	33	33

The United States Court of Appeals for the Federal Circuit, located in Washington, D. C., has exclusive nationwide jurisdiction over a large number of diverse subject areas, such as appeals in all patent cases, all government contract cases, all international trade cases, all government contract cases, all government personnel cases, all cases involving monetary claims against the United States under the Tucker Acts, veterans cases, and many others. Additional subject areas have been added to this court's jurisdiction almost yearly. To keep abreast of its varied and growing jurisdiction, the court is requesting necessary increases in its funding as detailed below.

The following is a more complete listing of the Federal Circuit's exclusive jurisdiction. It hears appeals from: (A) final decisions of all Federal district courts in cases arising under 28 U.S.C. §1338(a), relating to patent laws generally, 35 U.S.C. §§145-146, relating to review of decisions of the Patent and Trademark Office, Board of Patent Appeals and Interferences, 28 U.S.C. §1346(a)(2), relating to Little Tucker Act claims against the United States, and section 211 of the Economic Stabilization Act of 1970, section 5 of the Emergency Petroleum Allocation Act of 1973, section

506(c) of the Natural Gas Policy Act of 1978, and section 523 of the Energy Policy and Conservation Act relating to all statutes formerly under the jurisdiction of the Temporary Emergency Court of Appeals; (B) final decisions of the United States Court of International Trade, 28 U.S.C. §2645(c); (C) final decisions of the United States Court of Appeals for Veterans Claims, 38 U.S.C. §7292; (D) final decisions of the United States Court of Federal Claims, 28 U.S.C. §2522 and 42 U.S.C. §§300aa-12(f); (E) final decisions of the High Court of the Trust Territory of the Pacific Islands, 48 U.S.C. §1681 note (1988) (Compact of Free Association; Federated States of Micronesia, Republic of Marshall Islands, Title II, Title One, Article VII, §174(c)); (F) final determinations of the United States International Trade Commission relating to unfair practices in import trade made under 19 U.S.C. §1337; (G) findings of the Secretary of Commerce under U.S. note 6 to subchapter X of chapter 98 of the Harmonized Tariff Schedule of the United States relating to importation of educational or scientific instruments and apparatus; (H) final orders or decisions of the Merit Systems Protection Board and certain arbitrators, 5 U.S.C. §7703; (I) final decisions of the General Accounting Office Personnel Appeals Board, 31 U.S.C. §755; (J) final decisions of all agency Boards of Contract Appeals, 41 U.S.C. §607(g); (K) final decisions of the Patent and Trademark Office tribunals on patent applications and interferences, trademark applications and interferences, cancellations, concurrent use proceedings, and oppositions, 35 U.S.C. §142, 15 U.S.C. §1071, 37 CFR §§1.304, 2.145; (L) appeals under section 71 of the Plant Variety Protection Act of 1970, 7 U.S.C. §2461; (M) certain actions of the Secretary of Veterans Affairs, 38 U.S.C. §502; (N) certain final orders of the Equal Employment Opportunity Commission relating to certain Presidential appointees, 2 U.S.C. §1219(a)(3) and 28 U.S.C. §2344; (O) final decisions of the Office of Personnel Management under 5 U.S.C. §8902a(g)(2); (P) certain actions of the Board of Directors of the Office of Compliance of the U.S. Congress under 2 U.S.C. §1407(a); and (Q) final decisions of certain agencies pursuant to 28 U.S.C. §1296.

The Federal Circuit also has exclusive jurisdiction pursuant to 28 U.S.C. §1292(c) of: (1) appealable interlocutory orders or decrees in cases where the court would otherwise have jurisdiction over an appeal; and (2) appeals from judgments in civil actions for patent infringement otherwise appealable to the court and final except for accounting. Under the provisions of 28 U.S.C. §1292(d), the court has: (1) exclusive jurisdiction of appeals from interlocutory orders granting or denying, in whole or in part, a motion to transfer an action to the Court of Federal Claims; and (2) may, in its discretion, permit an appeal from an interlocutory order of a judge who certifies that there is a controlling question of law and a substantial ground for difference of opinion thereon, and that an immediate appeal may materially advance the ultimate termination of the litigation. Pursuant to 38 U.S.C. §7292(b)(1), the court has exclusive jurisdiction of certain interlocutory orders of the Court of Appeals for Veterans Claims.

Legislation having an impact on the Federal Circuit is contained in P.L. 105-339 (51021) October 31, 1998, Veterans Employment Opportunities Act of 1998, which provides a remedy through the Merit Systems Protection Board for those seeking review of the application of veterans preference rules to applicants for Federal employment.

#### Object Classification (in millions of dollars)

Identification code 010-0510-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	15	17	17
12.1 Civilian personnel benefits .....	3	4	4
23.1 Rental payments to GSA .....	7	6	6
25.2 Other services from non-Federal sources .....	5	6	5
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	31	34	33

**Employment Summary**

Identification code 010-0510-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	137	151	151

**UNITED STATES COURT OF INTERNATIONAL TRADE**

*Federal Funds*

**SALARIES AND EXPENSES**

For salaries of officers and employees of the court, services, and necessary expenses of the court, as authorized by law, **[\$18,160,000]** \$18,462,000.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief judge and judges of the court. (*Judiciary Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 010-0400-0-1-752	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	18	20	21

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	18	18	19
1120 Appropriations transferred to other accts [010-0920] .....	-1		
1160 Appropriation, discretionary (total) .....	17	18	19
Appropriations, mandatory:			
1200 Appropriation .....	1	2	2
1900 Budget authority (total) .....	18	20	21
1930 Total budgetary resources available .....	18	20	21

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	18	20	21
3020 Outlays (gross) .....	-17	-20	-21
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	18	19
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	17	18
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	16	18	19
Mandatory:			
4090 Budget authority, gross .....	1	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
4180 Budget authority, net (total) .....	18	20	21
4190 Outlays, net (total) .....	17	20	21

The United States Court of International Trade, established under Article III of the Constitution of the United States, was created by the Act of October 10, 1980 (94 Stat. 1727), effective November 1, 1980, as successor to the former United States Customs Court. The court has original and exclusive jurisdiction of civil actions against the United States, its agencies and officers, and certain civil actions brought by the United States, arising out of import transactions and Federal statutes affecting customs and international trade. The court possesses all the powers in law and equity of, or as conferred by statute upon, a district court of the United States, and is authorized to conduct jury trials. The geographical jurisdiction of the court is nationwide and trials before the court or hearings may be held at any place within the jurisdiction of the United States. The court also is authorized to hold hearings in foreign countries. The principal statutory provisions pertaining to the court are contained in the following sections of Title 28

of the United States Code: Organization, sections 251-258; Jurisdiction, sections 1581-1585; and Procedures, sections 2631-2646.

**Object Classification** (in millions of dollars)

Identification code 010-0400-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	8	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	6	7	7
25.2 Other services from non-Federal sources .....	2	2	2
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	18	20	21

**Employment Summary**

Identification code 010-0400-0-1-752	2015 actual	2016 est.	2017 est.
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1001 Direct civilian full-time equivalent employment .....	56	80	80
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**COURTS OF APPEALS, DISTRICT COURTS, AND OTHER JUDICIAL SERVICES**

*Federal Funds*

**SALARIES AND EXPENSES**

For the salaries of judges of the United States Court of Federal Claims, magistrate judges, and all other officers and employees of the Federal Judiciary not otherwise specifically provided for, necessary expenses of the courts, and the purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, as authorized by law, **[\$4,918,969,000]** \$5,045,785,000 (including the purchase of firearms and ammunition); of which not to exceed \$27,817,000 shall remain available until expended for space alteration projects and for furniture and furnishings related to new space alteration and construction projects.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of circuit and district judges (including judges of the territorial courts of the United States), bankruptcy judges, and justices and judges retired from office or from regular active service.

In addition, for expenses of the United States Court of Federal Claims associated with processing cases under the National Childhood Vaccine Injury Act of 1986 (Public Law 99-660), not to exceed **[\$6,050,000]** \$6,260,000, to be appropriated from the Vaccine Injury Compensation Trust Fund. (*Judiciary Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 010-0920-0-1-752	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>			
0001 Courts of appeals .....	644	671	680
0002 District courts .....	2,368	2,495	2,528
0003 Bankruptcy courts .....	791	826	837
0004 Probation/Pretrial .....	1,468	1,543	1,554
0799 Total direct obligations .....	5,271	5,535	5,599
0801 Salaries and Expenses (Reimbursable) .....	11	12	12
0803 Offsetting Collections .....	127	212	217
0899 Total reimbursable obligations .....	138	224	229
0900 Total new obligations .....	5,409	5,759	5,828

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	15
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,847	4,919	5,046
1121 Appropriations transferred from other acct [010-0510] .....	1		
1121 Appropriations transferred from other acct [010-0400] .....	1		
1160 Appropriation, discretionary (total) .....	4,849	4,919	5,046
Appropriations, mandatory:			
1200 Appropriation .....	397	403	415
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	127	448	367

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 010-0920-0-1-752	2015 actual	2016 est.	2017 est.
1701 Change in uncollected payments, Federal sources .....	36		
1750 Spending auth from offsetting collections, disc (total) .....	163	448	367
1900 Budget authority (total) .....	5,409	5,770	5,828
1930 Total budgetary resources available .....	5,413	5,774	5,843
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	343	403	363
3010 Obligations incurred, unexpired accounts .....	5,409	5,759	5,828
3011 Obligations incurred, expired accounts .....	16		
3020 Outlays (gross) .....	-5,347	-5,799	-5,819
3041 Recoveries of prior year unpaid obligations, expired .....	-18		
3050 Unpaid obligations, end of year .....	403	363	372
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-36	-36
3070 Change in uncollected pymts, Fed sources, unexpired .....	-36		
3071 Change in uncollected pymts, Fed sources, expired .....	17		
3090 Uncollected pymts, Fed sources, end of year .....	-36	-36	-36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	326	367	327
3200 Obligated balance, end of year .....	367	327	336
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,012	5,367	5,413
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,693	5,013	5,050
4011 Outlays from discretionary balances .....	257	383	354
4020 Outlays, gross (total) .....	4,950	5,396	5,404
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-147	-448	-367
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-148	-448	-367
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-36		
4052 Offsetting collections credited to expired accounts .....	21		
4060 Additional offsets against budget authority only (total) .....	-15		
4070 Budget authority, net (discretionary) .....	4,849	4,919	5,046
4080 Outlays, net (discretionary) .....	4,802	4,948	5,037
Mandatory:			
4090 Budget authority, gross .....	397	403	415
Outlays, gross:			
4100 Outlays from new mandatory authority .....	397	403	415
4180 Budget authority, net (total) .....	5,246	5,322	5,461
4190 Outlays, net (total) .....	5,199	5,351	5,452

Funds appropriated under this heading are for the salaries and benefits of judges and supporting personnel and all operating expenses of the United States courts of appeals, district courts, bankruptcy courts, United States Court of Federal Claims, and United States Probation and Pretrial Services offices are shown by activity:

**Courts of Appeals.**—This activity includes the salaries and benefits of all active United States circuit judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the Courts of Appeals supporting personnel such as the administrative and legal aides required to assist the judges in the hearing and decision of appeals, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**District Courts.**—This activity includes the salaries and benefits of all active United States district judges, magistrate judges and all such judges who have retired from office or from regular active service in pursuance

of law. In addition, it provides for the salaries and expenses of the District Court supporting personnel such as the administrative and legal aides required to assist the judges in conduct of hearings, trials, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**Bankruptcy Courts.**—This activity includes the salaries and benefits of all active United States bankruptcy judges. In addition, it provides for the salaries and expenses of the Bankruptcy Court supporting personnel including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**Probation/Pretrial.**—This activity includes the salaries and benefits of all probation and pretrial services officers, officer assistants and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, and equipment and for rental of space, alterations, and related services for United States court facilities. It also provides for all expenses of law-enforcement related activities, which includes substance abuse and mental health treatment, Global Position Monitoring, purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, and operation and maintenance such as travel expenses incurred by probation officers, including travel costs related to the supervision of defendants and offenders in the community, and officer training expenses.

Object Classification (in millions of dollars)

Identification code 010-0920-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,827	1,975	1,998
11.3 Other than full-time permanent .....	709	719	729
Total personnel compensation .....			
11.9 .....	2,536	2,694	2,727
12.1 Civilian personnel benefits .....	812	841	864
13.0 Benefits for former personnel .....	8	8	8
21.0 Travel and transportation of persons .....	56	57	57
22.0 Transportation of things .....	5	5	5
23.1 Rental payments to GSA .....	1,018	1,020	1,020
23.2 Rental payments to others .....	36	38	39
23.3 Communications, utilities, and miscellaneous charges .....	127	145	147
24.0 Printing and reproduction .....	14	15	16
25.1 Advisory and assistance services .....	171	194	195
25.2 Other services from non-Federal sources .....	282	299	301
25.7 Operation and maintenance of equipment .....	16	19	19
26.0 Supplies and materials .....	11	13	13
31.0 Equipment .....	179	187	188
99.0 Direct obligations .....	5,271	5,535	5,599
99.0 Reimbursable obligations .....	138	224	229
99.9 Total new obligations .....	5,409	5,759	5,828

Employment Summary

Identification code 010-0920-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	27,879	28,112	28,289
2001 Reimbursable civilian full-time equivalent employment .....	32	33	33

DEFENDER SERVICES

For the operation of Federal Defender organizations; the compensation and reimbursement of expenses of attorneys appointed to represent persons under 18 U.S.C. 3006A and 3599, and for the compensation and reimbursement of expenses of persons furnishing investigative, expert, and other services for such representations as au-

thorized by law; the compensation (in accordance with the maximums under 18 U.S.C. 3006A) and reimbursement of expenses of attorneys appointed to assist the court in criminal cases where the defendant has waived representation by counsel; the compensation and reimbursement of expenses of attorneys appointed to represent jurors in civil actions for the protection of their employment, as authorized by 28 U.S.C. 1875(d)(1); the compensation and reimbursement of expenses of attorneys appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings; the compensation and reimbursement of travel expenses of guardians ad litem appointed under 18 U.S.C. 4100(b); and for necessary training and general administrative expenses, **【\$1,004,949,000】 \$1,056,326,000**, to remain available until expended. (*Judiciary Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 010-0923-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Defender Services (Direct) .....	996	1,066	1,106
0004 Program administration expenses .....	8	12	11
0799 Total direct obligations .....	1,004	1,078	1,117
0801 Reimbursable program activity .....	6	.....	.....
0809 Reimbursable program activities, subtotal .....	6	.....	.....
0900 Total new obligations .....	1,010	1,078	1,117
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	104	31
1021 Recoveries of prior year unpaid obligations .....	14	.....	30
1050 Unobligated balance (total) .....	92	104	61
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,016	1,005	1,056
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	.....	.....
1900 Budget authority (total) .....	1,022	1,005	1,056
1930 Total budgetary resources available .....	1,114	1,109	1,117
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	104	31	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	45	88
3010 Obligations incurred, unexpired accounts .....	1,010	1,078	1,117
3020 Outlays (gross) .....	-993	-1,035	-1,054
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	.....	-30
3050 Unpaid obligations, end of year .....	45	88	121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	45	88
3200 Obligated balance, end of year .....	45	88	121
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,022	1,005	1,056
Outlays, gross:			
4010 Outlays from new discretionary authority .....	965	975	1,024
4011 Outlays from discretionary balances .....	28	60	30
4020 Outlays, gross (total) .....	993	1,035	1,054
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-6	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-6	.....	.....
4180 Budget authority, net (total) .....	1,016	1,005	1,056
4190 Outlays, net (total) .....	987	1,035	1,054

Funds appropriated under this heading provide for the administration and operation of the Criminal Justice Act of 1964 (18 U.S.C. 3006A), as amended, which provides for furnishing representation for any person financially unable to obtain adequate representation who: (1) is charged with a felony or Class A, B, or C misdemeanor or infraction for which a sentence to confinement is authorized, or with committing an act of juvenile delinquency, or with a violation of probation; (2) is under arrest, when such representation is required by law; (3) is entitled to appointment of counsel in parole proceedings (18 U.S.C. 4201-18); (4) is charged with a violation of supervised release or faces modification, reduction, or enlargement of a condition, or extension or revocation of a term of supervised release; (5)

is subject to a mental condition or other hearing (18 U.S.C. 4241-48); (6) is in custody as a material witness; (7) is entitled to appointment of counsel under the sixth amendment to the Constitution; (8) faces loss of liberty in a case, and Federal law requires the appointment of counsel; (9) is entitled to the appointment of counsel under 18 U.S.C. 4109; or (10) is seeking relief under 28 U.S.C. 2241, 2254, or 2255. Representation includes counsel and investigative, expert, and other necessary services. The appropriation includes funding for the compensation and expenses of court-appointed counsel and persons providing investigative, expert and other services under the Act, and also under 18 U.S.C. 3599 in capital representations; for the operation of the Federal Defender Organizations; for the compensation and reimbursement of travel expenses of guardians ad litem, appointed under 18 U.S.C. 4100(b), acting on behalf of financially eligible minors or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences (18 U.S.C. 4109(b)); and for the continuing education and training of persons providing representational services under the Act. In addition, this appropriation is available for the compensation and reimbursement of expenses of counsel: (1) appointed pursuant to 5 U.S.C. 3109 to assist the court in criminal cases where the defendant has waived representation by counsel; (2) appointed pursuant to 28 U.S.C. 1875(d)(1) to represent jurors in civil actions for the protection of their employment; and (3) appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings.

**Object Classification** (in millions of dollars)

Identification code 010-0923-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	278	309	314
12.1 Civilian personnel benefits .....	90	99	101
13.0 Benefits for former personnel .....	1	.....	.....
21.0 Travel and transportation of persons .....	10	12	14
23.1 Rental payments to GSA .....	38	40	42
23.3 Communications, utilities, and miscellaneous charges .....	8	10	12
25.2 Compensation and out-of-pocket expenses of court-appointed counsel .....	342	354	367
25.2 Transcripts .....	7	8	9
25.2 Investigators, interpreters, psychiatrists, and other experts .....	57	61	63
25.2 Other services .....	14	16	17
25.3 Other goods and services from Federal sources .....	4	5	6
26.0 Supplies and materials .....	2	3	4
31.0 Equipment .....	12	13	14
41.0 Grants, subsidies, and contributions .....	141	148	154
99.0 Direct obligations .....	1,004	1,078	1,117
99.0 Reimbursable obligations .....	6	.....	.....
99.9 Total new obligations .....	1,010	1,078	1,117

**Employment Summary**

Identification code 010-0923-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,568	2,784	2,849

**FEES OF JURORS AND COMMISSIONERS**

For fees and expenses of jurors as authorized by 28 U.S.C. 1871 and 1876; compensation of jury commissioners as authorized by 28 U.S.C. 1863; and compensation of commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure (28 U.S.C. Appendix Rule 71.1(h)), **【\$44,199,000】 \$43,723,000**, to remain available until expended: *Provided*, That the compensation of land commissioners shall not exceed the daily equivalent of the highest rate payable under 5 U.S.C. 5332. (*Judiciary Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 010-0925-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Grand jurors .....	15	16	17
0004 Petit jurors .....	34	29	32

FEES OF JURORS AND COMMISSIONERS—Continued  
Program and Financing—Continued

Identification code 010-0925-0-1-752	2015 actual	2016 est.	2017 est.
0900 Total new obligations .....	49	45	49
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	6	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	52	44	44
1930 Total budgetary resources available .....	55	50	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	5	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	49	45	49
3020 Outlays (gross) .....	-50	-45	-49
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	44	44
Outlays, gross:			
4010 Outlays from new discretionary authority .....	49	44	44
4011 Outlays from discretionary balances .....	1	1	5
4020 Outlays, gross (total) .....	50	45	49
4180 Budget authority, net (total) .....	52	44	44
4190 Outlays, net (total) .....	50	45	49

This appropriation provides for the statutory fees and allowances of jurors, refreshments of jurors, and compensation of land commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure. Budgetary requirements depend largely upon the volume and length of jury trials demanded by the parties to both civil and criminal actions and the number of grand juries being convened by the courts at the request of United States attorneys.

Object Classification (in millions of dollars)

Identification code 010-0925-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	22	22	26
21.0 Travel and transportation of persons (jurors) .....	23	20	20
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
25.2 Other services (meals and lodging furnished sequestered juror) .....	1	1	1
26.0 Supplies and materials (Provisions for Juror Food/Beverages) .....	1	1	1
99.9 Total new obligations .....	49	45	49

COURT SECURITY

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses, not otherwise provided for, incident to the provision of protective guard services for United States courthouses and other facilities housing Federal court operations, and the procurement, installation, and maintenance of security systems and equipment for United States courthouses and other facilities housing Federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities as authorized by section 1010 of the Judicial Improvement and Access to Justice Act (Public Law 100-702), **[\$538,196,000]** \$565,388,000, of which not to exceed **[\$15,000,000]** \$20,000,000 shall remain available until expended, to be expended directly or transferred to the United States Marshals Service, which shall be responsible for administering the Judicial Facility Security Program consistent with standards

or guidelines agreed to by the Director of the Administrative Office of the United States Courts and the Attorney General. (*Judiciary Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 010-0930-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Court Security (Direct) .....	532	548	575
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	13	13
1012 Unobligated balance transfers between expired and unexpired accounts .....	19	10	10
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	33	23	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	514	538	565
1930 Total budgetary resources available .....	547	561	588
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	115	148	152
3010 Obligations incurred, unexpired accounts .....	532	548	575
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-486	-544	-566
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-13	.....	.....
3050 Unpaid obligations, end of year .....	148	152	161
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	115	148	152
3200 Obligated balance, end of year .....	148	152	161
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	514	538	565
Outlays, gross:			
4010 Outlays from new discretionary authority .....	428	404	424
4011 Outlays from discretionary balances .....	58	140	142
4020 Outlays, gross (total) .....	486	544	566
4180 Budget authority, net (total) .....	514	538	565
4190 Outlays, net (total) .....	486	544	566

This appropriation provides for the necessary expenses not otherwise provided for, incident to providing protective guard services for the United States courthouses and other facilities housing Federal court operations and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities, to be expended directly or transferred to the United States Marshals Service which shall be responsible for administering the Judicial Facility Security Program or to the Federal Protective Service for costs associated with building security.

Object Classification (in millions of dollars)

Identification code 010-0930-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	6	6	6
23.2 Rental payments to others .....	1	1	1
25.2 Other services from non-Federal sources .....	386	404	419
25.3 Other goods and services from Federal sources .....	77	79	84
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	49	47	54
42.0 Insurance claims and indemnities .....	1	.....	.....
91.0 Unvouchered .....	3	.....	.....
99.9 Total new obligations .....	532	548	575

**Employment Summary**

Identification code 010-0930-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	57	72	72

**JUDICIARY FILING FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 010-5100-0-2-752	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Filing Fees, U.S. Courts, Judiciary .....	233	224	229
2000 Total: Balances and receipts .....	233	224	229
Appropriations:			
Current law:			
2101 Judiciary Filing Fees .....	-233	-224	-229
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 010-5100-0-2-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Judiciary Filing Fees (Direct) .....	166	224	229
0900 Total new obligations (object class 25.2) .....	166	224	229

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	285	352	352
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	233	224	229
1930 Total budgetary resources available .....	518	576	581
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	352	352	352

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	35	2
3010 Obligations incurred, unexpired accounts .....	166	224	229
3020 Outlays (gross) .....	-143	-257	-229
3050 Unpaid obligations, end of year .....	35	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	35	2
3200 Obligated balance, end of year .....	35	2	2

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	233	224	229
Outlays, gross:			
4100 Outlays from new mandatory authority .....	131	224	229
4101 Outlays from mandatory balances .....	12	33	
4110 Outlays, gross (total) .....	143	257	229
4180 Budget authority, net (total) .....	233	224	229
4190 Outlays, net (total) .....	143	257	229

**REGISTRY ADMINISTRATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 010-5101-0-2-752	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Fees, Registry Administration, Judiciary .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Registry Administration .....	-1	-1	-1

5099 Balance, end of year .....			
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**Program and Financing** (in millions of dollars)

Identification code 010-5101-0-2-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Registry Administration (Direct) .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

This schedule reflects funds available to the Federal Judiciary, pursuant to Public Law 100-459, which provides that any funds collected by the Judiciary as a charge for services rendered in administering accounts kept in a court's registry shall be deposited into this account.

**JUDICIARY INFORMATION TECHNOLOGY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 010-5114-0-2-752	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1		
0198 Adjustments .....	-1		
0199 Balance, start of year .....			
Receipts:			
Current law:			
1130 Proceeds from Sale of Property, Judiciary Information Technology Fund .....	145	145	145
1140 Advances and Reimbursements, Judiciary Information Technology Fund .....	432	425	443
1199 Total current law receipts .....	577	570	588
1999 Total receipts .....	577	570	588
2000 Total: Balances and receipts .....	577	570	588
Appropriations:			
Current law:			
2101 Judiciary Information Technology Fund .....	-577	-570	-588
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 010-5114-0-2-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Information Technology .....	557	699	588

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	102	129	
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	109	129	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	577	570	588
1930 Total budgetary resources available .....	686	699	588
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	129		

JUDICIARY INFORMATION TECHNOLOGY FUND—Continued  
Program and Financing—Continued

Identification code 010-5114-0-2-752	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	291	336	368
3010 Obligations incurred, unexpired accounts .....	557	699	588
3020 Outlays (gross) .....	-505	-667	-569
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	336	368	387
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	291	336	368
3200 Obligated balance, end of year .....	336	368	387
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	577	570	588
Outlays, gross:			
4100 Outlays from new mandatory authority .....	264	388	435
4101 Outlays from mandatory balances .....	241	279	134
4110 Outlays, gross (total) .....	505	667	569
4180 Budget authority, net (total) .....	577	570	588
4190 Outlays, net (total) .....	505	667	569

The Judiciary Information Technology Fund provides the judiciary with a funds management tool which allows more effective and efficient planning, budgeting, and use of funds for information technology activities. The Fund was authorized "without fiscal year limitation," which allows the judiciary to carry forward funds for projects that incur obligations over multiple years. The Fund makes it possible to implement the *Long Range Plan for Information Technology in the Federal Judiciary* and to manage the information technology program over a multi-year planning cycle while maximizing efficiencies and benefits. The Fund is financed through deposits and transfers from appropriations, reimbursements, user fees, and the sale of surplus equipment.

Object Classification (in millions of dollars)

Identification code 010-5114-0-2-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	5	2	2
23.3 Communications, utilities, and miscellaneous charges .....	99	89	91
24.0 Printing and reproduction .....	8	7	8
24.0 Printing and reproduction .....		7	9
25.1 Advisory and assistance services .....	187	319	207
25.3 Other goods and services from Federal sources .....	31	30	28
25.7 Operation and maintenance of equipment .....	56	63	66
26.0 Supplies and materials .....	9	6	5
31.0 Equipment .....	162	176	172
99.9 Total new obligations .....	557	699	588

ADMINISTRATIVE OFFICE OF THE UNITED STATES  
COURTS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Office of the United States Courts as authorized by law, including travel as authorized by 31 U.S.C. 1345, hire of a passenger motor vehicle as authorized by 31 U.S.C. 1343(b), advertising and rent in the District of Columbia and elsewhere, **[\$85,665,000]** \$87,748,000, of which not to exceed \$8,500 is authorized for official reception and representation expenses. (*Judiciary Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 010-0927-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Program direction and policy formulation .....	15	15	15
0012 Program Services .....	26	28	28
0013 Administrative Services .....	59	60	62

0014 Technology Services .....	2	2	2
0799 Total direct obligations .....	102	105	107
0801 Offsetting Collections .....	73	87	87
0900 Total new obligations .....	175	192	194

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	84	86	88
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	80	107	105
1701 Change in uncollected payments, Federal sources .....	11		
1750 Spending auth from offsetting collections, disc (total) .....	91	107	105
1900 Budget authority (total) .....	175	193	193
1930 Total budgetary resources available .....	175	193	194
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	12	5
3010 Obligations incurred, unexpired accounts .....	175	192	194
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-174	-193	-193
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-6	
3050 Unpaid obligations, end of year .....	12	5	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	-1	-8
3200 Obligated balance, end of year .....	-1	-8	-7

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	175	193	193
Outlays, gross:			
4010 Outlays from new discretionary authority .....	165	188	188
4011 Outlays from discretionary balances .....	9	5	5
4020 Outlays, gross (total) .....	174	193	193
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-80	-107	-105
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-11		
4060 Additional offsets against budget authority only (total) .....	-11		
4070 Budget authority, net (discretionary) .....	84	86	88
4080 Outlays, net (discretionary) .....	94	86	88
4180 Budget authority, net (total) .....	84	86	88
4190 Outlays, net (total) .....	94	86	88

The Administrative Office, pursuant to section 604 of Title 28, United States Code, under the supervision and direction of the Judicial Conference of the United States, is responsible for the administration of the U.S. courts, including the probation and bankruptcy systems. The principal functions consist of providing staff and services for the courts; conducting a continuous study of the rules of practice and procedure in the Federal courts; examining the state of dockets of the various courts; compiling and publishing statistical data concerning the business transacted by the courts; and administering the judicial retirement and survivors annuities systems under Title 28, United States Code, sections 178, 376, and 377. The Administrative Office also is responsible for: the preparation and submission of the annual budget estimates as well as supplemental and deficiency estimates; the disbursement of and accounting for moneys appropriated for the operation of the courts, and the Federal Judicial Center; the audit and examination of accounts; the purchase and distribution of supplies and equipment; providing automated data processing services; securing adequate space for occupancy by the courts; and such other matters as may be assigned by the Supreme Court and Judicial Conference of the United States.

**Object Classification** (in millions of dollars)

Identification code 010-0927-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	68	72	73
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	71	75	76
12.1 Civilian personnel benefits .....	21	23	23
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	4	3	3
31.0 Equipment .....	3	1	1
99.0 Direct obligations .....	102	105	106
99.0 Reimbursable obligations .....	73	87	88
99.9 Total new obligations .....	175	192	194

**Employment Summary**

Identification code 010-0927-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	580	621	621
2001 Reimbursable civilian full-time equivalent employment .....	400	474	474

**FEDERAL JUDICIAL CENTER**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, [ \$27,719,000 ] \$28,335,000; of which \$1,800,000 shall remain available through September 30, [2017] 2018, to provide education and training to Federal court personnel; and of which not to exceed \$1,500 is authorized for official reception and representation expenses. (*Judiciary Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 010-0928-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Education and training .....	18	19	20
0002 Research .....	5	5	5
0003 Program support .....	4	4	4
0900 Total new obligations .....	27	28	29
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	27	28	28
1930 Total budgetary resources available .....	28	29	29
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	3
3010 Obligations incurred, unexpired accounts .....	27	28	29
3020 Outlays (gross) .....	-28	-28	-28
3050 Unpaid obligations, end of year .....	3	3	4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	3	3
3200 Obligated balance, end of year .....	3	3	4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	27	28	28
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	24	27	27
4011 Outlays from discretionary balances .....	4	1	1
4020 Outlays, gross (total) .....	28	28	28
4180 Budget authority, net (total) .....	27	28	28
4190 Outlays, net (total) .....	28	28	28

This appropriation provides for the operation of the Federal Judicial Center pursuant to 28 U.S.C. 620 et seq. The Center is charged with the responsibility for furthering the development and adoption of improved judicial administration in the courts of the United States.

**Object Classification** (in millions of dollars)

Identification code 010-0928-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	15	15
12.1 Civilian personnel benefits .....	4	5	5
21.0 Travel and transportation of persons .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	.....	.....
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	27	28	29

**Employment Summary**

Identification code 010-0928-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	127	132	132

**JUDICIAL RETIREMENT FUNDS**

*Federal Funds*

**PAYMENT TO JUDICIARY TRUST FUNDS**

**Program and Financing** (in millions of dollars)

Identification code 010-0941-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Judicial Officers' Retirement Fund .....	116	127	140
0002 Payment to Court of Federal Claims Judges Retirement Fund ...	6	6	6
0003 Payment to Judicial Survivors' Annuities Fund .....	22	22	22
0900 Total new obligations (object class 42.0) .....	144	155	168
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	144	155	168
1930 Total budgetary resources available .....	144	155	168
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	144	155	168
3020 Outlays (gross) .....	-144	-155	-168
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	144	155	168
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	144	155	168
4180 Budget authority, net (total) .....	144	155	168
4190 Outlays, net (total) .....	144	155	168

This appropriation request would provide funds necessary to pay the retirement annuities of bankruptcy judges and magistrate judges, pursuant to 28 U.S.C. 377, the retirement annuities of the United States Court of Federal Claims judges, pursuant to 28 U.S.C. 178, and annuities to participants' surviving widows, widowers, and dependent children, pursuant to 28 U.S.C. 376.

*Trust Funds*

**JUDICIAL OFFICERS' RETIREMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 010-8122-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	1

JUDICIAL OFFICERS' RETIREMENT FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 010-8122-0-7-602	2015 actual	2016 est.	2017 est.
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Deductions from Employee Salaries and Voluntary Contributions, Judicial Officers' Annuity .....	3	2	3
1140 Interest and Profits on Investments, Judicial Officers' Annuity .....	-24	6	7
1140 Federal Payment to Judicial Officers Retirement Fund .....	116	127	140
1199 Total current law receipts .....	95	135	150
1999 Total receipts .....	95	135	150
2000 Total: Balances and receipts .....	96	136	151
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Judicial Officers' Retirement Fund .....	-95	-135	-150
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 010-8122-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Judicial Officers Retirement Fund .....	82	87	95
0900 Total new obligations (object class 42.0) .....	82	87	95

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	523	536	584
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	95	135	150
1930 Total budgetary resources available .....	618	671	734
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	536	584	639

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	7
3010 Obligations incurred, unexpired accounts .....	82	87	95
3020 Outlays (gross) .....	-81	-87	-95
3050 Unpaid obligations, end of year .....	7	7	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	7	7
3200 Obligated balance, end of year .....	7	7	7

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	95	135	150
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	75	80	95
4101 Outlays from mandatory balances .....	6	7	.....
4110 Outlays, gross (total) .....	81	87	95
4180 Budget authority, net (total) .....	95	135	150
4190 Outlays, net (total) .....	81	87	95

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	524	537	585
5001 Total investments, EOY: Federal securities: Par value .....	537	585	640

This fund provides the retirement annuities of bankruptcy judges and magistrate judges pursuant to 28 U.S.C. 377.

JUDICIAL SURVIVORS' ANNUITIES FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8110-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Judicial Survivors Annuity, Deductions from Employees Salaries .....	12	8	9

1140 Judicial Survivors Annuity, Interest and Profits on Investments .....	-16	11	11
1140 Federal Payment to Judicial Survivors Annuities Fund .....	22	22	22
1199 Total current law receipts .....	18	41	42
1999 Total receipts .....	18	41	42
2000 Total: Balances and receipts .....	18	41	42
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Judicial Survivors' Annuities Fund .....	-18	-41	-42
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 010-8110-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Judicial Survivor's Annuity Fund .....	31	33	33
0900 Total new obligations (object class 42.0) .....	31	33	33

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	524	511	519
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	18	41	42
1930 Total budgetary resources available .....	542	552	561
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	511	519	528

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 Obligations incurred, unexpired accounts .....	31	33	33
3020 Outlays (gross) .....	-30	-33	-33
3050 Unpaid obligations, end of year .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	18	41	42
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	28	30	33
4101 Outlays from mandatory balances .....	2	3	.....
4110 Outlays, gross (total) .....	30	33	33
4180 Budget authority, net (total) .....	18	41	42
4190 Outlays, net (total) .....	30	33	33

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	528	514	522
5001 Total investments, EOY: Federal securities: Par value .....	514	522	531

The Judicial Survivors' Annuities Fund (section 376 of title 28, United States Code) was established to receive sums deducted and withheld from salaries of justices, judges, the Director of the Federal Judicial Center, the Director of the Administrative Office of the U.S. Courts, and the Administrative Assistant to the Chief Justice who have elected to bring themselves within the purview of the above section as well as amounts received from said judicial officers covering Federal civilian service prior to date of election.

This fund provides annuities for participants' surviving widows, widowers, and dependent children.

UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8124-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Federal Payment to Claims Court Judges' Retirement Fund .....	6	6	6

1140	Interest, Claims Court Judges' Retirement Fund .....	-1		
1199	Total current law receipts .....	5	6	6
1999	Total receipts .....	5	6	6
2000	Total: Balances and receipts .....	5	6	6
Appropriations:				
Current law:				
2101	United States Court of Federal Claims Judges' Retirement Fund .....	-5	-6	-6
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 010-8124-0-7-602	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Court of Federal Claims Judges Retirement Fund .....	4	4	4
0900	Total new obligations (object class 42.0) .....	4	4	4
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	31	32	34
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	5	6	6
1930	Total budgetary resources available .....	36	38	40
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	32	34	36
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	4	4	4
3020	Outlays (gross) .....	-4	-4	-4
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	5	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	4	4
4180	Budget authority, net (total) .....	5	6	6
4190	Outlays, net (total) .....	4	4	4
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	31	32	34
5001	Total investments, EOY: Federal securities: Par value .....	32	34	36

This fund provides the retirement annuities of United States Court of Federal Claims judges pursuant to 28 U.S.C. 178.

**UNITED STATES SENTENCING COMMISSION**

*Federal Funds*

**SALARIES AND EXPENSES**

For the salaries and expenses necessary to carry out the provisions of chapter 58 of title 28, United States Code, **[\$17,570,000] \$18,150,000**, of which not to exceed \$1,000 is authorized for official reception and representation expenses. (*Judiciary Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 010-0938-0-1-752	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Salaries and Expenses (Direct) .....	17	18	18
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	17	18	18
1930	Total budgetary resources available .....	17	18	18
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	4	4
3010	Obligations incurred, unexpired accounts .....	17	18	18
3020	Outlays (gross) .....	-16	-18	-18

3050	Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	4	4
3200	Obligated balance, end of year .....	4	4	4

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	17	18	18
Outlays, gross:				
4010	Outlays from new discretionary authority .....	14	15	15
4011	Outlays from discretionary balances .....	2	3	3
4020	Outlays, gross (total) .....	16	18	18
4180	Budget authority, net (total) .....	17	18	18
4190	Outlays, net (total) .....	16	18	18

The United States Sentencing Commission, an independent agency within the judicial branch, was established pursuant to provisions of the Comprehensive Crime Control Act of 1984 (Public Law 98-473, Title II), as amended. The Commission's principal purposes are to: (1) collect, analyze, and distribute a broad array of information on Federal crime and sentencing issues, serving as an information resource for Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public; (2) establish sentencing policies and practices for the Federal courts, including guidelines prescribing the appropriate form and severity of punishment for offenders convicted of Federal crimes; (3) advise and assist Congress and the executive branch in the development of effective and efficient crime policy; and (4) provide training to judges, prosecutors, probation officers, the defense bar, and other members of the criminal justice community on the application of the guidelines.

**Object Classification** (in millions of dollars)

Identification code 010-0938-0-1-752	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	9	11	11
12.1	Civilian personnel benefits .....	3	3	3
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	2	2	2
31.0	Equipment .....	2	1	1
99.9	Total new obligations .....	17	18	18

**Employment Summary**

Identification code 010-0938-0-1-752	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	93	95	95

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.	
<b>Offsetting receipts from the public:</b>				
010-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2		
General Fund Offsetting receipts from the public .....				
<b>Intragovernmental payments:</b>				
010-388500	Undistributed intragovernmental payments and receivables from cancelled accounts .....	39		
General Fund Intragovernmental payments .....				

ADMINISTRATIVE PROVISIONS—THE JUDICIARY

(INCLUDING TRANSFER OF FUNDS)

SEC. 301. Appropriations and authorizations made in this title which are available for salaries and expenses shall be available for services as authorized by 5 U.S.C. 3109.

SEC. 302. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Judiciary in this Act may be transferred between such appropriations, but no such appropriation, except "Courts of Appeals, District Courts,

and Other Judicial Services, Defender Services" and "Courts of Appeals, District Courts, and Other Judicial Services, Fees of Jurors and Commissioners", shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under sections 604 and 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in section 608.

SEC. 303. Notwithstanding any other provision of law, the salaries and expenses appropriation for "Courts of Appeals, District Courts, and Other Judicial Services" shall be available for official reception and representation expenses of the Judicial Conference of the United States: *Provided*, That such available funds shall not exceed \$11,000 and shall be administered by the Director of the Administrative Office of the United States Courts in the capacity as Secretary of the Judicial Conference.

SEC. 304. Section 3314(a) of title 40, United States Code, shall be applied by substituting "Federal" for "executive" each place it appears.

SEC. 305. In accordance with 28 U.S.C. 561–569, and notwithstanding any other provision of law, the United States Marshals Service shall provide, for such courthouses as its Director may designate in consultation with the Director of the Administrative Office of the United States Courts, for purposes of a pilot program, the security services that 40 U.S.C. 1315 authorizes the Department of Homeland Security to provide, except for the services specified in 40 U.S.C. 1315(b)(2)(E). For building-specific security services at these courthouses, the Director of the Administrative Office of the United States Courts shall reimburse the United States Marshals Service rather than the Department of Homeland Security.

SEC. 306. (a) Section 203(c) of the Judicial Improvements Act of 1990 (Public Law 101–650; 28 U.S.C. 133 note), is amended in the second sentence (relating to the District of Kansas) following paragraph (12), by striking "[24] 25 years and 6 months" and inserting "[25] 26 years and 6 months".

(b) Section 406 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109–115; 119 Stat. 2470; 28 U.S.C. 133 note) is amended in the second sentence (relating to the eastern District of Missouri) by striking "[22] 23 years and 6 months" and inserting "[23] 24 years and 6 months".

(c) Section 312(c)(2) of the 21st Century Department of Justice Appropriations Authorization Act (Public Law 107–273; 28 U.S.C. 133 note), is amended—

(1) in the first sentence by striking "[13] 14 years" and inserting "[14] 15 years";

(2) in the second sentence (relating to the central District of California), by striking "[12] 13 years and 6 months" and inserting "[13] 14 years and 6 months"; and

(3) in the third sentence (relating to the western district of North Carolina), by striking "[11] 12 years" and inserting "[12] 13 years".

SEC. 307. Section 3602(a) of title 18, United States Code, is amended—

(1) by inserting after the first sentence: "A person appointed as a probation officer in one district may serve in another district with the consent of the appointing court and the court in the other district."; and

(2) by inserting in the last sentence "appointing" before "court may, for cause".

SEC. 307. (a) Section 1871(b) of title 28, United States Code, is amended in paragraph (1) by striking "\$40" and inserting "\$50".

(b) *EFFECTIVE DATE.*—The amendment made in subsection (a) shall take effect 45 days after the date of enactment of this Act.

SEC. 308. Section 3154(1) of title 18, United States Code, is amended by inserting before the end of the sentence ", individuals described in section 3142(d)(1)(B) of this title, or individuals who are already in federal, state, or local custody in connection with a previous conviction". (Judiciary Appropriations Act, 2016.)

# DEPARTMENT OF AGRICULTURE

## OFFICE OF THE SECRETARY

### Federal Funds

#### PRODUCTION, PROCESSING, AND MARKETING

##### OFFICE OF THE SECRETARY

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Office of the Secretary, **[\$45,555,000]** \$64,403,000, of which not to exceed **[\$5,051,000]** \$10,178,000 shall be available for the **[immediate]** Immediate Office of the Secretary, of which not to exceed **[\$250,000]** \$253,000 shall be available for the Military Veterans Agricultural Liaison, and of which not to exceed \$5,000,000 shall be available for outreach services supporting new, beginning, and veteran farmers and ranchers; not to exceed **[\$502,000]** \$755,000 shall be available for the Office of Tribal Relations; not to exceed **[\$1,496,000]** \$1,592,000 shall be available for the Office of Homeland Security and Emergency Coordination; not to exceed **[\$1,209,000]** \$11,220,000 shall be available for the Office of Advocacy and Outreach; not to exceed **[\$25,928,000]** \$28,227,000 shall be available for the Office of the Assistant Secretary for Administration, of which **[\$25,124,000]** \$27,420,000 shall be available for Departmental Administration to provide for necessary expenses for management support services to offices of the Department and for general administration, security, repairs and alterations, and other miscellaneous supplies and expenses not otherwise provided for and necessary for the practical and efficient work of the Department; not to exceed **[\$3,869,000]** \$3,919,000 shall be available for the Office of Assistant Secretary for Congressional Relations to carry out the programs funded by this Act, including programs involving intergovernmental affairs and liaison within the executive branch; and not to exceed **[\$7,500,000]** \$8,512,000 shall be available for the Office of Communications: *Provided*, That the Secretary of Agriculture is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further*, That no appropriation for any office shall be increased or decreased by more than 5 percent: *Provided further*, That not to exceed \$11,000 of the amount made available under this paragraph for the **[immediate]** Immediate Office of the Secretary shall be available for official reception and representation expenses, not otherwise provided for, as determined by the Secretary: *Provided further*, That the amount made available under this heading for Departmental Administration shall be reimbursed from applicable appropriations in this Act for travel expenses incident to the holding of hearings as required by 5 U.S.C. 551–558: *Provided further*, That funds made available under this heading for the Immediate Office of the Secretary may be transferred to agencies of the Department of Agriculture for outreach activities supporting new, beginning, and veteran farmers and ranchers: *Provided further*, That funds made available under this heading for the Office of the Assistant Secretary for Congressional Relations may be transferred to agencies of the Department of Agriculture funded by this Act to maintain personnel at the agency level: *Provided further*, That no funds made available under this heading for the Office of Assistant Secretary for Congressional Relations may be obligated after 30 days from the date of enactment of this Act, unless the Secretary has notified the Committees on Appropriations of both Houses of Congress on the allocation of these funds by USDA agency: *Provided further*, That within 180 days of the date of enactment of this Act, the Secretary shall submit to Congress the report required in section 7 U.S.C. 6935(b)(3).

#### OFFICE OF THE ASSISTANT SECRETARY FOR CIVIL RIGHTS

For necessary expenses of the Office of the Assistant Secretary for Civil Rights, **[\$898,000]** \$901,000.

#### OFFICE OF THE UNDER SECRETARY FOR RESEARCH, EDUCATION, AND ECONOMICS

For necessary expenses of the Office of the Under Secretary for Research, Education, and Economics, **[\$893,000]** \$901,000.

#### OFFICE OF THE UNDER SECRETARY FOR MARKETING AND REGULATORY PROGRAMS

For necessary expenses of the Office of the Under Secretary for Marketing and Regulatory Programs, **[\$893,000]** \$901,000.

#### OFFICE OF THE UNDER SECRETARY FOR FOOD SAFETY

For necessary expenses of the Office of the Under Secretary for Food Safety, **[\$816,000]** \$819,000.

#### OFFICE OF THE UNDER SECRETARY FOR FARM AND FOREIGN AGRICULTURAL SERVICES

For necessary expenses of the Office of the Under Secretary for Farm and Foreign Agricultural Services, **[\$898,000]** \$901,000.

#### OFFICE OF THE UNDER SECRETARY FOR NATURAL RESOURCES AND ENVIRONMENT

For necessary expenses of the Office of the Under Secretary for Natural Resources and Environment, **[\$898,000]** \$901,000.

#### OFFICE OF THE UNDER SECRETARY FOR RURAL DEVELOPMENT

For necessary expenses of the Office of the Under Secretary for Rural Development, **[\$893,000]** \$896,000.

#### OFFICE OF THE UNDER SECRETARY FOR FOOD, NUTRITION, AND CONSUMER SERVICES

For necessary expenses of the Office of the Under Secretary for Food, Nutrition, and Consumer Services, **[\$811,000]** \$814,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 012–9913–0–1–352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Secretary .....	5	4	9
0002 Under/Assistant Secretaries .....	11	12	13
0003 Trade negotiations and biotechnology resources .....	1	1	1
0004 Departmental Administration .....	25	25	27
0005 Office of Communications .....	8	8	8
0006 Office of Advocacy and Outreach .....	1	1	11
0007 Office of Homeland Security and Emergency Coordination .....	2	2	2
0008 Outreach & Assistance for Socially Disadvantaged Farmers & Ranchers & Veteran Farmers & Ranchers .....	9	10	10
0009 Biobased Markets Program Sec 9001 .....	3	3	3
0799 Total direct obligations .....	65	66	84
0802 Office of the Secretary (Reimbursable) .....	56	56	56
0900 Total new obligations .....	121	122	140
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
1021 Recoveries of prior year unpaid obligations .....	.....	1	1
1050 Unobligated balance (total) .....	2	4	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	53	53	71
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4336] .....	13	13	13
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	12	12	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	48	58	58
1701 Change in uncollected payments, Federal sources .....	12	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	60	58	58
1900 Budget authority (total) .....	125	123	142
1930 Total budgetary resources available .....	127	127	148
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	3	5	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	40	12
3010 Obligations incurred, unexpired accounts .....	121	122	140
3011 Obligations incurred, expired accounts .....	5	.....	.....
3020 Outlays (gross) .....	-120	-136	-142
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-6	-13	.....
3050 Unpaid obligations, end of year .....	40	12	9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-56	-31	-31
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	37	.....	.....

OFFICE OF THE SECRETARY—Continued  
**Program and Financing—Continued**

Identification code 012-9913-0-1-352	2015 actual	2016 est.	2017 est.
3090 Uncollected pymts, Fed sources, end of year .....	-31	-31	-31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-16	9	-19
3200 Obligated balance, end of year .....	9	-19	-22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	113	111	129
Outlays, gross:			
4010 Outlays from new discretionary authority .....	93	105	122
4011 Outlays from discretionary balances .....	19	20	6
4020 Outlays, gross (total) .....	112	125	128
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-76	-58	-58
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ....	-78	-58	-58
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12		
4052 Offsetting collections credited to expired accounts .....	30		
4060 Additional offsets against budget authority only (total) .....	18		
4070 Budget authority, net (discretionary) .....	53	53	71
4080 Outlays, net (discretionary) .....	34	67	70
Mandatory:			
4090 Budget authority, gross .....	12	12	13
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	10	11
4101 Outlays from mandatory balances .....	7	1	3
4110 Outlays, gross (total) .....	8	11	14
4180 Budget authority, net (total) .....	65	65	84
4190 Outlays, net (total) .....	42	78	84

The Office of the Secretary is responsible for the overall planning, coordination and administration of the Department's programs. This includes the Secretary, Deputy Secretary, Under Secretaries, Assistant Secretaries, and their immediate staffs, who provide top policy guidance for the Department; maintain relationships with agricultural organizations and others in the development of farm programs; and provide liaison with the Executive Office of the President and Members of Congress on all matters pertaining to agricultural policy.

The 2017 Budget requests \$22.7 million for the Office of the Secretary, of which \$5 million is for a comprehensive outreach and technical assistance strategy targeted at new, beginning, and veteran farmers and ranchers.

The Office of Homeland Security and Emergency Coordination formulates emergency preparedness policies for USDA and directs and coordinates Department activities that support USDA emergency programs and liaison functions with Congress, the Department of Homeland Security, and other Federal agencies involving homeland security, natural disasters, agriculture-related international civil emergency planning and intelligence activities. The 2017 Budget requests \$1.6 million.

The Office of Advocacy and Outreach improves access to USDA programs and enhances the viability and profitability of small farms and ranches, beginning farmers and ranchers, and socially disadvantaged farmers and ranchers. The Department is committed to ensuring that all USDA constituents, including historically underserved groups, have the opportunity to participate in and benefit from the programs offered by the Department. The 2017 Budget requests \$11.2 million.

Departmental Administration provides staff support to policy officials and overall direction and coordination of the Department. Activities include Department-wide programs for human resources management, occupational safety and health management, real and personal property management, acquisitions and contracting, motor vehicle and aircraft management, supply management, participation of small and disadvantaged businesses, veterans programs, and regulatory hearing and administrative proceedings. The 2017 Budget requests \$27.4 million.

The Office of Communications provides leadership, expertise, and coordination to implement successful communication strategies and products that advance the mission of the USDA while serving the public in a fair, equal, transparent and accessible manner. The 2017 Budget requests \$8.5 million.

**Object Classification** (in millions of dollars)

Identification code 012-9913-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	26	30	33
12.1 Civilian personnel benefits .....	8	9	10
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	4	3	3
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	21	9	17
41.0 Grants, subsidies, and contributions .....		9	15
99.0 Direct obligations .....	65	66	84
99.0 Reimbursable obligations .....	56	56	56
99.9 Total new obligations .....	121	122	140

**Employment Summary**

Identification code 012-9913-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	236	252	273
2001 Reimbursable civilian full-time equivalent employment .....	182	189	189

OFFICE OF THE SECRETARY  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-9913-4-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Biobased .....			1
0900 Total new obligations (object class 99.5) .....			1
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			1
1930 Total budgetary resources available .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1
3020 Outlays (gross) .....			-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Trust Funds**

GIFTS AND BEQUESTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-8203-0-7-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Bequests, Departmental Administration .....		1	1
2000 Total: Balances and receipts .....		1	1

Appropriations:			
Current law:			
2101	Gifts and Bequests .....	-1	-1
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 012-8203-0-7-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Gifts and bequests .....	1	1
0900	Total new obligations (object class 99.5) .....	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	4	4
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	1	1
1930	Total budgetary resources available .....	4	5
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1	1
3020	Outlays (gross) .....	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	1
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	1
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....	1	1

The Secretary is authorized to accept and administer gifts and bequests of real and personal property to facilitate the work of the Department. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest (7 U.S.C. 2269).

**EXECUTIVE OPERATIONS**

*Federal Funds*

OFFICE OF THE CHIEF ECONOMIST

For necessary expenses of the Office of the Chief Economist, **[\$17,777,000]** \$17,592,000, of which \$4,000,000 shall be for grants or cooperative agreements for policy research under 7 U.S.C. 3155, and of which \$1,000,000, to remain available until September 30, 2017, shall be for the purpose set forth under this heading in the explanatory statement described in section 4 (in the matter preceding division A of the consolidated Act). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-0123-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Office of the Chief Economist .....	17	17
0002	Biodiesel Fuel Education Program .....	1	1
0799	Total direct obligations .....	18	18
0801	Office of the Chief Economist (Reimbursable) .....	2	2
0900	Total new obligations .....	20	20
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	17	18
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [012-4336] ....	1	1
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	1	1
1701	Change in uncollected payments, Federal sources .....	1	

1750	Spending auth from offsetting collections, disc (total) .....	1	1	1
1900	Budget authority (total) .....	19	20	20
1930	Total budgetary resources available .....	21	21	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	16	4
3010	Obligations incurred, unexpired accounts .....	20	20	20
3020	Outlays (gross) .....	-18	-32	-21
3050	Unpaid obligations, end of year .....	16	4	3
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12	15	3
3200	Obligated balance, end of year .....	15	3	2

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	18	19	19
Outlays, gross:				
4010	Outlays from new discretionary authority .....	10	17	17
4011	Outlays from discretionary balances .....	8	14	3
4020	Outlays, gross (total) .....	18	31	20
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2	-1	-1
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	17	18	18
4080	Outlays, net (discretionary) .....	16	30	19
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....		1	1
4180	Budget authority, net (total) .....	18	19	19
4190	Outlays, net (total) .....	16	31	20

The Office of the Chief Economist advises the Secretary of Agriculture on the economic implications of Department policies, programs and proposed legislation. The Office is a focal point for USDA's economic intelligence and analysis; projections related to agricultural commodity markets; risk assessment and cost-benefit analysis related to domestic and international food and agriculture; policy direction for renewable energy development; coordination, analysis and advice on climate change and environmental market activities; and coordination and review of all commodity and aggregate agricultural and food-related data used to develop outlook and situation material within the Department. The 2017 Budget requests \$17.6 million for the office.

**Object Classification** (in millions of dollars)

Identification code 012-0123-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	7	7
12.1	Civilian personnel benefits .....	2	2
25.2	Other services from non-Federal sources .....	9	9
99.0	Direct obligations .....	18	18
99.0	Reimbursable obligations .....	2	2
99.9	Total new obligations .....	20	20

**Employment Summary**

Identification code 012-0123-0-1-352	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	48	54

NATIONAL APPEALS DIVISION

For necessary expenses of the National Appeals Division, **[\$13,317,000]** \$13,481,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0706-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Appeals Division .....	13	13	13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1930 Total budgetary resources available .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	-13	-13	-13
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	10	10
4011 Outlays from discretionary balances .....	1	3	3
4020 Outlays, gross (total) .....	13	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	13	13	13

The National Appeals Division (NAD) is responsible for listening to farmers and other rural program participants concerning their disputes with certain agencies within the Department of Agriculture through fair and impartial administrative hearings and appeals. The 2017 Budget requests \$13.5 million.

Object Classification (in millions of dollars)

Identification code 012-0706-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	2	2	2
99.0 Direct obligations .....	13	13	13
99.9 Total new obligations .....	13	13	13

Employment Summary

Identification code 012-0706-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	78	87	87

OFFICE OF BUDGET AND PROGRAM ANALYSIS

For necessary expenses of the Office of Budget and Program Analysis, **[\$9,392,000]** \$9,525,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0503-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Office of Budget and Program Analysis (Direct) .....	8	9	10

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	10
1930 Total budgetary resources available .....	9	9	10
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	8	9	10
3020 Outlays (gross) .....	-7	-9	-10
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	9	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	9	10
4180 Budget authority, net (total) .....	9	9	10
4190 Outlays, net (total) .....	7	9	10

The Office of Budget and Program Analysis (OBPA) coordinates the preparation of Departmental budget estimates, regulations, and legislative reports; administers systems for the allotment and apportionment of funds; provides analysis of USDA program issues, draft regulations, and budget proposals; participates in strategic planning; and provides assistance to USDA policy makers in the development and execution of desired policies and programs. The 2017 Budget requests \$9.5 million.

Object Classification (in millions of dollars)

Identification code 012-0503-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	7	7
12.1 Civilian personnel benefits .....	2	2	2
25.3 Other goods and services from Federal sources .....	1		1
99.9 Total new obligations .....	8	9	10

Employment Summary

Identification code 012-0503-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	41	52	52

COMMON COMPUTING ENVIRONMENT

Program and Financing (in millions of dollars)

Identification code 012-0113-0-1-352	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....		-1	
1930 Total budgetary resources available .....	1		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-1	
4180 Budget authority, net (total) .....		-1	
4190 Outlays, net (total) .....			

The Common Computing Environment provides the shared information technology platform for the three Service Center Agencies (the Farm Service Agency, the Natural Resources Conservation Service, and the Rural

Development agencies). All remaining balances were rescinded by Section 736 of the Consolidated Appropriations Act, 2016.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 012-4609-0-4-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Administration	47	46	46
0802 Communications	8	6	6
0803 Finance and management	341	352	356
0804 Information technology	469	430	438
0805 Executive secretariat	3	3	4
0809 Reimbursable program activities, subtotal	868	837	850
0815 Capital Funding Availability	33	27	20
0816 Proceeds from Purchase Card Rebate Programs	2	9	9
0817 Proceeds from Transfers of Discretionary Unobligated Balances	10		
0819 Reimbursable program activities - Purchase of Equipment (Capital), subtotal	45	36	29
0900 Total new obligations	913	873	879
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	138	144	108
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	943	837	850
1701 Change in uncollected payments, Federal sources	-24		
1750 Spending auth from offsetting collections, disc (total)	919	837	850
1900 Budget authority (total)	919	837	850
1930 Total budgetary resources available	1,057	981	958
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	144	108	79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	312	304	141
3010 Obligations incurred, unexpired accounts	913	873	879
3020 Outlays (gross)	-921	-1,036	-848
3050 Unpaid obligations, end of year	304	141	172
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-258	-234	-234
3070 Change in uncollected pymts, Fed sources, unexpired	24		
3090 Uncollected pymts, Fed sources, end of year	-234	-234	-234
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	54	70	-93
3200 Obligated balance, end of year	70	-93	-62
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	919	837	850
Outlays, gross:			
4010 Outlays from new discretionary authority	664	724	735
4011 Outlays from discretionary balances	257	312	113
4020 Outlays, gross (total)	921	1,036	848
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-930	-837	-850
4033 Non-Federal sources	-13		
4040 Offsets against gross budget authority and outlays (total)	-943	-837	-850
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	24		
4080 Outlays, net (discretionary)	-22	199	-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-22	199	-2

This fund finances, by advances or reimbursements, certain central services in the Department of Agriculture, including duplicating and other visual information services; broadcast media services; supply services; centralized financial management systems; centralized automated data processing systems for payroll, personnel, and related services; voucher payments services; telecommunications services; and information technology systems.

Object Classification (in millions of dollars)

Identification code 012-4609-0-4-352	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent - OCFO	109	126	130
11.1 Full-time permanent - OCIO	82	93	90
11.1 Full-time permanent - DA OES OC	16	18	19
11.5 Other personnel compensation - OCFO	7	7	7
11.5 Other personnel compensation - OCIO	2	2	2
11.5 Other personnel compensation - DA OES OC	1	1	1
11.9 Total personnel compensation	217	247	249
12.1 Civilian personnel benefits OCFO	37	44	45
12.1 Civilian personnel benefits OCIO	27	30	30
12.1 Civilian personnel benefits - DA OES OC	5	6	6
21.0 Travel and transportation of persons OCFO	2	2	2
21.0 Travel and transportation of persons - OCIO	2	3	3
22.0 Transportation of things - DA OES OC	1	1	1
23.1 Rental payments to GSA - OCFO	2	3	2
23.1 Rental payments to GSA - OCIO	5	5	5
23.1 Rental payments to GSA - DA OES OC	1	1	1
23.2 Rental payments to others - OCFO	9	7	7
23.2 Rental payments to others - OCIO	10		
23.3 Communications, utilities, and miscellaneous charges - OCFO	6	9	9
23.3 Communications, utilities, and miscellaneous charges - OCIO	75	82	82
23.3 Communications, utilities, and miscellaneous charges - DA OES OC	3	3	3
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources - OCFO	155	121	117
25.3 Other goods and services from Federal sources - OCIO	162	137	148
25.3 Other goods and services from Federal sources - DA OES OC	28	21	21
25.4 Operation and maintenance of facilities	5	2	3
25.7 Operation and maintenance of equipment - OCFO	27	30	33
25.7 Operation and maintenance of equipment - OCIO	62	62	69
25.7 Operation and maintenance of equipment - DA OES OC	1	1	1
26.0 Supplies and materials - OCFO	1	1	1
26.0 Supplies and materials - OCIO	10	1	1
26.0 Supplies and materials - DA OES OC	2	2	2
31.0 Equipment - OCFO	24	11	10
31.0 Equipment - OCIO	33	13	7
31.0 Equipment - Availability		27	20
99.9 Total new obligations	913	873	879

Employment Summary

Identification code 012-4609-0-4-352	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment	2,457	2,935	2,985

OFFICE OF CHIEF INFORMATION OFFICER

Federal Funds

OFFICE OF THE CHIEF INFORMATION OFFICER

For necessary expenses of the Office of the Chief Information Officer, **[\$44,538,000]** \$65,716,000, of which not less than **[\$28,000,000]** \$38,000,000 is for cybersecurity requirements of the Department. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0013-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Chief Information Officer	17	17	38
0002 Homeland Security	28	28	28
0799 Total direct obligations	45	45	66
0801 Office of the Chief Information Officer (Reimbursable)	42	34	34
0900 Total new obligations	87	79	100
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	45	45	66

OFFICE OF THE CHIEF INFORMATION OFFICER—Continued  
**Program and Financing—Continued**

Identification code 012-0013-0-1-352	2015 actual	2016 est.	2017 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	32	35	35
1701 Change in uncollected payments, Federal sources .....	10		
1750 Spending auth from offsetting collections, disc (total) .....	42	35	35
1900 Budget authority (total) .....	87	80	101
1930 Total budgetary resources available .....	87	80	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	23	2
3010 Obligations incurred, unexpired accounts .....	87	79	100
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-94	-100	-100
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	23	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10		
3071 Change in uncollected pymts, Fed sources, expired .....	17		
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	-13
3200 Obligated balance, end of year .....	8	-13	-13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	87	80	101
Outlays, gross:			
4010 Outlays from new discretionary authority .....	67	78	98
4011 Outlays from discretionary balances .....	27	22	2
4020 Outlays, gross (total) .....	94	100	100
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-42	-35	-35
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-10		
4052 Offsetting collections credited to expired accounts .....	10		
4070 Budget authority, net (discretionary) .....	45	45	66
4080 Outlays, net (discretionary) .....	52	65	65
4180 Budget authority, net (total) .....	45	45	66
4190 Outlays, net (total) .....	52	65	65

The Clinger-Cohen Act of 1996 required the establishment of a Chief Information Officer (CIO) for all major Federal agencies. The Act requires USDA to maximize the value of information technology acquisitions to improve the efficiency and effectiveness of USDA programs. To meet the intent of the law and to provide a Departmental focus for information resources management issues, Secretary's Memorandum 1030-30, dated August 8, 1996, established the Office of the Chief Information Office (OCIO). The CIO serves as the primary advisor to the Secretary on Information Technology (IT) issues. OCIO provides leadership for the Department's information and IT management activities in support of USDA program delivery. The 2017 Budget requests \$65.7 million.

**Object Classification** (in millions of dollars)

Identification code 012-0013-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	13	15	26
12.1 Civilian personnel benefits .....	4	4	7
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	10	11	11
25.3 Other goods and services from Federal sources .....	17	14	17
31.0 Equipment .....			4
99.0 Direct obligations .....	45	45	66
99.0 Reimbursable obligations .....	42	34	34
99.9 Total new obligations .....	87	79	100

**Employment Summary**

Identification code 012-0013-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	111	136	225

**OFFICE OF CHIEF FINANCIAL OFFICER**

*Federal Funds*

OFFICE OF THE CHIEF FINANCIAL OFFICER

For necessary expenses of the Office of the Chief Financial Officer, **[\$6,028,000]** \$9,119,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-0014-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Chief Financial Officer (Direct) .....	6	6	9
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	9
1930 Total budgetary resources available .....	6	6	9

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	6	6	9
3020 Outlays (gross) .....	-6	-6	-9
3050 Unpaid obligations, end of year .....	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-2	-2
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-2		

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	6	6	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	8
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	6	6	9
4180 Budget authority, net (total) .....	6	6	9
4190 Outlays, net (total) .....	6	6	9

The Office of the Chief Financial Officer (OCFO) was established in 1995 under authority provided in Reorganization Plan Number 2 of 1953 (7 U.S.C. 2201) to comply with the Chief Financial Officers Act of 1990. The OCFO focuses on the Department's financial management activities to improve program delivery and assure maximum contribution to the Secretary's Strategic Goals. The 2017 Budget requests \$9.1 million.

**Object Classification** (in millions of dollars)

Identification code 012-0014-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	6
25.2 Other services from non-Federal sources .....	2	2	3
99.0 Direct obligations .....	6	6	9
99.9 Total new obligations .....	6	6	9

**Employment Summary**

Identification code 012-0014-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	42	42	45

**OFFICE OF CIVIL RIGHTS**

*Federal Funds*

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$24,070,000] \$24,750,000.**  
(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-3800-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Civil Rights (Direct) .....	24	24	25
0801 Office of Civil Rights (Reimbursable) .....	4	4	4
0900 Total new obligations .....	28	28	29

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24	24	25
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1701 Change in uncollected payments, Federal sources .....	4	2	2
1750 Spending auth from offsetting collections, disc (total) .....	5	4	4
1900 Budget authority (total) .....	29	28	29
1930 Total budgetary resources available .....	29	28	29
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	1
3010 Obligations incurred, unexpired accounts .....	28	28	29
3020 Outlays (gross) .....	-28	-32	-29
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	5	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-7	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	-2	-2
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-9	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-2	-8
3200 Obligated balance, end of year .....	-2	-8	-10

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	29	28	29
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	27	28
4011 Outlays from discretionary balances .....	2	5	1
4020 Outlays, gross (total) .....	28	32	29
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4	-2	-2
4052 Offsetting collections credited to expired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....		-2	-2
4070 Budget authority, net (discretionary) .....	24	24	25
4080 Outlays, net (discretionary) .....	23	30	27
4180 Budget authority, net (total) .....	24	24	25
4190 Outlays, net (total) .....	23	30	27

The Office of Civil Rights provides overall leadership for all Department-wide civil rights activities, including employment opportunity and program non-discrimination policy development, analysis, coordination, and compliance. The Office provides leadership to implement best practices that

will create an environment where a diverse workforce is valued as a source of strength. The Office monitors program activities to ensure that all USDA programs are delivered in a non-discriminatory manner. The 2017 Budget requests \$24.8 million.

**Object Classification** (in millions of dollars)

Identification code 012-3800-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	13	13	13
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	3	2	3
99.0 Direct obligations .....	23	23	24
99.0 Reimbursable obligations .....	4	4	4
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	28	28	29

**Employment Summary**

Identification code 012-3800-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	119	124	124
2001 Reimbursable civilian full-time equivalent employment .....	10	10	10

**HAZARDOUS MATERIALS MANAGEMENT**

*Federal Funds*

HAZARDOUS MATERIALS MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Department of Agriculture, to comply with the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.) and the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq.), **[\$3,618,000] \$3,633,000**, to remain available until expended: *Provided*, That appropriations and funds available herein to the Department for Hazardous Materials Management may be transferred to any agency of the Department for its use in meeting all requirements pursuant to the above Acts on Federal and non-Federal lands. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-0500-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Hazardous materials management .....	5	7	4

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		1
1021 Recoveries of prior year unpaid obligations .....		4	
1050 Unobligated balance (total) .....	1	4	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	5	8	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	10	4
3010 Obligations incurred, unexpired accounts .....	5	7	4
3020 Outlays (gross) .....	-6	-9	-6
3040 Recoveries of prior year unpaid obligations, unexpired .....		-4	
3050 Unpaid obligations, end of year .....	10	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	10	4
3200 Obligated balance, end of year .....	10	4	2

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	4	4	4

HAZARDOUS MATERIALS MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 012-0500-0-1-304	2015 actual	2016 est.	2017 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	4	4
4011 Outlays from discretionary balances .....	4	5	2
4020 Outlays, gross (total) .....	6	9	6
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	6	9	6

Under the Comprehensive Environmental Response, Compensation, and Liability Act and the Resource Conservation and Recovery Act, the Department must meet the same standards for environmental cleanup and regulatory compliance regarding hazardous wastes and hazardous substances as private businesses. With substantial commitments under these Acts, the Hazardous Materials Management account was established as a central fund so the Department's agencies may be reimbursed for their cleanup efforts. The Department determines what projects to fund by using objective criteria to identify what sites pose the greatest threats to public health, safety, and the environment. The 2017 Budget requests \$3.6 million.

Object Classification (in millions of dollars)

Identification code 012-0500-0-1-304	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	6	3
99.9 Total new obligations .....	5	7	4

Employment Summary

Identification code 012-0500-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	7	7

**BUILDINGS AND FACILITIES**

Federal Funds

AGRICULTURE BUILDINGS AND FACILITIES

(INCLUDING TRANSFERS OF FUNDS)

For payment of space rental and related costs pursuant to Public Law 92-313, including authorities pursuant to the 1984 delegation of authority from the Administrator of General Services to the Department of Agriculture under 40 U.S.C. 121, for programs and activities of the Department which are included in this Act, and for alterations and other actions needed for the Department and its agencies to consolidate unneeded space into configurations suitable for release to the Administrator of General Services, and for the operation, maintenance, improvement, and repair of Agriculture buildings and facilities, and for related costs, **[\$64,189,000]** \$84,365,000, to remain available until expended, for buildings operations and maintenance expenses: **Provided**, That the Secretary may use unobligated prior year balances of an agency or office that are no longer available for new obligation to cover shortfalls incurred in prior or current year rental payments for such agency or office. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0117-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Building Operations and Maintenance .....	43	61	52
0003 Homeland Security Database .....	12	12	12
0005 South Building Renovations .....			20
0799 Total direct obligations .....	55	73	84
0802 Agriculture Buildings and Facilities and Rental Payments (Reimbursable) .....	8	8	8
0900 Total new obligations .....	63	81	92

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	56	64	84
1105 Reappropriation .....		3	
1160 Appropriation, discretionary (total) .....	56	67	84
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	8	8
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	7	8	8
1900 Budget authority (total) .....	63	75	92
1930 Total budgetary resources available .....	79	91	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	10	10

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	28	25
3010 Obligations incurred, unexpired accounts .....	63	81	92
3020 Outlays (gross) .....	-88	-84	-97
3050 Unpaid obligations, end of year .....	28	25	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	45	18	15
3200 Obligated balance, end of year .....	18	15	10

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	63	75	92
Outlays, gross:			
4010 Outlays from new discretionary authority .....	42	65	79
4011 Outlays from discretionary balances .....	46	19	18
4020 Outlays, gross (total) .....	88	84	97
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	56	67	84
4080 Outlays, net (discretionary) .....	83	76	89
4180 Budget authority, net (total) .....	56	67	84
4190 Outlays, net (total) .....	83	76	89

This account finances the operations, repair, improvement and maintenance activities of two headquarters buildings in Washington, DC and the George Washington Carver Center in Beltsville, MD. The 2017 Budget requests \$84.4 million, which includes \$64.4 million for operations and maintenance and \$20 million for building modernization of the headquarters complex.

Object Classification (in millions of dollars)

Identification code 012-0117-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	6	8	8
25.2 Other services from non-Federal sources .....	5	23	39
25.3 Other goods and services from Federal sources .....	3	4	4
25.4 Operation and maintenance of facilities .....	28	26	21
99.0 Direct obligations .....	54	73	84
99.0 Reimbursable obligations .....	8	8	8
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	63	81	92

**Employment Summary**

Identification code 012-0117-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	87	92	92

**OFFICE OF INSPECTOR GENERAL**

*Federal Funds*

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, including employment pursuant to the Inspector General Act of 1978, **[\$95,738,000]** \$100,998,000, including such sums as may be necessary for contracting and other arrangements with public agencies and private persons pursuant to section 6(a)(9) of the Inspector General Act of 1978, and including not to exceed \$125,000 for certain confidential operational expenses, including the payment of informants, to be expended under the direction of the Inspector General pursuant to Public Law 95-452 and section 1337 of Public Law 97-98. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-0900-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General .....	93	96	101
0801 Office of Inspector General (Reimbursable) .....	3	3	3
0900 Total new obligations .....	96	99	104
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	10	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	95	96	101
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	4	4
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	6	4	4
1900 Budget authority (total) .....	101	100	105
1930 Total budgetary resources available .....	109	110	116
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	10	11	12

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	13	
3010 Obligations incurred, unexpired accounts .....	96	99	104
3011 Obligations incurred, expired accounts .....	1	4	1
3020 Outlays (gross) .....	-94	-115	-105
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-1	
3050 Unpaid obligations, end of year .....	13		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	10	-3
3200 Obligated balance, end of year .....	10	-3	-3

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	101	100	105
Outlays, gross:			
4010 Outlays from new discretionary authority .....	84	91	96
4011 Outlays from discretionary balances .....	10	24	9
4020 Outlays, gross (total) .....	94	115	105
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	95	96	101
4080 Outlays, net (discretionary) .....	89	111	101
4180 Budget authority, net (total) .....	95	96	101

4190 Outlays, net (total) .....	89	111	101
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The Office of Inspector General provides the Secretary and Congress with information or intelligence about fraud, other serious problems, mismanagement, and deficiencies in Department programs and operations, recommends corrective action, and reports on the progress made in correcting the problems. The Office reviews existing and proposed legislation and regulations and makes recommendations to the Secretary and Congress regarding the impact these laws have on the Department's programs and the prevention and detection of fraud and mismanagement in such programs. The Office provides policy direction and conducts, supervises, and coordinates all audits and investigations. The Office supervises and coordinates other activities in the Department and between the Department and other Federal, State and local government agencies whose purposes are to: (a) promote economy and efficiency; (b) prevent and detect fraud and mismanagement; and (c) identify and prosecute people involved in fraud or mismanagement.

OIG's \$101 million request includes an increase of \$1.1 million to support OIG audit oversight of high risk IT investments across the Department and \$1.6 million to create an Audit Center of Excellence to reduce improper payments.

**Object Classification** (in millions of dollars)

Identification code 012-0900-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	54	54	57
12.1 Civilian personnel benefits .....	20	21	22
21.0 Travel and transportation of persons .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	6	7	7
25.2 Other services from non-Federal sources .....	4	5	6
25.3 Other goods and services from Federal sources .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	93	96	101
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	96	99	104

**Employment Summary**

Identification code 012-0900-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	503	531	539

**OFFICE OF THE GENERAL COUNSEL**

*Federal Funds*

OFFICE OF THE GENERAL COUNSEL

For necessary expenses of the Office of the General Counsel, **[\$44,383,000]** \$49,599,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

OFFICE OF ETHICS

For necessary expenses of the Office of Ethics, **[\$3,654,000]** \$4,617,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-2300-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the General Counsel .....	46	48	54
0801 Office of the General Counsel (Reimbursable) .....	4	4	4
0900 Total new obligations .....	50	52	58
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1

OFFICE OF THE GENERAL COUNSEL—Continued  
Program and Financing—Continued

Identification code 012-2300-0-1-352	2015 actual	2016 est.	2017 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	48	48	54
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	4	4
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	4	4
1900 Budget authority (total) .....	52	52	58
1930 Total budgetary resources available .....	52	53	59
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	2
3010 Obligations incurred, unexpired accounts .....	50	52	58
3020 Outlays (gross) .....	-49	-56	-58
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	6	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	1
3200 Obligated balance, end of year .....	5	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	52	58
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	49	55
4011 Outlays from discretionary balances .....	4	7	3
4020 Outlays, gross (total) .....	49	56	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	48	48	54
4080 Outlays, net (discretionary) .....	46	52	54
4180 Budget authority, net (total) .....	48	48	54
4190 Outlays, net (total) .....	46	52	54

The Office of the General Counsel of the Department of Agriculture provides legal advice, counsel, and services to the Secretary and to all agencies, offices, and corporations of the Department on all aspects of their operations. It represents the Department in administrative proceedings; non-litigation debt collection proceedings; State water rights adjudications; proceedings before the Civilian Board of Contract Appeal, the Merit System Protection Board, the Equal Employment Opportunity Commission, the USDA Office of Administrative Law Judges, and other Federal agencies; and, in conjunction with the Department of Justice, in judicial proceedings and litigation in the Federal and State courts. All attorneys and support personnel devoted to those efforts are supervised by the General Counsel. The 2017 Budget requests \$49.6 million, including an increase of \$5.2 million for 24 FTEs to handle an increased workload, to support current staff, and maintain OGC's current information technology and telecommunications infrastructure in OGC field locations.

The Office of Ethics provides ethics advice, counsel and training to all USDA officials and employees, and conducts annual financial disclosure reviews. The work of the Office of Ethics promotes employee compliance with the Federal conflict of interest laws and regulations. The 2017 Budget requests \$4.6 million, including an increase of \$0.9 million and 4 FTEs to support the increased demand for ethics services related to the upcoming

Administration transition, 2016 Presidential Election, and implementation of the new financial disclosure reporting system.

Object Classification (in millions of dollars)

Identification code 012-2300-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	29	33	36
12.1 Civilian personnel benefits .....	9	9	10
23.3 Communications, utilities, and miscellaneous charges .....	3	2	3
25.2 Other services from non-Federal sources .....	4	3	4
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	46	48	54
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	50	52	58

Employment Summary

Identification code 012-2300-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	221	253	277
1001 Direct civilian full-time equivalent employment .....	18	21	25
2001 Reimbursable civilian full-time equivalent employment .....	25	27	27
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2

ECONOMIC RESEARCH SERVICE

Federal Funds

ECONOMIC RESEARCH SERVICE

For necessary expenses of the Economic Research Service, **[\$85,373,000]** \$91,278,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-1701-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Economic Research Service .....	85	85	91
0801 Economic Research Service (Reimbursable) .....	7	5	6
0900 Total new obligations .....	92	90	97
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	85	85	91
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1701 Change in uncollected payments, Federal sources .....	6	4	5
1750 Spending auth from offsetting collections, disc (total) .....	7	5	6
1900 Budget authority (total) .....	92	90	97
1930 Total budgetary resources available .....	93	91	98
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	42	24
3010 Obligations incurred, unexpired accounts .....	92	90	97
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-80	-108	-96
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	42	24	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-11	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	-4	-5
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-15	-20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	24	31	9
3200 Obligated balance, end of year .....	31	9	5

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	92	90	97
Outlays, gross:				
4010	Outlays from new discretionary authority .....	62	73	79
4011	Outlays from discretionary balances .....	18	35	17
4020	Outlays, gross (total) .....	80	108	96
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5	-1	-1
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6	-4	-5
4052	Offsetting collections credited to expired accounts .....	4		
4060	Additional offsets against budget authority only (total) .....	-2	-4	-5
4070	Budget authority, net (discretionary) .....	85	85	91
4080	Outlays, net (discretionary) .....	75	107	95
4180	Budget authority, net (total) .....	85	85	91
4190	Outlays, net (total) .....	75	107	95

The Economic Research Service provides economic and other social science research and analysis to inform public and private decision making on food, agriculture, natural resources, and rural America. The Agency's mission is to anticipate issues that are on the horizon, and to conduct sound, peer-reviewed economic research. ERS is also the primary source of statistical indicators that, among other things, gauge the health of the farm sector (including farm income estimates and projections), assess the current and expected performance of the agricultural sector (including trade), and provide measures of food security here and abroad. Most of the Agency's research is conducted by a highly trained staff of economists and social scientists through an intramural program of research, market outlook, and analysis.

Five principles characterize ERS' core program: (1) Research that builds on unique or confidential data sources at the Federal level and is inherent in the role of a Federal Statistical Agency, including the Agricultural Resource Management Survey (ARMS) and associated farm and farm household finance estimates, consumer data and related research on food consumption, and development of USDA's commodity market outlook; (2) Research that provides coordination for a national perspective or framework, setting a single standard; (3) Research that requires a sustained investment and large teams; (4) Research that directly services the U.S. Government or USDA's long-term national goals such as the cost to the economy of sickness and premature death due to foodborne illnesses for FSIS, rural definition analysis for Rural development, and conservation program options for FSA and NCRS; and (5) Research that addresses questions with short-run payoff or has immediate policy implications.

The 2017 budget request of \$91.3 million continues to fund ERS' highest priority core programs, including research, data, and market outlook on the following: How investments in rural people, businesses, and communities affect the capacity of rural economies to prosper in a changing global marketplace; economic issues related to developing natural resource policies and programs that respond to the need to protect the environment and the challenges of climate change while enhancing agricultural competitiveness; production agriculture, domestic and international markets, trade, and Federal farm policies, to understand the U.S. food and agriculture sector's performance in the context of increasingly globalized markets; the Nation's food and nutrition assistance programs, to study the relationships among factors that influence food choices and health outcomes, including obesity and to enhance methodologies for valuing societal benefits associated with reducing food safety risks. In addition to continuing ERS' core program of research, funding is requested to conduct a second round of the USDA's National Household Food Purchase and Acquisition Survey (FoodAPS), a nationally representative survey of American households to collect unique and comprehensive data about household food purchases and acquisitions. ERS also requests funds to support ongoing research in economic and policy drivers of drought resilience. ERS will expand the analysis to examine interactions with groundwater resources, as well as to provide more regionally specific results accounting for local variation in conditions and

the institutions that govern farmers' access to water. Finally, ERS will dedicate funds to an ongoing initiative to analyze barriers to entry for beginning farmers and ranchers. ERS will expand the analysis to examine differences in demographic characteristics of new farmers and ranchers, including socially disadvantaged, women, and veterans.

**Object Classification** (in millions of dollars)

Identification code 012-1701-0-1-352	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	35	37	38
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	37	39	40
12.1	Civilian personnel benefits .....	11	11	11
21.0	Travel and transportation of persons .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	6	6	6
25.2	Other services from non-Federal sources .....	7	7	8
25.3	Other goods and services from Federal sources .....	14	14	14
25.5	Research and development contracts .....	7	5	9
26.0	Supplies and materials .....	1	1	1
41.0	Grants, subsidies, and contributions .....	1	1	1
99.0	Direct obligations .....	85	85	91
99.0	Reimbursable obligations .....	7	5	6
99.9	Total new obligations .....	92	90	97

**Employment Summary**

Identification code 012-1701-0-1-352	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	341	364	364
2001	Reimbursable civilian full-time equivalent employment .....	1	1	1

**NATIONAL AGRICULTURAL STATISTICS SERVICE**

*Federal Funds*

NATIONAL AGRICULTURAL STATISTICS SERVICE

For necessary expenses of the National Agricultural Statistics Service, **[\$168,443,000] \$176,639,000**, of which up to \$42,177,000 shall be available until expended for the Census of Agriculture: *Provided*, That amounts made available for the Census of Agriculture may be used to conduct Current Industrial Report surveys subject to 7 U.S.C. 2204g(d) and (f). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1801-0-1-352	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Agricultural estimates .....	115	117	126
0002	Statistical research and service .....	9	9	9
0003	Census of agriculture .....	52	42	42
0799	Total direct obligations .....	176	168	177
0801	National Agricultural Statistics Service (Reimbursable) .....	23	25	25
0900	Total new obligations .....	199	193	202
<b>Budgetary resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	4	4	
1050	Unobligated balance (total) .....	4	4	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	172	168	177
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	9	21	25
1701	Change in uncollected payments, Federal sources .....	14		
1750	Spending auth from offsetting collections, disc (total) .....	23	21	25
1900	Budget authority (total) .....	195	189	202
1930	Total budgetary resources available .....	199	193	202

NATIONAL AGRICULTURAL STATISTICS SERVICE—Continued  
Program and Financing—Continued

Identification code 012-1801-0-1-352	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	47	44	20
3010	Obligations incurred, unexpired accounts .....	199	193	202
3011	Obligations incurred, expired accounts .....	17	.....	.....
3020	Outlays (gross) .....	-199	-213	-202
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	-4	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-16	.....	.....
3050	Unpaid obligations, end of year .....	44	20	20
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	-14	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	17	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	30	30	6
3200	Obligated balance, end of year .....	30	6	6
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	195	189	202
Outlays, gross:				
4010	Outlays from new discretionary authority .....	163	171	183
4011	Outlays from discretionary balances .....	36	42	19
4020	Outlays, gross (total) .....	199	213	202
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-23	-19	-23
4033	Non-Federal sources .....	-3	-2	-2
4040	Offsets against gross budget authority and outlays (total) ....	-26	-21	-25
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-14	.....	.....
4052	Offsetting collections credited to expired accounts .....	17	.....	.....
4060	Additional offsets against budget authority only (total) .....	3	.....	.....
4070	Budget authority, net (discretionary) .....	172	168	177
4080	Outlays, net (discretionary) .....	173	192	177
4180	Budget authority, net (total) .....	172	168	177
4190	Outlays, net (total) .....	173	192	177

The National Agricultural Statistics Service (NASS) provides the official National and State estimates of acreage, yield, and production of crops, stocks, value and expenditures associated with farm commodities, and inventory, values, and expenditures of livestock items. Data on approximately 120 crops and 45 livestock products are covered in over 400 reports issued each year. In addition, the Census of Agriculture, which is conducted every five years for years ending in 2 and 7, takes a snapshot of America's agriculture and provides comprehensive data on the Nation's agricultural industry down to the county level. NASS' responsibilities are authorized under the Agricultural Marketing Act of 1946 (7 U.S.C 1621-1627) and the Census of Agriculture Act of 1997, Public Law 105-113 (7 U.S.C. 2204 g(d)(f)).

*Agricultural Estimates.*—The Agricultural Estimates program is vital for producers, agricultural commodity markets in the U.S. and the world, policy makers in government, and people involved in making planning, investment, price discovery mechanisms, and marketing decisions. Billions of dollars could be put at risk without these essential Agricultural Estimates statistical reports. The work under this activity is conducted through 12 Regional offices and 34 State offices serving all 50 States. Cooperative arrangements with State agencies provide additional State and county data. In order to support Administration priorities and improve efficiency, NASS has carefully completed a comprehensive review of existing programs to determine which programs are most critical to serving agency goals, with evaluations based on the following priorities: 1) Federal Principal Economic Indicator data; 2) data which directly impact commodity markets; 3) data necessary to implement the USDA programs which provide payments to farmers and are used to administer the farm safety net for producers; and 4) data for which there are no other publicly available sources of information. In 2015,

NASS achieved several accomplishments: 1) published the regular schedule of Agricultural Estimates Federal Principal Economic Indicators; 2) began collecting quarterly data on honey bee colony loss from operators with five or more colonies; and 3) issued six Final Estimates bulletins covering five years of data for Field Crops; Noncitrus Fruits & Nuts; Rice Stocks; Stocks of Grains, Oilseeds, Hay; Potatoes & Sweet Potatoes; and Crop Values.

*Census of Agriculture.*—The Census of Agriculture provides the only source of comparable and consistent detailed data about agriculture and helps to measure trends and new development in the agricultural sector of our Nation's economy. The Census of Agriculture is critical because it provides comprehensive data on the agriculture economy, land use, production expenses, value of land and buildings, farm size and characteristics of farm operators, market value of agricultural production sold, acreage of major crops, inventory of livestock and poultry, and farm irrigation practices. In 2015, NASS achieved several accomplishments: 1) released results of the 2014 Tenure, Ownership, and Transition of Agricultural Land (TOTAL) Survey covering land ownership and the outlook for land transition; 2) published four Current Agricultural Industrial Reports previously published by the Department of Commerce; and 3) released the 2014 Organics Production Survey for certified and non-certified growers.

The 2017 total request is \$176.6 million for NASS, including \$134.4 million for Agricultural Estimates to: 1) conduct the essential Federal Principal Economic Indicator surveys; 2) conduct other Core Integrated Surveys and Estimates to support USDA programs; 3) expand the Geo-Spatial program to leverage administrative use of satellite imagery to estimate crop acreage and yields; 4) address antimicrobial resistance by collecting data for Cattle on Feed, Hogs and Pigs, and Poultry; 5) collect new data for New and Beginning Farmers and publish key statistics at regional level; and 6) conduct a Farm Structure Study to explore ways to improve data collection and information reporting on today's complex farm business structures and farmer characteristics.

The 2017 NASS request includes \$42.2 million for the Census of Agriculture. NASS will: 1) continue planning and preparations for the FY 2017 Census of Agriculture; 2) continue finalizing the census mail list by mailing the National Agricultural Classifications Survey (NACS) to 400,000 potential operations to determine if they meet the farm definition; and 3) conduct the Agricultural Coverage Evaluation Survey (ACES) to better identify minority and socially disadvantaged farmers to ensure they are properly represented in the 2017 Census of Agriculture.

Miscellaneous funds received from local organizations, commodity groups, and others are available for dissemination of reports and for survey work conducted under cooperative agreements (7 U.S.C. 450b, 450h, 3318b). NASS also provides technical consultation, support, and assistance for international programs under participating agency service agreements.

## Object Classification (in millions of dollars)

Identification code 012-1801-0-1-352	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	69	70	71
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	71	72	73
12.1	Civilian personnel benefits .....	23	23	24
21.0	Travel and transportation of persons .....	2	2	2
22.0	Transportation of things .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	10	9	9
25.2	Other services from non-Federal sources .....	39	32	39
25.3	Other goods and services from Federal sources .....	24	23	23
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	4	4	4
99.0	Direct obligations .....	176	168	177
99.0	Reimbursable obligations .....	23	25	25
99.9	Total new obligations .....	199	193	202

**Employment Summary**

Identification code 012-1801-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	876	876	876
2001 Reimbursable civilian full-time equivalent employment .....	106	106	106

**AGRICULTURAL RESEARCH SERVICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Agricultural Research Service and for acquisition of lands by donation, exchange, or purchase at a nominal cost not to exceed \$100, and for land exchanges where the lands exchanged shall be of equal value or shall be equalized by a payment of money to the grantor which shall not exceed 25 percent of the total value of the land or interests transferred out of Federal ownership, **[\$1,143,825,000] \$1,161,340,000: Provided**, That appropriations hereunder shall be available for the operation and maintenance of aircraft and the purchase of not to exceed one for replacement only: *Provided further*, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for the construction, alteration, and repair of buildings and improvements, but unless otherwise provided, the cost of constructing any one building shall not exceed **[\$375,000] \$500,000**, except for greenhouses or greenhouses which shall each be limited to **[\$1,200,000] \$1,800,000**, **[and]** except for 10 buildings to be constructed or improved at a cost not to exceed **[\$750,000] \$1,100,000** each, and except for two buildings to be constructed at a cost not to exceed **\$3,000,000** each, and the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building or **[\$375,000] \$500,000**, whichever is greater: *Provided further*, That the limitations on alterations contained in this Act shall not apply to modernization or replacement of existing facilities at Beltsville, Maryland: *Provided further*, That appropriations hereunder shall be available for granting easements at the Beltsville Agricultural Research Center: *Provided further*, That the foregoing limitations shall not apply to replacement of buildings needed to carry out the Act of April 24, 1948 (21 U.S.C. 113a): *Provided further*, That appropriations hereunder shall be available for granting easements at any Agricultural Research Service location for the construction of a research facility by a non-Federal entity for use by, and acceptable to, the Agricultural Research Service and a condition of the easements shall be that upon completion the facility shall be accepted by the Secretary, subject to the availability of funds herein, if the Secretary finds that acceptance of the facility is in the interest of the United States: *Provided further*, That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing or operating any research facility or research project of the Agricultural Research Service, as authorized by law: *Provided further*, That of the appropriations hereunder, \$57,192,000 may not be obligated until 30 days after the Secretary of Agriculture certifies in writing to the Committees on Appropriations of both Houses of Congress that the Agricultural Research Service has updated its animal care policies and that all Agricultural Research Service research facilities at which animal research is conducted have a fully functioning Institutional Animal Care and Use Committee, including all appropriate and necessary record keeping: *Provided further*, That such certification shall set forth in detail the factual basis for the certification and the Department's plan for ensuring these changes are maintained in the future: *Provided further*, That such certification shall be subject to prior consultation with the Committees on Appropriations of both Houses of Congress]. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1400-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Product quality/value added .....	100	101	101
0002 Livestock production .....	87	87	89
0003 Crop production .....	215	218	217
0004 Food safety .....	102	102	106
0005 Livestock protection .....	72	73	76
0006 Crop protection .....	183	186	183
0007 Human nutrition research .....	87	87	85
0008 Environmental stewardship .....	201	203	212
0009 National Agricultural Library .....	23	23	24
0010 Repair and maintenance of facilities .....	20	20	20
0011 Decentralized GSA and Security Payments .....	5	5	
0012 Homeland security .....	37	38	48

0014 Miscellaneous Fees/Supplementals .....		14	
0799 Total direct obligations .....	1,132	1,157	1,161
0881 Salaries and Expenses (Reimbursable) .....	141	141	141
0889 Reimbursable program activities, subtotal .....	141	141	141
0900 Total new obligations .....	1,273	1,298	1,302

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	13	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,133	1,144	1,161
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	59	141	141
1701 Change in uncollected payments, Federal sources .....	92		
1750 Spending auth from offsetting collections, disc (total) .....	151	141	141
1900 Budget authority (total) .....	1,284	1,285	1,302
1930 Total budgetary resources available .....	1,296	1,298	1,302
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-10		
1941 Unexpired unobligated balance, end of year .....	13		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	444	462	475
3010 Obligations incurred, unexpired accounts .....	1,273	1,298	1,302
3011 Obligations incurred, expired accounts .....	26		
3020 Outlays (gross) .....	-1,247	-1,285	-1,424
3041 Recoveries of prior year unpaid obligations, expired .....	-34		
3050 Unpaid obligations, end of year .....	462	475	353
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-194	-165	-165
3070 Change in uncollected pymts, Fed sources, unexpired .....	-92		
3071 Change in uncollected pymts, Fed sources, expired .....	121		
3090 Uncollected pymts, Fed sources, end of year .....	-165	-165	-165
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	250	297	310
3200 Obligated balance, end of year .....	297	310	188

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,284	1,285	1,302
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	938	971	984
4011 Outlays from discretionary balances .....	309	314	440
4020 Outlays, gross (total) .....	1,247	1,285	1,424
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-122	-85	-85
4033 Non-Federal sources .....	-48	-56	-56
4040 Offsets against gross budget authority and outlays (total) ...	-170	-141	-141
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-92		
4052 Offsetting collections credited to expired accounts .....	111		
4060 Additional offsets against budget authority only (total) .....	19		
4070 Budget authority, net (discretionary) .....	1,133	1,144	1,161
4080 Outlays, net (discretionary) .....	1,077	1,144	1,283
4180 Budget authority, net (total) .....	1,133	1,144	1,161
4190 Outlays, net (total) .....	1,077	1,144	1,283

The Agricultural Research Service (ARS) is the principal in-house research agency of the U.S. Department of Agriculture (USDA). ARS conducts scientific research to develop and transfer solutions to agricultural problems of high national priority and to provide information access and dissemination to: ensure high-quality, safe food, and other agricultural products; assess the nutritional needs of Americans; sustain a competitive agricultural economy; enhance the natural resource base and the environment; and provide economic opportunities for rural citizens, communities, and society as a whole. This mission is carried out through ARS' major research program areas and other activities listed below (in italics).

The major research programs in ARS address and support the Department's priorities and are: New Products/Product Quality/Value Added; Environmental Stewardship; Livestock/Crop Production; Livestock/Crop Protection; Food Safety; and Human Nutrition.

## SALARIES AND EXPENSES—Continued

The 2017 Salaries and Expenses budget for ARS requests \$1.16 billion which includes increases for new and expanded research initiatives related to Avian Influenza and foreign animal diseases; combatting antimicrobial resistance; addressing water issue challenges in the agricultural sector; and climate change resilience. The proposed increases are partially offset by reductions and redirections of ongoing research. These offsets will help provide funding necessary for the critical research priorities proposed by the agency for 2017.

*New Products/Product Quality/Value Added.*—ARS has active research programs directed toward improving the efficiency and reducing the cost for the conversion of agricultural products into biobased products and biofuels; developing new and improved products for domestic and foreign markets; and providing higher quality, healthy foods that satisfy consumer needs in the United States and abroad.

*Environmental Stewardship.*—The emphasis of ARS' environmental stewardship research programs is on developing technologies and systems that support sustainable production and enhance the Nation's vast renewable natural resource base. ARS is currently developing the scientific knowledge and technologies needed to meet the challenges and opportunities facing U.S. agriculture in managing water resource quality and quantity under different climatic regimes, production systems, and environmental conditions. ARS' research also focuses on developing measurement, prediction, and control technologies for emissions of greenhouse gases, particulate matter, ammonia, hydrogen sulfide, and volatile organic compounds affecting air quality and land surface climate interactions. The agency is a leader in developing measurement and modeling techniques for characterizing gaseous and particulate matter emissions from agriculture. In addition, ARS is evaluating strategies for enhancing the health and productivity of soils, including developing predictive tools to assess the sustainability of alternative land management practices. Finding mechanisms to aid agriculture in adapting to changes in atmospheric composition and climatic variations is also an important component of this program. ARS' range and grazing land research objectives include the conservation and restoration of the Nation's range land and pasture ecosystems and agroecosystems through improved management of fire, invasive weeds, grazing, global change, and other agents of ecological change. The agency is currently developing improved grass and forage legume germplasm for livestock, conservation, bioenergy, and bioproduct systems as well as grazing-based livestock systems that reduce risk and increase profitability. In addition, ARS is developing whole system management strategies to reduce production costs and risks.

*Livestock Production.*—ARS' livestock production program is directed toward fostering an abundant, safe, nutritionally wholesome, and competitively priced supply of animal products produced in a viable, competitive, and sustainable animal agriculture sector of the economy by: safeguarding and utilizing animal genetic resources, associated genetic and genomic databases, and bioinformatic tools; developing a basic understanding of food animal physiology to address priority issues related to animal production, animal well-being, and product quality and healthfulness; and developing information, best management practices, novel and innovative tools, and technologies that improve animal production systems, enhance human health, and ensure domestic food security.

*Crop Production.*—ARS' crop production program focuses on developing and improving ways to reduce crop losses while protecting and ensuring a safe and affordable food supply. The research program concentrates on production strategies that are environmentally friendly, safe to consumers, and compatible with sustainable and profitable crop production systems. Research activities are directed at safeguarding and utilizing plant genetic resources and their associated genetic, genomic, and bioinformatic databases that facilitate selection of varieties and/or germplasm with significantly improved traits. Research activities attempt to minimize the impacts of crop pests while maintaining healthy crops and safe commodities that can be sold in markets throughout the world. ARS is conducting research to

discover and exploit naturally occurring and engineered genetic mechanisms for plant pest control, develop agronomic germplasm with durable defensive traits, and transfer genetic resources for commercial use. ARS provides taxonomic information on invasive species that strengthens prevention techniques, aids in detection/identification of invasive pests, and increases control through management tactics that restore habitats and biological diversity.

*Livestock Protection.*—ARS' research on livestock protection is directed at protecting and ensuring the safety of the Nation's agriculture and food supply through improved disease detection, prevention, control, and treatment. Basic and applied research approaches are used to solve animal health problems of high national priority. Emphasis is given to methods and procedures to control animal diseases through the discovery and development of diagnostics, vaccines, biotherapeutics, animal genomics applications, disease management systems, animal disease models, and farm biosecurity measures. The research program has the following strategic objectives: establish ARS laboratories into a fluid, highly effective research network to maximize use of core competencies and resources; ensure access to specialized high containment facilities to study zoonotic and emerging diseases; develop an integrated animal and microbial genomics research program; establish core competencies in bovine, swine, ovine, and avian immunology; launch a biotherapeutic discovery program providing alternatives to animal drugs; build a technology-driven vaccine and diagnostic discovery research program; develop core competencies in field epidemiology and predictive biology; establish a best-in-class training center for our Nation's veterinarians and scientists; and develop a model technology transfer program to achieve the full impact of ARS research discoveries.

*Crop Protection.*—ARS' research on crop protection protects crops from insects and diseases through research to understand pest and disease transmission mechanisms, and to identify and apply new technologies that increase understanding of virulence factors and host defense mechanisms. ARS research priorities include identification of: genes that convey virulence traits in pathogens and pests; factors that modulate infectivity, gene functions, and mechanisms; genetic profiles that provide specified levels of disease and insect resistance under field conditions; and mechanisms that reduce the spread of pests and infectious diseases. ARS is developing new knowledge and integrated pest management approaches to control pest and disease outbreaks as they occur. Its research will improve the knowledge and understanding of the ecology, physiology, epidemiology, and molecular biology of emerging diseases and pests. This knowledge will be incorporated into pest risk assessments and management strategies to minimize chemical inputs and increase production. Strategies and approaches will be available to producers to control emerging crop diseases and pest outbreaks and to address quarantine issues.

*Food Safety.*—ARS' food safety research program is designed to yield science-based knowledge on the safe production, storage, processing, and handling of plant and animal products, and on the detection and control of toxin producing and/or pathogenic bacteria and fungi, parasites, chemical contaminants, and plant toxins. All of ARS' research activities involve a high degree of cooperation and collaboration with USDA's Research, Education, and Economics agencies, as well as with the Food Safety and Inspection Service (FSIS), Animal and Plant Health Inspection Service (APHIS), Food and Drug Administration (FDA), Centers for Disease Control and Prevention (CDC), Department of Homeland Security (DHS), and the Environmental Protection Agency (EPA). ARS also collaborates in international research programs to address and resolve global food safety issues. Specific research efforts are directed toward developing new technologies that assist ARS stakeholders and customers, including regulatory agencies, industry, and commodity and consumer organizations, in detecting, identifying, and controlling foodborne diseases that affect human health.

*Human Nutrition.*—Maintenance of health throughout the lifespan along with prevention of obesity and chronic diseases via food-based recommendations are the major emphases of ARS' human nutrition research program.

These health-related goals are based on the knowledge that deficiency diseases are no longer primary public health concerns in the U.S. Excessive consumption has become the primary nutrition problem in the American population. This is reflected by increased emphasis on prevention of obesity from basic science through intervention studies to assessments of large populations. ARS' research program also actively studies bioactive components of foods that have no known requirement but have health promoting qualities. Four specific areas of research are emphasized: nutrition monitoring; the scientific basis for dietary recommendations; prevention of obesity and related diseases; and life stage nutrition and metabolism, in order to better define the role of nutrition in pregnancy and growth of children, and for healthier aging.

**Library and Information Services.**—The National Agricultural Library (NAL) is the largest and most accessible agricultural research library in the world. It provides services directly to the staff of USDA and to the public, primarily via the NAL web site, <http://www.nal.usda.gov>. NAL was created with the USDA in 1862 and was named a national library in 1962, as the primary agricultural information resource of the United States. NAL is the premier library for collecting, managing, and disseminating agricultural knowledge. The Library is the repository of our Nation's agricultural heritage, the provider of world class information, and a wellspring for generating new fundamental knowledge and advancing scientific discovery. It is a priceless national resource that, through its services, programs, information products, and web-based tools and technologies, serves anyone who needs agricultural information. The Library's vision is "advancing access to global information for agriculture."

**Repair and Maintenance of Facilities.**—Funds are used to restore, upgrade, and maintain ARS' facilities to meet Occupational Safety and Health Administration and EPA requirements, provide suitable workspace for in-house research programs, and to retrofit existing structures for better energy utilization.

**Reimbursements.**—ARS performs research activities and services for other USDA, Federal, and non-Federal agencies. These activities and services are paid for on a reimbursable basis.

**Object Classification** (in millions of dollars)

Identification code 012-1400-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	460	488	500
11.3 Other than full-time permanent .....	14		
11.5 Other personnel compensation .....	9		
11.9 Total personnel compensation .....	483	488	500
12.1 Civilian personnel benefits .....	162	164	167
21.0 Travel and transportation of persons .....	11	11	13
23.1 Rental payments to GSA .....		5	5
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	49	50	50
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	12	12	12
25.3 Other goods and services from Federal sources .....	4	4	4
25.4 Operation and maintenance of facilities .....	42	43	43
25.5 Research and development contracts .....	193	198	195
25.7 Operation and maintenance of equipment .....	22	17	17
26.0 Supplies and materials .....	73	84	74
31.0 Equipment .....	54	54	54
32.0 Land and structures .....	8	8	8
41.0 Grants, subsidies, and contributions .....	17	17	17
99.0 Direct obligations .....	1,132	1,157	1,161
99.0 Reimbursable obligations .....	141	141	141
99.9 Total new obligations .....	1,273	1,298	1,302

**Employment Summary**

Identification code 012-1400-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6,046	6,062	6,071

2001 Reimbursable civilian full-time equivalent employment .....	469	469	469
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**BUILDINGS AND FACILITIES**

For the acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities as necessary to carry out the agricultural research programs of the Department of Agriculture, where not otherwise provided, **[\$212,101,000]** \$94,500,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1401-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Building and facilities projects .....	1	212	95
0900 Total new obligations (object class 25.4) .....	1	212	95
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	44	44
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	45	212	95
1131 Unobligated balance of appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	43	212	95
1930 Total budgetary resources available .....	45	256	139
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	44	44	44
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	2	202
3010 Obligations incurred, unexpired accounts .....	1	212	95
3020 Outlays (gross) .....	-8	-12	-32
3050 Unpaid obligations, end of year .....	2	202	265
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	9	2	202
3200 Obligated balance, end of year .....	2	202	265
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	43	212	95
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		12	4
4011 Outlays from discretionary balances .....	8		28
4020 Outlays, gross (total) .....	8	12	32
4180 Budget authority, net (total) .....	43	212	95
4190 Outlays, net (total) .....	8	12	32

This account provides funds for the acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Agricultural Research Service.

ARS operates an extensive network of Federally-owned research facilities strategically located throughout the United States, reflective of the wide geographic diversity and site specificity of agricultural production and distinct climatic and agroecosystem zones. The agency completed a review of its laboratory portfolio in 2012 and developed a plan for future capital investment that would be required to maintain this aging infrastructure. The resulting "Capital Investment Strategy" recommended modernization of selected facilities, including the Foreign Disease-Weed Science Research Unit in Fort Detrick, Maryland, and the U.S. Agricultural Research Station in Salinas, California. These facilities are needed to address gaps in the agency's ability to conduct critical research on plant pathology and crop improvement and protection, respectively. The 2017 Budget request includes \$94.5 million to complete the construction of the research facility at Fort Detrick and to complete Phase 1 of construction for the facility at Salinas. These facilities have exceeded their functional lifespans and ur-

BUILDINGS AND FACILITIES—Continued

gently need to be modernized so that ARS can safely and effectively carry out its research responsibilities.

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8214-0-7-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, Science and Education Administration .....	30	30	30
2000 Total: Balances and receipts .....	30	30	30
Appropriations:			
Current law:			
2101 Miscellaneous Contributed Funds .....	-30	-30	-30
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 012-8214-0-7-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous contributed funds .....	30	30	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	28	28
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	28	28	28
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	30	30	30
1930 Total budgetary resources available .....	58	58	58
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	28	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	7
3010 Obligations incurred, unexpired accounts .....	30	30	30
3020 Outlays (gross) .....	-30	-30	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	7	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	7
3200 Obligated balance, end of year .....	7	7	7
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	30	30	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	21	21
4101 Outlays from mandatory balances .....	19	9	9
4110 Outlays, gross (total) .....	30	30	30
4180 Budget authority, net (total) .....	30	30	30
4190 Outlays, net (total) .....	30	30	30

Miscellaneous contributed funds received from States, local organizations, individuals, and others are available for work under cooperative agreements on research activities.

Object Classification (in millions of dollars)

Identification code 012-8214-0-7-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2

21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
25.5	Research and development contracts .....	6	6	6
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	2	2	2
41.0	Grants, subsidies, and contributions .....	4	4	4
99.9	Total new obligations .....	30	30	30

Employment Summary

Identification code 012-8214-0-7-352	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	121	121	121

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE

Federal Funds

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, for payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American Samoa for cooperative extension activities, for integrated activities, for research, education, and extension grant programs, including necessary administrative expenses, and for other expenses, \$1,373,974,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture" in the report accompanying this Act: Provided, That funds for research grants for 1994 institutions, education grants for 1890 institutions, the agriculture and food research initiative, veterinary medicine loan repayment, grants management systems, Hispanic serving institutions education grants, tribal college education equity grants, Alaska Native-serving and Native Hawaiian-serving institutions, grants for insular areas, extension services at 1994 institutions, and facility improvements at 1890 institutions shall remain available until expended: Provided further, That each institution eligible to receive funds under the Evans-Allen program receives no less than \$1,000,000: Provided further, That funds for education grants for Alaska Native and Native Hawaiian-serving institutions be made available to individual eligible institutions or consortia of eligible institutions with funds awarded equally to each of the States of Alaska and Hawaii: Provided further, That funds for education grants for 1890 institutions shall be made available to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222: Provided further, That not more than 5 percent of the amounts made available by this or any other Act to carry out the Agriculture and Food Research Initiative under 7 U.S.C. 450i(b) may be retained by the Secretary of Agriculture to pay administrative costs incurred by the Secretary in carrying out that authority: Provided further, That institutions eligible to receive funds under 7 U.S.C. 3221 for cooperative extension receive no less than \$1,000,000: Provided further, That funds for cooperative extension under sections 3(b) and (c) of the Smith-Lever Act (7 U.S.C. 343(b) and (c)) and section 208(c) of Public Law 93-471 shall be available for retirement and employees' compensation costs for extension agents: Provided further, That funds for the Food and Agriculture Defense Initiative shall remain available until September 30, 2018: Provided further, That notwithstanding any other provision of law, indirect costs shall not be charged against any Extension Implementation Program Area grant awarded under the Crop Protection/Pest Management Program (7 U.S.C. 7626).

Program and Financing (in millions of dollars)

Identification code 012-0520-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments under the Hatch Act .....			244
0002 Cooperative Forestry Research .....			34
0003 Payments to 1890 Institutions for Research .....			58
0004 Special Grants and Other Research Programs .....			47
0005 Agriculture and Food Research Initiative .....			375
0007 Federal Administration .....			31
0008 Higher Education .....			53
0021 Food and Agriculture Resiliency Program for Military Veterans .....			3
0022 Smith-Lever Formula 3(b)&(c) .....			300
0023 1890 Institutions .....			48
0024 1890 Facilities (Section 1447) .....			22
0025 Smith-Lever 3(d) Programs .....			107
0026 Other Extension Programs .....			17
0027 Organic Transition Program .....			4
0028 Crop Protection/Pest Management .....			20
0029 Regional Rural Development Centers .....			1

0031	Food and Agriculture Defense Initiative .....	10
0032	Organic Agriculture Research and Extension Initiative .....	20
0033	Beginning Farmers and Ranchers Program .....	20
0034	Specialty Crop Research Initiative .....	55
0035	Emergency Citrus Research and Extension Program .....	25
0036	Food Insecurity Nutrition Incentive Program .....	20
0037	Agriculture Risk Management Education Program .....	5
0799	Total direct obligations .....	1,519
0801	Research/Education and Extension (Reimbursable) .....	34
0900	Total new obligations .....	1,553
<b>Budgetary resources:</b>		
Budget authority:		
Appropriations, discretionary:		
1100	Appropriation .....	1,374
Appropriations, mandatory:		
1221	Appropriations transferred from other acct [012–4336] ....	20
1221	Appropriations transferred from other acct [012–4336] ....	20
1221	Appropriations transferred from other acct [012–4336] ....	80
1221	Appropriations transferred from other acct [012–4336] ....	20
1221	Appropriations transferred from other acct [012–4085] ....	5
1260	Appropriations, mandatory (total) .....	145
Spending authority from offsetting collections, discretionary:		
1700	Collected .....	34
1900	Budget authority (total) .....	1,553
1930	Total budgetary resources available .....	1,553
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....	1,553
3020	Outlays (gross) .....	-803
3050	Unpaid obligations, end of year .....	750
Memorandum (non-add) entries:		
3200	Obligated balance, end of year .....	750
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	1,408
Outlays, gross:		
4010	Outlays from new discretionary authority .....	762
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4030	Federal sources .....	-34
4040	Offsets against gross budget authority and outlays (total) ....	-34
Mandatory:		
4090	Budget authority, gross .....	145
Outlays, gross:		
4100	Outlays from new mandatory authority .....	12
4101	Outlays from mandatory balances .....	29
4110	Outlays, gross (total) .....	41
4180	Budget authority, net (total) .....	1,519
4190	Outlays, net (total) .....	769

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....			1,519
Outlays .....			769
Legislative proposal, subject to PAYGO:			
Budget Authority .....			325
Outlays .....			16
Total:			
Budget Authority .....			1,844
Outlays .....			785

The National Institute of Food and Agriculture (NIFA) participates in a nationwide system of agricultural research and education program planning and coordination between State institutions and the U.S. Department of Agriculture. It assists in maintaining cooperation among the State institutions, and between the State institutions and their Federal research partners. The agency administers grants and payments to State institutions to leverage State and local funding for agricultural research and higher education.

*Payments under the Hatch Act.*—Funds under the Hatch Act are allocated on a formula basis to agricultural experiment stations of the land-grant colleges in the 50 States, the District of Columbia, Puerto Rico, Guam, the

Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. The 2017 budget includes \$243.7 million.

*Cooperative forestry research.*—These funds are allocated by formula to land-grant colleges or agricultural experiment stations in the 50 States, Puerto Rico, Guam, the Virgin Islands, and other State-supported colleges and universities having a forestry school and offering graduate training in forestry sciences. The 2017 Budget is funded at \$34 million.

*Payments to 1890 Institutions for Research.*—Funds allocated on a formula basis support agricultural research and broaden the curricula at the nineteen 1890 land-grant colleges, including Tuskegee University, West Virginia State University, and Central State University. The 2017 Budget includes \$58 million.

*Special grants and other research programs.*—This program addresses research areas of national interest. The 2017 Budget includes \$11.9 million for IR-4 minor crop pest management to assist growers in obtaining registrations of pesticides for use on specialty food crops, ornamental horticulture crops, and minor uses on major crops. Funding for agroclimatology (global change) is proposed at \$1.4 million for research at universities as part of a coordinated Federal initiative. The 2017 Budget also includes funding for sustainable agriculture at \$30 million. The increased funds for sustainable agriculture will assist beginning farmers and ranchers in adopting sustainable agricultural practices, providing more flexibility in grant award size, and expanding support for graduate students. The 2017 Budget proposes funding at \$3.9 million for the competitive 1994 Institutions research grants program to build research capacity at the legislatively eligible 1994 institutions by supporting agricultural research activities that address tribal, national and multistate priorities.

*Agriculture and Food Research Initiative competitive grants.*—Section 7406 of the Food, Conservation, and Energy Act of 2008 (Pub. L. 110–246) establishes the Agriculture and Food Research Initiative (AFRI). AFRI is the core competitive grant program for fundamental and applied research, extension, and education to address food and agricultural sciences. The 2017 Budget includes \$700 million for AFRI, which includes \$375 million in the discretionary appropriations request and \$325 million in mandatory funds. AFRI mandatory funds will invest in system approaches to addressing agricultural challenges, and support the development of solutions necessary to developing the knowledge, technologies, and practices needed to sustainably increase agricultural production. The AFRI projects under the discretionary program will address critical issues in U.S. agriculture in the areas of water and food production systems; climate variability and change; sustainable bioenergy production; food safety; childhood obesity prevention; foundational science; and education and literacy initiative. Addressing these critical issues will engage scientists and educators with expertise in plant health and production and plant products; animal health and production and animal products; food safety, nutrition, and health; bioenergy, natural resources, and environment; agricultural systems and technology; and agriculture economics and rural communities. AFRI allows greater flexibility in the types of projects funded to include: single function projects in research, education, and extension, and integrated research, education and/or extension awards.

*Federal administration.*—A coordinating and review staff assists in maintaining cooperation within and among the States, and between the States and their Federal research partners. This staff also administers research and education grants and payments to States. Federal administration is funded from a combination of program set-asides from formula and grant programs and from direct appropriation for administration. The 2017 Budget includes \$31.4 million, which is \$2.7 million over the 2016 enacted level. Most of the increase will support the Grants Management Systems.

*Higher education.*—The 2017 Budget proposes \$30.4 million for a capacity building program at the 1890 institutions as part of the USDA initiative to strengthen these institutions through a broadening of curricula, and increased faculty development and student research projects. Of the total, \$10 million of increased funds will support the 1890 Capacity Coordination Initiative for three centers. The 2017 Budget funding is proposed for His-

## NATIONAL INSTITUTE OF FOOD AND AGRICULTURE—Continued

panic-serving institutions education grants program at \$9.2 million. Funding is also proposed for Native American institutions at \$3.7 million, Alaska Native-serving and Native Hawaiian-serving Institutions at \$3.2 million, and Grants for Insular Areas programs at \$1.8 million. These programs enable universities to broaden their curricula; and increase faculty development, student research projects, and the number of new scholars recruited in the food and agricultural sciences. In addition, an increased number of graduate students, including minority graduate students, will be enrolled in the agricultural sciences. Funding is also proposed in the 2017 Budget, at \$5 million, for the Veterinary Medical Services Act to provide incentives to hire veterinarians to work in shortage areas.

*Food and Agriculture Resiliency Program for Military Veterans.*—The 2017 Budget includes \$2.5 million for food and agriculture resiliency program for military veterans to support the veteran population in the food and agriculture sector.

The Cooperative Extension System, a national educational network, is a dynamic organization pledged to meeting the country's needs for research-based educational programs that will enable people to make practical decisions to improve their lives. To accomplish its mission, the Cooperative Extension System adjusts programs to meet the shifting needs and priorities of the people it serves.

The non-formal educational network combines the expertise and resources of Federal, State, and local partners. The partners in this unique System are: a) The National Institute of Food and Agriculture at the U.S. Department of Agriculture; b) Extension professionals at land-grant universities throughout the United States and its territories; and c) Extension professionals in nearly all of the Nation's 3,144 counties and county equivalents. Thousands of paraprofessionals and nearly 3 million volunteers support this partnership and magnify its impact. Strong linkages with both public and private external groups are also crucial to the Cooperative Extension System's strength and vitality.

*Smith-Lever 3(b) and (c).*—Programs supported with Smith-Lever 3(b) and (c) legislated formula funds are the major educational efforts central to the mission of the System and common to most Extension units. These programs are the foundation of the Extension organization and partnership that are intended to increase the number of community-based projects, families, and individuals reached to disseminate research findings as widely and quickly as possible. Funds will be used to develop practical applications of existing or improved practices or technologies in agriculture; implement solar energy with respect to agriculture, home economics, and rural energy; and disseminate information to communities through demonstrations and publications. The 2017 Budget proposes Smith-Level 3(b) and (c) programs to be funded at \$300 million.

*1890 Institutions.*—Extension resources are provided to the States by these formula funds and competitively-awarded programs such as sustainable agriculture. Smith-Lever 3(b) and (c) provides payments to the 1890 colleges and Tuskegee University, West Virginia State University and Central State University. The 2017 Budget includes \$48.3 million and provides funds to support the Extension's infrastructure.

*1890 Facilities.*—The 2017 Budget includes \$21.7 million for 1890 Facilities Grants for the acquisition and improvement of food, agricultural, and human sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University, West Virginia State University, and Central State University may participate fully in the production of human capital in the food and agricultural sciences.

*Smith-Lever 3(d) Programs.*—Designated programs funded by Smith-Lever 3(d) include the Expanded Food and Nutrition Education Program (EFNEP); AgrAbility/Farm Safety (Farm Safety Program and Youth Farm Safety Education and Certification); Children, Youth and Families at Risk; and Federally-Recognized Tribes Extension Program. The 2017 Budget includes \$106.9 million for these programs. Of this amount, proposal includes \$20 million for the new Home Visits for Remote Areas program.

Working closely with the Department of Health and Human Services, the program will provide support to enhance maternal, child, and family health in high-need, remote rural areas and Indian country.

*Other Extension Programs.*—Other Extension programs supported in the 2017 Budget include Grants for Youth Serving Institutions at \$1 million, Extension Services at 1994 Institutions at \$6.7 million, Renewable Resources Extension Act at \$4 million, and Food Safety Outreach Program at \$5 million.

Integrated research, education and/or extension grants are awarded for competitive and non-competitive programs.

*Organic Transition Program.*—This program supports the development and implementation of biologically based pest management practices that mitigate the ecological, agronomic, and economic risks associated with the transition from conventional to organic agricultural production systems. The 2017 Budget includes \$4 million.

*Crop Protection/Pest Management Program.*—This program supports projects that respond to pest management challenges with coordinated region-wide and national research, education, and extension programs, and serves as a catalyst for promoting further development and use of integrated pest management approaches. The program also fosters regional and national team building efforts, communication networks, and enhanced stakeholder participation. The 2017 Budget includes \$20.2 million, an increase of \$3 million to address pollinator health issues through the extension activities under this program.

*Regional rural development centers.*—Funding supports activities that pursue a holistic development strategy that tailors programming to meet regional and local needs and addresses areas of opportunity arising from a consumer-driven agricultural economy. The 2017 Budget includes \$1 million.

*Food and agriculture defense initiative (homeland security).*—The program provides support and enhancement of nationally-coordinated plant and animal disease diagnostic networks and supports activities to identify and respond to high risk biological pathogens in the food and agricultural system. The 2017 Budget includes \$10 million. Additional funding for these laboratories is included in the Animal and Plant Health Inspection Service.

*Organic Agriculture Research and Extension Initiative.*—This mandatory program, authorized by section 7206 of the Food, Conservation, and Energy Act of 2008 (2008 Farm Bill), supports research and extension programs that enhance the ability of producers and processors who have already adopted organic standards to grow and market high quality organic agricultural products. In 2017, mandatory funding for the program is \$20 million.

*Beginning Farmer and Rancher Development Program.*—This mandatory program, authorized by section 7410 of the 2008 Farm Bill, provides funding to support the development of education, outreach, curricula, workshops, educational teams, training, and technical assistance programs to assist beginning farmers and ranchers in the United States and its territories in entering, building, and managing successful farm and ranch enterprises. This program also provides support for an online electronic and library clearinghouse to provide associated support to individually funded projects, and the overall program. In 2017, mandatory funding for the program is \$20 million.

*Specialty Crop Research Initiative.*—This mandatory program, authorized by section 7306 of the 2014 Farm Bill, which amends Section 412 of the Agricultural Research, Extension, and Education Reform Act of 1998, provides funding to solve critical industry issues through: research and extension activities that focus on research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops. In 2017,

mandatory funding for the program is \$80 million. Of the monies available for this program, \$25 million is reserved to carry out the Emergency Citrus Disease Research and Extension Program.

**Food Insecurity Nutrition Incentive Program.**—This mandatory program, authorized by section 4208 of the Farm Bill, funds and evaluates projects intended to increase the purchase of fruits and vegetables, any variety of fresh, canned, dried, or frozen whole or cut fruits and vegetables without added sugars, fats, or oils, and salt (i.e. sodium), by low-income consumers participating in Supplemental Nutrition Assistance Program (SNAP) by providing incentives at the point of purchase. The program will test strategies that could contribute to the understanding of how best to increase the purchase of fruits and vegetables by SNAP participants to inform future efforts, and develop effective and efficient benefit redemption technologies. In 2017, mandatory funding for the program is \$20 million.

**Agriculture Risk Management Education Program.**—This mandatory program, authorized by section 133 of the Agricultural Risk Protection Act of 2000, which amends the Federal Crop Insurance Act, provides funding for educating agricultural producers on the full range of risk management activities. These activities include futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, marketing plans and tactics, farm resources risk reduction, and other appropriate risk management strategies. In 2017, mandatory funding for this program is \$5 million.

**Biodiesel Fuel Education Program.**—This mandatory program, authorized by section 9006 of the Farm Security and Rural Investment Act of 2002 and amended by the 2014 Farm Bill, is to stimulate biodiesel consumption and the development of a biodiesel infrastructure. Funding will support grants to address the need to balance the positive environmental, social, and human health impacts of biodiesel utilization with the increased per gallon cost to the user. In 2017, mandatory funding for this program is \$1 million.

**Community Food Projects.**—This mandatory program, authorized by section 25 of the Food Stamp Act of 1977, as amended by the Agricultural Act of 2014 and the Food and Nutrition Act of 2008, funds projects to increase the food self-reliance of communities; promote comprehensive responses to local food, farm, and nutrition issues; develop innovative linkages between the public, for-profit, and nonprofit food sectors; and encourage long-term planning activities and comprehensive multi-agency approaches. In 2017, mandatory funding for this program is \$9 million.

**Reimbursable program.**—Funds support basic and applied agriculture research and activities performed for other USDA, Federal, and non-Federal agencies.

**Object Classification** (in millions of dollars)

Identification code 012-0520-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			34
12.1 Civilian personnel benefits .....			10
21.0 Travel and transportation of persons .....			4
23.1 Rental payments to GSA .....			5
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....			2
25.2 Other services from non-Federal sources .....			12
25.5 Research and development contracts .....			12
41.0 Grants, subsidies, and contributions .....			1,439
99.0 Direct obligations .....			1,519
99.0 Reimbursable obligations .....			34
99.9 Total new obligations .....			1,553

**Employment Summary**

Identification code 012-0520-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			401

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-0520-4-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Agriculture and Food Research Initiative .....			244
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			325
1930 Total budgetary resources available .....			325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			81
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			244
3020 Outlays (gross) .....			-16
3050 Unpaid obligations, end of year .....			228
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			228
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			325
Outlays, gross:			
4100 Outlays from new mandatory authority .....			16
4180 Budget authority, net (total) .....			325
4190 Outlays, net (total) .....			16

**Object Classification** (in millions of dollars)

Identification code 012-0520-4-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			6
12.1 Civilian personnel benefits .....			2
21.0 Travel and transportation of persons .....			1
25.2 Other services from non-Federal sources .....			3
41.0 Grants, subsidies, and contributions .....			232
99.9 Total new obligations .....			244

**Employment Summary**

Identification code 012-0520-4-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			58

**INTEGRATED ACTIVITIES**

For the integrated research, education, and extension grants programs, including necessary administrative expenses, \$30,900,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture, Integrated Activities" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That funds for the Food and Agriculture Defense Initiative shall remain available until September 30, 2017: *Provided further*, That notwithstanding any other provision of law, indirect costs shall not be charged against any Extension Implementation Program Area grant awarded under the Crop Protection/Pest Management Program (7 U.S.C. 7626). (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

INTEGRATED ACTIVITIES—Continued  
Program and Financing (in millions of dollars)

Identification code 012–1502–0–1–352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0050 Crop Protection/Pest Management .....	17	17	.....
0070 Methyl bromide transition program .....	2	2	.....
0071 Homeland Security .....	7	7	.....
0085 Emergency Citrus Research and Extension Program .....	25	47	.....
0086 Specialty Crop Research Initiative .....	51	51	.....
0087 Regional Rural development centers .....	1	1	.....
0088 Organic transition .....	4	4	.....
0089 Organic Research and Extension Initiative .....	19	18	.....
0900 Total new obligations .....	126	147	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	23	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	31	.....
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4336] ....	100	100	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-7	-7	.....
1260 Appropriations, mandatory (total) .....	93	93	.....
1900 Budget authority (total) .....	124	124	.....
1930 Total budgetary resources available .....	149	147	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	208	242	257
3010 Obligations incurred, unexpired accounts .....	126	147	.....
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-89	-132	-133
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	242	257	124
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	208	242	257
3200 Obligated balance, end of year .....	242	257	124
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	31	31	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	.....
4011 Outlays from discretionary balances .....	35	42	42
4020 Outlays, gross (total) .....	36	44	42
Mandatory:			
4090 Budget authority, gross .....	93	93	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	5	.....
4101 Outlays from mandatory balances .....	50	83	91
4110 Outlays, gross (total) .....	53	88	91
4180 Budget authority, net (total) .....	124	124	.....
4190 Outlays, net (total) .....	89	132	133

Programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

Object Classification (in millions of dollars)

Identification code 012–1502–0–1–352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	.....	.....
25.2 Other services from non-Federal sources .....	2	2	.....
41.0 Grants, subsidies, and contributions .....	121	144	.....
99.9 Total new obligations .....	126	147	.....

Employment Summary

Identification code 012–1502–0–1–352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	6	.....

BIOMASS RESEARCH AND DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 012–1003–0–1–271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Biomass research and development .....	.....	12	3
0900 Total new obligations (object class 41.0) .....	.....	12	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	9	.....
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4336] ....	3	3	3
1930 Total budgetary resources available .....	9	12	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	27	20
3010 Obligations incurred, unexpired accounts .....	.....	12	3
3020 Outlays (gross) .....	-19	-19	-15
3050 Unpaid obligations, end of year .....	27	20	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	46	27	20
3200 Obligated balance, end of year .....	27	20	8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4101 Outlays from mandatory balances .....	19	19	15
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	19	19	15

Biomass Research and Development is authorized by the Biomass Research and Development Act of 2000. The program provides competitive grants for research, development, and demonstration to encourage innovation and development related to biomass, and improved commercialization of biobased products and energy. USDA and the Department of Energy jointly administer the program. In 2017, mandatory funding for the program is \$3 million.

RESEARCH AND EDUCATION ACTIVITIES

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, and for other expenses, \$819,685,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture, Research and Education Activities" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That funds for research grants for 1994 institutions, education grants for 1890 institutions, capacity building for non-land-grant colleges of agriculture, the agriculture and food research initiative, veterinary medicine loan repayment, multicultural scholars, graduate fellowship and institution challenge grants, and grants management systems shall remain available until expended: *Provided further*, That each institution eligible to receive funds under the Evans-Allen program receives no less than \$1,000,000: *Provided further*, That funds for education grants for Alaska Native and Native Hawaiian-serving institutions be made available to individual eligible institutions or consortia of eligible institutions with funds awarded equally to each of the States of Alaska and Hawaii: *Provided further*, That funds for education grants for 1890 institutions shall be made available to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222: *Provided further*, That not more than 5 percent of the amounts made available by this or any other Act to carry out the Agriculture and Food Research Initiative under 7 U.S.C. 450i(b) may be retained by the Secretary

of Agriculture to pay administrative costs incurred by the Secretary in carrying out that authority.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**HISPANIC-SERVING AGRICULTURAL COLLEGES AND UNIVERSITIES ENDOWMENT FUND**

For the Hispanic-Serving Agricultural Colleges and Universities Endowment Fund under section 1456(b) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3243(b)), \$10,000,000, to remain available until expended.

**NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND**

For the Native American Institutions Endowment Fund authorized by Public Law 103-382 (7 U.S.C. 301 note), \$11,880,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-1500-0-1-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	172	184	196
Receipts:			
Current law:			
1140 Earnings on Investments, Native American Institutions Endowment Fund	5	5	5
2000 Total: Balances and receipts	177	189	201
Appropriations:			
Current law:			
2101 Research and Education Activities	-5	-5	-5
2134 Research and Education Activities	12	12	22
2199 Total current law appropriations	7	7	17
2999 Total appropriations	7	7	17
5099 Balance, end of year	184	196	218

**Program and Financing** (in millions of dollars)

Identification code 012-1500-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments under the Hatch Act	244	244	
0002 Cooperative forestry research	34	34	
0003 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University	52	54	
0004 Special research grants	45	44	
0005 Agriculture Food and Research Initiative	302	663	
0006 Animal health and disease research	4	4	
0007 Federal Administration	20	28	
0008 Higher education	48	47	
0009 Native American Institutions Endowment Fund	7	5	5
0012 Veterinary Medical Services Act	4	12	
0013 Veterinary Services Grant Program		3	
0015 Sun Grant Program	3	3	
0016 Farm Business Management and Benchmarking	1	1	
0021 Alfalfa Forage and Research Program	1	2	
0022 Capacity Building for Non-Land Grant Colleges of Agriculture	4	9	
0799 Total direct obligations	769	1,153	5
0801 Research and Education Activities (Reimbursable)	14	13	
0900 Total new obligations	783	1,166	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	278	328	
1021 Recoveries of prior year unpaid obligations	28		
1050 Unobligated balance (total)	306	328	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	799	832	22
1101 Appropriation (Native American Endowment Interest)	5	5	5
1134 Portion precluded from obligation (-) (N.A. Endowment Fund)	-12	-12	-22
1160 Appropriation, discretionary (total)	792	825	5
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	13	
1701 Change in uncollected payments, Federal sources	13		
1750 Spending auth from offsetting collections, disc (total)	14	13	
1900 Budget authority (total)	806	838	5

1930 Total budgetary resources available	1,112	1,166	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	328		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,041	1,029	1,064
3010 Obligations incurred, unexpired accounts	783	1,166	5
3011 Obligations incurred, expired accounts	5		
3020 Outlays (gross)	-756	-1,131	-473
3040 Recoveries of prior year unpaid obligations, unexpired	-28		
3041 Recoveries of prior year unpaid obligations, expired	-16		
3050 Unpaid obligations, end of year	1,029	1,064	596
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-25	-24	-24
3070 Change in uncollected pymts, Fed sources, unexpired	-13		
3071 Change in uncollected pymts, Fed sources, expired	14		
3090 Uncollected pymts, Fed sources, end of year	-24	-24	-24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,016	1,005	1,040
3200 Obligated balance, end of year	1,005	1,040	572

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	806	838	5
Outlays, gross:			
4010 Outlays from new discretionary authority	171	436	3
4011 Outlays from discretionary balances	585	695	470
4020 Outlays, gross (total)	756	1,131	473
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-14	-13	
4040 Offsets against gross budget authority and outlays (total)	-14	-13	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-13		
4052 Offsetting collections credited to expired accounts	13		
4070 Budget authority, net (discretionary)	792	825	5
4080 Outlays, net (discretionary)	742	1,118	473
4180 Budget authority, net (total)	792	825	5
4190 Outlays, net (total)	742	1,118	473

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	143	182	194
5001 Total investments, EOY: Federal securities: Par value	182	194	216
5096 Unexpired unavailable balance, SOY: Appropriations		46	46
5098 Unexpired unavailable balance, EOY: Appropriations		68	68

*Native American Institutions Endowment Fund.*—The 2017 Budget includes \$11.9 million, for an endowment for the 1994 land-grant institutions (the legislatively eligible Tribally controlled colleges) to strengthen the infrastructure of these institutions and develop Indian expertise for the food and agricultural sciences and businesses and their own communities. At the termination of each fiscal year, the Secretary withdraws the income from the endowment fund for the fiscal year, and after making adjustments for the cost of administering the fund, distributes the adjusted income on a formula basis to the 1994 land-grant institutions. An estimated \$4.9 million in interest earned in 2016 will be available to the program in 2017.

*Hispanic-Serving Agricultural Colleges and Universities Endowment Fund.*—This endowment fund for Hispanic-Serving Agricultural Colleges and Universities will launch the production of skilled and marketable Hispanic student population for employment in the food and agriculture sector. Over the next ten years, the Endowment will lead to significant and measurable enhanced competence and marketability of Hispanic students in the food and agricultural sciences. The 2017 Budget includes \$10 million for this proposed fund. Interest generated by this funding in 2017 will be available to the program in 2018.

Other programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

RESEARCH AND EDUCATION ACTIVITIES—Continued

Object Classification (in millions of dollars)

Identification code 012-1500-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	20	21	.....
12.1 Civilian personnel benefits .....	8	8	.....
21.0 Travel and transportation of persons .....	2	2	.....
23.1 Rental payments to GSA .....	5	5	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.1 Advisory and assistance services .....	2	2	.....
25.2 Other services from non-Federal sources .....	5	5	.....
25.5 Research and development contracts .....	2	2	.....
41.0 Grants, subsidies, and contributions .....	724	1,107	5
99.0 Direct obligations .....	769	1,153	5
99.0 Reimbursable obligations .....	14	13	.....
99.9 Total new obligations .....	783	1,166	5

Employment Summary

Identification code 012-1500-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	221	236	.....

BUILDINGS AND FACILITIES

Program and Financing (in millions of dollars)

Identification code 012-1501-0-1-352	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	2
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	1	.....
3020 Outlays (gross) .....		-1	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		.....
3050 Unpaid obligations, end of year .....	1		.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	1	.....
3200 Obligated balance, end of year .....	1		.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	.....
4180 Budget authority, net (total) .....			.....
4190 Outlays, net (total) .....		1	.....

Funds provide grants to States and other eligible recipients for the acquisition of land, construction, repair, improvement, extension, alteration and purchase of fixed equipment or facilities to carry out agricultural research, extension, and teaching programs. No funding has been appropriated to this account since 1997.

EXTENSION ACTIVITIES

For payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American Samoa, \$475,891,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture, Extension Activities" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That funds for facility improvements at 1890 institutions shall remain available until expended: *Provided further*, That institutions eligible to receive funds under 7 U.S.C. 3221 for cooperative extension receive no less than \$1,000,000: *Provided further*, That funds for cooperative extension under sections

3(b) and (c) of the Smith-Lever Act (7 U.S.C. 343(b) and (c)) and section 208(c) of Public Law 93-471 shall be available for retirement and employees' compensation costs for extension agents. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0502-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Smith-Lever Act, 3(b) and 3(c) .....	300	300	.....
0002 Youth at risk .....	8	8	.....
0004 Expanded food and nutrition education program (EFNEP) .....	608	68	.....
0006 Farm Safety .....	5	5	.....
0009 Federally Recognized Tribes Extension Program .....	3	3	.....
0013 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University .....	44	46	.....
0015 Renewable resources extension act .....	4	4	.....
0016 Federal administration .....	8	8	.....
0019 1890 facilities (section 1447) .....	18	52	.....
0022 1994 institutions activities .....	4	4	.....
0024 Rural health and safety education .....	1	2	.....
0026 Risk management education .....	5	5	.....
0027 New technologies for ag. extension .....	1	2	.....
0030 Food Animal Residue Avoidance Database .....	1	1	.....
0031 Beginning Farmers and Ranchers Program .....	37	19	.....
0032 Food Safety Outreach Program .....	3	5	.....
0033 Food Insecurity Nutrition Incentive Program .....	35	19	.....
0799 Total direct obligations .....	545	551	.....
0801 Extension Activities (Reimbursable) .....	23	24	.....
0900 Total new obligations .....	568	575	.....

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	84	33	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	84	32	.....
1050 Unobligated balance (total) .....	84	33	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	472	476	.....
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4085] .....	5	5	.....
1221 Appropriations transferred from other acct [012-4336] .....	20	40	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-3	.....
1260 Appropriations, mandatory (total) .....	23	42	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	24	.....
1701 Change in uncollected payments, Federal sources .....	16		.....
1750 Spending auth from offsetting collections, disc (total) .....	23	24	.....
1900 Budget authority (total) .....	518	542	.....
1930 Total budgetary resources available .....	602	575	.....
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		.....
1941 Unexpired unobligated balance, end of year .....	33		.....

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	608	658	520
3010 Obligations incurred, unexpired accounts .....	568	575	.....
3011 Obligations incurred, expired accounts .....	3		.....
3020 Outlays (gross) .....	-508	-713	-458
3041 Recoveries of prior year unpaid obligations, expired .....	-13		.....
3050 Unpaid obligations, end of year .....	658	520	62
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-58	-45	-45
3070 Change in uncollected pymts, Fed sources, unexpired .....	-16		.....
3071 Change in uncollected pymts, Fed sources, expired .....	29		.....
3090 Uncollected pymts, Fed sources, end of year .....	-45	-45	-45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	550	613	475
3200 Obligated balance, end of year .....	613	475	17

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	495	500	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	158	309	.....
4011 Outlays from discretionary balances .....	329	371	406
4020 Outlays, gross (total) .....	487	680	406

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-24	-24
4033	Non-Federal sources .....	-11	
4040	Offsets against gross budget authority and outlays (total) ....	-35	-24
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-16	
4052	Offsetting collections credited to expired accounts .....	28	
4060	Additional offsets against budget authority only (total) .....	12	
4070	Budget authority, net (discretionary) .....	472	476
4080	Outlays, net (discretionary) .....	452	656
Mandatory:			
4090	Budget authority, gross .....	23	42
Outlays, gross:			
4100	Outlays from new mandatory authority .....		7
4101	Outlays from mandatory balances .....	21	26
4110	Outlays, gross (total) .....	21	33
4180	Budget authority, net (total) .....	495	518
4190	Outlays, net (total) .....	473	689

Programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

**Object Classification** (in millions of dollars)

Identification code 012-0502-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	12	12
12.1	Civilian personnel benefits .....	2	2
25.2	Other services from non-Federal sources .....	5	5
25.5	Research and development contracts .....	5	5
41.0	Grants, subsidies, and contributions .....	521	527
99.0	Direct obligations .....	545	551
99.0	Reimbursable obligations .....	23	24
99.9	Total new obligations .....	568	575

**Employment Summary**

Identification code 012-0502-0-1-352	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	145	148

**ANIMAL AND PLANT HEALTH INSPECTION SERVICE**  
*Federal Funds*

**SALARIES AND EXPENSES**  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Animal and Plant Health Inspection Service, including up to \$30,000 for representation allowances and for expenses pursuant to the Foreign Service Act of 1980 (22 U.S.C. 4085), **[\$894,415,000]** \$901,196,000, of which **[\$470,000]** \$476,000, to remain available until expended, shall be available for the control of outbreaks of insects, plant diseases, animal diseases and for control of pest animals and birds ("contingency fund") to the extent necessary to meet emergency conditions; of which **[\$11,520,000]** \$8,270,000, to remain available until expended, shall be used for the cotton pests program for cost share purposes or for debt retirement for active eradication zones; of which **[\$35,339,000]** \$36,941,000, to remain available until expended, shall be for Animal Health Technical Services; of which **[\$697,000]** \$705,000 shall be for activities under the authority of the Horse Protection Act of 1970, as amended (15 U.S.C. 1831); of which **[\$55,340,000]** \$55,597,000, to remain available until expended, shall be used to support avian health; of which \$4,251,000, to remain available until expended, shall be for information technology infrastructure; of which **[\$158,000,000]** \$146,076,000, to remain available until expended, shall be for specialty crop pests; of which, **[\$8,826,000]** \$8,902,000, to remain available until expended, shall be for field crop and rangeland ecosystem pests; of which **[\$54,000,000]** \$45,933,000, to remain available until expended, shall be for tree and wood pests; of which **[\$3,973,000]** \$5,723,000, to remain available until expended, shall be for the National Veterinary Stockpile; of which \$5,973,000, to remain available until expended, shall be for the implementation of the Lacey Act (16 U.S.C. 3371-3378); of which up to \$1,500,000, to remain available until expended, shall be for the scrapie program for indemnities; of which \$2,500,000, to remain available until expended, shall be for the wildlife

damage management program for aviation safety: *Provided*, That of amounts available under this heading for wildlife services methods development, \$1,000,000 shall remain available until expended: *Provided further*, That of amounts available under this heading for the screwworm program, \$4,990,000 shall remain available until expended: *Provided further*, That no funds shall be used to formulate or administer a brucellosis eradication program for the current fiscal year that does not require minimum matching by the States of at least 40 percent: *Provided further*, That this appropriation shall be available for the operation and maintenance of aircraft and the purchase of not to exceed five, of which two shall be for replacement only: *Provided further*, That in addition, in emergencies which threaten any segment of the agricultural production industry of this country, the Secretary may transfer from other appropriations or funds available to the agencies or corporations of the Department such sums as may be deemed necessary, to be available only in such emergencies for the arrest and eradication of contagious or infectious disease or pests of animals, poultry, or plants, and for expenses in accordance with sections 10411 and 10417 of the Animal Health Protection Act (7 U.S.C. 8310 and 8316) and sections 431 and 442 of the Plant Protection Act (7 U.S.C. 7751 and 7772), and any unexpended balances of funds transferred for such emergency purposes in the preceding fiscal year shall be merged with such transferred amounts: *Provided further*, That appropriations hereunder shall be available pursuant to law (7 U.S.C. 2250) for the repair and alteration of leased buildings and improvements, but unless otherwise provided the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

In fiscal year **[2016]** 2017, the agency is authorized to collect fees to cover the total costs of providing technical assistance, goods, or services requested by States, other political subdivisions, domestic and international organizations, foreign governments, or individuals, provided that such fees are structured such that any entity's liability for such fees is reasonably based on the technical assistance, goods, or services provided to the entity by the agency, and such fees shall be reimbursed to this account, to remain available until expended, without further appropriation, for providing such assistance, goods, or services. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-1600-0-1-352	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	26	28	32
Receipts:				
Current law:				
1110	1990 Food, Agricultural Quarantine Inspection Fees .....	636	727	754
Proposed:				
1230	Fees, Animal and Plant Health Inspection User Fee Account .....			20
1999	Total receipts .....	636	727	774
2000	Total: Balances and receipts .....	662	755	806
Appropriations:				
Current law:				
2101	Salaries and Expenses .....	-636	-727	-754
2103	Salaries and Expenses .....	-43	-45	
2132	Salaries and Expenses .....	45	49	
2199	Total current law appropriations .....	-634	-723	-754
2999	Total appropriations .....	-634	-723	-754
5099	Balance, end of year .....	28	32	52

**Program and Financing** (in millions of dollars)

Identification code 012-1600-0-1-352	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Animal Health .....	293	293	304
0002	Plant Health .....	314	311	297
0003	Wildlife Services .....	109	120	105
0004	Regulatory Management .....	35	35	35
0005	Emergency Management .....	19	19	45
0006	Safe Trade and International Technical Assistance .....	36	37	42
0007	Animal Welfare .....	29	29	29
0008	Agency-Wide Programs .....	52	52	52
0009	Citrus Greening - GP 748 .....	16		
0010	Emergency Program Funding .....	839	115	35
0011	Agricultural Quarantine Inspection User Fees .....	199	210	212
0012	H1N1 Transfer From HHS .....	3	3	2
0013	Citrus Greening - GP 764 .....		1	5
0014	Farm Bill, Section 10007 .....	57	58	63
0100	Total direct program .....	2,001	1,283	1,226

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 012–1600–0–1–352	2015 actual	2016 est.	2017 est.
0799 Total direct obligations .....	2,001	1,283	1,226
0801 Salaries and Expenses (Reimbursable) .....	181	181	181
0900 Total new obligations .....	2,182	1,464	1,407
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	479	580	470
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	325	458	.....
1021 Recoveries of prior year unpaid obligations .....	14	.....	.....
1050 Unobligated balance (total) .....	493	580	470
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	871	900	901
1121 Appropriations transferred from other acct [012–4336] .....	1,007	.....	.....
1160 Appropriation, discretionary (total) .....	1,878	900	901
Appropriations, mandatory:			
1201 Appropriation (AQI User Fees) .....	636	727	754
1203 Appropriation (previously unavailable) .....	43	45	.....
1220 Appropriations transferred to other accts [070–0530] .....	-467	-516	-535
1221 Appropriations transferred from other acct [012–4336] .....	63	63	63
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-5	-4	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-45	-49	.....
1260 Appropriations, mandatory (total) .....	225	266	282
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	157	188	188
1701 Change in uncollected payments, Federal sources .....	31	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	188	188	188
1900 Budget authority (total) .....	2,291	1,354	1,371
1930 Total budgetary resources available .....	2,784	1,934	1,841
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-22	.....	.....
1941 Unexpired unobligated balance, end of year .....	580	470	434
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	388	678	422
3010 Obligations incurred, unexpired accounts .....	2,182	1,464	1,407
3011 Obligations incurred, expired accounts .....	15	.....	.....
3020 Outlays (gross) .....	-1,878	-1,720	-1,588
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-15	.....	.....
3050 Unpaid obligations, end of year .....	678	422	241
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-227	-243	-243
3070 Change in uncollected pymts, Fed sources, unexpired .....	-31	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	15	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-243	-243	-243
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	161	435	179
3200 Obligated balance, end of year .....	435	179	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,066	1,088	1,089
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,323	953	954
4011 Outlays from discretionary balances .....	304	480	356
4020 Outlays, gross (total) .....	1,627	1,433	1,310
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-48	-48	-48
4033 Non-Federal sources .....	-131	-140	-140
4040 Offsets against gross budget authority and outlays (total) .....	-179	-188	-188
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-31	.....	.....
4052 Offsetting collections credited to expired accounts .....	22	.....	.....
4060 Additional offsets against budget authority only (total) .....	-9	.....	.....
4070 Budget authority, net (discretionary) .....	1,878	900	901
4080 Outlays, net (discretionary) .....	1,448	1,245	1,122
Mandatory:			
4090 Budget authority, gross .....	225	266	282

Outlays, gross:				
4100	Outlays from new mandatory authority .....	176	218	230
4101	Outlays from mandatory balances .....	75	69	48
4110	Outlays, gross (total) .....	251	287	278
4180	Budget authority, net (total) .....	2,103	1,166	1,183
4190	Outlays, net (total) .....	1,699	1,532	1,400

The Secretary of Agriculture established the Animal and Plant Health Inspection Service (APHIS) on April 2, 1972, under the authority of Reorganization Plan No. 2 of 1953 and other authorities. The mission of the Agency is to protect the health and value of U.S. agricultural and other animal and plant resources that are vulnerable to pests, diseases, predation, natural disasters, or inhumane treatment. APHIS performs this important work using three major areas of activity, as follows:

**Safeguarding and Emergency Preparedness/Response.**—APHIS monitors animal and plant health throughout the world and uses the information to set effective agricultural import policies to prevent the introduction of foreign animal and plant pests and diseases. Should a pest or disease enter the United States, APHIS works cooperatively with other Federal, State, Tribal and industry partners to conduct animal and plant health monitoring programs to rapidly diagnose them and determine if there is a need to establish new pest or disease management programs. APHIS, in conjunction with States, Tribes, industry, and other stakeholders, protects American agriculture by eradicating harmful pests and diseases or, where eradication is not feasible, by minimizing their economic impact. APHIS makes judicious use of resources by identifying instances when neither eradication nor management may be possible. The Agency monitors endemic pests and diseases through surveys to detect their locations and works with States, Tribes, and other programs to implement controls and conduct outreach to prevent the spread of pests and diseases into non-infested parts of the country. The Agency maintains a cadre of trained professionals prepared to respond immediately to potential animal and plant health emergencies. Program personnel investigate reports of suspected presence of foreign and exotic pests and diseases and work with partners to determine an appropriate course of action, including emergency action if necessary. Through its Wildlife Services program, APHIS protects agriculture from damage caused by animal predators through identification, demonstration, and application of the most appropriate methods of control. The Agency's regulations allow the benefits of genetic research to safely enter the marketplace, while protecting against the release of potentially harmful organisms into the environment. APHIS conducts diagnostic laboratory activities that support the Agency's veterinary disease and plant pest prevention, detection, control, and eradication programs. The Agency also provides and directs technology development to support animal and plant protection programs of the Agency and its cooperators at the State, Tribal, national, and international levels.

**Safe Trade and International Technical Assistance.**—Sanitary (animal) and phytosanitary (plant) (SPS) regulations can have a significant impact on market access for the United States as an exporter of agricultural products. APHIS plays a central role in resolving technical trade issues to ensure the smooth and safe movement of agricultural commodities into and out of the United States. APHIS helps to protect the United States from emerging animal and plant pests and diseases while meeting obligations under the World Trade Organization's SPS agreement by assisting developing countries in improving their safeguarding systems. APHIS develops and implements programs designed to identify and reduce agricultural pest and disease threats while they are still outside of U.S. borders, to enhance safe agricultural trade, and to strengthen emergency response preparedness.

**Animal Welfare.**—The Agency conducts regulatory activities to ensure the humane care and treatment of animals, including horses, as required by the Animal Welfare Act of 1966 as amended (7 U.S.C. 2131–2159), and the Horse Protection Act of 1970 as amended (15 U.S.C. 1821–1831). These activities include inspection of certain establishments that handle animals intended for research, exhibition, and sale as pets, and monitoring of certain horse shows.

APHIS' 2017 budget request is \$901.2 million. The budget request includes a significant increase of about \$27 million to improve the breadth and depth of the Agency's readiness to detect, analyze, and respond to foreign and emerging animal health events. The Agency was faced with addressing the largest animal health emergency program in its history during 2015, that of highly pathogenic avian influenza in poultry. While the disease was successfully eliminated, there were several areas of vulnerability identified that need to be strengthened in order to be better prepared for future events. This increase will allow the Agency to hire and train animal health professionals who will be trained to detect and respond to animal health events, as well as invest in tools and tactics to be better prepared to respond to emergencies. The Budget also includes an increase for pre-departure inspections of passenger baggage and cargo moving from Hawaii and Puerto Rico to the mainland United States. This increase will allow APHIS to continue preventing damaging pests from travelling to the mainland United States from these island locations while allowing travel and commerce to continue smoothly. Additionally, APHIS requests increases for activities to support the USDA Antimicrobial Resistance Action Plan and to enhance implementation of the Lacey Act. The proposed reductions include programs where we have achieved success, such as nearing eradication for the pink bollworm; for plant health programs to achieve a more equitable Federal cost-share rate for those programs; for rabies activities outside the current barrier zone; and for one-time increases provided in 2016.

**Object Classification** (in millions of dollars)

Identification code 012-1600-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	419	493	476
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	427	501	484
12.1 Civilian personnel benefits .....	150	170	164
13.0 Benefits for former personnel .....	1	2	2
21.0 Travel and transportation of persons .....	35	31	31
22.0 Transportation of things .....	1	2	2
23.1 Rent, Communications, and Utilities .....	79	79	82
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	1,023	403	381
26.0 Supplies and materials .....	49	43	45
31.0 Equipment .....	33	31	30
41.0 Other grants, subsidies, and contributions .....	1	2	2
42.0 Other insurance claims and indemnities .....	201	18	2
99.0 Direct obligations .....	2,001	1,283	1,226
99.0 Reimbursable obligations .....	181	181	181
99.9 Total new obligations .....	2,182	1,464	1,407

**Employment Summary**

Identification code 012-1600-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5,598	6,359	6,165
2001 Reimbursable civilian full-time equivalent employment .....	1,608	1,624	1,624

**BUILDINGS AND FACILITIES**

For plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, and purchase of fixed equipment or facilities, as authorized by 7 U.S.C. 2250, and acquisition of land as authorized by 7 U.S.C. 428a, \$3,175,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1601-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Buildings and facilities .....	4	7	3
0900 Total new obligations (object class 25.2) .....	4	7	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
1021 Recoveries of prior year unpaid obligations .....	2	4	.....
1050 Unobligated balance (total) .....	3	6	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	6	9	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	5
3010 Obligations incurred, unexpired accounts .....	4	7	3
3020 Outlays (gross) .....	-2	-4	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-4	.....
3050 Unpaid obligations, end of year .....	6	5	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	5
3200 Obligated balance, end of year .....	6	5	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	1
4011 Outlays from discretionary balances .....	2	3	4
4020 Outlays, gross (total) .....	2	4	5
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	2	4	5

The buildings and facilities account provides for plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, purchase of fixed equipment or facilities, and acquisition of land, as needed, for Animal and Plant Health Inspection Service (APHIS) operated facilities, which include animal quarantine stations, plant inspection stations, sterile insect rearing facilities, and laboratories.

For these activities, the 2017 Budget proposes about \$3.2 million which includes funding to address safety issues with several facilities.

**Trust Funds**

MISCELLANEOUS TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-9971-0-7-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, APHIS .....	8	10	10
1140 Foreign Service National Separation Liability Trust Fund, APHIS .....	1	.....	.....
1199 Total current law receipts .....	9	10	10
1999 Total receipts .....	9	10	10
2000 Total: Balances and receipts .....	9	10	10
<b>Appropriations:</b>			
Current law:			
2101 Miscellaneous Trust Funds .....	-9	-10	-10
5099 Balance, end of year .....	.....	.....	.....

MISCELLANEOUS TRUST FUNDS—Continued  
Program and Financing (in millions of dollars)

Identification code 012-9971-0-7-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous trust funds .....	11	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	10	10
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	10	10
1930 Total budgetary resources available .....	21	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 Obligations incurred, unexpired accounts .....	11	10	10
3020 Outlays (gross) .....	-11	-9	-9
3050 Unpaid obligations, end of year .....	3	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9	10	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	8	8
4101 Outlays from mandatory balances .....	7	1	1
4110 Outlays, gross (total) .....	11	9	9
4180 Budget authority, net (total) .....	9	10	10
4190 Outlays, net (total) .....	11	9	9

The following services are financed by fees and miscellaneous contributions advanced by importers, manufacturers, States, organizations, individuals, and others:

*Miscellaneous contributed funds.*—Funds are received from foreign governments, States, local organizations, individuals, and others and are available for animal and plant quarantine inspection and cooperative plant and animal disease and pest control activities (7 U.S.C. 450b, 2220).

Object Classification (in millions of dollars)

Identification code 012-9971-0-7-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	2	1	1
25.2 Other services from non-Federal sources .....	2	2	2
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	11	10	10

Employment Summary

Identification code 012-9971-0-7-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	27	50	50

FOOD SAFETY AND INSPECTION SERVICE

Federal Funds

FOOD SAFETY AND INSPECTION SERVICE

For necessary expenses to carry out services authorized by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act, including not to exceed \$50,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), **[\$1,014,871,000]** \$1,030,405,000; and in addition, \$1,000,000 may be credited to this account from fees collected for the cost of laboratory accreditation as authorized

by section 1327 of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 138f): *Provided*, That funds provided for the Public Health Data Communication Infrastructure system shall remain available until expended: *Provided further*, That no fewer than 148 full-time equivalent positions shall be employed during fiscal year **[2016]** 2017 for purposes dedicated solely to inspections and enforcement related to the Humane Methods of Slaughter Act: *Provided further*, That the Food Safety and Inspection Service shall continue implementation of section 11016 of Public Law 110-246 as further clarified by the amendments made in section 12106 of Public Law 113-79: *Provided further*, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-3700-0-1-554	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Proposed:			
1230 Fees, Food Safety Inspection User Fee Account .....			4
2000 Total: Balances and receipts .....			4
5099 Balance, end of year .....			4

Program and Financing (in millions of dollars)

Identification code 012-3700-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	1,018	1,031	1,030
0801 Salaries and Expenses (Reimbursable) .....	181	175	178
0900 Total new obligations .....	1,199	1,206	1,208
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	90	115	104
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	97	115	104
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,016	1,015	1,030
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	210	180	180
1701 Change in uncollected payments, Federal sources .....	-9		
1750 Spending auth from offsetting collections, disc (total) .....	201	180	180
1900 Budget authority (total) .....	1,217	1,195	1,210
1930 Total budgetary resources available .....	1,314	1,310	1,314
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	115	104	106
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	135	128	140
3010 Obligations incurred, unexpired accounts .....	1,199	1,206	1,208
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-1,192	-1,194	-1,210
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	128	140	138
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-49	-39	-39
3070 Change in uncollected pymts, Fed sources, unexpired .....	9		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-39	-39	-39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	86	89	101
3200 Obligated balance, end of year .....	89	101	99

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,217	1,195	1,210
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,032	1,020	1,033
4011 Outlays from discretionary balances .....	160	174	177
4020 Outlays, gross (total) .....	1,192	1,194	1,210

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	-1
4033	Non-Federal sources .....	-210	-179
4040	Offsets against gross budget authority and outlays (total) ....	-211	-180
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	9	
4052	Offsetting collections credited to expired accounts .....	1	
4060	Additional offsets against budget authority only (total) .....	10	
4070	Budget authority, net (discretionary) .....	1,016	1,015
4080	Outlays, net (discretionary) .....	981	1,014
4180	Budget authority, net (total) .....	1,016	1,015
4190	Outlays, net (total) .....	981	1,014

<b>Memorandum (non-add) entries:</b>			
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	2	2
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	2	2

The primary objective of the Food Safety and Inspection Service (FSIS) is to ensure that meat, poultry, and egg products are safe, wholesome, unadulterated, and properly labeled and packaged, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. In carrying out this mission, FSIS oversight responsibility covers a significant percentage of American spending on food. Providing adequate resources for Federal food safety agencies is a priority of the Administration. The 2017 Budget proposes \$1.03 billion for inspection of meat, poultry and egg products, which is a \$15.5 million increase above the 2016 Enacted level. With these funds, FSIS will fully support all Federal, in-plant and other frontline personnel and the Federal share of State inspection programs, and continue to improve its data infrastructure and modernize its scientific approach to food safety. In addition, the budget proposes a performance based user fee, which will be charged to plants that have sample failures or require additional inspection activities due to regulatory non-compliance.

**FEDERALLY FUNDED INSPECTION ACTIVITIES**

	2015 Actual	2016 est.	2017 est.
<b>Federally inspected establishments:</b>			
Slaughter Plants .....	9	9	9
Processing Plants .....	4,034	4,034	4,034
Combination slaughter and processing plants .....	1,045	1,045	1,045
Talmadge-Aiken plants .....	350	350	350
Import Establishments .....	122	122	122
Egg plants .....	85	85	85
Other plants .....	727	727	727
<b>Federally inspected and passed production (millions of pounds):</b>			
Meat Slaughter .....	45,720	45,720	45,720
Poultry Slaughter .....	59,884	59,884	59,884
Egg products .....	2,938	2,938	2,938
<b>Import/export activity (millions of pounds):</b>			
Meat and poultry imported .....	4,409	5,060	5,575
Meat and poultry exported .....	15,428	15,925	16,725
<b>Intrastate inspection<sup>1</sup></b>			
Intrastate inspection .....	27	27	27
Talmadge-Aiken inspection .....	9	9	9
Number of slaughter and/or processing plants (excludes exempt plants) .....	1,750	1,700	1,700
<b>Compliance activities:</b>			
Investigations and surveillance activities .....	16,201	16,750	17,200
Enforcement actions completed .....	1,643	1,450	1,500
<b>Product Testing (samples analyzed):</b>			
Food Chemistry .....	75	240	240
Food Microbiology .....	61,918	80,000	88,450
Chemical Residues .....	10,012	10,000	10,000
Antibiotic Residues .....	99,834	100,000	100,000
Pathology Samples .....	4,402	4,300	4,300
<b>Egg Products:</b>			
Food microbiology .....	1,701	1,700	1,700
<b>Consumer Education and public outreach:</b>			
Meat and poultry hotline calls received .....	54,861	52,000	50,000
Website visits .....	16,106,446	17,000,000	17,800,000
Electronic messages received .....	16,027	16,500	17,000
Publications distributed .....	451,072	443,000	434,000
E-mail alert service subscribers .....	1,205,920	1,245,920	1,285,920
<b>Epidemiological Investigations:</b>			
Cooperative efforts with State and public health offices .....	28	28	28

Illnesses reported and treated <sup>2</sup> .....	1,543	1,543	1,543
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<sup>1</sup>States with cooperative agreements which are operating programs.  
<sup>2</sup>Data must be collected over a number of years to chart national trends and estimate the incidence of foodborne illness and treatment.

**Object Classification (in millions of dollars)**

Identification code 012-3700-0-1-554	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	530	524
11.3	Other than full-time permanent .....	12	11
11.5	Other personnel compensation .....	47	47
11.9	Total personnel compensation .....	589	582
12.1	Civilian personnel benefits .....	218	217
13.0	Benefits for former personnel .....	1	2
21.0	Travel and transportation of persons .....	37	38
22.0	Transportation of things .....	3	4
23.1	Rental payments to GSA .....	10	10
23.3	Communications, utilities, and miscellaneous charges .....	11	11
24.0	Printing and reproduction .....	1	1
25.1	Advisory and assistance services .....	3	3
25.2	Other services from non-Federal sources .....	33	49
25.3	Other goods and services from Federal sources .....	42	37
25.4	Operation and maintenance of facilities .....	2	1
25.7	Operation and maintenance of equipment .....	1	1
26.0	Supplies and materials .....	12	12
31.0	Equipment .....	3	11
41.0	Grants, subsidies, and contributions .....	51	51
42.0	Insurance claims and indemnities .....	1	1
99.0	Direct obligations .....	1,018	1,031
99.0	Reimbursable obligations .....	181	175
99.9	Total new obligations .....	1,199	1,206

**Employment Summary**

Identification code 012-3700-0-1-554	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	8,938	8,938
2001	Reimbursable civilian full-time equivalent employment .....	22	22

**Trust Funds**

**EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 012-8137-0-7-352	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....		2
<b>Receipts:</b>			
<b>Current law:</b>			
1130	Deposits of Fees, Inspection and Grading of Farm Products, Food Safety and Quality Service .....	14	13
2000	Total: Balances and receipts .....	14	13
<b>Appropriations:</b>			
<b>Current law:</b>			
2101	Expenses and Refunds, Inspection and Grading of Farm Products .....	-14	-11
5099	Balance, end of year .....		2

**Program and Financing (in millions of dollars)**

Identification code 012-8137-0-7-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Expenses and refunds, inspection and grading of farm products .....	10	11
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	8	12
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201	Appropriation (special or trust fund) .....	14	11
1930	Total budgetary resources available .....	22	23

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS—Continued  
**Program and Financing—Continued**

Identification code 012-8137-0-7-352	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	10	11	11
3020 Outlays (gross) .....	-10	-11	-11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	11	11
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	11	11
4180 Budget authority, net (total) .....	14	11	11
4190 Outlays, net (total) .....	10	11	11

Under authority of the Agricultural Marketing Act of 1946, Federal meat and poultry inspection services are provided upon request and for a fee in cases where inspection is not mandated by statute. This service includes: certifying products for export beyond the requirements of export certificates; inspecting certain animals and poultry intended for human food where inspection is not required by statute, such as buffalo, rabbit, and quail; and inspecting products intended for animal consumption.

**Object Classification** (in millions of dollars)

Identification code 012-8137-0-7-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5	6	6
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	8	9	9
12.1 Civilian personnel benefits .....	2	2	2
99.9 Total new obligations .....	10	11	11

**Employment Summary**

Identification code 012-8137-0-7-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	76	76	76

**GRAIN INSPECTION, PACKERS AND STOCKYARDS ADMINISTRATION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Grain Inspection, Packers and Stockyards Administration, **[\$43,057,000] \$43,482,000: Provided,** That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-2400-0-1-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Proposed:			
1230 Fees, Grain Inspection, Packers and Stockyards User Fee Account .....			30
2000 Total: Balances and receipts .....			30
5099 Balance, end of year .....			30

**Program and Financing** (in millions of dollars)

Identification code 012-2400-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Packers and stockyards program .....	23	23	23
0002 Grain regulatory program .....	20	20	20
0900 Total new obligations .....	43	43	43
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	43	43	43
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		3	3
1900 Budget authority (total) .....	43	46	46
1930 Total budgetary resources available .....	43	46	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		3	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	9	6
3010 Obligations incurred, unexpired accounts .....	43	43	43
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-42	-46	-46
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	9	6	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	9	6
3200 Obligated balance, end of year .....	9	6	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	43	46	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35	39	39
4011 Outlays from discretionary balances .....	7	7	7
4020 Outlays, gross (total) .....	42	46	46
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-3	-3
4180 Budget authority, net (total) .....	43	43	43
4190 Outlays, net (total) .....	42	43	43

The Grain Inspection, Packers and Stockyards Administration's (GIPSA) Grain Regulatory Program promotes and enforces the accurate and uniform application of the United States Grain Standards Act (USGSA) and applicable provisions of the Agricultural Marketing Act of 1946. GIPSA identifies, evaluates, and implements new or improved techniques for measuring grain quality. GIPSA also establishes and updates testing and grading standards to facilitate the marketing of U.S. grain, oilseeds, and related products. GIPSA briefs foreign buyers, assesses foreign inspection and weighing techniques, and responds to foreign quality and quantity complaints.

GIPSA's Packers and Stockyards Program (P&SP) promotes fair business practices, financial integrity, and competitive environments to market livestock, meat, and poultry. Through its oversight activities, including monitoring programs, reviews, and investigations, P&SP fosters fair competition, provides payment protection, and guards against deceptive and fraudulent trade practices that affect the movement and price of meat animals and their products. P&SP's work protects consumers and members of the livestock, meat, and poultry industries. P&SP enforces the Packers and Stockyards (P&S) Act, which prohibits unfair, deceptive, and unjust discriminatory practices by market agencies, dealers, stockyards, packers, swine contractors, and live poultry dealers in the livestock, meat packing, and poultry industries. The P&S Act provides an important safety net for livestock producers and poultry growers in rural America. P&SP conducts routine and ongoing regulatory inspections and audits to assess whether subject entities are operating in compliance with the Act, and conducts investigations of potential P&S Act violations identified by either industry complaints or previous GIPSA regulatory inspections. The 2017 Budget

requests \$43.5 million, an increase of \$0.4 million above the 2016 enacted level.

**MAIN WORKLOAD FACTORS**

	2015 actual	2016 est.	2017 est.
<b>Grain Regulatory Program:</b>			
U.S. standards and factors (attribute tests) in effect at end of year	129	129	129
Standards reviews and factors in progress	11	11	6
Standards reviews and factors completed	0	8	5
On-site investigations	14	5	5
Designations renewed	21	15	15
Registration certificates issued	108	105	105
<b>Packers and Stockyards Program:</b>			
Investigations	2,020	2,000	2,000
Regulatory Activities	2,047	2,050	2,050
Livestock market agencies/dealers registered	5,831	5,850	5,850
Stockyards posted	1,256	1,250	1,250
Slaughtering and processing packers subject to the Act (estimated)	4,451	4,450	4,450
Meat distributors, brokers, and dealers subject to the Act (estimated)	2,905	2,900	2,900
Poultry operations subject to the Act	133	130	130

**Object Classification (in millions of dollars)**

Identification code 012-2400-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	20	21	21
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	2	1	.....
23.1 Rental payments to GSA	1	3	3
23.3 Communications, utilities, and miscellaneous charges	.....	.....	1
25.2 Other services from non-Federal sources	.....	1	1
25.3 Other goods and services from Federal sources	10	6	6
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	3	3
99.9 Total new obligations	43	43	43

**Employment Summary**

Identification code 012-2400-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	233	292	292

**LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES**

¶Not to exceed \$55,000,000 (from fees collected) shall be obligated during the current fiscal year for inspection and weighing services: *Provided*, That if grain export activities require additional supervision and oversight, or other uncontrollable factors occur, this limitation may be exceeded by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. ¶ (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 012-4050-0-3-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Limitation on inspection and weighing services	54	55	58
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	18	30	27
1021 Recoveries of prior year unpaid obligations	1	.....	.....
1050 Unobligated balance (total)	19	30	27
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected	65	55	58
1802 Offsetting collections (previously unavailable)	3	.....	.....
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-3	-3	.....
1850 Spending auth from offsetting collections, mand (total)	65	52	58
1900 Budget authority (total)	65	52	58
1930 Total budgetary resources available	84	82	85
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	30	27	27

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	5	4	4
3010 Obligations incurred, unexpired accounts	54	55	58
3020 Outlays (gross)	-54	-55	-58
3040 Recoveries of prior year unpaid obligations, unexpired	-1	.....	.....
3050 Unpaid obligations, end of year	4	4	4
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-9	-9
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	-4	-5	-5
3200 Obligated balance, end of year	-5	-5	-5

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross	65	52	58
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	50	51	58
4101 Outlays from mandatory balances	4	4	.....
4110 Outlays, gross (total)	54	55	58
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources	-8	-7	-7
4123 Non-Federal sources	-57	-48	-51
4130 Offsets against gross budget authority and outlays (total)	-65	-55	-58
4160 Budget authority, net (mandatory)	.....	-3	.....
4170 Outlays, net (mandatory)	-11	.....	.....
4180 Budget authority, net (total)	.....	-3	.....
4190 Outlays, net (total)	-11	.....	.....

**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections	.....	.....	3
5092 Unexpired unavailable balance, EOY: Offsetting collections	.....	3	3
5096 Unexpired unavailable balance, SOY: Appropriations	3	3	3
5098 Unexpired unavailable balance, EOY: Appropriations	3	3	3

The Grain Inspection, Packers and Stockyards Administration (GIPSA) provides a uniform system for the inspection and weighing of grain. Services provided under this system are financed through a fee-supported revolving fund. Fee-supported programs include direct services, supervision activities and administrative functions. Direct services include official grain inspection and weighing by GIPSA employees at certain export ports as well as the inspection of U.S. grain shipped through Canada. GIPSA supervises the inspection and weighing activities performed by its own employees. FGIS supervises 48 official private and state agencies: 36 official private agencies and seven official state agencies that are designated to provide official inspection and/or weighing services in domestic markets; four official state agencies that are delegated to provide mandatory official export inspection and weighing services and designated to provide official domestic inspection and weighing services within the state; and one official state agency that is delegated to provide mandatory official export inspection and weighing services within the state. GIPSA provides an appeal service of original grain inspections and a registration system for the grain exporting firms. Through support from the Association of American Railroads and user fees, GIPSA conducts a railroad track scale testing program. In addition, GIPSA provides grading services, on request, for rice and grain related products under the authority of the Agricultural Marketing Act of 1946.

GIPSA is proposing legislation to eliminate the obligation limitation on fees collected from inspection and weighing services in order to allow GIPSA to fully support the Federal Grain Inspection Service's inspection and weighing program. In order to support these mandatory export services and the voluntary domestic services and continue to meet the demand of the domestic and foreign grain and related commodity markets, the limitation on inspection and weighing services expenses that is currently in place needs to be eliminated. The elimination of the cap will provide GIPSA with the flexibility needed to respond to market needs.

Export grain inspected and/or weighed (million metric tons):	2015 actual	2016 est.	2017 est.
By Federal personnel	82.4	80	80
By delegated states/official agencies	42.9	40	40

**LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES—Continued**

Quantity of grain inspected (official inspections) domestically (million metric tons) .....	180.0	180	180
Number of official grain inspections and reinspections:			
By Federal personnel .....	90,068	90,000	90,000
By delegated states/official agencies .....	3,363,812	3,360,000	3,360,000
Number of appeals (Grain, Rice, and Pulses) .....	4,209	4,200	4,200
Number of appeals to the Board of Appeals and Review (Grain, Rice, and Pulses) .....	476	480	480
Quantity of rice inspected (million metric tons) .....	2.7	2.7	2.7
Quantity of rice exports (million metric tons) .....	3.3	3.3	3.3

**Object Classification (in millions of dollars)**

Identification code 012-4050-0-3-352	2015 actual	2016 est.	2017 est.
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent .....	33	33	34
11.9 Total personnel compensation .....	33	33	34
12.1 Civilian personnel benefits .....	9	9	10
21.0 Travel and transportation of persons .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	8	8	9
99.9 Total new obligations .....	54	55	58

**Employment Summary**

Identification code 012-4050-0-3-352	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	423	371	376

**AGRICULTURAL MARKETING SERVICE**

*Federal Funds*

**MARKETING SERVICES**

For necessary expenses of the Agricultural Marketing Service, **[\$81,223,000] \$81,933,000: Provided**, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

Fees may be collected for the cost of standardization activities, as established by regulation pursuant to law (31 U.S.C. 9701).

**LIMITATION ON ADMINISTRATIVE EXPENSES**

Not to exceed **[\$60,982,000] \$61,227,000** (from fees collected) shall be obligated during the current fiscal year for administrative expenses: *Provided*, That if crop size is understated and/or other uncontrollable events occur, the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 012-2500-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Market news service .....	32	33	34
0002 Inspection and standardization .....	7	8	8
0003 Market protection and promotion .....	60	59	61
0004 Transportation and market development .....	8	9	9
0799 Total direct obligations .....	107	109	112
0801 Marketing Services (Reimbursable) .....	94	65	65
0900 Total new obligations .....	201	174	177
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	50	50
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	51	50	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	53	50	50
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	81	81	82

1221 Appropriations, mandatory:			
Appropriations transferred from other acct [012-4336] ....	30	30	30
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	28	28	30
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	81	65	65
1701 Change in uncollected payments, Federal sources .....	13	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	94	65	65
1900 Budget authority (total) .....	203	174	177
1930 Total budgetary resources available .....	256	224	227
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	50	50	50

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	91	56
3010 Obligations incurred, unexpired accounts .....	201	174	177
3011 Obligations incurred, expired accounts .....	7	.....	.....
3020 Outlays (gross) .....	-184	-209	-179
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	91	56	54
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	14	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	70	35
3200 Obligated balance, end of year .....	70	35	33

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	175	146	147
Outlays, gross:			
4010 Outlays from new discretionary authority .....	103	137	137
4011 Outlays from discretionary balances .....	67	40	12
4020 Outlays, gross (total) .....	170	177	149
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-47	-4	-4
4033 Non-Federal sources .....	-47	-61	-61
4040 Offsets against gross budget authority and outlays (total) ....	-94	-65	-65
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-13	.....	.....
4052 Offsetting collections credited to expired accounts .....	13	.....	.....
4070 Budget authority, net (discretionary) .....	81	81	82
4080 Outlays, net (discretionary) .....	76	112	84
Mandatory:			
4090 Budget authority, gross .....	28	28	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	.....	.....
4101 Outlays from mandatory balances .....	13	32	30
4110 Outlays, gross (total) .....	14	32	30
4180 Budget authority, net (total) .....	109	109	112
4190 Outlays, net (total) .....	90	144	114

Agricultural Marketing Service activities assist producers and handlers of agricultural commodities by providing a variety of marketing-related services. These services continue to become more complex as the volume of agricultural commodities increases, as greater numbers of new processed commodities are developed, and as the agricultural market structure undergoes extensive changes. Marketing changes include increased concentration in food retailing, direct buying, decentralization of processing, growth of interregional competition, vertical integration, and contract farming.

The 2017 Budget requests \$81.9 million for Marketing Services, approximately \$1 million above the 2016 enacted level. The individual Marketing Services activities include:

*Market news service.*—The market news program provides the agricultural community with information pertaining to the movement of agricultural products. This nationwide service provides daily reports on the supply, demand, and price of over 700 commodities on domestic and foreign markets.

**Inspection, grading and standardization.**—Nationally uniform standards of quality for agricultural products are established and applied to specific lots of products to: promote confidence between buyers and sellers; reduce hazards in marketing due to misunderstandings and disputes arising from the use of nonstandard descriptions; and encourage better preparation of uniform quality products for market. Grading services are provided on request for cotton and tobacco.

Quarterly inspection of egg handlers and hatcheries is conducted to ensure the proper disposition of shell eggs unfit for human consumption.

**MARKET NEWS PROGRAM**

	2015 actual	2016 est.	2017 est.
Percentage of reports released on time .....	85%	85%	85%

**COTTON AND TOBACCO USER FEE PROGRAM**

	2015 actual	2016 est.	2017 est.
Cotton classed (bales in millions) .....	15.4	12.5	13.0
Domestic tobacco graded (million pounds) .....	142.9	88.7	100.0
Imported tobacco inspected (million kilograms) .....	15.5	45.3	50.0

**FEDERALLY FUNDED INSPECTION AND MARKETING ACTIVITIES**

	2015 actual	2016 est.	2017 est.
States and Commonwealths with cooperative agreements .....	47	50	50
Percentage of noncomplying shell egg lots that are reprocessed or diverted .....	100%	100%	100%

**STANDARDIZATION ACTIVITIES**

	2015 actual	2016 est.	2017 est.
International and U.S. standards in effect, end of fiscal year .....	593	601	605
Number of commodities covered .....	225	226	226

**Market protection and promotion.**—This program consists of: 1) the research and promotion programs which are designed to improve the competitive position and expand markets for cotton, eggs and egg products, honey, pork, beef, dairy products, potatoes, watermelons, mushrooms, soybeans, fluid milk, popcorn, blueberries, avocados, lamb, mangos and peanuts; 2) the Federal Seed Act; and 3) the administration of the Capper-Volstead Act and the Agricultural Fair Practices Act.

The Pesticide Data program develops comprehensive, statistically defensible information on pesticide residues in food to improve government dietary risk procedures.

Federal seed inspectors conduct tests on seed samples to help ensure truthful labeling of agricultural and vegetable seeds sold in interstate commerce.

The Capper-Volstead Act and the Agricultural Fair Practices Act protect producers against discriminatory practices by handlers, permit producers to engage in cooperative efforts, and ensure that such cooperatives do not engage in practices that monopolize or restrain trade.

The National Organic Program certifies that organically produced food products meet national standards.

**MARKET PROTECTION AND PROMOTION ACTIVITIES**

	2015 actual	2016 est.	2017 est.
<b>Pesticide data program (PDP):</b>			
Number of children's food commodities included in PDP .....	22	18	18
Number of compounds reported by PDP labs .....	483	495	495
<b>Seed Act:</b>			
<b>Interstate investigations:</b>			
Completed .....	255	258	261
Pending .....	269	260	245
Seed samples tested .....	1,894	1,913	1,932
Percentage of cases submitted that are completed .....	86%	87%	89%
<b>Plant Variety Protection Act:</b>			
Number of applications received .....	502	450	450
Certificates of protection issued and abandoned .....	514	520	520
Percentage of board budgets and marketing plans approved within time frame goal .....	100%	100%	100%
<b>Country of Origin Labeling</b>			
Retail compliance reviews .....	3,218	3,000	3,000
Complaints investigated .....	20	20	20
State and Commonwealths with cooperative agreements .....	47	50	50

**Transportation and Market Development.**—This program is designed to enhance the marketing of domestic agricultural commodities by conducting research into more efficient marketing methods and by providing technical assistance to areas interested in improving their food distribution facilities,

and by helping to ensure that the Nation's transportation systems will adequately serve the needs of agriculture and rural areas of the United States.

**WHOLESALE MARKET DEVELOPMENT ACTIVITIES**

	2015 actual	2016 est.	2017 est.
Number of projects completed .....	20	50	65

**TRANSPORTATION SERVICES ACTIVITIES**

	2015 actual	2016 est.	2017 est.
Number of projects completed .....	17	18	19

**Object Classification (in millions of dollars)**

Identification code 012-2500-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	32	33	33
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	34	35	35
12.1 Civilian personnel benefits .....	11	11	12
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	17	17	17
25.3 Other goods and services from Federal sources .....	9	8	8
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	27	28	30
99.0 Direct obligations .....	107	109	112
99.0 Reimbursable obligations .....	94	65	65
99.9 Total new obligations .....	201	174	177

**Employment Summary**

Identification code 012-2500-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	379	408	408
2001 Reimbursable civilian full-time equivalent employment .....	363	445	445

**PAYMENTS TO STATES AND POSSESSIONS**

For payments to departments of agriculture, bureaus and departments of markets, and similar agencies for marketing activities under section 204(b) of the Agricultural Marketing Act of 1946 (7 U.S.C. 1623(b)), \$1,235,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 012-2501-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to states and possessions .....	1	1	1
0002 Specialty crop block grants .....	67	68	73
0900 Total new obligations .....	68	69	74
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	7
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	1
<b>Appropriations, mandatory:</b>			
1221 Transferred from other accounts for the Specialty Crop Block Grant Program [012-4336] .....	73	73	73
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-5	-5	.....
1260 Appropriations, mandatory (total) .....	68	68	73
1900 Budget authority (total) .....	69	69	74
1930 Total budgetary resources available .....	75	76	81
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7	7	7

PAYMENTS TO STATES AND POSSESSIONS—Continued  
Program and Financing—Continued

Identification code 012-2501-0-1-352	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	141	150	149
3010 Obligations incurred, unexpired accounts .....	68	69	74
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-55	-70	-71
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	150	149	152
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	141	150	149
3200 Obligated balance, end of year .....	150	149	152
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	1
Mandatory:			
4090 Budget authority, gross .....	68	68	73
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2		
4101 Outlays from mandatory balances .....	52	69	70
4110 Outlays, gross (total) .....	54	69	70
4180 Budget authority, net (total) .....	69	69	74
4190 Outlays, net (total) .....	55	70	71

Federal-State Marketing Improvement Program grants are made on a matching fund basis to State departments of agriculture to carry out specifically approved value-added programs designed to spotlight local marketing initiatives and enhance marketing efficiency. Under this activity, specialists work with farmers, marketing firms, and other agencies in solving marketing problems and in using research results.

Specialty Crop Block Grant-Farm Bill grants are block grants made to State departments of agriculture to enhance the competitiveness of specialty crops.

Object Classification (in millions of dollars)

Identification code 012-2501-0-1-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	67	68	73
99.9 Total new obligations .....	68	69	74

Employment Summary

Identification code 012-2501-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10

PERISHABLE AGRICULTURAL COMMODITIES ACT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5070-0-2-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	2
Receipts:			
Current law:			
1110 License Fees and Defaults, Perishable Agricultural Commodities Act Fund .....	11	12	12
2000 Total: Balances and receipts .....	12	13	14
Appropriations:			
Current law:			
2101 Perishable Agricultural Commodities Act Fund .....	-11	-11	-11
2103 Perishable Agricultural Commodities Act Fund .....	-1	-1	-1
2132 Perishable Agricultural Commodities Act Fund .....	1	1	
2199 Total current law appropriations .....	-11	-11	-12

2999 Total appropriations .....	-11	-11	-12
5099 Balance, end of year .....	1	2	2

Program and Financing (in millions of dollars)

Identification code 012-5070-0-2-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Perishable Agricultural Commodities Act .....	10	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	12	13
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	11	12	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	11	11	11
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	11	11	12
1930 Total budgetary resources available .....	22	23	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	13	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	1
3010 Obligations incurred, unexpired accounts .....	10	10	10
3020 Outlays (gross) .....	-9	-11	-11
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	2	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	1
3200 Obligated balance, end of year .....	2	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11	11	12
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	10	10
4101 Outlays from mandatory balances .....	4	1	1
4110 Outlays, gross (total) .....	9	11	11
4180 Budget authority, net (total) .....	11	11	12
4190 Outlays, net (total) .....	9	11	11

License fees are deposited in this special fund and are used to meet the costs of administering the Perishable Agricultural Commodities and the Produce Agency Acts (7 U.S.C. 491-497, 499a-499s).

The Acts are intended to ensure equitable treatment to farmers and others in the marketing of fresh and frozen fruits and vegetables. Commission merchants, dealers, and brokers handling these products in interstate and foreign commerce are licensed. Complaints of violations are investigated and violations dealt with by: a) informal agreements between the two parties; b) formal decisions involving payment of reparation awards; c) suspension or revocation of license and/or publication of the facts; or d) monetary penalty in lieu of license suspension or revocation.

The Perishable Agricultural Commodities Act requires traders to have trust assets on hand to meet their obligations to fruit and vegetable suppliers. To preserve their trust and establish their rights ahead of other creditors, unpaid suppliers file notice with both the Department and their debtors that payment is due. The Act provides permanent authority to the Secretary of Agriculture to set license and reparation complaint filing fees.

PERISHABLE AGRICULTURAL COMMODITIES ACT ACTIVITIES

	2015 actual	2016 est.	2017 est.
Percentage of informal reparation complaints completed within time frame goal .....	88%	90%	90%

Object Classification (in millions of dollars)

Identification code 012-5070-0-2-352	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5

12.1	Civilian personnel benefits .....	2	2	2
25.3	Other goods and services from Federal sources .....	3	3	3
99.9	Total new obligations .....	10	10	10

**Employment Summary**

Identification code 012-5070-0-2-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	64	77	77

**FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)**  
(INCLUDING TRANSFERS OF FUNDS)

Funds available under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c), shall be used only for commodity program expenses as authorized therein, and other related operating expenses, except for: (1) transfers to the Department of Commerce as authorized by the Fish and Wildlife Act of August 8, 1956; (2) transfers otherwise provided in this Act; and (3) not more than **[\$20,489,000]** \$20,705,000 for formulation and administration of marketing agreements and orders pursuant to the Agricultural Marketing Agreement Act of 1937 and the Agricultural Act of 1961. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-5209-0-2-605	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	19,308	20,764	21,477
Receipts:			
Current law:			
1110 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32) .....	10,933	11,047	11,277
1140 General Fund Payment, Funds for Strengthening Markets, Income, and Supply (section 32) .....		4	1
1199 Total current law receipts .....	10,933	11,051	11,278
1999 Total receipts .....	10,933	11,051	11,278
2000 Total: Balances and receipts .....	30,241	31,815	32,755
Appropriations:			
Current law:			
2101 Funds for Strengthening Markets, Income, and Supply (section 32) .....	-9,715	-10,317	-10,930
2103 Funds for Strengthening Markets, Income, and Supply (section 32) .....	-188	-223	-125
2132 Funds for Strengthening Markets, Income, and Supply (section 32) .....			311
2132 Funds for Strengthening Markets, Income, and Supply (section 32) .....	203	77	
2134 Funds for Strengthening Markets, Income, and Supply (section 32) .....	223	125	
2199 Total current law appropriations .....	-9,477	-10,338	-10,744
2999 Total appropriations .....	-9,477	-10,338	-10,744
5099 Balance, end of year .....	20,764	21,477	22,011

**Program and Financing** (in millions of dollars)

Identification code 012-5209-0-2-605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Child nutrition program purchases .....	465	465	465
0002 Emergency surplus removal .....	306	107	102
0004 State option contract .....		5	5
0005 Removal of defective commodities .....		3	3
0006 Disaster Relief .....	4	5	5
0007 2008 Farm Bill Specialty Crop Purchases .....		206	206
0091 Subtotal, Commodity program payments .....	775	791	786
0101 Administrative expenses .....	54	54	56
0192 Total direct program .....	829	845	842
0799 Total direct obligations .....	829	845	842
0811 Funds for Strengthening Markets, Income, and Supply (section 32) (Reimbursable) .....	4	5	5
0900 Total new obligations .....	833	850	847

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1132 Appropriations temporarily reduced .....			-311
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9,715	10,317	10,930
1203 Appropriation (previously unavailable) .....	188	223	125
1220 Transferred to Food and Nutrition Service [012-3539] .....	-8,515	-9,131	-9,756
1220 Transferred to Department of Commerce [013-5139] .....	-144	-146	-146
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-216	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-203	-77	
1234 Appropriations precluded from obligation .....	-223	-125	
1260 Appropriations, mandatory (total) .....	818	845	1,153
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	15	5	5
1900 Budget authority (total) .....	833	850	847
1930 Total budgetary resources available .....	833	850	847

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	293	371	353
3010 Obligations incurred, unexpired accounts .....	833	850	847
3020 Outlays (gross) .....	-755	-868	-849
3050 Unpaid obligations, end of year .....	371	353	351
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	293	371	353
3200 Obligated balance, end of year .....	371	353	351

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....			-311
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-311
Mandatory:			
4090 Budget authority, gross .....	833	850	1,158
Outlays, gross:			
4100 Outlays from new mandatory authority .....	475	515	823
4101 Outlays from mandatory balances .....	280	353	337
4110 Outlays, gross (total) .....	755	868	1,160
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-14	-5	-5
4123 Non-Federal sources .....	-1		
4130 Offsets against gross budget authority and outlays (total) .....	-15	-5	-5
4160 Budget authority, net (mandatory) .....	818	845	1,153
4170 Outlays, net (mandatory) .....	740	863	1,155
4180 Budget authority, net (total) .....	818	845	842
4190 Outlays, net (total) .....	740	863	844

The Agriculture Appropriations Act of 1935 (7 U.S.C. 612c) established the Section 32 program which provides that 30 percent of U.S. Customs receipts for each calendar year are transferred to this account within the Department of Agriculture. The purpose of the Section 32 program is three-fold: to encourage the exportation of agricultural commodities and products, to encourage domestic consumption of agricultural products by diverting them, and to reestablish farmers' purchasing power by making payments in connection with the normal production of any agricultural commodity for domestic consumption. There is also a requirement that the funds available under Section 32 shall be principally devoted to perishable agricultural commodities (e.g., fruits and vegetables). Program funds are used for a variety of purposes in support of the three primary purposes specified in the program's authorizing legislation. Funds may be used to stabilize market conditions through purchasing surplus commodities which are in turn, distributed to nutrition assistance programs. Program funds are also used to purchase commodities that are distributed to schools as part of Child Nutrition Programs entitlements. Furthermore, funds are transferred to the Food and Nutrition Service for commodity purchases under section 6 of the National School Lunch Act and other authorities specified in the Child Nutrition Programs statutes.

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)—Continued

Object Classification (in millions of dollars)

Identification code 012-5209-0-2-605	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	17	17
12.1 Civilian personnel benefits .....	5	6	6
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	6	6	7
25.3 Other goods and services from Federal sources .....	28	29	30
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials: Grants of commodities to States .....	770	780	775
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	829	845	842
99.0 Reimbursable obligations .....	4	5	5
99.9 Total new obligations .....	833	850	847

Employment Summary

Identification code 012-5209-0-2-605	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	152	172	172
2001 Reimbursable civilian full-time equivalent employment .....	8	31	31

Trust Funds

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8015-0-7-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			2
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits of Fees, Inspection and Grading of Farm Products, AMS .....	153	155	155
1140 Interest on Investments in Public Debt Securities, AMS .....			1
1140 Payments from General Fund, Wool Research, Development, and Promotion Trust Fund .....	2	2	2
1199 Total current law receipts .....	155	157	158
1999 Total receipts .....	155	157	158
2000 Total: Balances and receipts .....	155	157	160
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Expenses and Refunds, Inspection and Grading of Farm Products .....	-155	-155	-155
5099 Balance, end of year .....		2	5

Program and Financing (in millions of dollars)

Identification code 012-8015-0-7-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Dairy products .....	6	7	7
0002 Specialty Crops .....	66	65	65
0003 Meat grading .....	12	32	32
0004 Poultry products .....	56	35	35
0005 Miscellaneous agricultural commodities .....	40	30	32
0900 Total new obligations .....	180	169	171
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	71	66	66
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	79	66	66
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	155	155	155
1221 Appropriations Farm Bill (AMA SPM, and NOCS) transferred from other accts [012-4336] .....	13	15	15
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	

1260 Appropriations, mandatory (total) .....	167	169	170
1930 Total budgetary resources available .....	246	235	236
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	66	66	65

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	32	32
3010 Obligations incurred, unexpired accounts .....	180	169	171
3020 Outlays (gross) .....	-169	-169	-170
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	32	32	33
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	29	32	32
3200 Obligated balance, end of year .....	32	32	33

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	167	169	170
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	86	118	119
4101 Outlays from mandatory balances .....	83	51	51
4110 Outlays, gross (total) .....	169	169	170
4180 Budget authority, net (total) .....	167	169	170
4190 Outlays, net (total) .....	169	169	170

Expenses and refunds, inspection and grading of farm products.—The Agricultural Marketing Service's commodity grading programs provide grading, examination, and certification services for a wide variety of fresh and processed food commodities using federally approved grade standards and purchase specifications. Commodities graded include poultry, livestock, meat, dairy products, and fresh and processed fruits and vegetables. These programs use official grade standards which reflect the relative quality of a particular food commodity based on laboratory testing and characteristics such as taste, color, weight, and physical condition. Producers voluntarily request grading and certification services which are provided on a fee for service basis.

Object Classification (in millions of dollars)

Identification code 012-8015-0-7-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	71	72	73
11.3 Other than full-time permanent .....	7	8	8
11.5 Other personnel compensation .....	11	10	10
11.9 Total personnel compensation .....	89	90	91
12.1 Civilian personnel benefits .....	29	27	27
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	10	10	10
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	3	2	3
25.2 Other services from non-Federal sources .....	7	7	7
25.3 Other goods and services from Federal sources .....	22	13	12
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	14	14	15
99.9 Total new obligations .....	180	169	171

Employment Summary

Identification code 012-8015-0-7-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,233	1,353	1,353

MILK MARKET ORDERS ASSESSMENT FUND

Program and Financing (in millions of dollars)

Identification code 012-8412-0-8-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Administration .....	44	51	52
0802 Marketing service .....	8	8	8

0900	Total new obligations .....	52	59	60
<b>Budgetary resources:</b>				
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	52	59	60
1802	Offsetting collections (previously unavailable) .....	4		
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-4		
1850	Spending auth from offsetting collections, mand (total) .....	52	59	60
1930	Total budgetary resources available .....	52	59	60

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	52	59	60
3020	Outlays (gross) .....	-52	-59	-60

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	52	59	60
Outlays, gross:				
4100	Outlays from new mandatory authority .....	52	59	60
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-52	-59	-60
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The Secretary of Agriculture is authorized by the Agricultural Marketing Agreement Act of 1937, as amended under certain conditions to issue Federal milk marketing orders establishing minimum prices which handlers are required to pay for milk purchased from producers. There are currently 10 Federally-sanctioned milk market orders in operation.

Market administrators are appointed by the Secretary and are responsible for carrying out the terms of specific marketing orders. Their operating expenses, partly financed by assessments on regulated handlers and partly by deductions from producers, are reported to the Agricultural Marketing Service. The majority of these funds are collected and deposited in checking and savings accounts in local banks, and disbursed directly by the market administrator. A portion of the funds collected are invested in securities such as certificates of deposit.

Expenses of local offices are met from an administrative fund and a marketing service fund, which are prescribed in each order. The administrative fund is derived from prorated handler assessments. The marketing service fund of the individual order disseminates market information to producers who are not members of a qualified cooperative. It also provides for the verification of the weights, sampling, and testing of milk from these producers. The cost of these services is borne by such producers.

The maximum rates for administrative assessment and for marketing services are set forth in each order and adjustments below these rates are made from time to time upon recommendations by the market administrator and upon approval of the Agricultural Marketing Service to provide reserves at about a six month operating level. Upon termination of any order, the statute provides for distributing the proceeds from net assets pro rata to contributing handlers or producers, as the case may be.

**Object Classification** (in millions of dollars)

Identification code 012-8412-0-8-351	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	33	34
11.3	Other than full-time permanent .....	1	1
11.5	Other personnel compensation .....	1	1
11.9	Total personnel compensation .....	30	35
12.1	Civilian personnel benefits .....	10	11
21.0	Travel and transportation of persons .....	3	3
23.2	Rental payments to others .....	4	4
23.3	Communications, utilities, and miscellaneous charges .....	2	2
25.2	Other services from non-Federal sources .....	1	1
26.0	Supplies and materials .....	1	1
31.0	Equipment .....	1	2
99.9	Total new obligations .....	52	59

**Employment Summary**

Identification code 012-8412-0-8-351	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	348	359	359

**RISK MANAGEMENT AGENCY**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Risk Management Agency, **[\$74,829,000]** \$66,615,000: Provided, That not to exceed \$20,000,000 of the funds made available under section 508(b)(5)(D) of the Federal Crop Insurance Act (7 U.S.C 1508(b)(5)(D)) may be transferred to the Risk Management Agency Salaries and Expense Account: Provided further, That not to exceed \$1,000 shall be available for official reception and representation expenses, as authorized by 7 U.S.C. 1506(i). (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-2707-0-1-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Salaries and Expenses .....	79	75
0801	Reimbursable program activity (CAT Fee Transfer) .....		
0900	Total new obligations .....	79	75

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2	2
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	75	67
1121	Appropriations transferred from other acct [012-4085] .....	6	8
1160	Appropriation, discretionary (total) .....	81	75
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [012-4085] .....		9
Spending authority from offsetting collections, mandatory:			
1811	Spending authority from offsetting collections transferred from other accounts [012-4085] .....		20
1900	Budget authority (total) .....	81	75
1930	Total budgetary resources available .....	81	77
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	15	17
3010	Obligations incurred, unexpired accounts .....	79	75
3020	Outlays (gross) .....	-77	-75
3050	Unpaid obligations, end of year .....	17	17
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	15	17
3200	Obligated balance, end of year .....	17	17

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	81	75
Outlays, gross:			
4010	Outlays from new discretionary authority .....	65	60
4011	Outlays from discretionary balances .....	12	15
4020	Outlays, gross (total) .....	77	75
Mandatory:			
4090	Budget authority, gross .....		29
Outlays, gross:			
4100	Outlays from new mandatory authority .....		23
4180	Budget authority, net (total) .....	81	75
4190	Outlays, net (total) .....	77	75

The Risk Management Agency (RMA) was established under provisions of the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127, approved April 4, 1996. RMA is responsible for administration and oversight of the crop insurance program as authorized under the Federal Crop Insurance Act (7 U.S.C. 1501 et seq.). This account

SALARIES AND EXPENSES—Continued

includes resources to maintain ongoing operations of the Federal crop insurance program and other functions assigned to RMA such as risk management education. The 2017 Budget requests \$66.6 million in discretionary funds. In addition, this funding is enhanced by the requested appropriations to transfer and use the \$20 million in mandatory offsetting collections generated from crop insurance fees and contained in the Federal Crop Insurance Corporation (FCIC) Fund account. RMA also plans to transfer \$9 million from mandatory FCIC funding for reviews, compliance and integrity under section 516(b)(2)(C) to the S&E account in 2017. By transferring these funds into the S&E account, RMA will be able to use these funds more efficiently and flexibly to maintain operations.

The Federal crop insurance program is delivered through private insurance companies. Certain administrative expenses incurred by the companies are reimbursed through mandatory funding that is reflected in the FCIC Fund account. The funding in this account appropriately covers administrative activities for RMA. RMA is also provided approximately \$27 million in additional mandatory funding that is authorized in the Farm Bill for specific administrative and IT related costs, and spent directly out of the FCIC fund.

Object Classification (in millions of dollars)

Identification code 012-2707-0-1-351	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	40	41	41
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	42	43	43
12.1 Civilian personnel benefits .....	13	13	13
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	2	3	5
25.3 Other goods and services from Federal sources .....	5	2	2
25.7 Operation and maintenance of equipment .....	12	9	8
99.0 Direct obligations .....	79	75	76
99.0 Reimbursable obligations .....			20
99.9 Total new obligations .....	79	75	96

Employment Summary

Identification code 012-2707-0-1-351	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	464	462	462

CORPORATIONS

The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

FEDERAL CROP INSURANCE CORPORATION FUND

For payments as authorized by section 516 of the Federal Crop Insurance Act (7 U.S.C. 1516), such sums as may be necessary, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-4085-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Indemnities .....	5,206	5,438	6,264
0002 Delivery Expenses .....	1,413	1,351	1,352

0003 Underwriting Gains .....	1,105	1,012	1,157
0004 Federal Crop Insurance Act Initiatives .....	45	56	56
0799 Total direct obligations .....	7,769	7,857	8,829
0801 Reimbursable program - indemnities .....	3,486	3,429	3,866
0802 Reimbursable program - program related IT .....	1	20	
0899 Total reimbursable obligations .....	3,487	3,449	3,866
0900 Total new obligations .....	11,256	11,306	12,695

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	569	569	570
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	570	569	570
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	7,779	7,871	8,849
1220 Appropriations transferred to other accts [012-0502] .....	-5	-5	
1220 Appropriations transferred to other accts [012-0520] .....			-5
1220 Appropriations transferred to other acct [012-2707] .....	-6	-8	-9
1221 Appropriations transferred from other acct [012-4336] .....	4	4	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	-4	
1260 Appropriations, mandatory (total) .....	7,768	7,858	8,839
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	3,489	3,449	3,886
1810 Spending authority from offsetting collections transferred to other accounts [012-2707] .....			-20
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	3,487	3,449	3,866
1900 Budget authority (total) .....	11,255	11,307	12,705
1930 Total budgetary resources available .....	11,825	11,876	13,275
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	569	570	580

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,214	2,707	1,277
3010 Obligations incurred, unexpired accounts .....	11,256	11,306	12,695
3020 Outlays (gross) .....	-10,762	-12,736	-12,690
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	2,707	1,277	1,282
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,214	2,707	1,277
3200 Obligated balance, end of year .....	2,707	1,277	1,282

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	11,255	11,307	12,705
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	8,738	10,164	12,263
4101 Outlays from mandatory balances .....	2,024	2,572	427
4110 Outlays, gross (total) .....	10,762	12,736	12,690
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-3,489	-3,449	-3,886
4180 Budget authority, net (total) .....	7,766	7,858	8,819
4190 Outlays, net (total) .....	7,273	9,287	8,804

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	2	4	4
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	4	4	4
5096 Unexpired unavailable balance, SOY: Appropriations .....	5	9	13
5098 Unexpired unavailable balance, EOY: Appropriations .....	9	13	13

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	7,766	7,858	8,819
Outlays .....	7,273	9,287	8,804
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-1,259
Outlays .....			-1,259
<b>Total:</b>			
Budget Authority .....	7,766	7,858	7,560
Outlays .....	7,273	9,287	7,545

FCIC is administered by the Risk Management Agency (RMA), and provides economic stability to agriculture through crop insurance. The Federal crop insurance program includes products providing crop yield and revenue insurance, pasture, rangeland forage, and livestock insurance, as well as other educational and risk mitigation initiatives/tools. The Federal crop insurance program provides farmers with a risk management program that protects against agricultural production losses due to unavoidable causes such as drought, excessive moisture, hail, wind, lightning, and insects. In addition to these causes, revenue insurance programs are available to protect against loss of revenue. Federal crop insurance is available for more than 350 different commodities in over 3,066 counties covering all 50 states, and Puerto Rico. For the 2015 Crop Year, there were 1.2 million policies written with over \$9.7 billion in premiums.

Federal crop insurance policies are sold and serviced by 18 private crop insurance companies that share in the risk on the policies they sell under terms set out by USDA's Standard Reinsurance Agreement (SRA). The risk sharing is designed to be in favor of the companies, not one for one with the government. In most years the companies realize underwriting gains. In bad years, the companies' underwriting losses are minimalized because the government takes on more of the risk and ultimately back-stops the program after a certain level of loss. Currently, the government provides companies, on average, \$1.1 billion a year in underwriting gains. In addition, the government pays the companies an Administrative and Operating (A&O) subsidy to offset the costs incurred to carry out the program. They are reimbursed on average for about 14 percent of the premiums sold. The government currently pays \$1.4 billion annually for A&O. For the 2017 Budget, the payments to the companies are projected to be \$2.5 billion in combined subsidies.

The 2017 Budget requests funding to support \$12.7 billion in obligations. Funding estimates for 2016 and 2017 as well as the outyears are based on a 1.0 loss ratio, which is the statutory target loss ratio used for estimating future crop insurance costs.

The minimum level of coverage is Catastrophic (CAT) crop insurance, which compensates the farmer for losses exceeding 50 percent of the individual's average yield at 55 percent of the expected market price; the premium is entirely subsidized. The cost to the producer for CAT coverage is an annual administrative fee of \$300 per crop per county.

Additional coverage is available to producers and is commonly referred to as "buy-up" coverage. Policyholders can elect to be paid up to 100 percent of the market price established by FCIC for each unit of production their actual yield is less than the individual yield guarantee. Premium rates for additional coverage depend on the level of protection selected and vary from crop to crop and county to county. They also depend on the producer's average production history (APH). Producers are assessed a fee of \$30 per crop, per county, in addition to a share of the premium. The additional levels of insurance coverage are more attractive to farmers due to availability of optional units, other policy provisions not available with CAT coverage, and the ability to obtain a level of protection that permits them to use crop insurance as loan collateral and to achieve greater financial security.

Revenue protection for specified products is provided by extending traditional crop insurance protection, based on actual production history, to include price variability based on futures market prices. Producers have a choice of revenue protection (protection against loss of revenue caused by low prices, low yields, or a combination of both) or yield protection (protection for production losses only) within one Basic Provision and the applicable Crop Provision.

Currently for revenue protection, the farmer can opt to cover the projected or the harvest price. Traditional revenue insurance only protects against a projected price, where the farmer is guaranteed a price at the time of planting. Revenue coverage that protects the price at the time of harvest guarantees the price to the farmer for the higher of the projected price or the harvest price. This additional revenue protection allows farmers to hedge against low prices at harvest. The harvest price protection policies

are more costly than traditional revenue coverage and therefore more heavily subsidized by the government. Almost all farmers choose the harvest price option because taxpayers pay such a large portion of the extra premium.

A crop insurance policy also contains coverage for when a producer is prevented from planting their crop due to weather and other perils. When an insured producer is unable to plant their crop within the planting time period because of excessive drought or moisture, they may file a prevented planting claim, which pays a portion of their full coverage level. It is optional for the producer to plant a second crop on the acres. If the producer does, the prevented planting claim on the first crop is reduced and the producer's Average Production History (APH) is updated to incorporate that year. If the producer does not plant a second crop, they get their full prevented planting claim, and their APH is not affected in subsequent years for premium calculation purposes. USDA recently conducted a study to determine if the prevented planting costs were accurately priced for all crops and has considered policy changes for prevented planting due to the study's findings. In addition, the 2015 Budget includes a proposal to reform prevented planting coverage.

The following table illustrates Crop Year statistics as of September 30, 2015. Crop Year is generally all activity for crops from July 1-June 30 of a given year. For the 2015 Crop Year the data includes actuals through December of 2015.

	2015 est.	2016 est.	2017 est.
Number of States .....	50	50	50
Number of counties .....	3,066	3,066	3,066
Insurance in force (millions) .....	101,764	99,821	103,026
Insured acreage (millions) .....	297	317	334
Producer premium (millions) .....	3,645	3,837	3,998
Premium subsidy (millions) .....	6,016	6,265	6,507
Total premium (millions) .....	9,661	10,102	10,505
Indemnities (millions) .....	7,245	10,102	10,505
Loss ratio .....	.75	1.00	1.00

**Financing.**—The Corporation is authorized under the Federal Crop Insurance Act, as amended, to use funds from the issuance of capital stock which provides working capital for the Corporation.

Receipts, which are for deposit to this fund, mainly come from premiums paid by farmers. The principal payments from this fund are for indemnities to insured farmers, and administrative expenses for approved insurance providers.

Premium subsidies are authorized by section 508(b) of the Federal Crop Insurance Act, as amended, and are received through appropriations.

The following table illustrates premium subsidies and indemnities for all crop years as expected to occur during the period of October 1-September 30 for fiscal years 2016 and 2017 .

#### PREMIUM AND SUBSIDY

	[In millions of dollars]	
	2016 est.	2017 est.
Premiums:		
Additional coverage premium subsidy .....	5,281	6,129
Catastrophic coverage premium subsidy .....	157	135
Subtotal, premium subsidy .....	5,438	6,264
Producer premium .....	3,449	3,866
Total premiums .....	8,887	10,130
Indemnities:		
Additional coverage .....	8,730	9,995
Catastrophic coverage .....	157	135
Total indemnities .....	8,887	10,130

#### NET INCOME OR LOSS (-) ON INSURANCE OPERATIONS

	[In millions of dollars]	
	2016 est.	2017 est.
Producer premium less indemnities <sup>1</sup> .....	-5,487	-6,313
Interest expense, net .....	0	0

FEDERAL CROP INSURANCE CORPORATION FUND—Continued  
NET INCOME OR LOSS (-) ON INSURANCE OPERATIONS—Continued

	2016 est.	2017 est.
Delivery expenses	-1,351	-1,352
Other income or expense, net (CAT fees)	29	29
Federal Crop Insurance Act Initiatives	-57	-61
Reinsurance underwriting gain (+) or loss (-)	-1,012	-1,157
<b>Net income or loss (-)</b>	<b>-7,878</b>	<b>-8,854</b>

<sup>1</sup>Totals have been adjusted by \$49 million to account for CAT fees, which are specifically itemized in net later in the table.

Object Classification (in millions of dollars)

Identification code 012-4085-0-3-351	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services-Agriculture Risk Protection Act of 2000			
Initiatives	45	56	56
25.2 Other services from non-Federal sources	2,518	2,363	2,509
42.0 Insurance claims and indemnities (reinsured buyup)	5,206	5,438	6,264
99.0 Direct obligations	7,769	7,857	8,829
42.0 Reimbursable obligations: Insurance claims, indemnities and program related IT	3,487	3,449	3,866
99.0 Reimbursable obligations	3,487	3,449	3,866
99.9 Total new obligations	11,256	11,306	12,695

FEDERAL CROP INSURANCE CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-4085-4-3-351	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			-1,259
1900			-1,259
1930			-1,259
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			-1,259
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			1,259
3050			1,259
Memorandum (non-add) entries:			
3200			1,259
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			-1,259
Outlays, gross:			
4100			-1,259
4180			-1,259
4190			-1,259

The 2017 Budget includes two proposals that are designed to optimize the current crop insurance program so that it will continue to provide a quality safety net at a lower cost:

1. Reduce premium subsidy by 10 percentage points for revenue coverage that includes additional coverage for the price at harvest. This would simplify revenue insurance by reducing indemnity payments based on the higher of the market price right before planting or the harvest price. This would, in turn, reduce the potential for "windfall" profits from this additional coverage. Under this coverage, farmers pay an out-of-pocket premium which more closely matches the market price of the coverage purchased. As a result, the number of farmers choosing the more expensive coverage for price hedging will decrease. Over 10 years the government will save \$16.9 billion, of which 7.6 percent will be from subsidies that the government pays the insurance companies.

2. Reform the prevented planting program by: eliminating prevented planting optional +5 and +10 coverage, and requiring a 60 percent trans-

itional yield be applied to the producer's Actual Production History (APH) who receives a prevented planting payment. This is expected to save \$1.1 billion over 10 years and improve the accuracy of the prevented planting coverage as well as promote additional food production.

FARM SERVICE AGENCY  
Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Farm Service Agency, **[\$1,200,180,000]** \$1,209,751,000: *Provided*, **¶** That not more than 50 percent of the \$129,546,000 made available under this heading for information technology related to farm program delivery, including the Modernize and Innovate the Delivery of Agricultural Systems and other farm program delivery systems, may be obligated until the Secretary submits to the Committees on Appropriations of both Houses of Congress a plan for expenditure that (1) identifies for each project/investment over \$25,000 (a) the functional and performance capabilities to be delivered and the mission benefits to be realized, (b) the estimated lifecycle cost, including estimates for development as well as maintenance and operations, and (c) key milestones to be met; (2) demonstrates that each project/investment is, (a) consistent with the Farm Service Agency Information Technology Roadmap, (b) being managed in accordance with applicable lifecycle management policies and guidance, and (c) subject to the applicable Department's capital planning and investment control requirements; and (3) has been reviewed by the Government Accountability Office and approved by the Committees on Appropriations of both Houses of Congress: *Provided further*, That the agency shall submit a report by the end of the fourth quarter of fiscal year 2016 to the Committees on Appropriations and the Government Accountability Office, that identifies for each project/investment that is operational (a) current performance against key indicators of customer satisfaction, (b) current performance of service level agreements or other technical metrics, (c) current performance against a pre-established cost baseline, (d) a detailed breakdown of current and planned spending on operational enhancements or upgrades, and (e) an assessment of whether the investment continues to meet business needs as intended as well as alternatives to the investment: *Provided further*, **¶** That the Secretary is authorized to use the services, facilities, and authorities (but not the funds) of the Commodity Credit Corporation to make program payments for all programs administered by the Agency: *Provided further*, That other funds made available to the Agency for authorized activities may be advanced to and merged with this account: *Provided further*, That funds made available to county committees shall remain available until expended: *Provided further*, That none of the funds available to the Farm Service Agency shall be used to close Farm Service Agency county offices: *Provided further*, That none of the funds available to the Farm Service Agency shall be used to permanently relocate county based employees that would result in an office with two or fewer employees without prior notification and approval of the Committees on Appropriations of both Houses of Congress: **¶** (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0600-0-1-351	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Agricultural Sector Support	1,186	1,227	1,210
0300	Subtotal, direct program	1,186	1,227	1,210
0801	Farm loans	307	307	307
0802	Other programs	154	77	45
0803	Other Credit Programs	2	3	3
0899	Total reimbursable obligations	463	387	355
0900	Total new obligations	1,649	1,614	1,565
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	35	37	
1012	Unobligated balance transfers between expired and unexpired accounts	28		
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	64	37	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,200	1,200	1,210

1700	Spending authority from offsetting collections, discretionary:			
	Collected .....	399	387	355
1701	Change in uncollected payments, Federal sources .....	40		
1750	Spending auth from offsetting collections, disc (total) .....	439	387	355
1900	Budget authority (total) .....	1,639	1,587	1,565
1930	Total budgetary resources available .....	1,703	1,624	1,565
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-17	-10	
1941	Unexpired unobligated balance, end of year .....	37		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	273	331	219
3010	Obligations incurred, unexpired accounts .....	1,649	1,614	1,565
3011	Obligations incurred, expired accounts .....	13		
3020	Outlays (gross) .....	-1,589	-1,726	-1,563
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-14		
3050	Unpaid obligations, end of year .....	331	219	221
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-59	-59
3070	Change in uncollected pymts, Fed sources, unexpired .....	-40		
3071	Change in uncollected pymts, Fed sources, expired .....	23		
3090	Uncollected pymts, Fed sources, end of year .....	-59	-59	-59
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	231	272	160
3200	Obligated balance, end of year .....	272	160	162
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,639	1,587	1,565
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,342	1,395	1,371
4011	Outlays from discretionary balances .....	247	331	192
4020	Outlays, gross (total) .....	1,589	1,726	1,563
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-419	-387	-355
4040	Offsets against gross budget authority and outlays (total) .....	-419	-387	-355
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-40		
4052	Offsetting collections credited to expired accounts .....	20		
4060	Additional offsets against budget authority only (total) .....	-20		
4070	Budget authority, net (discretionary) .....	1,200	1,200	1,210
4080	Outlays, net (discretionary) .....	1,170	1,339	1,208
4180	Budget authority, net (total) .....	1,200	1,200	1,210
4190	Outlays, net (total) .....	1,170	1,339	1,208

The Farm Service Agency (FSA) was established October 3, 1994, pursuant to the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, P.L. 103-354. The Department of Agriculture Reorganization Act of 1994 was amended on April 4, 1996, by the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127. FSA administers a variety of activities, such as farm income support programs through various loans and payments; the Conservation Reserve Program (CRP); the Emergency Conservation Program; the Hazardous Waste Management Program; the Commodity Operation Programs including the warehouse examination function; farm ownership, farm operating, emergency disaster, and other loan programs; and the Noninsured Crop Disaster Assistance Program (NAP), which provides crop loss protection for growers of many crops for which crop insurance is not available. FSA also assists in the administration of several conservation cost-share programs financed by the Commodity Credit Corporation (CCC), including the Grasslands Reserve Program (GRP). In addition, FSA currently provides certain administrative support services to the Foreign Agricultural Service (FAS) and to the Risk Management Agency (RMA). The authority for most FSA programs is continued in the Agricultural Act of 2014, the 2014 Farm Bill.

This consolidated administrative expenses account includes funds to cover expenses of programs administered by, and functions assigned to, FSA. The funds consist of a direct appropriation, transfers from program loan accounts under credit reform procedures, user fees, and advances and reimbursements from other sources. This is a consolidated account for ad-

ministrative expenses of national, regional, State, and county offices. The 2017 Budget requests a total of \$1.5 billion for administrative expenses.

USDA's Service Center Agencies comprise FSA, Natural Resources Conservation Service, and Rural Development offices that act as separate franchises, with offices often located adjacent to each other. Prior efforts to improve the efficiency of USDA's county-based offices have resulted in significant co-location and introduction of new information technology to simplify customer transactions.

*Farm programs.*—These programs provide an economic safety net through farm income support to eligible producers, cooperatives, and associations to help improve the economic stability and viability of the agricultural sector and to ensure the production of an adequate and reasonably priced supply of food and fiber. Activities of the Agency include providing price loss coverage and agricultural risk coverage, providing marketing assistance loans and loan deficiency payments enabling recipients to continue farming operations without marketing their product immediately after harvest, and providing a financial safety net to eligible producers when natural disasters adversely affect their farming operation. These programs range from covering losses of grazing under the Livestock Forage Disaster Program; orchard trees and nursery to help replant or rehabilitate trees under the Tree Assistance Program; production under the Noninsured Crop Disaster Assistance Program; livestock under the Livestock Indemnity Program; and livestock, honeybees and farm raised fish for losses that are not covered under the previously listed programs under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish.

Farm program activities include the following functions dealing with the administration of programs carried out through the farmer committee system of the FSA: (a) developing program regulations and procedures; (b) collecting and compiling basic data for individual farms; (c) establishing individual farm base acres for farm planting history; (d) notifying producers of established base acres and farm planting histories; (e) conducting referendums and certifying results; (f) accepting farmer certifications and checking compliance for specific purposes; (g) processing commodity loan documents and issuing checks; (h) processing price loss coverage and agricultural risk coverage payments and issuing checks; (i) certifying payment eligibility and monitoring payment limitations; and (j) processing farm storage facility loans and issuing checks.

*Conservation and environment.*—These programs assist agricultural producers and landowners in implementing practices to conserve soil, water, air, and wildlife resources on America's farmland and ranches to help protect the human and natural environment. Objectives of the Agency include improving environmental quality, protecting natural resources, and enhancing habitat for fish and wildlife, including threatened and endangered species; providing Emergency Conservation Program funding for farmers and ranchers to rehabilitate damaged farmland and for carrying out emergency conservation measures during periods of severe drought or flooding; protecting the public health of communities through implementation of the Hazardous Waste Management Program; and implementing contracting, financial reporting, and other administrative operations processes. These activities include: (a) processing producer requests for conservation cost-sharing and issuing conservation reserve rental payments; and (b) transferring funds to the Natural Resources Conservation Service and other agencies for other conservation programs.

*Commodity operations.*—This activity includes: (a) overall management of CCC-owned commodities; (b) purchasing commodities; (c) donating commodities; (d) selling commodities; (e) accounting for loans and commodities; and (f) commercial warehouse activities, which include improving the effectiveness and efficiency of FSA's commodity acquisition, procurement, storage, and distribution activities to support domestic and international food assistance programs and administering the U.S. Warehouse Act (USWA). FSA provides for the examination of warehouses licensed under the USWA and non-licensed warehouses storing CCC-owned or pledged commodities. Examiners perform periodic examinations of the facilities and the warehouse records to ensure protection of depositors against poten-

SALARIES AND EXPENSES—Continued

tial losses of the stored commodities and to ensure compliance with the USWA and any CCC storage agreements.

**Farm loans (reimbursable).**—Provides for administering the direct and guaranteed loan programs covered under the Agricultural Credit Insurance Fund (ACIF). Objectives of the Agency include improving the economic viability of farmers and ranchers, reducing losses in direct loan programs, responding to loan making and servicing requests, and maximizing financial and technical assistance to underserved groups. Activities include reviewing applications, servicing the loan portfolio, and providing technical assistance and guidance to borrowers. Funding for farm loan administrative expenses is transferred to this consolidated account from the ACIF. Appropriations representing subsidy amounts necessary to support the individual program loan levels under Federal Credit Reform are made to the ACIF account.

**Other reimbursable activities.**—FSA collects a fee or is reimbursed for performing a variety of services for other Federal agencies, CCC, industry, and others, including certain administrative support services for the Risk Management Agency and the Foreign Agricultural Service, and for county office services provided to Federal and non-Federal entities, including a variety of services to producers.

Object Classification (in millions of dollars)

Identification code 012-0600-0-1-351	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	163	171	189
12.1 Civilian personnel benefits .....	52	55	63
21.0 Travel and transportation of persons .....	7	6	6
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	37	32	33
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	281	306	273
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	6	1	1
41.0 Grants, subsidies, and contributions .....	636	652	641
99.0 Direct obligations .....	1,186	1,227	1,210
99.0 Reimbursable obligations .....	463	387	355
99.9 Total new obligations .....	1,649	1,614	1,565

Employment Summary

Identification code 012-0600-0-1-351	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,743	1,747	1,803
2001 Reimbursable civilian full-time equivalent employment .....	2,187	2,395	2,560

STATE MEDIATION GRANTS

For grants pursuant to section 502(b) of the Agricultural Credit Act of 1987, as amended (7 U.S.C. 5101-5106), \$3,404,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-0170-0-1-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State mediation grants .....	3	3	3
0900 Total new obligations (object class 41.0) .....	3	3	3

Budgetary resources:

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	1	1
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

This grant program is authorized by Title V of the Agricultural Credit Act of 1987, P.L. 100-233, as amended. Originally designed to address agricultural credit disputes, the program was expanded by the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994 (P.L. 103-354) to include other agricultural issues such as wetland determinations, conservation compliance, rural water loan programs, grazing on National Forest System lands, and pesticide use. Grants are made to States whose agricultural mediation programs have been certified by the Farm Service Agency. A grant will not exceed 79 percent of the total fiscal year funds that a qualifying State requires to operate and administer its agricultural mediation program. In no case will the total amount of a grant exceed \$500,000 annually. Current authority for the program under P.L. 113-079 expires September 30, 2018. The 2017 Budget requests \$3.4 million for the program.

GRANT OBLIGATIONS

	2015 actual	2016 est.	2017 est.
Number of States receiving grants .....	38	38	38
Amount of grants (in millions of dollars) .....	3	3	3

DISCRIMINATION CLAIMS SETTLEMENT

Program and Financing (in millions of dollars)

Identification code 012-1144-0-1-351	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	28	28
1930 Total budgetary resources available .....	28	28	28
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	28	28	28
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Claims Resolution Act of 2010, P.L. 111-291 that was signed into law on December 8, 2010, provides funding to settle claims of prior discrimination brought by black farmers against the Department of Agriculture. These funds supplement funding previously provided to USDA for this purpose by section 14012 of P.L. 110-246. Claimants that suffered discrimination between 1989 and 1997 and submitted a late-filing request can seek fast-track payments of up to \$50,000 plus debt relief, or choose a longer, more rigorous review and documentation process for damages of up to \$250,000. The actual value of awards may be reduced based on the total amount of funds made available and the number of successful claims.

USDA SUPPLEMENTAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 012-2701-0-1-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Geographically disadvantaged farmers and ranchers program .....	2	2	
0900 Total new obligations (object class 41.0) .....	2	2	

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	4	4
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	2	2
1930	Total budgetary resources available .....	6	6
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4	4

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3
3010	Obligations incurred, unexpired accounts .....	2	2
3020	Outlays (gross) .....	-2	-2
3050	Unpaid obligations, end of year .....	3	3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3	3
3200	Obligated balance, end of year .....	3	3

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	2	2
Outlays, gross:			
4011	Outlays from discretionary balances .....	2	2
4180	Budget authority, net (total) .....	2	2
4190	Outlays, net (total) .....	2	2

The Consolidated Appropriations Act, 2016 (Public Law 114–113) appropriated about \$2 million to assist geographically disadvantaged farmers and ranchers pursuant to the Agricultural Act of 2014, the 2014 Farm Bill. The 2014 Farm Bill re-authorized the Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (RTCP) program for FY 2012 and each succeeding fiscal year subject to appropriated funding. The purpose of RTCP is to offset a portion of the higher cost of transporting agricultural inputs and commodities over long distances. This program assists farmers and ranchers residing outside the 48 contiguous states that are at a competitive disadvantage when transporting agriculture products to the market. RTCP benefits are calculated based on the costs incurred by the producer for transportation of the agricultural commodity or inputs during a fiscal year, subject to an \$8,000 per producer cap per fiscal year. RTCP enrollments for FY 2015 began on July 20, 2015, and ended on September 11, 2015. Payments for FY 2015 signup will be disbursed in FY 2016. No funding is requested in the 2017 Budget for this program.

REFORESTATION PILOT PROGRAM

Program and Financing (in millions of dollars)

Identification code 012–3305–0–1–302		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Reforestation pilot program .....	1	1	.....
0900	Total new obligations (object class 41.0) .....	1	1	.....
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1	1	.....
1930	Total budgetary resources available .....	1	1	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	1	.....
3020	Outlays (gross) .....	-1	-1	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1	1	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	1	.....
4180	Budget authority, net (total) .....	1	1	.....
4190	Outlays, net (total) .....	1	1	.....

The Reforestation Pilot Program's purpose is to demonstrate the use of new technologies that increase the rate of growth of re-forested hardwood trees on private non-industrial forest lands, enrolling lands on the coast of the Gulf of Mexico that were damaged by Hurricane Katrina in 2005. The funding level for 2016 is \$600,000. The 2017 Budget proposes no funding for this program.

EMERGENCY CONSERVATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 012–3316–0–1–453		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Emergency conservation program .....	35	77	60
0900	Total new obligations (object class 41.0) .....	35	77	60
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	156	135	166
1021	Recoveries of prior year unpaid obligations .....	5	.....	.....
1050	Unobligated balance (total) .....	161	135	166
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	9	108	.....
1930	Total budgetary resources available .....	170	243	166
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	135	166	106
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	23	29	52
3010	Obligations incurred, unexpired accounts .....	35	77	60
3020	Outlays (gross) .....	-24	-54	-31
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3050	Unpaid obligations, end of year .....	29	52	81
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	23	29	52
3200	Obligated balance, end of year .....	29	52	81
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9	108	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	9	.....
4011	Outlays from discretionary balances .....	24	45	31
4020	Outlays, gross (total) .....	24	54	31
4180	Budget authority, net (total) .....	9	108	.....
4190	Outlays, net (total) .....	24	54	31

The Emergency Conservation Program (ECP) was authorized by the Agricultural Credit Act of 1978 (16 U.S.C. 2201–05). It provides funds for sharing the cost of emergency measures to deal with cases of severe damage to farmlands and rangelands resulting from natural disasters. During 2015, 36 States participated in ECP, with new or continued activity from the previous year, involving approximately \$25.6 million in cost-share and technical assistance funds outlays. The Consolidated Appropriations Act, 2016, provided \$17 million for regular ECP and \$91 million for ECP for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act. The 2017 Budget does not propose funding for this program.

EMERGENCY FOREST RESTORATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 012–0171–0–1–453		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	EFRP .....	9	32	24
0900	Total new obligations (object class 41.0) .....	9	32	24

EMERGENCY FOREST RESTORATION PROGRAM—Continued  
Program and Financing—Continued

Identification code 012-0171-0-1-453	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	58	53	27
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	59	53	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	6	
1930 Total budgetary resources available .....	62	59	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	53	27	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	19	26
3010 Obligations incurred, unexpired accounts .....	9	32	24
3020 Outlays (gross) .....	-4	-25	-44
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	19	26	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	19	26
3200 Obligated balance, end of year .....	19	26	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	6	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	
4011 Outlays from discretionary balances .....	4	24	44
4020 Outlays, gross (total) .....	4	25	44
4180 Budget authority, net (total) .....	3	6	
4190 Outlays, net (total) .....	4	25	44

The Emergency Forest Restoration Program (EFRP) provides payments to eligible owners of non-industrial private forest for implementation of emergency measures to restore land damaged by a natural disaster. During 2015, 12 states participated in EFRP with new or continued activity from the previous year, involving approximately \$4.4 million in cost-share and technical assistance fund outlays. The Consolidated Appropriations Act, 2016, provided \$4 million for regular EFRP and \$2 million for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act. The 2017 Budget does not include funding for EFRP.

GRASSROOTS SOURCE WATER PROTECTION PROGRAM

For necessary expenses to carry out wellhead or groundwater protection activities under section 12400 of the Food Security Act of 1985 (16 U.S.C. 3839bb-2), \$6,500,000, to remain available until expended. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-3304-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grassroots source water payments .....	6	7	
0900 Total new obligations (object class 41.0) .....	6	7	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	7	
1900 Budget authority (total) .....	6	7	
1930 Total budgetary resources available .....	6	7	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5		
3010 Obligations incurred, unexpired accounts .....	6	7	
3020 Outlays (gross) .....	-11	-7	

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	7	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	
4011 Outlays from discretionary balances .....	5		
4020 Outlays, gross (total) .....	11	7	
4180 Budget authority, net (total) .....	6	7	
4190 Outlays, net (total) .....	11	7	

The Grassroots Source Water Protection Program (GSWPP) is a joint project by USDA's Farm Service Agency and the nonprofit National Rural Water Association. It is designed to help prevent source water pollution in States through voluntary practices installed by producers at the local level. GSWPP uses onsite technical assistance capabilities of each State rural water association that operates a wellhead or groundwater protection program in the State. State rural water associations can deliver assistance in developing source water protection plans within priority watersheds for the common goal of preventing the contamination of drinking water supplies. The Agricultural Act of 2014, the 2014 Farm Bill, continues the authority for this program. The 2016 funding level for GSWPP is \$6.5 million. The 2017 Budget proposes no funding for this program.

AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed farm ownership (7 U.S.C. 1922 et seq.) and operating (7 U.S.C. 1941 et seq.) loans, emergency loans (7 U.S.C. 1961 et seq.), Indian tribe land acquisition loans (25 U.S.C. 488), boll weevil loans (7 U.S.C. 1989), guaranteed conservation loans (7 U.S.C. 1924 et seq.), and Indian highly fractionated land loans (25 U.S.C. 488), and individual development account grants (7 U.S.C. 1981-2008r) to be available from funds in the Agricultural Credit Insurance Fund, as follows: \$2,000,000,000 for guaranteed farm ownership loans and \$1,500,000,000 for farm ownership direct loans; \$1,393,443,000 \$1,432,430,000 for unsubsidized guaranteed operating loans and \$1,252,004,000 \$1,460,047,000 for direct operating loans; emergency loans, \$34,667,000 \$22,576,000; Indian tribe land acquisition loans, \$2,000,000 \$20,000,000; guaranteed conservation loans, \$150,000,000; Indian highly fractionated land loans, \$10,000,000; and for boll weevil eradication program loans, \$60,000,000: Provided, That the Secretary shall deem the pink bollworm to be a boll weevil for the purpose of boll weevil eradication program loans.

For the cost of direct and guaranteed loans and grants, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, as follows: farm operating loans, \$53,961,000 \$62,198,000 for direct operating loans, \$14,352,000 \$15,327,000 for unsubsidized guaranteed operating loans, and emergency loans, \$1,262,000, to remain available until expended, \$2,550,000 for Indian highly fractionated land loans, and for individual development account grants, \$1,500,000.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$314,918,000 \$317,068,000, of which \$306,998,000 shall be transferred to and merged with paid to the appropriation for "Farm Service Agency, Salaries and Expenses".

Funds appropriated by this Act to the Agricultural Credit Insurance Program Account for farm ownership, operating and conservation direct loans and guaranteed loans may be transferred among these programs: Provided, That the Committees on Appropriations of both Houses of Congress are notified at least 15 days in advance of any transfer.

DAIRY INDEMNITY PROGRAM

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses involved in making indemnity payments to dairy farmers and manufacturers of dairy products under a dairy indemnity program, such sums as may be necessary, to remain available until expended: Provided, That such program is carried out by the Secretary in the same manner as the dairy indemnity program described in the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (Public Law 106-387, 114 Stat. 1549A-12). (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-1140-0-1-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Administrative expenses - PLCE .....	8	8	10
0011 Dairy Indemnity .....	1	1	1
0012 Individual Development Account Grants .....			2
0091 Direct program activities, subtotal .....	9	9	13
Credit program obligations:			
0701 Direct loan subsidy .....	63	56	67
0702 Loan guarantee subsidy .....	14	14	15
0705 Reestimates of direct loan subsidy .....	42	226	
0706 Interest on reestimates of direct loan subsidy .....	22	57	
0707 Reestimates of loan guarantee subsidy .....	31	26	
0708 Interest on reestimates of loan guarantee subsidy .....	27	26	
0709 Administrative expenses .....	307	307	307
0791 Direct program activities, subtotal .....	506	712	389
0900 Total new obligations .....	515	721	402
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	394	384	400
Appropriations, mandatory:			
1200 Appropriation .....	122	336	1
1900 Budget authority (total) .....	516	720	401
1930 Total budgetary resources available .....	518	722	402
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	13	8
3010 Obligations incurred, unexpired accounts .....	515	721	402
3020 Outlays (gross) .....	-514	-726	-400
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	13	8	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	13	8
3200 Obligated balance, end of year .....	13	8	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	394	384	400
Outlays, gross:			
4010 Outlays from new discretionary authority .....	381	379	394
4011 Outlays from discretionary balances .....	11	11	5
4020 Outlays, gross (total) .....	392	390	399
Mandatory:			
4090 Budget authority, gross .....	122	336	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	122	336	1
4180 Budget authority, net (total) .....	516	720	401
4190 Outlays, net (total) .....	514	726	400

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1140-0-1-351	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Ownership .....	1,008	1,500	1,500
115002 Farm Operating .....	1,251	1,252	1,460
115003 Emergency Disaster .....	13	75	47
115004 IndianTribe Land Acquisition .....	2	5	5
115005 Boll Weevil Eradication .....		60	60
115010 Indian Highly Fractionated Land .....		10	10
115999 Total direct loan levels .....	2,272	2,899	3,082
Direct loan subsidy (in percent):			
132001 Farm Ownership .....	-1.37	-2.73	-1.62
132002 Farm Operating .....	5.04	4.31	4.26
132003 Emergency Disaster .....	2.47	3.64	5.59
132004 IndianTribe Land Acquisition .....		-24.44	-21.54
132005 Boll Weevil Eradication .....		-81	-66
132010 Indian Highly Fractionated Land .....		-4.58	25.50
132999 Weighted average subsidy rate .....	2.18	0.49	1.35

Direct loan subsidy budget authority:			
133001 Farm Ownership .....	-14	-41	-24
133002 Farm Operating .....	63	54	62
133003 Emergency Disaster .....		3	3
133004 IndianTribe Land Acquisition .....			-1
133010 Indian Highly Fractionated Land .....			3
133999 Total subsidy budget authority .....	49	16	43
Direct loan subsidy outlays:			
134001 Farm Ownership .....	-9	-34	-28
134002 Farm Operating .....	61	58	59
134003 Emergency Disaster .....		3	3
134010 Indian Highly Fractionated Land .....			2
134999 Total subsidy outlays .....	52	27	36
Direct loan reestimates:			
135001 Farm Ownership .....	-130	147	
135002 Farm Operating .....	-134	29	
135003 Emergency Disaster .....	-10	11	
135005 Boll Weevil Eradication .....	1		
135008 Credit Sales of Acquired Property .....	-2	2	
135011 Conservation - Direct .....	-2		
135012 Farm Operating - ARRA .....	7	-6	
135999 Total direct loan reestimates .....	-270	183	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Farm Ownership—Unsubsidized .....	2,041	2,000	2,000
215002 Farm Operating—Unsubsidized .....	1,365	1,393	1,432
215005 Conservation - Guaranteed .....	1	150	150
215999 Total loan guarantee levels .....	3,407	3,543	3,582
Guaranteed loan subsidy (in percent):			
232001 Farm Ownership—Unsubsidized .....	-12	-14	-06
232002 Farm Operating—Unsubsidized .....	1.06	1.03	1.07
232005 Conservation - Guaranteed .....	-32	-33	-32
232999 Weighted average subsidy rate .....	0.35	0.31	0.38
Guaranteed loan subsidy budget authority:			
233001 Farm Ownership—Unsubsidized .....	-2	-3	-1
233002 Farm Operating—Unsubsidized .....	14	14	15
233999 Total subsidy budget authority .....	12	11	14
Guaranteed loan subsidy outlays:			
234001 Farm Ownership—Unsubsidized .....	-2	-2	-2
234002 Farm Operating—Unsubsidized .....	14	14	15
234999 Total subsidy outlays .....	12	12	13
Guaranteed loan reestimates:			
235001 Farm Ownership—Unsubsidized .....	7	-5	
235002 Farm Operating—Unsubsidized .....	-11	-12	
235003 Farm Operating—Subsidized .....	3	3	
235999 Total guaranteed loan reestimates .....	-1	-14	
Administrative expense data:			
3510 Budget authority .....	315	315	318
3590 Outlays from new authority .....	314	315	318

The Agricultural Credit Insurance Fund program account's loans are authorized by Title III of the Consolidated Farm and Rural Development Act, as amended.

This program account includes subsidies to provide direct and guaranteed loans for farm ownership, farm operating, conservation, and emergency loans to individuals. This account also includes funding for individual development account grants which is proposed at \$1.5 million in the 2017 Budget. Indian tribes and tribal corporations are eligible for Indian land acquisition loans, while individual Native Americans are eligible for loans for the purchase of highly fractionated Indian lands. Boll weevil eradication loans are available to eliminate the cotton boll weevil pest from infested areas. The 2016 estimated level is \$69.6 million for loan subsidies, and the 2017 Budget requests \$82.8 million for loan subsidies and grants, which is an increase of \$13.3 million. The 2017 Budget requests a program level increase of \$254.4 million over 2016 loan and grant levels. Per the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. For administrative costs, the 2016 estimated level provides \$314.9 million, and the 2017 Budget requests \$317.1 million.

AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT—Continued

Under the Dairy Indemnity Program, payments are made to farmers and manufacturers of dairy products who are directed to remove their milk or milk products from commercial markets because they contain residues of chemicals that have been registered and approved by the Federal Government, other chemicals, nuclear radiation, or nuclear fallout. Indemnification may also be paid for cows producing such milk. In 2015, \$581,000 was paid to producers who filed claims under the program. The 2017 Budget requests such sums as may be necessary, which are estimated to be \$500,000 for this program in both 2016 and 2017.

Object Classification (in millions of dollars)

Identification code 012-1140-0-1-351	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	315	315	318
41.0 Grants, subsidies, and contributions .....	200	406	84
99.9 Total new obligations .....	515	721	402

AGRICULTURAL CREDIT INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4212-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Capitalized costs .....	4	7	7
0005 Civil rights settlements .....		1	1
0091 Direct program by activities - subtotal (1 level) .....	4	8	8
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	2,272	2,899	3,082
0713 Payment of interest to Treasury .....	331	357	406
0740 Negative subsidy obligations .....	14	42	24
0742 Downward reestimate paid to receipt account .....	247	70	
0743 Interest on downward reestimates .....	89	29	
0791 Direct program activities, subtotal .....	2,953	3,397	3,512
0900 Total new obligations .....	2,957	3,405	3,520
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	773	1,924	207
1021 Recoveries of prior year unpaid obligations .....	94	102	132
1023 Unobligated balances applied to repay debt .....	-773	-1,900	-300
1024 Unobligated balance of borrowing authority withdrawn .....	-90		
1050 Unobligated balance (total) .....	4	126	39
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	3,361	3,027	3,046
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1,843	2,259	2,249
1801 Change in uncollected payments, Federal sources .....	-3		
1825 Spending authority from offsetting collections applied to repay debt .....	-324	-1,800	-1,700
1850 Spending auth from offsetting collections, mand (total) .....	1,516	459	549
1900 Budget authority (total) .....	4,877	3,486	3,595
1930 Total budgetary resources available .....	4,881	3,612	3,634
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,924	207	114
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	427	466	581
3010 Obligations incurred, unexpired accounts .....	2,957	3,405	3,520
3020 Outlays (gross) .....	-2,824	-3,188	-3,479
3040 Recoveries of prior year unpaid obligations, unexpired .....	-94	-102	-132
3050 Unpaid obligations, end of year .....	466	581	490
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	414	456	571
3200 Obligated balance, end of year .....	456	571	480

Financing authority and disbursements, net:

Identification code	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	4,877	3,486	3,595
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	2,824	3,188	3,479
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal Sources: Reestimate payment from program account .....	-64	-283	
4120 Federal Sources: Subsidy payment from program account .....	-62	-61	-65
4122 Federal Sources: Interest on uninvested funds .....	-89	-48	-52
4123 Repayments of principal .....	-1,418	-1,536	-1,737
4123 Repayments of interest .....	-210	-331	-395
4130 Offsets against gross budget authority and outlays (total) ....	-1,843	-2,259	-2,249
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	3		
4160 Budget authority, net (mandatory) .....	3,037	1,227	1,346
4170 Outlays, net (mandatory) .....	981	929	1,230
4180 Budget authority, net (total) .....	3,037	1,227	1,346
4190 Outlays, net (total) .....	981	929	1,230

Status of Direct Loans (in millions of dollars)

Identification code 012-4212-0-3-351	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	2,259	2,877	3,057
1121 Limitation available from carry-forward .....	89	38	25
1142 Unobligated direct loan limitation (-) .....	-76	-16	
1150 Total direct loan obligations .....	2,272	2,899	3,082
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	7,816	8,531	9,650
1231 Disbursements: Direct loan disbursements .....	2,144	2,696	3,033
1251 Repayments: Repayments and prepayments .....	-1,418	-1,536	-1,737
1263 Write-offs for default: Direct loans .....	-11	-41	-46
1290 Outstanding, end of year .....	8,531	9,650	10,900

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct loans for farm ownership, farm operating, emergency disaster, Indian land acquisition, Indian highly fractionated land, boll weevil eradication, conservation, and credit sales of acquired property.

Balance Sheet (in millions of dollars)

Identification code 012-4212-0-3-351	2014 actual	2015 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	773	1,924
<b>Investments in US securities:</b>		
1106 Receivables, net .....	63	285
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	7,838	8,531
1402 Interest receivable .....	219	228
1403 Accounts receivable from foreclosed property .....	10	8
1405 Allowance for subsidy cost (-) .....	-252	-434
1405 Allowance for Interest Receivable (-) .....	-88	-87
1499 Net present value of assets related to direct loans .....	7,727	8,246
1999 Total assets .....	8,563	10,455
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	8,225	10,357
2207 Non-Federal liabilities: Other .....	338	98
2999 Total liabilities .....	8,563	10,455
4999 Total upward reestimate subsidy BA [12-1140] .....	8,563	10,455

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4213-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Purchase of guaranteed loans .....		1	1
0004 Interest assistance .....		1	1
0091 Direct program by activities - subtotal (1 level) .....		2	2
Credit program obligations:			
0711 Default claim payments on principal .....	24	56	52
0713 Payment of interest to Treasury .....	1	1	1
0740 Negative subsidy obligations .....	3	2	2
0742 Downward reestimate paid to receipt account .....	39	46	
0743 Interest on downward reestimates .....	20	20	
0791 Direct program activities, subtotal .....	87	125	55
0900 Total new obligations .....	87	127	57
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	193	222	249
1021 Recoveries of prior year unpaid obligations .....	6	6	5
1023 Unobligated balances applied to repay debt .....	-16	-1	-1
1050 Unobligated balance (total) .....	183	227	253
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	6	30	30
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	120	119	67
1900 Budget authority (total) .....	126	149	97
1930 Total budgetary resources available .....	309	376	350
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	222	249	293
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	7	3
3010 Obligations incurred, unexpired accounts .....	87	127	57
3020 Outlays (gross) .....	-92	-125	-55
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-6	-5
3050 Unpaid obligations, end of year .....	7	3	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	6	2
3200 Obligated balance, end of year .....	6	2	-1

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	126	149	97
Financing disbursements:			
4110 Outlays, gross (total) .....	92	125	55
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account upward reestimate .....	-58	-52	
4120 Payments from program account subsidy .....	-14	-15	-15
4122 Interest on uninvested funds .....	-4	-4	-4
4123 Fees and premiums .....	-44	-45	-45
4123 Loss recoveries and repayments .....		-3	-3
4130 Offsets against gross budget authority and outlays (total) .....	-120	-119	-67
4160 Budget authority, net (mandatory) .....	6	30	30
4170 Outlays, net (mandatory) .....	-28	6	-12
4180 Budget authority, net (total) .....	6	30	30
4190 Outlays, net (total) .....	-28	6	-12

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4213-0-3-351	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	3,408	3,543	3,582
2150 Total guaranteed loan commitments .....	3,408	3,543	3,582
2199 Guaranteed amount of guaranteed loan commitments .....	3,067	3,189	3,189
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	13,202	13,856	14,647

2231 Disbursements of new guaranteed loans .....	3,250	3,543	3,543
2251 Repayments and prepayments .....	-2,555	-2,674	-2,674
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-2	-13	-13
2263 Terminations for default that result in claim payments .....	-39	-65	-65
2290 Outstanding, end of year .....	13,856	14,647	15,438
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	12,470	13,450	13,450
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	127	136	149
2331 Disbursements for guaranteed loan claims .....	22	24	24
2351 Repayments of loans receivable .....	-1	-1	-1
2361 Write-offs of loans receivable .....	-12	-10	-10
2390 Outstanding, end of year .....	136	149	162

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

This account finances commitments made for farm ownership, operating and conservation guaranteed loan programs.

Balance Sheet (in millions of dollars)

Identification code 012-4213-0-3-351	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	209	227
1206 Non-Federal assets: Receivables, net .....	56	51
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	127	136
1505 Allowance for subsidy cost (-) .....	-125	-134
1599 Net present value of assets related to defaulted guaranteed loans .....	2	2
1999 Total assets .....	267	280
<b>LIABILITIES:</b>		
Federal liabilities:		
2104 Resources payable to Treasury .....	32	22
2105 Other .....	63	69
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	172	189
2999 Total liabilities .....	267	280
4999 Total liabilities and net position .....	267	280

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4140-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0008 Loan recoverable costs .....	2	2	2
0109 Costs incidental to acquisition of real property .....		1	1
0118 Civil rights settlements .....		1	1
0191 Total operating expenses .....		2	2
0900 Total new obligations (object class 25.2) .....	2	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	9	
1022 Capital transfer of unobligated balances to general fund .....	-8	-9	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	95	81	81
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-84	-77	-77
1850 Spending auth from offsetting collections, mand (total) .....	11	4	4
1930 Total budgetary resources available .....	11	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9		

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4140-0-3-351	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	2	4	4
3020 Outlays (gross) .....	-1	-4	-4
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	3	3
4101 Outlays from mandatory balances .....		1	1
4110 Outlays, gross (total) .....	1	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources Principal Repayments .....	-69	-65	-65
4123 Non-Federal sources Interest Repayments .....	-24	-16	-16
4123 Non-Federal sources Miscellaneous .....	-2		
4130 Offsets against gross budget authority and outlays (total) ....	-95	-81	-81
4160 Budget authority, net (mandatory) .....	-84	-77	-77
4170 Outlays, net (mandatory) .....	-94	-77	-77
4180 Budget authority, net (total) .....	-84	-77	-77
4190 Outlays, net (total) .....	-94	-77	-77

Status of Direct Loans (in millions of dollars)

Identification code 012-4140-0-3-351	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	450	378	311
1251 Repayments: Repayments and prepayments .....	-69	-65	-65
1261 Adjustments: Capitalized interest .....	1	2	2
1263 Write-offs for default: Direct loans .....	-4	-4	-4
1290 Outstanding, end of year .....	378	311	244

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4140-0-3-351	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	7	5	2
2251 Repayments and prepayments .....	-2	-3	-1
2290 Outstanding, end of year .....	5	2	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	4	1	1

Balance Sheet (in millions of dollars)

Identification code 012-4140-0-3-351	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	8	10
1601 Loans Receivable .....	450	378
1602 Interest receivable .....	132	124
1603 Allowance for estimated uncollectible loans and interest (-) .....	-132	-119
1604 Direct loans and interest receivable, net .....	450	383
1606 Foreclosed property .....	5	5
1699 Value of assets related to direct loans .....	455	388
1999 Total assets .....	463	398
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	463	398
4999 Total liabilities and net position .....	463	398

COMMODITY CREDIT CORPORATION FUND  
REIMBURSEMENT FOR NET REALIZED LOSSES  
(INCLUDING TRANSFERS OF FUNDS)

For the current fiscal year, such sums as may be necessary to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, pursuant to section 2 of the Act of August 17, 1961 (15 U.S.C. 713a-11): *Provided*, That of the funds available to the Commodity Credit Corporation under section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i) for the conduct of its business with the Foreign Agricultural Service, up to \$5,000,000 may be transferred to and used by the Foreign Agricultural Service for information resource management activities of the Foreign Agricultural Service that are not related to Commodity Credit Corporation business.

HAZARDOUS WASTE MANAGEMENT  
(LIMITATION ON EXPENSES)

For the current fiscal year, the Commodity Credit Corporation shall not expend more than \$5,000,000 for site investigation and cleanup expenses, and operations and maintenance expenses to comply with the requirement of section 107(g) of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9607(g)), and section 6001 of the Resource Conservation and Recovery Act (42 U.S.C. 6961). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-4336-0-3-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Price Loss Coverage .....		3,047	2,564
0002 Agriculture Risk Coverage .....		13,122	6,279
0003 Cotton Transition Assistance Program .....	147	3	
0004 Marketing Loans — Recourse .....	10	23	25
0005 Direct, Countercyclical an ACRE Payments .....	22	15	
0006 Marketing Loans — Non-Recourse .....	5,889	7,283	7,442
0007 Loan Deficiency Payments .....	174	121	169
0008 Economic Adjustment Assistance for Upland Cotton .....	49	51	55
0009 Livestock Indemnity Program .....	52	58	58
0010 Livestock Forage Program .....	176	492	492
0011 ELAP .....	16	19	19
0012 Tree Assistance Program .....	52	8	8
0013 Biomass Crop Assistance Program .....	12	25	25
0014 Commodity Purchases and Related Inventory Transactions .....	8	143	145
0015 Storage, Transportation and Other .....	9	139	142
0016 Market Access Program .....	185	200	200
0018 Technical Assistance for Specialty Crops .....	7	9	9
0019 Emerging Markets Program .....	6	10	10
0021 Foreign Market Development Program .....	32	35	35
0022 Quality Samples Program .....	1	2	2
0023 Non-Insured assistance program .....	119	165	165
0024 Bio-based Fuel Production .....	56	60	
0026 Conservation Reserve Program Financial Assistance .....	1,797	1,819	1,901
0027 Conservation Reserve Program Technical Assistance .....	7	15	16
0028 Emergency Forestry Conservation Reserve Program .....	13	6	6
0029 Treasury Interest .....	2	135	201
0030 Other Interest .....		1	1
0031 Reimbursable Agreements with State and Federal Agencies .....	44	49	49
0032 Food for Progress .....	201	157	159
0033 Biofuels Infrastructure Program .....	100		
0034 Section 4 Contracts .....	10	11	11
0035 Farm Bill Implementation .....	75	45	
0036 Brazilian Cotton Payment .....	300		
0192 Total support and related programs .....	9,571	27,268	20,188
0799 Total direct obligations .....	9,571	27,268	20,188
0802 Commodities procured - PL480 Titles II / III Commodity costs ....		469	469
0809 Reimbursable program activities, subtotal .....		469	469
0900 Total new obligations .....	9,571	27,737	20,657
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	475	435	510
1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1021 Recoveries of prior year unpaid obligations .....	1,733		
1050 Unobligated balance (total) .....	2,218	435	510
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	13,445	6,871	13,477
1220 Appropriations transferred to other accts [012-1002] .....	-153		

1220	Appropriations transferred to other accts [012-3507] .....	-21	-21	-21
1220	Appropriations transferred to other accts [012-1004] .....	-3,555	-3,588	-3,871
1220	Appropriations transferred to other accts [012-2073] .....	-15	-15	-15
1220	Appropriations transferred to other accts [012-9913] .....	-13	-13	-13
1220	Appropriations transferred to other accts [012-8015] .....	-13	-15	-15
1220	Appropriations transferred to other accts [012-2073] .....	-73	-73	-73
1220	Appropriations transferred to other accts [012-4085] .....	-4	-4	-4
1220	Appropriations transferred to other accts [012-1908] .....	-50	-50	-50
1220	Appropriations transferred to other accts [012-1600] .....	-1,069	-63	-63
1220	Appropriations transferred to other accts [012-1955] .....	-3	-3	-3
1220	Appropriations transferred to other accts [012-0123] .....	-1	-1	-1
1220	Appropriations transferred to other accts [012-3106] .....	-34	-46	-20
1220	Appropriations transferred to other accts [012-0502] .....	-20	-40	.....
1220	Appropriations transferred to other accts [012-1502] .....	-100	-100	.....
1220	Appropriations transferred to other accts [012-2501] .....	-3	-3	-3
1220	Appropriations transferred to other accts [012-2500] .....	-30	-30	-30
1220	Appropriations transferred to other acct [012-0520] .....	.....	.....	-140
1220	Appropriations transferred to other accts [012-5635] .....	-16	-16	-16
1220	Appropriations transferred to other accts [012-5636] .....	-30	-30	-30
1236	Appropriations applied to repay debt .....	-8,242	-2,760	-9,109
<b>Borrowing authority, mandatory:</b>				
1400	Borrowing authority .....	16,172	27,285	19,738
1421	Borrowing authority temporarily reduced .....	-1,510	.....	.....
1422	Borrowing authority applied to repay debt .....	-6,874	.....	.....
1440	Borrowing authority, mandatory (total) .....	7,788	27,285	19,738
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	5,728	7,267	7,524
1801	Change in uncollected payments, Federal sources .....	-17	.....	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-5,711	-6,740	-6,926
1850	Spending auth from offsetting collections, mand (total) .....	.....	527	598
1900	Budget authority (total) .....	7,788	27,812	20,336
1930	Total budgetary resources available .....	10,006	28,247	20,846
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	435	510	189
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	9,496	3,958	14,145
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	-995	.....	.....
3010	Obligations incurred, unexpired accounts .....	9,571	27,737	20,657
3020	Outlays (gross) .....	-12,381	-17,550	-20,984
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1,733	.....	.....
3050	Unpaid obligations, end of year .....	3,958	14,145	13,818
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	17	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	8,483	3,957	14,144
3200	Obligated balance, end of year .....	3,957	14,144	13,817
<b>Budget authority and outlays, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	7,788	27,812	20,336
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	4,981	13,783	9,409
4101	Outlays from mandatory balances .....	7,400	3,767	11,575
4110	Outlays, gross (total) .....	12,381	17,550	20,984
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-278	-441	-484
4123	Commodity Loans Repaid .....	-5,329	-6,740	-6,926
4123	Assessments and Fees .....	-121	-38	-42
4123	Sales and Other Proceeds .....	.....	-22	-22
4123	Interest Revenue .....	.....	-26	-50
4130	Offsets against gross budget authority and outlays (total) .....	-5,728	-7,267	-7,524
<b>Additional offsets against gross budget authority only:</b>				
4140	Change in uncollected pymts, Fed sources, unexpired .....	17	.....	.....
4160	Budget authority, net (mandatory) .....	2,077	20,545	12,812
4170	Outlays, net (mandatory) .....	6,653	10,283	13,460
4180	Budget authority, net (total) .....	2,077	20,545	12,812
4190	Outlays, net (total) .....	6,653	10,283	13,460
<b>Memorandum (non-add) entries:</b>				
5101	Unexpired unavailable balance, SOY: Borrowing authority .....	557	2,067	.....
5102	Unexpired unavailable balance, EOY: Borrowing authority .....	2,067	.....	.....

Status of Direct Loans (in millions of dollars)

Identification code 012-4336-0-3-999	2015 actual	2016 est.	2017 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	209	126	465
1231	Disbursements: Direct loan disbursements .....	6,863	7,306	7,467
1251	Repayments: Repayments and prepayments .....	-6,946	-6,740	-6,926
1264	Write-offs for default: Other adjustments, net (+ or -) .....	.....	-227	-301
1290	Outstanding, end of year .....	126	465	705

The Commodity Credit Corporation (CCC) was created to stabilize, support, and protect farm income and prices; help maintain balanced and adequate supplies of agricultural commodities, their products, foods, feeds, and fibers; and help in their orderly distribution.

The Corporation's capital stock of \$100 million is held by the U.S. Treasury. Under present law, up to \$30 billion may be borrowed from the U.S. Treasury to finance operations. Current, indefinite appropriation authority is requested to cover all net realized losses. Appropriations to the Corporation for net realized losses have no effect on budget authority, as they are used to repay debt directly with the Treasury.

The Agricultural Act of 2014, the 2014 Farm Bill, P.L. 113-79, was signed by the President on February 7, 2014. The Act repeals certain programs, continues some programs with modifications, and authorizes several new programs. Most of these programs are authorized and funded through 2018.

BUDGET ASSUMPTIONS

The 2016 and 2017 budget estimates are primarily driven by ample world grain supplies and modest demand growth that keep prices from returning to pre-2014 Farm Bill levels. Lower acreage coupled with modest increases in use is expected to support prices in marketing years 2015/2016 and 2017/2018. Nonetheless, significant Price Loss Coverage and Agriculture Risk Coverage payments do not fully offset lower cash receipts in the sector, resulting in declining net cash producer income. Lower feed costs encourage production expansion in the livestock and poultry sector with livestock cash receipts falling in 2015-2017. Overall, farm sector income is expected to fall sharply below pre-2014 Farm Bill levels. Outlay projections are subject to complex and unpredictable factors such as weather, U.S. and world consumer income growth, factors which affect the volume of production of crops not yet planted, demands for feed, food, and bio-energy here and overseas, and foreign currency exchange rates and the value of the U.S. dollar overall.

PROGRAMS OF THE CORPORATION

*Price support, marketing assistance loans, and related stabilization programs.*—The Corporation conducts programs to support farm income and prices and stabilize the market for agricultural commodities. Price support is provided to producers of agricultural commodities through loans, purchases, payments, and other means.

Price support is mandatory for sugar. Marketing assistance loans are mandatory for wheat, feed grains, oilseeds, upland cotton, peanuts, rice, and pulse crops. Loans are also required to be made for sugar, honey, wool, mohair, and extra-long staple cotton.

One method of providing support is loans to and purchases from producers. With limited exceptions, loans made on commodities are nonrecourse. The commodities serve as collateral for the loan and on maturity the producer may deliver or forfeit such collateral to satisfy the loan obligation without further payment.

Direct purchases may be made from processors as well as producers, depending on the commodity involved. Also, special purchases are made under various laws for the removal of surpluses; for example, the Act of August 19, 1958, as amended, and section 416 of the Agricultural Act of 1949, as amended.

*Commodity Payment Programs.*—The 2014 Farm Bill repealed Direct Payments, Counter-Cyclical Payments and Average Crop Revenue Election

## COMMODITY CREDIT CORPORATION FUND—Continued

Payments and established two new programs, Price Loss Coverage and Agricultural Risk Coverage.

*Price Loss Coverage (PLC).*—Payments are issued when the effective price of a covered commodity is less than the respective reference price for that commodity established in the statute. The payment is equal to 85 percent of the base acres of the covered commodity times the difference between the reference price and the effective price times the program payment yield for the covered commodity.

*Agricultural Risk Coverage (ARC).*—There are two types: County ARC and Individual ARC.

**County ARC:** Payments are issued when the actual county crop revenue of a covered commodity is less than the ARC county guarantee for the covered commodity and are based on county data, not farm data. The ARC county guarantee equals 86 percent of the previous 5-year average national farm price, excluding the years with the highest and lowest price (the ARC guarantee price), times the 5-year average county yield, excluding the years with the highest and lowest yield (the ARC county guarantee yield). Both the guarantee and actual revenue are computed using base acres, not planted acres. The payment is equal to 85 percent of the base acres of the covered commodity times the difference between the county guarantee and the actual county crop revenue for the covered commodity. Payments may not exceed 10 percent of the benchmark county revenue (the ARC guarantee price times the ARC county guarantee yield).

**Individual ARC:** Payments are issued when the actual individual crop revenues, summed across all covered commodities on the farm, are less than ARC individual guarantees summed across those covered commodities on the farm. The farm for individual ARC purposes is the sum of the producer's interest in all ARC farms in the State. The farm's ARC individual guarantee equals 86 percent of the farm's individual benchmark guarantee, which is defined as the ARC guarantee price times the 5-year average individual yield, excluding the years with the highest and lowest yields, and summing across all crops on the farm. The actual revenue is computed in a similar fashion, with both the guarantee and actual revenue computed using planted acreage on the farm. The individual ARC payment equals: a) 65 percent of the sum of the base acres of all covered commodities on the farm, times b) the difference between the individual guarantee revenue and the actual individual crop revenue across all covered commodities planted on the farm. Payments may not exceed 10 percent of the individual benchmark revenue.

*Base Reallocation and Yield Updates.*—Owners of farms that participate in PLC or ARC programs for the 2014–2018 crops have a one-time opportunity to: 1) maintain the farm's 2013 bases through 2018; or 2) reallocate base acres (excluding cotton bases). Covered commodities include wheat, oats, barley, corn, grain sorghum, rice, soybeans, sunflower seed, rapeseed, canola, safflower, flaxseed, mustard seed, crambe and sesame seed, dry peas, lentils, small chickpeas, and large chickpeas. Upland cotton is no longer considered a covered commodity, but the upland cotton base acres on the farm are renamed "generic" base acres. Producers may receive payments on generic base acres if those acres are planted to a covered commodity.

A producer also has the opportunity to update the counter-cyclical payment yield for each covered commodity based on 90 percent of the farm's 2008–2012 average yield per planted acre, excluding any year when no acreage was planted to the covered commodity. Program payment yields are used to determine payment amounts for the Price Loss Coverage program.

*Election Required.*—All of the producers on a farm must make a one-time, unanimous election of: 1) PLC/County ARC on a covered-commodity-by-covered-commodity basis; or 2) Individual ARC for all covered commodities on the farm. If the producers on the farm elect PLC/County ARC, the producers must also make a one-time election to select which base acres on the farm are enrolled in PLC and which base acres are enrolled

in County ARC. Alternatively, if Individual ARC is selected, then every covered commodity on the farm must participate in Individual ARC.

The election between ARC and PLC is made in 2014 and a producer cannot switch to ARC (from PLC), or vice versa, in subsequent years. If an election is not made in 2014, the farm may not participate in either PLC or ARC for the 2014 crop year and the producers on the farm are deemed to have elected PLC for subsequent crop years, but must still enroll their farm to receive coverage. If the sum of the base acres on a farm is 10 acres or less, the producer on that farm may not receive PLC or ARC payments, unless the producer is a socially disadvantaged farmer or rancher or is a limited resource farmer or rancher. Payments for PLC and ARC are issued after the end of the respective crop year, but not before October 1.

Producers enrolling in PLC, and who also participate in the Federal crop insurance program, may, beginning with the 2015 crop, make the annual choice whether to purchase additional crop insurance coverage called the Supplemental Coverage Option (SCO). SCO provides the producer the option of covering a portion of his or her crop insurance deductible and is based on expected county yields or revenue. The cost of SCO is subsidized and indemnities are determined by the yield or revenue loss for the county or area. SCO is not available to producers who enroll in ARC.

*Adjusted Gross Income.*—Adjusted gross income (AGI) provisions have been simplified and modified. Producers whose average AGI exceeds \$900,000 during a crop, fiscal, or program year are not eligible to participate in most programs administered by FSA and the Natural Resources Conservation Service (NRCS). Previous AGI provisions distinguished between on-farm and nonfarm AGI.

*Payment Limitations.*—The total amount of payments received, directly and indirectly, by a person or legal entity (except joint ventures or general partnerships) for Price Loss Coverage, Agricultural Risk Coverage, marketing loan gains, and loan deficiency payments (other than for peanuts), may not exceed \$125,000 per crop year. A person or legal entity that receives payments for peanuts has a separate \$125,000 payment limitation.

Cotton transition payments are limited to \$40,000 per year. For the livestock disaster programs, a total \$125,000 annual limitation applies for payments under the Livestock Indemnity Program, the Livestock Forage Program, and the Emergency Assistance for Livestock, Honey Bees and Farm-Raised Fish program. A separate \$125,000 annual limitation applies to payments under the Tree Assistance Program.

*Cotton Transition.*—Upland cotton is the only "covered commodity" that is no longer eligible to participate in these programs, but rather, becomes eligible for the new Stacked Income Protection Plan (STAX) offered by the Risk Management Agency (RMA). Until STAX becomes available, upland cotton is eligible for transition payments made by FSA for 2014 and 2015 crops.

For the 2014 crop year, transition payments are provided to cotton producers on farms that had cotton base acres in 2013. For the 2015 crop year, transition payments will only be offered in counties where STAX is unavailable. The transition payment is equal to 60 and 36.5 percent of the farm's 2013 cotton base acres for 2014 and 2015, respectively, times the farm's program payment yield times the transition rate provided in the statute.

*Marketing Assistance Loans (MALs) and Sugar Loans.*—The 2014 Farm Bill extends the authority for sugar loans for the 2014 through 2018 crop years and nonrecourse marketing assistance loans (MALs) and loan deficiency payment (LDPs) for the 2014–2018 crops of wheat, corn, grain sorghum, barley, oats, upland cotton, extra-long staple cotton (eligible for loans only), long grain rice, medium grain rice, soybeans, other oilseeds (including sunflower seed, rapeseed, canola, safflower, flaxseed, mustard seed, crambe and sesame seed), dry peas, lentils, small chickpeas, large chickpeas, graded and nongraded wool, mohair, honey, unshorn pelts and peanuts. Availability of loans for some commodities may be affected by appropriations language. Provisions are mostly unchanged from the 2008 farm bill, except marketing loan gains and loan deficiency payments are subject to payment limitations. The Consolidated Appropriations Act, 2016 (Public Law 114–113) amended the Federal Agriculture Improvement and

Reform Act of 1996, allowing producers to receive certificates in lieu of marketing loan gains or loan deficiency payments starting with the 2015 crop marketing year.

#### DAIRY PROGRAMS

*The Dairy Margin Protection Program.* This program replaced the Milk Income Loss Contract program and will be effective from September 1, 2014, through December 31, 2018. The margin protection program offers dairy producers: (1) catastrophic coverage, at no cost to the producer, other than an annual \$100 administrative fee; and (2) various levels of buy-up coverage. Catastrophic coverage provides payments to participating producers when the national dairy production margin is less than \$4.00 per hundredweight (cwt). The national dairy production margin is the difference between the all-milk price and average feed costs. Producers may purchase buy-up coverage that provides payments when margins are between \$4.00 and \$8.00 per cwt. To participate in buy-up coverage, a producer must pay a premium that varies with the level of protection the producer elects. In addition, the 2014 Farm Bill creates the Dairy Product Donation Program. This program is triggered in times of low operating margins for dairy producers, and requires USDA to purchase dairy products for donation to food banks and other feeding programs.

*Dairy Indemnity Payment Program (DIPP).*—The program provides payments to dairy producers when a public regulatory agency directs them to remove their raw milk from the commercial market because it has been contaminated by pesticides and other residues.

#### OTHER PROGRAMS

*Noninsured Crop Disaster Assistance Program (NAP).*—NAP has been expanded to include buy-up protection, similar to buy-up provisions offered under the federal crop insurance program. Producers may elect coverage for each individual crop between 50 and 65 percent, in 5 percent increments, at 100 percent of the average market price. Producers also pay a fixed premium equal to 5.25 percent of the liability. The waiver of service fees has been expanded from just limited resource farmers to also include beginning farmers and socially disadvantaged farmers. The premiums for buy-up coverage are reduced by 50 percent for those same farmers. NAP coverage is expanded to include crops grown expressly for the purpose of producing a feedstock for renewable biofuel, renewable electricity, or biobased products. NAP is also made available to producers that suffered a loss to a 2012 annual fruit crop grown on a bush or tree in a county declared a disaster by the Secretary due to a freeze or frost. Grazing land is not eligible for buy-up coverage.

*Biomass Crop Assistance Program (BCAP).*—BCAP provides incentives to farmers, ranchers and forest landowners to establish, cultivate and harvest eligible biomass for heat, power, bio-based products, research and advanced biofuels. Crop producers and bioenergy facilities can team together to submit proposals to USDA for selection as a BCAP project area. BCAP has been extended through 2018 and is authorized at \$25 million per fiscal year. In FY 2015 the program was capped at \$23 million and in FY 2016 it is capped at \$3 million.

*Feedstock Flexibility Program (FFP).*—FFP is continued through fiscal year 2018. Congress authorized the FFP in the 2008 Farm Bill, allowing for the purchase of sugar to be sold for the production of bioenergy in order to avoid forfeitures of sugar loan collateral under the Sugar Program.

*Bio-Based Fuel Production.*—Section 5(e) of the CCC Charter Act authorizes CCC to take action to increase the consumption of agricultural commodities by "...aiding in the development of new and additional markets, marketing facilities, and uses for such commodities." Under this authority, CCC will make available up to \$170 million in total to subsidize the production of bio-based jet fuel. Because there is no existing viable commercial source for the large-scale production of such fuel, CCC has entered into an agreement with the Department of Energy and the Navy to assist in the development of this product. CCC expects to outlay \$60 million for this purpose in 2016.

#### DISASTER PROGRAMS

The following four disaster programs were authorized by the 2008 Farm Bill under the USDA Supplemental Disaster Assistance program. These programs were re-authorized under CCC and extended indefinitely (beyond the horizon of the 2014 Farm Bill). The programs are made retroactive to October 1, 2011. Producers are no longer required to purchase crop insurance or NAP coverage to be eligible for these programs (the risk management purchase requirement) as mandated by the 2008 Farm Bill.

*Livestock Forage Disaster Program (LFP).*—LFP provides compensation to eligible livestock producers that have suffered grazing losses due to drought or fire on land that is native or improved pastureland with permanent vegetative cover or that is planted specifically for grazing. LFP payments for drought are equal to 60 percent of the monthly feed cost for up to 5 months, depending upon the severity of the drought. LFP payments for fire on federally managed rangeland are equal to 50 percent of the monthly feed cost for the number of days the producer is prohibited from grazing the managed rangeland, not to exceed 180 calendar days.

*Livestock Indemnity Program (LIP).*—LIP provides benefits to livestock producers for livestock deaths in excess of normal mortality caused by adverse weather or by attacks by animals reintroduced into the wild by the Federal Government. LIP payments are equal to 75 percent of the average fair market value of the livestock.

*Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP).*—ELAP provides emergency assistance to eligible producers of livestock, honeybees and farm-raised fish for losses due to disease (including cattle tick fever), adverse weather, or other conditions, such as blizzards and wildfires, not covered by LFP and LIP. Total payments are capped at \$20 million in a fiscal year.

*Tree Assistance Program (TAP).*—TAP provides financial assistance to qualifying orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes, and vines damaged by natural disasters.

#### FOREIGN ASSISTANCE PROGRAMS

*Market Access Program (MAP).*—Under the MAP, CCC Funds are used to reimburse participating organizations for a portion of the costs of carrying out overseas marketing and promotional activities. The 2014 Farm Bill continues the authority for the MAP program with annual funding of \$200 million for 2014–2018.

*Foreign Market Development Cooperator Program (FMD) and Quality Samples Program.*—Under the FMD program, cost-share assistance is provided to nonprofit commodity and agricultural trade associations to support overseas market development activities that are designed to remove long-term impediments to increased U.S. trade. CCC will fund the Quality Samples Program at an authorized annual level of \$2.5 million. Under this initiative, samples of U.S. agricultural products will be provided to foreign importers to promote a better understanding and appreciation for the high quality of U.S. products.

*Technical Assistance for Specialty Crops and Emerging Markets.*—Emerging Markets is extended through 2018. Technical Assistance for Specialty Crops is extended through 2018 with annual funding of \$9 million for each fiscal year.

*The Bill Emerson Humanitarian Trust.*—The Bill Emerson Humanitarian Trust (BEHT) is a commodity and/or monetary reserve designed to ensure that the United States can meet its international food aid commitments. Assets of the Trust can be released any time the Administrator of the U.S. Agency for International Development determines that PL 480 Title II is inadequate to meet those needs in any fiscal year. When a release from the Trust is authorized, the Trust's assets cover all commodity costs associated with the release. All non-commodity costs, including ocean freight charges; internal transportation, handling, and storage overseas; and certain administrative costs are paid by CCC. The 2014 Farm Bill extends the authorization to replenish the BEHT through 2018.

COMMODITY CREDIT CORPORATION FUND—Continued

CONSERVATION PROGRAMS

**Conservation Reserve Program (CRP).**—The 2014 Farm Bill extends the authorization of CRP with modifications. The acreage cap is gradually lowered to 24 million acres for fiscal years 2017 and 2018. The requirement to reduce rental payments under emergency haying and grazing is eliminated. Rental payment reductions of not less than 25 percent are required for managed haying and grazing. Producers are also given the opportunity for an "early-out" from their CRP contracts, but only in fiscal year 2015. The rental payment portion of the Grassland Reserve Program enrollment has been incorporated into the CRP.

**The Transition Incentive Program (TIP).**—The 2014 Farm Bill allows for the transition of CRP land to a beginning or socially disadvantaged farmer or rancher so land can be returned to sustainable grazing or crop production. TIP now includes eligibility for military veterans (i.e., "veteran farmers").

OPERATING EXPENSES

The Corporation carries out its functions through utilization of employees and facilities of other Government agencies. Administrative expenses are incurred by: the Farm Service Agency (FSA); the Foreign Agricultural Service; the Natural Resources Conservation Service; RMA; other agencies of the Department engaged in the Corporation's activities; and the Office of Inspector General for audit functions. Additional expenses are incurred by FSA county offices for work related to programs of the Corporation, other FSA expenses offset by revenue, custodian, and agency expenses of the Federal Reserve banks and lending agencies, and miscellaneous costs.

Expenses are incurred for acquisition, operation, maintenance, improvement, or disposition of existing property that the Corporation owns or in which it has an interest. These expenses are treated as program expenses. Such program expenses include inspection, classing, and grading work performed on a fee basis by Federal employees or Federal- or State-licensed inspectors; and special services performed by Federal agencies within and outside this Department. Most of these general expenses, including storage and handling, transportation, inspection, classing and grading, and producer storage payments, are included in program costs. They are shown in the program and financing schedule in the entries entitled "Storage, transportation, and other obligations not included above."

Section 161 of the 1996 Act amended Section 11 of the CCC Charter Act to limit the use of CCC funds for the transfer and allotment of funds to State and Federal agencies. The Section 11 cap of \$56 million remains in 2016 and 2017.

The Corporation receives reimbursement for grain requisitioned pursuant to Public Law 87–152 by the States from Corporation stocks to feed resident wildlife threatened with starvation through the appropriation reimbursement for net realized losses. There have been no requisitions in recent years, however. The Corporation receives reimbursement for the commodity costs and other costs, including administrative costs, for commodities supplied to domestic nutrition programs and international food aid programs.

FINANCING

**Appropriations.**—Reimbursement for Net Realized Losses. Under Section 2 of Public Law 87 155, the Act of August 17 1961 (15 U.S.C. 713a 11), annual appropriations are authorized for each fiscal year, commencing with 1961, to reimburse the Corporation for net realized losses. The Omnibus Budget Reconciliation Act of 1987 amended Public Law 87–155 to authorize that the Corporation is reimbursed for its net realized losses by means of a current, indefinite appropriation as provided in annual appropriations acts.

**Borrowing authority.**—The Corporation has an authorized capital stock of \$100 million held by the U.S. Treasury and, effective in 1988, authority to have outstanding borrowings up to \$30 billion at any one time. Funds are borrowed from the Treasury and may also be borrowed from private lending agencies and others. The Corporation reserves a sufficient amount of its borrowing authority to purchase at any time all notes and other obligations

evidencing loans made to the Corporation by such agencies and others. All bonds, notes, debentures, and similar obligations issued by the Corporation are subject to approval by the Secretary of the Treasury as required by the Act of March 8, 1938.

Interest on borrowings from the Treasury (and on capital stock) is paid at a rate based upon the average interest rate of all outstanding marketable obligations (of comparable maturity date) of the United States as of the preceding month. Interest is also paid on other notes and obligations at a rate prescribed by the Corporation and approved by the Secretary of the Treasury. The Department of Agriculture and Related Agencies Appropriation Act, 1966, made provision for terminating interest after June 30, 1964 on the portion of the Corporation's borrowings from the Treasury equal to the unreimbursed realized losses recorded on the books of the Corporation after the end of the fiscal year in which such losses are realized.

**Non-Expenditure Transfers.**—The Commodity Credit Corporation transfers CCC funds to several agencies responsible for administering Farm Bill and other Corporation programs. Once transferred the expenses are recorded in the receiving agencies accounts.

Object Classification (in millions of dollars)

Identification code 012-4336-0-3-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....	47	40	40
33.0 Investments and loans .....	6,083	7,427	7,636
41.0 Grants, subsidies, and contributions .....	3,441	19,801	12,512
99.0 Direct obligations .....	9,571	27,268	20,188
<b>Reimbursable obligations: Other goods and services from Federal sources</b>			
25.3 .....		469	469
99.0 Reimbursable obligations .....		469	469
99.9 Total new obligations .....	9,571	27,737	20,657

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the Commodity Credit Corporation's Export Guarantee Program, GSM 102 and GSM 103, **[\$6,748,000] \$8,537,000**; to cover common overhead expenses as permitted by section 11 of the Commodity Credit Corporation Charter Act and in conformity with the Federal Credit Reform Act of 1990, of which **[\$6,394,000] \$6,074,000** shall be transferred to and merged with the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which **[\$354,000] \$2,463,000** shall be [transferred to and merged with] *paid* to the appropriation for "Farm Service Agency, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-1336-0-1-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0702 Loan guarantee subsidy .....	3	6	6
0707 Reestimates of loan guarantee subsidy .....	42	23	
0708 Interest on reestimates of loan guarantee subsidy .....	5	5	
0709 Administrative expenses .....	7	7	9
0900 Total new obligations .....	57	41	15
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		2	2
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	7	7	9
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	52	34	6
1900 Budget authority (total) .....	59	41	15
1930 Total budgetary resources available .....	59	43	17

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3010	Obligations incurred, unexpired accounts .....	57	41	15
3020	Outlays (gross) .....	-57	-42	-15
3050	Unpaid obligations, end of year .....	3	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	3	2
3200	Obligated balance, end of year .....	3	2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7	7	9
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6	7	9
4011	Outlays from discretionary balances .....	1	1	.....
4020	Outlays, gross (total) .....	7	8	9
Mandatory:				
4090	Budget authority, gross .....	52	34	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....	50	32	4
4101	Outlays from mandatory balances .....	.....	2	2
4110	Outlays, gross (total) .....	50	34	6
4180	Budget authority, net (total) .....	59	41	15
4190	Outlays, net (total) .....	57	42	15

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1336-0-1-351	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 GSM 102 .....	1,811	5,400	5,000
215003 Export guarantee program—Facilities .....	.....	100	500
215999 Total loan guarantee levels .....	1,811	5,500	5,500
Guaranteed loan subsidy (in percent):			
232001 GSM 102 .....	-69	-46	-24
232003 Export guarantee program—Facilities .....	.....	-3.28	-3.96
232999 Weighted average subsidy rate .....	-69	-51	-58
Guaranteed loan subsidy budget authority:			
233001 GSM 102 .....	-12	-25	-12
233003 Export guarantee program—Facilities .....	.....	-3	-20
233999 Total subsidy budget authority .....	-12	-28	-32
Guaranteed loan subsidy outlays:			
234001 GSM 102 .....	-14	-25	-12
234003 Export guarantee program—Facilities .....	.....	-3	-20
234999 Total subsidy outlays .....	-14	-28	-32
Guaranteed loan reestimates:			
235001 GSM 102 .....	41	4	.....
235002 Supplier Credit .....	-79	-1	.....
235999 Total guaranteed loan reestimates .....	-38	3	.....
Administrative expense data:			
3510 Budget authority .....	7	7	7
3590 Outlays from new authority .....	7	7	7

This is the program account for the GSM-102 CCC Export Credit Guarantee Program. The GSM-102 Export Credit Guarantee Program covers credit terms of up to 18 months. Under this program, CCC does not provide financing, but guarantees payments due from foreign banks and buyers. Because payment is guaranteed, financial institutions in the United States can offer competitive credit terms to foreign banks, usually with interest rates based on the London Inter-Bank Offered Rate (LIBOR). If the foreign bank fails to make any payment as agreed, the exporter or assignee must submit a notice of default to the CCC. A claim for loss must be filed, and the CCC will promptly pay claims found to be in good order. CCC usually guarantees 98 percent of the principal payment due and interest based on a percentage of the one-year Treasury rate.

A portion of the GSM-102 guarantees is also made available as Facilities Guarantees. Under this activity, CCC guarantees export financing for capital goods and services to improve handling, marketing, processing, storage, or distribution of imported agricultural commodities and products.

The subsidy estimates for the GSM-102 program are determined in large part by the obligor's sovereign or non-sovereign country risk grade. These grades are developed annually by the International Credit Risk Assessment System Committee (ICRAS). In unusual circumstances, an ICRAS grade for a country may change during the fiscal year. The default estimates for GSM-102 guarantees still use the ICRAS grades, but are now based on programmatic experience and country-specific assumptions rather than the government-wide risk premia used previously.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the credit guarantees committed in 1992 and beyond (including modifications of credit guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. The 2017 Budget displays the GSM loan guarantee volume, the subsidy level that can be justified by forecast economic conditions, and the expected supply/demand conditions of countries requesting GSM loan guarantees. The 2017 Budget includes \$8.5 million for administrative expenses.

**Object Classification** (in millions of dollars)

Identification code 012-1336-0-1-351	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	7	7	9
41.0 Grants, subsidies, and contributions .....	50	34	6
99.9 Total new obligations .....	57	41	15

COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4337-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	.....	12	14
0713 Payment of interest to Treasury .....	17	16	16
0715 Pro Rate Share of Claims paid to banks .....	.....	3	3
0740 Negative subsidy obligations .....	14	34	38
0742 Downward reestimate paid to receipt account .....	58	18	.....
0743 Interest on downward reestimates .....	27	7	.....
0900 Total new obligations .....	116	90	71
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	100	31	70
1023 Unobligated balances applied to repay debt .....	-6	-28	-20
1050 Unobligated balance (total) .....	94	3	50
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	.....	60	71
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	96	131	100
1825 Spending authority from offsetting collections applied to repay debt .....	-43	-34	-37
1850 Spending auth from offsetting collections, mand (total) .....	53	97	63
1900 Budget authority (total) .....	53	157	134
1930 Total budgetary resources available .....	147	160	184
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	70	113
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	1	1
3010 Obligations incurred, unexpired accounts .....	116	90	71
3020 Outlays (gross) .....	-119	-90	-71
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	1	1
3200 Obligated balance, end of year .....	1	1	1

COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4337-0-3-351	2015 actual	2016 est.	2017 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	53	157	134
Financing disbursements:			
4110 Outlays, gross (total) .....	119	90	71
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from Program Account Upward Reestimate .....	-50	-28	
4120 Payments from Program Account Positive Subsidy .....	-2	-6	-6
4122 Interest on uninvested funds .....	-1	-3	-3
4123 Loan origination fee .....	-11	-60	-54
4123 Recoveries of Principal .....	-16	-17	-21
4123 Recoveries of Interest .....	-16	-17	-16
4130 Offsets against gross budget authority and outlays (total) ....	-96	-131	-100
4160 Budget authority, net (mandatory) .....	-43	26	34
4170 Outlays, net (mandatory) .....	23	-41	-29
4180 Budget authority, net (total) .....	-43	26	34
4190 Outlays, net (total) .....	23	-41	-29

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4337-0-3-351	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	1,811	5,500	5,500
2150 Total guaranteed loan commitments .....	1,811	5,500	5,500
2199 Guaranteed amount of guaranteed loan commitments .....	1,775	5,387	5,387
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	3,658	2,929	3,195
2231 Disbursements of new guaranteed loans .....	1,982	5,500	5,500
2251 Repayments and prepayments .....	-2,711	-5,198	-5,198
2263 Adjustments: Terminations for default that result in claim payments .....		-36	-36
2290 Outstanding, end of year .....	2,929	3,195	3,461
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,871	3,131	3,392
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	638	599	560
2351 Repayments of loans receivable .....	-39	-39	-39
2390 Outstanding, end of year .....	599	560	521

**Balance Sheet** (in millions of dollars)

Identification code 012-4337-0-3-351	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	104	31
1101 Accounts Receivable, net .....	55	37
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	638	599
1502 Interest receivable .....	14	33
1505 Allowance for subsidy cost (-) .....	-349	-361
1599 Net present value of assets related to defaulted guaranteed loans .....	303	271
1999 Total assets .....	462	339
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	1	
2104 Resources payable to Treasury .....	346	297
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	25	11
2207 Other .....	90	31
2999 Total liabilities .....	462	339

4999 Total liabilities and net position .....	462	339
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COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING ACCOUNT  
Program and Financing (in millions of dollars)

Identification code 012-4338-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses .....	1	1	1
0100 Direct program activities, subtotal .....	1	1	1
0900 Total new obligations (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	12	11	8
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-11	-10	-7
1850 Spending auth from offsetting collections, mand (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	5
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-3	-3
3050 Unpaid obligations, end of year .....	7	5	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	5
3200 Obligated balance, end of year .....	7	5	3

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4101 Outlays from mandatory balances .....		2	2
4110 Outlays, gross (total) .....	1	3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-12	-11	-8
4180 Budget authority, net (total) .....	-11	-10	-7
4190 Outlays, net (total) .....	-11	-8	-5

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4338-0-3-351	2015 actual	2016 est.	2017 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	92	83	72
2351 Repayments of loans receivable .....	-9	-11	-8
2390 Outstanding, end of year .....	83	72	64

**Balance Sheet** (in millions of dollars)

Identification code 012-4338-0-3-351	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	7	8
1701 Defaulted guaranteed loans, gross .....	92	83
1702 Interest receivable .....	214	217
1703 Allowance for estimated uncollectible loans and interest (-) .....	-268	-270
1799 Value of assets related to loan guarantees .....	38	30
1999 Total assets .....	45	38
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	7	8
2104 Resources payable to Treasury .....	32	24
2207 Non-Federal liabilities: Other .....	6	6
2999 Total liabilities .....	45	38

4999 Total liabilities and net position ..... 45 38

FARM STORAGE FACILITY LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-3301-0-1-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	4	19	.....
0706 Interest on reestimates of direct loan subsidy .....	5	7	.....
0900 Total new obligations (object class 41.0) .....	9	26	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	9	26	.....
1930 Total budgetary resources available .....	9	26	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	9	26	.....
3020 Outlays (gross) .....	-9	-26	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9	26	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	26	.....
4180 Budget authority, net (total) .....	9	26	.....
4190 Outlays, net (total) .....	9	26	.....

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3301-0-1-351	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Storage Facility Loans .....	180	300	300
115002 Sugar Storage Facility Loans .....	.....	20	9
115999 Total direct loan levels .....	180	320	309
Direct loan subsidy (in percent):			
132001 Farm Storage Facility Loans .....	-3.00	-1.64	-1.30
132002 Sugar Storage Facility Loans .....	.....	-1.59	-2.20
132999 Weighted average subsidy rate .....	-3.00	-1.64	-1.33
Direct loan subsidy budget authority:			
133001 Farm Storage Facility Loans .....	-5	-5	-5
133999 Total subsidy budget authority .....	-5	-5	-5
Direct loan subsidy outlays:			
134001 Farm Storage Facility Loans .....	-5	-5	-5
134999 Total subsidy outlays .....	-5	-5	-5
Direct loan reestimates:			
135001 Farm Storage Facility Loans .....	-4	22	.....
135999 Total direct loan reestimates .....	-4	22	.....

**Farm Storage Facility Loan (FSFL) Program.**—The FSFL program was established by the Commodity Credit Corporation (CCC) in 1949 to offer low-cost financing to producers for the construction or upgrade of on-farm storage facilities—the program was discontinued in the early 1980's when studies showed sufficient storage space was available. The FSFL was re-established in 2000 due to a severe shortage of available storage. The program was implemented in 2000 by CCC under Section 504(c) of the Federal Credit Reform Act of 1990. The Agricultural Act of 2014, the 2014 Farm Bill, continues the authority for this program. The program now provides producers financing with seven, ten, or twelve-year repayment terms and low interest rates. The program gives producers greater marketing flexibility when farm storage is limited and/or transportation difficulties cause storage problems, allows farmers to benefit from new marketing and technological advances, and maximizes their returns through identity-preserved marketing.

**Sugar Storage Facility Loans.**—The 2002 Farm Bill, as amended by the 2008 Farm Bill and extended in the 2014 Farm Bill, directs that the CCC

establish a sugar storage facility loan program to provide financing for processors of domestically produced sugarcane and sugar beets to construct or upgrade storage and handling facilities for raw sugars and refined sugars. The loan term is a minimum of seven years with the amount and terms being determined as any other commercial loan.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, and the administrative expenses are estimated on a cash basis.

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4158-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	180	320	309
0713 Payment of interest to Treasury .....	19	21	25
0740 Negative subsidy obligations .....	5	5	4
0742 Downward reestimate paid to receipt account .....	10	2	.....
0743 Interest on downward reestimates .....	3	3	.....
0900 Total new obligations .....	217	351	338
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	87	48	66
1021 Recoveries of prior year unpaid obligations .....	14	.....	.....
1023 Unobligated balances applied to repay debt .....	-90	-48	-66
1050 Unobligated balance (total) .....	11	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	218	350	350
1422 Borrowing authority applied to repay debt .....	-2	.....	.....
1440 Borrowing authority, mandatory (total) .....	216	350	350
Spending authority from offsetting collections, mandatory:			
1800 Payments from program account (Upward Reestimate) .....	9	26	.....
1800 Principal repayments .....	165	163	166
1800 Interest repayments .....	18	19	20
1800 Interest on Uninvested Funds .....	6	8	8
1800 Fees and Other Collections .....	.....	1	1
1825 Spending authority from offsetting collections applied to repay debt .....	-160	-150	-150
1850 Spending auth from offsetting collections, mand (total) .....	38	67	45
1900 Budget authority (total) .....	254	417	395
1930 Total budgetary resources available .....	265	417	395
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	66	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	131	131	233
3010 Obligations incurred, unexpired accounts .....	217	351	338
3020 Outlays (gross) .....	-203	-249	-348
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	.....	.....
3050 Unpaid obligations, end of year .....	131	233	223
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	131	131	233
3200 Obligated balance, end of year .....	131	233	223
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	254	417	395
Financing disbursements:			
4110 Outlays, gross (total) .....	203	249	348
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account Upward Reestimate .....	-9	-26	.....
4122 Interest on uninvested funds .....	-6	-8	-8
4123 Principal collections .....	-165	-163	-166
4123 Interest collections .....	-18	-19	-20
4123 Fees and Other Collections .....	.....	-1	-1
4130 Offsets against gross budget authority and outlays (total) .....	-198	-217	-195
4160 Budget authority, net (mandatory) .....	56	200	200

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4158-0-3-351	2015 actual	2016 est.	2017 est.
4170 Outlays, net (mandatory) .....	5	32	153
4180 Budget authority, net (total) .....	56	200	200
4190 Outlays, net (total) .....	5	32	153

Status of Direct Loans (in millions of dollars)

Identification code 012-4158-0-3-351	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	180	320	309
1150 Total direct loan obligations .....	180	320	309
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	745	750	802
1231 Disbursements: Direct loan disbursements .....	162	215	318
1251 Repayments: Repayments and prepayments .....	-157	-163	-166
1290 Outstanding, end of year .....	750	802	954

Balance Sheet (in millions of dollars)

Identification code 012-4158-0-3-351	2014 actual	2015 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	218	179
Investments in US securities:		
1106 Receivables, net .....	6	
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	745	750
1402 Interest receivable .....	11	10
1405 Allowance for subsidy cost (-) .....	22	28
1499 Net present value of assets related to direct loans .....	778	788
1999 Total assets .....	1,002	967
LIABILITIES:		
Federal liabilities:		
2103 Debt payable to Treasury .....	994	960
2105 Other Federal Liabilities .....	8	7
2999 Total liabilities .....	1,002	967
4999 Total liabilities and net position .....	1,002	967

EMERGENCY BOLL WEEVIL LOAN PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3303-0-1-351	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Emergency Boll Weevil and Apple Loans .....	-4		

APPLE LOANS PROGRAM ACCOUNT

The Agricultural Risk Protection Act of 2000 authorized up to \$5 million for the cost to provide loans to producers of apples for economic losses as the result of low prices. Although the program is funded through the Commodity Credit Corporation, program management is performed through farm loan programs. No further funding is requested for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis.

EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT  
Program and Financing (in millions of dollars)

Identification code 012-4221-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....	2		
0743 Interest on downward reestimates .....	2		
0900 Total new obligations .....	4		

Budgetary resources:

Identification code 012-4221-0-3-351	2015 actual	2016 est.	2017 est.
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	4		
Spending authority from offsetting collections, mandatory:			
1800 Principal repayments .....	1	1	1
1825 Spending authority from offsetting collections applied to repay debt .....	-1	-1	-1
1900 Budget authority (total) .....	4		
1930 Total budgetary resources available .....	4		

Change in obligated balance:

Identification code 012-4221-0-3-351	2015 actual	2016 est.	2017 est.
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4		
3020 Outlays (gross) .....	-4		

Financing authority and disbursements, net:

Identification code 012-4221-0-3-351	2015 actual	2016 est.	2017 est.
Mandatory:			
4090 Budget authority, gross .....	4		
Financing disbursements:			
4110 Outlays, gross (total) .....	4		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Principal repayments .....	-1	-1	-1
4180 Budget authority, net (total) .....	3	-1	-1
4190 Outlays, net (total) .....	3	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 012-4221-0-3-351	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	7	6	5
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1290 Outstanding, end of year .....	6	5	4

Balance Sheet (in millions of dollars)

Identification code 012-4221-0-3-351	2014 actual	2015 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	7	6
1405 Allowance for subsidy cost (-) .....	-1	-1
1499 Net present value of assets related to direct loans .....	6	5
1999 Total assets .....	6	5
LIABILITIES:		
2101 Federal liabilities: Accounts payable .....	6	5
4999 Total liabilities and net position .....	6	5

AGRICULTURAL DISASTER RELIEF FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5531-0-2-351	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....		16	16
2000 Total: Balances and receipts .....		16	16
Special and trust fund receipts returned:			
3010 Agricultural Disaster Relief Fund .....	16		
5099 Balance, end of year .....	16	16	16

**Program and Financing** (in millions of dollars)

Identification code 012-5531-0-2-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Agricultural Disaster Relief Fund (Direct) .....	3	2	.....
0900 Total new obligations (object class 41.0) .....	3	2	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		17	37
1012 Unobligated balance transfers between expired and unexpired accounts .....	16	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	22	.....
1050 Unobligated balance (total) .....	17	39	37
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	5	.....	.....
1421 Borrowing authority temporarily reduced .....	-5	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	.....	.....
1900 Budget authority (total) .....	3	.....	.....
1930 Total budgetary resources available .....	20	39	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	37	37
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	3	.....	1
1953 Expired unobligated balance, end of year .....	.....	1	1
1954 Unobligated balance canceling .....	16	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	22	3
3010 Obligations incurred, unexpired accounts .....	3	2	.....
3011 Obligations incurred, expired accounts .....	.....	1	1
3020 Outlays (gross) .....	-4	.....	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-22	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-13	.....	.....
3050 Unpaid obligations, end of year .....	22	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	22	3
3200 Obligated balance, end of year .....	22	3	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	4	.....	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	1	.....	2
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....	-2,634	-2,634	-2,634
5081 Outstanding debt, EOY .....	-2,634	-2,634	-2,634
5101 Unexpired unavailable balance, SOY: Borrowing authority .....	.....	5	.....
5102 Unexpired unavailable balance, EOY: Borrowing authority .....	5	.....	.....

The Agricultural Disaster Relief Trust Fund, established under Section 902 of the Food, Conservation, and Energy Act of 2008, administered by USDA Farm Service Agency, used to execute payments to farmers and ranchers under the following five disaster assistance programs: Supplemental Revenue Assistance Payments (SURE) Program, Livestock Forage Disaster Program (LFP), Livestock Indemnity Program (LIP), Tree Assistance Program (TAP), and Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP) Program. The Agricultural Act of 2014, the 2014 Farm Bill, extended all but SURE and shifted the funding authority for these disaster programs from the Agriculture Disaster Relief Trust Fund to the Commodity Credit Corporation. In FY 2015, the outlays are due to residual payments, corrections and/or appeals to obligations incurred during crop years 2008–2011. Obligations in 2016 will be still be required to make residual payments for disaster programs under the Disaster Trust authority.

PIMA AGRICULTURE COTTON TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 012-5635-0-2-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pima Cotton Agreements .....	15	16	16
0900 Total new obligations (object class 41.0) .....	15	16	16
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	16	16	16
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	.....	.....
1260 Appropriations, mandatory (total) .....	15	16	16
1930 Total budgetary resources available .....	15	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	15	16	16
3020 Outlays (gross) .....	-15	-16	-16
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	15	16	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....	15	16	16
4180 Budget authority, net (total) .....	15	16	16
4190 Outlays, net (total) .....	15	16	16

The Pima Agriculture Cotton Trust Fund was authorized under Section 12314 of the Agricultural Act of 2014, the 2014 Farm Bill, to reduce the economic injury to domestic manufacturers resulting from tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric. Mandatory funding as established in the Farm Bill is \$16 million annually from 2014 to 2018, to be transferred from funds of the Commodity Credit Corporation.

AGRICULTURE WOOL APPAREL MANUFACTURERS TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 012-5636-0-2-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Wool Manufacturers Payments .....	19	30	30
0900 Total new obligations (object class 41.0) .....	19	30	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	14	14
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	30	30	30
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	.....	.....
1260 Appropriations, mandatory (total) .....	28	30	30
1930 Total budgetary resources available .....	33	44	44
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	1	1
3010 Obligations incurred, unexpired accounts .....	19	30	30
3020 Outlays (gross) .....	-29	-30	-30
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	28	30	30

AGRICULTURE WOOL APPAREL MANUFACTURERS TRUST FUND—Continued  
Program and Financing—Continued

Identification code 012-5636-0-2-351	2015 actual	2016 est.	2017 est.
Outlays, gross:			
4100 Outlays from new mandatory authority .....		30	30
4101 Outlays from mandatory balances .....	29		
4110 Outlays, gross (total) .....	29	30	30
4180 Budget authority, net (total) .....	28	30	30
4190 Outlays, net (total) .....	29	30	30

The Agriculture Wool Apparel Manufacturers Trust Fund was authorized under Section 12315 of the Agricultural Act of 2014, the 2014 Farm Bill, to reduce the economic injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric. Mandatory funding as established in the Farm Bill is the lesser of the amount the Secretary determines to be necessary to make payments in that year or \$30 million each year from 2014 to 2019, to be transferred from funds of the Commodity Credit Corporation.

Trust Funds

TOBACCO TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8161-0-7-351	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	70	21	21
Receipts:			
Current law:			
1110 Excise Taxes for Tobacco Assessments, Tobacco Trust Fund .....	49		
2000 Total: Balances and receipts .....	119	21	21
Appropriations:			
Current law:			
2101 Tobacco Trust Fund .....	-49		
2103 Tobacco Trust Fund .....	-69		
2132 Tobacco Trust Fund .....	20		
2199 Total current law appropriations .....	-98		
2999 Total appropriations .....	-98		
5099 Balance, end of year .....	21	21	21

Program and Financing (in millions of dollars)

Identification code 012-8161-0-7-351	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Tobacco Buyout Cost Reimbursement to CCC .....	262		
0900 Total new obligations (object class 41.0) .....	262		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	164		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	49		
1203 Appropriation (previously unavailable) .....	69		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-20		
1260 Appropriations, mandatory (total) .....	98		
1930 Total budgetary resources available .....	262		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	262		
3020 Outlays (gross) .....	-261		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	98		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	98		
4101 Outlays from mandatory balances .....	163		
4110 Outlays, gross (total) .....	261		
4180 Budget authority, net (total) .....	98		
4190 Outlays, net (total) .....	261		

NATURAL RESOURCES CONSERVATION SERVICE

Federal Funds

PRIVATE LANDS CONSERVATION OPERATIONS

For necessary expenses for carrying out the provisions of the Act of April 27, 1935 (16 U.S.C. 590a-f), including preparation of conservation plans and establishment of measures to conserve soil and water (including farm irrigation and land drainage and such special measures for soil and water management as may be necessary to prevent floods and the siltation of reservoirs and to control agricultural related pollutants); operation of conservation plant materials centers; classification and mapping of soil; dissemination of information; acquisition of lands, water, and interests therein for use in the plant materials program by donation, exchange, or purchase at a nominal cost not to exceed \$100 pursuant to the Act of August 3, 1956 (7 U.S.C. 428a); purchase and erection or alteration or improvement of permanent and temporary buildings; and operation and maintenance of aircraft, [\$850,856,000] \$860,374,000, to remain available until September 30, [2017] 2018: Provided, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for construction and improvement of buildings and public improvements at plant materials centers, except that the cost of alterations and improvements to other buildings and other public improvements shall not exceed \$250,000: Provided further, That when buildings or other structures are erected on non-Federal land, that the right to use such land is obtained as provided in 7 U.S.C. 2250a: Provided further, That of the amounts made available under this heading, \$5,600,000, shall remain available until expended for the authorities under 16 U.S.C. 1001-1005 and 1007-1009 for authorized ongoing watershed projects with a primary purpose of providing water to rural communities: Provided further, That of the amounts made available under this heading, \$5,000,000 shall remain available until expended for the authorities under section 13 of the Flood Control Act of December 22, 1944 (Public Law 78-534) for authorized ongoing projects with a primary purpose of watershed protection by stabilizing stream channels, tributaries, and banks to reduce erosion and sediment transport].

In addition, \$1,033,983,000, to be available for the same time period and for the same purposes as the appropriation from which transferred, shall be derived by transfer from the Farm Security and Rural Investment Program for technical assistance in support of conservation programs authorized by Title XII of the Food Security Act of 1985, as amended (16 U.S.C. 3801-3862); Section 524(b) of the Federal Crop Insurance Act, as amended (7 U.S.C. 1524(b)); and Section 502 of the Healthy Forests Restoration Act of 2003, as amended (16 U.S.C. 6572): Provided further, That, upon a determination that additional funding is necessary for technical assistance for the purposes provided herein, additional such amounts may be derived by transfer from the Farm Security and Rural Investment Program: Provided further, That any portion of the funding derived by transfer deemed not necessary for the purposes provided herein may be transferred to the Farm Security and Rural Investment Program: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-1000-0-1-302	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Conservation Technical Assistance .....	666	839	761
0002 Soil surveys .....	80	84	81
0003 Snow survey and water forecasting .....	9	11	9
0004 Plant materials centers .....	8	11	9
0005 Watershed Projects .....	6	6	
0006 Watershed Protection .....		5	
0007 Technical Assistance from 12-1004 .....			1,034
0799 Total direct obligations .....	769	956	1,894
0801 EPA Great Lakes - Reimbursable .....	5	5	5

0802	Reimbursable Agency Activity .....	16	16	16
0899	Total reimbursable obligations .....	21	21	21
0900	Total new obligations .....	790	977	1,915
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	61	126	.....
1021	Recoveries of prior year unpaid obligations .....	11	.....	.....
1050	Unobligated balance (total) .....	72	126	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	846	851	860
1121	Appropriations transferred from other acct [012-1004] ....	.....	.....	1,034
1160	Appropriation, discretionary (total) .....	846	851	1,894
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	15	21	21
1701	Change in uncollected payments, Federal sources .....	23	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	38	21	21
1900	Budget authority (total) .....	884	872	1,915
1930	Total budgetary resources available .....	956	998	1,915
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-40	-21	.....
1941	Unexpired unobligated balance, end of year .....	126	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	212	213	306
3010	Obligations incurred, unexpired accounts .....	790	977	1,915
3011	Obligations incurred, expired accounts .....	3	.....	.....
3020	Outlays (gross) .....	-769	-884	-1,728
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-12	.....	.....
3050	Unpaid obligations, end of year .....	213	306	493
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-46	-52	-52
3070	Change in uncollected pymts, Fed sources, unexpired .....	-23	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	17	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-52	-52	-52
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	166	161	254
3200	Obligated balance, end of year .....	161	254	441
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	884	872	1,915
Outlays, gross:				
4010	Outlays from new discretionary authority .....	614	699	1,533
4011	Outlays from discretionary balances .....	155	185	195
4020	Outlays, gross (total) .....	769	884	1,728
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-23	-16	-16
4033	Non-Federal sources .....	-5	-5	-5
4040	Offsets against gross budget authority and outlays (total) ....	-28	-21	-21
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-23	.....	.....
4052	Offsetting collections credited to expired accounts .....	13	.....	.....
4060	Additional offsets against budget authority only (total) .....	-10	.....	.....
4070	Budget authority, net (discretionary) .....	846	851	1,894
4080	Outlays, net (discretionary) .....	741	863	1,707
4180	Budget authority, net (total) .....	846	851	1,894
4190	Outlays, net (total) .....	741	863	1,707

The Natural Resources Conservation Service (NRCS) protects the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservation plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat. NRCS provides additional support for conservation efforts through soil surveys, snow survey and water supply forecasting, and plant materials centers. These activities are supported by appropriated funding, including funding which has traditionally been requested in the Conservation Operations account, and by mandatory funding in the Farm Security and Rural Investment account. NRCS comprises over 10,000

employees across a wide range of natural resource backgrounds such as soil and rangeland conservation, wildlife biology, forestry and engineering. Through this collective conservationist workforce, the Administration strives to protect the natural resource base on private lands.

In 2017, NRCS will establish, through the Conservation Effects Assessment Project, a continuing, statistically-valid producer-based survey to track progress in conservation adoption and conservation investment benefits to the nation's water quality, soil health, and agricultural productivity. The survey will inform conservation-based decision-making through prioritized investments in science-based tools and data. Additionally, the Budget proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. Budget invests \$10 million over two-years to determine the effect incentive payments and outreach efforts have on farmers' willingness to adopt conservation practices and improve the efficiency of private lands conservation programs. This multi-year effort will leverage administrative data as well as census and survey data within USDA (and potentially across other agencies) to build rigorous evidence and strengthen conservation implementation at the lowest cost. A more detailed description of the specific programs within the Private Lands Conservation Operations account follows:

**Technical assistance.**—Through the Conservation Technical Assistance (CTA) Program, NRCS provides agricultural producers, private landowners, conservation districts, Tribes, and other organizations with the knowledge and conservation tools they need to conserve, maintain, and improve our natural resources. This assistance comes in the form of both individual and landscape-scale conservation plans which contain optimal strategies tailored to protect the resources on the land they manage. Actions described in the plans help land managers reduce erosion; protect water quality and quantity; address air quality; enhance the quality of fish and wildlife habitat; improve long-term sustainability of all lands; and facilitate land use changes while protecting and sustaining our natural resources. The 2017 Budget requests a total of \$860.4 million for Conservation Operations.

**MAIN WORKLOAD FACTORS**

	2015 actual	2016 est.	2017 est.
Customers receiving technical assistance for planning & application, number .....	49,407	50,000	50,000
Conservation systems planned, million acres .....	21.8	22.0	22.0
Cropland with conservation applied to improve soil quality, million acres .....	6.0	5.9	5.9
Grazing land with conservation applied to protect the resource base, million acres .....	12.4	12.4	12.4

In addition to technical assistance for conservation planning provided through CTA, NRCS also offers technical assistance for the design, implementation, and management of cost-shared conservation practices through mandatory farm bill conservation programs under the Farm Security and Rural Investment Programs. This combined technical assistance funding provides for the salaries and expenses of conservation professionals, including NRCS's extensive field staff and a growing number of technical service providers and other cooperators who work with land managers in assessing and applying conservation strategies.

**Soil surveys.**—The primary focus of the Soil Survey Program is to provide current and consistent map interpretations and data sets of the soil resources of the United States. Managing soil as a strategic natural resource is a key component to the vitality of the Nation's rural economies. Scientists and policy makers use soil survey information in studying climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil surveys are used by planners, engineers, farmers, ranchers, developers, and home owners to evaluate soil suitability and make management decisions for farms, home sites, subdivisions, commercial and industrial sites, and wildlife and recreational areas. NRCS is the lead Federal agency for the National Cooperative Soil Survey (NCSS), a partnership of Federal land management agencies, State agricultural experiment stations, private consultants, and State and local governments.

PRIVATE LANDS CONSERVATION OPERATIONS—Continued

NRCS provides the scientific expertise to enable the NCSS to develop and maintain a uniform system for mapping and assessing soil resources.

*Snow survey and water supply forecasting.*—NRCS field staff and cooperators collect and analyze data on snow depth, snow water equivalent, and other climate parameters at approximately 2,000 remote, high elevation data collection sites. The water supply forecasts are used by individual farmers and ranchers; water resource managers; Federal, State, and local government agencies; municipal and industrial water providers; hydroelectric power generation utilities; irrigation districts; fish and wildlife management agencies; reservoir project managers; recreationists; Tribal Nations; and the countries of Canada and Mexico.

MAIN WORKLOAD FACTORS

	2015 actual	2016 est.	2017 est.
Acres mapped annually (millions)	46.4	38	38

*Operations of plant materials centers.*—The identification, testing, evaluation, and demonstration of plants and plant technologies to solve natural resource problems and improve the utilization of natural resources are made at 25 NRCS-operated plant materials centers to determine suitability for erosion control, cropland soil health and productivity, restoring wetlands, improving water quality, improving wildlife habitat (including pollinators), protecting streambank and riparian areas, stabilizing coastal dunes, producing biomass, improving air quality, and addressing other conservation treatment needs. Plant materials centers document plant science technology in fact sheets, technical notes, and the NRCS Field Office Technical Guide, and transfer information to the public by the Web. Work at plant materials centers is the foundation of vegetative recommendations for NRCS and many other Federal and State agencies.

Object Classification (in millions of dollars)

Identification code 012-1000-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	328	404	370
11.1 Full-time permanent			470
11.3 Other than full-time permanent	3	4	3
11.3 Other than full-time permanent			5
11.5 Other personnel compensation	8	10	9
11.5 Other personnel compensation			11
11.9 Total personnel compensation	339	418	868
12.1 Civilian personnel benefits	116	144	132
12.1 Civilian personnel benefits			167
21.0 Travel and transportation of persons	36	38	34
21.0 Travel and transportation of persons			9
22.0 Transportation of things	1	1	1
22.0 Transportation of things			1
23.1 Rental payments to GSA	15	30	30
23.2 Rental payments to others	35	60	54
23.2 Rental payments to others			60
23.3 Communications, utilities, and miscellaneous charges	2	3	3
23.3 Communications, utilities, and miscellaneous charges			3
24.0 Printing and reproduction	1	1	1
24.0 Printing and reproduction			1
25.2 Other services from non-Federal sources	58	76	60
25.2 Other services from non-Federal sources			138
25.3 Other goods and services from Federal sources		2	2
25.4 Operation and maintenance of facilities	130	138	120
25.4 Operation and maintenance of facilities			133
26.0 Supplies and materials	10	12	11
26.0 Supplies and materials			8
31.0 Equipment	25	32	29
31.0 Equipment			28
32.0 Land and structures	1	1	1
99.0 Direct obligations	769	956	1,894
99.0 Reimbursable obligations	21	21	21
99.9 Total new obligations	790	977	1,915

Employment Summary

Identification code 012-1000-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	5,327	5,920	5,920

1001 Direct civilian full-time equivalent employment			5,532
2001 Reimbursable civilian full-time equivalent employment	103	103	103

FARM SECURITY AND RURAL INVESTMENT PROGRAMS

Program and Financing (in millions of dollars)

Identification code 012-1004-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Wetlands Reserve Program	82	161	109
0002 Environmental Quality Incentives Program	1,236	1,521	1,275
0004 Agricultural Water Enhancement Program	10	12	3
0005 Wildlife Habitat Incentives Program	14	19	5
0006 Farm and Ranch Lands Protection Program	5	66	54
0007 Conservation Security Program	31	6	4
0008 Grassland Reserve Program	7	13	13
0009 Conservation Stewardship Program	1,096	1,324	1,252
0010 Agricultural Management Assistance Program	4	5	4
0011 Chesapeake Bay Watershed Initiative	4	14	
0012 Healthy Forests Reserve Program	1	8	
0013 Conservation Reserve Program - Direct	73	61	
0014 Agricultural Conservation Easement Program	297	523	342
0015 Regional Conservation Partnership Program	44	54	43
0016 Voluntary Public Access and Habitat Incentive Program	2	20	
0017 Mitigation Banking		10	
0799 Total direct obligations	2,996	3,817	3,104
0801 Reimbursable program activities	1		
0802 Reimbursable EPA Great Lakes Environmental Quality Incentives Program	12	19	19
0899 Total reimbursable obligations	13	19	19
0900 Total new obligations	2,919	3,836	3,123

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	1,011	1,278	705
1021 Recoveries of prior year unpaid obligations	60		
1050 Unobligated balance (total)	1,071	1,278	705
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1120 Appropriations transferred to other accts [012-1000]			-1,034
<b>Appropriations, mandatory:</b>			
1204 Reappropriation		136	209
1221 Appropriations transferred from other acct [012-4336]	3,555	3,588	3,871
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-267	-266	
1234 Appropriations precluded from obligation	-174	-209	
1260 Appropriations, mandatory (total)	3,114	3,249	4,080
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections EPA Great Lakes, Other	5	19	19
1801 Change in uncollected payments, Federal sources	7		
1850 Spending auth from offsetting collections, mand (total)	12	19	19
1900 Budget authority (total)	3,126	3,268	3,065
1930 Total budgetary resources available	4,197	4,546	3,770
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring		-5	
1941 Unexpired unobligated balance, end of year	1,278	705	647

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	3,867	3,822	4,583
3010 Obligations incurred, unexpired accounts	2,919	3,836	3,123
3011 Obligations incurred, expired accounts	157		
3020 Outlays (gross)	-2,822	-3,075	-3,092
3040 Recoveries of prior year unpaid obligations, unexpired	-60		
3041 Recoveries of prior year unpaid obligations, expired	-239		
3050 Unpaid obligations, end of year	3,822	4,583	4,614
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-66	-50	-50
3070 Change in uncollected pymts, Fed sources, unexpired	-7		
3071 Change in uncollected pymts, Fed sources, expired	23		
3090 Uncollected pymts, Fed sources, end of year	-50	-50	-50
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	3,801	3,772	4,533
3200 Obligated balance, end of year	3,772	4,533	4,564

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....			-1,034
Outlays, gross:				
4010	Outlays from new discretionary authority .....			-651
Mandatory:				
4090	Budget authority, gross .....	3,126	3,268	4,099
Outlays, gross:				
4100	Outlays from new mandatory authority .....	703	656	824
4101	Outlays from mandatory balances .....	2,119	2,419	2,919
4110	Outlays, gross (total) .....	2,822	3,075	3,743
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-168		
4120	Federal sources .....		-19	-19
4123	Non-Federal sources .....	-1		
4130	Offsets against gross budget authority and outlays (total) ....	-169	-19	-19
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-7		
4142	Offsetting collections credited to expired accounts .....	164		
4150	Additional offsets against budget authority only (total) .....	157		
4160	Budget authority, net (mandatory) .....	3,114	3,249	4,080
4170	Outlays, net (mandatory) .....	2,653	3,056	3,724
4180	Budget authority, net (total) .....	3,114	3,249	3,046
4190	Outlays, net (total) .....	2,653	3,056	3,073

Title XII of the Food Security Act of 1985 provides mandatory funding for critical conservation efforts on private lands, including critical wetlands, grasslands, forests, and farm and ranch lands. For conservation programs where NRCS is the lead implementation agency, funds are transferred from the Commodity Credit Corporation (CCC) to the Farm Security and Rural Investment Programs account. This mandatory funding supports NRCS's efforts to protect the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservation plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat.

The Agricultural Act of 2014 amended Title XII of the Food Security Act of 1985, reauthorizing some programs, repealing some programs (although the purposes of these programs are included in other programs), and creating two new conservation programs that are administered by NRCS. A number of conservation programs were extended in the 2017 Budget's baseline beyond 2018 based upon scorekeeping conventions.

In 2017, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. This account will continue to show the funding provided for the financial assistance costs necessary for delivering the following programs:

**Environmental Quality Incentives Program (EQIP).**—This program is authorized under section 1240 of the Food Security Act of 1985, as amended. The Agricultural Act of 2014 reauthorizes the program through 2018, and the 2017 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The purpose of the program is to promote agricultural production and environmental quality as compatible national goals. In 2017, the Budget proposes to fully fund the program at the authorized level of \$1.65 billion. EQIP promotes the voluntary application of land-based conservation practices and activities that maintain or improve the condition of the soil, water, plants, and air; conserve energy; and address other natural resource concerns. Eligible land includes cropland, rangeland, pastureland, private nonindustrial forestland, tribal land, and other farm or ranch lands. The land must have an identified natural resource concern that poses a serious threat to soil, water, air, or related resources by reason of land use practices, soil type, terrain, climatic conditions, topography, flooding, saline characteristics, or other natural resource factors.

**Conservation Stewardship Program (CSP).**—This program is authorized by Section 1238D of the Food Security Act of 1985, as amended. The Agricultural Act of 2014 reauthorized the program through 2018, and the

2017 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The program encourages producers to address resource concerns in a comprehensive manner by undertaking additional conservation activities and improving, maintaining and managing existing conservation activities. The 2017 Budget proposes to fund the program at the authorized level of \$1.56 billion to enroll 10,000,000 acres. This program is the successor to the Conservation Security Program, which was not continued in the Food, Conservation and Energy Act of 2008 except as necessary to support contracts entered into before September 30, 2008. The 2017 Budget proposes \$5 million for the Conservation Security Program.

**Conservation Reserve Program (CRP) Technical Assistance.**—CRP is authorized by Sections 1231–1235A of the Food Security Act of 1985, as amended, and is administered by the Farm Service Agency. NRCS supports the program by providing technical assistance to producers to implement conservation practices on CRP land. The Agricultural Act of 2014 reauthorized the program, and the 2017 Budget assumes \$50 million in technical assistance for NRCS support of CRP.

**Agricultural Conservation Easement Program (ACEP).**—ACEP consists of two components: 1) an agricultural land easement component under which NRCS assists eligible entities to protect agricultural land by limiting non-agricultural uses of that land through the purchase of agricultural land easements; and 2) a wetland reserve easement component under which NRCS provides financial and technical assistance directly to landowners to restore, protect and enhance wetlands through the purchase of wetlands reserve easements. The program is authorized through 2018 by the Agricultural Act of 2014 as a Title XII program under the Food Security Act of 1985. The 2017 Budget assumes that the program extends beyond 2018 in the baseline for scorekeeping purposes. For 2017, the authorized level of funding for ACEP is \$500 million.

**Regional Conservation Partnership Program (RCP).**—RCP promotes the implementation of conservation activities through agreements between NRCS and partners and through conservation program contracts and easements with producers and landowners. The program is authorized through 2018 by the Agricultural Act of 2014 as a Title XII program under the Food Security Act of 1985. Through agreements between partners and conservation program contracts or easements directly with producers and landowners, RCP helps implement conservation projects that may focus on water quality and quantity, soil erosion, wildlife habitat, drought mitigation, and flood control, or other regional priorities. The 2017 Budget assumes that the program extends beyond 2018 in the baseline for scorekeeping purposes. The authorized level of funding for RCP is \$100 million. In addition, seven percent of the funds and acres in covered programs (ACEP, EQIP, CSP, and HFRP) are reserved to ensure additional resources are available to carry out this program (funds and acres not committed by April 1 of each year revert back to the original program for use under that program).

**Voluntary Public Access and Habitat Incentive Program (VPA-HIP).**—The program is authorized by Section 1240R of the Food Security Act of 1985, and Section 2503 of the Agricultural Act of 2014 reauthorizes the program and provides \$40 million for obligation between 2014 through 2018 (this program was not extended in the baseline beyond 2018). VPA-HIP is a competitive grant program. Funding is limited to State and Tribal governments establishing new public access programs, expanding existing public access programs, and/or enhancing wildlife habitat on lands enrolled in public access programs.

In addition to the programs authorized under the Food Security Act of 1985, NRCS implements the following conservation programs:

**Agricultural Management Assistance Program (AMA).**—This program is authorized by Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended. It authorizes \$10 million annually for the program, of which NRCS is to receive 50 percent. This program is implemented by NRCS, the Agricultural Marketing Service, and the Risk Management Agency. The NRCS AMA activities are carried out in 16 states in which

FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued

participation in the Federal Crop Insurance Program is historically low. The program provides assistance to producers to mitigate financial risk by using conservation to reduce soil erosion and improve water quality.

NRCS works to deliver conservation programs using its technical field staff and by partnering with public and private entities through the Technical Service Provider (TSP) system. NRCS can contract with TSPs to help deliver the Farm Bill programs, or agricultural producers may select TSPs to help plan and implement conservation practices on their operations.

The U.S. has made great strides in improving water quality; however, nonpoint source pollution remains a significant challenge that requires policy attention and thoughtful new approaches. In 2017, the Budget continues the agency's efforts to better coordinate conservation efforts among key Federal partners, along with agricultural producer organizations, conservation districts, States, Tribes, non-governmental organizations and other local leaders to identify areas where a focused and coordinated approach can achieve substantial improvements in water quality. The Budget builds upon the collaborative process already underway among Federal partners to demonstrate substantial improvements in water quality from conservation programs by ensuring that USDA's key investments through Farm Bill conservation programs and related efforts are appropriately leveraged by other Federal programs.

Finally, the Agricultural Act of 2014 repealed the Wetlands Reserve Program, Grasslands Reserve Program and the Farmlands and Ranchlands Protection Program and included the purposes of those programs in the new Agricultural Conservation Easement Program referred to above. The Agricultural Act of 2014 also repealed the Agricultural Water Enhancement Program, Chesapeake Bay Watershed Program, Great Lakes Basin Program, and the Cooperative Conservation Partnership Initiative and included the purposes of those programs in the new Regional Conservation Partnership Program referred to above. The Wildlife Habitat Incentives Program has also been repealed, and its purposes are now included in the Environmental Quality Incentives Program.

Object Classification (in millions of dollars)

Identification code 012-1004-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	363	494	
11.3 Other than full-time permanent .....	3	3	
11.5 Other personnel compensation .....	5	7	
11.9 Total personnel compensation .....	371	504	
12.1 Civilian personnel benefits .....	132	159	
21.0 Travel and transportation of persons .....	13	18	
22.0 Transportation of things .....		1	
23.1 Rental payments to GSA .....	12		
23.2 Rental payments to others .....	32	62	
23.3 Communications, utilities, and miscellaneous charges .....	2	3	
24.0 Printing and reproduction .....	1	1	
25.1 Advisory and assistance services .....		1	2
25.2 Other services from non-Federal sources .....	128	137	
25.4 Operation and maintenance of facilities .....	77	114	
26.0 Supplies and materials .....	6	8	
31.0 Equipment .....	25	41	
32.0 Land and structures .....	194	375	326
41.0 Grants, subsidies, and contributions .....	1,912	2,393	2,776
99.0 Direct obligations .....	2,905	3,817	3,104
99.0 Reimbursable obligations .....	13	19	19
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	2,919	3,836	3,123

Employment Summary

Identification code 012-1004-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4,627	5,532	
2001 Reimbursable civilian full-time equivalent employment .....	33	39	39

WATERSHED AND FLOOD PREVENTION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 012-1072-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Emergency watershed protection operations .....	44	271	
0004 Small watershed operations (P.L. 566) .....		1	
0006 EWP (SANDY) .....	8	127	15
0799 Total direct obligations .....	52	399	15
0802 Watershed and Flood Prevention Operations (Reimbursable) .....	50	30	30
0900 Total new obligations .....	102	429	45
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	312	330	15
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	324	330	15
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	79	157	
1131 Unobligated balance of appropriations permanently reduced .....		-20	
1160 Appropriation, discretionary (total) .....	79	137	
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	6	30	30
1701 Change in uncollected payments, Federal sources .....	23		
1750 Spending auth from offsetting collections, disc (total) .....	29	30	30
1900 Budget authority (total) .....	108	167	30
1930 Total budgetary resources available .....	432	497	45
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....		-53	
1941 Unexpired unobligated balance, end of year .....	330	15	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	135	139	291
3010 Obligations incurred, unexpired accounts .....	102	429	45
3020 Outlays (gross) .....	-82	-277	-224
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	139	291	112
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-81	-104	-104
3070 Change in uncollected pymts, Fed sources, unexpired .....	-23		
3090 Uncollected pymts, Fed sources, end of year .....	-104	-104	-104
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	54	35	187
3200 Obligated balance, end of year .....	35	187	8
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	108	167	30
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	85	30
4011 Outlays from discretionary balances .....	80	192	194
4020 Outlays, gross (total) .....	82	277	224
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-5	-30	-30
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-6	-30	-30
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-23		
4070 Budget authority, net (discretionary) .....	79	137	
4080 Outlays, net (discretionary) .....	76	247	194
4180 Budget authority, net (total) .....	79	137	
4190 Outlays, net (total) .....	76	247	194

NRCS watershed programs provide for cooperative actions between the Federal Government and States and their political subdivisions to reduce damage from floodwater, sediment, and erosion; for the conservation, development, utilization, and disposal of water; and for the conservation and proper utilization of land. Funds in Watershed and Flood Prevention Oper-

ations can be used for either flood prevention projects or flood damage rehabilitation efforts, depending upon the needs and opportunities.

**Emergency watershed protection program.**—NRCS undertakes such emergency measures for runoff retardation and soil erosion prevention as may be needed to safeguard life and property from floods and the products of erosion on any watershed whenever natural elements or forces cause a sudden impairment of that watershed. An emergency is considered to exist when a watershed is suddenly impaired by flood, fire, wind, earthquake, drought or other natural causes and consequently life and property are endangered by floodwater, erosion, or sediment discharge. Subject to the terms and conditions of funding, NRCS may provide Emergency Watershed Protection assistance to address small scale, localized disasters. State agencies including environmental, natural resource, and fish and game agencies participate in planning and coordinating emergency work. Funding for the Emergency Watershed Protection Program is typically provided through emergency supplemental appropriations. The Consolidated Appropriations Act of 2016 provides \$157 million for EWP, of which \$37 million is made available for necessary expenses resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act. The 2017 Budget does not request funding for this program.

**Watershed operations authorized by Public Law 78–534.**—NRCS cooperates with soil conservation districts and other local organizations in planning and installing flood prevention improvements in 11 watersheds authorized by the Flood Control Act of 1944. The Federal Government shares the cost of improvements for flood prevention, agricultural water management, recreation, and fish and wildlife development. This program did not receive an appropriation in 2011 - 2016. The 2017 budget does not request funding for this program. NRCS is closing out watershed operations projects started prior to 2011 with unobligated balances from prior years.

**Small watershed operations authorized by Public Law 83–566.**—NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, flood prevention, agricultural water management, recreation, and fish and wildlife enhancement. NRCS is closing out small watershed operations projects started prior to 2011 with unobligated balances from prior years.

Loans through the Agricultural Credit Insurance Fund have been made in previous years to the local sponsors in order to fund the local cost of Public Law 83–566 or 78–534 projects. No funding for these loans is assumed in 2017.

**Object Classification** (in millions of dollars)

Identification code 012–1072–0–1–301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	.....
12.1 Civilian personnel benefits .....	1	1	.....
21.0 Travel and transportation of persons .....	.....	1	.....
25.1 Advisory and assistance services .....	9	118	.....
25.2 Other services from non-Federal sources .....	12	73	.....
25.5 Research and development contracts .....	.....	3	.....
32.0 Land and structures .....	5	95	.....
41.0 Grants, subsidies, and contributions .....	22	105	15
99.0 Direct obligations .....	52	399	15
99.0 Reimbursable obligations .....	50	30	30
99.9 Total new obligations .....	102	429	45

**Employment Summary**

Identification code 012–1072–0–1–301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	31	36	.....
2001 Reimbursable civilian full-time equivalent employment .....	23	25	25

**WATERSHED REHABILITATION PROGRAM**

Under the authorities of section 14 of the Watershed Protection and Flood Prevention Act, \$12,000,000 is provided. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012–1002–0–1–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Watershed rehabilitation program .....	108	19	17
0801 Reimbursable program activity .....	8	.....	.....
0900 Total new obligations .....	116	19	17
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	22	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	8	7	.....
1021 Recoveries of prior year unpaid obligations .....	25	.....	.....
1050 Unobligated balance (total) .....	37	22	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	12	12	.....
1130 Appropriations permanently reduced .....	.....	.....	–54
1160 Appropriation, discretionary (total) .....	12	12	–54
<b>Appropriations, mandatory:</b>			
1204 Reappropriation .....	.....	73	68
1221 Appropriations transferred from other acct [012–4336] .....	153	.....	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–11	–5	.....
1234 Appropriations precluded from obligation .....	–73	–68	.....
1260 Appropriations, mandatory (total) .....	69	.....	68
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	20	.....	.....
1900 Budget authority (total) .....	101	12	14
1930 Total budgetary resources available .....	138	34	17
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	.....	–12	.....
1941 Unexpired unobligated balance, end of year .....	22	3	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	273	316	207
3010 Obligations incurred, unexpired accounts .....	116	19	17
3020 Outlays (gross) .....	–48	–128	–110
3040 Recoveries of prior year unpaid obligations, unexpired .....	–25	.....	.....
3050 Unpaid obligations, end of year .....	316	207	114
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	273	316	207
3200 Obligated balance, end of year .....	316	207	114
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	32	12	–54
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	5	–17
4011 Outlays from discretionary balances .....	13	15	17
4020 Outlays, gross (total) .....	15	20	.....
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	–22	.....	.....
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	12	12	–54
4080 Outlays, net (discretionary) .....	–7	20	.....
<b>Mandatory:</b>			
4090 Budget authority, gross .....	69	.....	68
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	5	.....	10
4101 Outlays from mandatory balances .....	28	108	100
4110 Outlays, gross (total) .....	33	108	110
4180 Budget authority, net (total) .....	81	12	14
4190 Outlays, net (total) .....	26	128	110
<b>Memorandum (non-add) entries:</b>			
5096 Unexpired unavailable balance, SOY: Appropriations .....	.....	68	.....
5098 Unexpired unavailable balance, EOY: Appropriations .....	.....	68	.....

WATERSHED REHABILITATION PROGRAM—Continued

Under the authorities of Section 14 of the Watershed Protection and Flood Prevention Act, assistance is provided to communities to address the rehabilitation of aging local dams. The 2016 enacted level included \$12 million for the Watershed Rehabilitation Program. No funding is requested in the 2017 Budget, reflecting the Administration's position that the maintenance, repair, and operation of these dams are the responsibility of local project sponsors. The Budget proposes to permanently cancel \$54 million of mandatory funds provided prior to 2010 (see General Provisions for the Department of Agriculture).

Object Classification (in millions of dollars)

Identification code 012-1002-0-1-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1		1
25.1 Advisory and assistance services .....		3	5
25.2 Other services from non-Federal sources .....	4	2	
41.0 Grants, subsidies, and contributions .....	101	14	11
99.0 Direct obligations .....	106	19	17
99.0 Reimbursable obligations .....	6		
99.5 Adjustment for rounding .....	4		
99.9 Total new obligations .....	116	19	17

Employment Summary

Identification code 012-1002-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	33	1	25
2001 Reimbursable civilian full-time equivalent employment .....	13		

RESOURCE CONSERVATION AND DEVELOPMENT

The Resource Conservation and Development (RC&D) Program was developed under the Soil Conservation and Domestic Allotment Act (16 U.S.C. 590a-590f); the Bankhead-Jones Farm Tenant Act (16 U.S.C. 1010 and 1011); and the Food and Agricultural Act of 1962 (P.L. 87-703). It is authorized under subtitle H, title XV of the Agricultural and Food Act of 1981 (16 U.S.C. 3451-3461), as amended. The program was permanently authorized by the Farm Security and Rural Investment Act of 2002 and further amended by the Food, Conservation, and Energy Act of 2008 (P.L. 110-246).

No funding was appropriated for the RC&D Program in 2016 and no funding is requested in the 2017 Budget. After decades of Federal assistance, many RC&D Councils supported by the program have developed sufficiently strong State and local ties and are now able to secure funding for their continued operation without the need for ongoing Federal assistance.

HEALTHY FORESTS RESERVE PROGRAM

Title V of the Healthy Forests Restoration Act of 2003 (Public Law 108-148) authorized the establishment of the Healthy Forests Reserve Program (HFRP). This program assists landowners in restoring, enhancing and protecting forest ecosystems to: 1) promote the recovery of threatened and endangered species; 2) improve biodiversity; and 3) enhance carbon sequestration.

Administered by NRCS, HFRP is a voluntary program with enrollment eligible only to privately-held land. Land enrolled in HFRP must have a restoration plan that includes practices necessary to restore and enhance habitat for species listed as threatened or endangered, or are candidates for the threatened or endangered species list. Technical assistance will be provided by USDA to assist owners in complying with the terms of restoration plans under HFRP.

The 2017 Budget does not request discretionary funding for the HFRP.

WATER BANK PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-3320-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Water Bank Program .....	4	5	
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1050 Unobligated balance (total) .....	1	1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4	4	
1930 Total budgetary resources available .....	5	5	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	11	9
3010 Obligations incurred, unexpired accounts .....	4	5	
3020 Outlays (gross) .....	-1	-7	-3
3050 Unpaid obligations, end of year .....	11	9	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8	11	9
3200 Obligated balance, end of year .....	11	9	6
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	4	4	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		2	
4011 Outlays from discretionary balances .....	1	5	3
4020 Outlays, gross (total) .....	1	7	3
4180 Budget authority, net (total) .....	4	4	
4190 Outlays, net (total) .....	1	7	3

The Water Bank Program was authorized by the Water Bank Act of 1970 (16 U.S.C. 1301-1311), as amended by Public Law 96-182, approved January 2, 1980. The objectives of the Water Bank Program are to conserve water; to preserve, maintain, and improve the Nation's wetlands; to increase waterfowl habitat in migratory waterfowl nesting, breeding, and feeding areas in the United States; and to secure recreational and environmental benefits for the Nation. The 2016 enacted level included \$4 million to implement non-renewable agreements on eligible lands, including flooded agricultural lands, as determined by the Secretary, under the Water Bank Act. No funding is requested in the 2017 Budget.

Object Classification (in millions of dollars)

Identification code 012-3320-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
31.0 Equipment .....		1	
41.0 Grants, subsidies, and contributions .....	4	4	
99.9 Total new obligations .....	4	5	

Employment Summary

Identification code 012-3320-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		1	

**Trust Funds**

MISCELLANEOUS CONTRIBUTED FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-8210-0-7-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Miscellaneous Contributed Funds		1	1
2000 Total: Balances and receipts		1	2
5099 Balance, end of year		1	2

**Program and Financing** (in millions of dollars)

Identification code 012-8210-0-7-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	1		
0900 Total new obligations (object class 25.1)	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1930 Total budgetary resources available	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1		
3020 Outlays (gross)	-1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1		

Funds received in this account from State, local, and other organizations are available for work under cooperative agreements for soil survey, watershed protection, and resource conservation and development activities.

**RURAL DEVELOPMENT**

**Federal Funds**

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for carrying out the administration and implementation of programs in the Rural Development mission area, including activities with institutions concerning the development and operation of agricultural cooperatives; and for cooperative agreements; **¶** \$225,835,000 **¶** \$230,679,000: *Provided*, **¶** That no less than \$19,500,000 shall be for the Comprehensive Loan Accounting System: *Provided further*, **¶** That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support the Rural Development mission area **¶** *Provided further*, That any balances available from prior years for the Rural Utilities Service, Rural Housing Service, and the Rural Business-Cooperative Service salaries and expenses accounts shall be transferred to and merged with this appropriation **¶**. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-0403-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses	213	226	231
0801 Reimbursable program - Program Transfers and Reimbursable Obligations	467	457	468
0900 Total new obligations	680	683	699

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	28
1012 Unobligated balance transfers between expired and unexpired accounts	12	23	
1050 Unobligated balance (total)	17	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	224	226	231
Spending authority from offsetting collections, discretionary:			
1700 Collected	461	457	468
1701 Change in uncollected payments, Federal sources	6		
1750 Spending auth from offsetting collections, disc (total)	467	457	468
1900 Budget authority (total)	691	683	699
1930 Total budgetary resources available	708	711	727
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-23		
1941 Unexpired unobligated balance, end of year	5	28	28

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	146	125	60
3010 Obligations incurred, unexpired accounts	680	683	699
3011 Obligations incurred, expired accounts	4		
3020 Outlays (gross)	-695	-748	-703
3041 Recoveries of prior year unpaid obligations, expired	-10		
3050 Unpaid obligations, end of year	125	60	56
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-11	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired	-6		
3071 Change in uncollected pymts, Fed sources, expired	7		
3090 Uncollected pymts, Fed sources, end of year	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	135	115	50
3200 Obligated balance, end of year	115	50	46

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	691	683	699
Outlays, gross:			
4010 Outlays from new discretionary authority	589	638	653
4011 Outlays from discretionary balances	106	110	50
4020 Outlays, gross (total)	695	748	703
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-468	-457	-468
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-6		
4052 Offsetting collections credited to expired accounts	7		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	224	226	231
4080 Outlays, net (discretionary)	227	291	235
4180 Budget authority, net (total)	224	226	231
4190 Outlays, net (total)	227	291	235

The Rural Development Salaries and Expenses (S&E) account is a consolidated account to administer all Rural Development programs, including programs administered by the Rural Utilities Service (RUS), the Rural Housing Service (RHS), and the Rural Business-Cooperative Service (RBS). For more information about the Rural Development mission area go to [www.rurdev.usda.gov/Home.html](http://www.rurdev.usda.gov/Home.html).

**Object Classification** (in millions of dollars)

Identification code 012-0403-0-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	112	121	126
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	113	122	127
12.1 Civilian personnel benefits	38	41	42
21.0 Travel and transportation of persons	5	6	7
23.1 Rental payments to GSA	6	7	7
23.2 Rental payments to others		5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	11	9	9
25.2 Other services from non-Federal sources	1	12	8

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 012-0403-0-1-452	2015 actual	2016 est.	2017 est.
25.3 Other goods and services from Federal sources .....	2	11	11
25.4 Operation and maintenance of facilities .....	4	2	2
25.5 Research and development contracts .....	28	7	8
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	2
99.0 Direct obligations .....	213	226	231
99.0 Reimbursable obligations .....	467	457	468
99.9 Total new obligations .....	680	683	699

Employment Summary

Identification code 012-0403-0-1-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,573	1,661	1,702
2001 Reimbursable civilian full-time equivalent employment .....	3,186	3,365	3,466

RURAL HOUSING SERVICE  
Federal Funds

RURAL HOUSING ASSISTANCE GRANTS

For grants for very low-income housing repair [and rural housing preservation] made by the Rural Housing Service, as authorized by 42 U.S.C. 1474, [and 1490m, \$32,239,000] \$28,701,000, to remain available until expended. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-1953-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0012 Very Low-Income Housing Repair Grants .....	29	29	30
0016 Rural Housing Preservation Grants .....	4	4	
0900 Total new obligations (object class 41.0) .....	33	33	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	4	
1021 Recoveries of prior year unpaid obligations .....	2	1	
1050 Unobligated balance (total) .....	6	6	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	32	32	29
1131 Unobligated balance of appropriations permanently reduced .....			-2
1160 Appropriation, discretionary (total) .....	32	32	27
1930 Total budgetary resources available .....	38	38	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	14	7
3010 Obligations incurred, unexpired accounts .....	33	33	30
3020 Outlays (gross) .....	-34	-39	-32
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	
3050 Unpaid obligations, end of year .....	14	7	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	14	7
3200 Obligated balance, end of year .....	14	7	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	32	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	25	27	25
4011 Outlays from discretionary balances .....	9	12	7
4020 Outlays, gross (total) .....	34	39	32

4180 Budget authority, net (total) .....	32	32	27
4190 Outlays, net (total) .....	34	39	32

The very low-income housing repair grant program is authorized under section 504 of the Housing Act of 1949, as amended. This grant program enables very low-income elderly residents in rural areas to improve or modernize their dwellings, to make the dwelling safer or more sanitary, or to remove health and safety hazards. The Budget requests \$28.7 million for this program in 2017.

No funding is requested in the 2017 Budget for the rural housing preservation grant program. USDA's preservation activities for multi-family housing are being carried out through programs in the multifamily housing revitalization account.

For other housing assistance grants authorized for funding in this account such as supervisory and technical assistance grants as authorized by section 509(f) and 525 of the Housing Act of 1949, as amended, no funding is requested in the 2017 Budget, which is the same as the 2016 appropriations.

RENTAL ASSISTANCE PROGRAM

For rental assistance agreements entered into or renewed pursuant to the authority under section 521(a)(2) or agreements entered into in lieu of debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Housing Act of 1949, [ \$1,389,695,000 ] \$1,405,033,000; and in addition such sums as may be necessary, as authorized by section 521(c) of the Act, to liquidate debt incurred prior to fiscal year 1992 to carry out the rental assistance program under section 521(a)(2) of the Act: *Provided*, That rental assistance agreements entered into or renewed during the current fiscal year shall be funded for a one-year period: *Provided further*, That any unexpended balances remaining at the end of such one-year agreements may be transferred and used for purposes of any debt reduction; maintenance, repair, or rehabilitation of any existing projects; preservation; and rental assistance activities authorized under title V of the Act: *Provided further*, That rental assistance provided under agreements entered into prior to fiscal year [2016] 2017 for a farm labor multi-family housing project financed under section 514 or 516 of the Act may not be recaptured for use in another project until such assistance has remained unused for a period of 12 consecutive months, if such project has a waiting list of tenants seeking such assistance or the project has rental assistance eligible tenants who are not receiving such assistance: *Provided further*, That such recaptured rental assistance shall, to the extent practicable, be applied to another farm labor multi-family housing project financed under section 514 or 516 of the Act: *Provided further*, That of the total amount provided, up to \$75,000,000 shall be available until September 30, 2017, for renewal of rental assistance agreements within the 12-month contract period: *Provided further*, That the Secretary shall provide to the Committees on Appropriations of both Houses of Congress quarterly reports on the number of renewals approved pursuant to the preceding proviso, on the amount of rental assistance available, and the anticipated need for rental assistance for the remainder of the fiscal year: *Provided further*, That except as provided in the [second] third proviso under this heading and notwithstanding any other provision of the Act, the Secretary may recapture rental assistance provided under agreements entered into prior to fiscal year [2016] 2017 for a project that the Secretary determines no longer needs rental assistance and use such recaptured funds for current needs [as well as unmet rental assistance needs from fiscal year 2015]. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-0137-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rental assistance program .....	1,088	1,389	1,405
0900 Total new obligations (object class 41.0) .....	1,088	1,389	1,405
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,089	1,389	1,405
1100 Appropriation .....	12	9	9
1139 Appropriations substituted for borrowing authority .....	-12	-9	-9
1160 Appropriation, discretionary (total) .....	1,089	1,389	1,405
1930 Total budgetary resources available .....	1,089	1,389	1,405

1940	Memorandum (non-add) entries: Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	645	592	706
3010	Obligations incurred, unexpired accounts .....	1,088	1,389	1,405
3020	Outlays (gross) .....	-1,141	-1,275	-1,428
3050	Unpaid obligations, end of year .....	592	706	683
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	645	592	706
3200	Obligated balance, end of year .....	592	706	683
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,089	1,389	1,405
Outlays, gross:				
4010	Outlays from new discretionary authority .....	605	764	801
4011	Outlays from discretionary balances .....	536	511	627
4020	Outlays, gross (total) .....	1,141	1,275	1,428
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	1,089	1,389	1,405
4080	Outlays, net (discretionary) .....	1,140	1,275	1,428
4180	Budget authority, net (total) .....	1,089	1,389	1,405
4190	Outlays, net (total) .....	1,140	1,275	1,428

The rental assistance program is authorized under section 521(a)(2) of the Housing Act of 1949, as amended, and is designed to reduce rent expenses for very low-income and low-income families living in RHS-financed rural rental and farm labor housing projects. Funding under this account is provided for renewals of existing rental assistance contracts and assistance for newly constructed units financed by the section 515 loan program and the 514/516 farm labor housing loan and grant programs. At USDA's discretion, some funds may also be used for additional servicing assistance for existing projects. For 2017, the request for rental assistance grants is for contracts for up to one year, with one-year availability, with a total funding level of \$1.405 billion. Rural Development is committed to maintaining a sustainable rental assistance program. The 2017 Budget incorporates changes enacted in 2016 to the operation of the program that are designed to ensure the long term viability of the program. Income verification, enacted in 2016, was provided to help ensure the right level of subsidy is being received by the appropriate tenant. For 2017, the budget includes a legislative proposal to achieve permanent authority for RHS to secure the access to income verification tools from HHS and IRS. In addition, the budget includes language to provide permanent authority for the tools used to preserve and revitalize the existing Section 515 portfolio.

From 1978 through 1991, the rental assistance program was funded under the Rural Housing Insurance Fund (RHIF). Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for this program. Prior year obligations are funded with "such sums" amounts to cover those pre-credit reform contracts in RHIF.

**MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT**

For the rural housing voucher program as authorized under section 542 of the Housing Act of 1949, but notwithstanding subsection (b) of such section, and for additional costs to conduct a demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph, **[\$37,000,000]** \$37,362,000, to remain available until expended: *Provided*, That of the funds made available under this heading, **[\$15,000,000]** \$18,000,000, shall be available for rural housing vouchers to any low-income household (including those not receiving rental assistance) residing in a property financed with a section 515 loan which has been prepaid after September 30, 2005, or that is otherwise paying off the section 515 financing as based on prioritization as determined by the Secretary: *Provided further*, That the amount of such voucher shall be the difference between comparable market rent for the section 515 unit and the tenant paid rent for such unit: *Provided further*, That funds made available for such vouchers shall

be subject to the availability of annual appropriations: *Provided further*, That the Secretary shall, to the maximum extent practicable, administer such vouchers with current regulations and administrative guidance applicable to section 8 housing vouchers administered by the Secretary of the Department of Housing and Urban Development: *Provided further*, That if the Secretary determines that the amount made available for vouchers in this or any other Act is not needed for vouchers, the Secretary may use such funds for the demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph: *Provided further*, That of the funds made available under this heading, **[\$22,000,000]** \$19,362,000 shall be available for a demonstration program for the preservation and revitalization of the sections 514, 515, and 516 multi-family rental housing properties to restructure existing USDA multi-family housing loans, as the Secretary deems appropriate, expressly for the purposes of ensuring the project has sufficient resources to preserve the project for the purpose of providing safe and affordable housing for low-income residents and farm laborers including reducing or eliminating interest; deferring loan payments, subordinating, reducing or reamortizing loan debt; and other financial assistance including advances, *rental assistance agreements*, payments and incentives (including the ability of owners to obtain reasonable returns on investment) required by the Secretary: *Provided further*, That the Secretary shall as part of the preservation and revitalization agreement obtain a restrictive use agreement consistent with the terms of the restructuring: *Provided further*, That if the Secretary determines that additional funds for vouchers described in this paragraph are needed, funds for the preservation and revitalization demonstration program may be used for such vouchers: *Provided further*, That if Congress enacts legislation to permanently authorize a multi-family rental housing loan restructuring program similar to the demonstration program described herein, the Secretary may use funds made available for the demonstration program under this heading to carry out such legislation with **[the prior approval of]** notice to the Committees on Appropriations of both Houses of Congress: *Provided further*, That in addition to any other available funds, the Secretary may expend not more than \$1,000,000 total, from the program funds made available under this heading, for administrative expenses for activities funded under this heading. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-2002-0-1-604	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0010	Grants .....	17	22	23
Credit program obligations:				
0701	Direct loan subsidy .....	25	22	19
0703	Subsidy for modifications of direct loans .....	6	1	1
0705	Reestimates of direct loan subsidy .....	2	1	
0709	Administrative expenses .....	1	1	1
0791	Direct program activities, subtotal .....	34	25	21
0900	Total new obligations (object class 41.0) .....	51	47	44
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	43	23	17
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	43	23	
1021	Recoveries of prior year unpaid obligations .....	5	3	3
1050	Unobligated balance (total) .....	48	26	20
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	24	37	37
Appropriations, mandatory:				
1200	Appropriation .....	2	1	
1900	Budget authority (total) .....	26	38	37
1930	Total budgetary resources available .....	74	64	57
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	23	17	13
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	40	58	81
3010	Obligations incurred, unexpired accounts .....	51	47	44
3020	Outlays (gross) .....	-28	-21	-43
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	-3	-3
3050	Unpaid obligations, end of year .....	58	81	79
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	40	58	81
3200	Obligated balance, end of year .....	58	81	79

MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-2002-0-1-604	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24	37	37
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	2	7
4011 Outlays from discretionary balances .....	20	18	36
4020 Outlays, gross (total) .....	26	20	43
Mandatory:			
4090 Budget authority, gross .....	2	1	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	1	.....
4180 Budget authority, net (total) .....	26	38	37
4190 Outlays, net (total) .....	28	21	43

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-2002-0-1-604	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Multi-Family Housing Relending Demo .....	10	2	.....
115002 Multi-Family Housing Revitalization Seconds .....	17	19	19
115003 Multi-Family Revitalization Zero .....	20	21	15
115999 Total direct loan levels .....	47	42	34
Direct loan subsidy (in percent):			
132001 Multi-Family Housing Relending Demo .....	35.41	31.26	32.38
132002 Multi-Family Housing Revitalization Seconds .....	60.71	54.12	57.01
132003 Multi-Family Revitalization Zero .....	56.22	52.68	51.29
132999 Weighted average subsidy rate .....	53.42	52.31	54.49
Direct loan subsidy budget authority:			
133001 Multi-Family Housing Relending Demo .....	4	1	.....
133002 Multi-Family Housing Revitalization Seconds .....	10	10	11
133003 Multi-Family Revitalization Zero .....	11	11	8
133999 Total subsidy budget authority .....	25	22	19
Direct loan subsidy outlays:			
134001 Multi-Family Housing Relending Demo .....	3	1	1
134002 Multi-Family Housing Revitalization Seconds .....	3	7	8
134003 Multi-Family Revitalization Zero .....	2	8	12
134006 Multi-Family Housing Revitalization Modifications .....	4	3	2
134999 Total subsidy outlays .....	12	19	23
Direct loan reestimates:			
135001 Multi-Family Housing Relending Demo .....	.....	-1	.....
135002 Multi-Family Housing Revitalization Seconds .....	-1	-1	.....
135003 Multi-Family Revitalization Zero .....	-1	-1	.....
135006 Multi-Family Housing Revitalization Modifications .....	1	.....	.....
135999 Total direct loan reestimates .....	-1	-3	.....

USDA's portfolio of multi-family housing projects provides housing for nearly half a million low-income families, many of whom are elderly. Projects that received their financing prior to 1989 are allowed to prepay and leave the program. USDA may assist families displaced by sponsors' prepayments by providing them with letters of priority and vouchers, which were newly funded in 2006. The Budget requests \$18 million in 2017 for housing vouchers for residents of projects whose sponsors prepay or payoff their outstanding indebtedness on USDA loans and leave the program. The vouchers related to prepayments as well as payoffs will be awarded based on prioritization of need as determined by the Secretary. In addition, the Budget requests \$19.4 million for continuation of the multi-family housing revitalization pilot program in 2017. This funding will allow USDA to focus on management of the current multifamily housing portfolio to ensure that the USDA-financed properties continue to provide decent, safe, affordable housing for their rural tenant population. The Budget includes a legislative proposal to make this program permanent.

Prior year obligated balances reflect funding for rental assistance for newly constructed units provided in limited amounts in 1984 and 1985. From 1986 through 1991 rental assistance for newly constructed units, as well as existing rental assistance contract renewals and additional servicing assistance for existing projects, had been funded under the Rural Housing

Insurance Fund. Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for the rental assistance program.

MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4269-0-3-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	46	43	34
0713 Payment of interest to Treasury .....	11	18	20
0742 Downward reestimate paid to receipt account .....	3	4	.....
0743 Interest on downward reestimates .....	1	1	.....
0744 Adjusting payments to liquidating accounts .....	69	.....	.....
0900 Total new obligations .....	130	66	54

Budgetary resources:

Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	12	5	5
1023 Unobligated balances applied to repay debt .....	-3	-5	-5
1024 Unobligated balance of borrowing authority withdrawn .....	-9	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	93	29	29
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	44	26	29
1801 Change in uncollected payments, Federal sources .....	17	11	-4
1825 Spending authority from offsetting collections applied to repay debt .....	-24	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	37	37	25
1900 Budget authority (total) .....	130	66	54
1930 Total budgetary resources available .....	130	66	54

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	102	141	141
3010 Obligations incurred, unexpired accounts .....	130	66	54
3020 Outlays (gross) .....	-79	-61	-50
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12	-5	-5
3050 Unpaid obligations, end of year .....	141	141	140
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-28	-45	-56
3070 Change in uncollected pymts, Fed sources, unexpired .....	-17	-11	4
3090 Uncollected pymts, Fed sources, end of year .....	-45	-56	-52
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	74	96	85
3200 Obligated balance, end of year .....	96	85	88

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	130	66	54
Financing disbursements:			
4110 Outlays, gross (total) .....	79	61	50
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy outlays from program account .....	-14	-20	-23
4120 Modification Costs .....	-25	.....	.....
4122 Interest on uninvested funds .....	-3	-3	-3
4123 Repayments of Principal .....	-2	-2	-2
4123 Interest receivable on loans .....	.....	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-44	-26	-29
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-17	-11	4
4160 Budget authority, net (mandatory) .....	69	29	29
4170 Outlays, net (mandatory) .....	35	35	21
4180 Budget authority, net (total) .....	69	29	29
4190 Outlays, net (total) .....	35	35	21

Status of Direct Loans (in millions of dollars)

Identification code 012-4269-0-3-604	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	28	37	34
1121 Limitation available from carry-forward .....	58	23	.....
1142 Unobligated direct loan limitation (-) .....	-40	-17	.....

1150	Total direct loan obligations .....	46	43	34
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	498	561	627
Disbursements:				
1231	Direct loan disbursements .....	16	30	40
1233	Purchase of loans assets from a liquidating account .....	48	38	18
1251	Repayments: Repayments and prepayments .....	-1	-2	-2
1290	Outstanding, end of year .....	561	627	683

**Balance Sheet** (in millions of dollars)

Identification code 012-4269-0-3-604	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	30	32
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	498	561
1402 Interest receivable .....	31	39
1405 Allowance for subsidy cost (-) .....	-319	-356
1499 Net present value of assets related to direct loans .....	210	244
1999 Total assets .....	240	276
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	240	276
4999 Total liabilities and net position .....	240	276

**MUTUAL AND SELF-HELP HOUSING GRANTS**

For grants and contracts pursuant to section 523(b)(1)(A) of the Housing Act of 1949 (42 U.S.C. 1490c), **[\$27,500,000] \$18,493,000**, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-2006-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mutual and self-help housing grants .....	38	28	28
0900 Total new obligations (object class 41.0) .....	38	28	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	10	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	19	9	.....
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	20	11	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28	28	18
1930 Total budgetary resources available .....	48	39	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	11	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	60	47
3010 Obligations incurred, unexpired accounts .....	38	28	28
3020 Outlays (gross) .....	-28	-40	-34
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	60	47	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	51	60	47
3200 Obligated balance, end of year .....	60	47	40
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	28	28	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	4	3
4011 Outlays from discretionary balances .....	23	36	31
4020 Outlays, gross (total) .....	28	40	34
4180 Budget authority, net (total) .....	28	28	18
4190 Outlays, net (total) .....	28	40	34

This program is authorized under section 523 of the Housing Act of 1949, as amended. Grants and contracts are made for the purpose of providing technical and supervisory assistance to groups of families to enable them to build their own homes through the mutual exchange of labor. The 2017 Budget requests \$18.5 million.

**RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct **[and guaranteed]** loans as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, \$2,200,000,000 for direct loans **[and \$148,305,000 for guaranteed loans]**.

**[For the cost of guaranteed loans, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, \$3,500,000, to remain available until expended.]**

For the cost of grants for rural community facilities programs as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, **[\$38,778,000] \$37,000,000**, to remain available until expended: *Provided*, That \$4,000,000 of the amount appropriated under this heading shall be available for a Rural Community Development Initiative: *Provided further*, That such funds shall be used solely to develop the capacity and ability of private, nonprofit community-based housing and community development organizations, low-income rural communities, and Federally Recognized Native American Tribes to undertake projects to improve housing, community facilities, community and economic development projects in rural areas: *Provided further*, That such funds shall be made available to qualified private, nonprofit and public intermediary organizations proposing to carry out a program of financial and technical assistance: *Provided further*, That such intermediary organizations shall provide matching funds from other sources, including Federal funds for related activities, in an amount not less than funds provided: **[Provided further**, That \$5,778,000 of the amount appropriated under this heading shall be to provide grants for facilities in rural communities with extreme unemployment and severe economic depression (Public Law 106-387), with up to 5 percent for administration and capacity building in the State rural development offices: **] Provided further**, That **[\$4,000,000] \$8,000,000** of the amount appropriated under this heading shall be available for community facilities grants to tribal colleges, as authorized by section 306(a)(19) of such Act: *Provided further*, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading: *Provided further*, That for the purposes of determining eligibility or level of program assistance the Secretary shall not include incarcerated prison populations. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1951-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 CF Grants .....	18	30	34
0012 Rural Community Development Initiative Grants .....	6	9	4
0013 Economic Impact Initiative Grants .....	6	6	.....
0091 Direct program activities, subtotal .....	30	45	38
Credit program obligations:			
0702 Loan guarantee subsidy .....	7	6	2
0705 Reestimates of direct loan subsidy .....	97	80	.....
0706 Interest on reestimates of direct loan subsidy .....	47	16	.....
0707 Reestimates of loan guarantee subsidy .....	3	12	.....
0708 Interest on reestimates of loan guarantee subsidy .....	2	4	.....
0791 Direct program activities, subtotal .....	156	118	2
0900 Total new obligations (object class 41.0) .....	186	163	40
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	13	7
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	16	13	.....
1021 Recoveries of prior year unpaid obligations .....	4	3	3
1050 Unobligated balance (total) .....	20	16	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	42	37

RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-1951-0-1-452	2015 actual	2016 est.	2017 est.
1131 Unobligated balance of appropriations permanently reduced .....			-1
1160 Appropriation, discretionary (total) .....	30	42	36
Appropriations, mandatory:			
1200 Appropriation .....	149	112	.....
1900 Budget authority (total) .....	179	154	36
1930 Total budgetary resources available .....	199	170	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	7	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	82	69	78
3010 Obligations incurred, unexpired accounts .....	186	163	40
3020 Outlays (gross) .....	-195	-151	-43
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-3	-3
3050 Unpaid obligations, end of year .....	69	78	72
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	82	69	78
3200 Obligated balance, end of year .....	69	78	72
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	42	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	4
4011 Outlays from discretionary balances .....	41	34	39
4020 Outlays, gross (total) .....	46	39	43
Mandatory:			
4090 Budget authority, gross .....	149	112	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	149	112	.....
4180 Budget authority, net (total) .....	179	154	36
4190 Outlays, net (total) .....	195	151	43

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1951-0-1-452	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Community Facility Loans .....	1,713	2,200	2,200
115999 Total direct loan levels .....	1,713	2,200	2,200
Direct loan subsidy (in percent):			
132002 Community Facility Loans .....	-12.41	-8.04	-2.56
132999 Weighted average subsidy rate .....	-12.41	-8.04	-2.56
Direct loan subsidy budget authority:			
133002 Community Facility Loans .....	-213	-177	-56
133999 Total subsidy budget authority .....	-213	-177	-56
Direct loan subsidy outlays:			
134002 Community Facility Loans .....	-38	-131	-151
134999 Total subsidy outlays .....	-38	-131	-151
Direct loan reestimates:			
135002 Community Facility Loans .....	143	92	.....
135999 Total direct loan reestimates .....	143	92	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215002 Community Facility Loan Guarantees .....	135	246	78
215999 Total loan guarantee levels .....	135	246	78
Guaranteed loan subsidy (in percent):			
232002 Community Facility Loan Guarantees .....	4.78	2.36	2.24
232999 Weighted average subsidy rate .....	4.78	2.36	2.24
Guaranteed loan subsidy budget authority:			
233002 Community Facility Loan Guarantees .....	6	6	2
233999 Total subsidy budget authority .....	6	6	2
Guaranteed loan subsidy outlays:			
234002 Community Facility Loan Guarantees .....	7	6	6
234999 Total subsidy outlays .....	7	6	6
Guaranteed loan reestimates:			
235002 Community Facility Loan Guarantees .....	-32	8	.....
235999 Total guaranteed loan reestimates .....	-32	8	.....

This account funds the direct and guaranteed community facility loans and community facility grants, which are authorized under sections 306(a)(1) and 306(a)(19) of the Consolidated Farm and Rural Development Act, as amended. Loans are provided to local governments and nonprofit organizations for the construction and improvement of community facilities providing essential services in rural areas of not more than 20,000 population, such as hospitals and fire stations. Total program level in 2017 is projected to be \$2.2 billion for direct loans. The 2017 Budget proposes no guaranteed loans due to an increase in the cost of the program and because it is likely that some demand for the guarantee program will be filled with the increase in the direct loan program. The 2017 Budget requests \$37 million for grant purposes. This includes \$25 million for regular Community Facilities Grants, a portion of which will be used for place-based community projects. The Budget also includes \$4 million for the Rural Community Development Initiative and \$8 million for Tribal College Grants.

RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4225-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,713	2,200	2,200
0713 Payment of interest to Treasury .....	253	260	268
0740 Negative subsidy obligations .....	214	177	56
0742 Downward reestimate paid to receipt account .....	1	2	.....
0743 Interest on downward reestimates .....	.....	2	.....
0900 Total new obligations .....	2,181	2,641	2,524
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	680	.....
1021 Recoveries of prior year unpaid obligations .....	244	.....	.....
1023 Unobligated balances applied to repay debt .....	-1	-680	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-244	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2,428	1,853	1,750
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	764	786	831
1801 Change in uncollected payments, Federal sources .....	-2	2	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-329	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	433	788	831
1900 Budget authority (total) .....	2,861	2,641	2,581
1930 Total budgetary resources available .....	2,861	2,641	2,581
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	680	.....	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,048	3,877	5,009
3010 Obligations incurred, unexpired accounts .....	2,181	2,641	2,524
3020 Outlays (gross) .....	-1,108	-1,509	-1,830
3040 Recoveries of prior year unpaid obligations, unexpired .....	-244	.....	.....
3050 Unpaid obligations, end of year .....	3,877	5,009	5,703
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	-2	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,045	3,876	5,006
3200 Obligated balance, end of year .....	3,876	5,006	5,700
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,861	2,641	2,581
Financing disbursements:			
4110 Outlays, gross (total) .....	1,108	1,509	1,830
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-146	-96	.....
4122 Interest on uninvested funds .....	-47	-83	-100
4123 Repayment of principal .....	-363	-373	-449

4123	Interest received on loans .....	-208	-234	-282
4130	Offsets against gross budget authority and outlays (total) ....	-764	-786	-831
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	2	-2	.....
4160	Budget authority, net (mandatory) .....	2,099	1,853	1,750
4170	Outlays, net (mandatory) .....	344	723	999
4180	Budget authority, net (total) .....	2,099	1,853	1,750
4190	Outlays, net (total) .....	344	723	999

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4225-0-3-452	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	2,200	2,200	2,200
1142	Unobligated direct loan limitation (-) .....	-487	.....	.....
1150	Total direct loan obligations .....	1,713	2,200	2,200
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	5,086	5,526	6,652
1231	Disbursements: Direct loan disbursements .....	815	1,509	1,830
1251	Repayments: Repayments and prepayments .....	-363	-373	-449
Write-offs for default:				
1263	Direct loans .....	-10	-10	-10
1264	Other adjustments, net (+ or -) .....	-2	.....	.....
1290	Outstanding, end of year .....	5,526	6,652	8,023

This account reflects the funding from direct community facility loans to non-profit organizations and local governments for the construction and improvement of community facilities providing essential services in rural areas, such as hospitals, libraries, and fire/police stations. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

Identification code 012-4225-0-3-452	2014 actual	2015 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	338	871
Investments in US securities:			
1106	Receivables, net .....	142	90
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	5,086	5,526
1402	Interest receivable .....	47	52
1405	Allowance for subsidy cost (-) .....	-103	-149
1499	Net present value of assets related to direct loans .....	5,030	5,429
1999	Total assets .....	5,510	6,390
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable .....	5,509	6,386
2105	Other .....	1	4
2999	Total liabilities .....	5,510	6,390
4999	Total liabilities and net position .....	5,510	6,390

**RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4228-0-3-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0711	Default claim payments on principal .....	17	18	18
0713	Payment of interest to Treasury .....	1	1	1
0742	Downward reestimate paid to receipt account .....	33	6	.....
0743	Interest on downward reestimates .....	4	3	.....
0900	Total new obligations .....	55	28	19
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	85	45	30
1023	Unobligated balances applied to repay debt .....	-6	-12	.....

1050	Unobligated balance (total) .....	79	33	30
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	9	.....	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	15	25	9
1801	Change in uncollected payments, Federal sources .....	-3	.....	4
1850	Spending auth from offsetting collections, mand (total) .....	12	25	13
1900	Budget authority (total) .....	21	25	13
1930	Total budgetary resources available .....	100	58	43
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	45	30	24

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	55	28	19
3020	Outlays (gross) .....	-55	-28	-15
3050	Unpaid obligations, end of year .....	.....	.....	4
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-13	-13
3070	Change in uncollected pymts, Fed sources, unexpired .....	3	.....	-4
3090	Uncollected pymts, Fed sources, end of year .....	-13	-13	-17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-16	-13	-13
3200	Obligated balance, end of year .....	-13	-13	-13

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	21	25	13
Financing disbursements:				
4110	Outlays, gross (total) .....	55	28	15
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-12	-22	-6
4122	Interest on uninvested funds .....	-1	-1	-1
4123	Guarantee Fees .....	-2	-1	-1
4123	Repayment of loan principal .....	.....	-1	-1
4130	Offsets against gross budget authority and outlays (total) ....	-15	-25	-9
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	3	.....	-4
4160	Budget authority, net (mandatory) .....	9	.....	.....
4170	Outlays, net (mandatory) .....	40	3	6
4180	Budget authority, net (total) .....	9	.....	.....
4190	Outlays, net (total) .....	40	3	6

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4228-0-3-452	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....	73	148	.....
2121	Limitation available from carry-forward .....	111	101	78
2142	Uncommitted loan guarantee limitation .....	-49	-3	.....
2150	Total guaranteed loan commitments .....	135	246	78
2199	Guaranteed amount of guaranteed loan commitments .....	108	197	62
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	1,246	1,285	1,296
2231	Disbursements of new guaranteed loans .....	148	124	153
2251	Repayments and prepayments .....	-85	-95	-96
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-13	-18	-18
2263	Terminations for default that result in claim payments .....	-4	.....	.....
2264	Other adjustments, net .....	-7	.....	.....
2290	Outstanding, end of year .....	1,285	1,296	1,335

**Memorandum:**

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,028	1,037	1,068
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**Addendum:**

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	10	15	15
2331	Disbursements for guaranteed loan claims .....	12	3	3
2351	Repayments of loans receivable .....	-6	-2	-2
2361	Write-offs of loans receivable .....	-1	-1	-1
2364	Other adjustments, net .....	.....	.....	.....
2390	Outstanding, end of year .....	15	15	15

RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT—Continued

This account finances loan guarantee commitments for essential community facilities in rural areas. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4228-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	69	33
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	10	15
1505 Allowance for subsidy cost (-) .....	-1	-1
1599 Net present value of assets related to defaulted guaranteed loans .....	9	14
1999 Total assets .....	78	47
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	8	12
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	70	35
2999 Total liabilities .....	78	47
4999 Total liabilities and net position .....	78	47

RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by title V of the Housing Act of 1949, to be available from funds in the rural housing insurance fund, as follows: \$900,000,000 shall be for direct loans and \$24,000,000,000 shall be for unsubsidized guaranteed loans; **[\$26,278,000]** \$26,277,000 for section 504 housing repair loans; **[\$28,398,000]** \$33,074,000 for section 515 rental housing; **[\$150,000,000]** \$230,000,000 for section 538 guaranteed multi-family housing loans; \$10,000,000 for credit sales of single family housing acquired property; \$5,000,000 for section 523 self-help housing land development loans; and \$5,000,000 for section 524 site development loans.

For the cost of direct and guaranteed loans, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, as follows: section 502 loans, **[\$60,750,000]** \$60,930,000 shall be for direct loans; section 504 housing repair loans, **[\$3,424,000]** \$3,663,000; **[and]** repair, rehabilitation, and new construction of section 515 rental housing, **[\$8,414,000]** \$9,790,000; section 523 self-help housing land development loans, \$417,000; and section 524 site development loans, \$111,000. *Provided*, That to support the loan program level for section 538 guaranteed loans made available under this heading the Secretary may charge or adjust any fees to cover the projected cost of such loan guarantees pursuant to the provisions of the Credit Reform Act of 1990 (2 U.S.C. 661 et seq.), and the interest on such loans may not be subsidized; *Provided further*, That applicants in communities that have a current rural area waiver under section 541 of the Housing Act of 1949 (42 U.S.C. 1490q) shall be treated as living in a rural area for purposes of section 502 guaranteed loans provided under this heading; *Provided further*, That of the amounts available under this paragraph for section 502 direct loans, no less than \$5,000,000 shall be available for direct loans for individuals whose homes will be built pursuant to a program funded with a mutual and self-help housing grant authorized by section 523 of the Housing Act of 1949 until June 1, 2016.

In addition, for the cost of direct loans, grants, and contracts, as authorized by 42 U.S.C. 1484 and 1486, **[\$15,125,000]** \$15,388,000, to remain available until expended, for direct farm labor housing loans and domestic farm labor housing grants and contracts: *Provided*, That any balances available for the Farm Labor Program Account shall be transferred to and merged with this account.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, **[\$417,854,000]** \$426,821,000 shall be **[transferred to and merged with]** paid to the appropriation for "Rural Development, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-2081-0-1-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Farm labor housing grants .....	6	11	12
Credit program obligations:			
0701 Direct loan subsidy .....	85	84	86

0705 Reestimates of direct loan subsidy .....	66	31	.....
0706 Interest on reestimates of direct loan subsidy .....	33	22	.....
0707 Reestimates of loan guarantee subsidy .....	796	2,822	.....
0708 Interest on reestimates of loan guarantee subsidy .....	184	251	.....
0709 Administrative expenses .....	415	418	427
0791 Direct program activities, subtotal .....	1,579	3,628	513
0900 Total new obligations .....	1,585	3,639	525

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	15	22	17
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	15	22	.....
1021 Recoveries of prior year unpaid obligations .....	4	3	3
1050 Unobligated balance (total) .....	19	25	20
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	511	505	517
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	1,079	3,126	.....
1900 Budget authority (total) .....	1,590	3,631	517
1930 Total budgetary resources available .....	1,609	3,656	537
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	22	17	12

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	122	119	103
3010 Obligations incurred, unexpired accounts .....	1,585	3,639	525
3020 Outlays (gross) .....	-1,582	-3,652	-526
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-3	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	119	103	99
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	122	119	103
3200 Obligated balance, end of year .....	119	103	99

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	511	505	517
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	459	472	482
4011 Outlays from discretionary balances .....	44	54	44
4020 Outlays, gross (total) .....	503	526	526
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,079	3,126	.....
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1,079	3,126	.....
4180 Budget authority, net (total) .....	1,590	3,631	517
4190 Outlays, net (total) .....	1,582	3,652	526

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-2081-0-1-371	2015 actual	2016 est.	2017 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Section 502 Single-Family Housing .....	904	900	900
115004 Section 515 Multi-Family Housing .....	28	28	33
115007 Section 504 Housing Repair .....	15	26	26
115011 Section 514 Farm Labor Housing .....	19	41	38
115012 Section 524 Site Development .....	1	5	5
115013 Section 523 Self-Help Housing .....	.....	5	5
115014 Section 514 Farm Labor Housing Credit Sales .....	1	2	10
115999 Total direct loan levels .....	968	1,007	1,017
<b>Direct loan subsidy (in percent):</b>			
132001 Section 502 Single-Family Housing .....	7.38	6.75	6.77
132004 Section 515 Multi-Family Housing .....	34.51	29.63	29.60
132007 Section 504 Housing Repair .....	14.03	13.03	13.94
132011 Section 514 Farm Labor Housing .....	32.20	28.46	29.56
132012 Section 524 Site Development .....	-4.82	-1.53	2.22
132013 Section 523 Self-Help Housing .....	-2.48	-30	8.34
132014 Single-Family Housing Credit Sales .....	-6.41	-4.87	-2.36
132999 Weighted average subsidy rate .....	8.73	8.33	8.44
<b>Direct loan subsidy budget authority:</b>			
133001 Section 502 Single-Family Housing .....	67	61	61
133004 Section 515 Multi-Family Housing .....	10	8	10
133007 Section 504 Housing Repair .....	2	3	4
133011 Section 514 Farm Labor Housing .....	6	12	11
133999 Total subsidy budget authority .....	85	84	86
<b>Direct loan subsidy outlays:</b>			
134001 Section 502 Single-Family Housing .....	48	67	73

134004	Section 515 Multi-Family Housing .....	17	12	11
134007	Section 504 Housing Repair .....		3	5
134011	Section 514 Farm Labor Housing .....	10	9	8
134999	Total subsidy outlays .....	75	91	97
	Direct loan reestimates:			
135001	Section 502 Single-Family Housing .....	64	-24	.....
135004	Section 515 Multi-Family Housing .....	-17	-14	.....
135007	Section 504 Housing Repair .....		2	.....
135011	Section 514 Farm Labor Housing .....	2	-1	.....
135014	Single-Family Housing Credit Sales .....	2	-5	.....
135999	Total direct loan reestimates .....	51	-42	.....
	Guaranteed loan levels supportable by subsidy budget authority:			
215003	Guaranteed 538 Multi-Family Housing .....	114	130	200
215011	Guaranteed 502 Single Family Housing .....	18,623	18,000	20,211
215999	Total loan guarantee levels .....	18,737	18,130	20,411
	Guaranteed loan subsidy (in percent):			
232003	Guaranteed 538 Multi-Family Housing .....	-1.27	-2.97	-3.53
232011	Guaranteed 502 Single Family Housing .....	-61	-15	-76
232999	Weighted average subsidy rate .....	-61	-17	-79
	Guaranteed loan subsidy budget authority:			
233003	Guaranteed 538 Multi-Family Housing .....	-1	-4	-7
233011	Guaranteed 502 Single Family Housing .....	-114	-27	-154
233999	Total subsidy budget authority .....	-115	-31	-161
	Guaranteed loan subsidy outlays:			
234003	Guaranteed 538 Multi-Family Housing .....		-1	-2
234009	Guaranteed Section 502 Single Family Housing, Purchase - ARRA .....		1	.....
234011	Guaranteed 502 Single Family Housing .....	-105	-35	-133
234999	Total subsidy outlays .....	-105	-35	-135
	Guaranteed loan reestimates:			
235001	Guaranteed 502 Single Family Housing, Purchase .....	810	818	.....
235002	Guaranteed 502, Refinance .....	28	-12	.....
235003	Guaranteed 538 Multi-Family Housing .....	-8	-11	.....
235011	Guaranteed 502 Single Family Housing .....	-72	2,254	.....
235999	Total guaranteed loan reestimates .....	758	3,049	.....
	Administrative expense data:			
3510	Budget authority .....	415	418	427
3590	Outlays from new authority .....	415	418	427

and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 012-2081-0-1-371	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	415	418	427
41.0 Grants, subsidies, and contributions .....	1,170	3,221	98
99.9 Total new obligations .....	1,585	3,639	525

**RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4215-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Advances on behalf of borrowers .....	97	97	97
Credit program obligations:			
0710 Direct loan obligations .....	968	1,008	1,018
0713 Payment of interest to Treasury .....	751	748	745
0742 Downward reestimate paid to receipt account .....	23	75	.....
0743 Interest on downward reestimates .....	23	18	.....
0791 Direct program activities, subtotal .....	1,765	1,849	1,763
0900 Total new obligations .....	1,862	1,946	1,860
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	804	541	.....
1021 Recoveries of prior year unpaid obligations .....	60	.....	.....
1023 Unobligated balances applied to repay debt .....	-813	-541	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-51	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	914	281	239
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,699	1,660	1,621
1801 Change in uncollected payments, Federal sources .....	5	5	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-215	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	1,489	1,665	1,621
1900 Budget authority (total) .....	2,403	1,946	1,860
1930 Total budgetary resources available .....	2,403	1,946	1,860
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	541	.....	.....

**Rural Housing Insurance Fund.**—This fund was established in 1965 (Public Law 89-117) pursuant to section 517 of title V of the Housing Act of 1949, as amended. Loan programs are limited to rural areas that include towns, villages, and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000 but not more than 20,000. Areas are within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

For 2017, the Section 502 single family housing guarantees are requested at a \$24 billion loan level. The subsidy rate for 2017 is a blended rate of the new/purchase single family housing guarantees with the refinanced single housing guarantees, and with the combination annual and up-front fee structure, the subsidy rate continues to be negative.

The Budget requests a loan level of \$900 million for Section 502 single family housing direct loans. In addition, the request includes a funding level of approximately \$33.1 million for Section 515 multi-family housing loans, \$26.3 million for Section 504 very low-income housing repair loans, \$5 million for Section 524 site development loan, \$5 million for Section 523 self-help housing land development, and \$10 million for credit sales of acquired property for single family housing loans. No funding is requested for credit sales of acquired property for multi-family housing.

The 2017 Budget also requests \$230 million in funding for the multi-family housing guaranteed loan program and continues to include appropriations language that will allow the program to operate without interest subsidy and with a fee, which removes the main subsidy cost drivers in this program.

In addition, the 2017 Budget includes \$23.9 million in farm labor housing loans and \$8.3 million in farm labor housing grants.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	570	576	571
3010 Obligations incurred, unexpired accounts .....	1,862	1,946	1,860
3020 Outlays (gross) .....	-1,796	-1,951	-1,864
3040 Recoveries of prior year unpaid obligations, unexpired .....	-60	.....	.....
3050 Unpaid obligations, end of year .....	576	571	567
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-76	-81	-86
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	-5	.....
3090 Uncollected pymts, Fed sources, end of year .....	-81	-86	-86
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	494	495	485
3200 Obligated balance, end of year .....	495	485	481

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	2,403	1,946	1,860
Financing disbursements:			
4110 Outlays, gross (total) .....	1,796	1,951	1,864
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: payments from program account .....	-173	-144	-97
4122 Interest on uninvested funds .....	-82	-75	-75
4123 Non-Federal sources: Repayments of principal .....	-830	-829	-836
4123 Interest received on loans .....	-540	-544	-543
4123 Payments on judgments .....	-7	-10	-10
4123 Proceeds on sale of acquired property .....	-42	-33	-34

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 012-4215-0-3-371	2015 actual	2016 est.	2017 est.
4123 Recaptured income .....	-15	-14	-14
4123 Fees .....	-10	-10	-11
4123 Miscellaneous collections .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-1,699	-1,660	-1,621
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-5	-5	.....
4160 Budget authority, net (mandatory) .....	699	281	239
4170 Outlays, net (mandatory) .....	97	291	243
4180 Budget authority, net (total) .....	699	281	239
4190 Outlays, net (total) .....	97	291	243

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4215-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	968	989	1,003
1121 Limitation available from carry-forward .....	.....	37	15
1142 Unobligated direct loan limitation (-) .....	.....	-18	.....
1150 Total direct loan obligations .....	968	1,008	1,018
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	17,558	17,511	17,649
1231 Disbursements: Direct loan disbursements .....	1,047	1,033	1,041
Repayments:			
1251 Repayments and prepayments .....	-822	-829	-836
1252 Proceeds from loan asset sales to the public or discounted .....	-75	-65	-65
Adjustments:			
1261 Capitalized interest .....	25	26	26
1262 Discount on loan asset sales to the public or discounted .....	-2	-1	-1
Write-offs for default:			
1263 Direct loans .....	-58	-26	-26
1264 Other adjustments, net (+ or -) .....	-162	.....	.....
1290 Outstanding, end of year .....	17,511	17,649	17,788

This account reflects the financing for direct rural housing loans for section the 502 very low- and low-to-moderate-income home ownership loan program; section 504 very low-income housing repair loan program; section 514 domestic farm labor housing loan program; section 515 rural rental housing loan program; sections 523 self-help housing loans, and 524 site development loans; and single family and multi-family housing credit sales of acquired property.

**Balance Sheet** (in millions of dollars)

Identification code 012-4215-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	1,101	1,018
Investments in US securities:		
1106 Receivables, net .....	92	50
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	17,558	17,511
1402 Interest receivable .....	73	34
1404 Foreclosed property .....	78	75
1405 Allowance for subsidy cost (-) .....	-2,468	-2,200
1499 Net present value of assets related to direct loans .....	15,241	15,420
1999 Total assets .....	16,434	16,488
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	16,363	16,378
2105 Other .....	52	94
2201 Non-Federal liabilities: Accounts payable .....	19	16
2999 Total liabilities .....	16,434	16,488
4999 Total liabilities and net position .....	16,434	16,488

RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT  
**Program and Financing** (in millions of dollars)

Identification code 012-4216-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Interest assistance paid to lenders .....	9	9	9
Credit program obligations:			
0711 Default claim payments on principal .....	1,290	590	620
0740 Negative subsidy obligations .....	114	32	161
0742 Downward reestimate paid to receipt account .....	216	18	.....
0743 Interest on downward reestimates .....	6	6	.....
0791 Direct program activities, subtotal .....	1,626	646	781
0900 Total new obligations .....	1,635	655	790

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,668	3,700	6,827
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1023 Unobligated balances applied to repay debt .....	-1	.....	.....
1050 Unobligated balance (total) .....	3,669	3,700	6,827
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	9	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,658	3,782	823
1801 Change in uncollected payments, Federal sources .....	-1	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	1,657	3,782	823
1900 Budget authority (total) .....	1,666	3,782	823
1930 Total budgetary resources available .....	5,335	7,482	7,650
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,700	6,827	6,860

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	14	14
3010 Obligations incurred, unexpired accounts .....	1,635	655	790
3020 Outlays (gross) .....	-1,624	-655	-790
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	14	14	14
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	14	14
3200 Obligated balance, end of year .....	14	14	14

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	1,666	3,782	823
Financing disbursements:			
4110 Outlays, gross (total) .....	1,624	655	790
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-981	-3,074	.....
4122 Interest on uninvested funds .....	-82	-135	-142
4123 Non-Federal sources: guarantee fees .....	-595	-568	-676
4123 Repayments of Principal .....	.....	-4	-4
4123 Interest Received on Loans .....	.....	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-1,658	-3,782	-823
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
4160 Budget authority, net (mandatory) .....	9	.....	.....
4170 Outlays, net (mandatory) .....	-34	-3,127	-33
4180 Budget authority, net (total) .....	9	.....	.....
4190 Outlays, net (total) .....	-34	-3,127	-33

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4216-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	18,737	24,150	20,411
2142 Uncommitted loan guarantee limitation .....	.....	-6,020	.....
2150 Total guaranteed loan commitments .....	18,737	18,130	20,411
2199 Guaranteed amount of guaranteed loan commitments .....	16,863	16,335	18,369

Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	102,577	112,481	118,139
2231	Disbursements of new guaranteed loans .....	19,714	16,935	20,134
2251	Repayments and prepayments .....	-8,302	-10,686	-11,223
Adjustments:				
2263	Terminations for default that result in claim payments .....	-1,290	-591	-620
2264	Other adjustments, net .....	-218		
2290	Outstanding, end of year .....	112,481	118,139	126,430
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	101,233	106,326	113,787
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	997	1,163	1,293
2331	Disbursements for guaranteed loan claims .....	202	272	291
2351	Repayments of loans receivable .....	-4	-12	-13
2361	Write-offs of loans receivable .....	-32	-130	-139
2364	Other adjustments, net .....			
2390	Outstanding, end of year .....	1,163	1,293	1,432

This account finances the guaranteed section 502 low-to-moderate-income home ownership loan program as well as the re-financings of those loans and the section 538 guaranteed multi-family housing loan program. The guaranteed programs enable the Rural Housing Service to utilize private sector resources for the making and servicing of loans while the Agency provides a financial guarantee to encourage private sector activity.

**Balance Sheet** (in millions of dollars)

Identification code 012-4216-0-3-371	2014 actual	2015 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	3,667	3,701
Investments in US securities:			
1106	Receivables, net .....	948	1,064
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	997	1,163
1502	Interest receivable .....	2	2
1505	Allowance for subsidy cost (-) .....	-958	-1,130
1505	Currently not collectible (-) .....	-1	-1
1599	Net present value of assets related to defaulted guaranteed loans .....	40	34
1999	Total assets .....	4,655	4,799
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	3	2
2104	Resources payable to Treasury .....	210	
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	4,442	4,797
2999	Total liabilities .....	4,655	4,799
4999	Total liabilities and net position .....	4,655	4,799

**RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4141-0-3-371	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0107	Other costs incident to loans .....	25	25	23
0900	Total new obligations (object class 25.2) .....	25	25	23
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	48	83	
1021	Recoveries of prior year unpaid obligations .....	5		
1022	Capital transfer of unobligated balances to general fund .....	-53	-83	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	533	474	452
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-425	-449	-429
1850	Spending auth from offsetting collections, mand (total) .....	108	25	23

1930	Total budgetary resources available .....	108	25	23
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	83		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	16	21	22
3010	Obligations incurred, unexpired accounts .....	25	25	23
3020	Outlays (gross) .....	-15	-24	-24
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050	Unpaid obligations, end of year .....	21	22	21
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16	21	22
3200	Obligated balance, end of year .....	21	22	21

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	108	25	23
Outlays, gross:				
4100	Outlays from new mandatory authority .....	13	22	20
4101	Outlays from mandatory balances .....	2	2	4
4110	Outlays, gross (total) .....	15	24	24
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-28		
4123	Non-Federal sources .....	-505	-474	-452
4130	Offsets against gross budget authority and outlays (total) .....	-533	-474	-452
4160	Budget authority, net (mandatory) .....	-425	-449	-429
4170	Outlays, net (mandatory) .....	-518	-450	-428
4180	Budget authority, net (total) .....	-425	-449	-429
4190	Outlays, net (total) .....	-518	-450	-428

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4141-0-3-371	2015 actual	2016 est.	2017 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	7,799	7,414	7,048
1251	Repayments: Repayments and prepayments .....	-296	-293	-290
Write-offs for default:				
1263	Direct loans .....	-27	-25	-24
1264	Other adjustments, net (+ or -) .....	-62	-48	-43
1290	Outstanding, end of year .....	7,414	7,048	6,691

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4141-0-3-371	2015 actual	2016 est.	2017 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	2	2	2
2251	Repayments and prepayments .....			
2290	Outstanding, end of year .....	2	2	2
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2	2	1

**Balance Sheet** (in millions of dollars)

Identification code 012-4141-0-3-371	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	103	145
1601	Direct loans, gross .....	7,799	7,414
1602	Interest receivable .....	754	807
1603	Allowance for estimated uncollectible loans and interest (-) .....	-716	-696
1604	Direct loans and interest receivable, net .....	7,837	7,525
1606	Foreclosed property .....	23	17
1699	Value of assets related to direct loans .....	7,860	7,542
1901	Other Federal assets: Other assets .....	3	3
1999	Total assets .....	7,966	7,690
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	7,970	7,694
2207	Non-Federal liabilities: Other .....	-4	-4
2999	Total liabilities .....	7,966	7,690

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT—Continued  
**Balance Sheet—Continued**

Identification code 012-4141-0-3-371	2014 actual	2015 actual
4999 Total liabilities and net position .....	7,966	7,690

**RURAL BUSINESS—COOPERATIVE SERVICE**

*Federal Funds*

ENERGY ASSISTANCE PAYMENTS

**Program and Financing** (in millions of dollars)

Identification code 012-2073-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Bioenergy Program for Advanced Biofuels Payments .....	15	16	15
0011 Repowering Assistance Payments .....	4		
0900 Total new obligations (object class 41.0) .....	19	16	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	10	8
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	23	10	8
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ...	15	15	15
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-9	-1	
1260 Appropriations, mandatory (total) .....	6	14	15
1900 Budget authority (total) .....	6	14	15
1930 Total budgetary resources available .....	29	24	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	8	8

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	5	5
3010 Obligations incurred, unexpired accounts .....	19	16	15
3020 Outlays (gross) .....	-15	-16	-20
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	5	5	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	5	5
3200 Obligated balance, end of year .....	5	5	

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	6	14	15
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6	7	7
4101 Outlays from mandatory balances .....	9	9	13
4110 Outlays, gross (total) .....	15	16	20
4180 Budget authority, net (total) .....	6	14	15
4190 Outlays, net (total) .....	15	16	20

The purpose of the Bioenergy Program for Advanced Biofuels is to provide payments to eligible agricultural producers to support and ensure an expanding production of advanced biofuels. This program is authorized pursuant to section 9005 of the Farm Security and Rural Investment Act of 2002, as amended by the Agricultural Act of 2014. The account also includes funding for Repowering Assistance payments. The purpose of this program is to encourage biorefineries to replace fossil fuel used to produce heat or power to operate the biorefineries. This program was authorized pursuant to section 9004 of the Farm Security and Rural Investment Act of 2002, as amended by the Agricultural Act of 2014. The Budget does not request discretionary funding in 2017 for either program.

**HEALTHY FOOD FINANCING INITIATIVE**

For necessary expenses of the Secretary to support projects that provide access to healthy food in underserved areas, to create and preserve quality jobs, and to revitalize low-income communities, \$1,000,000, to remain available until expended, for the cost of loans and grants that is consistent with section 4206 of the Agricultural Act of 2014.

**Program and Financing** (in millions of dollars)

Identification code 012-0015-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Healthy Food Financing Initiative .....			1
0900 Total new obligations (object class 41.0) .....			1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1
1930 Total budgetary resources available .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1
4180 Budget authority, net (total) .....			1
4190 Outlays, net (total) .....			

Section 4206 of the Agricultural Act of 2014 authorizes the Secretary to request funding to provide healthy food access in underserved areas, to create and preserve quality jobs, and to revitalize low-income communities. The 2017 Budget requests \$1 million for this program.

**RURAL COOPERATIVE DEVELOPMENT GRANTS**

For rural cooperative development grants authorized under section 310B(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932), **[\$22,050,000]** \$22,250,000, of which \$2,500,000 shall be for cooperative agreements for the appropriate technology transfer for rural areas program: *Provided*, That not to exceed \$3,000,000 shall be for grants for cooperative development centers, individual cooperatives, or groups of cooperatives that serve socially disadvantaged groups and a majority of the boards of directors or governing boards of which are comprised of individuals who are members of socially disadvantaged groups; and of which \$10,750,000, to remain available until expended, shall be for value-added agricultural product market development grants, as authorized by section 231 of the Agricultural Risk Protection Act of 2000 (7 U.S.C. 1632a). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1900-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rural Cooperative Development Grants .....	9	3	3
0011 Value Added Agricultural Producer Grants (discretionary) .....	24	15	14
0012 Appropriate Technology Transfer for Rural Areas .....	2	2	2
0013 Value Added Agricultural Product Marketing (mandatory) .....	23	28	14
0900 Total new obligations (object class 41.0) .....	58	48	33
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	45	21
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	4	
1021 Recoveries of prior year unpaid obligations .....	3	2	2
1050 Unobligated balance (total) .....	81	47	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	22

1900	Budget authority (total) .....	22	22	22
1930	Total budgetary resources available .....	103	69	45
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	45	21	12
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	48	71	62
3010	Obligations incurred, unexpired accounts .....	58	48	33
3020	Outlays (gross) .....	-32	-55	-51
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	-2	-2
3050	Unpaid obligations, end of year .....	71	62	42
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	48	71	62
3200	Obligated balance, end of year .....	71	62	42
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	22	22	22
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5	3	3
4011	Outlays from discretionary balances .....	27	29	25
4020	Outlays, gross (total) .....	32	32	28
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....		23	23
4180	Budget authority, net (total) .....	22	22	22
4190	Outlays, net (total) .....	32	55	51

Grants for rural cooperative development were authorized under section 310B(e) of the Consolidated Farm and Rural Development Act by Public Law 104-127, April 4, 1996. These grants are made available to nonprofit corporations and institutions of higher education to fund the establishment and operation of centers for rural cooperative development. The 2017 Budget proposes \$6 million for the Rural Cooperative Development Grants. The 2017 Budget also requests \$2.5 million for The Appropriate Technology Transfer to Rural Areas (ATTRA) program, which was first authorized by the Food Security Act of 1985. The program provides information and technical assistance to agricultural producers to adopt sustainable agricultural practices that are environmentally friendly and lower production costs. The 2017 Budget also includes \$3 million for grants to assist minority producers. These grants provide assistance to small minority producers through cooperatives and associations of cooperatives.

Additionally, USDA provides Value-Added Marketing Grants for producers of agricultural commodities. These grants can be used for planning activities and for working capital for marketing value-added agricultural products. The Budget requests to fund the program at \$10.8 million.

RURAL ECONOMIC DEVELOPMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 012-3105-0-1-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Rural economic development grants .....	9	11	12
0002	Subsidy .....	4	4	12
0900	Total new obligations (object class 41.0) .....	13	15	24
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	194	205	193
1021	Recoveries of prior year unpaid obligations .....			1
1050	Unobligated balance (total) .....	194	205	194
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced .....			-151
Appropriations, mandatory:				
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-179	-179	
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	203	182	170
1802	Offsetting collections (previously unavailable) .....	1	1	1

1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850	Spending auth from offsetting collections, mand (total) .....	203	182	171
1900	Budget authority (total) .....	24	3	20
1930	Total budgetary resources available .....	218	208	214
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	205	193	190
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	11	12	13
3010	Obligations incurred, unexpired accounts .....	13	15	24
3020	Outlays (gross) .....	-12	-14	-23
3040	Recoveries of prior year unpaid obligations, unexpired .....			-1
3050	Unpaid obligations, end of year .....	12	13	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11	12	13
3200	Obligated balance, end of year .....	12	13	13
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-151
Mandatory:				
4090	Budget authority, gross .....	24	3	171
Outlays, gross:				
4100	Outlays from new mandatory authority .....		6	15
4101	Outlays from mandatory balances .....	12	8	8
4110	Outlays, gross (total) .....	12	14	23
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Cushion of Credit Payments .....	-189	-168	-156
4123	Guaranteed Underwriter Fees .....	-14	-14	-14
4130	Offsets against gross budget authority and outlays (total) .....	-203	-182	-170
4160	Budget authority, net (mandatory) .....	-179	-179	1
4170	Outlays, net (mandatory) .....	-191	-168	-147
4180	Budget authority, net (total) .....	-179	-179	-150
4190	Outlays, net (total) .....	-191	-168	-147
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	

This grant program is authorized under section 313 of the Rural Electrification Act, as amended, and provides funds for the purpose of promoting rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects and other expenses for the purpose of fostering rural development.

Funding for this program is provided from the interest differential on Rural Utilities Service borrowers' "cushion of credit" accounts. The Budget proposes a cancellation of \$151.4 million from the "cushion of credit" account in 2017. The Budget proposes \$12 million for rural economic development grants and \$12.1 million for loan subsidy, which supports a loan level of \$85 million. The increased funding level over 2016 will help to provide opportunities for job creation and growth in rural areas adjusting from the transition away from fossil-fueled energy production.

RURAL MICROENTERPRISE INVESTMENT PROGRAM ACCOUNT

For the cost of loans, \$2,904,000, and for grants, \$2,000,000, under the same terms and conditions as authorized by section 379E of the Consolidated Farm and Rural Development Act (7 U.S.C. 2008s): Provided, That such cost of loans, including the cost of modifying such loans, shall be as defined by section 502 of the Congressional Budget Act of 1974.

Program and Financing (in millions of dollars)

Identification code 012-1955-0-1-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0011	Grants .....	4	4	4
Credit program obligations:				
0701	Direct loan subsidy .....		1	4
0900	Total new obligations (object class 41.0) .....	4	5	8

RURAL MICROENTERPRISE INVESTMENT PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-1955-0-1-452	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	1
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	4	3	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			5
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ...	3	3	3
1900 Budget authority (total) .....	3	3	8
1930 Total budgetary resources available .....	7	6	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	8
3010 Obligations incurred, unexpired accounts .....	4	5	8
3020 Outlays (gross) .....	-3	-4	-4
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	7	8	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	8
3200 Obligated balance, end of year .....	7	8	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			5
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1		
4101 Outlays from mandatory balances .....	2	4	4
4110 Outlays, gross (total) .....	3	4	4
4180 Budget authority, net (total) .....	3	3	8
4190 Outlays, net (total) .....	3	4	4

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1955-0-1-452	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Microenterprise Direct Loans .....	2	11	32
Direct loan subsidy (in percent):			
132001 Rural Microenterprise Direct Loans .....	12.81	11.33	12.40
132999 Weighted average subsidy rate .....	12.81	11.33	12.40
Direct loan subsidy budget authority:			
133001 Rural Microenterprise Direct Loans .....		1	4
Direct loan subsidy outlays:			
134001 Rural Microenterprise Direct Loans .....	1	1	1
Direct loan reestimates:			
135001 Rural Microenterprise Direct Loans .....	-1		

This program provides microentrepreneurs with the skills necessary to establish new rural microenterprises, as well as support these types of businesses with technical and financial assistance. The program provides loans and grants to intermediaries that assist microentrepreneurs. For 2017 the Budget requests \$2.9 million in discretionary funds to support a loan level of \$23.4 million and \$2 million for technical assistance grants. The program is authorized pursuant to section 379E(d) of the Consolidated Farm and Rural Development Act, as amended by the Agricultural Act of 2014, which also provided \$3 million in mandatory funds for the program for 2017.

RURAL MICROENTERPRISE INVESTMENT DIRECT LOAN FINANCING ACCOUNT  
Program and Financing (in millions of dollars)

Identification code 012-4354-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	2	11	32
0713 Payment of interest to Treasury .....	1	1	1
0742 Downward reestimate paid to receipt account .....	1		
0900 Total new obligations .....	4	12	33
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	
1021 Recoveries of prior year unpaid obligations .....	2		
1023 Unobligated balances applied to repay debt .....	-2	-1	
1024 Unobligated balance of borrowing authority withdrawn .....	-1		
1050 Unobligated balance (total) .....	1		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2	8	26
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	3	4
1801 Change in uncollected payments, Federal sources .....	-1	1	3
1850 Spending auth from offsetting collections, mand (total) .....	2	4	7
1900 Budget authority (total) .....	4	12	33
1930 Total budgetary resources available .....	5	12	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	16	23
3010 Obligations incurred, unexpired accounts .....	4	12	33
3020 Outlays (gross) .....	-9	-5	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	16	23	49
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	-1	-3
3090 Uncollected pymts, Fed sources, end of year .....	-1	-2	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	15	21
3200 Obligated balance, end of year .....	15	21	44
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	12	33
Financing disbursements:			
4110 Outlays, gross (total) .....	9	5	7
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	-1
4122 Interest on uninvested funds .....			-1
4123 Repayments of Loan Principal .....	-2	-2	-2
4130 Offsets against gross budget authority and outlays (total) ....	-3	-3	-4
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	-1	-3
4160 Budget authority, net (mandatory) .....	2	8	26
4170 Outlays, net (mandatory) .....	6	2	3
4180 Budget authority, net (total) .....	2	8	26
4190 Outlays, net (total) .....	6	2	3

Status of Direct Loans (in millions of dollars)

Identification code 012-4354-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	6	11	29
1121 Limitation available from carry-forward .....			3
1142 Unobligated direct loan limitation (-) .....	-4		
1150 Total direct loan obligations .....	2	11	32
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	24	30	33
1231 Disbursements: Direct loan disbursements .....	7	5	6
1251 Repayments: Repayments and prepayments .....	-1	-2	-2

1290	Outstanding, end of year .....	30	33	37
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This account finances direct loan commitments for micro-business development in rural areas. The subsidy cost of this program is funded through the Rural Microenterprise Investment Program Account.

**Balance Sheet** (in millions of dollars)

Identification code 012-4354-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	2	3
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	24	30
1405 Allowance for subsidy cost (-) .....	-4	-4
1499 Net present value of assets related to direct loans .....	20	26
1999 Total assets .....	22	29
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	22	29
4999 Total liabilities and net position .....	22	29

**RURAL BUSINESS PROGRAM ACCOUNT**  
(INCLUDING TRANSFERS OF FUNDS)

For the cost of loan guarantees and grants, for the rural business development programs authorized by section 310B and described in subsections (a), (c), [(f)] and (g) of section 310B of the Consolidated Farm and Rural Development Act, [\$62,687,000] \$65,779,000, to remain available until expended: *Provided*, That of the amount appropriated under this heading, not to exceed \$500,000 shall be made available for one grant to a qualified national organization to provide technical assistance for rural transportation in order to promote economic development [and \$3,000,000 shall be for grants to the Delta Regional Authority (7 U.S.C. 2009aa et seq.) for any Rural Community Advancement Program purpose as described in section 381E(d) of the Consolidated Farm and Rural Development Act, of which not more than 5 percent may be used for administrative expenses]: *Provided further*, That \$4,000,000 of the amount appropriated under this heading shall be for business grants to benefit Federally Recognized Native American Tribes, including \$250,000 for a grant to a qualified national organization to provide technical assistance for rural transportation in order to promote economic development [ : *Provided further*, That for purposes of determining eligibility or level of program assistance the Secretary shall not include incarcerated prison populations]: *Provided further*, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to funds made available under this heading: *Provided further*, That in addition to the amount provided under this heading \$20,000,000 shall be available for demonstration projects and \$5,000,000 for data systems alignment to support innovative multigenerational approaches to combating rural child poverty, to remain available until September 30, 2019: *Provided further*, That this funding may be utilized in addition to amounts otherwise provided for any program of the Rural Development Mission Area as determined by the Secretary and for administrative and evaluation costs to carry out the demonstration projects: *Provided further*, That such funds shall be in addition to any other funds that may be available for such purposes. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-1902-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0013 Rural Business Development Grants .....	31	28	33
0014 Rural Child Poverty .....			25
0015 Delta Regional Authority Grants .....		3	
0091 Direct program activities, subtotal .....	31	31	58
Credit program obligations:			
0702 Loan guarantee subsidy .....	53	59	44
0705 Reestimates of direct loan subsidy .....	9	5	
0706 Interest on reestimates of direct loan subsidy .....	9	5	
0707 Reestimates of loan guarantee subsidy .....	10	9	
0708 Interest on reestimates of loan guarantee subsidy .....	9	3	
0791 Direct program activities, subtotal .....	90	81	44
0900 Total new obligations (object class 41.0) .....	121	112	102

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	21	29	20
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	21	29	
1021	Recoveries of prior year unpaid obligations .....	18	11	10
1050	Unobligated balance (total) .....	39	40	30
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	74	63	91
Appropriations, mandatory:				
1200	Appropriation .....	37	29	
1900	Budget authority (total) .....	111	92	91
1930	Total budgetary resources available .....	150	132	121
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	29	20	19

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	129	117	105
3010	Obligations incurred, unexpired accounts .....	121	112	102
3020	Outlays (gross) .....	-115	-113	-89
3040	Recoveries of prior year unpaid obligations, unexpired .....	-18	-11	-10
3050	Unpaid obligations, end of year .....	117	105	108
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	129	117	105
3200	Obligated balance, end of year .....	117	105	108

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	74	63	91
Outlays, gross:				
4010	Outlays from new discretionary authority .....	20	19	19
4011	Outlays from discretionary balances .....	58	65	70
4020	Outlays, gross (total) .....	78	84	89
Mandatory:				
4090	Budget authority, gross .....	37	29	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	37	29	
4180	Budget authority, net (total) .....	111	92	91
4190	Outlays, net (total) .....	115	113	89

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1902-0-1-452	2015 actual	2016 est.	2017 est.	
Direct loan reestimates:				
135004	Business and Industry Loans .....	18	9	
Guaranteed loan levels supportable by subsidy budget authority:				
215007	Business and Industry Loan Guarantees .....	1,044	1,520	1,099
215999	Total loan guarantee levels .....	1,044	1,520	1,099
Guaranteed loan subsidy (in percent):				
232007	Business and Industry Loan Guarantees .....	5.11	3.88	4.01
232999	Weighted average subsidy rate .....	5.11	3.88	4.01
Guaranteed loan subsidy budget authority:				
233007	Business and Industry Loan Guarantees .....	53	59	44
233999	Total subsidy budget authority .....	53	59	44
Guaranteed loan subsidy outlays:				
234007	Business and Industry Loan Guarantees .....	49	38	58
234999	Total subsidy outlays .....	49	38	58
Guaranteed loan reestimates:				
235005	North American Development Bank Loan Guarantees .....	2	-1	
235006	Guaranteed Business and Industry Loans - ARRA .....	-13	-6	
235007	Business and Industry Loan Guarantees .....	-146	-101	
235999	Total guaranteed loan reestimates .....	-157	-108	

This account funds direct and guaranteed business and industry loans, rural business development grants, and the rural youth poverty program. Business and industry guaranteed loans are authorized under section 310B(a)(1) of the Consolidated Farm and Rural Development, as amended. These loans are made to public, private or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. The 2017 projections for loan guarantees are \$892 million. The Budget does not propose funding for rural business enterprise grants and rural business opportunity grants. Instead, these activities will be funded at \$30 million in a consolidated

RURAL BUSINESS PROGRAM ACCOUNT—Continued

rural business development grants program as authorized by the Agricultural Act of 2014. The Budget also includes funding for a new program to combat rural youth poverty. Rural areas continue to lag urban areas in employment while the rural poverty rate in 2015 was 18.1 percent compared to 15.1 percent for urban areas. To help alleviate this growing disparity the Budget creates a \$25 million pilot program for up to 3-year grants to local governments and nonprofit organizations offering bundled services to serve poor rural children and parents together. Specific strategies for implementing the two-generation grants will be community-driven but may include physical co-location of services, building collaboration models across agencies, establishing new systems and referral networks to link services, aligning disparate data systems, and improving coordination of program delivery across agencies. In addition, the funding will support the development of shared IT innovations that integrate multi-generational service delivery with states, municipalities, and Tribes. This effort to mitigate child poverty is in conjunction with other Federal agency resources targeted at reducing child poverty through the coordination of the White House Rural Council.

1251	Repayments: Repayments and prepayments .....	-1	-2	-1
1264	Write-offs for default: Other adjustments, net (+ or -) .....	-1		
1290	Outstanding, end of year .....	10	8	7

The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4223-0-3-452		2014 actual	2015 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	4	18
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	12	10
1405	Allowance for subsidy cost (-) .....	30	14
1499	Net present value of assets related to direct loans .....	42	24
1999	Total assets .....	46	42
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....	46	42
4999	Total liabilities and net position .....	46	42

RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4223-0-3-452		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	2	2	2
0900	Total new obligations .....	2	2	2
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	19	19
1023	Unobligated balances applied to repay debt .....	-4		
1050	Unobligated balance (total) .....	1	19	19
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	20	13	2
1825	Spending authority from offsetting collections applied to repay debt .....		-11	-1
1850	Spending auth from offsetting collections, mand (total) .....	20	2	1
1900	Budget authority (total) .....	20	2	1
1930	Total budgetary resources available .....	21	21	20
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	19	19	18
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	2	2	2
3020	Outlays (gross) .....	-2	-2	-2
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	20	2	1
Financing disbursements:				
4110	Outlays, gross (total) .....	2	2	2
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-18	-10	
4122	Interest on uninvested funds .....	-1	-1	
4123	Repayments of principal .....	-1	-2	-2
4130	Offsets against gross budget authority and outlays (total) .....	-20	-13	-2
4160	Budget authority, net (mandatory) .....		-11	-1
4170	Outlays, net (mandatory) .....	-18	-11	
4180	Budget authority, net (total) .....		-11	-1
4190	Outlays, net (total) .....	-18	-11	

Status of Direct Loans (in millions of dollars)

Identification code 012-4223-0-3-452		2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	12	10	8

RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4227-0-3-452		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0711	Default claim payments on principal .....	122	129	139
0712	Default claim payments on interest .....	3	3	3
0713	Payment of interest to Treasury .....	1	1	1
0742	Downward reestimate paid to receipt account .....	159	103	
0743	Interest on downward reestimates .....	18	18	
0900	Total new obligations .....	303	254	143
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	585	439	341
1023	Unobligated balances applied to repay debt .....	-8	-28	
1050	Unobligated balance (total) .....	577	411	341
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	14		35
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	161	163	186
1801	Change in uncollected payments, Federal sources .....	-10	21	-14
1850	Spending auth from offsetting collections, mand (total) .....	151	184	172
1900	Budget authority (total) .....	165	184	207
1930	Total budgetary resources available .....	742	595	548
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	439	341	405
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	303	254	143
3020	Outlays (gross) .....	-303	-254	-143
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-59	-49	-70
3070	Change in uncollected pymts, Fed sources, unexpired .....	10	-21	14
3090	Uncollected pymts, Fed sources, end of year .....	-49	-70	-56
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-59	-49	-70
3200	Obligated balance, end of year .....	-49	-70	-56

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross .....	165	184	207
Financing disbursements:				
4110	Outlays, gross (total) .....	303	254	143
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-68	-50	-58
4122	Interest on uninvested funds .....	-8	-21	-24

4123	Interest and principal on purchased loans from secondary market .....	-53	-64	-77
4123	Guarantee fees .....	-28	-28	-27
4123	Non-Federal sources .....	-3		
4123	Non-Federal sources- repayments .....	-1		
4130	Offsets against gross budget authority and outlays (total) ....	-161	-163	-186
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	10	-21	14
4160	Budget authority, net (mandatory) .....	14		35
4170	Outlays, net (mandatory) .....	142	91	-43
4180	Budget authority, net (total) .....	14		35
4190	Outlays, net (total) .....	142	91	-43

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4227-0-3-452	2015 actual	2016 est.	2017 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Guaranteed loan commitments from current-year authority .....	920	920	892
2121	Limitation available from carry-forward .....	309	609	207
2142	Uncommitted loan guarantee limitation .....	-185	-9	
2150	<b>Total guaranteed loan commitments .....</b>	<b>1,044</b>	<b>1,520</b>	<b>1,099</b>
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	6,124	5,896	6,260
2231	Disbursements of new guaranteed loans .....	821	1,262	1,277
2251	Repayments and prepayments .....	-846	-765	-812
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-96	-77	-81
2263	Terminations for default that result in claim payments .....	-29	-56	-59
2264	Other adjustments, net .....	-78		
2290	<b>Outstanding, end of year .....</b>	<b>5,896</b>	<b>6,260</b>	<b>6,585</b>
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	4,314	5,009	5,270
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	273	295	313
2331	Disbursements for guaranteed loan claims .....	159	98	128
2351	Repayments of loans receivable .....	-49	-30	-31
2361	Write-offs of loans receivable .....	-55	-50	-53
2364	Other adjustments, net .....	-33		
2390	<b>Outstanding, end of year .....</b>	<b>295</b>	<b>313</b>	<b>357</b>

The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account. The account finances loan guarantee commitments for business development in rural areas.

**Balance Sheet** (in millions of dollars)

Identification code 012-4227-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101	Fund balances with Treasury .....	390
<b>Investments in US securities:</b>		
1106	Receivables, net .....	11
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>		
1501	Defaulted guaranteed loans receivable, gross .....	295
1502	Interest receivable .....	2
1505	Allowance for subsidy cost (-) .....	-53
1599	<b>Net present value of assets related to defaulted guaranteed loans .....</b>	<b>244</b>
1999	<b>Total assets .....</b>	<b>645</b>
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2104	Resources payable to Treasury .....	28
2105	Other .....	114
2204	<b>Non-Federal liabilities: Liabilities for loan guarantees .....</b>	<b>503</b>
2999	<b>Total liabilities .....</b>	<b>645</b>

4999	Total liabilities and net position .....	774	645
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**INTERMEDIARY RELENDING PROGRAM FUND ACCOUNT**

(INCLUDING TRANSFER OF FUNDS)

For the principal amount of direct loans, as authorized by the Intermediary Relending Program [Fund Account] (7 U.S.C. 1936b), \$18,889,000.

For the cost of direct loans, [\$5,217,000] \$5,476,000, as authorized by the Intermediary Relending Program [Fund Account] (7 U.S.C. 1936b), of which [\$531,000] \$557,000 shall be available through June 30, [2016] 2017, for Federally Recognized Native American Tribes; and of which [\$1,021,000] \$1,072,000 shall be available through June 30, [2016] 2017, for Mississippi Delta Region counties (as determined in accordance with Public Law 100-460): *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.

In addition, for administrative expenses to carry out the direct loan programs, [\$4,468,000] \$4,564,000 shall be [transferred to and merged with] paid to the appropriation for "Rural Development, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-2069-0-1-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0701	Direct loan subsidy .....	6	6	6
0705	Reestimates of direct loan subsidy .....	1	1	
0706	Interest on reestimates of direct loan subsidy .....	2	1	
0709	Administrative expenses .....	4	4	4
0900	<b>Total new obligations .....</b>	<b>13</b>	<b>12</b>	<b>10</b>
<b>Budgetary resources:</b>				
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	10	10	10
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	3	2	
1900	Budget authority (total) .....	13	12	10
1930	<b>Total budgetary resources available .....</b>	<b>13</b>	<b>12</b>	<b>10</b>
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	18	17	17
3010	Obligations incurred, unexpired accounts .....	13	12	10
3020	Outlays (gross) .....	-13	-12	-10
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	<b>Unpaid obligations, end of year .....</b>	<b>17</b>	<b>17</b>	<b>17</b>
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	18	17	17
3200	Obligated balance, end of year .....	17	17	17
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	10	10	10
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	5	4	4
4011	Outlays from discretionary balances .....	5	6	6
4020	<b>Outlays, gross (total) .....</b>	<b>10</b>	<b>10</b>	<b>10</b>
<b>Mandatory:</b>				
4090	Budget authority, gross .....	3	2	
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	3	2	
4180	Budget authority, net (total) .....	13	12	10
4190	<b>Outlays, net (total) .....</b>	<b>13</b>	<b>12</b>	<b>10</b>

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-2069-0-1-452	2015 actual	2016 est.	2017 est.	
<b>Direct loan levels supportable by subsidy budget authority:</b>				
115001	Intermediary Relending Program .....	19	19	19
<b>Direct loan subsidy (in percent):</b>				
132001	Intermediary Relending Program .....	30.80	27.62	28.99
132999	<b>Weighted average subsidy rate .....</b>	<b>30.80</b>	<b>27.62</b>	<b>28.99</b>

INTERMEDIARY RELENDING PROGRAM FUND ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 012-2069-0-1-452	2015 actual	2016 est.	2017 est.
<b>Direct loan subsidy budget authority:</b>			
133001 Intermediary Relending Program .....	6	5	6
<b>Direct loan subsidy outlays:</b>			
134001 Intermediary Relending Program .....	6	6	6
<b>Direct loan reestimates:</b>			
135001 Intermediary Relending Program .....	2	-1	.....
<b>Administrative expense data:</b>			
3510 Budget authority .....	4	4	4
3590 Outlays from new authority .....	4	4	4

This account finances loans to intermediary borrowers, who, in turn, re-lend the funds to small rural businesses, community development corporations, and other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need. The 2017 Budget proposes \$5.5 million in budget authority to support \$18.9 million in direct lending for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 012-2069-0-1-452	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	4	4	4
41.0 Grants, subsidies, and contributions .....	9	8	6
99.9 Total new obligations .....	13	12	10

RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4219-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	19	19	19
0713 Payment of interest to Treasury .....	16	18	19
0742 Downward reestimate paid to receipt account .....	.....	1	.....
0743 Interest on downward reestimates .....	.....	1	.....
0900 Total new obligations .....	35	39	38
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	15	22	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1023 Unobligated balances applied to repay debt .....	-14	-22	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-2	.....	.....
1050 Unobligated balance (total) .....	2	.....	.....
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	14	.....	.....
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	42	40	39
1801 Change in uncollected payments, Federal sources .....	-1	-1	.....
1825 Spending authority from offsetting collections applied to repay debt .....	.....	.....	-1
1850 Spending auth from offsetting collections, mand (total) .....	41	39	38
1900 Budget authority (total) .....	55	39	38
1930 Total budgetary resources available .....	57	39	38
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	22	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	57	58
3010 Obligations incurred, unexpired accounts .....	35	39	38
3020 Outlays (gross) .....	-34	-38	-40
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	57	58	56
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18	-17	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	1	.....
3090 Uncollected pymts, Fed sources, end of year .....	-17	-16	-16
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	41	40	42
3200 Obligated balance, end of year .....	40	42	40

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	55	39	38
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	34	38	40
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Payments from program account .....	-8	-7	-6
4122 Interest on uninvested funds .....	-2	-2	-2
4123 Non-Federal sources - repayment of principal .....	-28	-27	-27
4123 Non-Federal sources - repayments of interest .....	-4	-4	-4
4130 Offsets against gross budget authority and outlays (total) ....	-42	-40	-39
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	1	.....
4160 Budget authority, net (mandatory) .....	14	.....	-1
4170 Outlays, net (mandatory) .....	-8	-2	1
4180 Budget authority, net (total) .....	14	.....	-1
4190 Outlays, net (total) .....	-8	-2	1

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4219-0-3-452	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	19	19	19
1150 Total direct loan obligations .....	19	19	19
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	405	394	386
1231 Disbursements: Direct loan disbursements .....	17	20	21
1251 Repayments: Repayments and prepayments .....	-28	-28	-28
1290 Outstanding, end of year .....	394	386	379

**Balance Sheet** (in millions of dollars)

Identification code 012-4219-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	23	32
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	405	394
1402 Interest receivable .....	2	2
1405 Allowance for subsidy cost (-) .....	-114	-111
1499 Net present value of assets related to direct loans .....	293	285
1999 Total assets .....	316	317
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	316	317
4999 Total liabilities and net position .....	316	317

RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4233-0-3-452	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	.....
1022 Capital transfer of unobligated balances to general fund .....	.....	-1	.....

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	3	2
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-2	-2
1850	Spending auth from offsetting collections, mand (total) .....	1	
1930	Total budgetary resources available .....	1	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-3	-2
4180	Budget authority, net (total) .....	-2	-2
4190	Outlays, net (total) .....	-3	-2

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4233-0-3-452	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	18	15
1251	Repayments: Repayments and prepayments .....	-2	-2
1263	Write-offs for default: Direct loans .....	-1	
1290	Outstanding, end of year .....	15	13

**Balance Sheet** (in millions of dollars)

Identification code 012-4233-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1601	Direct loans, gross .....	17
1999	Total assets .....	17
<b>LIABILITIES:</b>		
2104	Federal liabilities: Resources payable to Treasury .....	17
4999	Total liabilities and net position .....	17

**RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT**

(INCLUDING [RESCISSION] CANCELLATION OF FUNDS)

For the principal amount of direct loans, as authorized under section 313 of the Rural Electrification Act, for the purpose of promoting rural economic development and job creation projects, **[\$33,077,000] \$85,000,000**.

Of the funds derived from interest on the cushion of credit payments, as authorized by section 313 of the Rural Electrification Act of 1936, **[\$179,000,000] \$151,487,000** shall not be obligated and **[\$179,000,000] \$151,487,000** are **[rescinded]** hereby permanently canceled. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-3108-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701	Direct loan subsidy .....	5	5
0900	Total new obligations (object class 41.0) .....	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
1021	Recoveries of prior year unpaid obligations .....	1	1
1050	Unobligated balance (total) .....	2	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4	4
1930	Total budgetary resources available .....	6	6
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	7	5

3010	Obligations incurred, unexpired accounts .....	5	5
3020	Outlays (gross) .....	-6	-6
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1
3050	Unpaid obligations, end of year .....	5	3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	7	5
3200	Obligated balance, end of year .....	5	3

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....	4	4
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	3
4101	Outlays from mandatory balances .....	6	5
4110	Outlays, gross (total) .....	6	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-4	-4
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	2	2

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-3108-0-1-452	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001	Rural Economic Development Loans .....	39	37
Direct loan subsidy (in percent):			
132001	Rural Economic Development Loans .....	12.77	13.39
132999	Weighted average subsidy rate .....	12.77	13.39
Direct loan subsidy budget authority:			
133001	Rural Economic Development Loans .....	5	5
Direct loan subsidy outlays:			
134001	Rural Economic Development Loans .....	6	6
Direct loan reestimates:			
135001	Rural Economic Development Loans .....	-1	-4

Rural economic development loans are made for the purpose of promoting rural economic development and job creation projects. Loans are made to electric and telecommunication borrowers, who, in turn, finance rural development projects in their service areas. Program costs are derived from interest earnings on borrowers' "cushion of credit" loan prepayments. The 2017 Budget proposes a loan level of \$85 million for this program. The increased funding level over 2016 will help to provide opportunities for job creation and growth in rural areas adjusting from the transition away from fossil-fueled energy production.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

**RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4176-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations .....	39	38
0713	Payment of interest to Treasury .....	5	5
0742	Downward reestimate paid to receipt account .....		4
0900	Total new obligations .....	44	47
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	6	11
1021	Recoveries of prior year unpaid obligations .....	6	
1023	Unobligated balances applied to repay debt .....	-6	-11
1024	Unobligated balance of borrowing authority withdrawn .....	-6	
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	34	3
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	40	45
1801	Change in uncollected payments, Federal sources .....	-1	-1

RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4176-0-3-452	2015 actual	2016 est.	2017 est.
1825 Spending authority from offsetting collections applied to repay debt .....	-18		
1850 Spending auth from offsetting collections, mand (total) .....	21	44	55
1900 Budget authority (total) .....	55	47	95
1930 Total budgetary resources available .....	55	47	95
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	76	52	40
3010 Obligations incurred, unexpired accounts .....	44	47	95
3020 Outlays (gross) .....	-62	-59	-45
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	52	40	90
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-6	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	1	-6
3090 Uncollected pymts, Fed sources, end of year .....	-6	-5	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	69	46	35
3200 Obligated balance, end of year .....	46	35	79

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	55	47	95
Financing disbursements:			
4110 Outlays, gross (total) .....	62	59	45
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Funds: Program Account .....	-7	-7	-7
4122 Interest on uninvested funds .....	-1	-1	-2
4123 Non-Federal sources: Repayment of Principal .....	-32	-37	-40
4130 Offsets against gross budget authority and outlays (total) ....	-40	-45	-49
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	1	-6
4160 Budget authority, net (mandatory) .....	16	3	40
4170 Outlays, net (mandatory) .....	22	14	-4
4180 Budget authority, net (total) .....	16	3	40
4190 Outlays, net (total) .....	22	14	-4

Status of Direct Loans (in millions of dollars)

Identification code 012-4176-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	31	33	85
1121 Limitation available from carry-forward .....	8	9	8
1143 Unobligated limitation carried forward .....		-4	-4
1150 Total direct loan obligations .....	39	38	89
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	158	183	198
1231 Disbursements: Direct loan disbursements .....	57	52	39
1251 Repayments: Repayments and prepayments .....	-32	-37	-40
1290 Outstanding, end of year .....	183	198	197

Balance Sheet (in millions of dollars)

Identification code 012-4176-0-3-452	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	24	42
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	158	183
1405 Allowance for subsidy cost (-) .....	-13	-15
1499 Net present value of assets related to direct loans .....	145	168
1999 Total assets .....	169	210
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	169	210

4999 Total upward reestimate subsidy BA [12-3108] .....	169	210
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RURAL BUSINESS INVESTMENT PROGRAM ACCOUNT

For loans and grants for the rural business investment program, as authorized by section 384F(b)(3)(A) of the Consolidated Farm and Rural Development Act, \$6,577,000, to remain available until expended: Provided, That the cost of loan guarantees, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.

Program and Financing (in millions of dollars)

Identification code 012-1907-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 RBIP Grants .....			3
Credit program obligations:			
0702 Loan guarantee subsidy .....			4
0791 Direct program activities, subtotal .....			4
0900 Total new obligations (object class 41.0) .....			7

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			7
1900 Budget authority (total) .....			7
1930 Total budgetary resources available .....			7

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	1
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....		-1	-3
3050 Unpaid obligations, end of year .....	2	1	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	1
3200 Obligated balance, end of year .....	2	1	5

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....			7
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....		1	3
4180 Budget authority, net (total) .....			7
4190 Outlays, net (total) .....		1	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1907-0-1-452	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Rural Business Investment Program .....			21
Guaranteed loan subsidy (in percent):			
232001 Rural Business Investment Program .....			12.51
232999 Weighted average subsidy rate .....	0.00	0.00	12.51
Guaranteed loan subsidy budget authority:			
233001 Rural Business Investment Program .....			3
Guaranteed loan reestimates:			
235001 Rural Business Investment Program .....		1	

The Rural Business Investment Program was authorized by section 6029 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. The 2017 Budget requests \$6.6 million in budget authority for this program, of which \$2.6 million will be used to support \$20.6 million in guaranteed loans and \$4 million will be for grants.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL BUSINESS INVESTMENT PROGRAM GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4033-0-3-452	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	5
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2	2	2
1930	Total budgetary resources available .....	3	5	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	5	7
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2	2	2
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-1	-1
4123	Repayment of Principal .....	-1	-1	-1
4130	Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
4170	Outlays, net (mandatory) .....	-2	-2	-2
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-2	-2	-2

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4033-0-3-452	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....			21
2150	Total guaranteed loan commitments .....			21
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	24	24	23
2231	Disbursements of new guaranteed loans .....			3
2251	Repayments and prepayments .....	-1	-1	-1
2290	Outstanding, end of year .....	24	23	25
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	24	23	25

Balance Sheet (in millions of dollars)

Identification code 012-4033-0-3-452	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	3	3
1999	Total assets .....	3	3
<b>LIABILITIES:</b>			
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	3	3
4999	Total liabilities and net position .....	3	3

RURAL ENERGY FOR AMERICA PROGRAM

For the cost of a program of loan guarantees and grants, under the same terms and conditions as authorized by section 9007 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8107), **[\$500,000]** \$18,515,000: *Provided*, That the cost of loan guarantees, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-1908-0-1-451	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0011	Grants .....	83	42	53
Credit program obligations:				
0702	Loan guarantee subsidy .....	17	16	19

0900	Total new obligations (object class 41.0) .....	100	58	72
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	58	11	4
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	58		
1021	Recoveries of prior year unpaid obligations .....	6	4	4
1050	Unobligated balance (total) .....	64	15	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1		19
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [012-4336] ....	50	50	50
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-4	-3	
1260	Appropriations, mandatory (total) .....	46	47	50
1900	Budget authority (total) .....	47	47	69
1930	Total budgetary resources available .....	111	62	77
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11	4	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	58	109	93
3010	Obligations incurred, unexpired accounts .....	100	58	72
3020	Outlays (gross) .....	-43	-70	-69
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	-4	-4
3050	Unpaid obligations, end of year .....	109	93	92
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	58	109	93
3200	Obligated balance, end of year .....	109	93	92

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	1		19
Outlays, gross:				
4011	Outlays from discretionary balances .....	5	1	1
Mandatory:				
4090	Budget authority, gross .....	46	47	50
Outlays, gross:				
4100	Outlays from new mandatory authority .....	10	3	4
4101	Outlays from mandatory balances .....	28	66	64
4110	Outlays, gross (total) .....	38	69	68
4180	Budget authority, net (total) .....	47	47	69
4190	Outlays, net (total) .....	43	70	69

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1908-0-1-451	2015 actual	2016 est.	2017 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Renewable Energy Loan Guarantees .....	161	236	411
Guaranteed loan subsidy (in percent):				
232001	Renewable Energy Loan Guarantees .....	10.58	6.60	4.64
232999	Weighted average subsidy rate .....	10.58	6.60	4.64
Guaranteed loan subsidy budget authority:				
233001	Renewable Energy Loan Guarantees .....	17	16	19
Guaranteed loan subsidy outlays:				
234001	Renewable Energy Loan Guarantees .....	15	9	14
Guaranteed loan reestimates:				
235001	Renewable Energy Loan Guarantees .....	-16	-5	

The Rural Energy for America Program was formerly the Renewable Energy Systems and Energy Efficiency Improvements, and is authorized under 7 U.S.C. 8107. This program provides loan guarantees and grants to farmers, ranchers, and small rural businesses to purchase renewable energy systems and make energy efficiency improvements. The budget requests discretionary funding of \$15 million for grants and \$3.5 million for loan guarantees to support \$75.8 million in guaranteed private lending. This program is authorized pursuant to Section 9007 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation and Energy Act of 2008, as amended by the American Taxpayer Relief Act of 2012; and as amended by the Agricultural Act of 2014. For 2017, the Agricultural Act of 2014 provided \$50 million in mandatory funds for this program.

RURAL ENERGY FOR AMERICA GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4267-0-3-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711		1	1
0742	15	4	
0743	1		
0900	16	5	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	52	53	66
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	16	11	16
1801	1	7	5
1850	17	18	21
1930	69	71	87
Memorandum (non-add) entries:			
1941	53	66	86
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	16	5	1
3020	-16	-5	-1
Uncollected payments:			
3060	-19	-20	-27
3070	-1	-7	-5
3090	-20	-27	-32
Memorandum (non-add) entries:			
3100	-19	-20	-27
3200	-20	-27	-32

Identification code 012-4267-0-3-451	2015 actual	2016 est.	2017 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	17	18	21
Financing disbursements:			
4110	16	5	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-15	-9	-14
4122	-1	-1	-1
4123	-1	-1	-1
4130	-16	-11	-16
Additional offsets against financing authority only (total):			
4140	-1	-7	-5
4170	-6	-6	-15
4180			
4190	-6	-6	-15

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4267-0-3-451	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111	107	159	399
2121	240	87	12
2142	-186		
2143		-10	
2150	161	236	411
2199	130	190	331
Cumulative balance of guaranteed loans outstanding:			
2210	80	122	181
2231	56	83	172
2251	-14	-23	-38
2261		-1	-1
2290	122	181	314
Memorandum:			
2299	98	147	253

Addendum:

Identification code	2015 actual	2016 est.	2017 est.
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310			1
2331	1		1
2390	1		2

This account finances loan guarantee commitments to farmers, ranchers, and small businesses to purchase renewable energy systems and make energy efficiency improvements in rural areas. The subsidy cost of this program is funded through the Rural Energy for American Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4267-0-3-451	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	22	22
1999	22	22
<b>LIABILITIES:</b>		
2103		
2204	22	22
2999	22	22
4999	22	22

BIOREFINERY ASSISTANCE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-3106-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702	18	45	42
0707	6		
0900	24	45	42
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	112	124	122
Budget authority:			
Appropriations, mandatory:			
1200	6		
1221	34	46	20
1230	-4	-3	
1260	36	43	20
1930	148	167	142
Memorandum (non-add) entries:			
1941	124	122	100

Identification code 012-3106-0-1-452	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	191	209	132
3010	24	45	42
3020	-6	-122	-134
3050	209	132	40
Memorandum (non-add) entries:			
3100	191	209	132
3200	209	132	40

Identification code 012-3106-0-1-452	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	36	43	20
Outlays, gross:			
4100	6	14	8
4101		108	126
4110	6	122	134
4180	36	43	20
4190	6	122	134

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-3106-0-1-452	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Section 9003 Loan Guarantees .....	45	199	201
Guaranteed loan subsidy (in percent):			
232001 Section 9003 Loan Guarantees .....	40.32	22.42	20.81
232999 Weighted average subsidy rate .....	40.32	22.42	20.81
Guaranteed loan subsidy budget authority:			
233001 Section 9003 Loan Guarantees .....	18	45	42
Guaranteed loan subsidy outlays:			
234001 Section 9003 Loan Guarantees .....		81	134
Guaranteed loan reestimates:			
235001 Section 9003 Loan Guarantees .....	6	-10	

The Biorefinery Assistance Program provides loan guarantees to fund the development, construction, and retrofitting of commercial-scale advanced biorefineries. The 2017 Budget does not request discretionary funding for this program. The Biorefinery Assistance Program is authorized under section 9003 of the Farm Security and Rural Investment Act of 2002; as amended by the Food, Conservation, and Energy Act of 2008, as amended by the American Taxpayers Relief Act of 2012, and as amended by the Agricultural Act of 2014. Loan assumptions reflect an illustrative example for informational purposes only. The assumptions will be determined at the time of execution and will reflect the actual terms and conditions of the loan guarantee contracts.

BIOREFINERY ASSISTANCE GUARANTEED LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4355-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....		9	
0743 Interest on downward reestimates .....		1	
0900 Total new obligations .....		10	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	209	233	273
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	87	148
1801 Change in uncollected payments, Federal sources .....	17	-37	-99
1850 Spending auth from offsetting collections, mand (total) .....	24	50	49
1930 Total budgetary resources available .....	233	283	322
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	233	273	322
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		10	
3020 Outlays (gross) .....		-10	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-187	-204	-167
3070 Change in uncollected pymts, Fed sources, unexpired .....	-17	37	99
3090 Uncollected pymts, Fed sources, end of year .....	-204	-167	-68
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-187	-204	-167
3200 Obligated balance, end of year .....	-204	-167	-68
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	24	50	49
Financing disbursements:			
4110 Outlays, gross (total) .....		10	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-6	-81	-134
4122 Interest on uninvested funds .....	-1	-3	-8
4123 Guaranteed Fees .....		-3	-6
4130 Offsets against gross budget authority and outlays (total) ....	-7	-87	-148
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-17	37	99

4170 Outlays, net (mandatory) .....	-7	-77	-148
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-7	-77	-148

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4355-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	45	120	
2121 Limitation available from carry-forward .....		79	201
2150 Total guaranteed loan commitments .....	45	199	201
2199 Guaranteed amount of guaranteed loan commitments .....	40	179	181
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	85	191	317
2231 Disbursements of new guaranteed loans .....	153	139	507
2251 Repayments and prepayments .....	-47	-13	-61
2290 Outstanding, end of year .....	191	317	763
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	172	285	687
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....			
2390 Outstanding, end of year .....			

The account finances loan guarantee commitments for bioenergy, renewable chemical, and biobased product manufacturing development. The subsidy cost of this program is funded through the Biorefinery Assistance Program Account.

**Balance Sheet** (in millions of dollars)

Identification code 012-4355-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	21	21
1999 Total assets .....	21	21
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2203 Debt .....		
2204 Liabilities for loan guarantees .....	21	21
2999 Total liabilities .....	21	21
4999 Total liabilities and net position .....	21	21

ALTERNATIVE AGRICULTURAL RESEARCH AND COMMERCIALIZATION CORPORATION  
REVOLVING FUND

**Program and Financing** (in millions of dollars)

Identification code 012-4144-0-3-352	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**RURAL UTILITIES SERVICE**

*Federal Funds*

HIGH ENERGY COST GRANTS

**Program and Financing** (in millions of dollars)

Identification code 012-2042-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 High energy cost grants .....	8	17	9
0900 Total new obligations (object class 41.0) .....	8	17	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	19	13
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	17	20	14
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [012-1980] ....	10	10	
1930 Total budgetary resources available .....	27	30	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	13	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	24	24
3010 Obligations incurred, unexpired accounts .....	8	17	9
3020 Outlays (gross) .....	-6	-16	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3050 Unpaid obligations, end of year .....	24	24	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	24	24
3200 Obligated balance, end of year .....	24	24	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		7	
4011 Outlays from discretionary balances .....	6	9	12
4020 Outlays, gross (total) .....	6	16	12
4180 Budget authority, net (total) .....	10	10	
4190 Outlays, net (total) .....	6	16	12

High energy costs grants can be made to eligible entities or the Denali Commission to construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy (as determined by the Energy Information Agency using the most recent data available). Grants are also available to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where the fuel cannot be shipped by means of surface transportation. The Budget proposes no funding in 2017 for these grants.

**RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For the cost of direct loans, loan guarantees, and grants for the rural water, waste water, waste disposal, and solid waste management programs authorized by sections 306, 306A, 306C, 306D, 306E, and 310B and described in sections 306C(a)(2), 306D, 306E, and 381E(d)(2) of the Consolidated Farm and Rural Development Act, \$522,365,000 \$461,593,000, to remain available until expended, of which not to exceed \$1,000,000 \$500,000 shall be available for the rural utilities program described in section 306(a)(2)(B) of such Act, and of which not to exceed \$993,000 \$500,000 shall be available for the rural utilities program described in section 306E of such Act: *Provided*, That not to exceed \$10,000,000 \$5,000,000 of the amount appropriated under this heading shall be for grants authorized by section 306A(i)(2) of the Consolidated Farm and Rural Development Act in addition to funding authorized by section 306A(i)(1) of such Act: *Provided further*, That \$64,000,000 \$42,544,000 of the amount appropriated under this heading shall be for loans and grants including water and waste disposal systems grants au-

thorized by section 306C(a)(2)(B) and section 306D of the Consolidated Farm and Rural Development Act, and Federally Recognized Native American Tribes authorized by 306C(a)(1): *Provided further*, That funding provided for section 306D of the Consolidated Farm and Rural Development Act may be provided to a consortium formed pursuant to section 325 of Public Law 105-83: *Provided further*, That not more than 2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by the State of Alaska for training and technical assistance programs and not more than 2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by a consortium formed pursuant to section 325 of Public Law 105-83 for training and technical assistance programs and may be paid to "Rural Development, Salaries and Expenses": *Provided further*, That not to exceed \$20,000,000 \$13,930,000 of the amount appropriated under this heading shall be for technical assistance grants for rural water and waste systems pursuant to section 306(a)(14) of such Act, unless the Secretary makes a determination of extreme need, of which not more than \$6,500,000 \$4,179,000 shall be made available for a grant to a qualified nonprofit multi-State regional technical assistance organization, with experience in working with small communities on water and waste water problems, the principal purpose of such grant shall be to assist rural communities with populations of 3,300 or less, in improving the planning, financing, development, operation, and management of water and waste water systems, and of which not less more than \$800,000 \$557,000 shall be for a qualified national Native American organization to provide technical assistance for rural water systems for tribal communities: *Provided further*, That not to exceed \$16,397,000 \$13,000,000 of the amount appropriated under this heading shall be for contracting with qualified national organizations for a circuit rider program to provide technical assistance for rural water systems: *Provided further*, That not to exceed \$4,000,000 \$1,000,000 shall be for solid waste management grants: *Provided further*, That \$10,000,000 of the amount appropriated under this heading shall be transferred to, and merged with, the Rural Utilities Service, High Energy Cost Grants Account to provide grants authorized under section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 918a): *Provided further*, That any prior year balances for high-energy cost grants authorized by section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 918a) shall be transferred to and merged with the Rural Utilities Service, High Energy Cost Grants Account: *Provided further*, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1980-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Water and waste disposal systems grants .....	515	477	478
0012 Solid waste management grants .....	4	4	1
0013 Emergency Community Water Assistance Grants .....	15	12	10
0015 Emergency Community Water Assistance Grants, appropriated .....		10	5
0091 Direct program activities, subtotal .....	534	503	494
Credit program obligations:			
0701 Direct loan subsidy .....		36	45
0705 Reestimates of direct loan subsidy .....	47	18	
0706 Interest on reestimates of direct loan subsidy .....	23	24	
0791 Direct program activities, subtotal .....	70	78	45
0900 Total new obligations (object class 41.0) .....	604	581	539
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	112	93	104
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	112	93	
1021 Recoveries of prior year unpaid obligations .....	59	51	52
1050 Unobligated balance (total) .....	171	144	156
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	465	522	462
1120 Appropriations transferred to other accts [012-2042] .....	-10	-10	
1131 Unobligated balance of appropriations permanently reduced .....		-13	
1160 Appropriation, discretionary (total) .....	455	499	462
Appropriations, mandatory:			
1200 Appropriation .....	71	42	
1900 Budget authority (total) .....	526	541	462
1930 Total budgetary resources available .....	697	685	618

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	93	104	79
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,122	1,989	1,948
3010	Obligations incurred, unexpired accounts .....	604	581	539
3020	Outlays (gross) .....	-665	-571	-492
3040	Recoveries of prior year unpaid obligations, unexpired .....	-59	-51	-52
3041	Recoveries of prior year unpaid obligations, expired .....	-13		
3050	Unpaid obligations, end of year .....	1,989	1,948	1,943
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,122	1,989	1,948
3200	Obligated balance, end of year .....	1,989	1,948	1,943
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	455	499	462
Outlays, gross:				
4010	Outlays from new discretionary authority .....	14	21	14
4011	Outlays from discretionary balances .....	578	477	434
4020	Outlays, gross (total) .....	592	498	448
Mandatory:				
4090	Budget authority, gross .....	71	42	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	71	42	
4101	Outlays from mandatory balances .....	2	31	44
4110	Outlays, gross (total) .....	73	73	44
4180	Budget authority, net (total) .....	526	541	462
4190	Outlays, net (total) .....	665	571	492

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1980-0-1-452	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Water and Waste Disposal Loans .....	1,106	1,364	1,037
115999 Total direct loan levels .....	1,106	1,364	1,037
Direct loan subsidy (in percent):			
132001 Water and Waste Disposal Loans .....	-61	2.61	4.34
132999 Weighted average subsidy rate .....	-61	2.61	4.34
Direct loan subsidy budget authority:			
133001 Water and Waste Disposal Loans .....	-7	36	45
133999 Total subsidy budget authority .....	-7	36	45
Direct loan subsidy outlays:			
134001 Water and Waste Disposal Loans .....	52	36	22
134003 Water and Waste Disposal Loans - ARRA .....	19		
134999 Total subsidy outlays .....	71	36	22
Direct loan reestimates:			
135001 Water and Waste Disposal Loans .....	27	-133	
135999 Total direct loan reestimates .....	27	-133	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Water and Waste Disposal Loan Guarantees .....	15	16	16
Guaranteed loan subsidy (in percent):			
232001 Water and Waste Disposal Loan Guarantees .....	0.59	0.55	0.48
232999 Weighted average subsidy rate .....	0.59	0.55	0.48

This account funds the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, and solid waste management grants.

Water and waste disposal loans are authorized under 7 U.S.C. 1926. The program provides direct loans to municipalities, counties, special purpose districts, certain Indian Tribes, and non-profit corporations to develop water and waste disposal systems in rural areas and towns with populations of less than 10,000. The program also guarantees water and waste disposal loans made by banks and other eligible lenders. The Budget requests \$34.9 million in budget authority to support a projected loan level of \$803.8 million in direct loans. No guaranteed loans are proposed for 2017. The Budget proposes to increase the population limit from 10,000 to 20,000 for communities to be eligible for the guaranteed loan program.

Water and waste disposal grants are authorized under Section 306(a)(2) of the Consolidated Farm and Rural Development Act, as amended. Grants are authorized to be made to associations, including nonprofit corporations,

municipalities, counties, public and quasi-public agencies, and certain Indian tribes. The grants can be used to finance development, storage, treatment, purification, or distribution of water or the collection, treatment, or disposal of waste in rural areas and cities or towns with populations of less than 10,000. The amount of any development grant may not exceed 75 percent of the eligible development cost of the project. In 2017, \$420.7 million is requested for this program.

Emergency community water assistance grants are authorized under Section 306A of the Consolidated Farm and Rural Development Act, as amended. Grants are made to public bodies and nonprofit organizations for construction or extension of water lines, repair or maintenance of existing systems, replacement of equipment, and payment of costs to correct emergency situations. These grants are funded on an as needed basis using flexibility of funds authority. The 2017 Budget proposes to make up to \$10 million available for these grants from within the funding requested. In addition, the 2017 budget requests to appropriate \$5 million to supplement the set-aside.

Solid waste management grants are authorized under Section 310B(b) of the Consolidated Farm and Rural Development Act, as amended. Grants are made to non-profit organizations to provide regional technical assistance to local and regional governments and related agencies for the purpose of reducing or eliminating pollution of water resources, and for improving the planning and management of solid waste disposal facilities. In 2017 \$1 million is requested for this program.

**RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4226-0-3-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations .....	1,106	1,364	1,037
0713	Payment of interest to Treasury .....	546	574	602
0740	Negative subsidy obligations .....	7		
0742	Downward reestimate paid to receipt account .....	28	155	
0743	Interest on downward reestimates .....	15	20	
0900	Total new obligations .....	1,702	2,113	1,639
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	192	426	
1021	Recoveries of prior year unpaid obligations .....	118		
1023	Unobligated balances applied to repay debt .....	-200	-426	
1024	Unobligated balance of borrowing authority withdrawn .....	-109		
1050	Unobligated balance (total) .....	1		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1,155	1,000	544
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1,421	1,367	1,327
1801	Change in uncollected payments, Federal sources .....	-80	1	23
1825	Spending authority from offsetting collections applied to repay debt .....	-369	-255	-255
1850	Spending auth from offsetting collections, mand (total) .....	972	1,113	1,095
1900	Budget authority (total) .....	2,127	2,113	1,639
1930	Total budgetary resources available .....	2,128	2,113	1,639
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	426		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,988	3,083	3,530
3010	Obligations incurred, unexpired accounts .....	1,702	2,113	1,639
3020	Outlays (gross) .....	-1,489	-1,666	-1,675
3040	Recoveries of prior year unpaid obligations, unexpired .....	-118		
3050	Unpaid obligations, end of year .....	3,083	3,530	3,494
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-190	-110	-111
3070	Change in uncollected pymts, Fed sources, unexpired .....	80	-1	-23
3090	Uncollected pymts, Fed sources, end of year .....	-110	-111	-134

RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4226-0-3-452	2015 actual	2016 est.	2017 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,798	2,973	3,419
3200 Obligated balance, end of year .....	2,973	3,419	3,360
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,127	2,113	1,639
Financing disbursements:			
4110 Outlays, gross (total) .....	1,489	1,666	1,675
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-143	-80	-26
4122 Interest on uninvested funds .....	-58	-61	-61
4123 Repayment of principal .....	-763	-779	-788
4123 Interest Received on Loans .....	-444	-447	-452
4123 Other .....	-13		
4130 Offsets against gross budget authority and outlays (total) ....	-1,421	-1,367	-1,327
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	80	-1	-23
4160 Budget authority, net (mandatory) .....	786	745	289
4170 Outlays, net (mandatory) .....	68	299	348
4180 Budget authority, net (total) .....	786	745	289
4190 Outlays, net (total) .....	68	299	348

Status of Direct Loans (in millions of dollars)

Identification code 012-4226-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	1,200	1,200	804
1121 Limitation available from carry-forward .....		164	233
1142 Unobligated direct loan limitation (-) .....	-94		
1150 Total direct loan obligations .....	1,106	1,364	1,037
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	11,952	12,082	12,221
1231 Disbursements: Direct loan disbursements .....	899	918	1,072
1251 Repayments: Repayments and prepayments .....	-763	-779	-788
1261 Adjustments: Capitalized interest .....	2		
1264 Write-offs for default: Other adjustments, net (+ or -) .....	-8		
1290 Outstanding, end of year .....	12,082	12,221	12,505

The subsidy cost of these loans is provided through the Rural Water and Waste Disposal Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4226-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	594	988
Investments in US securities:		
1106 Receivables, net .....	70	42
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	11,952	12,082
1402 Interest receivable .....	99	91
1404 Foreclosed property .....		
1405 Allowance for subsidy cost (-) .....	-415	-308
1499 Net present value of assets related to direct loans .....	11,636	11,865
1999 Total assets .....	12,300	12,895
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	12,257	12,720
2105 Other .....	43	175
2999 Total liabilities .....	12,300	12,895
4999 Total liabilities and net position .....	12,300	12,895

RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4218-0-3-452	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4218-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	15		
2121 Limitation available from carry-forward .....		16	16
2150 Total guaranteed loan commitments .....	15	16	16
2199 Guaranteed amount of guaranteed loan commitments .....	13	15	15
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	96	112	111
2231 Disbursements of new guaranteed loans .....	22	8	13
2251 Repayments and prepayments .....	-6	-9	-9
2290 Outstanding, end of year .....	112	111	115
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	100	89	92

This account finances loan guarantee commitments for water systems and waste disposal facilities in rural areas.

Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4218-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		1
1999 Total assets .....		1
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other .....		1
4999 Total liabilities and net position .....		1

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

The principal amount of direct and guaranteed loans as authorized by sections 305 [and], 306, and 317 of the Rural Electrification Act of 1936 (7 U.S.C. 935, [and] 936, and 940g) shall be made as follows: loans made pursuant to [section] sections 305, 306, and 317, notwithstanding 317(c) [of that Act], rural electric, [\$5,500,000,000] \$6,500,000,000; [guaranteed underwriting loans pursuant to section 313A, \$750,000,000; 5 percent rural telecommunications loans,] cost of money rural telecommunications loans, \$345,056,000; and for loans made pursuant to section 306 of that Act, rural telecommunications loans, [\$690,000,000] \$345,000,000: Provided, That [up to \$2,000,000,000 shall] amounts under this heading may be used [for] to finance the construction, acquisition, or improvement of electric infrastructure including renewable energy, electric transmission, distribution, smart grid technology, energy efficiency, grid resiliency, and security enhancements, and [fossil-fueled] electric generating plants (whether new or existing) that utilize carbon sequestration systems; to finance fossil-fueled electric generating peaking units (new or existing) used in conjunction with an electric generating plant that produces electricity from solar, wind, or other intermittent sources of energy; to finance environmental improvements to fossil-fuel electric generating plants that

would reduce emission of air pollution including greenhouse gases; and to finance other pollution mitigation by rural electric service providers and other rural generation, transmission, or distribution investments is consistent with any applicable state-level clean power plan.

For the cost of direct loans as authorized by section 305 of the Rural Electrification Act of 1936 (7 U.S.C. 935), including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, cost of money rural telecommunications loans, **[\$104,000]** \$14,071,000, of which \$11,000,000 is for the cost of loan modifications.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, **[\$34,707,000]** \$36,451,000, which shall be [transferred to and merged with] paid to the appropriation for "Rural Development, Salaries and Expenses". (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-1230-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		8	4
0703 Subsidy for modifications of direct loans .....			11
0705 Reestimates of direct loan subsidy .....	353	415	
0706 Interest on reestimates of direct loan subsidy .....	207	82	
0709 Administrative expenses .....	34	35	36
0900 Total new obligations .....	594	540	51
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34	43	51
Appropriations, mandatory:			
1200 Appropriation .....	560	497	
1900 Budget authority (total) .....	594	540	51
1930 Total budgetary resources available .....	594	540	51
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	8
3010 Obligations incurred, unexpired accounts .....	594	540	51
3020 Outlays (gross) .....	-594	-534	-50
3050 Unpaid obligations, end of year .....	2	8	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	8
3200 Obligated balance, end of year .....	2	8	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	34	43	51
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	36	47
4011 Outlays from discretionary balances .....		1	3
4020 Outlays, gross (total) .....	34	37	50
Mandatory:			
4090 Budget authority, gross .....	560	497	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	560	497	
4180 Budget authority, net (total) .....	594	540	51
4190 Outlays, net (total) .....	594	534	50

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1230-0-1-271	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115004 FFB Electric Loans .....	2,898	5,500	6,500
115006 Treasury Telecommunications Loans .....	122	347	345
115007 FFB Telecommunications Loans .....	124	160	345
115008 FFB Guaranteed Underwriting .....	500	750	
115012 Rural Energy Savings Program .....		60	
115999 Total direct loan levels .....	3,644	6,817	7,190
Direct loan subsidy (in percent):			
132004 FFB Electric Loans .....	-5.64	-4.97	-4.92
132006 Treasury Telecommunications Loans .....	-1.17	0.03	0.89
132007 FFB Telecommunications Loans .....	-3.49	-2.74	-2.53
132008 FFB Guaranteed Underwriting .....	-3.97	0.00	
132012 Rural Energy Savings Program .....		13.34	
132999 Weighted average subsidy rate .....	-5.19	-3.96	-4.53

Direct loan subsidy budget authority:			
133004 FFB Electric Loans .....	-163	-273	-321
133006 Treasury Telecommunications Loans .....	-1		3
133007 FFB Telecommunications Loans .....	-4	-4	-9
133008 FFB Guaranteed Underwriting .....	-20		
133012 Rural Energy Savings Program .....		8	
133999 Total subsidy budget authority .....	-188	-269	-327
Direct loan subsidy outlays:			
134001 Electric Hardship Loans .....	-25	-1	-1
134004 FFB Electric Loans .....	-129	-157	-198
134005 Telecommunication Hardship Loans .....	-3	-2	1
134006 Treasury Telecommunications Loans .....	-1	-1	-1
134007 FFB Telecommunications Loans .....	-4	-5	-4
134008 FFB Guaranteed Underwriting .....	-37	-31	-12
134011 Electric Loan Modifications .....			11
134999 Total subsidy outlays .....	-199	-197	-204
Direct loan reestimates:			
135001 Electric Hardship Loans .....	3	11	
135002 Municipal Electric Loans .....	32	-18	
135003 Treasury Electric Loans .....	-3	-11	
135004 FFB Electric Loans .....	10	-237	
135005 Telecommunication Hardship Loans .....	-2	2	
135006 Treasury Telecommunications Loans .....	25	13	
135007 FFB Telecommunications Loans .....	22	7	
135008 FFB Guaranteed Underwriting .....	16	79	
135011 Electric Loan Modifications .....	9	2	
135999 Total direct loan reestimates .....	112	-152	
Administrative expense data:			
3510 Budget authority .....	34	35	36
3590 Outlays from new authority .....	33	35	36

The Rural Utilities Service (RUS) conducts the rural electrification and the rural telecommunications loan programs. The rural electrification loan program finances the operation of generating plants, electric transmission, and distribution lines or systems. The rural telecommunications loan program provides funding for construction, expansion, and operation of telecommunications lines and facilities or systems. The Budget requests \$345 million for the telecommunications Treasury loan program and \$345 million for the telecommunications FFB loan program.

The Budget supports the Administration's commitment to phase out fossil fuel subsidies. The total electric loan level included in the Budget is \$6.5 billion, which may be available for financing construction, acquisition, or improvement of electric infrastructure including renewable energy, electric transmission, distribution, smart grid technology, energy efficiency, grid resiliency, and security enhancements; electric generating plants that utilize carbon sequestration systems; fossil-fueled electric generating peaking units used in conjunction with an electric generating plant that produces electricity from solar, wind, or other intermittent sources of energy; environmental improvements to fossil-fuel electric generating plants that would reduce emission of air pollution including greenhouse gases; and other pollution mitigation by rural electric service providers and other rural generation, transmission, or distribution investments is consistent with any applicable state-level clean power plan.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, the subsidy costs associated with the direct and guaranteed loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 012-1230-0-1-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	34	35	37
41.0 Grants, subsidies, and contributions .....	560	505	14

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM  
ACCOUNT—Continued  
Object Classification—Continued

Identification code 012-1230-0-1-271	2015 actual	2016 est.	2017 est.
99.9 Total new obligations	594	540	51

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING  
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4208-0-3-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Interest on FFB Loans	1,835	1,467	1,519
Credit program obligations:			
0710 Direct loan obligations	3,643	6,817	7,190
0713 Payment of interest to Treasury	571	622	605
0740 Negative subsidy obligations	189	278	329
0741 Modification savings	1		
0742 Downward reestimate paid to receipt account	357	410	
0743 Interest on downward reestimates	92	240	
0791 Direct program activities, subtotal	4,853	8,367	8,124
0900 Total new obligations	6,688	9,834	9,643

Budgetary resources:

Identification code 012-4208-0-3-271	2015 actual	2016 est.	2017 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,449	4,990	
1021 Recoveries of prior year unpaid obligations	2,285		
1023 Unobligated balances applied to repay debt	-367	-4,990	
1024 Unobligated balance of borrowing authority withdrawn	-2,285		
1050 Unobligated balance (total)	2,082		
Financing authority:			
Appropriations, mandatory:			
1200 Appropriation	2		
Borrowing authority, mandatory:			
1400 Borrowing authority	4,202	1,805	2,017
Spending authority from offsetting collections, mandatory:			
1800 Collected	10,121	9,873	9,761
1801 Change in uncollected payments, Federal sources	-1	-1	
1825 Spending authority from offsetting collections applied to repay debt	-4,728	-1,843	-2,065
1850 Spending auth from offsetting collections, mand (total)	5,392	8,029	7,696
1900 Budget authority (total)	9,596	9,834	9,713
1930 Total budgetary resources available	11,678	9,834	9,713
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4,990		70

Change in obligated balance:

Identification code 012-4208-0-3-271	2015 actual	2016 est.	2017 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15,146	12,288	13,762
3010 Obligations incurred, unexpired accounts	6,688	9,834	9,643
3020 Outlays (gross)	-7,261	-8,360	-7,807
3040 Recoveries of prior year unpaid obligations, unexpired	-2,285		
3050 Unpaid obligations, end of year	12,288	13,762	15,598
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	
3070 Change in uncollected pymts, Fed sources, unexpired	1	1	
3090 Uncollected pymts, Fed sources, end of year	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15,144	12,287	13,762
3200 Obligated balance, end of year	12,287	13,762	15,598

Financing authority and disbursements, net:

Identification code 012-4208-0-3-271	2015 actual	2016 est.	2017 est.
Mandatory:			
4090 Budget authority, gross	9,596	9,834	9,713
Financing disbursements:			
4110 Outlays, gross (total)	7,261	8,360	7,807
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account	-560	-499	-14
4122 Interest on uninvested funds	-273	-246	-234
4123 Repayment of principal	-5,981	-6,579	-6,909
4123 Interest received on loans	-2,138	-1,403	-1,473
4123 Repayment of principal Cushion of Credit	-1,112	-1,105	-1,094

4123 Repayment of interest Cushion of Credit	-57	-41	-37
4130 Offsets against gross budget authority and outlays (total)	-10,121	-9,873	-9,761
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	1	1	
4160 Budget authority, net (mandatory)	-524	-38	-48
4170 Outlays, net (mandatory)	-2,860	-1,513	-1,954
4180 Budget authority, net (total)	-524	-38	-48
4190 Outlays, net (total)	-2,860	-1,513	-1,954

Status of Direct Loans (in millions of dollars)

Identification code 012-4208-0-3-271	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	6,190	7,002	7,190
1142 Unobligated direct loan limitation (-)	-2,547		
1143 Unobligated limitation carried forward (P.L. xx) (-)		-185	
1150 Total direct loan obligations	3,643	6,817	7,190
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	51,169	48,272	46,010
1231 Disbursements: Direct loan disbursements	4,209	5,423	5,467
Repayments:			
1251 Repayments and prepayments - Cash	-5,981	-6,580	-6,909
1251 Repayments and prepayments - CoC	-1,111	-1,105	-1,094
1264 Write-offs for default: Other adjustments, Reclassified, net	-14		
1290 Outstanding, end of year	48,272	46,010	43,474

Balance Sheet (in millions of dollars)

Identification code 012-4208-0-3-271	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury	2,458	4,710
Investments in US securities:		
1106 Receivables, net	489	415
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	48,140	45,199
1402 Interest receivable	24	23
1405 Allowance for subsidy cost (-)	-1,016	-676
1499 Net present value of assets related to direct loans	47,148	44,546
1999 Total assets	50,095	49,671
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt	9,785	9,583
2103 FFB	39,861	39,446
Non-Federal liabilities:		
2202 Interest payable	23	25
2207 Other	426	617
2999 Total liabilities	50,095	49,671
4999 Total liabilities and net position	50,095	49,671
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury	364	384
Investments in US securities:		
1106 Receivables, net	34	30
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	3,030	3,073
1402 Interest receivable	5	1
1405 Allowance for subsidy cost (-)	-74	-16
1499 Net present value of assets related to direct loans	2,961	3,058
1999 Total assets	3,359	3,472
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable	1	
2103 Debt	2,523	2,509
2103 FFB	835	946
2207 Non-Federal liabilities: Other		17
2999 Total liabilities	3,359	3,472
4999 Total liabilities and net position	3,359	3,472

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS GUARANTEED LOANS FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4209-0-3-271	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	183	178	173
2251 Repayments and prepayments .....	-5	-5	-5
2290 Outstanding, end of year .....	178	173	168
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	178	173	168

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4230-0-3-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Interest Expense, FFB direct .....	84	52	17
0005 Other: cushion of credit .....	189	168	156
0091 Direct program activities, subtotal .....	273	220	173
Credit program obligations:			
0739 CoC for Financing .....	1,980	1,960	1,940
0900 Total new obligations .....	2,253	2,180	2,113

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,081	5,243	5,006
1022 Capital transfer of unobligated balances to general fund .....	-12	-174	.....
1050 Unobligated balance (total) .....	5,069	5,069	5,006
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation for CoC Borrower Interest .....	265	262	259
1200 Appropriation for CBOs .....	259	347	.....
1200 Appropriation for RED Grants .....	189	168	156
1260 Appropriations, mandatory (total) .....	713	777	415
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,390	1,980	2,074
1825 Spending authority from offsetting collections applied to repay debt .....	-676	-640	-606
1850 Spending auth from offsetting collections, mand (total) .....	1,714	1,340	1,468
1900 Budget authority (total) .....	2,427	2,117	1,883
1930 Total budgetary resources available .....	7,496	7,186	6,889
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5,243	5,006	4,776

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	63
3010 Obligations incurred, unexpired accounts .....	2,253	2,180	2,113
3020 Outlays (gross) .....	-2,253	-2,117	-1,883
3050 Unpaid obligations, end of year .....	.....	63	293
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	63
3200 Obligated balance, end of year .....	.....	63	293

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	2,427	2,117	1,883
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,252	2,117	1,883
4101 Outlays from mandatory balances .....	1	.....	.....
4110 Outlays, gross (total) .....	2,253	2,117	1,883
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Loans Repaid - Cash .....	-275	-245	-218
4123 Interest Repaid - Cash .....	-73	-65	-58
4123 Cushion of Credit Deposits .....	-1,703	-1,377	-1,536
4123 Loans Repaid - CoC .....	-280	-252	-226
4123 Interest Repaid - CoC .....	-45	-41	-36
4123 Electric Underwriting Fee .....	-14	.....	.....

4130 Offsets against gross budget authority and outlays (total) ....	-2,390	-1,980	-2,074
4160 Budget authority, net (mandatory) .....	37	137	-191
4170 Outlays, net (mandatory) .....	-137	137	-191
4180 Budget authority, net (total) .....	37	137	-191
4190 Outlays, net (total) .....	-137	137	-191

Status of Direct Loans (in millions of dollars)

Identification code 012-4230-0-3-999	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	3,318	2,871	2,444
Repayments:			
1251 Repayments and prepayments - Cash .....	-275	-245	-219
1251 Repayments and prepayments - CoC .....	-280	-252	-226
1261 Adjustments: Capitalized interest .....	108	70	60
1290 Outstanding, end of year .....	2,871	2,444	2,059

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4230-0-3-999	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	65	38	33
2251 Repayments and prepayments .....	-27	-5	-3
2290 Outstanding, end of year .....	38	33	30
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	38	33	30

STATUS OF AGENCY DEBT

[In millions of dollars]

	2015 actual	2016 est.	2017 est.
Agency debt held by FFB:			
Outstanding FFB direct, start of year .....	1,225	801	461
Outstanding Certificate of Beneficial Ownership (CBO's), start of year .....	734	482	135
New agency borrowing, FFB direct .....	0	0	0
Repayments and prepayments, FFB Direct .....	-424	-340	-196
Repayments, CBO's-344 .....	-252	-347	0
Outstanding FFB direct, end of year .....	801	461	265
Outstanding CBO's, end of year .....	482	135	135

The Rural Telephone Bank was dissolved in 2006. To accomplish this, the Rural Telephone Bank liquidating account loans were used to redeem a portion of the Government's stock. The Rural Telephone Bank liquidating account loans were transferred to the Rural Electrification and Telecommunications liquidating account in 2006.

The Rural Utilities Service (RUS) continues to service all loans in this account, providing business management and technical assistance to the borrowers on a regular basis over the life of the loans.

*Rural electric.*—This program is financed through RUS direct loans for the construction and operation of generating plants, electric transmission, and distribution lines or systems.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in RETRF in 1992 and beyond is recorded in corresponding program and financing accounts.

The following tables reflect statistics on loans made through the liquidating account only. Since 1992 new electric and telephone loans have been made through a separate program account.

ELECTRIC PROGRAM STATISTICS

[dollars in millions]

	2015 actual	2016 est.	2017 est.
Cumulative RUS financed direct loans .....	21,832	21,832	21,832
Cumulative FFB financed direct loans .....	26,598	26,598	26,598
Cumulative RUS funds advanced .....	21,832	21,832	21,832
Unadvanced RUS funds, end of year .....	0	0	0
Cumulative RUS principal repaid .....	20,831	20,939	20,998
Cumulative RUS interest paid .....	13,664	13,673	13,681
Cumulative loan guarantee commitments .....	0	0	0
Number of borrowers .....	176	165	156

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING  
ACCOUNT—Continued

*Rural telecommunications.*—This loan program is financed through RUS direct loans for the construction, expansion, and operation of telecommunications lines and facilities or systems.

TELECOMMUNICATIONS PROGRAM STATISTICS

	[dollars in millions]		
	2015 actual	2016 est.	2017 est.
Cumulative RUS financed direct loans .....	5,916	5,916	5,916
Cumulative FFB financed direct loans .....	562	562	562
Cumulative RUS funds advanced .....	5,916	5,916	5,916
Unadvanced RUS funds, end of period .....	0	0	0
Cumulative RUS principal repaid .....	5,715	5,765	5,770
Cumulative RUS interest paid .....	3,530	3,539	3,542
Cumulative loan guarantee commitments .....	0	0	0
Number of borrowers .....	237	215	198

RURAL TELEPHONE BANK PROGRAM STATISTICS

	[dollars in millions]		
	2015 actual	2016 est.	2017 est.
Cumulative net loans .....	2,471	2,471	2,471
Cumulative loan funds, advanced .....	2,471	2,471	2,471
Unadvanced loan funds, end of year .....	0	0	0
Cumulative principal repaid .....	2,464	2,466	2,468
Cumulative interest paid .....	2,462	2,463	2,464
Number of borrowers .....	21	19	17

Balance Sheet (in millions of dollars)

Identification code 012-4230-0-3-999	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	12	174
1601 Direct loans, gross .....	3,318	2,871
1602 Interest receivable .....	5	5
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1,230	-1,339
1699 Value of assets related to direct loans .....	2,093	1,537
1999 Total assets .....	2,105	1,711
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	1,959	1,283
2104 Resources payable to Treasury .....	265	547
2105 Other .....		
2999 Total liabilities .....	2,224	1,830
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	-119	-119
4999 Total liabilities and net position .....	2,105	1,711

Object Classification (in millions of dollars)

Identification code 012-4230-0-3-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	189	168	156
43.0 Interest and dividends .....	84	52	17
94.0 Financial transfers .....	1,980	1,960	1,940
99.9 Total new obligations .....	2,253	2,180	2,113

RURAL TELEPHONE BANK PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-1231-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	1	2	
0706 Interest on reestimates of direct loan subsidy .....	3	2	
0900 Total new obligations (object class 41.0) .....	4	4	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	4	4	

1930 Total budgetary resources available .....	4	4	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	4	4	
3020 Outlays (gross) .....	-4	-4	
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	
4180 Budget authority, net (total) .....	4	4	
4190 Outlays, net (total) .....	4	4	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1231-0-1-452	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Rural Telephone Bank .....	2	2	

The Rural Telephone Bank (RTB) completed dissolution in 2006, therefore no federally funded RTB loans are proposed.

As required by the Federal Credit Reform Act of 1990, this account records, for the RTB, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL TELEPHONE BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4210-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	12	13	13
0742 Downward reestimate paid to receipt account .....	2		
0900 Total new obligations .....	14	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	16	
1021 Recoveries of prior year unpaid obligations .....	8		
1023 Unobligated balances applied to repay debt .....	-27	-16	
1024 Unobligated balance of borrowing authority withdrawn .....	-8		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	47	36	31
1825 Spending authority from offsetting collections applied to repay debt .....	-19	-23	-18
1850 Spending auth from offsetting collections, mand (total) .....	28	13	13
1900 Budget authority (total) .....	30	13	13
1930 Total budgetary resources available .....	30	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	55	36
3010 Obligations incurred, unexpired accounts .....	14	13	13
3020 Outlays (gross) .....	-14	-32	-25
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	55	36	24
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	62	54	35

3200	Obligated balance, end of year .....	54	35	23
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	30	13	13
Financing disbursements:				
4110	Outlays, gross (total) .....	14	32	25
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-4	-3	.....
4122	Interest on uninvested funds .....	-2	-2	-2
4123	Principal received on loans .....	-31	-22	-21
4123	Interest received on loans .....	-10	-9	-8
4130	Offsets against gross budget authority and outlays (total) ....	-47	-36	-31
4160	Budget authority, net (mandatory) .....	-17	-23	-18
4170	Outlays, net (mandatory) .....	-33	-4	-6
4180	Budget authority, net (total) .....	-17	-23	-18
4190	Outlays, net (total) .....	-33	-4	-6

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4210-0-3-452	2015 actual	2016 est.	2017 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	231	159	156
1231	Disbursements: Direct loan disbursements .....	.....	19	13
1251	Repayments: Repayments and prepayments .....	-31	-22	-22
1263	Write-offs for default: Direct loans .....	-41	.....	.....
1290	Outstanding, end of year .....	159	156	147

**Balance Sheet** (in millions of dollars)

Identification code 012-4210-0-3-452	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	61	33
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	261	159
1405	Allowance for subsidy cost (-) .....	25	55
1499	Net present value of assets related to direct loans .....	286	214
1999	Total assets .....	347	247
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	347	247
4999	Total liabilities and net position .....	347	247

**DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM**

**For the principal amount of broadband telecommunication loans, \$20,576,000.** For grants for telemedicine and distance learning services in rural areas, as authorized by 7 U.S.C. 950aaa et seq., **[\$22,000,000]** \$34,950,000, to remain available until expended: *Provided*, That \$3,000,000 shall be made available for grants authorized by 379G of the Consolidated Farm and Rural Development Act: *Provided further*, That funding provided under this heading for grants under 379G of the Consolidated Farm and Rural Development Act may only be provided to entities that meet all of the eligibility criteria for a consortium as established by this section].

**For the cost of broadband loans, as authorized by section 601 of the Rural Electrification Act, \$4,500,000, to remain available until expended: Provided**, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974. ]

In addition, **[\$10,372,000]** \$39,492,000, to remain available until expended, for a grant program to finance broadband transmission in rural areas eligible for Distance Learning and Telemedicine Program benefits authorized by 7 U.S.C. 950aaa. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1232-0-1-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0010	Grants .....	35	37	78
Credit program obligations:				
0701	Direct loan subsidy .....	.....	15	.....
0705	Reestimates of direct loan subsidy .....	142	10	.....
0706	Interest on reestimates of direct loan subsidy .....	45	5	.....

0791	Direct program activities, subtotal .....	187	30	.....
0900	Total new obligations (object class 41.0) .....	222	67	78
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	14	23	10
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	14	23	.....
1021	Recoveries of prior year unpaid obligations .....	7	2	2
1050	Unobligated balance (total) .....	21	25	12
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	37	37	74
1131	Unobligated balance of appropriations permanently reduced .....	.....	.....	-1
1160	Appropriation, discretionary (total) .....	37	37	73
Appropriations, mandatory:				
1200	Appropriation .....	187	15	.....
1900	Budget authority (total) .....	224	52	73
1930	Total budgetary resources available .....	245	77	85
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	23	10	7

**Change in obligated balance:**

Identification code 012-1232-0-1-452	2015 actual	2016 est.	2017 est.	
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	621	118	125
3010	Obligations incurred, unexpired accounts .....	222	67	78
3020	Outlays (gross) .....	-607	-58	-51
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	-2	-2
3041	Recoveries of prior year unpaid obligations, expired .....	-111	.....	.....
3050	Unpaid obligations, end of year .....	118	125	150
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	621	118	125
3200	Obligated balance, end of year .....	118	125	150

**Budget authority and outlays, net:**

Identification code 012-1232-0-1-452	2015 actual	2016 est.	2017 est.	
Discretionary:				
4000	Budget authority, gross .....	37	37	73
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	.....	-1
4011	Outlays from discretionary balances .....	420	43	52
4020	Outlays, gross (total) .....	420	43	51
Mandatory:				
4090	Budget authority, gross .....	187	15	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	187	15	.....
4180	Budget authority, net (total) .....	224	52	73
4190	Outlays, net (total) .....	607	58	51

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1232-0-1-452	2015 actual	2016 est.	2017 est.	
Direct loan levels supportable by subsidy budget authority:				
115003	Broadband Treasury Rate Loans .....	65	.....	.....
115999	Total direct loan levels .....	65	.....	.....
Direct loan subsidy (in percent):				
132003	Broadband Treasury Rate Loans .....	22.80	.....	.....
132999	Weighted average subsidy rate .....	22.80	.....	.....
Direct loan subsidy budget authority:				
133003	Broadband Treasury Rate Loans .....	15	.....	.....
133999	Total subsidy budget authority .....	15	.....	.....
Direct loan subsidy outlays:				
134003	Broadband Treasury Rate Loans .....	2	7	3
134004	Broadband Treasury Rate Loans - ARRA .....	13	.....	.....
134999	Total subsidy outlays .....	15	7	3
Direct loan reestimates:				
135001	Distance Learning and Telemedicine Loans .....	22	3	.....
135003	Broadband Treasury Rate Loans .....	165	-117	.....
135999	Total direct loan reestimates .....	187	-114	.....

The loan and grant program provides access to advanced telecommunications services for improved education and health care in rural areas throughout the country. The loans and grants help education and health care providers bring the most modern technology, level of care, and education to rural America so its citizens can compete regionally, nationally, and globally.

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM—Continued

Since there is little demand for the Distance Learning, Telemedicine (DLT) loans, the Budget proposes no funding for DLT loans in 2017 while requesting \$34.95 million for DLT grants. The request for Broadband grants is \$39.5 million. The Budget proposes no funding for Broadband loans. Instead, the Budget shifts resources to the grant programs, which are oversubscribed. In rural areas, it is often difficult to make a business case to support loan funding and therefore, grant assistance is more appropriate.

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4146-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....		65	
0713 Payment of interest to Treasury .....	43	43	44
0742 Downward reestimate paid to receipt account .....		109	
0743 Interest on downward reestimates .....		20	
0900 Total new obligations .....	43	237	44
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	265	286	
1021 Recoveries of prior year unpaid obligations .....	64		
1023 Unobligated balances applied to repay debt .....	-265	-286	
1024 Unobligated balance of borrowing authority withdrawn .....	-59		
1050 Unobligated balance (total) .....	5		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		57	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	371	188	155
1801 Change in uncollected payments, Federal sources .....	-20	-8	3
1825 Spending authority from offsetting collections applied to repay debt .....	-27		
1850 Spending auth from offsetting collections, mand (total) .....	324	180	158
1900 Budget authority (total) .....	324	237	158
1930 Total budgetary resources available .....	329	237	158
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	286		114
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	404	123	111
3010 Obligations incurred, unexpired accounts .....	43	237	44
3020 Outlays (gross) .....	-260	-249	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-64		
3050 Unpaid obligations, end of year .....	123	111	75
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-32	-12	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	20	8	-3
3090 Uncollected pymts, Fed sources, end of year .....	-12	-4	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	372	111	107
3200 Obligated balance, end of year .....	111	107	68
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	324	237	158
Financing disbursements:			
4110 Outlays, gross (total) .....	260	249	80
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-202	-22	-3
4122 Interest on uninvested funds .....	-15	-4	-4
4123 Repayment of principal .....	-154	-153	-143
4123 Interest received on loans .....		-9	-5
4130 Offsets against gross budget authority and outlays (total) ....	-371	-188	-155
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	20	8	-3
4160 Budget authority, net (mandatory) .....	-27	57	
4170 Outlays, net (mandatory) .....	-111	61	-75
4180 Budget authority, net (total) .....	-27	57	

4190 Outlays, net (total) .....	-111	61	-75
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Status of Direct Loans (in millions of dollars)

Identification code 012-4146-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....		20	
1121 Limitation available from carry-forward .....		45	
1150 Total direct loan obligations .....		65	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,024	1,118	1,042
1231 Disbursements: Direct loan disbursements .....	217	77	36
1251 Repayments: Repayments and prepayments .....	-121	-153	-143
1264 Write-offs for default: Charge Off - Misc and Assn Loans, net .....	-2		
1290 Outstanding, end of year .....	1,118	1,042	935

Balance Sheet (in millions of dollars)

Identification code 012-4146-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	293	258
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,024	1,118
1402 Interest receivable .....		1
1405 Allowance for subsidy cost (-) .....	28	-176
1405 Allowance for loss on interest receivable (-) .....		
1499 Net present value of assets related to direct loans .....	1,052	943
1999 Total assets .....	1,345	1,201
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	1,345	1,201
4999 Total liabilities and net position .....	1,345	1,201

RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4155-0-3-452	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	110	27	
1022 Capital transfer of unobligated balances to general fund .....	-110	-27	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	137	113	94
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-110	-113	-94
1850 Spending auth from offsetting collections, mand (total) .....	27		
1930 Total budgetary resources available .....	27		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	27		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-137	-113	-94
4180 Budget authority, net (total) .....	-110	-113	-94
4190 Outlays, net (total) .....	-137	-113	-94

Status of Direct Loans (in millions of dollars)

Identification code 012-4155-0-3-452	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	616	510	422
1251 Repayments: Repayments and prepayments .....	-106	-88	-72
1290 Outstanding, end of year .....	510	422	350

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4155-0-3-452	2015 actual	2016 est.	2017 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	9	5	4
2251 Repayments and prepayments .....	-4	-1	-1
2290 Outstanding, end of year .....	5	4	3
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	4	4	3

The Rural Development Insurance Fund (RDIF) was established on October 1, 1972, pursuant to section 116 of the Rural Development Act of 1972 (Public Law 92-419). Loans are no longer made through this account.

**Balance Sheet** (in millions of dollars)

Identification code 012-4155-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	110	27
1201 Non-Federal assets: Investments in non-Federal securities, net .....	34	34
1601 Direct loans, gross .....	616	510
1602 Interest receivable .....	5	4
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1	-1
1699 Value of assets related to direct loans .....	620	513
1901 Other Federal assets: Other assets .....		
1999 Total assets .....	764	574
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	764	574
4999 Total liabilities and net position .....	764	574

**FOREIGN AGRICULTURAL SERVICE**

*Federal Funds*

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Foreign Agricultural Service, including not to exceed \$250,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), **[\$191,566,000]** \$196,571,000, of which no more than 6 percent shall remain available until September 30, 2018, for overseas operations to include the payment of locally employed staff. Provided, That the Service may utilize advances of funds, or reimburse this appropriation for expenditures made on behalf of Federal agencies, public and private organizations and institutions under agreements executed pursuant to the agricultural food production assistance programs (7 U.S.C. 1737) and the foreign assistance programs of the United States Agency for International Development: *Provided further*, That funds made available for middle-income country training programs, funds made available for the Borlaug International Agricultural Science and Technology Fellowship program, and up to \$2,000,000 of the Foreign Agricultural Service appropriation solely for the purpose of offsetting fluctuations in international currency exchange rates, subject to documentation by the Foreign Agricultural Service, shall remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-2900-0-1-352	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits of Miscellaneous Contributed Funds, Foreign Agricultural Service .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 012-2900-0-1-352	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Trade Promotion .....	71	75	77
0002 Trade Policy .....	77	81	83
0003 Capacity Building\Food Security .....	40	42	43
0799 Total direct obligations .....	188	198	203
0801 Salaries and Expenses (Reimbursable) .....	107	85	85
0900 Total new obligations .....	295	283	288

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	37	37	76
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		37	
1011 Unobligated balance transfer from other acct [072-1037] .....	1		
1050 Unobligated balance (total) .....	38	37	76
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	181	192	197
1121 Appropriations transferred from other acct [072-1037] .....	1		
1160 Appropriation, discretionary (total) .....	182	192	197
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		1	1
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	51	63	63
1701 Change in uncollected payments, Federal sources .....	74	66	66
1750 Spending auth from offsetting collections, disc (total) .....	125	129	129
1900 Budget authority (total) .....	307	322	327
1930 Total budgetary resources available .....	345	359	403
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-13		
1941 Unexpired unobligated balance, end of year .....	37	76	115

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	151	146	145
3010 Obligations incurred, unexpired accounts .....	295	283	288
3011 Obligations incurred, expired accounts .....	18	122	
3020 Outlays (gross) .....	-286	-406	-326
3041 Recoveries of prior year unpaid obligations, expired .....	-32		
3050 Unpaid obligations, end of year .....	146	145	107
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-291	-324	-390
3070 Change in uncollected pymts, Fed sources, unexpired .....	-74	-66	-66
3071 Change in uncollected pymts, Fed sources, expired .....	41		
3090 Uncollected pymts, Fed sources, end of year .....	-324	-390	-456
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-140	-178	-245
3200 Obligated balance, end of year .....	-178	-245	-349

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	307	321	326
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	206	267	271
4011 Outlays from discretionary balances .....	80	138	54
4020 Outlays, gross (total) .....	286	405	325
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-100	-63	-63
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-101	-63	-63
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-74	-66	-66
4052 Offsetting collections credited to expired accounts .....	50		
4060 Additional offsets against budget authority only (total) .....	-24	-66	-66
4070 Budget authority, net (discretionary) .....	182	192	197
4080 Outlays, net (discretionary) .....	185	342	262
<b>Mandatory:</b>			
4090 Budget authority, gross .....		1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		1	1
4180 Budget authority, net (total) .....	182	193	198
4190 Outlays, net (total) .....	185	343	263

SALARIES AND EXPENSES—Continued

The Foreign Agricultural Service's (FAS) mission is linking U.S. agriculture to the world to enhance export opportunities and global food security. FAS helps to provide outlets for the wide variety of U.S. agricultural products, thereby enhancing economic activity for U.S. workers. FAS serves U.S. agriculture's interests by expanding and maintaining international export opportunities, supporting international economic development and trade and capacity building, and global food security. The outcomes envisioned are exports that help U.S. agriculture prosper, the expansion of U.S. exports of organics and crops produced using new technologies and food that are globally available, accessible, and appropriately used. In addition to its Washington-based staff, the agency maintains a network of overseas offices that serve as first responders in cases of market disruption. The overseas offices also provide the Department with critical market and policy intelligence, and they represent U.S. agriculture in consultations with foreign governments. The 2017 Budget includes \$ 196.6 million for FAS, an increase of \$5 million over the 2016 enacted level. For more information on FAS's mission and program topic areas, please visit <http://www.fas.usda.gov/topics>.

Object Classification (in millions of dollars)

Identification code 012-2900-0-1-352	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	80	84	88
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	84	88	92
12.1 Civilian personnel benefits .....	30	31	33
21.0 Travel and transportation of persons .....	8	8	8
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	5	3	3
25.2 Other services from non-Federal sources .....	53	60	59
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	188	198	203
99.0 Reimbursable obligations .....	107	85	85
99.9 Total new obligations .....	295	283	288

Employment Summary

Identification code 012-2900-0-1-352	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	680	801	804
2001 Reimbursable civilian full-time equivalent employment .....	225	277	277

TRADE ADJUSTMENT ASSISTANCE FOR FARMERS

Program and Financing (in millions of dollars)

Identification code 012-1406-0-1-351	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	20		
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	20		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Trade Adjustment Assistance (TAA) for Farmers Program was reauthorized and modified by the American Recovery and Reinvestment Act of 2009 as established by Subtitle C of Title I of the Trade Act of 2002, which amended the Trade Act of 1974. The 2017 Budget does not request funding for the program.

FOREIGN ASSISTANCE PROGRAMS

Multiple food aid programs are appropriated to USDA and administered by USDA or the U.S. Agency for International Development (USAID) to provide U.S. commodities, technical and financial assistance to address hunger and malnutrition needs worldwide. These programs address emergency needs and foster economic development activities to alleviate global food insecurity.

SUMMARY OF FOOD ASSISTANCE PROGRAMMING

	[In millions of dollars]		
	2015-actual	2016 est.	2017 est.
McGovern-Dole International Food for Education and Child Nutrition (budget authority) .....	192	202 <sup>1</sup>	182 <sup>1</sup>
P.L. 480:			
Title II Grants (budget authority) .....	1,466	1,716 <sup>2</sup>	1,350
Food for Progress:			
CCC Funded .....	201	157	159
Bill Emerson Humanitarian Trust .....	0	0	0 <sup>3</sup>
Local and Regional Food Aid Procurement Program .....	0	0	15

<sup>1</sup>The Consolidated Appropriation's Act of 2016 provided \$5 million within McGovern-Dole that can be used for Local and Regional Food Aid Procurement. This provision is continued in the 2017 Budget.

<sup>2</sup>General Provision 748 provides \$250 million in one-time funding for the program.

<sup>3</sup>Assets of the trust can be released any time the Administrator of the U.S. Agency for International Development determines that P.L.480 Title II funding for emergency needs are inadequate to meet these needs in any fiscal year.

Included in this category are the following activities carried out under Public Law 480 (P.L. 480):

*Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec 104; and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended (Title I).*—Funds appropriated for P.L. 480 are used to finance all sales made pursuant to agreements concluded under the authority of Title I. No 2016 funding is requested for new direct credit under Title I; however, funding for administrative expenses associated with managing the existing loan portfolio is requested. No funding is requested for Title I ocean freight differential for 2017.

*Commodities supplied in connection with dispositions abroad (Title II).*—Title II of the Food for Peace Act (P.L. 83-480), as amended, formerly the Agricultural Trade Development and Assistance Act of 1954) authorizes the provision of U.S. food assistance to meet emergency food needs around the world, and funds development-oriented programs to help address the underlying causes of food insecurity. P.L. 480 Title II is appropriated to the U.S. Department of Agriculture and is administered by the U.S. Agency for International Development (USAID).

The Commodity Credit Corporation (the Corporation) is authorized to pay the costs of acquisition, packaging, processing, enrichment, preservation, fortification, transportation, handling, and other incidental costs incurred up to the time of delivery at U.S. ports. The Corporation also pays ocean freight charges, and pays transportation costs to points of entry other than ports in the case of landlocked countries, where carriers to a specific country are not available, where ports cannot be used effectively, or where a substantial savings in costs or time can be effected, and pays general average contributions arising from ocean transport. In addition, transportation costs from designated points of entry or ports of entry abroad to storage and distribution sites and associated storage and distribution costs may be paid for commodities made available to meet urgent and extraordinary relief requirements. P.L. 480 funds reimburse the Corporation for all of the cost items authorized above.

McGOVERN-DOLE INTERNATIONAL FOOD FOR EDUCATION AND CHILD NUTRITION PROGRAM GRANTS

For necessary expenses to carry out the provisions of section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o-1), **[\$201,626,000]** \$182,045,000, to remain available until expended: *Provided*, That the Commodity Credit Corporation is authorized to provide the services, facilities, and authorities for the purpose of implementing such section, subject to reimbursement from amounts

provided herein: *Provided further*, That of the amount made available under this heading, \$5,000,000, shall remain available until expended for necessary expenses to carry out the provisions of section [3207 of the Agricultural Act of 2014] 3206 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 1726c): *Provided further*, That the definition of agricultural commodity in section 3107(a) may include an agricultural commodity, or the product of an agricultural commodity that is produced in, and procured from, a developing country and that meets each nutritional, quality, and labeling standard of the country that receives the agricultural commodity, as determined by the Secretary. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-2903-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 McGovern-Dole International Food for Education & Child Nutrition Program .....	43	202	182
0900 Total new obligations (object class 41.0) .....	43	202	182
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	80	229	229
1050 Unobligated balance (total) .....	80	229	229
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	192	202	182
1900 Budget authority (total) .....	192	202	182
1930 Total budgetary resources available .....	272	431	411
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	229	229	229
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	288	264	53
3010 Obligations incurred, unexpired accounts .....	43	202	182
3020 Outlays (gross) .....	-67	-413	-186
3050 Unpaid obligations, end of year .....	264	53	49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	288	264	53
3200 Obligated balance, end of year .....	264	53	49
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	192	202	182
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	160	144
4011 Outlays from discretionary balances .....	63	253	42
4020 Outlays, gross (total) .....	67	413	186
4180 Budget authority, net (total) .....	192	202	182
4190 Outlays, net (total) .....	67	413	186

The McGovern-Dole International Food for Education and Child Nutrition Program, as amended, is authorized under the Farm Security and Rural Investment Act of 2002 (Public Law 107-171). The program provides for the donation of U.S. agricultural commodities and associated technical and financial assistance to carry out preschool and school feeding programs in foreign countries in order to improve food security, reduce the incidence of hunger and malnutrition, and improve literacy and primary education. Maternal, infant, and child nutrition programs also are authorized. The 2017 Budget requests \$182 million and includes language to add flexibility to the McGovern-Dole Program that would allow grant recipients to purchase agricultural commodities from local and regional sources in the developing country. Local and regional procurement tends to be cheaper and faster than shipping U.S. commodities overseas; local purchases should be used to provide a nutritious complement to U.S. commodities. The flexibility allows the requested funding to reach more families, feed more children and improve nutrition.

**LOCAL AND REGIONAL FOOD AID PROCUREMENT PROGRAM**

*For necessary expenses to carry out the provisions of Section 3206 of the Food, Conservation and Energy Act of 2008, Public Law 110-246 (7 U.S.C. 1726c), \$15,000,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 012-0406-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Local and Regional Food Aid Procurement Program (Direct) .....			15
0900 Total new obligations (object class 41.0) .....			15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			15
1930 Total budgetary resources available .....			15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			15
3050 Unpaid obligations, end of year .....			15
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			15
4180 Budget authority, net (total) .....			15
4190 Outlays, net (total) .....			

The 2017 Budget proposes \$15 million for the Local and Regional Food Aid Procurement Program. Section 3206 of the Food, Conservation and Energy Act of 2008, Public Law 110-246 (7 U.S.C. 1726c) authorizes the Secretary to enter into grants or cooperative agreement with eligible organizations to implement field-based projects that consist of local or regional procurements of eligible commodities to fill nutritional gaps for targeted populations and respond to food availability gaps generated by unexpected emergencies. Field-based projects are required to represent a diversity of projects, including those located in 1) food surplus regions; 2) food deficit regions (that are carried out using regional procurement methods); and 3) multiple geographical regions. The initial phase of the program will focus primarily on development projects.

**Employment Summary**

Identification code 012-0406-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		2	2

**PUBLIC LAW 480 TITLE I OCEAN FREIGHT DIFFERENTIAL GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 012-2271-0-1-351	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1050 Unobligated balance (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account funds the title I ocean freight differential program. No funding is requested for 2017.

**FOOD FOR PEACE TITLE II GRANTS**

For expenses during the current fiscal year, not otherwise recoverable, and unrecovered prior years' costs, including interest thereon, under the Food for Peace Act (Public Law 83–480), for commodities supplied in connection with dispositions abroad under title II of said Act, **[\$1,466,000,000] \$1,350,000,000**, to remain available until expended: *Provided, That notwithstanding any other provision of law, the requirements pursuant to 7 U.S.C. 1736f(e)(1) may be waived by the Administrator for any amount higher than set forth in 7 U.S.C. 1736f(e)(2): Provided further, That in addition to funds otherwise available for such purposes, and notwithstanding any other provision of law, including the requirements of the Food for Peace Act, up to 25 percent of the funds appropriated under this heading may be made available as monetary awards for emergency assistance to address such needs on such terms and conditions as the Administrator may deem appropriate. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 012–2278–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Title II Grants .....	1,696	1,794	1,350
0003 Reimbursement to CCC for Bill Emerson Trust .....	20		
0900 Total new obligations (object class 41.0) .....	1,696	1,814	1,350
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	161	98	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		98	
1021 Recoveries of prior year unpaid obligations .....	165		
1050 Unobligated balance (total) .....	326	98	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,466	1,716	1,350
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1900 Budget authority (total) .....	1,468	1,716	1,350
1930 Total budgetary resources available .....	1,794	1,814	1,350
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,217	1,344	1,887
3010 Obligations incurred, unexpired accounts .....	1,696	1,814	1,350
3020 Outlays (gross) .....	-1,404	-1,271	-1,232
3040 Recoveries of prior year unpaid obligations, unexpired .....	-165		
3050 Unpaid obligations, end of year .....	1,344	1,887	2,005
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,217	1,344	1,887
3200 Obligated balance, end of year .....	1,344	1,887	2,005
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,468	1,716	1,350
Outlays, gross:			
4010 Outlays from new discretionary authority .....	295	515	405
4011 Outlays from discretionary balances .....	1,109	756	827
4020 Outlays, gross (total) .....	1,404	1,271	1,232
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2		
4180 Budget authority, net (total) .....	1,466	1,716	1,350
4190 Outlays, net (total) .....	1,402	1,271	1,232

Title II of the Food for Peace Act (P.L. 83–480) authorizes the provision of U.S. food assistance to meet emergency food needs around the world, and funds development-oriented programs to help address the underlying causes of food insecurity. Funding for Title II, also known as P.L. 480 Title II, is appropriated to the U.S. Department of Agriculture and is administered by the U.S. Agency for International Development (USAID).

The 2017 Title II request of \$1,350 million includes funding for development food assistance programs, complemented by resources requested in the Development Assistance (DA) account under USAID's Community Development Fund. Together, these resources support development food

assistance programs' efforts to address chronic food insecurity in areas of recurrent crises using a multi-sectoral approach to reduce poverty and build resilience.

The majority of the 2017 Title II request will be used to provide emergency food assistance in response to natural disasters and complex emergencies. In an emergency, when people face severe food insecurity, Title II emergency programs save lives, boost the resilience of disaster-affected communities, and support the transition from relief to recovery. This food, including specialized, processed commodities, provides life-saving assistance to millions of vulnerable people facing disasters overseas.

The request would allow the use of up to 25 percent of these resources, valued at \$337.5 million, for market-based food assistance for emergencies including interventions such as the local or regional procurement of agricultural commodities near crises, use of food vouchers, or use of cash transfers. This allows USAID to make emergency food aid more timely and cost-effective, improving program efficiencies and performance. It is estimated that these market-based approaches will allow USAID to assist approximately 2 million more emergency beneficiaries annually within the requested resources.

This flexible assistance will be targeted at addressing growing needs due to conflict in the Middle East and to address other urgent food needs around the world, and a range of factors may be considered when exercising these market-based tools, including whether: 1) U.S. commodities are not an appropriate response because of market conditions or security concerns; 2) a rapid response is critical to adequately respond to emergency food needs and U.S. food cannot be accessed in a timely way; or, 3) a goal of the response is to directly enhance the nutritional value of the standard food basket/ration and transition beneficiaries from food distribution to nutritious local foods.

**FOOD FOR PEACE TITLE I DIRECT CREDIT AND FOOD FOR PROGRESS PROGRAM ACCOUNT**

(INCLUDING TRANSFER OF FUNDS)

For administrative expenses to carry out the credit program of title I, Food for Peace Act (Public Law 83–480) and the Food for Progress Act of 1985, **[\$2,528,000] \$149,000**, shall be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses". *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 012–2277–0–1–351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	9	8	
0706 Interest on reestimates of direct loan subsidy .....	5	4	
0709 Administrative expenses .....	3	3	
0900 Total new obligations .....	17	15	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	
1131 Unobligated balance of appropriations permanently reduced .....	-13		
1160 Appropriation, discretionary (total) .....	-10	3	
Appropriations, mandatory:			
1200 Appropriation .....	14	12	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	5	15	
1930 Total budgetary resources available .....	18	15	
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1
3010	Obligations incurred, unexpired accounts .....	17	15
3020	Outlays (gross) .....	-16	-15
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1
3200	Obligated balance, end of year .....	1	1

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....	-9	3
Outlays, gross:			
4010	Outlays from new discretionary authority .....	2	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-1	
Mandatory:			
4090	Budget authority, gross .....	14	12
Outlays, gross:			
4100	Outlays from new mandatory authority .....	14	12
4180	Budget authority, net (total) .....	4	15
4190	Outlays, net (total) .....	15	15

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 012-2277-0-1-351	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 P. L. 480 title I loans .....	2	-1	
Administrative expense data:			
3510 Budget authority .....	3	3	
3590 Outlays from new authority .....	2	3	

As required by the Federal Credit Reform Act of 1990, this account records, for the P.L. 480 Program, the subsidy costs associated with the direct credit obligated in 1992 and beyond (including modifications of direct credit agreements that resulted from obligation in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; and the administrative expenses and grants are estimated on a cash basis. The current balance of Title I debt owed to USDA is \$3.7 billion. No additional funding is requested for new Title I credit financing in 2017. The 2017 Budget includes \$149 thousand for administrative expenses.

**Object Classification (in millions of dollars)**

Identification code 012-2277-0-1-351	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	3	3	
41.0 Grants, subsidies, and contributions .....	14	12	
99.9 Total new obligations .....	17	15	

**P.L. 480 DIRECT CREDIT FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 012-4049-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....	39	38
0742	Downward reestimate paid to receipt account .....	1	2
0743	Interest on downward reestimates .....	11	12
0900	Total new obligations .....	51	52
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	16	16
1023	Unobligated balances applied to repay debt .....	-16	-16
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	45	35

Spending authority from offsetting collections, mandatory:			
1800	Collected .....	106	98
1825	Spending authority from offsetting collections applied to repay debt .....	-39	-36
1850	Spending auth from offsetting collections, mand (total) .....	67	62
1900	Budget authority (total) .....	67	107
1930	Total budgetary resources available .....	67	107
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	16	55

**Change in obligated balance:**

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	51	52
3020	Outlays (gross) .....	-51	-52

**Financing authority and disbursements, net:**

Mandatory:			
4090	Budget authority, gross .....	67	107
Financing disbursements:			
4110	Outlays, gross (total) .....	51	52
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payments from program account - Upward Reestimate .....	-14	-12
4122	Interest on uninvested funds .....	-2	-2
4123	Interest received on loans .....	-90	-14
4123	Principal received on loans .....		-70
4130	Offsets against gross budget authority and outlays (total) .....	-106	-98
4160	Budget authority, net (mandatory) .....	-39	9
4170	Outlays, net (mandatory) .....	-55	-46
4180	Budget authority, net (total) .....	-39	9
4190	Outlays, net (total) .....	-55	-46

**Status of Direct Loans (in millions of dollars)**

Identification code 012-4049-0-3-351	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	866	794
1251	Repayments: Repayments and prepayments .....	-72	-70
1290	Outstanding, end of year .....	794	724

**Balance Sheet (in millions of dollars)**

Identification code 012-4049-0-3-351	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	15
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	866
1402	Interest receivable .....	44
1405	Allowance for subsidy cost (-) .....	-254
1499	Net present value of assets related to direct loans .....	656
1901	Other Federal assets: Accounts Receivable .....	24
1999	Total assets .....	695
<b>LIABILITIES:</b>		
Federal liabilities:		
2103	Debt .....	673
2105	Other .....	22
2999	Total liabilities .....	695
4999	Total liabilities and net position .....	695

**DEBT REDUCTION—FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 012-4143-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....	4	4
0900	Total new obligations .....	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	79	84

DEBT REDUCTION—FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4143-0-3-351	2015 actual	2016 est.	2017 est.
1023 Unobligated balances applied to repay debt .....	-3	-15	-25
1050 Unobligated balance (total) .....	76	69	49
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13	9	13
1825 Spending authority from offsetting collections applied to repay debt .....	-3		
1850 Spending auth from offsetting collections, mand (total) .....	10	9	13
1900 Budget authority (total) .....	12	9	13
1930 Total budgetary resources available .....	88	78	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	84	74	58

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	12	9	13
Financing disbursements:			
4110 Outlays, gross (total) .....	4	4	4
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-3	-2	-2
4123 Loan Repayments - Principal .....	-10	-4	-8
4123 Loan Repayments- Interest .....		-3	-3
4130 Offsets against gross budget authority and outlays (total) ....	-13	-9	-13
4160 Budget authority, net (mandatory) .....	-1		
4170 Outlays, net (mandatory) .....	-9	-5	-9
4180 Budget authority, net (total) .....	-1		
4190 Outlays, net (total) .....	-9	-5	-9

Status of Direct Loans (in millions of dollars)

Identification code 012-4143-0-3-351	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	133	133	129
1251 Repayments: Repayments and prepayments .....		-4	-8
1290 Outstanding, end of year .....	133	129	121

Balance Sheet (in millions of dollars)

Identification code 012-4143-0-3-351	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	79	79
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	133	133
1402 Interest receivable .....	1	1
1405 Allowance for subsidy cost (-) .....	-134	-134
1499 Net present value of assets related to direct loans .....		
1901 Other Federal assets: Accounts Receivable .....		
1999 Total assets .....	79	79
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	79	79
4999 Total liabilities and net position .....	79	79

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE  
LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-2274-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Vietnam Education Fund .....	1	1	1
0900 Total new obligations (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	31	
1022 Capital transfer of unobligated balances to general fund .....	-32	-31	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash) (Principal and interest) .....	297	261	238
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-265	-260	-237
1850 Spending auth from offsetting collections, mand (total) .....	32	1	1
1930 Total budgetary resources available .....	32	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31		

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	32	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Principal repayments .....	-297	-217	-201
4123 Interest repayments .....		-44	-37
4130 Offsets against gross budget authority and outlays (total) ....	-297	-261	-238
4160 Budget authority, net (mandatory) .....	-265	-260	-237
4170 Outlays, net (mandatory) .....	-296	-260	-237
4180 Budget authority, net (total) .....	-265	-260	-237
4190 Outlays, net (total) .....	-296	-260	-237

Status of Direct Loans (in millions of dollars)

Identification code 012-2274-0-1-151	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,644	2,397	2,180
1251 Repayments: Repayments and prepayments .....	-247	-217	-201
1290 Outstanding, end of year .....	2,397	2,180	1,979

Balance Sheet (in millions of dollars)

Identification code 012-2274-0-1-151	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	32	27
1601 Direct loans, gross .....	2,644	2,397
1602 Interest receivable .....	14	12
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1,496	-1,198
1699 Value of assets related to direct loans .....	1,162	1,211
1999 Total assets .....	1,194	1,238
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	1,170	1,224
2207 Non-Federal liabilities: Other .....	24	14
2999 Total liabilities .....	1,194	1,238
4999 Total liabilities and net position .....	1,194	1,238

**Trust Funds**

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 012-8505-0-7-602	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	13	13
1930 Total budgetary resources available .....	13	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	13	13
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This fund is maintained to pay separation costs for locally-employed staff in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated to the Foreign Agricultural Service Salaries and Expenses account.

**FOOD AND NUTRITION SERVICE**

**Federal Funds**

NUTRITION PROGRAMS ADMINISTRATION

For necessary administrative expenses of the Food and Nutrition Service for carrying out any domestic nutrition assistance program, **[\$150,824,000] \$179,447,000: Provided**, That of the funds provided herein, \$2,000,000 shall be used for the purposes of section 4404 of Public Law 107-171, as amended by section 4401 of Public Law 110-246. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-3508-0-1-605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Nutrition programs administration .....	147	149	177
0003 Congressional hunger center fellowship .....	2	2	2
0005 Dietary Guidelines Study .....		1	
0900 Total new obligations .....	149	152	179
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	151	152	179
1900 Budget authority (total) .....	151	152	179
1930 Total budgetary resources available .....	151	152	179
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	32	24
3010 Obligations incurred, unexpired accounts .....	149	152	179
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-158	-160	-175
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	32	24	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	41	32	24
3200 Obligated balance, end of year .....	32	24	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	151	152	179
Outlays, gross:			
4010 Outlays from new discretionary authority .....	126	128	151
4011 Outlays from discretionary balances .....	32	32	24
4020 Outlays, gross (total) .....	158	160	175
4180 Budget authority, net (total) .....	151	152	179
4190 Outlays, net (total) .....	158	160	175

This account funds the majority of the Federal operating expenses of the Food and Nutrition Service and the Center for Nutrition Policy and Promo-

tion (CNPP). Funding is also provided for the Congressional Hunger Fel-  
lows Program.

**Object Classification** (in millions of dollars)

Identification code 012-3508-0-1-605	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	75	94	96
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	1	1
11.9 Total personnel compensation .....	81	96	98
12.1 Civilian personnel benefits .....	26	30	31
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	8	13	31
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.2 Other services from non-Federal sources .....	23	4	10
25.3 Other goods and services from Federal sources .....	5	3	3
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....		1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	149	152	179

**Employment Summary**

Identification code 012-3508-0-1-605	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	861	1,000	1,000

**SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM**

For necessary expenses to carry out the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), **[\$80,849,383,000] \$81,689,168,000**, of which **[\$3,000,000,000] \$5,000,000,000**, to remain available through **[December 31, 2017] September 30, 2018**, shall be placed in reserve for use only in such amounts and at such times as may become necessary to carry out program operations: *Provided*, That funds **[available for the contingency reserve under the heading "Supplemental Nutrition Assistance Program" of division A of Public Law 113-235 shall be available until December 31, 2016: *Provided further*, That funds ]** provided herein shall be expended in accordance with section 16 of the Food and Nutrition Act of 2008: *Provided further*, That of the funds made available under this heading, \$998,000 may be used to provide nutrition education services to State agencies and Federally Recognized Tribes participating in the Food Distribution Program on Indian Reservations: *Provided further*, *That, of the funds made available under this heading, \$5,000,000 may be used to fund a national food consumption survey: Provided further, That, of the funds made available under this heading, \$2,000,000 shall be used for a traditional and local foods demonstration project as provided in section 4004(b)(6) of the Agricultural Act of 2014 (Public Law 113-79): Provided further*, That this appropriation shall be subject to any work registration or workfare requirements as may be required by law: *Provided further*, That funds made available for Employment and Training under this heading shall remain available through September 30, **[2017] 2018: Provided further**, That funds made available under this heading for section 28(d)(1) and section 27(a) of the Food and Nutrition Act of 2008 shall remain available through September 30, **[2017] 2018: Provided further**, That funds made available under this heading may be used to enter into contracts and employ staff to conduct studies, evaluations, or to conduct activities related to program integrity provided that such activities are authorized by the Food and Nutrition Act of 2008.

*For necessary expenses to carry out the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.) for the first quarter of fiscal year 2018, \$19,647,500,000, to remain available through September 30, 2018.*

*For making, after June 30 of the current fiscal year, benefit payments to individuals, and payments to states or other non-Federal entities, pursuant to the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), for unanticipated costs incurred for the last three months of the current fiscal year, such sums as may be necessary. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 012-3505-0-1-605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Benefits issued .....	69,524	70,124	68,796
0002 State administration .....	3,929	4,222	4,349

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM—Continued  
Program and Financing—Continued

Identification code 012-3505-0-1-605	2015 actual	2016 est.	2017 est.	
0003	Employment and training program	430	455	466
0004	Other program costs	162	172	200
0005	Nutrition Assistance for Puerto Rico	1,951	1,959	1,965
0006	Food Distribution Program on Indian Reservations (Commodities in lieu of food stamps)	102	103	103
0007	Food Distribution Program on Indian Reservations (Cooperator administrative expense)	41	41	48
0008	The Emergency Food Assistance Program (commodities)	321	318	299
0009	American Samoa	8	8	8
0010	Community Food Projects	9	9	9
0011	Commonwealth of the Northern Mariana Islands	13	12	12
0012	Nutrition Education Grant Program	394	408	414
0013	Program access	5	5	5
0016	Other Pilots and Demonstrations	1		
0021	Employment and Training Work Pilots	200		
0091	Direct program activities, subtotal	77,090	77,836	76,674
0501	Direct Funds for Program Integrity		4	9
0799	Total direct obligations	77,090	77,840	76,683
0801	Supplemental Nutrition Assistance Program (Reimbursable)	73	80	80
0900	Total new obligations	77,163	77,920	76,763

**Budgetary resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	3,060	3,058	3,057
1021	Recoveries of prior year unpaid obligations	18		
1050	Unobligated balance (total)	3,078	3,058	3,057

Budget authority:

1100	Appropriations, discretionary:			
	Appropriation	1	1	14
1200	Appropriations, mandatory:			
	Appropriation	81,837	80,847	81,676
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-8	-9	
1260	Appropriations, mandatory (total)	81,829	80,838	81,676
	Spending authority from offsetting collections, mandatory:			
1800	Collected	73	80	80
1900	Budget authority (total)	81,903	80,919	81,770
1930	Total budgetary resources available	84,981	83,977	84,827

Memorandum (non-add) entries:

1940	Unobligated balance expiring	-4,760	-3,000	-5,000
1941	Unexpired unobligated balance, end of year	3,058	3,057	3,064

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	3,284	3,704	3,219
3010	Obligations incurred, unexpired accounts	77,163	77,920	76,763
3011	Obligations incurred, expired accounts	18		
3020	Outlays (gross)	-76,217	-77,963	-76,788
3040	Recoveries of prior year unpaid obligations, unexpired	-18		
3041	Recoveries of prior year unpaid obligations, expired	-526	-442	
3050	Unpaid obligations, end of year	3,704	3,219	3,194

Memorandum (non-add) entries:

3100	Obligated balance, start of year	3,284	3,704	3,219
3200	Obligated balance, end of year	3,704	3,219	3,194

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross	1	1	14
	Outlays, gross:			
4010	Outlays from new discretionary authority			9
4011	Outlays from discretionary balances	71	1	1
4020	Outlays, gross (total)	71	1	10

Mandatory:

4090	Budget authority, gross	81,902	80,918	81,756
	Outlays, gross:			
4100	Outlays from new mandatory authority	73,852	74,866	73,669
4101	Outlays from mandatory balances	2,294	3,096	3,109
4110	Outlays, gross (total)	76,146	77,962	76,778
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	State Option Plans	-76	-80	-80
	Additional offsets against gross budget authority only:			
4142	Offsetting collections credited to expired accounts	3		
4160	Budget authority, net (mandatory)	81,829	80,838	81,676
4170	Outlays, net (mandatory)	76,070	77,882	76,698

4180	Budget authority, net (total)	81,830	80,839	81,690
4190	Outlays, net (total)	76,141	77,883	76,708

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority	81,830	80,839	81,690
Outlays	76,141	77,883	76,708
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority			19
Outlays			19
<b>Total:</b>			
Budget Authority	81,830	80,839	81,709
Outlays	76,141	77,883	76,727

The Supplemental Nutrition Assistance Program (SNAP) is the primary source of nutrition assistance for low-income Americans. This account also includes funds for a grant to Puerto Rico to administer a low-income nutrition assistance program, in lieu of SNAP; funds to carry out the Emergency Food Assistance Act of 1983; and funds for food distribution and administrative expenses for Native Americans under section 4(b) of the Food and Nutrition Act.

The SNAP contingency fund holds benefits in reserve to cover unforeseen events, such as natural disasters and fluctuations in food prices.

In addition, the Budget proposes an advance appropriation and enhanced flexibility in the fourth quarter to conform the treatment of SNAP with other direct spending programs subject to appropriations that serve low-income individuals, such as Medicaid, SSI, Child Support, and Foster Care.

Object Classification (in millions of dollars)

Identification code 012-3505-0-1-605	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent	28	35	36
12.1	Civilian personnel benefits	7	11	11
21.0	Travel and transportation of persons	2	2	2
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	82	82	82
26.0	Supplies and materials	423	422	402
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	76,546	77,286	76,148
99.0	Direct obligations	77,090	77,840	76,683
99.0	Reimbursable obligations	73	80	80
99.9	Total new obligations	77,163	77,920	76,763

Employment Summary

Identification code 012-3505-0-1-605	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	285	373	373

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-3505-4-1-605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0008	The Emergency Food Assistance Program (commodities)		30
0023	Improve Access to SNAP for Low Income Elderly		10
0091	Direct program activities, subtotal		40
0799	Total direct obligations		40
0900	Total new obligations (object class 41.0)		40
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation		19
1900	Budget authority (total)		19
1930	Total budgetary resources available		19

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		-21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		40
3020	Outlays (gross)		-19
3050	Unpaid obligations, end of year		21
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross		19
Outlays, gross:			
4100	Outlays from new mandatory authority		19
4180	Budget authority, net (total)		19
4190	Outlays, net (total)		19

**CHILD NUTRITION PROGRAMS**  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), except section 21, and the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), except sections 17 and 21; **[\$22,149,746,000]** \$23,230,733,000, to remain available through September 30, **[2017]** 2018, of which such sums as are made available under section 14222(b)(1) of the Food, Conservation, and Energy Act of 2008 (Public Law 110–246), as amended by this Act, shall be merged with and available for the same time period and purposes as provided herein: *Provided*, That of the total amount available, \$17,004,000 shall be available to carry out section 19 of the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.): *Provided further*, That of the total amount available, **[\$25,000,000]** \$35,000,000 shall be available to provide competitive grants to State agencies for subgrants to local educational agencies and schools to purchase the equipment needed to serve healthier meals, improve food safety, and to help support the establishment, maintenance, or expansion of the school breakfast program: *Provided further*, That of the total amount available, **[\$16,000,000]** \$26,000,000 shall remain available until expended to carry out section 749(g) of the Agriculture Appropriations Act of 2010 (Public Law 111–80): *Provided further*, That section 26(d) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769g(d)) is amended in the first sentence by striking "2010 through **[2015]** 2016" and inserting "2010 through **[2016]** 2017": *Provided further*, That, of the total amount available under this heading, \$10,000,000 shall be available for a Summer Food Service Program non-congregate feeding demonstration project: *Provided further*, That, of the total amount available under this heading, \$10,000,000 shall remain available until expended to provide direct certification grants to States as described in section 749(h) of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010 (Public Law 111–80): *Provided further*, That of the amount available under this heading, \$1,000,000 shall be available for child nutrition state exchange activities, and shall be in addition to amounts provided by section 7(a)(1) of the Child Nutrition Act of 1966 (42 U.S.C. 1776). (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012–3539–0–1–605	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Above 185 of poverty	487	486	491
0002	130–185 of poverty	1,027	1,010	994
0003	Below 130 of poverty	10,488	11,032	11,520
0091	Subtotal, National School Lunch Program	12,002	12,528	13,005
0101	Above 185 of poverty	101	106	110
0102	130–185 of poverty	243	246	256
0103	Below 130 of poverty	3,713	3,987	4,120
0191	Subtotal, School Breakfast Program	4,057	4,339	4,486
0201	Above 185 of poverty	196	188	193
0202	130–185 of poverty	152	150	154
0203	Below 130 of poverty	3,002	3,002	3,099
0291	Subtotal, Child and Adult Care Feeding Program	3,350	3,340	3,446
0301	Summer Food Service Program	517	556	628
0302	Special Milk Program	11	9	9
0303	State Administrative Expenses	224	271	279
0304	Commodity Procurement	1,019	1,351	1,428

0310	Coordinated Review Effort	8	10	10
0315	Food Safety Education	2	3	3
0320	CN Studies and Evaluations	6	20	23
0325	Computer Support and Processing	10	11	12
0340	Other Mandatory Program Costs	12	27	51
0391	Subtotal, Other mandatory activities	1,809	2,258	2,443
0401	Team Nutrition and HealthierUS Schools Challenge	15	17	17
0405	Summer EBT Demonstration	16	16	26
0406	Summer Food Demonstrations		7	
0415	School Meals Equipment Grants	25	30	35
0416	SFSP Non-Congregate Demonstration			10
0417	Direct Certification Grant Expansion			10
0418	State Exchange Demonstration			1
0491	Subtotal, discretionary activities	56	70	99
0501	Fresh Fruit and Vegetable Program	139	162	294
0502	Tech. Assist. Program Integrity/Administrative Reviews	6	8	8
0504	National Food Service Management Inst./Information Clearinghouse	5	5	5
0520	Other Permanent Programs	5	6	6
0591	Subtotal, Permanent Programs	155	181	313
0799	Total direct obligations	21,429	22,716	23,792
0900	Total new obligations	21,429	22,716	23,792

**Budgetary resources:**  
Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	652	749	377
1001	Discretionary unobligated balance brought fwd, Oct 1	14	10	
1021	Recoveries of prior year unpaid obligations	146		
1050	Unobligated balance (total)	798	749	377
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	58	70	-26
Appropriations, mandatory:				
1200	Appropriation	12,906	13,128	13,670
1200	Appropriation- Permanent Appropriation		19	19
1221	Appropriations transferred from other acct [012–5209]	8,515	9,131	9,756
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-4	-4	
1260	Appropriations, mandatory (total)	21,417	22,274	23,445
1900	Budget authority (total)	21,475	22,344	23,419
1930	Total budgetary resources available	22,273	23,093	23,796
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-95		
1941	Unexpired unobligated balance, end of year	749	377	4

**Change in obligated balance:**  
Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	3,459	3,735	4,301
3010	Obligations incurred, unexpired accounts	21,429	22,716	23,792
3011	Obligations incurred, expired accounts	58		
3020	Outlays (gross)	-21,025	-22,150	-23,141
3040	Recoveries of prior year unpaid obligations, unexpired	-146		
3041	Recoveries of prior year unpaid obligations, expired	-40		
3050	Unpaid obligations, end of year	3,735	4,301	4,952
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3,459	3,735	4,301
3200	Obligated balance, end of year	3,735	4,301	4,952

**Budget authority and outlays, net:**  
Discretionary:

4000	Budget authority, gross	58	70	-26
Outlays, gross:				
4010	Outlays from new discretionary authority	49	8	-99
4011	Outlays from discretionary balances	26	51	62
4020	Outlays, gross (total)	75	59	-37
Mandatory:				
4090	Budget authority, gross	21,417	22,274	23,445
Outlays, gross:				
4100	Outlays from new mandatory authority	17,404	17,971	18,875
4101	Outlays from mandatory balances	3,546	4,120	4,303
4110	Outlays, gross (total)	20,950	22,091	23,178
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Policy Program	-2		
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts	2		
4160	Budget authority, net (mandatory)	21,417	22,274	23,445
4170	Outlays, net (mandatory)	20,948	22,091	23,178
4180	Budget authority, net (total)	21,475	22,344	23,419

CHILD NUTRITION PROGRAMS—Continued

Program and Financing—Continued

Identification code 012–3539–0–1–605	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)	21,023	22,150	23,141

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority	21,475	22,344	23,419
Outlays	21,023	22,150	23,141
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority			146
Outlays			127
<b>Total:</b>			
Budget Authority	21,475	22,344	23,565
Outlays	21,023	22,150	23,268

The Child Nutrition Programs provide reimbursement to State agencies for cash and commodity meal subsidies through the National School Lunch Program (NSLP), School Breakfast Program (SBP), Special Milk Program, Summer Food Service Program (SFSP), and Child and Adult Care Food Program (CACFP). These programs provide nutritionally balanced, low-cost or free breakfasts and lunches to children every school day; provide nutrition assistance to children when school is not in session during summer months; and improve the quality of day care, making it more affordable for low-income families by providing reimbursement for nutritious meals and snacks. In addition, the Fresh Fruit and Vegetable program (FFVP), targeted to low-income elementary schools, provides fresh fruits and vegetables at no charge to children during the school day. The 2017 Budget will support almost 5.5 billion lunches and snacks served to 32 million children in the NSLP, almost 2.6 billion breakfasts served to 15 million children in the SBP, and over 2.0 billion meals and snacks served in day care facilities.

To improve low-income children's access to access to nutritious meals during the summer months when school is not in session and the risk of food insecurity increases, the President's 2017 Budget proposes legislation to invest \$12.2 billion over 10 years to implement a permanent, nationwide Summer Electronic Benefits Transfer (EBT) for Children Program. This proposal is based on results from the Summer EBT pilots, implemented as part of demonstration authority provided by the 2010 Agriculture Appropriations Act (P.L. 111–80), which have proven successful in reducing childhood hunger and improving nutrition for children experiencing very low food security in the months when school meals are unavailable.

Object Classification (in millions of dollars)

Identification code 012–3539–0–1–605	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	26	29	30
12.1 Civilian personnel benefits	8	9	10
21.0 Travel and transportation of persons	1	1	2
24.0 Printing and reproduction	2	3	3
25.2 Other services from non-Federal sources	62	63	63
26.0 Supplies and materials (Commodities)	1,020	1,351	1,428
31.0 Equipment	2	1	1
41.0 Grants, subsidies, and contributions	20,308	21,259	22,255
99.0 Direct obligations	21,429	22,716	23,792
99.9 Total new obligations	21,429	22,716	23,792

Employment Summary

Identification code 012–3539–0–1–605	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	272	288	292

CHILD NUTRITION PROGRAMS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012–3539–4–1–605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0345 Summer EBT Benefits			126
0346 Summer EBT, Administration Start Up Costs			1
0391 Subtotal, Other mandatory activities			127
0799 Total direct obligations			127
0900 Total new obligations (object class 41.0)			127

Budgetary resources:

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200	Appropriation		146
1900	Budget authority (total)		146
1930	Total budgetary resources available		146
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year		19

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts		127
3020	Outlays (gross)		–127

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090	Budget authority, gross		146
<b>Outlays, gross:</b>			
4100	Outlays from new mandatory authority		127
4180	Budget authority, net (total)		146
4190	Outlays, net (total)		127

SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)

For necessary expenses to carry out the special supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), \$6,350,000,000, to remain available through September 30, [2017] 2018: *Provided*, That notwithstanding section 17(h)(10) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(h)(10)), not less than [\$60,000,000] \$80,000,000 shall be used for breastfeeding peer counselors and other related activities, and [\$13,600,000] \$14,000,000 shall be used for infrastructure: *Provided further*, That none of the funds provided in this account shall be available for the purchase of infant formula except in accordance with the cost containment and competitive bidding requirements specified in section 17 of such Act: *Provided further*, That none of the funds provided shall be available for activities that are not fully reimbursed by other Federal Government departments or agencies unless authorized by section 17 of such Act: *Provided further*, That upon termination of a federally mandated vendor moratorium and subject to terms and conditions established by the Secretary, the Secretary may waive the requirement at 7 CFR 246.12(g)(6) at the request of a State agency. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012–3510–0–1–605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to States	6,676	7,111	6,801
0004 WIC EBT/MIS	10	50	75
0010 Infrastructure Grants and Technical Assistance	11	14	14
0020 Breastfeeding Peer Counselors and Bonuses	60	60	80
0030 Program Initiatives and Evaluations	16	16	26
0091 Direct program activities (discretionary), subtotal	6,773	7,251	6,996

0101	UPC Database (mandatory)	1	1	1
0900	Total new obligations	6,774	7,252	6,997
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	287	795	496
1001	Discretionary unobligated balance brought fwd, Oct 1	28	28	
1021	Recoveries of prior year unpaid obligations	665	602	602
1050	Unobligated balance (total)	952	1,397	1,098
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	6,623	6,570	6,350
1131	Unobligated balance of appropriations permanently reduced		-220	
1160	Appropriation, discretionary (total)	6,623	6,350	6,350
Appropriations, mandatory:				
1200	Appropriation - Permanent Appropriation	1	1	1
Spending authority from offsetting collections, discretionary:				
1700	Collected	1		
1900	Budget authority (total)	6,625	6,351	6,351
1930	Total budgetary resources available	7,577	7,748	7,449
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-8		
1941	Unexpired unobligated balance, end of year	795	496	452

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,665	1,422	1,683
3010	Obligations incurred, unexpired accounts	6,774	7,252	6,997
3011	Obligations incurred, expired accounts	1		228
3020	Outlays (gross)	-6,350	-6,389	-6,340
3040	Recoveries of prior year unpaid obligations, unexpired	-665	-602	-602
3041	Recoveries of prior year unpaid obligations, expired	-3		
3050	Unpaid obligations, end of year	1,422	1,683	1,966
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,665	1,422	1,683
3200	Obligated balance, end of year	1,422	1,683	1,966

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	6,624	6,350	6,350
Outlays, gross:				
4010	Outlays from new discretionary authority	4,677	4,837	4,824
4011	Outlays from discretionary balances	1,673	1,551	1,515
4020	Outlays, gross (total)	6,350	6,388	6,339
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Mandatory:				
4090	Budget authority, gross	1	1	1
Outlays, gross:				
4101	Outlays from mandatory balances		1	1
4180	Budget authority, net (total)	6,624	6,351	6,351
4190	Outlays, net (total)	6,349	6,389	6,340

The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) provides low-income at-risk pregnant and postpartum women, infants, and children nutritious supplemental food packages, nutrition education and counseling, and health and immunization referrals. The 2017 Budget supports nutrition benefits for the 8.1 million individuals expected to participate in the program each month.

**Object Classification** (in millions of dollars)

Identification code 012-3510-0-1-605		2015 actual	2016 est.	2017 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	5
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	5	15	23
26.0	Supplies and materials	1	1	1
41.0	Grants, subsidies, and contributions	6,763	7,231	6,967
99.9	Total new obligations	6,774	7,252	6,997

**Employment Summary**

Identification code 012-3510-0-1-605		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment	37	40	40

**COMMODITY ASSISTANCE PROGRAM**

For necessary expenses to carry out disaster assistance and the Commodity Supplemental Food Program as authorized by section 4(a) of the Agriculture and Consumer Protection Act of 1973 (7 U.S.C. 612c note); the Emergency Food Assistance Act of 1983; special assistance for the nuclear affected islands, as authorized by section 103(f)(2) of the Compact of Free Association Amendments Act of 2003 (Public Law 108-188); and the Farmers' Market Nutrition Program, as authorized by section 17(m) of the Child Nutrition Act of 1966, **[\$296,217,000] \$313,139,000**, to remain available through September 30, **[2017] 2018: Provided**, That none of these funds shall be available to reimburse the Commodity Credit Corporation for commodities donated to the program: *Provided further*, That notwithstanding any other provision of law, effective with funds made available in fiscal year **[2016] 2017** to support the Seniors Farmers' Market Nutrition Program, as authorized by section 4402 of the Farm Security and Rural Investment Act of 2002, such funds shall remain available through September 30, **[2017] 2018: Provided further**, That of the funds made available under section 27(a) of the Food and Nutrition Act of 2008 (7 U.S.C. 2036(a)), the Secretary may use up to 10 percent for costs associated with the distribution of commodities. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-3507-0-1-605		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Commodity procurement	154	182	226
0002	Administrative costs	44	46	47
0091	Subtotal, commodity supplemental food program	198	228	273
0105	TEFAP Administrative	49	54	59
0110	Senior farmers' market	19	21	21
0115	Farmers' market nutrition program	17	19	17
0120	Pacific island and disaster assistance	1	1	1
0130	NSIP (Transfer Funds)	2	2	
0191	Direct program activities, subtotal	88	97	98
0900	Total new obligations	286	325	371

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	26	44	37
1001	Discretionary unobligated balance brought fwd, Oct 1	24	43	
1021	Recoveries of prior year unpaid obligations	4		38
1050	Unobligated balance (total)	30	44	75
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	279	296	313
1121	Appropriations transferred from other acct [075-0142] ...	3	2	
1160	Appropriation, discretionary (total)	282	298	313
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [012-4336] ...	21	21	21
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-1	
1260	Appropriations, mandatory (total)	19	20	21
1900	Budget authority (total)	301	318	334
1930	Total budgetary resources available	331	362	409
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	44	37	38

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	82	77	88
3010	Obligations incurred, unexpired accounts	286	325	371
3020	Outlays (gross)	-287	-314	-330
3040	Recoveries of prior year unpaid obligations, unexpired	-4		-38
3050	Unpaid obligations, end of year	77	88	91
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	82	77	88

COMMODITY ASSISTANCE PROGRAM—Continued  
Program and Financing—Continued

Identification code 012-3507-0-1-605	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	77	88	91
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	282	298	313
Outlays, gross:			
4010 Outlays from new discretionary authority .....	170	214	225
4011 Outlays from discretionary balances .....	97	79	84
4020 Outlays, gross (total) .....	267	293	309
Mandatory:			
4090 Budget authority, gross .....	19	20	21
Outlays, gross:			
4100 Outlays from new mandatory authority .....	13	14	14
4101 Outlays from mandatory balances .....	7	7	7
4110 Outlays, gross (total) .....	20	21	21
4180 Budget authority, net (total) .....	301	318	334
4190 Outlays, net (total) .....	287	314	330

This account funds the Commodity Supplemental Food Program (CSFP), The Emergency Food Assistance Program (TEFAP), farmers' market nutrition programs, assistance for the nuclear- affected islands, and disaster relief.

CSFP provides food packages for low-income elderly persons and for some low-income women, infants and children. It also funds State administrative expenses. TEFAP provides cash to support State administrative activities and to maintain the storage and distribution pipeline for USDA and privately-donated commodities (TEFAP commodities are separately funded through the Supplemental Nutrition Assistance Program (SNAP) account). The account also funds two programs which provide low-income participants with vouchers to purchase produce at farmers' markets. The Senior Farmers' Market Nutrition Program is funded by a transfer from the Commodity Credit Corporation. The WIC Farmers' Market Program is funded by discretionary appropriation.

Object Classification (in millions of dollars)

Identification code 012-3507-0-1-605	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	2	2	2
26.0 Supplies and materials (commodities) .....	155	185	227
41.0 Grants, subsidies, and contributions .....	129	138	142
99.9 Total new obligations .....	286	325	371

Employment Summary

Identification code 012-3507-0-1-605	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	3	3

FOREST SERVICE

Federal Funds

CAPITAL IMPROVEMENT AND MAINTENANCE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Forest Service, not otherwise provided for, [\$364,164,000] \$343,280,000, to remain available until expended, for construction, capital improvement, maintenance and acquisition of buildings and other facilities and infrastructure; and for construction, reconstruction, decommissioning of roads that are no longer needed, including unauthorized roads that are not part of the transportation system, and maintenance of forest roads and trails by the Forest Service as authorized by 16 U.S.C. 532-538 and 23 U.S.C. 101 and 205: *Provided*, That \$40,000,000 shall be designated for urgently needed road decommissioning, road and trail repair and maintenance and associated activities, and removal of fish passage barriers, especially in areas where Forest Service roads may be contributing to water quality problems in streams and water bodies which support threatened, endangered,

or sensitive species or community water sources: *Provided further*, That funds becoming available in fiscal year [2016] 2017 under the Act of March 4, 1913 (16 U.S.C. 501) shall be transferred to the General Fund of the Treasury and shall not be available for transfer or obligation for any other purpose unless the funds are appropriated: *Provided further*, That of the funds provided for decommissioning of roads, up to \$14,743,000 may be transferred to the "National Forest System" to support the Integrated Resource Restoration pilot program. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-1103-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Capital improvement and maintenance .....	339	339	339
0801 Capital Improvement and Maintenance (Reimbursable) .....	28	28	28
0900 Total new obligations .....	367	367	367

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	82	112
1010 Unobligated balance transfer to other accts [012-1115] .....	-4		
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	66	82	112
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	360	364	343
1120 Appropriations transferred to other accts [012-1106] .....	-15	-15	
1120 Appropriations transferred to other acct [012-1115] .....	-14		
1121 Appropriations transferred from other acct [012-1115] .....		18	
1160 Appropriation, discretionary (total) .....	331	367	343
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	32	30	30
1701 Change in uncollected payments, Federal sources .....	20		
1750 Spending auth from offsetting collections, disc (total) .....	52	30	30
1900 Budget authority (total) .....	383	397	373
1930 Total budgetary resources available .....	449	479	485
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	82	112	118

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	145	127	112
3010 Obligations incurred, unexpired accounts .....	367	367	367
3020 Outlays (gross) .....	-377	-382	-378
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	127	112	101
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-77	-97	-97
3070 Change in uncollected pymts, Fed sources, unexpired .....	-20		
3090 Uncollected pymts, Fed sources, end of year .....	-97	-97	-97
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	68	30	15
3200 Obligated balance, end of year .....	30	15	4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	383	397	373
Outlays, gross:			
4010 Outlays from new discretionary authority .....	255	259	243
4011 Outlays from discretionary balances .....	122	123	135
4020 Outlays, gross (total) .....	377	382	378
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-5	-5
4033 Non-Federal sources .....	-20	-25	-25
4040 Offsets against gross budget authority and outlays (total) .....	-32	-30	-30
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-20		
4070 Budget authority, net (discretionary) .....	331	367	343
4080 Outlays, net (discretionary) .....	345	352	348
4180 Budget authority, net (total) .....	331	367	343
4190 Outlays, net (total) .....	345	352	348

The 2017 Budget requests \$343,280,000 for Capital Improvement and Maintenance. Funding provides for capital improvement and maintenance of Forest Service assets including facilities, roads, and trails. The program

emphasizes an efficient and effective reinvestment and maintenance of National Forest System infrastructure that supports public and administrative uses and quality recreation experiences with minimal impact to ecosystem stability and conditions.

**Facilities.**—Provides for capital improvement and maintenance of recreation developed sites, fire, administrative, and other facilities, including visitor centers, research facilities, telecommunication sites and towers, and dams, and acquisition of buildings, and other facilities necessary to carry out the mission of the Forest Service.

**Roads.**—Provides for capital improvement and maintenance of the National Forest road system, including bridges and terminal facilities such as parking lots, trailhead parking, camping spurs, and truck turnarounds. The agency continues to address the growing road system maintenance backlog. Funding priorities are ecosystem health and driver safety and resource protection, including clean water, aquatic passage, and mission-critical needs.

**Trails.**—Provides for capital improvement and maintenance of NFS trails. Funding is used to keep trails open for access and to protect vegetation, soil, and water quality.

**Deferred Maintenance and Infrastructure Improvement.**—Provides for capital improvement and maintenance directed toward reducing the backlog in deferred maintenance on NFS roads and trails, as well as recreation developed sites and fire, administrative, and other facilities. Funding priorities are to ensure the safety of the public, agency employees, volunteers, and contractors.

**Legacy Roads and Trails.**—Provides for urgently needed road decommissioning, where inaction can lead to water quality issues in streams and water bodies which support threatened and endangered species or community water systems; removal or replacement of stream crossing structures that are barriers to aquatic organism passage; road and trail repair and maintenance and associated activities in environmentally sensitive areas; and repair and maintenance on roads and trails subject to recent storm damage.

**Object Classification** (in millions of dollars)

Identification code 012-1103-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	111	111	111
11.3 Other than full-time permanent .....	10	10	10
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	126	126	126
12.1 Civilian personnel benefits .....	46	46	46
13.0 Benefits for former personnel .....	3	3	3
21.0 Travel and transportation of persons .....	7	7	7
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
25.2 Other services from non-Federal sources .....	71	71	71
25.3 Other goods and services from Federal sources .....	40	40	40
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	3	3	3
26.0 Supplies and materials .....	8	8	8
31.0 Equipment .....	4	4	4
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	12	12	12
99.0 Direct obligations .....	339	339	339
99.0 Reimbursable obligations .....	26	26	26
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	367	367	367

**Employment Summary**

Identification code 012-1103-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,967	1,967	1,967
2001 Reimbursable civilian full-time equivalent employment .....	234	234	234

3001 Allocation account civilian full-time equivalent employment .....	36	36	36
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**FOREST AND RANGELAND RESEARCH**

For necessary expenses of forest and rangeland research as authorized by law, **[\$291,000,000]** \$291,982,000, to remain available until expended: *Provided*, That of the funds provided, **[\$75,000,000]** \$77,000,000 is for the forest inventory and analysis program: *Provided further*, That, notwithstanding any other provision of law, \$3,000,000 of funds appropriated under this appropriation shall be available for the Forest Service in support of fire science research authorized by the Joint Fire Science Program, including all Forest Service authorities for the use of funds, such as contracts, grants, research joint venture agreements, and cooperative agreements: *Provided further*, That all authorities for the use of funds, including the use of contracts, grants, and cooperative agreements, available to execute the Forest and Rangeland Research appropriation, are also available in the utilization of these funds for Fire Science Research. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 012-1104-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Forest and rangeland research .....	314	314	314
0801 Forest and Rangeland Research (Reimbursable) .....	21	21	21
0900 Total new obligations .....	335	335	335
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	39	50	69
1010 Unobligated balance transfer to other accts [012-1115] .....	-10		
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	35	50	69
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	296	291	292
1120 Appropriations transferred to other acct [012-1115] .....	-7		
1121 Appropriations transferred from other acct [012-1115] .....	25	43	20
1160 Appropriation, discretionary (total) .....	314	334	312
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	22	20	20
1701 Change in uncollected payments, Federal sources .....	14		
1750 Spending auth from offsetting collections, disc (total) .....	36	20	20
1900 Budget authority (total) .....	350	354	332
1930 Total budgetary resources available .....	385	404	401
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	50	69	66
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	136	129	117
3010 Obligations incurred, unexpired accounts .....	335	335	335
3020 Outlays (gross) .....	-336	-347	-385
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	129	117	67
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-43	-57	-57
3070 Change in uncollected pymts, Fed sources, unexpired .....	-14		
3090 Uncollected pymts, Fed sources, end of year .....	-57	-57	-57
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	93	72	60
3200 Obligated balance, end of year .....	72	60	10
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	350	354	332
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	245	283	266
4011 Outlays from discretionary balances .....	91	64	119
4020 Outlays, gross (total) .....	336	347	385
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-17	-16	-16
4033 Non-Federal sources .....	-5	-4	-4
4040 Offsets against gross budget authority and outlays (total) .....	-22	-20	-20

FOREST AND RANGELAND RESEARCH—Continued  
Program and Financing—Continued

Identification code 012-1104-0-1-302	2015 actual	2016 est.	2017 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-14		
4070 Budget authority, net (discretionary) .....	314	334	312
4080 Outlays, net (discretionary) .....	314	327	365
4180 Budget authority, net (total) .....	314	334	312
4190 Outlays, net (total) .....	314	327	365

The 2017 Budget requests \$291,982,000 for Forest and Rangeland Research (Forest Service R&D). Funding requested maintains an essential level of basic research associated with the Priority Research Areas and Strategic Program Areas, while also providing a targeted increase of \$2 million for the Forest Inventory and Analysis program to continue to implement the annualized inventory program in all 50 States (including interior Alaska), the affiliated Pacific Islands, Puerto Rico, and the U.S. Virgin Islands.

Forest Service R&D is federally mandated to provide new knowledge and technologies to support sustainable management of the Nation's forests and rangelands, sustain jobs and provide environmental, social and economic benefits including healthy watersheds, forest products, wildlife protection, outdoor recreation, and other benefits, across all U.S. territories and States. Forest Service R&D accomplishes this mandate through ecological and social science research to understand ecosystems, how humans influence those ecosystems, how those ecosystems respond to the impacts of climate change, and how forests can be managed sustainably to support both environmental conservation and economic opportunities. These research products and services increase the basic biological and physical knowledge base of the composition, structure, and function of forest and grassland ecosystems. Research is conducted at five Research Stations, the Forest Products Laboratory, and the International Institute of Tropical Forestry located in Puerto Rico. The Forest Service R&D structure has two components: Priority Research Areas and Strategic Program Areas. The Priority Research Areas address national needs in seven areas: Forest Inventory and Analysis, Forest Disturbances, Watershed Management and Restoration, Bioenergy and Biobased Products, Urban Natural Resources Stewardship, Nanotechnology, and Localized Needs Research. Strategic Program Areas include Wildland Fire and Fuels; Invasive Species; Recreation; Resource Management and Use; Water, Air, and Soil; Wildlife and Fish; and Inventory and Monitoring. The 2017 Budget sustains the outputs and products on which land managers depend for developing management options, strategies, and systems for addressing current issues.

Object Classification (in millions of dollars)

Identification code 012-1104-0-1-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	143	143	143
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	149	149	149
12.1 Civilian personnel benefits .....	50	50	50
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	9	9	9
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	5	5	5
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	20	20	20
25.3 Other goods and services from Federal sources .....	17	17	17
25.5 Research and development contracts .....	27	27	27
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	10	10	10
99.0 Direct obligations .....	314	314	314

99.0 Reimbursable obligations .....	21	21	21
99.9 Total new obligations .....	335	335	335

Employment Summary

Identification code 012-1104-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,810	1,810	1,810
2001 Reimbursable civilian full-time equivalent employment .....	73	73	73

NATIONAL FOREST SYSTEM

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Forest Service, not otherwise provided for, for management, protection, improvement, and utilization of the National Forest System, **[\$1,509,364,000]** \$1,500,996,000, to remain available until expended, and in addition, \$15,000,000 for the processing of grazing permits and leases, to remain available until expended, to be reduced by amounts collected by the Forest Service and credited to this appropriation, which shall be derived by a \$2.50 per head month administrative fee, as provided for in this Act: *Provided*, That of the funds provided, \$40,000,000 shall be deposited in the Collaborative Forest Landscape Restoration Fund for ecological restoration treatments as authorized by 16 U.S.C. 7303(f): *Provided further*, That of the funds provided, \$359,805,000 shall be for forest products: *Provided further*, That of the funds provided, up to \$81,941,000 is for the Integrated Resource Restoration pilot program for Region 1, Region 3 and Region 4: *Provided further*, That of the funds provided for forest products, up to \$65,560,000 may be transferred to support the Integrated Resource Restoration pilot program in the preceding proviso: *Provided further*, That the Secretary of Agriculture may transfer to the Secretary of the Interior any unobligated funds appropriated in a previous fiscal year for operation of the Valles Caldera National Preserve. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH

For expenses authorized by 16 U.S.C. 1643(b), \$45,000, to remain available until expended, to be derived from the fund established pursuant to the above Act. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-1106-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National forest system .....	1,549	1,549	1,549
0801 National Forest System (Reimbursable) .....	63	63	63
0900 Total new obligations .....	1,612	1,612	1,612
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	136	167	210
1010 Unobligated balance transfer to other accts [012-1115] .....	-9		
1021 Recoveries of prior year unpaid obligations .....	43		
1050 Unobligated balance (total) .....	170	167	210
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,494	1,509	1,501
1120 Appropriations transferred to other acct [014-1036] .....	-2		
1120 Appropriations transferred to other acct [012-1115] .....	-23		
1121 Appropriations transferred from other acct [012-1103] .....	15	15	
1121 Appropriations transferred from other acct [012-1115] .....	28	56	
1160 Appropriation, discretionary (total) .....	1,512	1,580	1,501
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	62	60	60
1700 Offsetting Collections (Grazing fees) .....		15	15
1701 Change in uncollected payments, Federal sources .....	35		
1750 Spending auth from offsetting collections, disc (total) .....	97	75	75
1900 Budget authority (total) .....	1,609	1,655	1,576
1930 Total budgetary resources available .....	1,779	1,822	1,786
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	167	210	174
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	416	390	226

3010	Obligations incurred, unexpired accounts .....	1,612	1,612	1,612
3020	Outlays (gross) .....	-1,595	-1,776	-1,588
3040	Recoveries of prior year unpaid obligations, unexpired .....	-43		
3050	Unpaid obligations, end of year .....	390	226	250
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-125	-160	-160
3070	Change in uncollected pymts, Fed sources, unexpired .....	-35		
3090	Uncollected pymts, Fed sources, end of year .....	-160	-160	-160
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	291	230	66
3200	Obligated balance, end of year .....	230	66	90
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,609	1,655	1,576
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,506	1,407	1,340
4011	Outlays from discretionary balances .....	89	369	248
4020	Outlays, gross (total) .....	1,595	1,776	1,588
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-38	-37	-37
4033	Non-Federal sources .....	-24	-38	-38
4040	Offsets against gross budget authority and outlays (total) ....	-62	-75	-75
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-35		
4070	Budget authority, net (discretionary) .....	1,512	1,580	1,501
4080	Outlays, net (discretionary) .....	1,533	1,701	1,513
4180	Budget authority, net (total) .....	1,512	1,580	1,501
4190	Outlays, net (total) .....	1,533	1,701	1,513

The 2017 Budget requests \$1,500,996,000 for the National Forest System (NFS) for the stewardship and management of the system's 193 million acres of national forests and grasslands. This includes the 154 national forests and 20 national grasslands, located in 44 States and Puerto Rico, and managed under multiple-use and sustained-yield principles. The natural resources of timber, minerals, range, wildlife, outdoor recreation, watershed, and soil are used in a planned combination that best meets the needs of the Nation without impairing productivity of the land or damaging the environment.

The 2017 Budget prioritizes funding of programs designed to increase the health and resilience of the national forests and grasslands, while also meeting the multiple use requirements for the resources on our Nation's forests and grasslands.

In accordance with the Secretary's all-lands vision, the 2017 Budget focuses on meeting the challenges of ecological restoration through collaborative approaches to address fire risk and hazardous fuels, invasive plant and pest species, and watershed degradation. Advancing the all-lands vision includes collaboratively engaging communities to help Americans reconnect to the outdoors, expanding on recreation benefits and create a wide range of opportunities for economic expansion to retain and create jobs, and partnering with communities and fellow agencies to reduce the threat of wildland fires. For 2017, this vision also includes additional support for Law Enforcement Operations to clean up and reclaim illegal marijuana grow sites on NFS lands. The goals of all NFS program efforts are to reestablish and retain the resilience of NFS lands, to achieve sustainable management and use, and to provide a broad range of ecosystem services.

The 2017 Budget prioritizes investments through the Collaborative Forest Landscape Restoration Program (CFLRP) to foster collaborative, science-based restoration on priority forest landscapes across the Nation. The CFLRP was established specifically to create job stability, achieve a reliable wood supply, restore forest health, and reduce the costs of fire suppression in overgrown forests. The ultimate goal of the CFLRP is to collaboratively achieve improved forest benefits for people, water, and wildlife in a way that can be shared across broad landscapes.

The 2017 Budget reflects the continuing emphasis on Forest Service program performance and accountability agency-wide. The Forest Service business rules for accomplishment reporting incorporate not only directly funded work, but also accomplishments achieved through integration

between program areas or partnerships with external groups. This effort improves performance and accountability by shifting focus to accomplishments that naturally align other programs and partner organizations to achieve multiple goals.

**Object Classification** (in millions of dollars)

Identification code 012-1106-0-1-302	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	650	650	650
11.3	Other than full-time permanent .....	40	40	40
11.5	Other personnel compensation .....	36	36	36
11.9	Total personnel compensation .....	726	726	726
12.1	Civilian personnel benefits .....	269	269	269
13.0	Benefits for former personnel .....	7	7	7
21.0	Travel and transportation of persons .....	43	43	43
22.0	Transportation of things .....	10	10	10
23.1	Rental payments to GSA .....	14	14	14
23.2	Rental payments to others .....	20	20	20
23.3	Communications, utilities, and miscellaneous charges .....	33	33	33
24.0	Printing and reproduction .....	2	2	2
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	171	171	171
25.3	Other goods and services from Federal sources .....	143	143	143
25.4	Operation and maintenance of facilities .....	1	1	1
25.5	Research and development contracts .....	1	1	1
25.7	Operation and maintenance of equipment .....	4	4	4
26.0	Supplies and materials .....	32	32	32
31.0	Equipment .....	16	16	16
41.0	Grants, subsidies, and contributions .....	54	54	54
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,548	1,548	1,548
99.0	Reimbursable obligations .....	63	63	63
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations .....	1,612	1,612	1,612

**Employment Summary**

Identification code 012-1106-0-1-302	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	10,858	10,858	10,858
2001	Reimbursable civilian full-time equivalent employment .....	284	284	284
3001	Allocation account civilian full-time equivalent employment .....	1,346	1,346	1,346

**STATE AND PRIVATE FORESTRY**

For necessary expenses of cooperating with and providing technical and financial assistance to States, territories, possessions, and others, and for forest health management, including treatments of pests, pathogens, and invasive or noxious plants and for restoring and rehabilitating forests damaged by pests or invasive plants, cooperative forestry, and education and land conservation activities and conducting an international program as authorized, **【\$237,023,000】** \$234,004,000, to remain available until expended, as authorized by law; of which \$62,347,000 is to be derived from the Land and Water Conservation Fund. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1105-0-1-302	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	State and private forestry .....	175	175	175
0002	Forest Legacy .....	49	49	49
0799	Total direct obligations .....	224	224	224
0801	State and Private Forestry (Reimbursable) .....	60	60	60
0900	Total new obligations .....	284	284	284
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	95	110	163
1010	Unobligated balance transfer to other accts [012-1115] .....	-21		
1021	Recoveries of prior year unpaid obligations .....	25		
1050	Unobligated balance (total) .....	99	110	163

STATE AND PRIVATE FORESTRY—Continued  
Program and Financing—Continued

Identification code 012-1105-0-1-302	2015 actual	2016 est.	2017 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100	179	175	172
1101	53	62	62
1120	-38		
1121	24	50	
1160	218	287	234
Spending authority from offsetting collections, discretionary:			
1700	41	50	50
1701	36		
1750	77	50	50
1900	295	337	284
1930	394	447	447
Memorandum (non-add) entries:			
1941	110	163	163
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	540	454	374
3010	284	284	284
3020	-345	-364	-350
3040	-25		
3050	454	374	308
Uncollected payments:			
3060	-115	-151	-151
3070	-36		
3090	-151	-151	-151
Memorandum (non-add) entries:			
3100	425	303	223
3200	303	223	157
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	295	337	284
Outlays, gross:			
4010	83	130	111
4011	262	234	239
4020	345	364	350
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-42	-50	-50
4033	1		
4040	-41	-50	-50
Additional offsets against gross budget authority only:			
4050	-36		
4070	218	287	234
4080	304	314	300
4180	218	287	234
4190	304	314	300
Memorandum (non-add) entries:			
5096		3	3
5098		3	3

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority	218	287	234
Outlays	304	314	300
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority			38
Outlays			10
<b>Total:</b>			
Budget Authority	218	287	272
Outlays	304	314	310

The 2017 Budget requests \$234,004,000 for State and Private Forestry. State and Private Forestry programs provide technical assistance to landowners and resource managers to help sustain forests on State and private lands, in both rural and urban areas, and protect communities and the natural environment from wildland fires, tree pests and diseases, and

invasive plants. These programs also help facilitate sound resource stewardship by providing tools to address forest health threats on a landscape scale, while maintaining the flexibility for individual forest landowners to pursue their objectives.

The Administration has developed a strategic approach to address catastrophic fire risk. There are three main issues that need to be addressed for a comprehensive plan of action. The first key is to address wildland fire suppression costs by providing a stable source of funding while minimizing the destabilizing transfers from non-fire programs. The second is to improve the management of federal lands, the benefits of which will include both a reduction in wildland fire risk and an increase in fire fighter safety. The third issue is for non-federal land managers, including private land owners, to implement actions to reduce the risk of fire and increase the resiliency of these lands to fire, when it occurs.

**Landscape Scale Restoration.**—Funds projects focused on issues and landscapes of national importance and on activities that promise meaningful outcomes. Outcomes are measured by improved data collection using streamlined spatial reporting tools. Building upon the State and Private Forestry Redesign process, Landscape Scale Restoration prioritizes resources to shape forest land use on a scale that optimizes public benefits from forests. As a competitive grant program, it provides flexible opportunities to fund innovative projects across program boundaries and across landscape jurisdictions to address priorities and needs consistent with State Forest Action Plans, as well as projects that will be conducted to restore healthy and resilient forests and communities. Funding of this program leverages partnerships with State forestry agencies to deliver cross-boundary projects and is a critical part of the Forest Service's capacity to reduce the risk of catastrophic wildfires, improve water quality and quantity and increase carbon sequestration.

**Forest Health Management.**—Funds Federal and cooperative lands to maintain healthy, productive ecosystems by preventing, detecting, and suppressing damaging native and invasive insect infestations and tree diseases across all land ownership jurisdictions, and invasive plants on cooperative lands. Based on a science-based forest health risk map, the 2017 Budget allocates funding to address national priorities and reduce risk for landscape damage in the most effective and efficient manner. The agency will document changes in insect, disease, and invasive plant geographic range, population dynamics of host preferences of pests, and other changes in pest activity and will explore gene conservation efforts. Funding of this program is a critical part of the Forest Service's capacity to continue to reduce the risk of catastrophic wildfires, improve water quality and quantity and increase carbon sequestration.

**Cooperative Forestry.**—Funds the Forest Stewardship, Forest Legacy, Community Forest and Open Space Conservation, and Urban and Community Forestry programs. These complementary programs help maintain the integrity of our Nation's valuable forested landscapes and supports the Federal interest in obtaining and preserving for the public an array of social, economic, and environmental benefits from privately owned forests. The Forest Service will track how cooperative funds are targeted to priority areas and themes identified in State Forest Action Plans.

**Forest Stewardship Program.**—Provides professional forestry assistance to landowners to encourage sound environmental management of non-industrial private forest lands.

**Forest Legacy Program.**—Partners with States to protect environmentally sensitive forestlands. Consistent with the President's commitment to the America's Great Outdoors Initiative, the Budget includes funding for the Forest Legacy Program through the Land and Water Conservation Fund to support the acquisition of conservation easements and other interests in forest lands threatened by conversion. These easements protect air and water quality, provide access for recreation, and conserve habitat for threatened or endangered species.

**Mandatory Land and Water Conservation Fund.**—The 2017 Budget requests \$900 million, including \$475 million in discretionary funding and \$425 million in mandatory funding, and proposes to permanently authorize

\$900 million in annual mandatory funding for the Departments of the Interior and Agriculture for Land and Water Conservation Fund programs beginning in 2018.

**Community Forest and Open Space Conservation.**—Funds grants to local and Tribal governments and qualified nonprofit organizations to establish community forests by acquiring and protecting forestlands.

**Urban and Community Forestry.**—Provides technical, financial, and educational assistance to localities nationwide to improve the condition and extent of their trees and forests to achieve the full range of benefits and services from these resources. This program improves the lives of most Americans near where they live and work.

**International Forestry.**—Assists agencies whose missions are centrally focused on international issues with natural resource conservation.

**Object Classification** (in millions of dollars)

Identification code 012-1105-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	44	44	44
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	46	46	46
12.1 Civilian personnel benefits .....	15	15	15
21.0 Travel and transportation of persons .....	4	4	4
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	11	11	11
25.3 Other goods and services from Federal sources .....	6	6	6
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	130	130	130
99.0 Direct obligations .....	221	221	221
99.0 Reimbursable obligations .....	59	59	59
99.5 Adjustment for rounding .....	4	4	4
99.9 Total new obligations .....	284	284	284

**Employment Summary**

Identification code 012-1105-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	565	565	565
2001 Reimbursable civilian full-time equivalent employment .....	60	60	60

**STATE AND PRIVATE FORESTRY**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-1105-4-1-302	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [014-5005] ....			38
1930 Total budgetary resources available .....			38
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			38
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			-10
3050 Unpaid obligations, end of year .....			-10
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-10
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			38
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			10
4180 Budget authority, net (total) .....			38

4190 Outlays, net (total) .....	10
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**MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES**

For necessary expenses of the Forest Service to manage Federal lands in Alaska for subsistence uses under title VIII of the Alaska National Interest Lands Conservation Act (Public Law 96-487), **[\$2,500,000] \$2,441,000**, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 012-1119-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Management of national forest lands for subsistence uses .....	2	2	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3	2	2
1930 Total budgetary resources available .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-3	-2	-1
3050 Unpaid obligations, end of year .....			1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	2	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	1	1
4011 Outlays from discretionary balances .....	1	1	
4020 Outlays, gross (total) .....	3	2	1
4180 Budget authority, net (total) .....	3	2	2
4190 Outlays, net (total) .....	3	2	1

The 2017 Budget requests \$2,441,000 for Management of National Forest Lands for Subsistence Uses. Funding under this program primarily supports fisheries and wildlife habitat management activities for population assessments and forecasts and the enforcement of harvest laws and regulations to ensure that the subsistence needs of qualified rural Alaskans are met under the Alaska National Interest Lands Conservation Act (Public Law 96-487).

**Object Classification** (in millions of dollars)

Identification code 012-1119-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.0 Direct obligations .....	2	2	2
99.9 Total new obligations .....	2	2	2

**Employment Summary**

Identification code 012-1119-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10

WILDLAND FIRE MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for forest fire presuppression activities on National Forest System lands, for emergency fire suppression on or adjacent to such lands or other lands under fire protection agreement, hazardous fuels management on or adjacent to such lands, emergency rehabilitation of burned-over National Forest System lands and water, and for State and volunteer fire assistance, **[\$2,386,329,000]** \$2,451,445,000, to remain available until expended: *Provided*, That such funds including unobligated balances under this heading, are available for repayment of advances from other appropriations accounts previously transferred for such purposes: *Provided further*, That such funds shall be available to reimburse State and other cooperating entities for services provided in response to wildfire and other emergencies or disasters to the extent such reimbursements by the Forest Service for non-fire emergencies are fully repaid by the responsible emergency management agency: **¶** *Provided further*, That, notwithstanding any other provision of law, \$6,914,000 of funds appropriated under this appropriation shall be available for the Forest Service in support of fire science research authorized by the Joint Fire Science Program, including all Forest Service authorities for the use of funds, such as contracts, grants, research joint venture agreements, and cooperative agreements: *Provided further*, That all authorities for the use of funds, including the use of contracts, grants, and cooperative agreements, available to execute the Forest and Rangeland Research appropriation, are also available in the utilization of these funds for Fire Science Research: **¶** *Provided further*, That funds provided shall be available for emergency rehabilitation and restoration, hazardous fuels management activities, support to Federal emergency response, and wildfire suppression activities of the Forest Service: *Provided further*, That of the funds provided, **¶** **[\$375,000,000]** \$384,126,000 is for hazardous fuels management activities, \$19,795,000 is for research activities and to make competitive research grants pursuant to the Forest and Rangeland Renewable Resources Research Act, (16 U.S.C. 1641 et seq.), \$78,000,000 is for State fire assistance, and \$13,000,000 is for volunteer fire assistance under section 10 of the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2106): *Provided further*, That of the funds provided, \$873,904,000 is an amount for wildfire suppression operations to meet the terms of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and \$864,096,000 is additional new budget authority for wildfire suppression operations specified for purposes of section 251(b)(2) of such Act: *Provided further*, That amounts in this paragraph may be transferred to the "National Forest System", and "Forest and Rangeland Research" accounts to fund forest and rangeland research, the Joint Fire Science Program, vegetation and watershed management, heritage site rehabilitation, and wildlife and fish habitat management and restoration: *Provided further*, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: *Provided further*, That **¶** up to \$15,000,000 of the funds provided herein may be used by the Secretary of Agriculture to enter into procurement contracts or cooperative agreements or to issue grants for hazardous fuels management activities and for training or monitoring associated with such hazardous fuels management activities on Federal land or on non-Federal land if the Secretary determines such activities benefit resources on Federal land: *Provided further*, That funds made available to implement the Community Forest Restoration Act, Public Law 106-393, title VI, shall be available for use on non-Federal lands in accordance with authorities made available to the Forest Service under the "State and Private Forestry" appropriation: *Provided further*, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: *Provided further*, That of the funds provided for hazardous fuels management, not to exceed \$15,000,000 may be used to make grants, using any authorities available to the Forest Service under the "State and Private Forestry" appropriation, for the purpose of creating incentives for increased use of biomass from National Forest System lands: *Provided further*, That funds designated for wildfire suppression **¶**, including funds transferred from the "FLAME Wildfire Suppression Reserve Fund", **¶** shall be assessed for cost pools on the same basis as such assessments are calculated against other agency programs: *Provided further*, That of the funds for hazardous fuels management, up to \$24,000,000 may be transferred to the "National Forest System" to support the Integrated Resource Restoration pilot program. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 012-1115-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Wildland fire management .....	3,367	3,108	2,770
0801 Wildland Fire Management (Reimbursable) .....	81	81	81
0900 Total new obligations .....	3,448	3,189	2,851
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	112	152	502
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	112	152	.....
1011 Unobligated balance transfer from other acct [012-1103] .....	4	.....	.....
1011 Unobligated balance transfer from other acct [012-1104] .....	10	.....	.....
1011 Unobligated balance transfer from other acct [012-1105] .....	21	.....	.....
1011 Unobligated balance transfer from other acct [012-1106] .....	9	.....	.....
1011 Unobligated balance transfer from other acct [012-9923] .....	21	.....	.....
1011 Unobligated balance transfer from other acct [012-9921] .....	315	.....	.....
1011 Unobligated balance transfer from other acct [012-5540] .....	7	.....	.....
1021 Recoveries of prior year unpaid obligations .....	168	.....	.....
1050 Unobligated balance (total) .....	667	152	502
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Preparedness and Other Operations .....	1,625	1,575	1,578
1100 Appropriation - Suppression .....	708	811	874
1100 Appropriation - Fire Repayment PL 114-53 .....	.....	700	.....
1100 Appropriation - FLAME Suppression Cap Adjustment .....	.....	.....	864
1120 Appropriations transferred to other accts [014-1125] .....	-4	.....	.....
1120 Appropriations transferred to other accts [012-1104] .....	-25	-43	-20
1120 Appropriations transferred to other accts [012-1105] .....	-24	-50	.....
1120 Appropriations transferred to other accts [012-1106] .....	-28	-56	.....
1120 Appropriations transferred to other acct [012-9923] .....	-4	-29	.....
1120 Appropriations transferred to other acct [012-9921] .....	-11	-342	.....
1120 Appropriations transferred to other acct [012-1103] .....	.....	-18	.....
1120 Appropriations transferred to other acct [012-5540] .....	.....	-7	.....
1121 Appropriations transferred from other acct [012-1120] .....	303	823	.....
1121 Appropriations transferred from other acct [012-1103] .....	14	.....	.....
1121 Appropriations transferred from other acct [012-1104] .....	7	.....	.....
1121 Appropriations transferred from other acct [012-1106] .....	23	.....	.....
1121 Appropriations transferred from other acct [012-1105] .....	38	.....	.....
1121 Appropriations transferred from other acct [012-9923] .....	12	.....	.....
1121 Appropriations transferred from other acct [012-9921] .....	38	.....	.....
1160 Appropriation, discretionary (total) .....	2,672	3,364	3,296
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	314	175	175
1701 Change in uncollected payments, Federal sources .....	-53	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	261	175	175
1900 Budget authority (total) .....	2,933	3,539	3,471
1930 Total budgetary resources available .....	3,600	3,691	3,973
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	152	502	1,122
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	892	1,229	686
3010 Obligations incurred, unexpired accounts .....	3,448	3,189	2,851
3020 Outlays (gross) .....	-2,937	-3,732	-3,433
3040 Recoveries of prior year unpaid obligations, unexpired .....	-168	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	1,229	686	104
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-186	-133	-133
3070 Change in uncollected pymts, Fed sources, unexpired .....	53	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-133	-133	-133
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	706	1,096	553
3200 Obligated balance, end of year .....	1,096	553	-29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,933	3,539	3,471
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,385	3,188	2,770
4011 Outlays from discretionary balances .....	552	544	663
4020 Outlays, gross (total) .....	2,937	3,732	3,433
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-207	-23	-23
4033 Non-Federal sources .....	-107	-152	-152
4040 Offsets against gross budget authority and outlays (total) .....	-314	-175	-175

Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	53		
4070	Budget authority, net (discretionary) .....	2,672	3,364	3,296
4080	Outlays, net (discretionary) .....	2,623	3,557	3,258
4180	Budget authority, net (total) .....	2,672	3,364	3,296
4190	Outlays, net (total) .....	2,623	3,557	3,258

The 2017 Budget requests \$2,451,445,000 for Wildland Fire Management (WFM), an increase of \$65 million above the funding enacted for 2016 for Forest Service fire preparedness, fire suppression, hazardous fuels treatments, research and development, and cooperative fire programs on National Forest System (NFS) lands, adjacent State and private lands, and other lands under fire protection agreements. The 2017 budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, to establish a new budget framework for the Wildland Fire Management program that is designed to provide stable funding for fire suppression, while minimizing the adverse impacts of fire transfers on the budgets of other fire and non-fire programs, as well as reduce fire risk, manage landscapes more comprehensively, and increase the resiliency of public lands and the communities that border them. In this proposed new budget framework, a portion of the funding needed for suppression response is funded within the discretionary spending limits and a portion is funded in an adjustment to those limits. The new framework does not increase overall discretionary spending, as it would reduce the ceiling for the existing disaster relief cap adjustment by an equivalent amount as is provided from wildfire suppression operations. More detail is provided in the *Budget Process* chapter in the Analytical Perspectives volume.

**Preparedness.**—To ensure agency capability to protect life, property, and natural resources while assuring an appropriate, risk informed, and effective response to wildfires that is consistent with land and resource management objectives. Firefighter and public safety are the primary considerations for all operations.

Preparedness provides for fire management assets that protect NFS lands, and other Federal, State, and private lands from damaging wildfires, thus reducing threats to life and values at risk commensurate with land management objectives in the Cohesive Strategy. Key components of the wildland fire response mission delivery are readiness capability and program leadership necessary to ensure appropriate, risk informed, and effective operations. Preparedness also supports other vital elements of a comprehensive wildland fire management program including modernization of the large airtanker fleet, planning, prevention, development of information technology and decision support systems, training and education, development and advancement of firefighting technology, and organizational learning through program analysis and review.

Through this program the Forest Service also assists other Federal agencies and States with planning assistance, sharing joint equipment use contracts, and interagency fire coordination centers. Readiness levels reflect improvements in efficiencies and management controls, including predictive services analysis of fire season potential to strategically deploy firefighting resources, web-based wildfire decision support tools, centralized management of aviation assets, implementation of optimized dispatching analysis, and streamlining of information technology investments.

**Suppression.**—Risk-informed suppression of wildland fires on or threatening NFS lands, other Federal lands, and 20 million acres of non-Federal lands under fire protection agreements. The 2017 Budget request proposes an adjustment to the discretionary spending limits as a new approach for responsibly budgeting for wildland fire suppression to minimize the risk of fire transfers and provide more stability and certainty of funding to invest in critical forest and rangeland management needs. The Budget proposes a base level of funding of 70 percent of the 10-year average of suppression costs to be funded within the discretionary cap. The proposed cap adjustment would fund the remaining identified need for Suppression. The base level of funds ensures that the cap adjustment is only used for the most severe fire activity because it is two percent of the fires that results in 30 percent of the costs. In 2017, 70 percent of the 10-year average for suppres-

sion expenditures is \$873,904,000 . The amount requested in the budget adjustment equals the difference between the total amount of suppression expenditures projected for the fiscal year, based on the Outyear Forecast developed by the U.S. Forest Service's Southern Research Station, and 70 percent of the 10-year suppression average that is requested within the discretionary budget caps. For 2017, the request for the budget cap adjustment is \$864,096,000.

Wildfires continue to be larger and more difficult to suppress due to the effects of a changing climate, persistent drought, hazardous fuels conditions, and the increased size and complexity of housing developments adjacent to the wildland-urban interface (WUI). The Forest Service recognizes the costs of WUI suppression activities and will continue to aggressively pursue management improvements, including:

- focusing hazardous fuels funding for treatments in the WUI,
- using risk-informed, performance-based suppression strategies,
- clarifying roles and responsibilities in the WUI,
- using appropriate cost-share agreements, and
- deploying decision support tools.

Development of necessary governance and risk management protocols that will guide program management and incident response with the application of resources to reduce unnecessary risk to firefighter safety in the short-term and to the long-term resiliency of fire-adapted ecosystems will continue to be a focus. The Forest Service will also continue efforts to allow fire to return to the landscape when these fires will improve the health of the forest and when risks to safety and communities make it appropriate to do so.

**Forest Service Suppression Obligations 2006–2015**

Year	(dollars in thousands)		
	Net Nominal Suppression Obligations	Adjusted Obligations [2015 = 1.00]	Rolling 10-year Average
2006	\$1,280,419	\$1,501,444	\$956,977
2007	1,149,654	1,315,253	1,063,229
2008	1,193,073	1,320,026	1,152,176
2009	702,111	774,569	1,179,486
2010	578,284	629,093	1,096,460
2011	1,055,736	1,125,194	1,118,552
2012	1,436,614	1,499,833	1,101,514
2013	1,356,535	1,394,716	1,110,179
2014	1,195,955	1,211,216	1,140,678
2015	1,713,000	1,713,000	1,248,434

**Fire Operations, Other.**—The Other Fire Operations programs include Hazardous Fuels, National Fire Plan Research and Development, State Fire Assistance, and Volunteer Fire Assistance. Funding will focus on treating hazardous fuels in the WUI and highest priority areas, research and technology transfer activities, and providing vital support to assist local communities and State foresters to develop firefighting capacity to provide critical preparedness and response actions for communities at risk. The request for Hazardous Fuels provides funding for treatment of hazardous fuels within the WUI and highest priority areas of NFS lands and adjacent State and private lands. The Hazardous Fuels program restores forest health and reduces wildfire risks. Hazardous fuel reduction modifies the arrangement of or reduces the volume of naturally occurring flammable vegetation and forest litter. The program includes prescribed burning, mechanical treatments, and other methods. Treatments will be focused on the most strategic acres, which are often the most expensive because they require mechanical treatment or a combination of mechanical treatment with prescribed fire. Projects completed using hazardous fuels funds will focus on: strategically protecting communities (and associated lives, property, and public infrastructure) which are at the highest risk from damaging wildfire; providing a safer environment for wildland fire management operations; and supporting communities that are working to achieve Firewise standards and have identified acres to be treated in Community Wildfire Protection Plans or equivalent plans, and have made an investment in implementing local solutions to protect against wildland fire. Treatments are designed to alter

WILDLAND FIRE MANAGEMENT—Continued

fire behavior and reduce negative impacts of wildland fires such as erosion or soils that, due to high fire temperatures, shed water rather than absorbing it. Healthy, resilient landscapes have greater capacity to adapt to and withstand natural disturbances and large scale threats to sustainability, especially under changing and uncertain future environmental conditions such as those created by climate change.

State and Volunteer Fire Assistance programs include funding to enhance the capacity of States to increase the fire adaptability of communities by providing funding and technical assistance to: (1) increase their initial attack capabilities, (2) reduce hazardous fuels in and around communities, and (3) purchase and maintain firefighting equipment. Funding also supports training, planning, and fire prevention, and education programs.

Object Classification (in millions of dollars)

Identification code 012-1115-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	511	511	511
11.3 Other than full-time permanent .....	76	76	76
11.5 Other personnel compensation .....	296	296	296
11.8 Special personal services payments .....	54	54	54
11.9 Total personnel compensation .....	937	937	937
12.1 Civilian personnel benefits .....	306	306	306
13.0 Benefits for former personnel .....	33	33	33
21.0 Travel and transportation of persons .....	91	91	91
22.0 Transportation of things .....	9	9	9
23.1 Rental payments to GSA .....	14	14	14
23.2 Rental payments to others .....	31	31	31
23.3 Communications, utilities, and miscellaneous charges .....	43	43	43
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	1,079	815	482
25.3 Other goods and services from Federal sources .....	262	262	262
25.4 Operation and maintenance of facilities .....	1	1	1
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	7	7	7
26.0 Supplies and materials .....	135	135	135
31.0 Equipment .....	22	22	22
41.0 Grants, subsidies, and contributions .....	390	390	390
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	3,368	3,104	2,771
99.0 Reimbursable obligations .....	80	85	80
99.9 Total new obligations .....	3,448	3,189	2,851

Employment Summary

Identification code 012-1115-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12,259	12,259	12,259
2001 Reimbursable civilian full-time equivalent employment .....	40	40	40

FLAME WILDFIRE SUPPRESSION RESERVE FUND

[(INCLUDING TRANSFERS OF FUNDS)]

For necessary expenses for large fire suppression operations of the Department of Agriculture and as a reserve fund for suppression and Federal emergency response activities, \$823,000,000, to remain available until expended: *Provided*, That such amounts are only available for transfer to the "Wildland Fire Management" account following a declaration by the Secretary in accordance with section 502 of the FLAME Act of 2009 (43 U.S.C. 1748a). (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 012-1120-0-1-302	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation [PL 114-53] .....	303	823	.....
1120 Appropriations transferred to other acts [012-1115] .....	-303	-823	.....
4180 Budget authority, net (total) .....	.....	.....	.....

4190 Outlays, net (total) .....

In 2017, the Budget proposes to eliminate this account and will fund all suppression activities in the Wildland Fire Management account, with a portion of the suppression funds requested within the discretionary budget cap and a portion of the funds requested in a budget cap adjustment.

RANGE BETTERMENT FUND

For necessary expenses of range rehabilitation, protection, and improvement, 50 percent of all moneys received during the prior fiscal year, as fees for grazing domestic livestock on lands in National Forests in the 16 Western States, pursuant to section 401(b)(1) of Public Law 94-579, to remain available until expended, of which not to exceed 6 percent shall be available for administrative expenses associated with on-the-ground range rehabilitation, protection, and improvements. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5207-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Receipts, Cooperative Range Improvements .....	.....	2	2
2000 Total: Balances and receipts .....	3	2	2
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Range Betterment Fund .....	-3	-2	-2
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 012-5207-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Range betterment fund .....	3	3	2
0900 Total new obligations .....	3	3	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	3	2	2
1930 Total budgetary resources available .....	4	3	2
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 Obligations incurred, unexpired accounts .....	3	3	2
3020 Outlays (gross) .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	1	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	2

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	2	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	2
4011 Outlays from discretionary balances .....	1	.....	.....
4020 Outlays, gross (total) .....	3	2	2
4180 Budget authority, net (total) .....	3	2	2
4190 Outlays, net (total) .....	3	2	2

The 2017 Budget requests \$2,320,000 for the Range Betterment Fund and is commensurate with expected grazing fee receipts. Fifty percent of fees from permitted grazing on national forests in 16 western States, once appropriated, are used to protect and improve rangeland productivity, primarily through revegetation, and construction, reconstruction, and

maintenance of rangeland improvements under authority of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), as amended. This program emphasizes essential structural and non-structural improvements prescribed in grazing allotment management plans and other project plans as developed according to the National Environmental Policy Act. Treatment of invasive plant species related to permitted livestock use continues to be a priority for non-structural rangeland improvement work, such as fencing for proper livestock management and vegetation management for restoring rangelands to healthy conditions.

**Object Classification** (in millions of dollars)

Identification code 012-5207-0-2-302	2015 actual	2016 est.	2017 est.
26.0 Direct obligations: Supplies and materials .....	2	2	1
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	3	3	2

**Employment Summary**

Identification code 012-5207-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6	6	6

**STEWARDSHIP CONTRACTING PRODUCT SALES**

**Program and Financing** (in millions of dollars)

Identification code 012-5540-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Stewardship contracting .....	9	14	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	13	20
1010 Unobligated balance transfer to other accts [012-1115] .....	-7		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	9	13	20
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	13	14	14
1203 Appropriation (previously unavailable) .....	1	1	
1221 Appropriations transferred from other acct [012-1115] .....		7	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	13	21	14
1900 Budget authority (total) .....	13	21	14
1930 Total budgetary resources available .....	22	34	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	20	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	9	1
3010 Obligations incurred, unexpired accounts .....	9	14	16
3020 Outlays (gross) .....	-9	-22	-17
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	9	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	9	1
3200 Obligated balance, end of year .....	9	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	13	21	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....		10	7
4101 Outlays from mandatory balances .....	9	12	10
4110 Outlays, gross (total) .....	9	22	17
4180 Budget authority, net (total) .....	13	21	14
4190 Outlays, net (total) .....	9	22	17

*Stewardship Contracting.*—The Forest Service may enter into stewardship agreements or contracts for projects to achieve land management goals and

meet local and rural community needs. Stewardship contracting product sales enable the Forest Service to apply the value of timber or other forest products from stewardship sales as an offset against the costs to accomplish land and resource management objectives. If the offset value of timber or other forest products exceeds the value of the resource improvement treatments, those sales receipts are retained and deposited in the Stewardship Contracting Fund, and are available until expended for other authorized stewardship projects. This authority was reauthorized permanently, pursuant to P.L. 113-79, Agricultural Act of 2014.

**Object Classification** (in millions of dollars)

Identification code 012-5540-0-2-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	6	9	11
41.0 Grants, subsidies, and contributions .....	3	5	5
99.0 Direct obligations .....	9	14	16
99.9 Total new obligations .....	9	14	16

**LAND ACQUISITION**

For expenses necessary to carry out the provisions of chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the Forest Service, **[\$63,435,000]** \$65,653,000, to be derived from the Land and Water Conservation Fund and to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**ACQUISITION OF LANDS FOR NATIONAL FORESTS SPECIAL ACTS**

For acquisition of lands within the exterior boundaries of the Cache, Uinta, and Wasatch National Forests, Utah; the Toiyabe National Forest, Nevada; and the Angeles, San Bernardino, Sequoia, and Cleveland National Forests, California, as authorized by law, \$950,000, to be derived from forest receipts. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES**

For acquisition of lands, such sums, to be derived from funds deposited by State, county, or municipal governments, public school districts, or other public school authorities, and for authorized expenditures from funds deposited by non-Federal parties pursuant to Land Sale and Exchange Acts, pursuant to the Act of December 4, 1967 (16 U.S.C. 484a), to remain available until expended (16 U.S.C. 516-617a, 555a; Public Law 96-586; Public Law 76-589, 76-591; and Public Law 78-310). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	6	6	18
0198 Unappropriated special fund receipt adjustment .....	-2		
0199 Balance, start of year .....	4	6	18
Receipts:			
Current law:			
1130 Deposits, Acquisitions of Lands for National Forests, Special Acts .....	2	1	1
1130 Land Acquisition Proceeds for Exchanges, Acquisition of Lands to Complete Land Exchanges .....	5	12	2
1130 Facility Realignment and Enhancement Receipts, Acquisition of Lands to Complete Land Exchanges .....		1	1
1199 Total current law receipts .....	7	14	4
1999 Total receipts .....	7	14	4
2000 Total: Balances and receipts .....	11	20	22
Appropriations:			
Current law:			
2101 Land Acquisition .....	-1	-1	-1
2101 Land Acquisition .....	-5	-1	
2199 Total current law appropriations .....	-6	-2	-1
2999 Total appropriations .....	-6	-2	-1
5098 Rounding adjustment .....	1		

LAND ACQUISITION—Continued  
Special and Trust Fund Receipts—Continued

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
5099 Balance, end of year .....	6	18	21

Program and Financing (in millions of dollars)

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land Acquisition (12X5004 LALW) Discretionary .....	40	54	56
0002 Land Facilities Enhancement (12X5216 EXSC/SL)			
Mandatory .....	8	8	8
0003 Land Acquisition - Special Acts (12Y5208) Discretionary .....	1	1	1
0900 Total new obligations .....	49	63	65

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	12	43
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	37	2	
1010 Unobligated balance transfer to other accts [012-1115] .....	-21		
1050 Unobligated balance (total) .....	16	12	43
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation: Land Acquisition (12X5004) .....	47	63	66
1101 Appropriation: Special Acts (12Y5208) .....	1	1	1
1120 Appropriations transferred to other acct [012-1115] .....	-12		
1121 Appropriations transferred from other acct [012-1115] .....	4	16	
1160 Appropriation, discretionary (total) .....	40	80	67
Appropriations, mandatory:			
1201 Appropriation (12X5216 EXSC EXSL) .....	5	1	
1221 Appropriations transferred from other acct [012-1115] .....		13	
1260 Appropriations, mandatory (total) .....	5	14	
1900 Budget authority (total) .....	45	94	67
1930 Total budgetary resources available .....	61	106	110
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	43	45
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	1	1	
1953 Expired unobligated balance, end of year .....	1		

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	32	14
3010 Obligations incurred, unexpired accounts .....	49	63	65
3020 Outlays (gross) .....	-40	-81	-76
3050 Unpaid obligations, end of year .....	32	14	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	32	14
3200 Obligated balance, end of year .....	32	14	3

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	40	80	67
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	60	50
4011 Outlays from discretionary balances .....	9	10	20
4020 Outlays, gross (total) .....	28	70	70
Mandatory:			
4090 Budget authority, gross .....	5	14	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		3	
4101 Outlays from mandatory balances .....	12	8	6
4110 Outlays, gross (total) .....	12	11	6
4180 Budget authority, net (total) .....	45	94	67
4190 Outlays, net (total) .....	40	81	76

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	45	94	67
Outlays .....	40	81	76
Legislative proposal, subject to PAYGO:			
Budget Authority .....			62
Outlays .....			47

Total:	2015 actual	2016 est.	2017 est.
Budget Authority .....	45	94	129
Outlays .....	40	81	123

The 2017 Budget requests \$66,819,000 million for the Land Acquisition accounts. This heading consolidates land acquisition authorities for acquisition of lands, waters, or interest therein, as authorized by law. Consistent with the President's commitment to the America's Great Outdoors Initiative, the Budget will provide funding for land acquisition through the Land and Water Conservation Fund to support the acquisition of high-priority forests and grasslands. This program is included in the proposal for mandatory funding for the Land and Water Conservation Fund. The 2017 Federal Land Acquisition program builds on efforts started in 2013 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve shared highest priority conservation goals.

**Land Acquisition.**—Lands and other interests are acquired adjacent to areas of the National Forest System (NFS) for such purposes as outdoor recreation, wilderness management, wildlife habitat conservation, watershed protection and enhancement, resource management, and land consolidation.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes a total of \$900 million 2017, including \$475 million in discretionary funding and \$425 million in mandatory funding, and to permanently authorize \$900 million in annual mandatory funding, for the Departments of Agriculture and the Interior Land and Water Conservation Fund programs beginning in 2018.

**Acquisition of Lands for National Forests, Special Acts.**—To acquire lands within critical watersheds to provide soil stabilization and restoration of vegetation. Public Laws 76-589, 76-591 and 78-310 (54 Stat. 297, 298, 299, and 402; and 58 Stat. 227-228) authorize appropriations for the purchase of lands within the following national forests: the Cache, Uinta, and Wasatch, in Utah; the Toiyabe, in Nevada; and the Angeles, Cleveland, San Bernardino, and Sequoia, in California. Appropriations are made from receipts on these national forests.

**Acquisition of Lands to Complete Land Exchanges.**—Deposits are made by State, county, or municipal governments, public school authorities, or non-Federal parties, and are used to acquire lands for the National Forest System or other authorized purposes.

**Land Facilities Enhancement Fund.**—This fund includes the Conveyance of Administrative Sites Program and the Sites Specific Lands Acts Program. These programs enable specific national forests and grasslands to convey land or facilities and use the proceeds to acquire, construct, or improve land and facilities within the same national forest or State. They also provide for the realignment of the agency's facility portfolio by providing an incentive for local managers to liquidate obsolete or underutilized assets and reinvest in assets that best support the agency's mission (U.S.C. 590d note).

Object Classification (in millions of dollars)

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	10	20	11
32.0 Land and structures .....	30	34	45
99.0 Direct obligations .....	48	62	64
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	49	63	65

Employment Summary

Identification code 012-9923-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	54	54	54

3001	Allocation account civilian full-time equivalent employment .....	67	67	67
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LAND ACQUISITION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-9923-4-2-302	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221	Appropriations transferred from other acct (014-5005) ....		62
1930	Total budgetary resources available .....		62
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		62
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020	Outlays (gross) .....		-47
3050	Unpaid obligations, end of year .....		-47
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		-47
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		62
Outlays, gross:			
4100	Outlays from new mandatory authority .....		47
4180	Budget authority, net (total) .....		62
4190	Outlays, net (total) .....		47

FOREST SERVICE PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9921-0-2-999	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	186	143	189
0198	Unappropriated receipt and reconciliation adjustment .....	-78		
0199	Balance, start of year .....	108	143	189
Receipts:				
Current law:				
1130	National Forests Fund .....	70		
1130	National Forests Fund, Payments to States .....	83	117	56
1130	Timber Roads, Purchaser Elections .....	2	2	2
1130	National Forests Fund, Roads and Trails for States .....	-35		
1130	Timber Salvage Sales .....	38	20	20
1130	Deposits, Brush Disposal .....	10	9	9
1130	Rents and Charges for Quarters, Forest Service .....	9	7	7
1130	Timber Sales Pipeline Restoration Fund .....	8	7	7
1130	Recreational Fee Demonstration Program, Forest Service .....	79	67	68
1130	Midewin National Tallgrass Prairie Rental Fees .....		1	1
1130	Charges, User Fees, and Natural Resource Utilization, Land between the Lakes, Forest Service .....	4	4	4
1130	Administration of Rights-of-way and Other Land Uses .....	2	2	2
1130	Miscellaneous Collections, Valles Caldera Fund .....	1		
1130	Funds Retained, Stewardship Contracting Product Sales .....	14	14	14
1130	National Grasslands .....	39	21	21
1130	Miscellaneous Special Funds, Forest Service .....	192	13	13
1199	Total current law receipts .....	516	284	224
Proposed:				
1230	National Forests Fund, Payments to States .....			61
1999	Total receipts .....	516	284	285
2000	Total: Balances and receipts .....	624	427	474
Appropriations:				
Current law:				
2101	Stewardship Contracting Product Sales .....	-13	-14	-14
2101	Forest Service Permanent Appropriations .....	-400	-227	-227
2103	Stewardship Contracting Product Sales .....	-1	-1	
2103	Forest Service Permanent Appropriations .....	-10	-10	
2132	Stewardship Contracting Product Sales .....	1	1	
2132	Forest Service Permanent Appropriations .....	13	13	
2199	Total current law appropriations .....	-410	-238	-241
Proposed:				
2201	Forest Service Permanent Appropriations .....			-61
2999	Total appropriations .....	-410	-238	-302

5098	Unappropriated receipt and reconciliation adjustment .....	-71		
5099	Balance, end of year .....	143	189	172

Program and Financing (in millions of dollars)

Identification code 012-9921-0-2-999	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Brush disposal (5206) .....	9	9	9
0002	Restoration of Forest Lands and Improvements (5215) .....	61	99	86
0003	Recreation fee demonstration / enhancement programs (5268) .....	64	64	64
0004	Timber Roads - Purchaser Election program (5202) .....		1	1
0005	Timber Salvage Sale program (5204) .....	30	30	30
0006	Timber Pipeline Restoration fund (includes forest botanical products) (5264) .....	7	7	7
0008	Midewin Tallgrass Prairie funds (5277) .....	1	1	1
0009	Operation and maintenance of quarters (5219) .....	8	8	8
0010	Land between the lakes management fund (5360) .....	4	4	4
0012	Administration of rights-of-way and other land uses (5361 - URRF, URMN) .....	2	2	2
0013	Secure Rural Schools - National Forest Fund (5201) .....	103	103	50
0014	Secure Rural Schools - transfers from Treasury (1117) .....	154	145	
0015	Payments to Minnesota (5213) .....	6	6	6
0016	Payments to Counties - National Grasslands (5896) .....	28	28	21
0799	Total direct obligations .....	477	507	289
0801	Admin rights of way - Reimbursable program (5361 - URMJ) ....	4	4	4
0900	Total new obligations .....	481	511	293
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	601	341	400
1010	Unobligated balance transfer to other accts (012-1115) .....	-315		
1011	Unobligated balance transfer from other acct (014-1618) ....	1		
1021	Recoveries of prior year unpaid obligations .....	6		
1050	Unobligated balance (total) .....	293	341	400
Budget authority:				
Appropriations, discretionary:				
1130	Appropriations permanently reduced .....			-17
Appropriations, mandatory:				
1200	Appropriation .....	154		
1201	Appropriation (special or trust fund) .....	400	227	227
1203	Appropriation (previously unavailable) .....	10	10	
1220	Appropriations transferred to other acct (012-1115) .....	-38		
1220	Appropriations transferred to other acct VCNP (014-9928) .....	-1		
1221	Appropriations transferred from other acct (012-1115) ....	11	342	
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-13	-13	
1260	Appropriations, mandatory (total) .....	523	566	227
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	6	4	4
1900	Budget authority (total) .....	529	570	214
1930	Total budgetary resources available .....	822	911	614
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	341	400	321
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	79	82	10
3010	Obligations incurred, unexpired accounts .....	481	511	293
3020	Outlays (gross) .....	-472	-583	-276
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050	Unpaid obligations, end of year .....	82	10	27
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	79	82	10
3200	Obligated balance, end of year .....	82	10	27
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-17
Outlays, gross:				
4010	Outlays from new discretionary authority .....			-17
Mandatory:				
4090	Budget authority, gross .....	529	570	231
Outlays, gross:				
4100	Outlays from new mandatory authority .....	157	397	143
4101	Outlays from mandatory balances .....	315	186	150
4110	Outlays, gross (total) .....	472	583	293

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 012-9921-0-2-999	2015 actual	2016 est.	2017 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-6	-4	-4
4180 Budget authority, net (total) .....	523	566	210
4190 Outlays, net (total) .....	466	579	272

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	523	566	210
Outlays .....	466	579	272
Legislative proposal, subject to PAYGO:			
Budget Authority .....			225
Outlays .....			191
Total:			
Budget Authority .....	523	566	435
Outlays .....	466	579	463

**Brush Disposal.**—Funds from payments by purchasers of National Forest timber are used to dispose of or treat slash and other debris resulting from cutting operations (16 U.S.C. 490).

**Restoration of Forest Lands and Improvements.**—Funds from a) forfeiture of deposits and bonds by permittees or timber purchasers for failure to complete performance of improvement, protection, or rehabilitation work required under the permit or timber sale contract; or b) the result of a judgment, compromise, or settlement of any claim, involving present or potential damage to lands or improvements are used for the improvement, protection, or rehabilitation of lands under the administration of the Forest Service (16 U.S.C. 579c).

**Recreation Fees, Forest Service (also referred to as the Federal Lands Recreation Enhancement Fund).**—Fees collected from users of recreation facilities are used to pay for on-the-ground operation, maintenance, and improvement of recreation sites and services to maintain and enhance recreation opportunities, visitor experiences, and related habitat. (16 U.S.C. 6806 et seq.). The Administration proposes a permanent extension of the recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on September 30, 2017.

**Timber Purchaser Election Roads Construction.**—Funds from timber receipts are used to construct or reconstruct roads for purchasers of timber who qualify as small businesses and elect to have the Forest Service construct the roads designated under the timber sale contract (16 U.S.C. 472a(i)).

**Timber Salvage Sales.**—Funds are used for salvage of insect-infested, dead, damaged, or down timber, and to remove associated trees for stand improvement (16 U.S.C. 472a(h)).

**Timber Sales Pipeline Restoration Fund.**—Funds are used for the preparation of timber sales and funding the backlog of recreation projects on National Forest System lands (16 U.S.C. 1611 note).

**Forest Botanical Products.**—Fees are based on the fair market value for the sale of forest botanical products and cover the costs of analyzing, granting, modifying, or administering the authorization for harvesting, including the costs for environmental analyses (16 U.S.C. 528 note).

**Midewin National Tallgrass Prairie funds.**—Funds collected through user and rental fees (Public Law 104-106, Div. B, [Title XXIX, sec. 2915 (b) through (f)], Feb. 10, 1996, 110 Stat. 601) can be used as follows:

**Midewin National Tallgrass Prairie Rental Fees.**—Available receipts from rental fees may be used to cover the cost to the United States of ecosystem restoration, prairie improvements, and administrative activities directly related to those activities at the Midewin National Tallgrass Prairie.

**Midewin National Tallgrass Prairie Restoration Fund.**—Receipts from grazing fees, agricultural leases for row crops, sales of surplus equipment, and the salvage value proceeds from the sale of any facilities and improve-

ments can be used to cover the cost of restoration of ecosystems; construction of a visitor center, recreational facilities, trails, and administrative office; prairie improvement; and operation and maintenance.

**Operation and Maintenance of Quarters.**—Quarters rental deductions are collected from employees occupying Forest Service housing facilities and are available for the maintenance and operation of employee-occupied quarters (5 U.S.C. 5911).

**Land Between the Lakes Management Fund.**—Amounts received from charges, user fees and natural resource use on the Land Between the Lakes National Recreation Area (LBNRA) are deposited into this fund and are available for the management of the LBNRA, including payments, salaries, and expenses (16 U.S.C. 460III-24) (P.L. 105-277, div. A, Sec. 101(e) [title V, Sec. 524], Oct. 21, 1998, 112 Stat. 2681-315).

**Administration of Rights-of-Way Program (Cost Recovery Lands Minor Projects), including the Reimbursable Program (Cost Recovery Lands Major Projects).**—Fees collected from applicants and holders of special use authorizations are available to pay for processing applications and monitoring compliance with special use authorizations. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82-137; P.L. 66-146; P.L. 94-579; 113 Stat. 1501A-196197 as amended by 118 Stat. 3105; 119 Stat. 555 and P.L. 110-161; 16 U.S.C. 46016d; 117 Stat. 294-297). This fund also includes:

**Commercial Filming.**—Collection of fees from commercial filming and still photography permits for maintenance of the filming site. (16 U.S.C. 4601-6d) (P. L. 106-206).

**Organizational Camps.**—Collection of land use fees from organizational camps located on National Forest System lands. (16 U.S.C. 6231 et seq.) (P.L. 108-7).

**Payments to States.**—The Secure Rural Schools and Community Self-Determination Act (SRS Act) provides for transitional assistance to rural counties affected by the decline in revenue from timber sales on Federal lands. This authority was extended through September 30, 2016, pursuant to P.L. 114-10, the Medicare Access and CHIP Reauthorization Act of 2015. Payments were made in FY 2015 with FY 2014 receipts.

If the SRS Act is not reauthorized, States will revert to the Payments to States Act of 1908, as amended (16 U.S.C. 500) which requires, with a few exceptions, that 25 percent of all monies received from the national forests and deposited into the National Forest Fund during a fiscal year from timber, grazing, special-use permits, power and mineral leases, and admission and user fees be paid to the States in which the national forests are located, for public schools and public roads in the county or counties in which the national forests are situated.

The Budget reflects a mandatory reauthorization and five-year phase-out, through 2021, of the SRS Act. This SRS proposal revises the allocation split between the three portions of the program from the current authority emphasizing enhancement of forest ecosystems, restoration and improvement of land health and water quality and the increase of economic activity.

**Payment to Minnesota.**—The State of Minnesota is paid 0.75 percent of the appraised value of certain Superior National Forest lands in the counties of Cook Lake and St. Louis for distribution to these counties (16 U.S.C. 577g).

**Payments to Counties, National Grasslands.**—This program annually provides 25 percent of net revenues from the use of Title III-Bankhead-Jones Acquired Lands to counties in which Title III-Bankhead-Jones Acquired Lands are located for funding public schools and roads. (7 U.S.C. 1012).

**Roads and Trails (10 Percent) Fund.**—10 percent of all National Forest Fund receipts received by the Forest Service are used to repair or reconstruct roads, bridges, and trails on NFS lands to correct road and trail deficiencies that adversely affect ecosystems. Since FY 2008, Congress has directed that funds becoming available be transferred to Treasury.

**Licensee Program.**—Funds from fees for the use of characters by private enterprises are collected under regulations promulgated by the Secretary. The licensee program includes Smokey Bear to further the nationwide

forest fire prevention campaign (16 U.S.C. 580p(2)) and Woodsy Owl to promote wise use of the environment (16 U.S.C. 580p(1)).

**Quinault Special Management Area.**—The Forest Service manages the natural resources and distributes proceeds from the sale of forest products in the Quinault Special Management Area of the Olympic National Forest between the State of Washington (45 percent), the Quinault Tribe (45 percent) and the Quinault Special Management Area fund (10 percent) for use by the Olympic National Forest to administer future timber sales. (P.L. 100–638) (102 Stat. 3327).

**Hardwood Technology Transfer and Applied Research Fund.**—Funds collected from leasing the Wood Education and Research Center (WERC) wood shop and rough mill under a special use permit are available for the management and operation of the WERC and the payment of salaries and expenses (P.L. 106–113, div. B, 1000(a)(3) [Title III, 332], Nov. 29, 1999, 113 Stat. 1535, 1501A197).

Object Classification (in millions of dollars)			
Identification code 012–9921–0–2–999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	42	42	42
11.3 Other than full-time permanent .....	11	11	11
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	56	56	56
12.1 Civilian personnel benefits .....	18	18	18
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	51	84	75
25.3 Other goods and services from Federal sources .....	11	10	11
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	6	4	6
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	319	319	110
42.0 Insurance claims and indemnities .....	1	1	1
44.0 Refunds .....	3	3	
99.0 Direct obligations .....	477	507	289
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	481	511	293

**Employment Summary**

Identification code 012–9921–0–2–999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,098	1,098	1,098
2001 Reimbursable civilian full-time equivalent employment .....	33	33	33

**FOREST SERVICE PERMANENT APPROPRIATIONS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 012–9921–4–2–999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			164
1201 Appropriation (special or trust fund) .....			61
1260 Appropriations, mandatory (total) .....			225
1900 Budget authority (total) .....			225
1930 Total budgetary resources available .....			225
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			225
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			–191
3050 Unpaid obligations, end of year .....			–191
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			–191

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			225
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			191
4180 Budget authority, net (total) .....			225
4190 Outlays, net (total) .....			191

**WORKING CAPITAL FUND**

**Program and Financing (in millions of dollars)**

Identification code 012–4605–0–4–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Working capital fund .....	322	322	316
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	210	148	71
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	215	148	71
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	318	245	245
1701 Change in uncollected payments, Federal sources .....	–63		
1750 Spending auth from offsetting collections, disc (total) .....	255	245	245
1930 Total budgetary resources available .....	470	393	316
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	148	71	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	63	128
3010 Obligations incurred, unexpired accounts .....	322	322	316
3020 Outlays (gross) .....	–306	–257	–256
3040 Recoveries of prior year unpaid obligations, unexpired .....	–5		
3050 Unpaid obligations, end of year .....	63	128	188
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–63		
3070 Change in uncollected pymts, Fed sources, unexpired .....	63		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	–11	63	128
3200 Obligated balance, end of year .....	63	128	188
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	255	245	245
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	189	208	208
4011 Outlays from discretionary balances .....	117	49	48
4020 Outlays, gross (total) .....	306	257	256
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	–144	–66	–67
4033 Non-Federal sources .....	–174	–179	–178
4040 Offsets against gross budget authority and outlays (total) ...	–318	–245	–245
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	63		
4080 Outlays, net (discretionary) .....	–12	12	11
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–12	12	11

The Working Capital Fund is a self-sustaining revolving fund that provides services to national forests, to research experiment stations, to other Federal agencies when necessary, to State and private agencies as provided by law, and to persons who cooperate with the Forest Service in fire control and other authorized programs. Forestry-related supply and support services include:

**Equipment Services.**—The Fund owns, operates, maintains, replaces, and repairs common-use, motor-driven, and similar equipment. This equipment is rented to administrative units including national forests, research experiment stations, other Forest Service units, and to other federal and non-federal agencies, at rates which recover the cost of operation, repair and maintenance, management, and depreciation. The rental rates also include

WORKING CAPITAL FUND—Continued

an increment which, when added to depreciation cost recovery and the residual value of equipment, provides sufficient funds to replace the equipment. The Budget includes Forest Service implementation, in conjunction with the General Services Administration, of a vehicle allocation methodology that analyzes fleet vehicle effectiveness, life cycle costs, vehicle pooling, procurement practices, and reduction of operating costs.

*Aircraft Services.*—The Fund operates, maintains, and repairs Forest Service-owned aircraft used in fire surveillance and suppression and in other Forest Service programs. Aircraft replacement costs are financed from either appropriated funds or the Forest Service Working Capital Fund, or a combination of both.

*Supply Services.*—The Fund operates the following common services and provides for cost-recovery of Working Capital Fund Program Management: photo reproduction laboratories that store, reproduce, and supply aerial photographs, aerial maps, and other photographs of national forest lands. Photographic reproductions are sold to national forests, research experiment stations, and others at cost. Sign shops that manufacture and supply special signs for the national forests for use in regulating traffic and as information to the public and other users of the national forests. Signs are sold to national forests and research experiment stations at cost.

*Nurseries.*—The Fund operates seed supply services that provide tree seeds for direct seeding or sowing in nurseries for the production of trees. Activities include purchase or collection of cones, extraction of seeds, cleaning and testing, and storage and delivery. The fund operates in conjunction with forest tree nurseries and cold storage facilities for storage of tree seedlings. Tree seedlings are sold to national forests, State foresters, and other cooperators at cost.

Object Classification (in millions of dollars)

Identification code 012-4605-0-4-302	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	35	35
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	38	38	38
12.1 Civilian personnel benefits .....	14	14	14
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	94	94	92
25.3 Other goods and services from Federal sources .....	5	5	5
25.7 Operation and maintenance of equipment .....	38	38	36
26.0 Supplies and materials .....	43	43	42
31.0 Equipment .....	83	83	82
99.9 Total new obligations .....	322	322	316

Employment Summary

Identification code 012-4605-0-4-302	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	597	597	597

Trust Funds

FOREST SERVICE TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9974-0-7-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	5	11	17
Receipts:			
Current law:			
1110 Transfers from General Fund of Amounts Equal to Certain Customs Duties, Reforestation Trust Fund .....	30	30	30
1130 Forest Service Cooperative Fund .....	78	54	55

1199 Total current law receipts .....	108	84	85
1999 Total receipts .....	108	84	85
2000 Total: Balances and receipts .....	113	95	102
Appropriations:			
Current law:			
2101 Forest Service Trust Funds .....	-108	-84	-84
2132 Forest Service Trust Funds .....	6	6	.....
2199 Total current law appropriations .....	-102	-78	-84
2999 Total appropriations .....	-102	-78	-84
5099 Balance, end of year .....	11	17	18

Program and Financing (in millions of dollars)

Identification code 012-9974-0-7-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Cooperative work trust fund (8028 - CWKV/K2) .....	271	63	63
0002 Cooperative work advance payments (8028 - CWF2) .....	.....	15	15
0003 Reforestation trust fund (8046 - RTRT) .....	32	27	27
0799 Total direct obligations .....	303	105	105
0801 Reimbursable program-coop work other (8028 - CWFS) .....	28	29	29
0900 Total new obligations .....	331	134	134
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	343	148	113
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	346	148	113
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	108	84	84
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-6	-6	.....
1260 Appropriations, mandatory (total) .....	102	78	84
Spending authority from offsetting collections, mandatory:			
1800 Collected (CWFS) .....	31	18	15
1801 Change in uncollected payments, Federal sources .....	.....	3	.....
1850 Spending auth from offsetting collections, mand (total) .....	31	21	15
1900 Budget authority (total) .....	133	99	99
1930 Total budgetary resources available .....	479	247	212
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	148	113	78
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	44	45	33
3010 Obligations incurred, unexpired accounts .....	331	134	134
3020 Outlays (gross) .....	-327	-146	-126
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	45	33	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	.....	-3	.....
3090 Uncollected pymts, Fed sources, end of year .....	-3	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	41	42	27
3200 Obligated balance, end of year .....	42	27	35

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	133	99	99
Outlays, gross:			
4100 Outlays from new mandatory authority .....	46	56	54
4101 Outlays from mandatory balances .....	281	90	72
4110 Outlays, gross (total) .....	327	146	126
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	.....	-3	.....
4123 Non-Federal sources .....	-31	-15	-15
4130 Offsets against gross budget authority and outlays (total) .....	-31	-18	-15
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	.....	-3	.....
4160 Budget authority, net (mandatory) .....	102	78	84
4170 Outlays, net (mandatory) .....	296	128	111
4180 Budget authority, net (total) .....	102	78	84

4190	Outlays, net (total) .....	296	128	111
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	6	6	6
5001	Total investments, EOY: Federal securities: Par value .....	6	6	6

*Cooperative Work Trust Fund-Knutson Vandenberg.*—Funds, including deposits from purchasers of timber, are received and used for specified work in forest investigations, protection, and improvement of the National Forest System, including protection, reforestation, and administration of private lands adjacent to National Forests (7 U.S.C. 2269; 16 U.S.C. 498, 535, 572, 572a, 576b, 1643; and 31 U.S.C. 1321).

*Cooperative Work Trust Fund-Advanced Payments (Non-Agreement Based).*—This fund is used to collect deposits received from partners and cooperators for protecting and improving resources of the National Forest System as authorized by permits or sale contracts. Within this fund, deposits from multiple contributors can be pooled to support a wide variety of activities that benefit programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 572 and 31 U.S.C. 1321.

*Reforestation Trust Fund.*—Amounts from this account are used for reforestation and timber stand improvement (16 U.S.C. 1606a(d)).

*Cooperative Work Trust Fund-Reimbursable Program (Agreement Based).*—This fund is used to collect deposits received from partners and cooperators for protecting and improving resources of the National Forest System as authorized by cooperative agreements. Deposited funds support a wide variety of activities that benefit and support programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 498, 16 U.S.C. 532–537, and 31 U.S.C. 1321.

*Land Between the Lakes Trust Fund.*—Interest earned from funds transferred by the Tennessee Valley Authority is available for public education, grants, recreation internships, conservation and multiple-use management of the Land Between the Lakes. Annual trust fund earnings and program expenditures are less than \$1 million (16 U.S.C. 460III-31).

**Object Classification (in millions of dollars)**

Identification code 012–9974–0–7–302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	33	33	33
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	38	38	38
12.1 Civilian personnel benefits .....	14	14	14
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	32	32	32
25.3 Other goods and services from Federal sources .....	7	7	7
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
94.0 Financial transfers .....	197		
99.0 Direct obligations .....	302	105	105
99.0 Reimbursable obligations .....	26	26	26
99.5 Adjustment for rounding .....	3	3	3
99.9 Total new obligations .....	331	134	134

**Employment Summary**

Identification code 012–9974–0–7–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	645	645	645

2001 Reimbursable civilian full-time equivalent employment .....	152	152	152
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**ADMINISTRATIVE PROVISIONS—FOREST SERVICE**

(INCLUDING TRANSFERS OF FUNDS)

Appropriations to the Forest Service for the current fiscal year shall be available for: (1) purchase of passenger motor vehicles; acquisition of passenger motor vehicles from excess sources, and hire of such vehicles; purchase, lease, operation, maintenance, and acquisition of aircraft to maintain the operable fleet for use in Forest Service wildland fire programs and other Forest Service programs; notwithstanding other provisions of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft; (2) services pursuant to 7 U.S.C. 2225, and not to exceed \$100,000 for employment under 5 U.S.C. 3109; (3) purchase, erection, and alteration of buildings and other public improvements (7 U.S.C. 2250); (4) acquisition of land, waters, and interests therein pursuant to 7 U.S.C. 428a; (5) for expenses pursuant to the Volunteers in the National Forest Act of 1972 (16 U.S.C. 558a, 558d, and 558a note); (6) the cost of uniforms as authorized by 5 U.S.C. 5901–5902; and (7) for debt collection contracts in accordance with 31 U.S.C. 3718(c).

Any appropriations or funds available to the Forest Service may be transferred to the Wildland Fire Management appropriation for forest firefighting, emergency rehabilitation of burned-over or damaged lands or waters under its jurisdiction, and fire preparedness due to severe burning conditions upon the Secretary's notification of the House and Senate Committees on Appropriations that all fire suppression funds appropriated under the [headings] heading "Wildland Fire Management" [and "FLAME Wildfire Suppression Reserve Fund"] will be obligated within 30 days [Provided, That all funds used pursuant to this paragraph must be replenished by a supplemental appropriation which must be requested as promptly as possible].

Funds appropriated to the Forest Service shall be available for assistance to or through the Agency for International Development in connection with forest and rangeland research, technical information, and assistance in foreign countries, and shall be available to support forestry and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with U.S., private, and international organizations. The Forest Service, acting for the International Program, may sign direct funding agreements with foreign governments and institutions as well as other domestic agencies (including the U.S. Agency for International Development, the Department of State, and the Millennium Challenge Corporation), U.S. private sector firms, institutions and organizations to provide technical assistance and training programs overseas on forestry and rangeland management.

Funds appropriated to the Forest Service shall be available for expenditure or transfer to the Department of the Interior, Bureau of Land Management, for removal, preparation, and adoption of excess wild horses and burros from National Forest System lands, and for the performance of cadastral surveys to designate the boundaries of such lands.

None of the funds made available to the Forest Service in this Act or any other Act with respect to any fiscal year shall be subject to transfer under the provisions of section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257), section 442 of Public Law 106–224 (7 U.S.C. 7772), or section 10417(b) of Public Law [107–107] 107–171 (7 U.S.C. 8316(b)).

None of the funds available to the Forest Service may be reprogrammed without the advance [approval] notification [of] to the House and Senate Committees on Appropriations in accordance with the reprogramming procedures contained in the explanatory statement [described in section 4 (in the matter preceding division A of this consolidated Act)] accompanying this Act.

Not more than \$82,000,000 of funds available to the Forest Service shall be transferred to the Working Capital Fund of the Department of Agriculture and not more than \$14,500,000 of funds available to the Forest Service shall be transferred to the Department of Agriculture for Department Reimbursable Programs, commonly referred to as Greenbook charges. Nothing in this paragraph shall prohibit or limit the use of reimbursable agreements requested by the Forest Service in order to obtain services from the Department of Agriculture's National Information Technology Center and the Department of Agriculture's International Technology Service.

Of the funds available to the Forest Service, up to \$5,000,000 shall be available for priority projects within the scope of the approved budget, which shall be carried out by the Youth Conservation Corps and shall be carried out under the authority of the Public Lands Corps Act of 1993, Public Law 103–82, as amended by Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109–154.

Of the funds available to the Forest Service, \$4,000 is available to the Chief of the Forest Service for official reception and representation expenses.

Pursuant to sections 405(b) and 410(b) of Public Law 101–593, of the funds available to the Forest Service, up to \$3,000,000 may be advanced in a lump sum to the National Forest Foundation to aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for projects on or benefitting National Forest System lands or related to Forest Service programs: *Provided*, That of the Federal funds made available to the Foundation, no more than \$300,000 shall be available for administrative expenses: *Provided further*, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: *Provided further*, That the Foundation may transfer Federal funds to a Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Pursuant to section 2(b)(2) of Public Law 98–244, up to \$3,000,000 of the funds available to the Forest Service may be advanced to the National Fish and Wildlife Foundation in a lump sum to aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs: *Provided*, That such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients: *Provided further*, That the Foundation may transfer Federal funds to a Federal or non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Funds appropriated to the Forest Service shall be available for interactions with and providing technical assistance to rural communities and natural resource-based businesses for sustainable rural development purposes.

Funds appropriated to the Forest Service shall be available for payments to counties within the Columbia River Gorge National Scenic Area, pursuant to section 14(c)(1) and (2), and section 16(a)(2) of Public Law 99–663.

Any funds appropriated to the Forest Service may be used to meet the non-Federal share requirement in section 502(c) of the Older Americans Act of 1965 (42 U.S.C. 3056(c)(2)).

Funds available to the Forest Service, not to exceed \$65,000,000, shall be assessed for the purpose of performing fire, administrative and other facilities maintenance and decommissioning. Such assessments shall occur using a square foot rate charged on the same basis the agency uses to assess programs for payment of rent, utilities, and other support services.

Notwithstanding any other provision of law, any appropriations or funds available to the Forest Service not to exceed \$500,000 may be used to reimburse the Office of the General Counsel (OGC), Department of Agriculture, for travel and related expenses incurred as a result of OGC assistance or participation requested by the Forest Service at meetings, training sessions, management reviews, land purchase negotiations and similar nonlitigation-related matters. Future budget justifications for both the Forest Service and the Department of Agriculture should clearly display the sums previously transferred and the requested funding transfers.

An eligible individual who is employed in any project funded under title V of the Older Americans Act of 1965 (42 U.S.C. 3056 et seq.) and administered by the Forest Service shall be considered to be a Federal employee for purposes of chapter 171 of title 28, United States Code. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

### GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
012–268030 Rural Microenterprise Investment, Downward Reestimate of Subsidy .....	1		
012–275430 Apple Loan Program, Downward Reestimates of Subsidies .....	4		
012–271130 Rural Telephone Bank Loans, Downward Reestimates of Subsidies .....	2	1	
012–271030 Rural Development Loans, Downward Reestimates of Subsidies .....	1	2	
012–271330 Economic Development Loans, Downward Reestimates of Subsidies .....	1	4	
012–277930 Multifamily Housing Revitalization Fund, Downward Reestimates of Subsidies .....	3	5	
012–275630 Farm Storage Facility Loans, Downward Reestimate of Subsidies .....	13	5	
012–278630 Rural Energy for America Program, Downward Reestimates of Subsidies .....	16	5	
012–267530 Biorefinery Assistance, Downward Reestimates of Subsidies .....		10	
012–270830 P.L. 480 Loan Program, Downward Reestimates of Subsidies .....	12	13	

012–270530 Rural Community Facility, Downward Reestimates of Subsidies .....	38	13	
012–275730 Commodity Credit Corporation Export Guarantee Financing, Downward Reestimate of Subsidies .....	84	25	
012–270630 Rural Housing Insurance, Downward Reestimates of Subsidies .....	268	117	
012–270730 Rural Business and Industry, Downward Reestimates of Subsidies .....	177	121	
012–274630 Downward Reestimates, Distance Learning, Telemedicine, and Broadband Program .....		129	
012–270130 Agriculture Credit Insurance, Downward Reestimates of Subsidies .....	395	165	
012–270330 Rural Water and Waste Disposal, Downward Reestimates of Subsidies .....	43	175	
012–270230 Rural Electrification and Telephone Loans, Downward Reestimates of Subsidies .....	449	650	
012–270310 Rural Water and Waste Disposal, Negative Subsidies .....	1	3	3
012–275610 Negative Subsidies, Farm Storage Facility Loans .....	5	5	5
012–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	21	5	5
012–270110 Agriculture Credit Insurance, Negative Subsidies .....	13	37	30
012–279310 Commodity Credit Corporation Export Guarantee Financing, Negative Subsidies .....	17	34	38
012–181100 National Grasslands .....	83	63	63
012–222100 National Forest Fund .....	36	31	92
012–270610 Rural Housing Insurance, Negative Subsidies .....	105	36	135
012–270510 Rural Community Facility, Negative Subsidies .....	39	131	151
012–270210 Rural Electrification and Telephone Loans, Negative Subsidies .....	199	198	218
012–222100 National Forest Fund .....			–61
General Fund Offsetting receipts from the public .....	2,026	1,983	679
Intragovernmental payments:			
012–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	–6		
General Fund Intragovernmental payments .....	–6		

## TITLE VII—GENERAL PROVISIONS

### GENERAL PROVISIONS

(INCLUDING [RESCISSIONS] CANCELLATIONS AND TRANSFERS OF FUNDS)

SEC. 701. Within the unit limit of cost fixed by law, appropriations and authorizations made for the Department of Agriculture for the current fiscal year under this Act shall be available for the purchase, in addition to those specifically provided for, of not to exceed [71]52 passenger motor vehicles of which [68]52 shall be for replacement only, and for the hire of such vehicles: *Provided*, That notwithstanding this section, the only purchase of new passenger vehicles shall be for those determined by the Secretary to be necessary for transportation safety, to reduce operational costs, and for the protection of life, property, and public safety.

SEC. 702. Notwithstanding section 1535(b) of Title 31, United States Code, work performed by the Working Capital Fund for other Federal entities on an advance or reimbursable basis shall be charged at rates which will return in full all expenses of operation of the Fund, including accrued leave, amortization of fund plant and equipment, amortization of information technology (IT) software and systems (either acquired or donated) and an amount necessary to maintain a reasonable operating reserve, as determined by the Secretary: *Provided*, That notwithstanding any other provision of this Act, the Secretary of Agriculture may transfer unobligated balances of discretionary funds appropriated by this Act or any other available unobligated discretionary balances that are remaining available of the Department of Agriculture to the Working Capital Fund for the acquisition of plant and capital equipment necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture, such transferred funds to remain available until expended: *Provided further*, That none of the funds made available by this Act or any other Act shall be transferred to the Working Capital Fund without the prior approval of the agency administrator: *Provided further*, That none of the funds transferred to the Working Capital Fund pursuant to this section shall be available for obligation without [written notification to and] the prior [approval of] notification to the Committees on Appropriations of both Houses of Congress: [*Provided further*, That none of the funds appropriated by this Act or made available to the Department's Working Capital Fund shall be available for obligation or expenditure to make any changes to the Department's National Finance Center without written notification to and prior approval of the Committees on Appropriations of both Houses of Congress as required by section 717 of this Act: *Provided further*, That of annual income amounts in the Working Capital Fund of the Department of Agriculture allocated for the National Finance Center, the Secretary may reserve not more than 4 percent for the replacement or

acquisition of capital equipment, including equipment for the improvement and implementation of a financial management plan, information technology, and other systems of the National Finance Center or to pay any unforeseen, extraordinary cost of the National Finance Center: *Provided further, That an amount not to exceed four percent of the total annual income to the Working Capital Fund may be retained in the fund for fiscal year 2017, to remain available until expended, to be used for the acquisition of capital equipment, and for the improvement and implementation of Department financial management, IT, and other support systems or to pay any unforeseen, extraordinary cost of the National Finance Center: Provided further, That none of the amounts reserved shall be available for obligation unless the Secretary submits [written] notification of the obligation to the Committees on Appropriations of both Houses of Congress: Provided further, That the limitation on the obligation of funds pending notification to Congressional Committees shall not apply to any obligation that, as determined by the Secretary, is necessary to respond to a declared state of emergency that significantly impacts the operations of the National Finance Center; or to evacuate employees of the National Finance Center to a safe haven to continue operations of the National Finance Center.*

SEC. 703. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 704. No funds appropriated by this Act may be used to pay negotiated indirect cost rates on cooperative agreements or similar arrangements between the United States Department of Agriculture and nonprofit institutions in excess of 10 percent of the total direct cost of the agreement when the purpose of such cooperative arrangements is to carry out programs of mutual interest between the two parties. This does not preclude appropriate payment of indirect costs on grants and contracts with such institutions when such indirect costs are computed on a similar basis for all agencies for which appropriations are provided in this Act.

SEC. 705. Appropriations to the Department of Agriculture for the cost of direct and guaranteed loans made available in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year for the following accounts: the [Rural Development Loan Fund] *Intermediary Relending* program account, the Rural Electrification and Telecommunication Loans program account, and the Rural Housing Insurance Fund program account.

SEC. 706. None of the funds made available to the Department of Agriculture by this Act may be used to acquire new information technology systems or significant upgrades, as determined by the Office of the Chief Information Officer, without the approval of the Chief Information Officer and the concurrence of the Executive Information Technology Investment Review Board: *Provided, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this Act may be transferred to the Office of the Chief Information Officer [without written] unless notification has been transmitted to [and the prior approval of] the Committees on Appropriations of both Houses of Congress: Provided further, That, notwithstanding section 11319 of title 40, United States Code, none of the funds available to the Department of Agriculture for information technology shall be obligated for projects, contracts, or other agreements over \$25,000 prior to receipt of written approval by the Chief Information Officer: Provided further, That the Chief Information Officer may authorize an agency to obligate funds without written approval from the Chief Information Officer for projects, contracts, or other agreements up to \$250,000 based upon the performance of an agency measured against the performance plan requirements [described in the explanatory statement accompanying Public Law 113–235].*

SEC. 707. Funds made available under section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)) in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year.

SEC. 708. Notwithstanding any other provision of law, any former RUS borrower that has repaid or prepaid an insured, direct or guaranteed loan under the Rural Electrification Act of 1936, or any not-for-profit utility that is eligible to receive an insured or direct loan under such Act, shall be eligible for assistance under section 313(b)(2)(B) of such Act in the same manner as a borrower under such Act.

SEC. 709. Except as otherwise specifically provided by law, not more than \$20,000,000 in unobligated balances from appropriations made available for salaries and expenses in this Act for the Farm Service Agency shall remain available through September 30, 2017, for information technology expenses: *Provided, That except as otherwise specifically provided by law, unobligated balances from appropriations made available for salaries and expenses in this Act for the Rural Development mission area shall remain available through September 30, 2017, for information technology expenses.*

SEC. [710] 709. None of the funds appropriated or otherwise made available by this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. [711] 710. In the case of each program established or amended by the Agricultural Act of 2014 (Public Law 113–79), other than by title I or subtitle A of title III of such Act, or programs for which indefinite amounts were provided in that Act, that is authorized or required to be carried out using funds of the Commodity Credit Corporation—

(1) such funds shall be available for salaries and related administrative expenses, including technical assistance, associated with the implementation of the program, without regard to the limitation on the total amount of allotments and fund transfers contained in section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i); and

(2) the use of such funds for such purpose shall not be considered to be a fund transfer or allotment for purposes of applying the limitation on the total amount of allotments and fund transfers contained in such section.

SEC. [712] 711. Of the funds made available by this Act, not more than [\$2,000,000] \$2,900,000 shall be used to cover necessary expenses of activities related to all advisory committees, panels, commissions, and task forces of the Department of Agriculture, except for panels used to comply with negotiated rule makings and panels used to evaluate competitively awarded grants.

SEC. [713] 712. None of the funds in this Act shall be available to pay indirect costs charged against any agricultural research, education, or extension grant awards issued by the National Institute of Food and Agriculture that exceed 30 percent of total Federal funds provided under each award: *Provided, That notwithstanding section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), funds provided by this Act for grants awarded competitively by the National Institute of Food and Agriculture shall be available to pay full allowable indirect costs for each grant awarded under section 9 of the Small Business Act (15 U.S.C. 638).*

SEC. 714. None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out the following:

(1) The Watershed Rehabilitation program authorized by section 14(h)(1) of the Watershed and Flood Protection Act (16 U.S.C. 1012(h)(1));

(2) The Environmental Quality Incentives Program as authorized by sections 1240–1240H of the Food Security Act of 1985 (16 U.S.C. 3839aa–3839aa–8) in excess of \$1,329,000,000: *Provided, That this limitation shall apply only to funds provided by section 1241(a)(5)(C) of the Food Security Act of 1985 (16 U.S.C. 3841(a)(5)(C));*

(3) The Biomass Crop Assistance Program authorized by section 9011 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8111) in excess of \$3,000,000 in new obligational authority; and

(4) The Biorefinery, Renewable Chemical and Biobased Product Manufacturing Assistance program as authorized by section 9003 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8103) in excess of \$27,000,000 of the funding appropriated by subsection (g)(1)(A)(ii) of that section for fiscal year 2016.]

SEC. [715] 713. None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out a program under subsection [(b)(2)(A)(viii)] (b)(2)(A)(ix) of section 14222 of Public Law 110–246 in excess of [\$884,980,000] \$886,000,000, as follows: Child Nutrition Programs Entitlement Commodities—\$465,000,000; State Option Contracts—\$5,000,000; Removal of Defective Commodities—\$2,500,000; *Administration of Section 32 Commodity Purchases—\$35,440,000: Provided, That none of the funds made available in this Act or any other Act shall be used for salaries and expenses to carry out in this fiscal year section 19(i)(1)(E) of the Richard B. Russell National School Lunch Act, as amended, except in an amount that excludes the transfer of \$125,000,000 of the funds to be transferred under subsection (c) of section 14222 of Public Law 110–246, until October 1, [2016] 2017: Provided further, That \$125,000,000 made available on October 1, [2016] 2017, to carry out section 19(i)(1)(E) of the Richard B. Russell National School Lunch Act, as amended, shall be excluded from the limitation described in subsection [(b)(2)(A)(ix)] (b)(2)(A)(x) of section 14222 of Public Law 110–246: Provided further, That none of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries or expenses of any employee of the Department of Agriculture or officer of the Commodity Credit Corporation to carry out clause 3 of section 32 of the Agricultural Adjustment Act of 1935 (Public Law 74–320, 7 U.S.C. 612c, as amended), or for any surplus removal activities or price support activities under section 5 of the Commodity Credit Corporation Charter Act: Provided further, That the available unobligated balances under [(b)(2)(A)(viii)] (b)(2)(A)(ix) of section 14222 of Public Law 110–246 in excess of the limitation set forth in this section, except for the amounts to be transferred pursuant to the first proviso, are hereby permanently [rescinded] cancelled.*

【SEC. 716. None of the funds appropriated by this or any other Act shall be used to pay the salaries and expenses of personnel who prepare or submit appropriations language as part of the President's budget submission to the Congress for programs under the jurisdiction of the Appropriations Subcommittees on Agriculture, Rural Development, Food and Drug Administration, and Related Agencies that assumes revenues or reflects a reduction from the previous year due to user fees proposals that have not been enacted into law prior to the submission of the budget unless such budget submission identifies which additional spending reductions should occur in the event the user fees proposals are not enacted prior to the date of the convening of a committee of conference for the fiscal year 2017 appropriations Act.】

【SEC. 717. (a) None of the funds provided by this Act, or provided by previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming, transfer of funds, or reimbursements as authorized by the Economy Act, or in the case of the Department of Agriculture, through use of the authority provided by section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257) or section 8 of Public Law 89-106 (7 U.S.C. 2263), that—

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) reorganizes offices, programs, or activities; or
- (6) contracts out or privatizes any functions or activities presently performed by Federal employees;

unless the Secretary of Agriculture, the Secretary of Health and Human Services, or the Chairman of the Commodity Futures Trading Commission (as the case may be) notifies in writing and receives approval from the Committees on Appropriations of both Houses of Congress at least 30 days in advance of the reprogramming of such funds or the use of such authority.

(b) None of the funds provided by this Act, or provided by previous Appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming or use of the authorities referred to in subsection (a) involving funds in excess of \$500,000 or 10 percent, whichever is less, that—

- (1) augments existing programs, projects, or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or
- (3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress; unless the Secretary of Agriculture, the Secretary of Health and Human Services, or the Chairman of the Commodity Futures Trading Commission (as the case may be) notifies in writing and receives approval from the Committees on Appropriations of both Houses of Congress at least 30 days in advance of the reprogramming or transfer of such funds or the use of such authority.

(c) The Secretary of Agriculture, the Secretary of Health and Human Services, or the Chairman of the Commodity Futures Trading Commission shall notify in writing and receive approval from the Committees on Appropriations of both Houses of Congress before implementing any program or activity not carried out during the previous fiscal year unless the program or activity is funded by this Act or specifically funded by any other Act.

(d) None of the funds provided by this Act, or provided by previous Appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for—

- (1) modifying major capital investments funding levels, including information technology systems, that involves increasing or decreasing funds in the current fiscal year for the individual investment in excess of \$500,000 or 10 percent of the total cost, whichever is less;
- (2) realigning or reorganizing new, current, or vacant positions or agency activities or functions to establish a center, office, branch, or similar entity with five or more personnel; or
- (3) carrying out activities or functions that were not described in the budget request; unless the agencies funded by this Act notify, in writing, the Committees on Appropriations of both Houses of Congress at least 30 days in advance of using the funds for these purposes.

(e) As described in this section, no funds may be used for any activities unless the Secretary of Agriculture, the Secretary of Health and Human Services, or the Chairman of the Commodity Futures Trading Commission receives from the Committee on Appropriations of both Houses of Congress written or electronic mail confirmation of receipt of the notification as required in this section.】

SEC. 【718】714. Notwithstanding section 310B(g)(5) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932(g)(5)), the Secretary may assess a one-time fee for any guaranteed business and industry loan in an amount that does not exceed 3 percent of the guaranteed principal portion of the loan.

【SEC. 719. None of the funds appropriated or otherwise made available to the Department of Agriculture, the Food and Drug Administration, the Commodity Futures Trading Commission, or the Farm Credit Administration shall be used to transmit or otherwise make available reports, questions, or responses to questions that are a result of information requested for the appropriations hearing process to any non-Department of Agriculture, non-Department of Health and Human Services, non-Commodity Futures Trading Commission, or non-Farm Credit Administration employee.】

【SEC. 720. Unless otherwise authorized by existing law, none of the funds provided in this Act, may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.】

【SEC. 721. No employee of the Department of Agriculture may be detailed or assigned from an agency or office funded by this Act or any other Act to any other agency or office of the Department for more than 60 days in a fiscal year unless the individual's employing agency or office is fully reimbursed by the receiving agency or office for the salary and expenses of the employee for the period of assignment.】

【SEC. 722. None of the funds made available by this Act may be used to pay the salaries and expenses of personnel who provide nonrecourse marketing assistance loans for mohair under section 1201 of the Agricultural Act of 2014 (Public Law 113-79).】

【SEC. 723. Not later than 30 days after the date of enactment of this Act, the Secretary of Agriculture, the Commissioner of the Food and Drug Administration, the Chairman of the Commodity Futures Trading Commission, and the Chairman of the Farm Credit Administration shall submit to the Committees on Appropriations of both Houses of Congress a detailed spending plan by program, project, and activity for all the funds made available under this Act including appropriated user fees, as defined in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).】

【SEC. 724. Funds made available under title II of the Food for Peace Act (7 U.S.C. 1721 et seq.) may only be used to provide assistance to recipient nations if adequate monitoring and controls, as determined by the Administrator of the U.S. Agency for International Development, are in place to ensure that emergency food aid is received by the intended beneficiaries in areas affected by food shortages and not diverted for unauthorized or inappropriate purposes.】

【SEC. 725. There is hereby appropriated \$1,996,000 to carry out section 1621 of Public Law 110-246.】

【SEC. 726. The Secretary shall establish an intermediary loan packaging program based on the pilot program in effect for fiscal year 2013 for packaging and reviewing section 502 single family direct loans. The Secretary shall enter into agreements with current intermediary organizations and with additional qualified intermediary organizations. The Secretary shall work with these organizations to increase effectiveness of the section 502 single family direct loan program in rural communities and shall set aside and make available from the national reserve section 502 loans an amount necessary to support the work of such intermediaries and provide a priority for review of such loans.】

SEC. 【727】715. For loans and loan guarantees that do not require budget authority and the program level has been established in this Act, the Secretary of Agriculture may increase the program level for such loans and loan guarantees by not more than 25 percent: *Provided*, That prior to the Secretary implementing such an increase, the Secretary notifies, in writing, the Committees on Appropriations of both Houses of Congress at least 15 days in advance.

【SEC. 728. There is hereby appropriated for the "Emergency Watershed Protection Program", \$157,000,000, to remain available until expended; for the "Emergency Forestry Restoration Program", \$6,000,000, to remain available until expended; and for the "Emergency Conservation Program", \$108,000,000, to remain available until expended: *Provided*, That \$37,000,000 made available for the "Emergency Watershed Protection Program"; \$2,000,000 made available for the "Emergency Forestry Restoration Program"; and \$91,000,000 made available for the "Emergency Conservation Program" under this section are for necessary expenses resulting from a major disaster declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance

Act (42 U.S.C. 5121 et seq.), and are designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

【SEC. 729. None of the credit card refunds or rebates transferred to the Working Capital Fund pursuant to section 729 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002 (7 U.S.C. 2235a; Public Law 107–76) shall be available for obligation without written notification to, and the prior approval of, the Committees on Appropriations of both Houses of Congress: *Provided*, That the refunds or rebates so transferred shall be available for obligation only for the acquisition of plant and capital equipment necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture.】

【SEC. 730. None of the funds made available by this Act may be used to procure processed poultry products imported into the United States from the People's Republic of China for use in the school lunch program under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), the Child and Adult Food Care Program under section 17 of such Act (42 U.S.C. 1766), the Summer Food Service Program for Children under section 13 of such Act (42 U.S.C. 1761), or the school breakfast program under the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.).】

SEC. 【731】716. In response to an eligible community where the drinking water supplies are inadequate due to a natural disaster, as determined by the Secretary, including drought or severe weather, the Secretary may provide potable water through the Emergency Community Water Assistance Grant Program for an additional period of time not to exceed 120 days beyond the established period provided under the Program in order to protect public health.

SEC. 【732】717. Funds provided by this or any prior Appropriations Act for the Agriculture and Food Research Initiative under 7 U.S.C. 450i(b) shall be made available without regard to section 7128 of the Agricultural Act of 2014 (7 U.S.C. 3371 note), under the matching requirements in laws in effect on the date before the date of enactment of such section: *Provided*, That the requirements of 7 U.S.C. 450i(b)(9) shall continue to apply.

【SEC. 733. (a) For the period beginning on the date of enactment of this Act through school year 2016–2017, with respect to the school lunch program established under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.) or the school breakfast program established under the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.) and final regulations published by the Department of Agriculture in the Federal Register on January 26, 2012 (77 Fed. Reg. 4088 et seq.), the Secretary shall allow States to grant an exemption from the whole grain requirements that took effect on or after July 1, 2014, and the States shall establish a process for evaluating and responding, in a reasonable amount of time, to requests for an exemption: *Provided*, That school food authorities demonstrate hardship, including financial hardship, in procuring specific whole grain products which are acceptable to the students and compliant with the whole grain-rich requirements: *Provided further*, That school food authorities shall comply with the applicable grain component or standard with respect to the school lunch or school breakfast program that was in effect prior to July 1, 2014.

(b) None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to implement any regulations under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), the Healthy, Hunger-Free Kids Act of 2010 (Public Law 111–296), or any other law that would require a reduction in the quantity of sodium contained in federally reimbursed meals, foods, and snacks sold in schools below Target 1 (as described in section 220.8(f)(3) of title 7, Code of Federal Regulations (or successor regulations)) until the latest scientific research establishes the reduction is beneficial for children.】

【SEC. 734. None of the funds made available by this or any other Act may be used to release or implement the final version of the eighth edition of the Dietary Guidelines for Americans, revised pursuant to section 301 of the National Nutrition Monitoring and Related Research Act of 1990 (7 U.S.C. 5341), unless the Secretary of Agriculture and the Secretary of Health and Human Services ensure that each revision to any nutritional or dietary information or guideline contained in the 2010 edition of the Dietary Guidelines for Americans and each new nutritional or dietary information or guideline to be included in the eighth edition of the Dietary Guidelines for Americans—

- (1) is based on significant scientific agreement; and
- (2) is limited in scope to nutritional and dietary information.】

【SEC. 735. (a) Not later than 30 days after the date of the enactment of this Act, the Secretary of Agriculture shall engage the National Academy of Medicine to conduct a comprehensive study of the entire process used to establish the Advisory Committee for the Dietary Guidelines for Americans and the subsequent development of the Dietary Guidelines for Americans, most recently revised pursuant to section

301 of the National Nutrition Monitoring and Related Research Act of 1990 (7 U.S.C. 5341). The panel of the National Academy of Medicine selected to conduct the study shall include a balanced representation of individuals with broad experiences and viewpoints regarding nutritional and dietary information.

(b) The study required by subsection (a) shall include the following:

(1) An analysis of each of the following:

(A) How the Dietary Guidelines for Americans can better prevent chronic disease, ensure nutritional sufficiency for all Americans, and accommodate a range of individual factors, including age, gender, and metabolic health.

(B) How the advisory committee selection process can be improved to provide more transparency, eliminate bias, and include committee members with a range of viewpoints.

(C) How the Nutrition Evidence Library is compiled and utilized, including whether Nutrition Evidence Library reviews and other systematic reviews and data analysis are conducted according to rigorous and objective scientific standards.

(D) How systematic reviews are conducted on longstanding Dietary Guidelines for Americans recommendations, including whether scientific studies are included from scientists with a range of viewpoints.

(2) Recommendations to improve the process used to establish the Dietary Guidelines for Americans and to ensure the Dietary Guidelines for Americans reflect balanced sound science.

(c) There is hereby appropriated \$1,000,000 to conduct the study required by subsection (a).】

【SEC. 736. The unobligated balances identified by the Treasury Appropriation Fund Symbol 12X0113 are rescinded.】

【SEC. 737. None of the funds made available by this Act may be used by the Secretary of Agriculture, acting through the Food and Nutrition Service, to commence any new research and evaluation projects until the Secretary submits to the Committees on Appropriations of both Houses of Congress a research and evaluation plan for fiscal year 2016, prepared in coordination with the Research, Education, and Economics mission area of the Department of Agriculture, and a period of 30 days beginning on the date of the submission of the plan expires to permit Congressional review of the plan.】

【SEC. 738. Of the unobligated prior year funds identified by Treasury Appropriation Fund Symbol 12X1980 where obligations have been cancelled, \$13,000,000 is rescinded.】

【SEC. 739. The unobligated balances identified by the Treasury Appropriation Fund Symbol 12X3318, 12X1010, 12X1090, 12X1907, 12X0402, 12X3508, and 12X3322 are rescinded.】

【SEC. 740. Section 166 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 7286) is amended—

(1) by striking "and title I of the Food, Conservation, and Energy Act of 2008" both places it appears and inserting "title I of the Food, Conservation, and Energy Act of 2008, and Subtitle B of title I of the Agricultural Act of 2014"; and

(2) by amending paragraph (3) of subsection (c) to read as follows:

"(3) APPLICATION OF AUTHORITY.—Beginning with the 2015 crop marketing year, the Secretary shall carry out paragraph (1) under the same terms and conditions as were in effect for the 2008 crop year for loans made to producers under subtitle B of title I of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8701 et seq.)."】

【SEC. 741. (a) There is hereby appropriated \$5,000,000 to provide competitive grants to State agencies for subgrants to local educational agencies and schools to purchase the equipment needed to serve healthier meals, improve food safety, and to help support the establishment, maintenance, or expansion of the school breakfast program, to remain available until expended.

(b) There is hereby appropriated \$7,000,000 to carry out section 749(g) of the Agriculture Appropriations Act of 2010 (Public Law 111–80), to remain available until expended.】

【SEC. 742. Of the unobligated balances identified by the Treasury Appropriation Fund Symbol 12X1072, \$20,000,000 is hereby rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by Congress as an emergency requirement or for disaster relief requirement pursuant to a Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.】

SEC. 【743】718. In carrying out subsection (h) of section 502 of the Housing Act of 1949 (42 U.S.C. 1472), the Secretary of Agriculture shall have the same authority with respect to loans guaranteed under such section and eligible lenders for such loans as the Secretary has under subsections (h) and (j) of section 538 of such Act (42 U.S.C. 1490p-2) with respect to loans guaranteed under such section 538 and eligible lenders for such loans.

【SEC. 744. There is hereby appropriated \$8,000,000, to remain available until expended, to carry out section 6407 of the Farm Security and Rural Investment Act

of 2002 (7 U.S.C. 8107a): *Provided*, That the Secretary launch the program authorized by this section during the 2016 fiscal year and that it be carried out through the Rural Utilities Service: *Provided further*, That, within 60 days of enactment of this Act, the Secretary shall provide a report to the Committees on Appropriations of both Houses of Congress on how the Rural Utilities Service will implement section 6407 during the 2016 fiscal year.】

【SEC. 745. Of the unobligated balances of appropriations in Public Law 108–199, Public Law 109–234, and Public Law 110–28 made available for the "Emergency Watershed Protection Program", \$2,400,000 shall be available for the purposes of such program for any disaster occurring fiscal year 2016 or fiscal year 2017, and shall remain available until expended.】

【SEC. 746. None of the funds made available by this Act may be used to propose, promulgate, or implement any rule, or take any other action with respect to, allowing or requiring information intended for a prescribing health care professional, in the case of a drug or biological product subject to section 503(b)(1) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 353(b)(1)), to be distributed to such professional electronically (in lieu of in paper form) unless and until a Federal law is enacted to allow or require such distribution.】

【SEC. 747. None of the funds made available by this Act may be used to implement, administer, or enforce the final rule entitled "Food Labeling; Nutrition Labeling of Standard Menu Items in Restaurants and Similar Retail Food Establishments" published by the Food and Drug Administration in the Federal Register on December 1, 2014 (79 Fed. Reg. 71156 et seq.) until the later of—

(1) December 1, 2016; or

(2) the date that is one year after the date on which the Secretary of Health and Human Services publishes Level 1 guidance with respect to nutrition labeling of standard menu items in restaurants and similar retail food establishments in accordance with paragraphs (g)(1)(i), (g)(1)(ii), (g)(1)(iii), and (g)(1)(iv) of section 10.115 of title 21, Code of Federal Regulations.】

【SEC. 748. In addition to funds appropriated in this Act, there is hereby appropriated \$250,000,000, to remain available until expended, under the heading "Food for Peace Title II Grants": *Provided*, That the funds made available under this section shall be used for the purposes set forth in the Food for Peace Act for both emergency and non-emergency purposes: *Provided further*, That the funds made available by this section used for emergency programs may be prioritized to respond to emergency food needs involving conflict in the Middle East and to address other urgent food needs around the world: *Provided further*, That of the funds made available under this section, \$20,000,000 shall be used to reimburse the Commodity Credit Corporation for the release of eligible commodities under section 302(f)(2)(A) of the Bill Emerson Humanitarian Trust Act (7 U.S.C. 1736f-1).】

【SEC. 749. None of the funds made available by this Act may be used to notify a sponsor or otherwise acknowledge receipt of a submission for an exemption for investigational use of a drug or biological product under section 505(i) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(i)) or section 351(a)(3) of the Public Health Service Act (42 U.S.C. 262(a)(3)) in research in which a human embryo is intentionally created or modified to include a heritable genetic modification. Any such submission shall be deemed to have not been received by the Secretary, and the exemption may not go into effect.】

【SEC. 750. None of the funds made available by this or any other Act may be used to implement or enforce any provision of the FDA Food Safety Modernization Act (Public Law 111–353), including the amendments made thereby, with respect to the regulation of the distribution, sale, or receipt of dried spent grain byproducts of the alcoholic beverage production process, irrespective of whether such byproducts are solely intended for use as animal feed.】

【SEC. 751. (a) Of the unobligated balances from amounts made available in fiscal year 2015 for the supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), \$220,000,000 are hereby rescinded.

(b) In addition to amounts provided elsewhere in this Act, there is hereby appropriated for "Special Supplemental Nutrition Program for Women, Infants, and Children", \$220,000,000, to remain available until expended, for management information systems, including WIC electronic benefit transfer systems and activities.】

【SEC. 752. (a) The Secretary of Agriculture shall—

(1) within 4 months of the date of enactment of this Act, establish a prioritization process for APHIS to conduct audits or reviews of countries or regions that have received animal health status recognitions by APHIS and provide a description of this process to the Committee on Appropriations of the House, Committee on Appropriations of the Senate, Committee on Agriculture of the House, and Committee on Agriculture, Nutrition, and Forestry of the Senate;

(2) conduct audits in a manner that evaluates the following factors in the country or region being audited, as applicable:

(A) veterinary control and oversight;

(B) disease history and vaccination practices;

(C) livestock demographics and traceability;

(D) epidemiological separation from potential sources of infection;

(E) surveillance practices;

(F) diagnostic laboratory capabilities; and

(G) emergency preparedness and response.

(3) promptly make publicly available the final reports of any audits or reviews conducted pursuant to subsection (2); and

(b) This section shall be applied in a manner consistent with United States obligations under its international trade agreements.】

【SEC. 753. None of the funds made available by this Act may be used to carry out any activities or incur any expense related to the issuance of licenses under section 3 of the Animal Welfare Act (7 U.S.C. 2133), or the renewal of such licenses, to class B dealers who sell dogs and cats for use in research, experiments, teaching, or testing.】

【SEC. 754. No partially hydrogenated oils as defined in the order published by the Food and Drug Administration in the Federal Register on June 17, 2015 (80 Fed. Reg. 34650 et seq.) shall be deemed unsafe within the meaning of section 409(a) and no food that is introduced or delivered for introduction into interstate commerce that bears or contains a partially hydrogenated oil shall be deemed adulterated under sections 402(a)(1) or 402(a)(2)(C)(i) by virtue of bearing or containing a partially hydrogenated oil until the compliance date as specified in such order (June 18, 2018).】

【SEC. 755. Notwithstanding any other provision of law—

(1) the Secretary of Agriculture shall implement section 12106 of the Agricultural Act of 2014 and the amendments made by such section (21 U.S.C. 601 note; Public Law 113–79), including any regulation or guidance the Secretary of Agriculture issues to carry out such section or the amendments made by such section; and

(2) the Secretary of Health and Human Services shall implement section 403(t) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 343(t)), including any regulation or guidance the Secretary of Health and Human Services issues to carry out such section.】

【SEC. 756. There is hereby appropriated \$600,000 for the purposes of section 727 of division A of Public Law 112–55.】

【SEC. 757. In addition to amounts otherwise made available by this Act and notwithstanding the last sentence of 16 U.S.C. 1310, there is appropriated \$4,000,000, to remain available until expended, to implement non-renewable agreements on eligible lands, including flooded agricultural lands, as determined by the Secretary, under the Water Bank Act (16 U.S.C. 1301–1311).】

【SEC. 758. The Secretary shall set aside for Rural Economic Area Partnership (REAP) Zones, until August 15, 2016, an amount of funds made available in title III under the headings of Rural Housing Insurance Fund Program Account, Mutual and Self-Help Housing Grants, Rural Housing Assistance Grants, Rural Community Facilities Program Account, Rural Business Program Account, Rural Development Loan Fund Program Account, and Rural Water and Waste Disposal Program Account, equal to the amount obligated in REAP Zones with respect to funds provided under such headings in the most recent fiscal year any such funds were obligated under such headings for REAP Zones.】

【SEC. 759. (a) Section 281 of the Agricultural Marketing Act of 1946 (7 U.S.C. 1638) is amended—

(1) by striking paragraphs (1) and (7);

(2) by redesignating paragraphs (2), (3), (4), (5), (6), (8), and (9) as paragraphs (1), (2), (3), (4), (5), (6), and (7), respectively; and

(3) in paragraph (1)(A) (as so redesignated)—

(A) in clause (i), by striking "beef," and ", pork,"; and

(B) in clause (ii), by striking "ground beef," and ", ground pork,".

(b) Section 282 of the Agricultural Marketing Act of 1946 (7 U.S.C. 1638a) is amended—

(1) in subsection (a)(2)—

(A) in the heading, by striking "beef," and "pork,";

(B) by striking "beef," and "pork," each place it appears in subparagraphs (A), (B), (C), and (D); and

(C) in subparagraph (E)—

(i) in the heading, by striking "beef, pork,"; and

(ii) by striking "ground beef, ground pork," each place it appears; and

(2) in subsection (f)(2)—

(A) by striking subparagraphs (B) and (C); and

(B) by redesignating subparagraphs (D) and (E) as subparagraphs (B) and (C), respectively.】

【SEC. 760. The Secretary of Agriculture and the Secretary's designees are hereby granted the same access to information and subject to the same requirements applic-

able to the Secretary of Housing and Urban Development as provided in section 453(j) of the Social Security Act (42 U.S.C. 653(j)) and section 6103(l)(7)(D)(ix) of the Internal Revenue Code of 1986 (26 U.S.C. 6103(l)(7)(D)(ix)) to verify the income for individuals participating in sections 502, 504, 521, and 542 of the Housing Act of 1949 (42 U.S.C. 1472, 1474, 1490a, and 1490r).】

【SEC. 761. (a) During fiscal year 2016, the Food and Drug Administration (FDA) shall not allow the introduction or delivery for introduction into interstate commerce of any food that contains genetically engineered salmon until FDA publishes final labeling guidelines for informing consumers of such content; and

(b) Of the amounts made available to the Food and Drug Administration, Salaries and Expenses, not less than \$150,000 shall be used to develop labeling guidelines and implement a program to disclose to consumers whether salmon offered for sale to consumers is a genetically engineered variety.】

SEC. 【762】719. The Secretary may charge a fee for lenders to access Department loan guarantee systems in connection with such lenders' participation in loan guarantee programs of the Rural Housing Service: *Provided*, That the funds collected from such fees shall be made available to the Secretary without further appropriation and such funds shall be deposited into the Rural Development Salaries and Expense Account and shall remain available until expended for obligation and expenditure by the Secretary for administrative expenses of the Rural Housing Service Loan Guarantee Program in addition to other available funds: *Provided further*, That such fees collected shall not exceed \$50 per loan.

SEC. 【763】720. None of the funds made available by this Act or any other Act may be used—

(1) in contravention of section 7606 of the Agricultural Act of 2014 (7 U.S.C. 5940); or

(2) to prohibit the transportation, processing, sale, or use of industrial hemp that is grown or cultivated in accordance with subsection section 7606 of the Agricultural Act of 2014, within or outside the State in which the industrial hemp is grown or cultivated.

【SEC. 764. For an additional amount for "Animal and Plant Health Inspection Service, Salaries and Expenses", \$5,500,000, to remain available until September 30, 2017, for one-time control and management and associated activities directly related to the multiple-agency response to citrus greening.】

【SEC. 765. Section 529(b)(5) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 360ff(b)(5)) is amended by striking "the last day" and all that follows through the period at the end and inserting "September 30, 2016."】

【SEC. 766. Notwithstanding any other provision of law, for purposes of applying the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.)—

(1) the acceptable market name of *Gadus chalcogrammus*, formerly known as *Theragra chalcogramma*, is "pollock"; and

(2) the term "Alaskan Pollock" or "'Alaska Pollock'" may be used in labeling to refer solely to "pollock" harvested in the State waters of Alaska or the exclusive economic zone (as that term is defined in section 3 of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1802)) adjacent to Alaska.】

SEC. 【767】721. None of the funds appropriated or otherwise made available by this Act shall be used to pay the salaries and expenses of personnel—

(1) to inspect horses under section 3 of the Federal Meat Inspection Act (21 U.S.C. 603);

(2) to inspect horses under section 903 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 1901 note; Public Law 104–127); or

(3) to implement or enforce section 352.19 of title 9, Code of Federal Regulations (or a successor regulation).

SEC. 722. *Except as otherwise specifically provided by law, unobligated balances from appropriations made available for salaries and expenses in this Act for the Farm Service Agency and the Rural Development mission area shall remain available through September 30, 2018, for information technology expenses.*

SEC. 723. *The unobligated balances identified by each of the following Treasury Appropriation Fund Symbols, and in the following amounts, respectively, are hereby permanently cancelled: 12X1951, \$620,161.89; 12X1953, \$2,302,342.75; 12X1902, \$352,323.31; 12X1900, \$16,452.44; and 12X1232, \$529,310.95: Provided, That no*

*amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

SEC. 724. *The unobligated balances resulting from offsetting collections identified by Treasury Appropriation Fund Symbols 12X1951, 12X2002, 12X2006, 12X1902, 12X1900, 12X1232, and 12X1980, respectively, are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

SEC. 725. *For the purpose of water and waste disposal guaranteed loans provided under paragraphs (1) and (24) of section 306(a) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1926(a)(1) and 7 U.S.C. 1926(a)(24)), the terms "rural" and "rural areas" mean a city, town, or unincorporated area that has a population of no more than 20,000 inhabitants.*

SEC. 726. *The Secretary may transfer funds between programs within the Rural Water and Waste Disposal Program Account: Provided, That such transfers include those between any loans and grants and any funds unobligated from prior year appropriations for each account.*

SEC. 727. *Amounts made available under this or any prior Act to provide assistance under the emergency conservation program established under title IV of the Agricultural Credit Act of 1978 (16 U.S.C. 2201 et seq.) shall be available to the Secretary of Agriculture for expenses under that program related to recovery efforts in response to natural disasters.*

SEC. 728. *Amounts made available under this or any prior Act to provide assistance under the emergency forest restoration program authorized under 16 U.S.C. 2206 shall be available to the Secretary of Agriculture for expenses under that program related to recovery efforts in response to natural disasters.*

SEC. 729. *Notwithstanding section 251(e)(3)(C) of the Department of Agriculture Reorganization Act of 1994 (7 U.S.C. 6971(e)(3)(C)), funds made available to the Office of the Chief Scientist pursuant to section 251(e)(4)(A) of the Department of Agriculture Reorganization Act of 1994 (7 U.S.C. 6971(e)(4)(A)) shall be used to pay for the salaries and related administrative expenses of Division Chiefs without any limitation on the term of service.*

SEC. 730. *Section 801(e)(4) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 381(e)(4)) is amended—*

(a) *in subparagraph (B) by striking "but shall not exceed \$175 for each certification" and inserting "in an amount specified in subparagraph (E)"; and*

(b) *by adding after subparagraph (D) the following new subparagraphs:*  
 "(E) *The fee for each written export certification issued by the Secretary under this paragraph shall not exceed—*

(i) *\$600 for fiscal year 2017; and*

(ii) *for each subsequent fiscal year, the prior fiscal year maximum amount multiplied by the inflation adjustment under section 379j(c)(2)(C) of this title, applied without regard to the limitation in clause (ii)(II) of such subparagraph.*

(F) *The Secretary shall, for each fiscal year, publish in the Federal Register a notice of the export certification fee under this paragraph for such year, not later than 60 days before such fee takes effect."*

SEC. 731. *Funds appropriated by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017 to carry out Part I of the Foreign Assistance Act of 1961 which are made available through grants or cooperative agreements to strengthen food security in developing countries and which are consistent with the goals of Title II of the Food for Peace Act may be deemed to be expended on nonemergency food assistance for the purposes of section 412(e) of the Food for Peace Act, 7 U.S.C. 1736f(e).*

SEC. 732. *Of the funds available under sections 14(h)(1)(A) through 14(h)(1)(G) for fiscal year 2017, \$54,000,000 are hereby permanently cancelled. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.)*



# DEPARTMENT OF COMMERCE

## DEPARTMENTAL MANAGEMENT

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the management of the Department of Commerce provided for by law, including not to exceed \$4,500 for official reception and representation, **【\$58,000,000】 \$74,503,000: Provided**, That within amounts provided, the Secretary of Commerce may use up to \$2,500,000 to engage in activities to provide businesses and communities with information about and referrals to relevant Federal, State, and local government programs. (*Department of Commerce Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 013-0120-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Executive direction .....	35		
0002 Departmental staff services .....	24		
0003 Operations and Administration .....		58	75
0799 Total direct obligations .....	59	58	75
0801 Salaries and Expenses (Reimbursable) .....	91	98	97
0900 Total new obligations .....	150	156	172
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	1	
1011 Unobligated balance transfer from other acct [072-1037] ....	1		
1050 Unobligated balance (total) .....	5	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	56	58	75
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	75	97	97
1701 Change in uncollected payments, Federal sources .....	16		
1750 Spending auth from offsetting collections, disc (total) .....	91	97	97
1900 Budget authority (total) .....	147	155	172
1930 Total budgetary resources available .....	152	156	172
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	32	26
3010 Obligations incurred, unexpired accounts .....	150	156	172
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-142	-162	-170
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	32	26	28
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18	-19	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-16		
3071 Change in uncollected pymts, Fed sources, expired .....	15		
3090 Uncollected pymts, Fed sources, end of year .....	-19	-19	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	13	7
3200 Obligated balance, end of year .....	13	7	9

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	147	155	172
Outlays, gross:			
4010 Outlays from new discretionary authority .....	122	148	163
4011 Outlays from discretionary balances .....	20	14	7
4020 Outlays, gross (total) .....	142	162	170
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-85	-97	-97
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-86	-97	-97
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-16		
4052 Offsetting collections credited to expired accounts .....	11		

4060 Additional offsets against budget authority only (total) .....	-5		
4070 Budget authority, net (discretionary) .....	56	58	75
4080 Outlays, net (discretionary) .....	56	65	73
4180 Budget authority, net (total) .....	56	58	75
4190 Outlays, net (total) .....	56	65	73

The Salaries and Expenses account funds Operations and Administration, which provides policy oversight and oversees day-to-day operations of the Department. This Budget also includes funding for development of an agency Idea Lab to develop internal capacity to increase the effectiveness and efficiency of government operations, and a Digital Service team that will focus on transforming the agency's digital services with the greatest impact to citizens and businesses so they are easier to use and more cost-effective to build and maintain.

Reimbursable program— Provides a centralized collection source for special tasks or costs and their billing to users.

#### Object Classification (in millions of dollars)

Identification code 013-0120-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	21	25	26
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	10	4	15
25.3 Other goods and services from Federal sources .....	16	15	19
99.0 Direct obligations .....	59	58	75
99.0 Reimbursable obligations .....	91	98	97
99.9 Total new obligations .....	150	156	172

#### Employment Summary

Identification code 013-0120-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	156	156	161
2001 Reimbursable civilian full-time equivalent employment .....	77	76	76

### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **【\$32,000,000】 \$37,167,000.** (*Department of Commerce Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 013-0126-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General (Direct) .....	34	35	42
0801 Office of the Inspector General (Reimbursable) .....	6	7	7
0809 Reimbursable program activities, subtotal .....	6	7	7
0900 Total new obligations .....	40	42	49

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	32	37
1121 Appropriations transferred from other acct [013-1460] ....	1	1	1
1121 Appropriations transferred from other acct [013-0450] ....	2	2	3
1160 Appropriation, discretionary (total) .....	34	35	41
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	5	5
1711 Spending authority from offsetting collections transferred from other accounts [013-1006] .....	2	2	2
1750 Spending auth from offsetting collections, disc (total) .....	6	7	7
1900 Budget authority (total) .....	40	42	48

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 013–0126–0–1–376	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....	47	48	54
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	6	6	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	10	6
3010 Obligations incurred, unexpired accounts .....	40	42	49
3020 Outlays (gross) .....	-40	-46	-48
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	10	6	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	9	5
3200 Obligated balance, end of year .....	9	5	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	40	42	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....	27	38	43
4011 Outlays from discretionary balances .....	13	8	5
4020 Outlays, gross (total) .....	40	46	48
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-5	-5
4180 Budget authority, net (total) .....	36	37	43
4190 Outlays, net (total) .....	36	41	43

The Office of Inspector General (OIG) promotes efficient and effective programs across the Department of Commerce through various analyses of bureau and Departmental programs and activities. It also endeavors to prevent waste, fraud, and abuse through audits, inspections, and investigations related to Department of Commerce programs.

Object Classification (in millions of dollars)

Identification code 013–0126–0–1–376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	16	18	23
12.1 Civilian personnel benefits .....	5	6	7
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	5	3	4
25.3 Other goods and services from Federal sources .....	4	4	4
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	34	35	42
99.0 Reimbursable obligations .....	6	7	7
99.9 Total new obligations .....	40	42	49

Employment Summary

Identification code 013–0126–0–1–376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	149	177	208
2001 Reimbursable civilian full-time equivalent employment .....	6	6	2

RENOVATION AND MODERNIZATION

For necessary expenses for the renovation and modernization of Department of Commerce facilities, **[\$19,062,000]** \$12,224,000, to remain available until expended: *Provided, That unobligated balances of available discretionary funds appropriated for the Department of Commerce in this Act or previous appropriations Acts may be transferred to, and merged with, this account: Provided further, That any such funds appropriated in prior appropriations Acts transferred pursuant to the authority in the preceding proviso shall retain the same period of availability as when originally appropriated: Provided further, That the transfer authority provided in the first*

*proviso is in addition to any other transfer authority contained in this Act: Provided further, That no amounts may be transferred from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency or disaster relief requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That any transfer pursuant to the authority provided under this heading shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (Department of Commerce Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 013–0123–0–1–376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 HCHB Renovation and Modernization (Direct) .....	5	20	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1050 Unobligated balance (total) .....	1	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	19	12
1930 Total budgetary resources available .....	6	20	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	12
3010 Obligations incurred, unexpired accounts .....	5	20	12
3020 Outlays (gross) .....	-5	-18	-20
3050 Unpaid obligations, end of year .....	10	12	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	12
3200 Obligated balance, end of year .....	10	12	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	19	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	13	8
4011 Outlays from discretionary balances .....	3	5	12
4020 Outlays, gross (total) .....	5	18	20
4180 Budget authority, net (total) .....	5	19	12
4190 Outlays, net (total) .....	5	18	20

This account funds the Commerce Department's portion of expenses associated with renovating and modernizing the Herbert C. Hoover Building (HCHB). The eight-phase renovation and modernization will upgrade infrastructure, modernize tenant spaces, remove safety hazards, and improve energy efficiency as Commerce optimizes utilization of HCHB space. The General Services Administration (GSA) and Commerce are each responsible for certain aspects of the project's costs. Project funding for both GSA and Commerce should occur simultaneously so that design, installation, furnishing, and office relocations can be coordinated.

Object Classification (in millions of dollars)

Identification code 013–0123–0–1–376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	4	19	11
99.9 Total new obligations .....	5	20	12

Employment Summary

Identification code 013–0123–0–1–376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 013-4511-0-4-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Departmental staff services .....	100		
0802 Executive Direction .....	83		
0803 Operations and Administration .....		244	257
0900 Total new obligations .....	183	244	257
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	4	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	17	4	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	170	240	257
1930 Total budgetary resources available .....	187	244	257
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	59	1
3010 Obligations incurred, unexpired accounts .....	183	244	257
3020 Outlays (gross) .....	-170	-302	-257
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	59	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	48	58	
3200 Obligated balance, end of year .....	58		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	170	240	257
Outlays, gross:			
4010 Outlays from new discretionary authority .....	126	240	257
4011 Outlays from discretionary balances .....	44	62	
4020 Outlays, gross (total) .....	170	302	257
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-169	-240	-257
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-170	-240	-257
4080 Outlays, net (discretionary) .....		62	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		62	

This fund finances, on a reimbursable basis, Department-wide administrative functions that are more efficiently performed on a centralized basis, including general counsel, human resources, financial, procurement, and security services.

Object Classification (in millions of dollars)

Identification code 013-4511-0-4-376	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	61	77	78
12.1 Civilian personnel benefits .....	20	24	27
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	6	7	7
23.3 Communications, utilities, and miscellaneous charges .....	4	3	3
25.1 Advisory and assistance services .....	4	6	7
25.2 Other services from non-Federal sources .....	41	63	71
25.3 Other goods and services from Federal sources .....	37	57	56
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	7	4	5
99.9 Total new obligations .....	183	244	257

Employment Summary

Identification code 013-4511-0-4-376	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	543	583	591

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 013-4564-0-4-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-3		
4180 Budget authority, net (total) .....	-3		
4190 Outlays, net (total) .....			

This fund promoted entrepreneurial business activities on a fully competitive and cost-reimbursable basis to Federal customers. All remaining unobligated balances have been rescinded per P.L. 113-235.

Trust Funds

GIFTS AND BEQUESTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-8501-0-7-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Bequests .....	4	5	5
2000 Total: Balances and receipts .....	4	5	5
Appropriations:			
Current law:			
2101 Gifts and Bequests .....	-4	-5	-5
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 013-8501-0-7-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Gifts and Bequests (Direct) .....	6	7	5
0900 Total new obligations (object class 25.2) .....	6	7	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	2	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	5	5
1930 Total budgetary resources available .....	8	7	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 Obligations incurred, unexpired accounts .....	6	7	5
3020 Outlays (gross) .....	-6	-6	-5
3050 Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	2

GIFTS AND BEQUESTS—Continued  
Program and Financing—Continued

Identification code 013-8501-0-7-376	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....		4	4
4101 Outlays from mandatory balances .....	6	2	1
4110 Outlays, gross (total) .....	6	6	5
4180 Budget authority, net (total) .....	4	5	5
4190 Outlays, net (total) .....	6	6	5

The Secretary of Commerce is authorized to accept, hold, administer, and utilize gifts and bequests of property, both real and personal, for the purpose of aiding or facilitating the work of the Department of Commerce. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest.

**ECONOMIC DEVELOPMENT ADMINISTRATION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of administering the economic development assistance programs as provided for by law, **[\$39,000,000]** notwithstanding section 27(g)(2) of the Stevenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3722(g)(2)), \$43,454,000: Provided, That these funds may be used to monitor projects approved pursuant to title I of the Public Works Employment Act of 1976, title II of the Trade Act of 1974, section 27 of the Stevenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3722), and the Community Emergency Drought Relief Act of 1977. (Department of Commerce Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 013-0125-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	34	39	43
0801 Salaries and Expenses (Reimbursable) .....	1		
0900 Total new obligations .....	35	39	43
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	39	43
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	38	40	44
1930 Total budgetary resources available .....	42	45	50
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	5	6	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 Obligations incurred, unexpired accounts .....	35	39	43
3011 Obligations incurred, expired accounts .....	2	1	
3020 Outlays (gross) .....	-36	-39	-44
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	3	4	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	3
3200 Obligated balance, end of year .....	2	3	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	38	40	44

Outlays, gross:			
4010 Outlays from new discretionary authority .....	33	36	40
4011 Outlays from discretionary balances .....	3	3	4
4020 Outlays, gross (total) .....	36	39	44
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	37	39	43
4190 Outlays, net (total) .....	35	38	43

As the only Federal government agency with a mission and programs focused exclusively on economic development, the Economic Development Administration (EDA) plays a critical role in communities across the nation. Through the agency's diverse and flexible programs, EDA provides a broad portfolio of activities include pre-application assistance and development, application processing, and project monitoring, as well as general support functions such as economic development research, technical assistance, information dissemination, legal and environmental compliance, financial management, budgeting, and debt management. The Budget increases support for EDA's salaries and expenses to bolster the agency's efforts in helping local communities and regions maximize the use of available federal economic development resources in creating and implementing comprehensive strategies for the growth and competitiveness of businesses in their region. EDA's Salaries and Expense budget request builds on the lessons learned from successful multi-agency initiatives and focuses on greater integration of economic development programming across the Department of Commerce and other federal agency programs. The bureau is already evolving into the government's premiere resource for communities engaged in regional planning. These additional resources are resulting in stronger plans, faster project implementation, better leveraging of all regional economic assistance, and ultimately more competitive regions with more jobs and economic growth.

The administration and oversight of the EDA's programs are carried out utilizing a network of headquarters and regional personnel who work with local organizations and leaders to identify and invest in projects that demonstrate potential for the greatest economic impact in distressed communities.

*Reimbursable program.*—EDA provides grant review and processing services to other Federal agencies on a reimbursable basis. Funds received cover the cost of performing this work.

**Object Classification** (in millions of dollars)

Identification code 013-0125-0-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	20	20	22
12.1 Civilian personnel benefits .....	5	5	6
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	2	3	4
25.3 Other goods and services from Federal sources .....	3	7	6
99.0 Direct obligations .....	34	39	43
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	35	39	43

**Employment Summary**

Identification code 013-0125-0-1-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	205	224	224
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS**

For [grants for] economic development assistance as provided by the Public Works and Economic Development Act of 1965, for trade adjustment assistance, and for grants authorized by section 27 of the Stevenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3722), **[\$222,000,000]** \$215,000,000 to remain

available until expended, of which **[\$15,000,000]** \$20,000,000 shall be for grants under such section 27. (*Department of Commerce Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 013-2050-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Planning grants .....	31	33	35
0002 Technical assistance grants .....	11	11	12
0003 Public works grants .....	101	109	90
0004 Economic adjustment grants .....	37	55	56
0005 Research Grants .....	2	2	3
0009 Trade Adjustment Assistance .....	20	13	10
0018 Disaster Supplementals .....	21	13	3
0020 Innovation in Manufacturing Loan Guarantees Sec. 26 .....			6
0021 Regional Innovation Strategies and Sec. 27 Science Parks Loan Guarantees .....	10	25	20
0022 Assistance to Coal Communities .....	5	20	
0091 Direct program activities, subtotal .....	238	281	235
Credit program obligations:			
0702 Loan guarantee subsidy .....			5
0709 Administrative expenses .....		1	1
0791 Direct program activities, subtotal .....		1	6
0799 Total direct obligations .....	238	282	241
0801 Reimbursable program activity - DOD/OEA .....	14		
0900 Total new obligations .....	252	282	241
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	64	76	46
1021 Recoveries of prior year unpaid obligations .....	41	30	30
1050 Unobligated balance (total) .....	105	106	76
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	213	222	215
1130 Appropriations permanently reduced .....	-5		
1131 Unobligated balance of appropriations permanently reduced .....		-10	
1160 Appropriation, discretionary (total) .....	208	212	215
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	10	3
1701 Change in uncollected payments, Federal sources .....	14		
1750 Spending auth from offsetting collections, disc (total) .....	15	10	3
1900 Budget authority (total) .....	223	222	218
1930 Total budgetary resources available .....	328	328	294
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	76	46	53
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	894	816	693
3010 Obligations incurred, unexpired accounts .....	252	282	241
3020 Outlays (gross) .....	-287	-375	-266
3040 Recoveries of prior year unpaid obligations, unexpired .....	-41	-30	-30
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	816	693	638
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired .....	-14		
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	894	802	679
3200 Obligated balance, end of year .....	802	679	624
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	223	222	218
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	21	14
4011 Outlays from discretionary balances .....	274	354	252
4020 Outlays, gross (total) .....	287	375	266
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-10	-3
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ....	-2	-10	-3

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-14		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-13		
4070 Budget authority, net (discretionary) .....	208	212	215
4080 Outlays, net (discretionary) .....	285	365	263
4180 Budget authority, net (total) .....	208	212	215
4190 Outlays, net (total) .....	285	365	263

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	208	212	215
Outlays .....	285	365	263
Legislative proposal, subject to PAYGO:			
Budget Authority .....			50
Outlays .....			30
Total:			
Budget Authority .....	208	212	265
Outlays .....	285	365	293

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 013-2050-0-1-452	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215002 Innovative Manufacturing Loan Guarantees .....			70
215999 Total loan guarantee levels .....			70
Guaranteed loan subsidy (in percent):			
232002 Innovative Manufacturing Loan Guarantees .....			7.00
232999 Weighted average subsidy rate .....			7.00
Guaranteed loan subsidy budget authority:			
233002 Innovative Manufacturing Loan Guarantees .....			5
233999 Total subsidy budget authority .....			5
Guaranteed loan subsidy outlays:			
234002 Innovative Manufacturing Loan Guarantees .....			5
234999 Total subsidy outlays .....			5

*The Economic Development Assistance Programs (EDAP).*—Economic Development Administration's (EDA) investments are focused in nine broad development assistance programs, which include: Economic Adjustment Assistance (EAA), Partnership Planning, Technical Assistance, Public Works, Regional Innovation Strategies Program (RISP), Research and Evaluation, Assistance to Coal Communities, Trade Adjustment Assistance, and loan guarantees for Innovative Technologies in Manufacturing. The EDA provides grants within each of these areas to generate or retain jobs, attract new industry and private sector investment, encourage business expansion, and serve as a backstop to sudden and severe economic impacts. These investments assist communities characterized by substantial and persistent unemployment levels, low income and population growth, loss of jobs, out-migration, and long-term economic deterioration. The EDA works in partnership with other Federal agencies, State and local governments, regional economic development districts, public and private non-profit organizations, Native American Tribes, and Alaska Native Villages to accomplish its mission.

The EDA's FY 2017 budget reflects its priority of empowering regions to develop their own plans that will transform their communities into globally competitive regions and ultimately improve the quality of life for their residents.

To aid in this mission, EDA is continuing a process to develop and implement a consistent measurement scheme across integrated federal economic development programs. EDA will continue to develop important economic development decision making tools that support a wide range of Administration priorities. The additional metrics will enable economic development practitioners, policy makers and funders to understand the impacts of existing programs and to better determine how limited resources should be used to build U.S. regional capacity to permit lasting economic development and prosperity.

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS—Continued

Object Classification (in millions of dollars)

Identification code 013-2050-0-1-452	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	238	282	241
99.0 Reimbursable obligations .....	14		
99.9 Total new obligations .....	252	282	241

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 013-2050-4-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0021 Regional Innovation Strategies and Sec. 27 Science Parks Loan			
Guarantees .....			30
0900 Total new obligations (object class 41.0) .....			30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200      Appropriation .....			50
1930 Total budgetary resources available .....			50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			30
3020 Outlays (gross) .....			-30
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			50
Outlays, gross:			
4100 Outlays from new mandatory authority .....			30
4180 Budget authority, net (total) .....			50
4190 Outlays, net (total) .....			30

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 013-4356-0-3-452	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800      Collected .....			5
1930 Total budgetary resources available .....			5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			5
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120      Federal sources .....			-5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-5

Status of Guaranteed Loans (in millions of dollars)

Identification code 013-4356-0-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			70
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....			70
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			

2231 Disbursements of new guaranteed loans .....			48
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....			48
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			22

ECONOMIC DEVELOPMENT REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 013-4406-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	4	1	1
0900 Total new obligations (object class 43.0) .....	4	1	1
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800      Collected .....	5	2	2
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-1	-1	-1
1850 Spending auth from offsetting collections, mand (total) .....	4	1	1
1930 Total budgetary resources available .....	4	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	4	1	1
3020 Outlays (gross) .....	-3	-1	
3050 Unpaid obligations, end of year .....	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	1	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123      Non-Federal sources .....	-5	-2	-2
4180 Budget authority, net (total) .....	-1	-1	-1
4190 Outlays, net (total) .....	-2	-1	-2

Status of Direct Loans (in millions of dollars)

Identification code 013-4406-0-3-452	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	1	
1263 Write-offs for default: Direct loans .....	-1	-1	
1290 Outstanding, end of year .....	1		

Balance Sheet (in millions of dollars)

Identification code 013-4406-0-3-452	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	2	1
1999 Total assets .....	2	1
<b>LIABILITIES:</b>		
2102 Federal liabilities: Interest payable .....	2	1
4999 Total liabilities and net position .....	2	1

**BUREAU OF THE CENSUS***Federal Funds*

## CURRENT SURVEYS AND PROGRAMS

For necessary expenses for collecting, compiling, analyzing, preparing and publishing statistics, provided for by law, **[\$270,000,000] \$285,287,000: Provided**. That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities **[: Provided further**, That the Bureau of the Census shall collect and analyze data for the Annual Social and Economic Supplement to the Current Population Survey using the same health insurance questions included in previous years, in addition to the revised questions implemented in the Current Population Survey beginning in February 2014 **]. (Department of Commerce Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 013-0401-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Current economic statistics .....	180	184	195
0002 Current demographic statistics .....	94	115	120
0003 Survey development and data services .....	3		
0900 Total new obligations .....	277	299	315
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	248	270	285
1121 Appropriations transferred from other acct [075-1522] ....			10
1121 Appropriations transferred from other acct [013-0450] ....	3		
1160 Appropriation, discretionary (total) .....	251	270	295
Appropriations, mandatory:			
1200 Appropriation .....	20	20	20
1221 Appropriations transferred from other acct [075-1522] ....	10	10	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-1	
1260 Appropriations, mandatory (total) .....	28	29	20
1900 Budget authority (total) .....	279	299	315
1930 Total budgetary resources available .....	279	299	315
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	21	25
3010 Obligations incurred, unexpired accounts .....	277	299	315
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-286	-295	-311
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	21	25	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	21	25
3200 Obligated balance, end of year .....	21	25	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	251	270	295
Outlays, gross:			
4010 Outlays from new discretionary authority .....	232	246	269
4011 Outlays from discretionary balances .....	26	21	22
4020 Outlays, gross (total) .....	258	267	291
Mandatory:			
4090 Budget authority, gross .....	28	29	20
Outlays, gross:			
4100 Outlays from new mandatory authority .....	28	28	19
4101 Outlays from mandatory balances .....			1
4110 Outlays, gross (total) .....	28	28	20
4180 Budget authority, net (total) .....	279	299	315
4190 Outlays, net (total) .....	286	295	311

The activities of this appropriation provide for the collection, compilation, analysis, and publication of a broad range of current economic, demographic, and social statistics.

**Current Economic Statistics.**—These programs provide public and private sector data users with relevant, accurate, and timely national statistical profiles of every sector of the U.S. economy to enable businesses and

governments to make informed decisions. The FY 2017 budget contains funding to modernize data collection and improve the accuracy of key economic statistics, while at the same time reduces response burden to the businesses that provide us with these key data, by harnessing the potential of "Big Data" to supplement traditional data collection, create new products, and expand existing products. The budget also includes funding to accelerate and improve the quality of economic indicators, increasing the accuracy and timeliness of a substantial number of key economic indicators, thereby cumulatively leading to a more precise measure of Gross Domestic Product (GDP).

**Current Demographic Statistics.**—These programs conduct surveys and data analyses to provide social and economic information on monthly, quarterly, and annual bases that policymakers and others need in order to make effective policy and program decisions.

**Survey of Program Dynamics (SPD).**—The program is supported by a transfer of funding from the Contingency Fund for State Welfare Programs established under Section 403(b) of the Social Security Act (42 U.S.C. 603 (b)). The SPD program provides relevant, accurate, timely, and cost-effective socio-economic data and information, which can be used by federal and state public policymakers to evaluate the effects of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 that established the Temporary Assistance for Needy Families Program.

**State Children's Health Insurance Program (SCHIP).**—Mandatory appropriations are provided by the Medicare, Medicaid, and State Children's Health Insurance Program Balanced Budget Refinement Act of 1999. The program is designed to support data collection by the Current Population Survey (CPS) on the number of low-income children who do not have health insurance coverage. Data from this enhanced survey are used in the formula to allocate funds to States under the SCHIP program.

**Object Classification** (in millions of dollars)

Identification code 013-0401-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	123	149	137
11.3 Other than full-time permanent .....	18	21	19
11.5 Other personnel compensation .....	4	2	2
11.9 Total personnel compensation .....	145	172	158
12.1 Civilian personnel benefits .....	46	46	67
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	7	7	7
23.1 Rental payments to GSA .....	13	12	13
23.2 Rental payments to others .....		1	
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.1 Advisory and assistance services .....	12	6	11
25.2 Other services from non-Federal sources .....	12	15	15
25.3 Other goods and services from Federal sources .....	15	16	16
25.4 Operation and maintenance of facilities .....	3	3	3
25.5 Research and development contracts .....	1	4	1
25.7 Operation and maintenance of equipment .....	13	9	14
25.8 Subsistence and support of persons .....		1	1
26.0 Supplies and materials .....	3	1	1
31.0 Equipment .....	3	2	4
99.9 Total new obligations .....	277	299	315

**Employment Summary**

Identification code 013-0401-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,585	2,153	1,919

**PERIODIC CENSUSES AND PROGRAMS**

## (INCLUDING TRANSFER OF FUNDS)

For necessary expenses for collecting, compiling, analyzing, preparing and publishing statistics for periodic censuses and programs provided for by law, **[\$1,100,000,000] \$1,348,319,000**, to remain available until September 30, **[2017] 2018: Provided**, That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities: *Provided further*, That within the amounts

PERIODIC CENSUSES AND PROGRAMS—Continued

appropriated, [\$1,551,000] \$2,580,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the Bureau of the Census [ : Provided further, That not more than 50 percent of the amounts made available under this heading for information technology related to 2020 census delivery, including the Census Enterprise Data Collection and Processing (CEDCaP) program, may be obligated until the Secretary submits to the Committees on Appropriations of the House of Representatives and the Senate a plan for expenditure that: (1) identifies for each CEDCaP project/investment over \$25,000: (A) the functional and performance capabilities to be delivered and the mission benefits to be realized; (B) the estimated lifecycle cost, including estimates for development as well as maintenance and operations; and (C) key milestones to be met; (2) details for each project/investment: (A) reasons for any cost and schedule variances; and (B) top risks and mitigation strategies; and (3) has been submitted to the Government Accountability Office ]. (Department of Commerce Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 013-0450-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Economic censuses .....	112		
0002 Census of governments .....	9		
0003 Economic Statistics Programs .....		134	140
0006 Intercensal demographic estimates .....	10		
0008 Decennial Census .....		830	1,029
0010 2020 decennial census .....	571		
0011 Demographic surveys sample redesign .....	9		
0013 Geographic support .....	55	58	85
0014 Data processing .....	62		
0015 Enterprise Data Collection and Dissemination Systems .....		76	92
0100 Total direct program .....	828	1,098	1,346
0900 Total new obligations .....	828	1,098	1,346
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	17	17
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	10	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	840	1,100	1,348
1120 Appropriations transferred to other accts [013-0126] .....	-2	-2	-3
1120 Appropriations transferred to other acct [013-0401] .....	-3		
1160 Appropriation, discretionary (total) .....	835	1,098	1,345
1900 Budget authority (total) .....	835	1,098	1,345
1930 Total budgetary resources available .....	845	1,115	1,362
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	142	193	160
3010 Obligations incurred, unexpired accounts .....	828	1,098	1,346
3020 Outlays (gross) .....	-757	-1,131	-1,273
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-18		
3050 Unpaid obligations, end of year .....	193	160	233
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	142	193	160
3200 Obligated balance, end of year .....	193	160	233
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	835	1,098	1,345
Outlays, gross:			
4010 Outlays from new discretionary authority .....	647	922	1,130
4011 Outlays from discretionary balances .....	110	209	143
4020 Outlays, gross (total) .....	757	1,131	1,273
4180 Budget authority, net (total) .....	835	1,098	1,345
4190 Outlays, net (total) .....	757	1,131	1,273

This appropriation funds legislatively mandated periodic economic and demographic censuses and other authorized activities. Major programs include the periodic economic programs (including the five-year economic census and census of governments), the American Community Survey

(ACS) and the decennial census. In addition, other programs provide bureau-wide geographic and data processing systems and support.

**Periodic Economic Programs.**—The Periodic Economic Programs include the Economic Census and the Census of Governments. These programs are integral to the Bureau of Economic Analysis (BEA) estimates of gross domestic product (GDP), industry inputs and outputs, and the economic activities of more than 90,000 state and local governments. Together, these programs measure the structure and functioning of nearly the entire U.S. economy. Program activities in FY 2017 include transforming to 100 percent internet data collection for the 2017 Economic Census. This will reduce respondent burden, automate operations, and improve data products.

**Decennial Census.**—FY 2017 is the third year of a four-year phase (FY2015—FY2018) focused on operational design, development, and system testing for the 2020 Census. Work in FY 2017 focuses on field testing and perfecting and scaling the full suite of integrated operations and systems for the decennial census, most notably via the 2017 Census Test, which will ensure optimal system interoperability and intercommunications. The Census Bureau must finalize methodologies and fully integrate new technology in preparation for an end-to-end test in 2018, the critical safeguard this decade against late-decade design changes or cost overruns. The Census Bureau will continue planning and development to make final methodological decisions for the key cost-saving innovation areas: administrative records, reengineered field operations, optimizing self-response, and address canvassing. Together, the innovations in these four areas have the potential to reduce costs by up to \$5.2 billion compared to repeating 2010 Census methods. Failing to make, test, and implement any final decisions leading into the 2018 Test will jeopardize the ability to realize these cost savings in full and add to operational risk in the final three years of the decade. Additionally, Census is requesting funds to expand several coverage and accuracy operations that aim to ensure the most accurate count possible for the hardest-to-count minority, rural, and mobile populations.

**Decennial Census Cap Adjustment Proposal.**— The Census Bureau is committed to working with the Congress toward a 2020 Census that delivers on the core mission to count everyone in the U.S. once, and only once, and keeps costs at or below the per-household cost of the 2010 decennial census, adjusted for inflation. To meet those goals, the Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act to allow an adjustment to the discretionary spending limits starting in FY 2018 for the cyclical increase in decennial census operations. This adjustment would provide the Census Bureau the funding certainty to confidently invest in cost saving technology that will lower the life cycle cost of the 2020 Census and future decennial censuses. Further justification can be found in the Census Bureau Congressional Budget Justification and the Budget Process chapter of the 2017 Analytical Perspectives volume.

The funding for the ACS is part of the 2020 Decennial Program. The FY 2017 request includes funding to strengthen production activities and reduce program risk while continuing efforts to reduce respondent burden and address stakeholder concerns.

**Geographic Support.**—The goal of the geographic support program is to provide address lists, address and geographic reference files, delineated geographic reference files, delineated geographic areas, maps, and associated processing systems needed to meet the geographic requirements of all Census Bureau programs. In FY 2017, the request supports address lists and maps to gather a definitive set of updates prior to the 2020 Census. This includes commercial data and administrative records. It also supports increased processing and analysis of address files received from Federal, state, local and tribal government partners.

**Enterprise Data Collection & Dissemination Systems.**—The objective of the program is to support major data collection, processing and dissemination systems for the Census Bureau's censuses and surveys. The FY 2017 budget request supports the third year of an initiative to support a Census Enterprise Data Collection and Processing (CEDCaP) initiative. The CEDCaP initiative provides a foundation for 2020 data collection and

processing operations, and encourages savings and efficiencies through integrated, enterprise-wide data collection systems. CEDCaP will consolidate costs by retiring unique, survey-specific systems and redundant capabilities and bring a much greater portion of the Census Bureau's total IT expenditures under a single, integrated and centrally-managed program. It will also allow the Census Bureau to halt the creation of program-specific systems and put in place a solution that will be mature and proven for the 2020 Census. In FY 2017, CEDCaP will deliver into production several capabilities, including enhanced automatic case assignment, real-time field activity monitoring, an electronic correspondence portal to help respondents, and completely refreshed internet and mobile data collection that will support one or both of the 2017 Economic Census and the 2017 Census Test.

*Administrative Records Clearinghouse.*—The FY 2017 budget also includes an initiative for Administrative Records Clearinghouse that will provide the federal government with increased capacity to make data-driven decisions about critical programs and provide transparency about program effectiveness to the public by developing a more comprehensive infrastructure for linking, sharing, and analyzing key Federal- and State-held administrative datasets.

**Object Classification** (in millions of dollars)

Identification code 013-0450-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	231	238	270
11.3 Other than full-time permanent .....	52	109	111
11.5 Other personnel compensation .....	7	4	5
11.9 Total personnel compensation .....	290	351	386
12.1 Civilian personnel benefits .....	97	103	165
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	19	29	26
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	28	30	40
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	30	23	29
24.0 Printing and reproduction .....	4	5	4
25.1 Advisory and assistance services .....	148	67	333
25.2 Other services from non-Federal sources .....	41	269	120
25.3 Other goods and services from Federal sources .....	30	49	37
25.4 Operation and maintenance of facilities .....	9	7	3
25.5 Research and development contracts .....	17	17	15
25.7 Operation and maintenance of equipment .....	106	114	82
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	12	3	3
31.0 Equipment .....	12	27	99
99.9 Total new obligations .....	828	1,098	1,346

**Employment Summary**

Identification code 013-0450-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,560	5,421	6,170

**CENSUS WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 013-4512-0-4-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Current economic statistics .....	173	142	179
0802 Current demographic statistics .....	289	300	380
0803 Other .....	34	10	3
0804 Decennial census .....	261	268	292
0900 Total new obligations .....	757	720	854
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	218	227	242
1021 Recoveries of prior year unpaid obligations .....	14	15	15
1050 Unobligated balance (total) .....	232	242	257

<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	676	720	854
1701 Change in uncollected payments, Federal sources .....	76		
1750 Spending auth from offsetting collections, disc (total) .....	752	720	854
1930 Total budgetary resources available .....	984	962	1,111
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	227	242	257
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	139	142	60
3010 Obligations incurred, unexpired accounts .....	757	720	854
3020 Outlays (gross) .....	-740	-787	-841
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	-15	-15
3050 Unpaid obligations, end of year .....	142	60	58
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-30	-106	-106
3070 Change in uncollected pymts, Fed sources, unexpired .....	-76		
3090 Uncollected pymts, Fed sources, end of year .....	-106	-106	-106
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	109	36	-46
3200 Obligated balance, end of year .....	36	-46	-48

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	752	720	854
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	648	769
4011 Outlays from discretionary balances .....	739	139	72
4020 Outlays, gross (total) .....	740	787	841
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-659	-715	-847
4033 Non-Federal sources .....	-17	-5	-7
4040 Offsets against gross budget authority and outlays (total) .....	-676	-720	-854
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-76		
4080 Outlays, net (discretionary) .....	64	67	-13
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	64	67	-13

The Working Capital Fund finances, on a reimbursable basis, functions within the Census Bureau that are more efficiently and economically performed on a centralized basis. The Fund also finances reimbursable work that the Census Bureau performs for other public, including Federal, and private entities. The Census Bureau is continuing its multiyear effort to simplify and improve transparency in its working capital fund.

**Object Classification** (in millions of dollars)

Identification code 013-4512-0-4-376	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	223	264	298
11.3 Other than full-time permanent .....	107	80	55
11.5 Other personnel compensation .....	12	8	3
11.9 Total personnel compensation .....	342	352	356
12.1 Civilian personnel benefits .....	106	106	97
13.0 Benefits for former personnel .....	1	2	2
21.0 Travel and transportation of persons .....	36	28	25
22.0 Transportation of things .....	3	2	2
23.1 Rental payments to GSA .....	45	31	31
23.2 Rental payments to others .....	1	8	1
23.3 Communications, utilities, and miscellaneous charges .....	13	13	20
24.0 Printing and reproduction .....	3	2	3
25.1 Advisory and assistance services .....	33	19	30
25.2 Other services from non-Federal sources .....	33	28	79
25.3 Other goods and services from Federal sources .....	36	41	61
25.4 Operation and maintenance of facilities .....	12	7	7
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	71	66	107
25.8 Subsistence and support of persons .....	2	2	2
26.0 Supplies and materials .....	9	3	6
31.0 Equipment .....	11	9	24
99.9 Total new obligations .....	757	720	854

CENSUS WORKING CAPITAL FUND—Continued

Employment Summary

Identification code 013-4512-0-4-376	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,868	3,006	3,603

ECONOMICS AND STATISTICS ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, as authorized by law, of economic and statistical analysis programs of the Department of Commerce, **[\$109,000,000] \$114,643,000**, to remain available until September 30, **[2017] 2018**. (*Department of Commerce Appropriations Act, 2016*.)

Program and Financing (in millions of dollars)

Identification code 013-1500-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Bureau of Economic Analysis .....	96	105	111
0002 Policy support .....	4	4	4
0799 Total direct obligations .....	100	109	115
0801 Reimbursable .....	8	8	8
0900 Total new obligations .....	108	117	123
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	5
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	5	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	100	109	115
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	8	8
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	8	8	8
1900 Budget authority (total) .....	108	117	123
1930 Total budgetary resources available .....	113	122	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	17	14
3010 Obligations incurred, unexpired accounts .....	108	117	123
3020 Outlays (gross) .....	-103	-120	-122
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	17	14	15
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	14	11
3200 Obligated balance, end of year .....	14	11	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	108	117	123
Outlays, gross:			
4010 Outlays from new discretionary authority .....	91	104	109
4011 Outlays from discretionary balances .....	12	16	13
4020 Outlays, gross (total) .....	103	120	122
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4070 Budget authority, net (discretionary) .....	100	109	115
4080 Outlays, net (discretionary) .....	98	112	114
4180 Budget authority, net (total) .....	100	109	115

4190 Outlays, net (total) .....	98	112	114
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*Bureau of Economic Analysis (BEA).*—The Bureau of Economic Analysis (BEA), a principal Federal statistical agency, promotes a better understanding of the U.S. economy by providing timely, relevant, and accurate economic data in an objective and cost-effective manner. BEA's national, industry, regional, and international economic statistics present crucial information on key issues such as U.S. economic growth, regional economic development, inter-industry relationships, and the Nation's position in the world economy. These key statistics provide a comprehensive picture of the U.S. economy and affect decisions related to interest and exchange rates, tax and budget projections, and business investment plans. The statistics are used by Federal, State, and local governments for budget development and projections and to allocate over \$300 billion in Federal funds. The statistics are also used by the American public to follow and understand the performance of the Nation's economy. Some of the Bureau's widely used statistical measures include gross domestic product (GDP), personal income and outlays, corporate profits, GDP by state and by metropolitan area, balance of payments, and GDP by industry. BEA's strategic vision is to remain the world's most respected producer of economic accounts. The 2017 Budget proposes a Regional Economic Dashboard that would create a county level GDP measure to provide insight into the differences in regional economic experiences by allowing data users the ability to narrow the detail to the county level, allowing for targeted investments in areas of need, particularly at the local area level by providing more localized data than the current suite of regional GDP and income statistics. The 2017 Budget also proposes an initiative that would Accelerate and Improve the Quality of Economic Indicators that would expand coverage to better measure key sectors of the economy and accelerate the incorporation of data into GDP estimates, thereby reducing revisions while expanding the trade reports and improving data value by seasonally adjusting more industries and improve a panel survey with modern statistical practices.

*Economics and Statistics Administration (ESA) Policy support.*—ESA headquarters conducts economic research and policy analysis in direct support of the Secretary of Commerce. ESA also provides management oversight of the Census Bureau and BEA. In addition, ESA provides economic and statistical data and analyses to other Federal agencies, individuals, and firms requesting such information through reimbursable funding. The Census Bureau and BEA reimburse ESA headquarters for certain administrative, financial, and policy services.

Object Classification (in millions of dollars)

Identification code 013-1500-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	51	55	58
11.3 Other than full-time permanent .....	2	1	1
11.9 Total personnel compensation .....	53	56	59
12.1 Civilian personnel benefits .....	17	18	19
23.1 Rental payments to GSA .....	8	8	8
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	10	10	11
25.3 Other goods and services from Federal sources .....	7	11	11
25.4 Operation and maintenance of facilities .....		1	1
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	100	109	115
99.0 Reimbursable obligations .....	8	8	8
99.9 Total new obligations .....	108	117	123

Employment Summary

Identification code 013-1500-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	470	499	512

2001 Reimbursable civilian full-time equivalent employment .....	36	34	34
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**INTERNATIONAL TRADE ADMINISTRATION**  
*Federal Funds*

INTERNATIONAL TRADE ADMINISTRATION  
OPERATIONS AND ADMINISTRATION

For necessary expenses for international trade activities of the Department of Commerce provided for by law, for activities associated with facilitating, attracting, and retaining business investment in the United States, and for engaging in trade promotional activities abroad, including expenses of grants and cooperative agreements for the purpose of promoting exports of United States firms, without regard to sections 3702 and 3703 of title 44, United States Code; full medical coverage for dependent members of immediate families of employees stationed overseas and employees temporarily posted overseas; travel and transportation of employees of the International Trade Administration between two points abroad, without regard to section 40118 of title 49, United States Code; employment of citizens of the United States and aliens by contract for services; rental of space abroad for periods not exceeding 10 years, and expenses of alteration, repair, or improvement; purchase or construction of temporary demountable exhibition structures for use abroad; payment of tort claims, in the manner authorized in the first paragraph of section 2672 of title 28, United States Code, when such claims arise in foreign countries; not to exceed \$294,300 for official representation expenses abroad; purchase of passenger motor vehicles for official use abroad, not to exceed \$45,000 per vehicle; obtaining insurance on official motor vehicles; and rental of tie lines, **[\$493,000,000]** \$533,421,000, to remain available until September 30, **[2017]** 2018, of which **[\$10,000,000]** \$12,000,000 is to be derived from fees to be retained and used by the International Trade Administration, notwithstanding section 3302 of title 31, United States Code: *Provided*, **[**That, of amounts provided under this heading, not less than \$16,400,000 shall be for China antidumping and countervailing duty enforcement and compliance activities: *Provided further*, That of the amounts provided for the International Trade Administration under this title, \$5,000,000 shall not be available for obligation or expenditure until 15 days after the Undersecretary of Commerce for International Trade submits to the Committees on Appropriations of the House of Representatives and the Senate the report and certification detailed in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided further*, **]** That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities; and that for the purpose of this Act, contributions under the provisions of the Mutual Educational and Cultural Exchange Act of 1961 shall include payment for assessments for services provided as part of these activities. (Department of Commerce Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 013-1250-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Market Access and Compliance .....	1		
0004 U.S. and Foreign Commercial Services .....	1		
0006 Industry and Analysis .....	55	61	61
0007 Enforcement and Compliance .....	72	86	83
0008 Global Markets .....	333	328	353
0009 Administration and Executive Direction .....	22	26	24
0100 Total direct program .....	484	501	521
0799 Total direct obligations .....	484	501	521
0801 Operations and Administration (Reimbursable) .....	24	27	27
0900 Total new obligations .....	508	528	548
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	23	4
1011 Unobligated balance transfer from other acct [072-1037] ....	1		
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	45	23	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	462	483	521
1121 Appropriations transferred from other acct [072-1037] ....	1		
1160 Appropriation, discretionary (total) .....	463	483	521

Spending authority from offsetting collections, discretionary:			
1700 Collected .....	23	26	27
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	25	26	27
1900 Budget authority (total) .....	488	509	548
1930 Total budgetary resources available .....	533	532	552
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	23	4	4

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	84	88	187
3010 Obligations incurred, unexpired accounts .....	508	528	548
3020 Outlays (gross) .....	-487	-429	-537
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	88	187	198
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	73	77	176
3200 Obligated balance, end of year .....	77	176	187

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	488	509	548
Outlays, gross:			
4010 Outlays from new discretionary authority .....	391	364	392
4011 Outlays from discretionary balances .....	96	65	145
4020 Outlays, gross (total) .....	487	429	537
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-23	-14	-14
4033 Non-Federal sources .....	-1	-12	-13
4040 Offsets against gross budget authority and outlays (total) ...	-24	-26	-27
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	463	483	521
4080 Outlays, net (discretionary) .....	463	403	510
4180 Budget authority, net (total) .....	463	483	521
4190 Outlays, net (total) .....	463	403	510

The mission of the International Trade Administration (ITA) is to create prosperity by strengthening the international competitiveness of U.S. industry, promoting trade and investment, and ensuring fair trade and compliance with trade laws and agreements. ITA leads the Department's export and investment platform, working with several other bureaus both within and outside the Department to achieve this goal.

ITA, through its programs, services, and workforce, leverages its relationships with and understanding of industry and its domestic and overseas field presence to serve a range of customers and stakeholders. The organization consists of three business units that work together to achieve ITA's mission effectively and efficiently: (1) Industry and Analysis; (2) Enforcement and Compliance; and (3) Global Markets. The combination of industry sector, regional, and trade expertise, alongside export promotion, enforcement and compliance, and policy responsibilities, enables ITA to analyze customer issues and needs holistically, and support export promotion and trade enforcement efforts in key, growing markets abroad. This Budget provides \$20 million to expand SelectUSA, which promotes the United States as a premier investment destination and helps state and local governments attract investment capital to create and support jobs.

**Object Classification** (in millions of dollars)

Identification code 013-1250-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	155	172	179

INTERNATIONAL TRADE ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 013-1250-0-1-376	2015 actual	2016 est.	2017 est.
11.3 Other than full-time permanent .....	27	28	29
11.5 Other personnel compensation .....	9	8	8
11.9 Total personnel compensation .....	191	208	216
12.1 Civilian personnel benefits .....	70	76	79
13.0 Benefits for former personnel .....	2	3	2
21.0 Travel and transportation of persons .....	23	14	14
22.0 Transportation of things .....	5	3	2
23.1 Rental payments to GSA .....	19	19	21
23.2 Rental payments to others .....	11	13	14
23.3 Communications, utilities, and miscellaneous charges .....	10	11	11
24.0 Printing and reproduction .....	2	1	1
25.1 Advisory and assistance services .....	30	20	19
25.2 Other services from non-Federal sources .....	20	17	22
25.3 Other goods and services from Federal sources .....	88	104	109
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	7	7	7
41.0 Grants, subsidies, and contributions .....	2	2	1
99.0 Direct obligations .....	484	501	521
99.0 Reimbursable obligations .....	24	27	27
99.9 Total new obligations .....	508	528	548

Employment Summary

Identification code 013-1250-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,625	1,735	1,785
2001 Reimbursable civilian full-time equivalent employment .....	36	43	43

GRANTS TO MANUFACTURERS OF WORSTED WOOL FABRICS

Program and Financing (in millions of dollars)

Identification code 013-5521-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to Manufacturers of Worsted Wool Fabrics (Direct) .....	5		
0900 Total new obligations (object class 41.0) .....	5		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5		
1930 Total budgetary resources available .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	5		
3020 Outlays (gross) .....	-5		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	5		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5		

BUREAU OF INDUSTRY AND SECURITY

Federal Funds

OPERATIONS AND ADMINISTRATION

For necessary expenses for export administration and national security activities of the Department of Commerce, including costs associated with the performance of export administration field activities both domestically and abroad; full medical coverage for dependent members of immediate families of employees stationed overseas; employment of citizens of the United States and aliens by contract for services abroad; payment of tort claims, in the manner authorized in the first paragraph of section 2672 of title 28, United States Code, when such claims arise in foreign countries; not to exceed \$13,500 for official representation expenses abroad;

awards of compensation to informers under the Export Administration Act of 1979, and as authorized by section 1(b) of the Act of June 15, 1917 (40 Stat. 223; 22 U.S.C. 401(b)); and purchase of passenger motor vehicles for official use and motor vehicles for law enforcement use with special requirement vehicles eligible for purchase without regard to any price limitation otherwise established by law, **[\$112,500,000]** \$126,945,000, to remain available until expended: *Provided*, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities: *Provided further*, That payments and contributions collected and accepted for materials or services provided as part of such activities may be retained for use in covering the cost of such activities, and for providing information to the public with respect to the export administration and national security activities of the Department of Commerce and other export control programs of the United States and other governments. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-0300-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Management and policy coordination .....	7	6	7
0002 Export administration .....	53	59	65
0003 Export enforcement .....	45	48	55
0100 Total direct program .....	105	113	127
0799 Total direct obligations .....	105	113	127
0801 Operations and Administration (Reimbursable) .....	2	3	3
0900 Total new obligations .....	107	116	130
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	6	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	103	113	127
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	3	3	3
1900 Budget authority (total) .....	106	116	130
1930 Total budgetary resources available .....	112	121	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	32	12
3010 Obligations incurred, unexpired accounts .....	107	116	130
3020 Outlays (gross) .....	-96	-136	-125
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	32	12	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-3	-4	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	29	8
3200 Obligated balance, end of year .....	29	8	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	106	116	130
Outlays, gross:			
4010 Outlays from new discretionary authority .....	83	99	111
4011 Outlays from discretionary balances .....	13	37	14
4020 Outlays, gross (total) .....	96	136	125
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
4070 Budget authority, net (discretionary) .....	103	113	127

4080	Outlays, net (discretionary) .....	94	134	123
4180	Budget authority, net (total) .....	103	113	127
4190	Outlays, net (total) .....	94	134	123

The Bureau of Industry and Security (BIS) advances U.S. national security, foreign policy, and economic objectives by administering and enforcing controls on the export of sensitive goods and technologies. BIS also enforces antiboycott laws, monitors the economic viability of the U.S. defense industry, and assists U.S. companies in complying with certain international arms agreements. The 2017 Budget continues to provide for positions that are critical to the Administration's Export Control Reform (ECR) Initiative, which fundamentally reforms the U.S. export control system. The ECR Initiative is designed to enhance U.S. national security and strengthen the United States' ability to counter threats such as the proliferation of weapons of mass destruction.

**Object Classification** (in millions of dollars)

Identification code 013-0300-0-1-999	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	40	43	46
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	43	46	49
12.1	Civilian personnel benefits .....	15	16	18
21.0	Travel and transportation of persons .....	2	4	4
23.1	Rental payments to GSA .....	6	7	8
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	13	17	25
25.3	Other goods and services from Federal sources .....	23	16	16
26.0	Supplies and materials .....	1	2	2
31.0	Equipment .....	2	2	2
99.0	Direct obligations .....	105	113	127
99.0	Reimbursable obligations .....	2	3	3
99.9	Total new obligations .....	107	116	130

**Employment Summary**

Identification code 013-0300-0-1-999	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	351	414	441
2001	Reimbursable civilian full-time equivalent employment .....	2	2	2

**MINORITY BUSINESS DEVELOPMENT AGENCY**

*Federal Funds*

MINORITY BUSINESS DEVELOPMENT

For necessary expenses of the Department of Commerce in fostering, promoting, and developing minority business enterprise, including expenses of grants, contracts, and other agreements with public or private organizations, **[\$32,000,000] \$35,613,000.** (*Department of Commerce Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 013-0201-0-1-376	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Business Development .....	30	32	36
<b>Budgetary resources:</b>				
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	30	32	36
1930	Total budgetary resources available .....	30	32	36
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	16	17	20
3010	Obligations incurred, unexpired accounts .....	30	32	36
3020	Outlays (gross) .....	-28	-29	-36
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	17	20	20

<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	16	17	20
3200	Obligated balance, end of year .....	17	20	20

**Budget authority and outlays, net:**

Identification code	2015 actual	2016 est.	2017 est.	
<b>Discretionary:</b>				
4000	Budget authority, gross .....	30	32	36
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	16	16	18
4011	Outlays from discretionary balances .....	12	13	18
4020	Outlays, gross (total) .....	28	29	36
4180	Budget authority, net (total) .....	30	32	36
4190	Outlays, net (total) .....	28	29	36

The Minority Business Development Agency (MBDA) is dedicated to the establishment, growth, and global competitiveness of U.S. businesses that are minority-owned. Through a network of minority business centers and strategic partners, MBDA works with minority entrepreneurs who wish to grow their businesses in size, scale, and capacity. These firms are then better positioned to create jobs, impact local economies, and expand into national and global markets. The 2017 budget request enables existing MBDA business centers to continue fostering innovation and entrepreneurship within the minority business community and includes an increase of \$3.6 million for a Business Innovation for Minority Young Entrepreneurs Program and \$1.0 million for a Minority Business Policy and Research Program.

**Object Classification** (in millions of dollars)

Identification code 013-0201-0-1-376	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	5	6	7
12.1	Civilian personnel benefits .....	1	1	2
23.1	Rental payments to GSA .....	1	1	1
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	2	2	2
25.3	Other goods and services from Federal sources .....	7	7	7
41.0	Grants, subsidies, and contributions .....	13	14	16
99.9	Total new obligations .....	30	32	36

**Employment Summary**

Identification code 013-0201-0-1-376	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	54	70	73

**NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

*Federal Funds*

OPERATIONS, RESEARCH, AND FACILITIES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of activities authorized by law for the National Oceanic and Atmospheric Administration, including maintenance, operation, and hire of aircraft and vessels; grants, contracts, or other payments to nonprofit organizations for the purposes of conducting activities pursuant to cooperative agreements; and relocation of facilities, **[\$3,305,813,000] \$3,494,180,000**, to remain available until September 30, **[2017] 2018**, except that funds provided for cooperative enforcement shall remain available until September 30, **[2018] 2019: Provided**, That fees and donations received by the National Ocean Service for the management of national marine sanctuaries may be retained and used for the salaries and expenses associated with those activities, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That in addition, \$130,164,000 shall be derived by transfer from the fund entitled "Promote and Develop Fishery Products and Research Pertaining to American Fisheries", which shall only be used for fishery activities related to the Saltonstall-Kennedy Grant Program, Cooperative Research, Annual Stock Assessments, Survey and Monitoring Projects, Interjurisdictional Fisheries Grants, and Fish Information Networks: *Provided further*, That of the **[\$3,453,477,000] \$3,641,844,000** provided for in direct obligations under this heading, **[\$3,305,813,000] \$3,494,180,000** is appropriated from the general fund,

OPERATIONS, RESEARCH, AND FACILITIES—Continued

\$130,164,000 is provided by transfer and \$17,500,000 is derived from recoveries of prior year obligations: **¶** *Provided further*, That the total amount available for National Oceanic and Atmospheric Administration corporate services administrative support costs shall not exceed \$226,300,000: *Provided further*, That any deviation from the amounts designated for specific activities in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any use of deobligated balances of funds provided under this heading in previous years, shall be subject to the procedures set forth in section 505 of this Act: **¶** *Provided further*, That in addition, for necessary retired pay expenses under the Retired Serviceman's Family Protection and Survivor Benefits Plan, and for payments for the medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C. 55), such sums as may be necessary. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-1450-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Ocean Service .....	474	500	528
0002 National Marine Fisheries Service .....	818	849	905
0003 Oceanic and Atmospheric Research .....	433	462	493
0004 National Weather Service .....	976	989	977
0005 National Environmental Satellite Service .....	189	189	240
0006 Program Support .....	478		
0007 Mission Support .....		253	282
0008 Office of Marine and Aviation Operations .....		211	217
0009 Retired pay for NOAA Corps Officers .....	27	28	29
0010 Spectrum Relocation Fund .....		3	2
0100 Total direct program .....	3,395	3,484	3,673
0799 Total direct obligations .....	3,395	3,484	3,673
0801 National Ocean Service .....	17	150	29
0802 National Marine Fisheries Service .....	50	159	69
0803 Oceanic and Atmospheric Research .....	46	99	33
0804 National Weather Service .....	51	82	75
0805 National Environmental Satellite Service .....	26	5	21
0806 Program support .....	13		
0807 OMAO .....			3
0808 Mission Support .....		2	12
0899 Total reimbursable obligations .....	203	497	242
0900 Total new obligations .....	3,598	3,981	3,915
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	225	270	268
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	225	270	
1021 Recoveries of prior year unpaid obligations .....	23	18	18
1050 Unobligated balance (total) .....	248	288	286
Budget authority:			
Appropriations, discretionary:			
1100 Operations, research & facilities .....	3,202	3,306	3,494
1121 Appropriations transferred from other acct [013-5139] .....	116	130	130
1121 Appropriations transferred from other acct [013-1460] .....	2		
1160 Appropriation, discretionary (total) .....	3,320	3,436	3,624
Appropriations, mandatory:			
1200 Appropriation .....	28	28	29
1221 Appropriations transferred from other acct [011-5512] .....	46		
1260 Appropriations, mandatory (total) .....	74	28	29
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	229	497	242
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	230	497	242
1900 Budget authority (total) .....	3,624	3,961	3,895
1930 Total budgetary resources available .....	3,872	4,249	4,181
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	270	268	266
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,996	1,967	1,963
3010 Obligations incurred, unexpired accounts .....	3,598	3,981	3,915
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-3,573	-3,967	-3,815
3040 Recoveries of prior year unpaid obligations, unexpired .....	-23	-18	-18
3041 Recoveries of prior year unpaid obligations, expired .....	-35		
3050 Unpaid obligations, end of year .....	1,967	1,963	2,045

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-340	-341	-341
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-341	-341	-341
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,656	1,626	1,622
3200 Obligated balance, end of year .....	1,626	1,622	1,704
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,550	3,933	3,866
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,161	2,627	2,489
4011 Outlays from discretionary balances .....	1,383	1,309	1,296
4020 Outlays, gross (total) .....	3,544	3,936	3,785
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-172	-370	-180
4033 Non-Federal sources .....	-59	-127	-62
4040 Offsets against gross budget authority and outlays (total) .....	-231	-497	-242
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	3,320	3,436	3,624
4080 Outlays, net (discretionary) .....	3,313	3,439	3,543
Mandatory:			
4090 Budget authority, gross .....	74	28	29
Outlays, gross:			
4100 Outlays from new mandatory authority .....	26	28	28
4101 Outlays from mandatory balances .....	3	3	2
4110 Outlays, gross (total) .....	29	31	30
4180 Budget authority, net (total) .....	3,394	3,464	3,653
4190 Outlays, net (total) .....	3,342	3,470	3,573

The mission of the National Oceanic and Atmospheric Administration (NOAA) is to understand and predict changes in the Earth's environment and to conserve and manage coastal and marine resources to meet our Nation's economic, social, and environmental needs.

NOAA executes programs and activities to achieve its mission through six line offices:

*National Ocean Service (NOS).*—NOS programs work to promote safe navigation; assess and restore the health of coastal and marine resources; improve coastal communities' resilience to extreme weather events, climate hazards, and changing ocean conditions and uses; and conserve the coastal and ocean environment.

*National Marine Fisheries Service (NMFS).*—NMFS programs provide for the management and conservation of the Nation's living marine resources including fish stocks, marine mammals, and endangered species and their habitats within the United States Exclusive Economic Zone (EEZ). As part of the Administration's Infrastructure Permitting initiative, NMFS is targeting resources to expedite and improve Endangered Species Act consultations and other related processes.

*Office of Oceanic and Atmospheric Research (OAR).*—OAR programs provide climate, weather, air chemistry, ocean and coastal research and technology with applications across NOAA's mission. To accomplish these goals, OAR supports a network of scientists in its Federal research laboratories, universities, and cooperative institutes and partnership programs. High priority programs in FY 2017 include a Research Transition Acceleration Program, which will help OAR expedite promising research results to operations, applications, and commercialization.

*National Weather Service (NWS).*—NWS programs provide timely and accurate meteorological, hydrologic, and oceanographic warnings and forecasts to ensure the safety of the population, minimize property losses, and improve the economic productivity of the Nation.

*National Environmental Satellite, Data, and Information Service (NESDIS).*—NESDIS operates polar orbiting and geostationary satellites, and collects and archives global environmental data and information for distribution to private and public sector users.

**Mission Support.**—Mission Support provides management and administrative support for NOAA, including acquisition and grant administration, budget, accounting functions, and human resources.

**Office of Marine and Aviation Operations (OMAO).**— OMAO provides aircraft and marine data acquisition, fleet repair and maintenance, and operations that provide technical and management support for NOAA-wide activities.

The FY 2017 Budget funds NOAA's programs and activities within the Operations, Research, and Facilities account at approximately \$3.5 billion.

**Object Classification** (in millions of dollars)

Identification code 013–1450–0–1–306	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,047	1,163	1,181
11.3 Other than full-time permanent .....	60	62	65
11.7 Military personnel .....	33	34	36
11.9 Total personnel compensation .....	1,140	1,259	1,282
12.1 Civilian personnel benefits .....	356	365	385
12.2 Military personnel benefits .....	8	8	9
13.0 Benefits for former personnel .....	24	25	26
21.0 Travel and transportation of persons .....	44	45	48
22.0 Transportation of things .....	13	13	14
23.1 Rental payments to GSA .....	82	84	89
23.2 Rental payments to others .....	31	32	33
23.3 Communications, utilities, and miscellaneous charges .....	77	79	83
24.0 Printing and reproduction .....	4	4	4
25.1 Advisory and assistance services .....	182	167	186
25.2 Other services from non-Federal sources .....	251	229	256
25.3 Other goods and services from Federal sources .....	378	348	388
25.5 Research and development contracts .....	18	18	19
26.0 Supplies and materials .....	109	112	118
31.0 Equipment .....	43	44	46
32.0 Land and structures .....	4	4	4
41.0 Grants, subsidies, and contributions .....	624	640	674
42.0 Insurance claims and indemnities .....	8	8	9
99.0 Direct obligations .....	3,396	3,484	3,673
99.0 Reimbursable obligations .....	202	497	242
99.9 Total new obligations .....	3,598	3,981	3,915

**Employment Summary**

Identification code 013–1450–0–1–306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	10,512	11,535	11,538
1101 Direct military average strength employment .....	321	325	325
2001 Reimbursable civilian full-time equivalent employment .....	567	706	706

**GULF COAST ECOSYSTEM RESTORATION SCIENCE, OBSERVATION, MONITORING, AND TECHNOLOGY**

**Program and Financing** (in millions of dollars)

Identification code 013–1455–0–1–304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Gulf Coast Restoration .....	3		6
0900 Total new obligations (object class 41.0) .....	3		6
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3		6
1900 Budget authority (total) .....	3		6
1930 Total budgetary resources available .....	3		6
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		3	
3010 Obligations incurred, unexpired accounts .....	3		6
3020 Outlays (gross) .....		–3	–6
3050 Unpaid obligations, end of year .....	3		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		3	

3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3		6
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			6
4101 Outlays from mandatory balances .....	3		
4110 Outlays, gross (total) .....	3		6
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	–3		–6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–3	3	

The Gulf Coast Ecosystem Restoration Science, Observation, Monitoring and Technology Fund provides funding for the NOAA RESTORE Act Science Program. The purpose of this program is to initiate and sustain an integrative, holistic understanding of the Gulf of Mexico ecosystem and support, to the maximum extent practicable, restoration efforts and the long-term sustainability of the ecosystem, including its fish stocks, fishing industries, habitat, and wildlife through ecosystem research, observation, monitoring, and technology development. To ensure the best use of resources the Program will coordinate with existing federal and state science and technology programs, including other activities funded under the RESTORE Act. Section 1604 of the RESTORE Act authorized funding for the Program by providing 2.5% of the funds made available through the Gulf Coast Restoration Trust Fund.

**Employment Summary**

Identification code 013–1455–0–1–304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		1	1

**PROCUREMENT, ACQUISITION AND CONSTRUCTION**

(INCLUDING TRANSFER OF FUNDS)

For procurement, acquisition and construction of capital assets, including alteration and modification costs, of the National Oceanic and Atmospheric Administration, **[\$2,400,416,000]** \$2,270,123,000, to remain available until September 30, **[2018]** 2019, except that funds provided for acquisition and construction of vessels and construction of facilities shall remain available until expended: *Provided*, That of the **[\$2,413,416,000]** \$2,283,123,000 provided for in direct obligations under this heading, **[\$2,400,416,000]** \$2,270,123,000 is appropriated from the general fund and \$13,000,000 is provided from recoveries of prior year obligations: *Provided further*, That any deviation from the amounts designated for specific activities in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any use of deobligated balances of funds provided under this heading in previous years, shall be subject to the procedures set forth in section 505 of this Act: *Provided further*, That the Secretary of Commerce shall include in budget justification materials that the Secretary submits to Congress in support of the Department of Commerce budget (as submitted with the budget of the President under section 1105(a) of title 31, United States Code) an estimate for each National Oceanic and Atmospheric Administration procurement, acquisition or construction project having a total of more than \$5,000,000 and simultaneously the budget justification shall include an estimate of the budgetary requirements for each such project for each of the 5 subsequent fiscal years: *Provided further*, That within the amounts appropriated, \$80,050,000 shall not be available for obligation or expenditure until 15 days after the Under Secretary of Commerce for Oceans and Atmosphere submits to the Committees on Appropriations of the House of Representatives and the Senate a fleet modernization and recapitalization plan: *Provided further*, That, within the amounts appropriated, \$1,302,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to satellite procurement, acquisition and construction. (Department of Commerce Appropriations Act, 2016.)

PROCUREMENT, ACQUISITION AND CONSTRUCTION—Continued

Program and Financing (in millions of dollars)

Identification code 013-1460-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Ocean Service .....	4	4	4
0003 Office of Oceanic and Atmospheric Research .....	18	20	26
0004 National Weather Service .....	183	135	143
0005 National Environmental Satellite Service .....	2,030	2,160	2,064
0006 Program Support .....	43		
0007 Spectrum Relocation Fund .....	5	139	16
0008 Mission Support .....		1	4
0009 Office of Marine and Aviation Operations .....		92	41
0900 Total new obligations .....	2,283	2,551	2,298
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	125	244	105
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	125		
1021 Recoveries of prior year unpaid obligations .....	5	13	13
1050 Unobligated balance (total) .....	130	257	118
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,179	2,400	2,270
1120 Appropriations transferred to other accts [013-1450] .....	-2		
1120 Appropriations transferred to other accts [013-0126] .....	-1	-1	-1
1160 Appropriation, discretionary (total) .....	2,176	2,399	2,269
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	218		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1900 Budget authority (total) .....	2,397	2,399	2,269
1930 Total budgetary resources available .....	2,527	2,656	2,387
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	244	105	89
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,093	1,309	1,410
3010 Obligations incurred, unexpired accounts .....	2,283	2,551	2,298
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-2,051	-2,437	-2,241
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	1,309	1,410	1,454
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,093	1,309	1,410
3200 Obligated balance, end of year .....	1,309	1,410	1,454

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	2,179	2,399	2,269
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,101	1,599	1,444
4011 Outlays from discretionary balances .....	950	827	786
4020 Outlays, gross (total) .....	2,051	2,426	2,230
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-3		
Mandatory:			
4090 Budget authority, gross .....	218		
Outlays, gross:			
4101 Outlays from mandatory balances .....		11	11
4180 Budget authority, net (total) .....	2,394	2,399	2,269
4190 Outlays, net (total) .....	2,048	2,437	2,241

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	2,394	2,399	2,269
Outlays .....	2,048	2,437	2,241
Legislative proposal, subject to PAYGO:			
Budget Authority .....			100
Outlays .....			70
<b>Total:</b>			
Budget Authority .....	2,394	2,399	2,369
Outlays .....	2,048	2,437	2,311

This account funds capital acquisition, construction, and fleet and aircraft replacement projects that support NOAA's operational mission across all line offices. The 2017 Budget maintains continuity of major systems needed for weather forecasting. The Budget continues the investment in the Polar Follow On (PFO) satellite program to ensure system robustness and continuity of polar observations critical to numerical weather prediction models. The Budget also supports service life extension for key surface weather observing systems, Next Generation Weather Radar and Automated Service Observation System. Beyond weather investments, this Budget supports broader environmental modeling efforts through upgrades to supercomputing capacity, at sea research and survey capabilities through the construction of a regional survey vessel, and corrects facilities deficiencies to maintain critical research on marine species and the impact of ecosystem stressors. The FY 2017 Budget funds NOAA's programs and activities within the PAC Account at approximately \$2.3 billion.

Object Classification (in millions of dollars)

Identification code 013-1460-0-1-306	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	44	46
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	36	45	47
12.1 Civilian personnel benefits .....	11	12	11
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	11	12	11
25.1 Advisory and assistance services .....	59	62	59
25.2 Other services from non-Federal sources .....	160	169	160
25.3 Other goods and services from Federal sources .....	1,664	1,890	1,668
25.5 Research and development contracts .....	26	28	26
26.0 Supplies and materials .....	38	40	38
31.0 Equipment .....	227	240	227
41.0 Grants, subsidies, and contributions .....	42	44	42
99.9 Total new obligations .....	2,283	2,551	2,298

Employment Summary

Identification code 013-1460-0-1-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	277	342	345

PROCUREMENT, ACQUISITION AND CONSTRUCTION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 013-1460-4-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research Vessel .....			85
0900 Total new obligations (object class 25.2) .....			85
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			100
1930 Total budgetary resources available .....			100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			85
3020 Outlays (gross) .....			-70
3050 Unpaid obligations, end of year .....			15
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			15
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			70

4180	Budget authority, net (total) .....	100
4190	Outlays, net (total) .....	70

LIMITED ACCESS SYSTEM ADMINISTRATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5284-0-2-306	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	1	1
0198 Reconciliation adjustment .....	-1		
0199 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1110 Permit Title Registration Fees, Limited Access System Administration Fund .....	10	12	13
2000 Total: Balances and receipts .....	11	13	14
Appropriations:			
Current law:			
2101 Limited Access System Administration Fund .....	-10	-12	-13
2103 Limited Access System Administration Fund .....	-1	-1	-1
2132 Limited Access System Administration Fund .....	1	1	
2199 Total current law appropriations .....	-10	-12	-14
2999 Total appropriations .....	-10	-12	-14
5099 Balance, end of year .....	1	1	

Program and Financing (in millions of dollars)

Identification code 013-5284-0-2-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Limited Access System Administration Fund (Direct) .....	11	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	12	11
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	12	13
1203 Appropriation (Mandatory, Sequestration pop-up, Authorizing Committee) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	10	12	14
1930 Total budgetary resources available .....	23	24	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	11	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	7	6
3010 Obligations incurred, unexpired accounts .....	11	13	13
3020 Outlays (gross) .....	-8	-14	-18
3050 Unpaid obligations, end of year .....	7	6	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	7	6
3200 Obligated balance, end of year .....	7	6	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	12	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6	11	13
4101 Outlays from mandatory balances .....	2	3	5
4110 Outlays, gross (total) .....	8	14	18
4180 Budget authority, net (total) .....	10	12	14
4190 Outlays, net (total) .....	8	14	18

Under the authority of the Magnuson-Stevens Act Section 304(d)(2)(A), NMFS must collect a fee to recover the incremental costs of management, data collection, and enforcement of Limited Access Privilege (LAP) Programs. Funds collected under this authority are deposited into the Limited Access System Administrative Fund. Fees shall not exceed three percent of the ex-vessel value of fish harvested under any such program, and shall

be collected at either the time of the landing, filing of a landing report, or sale of such fish during a fishing season or in the last quarter of the calendar year in which the fish is harvested. The Limited Access Administration Fund shall be available, without appropriation or fiscal year limitation, only for the purposes of administering the central registry system and administering and implementing the Magnuson-Stevens Act in the fishery in which the fees were collected. Sums in the fund that are not currently needed for these purposes shall be kept on deposit or invested in obligations of, or guaranteed by the U.S. Also, in establishing a LAP program, a Regional Council can consider, and may provide, if appropriate, an auction system or other program to collect royalties for the initial or any subsequent distribution of allocations. If an auction system is developed, revenues from these royalties are deposited in the Limited Access System Administration Fund.

Object Classification (in millions of dollars)

Identification code 013-5284-0-2-306	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	4	4	4
11.9 Total personnel compensation .....	4	4	4
12.1 Civilian personnel benefits .....	1	2	2
23.1 Rental payments to GSA .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	3	3
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations .....	11	13	13

Employment Summary

Identification code 013-5284-0-2-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	39	38	38

PACIFIC COASTAL SALMON RECOVERY

For necessary expenses associated with the restoration of Pacific salmon populations, \$65,000,000, to remain available until September 30, [2017] 2018: *Provided*, That, of the funds provided herein, the Secretary of Commerce may issue grants to the States of Washington, Oregon, Idaho, Nevada, California, and Alaska, and to the Federally recognized tribes of the Columbia River and Pacific Coast (including Alaska), for projects necessary for conservation of salmon and steelhead populations that are listed as threatened or endangered, or that are identified by a State as at-risk to be so listed, for maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing, or for conservation of Pacific coastal salmon and steelhead habitat, based on guidelines to be developed by the Secretary of Commerce: *Provided further*, That all funds shall be allocated based on scientific and other merit principles and shall not be available for marketing activities: *Provided further*, That funds disbursed to States shall be subject to a matching requirement of funds or documented in-kind contributions of at least 33 percent of the Federal funds. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-1451-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0008 Grants to States and Tribes .....	65	65	65
0900 Total new obligations (object class 41.0) .....	65	65	65
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	65	65	65
1930 Total budgetary resources available .....	65	65	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	200	193	198
3010 Obligations incurred, unexpired accounts .....	65	65	65
3020 Outlays (gross) .....	-72	-60	-59
3050 Unpaid obligations, end of year .....	193	198	204

PACIFIC COASTAL SALMON RECOVERY—Continued  
Program and Financing—Continued

Identification code 013-1451-0-1-306	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	200	193	198
3200 Obligated balance, end of year	193	198	204
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross	65	65	65
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority		16	16
4011 Outlays from discretionary balances	72	44	43
4020 Outlays, gross (total)	72	60	59
4180 Budget authority, net (total)	65	65	65
4190 Outlays, net (total)	72	60	59

The Pacific Coastal Salmon Recovery Fund account was established in 2000 to augment State, tribal, and local programs to conserve and restore sustainable Pacific salmon populations and their habitats. Through 2015, over \$1.2 billion has been provided to the States of California, Oregon, Washington, Alaska, and Idaho and to the Pacific Coastal and Columbia River Tribes to supplement State and Federal programs and promote the development of partnerships among Federal, State, tribal, and local governments to conserve salmon. The States and Tribes have used these funds for restoring salmon and steelhead populations that are listed as threatened or endangered, or identified by a State as at risk of such listing; maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing; or restoring and conserving Pacific coastal salmon and steelhead habitat.

Employment Summary

Identification code 013-1451-0-1-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	2	2	2

SANCTUARIES ENFORCEMENT ASSET FORFEITURE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5584-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			1
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Sanctuaries Enforcement Asset Forfeiture Fund, Deposits (PDF Account)		1	1
2000 Total: Balances and receipts		1	2
5099 Balance, end of year		1	2

The Sanctuaries Enforcement Asset Forfeiture Fund receives proceeds from civil penalties and forfeiture claims against responsible parties, as determined through court settlements or agreements, for violations of NOAA sanctuary regulations. Funds are expended for resource protection purposes which may include all aspects of law enforcement (from equipment to labor), community-oriented policing programs, and other resource protection and management measures such as the installation of mooring buoys or restoration of injured resources.

NATIONAL OCEANS AND COASTAL SECURITY FUND

For carrying out the National Oceans and Coastal Security Act, \$10,000,000, to remain available until September 30, 2018.

Program and Financing (in millions of dollars)

Identification code 013-2044-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			10
0900 Total new obligations (object class 41.0)			10
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation			10
1930 Total budgetary resources available			10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			10
3020 Outlays (gross)			-6
3050 Unpaid obligations, end of year			4
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year			4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross			10
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority			6
4180 Budget authority, net (total)			10
4190 Outlays, net (total)			6

The National Oceans and Coastal Security Fund supports coastal states and other entities to better understand and utilize the oceans, coasts, and Great Lakes of the United States. NOAA will partner with the National Fish and Wildlife Foundation to establish the tax-exempt fund to further the purposes of Title IX of the Consolidated Appropriations Act, 2016. Grants will be awarded by the Foundation, in coordination with NOAA, per specific eligibility criteria. Broadly, grants will support protection, conservation, and restoration of ocean and coastal resources and coastal infrastructure.

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NOAA

Program and Financing (in millions of dollars)

Identification code 013-1465-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medicare-eligible Retiree Health Fund Contribution, NOAA (Direct)	1	2	2
0900 Total new obligations (object class 25.3)	1	2	2
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation	2	2	2
1930 Total budgetary resources available	2	2	2
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring	-1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts	1	2	2
3020 Outlays (gross)	-1	-2	-2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross	2	2	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	1	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	1	2	2

This account includes amounts necessary to finance the cost of Tricare retirement health care benefits accrued by the active duty members of the NOAA Commissioned Corps. The Ronald W. Reagan National Defense

Authorization Act for 2005 (P.L. 108–375) provided permanent, indefinite appropriations to finance these costs for all uniformed service members. As these costs are borne in support of NOAA's mission, they are shown as part of the NOAA discretionary total. Total obligations on behalf of active NOAA Commissioned Corps personnel include both the wages and related amounts requested for appropriation and amounts paid from the permanent, indefinite authority.

FISHERIES ENFORCEMENT ASSET FORFEITURE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013–5583–0–2–376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1120 Fisheries Enforcement Asset Forfeiture Fund, Deposits (PDF Account) .....	4	4	4
2000 Total: Balances and receipts .....	5	5	5
Appropriations:			
Current law:			
2101 Fisheries Enforcement Asset Forfeiture Fund .....	–4	–4	–4
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 013–5583–0–2–376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Fisheries Enforcement Asset Forfeiture Fund (Direct) .....	1	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	14	15
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1930 Total budgetary resources available .....	15	18	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	15	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	.....
3010 Obligations incurred, unexpired accounts .....	1	3	3
3020 Outlays (gross) .....	–2	–4	–3
3050 Unpaid obligations, end of year .....	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	.....
3200 Obligated balance, end of year .....	1	.....	.....

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	3	3
4101 Outlays from mandatory balances .....	1	1	.....
4110 Outlays, gross (total) .....	2	4	3
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	2	4	3

Section 311(e)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSA) authorizes the Secretary of Commerce (Secretary) to pay certain enforcement-related expenses from fines, penalties and forfeiture proceeds received for violations of the Magnuson-Stevens Act, or of any other marine resource law enforced by the Secretary. Pursuant to this authority, NOAA established an account for these receipts, the Fisheries Enforcement Asset Forfeiture Fund (AFF). Certain fines, penalties and forfeiture proceeds received by NOAA are deposited into this Fund and subsequently used to pay for certain enforcement-related expenses. When Congress authorized the AFF it was deemed appropriate to use these proceeds to offset in part the costs of administering the enforcement program. Expenses include the following: costs directly related to the storage,

maintenance, and care of seized fish, vessels, or other property during a civil or criminal proceeding; reimbursement to other Federal or State agencies for enforcement related services provided pursuant to an agreement entered into with the Secretary; and other limited uses as outlined in NOAA's Asset Forfeiture Fund policy. The NMFS Office of Management and Budget manages the AFF, which is used by the Office of Law Enforcement (OLE) and NOAA General Counsel for Enforcement and Litigation to pay for enforcement activities.

Object Classification (in millions of dollars)

Identification code 013–5583–0–2–376	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	.....	1	1
25.2 Other services from non-Federal sources .....	.....	1	1
99.9 Total new obligations .....	1	3	3

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013–5139–0–2–376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	9	11	11
Receipts:			
Current law:			
1110 Access Fees, Western Pacific Sustainable Fisheries Fund .....	.....	1	1
2000 Total: Balances and receipts .....	9	12	12
Appropriations:			
Current law:			
2103 Promote and Develop Fishery Products and Research Pertaining to American Fisheries .....	–9	–11	–10
2132 Promote and Develop Fishery Products and Research Pertaining to American Fisheries .....	11	10	.....
2199 Total current law appropriations .....	2	–1	–10
2999 Total appropriations .....	2	–1	–10
5099 Balance, end of year .....	11	11	2

Program and Financing (in millions of dollars)

Identification code 013–5139–0–2–376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Promote and Develop Fishery Products and Research .....	33	17	16
0900 Total new obligations (object class 41.0) .....	33	17	16

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	.....	.....
1050 Unobligated balance (total) .....	7	.....	.....
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [013–1450] .....	.....	.....	–130
Appropriations, mandatory:			
1203 Appropriation (Sequestration pop-up, Authorizing Committee) .....	9	11	10
1220 Appropriations transferred to other accts [013–1450] .....	–116	–130	.....
1221 Appropriations transferred from other acct [012–5209] .....	144	146	146
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–11	–10	.....
1260 Appropriations, mandatory (total) .....	26	17	156
1900 Budget authority (total) .....	26	17	26
1930 Total budgetary resources available .....	33	17	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	10

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	41	37
3010 Obligations incurred, unexpired accounts .....	33	17	16
3020 Outlays (gross) .....	–6	–21	.....

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES—Continued

Program and Financing—Continued

Identification code 013-5139-0-2-376	2015 actual	2016 est.	2017 est.
3050 Unpaid obligations, end of year	41	37	53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	41	37
3200 Obligated balance, end of year	41	37	53
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			-130
Outlays, gross:			
4010 Outlays from new discretionary authority			-130
Mandatory:			
4090 Budget authority, gross	26	17	156
Outlays, gross:			
4100 Outlays from new mandatory authority		10	94
4101 Outlays from mandatory balances	6	11	36
4110 Outlays, gross (total)	6	21	130
4180 Budget authority, net (total)	26	17	26
4190 Outlays, net (total)	6	21	

An amount equal to 30 percent of the gross receipts from customs duties on imported fishery products is transferred to the Department of Commerce annually from the Department of Agriculture. A portion of the funds is transferred to offset the appropriation requirements for fisheries research and management in the Operations, Research, and Facilities account. Any remaining funds support the Saltonstall-Kennedy grants program for fisheries research and development projects to enhance the productivity and improve the sustainable yield of domestic marine fisheries resources.

Employment Summary

Identification code 013-5139-0-2-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	3		

FISHERMEN'S CONTINGENCY FUND

For carrying out the provisions of title IV of Public Law 95-372, not to exceed \$350,000, to be derived from receipts collected pursuant to that Act, to remain available until expended. (*Department of Commerce Appropriations Act, 2016.*)

The Fishermen's Contingency Fund is authorized under Section 402 of Title IV of the Outer Continental Shelf Lands Act Amendments of 1978. NOAA compensates U.S. commercial fishermen for damage or loss of fishing gear, vessels, and resulting economic loss caused by obstructions related to oil and gas exploration, development, and production in any area of the Outer Continental Shelf. The funds used to provide this compensation are derived from fees collected by the Secretary of the Interior from the holders of leases, exploration permits, easements, or rights-of-way in areas of the Outer Continental Shelf. This activity is funded entirely through user fees. Disbursements can be made only to the extent authorized in appropriation acts.

FISHERIES DISASTER ASSISTANCE

For necessary expenses associated with improving the environmental and economic resilience of fisheries declared a fishery disaster by the Secretary of Commerce, \$9,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 013-2055-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Declared Fishery Disaster - (State TBD)	45	4	9

0900 Total new obligations (object class 41.0)	45	4	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	4	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			9
1930 Total budgetary resources available	49	4	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	35	4
3010 Obligations incurred, unexpired accounts	45	4	9
3020 Outlays (gross)	-30	-35	-13
3050 Unpaid obligations, end of year	35	4	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	35	4
3200 Obligated balance, end of year	35	4	

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			9
Outlays, gross:			
4010 Outlays from new discretionary authority			9
4011 Outlays from discretionary balances	30	35	4
4020 Outlays, gross (total)	30	35	13
4180 Budget authority, net (total)			9
4190 Outlays, net (total)	30	35	13

The purpose of the Fisheries Disaster Assistance Fund is to improve the environmental and economic resilience of fisheries declared a fishery disaster by the Secretary. Funds will be used for fishery-related ecosystem restoration, research, non-Federal buyback programs, or training.

NORTH PACIFIC FISHERY OBSERVER FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5598-0-2-306	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Fees, North Pacific Fishery Observer Fund	3	4	4
2000 Total: Balances and receipts	3	4	4
Appropriations:			
Current law:			
2101 North Pacific Fishery Observer Fund	-3	-4	-4
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 013-5598-0-2-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 North Pacific Fishery Observer Fund	3	5	4
0900 Total new obligations (object class 25.2)	3	5	4

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	4	4
1930 Total budgetary resources available	4	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	2	1
3010 Obligations incurred, unexpired accounts	3	5	4
3020 Outlays (gross)	-4	-6	-4
3050 Unpaid obligations, end of year	2	1	1

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	2	1
3200	Obligated balance, end of year .....	2	1	1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	4	4
4101	Outlays from mandatory balances .....	3	2	.....
4110	Outlays, gross (total) .....	4	6	4
4180	Budget authority, net (total) .....	3	4	4
4190	Outlays, net (total) .....	4	6	4

In 2013 the North Pacific Observer Fund was established to support the restructured North Pacific Groundfish Observer Program (NPGOP). The observer program places all vessels and processors in the groundfish and halibut fisheries off Alaska into one of two observer coverage categories: (1) a full coverage category, and (2) a partial coverage category. Vessels and processors in the full coverage category (100% observer coverage) will obtain observers by contracting directly with observer providers. Vessels and processors in the partial coverage category (less than 100% observer coverage) will no longer contract independently with an observer provider, and will be required to carry an observer when they are selected through the Observer Declare and Deploy System (ODDS). Additionally, landings from all vessels in the partial coverage category will be assessed a 1.25 percent fee on standard ex-vessel prices of the landed catch weight of groundfish and halibut to be deposited in the North Pacific Observer Fund. The fee percentage is set in regulation and will be reviewed periodically by the North Pacific Fishery Management Council. The money generated by this fee will be used to pay for observer coverage on the vessels and processors in the partial coverage category in the following year.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5362-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	.....	1
Receipts:			
Current law:			
1140 Interest Earned, Environmental Improvement and Restoration Fund .....	.....	10	4
2000 Total: Balances and receipts .....	1	10	5
Appropriations:			
Current law:			
2101 Environmental Improvement and Restoration Fund .....	.....	-10	-4
2103 Environmental Improvement and Restoration Fund .....	-1	.....	.....
2132 Environmental Improvement and Restoration Fund .....	.....	1	.....
2199 Total current law appropriations .....	-1	-9	-4
2999 Total appropriations .....	-1	-9	-4
5099 Balance, end of year .....	.....	1	1

Program and Financing (in millions of dollars)

Identification code 013-5362-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 North Pacific Research Board .....	9	9	1
0900 Total new obligations (object class 41.0) .....	9	9	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	.....	10	4
1203 Appropriation (previously unavailable) .....	1	.....	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	.....	-1	.....

1260	Appropriations, mandatory (total) .....	1	9	4
1930	Total budgetary resources available .....	10	10	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	4

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	28	29	17
3010	Obligations incurred, unexpired accounts .....	9	9	1
3020	Outlays (gross) .....	-8	-21	-12
3050	Unpaid obligations, end of year .....	29	17	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	28	29	17
3200	Obligated balance, end of year .....	29	17	6

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross .....	1	9	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	.....	1	.....
4101	Outlays from mandatory balances .....	8	20	12
4110	Outlays, gross (total) .....	8	21	12
4180	Budget authority, net (total) .....	1	9	4
4190	Outlays, net (total) .....	8	21	12

This fund was established by Title IV of P.L. 105-83. Twenty percent of the interest earned from this fund is made available to the Department of Commerce. Funds are to be used by Federal, State, private or foreign organizations or individuals to conduct research activities on or relating to the fisheries or marine ecosystems in the North Pacific Ocean, Bering Sea, and Arctic Ocean. Research priorities and grant requests are reviewed and approved by the North Pacific Research Board with emphasis placed on cooperative research efforts designed to address pressing fishery management or marine ecosystem information needs.

COASTAL ZONE MANAGEMENT FUND

Status of Direct Loans (in millions of dollars)

Identification code 013-4313-0-3-306	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	19	19	19
1290 Outstanding, end of year .....	19	19	19

This fund consists of loan repayments from the former Coastal Energy Impact Program. The Department of Commerce Appropriations Act, 2012, cancelled all balances in the Coastal Zone Management Fund, made future payments to the Fund subject to the Federal Credit Reform Act of 1990, and eliminated the annual transfer from this account to the Operations, Research, and Facilities account. The display below includes reporting information consistent with all other credit liquidating accounts.

Balance Sheet (in millions of dollars)

Identification code 013-4313-0-3-306	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	21	19
1603 Allowance for estimated uncollectible loans and interest (-) .....	-19	-14
1699 Value of assets related to direct loans .....	2	5
1999 Total assets .....	2	5
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	2	5
4999 Total liabilities and net position .....	2	5

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 013-4316-0-3-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Damage Assessment and Restoration Revolving Fund (Reimbursable)	139	153	76
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	111	167	112
1011 Unobligated balance transfer from other acct [014-1618]	6	6	6
1021 Recoveries of prior year unpaid obligations	51		
1050 Unobligated balance (total)	162	173	118
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-1618]	4	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected	141	86	10
1801 Change in uncollected payments, Federal sources	-1		
1850 Spending auth from offsetting collections, mand (total)	140	86	10
1900 Budget authority (total)	144	92	16
1930 Total budgetary resources available	306	265	134
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	167	112	58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	136	94	134
3010 Obligations incurred, unexpired accounts	139	153	76
3020 Outlays (gross)	-130	-113	-81
3040 Recoveries of prior year unpaid obligations, unexpired	-51		
3050 Unpaid obligations, end of year	94	134	129
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070 Change in uncollected pymts, Fed sources, unexpired	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	135	94	134
3200 Obligated balance, end of year	94	134	129
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	144	92	16
Outlays, gross:			
4100 Outlays from new mandatory authority	33	46	8
4101 Outlays from mandatory balances	97	67	73
4110 Outlays, gross (total)	130	113	81
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-101	-62	-1
4124 Offsetting governmental collections	-40	-24	-9
4130 Offsets against gross budget authority and outlays (total)	-141	-86	-10
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1		
4160 Budget authority, net (mandatory)	4	6	6
4170 Outlays, net (mandatory)	-11	27	71
4180 Budget authority, net (total)	4	6	6
4190 Outlays, net (total)	-11	27	71

The Damage Assessment and Restoration Revolving Fund is authorized under Section 1012(a) of the Oil Pollution Act of 1990, for the deposit of sums provided by any party or governmental entity to respond to the environmental effects of discharges of oil and other hazardous substances. Through the Revolving Fund, NOAA retains funds that are recovered through settlement or awarded by a court for the assessment and restoration of injured natural resources. NOAA also ensures deposited funds shall remain available to the trustee, without further appropriation, until expended to pay costs associated with the response, damage assessment, and restoration of natural resources.

These program functions are conducted jointly within NOAA by the Office of General Counsel, the National Ocean Service, and the National Marine Fisheries Service.

Object Classification (in millions of dollars)

Identification code 013-4316-0-3-306	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	2	2
11.3 Other than full-time permanent	1		
11.9 Total personnel compensation	6	2	2
12.1 Civilian personnel benefits	2	1	1
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	1	2	2
25.3 Other goods and services from Federal sources	88	135	63
26.0 Supplies and materials	1	2	2
41.0 Grants, subsidies, and contributions	7	10	5
44.0 Refunds	33		
99.9 Total new obligations	139	153	76

Employment Summary

Identification code 013-4316-0-3-306	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment	48	16	16

FISHERIES FINANCE PROGRAM ACCOUNT

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year [2016] 2017, obligations of direct loans may not exceed \$24,000,000 for Individual Fishing Quota loans and not to exceed \$100,000,000 for traditional direct loans as authorized by the Merchant Marine Act of 1936. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-1456-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rounding Adjustment		1	
Credit program obligations:			
0705 Reestimates of direct loan subsidy	11	9	
0706 Interest on reestimates of direct loan subsidy	12	2	
0791 Direct program activities, subtotal	23	11	
0900 Total new obligations (object class 25.2)	23	12	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	23	12	
1900 Budget authority (total)	23	12	
1930 Total budgetary resources available	26	15	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	23	12	
3020 Outlays (gross)	-23	-12	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	23	12	
Outlays, gross:			
4100 Outlays from new mandatory authority	23	12	
4180 Budget authority, net (total)	23	12	
4190 Outlays, net (total)	23	12	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 013-1456-0-1-376	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Individual Fishing Quota Loans	1	24	24
115002 Traditional Direct Loans	56	100	100
115999 Total direct loan levels	57	124	124
Direct loan subsidy (in percent):			
132001 Individual Fishing Quota Loans	-2.37	-3.27	-2.2

132002	Traditional Direct Loans	-4.87	-3.05	-36
132999	Weighted average subsidy rate	-4.83	-3.09	-33
	Direct loan subsidy budget authority:			
133001	Individual Fishing Quota Loans		-1	
133002	Traditional Direct Loans	-3	-3	
133999	Total subsidy budget authority	-3	-4	
	Direct loan subsidy outlays:			
134002	Traditional Direct Loans	-6	-6	
134999	Total subsidy outlays	-6	-6	
	Direct loan reestimates:			
135001	Individual Fishing Quota Loans	-1	1	
135002	Traditional Direct Loans	8	-4	
135003	Pacific Ground Fish	1	1	
135008	Crab Buyback loans	3	1	
135999	Total direct loan reestimates	11	-1	
	Guaranteed loan subsidy outlays:			
234002	Guaranteed Traditional Loans	-1		

The Fisheries Finance Program (FFP) is a national loan program that makes long-term fixed-rate financing available to U.S. citizens who otherwise qualify for financing or refinancing of the construction, reconstruction, reconditioning, and, in some cases, the purchasing of fishing vessels, shoreside processing, aquaculture, and mariculture facilities. The FFP also provides fishery-wide financing to ease the transition to sustainable fisheries through its fishing capacity reduction programs and provides financial assistance in the form of loans to fishermen who fish from small vessels and entry-level fishermen to promote stability and reduce consolidation in already rationalized fisheries. Additionally, FFP can provide loans for fisheries investments of Native American Community Development Quota groups.

The FFP operates under the authority of Title XI of the Merchant Marine Act of 1936, as amended; Section 303(a) of the Sustainable Fisheries Act amendments to the Magnuson-Stevens Act; and, from time to time FFP-specific legislation. The overriding guideline for all FFP financings is that they cannot contribute or be construed to contribute to an increase in existing fishing capacity.

FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 013-4324-0-3-376	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations	57	124	124
0713	Payment of interest to Treasury	23	24	24
0740	Negative subsidy obligations	3	4	
0742	Downward reestimate paid to receipt account	10	12	
0743	Interest on downward reestimates	2	1	
0900	Total new obligations	95	165	148
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		2	
1021	Recoveries of prior year unpaid obligations	28	7	7
1024	Unobligated balance of borrowing authority withdrawn	-28	-7	-7
1050	Unobligated balance (total)		2	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	66	135	124
Spending authority from offsetting collections, mandatory:				
1800	Collected	135	103	102
1825	Spending authority from offsetting collections applied to repay debt	-104	-75	-75
1850	Spending auth from offsetting collections, mand (total)	31	28	27
1900	Budget authority (total)	97	163	151
1930	Total budgetary resources available	97	165	151
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2		3

Change in obligated balance:

	2015 actual	2016 est.	2017 est.	
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	182	122	198
3010	Obligations incurred, unexpired accounts	95	165	148
3020	Outlays (gross)	-127	-82	-92
3040	Recoveries of prior year unpaid obligations, unexpired	-28	-7	-7
3050	Unpaid obligations, end of year	122	198	247
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	182	122	198
3200	Obligated balance, end of year	122	198	247

Financing authority and disbursements, net:

	2015 actual	2016 est.	2017 est.	
Mandatory:				
4090	Budget authority, gross	97	163	151
Financing disbursements:				
4110	Outlays, gross (total)	127	82	92
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from program account	-23	-12	
4122	Interest on uninvested funds	-3	-2	-3
4123	Repayments of principal, net	-84	-62	-73
4123	Interest Received on loans	-25	-27	-26
4130	Offsets against gross budget authority and outlays (total)	-135	-103	-102
4160	Budget authority, net (mandatory)	-38	60	49
4170	Outlays, net (mandatory)	-8	-21	-10
4180	Budget authority, net (total)	-38	60	49
4190	Outlays, net (total)	-8	-21	-10

Status of Direct Loans (in millions of dollars)

Identification code 013-4324-0-3-376	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	57	124	124
1150	Total direct loan obligations	57	124	124
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	435	436	456
1231	Disbursements: Direct loan disbursements	86	82	50
1251	Repayments: Repayments and prepayments	-84	-62	-55
1263	Write-offs for default: Direct loans	-1		
1290	Outstanding, end of year	436	456	451

This account covers the financing of direct loans as authorized by the Magnuson-Stevens Fishery Conservation and Management Act to promote market-based approaches to sustainable fisheries management. Funds are not used for purposes that would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 013-4324-0-3-376	2014 actual	2015 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury		2
Investments in US securities:			
1106	Federal Receivables, net	23	12
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	435	436
1402	Interest receivable	4	3
1405	Allowance for subsidy cost (-)	61	65
1499	Net present value of assets related to direct loans	500	504
1999	Total assets	523	518
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable	12	13
2103	Federal liabilities, debt	511	505
2999	Total liabilities	523	518
4999	Total liabilities and net position	523	518

FISHERIES FINANCE GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 013-4314-0-3-376	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....		
2150	Total guaranteed loan commitments .....		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....		
2290	Outstanding, end of year .....		
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	14	
2364	Other adjustments, net .....	-14	
2390	Outstanding, end of year .....		

This account covers the financing of guaranteed loans obligated or committed subsequent to October 1, 1991 as authorized by the Merchant Marine Act of 1936, as amended. Funds are not used for purposes which would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 013-4314-0-3-376	2014 actual	2015 actual
ASSETS:		
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross .....	14
1502	Interest receivable .....	1
1505	Allowance for subsidy cost (-) .....	-15
1599	Net present value of assets related to defaulted guaranteed loans .....	
1999	Total assets .....	

FEDERAL SHIP FINANCING FUND FISHING VESSELS LIQUIDATING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 013-4417-0-3-376	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....		
2290	Outstanding, end of year .....		
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	9	9
2390	Outstanding, end of year .....	9	9

Balance Sheet (in millions of dollars)

Identification code 013-4417-0-3-376	2014 actual	2015 actual
ASSETS:		
1701	Defaulted guaranteed loans, gross .....	9
1703	Allowance for estimated uncollectible loans and interest (-) .....	-8
1799	Value of assets related to loan guarantees .....	1
1999	Total assets .....	1

LIABILITIES:			
2104	Federal liabilities: Resources payable to Treasury .....	1	1
4999	Total liabilities and net position .....	1	1

U.S. PATENT AND TRADEMARK OFFICE

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the United States Patent and Trademark Office (USPTO) provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the USPTO, **[\$3,272,000,000]** \$3,321,024,000, to remain available until expended: *Provided*, That the sum herein appropriated from the general fund shall be reduced as offsetting collections of fees and surcharges assessed and collected by the USPTO under any law are received during fiscal year **[2016]** 2017, so as to result in a fiscal year **[2016]** 2017 appropriation from the general fund estimated at \$0: *Provided further*, That during fiscal year **[2016]** 2017, should the total amount of such offsetting collections be less than **[\$3,272,000,000]** \$3,321,024,000 this amount shall be reduced accordingly: *Provided further*, That any amount received in excess of **[\$3,272,000,000]** \$3,321,024,000 in fiscal year **[2016]** 2017 and deposited in the Patent and Trademark Fee Reserve Fund shall remain available until expended: *Provided further*, That the Director of USPTO shall submit a **[spending plan]** notification to reprogram funds to the Committees on Appropriations of the House of Representatives and the Senate for any amounts made available by the preceding proviso and such **[spending plan]** notification shall be treated as a reprogramming under section **[505]** 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That any amounts reprogrammed in accordance with the preceding proviso shall be transferred to the United States Patent and Trademark Office "Salaries and Expenses" account: *Provided further*, That from amounts provided herein, not to exceed \$900 shall be made available in fiscal year **[2016]** 2017 for official reception and representation expenses: *Provided further*, That in fiscal year **[2016]** 2017 from the amounts made available for "Salaries and Expenses" for the USPTO, the amounts necessary to pay (1) the difference between the percentage of basic pay contributed by the USPTO and employees under section 8334(a) of title 5, United States Code, and the normal cost percentage (as defined by section 8331(17) of that title) as provided by the Office of Personnel Management (OPM) for USPTO's specific use, of basic pay, of employees subject to subchapter III of chapter 83 of that title, and (2) the present value of the otherwise unfunded accruing costs, as determined by OPM for USPTO's specific use of post-retirement life insurance and post-retirement health benefits coverage for all USPTO employees who are enrolled in Federal Employees Health Benefits (FEHB) and Federal Employees Group Life Insurance (FGLI), shall be transferred to the Civil Service Retirement and Disability Fund, the FGLI Fund, and the FEHB Fund, as appropriate, and shall be available for the authorized purposes of those accounts: *Provided further*, That any differences between the present value factors published in OPM's yearly 300 series benefit letters and the factors that OPM provides for USPTO's specific use shall be recognized as an imputed cost on USPTO's financial statements, where applicable: **[Provided further]**, That, notwithstanding any other provision of law, all fees and surcharges assessed and collected by USPTO are available for USPTO only pursuant to section 42(c) of title 35, United States Code, as amended by section 22 of the Leahy-Smith America Invents Act (Public Law 112-29): **[Provided further]**, That within the amounts appropriated, \$2,000,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the USPTO. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-1006-0-1-376	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0801	Patents .....	2,845	2,919
0802	Trademarks .....	331	312
0809	Reimbursable program activities, subtotal .....	3,176	3,231
0900	Total new obligations .....	3,176	3,231
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	503	504

1011	Unobligated balance transfer from other acct [013-1008] ....	148		
1021	Recoveries of prior year unpaid obligations .....	15	25	15
1050	Unobligated balance (total) .....	666	529	407
	<b>Budget authority:</b>			
	Spending authority from offsetting collections, discretionary:			
1700	Base Fee Collections .....	3,009	3,089	3,321
1700	Other Income .....	7	7	7
1710	Spending authority from offsetting collections transferred to other accounts [013-0126] .....	-2	-2	-2
1750	Spending auth from offsetting collections, disc (total) .....	3,014	3,094	3,326
1930	Total budgetary resources available .....	3,680	3,623	3,733
	<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	504	392	489
	<b>Change in obligated balance:</b>			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	550	672	662
3010	Obligations incurred, unexpired accounts .....	3,176	3,231	3,244
3020	Outlays (gross) .....	-3,039	-3,216	-3,236
3040	Recoveries of prior year unpaid obligations, unexpired .....	-15	-25	-15
3050	Unpaid obligations, end of year .....	672	662	655
	<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	550	672	662
3200	Obligated balance, end of year .....	672	662	655
	<b>Budget authority and outlays, net:</b>			
	Discretionary:			
4000	Budget authority, gross .....	3,014	3,094	3,326
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	2,608	2,630	2,827
4011	Outlays from discretionary balances .....	431	586	409
4020	Outlays, gross (total) .....	3,039	3,216	3,236
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-9	-9	-9
4033	Non-Federal sources .....	-3,007	-3,087	-3,319
4040	Offsets against gross budget authority and outlays (total) ....	-3,016	-3,096	-3,328
4070	Budget authority, net (discretionary) .....	-2	-2	-2
4080	Outlays, net (discretionary) .....	23	120	-92
4180	Budget authority, net (total) .....	-2	-2	-2
4190	Outlays, net (total) .....	23	120	-92
	<b>Memorandum (non-add) entries:</b>			
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	938	938	938
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	938	938	938

The United States Patent and Trademark Office (USPTO) issues patents and registers trademarks, which provide protection to inventors and businesses for their inventions and corporate and product identifications. USPTO also advises other U.S. Government agencies on intellectual property issues and promotes stronger intellectual property protections in other countries. USPTO is funded through fees that are paid to obtain and renew patents and trademarks.

**Patent program.**—Requested funding for 2017 will be used for examining patent applications and granting patents. USPTO will continue its aggressive patent pendency reduction agenda to reduce overall pendency and backlog; continue to enhance patent quality; ensure optimal information technology service delivery to all users; improve appeal and post-grant processes; and improve intellectual property protections worldwide. The Budget supports USPTO's administrative efforts to address abusive patent litigation practices and repeats the President's call for Congress to enact legislation that promotes greater transparency in the U.S. patent system and prevents frivolous lawsuits that stifle innovation.

**Trademark program.**—The 2017 Budget provides resources for examining trademark applications; registering trademarks; maintaining high trademark quality; ensuring optimal information technology service delivery to all users; and improving trademark practices worldwide.

**Object Classification** (in millions of dollars)

Identification code 013-1006-0-1-376	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,377	1,464	1,514

11.5	Other personnel compensation .....	140	150	153
11.9	Total personnel compensation .....	1,517	1,614	1,667
12.1	Civilian personnel benefits .....	498	531	554
21.0	Travel and transportation of persons .....	7	7	7
23.1	Rental payments to GSA .....	96	92	93
23.2	Rental payments to others .....	13	20	20
23.3	Communications, utilities, and miscellaneous charges .....	19	22	23
24.0	Printing and reproduction .....	135	134	137
25.1	Advisory and assistance services .....	75	63	62
25.2	Other services from non-Federal sources .....	128	108	106
25.3	Other goods and services from Federal sources .....	42	36	35
25.4	Operation and maintenance of facilities .....	23	19	19
25.7	Operation and maintenance of equipment .....	326	275	270
26.0	Supplies and materials .....	41	44	44
31.0	Equipment .....	253	262	203
44.0	Refunds .....	3	4	4
99.9	Total new obligations .....	3,176	3,231	3,244

**Employment Summary**

Identification code 013-1006-0-1-376	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	12,469	12,757	12,835

**PATENT AND TRADEMARK FEE RESERVE FUND**

**Program and Financing** (in millions of dollars)

Identification code 013-1008-0-1-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	148		
1010 Unobligated balance transfer to other accts [013-1006] .....	-148		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**NATIONAL TECHNICAL INFORMATION SERVICE**

**Federal Funds**

**NTIS REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 013-4295-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 NTIS Revolving Fund (Reimbursable) .....	175	170	146
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	10	10
Budget authority:			
Spending authority from offsetting collections, discretionary:			
Collected .....	162	170	146
1701 Change in uncollected payments, Federal sources .....	8		
1750 Spending auth from offsetting collections, disc (total) .....	170	170	146
1930 Total budgetary resources available .....	185	180	156
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	37	76
3010 Obligations incurred, unexpired accounts .....	175	170	146
3020 Outlays (gross) .....	-163	-131	-156
3050 Unpaid obligations, end of year .....	37	76	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8		
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	16	55
3200 Obligated balance, end of year .....	16	55	45

NTIS REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 013-4295-0-3-376	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	170	170	146
Outlays, gross:			
4010 Outlays from new discretionary authority .....	150	94	80
4011 Outlays from discretionary balances .....	13	37	76
4020 Outlays, gross (total) .....	163	131	156
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-154	-156	-132
4033 Non-Federal sources .....	-8	-14	-14
4040 Offsets against gross budget authority and outlays (total) ....	-162	-170	-146
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8		
4080 Outlays, net (discretionary) .....	1	-39	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	-39	10

The National Technical Information Service (NTIS) collects and disseminates government scientific, technical, and business-related information. NTIS operates this revolving fund for the payment of all expenses incurred in performing these activities.

Object Classification (in millions of dollars)

Identification code 013-4295-0-3-376	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	14	14
12.1 Civilian personnel benefits .....	3	5	5
22.0 Transportation of things .....	1	3	3
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....		4	4
25.2 Other services from non-Federal sources .....	152	133	109
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	2	2	2
99.9 Total new obligations .....	175	170	146

Employment Summary

Identification code 013-4295-0-3-376	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	150	150	150

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY

Federal Funds

SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the National Institute of Standards and Technology (NIST), **[\$690,000,000]** \$730,533,000, to remain available until expended, of which not to exceed \$9,000,000 may be transferred to the "Working Capital Fund": *Provided*, That not to exceed \$5,000 shall be for official reception and representation expenses: *Provided further*, That NIST may provide local transportation for summer undergraduate research fellowship program participants. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-0500-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Laboratory programs .....	602	622	642
0201 Corporate services .....	17	17	21

0301 Standards coordination and special programs .....	78	81	73
0900 Total new obligations .....	697	720	736

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	24	
1021 Recoveries of prior year unpaid obligations .....	5	1	1
1050 Unobligated balance (total) .....	39	25	1
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	676	690	731
1120 Appropriations transferred to NIST WCF [013-4650] .....		-1	-1
1121 Transferred from State and Local Law Enforcement Assistance, DoJ [015-0404] .....	1	1	
1121 Transferred from EAC [525-1650] .....	2	2	2
1121 Transferred from Research, Evaluation, and Statistics, DoJ [015-0401] .....	3	3	3
1160 Appropriation, discretionary (total) .....	682	695	735
1930 Total budgetary resources available .....	721	720	736
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	223	237	235
3010 Obligations incurred, unexpired accounts .....	697	720	736
3020 Outlays (gross) .....	-677	-721	-728
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	237	235	242
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	223	237	235
3200 Obligated balance, end of year .....	237	235	242

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	682	695	735
Outlays, gross:			
4010 Outlays from new discretionary authority .....	491	535	566
4011 Outlays from discretionary balances .....	186	186	162
4020 Outlays, gross (total) .....	677	721	728
4180 Budget authority, net (total) .....	682	695	735
4190 Outlays, net (total) .....	677	721	728

The mission of the National Institute of Standards and Technology (NIST) is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. The NIST laboratory programs work at the frontiers of measurement science to ensure that the U.S. system of measurements is firmly grounded on sound scientific and technical principles. Today, the NIST laboratories address increasingly complex measurement challenges, ranging from the very small (nanoscale devices) to the very large (vehicles and buildings), and from the physical (renewable energy sources) to the virtual (cybersecurity and cloud computing).

The NIST laboratory programs provide industry, academia, and other federal agencies with scientific underpinnings for basic and derived measurement units, international standards, measurement and calibration services, and certified reference materials. NIST laboratory programs also provide expertise in basic and applied research to enable development of test methods and verified data, support the development of consensus-based standards and specifications, and provide user facilities that support innovation in materials science, nanotechnology discovery and fabrication, and other emerging technology areas through the NIST Center for Neutron Research and the NIST Center for Nanoscale Science and Technology. Administration initiative areas in FY 2017 within STRS include research and development investments in measurement science for future computing technologies and applications, ensuring a world class neutron research facility, advanced communications, advanced sensing for manufacturing, bio-manufacturing, and the Lab-to-Markets initiative.

**Object Classification** (in millions of dollars)

Identification code 013-0500-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	229	239	246
11.3 Other than full-time permanent .....	24	24	24
11.5 Other personnel compensation .....	6	6	6
11.9 Total personnel compensation .....	259	269	276
12.1 Civilian personnel benefits .....	79	85	90
21.0 Travel and transportation of persons .....	10	10	12
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	26	34	36
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	6	4	6
25.2 Other services from non-Federal sources .....	77	73	46
25.3 Other goods and services from Federal sources .....	25	29	40
25.5 Research and development contracts .....	3	6	8
25.7 Operation and maintenance of equipment .....	17	15	16
26.0 Supplies and materials .....	34	37	39
31.0 Equipment .....	51	56	60
41.0 Grants, subsidies, and contributions .....	107	98	103
99.9 Total new obligations .....	697	720	736

**Employment Summary**

Identification code 013-0500-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,373	2,477	2,523

**INDUSTRIAL TECHNOLOGY SERVICES**

For necessary expenses for industrial technology services, **[\$155,000,000]** \$188,991,000, to remain available until expended, of which **[\$130,000,000]** \$141,991,000 shall be for the Hollings Manufacturing Extension Partnership, and of which **[\$25,000,000]** \$47,000,000 shall be for the National Network for Manufacturing Innovation. (*Department of Commerce Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 013-0525-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Technology Innovation Program .....	1	5	.....
0002 Hollings Manufacturing Extension Partnership .....	143	152	142
0003 National Network for Manufacturing Innovation .....	.....	28	47
0004 Advanced Manufacturing Technology Consortia .....	12	.....	.....
0100 Total direct program .....	156	185	189
0900 Total new obligations .....	156	185	189
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	33	21	.....
1021 Recoveries of prior year unpaid obligations .....	6	9	.....
1050 Unobligated balance (total) .....	39	30	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	138	155	189
1930 Total budgetary resources available .....	177	185	189
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	21	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	161	141
3010 Obligations incurred, unexpired accounts .....	156	185	189
3020 Outlays (gross) .....	-117	-196	-172
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-9	.....
3050 Unpaid obligations, end of year .....	161	141	158
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	128	161	141
3200 Obligated balance, end of year .....	161	141	158
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	138	155	189

Outlays, gross:		2015 actual	2016 est.	2017 est.
4010	Outlays from new discretionary authority .....	52	60	.....
4011	Outlays from discretionary balances .....	117	144	112
4020	Outlays, gross (total) .....	117	196	172
4180	Budget authority, net (total) .....	138	155	189
4190	Outlays, net (total) .....	117	196	172

The President's Budget request is \$189 million for the Industrial Technology Services (ITS) appropriation, which consists of two programs, the Hollings Manufacturing Extension Partnership (MEP) and the National Network for Manufacturing Innovation (NNMI) Program.

**Hollings Manufacturing Extension Partnership (MEP).**—MEP is a Federal-State-industry partnership that provides U.S. manufacturers with access to technologies, resources, and industry experts. The program consists of 58 MEP Centers that work directly with their local manufacturing communities to strengthen the competitiveness of our Nation's domestic manufacturing base. MEP supports the mission of NIST and the Department of Commerce to promote U.S. innovation and competitiveness and enable economic growth for American industries, workers, and consumers. Services provided by MEP are grounded in technology-related activities, sustainability, efficiencies through continuous improvement, and new product development and market diversification. In FY 2013, MEP began a broad based strategic planning process and developed an operational reform agenda intended to optimize program effectiveness, enhance administrative efficiency, and provide greater financial accountability. In FY 2014, MEP initiated a reform of the national system of MEP centers through a systematic, multi-year series of full and open competitions. MEP has completed the first two rounds of center competitions in 20 states which have thus far resulted in centers focusing more on assisting very small, rural, and start-up manufacturing companies, in addition to putting an emphasis on cash rather than in-kind match to the federal dollar investment from state and local partners. These re-competition efforts are ongoing and will continue in FY 2017. In support of these priority investment areas the Administration urges Congress to consider the potential benefits of adjusting the cost share requirement from the current 2:1 ratio of non-federal to federal funds, which will provide the program with greater flexibility to develop innovative tools and assist small to mid-sized enterprises in workforce development, technology acceleration, manufacturing scale up, and domestic supply chain optimization.

**National Network for Manufacturing Innovation (NNMI) Program.**—The request provides funds for Federal investment in the National Network for Manufacturing Innovation (NNMI) Program, which serves to create an effective manufacturing research infrastructure for U.S. industry and academia to solve industry-relevant problems. The NNMI consists of linked Institutes for Manufacturing Innovation with common goals, but unique concentrations. In an Institute, industry, academia, and government partners leverage existing resources, collaborate, and co-invest to nurture manufacturing innovation and accelerate commercialization. As sustainable manufacturing innovation hubs, the Institutes will create, showcase, and deploy new capabilities, new products, and new processes that can impact commercial production. These institutes will help to build workforce skills and enhance manufacturing capabilities in companies large and small. Institutes will draw together the best talents and capabilities from all the partners to build the proving grounds where innovations flourish and to help advance American domestic manufacturing. The request includes \$47 million for the program, for Institute creation and support, and for efficient coordination among all Institutes stood up by the Department of Commerce and other agencies.

**Object Classification** (in millions of dollars)

Identification code 013-0525-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	10	10
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	9	11	11

INDUSTRIAL TECHNOLOGY SERVICES—Continued  
Object Classification—Continued

Identification code 013-0525-0-1-376	2015 actual	2016 est.	2017 est.
12.1 Civilian personnel benefits .....	3	4	4
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.1 Advisory and assistance services .....		2	1
25.2 Other services from non-Federal sources .....	6	13	8
25.3 Other goods and services from Federal sources .....	2	1	1
26.0 Supplies and materials .....		1	
41.0 Grants, subsidies, and contributions .....	134	150	161
99.9 Total new obligations .....	156	185	189

Employment Summary

Identification code 013-0525-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	80	97	96

CONSTRUCTION OF RESEARCH FACILITIES

For construction of new research facilities, including architectural and engineering design, and for renovation and maintenance of existing facilities, not otherwise provided for the National Institute of Standards and Technology, as authorized by sections 13 through 15 of the National Institute of Standards and Technology Act (15 U.S.C. 278c-278e), **[\$119,000,000] \$94,995,000**, to remain available until expended **[**: *Provided*, That the Secretary of Commerce shall include in the budget justification materials that the Secretary submits to Congress in support of the Department of Commerce budget (as submitted with the budget of the President under section 1105(a) of title 31, United States Code) an estimate for each National Institute of Standards and Technology construction project having a total multi-year program cost of more than \$5,000,000, and simultaneously the budget justification materials shall include an estimate of the budgetary requirements for each such project for each of the 5 subsequent fiscal years**]**. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-0515-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction of Research Facilities (Direct) .....	39	144	95
0801 Construction of Research Facilities (Reimbursable) .....	1	1	
0900 Total new obligations .....	40	145	95

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	13	26	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	15	26	
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	50	119	95
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	51	119	95
1930 Total budgetary resources available .....	66	145	95
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	26		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	104	67	172
3010 Obligations incurred, unexpired accounts .....	40	145	95
3020 Outlays (gross) .....	-75	-40	-51
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	67	172	216
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	104	67	172
3200 Obligated balance, end of year .....	67	172	216

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	51	119	95

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	6	14	11
4011 Outlays from discretionary balances .....	69	26	40
4020 Outlays, gross (total) .....	75	40	51
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-1		
4180 Budget authority, net (total) .....	50	119	95
4190 Outlays, net (total) .....	74	40	51

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	50	119	95
Outlays .....	74	40	51
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			100
Outlays .....			12
<b>Total:</b>			
Budget Authority .....	50	119	195
Outlays .....	74	40	63

The President's Budget supports the construction of new facilities and the renovation and maintenance of NIST's current buildings and laboratories to comply with scientific and engineering requirements, and to keep pace with Federal, State, and local health and safety regulations.

Object Classification (in millions of dollars)

Identification code 013-0515-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	11	11
12.1 Civilian personnel benefits .....	2	4	4
25.2 Other services from non-Federal sources .....	24	33	28
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	3	94	50
41.0 Grants, subsidies, and contributions .....		1	
99.0 Direct obligations .....	38	144	94
99.0 Reimbursable obligations .....	1	1	
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations .....	40	145	95

Employment Summary

Identification code 013-0515-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	83	120	120

CONSTRUCTION OF RESEARCH FACILITIES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 013-0515-4-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction of Research Facilities (Direct) .....			12
0900 Total new obligations (object class 32.0) .....			12

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			100
1930 Total budgetary resources available .....			100
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			88

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			12
3020 Outlays (gross) .....			-12

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			100
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			12

4180	Budget authority, net (total)	100
4190	Outlays, net (total)	12

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 013-4650-0-4-376	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Laboratory programs	144	165	143
0802	Corporate services	4	4	4
0803	Standards coordination and special programs	8	5	4
0900	Total new obligations	156	174	151
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	88	105	105
1050	Unobligated balance (total)	88	105	105
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from NIST STRS [013-0500]		1	1
Spending authority from offsetting collections, discretionary:				
1700	Collected	160	173	150
1701	Change in uncollected payments, Federal sources	13		
1750	Spending auth from offsetting collections, disc (total)	173	173	150
1900	Budget authority (total)	173	174	151
1930	Total budgetary resources available	261	279	256
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	105	105	105
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	181	176	112
3010	Obligations incurred, unexpired accounts	156	174	151
3020	Outlays (gross)	-161	-238	-156
3050	Unpaid obligations, end of year	176	112	107
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-26	-39	-39
3070	Change in uncollected pymts, Fed sources, unexpired	-13		
3090	Uncollected pymts, Fed sources, end of year	-39	-39	-39
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	155	137	73
3200	Obligated balance, end of year	137	73	68
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	173	174	151
Outlays, gross:				
4010	Outlays from new discretionary authority	133	133	116
4011	Outlays from discretionary balances	28	105	40
4020	Outlays, gross (total)	161	238	156
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-124	-109	-92
4033	Non-Federal sources	-36	-64	-58
4040	Offsets against gross budget authority and outlays (total)	-160	-173	-150
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-13		
4070	Budget authority, net (discretionary)		1	1
4080	Outlays, net (discretionary)	1	65	6
4180	Budget authority, net (total)		1	1
4190	Outlays, net (total)	1	65	6

Object Classification (in millions of dollars)

Identification code 013-4650-0-4-376	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	63	66	67
11.3	Other than full-time permanent	7	7	7
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	71	74	75
12.1	Civilian personnel benefits	22	22	22
21.0	Travel and transportation of persons	2	2	2
22.0	Transportation of things	1	1	
23.3	Communications, utilities, and miscellaneous charges	6	6	5
25.1	Advisory and assistance services	2	3	5
25.2	Other services from non-Federal sources	13	20	11
25.3	Other goods and services from Federal sources	5	6	4
25.5	Research and development contracts	1	1	1
25.7	Operation and maintenance of equipment	6	8	4
26.0	Supplies and materials	14	17	10
31.0	Equipment	10	10	10
41.0	Grants, subsidies, and contributions	3	4	2
99.9	Total new obligations	156	174	151

Employment Summary

Identification code 013-4650-0-4-376	2015 actual	2016 est.	2017 est.	
2001	Reimbursable civilian full-time equivalent employment	666	689	689

WIRELESS INNOVATION (WIN) FUND

Program and Financing (in millions of dollars)

Identification code 013-0513-0-1-376	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Public Safety Communications Research Fund (Reimbursable)		40	36
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		93	60
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	93	7	
1930	Total budgetary resources available	93	100	60
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	93	60	24
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			29
3010	Obligations incurred, unexpired accounts		40	36
3020	Outlays (gross)		-11	-31
3050	Unpaid obligations, end of year		29	34
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			29
3200	Obligated balance, end of year		29	34
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	93	7	
Outlays, gross:				
4100	Outlays from new mandatory authority		2	
4101	Outlays from mandatory balances		9	31
4110	Outlays, gross (total)		11	31
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-93	-7	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-93	4	31

The Working Capital Fund finances research and technical services performed for other Government agencies and the public. These activities are funded through advances and reimbursements. The Fund also finances the acquisition of equipment, standard reference materials, and storeroom inventories until issued or sold.

As part of the Middle Class Tax Relief and Job Creation Act of 2012, NIST received resources through the Public Safety Communications Research Fund (PSCRF) to help develop cutting-edge wireless technologies for public safety users. By FY 2016 the PSCRF will receive approximately \$285 million in mandatory funds from spectrum auction proceeds for NIST.

WIRELESS INNOVATION (WIN) FUND—Continued

In partnership with industry and public safety organizations, NIST will conduct research and develop new standards, technologies and applications to advance public safety communications in support of the initiative's efforts to build an interoperable nationwide broadband network for first responders.

Object Classification (in millions of dollars)

Identification code 013-0513-0-1-376	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		2	3
12.1 Civilian personnel benefits .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....		5	4
25.1 Advisory and assistance services .....		2	
25.2 Other services from non-Federal sources .....		16	8
25.3 Other goods and services from Federal sources .....		2	2
25.5 Research and development contracts .....		1	6
25.7 Operation and maintenance of equipment .....		1	
26.0 Supplies and materials .....			1
31.0 Equipment .....		4	2
41.0 Grants, subsidies, and contributions .....		6	8
99.0 Reimbursable obligations .....		40	35
99.5 Adjustment for rounding .....			1
99.9 Total new obligations .....		40	36

Employment Summary

Identification code 013-0513-0-1-376	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....		18	27

NATIONAL NETWORK FOR MANUFACTURING INNOVATION  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 013-0530-4-1-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1,890
1930 Total budgetary resources available .....			1,890
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1,890
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,890
4180 Budget authority, net (total) .....			1,890
4190 Outlays, net (total) .....			

As part of its efforts to revitalize U.S. manufacturing, the Administration is proposing mandatory funding to complete the buildout of a National Network for Manufacturing Innovation (NNMI), consisting of 45 institutes where researchers, companies, and entrepreneurs can come together to develop new manufacturing technologies with broad applications. Each institute will have a unique technology focus. These institutes will help support an ecosystem of manufacturing activity in local areas. The Manufacturing Innovation Institutes will support manufacturing technology commercialization by allowing new manufacturing processes and technologies to progress more smoothly from basic research to implementation in manufacturing.

The NNMI Federal investment is designed to catalyze industry and non-federal co-investment in advanced manufacturing. Each institute is expected to have a plan to become self-sustaining and fully independent of NNMI Federal funds five to seven years after launch. The \$1.9 billion mandatory funding proposal will support 27 institutes, building on 13 institutes already

funded through 2016 and the Budget's support of five new manufacturing institutes in the Departments of Commerce, Defense, and Energy.

NATIONAL TELECOMMUNICATIONS AND  
INFORMATION ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, as provided for by law, of the National Telecommunications and Information Administration (NTIA), **[\$39,500,000] \$50,841,000**, to remain available until September 30, **[2017] 2018: Provided**, That, notwithstanding 31 U.S.C. 1535(d), the Secretary of Commerce shall charge Federal agencies for costs incurred in spectrum management, analysis, operations, and related services, and such fees shall be retained and used as offsetting collections for costs of such spectrum services, to remain available until expended: *Provided further*, That the Secretary of Commerce is authorized to retain and use as offsetting collections all funds transferred, or previously transferred, from other Government agencies for all costs incurred in telecommunications research, engineering, and related activities by the Institute for Telecommunication Sciences of NTIA, in furtherance of its assigned functions under this paragraph, and such funds received from other Government agencies shall remain available until expended. (*Department of Commerce Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 013-0550-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Domestic and international policy .....	7	11	16
0002 Spectrum management .....	9	9	9
0004 Broadband programs .....	14	14	13
0007 Advanced Communication Research .....	9	10	13
0100 Total, direct program .....	39	44	51
0799 Total direct obligations .....	39	44	51
0801 Spectrum management .....	30	48	36
0802 Telecommunication sciences research .....	6	10	8
0899 Total reimbursable obligations .....	36	58	44
0900 Total new obligations .....	75	102	95
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	22	22
1021 Recoveries of prior year unpaid obligations .....	1	4	
1050 Unobligated balance (total) .....	24	26	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38	40	51
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	36	58	44
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	35	58	44
1900 Budget authority (total) .....	73	98	95
1930 Total budgetary resources available .....	97	124	117
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	22	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	30	21
3010 Obligations incurred, unexpired accounts .....	75	102	95
3020 Outlays (gross) .....	-68	-107	-94
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-4	
3050 Unpaid obligations, end of year .....	30	21	22
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	27	18
3200 Obligated balance, end of year .....	27	18	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	73	98	95

Outlays, gross:				
4010	Outlays from new discretionary authority .....	52	78	76
4011	Outlays from discretionary balances .....	16	29	18
4020	Outlays, gross (total) .....	68	107	94
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-36	-58	-44
4040	Offsets against gross budget authority and outlays (total) ....	-36	-58	-44
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	38	40	51
4080	Outlays, net (discretionary) .....	32	49	50
4180	Budget authority, net (total) .....	38	40	51
4190	Outlays, net (total) .....	32	49	50

The National Telecommunications and Information Administration (NTIA) is the principal Executive Branch adviser on domestic and international telecommunications and Internet policy. NTIA also manages the Federal Government's use of the radio frequency spectrum and performs extensive research in telecommunication sciences. During FY 2017, NTIA will continue to evaluate options for repurposing spectrum for broadband use, in support of the President's goal of making 500 MHz of spectrum available for wireless broadband use by 2020. In support of this effort and NTIA's core mission, the 2017 Budget: (1) continues to provide spectrum assignment and analysis support to Federal agencies; (2) completes the administration of the Broadband Technology Opportunities Program (BTOP), a series of broadband grants awarded under the American Recovery and Reinvestment Act of 2009, and ensures appropriate close-out and recovery of unused funds; and (3) supports NTIA's new responsibilities under the Spectrum Pipeline Act of 2015 to help identify additional federal spectrum to be shared or reallocated for commercial use. Additionally, NTIA will continue to leverage the expertise and lessons gained from administering the BTOP grant program by ramping up BroadbandUSA, which encourages partnerships among state, municipal, non-profit, and private-sector organizations and supports deployment of new community broadband systems through online and in-person technical assistance, regional workshops, and guides and tools providing proven solutions to problems in broadband planning, financing, construction, and operations.

**Object Classification** (in millions of dollars)

Identification code 013-0550-0-1-376				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	19	22	25
12.1	Civilian personnel benefits .....	5	6	7
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
25.1	Advisory and assistance services .....		1	2
25.2	Other services from non-Federal sources .....	3	3	2
25.3	Other goods and services from Federal sources .....	8	7	10
31.0	Equipment .....	2	3	3
99.0	Direct obligations .....	39	44	51
99.0	Reimbursable obligations .....	36	58	44
99.9	Total new obligations .....	75	102	95

**Employment Summary**

Identification code 013-0550-0-1-376				
1001	Direct civilian full-time equivalent employment .....	121	160	177
2001	Reimbursable civilian full-time equivalent employment .....	139	160	170

**Program and Financing** (in millions of dollars)

Identification code 013-0551-0-1-503				
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

This program was terminated in FY 2011. However, the 2017 Budget proposes to continue to use grant recoveries and unobligated balances of funds previously appropriated to administer prior-year grants until their expiration.

**INFORMATION INFRASTRUCTURE GRANTS**

This program was discontinued in 2005, and all close-out activities were completed in FY 2012. Amounts remaining in the account relate to deobligations and recoveries.

**BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 013-0554-0-1-376				
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	338	76	10
3020	Outlays (gross) .....	-231	-66	-7
3041	Recoveries of prior year unpaid obligations, expired .....	-31		
3050	Unpaid obligations, end of year .....	76	10	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	338	76	10
3200	Obligated balance, end of year .....	76	10	3
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	231	66	7
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	231	66	7

The American Recovery and Reinvestment Act of 2009 provided over \$4 billion to deploy broadband and promote adoption in underserved areas. NTIA continues to provide oversight of active projects funded through these grants.

**DIGITAL TELEVISION TRANSITION AND PUBLIC SAFETY FUND**

**Program and Financing** (in millions of dollars)

Identification code 013-5396-0-2-376				
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	8,797	8,799	
1031	Other balances not available .....		-8,799	
1050	Unobligated balance (total) .....	8,797		
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2		
1900	Budget authority (total) .....	2		
1930	Total budgetary resources available .....	8,799		
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8,799		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	26	18	11
3020	Outlays (gross) .....	-8	-7	-6

**PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION**

For the administration of prior-year grants, recoveries and unobligated balances of funds previously appropriated are available for the administration of all open grants until their expiration. (*Department of Commerce Appropriations Act, 2016.*)

DIGITAL TELEVISION TRANSITION AND PUBLIC SAFETY FUND—Continued  
Program and Financing—Continued

Identification code 013-5396-0-2-376	2015 actual	2016 est.	2017 est.
3050 Unpaid obligations, end of year .....	18	11	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	18	11
3200 Obligated balance, end of year .....	18	11	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2		
Outlays, gross:			
4101 Outlays from mandatory balances .....	8	7	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	6	7	6
<b>Memorandum (non-add) entries:</b>			
5103 Unexpired unavailable balance, SOY: Fulfilled purpose .....			8,799
5104 Unexpired unavailable balance, EOY: Fulfilled purpose .....		8,799	8,799

The Digital Television Transition and Public Safety Fund, created by the Deficit Reduction Act of 2005, as amended by the DTV Delay Act, received offsetting receipts from the auction of licenses to use electromagnetic spectrum formerly assigned to broadcast television service, and provided funding for several one-time programs from these receipts. Authority for all programs funded under the Act has expired.

STATE AND LOCAL IMPLEMENTATION FUND

Program and Financing (in millions of dollars)

Identification code 013-0516-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 State and Local Implementation Fund (Reimbursable) .....	3	3	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	7	4
1050 Unobligated balance (total) .....	3	7	4
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	131		
1825 Spending authority from offsetting collections applied to repay debt .....	-40		
1827 Spending authority from offsetting collections substituted for borrowing authority .....	-84		
1850 Spending auth from offsetting collections, mand (total) .....	7		
1900 Budget authority (total) .....	7		
1930 Total budgetary resources available .....	10	7	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	4	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110	93	46
3010 Obligations incurred, unexpired accounts .....	3	3	2
3020 Outlays (gross) .....	-20	-50	-37
3050 Unpaid obligations, end of year .....	93	46	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	110	93	46
3200 Obligated balance, end of year .....	93	46	11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1		
4101 Outlays from mandatory balances .....	19	50	37
4110 Outlays, gross (total) .....	20	50	37
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-131		

4180 Budget authority, net (total) .....	-124		
4190 Outlays, net (total) .....	-111	50	37

The Middle Class Tax Relief and Job Creation Act of 2012 provided \$135 million in borrowing authority for grants to States and localities to plan for the build-out of a nationwide broadband network for first responders. Proceeds from spectrum auctions through the Public Safety Trust Fund reimbursed these costs in FY 2015. Activity in FY 2016 and beyond is for the administration of grants previously awarded.

Object Classification (in millions of dollars)

Identification code 013-0516-0-1-376	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Reimbursable obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations .....	3	3	2

Employment Summary

Identification code 013-0516-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	3

NETWORK CONSTRUCTION FUND

Program and Financing (in millions of dollars)

Identification code 013-4358-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 FirstNet .....	74	115	6,526
0802 NTIA Opt-Out .....	1	3	3
0900 Total new obligations .....	75	118	6,529
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		6,322	6,571
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6,397	367	
1930 Total budgetary resources available .....	6,397	6,689	6,571
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6,322	6,571	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		35	57
3010 Obligations incurred, unexpired accounts .....	75	118	6,529
3020 Outlays (gross) .....	-40	-96	-600
3050 Unpaid obligations, end of year .....	35	57	5,986
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		35	57
3200 Obligated balance, end of year .....	35	57	5,986
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6,397	367	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	65	
4101 Outlays from mandatory balances .....	39	31	600
4110 Outlays, gross (total) .....	40	96	600
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-6,397	-367	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-6,357	-271	600

The Middle Class Tax Relief and Job Creation Act of 2012 created the First Responder Network Authority (FirstNet) to manage the construction, deployment, and operations of a nationwide, interoperable public safety broadband network for use by first responders. FirstNet is an independent

entity within the Department of Commerce's National Telecommunications and Information Administration and is overseen by a 15-member Board comprised of representatives from the first responder community, Federal agencies, and the private sector. The Act also created the Network Construction Fund, which receives transfers from the Public Safety Trust Fund in support of the construction and deployment of the nationwide broadband network, as well as to provide funding to states who may choose to opt out of FirstNet's proposed buildout plan for that state. The spending authority presented in the Budget comprises the full amount of authorized funding for the Network Construction Fund and FirstNet, which are derived from proceeds of spectrum auctions, including the AWS-3 auction. The FY 2016 obligation and outlay activity reflect Board-approved budget decisions made through August 2015, which the Board may subsequently revise. As of February 2016, the FirstNet Board had not approved FY 2017 obligation and outlay levels, so those amounts reflect preliminary projections. The estimates will be updated upon approval of requirements and associated funding amounts by the FirstNet Board. This account also funds NTIA administrative costs related to grant-making activity for the opt-out program under the Act. In FY 2015 FirstNet accounted for \$74 million in obligations and \$40 million in outlays from the Fund, while NTIA accounted for \$1 million in obligations and \$0.1 million in outlays.

**Object Classification** (in millions of dollars)

Identification code 013-4358-0-3-376	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	19	22
12.1 Civilian personnel benefits .....	3	5	7
21.0 Travel and transportation of persons .....	1	3	3
23.1 Rental payments to GSA .....	1	1	2
25.1 Advisory and assistance services .....	19	3	3
25.2 Other services from non-Federal sources .....	8	17	6,463
25.3 Other goods and services from Federal sources .....	33	24	23
31.0 Equipment .....	1	6	6
41.0 Grants, subsidies, and contributions .....		40	
99.9 Total new obligations .....	75	118	6,529

**Employment Summary**

Identification code 013-4358-0-3-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	67	132	162

**Trust Funds**

**PUBLIC SAFETY TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 013-8233-0-7-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	5	372	4,850
0198 Adjustment for FYs 13 and 14 sequestration .....	132		
0199 Balance, start of year .....	137	372	4,850
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Spectrum Auction Receipts, Public Safety Trust Fund .....	18,628	12,925	13,360
2000 Total: Balances and receipts .....	18,765	13,297	18,210
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Public Safety Trust Fund .....	-18,628	-8,096	
2103 Public Safety Trust Fund .....	-137	-372	-21
2132 Public Safety Trust Fund .....	372	21	
2199 Total current law appropriations .....	-18,393	-8,447	-21
2999 Total appropriations .....	-18,393	-8,447	-21
5099 Balance, end of year .....	372	4,850	18,189

**Program and Financing** (in millions of dollars)

Identification code 013-8233-0-7-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public Safety Trust Fund (Direct) .....	6,396	366	
0002 NTIA Programmatic and Oversight .....	3	3	7
0003 First Net Administrative .....	9	11	13
0004 NIST Public Safety Wireless Research .....	93	194	14
0005 Transportation Next Generation E-911 .....		105	8
0006 State and Local Implementation Program .....	131		
0007 NTIA Next Generation 9-1-1 .....		2	
0900 Total new obligations .....	6,632	681	42
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,792	158	143
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	1,794	158	143
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	18,628	8,096	
1203 Appropriation (previously unavailable) .....	137	372	21
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-372	-21	
1235 Capital transfer of appropriations to general fund .....	-12,619	-7,781	
1236 Appropriations applied to repay debt .....	-779		
1260 Appropriations, mandatory (total) .....	4,995	666	21
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1		
1900 Budget authority (total) .....	4,996	666	21
1930 Total budgetary resources available .....	6,790	824	164
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	158	143	122
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	10	10
3010 Obligations incurred, unexpired accounts .....	6,632	681	42
3020 Outlays (gross) .....	-6,648	-681	-41
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	10	10	11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	28	10	10
3200 Obligated balance, end of year .....	10	10	11
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	4,996	666	21
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	666	21
4101 Outlays from mandatory balances .....	6,647	15	20
4110 Outlays, gross (total) .....	6,648	681	41
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1		
4180 Budget authority, net (total) .....	4,995	666	21
4190 Outlays, net (total) .....	6,647	681	41
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....	-779		

The Middle Class Tax Relief and Job Creation Act of 2012 (the Act) authorized the repurposing of spectrum from private license holders and Federal agencies to flexible use through specified auctions. A portion of the proceeds from these auctions net of certain costs have been deposited in the Public Safety Trust Fund. The Act directs that up to \$7 billion of these proceeds be used to support the establishment of a nationwide, inter-operable public safety broadband network for use by first responders and further authorizes additional transfers for planning and research activities related to emergency communications. The Act created the First Responder Network Authority (FirstNet) within the National Telecommunications and Information Administration (NTIA) to manage the building, deployment, and operations of a nationwide network and allowed NTIA to borrow \$2 billion prior to the auctions authorized by the Act to support the establishment of the network.

PUBLIC SAFETY TRUST FUND—Continued

NTIA borrowed \$2 billion in FY 2014 as authorized by the Act. Also in FY 2014, the Federal Communications Commission (FCC) transferred \$1.2 billion in auction proceeds from the H block auction to the Public Safety Trust Fund. This amount funded partial repayment to Treasury for the borrowings made by NTIA for FirstNet start-up costs and NTIA oversight.

The Public Safety Trust Fund received \$18.6 billion from the FCC in FY 2015 as a result of the Advanced Wireless Services 3 (AWS-3) auction, as directed by the Act. This transfer provided for repayment of still outstanding NTIA borrowing, fully funded FirstNet, and provided a down payment for public safety communications research at the National Institute for Standards and Technology. In addition, a portion of the transfer was sent to Treasury's General Fund for deficit reduction.

The amounts presented in the budget schedules for this account for FY 2017 reflect projections of obligations and outlays for NTIA oversight and FirstNet administrative costs. Programmatic work for FirstNet network construction and grants to States authorized by Section 6302 of the Act are presented in the Network Construction Fund and the State and Local Implementation Fund.

As of February 2016, the FirstNet Board had not determined final obligation and outlay estimates for FY 2017. Estimates will be updated upon approval of requirements by the FirstNet Board. This account also funds NTIA oversight of FirstNet and related responsibilities under the Act. In FY 2015, FirstNet accounted for \$9 million in obligations and \$23 million in outlays from the Fund, while NTIA accounted for \$3 million in obligations and \$3 million in outlays.

Object Classification (in millions of dollars)

Identification code 013-8233-0-7-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	6	8
12.1 Civilian personnel benefits .....	1	1	2
23.1 Rental payments to GSA .....	1	.....	.....
25.2 Other services from non-Federal sources .....	3	2	4
25.3 Other goods and services from Federal sources .....	2	4	6
31.0 Equipment .....	.....	.....	1
94.0 Financial transfers .....	6,620	667	21
99.0 Direct obligations .....	6,631	680	42
99.5 Adjustment for rounding .....	1	1	.....
99.9 Total new obligations .....	6,632	681	42

Employment Summary

Identification code 013-8233-0-7-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	32	93	108

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
013-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	9	.....	.....
013-271710 Fisheries Finance, Negative Subsidies .....	6	6	.....
013-271730 Fisheries Finance, Downward Reestimates of Subsidies .....	12	13	.....
General Fund Offsetting receipts from the public .....	27	19	.....
Intragovernmental payments:			
013-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	1	.....	.....
General Fund Intragovernmental payments .....	1	.....	.....

GENERAL PROVISIONS—DEPARTMENT OF COMMERCE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. During the current fiscal year, applicable appropriations and funds made available to the Department of Commerce by this Act shall be available for the activities specified in the Act of October 26, 1949 (15 U.S.C. 1514), to the extent and in the manner prescribed by the Act, and, notwithstanding 31 U.S.C. 3324, may be used for advanced payments not otherwise authorized only upon the certification of officials designated by the Secretary of Commerce that such payments are in the public interest.

SEC. 102. During the current fiscal year, appropriations made available to the Department of Commerce by this Act for salaries and expenses shall be available for hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; services as authorized by 5 U.S.C. 3109; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

SEC. 103. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Commerce in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section [505]504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That the Secretary of Commerce shall notify the Committees on Appropriations at least 15 days in advance of the acquisition or disposal of any capital asset (including land, structures, and equipment) not specifically provided for in this Act or any other law appropriating funds for the Department of Commerce.]

[SEC. 104. The requirements set forth by section 105 of the Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012 (Public Law 112-55), as amended by section 105 of title I of division B of Public Law 113-6, are hereby adopted by reference and made applicable with respect to fiscal year 2016: *Provided*, That the life cycle cost for the Joint Polar Satellite System is \$11,322,125,000 and the life cycle cost for the Geostationary Operational Environmental Satellite R-Series Program is \$10,828,059,000.]

SEC. [105]104. Notwithstanding any other provision of law, the Secretary may furnish services (including but not limited to utilities, telecommunications, and security services) necessary to support the operation, maintenance, and improvement of space that persons, firms, or organizations are authorized, pursuant to the Public Buildings Cooperative Use Act of 1976 or other authority, to use or occupy in the Herbert C. Hoover Building, Washington, DC, or other buildings, the maintenance, operation, and protection of which has been delegated to the Secretary from the Administrator of General Services pursuant to the Federal Property and Administrative Services Act of 1949 on a reimbursable or non-reimbursable basis. Amounts received as reimbursement for services provided under this section or the authority under which the use or occupancy of the space is authorized, up to \$200,000, shall be credited to the appropriation or fund which initially bears the costs of such services.

SEC. [106]105. Nothing in this title shall be construed to prevent a grant recipient from deterring child pornography, copyright infringement, or any other unlawful activity over its networks.

SEC. [107]106. The Administrator of the National Oceanic and Atmospheric Administration is authorized to use, with their consent, with reimbursement and subject to the limits of available appropriations, the land, services, equipment, personnel, and facilities of any department, agency, or instrumentality of the United States, or of any State, local government, Indian tribal government, Territory, or possession, or of any political subdivision thereof, or of any foreign government or international organization, for purposes related to carrying out the responsibilities of any statute administered by the National Oceanic and Atmospheric Administration.

SEC. [108]107. The National Technical Information Service shall not charge any customer for a copy of any report or document generated by the Legislative Branch unless the Service has provided information to the customer on how an electronic copy of such report or document may be accessed and downloaded for free online. Should a customer still require the Service to provide a printed or digital copy of the report or document, the charge shall be limited to recovering the Service's cost of processing, reproducing, and delivering such report or document.

SEC. [109]108. The Secretary of Commerce may waive the requirement for bonds under 40 U.S.C. 3131 with respect to contracts for the construction, alteration, or repair of vessels, regardless of the terms of the contracts as to payment or title, when the contract is made under the Coast and Geodetic Survey Act of 1947 (33 U.S.C. 883a et seq.).

[SEC. 110. (a) None of the funds made available by this Act or any other appropriations Act may be used by the Secretary of Commerce for management activities pursuant to the Fishery Management Plan for the Reef Fish Resources of the Gulf

of Mexico or any amendment to such Plan unless such management is conducted beyond the seaward boundary of a coastal State as set out under subsection (b).

(b) Notwithstanding any other provision of law, for the purpose of carrying out activities pursuant to the Fishery Management Plan for the Reef Fish Resources of the Gulf of Mexico or any amendment to such Plan, the seaward boundary of a coastal State in the Gulf of Mexico is a line 9 nautical miles seaward from the baseline from which the territorial sea of the United States is measured.】

SEC. 【111】109. To carry out the responsibilities of the National Oceanic and Atmospheric Administration (NOAA), the Administrator of NOAA is authorized to: (1) enter into grants and cooperative agreements with; (2) use on a non-reimbursable basis land, services, equipment, personnel, and facilities provided by; and (3) receive and expend funds made available on a consensual basis from: a Federal agency, State or subdivision thereof, local government, tribal government, territory, or possession or any subdivisions thereof, *foreign government, international or intergovernmental organization, public or private organization, or individual*. *Provided*, That funds received for permitting and related regulatory activities pursuant to this section shall be deposited under the heading "National Oceanic and Atmospheric Administration—Operations, Research, and Facilities" and shall remain available until 【September 30, 2018】 *expended*, for such purposes: *Provided further*, That all funds within this section and their corresponding uses are subject to section 【505】504 of this Act.

SEC. 【112】110. Amounts provided by this Act or by any prior appropriations Act that remain available for obligation, for necessary expenses of the programs of the Economics and Statistics Administration of the Department of Commerce, including amounts provided for programs of the Bureau of Economic Analysis and the U.S. Census Bureau, shall be available for expenses of cooperative agreements with appropriate entities, including any Federal, State, or local governmental unit, 【or】*international organization, institution of higher education, or commercial or nonprofit organization*, to aid and promote statistical, research, and methodology activities which further the purposes for which such amounts have been made available. (*Department of Commerce Appropriations Act, 2016.*)

## GENERAL PROVISIONS

(INCLUDING RESCISSIONS)

(INCLUDING TRANSFER OF FUNDS)

【SEC. 501. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress.】

SEC. 【502】501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 【503】502. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 【504】503. If any provision of this Act or the application of such provision to any person or circumstances shall be held invalid, the remainder of the Act and the application of each provision to persons or circumstances other than those as to which it is held invalid shall not be affected thereby.

SEC. 【505】504. None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 【2016】 2017, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates or initiates a new program, project or activity; (2) eliminates a program, project or activity; (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes or renames offices, programs or activities; (6) contracts out or privatizes any functions or activities presently performed by Federal employees; (7) augments existing programs, projects or activities in excess of 【\$500,000】 \$1,000,000 or 10 percent, whichever is less, or reduces by 10 percent funding for any program, project or activity, or numbers of personnel by 10 percent; or (8) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, projects or activities as approved by Congress; unless the House and Senate Committees on Appropriations are notified 15 days in advance of such reprogramming of funds 【by agencies (excluding agencies of the Department of Justice) funded by this Act and 45 days in advance of such reprogramming of funds by agencies of the Department of Justice funded by this Act】.

SEC. 【506】505. (a) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

(b)(1) To the extent practicable, with respect to authorized purchases of promotional items, funds made available by this Act shall be used to purchase items that are manufactured, produced, or assembled in the United States, its territories or possessions.

(2) The term "promotional items" has the meaning given the term in OMB Circular A-87, Attachment B, Item (1)(f)(3).

【SEC. 507. (a) The Departments of Commerce and Justice, the National Science Foundation, and the National Aeronautics and Space Administration shall provide to the Committees on Appropriations of the House of Representatives and the Senate a quarterly report on the status of balances of appropriations at the account level. For unobligated, uncommitted balances and unobligated, committed balances the quarterly reports shall separately identify the amounts attributable to each source year of appropriation from which the balances were derived. For balances that are obligated, but unexpended, the quarterly reports shall separately identify amounts by the year of obligation.

(b) The report described in subsection (a) shall be submitted within 30 days of the end of each quarter.

(c) If a department or agency is unable to fulfill any aspect of a reporting requirement described in subsection (a) due to a limitation of a current accounting system, the department or agency shall fulfill such aspect to the maximum extent practicable under such accounting system and shall identify and describe in each quarterly report the extent to which such aspect is not fulfilled.】

SEC. 【508】506. Any costs incurred by a department or agency funded under this Act resulting from, or to prevent, personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available to such department or agency: *Provided*, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: *Provided further*, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 【505】 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That for the Department of Commerce, this section shall also apply to actions taken for the care and protection of loan collateral or grant property.

SEC. 【509】507. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products 【, or to seek the reduction or removal by any foreign country of restrictions on the marketing of tobacco or tobacco products, except for restrictions which are not applied equally to all tobacco or tobacco products of the same type】.

【SEC. 510. Notwithstanding any other provision of law, amounts deposited or available in the Fund established by section 1402 of chapter XIV of title II of Public Law 98-473 (42 U.S.C. 10601) in any fiscal year in excess of \$3,042,000,000 shall not be available for obligation until the following fiscal year: *Provided*, That notwithstanding section 1402(d) of such Act, of the amounts available from the Fund for obligation, \$10,000,000 shall remain available until expended to the Department of Justice Office of Inspector General for oversight and auditing purposes.】

SEC. 【511】508. None of the funds made available to the Department of Justice in this Act may be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.

【SEC. 512. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.】

【SEC. 513. Any funds provided in this Act used to implement E-Government Initiatives shall be subject to the procedures set forth in section 505 of this Act.】

【SEC. 514. (a) The Inspectors General of the Department of Commerce, the Department of Justice, the National Aeronautics and Space Administration, the National Science Foundation, and the Legal Services Corporation shall conduct audits, pursuant to the Inspector General Act (5 U.S.C. App.), of grants or contracts for which funds are appropriated by this Act, and shall submit reports to Congress on the progress of such audits, which may include preliminary findings and a description of areas of particular interest, within 180 days after initiating such an audit and every 180 days thereafter until any such audit is completed.

(b) Within 60 days after the date on which an audit described in subsection (a) by an Inspector General is completed, the Secretary, Attorney General, Adminis-

trator, Director, or President, as appropriate, shall make the results of the audit available to the public on the Internet website maintained by the Department, Administration, Foundation, or Corporation, respectively. The results shall be made available in redacted form to exclude—

(1) any matter described in section 552(b) of title 5, United States Code; and

(2) sensitive personal information for any individual, the public access to which could be used to commit identity theft or for other inappropriate or unlawful purposes.

(c) Any person awarded a grant or contract funded by amounts appropriated by this Act shall submit a statement to the Secretary of Commerce, the Attorney General, the Administrator, Director, or President, as appropriate, certifying that no funds derived from the grant or contract will be made available through a sub-contract or in any other manner to another person who has a financial interest in the person awarded the grant or contract.

(d) The provisions of the preceding subsections of this section shall take effect 30 days after the date on which the Director of the Office of Management and Budget, in consultation with the Director of the Office of Government Ethics, determines that a uniform set of rules and requirements, substantially similar to the requirements in such subsections, consistently apply under the executive branch ethics program to all Federal departments, agencies, and entities. ]

**SEC. 515.** (a) None of the funds appropriated or otherwise made available under this Act may be used by the Departments of Commerce and Justice, the National Aeronautics and Space Administration, or the National Science Foundation to acquire a high-impact or moderate-impact information system, as defined for security categorization in the National Institute of Standards and Technology's (NIST) Federal Information Processing Standard Publication 199, "Standards for Security Categorization of Federal Information and Information Systems" unless the agency has—

(1) reviewed the supply chain risk for the information systems against criteria developed by NIST to inform acquisition decisions for high-impact and moderate-impact information systems within the Federal Government;

(2) reviewed the supply chain risk from the presumptive awardee against available and relevant threat information provided by the Federal Bureau of Investigation (FBI) and other appropriate agencies; and

(3) in consultation with the FBI or other appropriate Federal entity, conducted an assessment of any risk of cyber-espionage or sabotage associated with the acquisition of such system, including any risk associated with such system being produced, manufactured, or assembled by one or more entities identified by the United States Government as posing a cyber threat, including but not limited to, those that may be owned, directed, or subsidized by the People's Republic of China.

(b) None of the funds appropriated or otherwise made available under this Act may be used to acquire a high-impact or moderate-impact information system reviewed and assessed under subsection (a) unless the head of the assessing entity described in subsection (a) has—

(1) developed, in consultation with NIST and supply chain risk management experts, a mitigation strategy for any identified risks;

(2) determined that the acquisition of such system is in the national interest of the United States; and

(3) reported that determination to the Committees on Appropriations of the House of Representatives and the Senate and the agency Inspector General.

(c) During fiscal year 2016—

(1) the FBI shall develop best practices for supply chain risk management; and

(2) the Departments of Commerce and Justice, the National Aeronautics and Space Administration, and the National Science Foundation shall incorporate such practices into their information technology procurement practices to the maximum extent practicable. ]

**SEC. [516] 509.** None of the funds made available in this Act shall be used in any way whatsoever to support or justify the use of torture by any official or contract employee of the United States Government.

**SEC. 517.** (a) Notwithstanding any other provision of law or treaty, none of the funds appropriated or otherwise made available under this Act or any other Act may be expended or obligated by a department, agency, or instrumentality of the United States to pay administrative expenses or to compensate an officer or employee of the United States in connection with requiring an export license for the export to Canada of components, parts, accessories or attachments for firearms listed in Category I, section 121.1 of title 22, Code of Federal Regulations (International Trafficking in Arms Regulations (ITAR), part 121, as it existed on April 1, 2005) with a total value not exceeding \$500 wholesale in any transaction, provided that the conditions of subsection (b) of this section are met by the exporting party for such articles.

(b) The foregoing exemption from obtaining an export license—

(1) does not exempt an exporter from filing any Shipper's Export Declaration or notification letter required by law, or from being otherwise eligible under the laws of the United States to possess, ship, transport, or export the articles enumerated in subsection (a); and

(2) does not permit the export without a license of—

(A) fully automatic firearms and components and parts for such firearms, other than for end use by the Federal Government, or a Provincial or Municipal Government of Canada;

(B) barrels, cylinders, receivers (frames) or complete breech mechanisms for any firearm listed in Category I, other than for end use by the Federal Government, or a Provincial or Municipal Government of Canada; or

(C) articles for export from Canada to another foreign destination.

(c) In accordance with this section, the District Directors of Customs and post-masters shall permit the permanent or temporary export without a license of any unclassified articles specified in subsection (a) to Canada for end use in Canada or return to the United States, or temporary import of Canadian-origin items from Canada for end use in the United States or return to Canada for a Canadian citizen.

(d) The President may require export licenses under this section on a temporary basis if the President determines, upon publication first in the Federal Register, that the Government of Canada has implemented or maintained inadequate import controls for the articles specified in subsection (a), such that a significant diversion of such articles has and continues to take place for use in international terrorism or in the escalation of a conflict in another nation. The President shall terminate the requirements of a license when reasons for the temporary requirements have ceased. ]

**SEC. 518.** Notwithstanding any other provision of law, no department, agency, or instrumentality of the United States receiving appropriated funds under this Act or any other Act shall obligate or expend in any way such funds to pay administrative expenses or the compensation of any officer or employee of the United States to deny any application submitted pursuant to 22 U.S.C. 2778(b)(1)(B) and qualified pursuant to 27 CFR section 478.112 or .113, for a permit to import United States origin "curios or relics" firearms, parts, or ammunition. ]

**SEC. 519.** None of the funds made available in this Act may be used to include in any new bilateral or multilateral trade agreement the text of—

(1) paragraph 2 of article 16.7 of the United States-Singapore Free Trade Agreement;

(2) paragraph 4 of article 17.9 of the United States-Australia Free Trade Agreement; or

(3) paragraph 4 of article 15.9 of the United States-Morocco Free Trade Agreement. ]

**SEC. [520] 510.** None of the funds made available in this Act may be used to authorize or issue a national security letter in contravention of any of the following laws authorizing the Federal Bureau of Investigation to issue national security letters: The Right to Financial Privacy Act; The Electronic Communications Privacy Act; The Fair Credit Reporting Act; The National Security Act of 1947; USA PATRIOT Act; USA FREEDOM Act of 2015; and the laws amended by these Acts.

**SEC. [521] 511.** If at any time during any quarter, the program manager of a project within the jurisdiction of the Departments of Commerce or Justice, the National Aeronautics and Space Administration, or the National Science Foundation totaling more than **[\$75,000,000] \$250,000,000** has reasonable cause to believe that the total program cost has increased by 10 percent or more, the program manager shall immediately inform the respective Secretary, Administrator, or Director. The Secretary, Administrator, or Director shall notify the House and Senate Committees on Appropriations within 30 days in writing of such increase, and shall include in such notice: the date on which such determination was made; a statement of the reasons for such increases; the action taken and proposed to be taken to control future cost growth of the project; changes made in the performance or schedule milestones and the degree to which such changes have contributed to the increase in total program costs or procurement costs; new estimates of the total project or procurement costs; and a statement validating that the project's management structure is adequate to control total project or procurement costs.

**SEC. [522] 512.** Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year **[2016] 2017** until the enactment of the Intelligence Authorization Act for fiscal year **[2016] 2017**.

**SEC. [523] 513.** None of the funds appropriated or otherwise made available by this Act may be used to enter into a contract in an amount greater than \$5,000,000 or to award a grant in excess of such amount unless the prospective contractor or grantee certifies in writing to the agency awarding the contract or grant that, to the best of its knowledge and belief, the contractor or grantee has filed all Federal tax returns required during the three years preceding the certification, has not been

convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

**[(RESCISSIONS)]**

**[SEC. 524. (a)** Of the unobligated balances from prior year appropriations available to the Department of Commerce's Economic Development Administration, Economic Development Assistance Programs, \$10,000,000 are rescinded, not later than September 30, 2016.

(b) Of the unobligated balances available to the Department of Justice, the following funds are hereby rescinded, not later than September 30, 2016, from the following accounts in the specified amounts—

(1) "Working Capital Fund", \$69,000,000;

(2) "United States Marshals Service, Federal Prisoner Detention", \$195,974,000;

(3) "Federal Bureau of Investigation, Salaries and Expenses", \$80,767,000 from fees collected to defray expenses for the automation of fingerprint identification and criminal justice information services and associated costs;

(4) "State and Local Law Enforcement Activities, Office on Violence Against Women, Violence Against Women Prevention and Prosecution Programs", \$15,000,000;

(5) "State and Local Law Enforcement Activities, Office of Justice Programs", \$40,000,000;

(6) "State and Local Law Enforcement Activities, Community Oriented Policing Services", \$10,000,000; and

(7) "Legal Activities, Assets Forfeiture Fund", \$458,000,000.

(c) The Departments of Commerce and Justice shall submit to the Committees on Appropriations of the House of Representatives and the Senate a report no later than September 1, 2016, specifying the amount of each rescission made pursuant to subsections (a) and (b).]

**SEC. [525] 514.** None of the funds made available in this Act may be used to purchase first class or premium airline travel in contravention of sections 301–10.122 through 301–10.124 of title 41 of the Code of Federal Regulations.

**[SEC. 526.** None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees from a Federal department or agency, who are stationed in the United States, at any single conference occurring outside the United States unless such conference is a law enforcement training or operational conference for law enforcement personnel and the majority of Federal employees in attendance are law enforcement personnel stationed outside the United States.]

**[SEC. 527.** None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at the United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense.]

**[SEC. 528. (a)** None of the funds appropriated or otherwise made available in this or any other Act may be used to construct, acquire, or modify any facility in the United States, its territories, or possessions to house any individual described in subsection (c) for the purposes of detention or imprisonment in the custody or under the effective control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

(1) is not a citizen of the United States or a member of the Armed Forces of the United States; and

(2) is—

(A) in the custody or under the effective control of the Department of Defense; or

(B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba.]

**SEC. [529] 515.** To the extent practicable, funds made available in this Act should be used to purchase light bulbs that are "Energy Star" qualified or have the "Federal Energy Management Program" designation.

**[SEC. 530.** The Director of the Office of Management and Budget shall instruct any department, agency, or instrumentality of the United States receiving funds ap-

propriated under this Act to track undisbursed balances in expired grant accounts and include in its annual performance plan and performance and accountability reports the following:

(1) Details on future action the department, agency, or instrumentality will take to resolve undisbursed balances in expired grant accounts.

(2) The method that the department, agency, or instrumentality uses to track undisbursed balances in expired grant accounts.

(3) Identification of undisbursed balances in expired grant accounts that may be returned to the Treasury of the United States.

(4) In the preceding 3 fiscal years, details on the total number of expired grant accounts with undisbursed balances (on the first day of each fiscal year) for the department, agency, or instrumentality and the total finances that have not been obligated to a specific project remaining in the accounts.]

**[SEC. 531. (a)** None of the funds made available by this Act may be used for the National Aeronautics and Space Administration (NASA) or the Office of Science and Technology Policy (OSTP) to develop, design, plan, promulgate, implement, or execute a bilateral policy, program, order, or contract of any kind to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company unless such activities are specifically authorized by a law enacted after the date of enactment of this Act.

(b) None of the funds made available by this Act may be used to effectuate the hosting of official Chinese visitors at facilities belonging to or utilized by NASA.

(c) The limitations described in subsections (a) and (b) shall not apply to activities which NASA or OSTP has certified—

(1) pose no risk of resulting in the transfer of technology, data, or other information with national security or economic security implications to China or a Chinese-owned company; and

(2) will not involve knowing interactions with officials who have been determined by the United States to have direct involvement with violations of human rights.

(d) Any certification made under subsection (c) shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate, and the Federal Bureau of Investigation, no later than 30 days prior to the activity in question and shall include a description of the purpose of the activity, its agenda, its major participants, and its location and timing.]

**[SEC. 532.** None of the funds made available by this Act may be used to pay the salaries or expenses of personnel to deny, or fail to act on, an application for the importation of any model of shotgun if—

(1) all other requirements of law with respect to the proposed importation are met; and

(2) no application for the importation of such model of shotgun, in the same configuration, had been denied by the Attorney General prior to January 1, 2011, on the basis that the shotgun was not particularly suitable for or readily adaptable to sporting purposes.]

**SEC. [533] 516. (a)** None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, adjudication, or other law enforcement- or victim assistance-related activity.

**SEC. [534] 517.** The Departments of Commerce and Justice, the National Aeronautics and Space Administration, the National Science Foundation, the Commission on Civil Rights, [the Equal Employment Opportunity Commission,] the International Trade Commission, the Legal Services Corporation, [the Marine Mammal Commission, the Offices of Science and Technology Policy and the United States Trade Representative,] and the State Justice Institute shall submit spending plans, signed by the respective department or agency head, to the Committees on Appropriations of the House of Representatives and the Senate within [45] 60 days after the date of enactment of this Act.

**SEC. [535] 518. (a)** The head of any executive branch department, agency, board, commission, or office funded by this Act shall submit annual reports to the Inspector General or senior ethics official for any entity without an Inspector General, regarding the costs and contracting procedures related to each conference held by any such department, agency, board, commission, or office during fiscal year 2016 for which the cost to the United States Government was more than \$100,000.

(b) Each report submitted shall include, for each conference described in subsection (a) held during the applicable period—

(1) a description of its purpose;

(2) the number of participants attending;

(3) a detailed statement of the costs to the United States Government, including—

- (A) the cost of any food or beverages;
- (B) the cost of any audio-visual services;
- (C) the cost of employee or contractor travel to and from the conference; and
- (D) a discussion of the methodology used to determine which costs relate to the conference; and
- (4) a description of the contracting procedures used including—
  - (A) whether contracts were awarded on a competitive basis; and
  - (B) a discussion of any cost comparison conducted by the departmental component or office in evaluating potential contractors for the conference.

(c) Within 15 days of the date of a conference held by any executive branch department, agency, board, commission, or office funded by this Act during fiscal year 2016 for which the cost to the United States Government was more than \$20,000, the head of any such department, agency, board, commission, or office shall notify the Inspector General or senior ethics official for any entity without an Inspector General, of the date, location, and number of employees attending such conference.

(d) A grant or contract funded by amounts appropriated by this Act may not be used for the purpose of defraying the costs of a banquet or conference that is not directly and programmatically related to the purpose for which the grant or contract was awarded, such as a banquet or conference held in connection with planning, training, assessment, review, or other routine purposes related to a project funded by the grant or contract.

(e) None of the funds made available in this Act may be used for travel and conference activities that are not in compliance with Office of Management and Budget Memorandum M-12-12 dated May 11, 2012 or any subsequent revisions to that memorandum.

【SEC. 536. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.】

【SEC. 537. The head of any executive branch department, agency, board, commission, or office funded by this Act shall require that all contracts within their purview that provide award fees link such fees to successful acquisition outcomes, specifying the terms of cost, schedule, and performance.】

【SEC. 538. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or for performance that does not meet the basic requirements of a contract.】

【SEC. 539. (a) None of the funds made available by this Act may be used to relinquish the responsibility of the National Telecommunications and Information Administration, during fiscal year 2016, with respect to Internet domain name system functions, including responsibility with respect to the authoritative root zone file and the Internet Assigned Numbers Authority functions.

(b) Notwithstanding any other law, subsection (a) of this section shall not apply in fiscal year 2017.】

SEC. 【540】519. No funds provided in this Act shall be used to deny an Inspector General funded under this Act timely access to any records, documents, or other materials available to the department or agency over which that Inspector General has responsibilities under the Inspector General Act of 1978, or to prevent or impede

that Inspector General's access to such records, documents, or other materials, under any provision of law, except a provision of law that expressly refers to the Inspector General and expressly limits the Inspector General's right of access. A department or agency covered by this section shall provide its Inspector General with access to all such records, documents, and other materials in a timely manner. Each Inspector General shall ensure compliance with statutory limitations on disclosure relevant to the information provided by the establishment over which that Inspector General has responsibilities under the Inspector General Act of 1978. Each Inspector General covered by this section shall report to the Committees on Appropriations of the House of Representatives and the Senate within 5 calendar days any failures to comply with this requirement.

【SEC. 541. The Department of Commerce, the National Aeronautics and Space Administration, and the National Science Foundation shall provide a quarterly report to the Committees on Appropriations of the House of Representatives and the Senate on any official travel to China by any employee of such Department or agency, including the purpose of such travel.】

【SEC. 542. None of the funds made available in this Act to the Department of Justice may be used, with respect to any of the States of Alabama, Alaska, Arizona, California, Colorado, Connecticut, Delaware, Florida, Georgia, Hawaii, Illinois, Iowa, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, Oklahoma, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Utah, Vermont, Virginia, Washington, Wisconsin, and Wyoming, or with respect to the District of Columbia, Guam, or Puerto Rico, to prevent any of them from implementing their own laws that authorize the use, distribution, possession, or cultivation of medical marijuana.】

【SEC. 543. None of the funds made available by this Act may be used in contravention of section 7606 ("Legitimacy of Industrial Hemp Research") of the Agricultural Act of 2014 (Public Law 113-79) by the Department of Justice or the Drug Enforcement Administration.】

SEC. 520. *EVALUATION FUNDING FLEXIBILITY PILOT.*—

(a) *This section applies to the statistical-related grant and contracting activities of the—*

(1) *Census Bureau in the Department of Commerce; and*

(2) *National Institute of Justice and Bureau of Justice Statistics in the Department of Justice.*

(b) *Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the functions and organizations identified in subsection (a) for research, evaluation, or statistical purposes shall be available for obligation through September 30, 2021 notwithstanding any cancellation of funds included in this Act. When an office referenced in subsection (a) receives research and evaluation funding from multiple appropriations, such offices may use a single Treasury account for such activities, with funding advanced on a reimbursable basis.*

(c) *Amounts referenced in subsection (b) that are unexpended at the time of completion of a contract, grant, or cooperative agreement may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that account.*

*(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.)*

# DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

## MILITARY PERSONNEL

### ACTIVE AND RESERVE FORCES

These appropriations finance the personnel costs of the Active, Reserve, and Guard forces of the Army, Navy, Marine Corps, and Air Force. They include pay and allowances of officers, enlisted personnel, cadets and midshipmen, permanent change of station travel, inactive duty and active duty training, accruing retirement and health benefits, enlistment, reenlistment and affiliation bonuses, special and incentive pays, and other personnel costs. Over the past decade, significant pay increases, coupled with new requirements to accrue funds for future health benefits, have significantly increased the requirements of these appropriations and have substantially improved the military compensation package to very competitive levels for attracting and retaining the best and brightest to fill the All-Volunteer Force.

The 2016 National Defense Authorization Act (P.L. 114–92) enacted substantial changes to the current military retirement system. The new retirement system, scheduled to take effect January 1, 2018, is a blend of several components to include a defined retired pay benefit, a defined contribution with the Thrift Savings Plan (TSP), and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the current retirement system. The Budget includes a proposal to amend the new blended retirement system to address military department priorities to include flexibility in the payment of continuation pay, the TSP matching percentage, the TSP matching start date, and when the TSP matching contributions terminate.

Against this backdrop of a healthy and very competitive compensation package and facing a significantly constrained fiscal environment, much work has been done to explore how to balance the rate of growth in military pay and benefit costs and individual compensation responsibly, fairly, and effectively. Included in these accounts is funding for a 1.6 percent across-the-board pay raise for all pay grades. Even with this modest increase, military salaries, as defined by Regular Military Compensation, which includes basic pay, a tax-free allowance for housing or the value of in-kind housing, a tax-free allowance for subsistence (food), and the tax savings because these allowances are tax free, will continue to grow and will average more than \$59,500 for enlisted personnel and more than \$109,500 for officers in 2017. Many military personnel also receive one-time or yearly bonuses, monthly special pays, other allowances, and significant non-cash benefits, including comprehensive health care.

The Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108–375) provided permanent, indefinite appropriations to finance the cost of accruing TRICARE benefits of uniformed service-members. These costs are included in the DOD discretionary total.

The Budget includes a proposal to implement annual enrollment fees for the TRICARE-for-Life (TFL) program for Medicare-eligible retirees, phased in over a 4-year period. The Budget also includes a proposal which further adjusts the prescription pharmacy co-payments over a 9-year period for active duty families and all retirees. In addition to discretionary savings in the Defense Health Program, the proposal reduces future accrual costs, resulting in reduced Services' discretionary contributions to the Medicare Eligible Retiree Health Care Fund.

The following summary table reflects the actual base and supplemental 2016 amounts and the base 2017 military personnel appropriation request and the additional amounts for TRICARE accrual funded from permanent, indefinite authority. Total base 2017 military personnel requirements are \$135,269 million.

### MILITARY PERSONNEL TOTALS WITH TRICARE ACCRUAL AMOUNTS

	2016 Appropriation Enacted <sup>1</sup> (\$ mil) With Accrual	2017 Base Appropriation Request (\$ mil) With Accrual
Military Personnel, Army .....	42,770	40,028
Tricare accrual (permanent, indefinite authority) .....	1,890	1,794
<b>Total, Military Personnel, Army .....</b>	<b>44,660</b>	<b>41,822</b>
Military Personnel, Navy .....	27,955	27,952
Tricare accrual (permanent, indefinite authority) .....	1,281	1,241
<b>Total, Military Personnel, Navy .....</b>	<b>29,236</b>	<b>29,193</b>
Military Personnel, Marine Corps .....	12,989	12,813
Tricare accrual (permanent, indefinite authority) .....	726	702
<b>Total, Military Personnel, Marine Corps .....</b>	<b>13,715</b>	<b>13,515</b>
Military Personnel, Air Force .....	28,173	27,945
Tricare accrual (permanent, indefinite authority) .....	1,239	1,210
<b>Total, Military Personnel, Air Force .....</b>	<b>29,412</b>	<b>29,155</b>
Reserve Personnel, Army .....	4,488	4,562
Tricare accrual (permanent, indefinite authority) .....	358	342
<b>Total, Reserve Personnel, Army .....</b>	<b>4,846</b>	<b>4,904</b>
Reserve Personnel, Navy .....	1,880	1,924
Tricare accrual (permanent, indefinite authority) .....	116	112
<b>Total, Reserve Personnel, Navy .....</b>	<b>1,996</b>	<b>2,036</b>
Reserve Personnel, Marine Corps .....	706	745
Tricare accrual (permanent, indefinite authority) .....	68	65
<b>Total, Reserve Personnel, Marine Corps .....</b>	<b>774</b>	<b>810</b>
Reserve Personnel, Air Force .....	1,702	1,743
Tricare accrual (permanent, indefinite authority) .....	118	113
<b>Total, Reserve Personnel, Air Force .....</b>	<b>1,820</b>	<b>1,856</b>
National Guard Personnel, Army .....	8,058	7,911
Tricare accrual (permanent, indefinite authority) .....	630	589
<b>Total, National Guard Personnel, Army .....</b>	<b>8,688</b>	<b>8,500</b>
National Guard Personnel, Air Force .....	3,205	3,280
Tricare accrual (permanent, indefinite authority) .....	204	197
<b>Total, National Guard Personnel, Air Force .....</b>	<b>3,409</b>	<b>3,477</b>
<b>Total, Appropriated Military Personnel Accounts .....</b>	<b>131,924</b>	<b>128,902</b>
<b>Total, Permanent, Indefinite Authority .....</b>	<b>6,629</b>	<b>6,367</b>
<b>Total, Military Personnel .....</b>	<b>138,553</b>	<b>135,269</b>

<sup>1</sup>The 2016 column reflects appropriated amounts available under the Consolidated Appropriations Act, 2016 in Public Law 114–113, including funds provided for overseas contingency operations. Totals may not add due to rounding.

### ACTIVE FORCES

	YEAR-END NUMBER		
	2015 actual <sup>1</sup>	2016 est. <sup>1</sup>	2017 est.
Defense total .....	1,314,110	1,301,300	1,281,900
Officers .....	230,482	228,876	225,858
Enlisted .....	1,070,702	1,059,503	1,043,164
Academy cadets and midshipmen .....	12,926	12,921	12,878
Army .....	491,365	475,000	460,000
Officers .....	94,610	91,941	88,834
Enlisted .....	392,327	378,581	366,656
Military Academy cadets .....	4,428	4,478	4,510
Navy .....	327,862	327,300	322,900
Officers .....	54,223	54,333	54,112
Enlisted .....	269,172	268,524	264,420
Naval Academy midshipmen .....	4,467	4,443	4,368
Marine Corps .....	183,526	182,000	182,000
Officers .....	20,645	20,912	20,912

YEAR-END NUMBER—Continued

	2015 actual <sup>1</sup>	2016 est. <sup>1</sup>	2017 est.
Enlisted .....	162,881	161,088	161,088
Air Force .....	311,357	317,000	317,000
Officers .....	61,004	61,690	62,000
Enlisted .....	246,322	251,310	251,000
Air Force Academy cadets .....	4,031	4,000	4,000

<sup>1</sup>The 2015 column includes 1,365 Army and 826 Marine Corps end strength funded in the 2015 Overseas Contingency Operations (OCO). The 2016 column reflects the projected end strength levels.

RESERVE FORCES

The number of National Guard and Reserve personnel estimated to participate in the Selected Reserve training programs and the number of full-time active duty military personnel provided for are summarized in the following table.

	2015 actual	2016 est.	2017 est.
<b>Defense total</b> .....	819,062	811,000	801,200
Trained inactive duty .....	692,607	685,024	675,446
Training pipeline .....	50,076	48,971	49,403
Full-time active duty .....	76,379	77,005	76,351
<b>Army Reserve</b> .....	198,552	198,000	195,000
Trained inactive duty .....	171,960	172,041	168,733
Training pipeline .....	10,295	9,698	10,006
Full-time active duty .....	16,297	16,261	16,261
<b>Navy Reserve</b> .....	57,359	57,400	58,000
Trained inactive duty .....	46,170	45,847	46,426
Training pipeline .....	1,339	1,619	1,619
Full-time active duty .....	9,850	9,934	9,955
<b>Marine Corps Reserve</b> .....	38,906	38,900	38,500
Trained inactive duty .....	33,510	33,445	32,953
Training pipeline .....	3,161	3,195	3,286
Full-time active duty .....	2,235	2,260	2,261
<b>Air Force Reserve</b> .....	68,494	69,200	69,000
Trained inactive duty .....	63,754	63,681	63,558
Training pipeline .....	2,060	2,487	2,487
Full-time active duty .....	2,680	3,032	2,955
<b>Army National Guard</b> .....	350,023	342,000	335,000
Trained inactive duty .....	291,280	283,031	276,613
Training pipeline .....	28,210	28,199	28,232
Full-time active duty .....	30,533	30,770	30,155
<b>Air National Guard</b> .....	105,728	105,500	105,700
Trained inactive duty .....	85,933	86,979	87,163
Training pipeline .....	5,011	3,773	3,773
Full-time active duty .....	14,784	14,748	14,764

The Reserve Officers' Training Corps (ROTC) program provides training for reserve and regular officer candidates who have enrolled in the course while attending a college at which an ROTC unit has been established. College graduates who satisfactorily complete the advanced course of the program are commissioned and may be ordered to active duty for a minimum of three years.

The Reserve Officers' Training Corps Vitalization Act of 1964, as amended, authorizes a limited number of scholarships for ROTC students on a competitive basis. Successful candidates for the scholarships generally serve a minimum period of four years on active duty upon graduation and appointment as a commissioned officer. A number of scholarship recipients will fulfill their entire obligation in the Reserve components.

The Armed Forces Health Professions Scholarship Program provides a source of active duty commissioned officers for the various health professions.

The numbers of commissioned officers graduated from these programs are summarized below:

	2015 actual	2016 est.	2017 est.
<b>ROTC:</b>			
Army .....	5,578	5,260	5,245
Navy .....	1,067	1,008	975
Air Force .....	1,604	1,400	1,400
<b>Total</b> .....	8,249	7,668	7,620
<b>Marine Corps officer candidates</b> .....	474	287	481
<b>Total</b> .....	474	287	481
<b>Health Professions scholarship:</b>			
Army .....	428	422	434
Navy .....	284	298	299

Air Force .....	485	426	408
<b>Total</b> .....	1,197	1,146	1,141

Federal Funds

MILITARY PERSONNEL, ARMY

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Army on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, [\$41,045,562,000] \$40,028,182,000. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-2010-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	13,568	13,319	12,589
0002 Pay and Allowances of enlisted .....	25,640	24,965	23,374
0003 Pay and allowances of cadets .....	79	80	81
0004 Subsistence of enlisted personnel .....	2,174	2,071	1,827
0005 Permanent change of station travel .....	1,889	1,835	1,812
0006 Other military personnel costs .....	510	499	345
0799 Total direct obligations .....	43,860	42,769	40,028
0801 Military Personnel, Army (Reimbursable) .....	286	267	284
0900 Total new obligations .....	44,146	43,036	40,312
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
1050 Unobligated balance (total) .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44,376	42,892	40,028
1120 Appropriations transferred to other acct [097-9999] .....	-399		
1121 Appropriations transferred from other acct [097-9999] .....	29		
1130 Appropriations permanently reduced .....		-122	
1160 Appropriation, discretionary (total) .....	44,006	42,770	40,028
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	132	267	285
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	154		
1900 Budget authority (total) .....	44,292	43,037	40,313
1930 Total budgetary resources available .....	44,292	43,037	40,314
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-146		
1941 Unexpired unobligated balance, end of year .....		1	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,527	5,109	3,615
3010 Obligations incurred, unexpired accounts .....	44,146	43,036	40,312
3011 Obligations incurred, expired accounts .....	3,352		
3020 Outlays (gross) .....	-43,859	-44,530	-40,374
3041 Recoveries of prior year unpaid obligations, expired .....	-4,057		
3050 Unpaid obligations, end of year .....	5,109	3,615	3,553
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,527	5,109	3,615
3200 Obligated balance, end of year .....	5,109	3,615	3,553

Budget authority and outlays, net:	2015 actual	2016 est.	2017 est.
Discretionary:			
4000 Budget authority, gross .....	44,138	43,037	40,313
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40,961	39,830	37,311
4011 Outlays from discretionary balances .....	2,744	4,700	3,063
4020 Outlays, gross (total) .....	43,705	44,530	40,374
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-132	-259	-276
4033 Non-Federal sources .....	-45	-8	-9
4040 Offsets against gross budget authority and outlays (total) .....	-177	-267	-285

4052	Additional offsets against gross budget authority only: Offsetting collections credited to expired accounts .....	45		
4070	Budget authority, net (discretionary) .....	44,006	42,770	40,028
4080	Outlays, net (discretionary) .....	43,528	44,263	40,089
<b>Mandatory:</b>				
4090	Budget authority, gross .....	154		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	154		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-154		
4180	Budget authority, net (total) .....	44,006	42,770	40,028
4190	Outlays, net (total) .....	43,528	44,263	40,089

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	44,006	42,770	40,028
Outlays .....	43,528	44,263	40,089
<b>Overseas contingency operations:</b>			
Budget Authority .....			2,052
Outlays .....			1,898
<b>Total:</b>			
Budget Authority .....	44,006	42,770	42,080
Outlays .....	43,528	44,263	41,987

**Object Classification** (in millions of dollars)

Identification code 021-2010-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.6 Military personnel - basic allowance for housing .....	7,330	7,211	6,898
11.7 Military personnel .....	24,061	23,426	21,989
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	31,392	30,638	28,888
12.2 Accrued retirement benefits .....	6,546	6,268	5,631
12.2 Other personnel benefits .....	3,831	3,739	3,610
13.0 Benefits for former personnel .....	278	293	169
21.0 Travel and transportation of persons .....	186	432	360
22.0 Transportation of things .....	1,379	1,190	1,187
25.7 Operation and maintenance of equipment .....	39	12	14
26.0 Supplies and materials .....	148	142	131
42.0 Insurance claims and indemnities .....	58	52	38
43.0 Interest and dividends .....	3	3	
99.0 Direct obligations .....	43,860	42,769	40,028
99.0 Reimbursable obligations .....	286	267	284
99.9 Total new obligations .....	44,146	43,036	40,312

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 021-1004-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	391	371	382
0002 Health care contribution - Enlisted .....	1,656	1,519	1,573
0900 Total new obligations (object class 12.2) .....	2,047	1,890	1,955
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	2,047	1,890	1,955
1930 Total budgetary resources available .....	2,047	1,890	1,955
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2,047	1,890	1,955
3020 Outlays (gross) .....	-2,047	-1,890	-1,955
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,047	1,890	1,955
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,047	1,890	1,955
4180 Budget authority, net (total) .....	2,047	1,890	1,955

4190	Outlays, net (total) .....	2,047	1,890	1,955
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**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,047	1,890	1,955
Outlays .....	2,047	1,890	1,955
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-161
Outlays .....			-161
<b>Total:</b>			
Budget Authority .....	2,047	1,890	1,794
Outlays .....	2,047	1,890	1,794

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 021-1004-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....			-83
0002 Health care contribution - Enlisted .....			-78
0900 Total new obligations .....			-161
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			-161
1900 Budget authority (total) .....			-161
1930 Total budgetary resources available .....			-161
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-161
3020 Outlays (gross) .....			161

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			-161
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-161
4180 Budget authority, net (total) .....			-161
4190 Outlays, net (total) .....			-161

**Object Classification** (in millions of dollars)

Identification code 021-1004-2-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
12.2 Military personnel benefits .....			-83
12.2 Military personnel benefits .....			-78
99.9 Total new obligations .....			-161

**MILITARY PERSONNEL, NAVY**

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Navy on active duty (except members of the Reserve provided for elsewhere), midshipmen, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$27,835,183,000]** \$27,951,605,000. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1453-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	7,758	7,735	7,809
0002 Pay and allowances of enlisted personnel .....	17,616	17,807	17,990
0003 Pay and allowances of cadets .....	79	79	82
0004 Subsistence of enlisted personnel .....	1,175	1,241	1,176

MILITARY PERSONNEL, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1453-0-1-051	2015 actual	2016 est.	2017 est.
0005 Permanent change of station travel .....	918	923	741
0006 Other military personnel costs .....	165	170	154
0799 Total direct obligations .....	27,711	27,955	27,952
0801 Military Personnel, Navy (Reimbursable) .....	366	366	330
0900 Total new obligations .....	28,077	28,321	28,282

**Budgetary resources:**

Budget authority:

Appropriations, discretionary:			
1100 Appropriation .....	27,785	28,086	27,952
1120 Appropriations transferred to other acct [097-9999] .....	-64		
1121 Appropriations transferred from other acct [097-9999] .....	76		
1130 Appropriations permanently reduced .....		-131	
1160 Appropriation, discretionary (total) .....	27,797	27,955	27,952
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	191	366	330
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	196	366	330
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	172		
1900 Budget authority (total) .....	28,165	28,321	28,282
1930 Total budgetary resources available .....	28,165	28,321	28,282
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-88		

**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	1,940	1,706	1,601
3010 Obligations incurred, unexpired accounts .....	28,077	28,321	28,282
3011 Obligations incurred, expired accounts .....	476		
3020 Outlays (gross) .....	-28,344	-28,426	-28,177
3041 Recoveries of prior year unpaid obligations, expired .....	-443		
3050 Unpaid obligations, end of year .....	1,706	1,601	1,706
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,934	1,701	1,596
3200 Obligated balance, end of year .....	1,701	1,596	1,701

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	27,993	28,321	28,282
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26,581	26,923	26,884
4011 Outlays from discretionary balances .....	1,591	1,503	1,293
4020 Outlays, gross (total) .....	28,172	28,426	28,177
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-200	-366	-330
4040 Offsets against gross budget authority and outlays (total) .....	-200	-366	-330
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	9		
4060 Additional offsets against budget authority only (total) .....	4		
4070 Budget authority, net (discretionary) .....	27,797	27,955	27,952
4080 Outlays, net (discretionary) .....	27,972	28,060	27,847
Mandatory:			
4090 Budget authority, gross .....	172		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	172		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-172		
4180 Budget authority, net (total) .....	27,797	27,955	27,952
4190 Outlays, net (total) .....	27,972	28,060	27,847

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	27,797	27,955	27,952
Outlays .....	27,972	28,060	27,847
Overseas contingency operations:			
Budget Authority .....			331
Outlays .....			314
Total:			
Budget Authority .....	27,797	27,955	28,283
Outlays .....	27,972	28,060	28,161

Object Classification (in millions of dollars)

Identification code 017-1453-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	5,356	5,544	5,704
11.7 Military personnel .....	14,855	14,801	15,152
11.9 Total personnel compensation .....	20,211	20,345	20,856
12.2 Accrued retirement benefits .....	4,047	4,015	3,795
12.2 Other personnel benefits .....	2,442	2,572	2,436
13.0 Benefits for former personnel .....	86	83	79
21.0 Travel and transportation of persons .....	229	238	176
22.0 Transportation of things .....	581	580	500
25.7 Operation and maintenance of equipment .....	13	12	12
26.0 Supplies and materials .....	81	84	83
42.0 Insurance claims and indemnities .....	20	25	14
43.0 Interest and dividends .....	1	1	1
99.0 Direct obligations .....	27,711	27,955	27,952
99.0 Reimbursable obligations .....	366	366	330
99.9 Total new obligations .....	28,077	28,321	28,282

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY

Program and Financing (in millions of dollars)

Identification code 017-1000-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	222	217	228
0002 Health care contribution - Enlisted .....	1,091	1,064	1,124
0900 Total new obligations (object class 12.2) .....	1,313	1,281	1,352

**Budgetary resources:**

Budget authority:

Appropriations, discretionary:			
1100 Appropriation .....	1,313	1,281	1,352
1930 Total budgetary resources available .....	1,313	1,281	1,352

**Change in obligated balance:**

Unpaid obligations:

3010 Obligations incurred, unexpired accounts .....	1,313	1,281	1,352
3020 Outlays (gross) .....	-1,313	-1,281	-1,352

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	1,313	1,281	1,352
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,313	1,281	1,352
4180 Budget authority, net (total) .....	1,313	1,281	1,352
4190 Outlays, net (total) .....	1,313	1,281	1,352

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,313	1,281	1,352
Outlays .....	1,313	1,281	1,352
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-111
Outlays .....			-111
Total:			
Budget Authority .....	1,313	1,281	1,241

Outlays .....	1,313	1,281	1,241
<b>MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY</b>			
<b>(Legislative proposal, not subject to PAYGO)</b>			
<b>Program and Financing (in millions of dollars)</b>			
Identification code 017-1000-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....			-57
0002 Health care contribution - Enlisted .....			-54
0900 Total new obligations .....			-111
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-111
1900 Budget authority (total) .....			-111
1930 Total budgetary resources available .....			-111
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-111
3020 Outlays (gross) .....			111
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-111
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-111
4180 Budget authority, net (total) .....			-111
4190 Outlays, net (total) .....			-111

**Object Classification (in millions of dollars)**

Identification code 017-1000-2-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.2 Military personnel benefits .....			-57
12.2 Military personnel benefits .....			-54
99.9 Total new obligations .....			-111

**MILITARY PERSONNEL, MARINE CORPS**

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Marine Corps on active duty (except members of the Reserve provided for elsewhere); and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$12,859,152,000] \$12,813,412,000.** (Department of Defense Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 017-1105-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	2,816	2,793	2,763
0002 Pay and allowances of enlisted personnel .....	8,899	8,807	8,699
0004 Subsistence of enlisted personnel .....	782	804	814
0005 Permanent change of station travel .....	445	467	433
0006 Other military personnel costs .....	105	117	104
0799 Total direct obligations .....	13,047	12,988	12,813
0801 Military Personnel, Marine Corps (Reimbursable) .....	28	29	35
0900 Total new obligations .....	13,075	13,017	12,848
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
1050 Unobligated balance (total) .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13,232	13,031	12,813
1120 Appropriations transferred to other acct [097-9999] .....	-93		

1121 Appropriations transferred from other acct [097-9999] ....	18		
1130 Appropriations permanently reduced .....		-42	
1160 Appropriation, discretionary (total) .....	13,157	12,989	12,813
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	27	29	35
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	28	29	35
1900 Budget authority (total) .....	13,185	13,018	12,848
1930 Total budgetary resources available .....	13,185	13,018	12,849
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-110		
1941 Unexpired unobligated balance, end of year .....		1	1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,130	1,134	1,201
3010 Obligations incurred, unexpired accounts .....	13,075	13,017	12,848
3011 Obligations incurred, expired accounts .....	240		
3020 Outlays (gross) .....	-13,044	-12,950	-13,158
3041 Recoveries of prior year unpaid obligations, expired .....	-267		
3050 Unpaid obligations, end of year .....	1,134	1,201	891
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,126	1,133	1,200
3200 Obligated balance, end of year .....	1,133	1,200	890

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	13,185	13,018	12,848
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12,154	12,277	12,118
4011 Outlays from discretionary balances .....	890	673	1,040
4020 Outlays, gross (total) .....	13,044	12,950	13,158
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-24	-29	-35
4033 Non-Federal sources .....	-13		
4040 Offsets against gross budget authority and outlays (total) ....	-37	-29	-35
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	10		
4060 Additional offsets against budget authority only (total) .....	9		
4070 Budget authority, net (discretionary) .....	13,157	12,989	12,813
4080 Outlays, net (discretionary) .....	13,007	12,921	13,123
4180 Budget authority, net (total) .....	13,157	12,989	12,813
4190 Outlays, net (total) .....	13,007	12,921	13,123

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	13,157	12,989	12,813
Outlays .....	13,007	12,921	13,123
Overseas contingency operations:			
Budget Authority .....			180
Outlays .....			170
Total:			
Budget Authority .....	13,157	12,989	12,993
Outlays .....	13,007	12,921	13,293

**Object Classification (in millions of dollars)**

Identification code 017-1105-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	2,030	2,068	2,068
11.7 Military personnel .....	7,375	7,351	7,397
11.9 Total personnel compensation .....	9,405	9,419	9,465
12.2 Accrued retirement benefits .....	2,030	1,987	1,876
12.2 Other personnel benefits .....	1,048	991	922
13.0 Benefits for former personnel .....	77	84	78
21.0 Travel and transportation of persons .....	198	215	199
22.0 Transportation of things .....	196	203	181
25.7 Operation and maintenance of equipment .....	13	10	12

MILITARY PERSONNEL, MARINE CORPS—Continued  
Object Classification—Continued

Identification code 017-1105-0-1-051	2015 actual	2016 est.	2017 est.
26.0 Supplies and materials .....	65	62	67
42.0 Insurance claims and indemnities .....	15	17	13
99.0 Direct obligations .....	13,047	12,988	12,813
99.0 Reimbursable obligations .....	28	29	35
99.9 Total new obligations .....	13,075	13,017	12,848

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS

Program and Financing (in millions of dollars)

Identification code 017-1001-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	87	83	89
0002 Health care contribution - Enlisted .....	675	643	677
0900 Total new obligations (object class 12.2) .....	762	726	766
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	762	726	766
1930 Total budgetary resources available .....	762	726	766
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	762	726	766
3020 Outlays (gross) .....	-762	-726	-766

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	762	726	766
Outlays .....	762	726	766
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-64
Outlays .....			-64
<b>Total:</b>			
Budget Authority .....	762	726	702
Outlays .....	762	726	702

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 017-1001-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....			-33
0002 Health care contribution - Enlisted .....			-31
0900 Total new obligations .....			-64
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-64
1900 Budget authority (total) .....			-64
1930 Total budgetary resources available .....			-64
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-64

3020 Outlays (gross) .....			64
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-64
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-64
4180 Budget authority, net (total) .....			-64
4190 Outlays, net (total) .....			-64

Object Classification (in millions of dollars)

Identification code 017-1001-2-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.2 Military personnel benefits .....			-33
12.2 Military personnel benefits .....			-31
99.9 Total new obligations .....			-64

MILITARY PERSONNEL, AIR FORCE

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Air Force on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$27,679,066,000] \$27,944,615,000.** (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3500-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	8,687	8,844	8,862
0002 Pay and allowances of enlisted .....	16,392	16,710	16,571
0003 Pay and allowances of cadets .....	70	71	72
0004 Subsistence of enlisted personnel .....	1,169	1,167	1,104
0005 Permanent Change of Station Travel .....	1,174	1,233	1,209
0006 Other military personnel costs .....	155	148	126
0799 Total direct obligations .....	27,647	28,173	27,944
0801 Military Personnel, Air Force (Reimbursable) .....	439	451	462
0900 Total new obligations .....	28,086	28,624	28,406
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28,105	28,405	27,945
1120 Appropriations transferred to other acct [097-9999] .....	-294		
1121 Appropriations transferred from other acct [097-9999] .....	27		
1130 Appropriations permanently reduced .....		-232	
1160 Appropriation, discretionary (total) .....	27,838	28,173	27,945
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	190	451	462
1701 Change in uncollected payments, Federal sources .....	108		
1750 Spending auth from offsetting collections, disc (total) .....	298	451	462
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	141		
1900 Budget authority (total) .....	28,277	28,624	28,407
1930 Total budgetary resources available .....	28,277	28,624	28,407
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-191		
1941 Unexpired unobligated balance, end of year .....			1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,162	1,994	1,621
3010 Obligations incurred, unexpired accounts .....	28,086	28,624	28,406
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-28,120	-28,997	-28,279
3041 Recoveries of prior year unpaid obligations, expired .....	-141		
3050 Unpaid obligations, end of year .....	1,994	1,621	1,748
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-50	-106	-106
3070 Change in uncollected pymts, Fed sources, unexpired .....	-108		

3071	Change in uncollected pymts, Fed sources, expired .....	52		
3090	Uncollected pymts, Fed sources, end of year .....	-106	-106	-106
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,112	1,888	1,515
3200	Obligated balance, end of year .....	1,888	1,515	1,642
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	28,136	28,624	28,407
Outlays, gross:				
4010	Outlays from new discretionary authority .....	26,156	27,103	26,898
4011	Outlays from discretionary balances .....	1,823	1,894	1,381
4020	Outlays, gross (total) .....	27,979	28,997	28,279
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-194	-451	-462
4033	Non-Federal sources .....	-29		
4040	Offsets against gross budget authority and outlays (total) ....	-223	-451	-462
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-108		
4052	Offsetting collections credited to expired accounts .....	33		
4060	Additional offsets against budget authority only (total) .....	-75		
4070	Budget authority, net (discretionary) .....	27,838	28,173	27,945
4080	Outlays, net (discretionary) .....	27,756	28,546	27,817
Mandatory:				
4090	Budget authority, gross .....	141		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	141		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-141		
4180	Budget authority, net (total) .....	27,838	28,173	27,945
4190	Outlays, net (total) .....	27,756	28,546	27,817

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	27,838	28,173	27,945
Outlays .....	27,756	28,546	27,817
Overseas contingency operations:			
Budget Authority .....			720
Outlays .....			681
Total:			
Budget Authority .....	27,838	28,173	28,665
Outlays .....	27,756	28,546	28,498

**Object Classification** (in millions of dollars)

Identification code 057-3500-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	4,855	5,195	5,109
11.7 Military personnel .....	14,967	15,078	15,251
11.9 Total personnel compensation .....	19,822	20,273	20,360
12.2 Accrued retirement benefits .....	4,169	4,047	3,954
12.2 Other personnel benefits .....	2,474	2,624	2,451
13.0 Benefits for former personnel .....	80	77	53
21.0 Travel and transportation of persons .....	330	353	347
22.0 Transportation of things .....	664	688	677
25.7 Operation and maintenance of equipment .....	24	24	24
26.0 Supplies and materials .....	55	58	59
42.0 Insurance claims and indemnities .....	27	26	16
43.0 Interest and dividends .....	2	3	3
99.0 Direct obligations .....	27,647	28,173	27,944
99.0 Reimbursable obligations .....	439	451	462
99.9 Total new obligations .....	28,086	28,624	28,406

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, AIR FORCE

**Program and Financing** (in millions of dollars)

Identification code 057-1007-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	252	246	262

0002	Health care contribution - Enlisted .....	1,022	993	1,057
0900	Total new obligations (object class 12.2) .....	1,274	1,239	1,319
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,274	1,239	1,319
1930	Total budgetary resources available .....	1,274	1,239	1,319
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1,274	1,239	1,319
3020	Outlays (gross) .....	-1,274	-1,239	-1,319
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,274	1,239	1,319
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,274	1,239	1,319
4180	Budget authority, net (total) .....	1,274	1,239	1,319
4190	Outlays, net (total) .....	1,274	1,239	1,319

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,274	1,239	1,319
Outlays .....	1,274	1,239	1,319
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-109
Outlays .....			-109
Total:			
Budget Authority .....	1,274	1,239	1,210
Outlays .....	1,274	1,239	1,210

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, AIR FORCE

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 057-1007-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....			-56
0002 Health care contribution - Enlisted .....			-53
0900 Total new obligations .....			-109

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		-109
1900	Budget authority (total) .....		-109
1930	Total budgetary resources available .....		-109

**Change in obligated balance:**

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		-109
3020	Outlays (gross) .....		109

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....		-109
Outlays, gross:			
4010	Outlays from new discretionary authority .....		-109
4180	Budget authority, net (total) .....		-109
4190	Outlays, net (total) .....		-109

**Object Classification** (in millions of dollars)

Identification code 057-1007-2-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.2	Military personnel benefits .....		-56
12.2	Military personnel benefits .....		-53
99.9	Total new obligations .....		-109

RESERVE PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army Reserve on active duty under sections 10211, 10302, and 3038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$4,463,164,000] \$4,561,703,000.** (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-2070-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	4,350	4,488	4,562
0801 Reserve Personnel, Army (Reimbursable) .....	35	35	43
0900 Total new obligations .....	4,385	4,523	4,605
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,343	4,488	4,562
1120 Appropriations transferred to other acct [097-9999] .....	-1		
1121 Appropriations transferred from other acct [097-9999] .....	10		
1160 Appropriation, discretionary (total) .....	4,352	4,488	4,562
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	31	35	43
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	35	35	43
1900 Budget authority (total) .....	4,387	4,523	4,605
1930 Total budgetary resources available .....	4,387	4,523	4,605
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	583	495	482
3010 Obligations incurred, unexpired accounts .....	4,385	4,523	4,605
3011 Obligations incurred, expired accounts .....	308		
3020 Outlays (gross) .....	-4,433	-4,536	-4,528
3041 Recoveries of prior year unpaid obligations, expired .....	-348		
3050 Unpaid obligations, end of year .....	495	482	559
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	570	484	471
3200 Obligated balance, end of year .....	484	471	548
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,387	4,523	4,605
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,099	4,101	4,176
4011 Outlays from discretionary balances .....	334	435	352
4020 Outlays, gross (total) .....	4,433	4,536	4,528
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-32	-35	-43
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	4,352	4,488	4,562
4080 Outlays, net (discretionary) .....	4,401	4,501	4,485
4180 Budget authority, net (total) .....	4,352	4,488	4,562
4190 Outlays, net (total) .....	4,401	4,501	4,485

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,352	4,488	4,562
Outlays .....	4,401	4,501	4,485
Overseas contingency operations:			
Budget Authority .....			43
Outlays .....			39
Total:			
Budget Authority .....	4,352	4,488	4,605
Outlays .....	4,401	4,501	4,524

Object Classification (in millions of dollars)

Identification code 021-2070-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	388	528	518
11.7 Military personnel .....	2,758	2,631	2,724
11.9 Total personnel compensation .....	3,146	3,159	3,242
12.2 Accrued retirement benefits .....	623	620	640
12.2 Other personnel benefits .....	257	396	370
21.0 Travel and transportation of persons .....	273	257	254
26.0 Supplies and materials .....	51	55	55
42.0 Insurance claims and indemnities .....		1	1
99.0 Direct obligations .....	4,350	4,488	4,562
99.0 Reimbursable obligations .....	35	35	43
99.9 Total new obligations .....	4,385	4,523	4,605

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL, ARMY

Program and Financing (in millions of dollars)

Identification code 021-1005-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	395	358	376
0900 Total new obligations (object class 12.2) .....	395	358	376
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	395	358	376
1930 Total budgetary resources available .....	395	358	376
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	395	358	376
3020 Outlays (gross) .....	-395	-358	-376
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	395	358	376
Outlays, gross:			
4010 Outlays from new discretionary authority .....	395	358	376
4180 Budget authority, net (total) .....	395	358	376
4190 Outlays, net (total) .....	395	358	376

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	395	358	376
Outlays .....	395	358	376
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-34
Outlays .....			-34
Total:			
Budget Authority .....	395	358	342

Outlays .....	395	358	342
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**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL, ARMY**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 021-1005-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-20
0002 Health care contribution - Reserve component .....			-14
0900 Total new obligations .....			-34
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-34
1900 Budget authority (total) .....			-34
1930 Total budgetary resources available .....			-34

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-34
3020 Outlays (gross) .....			34

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-34
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-34
4180 Budget authority, net (total) .....			-34
4190 Outlays, net (total) .....			-34

**Object Classification** (in millions of dollars)

Identification code 021-1005-2-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.2 Military - Accrued health care .....			-20
12.2 Military Accrued health care .....			-14
99.9 Total new obligations .....			-34

**RESERVE PERSONNEL, NAVY**

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Navy Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$1,866,891,000] \$1,924,155,000.** (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1405-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	1,886	1,880	1,924
0801 Reserve Personnel, Navy (Reimbursable) .....	33	63	35
0900 Total new obligations .....	1,919	1,943	1,959
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,850	1,880	1,924
1121 Appropriations transferred from other acct [097-9999] .....	39		
1160 Appropriation, discretionary (total) .....	1,889	1,880	1,924
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	28	63	35
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	35	63	35

1900 Budget authority (total) .....	1,924	1,943	1,959
1930 Total budgetary resources available .....	1,924	1,943	1,959
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	151	149
3010 Obligations incurred, unexpired accounts .....	1,919	1,943	1,959
3011 Obligations incurred, expired accounts .....	35		
3020 Outlays (gross) .....	-1,887	-1,945	-1,947
3041 Recoveries of prior year unpaid obligations, expired .....	-44		
3050 Unpaid obligations, end of year .....	151	149	161
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-23	-26	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	105	125	123
3200 Obligated balance, end of year .....	125	123	135

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	1,924	1,943	1,959
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,779	1,802	1,815
4011 Outlays from discretionary balances .....	108	143	132
4020 Outlays, gross (total) .....	1,887	1,945	1,947
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-32	-63	-35
4040 Offsets against gross budget authority and outlays (total) .....	-32	-63	-35
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052 Offsetting collections credited to expired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	1,889	1,880	1,924
4080 Outlays, net (discretionary) .....	1,855	1,882	1,912
4180 Budget authority, net (total) .....	1,889	1,880	1,924
4190 Outlays, net (total) .....	1,855	1,882	1,912

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,889	1,880	1,924
Outlays .....	1,855	1,882	1,912
Overseas contingency operations:			
Budget Authority .....			12
Outlays .....			11
Total:			
Budget Authority .....	1,889	1,880	1,936
Outlays .....	1,855	1,882	1,923

**Object Classification** (in millions of dollars)

Identification code 017-1405-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	251	243	267
11.7 Military personnel .....	1,079	1,084	1,101
11.9 Total personnel compensation .....	1,330	1,327	1,368
12.2 Accrued retirement benefits .....	251	256	252
12.2 Other personnel benefits .....	112	115	112
21.0 Travel and transportation of persons .....	167	160	167
22.0 Transportation of things .....	17	13	16
26.0 Supplies and materials .....	7	8	8
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,885	1,880	1,924
99.0 Reimbursable obligations .....	34	63	35
99.9 Total new obligations .....	1,919	1,943	1,959

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
NAVY

**Program and Financing** (in millions of dollars)

Identification code 017-1002-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	125	116	123
0900 Total new obligations (object class 12.2) .....	125	116	123
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	125	116	123
1930 Total budgetary resources available .....	125	116	123
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	125	116	123
3020 Outlays (gross) .....	-125	-116	-123
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	125	116	123
Outlays, gross:			
4010 Outlays from new discretionary authority .....	125	116	123
4180 Budget authority, net (total) .....	125	116	123
4190 Outlays, net (total) .....	125	116	123

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	125	116	123
Outlays .....	125	116	123
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-11
Outlays .....			-11
<b>Total:</b>			
Budget Authority .....	125	116	112
Outlays .....	125	116	112

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
NAVY

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 017-1002-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-6
0002 Health care contribution - Reserve component .....			-5
0900 Total new obligations .....			-11
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-11
1900 Budget authority (total) .....			-11
1930 Total budgetary resources available .....			-11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-11
3020 Outlays (gross) .....			11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-11
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-11
4180 Budget authority, net (total) .....			-11
4190 Outlays, net (total) .....			-11

**Object Classification** (in millions of dollars)

Identification code 017-1002-2-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.2 Military personnel benefits .....			-6
12.2 Military personnel benefits .....			-5
99.9 Total new obligations .....			-11

RESERVE PERSONNEL, MARINE CORPS

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Marine Corps Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Marine Corps platoon leaders class, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$702,481,000] \$744,995,000.** (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1108-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	695	706	745
0801 Reserve Personnel, Marine Corps (Reimbursable) .....	3	4	4
0900 Total new obligations .....	698	710	749
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	665	706	745
1121 Appropriations transferred from other acct (097-9999) .....	35		
1160 Appropriation, discretionary (total) .....	700	706	745
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	4	4
1900 Budget authority (total) .....	703	710	749
1930 Total budgetary resources available .....	703	710	749
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	53	49
3010 Obligations incurred, unexpired accounts .....	698	710	749
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-697	-714	-743
3041 Recoveries of prior year unpaid obligations, expired .....	-19		
3050 Unpaid obligations, end of year .....	53	49	55
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60	53	49
3200 Obligated balance, end of year .....	53	49	55

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	703	710	749
Outlays, gross:			
4010 Outlays from new discretionary authority .....	658	664	701
4011 Outlays from discretionary balances .....	39	50	42
4020 Outlays, gross (total) .....	697	714	743
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-4	-4
4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) ....	-9	-4	-4
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	700	706	745
4080 Outlays, net (discretionary) .....	688	710	739

4180	Budget authority, net (total) .....	700	706	745
4190	Outlays, net (total) .....	688	710	739

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	700	706	745
Outlays .....	688	710	739
<b>Overseas contingency operations:</b>			
Budget Authority .....			4
Outlays .....			4
<b>Total:</b>			
Budget Authority .....	700	706	749
Outlays .....	688	710	743

**Object Classification** (in millions of dollars)

Identification code 017-1108-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.6 Military personnel - basic allowance for housing .....	70	71	75
11.7 Military personnel .....	425	426	447
11.9 Total personnel compensation .....	495	497	522
12.2 Accrued retirement benefits .....	93	94	100
12.2 Other personnel benefits .....	36	36	46
21.0 Travel and transportation of persons .....	50	59	57
22.0 Transportation of things .....	3	4	4
26.0 Supplies and materials .....	12	11	11
41.0 Grants, subsidies, and contributions .....	1	1	2
42.0 Insurance claims and indemnities .....	4	4	3
99.0 Direct obligations .....	694	706	745
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	698	710	749

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
MARINE CORPS

**Program and Financing** (in millions of dollars)

Identification code 017-1003-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	74	68	72
0900 Total new obligations (object class 12.2) .....	74	68	72
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	74	68	72
1930 Total budgetary resources available .....	74	68	72
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	74	68	72
3020 Outlays (gross) .....	-74	-68	-72
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	74	68	72
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	74	68	72
4180 Budget authority, net (total) .....	74	68	72
4190 Outlays, net (total) .....	74	68	72

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	74	68	72
Outlays .....	74	68	72
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-7
Outlays .....			-7
<b>Total:</b>			
Budget Authority .....	74	68	65

Outlays .....	74	68	65
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MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
MARINE CORPS

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 017-1003-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-4
0002 Health care contribution - Reserve component .....			-3
0900 Total new obligations .....			-7
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			-7
1900 Budget authority (total) .....			-7
1930 Total budgetary resources available .....			-7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-7
3020 Outlays (gross) .....			7
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			-7
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			-7
4180 Budget authority, net (total) .....			-7
4190 Outlays, net (total) .....			-7

**Object Classification** (in millions of dollars)

Identification code 017-1003-2-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
12.2 Military personnel benefits .....			-4
12.2 Military personnel benefits .....			-3
99.9 Total new obligations .....			-7

RESERVE PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air Force Reserve on active duty under sections 10211, 10305, and 8038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$1,682,942,000]** \$1,742,906,000. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 057-3700-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	1,678	1,702	1,743
0801 Reserve Personnel, Air Force (Reimbursable) .....	10	10	10
0900 Total new obligations .....	1,688	1,712	1,753
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1011 Unobligated balance transfer from other acct [097-9999] .....	1		
1050 Unobligated balance (total) .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,672	1,702	1,743
1121 Appropriations transferred from other acct [097-9999] .....	16		

RESERVE PERSONNEL, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3700-0-1-051	2015 actual	2016 est.	2017 est.
1160 Appropriation, discretionary (total) .....	1,688	1,702	1,743
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	10	10
1900 Budget authority (total) .....	1,698	1,712	1,753
1930 Total budgetary resources available .....	1,699	1,713	1,754
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-10		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	187	217	152
3010 Obligations incurred, unexpired accounts .....	1,688	1,712	1,753
3011 Obligations incurred, expired accounts .....	64		
3020 Outlays (gross) .....	-1,651	-1,777	-1,733
3041 Recoveries of prior year unpaid obligations, expired .....	-71		
3050 Unpaid obligations, end of year .....	217	152	172
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	186	216	151
3200 Obligated balance, end of year .....	216	151	171
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,698	1,712	1,753
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,541	1,559	1,596
4011 Outlays from discretionary balances .....	110	218	137
4020 Outlays, gross (total) .....	1,651	1,777	1,733
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-10	-10
4033 Non-Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) ....	-14	-10	-10
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....	4		
4070 Budget authority, net (discretionary) .....	1,688	1,702	1,743
4080 Outlays, net (discretionary) .....	1,637	1,767	1,723
4180 Budget authority, net (total) .....	1,688	1,702	1,743
4190 Outlays, net (total) .....	1,637	1,767	1,723

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,688	1,702	1,743
Outlays .....	1,637	1,767	1,723
Overseas contingency operations:			
Budget Authority .....			21
Outlays .....			19
Total:			
Budget Authority .....	1,688	1,702	1,764
Outlays .....	1,637	1,767	1,742

Object Classification (in millions of dollars)

Identification code 057-3700-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	153	157	165
11.7 Military personnel .....	998	1,019	1,043
11.9 Total personnel compensation .....	1,151	1,176	1,208
12.2 Accrued retirement benefits .....	205	208	214
12.2 Other personnel benefits .....	113	112	112
21.0 Travel and transportation of persons .....	185	182	183
22.0 Transportation of things .....	6	6	7
26.0 Supplies and materials .....	16	16	17
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	1,678	1,702	1,743

99.0 Reimbursable obligations .....	10	10	10
99.9 Total new obligations .....	1,688	1,712	1,753

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1008-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	127	118	125
0900 Total new obligations (object class 12.2) .....	127	118	125
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	127	118	125
1930 Total budgetary resources available .....	127	118	125
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	127	118	125
3020 Outlays (gross) .....	-127	-118	-125
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	127	118	125
Outlays, gross:			
4010 Outlays from new discretionary authority .....	127	118	125
4180 Budget authority, net (total) .....	127	118	125
4190 Outlays, net (total) .....	127	118	125

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	127	118	125
Outlays .....	127	118	125
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-12
Outlays .....			-12
Total:			
Budget Authority .....	127	118	113
Outlays .....	127	118	113

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
AIR FORCE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 057-1008-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-7
0002 Health care contribution - Reserve component .....			-5
0900 Total new obligations .....			-12
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-12
1900 Budget authority (total) .....			-12
1930 Total budgetary resources available .....			-12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-12
3020 Outlays (gross) .....			12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-12
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-12
4180 Budget authority, net (total) .....			-12

4190	Outlays, net (total)			-12
<b>Object Classification (in millions of dollars)</b>				
Identification code 057-1008-2-1-051		2015 actual	2016 est.	2017 est.
Direct obligations:				
12.2	Military personnel benefits			-7
12.2	Military personnel benefits			-5
99.9	Total new obligations			-12

4020	Outlays, gross (total)	8,022	8,284	7,916
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-30	-27	-29
4033	Non-Federal sources		-5	-4
4040	Offsets against gross budget authority and outlays (total)	-30	-32	-33
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-3		
4052	Offsetting collections credited to expired accounts	4		
4060	Additional offsets against budget authority only (total)	1		
4070	Budget authority, net (discretionary)	7,982	8,058	7,911
4080	Outlays, net (discretionary)	7,992	8,252	7,883
4180	Budget authority, net (total)	7,982	8,058	7,911
4190	Outlays, net (total)	7,992	8,252	7,883

NATIONAL GUARD PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army National Guard while on duty under sections 10211, 10302, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **【\$7,892,327,000】** \$7,910,694,000. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-2060-0-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Reserve component training and support	7,904	8,057	7,910
0801	National Guard Personnel, Army (Reimbursable)	29	31	32
0900	Total new obligations	7,933	8,088	7,942
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1			2
1050	Unobligated balance (total)			2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	7,819	8,058	7,911
1121	Appropriations transferred from other acct [097-9999]	163		
1160	Appropriation, discretionary (total)	7,982	8,058	7,911
Spending authority from offsetting collections, discretionary:				
1700	Collected	26	32	33
1701	Change in uncollected payments, Federal sources	3		
1750	Spending auth from offsetting collections, disc (total)	29	32	33
1900	Budget authority (total)	8,011	8,090	7,944
1930	Total budgetary resources available	8,011	8,090	7,946
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-78		
1941	Unexpired unobligated balance, end of year		2	4

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,133	863	667
3010	Obligations incurred, unexpired accounts	7,933	8,088	7,942
3011	Obligations incurred, expired accounts	123		
3020	Outlays (gross)	-8,022	-8,284	-7,916
3041	Recoveries of prior year unpaid obligations, expired	-304		
3050	Unpaid obligations, end of year	863	667	693
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-27	-21	-21
3070	Change in uncollected pymts, Fed sources, unexpired	-3		
3071	Change in uncollected pymts, Fed sources, expired	9		
3090	Uncollected pymts, Fed sources, end of year	-21	-21	-21
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,106	842	646
3200	Obligated balance, end of year	842	646	672

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	8,011	8,090	7,944
Outlays, gross:				
4010	Outlays from new discretionary authority	7,412	7,421	7,287
4011	Outlays from discretionary balances	610	863	629

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	7,982	8,058	7,911
Outlays	7,992	8,252	7,883
Overseas contingency operations:			
Budget Authority			196
Outlays			180
Total:			
Budget Authority	7,982	8,058	8,107
Outlays	7,992	8,252	8,063

Object Classification (in millions of dollars)

Identification code 021-2060-0-1-051		2015 actual	2016 est.	2017 est.
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing	915	918	936
11.7	Military personnel	4,955	5,049	4,922
11.9	Total personnel compensation	5,870	5,967	5,858
12.2	Accrued retirement benefits	1,223	1,175	1,145
12.2	Other personnel benefits	439	515	493
21.0	Travel and transportation of persons	263	286	299
26.0	Supplies and materials	110	113	114
42.0	Insurance claims and indemnities		1	1
99.0	Direct obligations	7,905	8,057	7,910
99.0	Reimbursable obligations	28	31	32
99.9	Total new obligations	7,933	8,088	7,942

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, ARMY

Program and Financing (in millions of dollars)

Identification code 021-1006-0-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component	689	630	649
0900	Total new obligations (object class 12.2)	689	630	649
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	689	630	649
1930	Total budgetary resources available	689	630	649
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts	689	630	649
3020	Outlays (gross)	-689	-630	-649

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	689	630	649
Outlays, gross:				
4010	Outlays from new discretionary authority	689	630	649
4180	Budget authority, net (total)	689	630	649
4190	Outlays, net (total)	689	630	649

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, ARMY—Continued

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	689	630	649
Outlays .....	689	630	649
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-60
Outlays .....			-60
<b>Total:</b>			
Budget Authority .....	689	630	589
Outlays .....	689	630	589

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, ARMY

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 021-1006-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....			-35
0002 Health care contribution - Reserve component .....			-25
0900 Total new obligations .....			-60
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			-60
1900 Budget authority (total) .....			-60
1930 Total budgetary resources available .....			-60
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-60
3020 Outlays (gross) .....			60
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			-60
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			-60
4180 Budget authority, net (total) .....			-60
4190 Outlays, net (total) .....			-60

Object Classification (in millions of dollars)

Identification code 021-1006-2-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
12.2 Military personnel benefits .....			-35
12.2 Military personnel benefits .....			-25
99.9 Total new obligations .....			-60

NATIONAL GUARD PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air National Guard on duty under sections 10211, 10305, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **【\$3,201,890,000】\$3,280,065,000.** (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3850-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	3,225	3,205	3,280

0801 National Guard Personnel, Air Force (Reimbursable) .....	36	48	54
0900 Total new obligations .....	3,261	3,253	3,334

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....			1
1050 Unobligated balance (total) .....			1
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	3,124	3,205	3,280
1120 Appropriations transferred to other acct [097-9999] .....	-1		
1121 Appropriations transferred from other acct [097-9999] .....	106		
1160 Appropriation, discretionary (total) .....	3,229	3,205	3,280
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	36	49	54
1900 Budget authority (total) .....	3,265	3,254	3,334
1930 Total budgetary resources available .....	3,265	3,254	3,335
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....		1	1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	306	326	156
3010 Obligations incurred, unexpired accounts .....	3,261	3,253	3,334
3011 Obligations incurred, expired accounts .....	91		
3020 Outlays (gross) .....	-3,242	-3,423	-3,315
3041 Recoveries of prior year unpaid obligations, expired .....	-90		
3050 Unpaid obligations, end of year .....	326	156	175
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12		
3071 Change in uncollected pymts, Fed sources, expired .....	12		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	294	326	156
3200 Obligated balance, end of year .....	326	156	175

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,265	3,254	3,334
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3,106	3,113	3,190
4011 Outlays from discretionary balances .....	136	310	125
4020 Outlays, gross (total) .....	3,242	3,423	3,315
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-57	-49	-54
4033 Non-Federal sources .....	-35		
4040 Offsets against gross budget authority and outlays (total) .....	-92	-49	-54
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	56		
4060 Additional offsets against budget authority only (total) .....	56		
4070 Budget authority, net (discretionary) .....	3,229	3,205	3,280
4080 Outlays, net (discretionary) .....	3,150	3,374	3,261
4180 Budget authority, net (total) .....	3,229	3,205	3,280
4190 Outlays, net (total) .....	3,150	3,374	3,261

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	3,229	3,205	3,280
Outlays .....	3,150	3,374	3,261
<b>Overseas contingency operations:</b>			
Budget Authority .....			5
Outlays .....			5
<b>Total:</b>			
Budget Authority .....	3,229	3,205	3,285
Outlays .....	3,150	3,374	3,266

Object Classification (in millions of dollars)

Identification code 057-3850-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.6 Military personnel - basic allowance for housing .....	434	443	455
11.7 Military personnel .....	1,912	1,872	1,946
11.9 Total personnel compensation .....	2,346	2,315	2,401

12.2	Accrued retirement benefits .....	466	466	462
12.2	Other personnel benefits .....	243	248	241
21.0	Travel and transportation of persons .....	165	170	170
22.0	Transportation of things .....	2	3	3
42.0	Insurance claims and indemnities .....	3	3	3
99.0	Direct obligations .....	3,225	3,205	3,280
99.0	Reimbursable obligations .....	36	48	54
99.9	Total new obligations .....	3,261	3,253	3,334

3020	Outlays (gross) .....			19
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-19
Outlays, gross:				
4010	Outlays from new discretionary authority .....			-19
4180	Budget authority, net (total) .....			-19
4190	Outlays, net (total) .....			-19

**Object Classification** (in millions of dollars)

Identification code 057-1009-2-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.2	Military personnel benefits .....		-11
12.2	Military personnel benefits .....		-8
99.9	Total new obligations .....		-19

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

**Program and Financing** (in millions of dollars)

Identification code 057-1009-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component .....	217	204	216
0900	Total new obligations (object class 12.2) .....	217	204	216
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	217	204	216
1930	Total budgetary resources available .....	217	204	216
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	217	204	216
3020	Outlays (gross) .....	-217	-204	-216
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	217	204	216
Outlays, gross:				
4010	Outlays from new discretionary authority .....	217	204	216
4180	Budget authority, net (total) .....	217	204	216
4190	Outlays, net (total) .....	217	204	216

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	217	204	216
Outlays .....	217	204	216
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-19
Outlays .....			-19
Total:			
Budget Authority .....	217	204	197
Outlays .....	217	204	197

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 057-1009-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Health care contribution - Reserve component .....		-11
0002	Health care contribution .....		-8
0900	Total new obligations .....		-19
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		-19
1900	Budget authority (total) .....		-19
1930	Total budgetary resources available .....		-19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		-19

CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT FUND

**Program and Financing** (in millions of dollars)

Identification code 097-0041-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0010	Concurrent receipt accrual payments .....	6,197	6,870	7,437
0900	Total new obligations (object class 12.2) .....	6,197	6,870	7,437
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	6,848	7,574	7,437
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-651	-704	
1260	Appropriations, mandatory (total) .....	6,197	6,870	7,437
1930	Total budgetary resources available .....	6,197	6,870	7,437
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	6,197	6,870	7,437
3020	Outlays (gross) .....	-6,197	-6,870	-7,437
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	6,197	6,870	7,437
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6,197	6,870	7,437
4180	Budget authority, net (total) .....	6,197	6,870	7,437
4190	Outlays, net (total) .....	6,197	6,870	7,437

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	6,197	6,870	7,437
Outlays .....	6,197	6,870	7,437
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			120
Outlays .....			120
Total:			
Budget Authority .....	6,197	6,870	7,557
Outlays .....	6,197	6,870	7,557

CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT FUND

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 097-0041-2-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010	Concurrent receipt accrual payments .....		120
0900	Total new obligations (object class 12.2) .....		120

CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT  
FUND—Continued  
Program and Financing—Continued

Identification code 097-0041-2-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			120
1930			120
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			120
3020			-120
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			120
4100			120
4180			120
4190			120

**OPERATION AND MAINTENANCE**

These appropriations finance the cost of operating and maintaining the Armed Forces, including the Reserve components and related support activities of the Department of Defense, except military personnel pay and allowances. Included are amounts for training and operation costs, pay of civilians, contract services for maintenance of equipment and facilities, fuel, supplies, and repair parts for weapons and equipment. Financial requirements are influenced by many factors, including the number of aircraft squadrons, Army brigades and Marine Corps regiments, installations, military strength and deployments, rates of operational activity, and the quantity and complexity of major equipment (aircraft, ships, missiles, tanks, et cetera) in operation. Also included are funds for the development and maintenance of information technology systems for the Office of Personnel Management's background investigations services function, which will be executed in coordination with the Office of Personnel Management.

*Federal Funds*

OPERATION AND MAINTENANCE, ARMY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Army, as authorized by law, **[\$32,399,440,000] \$33,809,040,000**: Provided, That not to exceed **[\$12,478,000] \$12,478,000** can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Army, and payments may be made on his certificate of necessity for confidential military purposes, of which up to 50 percent, to remain available until September 30, 2018, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are applied in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-2020-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	34,234	30,676	19,823
0002	599	685	735
0003	4,448	4,549	4,637
0004	11,914	10,494	8,614
0799	51,195	46,404	33,809
0801	8,815	6,988	8,190
0900	60,010	53,392	41,999

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	127	254	264
1001		254	
1010	-1		
1011	907		
1050	1,033	254	264
Budget authority:			
Appropriations, discretionary:			
1100	50,321	47,394	33,809
1120	-609		
1121	1,139		
1130	-209	-989	
1160	50,642	46,405	33,809
Appropriations, mandatory:			
1221		9	5
Spending authority from offsetting collections, discretionary:			
1700	3,871	6,988	8,190
1701	4,978		
1750	8,849	6,988	8,190
1900	59,491	53,402	42,004
1930	60,524	53,656	42,268
Memorandum (non-add) entries:			
1940	-260		
1941	254	264	269

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	47,183	43,330	38,427
3010	60,010	53,392	41,999
3011	3,821		
3020	-59,803	-58,295	-53,448
3041	-7,881		
3050	43,330	38,427	26,978
Uncollected payments:			
3060	-8,679	-7,844	-7,844
3070	-4,978		
3071	5,813		
3090	-7,844	-7,844	-7,844
Memorandum (non-add) entries:			
3100	38,504	35,486	30,583
3200	35,486	30,583	19,134

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	59,491	53,393	41,999
Outlays, gross:			
4010	33,633	34,692	28,408
4011	26,170	23,598	25,034
4020	59,803	58,290	53,442
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-8,434	-6,988	-8,190
4033	-131		
4040	-8,565	-6,988	-8,190
Additional offsets against gross budget authority only:			
4050	-4,978		
4052	4,694		
4060	-284		
4070	50,642	46,405	33,809
4080	51,238	51,302	45,252
Mandatory:			
4090		9	5
Outlays, gross:			
4100		5	3
4101			3
4110		5	6
4180	50,642	46,414	33,814
4190	51,238	51,307	45,258

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	50,642	46,414	33,814
Outlays	51,238	51,307	45,258
Overseas contingency operations:			
Budget Authority			15,311

Total:	Outlays .....			9,156
	Budget Authority .....	50,642	46,414	49,125
	Outlays .....	51,238	51,307	54,414

**Object Classification** (in millions of dollars)

Identification code 021–2020–0–1–051	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	6,514	6,899	6,984
11.3	Other than full-time permanent .....	214	84	82
11.5	Other personnel compensation .....	314	149	150
11.9	Total personnel compensation .....	7,042	7,132	7,216
12.1	Civilian personnel benefits .....	2,369	2,669	2,698
13.0	Benefits for former personnel .....	127	42	29
21.0	Travel and transportation of persons .....	1,173	1,712	847
22.0	Transportation of things .....	2,132	1,452	649
23.1	Rental payments to GSA .....	71	102	94
23.2	Rental payments to others .....	388	2,148	386
23.3	Communications, utilities, and miscellaneous charges .....	1,896	2,024	1,388
24.0	Printing and reproduction .....	245	195	229
25.1	Advisory and assistance services .....	2,961	737	708
25.2	Other services from non-Federal sources .....	4,727	4,589	1,750
25.3	Purchases of goods and services from other Federal accounts .....	2,806	3,282	2,541
25.3	Payments to foreign national indirect hire personnel .....	502	358	361
25.3	Purchases from revolving funds .....	3,914	1,967	2,179
25.4	Operation and maintenance of facilities .....	4,171	3,652	3,298
25.5	Research and development contracts .....	39	2	3
25.7	Operation and maintenance of equipment .....	6,918	5,414	3,976
25.8	Subsistence and support of persons .....	21	77	78
26.0	Supplies and materials .....	6,394	6,254	3,719
31.0	Equipment .....	2,090	1,935	964
32.0	Land and structures .....	707	330	316
41.0	Grants, subsidies, and contributions .....	477	326	366
42.0	Insurance claims and indemnities .....	24	5	14
99.0	Direct obligations .....	51,194	46,404	33,809
99.0	Reimbursable obligations .....	8,816	6,988	8,190
99.9	Total new obligations .....	60,010	53,392	41,999

**Employment Summary**

Identification code 021–2020–0–1–051	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	92,123	91,360	89,673
2001	Reimbursable civilian full-time equivalent employment .....	13,710	15,664	14,475

**OPERATION AND MAINTENANCE, NAVY**

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Navy and the Marine Corps, as authorized by law, **[\$39,600,172,000] \$39,483,581,000**; *Provided*, That not to exceed **[\$15,055,000] \$15,055,000** can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Navy, and payments may be made on his certificate of necessity for confidential military purposes, of which up to 50 percent, to remain available until September 30, 2018, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are applied in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017–1804–0–1–051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Operating forces .....	37,318	38,139	31,174
0002	Mobilization .....	713	918	1,582
0003	Training and recruiting .....	1,779	1,864	1,876
0004	Administration and service-wide activities .....	5,258	5,031	4,852
0799	Total direct obligations .....	45,068	45,952	39,484
0801	Operation and Maintenance, Navy (Reimbursable) .....	4,066	7,818	7,241
0900	Total new obligations .....	49,134	53,770	46,725

**Budgetary resources:**

1000	Unobligated balance brought forward, Oct 1 .....			8
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1011	Unobligated balance transfer from other acct [097–9999] ....	102		
1050	Unobligated balance (total) .....	102		8
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	43,845	46,770	39,484
1120	Appropriations transferred to other acct [097–9999] .....	-18	-1	
1121	Appropriations transferred from other acct [097–9999] .....	1,316		
1130	Appropriations permanently reduced .....	-39	-817	
1160	Appropriation, discretionary (total) .....	45,104	45,952	39,484
<b>Appropriations, mandatory:</b>				
1221	Appropriations transferred from other acct [011–5512] ....	2	8	7
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	3,587	7,818	7,241
1701	Change in uncollected payments, Federal sources .....	1,725		
1750	Spending auth from offsetting collections, disc (total) .....	5,312	7,818	7,241
1900	Budget authority (total) .....	50,418	53,778	46,732
1930	Total budgetary resources available .....	50,520	53,778	46,740
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring .....	-1,386		
1941	Unexpired unobligated balance, end of year .....		8	15

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	20,572	19,677	18,417
3010	Obligations incurred, unexpired accounts .....	49,134	53,770	46,725
3011	Obligations incurred, expired accounts .....	2,071		
3020	Outlays (gross) .....	-49,196	-55,030	-48,352
3041	Recoveries of prior year unpaid obligations, expired .....	-2,904		
3050	Unpaid obligations, end of year .....	19,677	18,417	16,790
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,253	-2,281	-2,281
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1,725		
3071	Change in uncollected pymts, Fed sources, expired .....	1,697		
3090	Uncollected pymts, Fed sources, end of year .....	-2,281	-2,281	-2,281
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	18,319	17,396	16,136
3200	Obligated balance, end of year .....	17,396	16,136	14,509

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	50,416	53,770	46,725
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	35,631	40,674	35,472
4011	Outlays from discretionary balances .....	13,565	14,349	12,873
4020	Outlays, gross (total) .....	49,196	55,023	48,345
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-3,895	-7,818	-7,241
4033	Non-Federal sources .....	-453		
4040	Offsets against gross budget authority and outlays (total) ....	-4,348	-7,818	-7,241
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,725		
4052	Offsetting collections credited to expired accounts .....	761		
4060	Additional offsets against budget authority only (total) .....	-964		
4070	Budget authority, net (discretionary) .....	45,104	45,952	39,484
4080	Outlays, net (discretionary) .....	44,848	47,205	41,104
<b>Mandatory:</b>				
4090	Budget authority, gross .....	2	8	7
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....		5	5
4101	Outlays from mandatory balances .....		2	2
4110	Outlays, gross (total) .....		7	7
4180	Budget authority, net (total) .....	45,106	45,960	39,491
4190	Outlays, net (total) .....	44,848	47,212	41,111

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	45,106	45,960	39,491
Outlays .....	44,848	47,212	41,111
<b>Overseas contingency operations:</b>			
Budget Authority .....			6,827
Outlays .....			4,881
<b>Total:</b>			
Budget Authority .....	45,106	45,960	46,318
Outlays .....	44,848	47,212	45,992

OPERATION AND MAINTENANCE, NAVY—Continued

Object Classification (in millions of dollars)

Identification code 017-1804-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5,699	5,954	6,022
11.3 Other than full-time permanent .....	107	113	107
11.5 Other personnel compensation .....	469	328	343
11.8 Special personal services payments .....	39	43	
11.9 Total personnel compensation .....	6,275	6,434	6,515
12.1 Civilian personnel benefits .....	2,097	2,290	2,303
13.0 Benefits for former personnel .....	13	11	10
21.0 Travel and transportation of persons .....	895	648	530
22.0 Transportation of things .....	359	472	208
23.1 Rental payments to GSA .....	35	38	38
23.2 Rental payments to others .....	181	157	88
23.3 Communications, utilities, and miscellaneous charges .....	796	765	599
24.0 Printing and reproduction .....	60	51	49
25.1 Advisory and assistance services .....	753	570	535
25.2 Contracts with the private sector .....	1,627	1,367	1,308
25.3 Other goods and services from Federal sources .....	3,743	3,848	3,818
25.3 Payments to foreign national indirect hire personnel .....	71	72	100
25.3 Purchases from revolving funds .....	9,122	9,286	8,880
25.4 Operation and maintenance of facilities .....	1,157	1,275	952
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	7,503	8,279	5,688
25.8 Subsistence and support of persons .....	73	81	78
26.0 Supplies and materials .....	6,193	5,808	4,159
31.0 Equipment .....	3,416	3,449	2,870
32.0 Land and structures .....	633	998	696
41.0 Grants, subsidies, and contributions .....	55	39	46
42.0 Insurance claims and indemnities .....	9	12	12
43.0 Interest and dividends .....	1	1	1
99.0 Direct obligations .....	45,068	45,952	39,484
99.0 Reimbursable obligations .....	4,066	7,818	7,241
99.9 Total new obligations .....	49,134	53,770	46,725

Employment Summary

Identification code 017-1804-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	75,310	76,828	77,841
2001 Reimbursable civilian full-time equivalent employment .....	13,543	15,829	16,521

OPERATION AND MAINTENANCE, MARINE CORPS

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Marine Corps, as authorized by law, **[\$5,718,074,000]** \$5,954,258,000. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 017-1106-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	6,121	5,733	4,683
0003 Training and recruiting .....	751	767	756
0004 Administration and service-wide activities .....	595	538	515
0799 Total direct obligations .....	7,467	7,038	5,954
0801 Operation and Maintenance, Marine Corps (Reimbursable) .....	288	225	231
0900 Total new obligations .....	7,755	7,263	6,185
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
1050 Unobligated balance (total) .....		1	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	7,461	7,091	5,954
1120 Appropriations transferred to other acct [097-9999] .....	-63		
1121 Appropriations transferred from other acct [097-9999] .....	104		
1130 Appropriations permanently reduced .....	-9	-52	
1160 Appropriation, discretionary (total) .....	7,493	7,039	5,954
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....		1	1

<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	237	225	231
1701 Change in uncollected payments, Federal sources .....	72		
1750 Spending auth from offsetting collections, disc (total) .....	309	225	231
1900 Budget authority (total) .....	7,802	7,265	6,186
1930 Total budgetary resources available .....	7,802	7,266	6,189
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-46		
1941 Unexpired unobligated balance, end of year .....	1	3	4

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,838	3,977	3,606
3010 Obligations incurred, unexpired accounts .....	7,755	7,263	6,185
3011 Obligations incurred, expired accounts .....	184		
3020 Outlays (gross) .....	-8,443	-7,634	-6,507
3041 Recoveries of prior year unpaid obligations, expired .....	-357		
3050 Unpaid obligations, end of year .....	3,977	3,606	3,284
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-101	-97	-97
3070 Change in uncollected pymts, Fed sources, unexpired .....	-72		
3071 Change in uncollected pymts, Fed sources, expired .....	76		
3090 Uncollected pymts, Fed sources, end of year .....	-97	-97	-97
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4,737	3,880	3,509
3200 Obligated balance, end of year .....	3,880	3,509	3,187

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	7,802	7,264	6,185
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,984	4,371	3,738
4011 Outlays from discretionary balances .....	3,459	3,262	2,768
4020 Outlays, gross (total) .....	8,443	7,633	6,506
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-248	-225	-231
4033 Non-Federal sources .....	-51		
4040 Offsets against gross budget authority and outlays (total) .....	-299	-225	-231
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-72		
4052 Offsetting collections credited to expired accounts .....	62		
4060 Additional offsets against budget authority only (total) .....	-10		
4070 Budget authority, net (discretionary) .....	7,493	7,039	5,954
4080 Outlays, net (discretionary) .....	8,144	7,408	6,275
<b>Mandatory:</b>			
4090 Budget authority, gross .....		1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		1	1
4180 Budget authority, net (total) .....	7,493	7,040	5,955
4190 Outlays, net (total) .....	8,144	7,409	6,276

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	7,493	7,040	5,955
Outlays .....	8,144	7,409	6,276
<b>Overseas contingency operations:</b>			
Budget Authority .....			1,244
Outlays .....			733
<b>Total:</b>			
Budget Authority .....	7,493	7,040	7,199
Outlays .....	8,144	7,409	7,009

Object Classification (in millions of dollars)

Identification code 017-1106-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,178	1,181	1,189
11.3 Other than full-time permanent .....	19	13	14
11.5 Other personnel compensation .....	39	39	40
11.9 Total personnel compensation .....	1,236	1,233	1,243
12.1 Civilian personnel benefits .....	415	418	419
13.0 Benefits for former personnel .....	2		
21.0 Travel and transportation of persons .....	221	236	148
22.0 Transportation of things .....	99	133	76
23.1 Rental payments to GSA .....	23	24	24

23.2	Rental payments to others .....	45	35	25
23.3	Communications, utilities, and miscellaneous charges .....	326	275	290
24.0	Printing and reproduction .....	100	94	96
25.1	Advisory and assistance services .....	269	168	125
25.2	Other services from non-Federal sources .....	655	349	220
25.3	Other goods and services from Federal sources .....	630	841	822
25.3	Payments to foreign national indirect hire personnel .....	27	23	24
25.3	Purchases from revolving funds .....	1,139	837	581
25.4	Operation and maintenance of facilities .....	381	441	382
25.6	Medical care .....	.....	1	.....
25.7	Operation and maintenance of equipment .....	557	654	527
25.8	Subsistence and support of persons .....	28	28	27
26.0	Supplies and materials .....	860	777	514
31.0	Equipment .....	349	322	303
32.0	Land and structures .....	105	149	108
99.0	Direct obligations .....	7,467	7,038	5,954
99.0	Reimbursable obligations .....	288	225	231
99.9	Total new obligations .....	7,755	7,263	6,185

**Employment Summary**

Identification code 017-1106-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15,607	15,544	15,504
2001 Reimbursable civilian full-time equivalent employment .....	977	686	686

**OPERATION AND MAINTENANCE, AIR FORCE**

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Air Force, as authorized by law, **[\$35,727,457,000] \$37,518,056,000: Provided, That not to exceed [\$7,699,000] \$7,699,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Air Force, and payments may be made on his certificate of necessity for confidential military purposes, of which up to 50 percent, to remain available until September 30, 2018, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are applied in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 057-3400-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	26,495	26,590	22,760
0002 Mobilization .....	8,210	8,039	4,376
0003 Training and recruiting .....	3,385	3,400	3,573
0004 Administration and service-wide activities .....	7,716	7,318	6,809
0799 Total direct obligations .....	45,806	45,347	37,518
0801 Operation and Maintenance, Air Force (Reimbursable) .....	3,407	1,971	1,245
0900 Total new obligations .....	49,213	47,318	38,763
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	3	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	.....	2	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	560	.....	.....
1050 Unobligated balance (total) .....	560	3	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44,616	46,856	37,518
1120 Appropriations transferred to other acct [097-9999] .....	-109	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	1,116	.....	.....
1121 Appropriations transferred from other acct [467-0401] .....	2	.....	.....
1130 Appropriations permanently reduced .....	-81	-1,509	.....
1160 Appropriation, discretionary (total) .....	45,544	45,347	37,518
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	4	8	16
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2,700	1,971	1,245
1701 Change in uncollected payments, Federal sources .....	707	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3,407	1,971	1,245
1900 Budget authority (total) .....	48,955	47,326	38,779
1930 Total budgetary resources available .....	49,515	47,329	38,790
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-299	.....	.....

1941	Unexpired unobligated balance, end of year .....	3	11	27
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	23,890	23,577	24,882
3010	Obligations incurred, unexpired accounts .....	49,213	47,318	38,763
3011	Obligations incurred, expired accounts .....	2,129	.....	.....
3020	Outlays (gross) .....	-48,805	-46,013	-41,608
3041	Recoveries of prior year unpaid obligations, expired .....	-2,850	.....	.....
3050	Unpaid obligations, end of year .....	23,577	24,882	22,037
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,065	-960	-960
3070	Change in uncollected pymts, Fed sources, unexpired .....	-707	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	812	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-960	-960	-960
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	22,825	22,617	23,922
3200	Obligated balance, end of year .....	22,617	23,922	21,077

**Budget authority and outlays, net:**

Identification code	2015 actual	2016 est.	2017 est.	
<b>Discretionary:</b>				
4000	Budget authority, gross .....	48,951	47,318	38,763
Outlays, gross:				
4010	Outlays from new discretionary authority .....	32,635	31,900	26,007
4011	Outlays from discretionary balances .....	16,170	14,104	15,589
4020	Outlays, gross (total) .....	48,805	46,004	41,596
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3,242	-1,971	-1,245
4033	Non-Federal sources .....	-887	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-4,129	-1,971	-1,245
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-707	.....	.....
4052	Offsetting collections credited to expired accounts .....	1,429	.....	.....
4060	Additional offsets against budget authority only (total) .....	722	.....	.....
4070	Budget authority, net (discretionary) .....	45,544	45,347	37,518
4080	Outlays, net (discretionary) .....	44,676	44,033	40,351
<b>Mandatory:</b>				
4090	Budget authority, gross .....	4	8	16
Outlays, gross:				
4100	Outlays from new mandatory authority .....	.....	5	10
4101	Outlays from mandatory balances .....	.....	4	2
4110	Outlays, gross (total) .....	.....	9	12
4180	Budget authority, net (total) .....	45,548	45,355	37,534
4190	Outlays, net (total) .....	44,676	44,042	40,363

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	45,548	45,355	37,534
Outlays .....	44,676	44,042	40,363
<b>Overseas contingency operations:</b>			
Budget Authority .....	.....	.....	9,499
Outlays .....	.....	.....	6,269
<b>Total:</b>			
Budget Authority .....	45,548	45,355	47,033
Outlays .....	44,676	44,042	46,632

**Object Classification** (in millions of dollars)

Identification code 057-3400-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	5,605	5,718	5,860
11.3	Other than full-time permanent .....	121	123	126
11.5	Other personnel compensation .....	148	149	152
11.9	Total personnel compensation .....	5,874	5,990	6,138
12.1	Civilian personnel benefits .....	2,112	2,129	2,176
13.0	Benefits for former personnel .....	26	29	29
21.0	Travel and transportation of persons .....	1,013	1,079	753
22.0	Transportation of things .....	322	419	201
23.1	Rental payments to GSA .....	6	9	5
23.2	Rental payments to others .....	99	192	195
23.3	Communications, utilities, and miscellaneous charges .....	2,853	2,403	1,940
24.0	Printing and reproduction .....	64	36	60
25.1	Advisory and assistance services .....	1,031	490	448
25.2	Contracts with the private sector .....	1,684	1,887	1,016
25.3	Other goods and services from Federal sources .....	626	314	393

OPERATION AND MAINTENANCE, AIR FORCE—Continued  
Object Classification—Continued

Identification code 057-3400-0-1-051	2015 actual	2016 est.	2017 est.
25.3 Payments to foreign national indirect hire personnel .....	51	58	47
25.3 Purchases from revolving funds .....	5,045	4,939	3,106
25.4 Operation and maintenance of facilities .....	1,378	1,055	1,019
25.5 Research and development contracts .....	10	49	40
25.6 Medical care .....	10	3	3
25.7 Operation and maintenance of equipment .....	10,294	11,244	10,725
25.8 Subsistence and support of persons .....	173	177	144
26.0 Supplies and materials .....	9,016	8,854	5,714
31.0 Equipment .....	1,613	1,829	1,671
32.0 Land and structures .....	2,463	2,089	1,622
41.0 Grants, subsidies, and contributions .....	30	34	33
42.0 Insurance claims and indemnities .....	12	38	39
43.0 Interest and dividends .....	1	1	1
99.0 Direct obligations .....	45,806	45,347	37,518
99.0 Reimbursable obligations .....	3,407	1,971	1,245
99.9 Total new obligations .....	49,213	47,318	38,763

Employment Summary

Identification code 057-3400-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	78,319	80,608	78,262
2001 Reimbursable civilian full-time equivalent employment .....	8,018	8,805	9,986

OPERATION AND MAINTENANCE, DEFENSE-WIDE  
(INCLUDING TRANSFER OF FUNDS)

For expenses, not otherwise provided for, necessary for the operation and maintenance of activities and agencies of the Department of Defense (other than the military departments), as authorized by law, **[\$32,105,040,000] \$32,571,590,000: Provided,** That not more than \$15,000,000 may be used for the Combatant Commander Initiative Fund authorized under section 166a of title 10, United States Code: *Provided further,* That not to exceed \$36,000,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of Defense, and payments may be made on his certificate of necessity for confidential military purposes, of which up to 50 percent, to remain available until September 30, 2018, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are applied in section 1342 of title 31, United States Code: *Provided further,* **[That of the funds provided under this heading, not less than \$35,045,000 shall be made available for the Procurement Technical Assistance Cooperative Agreement Program, of which not less than \$3,600,000 shall be available for centers defined in 10 U.S.C. 2411(1)(D): Provided further,** That none of the funds appropriated or otherwise made available by this Act may be used to plan or implement the consolidation of a budget or appropriations liaison office of the Office of the Secretary of Defense, the office of the Secretary of a military department, or the service headquarters of one of the Armed Forces into a legislative affairs or legislative liaison office: *Provided further,* **[That \$9,031,000] \$8,023,000,** to remain available until expended, is available only for expenses relating to certain classified activities, and may be transferred as necessary by the Secretary of Defense to operation and maintenance appropriations or research, development, test and evaluation appropriations, to be merged with and to be available for the same time period as the appropriations to which transferred: *Provided further,* That any ceiling on the investment item unit cost of items that may be purchased with operation and maintenance funds shall not apply to the funds described in the preceding proviso: *Provided further,* That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 097-0100-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	7,835	8,138	5,929
0003 Training and recruiting .....	575	566	590
0004 Administration and service-wide activities .....	29,699	30,019	26,053
0799 Total direct obligations .....	38,109	38,723	32,572
0801 Operation and Maintenance, Defense-wide (Reimbursable) .....	1,996	2,808	2,714

0900 Total new obligations .....	40,105	41,531	35,286
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,681	1,448	1,460
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,681	1,447	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-2	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	75	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	1,755	1,448	1,460
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38,343	38,865	32,572
1120 Appropriations transferred to other acct [097-9999] .....	-2,720	.....	.....
1120 Appropriations transferred to other acct [011-1041] .....	-64	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	2,469	.....	.....
1121 Appropriations transferred from other acct [467-0401] .....	16	.....	.....
1130 Appropriations permanently reduced .....	-30	-142	.....
1160 Appropriation, discretionary (total) .....	38,014	38,723	32,572
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	5	12	14
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,109	2,808	2,714
1701 Change in uncollected payments, Federal sources .....	933	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	2,042	2,808	2,714
1900 Budget authority (total) .....	40,061	41,543	35,300
1930 Total budgetary resources available .....	41,816	42,991	36,760
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-263	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,448	1,460	1,474

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17,701	17,190	16,989
3010 Obligations incurred, unexpired accounts .....	40,105	41,531	35,286
3011 Obligations incurred, expired accounts .....	1,565	.....	.....
3020 Outlays (gross) .....	-39,021	-41,732	-36,454
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-3,159	.....	.....
3050 Unpaid obligations, end of year .....	17,190	16,989	15,821
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,833	-1,512	-1,512
3070 Change in uncollected pymts, Fed sources, unexpired .....	-933	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1,254	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1,512	-1,512	-1,512
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15,868	15,678	15,477
3200 Obligated balance, end of year .....	15,678	15,477	14,309

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	40,056	41,531	35,286
Outlays, gross:			
4010 Outlays from new discretionary authority .....	25,768	27,784	23,723
4011 Outlays from discretionary balances .....	13,253	13,935	12,718
4020 Outlays, gross (total) .....	39,021	41,719	36,441
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2,174	-2,808	-2,714
4033 Non-Federal sources .....	-106	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-2,280	-2,808	-2,714
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-933	.....	.....
4052 Offsetting collections credited to expired accounts .....	1,171	.....	.....
4060 Additional offsets against budget authority only (total) .....	238	.....	.....
4070 Budget authority, net (discretionary) .....	38,014	38,723	32,572
4080 Outlays, net (discretionary) .....	36,741	38,911	33,727
Mandatory:			
4090 Budget authority, gross .....	5	12	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	8	9
4101 Outlays from mandatory balances .....	.....	5	4
4110 Outlays, gross (total) .....	.....	13	13
4180 Budget authority, net (total) .....	38,019	38,735	32,586
4190 Outlays, net (total) .....	36,741	38,924	33,740

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	38,019	38,735	32,586
Outlays .....	36,741	38,924	33,740
<b>Overseas contingency operations:</b>			
Budget Authority .....			5,982
Outlays .....			3,858
<b>Total:</b>			
Budget Authority .....	38,019	38,735	38,568
Outlays .....	36,741	38,924	37,598

**Object Classification** (in millions of dollars)

Identification code 097-0100-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7,879	8,100	8,308
11.3 Other than full-time permanent .....	223	235	218
11.5 Other personnel compensation .....	336	405	293
11.8 Special personal services payments .....	62	74	46
11.9 Total personnel compensation .....	8,500	8,814	8,865
12.1 Civilian personnel benefits .....	2,775	2,854	2,923
13.0 Benefits for former personnel .....	26	19	18
21.0 Travel and transportation of persons .....	976	983	854
22.0 Transportation of things .....	203	167	124
23.1 Rental payments to GSA .....	99	144	124
23.2 Rental payments to others .....	559	541	545
23.3 Communications, utilities, and miscellaneous charges .....	1,259	1,412	1,208
24.0 Printing and reproduction .....	51	36	43
25.1 Advisory and assistance services .....	4,170	3,800	3,211
25.2 Other services from non-Federal sources .....	1,749	2,114	1,595
25.3 Other goods and services from Federal sources .....	5,485	5,007	2,998
25.3 Payments to foreign national indirect hire personnel .....	11	13	11
25.3 Purchases from revolving funds .....	1,150	1,260	975
25.4 Operation and maintenance of facilities .....	514	702	602
25.5 Research and development contracts .....	4	1	3
25.6 Medical care .....	20	58	58
25.7 Operation and maintenance of equipment .....	6,023	5,689	4,794
25.8 Subsistence and support of persons .....	22	24	23
26.0 Supplies and materials .....	1,286	2,230	1,102
31.0 Equipment .....	2,338	2,195	1,864
32.0 Land and structures .....	291	164	187
41.0 Grants, subsidies, and contributions .....	597	495	445
42.0 Insurance claims and indemnities .....		1	
43.0 Interest and dividends .....	1		
99.0 Direct obligations .....	38,109	38,723	32,572
99.0 Reimbursable obligations .....	1,996	2,808	2,714
99.9 Total new obligations .....	40,105	41,531	35,286

**Employment Summary**

Identification code 097-0100-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	61,321	64,758	64,872
2001 Reimbursable civilian full-time equivalent employment .....	2,038	1,602	1,606

**OFFICE OF THE INSPECTOR GENERAL**

For expenses and activities of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$312,559,000]** \$322,035,000, of which **[\$310,459,000]** \$318,882,000 shall be for operation and maintenance, of which not to exceed \$700,000 is available for emergencies and extraordinary expenses to be expended on the approval or authority of the Inspector General, and payments may be made on the Inspector General's certificate of necessity for confidential military purposes; and of which **[\$2,100,000]** \$3,153,000, to remain available until September 30, **[2017]** 2018, shall be for research, development, test and evaluation. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097-0107-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	306	321	319
0002 Research, Development, Test, and Evaluation .....	1	2	3

0003 Procurement .....	2	1	1
0799 Total direct obligations .....	309	324	323
0801 Office of the Inspector General (Reimbursable) .....	8	9	9
0900 Total new obligations .....	317	333	332

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	322	323	322
1120 Appropriations transferred to other acct [097-9999] .....	-5		
1160 Appropriation, discretionary (total) .....	317	323	322
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		9	9
1701 Change in uncollected payments, Federal sources .....	8		
1750 Spending auth from offsetting collections, disc (total) .....	8	9	9
1900 Budget authority (total) .....	325	332	331
1930 Total budgetary resources available .....	328	334	332
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	2	1	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	64	72	82
3010 Obligations incurred, unexpired accounts .....	317	333	332
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-305	-323	-337
3041 Recoveries of prior year unpaid obligations, expired .....	-10		
3050 Unpaid obligations, end of year .....	72	82	77
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	59	61	71
3200 Obligated balance, end of year .....	61	71	66

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	325	332	331
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	259	267	267
4011 Outlays from discretionary balances .....	46	56	70
4020 Outlays, gross (total) .....	305	323	337
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-6	-9	-9
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	317	323	322
4080 Outlays, net (discretionary) .....	299	314	328
4180 Budget authority, net (total) .....	317	323	322
4190 Outlays, net (total) .....	299	314	328

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	317	323	322
Outlays .....	299	314	328
<b>Overseas contingency operations:</b>			
Budget Authority .....			22
Outlays .....			18
<b>Total:</b>			
Budget Authority .....	317	323	344
Outlays .....	299	314	346

OFFICE OF THE INSPECTOR GENERAL—Continued

Object Classification (in millions of dollars)

Identification code 097-0107-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	157	157	165
11.3 Other than full-time permanent .....	1	2	.....
11.5 Other personnel compensation .....	15	18	13
11.9 Total personnel compensation .....	173	177	178
12.1 Civilian personnel benefits .....	62	67	69
21.0 Travel and transportation of persons .....	6	7	6
22.0 Transportation of things .....	2	.....	.....
23.1 Rental payments to GSA .....	21	21	21
25.1 Advisory and assistance services .....	22	22	21
25.2 Other services from non-Federal sources .....	4	7	6
25.3 Purchases of goods and services from other Federal agencies .....	7	10	8
25.3 Purchases from revolving funds .....	3	3	3
25.7 Operation and maintenance of equipment .....	1	2	2
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	6	6	7
99.0 Direct obligations .....	309	324	323
99.0 Reimbursable obligations .....	8	9	9
99.9 Total new obligations .....	317	333	332

Employment Summary

Identification code 097-0107-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,494	1,536	1,586

OPERATION AND MAINTENANCE, ARMY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Army Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$2,646,911,000] \$2,712,331,000.** (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-2080-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	2,575	2,629	2,606
0004 Administration and service-wide activities .....	101	99	106
0799 Total direct obligations .....	2,676	2,728	2,712
0801 Operation and Maintenance, Army Reserve (Reimbursable) .....	17	20	20
0900 Total new obligations .....	2,693	2,748	2,732
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1011 Unobligated balance transfer from other acct [097-9999] .....	81	.....	.....
1050 Unobligated balance (total) .....	81	.....	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,555	2,746	2,712
1120 Appropriations transferred to other acct [097-9999] .....	-10	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	58	.....	.....
1130 Appropriations permanently reduced .....	.....	-18	.....
1160 Appropriation, discretionary (total) .....	2,603	2,728	2,712
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	11	20	20
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	17	20	20
1900 Budget authority (total) .....	2,620	2,748	2,732
1930 Total budgetary resources available .....	2,701	2,748	2,732
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-8	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,898	1,609	1,508

3010 Obligations incurred, unexpired accounts .....	2,693	2,748	2,732
3011 Obligations incurred, expired accounts .....	128	.....	.....
3020 Outlays (gross) .....	-2,865	-2,849	-2,832
3041 Recoveries of prior year unpaid obligations, expired .....	-245	.....	.....
3050 Unpaid obligations, end of year .....	1,609	1,508	1,408
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,887	1,594	1,493
3200 Obligated balance, end of year .....	1,594	1,493	1,393

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,620	2,748	2,732
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,694	1,657	1,647
4011 Outlays from discretionary balances .....	1,171	1,192	1,185
4020 Outlays, gross (total) .....	2,865	2,849	2,832
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-11	-20	-20
4033 Non-Federal sources .....	-5	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-16	-20	-20
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
4052 Offsetting collections credited to expired accounts .....	5	.....	.....
4060 Additional offsets against budget authority only (total) .....	-1	.....	.....
4070 Budget authority, net (discretionary) .....	2,603	2,728	2,712
4080 Outlays, net (discretionary) .....	2,849	2,829	2,812
4180 Budget authority, net (total) .....	2,603	2,728	2,712
4190 Outlays, net (total) .....	2,849	2,829	2,812

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,603	2,728	2,712
Outlays .....	2,849	2,829	2,812
<b>Overseas contingency operations:</b>			
Budget Authority .....	.....	.....	39
Outlays .....	.....	.....	23
<b>Total:</b>			
Budget Authority .....	2,603	2,728	2,751
Outlays .....	2,849	2,829	2,835

Object Classification (in millions of dollars)

Identification code 021-2080-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	620	645	662
11.5 Other personnel compensation .....	15	8	8
11.9 Total personnel compensation .....	635	653	670
12.1 Civilian personnel benefits .....	250	263	271
21.0 Travel and transportation of persons .....	187	162	163
22.0 Transportation of things .....	68	19	33
23.1 Rental payments to GSA .....	1	.....	.....
23.2 Rental payments to others and miscellaneous charges .....	6	11	11
23.3 Communications, utilities, and miscellaneous charges .....	110	112	139
24.0 Printing and reproduction .....	6	4	1
25.1 Advisory and assistance services .....	70	55	74
25.2 Other services from non-Federal sources .....	215	184	138
25.3 Purchases of goods and services from other Federal agencies .....	164	179	126
25.3 Purchases from revolving funds .....	33	32	30
25.4 Operation and maintenance of facilities .....	230	199	181
25.6 Medical care .....	109	110	114
25.7 Operation and maintenance of equipment .....	117	131	120
25.8 Subsistence and support of persons .....	29	30	25
26.0 Supplies and materials .....	318	467	495
31.0 Equipment .....	128	72	72
32.0 Land and structures .....	.....	45	49
99.0 Direct obligations .....	2,676	2,728	2,712
99.0 Reimbursable obligations .....	17	20	20
99.9 Total new obligations .....	2,693	2,748	2,732

**Employment Summary**

Identification code 021-2080-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	10,458	10,400	10,439
2001 Reimbursable civilian full-time equivalent employment .....	2	21	21

**OPERATION AND MAINTENANCE, NAVY RESERVE**

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Navy Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$998,481,000] \$927,656,000.** (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1806-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	1,038	966	906
0004 Administration and service-wide activities .....	19	22	21
0799 Total direct obligations .....	1,057	988	927
0801 Operation and Maintenance, Navy Reserve (Reimbursable) .....	4	2	3
0900 Total new obligations .....	1,061	990	930
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,067	1,030	928
1120 Appropriations transferred to other acct [097-9999] .....	-17		
1121 Appropriations transferred from other acct [097-9999] .....	12		
1130 Appropriations permanently reduced .....		-42	
1160 Appropriation, discretionary (total) .....	1,062	988	928
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	2	3
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	2	3
1900 Budget authority (total) .....	1,066	990	931
1930 Total budgetary resources available .....	1,066	990	931
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
1941 Unexpired unobligated balance, end of year .....			1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	470	417	363
3010 Obligations incurred, unexpired accounts .....	1,061	990	930
3011 Obligations incurred, expired accounts .....	68		
3020 Outlays (gross) .....	-1,077	-1,044	-942
3041 Recoveries of prior year unpaid obligations, expired .....	-105		
3050 Unpaid obligations, end of year .....	417	363	351
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	468	416	362
3200 Obligated balance, end of year .....	416	362	350

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	1,066	990	931
Outlays, gross:			
4010 Outlays from new discretionary authority .....	760	693	653
4011 Outlays from discretionary balances .....	317	351	289
4020 Outlays, gross (total) .....	1,077	1,044	942
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-2	-3
4040 Offsets against gross budget authority and outlays (total) .....	-4	-2	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		

4070 Budget authority, net (discretionary) .....	1,062	988	928
4080 Outlays, net (discretionary) .....	1,073	1,042	939
4180 Budget authority, net (total) .....	1,062	988	928
4190 Outlays, net (total) .....	1,073	1,042	939

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,062	988	928
Outlays .....	1,073	1,042	939
Overseas contingency operations:			
Budget Authority .....			26
Outlays .....			18
Total:			
Budget Authority .....	1,062	988	954
Outlays .....	1,073	1,042	957

**Object Classification** (in millions of dollars)

Identification code 017-1806-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	48	50	53
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	49	51	54
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	38	31	29
22.0 Transportation of things .....	9	5	4
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	22	23	23
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	4	1	1
25.2 Other services from non-Federal sources .....	19	15	13
25.3 Other goods and services from Federal sources .....	81	79	71
25.3 Purchases from revolving funds .....	60	67	52
25.4 Operation and maintenance of facilities .....	50	31	23
25.6 Medical care .....	4	4	4
25.7 Operation and maintenance of equipment .....	234	218	204
25.8 Subsistence and support of persons .....	13	15	15
26.0 Supplies and materials .....	300	264	244
31.0 Equipment .....	146	154	174
32.0 Land and structures .....	11	14	1
99.0 Direct obligations .....	1,058	988	928
99.0 Reimbursable obligations .....	3	2	2
99.9 Total new obligations .....	1,061	990	930

**Employment Summary**

Identification code 017-1806-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	758	795	818
2001 Reimbursable civilian full-time equivalent employment .....	24	17	17

**OPERATION AND MAINTENANCE, MARINE CORPS RESERVE**

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Marine Corps Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$274,526,000] \$270,633,000.** (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1107-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	267	255	250
0004 Administration and service-wide activities .....	17	21	20
0799 Total direct obligations .....	284	276	270
0801 Operation and Maintenance, Marine Corps Reserve (Reimbursable) .....	2	2	2
0900 Total new obligations .....	286	278	272

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE—Continued  
Program and Financing—Continued

Identification code 017-1107-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	281	277	271
1121	4		
1130		-1	
1160	285	276	271
Spending authority from offsetting collections, discretionary:			
1700	1	2	2
1900	286	278	273
1930	286	278	273
Memorandum (non-add) entries:			
1941			1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	195	199	172
3010	286	278	272
3011	4		
3020	-268	-305	-278
3041	-18		
3050	199	172	166
Memorandum (non-add) entries:			
3100	195	199	172
3200	199	172	166

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	286	278	273
Outlays, gross:			
4010	148	149	146
4011	120	156	132
4020	268	305	278
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-2	-2	-2
Additional offsets against gross budget authority only:			
4052	1		
4060	1		
4070	285	276	271
4080	266	303	276
4180	285	276	271
4190	266	303	276

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	285	276	271
Outlays	266	303	276
Overseas contingency operations:			
Budget Authority			3
Outlays			2
<b>Total:</b>			
Budget Authority	285	276	274
Outlays	266	303	278

Object Classification (in millions of dollars)

Identification code 017-1107-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	17	17	17
12.1	5	5	5
21.0	23	17	15
22.0	7	9	9
23.1	3	3	3
23.2		1	
23.3	22	23	23
24.0	4	4	4
25.1	2	3	3
25.2	2	3	2
25.3	23	26	29
25.3	27	33	34
25.4	50	40	37
25.7	24	20	20

25.8	6	7	7
26.0	37	35	33
31.0	18	19	19
32.0	14	11	10
99.0	284	276	270
99.0	2	2	2
99.9	286	278	272

Employment Summary

Identification code 017-1107-0-1-051	2015 actual	2016 est.	2017 est.
1001	258	251	248
2001	1	2	2

OPERATION AND MAINTENANCE, AIR FORCE RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Air Force Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$2,980,768,000] \$3,067,929,000.** (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3740-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	2,991	2,844	2,978
0004	108	88	90
0799	3,099	2,932	3,068
0801	401	86	87
0900	3,500	3,018	3,155

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	3,104	3,039	3,068
1120	-28		
1121	44		
1130		-107	
1160	3,120	2,932	3,068
Spending authority from offsetting collections, discretionary:			
1700	323	86	87
1701	78		
1750	401	86	87
1900	3,521	3,018	3,155
1930	3,521	3,018	3,155
Memorandum (non-add) entries:			
1940	-21		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	892	852	886
3010	3,500	3,018	3,155
3011	76		
3020	-3,502	-2,984	-3,168
3041	-114		
3050	852	886	873
Uncollected payments:			
3060	-70	-78	-78
3070	-78		
3071	70		
3090	-78	-78	-78
Memorandum (non-add) entries:			
3100	822	774	808
3200	774	808	795

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	3,521	3,018	3,155
Outlays, gross:			
4010	2,807	2,314	2,419

4011	Outlays from discretionary balances .....	695	670	749
4020	Outlays, gross (total) .....	3,502	2,984	3,168
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-400	-86	-87
4033	Non-Federal sources .....	-18		
4040	Offsets against gross budget authority and outlays (total) ...	-418	-86	-87
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-78		
4052	Offsetting collections credited to expired accounts .....	95		
4060	Additional offsets against budget authority only (total) .....	17		
4070	Budget authority, net (discretionary) .....	3,120	2,932	3,068
4080	Outlays, net (discretionary) .....	3,084	2,898	3,081
4180	Budget authority, net (total) .....	3,120	2,932	3,068
4190	Outlays, net (total) .....	3,084	2,898	3,081

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,120	2,932	3,068
Outlays .....	3,084	2,898	3,081
Overseas contingency operations:			
Budget Authority .....			58
Outlays .....			44
Total:			
Budget Authority .....	3,120	2,932	3,126
Outlays .....	3,084	2,898	3,125

**Object Classification** (in millions of dollars)

Identification code 057-3740-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	791	788	835
11.3 Other than full-time permanent .....	33	33	35
11.5 Other personnel compensation .....	22	23	24
11.9 Total personnel compensation .....	846	844	894
12.1 Civilian personnel benefits .....	324	344	382
13.0 Benefits for former personnel .....	4		
21.0 Travel and transportation of persons .....	24	18	14
22.0 Transportation of things .....	5	2	2
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	38	39	40
24.0 Printing and reproduction .....	15	9	9
25.1 Advisory and assistance services .....	6	1	1
25.2 Other services from non-Federal sources .....	6	1	2
25.3 Other goods and services from Federal sources .....	9	5	8
25.3 Purchases from revolving funds .....	600	497	607
25.4 Operation and maintenance of facilities .....	94	74	76
25.6 Medical care .....	1		1
25.7 Operation and maintenance of equipment .....	196	253	221
25.8 Subsistence and support of persons .....	37	13	7
26.0 Supplies and materials .....	645	717	680
31.0 Equipment .....	81	26	33
32.0 Land and structures .....	158	80	81
42.0 Insurance claims and indemnities .....	8	7	7
99.0 Direct obligations .....	3,099	2,932	3,067
99.0 Reimbursable obligations .....	401	86	88
99.9 Total new obligations .....	3,500	3,018	3,155

**Employment Summary**

Identification code 057-3740-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12,257	12,704	13,308
2001 Reimbursable civilian full-time equivalent employment .....		12	12

**OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD**

For expenses of training, organizing, and administering the Army National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; hire of passenger motor vehicles; personnel services in the National Guard Bureau; travel expenses (other than mileage), as authorized by law for Army personnel on active duty, for

Army National Guard division, regimental, and battalion commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau; supplying and equipping the Army National Guard as authorized by law; and expenses of repair, modification, maintenance, and issue of supplies and equipment (including aircraft), **[\$6,595,483,000]** \$6,825,370,000. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021-2065-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	6,027	6,295	6,418
0004 Administration and service-wide activities .....	310	396	407
0799 Total direct obligations .....	6,337	6,691	6,825
0801 Operation and Maintenance, Army National Guard (Reimbursable) .....	94	193	193
0900 Total new obligations .....	6,431	6,884	7,018
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1011 Unobligated balance transfer from other acct [097-9999] .....	89		
1050 Unobligated balance (total) .....	90	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,254	6,731	6,825
1120 Appropriations transferred to other acct [097-9999] .....	-6		
1121 Appropriations transferred from other acct [097-9999] .....	71		
1130 Appropriations permanently reduced .....		-40	
1160 Appropriation, discretionary (total) .....	6,319	6,691	6,825
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	56	193	193
1701 Change in uncollected payments, Federal sources .....	39		
1750 Spending auth from offsetting collections, disc (total) .....	95	193	193
1900 Budget authority (total) .....	6,414	6,884	7,018
1930 Total budgetary resources available .....	6,504	6,885	7,019
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-72		
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,953	2,635	2,734
3010 Obligations incurred, unexpired accounts .....	6,431	6,884	7,018
3011 Obligations incurred, expired accounts .....	584		
3020 Outlays (gross) .....	-6,568	-6,785	-6,910
3041 Recoveries of prior year unpaid obligations, expired .....	-765		
3050 Unpaid obligations, end of year .....	2,635	2,734	2,842
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-37	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-39		
3071 Change in uncollected pymts, Fed sources, expired .....	74		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,916	2,633	2,732
3200 Obligated balance, end of year .....	2,633	2,732	2,840

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	6,414	6,884	7,018
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,492	4,676	4,766
4011 Outlays from discretionary balances .....	2,076	2,109	2,144
4020 Outlays, gross (total) .....	6,568	6,785	6,910
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-64	-193	-193
4033 Non-Federal sources .....	-48		
4040 Offsets against gross budget authority and outlays (total) ...	-112	-193	-193
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-39		
4052 Offsetting collections credited to expired accounts .....	56		
4060 Additional offsets against budget authority only (total) .....	17		
4070 Budget authority, net (discretionary) .....	6,319	6,691	6,825
4080 Outlays, net (discretionary) .....	6,456	6,592	6,717
4180 Budget authority, net (total) .....	6,319	6,691	6,825

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD—Continued  
**Program and Financing—Continued**

Identification code 021–2065–0–1–051	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)	6,456	6,592	6,717

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	6,319	6,691	6,825
Outlays	6,456	6,592	6,717
Overseas contingency operations:			
Budget Authority			127
Outlays			85
Total:			
Budget Authority	6,319	6,691	6,952
Outlays	6,456	6,592	6,802

**Object Classification** (in millions of dollars)

Identification code 021–2065–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,739	1,779	1,777
11.5 Other personnel compensation	16	18	18
11.9 Total personnel compensation	1,755	1,797	1,795
12.1 Civilian personnel benefits	730	751	751
13.0 Benefits for former personnel	5		
21.0 Travel and transportation of persons	115	104	103
22.0 Transportation of things	37	34	44
23.1 Rental payments to GSA	29	30	32
23.2 Rental payments to others	18	18	18
23.3 Communications, utilities, and miscellaneous charges	227	254	240
24.0 Printing and reproduction	4	41	7
25.1 Advisory and assistance services	317	378	365
25.2 Other services from non-Federal sources	292	347	320
25.3 Other goods and services from Federal sources	85	94	98
25.3 Purchases from revolving funds	105	84	135
25.4 Operation and maintenance of facilities	814	899	906
25.6 Medical care	38	32	50
25.7 Operation and maintenance of equipment	106	134	174
25.8 Subsistence and support of persons	34	32	35
26.0 Supplies and materials	1,157	1,174	1,222
31.0 Equipment	287	286	323
32.0 Land and structures	180	200	205
43.0 Interest and dividends	2	2	2
99.0 Direct obligations	6,337	6,691	6,825
99.0 Reimbursable obligations	94	193	193
99.9 Total new obligations	6,431	6,884	7,018

**Employment Summary**

Identification code 021–2065–0–1–051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	28,299	28,199	27,518
2001 Reimbursable civilian full-time equivalent employment	89		

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD

For expenses of training, organizing, and administering the Air National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; transportation of things, hire of passenger motor vehicles; supplying and equipping the Air National Guard, as authorized by law; expenses for repair, modification, maintenance, and issue of supplies and equipment, including those furnished from stocks under the control of agencies of the Department of Defense; travel expenses (other than mileage) on the same basis as authorized by law for Air National Guard personnel on active Federal duty, for Air National Guard commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau, **[\$6,820,569,000]** **[\$6,703,578,000]**. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 057–3840–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces	6,377	6,617	6,651
0004 Administration and service-wide activities	69	53	53
0799 Total direct obligations	6,446	6,670	6,704
0801 Operation and Maintenance, Air National Guard (Reimbursable)	1,221	17	18
0900 Total new obligations	7,667	6,687	6,722
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,432	6,840	6,704
1120 Appropriations transferred to other acct [097–9999]	–57		
1121 Appropriations transferred from other acct [097–9999]	90		
1130 Appropriations permanently reduced		–170	
1160 Appropriation, discretionary (total)	6,465	6,670	6,704
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,113	17	18
1701 Change in uncollected payments, Federal sources	107		
1750 Spending auth from offsetting collections, disc (total)	1,220	17	18
1900 Budget authority (total)	7,685	6,687	6,722
1930 Total budgetary resources available	7,685	6,687	6,722
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–18		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,132	2,294	2,244
3010 Obligations incurred, unexpired accounts	7,667	6,687	6,722
3011 Obligations incurred, expired accounts	185		
3020 Outlays (gross)	–7,428	–6,737	–6,632
3041 Recoveries of prior year unpaid obligations, expired	–262		
3050 Unpaid obligations, end of year	2,294	2,244	2,334
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–89	–120	–120
3070 Change in uncollected pymts, Fed sources, unexpired	–107		
3071 Change in uncollected pymts, Fed sources, expired	76		
3090 Uncollected pymts, Fed sources, end of year	–120	–120	–120
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,043	2,174	2,124
3200 Obligated balance, end of year	2,174	2,124	2,214
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	7,685	6,687	6,722
Outlays, gross:			
4010 Outlays from new discretionary authority	5,787	4,886	4,912
4011 Outlays from discretionary balances	1,641	1,851	1,720
4020 Outlays, gross (total)	7,428	6,737	6,632
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1,210	–17	–18
4033 Non-Federal sources	–31		
4040 Offsets against gross budget authority and outlays (total)	–1,241	–17	–18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–107		
4052 Offsetting collections credited to expired accounts	128		
4060 Additional offsets against budget authority only (total)	21		
4070 Budget authority, net (discretionary)	6,465	6,670	6,704
4080 Outlays, net (discretionary)	6,187	6,720	6,614
4180 Budget authority, net (total)	6,465	6,670	6,704
4190 Outlays, net (total)	6,187	6,720	6,614

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	6,465	6,670	6,704
Outlays	6,187	6,720	6,614
Overseas contingency operations:			
Budget Authority			20
Outlays			15
Total:			
Budget Authority	6,465	6,670	6,724

Outlays .....	6,187	6,720	6,629
<b>Object Classification (in millions of dollars)</b>			
Identification code 057-3840-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,543	1,557	1,637
11.5 Other personnel compensation .....	20	20	20
11.9 Total personnel compensation .....	1,563	1,577	1,657
12.1 Civilian personnel benefits .....	584	589	598
13.0 Benefits for former personnel .....	3	1	1
21.0 Travel and transportation of persons .....	43	44	39
22.0 Transportation of things .....	9	10	9
23.2 Rental payments to others .....	4	3	4
23.3 Communications, utilities, and miscellaneous charges .....	142	107	112
24.0 Printing and reproduction .....	21	17	17
25.1 Advisory and assistance services .....	2	3	3
25.2 Other services from non-Federal sources .....	44	38	38
25.3 Other goods and services from Federal sources .....	1	1	1
25.3 Purchases from revolving funds .....	806	887	883
25.4 Operation and maintenance of facilities .....	505	343	328
25.6 Medical care .....	27	17	9
25.7 Operation and maintenance of equipment .....	1,027	1,083	1,097
25.8 Subsistence and support of persons .....	21	20	1
26.0 Supplies and materials .....	1,350	1,613	1,639
31.0 Equipment .....	94	76	81
32.0 Land and structures .....	185	228	176
42.0 Insurance claims and indemnities .....	15	13	11
99.0 Direct obligations .....	6,446	6,670	6,704
99.0 Reimbursable obligations .....	1,221	17	18
99.9 Total new obligations .....	7,667	6,687	6,722

**Employment Summary**

Identification code 057-3840-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	23,261	23,449	23,443
2001 Reimbursable civilian full-time equivalent employment .....	240	148	148

OVERSEAS CONTINGENCY OPERATIONS TRANSFER FUND

**Program and Financing (in millions of dollars)**

Identification code 097-0118-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10	10	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES

For salaries and expenses necessary for the United States Court of Appeals for the Armed Forces, **[\$14,078,000]** \$14,194,000, of which not to exceed \$5,000 may be used for official representation purposes. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 097-0104-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004 Administration and associated activities .....	14	14	14
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	14	14	14
1930 Total budgetary resources available .....	14	14	14

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	3
3010 Obligations incurred, unexpired accounts .....	14	14	14
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-15	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	2	3	4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	2	3
3200 Obligated balance, end of year .....	2	3	4

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	14	14	14
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	11	11
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	15	13	13
4180 Budget authority, net (total) .....	14	14	14
4190 Outlays, net (total) .....	15	13	13

**Object Classification (in millions of dollars)**

Identification code 097-0104-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	7	6
11.3 Other than full-time permanent .....	3		
11.9 Total personnel compensation .....	7	7	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	1	1
25.2 Other services from non-Federal sources .....	2	2	3
25.7 Operation and maintenance of equipment .....	1	2	2
99.9 Total new obligations .....	14	14	14

**Employment Summary**

Identification code 097-0104-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	52	59	59

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE

(INCLUDING TRANSFER OF FUNDS)

For drug interdiction and counter-drug activities of the Department of Defense, for transfer to appropriations available to the Department of Defense for military personnel of the reserve components serving under the provisions of title 10 and title 32, United States Code; for operation and maintenance; for procurement; and for research, development, test and evaluation, **[\$1,050,598,000]** \$844,800,000, of which \$716,109,000 shall be for counter-narcotics support; \$121,589,000 shall be for the drug demand reduction program; \$192,900,000 shall be for the National Guard counter-drug program; and \$20,000,000 shall be for the National Guard counter-drug schools program; *Provided*, That the funds appropriated under this heading shall be available for obligation for the same time period and for the same purpose as the appropriation to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority contained elsewhere in this Act. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 097-0105-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Drug interdiction and counter-drug activities .....		902	730
0002 Demand reduction program .....		122	115
0003 National Guard Counter-Drug .....		213	
0900 Total new obligations .....		1,237	845

## DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE—Continued

## Program and Financing—Continued

Identification code 097–0105–0–1–051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	376	
1010	Unobligated balance transfer to other accts [097–9999] .....	–339	
1011	Unobligated balance transfer from other acct [097–9999] .....	7	
1050	Unobligated balance (total) .....	44	
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1,156	845
1120	Appropriations transferred to other acct [097–9999] .....	–1,171	
1121	Appropriations transferred from other acct [097–9999] .....	15	
1160	Appropriation, discretionary (total) .....	1,237	845
1930	Total budgetary resources available .....	44	845
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	–44	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		433
3010	Obligations incurred, unexpired accounts .....	1,237	845
3020	Outlays (gross) .....	–804	–913
3050	Unpaid obligations, end of year .....	433	365
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		433
3200	Obligated balance, end of year .....	433	365
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1,237	845
Outlays, gross:			
4010	Outlays from new discretionary authority .....	804	549
4011	Outlays from discretionary balances .....		364
4020	Outlays, gross (total) .....	804	913
4180	Budget authority, net (total) .....	1,237	845
4190	Outlays, net (total) .....	804	913

## Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....		1,237	845
Outlays .....		804	913
Overseas contingency operations:			
Budget Authority .....			215
Outlays .....			140
Total:			
Budget Authority .....		1,237	1,060
Outlays .....		804	1,053

## Object Classification (in millions of dollars)

Identification code 097–0105–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0	Travel and transportation of persons .....	48	50
22.0	Transportation of things .....	17	20
23.2	Rental payments to others .....	6	6
23.3	Communications, utilities, and miscellaneous charges .....	29	37
25.1	Advisory and assistance services .....	29	28
25.2	Other services from non-Federal sources .....	34	19
25.3	Other goods and services from Federal sources .....	152	145
25.3	Other goods and services from Federal sources .....	3	4
25.4	Operation and maintenance of facilities .....	14	11
25.7	Operation and maintenance of equipment .....	124	130
26.0	Supplies and materials .....	706	280
31.0	Equipment .....	75	115
99.9	Total new obligations .....	1,237	845

## SUPPORT FOR INTERNATIONAL SPORTING COMPETITIONS

## Program and Financing (in millions of dollars)

Identification code 097–0838–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Support for International Sporting Competitions (Direct) .....	6	
0900	Total new obligations (object class 26.0) .....	6	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	7	12
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	8	12
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	10	
1930	Total budgetary resources available .....	18	12
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	
3010	Obligations incurred, unexpired accounts .....	6	
3020	Outlays (gross) .....	–6	
3040	Recoveries of prior year unpaid obligations, unexpired .....	–1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	10	
Outlays, gross:			
4011	Outlays from discretionary balances .....	6	
4180	Budget authority, net (total) .....	10	
4190	Outlays, net (total) .....	6	

## FOREIGN CURRENCY FLUCTUATIONS

## Program and Financing (in millions of dollars)

Identification code 097–0801–0–1–051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	970	970
1050	Unobligated balance (total) .....	970	970
1930	Total budgetary resources available .....	970	970
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	970	970
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

This account transfers funds to operation and maintenance and military personnel appropriations, for Defense activities that purchase foreign currencies, to finance upward adjustments of recorded obligations due to foreign currency fluctuations above the budget rate. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this appropriation and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Department to withdraw unobligated balances from operation and maintenance and military personnel appropriations from prior years. By statute (10 U.S.C. 2779(d)(3)), the total amount of discretionary budget authority in this transfer account may not exceed \$970,000,000.

## DEFENSE HEALTH PROGRAM

For expenses, not otherwise provided for, for medical and health care programs of the Department of Defense as authorized by law, **[\$32,329,490,000]** \$33,467,516,000; of which **[\$29,842,167,000]** \$32,231,390,000

shall be for operation and maintenance, of which not to exceed [one] two percent shall remain available for obligation until September 30, [2017, and of which up to \$14,579,612,000 may be available for contracts entered into under the TRICARE program] 2018; of which [\$365,390,000] \$413,219,000, to remain available for obligation until September 30, [2018] 2019, shall be for procurement; and of which [\$2,121,933,000] \$822,907,000, to remain available for obligation until September 30, [2017] 2018, shall be for research, development, test and evaluation [ : Provided, That, notwithstanding any other provision of law, of the amount made available under this heading for research, development, test and evaluation, not less than \$8,000,000 shall be available for HIV prevention educational activities undertaken in connection with United States military training, exercises, and humanitarian assistance activities conducted primarily in African nations: Provided further, That of the funds provided under this heading for research, development, test and evaluation, not less than \$943,300,000 shall be made available to the United States Army Medical Research and Materiel Command to carry out the congressionally directed medical research programs ]. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097-0130-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	30,977	31,090	31,471
0002 Research, Development, Test, & Evaluation .....	1,885	1,433	952
0003 Procurement .....	441	148	455
0799 Total direct obligations .....	33,303	32,671	32,878
0801 Defense Health Program (Reimbursable) .....	3,035	3,827	4,186
0900 Total new obligations .....	36,338	36,498	37,064
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,052	1,825	1,705
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,052		
1010 Unobligated balance transfer to other accts [097-9999] .....	-130		
1011 Unobligated balance transfer from other acct [097-9999] .....	628		
1012 Unobligated balance transfers between expired and unexpired accounts .....	307		
1021 Recoveries of prior year unpaid obligations .....	133		
1050 Unobligated balance (total) .....	2,990	1,825	1,705
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	32,374	32,607	33,468
1120 Appropriations transferred to other acct [097-9999] .....	-112		
1120 Appropriations transferred to other acct [036-0165] .....	-15	-15	-15
1120 Appropriations transferred to other acct [036-0169] .....	-117	-120	-122
1121 Appropriations transferred from other acct [097-9999] .....	106		
1130 Appropriations permanently reduced .....	-23	-61	
1160 Appropriation, discretionary (total) .....	32,213	32,411	33,331
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,471	3,967	4,193
1701 Change in uncollected payments, Federal sources .....	162		
1750 Spending auth from offsetting collections, disc (total) .....	1,633	3,967	4,193
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,406		
1900 Budget authority (total) .....	35,252	36,378	37,524
1930 Total budgetary resources available .....	38,242	38,203	39,229
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-79		
1941 Unexpired unobligated balance, end of year .....	1,825	1,705	2,165
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,675	13,279	14,808
3010 Obligations incurred, unexpired accounts .....	36,338	36,498	37,064
3011 Obligations incurred, expired accounts .....	793		
3020 Outlays (gross) .....	-35,065	-34,969	-38,138
3040 Recoveries of prior year unpaid obligations, unexpired .....	-133		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,329		
3050 Unpaid obligations, end of year .....	13,279	14,808	13,734
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-754	-738	-738
3070 Change in uncollected pymts, Fed sources, unexpired .....	-162		
3071 Change in uncollected pymts, Fed sources, expired .....	178		
3090 Uncollected pymts, Fed sources, end of year .....	-738	-738	-738
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11,921	12,541	14,070
3200 Obligated balance, end of year .....	12,541	14,070	12,996

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	33,846	36,378	37,524
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26,293	26,655	27,525
4011 Outlays from discretionary balances .....	7,366	8,314	10,613
4020 Outlays, gross (total) .....	33,659	34,969	38,138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-979	-2,712	-2,877
4033 Non-Federal sources .....	-639	-1,255	-1,316
4040 Offsets against gross budget authority and outlays (total) .....	-1,618	-3,967	-4,193
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-162		
4052 Offsetting collections credited to expired accounts .....	147		
4060 Additional offsets against budget authority only (total) .....	-15		
4070 Budget authority, net (discretionary) .....	32,213	32,411	33,331
4080 Outlays, net (discretionary) .....	32,041	31,002	33,945
Mandatory:			
4090 Budget authority, gross .....	1,406		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,406		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,406		
4180 Budget authority, net (total) .....	32,213	32,411	33,331
4190 Outlays, net (total) .....	32,041	31,002	33,945
<b>Memorandum (non-add) entries:</b>			
5093 Expired unavailable balance, SOY: Offsetting collections .....	76	76	76
5095 Expired unavailable balance, EOY: Offsetting collections .....	76	76	76

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	32,213	32,411	33,331
Outlays .....	32,041	31,002	33,945
Overseas contingency operations:			
Budget Authority .....			332
Outlays .....			232
Total:			
Budget Authority .....	32,213	32,411	33,663
Outlays .....	32,041	31,002	34,177

The Defense Health Program (DHP) provides care to current and retired members of the Armed Forces, their family members, and other eligible beneficiaries. Beneficiaries may obtain care from the Military Department medical and dental facilities or through the civilian health care network under the TRICARE program.

Accrual accounting for Medicare-eligible beneficiaries began in 2003 and the health care for these beneficiaries is funded from the Department of Defense Medicare-Eligible Retiree Health Care Fund. The DHP also manages Research and Development funds appropriated by Congress, which support medical research and health information management systems development.

The DHP and Department of Veterans Affairs (VA) share the goal of improving the access, quality, and cost effectiveness of health care provided by VA and DOD. To this end, each Department contributes a minimum of \$15 million per year for joint health care incentives.

The Budget assumes enactment of a comprehensive package of health care enrollment fees, deductible, and co-pay changes phased in over several years. Once the reform is fully implemented, the benefits are still generous with the average beneficiary cost share well below the original 27% of total health care costs. The current reform proposals include: replacing the TRICARE Prime, Standard, Extra options with a simplified TRICARE Health Plan that incorporates cost-sharing for certain members; modest enrollment fees for Medicare-eligible retirees over age 65 (with full grandfathering of those Medicare-eligible retirees who are already receiving TRICARE benefits at the time of enactment); increasing pharmacy co-pays for retirees and military family members, but not active duty members, to incentivize the use of mail order and generic drugs; and favorably treating

DEFENSE HEALTH PROGRAM—Continued

the survivors of members who die on active duty and medically retired and their family members to protect the most vulnerable.

The requested appropriation for the Defense Health Program is \$33.5 billion. This amount includes a reduction of \$17 million for assumed enactment of DoD's pharmacy co-pay proposal and an increase of \$57 million for up front costs associated with implementation of the TRICARE Health Plan proposal.

Health care is provided in military facilities as follows:

	2015	2016	2017
Inpatient Facilities .....	56	55	55
Outpatient Clinics .....	359	360	360
Dental Clinics .....	249	249	249

The DHP is staffed by:

	2015	2016	2017
Civilian work years (thousands) .....	61	65	63
Military personnel (thousands) .....	82	84	84

The number of eligible beneficiaries of the Defense Health Program is estimated as follows:

Eligible Beneficiary Categories	2015	2016	2017
Active Duty (AD) Personnel .....	1,542,675	1,528,284	1,510,138
Active Duty Family Members .....	2,066,224	2,044,871	2,018,163
(Medicare Eligible AD Family Members) .....	(7,890)	(7,796)	(7,678)
Retirees .....	1,071,080	1,066,406	1,060,425
(Medicare Eligible Retirees) .....	(1,102,033)	(1,117,072)	(1,133,101)
Retiree Family Members and Survivors .....	2,447,461	2,441,440	2,434,800
(Medicare Eligible Retiree Family Members and Survivors) .....	(1,200,760)	(1,217,030)	(1,233,699)
Total .....	9,438,123	9,422,898	9,398,004
(Total Medicare Eligible) .....	(2,310,683)	(2,341,898)	(2,374,478)

Source MCFAS FY2014.0

Object Classification (in millions of dollars)

Identification code 097-0130-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	3,620	3,932	3,866
11.3 Other than full-time permanent .....	114	143	124
11.5 Other personnel compensation .....	398	416	409
11.9 Total personnel compensation .....	4,132	4,491	4,399
12.1 Civilian personnel benefits .....	1,329	1,437	1,404
13.0 Benefits for former personnel .....	4	5	5
21.0 Travel and transportation of persons .....	208	199	230
22.0 Transportation of things .....	13	47	48
23.1 Rental payments to GSA .....	9	26	26
23.2 Rental payments to others .....	38	36	38
23.3 Communications, utilities, and miscellaneous charges .....	288	325	427
24.0 Printing and reproduction .....	24	24	24
25.1 Advisory and assistance services .....	455	341	339
25.2 Other services from non-Federal sources .....	512	662	654
25.3 Other goods and services from Federal sources .....	468	319	401
25.3 Other goods and services from Federal sources .....	58	83	69
25.3 Other goods and services from Federal sources .....	292	282	283
25.4 Operation and maintenance of facilities .....	552	606	611
25.5 Research and development contracts .....	1,669	2,084	802
25.6 Medical care .....	15,428	14,772	15,854
25.7 Operation and maintenance of equipment .....	1,110	1,183	1,243
25.8 Subsistence and support of persons .....	10	4	4
26.0 Supplies and materials .....	5,401	4,488	4,372
31.0 Equipment .....	766	867	984
32.0 Land and structures .....	470	340	611
41.0 Grants, subsidies, and contributions .....	66	49	49
43.0 Interest and dividends .....	1	1	1
99.0 Direct obligations .....	33,303	32,671	32,878
99.0 Reimbursable obligations .....	3,035	3,827	4,186
99.9 Total new obligations .....	36,338	36,498	37,064

Employment Summary

Identification code 097-0130-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	59,864	63,321	61,521
2001 Reimbursable civilian full-time equivalent employment .....	59	62	62

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION ACCOUNTS

ENVIRONMENTAL RESTORATION, ARMY

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$234,829,000]** \$170,167,000, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Army, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

ENVIRONMENTAL RESTORATION, NAVY

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Navy, **[\$300,000,000]** \$281,762,000, to remain available until transferred: *Provided*, That the Secretary of the Navy shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Navy, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Navy, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

ENVIRONMENTAL RESTORATION, AIR FORCE

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Air Force, **[\$368,131,000]** \$371,521,000, to remain available until transferred: *Provided*, That the Secretary of the Air Force shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Air Force, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Air Force, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

ENVIRONMENTAL RESTORATION, DEFENSE-WIDE

(INCLUDING TRANSFER OF FUNDS)

For the Department of Defense, **[\$8,232,000]** \$9,009,000, to remain available until transferred: *Provided*, That the Secretary of Defense shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of Defense, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of Defense, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 097-0810-0-1-051	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Department of the Army .....		235	170
0002 Department of the Navy .....		300	282

0003	Department of the Air Force .....	368	372
0004	Defense-wide .....	8	9
0900	Total new obligations .....	911	833
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	103	1
1010	Unobligated balance transfer to other accts [097–9999] .....	-103	
1050	Unobligated balance (total) .....	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	896	911
1120	Appropriations transferred to other acct [097–9999] .....	-895	832
1160	Appropriation, discretionary (total) .....	1	911
1900	Budget authority (total) .....	1	911
1930	Total budgetary resources available .....	1	912
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		546
3010	Obligations incurred, unexpired accounts .....	911	833
3020	Outlays (gross) .....	-365	-697
3050	Unpaid obligations, end of year .....		546
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		546
3200	Obligated balance, end of year .....		682
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1	911
Outlays, gross:			
4010	Outlays from new discretionary authority .....	364	333
4011	Outlays from discretionary balances .....	1	364
4020	Outlays, gross (total) .....		365
4180	Budget authority, net (total) .....	1	911
4190	Outlays, net (total) .....		365

**Object Classification** (in millions of dollars)

Identification code 097–0810–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....	3	3
32.0	Land and structures .....	908	830
99.9	Total new obligations .....	911	833

**ENVIRONMENTAL RESTORATION, FORMERLY USED DEFENSE SITES**  
(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$231,217,000]** \$197,084,000, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris at sites formerly used by the Department of Defense, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 097–0811–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005	Defense-wide .....	231	197
0900	Total new obligations (object class 32.0) .....	231	197

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	17	40
1010	Unobligated balance transfer to other accts [097–9999] .....	-17	
1050	Unobligated balance (total) .....		40
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	251	231
1120	Appropriations transferred to other acct [097–9999] .....	-251	
1121	Appropriations transferred from other acct [097–9999] .....	40	
1160	Appropriation, discretionary (total) .....	40	231
1930	Total budgetary resources available .....	40	271
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	40	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		99
3010	Obligations incurred, unexpired accounts .....		231
3020	Outlays (gross) .....		-132
3050	Unpaid obligations, end of year .....		99
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		99
3200	Obligated balance, end of year .....		125
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	40	231
Outlays, gross:			
4010	Outlays from new discretionary authority .....		92
4011	Outlays from discretionary balances .....		40
4020	Outlays, gross (total) .....		132
4180	Budget authority, net (total) .....	40	231
4190	Outlays, net (total) .....		132

The Defense Environmental Restoration Program provides for the identification, investigation, and cleanup of contamination resulting from past DOD activities. The Department has 33,791 sites that have a remedy in place or a response completed, leaving 3,835 open sites at active and Base Realignment and Closure (BRAC) military installations and 1,814 open sites at Formerly Used Defense Sites (FUDS). For these remaining open sites, DOD is engaged in either a study to determine the extent of the contamination or the actual clean-up of the contamination.

The Department's environmental restoration program is funded by five separate environmental restoration accounts, one for each military department, one for defense agencies and one for FUDS. The first four accounts, Army, Navy, Air Force and defense-wide environmental restoration accounts cover funding for active installations, and are shown separately from the FUDS program environmental restoration account, which funds environmental cleanup on properties no longer owned and/or used by DOD. These five accounts include restoration activities ranging from inventory to preliminary assessment, then to investigation and cleanup of contamination, and finally to closeout of a site. BRAC sites are funded separately under the BRAC account.

**OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID**

For expenses relating to the Overseas Humanitarian, Disaster, and Civic Aid programs of the Department of Defense (consisting of the programs provided under sections 401, 402, 404, 407, 2557, and 2561 of title 10, United States Code), **[\$103,266,000]** \$105,125,000, to remain available until September 30, **[2017]** 2018. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 097–0819–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Humanitarian assistance .....	562	126
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1,056	75

OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID—Continued  
Program and Financing—Continued

Identification code 097-0819-0-1-051	2015 actual	2016 est.	2017 est.
1010 Unobligated balance transfer to other accts [097-9999] .....	-50		
1021 Recoveries of prior year unpaid obligations .....	28		
1050 Unobligated balance (total) .....	1,034	75	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	103	103	105
1900 Budget authority (total) .....	103	103	105
1930 Total budgetary resources available .....	1,137	178	157
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-500		
1941 Unexpired unobligated balance, end of year .....	75	52	53

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	167	302	124
3010 Obligations incurred, unexpired accounts .....	562	126	104
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-377	-304	-86
3040 Recoveries of prior year unpaid obligations, unexpired .....	-28		
3041 Recoveries of prior year unpaid obligations, expired .....	-28		
3050 Unpaid obligations, end of year .....	302	124	142
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	167	301	123
3200 Obligated balance, end of year .....	301	123	141

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	103	103	105
Outlays, gross:			
4010 Outlays from new discretionary authority .....	-2	16	16
4011 Outlays from discretionary balances .....	379	288	70
4020 Outlays, gross (total) .....	377	304	86
4180 Budget authority, net (total) .....	103	103	105
4190 Outlays, net (total) .....	377	304	86

Object Classification (in millions of dollars)

Identification code 097-0819-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	12	3	3
22.0 Transportation of things .....	12		
23.3 Communications, utilities, and miscellaneous charges .....	4		
25.1 Advisory and assistance services .....	4		
25.2 Other services from non-Federal sources .....	33	25	12
25.3 Other goods and services from Federal sources .....	115	49	50
25.3 Other goods and services from Federal sources .....	151	15	8
25.4 Operation and maintenance of facilities .....	72		
25.6 Medical care .....	64		
26.0 Supplies and materials .....	40	8	4
31.0 Equipment .....	22		1
32.0 Land and structures .....	33	26	26
99.9 Total new obligations .....	562	126	104

COOPERATIVE THREAT REDUCTION ACCOUNT

For assistance [to the republics of the former Soviet Union and, with appropriate authorization by ], including assistance provided by contract or by grants, under programs and activities of the Department of Defense [and Department of State, to countries outside of the former Soviet Union, including assistance provided by contract or by grants, for facilitating the elimination and the safe and secure transportation and storage of nuclear, chemical and other weapons; for establishing programs to prevent the proliferation of weapons, weapons components, and weapon-related technology and expertise; for programs relating to the training and support of defense and military personnel for demilitarization and protection of weapons, weapons components, and weapons technology and expertise, and for defense and military contacts] Cooperative Threat Reduction Program authorized under the Department of Defense Cooperative Threat Reduction Act, [\$358,496,000]

\$325,604,000, to remain available until September 30, [2018]2019. (Department of Defense Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-0134-0-1-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1130 Collections, Contributions to the Cooperative Threat Reduction Program .....	1	6	6
2000 Total: Balances and receipts .....	2	7	7
Appropriations:			
Current law:			
2101 Cooperative Threat Reduction Account .....	-1	-6	-6
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 097-0134-0-1-051	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 FSU Threat Reduction .....	465	302	329
0801 Cooperative Threat Reduction Account (Reimbursable) .....		1	1
0900 Total new obligations .....	465	303	330

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	203	107	154
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	203	107	
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	209	107	154
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	365	358	326
1131 Unobligated balance of appropriations permanently reduced .....		-15	
1160 Appropriation, discretionary (total) .....	365	343	326
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	6	6
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	366	350	333
1930 Total budgetary resources available .....	575	457	487
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	107	154	157

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	611	579	542
3010 Obligations incurred, unexpired accounts .....	465	303	330
3020 Outlays (gross) .....	-482	-340	-367
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	579	542	505
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	611	579	542
3200 Obligated balance, end of year .....	579	542	505

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	365	344	327
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	4	17
4011 Outlays from discretionary balances .....	443	336	350
4020 Outlays, gross (total) .....	477	340	367
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4040 Offsets against gross budget authority and outlays (total) .....		-1	-1
Mandatory:			
4090 Budget authority, gross .....	1	6	6
Outlays, gross:			
4101 Outlays from mandatory balances .....	5		
4180 Budget authority, net (total) .....	366	349	332
4190 Outlays, net (total) .....	482	339	366

**Object Classification** (in millions of dollars)

Identification code 097-0134-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	5	7	7
23.3 Communications, utilities, and miscellaneous charges .....			2
25.1 Advisory and assistance services .....	230	34	135
25.2 Other services from non-Federal sources .....		143	73
25.3 Other goods and services from Federal sources .....	77	94	72
25.4 Operation and maintenance of facilities .....	14	4	
25.5 Research and development contracts .....	10	15	20
25.7 Operation and maintenance of equipment .....	7	5	10
26.0 Supplies and materials .....	13		
31.0 Equipment .....	26		2
32.0 Land and structures .....	80		
41.0 Grants, subsidies, and contributions .....	3		8
99.0 Direct obligations .....	465	302	329
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations .....	465	303	330

**COUNTERTERRORISM PARTNERSHIPS FUND**

**Program and Financing** (in millions of dollars)

Identification code 097-0145-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Counterterrorism Partnerships Fund (Direct) .....		1,263	550
0900 Total new obligations (object class 26.0) .....		1,263	550
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		713	550
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,300	1,100	
1120 Appropriations transferred to other acct [097-9999] .....	-744		
1121 Appropriations transferred from other acct [097-9999] .....	157		
1160 Appropriation, discretionary (total) .....	713	1,100	
1930 Total budgetary resources available .....	713	1,813	550
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	713	550	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			268
3010 Obligations incurred, unexpired accounts .....		1,263	550
3020 Outlays (gross) .....		-995	-540
3050 Unpaid obligations, end of year .....		268	278
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			268
3200 Obligated balance, end of year .....		268	278
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	713	1,100	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		440	
4011 Outlays from discretionary balances .....		555	540
4020 Outlays, gross (total) .....		995	540
4180 Budget authority, net (total) .....	713	1,100	
4190 Outlays, net (total) .....		995	540

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	713	1,100	
Outlays .....		995	540
<b>Overseas contingency operations:</b>			
Budget Authority .....			1,000
Outlays .....			400
<b>Total:</b>			
Budget Authority .....	713	1,100	1,000

Outlays .....	995	940
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**EUROPEAN REASSURANCE INITIATIVE**

**Program and Financing** (in millions of dollars)

Identification code 097-0114-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	175		
1120 Appropriations transferred to other acct [097-9999] .....	-175		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**AFGHANISTAN SECURITY FORCES FUND**

**Program and Financing** (in millions of dollars)

Identification code 021-2091-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ministry of Defense .....	4,089	2,307	1,028
0002 Ministry of Interior .....	1,722	1,418	415
0004 Detainee operations .....	19	29	
0005 Contributions .....	203		
0900 Total new obligations .....	6,033	3,754	1,443
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,575	2,050	1,548
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	4,587	2,050	1,548
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,109	3,652	
1131 Unobligated balance of appropriations permanently reduced .....	-764	-400	
1160 Appropriation, discretionary (total) .....	3,345	3,252	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	151		
1900 Budget authority (total) .....	3,496	3,252	
1930 Total budgetary resources available .....	8,083	5,302	1,548
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,050	1,548	105
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,983	1,398	2,551
3010 Obligations incurred, unexpired accounts .....	6,033	3,754	1,443
3011 Obligations incurred, expired accounts .....	357		
3020 Outlays (gross) .....	-6,926	-2,601	-2,439
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,037		
3050 Unpaid obligations, end of year .....	1,398	2,551	1,555
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,983	1,398	2,551
3200 Obligated balance, end of year .....	1,398	2,551	1,555
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,496	3,252	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,256	696	
4011 Outlays from discretionary balances .....	4,670	1,905	2,439
4020 Outlays, gross (total) .....	6,926	2,601	2,439
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-151		
4040 Offsets against gross budget authority and outlays (total) .....	-151		
4180 Budget authority, net (total) .....	3,345	3,252	
4190 Outlays, net (total) .....	6,775	2,601	2,439

AFGHANISTAN SECURITY FORCES FUND—Continued  
Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,345	3,252	.....
Outlays .....	6,775	2,601	2,439
Overseas contingency operations:			
Budget Authority .....	.....	.....	3,449
Outlays .....	.....	.....	1,035
Total:			
Budget Authority .....	3,345	3,252	3,449
Outlays .....	6,775	2,601	3,474

Object Classification (in millions of dollars)

Identification code 021–2091–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	.....
22.0 Transportation of things .....	15	15	.....
23.2 Rental payments to others .....	4	4	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	2	.....
24.0 Printing and reproduction .....	1	1	.....
25.1 Advisory and assistance services .....	79	.....	.....
25.2 Other services from non-Federal sources .....	67	28	.....
25.3 Other goods and services from Federal sources .....	4,525	2,904	1,222
25.3 Other goods and services from Federal sources .....	2	58	.....
25.4 Operation and maintenance of facilities .....	18	4	.....
25.7 Operation and maintenance of equipment .....	1	1	.....
25.8 Subsistence and support of persons .....	867	443	221
26.0 Supplies and materials .....	48	140	.....
31.0 Equipment .....	180	114	.....
32.0 Land and structures .....	218	39	.....
41.0 Grants, subsidies, and contributions .....	4	.....	.....
42.0 Insurance claims and indemnities .....	1	.....	.....
99.9 Total new obligations .....	6,033	3,754	1,443

AFGHANISTAN INFRASTRUCTURE FUND

Program and Financing (in millions of dollars)

Identification code 021–2096–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Afghanistan Infrastructure Fund (Direct) .....	133	.....	.....
0900 Total new obligations (object class 32.0) .....	133	.....	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	199	.....	.....
1010 Unobligated balance transfer to other accts [072–1037] .....	–55	.....	.....
1050 Unobligated balance (total) .....	144	.....	.....
1930 Total budgetary resources available .....	144	.....	.....
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–11	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	455	318	142
3010 Obligations incurred, unexpired accounts .....	133	.....	.....
3011 Obligations incurred, expired accounts .....	33	.....	.....
3020 Outlays (gross) .....	–179	–176	–123
3041 Recoveries of prior year unpaid obligations, expired .....	–124	.....	.....
3050 Unpaid obligations, end of year .....	318	142	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	455	318	142
3200 Obligated balance, end of year .....	318	142	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	179	176	123
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	179	176	123

IRAQ SECURITY FORCES FUND

Program and Financing (in millions of dollars)

Identification code 021–2092–0–1–051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	26	2
3020 Outlays (gross) .....	.....	–24	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–25	.....	.....
3050 Unpaid obligations, end of year .....	26	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	51	26	2
3200 Obligated balance, end of year .....	26	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	24	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	24	.....

PAKISTAN COUNTERINSURGENCY FUND

Program and Financing (in millions of dollars)

Identification code 021–2095–0–1–051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	15	.....
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	.....	–15	.....
3050 Unpaid obligations, end of year .....	15	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	15	.....
3200 Obligated balance, end of year .....	15	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	15	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	15	.....

IRAQ TRAIN AND EQUIP FUND

Program and Financing (in millions of dollars)

Identification code 021–2097–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Iraq Train and Equip Fund .....	967	1,009	357
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	651	357
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,618	715	.....
1930 Total budgetary resources available .....	1,618	1,366	357
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	651	357	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	148	175
3010 Obligations incurred, unexpired accounts .....	967	1,009	357
3020 Outlays (gross) .....	–819	–982	–375
3050 Unpaid obligations, end of year .....	148	175	157
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	148	175
3200 Obligated balance, end of year .....	148	175	157

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross	1,618	715
Outlays, gross:			
4010	Outlays from new discretionary authority	819	286
4011	Outlays from discretionary balances	696	375
4020	Outlays, gross (total)	819	982
4180	Budget authority, net (total)	1,618	715
4190	Outlays, net (total)	819	982

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	1,618	715	
Outlays	819	982	375
Overseas contingency operations:			
Budget Authority			630
Outlays			252
<b>Total:</b>			
Budget Authority	1,618	715	630
Outlays	819	982	627

**Object Classification** (in millions of dollars)

Identification code 021–2097–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2	Other services from non-Federal sources	4	
25.3	Other goods and services from Federal sources	940	990
25.4	Operation and maintenance of facilities	2	
25.7	Operation and maintenance of equipment	1	
26.0	Supplies and materials	4	4
31.0	Equipment	16	357
99.9	Total new obligations	967	1,009

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT FUND

**Program and Financing** (in millions of dollars)

Identification code 097–0111–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Acquisition workforce development	381	491
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	368	874
1012	Unobligated balance transfers between expired and unexpired accounts	477	
1021	Recoveries of prior year unpaid obligations	26	
1050	Unobligated balance (total)	871	874
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	83	
1120	Appropriations transferred to other acct [097–9999]	–4	
1160	Appropriation, discretionary (total)	79	
Spending authority from offsetting collections, discretionary:			
1700	Collected	477	468
Spending authority from offsetting collections, mandatory:			
1800	Collected	309	118
1900	Budget authority (total)	388	586
1930	Total budgetary resources available	1,259	1,351
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–4	
1941	Unexpired unobligated balance, end of year	874	974

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	166	70
3010	Obligations incurred, unexpired accounts	381	472
3011	Obligations incurred, expired accounts	4	
3020	Outlays (gross)	–354	–538
3040	Recoveries of prior year unpaid obligations, unexpired	–26	
3041	Recoveries of prior year unpaid obligations, expired	–16	
3050	Unpaid obligations, end of year	155	70
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	–3	–4

3071	Change in uncollected pymts, Fed sources, expired	–1	
3090	Uncollected pymts, Fed sources, end of year	–4	–4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	163	151
3200	Obligated balance, end of year	151	66

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross	79	477
Outlays, gross:			
4010	Outlays from new discretionary authority	69	262
4011	Outlays from discretionary balances	219	6
4020	Outlays, gross (total)	288	268
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	–477	–468
4040	Offsets against gross budget authority and outlays (total)	–477	–468
Mandatory:			
4090	Budget authority, gross	309	118
Outlays, gross:			
4100	Outlays from new mandatory authority		88
4101	Outlays from mandatory balances	66	308
4110	Outlays, gross (total)	66	308
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources	–309	–118
4180	Budget authority, net (total)	79	
4190	Outlays, net (total)	45	–48

**Object Classification** (in millions of dollars)

Identification code 097–0111–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	140	126
11.5	Other personnel compensation	7	7
11.9	Total personnel compensation	147	133
12.1	Civilian personnel benefits	45	40
21.0	Travel and transportation of persons	9	17
23.1	Rental payments to GSA	1	2
25.1	Advisory and assistance services	11	22
25.2	Other services from non-Federal sources	6	11
25.3	Other goods and services from Federal sources	160	262
25.7	Operation and maintenance of equipment	1	2
32.0	Land and structures	1	2
99.9	Total new obligations	381	491

**Employment Summary**

Identification code 097–0111–0–1–051	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment	2,628	1,952

SHIP MODERNIZATION, OPERATIONS AND SUSTAINMENT FUND

**Program and Financing** (in millions of dollars)

Identification code 017–0513–0–1–051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,038	2,083
1010	Unobligated balance transfer to other accts [097–9999]	–249	
1050	Unobligated balance (total)	1,789	2,083
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	540	
1120	Appropriations transferred to other acct [097–9999]	–246	
1160	Appropriation, discretionary (total)	294	
1930	Total budgetary resources available	2,083	2,083
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2,083	2,083

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross	294	

SHIP MODERNIZATION, OPERATIONS AND SUSTAINMENT FUND—Continued  
Program and Financing—Continued

Identification code 017-0513-0-1-051	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....	294		
4190 Outlays, net (total) .....			

## EMERGENCY RESPONSE FUND

## Program and Financing (in millions of dollars)

Identification code 097-0833-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	203	206	206
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	206	206	206
1930 Total budgetary resources available .....	206	206	206
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	206	206	206
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	37	17
3020 Outlays (gross) .....		-20	-10
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	37	17	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	37	17
3200 Obligated balance, end of year .....	37	17	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		20	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		20	10

## EMERGENCY RESPONSE

## Program and Financing (in millions of dollars)

Identification code 097-4965-0-4-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
1050 Unobligated balance (total) .....	11	11	11
1930 Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	
3020 Outlays (gross) .....		-3	
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		3	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		3	

## ALLIED CONTRIBUTIONS AND COOPERATION ACCOUNT

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-9927-0-2-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	8	8	8
Receipts:			
Current law:			
1130 Contributions for Burdensharing and Other Cooperative Activities (Kuwait) .....	172	164	190
1130 Contributions for Burdensharing and Other Cooperative Activities (Japan) .....	221	268	244
1130 Contributions for Burdensharing and Other Cooperative Activities (So. Korea) .....	349	364	386
1199 Total current law receipts .....	742	796	820
1999 Total receipts .....	742	796	820
2000 Total: Balances and receipts .....	750	804	828
Appropriations:			
Current law:			
2101 Allied Contributions and Cooperation Account .....	-742	-796	-820
5099 Balance, end of year .....	8	8	8

## Program and Financing (in millions of dollars)

Identification code 097-9927-0-2-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Allied Contributions and Cooperation Account (Direct) .....	603	720	727
0900 Total new obligations (object class 26.0) .....	603	720	727
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	468	607	683
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	742	796	820
1930 Total budgetary resources available .....	1,210	1,403	1,503
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	607	683	776
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			85
3010 Obligations incurred, unexpired accounts .....	603	720	727
3020 Outlays (gross) .....	-603	-635	-812
3050 Unpaid obligations, end of year .....		85	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			85
3200 Obligated balance, end of year .....		85	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	742	796	820
Outlays, gross:			
4100 Outlays from new mandatory authority .....	603	525	541
4101 Outlays from mandatory balances .....		110	271
4110 Outlays, gross (total) .....	603	635	812
4180 Budget authority, net (total) .....	742	796	820
4190 Outlays, net (total) .....	603	635	812
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	8	8	8
5001 Total investments, EOY: Federal securities: Par value .....	8	8	8

Cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD costs such as compensation of local national employees, military construction, and supplies and services. Contributions are used to offset costs of DOD's overseas presence.

MISCELLANEOUS SPECIAL FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-9922-0-2-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	3	2	8
0198 Reconciliation adjustment	-3		
0199 Balance, start of year		2	8
Receipts:			
Current law:			
1120 Restoration of the Rocky Mountain Arsenal, Army	1	7	7
1130 Proceeds from the Transfer or Disposition of Commissary Facilities	1	1	1
1199 Total current law receipts	2	8	8
1999 Total receipts	2	8	8
2000 Total: Balances and receipts	2	10	16
Appropriations:			
Current law:			
2101 Miscellaneous Special Funds	-1	-1	-1
2103 Miscellaneous Special Funds	-1	-2	-1
2132 Miscellaneous Special Funds	2	1	
2199 Total current law appropriations		-2	-2
2999 Total appropriations		-2	-2
5099 Balance, end of year	2	8	14

Program and Financing (in millions of dollars)

Identification code 097-9922-0-2-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Miscellaneous special funds	1	1	1
0900 Total new obligations (object class 25.4)	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	15	16
1050 Unobligated balance (total)	16	15	16
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1203 Appropriation (previously unavailable)	1	2	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-1	
1260 Appropriations, mandatory (total)		2	2
1900 Budget authority (total)		2	2
1930 Total budgetary resources available	16	17	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	16	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	
3010 Obligations incurred, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-3	-1
3050 Unpaid obligations, end of year	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	
3200 Obligated balance, end of year	2		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross		2	2
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	1	2	
4110 Outlays, gross (total)	1	3	1
4180 Budget authority, net (total)		2	2
4190 Outlays, net (total)	1	3	1

DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5188-0-2-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Disposal of Department of Defense Real Property	19	6	8
2000 Total: Balances and receipts	19	6	8
Appropriations:			
Current law:			
2101 Disposal of Department of Defense Real Property	-19	-6	-8
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-5188-0-2-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Concept Obligations	9	18	17
0900 Total new obligations (object class 25.4)	9	18	17
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	41	53	41
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	43	53	41
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	19	6	8
1900 Budget authority (total)	19	6	8
1930 Total budgetary resources available	62	59	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	53	41	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	16	22
3010 Obligations incurred, unexpired accounts	9	18	17
3020 Outlays (gross)	-11	-12	-14
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	16	22	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-4	-4
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	12	18
3200 Obligated balance, end of year	12	18	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	19	6	8
Outlays, gross:			
4010 Outlays from new discretionary authority		4	6
4011 Outlays from discretionary balances	11	8	8
4020 Outlays, gross (total)	11	12	14
4180 Budget authority, net (total)	19	6	8
4190 Outlays, net (total)	11	12	14

These receipts from the disposal of DOD real property are applied to real property maintenance and environmental efforts at DOD installations.

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5189-0-2-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Lease of Department of Defense Real Property	39	35	37
2000 Total: Balances and receipts	39	35	37

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY—Continued  
**Special and Trust Fund Receipts—Continued**

Identification code 097-5189-0-2-051	2015 actual	2016 est.	2017 est.
<b>Appropriations:</b>			
Current law:			
2101 Lease of Department of Defense Real Property .....	-39	-35	-37
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 097-5189-0-2-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Concept Obligations .....	39	40	45
0900 Total new obligations (object class 25.4) .....	39	40	45

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	156	157	152
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	157	157	152
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	39	35	37
1900 Budget authority (total) .....	39	35	37
1930 Total budgetary resources available .....	196	192	189
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	157	152	144

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	47	50
3010 Obligations incurred, unexpired accounts .....	39	40	45
3020 Outlays (gross) .....	-22	-37	-37
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	47	50	58
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	30	46	49
3200 Obligated balance, end of year .....	46	49	57

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	39	35	37
Outlays, gross:			
4010 Outlays from new discretionary authority .....		21	22
4011 Outlays from discretionary balances .....	22	16	15
4020 Outlays, gross (total) .....	22	37	37
4180 Budget authority, net (total) .....	39	35	37
4190 Outlays, net (total) .....	22	37	37

These receipts from the lease of DOD real property are applied to real property maintenance and environmental efforts at DOD installations. Receipts are available for maintenance, protection, alteration, repair, improvement, restoration of property or facilities, construction or acquisition of new facilities, lease of facilities, and facilities operation support.

OVERSEAS MILITARY FACILITY INVESTMENT RECOVERY

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-5193-0-2-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
5099 Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 097-5193-0-2-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Concept Obligations .....	1	1	1
0900 Total new obligations (object class 25.4) .....	1	1	1

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	2	1
1930 Total budgetary resources available .....	3	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	2
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-2	-2	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	3	2
3200 Obligated balance, end of year .....	3	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	2	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	2	1

MUTUALLY BENEFICIAL ACTIVITIES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-5613-0-2-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Contributions for Mutually Beneficial Activities (Kuwait) .....		80	75
2000 Total: Balances and receipts .....		80	75
Appropriations:			
Current law:			
2101 Mutually Beneficial Activities .....		-80	-75
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 097-5613-0-2-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mutually Beneficial Activities (Direct) .....		80	75
0900 Total new obligations (object class 32.0) .....		80	75

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		80	75
1930 Total budgetary resources available .....		80	75

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			16
3010 Obligations incurred, unexpired accounts .....		80	75
3020 Outlays (gross) .....		-64	-76
3050 Unpaid obligations, end of year .....		16	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			16
3200 Obligated balance, end of year .....		16	15

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	80	75
Outlays, gross:			
4100	Outlays from new mandatory authority .....	64	60
4101	Outlays from mandatory balances .....		16
4110	Outlays, gross (total) .....	64	76
4180	Budget authority, net (total) .....	80	75
4190	Outlays, net (total) .....	64	76

Section 2804 of Public Law 114–92 (National Defense Authorization Act for Fiscal Year 2016) established temporary authority for acceptance and use of contributions for certain construction, maintenance, and repair projects mutually beneficial to the Department of Defense and Kuwait military forces.

DEPARTMENT OF DEFENSE VIETNAM WAR COMMEMORATION FUND

Program and Financing (in millions of dollars)

Identification code 097–5750–0–2–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010	Direct program activity .....	1	
0900	Total new obligations (object class 26.0) .....	1	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	17	16
1930	Total budgetary resources available .....	17	16
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1
3010	Obligations incurred, unexpired accounts .....	1	
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1
3200	Obligated balance, end of year .....	1	1
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

INTERNATIONAL RECONSTRUCTION AND OTHER ASSISTANCE

Federal Funds

OPERATING EXPENSES OF THE COALITION PROVISIONAL AUTHORITY

Program and Financing (in millions of dollars)

Identification code 021–2090–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Operating expenses .....	3	
0900	Total new obligations (object class 26.0) .....	3	
<b>Budgetary resources:</b>			
Unobligated balance:			
1021	Recoveries of prior year unpaid obligations .....	3	
1050	Unobligated balance (total) .....	3	
1930	Total budgetary resources available .....	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	3	
3040	Recoveries of prior year unpaid obligations, unexpired .....	–3	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

IRAQ RELIEF AND RECONSTRUCTION FUND, ARMY

Program and Financing (in millions of dollars)

Identification code 021–2089–0–1–151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	9	9
1930	Total budgetary resources available .....	9	9
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	9	9
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

PROCUREMENT

Appropriations in this title support the acquisition of aircraft, ships, combat vehicles, satellites and their launch vehicles, weapons and all capital equipment. Major systems in production typically are budgeted annually to maintain production continuity through the life of the acquisition program, and in several instances multiyear contracts are used to ensure stability of production and economies of scale. Initial spares and support as well as the modification of existing equipment are also funded. Resources presented under the Procurement title contribute primarily to achieving the Department's annual goals of assuring readiness and sustainability, transforming the force for new missions, and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, future challenges risk, and institutional risk.

Procurement in support of the ground forces encompasses wheeled and tracked vehicles, aircraft, ammunition and equipment procured to meet inventory requirements dictated by the force size and anticipated mission requirements. Similarly, procurement in support of naval forces includes ships, equipment for the ships, aircraft, munitions, tactical and ballistic missile weapons, the Marine Corps ground element, and other equipment to sustain future naval operations. The Air Force programs support the broad range of missions and include aircraft, munitions, tactical and ballistic missile weapons, surveillance assets, space assets keyed to the strategic deterrence mission, and other mission support equipment. Procurement is also in support of missile defense and cyberspace missions.

Federal Funds

AIRCRAFT PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$5,866,367,000]** \$3,614,787,000, to remain available for obligation until September 30, **[2018]** 2019, of which \$480,595,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2031–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Aircraft .....	4,696	4,161
0002	Modification of aircraft .....	697	917
0004	Support equipment and facilities .....	346	416
0799	Total direct obligations .....	5,739	5,494
0801	Aircraft Procurement, Army (Reimbursable) .....	148	747

AIRCRAFT PROCUREMENT, ARMY—Continued  
Program and Financing—Continued

Identification code 021–2031–0–1–051	2015 actual	2016 est.	2017 est.
0900 Total new obligations .....	5,887	6,241	5,051
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,866	2,488	2,964
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		2,488	
1010 Unobligated balance transfer to other accts [097–9999] .....	–128		
1011 Unobligated balance transfer from other acct [097–9999] .....	9		
1021 Recoveries of prior year unpaid obligations .....	312		
1050 Unobligated balance (total) .....	3,059	2,488	2,964
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,412	6,028	3,615
1120 Appropriations transferred to other acct [097–9999] .....	–5		
1121 Appropriations transferred from other acct [097–9999] .....	392		
1131 Unobligated balance of appropriations permanently reduced .....	–512	–34	
1160 Appropriation, discretionary (total) .....	5,287	5,994	3,615
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512] .....		13	114
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	60	710	710
1701 Change in uncollected payments, Federal sources .....	37		
1750 Spending auth from offsetting collections, disc (total) .....	97	710	710
1900 Budget authority (total) .....	5,384	6,717	4,439
1930 Total budgetary resources available .....	8,443	9,205	7,403
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–68		
1941 Unexpired unobligated balance, end of year .....	2,488	2,964	2,352

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,605	7,396	7,715
3010 Obligations incurred, unexpired accounts .....	5,887	6,241	5,051
3011 Obligations incurred, expired accounts .....	89		
3020 Outlays (gross) .....	–5,694	–5,922	–6,043
3040 Recoveries of prior year unpaid obligations, unexpired .....	–312		
3041 Recoveries of prior year unpaid obligations, expired .....	–179		
3050 Unpaid obligations, end of year .....	7,396	7,715	6,723
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–446	–468	–468
3070 Change in uncollected pymts, Fed sources, unexpired .....	–37		
3071 Change in uncollected pymts, Fed sources, expired .....	15		
3090 Uncollected pymts, Fed sources, end of year .....	–468	–468	–468
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7,159	6,928	7,247
3200 Obligated balance, end of year .....	6,928	7,247	6,255

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,384	6,704	4,325
Outlays, gross:			
4010 Outlays from new discretionary authority .....	625	1,261	1,050
4011 Outlays from discretionary balances .....	5,069	4,661	4,992
4020 Outlays, gross (total) .....	5,694	5,922	6,042
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–78	–710	–710
4033 Non-Federal sources .....	–2		
4040 Offsets against gross budget authority and outlays (total) .....	–80	–710	–710
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–37		
4052 Offsetting collections credited to expired accounts .....	20		
4060 Additional offsets against budget authority only (total) .....	–17		
4070 Budget authority, net (discretionary) .....	5,287	5,994	3,615
4080 Outlays, net (discretionary) .....	5,614	5,212	5,332
Mandatory:			
4090 Budget authority, gross .....		13	114
Outlays, gross:			
4101 Outlays from mandatory balances .....			1
4180 Budget authority, net (total) .....	5,287	6,007	3,729
4190 Outlays, net (total) .....	5,614	5,212	5,333

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	5,287	6,007	3,729
Outlays .....	5,614	5,212	5,333
Overseas contingency operations:			
Budget Authority .....			313
Outlays .....			30
Total:			
Budget Authority .....	5,287	6,007	4,042
Outlays .....	5,614	5,212	5,363

Object Classification (in millions of dollars)

Identification code 021–2031–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1		
22.0 Transportation of things .....	1	3	3
23.0 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	181	403	403
25.2 Other services from non-Federal sources .....	14	11	11
25.3 Other goods and services from Federal sources .....	156	357	357
25.3 Purchases from revolving funds .....	346	12	12
25.4 Operation and maintenance of facilities .....	1	2	2
25.7 Operation and maintenance of equipment .....	32	130	130
26.0 Supplies and materials .....	261	122	121
31.0 Equipment .....	4,735	4,453	3,301
32.0 Land and structures .....	1		
41.0 Grants, subsidies, and contributions .....	9		
99.0 Direct obligations .....	5,739	5,494	4,341
99.0 Reimbursable obligations .....	148	747	710
99.9 Total new obligations .....	5,887	6,241	5,051

MISSILE PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,600,957,000]** \$1,519,966,000, to remain available for obligation until September 30, **[2018]** 2019, of which \$9,297,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2032–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Other missiles .....	1,339	1,537	1,126
0003 Modification of missiles .....	264	427	412
0004 Spares and repair parts .....	37	28	33
0005 Support equipment and facilities .....	10	8	7
0799 Total direct obligations .....	1,650	2,000	1,578
0801 Missile Procurement, Army (Reimbursable) .....	273	170	131
0900 Total new obligations .....	1,923	2,170	1,709
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,201	845	415
1021 Recoveries of prior year unpaid obligations .....	75		
1050 Unobligated balance (total) .....	1,276	845	415
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,241	1,638	1,520
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	230	102	131
1701 Change in uncollected payments, Federal sources .....	35		
1750 Spending auth from offsetting collections, disc (total) .....	265	102	131
1900 Budget authority (total) .....	1,506	1,740	1,651

1930	Total budgetary resources available .....	2,782	2,585	2,066
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-14		
1941	Unexpired unobligated balance, end of year .....	845	415	357

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,057	2,057	2,199
3010	Obligations incurred, unexpired accounts .....	1,923	2,170	1,709
3011	Obligations incurred, expired accounts .....	25		
3020	Outlays (gross) .....	-1,838	-2,028	-1,409
3040	Recoveries of prior year unpaid obligations, unexpired .....	-75		
3041	Recoveries of prior year unpaid obligations, expired .....	-35		
3050	Unpaid obligations, end of year .....	2,057	2,199	2,499
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-198	-239	-239
3070	Change in uncollected pymts, Fed sources, unexpired .....	-35		
3071	Change in uncollected pymts, Fed sources, expired .....	-6		
3090	Uncollected pymts, Fed sources, end of year .....	-239	-239	-239
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,859	1,818	1,960
3200	Obligated balance, end of year .....	1,818	1,960	2,260

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1,506	1,740	1,651
Outlays, gross:				
4010	Outlays from new discretionary authority .....	111	220	240
4011	Outlays from discretionary balances .....	1,727	1,808	1,169
4020	Outlays, gross (total) .....	1,838	2,028	1,409
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-185	-102	-131
4033	Non-Federal sources .....	-50		
4040	Offsets against gross budget authority and outlays (total) ....	-235	-102	-131
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-35		
4052	Offsetting collections credited to expired accounts .....	5		
4060	Additional offsets against budget authority only (total) .....	-30		
4070	Budget authority, net (discretionary) .....	1,241	1,638	1,520
4080	Outlays, net (discretionary) .....	1,603	1,926	1,278
4180	Budget authority, net (total) .....	1,241	1,638	1,520
4190	Outlays, net (total) .....	1,603	1,926	1,278

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,241	1,638	1,520
Outlays .....	1,603	1,926	1,278
Overseas contingency operations:			
Budget Authority .....			633
Outlays .....			46
Total:			
Budget Authority .....	1,241	1,638	2,153
Outlays .....	1,603	1,926	1,324

**Object Classification (in millions of dollars)**

Identification code 021-2032-0-1-051	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	24	55	54
25.2	Other services from non-Federal sources .....	12	28	33
25.3	Other goods and services from Federal sources .....	50	136	125
25.3	Purchases from revolving funds .....	98		
25.4	Operation and maintenance of facilities .....	3	3	3
25.7	Operation and maintenance of equipment .....	30		1
26.0	Supplies and materials .....	37	12	42
31.0	Equipment .....	1,396	1,766	1,319
99.0	Direct obligations .....	1,650	2,000	1,577
99.0	Reimbursable obligations .....	273	170	132
99.9	Total new obligations .....	1,923	2,170	1,709

**PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY**

For construction, procurement, production, and modification of weapons and tracked combat vehicles, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,951,646,000] \$2,265,177,000**, to remain available for obligation until September 30, **[2018] 2019**, of which **\$319,640,000** shall be available for the Army *National Guard and Army Reserve. (Department of Defense Appropriations Act, 2016.)*

**Program and Financing (in millions of dollars)**

Identification code 021-2033-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Tracked combat vehicles .....	1,843	1,517	1,926
0002	Weapons and other combat vehicles .....	224	153	202
0003	Spare and repair parts .....	11		
0799	Total direct obligations .....	2,078	1,670	2,128
0801	Procurement of Weapons and Tracked Combat Vehicles, Army (Reimbursable) .....	21	22	15
0900	Total new obligations .....	2,099	1,692	2,143

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	677	597	1,355
1011	Unobligated balance transfer from other acct [097-9999] .....	10		
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	2		
1021	Recoveries of prior year unpaid obligations .....	271		
1050	Unobligated balance (total) .....	960	597	1,355
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,727	2,438	2,265
1120	Appropriations transferred to other acct [097-9999] .....	-3		
1131	Unobligated balance of appropriations permanently reduced .....	-5	-8	
1160	Appropriation, discretionary (total) .....	1,719	2,430	2,265
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	5	20	15
1701	Change in uncollected payments, Federal sources .....	13		
1750	Spending auth from offsetting collections, disc (total) .....	18	20	15
1900	Budget authority (total) .....	1,737	2,450	2,280
1930	Total budgetary resources available .....	2,697	3,047	3,635
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	597	1,355	1,492

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,845	2,684	2,417
3010	Obligations incurred, unexpired accounts .....	2,099	1,692	2,143
3011	Obligations incurred, expired accounts .....	22		
3020	Outlays (gross) .....	-1,901	-1,959	-1,612
3040	Recoveries of prior year unpaid obligations, unexpired .....	-271		
3041	Recoveries of prior year unpaid obligations, expired .....	-110		
3050	Unpaid obligations, end of year .....	2,684	2,417	2,948
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-51	-51
3070	Change in uncollected pymts, Fed sources, unexpired .....	-13		
3071	Change in uncollected pymts, Fed sources, expired .....	4		
3090	Uncollected pymts, Fed sources, end of year .....	-51	-51	-51
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,803	2,633	2,366
3200	Obligated balance, end of year .....	2,633	2,366	2,897

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1,737	2,450	2,280
Outlays, gross:				
4010	Outlays from new discretionary authority .....	194	166	158
4011	Outlays from discretionary balances .....	1,707	1,793	1,454
4020	Outlays, gross (total) .....	1,901	1,959	1,612

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY—Continued  
Program and Financing—Continued

Identification code 021–2033–0–1–051	2015 actual	2016 est.	2017 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–10	–20	–15
4040 Offsets against gross budget authority and outlays (total) ....	–10	–20	–15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–13		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	–8		
4070 Budget authority, net (discretionary) .....	1,719	2,430	2,265
4080 Outlays, net (discretionary) .....	1,891	1,939	1,597
4180 Budget authority, net (total) .....	1,719	2,430	2,265
4190 Outlays, net (total) .....	1,891	1,939	1,597

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,719	2,430	2,265
Outlays .....	1,891	1,939	1,597
Overseas contingency operations:			
Budget Authority .....			154
Outlays .....			10
Total:			
Budget Authority .....	1,719	2,430	2,419
Outlays .....	1,891	1,939	1,607

Object Classification (in millions of dollars)

Identification code 021–2033–0–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	28	28	29
25.1 Advisory and assistance services .....	36	35	35
25.2 Other services from non-Federal sources .....	16	23	23
25.3 Other goods and services from Federal sources .....	103	104	105
25.3 Purchases from revolving funds .....	294	297	300
25.7 Operation and maintenance of equipment .....	1	6	6
26.0 Supplies and materials .....	62	62	63
31.0 Equipment .....	1,536	1,113	1,565
99.0 Direct obligations .....	2,078	1,670	2,128
99.0 Reimbursable obligations .....	21	22	15
99.9 Total new obligations .....	2,099	1,692	2,143

PROCUREMENT OF AMMUNITION, ARMY

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,245,426,000]** \$1,513,157,000, to remain available for obligation until September 30, **[2018]** 2019, of which \$151,348,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2034–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....	1,250	1,334	1,104
0002 Ammunition production base support .....	343	367	365
0799 Total direct obligations .....	1,593	1,701	1,469
0801 Procurement of Ammunition, Army (Reimbursable) .....	855	1,814	1,972

0900 Total new obligations .....	2,448	3,515	3,441
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,209	1,180	1,113
1010 Unobligated balance transfer to other accts [097–9999] .....	–7		
1011 Unobligated balance transfer from other acct [097–9999] .....	28		
1021 Recoveries of prior year unpaid obligations .....	223		
1050 Unobligated balance (total) .....	1,453	1,180	1,113
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,156	1,467	1,513
1120 Appropriations transferred to other acct [097–9999] .....	–6		
1121 Appropriations transferred from other acct [097–9999] .....	6		
1160 Appropriation, discretionary (total) .....	1,156	1,467	1,513
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	836	1,981	1,978
1701 Change in uncollected payments, Federal sources .....	198		
1750 Spending auth from offsetting collections, disc (total) .....	1,034	1,981	1,978
1900 Budget authority (total) .....	2,190	3,448	3,491
1930 Total budgetary resources available .....	3,643	4,628	4,604
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–15		
1941 Unexpired unobligated balance, end of year .....	1,180	1,113	1,163

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,795	4,489	4,295
3010 Obligations incurred, unexpired accounts .....	2,448	3,515	3,441
3011 Obligations incurred, expired accounts .....	44		
3020 Outlays (gross) .....	–2,516	–3,709	–3,773
3040 Recoveries of prior year unpaid obligations, unexpired .....	–223		
3041 Recoveries of prior year unpaid obligations, expired .....	–59		
3050 Unpaid obligations, end of year .....	4,489	4,295	3,963
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2,478	–2,258	–2,258
3070 Change in uncollected pymts, Fed sources, unexpired .....	–198		
3071 Change in uncollected pymts, Fed sources, expired .....	418		
3090 Uncollected pymts, Fed sources, end of year .....	–2,258	–2,258	–2,258
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,317	2,231	2,037
3200 Obligated balance, end of year .....	2,231	2,037	1,705

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	2,190	3,448	3,491
Outlays, gross:			
4010 Outlays from new discretionary authority .....	206	2,069	2,069
4011 Outlays from discretionary balances .....	2,310	1,640	1,704
4020 Outlays, gross (total) .....	2,516	3,709	3,773
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1,225	–1,981	–1,978
4040 Offsets against gross budget authority and outlays (total) ....	–1,225	–1,981	–1,978
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–198		
4052 Offsetting collections credited to expired accounts .....	389		
4060 Additional offsets against budget authority only (total) .....	191		
4070 Budget authority, net (discretionary) .....	1,156	1,467	1,513
4080 Outlays, net (discretionary) .....	1,291	1,728	1,795
4180 Budget authority, net (total) .....	1,156	1,467	1,513
4190 Outlays, net (total) .....	1,291	1,728	1,795

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,156	1,467	1,513
Outlays .....	1,291	1,728	1,795
Overseas contingency operations:			
Budget Authority .....			302
Outlays .....			18
Total:			
Budget Authority .....	1,156	1,467	1,815
Outlays .....	1,291	1,728	1,813

**Object Classification** (in millions of dollars)

Identification code 021–2034–0–1–051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....	21	20	14
23.3 Communications, utilities, and miscellaneous charges .....	4		
25.1 Advisory and assistance services .....	16	14	15
25.2 Other services from non-Federal sources .....	33	32	21
25.3 Other goods and services from Federal sources .....	238	418	446
25.3 Purchases from revolving funds .....	199	46	31
25.4 Operation and maintenance of facilities .....	41	73	49
25.5 Research and development contracts .....	1		
25.7 Operation and maintenance of equipment .....	2		1
26.0 Supplies and materials .....	763	832	717
31.0 Equipment .....	7	15	10
32.0 Land and structures .....	269	250	166
99.0 Direct obligations .....	1,594	1,700	1,470
99.0 Reimbursable obligations .....	854	1,815	1,971
99.9 Total new obligations .....	2,448	3,515	3,441

**OTHER PROCUREMENT, ARMY**

For construction, procurement, production, and modification of vehicles, including tactical, support, and non-tracked combat vehicles; the purchase of passenger motor vehicles for replacement only; communications and electronic equipment; other support equipment; spare parts, ordnance, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$5,718,811,000] \$5,873,949,000**, to remain available for obligation until September 30, **[2018] 2019**, of which **\$1,590,126,000** shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021–2035–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Tactical and support vehicles .....	1,799	1,331	1,148
0002 Communications and electronics equipment .....	4,026	2,736	3,325
0003 Other support equipment .....	1,259	1,141	1,371
0004 Spare and repair parts .....	42	31	33
0799 Total direct obligations .....	7,126	5,239	5,877
0801 Other Procurement, Army (Reimbursable) .....	131	140	221
0900 Total new obligations .....	7,257	5,379	6,098
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,867	1,959	3,515
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1,957	
1010 Unobligated balance transfer to other accts [097–9999] .....	-9		
1011 Unobligated balance transfer from other acct [097–9999] .....	129		
1021 Recoveries of prior year unpaid obligations .....	791		
1050 Unobligated balance (total) .....	3,778	1,959	3,515
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	5,521	6,894	5,874
1120 Appropriations transferred to other acct [097–9999] .....	-41		
1121 Appropriations transferred from other acct [097–9999] .....	115		
1131 Unobligated balance of appropriations permanently reduced .....	-319	-70	
1160 Appropriation, discretionary (total) .....	5,276	6,824	5,874
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011–5512] .....		16	18
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	161	95	221
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	168	95	221
1900 Budget authority (total) .....	5,444	6,935	6,113
1930 Total budgetary resources available .....	9,222	8,894	9,628
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-6		

1941 Unexpired unobligated balance, end of year .....	1,959	3,515	3,530
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,382	8,373	7,265
3010 Obligations incurred, unexpired accounts .....	7,257	5,379	6,098
3011 Obligations incurred, expired accounts .....	280		
3020 Outlays (gross) .....	-7,076	-6,487	-5,809
3040 Recoveries of prior year unpaid obligations, unexpired .....	-791		
3041 Recoveries of prior year unpaid obligations, expired .....	-679		
3050 Unpaid obligations, end of year .....	8,373	7,265	7,554
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-467	-325	-325
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071 Change in uncollected pymts, Fed sources, expired .....	149		
3090 Uncollected pymts, Fed sources, end of year .....	-325	-325	-325
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8,915	8,048	6,940
3200 Obligated balance, end of year .....	8,048	6,940	7,229

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	5,444	6,919	6,095
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,038	859	932
4011 Outlays from discretionary balances .....	6,038	5,628	4,875
4020 Outlays, gross (total) .....	7,076	6,487	5,807
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-179	-95	-221
4040 Offsets against gross budget authority and outlays (total) .....	-179	-95	-221
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052 Offsetting collections credited to expired accounts .....	18		
4060 Additional offsets against budget authority only (total) .....	11		
4070 Budget authority, net (discretionary) .....	5,276	6,824	5,874
4080 Outlays, net (discretionary) .....	6,897	6,392	5,586
<b>Mandatory:</b>			
4090 Budget authority, gross .....		16	18
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....			2
4180 Budget authority, net (total) .....	5,276	6,840	5,892
4190 Outlays, net (total) .....	6,897	6,392	5,588

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	5,276	6,840	5,892
Outlays .....	6,897	6,392	5,588
<b>Overseas contingency operations:</b>			
Budget Authority .....			1,373
Outlays .....			166
<b>Total:</b>			
Budget Authority .....	5,276	6,840	7,265
Outlays .....	6,897	6,392	5,754

**Object Classification** (in millions of dollars)

Identification code 021–2035–0–1–051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	3	3	1
22.0 Transportation of things .....	33	21	24
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
25.1 Advisory and assistance services .....	302	463	497
25.2 Other services from non-Federal sources .....	658	445	497
25.3 Other goods and services from Federal sources .....	541	357	397
25.3 Purchases from revolving funds .....	30	20	22
25.4 Operation and maintenance of facilities .....	19	12	14
25.7 Operation and maintenance of equipment .....	59	39	43
26.0 Supplies and materials .....	36	22	24
31.0 Equipment .....	5,443	3,854	4,356
32.0 Land and structures .....	1	1	1
99.0 Direct obligations .....	7,127	5,239	5,877
99.0 Reimbursable obligations .....	130	140	221

OTHER PROCUREMENT, ARMY—Continued  
Object Classification—Continued

Identification code 021-2035-0-1-051	2015 actual	2016 est.	2017 est.
99.9 Total new obligations .....	7,257	5,379	6,098

JOINT IMPROVISED-THREAT DEFEAT FUND

Program and Financing (in millions of dollars)

Identification code 021-2093-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Network attack .....	413	139	74
0002 JIEDDO device defeat .....	113	100	40
0003 Force training .....	48	12	4
0004 Staff and infrastructure .....	179	33	16
0799 Total direct obligations .....	753	284	134
0900 Total new obligations .....	753	284	134

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	357	99	164
1021 Recoveries of prior year unpaid obligations .....	52		
1050 Unobligated balance (total) .....	409	99	164
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	444	349	
1900 Budget authority (total) .....	444	349	
1930 Total budgetary resources available .....	853	448	164
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	99	164	30

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,162	896	469
3010 Obligations incurred, unexpired accounts .....	753	284	134
3011 Obligations incurred, expired accounts .....	112		
3020 Outlays (gross) .....	-887	-711	-407
3040 Recoveries of prior year unpaid obligations, unexpired .....	-52		
3041 Recoveries of prior year unpaid obligations, expired .....	-192		
3050 Unpaid obligations, end of year .....	896	469	196
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,162	896	469
3200 Obligated balance, end of year .....	896	469	196

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	444	349	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	131	59	
4011 Outlays from discretionary balances .....	756	652	407
4020 Outlays, gross (total) .....	887	711	407
4180 Budget authority, net (total) .....	444	349	
4190 Outlays, net (total) .....	887	711	407

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	444	349	
Outlays .....	887	711	407
<b>Overseas contingency operations:</b>			
Budget Authority .....			408
Outlays .....			69
<b>Total:</b>			
Budget Authority .....	444	349	408
Outlays .....	887	711	476

Funding provides for the Defense Threat Reduction Agency Joint Improvised-Threat Defeat Organization enduring mission capabilities to include staff and infrastructure and advanced technology development to address unanticipated and rapidly evolving threats.

Object Classification (in millions of dollars)

Identification code 021-2093-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	26	30	
11.5 Other personnel compensation .....	2	1	
11.9 Total personnel compensation .....	28	31	
12.1 Civilian personnel benefits .....	8	8	
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	7		
23.2 Rental payments to others .....			11
23.3 Communications, utilities, and miscellaneous charges .....	1		
24.0 Printing and reproduction .....		2	
25.1 Advisory and assistance services .....	169	20	16
25.2 Other services from non-Federal sources .....	244	1	1
25.3 Other goods and services from Federal sources .....	3		1
25.5 Research and development contracts .....	231	143	72
25.7 Operation and maintenance of equipment .....	4		
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	54	75	29
99.0 Direct obligations .....	753	284	134
99.9 Total new obligations .....	753	284	134

Employment Summary

Identification code 021-2093-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	223	258	

AIRCRAFT PROCUREMENT, NAVY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$17,521,209,000] \$14,109,148,000**, to remain available for obligation until September 30, **[2018] 2019**, of which **\$190,231,000** shall be available for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 017-1506-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Combat aircraft .....	10,329	12,608	10,140
0002 Airlift aircraft .....	1		
0003 Trainer aircraft .....	12	12	6
0004 Other aircraft .....	411	874	750
0005 Modification of aircraft .....	2,196	2,610	2,594
0006 Aircraft spares and repair parts .....	1,304	1,059	1,284
0007 Aircraft support equipment and facilities .....	453	465	487
0799 Total direct obligations .....	14,706	17,628	15,261
0801 Aircraft Procurement, Navy (Reimbursable) .....		6	6
0900 Total new obligations .....	14,706	17,634	15,267

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6,522	7,108	7,345
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		7,108	
1010 Unobligated balance transfer to other accts [097-9999] .....	-26		
1021 Recoveries of prior year unpaid obligations .....	624		
1050 Unobligated balance (total) .....	7,120	7,108	7,345
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	15,001	17,732	14,109
1120 Appropriations transferred to other acct [097-9999] .....	-7		
1121 Appropriations transferred from other acct [097-9999] .....	4		
1131 Unobligated balance of appropriations permanently reduced .....	-244	-65	
1160 Appropriation, discretionary (total) .....	14,754	17,667	14,109

1700	Spending authority from offsetting collections, discretionary:			
	Collected .....	6		6
1800	Spending authority from offsetting collections, mandatory:			
	Collected .....	198		
1900	Budget authority (total) .....	14,754	17,871	14,115
1930	Total budgetary resources available .....	21,874	24,979	21,460
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-60		
1941	Unexpired unobligated balance, end of year .....	7,108	7,345	6,193

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	21,523	19,757	21,774
3010	Obligations incurred, unexpired accounts .....	14,706	17,634	15,267
3011	Obligations incurred, expired accounts .....	1,275		
3020	Outlays (gross) .....	-15,663	-15,617	-15,970
3040	Recoveries of prior year unpaid obligations, unexpired .....	-624		
3041	Recoveries of prior year unpaid obligations, expired .....	-1,460		
3050	Unpaid obligations, end of year .....	19,757	21,774	21,071

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	21,523	19,757	21,774
3200	Obligated balance, end of year .....	19,757	21,774	21,071

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	14,754	17,673	14,115
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	2,974	2,513	2,475
4011	Outlays from discretionary balances .....	12,689	12,906	13,495
4020	Outlays, gross (total) .....	15,663	15,419	15,970
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....		-6	-6
4040	Offsets against gross budget authority and outlays (total) .....		-6	-6

Mandatory:

4090	Budget authority, gross .....		198	
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		198	
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources .....		-198	
4180	Budget authority, net (total) .....	14,754	17,667	14,109
4190	Outlays, net (total) .....	15,663	15,413	15,964

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	14,754	17,667	14,109
Outlays .....	15,663	15,413	15,964
Overseas contingency operations:			
Budget Authority .....			393
Outlays .....			69
Total:			
Budget Authority .....	14,754	17,667	14,502
Outlays .....	15,663	15,413	16,033

**Object Classification (in millions of dollars)**

Identification code 017-1506-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
22.0 Transportation of things .....	7	58	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	199	139	134
25.2 Other services from non-Federal sources .....	218	21	11
25.3 Other goods and services from Federal sources .....	575	103	70
25.3 Purchases from other Govt acct - revolving funds .....	701	973	1,116
25.5 Research and development contracts .....	6		
26.0 Supplies and materials .....	1,343	75	8
31.0 Equipment .....	11,656	16,258	13,917
99.0 Direct obligations .....	14,706	17,628	15,261
99.0 Reimbursable obligations .....		6	6
99.9 Total new obligations .....	14,706	17,634	15,267

parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$3,049,542,000] \$3,209,262,000**, to remain available for obligation until September 30, **[2018] 2019**. (*Department of Defense Appropriations Act, 2016*.)

**Program and Financing (in millions of dollars)**

Identification code 017-1507-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ballistic missiles .....	1,120	982	1,076
0002 Other missiles .....	1,647	1,262	1,524
0003 Torpedoes and related equipment .....	184	281	267
0004 Other weapons .....	192	183	219
0006 Spares and repair parts .....	75	124	67
0799 Total direct obligations .....	3,218	2,832	3,153
0801 Weapons Procurement, Navy (Reimbursable) .....	9	7	4
0900 Total new obligations .....	3,227	2,839	3,157

**Budgetary resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	639	679	881
1001	Discretionary unobligated balance brought fwd, Oct 1 .....		679	
1010	Unobligated balance transfer to other accts [097-9999] .....	-7		
1011	Unobligated balance transfer from other acct [097-9999] .....	39		
1021	Recoveries of prior year unpaid obligations .....	105		
1050	Unobligated balance (total) .....	776	679	881

Budget authority:

Appropriations, discretionary:

1100	Appropriation .....	3,204	3,049	3,209
1120	Appropriations transferred to other acct [097-9999] .....	-15		
1121	Appropriations transferred from other acct [097-9999] .....	12		
1131	Unobligated balance of appropriations permanently reduced .....	-63	-16	
1160	Appropriation, discretionary (total) .....	3,138	3,033	3,209

Appropriations, mandatory:

1221	Appropriations transferred from other acct [011-5512] .....		4	3
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Spending authority from offsetting collections, discretionary:

1700	Collected .....	10	4	4
1701	Change in uncollected payments, Federal sources .....	4		
1750	Spending auth from offsetting collections, disc (total) .....	14	4	4
1900	Budget authority (total) .....	3,152	3,041	3,216
1930	Total budgetary resources available .....	3,928	3,720	4,097

Memorandum (non-add) entries:

1940	Unobligated balance expiring .....	-22		
1941	Unexpired unobligated balance, end of year .....	679	881	940

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	5,035	4,980	4,836
3010	Obligations incurred, unexpired accounts .....	3,227	2,839	3,157
3011	Obligations incurred, expired accounts .....	92		
3020	Outlays (gross) .....	-3,155	-2,983	-3,027
3040	Recoveries of prior year unpaid obligations, unexpired .....	-105		
3041	Recoveries of prior year unpaid obligations, expired .....	-114		
3050	Unpaid obligations, end of year .....	4,980	4,836	4,966

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	5,035	4,977	4,833
3200	Obligated balance, end of year .....	4,977	4,833	4,963

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	3,152	3,037	3,213
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	506	537	582
4011	Outlays from discretionary balances .....	2,649	2,445	2,443
4020	Outlays, gross (total) .....	3,155	2,982	3,025
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-3	-4	-4
4033	Non-Federal sources .....	-7		

**WEAPONS PROCUREMENT, NAVY**

For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare

WEAPONS PROCUREMENT, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1507-0-1-051	2015 actual	2016 est.	2017 est.
4040 Offsets against gross budget authority and outlays (total) ....	-10	-4	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4060 Additional offsets against budget authority only (total) .....	-4		
4070 Budget authority, net (discretionary) .....	3,138	3,033	3,209
4080 Outlays, net (discretionary) .....	3,145	2,978	3,021
Mandatory:			
4090 Budget authority, gross .....		4	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....			1
4110 Outlays, gross (total) .....		1	2
4180 Budget authority, net (total) .....	3,138	3,037	3,212
4190 Outlays, net (total) .....	3,145	2,979	3,023

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,138	3,037	3,212
Outlays .....	3,145	2,979	3,023
Overseas contingency operations:			
Budget Authority .....			9
Outlays .....			2
Total:			
Budget Authority .....	3,138	3,037	3,221
Outlays .....	3,145	2,979	3,025

Object Classification (in millions of dollars)

Identification code 017-1507-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
22.0 Transportation of things .....	5	3	3
25.1 Advisory and assistance services .....	33	18	34
25.2 Other services from non-Federal sources .....	130	7	6
25.3 Other goods and services from Federal sources .....	8	45	49
25.3 Purchases from revolving funds .....	388	201	231
25.5 Research and development contracts .....	4	2	2
25.7 Operation and maintenance of equipment .....	14	3	
26.0 Supplies and materials .....	637	616	632
31.0 Equipment .....	1,999	1,937	2,196
99.0 Direct obligations .....	3,218	2,832	3,153
99.0 Reimbursable obligations .....	9	7	4
99.9 Total new obligations .....	3,227	2,839	3,157

PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$651,920,000]** **\$664,368,000**, to remain available for obligation until September 30, **[2018]** **2019**, of which **\$571,000** shall be available for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 017-1508-0-1-051	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Procurement of Ammunition, Navy .....	569	573	517
0002 Ammunition, Marine Corps .....	244	192	191
0799 Total direct obligations .....	813	765	708

0801 Procurement of Ammunition, Navy and Marine Corps (Reimbursable) .....	18	5	5
0900 Total new obligations .....	831	770	713
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	207	251	247
1011 Unobligated balance transfer from other acct [097-9999] .....	25		
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	244	251	247
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	829	770	664
1131 Unobligated balance of appropriations permanently reduced .....		-9	
1160 Appropriation, discretionary (total) .....	829	761	664
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	5	5
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	10	5	5
1900 Budget authority (total) .....	839	766	669
1930 Total budgetary resources available .....	1,083	1,017	916
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	251	247	203

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,724	1,643	1,409
3010 Obligations incurred, unexpired accounts .....	831	770	713
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-895	-1,004	-704
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	1,643	1,409	1,418
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,717	1,639	1,405
3200 Obligated balance, end of year .....	1,639	1,405	1,414

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	839	766	669
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	60	60
4011 Outlays from discretionary balances .....	801	944	644
4020 Outlays, gross (total) .....	895	1,004	704
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-5	-5
4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) ....	-16	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	829	761	664
4080 Outlays, net (discretionary) .....	879	999	699
4180 Budget authority, net (total) .....	829	761	664
4190 Outlays, net (total) .....	879	999	699

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	829	761	664
Outlays .....	879	999	699
Overseas contingency operations:			
Budget Authority .....			66
Outlays .....			5
Total:			
Budget Authority .....	829	761	730
Outlays .....	879	999	704

**Object Classification** (in millions of dollars)

Identification code 017-1508-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....	7	1	1
25.1 Advisory and assistance services .....	4	3	3
25.2 Other services from non-Federal sources .....	3		
25.3 Other goods and services from Federal sources .....	11	12	6
25.3 Other goods and services from Federal sources .....	152	62	74
26.0 Supplies and materials .....	545	528	426
31.0 Equipment .....	91	159	198
99.0 Direct obligations .....	813	765	708
99.0 Reimbursable obligations .....	18	5	5
99.9 Total new obligations .....	831	770	713

**SHIPBUILDING AND CONVERSION, NAVY**

For expenses necessary for the construction, acquisition, or conversion of vessels as authorized by law, including armor and armament thereof, plant equipment, appliances, and machine tools and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; procurement of critical, long lead time components and designs for vessels to be constructed or converted in the future; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, [as follows:]

[Carrier Replacement Program, \$1,569,571,000;]

[Carrier Replacement Program (AP), \$862,358,000;]

[Virginia Class Submarine, \$3,346,370,000;]

[Virginia Class Submarine (AP), \$1,971,840,000;]

[CVN Refueling Overhauls, \$637,588,000;]

[CVN Refueling Overhauls (AP), \$14,951,000;]

[DDG-1000 Program, \$433,404,000;]

[DDG-51 Destroyer, \$4,132,650,000;]

[Littoral Combat Ship, \$1,331,591,000;]

[LPD-17, \$550,000,000;]

[Afloat Forward Staging Base, \$635,000,000;]

[LHA Replacement (AP), \$476,543,000;]

[LX(R) (AP), \$250,000,000;]

[Joint High Speed Vessel, \$225,000,000;]

[TAO Fleet Oiler, \$674,190,000;]

[T-ATS(X) Fleet Tug, \$75,000,000;]

[LCU Replacement, \$34,000,000;]

[Moored Training Ship (AP), \$138,200,000;]

[Ship to Shore Connector, \$210,630,000;]

[Service Craft, \$30,014,000;]

[LCAC Service Life Extension Program, \$80,738,000;]

[YP Craft Maintenance/ROH/SLEP, \$21,838,000; and]

[For outfitting, post delivery, conversions, and first destination transportation, \$613,758,000.]

[Completion of Prior Year Shipbuilding Programs, \$389,305,000.]

[In all: \$18,704,539,000] \$18,354,874,000, to remain available for obligation until September 30, [2020] 2021: *Provided further*, That additional obligations may be incurred after September 30, [2020] 2021, for engineering services, tests, evaluations, and other such budgeted work that must be performed in the final stage of ship construction: *Provided further*, That none of the funds provided under this heading for the construction or conversion of any naval vessel to be constructed in shipyards in the United States shall be expended in foreign facilities for the construction of major components of such vessel: *Provided further*, That none of the funds provided under this heading shall be used for the construction of any naval vessel in foreign shipyards. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 017-1611-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Fleet ballistic missile ships .....			503
0002 Other warships .....	13,935	13,695	14,263
0003 Amphibious ships .....	976	3,285	1,829
0005 Auxiliaries, craft, and prior-year program costs .....	1,338	1,452	1,594
0900 Total new obligations .....	16,249	18,432	18,189

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9,846	10,773	11,046
1010 Unobligated balance transfer to other accts [097-9999] .....	-50		
1011 Unobligated balance transfer from other acct [097-9999] .....	87		
1012 Unobligated balance transfers between expired and unexpired accounts .....	565		
1021 Recoveries of prior year unpaid obligations .....	1,571		
1050 Unobligated balance (total) .....	12,019	10,773	11,046
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	15,954	18,705	18,355
1121 Appropriations transferred from other acct [097-9999] .....	9		
1160 Appropriation, discretionary (total) .....	15,963	18,705	18,355
1900 Budget authority (total) .....	15,963	18,705	18,355
1930 Total budgetary resources available .....	27,982	29,478	29,401
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-960		
1941 Unexpired unobligated balance, end of year .....	10,773	11,046	11,212

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	30,382	31,189	34,988
3010 Obligations incurred, unexpired accounts .....	16,249	18,432	18,189
3011 Obligations incurred, expired accounts .....	95		
3020 Outlays (gross) .....	-13,715	-14,633	-14,843
3030 Unpaid obligations transferred to other accts [097-9999] .....	-2,106		
3031 Unpaid obligations transferred from other accts [097-9999] .....	2,106		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,571		
3041 Recoveries of prior year unpaid obligations, expired .....	-251		
3050 Unpaid obligations, end of year .....	31,189	34,988	38,334
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	30,382	31,189	34,988
3200 Obligated balance, end of year .....	31,189	34,988	38,334

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	15,963	18,705	18,355
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,313	1,571	1,542
4011 Outlays from discretionary balances .....	12,402	13,062	13,301
4020 Outlays, gross (total) .....	13,715	14,633	14,843
4180 Budget authority, net (total) .....	15,963	18,705	18,355
4190 Outlays, net (total) .....	13,715	14,633	14,843

**Object Classification** (in millions of dollars)

Identification code 017-1611-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	3		
22.0 Transportation of things .....	7	3	3
23.2 Rental payments to others .....	1		
25.1 Advisory and assistance services .....	292	393	203
25.2 Other services from non-Federal sources .....	2,268	370	274
25.3 Other goods and services from Federal sources .....		140	612
25.3 Purchases from revolving funds .....	840	2,139	1,350
25.5 Research and development contracts .....	1		
25.7 Operation and maintenance of equipment .....	35	40	
25.8 Subsistence and support of persons .....	10	2	2
26.0 Supplies and materials .....	287	123	96
31.0 Equipment .....	12,503	15,222	15,649
32.0 Land and structures .....	2		
99.9 Total new obligations .....	16,249	18,432	18,189

**OTHER PROCUREMENT, NAVY**

For procurement, production, and modernization of support equipment and materials not otherwise provided for, Navy ordnance (except ordnance for new aircraft, new ships, and ships authorized for conversion); the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, [ \$6,484,257,000 ] \$6,338,861,000, to remain available for obligation until September

OTHER PROCUREMENT, NAVY—Continued

30, [2018] 2019, of which \$9,616,000 shall be available for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 017-1810-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ships support equipment .....	2,337	1,763	1,913
0002 Communications and electronics equipment .....	2,434	2,303	2,195
0003 Aviation support equipment .....	454	374	415
0004 Ordnance support equipment .....	719	820	923
0005 Civil engineering support equipment .....	63	50	76
0006 Supply support equipment .....	100	216	289
0007 Personnel and command support equipment .....	496	410	374
0008 Spares and repair parts .....	271	280	222
0799 Total direct obligations .....	6,874	6,216	6,407
0801 Other Procurement, Navy (Reimbursable) .....	236	326	311
0900 Total new obligations .....	7,110	6,542	6,718
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,968	1,817	2,094
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1,817	
1010 Unobligated balance transfer to other accts [097-9999] .....	-12		
1011 Unobligated balance transfer from other acct [097-9999] .....	201		
1021 Recoveries of prior year unpaid obligations .....	373		
1050 Unobligated balance (total) .....	2,530	1,817	2,094
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,970	6,496	6,339
1120 Appropriations transferred to other acct [097-9999] .....	-13		
1121 Appropriations transferred from other acct [097-9999] .....	247		
1131 Unobligated balance of appropriations permanently reduced .....	-2		
1160 Appropriation, discretionary (total) .....	6,202	6,496	6,339
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....		17	21
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	259	306	311
1701 Change in uncollected payments, Federal sources .....	-11		
1750 Spending auth from offsetting collections, disc (total) .....	248	306	311
1900 Budget authority (total) .....	6,450	6,819	6,671
1930 Total budgetary resources available .....	8,980	8,636	8,765
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-53		
1941 Unexpired unobligated balance, end of year .....	1,817	2,094	2,047
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,627	7,382	7,782
3010 Obligations incurred, unexpired accounts .....	7,110	6,542	6,718
3011 Obligations incurred, expired accounts .....	89		
3020 Outlays (gross) .....	-5,928	-6,142	-6,435
3040 Recoveries of prior year unpaid obligations, unexpired .....	-373		
3041 Recoveries of prior year unpaid obligations, expired .....	-143		
3050 Unpaid obligations, end of year .....	7,382	7,782	8,065
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	11		
3071 Change in uncollected pymts, Fed sources, expired .....	-7		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,621	7,380	7,780
3200 Obligated balance, end of year .....	7,380	7,780	8,063
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,450	6,802	6,650
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,303	1,631	1,604
4011 Outlays from discretionary balances .....	4,625	4,508	4,820
4020 Outlays, gross (total) .....	5,928	6,139	6,424
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-62	-306	-311
4033 Non-Federal sources .....	-232		
4040 Offsets against gross budget authority and outlays (total) .....	-294	-306	-311

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	11		
4052 Offsetting collections credited to expired accounts .....	35		
4060 Additional offsets against budget authority only (total) .....	46		
4070 Budget authority, net (discretionary) .....	6,202	6,496	6,339
4080 Outlays, net (discretionary) .....	5,634	5,833	6,113
Mandatory:			
4090 Budget authority, gross .....		17	21
Outlays, gross:			
4100 Outlays from new mandatory authority .....		3	4
4101 Outlays from mandatory balances .....			7
4110 Outlays, gross (total) .....		3	11
4180 Budget authority, net (total) .....	6,202	6,513	6,360
4190 Outlays, net (total) .....	5,634	5,836	6,124

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	6,202	6,513	6,360
Outlays .....	5,634	5,836	6,124
Overseas contingency operations:			
Budget Authority .....			124
Outlays .....			25
Total:			
Budget Authority .....	6,202	6,513	6,484
Outlays .....	5,634	5,836	6,149

Object Classification (in millions of dollars)

Identification code 017-1810-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
22.0 Transportation of things .....	8	5	5
23.3 Communications, utilities, and miscellaneous charges .....	4	1	
25.1 Advisory and assistance services .....	285	145	124
25.2 Other services from non-Federal sources .....	780	374	397
25.3 Other goods and services from Federal sources .....	1,121	325	558
25.3 Purchases from revolving funds .....	653	1,333	1,367
25.5 Research and development contracts .....	8		
25.7 Operation and maintenance of equipment .....	34	9	9
26.0 Supplies and materials .....	565	115	87
31.0 Equipment .....	3,416	3,909	3,860
99.0 Direct obligations .....	6,874	6,216	6,407
99.0 Reimbursable obligations .....	236	326	311
99.9 Total new obligations .....	7,110	6,542	6,718

COASTAL DEFENSE AUGMENTATION

Program and Financing (in millions of dollars)

Identification code 017-0380-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	53	33
3020 Outlays (gross) .....		-20	-16
3050 Unpaid obligations, end of year .....	53	33	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	53	33
3200 Obligated balance, end of year .....	53	33	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		20	16
4180 Budget authority, net (total) .....			

4190	Outlays, net (total) .....	20	16
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**PROCUREMENT, MARINE CORPS**

For expenses necessary for the procurement, manufacture, and modification of missiles, armament, military equipment, spare parts, and accessories therefor; plant equipment, appliances, and machine tools, and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; vehicles for the Marine Corps, including the purchase of passenger motor vehicles for replacement only; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, **[\$1,186,812,000]** \$1,362,769,000, to remain available for obligation until September 30, **[2018]** 2019, of which \$36,622,000 shall be available for the Marine Corps Reserve. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1109-0-1-051	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>				
0002	Weapons and combat vehicles .....	182	88	157
0003	Guided missiles and equipment .....	80	92	84
0004	Communications and electronics equipment .....	1,078	474	662
0005	Support vehicles .....	97	97	166
0006	Engineer and other equipment .....	312	89	124
0007	Spares and repair parts .....	26	8	16
0799	Total direct obligations .....	1,775	848	1,209
0801	Procurement, Marine Corps (Reimbursable) .....	47	48	48
0900	Total new obligations .....	1,775	895	1,257

<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	1,115	221	550
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	221		
1010	Unobligated balance transfer to other accts [097-9999] .....	-37		
1021	Recoveries of prior year unpaid obligations .....	6		
1050	Unobligated balance (total) .....	1,084	221	550
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	1,000	1,243	1,363
1120	Appropriations transferred to other acct [097-9999] .....	-42		
1131	Unobligated balance of appropriations permanently reduced .....	-40	-66	
1160	Appropriation, discretionary (total) .....	918	1,177	1,363
<b>Appropriations, mandatory:</b>				
1221	Appropriations transferred from other acct [011-5512] ....			2
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....		47	48
1900	Budget authority (total) .....	918	1,224	1,413
1930	Total budgetary resources available .....	2,002	1,445	1,963
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring .....	-6		
1941	Unexpired unobligated balance, end of year .....	221	550	706

<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,019	2,161	1,485
3010	Obligations incurred, unexpired accounts .....	1,775	895	1,257
3011	Obligations incurred, expired accounts .....	11		
3020	Outlays (gross) .....	-1,581	-1,571	-1,069
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041	Recoveries of prior year unpaid obligations, expired .....	-57		
3050	Unpaid obligations, end of year .....	2,161	1,485	1,673
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	2,018	2,161	1,485
3200	Obligated balance, end of year .....	2,161	1,485	1,673

<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	918	1,224	1,411
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	131	142	225
4011	Outlays from discretionary balances .....	1,450	1,429	844

4020	Outlays, gross (total) .....	1,581	1,571	1,069
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-47	-48
4040	Offsets against gross budget authority and outlays (total) ....		-47	-48
<b>Mandatory:</b>				
4090	Budget authority, gross .....			2
4180	Budget authority, net (total) .....	918	1,177	1,365
4190	Outlays, net (total) .....	1,581	1,524	1,021

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	918	1,177	1,365
Outlays .....	1,581	1,524	1,021
<b>Overseas contingency operations:</b>			
Budget Authority .....			119
Outlays .....			15
<b>Total:</b>			
Budget Authority .....	918	1,177	1,484
Outlays .....	1,581	1,524	1,036

**Object Classification** (in millions of dollars)

Identification code 017-1109-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0	Travel and transportation of persons .....	1	
22.0	Transportation of things .....	2	
23.3	Communications, utilities, and miscellaneous charges .....	1	
25.1	Advisory and assistance services .....	61	41
25.2	Other services from non-Federal sources .....	84	5
25.3	Other goods and services from Federal sources .....	93	106
25.3	Purchases from revolving funds .....	17	28
25.7	Operation and maintenance of equipment .....	79	7
26.0	Supplies and materials .....	62	3
31.0	Equipment .....	1,375	658
99.0	Direct obligations .....	1,775	848
99.0	Reimbursable obligations .....	47	48
99.9	Total new obligations .....	1,775	895

**AIRCRAFT PROCUREMENT, AIR FORCE**

For construction, procurement, and modification of aircraft and equipment, including armor and armament, specialized ground handling equipment, and training devices, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$15,756,853,000]** \$13,922,917,000, to remain available for obligation until September 30, **[2018]** 2019, of which \$141,239,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 057-3010-0-1-051	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>				
0001	Combat aircraft .....	3,574	5,657	5,369
0002	Airlift aircraft .....	995	3,631	4,400
0004	Other aircraft .....	878	777	613
0005	Modification of inservice aircraft .....	3,003	2,584	2,683
0006	Aircraft spares and repair parts .....	662	460	599
0007	Aircraft support equipment and facilities .....	1,418	1,140	1,376
0799	Total direct obligations .....	10,530	14,249	15,040
0801	Aircraft Procurement, Air Force (Reimbursable) .....	65	514	272
0900	Total new obligations .....	10,595	14,763	15,312

<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	10,203	12,105	13,531
1001	Discretionary unobligated balance brought fwd, Oct 1 .....		12,105	

AIRCRAFT PROCUREMENT, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3010-0-1-051	2015 actual	2016 est.	2017 est.
1010 Unobligated balance transfer to other accts [097-9999] .....	-21		
1010 Unobligated balance transfer to other accts [011-5512] .....	-4		
1011 Unobligated balance transfer from other acct [097-9999] .....	118		
1021 Recoveries of prior year unpaid obligations .....	57		
<b>1050 Unobligated balance (total) .....</b>	<b>10,353</b>	<b>12,105</b>	<b>13,531</b>
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	12,549	15,886	13,923
1120 Appropriations transferred to other acct [097-9999] .....	-147		
1121 Appropriations transferred from other acct [097-9999] .....	91		
1130 Appropriations permanently reduced .....		-4	
1131 Unobligated balance of appropriations permanently reduced .....	-148	-201	
<b>1160 Appropriation, discretionary (total) .....</b>	<b>12,345</b>	<b>15,681</b>	<b>13,923</b>
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....	8		3
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	90	500	272
1701 Change in uncollected payments, Federal sources .....	-8		
<b>1750 Spending auth from offsetting collections, disc (total) .....</b>	<b>82</b>	<b>500</b>	<b>272</b>
<b>1900 Budget authority (total) .....</b>	<b>12,427</b>	<b>16,189</b>	<b>14,198</b>
<b>1930 Total budgetary resources available .....</b>	<b>22,780</b>	<b>28,294</b>	<b>27,729</b>
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-80		
1941 Unexpired unobligated balance, end of year .....	12,105	13,531	12,417
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	16,534	15,489	18,425
3010 Obligations incurred, unexpired accounts .....	10,595	14,763	15,312
3011 Obligations incurred, expired accounts .....	32		
3020 Outlays (gross) .....	-11,269	-11,827	-11,935
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57		
3041 Recoveries of prior year unpaid obligations, expired .....	-346		
<b>3050 Unpaid obligations, end of year .....</b>	<b>15,489</b>	<b>18,425</b>	<b>21,802</b>
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-25	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	8		
3071 Change in uncollected pymts, Fed sources, expired .....	11		
<b>3090 Uncollected pymts, Fed sources, end of year .....</b>	<b>-6</b>	<b>-6</b>	<b>-6</b>
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	16,509	15,483	18,419
3200 Obligated balance, end of year .....	15,483	18,419	21,796
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	12,427	16,181	14,195
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	478	1,299	1,149
4011 Outlays from discretionary balances .....	10,791	10,527	10,784
<b>4020 Outlays, gross (total) .....</b>	<b>11,269</b>	<b>11,826</b>	<b>11,933</b>
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-66	-500	-272
4033 Non-Federal sources .....	-38		
<b>4040 Offsets against gross budget authority and outlays (total) .....</b>	<b>-104</b>	<b>-500</b>	<b>-272</b>
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	8		
4052 Offsetting collections credited to expired accounts .....	14		
<b>4060 Additional offsets against budget authority only (total) .....</b>	<b>22</b>		
<b>4070 Budget authority, net (discretionary) .....</b>	<b>12,345</b>	<b>15,681</b>	<b>13,923</b>
<b>4080 Outlays, net (discretionary) .....</b>	<b>11,165</b>	<b>11,326</b>	<b>11,661</b>
<b>Mandatory:</b>			
4090 Budget authority, gross .....	8		3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1		
4101 Outlays from mandatory balances .....			2
<b>4110 Outlays, gross (total) .....</b>	<b>1</b>	<b></b>	<b>2</b>
<b>4180 Budget authority, net (total) .....</b>	<b>12,345</b>	<b>15,689</b>	<b>13,926</b>
<b>4190 Outlays, net (total) .....</b>	<b>11,165</b>	<b>11,327</b>	<b>11,663</b>

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	12,345	15,689	13,926
Outlays .....	11,165	11,327	11,663
<b>Overseas contingency operations:</b>			
Budget Authority .....			859
Outlays .....			54
<b>Total:</b>			
Budget Authority .....	12,345	15,689	14,785
Outlays .....	11,165	11,327	11,717

Object Classification (in millions of dollars)

Identification code 057-3010-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	60	60	60
31.0 Equipment .....	10,470	14,189	14,980
99.0 Direct obligations .....	10,530	14,249	15,040
99.0 Reimbursable obligations .....	65	514	272
99.9 Total new obligations .....	10,595	14,763	15,312

MISSILE PROCUREMENT, AIR FORCE

For construction, procurement, and modification of missiles, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$2,912,131,000]** \$2,426,621,000, to remain available for obligation until September 30, **[2018]** 2019. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3020-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ballistic missiles .....	8	112	88
0002 Other missiles .....	864	1,881	1,366
0003 Modification of in-service missiles .....	46	82	111
0004 Spares and repair parts .....	59	88	82
0005 Other support .....	2,914	1,829	1,311
0799 Total direct obligations .....	3,891	3,992	2,958
0801 Missile Procurement, Air Force (Reimbursable) .....	19	105	50
0900 Total new obligations .....	3,910	4,097	3,008
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,645	2,431	1,421
1010 Unobligated balance transfer to other accts [097-9999] .....	-90		
1010 Unobligated balance transfer to other accts [011-5512] .....	-12		
1021 Recoveries of prior year unpaid obligations .....	45		
<b>1050 Unobligated balance (total) .....</b>	<b>1,588</b>	<b>2,431</b>	<b>1,421</b>
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4,766	3,201	2,427
1121 Appropriations transferred from other acct [097-9999] .....	190		
1130 Appropriations permanently reduced .....	-4	-2	
1131 Unobligated balance of appropriations permanently reduced .....	-171	-212	
<b>1160 Appropriation, discretionary (total) .....</b>	<b>4,781</b>	<b>2,987</b>	<b>2,427</b>
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	30	100	50
1701 Change in uncollected payments, Federal sources .....	-1		
<b>1750 Spending auth from offsetting collections, disc (total) .....</b>	<b>29</b>	<b>100</b>	<b>50</b>
<b>1900 Budget authority (total) .....</b>	<b>4,810</b>	<b>3,087</b>	<b>2,477</b>
<b>1930 Total budgetary resources available .....</b>	<b>6,398</b>	<b>5,518</b>	<b>3,898</b>
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-57		
1941 Unexpired unobligated balance, end of year .....	2,431	1,421	890

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7,839	6,912	6,161
3010	Obligations incurred, unexpired accounts .....	3,910	4,097	3,008
3011	Obligations incurred, expired accounts .....	74		
3020	Outlays (gross) .....	-4,800	-4,848	-3,422
3040	Recoveries of prior year unpaid obligations, unexpired .....	-45		
3041	Recoveries of prior year unpaid obligations, expired .....	-66		
3050	Unpaid obligations, end of year .....	6,912	6,161	5,747
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7,838	6,912	6,161
3200	Obligated balance, end of year .....	6,912	6,161	5,747

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	4,810	3,087	2,477
Outlays, gross:				
4010	Outlays from new discretionary authority .....	885	528	535
4011	Outlays from discretionary balances .....	3,915	4,320	2,887
4020	Outlays, gross (total) .....	4,800	4,848	3,422
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-28	-100	-50
4033	Non-Federal sources .....	-8		
4040	Offsets against gross budget authority and outlays (total) ....	-36	-100	-50
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4052	Offsetting collections credited to expired accounts .....	6		
4060	Additional offsets against budget authority only (total) .....	7		
4070	Budget authority, net (discretionary) .....	4,781	2,987	2,427
4080	Outlays, net (discretionary) .....	4,764	4,748	3,372
4180	Budget authority, net (total) .....	4,781	2,987	2,427
4190	Outlays, net (total) .....	4,764	4,748	3,372

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,781	2,987	2,427
Outlays .....	4,764	4,748	3,372
Overseas contingency operations:			
Budget Authority .....			340
Outlays .....			68
Total:			
Budget Authority .....	4,781	2,987	2,767
Outlays .....	4,764	4,748	3,440

**Object Classification (in millions of dollars)**

Identification code 057-3020-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	135	32	32
31.0 Equipment .....	3,756	3,960	2,926
99.0 Direct obligations .....	3,891	3,992	2,958
99.0 Reimbursable obligations .....	19	105	50
99.9 Total new obligations .....	3,910	4,097	3,008

**Program and Financing (in millions of dollars)**

Identification code 057-3021-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Space Procurement, Air Force .....		1,880	2,717
0002 Spares .....			15
0799 Total direct obligations .....		1,880	2,732
0801 Reimbursable program activity .....			10
0900 Total new obligations .....		1,880	2,742

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			931
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		2,812	3,056
1130 Appropriations permanently reduced .....		-1	
1160 Appropriation, discretionary (total) .....		2,811	3,056
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			10
1900 Budget authority (total) .....		2,811	3,066
1930 Total budgetary resources available .....		2,811	3,997
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		931	1,255

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1,205
3010 Obligations incurred, unexpired accounts .....		1,880	2,742
3020 Outlays (gross) .....		-675	-1,558
3050 Unpaid obligations, end of year .....		1,205	2,389
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1,205
3200 Obligated balance, end of year .....		1,205	2,389

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....		2,811	3,066
Outlays, gross:			
4010 Outlays from new discretionary authority .....		675	743
4011 Outlays from discretionary balances .....			815
4020 Outlays, gross (total) .....		675	1,558
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-10
4180 Budget authority, net (total) .....		2,811	3,056
4190 Outlays, net (total) .....		675	1,548

**Object Classification (in millions of dollars)**

Identification code 057-3021-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....		103	102
31.0 Equipment .....		1,777	2,630
99.0 Direct obligations .....		1,880	2,732
99.0 Reimbursable obligations .....			10
99.9 Total new obligations .....		1,880	2,742

**PROCUREMENT OF AMMUNITION, AIR FORCE**

For construction, procurement, and modification of spacecraft, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$2,812,159,000]** \$3,055,743,000, to remain available for obligation until September 30, **[2018]** 2021. (Department of Defense Appropriations Act, 2016.)

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,744,993,000]** \$1,677,719,000, to remain available for obligation until September 30, **[2018]** 2019, of which \$26,266,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2016.)

PROCUREMENT OF AMMUNITION, AIR FORCE—Continued  
Program and Financing (in millions of dollars)

Identification code 057-3011-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....	760	1,743	1,641
0002 Weapons .....	27	53	40
0799 Total direct obligations .....	787	1,796	1,681
0801 Procurement of Ammunition, Air Force (Reimbursable) .....	45	57	84
0900 Total new obligations .....	832	1,853	1,765
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	412	537	671
1021 Recoveries of prior year unpaid obligations .....	28		
1050 Unobligated balance (total) .....	440	537	671
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	880	1,974	1,678
1131 Unobligated balance of appropriations permanently reduced .....		-7	
1160 Appropriation, discretionary (total) .....	880	1,967	1,678
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	51	20	84
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	58	20	84
1900 Budget authority (total) .....	938	1,987	1,762
1930 Total budgetary resources available .....	1,378	2,524	2,433
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	537	671	668
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,546	1,602	2,491
3010 Obligations incurred, unexpired accounts .....	832	1,853	1,765
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-734	-964	-1,261
3040 Recoveries of prior year unpaid obligations, unexpired .....	-28		
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	1,602	2,491	2,995
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,540	1,589	2,478
3200 Obligated balance, end of year .....	1,589	2,478	2,982
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	938	1,987	1,762
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	33	101
4011 Outlays from discretionary balances .....	700	931	1,160
4020 Outlays, gross (total) .....	734	964	1,261
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-51	-20	-84
4040 Offsets against gross budget authority and outlays (total) .....	-51	-20	-84
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		
4060 Additional offsets against budget authority only (total) .....	-7		
4070 Budget authority, net (discretionary) .....	880	1,967	1,678
4080 Outlays, net (discretionary) .....	683	944	1,177
4180 Budget authority, net (total) .....	880	1,967	1,678
4190 Outlays, net (total) .....	683	944	1,177

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	880	1,967	1,678
Outlays .....	683	944	1,177
Overseas contingency operations:			
Budget Authority .....			487

Outlays .....			5
Total:			
Budget Authority .....	880	1,967	2,165
Outlays .....	683	944	1,182

Object Classification (in millions of dollars)

Identification code 057-3011-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	2	2
31.0 Equipment .....	785	1,794	1,679
99.0 Direct obligations .....	787	1,796	1,681
99.0 Reimbursable obligations .....	45	57	84
99.9 Total new obligations .....	832	1,853	1,765

OTHER PROCUREMENT, AIR FORCE

For procurement and modification of equipment (including ground guidance and electronic control equipment, and ground electronic and communication equipment), and supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; lease of passenger motor vehicles; and expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon, prior to approval of title; reserve plant and Government and contractor-owned equipment layaway, **[\$18,311,882,000] \$17,438,056,000**, to remain available for obligation until September 30, **[2018] 2019**, of which **\$101,018,000** shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3080-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Vehicular equipment .....	165	247	160
0003 Electronics and telecommunications equipment .....	1,180	1,954	1,370
0004 Other base maintenance and support equipment .....	18,022	17,425	16,523
0005 Spare and repair parts .....	20	67	26
0799 Total direct obligations .....	19,387	19,693	18,079
0801 Other Procurement, Air Force (Reimbursable) .....	288	808	566
0900 Total new obligations .....	19,675	20,501	18,645
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,816	2,967	4,952
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		2,967	
1010 Unobligated balance transfer to other accts [097-9999] .....	-17		
1010 Unobligated balance transfer to other accts [011-5512] .....	-1		
1011 Unobligated balance transfer from other acct [097-9999] .....	134		
1021 Recoveries of prior year unpaid obligations .....	53		
1050 Unobligated balance (total) .....	1,985	2,967	4,952
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20,389	21,789	17,438
1120 Appropriations transferred to other acct [097-9999] .....	-82		
1120 Appropriations transferred to other acct [097-9999] .....	-1		
1121 Appropriations transferred from other acct [097-9999] .....	88		
1130 Appropriations permanently reduced .....	-2	-1	
1131 Unobligated balance of appropriations permanently reduced .....		-107	
1160 Appropriation, discretionary (total) .....	20,392	21,681	17,438
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....		19	6
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	297	786	566
1701 Change in uncollected payments, Federal sources .....	-6		
1750 Spending auth from offsetting collections, disc (total) .....	291	786	566
1900 Budget authority (total) .....	20,683	22,486	18,010
1930 Total budgetary resources available .....	22,668	25,453	22,962
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-26		
1941 Unexpired unobligated balance, end of year .....	2,967	4,952	4,317

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	8,458	8,079	7,955
3010	Obligations incurred, unexpired accounts .....	19,675	20,501	18,645
3011	Obligations incurred, expired accounts .....	136		
3020	Outlays (gross) .....	-19,627	-20,625	-18,835
3040	Recoveries of prior year unpaid obligations, unexpired .....	-53		
3041	Recoveries of prior year unpaid obligations, expired .....	-510		
3050	Unpaid obligations, end of year .....	8,079	7,955	7,765
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-23	-23
3070	Change in uncollected pymts, Fed sources, unexpired .....	6		
3090	Uncollected pymts, Fed sources, end of year .....	-23	-23	-23
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	8,429	8,056	7,932
3200	Obligated balance, end of year .....	8,056	7,932	7,742
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	20,683	22,467	18,004
Outlays, gross:				
4010	Outlays from new discretionary authority .....	12,815	14,166	11,378
4011	Outlays from discretionary balances .....	6,812	6,447	7,448
4020	Outlays, gross (total) .....	19,627	20,613	18,826
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-302	-786	-566
4033	Non-Federal sources .....	-112		
4040	Offsets against gross budget authority and outlays (total) ....	-414	-786	-566
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	6		
4052	Offsetting collections credited to expired accounts .....	117		
4060	Additional offsets against budget authority only (total) .....	123		
4070	Budget authority, net (discretionary) .....	20,392	21,681	17,438
4080	Outlays, net (discretionary) .....	19,213	19,827	18,260
Mandatory:				
4090	Budget authority, gross .....		19	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....		12	4
4101	Outlays from mandatory balances .....			5
4110	Outlays, gross (total) .....		12	9
4180	Budget authority, net (total) .....	20,392	21,700	17,444
4190	Outlays, net (total) .....	19,213	19,839	18,269

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	20,392	21,700	17,444
Outlays .....	19,213	19,839	18,269
Overseas contingency operations:			
Budget Authority .....			3,696
Outlays .....			2,292
<b>Total:</b>			
Budget Authority .....	20,392	21,700	21,140
Outlays .....	19,213	19,839	20,561

**Object Classification** (in millions of dollars)

Identification code 057-3080-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	144	144	143
31.0 Equipment .....	19,243	19,549	17,936
99.0 Direct obligations .....	19,387	19,693	18,079
99.0 Reimbursable obligations .....	288	808	566
99.9 Total new obligations .....	19,675	20,501	18,645

of structures, and acquisition of land for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment lay-away, **[\$5,245,443,000] \$4,524,918,000**, to remain available for obligation until September 30, **[2018] 2019**. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097-0300-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major equipment .....	2,200	2,953	2,739
0002 Special Operations Command .....	1,630	1,682	1,646
0003 Chemical/Biological Defense .....	401	221	289
0799 Total direct obligations .....	4,231	4,856	4,674
0801 Procurement, Defense-wide (Reimbursable) .....	236	447	598
0900 Total new obligations .....	4,467	5,303	5,272
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,000	1,672	2,230
1010 Unobligated balance transfer to other accts [097-9999] .....	-3		
1011 Unobligated balance transfer from other acct [097-9999] ....	46		
1021 Recoveries of prior year unpaid obligations .....	188		
1050 Unobligated balance (total) .....	1,231	1,672	2,230
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,697	5,419	4,525
1120 Appropriations transferred to other acct [097-9999] .....	-60		
1121 Appropriations transferred from other acct [097-9999] ....	74		
1131 Unobligated balance of appropriations permanently reduced .....	-12		
1160 Appropriation, discretionary (total) .....	4,699	5,419	4,525
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	228	442	599
1701 Change in uncollected payments, Federal sources .....	8		
1750 Spending auth from offsetting collections, disc (total) .....	236	442	599
1900 Budget authority (total) .....	4,935	5,861	5,124
1930 Total budgetary resources available .....	6,166	7,533	7,354
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-27		
1941 Unexpired unobligated balance, end of year .....	1,672	2,230	2,082

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,339	4,725	4,785
3010 Obligations incurred, unexpired accounts .....	4,467	5,303	5,272
3011 Obligations incurred, expired accounts .....	23		
3020 Outlays (gross) .....	-4,839	-5,243	-5,357
3040 Recoveries of prior year unpaid obligations, unexpired .....	-188		
3041 Recoveries of prior year unpaid obligations, expired .....	-77		
3050 Unpaid obligations, end of year .....	4,725	4,785	4,700
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-243	-195	-195
3070 Change in uncollected pymts, Fed sources, unexpired .....	-8		
3071 Change in uncollected pymts, Fed sources, expired .....	56		
3090 Uncollected pymts, Fed sources, end of year .....	-195	-195	-195
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,096	4,530	4,590
3200 Obligated balance, end of year .....	4,530	4,590	4,505

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	4,935	5,861	5,124
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,471	1,851	1,775
4011 Outlays from discretionary balances .....	3,368	3,392	3,582
4020 Outlays, gross (total) .....	4,839	5,243	5,357
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-274	-442	-599
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) ....	-277	-442	-599
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052 Offsetting collections credited to expired accounts .....	49		
4060 Additional offsets against budget authority only (total) .....	41		
4070 Budget authority, net (discretionary) .....	4,699	5,419	4,525

**PROCUREMENT, DEFENSE-WIDE**

For expenses of activities and agencies of the Department of Defense (other than the military departments) necessary for procurement, production, and modification of equipment, supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, equipment, and installation thereof in such plants, erection

PROCUREMENT, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 097-0300-0-1-051	2015 actual	2016 est.	2017 est.
4080 Outlays, net (discretionary) .....	4,562	4,801	4,758
4180 Budget authority, net (total) .....	4,699	5,419	4,525
4190 Outlays, net (total) .....	4,562	4,801	4,758

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,699	5,419	4,525
Outlays .....	4,562	4,801	4,758
Overseas contingency operations:			
Budget Authority .....			238
Outlays .....			62
Total:			
Budget Authority .....	4,699	5,419	4,763
Outlays .....	4,562	4,801	4,820

Object Classification (in millions of dollars)

Identification code 097-0300-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	2		1
22.0 Transportation of things .....		1	2
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....		3	
25.1 Advisory and assistance services .....	67	87	66
25.2 Other services from non-Federal sources .....	5	5	7
25.3 Other goods and services from Federal sources .....	88	203	182
25.5 Research and development contracts .....	23	10	6
25.7 Operation and maintenance of equipment .....	44	4	4
26.0 Supplies and materials .....	15	9	10
31.0 Equipment .....	3,970	4,532	4,394
41.0 Grants, subsidies, and contributions .....	15		
99.0 Direct obligations .....	4,231	4,856	4,674
99.0 Reimbursable obligations .....	236	447	598
99.9 Total new obligations .....	4,467	5,303	5,272

NATIONAL GUARD AND RESERVE EQUIPMENT

Program and Financing (in millions of dollars)

Identification code 097-0350-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve equipment .....	388	492	223
0002 National Guard equipment .....	699	1,046	498
0900 Total new obligations (object class 31.0) .....	1,087	1,538	721

	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,264	1,460	922
1021 Recoveries of prior year unpaid obligations .....	87		
1050 Unobligated balance (total) .....	1,351	1,460	922
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,200	1,000	
1900 Budget authority (total) .....	1,200	1,000	
1930 Total budgetary resources available .....	2,551	2,460	922
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	1,460	922	201

	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,421	1,301	1,710
3010 Obligations incurred, unexpired accounts .....	1,087	1,538	721
3011 Obligations incurred, expired accounts .....	59		
3020 Outlays (gross) .....	-1,108	-1,129	-878
3040 Recoveries of prior year unpaid obligations, unexpired .....	-87		
3041 Recoveries of prior year unpaid obligations, expired .....	-71		
3050 Unpaid obligations, end of year .....	1,301	1,710	1,553

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	1,421	1,301	1,710
3200 Obligated balance, end of year .....	1,301	1,710	1,553

Budget authority and outlays, net:

	2015 actual	2016 est.	2017 est.
Discretionary:			
4000 Budget authority, gross .....	1,200	1,000	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	13	
4011 Outlays from discretionary balances .....	1,097	1,116	878
4020 Outlays, gross (total) .....	1,108	1,129	878
4180 Budget authority, net (total) .....	1,200	1,000	
4190 Outlays, net (total) .....	1,108	1,129	878

DEFENSE PRODUCTION ACT PURCHASES

For activities by the Department of Defense pursuant to sections 108, 301, 302, and 303 of the Defense Production Act of 1950 (50 U.S.C. [App. 2078, 2091, 2092, and 2093] 4518, 4531, 4532, and 4533), **[\$76,680,000]** \$44,065,000, to remain available until expended. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 097-0360-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Defense Production Act Purchases .....	204	77	44

Budgetary resources:

	2015 actual	2016 est.	2017 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	261	155	155
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	262	155	155
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	52	77	44
1121 Appropriations transferred from other acct [089-0321] .....	45		
1160 Appropriation, discretionary (total) .....	97	77	44
1930 Total budgetary resources available .....	359	232	199
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	155	155	155

Change in obligated balance:

	2015 actual	2016 est.	2017 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	193	337	210
3010 Obligations incurred, unexpired accounts .....	204	77	44
3020 Outlays (gross) .....	-59	-204	-197
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	337	210	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	193	337	210
3200 Obligated balance, end of year .....	337	210	57

Budget authority and outlays, net:

	2015 actual	2016 est.	2017 est.
Discretionary:			
4000 Budget authority, gross .....	97	77	44
Outlays, gross:			
4010 Outlays from new discretionary authority .....	59	42	24
4011 Outlays from discretionary balances .....		162	173
4020 Outlays, gross (total) .....	59	204	197
4180 Budget authority, net (total) .....	97	77	44
4190 Outlays, net (total) .....	59	204	197

The Defense Production Act (50 U.S.C. App. 2061, et seq.) authorizes the use of Federal funds to expedite and expand the supply of critical resources and services from the U.S. industrial base to support national defense and homeland security.

Object Classification (in millions of dollars)

Identification code 097-0360-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	6	5	4
25.3 Other goods and services from Federal sources .....	3	3	2
25.5 Research and development contracts .....	64	39	12
26.0 Supplies and materials .....		23	21

31.0	Equipment .....	131	7	5
99.9	Total new obligations .....	204	77	44

**CHEMICAL AGENTS AND MUNITIONS DESTRUCTION, DEFENSE**

For expenses, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with the provisions of section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, **[\$699,821,000] \$551,023,000**, of which **[\$118,198,000] \$147,282,000** shall be for operation and maintenance, of which no less than **[\$50,743,000] \$49,533,000** shall be for the Chemical Stockpile Emergency Preparedness Program, consisting of **[\$21,289,000] \$20,368,000** for activities on military installations and **[\$29,454,000] \$29,165,000**, to remain available until September 30, **[2017] 2018**, to assist State and local governments, and of which not more than **\$30,000,000**, to remain available until September 30, 2018, for the destruction of eight United States-origin chemical munitions in the Republic of Panama, to the extent authorized by law; **[\$2,281,000] \$15,132,000** shall be for procurement, to remain available until September 30, **[2018] 2019**, of which **[\$2,281,000] \$15,132,000** shall be for the Chemical Stockpile Emergency Preparedness Program to assist State and local governments; and **[\$579,342,000] \$388,609,000**, to remain available until September 30, **[2017] 2018**, shall be for research, development, test and evaluation, of which **[\$569,339,000] \$380,892,000** shall only be for the Assembled Chemical Weapons Alternatives program. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097-0390-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	181	117	148
0002 Research, Development, Test, and Evaluation .....	615	579	394
0003 Procurement .....	5	2	15
0799 Total direct obligations .....	801	698	557
0801 Chemical Agents and Munitions Destruction, Defense (Reimbursable) .....		10	10
0900 Total new obligations .....	801	708	567
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	63	48	50
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	76	48	50
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	802	700	551
1120 Appropriations transferred to other acct [097-9999] .....	-15		
1160 Appropriation, discretionary (total) .....	787	700	551
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	10	10
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	2	10	10
1900 Budget authority (total) .....	789	710	561
1930 Total budgetary resources available .....	865	758	611
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-16		
1941 Unexpired unobligated balance, end of year .....	48	50	44
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	767	566	630
3010 Obligations incurred, unexpired accounts .....	801	708	567
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	-989	-644	-544
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	566	630	653
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	759	557	621

3200	Obligated balance, end of year .....	557	621	644
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	789	710	561
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	297	269	214
4011	Outlays from discretionary balances .....	692	375	330
4020	Outlays, gross (total) .....	989	644	544
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-1	-10	-10
4040	Offsets against gross budget authority and outlays (total) ....	-1	-10	-10
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060	Additional offsets against budget authority only (total) .....	-1		
4070	Budget authority, net (discretionary) .....	787	700	551
4080	Outlays, net (discretionary) .....	988	634	534
4180	Budget authority, net (total) .....	787	700	551
4190	Outlays, net (total) .....	988	634	534

The Chemical Agents and Munitions Destruction, Defense account supports the Chemical Demilitarization Program, whose objective is to destroy the U.S. inventory of lethal chemical agents, munitions and related (non-stockpile) material, thus avoiding future risks and costs associated with their continued storage. The program supports the Chemical Weapons Convention initiatives to rid the world of chemical weapons.

**Object Classification** (in millions of dollars)

Identification code 097-0390-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0	Travel and transportation of persons .....	1	1
23.3	Communications, utilities, and miscellaneous charges .....		1
25.1	Advisory and assistance services .....	39	33
25.2	Other services from non-Federal sources .....	37	
25.3	Other goods and services from Federal sources .....	3	54
25.3	Other goods and services from Federal sources .....	88	19
25.4	Operation and maintenance of facilities .....	77	64
25.5	Research and development contracts .....	548	501
25.7	Operation and maintenance of equipment .....		1
26.0	Supplies and materials .....	1	
31.0	Equipment .....	5	22
32.0	Land and structures .....	2	
41.0	Grants, subsidies, and contributions .....		2
99.0	Direct obligations .....	801	698
99.0	Reimbursable obligations .....		10
99.9	Total new obligations .....	801	708

**JOINT URGENT OPERATIONAL NEEDS FUND**

(INCLUDING TRANSFER OF FUNDS)

For the "Joint Urgent Operational Needs Fund", \$99,300,000, to remain available until September 30, 2019: Provided, That such funds shall be available to the Secretary of Defense, with the advice of the Chairman of the Joint Chiefs of Staff, for the purpose of providing for Joint Urgent Operational Needs: Provided further, That the Secretary of Defense may transfer such funds to appropriations for operation and maintenance; procurement; and research, development, test, and evaluation: Provided further, That funds so transferred shall be merged with the account to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such funds may be transferred back to this appropriation: Provided further, That the transfer authority provided herein is in addition to any other transfer authority available to the Department of Defense.

**Program and Financing** (in millions of dollars)

Identification code 097-0303-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Joint Urgent Operational Needs Fund .....		99
0900	Total new obligations (object class 31.0) .....		99

JOINT URGENT OPERATIONAL NEEDS FUND—Continued  
Program and Financing—Continued

Identification code 097-0303-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		99
1930	Total budgetary resources available		99
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		99
3020	Outlays (gross)		-32
3050	Unpaid obligations, end of year		67
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		67
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross		99
Outlays, gross:			
4010	Outlays from new discretionary authority		32
4180	Budget authority, net (total)		99
4190	Outlays, net (total)		32

**RESEARCH, DEVELOPMENT, TEST, AND EVALUATION**

Appropriations in this title support modernization through basic and applied research, fabrication of technology-demonstration devices, and development and testing of prototypes and full-scale preproduction hardware. This work is performed by contractors, government laboratories and facilities, universities, and nonprofit organizations. Research and development programs are funded to cover annual needs. Resources presented under the RDT&E title contribute primarily to achieving the Department's annual goals of transforming the force for new missions and reforming processes and organizations. Also included are funds for the development and maintenance of information technology systems for the Office of Personnel Management's background investigations services function, which will be executed in coordination with the Office of Personnel Management.

Funds for each fiscal year are available for obligation for a two-year period beginning on the first day of that fiscal year.

This year's Budget provides for major technology and development efforts. These include science and technology programs, development of weapons systems and supporting systems, including missile defense, and support of test and evaluation programs and necessary infrastructure. The Department continues to emphasize technology innovation efforts that ensure that the Nation will maintain a technological advantage over potential adversaries.

DOD's request reflects the partnership between NNSA and DOD to modernize the nuclear deterrent. As in last year's Budget, DOD is carrying a separate account for the outyears that contains the amounts for NNSA's Weapons Activities and Naval Reactors. These funds, shown in the table below, underscore the close link between these activities and DOD nuclear requirements and missions. OMB will ensure that these future budget year allocations to NNSA occur in the required amounts.

**DEPARTMENT OF DEFENSE SUPPORT FOR NNSA**

(in millions of dollars)

	Future Funds from DOD for Weapons Activities	Future Funds from DOD for Naval Reactors
2017		
2018	1272	393
2019	1296	402
2020	1324	411
2021	1351	419

Total ..... 5243 1625

**Federal Funds**

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY**

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$7,565,327,000]** \$7,515,399,000, to remain available for obligation until September 30, **[2017]** 2018. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021-2040-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Basic research	449	320	441
0002	Applied Research	942	774	972
0003	Advanced technology development	1,078	832	999
0004	Advanced Component Development and Prototypes	338	338	529
0005	System development and demonstration	1,963	1,597	2,173
0006	Management support	1,151	898	1,100
0007	Operational system development	1,170	947	1,251
0799	Total direct obligations	7,091	5,706	7,465
0801	Research, development, test and evaluation, Army (Reimbursable)	4,192	6,959	6,003
0900	Total new obligations	11,283	12,665	13,468
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,770	2,346	3,029
1001	Discretionary unobligated balance brought fwd, Oct 1	2,770	2,346	
1011	Unobligated balance transfer from other acct [097-9999]	29		
1021	Recoveries of prior year unpaid obligations	439		
1050	Unobligated balance (total)	3,238	2,346	3,029
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	6,678	7,567	7,515
1121	Appropriations transferred from other acct [097-9999]	68		
1130	Appropriations permanently reduced	-2	-3	
1131	Unobligated balance of appropriations permanently reduced	-5	-9	
1160	Appropriation, discretionary (total)	6,739	7,555	7,515
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512]	1	72	45
Spending authority from offsetting collections, discretionary:				
1700	Collected	3,989	5,721	6,003
1701	Change in uncollected payments, Federal sources	-309		
1750	Spending auth from offsetting collections, disc (total)	3,680	5,721	6,003
Spending authority from offsetting collections, mandatory:				
1800	Collected	1		
1900	Budget authority (total)	10,421	13,348	13,563
1930	Total budgetary resources available	13,659	15,694	16,592
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-30		
1941	Unexpired unobligated balance, end of year	2,346	3,029	3,124
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	8,637	7,582	7,039
3010	Obligations incurred, unexpired accounts	11,283	12,665	13,468
3011	Obligations incurred, expired accounts	213		
3020	Outlays (gross)	-11,596	-13,208	-13,827
3040	Recoveries of prior year unpaid obligations, unexpired	-439		
3041	Recoveries of prior year unpaid obligations, expired	-516		
3050	Unpaid obligations, end of year	7,582	7,039	6,680
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3,934	-3,514	-3,514
3070	Change in uncollected pymts, Fed sources, unexpired	309		
3071	Change in uncollected pymts, Fed sources, expired	111		
3090	Uncollected pymts, Fed sources, end of year	-3,514	-3,514	-3,514
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4,703	4,068	3,525
3200	Obligated balance, end of year	4,068	3,525	3,166
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	10,419	13,276	13,518

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	4,513	8,571	8,859
4011	Outlays from discretionary balances .....	7,082	4,608	4,922
4020	Outlays, gross (total) .....	11,595	13,179	13,781
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-4,281	-5,721	-6,003
4033	Non-Federal sources .....	-33		
4040	Offsets against gross budget authority and outlays (total) ....	-4,314	-5,721	-6,003
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	309		
4052	Offsetting collections credited to expired accounts .....	325		
4060	Additional offsets against budget authority only (total) .....	634		
4070	Budget authority, net (discretionary) .....	6,739	7,555	7,515
4080	Outlays, net (discretionary) .....	7,281	7,458	7,778
	Mandatory:			
4090	Budget authority, gross .....	2	72	45
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		28	17
4101	Outlays from mandatory balances .....	1	1	29
4110	Outlays, gross (total) .....	1	29	46
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-1		
4180	Budget authority, net (total) .....	6,740	7,627	7,560
4190	Outlays, net (total) .....	7,281	7,487	7,824

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	6,740	7,627	7,560
Outlays .....	7,281	7,487	7,824
Overseas contingency operations:			
Budget Authority .....			101
Outlays .....			39
Total:			
Budget Authority .....	6,740	7,627	7,661
Outlays .....	7,281	7,487	7,863

**Object Classification** (in millions of dollars)

Identification code 021-2040-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	711	666	719
11.3 Other than full-time permanent .....		6	6
11.5 Other personnel compensation .....	25	9	10
11.9 Total personnel compensation .....	736	681	735
12.1 Civilian personnel benefits .....	220	210	227
13.0 Benefits for former personnel .....	2		
21.0 Travel and transportation of persons .....	51	37	38
22.0 Transportation of things .....	23	26	26
23.1 Rental payments to GSA .....	4	5	5
23.2 Rental payments to others .....	6	7	7
23.3 Communications, utilities, and miscellaneous charges .....	17	19	19
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	373	563	614
25.2 Other services from non-Federal sources .....	255	280	280
25.3 Other goods and services from Federal sources .....	312	343	344
25.3 Other goods and services from Federal sources .....	378		417
25.4 Operation and maintenance of facilities .....	23	26	26
25.5 Research and development contracts .....	3,791	2,519	3,735
25.7 Operation and maintenance of equipment .....	104	114	115
26.0 Supplies and materials .....	112	124	124
31.0 Equipment .....	645	709	710
32.0 Land and structures .....	5	5	6
41.0 Grants, subsidies, and contributions .....	34	37	37
99.0 Direct obligations .....	7,091	5,706	7,466
99.0 Reimbursable obligations .....	4,192	6,959	6,002
99.9 Total new obligations .....	11,283	12,665	13,468

**Employment Summary**

Identification code 021-2040-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	7,005	6,462	6,744

2001 Reimbursable civilian full-time equivalent employment .....	13,351	10,292	9,466
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**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY**

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$18,117,677,000]** \$17,276,301,000, to remain available for obligation until September 30, **[2017]** 2018: *Provided*, That funds appropriated in this paragraph which are available for the V-22 may be used to meet unique operational requirements of the Special Operations Forces. (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1319-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	622	626	556
0002 Applied Research .....	841	957	872
0003 Advanced technology development .....	613	703	733
0004 Advanced Component Development and Prototypes .....	4,274	4,863	4,699
0005 System development and demonstration .....	4,794	6,303	6,050
0006 Management support .....	1,144	1,181	860
0007 Operational system development .....	3,629	3,518	3,593
0799 Total direct obligations .....	15,917	18,151	17,363
0801 Research, development, test and evaluation, Navy (Reimbursable) .....	169	492	451
0900 Total new obligations .....	16,086	18,643	17,814

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,564	1,913	1,741
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,564	1,912	
1010 Unobligated balance transfer to other accts [011-5512] .....	-1		
1011 Unobligated balance transfer from other acct [097-9999] .....	76		
1021 Recoveries of prior year unpaid obligations .....	382		
1050 Unobligated balance (total) .....	2,021	1,913	1,741
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15,994	18,153	17,276
1120 Appropriations transferred to other acct [097-9999] .....	-5		
1121 Appropriations transferred from other acct [097-9999] .....	21		
1130 Appropriations permanently reduced .....	-4	-6	
1131 Unobligated balance of appropriations permanently reduced .....	-142	-228	
1160 Appropriation, discretionary (total) .....	15,864	17,919	17,276
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	6	109	181
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	148	443	451
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	151	443	451
1900 Budget authority (total) .....	16,021	18,471	17,908
1930 Total budgetary resources available .....	18,042	20,384	19,649
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-43		
1941 Unexpired unobligated balance, end of year .....	1,913	1,741	1,835

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,876	9,068	10,706
3010 Obligations incurred, unexpired accounts .....	16,086	18,643	17,814
3011 Obligations incurred, expired accounts .....	28		
3020 Outlays (gross) .....	-16,342	-17,005	-17,635
3040 Recoveries of prior year unpaid obligations, unexpired .....	-382		
3041 Recoveries of prior year unpaid obligations, expired .....	-198		
3050 Unpaid obligations, end of year .....	9,068	10,706	10,885
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-164	-146	-146
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	21		
3090 Uncollected pymts, Fed sources, end of year .....	-146	-146	-146
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,712	8,922	10,560
3200 Obligated balance, end of year .....	8,922	10,560	10,739

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1319-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	16,015	18,362	17,727
Outlays, gross:				
4010	Outlays from new discretionary authority	8,112	9,325	9,089
4011	Outlays from discretionary balances	8,230	7,625	8,413
4020	Outlays, gross (total)	16,342	16,950	17,502
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-140	-443	-451
4033	Non-Federal sources	-42		
4040	Offsets against gross budget authority and outlays (total)	-182	-443	-451
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-3		
4052	Offsetting collections credited to expired accounts	34		
4060	Additional offsets against budget authority only (total)	31		
4070	Budget authority, net (discretionary)	15,864	17,919	17,276
4080	Outlays, net (discretionary)	16,160	16,507	17,051
Mandatory:				
4090	Budget authority, gross	6	109	181
Outlays, gross:				
4100	Outlays from new mandatory authority		55	91
4101	Outlays from mandatory balances			42
4110	Outlays, gross (total)		55	133
4180	Budget authority, net (total)	15,870	18,028	17,457
4190	Outlays, net (total)	16,160	16,562	17,184

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.	
Enacted/requested:				
	Budget Authority	15,870	18,028	17,457
	Outlays	16,160	16,562	17,184
Overseas contingency operations:				
	Budget Authority			78
	Outlays			39
Total:				
	Budget Authority	15,870	18,028	17,535
	Outlays	16,160	16,562	17,223

Object Classification (in millions of dollars)

Identification code 017-1319-0-1-051	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	69	73	73
11.3	Other than full-time permanent	4		
11.5	Other personnel compensation	1	1	1
11.8	Special personal services payments	15	18	18
11.9	Total personnel compensation	89	92	92
12.1	Civilian personnel benefits	24	21	22
21.0	Travel and transportation of persons	38	36	29
22.0	Transportation of things	4	2	2
23.2	Rental payments to others	20	30	26
23.3	Communications, utilities, and miscellaneous charges	13	15	34
25.1	Advisory and assistance services	807	802	784
25.2	Other services from non-Federal sources	1,980	650	563
25.3	Other goods and services from Federal sources	750	1,054	1,145
25.3	Purchases from revolving funds	3,527	3,832	4,163
25.4	Operation and maintenance of facilities	12	94	102
25.5	Research and development contracts	6,931	9,169	8,068
25.7	Operation and maintenance of equipment	207	626	711
26.0	Supplies and materials	50	88	85
31.0	Equipment	986	1,240	1,117
41.0	Grants, subsidies, and contributions	479	400	420
99.0	Direct obligations	15,917	18,151	17,363
99.0	Reimbursable obligations	169	492	451
99.9	Total new obligations	16,086	18,643	17,814

Employment Summary

Identification code 017-1319-0-1-051	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	565	581	584
2001	Reimbursable civilian full-time equivalent employment	293	444	440

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$25,217,148,000]** \$28,112,251,000, to remain available for obligation until September 30, **[2017]** 2018. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3600-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Basic research	501	514	507
0002	Applied Research	1,108	1,140	1,262
0003	Advanced technology development	635	659	726
0004	Advanced component development and prototypes	886	2,047	2,655
0005	System development and demonstration	3,041	4,129	4,066
0006	Management support	1,501	1,241	1,241
0007	Operational system development	14,782	15,548	17,308
0799	Total direct obligations	22,454	25,278	27,765
0801	Research, development, test and evaluation, Air Force (Reimbursable)	2,965	4,290	3,467
0900	Total new obligations	25,419	29,568	31,232

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,361	4,513	3,326
1001	Discretionary unobligated balance brought fwd, Oct 1	3,361	4,511	
1010	Unobligated balance transfer to other accts [097-9999]	-112		
1011	Unobligated balance transfer from other acct [097-9999]	23		
1021	Recoveries of prior year unpaid obligations	197		
1050	Unobligated balance (total)	3,469	4,513	3,326
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	23,659	25,234	28,112
1120	Appropriations transferred to other acct [097-9999]	-107		
1120	Appropriations transferred to other accts [467-0401]	-2		
1121	Appropriations transferred from other acct [097-9999]	81		
1121	Appropriations transferred from other acct [467-0401]	4		
1130	Appropriations permanently reduced	-14	-23	
1131	Unobligated balance of appropriations permanently reduced	-37	-718	
1160	Appropriation, discretionary (total)	23,584	24,493	28,112
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512]	4	41	99
Spending authority from offsetting collections, discretionary:				
1700	Collected	3,052	3,847	3,467
1701	Change in uncollected payments, Federal sources	-41		
1750	Spending auth from offsetting collections, disc (total)	3,011	3,847	3,467
1900	Budget authority (total)	26,599	28,381	31,678
1930	Total budgetary resources available	30,068	32,894	35,004
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-136		
1941	Unexpired unobligated balance, end of year	4,513	3,326	3,772

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	13,310	12,470	15,403
3010	Obligations incurred, unexpired accounts	25,419	29,568	31,232
3011	Obligations incurred, expired accounts	128		
3020	Outlays (gross)	-25,888	-26,635	-31,440
3040	Recoveries of prior year unpaid obligations, unexpired	-197		
3041	Recoveries of prior year unpaid obligations, expired	-302		
3050	Unpaid obligations, end of year	12,470	15,403	15,195
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1,001	-911	-911
3070	Change in uncollected pymts, Fed sources, unexpired	41		
3071	Change in uncollected pymts, Fed sources, expired	49		
3090	Uncollected pymts, Fed sources, end of year	-911	-911	-911

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12,309	11,559	14,492
3200	Obligated balance, end of year .....	11,559	14,492	14,284
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	26,595	28,340	31,579
Outlays, gross:				
4010	Outlays from new discretionary authority .....	14,725	16,718	18,591
4011	Outlays from discretionary balances .....	11,163	9,890	12,781
4020	Outlays, gross (total) .....	25,888	26,608	31,372
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3,021	-3,847	-3,467
4033	Non-Federal sources .....	-111		
4040	Offsets against gross budget authority and outlays (total) ....	-3,132	-3,847	-3,467
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	41		
4052	Offsetting collections credited to expired accounts .....	80		
4060	Additional offsets against budget authority only (total) .....	121		
4070	Budget authority, net (discretionary) .....	23,584	24,493	28,112
4080	Outlays, net (discretionary) .....	22,756	22,761	27,905
Mandatory:				
4090	Budget authority, gross .....	4	41	99
Outlays, gross:				
4100	Outlays from new mandatory authority .....		23	54
4101	Outlays from mandatory balances .....		4	14
4110	Outlays, gross (total) .....		27	68
4180	Budget authority, net (total) .....	23,588	24,534	28,211
4190	Outlays, net (total) .....	22,756	22,788	27,973

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	23,588	24,534	28,211
Outlays .....	22,756	22,788	27,973
Overseas contingency operations:			
Budget Authority .....			33
Outlays .....			18
Total:			
Budget Authority .....	23,588	24,534	28,244
Outlays .....	22,756	22,788	27,991

**Object Classification** (in millions of dollars)

Identification code 057-3600-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	705	705	736
11.3 Other than full-time permanent .....	29	29	31
11.5 Other personnel compensation .....	24	24	25
11.9 Total personnel compensation .....	758	758	792
12.1 Civilian personnel benefits .....	211	213	226
25.1 Advisory and assistance services .....	1,050	1,050	1,040
25.5 Research and development contracts .....	20,435	23,257	25,707
99.0 Direct obligations .....	22,454	25,278	27,765
99.0 Reimbursable obligations .....	2,965	4,290	3,467
99.9 Total new obligations .....	25,419	29,568	31,232

**Employment Summary**

Identification code 057-3600-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	8,374	8,441	8,556
2001 Reimbursable civilian full-time equivalent employment .....	1,683	1,710	1,721

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE**

(INCLUDING TRANSFER OF FUNDS)

For expenses of activities and agencies of the Department of Defense (other than the military departments), necessary for basic and applied scientific research, development, test and evaluation; advanced research projects as may be designated and determined by the Secretary of Defense, pursuant to law; maintenance, rehabilitation,

lease, and operation of facilities and equipment, **[\$18,695,955,000] \$18,308,826,000**, to remain available for obligation until September 30, **[2017: Provided]**. That, of the funds made available in this paragraph, \$250,000,000 for the Defense Rapid Innovation Program shall only be available for expenses, not otherwise provided for, to include program management and oversight, to conduct research, development, test and evaluation to include proof of concept demonstration; engineering, testing, and validation; and transition to full-scale production: *Provided further*, That the Secretary of Defense may transfer funds provided herein for the Defense Rapid Innovation Program to appropriations for research, development, test and evaluation to accomplish the purpose provided herein: *Provided further*, That this transfer authority is in addition to any other transfer authority available to the Department of Defense: *Provided further*, That the Secretary of Defense shall, not fewer than 30 days prior to making transfers from this appropriation, notify the congressional defense committees in writing of the details of any such transfer **2018. (Department of Defense Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 097-0400-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	563	697	631
0002 Applied Research .....	1,670	1,668	1,773
0003 Advanced technology development .....	2,865	3,144	3,191
0004 Advanced Component Development and Prototypes .....	6,163	6,868	6,963
0005 System development and demonstration .....	743	524	613
0006 Management support .....	1,280	1,223	921
0007 Operational system development .....	4,394	4,041	4,298
0799 Total direct obligations .....	17,678	18,165	18,390
0801 Research, development, test and evaluation, Defense-wide (Reimbursable) .....	1,216	2,058	2,067
0900 Total new obligations .....	18,894	20,223	20,457
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,531	2,576	3,299
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,531	2,576	
1010 Unobligated balance transfer to other accts [097-9999] .....	-260		
1011 Unobligated balance transfer from other acct [097-9999] ....	255		
1021 Recoveries of prior year unpaid obligations .....	287		
1050 Unobligated balance (total) .....	2,813	2,576	3,299
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17,496	18,873	18,309
1120 Appropriations transferred to other acct [097-9999] .....	-102		
1121 Appropriations transferred from other acct [097-9999] ....	63		
1130 Appropriations permanently reduced .....	-9	-14	
1131 Unobligated balance of appropriations permanently reduced .....		-3	
1160 Appropriation, discretionary (total) .....	17,448	18,856	18,309
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] ....	17	43	38
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,378	2,047	2,067
1701 Change in uncollected payments, Federal sources .....	-112		
1750 Spending auth from offsetting collections, disc (total) .....	1,266	2,047	2,067
1900 Budget authority (total) .....	18,731	20,946	20,414
1930 Total budgetary resources available .....	21,544	23,522	23,713
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-74		
1941 Unexpired unobligated balance, end of year .....	2,576	3,299	3,256
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,439	11,563	11,580
3010 Obligations incurred, unexpired accounts .....	18,894	20,223	20,457
3011 Obligations incurred, expired accounts .....	98		
3020 Outlays (gross) .....	-19,243	-20,206	-20,198
3040 Recoveries of prior year unpaid obligations, unexpired .....	-287		
3041 Recoveries of prior year unpaid obligations, expired .....	-338		
3050 Unpaid obligations, end of year .....	11,563	11,580	11,839
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,354	-1,046	-1,046
3070 Change in uncollected pymts, Fed sources, unexpired .....	112		
3071 Change in uncollected pymts, Fed sources, expired .....	196		
3090 Uncollected pymts, Fed sources, end of year .....	-1,046	-1,046	-1,046
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11,085	10,517	10,534

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 097-0400-0-1-051	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	10,517	10,534	10,793
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	18,714	20,903	20,376
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8,342	10,325	10,105
4011 Outlays from discretionary balances .....	10,901	9,852	10,058
4020 Outlays, gross (total) .....	19,243	20,177	20,163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,527	-2,047	-2,067
4033 Non-Federal sources .....	-28		
4040 Offsets against gross budget authority and outlays (total) ....	-1,555	-2,047	-2,067
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	112		
4052 Offsetting collections credited to expired accounts .....	177		
4060 Additional offsets against budget authority only (total) .....	289		
4070 Budget authority, net (discretionary) .....	17,448	18,856	18,309
4080 Outlays, net (discretionary) .....	17,688	18,130	18,096
Mandatory:			
4090 Budget authority, gross .....	17	43	38
Outlays, gross:			
4100 Outlays from new mandatory authority .....		18	16
4101 Outlays from mandatory balances .....		11	19
4110 Outlays, gross (total) .....		29	35
4180 Budget authority, net (total) .....	17,465	18,899	18,347
4190 Outlays, net (total) .....	17,688	18,159	18,131

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	17,465	18,899	18,347
Outlays .....	17,688	18,159	18,131
Overseas contingency operations:			
Budget Authority .....			162
Outlays .....			71
Total:			
Budget Authority .....	17,465	18,899	18,509
Outlays .....	17,688	18,159	18,202

Object Classification (in millions of dollars)

Identification code 097-0400-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	376	407	394
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	21	26	25
11.9 Total personnel compensation .....	398	434	420
12.1 Civilian personnel benefits .....	120	127	121
21.0 Travel and transportation of persons .....	53	41	43
22.0 Transportation of things .....	51	13	13
23.1 Rental payments to GSA .....	23	25	24
23.2 Rental payments to others .....	16	18	24
23.3 Communications, utilities, and miscellaneous charges .....	109	76	66
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	2,150	1,842	1,831
25.2 Other services from non-Federal sources .....	138	128	112
25.3 Other goods and services from Federal sources .....	1,414	1,450	1,424
25.3 Other goods and services from Federal sources .....	77	77	77
25.4 Operation and maintenance of facilities .....	29	25	23
25.5 Research and development contracts .....	11,507	12,130	12,423
25.7 Operation and maintenance of equipment .....	316	193	167
26.0 Supplies and materials .....	147	188	176
31.0 Equipment .....	905	1,118	1,269
32.0 Land and structures .....	106	3	3
41.0 Grants, subsidies, and contributions .....	118	277	174
99.0 Direct obligations .....	17,678	18,165	18,390
99.0 Reimbursable obligations .....	1,216	2,058	2,067
99.9 Total new obligations .....	18,894	20,223	20,457

Employment Summary

Identification code 097-0400-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,145	3,393	3,185
2001 Reimbursable civilian full-time equivalent employment .....	163	258	287

OPERATIONAL TEST AND EVALUATION, DEFENSE

For expenses, not otherwise provided for, necessary for the independent activities of the Director, Operational Test and Evaluation, in the direction and supervision of operational test and evaluation, including initial operational test and evaluation which is conducted prior to, and in support of, production decisions; joint operational testing and evaluation; and administrative expenses in connection therewith, **[\$188,558,000]** \$178,994,000, to remain available for obligation until September 30, **[2017]** 2018. (*Department of Defense Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 097-0460-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Management Support .....	223	192	180
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	28	23
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	43	28	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	210	189	179
1130 Appropriations permanently reduced .....	-1	-2	
1160 Appropriation, discretionary (total) .....	209	187	179
1930 Total budgetary resources available .....	252	215	202
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	28	23	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	155	132	125
3010 Obligations incurred, unexpired accounts .....	223	192	180
3020 Outlays (gross) .....	-239	-199	-186
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	132	125	119
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	155	132	125
3200 Obligated balance, end of year .....	132	125	119
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	209	187	179
Outlays, gross:			
4010 Outlays from new discretionary authority .....	103	93	89
4011 Outlays from discretionary balances .....	136	106	97
4020 Outlays, gross (total) .....	239	199	186
4180 Budget authority, net (total) .....	209	187	179
4190 Outlays, net (total) .....	239	199	186

Object Classification (in millions of dollars)

Identification code 097-0460-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	3	3	3
25.1 Advisory and assistance services .....	51	50	49
25.2 Other services from non-Federal sources .....		2	2
25.3 Other goods and services from Federal sources .....	166	132	124
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	2	3	
99.9 Total new obligations .....	223	192	180

CONTRIBUTIONS FOR RENEWABLE ENERGY IMPACT ASSESSMENTS AND MITIGATION,  
DEFENSE

Contributions of funds from applicants for renewable energy projects filed with the Secretary of Transportation pursuant to section 44718 of title 49, United States Code. Voluntary contributions received by the Department of Defense are used to conduct studies of potential measures to mitigate the adverse impacts of energy projects on military operations and readiness, or to offset the cost of actual measures undertaken by the Department of Defense to mitigate adverse impacts of approved energy projects on military operations and readiness.

**MILITARY CONSTRUCTION**

The Military Construction programs are intended to provide facilities required as a result of new weapon systems entering the inventory, including aircraft and naval vessels, and other high priority initiatives. The Program also continues initiatives to realign the global defense posture, improve living and working conditions, reduce operating costs, and increase productivity. The Program also supports energy conservation by upgrading or replacing facilities which have become functionally obsolete or can be made more efficient through relatively modest investments in improvements. Also included in this request are resources required to clean up and dispose of property consistent with the five closure rounds required by the Base Closure Acts of 1988 and 1990.

Resources presented under the Military Construction title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

*Federal Funds*

MILITARY CONSTRUCTION, ARMY

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Army as currently authorized by law, including personnel in the Army Corps of Engineers and other personal services necessary for the purposes of this appropriation, and for construction and operation of facilities in support of the functions of the Commander in Chief, **[\$663,245,000]** \$503,459,000, to remain available until September 30, **[2020]** 2021: *Provided*, That, of this amount, not to exceed **[\$109,245,000]** \$98,159,000 shall be available for study, planning, design, architect and engineer services, and host nation support, as authorized by law, unless the Secretary of the Army determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 021–2050–0–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	774	1,723	1,109
0002 Minor construction .....	12	30	26
0003 Planning .....	91	270	111
0004 Supporting activities .....	28	70	
0799 Total direct obligations .....	905	2,093	1,246
0801 Military Construction, Army (Reimbursable) .....	5,003	3,545	3,605
0900 Total new obligations .....	5,908	5,638	4,851
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,197	3,790	2,772
1010 Unobligated balance transfer to other accts [097–9999] .....	–62		
1011 Unobligated balance transfer from other acct [097–9999] .....	62		
1021 Recoveries of prior year unpaid obligations .....	735		
1050 Unobligated balance (total) .....	4,932	3,790	2,772
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	626	728	503

1131 Unobligated balance of appropriations permanently reduced .....	–49	–49	
1160 Appropriation, discretionary (total) .....	577	679	503
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5,664	3,941	3,796
1701 Change in uncollected payments, Federal sources .....	–1,410		
1750 Spending auth from offsetting collections, disc (total) .....	4,254	3,941	3,796
1900 Budget authority (total) .....	4,831	4,620	4,299
1930 Total budgetary resources available .....	9,763	8,410	7,071
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–65		
1941 Unexpired unobligated balance, end of year .....	3,790	2,772	2,220

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11,086	8,959	8,856
3010 Obligations incurred, unexpired accounts .....	5,908	5,638	4,851
3011 Obligations incurred, expired accounts .....	36		
3020 Outlays (gross) .....	–7,223	–5,741	–5,895
3040 Recoveries of prior year unpaid obligations, unexpired .....	–735		
3041 Recoveries of prior year unpaid obligations, expired .....	–113		
3050 Unpaid obligations, end of year .....	8,959	8,856	7,812
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–9,744	–8,166	–8,166
3070 Change in uncollected pymts, Fed sources, unexpired .....	1,410		
3071 Change in uncollected pymts, Fed sources, expired .....	168		
3090 Uncollected pymts, Fed sources, end of year .....	–8,166	–8,166	–8,166
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,342	793	690
3200 Obligated balance, end of year .....	793	690	–354

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	4,831	4,620	4,299
Outlays, gross:			
4010 Outlays from new discretionary authority .....	604	3,948	3,801
4011 Outlays from discretionary balances .....	6,619	1,793	2,094
4020 Outlays, gross (total) .....	7,223	5,741	5,895
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–5,220	–3,941	–3,796
4033 Non-Federal sources .....	–536		
4040 Offsets against gross budget authority and outlays (total) .....	–5,756	–3,941	–3,796
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1,410		
4052 Offsetting collections credited to expired accounts .....	92		
4060 Additional offsets against budget authority only (total) .....	1,502		
4070 Budget authority, net (discretionary) .....	577	679	503
4080 Outlays, net (discretionary) .....	1,467	1,800	2,099
4180 Budget authority, net (total) .....	577	679	503
4190 Outlays, net (total) .....	1,467	1,800	2,099

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	577	679	503
Outlays .....	1,467	1,800	2,099
Overseas contingency operations:			
Budget Authority .....			19
Total:			
Budget Authority .....	577	679	522
Outlays .....	1,467	1,800	2,099

**Object Classification** (in millions of dollars)

Identification code 021–2050–0–1–051	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	905	2,092	1,246
99.0 Direct obligations .....	905	2,092	1,246
99.0 Reimbursable obligations .....	5,003	3,546	3,605
99.9 Total new obligations .....	5,908	5,638	4,851

MILITARY CONSTRUCTION, ARMY—Continued  
Employment Summary

Identification code 021-2050-0-1-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	6,626	5,171	4,946

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS

For acquisition, construction, installation, and equipment of temporary or permanent public works, naval installations, facilities, and real property for the Navy and Marine Corps as currently authorized by law, including personnel in the Naval Facilities Engineering Command and other personal services necessary for the purposes of this appropriation, **[\$1,669,239,000]** \$1,027,763,000, to remain available until September 30, **[2020]** 2021: *Provided*, That, of this amount, not to exceed **[\$91,649,000]** \$88,230,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor: *Provided further*, That none of the funds made available under this heading may be obligated for the Townsend Bombing Range Expansion, Phase 2, until the Secretary of the Navy enters into an agreement with local stakeholders that addresses the disposition and management of the timber and forest resources in the proposed areas of expansion. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 017-1205-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	1,406	1,886	1,259
0002 Minor construction .....	16	25	28
0003 Planning .....	155	120	94
0005 Major repair construction .....		2	2
0799 Total direct obligations .....	1,577	2,033	1,383
0801 Military Construction, Navy and Marine Corps (Reimbursable) .....	762	709	579
0900 Total new obligations .....	2,339	2,742	1,962
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,595	2,141	1,672
1010 Unobligated balance transfer to other accts [097-9999] .....	-4		
1011 Unobligated balance transfer from other acct [097-9999] .....	4		
1021 Recoveries of prior year unpaid obligations .....	105		
1050 Unobligated balance (total) .....	2,700	2,141	1,672
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,081	1,704	1,028
1131 Unobligated balance of appropriations permanently reduced .....	-26		
1160 Appropriation, discretionary (total) .....	1,055	1,704	1,028
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	535	569	579
1701 Change in uncollected payments, Federal sources .....	264		
1750 Spending auth from offsetting collections, disc (total) .....	799	569	579
1900 Budget authority (total) .....	1,854	2,273	1,607
1930 Total budgetary resources available .....	4,554	4,414	3,279
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-74		
1941 Unexpired unobligated balance, end of year .....	2,141	1,672	1,317

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,127	3,128	3,871
3010 Obligations incurred, unexpired accounts .....	2,339	2,742	1,962
3011 Obligations incurred, expired accounts .....	34		
3020 Outlays (gross) .....	-2,223	-1,999	-2,062
3040 Recoveries of prior year unpaid obligations, unexpired .....	-105		
3041 Recoveries of prior year unpaid obligations, expired .....	-44		
3050 Unpaid obligations, end of year .....	3,128	3,871	3,771
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-441	-690	-690
3070 Change in uncollected pymts, Fed sources, unexpired .....	-264		

3071 Change in uncollected pymts, Fed sources, expired .....	15		
3090 Uncollected pymts, Fed sources, end of year .....	-690	-690	-690
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,686	2,438	3,181
3200 Obligated balance, end of year .....	2,438	3,181	3,081

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,854	2,273	1,607
Outlays, gross:			
4010 Outlays from new discretionary authority .....	291	603	600
4011 Outlays from discretionary balances .....	1,932	1,396	1,462
4020 Outlays, gross (total) .....	2,223	1,999	2,062
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-488	-569	-579
4033 Non-Federal sources .....	-53		
4040 Offsets against gross budget authority and outlays (total) .....	-541	-569	-579
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-264		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-258		
4070 Budget authority, net (discretionary) .....	1,055	1,704	1,028
4080 Outlays, net (discretionary) .....	1,682	1,430	1,483
4180 Budget authority, net (total) .....	1,055	1,704	1,028
4190 Outlays, net (total) .....	1,682	1,430	1,483

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,055	1,704	1,028
Outlays .....	1,682	1,430	1,483
Overseas contingency operations:			
Budget Authority .....			60
Outlays .....			1
Total:			
Budget Authority .....	1,055	1,704	1,088
Outlays .....	1,682	1,430	1,484

Object Classification (in millions of dollars)

Identification code 017-1205-0-1-051	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	1,577	2,033	1,383
99.0 Reimbursable obligations .....	762	709	579
99.9 Total new obligations .....	2,339	2,742	1,962

MILITARY CONSTRUCTION, AIR FORCE

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Air Force as currently authorized by law, **[\$1,389,185,000]** \$1,481,058,000, to remain available until September 30, **[2020]** 2021: *Provided*, That of this amount, not to exceed **[\$89,164,000]** \$143,582,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Air Force determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 057-3300-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	1,007	1,293	1,297
0002 Minor construction .....	26	34	43
0003 Planning .....	24	76	120
0799 Total direct obligations .....	1,057	1,403	1,460
0801 Military Construction, Air Force (Reimbursable) .....	25		6
0900 Total new obligations .....	1,082	1,403	1,466

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	814	702	663
1010	Unobligated balance transfer to other accts [097–9999] .....	-17		
1011	Unobligated balance transfer from other acct [097–9999] .....	17		
1021	Recoveries of prior year unpaid obligations .....	8		
1050	Unobligated balance (total) .....	822	702	663
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	986	1,410	1,481
1131	Unobligated balance of appropriations permanently reduced .....	-41	-46	
1160	Appropriation, discretionary (total) .....	945	1,364	1,481
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	25		6
1900	Budget authority (total) .....	970	1,364	1,487
1930	Total budgetary resources available .....	1,792	2,066	2,150
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		
1941	Unexpired unobligated balance, end of year .....	702	663	684

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,185	1,437	1,914
3010	Obligations incurred, unexpired accounts .....	1,082	1,403	1,466
3011	Obligations incurred, expired accounts .....	9		
3020	Outlays (gross) .....	-823	-926	-969
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3041	Recoveries of prior year unpaid obligations, expired .....	-8		
3050	Unpaid obligations, end of year .....	1,437	1,914	2,411
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,185	1,437	1,914
3200	Obligated balance, end of year .....	1,437	1,914	2,411

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	970	1,364	1,487
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	27	36
4011	Outlays from discretionary balances .....	820	899	933
4020	Outlays, gross (total) .....	823	926	969
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-25		-6
4040	Offsets against gross budget authority and outlays (total) .....	-25		-6
4180	Budget authority, net (total) .....	945	1,364	1,481
4190	Outlays, net (total) .....	798	926	963

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	945	1,364	1,481
Outlays .....	798	926	963
<b>Overseas contingency operations:</b>			
Budget Authority .....			89
Outlays .....			2
<b>Total:</b>			
Budget Authority .....	945	1,364	1,570
Outlays .....	798	926	965

**Object Classification (in millions of dollars)**

Identification code	2015 actual	2016 est.	2017 est.	
32.0	Direct obligations: Land and structures (direct) .....	1,057	1,403	1,460
99.0	Reimbursable obligations .....	25		6
99.9	Total new obligations .....	1,082	1,403	1,466

September 30, [2020] 2021: *Provided*, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction or family housing as the Secretary may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided further*, That of the amount appropriated, not to exceed \$175,404,000, \$201,422,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor: *Provided further*, That of the funds made available by this title to construct fiscal year 2016 Special Operations Command military construction projects, not to exceed 75 percent shall be available until the Commander of the Special Operations Command has complied with the certification and reporting requirements in the last proviso under the heading "Department of Defense—Military Construction, Defense-Wide" in title I of H.R. 2029, as passed by the House of Representatives on April 30, 2015]. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code	2015 actual	2016 est.	2017 est.	
097–0500–0–1–051				
<b>Obligations by program activity:</b>				
0001	Major construction .....	2,301	3,202	2,321
0002	Minor construction .....	16	54	50
0003	Planning .....	192	424	304
0900	Total new obligations .....	2,509	3,680	2,675

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4,259	3,870	2,299
1010	Unobligated balance transfer to other accts [097–9999] .....	-38		
1011	Unobligated balance transfer from other acct [097–9999] .....	38		
1021	Recoveries of prior year unpaid obligations .....	114		
1050	Unobligated balance (total) .....	4,373	3,870	2,299
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,043	2,243	2,056
1131	Unobligated balance of appropriations permanently reduced .....		-134	
1160	Appropriation, discretionary (total) .....	2,043	2,109	2,056
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	22		
1701	Change in uncollected payments, Federal sources .....	-7		
1750	Spending auth from offsetting collections, disc (total) .....	15		
1900	Budget authority (total) .....	2,058	2,109	2,056
1930	Total budgetary resources available .....	6,431	5,979	4,355
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-52		
1941	Unexpired unobligated balance, end of year .....	3,870	2,299	1,680

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4,707	4,467	5,664
3010	Obligations incurred, unexpired accounts .....	2,509	3,680	2,675
3011	Obligations incurred, expired accounts .....	22		
3020	Outlays (gross) .....	-2,637	-2,483	-2,305
3040	Recoveries of prior year unpaid obligations, unexpired .....	-114		
3041	Recoveries of prior year unpaid obligations, expired .....	-20		
3050	Unpaid obligations, end of year .....	4,467	5,664	6,034
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	1	8	8
3070	Change in uncollected pymts, Fed sources, unexpired .....	7		
3090	Uncollected pymts, Fed sources, end of year .....	8	8	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4,708	4,475	5,672
3200	Obligated balance, end of year .....	4,475	5,672	6,042

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	2,058	2,109	2,056
Outlays, gross:				
4010	Outlays from new discretionary authority .....	105	84	82
4011	Outlays from discretionary balances .....	2,532	2,399	2,223
4020	Outlays, gross (total) .....	2,637	2,483	2,305

**MILITARY CONSTRUCTION, DEFENSE-WIDE**

(INCLUDING TRANSFER OF FUNDS)

For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, \$2,242,867,000, \$2,056,091,000, to remain available until

MILITARY CONSTRUCTION, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 097-0500-0-1-051	2015 actual	2016 est.	2017 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-22		
4040 Offsets against gross budget authority and outlays (total) ....	-22		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	7		
4060 Additional offsets against budget authority only (total) .....	7		
4070 Budget authority, net (discretionary) .....	2,043	2,109	2,056
4080 Outlays, net (discretionary) .....	2,615	2,483	2,305
4180 Budget authority, net (total) .....	2,043	2,109	2,056
4190 Outlays, net (total) .....	2,615	2,483	2,305

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	2,043	2,109	2,056
Outlays .....	2,615	2,483	2,305
Overseas contingency operations:			
Budget Authority .....			5
Total:			
Budget Authority .....	2,043	2,109	2,061
Outlays .....	2,615	2,483	2,305

Object Classification (in millions of dollars)

Identification code 097-0500-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1		
32.0 Land and structures .....	2,508	3,680	2,675
99.9 Total new obligations .....	2,509	3,680	2,675

NORTH ATLANTIC TREATY ORGANIZATION  
SECURITY INVESTMENT PROGRAM

For the United States share of the cost of the North Atlantic Treaty Organization Security Investment Program for the acquisition and construction of military facilities and installations (including international military headquarters) and for related expenses for the collective defense of the North Atlantic Treaty Area as authorized by section 2806 of title 10, United States Code, and Military Construction Authorization Acts, **[\$135,000,000]** \$177,932,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 097-0804-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 NATO infrastructure .....	201	135	178
0801 North Atlantic Treaty Organization Security Investment Program (Reimbursable) .....	3		
0900 Total new obligations .....	204	135	178
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	245	282	285
1021 Recoveries of prior year unpaid obligations .....	63		
1050 Unobligated balance (total) .....	308	282	285
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	135	178
1131 Unobligated balance of appropriations permanently reduced .....	-25		
1160 Appropriation, discretionary (total) .....	175	135	178
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		3	
1701 Change in uncollected payments, Federal sources .....	3		

1750 Spending auth from offsetting collections, disc (total) .....	3	3	
1900 Budget authority (total) .....	178	138	178
1930 Total budgetary resources available .....	486	420	463
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	282	285	285

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	565	594	551
3010 Obligations incurred, unexpired accounts .....	204	135	178
3020 Outlays (gross) .....	-112	-178	-215
3040 Recoveries of prior year unpaid obligations, unexpired .....	-63		
3050 Unpaid obligations, end of year .....	594	551	514
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-23	-23
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-23	-23	-23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	545	571	528
3200 Obligated balance, end of year .....	571	528	491

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	178	138	178
Outlays, gross:			
4010 Outlays from new discretionary authority .....	112	55	71
4011 Outlays from discretionary balances .....		120	144
4020 Outlays, gross (total) .....	112	175	215
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-3	
4040 Offsets against gross budget authority and outlays (total) ....		-3	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4070 Budget authority, net (discretionary) .....	175	135	178
4080 Outlays, net (discretionary) .....	112	172	215
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		3	
4180 Budget authority, net (total) .....	175	135	178
4190 Outlays, net (total) .....	112	175	215

Object Classification (in millions of dollars)

Identification code 097-0804-0-1-051	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	201	135	178
99.0 Reimbursable obligations .....	3		
99.9 Total new obligations .....	204	135	178

MILITARY CONSTRUCTION, ARMY NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$197,237,000]** \$232,930,000, to remain available until September 30, **[2020]** 2021: *Provided*, That, of the amount appropriated, not to exceed **[\$20,337,000]** \$8,729,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Army National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 021-2085-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	292	300	224
0002 Minor construction .....	12	20	15
0003 Planning .....	15	31	15
0900 Total new obligations (object class 32.0) .....	319	351	254

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	410	312	210
1021	Recoveries of prior year unpaid obligations .....	148		
1050	Unobligated balance (total) .....	558	312	210
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	134	249	233
1930	Total budgetary resources available .....	692	561	443
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-61		
1941	Unexpired unobligated balance, end of year .....	312	210	189

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	813	491	473
3010	Obligations incurred, unexpired accounts .....	319	351	254
3011	Obligations incurred, expired accounts .....	23		
3020	Outlays (gross) .....	-500	-369	-282
3040	Recoveries of prior year unpaid obligations, unexpired .....	-148		
3041	Recoveries of prior year unpaid obligations, expired .....	-16		
3050	Unpaid obligations, end of year .....	491	473	445
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	813	491	473
3200	Obligated balance, end of year .....	491	473	445

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	134	249	233
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	5	5
4011	Outlays from discretionary balances .....	496	364	277
4020	Outlays, gross (total) .....	500	369	282
4180	Budget authority, net (total) .....	134	249	233
4190	Outlays, net (total) .....	500	369	282

**MILITARY CONSTRUCTION, AIR NATIONAL GUARD**

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$138,738,000]** *\$143,957,000*, to remain available until September 30, **[2020]** *2021*: *Provided*, That, of the amount appropriated, not to exceed **[\$5,104,000]** *\$10,462,000* shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Air National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 057-3830-0-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Major construction .....	83	109	112
0002	Minor construction .....	20	20	17
0003	Planning .....	9	10	10
0900	Total new obligations (object class 32.0) .....	112	139	139
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	70	52	58
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	71	52	58
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	93	145	144
1900	Budget authority (total) .....	93	145	144
1930	Total budgetary resources available .....	164	197	202
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	52	58	63
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	146	155	186
3010	Obligations incurred, unexpired accounts .....	112	139	139

3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-104	-108	-112
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	155	186	213
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	146	155	186
3200	Obligated balance, end of year .....	155	186	213

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	93	145	144
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	3	3
4011	Outlays from discretionary balances .....	102	105	109
4020	Outlays, gross (total) .....	104	108	112
4180	Budget authority, net (total) .....	93	145	144
4190	Outlays, net (total) .....	104	108	112

**MILITARY CONSTRUCTION, ARMY RESERVE**

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$113,595,000]** *\$68,230,000*, to remain available until September 30, **[2020]** *2021*: *Provided*, That, of the amount appropriated, not to exceed **[\$9,318,000]** *\$7,500,000* shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Army Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 021-2086-0-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Major construction .....	157	220	113
0002	Minor construction .....		7	4
0003	Planning .....	8	17	14
0900	Total new obligations (object class 32.0) .....	165	244	131
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	221	232	136
1021	Recoveries of prior year unpaid obligations .....	3		
1050	Unobligated balance (total) .....	224	232	136
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	173	148	68
1930	Total budgetary resources available .....	397	380	204
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	232	136	73
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	293	291	288
3010	Obligations incurred, unexpired accounts .....	165	244	131
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-164	-247	-180
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	291	288	239
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	293	291	288
3200	Obligated balance, end of year .....	291	288	239
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	173	148	68
Outlays, gross:				
4010	Outlays from new discretionary authority .....		9	4
4011	Outlays from discretionary balances .....	164	238	176
4020	Outlays, gross (total) .....	164	247	180
4180	Budget authority, net (total) .....	173	148	68

MILITARY CONSTRUCTION, ARMY RESERVE—Continued  
Program and Financing—Continued

Identification code 021-2086-0-1-051	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total) .....	164	247	180

MILITARY CONSTRUCTION, NAVY RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the reserve components of the Navy and Marine Corps as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$36,078,000]** \$38,597,000, to remain available until September 30, **[2020]** 2021: *Provided*, That, of the amount appropriated, not to exceed **[\$2,208,000]** \$3,783,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 017-1235-0-1-051	2015 actual	2016 est.	2017 est.
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Obligations by program activity:			
0001 Major construction .....	42	59	49
0002 Minor construction .....	4	4	1
0003 Planning .....	2	7	6
0900 Total new obligations (object class 32.0) .....	48	70	56

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	66	74	40
1050 Unobligated balance (total) .....	66	74	40
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	56	36	39
1930 Total budgetary resources available .....	122	110	79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	74	40	23

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	69	74
3010 Obligations incurred, unexpired accounts .....	48	70	56
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-15	-65	-41
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	69	74	89
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	36	69	74
3200 Obligated balance, end of year .....	69	74	89

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	56	36	39
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1		1
4011 Outlays from discretionary balances .....	15	64	40
4020 Outlays, gross (total) .....	15	65	41
4180 Budget authority, net (total) .....	56	36	39
4190 Outlays, net (total) .....	15	65	41

MILITARY CONSTRUCTION, AIR FORCE RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air Force Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$65,021,000]** \$188,950,000, to remain available until September 30, **[2020]** 2021: *Provided*, That, of the amount appropriated, not to exceed **[\$13,400,000]** \$4,500,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Air Force Reserve determines that ad-

ditional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 057-3730-0-1-051	2015 actual	2016 est.	2017 est.
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Obligations by program activity:			
0001 Major construction .....	21	80	153
0002 Minor construction .....	4	7	3
0003 Planning .....	4	16	8
0900 Total new obligations (object class 32.0) .....	29	103	164

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	72	44
1050 Unobligated balance (total) .....	52	72	44
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	49	75	189
1900 Budget authority (total) .....	49	75	189
1930 Total budgetary resources available .....	101	147	233
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	72	44	69

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	36	71
3010 Obligations incurred, unexpired accounts .....	29	103	164
3020 Outlays (gross) .....	-13	-68	-54
3050 Unpaid obligations, end of year .....	36	71	181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	36	71
3200 Obligated balance, end of year .....	36	71	181

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	49	75	189
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	2
4011 Outlays from discretionary balances .....	13	67	52
4020 Outlays, gross (total) .....	13	68	54
4180 Budget authority, net (total) .....	49	75	189
4190 Outlays, net (total) .....	13	68	54

CHEMICAL DEMILITARIZATION CONSTRUCTION, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-0391-0-1-051	2015 actual	2016 est.	2017 est.
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Obligations by program activity:			
0001 Chemical Demilitarization construction .....	49	19	8

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	39	29	10
1050 Unobligated balance (total) .....	39	29	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	39		
1930 Total budgetary resources available .....	78	29	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29	10	2

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	98	39	43
3010 Obligations incurred, unexpired accounts .....	49	19	8
3020 Outlays (gross) .....	-106	-15	-7
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	39	43	44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	98	39	43

3200	Obligated balance, end of year .....	39	43	44
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	39		
Outlays, gross:				
4011	Outlays from discretionary balances .....	106	15	7
4180	Budget authority, net (total) .....	39		
4190	Outlays, net (total) .....	106	15	7

**Object Classification** (in millions of dollars)

Identification code 097-0391-0-1-051	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	28		
25.3	Other goods and services from Federal sources .....	2		
25.4	Operation and maintenance of facilities .....	19		
32.0	Land and structures .....		19	8
99.9	Total new obligations .....	49	19	8

**DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT**

For deposit into the Department of Defense Base Closure Account, established by section 2906(a) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), **1** \$266,334,000] \$205,237,000, to remain available until expended. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097-0516-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Department of Defense Base Closure Account (Direct) .....	778	266	205
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	894	461	461
1010	Unobligated balance transfer to other accts [097-9999] .....	-192		
1011	Unobligated balance transfer from other acct [097-9999] .....	192		
1021	Recoveries of prior year unpaid obligations .....	6		
1050	Unobligated balance (total) .....	900	461	461
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	315	266	205
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	24		
1900	Budget authority (total) .....	339	266	205
1930	Total budgetary resources available .....	1,239	727	666
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	461	461	461

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	652	1,080	937
3010	Obligations incurred, unexpired accounts .....	778	266	205
3020	Outlays (gross) .....	-344	-409	-475
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050	Unpaid obligations, end of year .....	1,080	937	667
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	652	1,080	937
3200	Obligated balance, end of year .....	1,080	937	667

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	339	266	205
Outlays, gross:				
4010	Outlays from new discretionary authority .....	245	59	45
4011	Outlays from discretionary balances .....	99	350	430
4020	Outlays, gross (total) .....	344	409	475
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-24		
4040	Offsets against gross budget authority and outlays (total) .....	-24		
4180	Budget authority, net (total) .....	315	266	205
4190	Outlays, net (total) .....	320	409	475

**Object Classification** (in millions of dollars)

Identification code 097-0516-0-1-051	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	5	6	6
12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1		
23.1	Rental payments to GSA .....	1		
23.3	Communications, utilities, and miscellaneous charges .....	3		
25.1	Advisory and assistance services .....	3		
25.2	Other services from non-Federal sources .....	39	1	1
25.3	Other goods and services from Federal sources .....	327	81	63
25.3	Other goods and services from Federal sources .....	43	3	3
25.4	Operation and maintenance of facilities .....	12	1	1
31.0	Equipment .....		5	1
32.0	Land and structures .....	341	166	128
41.0	Grants, subsidies, and contributions .....	1	1	
99.9	Total new obligations .....	778	266	205

**Employment Summary**

Identification code 097-0516-0-1-051	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	47	55	55

**DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990**

**Program and Financing** (in millions of dollars)

Identification code 097-0510-0-1-051	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0002	Base Closure (II) .....	2		
0004	Base Closure (IV) .....	6		
0900	Total new obligations .....	8		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	44	49
1010	Unobligated balance transfer to other accts [097-9999] .....	-62		
1011	Unobligated balance transfer from other acct [097-9999] .....	62		
1021	Recoveries of prior year unpaid obligations .....	37		
1050	Unobligated balance (total) .....	47	44	49
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		5	
1701	Change in uncollected payments, Federal sources .....	5		
1750	Spending auth from offsetting collections, disc (total) .....	5	5	
1900	Budget authority (total) .....	5	5	
1930	Total budgetary resources available .....	52	49	49
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	44	49	49

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	390	178	122
3010	Obligations incurred, unexpired accounts .....	8		
3020	Outlays (gross) .....	-183	-56	-49
3040	Recoveries of prior year unpaid obligations, unexpired .....	-37		
3050	Unpaid obligations, end of year .....	178	122	73
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	9	4	4
3070	Change in uncollected pymts, Fed sources, unexpired .....	-5		
3090	Uncollected pymts, Fed sources, end of year .....	4	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	399	182	126
3200	Obligated balance, end of year .....	182	126	77

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5	5	
Outlays, gross:				
4011	Outlays from discretionary balances .....	183	56	49
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-5	
4040	Offsets against gross budget authority and outlays (total) .....		-5	

## DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990—Continued

## Program and Financing—Continued

Identification code 097-0510-0-1-051	2015 actual	2016 est.	2017 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4060 Additional offsets against budget authority only (total) .....	-5		
4080 Outlays, net (discretionary) .....	183	51	49
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	183	51	49

## Object Classification (in millions of dollars)

Identification code 097-0510-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	2		
32.0 Land and structures .....	6		
99.9 Total new obligations .....	8		

## DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 2005

## Program and Financing (in millions of dollars)

Identification code 097-0512-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 BRAC 2005 .....	3		
0002 Global posture .....	52		
0900 Total new obligations .....	55		

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	144	260	260
1010 Unobligated balance transfer to other accts [097-9999] .....	-95		
1011 Unobligated balance transfer from other acct [097-9999] .....	95		
1021 Recoveries of prior year unpaid obligations .....	171		
1050 Unobligated balance (total) .....	315	260	260
1930 Total budgetary resources available .....	315	260	260
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	260	260	260

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	559	408	331
3010 Obligations incurred, unexpired accounts .....	55		
3020 Outlays (gross) .....	-35	-77	-75
3040 Recoveries of prior year unpaid obligations, unexpired .....	-171		
3050 Unpaid obligations, end of year .....	408	331	256
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	558	407	330
3200 Obligated balance, end of year .....	407	330	255

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	35	77	75
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	35	77	75

## Object Classification (in millions of dollars)

Identification code 097-0512-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	52		
32.0 Land and structures .....	3		
99.9 Total new obligations .....	55		

## FOREIGN CURRENCY FLUCTUATIONS, CONSTRUCTION

## Program and Financing (in millions of dollars)

Identification code 097-0803-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1010 Unobligated balance transfer to other accts [097-9999] .....	-217		
1011 Unobligated balance transfer from other acct [097-9999] .....	176		
1012 Expired unobligated balance transfer to unexpired accounts (+) .....	41		
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

## FAMILY HOUSING

These appropriations finance all costs associated with construction, improvements, operations, maintenance, utilities, privatization, and leasing of all military family housing. In addition to quality of life enhancements, the program contains initiatives to reduce operating costs and conserve energy by upgrading or replacing facilities which can be made more efficient through relatively modest investments in improvements.

The Family Housing Improvement Fund (FHIF) was created to finance the use of innovative methods authorized in the National Defense Authorization Act for Fiscal Year 1996 (Public Law 104-106), under the Military Housing Privatization Initiative (MHPI) to meet the Department's housing needs. The MHPI authorities allow the Department to make direct and guaranteed loans, provide equity investments, and convey Department-owned property to stimulate the private sector to increase the availability of affordable, quality housing for military personnel. The funds required to privatize military family housing are transferred from the military departments' family housing construction accounts into the Family Housing Improvement Fund.

Resources presented under the Family Housing title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

## Federal Funds

## FAMILY HOUSING CONSTRUCTION, ARMY

For expenses of family housing for the Army for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$108,695,000] \$200,735,000**, to remain available until September 30, **[2020] 2021**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 021-0720-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction of new housing .....	34	44	181
0003 Construction Improvements .....	56	88	
0004 Planning and design .....	8	10	5
0799 Total direct obligations .....	98	142	186
0801 Reimbursable program activity .....		3	
0900 Total new obligations .....	98	145	186

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	83	102	28
1010 Unobligated balance transfer to other accts [097-9999] .....	-4		
1011 Unobligated balance transfer from other acct [097-9999] .....	4		
1021 Recoveries of prior year unpaid obligations .....	39		
1050 Unobligated balance (total) .....	122	102	28

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	79	109 201
1131	Unobligated balance of appropriations permanently reduced .....		-38
1160	Appropriation, discretionary (total) .....	79	71 201
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	3	
1900	Budget authority (total) .....	82	71 201
1930	Total budgetary resources available .....	204	173 229
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-4	
1941	Unexpired unobligated balance, end of year .....	102	28 43

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	173	190 295
3010	Obligations incurred, unexpired accounts .....	98	145 186
3011	Obligations incurred, expired accounts .....	1	
3020	Outlays (gross) .....	-40	-40 -75
3040	Recoveries of prior year unpaid obligations, unexpired .....	-39	
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	190	295 406
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	173	190 295
3200	Obligated balance, end of year .....	190	295 406

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	82	71 201
Outlays, gross:			
4010	Outlays from new discretionary authority .....		4 10
4011	Outlays from discretionary balances .....	40	36 65
4020	Outlays, gross (total) .....	40	40 75
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-3	
4180	Budget authority, net (total) .....	79	71 201
4190	Outlays, net (total) .....	37	40 75

**Object Classification** (in millions of dollars)

Identification code 021-0720-0-1-051	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	98	142	186
99.0 Reimbursable obligations .....		3	
99.9 Total new obligations .....	98	145	186

**FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY**

For expenses of family housing for the Army for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$375,611,000] \$325,995,000.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021-0725-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005	Utilities .....	54	61 55
0006	Operation .....	61	76 59
0007	Leasing .....	128	142 132
0008	Maintenance .....	68	75 61
0012	Housing privatization support .....	22	22 19
0799	Total direct obligations .....	333	376 326
0801	Family Housing Operation and Maintenance, Army (Reimbursable) .....	6	15 15
0900	Total new obligations .....	339	391 341

<b>Budgetary resources:</b>			
Unobligated balance:			
1011	Unobligated balance transfer from other acct [097-9999] ....	17	
1050	Unobligated balance (total) .....	17	

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	351	376 326
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	4	15 15
1701	Change in uncollected payments, Federal sources .....	1	
1750	Spending auth from offsetting collections, disc (total) .....	5	15 15
1900	Budget authority (total) .....	356	391 341
1930	Total budgetary resources available .....	373	391 341
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-34	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	403	343 354
3010	Obligations incurred, unexpired accounts .....	339	391 341
3011	Obligations incurred, expired accounts .....	16	
3020	Outlays (gross) .....	-368	-380 -373
3041	Recoveries of prior year unpaid obligations, expired .....	-47	
3050	Unpaid obligations, end of year .....	343	354 322
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-12 -12
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	
3071	Change in uncollected pymts, Fed sources, expired .....	1	
3090	Uncollected pymts, Fed sources, end of year .....	-12	-12 -12
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	391	331 342
3200	Obligated balance, end of year .....	331	342 310

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	356	391 341
Outlays, gross:			
4010	Outlays from new discretionary authority .....	204	244 214
4011	Outlays from discretionary balances .....	164	136 159
4020	Outlays, gross (total) .....	368	380 373
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	-15 -15
4033	Non-Federal sources .....	-4	
4040	Offsets against gross budget authority and outlays (total) ....	-5	-15 -15
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1	
4052	Offsetting collections credited to expired accounts .....	1	
4070	Budget authority, net (discretionary) .....	351	376 326
4080	Outlays, net (discretionary) .....	363	365 358
4180	Budget authority, net (total) .....	351	376 326
4190	Outlays, net (total) .....	363	365 358

**Object Classification** (in millions of dollars)

Identification code 021-0725-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1	Full-time permanent .....	24	30 27
11.3	Other than full-time permanent .....	2	1 2
11.5	Other personnel compensation .....	1	1 1
11.9	Total personnel compensation .....	27	32 30
12.1	Civilian personnel benefits .....	9	11 10
21.0	Travel and transportation of persons .....	2	2 2
22.0	Transportation of things .....	5	3 3
23.1	Rental payments to GSA .....	2	1 1
23.2	Rental payments to others .....	90	93 68
23.3	Communications, utilities, and miscellaneous charges .....	63	42 35
25.1	Advisory and assistance services .....	3	3 6
25.2	Other services from non-Federal sources .....	15	20 18
25.3	Payments to foreign national indirect hire personnel .....	10	13 10
25.3	Other goods and services from Federal sources .....	13	55 53
25.4	Operation and maintenance of facilities .....	78	85 75
25.7	Operation and maintenance of equipment .....	7	5 5
26.0	Supplies and materials .....	9	10 9
31.0	Equipment .....	1	1 1
99.0	Direct obligations .....	334	376 326
99.0	Reimbursable obligations .....	5	15 15
99.9	Total new obligations .....	339	391 341

FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY—Continued  
Employment Summary

Identification code 021-0725-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	378	447	407

## FAMILY HOUSING CONSTRUCTION, NAVY AND MARINE CORPS

For expenses of family housing for the Navy and Marine Corps for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$16,541,000] \$94,011,000**, to remain available until September 30, **[2020] 2021**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 017-0730-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction of new housing .....	24	51	51
0003 Construction Improvements .....	52	43	24
0004 Planning and design .....	1	4	4
0900 Total new obligations .....	77	47	79
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	211	57	27
1010 Unobligated balance transfer to other accts [097-9999] .....	-96		
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	118	57	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	16	17	94
1930 Total budgetary resources available .....	134	74	121
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	27	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110	132	113
3010 Obligations incurred, unexpired accounts .....	77	47	79
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-52	-66	-50
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	132	113	142
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	110	132	113
3200 Obligated balance, end of year .....	132	113	142
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	17	94
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	6
4011 Outlays from discretionary balances .....	52	65	44
4020 Outlays, gross (total) .....	52	66	50
4180 Budget authority, net (total) .....	16	17	94
4190 Outlays, net (total) .....	52	66	50

## Object Classification (in millions of dollars)

Identification code 017-0730-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2		
32.0 Land and structures .....	75	47	79
99.9 Total new obligations .....	77	47	79

## FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND MARINE CORPS

For expenses of family housing for the Navy and Marine Corps for operation and maintenance, including debt payment, leasing, minor construction, principal and

interest charges, and insurance premiums, as authorized by law, **[\$353,036,000] \$300,915,000**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 017-0735-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	65	68	57
0006 Operation .....	82	93	82
0007 Leasing .....	63	64	55
0008 Maintenance .....	108	99	81
0012 Housing privatization support .....	27	29	26
0799 Total direct obligations .....	345	353	301
0801 Family Housing Operation and Maintenance, Navy and Marine Corps (Reimbursable) .....	3	18	18
0900 Total new obligations .....	348	371	319
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [097-9999] .....	6		
1050 Unobligated balance (total) .....	6		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	354	353	301
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	18	18
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	14	18	18
1900 Budget authority (total) .....	368	371	319
1930 Total budgetary resources available .....	374	371	319
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-26		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	166	176	229
3010 Obligations incurred, unexpired accounts .....	348	371	319
3011 Obligations incurred, expired accounts .....	11		
3020 Outlays (gross) .....	-323	-318	-339
3041 Recoveries of prior year unpaid obligations, expired .....	-26		
3050 Unpaid obligations, end of year .....	176	229	209
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	166	175	228
3200 Obligated balance, end of year .....	175	228	208
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	368	371	319
Outlays, gross:			
4010 Outlays from new discretionary authority .....	213	233	201
4011 Outlays from discretionary balances .....	110	85	138
4020 Outlays, gross (total) .....	323	318	339
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-18	-18
4033 Non-Federal sources .....	-15		
4040 Offsets against gross budget authority and outlays (total) .....	-18	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	4		
4070 Budget authority, net (discretionary) .....	354	353	301
4080 Outlays, net (discretionary) .....	305	300	321
4180 Budget authority, net (total) .....	354	353	301
4190 Outlays, net (total) .....	305	300	321

**Object Classification** (in millions of dollars)

Identification code 017-0735-0-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	27	32	32
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	33	38	38
12.1 Civilian personnel benefits .....	11	12	12
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	31	39	31
23.3 Communications, utilities, and miscellaneous charges .....	17	22	22
25.1 Advisory and assistance services .....	14	15	13
25.2 Other services from non-Federal sources .....	31		
25.3 Other goods and services from Federal sources .....		18	15
25.3 Other goods and services from Federal sources .....	2	3	3
25.3 Other goods and services from Federal sources .....	92	95	74
25.4 Operation and maintenance of facilities .....	98	96	74
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	4	4	4
31.0 Equipment .....	7	7	11
32.0 Land and structures .....	1	1	1
33.0 Investments and loans .....	1		
99.0 Direct obligations .....	345	353	301
99.0 Reimbursable obligations .....	3	18	18
99.9 Total new obligations .....	348	371	319

**Employment Summary**

Identification code 017-0735-0-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	509	580	577

**FAMILY HOUSING CONSTRUCTION, AIR FORCE**

For expenses of family housing for the Air Force for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$160,498,000]** \$61,352,000, to remain available until September 30, **[2020]** 2021. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 057-0740-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Construction Improvements .....	28	166	84
0004 Planning and design .....	3	40	36
0900 Total new obligations .....	31	206	120
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	239	212	166
1010 Unobligated balance transfer to other accts [097-9999] .....	-40		
1011 Unobligated balance transfer from other acct [097-9999] .....	40		
1050 Unobligated balance (total) .....	239	212	166
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....		160	61
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	6		
1900 Budget authority (total) .....	6	160	61
1930 Total budgetary resources available .....	245	372	227
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	212	166	107
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	141	79	238
3010 Obligations incurred, unexpired accounts .....	31	206	120
3020 Outlays (gross) .....	-91	-47	-50
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	79	238	308

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	141	79	238
3200 Obligated balance, end of year .....	79	238	308

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	6	160	61
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	16	6
4011 Outlays from discretionary balances .....	90	31	44
4020 Outlays, gross (total) .....	91	47	50
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-6		
4040 Offsets against gross budget authority and outlays (total) .....	-6		
4180 Budget authority, net (total) .....		160	61
4190 Outlays, net (total) .....	85	47	50

**Object Classification** (in millions of dollars)

Identification code 057-0740-0-1-051	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	30	206	120
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	31	206	120

**FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE**

For expenses of family housing for the Air Force for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$331,232,000]** \$274,429,000. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 057-0745-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	50	41	37
0006 Operation .....	73	106	89
0007 Leasing .....	19	29	21
0008 Maintenance .....	109	113	85
0012 Housing privatization support .....	34	42	42
0799 Total direct obligations .....	285	331	274
0801 Family Housing Operation and Maintenance, Air Force (Reimbursable) .....	2	6	6
0900 Total new obligations .....	287	337	280
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1011 Unobligated balance transfer from other acct [097-9999] .....	18		
1050 Unobligated balance (total) .....	18		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	328	331	274
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	6	6
1900 Budget authority (total) .....	329	337	280
1930 Total budgetary resources available .....	347	337	280
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-60		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	224	216	253
3010 Obligations incurred, unexpired accounts .....	287	337	280
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-284	-300	-267
3041 Recoveries of prior year unpaid obligations, expired .....	-18		
3050 Unpaid obligations, end of year .....	216	253	266
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	223	215	252

FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-0745-0-1-051		2015 actual	2016 est.	2017 est.
3200	Obligated balance, end of year .....	215	252	265
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	329	337	280
Outlays, gross:				
4010	Outlays from new discretionary authority .....	137	197	164
4011	Outlays from discretionary balances .....	147	103	103
4020	Outlays, gross (total) .....	284	300	267
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-6	-6
4033	Non-Federal sources .....	-2		
4040	Offsets against gross budget authority and outlays (total) ....	-2	-6	-6
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	328	331	274
4080	Outlays, net (discretionary) .....	282	294	261
4180	Budget authority, net (total) .....	328	331	274
4190	Outlays, net (total) .....	282	294	261

Object Classification (in millions of dollars)

Identification code 057-0745-0-1-051		2015 actual	2016 est.	2017 est.
Direct obligations:				
23.2	Rental payments to others .....	114	152	127
23.3	Communications, utilities, and miscellaneous charges .....	50	41	37
25.4	Operation and maintenance of facilities .....	119	136	108
26.0	Supplies and materials .....	2	2	2
99.0	Direct obligations .....	285	331	274
99.0	Reimbursable obligations .....	2	6	6
99.9	Total new obligations .....	287	337	280

FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE

For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for operation and maintenance, leasing, and minor construction, as authorized by law, **【\$58,668,000】\$59,157,000.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 097-0765-0-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0005	Utilities .....	1	1	5
0006	Operation .....	4	5	1
0007	Leasing .....	53	52	52
0008	Maintenance .....	1	1	1
0900	Total new obligations .....	59	59	59
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	61	59	59
1930	Total budgetary resources available .....	61	59	59
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	20	21
3010	Obligations incurred, unexpired accounts .....	59	59	59
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-50	-58	-51
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	20	21	29

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	13	19	20
3200	Obligated balance, end of year .....	19	20	28

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	61	59	59
Outlays, gross:				
4010	Outlays from new discretionary authority .....	43	42	42
4011	Outlays from discretionary balances .....	7	16	9
4020	Outlays, gross (total) .....	50	58	51
4180	Budget authority, net (total) .....	61	59	59
4190	Outlays, net (total) .....	50	58	51

Object Classification (in millions of dollars)

Identification code 097-0765-0-1-051		2015 actual	2016 est.	2017 est.
Direct obligations:				
23.2	Rental payments to others .....	32	41	42
23.3	Communications, utilities, and miscellaneous charges .....	5	4	4
25.3	Other goods and services from Federal sources .....	19	13	12
25.4	Operation and maintenance of facilities .....	2	1	1
31.0	Equipment .....	1		
99.9	Total new obligations .....	59	59	59

HOMEOWNERS ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 097-4090-0-3-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Homeowners Assistance Fund (Direct) .....	9		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	248	195	90
1021	Recoveries of prior year unpaid obligations .....	3		
1050	Unobligated balance (total) .....	251	195	90
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced .....	-64	-105	
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	17		
1900	Budget authority (total) .....	-47	-105	
1930	Total budgetary resources available .....	204	90	90
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	195	90	90
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	6	
3010	Obligations incurred, unexpired accounts .....	9		
3020	Outlays (gross) .....	-6	-6	
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050	Unpaid obligations, end of year .....	6		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	6	
3200	Obligated balance, end of year .....	6		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	-47	-105	
Outlays, gross:				
4011	Outlays from discretionary balances .....	6	6	
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-17		
4180	Budget authority, net (total) .....	-64	-105	
4190	Outlays, net (total) .....	-11	6	
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	19	19	19

5092	Unexpired unavailable balance, EOY: Offsetting collections .....	19	19	19
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The Homeowners Assistance Fund finances a program which provides assistance to eligible homeowners by mitigating losses incident to the disposal of a primary residence.

**Object Classification** (in millions of dollars)

Identification code 097-4090-0-3-051	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	7		
99.0 Direct obligations .....	7		
32.0 Reimbursable obligations: Land and structures .....	2		
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations .....	9		

**DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND**

For the Department of Defense Family Housing Improvement Fund, \$3,258,000, to remain available until expended, for family housing initiatives undertaken pursuant to section 2883 of title 10, United States Code, providing alternative means of acquiring and improving military family housing and supporting facilities.

**Program and Financing** (in millions of dollars)

Identification code 097-0834-0-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Equity investments .....	96	56	
0005 Reestimate of Direct Loan Subsidy .....		1	
0007 Re-estimate of loan guarantee subsidy .....		1	
0091 Direct program activities, subtotal .....	96	58	
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	9		
0706 Interest on reestimates of direct loan subsidy .....	1		
0707 Reestimates of loan guarantee subsidy .....	3		
0709 Administrative expenses .....	1	3	3
0791 Direct program activities, subtotal .....	14	3	3
0900 Total new obligations .....	110	61	3

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	73	74	17
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	73	74	
1011 Unobligated balance transfer from other acct [097-9999] .....	96	2	
1050 Unobligated balance (total) .....	169	76	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2		3
Appropriations, mandatory:			
1200 Appropriation .....	13	2	
1900 Budget authority (total) .....	15	2	3
1930 Total budgetary resources available .....	184	78	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	74	17	17

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	127	202	171
3010 Obligations incurred, unexpired accounts .....	110	61	3
3020 Outlays (gross) .....	-35	-92	-61
3050 Unpaid obligations, end of year .....	202	171	113
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	127	202	171
3200 Obligated balance, end of year .....	202	171	113

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	2		3
Outlays, gross:			
4011 Outlays from discretionary balances .....	22	90	61
Mandatory:			
4090 Budget authority, gross .....	13	2	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	13	2	
4180 Budget authority, net (total) .....	15	2	3

4190	Outlays, net (total) .....	35	92	61
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**Memorandum (non-add) entries:**

5090	Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	2
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	2

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 097-0834-0-1-051	2015 actual	2016 est.	2017 est.
Direct loan subsidy outlays:			
134001 Family Housing Improvement Fund Direct Loans .....	14	19	18
Direct loan reestimates:			
135001 Family Housing Improvement Fund Direct Loans .....	-62	-19	
Guaranteed loan subsidy outlays:			
234001 Family Housing Improvement Fund Guaranteed Loans .....	6	19	6
Guaranteed loan reestimates:			
235001 Family Housing Improvement Fund Guaranteed Loans .....	1	-1	
Administrative expense data:			
3510 Budget authority .....	1	3	3
3590 Outlays from new authority .....	1	3	3

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with investments obligated, the direct loans obligated and loan guarantees committed in 1996 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 097-0834-0-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....		1	1
33.0 Investments and loans .....	96	56	
41.0 Grants, subsidies, and contributions .....	13	2	
99.9 Total new obligations .....	110	61	3

**FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 097-4166-0-3-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	61	65	68
0742 Downward reestimate paid to receipt account .....	62	14	
0743 Interest on downward reestimates .....	10	7	
0900 Total new obligations .....	133	86	68

**Budgetary resources:**

Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	81	21	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	91	93	98
1801 Change in uncollected payments, Federal sources .....	-14	-19	-18
1825 Spending authority from offsetting collections applied to repay debt .....	-25	-9	-15
1850 Spending auth from offsetting collections, mand (total) .....	52	65	65
1900 Budget authority (total) .....	133	86	68
1930 Total budgetary resources available .....	133	86	68

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	485	410	226
3010 Obligations incurred, unexpired accounts .....	133	86	68
3020 Outlays (gross) .....	-208	-270	-139
3050 Unpaid obligations, end of year .....	410	226	155
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-86	-72	-53

FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 097-4166-0-3-051	2015 actual	2016 est.	2017 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	14	19	18
3090 Uncollected pymts, Fed sources, end of year .....	-72	-53	-35
3100 Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	399	338	173
3200 Obligated balance, end of year .....	338	173	120
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	133	86	68
4110 Financing disbursements:			
4110 Outlays, gross (total) .....	208	270	139
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account .....	-14	-19	-18
4120 Upward reestimate .....	-9	-1	
4120 Interest on upward reestimate .....	-1		
4122 Interest on uninvested funds .....	-2		
4123 Repayment of loan principal, net .....	-11	-16	-19
4123 Interest received on loans .....	-54	-57	-61
4130 Offsets against gross budget authority and outlays (total) ....	-91	-93	-98
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	14	19	18
4160 Budget authority, net (mandatory) .....	56	12	-12
4170 Outlays, net (mandatory) .....	117	177	41
4180 Budget authority, net (total) .....	56	12	-12
4190 Outlays, net (total) .....	117	177	41

Status of Direct Loans (in millions of dollars)

Identification code 097-4166-0-3-051	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,495	1,558	1,723
1231 Disbursements: Direct loan disbursements .....	74	183	68
1251 Repayments: Repayments and prepayments .....	-11	-16	-19
1263 Write-offs for default: Direct loans .....		-2	-3
1290 Outstanding, end of year .....	1,558	1,723	1,769

Balance Sheet (in millions of dollars)

Identification code 097-4166-0-3-051	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1106 Federal Assets: Receivables, net .....	15	
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,496	1,558
1405 Allowance for subsidy cost (-) .....	-43	-51
1499 Net present value of assets related to direct loans .....	1,453	1,507
1999 Total assets .....	1,468	1,507
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	1,392	1,507
2105 Other-Downward reestimate payables .....	76	
2999 Total liabilities .....	1,468	1,507
4999 Total liabilities and net position .....	1,468	1,507

FAMILY HOUSING IMPROVEMENT GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 097-4167-0-3-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		16	16
0742 Downward reestimate paid to receipt account .....	1	1	
0743 Interest on downward reestimates .....	1	1	
0900 Total new obligations .....	2	18	16

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	59	67	71
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10	22	16
1930 Total budgetary resources available .....	69	89	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	67	71	71

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	18	16
3020 Outlays (gross) .....	-2	-18	-16

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	10	22	16
Financing disbursements:			
4110 Outlays, gross (total) .....	2	18	16
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account .....	-5	-19	-6
4120 Upward reestimate .....	-3	-1	
4122 Interest on uninvested funds .....	-2	-2	-2
4123 Non-Federal sources: Other (Recoveries) .....			-8
4130 Offsets against gross budget authority and outlays (total) ....	-10	-22	-16
4170 Outlays, net (mandatory) .....	-8	-4	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-8	-4	

Status of Guaranteed Loans (in millions of dollars)

Identification code 097-4167-0-3-051	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			
2150 Total guaranteed loan commitments .....			
2199 Guaranteed amount of guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	732	793	922
2231 Disbursements of new guaranteed loans .....	70	155	40
2251 Repayments and prepayments .....	-9	-10	-11
2262 Adjustments: Terminations for default that result in acquisition of property .....		-16	-16
2290 Outstanding, end of year .....	793	922	935

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	793	922	935
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Balance Sheet (in millions of dollars)

Identification code 097-4167-0-3-051	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	59	67
Investments in US securities:		
1106 Receivables, net .....	5	
1999 Total assets .....	64	67
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other: Downward reestimate payables .....	5	
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	59	67
2999 Total liabilities .....	64	67
4999 Total liabilities and net position .....	64	67

REVOLVING AND MANAGEMENT FUNDS

Resources presented under the Revolving and Management Funds title support logistics and other infrastructure activities under the authority of 10 U.S.C. 2208 and other sections to accept customer reimbursable orders to meet customer needs. The activities include depot maintenance, supply management, distribution depots, transportation services, Navy research

and development, finance and accounting services, information systems and telecommunications services, and commissaries, among others.

**Federal Funds**

NATIONAL DEFENSE STOCKPILE TRANSACTION FUND

**Program and Financing** (in millions of dollars)

Identification code 097-4555-0-3-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0804 Civilian pay benefits .....	41	72	68
0807 Payments to receipt accounts .....	29	21	.....
0900 Total new obligations .....	70	93	68
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	202	210	196
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	204	210	196
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	61	79	12
1802 Offsetting collections (previously unavailable) .....	42	27	27
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-27	-27	.....
1850 Spending auth from offsetting collections, mand (total) .....	76	79	39
1900 Budget authority (total) .....	76	79	39
1930 Total budgetary resources available .....	280	289	235
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	210	196	167
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	23	29
3010 Obligations incurred, unexpired accounts .....	70	93	68
3020 Outlays (gross) .....	-73	-87	-71
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	23	29	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	23	29
3200 Obligated balance, end of year .....	23	29	26
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	76	79	39
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	37	25
4101 Outlays from mandatory balances .....	73	50	46
4110 Outlays, gross (total) .....	73	87	71
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-61	-79	-12
4180 Budget authority, net (total) .....	15	.....	27
4190 Outlays, net (total) .....	12	8	59
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	42	27	27
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	27	27	.....

The National Defense Stockpile program is managed under the authority of the Strategic and Critical Materials Stockpiling Act. The purpose of the Stockpile is to decrease or preclude U.S. dependence on foreign sources for supplies of strategic and critical materials in times of national emergency.

Revenues from the sales of excess commodities are either deposited into the National Defense Stockpile Transaction Fund to finance the National Defense Stockpile program or are transferred to the Treasury for specific congressionally mandated programs or to reduce the deficit.

**Object Classification** (in millions of dollars)

Identification code 097-4555-0-3-051	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5	7	6
11.5 Other personnel compensation .....	.....	1	1
11.9 Total personnel compensation .....	5	8	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	1	2	1
23.3 Communications, utilities, and miscellaneous charges .....	.....	1	1
25.1 Advisory and assistance services .....	9	11	12
25.2 Other services from non-Federal sources .....	19	21	18
25.3 Other goods and services from Federal sources .....	.....	1	1
25.4 Operation and maintenance of facilities .....	.....	2	1
26.0 Supplies and materials .....	4	23	24
94.0 Financial transfers .....	29	21	.....
99.9 Total new obligations .....	70	93	68

**Employment Summary**

Identification code 097-4555-0-3-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	65	86	85

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND

**Program and Financing** (in millions of dollars)

Identification code 097-4950-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Building operations .....	330	351	343
0802 Cyberspace .....	.....	8	8
0803 Pentagon Force Protection Agency .....	201	210	217
0805 Building operations - capital program .....	28	30	24
0807 Pentagon Force Protection Agency - capital program .....	9	5	12
0900 Total new obligations .....	568	604	604
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	49	31
1021 Recoveries of prior year unpaid obligations .....	12	.....	.....
1050 Unobligated balance (total) .....	34	49	31
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	413	586	602
1801 Change in uncollected payments, Federal sources .....	170	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	583	586	602
1900 Budget authority (total) .....	583	586	602
1930 Total budgetary resources available .....	617	635	633
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	49	31	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	352	480	502
3010 Obligations incurred, unexpired accounts .....	568	604	604
3020 Outlays (gross) .....	-428	-582	-601
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12	.....	.....
3050 Unpaid obligations, end of year .....	480	502	505
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-97	-267	-267
3070 Change in uncollected pymts, Fed sources, unexpired .....	-170	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-267	-267	-267
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	255	213	235
3200 Obligated balance, end of year .....	213	235	238
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	583	586	602
Outlays, gross:			
4100 Outlays from new mandatory authority .....	274	352	283
4101 Outlays from mandatory balances .....	154	230	318

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 097-4950-0-4-051	2015 actual	2016 est.	2017 est.
4110 Outlays, gross (total) .....	428	582	601
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-412	-586	-602
4123 Non-Federal sources .....	-1		
4130 Offsets against gross budget authority and outlays (total) ....	-413	-586	-602
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-170		
4170 Outlays, net (mandatory) .....	15	-4	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	15	-4	-1

The Pentagon Reservation Maintenance Revolving Fund was established by the 1991 National Defense Authorization Act and is codified in 10 U.S.C 2674. It provides for space, building services, deep underground relocation capability, and force protection for Department of Defense Components, including Military Departments and other activities located within the Pentagon Reservation and defense facilities in the National Capital Region.

Object Classification (in millions of dollars)

Identification code 097-4950-0-4-051	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	130	158	159
11.5 Other personnel compensation .....	20	7	7
11.9 Total personnel compensation .....	150	165	166
12.1 Civilian personnel benefits .....	48	47	47
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	4	
23.3 Communications, utilities, and miscellaneous charges .....	26	42	44
25.1 Advisory and assistance services .....	82	50	56
25.2 Other services from non-Federal sources .....	17	28	31
25.3 Other goods and services from Federal sources .....	60	50	48
25.3 Other goods and services from Federal sources .....			5
25.4 Operation and maintenance of facilities .....	109	106	113
25.7 Operation and maintenance of equipment .....	36	46	44
26.0 Supplies and materials .....	18	23	27
31.0 Equipment .....	17	18	18
32.0 Land and structures .....		24	4
99.9 Total new obligations .....	568	604	604

Employment Summary

Identification code 097-4950-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,601	1,783	1,753

NATIONAL DEFENSE SEALIFT FUND

For National Defense Sealift Fund programs, projects, and activities, and for expenses of the National Defense Reserve Fleet, as established by section 11 of the Merchant Ship Sales Act of 1946 (50 U.S.C. App. 1744), and for the necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$474,164,000, to remain available until expended: *Provided*, That none of the funds provided in this paragraph shall be used to award a new contract that provides for the acquisition of any of the following major components unless such components are manufactured in the United States: auxiliary equipment, including pumps, for all shipboard services; propulsion system components (engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes: *Provided further*, That the exercise of an option in a contract awarded through the obligation of previously appropriated funds shall not be considered to be the award of a new contract: *Provided further*, That none of the funds provided in this paragraph shall be used to award a new contract for the construction, acquisition, or conversion of vessels, including procurement of critical, long lead time components and designs for vessels to be constructed or converted in the future: *Provided further*, That the Secretary of the military department responsible for such procurement may waive the restrictions in the first proviso on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Rep-

resentatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes. (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 017-4557-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction and Conversion .....	37	15	
0002 Operations, Maintenance and Lease .....	158	161	
0004 Research and Development .....	22	25	
0005 Ready Reserve Forces .....	291	273	
0799 Total direct obligations .....	508	474	
0801 Strategic Sealift Acquisition .....	219		
0900 Total new obligations .....	727	474	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	45	70	70
1010 Unobligated balance transfer to other accts [097-9999] .....	-5		
1021 Recoveries of prior year unpaid obligations .....	70		
1050 Unobligated balance (total) .....	110	70	70
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	485	474	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	284		
1701 Change in uncollected payments, Federal sources .....	-82		
1750 Spending auth from offsetting collections, disc (total) .....	202		
1900 Budget authority (total) .....	687	474	
1930 Total budgetary resources available .....	797	544	70
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	70	70	70

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	648	315	355
3010 Obligations incurred, unexpired accounts .....	727	474	
3020 Outlays (gross) .....	-990	-434	-220
3040 Recoveries of prior year unpaid obligations, unexpired .....	-70		
3050 Unpaid obligations, end of year .....	315	355	135
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-264	-182	-182
3070 Change in uncollected pymts, Fed sources, unexpired .....	82		
3090 Uncollected pymts, Fed sources, end of year .....	-182	-182	-182
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	384	133	173
3200 Obligated balance, end of year .....	133	173	-47

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	687	474	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	568	190	
4011 Outlays from discretionary balances .....	422	244	220
4020 Outlays, gross (total) .....	990	434	220
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-284		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	82		
4070 Budget authority, net (discretionary) .....	485	474	
4080 Outlays, net (discretionary) .....	706	434	220
4180 Budget authority, net (total) .....	485	474	
4190 Outlays, net (total) .....	706	434	220

Object Classification (in millions of dollars)

Identification code 017-4557-0-4-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1		
25.1 Advisory and assistance services .....	8		
25.2 Other services from non-Federal sources .....	23		
25.3 Other goods and services from Federal sources .....	473	433	
26.0 Supplies and materials .....	2		
31.0 Equipment .....	1	41	

99.0	Direct obligations .....	508	474	.....
Reimbursable obligations:				
25.2	Other services from non-Federal sources .....	1	.....	.....
25.3	Other goods and services from Federal sources .....	117	.....	.....
26.0	Supplies and materials .....	2	.....	.....
31.0	Equipment .....	99	.....	.....
99.0	Reimbursable obligations .....	219	.....	.....
99.9	Total new obligations .....	727	474	.....

3200	Obligated balance, end of year .....	341	-629	-1,226
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	10,025	10,374	8,927
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6,006	4,747	3,153
4011	Outlays from discretionary balances .....	1,835	5,898	6,039
4020	Outlays, gross (total) .....	7,841	10,645	9,192
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4,483	-9,692	-8,534
4033	Non-Federal sources .....	-3,649	-487	-337
4040	Offsets against gross budget authority and outlays (total) ...	-8,132	-10,179	-8,871
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,654	.....	.....
4070	Budget authority, net (discretionary) .....	239	195	56
4080	Outlays, net (discretionary) .....	-291	466	321
Mandatory:				
4090	Budget authority, gross .....	649	.....	.....
4180	Budget authority, net (total) .....	888	195	56
4190	Outlays, net (total) .....	-291	466	321

**DEFENSE WORKING CAPITAL FUNDS**

For the Defense Working Capital Funds, **[\$1,738,768,000]** \$1,371,613,000. (Department of Defense Appropriations Act, 2016.)

**WORKING CAPITAL FUND, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 097-493001-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Industrial operations .....	225	145	.....
0004 Supply management .....	26	50	56
0799 Total direct obligations .....	251	195	56
0801 Industrial operations .....	5,138	4,658	4,103
0804 Supply management .....	5,346	4,693	4,332
0809 Subtotal, Operations Programs .....	10,484	9,351	8,435
0811 Industrial .....	82	85	89
0814 Supply management .....	46	44	15
0819 Subtotal, Capital Programs .....	128	129	104
0899 Total reimbursable obligations .....	10,612	9,480	8,539
0900 Total new obligations .....	10,863	9,675	8,595
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,784	2,523	3,222
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,784	.....	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-555	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1,154	.....	.....
1025 Unobligated balance of contract authority withdrawn .....	-671	.....	.....
1050 Unobligated balance (total) .....	2,712	2,523	3,222
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,741	1,826	1,371
1120 Appropriations transferred to other accts [097-9999] .....	-1,502	-1,631	-1,315
1160 Appropriation, discretionary (total) .....	239	195	56
Contract authority, mandatory:			
1600 Contract authority .....	5,497	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8,132	10,179	8,871
1701 Change in uncollected payments, Federal sources .....	1,654	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	9,786	10,179	8,871
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-4,848	.....	.....
1900 Budget authority (total) .....	10,674	10,374	8,927
1930 Total budgetary resources available .....	13,386	12,897	12,149
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,523	3,222	3,554
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,143	9,011	8,041
3010 Obligations incurred, unexpired accounts .....	10,863	9,675	8,595
3020 Outlays (gross) .....	-7,841	-10,645	-9,192
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,154	.....	.....
3050 Unpaid obligations, end of year .....	9,011	8,041	7,444
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7,016	-8,670	-8,670
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,654	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-8,670	-8,670	-8,670
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	127	341	-629

<b>Memorandum (non-add) entries:</b>				
5052	Obligated balance, SOY: Contract authority .....	1,075	1,053	1,053
5053	Obligated balance, EOY: Contract authority .....	1,053	1,053	1,053

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	888	195	56
Outlays .....	-291	466	321
Overseas contingency operations:			
Budget Authority .....	.....	.....	47
Outlays .....	.....	.....	40
Total:			
Budget Authority .....	888	195	103
Outlays .....	-291	466	361

The Army Working Capital Fund (AWCF) finances industrial and supply operations of the Army. The AWCF finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The AWCF uses cost accounting and business management techniques to provide DOD Managers with information that can be used to monitor, control, and minimize the cost of operations.

**Object Classification** (in millions of dollars)

Identification code 097-493001-0-4-051	2015 actual	2016 est.	2017 est.
26.0 Direct obligations: Supplies and materials .....	251	195	56
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,135	1,216	1,219
11.3 Other than full-time permanent .....	15	72	71
11.5 Other personnel compensation .....	162	170	141
11.8 Special personal services payments .....	3	3	4
11.9 Total personnel compensation .....	1,315	1,461	1,435
12.1 Civilian personnel benefits .....	500	457	451
13.0 Benefits for former personnel .....	2	9	6
21.0 Travel and transportation of persons .....	28	31	30
22.0 Transportation of things .....	52	93	93
23.1 Rental payments to GSA .....	13	13	13
23.2 Rental payments to others .....	7	5	5
23.3 Communications, utilities, and miscellaneous charges .....	75	70	70
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	151	108	109
25.2 Other services from non-Federal sources .....	685	471	361
25.3 Purchases of goods and services from other Federal Agencies .....	306	279	264
25.3 Other goods and services from Federal sources .....	473	355	347
25.4 Operation and maintenance of facilities .....	191	256	115
25.7 Operation and maintenance of equipment .....	124	66	61
26.0 Supplies and materials .....	6,471	5,603	5,013
31.0 Equipment .....	218	202	165
99.0 Reimbursable obligations .....	10,612	9,480	8,539

WORKING CAPITAL FUND, ARMY—Continued  
Object Classification—Continued

Identification code 097-493001-0-4-051	2015 actual	2016 est.	2017 est.
99.9 Total new obligations .....	10,863	9,675	8,595

Employment Summary

Identification code 097-493001-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	21,492	21,662	21,101

WORKING CAPITAL FUND, NAVY

Program and Financing (in millions of dollars)

Identification code 097-493002-0-4-051	2015 actual	2016 est.	2017 est.
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Obligations by program activity:

0801 Supply management - Navy .....	7,731	6,697	6,682
0802 Supply Management - Marine Corps .....	107	108	84
0803 Depot maintenance - Aviation .....	1,995	2,098	2,140
0805 Depot maintenance - Marine Corps .....	549	558	451
0806 Base support .....	3,133	3,244	3,108
0807 Transportation .....	3,380	2,894	2,691
0808 Research and development activities .....	12,764	12,697	12,803
0809 Subtotal, Operations Programs .....	29,659	28,296	27,959
0820 Reimbursable program .....	4	5	8
0822 Depot maintenance - Aviation .....	28	52	48
0824 Depot maintenance - Marine Corps .....	7	5	5
0825 Base support .....	17	29	15
0826 Transportation .....	3	13	13
0827 Research and development activities .....	108	150	131
0829 Subtotal, Capital Programs .....	167	254	220
0900 Total new obligations .....	29,826	28,550	28,179

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,266	2,280	2,015
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,266		
1021 Recoveries of prior year unpaid obligations .....	2,039		
1025 Unobligated balance of contract authority withdrawn .....	-1,477		
1050 Unobligated balance (total) .....	3,828	2,280	2,015
Budget authority:			
Contract authority, mandatory:			
1600 Contract authority .....	8,007		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	27,515	28,285	28,043
1701 Change in uncollected payments, Federal sources .....	-639		
1750 Spending auth from offsetting collections, disc (total) .....	26,876	28,285	28,043
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-6,605		
1900 Budget authority (total) .....	28,278	28,285	28,043
1930 Total budgetary resources available .....	32,106	30,565	30,058
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,280	2,015	1,879

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,575	12,969	13,188
3010 Obligations incurred, unexpired accounts .....	29,826	28,550	28,179
3020 Outlays (gross) .....	-27,393	-28,331	-27,453
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2,039		
3050 Unpaid obligations, end of year .....	12,969	13,188	13,914
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12,754	-12,115	-12,115
3070 Change in uncollected pymts, Fed sources, unexpired .....	639		
3090 Uncollected pymts, Fed sources, end of year .....	-12,115	-12,115	-12,115
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-179	854	1,073
3200 Obligated balance, end of year .....	854	1,073	1,799

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	26,876	28,285	28,043

Outlays, gross:			
4010 Outlays from new discretionary authority .....	26,636	19,234	16,265
4011 Outlays from discretionary balances .....	757	9,097	11,188
4020 Outlays, gross (total) .....	27,393	28,331	27,453
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-27,253	-27,100	-26,646
4033 Non-Federal sources .....	-262	-1,185	-1,397
4040 Offsets against gross budget authority and outlays (total) ....	-27,515	-28,285	-28,043
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	639		
4080 Outlays, net (discretionary) .....	-122	46	-590
Mandatory:			
4090 Budget authority, gross .....	1,402		
4180 Budget authority, net (total) .....	1,402		
4190 Outlays, net (total) .....	-122	46	-590

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority .....	2,329	2,254	2,254
5053 Obligated balance, EOY: Contract authority .....	2,254	2,254	2,254

The Navy Working Capital Fund (NWCF) finances the operations of Navy industrial, logistical, and commercial and support-type activities. These activities include Marine Corps Depot Maintenance, Marine Corps Supply, Navy Supply, Fleet Readiness Centers (Aviation Depots), Research and Development, Military Sealift Command (MSC) and Base Operations. The NWCF finances operating and capital costs (excluding Military Construction) through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The NWCF uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493002-0-4-051	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6,514	6,628	6,650
11.3 Other than full-time permanent .....	54	86	84
11.5 Other personnel compensation .....	611	577	589
11.8 Special personal services payments .....	96	83	85
11.9 Total personnel compensation .....	7,275	7,374	7,408
12.1 Civilian personnel benefits .....	2,161	2,254	2,259
13.0 Benefits for former personnel .....	4	4	4
21.0 Travel and transportation of persons .....	343	347	348
22.0 Transportation of things .....	161	201	202
23.1 Rental payments to GSA .....	55	68	65
23.2 Rental payments to others .....	381	351	306
23.3 Communications, utilities, and miscellaneous charges .....	1,337	1,526	1,489
24.0 Printing and reproduction .....	7	11	11
25.1 Advisory and assistance services .....	40	48	51
25.2 Other services from non-Federal sources .....	493	450	462
25.3 Purchases of goods and services from other Federal Agencies .....	492	446	454
25.3 Purchases of goods and services from other Federal Agencies .....	24	31	30
25.3 Purchases from revolving funds .....	663	813	767
25.4 Operation and maintenance of facilities including GOCOs .....	794	847	780
25.5 Research and development contracts .....	3,106	3,361	3,421
25.7 Contract operation and maintenance of equipment including ADP hard/software .....	1,357	1,198	1,226
26.0 Supplies and materials .....	10,309	8,229	7,942
31.0 Equipment .....	772	905	893
32.0 Land and structures .....	52	86	61
99.9 Total new obligations .....	29,826	28,550	28,179

Employment Summary

Identification code 097-493002-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	78,475	78,931	78,586

WORKING CAPITAL FUND, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 097-493003-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Transportation .....	8	2	.....
0003 CSAG Maintenance .....	62	63	64
0799 Total direct obligations .....	70	65	64
0801 Transportation .....	8,053	6,581	6,041
0802 CSAG supply .....	5,280	5,872	5,825
0803 Supply management .....	3,730	4,087	4,087
0804 CSAG maintenance .....	6,156	6,239	6,526
0809 Subtotal, Operations Programs .....	23,219	22,779	22,479
0810 CSAG maintenance .....	132	148	156
0811 Transportation .....	83	103	114
0812 CSAG supply .....	.....	15	16
0819 Subtotal, Capital Programs .....	215	266	286
0899 Total reimbursable obligations .....	23,434	23,045	22,765
0900 Total new obligations .....	23,504	23,110	22,829

Identification code 097-493003-0-4-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,164	2,860	2,568
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,164	.....	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-568	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	368	.....	.....
1021 Recoveries of prior year unpaid obligations .....	37	.....	.....
1025 Unobligated balance of contract authority withdrawn .....	-37	.....	.....
1050 Unobligated balance (total) .....	1,964	2,860	2,568
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999] .....	67	65	64
Contract authority, mandatory:			
1600 Contract authority .....	9,344	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	24,387	22,753	22,199
1701 Change in uncollected payments, Federal sources .....	-94	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	24,293	22,753	22,199
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-9,304	.....	.....
1900 Budget authority (total) .....	24,400	22,818	22,263
1930 Total budgetary resources available .....	26,364	25,678	24,831
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,860	2,568	2,002

Identification code 097-493003-0-4-051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,541	8,654	8,685
3010 Obligations incurred, unexpired accounts .....	23,504	23,110	22,829
3020 Outlays (gross) .....	-23,354	-23,079	-22,725
3040 Recoveries of prior year unpaid obligations, unexpired .....	-37	.....	.....
3050 Unpaid obligations, end of year .....	8,654	8,685	8,789
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6,456	-6,362	-6,362
3070 Change in uncollected pymts, Fed sources, unexpired .....	94	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6,362	-6,362	-6,362
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,085	2,292	2,323
3200 Obligated balance, end of year .....	2,292	2,323	2,427

Identification code 097-493005-0-4-051	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24,360	22,818	22,263
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21,825	16,438	16,037
4011 Outlays from discretionary balances .....	1,529	6,641	6,688
4020 Outlays, gross (total) .....	23,354	23,079	22,725
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-23,975	-21,835	-21,364
4033 Non-Federal sources .....	-412	-918	-835
4040 Offsets against gross budget authority and outlays (total) .....	-24,387	-22,753	-22,199
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	94	.....	.....
4070 Budget authority, net (discretionary) .....	67	65	64

4080 Outlays, net (discretionary) .....	-1,033	326	526
Mandatory:			
4090 Budget authority, gross .....	40	.....	.....
4180 Budget authority, net (total) .....	107	65	64
4190 Outlays, net (total) .....	-1,033	326	526

<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	2,719	2,722	2,722
5053 Obligated balance, EOY: Contract authority .....	2,722	2,722	2,722

The Air Force Working Capital Fund (AFWCF) finances the operations of the Air Force and the United States Transportation Command's industrial, logistical, and commercial activities. Activities performed include depot maintenance, supply support, and the transport of cargo and personnel. The AFWCF finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The AFWCF uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493003-0-4-051	2015 actual	2016 est.	2017 est.
26.0 Direct obligations: Supplies and materials .....	70	65	64
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,577	1,709	1,728
11.5 Other personnel compensation .....	293	243	246
11.8 Special personal services payments .....	55	60	61
11.9 Total personnel compensation .....	1,925	2,012	2,035
12.1 Civilian personnel benefits .....	850	902	914
13.0 Benefits for former personnel .....	6	1	1
21.0 Travel and transportation of persons .....	88	94	99
22.0 Transportation of things .....	3,804	3,215	3,055
23.2 Rental payments to others .....	7	11	11
23.3 Communications, utilities, and miscellaneous charges .....	150	176	187
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	256	454	275
25.2 Other services from non-Federal sources .....	644	317	307
25.3 Other goods and services from Federal sources .....	230	289	289
25.3 Purchases of goods and services from other Federal Agencies .....	8	15	15
25.3 Purchases from revolving funds .....	3,867	3,924	4,196
25.4 Operation and maintenance of facilities including GOCOs .....	186	211	198
25.7 Contract operation and maintenance of equipment including ADP hard/software .....	1,368	1,314	1,351
26.0 Supplies and materials .....	9,730	9,854	9,549
31.0 Equipment .....	314	255	282
99.0 Reimbursable obligations .....	23,434	23,045	22,765
99.9 Total new obligations .....	23,504	23,110	22,829

Employment Summary

Identification code 097-493003-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	29,782	30,463	30,861

WORKING CAPITAL FUND, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-493005-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Energy Management .....	47	47	.....
0008 Supply chain management .....	84	84	37
0799 Total direct obligations .....	131	131	37
0803 Defense Automated Printing Service .....	313	376	393
0804 Defense Financial Operations .....	1,270	1,329	1,348
0805 Information services .....	5,866	6,545	6,614
0806 Energy management .....	14,138	10,905	10,385
0807 COMP services .....	982	965	945
0808 Supply chain management .....	25,590	22,827	22,592
0809 Subtotal, Operations Programs .....	48,159	42,947	42,277

WORKING CAPITAL FUND, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 097-493005-0-4-051	2015 actual	2016 est.	2017 est.
0812 Defense Automated Printing Service .....		3	
0813 Defense Financial Operations .....	20	34	40
0814 Information services .....	25	45	7
0816 COMP services .....	39	46	40
0817 Energy management .....	41	64	64
0818 Supply chain management .....	77	112	108
0819 Subtotal, Capital Programs .....	202	304	259
0899 Total reimbursable obligations .....	48,361	43,251	42,536
0900 Total new obligations .....	48,492	43,382	42,573
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	479	578	1,161
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	479		
1010 Unobligated balance transfer to other accts [097-9999] .....	-1,206		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		1,037	
1021 Recoveries of prior year unpaid obligations .....	9,889		
1025 Unobligated balance of contract authority withdrawn .....	-8,618		
1050 Unobligated balance (total) .....	544	1,615	1,161
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999] .....	131	131	37
1131 Unobligated balance of appropriations permanently reduced .....		-1,037	
1160 Appropriation, discretionary (total) .....	131	-906	37
Contract authority, mandatory:			
1600 Contract authority .....	39,941		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	46,568	43,834	41,928
1701 Change in uncollected payments, Federal sources .....	-1,299		
1750 Spending auth from offsetting collections, disc (total) .....	45,269	43,834	41,928
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-36,815		
1900 Budget authority (total) .....	48,526	42,928	41,965
1930 Total budgetary resources available .....	49,070	44,543	43,126
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	578	1,161	553
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26,189	21,542	20,883
3010 Obligations incurred, unexpired accounts .....	48,492	43,382	42,573
3020 Outlays (gross) .....	-43,250	-44,041	-42,324
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9,889		
3050 Unpaid obligations, end of year .....	21,542	20,883	21,132
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12,785	-11,486	-11,486
3070 Change in uncollected pymts, Fed sources, unexpired .....	1,299		
3090 Uncollected pymts, Fed sources, end of year .....	-11,486	-11,486	-11,486
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13,404	10,056	9,397
3200 Obligated balance, end of year .....	10,056	9,397	9,646
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45,400	42,928	41,965
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40,513	26,056	21,834
4011 Outlays from discretionary balances .....	2,737	17,985	20,490
4020 Outlays, gross (total) .....	43,250	44,041	42,324
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-44,969	-41,840	-40,043
4033 Non-Federal sources .....	-1,599	-1,994	-1,885
4040 Offsets against gross budget authority and outlays (total) .....	-46,568	-43,834	-41,928
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1,299		
4060 Additional offsets against budget authority only (total) .....	1,299		
4070 Budget authority, net (discretionary) .....	131	-906	37
4080 Outlays, net (discretionary) .....	-3,318	207	396

Mandatory:			
4090 Budget authority, gross .....	3,126		
4180 Budget authority, net (total) .....	3,257	-906	37
4190 Outlays, net (total) .....	-3,318	207	396

Memorandum (non-add) entries:			
5052 Obligated balance, SOY: Contract authority .....	12,247	6,755	6,755
5053 Obligated balance, EOY: Contract authority .....	6,755	6,755	6,755

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,257	-906	37
Outlays .....	-3,318	207	396
Overseas contingency operations:			
Budget Authority .....			94
Outlays .....			80
Total:			
Budget Authority .....	3,257	-906	131
Outlays .....	-3,318	207	476

The Defense-Wide Working Capital Fund finances the commercial and support-type operations of the Defense Logistics Agency, the Defense Finance and Accounting Service, and the Defense Information Systems Agency. The Fund supports activities such as the Department's finance and accounting operations, information systems to include command and control systems and enterprise infrastructure, global acquisition and delivery of fuel, and document printing and distribution services. The Fund finances operating and capital expenses (excluding Military Construction) through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize its cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493005-0-4-051	2015 actual	2016 est.	2017 est.
26.0 Direct obligations: Supplies and materials .....	131	131	37
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2,501	2,709	2,702
11.3 Other than full-time permanent .....	96	105	106
11.5 Other personnel compensation .....	167	190	174
11.8 Special personal services payments .....	76	68	70
11.9 Total personnel compensation .....	2,840	3,072	3,052
12.1 Civilian personnel benefits .....	902	904	932
13.0 Benefits for former personnel .....	12	18	19
21.0 Travel and transportation of persons .....	61	58	59
22.0 Transportation of things .....	838	842	876
23.1 Rental payments to GSA .....	41	53	54
23.2 Rental payments to others .....	85	140	147
23.3 Communications, utilities, and miscellaneous charges .....	1,960	2,018	1,950
24.0 Printing and reproduction .....	79	94	96
25.1 Advisory and assistance services .....	34	50	45
25.2 Other services from non-Federal sources .....	602	851	817
25.3 Purchases of goods and services from other Federal Agencies .....	1,457	1,360	1,675
25.3 Payments to foreign national indirect hire personnel .....	18	24	24
25.3 Purchases from revolving funds .....	687	837	974
25.4 Operation and maintenance of facilities including GOCOs .....	1,322	1,282	1,330
25.5 Research and development contracts .....	82	83	85
25.7 Contract operation and maintenance of equipment including ADP hard/software .....	3,253	3,708	3,952
26.0 Supplies and materials .....	32,978	26,775	25,411
31.0 Equipment .....	1,059	1,035	983
32.0 Land and structures .....	51	46	54
43.0 Interest and dividends .....		1	1
99.0 Reimbursable obligations .....	48,361	43,251	42,536
99.9 Total new obligations .....	48,492	43,382	42,573

**Employment Summary**

Identification code 097-493005-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	37,677	39,276	39,042

WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY

**Program and Financing** (in millions of dollars)

Identification code 097-493004-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Commissary Operations .....	1,307	1,435	1,214
0801 Commissary resale stocks .....	5,545	5,581	5,600
0802 Commissary operations .....	10	32	231
0810 Capital program .....	16	10	20
0899 Total reimbursable obligations .....	5,571	5,623	5,851
0900 Total new obligations .....	6,878	7,058	7,065
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	213	220	628
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	213		
1050 Unobligated balance (total) .....	213	220	628
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999] ....	1,305	1,435	1,214
Contract authority, mandatory:			
1600 Contract authority .....	5,567		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5,546	6,031	5,710
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	5,545	6,031	5,710
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-5,532		
1900 Budget authority (total) .....	6,885	7,466	6,924
1930 Total budgetary resources available .....	7,098	7,686	7,552
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	220	628	487
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	620	593	243
3010 Obligations incurred, unexpired accounts .....	6,878	7,058	7,065
3020 Outlays (gross) .....	-6,905	-7,408	-7,181
3050 Unpaid obligations, end of year .....	593	243	127
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	618	592	242
3200 Obligated balance, end of year .....	592	242	126
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,850	7,466	6,924
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,410	6,793	6,309
4011 Outlays from discretionary balances .....	495	615	872
4020 Outlays, gross (total) .....	6,905	7,408	7,181
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-7	-7
4033 Non-Federal sources .....	-5,542	-6,024	-5,703
4040 Offsets against gross budget authority and outlays (total) ....	-5,546	-6,031	-5,710
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	1,305	1,435	1,214
4080 Outlays, net (discretionary) .....	1,359	1,377	1,471
Mandatory:			
4090 Budget authority, gross .....	35		
4180 Budget authority, net (total) .....	1,340	1,435	1,214

4190 Outlays, net (total) .....	1,359	1,377	1,471
<b>Memorandum (non-add) entries:</b>			
5050 Unobligated balance, SOY: Contract authority .....			155
5051 Unobligated balance, EOY: Contract authority .....		155	271
5052 Obligated balance, SOY: Contract authority .....	363	398	243
5053 Obligated balance, EOY: Contract authority .....	398	243	127

The Defense Commissary Agency Working Capital Fund finances the cost of Commissary Operations and Resale Stocks activities. Commissary Operations pays the operating costs of 240 commissaries worldwide, agency and region headquarters, and support services. Costs include civilian pay, transportation of commissary goods overseas, rewarehousing, shelf stocking, janitorial services in each commissary, and base support as a tenant organization. Resale Stocks pays for the purchase of inventory for resale to commissary patrons.

**Object Classification** (in millions of dollars)

Identification code 097-493004-0-4-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	335	344	301
11.3 Other than full-time permanent .....	190	194	164
11.5 Other personnel compensation .....	41	42	36
11.9 Total personnel compensation .....	566	580	501
12.1 Civilian personnel benefits .....	168	184	156
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	6	9	7
22.0 Transportation of things .....	172	164	128
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....		76	64
23.3 Communications, utilities, and miscellaneous charges .....	69	76	65
25.2 Other services from non-Federal sources .....	37	54	46
25.3 Other goods and services from Federal sources .....	28	31	26
25.3 Other goods and services from Federal sources .....	43	59	50
25.3 Other goods and services from Federal sources .....	33	41	35
25.4 Operation and maintenance of facilities .....	144	143	121
25.7 Operation and maintenance of equipment .....	8	11	9
26.0 Supplies and materials .....	28		
31.0 Equipment .....	2	4	3
99.0 Direct obligations .....	1,307	1,435	1,214
Reimbursable obligations:			
26.0 Supplies and materials .....	5,545	5,615	5,843
31.0 Equipment .....	26	8	8
99.0 Reimbursable obligations .....	5,571	5,623	5,851
99.9 Total new obligations .....	6,878	7,058	7,065

**Employment Summary**

Identification code 097-493004-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	12,586	13,013	13,027

BUILDINGS MAINTENANCE FUND

**Program and Financing** (in millions of dollars)

Identification code 097-4931-0-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Operation and maintenance .....	319	343	346
0802 Pentagon Force Protection Agency .....	53	57	49
0900 Total new obligations .....	372	400	395
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	49	59	51
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	51	59	51
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	332	392	395
1801 Change in uncollected payments, Federal sources .....	48		

BUILDINGS MAINTENANCE FUND—Continued  
Program and Financing—Continued

Identification code 097-4931-0-4-051	2015 actual	2016 est.	2017 est.
1850 Spending auth from offsetting collections, mand (total) .....	380	392	395
1900 Budget authority (total) .....	380	392	395
1930 Total budgetary resources available .....	431	451	446
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	59	51	51
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	120	184	203
3010 Obligations incurred, unexpired accounts .....	372	400	395
3020 Outlays (gross) .....	-306	-381	-396
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	184	203	202
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-133	-181	-181
3070 Change in uncollected pymts, Fed sources, unexpired .....	-48		
3090 Uncollected pymts, Fed sources, end of year .....	-181	-181	-181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-13	3	22
3200 Obligated balance, end of year .....	3	22	21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	380	392	395
Outlays, gross:			
4100 Outlays from new mandatory authority .....	100	278	253
4101 Outlays from mandatory balances .....	206	103	143
4110 Outlays, gross (total) .....	306	381	396
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-331	-392	-395
4123 Non-Federal sources .....	-1		
4130 Offsets against gross budget authority and outlays (total) ....	-332	-392	-395
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-48		
4170 Outlays, net (mandatory) .....	-26	-11	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-26	-11	1

The Buildings Maintenance Fund was established in accordance with direction from Congress in the 1994 Appropriations Conference Report for the General Services Administration and operates under the authority provided in 10 U.S.C. 2208. It provides for operation and maintenance of two federally owned and 14 leased facilities occupied by DOD in the Washington Metropolitan area.

Object Classification (in millions of dollars)

Identification code 097-4931-0-4-051	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	20	21	21
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	21	22	22
12.1 Civilian personnel benefits .....	6	6	6
22.0 Transportation of things .....	4	4	4
23.1 Rental payments to GSA .....	245	257	257
23.3 Communications, utilities, and miscellaneous charges .....	10	8	8
25.1 Advisory and assistance services .....	10	12	10
25.2 Other services from non-Federal sources .....	23	27	27
25.3 Other goods and services from Federal sources .....			17
25.4 Operation and maintenance of facilities .....	33	41	37
25.7 Operation and maintenance of equipment .....	18	21	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	2
99.9 Total new obligations .....	372	400	395

Employment Summary

Identification code 097-4931-0-4-051	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	202	231	204

ALLOWANCES

Federal Funds

DEPARTMENT OF DEFENSE CLOSED ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 097-3999-0-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-29		
1026 Adjustment for DoD closed accounts .....	29		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	29		
3020 Outlays (gross) .....	-29		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	29		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	29		

TRUST FUNDS

Trust Funds

VOLUNTARY SEPARATION INCENTIVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8335-0-7-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	218	178	149
Receipts:			
Current law:			
1140 Payment to Voluntary Separation Incentive Fund .....	46	49	42
1140 Earnings on Investments .....	5	4	3
1199 Total current law receipts .....	51	53	45
1999 Total receipts .....	51	53	45
2000 Total: Balances and receipts .....	269	231	194
Appropriations:			
Current law:			
2101 Voluntary Separation Incentive Fund .....	-51	-53	-46
2103 Voluntary Separation Incentive Fund .....	-57	-29	-26
2134 Voluntary Separation Incentive Fund .....	17		
2199 Total current law appropriations .....	-91	-82	-72
2999 Total appropriations .....	-91	-82	-72
5099 Balance, end of year .....	178	149	122

Program and Financing (in millions of dollars)

Identification code 097-8335-0-7-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Voluntary Separation Incentive Fund (Direct) .....	91	82	72
0900 Total new obligations (object class 41.0) .....	91	82	72
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	51	53	46

1203	Appropriation (previously unavailable) .....	57	29	26
1234	Appropriations precluded from obligation .....	-17		
1260	Appropriations, mandatory (total) .....	91	82	72
1930	Total budgetary resources available .....	91	82	72
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4	
3010	Obligations incurred, unexpired accounts .....	91	82	72
3020	Outlays (gross) .....	-91	-86	-72
3050	Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	4	
3200	Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	91	82	72
Outlays, gross:				
4100	Outlays from new mandatory authority .....	87	82	72
4101	Outlays from mandatory balances .....	4	4	
4110	Outlays, gross (total) .....	91	86	72
4180	Budget authority, net (total) .....	91	82	72
4190	Outlays, net (total) .....	91	86	72
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	222	182	154
5001	Total investments, EOY: Federal securities: Par value .....	182	154	128

Section 662 of the National Defense Authorization Act for 1992 and 1993, Public Law 102-190, established the Voluntary Separation Incentive (VSI) Fund to more effectively manage and account for the costs of the Voluntary Separation Incentive program. The VSI program provides annual payments to former active-duty servicemembers who voluntarily left service after serving more than six but less than 20 years. For all members who left service after December 31, 1992, the Department of Defense was required to deposit the total present value of their future VSI benefits into the VSI fund by the time authority to approve VSI benefits ended on December 31, 2001. DOD was also required to cover the unfunded benefits of former members who separated before January 1, 1993 through yearly, actuarially-determined Government contributions from the DOD military personnel appropriations. Permanent authority to make these payments is contained in section 8044 of the 1997 Defense Appropriations Act. The fund also receives interest on its investments.

HOST NATION SUPPORT FUND FOR RELOCATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8337-0-7-051		2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	1	1	9
Receipts:				
Current law:				
1110	Contributions, Host National Support for U.S. Relocation Activities .....	147	124	126
1110	Contributions from Japan, Support for U.S. Relocation to Guam Activities .....	183	125	227
1140	Earnings on Investments, Support for U.S. Relocation to Guam Activities .....	2	8	16
1199	Total current law receipts .....	332	257	369
1999	Total receipts .....	332	257	369
2000	Total: Balances and receipts .....	333	258	378
Appropriations:				
Current law:				
2101	Host Nation Support Fund for Relocation .....	-332	-249	-353
5099	Balance, end of year .....	1	9	25

Program and Financing (in millions of dollars)

Identification code 097-8337-0-7-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0010	Concept Obligations Undistributed .....	186	622	253
0900	Total new obligations (object class 41.0) .....	186	622	253
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	851	997	624
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	332	249	353
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	37		
1801	Change in uncollected payments, Federal sources .....	-37		
1900	Budget authority (total) .....	332	249	353
1930	Total budgetary resources available .....	1,183	1,246	977
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	997	624	724
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	57	88	76
3010	Obligations incurred, unexpired accounts .....	186	622	253
3020	Outlays (gross) .....	-155	-634	-233
3050	Unpaid obligations, end of year .....	88	76	96
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	37		
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15	83	71
3200	Obligated balance, end of year .....	83	71	91
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	332	249	353
Outlays, gross:				
4100	Outlays from new mandatory authority .....	144	224	226
4101	Outlays from mandatory balances .....	11	410	7
4110	Outlays, gross (total) .....	155	634	233
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-37		
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	37		
4160	Budget authority, net (mandatory) .....	332	249	353
4170	Outlays, net (mandatory) .....	118	634	233
4180	Budget authority, net (total) .....	332	249	353
4190	Outlays, net (total) .....	118	634	233
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	857	303	701
5001	Total investments, EOY: Federal securities: Par value .....	303	701	829

Section 2350k of U.S.C. Title 10 established a trust fund for cash contributions from any nation in support of relocation of elements of the Armed Forces from or to any location within that nation. The Host Nation Support for Relocation account is financed through these cash contributions and interest accrued on the cash balances. Funds may be used to defray costs incurred in connection with the relocation for which the contribution was made.

DEPARTMENT OF DEFENSE GENERAL GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8163-0-7-051		2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....			7
Receipts:				
Current law:				
1130	Contributions, Department of Defense General Gift Fund Deposits, Department .....		7	7

DEPARTMENT OF DEFENSE GENERAL GIFT FUND—Continued  
**Special and Trust Fund Receipts—Continued**

Identification code 097-8163-0-7-051	2015 actual	2016 est.	2017 est.
2000 Total: Balances and receipts		7	14
5099 Balance, end of year		7	14

**Program and Financing** (in millions of dollars)

Identification code 097-8163-0-7-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	6	5	5
5001 Total investments, EOY: Federal securities: Par value	5	5	5

OTHER DOD TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 021-9971-0-7-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Deposits, Other DOD Trust Funds	75	5	5
1140 Interest, Other DOD Trust Funds		1	1
1140 Profits from Sale of Ships' Shores, Other DOD Trust Funds	12	20	20
1199 Total current law receipts	87	26	26
1999 Total receipts	87	26	26
2000 Total: Balances and receipts	87	26	26
Appropriations:			
Current law:			
2101 Other DOD Trust Funds	-87	-26	-25
2103 Other DOD Trust Funds	-1	-1	-1
2132 Other DOD Trust Funds	1	1	
2199 Total current law appropriations	-87	-26	-26
2999 Total appropriations	-87	-26	-26
5099 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 021-9971-0-7-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Other DoD trust funds	67	28	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	42	63	61
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	43	63	61
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	87	26	25
1203 Appropriation (previously unavailable)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1260 Appropriations, mandatory (total)	87	26	26
1900 Budget authority (total)	87	26	26
1930 Total budgetary resources available	130	89	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	63	61	59

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	17	12
3010 Obligations incurred, unexpired accounts	67	28	28
3020 Outlays (gross)	-76	-33	-30
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	17	12	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	17	12
3200 Obligated balance, end of year	17	12	10

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross	87	26	26
Outlays, gross:			
4100 Outlays from new mandatory authority	14	25	26
4101 Outlays from mandatory balances	62	8	4
4110 Outlays, gross (total)	76	33	30
4180 Budget authority, net (total)	87	26	26
4190 Outlays, net (total)	76	33	30

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	7	10	10
5001 Total investments, EOY: Federal securities: Par value	10	10	10

This fund includes gifts and bequests limited to specific purposes by the donors. In addition, it accounts for gifts and bequests, not limited to specific use by the donors, which may be used for purposes as determined by the Secretaries of the Army, Navy and Air Force.

**Object Classification** (in millions of dollars)

Identification code 021-9971-0-7-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
26.0 Supplies and materials	9		
31.0 Equipment	38		
41.0 Grants, subsidies, and contributions	20	28	28
99.9 Total new obligations	67	28	28

NATIONAL SECURITY EDUCATION TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-8168-0-7-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	1	1	1
2000 Total: Balances and receipts	1	1	1
5099 Balance, end of year	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 097-8168-0-7-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
1050 Unobligated balance (total)	4	4	4
1930 Total budgetary resources available	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	5	5	5
5001 Total investments, EOY: Federal securities: Par value	5	5	5

FOREIGN NATIONAL EMPLOYEES SEPARATION PAY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8165-0-7-051	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Foreign National Employees Separation Pay Trust Fund	16	44	44
2000 Total: Balances and receipts	16	44	44
Appropriations:			
Current law:			
2101 Foreign National Employees Separation Pay	-16	-44	-44
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-8165-0-7-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Foreign National Employees Separation Pay (Direct)	17	44	44
0900 Total new obligations (object class 13.0)	17	44	44
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	71	70	70
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	16	44	44
1930 Total budgetary resources available	87	114	114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	70	70	70

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	558	518	378
3010 Obligations incurred, unexpired accounts	17	44	44
3020 Outlays (gross)	-57	-184	-120
3050 Unpaid obligations, end of year	518	378	302
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	558	518	378
3200 Obligated balance, end of year	518	378	302

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	16	44	44
Outlays, gross:			
4100 Outlays from new mandatory authority		44	
4101 Outlays from mandatory balances	57	140	120
4110 Outlays, gross (total)	57	184	120
4180 Budget authority, net (total)	16	44	44
4190 Outlays, net (total)	57	184	120

This account funds separation payments for former Department of Defense employees who are not United States citizens and who worked outside the United States. The payments are determined according to the applicable labor laws of the various countries.

SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES, DEFENSE

Program and Financing (in millions of dollars)

Identification code 097-8164-0-8-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Surcharge Collections, Sales of Commissary Stores, Defense (Reimbursable)	268	339	316
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	64	73	20
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	281	292	292
1802 Offsetting collections (previously unavailable)	21	25	31

1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-25	-31	
1850 Spending auth from offsetting collections, mand (total)	277	286	323
1900 Budget authority (total)	277	286	323
1930 Total budgetary resources available	341	359	343
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	73	20	27

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	305	327	388
3010 Obligations incurred, unexpired accounts	268	339	316
3020 Outlays (gross)	-246	-278	-303
3050 Unpaid obligations, end of year	327	388	401
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	305	327	388
3200 Obligated balance, end of year	327	388	401

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	277	286	323
Outlays, gross:			
4100 Outlays from new mandatory authority		132	148
4101 Outlays from mandatory balances	246	146	155
4110 Outlays, gross (total)	246	278	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-281	-292	-292
4180 Budget authority, net (total)	-4	-6	31
4190 Outlays, net (total)	-35	-14	11

<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections	21	25	31
5092 Unexpired unavailable balance, EOY: Offsetting collections	25	31	

This fund was established in 1992 as a result of the consolidation of Defense Commissaries. The trust fund pays commissary costs to acquire (including leases), construct, convert, expand, improve, repair, maintain, and equip the physical infrastructure of commissary stores and central processing facilities of the Defense Commissary system. Surcharge funds are also utilized for real property, environmental evaluation, and construction costs including costs for surveys, administration, overhead, planning, and design. Title 10 of the United States Code prescribes costs which may be financed by the trust fund.

Object Classification (in millions of dollars)

Identification code 097-8164-0-8-051	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
23.3 Communications, utilities, and miscellaneous charges	2	4	4
25.4 Operation and maintenance of facilities	102	157	77
25.7 Operation and maintenance of equipment	63	101	120
31.0 Equipment	83	71	105
32.0 Land and structures	18	6	10
99.9 Total new obligations	268	339	316

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
017-143517 General Fund Proprietary Interest Receipts, not Otherwise Classified, Navy		1	1
017-321017 General Fund Proprietary Receipts, not Otherwise Classified, Navy	131	82	82
017-304117 Recoveries under the Foreign Military Sales Program, Navy	21	156	156
017-301900 Recoveries for Government Property Lost or Damaged	36	12	12
017-184000 Rent of Equipment and Other Personal Property		1	1
017-246200 Deposits for Survivor Annuity Benefits	20	21	21
021-304121 Recoveries under the Foreign Military Sales Program, Army	4	22	22
021-321021 General Fund Proprietary Receipts, not Otherwise Classified, Army	739	68	68

General Fund Receipt Accounts—Continued

	2015 actual	2016 est.	2017 est.
057-304157 Recoveries under the Foreign Military Sales Program, Air Force .....	30	41	41
057-321057 General Fund Proprietary Receipts, not Otherwise Classified, Air Force .....	103	97	97
097-223600 Sale of Certain Materials in National Defense Stockpile .....	29	21	.....
097-276130 Family Housing Improvement Fund, Downward Reestimates of Subsidies .....	74	22	.....
097-265197 Sale of Scrap and Salvage Materials .....	.....	1	1
097-304197 Recoveries under the Foreign Military Sales Program, Defense Agencies .....	2	5	5
097-321097 General Fund Proprietary Receipts, not Otherwise Classified, Defense Agencies .....	141	87	87
General Fund Offsetting receipts from the public .....	1,330	637	594
Intragovernmental payments:			
017-388517 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Navy .....	24	45	45
021-388521 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Army .....	128	.....	.....
057-388557 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Air Force .....	-167	.....	.....
097-388597 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Defense Agencies .....	95	.....	.....
General Fund Intragovernmental payments .....	80	45	45

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this title shall be expended for payments under a cost-plus-a-fixed-fee contract for construction, where cost estimates exceed \$25,000, to be performed within the United States, except Alaska, without the specific approval in writing of the Secretary of Defense setting forth the reasons therefor.

SEC. 102. Funds made available in this title for construction shall be available for hire of passenger motor vehicles.

SEC. 103. Funds made available in this title for construction may be used for advances to the Federal Highway Administration, Department of Transportation, for the construction of access roads as authorized by section 210 of title 23, United States Code, when projects authorized therein are certified as important to the national defense by the Secretary of Defense.

SEC. 104. None of the funds made available in this title may be used to begin construction of new bases in the United States for which specific appropriations have not been made.

SEC. 105. None of the funds made available in this title shall be used for purchase of land or land easements in excess of 100 percent of the value as determined by the Army Corps of Engineers or the Naval Facilities Engineering Command, except: (1) where there is a determination of value by a Federal court; (2) purchases negotiated by the Attorney General or the designee of the Attorney General; (3) where the estimated value is less than \$25,000; or (4) as otherwise determined by the Secretary of Defense to be in the public interest.

SEC. 106. None of the funds made available in this title shall be used to: (1) acquire land; (2) provide for site preparation; or (3) install utilities for any family housing, except housing for which funds have been made available in annual Acts making appropriations for military construction.

SEC. 107. None of the funds made available in this title for minor construction may be used to transfer or relocate any activity from one base or installation to another, without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 108. None of the funds made available in this title may be used for the procurement of steel for any construction project or activity for which American steel producers, fabricators, and manufacturers have been denied the opportunity to compete for such steel procurement.

SEC. 109. None of the funds available to the Department of Defense for military construction or family housing during the current fiscal year may be used to pay real property taxes in any foreign nation.

SEC. 110. None of the funds made available in this title may be used to initiate a new installation overseas without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 111. None of the funds made available in this title may be obligated for architect and engineer contracts estimated by the Government to exceed \$500,000 for projects to be accomplished in Japan, in any North Atlantic Treaty Organization

member country, or in countries bordering the Arabian Gulf, unless such contracts are awarded to United States firms or United States firms in joint venture with host nation firms.]

SEC. [112]111. None of the funds made available in this title for military construction in the United States territories and possessions in the Pacific and on Kwajalein Atoll, or in countries bordering the Arabian Gulf, may be used to award any contract estimated by the Government to exceed \$1,000,000 to a foreign contractor: *Provided*, That this section shall not be applicable to contract awards for which the lowest responsive and responsible bid of a United States contractor exceeds the lowest responsive and responsible bid of a foreign contractor by greater than 20 percent: *Provided further*, That this section shall not apply to contract awards for military construction on Kwajalein Atoll for which the lowest responsive and responsible bid is submitted by a Marshallese contractor.

SEC. 113. The Secretary of Defense shall inform the appropriate committees of both Houses of Congress, including the Committees on Appropriations, of plans and scope of any proposed military exercise involving United States personnel 30 days prior to its occurring, if amounts expended for construction, either temporary or permanent, are anticipated to exceed \$100,000.]

SEC. [114]112. Funds appropriated to the Department of Defense for construction in prior years shall be available for construction authorized for each such military department by the authorizations enacted into law during the current session of Congress.

SEC. [115]113. For military construction or family housing projects that are being completed with funds otherwise expired or lapsed for obligation, expired or lapsed funds may be used to pay the cost of associated supervision, inspection, overhead, engineering and design on those projects and on subsequent claims, if any.

SEC. [116]114. Notwithstanding any other provision of law, any funds made available to a military department or defense agency for the construction of military projects may be obligated for a military construction project or contract, or for any portion of such a project or contract, at any time before the end of the fourth fiscal year after the fiscal year for which funds for such project were made available, if the funds obligated for such project: (1) are obligated from funds available for military construction projects; and (2) do not exceed the amount appropriated for such project, plus any amount by which the cost of such project is increased pursuant to law.

(INCLUDING TRANSFER OF FUNDS)

SEC. [117]115. Subject to 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, such additional amounts as may be determined by the Secretary of Defense may be transferred to: (1) the Department of Defense Family Housing Improvement Fund from amounts appropriated for construction in "Family Housing" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund; or (2) the Department of Defense Military Unaccompanied Housing Improvement Fund from amounts appropriated for construction of military unaccompanied housing in "Military Construction" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund: *Provided*, That appropriations made available to the Funds shall be available to cover the costs, as defined in section 502(5) of the Congressional Budget Act of 1974, of direct loans or loan guarantees issued by the Department of Defense pursuant to the provisions of subchapter IV of chapter 169 of title 10, United States Code, pertaining to alternative means of acquiring and improving military family housing, military unaccompanied housing, and supporting facilities.

(INCLUDING TRANSFER OF FUNDS)

SEC. [118]116. In addition to any other transfer authority available to the Department of Defense, amounts may be transferred from the Department of Defense Base Closure Account to the fund established by section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) to pay for expenses associated with the Homeowners Assistance Program incurred under 42 U.S.C. 3374(a)(1)(A). Any amounts transferred shall be merged with and be available for the same purposes and for the same time period as the fund to which transferred.

SEC. [119]117. Notwithstanding any other provision of law, funds made available in this title for operation and maintenance of family housing shall be the exclusive source of funds for repair and maintenance of all family housing units, including general or flag officer quarters: *Provided*, That not more than \$35,000 per unit may be spent annually for the maintenance and repair of any general or flag officer quarters without 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, except that an after-the-fact notification shall be submitted if the limitation is exceeded solely due to costs associated with environmental remediation that could not be reasonably

anticipated at the time of the budget submission [ *Provided further*, That the Under Secretary of Defense (Comptroller) is to report annually to the Committees on Appropriations of both Houses of Congress all operation and maintenance expenditures for each individual general or flag officer quarters for the prior fiscal year ] .

SEC. [ 120 ] 118. Amounts contained in the Ford Island Improvement Account established by subsection (h) of section 2814 of title 10, United States Code, are appropriated and shall be available until expended for the purposes specified in subsection (i)(1) of such section or until transferred pursuant to subsection (i)(3) of such section.

(INCLUDING TRANSFER OF FUNDS)

SEC. [ 121 ] 119. During the 5-year period after appropriations available in this Act to the Department of Defense for military construction and family housing operation and maintenance and construction have expired for obligation, upon a determination that such appropriations will not be necessary for the liquidation of obligations or for making authorized adjustments to such appropriations for obligations incurred during the period of availability of such appropriations, unobligated balances of such appropriations may be transferred into the appropriation "Foreign Currency Fluctuations, Construction, Defense", to be merged with and to be available for the same time period and for the same purposes as the appropriation to which transferred.

[ SEC. 122. (a) Except as provided in subsection (b), none of the funds made available in this Act may be used by the Secretary of the Army to relocate a unit in the Army that—

(1) performs a testing mission or function that is not performed by any other unit in the Army and is specifically stipulated in title 10, United States Code; and

(2) is located at a military installation at which the total number of civilian employees of the Department of the Army and Army contractor personnel employed exceeds 10 percent of the total number of members of the regular and reserve components of the Army assigned to the installation.

(b) EXCEPTION.—Subsection (a) shall not apply if the Secretary of the Army certifies to the congressional defense committees that in proposing the relocation of the unit of the Army, the Secretary complied with Army Regulation 5–10 relating to the policy, procedures, and responsibilities for Army stationing actions.]

[ SEC. 123. Amounts appropriated or otherwise made available in an account funded under the headings in this title may be transferred among projects and activities within the account in accordance with the reprogramming guidelines for military construction and family housing construction contained in Department of Defense Financial Management Regulation 7000.14-R, Volume 3, Chapter 7, of February 2009, as in effect on the date of enactment of this Act.]

[ SEC. 124. None of the funds made available in this title may be obligated or expended for planning and design and construction of projects at Arlington National Cemetery.]

[(RESCISSION OF FUNDS)]

[ SEC. 125. Of the unobligated balances available for "Military Construction, Army" and "Family Housing Construction, Army", from prior appropriation Acts (other than appropriations designated by law as being for contingency operations directly related to the global war on terrorism or as an emergency requirement), \$86,420,000 are hereby rescinded.]

[(RESCISSION OF FUNDS)]

[ SEC. 126. Of the unobligated balances available for "Military Construction, Air Force", from prior appropriation Acts (other than appropriations designated by law as being for contingency operations directly related to the global war on terrorism or as an emergency requirement), \$46,400,000 are hereby rescinded.]

[(RESCISSION OF FUNDS)]

[ SEC. 127. Of the unobligated balances available for "Military Construction, Defense-Wide", from prior appropriation Acts (other than appropriations designated by law as being for contingency operations directly related to the global war on terrorism or as an emergency requirement), \$134,000,000 are hereby rescinded.]

[ SEC. 128. For an additional amount for "Military Construction, Army", \$34,500,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Army's Unfunded Priority List for Fiscal Year 2016 submitted to Congress: *Provided further*, That such funding is for projects as authorized in the National Defense Authorization Act for Fiscal Year 2016: *Provided further*, That, not later than 30 days after enactment of this Act, the Secretary of the Army shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[ SEC. 129. For an additional amount for "Military Construction, Navy and Marine Corps", \$34,500,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Navy's Unfunded Priority List for Fiscal Year 2016: *Provided further*, That such funding is for projects as authorized in the National Defense

Authorization Act for Fiscal Year 2016: *Provided further*, That, not later than 30 days after enactment of this Act, the Secretary of the Navy shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[ SEC. 130. For an additional amount for "Military Construction, Army National Guard", \$51,300,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Army's Unfunded Priority List for Fiscal Year 2016 submitted to Congress: *Provided further*, That such funding is for projects as authorized in the National Defense Authorization Act for Fiscal Year 2016: *Provided further*, That, not later than 30 days after enactment of this Act, the Secretary of the Army shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[ SEC. 131. For an additional amount for "Military Construction, Army Reserve", \$34,200,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Army's Unfunded Priority List for Fiscal Year 2016 submitted to Congress: *Provided further*, That such funding is for projects as authorized in the National Defense Authorization Act for Fiscal Year 2016: *Provided further*, That, not later than 30 days after enactment of this Act, the Secretary of the Army shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[ SEC. 132. Notwithstanding section 124, for an additional amount for "Military Construction, Army" in this title, \$30,000,000 is provided for advances to the Federal Highway Administration, Department of Transportation, for construction of access roads as authorized by section 210 of title 23, United States Code.]

[ SEC. 133. For an additional amount for "Military Construction, Air Force", \$21,000,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Air Force's Unfunded Priority List for Fiscal Year 2016 submitted to Congress: *Provided further*, That such funding is for projects as authorized in the National Defense Authorization Act for Fiscal Year 2016: *Provided further*, That not later than 30 days after enactment of this Act, the Secretary of the Air Force shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[ SEC. 134. For an additional amount for "Military Construction, Air National Guard", \$6,100,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Air Force's Unfunded Priority List for Fiscal Year 2016 submitted to Congress: *Provided further*, That such funding is for projects as authorized in the National Defense Authorization Act for Fiscal Year 2016: *Provided further*, That not later than 30 days after enactment of this Act, the Secretary of the Air Force shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

SEC. [ 135 ] 120. For the purposes of this Act, the term "congressional defense committees" means the Committees on Armed Services of the House of Representatives and the Senate, the Subcommittee on Military Construction and Veterans Affairs of the Committee on Appropriations of the Senate, and the Subcommittee on Military Construction and Veterans Affairs of the Committee on Appropriations of the House of Representatives.

[(RESCISSION OF FUNDS)]

[ SEC. 136. Of the unobligated balances made available in prior appropriation Acts for the fund established in section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) (other than appropriations designated by law as being for contingency operations directly related to the global war on terrorism or as an emergency requirement), \$105,000,000 are hereby rescinded.]

[ SEC. 137. For an additional amount for "Military Construction, Air Force Reserve", \$10,400,000, to remain available until September 30, 2020: *Provided*, That such funds may only be obligated to carry out construction projects identified in the Department of the Air Force's Unfunded Priority List for Fiscal Year 2016 submitted to Congress: *Provided further*, That such funding is for projects as authorized in the National Defense Authorization Act for Fiscal Year 2016: *Provided further*, That not later than 30 days after enactment of this Act, the Secretary of the Air Force shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[ SEC. 138. Notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this or any other Act may be used to consolidate or relocate any element of a United States Air Force Rapid Engineer Deployable Heavy Operational Repair Squadron Engineer (RED HORSE) outside of the United States until the Secretary of the Air Force (1) completes an analysis and comparison of the cost and infrastructure investment required to consolidate or relocate a RED HORSE squadron outside of the United States versus within the United States; (2)

provides to the Committees on Appropriations of both Houses of Congress ("the Committees") a report detailing the findings of the cost analysis; and (3) certifies in writing to the Committees that the preferred site for the consolidation or relocation yields the greatest savings for the Air Force: *Provided*, That the term "United States" in this section does not include any territory or possession of the United States. ]

【SEC. 139. None of the funds made available by this Act may be used to carry out the closure or transfer of the United States Naval Station, Guantanamo Bay, Cuba.】

SEC. 121. *During any fiscal year for which an act authorizing military construction projects for that fiscal year has been enacted but appropriations for that fiscal year have been provided under a continuing appropriations resolution, notwithstanding any new start restrictions contained in the continuing resolution, appropriations available for military construction, including those provided under the authority of the continuing resolution, may be used for projects authorized in the military construction authorization act for that fiscal year, with the approval of the Office of Management and Budget: Provided, That, before using the authority provided by this section, the Secretary of Defense or the Secretary of the military department concerned shall provide notice to the Committees on Appropriations of both Houses of Congress not less than 30 days prior to such use, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code: Provided further, That the use of the authority of this section shall not result in the cancellation of any previously authorized military construction project. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)*

## TITLE VIII—GENERAL PROVISIONS

【SEC. 8001. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress.】

SEC. 【8002】8001. During the current fiscal year, provisions of law prohibiting the payment of compensation to, or employment of, any person not a citizen of the United States shall not apply to personnel of the Department of Defense: *Provided*, That salary increases granted to direct and indirect hire foreign national employees of the Department of Defense funded by this Act shall not be at a rate in excess of the percentage increase authorized by law for civilian employees of the Department of Defense whose pay is computed under the provisions of section 5332 of title 5, United States Code, or at a rate in excess of the percentage increase provided by the appropriate host nation to its own employees, whichever is higher: *Provided further*, That this section shall not apply to Department of Defense foreign service national employees serving at United States diplomatic missions whose pay is set by the Department of State under the Foreign Service Act of 1980: *Provided further*, That the limitations of this provision shall not apply to foreign national employees of the Department of Defense in the Republic of Turkey.

SEC. 【8003】8002. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year, unless expressly so provided herein.

【SEC. 8004. No more than 20 percent of the appropriations in this Act which are limited for obligation during the current fiscal year shall be obligated during the last 2 months of the fiscal year: *Provided*, That this section shall not apply to obligations for support of active duty training of reserve components or summer camp training of the Reserve Officers' Training Corps.】

### (TRANSFER OF FUNDS)

SEC. 【8005】8003. Upon determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed 【\$4,500,000,000】\$5,000,000,000 of working capital funds of the Department of Defense or funds made available in this Act to the Department of Defense for military functions (except military construction) between such appropriations or funds or any subdivision thereof, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided*, That 【such authority to transfer may not be used unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further*, That】 the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: *Provided further*, That 【no part of the funds in this Act shall be available to prepare or present a request to the Committees on Appropriations for reprogramming of funds, unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which reprogramming is requested has been denied by the Congress: *Provided further*, That a request for multiple reprogrammings of funds using authority provided in this section shall be made prior to June 30, 2016: *Provided further*, That】 transfers

among military personnel appropriations shall not be taken into account for purposes of the limitation on the amount of funds that may be transferred under this section.

【SEC. 8006. (a) With regard to the list of specific programs, projects, and activities (and the dollar amounts and adjustments to budget activities corresponding to such programs, projects, and activities) contained in the tables titled "Explanation of Project Level Adjustments" in the explanatory statement regarding this Act, the obligation and expenditure of amounts appropriated or otherwise made available in this Act for those programs, projects, and activities for which the amounts appropriated exceed the amounts requested are hereby required by law to be carried out in the manner provided by such tables to the same extent as if the tables were included in the text of this Act.

(b) Amounts specified in the referenced tables described in subsection (a) shall not be treated as subdivisions of appropriations for purposes of section 8005 of this Act: *Provided*, That section 8005 shall apply when transfers of the amounts described in subsection (a) occur between appropriation accounts.】

【SEC. 8007. (a) Not later than 60 days after enactment of this Act, the Department of Defense shall submit a report to the congressional defense committees to establish the baseline for application of reprogramming and transfer authorities for fiscal year 2016: *Provided*, That the report shall include—

(1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(2) a delineation in the table for each appropriation both by budget activity and program, project, and activity as detailed in the Budget Appendix; and

(3) an identification of items of special congressional interest.

(b) Notwithstanding section 8005 of this Act, none of the funds provided in this Act shall be available for reprogramming or transfer until the report identified in subsection (a) is submitted to the congressional defense committees, unless the Secretary of Defense certifies in writing to the congressional defense committees that such reprogramming or transfer is necessary as an emergency requirement: *Provided*, That this subsection shall not apply to transfers from the following appropriations accounts:

(1) "Environmental Restoration, Army";

(2) "Environmental Restoration, Navy";

(3) "Environmental Restoration, Air Force";

(4) "Environmental Restoration, Defense-wide"; and

(5) "Environmental Restoration, Formerly Used Defense Sites".】

### (TRANSFER OF FUNDS)

SEC. 【8008】8004. During the current fiscal year, cash balances in working capital funds of the Department of Defense established pursuant to section 2208 of title 10, United States Code, may be maintained in only such amounts as are necessary at any time for cash disbursements to be made from such funds: *Provided*, That transfers may be made between such funds: *Provided further*, That transfers may be made between working capital funds and the "Foreign Currency Fluctuations, Defense" appropriation and the "Operation and Maintenance" appropriation accounts in such amounts as may be determined by the Secretary of Defense, with the approval of the Office of Management and Budget, except that such transfers may not be made unless the Secretary of Defense has notified the Congress of the proposed transfer: *Provided further*, That except in amounts equal to the amounts appropriated to working capital funds in this Act, no obligations may be made against a working capital fund to procure or increase the value of war reserve material inventory, unless the Secretary of Defense has notified the Congress prior to any such obligation.

【SEC. 8009. Funds appropriated by this Act may not be used to initiate a special access program without prior notification 30 calendar days in advance to the congressional defense committees.】

【SEC. 8010. None of the funds provided in this Act shall be available to initiate: (1) a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any one year of the contract or that includes an unfunded contingent liability in excess of \$20,000,000; or (2) a contract for advance procurement leading to a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any one year, unless the congressional defense committees have been notified at least 30 days in advance of the proposed contract award: *Provided*, That no part of any appropriation contained in this Act shall be available to initiate a multiyear contract for which the economic order quantity advance procurement is not funded at least to the limits of the Government's liability: *Provided further*, That no part of any appropriation contained in this Act shall be available to initiate multiyear procurement contracts for any systems or component thereof if the value of the multiyear contract would exceed \$500,000,000 unless specifically provided in this Act: *Provided further*, That no multiyear procurement contract can be terminated without 30-day prior notification to the congressional defense committees: *Provided further*, That the execution of multiyear authority shall require the use of a present value analysis to determine lowest cost compared to an annual procurement:

*Provided further*, That none of the funds provided in this Act may be used for a multiyear contract executed after the date of the enactment of this Act unless in the case of any such contract—

(1) the Secretary of Defense has submitted to Congress a budget request for full funding of units to be procured through the contract and, in the case of a contract for procurement of aircraft, that includes, for any aircraft unit to be procured through the contract for which procurement funds are requested in that budget request for production beyond advance procurement activities in the fiscal year covered by the budget, full funding of procurement of such unit in that fiscal year;

(2) cancellation provisions in the contract do not include consideration of recurring manufacturing costs of the contractor associated with the production of unfunded units to be delivered under the contract;

(3) the contract provides that payments to the contractor under the contract shall not be made in advance of incurred costs on funded units; and

(4) the contract does not provide for a price adjustment based on a failure to award a follow-on contract. ]

*SEC. 8005. Funds appropriated in title III of this Act may be used for a multiyear procurement contract as follows: AH-64E Apache Helicopter and UH-60M Blackhawk Helicopter.*

**SEC. [8011]8006.** Within the funds appropriated for the operation and maintenance of the Armed Forces, funds are hereby appropriated pursuant to section 401 of title 10, United States Code, for humanitarian and civic assistance costs under chapter 20 of title 10, United States Code. Such funds may also be obligated for humanitarian and civic assistance costs incidental to authorized operations and pursuant to authority granted in section 401 of chapter 20 of title 10, United States Code, and these obligations shall be reported as required by section 401(d) of title 10, United States Code: *Provided*, That funds available for operation and maintenance shall be available for providing humanitarian and similar assistance by using Civic Action Teams in the Trust Territories of the Pacific Islands and freely associated states of Micronesia, pursuant to the Compact of Free Association as authorized by Public Law 99-239: *Provided further*, That upon a determination by the Secretary of the Army that such action is beneficial for graduate medical education programs conducted at Army medical facilities located in Hawaii, the Secretary of the Army may authorize the provision of medical services at such facilities and transportation to such facilities, on a nonreimbursable basis, for civilian patients from American Samoa, the Commonwealth of the Northern Mariana Islands, the Marshall Islands, the Federated States of Micronesia, Palau, and Guam.

**SEC. [8012]8007. (a)** During the current fiscal year [2016], the civilian personnel of the Department of Defense may not be managed on the basis of any end-strength, and the management of such personnel during that fiscal year shall not be subject to any constraint or limitation (known as an end-strength) on the number of such personnel who may be employed on the last day of such fiscal year.

**[(b)]** The fiscal year 2017 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2017 Department of Defense budget request shall be prepared and submitted to the Congress as if subsections (a) and (b) of this provision were effective with regard to fiscal year 2017. ]

**[(c)]** As required by section 1107 of the National Defense Authorization Act for Fiscal Year 2014 (Public Law 113-66; 10 U.S.C. 2358 note) civilian personnel at the Department of Army Science and Technology Reinvention Laboratories may not be managed on the basis of the Table of Distribution and Allowances, and the management of the workforce strength shall be done in a manner consistent with the budget available with respect to such Laboratories. ]

**[(d)]b** Nothing in this section shall be construed to apply to military (civilian) technicians.

**SEC. 8013.** None of the funds made available by this Act shall be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before the Congress. ]

**SEC. [8014]8008.** None of the funds appropriated by this Act shall be available for the basic pay and allowances of any member of the Army participating as a full-time student and receiving benefits paid by the Secretary of Veterans Affairs from the Department of Defense Education Benefits Fund when time spent as a full-time student is credited toward completion of a service commitment: *Provided*, That this section shall not apply to those members who have reenlisted with this option prior to October 1, 1987: *Provided further*, That this section applies only to active components of the Army.

(TRANSFER OF FUNDS)

**SEC. [8015]8009.** Funds appropriated in title III of this Act for the Department of Defense Pilot Mentor-Protege Program may be transferred to any other appropriation contained in this Act solely for the purpose of implementing a Mentor-Protege Program developmental assistance agreement pursuant to section 831 of the National

Defense Authorization Act for Fiscal Year 1991 (Public Law 101-510; 10 U.S.C. 2302 note), as amended, under the authority of this provision or any other transfer authority contained in this Act.

**SEC. 8016.** None of the funds in this Act may be available for the purchase by the Department of Defense (and its departments and agencies) of welded shipboard anchor and mooring chain 4 inches in diameter and under unless the anchor and mooring chain are manufactured in the United States from components which are substantially manufactured in the United States: *Provided*, That for the purpose of this section, the term "manufactured" shall include cutting, heat treating, quality control, testing of chain and welding (including the forging and shot blasting process): *Provided further*, That for the purpose of this section substantially all of the components of anchor and mooring chain shall be considered to be produced or manufactured in the United States if the aggregate cost of the components produced or manufactured in the United States exceeds the aggregate cost of the components produced or manufactured outside the United States: *Provided further*, That when adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis, the Secretary of the service responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations that such an acquisition must be made in order to acquire capability for national security purposes. ]

**SEC. 8017.** Of the amounts appropriated for "Working Capital Fund, Army", \$145,000,000 shall be available to maintain competitive rates at the arsenals. ]

**SEC. 8018.** None of the funds available to the Department of Defense may be used to demilitarize or dispose of M-1 Carbines, M-1 Garand rifles, M-14 rifles, .22 caliber rifles, .30 caliber rifles, or M-1911 pistols, or to demilitarize or destroy small arms ammunition or ammunition components that are not otherwise prohibited from commercial sale under Federal law, unless the small arms ammunition or ammunition components are certified by the Secretary of the Army or designee as unserviceable or unsafe for further use. ]

**SEC. 8019.** No more than \$500,000 of the funds appropriated or made available in this Act shall be used during a single fiscal year for any single relocation of an organization, unit, activity or function of the Department of Defense into or within the National Capital Region: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the congressional defense committees that such a relocation is required in the best interest of the Government. ]

**SEC. 8020.** Of the funds made available in this Act, \$15,000,000 shall be available for incentive payments authorized by section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544): *Provided*, That a prime contractor or a subcontractor at any tier that makes a subcontract award to any subcontractor or supplier as defined in section 1544 of title 25, United States Code, or a small business owned and controlled by an individual or individuals defined under section 4221(9) of title 25, United States Code, shall be considered a contractor for the purposes of being allowed additional compensation under section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544) whenever the prime contract or subcontract amount is over \$500,000 and involves the expenditure of funds appropriated by an Act making appropriations for the Department of Defense with respect to any fiscal year: *Provided further*, That notwithstanding section 1906 of title 41, United States Code, this section shall be applicable to any Department of Defense acquisition of supplies or services, including any contract and any subcontract at any tier for acquisition of commercial items produced or manufactured, in whole or in part, by any subcontractor or supplier defined in section 1544 of title 25, United States Code, or a small business owned and controlled by an individual or individuals defined under section 4221(9) of title 25, United States Code. ]

**SEC. [8021]8010.** Funds appropriated by this Act for the Defense Media Activity shall not be used for any national or international political or psychological activities.

**SEC. [8022]8011.** During the current fiscal year, the Department of Defense is authorized to incur obligations of not to exceed \$350,000,000 for purposes specified in section 2350j(c) of title 10, United States Code, in anticipation of receipt of contributions, only from the Government of Kuwait, under that section: *Provided*, That, upon receipt, such contributions from the Government of Kuwait shall be credited to the appropriations or fund which incurred such obligations.

**SEC. 8023. (a)** Of the funds made available in this Act, not less than \$39,500,000 shall be available for the Civil Air Patrol Corporation, of which—

(1) \$27,400,000 shall be available from "Operation and Maintenance, Air Force" to support Civil Air Patrol Corporation operation and maintenance, readiness, counter-drug activities, and drug demand reduction activities involving youth programs;

(2) \$10,400,000 shall be available from "Aircraft Procurement, Air Force"; and

(3) \$1,700,000 shall be available from "Other Procurement, Air Force" for vehicle procurement.

(b) The Secretary of the Air Force should waive reimbursement for any funds used by the Civil Air Patrol for counter-drug activities in support of Federal, State, and local government agencies.】

SEC. 【8024】8012. (a) None of the funds appropriated in this Act are available to establish a new Department of Defense (department) federally funded research and development center (FFRDC), either as a new entity, or as a separate entity administered by an organization managing another FFRDC, or as a nonprofit membership corporation consisting of a consortium of other FFRDCs and other nonprofit entities.

(b) No member of a Board of Directors, Trustees, Overseers, Advisory Group, Special Issues Panel, Visiting Committee, or any similar entity of a defense FFRDC, and no paid consultant to any defense FFRDC, except when acting in a technical advisory capacity, may be compensated for his or her services as a member of such entity, or as a paid consultant by more than one FFRDC in a fiscal year: *Provided*, That a member of any such entity referred to previously in this subsection shall be allowed travel expenses and per diem as authorized under the Federal Joint Travel Regulations, when engaged in the performance of membership duties.

(c) Notwithstanding any other provision of law, none of the funds available to the department from any source during the *current* fiscal year 【2016】 may be used by a defense FFRDC, through a fee or other payment mechanism, for construction of new buildings *not located on a military installation*, for payment of cost sharing for projects funded by Government grants, for absorption of contract overruns, or for certain charitable contributions, not to include employee participation in community service and/or development: *Provided*, That up to 1 percent of funds provided in this Act for support of defense FFRDCs may be used for planning and design of scientific or engineering facilities: *Provided further*, That the Secretary of Defense shall notify the congressional defense committees 15 days in advance of exercising the authority in the previous proviso.

【(d) Notwithstanding any other provision of law, of the funds available to the department during fiscal year 2016, not more than 5,750 staff years of technical effort (staff years) may be funded for defense FFRDCs: *Provided*, That, of the specific amount referred to previously in this subsection, not more than 1,125 staff years may be funded for the defense studies and analysis FFRDCs: *Provided further*, That this subsection shall not apply to staff years funded in the National Intelligence Program (NIP) and the Military Intelligence Program (MIP).】

【(e) The Secretary of Defense shall, with the submission of the department's fiscal year 2017 budget request, submit a report presenting the specific amounts of staff years of technical effort to be allocated for each defense FFRDC during that fiscal year and the associated budget estimates.】

【(f) Notwithstanding any other provision of this Act, the total amount appropriated in this Act for FFRDCs is hereby reduced by \$65,000,000.】

【SEC. 8025. None of the funds appropriated or made available in this Act shall be used to procure carbon, alloy, or armor steel plate for use in any Government-owned facility or property under the control of the Department of Defense which were not melted and rolled in the United States or Canada: *Provided*, That these procurement restrictions shall apply to any and all Federal Supply Class 9515, American Society of Testing and Materials (ASTM) or American Iron and Steel Institute (AISI) specifications of carbon, alloy or armor steel plate: *Provided further*, That the Secretary of the military department responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That these restrictions shall not apply to contracts which are in being as of the date of the enactment of this Act.】

SEC. 【8026】8013. For the purposes of this Act, the term "congressional defense committees" means the Armed Services Committee of the House of Representatives, the Armed Services Committee of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the Senate, and the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

SEC. 【8027】8014. During the current fiscal year, the Department of Defense may acquire the modification, depot maintenance and repair of aircraft, vehicles and vessels as well as the production of components and other Defense-related articles, through competition between Department of Defense depot maintenance activities and private firms: *Provided*, That the Senior Acquisition Executive of the military department or Defense Agency concerned, with power of delegation, shall certify that successful bids include comparable estimates of all direct and indirect costs for both public and private bids【: *Provided further*, That Office of Management and Budget Circular A-76 shall not apply to competitions conducted under this section】.

SEC. 【8028】8015. (a)(1) If the Secretary of Defense, after consultation with the United States Trade Representative, determines that a foreign country which is party to an agreement described in paragraph (2) has violated the terms of the agreement by discriminating against certain types of products produced in the United States

that are covered by the agreement, the Secretary of Defense shall rescind the Secretary's blanket waiver of the Buy American Act with respect to such types of products produced in that foreign country.

(2) An agreement referred to in paragraph (1) is any reciprocal defense procurement memorandum of understanding, between the United States and a foreign country pursuant to which the Secretary of Defense has prospectively waived the Buy American Act for certain products in that country.

【(b) The Secretary of Defense shall submit to the Congress a report on the amount of Department of Defense purchases from foreign entities in fiscal year 2016. Such report shall separately indicate the dollar value of items for which the Buy American Act was waived pursuant to any agreement described in subsection (a)(2), the Trade Agreement Act of 1979 (19 U.S.C. 2501 et seq.), or any international agreement to which the United States is a party.】

【(c)】(b) For purposes of this section, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 【8029】8016. During the current fiscal year, amounts contained in the Department of Defense Overseas Military Facility Investment Recovery Account established by section 2921(c)(1) of the National Defense Authorization Act of 1991 (Public Law 101-510; 10 U.S.C. 2687 note) shall be available until expended for the payments specified by section 2921(c)(2) of that Act.

SEC. 【8030】8017. (a) Notwithstanding any other provision of law, the Secretary of the Air Force may convey at no cost to the Air Force, without consideration, to Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington relocatable military housing units located at Grand Forks Air Force Base, Malmstrom Air Force Base, Mountain Home Air Force Base, Ellsworth Air Force Base, and Minot Air Force Base that are excess to the needs of the Air Force.

(b) The Secretary of the Air Force shall convey, at no cost to the Air Force, military housing units under subsection (a) in accordance with the request for such units that are submitted to the Secretary by the Operation Walking Shield Program on behalf of Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington. Any such conveyance shall be subject to the condition that the housing units shall be removed within a reasonable period of time, as determined by the Secretary.

(c) The Operation Walking Shield Program shall resolve any conflicts among requests of Indian tribes for housing units under subsection (a) before submitting requests to the Secretary of the Air Force under subsection (b).

(d) In this section, the term "Indian tribe" means any recognized Indian tribe included on the current list published by the Secretary of the Interior under section 104 of the Federally Recognized Indian Tribe Act of 1994 (Public Law 103-454; 108 Stat. 4792; 25 U.S.C. 479a-1).

SEC. 【8031】8018. During the current fiscal year, appropriations which are available to the Department of Defense for operation and maintenance may be used to purchase items having an investment item unit cost of not more than \$250,000.

【SEC. 8032. None of the funds made available by this Act may be used to—

(1) disestablish, or prepare to disestablish, a Senior Reserve Officers' Training Corps program in accordance with Department of Defense Instruction Number 1215.08, dated June 26, 2006; or

(2) close, downgrade from host to extension center, or place on probation a Senior Reserve Officers' Training Corps program in accordance with the information paper of the Department of the Army titled "Army Senior Reserve Officers' Training Corps (SROTC) Program Review and Criteria", dated January 27, 2014.】

【SEC. 8033. The Secretary of Defense shall issue regulations to prohibit the sale of any tobacco or tobacco-related products in military resale outlets in the United States, its territories and possessions at a price below the most competitive price in the local community: *Provided*, That such regulations shall direct that the prices of tobacco or tobacco-related products in overseas military retail outlets shall be within the range of prices established for military retail system stores located in the United States.】

【SEC. 8034. (a) During the current fiscal year, none of the appropriations or funds available to the Department of Defense Working Capital Funds shall be used for the purchase of an investment item for the purpose of acquiring a new inventory item for sale or anticipated sale during the current fiscal year or a subsequent fiscal year to customers of the Department of Defense Working Capital Funds if such an item would not have been chargeable to the Department of Defense Business Operations Fund during fiscal year 1994 and if the purchase of such an investment item would be chargeable during the current fiscal year to appropriations made to the Department of Defense for procurement.

(b) The fiscal year 2017 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2017 Department of Defense budget shall be prepared and submitted to the Con-

gress on the basis that any equipment which was classified as an end item and funded in a procurement appropriation contained in this Act shall be budgeted for in a proposed fiscal year 2017 procurement appropriation and not in the supply management business area or any other area or category of the Department of Defense Working Capital Funds.】

SEC. 【8035】8019. None of the funds appropriated by this Act for programs of the Central Intelligence Agency shall remain available for obligation beyond the current fiscal year, except for funds appropriated for the Reserve for Contingencies, which shall remain available until September 30, 【2017】2018: *Provided*, That funds appropriated, transferred, or otherwise credited to the Central Intelligence Agency Central Services Working Capital Fund during this or any prior or subsequent fiscal year shall remain available until expended: *Provided further*, That any funds appropriated or transferred to the Central Intelligence Agency for advanced research and development acquisition, for agent operations, and for covert action programs authorized by the President under section 503 of the National Security Act of 1947 (50 U.S.C. 3093) shall remain available until September 30, 【2017】2018.

SEC. 【8036】8020. Notwithstanding any other provision of law, funds made available in this Act for the Defense Intelligence Agency may be used for the design, development, and deployment of General Defense Intelligence Program intelligence communications and intelligence information systems for the Services, the Unified and Specified Commands, and the component commands.

【SEC. 8037. Of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Defense-Wide", not less than \$12,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.】

【SEC. 8038. (a) None of the funds appropriated in this Act may be expended by an entity of the Department of Defense unless the entity, in expending the funds, complies with the Buy American Act. For purposes of this subsection, the term "Buy American Act" means chapter 83 of title 41, United States Code.

(b) If the Secretary of Defense determines that a person has been convicted of intentionally affixing a label bearing a "Made in America" inscription to any product sold in or shipped to the United States that is not made in America, the Secretary shall determine, in accordance with section 2410f of title 10, United States Code, whether the person should be debarred from contracting with the Department of Defense.

(c) In the case of any equipment or products purchased with appropriations provided under this Act, it is the sense of the Congress that any entity of the Department of Defense, in expending the appropriation, purchase only American-made equipment and products, provided that American-made equipment and products are cost-competitive, quality competitive, and available in a timely fashion.】

【SEC. 8039. None of the funds appropriated by this Act and hereafter shall be available for a contract for studies, analysis, or consulting services entered into without competition on the basis of an unsolicited proposal unless the head of the activity responsible for the procurement determines—

(1) as a result of thorough technical evaluation, only one source is found fully qualified to perform the proposed work;

(2) the purpose of the contract is to explore an unsolicited proposal which offers significant scientific or technological promise, represents the product of original thinking, and was submitted in confidence by one source; or

(3) the purpose of the contract is to take advantage of unique and significant industrial accomplishment by a specific concern, or to insure that a new product or idea of a specific concern is given financial support: *Provided*, That this limitation shall not apply to contracts in an amount of less than \$25,000, contracts related to improvements of equipment that is in development or production, or contracts as to which a civilian official of the Department of Defense, who has been confirmed by the Senate, determines that the award of such contract is in the interest of the national defense.】

【SEC. 8040. (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used—

(1) to establish a field operating agency; or

(2) to pay the basic pay of a member of the Armed Forces or civilian employee of the department who is transferred or reassigned from a headquarters activity if the member or employee's place of duty remains at the location of that headquarters.

(b) The Secretary of Defense or Secretary of a military department may waive the limitations in subsection (a), on a case-by-case basis, if the Secretary determines, and certifies to the Committees on Appropriations of the House of Represent-

atives and the Senate that the granting of the waiver will reduce the personnel requirements or the financial requirements of the department.

(c) This section does not apply to—

(1) field operating agencies funded within the National Intelligence Program;

(2) an Army field operating agency established to eliminate, mitigate, or counter the effects of improvised explosive devices, and, as determined by the Secretary of the Army, other similar threats;

(3) an Army field operating agency established to improve the effectiveness and efficiencies of biometric activities and to integrate common biometric technologies throughout the Department of Defense; or

(4) an Air Force field operating agency established to administer the Air Force Mortuary Affairs Program and Mortuary Operations for the Department of Defense and authorized Federal entities.】

【SEC. 8041. (a) None of the funds appropriated by this Act shall be available to convert to contractor performance an activity or function of the Department of Defense that, on or after the date of the enactment of this Act, is performed by Department of Defense civilian employees unless—

(1) the conversion is based on the result of a public-private competition that includes a most efficient and cost effective organization plan developed by such activity or function;

(2) the Competitive Sourcing Official determines that, over all performance periods stated in the solicitation of offers for performance of the activity or function, the cost of performance of the activity or function by a contractor would be less costly to the Department of Defense by an amount that equals or exceeds the lesser of—

(A) 10 percent of the most efficient organization's personnel-related costs for performance of that activity or function by Federal employees; or

(B) \$10,000,000; and

(3) the contractor does not receive an advantage for a proposal that would reduce costs for the Department of Defense by—

(A) not making an employer-sponsored health insurance plan available to the workers who are to be employed in the performance of that activity or function under the contract; or

(B) offering to such workers an employer-sponsored health benefits plan that requires the employer to contribute less towards the premium or subscription share than the amount that is paid by the Department of Defense for health benefits for civilian employees under chapter 89 of title 5, United States Code.

(b)(1) The Department of Defense, without regard to subsection (a) of this section or subsection (a), (b), or (c) of section 2461 of title 10, United States Code, and notwithstanding any administrative regulation, requirement, or policy to the contrary shall have full authority to enter into a contract for the performance of any commercial or industrial type function of the Department of Defense that—

(A) is included on the procurement list established pursuant to section 2 of the Javits-Wagner-O'Day Act (section 8503 of title 41, United States Code);

(B) is planned to be converted to performance by a qualified nonprofit agency for the blind or by a qualified nonprofit agency for other severely handicapped individuals in accordance with that Act; or

(C) is planned to be converted to performance by a qualified firm under at least 51 percent ownership by an Indian tribe, as defined in section 4(e) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b(e)), or a Native Hawaiian Organization, as defined in section 8(a)(15) of the Small Business Act (15 U.S.C. 637(a)(15)).

(2) This section shall not apply to depot contracts or contracts for depot maintenance as provided in sections 2469 and 2474 of title 10, United States Code.

(c) The conversion of any activity or function of the Department of Defense under the authority provided by this section shall be credited toward any competitive or outsourcing goal, target, or measurement that may be established by statute, regulation, or policy and is deemed to be awarded under the authority of, and in compliance with, subsection (h) of section 2304 of title 10, United States Code, for the competition or outsourcing of commercial activities.】

【(RESCISSIONS)】

【SEC. 8042. Of the funds appropriated in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended:

(1) "Cooperative Threat Reduction Account", 2014/2016, \$15,000,000;

(2) "Aircraft Procurement, Army", 2014/2016, \$9,295,000;

(3) "Other Procurement, Army", 2014/2016, \$40,000,000;

- (4) "Aircraft Procurement, Navy", 2014/2016, \$53,415,000;  
 (5) "Weapons Procurement, Navy", 2014/2016, \$888,000;  
 (6) "Aircraft Procurement, Air Force", 2014/2016, \$2,300,000;  
 (7) "Procurement of Ammunition, Air Force", 2014/2016, \$6,300,000;  
 (8) "Other Procurement, Air Force", 2014/2016, \$90,000,000;  
 (9) "Aircraft Procurement, Army", 2015/2017, \$25,000,000;  
 (10) "Procurement of Weapons and Tracked Combat Vehicles, Army", 2015/2017, \$7,500,000;  
 (11) "Other Procurement, Army", 2015/2017, \$30,000,000;  
 (12) "Aircraft Procurement, Navy", 2015/2017, \$11,702,000;  
 (13) "Weapons Procurement, Navy", 2015/2017, \$15,422,000;  
 (14) "Procurement of Ammunition, Navy and Marine Corps", 2015/2017, \$8,906,000;  
 (15) "Procurement, Marine Corps", 2015/2017, \$66,477,000;  
 (16) "Aircraft Procurement, Air Force", 2015/2017, \$199,046,000;  
 (17) "Missile Procurement, Air Force", 2015/2017, \$212,000,000;  
 (18) "Other Procurement, Air Force", 2015/2017, \$17,000,000;  
 (19) "Research, Development, Test and Evaluation, Army", 2015/2016, \$9,299,000;  
 (20) "Research, Development, Test and Evaluation, Navy", 2015/2016, \$228,387,000;  
 (21) "Research, Development, Test and Evaluation, Air Force", 2015/2016, \$718,500,000; and  
 (22) "Research, Development, Test and Evaluation, Defense-Wide", 2015/2016, \$2,500,000.】

【SEC. 8043. None of the funds available in this Act may be used to reduce the authorized positions for military technicians (dual status) of the Army National Guard, Air National Guard, Army Reserve and Air Force Reserve for the purpose of applying any administratively imposed civilian personnel ceiling, freeze, or reduction on military technicians (dual status), unless such reductions are a direct result of a reduction in military force structure.】

SEC. 【8044】8021. None of the funds appropriated or otherwise made available in this Act may be obligated or expended for assistance to the Democratic People's Republic of Korea unless specifically appropriated for that purpose.

SEC. 【8045】8022. Funds appropriated in this Act for operation and maintenance of the Military Departments, Combatant Commands and Defense Agencies shall be available for reimbursement of pay, allowances and other expenses which would otherwise be incurred against appropriations for the National Guard and Reserve when members of the National Guard and Reserve provide intelligence or counter-intelligence support to Combatant Commands, Defense Agencies and Joint Intelligence Activities, including the activities and programs included within the National Intelligence Program and the Military Intelligence Program: *Provided*, That nothing in this section authorizes deviation from established Reserve and National Guard personnel and training procedures.

SEC. 【8046】8023. (a) None of the funds available to the Department of Defense for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(b) None of the funds available to the Central Intelligence Agency for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

【SEC. 8047. None of the funds appropriated by this Act may be used for the procurement of ball and roller bearings other than those produced by a domestic source and of domestic origin: *Provided*, That the Secretary of the military department responsible for such procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate, that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That this restriction shall not apply to the purchase of "commercial items", as defined by section 103 of title 41, United States Code, except that the restriction shall apply to ball or roller bearings purchased as end items.】

SEC. 【8048】8024. None of the funds made available by this Act for Evolved Expendable Launch Vehicle service competitive procurements may be used unless the competitive procurements are open for award to all certified providers of Evolved Expendable Launch Vehicle-class systems: *Provided*, That the award shall be made to the provider that offers the best value to the government: *Provided further*, That notwithstanding any other provision of law, award may be made to a launch service provider competing with any certified launch vehicle in its inventory regardless of the country of origin of the rocket engine that will be used on its launch vehicle, in order to ensure robust competition and continued assured access to space.

【SEC. 8049. In addition to the amounts appropriated or otherwise made available elsewhere in this Act, \$44,000,000 is hereby appropriated to the Department of Defense: *Provided*, That upon the determination of the Secretary of Defense that it shall serve the national interest, the Secretary shall make grants in the amounts specified as follows: \$20,000,000 to the United Service Organizations and \$24,000,000 to the Red Cross.】

【SEC. 8050. None of the funds in this Act may be used to purchase any supercomputer which is not manufactured in the United States, unless the Secretary of Defense certifies to the congressional defense committees that such an acquisition must be made in order to acquire capability for national security purposes that is not available from United States manufacturers.】

SEC. 【8051】8025. Notwithstanding any other provision in this Act, the Small Business Innovation Research program and the Small Business Technology Transfer program set-asides 【shall】*may* be taken 【proportionally from all】*from* programs, projects, or activities to the extent they contribute to the extramural budget.

SEC. 【8052】8026. None of the funds available to the Department of Defense under this Act shall be obligated or expended to pay a contractor under a contract with the Department of Defense for costs of any amount paid by the contractor to an employee when—

- (1) such costs are for a bonus or otherwise in excess of the normal salary paid by the contractor to the employee; and
- (2) such bonus is part of restructuring costs associated with a business combination.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8053】8027. During the current fiscal year, no more than \$30,000,000 of appropriations made in this Act under the heading "Operation and Maintenance, Defense-Wide" may be transferred to appropriations available for the pay of military personnel, to be merged with, and to be available for the same time period as the appropriations to which transferred, to be used in support of such personnel in connection with support and services for eligible organizations and activities outside the Department of Defense pursuant to section 2012 of title 10, United States Code.

【SEC. 8054. During the current fiscal year, in the case of an appropriation account of the Department of Defense for which the period of availability for obligation has expired or which has closed under the provisions of section 1552 of title 31, United States Code, and which has a negative unliquidated or unexpended balance, an obligation or an adjustment of an obligation may be charged to any current appropriation account for the same purpose as the expired or closed account if—

- (1) the obligation would have been properly chargeable (except as to amount) to the expired or closed account before the end of the period of availability or closing of that account;
- (2) the obligation is not otherwise properly chargeable to any current appropriation account of the Department of Defense; and
- (3) in the case of an expired account, the obligation is not chargeable to a current appropriation of the Department of Defense under the provisions of section 1405(b)(8) of the National Defense Authorization Act for Fiscal Year 1991, Public Law 101–510, as amended (31 U.S.C. 1551 note): *Provided*, That in the case of an expired account, if subsequent review or investigation discloses that there was not in fact a negative unliquidated or unexpended balance in the account, any charge to a current account under the authority of this section shall be reversed and recorded against the expired account: *Provided further*, That the total amount charged to a current appropriation under this section may not exceed an amount equal to 1 percent of the total appropriation for that account.】

SEC. 【8055】8028. (a) Notwithstanding any other provision of law, the Chief of the National Guard Bureau may permit the use of equipment of the National Guard Distance Learning Project by any person or entity on a space-available, reimbursable basis. The Chief of the National Guard Bureau shall establish the amount of reimbursement for such use on a case-by-case basis.

(b) Amounts collected under subsection (a) shall be credited to funds available for the National Guard Distance Learning Project and be available to defray the costs associated with the use of equipment of the project under that subsection. Such funds shall be available for such purposes without fiscal year limitation.

【SEC. 8056. None of the funds available to the Department of Defense may be obligated to modify command and control relationships to give Fleet Forces Command operational and administrative control of United States Navy forces assigned to the Pacific fleet: *Provided*, That the command and control relationships which existed on October 1, 2004, shall remain in force unless changes are specifically authorized in a subsequent Act: *Provided further*, That this section does not apply to administrative control of Navy Air and Missile Defense Command.】

(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8057. Of the funds appropriated in this Act under the heading "Operation and Maintenance, Defense-wide", \$25,000,000 shall be for continued implementation and expansion of the Sexual Assault Special Victims' Counsel Program: *Provided*,

That the funds are made available for transfer to the Department of the Army, the Department of the Navy, and the Department of the Air Force: *Provided further*, That funds transferred shall be merged with and available for the same purposes and for the same time period as the appropriations to which the funds are transferred: *Provided further*, That this transfer authority is in addition to any other transfer authority provided in this Act. ]

SEC. [8058]8029. None of the funds appropriated in title IV of this Act may be used to procure end-items for delivery to military forces for operational training, operational use or inventory requirements: *Provided*, That this restriction does not apply to end-items used in development, prototyping, and test activities preceding and leading to acceptance for operational use: *Provided further*, That this restriction does not apply to programs funded within the National Intelligence Program: *Provided further*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.

SEC. [8059]8030. (a) The Secretary of Defense may, on a case-by-case basis, waive with respect to a foreign country each limitation on the procurement of defense items from foreign sources provided in law if the Secretary determines that the application of the limitation with respect to that country would invalidate cooperative programs entered into between the Department of Defense and the foreign country, or would invalidate reciprocal trade agreements for the procurement of defense items entered into under section 2531 of title 10, United States Code, and the country does not discriminate against the same or similar defense items produced in the United States for that country.

(b) Subsection (a) applies with respect to—

(1) contracts and subcontracts entered into on or after the date of the enactment of this Act; and

(2) options for the procurement of items that are exercised after such date under contracts that are entered into before such date if the option prices are adjusted for any reason other than the application of a waiver granted under subsection (a).

(c) Subsection (a) does not apply to a limitation regarding construction of public vessels, ball and roller bearings, food, and clothing or textile materials as defined by section XI (chapters 50–65) of the Harmonized Tariff Schedule of the United States and products classified under headings 4010, 4202, 4203, 6401 through 6406, 6505, 7019, 7218 through 7229, 7304.41 through 7304.49, 7306.40, 7502 through 7508, 8105, 8108, 8109, 8211, 8215, and 9404.

[SEC. 8060. Notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this or any other Act may be used to consolidate or relocate any element of a United States Air Force Rapid Engineer Deployable Heavy Operational Repair Squadron Engineer (RED HORSE) outside of the United States until the Secretary of the Air Force—

(1) completes an analysis and comparison of the cost and infrastructure investment required to consolidate or relocate a RED HORSE squadron outside of the United States versus within the United States;

(2) provides to the congressional defense committees a report detailing the findings of the cost analysis; and

(3) certifies in writing to the congressional defense committees that the preferred site for the consolidation or relocation yields the greatest savings for the Air Force:

*Provided*, That the term "United States" in this section does not include any territory or possession of the United States. ]

[SEC. 8061. None of the funds appropriated or otherwise made available by this or other Department of Defense Appropriations Acts may be obligated or expended for the purpose of performing repairs or maintenance to military family housing units of the Department of Defense, including areas in such military family housing units that may be used for the purpose of conducting official Department of Defense business. ]

SEC. [8062]8031. Notwithstanding any other provision of law, funds appropriated in this Act under the heading "Research, Development, Test and Evaluation, Defense-Wide" for any new start advanced concept technology demonstration project or joint capability demonstration project may only be obligated 45 days after a report, including a description of the project, the planned acquisition and transition strategy and its estimated annual and total cost, has been provided in writing to the congressional defense committees: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying to the congressional defense committees that it is in the national interest to do so.

[SEC. 8063. The Secretary of Defense shall continue to provide a classified quarterly report to the House and Senate Appropriations Committees, Subcommittees on Defense on certain matters as directed in the classified annex accompanying this Act. ]

SEC. [8064]8032. Notwithstanding section 12310(b) of title 10, United States Code, a Reserve who is a member of the National Guard serving on full-time National Guard duty under section 502(f) of title 32, United States Code, may perform duties in support of the ground-based elements of the National Ballistic Missile Defense System.

SEC. [8065]8033. None of the funds provided in this Act may be used to transfer to any nongovernmental entity ammunition held by the Department of Defense that has a center-fire cartridge and a United States military nomenclature designation of "armor penetrator", "armor piercing (AP)", "armor piercing incendiary (API)", or "armor-piercing incendiary tracer (API-T)", except to an entity performing demilitarization services for the Department of Defense under a contract that requires the entity to demonstrate to the satisfaction of the Department of Defense that armor piercing projectiles are either: (1) rendered incapable of reuse by the demilitarization process; or (2) used to manufacture ammunition pursuant to a contract with the Department of Defense or the manufacture of ammunition for export pursuant to a License for Permanent Export of Unclassified Military Articles issued by the Department of State.

SEC. [8066]8034. Notwithstanding any other provision of law, the Chief of the National Guard Bureau, or his designee, may waive payment of all or part of the consideration that otherwise would be required under section 2667 of title 10, United States Code, in the case of a lease of personal property for a period not in excess of 1 year to any organization specified in section 508(d) of title 32, United States Code, or any other youth, social, or fraternal nonprofit organization as may be approved by the Chief of the National Guard Bureau, or his designee, on a case-by-case basis.

[SEC. 8067. None of the funds appropriated by this Act shall be used for the support of any nonappropriated funds activity of the Department of Defense that procures malt beverages and wine with nonappropriated funds for resale (including such alcoholic beverages sold by the drink) on a military installation located in the United States unless such malt beverages and wine are procured within that State, or in the case of the District of Columbia, within the District of Columbia, in which the military installation is located: *Provided*, That, in a case in which the military installation is located in more than one State, purchases may be made in any State in which the installation is located: *Provided further*, That such local procurement requirements for malt beverages and wine shall apply to all alcoholic beverages only for military installations in States which are not contiguous with another State: *Provided further*, That alcoholic beverages other than wine and malt beverages, in contiguous States and the District of Columbia shall be procured from the most competitive source, price and other factors considered. ]

(INCLUDING TRANSFER OF FUNDS)

SEC. [8068]8035. Of the amounts appropriated in this Act under the heading "Operation and Maintenance, Army", [\$76,611,750] \$75,950,170 shall remain available until expended: *Provided*, That, notwithstanding any other provision of law, the Secretary of Defense is authorized to transfer such funds to other activities of the Federal Government: *Provided further*, That the Secretary of Defense is authorized to enter into and carry out contracts for the acquisition of real property, construction, personal services, and operations related to projects carrying out the purposes of this section: *Provided further*, That contracts entered into under the authority of this section may provide for such indemnification as the Secretary determines to be necessary: *Provided further*, That projects authorized by this section shall comply with applicable Federal, State, and local law to the maximum extent consistent with the national security, as determined by the Secretary of Defense.

[SEC. 8069. (a) None of the funds appropriated in this or any other Act may be used to take any action to modify—

(1) the appropriations account structure for the National Intelligence Program budget, including through the creation of a new appropriation or new appropriation account;

(2) how the National Intelligence Program budget request is presented in the unclassified P-1, R-1, and O-1 documents supporting the Department of Defense budget request;

(3) the process by which the National Intelligence Program appropriations are apportioned to the executing agencies; or

(4) the process by which the National Intelligence Program appropriations are allotted, obligated and disbursed.

(b) Nothing in section (a) shall be construed to prohibit the merger of programs or changes to the National Intelligence Program budget at or below the Expenditure Center level, provided such change is otherwise in accordance with paragraphs (a)(1)-(3).

(c) The Director of National Intelligence and the Secretary of Defense may jointly, only for the purposes of achieving auditable financial statements and improving fiscal reporting, study and develop detailed proposals for alternative financial management processes. Such study shall include a comprehensive counter-

intelligence risk assessment to ensure that none of the alternative processes will adversely affect counterintelligence.

(d) Upon development of the detailed proposals defined under subsection (c), the Director of National Intelligence and the Secretary of Defense shall—

- (1) provide the proposed alternatives to all affected agencies;
- (2) receive certification from all affected agencies attesting that the proposed alternatives will help achieve auditability, improve fiscal reporting, and will not adversely affect counterintelligence; and

(3) not later than 30 days after receiving all necessary certifications under paragraph (2), present the proposed alternatives and certifications to the congressional defense and intelligence committees.

(e) This section shall not be construed to alter or affect the application of section 1633 of the National Defense Authorization Act for Fiscal Year 2016 to the amounts made available by this Act.】

【SEC. 8070. In addition to amounts provided elsewhere in this Act, \$5,000,000 is hereby appropriated to the Department of Defense, to remain available for obligation until expended: *Provided*, That notwithstanding any other provision of law, that upon the determination of the Secretary of Defense that it shall serve the national interest, these funds shall be available only for a grant to the Fisher House Foundation, Inc., only for the construction and furnishing of additional Fisher Houses to meet the needs of military family members when confronted with the illness or hospitalization of an eligible military beneficiary.】

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8071】8036. Of the amounts appropriated in this Act under the headings "Procurement, Defense-Wide" and "Research, Development, Test and Evaluation, Defense-Wide", 【\$487,595,000】 \$145,835,000 shall be for the Israeli Cooperative Programs: *Provided*, That of this amount, 【\$55,000,000】 \$42,000,000 shall be for the Secretary of Defense to provide to the Government of Israel for the procurement of the Iron Dome defense system to counter short-range rocket threats, subject to the U.S.-Israel Iron Dome Procurement Agreement, as amended; 【\$286,526,000】 \$37,211,000 shall be for the Short Range Ballistic Missile Defense (SRBMD) program, including cruise missile defense research and development under the SRBMD program【, of which \$150,000,000 shall be for production activities of SRBMD missiles in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, of which not more than \$90,000,000, subject to previously established transfer procedures, may be obligated or expended until establishment of a U.S.-Israeli production agreement for SRBMD; \$89,550,000】: \$55,793,000 shall be for an upper-tier component to the Israeli Missile Defense Architecture【, of which not more than \$15,000,000, subject to previously established transfer procedures, may be obligated or expended until establishment of a U.S.-Israeli production agreement】; and 【\$56,519,000】 \$10,831,000 shall be for the Arrow System Improvement Program including development of a long range, ground and airborne, detection suite: *Provided further*, That funds made available under this provision for production of missiles and missile components may be transferred to appropriations available for the procurement of weapons and equipment, to be merged with and to be available for the same time period and the same purposes as the appropriation to which transferred: *Provided further*, That the transfer authority provided under this provision is in addition to any other transfer authority contained in this Act.

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8072. Of the amounts appropriated in this Act under the heading "Shipbuilding and Conversion, Navy", \$389,305,000 shall be available until September 30, 2016, to fund prior year shipbuilding cost increases: *Provided*, That upon enactment of this Act, the Secretary of the Navy shall transfer funds to the following appropriations in the amounts specified: *Provided further*, That the amounts transferred shall be merged with and be available for the same purposes as the appropriations to which transferred to:

- (1) Under the heading "Shipbuilding and Conversion, Navy", 2008/2016: Carrier Replacement Program \$123,760,000;
- (2) Under the heading "Shipbuilding and Conversion, Navy", 2009/2016: LPD-17 Amphibious Transport Dock Program \$22,860,000;
- (3) Under the heading "Shipbuilding and Conversion, Navy", 2012/2016: CVN Refueling Overhauls Program \$20,029,000;
- (4) Under the heading "Shipbuilding and Conversion, Navy", 2012/2016: DDG-51 Destroyer \$75,014,000;
- (5) Under the heading "Shipbuilding and Conversion, Navy", 2012/2016: Littoral Combat Ship \$82,674,000;
- (6) Under the heading "Shipbuilding and Conversion, Navy", 2012/2016: LPD-17 Amphibious Transport Dock Program \$38,733,000;
- (7) Under the heading "Shipbuilding and Conversion, Navy", 2012/2016: Joint High Speed Vessel \$22,597,000; and

(8) Under the heading "Shipbuilding and Conversion, Navy", 2013/2016: Joint High Speed Vessel \$3,638,000.】

SEC. 【8073】8037. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year 【2016】2017 until the enactment of the Intelligence Authorization Act for Fiscal Year 【2016】2017.

【SEC. 8074. None of the funds provided in this Act shall be available for obligation or expenditure through a reprogramming of funds that creates or initiates a new program, project, or activity unless such program, project, or activity must be undertaken immediately in the interest of national security and only after written prior notification to the congressional defense committees.】

【SEC. 8075. The budget of the President for fiscal year 2017 submitted to the Congress pursuant to section 1105 of title 31, United States Code, shall include separate budget justification documents for costs of United States Armed Forces' participation in contingency operations for the Military Personnel accounts, the Operation and Maintenance accounts, the Procurement accounts, and the Research, Development, Test and Evaluation accounts: *Provided*, That these documents shall include a description of the funding requested for each contingency operation, for each military service, to include all Active and Reserve components, and for each appropriations account: *Provided further*, That these documents shall include estimated costs for each element of expense or object class, a reconciliation of increases and decreases for each contingency operation, and programmatic data including, but not limited to, troop strength for each Active and Reserve component, and estimates of the major weapons systems deployed in support of each contingency: *Provided further*, That these documents shall include budget exhibits OP-5 and OP-32 (as defined in the Department of Defense Financial Management Regulation) for all contingency operations for the budget year and the two preceding fiscal years.】

【SEC. 8076. None of the funds in this Act may be used for research, development, test, evaluation, procurement or deployment of nuclear armed interceptors of a missile defense system.】

【SEC. 8077. Notwithstanding any other provision of this Act, to reflect savings due to favorable foreign exchange rates, the total amount appropriated in this Act is hereby reduced by \$1,500,789,000.】

【SEC. 8078. None of the funds appropriated or made available in this Act shall be used to reduce or disestablish the operation of the 53rd Weather Reconnaissance Squadron of the Air Force Reserve, if such action would reduce the WC-130 Weather Reconnaissance mission below the levels funded in this Act: *Provided*, That the Air Force shall allow the 53rd Weather Reconnaissance Squadron to perform other missions in support of national defense requirements during the non-hurricane season.】

【SEC. 8079. None of the funds provided in this Act shall be available for integration of foreign intelligence information unless the information has been lawfully collected and processed during the conduct of authorized foreign intelligence activities: *Provided*, That information pertaining to United States persons shall only be handled in accordance with protections provided in the Fourth Amendment of the United States Constitution as implemented through Executive Order No. 12333.】

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8080】8038. The Secretary of Defense may transfer funds from any available Department of the Navy appropriation to any available Navy ship construction appropriation for the purpose of liquidating necessary changes resulting from inflation, market fluctuations, or rate adjustments for any ship construction program appropriated in law: *Provided*, That the Secretary may transfer not to exceed 【\$20,000,000】 \$100,000,000 under the authority provided by this section: *Provided further*, That the Secretary may not transfer any funds until 30 days after the proposed transfer has been reported to the Committees on Appropriations of the House of Representatives and the Senate, unless a response from the Committees is received sooner: *Provided further*, That any funds transferred pursuant to this section shall retain the same period of availability as when originally appropriated: *Provided further*, That the transfer authority provided by this section is in addition to any other transfer authority contained elsewhere in this Act.

【SEC. 8081. (a) None of the funds appropriated by this Act may be used to transfer research and development, acquisition, or other program authority relating to current tactical unmanned aerial vehicles (TUAVs) from the Army.

(b) The Army shall retain responsibility for and operational control of the MQ-1C Gray Eagle Unmanned Aerial Vehicle (UAV) in order to support the Secretary of Defense in matters relating to the employment of unmanned aerial vehicles.】

【SEC. 8082. Up to \$15,000,000 of the funds appropriated under the heading "Operation and Maintenance, Navy" may be made available for the Asia Pacific Regional Initiative Program for the purpose of enabling the Pacific Command to execute Theater Security Cooperation activities such as humanitarian assistance, and payment of incremental and personnel costs of training and exercising with foreign security

forces: *Provided*, That funds made available for this purpose may be used, notwithstanding any other funding authorities for humanitarian assistance, security assistance or combined exercise expenses: *Provided further*, That funds may not be obligated to provide assistance to any foreign country that is otherwise prohibited from receiving such type of assistance under any other provision of law. ]

SEC. [8083]8039. None of the funds appropriated by this Act for programs of the Office of the Director of National Intelligence shall remain available for obligation beyond the current fiscal year, except for funds appropriated for research and technology, which shall remain available until September 30, [2017]2018.

SEC. [8084]8040. For purposes of section 1553(b) of title 31, United States Code, any subdivision of appropriations made in this Act under the heading "Shipbuilding and Conversion, Navy" shall be considered to be for the same purpose as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in any prior fiscal year, and the 1 percent limitation shall apply to the total amount of the appropriation.

[SEC. 8085. (a) Not later than 60 days after the date of enactment of this Act, the Director of National Intelligence shall submit a report to the congressional intelligence committees to establish the baseline for application of reprogramming and transfer authorities for fiscal year 2016: *Provided*, That the report shall include—

(1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(2) a delineation in the table for each appropriation by Expenditure Center and project; and

(3) an identification of items of special congressional interest.

(b) None of the funds provided for the National Intelligence Program in this Act shall be available for reprogramming or transfer until the report identified in subsection (a) is submitted to the congressional intelligence committees, unless the Director of National Intelligence certifies in writing to the congressional intelligence committees that such reprogramming or transfer is necessary as an emergency requirement. ]

[SEC. 8086. None of the funds made available by this Act may be used to eliminate, restructure, or realign Army Contracting Command-New Jersey or make disproportionate personnel reductions at any Army Contracting Command-New Jersey sites without 30-day prior notification to the congressional defense committees. ]

[SEC. 8087. None of the funds made available by this Act may be used to retire, divest, realign, or transfer RQ-4B Global Hawk aircraft, or to disestablish or convert units associated with such aircraft. ]

[SEC. 8088. None of the funds made available by this Act for excess defense articles, assistance under section 1206 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109–163; 119 Stat. 3456), or peacekeeping operations for the countries designated annually to be in violation of the standards of the Child Soldiers Prevention Act of 2008 (Public Law 110–457; 22 U.S.C. 2370c-1) may be used to support any military training or operation that includes child soldiers, as defined by the Child Soldiers Prevention Act of 2008, unless such assistance is otherwise permitted under section 404 of the Child Soldiers Prevention Act of 2008. ]

(INCLUDING TRANSFER OF FUNDS)

SEC. [8089]8041. Of the funds appropriated in the Intelligence Community Management Account for the Program Manager for the Information Sharing Environment, [ \$20,000,000 ] \$17,000,000 is available for transfer by the Director of National Intelligence to other departments and agencies for purposes of Government-wide information sharing activities: *Provided*, That funds transferred under this provision are to be merged with and available for the same purposes and time period as the appropriation to which transferred: *Provided further*, That the Office of Management and Budget must approve any transfers made under this provision.

[SEC. 8090. (a) None of the funds provided for the National Intelligence Program in this or any prior appropriations Act shall be available for obligation or expenditure through a reprogramming or transfer of funds in accordance with section 102A(d) of the National Security Act of 1947 (50 U.S.C. 3024(d)) that—

(1) creates a new start effort;

(2) terminates a program with appropriated funding of \$10,000,000 or more;

(3) transfers funding into or out of the National Intelligence Program; or

(4) transfers funding between appropriations, unless the congressional intelligence committees are notified 30 days in advance of such reprogramming of funds; this notification period may be reduced for urgent national security requirements.

(b) None of the funds provided for the National Intelligence Program in this or any prior appropriations Act shall be available for obligation or expenditure through a reprogramming or transfer of funds in accordance with section 102A(d) of the National Security Act of 1947 (50 U.S.C. 3024(d)) that results in a cumulative increase or decrease of the levels specified in the classified annex accompanying the Act unless the congressional intelligence committees are notified 30 days in

advance of such reprogramming of funds; this notification period may be reduced for urgent national security requirements. ]

[SEC. 8091. The Director of National Intelligence shall submit to Congress each year, at or about the time that the President's budget is submitted to Congress that year under section 1105(a) of title 31, United States Code, a future-years intelligence program (including associated annexes) reflecting the estimated expenditures and proposed appropriations included in that budget. Any such future-years intelligence program shall cover the fiscal year with respect to which the budget is submitted and at least the four succeeding fiscal years. ]

[SEC. 8092. For the purposes of this Act, the term "congressional intelligence committees" means the Permanent Select Committee on Intelligence of the House of Representatives, the Select Committee on Intelligence of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives, and the Subcommittee on Defense of the Committee on Appropriations of the Senate. ]

[SEC. 8093. The Department of Defense shall continue to report incremental contingency operations costs for Operation Inherent Resolve, Operation Freedom's Sentinel, and any named successor operations, on a monthly basis and any other operation designated and identified by the Secretary of Defense for the purposes of section 127a of title 10, United States Code, on a semi-annual basis in the Cost of War Execution Report as prescribed in the Department of Defense Financial Management Regulation Department of Defense Instruction 7000.14, Volume 12, Chapter 23 "Contingency Operations", Annex 1, dated September 2005. ]

(INCLUDING TRANSFER OF FUNDS)

SEC. [8094]8042. During the current fiscal year, not to exceed \$11,000,000 from each of the appropriations made in title II of this Act for "Operation and Maintenance, Army", "Operation and Maintenance, Navy", and "Operation and Maintenance, Air Force" may be transferred by the military department concerned to its central fund established for Fisher Houses and Suites pursuant to section 2493(d) of title 10, United States Code.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8095]8043. Funds appropriated by this Act [ for operation and maintenance ] may be available for the purpose of making remittances and transfers to the Defense Acquisition Workforce Development Fund in accordance with section 1705 of title 10, United States Code.

[SEC. 8096. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days. ]

SEC. [8097]8044. (a) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract for an amount in excess of \$1,000,000, unless the contractor agrees not to—

(1) enter into any agreement with any of its employees or independent contractors that requires, as a condition of employment, that the employee or independent contractor agree to resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention; or

(2) take any action to enforce any provision of an existing agreement with an employee or independent contractor that mandates that the employee or independent contractor resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention.

(b) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract unless the contractor certifies that it requires each covered subcontractor to agree not to enter into, and not to take any action to enforce any provision of, any agreement as described in paragraphs (1) and (2) of subsection (a), with respect to any employee or independent contractor performing work related to such subcontract. For purposes of this subsection, a "covered subcontractor" is an entity that has a subcontract in excess of \$1,000,000 on a contract subject to subsection (a).

(c) The prohibitions in this section do not apply with respect to a contractor's or subcontractor's agreements with employees or independent contractors that may not be enforced in a court of the United States.

(d) The Secretary of Defense may waive the application of subsection (a) or (b) to a particular contractor or subcontractor for the purposes of a particular contract or subcontract if the Secretary or the Deputy Secretary personally determines that the waiver is necessary to avoid harm to national security interests of the United States, and that the term of the contract or subcontract is not longer than necessary to avoid such harm. The determination shall set forth with specificity the grounds for the waiver and for the contract or subcontract term selected, and shall state any alternatives considered in lieu of a waiver and the reasons each such alternative would not avoid harm to national security interests of the United States. The Secretary of Defense shall transmit to Congress, and simultaneously make public, any determination under this subsection not less than 15 business days before the contract or subcontract addressed in the determination may be awarded.

**[(INCLUDING TRANSFER OF FUNDS)]**

**SEC. [8098]8045.** From within the funds appropriated for operation and maintenance for the Defense Health Program in this Act, up to **[\$121,000,000] \$122,375,000**, shall be available for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund in accordance with the provisions of section 1704 of the National Defense Authorization Act for Fiscal Year 2010, Public Law 111–84: *Provided*, That for purposes of section 1704(b), the facility operations funded are operations of the integrated Captain James A. Lovell Federal Health Care Center, consisting of the North Chicago Veterans Affairs Medical Center, the Navy Ambulatory Care Center, and supporting facilities designated as a combined Federal medical facility as described by section 706 of Public Law 110–417: *Provided further*, That additional funds may be transferred from funds appropriated for operation and maintenance for the Defense Health Program to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Defense to the Committees on Appropriations of the House of Representatives and the Senate.

**SEC. [8099]8046.** Appropriations available to the Department of Defense may be used for the purchase of heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$450,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

**SEC. 8100.** None of the funds appropriated or otherwise made available by this Act or any other Act may be used by the Department of Defense or a component thereof in contravention of the provisions of section 130h of title 10, United States Code (as added by section 1671 of the National Defense Authorization Act for Fiscal Year 2016). **]**

**SEC. 8101.** The Secretary of Defense shall report quarterly the numbers of civilian personnel end strength by appropriation account for each and every appropriation account used to finance Federal civilian personnel salaries to the congressional defense committees within 15 days after the end of each fiscal quarter. **]**

**[(INCLUDING TRANSFER OF FUNDS)]**

**SEC. 8102.** Upon a determination by the Director of National Intelligence that such action is necessary and in the national interest, the Director may, with the approval of the Office of Management and Budget, transfer not to exceed \$1,500,000,000 of the funds made available in this Act for the National Intelligence Program: *Provided*, That such authority to transfer may not be used unless for higher priority items, based on unforeseen intelligence requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further*, That a request for multiple reprogrammings of funds using authority provided in this section shall be made prior to June 30, 2016. **]**

**SEC. 8103.** None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense. **]**

**SEC. 8104.** (a) None of the funds appropriated or otherwise made available in this or any other Act may be used to construct, acquire, or modify any facility in the United States, its territories, or possessions to house any individual described in subsection (c) for the purposes of detention or imprisonment in the custody or under the effective control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

(1) is not a citizen of the United States or a member of the Armed Forces of the United States; and

(2) is—

(A) in the custody or under the effective control of the Department of Defense; or

(B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba. **]**

**SEC. 8105.** None of the funds appropriated or otherwise made available in this Act may be used to transfer any individual detained at United States Naval Station Guantanamo Bay, Cuba, to the custody or control of the individual's country of origin, any other foreign country, or any other foreign entity except in accordance with sections 1033 and 1034 of the National Defense Authorization Act for Fiscal Year 2016. **]**

**SEC. 8106.** None of the funds made available by this Act may be used in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.). **]**

**[(INCLUDING TRANSFER OF FUNDS)]**

**SEC. 8107.** Of the amounts appropriated for "Operation and Maintenance, Navy", up to \$1,000,000 shall be available for transfer to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105). **]**

**SEC. 8108.** None of the funds made available by this Act may be used by the Department of Defense or any other Federal agency to lease or purchase new light duty vehicles, for any executive fleet, or for any agency's fleet inventory, except in accordance with Presidential Memorandum-Federal Fleet Performance, dated May 24, 2011. **]**

**SEC. 8109.** (a) None of the funds appropriated or otherwise made available by this or any other Act may be used by the Secretary of Defense, or any other official or officer of the Department of Defense, to enter into a contract, memorandum of understanding, or cooperative agreement with, or make a grant to, or provide a loan or loan guarantee to Rosoboronexport or any subsidiary of Rosoboronexport.

(b) The Secretary of Defense may waive the limitation in subsection (a) if the Secretary, in consultation with the Secretary of State and the Director of National Intelligence, determines that it is in the vital national security interest of the United States to do so, and certifies in writing to the congressional defense committees that, to the best of the Secretary's knowledge:

(1) Rosoboronexport has ceased the transfer of lethal military equipment to, and the maintenance of existing lethal military equipment for, the Government of the Syrian Arab Republic;

(2) The armed forces of the Russian Federation have withdrawn from Crimea, other than armed forces present on military bases subject to agreements in force between the Government of the Russian Federation and the Government of Ukraine; and

(3) Agents of the Russian Federation have ceased taking active measures to destabilize the control of the Government of Ukraine over eastern Ukraine.

(c) The Inspector General of the Department of Defense shall conduct a review of any action involving Rosoboronexport with respect to a waiver issued by the Secretary of Defense pursuant to subsection (b), and not later than 90 days after the date on which such a waiver is issued by the Secretary of Defense, the Inspector General shall submit to the congressional defense committees a report containing the results of the review conducted with respect to such waiver. **]**

**SEC. 8110.** None of the funds made available in this Act may be used for the purchase or manufacture of a flag of the United States unless such flags are treated as covered items under section 2533a(b) of title 10, United States Code. **]**

**SEC. 8111.** (a) Of the funds appropriated in this Act for the Department of Defense, amounts may be made available, under such regulations as the Secretary of Defense may prescribe, to local military commanders appointed by the Secretary, or by an officer or employee designated by the Secretary, to provide at their discretion ex gratia payments in amounts consistent with subsection (d) of this section for damage, personal injury, or death that is incident to combat operations of the Armed Forces in a foreign country.

(b) An ex gratia payment under this section may be provided only if—

(1) the prospective foreign civilian recipient is determined by the local military commander to be friendly to the United States;

(2) a claim for damages would not be compensable under chapter 163 of title 10, United States Code (commonly known as the "Foreign Claims Act"); and

(3) the property damage, personal injury, or death was not caused by action by an enemy.

(c) **NATURE OF PAYMENTS.**—Any payments provided under a program under subsection (a) shall not be considered an admission or acknowledgement of any legal obligation to compensate for any damage, personal injury, or death.

(d) **AMOUNT OF PAYMENTS.**—If the Secretary of Defense determines a program under subsection (a) to be appropriate in a particular setting, the amounts of payments, if any, to be provided to civilians determined to have suffered harm incident to combat operations of the Armed Forces under the program should be determined pursuant to regulations prescribed by the Secretary and based on an assessment, which should include such factors as cultural appropriateness and prevailing economic conditions.

(e) **LEGAL ADVICE.**—Local military commanders shall receive legal advice before making ex gratia payments under this subsection. The legal advisor, under regulations of the Department of Defense, shall advise on whether an ex gratia payment is proper under this section and applicable Department of Defense regulations.

(f) **WRITTEN RECORD.**—A written record of any ex gratia payment offered or denied shall be kept by the local commander and on a timely basis submitted to the appropriate office in the Department of Defense as determined by the Secretary of Defense.

(g) **REPORT.**—The Secretary of Defense shall report to the congressional defense committees on an annual basis the efficacy of the ex gratia payment program including the number of types of cases considered, amounts offered, the response from ex gratia payment recipients, and any recommended modifications to the program.】

【SEC. 8112. None of the funds available in this Act to the Department of Defense, other than appropriations made for necessary or routine refurbishments, upgrades or maintenance activities, shall be used to reduce or to prepare to reduce the number of deployed and non-deployed strategic delivery vehicles and launchers below the levels set forth in the report submitted to Congress in accordance with section 1042 of the National Defense Authorization Act for Fiscal Year 2012.】

【SEC. 8113. The Secretary of Defense shall post grant awards on a public Web site in a searchable format.】

【SEC. 8114. None of the funds made available by this Act may be used to realign forces at Lajes Air Force Base, Azores, Portugal, until the Secretary of Defense certifies to the congressional defense committees that the Secretary of Defense has determined, based on an analysis of operational requirements, that Lajes Air Force Base is not an optimal location for the Joint Intelligence Analysis Complex.】

【SEC. 8115. None of the funds made available by this Act may be used to fund the performance of a flight demonstration team at a location outside of the United States: *Provided*, That this prohibition applies only if a performance of a flight demonstration team at a location within the United States was canceled during the current fiscal year due to insufficient funding.】

【SEC. 8116. None of the funds made available by this Act may be used by the National Security Agency to—

- (1) conduct an acquisition pursuant to section 702 of the Foreign Intelligence Surveillance Act of 1978 for the purpose of targeting a United States person; or
- (2) acquire, monitor, or store the contents (as such term is defined in section 2510(8) of title 18, United States Code) of any electronic communication of a United States person from a provider of electronic communication services to the public pursuant to section 501 of the Foreign Intelligence Surveillance Act of 1978.】

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8117. In addition to amounts provided elsewhere in this Act for basic allowance for housing for military personnel, including active duty, reserve and National Guard personnel, \$300,000,000 is hereby appropriated to the Department of Defense and made available for transfer only to military personnel accounts: *Provided*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.】

【SEC. 8118. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.】

【SEC. 8119. None of the funds made available in this or any other Act may be used to pay the salary of any officer or employee of any agency funded by this Act who approves or implements the transfer of administrative responsibilities or budgetary resources of any program, project, or activity financed by this Act to the jurisdiction of another Federal agency not financed by this Act without the express authorization of Congress: *Provided*, That this limitation shall not apply to transfers of funds expressly provided for in Defense Appropriations Acts, or provisions of Acts providing supplemental appropriations for the Department of Defense.】

【SEC. 8120. None of the funds appropriated or otherwise made available by this Act may be used in contravention of section 1054 of the National Defense Authorization Act for Fiscal Year 2016, regarding transfer of AH-64 Apache helicopters from the Army National Guard to regular Army.】

【SEC. 8121. None of the funds made available in this Act may be obligated for activities authorized under section 1208 of the Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (Public Law 112–81; 125 Stat. 1621) to

initiate support for, or expand support to, foreign forces, irregular forces, groups, or individuals unless the congressional defense committees are notified in accordance with the direction contained in the classified annex accompanying this Act, not less than 15 days before initiating such support: *Provided*, That none of the funds made available in this Act may be used under section 1208 for any activity that is not in support of an ongoing military operation being conducted by United States Special Operations Forces to combat terrorism: *Provided further*, That the Secretary of Defense may waive the prohibitions in this section if the Secretary determines that such waiver is required by extraordinary circumstances and, by not later than 72 hours after making such waiver, notifies the congressional defense committees of such waiver.】

【SEC. 8122. None of the funds made available by this Act may be used with respect to Iraq in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.), including for the introduction of United States armed forces into hostilities in Iraq, into situations in Iraq where imminent involvement in hostilities is clearly indicated by the circumstances, or into Iraqi territory, airspace, or waters while equipped for combat, in contravention of the congressional consultation and reporting requirements of sections 3 and 4 of such Resolution (50 U.S.C. 1542 and 1543).】

【SEC. 8123. None of the funds made available by this Act may be used to divest, retire, transfer, or place in storage or on backup aircraft inventory status, or prepare to divest, retire, transfer, or place in storage or on backup aircraft inventory status, any A-10 aircraft, or to disestablish any units of the active or reserve component associated with such aircraft.】

【SEC. 8124. Of the funds provided for "Research, Development, Test and Evaluation, Defense-Wide" in this Act, not less than \$2,800,000 shall be used to support the Department's activities related to the implementation of the Digital Accountability and Transparency Act (Public Law 113–101; 31 U.S.C. 6101 note) and to support the implementation of a uniform procurement instrument identifier as described in subpart 4.16 of Title 48, Code of Federal Regulations, to include changes in business processes, workforce, or information technology.】

【SEC. 8125. None of the funds provided in this Act for the T-AO(X) program shall be used to award a new contract that provides for the acquisition of the following components unless those components are manufactured in the United States: Auxiliary equipment (including pumps) for shipboard services; propulsion equipment (including engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes: *Provided*, That the Secretary of the military department responsible for such procurement may waive these restrictions on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely and cost competitive basis and that such an acquisition must be made in order to acquire capability for national security purposes.】

【SEC. 8126. The amounts appropriated in title II of this Act are hereby reduced by \$389,000,000 to reflect excess cash balances in Department of Defense Working Capital Funds, as follows:

- (1) From "Operation and Maintenance, Army", \$138,000,000;
- (2) From "Operation and Maintenance, Air Force", \$251,000,000.】

【(RESCISSION)】

【SEC. 8127. Of the unobligated balances available to the Department of Defense, the following funds are permanently rescinded from the following accounts and programs in the specified amounts to reflect excess cash balances in Department of Defense Working Capital Funds: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended:

From "Defense Working Capital Fund, Defense, X", \$1,037,000,000.】

【SEC. 8128. Notwithstanding any other provision of this Act, to reflect savings due to lower than anticipated fuel costs, the total amount appropriated in title II of this Act is hereby reduced by \$2,576,000,000.】

【SEC. 8129. None of the funds made available by this Act may be used to divest or retire, or to prepare to divest or retire, KC-10 aircraft.】

【SEC. 8130. None of the funds made available by this Act may be used to divest, retire, transfer, or place in storage or on backup aircraft inventory status, or prepare to divest, retire, transfer, or place in storage or on backup aircraft inventory status, any EC-130H aircraft.】

【SEC. 8131. None of the funds made available by this Act may be used for Government Travel Charge Card expenses by military or civilian personnel of the Department of Defense for gaming, or for entertainment that includes topless or nude entertainers or participants, as prohibited by Department of Defense FMR, Volume 9, Chapter 3 and Department of Defense Instruction 1015.10 (enclosure 3, 14a and 14b).】

【SEC. 8132. None of the funds made available by this Act may be used to propose, plan for, or execute a new or additional Base Realignment and Closure (BRAC) round.】

SEC. 8047. *From amounts made available for operation and maintenance for Defense-wide activities for the current fiscal year, the Secretary of Defense may transfer such sums as are necessary to the Armed Forces Retirement Home Trust Fund.*

SEC. 8048. *Of the amounts appropriated for "Operation and Maintenance, Defense-Wide", \$86,700,000, to remain available until expended, shall be available for the following authorized purposes, notwithstanding any other provision of law, to the Secretary of Defense acting through the Office of Economic Adjustment of the Department of Defense to make grants, conclude cooperative agreements, and supplement other Federal funds to address the need for assistance to support critical existing and enduring military installations and missions on Guam, as well as any potential Department of Defense growth: (1) \$67,500,000 to address the need for civilian water and wastewater improvements, and (2) \$19,200,000 to address the need for a public health laboratory.*

SEC. 8049. *For purposes of section 7108 of title 41, United States Code, any subdivision of appropriations made under the heading "Shipbuilding and Conversion, Navy" that is not closed at the time reimbursement is made shall be available to reimburse the Judgment Fund and shall be considered for the same purposes as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in the current fiscal year or any prior fiscal year.*

SEC. 8050. *From amounts appropriated in this Act for "Operation and Maintenance, Navy", up to \$274,524 may be transferred to the Ready Reserve Force, Maritime Administration account of the United States Department of Transportation, to be merged with, and to be available for the same purposes and the same time period as such account, for expenses related to the National Defense Reserve Fleet established under Section 11 of the Merchant Ship Sales Act of 1946 (50 U.S.C. 4405): Provided, That the transfer authority provided under this provision is in addition to any other transfer authority contained elsewhere in this Act.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8051. *Notwithstanding the first proviso of section 8107(b) of the Department of Defense Appropriations Act, 2014, the Secretary of the Navy may transfer and obligate funds appropriated in such Act for "Ship Modernization, Operations and Sustainment Fund", 2014/2021, for the purposes of manning, operating, equipping and modernizing those Ticonderoga-class guided missile cruisers and Whidbey Island-class dock landing ships specified in the first proviso of section 8110 of the Department of Defense Appropriations Act, 2015: Provided, That section 8110 of the Department of Defense Appropriations Act, 2015, is amended by striking the sixth proviso: Provided further, That notwithstanding the seventh proviso in section 8110 of the Department of Defense Appropriations Act, 2015, the Secretary of the Navy shall contract for the required modernization equipment no later than two years prior to beginning a Ticonderoga-class cruiser's modernization.*

SEC. 8052. *The Secretary of Defense may use funds appropriated in titles II, III, and IV of this Act and Defense Working Capital Funds to develop, replace, and sustain Federal Government security and suitability background investigation information technology systems of the Office of Personnel Management or other Federal agency responsible for conducting such investigations: Provided, That such funds shall supplement, not supplant any other amounts made available to other Federal agencies for such purposes.*

SEC. 8053. *Of the amounts appropriated for Military Personnel under title I of this Act, not to exceed two percent of each appropriation shall remain available for obligation until September 30, 2018.*

SEC. 8054. *During the current fiscal year, funds appropriated by this or any prior Act may be used under authority of this section to carry out or continue programs, projects and activities authorized by law on September 30, 2016: Provided, That the additional authority provided by this section expires on the date of enactment of a National Defense Authorization Act for fiscal year 2017. (Department of Defense Appropriations Act, 2016.)*

## OVERSEAS CONTINGENCY OPERATIONS

### DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

#### MILITARY PERSONNEL

#### ACTIVE AND RESERVE FORCES

The following amounts include funds for pay, allowances, subsistence, and other personnel costs for Active and Reserve component service members activated for duty in Afghanistan and other areas around the world in support of Operation Freedom's Sentinel, Operation Inherent Resolve, and post-Operation New Dawn and European Reassurance Initiative activities. The request includes funding for Guard and Reserve mobilization costs and many special pays for deployed troops, including imminent danger pay, family separation allowance, hardship duty pay, and other special and incentive pays.

#### Federal Funds

#### MILITARY PERSONNEL, ARMY (Overseas contingency operations)

For an additional amount for "Military Personnel, Army", **[\$1,846,356,000]** \$2,051,578,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

#### Program and Financing (in millions of dollars)

Identification code 021–2010–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....			594
0002 Pay and Allowances of enlisted .....			1,024
0004 Subsistence of enlisted personnel .....			282
0005 Permanent change of station travel .....			45
0006 Other military personnel costs .....			107
0799 Total direct obligations .....			2,052
0900 Total new obligations .....			2,052
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			2,052
1900 Budget authority (total) .....			2,052
1930 Total budgetary resources available .....			2,052
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2,052
3020 Outlays (gross) .....			–1,898
3050 Unpaid obligations, end of year .....			154
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			154
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			2,052
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1,898
4180 Budget authority, net (total) .....			2,052
4190 Outlays, net (total) .....			1,898

#### Object Classification (in millions of dollars)

Identification code 021–2010–8–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			390
11.7 Military personnel .....			1,200

11.9 Total personnel compensation .....			1,590
12.2 Accrued retirement benefits .....			194
12.2 Other personnel benefits .....			114
13.0 Benefits for former personnel .....			90
21.0 Travel and transportation of persons .....			33
22.0 Transportation of things .....			12
26.0 Supplies and materials .....			2
42.0 Insurance claims and indemnities .....			15
43.0 Interest and dividends .....			2
99.0 Direct obligations .....			2,052
99.9 Total new obligations .....			2,052

#### MILITARY PERSONNEL, NAVY (Overseas contingency operations)

For an additional amount for "Military Personnel, Navy", **[\$251,011,000]** \$330,557,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

#### Program and Financing (in millions of dollars)

Identification code 017–1453–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....			110
0002 Pay and allowances of enlisted personnel .....			164
0004 Subsistence of enlisted personnel .....			34
0005 Permanent change of station travel .....			10
0006 Other military personnel costs .....			12
0799 Total direct obligations .....			330
0900 Total new obligations .....			330
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			331
1900 Budget authority (total) .....			331
1930 Total budgetary resources available .....			331
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			330
3020 Outlays (gross) .....			–314
3050 Unpaid obligations, end of year .....			16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			331
Outlays, gross:			
4010 Outlays from new discretionary authority .....			314
4180 Budget authority, net (total) .....			331
4190 Outlays, net (total) .....			314

#### Object Classification (in millions of dollars)

Identification code 017–1453–8–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			60
11.7 Military personnel .....			182
11.9 Total personnel compensation .....			242
12.2 Accrued retirement benefits .....			32
12.2 Other personnel benefits .....			34
13.0 Benefits for former personnel .....			7

MILITARY PERSONNEL, NAVY—Continued  
Object Classification—Continued

Identification code 017-1453-8-1-051	2015 actual	2016 est.	2017 est.
21.0 Travel and transportation of persons .....			10
42.0 Insurance claims and indemnities .....			5
99.0 Direct obligations .....			330
99.9 Total new obligations .....			330

MILITARY PERSONNEL, MARINE CORPS  
(Overseas contingency operations)

For an additional amount for "Military Personnel, Marine Corps", [\$171,079,000] \$179,733,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 017-1105-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....			59
0002 Pay and allowances of enlisted personnel .....			117
0004 Subsistence of enlisted personnel .....			2
0006 Other military personnel costs .....			2
0799 Total direct obligations .....			180
0900 Total new obligations .....			180

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			180
1900 Budget authority (total) .....			180
1930 Total budgetary resources available .....			180

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			180
3020 Outlays (gross) .....			-170
3050 Unpaid obligations, end of year .....			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			10

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			180
Outlays, gross:			
4010 Outlays from new discretionary authority .....			170
4180 Budget authority, net (total) .....			180
4190 Outlays, net (total) .....			170

Object Classification (in millions of dollars)

Identification code 017-1105-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			18
11.7 Military personnel .....			57
11.9 Total personnel compensation .....			75
12.2 Accrued retirement benefits .....			11
12.2 Other personnel benefits .....			92
42.0 Insurance claims and indemnities .....			2
99.0 Direct obligations .....			180

99.9 Total new obligations .....			180
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MILITARY PERSONNEL, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Military Personnel, Air Force", [\$726,126,000] \$719,896,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3500-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....			185
0002 Pay and allowances of enlisted .....			388
0004 Subsistence of enlisted personnel .....			116
0006 Other military personnel costs .....			31
0799 Total direct obligations .....			720
0900 Total new obligations .....			720

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			720
1900 Budget authority (total) .....			720
1930 Total budgetary resources available .....			720

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			720
3020 Outlays (gross) .....			-681
3050 Unpaid obligations, end of year .....			39
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			39

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			720
Outlays, gross:			
4010 Outlays from new discretionary authority .....			681
4180 Budget authority, net (total) .....			720
4190 Outlays, net (total) .....			681

Object Classification (in millions of dollars)

Identification code 057-3500-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			120
11.7 Military personnel .....			449
11.9 Total personnel compensation .....			569
12.2 Accrued retirement benefits .....			72
12.2 Other personnel benefits .....			48
13.0 Benefits for former personnel .....			25
42.0 Insurance claims and indemnities .....			6
99.0 Direct obligations .....			720
99.9 Total new obligations .....			720

RESERVE PERSONNEL, ARMY  
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Army", [\$24,462,000] \$42,506,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control

Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 021-2070-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			42
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			43
1900 Budget authority (total) .....			43
1930 Total budgetary resources available .....			43
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			42
3020 Outlays (gross) .....			-39
3050 Unpaid obligations, end of year .....			3
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			43
Outlays, gross:			
4010 Outlays from new discretionary authority .....			39
4180 Budget authority, net (total) .....			43
4190 Outlays, net (total) .....			39

**Object Classification** (in millions of dollars)

Identification code 021-2070-8-1-051	2015 actual	2016 est.	2017 est.
11.7 Direct obligations: Personnel compensation: Military personnel .....			34
11.9 Total personnel compensation .....			34
12.2 Accrued retirement benefits .....			5
21.0 Travel and transportation of persons .....			3
99.0 Direct obligations .....			42
99.9 Total new obligations .....			42

**RESERVE PERSONNEL, NAVY**  
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Navy", **[\$12,693,000] \$11,929,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1405-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			12
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			12
1900 Budget authority (total) .....			12
1930 Total budgetary resources available .....			12

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			12
3020 Outlays (gross) .....			-11
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....			12
Outlays, gross:			
4010 Outlays from new discretionary authority .....			11
4180 Budget authority, net (total) .....			12
4190 Outlays, net (total) .....			11

**Object Classification** (in millions of dollars)

Identification code 017-1405-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			2
11.7 Military personnel .....			6
11.9 Total personnel compensation .....			8
12.2 Accrued retirement benefits .....			1
21.0 Travel and transportation of persons .....			3
99.0 Direct obligations .....			12
99.9 Total new obligations .....			12

**RESERVE PERSONNEL, MARINE CORPS**  
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Marine Corps", **[\$3,393,000] \$3,764,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1108-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4
1900 Budget authority (total) .....			4
1930 Total budgetary resources available .....			4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4
3020 Outlays (gross) .....			-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4
Outlays, gross:			
4010 Outlays from new discretionary authority .....			4
4180 Budget authority, net (total) .....			4
4190 Outlays, net (total) .....			4

**Object Classification** (in millions of dollars)

Identification code 017-1108-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			1

RESERVE PERSONNEL, MARINE CORPS—Continued  
Object Classification—Continued

Identification code 017-1108-8-1-051	2015 actual	2016 est.	2017 est.
11.7 Military personnel .....			2
11.9 Total personnel compensation .....			3
21.0 Travel and transportation of persons .....			1
99.0 Direct obligations .....			4
99.9 Total new obligations .....			4

RESERVE PERSONNEL, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Air Force", **[\$18,710,000] \$20,535,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3700-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			21
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			21
1900 Budget authority (total) .....			21
1930 Total budgetary resources available .....			21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			21
3020 Outlays (gross) .....			-19
3050 Unpaid obligations, end of year .....			2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			21
Outlays, gross:			
4010 Outlays from new discretionary authority .....			19
4180 Budget authority, net (total) .....			21
4190 Outlays, net (total) .....			19

Object Classification (in millions of dollars)

Identification code 057-3700-8-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			1
11.7 Military personnel .....			10
11.9 Total personnel compensation .....			11
12.2 Accrued retirement benefits .....			2
12.2 Other personnel benefits .....			1
21.0 Travel and transportation of persons .....			7
99.0 Direct obligations .....			21
99.9 Total new obligations .....			21

NATIONAL GUARD PERSONNEL, ARMY  
(Overseas contingency operations)

For an additional amount for "National Guard Personnel, Army", **[\$166,015,000] \$196,472,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 021-2060-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			196
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			196
1900 Budget authority (total) .....			196
1930 Total budgetary resources available .....			196
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			196
3020 Outlays (gross) .....			-180
3050 Unpaid obligations, end of year .....			16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			196
Outlays, gross:			
4010 Outlays from new discretionary authority .....			180
4180 Budget authority, net (total) .....			196
4190 Outlays, net (total) .....			180

Object Classification (in millions of dollars)

Identification code 021-2060-8-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			7
11.7 Military personnel .....			147
11.9 Total personnel compensation .....			154
12.2 Accrued retirement benefits .....			30
12.2 Other personnel benefits .....			2
21.0 Travel and transportation of persons .....			10
99.0 Direct obligations .....			196
99.9 Total new obligations .....			196

NATIONAL GUARD PERSONNEL, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "National Guard Personnel, Air Force", **[\$2,828,000] \$5,288,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3850-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			5

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		5
1900	Budget authority (total) .....		5
1930	Total budgetary resources available .....		5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		5
3020	Outlays (gross) .....		-5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		5
Outlays, gross:			
4010	Outlays from new discretionary authority .....		5
4180	Budget authority, net (total) .....		5
4190	Outlays, net (total) .....		5

**Object Classification** (in millions of dollars)

Identification code 057-3850-8-1-051		2015 actual	2016 est.	2017 est.
11.7	Direct obligations: Personnel compensation: Military personnel .....			2
11.9	Total personnel compensation .....			2
12.2	Accrued retirement benefits .....			1
21.0	Travel and transportation of persons .....			2
99.0	Direct obligations .....			5
99.9	Total new obligations .....			5

**OPERATION AND MAINTENANCE**

The requests that follow would fund operation and maintenance activities for the Active and Reserve components of all Military Services, Defense Agencies, and Defense-Wide Activities supporting military operations in Afghanistan, in Iraq and the Levant, and other areas in direct support of Operation Freedom's Sentinel and Operation Inherent Resolve. These activities include: ground combat operations; flying hours; steaming days; military intelligence activities; subsistence and logistics support; fuel and supply purchases; base and facility support to include the Logistics Civil Augmentation Program; contract oversight; communications; and maintenance. In addition, the requests include funding to support: significant European reassurance activities; coalition support reimbursements; the Afghanistan security forces; the Iraq Train and Equip Fund; the Syria Train and Equip Fund; the Counterterrorism Partnerships Fund; detainee operations; pre-deployment training; personal protective equipment; the Defense Health Program; family support programs; counterdrug activities; intelligence, surveillance, and reconnaissance activities; the Commander's Emergency Response Program in Afghanistan; and the Office of Security Cooperation—Iraq. Funding is also included for classified activities.

**Federal Funds**

**OPERATION AND MAINTENANCE, ARMY**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army", **[\$14,994,833,000]** \$15,310,587,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021-2020-8-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Operating forces .....			13,083
0002	Mobilization .....			350
0003	Training and Recruiting .....			16
0004	Administration and service-wide activities .....			1,861
0799	Total direct obligations .....			15,310
0900	Total new obligations .....			15,310

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		15,311
1900	Budget authority (total) .....		15,311
1930	Total budgetary resources available .....		15,311
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		15,310
3020	Outlays (gross) .....		-9,156
3050	Unpaid obligations, end of year .....		6,154
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		6,154

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		15,311
Outlays, gross:			
4010	Outlays from new discretionary authority .....		9,156
4180	Budget authority, net (total) .....		15,311
4190	Outlays, net (total) .....		9,156

**Object Classification** (in millions of dollars)

Identification code 021-2020-8-1-051		2015 actual	2016 est.	2017 est.
Direct obligations:				
21.0	Travel and transportation of persons .....			1,107
22.0	Transportation of things .....			5,991
25.4	Operation and maintenance of facilities .....			2,903
25.7	Operation and maintenance of equipment .....			2,002
26.0	Supplies and materials .....			3,307
99.0	Direct obligations .....			15,310
99.9	Total new obligations .....			15,310

**OPERATION AND MAINTENANCE, NAVY**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Navy", **[\$7,169,611,000]** \$6,827,391,000, of which up to \$162,692,000 may be transferred to the Coast Guard "Operating Expenses" account: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1804-8-1-051		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Operating forces .....			6,421
0002	Mobilization .....			173
0003	Training and recruiting .....			43
0004	Administration and service-wide activities .....			190
0799	Total direct obligations .....			6,827
0900	Total new obligations .....			6,827

OPERATION AND MAINTENANCE, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1804-8-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			6,827
1900			6,827
1930			6,827
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			6,827
3020			-4,881
3050			1,946
Memorandum (non-add) entries:			
3200			1,946
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			6,827
Outlays, gross:			
4010			4,881
4180			6,827
4190			4,881

Object Classification (in millions of dollars)

Identification code 017-1804-8-1-051	2015 actual	2016 est.	2017 est.
11.5			2
11.9			2
21.0			125
22.0			257
23.1			1
23.2			85
23.3			20
25.1			44
25.2			84
25.3			335
25.3			678
25.4			81
25.7			3,094
26.0			1,294
31.0			724
32.0			3
99.0			6,827
99.9			6,827

OPERATION AND MAINTENANCE, MARINE CORPS  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Marine Corps", **[\$1,372,534,000] \$1,244,359,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 017-1106-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001			1,135
0003			32
0004			77
0799			1,244
0900			1,244

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100			1,244
1900			1,244
1930			1,244

Change in obligated balance:

Unpaid obligations:			
3010			1,244
3020			-733
3050			511
Memorandum (non-add) entries:			
3200			511

Budget authority and outlays, net:

Discretionary:			
4000			1,244
Outlays, gross:			
4010			733
4180			1,244
4190			733

Object Classification (in millions of dollars)

Identification code 017-1106-8-1-051	2015 actual	2016 est.	2017 est.
11.5			5
11.9			5
21.0			79
22.0			102
23.2			12
23.3			18
25.1			41
25.2			227
25.3			39
25.3			185
25.4			1
25.6			1
25.7			129
25.8			2
26.0			370
31.0			33
99.0			1,244
99.9			1,244

OPERATION AND MAINTENANCE, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air Force", **[\$11,128,813,000] \$9,498,830,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3400-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001			5,195
0002			3,778
0003			57
0004			469
0799			9,499
0900			9,499
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			9,499
1900			9,499

1930	Total budgetary resources available .....	9,499
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....	9,499
3020	Outlays (gross) .....	-6,269
3050	Unpaid obligations, end of year .....	3,230
Memorandum (non-add) entries:		
3200	Obligated balance, end of year .....	3,230
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	9,499
Outlays, gross:		
4010	Outlays from new discretionary authority .....	6,269
4180	Budget authority, net (total) .....	9,499
4190	Outlays, net (total) .....	6,269

**Object Classification** (in millions of dollars)

Identification code 057-3400-8-1-051	2015 actual	2016 est.	2017 est.
11.5	Direct obligations: Personnel compensation: Other personnel compensation .....		66
11.9	Total personnel compensation .....		66
21.0	Travel and transportation of persons .....		436
22.0	Transportation of things .....		118
23.2	Rental payments to others .....		20
23.3	Communications, utilities, and miscellaneous charges .....		531
25.1	Advisory and assistance services .....		92
25.2	Contracts with the private sector .....		1,104
25.3	Purchases from revolving funds .....		2,351
25.4	Operation and maintenance of facilities .....		114
25.7	Operation and maintenance of equipment .....		1,721
26.0	Supplies and materials .....		2,711
31.0	Equipment .....		191
32.0	Land and structures .....		44
99.0	Direct obligations .....		9,499
99.9	Total new obligations .....		9,499

**OPERATION AND MAINTENANCE, DEFENSE-WIDE**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Defense-Wide", **[\$5,665,633,000]** \$5,982,173,000: *Provided*, That of the funds provided under this heading, not to exceed **[\$1,160,000,000]** \$1,100,000,000, to remain available until September 30, **[2017]** 2018, shall be for payments to reimburse key cooperating nations for logistical, military, and other support, including access, provided to United States military and stability operations in Afghanistan and to counter the Islamic State of Iraq and the Levant: *Provided further*, That such reimbursement payments may be made in such amounts as the Secretary of Defense, with the concurrence of the Secretary of State, and in consultation with the Director of the Office of Management and Budget, may determine, based on documentation determined by the Secretary of Defense to adequately account for the support provided, and such determination is final and conclusive upon the accounting officers of the United States, and 15 days following notification to the appropriate congressional committees: *Provided further*, That these funds may be used for the purpose of providing specialized training and procuring supplies and specialized equipment and providing such supplies and loaning such equipment on a non-reimbursable basis to coalition forces supporting United States military and stability operations in Afghanistan and to counter the Islamic State of Iraq and the Levant, and 15 days following notification to the appropriate congressional committees: *Provided further*, That **[**these funds may be used to support the Governments of Jordan and Lebanon, in such amounts as the Secretary of Defense may determine, to enhance the ability of the armed forces of Jordan to increase or sustain security along its borders and the ability of the armed forces of Lebanon to increase or sustain security along its borders, upon 15 days prior written notification to the congressional defense committees outlining the amounts intended to be provided and the nature of the expenses incurred: *Provided further*, That of the funds provided under this heading, up to \$30,000,000 shall be for Operation Observant Compass **]** *not to exceed \$15,000,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of Defense, and payments may be made on his certificate of necessity for confidential military purposes: Provided further, That the authority in the preceding proviso may only be used for emergency and extraordinary expenses*

*associated with activities to counter the Islamic State of Iraq and the Levant: Provided further, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees on the use of funds provided in this paragraph: Provided further, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 097-0100-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Operating forces .....		2,651
0004	Administration and service-wide activities .....		3,331
0799	Total direct obligations .....		5,982
0900	Total new obligations .....		5,982
<b>Budgetary resources:</b>			
Budget authority:			
Appropriation, discretionary:			
1100	Appropriation .....		5,982
1900	Budget authority (total) .....		5,982
1930	Total budgetary resources available .....		5,982

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		5,982
3020	Outlays (gross) .....		-3,858
3050	Unpaid obligations, end of year .....		2,124
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		2,124

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		5,982
Outlays, gross:			
4010	Outlays from new discretionary authority .....		3,858
4180	Budget authority, net (total) .....		5,982
4190	Outlays, net (total) .....		3,858

**Object Classification** (in millions of dollars)

Identification code 097-0100-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....		12
11.3	Other than full-time permanent .....		13
11.5	Other personnel compensation .....		1
11.8	Special personal services payments .....		125
11.9	Total personnel compensation .....		151
12.1	Civilian personnel benefits .....		7
21.0	Travel and transportation of persons .....		138
22.0	Transportation of things .....		37
23.1	Rental payments to GSA .....		15
23.2	Rental payments to others .....		1
23.3	Communications, utilities, and miscellaneous charges .....		281
24.0	Printing and reproduction .....		2
25.1	Advisory and assistance services .....		750
25.2	Other services from non-Federal sources .....		492
25.3	Other goods and services from Federal sources .....		1,683
25.3	Purchases from revolving funds .....		384
25.4	Operation and maintenance of facilities .....		56
25.7	Operation and maintenance of equipment .....		1,340
25.8	Subsistence and support of persons .....		1
26.0	Supplies and materials .....		281
31.0	Equipment .....		358
32.0	Land and structures .....		4
42.0	Insurance claims and indemnities .....		1
99.0	Direct obligations .....		5,982
99.9	Total new obligations .....		5,982

OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued

Employment Summary

Identification code 097-0100-8-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			299

OFFICE OF THE INSPECTOR GENERAL

(Overseas contingency operations)

For an additional amount for the "Office of the Inspector General", **[\$10,262,000]** \$22,062,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 097-0107-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....			22
0799 Total direct obligations .....			22
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			22
1900 Budget authority (total) .....			22
1930 Total budgetary resources available .....			22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			22
3020 Outlays (gross) .....			-18
3050 Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			22
Outlays, gross:			
4010 Outlays from new discretionary authority .....			18
4180 Budget authority, net (total) .....			22
4190 Outlays, net (total) .....			18

Object Classification (in millions of dollars)

Identification code 097-0107-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.3 Other than full-time permanent .....			4
11.5 Other personnel compensation .....			11
11.9 Total personnel compensation .....			15
12.1 Civilian personnel benefits .....			3
21.0 Travel and transportation of persons .....			1
23.1 Rental payments to GSA .....			1
25.1 Advisory and assistance services .....			1
25.3 Purchases of goods and services from other Federal agencies .....			1
99.0 Direct obligations .....			22
99.9 Total new obligations .....			22

Employment Summary

Identification code 097-0107-8-1-051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			65

OPERATION AND MAINTENANCE, ARMY RESERVE

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army Reserve", **[\$99,559,000]** \$38,679,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-2080-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			39
0799 Total direct obligations .....			39
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			39
1900 Budget authority (total) .....			39
1930 Total budgetary resources available .....			39
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			39
3020 Outlays (gross) .....			-23
3050 Unpaid obligations, end of year .....			16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			39
Outlays, gross:			
4010 Outlays from new discretionary authority .....			23
4180 Budget authority, net (total) .....			39
4190 Outlays, net (total) .....			23

Object Classification (in millions of dollars)

Identification code 021-2080-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			15
25.6 Medical care .....			5
25.7 Operation and maintenance of equipment .....			17
26.0 Supplies and materials .....			2
99.0 Direct obligations .....			39
99.9 Total new obligations .....			39

OPERATION AND MAINTENANCE, NAVY RESERVE

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Navy Reserve", **[\$31,643,000]** \$26,265,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017-1806-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			26
0799 Total direct obligations .....			26
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			26
1900 Budget authority (total) .....			26
1930 Total budgetary resources available .....			26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			26
3020 Outlays (gross) .....			-18
3050 Unpaid obligations, end of year .....			8
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			26
Outlays, gross:			
4010 Outlays from new discretionary authority .....			18
4180 Budget authority, net (total) .....			26
4190 Outlays, net (total) .....			18

**Object Classification** (in millions of dollars)

Identification code 017-1806-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			1
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			1
25.3 Purchases from revolving funds .....			12
25.7 Operation and maintenance of equipment .....			7
26.0 Supplies and materials .....			4
99.0 Direct obligations .....			26
99.9 Total new obligations .....			26

**OPERATION AND MAINTENANCE, MARINE CORPS RESERVE**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Marine Corps Reserve", **[\$3,455,000] \$3,304,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1107-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			3
0799 Total direct obligations .....			3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3
1900 Budget authority (total) .....			3
1930 Total budgetary resources available .....			3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3

3020 Outlays (gross) .....			-2
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			3
4190 Outlays, net (total) .....			2

**Object Classification** (in millions of dollars)

Identification code 017-1107-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			2
25.2 Other services from non-Federal sources .....			1
99.0 Direct obligations .....			3
99.9 Total new obligations .....			3

**OPERATION AND MAINTENANCE, AIR FORCE RESERVE**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air Force Reserve", **[\$58,106,000] \$57,586,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 057-3740-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			58
0799 Total direct obligations .....			58
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			58
1900 Budget authority (total) .....			58
1930 Total budgetary resources available .....			58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			58
3020 Outlays (gross) .....			-44
3050 Unpaid obligations, end of year .....			14
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			58
Outlays, gross:			
4010 Outlays from new discretionary authority .....			44
4180 Budget authority, net (total) .....			58
4190 Outlays, net (total) .....			44

**Object Classification** (in millions of dollars)

Identification code 057-3740-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			2
25.3 Purchases from revolving funds .....			16
25.7 Operation and maintenance of equipment .....			35
25.8 Subsistence and support of persons .....			5

OPERATION AND MAINTENANCE, AIR FORCE RESERVE—Continued  
Object Classification—Continued

Identification code 057-3740-8-1-051	2015 actual	2016 est.	2017 est.
99.0 Direct obligations .....			58
99.9 Total new obligations .....			58

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army National Guard", **[\$135,845,000]** \$127,035,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 021-2065-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			127
0799 Total direct obligations .....			127

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			127
1900 Budget authority (total) .....			127
1930 Total budgetary resources available .....			127

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			127
3020 Outlays (gross) .....			-85
3050 Unpaid obligations, end of year .....			42
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			42

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			127
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			85
4180 Budget authority, net (total) .....			127
4190 Outlays, net (total) .....			85

Object Classification (in millions of dollars)

Identification code 021-2065-8-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....			19
25.7 Operation and maintenance of equipment .....			95
26.0 Supplies and materials .....			13
99.0 Direct obligations .....			127
99.9 Total new obligations .....			127

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air National Guard", **[\$19,900,000]** \$20,000,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Opera-*

*tions/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3840-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			20
0799 Total direct obligations .....			20

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			20
1900 Budget authority (total) .....			20
1930 Total budgetary resources available .....			20

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			20
3020 Outlays (gross) .....			-15
3050 Unpaid obligations, end of year .....			5
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			5

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			20
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			15
4180 Budget authority, net (total) .....			20
4190 Outlays, net (total) .....			15

Object Classification (in millions of dollars)

Identification code 057-3840-8-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....			1
25.8 Subsistence and support of persons .....			19
99.0 Direct obligations .....			20
99.9 Total new obligations .....			20

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE  
(Overseas contingency operations)

For an additional amount for "Drug Interdiction and Counter-Drug Activities, Defense", **[\$186,000,000]** \$215,333,000, *to remain available until September 30, 2018: Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 097-0105-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Drug interdiction and counter-drug activities .....			215
0900 Total new obligations (object class 26.0) .....			215

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			215
1900 Budget authority (total) .....			215
1930 Total budgetary resources available .....			215

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			215

3020	Outlays (gross) .....	-140
3050	Unpaid obligations, end of year .....	75
	Memorandum (non-add) entries:	
3200	Obligated balance, end of year .....	75
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	215
	Outlays, gross:	
4010	Outlays from new discretionary authority .....	140
4180	Budget authority, net (total) .....	215
4190	Outlays, net (total) .....	140

(INCLUDING TRANSFER OF FUNDS)

For the "Counterterrorism Partnerships Fund", **[\$1,100,000,000]** *\$1,000,000,000*, to remain available until September 30, **[2017]** *2018: Provided*, That such funds shall be available to provide support and assistance to foreign security forces or other groups or individuals to conduct, support, or facilitate counterterrorism and crisis response activities: *Provided further*, That the Secretary of Defense shall transfer the funds provided herein to other appropriations provided for in this Act to be merged with and to be available for the same purposes and subject to the same authorities and for the same time period as the appropriation to which transferred: *Provided further*, That the transfer authority under this heading is in addition to any other transfer authority provided elsewhere in this Act: *Provided further*, That **[**the funds available under this heading are available for transfer only to the extent that **]** the Secretary of Defense **[**submits a prior approval reprogramming request to **]** *shall, not fewer than 15 days prior to transferring amounts from this appropriation, notify the congressional defense committees in writing of the details of such transfer: Provided further*, That upon a determination by the Secretary of Defense **[**shall comply with the appropriate vetting standards and procedures established in division C of the Consolidated and Further Continuing Appropriations Act of 2015 (Public Law 113–235) for any recipient of training, equipment, or other assistance **]** *that all or part of the funds transferred from this appropriation are not necessary for the purposes herein, such amounts may be transferred back to the appropriation and shall be available for the same purposes and for the same time period as originally appropriated: Provided further*, That the amount provided under this heading is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**DEFENSE HEALTH PROGRAM**  
(Overseas contingency operations)

For an additional amount for "Defense Health Program", **[\$272,704,000]** *\$331,764,000*, which shall be for operation and maintenance: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097–0130–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Operation and maintenance .....		327
0799	Total direct obligations .....		327
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		332
1900	Budget authority (total) .....		332
1930	Total budgetary resources available .....		332
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		327
3020	Outlays (gross) .....		-232
3050	Unpaid obligations, end of year .....		95
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		95
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		332
Outlays, gross:			
4010	Outlays from new discretionary authority .....		232
4180	Budget authority, net (total) .....		332
4190	Outlays, net (total) .....		232

**Object Classification** (in millions of dollars)

Identification code 097–0130–8–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0	Travel and transportation of persons .....		1
25.2	Other services from non-Federal sources .....		1
25.3	Other goods and services from Federal sources .....		1
25.6	Medical care .....		292
26.0	Supplies and materials .....		32
99.0	Direct obligations .....		327
99.9	Total new obligations .....		327

**Program and Financing** (in millions of dollars)

Identification code 097–0145–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Counterterrorism Partnerships Fund .....		500
0900	Total new obligations (object class 26.0) .....		500
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		1,000
1930	Total budgetary resources available .....		1,000
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		500
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		500
3020	Outlays (gross) .....		-400
3050	Unpaid obligations, end of year .....		100
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		100
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		1,000
Outlays, gross:			
4010	Outlays from new discretionary authority .....		400
4180	Budget authority, net (total) .....		1,000
4190	Outlays, net (total) .....		400

**AFGHANISTAN SECURITY FORCES FUND**  
(Overseas contingency operations)

For the "Afghanistan Security Forces Fund", **[\$3,652,257,000]** *\$3,448,715,000*, to remain available until September 30, **[2017]** *2018: Provided*, That such funds shall be available to the Secretary of Defense, notwithstanding any other provision of law, for the purpose of allowing the Commander, Combined Security Transition Command—Afghanistan, or the Secretary's designee, to provide assistance, with the concurrence of the Secretary of State, to the security forces of Afghanistan, including the provision of equipment, supplies, services, training, facility and infrastructure repair, renovation, construction, and funding: *Provided further*, That the

**COUNTERTERRORISM PARTNERSHIPS FUND**  
(Overseas contingency operations)

AFGHANISTAN SECURITY FORCES FUND—Continued

Secretary of Defense may obligate and expend funds made available to the Department of Defense in this title for additional costs associated with existing projects previously funded with amounts provided under the heading "Afghanistan Infrastructure Fund" in prior Acts: *Provided further*, That such costs shall be limited to contract changes resulting from inflation, market fluctuation, rate adjustments, and other necessary contract actions to complete existing projects, and associated supervision and administration costs and costs for design during construction: *Provided further*, That the Secretary may not use more than \$50,000,000 under the authority provided in this [section] title: *Provided further*, That the Secretary shall notify in advance such contract changes and adjustments in annual reports to the congressional defense committees: *Provided further*, That the authority to provide assistance under this heading is in addition to any other authority to provide assistance to foreign nations: *Provided further*, That contributions of funds for the purposes provided herein from any person, foreign government, or international organization may be credited to this Fund, to remain available until expended, and used for such purposes: *Provided further*, That the Secretary of Defense shall notify the congressional defense committees in writing upon the receipt and upon the obligation of any contribution, delineating the sources and amounts of the funds received and the specific use of such contributions: *Provided further*, That the Secretary of Defense shall, not fewer than 15 days prior to obligating from this appropriation account, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the Secretary of Defense shall notify the congressional defense committees of any proposed new projects or transfer of funds between budget sub-activity groups in excess of [\$20,000,000] \$25,000,000: *Provided further*, That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Afghanistan and returned by such forces to the United States: *Provided further*, That equipment procured using funds provided under this heading in this or prior Acts, and not yet transferred to the security forces of Afghanistan or transferred to the security forces of Afghanistan and returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: [Provided further, That of the funds provided under this heading, not less than \$10,000,000 shall be for recruitment and retention of women in the Afghanistan National Security Forces, and the recruitment and training of female security personnel:] *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2091–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ministry of Defense .....			1,523
0002 Ministry of Interior .....			563
0900 Total new obligations .....			2,086
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3,449
1900 Budget authority (total) .....			3,449
1930 Total budgetary resources available .....			3,449
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1,363
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2,086
3020 Outlays (gross) .....			-1,035
3050 Unpaid obligations, end of year .....			1,051
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,051
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3,449
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1,035
4180 Budget authority, net (total) .....			3,449

4190 Outlays, net (total) ..... 1,035

Object Classification (in millions of dollars)

Identification code 021–2091–8–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			1
22.0 Transportation of things .....			6
23.2 Rental payments to others .....			4
23.3 Communications, utilities, and miscellaneous charges .....			2
24.0 Printing and reproduction .....			1
25.2 Other services from non-Federal sources .....			10
25.3 Other goods and services from Federal sources .....			1,730
25.3 Other goods and services from Federal sources .....			21
25.8 Subsistence and support of persons .....			245
26.0 Supplies and materials .....			52
32.0 Land and structures .....			14
99.9 Total new obligations .....			2,086

IRAQ TRAIN AND EQUIP FUND  
(Overseas contingency operations)

For the "Iraq Train and Equip Fund", [\$715,000,000] \$630,000,000, to remain available until September 30, [2017] 2018: *Provided*, That such funds shall be available to the Secretary of Defense, in coordination with the Secretary of State, to provide assistance, including training; equipment; logistics support, supplies, and services; *funding, including payments and stipends*; infrastructure repair, renovation, and sustainment, to military and other security forces of or associated with the Government of Iraq, including Kurdish and tribal security forces or other local security forces, with a national security mission, to counter the Islamic State of Iraq and the Levant: *Provided further*, That the Secretary of Defense shall ensure that prior to providing assistance to elements of any forces such elements are appropriately vetted, including at a minimum, assessing such elements for associations with terrorist groups or groups associated with the Government of Iran; and receiving commitments from such elements to promote respect for human rights and the rule of law: *Provided further*, That the Secretary of Defense may accept and retain contributions, including assistance in-kind, from foreign governments, including the Government of Iraq, and other entities, to carry out assistance authorized under this heading: *Provided further*, That contributions of funds for the purposes provided herein from any foreign government or other entities, may be credited to this Fund, to remain available until expended, and used for such purposes: [Provided further, That not more than 25 percent of the funds appropriated under this heading may be obligated or expended until not fewer than 15 days after: (1) the Secretary of Defense submits a report to the appropriate congressional committees, describing the plan for the provision of such training and assistance and the forces designated to receive such assistance; and (2) the President submits a report to the appropriate congressional committees on how assistance provided under this heading supports a larger regional strategy: *Provided further*, That of the amount provided under this heading, not more than 60 percent may be obligated or expended until not fewer than 15 days after the date on which the Secretary of Defense certifies to the appropriate congressional committees that an amount equal to not less than 40 percent of the amount provided under this heading has been contributed by other countries and entities for the purposes for which funds are provided under this heading, of which at least 50 percent shall have been contributed or provided by the Government of Iraq: *Provided further*, That the limitation in the preceding proviso shall not apply if the Secretary of Defense determines, in writing, that the national security objectives of the United States will be compromised by the application of the limitation to such assistance, and notifies the appropriate congressional committees not less than 15 days in advance of the exemption taking effect, including a justification for the Secretary's determination and a description of the assistance to be exempted from the application of such limitation:] *Provided further*, That the Secretary of Defense may waive a provision of law relating to the acquisition of items and support services or sections 40 and 40A of the Arms Export Control Act (22 U.S.C. 2780 and 2785) if the Secretary determines such provisions of law would prohibit, restrict, delay or otherwise limit the provision of such assistance and a notice of and justification for such waiver is submitted to the appropriate congressional committees: *Provided further*, That the term "appropriate congressional committees" under this heading means the "congressional defense committees", the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Foreign Affairs of the House of Representatives: *Provided further*, That amounts made available under this heading are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced

Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)			
Identification code 021-2097-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Iraq Train and Equip Fund			315
0900 Total new obligations (object class 26.0)			315
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			630
1900 Budget authority (total)			630
1930 Total budgetary resources available			630
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			315
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			315
3020 Outlays (gross)			-252
3050 Unpaid obligations, end of year			63
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			63
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			630
Outlays, gross:			
4010 Outlays from new discretionary authority			252
4180 Budget authority, net (total)			630
4190 Outlays, net (total)			252

This account supports the military and other security forces of or associated with the Government of Iraq's operations against the Islamic State of Iraq and the Levant. Appropriated funds and cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD to provide assistance, including training, equipment, logistics support, supplies and services, stipends, facility and infrastructure repair and renovation, and sustainment.

**SYRIA TRAIN AND EQUIP FUND**  
(Overseas contingency operations)

For the "Syria Train and Equip Fund", \$250,000,000, to remain available until September 30, 2018: Provided, That such funds shall be available to the Secretary of Defense, in coordination with the Secretary of State, to provide assistance, including training, equipment, supplies, stipends, construction of training and associated facilities, and sustainment, to appropriately vetted elements of the Syrian opposition and other appropriately vetted Syrian groups and individuals for the following purposes: defending the Syrian people from attacks by the Islamic State of Iraq and the Levant, and securing territory controlled by the Syrian opposition; protecting the United States, its friends and allies, and the Syrian people from the threats posed by terrorists in Syria; and promoting the conditions for a negotiated settlement to end the conflict in Syria: Provided further, That the Secretary may accept and retain contributions, including assistance in-kind, from foreign governments and other entities to carry out activities authorized under this heading: Provided further, That contributions of funds for the purposes provided herein from any foreign government or other entities, may be credited to this Fund, to remain available until expended, and used for such purposes: Provided further, That for purposes of the provision of assistance under this heading, the Secretary may waive any provision of law if the Secretary determines that such provision of law would (but for the waiver) impede national security objectives of the United States by prohibiting, restricting, delaying, or otherwise limiting the provision of such assistance: Provided further, That the Secretary may provide assistance to third countries for purposes of the provision of assistance authorized under this heading: Provided further, That the term "appropriately vetted" shall be construed to mean, at a minimum, assessments of possible recipients for associations with terrorist groups including the Islamic State of Iraq and the Levant (ISIL), Jabhat al Nusra, Ahrar al Sham, other al-Qaeda related

groups, Hezbollah, or Shia militias supporting the Governments of Syria or Iran; and for commitment to the rule of law and a peaceful and democratic Syria: Provided further, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That amounts provided under this heading shall be available only if the President designates such amounts for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

Program and Financing (in millions of dollars)			
Identification code 021-2098-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Syria Train and Equip Fund			125
0900 Total new obligations (object class 26.0)			125
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			250
1930 Total budgetary resources available			250
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			125
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			125
3020 Outlays (gross)			-100
3050 Unpaid obligations, end of year			25
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			25
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			250
Outlays, gross:			
4010 Outlays from new discretionary authority			100
4180 Budget authority, net (total)			250
4190 Outlays, net (total)			100

This account supports the vetted Syrian opposition forces' operations against the Islamic State of Iraq and the Levant. Appropriated funds and cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD to provide assistance, including training, equipment, logistics support, supplies and services, stipends, facility and infrastructure repair, renovation, and sustainment.

**PROCUREMENT**

The requests that follow would fund the acquisition of combat and support vehicles, aircraft, weapons, preferred munitions, communications and other equipment needed to support Operation Freedom's Sentinel, Operation Inherent Resolve, and other areas in direct support of these operations. There is also significant investment in the European reassurance initiative. Funds provided will be used to replace equipment worn out by combat operations or lost in battle, as well as replace munitions expended in combat operations. Additional funding is provided to improve the force protection capabilities of units and equipment, including enhanced protection against improvised threats. These requests will also fund classified activities.

**Federal Funds**

**AIRCRAFT PROCUREMENT, ARMY**  
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Army", \$161,987,000 \$313,171,000, to remain available until September 30, 2018: 2019: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such

AIRCRAFT PROCUREMENT, ARMY—Continued

amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2031–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Aircraft			50
0002 Modification of aircraft			45
0004 Support equipment and facilities			106
0799 Total direct obligations			201
0900 Total new obligations (object class 31.0)			201
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			313
1900 Budget authority (total)			313
1930 Total budgetary resources available			313
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			112
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			201
3020 Outlays (gross)			–30
3050 Unpaid obligations, end of year			171
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			171
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			313
Outlays, gross:			
4010 Outlays from new discretionary authority			30
4180 Budget authority, net (total)			313
4190 Outlays, net (total)			30

MISSILE PROCUREMENT, ARMY

(Overseas contingency operations)

For an additional amount for "Missile Procurement, Army", [\$37,260,000] \$632,817,000, to remain available until September 30, [2018] 2019: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2032–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Other missiles			519
0799 Total direct obligations			519
0900 Total new obligations (object class 31.0)			519
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			633
1900 Budget authority (total)			633
1930 Total budgetary resources available			633
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			114
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			519
3020 Outlays (gross)			–46
3050 Unpaid obligations, end of year			473

Memorandum (non-add) entries:

3200 Obligated balance, end of year			473
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			633
Outlays, gross:			
4010 Outlays from new discretionary authority			46
4180 Budget authority, net (total)			633
4190 Outlays, net (total)			46

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY

(Overseas contingency operations)

For an additional amount for "Procurement of Weapons and Tracked Combat Vehicles, Army", [\$486,630,000] \$153,544,000, to remain available until September 30, [2018] 2019: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021–2033–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Tracked combat vehicles			66
0002 Weapons and other combat vehicles			11
0799 Total direct obligations			77
0900 Total new obligations			77
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			154
1900 Budget authority (total)			154
1930 Total budgetary resources available			154
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			77
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			77
3020 Outlays (gross)			–10
3050 Unpaid obligations, end of year			67
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			67
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			154
Outlays, gross:			
4010 Outlays from new discretionary authority			10
4180 Budget authority, net (total)			154
4190 Outlays, net (total)			10

Object Classification (in millions of dollars)

Identification code 021–2033–8–1–051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources			6
31.0 Equipment			71
99.0 Direct obligations			77
99.9 Total new obligations			77

PROCUREMENT OF AMMUNITION, ARMY

(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Army", **[\$222,040,000]** \$301,523,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021–2034–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....			223
0900 Total new obligations (object class 26.0) .....			223

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			302
1900 Budget authority (total) .....			302
1930 Total budgetary resources available .....			302
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			79

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			223
3020 Outlays (gross) .....			-18
3050 Unpaid obligations, end of year .....			205
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			205

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			302
Outlays, gross:			
4010 Outlays from new discretionary authority .....			18
4180 Budget authority, net (total) .....			302
4190 Outlays, net (total) .....			18

**OTHER PROCUREMENT, ARMY**  
(Overseas contingency operations)

For an additional amount for "Other Procurement, Army", **[\$1,175,596,000]** \$1,373,010,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021–2035–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Tactical and support vehicles .....			476
0002 Communications and electronics equipment .....			139
0003 Other support equipment .....			131
0799 Total direct obligations .....			746
0900 Total new obligations (object class 31.0) .....			746

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			1,373
1900 Budget authority (total) .....			1,373
1930 Total budgetary resources available .....			1,373
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			627

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			746
3020 Outlays (gross) .....			-166
3050 Unpaid obligations, end of year .....			580
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			580

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			1,373
Outlays, gross:			
4010 Outlays from new discretionary authority .....			166
4180 Budget authority, net (total) .....			1,373
4190 Outlays, net (total) .....			166

**JOINT [IMPROVISED EXPLOSIVE DEVICE] IMPROVISED-THREAT DEFEAT FUND**  
(Overseas contingency operations)  
(INCLUDING TRANSFER OF FUNDS)

For the "Joint Improvised-Threat [Explosive Device] Defeat Fund", **[\$349,464,000]** \$408,272,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such funds shall be available to the Secretary of Defense, notwithstanding any other provision of law, for the purpose of allowing the Director of the Joint Improvised Explosive Device Defeat Organization to investigate, develop and provide equipment, supplies, services, training, facilities, personnel and funds to assist United States forces in the defeat of improvised explosive devices: *Provided further*, That the Secretary of Defense may transfer funds provided herein to appropriations for military personnel; operation and maintenance; procurement; research, development, test and evaluation; and defense working capital funds [to accomplish the] for any purpose [provided herein] authorized by law for use of amounts in the Fund: *Provided further*, That this transfer authority is in addition to any other transfer authority available to the Department of Defense: *Provided further*, That the Secretary of Defense shall, not fewer than [15] 5 days prior to making transfers from this appropriation, notify the congressional defense committees in writing of the details of any such transfer: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 021–2093–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Network attack .....			234
0799 Total direct obligations .....			234

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			408
1900 Budget authority (total) .....			408
1930 Total budgetary resources available .....			408
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			174

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			234
3020 Outlays (gross) .....			-69
3050 Unpaid obligations, end of year .....			165
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			165

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			408

JOINT IMPROVISED-THREAT DEFEAT FUND—Continued  
Program and Financing—Continued

Identification code 021–2093–8–1–051	2015 actual	2016 est.	2017 est.
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			69
4180 Budget authority, net (total) .....			408
4190 Outlays, net (total) .....			69

**Object Classification** (in millions of dollars)

Identification code 021–2093–8–1–051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			32
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			33
12.1 Civilian personnel benefits .....			9
21.0 Travel and transportation of persons .....			2
23.1 Rental payments to GSA .....			12
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....			83
26.0 Supplies and materials .....			80
31.0 Equipment .....			14
99.0 Direct obligations .....			234
99.9 Total new obligations .....			234

**Employment Summary**

Identification code 021–2093–8–1–051	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			255

AIRCRAFT PROCUREMENT, NAVY  
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Navy", **[\$210,990,000]** \$393,030,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 017–1506–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Combat aircraft .....			129
0004 Other aircraft .....			49
0005 Modification of aircraft .....			95
0006 Aircraft spares and repair parts .....			1
0799 Total direct obligations .....			274
0900 Total new obligations .....			274
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			393
1900 Budget authority (total) .....			393
1930 Total budgetary resources available .....			393
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			119

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			274
3020 Outlays (gross) .....			-69
3050 Unpaid obligations, end of year .....			205

<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			205

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			393
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			69
4180 Budget authority, net (total) .....			393
4190 Outlays, net (total) .....			69

**Object Classification** (in millions of dollars)

Identification code 017–1506–8–1–051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....			1
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			24
25.3 Purchases from other Govt acct - revolving funds .....			71
26.0 Supplies and materials .....			20
31.0 Equipment .....			157
99.0 Direct obligations .....			274
99.9 Total new obligations .....			274

WEAPONS PROCUREMENT, NAVY  
(Overseas contingency operations)

For an additional amount for "Weapons Procurement, Navy", \$8,600,000, to remain available until September 30, 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

**Program and Financing** (in millions of dollars)

Identification code 017–1507–8–1–051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Other missiles .....			7
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			9
1900 Budget authority (total) .....			9
1930 Total budgetary resources available .....			9
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			2

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			7
3020 Outlays (gross) .....			-2
3050 Unpaid obligations, end of year .....			5
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			5

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			9
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			9
4190 Outlays, net (total) .....			2

**Object Classification** (in millions of dollars)

Identification code 017–1507–8–1–051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....			1
26.0 Supplies and materials .....			6

99.9 Total new obligations ..... 7

0900 Total new obligations ..... 100

**PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS**  
(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Navy and Marine Corps", **[\$117,966,000]** \$66,229,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1508-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Procurement of Ammunition, Navy .....			49
0002 Ammunition, Marine Corps .....			4
0799 Total direct obligations .....			53
0900 Total new obligations (object class 26.0) .....			53

Identification code 017-1508-8-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			66
1900 Budget authority (total) .....			66
1930 Total budgetary resources available .....			66
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			13

Identification code 017-1508-8-1-051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			53
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....			48
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			48

Identification code 017-1508-8-1-051	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			66
Outlays, gross:			
4010 Outlays from new discretionary authority .....			5
4180 Budget authority, net (total) .....			66
4190 Outlays, net (total) .....			5

**OTHER PROCUREMENT, NAVY**  
(Overseas contingency operations)

For an additional amount for "Other Procurement, Navy", **[\$12,186,000]** \$124,206,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1810-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Communications and electronics equipment .....			10
0004 Ordnance support equipment .....			79
0005 Civil engineering support equipment .....			1
0007 Personnel and command support equipment .....			10
0799 Total direct obligations .....			100

Identification code 017-1810-8-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			124
1900 Budget authority (total) .....			124
1930 Total budgetary resources available .....			124
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			24

Identification code 017-1810-8-1-051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			100
3020 Outlays (gross) .....			-25
3050 Unpaid obligations, end of year .....			75
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			75

Identification code 017-1810-8-1-051	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			124
Outlays, gross:			
4010 Outlays from new discretionary authority .....			25
4180 Budget authority, net (total) .....			124
4190 Outlays, net (total) .....			25

**Object Classification** (in millions of dollars)

Identification code 017-1810-8-1-051	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....			1
25.3 Purchases from revolving funds .....			2
31.0 Equipment .....			97
99.0 Direct obligations .....			100
99.9 Total new obligations .....			100

**PROCUREMENT, MARINE CORPS**  
(Overseas contingency operations)

For an additional amount for "Procurement, Marine Corps", **[\$56,934,000]** \$118,939,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1109-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Guided missiles and equipment .....			1
0004 Communications and electronics equipment .....			23
0006 Engineer and other equipment .....			42
0799 Total direct obligations .....			66
0900 Total new obligations (object class 31.0) .....			66

Identification code 017-1109-8-1-051	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			119
1900 Budget authority (total) .....			119
1930 Total budgetary resources available .....			119
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			53

Identification code 017-1109-8-1-051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			66
3020 Outlays (gross) .....			-15
3050 Unpaid obligations, end of year .....			51

PROCUREMENT, MARINE CORPS—Continued  
Program and Financing—Continued

Identification code 017-1109-8-1-051	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			51
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			119
Outlays, gross:			
4010 Outlays from new discretionary authority .....			15
4180 Budget authority, net (total) .....			119
4190 Outlays, net (total) .....			15

AIRCRAFT PROCUREMENT, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Air Force", **[\$128,900,000]** \$859,399,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3010-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Airlift aircraft .....			30
0004 Other aircraft .....			185
0005 Modification of inservice aircraft .....			122
0006 Aircraft spares and repair parts .....			10
0007 Aircraft support equipment and facilities .....			3
0799 Total direct obligations .....			350
0900 Total new obligations (object class 31.0) .....			350

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			859
1900 Budget authority (total) .....			859
1930 Total budgetary resources available .....			859
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			509

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			350
3020 Outlays (gross) .....			-54
3050 Unpaid obligations, end of year .....			296
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			296

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			859
Outlays, gross:			
4010 Outlays from new discretionary authority .....			54
4180 Budget authority, net (total) .....			859
4190 Outlays, net (total) .....			54

MISSILE PROCUREMENT, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Missile Procurement, Air Force", **[\$289,142,000]** \$339,545,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided*

*further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3020-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Other missiles .....			209
0003 Modification of inservice missiles .....			18
0799 Total direct obligations .....			227
0900 Total new obligations (object class 31.0) .....			227

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			340
1900 Budget authority (total) .....			340
1930 Total budgetary resources available .....			340
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			113

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			227
3020 Outlays (gross) .....			-68
3050 Unpaid obligations, end of year .....			159
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			159

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			340
Outlays, gross:			
4010 Outlays from new discretionary authority .....			68
4180 Budget authority, net (total) .....			340
4190 Outlays, net (total) .....			68

PROCUREMENT OF AMMUNITION, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Air Force", **[\$228,874,000]** \$487,408,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 057-3011-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....			350
0799 Total direct obligations .....			350
0900 Total new obligations (object class 31.0) .....			350

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			487
1900 Budget authority (total) .....			487
1930 Total budgetary resources available .....			487
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			137

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			350
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....			345

3200	Memorandum (non-add) entries: Obligated balance, end of year .....	345
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	487
Outlays, gross:		
4010	Outlays from new discretionary authority .....	5
4180	Budget authority, net (total) .....	487
4190	Outlays, net (total) .....	5

**OTHER PROCUREMENT, AIR FORCE**  
(Overseas contingency operations)

For an additional amount for "Other Procurement, Air Force", **[\$3,477,001,000]** \$3,696,281,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 057-3080-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002	Vehicular equipment .....		85
0003	Electronics and telecommunications equipment .....		50
0004	Other base maintenance and support equipment .....		2,790
0799	Total direct obligations .....		2,925
0900	Total new obligations (object class 26.0) .....		2,925
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		3,696
1900	Budget authority (total) .....		3,696
1930	Total budgetary resources available .....		3,696
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		771
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		2,925
3020	Outlays (gross) .....		-2,292
3050	Unpaid obligations, end of year .....		633
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		633
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		3,696
Outlays, gross:			
4010	Outlays from new discretionary authority .....		2,292
4180	Budget authority, net (total) .....		3,696
4190	Outlays, net (total) .....		2,292

**PROCUREMENT, DEFENSE-WIDE**  
(Overseas contingency operations)

For an additional amount for "Procurement, Defense-Wide", **[\$173,918,000]** \$238,434,000, to remain available until September 30, **[2018]** 2019: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 097-0300-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Major equipment .....		26
0002	Special Operations Command .....		137
0799	Total direct obligations .....		163
0900	Total new obligations (object class 31.0) .....		163
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		238
1900	Budget authority (total) .....		238
1930	Total budgetary resources available .....		238
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		163
3020	Outlays (gross) .....		-62
3050	Unpaid obligations, end of year .....		101
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		101
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		238
Outlays, gross:			
4010	Outlays from new discretionary authority .....		62
4180	Budget authority, net (total) .....		238
4190	Outlays, net (total) .....		62

**NATIONAL GUARD AND RESERVE EQUIPMENT ACCOUNT**  
(Overseas contingency operations)

**For procurement of rotary-wing aircraft; combat, tactical and support vehicles; other weapons; and other procurement items for the reserve components of the Armed Forces, \$1,000,000,000, to remain available for obligation until September 30, 2018: Provided, That the Chiefs of National Guard and Reserve components shall, not later than 30 days after enactment of this Act, individually submit to the congressional defense committees the modernization priority assessment for their respective National Guard or Reserve component: *Provided further*, That none of the funds made available by this paragraph may be used to procure manned fixed wing aircraft, or procure or modify missiles, munitions, or ammunition: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985. **](Department of Defense Appropriations Act, 2016.)****

**RESEARCH, DEVELOPMENT, TEST, AND EVALUATION**

The requests that follow would support classified intelligence programs.

**Federal Funds**

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY**  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Army", **[\$1,500,000]** \$100,522,000, to remain available until September 30, **[2017]** 2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)*

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY—Continued  
Program and Financing (in millions of dollars)

Identification code 021-2040-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004	Advanced Component Development and Prototypes		7
0005	System development and demonstration		65
0007	Operational system development		6
0799	Total direct obligations		78
0900	Total new obligations (object class 25.5)		78
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		101
1900	Budget authority (total)		101
1930	Total budgetary resources available		101
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		78
3020	Outlays (gross)		-39
3050	Unpaid obligations, end of year		39
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		39
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross		101
Outlays, gross:			
4010	Outlays from new discretionary authority		39
4180	Budget authority, net (total)		101
4190	Outlays, net (total)		39

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Navy", **[\$35,747,000]** \$78,323,000, to remain available until September 30, **[2017]** 2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 017-1319-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004	Advanced Component Development and Prototypes		37
0007	Operational system development		33
0799	Total direct obligations		70
0900	Total new obligations (object class 25.5)		70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		78
1900	Budget authority (total)		78
1930	Total budgetary resources available		78
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		70
3020	Outlays (gross)		-39
3050	Unpaid obligations, end of year		31

Memorandum (non-add) entries:			
3200	Obligated balance, end of year		31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross		78
Outlays, gross:			
4010	Outlays from new discretionary authority		39
4180	Budget authority, net (total)		78
4190	Outlays, net (total)		39

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Air Force", **[\$17,100,000]** \$32,905,000, to remain available until September 30, **[2017]** 2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 057-3600-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0007	Operational system development		28
0799	Total direct obligations		28
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		33
1900	Budget authority (total)		33
1930	Total budgetary resources available		33
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		28
3020	Outlays (gross)		-18
3050	Unpaid obligations, end of year		10
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross		33
Outlays, gross:			
4010	Outlays from new discretionary authority		18
4180	Budget authority, net (total)		33
4190	Outlays, net (total)		18

Object Classification (in millions of dollars)

Identification code 057-3600-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.5	Research and development contracts		15
26.0	Supplies and materials		13
99.0	Direct obligations		28
99.9	Total new obligations		28

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Defense-Wide", **[\$177,087,000]** \$162,419,000, to remain available until September 30, **[2017]** 2018: *Provided*, That such amount is designated by the Congress for

Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 097-0400-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0007 Operational system development			137
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			162
1900 Budget authority (total)			162
1930 Total budgetary resources available			162
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			137
3020 Outlays (gross)			-71
3050 Unpaid obligations, end of year			66
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			66
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			162
Outlays, gross:			
4010 Outlays from new discretionary authority			71
4180 Budget authority, net (total)			162
4190 Outlays, net (total)			71

**Object Classification** (in millions of dollars)

Identification code 097-0400-8-1-051	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges			25
25.1 Advisory and assistance services			24
25.5 Research and development contracts			19
25.7 Operation and maintenance of equipment			8
31.0 Equipment			61
99.0 Direct obligations			137
99.9 Total new obligations			137

**MILITARY CONSTRUCTION**

The request that follows would fund military construction activities for the Active components of all Military Services, and Defense-Wide Activities supporting military operations in Europe, the Middle East (Levant), and East Africa (Djibouti) in direct support of the European Reassurance Initiative, Operation Freedom's Sentinel, and Operation Inherent Resolve. Funds provided would bolster security of U.S. NATO Allies and partner states in Europe and deter aggressive actors in the region by enhancing prepositioning and weapons storage capabilities, improving airfield and support infrastructure and 5th generation warfighting capability, and building partnership capacity. Chabelley Airfield investments support an expanded OEF-Horn of Africa mission. Further, planning and design investments in the Levant will improve the Department's posture in the region as the Transregional Counterterrorism Strategy intensifies operations to counter the Islamic State of Iraq and the Levant (ISIL) and other transregional terrorist organizations.

**Federal Funds**

**MILITARY CONSTRUCTION, ARMY**

(Overseas contingency operations)

For an additional amount for "Military Construction, Army", \$18,900,000, to remain available until September 30, 2021: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

**Program and Financing** (in millions of dollars)

Identification code 021-2050-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Planning			13
0799 Total direct obligations			13
0900 Total new obligations (object class 32.0)			13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			19
1900 Budget authority (total)			19
1930 Total budgetary resources available			19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			13
3050 Unpaid obligations, end of year			13
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			19
4180 Budget authority, net (total)			19
4190 Outlays, net (total)			13

**MILITARY CONSTRUCTION, NAVY AND MARINE CORPS**

(Overseas contingency operations)

For an additional amount for "Military Construction, Navy and Marine Corps", \$59,809,000, to remain available until September 30, 2021: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

**Program and Financing** (in millions of dollars)

Identification code 017-1205-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major construction			34
0003 Planning			2
0799 Total direct obligations			36
0900 Total new obligations (object class 32.0)			36
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			60
1900 Budget authority (total)			60
1930 Total budgetary resources available			60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			24

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS—Continued  
Program and Financing—Continued

Identification code 017-1205-8-1-051	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			36
3020			-1
3050			35
Memorandum (non-add) entries:			
3200			35
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			60
Outlays, gross:			
4010			1
4180			60
4190			1

MILITARY CONSTRUCTION, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Military Construction, Air Force", \$88,740,000, to remain available until September 30, 2021: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

## Program and Financing (in millions of dollars)

Identification code 057-3300-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001			56
0003			7
0799			63
0900			63
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			89
1900			89
1930			89
Memorandum (non-add) entries:			
1941			26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			63
3020			-2
3050			61
Memorandum (non-add) entries:			
3200			61
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			89
Outlays, gross:			
4010			2
4180			89
4190			2

MILITARY CONSTRUCTION, DEFENSE-WIDE  
(Overseas contingency operations)

For an additional amount for "Military Construction, Defense-wide", \$5,000,000, to remain available until September 30, 2021: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terror-

ism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

## Program and Financing (in millions of dollars)

Identification code 097-0500-8-1-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002			3
0900			3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			5
1900			5
1930			5
Memorandum (non-add) entries:			
1941			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			3
3050			3
Memorandum (non-add) entries:			
3200			3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			5
4180			5
4190			

## REVOLVING AND MANAGEMENT FUNDS

The request that follows would pay for replacement of fuel losses in U.S. Central Command's Area of Responsibility, in-theater depot distribution and reutilization operations, and delivery of war reserve materials to the European theater.

## Federal Funds

## DEFENSE WORKING CAPITAL FUNDS

For an additional amount for "Defense Working Capital Funds", \$88,850,000: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2016.)

## WORKING CAPITAL FUND, ARMY

## Program and Financing (in millions of dollars)

Identification code 097-493001-8-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004			47
0799			47
0900			47
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			47
1900			47
1930			47

<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....	47
3020	Outlays (gross) .....	-40
3050	Unpaid obligations, end of year .....	7
Memorandum (non-add) entries:		
3200	Obligated balance, end of year .....	7
<hr/>		
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	47
Outlays, gross:		
4010	Outlays from new discretionary authority .....	40
4180	Budget authority, net (total) .....	47
4190	Outlays, net (total) .....	40

WORKING CAPITAL FUND, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-493005-8-4-051	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0818	Supply chain management .....		94
0819	Subtotal, Capital Programs .....		94
0899	Total reimbursable obligations .....		94
0900	Total new obligations (object class 26.0) .....		94
<hr/>			
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		94
1900	Budget authority (total) .....		94
1930	Total budgetary resources available .....		94
<hr/>			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		94
3020	Outlays (gross) .....		-80
3050	Unpaid obligations, end of year .....		14
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		14
<hr/>			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		94
Outlays, gross:			
4010	Outlays from new discretionary authority .....		80
4180	Budget authority, net (total) .....		94
4190	Outlays, net (total) .....		80

GENERAL PROVISIONS—THIS TITLE

SEC. 9001. Notwithstanding any other provision of law, funds made available in this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are in addition to amounts appropriated or otherwise made available for the Department of Defense for fiscal year [2016] 2017.

(INCLUDING TRANSFER OF FUNDS)

SEC. 9002. Upon the determination of the Secretary of Defense that such action is necessary in the national interest, the Secretary may, with the approval of the Office of Management and Budget, transfer up to \$4,500,000,000 between the appropriations or funds made available to the Department of Defense in this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided*, That the Secretary shall notify the Congress promptly of each transfer made pursuant to the authority in this section: *Provided further*, That the authority provided in this section is in addition to any other transfer authority available to the Department of Defense and is subject to the same terms and conditions as the authority provided in section [8005] 8003 of this Act.

SEC. 9003. Supervision and administration costs and costs for design during construction associated with a construction project funded with appropriations available for operation and maintenance or the "Afghanistan Security Forces Fund" provided in this Act and executed in direct support of overseas contingency operations in Afghanistan, may be obligated at the time a construction contract is awarded: *Provided*, That, for the purpose of this section, supervision and administration costs and costs for design during construction include all in-house Government costs.

SEC. 9004. From funds made available in this title, the Secretary of Defense may purchase for use by military and civilian employees of the Department of Defense in the United States Central Command area of responsibility: (1) passenger motor vehicles up to a limit of \$75,000 per vehicle; and (2) heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$450,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

SEC. 9005. Not to exceed \$5,000,000 of the amounts appropriated by this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the heading "Operation and Maintenance, Army" may be used, notwithstanding any other provision of law, to fund the Commanders' Emergency Response Program (CERP), for the purpose of enabling military commanders in Afghanistan to respond to urgent, small-scale, humanitarian relief and reconstruction requirements within their areas of responsibility: *Provided*, That each project (including any ancillary or related elements in connection with such project) executed under this authority shall not exceed \$2,000,000: *Provided further*, That not later than 45 days after the end of each 6 months of the fiscal year, the Secretary of Defense shall submit to the congressional defense committees a report regarding the source of funds and the allocation and use of funds during that 6-month period that were made available pursuant to the authority provided in this section or under any other provision of law for the purposes described herein: *Provided further*, That, not later than 30 days after the end of each fiscal year quarter, the Army shall submit to the congressional defense committees quarterly commitment, obligation, and expenditure data for the CERP in Afghanistan: *Provided further*, That, not less than 15 days before making funds available pursuant to the authority provided in this section or under any other provision of law for the purposes described herein for a project with a total anticipated cost for completion of \$500,000 or more, the Secretary shall submit to the congressional defense committees a written notice containing each of the following:

- (1) The location, nature and purpose of the proposed project, including how the project is intended to advance the military campaign plan for the country in which it is to be carried out.
- (2) The budget, implementation timeline with milestones, and completion date for the proposed project, including any other CERP funding that has been or is anticipated to be contributed to the completion of the project.
- (3) A plan for the sustainment of the proposed project, including the agreement with either the host nation, a non-Department of Defense agency of the United States Government or a third-party contributor to finance the sustainment of the activities and maintenance of any equipment or facilities to be provided through the proposed project.

SEC. 9006. Funds available to the Department of Defense for operation and maintenance may be used, notwithstanding any other provision of law, to provide supplies, services, transportation, including airlift and sealift, and other logistical support to coalition forces supporting military and stability operations in Afghanistan and to counter the Islamic State of Iraq and the Levant: *Provided*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees regarding support provided under this section.

[SEC. 9007. None of the funds appropriated or otherwise made available by this or any other Act shall be obligated or expended by the United States Government for a purpose as follows:

- (1) To establish any military installation or base for the purpose of providing for the permanent stationing of United States Armed Forces in Iraq.
- (2) To exercise United States control over any oil resource of Iraq.
- (3) To establish any military installation or base for the purpose of providing for the permanent stationing of United States Armed Forces in Afghanistan.]

SEC. [9008] 9007. None of the funds made available in this Act may be used in contravention of the following laws enacted or regulations promulgated to implement the United Nations Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (done at New York on December 10, 1984):

- (1) Section 2340A of title 18, United States Code.
- (2) Section 2242 of the Foreign Affairs Reform and Restructuring Act of 1998 (division G of Public Law 105-277; 112 Stat. 2681-822; 8 U.S.C. 1231 note) and regulations prescribed thereto, including regulations under part 208 of title

8, Code of Federal Regulations, and part 95 of title 22, Code of Federal Regulations.

(3) Sections 1002 and 1003 of the Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act, 2006 (Public Law 109–148).

**SEC. 9009.** None of the funds provided for the "Afghanistan Security Forces Fund" (ASFF) may be obligated prior to the approval of a financial and activity plan by the Afghanistan Resources Oversight Council (AROC) of the Department of Defense: *Provided*, That the AROC must approve the requirement and acquisition plan for any service requirements in excess of \$50,000,000 annually and any non-standard equipment requirements in excess of \$100,000,000 using ASFF: *Provided further*, That the Department of Defense must certify to the congressional defense committees that the AROC has convened and approved a process for ensuring compliance with the requirements in the preceding proviso and accompanying report language for the ASFF. ]

**SEC. [9010]9008.** Funds made available in this title to the Department of Defense for operation and maintenance may be used to purchase items having an investment unit cost of not more than \$250,000: *Provided*, That, upon determination by the Secretary of Defense that such action is necessary to meet the operational requirements of a Commander of a Combatant Command engaged in contingency operations overseas, such funds may be used to purchase items having an investment item unit cost of not more than \$500,000.

**SEC. 9011.** From funds made available to the Department of Defense in this title under the heading "Operation and Maintenance, Air Force", up to \$80,000,000 may be used by the Secretary of Defense, notwithstanding any other provision of law, to support United States Government transition activities in Iraq by funding the operations and activities of the Office of Security Cooperation in Iraq and security assistance teams, including life support, transportation and personal security, and facilities renovation and construction, and site closeout activities prior to returning sites to the Government of Iraq: *Provided*, That to the extent authorized under the National Defense Authorization Act for Fiscal Year 2016, the operations and activities that may be carried out by the Office of Security Cooperation in Iraq may, with the concurrence of the Secretary of State, include non-operational training activities in support of Iraqi Minister of Defense and Counter Terrorism Service personnel in an institutional environment to address capability gaps, integrate processes relating to intelligence, air sovereignty, combined arms, logistics and maintenance, and to manage and integrate defense-related institutions: *Provided further*, That not later than 30 days following the enactment of this Act, the Secretary of Defense and the Secretary of State shall submit to the congressional defense committees a plan for transitioning any such training activities that they determine are needed after the end of fiscal year 2016, to existing or new contracts for the sale of defense articles or defense services consistent with the provisions of the Arms Export Control Act (22 U.S.C. 2751 et seq.): *Provided further*, That, not less than 15 days before making funds available pursuant to the authority provided in this section, the Secretary of Defense shall submit to the congressional defense committees a written notice containing a detailed justification and timeline for the operations and activities of the Office of Security Cooperation in Iraq at each site where such operations and activities will be conducted during fiscal year 2016: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985. ]

**SEC. 9012.** Up to \$600,000,000 of funds appropriated by this Act for the Counterterrorism Partnerships Fund may be used to provide assistance to the Government of Jordan to support the armed forces of Jordan and to enhance security along its borders. ]

**SEC. 9013.** None of the funds made available by this Act under the heading "Iraq Train and Equip Fund" may be used to procure or transfer man-portable air defense systems. ]

**SEC. 9014.** For the "Ukraine Security Assistance Initiative", \$250,000,000 is hereby appropriated, to remain available until September 30, 2016: *Provided*, That such funds shall be available to the Secretary of Defense, in coordination with the Secretary of State, to provide assistance, including training; equipment; lethal weapons of a defensive nature; logistics support, supplies and services; sustainment; and intelligence support to the military and national security forces of Ukraine, and for replacement of any weapons or defensive articles provided to the Government of Ukraine from the inventory of the United States: *Provided further*, That the Secretary of Defense shall, not less than 15 days prior to obligating funds provided under this heading, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Ukraine and returned by such forces to the United States: *Provided further*, That equipment procured using funds provided under this

heading in this or prior Acts, and not yet transferred to the military or National Security Forces of Ukraine or returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985. ]

**SEC. 9015.** Funds appropriated in this title shall be available for replacement of funds for items provided to the Government of Ukraine from the inventory of the United States to the extent specifically provided for in section 9014 of this Act. ]

**SEC. 9016.** None of the funds made available by this Act under section 9014 for "Assistance and Sustainment to the Military and National Security Forces of Ukraine" may be used to procure or transfer man-portable air defense systems. ]

**SEC. 9017.** (a) None of the funds appropriated or otherwise made available by this Act under the heading "Operation and Maintenance, Defense-Wide" for payments under section 1233 of Public Law 110–181 for reimbursement to the Government of Pakistan may be made available unless the Secretary of Defense, in coordination with the Secretary of State, certifies to the congressional defense committees that the Government of Pakistan is—

(1) cooperating with the United States in counterterrorism efforts against the Haqqani Network, the Quetta Shura Taliban, Lashkar e-Tayyiba, Jaish-e-Mohammed, Al Qaeda, and other domestic and foreign terrorist organizations, including taking steps to end support for such groups and prevent them from basing and operating in Pakistan and carrying out cross border attacks into neighboring countries;

(2) not supporting terrorist activities against United States or coalition forces in Afghanistan, and Pakistan's military and intelligence agencies are not intervening extra-judicially into political and judicial processes in Pakistan;

(3) dismantling improvised explosive device (IED) networks and interdicting precursor chemicals used in the manufacture of IEDs;

(4) preventing the proliferation of nuclear-related material and expertise;

(5) implementing policies to protect judicial independence and due process of law;

(6) issuing visas in a timely manner for United States visitors engaged in counterterrorism efforts and assistance programs in Pakistan; and

(7) providing humanitarian organizations access to detainees, internally displaced persons, and other Pakistani civilians affected by the conflict.

(b) The Secretary of Defense, in coordination with the Secretary of State, may waive the restriction in subsection (a) on a case-by-case basis by certifying in writing to the congressional defense committees that it is in the national security interest to do so: *Provided*, That if the Secretary of Defense, in coordination with the Secretary of State, exercises such waiver authority, the Secretaries shall report to the congressional defense committees on both the justification for the waiver and on the requirements of this section that the Government of Pakistan was not able to meet: *Provided further*, That such report may be submitted in classified form if necessary. ]

**[(INCLUDING TRANSFER OF FUNDS)]**

**SEC. 9018.** In addition to amounts otherwise made available in this Act, \$500,000,000 is hereby appropriated to the Department of Defense and made available for transfer only to the operation and maintenance, military personnel, and procurement accounts, to improve the intelligence, surveillance, and reconnaissance capabilities of the Department of Defense: *Provided*, That the transfer authority provided in this section is in addition to any other transfer authority provided elsewhere in this Act: *Provided further*, That not later than 30 days prior to exercising the transfer authority provided in this section, the Secretary of Defense shall submit a report to the congressional defense committees on the proposed uses of these funds: *Provided further*, That the funds provided in this section may not be transferred to any program, project, or activity specifically limited or denied by this Act: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That the authority to provide funding under this section shall terminate on September 30, 2016. ]

**SEC. 9019.** None of the funds made available by this Act may be used with respect to Syria in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.), including for the introduction of United States armed or military forces into hostilities in Syria, into situations in Syria where imminent involvement in hostilities is clearly indicated by the circumstances, or into Syrian territory, airspace, or waters while equipped for combat, in contravention of the congressional consultation and reporting requirements of sections 3 and 4 of that law (50 U.S.C. 1542 and 1543). ]

**SEC. 9020.** None of the funds in this Act may be made available for the transfer of additional C-130 cargo aircraft to the Afghanistan National Security Forces or

the Afghanistan Air Force until the Department of Defense provides a report to the congressional defense committees of the Afghanistan Air Force's medium airlift requirements. The report should identify Afghanistan's ability to utilize and maintain existing medium lift aircraft in the inventory and the best alternative platform, if necessary, to provide additional support to the Afghanistan Air Force's current medium airlift capacity.]

**[(RESCISSION)]**

**[SEC. 9021.** Of the funds appropriated in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That such amounts are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended:

"Afghanistan Security Forces Fund", 2015/2016, \$400,000,000.]  
(Department of Defense Appropriations Act, 2016.)

**DEPARTMENT OF STATE**

**ADMINISTRATION OF FOREIGN AFFAIRS**

*Federal Funds*

DIPLOMATIC AND CONSULAR PROGRAMS

(Overseas contingency operations)

(INCLUDING TRANSFER OF FUNDS)

For an additional amount for "Diplomatic and Consular Programs", **[\$2,561,808,000]** \$2,132,249,000, to remain available until September 30, **[2017]** 2018, of which **[\$1,966,632,000]** \$1,815,210,000 is for Worldwide Security Protection and shall remain available until expended: *Provided*, That the Secretary of State may transfer up to **[\$10,000,000]** \$5,000,000 of the total funds made available under this heading to any other appropriation of any department or agency of the United States, upon the concurrence of the head of such department or agency, to support operations in and assistance for Afghanistan and to carry out the provisions of the Foreign Assistance Act of 1961: *Provided further*, That any such transfer shall be treated as a reprogramming of funds under subsections (a) and (b) of section **[7015]** 7011 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That up to \$15,000,000 of the funds appropriated under this heading in this title may be made available for Conflict Stabilization Operations and for related reconstruction and stabilization assistance to prevent or respond to conflict or civil strife in foreign countries or regions, or to enable transition from such strife: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019-0113-8-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Human Resources .....			5
0003 Overseas Programs .....			282
0006 Overseas Programs - Public Diplomacy .....			30
0007 Security - Worldwide Security Protection .....			1,815
0799 Total direct obligations .....			2,132
0801 Diplomatic and Consular Programs (Reimbursable) .....			204
0900 Total new obligations .....			2,336
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO .....			2,132
1930 Total budgetary resources available .....			2,132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-204
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2,336

3020	Outlays (gross) .....			-805
3050	Unpaid obligations, end of year .....			1,531
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			1,531
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			2,132
Outlays, gross:				
4010	Outlays from new discretionary authority .....			805
4180	Budget authority, net (total) .....			2,132
4190	Outlays, net (total) .....			805

The Overseas Contingency Operations (OCO) funding requested for Diplomatic and Consular Programs (D&CP) will address the extraordinary costs associated with deploying, securing and supplying the Department's civilian presence in Iraq, Afghanistan, Pakistan, other areas of unrest, and transition operations related to Syria as well as counter-ISIL public diplomacy. The request for Iraq supports the normalization of the diplomatic presence in Baghdad and the provinces, including the full-year costs for security and logistical support. The embassy and consulates play a vital role in building relationships with the Iraqi people, managing ongoing programs and mitigating potential conflict. The requests for Afghanistan and Pakistan support implementation of a comprehensive diplomatic and development strategy to defeat Al Qaida and support the Afghan people. D&CP funding for Afghanistan and Pakistan enables a civilian presence, including diplomats, development specialists, and civilian expertise from across the U.S. Government, along with critical security and logistical support. In Syria, the Department intends to continue operations in support of reestablishing its diplomatic presence, counter sectarian strife and terrorism, and enable transition to peace and democracy.

**Object Classification** (in millions of dollars)

Identification code 019-0113-8-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0	Travel and transportation of persons .....		28
22.0	Transportation of things .....		25
23.3	Communications, utilities, and miscellaneous charges .....		6
24.0	Printing and reproduction .....		4
25.3	Other goods and services from Federal sources .....		1,368
25.3	Other goods and services from Federal sources .....		463
26.0	Supplies and materials .....		27
31.0	Equipment .....		166
41.0	Grants, subsidies, and contributions .....		45
99.0	Direct obligations .....		2,132
99.0	Reimbursable obligations .....		204
99.9	Total new obligations .....		2,336

**OFFICE OF INSPECTOR GENERAL**

(Overseas contingency operations)

For an additional amount for "Office of Inspector General", **[\$66,600,000]** \$54,900,000, to remain available until September 30, **[2017]** 2018, **[of]** which **[\$56,900,000]** shall be for the Special Inspector General for Afghanistan Reconstruction (SIGAR) for reconstruction oversight: *Provided*, **[That printing and reproduction costs shall not exceed amounts for such costs during fiscal year 2015: *Provided further*,]** That notwithstanding any other provision of law, any employee of SIGAR who completes at least 12 months of continuous service after the date of enactment of this Act or who is employed on the date on which SIGAR terminates, whichever occurs first, shall acquire competitive status for appointment to any position in the competitive service for which the employee possesses the required qualifications: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

OFFICE OF INSPECTOR GENERAL—Continued  
**Program and Financing** (in millions of dollars)

Identification code 019–0529–8–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Special Inspector General for Afghanistan Reconstruction (SIGAR) .....			55
0900 Total new obligations (object class 41.0) .....			55
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			55
1930 Total budgetary resources available .....			55
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			55
3020 Outlays (gross) .....			–44
3050 Unpaid obligations, end of year .....			11
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			55
Outlays, gross:			
4010 Outlays from new discretionary authority .....			44
4180 Budget authority, net (total) .....			55
4190 Outlays, net (total) .....			44

This appropriation funds the Office of the Special Inspector General for Afghanistan Reconstruction (SIGAR). SIGAR provides independent oversight of programs and operations funded with amounts made available for the reconstruction of Afghanistan. SIGAR performs this oversight through audits, field inspections and investigations of potential waste, fraud and abuse in coordination with, and receiving the cooperation of, the Inspectors General of the Department of State, Department of Defense and the United States Agency for International Development.

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE  
(Overseas contingency operations)

For an additional amount for "Embassy Security, Construction, and Maintenance", [\$747,851,000] \$1,238,800,000, to remain available until expended, of which [\$735,201,000] \$1,228,000,000 shall be for Worldwide Security Upgrades, acquisition, and construction as authorized: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 019–0535–8–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Overseas contingency operations .....			663
0100 Total direct program .....			663
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,239
1930 Total budgetary resources available .....			1,239
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			576
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			663

3020 Outlays (gross) .....			–186
3050 Unpaid obligations, end of year .....			477
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			477
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,239
Outlays, gross:			
4010 Outlays from new discretionary authority .....			186
4180 Budget authority, net (total) .....			1,239
4190 Outlays, net (total) .....			186

The Overseas Contingency Operations funding requested for the Embassy Security, Construction, and Maintenance account will support the urgently needed construction and security improvements for diplomatic facilities in Afghanistan as well as facility leases in Iraq. These resources will also be used to augment Capital Security Construction (CSCS) and Compound Security program projects.

**Object Classification** (in millions of dollars)

Identification code 019–0535–8–1–153	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.2 Rental payments to others .....			11
32.0 Land and structures .....			652
99.9 Total new obligations .....			663

INTERNATIONAL ORGANIZATIONS AND CONFERENCES  
*Federal Funds*

CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS  
(Overseas contingency operations)

For an additional amount for "Contributions to International Organizations", [\$101,728,000] \$96,240,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 019–1126–8–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Contributions to International Organizations - OCO .....			96
0900 Total new obligations (object class 41.0) .....			96
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO .....			96
1930 Total budgetary resources available .....			96
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			96
3020 Outlays (gross) .....			–91
3050 Unpaid obligations, end of year .....			5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			96
Outlays, gross:			
4010 Outlays from new discretionary authority .....			91
4180 Budget authority, net (total) .....			96
4190 Outlays, net (total) .....			91

This appropriation supports the extraordinary costs of United Nations missions in Afghanistan, Iraq, Libya, and Somalia.

**MECHANISM FOR PEACE OPERATIONS RESPONSE**

(Overseas contingency operations)

For necessary expenses for a Mechanism for Peace Operations Response to support urgent and unexpected requirements of peacekeeping operations and activities involving the United Nations, regional security partnerships, or coalition peacekeeping efforts or forces, and notwithstanding any other provision of law, \$150,000,000, to remain available until expended, which shall be in addition to other funds appropriated by this Act for such purposes: Provided, That funds appropriated under this heading shall be made available only after a determination by the Secretary that additional funding is necessary to support new or expanded peacekeeping operations or peacekeeping activities above the program level recommended in the President's budget submission to the Congress of the United States: Provided further, That, upon such determination, funds appropriated under this heading may be transferred to and merged with funds appropriated under the headings "Contributions for International Peacekeeping Activities" and "Peacekeeping Operations": Provided further, That the amount provided under this heading is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That once transferred and merged under the second proviso, amounts shall retain the Overseas Contingency Operations/Global War on Terrorism designation pursuant to section 251(b)(2)(A)(ii).

**Program and Financing** (in millions of dollars)

Identification code 019-1123-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mechanism for Peace Operations Response (Direct) .....			150
0900 Total new obligations (object class 41.0) .....			150
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			150
1930 Total budgetary resources available .....			150
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			150
3020 Outlays (gross) .....			-120
3050 Unpaid obligations, end of year .....			30
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			150
Outlays, gross:			
4010 Outlays from new discretionary authority .....			120
4180 Budget authority, net (total) .....			150
4190 Outlays, net (total) .....			120

This appropriation provides funds for the United States to support unforeseen requirements of peacekeeping operations and activities, including peace enforcement missions undertaken directly by the United Nations, or by regional coalition forces. The purpose of this appropriation is to ensure that the United States can respond quickly to emergent needs of such operations and activities without disrupting continued American support for any such ongoing activities that serve U.S. interests in promoting international peace and security, stability, and rule of law. Depending on requirements identified by the Secretary of State, funds would be transferred and merged with either the Peacekeeping Operations (PKO) account or the Contributions for International Peacekeeping Activities (CIPA) account

to support potential future needs in Africa, Syria, or elsewhere as needed around the world.

**CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES**

(Overseas contingency operations)

For an additional amount for "Contributions for International Peacekeeping Activities", **[\$1,794,088,000]** \$1,588,000,000, to remain available until September 30, **[2017]** 2018: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019-1124-8-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			1,588
0900 Total new obligations (object class 41.0) .....			1,588
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (OCO) .....			1,588
1930 Total budgetary resources available .....			1,588
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,588
3020 Outlays (gross) .....			-1,509
3050 Unpaid obligations, end of year .....			79
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			79
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,588
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1,509
4180 Budget authority, net (total) .....			1,588
4190 Outlays, net (total) .....			1,509

The FY 2017 Contributions for International Peacekeeping Overseas Contingency Operations request of \$1.588 billion will fund the United States' share of the expenses associated with United Nations (UN) peacekeeping operations, including but not limited to missions in the Central African Republic (CAR), South Sudan, the Democratic Republic of Congo (DRC), Darfur, and Somalia.

**OTHER**

**Federal Funds**

**MIGRATION AND REFUGEE ASSISTANCE**

(Overseas contingency operations)

For an additional amount for "Migration and Refugee Assistance" to respond to refugee crises, **[including in Africa, the Near East, South and Central Asia, and Europe and Eurasia, \$2,127,114,000]** \$1,876,003,000, to remain available until expended **[**, except that such funds shall not be made available for the resettlement costs of refugees in the United States**]**: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(B)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

MIGRATION AND REFUGEE ASSISTANCE—Continued

Program and Financing (in millions of dollars)

Identification code 019-1143-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Overseas assistance .....			1,876
0900 Total new obligations (object class 41.0) .....			1,876
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation-OCO .....			1,876
1930 Total budgetary resources available .....			1,876
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,876
3020 Outlays (gross) .....			-1,501
3050 Unpaid obligations, end of year .....			375
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			375
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,876
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1,501
4180 Budget authority, net (total) .....			1,876
4190 Outlays, net (total) .....			1,501

The FY 2017 Migration and Refugee Assistance Overseas Contingency Operations request of \$1.876 billion will fund humanitarian assistance to meet basic needs, including emergency shelter, medical care, protection and assistance to the most vulnerable, such as survivors of gender-based violence, with a particular emphasis on populations impacted by conflict.

UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND  
(Overseas contingency operations)

For an additional amount for "United States Emergency Refugee and Migration Assistance Fund", \$40,000,000, to remain available until expended: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).*

Program and Financing (in millions of dollars)

Identification code 011-0040-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United States Emergency Refugee and Migration Assistance Fund (Direct) .....			40
0900 Total new obligations (object class 41.0) .....			40
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			40
1930 Total budgetary resources available .....			40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			40
3020 Outlays (gross) .....			-34
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			40

Outlays, gross:		
4010	Outlays from new discretionary authority .....	34
4180	Budget authority, net (total) .....	40
4190	Outlays, net (total) .....	34

The FY 2017 U.S. Emergency Refugee and Migration Assistance Fund Overseas Contingency Operations request of \$40 million will allow the United States to respond quickly to urgent and unforeseen needs of refugees and other populations of concern, with a particular emphasis on populations impacted by conflict.

COMPLEX CRISES FUND

(Overseas contingency operations)

For an additional amount for "Complex Crises Fund", \$20,000,000, to remain available until expended: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 072-1015-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Complex Crises Fund (Direct) .....			15
0900 Total new obligations (object class 41.0) .....			15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			20
1930 Total budgetary resources available .....			20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			15
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20
Outlays, gross:			
4010 Outlays from new discretionary authority .....			5
4180 Budget authority, net (total) .....			20
4190 Outlays, net (total) .....			5

The 2017 Overseas Contingency Operations request for the Complex Crises Fund of \$20 million will be used to support prevention activities, and respond to emerging or unforeseen challenges and complex crises.

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT

(Overseas contingency operations)

For an additional amount for "International Narcotics Control and Law Enforcement", ~~[\$371,650,000]~~ \$324,240,000, to remain available until September 30, [2017] 2018: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 019-1022-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Counterdrug and anti-crime programs			50
0900 Total new obligations (object class 25.2)			50
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			324
1930 Total budgetary resources available			324
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			274
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			50
3020 Outlays (gross)			-32
3050 Unpaid obligations, end of year			18
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			324
Outlays, gross:			
4010 Outlays from new discretionary authority			32
4180 Budget authority, net (total)			324
4190 Outlays, net (total)			32

As part of the Overseas Operations Contingency budget, a total of \$324 million is requested. \$185 million is for the temporary and extraordinary costs to support counternarcotics, justice, corrections, and other programs in Afghanistan. \$11 million for Africa Regional for countering terrorism in East Africa and the Sahel and enhance security in West Africa; \$40 million for Pakistan, \$13 million for Tunisia, \$15 million for Ukraine, \$10 for Lebanon, \$11 million for Liberia and other country programs to enhance access to justice, law enforcement capabilities and security reforms across the globe.

**INTERNATIONAL ASSISTANCE PROGRAMS**

**INTERNATIONAL SECURITY ASSISTANCE**

*Federal Funds*

**ECONOMIC SUPPORT FUND**

(Overseas contingency operations)

For an additional amount for "Economic Support Fund", [\$2,422,673,000] \$3,672,153,000, to remain available until September 30, [2017] 2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 072-1037-8-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Economic Support Fund (Direct)			250
0900 Total new obligations (object class 41.0)			250
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO			3,672
1120 Appropriations transferred to other acct [072-0409]			-261
1160 Appropriation, discretionary (total)			3,411
1930 Total budgetary resources available			3,411

1941	Memorandum (non-add) entries:	3,161
	Unexpired unobligated balance, end of year	
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts	250
3020	Outlays (gross)	-686
3050	Unpaid obligations, end of year	-436
Memorandum (non-add) entries:		
3200	Obligated balance, end of year	-436
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross	3,411
Outlays, gross:		
4010	Outlays from new discretionary authority	686
4180	Budget authority, net (total)	3,411
4190	Outlays, net (total)	686

The 2017 Economic Support Fund Overseas Contingency Operations request includes \$3.672 billion in funding for bilateral and regional programs in strategically important countries in Africa, Europe and Eurasia, the Near East, and South and Central Asia that are threatened by or recovering from crisis, including armed conflict, as well as natural or man-made disasters.

**FOREIGN MILITARY FINANCING PROGRAM**

(Overseas contingency operations)

For an additional amount for "Foreign Military Financing Program", [\$1,288,176,000] \$1,012,150,000, to remain available until September 30, [2017] 2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 011-1082-8-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Country grants		871
0192	Total Direct Obligations		871
0900	Total new obligations (object class 41.0)		871
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		1,012
1120	Appropriations transferred to other acct [011-1085]		-141
1160	Appropriation, discretionary (total)		871
1930	Total budgetary resources available		871
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		871
3020	Outlays (gross)		-653
3050	Unpaid obligations, end of year		218
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		218
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross		871
Outlays, gross:			
4010	Outlays from new discretionary authority		653
4180	Budget authority, net (total)		871
4190	Outlays, net (total)		653

The FY 2017 Foreign Military Financing Overseas Contingency Operations request of \$1.012 billion is for Liberia, Iraq, Jordan, Lebanon, Tunisia,

## FOREIGN MILITARY FINANCING PROGRAM—Continued

Georgia, Moldova, Ukraine, Europe and Eurasia Regional, Nepal, Pakistan, and Central Asia Regional.

## PEACEKEEPING OPERATIONS

(Overseas contingency operations)

For an additional amount for "Peacekeeping Operations", [\$469,269,000] \$349,100,000, to remain available until September 30, [2017]2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended*: [Provided further, That funds available for obligation under this heading in this Act may be used to pay assessed expenses of international peacekeeping activities in Somalia, subject to the regular notification procedures of the Committees on Appropriations, except that such expenses shall not exceed the level described in the final proviso under the heading "Contributions for International Peacekeeping Activities" in title I of this Act] *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

## Program and Financing (in millions of dollars)

Identification code 072-1032-8-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Peacekeeping Operations (Direct) .....			250
0900 Total new obligations (object class 41.0) .....			250
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO .....			349
1900 Budget authority (total) .....			349
1930 Total budgetary resources available .....			349
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			99
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			250
3020 Outlays (gross) .....			-174
3050 Unpaid obligations, end of year .....			76
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			76
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			349
Outlays, gross:			
4010 Outlays from new discretionary authority .....			174
4180 Budget authority, net (total) .....			349
4190 Outlays, net (total) .....			174

The 2017 Peacekeeping Operations Overseas Contingency Operations request of \$349.1 million supports critical bilateral and regional peacekeeping, counterterrorism, and security sector reform efforts in countries in Africa and the Near East threatened by, recovering from, or responding to crises, including armed conflict.

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS  
(Overseas contingency operations)

For an additional amount for "Nonproliferation, Anti-terrorism, Demining and Related Programs", [\$379,091,000] \$214,254,000, to remain available until September 30, [2017]2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended*: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

## Program and Financing (in millions of dollars)

Identification code 011-1075-8-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Nonproliferation, Antiterrorism, Demining, and Related Programs			
(Direct) .....			150
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (OCO) .....			214
1900 Budget authority (total) .....			214
1930 Total budgetary resources available .....			214
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			64
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			150
3020 Outlays (gross) .....			-86
3050 Unpaid obligations, end of year .....			64
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			64
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			214
Outlays, gross:			
4010 Outlays from new discretionary authority .....			86
4180 Budget authority, net (total) .....			214
4190 Outlays, net (total) .....			86

The 2017 Nonproliferation, Anti-Terrorism, Demining and Related Programs Overseas Contingency Operations request of \$214.3 million will support a broad range of U.S. national interests through critical, security-related programs that reduce threats posed by international terrorist activities, landmines, explosive remnants of war and stockpiles of excess conventional weapons and munitions, and nuclear, chemical, biological, weapons of mass destruction, and other destabilizing weapons and missiles and their associated technologies.

## Object Classification (in millions of dollars)

Identification code 011-1075-8-1-152	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....			100
41.0 Grants, subsidies, and contributions .....			50
99.0 Direct obligations .....			150
99.9 Total new obligations .....			150

## FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT

(Overseas contingency operations)

## Program and Financing (in millions of dollars)

Identification code 011-1085-8-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....			141
0900 Total new obligations (object class 41.0) .....			141
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [011-1082] ....			141
1930 Total budgetary resources available .....			141
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			141
3020 Outlays (gross) .....			-141

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		141
Outlays, gross:			
4010	Outlays from new discretionary authority .....		141
4180	Budget authority, net (total) .....		141
4190	Outlays, net (total) .....		141

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 011-1085-8-1-152	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001	DSCA Loan Program .....		2,700
Direct loan subsidy (in percent):			
132001	DSCA Loan Program .....		5.23
Direct loan subsidy budget authority:			
133001	DSCA Loan Program .....		141
Direct loan subsidy outlays:			
134001	DSCA Loan Program .....		11

**FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT**  
(Overseas contingency operations)

**Program and Financing** (in millions of dollars)

Identification code 011-4122-8-3-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations .....		2,700
0900	Total new obligations .....		2,700
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....		2,559
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		12
1900	Budget authority (total) .....		2,571
1930	Total budgetary resources available .....		2,571
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-129
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		2,700
3020	Outlays (gross) .....		-225
3050	Unpaid obligations, end of year .....		2,475
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		2,475
<b>Financing authority and disbursements, net:</b>			
Discretionary:			
4020	Outlays, gross (total) .....		225
Mandatory:			
4090	Budget authority, gross .....		2,571
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....		-12
4180	Budget authority, net (total) .....		2,559
4190	Outlays, net (total) .....		213

**Status of Direct Loans** (in millions of dollars)

Identification code 011-4122-8-3-152	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....		2,700
1150	Total direct loan obligations .....		2,700
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements .....		225
1290	Outstanding, end of year .....		225

**AGENCY FOR INTERNATIONAL DEVELOPMENT**  
*Federal Funds*

**ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA**  
(Overseas contingency operations)

For an additional amount for "Assistance for Europe, Eurasia and Central Asia", \$438,569,000, to remain available until September 30, 2017: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**INTERNATIONAL DISASTER ASSISTANCE**  
(Overseas contingency operations)

For an additional amount for "International Disaster Assistance", [\$1,919,421,000] \$1,832,000,000, to remain available until expended: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 072-1035-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	International Disaster Assistance (Direct) .....		1,832
0900	Total new obligations (object class 41.0) .....		1,832
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation - OCO .....		1,832
1930	Total budgetary resources available .....		1,832
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		1,832
3020	Outlays (gross) .....		-734
3050	Unpaid obligations, end of year .....		1,098
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		1,098
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		1,832
Outlays, gross:			
4010	Outlays from new discretionary authority .....		734
4180	Budget authority, net (total) .....		1,832
4190	Outlays, net (total) .....		734

The 2017 International Disaster Assistance Overseas Operations Contingency request of \$1,832 million will provide funds to save lives, reduce suffering, and mitigate and prepare for natural disasters and complex emergencies through relief, rehabilitation, and reconstruction assistance, including food assistance, activities that transition to development assistance programs, and disaster preparedness/risk reduction activities. This request includes \$1,001 million for the USAID Office of U.S. Foreign Disaster Assistance to prepare for and respond to natural disasters, civil strife and prolonged displacement of populations that continue to hinder the advancement of development and stability. It also includes \$831 million for the USAID Office of Food for Peace for emergency food responses with a range of interventions such as local and regional purchase of agricultural commodities near crises, food vouchers and cash transfers.

OPERATING EXPENSES

(Overseas contingency operations)

For an additional amount for "Operating Expenses", **[\$139,262,000]** \$98,460,000, to remain available until September 30, **[2017]** 2018: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 072-1000-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses of the Agency for International Development (Direct) .....			98
0799 Total direct obligations .....			98
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO .....			98
1900 Budget authority (total) .....			98
1930 Total budgetary resources available .....			98
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			98
3020 Outlays (gross) .....			-74
3050 Unpaid obligations, end of year .....			24
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			24
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			98
Outlays, gross:			
4010 Outlays from new discretionary authority .....			74
4180 Budget authority, net (total) .....			98
4190 Outlays, net (total) .....			74

Object Classification (in millions of dollars)

Identification code 072-1000-8-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			5
25.1 Advisory and assistance services .....			5
25.2 Other services from non-Federal sources .....			5
25.3 Other goods and services from Federal sources .....			83
99.0 Direct obligations .....			98
99.9 Total new obligations .....			98

CAPITAL INVESTMENT FUND OF THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

(Overseas contingency operations)

For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667 of the Foreign Assistance Act of 1961, **\$133,840,000**, to remain available until expended: *Provided*, That such amount is in addition to funds otherwise available for such purposes: *Provided further, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).*

Program and Financing (in millions of dollars)

Identification code 072-0300-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 IT/New Construction .....			134
0900 Total new obligations (object class 32.0) .....			134
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO .....			134
1930 Total budgetary resources available .....			134
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			134
3020 Outlays (gross) .....			-134
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			134
Outlays, gross:			
4010 Outlays from new discretionary authority .....			134
4180 Budget authority, net (total) .....			134
4190 Outlays, net (total) .....			134

The FY 2017 request for the U.S. Agency for International Development Capital Investment Fund Overseas Contingency Operations of \$133.8 million will support capital investments in facility construction.

TRANSITION INITIATIVES

(Overseas contingency operations)

For an additional amount for "Transition Initiatives", **[\$37,000,000]** \$62,600,000, to remain available until expended: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 072-1027-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Transition Initiatives (Direct) .....			63
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			63
1930 Total budgetary resources available .....			63
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			63
3020 Outlays (gross) .....			-13
3050 Unpaid obligations, end of year .....			50
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			63
Outlays, gross:			
4010 Outlays from new discretionary authority .....			13
4180 Budget authority, net (total) .....			63
4190 Outlays, net (total) .....			13

The 2017 Overseas Contingency Operations request of \$62.6 million for the Transition Initiatives account will be used to address opportunities and challenges in conflict-prone countries and assist in their transition toward sustainable development, peace, good governance, and democracy.

**Object Classification** (in millions of dollars)

Identification code 072-1027-8-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			1
25.1 Advisory and assistance services .....			2
41.0 Grants, subsidies, and contributions .....			60
99.9 Total new obligations .....			63

**MENA LOAN GUARANTEE PROGRAM ACCOUNT**  
(Overseas contingency operations)

**Program and Financing** (in millions of dollars)

Identification code 072-0409-8-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....			261
0900 Total new obligations (object class 41.0) .....			261
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [072-1037] ....			261
1900 Budget authority (total) .....			261
1930 Total budgetary resources available .....			261
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			261
3020 Outlays (gross) .....			-261
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			261
Outlays, gross:			
4010 Outlays from new discretionary authority .....			261
4180 Budget authority, net (total) .....			261
4190 Outlays, net (total) .....			261

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 072-0409-8-1-151	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215003 Loan Guarantees to Iraq .....			1,000
215999 Total loan guarantee levels .....			1,000
Guaranteed loan subsidy (in percent):			
232003 Loan Guarantees to Iraq .....			26.08
232999 Weighted average subsidy rate .....			0.00
Guaranteed loan subsidy budget authority:			
233003 Loan Guarantees to Iraq .....			261
233999 Total subsidy budget authority .....			261
Guaranteed loan subsidy outlays:			
234003 Loan Guarantees to Iraq .....			261
234999 Total subsidy outlays .....			261

**MENA LOAN GUARANTEE FINANCING ACCOUNT**  
(Overseas contingency operations)

**Program and Financing** (in millions of dollars)

Identification code 072-4493-8-3-151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			261
1930 Total budgetary resources available .....			261
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			261

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....			261
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy payments from program account .....			-261
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-261

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4493-8-3-151	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			1,000
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....			1,000
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2231 Disbursements of new guaranteed loans .....			1,000
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....			1,000
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			1,000

**GENERAL PROVISIONS**

ADDITIONAL APPROPRIATIONS

SEC. 8001. Notwithstanding any other provision of law, funds appropriated in this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are in addition to amounts appropriated or otherwise made available in this Act for fiscal year [2016]2017.

EXTENSION OF AUTHORITIES AND CONDITIONS

SEC. 8002. Unless otherwise provided for in this Act, the additional amounts appropriated by this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, to appropriations accounts in this Act shall be available under the authorities and conditions applicable to such appropriations accounts.

TRANSFER AUTHORITY

SEC. 8003. (a)(1) Funds appropriated by this title in this Act under the headings "International Disaster Assistance", "Transition Initiatives", "Complex Crises Fund", "Economic Support Fund", "Migration and Refugee Assistance", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining, and Related Programs", "Peacekeeping Operations", and ["Assistance for Europe, Eurasia and Central Asia"] "Foreign Military Financing Program" may be transferred to, and merged with, funds appropriated by this title under such headings.

[(2) Funds appropriated by this title in this Act under the headings "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" may be transferred to, and merged with, funds appropriated by this title under such headings.]

[(3) Of the funds appropriated by this title under the heading "International Disaster Assistance", up to \$600,000,000 may be transferred to, and merged with, funds appropriated by this title under the heading "Migration and Refugee Assistance".]

(b) Notwithstanding any other provision of this section, not to exceed [\$15,000,000] \$25,000,000 from funds appropriated under the [heading] headings "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining, and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" by this title in this Act [and made available for the Europe and Eurasia Regional program] may be transferred to, and merged with, funds previously made available under the heading "Global Security Contingency Fund" [which shall be available only for programs in the Europe and Eurasia region].

[(c) The transfer authority provided in subsection (a) may only be exercised to address contingencies.]

[(d)](e) The transfer authority provided in subsections (a) and (b) shall be subject to [prior consultation with, and] the regular notification procedures of, the Committees on Appropriations: *Provided*, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law, including section 610 of the Foreign Assistance Act of 1961 which may be exercised by the Secretary of State for the purposes of this title.

FOREIGN MILITARY FINANCING PROGRAM LOAN

*SEC. 8004. Notwithstanding any other provision of law, during fiscal year 2017, direct loans under section 23 of the Arms Export Control Act may be made available for Iraq, gross obligations for the principal amounts of which shall not exceed \$2,700,000,000: Provided, That funds appropriated under the heading "Foreign Military Financing Program" in title IV of this Act and prior Acts and title VIII of this Act or the Overseas Contingency Operations title of prior Acts making appropriations for the Department of State, foreign operations, and related programs that are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be made available for the costs, as defined in section 502 of the Congressional Budget Act of 1974, of such direct loans: Provided further, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by Iraq: Provided further, That amounts repurposed pursuant to the language under this heading from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced*

*Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress: Provided further, That the Government of the United States may charge fees for loans under this heading, which shall be collected from borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That no funds made available to Iraq under this or any other Act may be used for payment of any fees associated with these loans: Provided further, That such loans shall be repaid in not more than 12 years, including a grace period of up to 1 year on repayment of principal. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

## BROADCASTING BOARD OF GOVERNORS

### *Federal Funds*

#### INTERNATIONAL BROADCASTING OPERATIONS

(Overseas contingency operations)

【For an additional amount for "International Broadcasting Operations", \$10,700,000, to remain available until September 30, 2017: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985.】 *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

# DEPARTMENT OF EDUCATION

## OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

### Federal Funds

#### EDUCATION FOR THE DISADVANTAGED

For carrying out title 1 and subpart 2 of part B of title II of the Elementary and Secondary Education Act of 1965 (referred to in this Act as "ESEA") and section 418A of the Higher Education Act of 1965 (referred to in this Act as "HEA"), ~~[\$16,016,790,000]~~ ~~\$16,043,790,000~~, of which ~~[\$5,127,006,000]~~ ~~\$4,940,990,000~~ shall become available on July 1, ~~[2016]~~ ~~2017~~, and shall remain available through September 30, ~~[2017]~~ ~~2018~~, and of which \$10,841,177,000 shall become available on October 1, ~~[2016]~~ ~~2017~~, and shall remain available through September 30, ~~[2017]~~ ~~2018~~, for academic year ~~[2016–2017]~~ ~~2017–2018~~: *Provided*, That \$6,459,401,000 shall be for basic grants under section 1124 of the ESEA: *Provided further*, That up to ~~[\$3,984,000]~~ ~~\$5,000,000~~ of these funds shall be available to the Secretary of Education (referred to in this title as "Secretary") on October 1, ~~[2015]~~ ~~2016~~, to obtain annually updated local educational agency-level census poverty data from the Bureau of the Census: *Provided further*, That \$1,362,301,000 shall be for concentration grants under section 1124A of the ESEA: *Provided further*, That ~~[\$3,544,050,000]~~ ~~\$3,769,050,000~~ shall be for targeted grants under section 1125 of the ESEA: *Provided further*, That ~~[\$3,544,050,000]~~ ~~\$3,769,050,000~~ shall be for education finance incentive grants under section 1125A of the ESEA: *Provided further*, That funds available under sections 1124, 1124A, 1125 and 1125A of the ESEA may be used to provide homeless children and youths with services not ordinarily provided to other students under those sections, including supporting the liaison designated pursuant to section 722(g)(1)(J)(ii) of the McKinney-Vento Homeless Assistance Act, and providing transportation pursuant to section 722(g)(1)(J)(iii) of such Act: *Provided further*, That \$450,000,000 shall be available for school improvement grants under section 1003(g) of the ESEA, which shall be allocated by the Secretary through the formula described in section 1003(g)(2) and shall be used consistent with the requirements of section 1003(g), except that State and local educational agencies may use such funds to serve any school eligible to receive assistance under part A of title I that has not made adequate yearly progress for at least 2 years or is in the State's lowest quintile of performance based on proficiency rates and, in the case of secondary schools, priority shall be given to those schools with graduation rates below 60 percent: *Provided further*, That notwithstanding section 1003(g)(5)(C) of the ESEA, the Secretary may permit a State educational agency to establish an award period of up to 5 years for each participating local educational agency: *Provided further*, That funds available for school improvement grants for fiscal year 2014 and thereafter may be used by a local educational agency to implement a whole-school reform strategy for a school using an evidence-based strategy that ensures whole-school reform is undertaken in partnership with a strategy developer offering a whole-school reform program that is based on at least a moderate level of evidence that the program will have a statistically significant effect on student outcomes, including at least one well-designed and well-implemented experimental or quasi-experimental study: *Provided further*, That funds available for school improvement grants may be used by a local educational agency to implement an alternative State-determined school improvement strategy that has been established by a State educational agency with the approval of the Secretary: *Provided further*, That a local educational agency that is determined to be eligible for services under subpart 1 or 2 of part B of title VI of the ESEA may modify not more than one element of a school improvement grant model: *Provided further*, That notwithstanding section 1003(g)(5)(A), each State educational agency may establish a maximum subgrant size of not more than \$2,000,000 for each participating school applicable to such funds: *Provided further*, That the Secretary may reserve up to 5 percent of the funds available for section 1003(g) of the ESEA to carry out activities to build State and local educational agency capacity to implement effectively the school improvement grants program: *Provided further*, That \$190,000,000 shall be available under section 1502 of the ESEA for a comprehensive literacy development and education program to advance literacy skills, including pre-literacy skills, reading, and writing, for students from birth through grade 12, including limited-English-proficient students and students with disabilities, of which one-half of 1 percent shall be reserved for the Secretary of the Interior for such a program at schools funded by the Bureau of Indian Education, one-half of 1 percent shall be reserved for grants to the outlying areas for such a program, up to 5 percent may be reserved for national activities, and the remainder shall be used to award competitive grants to State educational agencies for such a program, of which a State educational agency may reserve up to 5 percent for State leadership activities, including technical assistance and training, data collection, reporting, and administration, and shall subgrant not less than 95

percent to local educational agencies or, in the case of early literacy, to local educational agencies or other nonprofit providers of early childhood education that partner with a public or private nonprofit organization or agency with a demonstrated record of effectiveness in improving the early literacy development of children from birth through kindergarten entry and in providing professional development in early literacy, giving priority to such agencies or other entities serving greater numbers or percentages of disadvantaged children: *Provided further*, That the State educational agency shall ensure that at least 15 percent of the subgranted funds are used to serve children from birth through age 5, 40 percent are used to serve students in kindergarten through grade 5, and 40 percent are used to serve students in middle and high school including an equitable distribution of funds between middle and high schools: *Provided further*, That eligible entities receiving subgrants from State educational agencies shall use such funds for services and activities that have the characteristics of effective literacy instruction through professional development, screening and assessment, targeted interventions for students reading below grade level and other research-based methods of improving classroom instruction and practice: *Provided further*, That one-half of the total amount of funds made available for part A of title I of the ESEA in excess of the amount authorized by section 1002(a) shall be allocated to States, the Bureau of Indian Education, and the outlying areas on the basis of their respective shares of the remaining funds received under part A of title I and shall be used by each State in accordance with the requirements of section 1003(b) of the ESEA: *Provided further*, That \$217,000,000 shall be for carrying out subpart 2 of part B of title II: *Provided further*, That \$44,623,000 shall be for carrying out section 418A of the HEA. (Department of Education Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 091–0900–0–1–501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	14,410	14,910	15,360
0002 School improvement grants .....	504	450	.....
0003 Comprehensive literacy development grants .....	160	190	190
0004 State agency programs .....	422	422	422
0005 Evaluation .....	1	.....	.....
0006 Special programs for migrant students .....	37	45	45
0007 Innovative approaches to literacy .....	.....	.....	27
0900 Total new obligations .....	15,534	16,017	16,044
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	525	525	525
1010 Unobligated balance transfer to other accts [091–0400] .....	–2	.....	.....
1050 Unobligated balance (total) .....	523	525	525
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,695	5,176	5,203
Advance appropriations, discretionary:			
1170 Advance appropriation .....	10,841	10,841	10,841
1900 Budget authority (total) .....	15,536	16,017	16,044
1930 Total budgetary resources available .....	16,059	16,542	16,569
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	525	525	525
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,177	9,429	8,449
3010 Obligations incurred, unexpired accounts .....	15,534	16,017	16,044
3020 Outlays (gross) .....	–15,248	–16,997	–15,935
3041 Recoveries of prior year unpaid obligations, expired .....	–34	.....	.....
3050 Unpaid obligations, end of year .....	9,429	8,449	8,558
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,177	9,429	8,449
3200 Obligated balance, end of year .....	9,429	8,449	8,558
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15,536	16,017	16,044
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7,419	8,662	8,662
4011 Outlays from discretionary balances .....	7,829	8,335	7,273
4020 Outlays, gross (total) .....	15,248	16,997	15,935
4180 Budget authority, net (total) .....	15,536	16,017	16,044
4190 Outlays, net (total) .....	15,248	16,997	15,935

EDUCATION FOR THE DISADVANTAGED—Continued  
**SUMMARY OF PROGRAM LEVEL**

(in millions of dollars)

	2015–2016 Academic Year	2016–2017 Academic Year	2017–2018 Academic Year
New Budget Authority .....	\$4,695	\$5,176	\$5,176
Advance appropriation .....	10,841	10,841	10,841
<b>Total program level .....</b>	<b>15,536</b>	<b>16,017</b>	<b>16,017</b>

*Grants to local educational agencies.*—Funds are allocated via formula for programs that provide academic support to help students in high-poverty schools meet college- and career-ready standards. States would assess annually all students in certain grades in at least English language arts, mathematics, and science; develop systems to differentiate among schools on the basis of performance on those assessments and other indicators; provide parents with information on the performance of their child's school; and ensure the development and implementation of support and improvement plans for the lowest-performing schools. A portion of the request would support activities aimed at turning around the lowest-performing schools.

*Comprehensive literacy development grants.*—Funds support continuation grants to States to provide targeted, evidence-based literacy interventions in high-need schools. States must award subgrants to LEAs to support literacy interventions for children from birth through kindergarten entry and for students from kindergarten through grade 12.

*Innovative approaches to literacy.*—Funds support competitive grants to LEAs, consortia of LEAs, the Bureau of Indian Education, or national nonprofit organizations, to promote literacy programs that support the development of literacy skills in low-income communities. Grantees would develop and implement school library programs and provide high-quality, developmentally appropriate, and up-to-date reading material to children and adolescents in low-income communities.

*State agency migrant program.*—Funds support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services focused on children who have moved within the past 36 months.

*State agency neglected and delinquent children and youth education program.*—Funds would support formula grants to States for educational services to neglected or delinquent children and youth in State-run institutions, attending community day programs, and in correctional facilities.

*Special programs for migrant students.*—Funds support grants to institutions of higher education and nonprofit organizations that assist migrant students in earning a high school equivalency certificate or in completing their first year of college.

**Object Classification** (in millions of dollars)

Identification code 091–0900–0–1–501	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	6	10	7
25.2 Other services from non-Federal sources .....	13	7	8
25.7 Operation and maintenance of equipment .....	1		
41.0 Grants, subsidies, and contributions .....	15,512	16,000	16,029
99.0 Direct obligations .....	15,532	16,017	16,044
99.5 Adjustment for rounding .....	2		
99.9 Total new obligations .....	15,534	16,017	16,044

**IMPACT AID**

For carrying out programs of financial assistance to federally affected schools authorized by title **VIII** *VII* of the ESEA, \$1,305,603,000, of which \$1,168,233,000 shall be for basic support payments under section **8003** *7003(b)*, \$48,316,000 shall be for payments for children with disabilities under section **8003** *7003(d)*, \$17,406,000, to remain available for obligation through September 30, 2018, shall

be for construction under section **8007(a)**, \$66,813,000 shall be for Federal property payments under section 8002, and \$4,835,000 *7007(b)*, and \$71,648,000, to remain available until expended, shall be for facilities maintenance under section **8008** *7008*: *Provided*, That for purposes of computing the amount of a payment for an eligible local educational agency under section **8003** *7003(a)* for school year **2015–2016** *2016–2017*, children enrolled in a school of such agency that would otherwise be eligible for payment under section **8003** *7003(a)(1)(B)* of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section **8003** *7003(a)(1)(B)*), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091–0102–0–1–501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Basic support payments .....	1,151	1,169	1,169
0002 Payments for children with disabilities .....	48	48	48
0091 Direct program activities, subtotal .....	1,199	1,217	1,217
0101 Facilities maintenance .....	13	5	72
0201 Construction .....		17	17
0301 Payments for Federal property .....	67	67	
0900 Total new obligations (object class 41.0) .....	1,279	1,306	1,306
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	19	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,289	1,306	1,306
1930 Total budgetary resources available .....	1,298	1,325	1,325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	487	365	283
3010 Obligations incurred, unexpired accounts .....	1,279	1,306	1,306
3011 Obligations incurred, expired accounts .....	462		
3020 Outlays (gross) .....	-1,401	-1,388	-1,283
3041 Recoveries of prior year unpaid obligations, expired .....	-462		
3050 Unpaid obligations, end of year .....	365	283	306
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	487	365	283
3200 Obligated balance, end of year .....	365	283	306
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,289	1,306	1,306
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,103	1,158	1,104
4011 Outlays from discretionary balances .....	298	230	179
4020 Outlays, gross (total) .....	1,401	1,388	1,283
4180 Budget authority, net (total) .....	1,289	1,306	1,306
4190 Outlays, net (total) .....	1,401	1,388	1,283

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, denying LEAs access to the primary source of revenue used by most communities to finance education.

*Basic support payments.*—Payments will be made on behalf of more than 750,000 federally connected students enrolled in about 1,100 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,500.

*Payments for children with disabilities.*—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA)

will be provided on behalf of approximately 53,000 federally connected students with disabilities in about 900 LEAs. Average per-student payments will be approximately \$900.

**Facilities Maintenance.**—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

**Construction.**—Approximately 6–10 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

**SCHOOL IMPROVEMENT PROGRAMS**

For carrying out school improvement activities authorized by *part B of title I, [parts A and B] part A of title II, subpart 1 of part A of title IV, part B of title IV, [parts A and B of title VI,] part B of title V, and parts B and C of title [VII] VI of the ESEA; the McKinney-Vento Homeless Assistance Act; section 203 of the Educational Technical Assistance Act of 2002; the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, [\$4,433,629,000] \$4,558,409,000, of which [\$2,611,619,000] \$2,732,399,000 shall become available on July 1, [2016] 2017, and remain available through September 30, [2017] 2018, and of which \$1,681,441,000 shall become available on October 1, [2016] 2017, and shall remain available through September 30, [2017] 2018, for academic year [2016–2017] 2017–2018: *Provided, That [funds made available to carry out part B of title VII of the ESEA] \$403,000,000 shall be for part B of title I: Provided further, That \$33,397,000 shall be for part B of title VI and may be used for construction, renovation, and modernization of any elementary school, secondary school, or structure related to an elementary school or secondary school, run by the Department of Education of the State of Hawaii, that serves a predominantly Native Hawaiian student body: Provided further, That [funds made available to carry out part C of title VII of the ESEA] \$32,453,000 shall be for part C of title VI and shall be awarded on a competitive basis, and also may be used for construction: Provided further, That [\$51,445,000] \$55,445,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002 and the Secretary shall make such arrangements as determined to be necessary to ensure that the Bureau of Indian Education has access to services provided under this section: Provided further, That \$16,699,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: Provided further, That the Secretary may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of these grants: Provided further, That [up to 4.0 percent of the funds for subpart 1 of part A of title II of the ESEA shall be reserved by the Secretary for competitive awards for teacher or principal recruitment and training or professional enhancement activities, including for civic education instruction, to national not-for-profit organizations, of which up to 8 percent may only be used for research, dissemination, evaluation, and technical assistance for competitive awards carried out under this proviso: Provided further, That \$152,717,000 shall be to carry out part B of title II of the ESEA: Provided further, That none of the funds made available by this Act shall be used to allow 21st Century Community Learning Centers initiative funding for expanded learning time unless these activities provide enrichment and engaging academic activities for students at least 300 additional program hours before, during, or after the traditional school day and supplements but does not supplant school day requirements] grants under section 1202 may be made on a competitive basis: Provided further, That, notwithstanding section 4105, funds available for subpart 1 of part A of title IV may be used by state educational agencies to make subgrants on a competitive basis to local educational agencies to carry out the activities authorized in sections 4107 through 4109, for a period not to exceed 3 years and in an amount not less than \$50,000 for each year: Provided further, That State educational agencies may give priority for such subgrants to local educational agencies that propose to carry out one or more of the activities authorized in such sections, as determined by the state: Provided further, That \$175,840,000 shall be for part B of title V. (Department of Education Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 091–1000–0–1–501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Supporting effective instruction State grants .....	2,370	2,350	2,250
0002 Mathematics and science partnerships .....	152	153	.....
0003 21st century community learning centers .....	1,149	1,167	1,000

0004 State assessments .....	387	378	403
0005 Education for homeless children and youths .....	65	70	85
0006 Native Hawaiians education .....	32	33	33
0007 Alaska Native education .....	31	32	32
0008 Training and advisory services .....	7	7	7
0009 Rural education .....	170	176	176
0010 Supplemental education grants .....	17	17	17
0011 Comprehensive centers .....	48	51	55
0012 Student support and academic enrichment grants .....	.....	.....	500
0900 Total new obligations .....	4,428	4,434	4,558

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	93	68	68
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	94	68	68
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,721	2,753	2,877
<b>Advance appropriations, discretionary:</b>			
1170 Advance appropriation .....	1,681	1,681	1,681
1900 Budget authority (total) .....	4,402	4,434	4,558
1930 Total budgetary resources available .....	4,496	4,502	4,626
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	68	68	68

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,576	4,706	4,834
3010 Obligations incurred, unexpired accounts .....	4,428	4,434	4,558
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-4,264	-4,306	-4,435
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-36	.....	.....
3050 Unpaid obligations, end of year .....	4,706	4,834	4,957
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4,576	4,706	4,834
3200 Obligated balance, end of year .....	4,706	4,834	4,957

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,402	4,434	4,558
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	940	1,064	1,067
4011 Outlays from discretionary balances .....	3,324	3,242	3,368
4020 Outlays, gross (total) .....	4,264	4,306	4,435
4180 Budget authority, net (total) .....	4,402	4,434	4,558
4190 Outlays, net (total) .....	4,264	4,306	4,435

**SUMMARY OF PROGRAM LEVEL**

	(in millions of dollars)		
	2015–2016 Academic Year	2016–2017 Academic Year	2017–2018 Academic Year
New Budget Authority .....	\$2,721	\$2,752	\$2,877
Advance Appropriation .....	1,681	1,681	1,681
Total program level .....	4,403	4,434	4,558
Change in advance appropriation over previous year .....	0	0	0

**Supporting effective instruction State grants.**—Funds would support formula grants to States and LEAs to increase student achievement consistent with challenging State academic standards; improve the quality and effectiveness of teachers, principals, and other school leaders; increase the number of teachers, principals, or other school leaders who are effective in improving student academic achievement; and provide low-income and minority students greater access to effective teachers, principals, and other school leaders. SEAs and LEAs have flexibility to carry out a wide variety of activities, consistent with their specific needs.

**21st century community learning centers.**—Funds would support formula grants to States for projects that provide the additional time, support, and enrichment activities needed to improve student achievement.

**State assessments.**—Funds would support formula and competitive grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards. Funds would also support audits of State and local assessment systems.

SCHOOL IMPROVEMENT PROGRAMS—Continued

*Education for homeless children and youths.*—Funds would support activities to ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children.

*Native Hawaiians education.*—Funds would support competitive grants to public and private entities to develop or operate innovative projects that enhance the educational services provided to Native Hawaiian children and adults.

*Alaska Native education.*—Funds would support competitive grants to LEAs and other public and private organizations to develop or operate innovative projects that enhance the educational services provided to Alaska Native children and adults.

*Training and advisory services.*—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, gender, and national origin.

*Rural education.*—Funds would support formula grants under two programs: the Small, Rural School Achievement program and the Rural and Low-Income School program. The Small, Rural School Achievement program provides rural LEAs with small enrollments with additional formula funds to carry out activities authorized elsewhere in ESEA. Funds under the Rural and Low-Income School program, which targets rural LEAs that serve concentrations of poor students, are allocated by formula to States, which in turn allocate funds to eligible LEAs.

*Supplemental education grants.*—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

*Comprehensive centers.*—Funds would support a new cohort of Centers that would reflect changing priorities and new demands resulting from the reauthorization of the ESEA and that would provide services to the Bureau of Indian Education.

*Student support and academic enrichment grants.*—Funds would support formula grants to improve academic achievement by increasing the capacity of States and LEAs to provide all students with access to a well-rounded education, to improve school conditions for student learning, and to improve the use of technology.

Object Classification (in millions of dollars)

Identification code 091-1000-0-1-501	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2		
25.2 Other services from non-Federal sources .....	27	35	57
25.5 Research and development contracts .....	2	2	1
25.7 Operation and maintenance of equipment .....	3		
41.0 Grants, subsidies, and contributions .....	4,394	4,397	4,500
99.9 Total new obligations .....	4,428	4,434	4,558

SAFE SCHOOLS AND CITIZENSHIP EDUCATION

For carrying out activities authorized by *subparts 2 and 3 of part [A]F of title IV [and subparts 1, 2, and 10 of part D of title V] of the ESEA, [\$244,815,000] \$228,000,000: Provided, That [\$75,000,000] \$90,000,000 shall be available for [subpart 2 of part A of title IV] section 4631, of which up to \$5,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence ("Project SERV") program [to provide education-related services to local educational agencies and institutions of higher education in which the learning environment has been disrupted due to a violent or traumatic crisis]: Provided further, That [\$73,254,000] \$10,000,000 shall be available for section 4625: Provided further, That \$128,000,000 shall be available through December 31, [2016 for Promise Neighborhoods] 2017, for section 4624. (Department of Education Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 091-0203-0-1-501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 School safety national activities .....	68	75	90
0002 Elementary and secondary school counseling .....	52	50	
0003 Physical education program .....	44	47	
0004 Promise neighborhoods .....	52	73	128
0005 Full service community schools .....			10
0500 Direct program activities, subtotal .....	216	245	228
0900 Total new obligations .....	216	245	228
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	62	62
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	223	245	228
1930 Total budgetary resources available .....	279	307	290
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	62	62	62
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	382	318	313
3010 Obligations incurred, unexpired accounts .....	216	245	228
3020 Outlays (gross) .....	-265	-250	-241
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	318	313	300
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	382	318	313
3200 Obligated balance, end of year .....	318	313	300
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	223	245	228
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	5	5
4011 Outlays from discretionary balances .....	263	245	236
4020 Outlays, gross (total) .....	265	250	241
4180 Budget authority, net (total) .....	223	245	228
4190 Outlays, net (total) .....	265	250	241

*School safety national activities.*—Funds support competitive grants and other discretionary activities to foster a safe, secure, and drug-free learning environment; facilitate emergency management and preparedness; and prevent drug use and violence by students, and otherwise improve their well-being. These activities include school safety initiatives in *Now Is The Time*, the President's plan to protect our children and our communities by reducing gun violence, including efforts to create positive school climates and to counter the effects of pervasive violence on students.

*Promise neighborhoods.*—Funds support competitive grants and other activities for projects designed to improve significantly the educational and developmental outcomes of children within the Nation's most distressed communities, by providing children in the community with access to a cradle-through-college-to-career continuum of academic programs and community supports, including effective schools and services.

*Full-service community schools.*—Funds support grants to local educational agencies or the Bureau of Indian Education, in partnership with community-based organizations, nonprofit organizations, or other public or private entities, to provide comprehensive and coordinated academic, social, and health services for students, students' family members, and community members that will result in improved educational outcomes for children in neighborhoods with high rates of poverty, childhood obesity, academic failure, and involvement of community members in the justice system.

Object Classification (in millions of dollars)

Identification code 091-0203-0-1-501	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	7	8	12

25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	208	236	215
99.9	Total new obligations .....	216	245	228

41.0	Grants, subsidies, and contributions .....	122	141	172
99.9	Total new obligations .....	124	144	175

INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title [VII] VI, part A of the ESEA, [ \$143,939,000 ], \$174,939,000, of which \$67,993,000 shall be for subpart 2 of part A of title VI and \$6,565,000 shall be for subpart 3 of part A of title VI. (Department of Education Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 091-0101-0-1-501	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Grants to local educational agencies .....	100	100	100
0002	Special programs for Indian children .....	18	38	68
0003	National activities .....	6	6	7
0900	Total new obligations .....	124	144	175

Identification code 091-0101-0-1-501	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	124	144	175
1930	Total budgetary resources available .....	124	144	175

Identification code 091-0101-0-1-501	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	131	131	163
3010	Obligations incurred, unexpired accounts .....	124	144	175
3020	Outlays (gross) .....	-121	-112	-140
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	131	163	198
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	131	131	163
3200	Obligated balance, end of year .....	131	163	198

Identification code 091-0101-0-1-501	2015 actual	2016 est.	2017 est.	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	124	144	175
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5	7	9
4011	Outlays from discretionary balances .....	116	105	131
4020	Outlays, gross (total) .....	121	112	140
4180	Budget authority, net (total) .....	124	144	175
4190	Outlays, net (total) .....	121	112	140

The Indian Education programs support the efforts of local educational agencies (LEA), tribes, and Indian organizations to improve teaching and learning for the Nation's American Indian and Alaska Native children.

*Grants to local educational agencies.*—Formula grants support LEAs in their efforts to enhance and supplement elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

*Special programs for Indian children.*—Funds support competitive awards for Native Youth Community Projects under the Demonstration Grants authority to improve the college- and career-readiness of Native youth, as well as professional development grants for training Native American teachers and administrators for employment in school districts with concentrations of Indian students.

*National activities.*—Funds support research, evaluation, data collection, and related activities, grants for native language immersion schools and programs, and grants to tribes for education administrative planning, development, and coordination.

Object Classification (in millions of dollars)

Identification code 091-0101-0-1-501	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	2	3	3

EDUCATION JOBS FUND

Program and Financing (in millions of dollars)

Identification code 091-0012-0-1-501	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10		
3041	Recoveries of prior year unpaid obligations, expired .....	-10		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	10		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

Amounts in the schedule reflect balances that are spending out from a prior-year appropriation.

STATE FISCAL STABILIZATION FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 091-1909-0-1-999	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,185		
3020	Outlays (gross) .....	-1,103		
3041	Recoveries of prior year unpaid obligations, expired .....	-82		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,185		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1,103		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1,103		

Amounts in this schedule reflect balances that are spending out from a prior-year appropriation.

OFFICE OF INNOVATION AND IMPROVEMENT

Federal Funds

INNOVATION AND IMPROVEMENT

For carrying out activities authorized by [part G of title I, subpart 5 of part A and parts C and D] subparts 1 and 4 of part B and section 2232 of title II, and parts [B, C, ] C and D and subparts 1 and 4 of part F of title [V] IV of the ESEA, [and section 14007 of division A of the American Recovery and Reinvestment Act of 2009, as amended, \$1,181,226,000: ] and for carrying out additional activities as specified below, \$1,331,556,000: Provided, That \$391,815,000 shall be for subparts 1 and 4 of part B and section 2232 of title II and shall be made available without regard to sections 2201 and 2241: Provided further, That \$529,741,000 shall be for parts C and D and subpart 4 of part F of title IV, and shall be made available without regard to sections 4311, 4409(a), and 4601 of the ESEA: Provided further, That section 4303(d)(3) shall not apply to the funds available for part C of title IV: Provided further, That of the funds available for part C of title IV, the Secretary shall use not less than \$26,000,000 to carry out section 4304, of which not more than \$10,000,000 shall be available to carry out section 4304(k), not more than \$100,000,000 to carry out section 4305(b), and not less than \$16,000,000 to carry out the activities in section 4305(a)(3) and to make up to 5 competitive grants to charter schools to develop and validate collaborative activities with local educational agencies to improve student outcomes: Provided, That [up to \$120,000,000] notwithstanding section 4601(b), \$180,000,000 shall be available through December 31, [2016] for section 14007 of division A of Public Law 111-5, and up to 5 percent of such funds may be used for technical assistance and the evaluation of activities carried out under such

INNOVATION AND IMPROVEMENT—Continued

section: *Provided further*, That the education facilities clearinghouse established through a competitive process in fiscal year 2013 may collect and disseminate information on effective educational practices and the latest research on the planning, design, financing, construction, improvement, operation, and maintenance of safe, healthy, high-performance public facilities for early learning programs, kindergarten through grade 12, and higher education: *Provided further*, That \$230,000,000 of the funds for subpart 1 of part D of title V of the ESEA shall be for competitive grants to local educational agencies, including charter schools that are local educational agencies, or States, or partnerships of: (1) a local educational agency, a State, or both; and (2) at least one nonprofit organization to develop and implement performance-based compensation systems for teachers, principals, and other personnel in high-need schools: *Provided further*, That such performance-based compensation systems must consider gains in student academic achievement as well as classroom evaluations conducted multiple times during each school year among other factors and provide educators with incentives to take on additional responsibilities and leadership roles: *Provided further*, That recipients of such grants shall demonstrate that such performance-based compensation systems are developed with the input of teachers and school leaders in the schools and local educational agencies to be served by the grant: *Provided further*, That recipients of such grants may use such funds to develop or improve systems and tools (which may be developed and used for the entire local educational agency or only for schools served under the grant) that would enhance the quality and success of the compensation system, such as high-quality teacher evaluations and tools to measure growth in student achievement: *Provided further*, That applications for such grants shall include a plan to sustain financially the activities conducted and systems developed under the grant once the grant period has expired: *Provided further*, That up to 5 percent of such funds for competitive grants shall be available for technical assistance, training, peer review of applications, program outreach, and evaluation activities: *Provided further*, That \$250,000,000 of the funds for part D of title V of the ESEA shall be available through December 31, 2016 for carrying out, in accordance with the applicable requirements of part D of title V of the ESEA, a preschool development grants program: *Provided further*, That the Secretary, jointly with the Secretary of HHS, shall make competitive awards to States for activities that build the capacity within the State to develop, enhance, or expand high-quality preschool programs, including comprehensive services and family engagement, for preschool-aged children from families at or below 200 percent of the Federal poverty line: *Provided further*, That each State may subgrant a portion of such grant funds to local educational agencies and other early learning providers (including, but not limited to, Head Start programs and licensed child care providers), or consortia thereof, for the implementation of high-quality preschool programs for children from families at or below 200 percent of the Federal poverty line: *Provided further*, That subgrantees that are local educational agencies shall form strong partnerships with early learning providers and that subgrantees that are early learning providers shall form strong partnerships with local educational agencies, in order to carry out the requirements of the subgrant: *Provided further*, That up to 3 percent of such funds for preschool development grants shall be available for technical assistance, evaluation, and other national activities related to such grants: *Provided further*, That \$10,000,000 of funds available under part D of title V of the ESEA shall be for the Full-Service Community Schools program: *Provided further*, That of the funds available for part B of title V of the ESEA, the Secretary shall use up to \$10,000,000 to carry out activities under section 5205(b) and shall use not less than \$16,000,000 for subpart 2: *Provided further*, That of the funds available for subpart 1 of part B of title V of the ESEA, and notwithstanding section 5205(a), the Secretary shall reserve up to \$100,000,000 to make multiple awards to non-profit charter management organizations and other entities that are not for-profit entities for the replication and expansion of successful charter school models and shall reserve not less than \$11,000,000 to carry out the activities described in section 5205(a), including improving quality and oversight of charter schools and providing technical assistance and grants to authorized public chartering agencies in order to increase the number of high-performing charter schools: *Provided further*, That funds available for part B of title V of the ESEA may be used for grants that support preschool education in charter schools: *Provided further*, That each application submitted pursuant to section 5203(a) shall describe a plan to monitor and hold accountable authorized public chartering agencies through such activities as providing technical assistance or establishing a professional development program, which may include evaluation, planning, training, and systems development for staff of authorized public chartering agencies to improve the capacity of such agencies in the State to authorize, monitor, and hold accountable charter schools: *Provided further*, That each application submitted pursuant to section 5203(a) shall contain assurances that State law, regulations, or other policies require that: (1) each authorized charter

school in the State operate under a legally binding charter or performance contract between itself and the school's authorized public chartering agency that describes the rights and responsibilities of the school and the public chartering agency; conduct annual, timely, and independent audits of the school's financial statements that are filed with the school's authorized public chartering agency; and demonstrate improved student academic achievement; and (2) authorized public chartering agencies use increases in student academic achievement for all groups of students described in section 1111(b)(2)(C)(v) of the ESEA as one of the most important factors when determining to renew or revoke a school's charter. **2017 for subpart 1 of part F of title IV: *Provided further*, That of the amounts available for section 4611 of the ESEA, the Secretary may reserve up to \$30,000,000 for the Advanced Research Projects Agency-Education (ARPA-ED), which shall be established within the Department of Education, with a Director appointed by the Secretary, to identify and promote advances in fundamental and applied sciences and engineering that could be translated into new learning technologies, to develop, test, and evaluate novel learning technologies and related processes, and to accelerate transformational technological advances: *Provided further*, That such funds shall remain available until expended and may be used to award grants, contracts, cooperative agreements, and cash prizes, and to enter into other transactions (in accordance with such regulations as the Secretary may establish regarding such other transactions): *Provided further*, That the Secretary may appoint up to 20 scientific, engineering, professional, and other mission-related personnel to positions in ARPA-ED, for up to four years, without regard to the provisions of title 5, United States Code, governing appointments in the competitive service: *Provided further*, That the personnel appointed under the preceding proviso shall be paid at rates of compensation determined by the Secretary: *Provided further*, That \$120,000,000, to remain until expended, shall be for competitive grants to local educational agencies to develop and implement comprehensive strategies to improve socioeconomic integration in early childhood education programs and elementary and secondary schools, of which up to \$2,500,000 may be used for national activities including technical assistance, evaluation, and dissemination: *Provided further*, That \$100,000,000 shall be for competitive grants to local educational agencies, in partnership with institutions of higher education and other public and private entities, to develop and implement strategies to provide high-quality instruction and other learning opportunities in computer science to students in pre-kindergarten through grade 12, including students in underserved communities or from groups historically underrepresented in science, technology, engineering, and mathematics fields: *Provided further*, That \$2,500,000 of the funds provided in the previous proviso shall be for national activities, including technical assistance, evaluation, and dissemination: *Provided further*, That \$10,000,000 shall be for grants to non-profit organizations to support teacher-led projects designed to improve educational outcomes for high-need students. (Department of Education Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 091-0204-0-1-501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Education, innovation, and research .....	141	120	120
0002 Teacher and school leader incentive fund .....	229	230	250
0003 School leader recruitment and support .....	16	16	30
0004 Supporting effective educator development (SEED) .....			100
0005 Charter schools grants .....	238	319	334
0006 Credit enhancement for charter school facilities .....	14	14	16
0007 Magnet schools assistance .....	92	97	115
0008 Stronger together .....			120
0009 Advanced placement .....	28	28	
0010 Computer sciences for all development grants .....			100
0011 Ready to learn programming .....	26	26	26
0012 Fund for the Improvement of Education: Programs of national significance .....	48	40	
0013 Preschool development grants .....		250	250
0014 Arts in education .....	25	27	27
0015 Javits gifted and talented education .....		12	12
0016 American history and civics academies .....		2	2
0017 STEM master teacher corps .....			10
0018 Teach to lead .....			10
0019 Race to the top .....	249		
0020 Transition to teaching .....	14		
0100 Total direct program .....	1,120	1,181	1,522
0799 Total direct obligations .....	1,120	1,181	1,522
0801 Reimbursable program activity .....	62	45	33
0900 Total new obligations .....	1,182	1,226	1,555

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	434	397	397
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,102	1,181	1,332
1120	Appropriations transferred to other acct [091–0400] .....	-1		
1160	Appropriation, discretionary (total) .....	1,101	1,181	1,332
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	45	45	45
1900	Budget authority (total) .....	1,146	1,226	1,377
1930	Total budgetary resources available .....	1,580	1,623	1,774
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	397	397	219

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,501	3,125	2,044
3010	Obligations incurred, unexpired accounts .....	1,182	1,226	1,555
3020	Outlays (gross) .....	-1,502	-2,307	-1,323
3041	Recoveries of prior year unpaid obligations, expired .....	-56		
3050	Unpaid obligations, end of year .....	3,125	2,044	2,276
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,501	3,125	2,044
3200	Obligated balance, end of year .....	3,125	2,044	2,276

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,146	1,226	1,377
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	25	28
4011	Outlays from discretionary balances .....	1,498	2,282	1,295
4020	Outlays, gross (total) .....	1,502	2,307	1,323
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-45	-45	-45
4180	Budget authority, net (total) .....	1,101	1,181	1,332
4190	Outlays, net (total) .....	1,457	2,262	1,278

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,101	1,181	1,332
Outlays .....	1,457	2,262	1,278
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			4,300
Outlays .....			220
<b>Total:</b>			
Budget Authority .....	1,101	1,181	5,632
Outlays .....	1,457	2,262	1,498

**Education, innovation, and research.**—Funds would support grants to local educational agencies (LEAs), State educational agencies (SEAs), the Bureau of Indian Education (BIE), consortia of LEAs or SEAs, nonprofit organizations; or consortia of SEAs, LEAs, or the BIE with a nonprofit organization, a business, an educational service agency, or an institution of higher education designed to improve the achievement and attainment of high-need students. Funds would also support Advanced Research Projects Agency-Education (ARPA-ED), to identify and promote advances in fundamental and applied sciences and engineering that could be translated into new learning technologies, to develop, test, and evaluate novel learning technologies and related processes, and to accelerate transformational technological advances.

**Teacher and school leader incentive fund grants.**—Funds would support grants to develop, implement, improve, or expand human capital management systems or performance-based compensation systems, especially in high-need schools.

**School leader recruitment and support.**—Funds would support grants to improve the recruitment, preparation, placement, support, and retention of effective principals and other school leaders in high-need schools.

**Supporting effective educator development.**—Funds would support competitive grants to institutions of higher education, national nonprofit entities, and the BIE to provide educators with evidence-based professional

development and to support pathways that allow educators with nontraditional preparation and certification to obtain employment in traditionally underserved local educational agencies.

**Charter schools grants.**—Funds would support competitive grants for the planning, design, initial implementation, and expansion of successful charter schools. Funds would also support information dissemination activities and competitive grants to improve charter schools' access to facilities.

**Magnet schools assistance.**—Funds would support competitive grants to LEAs to establish and operate magnet school programs that are part of an approved desegregation plan.

**Stronger together.**—Funds would support competitive grants to LEAs to develop and implement comprehensive plans with concrete and ambitious goals for improving socioeconomic diversity in the Nation's preK-12 schools.

**Computer science for all development grants.**—Funds would support competitive grants to local educational agencies to provide high-quality instruction and other learning opportunities in computer science in pre-kindergarten through grade 12, with a focus on expanding access to these opportunities for students in underserved communities or from groups historically underrepresented in science, technology, engineering, and mathematics fields.

**Ready to learn programming.**—Funds would support competitive grants to public telecommunications entities to develop and distribute educational video programming and digital content, such as applications and online educational games, for preschool and elementary school children and their parents, caregivers, and teachers to facilitate student academic achievement.

**Arts in education.**—Funds would support projects and programs to promote arts education for students, including disadvantaged students, through competitive grants to support development and dissemination of instructional materials and programming and professional development for arts educators.

**Javits gifted and talented education.**—Funds would support a coordinated program of research, demonstration projects, innovative strategies, and other activities to build and enhance the capacity of elementary and secondary schools to identify gifted and talented students and meet their special educational needs.

**American history and civics academies.**—Funds would support competitive grants to institutions of higher education and other nonprofit organizations to improve the quality of American history and civics education by providing intensive workshops for teachers and students.

**STEM master teacher corps.**—Funds would support grants to States to support the development of statewide STEM Master Teacher Corps to promote improved professional development and instruction in STEM subjects as well as grants to States and non-profit organizations to support effective, statewide professional development programs in STEM subjects.

**Teach to lead.**—Funds would support the implementation, expansion, and dissemination of teacher-led projects designed to improve educator effectiveness and student outcomes, empowering teachers to lead beyond their classroom.

**Object Classification** (in millions of dollars)

Identification code 091–0204–0–1–501	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	30	44
25.2 Other services from non-Federal sources .....	20	3	6
25.3 Other goods and services from Federal sources .....	1	2	2
25.5 Research and development contracts .....	4		
41.0 Grants, subsidies, and contributions .....	1,094	1,146	1,470
99.0 Direct obligations .....	1,120	1,181	1,522
99.0 Reimbursable obligations .....	62	45	33

INNOVATION AND IMPROVEMENT—Continued  
Object Classification—Continued

Identification code 091–0204–0–1–501	2015 actual	2016 est.	2017 est.
99.9 Total new obligations .....	1,182	1,226	1,555

INNOVATION AND IMPROVEMENT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091–0204–4–1–501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Preschool for all .....			1,300
0002 Respect: Best job in the world .....			1,000
0003 Computer science for all .....			2,000
0100 Total direct program .....			4,300
0900 Total new obligations (object class 41.0) .....			4,300
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			4,300
1930 Total budgetary resources available .....			4,300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4,300
3020 Outlays (gross) .....			–220
3050 Unpaid obligations, end of year .....			4,080
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4,080
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			4,300
Outlays, gross:			
4100 Outlays from new mandatory authority .....			220
4180 Budget authority, net (total) .....			4,300
4190 Outlays, net (total) .....			220

*Preschool for all.*—Funds would support grants to States to expand the number and availability of high-quality preschool programs to serve all 4-year-olds from low- and moderate-income families, and improve the quality of existing programs.

*Respect: Best job in the world.*—Funds would support competitive grants to States with subgrants to LEAs to improve compensation and paths for advancement, teacher leadership opportunities, and working conditions to attract and retain effective teachers in high-needs schools.

*Computer science for all.*—Funds would support competitive grants to State educational agencies to ensure that all students, including those in underserved communities or from historically underrepresented subgroups, have access to computer science and other rigorous instruction in science, technology, engineering, and mathematics.

OFFICE OF ENGLISH LANGUAGE ACQUISITION

Federal Funds

ENGLISH LANGUAGE ACQUISITION

For carrying out part A of title III of the ESEA, **[\$737,400,000] \$800,400,000**, which shall become available on July 1, **[2016]2017**, and shall remain available through September 30, **[2017]2018**, except that 6.5 percent of such amount shall be available on October 1, **[2015]2016**, and shall remain available through September 30, **[2017]2018**, to carry out activities under section 3111(c)(1)(C): *Provided*, That the Secretary **[shall use estimates of the American Community Survey child counts for the most recent 3-year period available to calculate allocations under such part] may use \$10,000,000 of funds available under section 3111(c)(1)(C) to award grants on a competitive basis to local educational agencies and local partnerships with other government or non-profit entities to develop effective multi-generational approaches to improve academic and career outcomes for English**

*learners and their families and for the robust evaluation of such activities carried out under such section: Provided further, That recipients of such grants shall secure matching contributions of at least 25 percent, in funds or in-kind, from State, local, and/or private sources. (Department of Education Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 091–1300–0–1–501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 English language acquisition grants .....	739	737	800
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	12	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	737	737	800
1930 Total budgetary resources available .....	751	749	812
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,015	1,072	1,018
3010 Obligations incurred, unexpired accounts .....	739	737	800
3020 Outlays (gross) .....	–679	–791	–742
3041 Recoveries of prior year unpaid obligations, expired .....	–3		
3050 Unpaid obligations, end of year .....	1,072	1,018	1,076
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,015	1,072	1,018
3200 Obligated balance, end of year .....	1,072	1,018	1,076
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	737	737	800
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	7	8
4011 Outlays from discretionary balances .....	675	784	734
4020 Outlays, gross (total) .....	679	791	742
4180 Budget authority, net (total) .....	737	737	800
4190 Outlays, net (total) .....	679	791	742

*Language acquisition State grants.*—This program supports formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development to increase the supply of high-quality teachers of English Learners, a pilot to identify effective multi-generational approaches to improving literacy, and a national information clearinghouse on English language acquisition.

Object Classification (in millions of dollars)

Identification code 091–1300–0–1–501	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	2	2	2
25.5 Research and development contracts .....	2	2	2
41.0 Grants, subsidies, and contributions .....	732	731	794
99.0 Direct obligations .....	738	737	800
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	739	737	800

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

Federal Funds

SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act (IDEA) and the Special Olympics Sport and Empowerment Act of 2004, **[\$12,976,858,000] \$13,066,858,000**, of which **[\$3,456,259,000] \$3,536,259,000** shall become available

on July 1, [2016]2017, and shall remain available through September 30, [2017]2018, and of which \$9,283,383,000 shall become available on October 1, [2016]2017, and shall remain available through September 30, [2017]2018, for academic year [2016–2017]2017–2018: *Provided*, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available for that activity during fiscal year [2015]2016, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year [2015]2016: *Provided further*, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611(d), from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: *Provided further*, That the Secretary may not distribute any funds under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: *Provided further*, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): *Provided further*, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: *Provided further*, That, notwithstanding the provision in section 612(a)(18)(B) regarding the fiscal year in which a State's allocation under section 611(d) is reduced for failure to comply with the requirement of section 612(a)(18)(A), the Secretary may apply the reduction specified in section 612(a)(18)(B) over a period of consecutive fiscal years, not to exceed five, until the entire reduction is applied: *Provided further*, That the Secretary may, in any fiscal year in which a State's allocation under section 611 is reduced in accordance with section 612(a)(18)(B), reduce the amount a State may reserve under section 611(e)(1) by an amount that bears the same relation to the maximum amount described in that paragraph as the reduction under section 612(a)(18)(B) bears to the total allocation the State would have received in that fiscal year under section 611(d) in the absence of the reduction: *Provided further*, That the Secretary shall either reduce the allocation of funds under section 611 for any fiscal year following the fiscal year for which the State fails to comply with the requirement of section 612(a)(18)(A) as authorized by section 612(a)(18)(B), or seek to recover funds under section 452 of the General Education Provisions Act (20 U.S.C. 1234a): *Provided further*, That the funds reserved under 611(c) of the IDEA may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under parts B and C of the IDEA: [Provided further, That the level of effort a local educational agency must meet under section 613(a)(2)(A)(iii) of the IDEA, in the year after it fails to maintain effort is the level of effort that would have been required in the absence of that failure and not the LEA's reduced level of expenditures:] *Provided further*, That the Secretary may use funds made available for the State Personnel Development Grants program under part D, subpart 1 of IDEA to evaluate program performance under such subpart: *Provided further*, That notwithstanding section 613(f)(1) of the IDEA, local educational agencies may also use funds that they reserve under section 613(f) to develop and implement coordinated, early intervening services for children ages 3 through 5, who have not been identified as needing special education and related services but who need additional developmental, academic, and behavioral support to succeed in a general education environment or participate in appropriate activities: *Provided further*, That, with respect to children receiving services under the preceding proviso, and who have not yet entered kindergarten, the Secretary may waive the requirements of section 613(f)(4) of the IDEA: *Provided further*, That, notwithstanding section 643 of the IDEA, the Secretary may reserve up to \$15,000,000 of the funds appropriated under section 644 of the IDEA to support grants to partnerships of public and private entities for model demonstration projects to increase early screening, evaluation and assessments, early intervention services, and other services, for infants and toddlers with disabilities and at-risk infants and toddlers who may otherwise not qualify for services under Part C of the IDEA in their State: *Provided further*, That the Secretary may use funds reserved under the preceding proviso to support technical assistance and evaluation activities related to carrying out and assessing the performance of those grants: *Provided further*, That each entity that receives a grant under the second preceding proviso may make subgrants, contracts, or otherwise distribute those funds on a competitive, targeted,

or formula basis to public, private, and non-profit entities, including local educational agencies and early intervention service providers, to carry out activities authorized under that proviso. (Department of Education Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 091–0300–0–1–501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....	11,502	11,912	11,913
0002 Preschool grants .....	353	368	403
0003 Grants for infants and families .....	439	463	504
0091 Subtotal, State grants .....	12,294	12,743	12,820
0101 State personnel development .....	42	42	42
0102 Technical assistance and dissemination .....	44	44	54
0103 Personnel preparation .....	84	84	84
0104 Parent information centers .....	27	27	27
0105 Educational technology, media, and materials .....	28	30	30
0191 Subtotal, National activities .....	225	227	237
0201 Special Olympics education program .....	8	10	10
0900 Total new obligations .....	12,527	12,980	13,067
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	4	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,239	3,693	3,784
Advance appropriations, discretionary:			
1170 Advance appropriation .....	9,283	9,283	9,283
1900 Budget authority (total) .....	12,522	12,976	13,067
1930 Total budgetary resources available .....	12,531	12,980	13,067
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,482	6,732	7,350
3010 Obligations incurred, unexpired accounts .....	12,527	12,980	13,067
3020 Outlays (gross) .....	-12,267	-12,362	-12,924
3041 Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	6,732	7,350	7,493
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,482	6,732	7,350
3200 Obligated balance, end of year .....	6,732	7,350	7,493
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12,522	12,976	13,067
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,490	6,302	6,306
4011 Outlays from discretionary balances .....	5,777	6,060	6,618
4020 Outlays, gross (total) .....	12,267	12,362	12,924
4180 Budget authority, net (total) .....	12,522	12,976	13,067
4190 Outlays, net (total) .....	12,267	12,362	12,924

**SUMMARY OF IDEA FORMULA GRANTS PROGRAM LEVELS**

	[in millions of dollars]		
	2015–2016 Academic Year	2016–2017 Academic Year	2017–2018 Academic Year
Current Budget Authority .....	\$3,006	\$3,456	\$3,536
Advance appropriation .....	9,283	9,283	9,283
Total program level .....	12,289	12,739	12,819
Change in advance appropriation from the previous year .....	0	0	0

**Grants to States.**—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

**Preschool grants.**—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program. The goal of both of the Grants to States and the Preschool grants programs is to improve results for children with disabilities by assisting State and local educational agencies to provide children with disabilities with access to high quality education that will help them meet challenging

SPECIAL EDUCATION—Continued

standards and prepare them for employment and independent living. Under current law, local educational agencies (LEAs) may reserve up to 15 percent of the funds they receive under Part B of the IDEA to provide coordinated early intervening services (CEIS) to children in grades kindergarten through twelve. In the 2017 Budget, the Administration would provide additional flexibility to allow LEAs to provide CEIS to children ages 3 through 5 so that they are better prepared to enter school ready to learn.

**Grants for infants and families.**—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age 2, and their families. The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes. The Administration would reserve \$15 million of the requested increase to make competitive grants to partnerships of public and private entities to support community-based model demonstration projects. These model demonstration projects would focus on expanding early screening, referral, and early intervention services to infants and toddlers eligible for Part C and those who are at-risk, but not eligible, for Part C and their families.

**National activities.**—Funds are provided for personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs. The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities. The request includes \$10 million under the Technical Assistance and Dissemination program that would support grants to increase substantially the Administration's investment in model demonstration projects to build the evidence-base for promising practices in critical areas such as interventions for students with autism that require intensive services and support.

**Special Olympics education programs.**—Funds are provided to promote the expansion of the Special Olympics and the design and implementation of Special Olympics education programs.

Performance data related to program goals include:

**Basis for Leaving Special Education for Youth with Disabilities Ages 14 and Older**

Status of Exiting Students Percent / number of students with disabilities aged 14–21 exiting special education:	2011–2012	2012–2013	2013–2014
	Actual	Actual	Actual
Graduated with a diploma .....	39.7% / 250,575	41.9% / 257,982	42.18% / 258,969
Graduated through certification .....	8.5% / 53,901	9.2% / 56,399	8.64% / 53,031
Transferred to regular education .....	10.2% / 64,637	9.4% / 57,639	9.2% / 56,552
Dropped out of school/not known to continue .....	12.7% / 80,427	12.1% / 74,502	11.77% / 72,251
Moved, but known to have continued in education .....	27.9% / 175,709	26.5% / 162,887	27.23% / 167,187
Reached maximum age for services/other .....	.9% / 5,565	.9% / 5,839	.97% / 5,935
<b>Total .....</b>	<b>100% / 630,823</b>	<b>100% / 615,248</b>	<b>100% / 613,921</b>

Note—Percentages may not add to 100% due to rounding.

**Object Classification** (in millions of dollars)

Identification code 091–0300–0–1–501	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	4	3
41.0 Grants, subsidies, and contributions .....	12,526	12,976	13,064
99.9 Total new obligations .....	12,527	12,980	13,067

REHABILITATION SERVICES [AND DISABILITY RESEARCH]

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973 and the Helen Keller National Center Act, **[\$3,529,605,000] \$3,541,389,000**, of which **[\$3,391,770,000] \$3,398,554,000** shall be for grants for vocational rehabilitation services under title I of the Rehabilitation Act: *Provided*, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income ("SSI") and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: *Provided further*, That States may award subgrants for a portion of the funds to other public and private, nonprofit entities: *Provided further*, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, **[2017] 2018**. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091–0301–0–1–506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Vocational rehabilitation State grants .....	3,066	3,161	3,399
0002 Client assistance State grants .....	13	13	13
0003 Supported employment State grants .....	27	28	31
0005 Training .....	30	30	30
0006 Demonstration and training programs .....	6	6	6
0007 Independent living .....	55	33	35
0008 Protection and advocacy of individual rights .....	22	18	18
0011 Helen Keller National Center .....	9	10	10
0012 Assistive technology .....	26		
0013 Disability innovation fund .....	37	28	15
0100 Total direct program .....	3,291	3,327	3,557
0799 Total direct obligations .....	3,291	3,327	3,557
0900 Total new obligations .....	3,291	3,327	3,557
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
1012 Unobligated balance transfers between expired and unexpired accounts .....	37	28	15
1050 Unobligated balance (total) .....	37	29	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	375	138	142
1120 Appropriations transferred to other acct [075–0142] .....	–184		
1160 Appropriation, discretionary (total) .....	191	138	142
Appropriations, mandatory:			
1200 Appropriation .....	3,335	3,161	3,399
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–243		
1260 Appropriations, mandatory (total) .....	3,092	3,161	3,399
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		2	2
1900 Budget authority (total) .....	3,283	3,301	3,543
1930 Total budgetary resources available .....	3,320	3,330	3,561
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–28		
1941 Unexpired unobligated balance, end of year .....	1	3	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,408	2,073	1,836
3010 Obligations incurred, unexpired accounts .....	3,291	3,327	3,557
3011 Obligations incurred, expired accounts .....	153		
3020 Outlays (gross) .....	–3,324	–3,564	–3,418
3030 Unpaid obligations transferred to other accts [075–0142] .....	–196		
3041 Recoveries of prior year unpaid obligations, expired .....	–259		
3050 Unpaid obligations, end of year .....	2,073	1,836	1,975
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,408	2,073	1,836
3200 Obligated balance, end of year .....	2,073	1,836	1,975

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	191	140	144
Outlays, gross:				
4010	Outlays from new discretionary authority .....	75	70	72
4011	Outlays from discretionary balances .....	207	105	70
4020	Outlays, gross (total) .....	282	175	142
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-2	-2
Mandatory:				
4090	Budget authority, gross .....	3,092	3,161	3,399
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,527	1,580	1,700
4101	Outlays from mandatory balances .....	1,515	1,809	1,576
4110	Outlays, gross (total) .....	3,042	3,389	3,276
4180	Budget authority, net (total) .....	3,283	3,299	3,541
4190	Outlays, net (total) .....	3,324	3,562	3,416

**Vocational rehabilitation State grants.**—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. In FY 2015, State VR agencies assisted approximately 184,000 individuals with disabilities to obtain an employment outcome, about 93 percent of whom were individuals with significant disabilities. VR State Grants is a core program of the workforce development system under the Workforce Innovation and Opportunity Act (WIOA) and a required partner in the one-stop service delivery system for accessing employment and training services. Amendments made by WIOA require State VR agencies to reserve and use at least 15 percent of their Federal grant allotment to support pre-employment transition services for students with disabilities provided in accordance with new section 113 of the Rehabilitation Act. Between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program must be set aside for Grants for Indians.

The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute. The 2017 request also includes language that would allow the Secretary to use amounts provided in this Act for the VR State Grants program that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act to support innovative activities aimed at improving outcomes for individuals with disabilities, including activities under the Promoting Readiness of Minors in Supplemental Security Income (PROMISE) program.

**Client assistance State grants.**—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

**Supported employment state grants.**—Under this formula grant program, State vocational rehabilitation (VR) agencies receive supplemental funds to provide ongoing support services for up to 24 months to assist individuals with the most significant disabilities in achieving competitive integrated employment. States are required to use half of the funds they receive under this program to provide supported employment and extended services to youth with the most significant disabilities and to provide a match of 10 percent for the portion used to serve such youth. States are also able to use SE funds to provide extended services for up to 4 years to eligible youth with the most significant disabilities. An individual's potential for supported employment must be considered as part of the assessment to determine eligibility for the Title I Vocational Rehabilitation State Grants program.

**Training.**—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

**Demonstration and training programs.**—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of

programs and services authorized under the Rehabilitation Act or further the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services.

**Independent living services for older individuals who are blind.**—Grants are awarded to States to assist individuals over the age of 55 with severe visual disabilities to adjust to their disability and increase their ability to care for their own needs.

**Protection and advocacy of individual rights.**—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

**Helen Keller National Center for Deaf-Blind Youths and Adults.**—The Center provides services to deaf-blind youths and adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

**Object Classification** (in millions of dollars)

Identification code 091-0301-0-1-506	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	1		
41.0	Grants, subsidies, and contributions .....	3,289	3,326	3,556
99.9	Total new obligations .....	3,291	3,327	3,557

**SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES**

**AMERICAN PRINTING HOUSE FOR THE BLIND**

For carrying out the Act to Promote the Education of the Blind of March 3, 1879, \$25,431,000. (Department of Education Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 091-0600-0-1-501	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	American printing house for the blind .....	25	25	25
0900	Total new obligations (object class 41.0) .....	25	25	25
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	25	25	25
1930	Total budgetary resources available .....	25	25	25
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	7	7
3010	Obligations incurred, unexpired accounts .....	25	25	25
3020	Outlays (gross) .....	-24	-25	-25
3050	Unpaid obligations, end of year .....	7	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	7	7
3200	Obligated balance, end of year .....	7	7	7
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	25	25	25
Outlays, gross:				
4010	Outlays from new discretionary authority .....	19	19	19
4011	Outlays from discretionary balances .....	5	6	6
4020	Outlays, gross (total) .....	24	25	25
4180	Budget authority, net (total) .....	25	25	25
4190	Outlays, net (total) .....	24	25	25

The Federal appropriation supports: the production and distribution of free educational materials for students below the college level who are blind, research related to developing and improving products, and advisory

SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES—Continued

services to consumer organizations on the availability and use of materials. In 2015, the portion of the Federal appropriation allocated to educational materials represented approximately 65 percent of the Printing House's total sales. The full 2015 appropriation represented approximately 73 percent of the Printing House's total budget.

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, \$70,016,000: *Provided*, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 091-0601-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations	67	70	70
0900 Total new obligations (object class 41.0)	67	70	70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	67	70	70
1930 Total budgetary resources available	67	70	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	
3010 Obligations incurred, unexpired accounts	67	70	70
3020 Outlays (gross)	-68	-71	-70
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	
3200 Obligated balance, end of year	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	67	70	70
Outlays, gross:			
4010 Outlays from new discretionary authority	67	70	70
4011 Outlays from discretionary balances	1	1	
4020 Outlays, gross (total)	68	71	70
4180 Budget authority, net (total)	67	70	70
4190 Outlays, net (total)	68	71	70

This residential program provides postsecondary technical and professional education for people who are deaf or hard of hearing, provides training, and conducts applied research into employment-related aspects of deafness. In 2015, the Federal appropriation represented approximately 72 percent of the Institute's operating budget. The 2017 request includes funds that may be used for the Endowment Grant program.

GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, \$121,275,000: *Provided*, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 091-0602-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations	120	121	121
0900 Total new obligations (object class 41.0)	120	121	121

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	120	121	121
1930 Total budgetary resources available	120	121	121

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	20	15
3010 Obligations incurred, unexpired accounts	120	121	121
3020 Outlays (gross)	-124	-126	-129
3050 Unpaid obligations, end of year	20	15	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	20	15
3200 Obligated balance, end of year	20	15	7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	120	121	121
Outlays, gross:			
4010 Outlays from new discretionary authority	120	121	121
4011 Outlays from discretionary balances	4	5	8
4020 Outlays, gross (total)	124	126	129
4180 Budget authority, net (total)	120	121	121
4190 Outlays, net (total)	124	126	129

This institution provides undergraduate, continuing education, and graduate programs for students who are deaf, hard of hearing, and hearing. The University also conducts basic and applied research and provides public service programs for persons with hearing loss and persons who work with them.

Gallaudet operates the Laurent Clerc National Deaf Education Center, which includes elementary and secondary education programs on the main campus of the University serving students who are deaf or hard of hearing. The Kendall Demonstration Elementary School serves students from birth through grade 8, and the Model Secondary School for the Deaf (MSSD) serves high school students in grades 9 through 12. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2015, the appropriation for Gallaudet represented approximately 67 percent of total revenue for the University. Approximately 25 percent of the Federal appropriation was used to support activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2017 request includes funds that may be used for the Endowment Grant program.

OFFICE OF VOCATIONAL AND ADULT EDUCATION

Federal Funds

CAREER, TECHNICAL, AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, [the Carl D. Perkins Career and Technical Education Act of 2006 and] the Adult Education and Family Literacy Act ("AEFLA"), [\$1,720,686,000, of which \$929,686,000] \$606,667,000, which shall become available on July 1, [2016] 2017, and shall remain available through September 30, [2017, and of which \$791,000,000 shall become available on October 1, 2016, and shall remain available through September 30, 2017] 2018: *Provided*, That [of the amounts made available for AEFLA, \$13,712,000] \$24,712,000 shall be for national leadership activities under section 242. (*Department of Education Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 091-0400-0-1-501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Career and technical education State grants	1,124	1,121	791
0002 Career and technical education national programs	7	7	7
0091 Total, Career and technical education	1,131	1,128	798

0101	Adult basic and literacy education State grants .....	579	582	582
0102	Adult education national leadership activities .....	14	14	14
0191	Total, adult education .....	593	596	596
0900	Total new obligations .....	1,724	1,724	1,394

**Budgetary resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	36	27	26
1011	Unobligated balance transfer from other acct [016-0174] .....	2		
1011	Unobligated balance transfer from other acct [091-0900] .....	2		
1050	Unobligated balance (total) .....	40	27	26

Budget authority:

Appropriations, discretionary:

1100	Appropriation .....	917	930	607
1121	Appropriations transferred from other acct [485-2728] .....	1		
1121	Appropriations transferred from other acct [091-0204] .....	1		
1160	Appropriation, discretionary (total) .....	919	930	607

Advance appropriations, discretionary:

1170	Advance appropriation from prior year .....	791	791	791
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Spending authority from offsetting collections, discretionary:

1700	Collected .....		1	1
1701	Change in uncollected payments, Federal sources .....	1	1	1
1750	Spending auth from offsetting collections, disc (total) .....	1	2	2
1900	Budget authority (total) .....	1,711	1,723	1,400
1930	Total budgetary resources available .....	1,751	1,750	1,426

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year .....	27	26	32
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**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	1,338	1,402	1,439
3010	Obligations incurred, unexpired accounts .....	1,724	1,724	1,394
3011	Obligations incurred, expired accounts .....	4		
3020	Outlays (gross) .....	-1,655	-1,687	-1,455
3041	Recoveries of prior year unpaid obligations, expired .....	-9		
3050	Unpaid obligations, end of year .....	1,402	1,439	1,378

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-2	-3

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	1,338	1,401	1,437
3200	Obligated balance, end of year .....	1,401	1,437	1,375

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	1,711	1,723	1,400
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Outlays, gross:

4010	Outlays from new discretionary authority .....	570	623	599
4011	Outlays from discretionary balances .....	1,085	1,064	856
4020	Outlays, gross (total) .....	1,655	1,687	1,455

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:

4030	Federal sources .....		-1	-1
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Additional offsets against gross budget authority only:

4050	Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
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4070	Budget authority, net (discretionary) .....	1,710	1,721	1,398
4080	Outlays, net (discretionary) .....	1,655	1,686	1,454
4180	Budget authority, net (total) .....	1,710	1,721	1,398
4190	Outlays, net (total) .....	1,655	1,686	1,454

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,710	1,721	1,398
Outlays .....	1,655	1,686	1,454
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			491
Outlays .....			25
Total:			
Budget Authority .....	1,710	1,721	1,889
Outlays .....	1,655	1,686	1,479

**SUMMARY OF PROGRAM LEVEL**

	2015-16 Academic Year	2016-17 Academic Year	2017-18 Academic Year
New Budget Authority .....	\$917	\$930	\$1,098

Advance Appropriation .....	791	791	791
Total program level .....	1,708	1,721	1,889
Change in advance appropriation over previous year .....	0	0	0

The Administration is proposing legislation reauthorizing the Carl D. Perkins Career and Technical Education Act of 2006, including programs in this account. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Career and Technical Education:**

*Career and technical education national programs.*—Funds would support discretionary activities to support research, evaluation, data collection, technical assistance, and other national leadership activities aimed at improving the quality and effectiveness of career and technical education. The request includes funding to provide technical assistance and evaluation support for projects under the Career and Technical Education Innovation Fund proposal described in the request for Career and Technical Education State Grants.

**Adult education:**

*Adult education State programs.*—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

*Adult education national leadership activities.*—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs, and to test and demonstrate methods of improving program quality. The additional funds requested in fiscal year 2017 would support States in their efforts to improve adult education standards and assessments and to carry out data collection activities required by the Workforce Innovation and Opportunity Act.

**Object Classification (in millions of dollars)**

Identification code 091-0400-0-1-501	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	9	9	9
25.2 Other services from non-Federal sources .....	1	1	1
25.5 Research and development contracts .....	5	5	5
25.7 Operation and maintenance of equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1,707	1,707	1,377
99.9 Total new obligations .....	1,724	1,724	1,394

**CAREER, TECHNICAL AND ADULT EDUCATION  
(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 091-0400-2-1-501	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Career and technical education State grants .....			402
0003 Next generation high schools .....			80
0900 Total new obligations .....			482

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			491
1900 Budget authority (total) .....			491
1930 Total budgetary resources available .....			491
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			9

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			482
3020 Outlays (gross) .....			-25
3050 Unpaid obligations, end of year .....			457

CAREER, TECHNICAL AND ADULT EDUCATION—Continued  
Program and Financing—Continued

Identification code 091-0400-2-1-501	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			457
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			491
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			25
4180 Budget authority, net (total) .....			491
4190 Outlays, net (total) .....			25

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Carl D. Perkins Career and Technical Education Act of 2006.

*Career and Technical Education:*

*Career and technical education State grants.*—Funds would support formula grants to States for programs that focus on improving the academic achievement and career and technical skills of secondary and postsecondary students. Funds would also support projects to promote innovation in career and technical education. The request includes funding for a Career and Technical Education Innovation Fund competition for grants to support the development and operation of innovative, evidence-based job training programs in high-demand fields that provide a path to the middle class for low-income individuals.

*Next generation high schools.*—Funds would support competitive grants to transform teaching and learning in high schools by encouraging partnerships among local educational agencies, institutions of higher education, businesses, and other entities to enhance instruction and provide career-related experiences to students, helping them prepare for college and careers. Grantees would leverage new and existing Federal, State, and local resources to create learning models that are rigorous, relevant, and better focused on real-world experiences while incorporating personalized learning, work- and project-based learning, and career and college exploration.

**Object Classification** (in millions of dollars)

Identification code 091-0400-2-1-501	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			2
25.2 Other services from non-Federal sources .....			1
41.0 Grants, subsidies, and contributions .....			479
99.9 Total new obligations .....			482

**OFFICE OF POSTSECONDARY EDUCATION**

*Federal Funds*

HIGHER EDUCATION

For carrying out, to the extent not otherwise provided, titles II, III, IV, V, VI, and VII of the HEA, the Mutual Educational and Cultural Exchange Act of 1961, and section 117 of the Carl D. Perkins Career and Technical Education Act of 2006, **[\$1,982,185,000] \$2,189,200,000:** *Provided*, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: *Provided further*, That of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: *Provided further*, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV of the HEA may be used for evaluation: *Provided further*, That up to 2.5 percent of the funds made available under this Act for part B of title VII of the HEA may be

*used for technical assistance and the evaluation of activities carried out under such section: Provided further, That notwithstanding chapter 1 of subpart 2 of part A of title IV of the HEA, the Secretary may reserve up to \$20,000,000 of the funds made available for section 402A(g) of the HEA to support the demonstration and rigorous evaluation of college access and completion strategies through cooperative agreements with entities that received fiscal year 2016 awards under section 402A: Provided further, That \$30,000,000 shall be used for competitive awards to institutions described in sections 316, 317, 318, 319, 320, 322, and 502 of the HEA to support innovative and evidence-based student-centered strategies and interventions designed to improve the performance of those institutions in graduating low-income students: Provided further, That \$125,000,000 shall be used to make competitive grants to public and private nonprofit entities to support the creation and expansion of high-quality teacher and principal preparation programs, and other activities authorized under title II of the HEA: Provided further, That the Secretary may reserve no more than five percent of the funds described in the preceding proviso for national activities. (Department of Education Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 091-0201-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Strengthening institutions .....	80	87	87
0002 Strengthening tribally controlled colleges and universities .....	53	56	58
0003 Strengthening Alaska Native- and Native Hawaiian-serving institutions .....	29	28	29
0004 Strengthening historically Black colleges and universities (HBCUs) .....	306	324	330
0005 Strengthening historically Black graduate institutions .....	59	63	63
0007 Strengthening predominantly Black institutions .....	23	24	25
0008 Strengthening Asian American- and Native American Pacific Islander-serving institutions .....	8	8	8
0009 Strengthening Native American-serving nontribal institutions .....	8	8	8
0010 Minority science and engineering improvement .....	9	10	10
0091 Subtotal, aid for institutional development .....	575	608	618
0101 Developing Hispanic-serving institutions .....	100	108	107
0102 Developing Hispanic-serving institution STEM and articulation programs .....	93	93	100
0103 Promoting baccalaureate opportunities for Hispanic Americans .....	9	9	10
0104 International education and foreign language studies .....	72	72	67
0105 FIPSE/First in the World .....	69		100
0106 Model transition programs for students with intellectual disabilities into higher education .....	12	12	12
0107 Tribally controlled postsecondary career and technical institutions .....	8	8	8
0108 HBCU and Minority-serving institutions innovation for completion fund .....			30
0191 Subtotal, other aid for institutions .....	363	302	434
0201 Federal TRIO programs .....	840	900	900
0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP) .....	302	323	323
0203 Graduate assistance in areas of national need .....	29	29	29
0204 Child care access means parents in school .....	15	15	15
0291 Subtotal, assistance for students .....	1,186	1,267	1,267
0301 Teacher quality partnership .....	41	43	
0302 Teacher and principal pathways .....			125
0391 Direct program activities, subtotal .....	41	43	125
0900 Total new obligations .....	2,165	2,220	2,444
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	125
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	
1012 Unobligated balance transfers between expired and unexpired accounts .....	126	124	124
1050 Unobligated balance (total) .....	127	125	249
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,925	1,982	2,189
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	255	238	255
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-19		
1260 Appropriations, mandatory (total) .....	236	238	255
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1		
1900 Budget authority (total) .....	2,162	2,220	2,444

1930	Total budgetary resources available .....	2,289	2,345	2,693
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-123		
1941	Unexpired unobligated balance, end of year .....	1	125	249
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,137	3,116	3,058
3010	Obligations incurred, unexpired accounts .....	2,165	2,220	2,444
3020	Outlays (gross) .....	-2,129	-2,278	-2,211
3041	Recoveries of prior year unpaid obligations, expired .....	-57		
3050	Unpaid obligations, end of year .....	3,116	3,058	3,291
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3,137	3,116	3,058
3200	Obligated balance, end of year .....	3,116	3,058	3,291
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,926	1,982	2,189
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	33	59	66
4011	Outlays from discretionary balances .....	1,776	1,930	1,894
4020	Outlays, gross (total) .....	1,809	1,989	1,960
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-1		
	Mandatory:			
4090	Budget authority, gross .....	236	238	255
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		7	8
4101	Outlays from mandatory balances .....	320	282	243
4110	Outlays, gross (total) .....	320	289	251
4180	Budget authority, net (total) .....	2,161	2,220	2,444
4190	Outlays, net (total) .....	2,128	2,278	2,211

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	2,161	2,220	2,444
Outlays .....	2,128	2,278	2,211
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1,805
Outlays .....			142
Total:			
Budget Authority .....	2,161	2,220	4,249
Outlays .....	2,128	2,278	2,353

*Aid for institutional development:*

*Strengthening institutions.*—Funds support planning and development grants for improving academic programs and financial management at schools that enroll high proportions of disadvantaged students and have low per-student expenditures.

*Strengthening tribally controlled colleges and universities.*—Discretionary and mandatory funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening Alaska Native and Native Hawaiian-serving institutions.*—Discretionary and mandatory funds support Alaska Native and Native Hawaiian-serving institutions to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening historically Black colleges and universities.*—Discretionary and mandatory funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening historically Black graduate institutions.*—Funds support grants to help historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening predominantly Black institutions.*—Discretionary and mandatory funds support grants to predominantly Black institutions to improve and expand their capacity to serve students.

*Strengthening Asian American- and Native American Pacific Islander-serving institutions.*—Discretionary and mandatory funds support grants to help Asian American and Native American Pacific Islander-serving institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Strengthening Native American-serving nontribal institutions.*—Discretionary and mandatory funds support grants to help Native American-serving nontribal institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

*Minority science and engineering improvement.*—Funds support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education and to increase the participation of minorities in scientific and technological careers.

*Aid for Hispanic-serving institutions:*

*Developing Hispanic-serving institutions.*—Funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students.

*Developing Hispanic-serving institutions STEM and articulation programs.*—Mandatory funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students with priority given to applications that propose to increase the number of Hispanics and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics; and to develop model transfer and articulation agreements between 2-year Hispanic-serving institutions and 4-year institutions in such fields.

*Promoting postbaccalaureate opportunities for Hispanic Americans.*—Discretionary funds support Hispanic-serving Institutions to help them expand and improve postbaccalaureate educational opportunities.

*HBCU and Minority-serving institutions (MSI) innovation for completion fund.*—Funds support innovative and evidenced-based student-centered strategies and interventions to increase the number of low-income students completing degree programs at HBCUs and MSIs, including Hispanic-serving institutions, Predominantly Black institutions, Tribally Controlled Colleges and Universities, Asian American and Native American Pacific Islander-serving institutions, and Native American-serving nontribal institutions.

*Other aid for institutions:*

*International education and foreign language studies programs.*—Funds promote the development and improvement of domestic and overseas international and foreign language programs by providing institutional and fellowship grant funding to strengthen the capability and performance of American education in foreign languages and in area and international studies.

*Fund for the improvement of postsecondary education/First in the world.*—Funds would support the First in the World initiative, an evidence-based program that supports the development and evaluation of innovative strategies designed to improve college completion, particularly for high-need students.

*Model transition programs for students with intellectual disabilities into higher education.*—Funds support grants to institutions of higher education or consortia of such institutions to create or expand high quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities.

*Tribally controlled postsecondary career and technical institutions.*—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

*Assistance for students:*

*Federal TRIO programs.*—Funds support postsecondary education outreach and student support services to help individuals from disadvantaged backgrounds prepare for, enter, and complete college and graduate studies.

*Gaining early awareness and readiness for undergraduate programs.*—Funds support early college preparation and awareness activities at the State and local levels to ensure that low-income elementary and

HIGHER EDUCATION—Continued

secondary school students are prepared for and pursue postsecondary education.

*Graduate assistance in areas of national need.*—Funds support fellowships to graduate students of superior ability who have financial need for study in areas of national need.

*Child care access means parents in school.*—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

*Teacher and principal pathways.*—Funds support grants to institutions of higher education and other non-profit entities to create or expand high quality pathways to prepare participants to be effective teachers or principals.

**Object Classification** (in millions of dollars)

Identification code 091–0201–0–1–502	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	5	3	3
25.5 Research and development contracts .....	7	4	7
25.7 Operation and maintenance of equipment .....	2	1	3
41.0 Grants, subsidies, and contributions .....	2,151	2,212	2,431
99.9 Total new obligations .....	2,165	2,220	2,444

HIGHER EDUCATION

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091–0201–4–1–502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0401 America's college promise .....			1,257
0402 College opportunity and graduation bonus .....			548
0900 Total new obligations (object class 41.0) .....			1,805
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			1,805
1930 Total budgetary resources available .....			1,805
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Unpaid obligations, brought forward, Oct 1 .....			1,805
3020 Outlays (gross) .....			–142
3050 Unpaid obligations, end of year .....			1,663
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			1,663
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			1,805
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			142
4180 Budget authority, net (total) .....			1,805
4190 Outlays, net (total) .....			142

*America's college promise.*—Funds would support the creation of a new partnership with states to make 2 years of community college free for responsible students by helping them waive tuition in high-quality programs while promoting key reforms to help more students complete at least 2 years of college. Funds would also support HBCUs and other MSIs by waiving or significantly reducing tuition and fees for up to 60 credits for low-income students.

*College opportunity and graduation bonus.*—Funds would support a program to reward colleges that successfully enroll and graduate a significant number of low- and moderate-income students on time and encourage all institutions to improve their performance.

HOWARD UNIVERSITY

For partial support of Howard University, \$221,821,000, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091–0603–0–1–502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General support .....	195	195	195
0002 Howard University Hospital .....	27	27	27
0900 Total new obligations (object class 41.0) .....	222	222	222
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	222	222	222
1930 Total budgetary resources available .....	222	222	222
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	14
3010 Obligations incurred, unexpired accounts .....	222	222	222
3020 Outlays (gross) .....	–221	–213	–222
3050 Unpaid obligations, end of year .....	5	14	14
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	14
3200 Obligated balance, end of year .....	5	14	14
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	222	222	222
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	218	209	209
4011 Outlays from discretionary balances .....	3	4	13
4020 Outlays, gross (total) .....	221	213	222
4180 Budget authority, net (total) .....	222	222	222
4190 Outlays, net (total) .....	221	213	222

Howard University is a private, nonprofit institution of higher education consisting of 13 schools and colleges. Federal funds are used to provide partial support for University programs as well as for the Howard University Hospital, a teaching facility. In 2015, the Federal appropriation represented approximately 38 percent of the University's revenue and 10 percent of the Hospital's revenue.

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, **[\$435,000]** \$457,000. (*Department of Education Appropriations Act, 2016.*)

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT

For the cost of guaranteed loans, \$20,150,000, as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, **[2017]** 2018: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$302,099,000]** \$282,212,885: *Provided further*, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.

In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, **[\$334,000]** \$349,000. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0241-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	12	20	20
0705 Reestimates of direct loan subsidy .....	31	17	.....
0706 Interest on reestimates of direct loan subsidy .....	.....	5	.....
0709 Administrative expenses .....	.....	1	1
0900 Total new obligations (object class 41.0) .....	43	43	21
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	19	19
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	16	19	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	21	21
Appropriations, mandatory:			
1200 Appropriation .....	31	22	.....
1900 Budget authority (total) .....	51	43	21
1930 Total budgetary resources available .....	67	62	40
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	32	30
3010 Obligations incurred, unexpired accounts .....	43	43	21
3020 Outlays (gross) .....	-38	-45	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	32	30	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	32	30
3200 Obligated balance, end of year .....	32	30	38
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	21	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	5	5
4011 Outlays from discretionary balances .....	6	18	8
4020 Outlays, gross (total) .....	7	23	13
Mandatory:			
4090 Budget authority, gross .....	31	22	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	31	22	.....
4180 Budget authority, net (total) .....	51	43	21
4190 Outlays, net (total) .....	38	45	13

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0241-0-1-502	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Historically Black Colleges and Universities .....	183	302	282
115999 Total direct loan levels .....	183	302	282
Direct loan subsidy (in percent):			
132002 Historically Black Colleges and Universities .....	5.94	6.67	7.14
132999 Weighted average subsidy rate .....	5.94	6.67	7.14
Direct loan subsidy budget authority:			
133002 Historically Black Colleges and Universities .....	12	20	20
133999 Total subsidy budget authority .....	12	20	20
Direct loan subsidy outlays:			
134002 Historically Black Colleges and Universities .....	6	8	9
134999 Total subsidy outlays .....	6	8	9
Direct loan reestimates:			
135002 Historically Black Colleges and Universities .....	-6	17	.....
135003 HBCU Hurricane Supplemental .....	-46	-13	.....
135999 Total direct loan reestimates .....	-52	4	.....
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan

guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans (CHAFL) Program and the Historically Black College and University (HBCU) Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

*College housing and academic facilities loans program.*—Funds for this activity pay the Federal costs of administering CHAFL, College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

*Historically Black college and university capital financing program.*—The HBCU Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which 5 percent of each institution's principal is deposited. The Budget requests \$20.1 million in new loan subsidies, allowing the program to guarantee an estimated \$282 million in new loans in 2017. The Budget also requests a 2-year period of availability for this loan subsidy. In addition, the Budget requests funds for the Federal costs of administering the program and providing technical assistance activities that improve the financial stability of HBCUs.

**Employment Summary**

Identification code 091-0241-0-1-502	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	.....	2	2

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4252-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	.....	1	1
0900 Total new obligations .....	.....	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	1
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1930 Total budgetary resources available .....	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	1	1
3020 Outlays (gross) .....	.....	-1	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Financing disbursements:			
4110 Outlays, gross (total) .....	.....	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Interest repayments .....	-1	-1	-1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-1	.....	.....

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING  
ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)

Identification code 091-4252-0-3-502	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	5	5
1290 Outstanding, end of year .....	5	5	5

Balance Sheet (in millions of dollars)

Identification code 091-4252-0-3-502	2014 actual	2015 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	5	5
1405 Allowance for subsidy cost (-) .....	-1	-1
1499 Net present value of assets related to direct loans .....	4	4
1999 Total assets .....	4	4
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	4	4
4999 Total liabilities and net position .....	4	4

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0242-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	3	4	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	16	26	26
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-10	-19	-19
1825 Spending authority from offsetting collections applied to repay debt .....	-4	-4	-4
1850 Spending auth from offsetting collections, mand (total) .....	2	3	3
1900 Budget authority (total) .....	3	4	4
1930 Total budgetary resources available .....	3	4	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-3	-4	-4
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	3	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-16	-26	-26
4180 Budget authority, net (total) .....	-13	-22	-22
4190 Outlays, net (total) .....	-13	-22	-22

Status of Direct Loans (in millions of dollars)

Identification code 091-0242-0-1-502	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	133	129	125
1251 Repayments: Repayments and prepayments .....	-4	-4	-4

1290 Outstanding, end of year .....	129	125	121
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As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

Balance Sheet (in millions of dollars)

Identification code 091-0242-0-1-502	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	128	129
1602 Interest receivable .....	6	2
1699 Value of assets related to direct loans .....	134	131
1999 Total assets .....	134	131
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	38	26
2104 Resources payable to Treasury .....	96	105
2999 Total liabilities .....	134	131
4999 Total liabilities and net position .....	134	131

Object Classification (in millions of dollars)

Identification code 091-0242-0-1-502	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	1	1
43.0 Interest and dividends .....	3	3	3
99.0 Direct obligations .....	4	4	4
99.5 Adjustment for rounding .....	-1		
99.9 Total new obligations .....	3	4	4

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING DIRECT LOAN  
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4255-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004 Interest paid to Treasury (FFB) .....	35	29	30
Credit program obligations:			
0710 Direct loan obligations .....	183	302	282
0742 Downward reestimate paid to receipt account .....	39	3	
0743 Interest on downward reestimates .....	44	14	
0791 Direct program activities, subtotal .....	266	319	282
0900 Total new obligations .....	301	348	312

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	251	201	233
1023 Unobligated balances applied to repay debt .....	-6		
1050 Unobligated balance (total) .....	245	201	233
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	183	302	282
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	104	128	104
1825 Spending authority from offsetting collections applied to repay debt .....	-30	-50	-45
1850 Spending auth from offsetting collections, mand (total) .....	74	78	59
1900 Budget authority (total) .....	257	380	341
1930 Total budgetary resources available .....	502	581	574
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	201	233	262

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	213	235	386
3010	Obligations incurred, unexpired accounts .....	301	348	312
3020	Outlays (gross) .....	-279	-197	-190
3050	Unpaid obligations, end of year .....	235	386	508
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-22	-22
3090	Uncollected pymts, Fed sources, end of year .....	-22	-22	-22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	191	213	364
3200	Obligated balance, end of year .....	213	364	486

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	257	380	341
Financing disbursements:				
4110	Outlays, gross (total) .....	279	197	190
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-37	-29	-9
4122	Interest on uninvested funds .....	-9	-20	-20
4123	Interest repayments .....	-58	-29	-30
4123	Principal repayments .....	-50	-45	-45
4130	Offsets against gross budget authority and outlays (total) ....	-104	-128	-104
4160	Budget authority, net (mandatory) .....	153	252	237
4170	Outlays, net (mandatory) .....	175	69	86
4180	Budget authority, net (total) .....	153	252	237
4190	Outlays, net (total) .....	175	69	86

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4255-0-3-502	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	183	302	282
1150	Total direct loan obligations .....	183	302	282
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	1,259	1,383	1,474
1231	Disbursements: Direct loan disbursements .....	160	141	150
1251	Repayments: Repayments and prepayments .....	-36	-50	-55
1290	Outstanding, end of year .....	1,383	1,474	1,569

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the HBCU Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4255-0-3-502	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	202	151
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	1,259	1,383
1402	Interest receivable .....	11	9
1405	Allowance for subsidy cost (-) .....	-207	-151
1499	Net present value of assets related to direct loans .....	1,063	1,241
1999	Total assets .....	1,265	1,392
<b>LIABILITIES:</b>			
Federal liabilities:			
2102	Interest payable .....	6	9
2103	Debt .....	1,259	1,383
2999	Total liabilities .....	1,265	1,392

4999	Total liabilities and net position .....	1,265	1,392
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**OFFICE OF FEDERAL STUDENT AID**  
*Federal Funds*

**STUDENT FINANCIAL ASSISTANCE**

For carrying out subparts 1 [ , 3, and 10] and 3 of part A, and part C of title IV of the HEA, \$24,198,210,000, which shall remain available through September 30, [2017] 2018: *Provided, That, of the amounts provided under this heading, \$6,553,408,000 shall be available for Pell Grants for award year 2018-2019.*

The maximum Pell Grant for which a student shall be eligible during award year [2016-2017] 2017-2018 shall be \$4,860. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0200-0-1-502	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0101	Federal Pell grants .....	28,153	28,358	28,947
0201	Federal supplemental educational opportunity grants (SEOG) .....	733	733	733
0202	Federal work-study .....	990	990	990
0291	Campus-based activities - Subtotal .....	1,723	1,723	1,723
0900	Total new obligations (object class 41.0) .....	29,876	30,081	30,670

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10,514	10,431	9,904
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	9,923	7,685	.....
1021	Recoveries of prior year unpaid obligations .....	450	.....	.....
1050	Unobligated balance (total) .....	10,964	10,431	9,904
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	24,198	24,198	24,198
1120	Appropriations transferred to other acct [091-0800] .....	-8	.....	.....
1160	Appropriation, discretionary (total) .....	24,190	24,198	24,198
Appropriations, mandatory:				
1200	Appropriation .....	5,153	5,356	7,629
1900	Budget authority (total) .....	29,343	29,554	31,827
1930	Total budgetary resources available .....	40,307	39,985	41,731
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	10,431	9,904	11,061

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20,773	18,568	19,223
3010	Obligations incurred, unexpired accounts .....	29,876	30,081	30,670
3011	Obligations incurred, expired accounts .....	370	.....	.....
3020	Outlays (gross) .....	-31,590	-29,426	-28,282
3040	Recoveries of prior year unpaid obligations, unexpired .....	-450	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-411	.....	.....
3050	Unpaid obligations, end of year .....	18,568	19,223	21,611
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	20,773	18,568	19,223
3200	Obligated balance, end of year .....	18,568	19,223	21,611

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	24,190	24,198	24,198
Outlays, gross:				
4010	Outlays from new discretionary authority .....	222	4,144	3,880
4011	Outlays from discretionary balances .....	21,759	19,955	18,463
4020	Outlays, gross (total) .....	21,981	24,099	22,343
Mandatory:				
4090	Budget authority, gross .....	5,153	5,356	7,629
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,203	1,393	1,983
4101	Outlays from mandatory balances .....	8,406	3,934	3,956
4110	Outlays, gross (total) .....	9,609	5,327	5,939
4180	Budget authority, net (total) .....	29,343	29,554	31,827
4190	Outlays, net (total) .....	31,590	29,426	28,282

## STUDENT FINANCIAL ASSISTANCE—Continued

## Status of Direct Loans (in millions of dollars)

Identification code 091-0200-0-1-502	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	330	347	357
1251 Repayments: Repayments and prepayments .....	-31	-37	-38
1264 Write-offs for default: Other adjustments, net (+ or -) .....	48	47	46
1290 Outstanding, end of year .....	347	357	365

Notes.—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department.

Funding from the Student Financial Assistance account and related matching funds would provide more than 10.3 million awards totaling more than \$33.8 billion in available aid in award year 2017–2018. In addition, the request would provide \$4.1 billion in aid to an estimated 714,000 students through an expanded Perkins loan program.

**Federal Pell grants.**—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, and changes to the Higher Education Act of 1965 made in the 2012 appropriations act.

In 2017, over 7.7 million undergraduates will receive up to \$4,860 from the discretionary award and an additional \$1,075 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act. The 2017 Budget request includes \$22.5 billion in discretionary funding for Pell Grants in 2017, which, when combined with mandatory funding, will support a projected maximum award of \$5,935. Additionally, the Budget proposes to make several reforms to the Pell Grant program:

First, it would reinstate year-round Pell Grant eligibility with total Pell aid limited to 150 percent of a student's regular Pell Grant award, ensuring that students can accelerate their studies and enter the workforce on time. Students will now be eligible for a third semester of Pell during an academic year if they have already completed a full-time course load of 24 credits.

Second, it would provide a \$300 Pell bonus award to recipients who take 15 credits per semester, and are enrolled in 30 semester hours (or the equivalent) of coursework during an award year, to encourage more students to complete their degrees on-time. This feature will be treated as discretionary and funded through annual appropriations and carry over funding. The bonus will effectively raise the maximum grant level for students who take 15 credits, but will not impact the calculation of the Pell maximum award.

Third, to help reduce recidivism, it will lift the restriction on providing Pell Grants to individuals incarcerated in Federal or State penal institutions.

Fourth, it will strengthen academic progress requirements in the Pell Grant program in order to encourage students to complete their studies on time.

Fifth, it would prevent additional Pell disbursements to recipients who repeatedly enroll and obtain aid but do not earn any academic credits.

Sixth, the Budget would move Iraq Afghanistan Service Grants to the Pell Grant program so eligible students receive the full, non-sequestered Pell award.

Seventh, and finally, the Budget proposes eliminating questions related to assets, non-IRS untaxed income, non-IRS income exclusions, and other income adjustments, which have been shown to confuse students.

The Budget also extends the inflationary increase to the maximum Pell grant award, which is scheduled to end after the 2017–2018 award year.

**Federal supplemental educational opportunity grants (SEOG).**—Federal funds are awarded by formula to qualifying institutions, which use these funds to award grants to undergraduate students. While institutions have discretion in awarding these funds, they are required to give priority to Pell Grant recipients and other students with exceptional need. The Federal share of these grants cannot exceed 75 percent of the total grant. The 2017 Budget includes \$733 million for SEOG, which would generate \$925.2

million in aid to more than 1.5 million students. The President's 2017 Budget proposes to reform Federal allocations in the campus-based programs to target those institutions that enroll and graduate higher numbers of Pell-eligible students, and offer affordable and quality education and training such that graduates can obtain employment and repay their educational debt.

**Federal work-study.**—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least 7 percent of their total funds for students employed in community service jobs. The 2017 Budget includes \$989.7 million for Work-Study, which would generate \$1.1 billion in aid to 674,000 students. The President's 2017 Budget proposes to reform Federal allocations in the campus-based programs to target those institutions that enroll and graduate higher numbers of Pell-eligible students, and offer affordable and quality education and training such that graduates can obtain employment and repay their educational debt.

**Federal Perkins loans.**—Institutions award low-interest loans from institutional revolving funds, which comprise Federal Capital Contributions, institutional matching funds, and student repayments on outstanding loans. No new Federal Capital Contributions have been appropriated since 2004, and the program was recently authorized through September 30, 2017 by the Federal Perkins Loan Program Extension Act of 2015. The Budget proposes to modernize and expand the Perkins Loan program so more colleges can participate and more students can access loans. The proposal would increase, beginning on July 1, 2017, the annual loan amounts available to students to \$8.5 billion. Rather than operating through institutional revolving funds, the Federal Government would originate and service Perkins Loans. Loan volume would be allocated among degree-granting institutions. This new formula will encourage colleges to control costs and enroll and graduate higher numbers of Pell-eligible students. Schools would have some discretion about student eligibility. Perkins Loan borrowers would be charged the same interest rate as Unsubsidized Stafford Loan borrowers. Perkins loans would accrue interest while students are in school, and other loan terms and conditions would be the same as current Unsubsidized Stafford loans. Mandatory loan subsidy costs of this proposal would reduce 2017 outlays by \$305 million, savings which would be reinvested in student aid. Subsidy costs are displayed in the Federal Perkins Loan program account.

**Iraq and Afghanistan service grants.**—This program provides non-need-based grants to students whose parent or guardian was a member of the Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001. Service Grants are equal to the maximum Pell Grant for a given award year. The 2017 Budget proposes to move the Iraq and Afghanistan Service Grant program into the Pell Grant program, so eligible students receive a full, non-sequestered award.

**Funding tables.**—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2017 data in these tables reflect the Administration's legislative proposals.

## AID FUNDS AVAILABLE FOR POSTSECONDARY EDUCATION AND TRAINING

	[in thousands of dollars]		
	2015	2016	2017
Pell grants .....	\$28,528,650	\$28,282,605	\$30,975,405
Student loans:			
Subsidized Stafford loans .....	23,658,460	23,161,833	23,507,225
Unsubsidized Stafford loans (Undergraduates) .....	24,986,469	24,688,116	25,853,379
Unsubsidized Stafford loans (Graduate students) .....	26,596,539	27,768,668	29,629,160
Unsubsidized Stafford loans (total) .....	51,583,008	52,456,784	55,482,540
Parent PLUS loans .....	11,372,255	12,132,771	12,812,195

Grad PLUS loans .....	8,591,228	9,166,477	9,834,388
PLUS loans (total) .....	19,963,483	21,299,248	22,646,583
Consolidation .....	45,966,501	48,840,565	48,420,084
Perkins loans .....	1,171,513	871,606	871,606
Unsubsidized Perkins loans .....	0	0	4,113,423
Student loans, subtotal .....	142,342,965	146,630,035	155,041,461
Work-study .....	1,125,372	1,125,372	1,125,372
Supplemental educational opportunity grants .....	925,246	925,246	925,246
Iraq and Afghanistan service grants .....	410	477	0 <sup>1</sup>
TEACH grants .....	86,773	88,496	96,387
Total aid available .....	173,009,416	177,052,232	188,163,872

<sup>1</sup> Value in 2017 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

**NUMBER OF AID AWARDS**

(in thousands)

	2015	2016	2017
Pell grants .....	7,670	7,679	7,719
Subsidized Stafford loans .....	7,297	7,068	7,157
Unsubsidized Stafford loans (Undergraduates) .....	7,293	7,169	7,425
Unsubsidized Stafford loans (Graduate students) .....	1,898	1,936	2,029
Parent PLUS loans .....	900	958	990
Grad PLUS loans .....	522	546	574
Consolidation loans .....	790	809	785
Perkins loans .....	539	401	401
Unsubsidized Perkins loans .....	0	0	714
Work-study .....	674	674	674
Supplemental educational opportunity grants .....	1,547	1,547	1,547
Iraq and Afghanistan service grants .....	0 <sup>1</sup>	0 <sup>1</sup>	0 <sup>2</sup>
TEACH grants .....	30	31	31
Total awards .....	29,161	28,819	30,047

<sup>1</sup> Number of recipients is fewer than 1,000. Numbers may not add due to rounding.

<sup>2</sup> Value in 2017 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

**AVERAGE AID AWARDS**

(in whole dollars)

	2015	2016	2017
Pell grants .....	3,720	3,683	4,013
Subsidized Stafford loans .....	3,242	3,277	3,284
Unsubsidized Stafford loans (Undergraduates) .....	3,426	3,444	3,482
Unsubsidized Stafford loans (Graduate students) .....	14,013	14,343	14,605
Parent PLUS loans .....	12,631	12,663	12,937
Grad PLUS loans .....	16,641	16,779	17,125
Consolidation loans .....	58,184	60,360	61,673
Perkins loans .....	2,172	2,172	2,172
Unsubsidized Perkins loans .....	0	0	5,761
Work-study .....	1,669	1,669	1,669
Supplemental educational opportunity grants .....	598	598	598
Iraq and Afghanistan service grants .....	4,881	4,918	0 <sup>1</sup>
TEACH grants .....	2,881	2,881	3,077

<sup>1</sup> Value in 2017 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

**NUMBER OF STUDENTS AIDED**

(in thousands)

	2015	2016	2017
Unduplicated student count .....	11,974	11,833	12,078

**ADMINISTRATIVE PAYMENTS TO INSTITUTIONS**

(in thousands of dollars)

	2015	2016	2017
Pell grants .....	38,350	38,395	38,595
Work-study .....	46,711	46,711	46,711
Supplemental educational opportunity grants .....	13,600	13,600	13,600
Perkins loans .....	52,695	39,205	39,205

**STUDENT AID ADMINISTRATION**

For Federal administrative expenses to carry out part D of title I, and subparts 1, 3, [9, and 10] and 9 of part A, and parts B, C, D, and E of title IV of the HEA, and subpart 1 of part A of title VII of the Public Health Service Act, [ \$1,551,854,000 ] \$1,631,990,000, to remain available through September 30, [2017] 2018: Provided, That [the Secretary shall, no later than March 1, 2016, allocate new student loan borrower accounts to eligible student loan servicers on the basis of their performance compared to all loan servicers utilizing established common metrics, and on the basis of the capacity of each servicer to process new and existing accounts] up to \$2,000,000 shall be for necessary expenses to establish

and deploy a Digital Service team. (Department of Education Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 091–0202–0–1–502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Student aid administration .....	824	697	732
0002 Discretionary servicing activities .....	643	855	900
0900 Total new obligations .....	1,467	1,552	1,632
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	57	1	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	57	1	.....
1021 Recoveries of prior year unpaid obligations .....	18	.....	.....
1050 Unobligated balance (total) .....	75	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,397	1,552	1,632
1120 Appropriations transferred to other acct [091–0800] .....	–4	.....	.....
1160 Appropriation, discretionary (total) .....	1,393	1,552	1,632
1900 Budget authority (total) .....	1,393	1,552	1,632
1930 Total budgetary resources available .....	1,468	1,553	1,633
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	640	694	911
3010 Obligations incurred, unexpired accounts .....	1,467	1,552	1,632
3020 Outlays (gross) .....	–1,387	–1,335	–1,524
3040 Recoveries of prior year unpaid obligations, unexpired .....	–18	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–8	.....	.....
3050 Unpaid obligations, end of year .....	694	911	1,019
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	640	694	911
3200 Obligated balance, end of year .....	694	911	1,019
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,393	1,552	1,632
Outlays, gross:			
4010 Outlays from new discretionary authority .....	792	850	895
4011 Outlays from discretionary balances .....	587	483	629
4020 Outlays, gross (total) .....	1,379	1,333	1,524
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	8	2	.....
4180 Budget authority, net (total) .....	1,393	1,552	1,632
4190 Outlays, net (total) .....	1,387	1,335	1,524

The Department of Education manages Federal student aid programs that will provide nearly \$140 billion in new Federal student aid grants and loans (excluding Direct Consolidation Loans) to 12.1 million students and parents in 2017. The Offices of Postsecondary Education, the Under Secretary and Federal Student Aid (FSA) are primarily responsible for administering the Federal student financial assistance programs. FSA was created by Congress in 1998 with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

**Student Aid Administration**

The 2017 Budget includes \$732 million for student aid administration activities and \$900 million for loan servicing activities, for a total of \$1.632 billion in discretionary budget authority. Administrative functions supported by these discretionary funds include: processing student aid applications; providing and tracking aid awards to students, parents, and schools; servicing the Department's loan portfolio; promoting efforts to reach key student populations; and simplifying the student aid application.

Servicing costs are largely determined by volume (borrower accounts per month) and the negotiated contractual per-borrower price for each type of loan status (such as in-school, repayment, deferment, and forbearance). Changes in the distribution of borrowers in each loan status affect the total

STUDENT AID ADMINISTRATION—Continued

overall cost for servicing since servicers are paid more for in-repayment borrowers than for in-school borrowers and less for borrowers who are delinquent than those who are current. The servicing contracts' incentive-based pricing and the contracts' performance metrics are designed to encourage high-quality customer service and help borrowers stay current. Servicing costs in 2017 have increased over past years and will continue to do so, as the Direct Loan program's total number of borrowers continue to increase and as the portfolio matures with more borrowers moving from in-school to in-repayment status.

Object Classification (in millions of dollars)

Identification code 091-0202-0-1-502	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	146	166	176
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	149	168	178
12.1 Civilian personnel benefits .....	45	50	54
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	20	19	23
23.3 Communications, utilities, and miscellaneous charges .....	1		
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	10	4	2
25.2 Other services from non-Federal sources .....	753	874	932
25.3 Other goods and services from Federal sources .....	29	27	29
25.7 Operation and maintenance of equipment .....	455	405	409
99.0 Direct obligations .....	1,465	1,552	1,632
99.5 Adjustment for rounding .....	2		
99.9 Total new obligations .....	1,467	1,552	1,632

Employment Summary

Identification code 091-0202-0-1-502	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,375	1,537	1,621

TEACH GRANT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0206-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0701 Direct loan subsidy .....	16	12	12
0705 Reestimates of direct loan subsidy .....		3	
0900 Total new obligations (object class 41.0) .....	16	15	12
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation (indefinite) - Loan subsidy .....	17	12	12
1200 Appropriation (indefinite) - Upward reestimate .....		3	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1		
1260 Appropriations, mandatory (total) .....	16	15	12
1930 Total budgetary resources available .....	16	15	12
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	
3010 Obligations incurred, unexpired accounts .....	16	15	12
3020 Outlays (gross) .....	-15	-15	-11
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-5	
3050 Unpaid obligations, end of year .....	5		1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	5	
3200 Obligated balance, end of year .....	5		1

Budget authority and outlays, net:

Identification code	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	16	15	12
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	11	11	8
4101 Outlays from mandatory balances .....	4	4	3
4110 Outlays, gross (total) .....	15	15	11
4180 Budget authority, net (total) .....	16	15	12
4190 Outlays, net (total) .....	15	15	11

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0206-0-1-502	2015 actual	2016 est.	2017 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 TEACH Grants .....	95	95	104
<b>Direct loan subsidy (in percent):</b>			
132001 TEACH Grants .....	16.57	13.05	11.88
132999 Weighted average subsidy rate .....	16.57	13.05	11.88
<b>Direct loan subsidy budget authority:</b>			
133001 TEACH Grants .....	16	12	12
<b>Direct loan subsidy outlays:</b>			
134001 TEACH Grants .....	13	12	11
<b>Direct loan reestimates:</b>			
135001 TEACH Grants .....	-31	-2	

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduation. The program began awarding grants in the 2008-2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects the cost of grant awards net of expected future repayments for grants that are converted to loans.

Beginning in 2021, the Budget proposes consolidating TEACH grants and the current teacher loan forgiveness programs into a single loan forgiveness program.

TEACH GRANT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4290-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Payment contract collection costs:</b>			
0401 Payment contract collection costs .....		1	
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	95	95	104
0713 Payment of interest to Treasury .....	20	32	38
0742 Downward reestimate paid to receipt account .....	28	5	
0743 Interest on downward reestimates .....	4		
0791 Direct program activities, subtotal .....	147	132	142
0900 Total new obligations .....	147	133	142
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
1021 Recoveries of prior year unpaid obligations .....	10	7	7
1023 Unobligated balances applied to repay debt .....	-4		
1024 Unobligated balance of borrowing authority withdrawn .....	-7	-6	-6
1050 Unobligated balance (total) .....		3	2

Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	115	116 130
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	47	55 41
1825	Spending authority from offsetting collections applied to repay debt .....	-13	-40 -31
1850	Spending auth from offsetting collections, mand (total) .....	34	15 10
1900	Budget authority (total) .....	149	131 140
1930	Total budgetary resources available .....	149	134 142
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	1 .....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	64	58 25
3010	Obligations incurred, unexpired accounts .....	147	133 142
3020	Outlays (gross) .....	-143	-159 -160
3040	Recoveries of prior year unpaid obligations, unexpired .....	-10	-7 -7
3050	Unpaid obligations, end of year .....	58	25 .....
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-4 -4
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4 -4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	60	54 21
3200	Obligated balance, end of year .....	54	21 -4

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	149	131 140
Financing disbursements:			
4110	Outlays, gross (total) .....	143	159 160
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Upward Reestimate .....		-3 .....
4120	Subsidy from Program Account .....	-13	-12 -12
4122	Interest on uninvested funds .....	-2	.....
4123	Payment of Principal .....	-31	-32 -19
4123	Interest Received .....	-1	-8 -10
4130	Offsets against gross budget authority and outlays (total) .....	-47	-55 -41
4160	Budget authority, net (mandatory) .....	102	76 99
4170	Outlays, net (mandatory) .....	96	104 119
4180	Budget authority, net (total) .....	102	76 99
4190	Outlays, net (total) .....	96	104 119

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4290-0-3-502			
		2015 actual	2016 est. 2017 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....	95	95 104
1150	Total direct loan obligations .....	95	95 104
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	580	641 697
1231	Disbursements: Direct loan disbursements .....	92	88 96
1251	Repayments: Repayments and prepayments .....	-31	-32 -19
1290	Outstanding, end of year .....	641	697 774

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4290-0-3-502		
	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	20 16
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	580 641
1402	Interest receivable .....	75 97
1405	Allowance for subsidy cost (-) .....	-120 -108
1499	Net present value of assets related to direct loans .....	535 630
1999	Total assets .....	555 646
<b>LIABILITIES:</b>		
Federal liabilities:		
2101	Accounts payable .....	.....

2103	Debt .....	555	646
2999	Total liabilities .....	555	646
4999	Total liabilities and net position .....	555	646

**STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 091-5557-0-2-502		2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	1	1	1
Receipts:				
Current law:				
1130	Student Financial Assistance Debt Collection .....	10	10	10
2000	Total: Balances and receipts .....	11	11	11
Appropriations:				
Current law:				
2101	Student Financial Assistance Debt Collection .....	-10	-10	-10
2103	Student Financial Assistance Debt Collection .....	-1	-1	.....
2132	Student Financial Assistance Debt Collection .....	1	1	.....
2199	Total current law appropriations .....	-10	-10	-10
2999	Total appropriations .....	-10	-10	-10
5099	Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 091-5557-0-2-502		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Student Financial Assistance Debt Collection .....	3	3	3
0900	Total new obligations (object class 25.2) .....	3	3	3
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	11	14	15
1021	Recoveries of prior year unpaid obligations .....	3	.....	.....
1022	Capital transfer of unobligated balances to general fund .....	-6	-6	-8
1050	Unobligated balance (total) .....	8	8	7
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	10	10	10
1203	Appropriation (previously unavailable) .....	1	1	.....
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1235	Capital transfer of appropriations to general fund .....	-1	.....	.....
1260	Appropriations, mandatory (total) .....	9	10	10
1930	Total budgetary resources available .....	17	18	17
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	14	15	14

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	1	1
3010	Obligations incurred, unexpired accounts .....	3	3	3
3020	Outlays (gross) .....	-3	-3	-3
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	1	1
3200	Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	9	10	10
Outlays, gross:				
4101	Outlays from mandatory balances .....	3	3	3
4180	Budget authority, net (total) .....	9	10	10
4190	Outlays, net (total) .....	3	3	3

FEDERAL STUDENT LOAN RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 091-4257-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0102 Obligations, non-Federal .....	9,342	8,751	7,953
0900 Total new obligations (object class 42.0) .....	9,342	8,751	7,953
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,471	1,560	1,649
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9,433	8,840	8,051
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	9,431	8,840	8,051
1930 Total budgetary resources available .....	10,902	10,400	9,700
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,560	1,649	1,747
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	9,342	8,751	7,953
3020 Outlays (gross) .....	-9,342	-8,751	-7,953
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9,431	8,840	8,051
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9,240	8,659	7,886
4101 Outlays from mandatory balances .....	102	92	67
4110 Outlays, gross (total) .....	9,342	8,751	7,953
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-9,240	-8,659	-7,886
4123 Non-Federal sources .....	-193	-181	-165
4130 Offsets against gross budget authority and outlays (total) .....	-9,433	-8,840	-8,051
4160 Budget authority, net (mandatory) .....	-2		
4170 Outlays, net (mandatory) .....	-91	-89	-98
4180 Budget authority, net (total) .....	-2		
4190 Outlays, net (total) .....	-91	-89	-98

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The Consolidated Appropriations Act, 2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent. The following schedule reflects the balances in these guaranty agency funds.

Balance Sheet (in millions of dollars)

Identification code 091-4257-0-3-502	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,471	1,561
1999 Total assets .....	1,471	1,561
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	1,471	1,561
4999 Total liabilities and net position .....	1,471	1,561

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0243-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0703 Subsidy for modifications of direct loans .....	9,307		
0705 Reestimates of direct loan subsidy .....	12,566	8,647	
0706 Interest on reestimates of direct loan subsidy .....	1,787	1,232	
0900 Total new obligations (object class 41.0) .....	23,660	9,879	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	23,660	9,879	
1930 Total budgetary resources available .....	23,660	9,879	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	1
3010 Obligations incurred, unexpired accounts .....	23,660	9,879	
3020 Outlays (gross) .....	-23,660	-9,884	-1
3050 Unpaid obligations, end of year .....	6	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	1
3200 Obligated balance, end of year .....	6	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	23,660	9,879	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	23,660	9,879	
4101 Outlays from mandatory balances .....		5	1
4110 Outlays, gross (total) .....	23,660	9,884	1
4180 Budget authority, net (total) .....	23,660	9,879	
4190 Outlays, net (total) .....	23,660	9,884	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0243-0-1-502	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford .....	28,866	26,207	26,594
115002 Unsubsidized Stafford .....	63,178	60,228	63,707
115003 PLUS .....	20,981	22,737	24,178
115004 Consolidation .....	49,287	49,106	48,682
115999 Total direct loan levels .....	162,312	158,278	163,161
Direct loan subsidy (in percent):			
132001 Stafford .....	6.52	5.64	6.29
132002 Unsubsidized Stafford .....	-14.85	-16.21	-12.80
132003 PLUS .....	-26.32	-27.50	-27.78
132004 Consolidation .....	17.63	12.57	13.75
132999 Weighted average subsidy rate .....	-2.67	-5.28	-3.99
Direct loan subsidy budget authority:			
133001 Stafford .....	1,882	1,478	1,673
133002 Unsubsidized Stafford .....	-9,382	-9,763	-8,154
133003 PLUS .....	-5,522	-6,253	-6,717
133004 Consolidation .....	8,689	6,173	6,694
133999 Total subsidy budget authority .....	-4,333	-8,365	-6,504
Direct loan subsidy outlays:			
134001 Stafford .....	91	2,443	1,427
134002 Unsubsidized Stafford .....	-3,949	-13,429	-7,514
134003 PLUS .....	-2,800	-8,632	-6,138
134004 Consolidation .....	472	14,271	6,655
134005 Federal Direct Student Loans .....	9,307		
134999 Total subsidy outlays .....	3,121	-5,347	-5,570
Direct loan reestimates:			
135005 Federal Direct Student Loans .....	12,329	7,693	
135999 Total direct loan reestimates .....	12,329	7,693	
Administrative expense data:			
3580 Outlays from balances .....		5	1

The Federal Government has two major student loan programs: the FFEL program and the William D. Ford Federal Direct Loan (Direct Loan) program. The SAFRA Act eliminated the authorization to originate new FFEL

loans; as of July 1, 2010, the Direct Loan program originates all new loans. This narrative outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program guaranteed almost \$899 billion in loans to postsecondary students and their parents. Although no new FFEL loans have been originated since July 1, 2010, over \$250 billion of outstanding FFEL loans continue to be serviced by lenders and guaranty agencies. The Federal Government continues to make payments to these intermediaries. The Consolidated Appropriations Act, 2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while the Department of Education loan origination and servicing is handled by private and not-for-profit loan servicers under performance-based contracts with the Department. The Direct Loan program began operation in award year 1994–1995, originating 7 percent of overall loan volume. In 2017, excluding Consolidation Loans, the Direct Loan program will make \$101.6 billion in new loans available. (This figure does not include the current Perkins program nor the new Perkins program proposed in the 2017 Budget.)

The Direct Loan program offers four types of loans: Subsidized Stafford, Unsubsidized Stafford, PLUS, and Consolidation. Loans can be used for qualified educational expenses. Undergraduates with financial need may receive a subsidized Stafford loan (graduate and professional students are not eligible). The other three loan programs are available to borrowers at all income levels. The Bipartisan Student Loan Certainty Act of 2013 changed how student loan interest rates are set. The rates are set annually for loans originated in the upcoming award year based on the 10-year Treasury note; those rates will remain fixed for the life of the loan. For Subsidized Stafford loans available to undergraduates, the interest rate will be equal to the 10-year Treasury note plus 2.05 percent and capped at 8.25 percent. Loans originated in award year 2015–2016 have an interest rate of 4.29 percent. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during grace and deferment periods. The interest rate on new Unsubsidized Stafford loans for undergraduate borrowers is the same as that on subsidized Stafford loans for undergraduates. The Unsubsidized Stafford loan interest rate for graduate and professional students is equal to the 10-year Treasury note plus 3.6 percent and capped at 9.5 percent. Loans originated in award year 2015–2016 have an interest rate of 5.84 percent. The borrower interest rate on PLUS loans to graduate and professional students and parents of undergraduate borrowers is equal to the 10-year Treasury note plus 4.6 percent and capped at 10.5 percent. PLUS loans originated in award year 2015–2016 have an interest rate of 6.84 percent.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of a percent.

For most types of Direct Loans, the origination fee is a base rate of one percent, but an additional surcharge for sequestration was added in 2013, 2014, 2015 and 2016. The base origination fee for PLUS loans is four percent, but has included an additional surcharge in 2013, 2014, 2015 and 2016.

Borrowers may choose from four basic types of repayment plans: standard, graduated, extended (available for qualified borrowers who have outstanding loans of more than \$30,000), and income-driven. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time. The maximum repayment period is 10 years for standard and graduated plans, as well as the income-sensitive repayment plan that is available only for FFEL loans. Under the current income-driven administrative Pay As You Earn (PAYE) and statutory In-

come-Based-Repayment (IBR) plans, for new borrowers after 2014, the repayment period is 20 years. Under the current income-driven administrative Revised Pay As You Earn (REPAYE), the repayment period is 20 or 25 years depending on whether the borrower has any graduate school loans. And, under the extended, income-based, and income-contingent repayment (ICR) plans, the maximum time is 25 years. Income-driven plans (except for REPAYE and ICR) require partial financial hardship in order to qualify for reduced payments and the monthly payment is capped at the monthly payment of the 10-year Standard plan. At the end of the repayment term, the borrower's remaining balance is forgiven.

Federal student loans have other benefits. For example, Federal student loans can be discharged when borrowers die, become totally and permanently disabled, or, under some circumstances, declare bankruptcy. In addition, there are several loan forgiveness programs. For example, new borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for five consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act. In addition, under the Public Sector Loan Forgiveness Program (PSLF), qualifying borrowers who have worked for 10 years in the public sector and made 120 qualifying monthly payments in the standard or income-driven plans can have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all borrowers, regardless of when they took out their loans.

The 2017 Budget proposes to expand and increase teacher loan forgiveness, up to \$25,000 for teachers graduating from an effective preparation program who serve in low-income schools, starting in 2021. This proposal would consolidate various postsecondary assistance available for teachers, such as TEACH grants and the current teacher loan forgiveness program, into a single loan forgiveness program. In addition, the 2017 Budget would reform the PAYE terms to ensure that program benefits are targeted to the neediest borrowers and safeguard the program for the future, including by protecting against institutional practices that may further increase student indebtedness. To simplify borrowers' experience while reducing program complexity, PAYE would become the only income-driven repayment plan for borrowers who originate their first loan on or after July 1, 2017, which would allow for easier selection of a repayment plan. Students who borrowed their first loans prior to July 1, 2017, would continue to be able to select among the existing repayment plans through their current course of study, in addition to the modified PAYE. The Budget proposes additional changes to PAYE to include: eliminating the standard payment cap under PAYE so that high-income, high-balance borrowers pay an equitable share of their earnings as their incomes rise; calculating payments for married borrowers filing separately on the combined household Adjusted Gross Income; establishing a 25-year forgiveness period for students who borrowed as graduate students; capping the amount of interest that can accrue when a borrower's monthly payment is insufficient to cover the interest to avoid ballooning loan balances; capping PSLF at the aggregate loan limit for independent undergraduate students to protect against institutional practices that may further increase student indebtedness, while ensuring the program provides sufficient relief for students committed to public service and; preventing payments made under non-income driven repayment plans from being applied toward PSLF to ensure that loan forgiveness is targeted to students with the greatest need.

The following tables display performance indicators and program data; including projected overall Direct Loan and FFEL costs default rates.

**Federal Budget Authority and Outlays**

(in thousands of dollars)

	2015 actual	2016 est.	2017 est.
PROGRAM COST:			
FFEL:			

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued  
Federal Budget Authority and Outlays—Continued

	2015 actual	2016 est.	2017 est.
Liquidating <sup>1</sup> .....	(\$129,001)	(\$291,218)	(\$259,376)
Program:			
Net Reestimate of Prior Year Costs .....	(3,293,567)	(1,226,278)	0
Net Modification <sup>2</sup> .....	0	151,588	0
Subtotal, Program .....	(3,293,567)	(1,074,691)	0
Total, FFEL .....	(3,422,567)	(1,365,908)	(259,736)
Direct Loans:			
Program:			
New Loan Subsidies .....	(4,332,982)	(8,364,917)	(8,292,464)
Net Reestimate of Prior Year Costs .....	12,328,957	7,693,290	0
Net Modification <sup>3</sup> .....	9,307,220	0	0
Total, Direct Loans .....	17,303,194	(671,628)	(8,292,464)
Total, FFEL and Direct Loans .....	13,880,627	(2,037,536)	(8,552,200)
PROGRAM COST OUTLAYS:			
FFEL:			
Liquidating <sup>1</sup> .....	(355,354)	(291,218)	(259,736)
Program:			
Net Reestimate of Prior Year Costs .....	(3,293,567)	(1,226,278)	0
Net Modification <sup>2</sup> .....	0	151,588	0
Subtotal, Program .....	(3,293,567)	(1,074,691)	0
Total, FFEL .....	(3,648,921)	(1,365,908)	(259,736)
Direct Loans:			
Program:			
Regular .....	(6,186,096)	(5,346,798)	(6,720,632)
Net Reestimate of Prior Year Costs .....	12,328,957	7,693,290	0
Net Modification <sup>3</sup> .....	9,307,220	0	0
Total, Direct Loans .....	15,450,080	2,346,492	(6,720,632)
Total, FFEL and Direct Loans .....	11,801,159	980,583	(6,980,368)

Details may not sum to totals due to rounding.

<sup>1</sup>Liquidating account reflects loans made prior to 1992.

<sup>2</sup>Reflects the cost or savings associated with policy changes passed in the Consolidated Appropriations Act of 2016.

<sup>3</sup>Reflects the cost or savings associated with expanding and reformulating the Pay As You Earn repayment plan.

Summary of Default Rates<sup>1</sup>

(expressed as percentages)

	2015 est.	2016 est.	2017 est.
Direct Loans:			
Stafford .....	22.40	21.99	22.02
Unsubsidized Stafford			
Undergraduate .....	24.60	24.11	24.18
Graduate/Professional .....	6.03	6.04	6.05
PLUS			
Parent PLUS .....	7.80	7.80	7.78
Grad PLUS .....	5.69	5.70	5.71
Consolidation .....	18.77	18.27	18.10
Weighted Average, Direct Loans .....	16.33	15.86	15.69

<sup>1</sup>Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FFEL program payments are made to lenders (interest subsidies, loan defaults, and discharges) and guaranty agencies (default collection costs, administrative services). These payments are partially offset by an annual consolidation loan holder fee. In Direct Loans, cash outflows are primarily payments to Treasury. Cash inflows include principal and interest payments on outstanding Direct Loans.

The following table shows Government payments to and from lenders, guaranty agencies, and borrowers for specific years, regardless of when loans were originated. These flows do not reflect long-term costs to the Government, nor the value of outstanding loan assets, which are reflected in credit reform subsidy estimates.

The Federal Credit Reform Act of 1990 accounts for differences in the amount and timing of cash flows among direct and guaranteed loan programs to make cost estimates for these programs comparable with each other and other Federal programs.

Selected Program Costs and Offsets

(in thousands of dollars)

	2015 actual	2016 est.	2017 est.
FFEL:			
Payments to lenders:			
Interest benefits .....	\$814,082	\$288,927	\$199,298
Special allowance payments <sup>1</sup> .....	(4,288,670)	(2,592,683)	(1,114,539)
Default claims .....	7,328,225	6,717,920	4,914,617
Loan discharges .....	1,802,350	1,149,469	1,114,749
Teacher loan forgiveness .....	134,465	82,052	30,497
Administrative payments to guaranty agencies .....	153,967	158,777	138,728
Fees paid to the Department of Education:			
Loan holder fees .....	(1,614,459)	(891,509)	(711,355)
Other Major Transactions:			
Net default collections .....	(10,396,017)	(9,280,034)	(8,752,691)
Contract collection costs .....	67,939	83,194	89,603
Federal administrative costs .....	44,285	42,005	35,986
Net Cash Flow, FFEL .....	(5,953,834)	(4,252,281)	(4,055,109)
Ensuring Continued Access to Student Loans (ECASLA):			
Inflows .....	(11,751,920)	(10,287,428)	(10,558,037)
Outflows .....	11,135,701	11,500,665	10,557,583
Federal administrative costs .....	120,202	142,817	152,938
Net Cash Flow, ECASLA .....	(496,016)	1,356,053	152,485
Direct Loans:			
Loan disbursements to borrowers .....	142,248,082	144,247,274	148,526,833
Borrower interest payments .....	(12,574,329)	(15,860,998)	(19,030,453)
Borrower principal payments .....	(48,389,983)	(46,151,187)	(55,223,176)
Borrower origination fees .....	(1,617,916)	(2,015,235)	(1,838,698)
Net default collections .....	(2,486,809)	(7,668,906)	(8,486,094)
Contract collection costs .....	894,652	1,200,049	1,319,066
Federal administrative costs .....	468,157	655,276	710,714
Net operating cash flows .....	78,541,854	74,406,274	65,978,191
Loan capital borrowings from Treasury .....	(142,248,082)	(144,247,274)	(148,526,833)
Net interest payments to Treasury .....	23,386,959	38,876,291	42,998,813
Principal payments to Treasury .....	60,851,176	41,436,472	40,200,744
Subtotal, Treasury activity .....	(58,009,946)	(63,934,511)	(65,327,276)
Net Cash Flow, Direct Loans .....	20,531,908	10,471,763	650,916

<sup>1</sup>Includes Negative Special Allowance Payments.

Student Loan Program Costs: Analysis of Direct Loans including Program and Administrative Expenses

(expressed as percentages)

	2015 actual	2016 est.	2017 est.
Direct Loans:			
New Loans:			
Stafford .....	9.98	5.64	5.25
Unsubsidized Stafford			
Undergraduate .....	-3.13	-9.32	-11.12
Graduate/Professional .....	-9.51	-22.35	-17.42
PLUS			
Parent PLUS .....	-30.26	-21.44	-35.34
Grad PLUS .....	-13.31	-35.48	-20.74
Subtotal, new loan subsidy .....	-5.54	-13.37	-12.94
Federal administrative costs .....	1.70	1.70	1.70
Subtotal, new loans .....	-3.84	-11.67	-11.24
Consolidation Loans			
Loan subsidy .....	2.61	12.57	13.45
Federal administrative costs .....	0.38	0.38	0.38
Subtotal, consolidation loans .....	2.99	12.95	13.83
New and Consolidation Loans			
Loan subsidy .....	-3.09	-5.32	-5.07
Federal administrative costs .....	1.45	1.45	1.45
Total, Direct Loans .....	-1.64	-3.87	-3.62

Totals may not add due to rounding. Subsidies are weighted on Gross Volumes.

Notes: For 2014, the rates are current; these include the actual executed rates for 2014 and the effect of re-estimates on those rates.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over

the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

**Loan Disbursement and Subsidy Costs**

(in billions of dollars)

	FFEL	Direct Loans
Original Subsidy Costs .....	+\$77.1	-\$105.3
Cumulative Reestimates .....	-\$53.1	+\$25.3
Net Subsidy Costs .....	+\$24.0	-\$80.0
Total Disbursements .....	+\$898.7	+\$1,072.4

Changes in interest rate projections are a significant factor in FFEL and Direct Loan reestimates; recent declines in interest rates below historical averages have been a major driver in changes to program costs. In addition, the number of borrowers enrolled in income-based repayment plans has begun to increase which reflects program costs.

**Direct Loan Repayment Options**

(expressed as percentages)

Subsidies by Repayment Option	2015		
	actual <sup>1</sup>	2016 est.	2017 est.
<b>Stafford:</b>			
Standard .....	7.25	2.59	3.64
Extended .....	6.84	-0.17	-0.82
Graduated .....	7.61	0.59	0.14
IDR <sup>2</sup> .....	26.10	23.52	17.60
<b>Unsubsidized Stafford:</b>			
Standard .....	-14.64	-23.91	-21.41
Extended .....	-25.50	-34.04	-38.86
Graduated .....	-20.55	-34.19	-34.41
IDR .....	25.72	23.17	24.46
<b>PLUS:</b>			
Standard .....	-29.69	-31.46	-33.72
Extended .....	-40.02	-49.41	-51.93
Graduated .....	-39.87	-50.91	-51.87
IDR .....	22.48	17.10	21.38
<b>Consolidated:</b>			
Standard .....	-30.36	-22.04	-17.66
Extended .....	-36.70	-26.16	-23.54
Graduated .....	-37.32	-25.58	-23.50
IDR .....	20.32	26.80	28.09

**Direct Loan Repayment Options**

(gross volumes in millions of dollars)

Volumes by Repayment Option	2015		
	actual <sup>1</sup>	2016 est.	2017 est.
<b>Stafford:</b>			
Standard .....	\$20,059	\$20,160	\$19,838
Extended .....	278	240	279
Graduated .....	2,700	2,497	2,671
IDR <sup>2</sup> .....	3,820	3,311	3,806
<b>Unsubsidized Stafford:</b>			
Standard .....	37,175	40,794	39,712
Extended .....	2,003	1,651	2,227
Graduated .....	6,483	6,575	7,003
IDR .....	13,450	11,209	14,765
<b>PLUS:</b>			
Standard .....	14,083	15,414	16,000
Extended .....	1,050	1,210	1,195
Graduated .....	2,573	2,721	2,922
IDR .....	3,512	3,392	4,061
<b>Consolidated:</b>			
Standard .....	10,283	10,106	9,865
Extended .....	1,906	1,282	1,892
Graduated .....	3,269	2,623	3,173

IDR .....	30,762	35,096	33,753
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<sup>1</sup>2015 rates are current; these include actual executed rates for 2015 and the effect of re-estimates on those rates.

<sup>2</sup>All income-driven plans are included in the IDR category

**FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT**

(Legislative proposal, subject to PAYGO)

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0243-4-1-502	2015 actual	2016 est.	2017 est.
<b>Direct loan subsidy (in percent):</b>			
132001 Stafford .....	0.00	0.00	-1.04
132002 Unsubsidized Stafford .....	0.00	0.00	-1.68
132003 PLUS .....	0.00	0.00	-1.22
132004 Consolidation .....	0.00	0.00	-30
132999 Weighted average subsidy rate .....	0.00	0.00	-1.09
<b>Direct loan subsidy budget authority:</b>			
133001 Stafford .....			-277
133002 Unsubsidized Stafford .....			-1,070
133003 PLUS .....			-295
133004 Consolidation .....			-146
133999 Total subsidy budget authority .....			-1,788
<b>Direct loan subsidy outlays:</b>			
134001 Stafford .....			-172
134002 Unsubsidized Stafford .....			-655
134003 PLUS .....			-179
134004 Consolidation .....			-145
134999 Total subsidy outlays .....			-1,151

**FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 091-4253-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0301 Consolidation loans-Payment of Orig. Services .....	25	62	60
0401 Payment of contract collection costs .....	896	1,200	1,319
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	162,312	158,278	163,161
0713 Payment of interest to Treasury .....	27,593	38,876	42,979
0740 Negative subsidy obligations .....	4,333	8,365	6,505
0742 Downward reestimate paid to receipt account .....	1,727	1,471	
0743 Interest on downward reestimates .....	297	714	
0791 Direct program activities, subtotal .....	196,262	207,704	212,645
0900 Total new obligations .....	197,183	208,966	214,024
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4,622	5,481	
1021 Recoveries of prior year unpaid obligations .....	20,079	21,083	22,137
1023 Unobligated balances applied to repay debt .....	-7,896	-5,481	
1024 Unobligated balance of borrowing authority withdrawn .....	-15,808	-21,083	-22,137
1050 Unobligated balance (total) .....	997		
<b>Financing authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	904		
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	168,953	168,828	169,666
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	92,936	81,575	84,565
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-275		
1825 Spending authority from offsetting collections applied to repay debt .....	-60,851	-41,437	-40,207
1850 Spending auth from offsetting collections, mand (total) .....	31,810	40,138	44,358
1900 Budget authority (total) .....	201,667	208,966	214,024
1930 Total budgetary resources available .....	202,664	208,966	214,024
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5,481		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	77,328	75,628	71,594
3010 Obligations incurred, unexpired accounts .....	197,183	208,966	214,024
3020 Outlays (gross) .....	-178,804	-191,917	-198,455

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4253-0-3-502	2015 actual	2016 est.	2017 est.
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20,079	-21,083	-22,137
3050 Unpaid obligations, end of year .....	75,628	71,594	65,026
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	77,328	75,628	71,594
3200 Obligated balance, end of year .....	75,628	71,594	65,026
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	201,667	208,966	214,024
Financing disbursements:			
4110 Outlays, gross (total) .....	178,804	191,917	198,455
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-12,567	-8,647	.....
4120 Upward reestimate, interest .....	-1,787	-1,231	.....
4120 Upward Modification .....	-9,307	.....	.....
4122 Interest on uninvested funds .....	-4,206	.....	.....
4123 Repayment of principal, Stafford .....	-12,024	-11,863	-13,448
4123 Interest received on loans, Stafford .....	-2,033	-2,278	-2,604
4123 Origination Fees, Stafford .....	-252	-346	-280
4123 Other fees, Stafford .....	-35	.....	.....
4123 Repayment of principal, Unsubsidized Stafford .....	-20,783	-19,679	-23,794
4123 Interest received on loans, Unsubsidized Stafford .....	-4,406	-4,724	-5,770
4123 Origination Fees, Unsubsidized Stafford .....	-547	-777	-651
4123 Other fees, Unsubsidized Stafford .....	-30	.....	.....
4123 Repayment of principal, PLUS .....	-8,849	-9,698	-12,597
4123 Interest received on loans, PLUS .....	-2,454	-2,732	-3,416
4123 Origination Fees, PLUS .....	-819	-892	-907
4123 Other fees, PLUS .....	-11	.....	.....
4123 Payment of principal, Consolidation .....	-8,315	-12,580	-13,857
4123 Interest received on loans, Consolidation .....	-4,458	-6,128	-7,241
4123 Other fees, Consolidation .....	-53	.....	.....
4130 Offsets against gross budget authority and outlays (total) ....	-92,936	-81,575	-84,565
4160 Budget authority, net (mandatory) .....	108,731	127,391	129,459
4170 Outlays, net (mandatory) .....	85,868	110,342	113,890
4180 Budget authority, net (total) .....	108,731	127,391	129,459
4190 Outlays, net (total) .....	85,868	110,342	113,890

Status of Direct Loans (in millions of dollars)

Identification code 091-4253-0-3-502	2015 actual	2016 est.	2017 est.
<b>STAFFORD</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	28,866	26,207	26,594
1150 Total direct loan obligations .....	28,866	26,207	26,594
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	172,747	188,441	199,536
1231 Disbursements: Direct loan disbursements .....	24,258	23,014	23,383
1251 Repayments: Repayments and prepayments .....	-12,023	-11,863	-13,448
1261 Adjustments: Capitalized interest .....	699	195	150
1264 Write-offs for default: Other adjustments, net (+ or -) .....	2,760	-251	-295
1290 Outstanding, end of year .....	188,441	199,536	209,326
<b>UNSUBSIDIZED STAFFORD</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	63,178	60,228	63,707
1150 Total direct loan obligations .....	63,178	60,228	63,707
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	248,674	285,152	324,349
1231 Disbursements: Direct loan disbursements .....	52,280	51,559	54,552
1251 Repayments: Repayments and prepayments .....	-20,781	-19,679	-23,794
1261 Adjustments: Capitalized interest .....	1,006	7,632	7,824
1264 Write-offs for default: Other adjustments, net (+ or -) .....	3,973	-315	-378
1290 Outstanding, end of year .....	285,152	324,349	362,553
<b>PLUS</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	20,981	22,737	24,178
1150 Total direct loan obligations .....	20,981	22,737	24,178
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	78,614	90,615	103,000

1231 Disbursements: Direct loan disbursements .....	19,275	20,846	22,170
1251 Repayments: Repayments and prepayments .....	-8,848	-9,698	-12,597
1261 Adjustments: Capitalized interest .....	318	1,381	1,491
1264 Write-offs for default: Other adjustments, net (+ or -) .....	1,256	-144	-163
1290 Outstanding, end of year .....	90,615	103,000	113,901
<b>CONSOLIDATION</b>			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	49,287	49,106	48,682
1150 Total direct loan obligations .....	49,287	49,106	48,682
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	193,971	236,603	272,136
1231 Disbursements: Direct loan disbursements .....	46,434	48,829	48,422
1251 Repayments: Repayments and prepayments .....	-8,315	-12,580	-13,857
1261 Adjustments: Capitalized interest .....	1,414	5	3
1264 Write-offs for default: Other adjustments, net (+ or -) .....	3,099	-721	-759
1290 Outstanding, end of year .....	236,603	272,136	305,945

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4253-0-3-502	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	21,445	27,127
Investments in US securities:		
1106 Receivables, net .....	22,443	6,946
1206 Non-Federal assets: Receivables, net .....	142	7
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	694,006	800,811
1402 Interest receivable .....	37,151	44,250
1405 Allowance for subsidy cost (-) .....	47,359	35,496
1499 Net present value of assets related to direct loans .....	778,516	880,557
1999 Total assets .....	822,546	914,637
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	128	1,474
2103 Debt .....	819,007	909,927
2201 Non-Federal liabilities: Accounts payable .....	3,411	3,236
2999 Total liabilities .....	822,546	914,637
4999 Total liabilities and net position .....	822,546	914,637

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4253-4-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	.....	.....	19
0740 Negative subsidy obligations .....	.....	.....	1,788
0791 Direct program activities, subtotal .....	.....	.....	1,807
0900 Total new obligations .....	.....	.....	1,807
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	.....	.....	1,788
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	.....	14
1825 Spending authority from offsetting collections applied to repay debt .....	.....	.....	5
1850 Spending auth from offsetting collections, mand (total) .....	.....	.....	19
1900 Budget authority (total) .....	.....	.....	1,807
1930 Total budgetary resources available .....	.....	.....	1,807
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	1,807

3020	Outlays (gross) .....			-1,171
3050	Unpaid obligations, end of year .....			636
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			636
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			1,807
Financing disbursements:				
4110	Outlays, gross (total) .....			1,171
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Payment of principal, Consolidation .....			-14
4180	Budget authority, net (total) .....			1,793
4190	Outlays, net (total) .....			1,157

**Status of Direct Loans (in millions of dollars)**

Identification code 091-4253-4-3-502	2015 actual	2016 est.	2017 est.
<b>CONSOLIDATION</b>			
Cumulative balance of direct loans outstanding:			
1251	Repayments: Repayments and prepayments .....		-14
1290	Outstanding, end of year .....		-14

**FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 091-0231-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0704	Subsidy for modifications of loan guarantees .....	152	
0705	Reestimates of direct loan subsidy .....	265	991
0706	Interest on reestimates of direct loan subsidy .....	43	223
0707	Reestimates of loan guarantee subsidy .....	498	26
0708	Interest on reestimates of loan guarantee subsidy .....	556	55
0900	Total new obligations (object class 41.0) .....	1,362	1,447
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1,362	1,447
1930	Total budgetary resources available .....	1,362	1,447
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1,362	1,447
3020	Outlays (gross) .....	-1,362	-1,447
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1,362	1,447
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,362	1,447
4180	Budget authority, net (total) .....	1,362	1,447
4190	Outlays, net (total) .....	1,362	1,447

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 091-0231-0-1-502	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135010	Direct Participation Agreement Reestimates .....	-1,517	489
135012	Direct Standard Put Reestimates .....	-731	565
135999	Total direct loan reestimates .....	-2,248	1,054
Guaranteed loan subsidy outlays:			
234006	FFEL Guarantees .....		152
234999	Total subsidy outlays .....		152
Guaranteed loan reestimates:			
235006	FFEL Guarantees .....	-1,046	-2,281
235999	Total guaranteed loan reestimates .....	-1,046	-2,281

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education

Loans (FFEL), formerly guaranteed student loans, committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

**FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 091-4251-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101	Default claims .....	1,627	1,015
0102	Special allowance .....	30	18
0103	Interest benefits .....	409	195
0104	Death, disability, and bankruptcy claims .....	249	67
0105	Teacher loan forgiveness, other write-offs .....	36	45
0107	Contract collection costs .....	15	22
0109	Rehab purchase fee .....		229
0110	Guaranty Agency account maintenance fees .....	22	21
0191	Subtotal, Stafford loans .....	2,388	1,612
0202	Default claims .....	1,791	1,108
0203	Special allowance .....	30	22
0204	Death, disability, and bankruptcy claims .....	330	69
0205	Teacher loan forgiveness, other write-offs .....	37	37
0207	Contract collection costs .....	13	15
0209	Rehab purchase fee .....		195
0210	Guaranty Agency account maintenance fees .....	25	18
0291	Subtotal, Unsubsidized Stafford loans .....	2,226	1,464
0301	Default claims .....	286	146
0304	Death, disability, and bankruptcy claims .....	98	24
0307	Contract Collection Costs .....	2	2
0309	Rehab purchase fee .....		33
0310	Guaranty Agency account maintenance fees .....	5	3
0391	Subtotal, PLUS loans .....	391	208
0403	Default claims .....	4	
0405	Death, disability, and bankruptcy claims .....	1	
0407	Contract collection costs .....		1
0409	Rehab purchase fee .....		1
0491	Subtotal, SLS loans .....	5	2
0501	Default claims .....	3,577	4,413
0502	Special allowance .....		88
0503	Interest benefits .....	402	92
0504	Death, disability, and bankruptcy claims .....	1,100	967
0505	Teacher loan forgiveness, other write-offs .....	61	
0507	Contract collection costs .....	18	26
0509	Rehab purchase fee .....		285
0510	Guaranty Agency account maintenance fees .....	102	118
0591	Subtotal, Consolidations loans .....	5,260	5,989
Credit program obligations:			
0713	Payment of interest to Treasury .....	2,083	
0742	Downward reestimate paid to receipt account .....	1,367	1,525
0743	Interest on downward reestimates .....	733	836
0791	Direct program activities, subtotal .....	4,183	2,361
0900	Total new obligations .....	14,453	11,636
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	4,421	7,771
1021	Recoveries of prior year unpaid obligations .....	578	
1050	Unobligated balance (total) .....	4,999	7,771
Financing authority:			
Appropriations, mandatory:			
1200	Appropriation .....		24
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	17,225	12,805
1900	Budget authority (total) .....	17,225	12,829
1930	Total budgetary resources available .....	22,224	20,600
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	7,771	8,964
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,966	1,496

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4251-0-3-502	2015 actual	2016 est.	2017 est.
3010 Obligations incurred, unexpired accounts .....	14,453	11,636	7,409
3020 Outlays (gross) .....	-14,345	-11,636	-7,409
3040 Recoveries of prior year unpaid obligations, unexpired .....	-578		
3050 Unpaid obligations, end of year .....	1,496	1,496	1,496
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,966	1,496	1,496
3200 Obligated balance, end of year .....	1,496	1,496	1,496
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	17,225	12,829	10,750
Financing disbursements:			
4110 Outlays, gross (total) .....	14,345	11,636	7,409
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-498	-26	
4120 Interest on upward reestimate .....	-556	-55	
4120 Upward modification .....		-152	
4122 Interest on uninvested funds .....	-256	-66	-202
4123 Stafford recoveries on defaults .....	-2,975	-2,364	-2,065
4123 Stafford other fees .....	-100		
4123 Stafford special allowance rebate .....	-967	-575	-301
4123 Unsubsidized Stafford recoveries on default .....	-2,576	-2,256	-2,004
4123 Unsubsidized Stafford other fees .....	-86		
4123 Unsubsidized Stafford special allowance rebate .....	-1,229	-876	-499
4123 PLUS recoveries on defaults .....	-437	-256	-231
4123 PLUS other fees .....	-15		
4123 PLUS special allowance rebate .....	-400	-165	-92
4123 SLS recoveries on defaults .....	-21	-10	-8
4123 SLS other fees .....	-1		
4123 Consolidation recoveries on defaults .....	-3,619	-4,008	-4,094
4123 Consolidation loan holders fee .....	-1,615	-891	-711
4123 Consolidation other fees .....	-122		
4123 Consolidation special allowance rebate .....	-1,752	-1,105	-543
4130 Offsets against gross budget authority and outlays (total) ....	-17,225	-12,805	-10,750
4160 Budget authority, net (mandatory) .....		24	
4170 Outlays, net (mandatory) .....	-2,880	-1,169	-3,341
4180 Budget authority, net (total) .....		24	
4190 Outlays, net (total) .....	-2,880	-1,169	-3,341

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4251-0-3-502	2015 actual	2016 est.	2017 est.
<b>STAFFORD</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	34,741	30,820	26,199
2251 Repayments and prepayments .....	-2,055	-3,495	-2,439
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1,876	-1,015	-653
2263 Terminations for default that result in claim payments .....	-247	-67	-56
2264 Other adjustments, net .....	257	-44	-16
2290 Outstanding, end of year .....	30,820	26,199	23,035
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	29,279	24,889	21,884
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	6,734	5,832	4,674
2331 Disbursements for guaranteed loan claims .....	1,876	1,015	653
2351 Repayments of loans receivable .....	-2,555	-2,364	-2,065
2361 Write-offs of loans receivable .....	-249	-184	-134
2364 Other adjustments, net .....	26	375	350
2390 Outstanding, end of year .....	5,832	4,674	3,478
<b>UNSUBSIDIZED STAFFORD</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	39,711	34,994	29,382
2251 Repayments and prepayments .....	-2,349	-4,398	-3,131
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-2,121	-1,108	-719
2263 Terminations for default that result in claim payments .....	-330	-69	-56
2264 Other adjustments, net .....	83	-37	-15

2290 Outstanding, end of year .....	34,994	29,382	25,461
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	33,245	27,913	24,188
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	9,926	9,546	8,116
2331 Disbursements for guaranteed loan claims .....	2,121	1,108	719
2351 Repayments of loans receivable .....	-2,213	-2,256	-2,004
2361 Write-offs of loans receivable .....	-330	-282	-229
2364 Other adjustments, net .....	42		
2390 Outstanding, end of year .....	9,546	8,116	6,602
<b>PLUS</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	7,947	6,694	5,891
2251 Repayments and prepayments .....	-470	-632	-373
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-384	-146	-103
2263 Terminations for default that result in claim payments .....	-98	-25	-20
2264 Other adjustments, net .....	-301		
2290 Outstanding, end of year .....	6,694	5,891	5,395
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	6,359	5,596	5,051
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	647	561	384
2331 Disbursements for guaranteed loan claims .....	384	146	181
2351 Repayments of loans receivable .....	-375	-256	-231
2361 Write-offs of loans receivable .....	-98	-67	-50
2364 Other adjustments, net .....	3		
2390 Outstanding, end of year .....	561	384	284
<b>SLS</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	64	60	59
2251 Repayments and prepayments .....	-4	-1	-1
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-4		
2263 Terminations for default that result in claim payments .....	-1		
2264 Other adjustments, net .....	5		
2290 Outstanding, end of year .....	60	59	58
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	57	56	55
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	278	264	254
2331 Disbursements for guaranteed loan claims .....	4		
2351 Repayments of loans receivable .....	-18	-10	-8
2361 Write-offs of loans receivable .....	-1		
2364 Other adjustments, net .....	1		
2390 Outstanding, end of year .....	264	254	246
<b>CONSOLIDATION</b>			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	158,546	146,597	130,037
2251 Repayments and prepayments .....	-9,379	-11,180	-9,356
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-4,677	-4,412	-3,410
2263 Terminations for default that result in claim payments .....	-1,100	-967	-963
2264 Other adjustments, net .....	3,207	-1	
2290 Outstanding, end of year .....	146,597	130,037	116,308
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	139,267	123,535	110,492
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	16,666	17,212	16,553
2331 Disbursements for guaranteed loan claims .....	4,677	4,412	3,410
2351 Repayments of loans receivable .....	-3,108	-4,008	-4,094

2361	Write-offs of loans receivable .....	-1,100	-1,063	-957
2364	Other adjustments, net .....	77		
2390	Outstanding, end of year .....	17,212	16,553	14,912

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4251-0-3-502	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	6,014	8,894
Investments in US securities:		
1106 Receivables, net .....	926	88
1206 Non-Federal assets: Receivables, net .....	66	28
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	34,251	33,415
1502 Interest receivable .....	5,273	5,756
1505 Allowance for subsidy cost (-) .....	-1,555	-991
1599 Net present value of assets related to defaulted guaranteed loans .....	37,969	38,180
1999 Total assets .....	44,975	47,190
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	1,484	3,865
2103 Debt .....	43,254	43,254
2201 Non-Federal liabilities: Accounts payable .....	237	71
2999 Total liabilities .....	44,975	47,190
4999 Total liabilities and net position .....	44,975	47,190

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	692	666	666
3200	Obligated balance, end of year .....	666	666	666

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	4,373	3,190	2,928
Financing disbursements:				
4110	Outlays, gross (total) .....	3,931	3,190	2,928
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Upward reestimate .....	-265	-531	
4120	Upward reestimate interest .....	-43	-118	
4122	Interest on uninvested funds .....	-131		
4123	Principal repayments .....	-5,723	-4,737	-5,031
4123	Interest repayments .....	-1,220	-1,363	-1,320
4123	Fees and other refunds .....	-23		
4130	Offsets against gross budget authority and outlays (total) ....	-7,405	-6,749	-6,351
4160	Budget authority, net (mandatory) .....	-3,032	-3,559	-3,423
4170	Outlays, net (mandatory) .....	-3,474	-3,559	-3,423
4180	Budget authority, net (total) .....	-3,032	-3,559	-3,423
4190	Outlays, net (total) .....	-3,474	-3,559	-3,423

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4453-0-3-502	2015 actual	2016 est.	2017 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	52,782	48,540	43,883
1251	Repayments: Repayments and prepayments .....	-5,723	-4,737	-5,031
1261	Adjustments: Capitalized interest .....		199	125
1264	Write-offs for default: Other adjustments, net (+ or -) .....	1,481	-119	-120
1290	Outstanding, end of year .....	48,540	43,883	38,857

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4453-0-3-502	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0006	Contract collection costs .....	93	178	160
Credit program obligations:				
0713	Payment of interest to Treasury .....	2,018	2,852	2,768
0742	Downward reestimate paid to receipt account .....	1,524	123	
0743	Interest on downward reestimates .....	301	37	
0791	Direct program activities, subtotal .....	3,843	3,012	2,768
0900	Total new obligations .....	3,936	3,190	2,928
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	256	437	
1021	Recoveries of prior year unpaid obligations .....	31		
1023	Unobligated balances applied to repay debt .....	-287	-437	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1,825	160	
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	7,405	6,749	6,351
1825	Spending authority from offsetting collections applied to repay debt .....	-4,857	-3,719	-3,423
1850	Spending auth from offsetting collections, mand (total) .....	2,548	3,030	2,928
1900	Budget authority (total) .....	4,373	3,190	2,928
1930	Total budgetary resources available .....	4,373	3,190	2,928
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	437		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	692	666	666
3010	Obligations incurred, unexpired accounts .....	3,936	3,190	2,928
3020	Outlays (gross) .....	-3,931	-3,190	-2,928
3040	Recoveries of prior year unpaid obligations, unexpired .....	-31		
3050	Unpaid obligations, end of year .....	666	666	666

**Balance Sheet** (in millions of dollars)

Identification code 091-4453-0-3-502	2014 actual	2015 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	947	1,102
Investments in US securities:			
1106	Receivables, net .....	352	477
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	52,782	48,540
1402	Interest receivable .....	3,358	3,403
1405	Allowance for subsidy cost (-) .....	8,373	7,573
1499	Net present value of assets related to direct loans .....	64,513	59,516
1999	Total assets .....	65,812	61,095
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....	1,504	112
2103	Debt .....	64,302	60,983
2201	Non-Federal liabilities: Accounts payable .....	6	
2999	Total liabilities .....	65,812	61,095
4999	Total liabilities and net position .....	65,812	61,095

STUDENT LOAN ACQUISITION ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4449-0-3-502	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0005	Contract collection costs .....	66	107	97
Credit program obligations:				
0713	Payment of interest to Treasury .....	1,091	1,629	1,567
0742	Downward reestimate paid to receipt account .....	612		
0743	Interest on downward reestimates .....	120		

STUDENT LOAN ACQUISITION ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4449-0-3-502	2015 actual	2016 est.	2017 est.
0791 Direct program activities, subtotal .....	1,823	1,629	1,567
0900 Total new obligations .....	1,889	1,736	1,664
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	478	460	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1023 Unobligated balances applied to repay debt .....	-481	-460	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	731	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4,432	4,393	3,932
1825 Spending authority from offsetting collections applied to repay debt .....	-2,814	-2,657	-2,268
1850 Spending auth from offsetting collections, mand (total) .....	1,618	1,736	1,664
1900 Budget authority (total) .....	2,349	1,736	1,664
1930 Total budgetary resources available .....	2,349	1,736	1,664
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	460	.....	.....

Identification code 091-4449-0-3-502	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	14	14
3010 Obligations incurred, unexpired accounts .....	1,889	1,736	1,664
3020 Outlays (gross) .....	-1,885	-1,736	-1,664
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	14	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	14	14
3200 Obligated balance, end of year .....	14	14	14

Identification code 091-4449-0-3-502	2015 actual	2016 est.	2017 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,349	1,736	1,664
Financing disbursements:			
4110 Outlays, gross (total) .....	1,885	1,736	1,664
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	.....	-460	.....
4120 Upward reestimate interest .....	.....	-105	.....
4122 Interest on uninvested funds .....	-63	.....	.....
4123 Principal repayments .....	-3,556	-2,997	-3,123
4123 Borrower interest repayments .....	-799	-831	-809
4123 Fees and other refunds .....	-14	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	-4,432	-4,393	-3,932
4160 Budget authority, net (mandatory) .....	-2,083	-2,657	-2,268
4170 Outlays, net (mandatory) .....	-2,547	-2,657	-2,268
4180 Budget authority, net (total) .....	-2,083	-2,657	-2,268
4190 Outlays, net (total) .....	-2,547	-2,657	-2,268

Status of Direct Loans (in millions of dollars)

Identification code 091-4449-0-3-502	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	29,401	26,474	23,540
1251 Repayments: Repayments and prepayments .....	-3,556	-2,997	-3,123
1261 Adjustments: Capitalized interest .....	.....	140	85
1264 Write-offs for default: Other adjustments, net (+ or -) .....	629	-77	-75
1290 Outstanding, end of year .....	26,474	23,540	20,427

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4449-0-3-502	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	322	305
Investments in US securities:		
1106 Receivables, net .....	.....	539
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	29,401	26,474
1402 Interest receivable .....	1,927	1,981
1405 Allowance for subsidy cost (-) .....	5,228	4,410
1499 Net present value of assets related to direct loans .....	36,556	32,865
1999 Total assets .....	36,878	33,709
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	601	.....
2103 Debt .....	36,273	33,709
2201 Non-Federal liabilities: Accounts payable .....	4	.....
2999 Total liabilities .....	36,878	33,709
4999 Total liabilities and net position .....	36,878	33,709

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4459-0-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Contract collection costs .....	28	27	21
Credit program obligations:			
0713 Payment of interest to Treasury .....	60	60	52
0900 Total new obligations .....	88	87	73

Identification code 091-4459-0-3-502	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	32	.....
1021 Recoveries of prior year unpaid obligations .....	26	.....	.....
1023 Unobligated balances applied to repay debt .....	-37	-32	.....
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	229	359	275
1825 Spending authority from offsetting collections applied to repay debt .....	-109	-272	-202
1850 Spending auth from offsetting collections, mand (total) .....	120	87	73
1900 Budget authority (total) .....	120	87	73
1930 Total budgetary resources available .....	120	87	73
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	32	.....	.....

Identification code 091-4459-0-3-502	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	15	15
3010 Obligations incurred, unexpired accounts .....	88	87	73
3020 Outlays (gross) .....	-88	-87	-73
3040 Recoveries of prior year unpaid obligations, unexpired .....	-26	.....	.....
3050 Unpaid obligations, end of year .....	15	15	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	41	15	15
3200 Obligated balance, end of year .....	15	15	15

Identification code 091-4459-0-3-502	2015 actual	2016 est.	2017 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	120	87	73
Financing disbursements:			
4110 Outlays, gross (total) .....	88	87	73
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-4	.....	.....
4123 Direct Conduit Fees .....	-6	.....	.....
4123 Principal repayments .....	-171	-304	-232
4123 Interest repayments .....	-48	-55	-43
4130 Offsets against gross budget authority and outlays (total) .....	-229	-359	-275
4160 Budget authority, net (mandatory) .....	-109	-272	-202
4170 Outlays, net (mandatory) .....	-141	-272	-202
4180 Budget authority, net (total) .....	-109	-272	-202

4190	Outlays, net (total)	-141	-272	-202
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**Status of Direct Loans** (in millions of dollars)

Identification code 091-4459-0-3-502	2015 actual	2016 est.	2017 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year	2,036	1,887	1,578
1251	Repayments: Repayments and prepayments	-171	-304	-232
1264	Write-offs for default: Other adjustments, net (+ or -)	22	-5	-2
1290	Outstanding, end of year	1,887	1,578	1,344

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4459-0-3-502	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury	52	47
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	2,036	1,887
1402	Interest receivable	218	241
1405	Allowance for subsidy cost (-)	-332	-349
1499	Net present value of assets related to direct loans	1,922	1,779
1999	Total assets	1,974	1,826
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt	1,972	1,826
2201	Non-Federal liabilities: Accounts payable	2	
2999	Total liabilities	1,974	1,826
4999	Total liabilities and net position	1,974	1,826

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-0230-0-1-502	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0101	Interest benefits, net of origination fees	2	2	2
0103	Default claims	37	31	25
0104	Death, disability, and bankruptcy claims	21	16	14
0105	Contract collection costs	17	15	14
0106	Rehab purchase fee		24	20
0191	Subtotal, Stafford loans	77	88	75
0201	Default claims	7	5	4
0202	Death, disability, and bankruptcy claims	4	6	6
0205	Contract collection costs	2	3	3
0206	Rehab purchase fee		4	3
0291	Subtotal, PLUS/SLS loans	13	18	16
0900	Total new obligations	90	106	91
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	110	225	
1021	Recoveries of prior year unpaid obligations	28		
1022	Capital transfer of unobligated balances to general fund	-138	-225	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	444	397	351
1820	Capital transfer of spending authority from offsetting collections to general fund	-129	-291	-260
1850	Spending auth from offsetting collections, mand (total)	315	106	91
1930	Total budgetary resources available	315	106	91
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	225		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	38	11	
3010	Obligations incurred, unexpired accounts	90	106	91

3020	Outlays (gross)	-89	-117	-91
3040	Recoveries of prior year unpaid obligations, unexpired	-28		
3050	Unpaid obligations, end of year	11		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	38	11	
3200	Obligated balance, end of year	11		

**Budget authority and outlays, net:**

Identification code	2015 actual	2016 est.	2017 est.	
<b>Mandatory:</b>				
4090	Budget authority, gross	315	106	91
Outlays, gross:				
4100	Outlays from new mandatory authority	89	106	91
4101	Outlays from mandatory balances		11	
4110	Outlays, gross (total)	89	117	91
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Fed collections on defaulted loans, Stafford	-115	-109	-97
4123	Fed collections on bankruptcies, Stafford		-4	-3
4123	Offsets against Federal tax refunds, Stafford		-117	-104
4123	Reimbursements from guaranty agencies, Stafford	-253	-83	-73
4123	Other collections, Stafford	-19	-24	-21
4123	Federal collections on defaulted loans, PLUS/SLS	-18	-33	-29
4123	Federal collections on bankruptcies, PLUS/SLS		-1	-1
4123	Offsets against Federal tax refunds, PLUS/SLS		-9	-8
4123	Reimbursements from guaranty agencies, PLUS/SLS	-39	-17	-15
4130	Offsets against gross budget authority and outlays (total)	-444	-397	-351
4160	Budget authority, net (mandatory)	-129	-291	-260
4170	Outlays, net (mandatory)	-355	-280	-260
4180	Budget authority, net (total)	-129	-291	-260
4190	Outlays, net (total)	-355	-280	-260

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 091-0230-0-1-502	2015 actual	2016 est.	2017 est.	
<b>STAFFORD LOANS</b>				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	494	467	427
2251	Repayments and prepayments	-9	-8	-8
Adjustments:				
2261	Terminations for default that result in loans receivable	-53	-16	-13
2263	Terminations for default that result in claim payments	-21	-16	-14
2264	Other adjustments, net	56		
2290	Outstanding, end of year	467	427	392
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	444	406	373
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	4,063	3,786	3,599
2331	Disbursements for guaranteed loan claims	53	16	13
2351	Repayments of loans receivable	-266	-162	-144
2361	Write-offs of loans receivable	-21	-20	-19
2364	Other adjustments, net	-43	-21	-17
2390	Outstanding, end of year	3,786	3,599	3,432
<b>PLUS/SLS LOANS</b>				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	59	56	47
2251	Repayments and prepayments	-1	-1	-1
Adjustments:				
2261	Terminations for default that result in loans receivable	-10	-2	-1
2263	Terminations for default that result in claim payments	-4	-6	-6
2264	Other adjustments, net	12		
2290	Outstanding, end of year	56	47	39
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	53	44	37
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	644	602	570
2331	Disbursements for guaranteed loan claims	10	2	1
2351	Repayments of loans receivable	-41	-27	-24
2361	Write-offs of loans receivable	-4	-4	-3
2364	Other adjustments, net	-7	-3	-3

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT—Continued  
Status of Guaranteed Loans—Continued

Identification code 091-0230-0-1-502	2015 actual	2016 est.	2017 est.
2390 Outstanding, end of year .....	602	570	541

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-0230-0-1-502	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	148	237
1701 Defaulted guaranteed loans, gross .....	4,707	4,388
1702 Interest receivable .....	5,809	6,149
1703 Allowance for estimated uncollectible loans and interest (-) .....	-8,586	-8,162
1799 Value of assets related to loan guarantees .....	1,930	2,375
1999 Total assets .....	2,078	2,612
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	2,050	2,603
Non-Federal liabilities:		
2201 Accounts payable .....	1	.....
2204 Liabilities for loan guarantees .....	27	9
2999 Total liabilities .....	2,078	2,612
4999 Total liabilities and net position .....	2,078	2,612

Object Classification (in millions of dollars)

Identification code 091-0230-0-1-502	2015 actual	2016 est.	2017 est.
Direct obligations:			
33.0 Investments and loans .....	63	54	46
41.0 Grants, subsidies, and contributions .....	2	30	25
42.0 Insurance claims and indemnities .....	25	22	20
99.0 Direct obligations .....	90	106	91
99.9 Total new obligations .....	90	106	91

FEDERAL PERKINS LOAN PROGRAM ACCOUNT  
(Legislative proposal, subject to PAYGO)

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0217-4-1-502	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Federal Perkins Loans .....	.....	.....	4,684
Direct loan subsidy (in percent):			
132001 Federal Perkins Loans .....	.....	.....	-13.67
Direct loan subsidy budget authority:			
133001 Federal Perkins Loans .....	.....	.....	-640
Direct loan subsidy outlays:			
134001 Federal Perkins Loans .....	.....	.....	-305

FEDERAL PERKINS LOAN FINANCING ACCOUNT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4574-4-3-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	.....	.....	4,684
0713 Payment of interest to Treasury .....	.....	.....	43

0740 Negative subsidy obligations .....	.....	.....	640
0900 Total new obligations .....	.....	.....	5,367

Budgetary resources:

Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	.....	.....	5,343
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	.....	24
1900 Budget authority (total) .....	.....	.....	5,367
1930 Total budgetary resources available .....	.....	.....	5,367

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	5,367
3020 Outlays (gross) .....	.....	.....	-2,579
3050 Unpaid obligations, end of year .....	.....	.....	2,788
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	2,788

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	.....	.....	5,367
Financing disbursements:			
4110 Outlays, gross (total) .....	.....	.....	2,579
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Payment of principal .....	.....	.....	-1
4123 Origination fees .....	.....	.....	-23
4130 Offsets against gross budget authority and outlays (total) ....	.....	.....	-24
4160 Budget authority, net (mandatory) .....	.....	.....	5,343
4170 Outlays, net (mandatory) .....	.....	.....	2,555
4180 Budget authority, net (total) .....	.....	.....	5,343
4190 Outlays, net (total) .....	.....	.....	2,555

Status of Direct Loans (in millions of dollars)

Identification code 091-4574-4-3-502	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	.....	.....	4,684
1150 Total direct loan obligations .....	.....	.....	4,684
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements .....	.....	.....	2,231
1251 Repayments: Repayments and prepayments .....	.....	.....	-1
1290 Outstanding, end of year .....	.....	.....	2,230

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0247-0-1-552	2015 actual	2016 est.	2017 est.
Guaranteed loan reestimates:			
235001 HEAL Loan Guarantee .....	-19	-21	.....

Consistent with the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Health Education Assistance Loans (HEAL) program was transferred to the Department of Education from the Department of Health and Human Services in FY 2014. The Department of Education assumed responsibility for the program and the authority to administer, service, collect, and enforce the program.

The HEAL program guarantees loans from private lenders to health professions students to pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program.

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4300-0-3-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	7	7	7
0715 Default Collection Costs .....	1	2	2
0742 Downward reestimate paid to receipt account .....	8	9	.....
0743 Interest on downward reestimates .....	10	12	.....
0900 Total new obligations .....	26	30	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	68	52	33
1050 Unobligated balance (total) .....	68	52	33
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10	11	11
1900 Budget authority (total) .....	10	11	11
1930 Total budgetary resources available .....	78	63	44
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	33	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	22
3010 Obligations incurred, unexpired accounts .....	26	30	9
3020 Outlays (gross) .....	-25	-9	-9
3050 Unpaid obligations, end of year .....	1	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	1	22
3200 Obligated balance, end of year .....	1	22	22
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	11	11
Financing disbursements:			
4110 Outlays, gross (total) .....	25	9	9
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-3	-2	-2
4123 Non-Federal sources .....	-7	-9	-9
4130 Offsets against gross budget authority and outlays (total) ....	-10	-11	-11
4170 Outlays, net (mandatory) .....	15	-2	-2
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	15	-2	-2

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 091-4300-0-3-552	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	.....	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	290	232	204
2251 Repayments and prepayments .....	-51	-16	-16
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-7	-9	-9
2263 Terminations for default that result in claim payments .....	.....	-3	-3
2264 Other adjustments, net .....	.....	.....	.....
2290 Outstanding, end of year .....	232	204	176
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	232	204	176
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	192	155	155
2331 Disbursements for guaranteed loan claims .....	7	7	7
2351 Repayments and prepayments .....	-10	-7	-7
2361 Write-offs of loans receivable .....	.....	.....	.....
2364 Other adjustments, net .....	-34	.....	.....

2390	Outstanding, end of year .....	155	155	155
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As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan (HEAL) program. Amounts in this account are a means of financing and are not included in the budget totals.

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4299-0-3-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Default Collections Costs .....	2	2	2
0900 Total new obligations .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	7	.....
1022 Capital transfer of unobligated balances to general fund .....	-8	-7	.....
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9	10	10
1820 Capital transfer of spending authority from offsetting collections to general fund .....	.....	-9	-9
1850 Spending auth from offsetting collections, mand (total) .....	9	1	1
1900 Budget authority (total) .....	9	2	2
1930 Total budgetary resources available .....	9	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-9	-10	-10
4180 Budget authority, net (total) .....	.....	-8	-8
4190 Outlays, net (total) .....	-7	-8	-8

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 091-4299-0-3-552	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	37	28	21
2251 Repayments and prepayments .....	-9	-7	-7
Adjustments:			
2261 Terminations for default that result in loans receivable .....	.....	.....	.....
2264 Other adjustments, net .....	.....	.....	.....
2290 Outstanding, end of year .....	28	21	14
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	28	21	14
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	329	260	256
2331 Disbursements for guaranteed loan claims .....	.....	3	3
2351 Repayments of loans receivable .....	-9	-7	-7
2361 Write-offs of loans receivable .....	.....	.....	.....
2364 Other adjustments, net .....	-60	.....	.....
2390 Outstanding, end of year .....	260	256	252

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Govern-

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued  
ment resulting from guaranteed Health Education Assistance Loans (HEAL) loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 091-4299-0-3-552	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	8	7
1701 Defaulted guaranteed loans, gross .....	329	260
1999 Total assets .....	337	267
<b>LIABILITIES:</b>		
2207 Non-Federal liabilities: Other .....	337	267
4999 Total liabilities and net position .....	337	267

**Object Classification** (in millions of dollars)

Identification code 091-4299-0-3-552	2015 actual	2016 est.	2017 est.
25.2 Direct obligations: Other services from non-Federal sources .....	1	2	2
99.0 Direct obligations .....	1	2	2
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	2	2	2

**INSTITUTE OF EDUCATION SCIENCES**

*Federal Funds*

INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, section 208 of the Educational Technical Assistance Act of 2002, and section 664 of the Individuals with Disabilities Education Act, **[\$618,015,000] \$693,818,000**, which shall remain available through September 30, **[2017] 2018: Provided**, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: *Provided further*, That up to **[\$6,000,000] \$18,000,000** of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels: *Provided further*, That \$157,235,000 shall be for carrying out activities authorized by the National Assessment of Educational Progress Authorization Act. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091-1100-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research, development, and dissemination .....	184	195	210
0002 Statistics .....	115	112	125
0003 Regional educational laboratories .....	48	54	54
0004 National Assessment .....	136	149	149
0005 National Assessment Governing Board .....	6	8	8
0006 Research in special education .....	54	54	54
0007 Statewide longitudinal data systems .....	34	35	81
0008 Special education studies and evaluations .....	16	11	13
0100 Total direct program .....	593	618	694
0900 Total new obligations .....	593	618	694
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	45	27	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	574	618	694
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1900 Budget authority (total) .....	575	620	696
1930 Total budgetary resources available .....	620	647	725

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	27	29	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	713	677	818
3010 Obligations incurred, unexpired accounts .....	593	618	694
3020 Outlays (gross) .....	-623	-477	-557
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	677	818	955
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	712	676	817
3200 Obligated balance, end of year .....	676	817	954
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	575	620	696
Outlays, gross:			
4010 Outlays from new discretionary authority .....	109	102	114
4011 Outlays from discretionary balances .....	514	375	443
4020 Outlays, gross (total) .....	623	477	557
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-2	-2
4180 Budget authority, net (total) .....	574	618	694
4190 Outlays, net (total) .....	622	475	555

*Research and Statistics:*

*Research, development, and dissemination.*—Funds support a diverse portfolio of research, development, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices. Funds requested in 2017 would be used to sustain and expand research and evaluation efforts across all dimensions of education, including increased support for dissemination activities to improve the Department's program performance data and reporting.

*Statistics.*—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities. The 2017 request would allow NCES to support a wide range of activities, including initiating a new round of the Early Childhood Longitudinal Study Birth Cohort, developing a study on college loan performance, collecting additional data through the Teaching and Learning International Survey, supporting the My Brother's Keeper initiative, and creating P-12 and postsecondary information hubs.

*Regional educational laboratories.*—Funds support a network of 10 regional laboratories that provide expert advice, including training and technical assistance, to help States and school districts apply proven research findings in their school improvement efforts.

*Assessment.*—Funds support the ongoing National Assessment of Educational Progress (NAEP) and the National Assessment Governing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed.

*Research in special education.*—Funds support research to build the evidence base on improving special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

*Statewide data systems.*—Funds support competitive grant awards to States to foster the design, development, implementation, and use of longitudinal data systems. In 2017, funding would support linking K-12 systems to systems that include early childhood, postsecondary, and workforce information, or further developing such systems. In addition, funds would support awards to public and private agencies to improve data coordination, quality, and use at the local, State, and national levels.

*Special education studies and evaluations.*—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

**Object Classification** (in millions of dollars)

Identification code 091-1100-0-1-503	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
25.1 Advisory and assistance services .....	26	27	30
25.2 Other services from non-Federal sources .....	232	254	274
25.3 Other goods and services from Federal sources .....	2	2	4
25.5 Research and development contracts .....	93	83	85
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	235	248	298
99.0 Direct obligations .....	590	617	693
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	2		
99.9 Total new obligations .....	593	618	694

**Employment Summary**

Identification code 091-1100-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

**PROGRAM ADMINISTRATION**

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, **[\$432,000,000]** \$474,827,000, of which up to **[\$1,000,000]** \$24,485,000, to remain available until expended, may be for relocation of, and renovation of buildings occupied by, Department staff. (*Department of Education Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 091-0800-0-1-503	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Contributions .....	2	1	1
2000 Total: Balances and receipts .....	2	1	2
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Program Administration .....	-2		
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 091-0800-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program administration .....	425	432	475
0801 Program Administration (Reimbursable) .....	3		
0900 Total new obligations .....	428	432	475
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	8	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	12	1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	411	432	475
1120 Appropriations transferred to other acct [075-0142] .....	-5		
1121 Appropriations transferred from other acct [091-0200] .....	8		

1121 Appropriations transferred from other acct [091-0202] .....	4		
1160 Appropriation, discretionary (total) .....	418	432	475
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	2		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3	3	3
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	3	3
1900 Budget authority (total) .....	424	435	478
1930 Total budgetary resources available .....	436	443	489
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	11	14

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	121	118	113
3010 Obligations incurred, unexpired accounts .....	428	432	475
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-426	-437	-445
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	118	113	143
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	120	117	112
3200 Obligated balance, end of year .....	117	112	142

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	422	435	478
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	346	346	359
4011 Outlays from discretionary balances .....	78	91	86
4020 Outlays, gross (total) .....	424	437	445
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4	-3	-3
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	418	432	475
4080 Outlays, net (discretionary) .....	420	434	442
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2		
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1		
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	2		
4180 Budget authority, net (total) .....	420	432	475
4190 Outlays, net (total) .....	422	434	442

The Program Administration account includes the direct Federal costs of providing grants and administering early, elementary, and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs.

Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff to reduce the Department's real estate footprint and generate rent savings.

Also included in this account are contributions from the public. Activities supported include receptions for Blue Ribbon Schools and Historically

PROGRAM ADMINISTRATION—Continued

Black Colleges and Universities. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

*Reimbursable program.*—Reimbursements to this account are for providing administrative services to other agencies.

**Object Classification** (in millions of dollars)

Identification code 091-0800-0-1-503	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	186	206	210
11.3 Other than full-time permanent .....	26	8	8
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	214	216	220
12.1 Civilian personnel benefits .....	65	66	67
21.0 Travel and transportation of persons .....	3	4	4
23.1 Rental payments to GSA .....	41	42	37
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services from non-Federal sources .....	18	17	21
25.3 Other goods and services from Federal sources .....	16	17	17
25.7 Operation and maintenance of equipment .....	58	62	84
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	4
32.0 Land and structures .....	3	2	16
99.0 Direct obligations .....	422	432	475
99.0 Reimbursable obligations .....	2		
99.5 Adjustment for rounding .....	4		
99.9 Total new obligations .....	428	432	475

**Employment Summary**

Identification code 091-0800-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,912	1,880	1,901

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, **[\$107,000,000]** \$137,708,000. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0700-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Civil rights .....	100	107	138
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	100	107	138
1930 Total budgetary resources available .....	100	107	138
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	16	18
3010 Obligations incurred, unexpired accounts .....	100	107	138
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-98	-105	-130
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	16	18	26
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	15	16	18
3200 Obligated balance, end of year .....	16	18	26
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	100	107	138
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	89	92	116
4011 Outlays from discretionary balances .....	9	13	14
4020 Outlays, gross (total) .....	98	105	130

4180 Budget authority, net (total) .....	100	107	138
4190 Outlays, net (total) .....	98	105	130

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

**Object Classification** (in millions of dollars)

Identification code 091-0700-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	56	62	76
11.3 Other than full-time permanent .....	2	2	3
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	59	65	80
12.1 Civilian personnel benefits .....	18	20	25
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	8	8	10
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	3
25.7 Operation and maintenance of equipment .....	11	10	18
99.9 Total new obligations .....	100	107	138

**Employment Summary**

Identification code 091-0700-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	540	589	753

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, as authorized by section 212 of the Department of Education Organization Act, **[\$59,256,000]** \$61,941,000. (*Department of Education Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 091-1400-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	56	59	62
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	58	59	62
1930 Total budgetary resources available .....	58	60	63
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	11	14
3010 Obligations incurred, unexpired accounts .....	56	59	62
3020 Outlays (gross) .....	-55	-56	-59
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	11	14	17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	11	11	14
3200 Obligated balance, end of year .....	11	14	17

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	58	59	62
Outlays, gross:				
4010	Outlays from new discretionary authority .....	48	48	49
4011	Outlays from discretionary balances .....	7	8	10
4020	Outlays, gross (total) .....	55	56	59
4180	Budget authority, net (total) .....	58	59	62
4190	Outlays, net (total) .....	55	56	59

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.

**Object Classification** (in millions of dollars)

Identification code 091-1400-0-1-751	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	26	28	28
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	28	30	30
12.1	Civilian personnel benefits .....	10	11	11
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	6	6	7
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	2	2	2
25.3	Other goods and services from Federal sources .....	1	1	1
25.7	Operation and maintenance of equipment .....	6	5	6
31.0	Equipment .....	1	1	1
99.0	Direct obligations .....	55	58	60
99.5	Adjustment for rounding .....	1	1	2
99.9	Total new obligations .....	56	59	62

**Employment Summary**

Identification code 091-1400-0-1-751	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	236	244	244

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.	
Offsetting receipts from the public:				
091-279430	TEACH Grant Program, Downward Reestimates of Subsidies .....	31	5	.....
091-274130	College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies .....	83	18	.....
091-279830	Health Education Assistance Loans, Downward Reestimates of Subsidies .....	19	21	.....
091-278130	Federal Direct Student Loan Program, Downward Reestimates of Subsidies .....	2,025	2,185	.....
091-271830	Federal Family Education Loan Program, Downward Reestimates of Subsidies .....	4,656	2,521	.....
091-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified .....	2	2	2
091-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	37	47	47
091-291500	Repayment of Loans, Capital Contributions, Higher Education Activities .....	31	.....	.....
091-278110	Federal Direct Student Loan Program, Negative Subsidies .....	6,186	5,347	5,570
091-278310	Federal Perkins Loan, Negative Subsidies .....	.....	.....	305
091-278110	Federal Direct Student Loan Program, Negative Subsidies .....	.....	.....	1,151
General Fund Offsetting receipts from the public .....				
	13,070	10,146	7,075	
Intragovernmental payments:				
091-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	24	.....	.....

General Fund Intragovernmental payments .....	24	.....	.....
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**GENERAL PROVISIONS**

SEC. 301. No funds appropriated in this Act may be used for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to overcome racial imbalance in any school or school system, or for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to carry out a plan of racial desegregation of any school or school system.

SEC. 302. None of the funds contained in this Act shall be used to require, directly or indirectly, the transportation of any student to a school other than the school which is nearest the student's home, except for a student requiring special education, to the school offering such special education, in order to comply with title VI of the Civil Rights Act of 1964. For the purpose of this section an indirect requirement of transportation of students includes the transportation of students to carry out a plan involving the reorganization of the grade structure of schools, the pairing of schools, or the clustering of schools, or any combination of grade restructuring, pairing, or clustering. The prohibition described in this section does not include the establishment of magnet schools.

SEC. 303. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

(TRANSFER OF FUNDS)

SEC. 304. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 305. The Outlying Areas may consolidate funds received under this Act, pursuant to 48 U.S.C. 1469a, under part A of title V of the ESEA. ]

SEC. [306] 305. Section 105(f)(1)(B)(ix) of the Compact of Free Association Amendments Act of 2003 (48 U.S.C. 1921d(f)(1)(B)(ix)) shall be applied by substituting ["2016"] "2017" for ["2009"] "2016".

SEC. [307] 306. [The Secretary, in consultation with the Director of the Institute of Education Sciences, may reserve funds under section 9601 of the ESEA (subject to the limitations in subsections (b) and (c) of that section) in order to carry out activities authorized under paragraphs (1) and (2) of subsection (a) of that section with respect to any ESEA program funded in this Act and without respect to the source of funds for those activities: *Provided*, That high-quality evaluations of ESEA programs shall be prioritized, before using funds for any other evaluation activities: *Provided further*, That any funds reserved under this section shall be available from July 1, 2016 through September 30, 2017: *Provided further*, That not later than 10 days prior to the initial obligation of funds reserved under this section, the Secretary, in consultation with the Director, shall submit an evaluation plan to the Senate Committees on Appropriations and Health, Education, Labor, and Pensions and the House Committees on Appropriations and Education and the Workforce which identifies the source and amount of funds reserved under this section, the impact on program grantees if funds are withheld, the programs to be evaluated with such funds, how ESEA programs will be regularly evaluated, and how findings from evaluations completed under this section will be widely disseminated] *Funds consolidated for evaluation purposes under section 8601(c) of the ESEA shall be available from July 1, 2017, through September 30, 2018.*

SEC. [308] 307. (a) An institution of higher education that maintains an endowment fund supported with funds appropriated for title III or V of the HEA for fiscal year [2016] 2017 may use the income from that fund to award scholarships to students, subject to the limitation in section 331(c)(3)(B)(i) of the HEA. The use of such income for such purposes, prior to the enactment of this Act, shall be considered to have been an allowable use of that income, subject to that limitation.

(b) Subsection (a) shall be in effect until titles III and V of the HEA are reauthorized.

SEC. [309] 308. Section 114(f) of the HEA (20 U.S.C. 1011c(f)) is amended by striking ["2015"] "2016" and inserting ["2016"] "2017".

SEC. [310] 309. Section 458(a) of the HEA (20 U.S.C. 1087h(a)) is amended in paragraph (4) by striking ["2014"] "2016" and inserting ["2016"] "2017".

SEC. 311. Section 428(c)(1) of the HEA (20 U.S.C. 1078(c)(1)) is amended by striking "95 percent" and inserting "100 percent". ]

【SEC. 312. Notwithstanding section 5(b) of the Every Student Succeeds Act, funds provided in this Act for non-competitive formula grant programs authorized by the ESEA for use during academic year 2016–2017 shall be administered in accordance with the ESEA as in effect on the day before the date of enactment of the Every Student Succeeds Act.】

【SEC. 313. Career pathways programs.—

(1) Subsection (d) of section 484 of the HEA is amended by replacing (d)(2) with the following:

"(2) **ELIGIBLE CAREER PATHWAY PROGRAM.**—In this subsection, the term "eligible career pathway program" means a program that combines rigorous and high-quality education, training, and other services that—

"(A) aligns with the skill needs of industries in the economy of the State or regional economy involved;

"(B) prepares an individual to be successful in any of a full range of secondary or postsecondary education options, including apprenticeships registered under the Act of August 16, 1937 (commonly known as the "National Apprenticeship Act"; 50 Stat. 664, chapter 663; 29 U.S.C. 50 et seq.) (referred to individually in this Act as an "apprenticeship", except in section 171);

"(C) includes counseling to support an individual in achieving the individual's education and career goals;

"(D) includes, as appropriate, education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;

"(E) organizes education, training, and other services to meet the particular needs of an individual in a manner that accelerates the educational and career advancement of the individual to the extent practicable;

"(F) enables an individual to attain a secondary school diploma or its recognized equivalent, and at least 1 recognized postsecondary credential; and

"(G) helps an individual enter or advance within a specific occupation or occupational cluster.".

(2) Subsection (b) of section 401 of the HEA is amended by striking the addition to (b)(2)(A)(ii) made by subsection 309(b) of division G of Public Law 113–235.】

Sec. 310. (a) Section 1 of the Department of Education Organization Act is amended by striking out the entry for section 204 and inserting "Sec. 204. Office of Early, Elementary, and Secondary Education.";

(b) Section 202(b)(1)(A) of the Department of Education Organization Act (20 U.S.C. 3412 (b)(1)(A)) is amended by striking out "Assistant Secretary for Elementary and Secondary" and inserting "Assistant Secretary for Early, Elementary, and Secondary Education";

(c) Section 204 of the Department of Education Organization Act (20 U.S.C. 3414) is amended —

(1) by striking out the heading and inserting "Office of Early, Elementary, and Secondary Education";

(2) by striking out "Office of Elementary and Secondary Education" each place it appears and inserting "Office of Early, Elementary, and Secondary Education";

(3) by striking out "Assistant Secretary for Elementary and Secondary Education" each place it appears and inserting "Assistant Secretary for Early, Elementary, and Secondary Education"; and

(4) by striking out "elementary and secondary education" and inserting "early, elementary, and secondary education"; and

(d) Section 215 of the Department of Education Organization Act (20 U.S.C. 3423c) is amended —

(1) in subsection (b)(1), by striking out "Assistant Secretary for Elementary and Secondary" and inserting "Assistant Secretary for Early, Elementary, and Secondary Education"; and

(2) in subsection (b)(2)(B), by striking out "Office of Elementary and Secondary Education" and inserting "Office of Early, Elementary, and Secondary Education".

Sec. 311. Section 487(a)(24) of the Higher Education Act of 1965 (20 U.S.C. 1094(a)(24)) is amended —

(1) by striking "ten percent" and inserting "fifteen percent";

(2) by striking "In the" and inserting "(A) In the";

(3) by striking "funds provided under this title, as calculated in accordance with subsection (d)(1)" and inserting "Federal funds, as calculated in accordance with subparagraph (B) of this paragraph and subsection (d)(1)"; and

(4) by adding at the end the following —

"(B) **FEDERAL FUNDS.**—In this paragraph, the term 'Federal funds' means any Federal financial assistance provided, under this Act or any other Federal law, through a grant, contract, subsidy, loan, guarantee, insurance, or other means to a proprietary institution, including Federal financial assistance that is disbursed or delivered to an institution or on behalf of a student or to a student to be used to attend the institution, except that such term shall not include any monthly housing stipend provided under chapter 33 of title 38, United States Code."

Sec. 312. Reappropriation of Mandatory Savings.—Section 401(b)(7)(A)(iv) of the HEA (20 U.S.C. 1070a(b)(7)(A)(iv)) is amended to read as follows—

"(iv) to carry out this section—

"(I) \$13,500,000,000 for fiscal year 2011;

"(II) \$13,795,000,000 for fiscal year 2012;

"(III) \$7,587,000,000 for fiscal year 2013;

"(IV) \$588,000,000 for fiscal year 2014;

"(V) \$0 for fiscal year 2015;

"(VI) \$0 for fiscal year 2016;

"(VII) \$1,574,000,000 for fiscal year 2017;

"(VIII) \$1,397,000,000 for fiscal year 2018;

"(IX) \$1,424,000,000 for fiscal year 2019;

"(X) \$1,445,800,000 for fiscal year 2020; and

"(XI) \$1,161,100,000 for fiscal year 2021 and each succeeding fiscal year."

Sec. 313. Section 420R(f) of the HEA (20 U.S.C. 1070h(f)) is amended to strike ", and there are appropriated,".

Sec. 314. Section 481 of the HEA (20 U.S.C. 1088) is amended by adding after subsection (f) the following new subsection—

"(g) **DEFINITION OF ELIGIBLE VETERAN'S DEPENDENT.**—For the purpose of any program under this title, the term 'eligible veteran's dependent' means a dependent or an independent student —

(1) whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001; and

(2) who, at the time of the parent or guardian's death, was —

(A) less than 24 years of age; or

(B) enrolled at an institution of higher education on a part-time or full-time basis."

Sec. 315. Subsection 401(b) of the HEA (20 U.S.C. 1070a) is amended by inserting after paragraph (7), the following new paragraph:

"(8) **Eligible Veteran's Dependent.** Notwithstanding paragraphs (2)(a)(iii) and (3), the Secretary shall award the maximum Federal Pell grant for that year to each eligible veteran's dependent to assist in paying the eligible veteran's dependent's cost of attendance at an institution of higher education."

Sec. 316. Section 1003 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6303) is amended by striking subsection (h). (Department of Education Appropriations Act, 2016.)

# DEPARTMENT OF ENERGY

## NATIONAL NUCLEAR SECURITY ADMINISTRATION

### Federal Funds

#### FEDERAL SALARIES AND EXPENSES

[(INCLUDING RESCISSION OF FUNDS)]

For expenses necessary for Federal Salaries and Expenses in the National Nuclear Security Administration, **[\$383,666,000]** \$412,817,000, to remain available until September 30, **[2017]** 2018, including official reception and representation expenses not to exceed \$12,000: *Provided*, That of the unobligated balances from prior year appropriations available under this heading, \$19,900,000 is hereby rescinded: *Provided further*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 089-0313-0-1-053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Federal Salaries and Expenses .....	364	407	413
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	43	
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	37	43	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	370	384	413
1131 Unobligated balance of appropriations permanently reduced .....		-20	
1160 Appropriation, discretionary (total) .....	370	364	413
1900 Budget authority (total) .....	370	364	413
1930 Total budgetary resources available .....	407	407	413
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	43		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	67	68	96
3010 Obligations incurred, unexpired accounts .....	364	407	413
3020 Outlays (gross) .....	-355	-379	-437
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	68	96	72
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	67	68	96
3200 Obligated balance, end of year .....	68	96	72
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	370	364	413
Outlays, gross:			
4010 Outlays from new discretionary authority .....	278	300	341
4011 Outlays from discretionary balances .....	77	79	96
4020 Outlays, gross (total) .....	355	379	437
4180 Budget authority, net (total) .....	370	364	413
4190 Outlays, net (total) .....	355	379	437

*Federal Salaries and Expenses.*—This account provides the Federal salaries and other expenses of the National Nuclear Security Administration (NNSA) mission and mission support staff. The Federal Salaries and Expenses appropriation allows for the creation of a well-managed, inclusive, responsive, and accountable organization through the strategic management of human capital and greater integration of budget and performance data. It also includes funding for a standardized corporate project management enterprise. Program direction for Naval Reactors is within that program's account, and program direction for Secure Transportation Asset is within the Weapons Activities account.

#### Object Classification (in millions of dollars)

Identification code 089-0313-0-1-053	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	196	205	215
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	204	213	223
12.1 Civilian personnel benefits .....	60	73	71
21.0 Travel and transportation of persons .....	12	15	14
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	27	33	33
25.2 Other services from non-Federal sources .....	4	5	5
25.3 Other goods and services from Federal sources .....	37	45	44
25.4 Operation and maintenance of facilities .....	16	19	19
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	364	407	413

#### Employment Summary

Identification code 089-0313-0-1-053	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,541	1,690	1,740
2001 Reimbursable civilian full-time equivalent employment .....	2		

### NAVAL REACTORS

For Department of Energy expenses necessary for naval reactors activities to carry out the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition (by purchase, condemnation, construction, or otherwise) of real property, plant, and capital equipment, facilities, and facility expansion, **[\$1,375,496,000]** \$1,420,120,000, to remain available until expended: *Provided*, That of such amount, **[\$42,504,000]** \$47,100,000 shall be available until September 30, **[2017]** 2018, for program direction. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 089-0314-0-1-053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Naval reactors development .....	406	447	437
0020 Program Direction .....	43	43	47
0030 S8C prototype refueling .....	126	133	124
0040 Naval reactors operations and infrastructure .....	390	445	450
0050 Construction .....	113	121	148
0060 OHIO replacement reactor systems development .....	156	186	214
0900 Total new obligations .....	1,234	1,375	1,420
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	12	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,239	1,375	1,420
1131 Unobligated balance of appropriations permanently reduced .....	-5		
1160 Appropriation, discretionary (total) .....	1,234	1,375	1,420
1930 Total budgetary resources available .....	1,246	1,387	1,432
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	343	465	442
3010 Obligations incurred, unexpired accounts .....	1,234	1,375	1,420
3020 Outlays (gross) .....	-1,112	-1,398	-1,593
3050 Unpaid obligations, end of year .....	465	442	269
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	343	465	442

NAVAL REACTORS—Continued  
Program and Financing—Continued

Identification code 089-0314-0-1-053	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	465	442	269
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,234	1,375	1,420
Outlays, gross:			
4010 Outlays from new discretionary authority .....	788	1,169	1,207
4011 Outlays from discretionary balances .....	324	229	386
4020 Outlays, gross (total) .....	1,112	1,398	1,593
4180 Budget authority, net (total) .....	1,234	1,375	1,420
4190 Outlays, net (total) .....	1,112	1,398	1,593

*Naval Reactors.*—This account funds all naval nuclear propulsion work. It begins with reactor technology development and design, continues through reactor operation and maintenance, and ends with reactor plant disposal. The program ensures the safe and reliable operation of reactor plants in nuclear-powered submarines and aircraft carriers (constituting over 45 percent of the Navy's combatants), and fulfills the Navy's requirements for new nuclear propulsion plants that meet current and future national defense requirements. Due to the crucial nature of nuclear reactor work, Naval Reactors is a centrally managed organization. Federal employees oversee and set policies/procedures for developing new reactor plants and operating existing nuclear plants and the facilities that support these plants.

Object Classification (in millions of dollars)

Identification code 089-0314-0-1-053	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	26	29	29
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	27	30	30
12.1 Civilian personnel benefits .....	9	10	10
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	6	7	7
25.3 Other goods and services from Federal sources .....	6	7	7
25.4 Operation and maintenance of facilities .....	1,011	1,126	1,164
31.0 Equipment .....	26	29	30
32.0 Land and structures .....	145	162	167
41.0 Grants, subsidies, and contributions .....	3	3	4
99.9 Total new obligations .....	1,234	1,375	1,420

Employment Summary

Identification code 089-0314-0-1-053	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	217	246	246

WEAPONS ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense weapons activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$8,846,948,000]** \$9,285,147,000, to remain available until expended: *Provided*, That of such amount, **[\$97,118,000]** \$106,600,000 shall be available until September 30, **[2017]** 2018, for program direction: *Provided further*, That **[** funding made available under this heading may be made available for project engineering and design for the Albuquerque Complex Project **]** of the unobligated balances from prior year appropriations available under this heading, \$42,000,000 is hereby permanently cancelled: *Provided further*, That no amounts may be cancelled from amounts that were previously designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 089-0240-0-1-053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0020 Directed stockpile work .....	2,659	3,395	3,331
0021 Science .....	412	423	442
0022 Engineering .....	136	131	139
0023 Inertial confinement fusion ignition and high yield .....	516	511	523
0024 Advanced simulation and computing .....	607	623	663
0025 Readiness campaign .....	1		
0026 Readiness in technical base and facilities .....	2,004		
0027 Secure transportation asset .....	225	237	283
0028 Advanced manufacturing development .....	106	130	87
0030 Infrastructure and Operations .....		2,281	2,722
0091 Defense programs (DP), subtotal .....	6,666	7,731	8,190
0150 Nuclear counterterrorism incident response .....	176		
0161 Counterterrorism and counterproliferation programs .....	46		
0170 Site stewardship .....	78		
0179 Information technology and cybersecurity .....	170	162	177
0180 Defense nuclear security .....	627	683	670
0183 Legacy contractor pensions .....	307	284	248
0185 Domestic Uranium Research, Development and Demonstration .....	97		
0191 Non-DP activities, subtotal .....	1,501	1,129	1,095
0300 Subtotal, Weapons Activities .....	8,167	8,860	9,285
0799 Total direct obligations .....	8,167	8,860	9,285
0810 Weapons Activities (Reimbursable) .....	1,488	1,500	1,500
0900 Total new obligations .....	9,655	10,360	10,785

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	146	213	225
1021 Recoveries of prior year unpaid obligations .....	54		
1050 Unobligated balance (total) .....	200	213	225
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,232	8,847	9,285
1131 Unobligated balance of appropriations permanently reduced .....	-51		-42
1160 Appropriation, discretionary (total) .....	8,181	8,847	9,243
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2,409	1,525	1,525
1701 Change in uncollected payments, Federal sources .....	-922		
1750 Spending auth from offsetting collections, disc (total) .....	1,487	1,525	1,525
1900 Budget authority (total) .....	9,668	10,372	10,768
1930 Total budgetary resources available .....	9,868	10,585	10,993
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	213	225	208

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,598	6,237	7,335
3010 Obligations incurred, unexpired accounts .....	9,655	10,360	10,785
3020 Outlays (gross) .....	-9,962	-9,262	-11,071
3040 Recoveries of prior year unpaid obligations, unexpired .....	-54		
3050 Unpaid obligations, end of year .....	6,237	7,335	7,049
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,078	-2,156	-2,156
3070 Change in uncollected pymts, Fed sources, unexpired .....	922		
3090 Uncollected pymts, Fed sources, end of year .....	-2,156	-2,156	-2,156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,520	4,081	5,179
3200 Obligated balance, end of year .....	4,081	5,179	4,893

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	9,668	10,372	10,768
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,782	6,742	6,999
4011 Outlays from discretionary balances .....	5,180	2,520	4,072
4020 Outlays, gross (total) .....	9,962	9,262	11,071
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2,302	-1,475	-1,475
4033 Non-Federal sources .....	-107	-50	-50
4040 Offsets against gross budget authority and outlays (total) ...	-2,409	-1,525	-1,525

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	922	.....
4070	Budget authority, net (discretionary) .....	8,181	8,847 9,243
4080	Outlays, net (discretionary) .....	7,553	7,737 9,546
4180	Budget authority, net (total) .....	8,181	8,847 9,243
4190	Outlays, net (total) .....	7,553	7,737 9,546

Programs funded within the Weapons Activities appropriation support the Nation's current and future defense posture, and its attendant nationwide infrastructure of science, technology and engineering capabilities. Weapons Activities provides for the maintenance and refurbishment of nuclear weapons to continue sustained confidence in their safety, reliability, and performance; continued investment in scientific, engineering, and manufacturing capabilities to enable certification of the enduring nuclear weapons stockpile; and manufacture of nuclear weapon components. Weapons Activities also provides for continued maintenance and investment in the NNSA nuclear complex to be more responsive and cost effective. The major elements of the program include the following:

**Directed Stockpile Work.**—Encompasses all activities that directly support the nuclear weapons stockpile. These activities include: maintenance and surveillance; planned refurbishment; reliability assessment; weapon dismantlement and disposal; and research, development, and certification technology efforts to meet stockpile requirements. Additionally, starting in FY 2016, Strategic Materials are also included in Directed Stockpile Work, in order to recognize the investment needed in nuclear materials to maintain the viability of the enduring stockpile.

**Research, Development, Test and Evaluation.**—Focuses on scientific, technical, and engineering efforts to develop and maintain critical capabilities, tools, and processes needed to support science-based stockpile stewardship, weapons refurbishments, and continued certification of the stockpile over the long-term in the absence of underground nuclear testing.

**Infrastructure and Operations (formerly Readiness in Technical Base and Facilities).**—Provides for the base operations funding required to operate NNSA facilities and support underlying infrastructure and capabilities at the level necessary to deliver mission results in a safe and secure manner. Includes resources for cross-cutting programmatic functions such as Long Term Stewardship (formerly Environmental Projects and Operations), Nuclear Safety Research & Development, Nuclear Criticality Safety, and the Packaging (formerly Containers) program. Modernizes NNSA infrastructure through recapitalization, capability investments, strategic development, and line-item construction projects for the enhancement of capabilities.

**Defense Nuclear Security.**—Provides protection for NNSA personnel, facilities, and nuclear weapons from a full spectrum of threats, most notably terrorism. Provides for all safeguards and security requirements including protective forces and systems at all NNSA sites.

**Secure Transportation Asset.**—Provides for the safe, secure movement of nuclear weapons, special nuclear material, and weapon components to meet projected DOE, Department of Defense (DOD), and other customer requirements. The Program Direction in this account provides for the secure transportation workforce, including the Federal agents.

**Information Technology and Cybersecurity.**—Provides for research and development of information technology and cyber security solutions such as identity, credential, and access management to help meet energy security, proliferation resistance, and climate goals.

NNSA's request reflects the partnership between NNSA and DOD to maintain and modernize the nuclear deterrent. DOD's NNSA Program Support account has the amounts for Weapons Activities that are shown in the table below, underscoring the close link between these activities and DOD nuclear weapons-related requirements and missions. OMB will ensure that future budget year allocations to NNSA occur in the required amounts.

DEPARTMENT OF DEFENSE SUPPORT TO NNSA ACTIVITIES

		(in millions)		Future Funds from DOD Support to NNSA Account	Weapons Activities Total Including DOD Support to NNSA
FY 2017	.....	—	9,285		
FY 2018	.....	1,665	9,661		
FY 2019	.....	1,698	9,863		
FY 2020	.....	1,735	10,118		
FY 2021	.....	1,770	10,518		

Of the Future Funds from DOD, OMB will ensure that the following allocations from DOD occur as planned for Naval Reactors: FY 2018, \$393 million; FY 2019, \$402 million; FY 2020, \$411 million; and FY 2021, \$419 million. The remaining Future Funds from DOD are included in "Weapons Activities Total Including DOD Support to NNSA."

Object Classification (in millions of dollars)

Identification code 089-0240-0-1-053	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	44	47	48
11.5 Other personnel compensation .....	10	11	11
11.9 Total personnel compensation .....	54	58	59
12.1 Civilian personnel benefits .....	24	26	27
21.0 Travel and transportation of persons .....	4	4	5
23.1 Rental payments to GSA .....	77	84	88
23.3 Communications, utilities, and miscellaneous charges .....	29	31	33
25.1 Advisory and assistance services .....	184	200	209
25.2 Other services from non-Federal sources .....	462	501	525
25.3 Other goods and services from Federal sources .....	35	38	40
25.4 Operation and maintenance of facilities .....	6,375	6,916	7,249
25.5 Research and development contracts .....	117	127	133
25.6 Medical care .....	4	4	5
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	7	8	8
31.0 Equipment .....	235	255	267
32.0 Land and structures .....	502	545	571
41.0 Grants, subsidies, and contributions .....	57	62	65
99.0 Direct obligations .....	8,167	8,860	9,285
99.0 Reimbursable obligations .....	1,488	1,500	1,500
99.9 Total new obligations .....	9,655	10,360	10,785

Employment Summary

Identification code 089-0240-0-1-053	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	536	579	586

DEFENSE NUCLEAR NONPROLIFERATION

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for defense nuclear nonproliferation activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$1,940,302,000] \$1,821,916,000**, to remain available until expended: *Provided, That of the unobligated balances from prior year appropriations available under this heading, \$14,000,000 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were previously designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 089-0309-0-1-053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Defense nuclear nonproliferation research and development .....	387	419	394
0030 Nonproliferation and international security .....	141	.....	.....

DEFENSE NUCLEAR NONPROLIFERATION—Continued  
Program and Financing—Continued

Identification code 089-0309-0-1-053	2015 actual	2016 est.	2017 est.
0040 International materials protection and cooperation .....	284	.....	.....
0050 Fissile materials disposition .....	445	.....	.....
0071 Global material security .....	.....	427	337
0072 Material management and minimization .....	.....	317	341
0073 Nonproliferation and arms control .....	.....	130	125
0074 Nonproliferation construction .....	.....	340	270
0075 Nuclear counterterrorism incident response .....	.....	234	272
0080 Global threat reduction initiative .....	325	.....	.....
0085 Legacy contractor pensions .....	103	95	83
0100 Subtotal, obligations by program activity .....	1,685	1,962	1,822
0799 Total direct obligations .....	1,685	1,962	1,822
0801 INMP&C international contributions .....	2	.....	.....
0802 GTRI international contribution .....	4	.....	.....
0899 Total reimbursable obligations .....	6	.....	.....
0900 Total new obligations .....	1,691	1,962	1,822
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	69	47
1021 Recoveries of prior year unpaid obligations .....	108	.....	.....
1050 Unobligated balance (total) .....	148	69	47
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,641	1,940	1,822
1120 Appropriations transferred to other accts [089-0222] .....	-7	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-26	.....	-14
1160 Appropriation, discretionary (total) .....	1,608	1,940	1,808
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	.....	.....
1900 Budget authority (total) .....	1,612	1,940	1,808
1930 Total budgetary resources available .....	1,760	2,009	1,855
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	69	47	33
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,913	1,642	1,647
3010 Obligations incurred, unexpired accounts .....	1,691	1,962	1,822
3020 Outlays (gross) .....	-1,854	-1,957	-1,906
3040 Recoveries of prior year unpaid obligations, unexpired .....	-108	.....	.....
3050 Unpaid obligations, end of year .....	1,642	1,647	1,563
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,913	1,642	1,647
3200 Obligated balance, end of year .....	1,642	1,647	1,563
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,612	1,940	1,808
Outlays, gross:			
4010 Outlays from new discretionary authority .....	617	660	615
4011 Outlays from discretionary balances .....	1,237	1,297	1,291
4020 Outlays, gross (total) .....	1,854	1,957	1,906
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-4	.....	.....
4180 Budget authority, net (total) .....	1,608	1,940	1,808
4190 Outlays, net (total) .....	1,850	1,957	1,906

Nuclear threat reduction is one of the three pillars of the NNSA mission, as identified in the 2015 DOE/NNSA Enterprise Strategic Vision. To achieve this mission, the NNSA strategy is to address the entire nuclear threat spectrum by preventing the acquisition of nuclear weapons or weapons-usable materials, countering efforts to acquire such weapons or materials, and responding to nuclear or radiological incidents.

This appropriation funds the Defense Nuclear Nonproliferation (DNN) program, which primarily supports efforts to prevent nuclear threats, as well as the Nuclear Counterterrorism and Incident Response (NCTIR) program, which primarily supports efforts to counter and respond to nuclear threats. These two programs provide policy and technical leadership to prevent or limit the spread of materials, technology, and expertise relating

to weapons of mass destruction; advance technologies that detect the proliferation of weapons of mass destruction worldwide; eliminate and secure inventories of surplus materials and infrastructure usable for nuclear weapons; ensure a technically trained response to nuclear and radiological incidents worldwide; support the Department's enterprise-wide approach to emergency management; and reduce the danger that hostile nations or terrorist groups may acquire nuclear devices or weapons-usable material, nuclear and dual-use commodities and technology, or nuclear-related expertise that could be used to develop nuclear weapon capabilities by states or non-state actors.

These activities are carried out within the context of a dynamic global security environment, which is described in NNSA's annual report entitled Prevent, Counter, and Respond—A Strategic Plan to Reduce Global Nuclear Threats. This environment is characterized by the persistent vulnerability of nuclear and radiological materials (particularly in regions of conflict); the pressure on arms control and nonproliferation regimes from enduring interest in nuclear weapons capabilities by state- and non-state actors; the global expansion of nuclear power and possible spread of fuel cycle technology; the increasing opportunities for illicit nuclear material trafficking due to expanding global trade volumes and increasingly sophisticated procurement networks; and the rapid advance of technology (including cyber) that may shorten nuclear weapon development pathways and directly affect nuclear safeguards and security missions.

The major elements of the appropriation account include the following:

*Global Material Security (GMS).*—Supports the President's nuclear security agenda and the Secretary's goal of enhancing nuclear security through nonproliferation by working with partner countries to increase the security of vulnerable stockpiles of nuclear weapons, weapons-usable nuclear materials, and radiological materials and to improve partner countries' abilities to deter, detect, and interdict illicit trafficking.

*Material Management and Minimization (M<sup>3</sup>).*—Presents an integrated approach to addressing the persistent threat posed by nuclear materials through a full cycle of materials management and minimization efforts. Consistent with the priorities articulated in the National Security Strategy of the United States and the Nuclear Posture Review, the primary objective of the program is to achieve permanent threat reduction by minimizing and, when possible, eliminating weapons-usable nuclear material around the world.

*Nonproliferation and Arms Control (NPAC).*—Supports activities to prevent the proliferation or use of WMD, including dual-use materials, equipment, technology, and expertise, by state and non-state actors. The NPAC program strengthens the nonproliferation and arms control regimes by developing and implementing programs and strategies to: strengthen international nuclear safeguards; control the spread of dual-use WMD material, equipment, technology, and expertise; verify nuclear reductions and compliance with nonproliferation and arms control treaties and agreements; and develop programs and strategies to address nonproliferation and arms control challenges and opportunities.

*Defense Nuclear Nonproliferation Research and Development (DNN R&D).*—Drives the innovation of unilateral and multi-lateral technical capabilities to detect, identify, and characterize: 1) foreign nuclear weapons programs, 2) illicit diversion of special nuclear materials, and 3) nuclear detonations. To meet national and Departmental nuclear security requirements, DNN R&D leverages the unique facilities and scientific skills of the Department of Energy, academia, and industry for the performance of research, conduct of technology demonstrations, development of prototypes for integration into operational systems, and the conduct of certain counterterrorism R&D activities.

*Nonproliferation Construction.*—Consolidates construction costs for DNN programs previously contained within each program budget. Construction covers Total Project Costs (TPC), which include Other Project Costs (OPC) and Total Estimated Costs (TEC). Currently, the MOX Fuel Fabrication Facility (MFFF) is the only project in this program. However, beginning in FY 2017 the MOX project will be terminated. The Department

will complete pre-conceptual design for the dilute and dispose (D&D) option to establish Critical Decision-0 (CD-0), Approve Mission Need, and begin conceptual design in late FY 2017.

**Nuclear Counterterrorism and Incident Response (NCTIR).**—Strategically manages and deploys expert scientific teams and equipment to provide a technically trained, rapid response to nuclear or radiological incidents and accidents worldwide. NCTIR evaluates and assesses nuclear or radiological threats and leverages that knowledge to provide interagency policy and contingency planning, training and support to national counterterrorism and counterproliferation capabilities. Finally, NCTIR also executes the DOE's emergency management and Operations Support program that manages the Emergency Operations Centers, Emergency Communications Network and Continuity of Operations (COOP) activities.

**Object Classification** (in millions of dollars)

Identification code 089-0309-0-1-053	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	82	95	89
25.2 Other services from non-Federal sources .....	145	169	157
25.3 Other goods and services from Federal sources .....	6	7	6
25.4 Operation and maintenance of facilities .....	1,046	1,219	1,131
25.5 Research and development contracts .....	2	2	2
31.0 Equipment .....	48	56	52
32.0 Land and structures .....	348	405	376
41.0 Grants, subsidies, and contributions .....	8	9	9
99.0 Direct obligations .....	1,685	1,962	1,822
99.0 Reimbursable obligations .....	6		
99.9 Total new obligations .....	1,691	1,962	1,822

**CERRO GRANDE FIRE ACTIVITIES**

**Program and Financing** (in millions of dollars)

Identification code 089-0312-0-1-053	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Cerro Grande Fire Activities.**—Emergency funding was provided in 2000 and 2001 for restoration activities at the Los Alamos National Laboratory in New Mexico after the Cerro Grande Fire in May 2000.

**ENVIRONMENTAL AND OTHER DEFENSE ACTIVITIES**

**Federal Funds**

**DEFENSE ENVIRONMENTAL CLEANUP**

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed one fire apparatus pumper truck, [and one armored vehicle] *one aerial lift truck, one refuse truck, and one semi-truck* for replacement only, [\$5,289,742,000] \$5,382,050,000, to remain available until expended, of which \$155,100,000 shall be transferred to the "Uranium Enrichment Decontamination and Decommissioning Fund": Provided, That of such amount, [\$281,951,000] \$290,050,000 shall be available until September 30, [2017] 2018, for program direction. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 089-0251-0-1-053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Closure Sites .....	5	5	9
0002 Hanford Site .....	938	923	636
0003 River Protection - Tank Farm .....	523	649	646
0004 River Protection - Waste Treatment Plant .....	667	690	693
0005 River Protection - LAWPS .....	23	75	73
0006 Idaho .....	380	396	338
0007 NNSA Sites .....	250	251	72
0008 Oak Ridge .....	221	239	164
0009 Savannah River .....	1,135	1,208	1,099
0010 Waste Isolation Pilot Plant .....	302	300	244
0011 Program Support .....	14	15	15
0012 Safeguards & Security .....	240	237	256
0013 Technology Development & Demonstration .....	16	20	30
0014 Program Direction .....	291	282	290
0015 UED&D Fund Contribution .....	463		155
0016 Infrastructure .....			473
0017 Los Alamos .....			189
0900 Total new obligations .....	5,468	5,290	5,382
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	47	59
1021 Recoveries of prior year unpaid obligations .....	2	12	12
1050 Unobligated balance (total) .....	62	59	71
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,474	5,290	5,382
1131 Unobligated balance of appropriations permanently reduced .....	-21		
1160 Appropriation, discretionary (total) .....	5,453	5,290	5,382
1930 Total budgetary resources available .....	5,515	5,349	5,453
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	47	59	71
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,022	1,984	1,800
3010 Obligations incurred, unexpired accounts .....	5,468	5,290	5,382
3020 Outlays (gross) .....	-5,499	-5,462	-5,408
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-12	-12
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	1,984	1,800	1,762
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,022	1,984	1,800
3200 Obligated balance, end of year .....	1,984	1,800	1,762
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,453	5,290	5,382
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,730	3,703	3,814
4011 Outlays from discretionary balances .....	1,769	1,759	1,594
4020 Outlays, gross (total) .....	5,499	5,462	5,408
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	5,453	5,290	5,382
4080 Outlays, net (discretionary) .....	5,498	5,462	5,408
4180 Budget authority, net (total) .....	5,453	5,290	5,382
4190 Outlays, net (total) .....	5,498	5,462	5,408

The Defense Environmental Cleanup program is responsible for protecting human health and the environment by identifying and reducing risks, as well as managing waste and facilities, at sites where the Department carried out defense-related nuclear research and production activities. Those activities resulted in radioactive, hazardous, and mixed-waste contamination requiring remediation, stabilization, decontamination and decommissioning, or some other type of cleanup action. The budget displays the cleanup program by site and activity.

DEFENSE ENVIRONMENTAL CLEANUP—Continued

**Closure Sites.**—Funds post-closure administration costs after the physical completion of cleanup, including costs for contract closeout and litigation support.

**Hanford Site.**—Funds cleanup and environmental restoration to protect the Columbia River and surrounding communities. The Hanford site cleanup is managed by two Environmental Management (EM) site offices: the Richland Operations Office and the Office of River Protection.

The Richland Office is responsible for cleanup activities on most of the geographic area making up the Hanford site. The primary cleanup focus is decontamination and decommissioning legacy facilities and characterizing and treating contaminated groundwater.

The Office of River Protection is responsible for the safe storage, retrieval, treatment, immobilization, and disposal of 56 million gallons of radioactive waste stored in 177 underground tanks. It is also responsible for related operation, maintenance, engineering, and construction activities, including those connected to the Waste Treatment and Immobilization Plant being built to solidify the liquid tank waste in a glass form that can be safely stored.

**Idaho.**—Funds retrieval, treatment, and disposition of nuclear and hazardous wastes and spent nuclear fuel, and legacy site cleanup activities..

**NNSA Sites.**—Funds the safe and efficient cleanup of the environmental legacy past operations at National Nuclear Security Administration (NNSA) sites including Nevada National Security Site, Sandia National Laboratories, Lawrence Livermore National Laboratory, and the Separations Process Research Unit. The cleanup strategy follows a risk-informed approach that focuses first on those soil and groundwater contaminant plumes and sources that are the greatest contributors to risk. The overall goal is first to ensure that risks to the public and workers are controlled, then to clean up soil and groundwater using a risk-informed methodology. NNSA is responsible for long-term stewardship of its sites after physical cleanup is completed.

**Los Alamos.**—Funds the safe and compliant cleanup of legacy contamination resulting from the Los Alamos National Laboratory's national security mission. Key activities include safe storage and processing of legacy transuranic wastes and remediation of contaminated groundwater. Los Alamos legacy cleanup is managed by the newly formed EM Los Alamos field office.

**Oak Ridge.**—Funds defense-related cleanup of the three facilities that make up the Oak Ridge Reservation: the East Tennessee Technology Park, the Oak Ridge National Laboratory, and the Y-12 Plant. The overall cleanup strategy is based on surface water considerations, encompassing five distinct watersheds that feed the adjacent Clinch River.

**Savannah River Site.**—Funds the safe stabilization, treatment, and disposition of legacy nuclear materials, spent nuclear fuel, and waste at the Savannah River site. Key activities include operating the Defense Waste Processing Facility, which is solidifying the high activity liquid waste contained in underground storage tanks, and the construction of the Salt Waste Processing Facility, which will separate various tank waste components and treat and dispose the low activity liquid waste stream.

**Waste Isolation Pilot Plant.**—Funds the world's first permitted deep geologic repository for the permanent disposal of radioactive waste, and the Nation's only disposal site for defense-generated transuranic waste. The Waste Isolation Pilot Plant, managed by the Carlsbad Field Office, is an operating facility, supporting the disposal of transuranic waste from waste generator and storage sites across the DOE complex. The Waste Isolation Pilot Plant is crucial to the Department of Energy (DOE) completing its cleanup and closure mission.

**Program Direction.**—Funds the Federal workforce responsible for the overall direction and administrative support of the EM program, including both Headquarters and field personnel.

**Program Support.**—Funds management and direction for various cross-cutting EM and DOE initiatives, intergovernmental activities, and analyses and integration activities across DOE in a consistent, responsible, and efficient manner.

**Safeguards and Security.**—Funds activities to protect against unauthorized access, theft, diversion, loss of custody or destruction of DOE assets, and hostile acts that could cause adverse impacts to fundamental national security or the health and safety of DOE and contractor employees, the public or the environment.

**Technology Development and Deployment.**—Funds projects managed through Headquarters to address the immediate, near- and long-term technology needs identified by the EM sites, enabling them to accelerate their cleanup schedules, treat orphaned wastes, improve worker safety, and provide technical foundations for the sites' cleanup decisions. These projects focus on maturing and deploying the technologies necessary to accelerate tank waste processing, treatment, and waste loading.

**Infrastructure.**—Funds the maintenance, repair, and recapitalization of general-purpose infrastructure to support the cleanup mission.

Object Classification (in millions of dollars)

Identification code 089–0251–0–1–053	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	158	153	156
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	163	158	161
12.1 Civilian personnel benefits .....	51	49	50
21.0 Travel and transportation of persons .....	6	6	6
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	11	11	11
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	15	15	15
25.1 Advisory and assistance services .....	1,075	1,040	1,058
25.2 Other services from non-Federal sources .....	261	252	257
25.3 Other goods and services from Federal sources .....	49	47	48
25.4 Operation and maintenance of facilities .....	2,755	2,665	2,711
25.5 Research and development contracts .....	2	2	2
25.6 Medical care .....	15	15	15
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	54	52	53
32.0 Land and structures .....	939	908	924
41.0 Grants, subsidies, and contributions .....	66	64	65
99.9 Total new obligations .....	5,468	5,290	5,382

Employment Summary

Identification code 089–0251–0–1–053	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,389	1,490	1,460

OTHER DEFENSE ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses, necessary for atomic energy defense, other defense activities, and classified activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$776,425,000]** \$791,552,000, to remain available until expended: *Provided*, That of such amount, **[\$249,137,000]** \$258,061,000 shall be available until September 30, **[2017]** 2018, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089–0243–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0008 Environment, Health, Safety, and Security Mission Support .....	182	183	197
0009 Independent Enterprise Assessments .....	71	76	76
0015 Specialized security activities .....	202	236	238
0020 Legacy management .....	172	171	154
0030 Defense related administrative support .....	120	130	120
0060 Hearings and Appeals .....	4	5	6

0100	Subtotal, Direct program activities .....	751	801	791
0799	Total direct obligations .....	751	801	791
0810	Other Defense Activities (Reimbursable) .....	1,466	1,528	1,528
0819	Reimbursable program activities, subtotal .....	1,466	1,528	1,528
0900	Total new obligations .....	2,217	2,329	2,319
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	19	25	.....
1021	Recoveries of prior year unpaid obligations .....	2	.....	.....
1050	Unobligated balance (total) .....	21	25	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	754	776	792
1131	Unobligated balance of appropriations permanently reduced .....	-1	.....	.....
1160	Appropriation, discretionary (total) .....	753	776	792
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	531	1,528	1,559
1701	Change in uncollected payments, Federal sources .....	937	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	1,468	1,528	1,559
1900	Budget authority (total) .....	2,221	2,304	2,351
1930	Total budgetary resources available .....	2,242	2,329	2,351
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	25	.....	32
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	460	1,311	1,254
3010	Obligations incurred, unexpired accounts .....	2,217	2,329	2,319
3020	Outlays (gross) .....	-1,363	-2,386	-2,559
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	1,311	1,254	1,014
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-92	-1,029	-1,029
3070	Change in uncollected pymts, Fed sources, unexpired .....	-937	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-1,029	-1,029	-1,029
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	368	282	225
3200	Obligated balance, end of year .....	282	225	-15
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,221	2,304	2,351
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,002	1,499	1,529
4011	Outlays from discretionary balances .....	361	887	1,030
4020	Outlays, gross (total) .....	1,363	2,386	2,559
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-466	-1,500	-1,530
4033	Non-Federal sources .....	-65	-28	-29
4040	Offsets against gross budget authority and outlays (total) .....	-531	-1,528	-1,559
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-937	.....	.....
4070	Budget authority, net (discretionary) .....	753	776	792
4080	Outlays, net (discretionary) .....	832	858	1,000
4180	Budget authority, net (total) .....	753	776	792
4190	Outlays, net (total) .....	832	858	1,000

**Environment, Health, Safety and Security Mission Support.**—The program supports the Department's health, safety, environment, and security programs to enhance productivity while maintaining the highest standards of safe operation, protection of national assets, and environmental sustainability. As the Department's "environment, health, safety and security advocate," the program works closely with DOE line managers who are ultimately responsible for ensuring that the Department's work is managed and performed in a manner that protects workers and the public as well as the Department's material and information assets. The program functions include: policy and guidance development and technical assistance; analysis of health, safety, environment, and security performance; nuclear safety; domestic and international health studies; medical screening programs for former workers; Energy Employee Occupational Illness Compensation

Program Act support; quality assurance programs; interface with the Defense Nuclear Facilities Safety Board; national security information programs; and security for the Department's facilities and personnel in the National Capital Area.

**Enterprise Assessments.**—The program supports the Department's independent analysis of security, cyber security, emergency management, and environment, safety and health performance; enforcement of worker safety and health, nuclear safety; and classified information security regulations; and implementation of safety and security professional development and training programs.

**Specialized Security Activities.**—The program supports national security related analyses requiring highly specialized skills and capabilities.

**Legacy Management.**—The program supports long-term stewardship activities (e.g., groundwater monitoring, disposal cell maintenance, records management, and management of natural resources) at sites where active remediation has been completed. In addition, Legacy Management funds the pensions and/or post-retirement benefits for former contractor employees.

**Hearings and Appeals.**—The Office of Hearings and Appeals adjudicates personnel security cases, as well as whistleblower reprisal complaints filed by DOE contractor employees. The office is the appeal authority in various other areas, including Freedom of Information Act and Privacy Act appeals. In addition, the office decides requests for exception from DOE orders, rules, regulations, and is responsible for the DOE's alternative dispute resolution function.

**All Other.**—Obligations are included for defense-related administrative support.

**Object Classification** (in millions of dollars)

Identification code 089-0243-0-1-999	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	101	103	100
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	105	107	104
12.1	Civilian personnel benefits .....	32	33	32
21.0	Travel and transportation of persons .....	5	5	5
23.1	Rental payments to GSA .....	2	2	2
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	238	245	245
25.2	Other services from non-Federal sources .....	109	133	138
25.3	Other goods and services from Federal sources .....	43	43	43
25.4	Operation and maintenance of facilities .....	153	169	158
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	12	12	12
41.0	Grants, subsidies, and contributions .....	49	49	49
99.0	Direct obligations .....	751	801	791
99.0	Reimbursable obligations .....	1,466	1,528	1,528
99.9	Total new obligations .....	2,217	2,329	2,319

**Employment Summary**

Identification code 089-0243-0-1-999	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	809	963	976

**DEFENSE NUCLEAR WASTE DISPOSAL**

**Program and Financing** (in millions of dollars)

Identification code 089-0244-0-1-053	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	6	6
1930	Total budgetary resources available .....	6	6	6

DEFENSE NUCLEAR WASTE DISPOSAL—Continued  
Program and Financing—Continued

Identification code 089-0244-0-1-053	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	11	5
3020 Outlays (gross) .....	-3	-6	-4
3050 Unpaid obligations, end of year .....	11	5	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14	11	5
3200 Obligated balance, end of year .....	11	5	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	3	6	4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	3	6	4

In FY 2010, the Department closed the Yucca Mountain Project and the Office of Civilian Radioactive Waste Management. Residual obligations and outlays in this account are associated with Yucca Mountain project closeout activities and remaining legacy activities such as accounting.

ENERGY PROGRAMS

Federal Funds

SCIENCE

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for science activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or facility or for plant or facility acquisition, construction, or expansion, and purchase of not more than 17 passenger motor vehicles for replacement only, including one ambulance and one bus, **[\$5,350,200,000] \$5,572,069,000**, to remain available until expended: *Provided*, That of such amount, **[\$185,000,000] \$204,481,000** shall be available until September 30, **[2017] 2018**, for program direction: *Provided further*, That of such amount, not more than \$115,000,000 shall be made available for the in-kind contributions and related support activities of ITER: *Provided further*, That not later than May 2, 2016, the Secretary of Energy shall submit to the Committees on Appropriations of both Houses of Congress a report recommending either that the United States remain a partner in the ITER project after October 2017 or terminate participation, which shall include, as applicable, an estimate of either the full cost, by fiscal year, of all future Federal funding requirements for construction, operation, and maintenance of ITER or the cost of termination. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-0222-0-1-251	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Basic Energy Sciences .....	1,685	1,849	1,937
0002 Advanced Scientific Computing Research .....	525	621	663
0003 Biological and Environmental Research .....	575	609	662
0004 High Energy Physics .....	746	795	818
0005 Nuclear Physics .....	581	617	636
0006 Fusion Energy Sciences .....	458	438	398
0007 Science Laboratories Infrastructure .....	54	114	130
0008 Science Program Direction .....	184	185	204
0009 Workforce Development for Teachers and Scientists .....	20	20	21
0010 Safeguards and Security .....	95	103	103
0011 Small Business Innovation Research .....	182		
0012 Small Business Technology Transfer .....	25		
0799 Total direct obligations .....	5,130	5,351	5,572
0801 Science (Reimbursable) .....	520	520	520
0900 Total new obligations .....	5,650	5,871	6,092

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	39	35
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	39	39	35
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,071	5,350	5,572
1121 Appropriations transferred from other acct [089-0213] .....	12		
1121 Appropriations transferred from other acct [089-0321] .....	28		
1121 Appropriations transferred from other acct [089-0309] .....	7		
1121 Appropriations transferred from other acct [089-0318] .....	3		
1121 Appropriations transferred from other acct [089-0319] .....	14		
1131 Unobligated balance of appropriations permanently reduced .....	-3	-3	
1160 Appropriation, discretionary (total) .....	5,132	5,347	5,572
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	536	520	520
1701 Change in uncollected payments, Federal sources .....	-18		
1750 Spending auth from offsetting collections, disc (total) .....	518	520	520
1900 Budget authority (total) .....	5,650	5,867	6,092
1930 Total budgetary resources available .....	5,689	5,906	6,127
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	39	35	35

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,219	4,386	4,372
3010 Obligations incurred, unexpired accounts .....	5,650	5,871	6,092
3020 Outlays (gross) .....	-5,469	-5,885	-6,445
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	4,386	4,372	4,019
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-429	-411	-411
3070 Change in uncollected pymts, Fed sources, unexpired .....	18		
3090 Uncollected pymts, Fed sources, end of year .....	-411	-411	-411
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,790	3,975	3,961
3200 Obligated balance, end of year .....	3,975	3,961	3,608

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5,650	5,867	6,092
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,059	3,621	3,752
4011 Outlays from discretionary balances .....	3,410	2,264	2,693
4020 Outlays, gross (total) .....	5,469	5,885	6,445
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-336	-250	-250
4033 Non-Federal sources .....	-200	-270	-270
4040 Offsets against gross budget authority and outlays (total) .....	-536	-520	-520
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	18		
4070 Budget authority, net (discretionary) .....	5,132	5,347	5,572
4080 Outlays, net (discretionary) .....	4,933	5,365	5,925
4180 Budget authority, net (total) .....	5,132	5,347	5,572
4190 Outlays, net (total) .....	4,933	5,365	5,925

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	5,132	5,347	5,572
Outlays .....	4,933	5,365	5,925
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			100
Outlays .....			45
<b>Total:</b>			
Budget Authority .....	5,132	5,347	5,672
Outlays .....	4,933	5,365	5,970

**Advanced Scientific Computing Research.**—The Advanced Scientific Computing Research (ASCR) program supports research in applied mathematics and computer science; delivers the most advanced computational scientific applications in partnership with disciplinary science; advances computing and networking capabilities; and develops future generations

of computing hardware and tools for science, in partnership with the research community and U.S. industry. The strategy to accomplish this has two thrusts: developing and maintaining world-class computing and network facilities for science; and advancing research in applied mathematics, computer science and advanced networking. The program supports the development, maintenance, and operation of large high performance computing and network facilities, including the Leadership Computing Facilities at Oak Ridge and Argonne National Laboratories, the National Energy Research Scientific Computing Facility at Lawrence Berkeley National Laboratory, and the Energy Sciences Network.

The National Strategic Computing Initiative (NSCI) established by executive order in July 2015 to ensure a coordinated Federal strategy in HPC research, development, and deployment will be implemented by DOE, the Department of Defense, and the National Science Foundation. Specifically, the DOE Office of Science and the DOE National Nuclear Security Administration (NNSA) are responsible for the execution of a joint program focused on advanced simulation through a capable exascale computing program, with an emphasis on sustained performance on science and national security mission applications and increased convergence between exascale and large-data analytic computing. The Department of Energy will meet its NSCI assignment through the Exascale Computing Initiative (ECI), which began in FY 2016. The ECI, a partnership between Office of Science and NNSA, will accelerate research and development (R&D) to overcome key exascale challenges in parallelism, energy efficiency, and reliability, leading to deployment of exascale systems in the mid-2020s. Acceleration or advancement is defined as a hundred-fold increase in sustained performance over today's computing capabilities, enabling applications to address next-generation science, engineering, and data problems.

*Basic Energy Sciences.*—The Basic Energy Sciences (BES) program supports fundamental research to understand, predict, and ultimately control matter and energy at the electronic, atomic, and molecular levels in order to provide the foundations for new energy technologies and to support DOE missions in energy, environment, and national security. Key to exploiting such discoveries is the ability to create new materials using sophisticated synthesis and processing techniques, precisely define the atomic arrangements in matter, and control physical and chemical transformations. The energy systems of the future—whether they tap sunlight, store electricity, or make fuel by splitting water or reducing carbon dioxide—will revolve around materials and chemical changes that convert energy from one form to another.

The research disciplines that BES supports—condensed matter and materials physics, chemistry, geosciences, and aspects of physical biosciences—are those that discover new materials and design new chemical processes that touch virtually every important aspect of energy resources, production, conversion, transmission, storage, efficiency, and waste mitigation. BES research provides a knowledge base to help understand, predict, and ultimately control the natural world and helps build the foundation for achieving a secure and sustainable energy future. BES also supports world-class, open-access scientific user facilities consisting of a complementary set of intense x-ray sources, neutron sources, and research centers for nanoscale science. BES facilities probe materials with ultrahigh spatial, temporal, and energy resolutions to investigate the critical functions of matter—transport, reactivity, fields, excitations, and motion—and answer some of the most challenging grand science questions. BES-supported activities are entering a new era in which materials can be built with atom-by-atom precision and computational models can predict the behavior of materials before they exist.

In FY 2017, BES will support optimal operations at all of its scientific user facilities, which will enable additional studies in clean energy research, and will develop next generation tools and technologies at DOE x-ray light sources and Nanoscale Science Research Centers to enable advances in brain imaging and sensing. In addition, BES is a partner in three Department-wide, crosscutting activities: Subsurface Technology and Engineering RD&D (SubTER), the ECI, and Advanced Materials for Energy Innovation.

*Biological and Environmental Research.*—The Biological and Environmental Research (BER) program supports fundamental research and provides scientific user facilities to achieve a predictive understanding of complex biological, climatic, and environmental systems for a secure and sustainable energy future.

The program seeks to understand the biological, biogeochemical, and physical principles needed to predict a continuum of processes occurring at the molecular and genomics-controlled smallest scales to environmental and Earth system change at the largest scales. Starting with the genetic potential encoded by organisms' genomes, BER research seeks to define the principles that guide the translation of the genetic code into functional proteins and the metabolic and regulatory networks underlying the systems biology of plants and microbes as they respond to and modify their environments. This predictive understanding can enable more confident redesign of microbes and plants for sustainable biofuels production, improved carbon storage, and controlled biological transformation of materials such as nutrients and contaminants in the environment. BER research also advances understanding of how the Earth's dynamic, physical, and biogeochemical systems (the atmosphere, land, oceans, sea ice, and subsurface) interact and cause future climate and environmental change, to provide information that will inform plans for future energy and resource needs.

Investments in Biological Systems Science will provide a fundamental understanding in sustainable bioenergy production and a predictive understanding of carbon, nutrient, and contaminant transformation in support of DOE's environmental missions. These investments are aligned with national priorities in Clean Energy and Innovation in life sciences. Genomic Sciences research activities continue with core research at the DOE Bioenergy Research Centers (BRCs) and within the broader subprogram to provide a scientific basis for sustainable and cost effective bioenergy production. Climate and Environmental Research activities will focus on scientific analysis of the sensitivity and uncertainty of climate predictions to physical and biogeochemical processes, with emphasis on Arctic and Tropical environments as part of the Next Generation Ecosystem Experiments (NGEEs). These investments reflect national priorities in Global Climate Change, Information Technology and High Performance Computing, Ocean and Arctic Issues, and R&D for informed policy-making and management. As part of the ECI, BER will be responsible for determining the scope and management of Climate Modeling programs, which demand access to extreme scale computational capabilities.

*Fusion Energy Sciences.*—The Fusion Energy Sciences (FES) program mission is to expand the fundamental understanding of matter at very high temperatures and densities and to build the scientific foundation needed to develop a fusion energy source. This is accomplished through the study of plasma, the fourth state of matter, and how it interacts with its surroundings.

The next frontier for the major international fusion programs is the study of the burning plasma state, in which the fusion process itself provides the dominant heat source for sustaining the plasma temperature. Production of strongly self-heated fusion plasma will allow the discovery and study of a number of new scientific phenomena relevant to fusion energy. These include the effects of highly energetic fusion -produced alpha particles on plasma stability and confinement; the strongly non-linear coupling that will occur among fusion alpha particles, pressure-driven self-generated current, turbulent transport, and boundary-plasma behavior; the properties of materials in the presence of high heat and particle fluxes and neutron irradiation; and the self-organized nature of plasma profiles over long time scales. To support the program mission and its major focus, the U.S. fusion program has four elements: Burning Plasma Science: Foundations; Long Pulse; High Power; and Discovery Plasma Science. To achieve these research goals, FES invests in experimental facilities of various scales, international partnerships leveraging U.S. expertise, large-scale numerical simulations based on experimentally validated theoretical models, development of advanced fusion-relevant materials, and invention of new measurement techniques. The knowledge base being established through FES research supports U.S. goals for future scientific exploration on ITER.

## SCIENCE—Continued

*High Energy Physics.*—The High Energy Physics (HEP) program mission is to understand how the universe works at its most fundamental level by discovering the elementary constituents of matter and energy, probing the interactions among them, and exploring the basic nature of space and time. The High Energy Physics Program offers research opportunities for individual investigators and small-scale collaborations, as well as very large international collaborations. A world-wide program of particle physics research is underway to discover what lies beyond the Standard Model. Five intertwined science drivers of particle physics provide compelling lines of inquiry that show great promise for discovery: use the Higgs Boson as a new tool for discovery; pursue the physics associated with neutrino mass; identify the new physics of dark matter; understand cosmic acceleration, dark energy, and inflation; and explore new particles, interactions and physical principles. The program enables scientific discovery through a strategy organized along three frontiers of particle physics: 1) The Energy Frontier, where researchers accelerate particles to the highest energies ever made by humans and collide them to produce and study the fundamental constituents of matter. This requires some of the largest machines ever built. 2) The Intensity Frontier, where researchers use a combination of intense particle beams and highly sensitive detectors to make extremely precise measurements of particle properties, study some of the rarest particle interactions predicted by the Standard Model of particle physics, and search for new physics. 3) The Cosmic Frontier, where researchers seek to reveal the nature of dark matter and dark energy by using naturally occurring particles to explore new phenomena. The highest-energy particles ever observed have come from cosmic sources, and the ancient light from distant galaxies allows the distribution of dark matter to be mapped and perhaps the nature of dark energy to be unraveled. Investments in Theoretical and Computational Physics, which provides the framework to explain experimental observations and gain a deeper understanding of nature, and Advanced Technology R&D, which fosters fundamental research into particle acceleration and detection techniques and instrumentation, support these three frontiers.

The FY 2017 Budget Request continues implementation of the recommendations contained in the report by the Particle Physics Project Prioritization Panel (P5), which was convened by the High Energy Physics Advisory Panel (HEPAP) in September 2013 in response to a charge from the DOE and the National Science Foundation to develop a ten-year strategic plan for U.S. high energy physics in the context of a 20-year global vision. The Request supports full operation of existing major HEP facilities and experiments; the planned construction funding profile for the Long Baseline Neutrino Facility/Deep Underground Neutrino Experiment (LBNF/DUNE), and the Muon to Electron Conversion Experiment (Mu2e); and fabrication for recent major items of equipment (MIEs) for the Large Underground Xenon (LUX)-ZonEd Proportional scintillation in LIquid Noble gases (ZEPLIN) experiment (LZ), and the Super Cryogenic Dark Matter Search at Sudbury Neutrino Observatory Laboratory (SuperCDMS-SNOLab) experiment. The Request includes capital equipment funding to continue support of the planned funding profiles for the camera for the Large Synoptic Survey Telescope (LSSTcam) project, the Dark Energy Spectroscopic Instrument (DESI) project, the Muon g-2 Experiment, and the U.S. contributions to the LHC ATLAS (A Toroidal LHC Apparatus) Detector, and CMS (Compact Muon Solenoid) Detector upgrades. The Muon g-2 Experiment and LHC detector upgrades complete their funding profiles in FY 2017. Many of the advanced technologies and research tools originally developed for high energy physics have also proven widely applicable to other sciences as well as industry, medicine, and national security.

*Nuclear Physics.*—The Nuclear Physics (NP) program mission is to discover, explore, and understand all forms of nuclear matter. Although the fundamental particles that compose nuclear matter—quarks and gluons—are themselves relatively well understood, exactly how they interact and combine to form the different types of matter observed in the universe today and during its evolution remains largely unknown. Nuclear physicists

seek to understand not just the familiar forms of matter we see around us, but also exotic forms such as those which existed in the first moments after the Big Bang and that exist today inside neutron stars, and to understand why matter takes on the specific forms now observed in nature. The NP program addresses three tightly interrelated scientific thrusts: Quantum Chromodynamics; Nuclei and Nuclear Astrophysics; and Fundamental Symmetries.

The FY 2017 Request provides enhanced support for university and laboratory research. The Request also supports the initiation of the Gamma-Ray Energy Tracking Array (GRETA), a premiere gamma-ray tracking device that will exploit world-leading capabilities of the Facility for Rare Isotope Beams (FRIB). Funding increases for operations at Continuous Electron Beam Accelerator Facility (CEBAF) to support initiation of the full scientific program with the recently upgraded 12 GeV machine and new scientific equipment in the experimental halls. Operations of the Relativistic Heavy Ion Collider (RHIC) facility are also increased. Operations of the ATLAS facility continue to exploit the capabilities of the Californium Rare Ion Breeder Upgrade (CARIBU) as well as newly completed instrumentation. Support for the Isotope Development and Production for Research and Applications (DOE Isotope Program) maintains mission readiness for the production of stable and radioactive isotopes that are in short supply for research and a wide array of applications. Research investments in this subprogram are increased to develop new cutting-edge approaches for important isotopes that are not currently available to the public in sufficient quantities by establishing a full-scale production capability for actinium-225, to enable clinical trials for cancer therapy. Following several years of research supported by the Isotope Program, funding is requested for a Stable Isotope Production Facility (SIPF) to enable the production of a broad range of enriched stable isotopes, a capability that has not been available in the U.S. for almost 20 years. Finally, construction continues according to the baselined profile for the FRIB project, which will provide intense beams of rare isotopes for a wide variety of studies in nuclear structure, nuclear astrophysics, and fundamental symmetries.

*Science Laboratories Infrastructure.*—The Science Laboratories Infrastructure (SLI) program supports scientific and technological innovation at the Office of Science (SC) laboratories by funding and sustaining mission-ready infrastructure and fostering safe and environmentally responsible operations. The program provides state-of-the-art facilities and infrastructure that are flexible, reliable, and sustainable in support of scientific discovery. The SLI program also funds Payments in Lieu of Taxes to local communities around the Argonne, Brookhaven, and Oak Ridge National Laboratories.

*Safeguards and Security.*—The Safeguards and Security (S&S) program is designed to ensure appropriate security measures are in place to support the SC mission requirement of open scientific research and to protect critical assets within SC laboratories. This is accomplished by providing physical controls that will mitigate possible risks to the laboratories' employees, nuclear and special materials, classified and sensitive information, and facilities. The S&S program also provides funding for cyber security for the laboratories' information technology systems to protect electronic data while enabling the SC mission.

*Workforce Development for Teachers and Scientists.*—The Workforce Development for Teachers and Scientists (WDTS) program mission is to help ensure that DOE has a sustained pipeline of science, technology, engineering, and mathematics (STEM) workers. This is accomplished through support of undergraduate internships, graduate thesis research, and visiting faculty programs at the DOE laboratories; the Albert Einstein Distinguished Educator Fellowship for K-12 STEM teachers, administered by WDTS for DOE and for a number of other federal agencies; and annual, nationwide, middle- and high-school science competitions culminating in the National Science Bowl in Washington, D.C. These investments help develop the next generation of scientists and engineers to support the DOE mission, administer programs, and conduct research.

*Program Direction.*— Science Program Direction supports a highly skilled Federal workforce to develop and oversee SC investments in re-

search and scientific user facilities SC investments deliver scientific discoveries and major scientific tools that transform our understanding of nature and advance the energy, economic, and national security of the United States. In addition, SC provides public access to DOE scientific findings to further leverage the Federal science investment and advance the scientific enterprise. SC requires highly skilled scientific and technical program and project managers, as well as experts in areas such as acquisition, finance, legal, construction, and infrastructure management, human resources, and environmental, safety, and health oversight. SC plans, executes, and manages basic science research programs that address critical national needs. Oversight of DOE's basic research portfolio, which includes grants and contracts supporting nearly 23,000 researchers located at 300 universities and other institutions and 17 national laboratories, as well as supervision of major construction projects, is a Federal responsibility.

4100	Outlays, gross:		
4180	Outlays from new mandatory authority	45	
4180	Budget authority, net (total)	100	
4190	Outlays, net (total)	45	

ADVANCED RESEARCH PROJECTS AGENCY—ENERGY

For Department of Energy expenses necessary in carrying out the activities authorized by section 5012 of the America COMPETES Act (Public Law 110-69), **[\$291,000,000] \$350,000,000**, to remain available until expended: *Provided*, That of such amount, **[\$29,250,000] \$32,000,000** shall be available until September 30, **[2017] 2018**, for program direction. *(Energy and Water Development and Related Agencies Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Object Classification (in millions of dollars)		2015 actual	2016 est.	2017 est.
Identification code 089-0222-0-1-251				
11.1	Direct obligations: Personnel compensation: Full-time permanent	106	111	116
11.9	Total personnel compensation	106	111	116
12.1	Civilian personnel benefits	31	32	33
21.0	Travel and transportation of persons	4	4	4
23.1	Rental payments to GSA	1	1	1
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	4	4	4
25.1	Advisory and assistance services	19	20	21
25.2	Other services from non-Federal sources	60	63	66
25.3	Other goods and services from Federal sources	17	18	19
25.4	Operation and maintenance of facilities	3,148	3,285	3,420
25.5	Research and development contracts	192	200	208
26.0	Supplies and materials	2	2	2
31.0	Equipment	160	167	174
32.0	Land and structures	518	540	562
41.0	Grants, subsidies, and contributions	866	903	940
99.0	Direct obligations	5,130	5,352	5,572
99.0	Reimbursable obligations	520	520	520
99.5	Adjustment for rounding		-1	
99.9	Total new obligations	5,650	5,871	6,092

Employment Summary

Identification code 089-0222-0-1-251		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment	902	945	955
2001	Reimbursable civilian full-time equivalent employment	1		

SCIENCE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 089-0222-4-1-251		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Grants			100
0900	Total new obligations (object class 41.0)			100
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation			100
1930	Total budgetary resources available			100
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts			100
3020	Outlays (gross)			-45
3050	Unpaid obligations, end of year			55
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			55
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross			100

Identification code 089-0337-0-1-270		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	ARPA-E Projects	222	262	318
0002	Program Direction	31	29	32
0799	Total direct obligations	253	291	350
0801	Advanced Research Projects Agency - Energy (Reimbursable)	3	3	3
0900	Total new obligations	256	294	353
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	210	244	242
1021	Recoveries of prior year unpaid obligations	7		
1050	Unobligated balance (total)	217	244	242
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	280	291	350
Spending authority from offsetting collections, discretionary:				
1700	Collected	2	1	
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	3	1	
1900	Budget authority (total)	283	292	350
1930	Total budgetary resources available	500	536	592
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	244	242	239

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	433	437	348
3010	Obligations incurred, unexpired accounts	256	294	353
3020	Outlays (gross)	-244	-383	-296
3040	Recoveries of prior year unpaid obligations, unexpired	-7		
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	437	348	405
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	430	433	344
3200	Obligated balance, end of year	433	344	401

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	283	292	350
Outlays, gross:				
4010	Outlays from new discretionary authority	14	16	18
4011	Outlays from discretionary balances	230	367	278
4020	Outlays, gross (total)	244	383	296
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2	-1	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4060	Additional offsets against budget authority only (total)	-1		
4070	Budget authority, net (discretionary)	280	291	350
4080	Outlays, net (discretionary)	242	382	296
4180	Budget authority, net (total)	280	291	350

ADVANCED RESEARCH PROJECTS AGENCY—ENERGY—Continued  
Program and Financing—Continued

Identification code 089-0337-0-1-270	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)	242	382	296

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	280	291	350
Outlays	242	382	296
Legislative proposal, subject to PAYGO:			
Budget Authority			150
Outlays			8
Total:			
Budget Authority	280	291	500
Outlays	242	382	304

The U.S. Department of Energy's Advanced Research Projects Agency-Energy (ARPA-E) was established by the America COMPETES Act of 2007 (Public Law 110-69), as amended. The mission of ARPA-E is to enhance the economic and energy security of the United States through the development of energy technologies that reduce imports of energy from foreign sources, increase energy efficiency, and reduce energy-related emissions, including greenhouse gases. ARPA-E will ensure that the United States maintains a technological lead in developing and deploying advanced energy technologies. ARPA-E will identify and promote revolutionary advances in energy-related applied sciences, translating scientific discoveries and cutting-edge inventions into technological innovations. It will also accelerate transformational technological advances in areas where industry by itself is not likely to invest due to technical and financial uncertainty. The role of ARPA-E is not to duplicate DOE's basic research and applied programs but to focus on novel early-stage energy research and development with technology applications that can be meaningfully advanced with a small investment over a defined period of time.

Object Classification (in millions of dollars)

Identification code 089-0337-0-1-270	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	5	6	6
11.9 Total personnel compensation	5	6	6
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	2	2	2
25.1 Advisory and assistance services	17	20	24
25.3 Other goods and services from Federal sources	5	6	7
25.4 Operation and maintenance of facilities	16	18	22
25.5 Research and development contracts	206	237	287
99.0 Direct obligations	253	291	350
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations	256	294	353

Employment Summary

Identification code 089-0337-0-1-270	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	42	56	56

ADVANCED RESEARCH PROJECTS AGENCY—ENERGY  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 089-0337-4-1-270	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 ARPA-E Projects			135
0002 Program Direction			15
0900 Total new obligations			150

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			150
1930 Total budgetary resources available			150

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			150
3020 Outlays (gross)			-8
3050 Unpaid obligations, end of year			142
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			142

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			150
Outlays, gross:			
4100 Outlays from new mandatory authority			8
4180 Budget authority, net (total)			150
4190 Outlays, net (total)			8

Object Classification (in millions of dollars)

Identification code 089-0337-4-1-270	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			1
11.9 Total personnel compensation			1
12.1 Civilian personnel benefits			1
21.0 Travel and transportation of persons			1
25.1 Advisory and assistance services			10
25.3 Other goods and services from Federal sources			3
25.4 Operation and maintenance of facilities			9
25.5 Research and development contracts			125
99.9 Total new obligations			150

Employment Summary

Identification code 089-0337-4-1-270	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment			10

ENERGY TRANSFORMATION ACCELERATION FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 089-0336-0-1-270	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6		
3020 Outlays (gross)	-4		
3041 Recoveries of prior year unpaid obligations, expired	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6		

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	4		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4		

ENERGY SUPPLY AND CONSERVATION

Program and Financing (in millions of dollars)

Identification code 089-0224-0-1-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7
1050 Unobligated balance (total)	7	7	7
1930 Total budgetary resources available	7	7	7

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>				
Uncollected payments:				
3060	Obligated balance transferred to other accts .....	-2	-2	-2
Memorandum (non-add) entries:				
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
3100	Obligated balance, start of year .....	-2	-2	-2
3200	Obligated balance, end of year .....	-2	-2	-2
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

NUCLEAR ENERGY

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for nuclear energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$986,161,000]** and the purchase of no more than three emergency service vehicles for replacement only, \$993,896,000, to remain available until expended, of which \$61,040,000 shall be derived from the Nuclear Waste Fund: Provided, That of such amount, **[\$80,000,000]** \$88,700,000 shall be available until September 30, **[2017]** 2018, for program direction **[including official reception and representation expenses not to exceed \$10,000]**. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 089-0319-0-1-999	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0032	Reactor Concepts RD&D .....	125	142	109
0041	Fuel Cycle R&D .....	197	204	250
0042	Integrated University Program .....	5	5	
0043	Nuclear Energy Enabling Technologies R&D .....	98	111	89
0091	Research and Development programs, subtotal .....	425	462	448
0301	Radiological Facilities Management .....	20	25	7
0401	Idaho Facilities Management .....	206	222	227
0450	Idaho National Laboratory safeguards and security .....	104	126	129
0451	International Nuclear Safety .....	3		
0491	Infrastructure programs, subtotal .....	313	348	356
0501	Small Modular Reactor Licensing Technical Support Program .....	64	63	89
0502	Supercritical Transformational Electric Power Generation .....		5	
0551	Program Direction .....	83	80	89
0552	International Nuclear Energy Cooperation .....	3	3	5
0591	Other direct program activities, subtotal .....	150	151	183
0799	Total direct obligations .....	908	986	994
0801	Nuclear Energy (Reimbursable) .....	128	109	109
0900	Total new obligations .....	1,036	1,095	1,103
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	108	32	43
1021	Recoveries of prior year unpaid obligations .....	8		
1050	Unobligated balance (total) .....	116	32	43
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	914	986	994
1120	Appropriations transferred to other accts [089-0222] .....	-14		
1121	Appropriations transferred from other acct [072-1037] .....	2		
1131	Unobligated balance of appropriations permanently reduced .....	-80		
1160	Appropriation, discretionary (total) .....	822	986	994
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	108	120	120
1701	Change in uncollected payments, Federal sources .....	22		
1750	Spending auth from offsetting collections, disc (total) .....	130	120	120
1900	Budget authority (total) .....	952	1,106	1,114
1930	Total budgetary resources available .....	1,068	1,138	1,157
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	32	43	54

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	657	706	742
3010	Obligations incurred, unexpired accounts .....	1,036	1,095	1,103
3020	Outlays (gross) .....	-979	-1,059	-1,190
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050	Unpaid obligations, end of year .....	706	742	655
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-47	-69	-69
3070	Change in uncollected pymts, Fed sources, unexpired .....	-22		
3090	Uncollected pymts, Fed sources, end of year .....	-69	-69	-69
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	610	637	673
3200	Obligated balance, end of year .....	637	673	586

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	952	1,106	1,114
Outlays, gross:				
4010	Outlays from new discretionary authority .....	466	613	649
4011	Outlays from discretionary balances .....	513	446	541
4020	Outlays, gross (total) .....	979	1,059	1,190
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-88	-120	-120
4033	Non-Federal sources .....	-20		
4040	Offsets against gross budget authority and outlays (total) ....	-108	-120	-120
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-22		
4070	Budget authority, net (discretionary) .....	822	986	994
4080	Outlays, net (discretionary) .....	871	939	1,070
4180	Budget authority, net (total) .....	822	986	994
4190	Outlays, net (total) .....	871	939	1,070

The Office of Nuclear Energy funds a range of research and development activities as well as supports the Nation's nuclear facilities. The FY 2017 Budget continues programmatic support for advanced reactor R&D activities; fuel cycle R&D, including work on storage, transportation, disposal, and process development activities that support the Administration's Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste; the safe, environmentally compliant, and cost-effective operation of the Department's facilities vital to nuclear energy R&D activities.

**Small Modular Reactor Licensing Technical Support (SMR LTS).**— This program supports first-of-a-kind costs associated with design certification and licensing activities for small modular reactor technologies, and site licensing activities for SMRs through cost-shared arrangements with industry partners. FY 2017 will be the final year of the SMR LTS program.

**Reactor Concepts Research, Development and Demonstration.**— This program develops new and advanced reactor designs and technologies and conducts research and development (R&D) on advanced technologies that improve the reliability, sustain the safety, and extend the life of the current light water reactor (LWR) fleet.

**Fuel Cycle Research and Development.**— This program conducts generic R&D and generic non-R&D activities related to used nuclear fuel (UNF) and nuclear waste management and disposal issues; and conducts R&D on advanced fuel cycle technologies that have the potential to improve resource utilization and energy generation, reduce waste generation, enhance safety, and limit proliferation risk.

**Nuclear Energy Enabling Technologies.**— This program conducts R&D and strategic infrastructure investments to develop innovative and crosscutting nuclear energy technologies, including a strong investment in modeling and simulation tools and providing access to unique nuclear energy research capabilities through the Nuclear Science User Facilities (NSUF).

**Radiological Facilities Management.**— This program supports the continued operation of U.S. university research reactors by providing university research reactor fuel services, as well as maintenance of, and safety upgrades to, fuel fabrication equipment and facilities.

**Idaho Facilities Management.**— This program manages the planning, acquisition, operation, maintenance, and disposition of the Office of Nuc-

NUCLEAR ENERGY—Continued

lear Energy (NE)-owned facilities and capabilities at the Idaho National Laboratory (INL), maintains Department of Energy mission-supporting facilities and capabilities at the INL in a safe, compliant status to support the Department's nuclear energy research, testing of naval reactor fuels and reactor core components, and a diverse range of national security technology programs that support the National Nuclear Security Administration (NNSA) and other federal agencies such as the Department of Homeland Security in the areas of critical infrastructure protection, nuclear nonproliferation, and incident response.

*Idaho Sitewide Safeguards and Security.*— This program supports the INL complex nuclear facility infrastructure and enables R&D in support of multiple program missions.

*International Nuclear Energy Cooperation.*— This program supports the Department's international activities related to civil nuclear energy, including analysis, development, coordination and implementation of international civil nuclear energy policy and integration of the Office of Nuclear Energy's (NE) international nuclear technical activities.

*Program Direction.*— This program provides the federal staffing resources and associated costs required to support the overall direction and execution of the Office of Nuclear Energy (NE) programs.

Object Classification (in millions of dollars)

Identification code 089-0319-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	43	47	50
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	45	49	52
12.1 Civilian personnel benefits .....	14	15	15
21.0 Travel and transportation of persons .....	2	2	2
25.1 Advisory and assistance services .....	9	10	10
25.2 Other services from non-Federal sources .....	110	119	120
25.3 Other goods and services from Federal sources .....	16	17	17
25.4 Operation and maintenance of facilities .....	620	673	643
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	21	23	23
32.0 Land and structures .....	12	13	13
41.0 Grants, subsidies, and contributions .....	59	64	98
99.0 Direct obligations .....	909	986	994
99.0 Reimbursable obligations .....	127	109	109
99.9 Total new obligations .....	1,036	1,095	1,103

Employment Summary

Identification code 089-0319-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	373	372	394
2001 Reimbursable civilian full-time equivalent employment .....	5		

NUCLEAR ENERGY

(Legislative proposal, subject to PAYGO)

In January 2013 the Administration released its Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste. This Strategy lays out a broad outline for a stable, integrated system capable of transporting, storing, and disposing of high-level nuclear waste from civilian nuclear power generation, defense, national security and other activities. In March 2015 the President made the determination that a separate repository for defense waste is required. The Administration is working with Congress to build and implement this new program for managing both commercial and defense high-level nuclear waste and believes that providing adequate and timely funding is critical to success.

Currently approximately 70,000 metric tons heavy metal (MTHM) of used nuclear fuel are stored at 72 commercial sites around the country with almost 2,000 MTHM added to that amount every year. As a result of litigation by contract holders, the government was found in partial breach of contract, and is now liable for damages to some utilities to cover the costs

of that on-site, at-reactor storage. The FY 2017 Budget continues to reflect a more complete estimate of those liability payments in the baseline. Please see additional discussion of the cost of the government's liability in the Budget Process chapter in the Analytical Perspectives volume.

To support the nuclear waste management program over the long term, reform of the current funding arrangement is necessary and the Administration believes the funding system should consist of the following elements: ongoing discretionary appropriations, access to annual fee collections provided in legislation either through their reclassification from mandatory to discretionary or as a direct mandatory appropriation, and eventual access to the balance or "corpus" of the Nuclear Waste Fund. The FY 2017 Budget includes a proposal to implement such reform. Discretionary appropriations are included for this new program for the duration of the effort. These funds would be used to fund expenses that are regular and recurring, such as program management costs, including administrative expenses, salaries and benefits, studies, and regulatory interactions. Mandatory appropriations, in addition to the discretionary funding, are proposed to be provided annually beginning in 2018 to fund the balance of the annual program costs for managing commercial used nuclear fuel. The Department of Energy is currently exploring options for managing defense high-level waste with the goal of a separate, permanent repository. The FY 2017 Budget includes defense discretionary funding for the management of defense high-level waste.

The program envisioned in the FY 2017 Budget is a very long term, flexible, multi-faceted approach to dispose of the nation's commercial and defense waste. The estimated programmatic cost of implementing the Administration's strategy for commercial used nuclear fuel over the first 10 years is approximately \$4.5 billion. As part of this program, the Budget assumes the construction and operation of a pilot interim waste storage facility within the next 10 years as well as notable progress on both full-scale interim storage and long-term permanent geologic disposal. The deployment of pilot interim storage within the next 10 years allows the government to begin picking up waste, thus enabling the collection of one-time fees owed by certain generators that will offset some of this spending. Over the 10-year budget window, the projected net mandatory cost would be in the range of \$700 million. 10-year funding for the management of defense high-level waste is being estimated as the program develops.

The sooner that legislation enables progress on implementing a nuclear waste management program, the lower the ultimate cost will be to the taxpayers. This proposal is intended to limit, and then end, liability costs by making it possible for the government to begin performing on its contractual obligations.

ELECTRICITY DELIVERY AND ENERGY RELIABILITY

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for electricity delivery and energy reliability activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$206,000,000]** \$262,300,000, to remain available until expended: *Provided*, That of such amount, **[\$28,000,000]** \$29,000,000 shall be available until September 30, **[2017]** 2018, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-0318-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Energy Transmission and Reliability .....	30	41	30
0012 Smart Grid R&D .....	17	35	30
0013 Cybersecurity for Energy Delivery Systems .....	45	63	46
0014 Energy Storage .....	11	21	43
0015 Transformer Resilience and Advanced Components .....		5	15
0017 State Energy Assurance .....			15
0018 State Distribution-Level Reform Program .....			15
0019 Grid Institute .....			14
0020 Infrastructure Security and Energy Restoration .....	5	12	18

0030	National Electricity Delivery .....	6	7	7
0040	Program Direction .....	29	29	29
0799	Total direct obligations .....	143	213	262
0801	Reimbursable work .....	3	6	6
0809	Reimbursable program activities, subtotal .....	3	6	6
0900	Total new obligations .....	146	219	268
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	24	26	19
1021	Recoveries of prior year unpaid obligations .....	9		
1050	Unobligated balance (total) .....	33	26	19
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	147	206	262
1120	Appropriations transferred to other accts [089-0222] .....	-3		
1160	Appropriation, discretionary (total) .....	144	206	262
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3	3	3
1701	Change in uncollected payments, Federal sources .....	-8	3	3
1750	Spending auth from offsetting collections, disc (total) .....	-5	6	6
1900	Budget authority (total) .....	139	212	268
1930	Total budgetary resources available .....	172	238	287
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	26	19	19
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	412	170	133
3010	Obligations incurred, unexpired accounts .....	146	219	268
3020	Outlays (gross) .....	-329	-256	-280
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9		
3041	Recoveries of prior year unpaid obligations, expired .....	-50		
3050	Unpaid obligations, end of year .....	170	133	121
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-2	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	8	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-2	-5	-8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	402	168	128
3200	Obligated balance, end of year .....	168	128	113
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	139	212	268
Outlays, gross:				
4010	Outlays from new discretionary authority .....	30	130	163
4011	Outlays from discretionary balances .....	299	126	117
4020	Outlays, gross (total) .....	329	256	280
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2	-3	-3
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-3	-3	-3
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	8	-3	-3
4070	Budget authority, net (discretionary) .....	144	206	262
4080	Outlays, net (discretionary) .....	326	253	277
4180	Budget authority, net (total) .....	144	206	262
4190	Outlays, net (total) .....	326	253	277

The mission of the Office of Electricity Delivery and Energy Reliability (OE) is to drive electric grid modernization and resiliency in energy infrastructure. OE leads the Department of Energy's efforts to strengthen, transform, and improve energy infrastructure so that consumers have access to reliable, secure, and clean sources of energy. OE also leads the Grid Modernization Initiative, which is targeted at coordinating, integrating and executing grid related activities across the Department in response to the Quadrennial Energy Review and Quadrennial Technology Review. OE programs include:

**Clean Energy Transmission and Reliability (CETR).**—The CETR program helps improve the reliability and resiliency of the U.S. transmission system through research and development (R&D) focused on measurement and

control of the electricity system and risk assessment to address challenges across integrated energy systems.

**Smart Grid.**—The Smart Grid program strengthens distribution system modernization by accommodating greater numbers of distributed energy resources (solar photovoltaics, combined heat and power, energy storage, electric vehicles, etc.), enabling higher levels of demand-side management and control practices, and enhancing reliability and resiliency during both normal operations and extreme weather events.

**Cybersecurity for Energy Delivery System (CEDS).**—The CEDS program supports research on cutting edge cybersecurity solutions, information sharing to enhance situational awareness, implementing tools to aid industry to improve their cybersecurity posture, and building an effective, timely, and coordinated cyber incident management capability in the energy sector.

**Energy Storage.**—The Energy Storage program conducts research, development, and demonstrations to enhance the stability, reliability, and flexibility of the electric grid by accelerating the development and deployment of advanced grid-scale energy storage in the electric system.

**Transformer Resilience and Advanced Components (TRAC).**—The TRAC program addresses challenges facing transformers and other critical components, such as geomagnetic disturbances and electromagnetic pulses, in support of grid modernization. Activities will increase the resilience of aging grid assets, identify requirements for next-generation "grid hardware," and accelerate the development, demonstration, and deployment of advanced components.

**Grid Institute.**—The Grid Institute program supports funding for a competitively selected Institute as a part of the President's vision for a larger multi-agency National Network for Manufacturing Innovation (NNMI). This Institute will focus on technologies related to industrial metals for grid application, and advances will be broadly applicable in multiple industries and markets.

**National Electricity Delivery (NED).**—The NED program provides technical assistance to states, regional entities, and tribes to help them develop and improve their programs, policies, and laws that facilitate the development of reliable and affordable electricity infrastructure. The program implements the electricity grid modernization requirements contained in the Energy Policy Act of 2005 and the Energy Independence and Security Act of 2007, and authorizes the export of electric energy and processes permits for the construction of transmission infrastructure across international borders.

**State Distribution-Level Reform Program.**—The State Distribution-Level Reform Program is a new activity in FY 2017 that will competitively award cooperative agreements to states to utilize a grid architecture approach to address their system challenges. The states are well positioned to play important leadership roles, and could benefit from the assistance that the proposed program could provide.

**Infrastructure Security and Energy Restoration (ISER).**—The ISER program leads efforts for securing the U.S. energy infrastructure against all hazards, reducing the impact of disruptive events, and responding to and facilitating recovery from energy disruptions, in collaboration with industry and State and local governments.

**State Energy Assurance.**—The State Energy Assurance program is a new activity in FY 2017 that will provide grants to states, localities, and tribal governments in support of energy assurance.

**Program Direction.**—Program Direction provides for the costs associated with the Federal workforce and contractor services that support OE's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

**Object Classification** (in millions of dollars)

Identification code 089-0318-0-1-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	11	16	16
11.3 Other than full-time permanent .....	1	1	1

ELECTRICITY DELIVERY AND ENERGY RELIABILITY—Continued  
Object Classification—Continued

Identification code 089-0318-0-1-271	2015 actual	2016 est.	2017 est.
11.9 Total personnel compensation .....	12	17	17
12.1 Civilian personnel benefits .....	4	6	7
21.0 Travel and transportation of persons .....	1	1	2
25.1 Advisory and assistance services .....	17	25	32
25.2 Other services from non-Federal sources .....	3	4	5
25.3 Other goods and services from Federal sources .....	3	4	5
25.4 Operation and maintenance of facilities .....	53	81	98
25.5 Research and development contracts .....	49	74	94
32.0 Land and structures .....	1	1	2
99.0 Direct obligations .....	143	213	262
99.0 Reimbursable obligations .....	3	6	6
99.9 Total new obligations .....	146	219	268

Employment Summary

Identification code 089-0318-0-1-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	95	118	118
2001 Reimbursable civilian full-time equivalent employment .....	1		

ENERGY EFFICIENCY AND RENEWABLE ENERGY

[(INCLUDING TRANSFER OF FUNDS)]

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy efficiency and renewable energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, [ \$2,073,000,000 ] \$2,898,400,000, to remain available until expended: *Provided*, That of such amount, [ \$155,000,000 ] \$170,900,000 shall be available until September 30, [2017] 2018, for program direction [ *Provided further*, That of the amount provided under this heading, the Secretary may transfer up to \$45,000,000 to the Defense Production Act Fund for activities of the Department of Energy pursuant to the Defense Production Act of 1950 (50 U.S.C. App. 2061, et seq.) ]. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-0321-0-1-270	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Vehicle Technologies .....	220	310	468
0002 Bioenergy Technologies .....	176	257	279
0003 Hydrogen & Fuel Cell Technologies .....	80	101	105
0091 Sustainable Transportation, subtotal .....	476	668	852
0101 Solar Energy .....	194	282	285
0102 Wind Energy .....	69	137	156
0103 Water Power .....	50	84	80
0104 Geothermal Technologies .....	30	75	100
0191 Renewable Electricity, subtotal .....	343	578	621
0201 Advanced Manufacturing .....	202	280	261
0202 Building Technologies .....	171	202	289
0203 Weatherization & Intergovernmental Activities .....	255	269	326
0204 Federal Energy Management Program .....	25	28	43
0291 Energy Efficiency, subtotal .....	653	779	919
0301 Program Direction & Support .....	177	155	171
0302 Strategic Programs .....	22	21	28
0303 Facilities & Infrastructure .....	56	62	92
0391 EERE Corporate Support, subtotal .....	255	238	291
0401 Regional Energy Innovation Partnerships .....			110
0402 Next-Generation Innovation .....			60
0403 Small Business Partnerships .....			20
0404 Energy Technology Innovation Accelerators .....			25
0491 Crosscutting Innovation Initiatives, Subtotal .....			215
0799 Total direct obligations .....	1,727	2,263	2,898
0810 Energy Efficiency and Renewable Energy (Reimbursable) .....	150	201	201

0900 Total new obligations .....	1,877	2,464	3,099
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Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	408	656	462
1011 Unobligated balance transfer from other acct [072-1037] .....	1		
1021 Recoveries of prior year unpaid obligations .....	130		
1050 Unobligated balance (total) .....	539	656	462
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,937	2,073	2,898
1120 Appropriations transferred to other accts [089-0222] .....	-28		
1120 Appropriations transferred to other accts [097-0360] .....	-45		
1131 Unobligated balance of appropriations permanently reduced .....	-23	-4	
1160 Appropriation, discretionary (total) .....	1,841	2,069	2,898
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	181	201	201
1701 Change in uncollected payments, Federal sources .....	-28		
1750 Spending auth from offsetting collections, disc (total) .....	153	201	201
1900 Budget authority (total) .....	1,994	2,270	3,099
1930 Total budgetary resources available .....	2,533	2,926	3,561
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	656	462	462

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,989	2,108	2,248
3010 Obligations incurred, unexpired accounts .....	1,877	2,464	3,099
3020 Outlays (gross) .....	-2,061	-2,324	-2,505
3040 Recoveries of prior year unpaid obligations, unexpired .....	-130		
3041 Recoveries of prior year unpaid obligations, expired .....	-567		
3050 Unpaid obligations, end of year .....	2,108	2,248	2,842
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-133	-105	-105
3070 Change in uncollected pymts, Fed sources, unexpired .....	28		
3090 Uncollected pymts, Fed sources, end of year .....	-105	-105	-105
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,856	2,003	2,143
3200 Obligated balance, end of year .....	2,003	2,143	2,737

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,994	2,270	3,099
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	476	762	987
4011 Outlays from discretionary balances .....	1,585	1,562	1,518
4020 Outlays, gross (total) .....	2,061	2,324	2,505
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-111	-100	-100
4033 Non-Federal sources .....	-73	-101	-101
4040 Offsets against gross budget authority and outlays (total) .....	-184	-201	-201
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	28		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	31		
4070 Budget authority, net (discretionary) .....	1,841	2,069	2,898
4080 Outlays, net (discretionary) .....	1,877	2,123	2,304
4180 Budget authority, net (total) .....	1,841	2,069	2,898
4190 Outlays, net (total) .....	1,877	2,123	2,304

The Department of Energy's Office of Energy Efficiency and Renewable Energy (EERE) is the U.S. Government's primary clean energy technology organization. EERE works with many of America's best innovators and businesses to support high-impact applied research, development, demonstration, and deployment (RDD&D) activities in sustainable transportation, renewable power, and end-use energy efficiency. EERE implements a range of strategies aimed at reducing our reliance on oil, saving families and businesses money, creating jobs, and reducing pollution. We work to ensure that the clean energy technologies of today and tomorrow are not only invented in America, but also manufactured in America.

Sustainable Transportation:

*Vehicle Technologies.*—This program conducts research and development (R&D) to achieve technology breakthroughs that enable the U.S. to greatly

reduce petroleum consumption and greenhouse gas emissions from light-duty and heavy-duty vehicles. The program focuses on advancing a suite of technologies including batteries and electric drivetrains, lightweight materials, advanced combustion engines, and non-petroleum fuels and lubricants. The program also supports early demonstration, field validation, and community-scale deployment of advanced vehicle technologies.

*Bioenergy Technologies.*—This program funds research, development and demonstration (RD&D) to advance biofuels technologies capable of producing biofuels, bioproducts, and biopower that will help enable a more sustainable transportation sector. The program focuses on biomass feedstock logistics, conversion technologies, and validation of commercial-scale integrated biorefineries. This work is closely coordinated with the Departments of Agriculture and Defense.

*Hydrogen and Fuel Cell Technologies.*—This program supports RD&D to achieve transformative advances in affordable, high efficiency and low emissions hydrogen and fuel cell technologies with the greatest potential to reduce petroleum consumption, greenhouse gas emissions, and criteria air pollutants. The program focuses on automotive fuel cells and hydrogen fuel technologies with crosscutting activities to overcome economic and institutional barriers to their commercial deployment.

#### Renewable Power:

*Solar Energy.*—This program supports solar energy RD&D at universities and the National Laboratories in collaboration with industry to enable cost-competitive and reliable domestic solar energy options manufactured in the United States that enhance our economy, reduce our reliance on fossil fuels, and support a resilient electric grid. The program's main goal under the SunShot Initiative is to make solar energy cost-competitive with other sources of electricity, across the nation and without subsidies, by 2020. To achieve this goal the program focuses on photovoltaic and concentrated solar power technology development, systems integration, balance of system and soft cost reductions, and innovations in manufacturing competitiveness.

*Wind Energy.*—This program develops technology in partnership with industry, academia, and the National Laboratories to improve the reliability and affordability of land-based and offshore wind energy systems. The program supports advanced turbine component research and design, wind resource assessments and modeling, advanced turbine and wind plant system modeling and optimization, and improved approaches to systems interconnection and integration with the electric transmission grid. These efforts also help reduce barriers to technology acceptance, create domestic manufacturing opportunities, and enable increased market penetration of this variable resource.

*Water Power.*—This program conducts RD&D to enable improved, cost-effective, and environmentally responsible renewable power generation from innovative water power technologies. The program supports a diverse array of water power technologies and tools to significantly improve the energy and environmental performance of producing electricity from waves, tides, ocean currents and rivers. The program also supports resource assessments, cost assessments, environmental studies, and advanced modeling aimed at reducing the market barriers to deployment.

*Geothermal Technologies.*—This program conducts RD&D in partnership with industry, academia, and the National Laboratories to improve the discovery, access, and use of new geothermal resources for cost-effective base load renewable electricity generation. The program concentrates on innovative technologies for discovering and developing enhanced geothermal systems (EGS), with complementary work on hydrothermal systems and low-temperature/co-produced resources. The competitively selected Frontier Observatory for Research in Geothermal Energy (FORGE) is a dedicated, DOE-managed, industry/stakeholder operated site for EGS field testing with laboratory accuracy, which will enable transformative, high-impact technologies and techniques to be rapidly demonstrated and improved by increasing technology sharing and leverage with the private sector.

#### Energy Efficiency:

*Advanced Manufacturing.*—This program supports RD&D focused on advanced manufacturing innovations applicable to clean energy products and industrial energy productivity as well as cross-cutting manufacturing process technologies and advanced industrial materials that could increase manufacturing productivity and reduce the costs. Program activities include R&D projects, industrial technical assistance, and managing Clean Energy Manufacturing Innovation Institutes, which are part of a larger inter-agency network aimed at bringing together universities, companies, and government to co-invest in solving industry-relevant manufacturing challenges. The program seeks to develop and assist in the demonstration of materials and processes that reduce energy intensity and the life-cycle energy consumption of manufactured products and promote continuous improvement in energy efficiency among existing facilities and manufacturers.

*Building Technologies.*—This program develops, demonstrates, and promotes the integration of energy efficient practices and technologies in residential and commercial buildings. The program accelerates the availability of technologies and practices through high impact R&D; promotes model building efficiency codes and the promulgation of national lighting and appliance standards; and addresses barriers through integration activities such as Better Buildings, Building America, and the ENERGY STAR partnership with the Environmental Protection Agency (EPA).

*Federal Energy Management Program.*—This program provides technical expertise, training, resources, and contracting support to help Federal agencies meet relevant energy, water, greenhouse gas, transportation, and sustainable buildings goals as defined in statute and Executive Orders.

*Weatherization and Intergovernmental.*—This program supports the deployment of clean energy technologies and practices in partnership with State, local, and U.S. territory governments. The State Energy Program provides technical and financial resources to States to help them achieve their energy efficiency and renewable energy goals. Funding also supports local government energy program and project planning, development, and implementation through technical assistance and grants awarded on a competitive basis. The Weatherization Assistance Program lowers energy use and costs for low income families by supporting energy-efficient home retrofits through State-managed networks of local weatherization providers.

#### Crosscutting Innovation Initiatives:

*Regional Energy Innovation Centers.*—This program supports regionally-focused sustainable transportation, renewable power, and energy efficiency RD&D and innovation ecosystem development initiatives.

*Next Generation Innovation.*—This program funds initial private-sector commercialization of high-promise, emerging early-stage technology concepts across the sustainable transportation, renewable energy, and energy efficiency portfolios.

*Small Business Partnerships.*—This program will enable National Laboratories to partner with small businesses to address their critical clean energy RD&D challenges and opportunities in the sustainable transportation, renewable power, and energy efficiency space.

*Energy Technology Innovation Accelerators.*—This program will enable participating National Laboratories to provide clean energy entrepreneurs with seed funding, technical support, and access to Lab researchers and capabilities.

#### Corporate Programs:

*Program Direction.*—This activity enables EERE to maintain and support a world-class Federal workforce to accomplish its mission to create and sustain American leadership in the global transition to a clean energy economy through high-impact research, development, and demonstration, and deployment market barriers activities to make clean energy as affordable and convenient as traditional forms of energy and through breaking down barriers to market entry.

*Strategic Programs.*—The mission of the Office of Strategic Programs is to increase the effectiveness and impact of all EERE activities by funding and guiding EERE cross-cutting activities, analysis, and support functions. The office focuses on accelerating development, commercialization, and adoption of energy efficiency and renewable energy technologies through

ENERGY EFFICIENCY AND RENEWABLE ENERGY—Continued

strategic partnerships to support the transition of EERE technologies to market; communications and engagement with energy stakeholders; development and catalysis of international markets for U.S. clean energy companies; and analytic support for decision making and management of the EERE portfolio.

*Facilities and Infrastructure.*—This activity supports EERE's clean energy RD&D by providing funding for general plant projects, maintenance and repair, general purpose equipment, upgrades to accommodate new research requirements, and safeguards and security operations at the National Renewable Energy Laboratory (NREL). Facilities and Infrastructure also supports the operation of the NREL Energy Systems Integration Facility as a DOE Technology User Facility. This facility provides component and system testing and grid simulation capability to DOE programs and the private sector, helping to integrate clean energy technologies seamlessly into electrical grid infrastructure and utility operations at the speed and scale required to meet national goals.

**Object Classification** (in millions of dollars)

Identification code 089-0321-0-1-270	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	70	92	92
11.3 Other than full-time permanent .....	5	7	7
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	76	100	100
12.1 Civilian personnel benefits .....	22	29	38
21.0 Travel and transportation of persons .....	5	7	9
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	8	10	13
25.1 Advisory and assistance services .....	78	102	131
25.2 Other services from non-Federal sources .....	60	79	102
25.3 Other goods and services from Federal sources .....	37	48	62
25.4 Operation and maintenance of facilities .....	732	959	1,241
25.5 Research and development contracts .....	129	169	219
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	3	4
41.0 Grants, subsidies, and contributions .....	576	755	977
99.0 Direct obligations .....	1,727	2,263	2,898
99.0 Reimbursable obligations .....	150	201	201
99.9 Total new obligations .....	1,877	2,464	3,099

**Employment Summary**

Identification code 089-0321-0-1-270	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	615	719	697

21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS, DOE

As part of the 21st Century Clean Transportation Plan, the Department of Energy will:

Scale-up clean transportation R&D through initiatives to accelerate cutting the cost of battery technology; advance the next generation of low carbon biofuels, in particular for intermodal freight and fleets; and establish a smart mobility research center to investigate systems level energy implications of vehicle connectivity and automation;

Launch the Clean Fleets Competition program which will use challenge grants to drive cleaner State, Tribal, and local government vehicle fleets, in particular, those for first responders; and

Ensure all Americans have access to at least one alternative fuel by 2020 by providing funding for the development of regional low-carbon fueling infrastructure including electric vehicles, advanced biofuels, fuel cells, and others low-carbon options. In addition, DOE will launch an Electric Vehicle Accelerator Communities program with the goal of de-

ploying 10,000 new grid connected solar powered fast charging stations by 2025 through public-private partnerships.

21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS, DOE

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 089-5673-4-2-990	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Clean Transportation R&D .....			200
0002 Next Generation Biofuels R&D .....			100
0003 Smart Mobility Research Center .....			200
0004 Clean Fleets Competition - Municipalities and First-Responders .....			85
0005 Low-Carbon Fueling Infrastructure Deployment .....			750
0900 Total new obligations .....			1,335
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			1,335
1930 Total budgetary resources available .....			1,335
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			1,335
3020 Outlays (gross) .....			-400
3050 Unpaid obligations, end of year .....			935
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			935
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			1,335
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			400
4180 Budget authority, net (total) .....			1,335
4190 Outlays, net (total) .....			400

**Object Classification** (in millions of dollars)

Identification code 089-5673-4-2-990	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.4 Operation and maintenance of facilities .....			200
25.5 Research and development contracts .....			300
41.0 Grants, subsidies, and contributions .....			835
99.9 Total new obligations .....			1,335

OFFICE OF TECHNOLOGY TRANSITIONS

For Department of Energy expenses necessary for technology transitions and commercialization activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), section 1001 of the Energy Policy Act of 2005 (42 U.S.C. 16391), and the Stephenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3701 et seq.), \$8,400,000, to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 089-0346-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Office of Technology Transitions .....			8
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			8
1930 Total budgetary resources available .....			8
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			8

3020	Outlays (gross) .....			-6
3050	Unpaid obligations, end of year .....			2
	Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....			2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			8
Outlays, gross:				
4010	Outlays from new discretionary authority .....			6
4180	Budget authority, net (total) .....			8
4190	Outlays, net (total) .....			6

*Office of Technology Transitions (OTT).*— The Office of Technology Transitions' function is to help expand the commercial impact of the Department of Energy's portfolio of research, development, demonstration and deployment activities. The office is led by the statutory 'Technology Transfer Coordinator' for the Department and serves a corporate role to coordinate, develop, and implement strategies to transition technologies to the market. The office works with the National Laboratories and other stakeholders to identify high value technological innovations and discoveries, and to inject resources to move them rapidly to commercialization thus enhancing U.S. competitiveness and energy technological leadership. The office implements the Clean Energy Investment Center and manages the Technology Commercialization Fund.

**Object Classification** (in millions of dollars)

Identification code 089-0346-0-1-271	2015 actual	2016 est.	2017 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent .....		1
11.9	Total personnel compensation .....		1
12.1	Civilian personnel benefits .....		1
25.1	Advisory and assistance services .....		1
25.2	Other services from non-Federal sources .....		3
25.4	Operation and maintenance of facilities .....		1
99.0	Direct obligations .....		7
99.5	Adjustment for rounding .....		1
99.9	Total new obligations .....		8

**Employment Summary**

Identification code 089-0346-0-1-271	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....		11

**OFFICE OF INDIAN ENERGY**

*For necessary expenses for Indian Energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C 7101 et seq.), \$22,930,000, to remain available until expended: Provided, That, of the amount appropriated under this heading, \$4,800,000 shall be available until September 30, 2018, for program direction.*

**Program and Financing** (in millions of dollars)

Identification code 089-0342-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Office of Indian Energy (Direct) .....		23
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		23
1930	Total budgetary resources available .....		23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		23
3020	Outlays (gross) .....		-12
3050	Unpaid obligations, end of year .....		11

3200	Memorandum (non-add) entries:			
	Obligated balance, end of year .....			11
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			23
Outlays, gross:				
4010	Outlays from new discretionary authority .....			12
4180	Budget authority, net (total) .....			23
4190	Outlays, net (total) .....			12

*Office of Indian Energy Policy and Programs (OIE).*—The Office is charged to direct, foster, coordinate, and implement energy planning, education, management, and competitive grant programs that assist Tribes with clean energy development and infrastructure, capacity building, energy costs, and electrification of Indian lands and homes. OIE coordinates programmatic activity across the Department related to development of clean energy resources on Indian lands, and works with other federal government agencies, Indian Tribes, and Tribal organizations to promote Indian energy policies and initiatives.

**Object Classification** (in millions of dollars)

Identification code 089-0342-0-1-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....		2
41.0	Grants, subsidies, and contributions .....		21
99.9	Total new obligations .....		23

**Employment Summary**

Identification code 089-0342-0-1-271	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....		15

**NON-DEFENSE ENVIRONMENTAL CLEANUP**

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for non-defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$255,000,000] \$218,400,000**, to remain available until expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0315-0-1-271	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0002	Fast Flux Test Facility .....	3	3	2
0003	Gaseous Diffusion Plants .....	102	104	90
0004	Small Sites .....	82	88	52
0005	West Valley Demonstration Project .....	59	59	62
0006	Infrastructure .....			12
0007	Mercury Storage Facility .....		1	
0799	Total direct obligations .....	246	255	218
0801	Non-defense Environmental Cleanup (Reimbursable) .....	33	29	29
0900	Total new obligations .....	279	284	247
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1050	Unobligated balance (total) .....	1	1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	246	255	218
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	33	29	29
1900	Budget authority (total) .....	279	284	247
1930	Total budgetary resources available .....	280	285	248

NON-DEFENSE ENVIRONMENTAL CLEANUP—Continued  
Program and Financing—Continued

Identification code 089-0315-0-1-271	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	139	152	107
3010 Obligations incurred, unexpired accounts .....	279	284	247
3020 Outlays (gross) .....	-265	-329	-277
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	152	107	77
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	138	151	106
3200 Obligated balance, end of year .....	151	106	76
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	279	284	247
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	169	207	182
4011 Outlays from discretionary balances .....	96	122	95
4020 Outlays, gross (total) .....	265	329	277
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-2		
4033 Non-Federal sources .....	-32	-29	-29
4040 Offsets against gross budget authority and outlays (total) ....	-34	-29	-29
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	246	255	218
4080 Outlays, net (discretionary) .....	231	300	248
4180 Budget authority, net (total) .....	246	255	218
4190 Outlays, net (total) .....	231	300	248

The Non-Defense Environmental Cleanup program includes funds to manage and clean up sites used for civilian energy research and non-defense related activities. These activities resulted in radioactive, hazardous, and mixed waste contamination that requires remediation, stabilization, or some other type of corrective action, as well as the decontamination and decommissioning of former research and production buildings and supporting infrastructure. The budget displays the cleanup program by site and activity.

**West Valley Demonstration Project.**—Funds waste disposition, building decontamination, and removal of non-essential facilities in the near-term.

**Gaseous Diffusion Plants.**—Funds surveillance and maintenance of the former Uranium Program facilities and manages legacy polychlorinated biphenyl contamination. The program also includes the operation of two depleted uranium hexafluoride conversion facilities at Paducah, Kentucky, and Portsmouth, Ohio, which are converting the depleted uranium hexafluoride into a more stable form for reuse or disposition.

**Fast Flux Test Facility.**—Funds the long-term surveillance and maintenance and eventual decontamination and decommissioning of the Fast Flux Test Facility, constructed and operated from the 1960s through 1980s.

**Small Sites.**—Funds cleanup, closure, and post-closure environmental activities at a number of geographic sites across the nation, including the Energy Technology Engineering Center and Moab, as well as non-defense activities at Idaho and Oak Ridge. Some sites are associated with other Department of Energy programs, particularly the Office of Science, and will have continuing missions after EM completes the cleanup. Others will transition to the Office of Legacy Management or private-sector entities for post-closure activities.

**Infrastructure.**—Funds the maintenance, repair, and recapitalization of general-purpose infrastructure to support the cleanup mission.

Object Classification (in millions of dollars)

Identification code 089-0315-0-1-271	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	9	9	8
25.2 Other services from non-Federal sources .....	10	10	9
25.3 Other goods and services from Federal sources .....	3	3	2
25.4 Operation and maintenance of facilities .....	212	220	188
32.0 Land and structures .....	11	12	10
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	246	255	218
99.0 Reimbursable obligations .....	33	29	29
99.9 Total new obligations .....	279	284	247

FOSSIL ENERGY RESEARCH AND DEVELOPMENT

(INCLUDING USE OF PRIOR YEAR BALANCES)

For Department of Energy expenses necessary in carrying out fossil energy research and development activities, under the authority of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition of interest, including defeasible and equitable interests in any real property or any facility or for plant or facility acquisition or expansion, and for conducting inquiries, technological investigations and research concerning the extraction, processing, use, and disposal of mineral substances without objectionable social and environmental costs (30 U.S.C. 3, 1602, and 1603), **[\$632,000,000] \$600,000,000**, to remain available until expended, of which \$240,000,000 shall be from prior year unobligated balances previously appropriated: Provided, That of **[such amount \$114,202,000] the amount made available under this heading in this Act, \$60,998,000** shall be available until September 30, **[2017] 2018**, for program direction: Provided further, That of the \$600,000,000 provided under this heading, \$360,000,000 is appropriated from the general fund and \$240,000,000 is derived from funds appropriated in prior Acts under the headings "Fossil Energy Research and Development" and "Clean Coal Technology" for prior solicitations under the Clean Coal Power Initiative from projects selected under such solicitations that have not reached financial close prior to the date of enactment of this Act: Provided further, That such funds appropriated in prior Acts shall be deobligated, if necessary, and shall be made available for activities under this heading without regard to the provisions in the Act in which the funds were originally appropriated: Provided further, That no amounts may be repurposed pursuant to this paragraph from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 089-0213-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Carbon Capture .....	85	101	170
0003 Carbon Storage .....	107	106	91
0004 Advanced Energy Systems .....	101	105	48
0005 Cross-Cutting Research .....	47	50	59
0007 Program Direction .....			61
0012 Program Direction - Management .....	125	114	
0013 Program Direction - NETL R&D .....	40	53	
0014 Plant and Capital Equipment .....	16	16	
0016 Environmental Restoration .....	6	8	
0017 Special Recruitment Program .....	1	1	
0019 Fuel Supply Impact Mitigation .....			27
0020 Natural gas technologies .....	25	43	
0021 Unconventional FE Technologies .....	9	20	
0022 STEP (Supercritical CO2) .....	10	15	
0024 NETL Research and Operations .....			76
0025 NETL Infrastructure .....			68
0799 Total direct obligations .....	572	632	600
0801 Fossil Energy Research and Development (Reimbursable) .....	2	2	2
0900 Total new obligations .....	574	634	602
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	52	35	275
1021 Recoveries of prior year unpaid obligations .....	6	240	
1050 Unobligated balance (total) .....	58	275	275

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	571	632	360
1120	Appropriations transferred to other acts (089–0222) .....	-12		
1131	Unobligated balance of appropriations permanently reduced .....	-10		
1160	Appropriation, discretionary (total) .....	549	632	360
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	2	2
1900	Budget authority (total) .....	551	634	362
1930	Total budgetary resources available .....	609	909	637
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	35	275	35

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,738	1,178	930
3010	Obligations incurred, unexpired accounts .....	574	634	602
3020	Outlays (gross) .....	-805	-642	-729
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	-240	
3041	Recoveries of prior year unpaid obligations, expired .....	-1,323		
3050	Unpaid obligations, end of year .....	1,178	930	803
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,736	1,176	928
3200	Obligated balance, end of year .....	1,176	928	801

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	551	634	362
Outlays, gross:				
4010	Outlays from new discretionary authority .....	159	254	145
4011	Outlays from discretionary balances .....	646	388	584
4020	Outlays, gross (total) .....	805	642	729
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4033	Non-Federal sources .....	-4	-2	-2
4040	Offsets against gross budget authority and outlays (total) .....	-5	-2	-2
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	3		
4070	Budget authority, net (discretionary) .....	549	632	360
4080	Outlays, net (discretionary) .....	800	640	727
4180	Budget authority, net (total) .....	549	632	360
4190	Outlays, net (total) .....	800	640	727

The Fossil Energy Research and Development (FER&D) program supports research that will improve the Nation's ability to use fossil energy resources cleanly, affordably, and efficiently. The program funds research and development with academia, national laboratories, and the private sector to advance the technology base used to develop new products and processes. FER&D supports activities ranging from early concept research in universities and national laboratories to applied R&D and proof-of-concept projects with private-sector firms.

*Research, Development & Demonstration.*—Program activities, including National Energy Technology Laboratory (NETL) R&D, focus on: 1) CO2 capture technology applicable to both new and existing fossil-fueled facilities including pre-combustion capture, post-combustion capture, advanced combustion technologies such as oxy-combustion, and natural gas carbon capture; 2) CO2 storage, with emphasis on field tests, modeling, simulation, and CO2 monitoring, verification, accounting, and assessment; 3) advanced fossil-fueled power systems that support Carbon Capture and Storage (CCS), including Integrated Gasification Combined Cycle (IGCC) and advanced turbines; and 4) cross-cutting research to bridge fundamental science and applied engineering development. The Department will continue to work with the private sector and academia to conduct and direct research toward overcoming critical challenges to reducing greenhouse gas emissions from fossil energy power generation in the United States. The program will also continue collaborative research and development work with the Environmental Protection Agency and the Department of the Interior to ensure that unconventional oil and gas development is conducted in a

manner that is environmentally sound and protective of human health and safety. In addition, FER&D will conduct work focused on characterizing gas hydrates and will develop technologies to monitor, quantify, and reduce emissions from midstream natural gas infrastructure. NETL Research and Operations includes funding for scientists, engineers and project managers conducting both in-house and collaborative research. NETL Infrastructure includes funding to support the upkeep of a laboratory footprint in three geographic locations — Morgantown, WV; Pittsburgh, PA; and Albany, OR. This includes infrastructure repairs and improvements for both site-wide/general purpose facilities and laboratory/research facilities, including Joule, the fossil energy high performance computer.

*Program Direction and Management Support.* This program provides funding for all headquarters and field personnel and other operating expenses in FER&D. In addition, it provides support for day-to-day project management functions and operating expenses for NETL. Also included is the Import/Export Authorization program, which will continue regulatory reviews and oversight of the transmission of natural gas across the U.S. borders.

**Object Classification** (in millions of dollars)

Identification code 089–0213–0–1–271	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	62	69	70
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	64	71	72
12.1	Civilian personnel benefits .....	20	22	21
21.0	Travel and transportation of persons .....	4	4	4
23.3	Communications, utilities, and miscellaneous charges .....	7	8	8
25.1	Advisory and assistance services .....	115	127	120
25.2	Other services from non-Federal sources .....	10	11	10
25.3	Other goods and services from Federal sources .....	11	12	11
25.4	Operation and maintenance of facilities .....	56	62	58
25.5	Research and development contracts .....	268	297	278
25.7	Operation and maintenance of equipment .....	4	4	4
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	4	4	4
32.0	Land and structures .....	7	8	8
41.0	Grants, subsidies, and contributions .....	1	1	1
99.0	Direct obligations .....	572	632	600
99.0	Reimbursable obligations .....	2	2	2
99.9	Total new obligations .....	574	634	602

**Employment Summary**

Identification code 089–0213–0–1–271	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	582	641	658

**NAVAL PETROLEUM AND OIL SHALE RESERVES**

For Department of Energy expenses necessary to carry out naval petroleum and oil shale reserve activities, **[\$17,500,000]** \$14,950,000, to remain available until expended: *Provided*, That notwithstanding any other provision of law, unobligated funds remaining from prior years shall be available for all naval petroleum and oil shale reserve activities. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 089–0219–0–1–271	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Production and Operations .....	4	17	13
0002	Naval Petroleum and Oil Shale Reserves Program Direction .....	2	21	2
0900	Total new obligations .....	6	38	15
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	22	2

NAVAL PETROLEUM AND OIL SHALE RESERVES—Continued  
Program and Financing—Continued

Identification code 089-0219-0-1-271	2015 actual	2016 est.	2017 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	20	18	15
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	21	18	15
1930 Total budgetary resources available .....	28	40	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	10	11
3010 Obligations incurred, unexpired accounts .....	6	38	15
3020 Outlays (gross) .....	-15	-37	-20
3050 Unpaid obligations, end of year .....	10	11	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	10	11
3200 Obligated balance, end of year .....	10	11	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	18	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	11	9
4011 Outlays from discretionary balances .....	14	26	11
4020 Outlays, gross (total) .....	15	37	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4180 Budget authority, net (total) .....	20	18	15
4190 Outlays, net (total) .....	14	37	20

Following the sale of the government's interests in Naval Petroleum Reserve 1 (NPR-1) in California (Elk Hills), post-sale environmental assessment/remediation activities continue to be required by the legally binding agreements under the Corrective Action Consent Agreement with the State of California Department of Toxic Substances Control (DTSC). Program activities encompass execution of a technical baseline, interim measures, environmental sampling and analysis, corrective measures, waste removal and disposal, and confirmatory sampling. In FY 2017, these activities will continue to serve as the basis for requests to DTSC to release DOE from further corrective action for 131 areas of concern at NPR-1.

The account also funds activities at Naval Petroleum Reserve 3 (NPR-3) in Wyoming (Teapot Dome), a stripper well oil field. On January 30, 2015, the Department finalized the sale of the Teapot Dome Oilfield. The Department will oversee post-sale remediation activities and ground water sampling for the closure of the landfill in compliance with National Environmental Policy Act and Wyoming Department of Environmental Quality requirements.

Object Classification (in millions of dollars)

Identification code 089-0219-0-1-271	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	
12.1 Civilian personnel benefits .....		1	
25.1 Advisory and assistance services .....	1	14	6
25.2 Other services from non-Federal sources .....	3	6	3
25.4 Operation and maintenance of facilities .....	1	16	6
99.9 Total new obligations .....	6	38	15

Employment Summary

Identification code 089-0219-0-1-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	7	8	4

STRATEGIC PETROLEUM RESERVE

For Department of Energy expenses necessary for Strategic Petroleum Reserve facility development and operations and program management activities pursuant to the Energy Policy and Conservation Act (42 U.S.C. 6201 et seq.), **[\$212,000,000]** \$257,000,000, to remain available until expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-0218-0-1-274	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 SPR Management .....	23	25	29
0002 SPR Storage Facilities Development .....	179	187	228
0900 Total new obligations .....	202	212	257
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	5	5
1050 Unobligated balance (total) .....	7	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	212	257
1930 Total budgetary resources available .....	207	217	262
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	86	107	93
3010 Obligations incurred, unexpired accounts .....	202	212	257
3020 Outlays (gross) .....	-181	-226	-218
3050 Unpaid obligations, end of year .....	107	93	132
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	86	107	93
3200 Obligated balance, end of year .....	107	93	132
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	200	212	257
Outlays, gross:			
4010 Outlays from new discretionary authority .....	103	117	141
4011 Outlays from discretionary balances .....	78	109	77
4020 Outlays, gross (total) .....	181	226	218
4180 Budget authority, net (total) .....	200	212	257
4190 Outlays, net (total) .....	181	226	218

The Strategic Petroleum Reserve (SPR) provides strategic and economic security against foreign and domestic disruptions in oil supplies via an emergency stockpile of crude oil. The program fulfills U.S. obligations under the International Energy Program, which avails the U.S. of International Energy Agency assistance through its coordinated energy emergency response plans, and provides a deterrent against energy supply disruptions. This level of funding in FY 2017 will provide for the management, operations, maintenance, and security of the Government's four storage sites and infrastructure, and maintains SPR readiness and capability to respond to energy supply disruptions. The program will continue to address cavern testing and remediation; degasification of crude oil inventory to ensure its availability; increased support for major maintenance requirements to address an aging infrastructure, and includes the addition of a custody transfer flow metering skid for Big Hill site's distribution flexibility and reliability.

Object Classification (in millions of dollars)

Identification code 089-0218-0-1-274	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	11	12	14
12.1 Civilian personnel benefits .....	3	3	4
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	20	21	25
25.4 Operation and maintenance of facilities .....	161	169	205

99.9	Total new obligations .....	202	212	257
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**Employment Summary**

Identification code 089-0218-0-1-274	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	110	126	126
2001 Reimbursable civilian full-time equivalent employment .....	1		

**SPR PETROLEUM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 089-0233-0-1-274	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 SPR Petroleum Account (Direct) .....	240		
0900 Total new obligations (object class 26.0) .....	240		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	251	14	14
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	254	14	14
1930 Total budgetary resources available .....	254	14	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	109	85	49
3010 Obligations incurred, unexpired accounts .....	240		
3020 Outlays (gross) .....	-261	-36	-29
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	85	49	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	109	85	49
3200 Obligated balance, end of year .....	85	49	20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	261	36	29
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	261	36	29

The SPR Petroleum Account was established in the Treasury pursuant to the provisions of the Omnibus Budget Reconciliation Act of 1981 (P.L. 97-35). This account funds all Strategic Petroleum Reserve petroleum inventory acquisitions, associated transportation costs, U.S. Customs duties, terminal throughput charges and other related miscellaneous costs. During an emergency drawdown and sale, the SPR Petroleum Account is the source of funding for the incremental costs of withdrawing oil from the storage caverns and transporting it to the point where purchasers take title. In 2014, the SPR performed an operational Test Sale resulting in \$468,564,599 in receipts. The Northeast Gasoline Supply Reserve was established in the SPR Petroleum Account and funds all aspects of the gasoline reserve. A portion of the test sale receipts (\$235,587,000) were the source for all Gasoline Reserve requirements. Balances will fund activities in FY 2016 and FY 2017.

**ENERGY INFORMATION ADMINISTRATION**

For Department of Energy expenses necessary in carrying out the activities of the Energy Information Administration, **【\$122,000,000】** \$131,125,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 089-0216-0-1-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Obligations by Program Activity .....	117	122	131
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	117	122	131
1930 Total budgetary resources available .....	119	124	133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	37	48
3010 Obligations incurred, unexpired accounts .....	117	122	131
3020 Outlays (gross) .....	-111	-111	-129
3050 Unpaid obligations, end of year .....	37	48	50
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	37	48
3200 Obligated balance, end of year .....	37	48	50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	117	122	131
Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	85	92
4011 Outlays from discretionary balances .....	30	26	37
4020 Outlays, gross (total) .....	111	111	129
4180 Budget authority, net (total) .....	117	122	131
4190 Outlays, net (total) .....	111	111	129

The U.S. Energy Information Administration (EIA) is the statistical and analytical agency within the U.S. Department of Energy. EIA collects, analyzes, and disseminates independent and impartial energy information to promote sound policymaking, efficient markets, and public understanding of energy and its interaction with the economy and the environment. As the Nation's premier source of energy information, EIA conducts a data collection program covering the full spectrum of energy sources, end uses, and energy flows; generates short- and long-term domestic and international energy projections; and performs timely, informative energy analyses. The FY 2017 Budget Request enables EIA to continue its core data collection, analysis, and dissemination activities, while also pursuing four strategic initiatives to provide the public more detailed, timely, and accurate data and analysis in the areas of commercial building efficiency, regional petroleum markets, international trends, and vehicle transportation. EIA will revamp petroleum data and analysis to provide more regional detail, which will better address many policymaker questions and market issues. In addition, EIA will build upon new methodologies from its residential building data collection to realize efficiencies in its commercial building survey and will continue to evolve its energy consumption program by beginning to test methods for tenant-level energy data collections. EIA will continue to collaborate with counterparts in Canada and Mexico to improve the quality and transparency of North American energy data and infrastructure mapping capabilities, in order to better understand domestic energy markets within the context of the world energy system, particularly export scenarios for crude oil, petroleum products, and liquefied natural gas. Finally, EIA will explore options and partnerships to collect and analyze data on personal vehicle transportation related to macroeconomic, demographic, and behavioral changes, which will help in developing projections of motor fuel demand, and also will be highly useful to policymakers who assess, plan, and fund energy infrastructure needs.

ENERGY INFORMATION ADMINISTRATION—Continued

Object Classification (in millions of dollars)

Identification code 089-0216-0-1-276	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	39	41	44
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	40	42	45
12.1 Civilian personnel benefits .....	11	11	12
23.3 Communications, utilities, and miscellaneous charges .....	7	7	8
25.1 Advisory and assistance services .....	43	46	49
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Purchases of goods and services from Government accounts ....	9	9	10
25.4 Operation and maintenance of facilities .....	1	1	1
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	3	3	3
99.9 Total new obligations .....	117	122	131

Employment Summary

Identification code 089-0216-0-1-276	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	329	375	375

FEDERAL ENERGY REGULATORY COMMISSION

SALARIES AND EXPENSES

For expenses necessary for the Federal Energy Regulatory Commission to carry out the provisions of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including services as authorized by 5 U.S.C. 3109, official reception and representation expenses not to exceed \$3,000, and the hire of passenger motor vehicles, **[\$319,800,000] \$346,800,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, not to exceed **[\$319,800,000] \$346,800,000** of revenues from fees and annual charges, and other services and collections in fiscal year **[2016] 2017** shall be retained and used for expenses necessary in this account, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as revenues are received during fiscal year **[2016] 2017** so as to result in a final fiscal year **[2016] 2017** appropriation from the general fund estimated at not more than \$0. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-0212-0-1-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Ensure Just and Reasonable Rates, Terms & Conditions .....	147	153	160
0802 Promote Safe, Reliable, Secure & Efficient Infrastructure .....	110	117	123
0803 Mission Support through Organizational Excellence .....	58	61	64
0900 Total new obligations .....	315	331	347
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	28	18	7
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	29	18	7
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	304	320	347
1930 Total budgetary resources available .....	333	338	354
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	18	7	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	54	43
3010 Obligations incurred, unexpired accounts .....	315	331	347
3020 Outlays (gross) .....	-299	-342	-344
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	54	43	46
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	39	54	43

3200 Obligated balance, end of year .....	54	43	46
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	304	320	347
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	279	288	312
4011 Outlays from discretionary balances .....	20	54	32
4020 Outlays, gross (total) .....	299	342	344
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4034 Offsetting governmental collections .....	-304	-320	-347
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-5	22	-3
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	15	15	15
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	15	15	15

The Federal Energy Regulatory Commission (Commission) regulates and oversees key interstate aspects of the electric power (including hydro-power), natural gas and oil pipeline industries. The Commission assists consumers in obtaining reliable, efficient and sustainable energy services at a reasonable cost through appropriate regulatory and market means. Regulated entities pay fees and charges sufficient to recover the Commission's full cost of operations.

*Ensure Just and Reasonable Rates, Terms, and Conditions.*—One of the Commission's fundamental statutory responsibilities is to ensure that rates, terms and conditions for wholesale sales and transmission of electric energy and for transportation of natural gas are just and reasonable and not unduly discriminatory or preferential. To fulfill this responsibility, the Commission uses a combination of market and regulatory means, complemented by oversight and enforcement measures. For example, the Commission seeks to improve the competitiveness of organized wholesale electric markets, which in turn encourages new entry by supply-side and demand-side resources, spurs innovation and deployment of new technologies, improves operating performance, and exerts downward pressure on costs. The Commission will continue to pursue market reforms to allow all resources to compete in jurisdictional markets on a level playing field. Another example of the Commission's use of market and regulatory means in support of this goal is found in the Commission's requirements for public utility transmission providers to participate in an open and transparent regional transmission planning process and to allocate appropriately the costs of new transmission facilities stemming from such a process. In addition, the Commission approves cost-based, and where appropriate, market-based rates for the interstate transportation of natural gas and oil on jurisdictional pipelines, and for the interstate transmission and wholesale sales of electric energy. The Commission also prevents the accumulation and exercise of market power by reviewing merger and other transactions in the electric industry to ensure that these proposals will not harm the public interest. The Commission accepts tariff provisions, as appropriate, to allow natural gas and oil pipelines and public utilities to modify their services to meet their customers' needs. Oversight and enforcement are essential complements to the Commission's approach to ensure that rates, terms and conditions of service are just and reasonable and not unduly discriminatory or preferential. The Commission will review internal compliance programs as part of its compliance audits, issue publicly available audit reports, and engage in formal and informal outreach efforts to promote effective compliance programs. Audits are planned and prioritized using a risk-based approach in order to maximize the impact of the Commission's resources. The Commission also conducts public and non-public investigations of possible violations of the statutes, regulations, rules, orders, and tariffs administered by the Commission. When violations of sufficient seriousness are discovered, the Commission attempts to resolve the investigation through settlement with appropriate sanctions and future compliance improvements before initiating further enforcement proceedings.

*Promote Safe, Reliable, Secure, and Efficient Infrastructure.*—The Commission plays an important role in the development of energy infra-

structure that operates efficiently, safely and reliably. One aspect of the Commission's role in energy infrastructure development stems from siting authority that includes licensing non-federal hydropower projects, certifying interstate natural gas pipelines and storage projects, authorizing liquefied natural gas (LNG) facilities, and, in certain circumstances, permitting electric transmission lines. Throughout all of these processes, the Commission's goal is to expedite application processing without compromising environmental responsibilities or public participation. The Commission encourages, and sometimes requires, project proponents to engage in early involvement with state and federal agencies, Indian tribes, affected landowners and the public. Another aspect of the Commission's role in energy infrastructure development stems from the Commission's responsibility for the safety of LNG and non-federal hydropower facilities throughout the entire life cycle of a project: design review, construction and operation. To meet this mandate, FERC primarily relies on physical inspections of the facilities. The Commission is incorporating risk-informed decision making into its dam safety program. By doing so, the Commission is focusing its resources on those structures that pose the greatest risk. The Commission also has an important role in protecting the reliability of the Nation's electric transmission grid. A Commission-certified Electric Reliability Organization (ERO) develops and enforces mandatory reliability standards, subject to the Commission's oversight and approval. The Reliability Standards development process uses an open and inclusive process that employs extensive negotiation, consultation and coordination among many stakeholders. Regional Entities may also develop regional Reliability Standards or regional modifications to a national Reliability Standard. In all such cases, the Commission must either accept or remand these filings. The Commission may also, upon its own motion or upon complaint, order the ERO to submit a proposed reliability standard or a modification of an existing reliability standard that addresses a specific reliability matter. Once proposed standards are filed, it is important that the Commission respond in a timely manner so that mandatory and enforceable standards affecting reliability can be implemented in a timely manner. In addition, the Commission will provide leadership, expertise and assistance in identifying, communicating and seeking comprehensive solutions to significant potential cyber and physical security risks to the energy infrastructure under the Commission's jurisdiction.

*Mission Support through Organizational Excellence.*—The public interest is best served when the Commission operates in an efficient, responsive and transparent manner. The Commission achieves this operational state by maintaining processes and providing services in accordance with governing statutes, authoritative guidance, and prevailing best practices. Facilitating understanding of how the Commission carries out its responsibilities and maintaining public trust in the Commission are important components of the Commission's commitment to organizational excellence. Trust and understanding increase acceptance of FERC decisions and reduces the potential for contentiousness toward FERC rules and regulations. Through the use of the Commission's eLibrary and eSubscriptions web pages, the public can obtain extensive information concerning documents both submitted to and issued by the Commission. The Commission also manages several social media sites to promote transparency and open communication. More generally, the Commission prioritizes resource allocations and makes prudent investments in relation to specific program activities or challenges. In meeting this commitment, the Commission is making new investments in its human capital, information technology resources, and physical infrastructure. Because Commission employees are directly responsible for achieving FERC's mission, the Commission allocates over two-thirds of its budget to directly cover the compensation costs of its employees on an annual basis. Given this significant investment, the Commission places extremely high value on its employees and is focused on ensuring their success. The Commission continues to focus its human capital efforts on the competencies and positions most affected by the potential loss of approximately 30 percent of its staff to retirement by FY 2018. The Commission will focus on the execution of its hiring processes to ensure it maxim-

izes allocated financial resources in a timely fashion. At the same time, the headquarters building is currently undergoing a complex multi-year renovation effort to realize mandated space savings with a target of completion during FY 2020. In FY 2016, the Commission is expecting to fund \$10.4 million of the project using prior year unobligated budget authority. The FY 2017 request includes increases of approximately \$16.3 million to continue the modernization effort.

**Object Classification** (in millions of dollars)

Identification code 089-0212-0-1-276	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	165	172	176
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	172	179	183
12.1 Civilian personnel benefits .....	53	54	57
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	23	32	31
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	8	9	11
25.2 Other services from non-Federal sources .....	8	8	9
25.3 Other goods and services from Federal sources .....	2	1	2
25.4 Operation and maintenance of facilities .....	2	2	2
25.7 Operation and maintenance of equipment .....	36	24	22
26.0 Supplies and materials .....	2	3	3
31.0 Equipment .....	1	4	6
32.0 Land and structures .....		7	13
99.9 Total new obligations .....	315	331	347

**Employment Summary**

Identification code 089-0212-0-1-276	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,456	1,480	1,480

**CLEAN COAL TECHNOLOGY**

**Program and Financing** (in millions of dollars)

Identification code 089-0235-0-1-271	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	5	1
1029 Other balances withdrawn to Treasury .....		-4	
1050 Unobligated balance (total) .....	7	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....	-3		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1		
1900 Budget authority (total) .....	-2		
1930 Total budgetary resources available .....	5	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	-2		
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....	-3		
4190 Outlays, net (total) .....	-1		

The Clean Coal Technology Program was established in the 1980s to perform commercial-scale demonstrations of advanced coal-based technologies. All projects have concluded and only closeout activities remain.

ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER PETROLEUM RESEARCH FUND

Program and Financing (in millions of dollars)

Identification code 089-5523-0-2-271	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	97	48	8
3020 Outlays (gross) .....	-49	-40	-8
3050 Unpaid obligations, end of year .....	48	8	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	97	48	8
3200 Obligated balance, end of year .....	48	8	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	49	40	8
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	49	40	8

The Energy Policy Act of 2005 (Public Law 109-58) created a mandatory Ultra-Deepwater and Unconventional Natural Gas and Other Petroleum Research program beginning in 2007. Subtitle J of Title IX of the Energy Policy Act of 2005 (42 U.S.C. 16371 et seq.) was repealed and all unobligated balances in this account were rescinded by the Bipartisan Budget Control Act of FY 2013.

ELK HILLS SCHOOL LANDS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5428-0-2-271	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	15	.....	.....
0198 Rounding adjustment .....	1	.....	.....
0199 Balance, start of year .....	16	.....	.....
2000 Total: Balances and receipts .....	16	.....	.....
Appropriations:			
Current law:			
2101 Elk Hills School Lands Fund .....	-16	.....	.....
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 089-5428-0-2-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Elk Hills School Lands Fund (Direct) .....	16	.....	.....
0900 Total new obligations (object class 41.0) .....	16	.....	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	16	.....	.....
1930 Total budgetary resources available .....	16	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	16	.....	.....
3020 Outlays (gross) .....	-16	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	.....	.....

Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	.....	.....
4180 Budget authority, net (total) .....	16	.....	.....
4190 Outlays, net (total) .....	16	.....	.....

The Elk Hills School Lands Fund provided a source of compensation for the California State Teachers' Retirement System as a result of a settlement with the State of California with respect to its longstanding claim to title of two sections of land within NPR-1. In 2011, the Department and the State of California agreed on the final, last payment of \$15,579,815. The final payment was appropriated and paid in FY 2015.

PAYMENTS TO STATES UNDER FEDERAL POWER ACT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5105-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1110 Licenses under Federal Power Act from Public Lands and National Forests, Payment to States (37 1/2%) .....	4	4	5
2000 Total: Balances and receipts .....	4	4	5
Appropriations:			
Current law:			
2101 Payments to States under Federal Power Act .....	-4	-4	-5
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 089-5105-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to States under Federal Power Act (Direct) .....	4	4	5
0900 Total new obligations (object class 41.0) .....	4	4	5

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	5
1930 Total budgetary resources available .....	4	4	5

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	.....
3010 Obligations incurred, unexpired accounts .....	4	4	5
3020 Outlays (gross) .....	-4	-8	-5
3050 Unpaid obligations, end of year .....	4	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	.....
3200 Obligated balance, end of year .....	4	.....	.....

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	4	5
4101 Outlays from mandatory balances .....	4	4	.....
4110 Outlays, gross (total) .....	4	8	5
4180 Budget authority, net (total) .....	4	4	5
4190 Outlays, net (total) .....	4	8	5

The States are paid 37.5 percent of the receipts from licenses for occupancy and use of national forests and public lands within their boundaries issued by the Federal Energy Regulatory Commission (16 U.S.C. 810).

NORTHEAST HOME HEATING OIL RESERVE

For Department of Energy expenses necessary for Northeast Home Heating Oil Reserve storage, operation, and management activities pursuant to the Energy Policy and Conservation Act (42 U.S.C. 6201 et seq.), **[\$7,600,000]** \$6,500,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 089-5369-0-2-274	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	1	1	1
2000 Total: Balances and receipts	1	1	1
5099 Balance, end of year	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 089-5369-0-2-274	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 NEHOR	4	8	7
0900 Total new obligations (object class 25.2)	4	8	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	10	10
1001 Discretionary unobligated balance brought fwd, Oct 1		10	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	8	7
1131 Unobligated balance of appropriations permanently reduced	-6		
1160 Appropriation, discretionary (total)	2	8	7
1930 Total budgetary resources available	14	18	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	4	6
3010 Obligations incurred, unexpired accounts	4	8	7
3020 Outlays (gross)	-7	-6	-11
3050 Unpaid obligations, end of year	4	6	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	4	6
3200 Obligated balance, end of year	4	6	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority		6	6
4011 Outlays from discretionary balances	7		5
4020 Outlays, gross (total)	7	6	11
4180 Budget authority, net (total)	2	8	7
4190 Outlays, net (total)	7	6	11

The Northeast Home Heating Oil Reserve provides an emergency supply of home heating oil for the Northeast States during times of inventory shortages and significant threats to immediate supply. The FY 2017 Budget continues to maintain a 1 million barrel inventory of ultra-low sulfur distillate, stored in Northeast commercial storage terminals (Groton, CT and Revere, MA), to provide a short-term emergency supplement to the Northeast systems' commercial supply of heating oil.

NUCLEAR WASTE DISPOSAL

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 089-5227-0-2-271	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	32,413	33,836	35,671
0198 Unappropriated special fund receipt adjustment	-3		
0199 Balance, start of year	32,410	33,836	35,671
Receipts:			
Current law:			
1130 Nuclear Waste Disposal Fund		386	388
1140 Earnings on Investments, Nuclear Waste Disposal Fund	1,429	1,453	1,513
1199 Total current law receipts	1,429	1,839	1,901
1999 Total receipts	1,429	1,839	1,901
2000 Total: Balances and receipts	33,839	35,675	37,572

Appropriations:			
Current law:			
2101 Salaries and Expenses	-3	-4	-4
5099 Balance, end of year	33,836	35,671	37,568

**Program and Financing** (in millions of dollars)

Identification code 089-5227-0-2-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Repository	1		
0900 Total new obligations (object class 25.1)	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	13	13
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	14	13	13
1930 Total budgetary resources available	14	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	7	5
3010 Obligations incurred, unexpired accounts	1		
3020 Outlays (gross)	-2	-2	-2
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	7	5	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	7	5
3200 Obligated balance, end of year	7	5	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2	2	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	51,527	51,812	52,265
5001 Total investments, EOY: Federal securities: Par value	51,812	52,265	52,327

A new nuclear waste management approach was outlined in the Administration's January 2013 *Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste* and the FY 2017 Budget reflects this new Strategy. The Budget includes a proposal to implement funding reforms needed to support the new approach, which includes the collection of one-time fees anticipated to begin in the 2026 timeframe. Additional discussion of the proposal can be found in the narrative for the Department of Energy's Nuclear Energy account.

In FY 2010, the Department closed the Yucca Mountain Project and the Office of Civilian Radioactive Waste Management. Residual obligations and outlays in the Nuclear Waste Disposal account are associated with Yucca project closeout activities and remaining legacy activities such as accounting.

URANIUM SUPPLY AND ENRICHMENT ACTIVITIES

*The unappropriated receipts currently in the Uranium Supply and Enrichment Activities account shall be transferred to and merged with the Uranium Enrichment Decontamination and Decommissioning Fund and shall be available only to the extent provided in advance in appropriations Acts.*

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 089-5226-0-2-271	2015 actual	2016 est.	2017 est.
0100 Balance, start of year		861	861
0198 Unappropriated receipt adjustment	861		
0199 Balance, start of year	861	861	861
2000 Total: Balances and receipts	861	861	861

URANIUM SUPPLY AND ENRICHMENT ACTIVITIES—Continued  
Special and Trust Fund Receipts—Continued

Identification code 089-5226-0-2-271	2015 actual	2016 est.	2017 est.
Appropriations:			
Current law:			
2101 Uranium Supply and Enrichment Activities .....			-861
5099 Balance, end of year .....	861	861	

Program and Financing (in millions of dollars)

Identification code 089-5226-0-2-271	2015 actual	2016 est.	2017 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			861
1120 Appropriations transferred to other acct [089-5231] .....			-861
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account funded operations of the Department's uranium enrichment facilities for commercial sales prior to 1992. These facilities are now shut down and are significantly contaminated by decades of operations for defense and non-defense activities. Under the Energy Policy Act of 1992, the Uranium Enrichment Decontamination and Decommissioning (UED&D) Fund pays, subject to appropriation, the decontamination and decommissioning costs of the Department's gaseous diffusion plants in Tennessee, Ohio, and Kentucky. The Administration proposes to transfer the amount remaining in this account to the UED&D Fund due to higher-than-expected cleanup costs. Funding so transferred will be precluded from obligation until appropriated for the authorized purpose of the UED&D Fund.

URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND

For Department of Energy expenses necessary in carrying out uranium enrichment facility decontamination and decommissioning, remedial actions, and other activities of title II of the Atomic Energy Act of 1954, and title X, subtitle A, of the Energy Policy Act of 1992, \$673,749,000, to be derived from the Uranium Enrichment Decontamination and Decommissioning Fund, to remain available until expended, of which \$32,959,000 shall be available in accordance with title X, subtitle A, of the Energy Policy Act of 1992. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5231-0-2-271	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3,008	2,884	2,306
Receipts:			
Current law:			
1140 Earnings on Investments, Decontamination and Decommissioning Fund .....	38	96	92
1140 General Fund Payment - Defense, Decontamination and Decommissioning Fund .....	463		155
1199 Total current law receipts .....	501	96	247
Proposed:			
1210 Assessments, Decontamination and Decommissioning Fund .....			208
1240 Earnings on Investments, Decontamination and Decommissioning Fund .....			16
1299 Total proposed receipts .....			224
1999 Total receipts .....	501	96	471
2000 Total: Balances and receipts .....	3,509	2,980	2,777
Appropriations:			
Current law:			
2101 Uranium Enrichment Decontamination and Decommissioning Fund .....	-625	-674	
2134 Uranium Enrichment Decontamination and Decommissioning Fund .....			861
2199 Total current law appropriations .....	-625	-674	861
2999 Total appropriations .....	-625	-674	861

5099 Balance, end of year .....	2,884	2,306	3,638
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Program and Financing (in millions of dollars)

Identification code 089-5231-0-2-271	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Oak Ridge .....	170	195	
0002 Paducah .....	265	200	
0003 Portsmouth .....	215	225	
0004 Pension and Community and Regulatory Support .....	23	21	
0005 Title X Uranium/Thorium Reimbursement Program .....	10	33	
0900 Total new obligations .....	683	674	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	67	9	9
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	625	674	
1121 Appropriations transferred from other acct [089-5226] .....			861
1134 Appropriations precluded from obligation .....			-861
1160 Appropriation, discretionary (total) .....	625	674	
1900 Budget authority (total) .....	625	674	
1930 Total budgetary resources available .....	692	683	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
Special and non-revolving trust funds:			
1955 Unobligated balances withdrawn and returned to general fund .....	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	274	324	306
3010 Obligations incurred, unexpired accounts .....	683	674	
3020 Outlays (gross) .....	-633	-692	-306
3050 Unpaid obligations, end of year .....	324	306	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	274	324	306
3200 Obligated balance, end of year .....	324	306	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	625	674	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	375	472	
4011 Outlays from discretionary balances .....	258	220	306
4020 Outlays, gross (total) .....	633	692	306
4180 Budget authority, net (total) .....	625	674	
4190 Outlays, net (total) .....	633	692	306
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value .....	3,344	3,183	2,571
5001 Total investments, EOY: Federal securities: Par value .....	3,183	2,571	3,263

Decontamination and Decommissioning Activities.—Funds: 1) projects to decontaminate, decommission, and remediate the sites and facilities of the gaseous diffusion plants at Portsmouth, Ohio; Paducah, Kentucky; and East Tennessee Technology Park, Oak Ridge, Tennessee; 2) pensions and post-retirement medical benefits for active and inactive gaseous diffusion plant workers.

Uranium and Thorium Reimbursement Program.—Provides reimbursement to uranium and thorium licensees for the Government's share of cleanup costs pursuant to Title X of the Energy Policy Act of 1992.

Object Classification (in millions of dollars)

Identification code 089-5231-0-2-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	13	13	
25.2 Other services from non-Federal sources .....	49	48	
25.4 Operation and maintenance of facilities .....	619	611	
41.0 Grants, subsidies, and contributions .....	2	2	
99.9 Total new obligations .....	683	674	

URANIUM SALES AND REMEDIATION

Program and Financing (in millions of dollars)

Identification code 089-5530-0-2-271	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Energy and Water Development Appropriations Act of 2006 provided the Department of Energy authority to barter, transfer, or sell uranium and to use any proceeds, without fiscal year limitation, to remediate contaminated uranium inventories held by the Secretary of Energy.

ISOTOPE PRODUCTION AND DISTRIBUTION PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 089-4180-0-3-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Isotope Production and Distribution Reimbursable program .....	58	57	57
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	9	7
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	52	55	55
1930 Total budgetary resources available .....	67	64	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	7	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	39	32
3010 Obligations incurred, unexpired accounts .....	58	57	57
3020 Outlays (gross) .....	-60	-64	-60
3050 Unpaid obligations, end of year .....	39	32	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	41	39	32
3200 Obligated balance, end of year .....	39	32	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	55	55
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	55	55
4011 Outlays from discretionary balances .....	42	9	5
4020 Outlays, gross (total) .....	60	64	60
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-20	-19	-19
4033 Non-Federal sources .....	-32	-36	-36
4040 Offsets against gross budget authority and outlays (total) ....	-52	-55	-55
4080 Outlays, net (discretionary) .....	8	9	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	8	9	5

Object Classification (in millions of dollars)

Identification code 089-4180-0-3-271	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
25.4 Operation and maintenance of facilities .....	55	54	54
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1	1	1

99.9 Total new obligations .....	58	57	57
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ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM

For Department of Energy administrative expenses necessary in carrying out the Advanced Technology Vehicles Manufacturing Loan Program, **[\$6,000,000]** \$5,000,000, to remain available until September 30, **[2017]** 2018. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 089-0322-0-1-272	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	19	170	119
0706 Interest on reestimates of direct loan subsidy .....	15		
0709 Administrative expenses .....	4	6	5
0900 Total new obligations .....	38	176	124

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,313	4,294	4,124
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4,313		
1050 Unobligated balance (total) .....	4,313	4,294	4,124
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	6	5
Appropriations, mandatory:			
1200 Appropriation .....	15		
1900 Budget authority (total) .....	19	6	5
1930 Total budgetary resources available .....	4,332	4,300	4,129
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,294	4,124	4,005

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	47	176
3010 Obligations incurred, unexpired accounts .....	38	176	124
3020 Outlays (gross) .....	-19	-47	-75
3050 Unpaid obligations, end of year .....	47	176	225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	47	176
3200 Obligated balance, end of year .....	47	176	225

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	4	6	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	4
4011 Outlays from discretionary balances .....	4	43	71
4020 Outlays, gross (total) .....	4	47	75
Mandatory:			
4090 Budget authority, gross .....	15		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	15		
4180 Budget authority, net (total) .....	19	6	5
4190 Outlays, net (total) .....	19	47	75

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 089-0322-0-1-272	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Auto Loans .....	259	3,400	2,500
Direct loan subsidy (in percent):			
132001 Direct Auto Loans .....	7.28	5.01	4.75
132999 Weighted average subsidy rate .....	7.28	5.01	4.75
Direct loan subsidy budget authority:			
133001 Direct Auto Loans .....	19	170	119
Direct loan subsidy outlays:			
134001 Direct Auto Loans .....		43	69
Direct loan reestimates:			
135001 Direct Auto Loans .....	-4	-12	
Administrative expense data:			
3580 Outlays from balances .....	2		
3590 Outlays from new authority .....	2		

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM—Continued

Section 136 of the Energy Independence and Security Act of 2007 established a direct loan program to support the development of advanced technology vehicles and associated components in the United States, known as the Advanced Technology Vehicles Manufacturing Loan Program (ATVM). The 2009 Continuing Resolution (CR), enacted on September 30, 2008, appropriated \$7.5 billion to support a maximum of \$25 billion in loans under the ATVM. The ATVM provides loans to automobile and automobile part manufacturers for the cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components and for associated engineering integration costs.

The FY 2017 Budget reflects placeholder estimates for direct loan subsidy costs. These estimates are not related to any specific project proposals. DOE will calculate the credit subsidy cost of any direct loan on a case-by-case basis in accordance with Federal Credit Reform Act of 1990 (FCRA) and OMB Circular A-11. For any project, the terms and conditions of the loan, the risks associated with the project, and any other factor that affects the amount and timing of such cash flows will affect the credit subsidy cost calculations.

The Department requests \$5,000,000 in FY 2017 to operate ATVM and support personnel and associated costs. To ensure that the Department meets statutory and regulatory requirements and implements effective management and oversight of its direct loan activities, program funding also will support the procurement of providers of outside expertise in areas such as finance, project engineering, and commercial market assessment. The costs of these outside advisors are paid from the ATVM administrative budget.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans committed in 1992 and beyond (including modifications of direct loans that resulted from obligations or commitments in any year), as well as the administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 089-0322-0-1-272	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
25.1 Advisory and assistance services .....	1	2	1
25.3 Other goods and services from Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	34	170	119
99.9 Total new obligations .....	38	176	124

**Employment Summary**

Identification code 089-0322-0-1-272	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	7	14	16

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 089-4579-0-3-272	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	259	3,400	2,500
0713 Payment of interest to Treasury .....	1	1	2
0715 Interest paid to FFB .....	128	129	159
0742 Downward reestimate paid to receipt account .....	19	11	.....
0743 Interest on downward reestimates .....	.....	1	.....
0900 Total new obligations .....	407	3,542	2,661

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	179	182	962
1023 Unobligated balances applied to repay debt .....	-129	.....	.....
1050 Unobligated balance (total) .....	50	182	962
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	262	3,410	2,505
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	779	784	848
1801 Change in uncollected payments, Federal sources .....	19	128	50
1825 Spending authority from offsetting collections applied to repay debt .....	-521	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	277	912	898
1900 Budget authority (total) .....	539	4,322	3,403
1930 Total budgetary resources available .....	589	4,504	4,365
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	182	962	1,704

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	781	1,040	3,705
3010 Obligations incurred, unexpired accounts .....	407	3,542	2,661
3020 Outlays (gross) .....	-148	-877	-1,688
3050 Unpaid obligations, end of year .....	1,040	3,705	4,678
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-24	-43	-171
3070 Change in uncollected pymts, Fed sources, unexpired .....	-19	-128	-50
3090 Uncollected pymts, Fed sources, end of year .....	-43	-171	-221
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	757	997	3,534
3200 Obligated balance, end of year .....	997	3,534	4,457

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	539	4,322	3,403
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	148	877	1,688
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Payment from program account .....	.....	-43	-69
4120 Interest on Reestimate .....	-15	.....	.....
4122 Interest on uninvested funds .....	-5	-13	-34
4123 Non-Federal sources (interest) .....	-109	-98	-110
4123 Non-Federal sources (principal) .....	-650	-626	-632
4123 Other Income - Fees .....	.....	-4	-3
4130 Offsets against gross budget authority and outlays (total) .....	-779	-784	-848
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-19	-128	-50
4160 Budget authority, net (mandatory) .....	-259	3,410	2,505
4170 Outlays, net (mandatory) .....	-631	93	840
4180 Budget authority, net (total) .....	-259	3,410	2,505
4190 Outlays, net (total) .....	-631	93	840

**Status of Direct Loans** (in millions of dollars)

Identification code 089-4579-0-3-272	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1121 Limitation available from carry-forward .....	16,939	16,680	13,280
1143 Unobligated limitation carried forward (PL 110-329) (-) .....	-16,680	-13,280	-10,780
1150 Total direct loan obligations .....	259	3,400	2,500
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	5,160	4,510	4,619
1231 Disbursements: Direct loan disbursements .....	.....	735	1,526
1251 Repayments: Repayments and prepayments .....	-650	-626	-632
1290 Outstanding, end of year .....	4,510	4,619	5,513

**Balance Sheet** (in millions of dollars)

Identification code 089-4579-0-3-272	2014 actual	2015 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	155	139
<b>Investments in US securities:</b>		
1106 Receivables, net .....	28	9
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	5,160	4,510

1402	Interest receivable .....	5	4
1405	Allowance for subsidy cost (-) .....	-128	-102
1499	Net present value of assets related to direct loans .....	5,037	4,412
1999	Total assets .....	5,220	4,560
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....	33	20
2103	Debt .....	5,187	4,540
2999	Total liabilities .....	5,220	4,560
4999	Total upward reestimate subsidy BA [89-0322] .....	5,220	4,560

**TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM**

**[Such]** Subject to section 502 of the Congressional Budget Act of 1974, commitments to guarantee loans under title XVII of the Energy Policy Act of 2005 shall not exceed a total principal amount of \$4,000,000,000 for eligible projects, to remain available until committed: Provided, That such amounts are in addition to those provided in any other Act: Provided further, That such sums as are derived from amounts received from borrowers pursuant to section 1702(b) of the Energy Policy Act of 2005 under this heading in prior Acts, shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided, That, for necessary administrative expenses to carry out this Loan Guarantee program, **[\$42,000,000]** \$37,000,000 is appropriated from fees collected in prior years pursuant to section 1702(h) of the Energy Policy Act of 2005 which are not otherwise appropriated, to remain available until September 30, **[2017]** 2018: Provided further, That if the amount in the previous proviso is not available from such fees, an amount for such purposes is also appropriated from the general fund so as to result in a total amount appropriated for such purpose of no more than \$37,000,000: Provided further, That **[\$25,000,000 of the]** fees collected pursuant to such section 1702(h) **[of the Energy Policy Act of 2005]** for fiscal year 2017 shall be credited as offsetting collections **[to this account to cover administrative expenses and shall remain available until expended, so as to result in a final fiscal year 2016 appropriation from the general fund estimated at not more than \$17,000,000: Provided further, That fees collected under section 1702(h) in excess of the amount appropriated for administrative expenses]** under this heading and shall not be available until appropriated: Provided further, That the Department of Energy shall not subordinate any loan obligation to other financing in violation of section 1702 of the Energy Policy Act of 2005 or subordinate any Guaranteed Obligation to any loan or other debt obligations in violation of section 609.10 of title 10, Code of Federal Regulations. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 089-0208-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701	Direct loan subsidy .....	28	27
0705	Reestimates of direct loan subsidy .....	5	4
0706	Interest on reestimates of direct loan subsidy .....	41	37
0709	Administrative expenses .....	37	42
0900	Total new obligations .....	83	111
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	629	667
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	128	667
1021	Recoveries of prior year unpaid obligations .....	31	
1050	Unobligated balance (total) .....	660	667
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	31	
Appropriations, mandatory:			
1200	Appropriation .....	46	41
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	12	43
1701	Change in uncollected payments, Federal sources .....	1	-1
1702	Offsetting collections (previously unavailable) .....		37
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....		-27
1750	Spending auth from offsetting collections, disc (total) .....	13	42
1900	Budget authority (total) .....	90	83
1930	Total budgetary resources available .....	750	750

1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year .....	667	639	612
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	128	65	77
3010	Obligations incurred, unexpired accounts .....	83	111	64
3020	Outlays (gross) .....	-115	-99	-103
3040	Recoveries of prior year unpaid obligations, unexpired .....	-31		
3050	Unpaid obligations, end of year .....	65	77	38
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	1	
3090	Uncollected pymts, Fed sources, end of year .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	128	64	77
3200	Obligated balance, end of year .....	64	77	38

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	44	42	37
Outlays, gross:				
4010	Outlays from new discretionary authority .....	27	42	37
4011	Outlays from discretionary balances .....	42	16	66
4020	Outlays, gross (total) .....	69	58	103
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	
4033	Non-Federal sources .....	-11	-42	-27
4040	Offsets against gross budget authority and outlays (total) ...	-12	-43	-27
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1	1	
4070	Budget authority, net (discretionary) .....	31		10
4080	Outlays, net (discretionary) .....	57	15	76
Mandatory:				
4090	Budget authority, gross .....	46	41	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	46	41	
4180	Budget authority, net (total) .....	77	41	10
4190	Outlays, net (total) .....	103	56	76
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	47	47	47
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	47	47	37

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 089-0208-0-1-271	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001	Section 1703 FFB Loans (Self Pay) .....	1,691	8,000
115003	Section 1703 FFB Loans (EERE) .....		200
115999	Total direct loan levels .....	1,691	8,200
Direct loan subsidy (in percent):			
132001	Section 1703 FFB Loans (Self Pay) .....	-1.24	0.00
132003	Section 1703 FFB Loans (EERE) .....		14.06
132999	Weighted average subsidy rate .....	-1.24	0.34
Direct loan subsidy budget authority:			
133001	Section 1703 FFB Loans (Self Pay) .....	-21	
133003	Section 1703 FFB Loans (EERE) .....		28
133999	Total subsidy budget authority .....	-21	28
Direct loan subsidy outlays:			
134001	Section 1703 FFB Loans (Self Pay) .....	-64	-48
134002	Section 1705 FFB Loans .....	19	8
134003	Section 1703 FFB Loans (EERE) .....		4
134999	Total subsidy outlays .....	-45	-36
Direct loan reestimates:			
135001	Section 1703 FFB Loans (Self Pay) .....	5	-14
135002	Section 1705 FFB Loans .....	-67	15
135999	Total direct loan reestimates .....	-62	1
Guaranteed loan subsidy outlays:			
234002	Section 1705 Loan Guarantees .....	13	
234999	Total subsidy outlays .....	13	
Guaranteed loan reestimates:			
235002	Section 1705 Loan Guarantees .....	-24	-71
235999	Total guaranteed loan reestimates .....	-24	-71

TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 089-0208-0-1-271	2015 actual	2016 est.	2017 est.
Administrative expense data:			
3590 Outlays from new authority .....	25		

The Loan Programs Office (LPO) will consider and coordinate Departmental action on all loan guarantee applications submitted to the Department of Energy in compliance with Title XVII of the Energy Policy Act of 2005 (EPA Act of 2005). Section 1703 of that Act authorizes the Department to provide loan guarantees for projects in categories including renewable energy systems, advanced nuclear facilities, coal gasification, carbon sequestration, energy efficiency, and various other types of projects. These projects must avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; employ new or significantly improved technologies compared to commercial technologies in service in the United States at the time the guarantee is issued; and offer a reasonable prospect of repayment of the principal and interest on the guaranteed obligation. DOE has been implementing Section 1703 of this program under authorizing law that allows borrowers to pay the credit subsidy costs of these loan guarantees ("self-pay" authority).

Section 406 of the American Recovery and Reinvestment Act of 2009, P.L. No. 111-5 (the "Recovery Act"), amended the LGPO's authorizing legislation, by establishing Section 1705, a temporary program for the rapid deployment of renewable energy and electric power transmission projects. For the Section 1705 program, \$2.435 billion (after rescissions and transfers) in appropriated credit subsidy was provided, which allowed the Secretary to make loan guarantees available for the following categories of projects that commenced construction not later than September 30, 2011: renewable energy systems, including incremental hydropower, that generate electricity or thermal energy, and facilities that manufacture related components; electric power transmission systems, including upgrading and reconducting projects; and leading edge biofuel projects that will use technologies performing at the pilot or demonstrations scale that the Secretary determines are likely to become commercial technologies and will produce transportation fuels that substantially reduce life-cycle greenhouse gas emissions compared to other transportation fuels. The authority to enter into loan guarantees under Section 1705 expired on September 30, 2011.

The decision to issue loan guarantees depends on the merits and benefits of particular project proposals and their compliance with statutory and regulatory requirements.

As of January 2016, \$24.9 billion in self-pay loan guarantee authority is available to support projects eligible under Section 1703. In addition, the FY 2011 full-year continuing resolution provided \$170 million in appropriated credit subsidy for Section 1703 loan guarantees for energy efficiency and renewable energy projects. Loan volume utilized may not be reused. The FY 2017 Budget includes a request for \$4,000,000,000 in additional loan authority and reflects estimates based on illustrative examples, unrelated to any specific project.

The Loan Programs Office will ensure all processes and criteria are applied uniformly in accordance with established requirements, procedures and guidelines. The Department requests \$37,000,000 in FY 2017 to operate the Office and support personnel and associated costs. This request is intended to be offset by \$27,000,000 in collections authorized under the EPA Act of 2005. To ensure that the Department meets statutory and regulatory requirements and implements effective management and oversight of its loan guarantee activities, program funding also will support the procurement of outside expertise in areas such as finance, project engineering, and commercial market assessment. The costs of these outside advisors are paid for by applicants to the Section 1703 Loan Guarantee Program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond (including modifications of direct loans or

loan guarantees that resulted from obligations or commitments in any year), as well as the administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 089-0208-0-1-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	9	10	10
11.3 Other than full-time permanent .....	1	1	
11.9 Total personnel compensation .....	10	11	10
12.1 Civilian personnel benefits .....	3	3	4
25.1 Advisory and assistance services .....	21	21	22
25.3 Other goods and services from Federal sources .....	2	2	
41.0 Grants, subsidies, and contributions .....	46	74	28
99.0 Direct obligations .....	82	111	64
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	83	111	64

Employment Summary

Identification code 089-0208-0-1-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	83	120	120

TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 089-4455-0-3-271	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations .....	1,691	8,200	3,100
0713 Payment of interest to Treasury .....	5	8	10
0715 Interest paid to FFB .....	326	363	520
0740 Negative subsidy obligations .....	21		
0742 Downward reestimate paid to receipt account .....	107	32	
0743 Interest on downward reestimates .....	1	8	
0900 Total new obligations .....	2,151	8,611	3,630
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,249	1,107	1,669
1021 Recoveries of prior year unpaid obligations .....	156		
1023 Unobligated balances applied to repay debt .....	-494	-670	-146
1024 Unobligated balance of borrowing authority withdrawn .....	-156		
1050 Unobligated balance (total) .....	755	437	1,523
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1,757	8,295	3,198
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,031	1,687	867
1801 Change in uncollected payments, Federal sources .....	-44	16	16
1825 Spending authority from offsetting collections applied to repay debt .....	-241	-155	-18
1850 Spending auth from offsetting collections, mand (total) .....	746	1,548	865
1900 Budget authority (total) .....	2,503	9,843	4,063
1930 Total budgetary resources available .....	3,258	10,280	5,586
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,107	1,669	1,956
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,127	4,249	9,995
3010 Obligations incurred, unexpired accounts .....	2,151	8,611	3,630
3020 Outlays (gross) .....	-2,873	-2,865	-5,972
3040 Recoveries of prior year unpaid obligations, unexpired .....	-156		
3050 Unpaid obligations, end of year .....	4,249	9,995	7,653
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-91	-47	-63
3070 Change in uncollected pymts, Fed sources, unexpired .....	44	-16	-16
3090 Uncollected pymts, Fed sources, end of year .....	-47	-63	-79

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5,036	4,202	9,932
3200	Obligated balance, end of year .....	4,202	9,932	7,574

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2,503	9,843	4,063
Financing disbursements:				
4110	Outlays, gross (total) .....	2,873	2,865	5,972
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payment from program account .....	-19	-12	-38
4120	Upward reestimate .....	-5	-4	.....
4120	Interest on reestimate .....	-41	-37	.....
4122	Interest on uninvested funds .....	-75	-76	-139
4123	Interest payments .....	-303	-298	-301
4123	Principal payments .....	-588	-569	-127
4123	Fees .....	.....	-691	-262
4130	Offsets against gross budget authority and outlays (total) ....	-1,031	-1,687	-867
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	44	-16	-16
4160	Budget authority, net (mandatory) .....	1,516	8,140	3,180
4170	Outlays, net (mandatory) .....	1,842	1,178	5,105
4180	Budget authority, net (total) .....	1,516	8,140	3,180
4190	Outlays, net (total) .....	1,842	1,178	5,105

**Status of Direct Loans** (in millions of dollars)

Identification code 089-4455-0-3-271				
		2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:				
1121	Limitation available from carry-forward .....	6,184	8,200	3,100
1143	Unobligated limitation carried forward (PL. xx) (-) .....	-4,493	.....	.....
1150	Total direct loan obligations .....	1,691	8,200	3,100
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	9,869	11,630	13,450
1231	Disbursements: Direct loan disbursements .....	2,370	2,370	2,407
1251	Repayments: Repayments and prepayments .....	-609	-569	-127
1261	Adjustments: Capitalized interest .....	.....	19	155
1290	Outstanding, end of year .....	11,630	13,450	15,885

**Balance Sheet** (in millions of dollars)

Identification code 089-4455-0-3-271			
		2014 actual	2015 actual
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	1,159	1,060
Investments in US securities:			
1106	Receivables, net .....	127	213
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	9,869	11,630
1402	Interest receivable .....	50	67
1405	Allowance for subsidy cost (-) .....	-1,549	-1,597
1499	Net present value of assets related to direct loans .....	8,370	10,100
1999	Total assets .....	9,656	11,373
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....	196	168
2103	Debt .....	9,460	11,205
2999	Total liabilities .....	9,656	11,373
4999	Total liabilities and net position .....	9,656	11,373

ACCELERATING CLEAN ENERGY INNOVATION AT THE DEPARTMENT OF ENERGY

At the 2015 Paris climate summit, the President along with other world leaders announced Mission Innovation, an initiative to dramatically accelerate public and private global clean energy innovation to address global climate change; provide affordable clean energy to consumers, including in the developing world; and create additional commercial opportunities in clean energy. To support this initiative, the U.S. is seeking to double its government-wide clean energy research and development funding over five years, from \$6.4 billion in FY 2016 to \$12.8 billion in FY 2021. The FY 2017 Budget demonstrates the strength of the U.S. commitment to this

pledge by providing \$7.7 billion for a portfolio of investments that spans the full range of clean energy R&D activities, from basic research to demonstration, across 12 agencies. Reaching \$12.8 billion by FY 2021 will require the equivalent of an almost 15 percent year-over-year increase in clean energy R&D funding in each of the five years of the pledge. The FY 2017 Budget goes beyond this with a down payment of a 20 percent increase. The Budget also reflects the required growth in the outyears by including incremental increases in the DOE and the government-wide accounts for accelerating clean energy innovation. Specific government-wide contributions toward the pledge and the allocation of that contribution across all participating agencies will be revisited and established in annual Budgets.

TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 089-4577-0- -271				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0711	Default claim payments on principal .....	.....	14	9
0712	Default claim payments on interest .....	.....	4	4
0742	Downward reestimate paid to receipt account .....	21	64	.....
0743	Interest on downward reestimates .....	3	8	.....
0900	Total new obligations .....	24	90	13
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	256	233	148
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	19	5	13
1801	Change in uncollected payments, Federal sources .....	-18	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	1	5	13
1930	Total budgetary resources available .....	257	238	161
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	233	148	148
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	24	90	13
3020	Outlays (gross) .....	-24	-90	-13
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-27	-9	-9
3070	Change in uncollected pymts, Fed sources, unexpired .....	18	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-27	-9	-9
3200	Obligated balance, end of year .....	-9	-9	-9
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	5	13
Financing disbursements:				
4110	Outlays, gross (total) .....	24	90	13
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payment from program account .....	-13	.....	.....
4122	Interest on uninvested funds .....	-6	-5	-4
4123	Principal payments .....	.....	.....	-7
4123	Interest Payments .....	.....	.....	-2
4130	Offsets against gross budget authority and outlays (total) ....	-19	-5	-13
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	18	.....	.....
4170	Outlays, net (mandatory) .....	5	85	.....
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	5	85	.....

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 089-4577-0- -271				
		2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:				
2121	Limitation available from carry-forward .....	.....	.....	.....

TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING  
ACCOUNT—Continued

Status of Guaranteed Loans—Continued

Identification code 089-4577-0-271	2015 actual	2016 est.	2017 est.
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	3,224	3,086	2,944
2231 Disbursements of new guaranteed loans .....	266		
2251 Repayments and prepayments .....	-404	-128	-134
2261 Adjustments: Terminations for default that result in loans receivable .....		-14	-9
2290 Outstanding, end of year .....	3,086	2,944	2,801
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,469	2,355	2,241
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	3		18
2331 Disbursements for guaranteed loan claims .....		14	9
2351 Repayments of loans receivable .....			-8
2364 Other adjustments, net .....	-3	4	4
2390 Outstanding, end of year .....		18	23

Balance Sheet (in millions of dollars)

Identification code 089-4577-0-271	2014 actual	2015 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	229	225
Investments in US securities:		
1106 Receivables, net .....		
1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross .....	3	
1999 Total assets .....	232	225
LIABILITIES:		
2101 Federal liabilities: Accounts payable .....	21	70
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	211	155
2999 Total liabilities .....	232	225
4999 Total liabilities and net position .....	232	225

POWER MARKETING ADMINISTRATION

Federal Funds

OPERATION AND MAINTENANCE, ALASKA POWER ADMINISTRATION

The Alaska Power Administration (APA) was created in 1967 by the Secretary of the Interior to assume the functions of the Bureau of Reclamation in Alaska. These functions include operations, maintenance, transmission, and power marketing of the two Federal hydroelectric projects (Eklutna and Snettisham), and the investigation of future water and power development programs. All Alaska activities of APA, including the Juneau headquarters office, were terminated on September 30, 1998. A fund is maintained to liquidate the remaining obligations of the APA.

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION

For expenses necessary for operation and maintenance of power transmission facilities and for marketing electric power and energy, including transmission wheeling and ancillary services, pursuant to section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southeastern power area, **[\$6,900,000] \$1,000,000**, including official reception and representation expenses in an amount not to exceed \$1,500, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944, up to **[\$6,900,000] \$1,000,000** collected by the Southeastern Power Administration from the sale of

power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the Southeastern Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2016] 2017** appropriation estimated at not more than \$0: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$66,500,000] \$60,760,000** collected by the Southeastern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-0302-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Purchase Power and Wheeling .....	49	67	61
0802 Annual Expenses and other costs repaid in one year .....	6	7	6
0900 Total new obligations .....	55	74	67
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	14	14
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	52	74	67
1930 Total budgetary resources available .....	69	88	81
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	9	9
3010 Obligations incurred, unexpired accounts .....	55	74	67
3020 Outlays (gross) .....	-53	-74	-72
3050 Unpaid obligations, end of year .....	9	9	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	9	9
3200 Obligated balance, end of year .....	9	9	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	74	67
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	71	64
4011 Outlays from discretionary balances .....	21	3	8
4020 Outlays, gross (total) .....	53	74	72
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-52	-74	-67
4040 Offsets against gross budget authority and outlays (total) ....	-52	-74	-67
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1		5

The Southeastern Power Administration (Southeastern) markets power generated at 22 U.S. Army Corps of Engineers' hydroelectric generating plants in an eleven-State area of the Southeast. Power deliveries are made by means of contracting for use of transmission facilities owned by others.

Southeastern sells wholesale power primarily to publicly and cooperatively-owned electric distribution utilities. Southeastern does not own or operate any transmission facilities. Its long-term contracts provide for periodic electric rate adjustments to ensure that the Federal Government recovers the costs of operations and the capital invested in power facilities, with interest, in keeping with statutory requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

*Program Direction.*—Provision is made for negotiation and administration of transmission and power contracts, collection of revenues, accounting and budget activities, development of wholesale power rates, amortization

of the Federal power investment, energy efficiency and competitiveness program, investigation and planning of proposed water resources projects, scheduling and dispatch of power generation, scheduling storage and release of water, administration of contractual operation requirements, and determination of methods of operating generating plants individually and in coordination with others to obtain maximum utilization of resources.

**Purchase Power and Wheeling.**—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the disposal of power under contracts with utility companies. Customers are encouraged to use alternative funding mechanisms, including customer advances and net billing to finance these activities. Offsetting collections to fund these ongoing operating services are also available up to \$60.8 million in 2017.

**Reimbursable Program.**—The Consolidated Appropriations Act, 2008 (P.L. No. 110–161) provided Southeastern with authority to accept advance payment from customers for reimbursable work associated with operations and maintenance activities, consistent with those authorized in section 5 of the Flood Control Act of 1944. Funds received from any State, municipality, corporation, association, firm, district or individual as an advance payment for reimbursable work will be credited to Southeastern's account and remain available until expended.

**Object Classification** (in millions of dollars)

Identification code 089–0302–0–1–271	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
25.2 Purchase Power and Wheeling .....	50	69	62
99.0 Reimbursable obligations .....	55	74	67
99.9 Total new obligations .....	55	74	67

**Employment Summary**

Identification code 089–0302–0–1–271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	36	44	44

CONTINUING FUND, SOUTHEASTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southeastern service area is available to defray emergency expenses necessary to ensure continuity of service (16 U.S.C. 825s-2). The fund was last activated in fiscal year 2009 to finance power purchases associated with below normal hydro power generation due to severe drought. Consistent with sound business practices, the Southeastern Power Administration has implemented a policy to recover all emergency costs associated with purchased power and wheeling within one year from the time funds are expended.

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION

For expenses necessary for operation and maintenance of power transmission facilities and for marketing electric power and energy, for construction and acquisition of transmission lines, substations and appurtenant facilities, and for administrative expenses, including official reception and representation expenses in an amount not to exceed \$1,500 in carrying out section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the Southwestern Power Administration, **[\$47,361,000]** \$45,643,000, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), up to **[\$35,961,000]** \$34,586,000 collected by the Southwestern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Southwestern Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2016]** 2017 appropriation estimated at not more than **[\$11,400,000]**

*\$11,057,000: Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$63,000,000]** \$73,000,000 collected by the Southwestern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 089–0303–0–1–271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Systems operation and maintenance .....	4	5	2
0003 Construction .....	6	4	7
0004 Program direction .....	2	2	2
0005 Spectrum Relocation .....	5		
0200 Direct program subtotal .....	17	11	11
0799 Total direct obligations .....	17	11	11
0805 Purchase power and wheeling .....	17	63	73
0810 Other reimbursable activities .....	4	37	37
0811 Annual Expenses .....	26	36	35
0899 Total reimbursable obligations .....	47	136	145
0900 Total new obligations .....	64	147	156
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	64	80	80
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	11	11	11
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	69	136	145
1900 Budget authority (total) .....	80	147	156
1930 Total budgetary resources available .....	144	227	236
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	80	80	80
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	89	86	34
3010 Obligations incurred, unexpired accounts .....	64	147	156
3020 Outlays (gross) .....	-67	-199	-173
3050 Unpaid obligations, end of year .....	86	34	17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	89	86	34
3200 Obligated balance, end of year .....	86	34	17
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	80	147	156
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	22	143	152
4011 Outlays from discretionary balances .....	45	56	21
4020 Outlays, gross (total) .....	67	199	173
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		-6	-6
4033 Non-Federal sources .....	-69	-130	-139
4040 Offsets against gross budget authority and outlays (total) .....	-69	-136	-145
4070 Budget authority, net (discretionary) .....	11	11	11
4080 Outlays, net (discretionary) .....	-2	63	28
4180 Budget authority, net (total) .....	11	11	11
4190 Outlays, net (total) .....	-2	63	28

The Southwestern Power Administration (Southwestern) operates in a six-state area marketing and delivering renewable hydroelectric power produced at the U.S. Army Corps of Engineers' dams. Southwestern operates and maintains 1,380 miles of high voltage transmission lines, 25 substations/switching stations, associated power system controls, and communication sites. Southwestern also constructs additions and modifications to existing facilities.

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION—Continued

Southwestern markets and delivers its power at wholesale rates primarily to public bodies and rural electric cooperatives. In compliance with statutory requirements, Southwestern's power sales contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of operations, other costs allocated to power, and the capital investments in power facilities, with interest. Southwestern is also responsible for scheduling and dispatching power and negotiating power sales contracts to meet changing customer load requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

*Program Direction.*—Provides compensation and all related expenses for personnel who market, deliver, operate, and maintain Southwestern's high-voltage interconnected power system and associated facilities.

*Operations and Maintenance.*—Provides essential electrical and communications equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for the safe, reliable, and cost effective operation and maintenance of the power system.

*Purchase Power and Wheeling.*—Provides for the purchase and delivery of energy to meet limited peaking power contractual obligations. Federal power receipts and alternative financing methods, including net billing, bill crediting, and customer advances are used to fund system-purchased power support and other contractual services. Customers will provide other power resources and/or purchases for the remainder of their firm loads.

*Construction.*—Provides for replacement, addition or upgrade of existing infrastructure to sustain reliable delivery of power to its customers, contain annual maintenance costs, and improve overall efficiency.

*Reimbursable Program.*—This activity involves services provided by Southwestern to others under various types of reimbursable arrangements.

Object Classification (in millions of dollars)

Identification code 089-0303-0-1-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.2 Other services from non-Federal sources .....	11	7	7
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	1	1
99.0 Direct obligations .....	17	11	11
99.0 Reimbursable obligations .....	47	136	145
99.9 Total new obligations .....	64	147	156

Employment Summary

Identification code 089-0303-0-1-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15	10	10
2001 Reimbursable civilian full-time equivalent employment .....	159	184	184

PURCHASE POWER DROUGHT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5597-0-2-271	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Proposed:			
1230 Special Rate Assessment, Purchase Power Emergency Fund .....			15
2000 Total: Balances and receipts .....			15
5099 Balance, end of year .....			15

The Purchase Power Drought Fund would allow Southwestern to pre-collect funds through power rates for use in times of below average water and drought conditions. This fund would supplement Southwestern's current

authorities and would minimize the necessity to invoke the Continuing Fund for the Purchase Power and Wheeling expenses and mitigate the rate volatility associated with such activation.

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 089-5649-0-2-271	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
Memorandum (non-add) entries:			
5080 Outstanding debt, SOY .....	-68	-68	-68
5081 Outstanding debt, EOY .....	-68	-68	-68

A continuing fund, maintained from receipts from the sale and transmission of electric power in the Southwestern service area, is available permanently for emergency expenses necessary to ensure continuity of electric service and continuous operation of the facilities. The fund is also available on an ongoing basis to pay for purchase power and wheeling expenses when the Administrator determines that such expenses are necessary to meet contractual obligations for the sale and delivery of power during periods of below-average generation (16 U.S.C. 825s-1 as amended further by Public Law No. 101-101). The fund was last activated in fiscal year 2009 to repair and replace damaged transmission lines due to an ice storm.

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA POWER ADMINISTRATION

For carrying out the functions authorized by title III, section 302(a)(1)(E) of the Act of August 4, 1977 (42 U.S.C. 7152), and other related activities including conservation and renewable resources programs as authorized, **[\$307,714,000]** \$307,144,000, including official reception and representation expenses in an amount not to exceed \$1,500, to remain available until expended, of which **[\$302,000,000]** \$299,742,000 shall be derived from the Department of the Interior Reclamation Fund: *Provided*, That notwithstanding 31 U.S.C. 3302, section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), and section 1 of the Interior Department Appropriation Act, 1939 (43 U.S.C. 392a), up to **[\$214,342,000]** \$211,563,000 collected by the Western Area Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Western Area Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2016]** 2017 appropriation estimated at not more than **[\$93,372,000]** \$95,581,000, of which **[\$87,658,000]** \$88,179,000 is derived from the Reclamation Fund: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$352,813,000]** \$367,009,000 collected by the Western Area Power Administration pursuant to the Flood Control Act of 1944 and the Reclamation Project Act of 1939 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 089-5068-0-2-271	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Systems operation and maintenance .....	37	41	42
0004 Program direction .....	40	47	35
0091 Direct Program by Activities - Subtotal (1 level) .....	77	88	77
0100 Total operating expenses .....	77	88	77
0101 Capital investment .....	11	5	19
0799 Total direct obligations .....	88	93	96
0802 Purchase Power and Wheeling .....	164	353	367
0803 Annual Expenses .....	184	214	211

0804	Other Reimbursable .....	270	638	273
0809	Reimbursable program activities, subtotal .....	618	1,205	851
0899	Total reimbursable obligations .....	618	1,205	851
0900	Total new obligations .....	706	1,298	947
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	555	638	638
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	8	6	7
1101	Appropriation (special or trust fund) .....	85	87	89
1131	Unobligated balance of appropriations permanently reduced .....	-2		
1160	Appropriation, discretionary (total) .....	91	93	96
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	694	1,205	851
1701	Change in uncollected payments, Federal sources .....	4		
1750	Spending auth from offsetting collections, disc (total) .....	698	1,205	851
1900	Budget authority (total) .....	789	1,298	947
1930	Total budgetary resources available .....	1,344	1,936	1,585
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	638	638	638
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	306	333	163
3010	Obligations incurred, unexpired accounts .....	706	1,298	947
3020	Outlays (gross) .....	-679	-1,468	-1,020
3050	Unpaid obligations, end of year .....	333	163	90
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-41	-45	-45
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090	Uncollected pymts, Fed sources, end of year .....	-45	-45	-45
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	265	288	118
3200	Obligated balance, end of year .....	288	118	45
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	789	1,298	947
Outlays, gross:				
4010	Outlays from new discretionary authority .....	230	1,247	894
4011	Outlays from discretionary balances .....	449	221	126
4020	Outlays, gross (total) .....	679	1,468	1,020
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-125	-237	-170
4033	Non-Federal sources .....	-569	-968	-681
4040	Offsets against gross budget authority and outlays (total) ....	-694	-1,205	-851
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4070	Budget authority, net (discretionary) .....	91	93	96
4080	Outlays, net (discretionary) .....	-15	263	169
4180	Budget authority, net (total) .....	91	93	96
4190	Outlays, net (total) .....	-15	263	169
<b>Memorandum (non-add) entries:</b>				
5080	Outstanding debt, SOY .....	-12,427	-12,709	-12,709
5081	Outstanding debt, EOY .....	-12,709	-12,709	-12,709
5082	Cumulative change in appropriation classified by FASAB as debt .....	-282		

The Western Area Power Administration (Western) markets electric power in 15 central and western states from federally-owned power plants operated primarily by the Bureau of Reclamation, the Army Corps of Engineers, and the International Boundary and Water Commission. Western operates and maintains about 17,000 circuit-miles of high-voltage transmission lines, more than 300 substations/switchyards and associated power system controls, and communication and electrical facilities for 15 separate power projects. Western also constructs additions and modifications to existing facilities.

In keeping with statutory requirements, Western's long-term power contracts allow for periodic rate adjustments to ensure that the Federal Govern-

ment recovers costs of operations, other costs allocated to power, and the capital investment in power facilities, with interest.

Power is sold to wholesale customers such as municipalities, cooperatives, irrigation districts, public utility districts, State and Federal Government agencies, and private utilities. Receipts are deposited in the Reclamation Fund, the Falcon and Amistad Operating and Maintenance Fund, the General Fund, the Colorado River Dam Fund and the Colorado River Basins Power Marketing Fund.

As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Systems Operation and Maintenance.**—Provides essential electrical and communication equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for safe reliable operation and cost-effective maintenance of the power systems.

**Purchase Power and Wheeling.**—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the distribution of power under contracts with utility companies, including the cost of voluntary participation in state greenhouse gas programs. Customers are encouraged to contract for power and wheeling on their own, or use alternative funding mechanisms, including customer advances, net billing and bill crediting to finance these activities. Ongoing operating services are also available on a reimbursable basis.

**System Construction.**—Western's construction and rehabilitation activity emphasizes replacement and upgrades of existing infrastructure to sustain reliable power delivery to its customers, to contain annual maintenance costs, and to improve overall operational efficiency. Western will continue to participate in joint construction projects with customers to encourage more widespread transmission access.

**Program Direction.**—Provides compensation and all related expenses for the workforce that operates and maintains Western's high-voltage interconnected transmission system (systems operation and maintenance program), and those that plan, design, and supervise the construction of replacements, upgrades and additions (system construction program) to the transmission facilities.

**Reimbursable Program.**—This program involves services provided by Western to others under various types of reimbursable arrangements. Western will continue to spend out of the Colorado River Dam Fund for operations and maintenance activities associated with the Boulder Canyon Project via a reimbursable arrangement with the Interior Department's Bureau of Reclamation. The Colorado River Dam Fund is a revolving fund operated by the Bureau of Reclamation. Authority for Western to obligate directly from the Colorado River Dam Fund comes from section 104(a) of the Hoover Power Plant Act of 1984.

**Object Classification (in millions of dollars)**

Identification code 089-5068-0-2-271	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	17	19	17
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	19	21	19
12.1	Civilian personnel benefits .....	6	5	5
21.0	Travel and transportation of persons .....	1	2	1
22.0	Transportation of things .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	13	16	23
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	15	17	19
32.0	Land and structures .....	30	28	25
99.0	Direct obligations .....	88	93	96
99.0	Reimbursable obligations .....	618	1,205	851
99.9	Total new obligations .....	706	1,298	947

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA  
POWER ADMINISTRATION—Continued

## Employment Summary

Identification code 089-5068-0-2-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	134	196	171
2001 Reimbursable civilian full-time equivalent employment .....	1,021	955	1,031

## WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT.

## Program and Financing (in millions of dollars)

Identification code 089-4404-0-3-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0102 Transmission Infrastructure Program Projects .....	4	1,050	800
0811 Western Area Power Administration, Borrowing Authority, Recovery (Reimbursable) .....	2	16	12
0900 Total new obligations .....	6	1,066	812
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	11	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		10	
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	281	1,151	804
1422 Borrowing authority applied to repay debt .....	-281	-101	-4
1440 Borrowing authority, mandatory (total) .....		1,050	800
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	8	5
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	8	7
1900 Budget authority (total) .....	8	1,066	812
1930 Total budgetary resources available .....	17	1,077	823
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	26	601
3010 Obligations incurred, unexpired accounts .....	6	1,066	812
3020 Outlays (gross) .....	-11	-491	-674
3050 Unpaid obligations, end of year .....	26	601	739
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	26	601
3200 Obligated balance, end of year .....	26	601	739

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	4	8	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	8	5
4011 Outlays from discretionary balances .....	4		
4020 Outlays, gross (total) .....	5	8	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4033 Non-Federal sources .....	-3	-8	-5
4040 Offsets against gross budget authority and outlays (total) ....	-4	-8	-5
4080 Outlays, net (discretionary) .....	1		
Mandatory:			
4090 Budget authority, gross .....	4	1,058	807
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	458	457
4101 Outlays from mandatory balances .....	5	25	212
4110 Outlays, gross (total) .....	6	483	669
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4	-8	-7
4180 Budget authority, net (total) .....		1,050	800
4190 Outlays, net (total) .....	3	475	662

## Memorandum (non-add) entries:

5101 Unexpired unavailable balance, SOY: Borrowing authority .....	2		
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The American Recovery and Reinvestment Act of 2009 (the Act) provided Western Area Power Administration (Western) borrowing authority for the purpose of constructing, financing, facilitating, planning, operating, maintaining or studying construction of new or upgraded electric power transmission lines and related facilities with at least one terminus within the area served by Western, and for delivering or facilitating the delivery of power generated by renewable energy resources. This authority to borrow from the United States Treasury is available to Western on a permanent, indefinite basis, with the amount of borrowing outstanding not to exceed \$3.25 billion at any one time. Western established the Transmission Infrastructure Program (TIP) to manage and administer this borrowing authority and its related program requirements. The Transmission Infrastructure Program supports Western's and the Department of Energy's priorities by supporting projects which facilitate the delivery of clean energy resources to market.

## Object Classification (in millions of dollars)

Identification code 089-4404-0-3-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1		
12.1 Civilian personnel benefits .....	1		
25.2 Other services from non-Federal sources .....	1		
33.0 Investments and loans .....		1,050	800
43.0 Interest and dividends .....	1		
99.0 Direct obligations .....	4	1,050	800
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
12.1 Civilian personnel benefits .....		1	1
25.2 Other services from non-Federal sources .....	2	14	10
99.0 Reimbursable obligations .....	2	16	12
99.9 Total new obligations .....	6	1,066	812

## Employment Summary

Identification code 089-4404-0-3-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15	15	15
2001 Reimbursable civilian full-time equivalent employment .....	4	2	2

## EMERGENCY FUND, WESTERN AREA POWER ADMINISTRATION

## Program and Financing (in millions of dollars)

Identification code 089-5069-0-2-271	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
Memorandum (non-add) entries:			
5080 Outstanding debt, SOY .....	-55	-55	-55
5081 Outstanding debt, EOY .....	-55	-55	-55

An emergency fund maintained from receipts from the sale and transmission of electric power is available to defray expenses necessary to ensure continuity of service. The fund was last activated in fiscal year 2010 to repair and replace damaged transmission lines due to severe winter storm conditions. This work has since been completed.

## FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND

For operation, maintenance, and emergency costs for the hydroelectric facilities at the Falcon and Amistad Dams, **[\$4,490,000]** \$4,070,000, to remain available until expended, and to be derived from the Falcon and Amistad Operating and

Maintenance Fund of the Western Area Power Administration, as provided in section 2 of the Act of June 18, 1954 (68 Stat. 255): *Provided*, That notwithstanding the provisions of that Act and of 31 U.S.C. 3302, up to **[\$4,262,000]** \$3,838,000 collected by the Western Area Power Administration from the sale of power and related services from the Falcon and Amistad Dams shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the hydroelectric facilities of these Dams and associated Western Area Power Administration activities: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2016]** 2017 appropriation estimated at not more than **[\$228,000]** \$232,000: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred: *Provided further*, That for fiscal year **[2016]** 2017, the Administrator of the Western Area Power Administration may accept up to **[\$460,000]** \$323,000 in funds contributed by United States power customers of the Falcon and Amistad Dams for deposit into the Falcon and Amistad Operating and Maintenance Fund, and such funds shall be available for the purpose for which contributed in like manner as if said sums had been specifically appropriated for such purpose: *Provided further*, That any such funds shall be available without further appropriation and without fiscal year limitation for use by the Commissioner of the United States Section of the International Boundary and Water Commission for the sole purpose of operating, maintaining, repairing, rehabilitating, replacing, or upgrading the hydroelectric facilities at these Dams in accordance with agreements reached between the Administrator, Commissioner, and the power customers. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 089-5178-0-2-271	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	5	6	6
0198 Unappropriated receipt adjustment .....	1		
0199 Balance, start of year .....	6	6	6
2000 Total: Balances and receipts .....	6	6	6
5099 Balance, end of year .....	6	6	6

**Program and Financing** (in millions of dollars)

Identification code 089-5178-0-2-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity - Annual expenses .....	5	4	4
0802 Reimbursable program activity - Alternative Financing .....		1	
0900 Total new obligations (object class 25.3) .....	5	5	4
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections .....	5	5	4
1930 Total budgetary resources available .....	5	5	4

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	4
3010 Obligations incurred, unexpired accounts .....	5	5	4
3020 Outlays (gross) .....	-5	-8	-7
3050 Unpaid obligations, end of year .....	7	4	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	4
3200 Obligated balance, end of year .....	7	4	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	3	3
4011 Outlays from discretionary balances .....	4	5	4
4020 Outlays, gross (total) .....	5	8	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-5	-5	-4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		3	3

Pursuant to section 2 of the Act of June 18, 1954, as amended, Western Area Power Administration is requesting funding for the Falcon and Amistad Operating and Maintenance Fund to defray operations, maintenance, and emergency (OM&E) expenses for the hydroelectric facilities at Falcon and Amistad Dams on the Rio Grande River. Most of these funds will be made available to the United States Section of the International Boundary and Water Commission through a reimbursable agreement. Within the fund, \$200,000 is for an emergency reserve that will remain unobligated unless unanticipated expenses arise. Revenues in excess of OM&E will be paid to the General Fund to repay the costs of replacements and the original investment with interest. The budget provides funding for annual expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION**

**Program and Financing** (in millions of dollars)

Identification code 089-4452-0-3-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Program direction .....	54	62	62
0802 Equipment, Contracts and Related Expenses .....	108	154	152
0900 Total new obligations .....	162	216	214

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	143	142	142
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	161	239	237
1720 Capital transfer of spending authority from offsetting collections to general fund .....		-23	-23
1750 Spending auth from offsetting collections, disc (total) .....	161	216	214
1930 Total budgetary resources available .....	304	358	356
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	142	142	142

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	49	57
3010 Obligations incurred, unexpired accounts .....	162	216	214
3020 Outlays (gross) .....	-174	-208	-229
3050 Unpaid obligations, end of year .....	49	57	42
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60	48	56
3200 Obligated balance, end of year .....	48	56	41

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	161	216	214
Outlays, gross:			
4010 Outlays from new discretionary authority .....		48	48
4011 Outlays from discretionary balances .....	174	160	181
4020 Outlays, gross (total) .....	174	208	229
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-7	-6
4033 Non-Federal sources .....	-155	-232	-231
4040 Offsets against gross budget authority and outlays (total) .....	-161	-239	-237
4070 Budget authority, net (discretionary) .....		-23	-23
4080 Outlays, net (discretionary) .....	13	-31	-8
4180 Budget authority, net (total) .....		-23	-23
4190 Outlays, net (total) .....	13	-31	-8

Western Area Power Administration's (Western) operation and maintenance (O&M) and power marketing expenses for the Colorado River Storage Project, the Colorado River Basin Project, the Seedskaadee Project, the Dolores Project and the Fort Peck Project are financed from power revenues.

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION—Continued

**Colorado River Storage Project.**—Western markets power and operates and maintains the power transmission facilities of the Colorado River Storage Project consisting of four major storage units: Glen Canyon on the Colorado River in Arizona, Flaming Gorge on the Green River in Utah, Navajo on the San Juan River in New Mexico, and the Wayne N. Aspinall unit on the Gunnison River in Colorado.

**Colorado River Basin Project.**—This project includes Western's expenses associated with the Central Arizona Project and the United States entitlement from the Navajo coal-fired powerplant. Revenues in excess of operating expenses are transferred to the Lower Colorado River Basin Development Fund.

**Seedskadee Project.**—This project includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from the Fontenelle Dam power plant in southwestern Wyoming.

**Dolores Project.**—This project includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from power plants at McPhee Dam and Towaoc Canal in southwestern Colorado.

**Fort Peck Project.**—Revenues collected by Western are used to defray operation and maintenance and power marketing expenses associated with the power generation and transmission facilities of the Fort Peck Project, and Western operates and maintains the transmission system and performs power marketing functions.

**Equipment, Contracts and Related Expenses.**—Western operates and maintains approximately 4,000 miles of transmission lines, substations, switchyards, communications and control equipment associated with this fund. Wholesale power is provided to utilities over interconnected high-voltage transmission systems. In keeping with statutory requirements, long-term power contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of O&M, and all capital invested in power, with interest. This activity provides for the supplies, materials, services, capital equipment replacements and additions, including communications and control equipment, purchase power, transmission and wheeling services, and interest payments to the U.S. Treasury.

**Program Direction.**—The personnel compensation and related expenses for all these activities are quantified under Program Direction.

**Object Classification** (in millions of dollars)

Identification code 089-4452-0-3-271	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	27	30	29
11.5 Other personnel compensation .....	3	2	3
11.9 Total personnel compensation .....	30	32	32
12.1 Civilian personnel benefits .....	10	10	11
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	1		1
23.3 Communications, utilities, and miscellaneous charges .....	1	2	1
25.2 Other services from non-Federal sources .....	100	128	135
25.3 Other goods and services from Federal sources .....	8	7	6
26.0 Supplies and materials .....		4	4
31.0 Equipment .....	3	4	2
32.0 Land and structures .....	6	16	12
43.0 Interest and dividends .....		10	7
99.9 Total new obligations .....	162	216	214

**Employment Summary**

Identification code 089-4452-0-3-271	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	276	301	302

**BONNEVILLE POWER ADMINISTRATION FUND**

Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454, are approved [for the Shoshone Paiute Trout Hatchery, the Spokane Tribal Hatchery, the Snake River Sockeye Weirs and, in addition,] for official reception and representation expenses in an amount not to exceed \$5,000: *Provided, That during fiscal year [2016] 2017, no new direct loan obligations may be made. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 089-4045-0-3-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Power business line .....	951	1,103	1,099
0802 Residential exchange .....	200	217	217
0803 Bureau of Reclamation .....	134	157	158
0804 Corp of Engineers .....	230	244	251
0805 Colville settlement .....	19	22	22
0806 U.S. Fish & Wildlife .....	31	32	33
0807 Planning council .....	10	11	11
0808 Fish and Wildlife .....	258	267	274
0809 Reimbursable program activities, subtotal .....	1,833	2,053	2,065
0811 Transmission business line .....	453	449	458
0812 Conservation and energy efficiency .....	75	178	173
0813 Interest .....	350	299	314
0814 Pension and health benefits .....	38	38	39
0819 Reimbursable program activities, subtotal .....	916	964	984
0821 Power business line .....	43	241	270
0822 Transmission services .....	461	700	644
0823 Conservation and energy efficiency .....	87		
0824 Fish and Wildlife .....	21	40	46
0825 Capital Equipment .....	34	37	29
0826 Projects funded in advance .....	390	30	30
0829 Reimbursable program activities, subtotal .....	1,036	1,048	1,019
0900 Total new obligations .....	3,785	4,065	4,068
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	11	839
1023 Unobligated balances applied to repay debt .....		-1	-839
1050 Unobligated balance (total) .....	8	10	
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] ....	5		
Borrowing authority, mandatory:			
1400 Borrowing authority .....	619	1,018	989
Contract authority, mandatory:			
1600 Contract authority .....	1,946		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,345	4,065	4,114
1801 Change in uncollected payments, Federal sources .....	23		
1802 Offsetting collections (previously unavailable) .....	9	9	9
1810 Spending authority from offsetting collections transferred to other accounts [096-3123] .....	-111		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-9	-9	
1825 Spending authority from offsetting collections applied to repay debt .....	-212	-189	-206
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-1,827		
1850 Spending auth from offsetting collections, mand (total) .....	1,218	3,876	3,917
1900 Budget authority (total) .....	3,788	4,894	4,906
1930 Total budgetary resources available .....	3,796	4,904	4,906
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	839	838
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,190	3,247	3,247
3010 Obligations incurred, unexpired accounts .....	3,785	4,065	4,068
3020 Outlays (gross) .....	-3,728	-4,065	-4,068
3050 Unpaid obligations, end of year .....	3,247	3,247	3,247
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-304	-327	-327
3070 Change in uncollected pymts, Fed sources, unexpired .....	-23		
3090 Uncollected pymts, Fed sources, end of year .....	-327	-327	-327
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,886	2,920	2,920

3200	Obligated balance, end of year .....	2,920	2,920	2,920
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3,788	4,894	4,906
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3,728	3,865	3,868
4101	Outlays from mandatory balances .....		200	200
4110	Outlays, gross (total) .....	3,728	4,065	4,068
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-47	-90	-90
4121	Interest on Federal securities .....	3		
4123	Non-Federal sources .....	-3,301	-3,975	-4,024
4130	Offsets against gross budget authority and outlays (total) ....	-3,345	-4,065	-4,114
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-23		
4160	Budget authority, net (mandatory) .....	420	829	792
4170	Outlays, net (mandatory) .....	383		-46
4180	Budget authority, net (total) .....	420	829	792
4190	Outlays, net (total) .....	383		-46
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	594	690	785
5001	Total investments, EOY: Federal securities: Par value .....	690	785	880
5052	Obligated balance, SOY: Contract authority .....	1,827	1,947	1,947
5053	Obligated balance, EOY: Contract authority .....	1,947	1,947	1,947
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	9	9	9
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	9	9	

**Status of Direct Loans** (in millions of dollars)

Identification code 089-4045-0-3-271	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	2	2
1290 Outstanding, end of year .....	2	2	2

Bonneville Power Administration (BPA) is a Federal electric power marketing agency in the Pacific Northwest. BPA markets hydroelectric power from 21 multipurpose water resource projects of the U.S. Army Corps of Engineers and 10 projects of the U.S. Bureau of Reclamation, plus some energy from non-Federal generating projects in the region. These generating resources and BPA's transmission system are operated as an integrated power system with operating and financial results combined and reported as the Federal Columbia River Power System (FCRPS). BPA provides about 50 percent of the region's electric energy supply and about three-fourths of the region's high-voltage electric power transmission capacity.

BPA is responsible for meeting the net firm power requirements of its requesting customers through a variety of means, including energy conservation programs, acquisition of renewable and other resources, and power exchanges with utilities both in and outside the region.

BPA finances its operations with a business-type budget under the Government Corporation Control Act, 31 U.S.C. 9101-10, on the basis of the self-financing authority provided by the Federal Columbia River Transmission System Act of 1974 (Transmission Act) (Public Law 93-454) and the U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Electric Power Planning and Conservation Act (Pacific Northwest Power Act) (Public Law 96-501) for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and other legislation. Authority to borrow from the U.S. Treasury is available to the BPA on a permanent, indefinite basis. The amount of U.S. Treasury borrowing outstanding at any time cannot exceed \$7.70 billion. BPA finances its approximate \$4.3 billion annual cost of operations and investments primarily using power and transmission revenues and loans from the U.S. Treasury.

*Operating Expenses.—Transmission Services.*—Provides for operating over 15,000 circuit miles of high-voltage transmissions lines and 259 sub-

stations, and for maintaining the facilities and equipment of the Bonneville transmission system in 2017.

*Power Services.*—Provides for the planning, contractual acquisition and oversight of reliable, cost effective resources. These resources are needed to serve BPA's portion of the region's forecasted net electric load requirements. This activity also includes protection, mitigation and enhancement of fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries in accordance with the Pacific Northwest Power Act. This activity provides for payment of the operation and maintenance (O&M) costs allocated to power the 31 U.S. Army Corps of Engineers and U.S. Bureau of Reclamation hydro projects, amortization on the capital investment in power generating facilities, and irrigation assistance at U.S. Bureau of Reclamation facilities. This activity also provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. It also provides for extending the benefits of low cost Federal power to the residential and small farm customers of investor-owned and publicly-owned utilities, in accordance with the Pacific Northwest Power Act and for activities of the Pacific Northwest Electric Power and Conservation Planning Council required by the Pacific Northwest Power Act.

*Interest.*—Provides for payments to the U.S. Treasury for interest on U.S. Treasury borrowings to finance BPA's capital investments under \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009, and other legislation. This interest category also includes interest on U.S. Army Corps of Engineers, BPA and U.S. Bureau of Reclamation appropriated debt.

*Capital Investments-Transmission Services.*—Provides for the planning, design and construction of transmission lines, substation and control system additions, replacements, and enhancements to the FCRPS transmission system for a reliable, efficient and cost-effective regional transmission system. Provides for planning, design, and construction work to repair or replace existing transmission lines, substations, control systems, and general facilities of the FCRPS transmission system.

*Power Services.*—Provides for direct funding of additions, improvements, and replacements at existing Federal hydroelectric projects in the Northwest. It also provides for capital investments to implement environmental activities, and protect, mitigate, and enhance fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries, in accordance with the Pacific Northwest Power Act. This activity provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation.

*Capital Equipment/Capitalized Bond Premium.*—Provides for capital information technologies, and office furniture and equipment, and software capital development in support of all BPA programs. It also provides for bond premiums incurred for refinancing of bonds.

*Total Capital Obligations.*—The 2017 capital obligations are estimated to be \$989.8 million.

*Contingencies.*—Although contingencies are not specifically funded, the need may arise to provide for purchase of power in low-water years; for repair and/or replacement of facilities affected by natural and man-made emergencies, including the resulting additional costs for contracting, construction, and operation and maintenance work; for unavoidable increased costs for the planned program due to necessary but unforeseen adjustments, including engineering and design changes, contractor and other claims and relocations; or for payment of a retrospective premium adjustment in excess nuclear property insurance.

*Financing.*—The Transmission Act provides for the use by BPA of all receipts, collections, and recoveries in cash from all sources, including the sale of bonds, to finance the annual budget programs of BPA. These receipts result primarily from the sale of power and transmission services. The Transmission Act also provides for authority to borrow from the U.S. Treasury at rates comparable to borrowings at open market rates for similar issues. BPA has \$7.70 billion of U.S. Treasury borrowing authority provided

BONNEVILLE POWER ADMINISTRATION FUND—Continued

by the Transmission Act, the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009, and other legislation. At the end of 2015, BPA had outstanding bonds with the U.S. Treasury of \$4.6 billion. At the end of 2015, BPA also had \$7.5 billion of non-Federal debt outstanding, including Energy Northwest bonds. BPA will rely primarily on its U.S. Treasury borrowing authority to finance capital projects, but may also elect to use cash reserves generated by revenues from customers or seek third party financing sources when feasible to finance some of these investments.

In 2015, BPA made payments to the Treasury of \$891 million and also expects to make payments of \$640 million in 2016 and \$662 million in 2017. The 2017 payment is expected to be distributed as follows: interest on bonds and appropriations (\$365 million), amortization (\$206 million), and other (\$91 million). BPA also received credits totaling approximately \$80 million applied against its Treasury payments in 2015 to reflect amounts diverted to fish mitigation efforts, but not allocable to power, in the Columbia and Snake River systems.

BPA, with input from its stakeholders, considers other strategies to sustain funding for its infrastructure investment requirements as well. BPA's Financial Plan defines strategies and policies for guiding how BPA will manage risk and variability of electricity markets and water years. It also describes how BPA will continue to manage to ensure it meets its Treasury repayment responsibilities.

*Direct Loans.*—During 2017, no new direct loan obligations may be made.

*Operating Results.*—Total revenues are forecast at approximately \$4.1 billion in 2017.

It should be noted that BPA's revenue forecasts are based on several critical assumptions about both the supply of and demand for Federal energy. During the operating year, deviation from the conditions assumed in a rate case may result in a variation in actual revenues of several hundred million dollars from the forecast.

Consistent with Administration policy, BPA will continue to fully recover, from the sale of electric power and transmission, funds sufficient to cover the full cost of Civil Service Retirement System and Post-Retirement Health Benefits for its employees. The entire cost of BPA and the power share of FCRPS U.S. Army Corps of Engineers and U.S. Bureau of Reclamation employees working under the Federal Employees Retirement System is fully recovered in wholesale electric power and transmission rates.

**Balance Sheet** (in millions of dollars)

Identification code 089-4045-0-3-271	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	524	524
Investments in US securities:		
1106 Receivables, net .....	1	1
1206 Non-Federal assets: Receivables, net .....	304	304
Other Federal assets:		
1802 Inventories and related properties .....	112	112
1803 Property, plant and equipment, net .....	6,253	6,253
1901 Other assets .....	16,191	16,191
1999 Total assets .....	23,385	23,385
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	68	68
2103 Debt .....	9,300	9,300
Non-Federal liabilities:		
2201 Accounts payable .....	411	411
2203 Debt .....	5,787	5,787
2207 Other .....	7,819	7,819
2999 Total liabilities .....	23,385	23,385
4999 Total liabilities and net position .....	23,385	23,385

**Object Classification** (in millions of dollars)

Identification code 089-4045-0-3-271	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	413	442	442
12.1 Civilian personnel benefits .....	130	139	139
21.0 Travel and transportation of persons .....	21	22	22
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	17	19	19
23.2 Rental payments to others .....	30	32	32
23.3 Communications, utilities, and miscellaneous charges .....	10	11	11
25.1 Advisory and assistance services .....	221	237	237
25.2 Other services from non-Federal sources .....	1,935	2,088	2,089
25.5 Research and development contracts .....	13	11	11
26.0 Supplies and materials .....	59	63	63
31.0 Equipment .....	232	248	249
32.0 Land and structures .....	397	425	425
41.0 Grants, subsidies, and contributions .....	45	49	49
43.0 Interest and dividends .....	260	277	278
99.9 Total new obligations .....	3,785	4,065	4,068

**Employment Summary**

Identification code 089-4045-0-3-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,836	3,100	3,100

**DEPARTMENTAL ADMINISTRATION**

*Federal Funds*

DEPARTMENTAL ADMINISTRATION

For salaries and expenses of the Department of Energy necessary for departmental administration in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), **[\$248,142,000]** \$270,037,000, to remain available until September 30, **[2017]** 2018, including the hire of passenger motor vehicles and official reception and representation expenses not to exceed \$30,000, plus such additional amounts as necessary to cover increases in the estimated amount of cost of work for others notwithstanding the provisions of the Anti-Deficiency Act (31 U.S.C. 1511 et seq.): *Provided*, That such increases in cost of work are offset by revenue increases of the same or greater amount: *Provided further*, That moneys received by the Department for miscellaneous revenues estimated to total **[\$117,171,000]** \$125,171,000 in fiscal year **[2016]** 2017 may be retained and used for operating expenses within this account, as authorized by section 201 of Public Law 95-238, notwithstanding the provisions of 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2016]** 2017 appropriation from the general fund estimated at not more than **[\$130,971,000]** \$144,866,000: *Provided further*, That of the total amount made available under this heading, **[\$31,297,000]** is for Energy Policy and Systems Analysis] \$3,000,000 is to support the Department's activities related to implementation of the Digital Accountability and Transparency Act (DATA Act; Public Law 113-101; 31 U.S.C. 6101 note), to include changes in business processes, workforce, or information technology to support high quality, transparent Federal spending information. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 089-0228-0-1-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Cost of Work for Others .....	22		
0003 Office of the Secretary .....	6	6	5
0004 Office of Congressional and Intergovernmental Affairs .....	5	1	6
0005 Office of Public Affairs .....	3	4	3
0006 General Counsel .....	33	33	31
0008 Economic Impact and Diversity .....	9	9	11
0009 Chief Financial Officer .....	1		
0011 Human Capital Management .....	24	25	25
0012 Indian Energy Policy .....	6	16	
0013 Energy Policy and Systems Analysis .....	30	35	31
0014 International Affairs .....	25	18	19
0015 Office of Small and Disadvantaged Business Utilization .....	2	3	3
0018 Management .....	62	65	59
0020 Project Management Oversight and Assessment .....			18
0030 Cost Estimating and Program Evaluation .....			5
0040 Office of the Energy Jobs Development .....			4

0045	Strategic Partnership Programs .....	40	39
0799	Total direct obligations .....	228	255
0801	Departmental Administration (Reimbursable) .....	4	4
0900	Total new obligations .....	232	259
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	74	87
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	72	.....
1011	Unobligated balance transfer from other acct [072-1037] ....	10	.....
1021	Recoveries of prior year unpaid obligations .....	4	.....
1050	Unobligated balance (total) .....	88	87
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	165	167
1121	Appropriations transferred from other acct [072-1037] ....	1	.....
1131	Unobligated balance of appropriations permanently reduced .....	-1	.....
1160	Appropriation, discretionary (total) .....	165	167
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [011-5512] ....	2	.....
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	81	81
1900	Budget authority (total) .....	248	248
1930	Total budgetary resources available .....	336	335
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-17	.....
1941	Unexpired unobligated balance, end of year .....	87	76
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	90	98
3010	Obligations incurred, unexpired accounts .....	232	259
3020	Outlays (gross) .....	-216	-285
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-4	.....
3050	Unpaid obligations, end of year .....	98	72
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	90	98
3200	Obligated balance, end of year .....	98	72
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	246	248
Outlays, gross:			
4010	Outlays from new discretionary authority .....	124	205
4011	Outlays from discretionary balances .....	92	78
4020	Outlays, gross (total) .....	216	283
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-34	-34
4033	Non-Federal sources .....	-47	-47
4040	Offsets against gross budget authority and outlays (total) ....	-81	-81
4070	Budget authority, net (discretionary) .....	165	167
4080	Outlays, net (discretionary) .....	135	202
Mandatory:			
4090	Budget authority, gross .....	2	.....
Outlays, gross:			
4101	Outlays from mandatory balances .....	2	.....
4180	Budget authority, net (total) .....	167	167
4190	Outlays, net (total) .....	135	204

**Office of the Secretary (OSE).**—Directs and leads the management of the Department and provides policy guidance to line and staff organizations in the accomplishment of DOE's mission.

**Congressional and Intergovernmental Affairs (CI).**—Responsible for the Department's liaison, communication, coordinating, directing, and promoting the Secretary's and the Department's policies and legislative initiatives with Congress, State, territorial, Tribal and local government officials, other Federal agencies, and the general public.

**Public Affairs (PA).**—Responsible for directing and managing the Department's policies and initiatives with the public, news media, and other stakeholders on energy issues. The Office serves as the Department's chief spokesperson with the news media, shapes initiatives aimed at educating the press and public about energy issues, builds and maintains the Department's Energy.gov internet platform.

**General Counsel (GC).**—Responsible for providing legal services to all Department offices, and for determining the Department's authoritative position on any question of law with respect to all Department offices and programs, except for those belonging exclusively to the Federal Energy Regulatory Commission. GC is responsible for the coordination and clearance of proposed legislation affecting energy policy and Department activities. GC administers and monitors standards of conduct requirements, conducts patent program and intellectual property activities, and coordinates rulemaking actions of the Department with other Federal agencies.

**Economic Impact and Diversity (ED).**—Develops and executes Department-wide policies to implement applicable statutes and Executive Orders that strengthen diversity goals affecting equal employment opportunities, minority businesses, minority educational institutions, and historically underrepresented communities. ED identifies ways of ensuring that underrepresented populations are afforded an opportunity to participate fully in the energy programs of the Department.

**Chief Financial Officer (CFO).**—Assures the effective management and financial integrity of DOE programs, activities, and resources by developing, implementing, and monitoring Department-wide policies and systems in the areas of budget administration, finance and accounting, internal controls and financial policy, corporate financial systems, and strategic planning. Also includes continued support for DATA Act implementation.

**Chief Information Officer (CIO).**—Provides advice and assistance to the Secretary and other senior managers to ensure that information technology is acquired and information resources are managed in a manner that complies with policies and procedures of statutory requirements.

**Chief Human Capital Officer (HC).**—Provides leadership to the Department on the impact and use of policies, proposals, programs, partnership agreements and relationships related to all aspects of human capital management. HC seeks solutions that address workforce issues in the areas of recruiting, hiring, motivating, succession planning, competency development, training and learning, retention, and diversity. It also provides leadership and direction on DOE human capital issues with other Federal agencies.

**Energy Policy and Systems Analysis (EPSA).**—Serves as the principal policy advisor to the Secretary on energy and related integration of energy systems. EPSA serves as a focal point for policy coordination within the Department on the formulation, analysis, and implementation of energy policy and related programmatic options and initiatives that could facilitate the transition to a low-carbon and secure energy economy.

**International Affairs (IA).**—Advises Departmental leadership on strategic implementation of the United States' international energy policy. IA develops and leads the Department's bilateral and multilateral R&D cooperation, including investment and trade activities with other nations and international agencies, and represents the Department and the United States Government in interagency processes, intergovernmental forums, and bilateral and multilateral proceedings that address the development and implementation of energy policies, strategies and objectives.

**Office of Small and Disadvantaged Business Utilization (OSDBU).**—Responsible for maximizing contracting and subcontracting opportunities for small businesses interested in doing business with the Department. A primary responsibility of OSDBU is to work in partnership with Departmental program elements to achieve prime and subcontracting small business goals set forth by the U.S. Small Business Administration.

**Office of Management (MA).**—Provides DOE with centralized direction and oversight for the full range of management, procurement and administrative services. MA is responsible for project and contract management policy development and oversight, acquisition and contract administration, and delivery of procurement services to DOE headquarters organizations. MA's administrative activities include the management of headquarters facilities and the delivery of other services critical to meeting Federal sustainability goals and other proper functions of the Department.

**Project Management Oversight and Assessment (PM).**—Provides the Department corporate oversight, managerial leadership and assistance in

DEPARTMENTAL ADMINISTRATION—Continued

developing and implementing DOE-wide policies, procedures, programs, and management systems pertaining to project management, and manages the project management career development program for DOE's Federal Project Directors. PMOA also provides independent oversight of Environmental Management's portfolio of capital asset projects, including all activities involved with on-site cost, schedule, technical and management status reviews, as well as analyzing and reporting performance progress of the projects.

**Cost Estimating and Program Evaluation-DOE (CEPE-DOE).**— Provides independent analytic advice on all aspects of DOE-wide programs, including cost effectiveness, development and evaluation of program alternatives. CEPE-DOE develops cost estimating policy and practices, provides timely and unbiased analysis, and performs independent cost estimation for the Department. CEPE-DOE ensures that the Department's cost estimation and cost analysis processes provide accurate information and realistic estimates of cost for the Department's programs, projects, and acquisitions.

**Energy Jobs Development (EJD).**—Manages the collection of annual energy jobs growth data and issues annual reports, coordinates the ongoing energy workforce development activities within the program offices and laboratories, manages external partnerships with other federal agencies on energy workforce, and provides energy economic development technical services to states, municipalities, and tribal governments.

**Strategic Partnership Programs (SPP).**— (formerly, Cost of Work for Others) Covers the cost of work performed under orders placed with the Department by non-DOE entities that are precluded by law from making advance payments and certain revenue programs. Reimbursement of these costs is made through deposits of offsetting collections to this account.

**Object Classification** (in millions of dollars)

Identification code 089-0228-0-1-276	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	84	108	112
11.9 Total personnel compensation .....	84	108	112
12.1 Civilian personnel benefits .....	24	29	32
21.0 Travel and transportation of persons .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.7 Other Contractual Services .....	111	110	107
26.0 Other Services .....	2	2	2
44.0 Non-Capitalized Personal Property .....	1		
99.0 Direct obligations .....	228	255	259
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	232	259	263

**Employment Summary**

Identification code 089-0228-0-1-276	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	695	832	843

OFFICE OF THE INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$46,424,000]** \$44,424,000, to remain available until September 30, **[2017]** 2018. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 089-0236-0-1-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General (Direct) .....	48	51	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	9	4
1021 Recoveries of prior year unpaid obligations .....	1		

1050 Unobligated balance (total) .....	16	9	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	41	46	44
1930 Total budgetary resources available .....	57	55	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	4	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	8
3010 Obligations incurred, unexpired accounts .....	48	51	48
3020 Outlays (gross) .....	-48	-48	-47
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	5	8	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	8
3200 Obligated balance, end of year .....	5	8	9

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	41	46	44
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	39	37
4011 Outlays from discretionary balances .....	19	9	10
4020 Outlays, gross (total) .....	48	48	47
4180 Budget authority, net (total) .....	41	46	44
4190 Outlays, net (total) .....	48	48	47

The Office of Inspector General (OIG) provides Department-wide (including the National Nuclear Security Administration and the Federal Energy Regulatory Commission) audit, inspection, and investigative functions to identify and recommend corrections for management and administrative deficiencies, which create conditions for existing or potential instances of fraud, waste, abuse and violations of law. The audit function provides financial and performance audits of programs and operations. The inspection function provides independent inspection and analysis of the performance of programs and operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. Through these efforts, the OIG identifies opportunities for cost savings and operational efficiency; identifies programs that are not meeting performance expectations; recovers monies to the Department and the Treasury as a result of civil and criminal prosecutions; and identifies ways to make Departmental programs safer and more secure.

**Object Classification** (in millions of dollars)

Identification code 089-0236-0-1-276	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	27	29	27
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	29	31	29
12.1 Civilian personnel benefits .....	11	12	11
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	4	4	4
99.9 Total new obligations .....	48	51	48

**Employment Summary**

Identification code 089-0236-0-1-276	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	277	279	279

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 089-4563-0-4-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Payroll and other personnel .....	6	8	8
0802 Project management and career development program .....	1	2	2
0810 Supplies .....	2	2	2
0812 Photocopying .....	4	3	3
0813 Printing and graphics .....	3	4	4
0814 Building rental, operations & maintenance .....	109	102	102
0815 iManage .....	40	30	30
0816 Mail and Transportation Services .....	4	4	4
0817 Internal control/Financial Statement Audit .....	10	12	12
0818 Procurement Management .....	18	18	18
0820 Telecommunication .....	34	32	32
0821 Overseas Representation .....	13	17	17
0822 Interagency Transfers to GSA .....	10	6	6
0823 Health Services .....	1	2	2
0824 CyberOne .....	22	33	33
0825 Corporate Training Services .....	2	3	3
0826 Financial Reporting Control Assessment .....	1	2	2
0827 Pension Studies .....	.....	1	1
0900 Total new obligations .....	280	281	281
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	30	30
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	281	281	281
1930 Total budgetary resources available .....	310	311	311
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	30	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	131	74
3010 Obligations incurred, unexpired accounts .....	280	281	281
3020 Outlays (gross) .....	-277	-338	-337
3050 Unpaid obligations, end of year .....	131	74	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	128	131	74
3200 Obligated balance, end of year .....	131	74	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	281	281	281
Outlays, gross:			
4010 Outlays from new discretionary authority .....	149	270	270
4011 Outlays from discretionary balances .....	128	68	67
4020 Outlays, gross (total) .....	277	338	337
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-281	-281	-281
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-4	57	56

The Department's Working Capital Fund (WCF) provides the following shared services: rent and building operations, telecommunications, cyber-security, automated office systems including the Standard Accounting and Reporting System, Strategic Integrated Procurement Enterprise System, payroll and personnel processing, administrative services, training and health services, overseas representation, procurement management, audits, and controls for financial reporting. The WCF helps the Department reduce waste and improve efficiency.

Object Classification (in millions of dollars)

Identification code 089-4563-0-4-276	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	13	14	14
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	15	16	16
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1

23.1 Rental payments to GSA .....	53	53	53
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	15	15	15
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	44	44	44
25.2 Other services from non-Federal sources .....	20	20	20
25.3 Other goods and services from Federal sources .....	86	85	85
25.4 Operation and maintenance of facilities .....	24	25	25
25.6 Medical care .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	9	9	9
99.9 Total new obligations .....	280	281	281

Employment Summary

Identification code 089-4563-0-4-276	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	94	94	94

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Offsetting receipts from the public:</b>			
089-223000 Oil and Gas Sale Proceeds at NPRs .....	2	.....	.....
089-279530 DOE ATM Direct Loans Downward Reestimate Account .....	.....	19	12
089-279730 DOE Loan Guarantees Downward Reestimate Account ....	131	112	.....
089-224500 Sale and Transmission of Electric Energy, Falcon Dam .....	2	1	1
089-089400 Fees and Recoveries, Federal Energy Regulatory Commission .....	17	24	9
089-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	87	14	14
089-267910 Title 17 Innovative Technology Loan Guarantees, Negative Subsidies .....	65	48	37
089-224900 Sale of Power and Other Utilities, not Otherwise Classified .....	93	30	30
089-288900 Repayments on Miscellaneous Recoverable Costs, not Otherwise Classified .....	38	38	39
089-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration .....	94	66	72
089-224800 Sale and Transmission of Electric Energy, Southeastern Power Administration .....	194	189	189
General Fund Offsetting receipts from the public .....	742	534	391
<b>Intragovernmental payments:</b>			
089-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	.....	7	7
General Fund Intragovernmental payments .....	.....	7	7

GENERAL PROVISIONS—DEPARTMENT OF ENERGY

(INCLUDING TRANSFER [AND RESCISSIONS] OF FUNDS)

SEC. 301. (a) No appropriation, funds, or authority made available by this title for the Department of Energy shall be used to initiate or resume any program, project, or activity or to prepare or initiate Requests For Proposals or similar arrangements (including Requests for Quotations, Requests for Information, and Funding Opportunity Announcements) for a program, project, or activity if the program, project, or activity has not been funded by Congress.

(b)(1) Unless the Secretary of Energy notifies the Committees on Appropriations of both Houses of Congress at least 3 full business days in advance, none of the funds made available in this title may be used to—

(A) make a grant allocation or discretionary grant award totaling \$1,000,000 or more;

(B) make a discretionary contract award or Other Transaction Agreement totaling \$1,000,000 or more, including a contract covered by the Federal Acquisition Regulation;

(C) issue a letter of intent to make an allocation, award, or Agreement in excess of the limits in subparagraph (A) or (B); or

(D) announce publicly the intention to make an allocation, award, or Agreement in excess of the limits in subparagraph (A) or (B).

(2) The Secretary of Energy shall submit to the Committees on Appropriations of both Houses of Congress within 15 days of the conclusion of each quarter a report detailing each grant allocation or discretionary grant award totaling less than \$1,000,000 provided during the previous quarter.

(3) The notification required by paragraph (1) and the report required by paragraph (2) shall include the recipient of the award, the amount of the award, the fiscal year for which the funds for the award were appropriated, the account and program, project, or activity from which the funds are being drawn, the title of the award, and a brief description of the activity for which the award is made.

(c) The Department of Energy may not, with respect to any program, project, or activity that uses budget authority made available in this title under the heading "Department of Energy—Energy Programs", enter into a multiyear contract, award a multiyear grant, or enter into a multiyear cooperative agreement unless—

(1) the contract, grant, or cooperative agreement is funded for the full period of performance as anticipated at the time of award; or

(2) the contract, grant, or cooperative agreement includes a clause conditioning the Federal Government's obligation on the availability of future year budget authority and the Secretary notifies the Committees on Appropriations of both Houses of Congress at least 3 days in advance.

(d) Except as provided in subsections (e), (f), and (g), the amounts made available by this title shall be expended as authorized by law for the programs, projects, and activities specified in the "Final Bill" column in the "Department of Energy" table included under the heading "Title III—Department of Energy" in the explanatory statement [described in section 4 (in the matter preceding division A of this consolidated) *accompanying this Act*].

(e) The amounts made available by this title may be reprogrammed for any program, project, or activity, and the Department shall notify the Committees on Appropriations of both Houses of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program, project, or activity funding level to increase or decrease by more than \$5,000,000 or 10 percent, whichever is less, during the time period covered by this Act.

(f) None of the funds provided in this title shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates, initiates, or eliminates a program, project, or activity;

(2) increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act; or

(3) reduces funds that are directed to be used for a specific program, project, or activity by this Act.

(g)(1) The Secretary of Energy may waive any requirement or restriction in this section that applies to the use of funds made available for the Department of Energy if compliance with such requirement or restriction would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Secretary of Energy shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver.

SEC. 302. The unexpended balances of prior appropriations provided for activities in this Act may be available to the same appropriation accounts for such activities established pursuant to this title. Available balances may be merged with funds in the applicable established accounts and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 303. Funds appropriated by this or any other Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year [2016]2017 until the enactment of the Intelligence Authorization Act for fiscal year [2016]2017.

SEC. 304. None of the funds made available in this title shall be used for the construction of facilities classified as high-hazard nuclear facilities under 10 CFR Part 830 unless independent oversight is conducted by the Office of [Independent] Enterprise Assessments to ensure the project is in compliance with nuclear safety requirements.

SEC. 305. None of the funds made available in this title may be used to approve critical decision-2 or critical decision-3 under Department of Energy Order 413.3B, or any successive departmental guidance, for construction projects where the total project cost exceeds \$100,000,000, until a separate independent cost estimate has been developed for the project for that critical decision.

SEC. 306. Notwithstanding section 301(c) of this Act, none of the funds made available under the heading "Department of Energy—Energy Programs—Science" in this or any subsequent Energy and Water Development and Related Agencies appropriations Act for any fiscal year may be used for a multiyear contract, grant, cooperative agreement, or Other Transaction Agreement of \$1,000,000 or less unless

the contract, grant, cooperative agreement, or Other Transaction Agreement is funded for the full period of performance as anticipated at the time of award.

SEC. 307. (a) None of the funds made available in this or any prior Act under the heading "Defense Nuclear Nonproliferation" may be made available to enter into new contracts with, or new agreements for Federal assistance to, the Russian Federation.

(b) The Secretary of Energy may waive the prohibition in subsection (a) if the Secretary determines that such activity is in the national security interests of the United States. This waiver authority may not be delegated.

(c) A waiver under subsection (b) shall not be effective until 15 days after the date on which the Secretary submits to the Committees on Appropriations of both Houses of Congress, in classified form if necessary, a report on the justification for the waiver. ]

SEC. [308]307. (a) NEW REGIONAL RESERVES.—The Secretary of Energy may not establish any new regional petroleum product reserve unless funding for the proposed regional petroleum product reserve is explicitly requested in advance in an annual budget submission and approved by the Congress in an appropriations Act.

(b) The budget request or notification shall include—

(1) the justification for the new reserve;

(2) a cost estimate for the establishment, operation, and maintenance of the reserve, including funding sources;

(3) a detailed plan for operation of the reserve, including the conditions upon which the products may be released;

(4) the location of the reserve; and

(5) the estimate of the total inventory of the reserve.

SEC. 309. Of the amounts made available by this Act for "National Nuclear Security Administration—Weapons Activities", up to \$50,000,000 may be reprogrammed within such account for Domestic Uranium Enrichment, subject to the notice requirement in section 301(e). ]

SEC. 310. (a) Unobligated balances available from appropriations are hereby rescinded from the following accounts of the Department of Energy in the specified amounts:

(1) "Energy Programs—Energy Efficiency and Renewable Energy", \$1,355,149.00 from Public Law 110–161; \$627,299.24 from Public Law 111–8; and \$1,824,051.94 from Public Law 111–85.

(2) "Energy Programs—Science", \$3,200,000.00.

(b) No amounts may be rescinded by this section from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. ]

SEC. 311. Notwithstanding any other provision of law, the provisions of 40 U.S.C. 11319 shall not apply to funds appropriated in this title to Federally Funded Research and Development Centers sponsored by the Department of Energy. ]

SEC. 312. None of the funds made available in this Act may be used—

(1) to implement or enforce section 430.32(x) of title 10, Code of Federal Regulations; or

(2) to implement or enforce the standards established by the tables contained in section 325(i)(1)(B) of the Energy Policy and Conservation Act (42 U.S.C. 6295(i)(1)(B)) with respect to BPAR incandescent reflector lamps, BR incandescent reflector lamps, and ER incandescent reflector lamps. ]

SEC. 313. (a) Of the funds appropriated in prior Acts under the headings "Fossil Energy Research and Development" and "Clean Coal Technology" for prior solicitations under the Clean Coal Power Initiative and FutureGen, not less than \$160,000,000 from projects selected under such solicitations that have not reached financial close and have not secured funding sufficient to construct the project prior to 30 days after the date of enactment of this Act shall be deobligated, if necessary, shall be utilized for previously selected demonstration projects under such solicitations that have reached financial close or have otherwise secured funding sufficient to construct the project prior to 30 days after the date of enactment of this Act, and shall be allocated among such projects in proportion to the total financial contribution by the recipients to those projects stipulated in their respective cooperative agreements.

(b) Funds utilized pursuant to subsection (a) shall be administered in accordance with the provisions in the Act in which the funds for those demonstration projects were originally appropriated, except that financial assistance for costs in excess of those estimated as of the date of award of the original financial assistance may be provided in excess of the proportion of costs borne by the Government in the original agreement and shall not be limited to 25 percent of the original financial assistance.

(c) No amounts may be repurposed pursuant to this section from amounts that were designated by the Congress as an emergency requirement pursuant to a

concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

(d) This section shall be fully implemented not later than 60 days after the date of enactment of this Act.】

*SEC. 308. Amounts made available by this title may be transferred to the Technology Commercialization Fund in amounts not to exceed 0.9% of the amounts appropriated for applied energy research and development. Amounts so transferred shall be available for a broad spectrum of energy technology or combination of technologies, consistent with section 1001 of the Energy Policy Act of 2005 (42 U.S. Code paragraph 16391(e)), and shall remain available until expended.*

*SEC. 309. Not to exceed 5 percent of any appropriation made available for Department of Energy activities funded in this Act or subsequent Energy and Water Development and Related Agencies Appropriations Acts may be transferred between such appropriations, but no such appropriation, except as otherwise provided, shall be increased or decreased by more than 5 percent by any such transfers, and notification of any such transfers shall be submitted promptly to the Committees on Appropriations of the House of Representatives and the Senate.*

*SEC. 310. Consolidated Emergency Operations Center. Amounts available for the Department of Energy under this title in this and prior appropriations Acts shall be available for the design of a consolidated Emergency Operations Center: Provided, That no amounts may be repurposed from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

*SEC. 311. TREATMENT OF LOBBYING AND POLITICAL ACTIVITY COSTS AS ALLOWABLE COSTS UNDER DEPARTMENT OF ENERGY CONTRACTS.*

(a) Allowable Costs.—

(1) Section 4801(b) of the Atomic Energy Defense Act (50 U.S.C. 2781(b)) is amended—

(A) by striking "(1)" and all that follows through "the Secretary" and inserting "The Secretary"; and

(B) by striking paragraph (2).

(2) Section 305 of the Energy and Water Development Appropriation Act, 1988, as contained in section 101(d) of Public Law 100–202 (101 Stat. 1329–125), is repealed.

(b) Regulations Revised.—*The Secretary of Energy shall revise existing regulations consistent with the repeal of 50 U.S.C. 2781(b)(2) and section 305 of Public Law 100–202 and shall issue regulations to implement 50 U.S.C. 2781(b), as amended by subsection (a), no later than 150 days after the date of the enactment of this Act. Such regulations shall be consistent with the Federal Acquisition Regulation 48 C.F.R. 31.205–22.*

*(Energy and Water Development and Related Agencies Appropriations Act, 2016.)*

## TITLE V—GENERAL PROVISIONS

### GENERAL PROVISIONS

**SEC. 501.** None of the funds appropriated by this Act may be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before Congress, other than to communicate to Members of Congress as described in 18 U.S.C. 1913.

【**SEC. 502.** (a) None of the funds made available in title III of this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by or transfer authority provided in this Act or any other appropriations Act for any fiscal year, transfer authority referenced in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality.

(b) None of the funds made available for any department, agency, or instrumentality of the United States Government may be transferred to accounts funded in title III of this Act, except pursuant to a transfer made by or transfer authority provided in this Act or any other appropriations Act for any fiscal year, transfer authority referenced in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality.

(c) The head of any relevant department or agency funded in this Act utilizing any transfer authority shall submit to the Committees on Appropriations of both Houses of Congress a semiannual report detailing the transfer authorities, except for any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality, used in the previous 6 months and in the year-to-date. This report shall include the amounts transferred and the purposes for which they were transferred, and shall not replace or modify existing notification requirements for each authority.】

**SEC. 【503】502.** None of the funds made available by this Act may be used in contravention of Executive Order No. 12898 of February 11, 1994 (Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations). *(Energy and Water Development and Related Agencies Appropriations Act, 2016.)*



# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; and notwithstanding section 521 of Public Law 107-188; **[\$4,681,392,000] \$4,755,944,000**: *Provided*, That of the amount provided under this heading, **[\$851,481,000] \$865,653,000** shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h, and shall be credited to this account and remain available until expended; **[\$137,677,000] \$144,859,000** shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and remain available until expended; **[\$318,363,000] \$324,085,000** shall be derived from human generic drug user fees authorized by 21 U.S.C. 379j-42, and shall be credited to this account and remain available until expended; **[\$21,540,000] \$22,079,000** shall be derived from biosimilar biological product user fees authorized by 21 U.S.C. 379j-52, and shall be credited to this account and remain available until expended; **[\$22,818,000] \$22,977,000** shall be derived from animal drug user fees authorized by 21 U.S.C. 379j-12, and shall be credited to this account and remain available until expended; **[\$9,705,000] \$10,367,000** shall be derived from animal generic drug user fees authorized by 21 U.S.C. 379j-21, and shall be credited to this account and remain available until expended; **[\$599,000,000] \$635,000,000** shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s, and shall be credited to this account and remain available until expended: *Provided further*, That in addition to and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees, medical device user fees, human generic drug user fees, biosimilar biological product user fees, animal drug user fees, and animal generic drug user fees that exceed the respective fiscal year **[2016] 2017** limitations are appropriated and shall be credited to this account and remain available until expended: *Provided further*, That fees derived from prescription drug, medical device, human generic drug, biosimilar biological product, animal drug, and animal generic drug assessments for fiscal year **[2016] 2017**, including any such fees collected prior to fiscal year **[2016] 2017** but credited for fiscal year **[2016] 2017**, shall be subject to the fiscal year **[2016] 2017** limitations: *Provided further*, That the Secretary may accept payment during fiscal year **[2016] 2017** of user fees specified under this heading and authorized for fiscal year **[2017] 2018**, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year **[2017] 2018** for which the Secretary accepts payment in fiscal year **[2016] 2017** shall not be included in amounts under this heading: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) \$987,328,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs; (2) \$1,394,136,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs; (3) \$354,901,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$187,825,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$430,443,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs; (6) \$63,331,000 shall be for the National Center for Toxicological Research; (7) \$564,117,000 shall be for the Center for Tobacco Products and for related field activities in the Office of Regulatory Affairs; (8) not to exceed \$171,418,000 shall be for Rent and Related activities, of which \$52,346,000 is for White Oak Consolidation, other than the amounts paid to the General Services Administration for rent; (9) not to exceed \$238,274,000 shall be for payments to the General Services Administration for rent; and (10) \$289,619,000 shall be for other activities, including the Office of the Commissioner of Food and Drugs, the Office of Foods and Veterinary Medicine, the Office of Medical and Tobacco Products, the Office of Global and Regulatory Policy, the Office of Operations, the Office of the Chief Scientist, and central services for these offices: *Provided further*, That not to exceed \$25,000 of this amount shall be for official reception and representation expenses, not otherwise provided for, as determined by the Commissioner: *Provided further*, That any transfer of funds pursuant to section 770(n) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 379dd(n)) shall only be from amounts made available under this heading for other activities: *Provided further*,

That of the amounts that are made available under this heading for "other activities", and that are not derived from user fees, \$1,500,000 shall be transferred to and merged with the appropriation for "Department of Health and Human Services—Office of Inspector General" for oversight of the programs and operations of the Food and Drug Administration and shall be in addition to funds otherwise made available for oversight of the Food and Drug Administration: *Provided further*, That funds may be transferred from one specified activity to another with the prior **[approval]** *notification* of the Committees on Appropriations of both Houses of Congress.

In addition, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, priority review user fees authorized by 21 U.S.C. 360n and 360ff, food and feed recall fees, food reinspection fees, and voluntary qualified importer program fees authorized by 21 U.S.C. 379j-31, outsourcing facility fees authorized by 21 U.S.C. 379j-62, prescription drug wholesale distributor licensing and inspection fees authorized by 21 U.S.C. 353(e)(3), **[and]** third-party logistics provider licensing and inspection fees authorized by 21 U.S.C. 360eee-3(c)(1), and third-party auditor fees authorized by 21 U.S.C. 384d(c)(8), shall be credited to this account, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

#### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, *demolition*, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$8,788,000] \$11,788,000**, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9911-0-1-554	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	2
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, FDA .....	2	3	3
2000 Total: Balances and receipts .....	3	4	5
Appropriations:			
Current law:			
2101 Salaries and Expenses .....	-2	-2	-2
5099 Balance, end of year .....	1	2	3

#### Program and Financing (in millions of dollars)

Identification code 075-9911-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Foods .....	903	987	1,013
0002 Drugs .....	482	492	492
0003 Devices and Radiological Products .....	321	323	326
0004 National Center for Toxicological Research .....	63	63	60
0005 Other Activities .....	173	182	178
0006 Other Rent and Rent Related Activities .....	116	121	115
0007 Rental Payments .....	169	177	170
0008 Buildings and Facilities .....	9	9	12
0009 CRADAs .....	2	2	2
0010 Animal Drugs and Feed .....	147	159	162
0011 Biologics .....	211	215	215
0012 Food and Drug Safety (no-year) .....	12	.....	.....
0013 Ebola (Emergency pursuant to 2011 BCA) .....	11	.....	.....
0799 Total direct obligations .....	2,619	2,730	2,745
0801 Reimbursable program (User fees) .....	1,898	2,009	2,074
0802 Reimbursable program (Federal sources) .....	43	.....	.....
0899 Total reimbursable obligations .....	1,941	2,009	2,074
0900 Total new obligations .....	4,560	4,739	4,819
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	973	947	948
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	973	947	.....
1021 Recoveries of prior year unpaid obligations .....	16	.....	.....
1050 Unobligated balance (total) .....	989	947	948
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,622	2,730	2,743

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 075–9911–0–1–554	2015 actual	2016 est.	2017 est.
1120 Appropriations transferred to other accts [075–0128] .....	–2	–2	.....
1160 Appropriation, discretionary (total) .....	2,620	2,728	2,743
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,958	2,010	2,075
1700 Collected .....	.....	59	.....
1701 Change in uncollected payments, Federal sources .....	27	–59	.....
1702 Offsetting collections (previously unavailable) .....	533	567	333
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	–630	–567	–333
1750 Spending auth from offsetting collections, disc (total) .....	1,888	2,010	2,075
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8	.....	.....
1900 Budget authority (total) .....	4,518	4,740	4,820
1930 Total budgetary resources available .....	5,507	5,687	5,768
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	947	948	949
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,677	2,781	2,988
3010 Obligations incurred, unexpired accounts .....	4,560	4,739	4,819
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	–4,373	–4,532	–4,683
3040 Recoveries of prior year unpaid obligations, unexpired .....	–16	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–69	.....	.....
3050 Unpaid obligations, end of year .....	2,781	2,988	3,124
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–51	–59	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	–27	59	.....
3071 Change in uncollected pymts, Fed sources, expired .....	19	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–59	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,626	2,722	2,988
3200 Obligated balance, end of year .....	2,722	2,988	3,124
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,508	4,738	4,818
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,062	3,602	3,676
4011 Outlays from discretionary balances .....	2,297	928	1,005
4020 Outlays, gross (total) .....	4,359	4,530	4,681
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–31	–59	.....
4033 Non-Federal sources .....	–1,942	–2,010	–2,075
4040 Offsets against gross budget authority and outlays (total) .....	–1,973	–2,069	–2,075
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–27	59	.....
4052 Offsetting collections credited to expired accounts .....	15	.....	.....
4060 Additional offsets against budget authority only (total) .....	–12	59	.....
4070 Budget authority, net (discretionary) .....	2,523	2,728	2,743
4080 Outlays, net (discretionary) .....	2,386	2,461	2,606
Mandatory:			
4090 Budget authority, gross .....	10	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
4101 Outlays from mandatory balances .....	13	.....	.....
4110 Outlays, gross (total) .....	14	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–1	.....	.....
4123 Non-Federal sources .....	–7	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	–8	.....	.....
4160 Budget authority, net (mandatory) .....	2	2	2
4170 Outlays, net (mandatory) .....	6	2	2
4180 Budget authority, net (total) .....	2,525	2,730	2,745
4190 Outlays, net (total) .....	2,392	2,463	2,608
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	541	638	638

5092 Unexpired unavailable balance, EOY: Offsetting collections .....	638	638	638
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Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	2,525	2,730	2,745
Outlays .....	2,392	2,463	2,608
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	75
Outlays .....	.....	.....	15
Total:			
Budget Authority .....	2,525	2,730	2,820
Outlays .....	2,392	2,463	2,623

[In millions of dollars]

	2015	2016	2017
Distribution of discretionary budget authority by account:			
Salaries and expenses .....	2,587	2,719	2,731
Buildings and facilities .....	9	9	12
Distribution of discretionary outlays by account:			
Salaries and expenses .....	2,376	2,512	2,599
Buildings and facilities .....	10	8	7

The Food and Drug Administration (FDA) promotes and protects public health by overseeing the safety, efficacy, quality, and security of human and veterinary drugs, biological products, medical devices, foods, cosmetics, and products that emit radiation. FDA also has responsibility for regulating the manufacturing, marketing, and distribution of tobacco products to protect public health and to reduce tobacco use by minors. FDA advances public health by helping to speed innovations that make medicines more effective, safer, and more affordable and by helping the public get the accurate, science-based information they need to use medicines and foods to maintain and improve their health. FDA supports the Nation's counterterrorism capability by ensuring the security of the food supply and by fostering the development of medical products and countermeasures to respond to deliberate and naturally emerging public health threats. The FY 2017 Budget provides funding to support food and medical product safety, including implementation of the Food Safety Modernization Act, encourages development of innovative diagnostics and therapeutics, including cancer diagnostics and treatments, and improves the integrity of operations and infrastructure.

Object Classification (in millions of dollars)

Identification code 075–9911–0–1–554	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	864	896	909
11.3 Other than full-time permanent .....	89	92	94
11.5 Other personnel compensation .....	37	39	40
11.7 Military personnel .....	63	63	64
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	1,054	1,091	1,108
12.1 Civilian personnel benefits .....	318	329	335
12.2 Military personnel benefits .....	31	32	32
21.0 Travel and transportation of persons .....	47	50	50
22.0 Transportation of things .....	4	4	4
23.1 Rental payments to GSA .....	169	177	170
23.2 Rental payments to others .....	5	6	6
23.3 Communications, utilities, and miscellaneous charges .....	31	33	33
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	69	70	70
25.2 Other services from non-Federal sources .....	345	365	364
25.3 Other goods and services from Federal sources .....	126	131	131
25.4 Operation and maintenance of facilities .....	81	86	86
25.5 Research and development contracts .....	17	16	16
25.7 Operation and maintenance of equipment .....	76	81	81
26.0 Supplies and materials .....	46	48	48
31.0 Equipment .....	71	75	75
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	125	132	132
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,619	2,730	2,745
99.0 Reimbursable obligations .....	1,941	2,009	2,074
99.9 Total new obligations .....	4,560	4,739	4,819

**Employment Summary**

Identification code 075-9911-0-1-554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9,435	9,687	9,715
1101 Direct military average strength employment .....	696	696	696
2001 Reimbursable civilian full-time equivalent employment .....	5,052	5,520	5,629
2101 Reimbursable military average strength employment .....	373	373	373
3001 Allocation account civilian full-time equivalent employment .....	27	27	27
3101 Allocation account military average strength employment .....	1	1	1

**SALARIES AND EXPENSES**

(Legislative proposal, not subject to PAYGO)

*In addition, contingent upon the enactment of authorizing legislation, the Secretary shall assess user fees with respect to food facility registrations and inspections, food imports, food contact notification activities, cosmetic activities, and international express courier import activities, and such fees shall be credited to this account and remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 075-9911-2-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Food Facility Registration and Inspection .....			61
0802 Food Import .....			105
0803 International Courier .....			6
0804 Food Contact Substance Notification .....			5
0805 Cosmetics .....			20
0806 Export Certification Fee Increase .....			4
0899 Total reimbursable obligations .....			201
0900 Total new obligations .....			201

**Budgetary resources:**

<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			201
1900 Budget authority (total) .....			201
1930 Total budgetary resources available .....			201

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			201
3020 Outlays (gross) .....			-201

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			201
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			201
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....			-201
4040 Offsets against gross budget authority and outlays (total) ....			-201
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The FY 2017 Budget includes a number of new user fees. Legislation will be proposed to allow FDA to collect fees for food import and food facility registration and inspection to implement the requirements of the FDA Food Safety Modernization Act (FSMA). The additional resources, estimated at \$105 million for the food import program, would support FDA's food safety efforts to modernize the import system. The fees collected for the food facility registration and inspection program, estimated at \$61 million, would enable FDA to target new and improved activities required by FSMA to modernize the food safety system and support improvements in safety science and risk analysis to prevent food safety outbreaks. The Budget also proposes user fees to support activities related to cosmetics and food contact notification activities, and fees to support inspection-related activities at domestic courier facilities.

**Object Classification** (in millions of dollars)

Identification code 075-9911-2-1-554	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			29
11.3 Other than full-time permanent .....			4
11.5 Other personnel compensation .....			3
11.9 Total personnel compensation .....			36
12.1 Civilian personnel benefits .....			20
21.0 Travel and transportation of persons .....			2
23.1 Rental payments to GSA .....			6
25.1 Advisory and assistance services .....			10
25.2 Other services from non-Federal sources .....			61
25.3 Other goods and services from Federal sources .....			35
25.4 Operation and maintenance of facilities .....			3
25.5 Research and development contracts .....			2
25.7 Operation and maintenance of equipment .....			4
26.0 Supplies and materials .....			2
31.0 Equipment .....			3
41.0 Grants, subsidies, and contributions .....			17
99.0 Reimbursable obligations .....			201
99.9 Total new obligations .....			201

**Employment Summary**

Identification code 075-9911-2-1-554	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....			242

**SALARIES AND EXPENSES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-9911-4-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0014 Cancer Initiative .....			15
0799 Total direct obligations .....			15
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [075-9915] ....			75
1900 Budget authority (total) .....			75
1930 Total budgetary resources available .....			75
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			60

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			15
3020 Outlays (gross) .....			-15

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			75
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			15
4180 Budget authority, net (total) .....			75
4190 Outlays, net (total) .....			15

**Object Classification** (in millions of dollars)

Identification code 075-9911-4-1-554	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			7
11.9 Total personnel compensation .....			7
12.1 Civilian personnel benefits .....			2
23.1 Rental payments to GSA .....			1
25.1 Advisory and assistance services .....			1
25.2 Other services from non-Federal sources .....			2
25.3 Other goods and services from Federal sources .....			1
41.0 Grants, subsidies, and contributions .....			1
99.0 Direct obligations .....			15

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 075-9911-4-1-554	2015 actual	2016 est.	2017 est.
99.9 Total new obligations .....			15

Employment Summary

Identification code 075-9911-4-1-554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			51

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

Identification code 075-4309-0-3-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Revolving Fund for Certification and Other Services (Reimbursable) .....	7	9	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	9	9
1802 Offsetting collections (previously unavailable) .....	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	7	9	10
1900 Budget authority (total) .....	7	9	10
1930 Total budgetary resources available .....	12	14	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	7	9	10
3020 Outlays (gross) .....	-8	-9	-10
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	9	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	9	10
4101 Outlays from mandatory balances .....	7		
4110 Outlays, gross (total) .....	8	9	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-7	-9	-9
4180 Budget authority, net (total) .....			1
4190 Outlays, net (total) .....	1		1
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics. These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 075-4309-0-3-554	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	5	5
12.1 Civilian personnel benefits .....	1	1	2
23.1 Rental payments to GSA .....	1	1	1

25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	7	9	10

Employment Summary

Identification code 075-4309-0-3-554	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	36	37	37

HEALTH RESOURCES AND SERVICES ADMINISTRATION

Federal Funds

PRIMARY HEALTH CARE

For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, **[\$1,491,522,000** (in addition to the \$3,600,000,000 previously appropriated to the Community Health Center Fund for fiscal year 2016)] **\$1,342,422,000: Provided,** That no more than **[\$100,000]** **\$1,000,000** shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act: *Provided further,* That no more than \$99,893,000 shall be available until expended for carrying out the provisions of Public Law 104-73 and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law **[:** *Provided further,* That of funds provided for the Health Centers program, as defined by section 330 of the PHS Act, by this Act or any other Act for fiscal year 2016, not less than \$200,000,000 shall be obligated in fiscal year 2016 to support new access points, grants to expand medical services, behavioral health, oral health, pharmacy, or vision services, and not less than \$150,000,000 shall be obligated in fiscal year 2016 for construction and capital improvement costs: *Provided further,* That the time limitation in section 330(e)(3) of the PHS Act shall not apply in fiscal year 2016].

HEALTH WORKFORCE

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, sections 1128E and 1921(b) of the Social Security Act, and the Health Care Quality Improvement Act of 1986, **[\$786,895,000]** **\$536,745,000: Provided,** That **\$20,000,000,** to remain available until expended, shall be for the National Health Service Corps Program: *Provided further,* That sections 747(c)(2) **[,** 751(j)(2), **]** and 762(k), and the proportional funding amounts in paragraphs (1) through (4) of section 756(e) of the PHS Act shall not apply to funds made available under this heading: **[:** *Provided further,* That for any program operating under section 751 of the PHS Act on or before January 1, 2009, the Secretary of Health and Human Services (referred to in this title as the "Secretary") may hereafter waive any of the requirements contained in sections 751(d)(2)(A) and 751(d)(2)(B) of such Act for the full project period of a grant under such section: *Provided further,* That no funds shall be available for section 340G-1 of the PHS Act: **]** *Provided further,* That fees collected for the disclosure of information under section 427(b) of the Health Care Quality Improvement Act of 1986 and sections 1128E(d)(2) and 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the programs authorized by such sections and shall remain available until expended for the National Practitioner Data Bank: *Provided further,* That funds transferred to this account to carry out section 846 and subpart 3 of part D of title III of the PHS Act may be used to make prior year adjustments to awards made under such sections.

MATERNAL AND CHILD HEALTH

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health, title V of the Social Security Act, and section 712 of the American Jobs Creation Act of 2004, \$845,117,000: *Provided,* That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than \$77,093,000 shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and \$10,276,000 shall be available for projects described in subparagraphs (A) through (F) of section 501(a)(3) of such Act.

RYAN WHITE HIV/AIDS PROGRAM

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, **[\$2,322,781,000]** **\$2,297,781,000,** of which \$1,970,881,000 shall remain available to the Secretary of Health and Human Services (referred to in this title as the "Secretary") through September 30, **[2018]** 2019, for parts A and B of title XXVI of the PHS Act, and of which not less than \$900,313,000 shall be

for State AIDS Drug Assistance Programs under the authority of section 2616 or 311(c) of such Act: *Provided, That in addition to amounts provided herein, \$34,000,000 shall be available under section 241 of the PHS Act to carry out section 2691 of such Act, notwithstanding subsection (a) of such section 2691.*

**HEALTH CARE SYSTEMS**

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, **[\$103,193,000]** \$110,193,000, of which \$122,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: Provided further, That fees pursuant to the 340B Drug Pricing Program shall be collected by the Secretary based on sales data that shall be submitted by drug manufacturers and shall be credited to this account to remain available until expended.*

**RURAL HEALTH**

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act of 1969, and sections 711 and 1820 of the Social Security Act, **[\$149,571,000]** \$144,162,000, of which **[\$41,609,000]** \$26,200,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: *Provided, That of the funds made available under this heading for Medicare rural hospital flexibility grants, [\$14,942,000 shall be available for the Small Rural Hospital Improvement Grant Program for quality improvement and adoption of health information technology and] up to \$1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: Provided further, That notwithstanding section 338J(k) of the PHS Act, \$9,511,000 shall be available for State Offices of Rural Health.*

**FAMILY PLANNING**

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, **[\$286,479,000]** \$300,000,000: *Provided, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office.*

**PROGRAM MANAGEMENT**

For program support in the Health Resources and Services Administration ("HRSA"), **[\$154,000,000]** \$157,061,000: *Provided, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health": Provided further, That the Administrator may transfer discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HRSA in this Act between any of the accounts of HRSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer. (Department of Health and Human Services Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 075-0350-0-1-550	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0009 Free Clinics Medical Malpractice .....	1		1
0010 Health Centers .....	1,392	1,392	1,242
0012 National Health Service Corps .....			20
0013 Hansen's Disease Center .....	15	15	15
0014 Payment to Hawaii for the Treatment of Hansen's Disease .....	2	2	2
0015 Black Lung Clinics .....	7	7	7
0016 NURSE Corps Scholarship and Loan Repayment Program .....	82	83	83
0017 Health Workforce .....	402	407	433
0018 Maternal and Child Health Block Grant .....	637	638	638
0019 Healthy Start .....	102	104	104
0020 Poison Control Centers .....	19	19	19
0021 Emergency Medical Services for Children .....	20	20	20
0022 James T.Walsh Universal Newborn Hearing Screening .....	18	18	18
0023 HIV/AIDS .....	2,318	2,323	2,298

0024 Organ Transplantation .....	24	24	24
0025 C.W. Bill Young Cell Transplantation Program .....	22	22	22
0026 Rural Health Policy Development .....	9	9	9
0027 Rural Health Outreach Grants .....	59	64	64
0028 Rural Hospital Flexibility Grants .....	42	42	26
0030 Telehealth .....	15	17	17
0031 Program Management .....	154	154	157
0032 Family Planning .....	286	286	300
0033 Loan Repayment/Faculty Fellowships .....	1	1	1
0035 Health Centers Tort Claims .....	102	100	100
0036 Heritable Disorders .....	14	14	14
0038 Children's Hospital Graduate Medical Education .....	265	295	
0041 State Offices of Rural Health .....	10	10	10
0043 Radiation Exposure Screening and Education Program .....	2	2	2
0044 Traumatic Brain Injury .....	9		
0045 Autism and Other Developmental Disorders .....	47	47	47
0046 National Cord Blood Inventory .....	11	11	11
0048 Sickle Cell Service Demonstrations .....	4	4	4
0049 340 B Drug Pricing Program/Office of Pharmacy Affairs .....	10	10	17
0050 Family to Family Health Information Centers ACA .....	5	5	5
0073 Health Centers ACA .....	3,309	3,695	3,695
0075 National Health Service Corps ACA .....	290	324	310
0076 School Based Health Centers ACA .....	1		
0077 GME Payments THC ACA .....	121	60	60
0079 Rural & Community Access to Emergency Devices .....	5		
0080 Prevention Fund .....	57		
0082 Rural Opioid Overdose Reversal Grant Program .....			10
0300 Total direct programs .....	9,889	10,224	9,805
0799 Total direct obligations .....	9,889	10,224	9,805
0801 Health Resources and Services (Reimbursable) .....	48	48	61
0802 Reimbursable program: PHS evaluation .....			34
0899 Total reimbursable obligations .....	48	48	95
0900 Total new obligations .....	9,937	10,272	9,900

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	298	407	296
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	146	139	
1012 Unobligated balance transfers between expired and unexpired accounts .....	70		
1021 Recoveries of prior year unpaid obligations .....	25		
1050 Unobligated balance (total) .....	393	407	296
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	6,105	6,140	5,733
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	3,915	3,975	3,975
1220 Appropriations transferred to other acct [015-5606] .....		-5	-5
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-114		
1260 Appropriations, mandatory (total) .....	3,801	3,970	3,970
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	25	35	75
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	34	35	75
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections (cash)(HPSL&NSL) .....	20	16	16
1802 Offsetting collections (previously unavailable) .....	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	20	16	17
1900 Budget authority (total) .....	9,960	10,161	9,795
1930 Total budgetary resources available .....	10,353	10,568	10,091
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	407	296	191

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,232	7,398	7,995
3010 Obligations incurred, unexpired accounts .....	9,937	10,272	9,900
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-8,607	-9,675	-10,744
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25		
3041 Recoveries of prior year unpaid obligations, expired .....	-140		
3050 Unpaid obligations, end of year .....	7,398	7,995	7,151
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-66	-36	-36
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	39		
3090 Uncollected pymts, Fed sources, end of year .....	-36	-36	-36

HEALTH RESOURCES AND SERVICES—Continued  
Program and Financing—Continued

Identification code 075-0350-0-1-550	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6,166	7,362	7,959
3200 Obligated balance, end of year .....	7,362	7,959	7,115
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	6,139	6,175	5,808
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,902	2,323	2,176
4011 Outlays from discretionary balances .....	3,731	3,995	3,792
4020 Outlays, gross (total) .....	5,633	6,318	5,968
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-45	-13	-44
4033 Non-Federal sources .....	-21	-22	-22
4033 Non-Federal sources .....	.....	.....	-9
4040 Offsets against gross budget authority and outlays (total) ....	-66	-35	-75
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9	.....	.....
4052 Offsetting collections credited to expired accounts .....	41	.....	.....
4060 Additional offsets against budget authority only (total) .....	32	.....	.....
4070 Budget authority, net (discretionary) .....	6,105	6,140	5,733
4080 Outlays, net (discretionary) .....	5,567	6,283	5,893
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3,821	3,986	3,987
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1,464	1,927	1,964
4101 Outlays from mandatory balances .....	1,510	1,430	2,812
4110 Outlays, gross (total) .....	2,974	3,357	4,776
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-20	-16	-16
4180 Budget authority, net (total) .....	9,906	10,110	9,704
4190 Outlays, net (total) .....	8,521	9,624	10,653
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	.....

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	9,906	10,110	9,704
Outlays .....	8,521	9,624	10,653
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	495
Outlays .....	.....	.....	219
<b>Total:</b>	<b>9,906</b>	<b>10,110</b>	<b>10,199</b>
<b>Outlays</b> .....	<b>8,521</b>	<b>9,624</b>	<b>10,872</b>

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 075-0350-0-1-550	2015 actual	2016 est.	2017 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Health centers: Facilities renovation loan guarantee levels .....	.....	9	4
215002 Health centers: Managed care network development loan guarantee .....	.....	.....	1
215003 Health centers: Managed care plan loan guarantee levels .....	.....	.....	1
215999 Total loan guarantee levels .....	.....	9	6
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Health centers: Facilities renovation loan guarantee levels .....	2.67	2.67	2.65
232002 Health centers: Managed care network development loan guarantee .....	.....	.....	9.48
232003 Health centers: Managed care plan loan guarantee levels .....	.....	.....	5.69
232999 Weighted average subsidy rate .....	.....	2.67	4.30

Resources displayed here support categorical grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers, treatment and care for those living with HIV/AIDS, health workforce training, maternal and child health

care services, promotion of organ and bone marrow donation, rural health activities, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program. The 2017 Budget proposes a new user fee for this program to improve administration and oversight of this activity.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans the programs revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the program's revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2014-2015 data reported in the Annual Operating Report.

**Health Professions Revolving Loan Programs**

Program	Federal Capital Contribution		Account Balance
	2015 actual	2016 est.	
HPSL .....	405,831,597	.....	405,831,597
NSL .....	182,345,335	.....	182,345,335
PCL .....	251,721,912	.....	251,721,912
LDS .....	149,818,689	.....	149,818,689
<b>Total</b> .....	<b>989,717,533</b>	<b>.....</b>	<b>989,717,533</b>

**Object Classification** (in millions of dollars)

Identification code 075-0350-0-1-550	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	163	191	191
11.3 Other than full-time permanent .....	7	7	7
11.5 Other personnel compensation .....	3	3	3
11.7 Military personnel .....	18	19	19
11.9 Total personnel compensation .....	191	220	220
12.1 Civilian personnel benefits .....	52	61	61
12.2 Military personnel benefits .....	10	10	10
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	23	23	23
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
25.1 Advisory and assistance services .....	11	10	10
25.2 Other services from non-Federal sources .....	180	179	181
25.3 Other goods and services from Federal sources .....	288	274	266
25.4 Operation and maintenance of facilities .....	1	1	1
25.6 Medical care .....	3	3	3
25.7 Operation and maintenance of equipment .....	11	11	10
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	22	22	19
41.0 Grants, subsidies, and contributions .....	8,992	9,309	8,900
42.0 Insurance claims and indemnities .....	94	90	90
99.0 Direct obligations .....	9,889	10,224	9,805
99.0 Reimbursable obligations .....	48	48	95
99.9 Total new obligations .....	9,937	10,272	9,900

**Employment Summary**

Identification code 075-0350-0-1-550	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,576	1,800	1,780
1101 Direct military average strength employment .....	184	184	183
2001 Reimbursable civilian full-time equivalent employment .....	51	60	69

2101 Reimbursable military average strength employment .....	3	3	3
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**HEALTH RESOURCES AND SERVICES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0350-4-1-550	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0012 National Health Service Corps .....			50
0073 Health Centers .....			150
0081 Children's Hospital Graduate Medical Education .....			295
0300 Total direct programs .....			495
0900 Total new obligations .....			495
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			495
1930 Total budgetary resources available .....			495
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			495
3020 Outlays (gross) .....			-219
3050 Unpaid obligations, end of year .....			276
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			276

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			495
Outlays, gross:			
4100 Outlays from new mandatory authority .....			219
4180 Budget authority, net (total) .....			495
4190 Outlays, net (total) .....			219

**Object Classification** (in millions of dollars)

Identification code 075-0350-4-1-550	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			2
11.9 Total personnel compensation .....			2
12.1 Civilian personnel benefits .....			1
25.2 Other services from non-Federal sources .....			52
25.3 Other goods and services from Federal sources .....			7
31.0 Equipment .....			2
41.0 Grants, subsidies, and contributions .....			431
99.9 Total new obligations .....			495

**Employment Summary**

Identification code 075-0350-4-1-550	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			21
1101 Direct military average strength employment .....			1

**VACCINE INJURY COMPENSATION**

**Program and Financing** (in millions of dollars)

Identification code 075-0320-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	28	28
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5		
1930 Total budgetary resources available .....	28	28	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	28	28

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	5		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-5		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-5		

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988, are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988, are reflected in the Vaccine Injury Compensation Program trust fund account.

**COVERED COUNTERMEASURE PROCESS FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-0343-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Claims .....	4	1	1
0103 Admin Expense .....		3	2
0900 Total new obligations .....	4	4	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	
1011 Unobligated balance transfer from other acct [075-0140] ...	4	1	3
1050 Unobligated balance (total) .....	7	4	3
1930 Total budgetary resources available .....	7	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	4	4	3
3020 Outlays (gross) .....	-5	-4	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	4	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5	4	3

The Covered Countermeasure Process Fund is established pursuant to the PHS Act, as amended by Division C of Public Law 109-148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the PHS Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personnel Protection Act of 2003 (P.L. 108-20).

**Object Classification** (in millions of dollars)

Identification code 075-0343-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1

COVERED COUNTERMEASURE PROCESS FUND—Continued  
Object Classification—Continued

Identification code 075-0343-0-1-551	2015 actual	2016 est.	2017 est.
25.2 Other services from non-Federal sources .....	1	1	1
42.0 Insurance claims and indemnities .....	2	2	1
99.0 Direct obligations .....	4	4	3
99.9 Total new obligations .....	4	4	3

Employment Summary

Identification code 075-0343-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	7	7
1101 Direct military average strength employment .....	1	1	1

MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAMS

Program and Financing (in millions of dollars)

Identification code 075-0321-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Maternal, Infant, and Early Childhood Home Visiting Programs .....	430	413	403
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	16	3
1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	46	16	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	400	400	400
1930 Total budgetary resources available .....	446	416	403
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	703	750	770
3010 Obligations incurred, unexpired accounts .....	430	413	403
3020 Outlays (gross) .....	-364	-393	-395
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	750	770	778
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	703	750	770
3200 Obligated balance, end of year .....	750	770	778
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	400	400	400
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12	16	16
4101 Outlays from mandatory balances .....	352	377	379
4110 Outlays, gross (total) .....	364	393	395
4180 Budget authority, net (total) .....	400	400	400
4190 Outlays, net (total) .....	364	393	395

Public Law 114-10 provides resources to Maternal, Infant and Early Childhood Home Visiting Program through FY 2017 to provide comprehensive services for at risk communities. These activities are administered by HRSA.

Object Classification (in millions of dollars)

Identification code 075-0321-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2	3	3
11.7 Military personnel .....	1	1	1

11.9 Total personnel compensation .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	20	20	20
41.0 Grants, subsidies, and contributions .....	406	388	378
99.9 Total new obligations .....	430	413	403

Employment Summary

Identification code 075-0321-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	20	24	24
1101 Direct military average strength employment .....	5	5	5

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4442-0-3-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		1	1
0900 Total new obligations .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	2
1930 Total budgetary resources available .....	3	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....		1	1
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Guaranteed Loans (in millions of dollars)

Identification code 075-4442-0-3-551	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	15	15	6
2143 Uncommitted limitation carried forward .....	-15	-6	
2150 Total guaranteed loan commitments .....		9	6
2199 Guaranteed amount of guaranteed loan commitments .....		7	5
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	80	76	73
2231 Disbursements of new guaranteed loans .....		8	6
2251 Repayments and prepayments .....	-4	-10	-10
2263 Adjustments: Terminations for default that result in claim payments .....		-1	-1
2290 Outstanding, end of year .....	76	73	68
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	75	73	68

Public Law 104-299 and Public Law 104-208 authorize Health Resources and Services Administration (HRSA) to guarantee up to \$160 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation, and modernization of medical facilities. The program account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the program and financing schedule.

**Balance Sheet** (in millions of dollars)

Identification code 075-4442-0-3-551	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	3
1999 Total assets .....	3	3
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	3	3
4999 Total liabilities and net position .....	3	3

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND**

**Status of Direct Loans** (in millions of dollars)

Identification code 075-9931-0-3-551	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	6	6	6
1290 Outstanding, end of year .....	6	6	6

Titles VI and XVI of the PHS Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in the event of default, \$30 million as a revolving fund for direct loans, and an amount for interest subsidy payments on guaranteed loans.

**Balance Sheet** (in millions of dollars)

Identification code 075-9931-0-3-551	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	6	6
1999 Total assets .....	6	6
<b>LIABILITIES:</b>		
2201 Non-Federal liabilities: Accounts payable .....	6	6
4999 Total liabilities and net position .....	6	6

**Trust Funds**

**VACCINE INJURY COMPENSATION PROGRAM TRUST FUND**

For payments from the Vaccine Injury Compensation Program Trust Fund (the "Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed **[\$7,500,000]** \$9,200,000 shall be available from the Trust Fund to the Secretary. (*Department of Health and Human Services Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-8175-0-7-551	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3,356	3,452	3,586
<b>Receipts:</b>			
Current law:			
1110 Deposits, Vaccine Injury Compensation Trust Fund .....	275	311	318
1140 Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund .....	62	83	117
1199 Total current law receipts .....	337	394	435
1999 Total receipts .....	337	394	435
2000 Total: Balances and receipts .....	3,693	3,846	4,021
<b>Appropriations:</b>			
Current law:			
2101 Vaccine Injury Compensation Program Trust Fund .....	-18	-23	-27
2101 Vaccine Injury Compensation Program Trust Fund .....	-221	-237	-240
2102 Vaccine Injury Compensation Program Trust Fund .....	-2		
2199 Total current law appropriations .....	-241	-260	-267

2999 Total appropriations .....	-241	-260	-267
5099 Balance, end of year .....	3,452	3,586	3,754

**Program and Financing** (in millions of dollars)

Identification code 075-8175-0-7-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Compensation: Claims for post - FY 1989 injuries .....	229	237	240
0103 Claims processing (Claims Court) .....	5	6	6
0104 Claims processing (HRSA) .....	8	8	9
0105 Claims processing (Dept. of Justice) .....	5	9	12
0191 Total, administrative expenses .....	18	23	27
0900 Total new obligations .....	247	260	267

**Budgetary resources:**

<b>Unobligated balance:</b>			
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	3		
<b>Budget authority:</b>			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	18	23	27
1102 Appropriation (previously unavailable) .....	2		
1160 Appropriation, discretionary (total) .....	20	23	27
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	221	237	240
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3		
1900 Budget authority (total) .....	244	260	267
1930 Total budgetary resources available .....	247	260	267

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	15	
3010 Obligations incurred, unexpired accounts .....	247	260	267
3020 Outlays (gross) .....	-240	-275	-267
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	15		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	11	15	
3200 Obligated balance, end of year .....	15		

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	20	23	27
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	14	23	27
4011 Outlays from discretionary balances .....	2	15	
4020 Outlays, gross (total) .....	16	38	27
<b>Mandatory:</b>			
4090 Budget authority, gross .....	224	237	240
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	224	237	240
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3		
4180 Budget authority, net (total) .....	241	260	267
4190 Outlays, net (total) .....	237	275	267

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	3,360	3,453	3,615
5001 Total investments, EOY: Federal securities: Par value .....	3,453	3,615	3,810

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

**Object Classification** (in millions of dollars)

Identification code 075-8175-0-7-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	4	6
42.0 Insurance claims and indemnities .....	240	252	257

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND—Continued

Object Classification—Continued

Identification code 075-8175-0-7-551	2015 actual	2016 est.	2017 est.
99.9 Total new obligations .....	247	260	267

Employment Summary

Identification code 075-8175-0-7-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	16	23	23
1101 Direct military average strength employment .....	2	2	2

INDIAN HEALTH SERVICE

Federal Funds

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination and Education Assistance Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, **[\$3,566,387,000] \$3,815,109,000**, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) and 238b, for services furnished by the Indian Health Service: *Provided further*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That, **[\$914,139,000] \$962,331,000** for Purchased/Referred Care, including **[\$51,500,000] \$53,000,000** for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: *Provided further*, That, of the funds provided, up to \$36,000,000 shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That, of the funds provided, **[\$2,000,000] \$11,000,000** shall **[be used] remain available until expended** to supplement funds available for operational costs at tribal clinics operated under an Indian Self-Determination and Education Assistance Act compact or contract where health care is delivered in space acquired through a full service lease, which is not eligible for maintenance and improvement and equipment funds from the Indian Health Service **[, and \$2,000,000 shall be for accreditation emergencies]**: *Provided further*, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited to the Fund authorized by section 108A of the Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of the Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of the Act (25 U.S.C. 1613a and 1616a): *Provided further*, That, notwithstanding any other provision of law, the amounts made available within this account for the **[methamphetamine and suicide prevention and treatment initiative] Substance Abuse and Suicide Prevention Program**, for the **[domestic violence prevention initiative] Domestic Violence Prevention Program, for the Zero Suicide Initiative, for aftercare pilots at Youth Regional Treatment Centers**, to improve collections from public and private insurance at Indian Health Service and tribally operated facilities, and for accreditation emergencies shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: *Provided further*, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for those related to the planning, design, or construction of new facilities: *Provided further*, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That the Bureau of Indian Affairs may collect from the Indian Health Service, tribes and tribal organizations operating health facilities pursuant to Public Law

93-638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400, et seq.): *Provided further*, That the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 075-0390-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Clinical services .....	3,197	3,241	3,474
0002 Preventive health .....	154	156	166
0003 Urban health .....	44	45	48
0004 Indian health professions .....	48	48	49
0005 Tribal management .....	2	2	2
0006 Direct operations .....	68	68	70
0007 Self-governance .....	6	6	6
0008 Contract support costs .....	663	.....	.....
0009 Diabetes funds .....	150	150	150
0799 Total direct obligations .....	4,332	3,716	3,965
0801 Indian Health Services (Reimbursable) .....	1,335	1,194	1,194
0900 Total new obligations .....	5,667	4,910	5,159

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	668	740	968
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	63	63	.....
1021 Recoveries of prior year unpaid obligations .....	99	.....	.....
1050 Unobligated balance (total) .....	767	740	968
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,182	3,566	3,815
Appropriations, mandatory:			
1200 Appropriation .....	150	150	150
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,371	1,422	1,422
1900 Budget authority (total) .....	5,703	5,138	5,387
1930 Total budgetary resources available .....	6,470	5,878	6,355
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-63	.....	.....
1941 Unexpired unobligated balance, end of year .....	740	968	1,196

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	807	917	578
3010 Obligations incurred, unexpired accounts .....	5,667	4,910	5,159
3011 Obligations incurred, expired accounts .....	36	40	.....
3020 Outlays (gross) .....	-5,472	-5,269	-5,345
3040 Recoveries of prior year unpaid obligations, unexpired .....	-99	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-22	-20	.....
3050 Unpaid obligations, end of year .....	917	578	392
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-68	-67	-67
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-67	-67	-67
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	739	850	511
3200 Obligated balance, end of year .....	850	511	325

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5,553	4,988	5,237
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,570	4,346	4,551
4011 Outlays from discretionary balances .....	755	773	644
4020 Outlays, gross (total) .....	5,325	5,119	5,195
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-243	-271	-271
4033 Non-Federal sources .....	-1,130	-1,151	-1,151
4040 Offsets against gross budget authority and outlays (total) ....	-1,373	-1,422	-1,422
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	4,182	3,566	3,815
4080 Outlays, net (discretionary) .....	3,952	3,697	3,773

Mandatory:				
4090	Budget authority, gross .....	150	150	150
Outlays, gross:				
4100	Outlays from new mandatory authority .....	47	144	144
4101	Outlays from mandatory balances .....	100	6	6
4110	Outlays, gross (total) .....	147	150	150
4180	Budget authority, net (total) .....	4,332	3,716	3,965
4190	Outlays, net (total) .....	4,099	3,847	3,923

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,332	3,716	3,965
Outlays .....	4,099	3,847	3,923
Legislative proposal, subject to PAYGO:			
Budget Authority .....			25
Outlays .....			12
Total:			
Budget Authority .....	4,332	3,716	3,990
Outlays .....	4,099	3,847	3,935

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. More than \$2.7 billion, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2017.

**Object Classification** (in millions of dollars)

Identification code 075-0390-0-1-551	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	389	393	400
11.3	Other than full-time permanent .....	18	18	18
11.5	Other personnel compensation .....	56	57	58
11.7	Military personnel .....	71	72	73
11.9	Total personnel compensation .....	534	540	549
12.1	Civilian personnel benefits .....	148	150	153
12.2	Military personnel benefits .....	32	32	33
13.0	Benefits for former personnel .....	12	12	12
21.0	Patient travel .....	44	46	48
22.0	Transportation of things .....	6	6	6
23.1	Rental payments to GSA .....	15	16	17
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	10	10	11
25.1	Advisory and assistance services .....	5	5	5
25.2	Other services from non-Federal sources .....	95	101	130
25.3	Other goods and services from Federal sources .....	72	76	79
25.4	Operation and maintenance of facilities .....	2	6	6
25.6	Medical care .....	324	339	355
25.7	Operation and maintenance of equipment .....	11	13	12
25.8	Subsistence and support of persons .....	5	4	5
26.0	Supplies and materials .....	100	107	113
31.0	Equipment .....	12	13	14
41.0	Grants, subsidies, and contributions .....	2,876	2,211	2,387
42.0	Insurance claims and indemnities .....	28	28	29
99.0	Direct obligations .....	4,332	3,716	3,965
99.0	Reimbursable obligations .....	1,335	1,194	1,194
99.9	Total new obligations .....	5,667	4,910	5,159

**Employment Summary**

Identification code 075-0390-0-1-551	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	6,506	6,520	6,541
1101	Direct military average strength employment .....	989	991	986
2001	Reimbursable civilian full-time equivalent employment .....	5,469	5,469	5,476
2101	Reimbursable military average strength employment .....	832	832	825

INDIAN HEALTH SERVICES

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0390-4-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Clinical services .....		15

0004	Indian health professions .....			10
0799	Total direct obligations .....			25
0900	Total new obligations .....			25

**Budgetary resources:**

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			25
1930	Total budgetary resources available .....			25

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			25
3020	Outlays (gross) .....			-12
3050	Unpaid obligations, end of year .....			13
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			13

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....			25
Outlays, gross:				
4100	Outlays from new mandatory authority .....			12
4180	Budget authority, net (total) .....			25
4190	Outlays, net (total) .....			12

**Object Classification** (in millions of dollars)

Identification code 075-0390-4-1-551	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....			15
25.3	Other goods and services from Federal sources .....			10
99.0	Direct obligations .....			25
99.9	Total new obligations .....			25

**CONTRACT SUPPORT COSTS**

For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Indian Health Service for fiscal year [2016] 2017, such sums as may be necessary: *Provided*, That amounts obligated but not expended by a tribe or tribal organization for contract support costs for such agreements for the current fiscal year shall be applied to contract support costs otherwise due for such agreements for subsequent fiscal years: *Provided further*, That, notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-0344-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Contract Support Costs .....	718	800
0900	Total new obligations (object class 25.3) .....	718	800

**Budgetary resources:**

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....		718	800
1930	Total budgetary resources available .....		718	800

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....		718	800
3020	Outlays (gross) .....		-718	-800

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....		718	800
Outlays, gross:				
4010	Outlays from new discretionary authority .....		718	800
4180	Budget authority, net (total) .....		718	800
4190	Outlays, net (total) .....		718	800

CONTRACT SUPPORT COSTS—Continued

The Contract Support Costs account provides for the reasonable and allowable costs for direct program expenses for the operation of, and any additional administrative or other expense related to, the overhead incurred by tribes and tribal organizations who operate health programs through self-determination contracts and compacts. An estimated \$800 million in contract support costs funds will be provided to tribal governments and tribal organizations in 2017.

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$523,232,000]** \$569,906,000, to remain available until expended: *Provided*, That, notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: *Provided further*, That not to exceed \$500,000 may be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development: *Provided further*, That not to exceed \$2,700,000 from this account and the "Indian Health Services" account may be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 may be placed in a Demolition Fund, to remain available until expended, and be used by the Indian Health Service for the demolition of Federal buildings. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-0391-0-1-551	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Rent and Charges for Quarters, Indian Health Service	7	8	8
2000 Total: Balances and receipts	7	8	8
Appropriations:			
Current law:			
2101 Indian Health Facilities	-7	-8	-8
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-0391-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Sanitation and health facilities	114	204	235
0002 Maintenance	54	74	77
0003 Facilities and environmental health	207	222	234
0004 Equipment	23	23	24
0100 Total direct program	398	523	570
0799 Total direct obligations	398	523	570
0801 Indian Health Facilities (Reimbursable)	9	9	9
0900 Total new obligations	407	532	579
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	171	236	290
1001 Discretionary unobligated balance brought fwd, Oct 1	165	165	
1021 Recoveries of prior year unpaid obligations	5		

1050 Unobligated balance (total)	176	236	290
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	460	523	570
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	8	8
Spending authority from offsetting collections, discretionary:			
1700 Collected		55	56
1900 Budget authority (total)	467	586	634
1930 Total budgetary resources available	643	822	924
Memorandum (non-add) entries:			
1941 Unexpended unobligated balance, end of year	236	290	345

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	504	453	421
3010 Obligations incurred, unexpired accounts	407	532	579
3020 Outlays (gross)	-451	-564	-581
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	453	421	419
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-5	-5
3090 Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	499	448	416
3200 Obligated balance, end of year	448	416	414

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	460	578	626
Outlays, gross:			
4010 Outlays from new discretionary authority	243	212	227
4011 Outlays from discretionary balances	199	344	346
4020 Outlays, gross (total)	442	556	573
Offsets against gross budget authority and outlays:			
"Offsetting collections (collected) from:			
4030 Federal sources		-55	-56
4040 Offsets against gross budget authority and outlays (total)		-55	-56
Mandatory:			
4090 Budget authority, gross	7	8	8
Outlays, gross:			
4100 Outlays from new mandatory authority	3	8	8
4101 Outlays from mandatory balances	6		
4110 Outlays, gross (total)	9	8	8
4180 Budget authority, net (total)	467	531	578
4190 Outlays, net (total)	451	509	525

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service. More than \$368 million, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2017.

Object Classification (in millions of dollars)

Identification code 075-0391-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	49	46	48
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	23	23	23
11.9 Total personnel compensation	76	73	75
12.1 Civilian personnel benefits	16	16	16
12.2 Military personnel benefits	8	8	9
21.0 Travel and transportation of persons	2	3	3
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	14	15	15
25.1 Advisory and assistance services	1	1	2
25.2 Other services from non-Federal sources	63	86	113
25.3 Other goods and services from Federal sources	3	3	3
25.4 Operation and maintenance of facilities	6	16	18
25.7 Operation and maintenance of equipment	3	13	14
25.8 Subsistence and support of persons	2	2	2
26.0 Supplies and materials	6	7	7
31.0 Equipment	14	23	24
32.0 Land and structures	32	89	93
41.0 Grants, subsidies, and contributions	148	164	172

99.0	Direct obligations .....	398	523	570
99.0	Reimbursable obligations .....	9	9	9
99.9	Total new obligations .....	407	532	579

**Employment Summary**

Identification code 075-0391-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,104	1,106	1,094
1101 Direct military average strength employment .....	171	169	181
2001 Reimbursable civilian full-time equivalent employment .....	32	32	32

**ADMINISTRATIVE PROVISIONS—INDIAN HEALTH SERVICE**

Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; uniforms or allowances therefor as authorized by 5 U.S.C. 5901–5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651–2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86–121, the Indian Sanitation Facilities Act and Public Law 93–638: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or [approved by] notified to the House and Senate Committees on Appropriations through the reprogramming process: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance [ *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance notification to the House and Senate Committees on Appropriations: *Provided further*, That the Indian Health Service shall develop a strategic plan for the Urban Indian Health program in consultation with urban Indians and the National Academy of Public Administration, and shall publish such

plan not later than one year after the date of enactment of this Act]. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**CENTERS FOR DISEASE CONTROL AND PREVENTION**

*Federal Funds*

**IMMUNIZATION AND RESPIRATORY DISEASES**

For carrying out titles II, III, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, [\$459,055,000] \$411,716,000.

**HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION**

For carrying out titles II, III, XVII, and XXIII of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, [\$1,122,278,000] \$1,127,278,000.

**EMERGING AND ZONOTIC INFECTIOUS DISEASES**

For carrying out titles II, III, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, [\$527,885,000] \$577,485,000: *Provided*, That of the amounts available to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine law, up to \$1,000,000 shall remain available until expended.

**CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION**

For carrying out titles II, III, XI, XV, XVII, and XIX of the PHS Act with respect to chronic disease prevention and health promotion, [\$838,146,000] \$679,745,000: *Provided*, That funds appropriated under this account may be available for making grants under section 1509 of the PHS Act for not less than 21 States, tribes, or tribal organizations: [ *Provided further*, That of the funds available under this heading, \$10,000,000 shall be available to continue and expand community specific extension and outreach programs to combat obesity in counties with the highest levels of obesity: ] *Provided further*, That the proportional funding requirements under section 1503(a) of the PHS Act shall not apply to funds made available under this heading.

**BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH**

For carrying out titles II, III, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, [\$135,610,000] \$67,644,000.

**PUBLIC HEALTH SCIENTIFIC SERVICES**

For carrying out titles II, III, and XVII of the PHS Act with respect to health statistics, surveillance, health informatics, and workforce development, [\$491,597,000] \$464,355,000.

**ENVIRONMENTAL HEALTH**

For carrying out titles II, III, and XVII of the PHS Act with respect to environmental health, [\$165,303,000] \$167,825,000.

**INJURY PREVENTION AND CONTROL**

For carrying out titles II, III, and XVII of the PHS Act with respect to injury prevention and control, [\$236,059,000] *Provided*, That of the funds provided under this heading, \$70,000,000 shall be available for an evidence-based opioid drug overdose prevention program] \$268,629,000.

**NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH**

For carrying out titles II, III, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, [\$339,121,000] \$213,621,000: *Provided*, That in addition to the amounts provided herein, \$72,000,000 shall be available from amounts available under section 241 of the PHS Act.

**ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM**

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,358,000, to remain available until expended: *Provided*, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106–554.

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued

GLOBAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to global health, **[\$427,121,000] \$442,121,000**, of which **[\$128,421,000] \$128,421,000** for international HIV/AIDS shall remain available through September 30, **[2017] 2018**: *Provided*, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.

PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, **[\$1,405,000,000] \$1,402,166,000**, of which **[\$575,000,000] \$575,000,000** shall remain available until expended for the Strategic National Stockpile: *Provided*, That **[in the event the Director of the CDC activates the Emergency Operations Center,]** the Director of the *Centers for Disease Control and Prevention ("CDC") or the Administrator of the Agency for Toxic Substances and Disease Registry* may detail **[CDC]** staff without reimbursement for up to **[90] 180** days to support **[the work]** an activation of the CDC Emergency Operations Center **[,]** so long as the Director provides a notice to the Committees on Appropriations of the House of Representatives and the Senate within 15 days of the use of this authority and a full report within 30 days after use of this authority which includes the number of staff and funding level broken down by the originating center and number of days detailed: *Provided further*, That funds appropriated under this heading may be used to support a contract for the operation and maintenance of an aircraft in direct support of activities throughout CDC to ensure the agency is prepared to address public health preparedness emergencies **[.]**

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

For carrying out titles II, III, XVII and XIX, and section 2821 of the PHS Act and for cross-cutting activities and program support for activities funded in other appropriations included in this Act for the Centers for Disease Control and Prevention, **\$113,570,000**: *Provided*, That paragraphs (1) through (3) of subsection (b) of section 2821 of the PHS Act shall not apply to funds appropriated under this heading and in all other accounts of the CDC: *Provided further*, *That funds appropriated under this heading and in all other accounts of CDC may be used to support the purchase, hire, maintenance, and operation of aircraft for use and support of the activities of CDC*: *Provided further*, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: *Provided further*, That CDC may use up to \$10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: *Provided further*, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: *Provided further*, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program and the Respirator Certification Program shall be available through September 30, **[2017] 2018**: *Provided further*, *That of the funds made available under this heading and in all other accounts of CDC, up to \$1,000 per eligible employee of CDC shall be made available until expended for Individual Learning Accounts*: *Provided further*, *That the Director may transfer discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for CDC in this Act between any of the accounts of CDC with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.* (Department of Health and Human Services Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-0943-0-1-999	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, Centers for Disease Control .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
Appropriations:			
Current law:			
2101 CDC-Wide Activities and Program Support .....	-2	-2	-2

5099 Balance, end of year .....

Program and Financing (in millions of dollars)

Identification code 075-0943-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Birth Defects, Developmental Disabilities, Disability and Health (0958) .....	131	136	136
0002 CDC Wide Activities and Program Support (0943) .....	478	274	114
0004 Chronic Disease Prevention and Health Promotion (0948) .....	1,192	1,177	1,117
0005 Emerging and Zoonotic Infectious Diseases (0949) .....	405	580	629
0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA) (0954) .....	50	55	55
0007 Environmental Health (0947) .....	179	182	182
0008 Global Health (0955) .....	447	427	442
0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention (0950) .....	1,118	1,122	1,127
0013 Immunization and Respiratory Diseases (0951) .....	798	799	748
0015 Injury Prevention and Control (0952) .....	170	236	269
0016 Occupational Safety and Health (0953) .....	334	339	286
0019 Public Health Preparedness and Response (0956) .....	1,352	1,405	1,402
0020 Public Health Scientific Services (0959) .....	481	492	501
0021 Cooperative Research and Development Agreements (CRADA) (5146) .....	4	2	2
0022 Ebola (Emergency pursuant to 2011 BCA) .....	526		
0799 Total direct obligations .....	7,665	7,226	7,010
0802 CDC-Wide Activities and Program Support (Reimbursable) .....	194	516	516
0809 Reimbursable program activities, subtotal .....	194	516	516
0900 Total new obligations .....	7,859	7,742	7,526
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	126	1,223	1,207
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	90	1,214	
1010 Unobligated balance transfer to other accts [075-4553] .....	-2		
1011 Unobligated balance transfer from other acct [075-0140] .....	15		
1012 Unobligated balance transfers between expired and unexpired accounts .....	35		
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	186	1,223	1,207
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,759	6,261	5,937
1121 Appropriations transferred from other acct [075-0140] .....	15		
1160 Appropriation, discretionary (total) .....	7,774	6,261	5,937
Appropriations, mandatory:			
1200 Appropriation (075-0954 - EEOICPA) .....	55	55	55
1201 Appropriation (075-5146 CRADA) .....	2	2	2
1221 Appropriations transferred from other acct [075-0116] .....	887	892	944
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-5		
1260 Appropriations, mandatory (total) .....	939	949	1,001
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	130	512	512
1701 Change in uncollected payments, Federal sources .....	56		
1750 Spending auth from offsetting collections, disc (total) .....	186	512	512
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	4	4
1900 Budget authority (total) .....	8,904	7,726	7,454
1930 Total budgetary resources available .....	9,090	8,949	8,661
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8		
1941 Unexpired unobligated balance, end of year .....	1,223	1,207	1,135
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,822	6,501	7,009
3010 Obligations incurred, unexpired accounts .....	7,859	7,742	7,526
3011 Obligations incurred, expired accounts .....	31		
3020 Outlays (gross) .....	-7,016	-7,234	-7,982
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041 Recoveries of prior year unpaid obligations, expired .....	-183		
3050 Unpaid obligations, end of year .....	6,501	7,009	6,553
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-215	-132	-132
3070 Change in uncollected pymts, Fed sources, unexpired .....	-56		
3071 Change in uncollected pymts, Fed sources, expired .....	139		
3090 Uncollected pymts, Fed sources, end of year .....	-132	-132	-132
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,607	6,369	6,877

3200	Obligated balance, end of year .....	6,369	6,877	6,421
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7,960	6,773	6,449
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,778	3,012	2,876
4011	Outlays from discretionary balances .....	3,466	3,616	4,286
4020	Outlays, gross (total) .....	6,244	6,628	7,162
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-252	-512	-512
4033	Non-Federal sources .....	-6		
4040	Offsets against gross budget authority and outlays (total) ....	-258	-512	-512
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-56		
4052	Offsetting collections credited to expired accounts .....	128		
4060	Additional offsets against budget authority only (total) .....	72		
4070	Budget authority, net (discretionary) .....	7,774	6,261	5,937
4080	Outlays, net (discretionary) .....	5,986	6,116	6,650
Mandatory:				
4090	Budget authority, gross .....	944	953	1,005
Outlays, gross:				
4100	Outlays from new mandatory authority .....	150	161	168
4101	Outlays from mandatory balances .....	622	445	652
4110	Outlays, gross (total) .....	772	606	820
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-5	-4	-4
4180	Budget authority, net (total) .....	8,713	7,210	6,938
4190	Outlays, net (total) .....	6,753	6,718	7,466

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	8,713	7,210	6,938
Outlays .....	6,753	6,718	7,466
Legislative proposal, subject to PAYGO:			
Budget Authority .....			30
Outlays .....			12
<b>Total:</b>			
Budget Authority .....	8,713	7,210	6,968
Outlays .....	6,753	6,718	7,478

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization and respiratory diseases, HIV/AIDS, Hepatitis, STDs, and Tuberculosis prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, occupational safety and health, public health and scientific services, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, public health preparedness and emergency response, and CDC-wide activities and program support.

**Object Classification** (in millions of dollars)

Identification code 075-0943-0-1-999	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	681	806	824
11.3	Other than full-time permanent .....	112	112	113
11.5	Other personnel compensation .....	39	38	38
11.7	Military personnel .....	77	75	77
11.8	Special personal services payments .....	7	7	7
11.9	Total personnel compensation .....	916	1,038	1,059
12.1	Civilian personnel benefits .....	265	304	310
12.2	Military personnel benefits .....	52	51	52
13.0	Benefits for former personnel .....		1	1
21.0	Travel and transportation of persons .....	79	53	54
22.0	Transportation of things .....	13	12	12
23.1	Rental payments to GSA .....	6	33	34
23.2	Rental payments to others .....	2	1	1
23.3	Communications, utilities, and miscellaneous charges .....	26	52	53
24.0	Printing and reproduction .....	3	3	3
25.1	Advisory and assistance services .....	826	763	655

25.2	Other services from non-Federal sources .....	234	297	227
25.3	Other goods and services from Federal sources .....	752	353	276
25.4	Operation and maintenance of facilities .....	16	40	24
25.5	Research and development contracts .....	47	50	39
25.6	Medical care .....	34	31	23
25.7	Operation and maintenance of equipment .....	39	74	56
25.8	Subsistence and support of persons .....	1	1	1
26.0	Supplies and materials .....	461	468	488
31.0	Equipment .....	67	70	83
32.0	Land and structures .....	2	7	1
41.0	Grants, subsidies, and contributions .....	3,823	3,523	3,557
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	7,665	7,226	7,010
99.0	Reimbursable obligations .....	194	516	516
99.9	Total new obligations .....	7,859	7,742	7,526

**Employment Summary**

Identification code 075-0943-0-1-999	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	8,181	8,193	8,193
1101	Direct military average strength employment .....	850	850	850
2001	Reimbursable civilian full-time equivalent employment .....	257	257	257
2101	Reimbursable military average strength employment .....	43	43	43

**CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0943-4-1-999	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0024	Behavioral Health Init. ....			30
0900	Total new obligations (object class 41.0) .....			30
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (Health Behavioral Int.) .....			30
1930	Total budgetary resources available .....			30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			30
3020	Outlays (gross) .....			-12
3050	Unpaid obligations, end of year .....			18
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			18
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			30
Outlays, gross:				
4100	Outlays from new mandatory authority .....			12
4180	Budget authority, net (total) .....			30
4190	Outlays, net (total) .....			12

**BUILDINGS AND FACILITIES**

(INCLUDING TRANSFER OF FUNDS)

For [acquisition of real property,] equipment, construction, demolition, and renovation of facilities, [\$10,000,000] \$31,221,000, [which shall] to remain available until September 30, [2020 : Provided, That funds previously set-aside by CDC for repair and upgrade of the Lake Lynn Experimental Mine and Laboratory shall be used to acquire a replacement mine safety research facility: *Provided further,* That in addition, the prior year unobligated balance of any amounts assigned to former employees in accounts of CDC made available for Individual Learning Accounts shall be credited to and merged with the amounts made available under this heading to support the replacement of the mine safety research facility] 2021. (*Department of Health and Human Services Appropriations Act, 2016.*)

**BUILDINGS AND FACILITIES—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 075-0960-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Buildings and Facilities (0960)	8	10	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10	31
1930 Total budgetary resources available	10	12	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		6	6
3010 Obligations incurred, unexpired accounts	8	10	31
3020 Outlays (gross)	-2	-10	-17
3050 Unpaid obligations, end of year	6	6	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		6	6
3200 Obligated balance, end of year	6	6	20

Identification code 075-0960-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	10	10	31
Outlays, gross:			
4010 Outlays from new discretionary authority	2	4	12
4011 Outlays from discretionary balances		6	5
4020 Outlays, gross (total)	2	10	17
4180 Budget authority, net (total)	10	10	31
4190 Outlays, net (total)	2	10	17

**Object Classification** (in millions of dollars)

Identification code 075-0960-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services	2	2	8
25.4 Operation and maintenance of facilities	5	5	10
31.0 Equipment			5
32.0 Land and structures	1	3	8
99.9 Total new obligations	8	10	31

**CDC WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-4553-0-4-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 CDC Working Capital Fund (Direct)	2		
0801 CDC Working Capital Fund (Reimbursable)	521	545	545
0900 Total new obligations	523	545	545
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	52	52
1011 Unobligated balance transfer from other acct [075-0943]	2		
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	30	52	52
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	545	545	545
1930 Total budgetary resources available	575	597	597
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	52	52	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	150	175	9
3010 Obligations incurred, unexpired accounts	523	545	545
3020 Outlays (gross)	-497	-711	-545

3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	175	9	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	150	175	9
3200 Obligated balance, end of year	175	9	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	545	545	545
Outlays, gross:			
4010 Outlays from new discretionary authority	365	545	545
4011 Outlays from discretionary balances	132	166	
4020 Outlays, gross (total)	497	711	545
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-545	-545	-545
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-48	166	

Implemented in fiscal year 2014, CDC's Working Capital Fund has extended availability and serves as the funding mechanism to finance centralized business services support across CDC. Services rendered under the fund are performed at pre-established rates that are used to cover the full cost of operations and future investments. Contributions are collected for services, thereby creating market-like incentives to maximize efficiency and quality.

**Object Classification** (in millions of dollars)

Identification code 075-4553-0-4-551	2015 actual	2016 est.	2017 est.
25.3 Direct obligations: Other goods and services from Federal sources	2		
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	137	157	157
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	3	3	3
11.7 Military personnel	3	3	3
11.9 Total personnel compensation	146	166	166
12.1 Civilian personnel benefits	45	46	46
12.2 Military personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	33	33	33
23.3 Communications, utilities, and miscellaneous charges	35	35	35
25.1 Advisory and assistance services	25	25	25
25.2 Other services from non-Federal sources	73	78	78
25.3 Other goods and services from Federal sources	58	63	63
25.4 Operation and maintenance of facilities	37	37	37
25.7 Operation and maintenance of equipment	42	42	42
26.0 Supplies and materials	2	2	2
31.0 Equipment	14	7	7
32.0 Land and structures	8	8	8
99.0 Reimbursable obligations	521	545	545
99.9 Total new obligations	523	545	545

**Employment Summary**

Identification code 075-4553-0-4-551	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment	1,486	1,486	1,486
2101 Reimbursable military average strength employment	28	28	28

**TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH**

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and section 3019 of the Solid Waste Disposal Act, \$74,691,000, of which up to \$1,000 per eligible employee of the Agency for Toxic Substances and Disease Registry shall remain available until expended for Individual Learning Accounts: *Provided*, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical

monitoring, and referral to accredited healthcare providers: *Provided further*, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: *Provided further*, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year **[2016] 2017**, and existing profiles may be updated as necessary. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 075-0944-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Agency for Toxic Substances and Disease Registry, Toxic Substanc (Direct) .....	74	75	75
0002 Health Reform Prog. Grants Environ. Hazards .....	3		
0799 Total direct obligations .....	77	75	75
0801 Agency for Toxic Substances and Disease Registry, Toxic Substanc (Reimbursable) .....	6	6	6
0900 Total new obligations .....	83	81	81
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	27	24
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	74	75	75
Appropriations, mandatory:			
1200 Appropriation .....	20		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1		
1260 Appropriations, mandatory (total) .....	19		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	5	3	3
1900 Budget authority (total) .....	98	78	78
1930 Total budgetary resources available .....	110	105	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27	24	21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	45	44	38
3010 Obligations incurred, unexpired accounts .....	83	81	81
3020 Outlays (gross) .....	-81	-87	-84
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	44	38	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	39	33
3200 Obligated balance, end of year .....	39	33	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	79	78	78
Outlays, gross:			
4010 Outlays from new discretionary authority .....	52	53	53
4011 Outlays from discretionary balances .....	27	26	22
4020 Outlays, gross (total) .....	79	79	75
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	74	75	75
4080 Outlays, net (discretionary) .....	72	76	72
Mandatory:			
4090 Budget authority, gross .....	19		
Outlays, gross:			
4101 Outlays from mandatory balances .....	2	8	9

4180 Budget authority, net (total) .....	93	75	75
4190 Outlays, net (total) .....	74	84	81

**Object Classification** (in millions of dollars)

Identification code 075-0944-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	23	21	21
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.7 Military personnel .....	3	3	3
11.9 Total personnel compensation .....	29	27	27
12.1 Civilian personnel benefits .....	8	7	8
12.2 Military personnel benefits .....	1	1	2
25.1 Advisory and assistance services .....	6	8	8
25.2 Other services from non-Federal sources .....	4	5	4
25.3 Other goods and services from Federal sources .....	11	11	10
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	17	15	15
99.0 Direct obligations .....	77	75	75
99.0 Reimbursable obligations .....	6	6	6
99.9 Total new obligations .....	83	81	81

**Employment Summary**

Identification code 075-0944-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	219	229	229
1101 Direct military average strength employment .....	36	36	36
2001 Reimbursable civilian full-time equivalent employment .....	10	10	10

**WORLD TRADE CENTER HEALTH PROGRAM FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-0946-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Share .....	261	300	335
0002 NYC .....	29	33	37
0900 Total new obligations .....	290	333	372
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			30
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (WTC (CDC Direct)) .....	272	330	346
1200 Appropriation (WTC—NYC DHSS—CDC) .....	31	33	35
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-23		
1260 Appropriations, mandatory (total) .....	280	363	381
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10		
1900 Budget authority (total) .....	290	363	381
1930 Total budgetary resources available .....	290	363	411
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		30	39
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	153	161	230
3010 Obligations incurred, unexpired accounts .....	290	333	372
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	-261	-264	-301
3041 Recoveries of prior year unpaid obligations, expired .....	-30		
3050 Unpaid obligations, end of year .....	161	230	301
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	153	161	230
3200 Obligated balance, end of year .....	161	230	301
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	290	363	381
Outlays, gross:			
4100 Outlays from new mandatory authority .....	176	167	175

WORLD TRADE CENTER HEALTH PROGRAM FUND—Continued  
Program and Financing—Continued

Identification code 075-0946-0-1-551	2015 actual	2016 est.	2017 est.
4101 Outlays from mandatory balances .....	85	97	126
4110 Outlays, gross (total) .....	261	264	301
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-23		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts .....	13		
4160 Budget authority, net (mandatory) .....	280	363	381
4170 Outlays, net (mandatory) .....	238	264	301
4180 Budget authority, net (total) .....	280	363	381
4190 Outlays, net (total) .....	238	264	301

HHS, along with CDC, began implementing provisions of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC/NIOSH serves as the Program Administrator for the World Trade Center (WTC) Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The WTC Health Program has been extended through FY 2090 under the James Zadroga 9/11 Health and Compensation Reauthorization Act of 2015 (P.L. 114-113, Division O, Title III). The amounts included for 2016 and 2017 in the Budget reflect estimated Federal obligations for the WTC Health Program.

Object Classification (in millions of dollars)

Identification code 075-0946-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2	2	2
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	24	24	24
25.2 Other services from non-Federal sources .....	67	67	67
25.3 Other goods and services from Federal sources .....	11	11	11
25.6 Medical care .....	1	1	1
41.0 Grants, subsidies, and contributions .....	23	23	23
42.0 Insurance claims and indemnities .....	160	203	242
99.9 Total new obligations .....	290	333	372

Employment Summary

Identification code 075-0946-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15	15	15
1101 Direct military average strength employment .....	4	4	4

NATIONAL INSTITUTES OF HEALTH

Federal Funds

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cancer, **[\$5,214,701,000]** \$5,097,287,000, of which up to **[\$16,000,000]** \$50,000,000 may be used for facilities repairs and improvements at the National Cancer Institute—Frederick Federally Funded Research and Development Center in Frederick, Maryland. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$3,115,538,000]** \$3,069,901,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to dental and craniofacial diseases, **[\$415,582,000]** \$404,560,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, **[\$1,818,357,000]** \$1,786,086,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, **[\$1,696,139,000]** \$1,659,416,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, **[\$4,629,928,000]** \$4,700,548,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, **[\$2,512,073,000]** \$2,434,144,000, of which **[\$780,000,000]** \$847,489,000 shall be from funds available under section 241 of the PHS Act **["Provided, That not less than \$320,840,000 is provided for the Institutional Development Awards program"]**. (Department of Health and Human Services Appropriations Act, 2016.)

EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, **[\$1,339,802,000]** \$1,316,607,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, **[\$715,903,000]** \$687,249,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, **[\$693,702,000]** \$681,613,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (42 U.S.C. 9660(a)) and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, \$77,349,000. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the PHS Act with respect to aging, **[\$1,600,191,000]** \$1,265,133,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, **[\$542,141,000]** \$532,753,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, **[\$423,031,000]** \$416,146,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, **[\$146,485,000]** \$143,942,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, **[\$467,700,000]** \$459,578,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, **[\$1,077,488,000]** \$1,020,459,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to mental health, **[\$1,548,390,000]** \$1,459,700,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, **[\$518,956,000]** \$509,762,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, **[\$346,795,000]** \$334,025,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL CENTER FOR COMPLEMENTARY AND INTEGRATIVE HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to complementary and integrative health, **[\$130,789,000]** \$126,673,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, **[\$279,718,000]** \$279,680,000. (Department of Health and Human Services Appropriations Act, 2016.)

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), **[\$70,447,000]** \$69,175,000. (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, **[\$394,664,000]** \$395,110,000: *Provided*, That of the amounts available for improvement of information systems, \$4,000,000 shall be available until September 30, **[2017]** 2018: *Provided further*, That in fiscal year **[2016]** 2017, the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH"). (Department of Health and Human Services Appropriations Act, 2016.)

NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, **[\$685,417,000]** \$660,131,000: *Provided*, That up to \$25,835,000 shall be available to implement section 480 of the PHS Act, relating to the Cures Acceleration Network **[**: *Provided further*, That at least \$500,000,000 is provided to the Clinical and Translational Sciences Awards program**]**. (Department of Health and Human Services Appropriations Act, 2016.)

OFFICE OF THE DIRECTOR

For carrying out the responsibilities of the Office of the Director, NIH, **[\$1,558,600,000]** \$1,432,859,000, of which up to **[\$30,000,000]** \$40,000,000 may be used to carry out section **[215]** 213 of this Act: *Provided*, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: *Provided further*, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: **[Provided further**, That \$165,000,000 shall be for the National Children's Study Follow-on: *Provided further*, That NIH shall submit a spend plan on the next phase of the study in the previous proviso to the Committees on Appropriations of the House of Representatives and the Senate not later than 90 days after the date of enactment of this Act: **]** *Provided further*, That **[\$663,039,000]** \$553,039,000 shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: *Provided further*, That of the funds provided, \$10,000 shall be for official reception and representation expenses when specifically approved by the Director of the NIH: *Provided further*, That the Office of AIDS Research within the Office of the Director of the NIH may spend up to \$8,000,000 to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act: *Provided further*, That up to **[\$130,000,000]** \$20,000,000 of the funds provided to the Common Fund are available to support the trans-NIH Precision Medicine Initiative: **[Provided further**, That of the amount provided to the NIH, the Director of the NIH shall enter into an agreement with the National Academy of Sciences, as

part of the studies conducted under section 489 of the PHS Act, to conduct a comprehensive study on policies affecting the next generation of researchers in the United States: **]** *Provided further*, That, **[Of the funds from Institute, Center, and Office of the Director accounts within "Department of Health and Human Services, National Institutes of Health," ]** in order to strengthen privacy protections for human research participants, NIH shall require investigators receiving NIH funding, *from amounts appropriated in this Act to NIH accounts*, for new and competing research projects designed to generate and analyze large volumes of data derived from human research participants to obtain a certificate of confidentiality: *Provided further*, That the Director may direct up to 1 percent of the total made available in this or any other Act to all National Institutes of Health discretionary appropriations to activities that the Director may so designate: *Provided further*, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer.

In addition to other funds appropriated for the Common Fund established under section 402A(c) of the PHS Act, \$12,600,000 is appropriated to the Common Fund from the 10-year Pediatric Research Initiative Fund described in section 9008 of title 26, United States Code, for the purpose of carrying out section 402(b)(7)(B)(ii) of the PHS Act (relating to pediatric research), as authorized in the Gabriella Miller Kids First Research Act. (Department of Health and Human Services Appropriations Act, 2016.)

BUILDINGS AND FACILITIES

For the study of, construction or demolition of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, \$128,863,000, to remain available through September 30, **[2020]** 2021. (Department of Health and Human Services Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9915-0-1-552	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, NIH .....	28	17	17
2000 Total: Balances and receipts .....	28	17	18
Appropriations:			
Current law:			
2101 National Institutes of Health .....	-28	-16	-16
5099 Balance, end of year .....		1	2

Program and Financing (in millions of dollars)

Identification code 075-9915-0-1-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Cancer Institute (0849) .....	4,952	5,203	5,078
0002 National Heart, Lung, and Blood Institute (0872) .....	2,996	3,107	3,050
0003 National Institute of Dental and Craniofacial Research (0873) .....	398	411	403
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884) .....	1,749	1,965	1,921
0005 National Institute of Neurological Disorders and Stroke (0886) .....	1,605	1,694	1,645
0006 National Institute of Allergy and Infectious Diseases (0885) .....	4,604	4,708	4,680
0007 National Institute of General Medical Sciences (0851) .....	1,657	1,660	1,577
0008 National Institute of Child Health and Human Development (0844) .....	1,287	1,334	1,317
0009 National Eye Institute (0887) .....	677	705	686
0010 National Institute of Environmental Health Sciences (0862) .....	745	768	755
0011 National Institute on Aging (0843) .....	1,197	1,591	1,254
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888) .....	521	539	533
0013 National Institute on Deafness and Other Communication Disorder (0890) .....	405	420	416
0014 National Institute of Mental Health (0892) .....	1,434	1,512	1,449
0015 National Institute on Drug Abuse (0893) .....	1,016	1,046	1,010
0016 National Institute on Alcohol Abuse and Alcoholism (0894) .....	447	465	455
0017 National Institute of Nursing Research (0889) .....	141	143	142
0018 National Human Genome Research Institute (0891) .....	499	510	508
0019 National Institute of Biomedical Imaging and Bioengineering (0898) .....	327	340	334
0021 National Center for Complementary and Integrative Health (0896) .....	124	127	127
0022 National Institute on Minority Health and Health Disparities (0897) .....	270	278	278
0023 John E. Fogarty International Center (0819) .....	68	70	69
0024 National Library of Medicine (0807) .....	337	393	393
0025 Office of the Director (0846) .....	1,414	1,560	1,435
0026 Buildings and facilities (0838) .....	115	128	129

NATIONAL INSTITUTES OF HEALTH—Continued  
Program and Financing—Continued

Identification code 075-9915-0-1-552	2015 actual	2016 est.	2017 est.
0027 Cooperative Research and Development Agreements .....	24	16	16
0028 National Center for Advancing Translational Sciences (0875) .....	633	685	656
0031 Type 1 Diabetes .....	150	150	150
0799 Total direct obligations .....	29,792	31,528	30,466
0801 Reimbursable - Other .....	3,440	3,349	3,543
0802 Royalties .....	95	105	105
0809 Reimbursable program activities, subtotal .....	3,535	3,454	3,648
0899 Total reimbursable obligations .....	3,535	3,454	3,648
0900 Total new obligations .....	33,327	34,982	34,114
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	725	722	539
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	725	722	.....
1021 Recoveries of prior year unpaid obligations .....	75	.....	.....
1050 Unobligated balance (total) .....	800	722	539
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29,672	31,368	30,301
1121 Appropriations transferred from other acct [075-5736] .....	13	13	13
1160 Appropriation, discretionary (total) .....	29,685	31,381	30,314
Appropriations, mandatory:			
1200 Appropriation .....	150	150	150
1201 Appropriation (special or trust fund) .....	28	16	16
1260 Appropriations, mandatory (total) .....	178	166	166
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,247	3,252	3,559
1701 Change in uncollected payments, Federal sources .....	164	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3,411	3,252	3,559
1900 Budget authority (total) .....	33,274	34,799	34,039
1930 Total budgetary resources available .....	34,074	35,521	34,578
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-25	.....	.....
1941 Unexpired unobligated balance, end of year .....	722	539	464
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31,700	31,352	32,861
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	8	.....	.....
3010 Obligations incurred, unexpired accounts .....	33,327	34,982	34,114
3011 Obligations incurred, expired accounts .....	288	.....	.....
3020 Outlays (gross) .....	-33,380	-33,473	-35,314
3040 Recoveries of prior year unpaid obligations, unexpired .....	-75	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-516	.....	.....
3050 Unpaid obligations, end of year .....	31,352	32,861	31,661
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,559	-979	-979
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	-164	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	752	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-979	-979	-979
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	30,141	30,373	31,882
3200 Obligated balance, end of year .....	30,373	31,882	30,682
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	33,096	34,633	33,873
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9,274	11,725	11,744
4011 Outlays from discretionary balances .....	23,991	21,582	23,403
4020 Outlays, gross (total) .....	33,265	33,307	35,147
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3,907	-3,252	-3,559
4033 Non-Federal sources .....	-179	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-4,086	-3,252	-3,559
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-164	.....	.....

4052 Offsetting collections credited to expired accounts .....	839	.....	.....
4060 Additional offsets against budget authority only (total) .....	675	.....	.....
4070 Budget authority, net (discretionary) .....	29,685	31,381	30,314
4080 Outlays, net (discretionary) .....	29,179	30,055	31,588
Mandatory:			
4090 Budget authority, gross .....	178	166	166
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	52	52
4101 Outlays from mandatory balances .....	114	114	115
4110 Outlays, gross (total) .....	115	166	167
4180 Budget authority, net (total) .....	29,863	31,547	30,480
4190 Outlays, net (total) .....	29,294	30,221	31,755

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	29,863	31,547	30,480
Outlays .....	29,294	30,221	31,755
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	1,825
Outlays .....	.....	.....	547
Total:			
Budget Authority .....	29,863	31,547	32,305
Outlays .....	29,294	30,221	32,302

DISTRIBUTION OF PROGRAM LEVEL FUNDING AND OUTLAYS BY ACCOUNT

	(in millions of dollars)		
	2015*	2016	2017
Distribution of Program Level Funding by account:			
National Cancer Institute .....	4,953	5,214	5,894
National Heart, Lung, and Blood Institute .....	2,996	3,114	3,114
National Institute of Dental and Craniofacial Research .....	398	414	413
National Institute of Diabetes and Digestive and Kidney Diseases .....	1,899	1,996	1,966
National Institute of Neurological Disorder and Stroke .....	1,605	1,695	1,695
National Institute of Allergy and Infectious Diseases .....	4,418	4,716	4,716
National Institute of General Medical Sciences .....	2,372	2,512	2,512
Eunice Kennedy Shriver National Institute of Child Health and Human Development .....	1,287	1,338	1,338
National Eye Institute .....	677	708	708
National Institute of Environmental Health Sciences .....	745	771	771
National Institute on Aging .....	1,198	1,598	1,598
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	522	542	542
National Institute on Deafness and Other Communication Disorders .....	405	423	423
National Institute of Nursing Research .....	141	146	146
National Institute on Alcohol Abuse and Alcoholism .....	447	467	467
National Institute on Drug Abuse .....	1,016	1,051	1,051
National Institute of Mental Health .....	1,434	1,519	1,519
National Human Genome Research Institute .....	499	513	513
National Institute of Biomedical Imaging and Bioengineering .....	327	344	344
National Center for Complementary and Integrative Health .....	124	130	130
National Institute on Minority Health and Health Disparities .....	271	281	281
John E. Fogarty International Center .....	68	70	70
National Library of Medicine .....	337	396	396
National Center for Advancing Translational Sciences .....	633	685	685
Office of the Director .....	1,414	1,571	1,716
Buildings and Facilities .....	129	129	129
Total Program Level, NIH .....	30,311	32,311	33,136
Distribution of Outlays by account:			
National Cancer Institute .....	4,914	5,083	5,902
National Heart, Lung, and Blood Institute .....	2,992	2,874	3,076
National Institute of Dental and Craniofacial Research .....	410	406	409
National Institute of Diabetes and Digestive and Kidney Diseases .....	1,843	2,084	1,795
National Institute of Neurological Disorders and Stroke .....	1,569	1,728	1,675
National Institute of Allergy and Infectious Diseases .....	4,361	3,987	4,625
National Institute of General Medical Sciences .....	1,592	1,698	1,735
Eunice Kennedy Shriver National Institute of Child Health and Human Development .....	1,322	1,345	1,325
National Eye Institute .....	677	660	700
National Institute of Environmental Health Sciences .....	716	752	699
National Institute on Aging .....	1,062	1,339	1,508
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	516	525	536
National Institute on Deafness and Other Communication Disorders .....	407	401	419
National Institute of Nursing Research .....	150	129	144
National Institute on Alcohol Abuse and Alcoholism .....	467	424	461
National Institute on Drug Abuse .....	1,030	933	1,038
National Institute of Mental Health .....	1,415	1,455	1,500
National Human Genome Research Institute .....	504	545	510
National Institute of Biomedical Imaging and Bioengineering .....	334	316	338

National Center for Complementary and Integrative Health .....	125	124	128
National Institute on Minority Health and Health Disparities .....	255	233	276
John E. Fogarty International Center .....	65	74	69
National Library of Medicine .....	393	290	388
National Center for Advancing Translational Sciences .....	591	570	674
Office of the Director .....	1,364	1,374	1,517
Buildings and Facilities .....	99	113	121
<b>Total Outlays, NIH .....</b>	<b>29,173</b>	<b>29,462</b>	<b>30,835</b>

\*In addition, the FY 2015 appropriation (P.L. 113–235) provided NIH \$239 million of emergency resources for Ebola response and preparedness research activities.

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

**Object Classification** (in millions of dollars)

Identification code 075–9915–0–1–552	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	915	969	977
11.3 Other than full-time permanent .....	463	472	476
11.5 Other personnel compensation .....	33	36	36
11.7 Military personnel .....	20	20	20
11.8 Special personal services payments .....	162	165	166
11.9 <b>Total personnel compensation .....</b>	<b>1,593</b>	<b>1,662</b>	<b>1,675</b>
12.1 Civilian personnel benefits .....	452	480	489
12.2 Military personnel benefits .....	13	14	14
21.0 Travel and transportation of persons .....	50	51	52
22.0 Transportation of things .....	5	5	5
23.1 Rental payments to GSA .....	22	22	23
23.3 Communications, utilities, and miscellaneous charges .....	25	26	27
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	120	118	137
25.2 Other services from non-Federal sources .....	1,026	1,101	1,177
25.3 Other goods and services from Federal sources .....	2,952	3,069	3,235
25.4 Operation and maintenance of facilities .....	214	229	240
25.5 Research and development contracts .....	1,558	1,590	1,701
25.6 Medical care .....	28	28	28
25.7 Operation and maintenance of equipment .....	100	101	103
26.0 Supplies and materials .....	190	198	200
31.0 Equipment .....	154	162	165
41.0 Grants, subsidies, and contributions .....	21,289	22,675	21,191
99.0 <b>Direct obligations .....</b>	<b>29,792</b>	<b>31,532</b>	<b>30,463</b>
99.0 Reimbursable obligations .....	3,535	3,450	3,651
99.9 <b>Total new obligations .....</b>	<b>33,327</b>	<b>34,982</b>	<b>34,114</b>

**Employment Summary**

Identification code 075–9915–0–1–552	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12,956	13,085	13,085
1101 Direct military average strength employment .....	185	185	185
2001 Reimbursable civilian full-time equivalent employment .....	4,577	4,624	4,624
2101 Reimbursable military average strength employment .....	105	106	106

**NATIONAL INSTITUTES OF HEALTH**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075–9915–4–1–552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Cancer Institute (0849) .....			796
0002 National Heart, Lung, and Blood Institute (0872) .....			44
0003 National Institute of Dental and Craniofacial Research (0873) .....			9
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884) .....			30
0005 National Institute of Neurological Disorders and Stroke (0886) .....			36
0006 National Institute of Allergy and Infectious Diseases (0885) .....			15
0007 National Institute of General Medical Sciences (0851) .....			78
0008 National Institute of Child Health and Human Development (0844) .....			22
0009 National Eye Institute (0887) .....			21
0010 National Institute of Environmental Health Sciences (0862) .....			12

0011 National Institute on Aging (0843) .....			333
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888) .....			9
0013 National Institute on Deafness and Other Communication Disorder (0890) .....			7
0014 National Institute of Mental Health (0892) .....			59
0015 National Institute on Drug Abuse (0893) .....			30
0016 National Institute on Alcohol Abuse and Alcoholism (0894) .....			8
0017 National Institute of Nursing Research (0889) .....			2
0018 National Human Genome Research Institute (0891) .....			4
0019 National Institute of Biomedical Imaging and Bioengineering (0898) .....			9
0021 National Center for Complementary and Integrative Health (0896) .....			1
0022 National Institute on Minority Health and Health Disparities (0897) .....			3
0023 John E. Fogarty International Center (0819) .....			1
0024 National Library of Medicine (0807) .....			1
0025 Office of the Director (0846) .....			270
0028 National Center for Advancing Translational Sciences (0875) .....			25
9900 <b>Total new obligations (object class 41.0) .....</b>			<b>1,825</b>

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			1,900
1220 Appropriations transferred to other acct [075–9911] .....			–75
1260 <b>Appropriations, mandatory (total) .....</b>			<b>1,825</b>
1930 <b>Total budgetary resources available .....</b>			<b>1,825</b>

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			1,825
3020 Outlays (gross) .....			–547
3050 <b>Unpaid obligations, end of year .....</b>			<b>1,278</b>
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			1,278

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			1,825
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			547
4180 <b>Budget authority, net (total) .....</b>			<b>1,825</b>
4190 <b>Outlays, net (total) .....</b>			<b>547</b>

**10-YEAR PEDIATRIC RESEARCH INITIATIVE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075–5736–0–2–552	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	38	26	13
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Transfers from Presidential Election Campaign Fund .....	1		
2000 <b>Total: Balances and receipts .....</b>	<b>39</b>	<b>26</b>	<b>13</b>
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 10-Year Pediatric Research Initiative Fund .....	–13	–13	–13
5099 <b>Balance, end of year .....</b>	<b>26</b>	<b>13</b>	

**Program and Financing** (in millions of dollars)

Identification code 075–5736–0–2–552	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	13	13	13
1120 Appropriations transferred to other accts [075–9915] .....	–13	–13	–13
4180 <b>Budget authority, net (total) .....</b>			
4190 <b>Outlays, net (total) .....</b>			

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES  
ADMINISTRATION**

**Federal Funds**

**MENTAL HEALTH**

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, **[\$1,133,948,000] \$1,117,727,000: Provided**, That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: *Provided further*, That in addition to amounts provided herein, **[\$21,039,000] \$31,039,000** shall be available under section 241 of the PHS Act to supplement funds otherwise available for mental health activities and to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: *Provided further*, That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated in this Act for fiscal year **[2016] 2017: [Provided further**, That of the amount appropriated under this heading, \$46,887,000 shall be for the National Child Traumatic Stress Initiative as described in section 582 of the PHS Act: **]** *Provided further*, That notwithstanding section 565(b)(1) of the PHS Act, technical assistance may be provided to a public entity to establish or operate a system of comprehensive community mental health services to children with a serious emotional disturbance, without regard to whether the public entity receives a grant under section 561(a) of such Act: *Provided further*, That States shall expend at least 10 percent of the amount each receives for carrying out section 1911 of the PHS Act to support evidence-based **[programs that] mental health prevention and treatment practices** to address the needs of individuals with early serious mental illness, including psychotic disorders, regardless of the age of the individual at onset: *Provided further*, That none of the funds provided for section 1911 of the PHS Act shall be subject to section 241 of such Act: *Provided further*, That of the funds made available under this heading, \$15,000,000 shall be to carry out section 224 of the Protecting Access to Medicare Act of 2014 (Public Law 113-93; 42 U.S.C. 290aa 22 note): *Provided further*, That up to 10 percent of the amounts made available to carry out the Children's Mental Health Services program may be used to carry out demonstration grants or contracts for early interventions with persons not more than 25 years of age at clinical high risk of developing a first episode of psychosis.

**SUBSTANCE ABUSE TREATMENT**

For carrying out titles III **[,] and V [,** and XIX **]** of the PHS Act with respect to substance abuse treatment and **[section 1922(a) of the PHS Act] title XIX of such Act** with respect to substance abuse treatment and prevention, **[\$2,114,224,000] \$2,077,148,000: Provided**, That in addition to amounts provided herein, **[the following amounts] \$109,200,000** shall be available under section 241 of the PHS Act **[: (1) \$79,200,000] to supplement funds otherwise available for substance abuse treatment activities and to carry out subpart II of part B of title XIX of the PHS Act** to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX **[: and (2) \$2,000,000 to evaluate substance abuse treatment programs]:** *Provided further*, That none of the funds provided for section 1921 of the PHS Act shall be subject to section 241 of such Act: *Provided further*, That notwithstanding section 508 of the PHS Act, up to 25 percent of the amounts made available to carry out such section may be used to carry out demonstration grants to provide intensive outpatient services and treatment as well as any of the services listed in section 508(d).

**SUBSTANCE ABUSE PREVENTION**

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention, **[\$211,219,000] \$194,680,000: Provided**, That in addition to amounts provided herein, \$16,468,000 shall be available under section 241 of the PHS Act to supplement funds otherwise available for substance abuse prevention activities.

**HEALTH SURVEILLANCE AND PROGRAM SUPPORT**

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration ("SAMHSA"), **[\$174,878,000] \$99,228,000: Provided**, That in addition to amounts provided herein, **[\$31,428,000] \$56,828,000** shall be available under section 241 of the PHS Act to supplement funds available to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical

assistance activities: *Provided further*, That, in addition, fees may be collected for the costs of publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this appropriation and shall remain available until expended for such purposes: *Provided further*, That amounts made available in this Act for carrying out section 501(m) of the PHS Act shall remain available through September 30, **[2017] 2018: Provided further**, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention": *Provided further*, That the Administrator may transfer discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for SAMHSA in this Act between any of the accounts of SAMHSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-1362-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Mental Health .....	1,046	1,134	1,118
0007 Substance Abuse Treatment .....	2,103	2,114	2,077
0008 Substance Abuse Prevention .....	175	211	195
0009 Health Surveillance and Program Support .....	149	175	99
0011 Prevention Fund .....	12	12	28
0100 Total, direct program .....	3,485	3,646	3,517
0799 Total direct obligations .....	3,485	3,646	3,517
0802 Reimbursables .....	109	117	117
0810 Reimbursable: PHS Evaluation .....	134	134	214
0899 Total reimbursable obligations .....	243	251	331
0900 Total new obligations .....	3,728	3,897	3,848
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,474	3,634	3,489
Appropriations, mandatory:			
1221 Appropriations transferred from the Prevention and Public Health Fund [075-0116] .....	12	12	28
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	148	251	331
1701 Change in uncollected payments, Federal sources .....	95		
1750 Spending auth from offsetting collections, disc (total) .....	243	251	331
1900 Budget authority (total) .....	3,729	3,897	3,848
1930 Total budgetary resources available .....	3,729	3,897	3,848
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,495	3,727	3,563
3010 Obligations incurred, unexpired accounts .....	3,728	3,897	3,848
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	-3,396	-4,061	-3,849
3041 Recoveries of prior year unpaid obligations, expired .....	-109		
3050 Unpaid obligations, end of year .....	3,727	3,563	3,562
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-155	-126	-126
3070 Change in uncollected pymts, Fed sources, unexpired .....	-95		
3071 Change in uncollected pymts, Fed sources, expired .....	124		
3090 Uncollected pymts, Fed sources, end of year .....	-126	-126	-126
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,340	3,601	3,437
3200 Obligated balance, end of year .....	3,601	3,437	3,436
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,717	3,885	3,820
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,103	1,189	1,163
4011 Outlays from discretionary balances .....	2,253	2,792	2,667
4020 Outlays, gross (total) .....	3,356	3,981	3,830
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-255	-249	-329

4033	Non-Federal sources .....	-2	-2	
4040	Offsets against gross budget authority and outlays (total) ....	-255	-251	-331
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-95		
4052	Offsetting collections credited to expired accounts .....	107		
4060	Additional offsets against budget authority only (total) .....	12		
4070	Budget authority, net (discretionary) .....	3,474	3,634	3,489
4080	Outlays, net (discretionary) .....	3,101	3,730	3,499
	Mandatory:			
4090	Budget authority, gross .....	12	12	28
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		4	9
4101	Outlays from mandatory balances .....	40	76	10
4110	Outlays, gross (total) .....	40	80	19
4180	Budget authority, net (total) .....	3,486	3,646	3,517
4190	Outlays, net (total) .....	3,141	3,810	3,518

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,486	3,646	3,517
Outlays .....	3,141	3,810	3,518
Legislative proposal, subject to PAYGO:			
Budget Authority .....			590
Outlays .....			183
Total:			
Budget Authority .....	3,486	3,646	4,107
Outlays .....	3,141	3,810	3,701

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with States, communities, tribal, and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities.

**Object Classification** (in millions of dollars)

Identification code 075-1362-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	47	51	52
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	1
11.7 Military personnel .....	4	4	4
11.9 Total personnel compensation .....	55	59	60
12.1 Civilian personnel benefits .....	15	16	17
12.2 Military personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	10	9	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	2	2	1
25.1 Advisory and assistance services .....	29	37	35
25.2 Other services from non-Federal sources .....	168	172	143
25.3 Other goods and services from Federal sources .....	32	35	29
25.4 Operation and maintenance of facilities .....	2	2	2
26.0 Supplies and materials .....	2	1	
41.0 Grants, subsidies, and contributions .....	3,166	3,309	3,220
99.0 Direct obligations .....	3,485	3,646	3,517
99.0 Reimbursable obligations .....	243	251	331
99.9 Total new obligations .....	3,728	3,897	3,848

**Employment Summary**

Identification code 075-1362-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	457	494	494
1101 Direct military average strength employment .....	38	41	41
2001 Reimbursable civilian full-time equivalent employment .....	104	114	114

2101 Reimbursable military average strength employment .....	20	16	16
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**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1362-4-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Mental Health .....			115
0007 Substance Abuse Treatment .....			475
0100 Total, direct program .....			590
0799 Total direct obligations .....			590
0900 Total new obligations (object class 41.0) .....			590
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			590
1930 Total budgetary resources available .....			590
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			590
3020 Outlays (gross) .....			-183
3050 Unpaid obligations, end of year .....			407
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			407
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			590
Outlays, gross:			
4100 Outlays from new mandatory authority .....			183
4180 Budget authority, net (total) .....			590
4190 Outlays, net (total) .....			183

**AGENCY FOR HEALTHCARE RESEARCH AND QUALITY**

Federal Funds

**HEALTHCARE RESEARCH AND QUALITY**

For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, **[\$334,000,000]** \$363,698,000, of which \$83,458,000 shall be from funds available under section 241 of the PHS Act: Provided, That section 947(c) of the PHS Act shall not apply in fiscal year **[2016]** 2017: Provided further, That in addition, amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data shall be credited to this appropriation and shall remain available until **[September 30, 2017]** expended. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-1700-0-1-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research on Health Costs, Quality and Outcomes .....	228	197	141
0002 Medical Expenditure Panel Survey .....	65	66	69
0003 Program Support .....	70	71	71
0799 Total direct obligations .....	363	334	281
0803 Research on Health Costs, Quality and Outcomes .....	18	18	102
0805 Program Support .....	1		
0899 Total reimbursable obligations .....	19	18	102
0900 Total new obligations .....	382	352	383
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	16	13
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	20	16	13

HEALTHCARE RESEARCH AND QUALITY—Continued  
Program and Financing—Continued

Identification code 075-1700-0-1-552	2015 actual	2016 est.	2017 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	364	334	280
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	15	15
1700 Collected .....			83
1701 Change in uncollected payments, Federal sources .....	13		
1750 Spending auth from offsetting collections, disc (total) .....	14	15	98
1900 Budget authority (total) .....	378	349	378
1930 Total budgetary resources available .....	398	365	391
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	13	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	417	392	534
3010 Obligations incurred, unexpired accounts .....	382	352	383
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-375	-210	-492
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-31		
3050 Unpaid obligations, end of year .....	392	534	425
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-361	-156	-156
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13		
3071 Change in uncollected pymts, Fed sources, expired .....	218		
3090 Uncollected pymts, Fed sources, end of year .....	-156	-156	-156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	236	378
3200 Obligated balance, end of year .....	236	378	269
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	378	349	378
Outlays, gross:			
4010 Outlays from new discretionary authority .....	136	132	196
4011 Outlays from discretionary balances .....	233	76	296
4020 Outlays, gross (total) .....	369	208	492
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-200	-15	-98
4040 Offsets against gross budget authority and outlays (total) ...	-200	-15	-98
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-13		
4052 Offsetting collections credited to expired accounts .....	199		
4060 Additional offsets against budget authority only (total) .....	186		
4070 Budget authority, net (discretionary) .....	364	334	280
4080 Outlays, net (discretionary) .....	169	193	394
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	6	2	
4180 Budget authority, net (total) .....	364	334	280
4190 Outlays, net (total) .....	175	195	394

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

Object Classification (in millions of dollars)

Identification code 075-1700-0-1-552	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	31	33	33
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1		1
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	36	37	38
12.1 Civilian personnel benefits .....	10	10	10
12.2 Military personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	5	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1

24.0 Printing and reproduction .....	1	1	
25.2 Other services from non-Federal sources .....	13	14	15
25.3 Other goods and services from Federal sources .....	19	20	20
25.5 Research and development contracts .....	158	129	92
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	117	114	98
99.0 Direct obligations .....	363	333	281
99.0 Reimbursable obligations .....	19	19	102
99.9 Total new obligations .....	382	352	383

Employment Summary

Identification code 075-1700-0-1-552	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	281	294	294
1101 Direct military average strength employment .....	6	6	6
2001 Reimbursable civilian full-time equivalent employment .....	5	6	6
3001 Allocation account civilian full-time equivalent employment .....	10	25	25

CENTERS FOR MEDICARE AND MEDICAID SERVICES

Federal Funds

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$243,545,410,000] \$262,003,967,000**, to remain available until expended.

**[For making.] In addition, for carrying out such titles** after May 31, **[2016, payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act] 2017** for the last quarter of fiscal year **[2016] 2017** for unanticipated costs incurred for the current fiscal year, such sums as may be necessary, **to remain available until expended.**

**[For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act] In addition, for carrying out such titles** for the first quarter of fiscal year **[2017] 2018, [\$115,582,502,000] \$125,219,452,000**, to remain available until expended.

Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. *(Department of Health and Human Services Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 075-0512-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medicaid vendor payments .....	354,018	345,718	354,224
0002 State and local administration .....	20,284	18,870	18,976
0003 Vaccines for Children .....	3,845	4,161	4,386
0799 Total direct obligations .....	378,147	368,749	377,586
0801 Medicare Part B premiums .....	749	869	954
0802 Medicare Part D .....		3	3
0899 Total reimbursable obligations .....	749	872	957
0900 Total new obligations .....	378,896	369,621	378,543

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,407	343	
1021 Recoveries of prior year unpaid obligations .....	22,150		
1050 Unobligated balance (total) .....	23,557	343	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4
Appropriations, mandatory:			
1200 Appropriation Medicaid .....	251,444	255,134	262,000
Advance appropriations, mandatory:			
1270 Advance appropriation .....	103,472	113,272	115,582
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	766	872	957
1900 Budget authority (total) .....	355,682	369,278	378,543
1930 Total budgetary resources available .....	379,239	369,621	378,543
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	343		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	36,003	42,221	43,741
3010	Obligations incurred, unexpired accounts .....	378,896	369,621	378,543
3020	Outlays (gross) .....	-350,528	-368,101	-377,547
3040	Recoveries of prior year unpaid obligations, unexpired .....	-22,150		
3050	Unpaid obligations, end of year .....	42,221	43,741	44,737
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	36,003	42,221	43,741
3200	Obligated balance, end of year .....	42,221	43,741	44,737
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			4
Outlays, gross:				
4010	Outlays from new discretionary authority .....			4
Mandatory:				
4090	Budget authority, gross .....	355,682	369,278	378,539
Outlays, gross:				
4100	Outlays from new mandatory authority .....	316,021	327,399	377,543
4101	Outlays from mandatory balances .....	34,507	40,702	
4110	Outlays, gross (total) .....	350,528	368,101	377,543
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-749	-872	-957
4123	Non-Federal sources .....	-17		
4130	Offsets against gross budget authority and outlays (total) ....	-766	-872	-957
4160	Budget authority, net (mandatory) .....	354,916	368,406	377,582
4170	Outlays, net (mandatory) .....	349,762	367,229	376,586
4180	Budget authority, net (total) .....	354,916	368,406	377,586
4190	Outlays, net (total) .....	349,762	367,229	376,590

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	354,916	368,406	377,586
Outlays .....	349,762	367,229	376,590
<b>Amounts included in the adjusted baseline:</b>			
Budget Authority .....			-14
Outlays .....			-14
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			28
Outlays .....			28
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			8,982
Outlays .....			8,982
<b>Total:</b>			
Budget Authority .....	354,916	368,406	386,582
Outlays .....	349,762	367,229	385,586

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

**Vaccines for Children**

(in millions of dollars)

	2015	2016	2017
Obligations			
Vaccine Purchase .....	3,558	3,973	4,072
Vaccine Stockpile .....	122	9	131
Ordering, Distribution, and Operations .....	127	131	134
Vaccine Management Contract Support .....	0	0	0
Evaluation Activities .....	44	48	50
<b>Total Obligations .....</b>	<b>3,851</b>	<b>4,161</b>	<b>4,387</b>

**Object Classification** (in millions of dollars)

Identification code 075-0512-0-1-551	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	378,147	368,749	377,586
99.0 Reimbursable obligations .....	749	872	957

99.9	Total new obligations .....	378,896	369,621	378,543
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**GRANTS TO STATES FOR MEDICAID**  
(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 075-0512-7-1-551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Medicaid vendor payments .....			-14
0900	Total new obligations (object class 41.0) .....			-14
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			-14
1930	Total budgetary resources available .....			-14
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-14
3020	Outlays (gross) .....			14
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			-14
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-14
4180	Budget authority, net (total) .....			-14
4190	Outlays, net (total) .....			-14

**GRANTS TO STATES FOR MEDICAID**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0512-2-1-551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Medicaid vendor payments .....			28
0799	Total direct obligations .....			28
0900	Total new obligations (object class 41.0) .....			28
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation Medicaid .....			28
1900	Budget authority (total) .....			28
1930	Total budgetary resources available .....			28
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			28
3020	Outlays (gross) .....			-28
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			28
Outlays, gross:				
4100	Outlays from new mandatory authority .....			28
4180	Budget authority, net (total) .....			28
4190	Outlays, net (total) .....			28

This schedule reflects the non-PAYGO impacts of the Administration's Medicaid proposals.

**GRANTS TO STATES FOR MEDICAID**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0512-4-1-551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Medicaid vendor payments .....			8,982
0799	Total direct obligations .....			8,982

GRANTS TO STATES FOR MEDICAID—Continued  
Program and Financing—Continued

Identification code 075-0512-4-1-551	2015 actual	2016 est.	2017 est.
0900 Total new obligations (object class 41.0)			8,982
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation Medicaid			8,982
1900 Budget authority (total)			8,982
1930 Total budgetary resources available			8,982
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			8,982
3020 Outlays (gross)			-8,982
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			8,982
Outlays, gross:			
4100 Outlays from new mandatory authority			8,982
4180 Budget authority, net (total)			8,982
4190 Outlays, net (total)			8,982

This schedule reflects the Administration's Medicaid proposals.

STATE GRANTS AND DEMONSTRATIONS  
Program and Financing (in millions of dollars)

Identification code 075-0516-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ticket to Work	1		
0011 Emergency health services for undocumented aliens	5	2	
0012 Medicaid integrity program	75	114	84
0018 Money follows the person (MFP) demonstration	546	1,515	
0019 MFP evaluations and technical support	1	1	
0023 Grants to improve outreach and enrollment	5	40	
0025 Medicaid emergency psychiatric demonstration	34		
0026 Incentives for prevention of chronic diseases in Medicaid	7		
0027 Demonstration Programs to Improve Mental Health Services	1	24	
0799 Total direct obligations	675	1,696	84
0801 Reimbursable program activity	5		
0900 Total new obligations	680	1,696	84
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,415	2,252	1,116
1012 Unobligated balance transfers between expired and unexpired accounts	9		
1021 Recoveries of prior year unpaid obligations	13		
1050 Unobligated balance (total)	2,437	2,252	1,116
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	533	598	84
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-39	-38	
1260 Appropriations, mandatory (total)	494	560	84
Spending authority from offsetting collections, mandatory:			
1800 Collected	6		
1900 Budget authority (total)	500	560	84
1930 Total budgetary resources available	2,937	2,812	1,200
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
1941 Unexpired unobligated balance, end of year	2,252	1,116	1,116
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	694	787	1,849
3010 Obligations incurred, unexpired accounts	680	1,696	84
3011 Obligations incurred, expired accounts	16		
3020 Outlays (gross)	-590	-634	-543
3040 Recoveries of prior year unpaid obligations, unexpired	-13		
3050 Unpaid obligations, end of year	787	1,849	1,390

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	694	787	1,849
3200 Obligated balance, end of year	787	1,849	1,390

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	500	560	84
Outlays, gross:			
4100 Outlays from new mandatory authority	1	58	21
4101 Outlays from mandatory balances	589	576	522
4110 Outlays, gross (total)	590	634	543
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-22		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	16		
4160 Budget authority, net (mandatory)	494	560	84
4170 Outlays, net (mandatory)	568	634	543
4180 Budget authority, net (total)	494	560	84
4190 Outlays, net (total)	568	634	543

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	494	560	84
Outlays	568	634	543
Legislative proposal, subject to PAYGO:			
Budget Authority			525
Outlays			25
Total:			
Budget Authority	494	560	609
Outlays	568	634	568

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173), the Deficit Reduction Act of 2005 (P.L. 109-171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3), the Patient Protection and Affordable Care Act (P.L. 111-148), the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152), the Protecting Access to Medicare Act of 2014 (P.L. 113-93), and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10).

The Budget includes an unobligated balance of approximately \$13 million for activities authorized by Section 203 and \$130 million for activities authorized by Section 204 of the Ticket to Work and Work Incentives Improvement Act. Thus, pursuant to 31 U.S.C. 1555, any remaining balance (whether obligated or unobligated) will be withdrawn and returned to the Treasury two years after there has been no disbursement made against the appropriation.

Object Classification (in millions of dollars)

Identification code 075-0516-0-1-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent - Medicaid Integrity Program	8	9	9
12.1 Civilian personnel benefits - Medicaid Integrity Program	3	4	4
41.0 Grants, subsidies, and contributions - Emergency services for undocumented aliens	5	2	
41.0 Grants, subsidies, and contributions - Medicaid Integrity Program	64	101	71
41.0 Grants, subsidies, and contributions - Money follows the person (MFP) demonstrations	546	1,515	
41.0 Grants, subsidies, and contributions - MFP evaluations and technical support	1	1	
41.0 Grants, subsidies, and contributions - Grants to improve outreach and enrollment	5	40	
41.0 Grants, subsidies, and contributions - Medicaid emergency psychiatric demonstration	34		
41.0 Grants, subsidies, and contributions - Incentives for prevention of chronic diseases in Medicaid	7		
41.0 Grants, subsidies, and contributions - Demos to Improve Mental Health	1	24	
41.0 Grants, subsidies, and contributions - Ticket to Work	1		
99.0 Direct obligations	675	1,696	84

99.0	Reimbursable obligations .....	5		
99.9	Total new obligations .....	680	1,696	84

**Employment Summary**

Identification code 075-0516-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	86	97	96

**STATE GRANTS AND DEMONSTRATIONS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0516-4-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		525
1930	Total budgetary resources available .....		525
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		525
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020	Outlays (gross) .....		-25
3050	Unpaid obligations, end of year .....		-25
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		-25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		525
Outlays, gross:			
4100	Outlays from new mandatory authority .....		25
4180	Budget authority, net (total) .....		525
4190	Outlays, net (total) .....		25

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act. **【\$283,171,800,000】 \$299,187,700,000.**

In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 075-0580-0-1-571	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Federal contribution to match premiums (SMI) .....	188,348	237,231	214,944
0002	Part D benefits (Rx Drug) .....	72,342	82,453	82,512
0003	Part D Federal administration (Rx Drug) .....	418	691	405
0004	General Fund Transfers to HI .....	1,103	1,493	1,324
0006	Federal Bureau of Investigation (HCFAC) .....	129	130	141
0007	Federal payments from taxation of OASDI benefits (HI) .....	20,208	23,208	25,987
0008	Criminal fines (HCFAC) .....	57	550	590
0009	Civil penalties and damages (HCFAC - DOJ and CMS administration) .....	15	32	32
0010	Asset Forfeiture .....	15	29	30
0011	State Low Income Determinations .....		5	3
0900	Total new obligations .....	282,635	345,822	325,968
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (definite, annual) .....	268,206	283,172	299,188
1200	Appropriation (indefinite, permanent) .....	20,208	23,208	25,987
1200	Appropriation (HCFAC for FBI) .....	129	130	141
1200	Appropriation (indefinite for HCFAC) .....	87	611	652

1200	Appropriation (indefinite, annual) .....	6	38,701	
1260	Appropriations, mandatory (total) .....	288,636	345,822	325,968
1930	Total budgetary resources available .....	288,636	345,822	325,968
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-6,001		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	16,314	14,161	20,916
3010	Obligations incurred, unexpired accounts .....	282,635	345,822	325,968
3011	Obligations incurred, expired accounts .....	562		
3020	Outlays (gross) .....	-284,944	-339,067	-325,712
3041	Recoveries of prior year unpaid obligations, expired .....	-406		
3050	Unpaid obligations, end of year .....	14,161	20,916	21,172
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16,314	14,161	20,916
3200	Obligated balance, end of year .....	14,161	20,916	21,172

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	288,636	345,822	325,968
Outlays, gross:				
4100	Outlays from new mandatory authority .....	273,294	325,614	325,712
4101	Outlays from mandatory balances .....	11,650	13,453	
4110	Outlays, gross (total) .....	284,944	339,067	325,712
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-130		
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts .....	130		
4160	Budget authority, net (mandatory) .....	288,636	345,822	325,968
4170	Outlays, net (mandatory) .....	284,814	339,067	325,712
4180	Budget authority, net (total) .....	288,636	345,822	325,968
4190	Outlays, net (total) .....	284,814	339,067	325,712

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	288,636	345,822	325,968
Outlays .....	284,814	339,067	325,712
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-819
Outlays .....			-819
Total:			
Budget Authority .....	288,636	345,822	325,149
Outlays .....	284,814	339,067	324,893

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries, and administrative expenses that are properly chargeable to the general fund.

**Object Classification** (in millions of dollars)

Identification code 075-0580-0-1-571	2015 actual	2016 est.	2017 est.	
Direct obligations:				
41.0	Grants, subsidies, and contributions .....	280,927	343,638	324,239
42.0	Insurance claims and indemnities .....	187	158	147
94.0	Financial transfers (Federal admin) .....	1,521	2,026	1,582
99.9	Total new obligations .....	282,635	345,822	325,968

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0580-2-1-571	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Federal contribution to match premiums (SMI) .....		-179
0002	Part D benefits (Rx Drug) .....		-640
0900	Total new obligations (object class 41.0) .....		-819

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued  
Program and Financing—Continued

Identification code 075-0580-2-1-571	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			-819
1930			-819
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			-819
3020			819
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			-819
Outlays, gross:			
4100			-819
4180			-819
4190			-819

QUALITY IMPROVEMENT ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 075-0519-0-1-571	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	546	509	404
0002	150	177	176
0900	696	686	580
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	110	686	580
1801	802		
1850	912	686	580
1930	912	686	580
Memorandum (non-add) entries:			
1940	-216		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	1,461	1,488	1,488
3010	696	686	580
3020	-669	-686	-580
3050	1,488	1,488	1,488
Uncollected payments:			
3060	-2,188	-2,431	-2,431
3070	-802		
3071	559		
3090	-2,431	-2,431	-2,431
Memorandum (non-add) entries:			
3100	-727	-943	-943
3200	-943	-943	-943
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	912	686	580
Outlays, gross:			
4100	122	266	143
4101	547	420	437
4110	669	686	580
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	-669	-686	-580
Additional offsets against gross budget authority only:			
4140	-802		
4142	559		
4150	-243		
4180			
4190			

Memorandum (non-add) entries:

5093	Expired unavailable balance, SOY: Offsetting collections	50	50	50
5095	Expired unavailable balance, EOY: Offsetting collections	50	50	50

Part B of title XI of the Social Security Act, as amended by the Peer Review Improvement Act of 1982, provides the statutory authority for the Medicare Quality Improvement Organization (QIO) Program. The mission of the program is to promote the effectiveness, efficiency, economy, and quality of services delivered to Medicare beneficiaries and to ensure that those services are reasonable and necessary. The program is funded through transfers from the Medicare Hospital Insurance Trust Fund and the Medicare Supplementary Medical Insurance Trust Fund. In FY 2012, a Treasury account specific to the QIO program was established to improve budgetary operations.

Object Classification (in millions of dollars)

Identification code 075-0519-0-1-571	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	28	14	11
23.3	Communications, utilities, and miscellaneous charges	1	3	2
25.2	Other services from non-Federal sources	639	653	554
25.3	Other goods and services from Federal sources	22	11	9
25.4	Operation and maintenance of facilities	6	5	4
99.9	Total new obligations	696	686	580

Employment Summary

Identification code 075-0519-0-1-571	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	204	226	227

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare and Medicaid Services, not to exceed **[\$3,669,744,000] \$4,109,549,000**, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 302 of the Tax Relief and Health Care Act of 2006; 1893(h) of the Social Security Act, and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until **[September 30, 2021] expended: Provided**, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That the Secretary is directed to collect fees in fiscal year **[2016] 2017** from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act. (*Department of Health and Human Services Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 075-0511-0-1-550	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Program operations	2,886	3,215	3,183
0002	Federal administration	767	786	783
0003	State survey and certification	421	428	463
0004	Research, demonstrations, and evaluation projects	122	88	49
0007	ARRA Medicare/Medicaid HIT	95	144	73
0008	Risk Corridor	2,870	2,870	2,870
0100	Total direct program	7,161	7,531	7,421
0799	Total direct obligations	7,161	7,531	7,421
0801	Clinical laboratory improvement amendments	58	47	50
0802	Sale of data	5	8	8
0803	Coordination of benefits	33	27	30
0804	Medicare advantage/Prescription drug plan	75	85	89
0805	Provider enrollment	30	30	32

0806	Recovery audit contractors .....	143	152	191
0808	Marketplace User Fees .....	879	1,197	1,554
0810	Risk Adjustment Administrative Expenses .....	29	50	50
0813	Other reimbursable program activity .....	219	11	23
0899	Total reimbursable obligations .....	1,442	1,586	2,027
0900	Total new obligations .....	8,603	9,117	9,448
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	916	-1,091	-3,979
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	95	-1,091	.....
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	290	.....	.....
1021	Recoveries of prior year unpaid obligations .....	57	.....	.....
1050	Unobligated balance (total) .....	1,263	-1,091	-3,979
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	193	68	3
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-14	-4	.....
1260	Appropriations, mandatory (total) .....	179	64	3
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2,074	4,345	4,480
1701	Change in uncollected payments, Federal sources .....	2,133	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	4,207	4,345	4,480
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1,303	1,856	2,157
1801	Change in uncollected payments, Federal sources .....	681	.....	.....
1802	Offsetting collections (previously unavailable) .....	34	76	92
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-121	-112	.....
1850	Spending auth from offsetting collections, mand (total) .....	1,897	1,820	2,249
1900	Budget authority (total) .....	6,283	6,229	6,732
1930	Total budgetary resources available .....	7,546	5,138	2,753
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-34	.....	.....
1941	Unexpired unobligated balance, end of year .....	-1,091	-3,979	-6,695

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4,116	6,739	9,092
3010	Obligations incurred, unexpired accounts .....	8,603	9,117	9,448
3011	Obligations incurred, expired accounts .....	179	.....	.....
3020	Outlays (gross) .....	-5,883	-6,764	-6,959
3040	Recoveries of prior year unpaid obligations, unexpired .....	-57	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-219	.....	.....
3050	Unpaid obligations, end of year .....	6,739	9,092	11,581
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4,465	-5,011	-5,011
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2,814	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	2,268	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-5,011	-5,011	-5,011
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-349	1,728	4,081
3200	Obligated balance, end of year .....	1,728	4,081	6,570

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4,207	4,345	4,480
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,199	4,345	4,480
4011	Outlays from discretionary balances .....	2,363	.....	.....
4020	Outlays, gross (total) .....	4,562	4,345	4,480
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4,286	-4,337	-4,472
4033	Non-Federal sources .....	-20	-8	-8
4040	Offsets against gross budget authority and outlays (total) ....	-4,306	-4,345	-4,480
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2,133	.....	.....
4052	Offsetting collections credited to expired accounts .....	2,232	.....	.....
4060	Additional offsets against budget authority only (total) .....	99	.....	.....
4080	Outlays, net (discretionary) .....	256	.....	.....
Mandatory:				
4090	Budget authority, gross .....	2,076	1,884	2,252
Outlays, gross:				
4100	Outlays from new mandatory authority .....	362	1,932	2,252
4101	Outlays from mandatory balances .....	959	487	227
4110	Outlays, gross (total) .....	1,321	2,419	2,479

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-179	-244	-239
4123	Non-Federal sources .....	-1,137	-1,612	-1,918
4130	Offsets against gross budget authority and outlays (total) ....	-1,316	-1,856	-2,157
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-681	.....	.....
4142	Offsetting collections credited to expired accounts .....	13	.....	.....
4150	Additional offsets against budget authority only (total) .....	-668	.....	.....
4160	Budget authority, net (mandatory) .....	92	28	95
4170	Outlays, net (mandatory) .....	5	563	322
4180	Budget authority, net (total) .....	92	28	95
4190	Outlays, net (total) .....	261	563	322

<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	43	130	166
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	130	166	74
5093	Expired unavailable balance, SOY: Offsetting collections .....	59	59	59
5095	Expired unavailable balance, EOY: Offsetting collections .....	59	59	59

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	92	28	95
Outlays .....	261	563	322
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	414
Outlays .....	.....	.....	39
Total:			
Budget Authority .....	92	28	509
Outlays .....	261	563	361

Note: The figures for the risk corridors program in this table for fiscal years 2016 and 2017 are not estimates. Amounts for fiscal years 2016 and 2017 are uncertain and therefore the figures in this table simply reflect imbalances between payments out and payments in by participating plans equal to those that occurred for fiscal year 2015. In the event of a shortfall over the life of the three-year Risk Corridors program, the Administration will work with Congress to provide necessary funds for outstanding payments.

Program management activities include funding for program operations, survey and certification, the Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts and other administrative costs.

**Object Classification** (in millions of dollars)

Identification code 075-0511-0-1-550	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	460	478	450
11.3	Other than full-time permanent .....	13	16	16
11.5	Other personnel compensation .....	8	8	8
11.7	Military personnel .....	13	18	18
11.9	Total personnel compensation .....	494	520	492
12.1	Civilian personnel benefits .....	157	143	138
12.2	Military personnel benefits .....	7	9	9
21.0	Travel and transportation of persons .....	5	4	5
22.0	Transportation of things .....	1	.....	.....
23.1	Rental payments to GSA .....	6	8	8
23.3	Communications, utilities, and miscellaneous charges .....	29	.....	.....
24.0	Printing and reproduction .....	40	2	3
25.2	Other services from non-Federal sources .....	2,641	2,508	2,455
25.3	Other goods and services from Federal sources .....	72	9	8
25.4	Operation and maintenance of facilities .....	2	.....	.....
25.5	Research and development contracts .....	2	40	22
25.6	Medical care .....	650	1,269	1,334
25.7	Operation and maintenance of equipment .....	1	.....	.....
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	2	.....	.....
41.0	Grants, subsidies, and contributions .....	85	109	37
42.0	Insurance claims and indemnities .....	2,966	2,909	2,909
99.0	Direct obligations .....	7,161	7,531	7,421
99.0	Reimbursable obligations .....	1,442	1,586	2,027
99.9	Total new obligations .....	8,603	9,117	9,448

**Employment Summary**

Identification code 075-0511-0-1-550	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	4,306	4,193	3,927

PROGRAM MANAGEMENT—Continued  
Employment Summary—Continued

Identification code 075-0511-0-1-550	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	109	118	72
1101 Direct military average strength employment .....	179	185	185
2001 Reimbursable civilian full-time equivalent employment .....	103	125	542

PROGRAM MANAGEMENT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0511-4-1-550	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program operations .....			409
0002 Federal administration .....			5
0100 Total direct program .....			414
0799 Total direct obligations .....			414
0801 Federal Payment Levy Program .....			2
0802 Home Health Civil Monetary Penalties .....			1
0803 Appeals Filing Fee .....			4
0804 Provider Application Fee .....			9
0805 Clearinghouse Registration Fees .....			15
0806 RAC Recoveries for PI .....			170
0899 Total reimbursable obligations .....			201
0900 Total new obligations .....			615

Identification code 075-0511-4-1-550	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			414
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			201
1900 Budget authority (total) .....			615
1930 Total budgetary resources available .....			615

Identification code 075-0511-4-1-550	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			615
3020 Outlays (gross) .....			-240
3050 Unpaid obligations, end of year .....			375
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			375

Identification code 075-0511-4-1-550	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			615
Outlays, gross:			
4100 Outlays from new mandatory authority .....			240
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-201
4180 Budget authority, net (total) .....			414
4190 Outlays, net (total) .....			39

Object Classification (in millions of dollars)

Identification code 075-0511-4-1-550	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			2
12.1 Civilian personnel benefits .....			1
25.2 Other services from non-Federal sources .....			411
99.0 Direct obligations .....			414
99.0 Reimbursable obligations .....			201
99.9 Total new obligations .....			615

Employment Summary

Identification code 075-0511-4-1-550	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			20

CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0515-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to States and U.S. Territories .....	11,349	13,499	15,901
0003 Child health quality improvement .....	4	26	15
0900 Total new obligations (object class 41.0) .....	11,353	13,525	15,916

Identification code 075-0515-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,158	7,501	8,597
1011 Unobligated balance transfer from other acct [075-5551] .....			3,899
1012 Unobligated balance transfers between expired and unexpired accounts .....	928		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	4,087	7,501	12,496
Budget authority:			
Appropriations, discretionary:			
1120 Delay in transfer from other acct [075-5551] .....			-570
1130 Appropriations permanently reduced .....			-2,050
1131 Unobligated balance of appropriations permanently reduced .....			-3,899
1160 Appropriation, discretionary (total) .....			-6,519
Appropriations, mandatory:			
1200 Appropriation .....	21,061	19,300	20,400
1221 Appropriations transferred from other acct [075-5551] .....			2,618
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-6,294	-4,679	
1260 Appropriations, mandatory (total) .....	14,767	14,621	23,018
1900 Budget authority (total) .....	14,767	14,621	16,499
1930 Total budgetary resources available .....	18,854	22,122	28,995
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7,501	8,597	13,079

Identification code 075-0515-0-1-551	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,708	8,722	7,821
3010 Obligations incurred, unexpired accounts .....	11,353	13,525	15,916
3011 Obligations incurred, expired accounts .....	143		
3020 Outlays (gross) .....	-9,233	-14,426	-15,015
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-248		
3050 Unpaid obligations, end of year .....	8,722	7,821	8,722
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,708	8,722	7,821
3200 Obligated balance, end of year .....	8,722	7,821	8,722

Identification code 075-0515-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-6,519
Mandatory:			
4090 Budget authority, gross .....	14,767	14,621	23,018
Outlays, gross:			
4100 Outlays from new mandatory authority .....	313	5,600	9,200
4101 Outlays from mandatory balances .....	8,920	8,826	5,815
4110 Outlays, gross (total) .....	9,233	14,426	15,015
4180 Budget authority, net (total) .....	14,767	14,621	16,499
4190 Outlays, net (total) .....	9,233	14,426	15,015

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	14,767	14,621	16,499
Outlays .....	9,233	14,426	15,015
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			180
Outlays .....			180

Total:				
Budget Authority .....	14,767	14,621	16,679	
Outlays .....	9,233	14,426	15,195	

The Balanced Budget Act of 1997 established the Children's Health Insurance Program (CHIP) under title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3, CHIPRA) reauthorized the CHIP program and appropriated funding for CHIP through 2013. CHIPRA made some modifications to the program, including increased funding for States and territories, bonus payments for States that exceed Medicaid child enrollment targets, and support for child health quality and outreach activities. A contingency fund to assist States who project spending above their available allocated CHIP funds was also created by CHIPRA and funding is included in a separate account. The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) extended funding for CHIP, providing appropriations through 2015. The Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114–10, MACRA) extended funding for CHIP for two years, through 2017. The Budget proposes a two-year extension of CHIP funding through 2019.

CHILDREN'S HEALTH INSURANCE FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–0515–4–1–551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			180
1930			180
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941			180
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			–180
Outlays (gross) .....			
3050			–180
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3200			–180
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			180
Budget authority, gross .....			
Outlays, gross:			
4100			180
Outlays from new mandatory authority .....			
4180			180
Budget authority, net (total) .....			
4190			180
Outlays, net (total) .....			

This schedule reflects the Administration's Children's Health Insurance Program (CHIP) proposals.

CENTER FOR MEDICARE AND MEDICAID INNOVATION

Program and Financing (in millions of dollars)

Identification code 075–0522–0–1–551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	257	345	350
0002	1,095	1,484	1,133
0900	1,352	1,829	1,483
Total new obligations .....			
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	7,009	5,669	3,840
1021	12		
Recoveries of prior year unpaid obligations .....			

1050	Unobligated balance (total) .....	7,021	5,669	3,840
1930	Total budgetary resources available .....	7,021	5,669	3,840
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5,669	3,840	2,357

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,114	1,483	1,904
3010	Obligations incurred, unexpired accounts .....	1,352	1,829	1,483
3020	Outlays (gross) .....	–971	–1,408	–1,595
3040	Recoveries of prior year unpaid obligations, unexpired .....	–12		
3050	Unpaid obligations, end of year .....	1,483	1,904	1,792
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,114	1,483	1,904
3200	Obligated balance, end of year .....	1,483	1,904	1,792

Budget authority and outlays, net:

Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	971	1,408	1,595
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	971	1,408	1,595

The Center for Medicare and Medicaid Innovation ("Innovation Center") was established by section 1115A of the Social Security Act (as added by section 3021 of the Patient Protection and Affordable Care Act). The Innovation Center is tasked with testing innovative payment and service delivery models to reduce program expenditures while preserving or enhancing the quality of care provided to individuals under Medicare, Medicaid, or the Children's Health Insurance Program (CHIP). The statute provides \$10 billion in mandatory funding for these purposes in fiscal years 2011 through 2019.

Object Classification (in millions of dollars)

Identification code 075–0522–0–1–551	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	46	59	48
12.1	Civilian personnel benefits .....	15	18	15
23.3	Communications, utilities, and miscellaneous charges .....	3	4	4
25.2	Other services from non-Federal sources .....	650	796	645
41.0	Grants, subsidies, and contributions .....	575	823	666
42.0	Insurance claims and indemnities .....	63	129	105
99.9	Total new obligations .....	1,352	1,829	1,483

Employment Summary

Identification code 075–0522–0–1–551	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	479	625	654

CHILD ENROLLMENT CONTINGENCY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075–5551–0–2–551	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....			2,048
Receipts:				
Current law:				
1140	Interest, Child Enrollment Contingency Fund .....	3	39	16
2000	Total: Balances and receipts .....	3	39	2,064
Appropriations:				
Current law:				
2101	Child Enrollment Contingency Fund .....	–3	–39	–16
2103	Child Enrollment Contingency Fund .....			–2,048
2132	Child Enrollment Contingency Fund .....		2,048	
2134	Child Enrollment Contingency Fund .....			570
2199	Total current law appropriations .....	–3	2,009	–1,494
2999	Total appropriations .....	–3	2,009	–1,494
5099	Balance, end of year .....		2,048	570

CHILD ENROLLMENT CONTINGENCY FUND—Continued  
**Program and Financing** (in millions of dollars)

Identification code 075-5551-0-2-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to States and US Territories .....	53		
0900 Total new obligations (object class 41.0) .....	53		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,098	2,048	3,899
1010 Unobligated balance transfer to other accts [075-0515] .....			-3,899
1050 Unobligated balance (total) .....	2,098	2,048	
Budget authority:			
Appropriations, discretionary:			
1121 Delay of transfer to other acct [075-0515] .....			570
1134 Appropriations precluded from obligation .....			-570
Appropriations, mandatory:			
1200 Appropriation .....		3,860	1,140
1201 Appropriation (special or trust fund) .....	3	39	16
1203 Appropriation (previously unavailable) .....			2,048
1220 Appropriations transferred to other acct [075-0515] .....			-2,618
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-2,048	
1260 Appropriations, mandatory (total) .....	3	1,851	586
1900 Budget authority (total) .....	3	1,851	586
1930 Total budgetary resources available .....	2,101	3,899	586
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,048	3,899	586
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	53	
3010 Obligations incurred, unexpired accounts .....	53		
3020 Outlays (gross) .....	-9	-53	
3050 Unpaid obligations, end of year .....	53		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	53	
3200 Obligated balance, end of year .....	53		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	1,851	586
Outlays, gross:			
4101 Outlays from mandatory balances .....	9	53	
4180 Budget authority, net (total) .....	3	1,851	586
4190 Outlays, net (total) .....	9	53	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,101	2,053	3,899
5001 Total investments, EOY: Federal securities: Par value .....	2,053	3,899	1,156

The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3, CHIPRA) established the Child Enrollment Contingency Fund under title XXI of the Social Security Act. Beginning in 2009, a State may qualify for a Contingency Fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) extended the Contingency Fund through 2015. The Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10) extended the Contingency Fund through 2017. The Budget proposes a two-year extension of CHIP funding, including an extension of the Contingency Fund through 2019.

The Fund received an initial appropriation equal to 20 percent of the FY 2009 national allotment (\$2.1 billion). In fiscal years 2010 through 2017, the statute appropriates the amount necessary to make payments to eligible States, but not to exceed 20 percent of the total annual appropriation for CHIP allotments to States. Any amounts in excess of this aggregate cap will be made available for CHIP performance bonus payments. The Contingency Fund is invested in interest bearing securities of the United States,

and the income derived from these investments constitutes a part of the fund.

MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT

**Program and Financing** (in millions of dollars)

Identification code 075-0508-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Incentive payments to hospitals .....	2,690	2,040	1,005
0802 Incentive payments to eligible professionals .....	1,592	760	440
0900 Total new obligations (object class 42.0) .....	4,282	2,800	1,445
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	162		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected from the HI Trust Fund .....	3,196	2,040	1,005
1800 Collected from the SMI Trust Fund .....	1,862	760	440
1801 Change in uncollected payments, Federal sources .....	-938		
1850 Spending auth from offsetting collections, mand (total) .....	4,120	2,800	1,445
1930 Total budgetary resources available .....	4,282	2,800	1,445
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	729	51	51
3010 Obligations incurred, unexpired accounts .....	4,282	2,800	1,445
3020 Outlays (gross) .....	-4,960	-2,800	-1,445
3050 Unpaid obligations, end of year .....	51	51	51
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,165	-227	-227
3070 Change in uncollected pymts, Fed sources, unexpired .....	938		
3090 Uncollected pymts, Fed sources, end of year .....	-227	-227	-227
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-436	-176	-176
3200 Obligated balance, end of year .....	-176	-176	-176
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4,120	2,800	1,445
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4,120	2,749	1,394
4101 Outlays from mandatory balances .....	840	51	51
4110 Outlays, gross (total) .....	4,960	2,800	1,445
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-5,058	-2,800	-1,445
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	938		
4170 Outlays, net (mandatory) .....	-98		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-98		
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	133	133	133
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	133	133	133

RATE REVIEW GRANTS

**Program and Financing** (in millions of dollars)

Identification code 075-0112-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Premium rate review grants .....		22	
0900 Total new obligations (object class 41.0) .....		22	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		7	10
1012 Unobligated balance transfers between expired and unexpired accounts .....	7		

1021	Recoveries of prior year unpaid obligations .....	25	25
1050	Unobligated balance (total) .....	7	32
1930	Total budgetary resources available .....	7	32
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	7	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	156	105
3010	Obligations incurred, unexpired accounts .....	10	22
3011	Obligations incurred, expired accounts .....	10	22
3020	Outlays (gross) .....	-35	-29
3040	Recoveries of prior year unpaid obligations, unexpired .....	-25	-25
3041	Recoveries of prior year unpaid obligations, expired .....	-26	.....
3050	Unpaid obligations, end of year .....	105	73
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	156	105
3200	Obligated balance, end of year .....	105	73
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances .....	35	29
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	35	29

The Patient Protection and Affordable Care Act (P.L. 111-148) amended Section 2794 of the Public Health Service Act and provided that the Secretary carry out a program to award grants to States for a five-year period beginning in fiscal year 2010. The program provided \$250 million in grants to help States develop or enhance their current rate review activities from 2010 through 2014, with remaining unobligated balances subsequently available for state implementation of consumer protections and other insurance reform activities.

PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 075-0113-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Pre-Existing Condition Insurance Plan Program (Direct) .....	1	.....
0002	Administration .....	1	.....
0799	Total direct obligations .....	1	2
0900	Total new obligations .....	1	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	166	173
1021	Recoveries of prior year unpaid obligations .....	8	226
1050	Unobligated balance (total) .....	174	399
1930	Total budgetary resources available .....	174	399
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	173	397
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	250	230
3010	Obligations incurred, unexpired accounts .....	1	2
3020	Outlays (gross) .....	-13	-6
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8	-226
3050	Unpaid obligations, end of year .....	230	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	250	230
3200	Obligated balance, end of year .....	230	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances .....	13	6
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	13	6

This account funds the Pre-Existing Condition Insurance Plan program (PCIP), which makes health insurance available to people who have been unable to get insurance due to a pre-existing condition. Enrollees pay monthly premiums similar to those charged in the commercial individual market, and the Federal government pays for remaining costs that exceed enrollee contributions. The funding for this program, including operating costs, was provided in the Patient Protection and Affordable Care Act (P.L. 111-148). The PCIP program ended in FY 2014 as new insurance options became available to the enrolled population, and outlays reflected in subsequent fiscal years reflect program close out and claims run out costs.

**Object Classification** (in millions of dollars)

Identification code 075-0113-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	.....
25.2	Other services from non-Federal sources .....	.....	1
41.0	Grants, subsidies, and contributions .....	.....	1
99.0	Direct obligations .....	1	2
99.9	Total new obligations .....	1	2

**Employment Summary**

Identification code 075-0113-0-1-551	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	5	2

EARLY RETIREE REINSURANCE PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 075-0114-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002	Administration .....	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	13	26
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	14	.....
1900	Budget authority (total) .....	14	.....
1930	Total budgetary resources available .....	27	26
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	26	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	13	11
3010	Obligations incurred, unexpired accounts .....	1	1
3020	Outlays (gross) .....	-3	-7
3050	Unpaid obligations, end of year .....	11	5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	13	11
3200	Obligated balance, end of year .....	11	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances .....	3	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-14	.....
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	-11	7

The Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated \$5 billion for the Early Retiree Reinsurance Program (ERRP). ERRP provides reimbursement to participating sponsors of certified plans that provide health benefits to early retirees (age 55 and older and not eligible for Medicare), their spouses, and surviving spouses and dependents. Reimbursement is equal to 80 percent of the actual cost of

EARLY RETIREE REINSURANCE PROGRAM—Continued

health expenses paid for an individual (net of price concessions) between a cost threshold of \$15,000 and cost limit of \$90,000 beginning in 2010. The cost threshold and cost limit are adjusted for inflation each year based on the Medical Care Component of the consumer price index for all urban consumers. Reimbursements to sponsors shall be used to reduce the sponsor's health benefit or premium costs, provide premium and cost sharing relief to plan participants, or both. By statute, ERRP sunset on January 1, 2014, and is no longer providing reimbursements to plan sponsors.

Object Classification (in millions of dollars)

Identification code 075-0114-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1		
25.2 Other services from non-Federal sources .....		1	1
99.9 Total new obligations .....	1	1	1

Employment Summary

Identification code 075-0114-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	1	1

AFFORDABLE INSURANCE EXCHANGE GRANTS

Program and Financing (in millions of dollars)

Identification code 075-0115-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Planning and establishment grants .....	449		
0002 Administration .....	21	30	32
0900 Total new obligations .....	470	30	32
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	508	32	32
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-38	-2	
1260 Appropriations, mandatory (total) .....	470	30	32
1930 Total budgetary resources available .....	470	30	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,976	1,006	324
3010 Obligations incurred, unexpired accounts .....	470	30	32
3011 Obligations incurred, expired accounts .....	60		
3020 Outlays (gross) .....	-1,372	-712	-319
3041 Recoveries of prior year unpaid obligations, expired .....	-128		
3050 Unpaid obligations, end of year .....	1,006	324	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,976	1,006	324
3200 Obligated balance, end of year .....	1,006	324	37
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	470	30	32
Outlays, gross:			
4100 Outlays from new mandatory authority .....	95	9	10
4101 Outlays from mandatory balances .....	1,277	703	309
4110 Outlays, gross (total) .....	1,372	712	319
4180 Budget authority, net (total) .....	470	30	32
4190 Outlays, net (total) .....	1,372	712	319

This program provides funding for Planning and Establishment Grants to States for their activities to implement Health Insurance Marketplaces. The Marketplaces facilitate the purchase of qualified health plans in the individual market and allow small businesses to offer qualified health plans to their employees through the Small Business Health Options Program (SHOP). Section 1311 of the Patient Protection and Affordable Care Act

(P.L. 111-148) provides amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allows for renewal grants through January 1, 2015. The final round of grants was awarded to States in December 2014.

Object Classification (in millions of dollars)

Identification code 075-0115-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	7	7
25.2 Other services from non-Federal sources .....	15	23	25
41.0 Grants, subsidies, and contributions .....	449		
99.9 Total new obligations .....	470	30	32

Employment Summary

Identification code 075-0115-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	49	50	50

RISK ADJUSTMENT PROGRAM PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5733-0-2-551	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....		174	262
Receipts:			
Current law:			
1110 Receipts, Risk Adjustment Program .....	2,375	3,858	4,639
2000 Total: Balances and receipts .....	2,375	4,032	4,901
Appropriations:			
Current law:			
2101 Risk Adjustment Program Payments .....	-2,375	-3,858	-4,639
2103 Risk Adjustment Program Payments .....		-174	-262
2132 Risk Adjustment Program Payments .....	174	262	
2199 Total current law appropriations .....	-2,201	-3,770	-4,901
2999 Total appropriations .....	-2,201	-3,770	-4,901
5099 Balance, end of year .....	174	262	

Program and Financing (in millions of dollars)

Identification code 075-5733-0-2-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Risk Adjustment Program Payments (Direct) .....	2,201	3,646	4,901
0900 Total new obligations (object class 41.0) .....	2,201	3,646	4,901
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			124
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2,375	3,858	4,639
1203 Appropriation (previously unavailable) .....		174	262
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-174	-262	
1260 Appropriations, mandatory (total) .....	2,201	3,770	4,901
1930 Total budgetary resources available .....	2,201	3,770	5,025
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		124	124
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		442	
3010 Obligations incurred, unexpired accounts .....	2,201	3,646	4,901
3020 Outlays (gross) .....	-1,759	-4,088	-4,560
3050 Unpaid obligations, end of year .....	442		341
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		442	
3200 Obligated balance, end of year .....	442		341

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	2,201	3,770	4,901
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,759	3,646	4,437
4101	Outlays from mandatory balances .....	442	123	123
4110	Outlays, gross (total) .....	1,759	4,088	4,560
4180	Budget authority, net (total) .....	2,201	3,770	4,901
4190	Outlays, net (total) .....	1,759	4,088	4,560

Section 1343 of the Patient Protection and Affordable Care Act (P.L. 111–148) established a permanent risk adjustment program for non-grandfathered plans in the individual and small group markets. Charges are collected from health insurance issuers that enroll healthier than average enrollees and payments are made to issuers that enroll sicker than average enrollees. Risk adjustment may be operated by a State, or by the Federal government in the event a State chooses not to operate risk adjustment. Payments and charges are made in the year following the plan year for which they are calculated. Risk adjustment charges and payments were made for the first time in FY 2015 to reflect program year 2014.

TRANSITIONAL REINSURANCE PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075–5735–0–2–551	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	649	443	
Receipts:				
Current law:				
1110	Contributions, Transitional Reinsurance Program .....	8,898	6,521	4,335
2000	Total: Balances and receipts .....	8,898	7,170	4,778
Appropriations:				
Current law:				
2101	Transitional Reinsurance Program .....	–8,898	–6,521	–4,335
2103	Transitional Reinsurance Program .....	–649	–443	–443
2132	Transitional Reinsurance Program .....	649	443	443
2199	Total current law appropriations .....	–8,249	–6,727	–4,778
2999	Total appropriations .....	–8,249	–6,727	–4,778
5099	Balance, end of year .....	649	443	443

Program and Financing (in millions of dollars)

Identification code 075–5735–0–2–551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Transitional reinsurance payments .....	7,308	7,493	4,551
0002	Administrative expenses .....	6	6	6
0900	Total new obligations .....	7,308	7,493	4,557
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	941	175	175
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	8,898	6,521	4,335
1203	Appropriation (previously unavailable) .....	649	443	443
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–649	–443	–443
1260	Appropriations, mandatory (total) .....	8,249	6,727	4,778
1930	Total budgetary resources available .....	8,249	7,668	4,953
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	941	175	396
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	34	34	34
3010	Obligations incurred, unexpired accounts .....	7,308	7,493	4,557
3020	Outlays (gross) .....	–7,308	–7,459	–4,554
3050	Unpaid obligations, end of year .....	34	37	37
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	34	34	34
3200	Obligated balance, end of year .....	34	37	37

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	8,249	6,727	4,778
Outlays, gross:				
4100	Outlays from new mandatory authority .....	7,308	6,518	4,345
4101	Outlays from mandatory balances .....	941	209	209
4110	Outlays, gross (total) .....	7,308	7,459	4,554
4180	Budget authority, net (total) .....	8,249	6,727	4,778
4190	Outlays, net (total) .....	7,308	7,459	4,554

Section 1341 of the Patient Protection and Affordable Care Act (P.L. 111–148) established a transitional, temporary, three-year reinsurance program to minimize the impact of high-cost enrollees in plans in the individual market for plan years 2014, 2015, and 2016. The Centers for Medicare & Medicaid Services assesses contributing entities a per enrollee fee to fund the reinsurance program, and makes payments to issuers in the individual market for enrollees whose medical costs exceed a certain threshold, up to a reinsurance cap. Reinsurance collections and payments are made in the year following the plan year for which they are applicable. Reinsurance collections and payments were made for the first time in FY 2015 to reflect program year 2014.

Object Classification (in millions of dollars)

Identification code 075–5735–0–2–551	2015 actual	2016 est.	2017 est.	
Direct obligations:				
41.0	Grants, subsidies, and contributions - Transitional Reinsurance .....	7,308	7,493	4,551
41.0	Grants, subsidies, and contributions - Administration .....	6	6	6
99.9	Total new obligations .....	7,308	7,493	4,557

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 075–0524–0–1–551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0701	Direct loan subsidy .....	42	42	42
0703	Subsidy for modifications of direct loans .....	1	1	1
0705	Reestimates of direct loan subsidy .....	89	89	89
0706	Interest on reestimates of direct loan subsidy .....	4	4	4
0709	Administrative expenses .....	10	2	2
0900	Total new obligations .....	53	95	95
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	56	3	3
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	92	92	92
1930	Total budgetary resources available .....	56	95	95
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	154	69	4
3010	Obligations incurred, unexpired accounts .....	53	95	95
3020	Outlays (gross) .....	–138	–160	–2
3050	Unpaid obligations, end of year .....	69	4	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	154	69	4
3200	Obligated balance, end of year .....	69	4	2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	92	92	92
Outlays, gross:				
4100	Outlays from new mandatory authority .....	92	92	92
4101	Outlays from mandatory balances .....	138	68	2
4110	Outlays, gross (total) .....	138	160	2
4180	Budget authority, net (total) .....	92	92	92

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND—Continued  
**Program and Financing—Continued**

Identification code 075-0524-0-1-551	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total) .....	138	160	2
<b>Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program</b> (in millions of dollars)			
Identification code 075-0524-0-1-551	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Solvency Loans .....	88		
115999 Total direct loan levels .....	88		
Direct loan subsidy (in percent):			
132002 Solvency Loans .....	48.22		
132999 Weighted average subsidy rate .....	48.22		
Direct loan subsidy budget authority:			
133002 Solvency Loans .....	42		
133999 Total subsidy budget authority .....	42		
Direct loan subsidy outlays:			
134001 Startup Loans .....	3		
134002 Solvency Loans .....	126	61	
134999 Total subsidy outlays .....	129	61	
Direct loan reestimates:			
135001 Startup Loans .....	-1	4	
135002 Solvency Loans .....		88	
135999 Total direct loan reestimates .....	-1	92	
Administrative expense data:			
3580 Outlays from balances .....	9	7	2

The Consumer Operated and Oriented Plan Contingency Fund was established by the American Taxpayer Relief Act of 2012 (P.L. 112-240). This fund provides assistance and oversight to qualified nonprofit health insurance issuers that have been awarded loans or grants under section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148).

**Object Classification** (in millions of dollars)

Identification code 075-0524-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2		
23.1 Rental payments to GSA .....	2		
25.2 Other services from non-Federal sources .....	6	2	
41.0 Grants, subsidies, and contributions .....	43	93	
99.9 Total new obligations .....	53	95	

**Employment Summary**

Identification code 075-0524-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15		

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-0118-0-1-551	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....		7	7
Receipts:			
Current law:			
1130 Consumer Operated and Oriented Plan Direct Loan Program, Negative Subsidies .....	7		
2000 Total: Balances and receipts .....	7	7	7
5099 Balance, end of year .....	7	7	7

**Program and Financing** (in millions of dollars)

Identification code 075-0118-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0703 Subsidy for modifications of direct loans .....	3		
0705 Reestimates of direct loan subsidy .....	23	430	
0706 Interest on reestimates of direct loan subsidy .....	2	46	
0709 Administrative expenses .....		6	
0900 Total new obligations .....	28	482	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	7	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	26	476	
1930 Total budgetary resources available .....	35	483	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	282	98	2
3010 Obligations incurred, unexpired accounts .....	28	482	
3020 Outlays (gross) .....	-212	-578	-1
3050 Unpaid obligations, end of year .....	98	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	282	98	2
3200 Obligated balance, end of year .....	98	2	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	26	476	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	26	476	
4101 Outlays from mandatory balances .....	186	102	1
4110 Outlays, gross (total) .....	212	578	1
4180 Budget authority, net (total) .....	26	476	
4190 Outlays, net (total) .....	212	578	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 075-0118-0-1-551	2015 actual	2016 est.	2017 est.
Direct loan subsidy outlays:			
134002 Startup Loans .....	3		
134003 Solvency Loans .....	182	97	
134999 Total subsidy outlays .....	185	97	
Direct loan reestimates:			
135002 Startup Loans .....	-5	57	
135003 Solvency Loans .....	26	416	
135999 Total direct loan reestimates .....	21	473	
Administrative expense data:			
3580 Outlays from balances .....		4	1

Section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated funding for the Consumer Operated and Oriented Plan (CO-OP) Program. The CO-OP Program fosters the creation of qualified nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary shall award loans to qualified nonprofit issuers to fund start-up costs and reserves which enable qualified issuers to meet state solvency requirements. The Secretary may also award loans for the purposes of encouraging the establishment of CO-OPs in states where no issuer applies to be a qualified nonprofit issuer under Section 1322.

**Object Classification** (in millions of dollars)

Identification code 075-0118-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		3	
25.2 Other services from non-Federal sources .....		1	
25.3 Other goods and services from Federal sources .....		2	

41.0	Grants, subsidies, and contributions .....	28	476	.....
99.9	Total new obligations .....	28	482	.....

**Employment Summary**

Identification code 075-0118-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		15	.....

**CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 075-4418-0-3-551	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

<b>Credit program obligations:</b>				
0713	Payment of interest to Treasury .....	24	35	34
0741	Modification savings .....	6	.....	.....
0742	Downward reestimate paid to receipt account .....	5	3	.....
0900	Total new obligations .....	35	38	34

**Budgetary resources:**

<b>Financing authority:</b>				
<b>Borrowing authority, mandatory:</b>				
1400	Borrowing authority .....	2	38	34
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	217	600	26
1801	Change in uncollected payments, Federal sources .....	-184	-97	.....
1825	Spending authority from offsetting collections applied to repay debt .....	.....	-503	-26
1850	Spending auth from offsetting collections, mand (total) .....	33	.....	.....
1900	Budget authority (total) .....	35	38	34
1930	Total budgetary resources available .....	35	38	34

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	642	225	.....
3010	Obligations incurred, unexpired accounts .....	35	38	34
3020	Outlays (gross) .....	-452	-263	-34
3050	Unpaid obligations, end of year .....	225	.....	.....
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-281	-97	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	184	97	.....
3090	Uncollected pymts, Fed sources, end of year .....	-97	.....	.....
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	361	128	.....
3200	Obligated balance, end of year .....	128	.....	.....

**Financing authority and disbursements, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	35	38	34
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	452	263	34
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-212	-574	.....
4122	Interest on uninvested funds .....	-5	-5	-5
4123	Non-Federal sources .....	.....	-21	-21
4130	Offsets against gross budget authority and outlays (total) ....	-217	-600	-26
<b>Additional offsets against financing authority only (total):</b>				
4140	Change in uncollected pymts, Fed sources, unexpired .....	184	97	.....
4160	Budget authority, net (mandatory) .....	2	-465	8
4170	Outlays, net (mandatory) .....	235	-337	8
4180	Budget authority, net (total) .....	2	-465	8
4190	Outlays, net (total) .....	235	-337	8

**Status of Direct Loans** (in millions of dollars)

Identification code 075-4418-0-3-551	2015 actual	2016 est.	2017 est.
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<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	1,315	1,727	1,931
1231	Disbursements: Direct loan disbursements .....	417	225	.....
1251	Repayments: Repayments and prepayments .....	.....	-21	-21
1263	Write-offs for default: Direct loans .....	-5	.....	-19

1290	Outstanding, end of year .....	1,727	1,931	1,891
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**Balance Sheet** (in millions of dollars)

Identification code 075-4418-0-3-551	2014 actual	2015 actual
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<b>Group heading</b>			
<b>ASSETS:</b>			
<b>Federal assets:</b>			
1101	Fund balances with Treasury .....	99	68
<b>Investments in US securities:</b>			
1106	Receivables, net .....	6	79
<b>Net value of assets related to post-1991 direct loans receivable:</b>			
1401	Direct loans receivable, gross .....	1,315	1,727
1405	Allowance for subsidy cost (-) .....	-570	-813
1499	Net present value of assets related to direct loans .....	745	914
1999	Total assets .....	850	1,061
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	850	1,061
4999	Total liabilities and net position .....	850	1,061

**CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 075-4482-0-3-551	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

<b>Credit program obligations:</b>				
0710	Direct loan obligations .....	87	.....	.....
0713	Payment of interest to Treasury .....	6	9	9
0741	Modification savings .....	1	.....	.....
0742	Downward reestimate paid to receipt account .....	1	.....	.....
0900	Total new obligations .....	95	9	9

**Budgetary resources:**

<b>Financing authority:</b>				
<b>Borrowing authority, mandatory:</b>				
1400	Borrowing authority .....	49	5	8
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	133	158	4
1801	Change in uncollected payments, Federal sources .....	-87	-61	.....
1825	Spending authority from offsetting collections applied to repay debt .....	.....	-93	-3
1850	Spending auth from offsetting collections, mand (total) .....	46	4	1
1900	Budget authority (total) .....	95	9	9
1930	Total budgetary resources available .....	95	9	9

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	355	148	2
3010	Obligations incurred, unexpired accounts .....	95	9	9
3020	Outlays (gross) .....	-302	-155	-8
3050	Unpaid obligations, end of year .....	148	2	3
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-149	-62	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	87	61	.....
3090	Uncollected pymts, Fed sources, end of year .....	-62	-1	-1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	206	86	1
3200	Obligated balance, end of year .....	86	1	2

**Financing authority and disbursements, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	95	9	9
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	302	155	8
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-130	-154	.....
4122	Interest on uninvested funds .....	-3	-1	-1
4123	Non-Federal sources .....	.....	-3	-3
4130	Offsets against gross budget authority and outlays (total) ....	-133	-158	-4
<b>Additional offsets against financing authority only (total):</b>				
4140	Change in uncollected pymts, Fed sources, unexpired .....	87	61	.....

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND FINANCING  
ACCOUNT—Continued

Program and Financing—Continued

Identification code 075-4482-0-3-551	2015 actual	2016 est.	2017 est.
4160 Budget authority, net (mandatory) .....	49	-88	5
4170 Outlays, net (mandatory) .....	169	-3	4
4180 Budget authority, net (total) .....	49	-88	5
4190 Outlays, net (total) .....	169	-3	4

Status of Direct Loans (in millions of dollars)

Identification code 075-4482-0-3-551	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	87		
1150 Total direct loan obligations .....	87		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	49	343	486
1231 Disbursements: Direct loan disbursements .....	294	146	
1251 Repayments: Repayments and prepayments .....		-3	-3
1290 Outstanding, end of year .....	343	486	483

Balance Sheet (in millions of dollars)

Identification code 075-4482-0-3-551	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	44
1206 Non-Federal assets: Receivables, net .....		16
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	49	343
1402 Interest receivable .....	1	1
1405 Allowance for subsidy cost (-) .....	-19	-153
1499 Net present value of assets related to direct loans .....	31	191
1999 Total assets .....	37	251
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	37	244
2207 Non-Federal liabilities: Other .....		7
2999 Total liabilities .....	37	251
4999 Total liabilities and net position .....	37	251

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8005-0-7-571	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	171,674	161,965	156,831
0198 Adjustment - account receivable in cancelling annual account .....	71		
0199 Balance, start of year .....	171,745	161,965	156,831
Receipts:			
Current law:			
1110 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....	215,403	226,589	234,557
1110 FHI Trust Fund, Receipts from Railroad Retirement Board .....	565	614	625
1110 FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....	18,221	16,335	18,111
1110 FHI Trust Fund, Civil Penalties and Damages .....	512	734	775
1130 FHI Trust Fund, Other Proprietary Interest from the Public .....	3	2	2
1130 FHI Trust Fund, Basic Premium, Medicare Advantage .....	321	326	357
1130 FHI Trust Fund, Medicare Refunds .....	4,852	6,000	6,050
1130 Affordable Care Act Medicare Shared Savings Models (HI) .....	7	2	2
1130 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....	3,277	3,461	3,649
1140 FHI Trust Fund, Federal Employer Contributions (FICA) .....	3,450	3,619	3,702
1140 FHI Trust Fund, Postal Service Employer Contributions (FICA) .....	623	660	680
1140 FHI Trust Fund, Interest Received by Trust Funds .....	8,594	8,038	7,986
1140 FHI Trust Fund, Taxation on OASDI Benefits .....	20,208	23,208	25,987
1140 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account .....	129	130	141
1140 FHI Trust Fund, Transfers from General Fund (criminal Fines) .....	57	550	590

1140 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....	46	35	36
1140 FHI Trust Fund, Transfers from General Fund (asset Forfeitures) .....	15	29	30
1140 FHI Trust Fund, Interest Payments by Railroad Retirement Board .....	30	30	35
1140 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....	900	1,387	1,149
1199 Total current law receipts .....	277,213	291,749	304,464
Proposed:			
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....		8	506
1230 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....			-33
1240 FHI Trust Fund, Interest Received by Trust Funds .....		7	74
1240 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....			2
1299 Total proposed receipts .....		15	549
1999 Total receipts .....	277,213	291,764	305,013
2000 Total: Balances and receipts .....	448,958	453,729	461,844
Appropriations:			
Current law:			
2101 Federal Hospital Insurance Trust Fund .....	-3,211	-2,662	-2,567
2101 Federal Hospital Insurance Trust Fund .....	-272,149	-287,128	-299,848
2101 Health Care Fraud and Abuse Control Account .....	-672	-681	-725
2101 Health Care Fraud and Abuse Control Account .....	-1,325	-744	-1,149
2101 Health Care Fraud and Abuse Control Account .....			825
2103 Federal Hospital Insurance Trust Fund .....	-9,696	-5,733	-2,028
2132 Federal Hospital Insurance Trust Fund .....	8		
2132 Health Care Fraud and Abuse Control Account .....	52	50	
2199 Total current law appropriations .....	-286,993	-296,898	-305,492
Proposed:			
2203 Federal Hospital Insurance Trust Fund .....			2,961
2999 Total appropriations .....	-286,993	-296,898	-302,531
5099 Balance, end of year .....	161,965	156,831	159,313

Program and Financing (in millions of dollars)

Identification code 075-8005-0-7-571	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments, HI .....	277,368	290,051	300,069
0002 HIT Incentive Payments .....	3,196	2,040	1,005
0003 Administration, HI .....	3,780	2,985	2,906
0004 Quality improvement organizations, HI .....	730	447	463
0799 Total direct obligations .....	285,074	295,523	304,443
0900 Total new obligations .....	285,074	295,523	304,443

Budgetary resources:

Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	13		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3,211	2,662	2,567
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	272,149	287,128	299,848
1203 Appropriation (previously unavailable) .....	9,696	5,733	2,028
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-8		
1260 Appropriations, mandatory (total) .....	281,837	292,861	301,876
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13		
1900 Budget authority (total) .....	285,061	295,523	304,443
1930 Total budgetary resources available .....	285,074	295,523	304,443

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29,103	32,217	32,000
3010 Obligations incurred, unexpired accounts .....	285,074	295,523	304,443
3020 Outlays (gross) .....	-281,947	-295,740	-304,643
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3050 Unpaid obligations, end of year .....	32,217	32,000	31,800
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29,103	32,217	32,000
3200 Obligated balance, end of year .....	32,217	32,000	31,800

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,211	2,662	2,567
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,734	1,952	1,959
4011	Outlays from discretionary balances .....	733	853	787
4020	Outlays, gross (total) .....	3,467	2,805	2,746
Mandatory:				
4090	Budget authority, gross .....	281,850	292,861	301,876
Outlays, gross:				
4100	Outlays from new mandatory authority .....	250,291	264,096	272,502
4101	Outlays from mandatory balances .....	28,189	28,839	29,395
4110	Outlays, gross (total) .....	278,480	292,935	301,897
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-13		
4180	Budget authority, net (total) .....	285,048	295,523	304,443
4190	Outlays, net (total) .....	281,934	295,740	304,643
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	202,207	195,458	189,724
5001	Total investments, EOY: Federal securities: Par value .....	195,458	189,724	187,696

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	285,048	295,523	304,443
Outlays .....	281,934	295,740	304,643
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-2,961
Outlays .....			-2,961
<b>Total:</b>			
Budget Authority .....	285,048	295,523	301,482
Outlays .....	281,934	295,740	301,682

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

In addition, the Budget includes a package of proposals that improve Medicare's sustainability by encouraging delivery system reform; promoting high-quality, efficient care; increasing value in provider payments; and encouraging beneficiaries to seek high-value services. The status of the trust fund is as follows.

**Status of Funds** (in millions of dollars)

Identification code 075-8005-0-7-571	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	202,362	196,064	190,147
0999 Total balance, start of year .....	202,362	196,064	190,147
Cash income during the year:			
Current law:			
Receipts:			
1110 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....	215,403	226,589	234,557
1110 FHI Trust Fund, Receipts from Railroad Retirement Board .....	565	614	625
1110 FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....	18,221	16,335	18,111
1110 FHI Trust Fund, Civil Penalties and Damages .....	512	734	775
1130 FHI Trust Fund, Basic Premium, Medicare Advantage .....	321	326	357
1130 FHI Trust Fund, Medicare Refunds .....	4,852	6,000	6,050
1130 Affordable Care Act Medicare Shared Savings Models (HI) .....	7	2	2
1130 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....	3,277	3,461	3,649
1130 Federal Hospital Insurance Trust Fund .....	13		
1150 FHI Trust Fund, Interest Received by Trust Funds .....	8,594	8,038	7,986
1150 FHI Trust Fund, Other Proprietary Interest from the Public .....	3	2	2
1150 FHI Trust Fund, Interest Payments by Railroad Retirement Board .....	30	30	35
1160 FHI Trust Fund, Federal Employer Contributions (FICA) .....	3,450	3,619	3,702
1160 FHI Trust Fund, Postal Service Employer Contributions (FICA) .....	623	660	680
1160 FHI Trust Fund, Taxation on OASDI Benefits .....	20,208	23,208	25,987
1160 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account .....	129	130	141
1160 FHI Trust Fund, Transfers from General Fund (criminal Fines) .....	57	550	590

1160	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....	46	35	36
1160	FHI Trust Fund, Transfers from General Fund (asset Forfeitures) .....	15	29	30
1160	FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....	900	1,387	1,149
1199	Income under present law .....	277,226	291,749	304,464
Proposed:				
1210	FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....			
1210	FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....	8		506
Offsetting receipts (proprietary):				
1230	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....			-33
1230	Federal Hospital Insurance Trust Fund .....			5
1250	FHI Trust Fund, Interest Received by Trust Funds .....	7		74
Offsetting governmental receipts:				
1260	FHI Trust Fund, Transfers from General Fund (Net Investment Tax) .....			
1260	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....			2
1299	Income proposed .....		15	554
1999	Total cash income .....	277,226	291,764	305,018
Cash outgo during year:				
Current law:				
2100	Federal Hospital Insurance Trust Fund [009-38-8005-0] .....	-281,947	-295,740	-304,643
2100	Health Care Fraud and Abuse Control Account [009-38-8393-0] .....	-1,575	-1,941	-1,905
2100	Health Care Fraud and Abuse Control Account [009-38-8393-7] .....			825
2199	Outgo under current law .....	-283,522	-297,681	-305,723
Proposed:				
2200	Federal Hospital Insurance Trust Fund .....			2,956
2299	Outgo under proposed legislation .....			2,956
2999	Total cash outgo (-) .....	-283,522	-297,681	-302,767
Surplus or deficit::				
3110	Excluding interest .....	-14,923	-13,994	-5,846
3120	Interest .....	8,627	8,077	8,097
3199	Subtotal, surplus or deficit .....	-6,296	-5,917	2,251
3298	Rounding adjustment .....	-2		
3299	Total adjustments .....	-2		
Unexpended balance, end of year::				
4100	Uninvested balance (net), end of year .....	606	423	4,702
4200	Federal Hospital Insurance Trust Fund .....	195,458	189,724	187,696
4999	Total balance, end of year .....	196,064	190,147	192,398

**Object Classification** (in millions of dollars)

Identification code 075-8005-0-7-571	2015 actual	2016 est.	2017 est.	
Direct obligations:				
41.0	Payment for Quality Improvement Organization (QIO) activities .....	730	447	463
42.0	Insurance claims and indemnities (benefits) .....	280,564	292,091	301,074
94.0	Financial transfers .....	3,780	2,985	2,906
99.9	Total new obligations .....	285,074	295,523	304,443

**FEDERAL HOSPITAL INSURANCE TRUST FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-8005-4-7-571	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Benefit payments, HI .....			-3,055
0003	Administration, HI .....			94
0799	Total direct obligations .....			-2,961
0801	Reimbursable program activity .....			5
0900	Total new obligations .....			-2,956
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1203	Appropriation (previously unavailable) .....			-2,961
Spending authority from offsetting collections, mandatory:				
	Collected .....			5
1900	Budget authority (total) .....			-2,956

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued  
Program and Financing—Continued

Identification code 075-8005-4-7-571	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available			-2,956
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-2,956
3020 Outlays (gross)			2,956
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-2,956
Outlays, gross:			
4100 Outlays from new mandatory authority			-2,956
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources			-5
4180 Budget authority, net (total)			-2,961
4190 Outlays, net (total)			-2,961

Object Classification (in millions of dollars)

Identification code 075-8005-4-7-571	2015 actual	2016 est.	2017 est.
Direct obligations:			
42.0 Insurance claims and indemnities (benefits)			-3,055
94.0 Financial transfers			94
99.0 Direct obligations			-2,961
99.0 Reimbursable obligations			5
99.9 Total new obligations			-2,956

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

In addition to amounts otherwise available for program integrity and program management, **[\$681,000,000]** \$725,000,000, to remain available through September 30, **[2017]** 2018, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which **[\$486,120,000]** \$486,936,000 shall be for the **[Medicare Integrity Program at the Centers for Medicare and Medicaid Services, including administrative costs, to conduct oversight activities for Medicare Advantage under Part C and the Medicare Prescription Drug Program under Part D of the Social Security Act and for activities described in section 1893(b) of such Act,]** Centers for Medicare and Medicaid Services program integrity activities, of which **[\$67,200,000]** \$121,824,000 shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3)(C) of such Act, **[of which \$67,200,000 shall be for the Medicaid and Children's Health Insurance Program ("CHIP") program integrity activities,]** and of which **[\$60,480,000]** \$116,240,000 shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: *Provided*, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year **[2016]** 2017 shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation: *Provided further*, That of the amount provided under this heading, \$311,000,000 is provided to meet the terms of section 251(b)(2)(C)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$370,000,000]** \$414,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(C) of such Act: *Provided further*, That the Secretary shall support the **[full cost of the]** Senior Medicare Patrol program to combat health care fraud and abuse from the funds provided to this account. (Department of Health and Human Services Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 075-8393-0-7-571	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medicare integrity program	860	866	882
0002 FBI fraud and abuse control	142	130	141
0003 Other fraud and abuse control	316	282	301
0005 Undistributed Savings, HCFAC and SSA		-585	-175

0091 Total Mandatory	1,318	693	1,149
0101 CMS discretionary	385	553	487
0102 Other discretionary	134	128	238
0191 Total Discretionary	519	681	725
0900 Total new obligations	1,837	1,374	1,874

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	166	322	323
1001 Discretionary unobligated balance brought fwd, Oct 1	29	188	
1021 Recoveries of prior year unpaid obligations	48		
1050 Unobligated balance (total)	214	322	323
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	672	681	725
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,325	744	1,149
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-52	-50	
1260 Appropriations, mandatory (total)	1,273	694	1,149
1900 Budget authority (total)	1,945	1,375	1,874
1930 Total budgetary resources available	2,159	1,697	2,197
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	322	323	323
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	36	17	44
1953 Expired unobligated balance, end of year	17	44	44

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,312	1,499	932
3010 Obligations incurred, unexpired accounts	1,837	1,374	1,874
3020 Outlays (gross)	-1,575	-1,941	-1,905
3040 Recoveries of prior year unpaid obligations, unexpired	-48		
3041 Recoveries of prior year unpaid obligations, expired	-27		
3050 Unpaid obligations, end of year	1,499	932	901
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,312	1,499	932
3200 Obligated balance, end of year	1,499	932	901

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	672	681	725
Outlays, gross:			
4010 Outlays from new discretionary authority	119	681	725
4011 Outlays from discretionary balances	200	188	
4020 Outlays, gross (total)	319	869	725
Mandatory:			
4090 Budget authority, gross	1,273	694	1,149
Outlays, gross:			
4100 Outlays from new mandatory authority	287	328	734
4101 Outlays from mandatory balances	969	744	446
4110 Outlays, gross (total)	1,256	1,072	1,180
4180 Budget authority, net (total)	1,945	1,375	1,874
4190 Outlays, net (total)	1,575	1,941	1,905

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	1,945	1,375	1,874
Outlays	1,575	1,941	1,905
Amounts included in the adjusted baseline:			
Budget Authority			-825
Outlays			-825
Total:			
Budget Authority	1,945	1,375	1,049
Outlays	1,575	1,941	1,080

The Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Centers for Medicare and Medicaid Services (CMS) program integrity that

will supplement other CMS program integrity funds. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

**Object Classification** (in millions of dollars)

Identification code 075-8393-0-7-571	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent (CMS)	47	73	73
12.1 Civilian personnel benefits (CMS)	14	20	20
23.3 Communications, utilities, and miscellaneous charges	13	20	20
25.2 Other services (CMS/Medicaid)	40	56	44
25.3 Other purchases of goods and services from Government accounts (HHS/DOJ)	157	119	179
25.3 Other purchases of goods and services from Government accounts (HHS/OIG)	256	255	322
25.3 Other purchases of goods and services from Government accounts (HHS/AoA)	9	9	10
25.3 Other purchases of goods and services from Government accounts (HHS/OGC)	10	10	11
25.3 Other goods and services from Federal sources (HHS/CMS)	14	14	14
25.3 Other goods and services from Government accounts (HHS/FDA)	3	3	3
25.6 Medical care (CMS)	1,132	1,250	1,212
92.0 Undistributed		-585	-175
94.0 Financial transfers (FBI)	142	130	141
99.9 Total new obligations	1,837	1,374	1,874

**Employment Summary**

Identification code 075-8393-0-7-571	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	425	577	593

**HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 075-8393-7-7-571	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-825
1900 Budget authority (total)			-825
1930 Total budgetary resources available			-825
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-825
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross)			825
3050 Unpaid obligations, end of year			825
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			825
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-825
Outlays, gross:			
4100 Outlays from new mandatory authority			-825
4180 Budget authority, net (total)			-825
4190 Outlays, net (total)			-825

**FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-8004-0-7-571	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	35,073	39,267	50,191
0198 Adjustment - expired accounts, receipts withdrawn	491		
0198 Prior year budgetary adjustments	-7		
0199 Balance, start of year	35,557	39,267	50,191
<b>Receipts:</b>			
Current law:			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	2,991	2,969	3,980
1130 Other Proprietary Interest from the Public, FSMI Fund	12	3	3

1130 Premiums Collected for Medicare Prescription Drug Account, FSMI	3,815	4,300	5,310
1130 Payments from States, Medicare Prescription Drug Account, FSMI	8,797	9,569	10,688
1130 Basic Premium, Medicare Advantage, FSMI Trust Fund	358	367	403
1130 Medicare Refunds, SMI	5,666	5,500	5,550
1130 Affordable Care Act Medicare Shared Savings Models, SMI	6	8	8
1130 Premiums Collected for the Aged, FSMI Fund	56,997	61,110	67,535
1130 Premiums Collected for the Disabled, FSMI Fund	10,122	11,011	11,647
1140 Federal Contributions, FSMI Fund	195,835	237,231	214,944
1140 Interest Received by Trust Fund, FSMI Fund	2,471	1,926	2,093
1140 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI		3	3
1140 Interest, Medicare Prescription Drug Account, FSMI	11	11	14
1140 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	439	308	325
1140 Federal Contributions for Benefits, Prescription Drug Account, SMI	67,210	76,190	82,512
1140 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
1199 Total current law receipts	354,731	410,507	405,016
<b>Proposed:</b>			
1230 Premiums Collected for Medicare Prescription Drug Account, FSMI			-130
1230 Payments from States, Medicare Prescription Drug Account, FSMI			-90
1230 Premiums Collected for the Aged, FSMI Fund			-47
1230 Premiums Collected for the Disabled, FSMI Fund			-15
1240 Federal Contributions, FSMI Fund			-179
1240 Federal Contributions for Benefits, Prescription Drug Account, SMI			-640
1299 Total proposed receipts			-1,101
1999 Total receipts	354,731	410,507	403,915
2000 Total: Balances and receipts	390,288	449,774	454,106
<b>Appropriations:</b>			
Current law:			
2101 Federal Supplementary Medical Insurance Trust Fund	-2,262	-2,959	-3,413
2101 Federal Supplementary Medical Insurance Trust Fund	-271,952	-311,575	-302,754
2101 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	-408	-303	-314
2101 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	-80,155	-95,670	-98,535
2103 Federal Supplementary Medical Insurance Trust Fund			-9,570
2134 Federal Supplementary Medical Insurance Trust Fund	3,756	10,924	
2199 Total current law appropriations	-351,021	-399,583	-414,586
<b>Proposed:</b>			
2201 Federal Supplementary Medical Insurance Trust Fund			819
2201 Federal Supplementary Medical Insurance Trust Fund			-578
2201 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund			860
2203 Federal Supplementary Medical Insurance Trust Fund			-799
2203 Federal Supplementary Medical Insurance Trust Fund			799
2299 Total proposed appropriations			1,101
2999 Total appropriations	-351,021	-399,583	-413,485
5099 Balance, end of year	39,267	50,191	40,621

**Program and Financing** (in millions of dollars)

Identification code 075-8004-0-7-571	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments, SMI	265,320	298,683	310,577
0002 Transfer to Medicaid for payment of SMI premiums	749	869	954
0003 HIT Incentive Payments	1,862	760	440
0004 Administration, SMI	2,355	3,186	3,650
0005 Quality Improvement Organizations, SMI	182	112	116
0799 Total direct obligations	270,468	303,610	315,737
0801 Federal Supplementary Medical Insurance Trust Fund (Reimbursable)	11,172		
0900 Total new obligations	281,640	303,610	315,737
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	2,262	2,959	3,413
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	271,952	311,575	302,754
1203 Appropriation (previously unavailable)			9,570

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued  
Program and Financing—Continued

Identification code 075-8004-0-7-571	2015 actual	2016 est.	2017 est.
1234 Appropriations precluded from obligation .....	-3,756	-10,924	.....
1260 Appropriations, mandatory (total) .....	268,196	300,651	312,324
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10	.....	.....
1801 Change in uncollected payments, Federal sources .....	11,172	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	11,182	.....	.....
1900 Budget authority (total) .....	281,640	303,610	315,737
1930 Total budgetary resources available .....	281,640	303,610	315,737
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22,625	23,290	23,585
3010 Obligations incurred, unexpired accounts .....	281,640	303,610	315,737
3020 Outlays (gross) .....	-280,975	-303,315	-315,340
3050 Unpaid obligations, end of year .....	23,290	23,585	23,982
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-11,172	.....
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....		11,172	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11,172	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-11,172	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22,625	23,290	23,585
3200 Obligated balance, end of year .....	12,118	23,585	23,982
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,262	2,959	3,413
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,700	1,956	2,216
4011 Outlays from discretionary balances .....	337	641	778
4020 Outlays, gross (total) .....	2,037	2,597	2,994
Mandatory:			
4090 Budget authority, gross .....	279,378	300,651	312,324
Outlays, gross:			
4100 Outlays from new mandatory authority .....	258,444	279,811	285,206
4101 Outlays from mandatory balances .....	20,494	20,907	27,140
4110 Outlays, gross (total) .....	278,938	300,718	312,346
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-10	.....	.....
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-11,172	.....	.....
4160 Budget authority, net (mandatory) .....	268,196	300,651	312,324
4170 Outlays, net (mandatory) .....	278,928	300,718	312,346
4180 Budget authority, net (total) .....	270,458	303,610	315,737
4190 Outlays, net (total) .....	280,965	303,315	315,340
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	68,391	66,128	78,344
5001 Total investments, EOY: Federal securities: Par value .....	66,128	78,344	68,997

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	270,458	303,610	315,737
Outlays .....	280,965	303,315	315,340
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-20
Outlays .....	.....	.....	-20
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-221
Outlays .....	.....	.....	-221
<b>Total:</b>			
Budget Authority .....	270,458	303,610	315,496
Outlays .....	280,965	303,315	315,099

The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician care and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed

by premium payments from enrollees and contributions from the general revenues.

The Budget includes a package of proposals that strengthen Medicare by more closely aligning payments with the costs of providing care, encouraging health care providers to deliver better care and better outcomes for their patients, and improving access to care for beneficiaries. The Budget also makes structural changes that will reduce Federal subsidies to high income beneficiaries and create incentives for beneficiaries to seek high value services. The status of the trust fund is as follows.

Status of Funds (in millions of dollars)

Identification code 075-8004-0-7-571	2015 actual	2016 est.	2017 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	71,328	69,122	80,344
0999 Total balance, start of year .....	71,328	69,122	80,344
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI .....	2,991	2,969	3,980
1130 Premiums Collected for Medicare Prescription Drug Account, FSI .....	3,815	4,300	5,310
1130 Payments from States, Medicare Prescription Drug Account, FSI .....	8,797	9,569	10,688
1130 Basic Premium, Medicare Advantage, FSI Trust Fund .....	358	367	403
1130 Medicare Refunds, SMI .....	5,666	5,500	5,550
1130 Affordable Care Act Medicare Shared Savings Models, SMI .....	6	8	8
1130 Premiums Collected for the Aged, FSI Fund .....	56,997	61,110	67,535
1130 Premiums Collected for the Disabled, FSI Fund .....	10,122	11,011	11,647
1130 Federal Supplementary Medical Insurance Trust Fund .....	10	.....	.....
1130 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	6	.....	.....
1150 Interest Received by Trust Fund, FSI Fund .....	2,471	1,926	2,093
1150 Other Proprietary Interest from the Public, FSI Fund .....	12	3	3
1150 Interest, Medicare Prescription Drug Account, FSI .....	11	11	14
1160 Federal Contributions, FSI Fund .....	195,835	237,231	214,944
1160 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSI .....	.....	3	3
1160 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSI .....	439	308	325
1160 Federal Contributions for Benefits, Prescription Drug Account, SMI .....	67,210	76,190	82,512
1160 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund .....	1	1	1
1199 Income under present law .....	354,747	410,507	405,016
<b>Proposed:</b>			
<b>Offsetting receipts (proprietary):</b>			
1230 Premiums Collected for Medicare Prescription Drug Account, FSI .....	.....	.....	-130
1230 Payments from States, Medicare Prescription Drug Account, FSI .....	.....	.....	-90
1230 Low Income Subsidy Part D Rebate .....	.....	.....	.....
1230 Premiums Collected for the Aged, FSI Fund .....	.....	.....	.....
1230 Premiums Collected for the Aged, FSI Fund .....	.....	.....	-47
1230 Premiums Collected for the Disabled, FSI Fund .....	.....	.....	-15
1230 Federal Supplementary Medical Insurance Trust Fund .....	.....	.....	4
<b>Offsetting governmental receipts:</b>			
1260 Federal Contributions, FSI Fund .....	.....	.....	-179
1260 Federal Contributions for Benefits, Prescription Drug Account, SMI .....	.....	.....	-640
1299 Income proposed .....	.....	.....	-1,097
1999 Total cash income .....	354,747	410,507	403,919
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Federal Supplementary Medical Insurance Trust Fund [009-38-8004-0] .....	-280,975	-303,315	-315,340
2100 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund [009-38-8308-0] .....	-75,978	-95,970	-98,852
2199 Outgo under current law .....	-356,953	-399,285	-414,192
<b>Proposed:</b>			
2200 Federal Supplementary Medical Insurance Trust Fund .....	.....	.....	20
2200 Federal Supplementary Medical Insurance Trust Fund .....	.....	.....	217
2200 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	.....	.....	860
2299 Outgo under proposed legislation .....	.....	.....	1,097
2999 Total cash outgo (-) .....	-356,953	-399,285	-413,095

Surplus or deficit:				
3110	Excluding interest .....	-4,700	9,282	-11,286
3120	Interest .....	2,494	1,940	2,110
3199	Subtotal, surplus or deficit .....	-2,206	11,222	-9,176
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	2,994	2,000	2,171
4200	Federal Supplementary Medical Insurance Trust Fund .....	66,128	78,344	68,997
4999	Total balance, end of year .....	69,122	80,344	71,168

**Object Classification** (in millions of dollars)

Identification code 075-8004-0-7-571				
Direct obligations:				
41.0	Payment for Quality Improvement Organization (QIO) activity ....	182	112	116
42.0	Insurance claims and indemnities .....	267,931	300,312	311,971
94.0	Financial transfers .....	2,355	3,186	3,650
99.0	Direct obligations .....	270,468	303,610	315,737
99.0	Reimbursable obligations .....	11,172		
99.9	Total new obligations .....	281,640	303,610	315,737

**Employment Summary**

Identification code 075-8004-0-7-571				
1001	Direct civilian full-time equivalent employment .....	3	3	3

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-8004-2-7-571				
<b>Obligations by program activity:</b>				
0001	Benefit payments, SMI .....			-20
0799	Total direct obligations .....			-20
0900	Total new obligations (object class 42.0) .....			-20

**Budgetary resources:**

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....			-819
1203	Appropriation (previously unavailable) .....			799
1260	Appropriations, mandatory (total) .....			-20
1900	Budget authority (total) .....			-20
1930	Total budgetary resources available .....			-20

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-20
3020	Outlays (gross) .....			20

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....			-20
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-20
4180	Budget authority, net (total) .....			-20
4190	Outlays, net (total) .....			-20

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-8004-4-7-571				
<b>Obligations by program activity:</b>				
0001	Benefit payments, SMI .....			-424
0004	Administration, SMI .....			203
0900	Total new obligations .....			-221

**Budgetary resources:**

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....			578
1203	Appropriation (previously unavailable) .....			-799
1260	Appropriations, mandatory (total) .....			-221
Spending authority from offsetting collections, mandatory:				
1800	Collected .....			4
1900	Budget authority (total) .....			-217
1930	Total budgetary resources available .....			-217
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....			4

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-221
3020	Outlays (gross) .....			217
3050	Unpaid obligations, end of year .....			-4
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			-4

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....			-217
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-217
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....			-4
4180	Budget authority, net (total) .....			-221
4190	Outlays, net (total) .....			-221

**Object Classification** (in millions of dollars)

Identification code 075-8004-4-7-571				
Direct obligations:				
42.0	Insurance claims and indemnities .....			-424
94.0	Financial transfers .....			203
99.0	Direct obligations .....			-221
99.9	Total new obligations .....			-221

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 075-8308-0-7-571				
<b>Obligations by program activity:</b>				
0001	Prescription Drug Benefits .....	80,145	95,659	98,524
0002	Administrative Costs .....	418	314	325
0900	Total new obligations .....	80,563	95,973	98,849

**Budgetary resources:**

Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	408	303	314
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	80,155	95,670	98,535
1900	Budget authority (total) .....	80,563	95,973	98,849
1930	Total budgetary resources available .....	80,563	95,973	98,849
Memorandum (non-add) entries:				
Special and non-revolving trust funds:				
1952	Expired unobligated balance, start of year .....	179	132	132
1953	Expired unobligated balance, end of year .....	132	132	132

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	12,967	17,554	6,385
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....		-11,172	
3010	Obligations incurred, unexpired accounts .....	80,563	95,973	98,849
3011	Obligations incurred, expired accounts .....	21		
3020	Outlays (gross) .....	-75,978	-95,970	-98,852
3041	Recoveries of prior year unpaid obligations, expired .....	-19		
3050	Unpaid obligations, end of year .....	17,554	6,385	6,382

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE  
TRUST FUND—Continued

Program and Financing—Continued

Identification code 075-8308-0-7-571	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	12,967	6,382	6,385
3200 Obligated balance, end of year	17,554	6,385	6,382
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross	408	303	314
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	236	236	248
4011 Outlays from discretionary balances	276	58	63
4020 Outlays, gross (total)	512	294	311
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources	-6		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts	6		
4070 Budget authority, net (discretionary)	408	303	314
4080 Outlays, net (discretionary)	506	294	311
<b>Mandatory:</b>			
4090 Budget authority, gross	80,155	95,670	98,535
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	62,587	89,743	89,431
4101 Outlays from mandatory balances	12,879	5,933	9,110
4110 Outlays, gross (total)	75,466	95,676	98,541
4180 Budget authority, net (total)	80,563	95,973	98,849
4190 Outlays, net (total)	75,972	95,970	98,852

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority	80,563	95,973	98,849
Outlays	75,972	95,970	98,852
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority			-860
Outlays			-860
<b>Total:</b>			
Budget Authority	80,563	95,973	97,989
Outlays	75,972	95,970	97,992

Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit. The Budget includes a package of proposals that slow the growth of Part D net expenditures and address the rising cost of pharmaceuticals.

Object Classification (in millions of dollars)

Identification code 075-8308-0-7-571	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources	418	314	325
42.0 Insurance claims and indemnities	80,145	95,659	98,524
99.9 Total new obligations	80,563	95,973	98,849

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE  
TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8308-4-7-571	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Prescription Drug Benefits			-860
0900 Total new obligations (object class 42.0)			-860
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)			-860
1900 Budget authority (total)			-860

1930 Total budgetary resources available			-860
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			-860
3020 Outlays (gross)			860
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross			-860
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			-860
4180 Budget authority, net (total)			-860
4190 Outlays, net (total)			-860

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 075-1552-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State family assistance grant	16,484	16,486	16,486
0002 Territories - family assistance grants	78	78	78
0006 Tribal work programs	7	8	8
0009 Healthy marriage and responsible fatherhood grants	147	148	150
0900 Total new obligations	16,716	16,720	16,722
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1			2
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation	16,739	16,739	16,739
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-2	
1260 Appropriations, mandatory (total)	16,737	16,737	16,739
1930 Total budgetary resources available	16,737	16,737	16,741
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring	-21	-15	-15
1941 Unexpired unobligated balance, end of year		2	4

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	5,250	5,939	6,266
3010 Obligations incurred, unexpired accounts	16,716	16,720	16,722
3020 Outlays (gross)	-15,942	-16,393	-16,439
3041 Recoveries of prior year unpaid obligations, expired	-85		
3050 Unpaid obligations, end of year	5,939	6,266	6,549
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	5,250	5,939	6,266
3200 Obligated balance, end of year	5,939	6,266	6,549
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross	16,737	16,737	16,739
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	12,784	13,042	13,043
4101 Outlays from mandatory balances	3,158	3,351	3,396
4110 Outlays, gross (total)	15,942	16,393	16,439
4180 Budget authority, net (total)	16,737	16,737	16,739
4190 Outlays, net (total)	15,942	16,393	16,439

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority	16,737	16,737	16,739
Outlays	15,942	16,393	16,439
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority			760
Outlays			593
<b>Total:</b>			
Budget Authority	16,737	16,737	17,499

Outlays .....	15,942	16,393	17,032
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This account provides funding for the Temporary Assistance for Needy Families (TANF) block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts, most recently in the Consolidated Appropriations Act, 2016 (P.L. 114–113). The Budget includes an \$8 billion increase to the TANF block grant over five years.

**Object Classification** (in millions of dollars)

Identification code 075–1552–0–1–609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	30	30	30
25.3 Other goods and services from Federal sources .....	1	1	3
41.0 Grants, subsidies, and contributions .....	16,683	16,686	16,686
99.9 Total new obligations .....	16,716	16,720	16,722

**Employment Summary**

Identification code 075–1552–0–1–609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15	14	19

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075–1552–4–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State family assistance grants .....			747
0002 Territories - family assistance grants .....			3
0006 Monitoring and Oversight .....			10
0900 Total new obligations .....			760
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			750
1221 Appropriations transferred from other acct [075–1522] ....			10
1260 Appropriations, mandatory (total) .....			760
1930 Total budgetary resources available .....			760
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			760
3020 Outlays (gross) .....			–593
3050 Unpaid obligations, end of year .....			167
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			167
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			760
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			593
4180 Budget authority, net (total) .....			760
4190 Outlays, net (total) .....			593

**Object Classification** (in millions of dollars)

Identification code 075–1552–4–1–609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			10
41.0 Grants, subsidies, and contributions .....			750

99.9 Total new obligations .....			760
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CONTINGENCY FUND

**Program and Financing** (in millions of dollars)

Identification code 075–1522–0–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contingency fund .....	685	583	583
0900 Total new obligations (object class 41.0) .....	685	583	583
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1012 Unobligated balance transfers between expired and unexpired accounts .....	81		
1021 Recoveries of prior year unpaid obligations .....	22		
1050 Unobligated balance (total) .....	103	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1120 Appropriations transferred to other acct [075–1553] .....			–15
1120 Appropriations transferred to other acct [013–0401] .....			–10
1160 Appropriation, discretionary (total) .....			–25
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	608	608	608
1220 Appropriations transferred to other accts [075–1553] .....	–15	–15	
1220 Appropriations transferred to other accts [013–0401] .....	–10	–10	
1260 Appropriations, mandatory (total) .....	583	583	608
1900 Budget authority (total) .....	583	583	583
1930 Total budgetary resources available .....	686	584	584
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	131	60	87
3010 Obligations incurred, unexpired accounts .....	685	583	583
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	–730	–556	–593
3040 Recoveries of prior year unpaid obligations, unexpired .....	–22		
3041 Recoveries of prior year unpaid obligations, expired .....	–5		
3050 Unpaid obligations, end of year .....	60	87	77
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	131	60	87
3200 Obligated balance, end of year .....	60	87	77

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			–25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			–22
<b>Mandatory:</b>			
4090 Budget authority, gross .....	583	583	608
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	564	525	547
4101 Outlays from mandatory balances .....	166	31	68
4110 Outlays, gross (total) .....	730	556	615
4180 Budget authority, net (total) .....	583	583	583
4190 Outlays, net (total) .....	730	556	593

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	583	583	583
Outlays .....	730	556	593
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			–10
Outlays .....			–9
<b>Total:</b>			
Budget Authority .....	583	583	573
Outlays .....	730	556	584

This account provides a funding reserve to assist states that meet certain criteria intended to reflect economic distress and was established by the

CONTINGENCY FUND—Continued

Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). The Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113–235) most recently temporarily reauthorized this account through FY 2017. In FY 2017, the Budget includes a general provision to transfer \$15 million from the Contingency Fund to Welfare Research, and to transfer \$10 million to support the Census Survey of Income and Program Participation, consistent with the FY 2016 appropriations funding. The Budget also includes a proposal to redirect \$10 million for TANF program improvements, including technical assistance for state programs, research, and evaluation. The Budget also re-purposes \$473 million for the Pathways to Jobs initiative and \$100 million for Two-Generation Demonstration projects.

CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1522–4–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contingency fund .....			–10
0900 Total new obligations (object class 41.0) .....			–10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1220 Appropriations transferred to other acct [075–1552] .....			–10
1930 Total budgetary resources available .....			–10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			–10
3020 Outlays (gross) .....			9
3050 Unpaid obligations, end of year .....			–1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			–1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–10
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–9
4180 Budget authority, net (total) .....			–10
4190 Outlays, net (total) .....			–9

TANF ECONOMIC RESPONSE FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1539–4–1–609	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			2,000
1930 Total budgetary resources available .....			2,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2,000
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			–28
3050 Unpaid obligations, end of year .....			–28
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			–28
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2,000

Outlays, gross:			
4100	Outlays from new mandatory authority .....		28
4180	Budget authority, net (total) .....		2,000
4190	Outlays, net (total) .....		28

This account establishes a \$2 billion TANF Economic Response Fund to assist States during periods of economic downturns. It includes an effective economic trigger to determine if States qualify for funding under this account based on economic need.

EMERGENCY AID AND SERVICE CONNECTION GRANTS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1538–4–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			40
0900 Total new obligations (object class 41.0) .....			40
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			40
1930 Total budgetary resources available .....			40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			40
3020 Outlays (gross) .....			–1
3050 Unpaid obligations, end of year .....			39
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			39
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			40
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1
4180 Budget authority, net (total) .....			40
4190 Outlays, net (total) .....			1

This account establishes Emergency Aid and Service Connection Grants. This program would fund a robust round of pilots to test new approaches for providing emergency aid for low-income families, including both short-term financial assistance and connection to longer-term supports for those who need them. The Budget includes budget authority of \$2 billion over five years for this program.

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For carrying out, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. 321–329), **[\$2,944,906,000] \$3,010,631,000**, to remain available until expended; and for such purposes for the first quarter of fiscal year **[2017] 2018, [\$1,300,000,000] \$1,400,000,000**, to remain available until expended.

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. 321–329), for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (Department of Health and Human Services Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 075–1501–0–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State child support administrative costs .....	3,726	3,727	3,881
0002 Child support incentive payments .....	564	517	586
0003 Access and visitation grants .....	10	10	10
0091 Subtotal, child support enforcement .....	4,300	4,254	4,477

0102	Payments to territories .....	33	33	33
0103	Repatriation .....	1	1	1
0191	Subtotal, other payments .....	34	34	34
0799	Total direct obligations .....	4,334	4,288	4,511
0801	Offset obligations (CSE grants to States) .....	13	16	13
0900	Total new obligations .....	4,347	4,304	4,524

**Budgetary resources:**

<b>Unobligated balance:</b>				
1021	Recoveries of prior year unpaid obligations .....	189	200	200
1029	Other balances withdrawn to Treasury .....	-13		
1050	Unobligated balance (total) .....	176	200	200
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	2,908	2,928	3,011
<b>Advance appropriations, mandatory:</b>				
1270	Advance appropriation .....	1,250	1,160	1,300
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	13	16	13
1900	Budget authority (total) .....	4,171	4,104	4,324
1930	Total budgetary resources available .....	4,347	4,304	4,524

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	942	1,047	968
3010	Obligations incurred, unexpired accounts .....	4,347	4,304	4,524
3020	Outlays (gross) .....	-4,053	-4,183	-4,303
3040	Recoveries of prior year unpaid obligations, unexpired .....	-189	-200	-200
3050	Unpaid obligations, end of year .....	1,047	968	989
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	942	1,047	968
3200	Obligated balance, end of year .....	1,047	968	989

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	4,171	4,104	4,324
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	3,586	3,542	3,734
4101	Outlays from mandatory balances .....	467	641	569
4110	Outlays, gross (total) .....	4,053	4,183	4,303
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4123	Non-Federal sources .....	-13	-16	-13
4180	Budget authority, net (total) .....	4,158	4,088	4,311
4190	Outlays, net (total) .....	4,040	4,167	4,290

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	4,158	4,088	4,311
Outlays .....	4,040	4,167	4,290
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			31
Outlays .....			31
<b>Total:</b>			
Budget Authority .....	4,158	4,088	4,342
Outlays .....	4,040	4,167	4,321

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account. The Budget proposes a Child Support and Fatherhood Initiative, a comprehensive set of proposals which promotes using child support payments in the best interest of the child, supports noncustodial parents becoming and staying involved in their children's lives, and improves establishment and enforcement procedures by closing loopholes and improving Federal processes. Proposals target systems modernization, increased collections, expanded distribution, and improved program efficiency.

**Object Classification (in millions of dollars)**

Identification code 075-1501-0-1-609	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	4,334	4,288	4,511

99.0	Reimbursable obligations .....	13	16	13
99.9	Total new obligations .....	4,347	4,304	4,524

**PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 075-1501-4-1-609	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	State child support administrative costs .....			31
0091	Subtotal, child support enforcement .....			31
0799	Total direct obligations .....			31

**Budgetary resources:**

<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....			31
1900	Budget authority (total) .....			31
1930	Total budgetary resources available .....			31

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts .....			31
3020	Outlays (gross) .....			-31

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....			31
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....			31
4180	Budget authority, net (total) .....			31
4190	Outlays, net (total) .....			31

**Object Classification (in millions of dollars)**

Identification code 075-1501-4-1-609	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....			2
12.1	Civilian personnel benefits .....			1
23.1	Rental payments to GSA .....			1
25.2	Other services from non-Federal sources .....			5
25.7	Operation and maintenance of equipment .....			2
41.0	Grants, subsidies, and contributions .....			20
99.0	Direct obligations .....			31
99.9	Total new obligations .....			31

**Employment Summary**

Identification code 075-1501-4-1-609	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....			18

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under subsections (b) and (d) of section 2602 of the Low Income Home Energy Assistance Act of 1981, **[\$3,390,304,000]** \$3,000,304,000: *Provided*, That all but **[\$491,000,000]** \$435,000,000 of this amount shall be allocated as though the total appropriation for such payments for fiscal year **[2016]** 2017 was less than \$1,975,000,000: *Provided further*, That notwithstanding section 2609A(a), of the amounts appropriated under section 2602(b), not more than **[\$2,988,000]** \$3,000,000 of such amounts may be reserved by the Secretary for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures and may, in addition to the authorities provided in section 2609A(a)(1), use such funds through contracts with private entities that do not qualify as nonprofit organizations: *Provided further*, *That notwithstanding section 2605(k) of the Low-Income Home Energy Assistance Act of 1981, a state receiving an allotment for fiscal year 2017 may use up to 40 percent of such allotment for residential weatherization or other energy-related home repair activities without regard to the waiver process specified in such section.* (Department of Health and Human Services Appropriations Act, 2016.)

LOW INCOME HOME ENERGY ASSISTANCE—Continued

Program and Financing (in millions of dollars)

Identification code 075–1502–0–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 LIHEAP Block Grant .....	3,395	3,390	3,000
<b>Budgetary resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	5		
1050 Unobligated balance (total) .....	5		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,390	3,390	3,000
1930 Total budgetary resources available .....	3,395	3,390	3,000
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,201	1,134	1,311
3010 Obligations incurred, unexpired accounts .....	3,395	3,390	3,000
3020 Outlays (gross) .....	-3,437	-3,213	-2,805
3041 Recoveries of prior year unpaid obligations, expired .....	-25		
3050 Unpaid obligations, end of year .....	1,134	1,311	1,506
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,201	1,134	1,311
3200 Obligated balance, end of year .....	1,134	1,311	1,506
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,390	3,390	3,000
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,439	2,136	1,890
4011 Outlays from discretionary balances .....	998	1,077	915
4020 Outlays, gross (total) .....	3,437	3,213	2,805
4180 Budget authority, net (total) .....	3,390	3,390	3,000
4190 Outlays, net (total) .....	3,437	3,213	2,805

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,390	3,390	3,000
Outlays .....	3,437	3,213	2,805
Legislative proposal, subject to PAYGO:			
Budget Authority .....			769
Outlays .....			560
Total:			
Budget Authority .....	3,390	3,390	3,769
Outlays .....	3,437	3,213	3,365

This program makes grants to States and Indian Tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers. The Budget proposes to establish a contingency fund providing additional mandatory funds to respond to increases in the number of low-income households, spikes in the price of natural gas, electricity, or oil, and extreme cold at the beginning of winter.

Object Classification (in millions of dollars)

Identification code 075–1502–0–1–609	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	2	2
41.0 Grants, subsidies, and contributions .....	3,393	3,388	2,998

99.9	Total new obligations .....	3,395	3,390	3,000
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LOW INCOME HOME ENERGY ASSISTANCE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1502–4–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Mandatory LIHEAP Contingency Fund .....			769
0900 Total new obligations (object class 41.0) .....			769
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriations, mandatory .....			769
1930 Total budgetary resources available .....			769
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			769
3020 Outlays (gross) .....			-560
3050 Unpaid obligations, end of year .....			209
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			209
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			769
Outlays, gross:			
4100 Outlays from new mandatory authority .....			560
4180 Budget authority, net (total) .....			769
4190 Outlays, net (total) .....			560

REFUGEE AND ENTRANT ASSISTANCE

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, and for carrying out section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, the Trafficking Victims Protection Act of 2000 ("TVPA"), section 203 of the Trafficking Victims Protection Reauthorization Act of 2005, and the Torture Victims Relief Act of 1998, **[\$1,674,691,000]** \$2,089,860,000, of which **[\$1,645,201,000]** \$2,044,485,000 shall remain available through September 30, **[2018]** 2019 for carrying out such sections 414, 501, 462, and 235: *Provided*, That amounts available under this heading to carry out such section 203 and the TVPA shall also be available for research and evaluation with respect to activities under those authorities: *Provided further*, That the limitation in section 205 of this Act regarding transfers increasing any appropriation shall apply to transfers to appropriations under this heading by substituting "10 percent" for "3 percent": *Provided further*, That the Secretary may accept and use money, funds, property, and services of any kind made available by gift, devise, bequest, grant, or other donation for carrying out activities to combat human trafficking and to assist trafficking victims under the authorities specified under this heading: *Provided further*, That, at any point after January 1, 2017, and before October 1, 2017, if the Secretary has increased funding available under this heading by at least 3 percent by transfer from other accounts to support higher than expected caseloads, and if the Secretary, in consultation with the Secretary of Homeland Security, determines that the percentage increase in the cumulative number of unaccompanied children transferred to the custody of the Secretary pursuant to such section 235 for the current fiscal year over the number transferred through the comparable date in the previous fiscal year (the caseload ratio) exceeds the trigger percentage specified in the next proviso, an additional \$100,000,000 shall be available under this heading to carry out such sections 462 and 235: *Provided further*, That the trigger percentage referenced in the previous proviso is calculated by taking the unobligated balances for the Unaccompanied Children program at the end of the previous fiscal year, adding the difference between the amounts appropriated under this heading for the Unaccompanied Children program for the current fiscal year and obligations for such program for the previous fiscal year, dividing the result by the obligations for such program for the previous fiscal year, and adding five percentage points to the resulting amount (expressed as a percentage): *Provided further*, That for every 10 percentage point increment in the caseload ratio above the trigger percentage, an

additional \$100,000,000 shall be available under this heading to carry out such sections 462 and 235: Provided further, That the total additional amount available pursuant to the three preceding provisos shall not exceed \$400,000,000. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-1503-0-1-609	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Refugee and entrant assistance .....	643	697	819
0002	Assistance for treatment of torture victims .....	11	11	23
0003	Unaccompanied alien children .....	728	1,226	1,321
0005	Trafficking Victims program .....	16	19	22
0900	Total new obligations .....	1,398	1,953	2,185
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	278	
1021	Recoveries of prior year unpaid obligations .....	113		
1050	Unobligated balance (total) .....	117	278	
Budget authority:				
Appropriations, discretionary:				
1100	Base Appropriation .....	1,560	1,675	2,090
1100	UC Contingency Fund .....			95
1160	Appropriation, discretionary (total) .....	1,560	1,675	2,185
1930	Total budgetary resources available .....	1,677	1,953	2,185
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	278		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	776	781	1,222
3010	Obligations incurred, unexpired accounts .....	1,398	1,953	2,185
3020	Outlays (gross) .....	-1,270	-1,512	-1,995
3040	Recoveries of prior year unpaid obligations, unexpired .....	-113		
3041	Recoveries of prior year unpaid obligations, expired .....	-10		
3050	Unpaid obligations, end of year .....	781	1,222	1,412
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	776	781	1,222
3200	Obligated balance, end of year .....	781	1,222	1,412
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,560	1,675	2,185
Outlays, gross:				
4010	Outlays from new discretionary authority .....	770	838	1,092
4011	Outlays from discretionary balances .....	500	674	903
4020	Outlays, gross (total) .....	1,270	1,512	1,995
4180	Budget authority, net (total) .....	1,560	1,675	2,185
4190	Outlays, net (total) .....	1,270	1,512	1,995

This account provides funds to States and non-governmental organizations to administer the refugee and entrant assistance programs. Funds support cash and medical assistance and social services for refugees, asylees, and other arrivals eligible for refugee benefits. The account also includes funding for the rehabilitation of victims of torture and human trafficking and for the care and placement of unaccompanied children. The President's 2017 Budget includes funding to serve additional refugees and eligible entrants, provide shelter to unaccompanied children, and expand direct services to domestic victims of human trafficking.

**Object Classification** (in millions of dollars)

Identification code 075-1503-0-1-609	2015 actual	2016 est.	2017 est.	
11.1	Direct obligations: Personnel compensation: Full-time permanent .....	7	8	8
11.9	Total personnel compensation .....	7	8	8
12.1	Civilian personnel benefits .....	2	2	3
23.1	Rental payments to GSA .....	4	4	4
25.1	Advisory and assistance services .....	44	256	350
25.2	Other services from non-Federal sources .....	17	4	7
25.3	Other goods and services from Federal sources .....	57	57	58
41.0	Grants, subsidies, and contributions .....	1,267	1,622	1,755
99.9	Total new obligations .....	1,398	1,953	2,185

**Employment Summary**

Identification code 075-1503-0-1-609	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	103	106	109
1101	Direct military average strength employment .....	2	3	3

**PROMOTING SAFE AND STABLE FAMILIES**

For carrying out, except as otherwise provided, section 436 of the Social Security Act, \$345,000,000 and, for carrying out, except as otherwise provided, section 437 of such Act, **[\$59,765,000] \$79,765,000:** Provided, That of the funds available to carry out such section 437, \$59,765,000 shall be allocated consistent with subsections (b) and (c) of such section: Provided further, That \$20,000,000 shall be used to increase the amount available for allotments under subsection (c)(1) of such section 437, with subsection (d)(1) of such section not applying to such funds: Provided further, That section 432(b)(2)(B) of such Act shall not apply to funds provided under this heading for fiscal year 2017: Provided further, That notwithstanding section 433(a) of such Act, each Indian tribe or tribal consortium with an approved plan shall receive an allotment of not less than \$10,000: Provided further, That for purposes of funds provided under this heading, an Indian tribe or tribal consortium may apply the term "adoption" in a manner that includes customary adoptions. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-1512-0-1-506	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Grants to States and Tribes .....	342	342	383
0002	Research, training and technical assistance .....	8	9	9
0003	State court improvement activities .....	29	31	33
0004	Family Connection Grants .....	2	2	2
0005	PREP .....	73	89	81
0006	Abstinence Education .....	47	75	75
0900	Total new obligations .....	501	548	583
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	26	10
1012	Unobligated balance transfers between expired and unexpired accounts .....	6		
1021	Recoveries of prior year unpaid obligations .....	17		
1050	Unobligated balance (total) .....	25	26	10
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	60	60	80
Appropriations, mandatory:				
1200	Appropriation .....	470	495	495
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-25	-23	
1260	Appropriations, mandatory (total) .....	445	472	495
1900	Budget authority (total) .....	505	532	575
1930	Total budgetary resources available .....	530	558	585
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3		
1941	Unexpired unobligated balance, end of year .....	26	10	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	609	537	579
3010	Obligations incurred, unexpired accounts .....	501	548	583
3020	Outlays (gross) .....	-526	-506	-529
3040	Recoveries of prior year unpaid obligations, unexpired .....	-17		
3041	Recoveries of prior year unpaid obligations, expired .....	-30		
3050	Unpaid obligations, end of year .....	537	579	633
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	609	537	579
3200	Obligated balance, end of year .....	537	579	633
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	60	60	80
Outlays, gross:				
4010	Outlays from new discretionary authority .....	23	20	27
4011	Outlays from discretionary balances .....	42	40	40
4020	Outlays, gross (total) .....	65	60	67

PROMOTING SAFE AND STABLE FAMILIES—Continued  
Program and Financing—Continued

Identification code 075-1512-0-1-506	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	445	472	495
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	109	100	107
4101 Outlays from mandatory balances .....	352	346	355
4110 Outlays, gross (total) .....	461	446	462
4180 Budget authority, net (total) .....	505	532	575
4190 Outlays, net (total) .....	526	506	529

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	505	532	575
Outlays .....	526	506	529
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-17
Outlays .....			1
<b>Total:</b>			
Budget Authority .....	505	532	558
Outlays .....	526	506	530

This account provides funds for a broad range of child welfare services, including family preservation and family support services, through Promoting Safe and Stable Families. The Budget includes a five-year reauthorization of the Personal Responsibility Education Program (PREP) through FY 2022, a reauthorization of Family Connection Grants through FY 2021, an expansion of grants to increase the well-being of, and to improve the permanency outcomes for, children affected by substance abuse, additional funding for Tribal Court Improvement Programs, and a \$20 million increase in discretionary appropriations for Promoting Safe and Stable Families to increase capacity for tribal child welfare systems.

**Object Classification** (in millions of dollars)

Identification code 075-1512-0-1-506	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	12	12	13
25.3 Other goods and services from Federal sources .....	3	2	2
41.0 Grants, subsidies, and contributions .....	485	533	567
99.9 Total new obligations .....	501	548	583

**Employment Summary**

Identification code 075-1512-0-1-506	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9	9	13

PROMOTING SAFE AND STABLE FAMILIES  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1512-4-1-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants to States and Tribes .....			40
0003 State court improvement activities .....			3
0004 Family Connection Grants .....			15
0006 Abstinence Education .....			-75
0900 Total new obligations .....			-17
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			-17
1930 Total budgetary resources available .....			-17

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-17
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			-18
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-18

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			-17
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			2
4101 Outlays from mandatory balances .....			-1
4110 Outlays, gross (total) .....			1
4180 Budget authority, net (total) .....			-17
4190 Outlays, net (total) .....			1

**Object Classification** (in millions of dollars)

Identification code 075-1512-4-1-506	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			9
41.0 Grants, subsidies, and contributions .....			-26
99.9 Total new obligations .....			-17

CHILD CARE ENTITLEMENT TO STATES

**Program and Financing** (in millions of dollars)

Identification code 075-1550-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mandatory child care .....	1,178	1,178	1,178
0002 Matching child care .....	1,708	1,662	1,660
0003 Training and technical assistance .....		15	15
0004 Child care tribal grants .....	58	58	58
0005 Research .....		4	6
0900 Total new obligations .....	2,944	2,917	2,917
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts .....	27		
1050 Unobligated balance (total) .....	27		
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....	2,917	2,917	2,917
1930 Total budgetary resources available .....	2,944	2,917	2,917

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	830	927	894
3010 Obligations incurred, unexpired accounts .....	2,944	2,917	2,917
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-2,821	-2,950	-2,937
3041 Recoveries of prior year unpaid obligations, expired .....	-28		
3050 Unpaid obligations, end of year .....	927	894	874
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	830	927	894
3200 Obligated balance, end of year .....	927	894	874

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2,917	2,917	2,917
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2,151	2,276	2,276
4101 Outlays from mandatory balances .....	670	674	661
4110 Outlays, gross (total) .....	2,821	2,950	2,937
4180 Budget authority, net (total) .....	2,917	2,917	2,917
4190 Outlays, net (total) .....	2,821	2,950	2,937

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,917	2,917	2,917
Outlays .....	2,821	2,950	2,937
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			3,665
Outlays .....			2,969
<b>Total:</b>			
Budget Authority .....	2,917	2,917	6,582
Outlays .....	2,821	2,950	5,906

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts, most recently in the Consolidated Appropriations Act, 2016 (P.L. 114–113). The Budget includes an \$82 billion investment over ten years above current law to expand access to high-quality child care for all low- and moderate-income families with young children.

**Object Classification** (in millions of dollars)

Identification code 075–1550–0–1–609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....		15	15
41.0 Grants, subsidies, and contributions .....	2,944	2,902	2,902
99.9 Total new obligations .....	2,944	2,917	2,917

**CHILD CARE ENTITLEMENT TO STATES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075–1550–4–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Matching child care .....			3,574
0003 Training and technical assistance .....			18
0004 Child care tribal grants .....			73
0900 Total new obligations .....			3,665
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			3,665
1930 Total budgetary resources available .....			3,665

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			3,665
3020 Outlays (gross) .....			–2,969
3050 Unpaid obligations, end of year .....			696
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			696

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			3,665
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			2,969
4180 Budget authority, net (total) .....			3,665
4190 Outlays, net (total) .....			2,969

**Object Classification** (in millions of dollars)

Identification code 075–1550–4–1–609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			23
41.0 Grants, subsidies, and contributions .....			3,642

99.9 Total new obligations .....			3,665
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**PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT**

For carrying out the Child Care and Development Block Grant Act of 2014 ("CCDBG Act"), **[\$2,761,000,000]** \$2,961,672,000 shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: *Provided*, That, in addition to the amounts [required to be reserved by the States under section 658G of the CCDBG Act, \$127,206,000 shall be for activities that improve the quality of infant and toddler care] reserved under section 658O(a)(5) of the CCDBG Act, \$40,000,000 shall be for carrying out a program of competitive grants to States, territories, tribes, local governments, and public entities, to develop, implement, and evaluate models of providing care for working families in rural communities, families needing child care on an emergency basis, families with non-traditional work hours, or other family needs as identified by the Secretary: *Provided further*, That technical assistance under section 658I(a)(3) of such Act may be provided directly, or through the use of contracts, grants, cooperative agreements, or interagency agreements: *Provided further*, That all funds made available to carry out section 418 of the Social Security Act (42 U.S.C. 618), including funds appropriated for that purpose in such section 418 or any other provision of law, shall be subject to the reservation of funds authority in paragraphs (4) and (5) of section 658O(a) of the CCDBG Act. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075–1515–0–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Block grant payments to States .....	2,425	2,751	2,912
0002 Child care pilots for working families .....			40
0004 Research and evaluation fund .....	10	10	10
0900 Total new obligations .....	2,435	2,761	2,962

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,435	2,761	2,962
1930 Total budgetary resources available .....	2,435	2,761	2,962

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	713	829	793
3010 Obligations incurred, unexpired accounts .....	2,435	2,761	2,962
3020 Outlays (gross) .....	–2,313	–2,797	–2,940
3041 Recoveries of prior year unpaid obligations, expired .....	–6		
3050 Unpaid obligations, end of year .....	829	793	815
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	713	829	793
3200 Obligated balance, end of year .....	829	793	815

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,435	2,761	2,962
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,751	2,154	2,310
4011 Outlays from discretionary balances .....	562	643	630
4020 Outlays, gross (total) .....	2,313	2,797	2,940
4180 Budget authority, net (total) .....	2,435	2,761	2,962
4190 Outlays, net (total) .....	2,313	2,797	2,940

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality. In FY 2017, it also includes a new competitive pilot program to develop and evaluate effective strategies for meeting the child care needs of working families in rural communities and families needing emergency or non-traditional hour care.

**Object Classification** (in millions of dollars)

Identification code 075–1515–0–1–609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	7	13	15
25.2 Other services from non-Federal sources .....		1	1

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK  
GRANT—Continued  
Object Classification—Continued

Identification code 075–1515–0–1–609	2015 actual	2016 est.	2017 est.
25.3 Other goods and services from Federal sources .....	16	1	1
41.0 Grants, subsidies, and contributions .....	2,412	2,746	2,945
99.9 Total new obligations .....	2,435	2,761	2,962

Employment Summary

Identification code 075–1515–0–1–609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			3

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, *supplementing research and evaluation related to activities funded by such grants, and for demonstration projects to improve access to child-rearing supplies*, \$1,700,000,000: That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent: *Provided further, That notwithstanding section 2003(c) of such Act, the amount specified for allocation under such section for fiscal year 2017 shall be \$1,681,500,000. (Department of Health and Human Services Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 075–1534–0–1–506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Social Services Block Grant .....	1,576	1,584	1,700
0002 Health Profession Opportunity Grants .....	84	85	85
0900 Total new obligations .....	1,660	1,669	1,785
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	30	30	30
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,785	1,785	1,785
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-124	-116	
1260 Appropriations, mandatory (total) .....	1,661	1,669	1,785
1930 Total budgetary resources available .....	1,691	1,699	1,815
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	30	30	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	769	591	489
3010 Obligations incurred, unexpired accounts .....	1,660	1,669	1,785
3020 Outlays (gross) .....	-1,832	-1,771	-1,836
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	591	489	438
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	769	591	489
3200 Obligated balance, end of year .....	591	489	438
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,661	1,669	1,785
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,320	1,319	1,415
4101 Outlays from mandatory balances .....	512	452	421
4110 Outlays, gross (total) .....	1,832	1,771	1,836
4180 Budget authority, net (total) .....	1,661	1,669	1,785
4190 Outlays, net (total) .....	1,832	1,771	1,836

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,661	1,669	1,785
Outlays .....	1,832	1,771	1,836
Legislative proposal, subject to PAYGO:			
Budget Authority .....			300
Outlays .....			300
Total:			
Budget Authority .....	1,661	1,669	2,085
Outlays .....	1,832	1,771	2,136

Object Classification (in millions of dollars)

Identification code 075–1534–0–1–506	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	1	2	2
25.5 Research and development contracts .....			17
41.0 Grants, subsidies, and contributions .....	1,658	1,666	1,765
99.9 Total new obligations .....	1,660	1,669	1,785

Employment Summary

Identification code 075–1534–0–1–506	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	8	8	10

SOCIAL SERVICES BLOCK GRANT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1534–4–1–506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Upward Mobility Project .....			300
0900 Total new obligations (object class 41.0) .....			300
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			300
1900 Budget authority (total) .....			300
1930 Total budgetary resources available .....			300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			300
3020 Outlays (gross) .....			-300
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			300
Outlays, gross:			
4100 Outlays from new mandatory authority .....			300
4180 Budget authority, net (total) .....			300
4190 Outlays, net (total) .....			300

CHILDREN AND FAMILIES SERVICES PROGRAMS

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Head Start Act, *the Every Student Succeeds Act*, the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), part B-1 of title IV and sections 429, 473A, 477(i), 1110, 1114A, and 1115 of the Social Security Act [; for making payments under ], the Community Services Block Grant Act ("CSBG Act"), and the Assets for Independence Act; for necessary administrative expenses to carry out titles I, IV, V, X, XI, XIV, XVI, and XX-A of the Social Security Act, the Act of July 5, 1960, the [Low Income Home Energy Assistance Act of 1981] *Omnibus Budget Reconciliation Act of 1981*, title IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act of 1980; and for the administration of prior year obligations made by the

Administration for Children and Families under the Developmental Disabilities Assistance and Bill of Rights Act and the Help America Vote Act of 2002, **[\$10,984,268,000]** \$11,725,057,000, of which \$37,943,000, to remain available through September 30, **[2017]** 2018, shall be for grants to States for adoption and legal guardianship incentive payments, as defined by section 473A of the Social Security Act and may be made for adoptions and legal guardianships completed before September 30, **[2016]** 2017: *Provided further*, That **[\$9,168,095,000]** \$9,601,724,000 shall be for making payments under the Head Start Act: *Provided further*, That of the amount in the previous proviso, **[\$8,214,095,000]** \$8,639,724,000 shall be available for payments under section 640 of the Head Start Act, of which **[\$141,000,000]** \$131,629,000 shall be available for a cost of living adjustment notwithstanding section 640(a)(3)(A) of such Act: *Provided further*, That notwithstanding such section 640, of the amount in the second preceding proviso, **[\$294,000,000]** \$292,000,000 (of which up to one percent may be reserved for research and evaluation) shall be available through December 31, **[2016]** 2017 for award by the Secretary to grantees that apply for supplemental funding to increase their hours of program operations and for training and technical assistance for such activities: *Provided further*, That of the amount provided for making payments under the Head Start Act, \$25,000,000 shall be available for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of such Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12) and 645A(d) of such Act: *Provided further*, That notwithstanding such section 640, of the amount provided for making payments under the Head Start Act, and in addition to funds otherwise available under such section 640 **[for such purposes]**, **[\$635,000,000]** \$645,000,000 shall be available through March 31, **[2017]** 2018 for Early Head Start programs as described in section 645A of such Act, for conversion of Head Start services to Early Head Start services as described in section 645(a)(5)(A) of such Act, for discretionary grants for high quality infant and toddler care through Early Head Start-Child Care Partnerships, to entities defined as eligible under section 645A(d) of such Act, for training and technical assistance for such activities, and for up to \$14,000,000 in Federal costs of administration and evaluation, and, notwithstanding section 645A(c)(2) of such Act, these funds are available to serve children under age 4: *Provided further*, That funds described in the preceding two provisos shall not be included in the calculation of "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of such Act: *Provided further*, That \$350,000,000 shall be available until December 31, 2017 for carrying out sections 9212 and 9213 of the Every Student Succeeds Act: *Provided further*, That up to 5 percent of the funds in the previous proviso shall be available for technical assistance, evaluation, early education research, pilots to support the transition from preschool to elementary school, improve the early grades, and support exemplary child development practices, and other national activities related to such grants: *Provided further*, That **[\$751,383,000]** \$674,000,000 shall be for making payments under the CSBG Act: *Provided further*, That **[\$36,733,000]** shall be for sections 680 and 678E(b)(2) of the CSBG Act, of which not less than \$29,883,000 shall be for section 680(a)(2) and not less than \$6,500,000 shall be for section 680(a)(3)(B) of such Act: **[no more than \$350,000 shall be reserved under section 674(b)(3) of the CSBG Act, all of which shall be available solely for carrying out section 678E(b)(2) of such Act: *Provided further*, That in addition to the reservation set forth in section 674(b) of the CSBG Act, the Secretary may reserve up to 1 percent of the amount for making payments under such Act for research and evaluation activities under such Act: *Provided further*, That, notwithstanding section 675C(a)(3) of the CSBG Act, to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under **[the CSBG]** such Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That intangible assets in the form of loans, equity investments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That these procedures shall apply to such grant funds made available after November 29, 1999: *Provided further*, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: **[** *Provided further*, That the Secretary shall issue performance standards for **[nonprofit organizations]** entities receiving funds from State and territorial grantees under the CSBG Act, and such States and territories shall assure the implementation of such standards prior to September 30,**

**[2016]** 2017, and include information on such implementation in the report required by section 678E(a)(2) of such Act: *Provided further*, That the percentage of amounts payable to a State under section 675A or 675B of such Act that may be used for administrative expenses under section 675C(b)(2) may be increased above 5 percent, but not above 10 percent, to implement State plans, approved by the Secretary, for one-time investments in data systems modernization, data analysis capacity, and improved information exchange and interoperability of data systems: *Provided further*, That such plans must include improved ability to analyze and report program results, streamline service enrollment systems, or increase program integrity: *Provided further*, That the percentage specified in section 675C(a)(1) of such Act may be applied by decreasing it by the amount of the percentage increase in the second preceding proviso: *Provided further*, That the lead State agency shall ensure that local eligible entities will consider and include in their community action plans strategies to meet the needs of concentrated, persistent poverty in their service areas: *Provided further*, That notwithstanding the prior notice and hearing provisions in section 676(b)(8) and 678C of such Act, if the State determines that immediate temporary suspension of financial assistance to an eligible entity is necessary because of a serious risk of a substantial injury to property or loss of project funds, or because of a violation of a Federal, State, or local criminal statute, the State may submit a written request that the Secretary allow a temporary suspension of financial assistance to the eligible entity, in whole or part, and a reallocation of suspended financial assistance to other eligible entities for the provision of comparable services: *Provided further*, That, to the extent funds for the Assets for Independence (AFI) Act provided in this Act are distributed as grant funds to a qualified entity and have not been expended by such entity within 3 years after the date of the award, such funds may be recaptured and, during the fiscal year of such recapture, reallocated among other qualified entities, to remain available to such entities for 5 years: *Provided further*, That notwithstanding section 414(e) of the AFI Act, up to \$3,000,000 of the funds provided for such Act shall be available for research and evaluation: *Provided further*, That up to 30 percent of funds provided for carrying out the AFI Act shall be for grants to projects for which the Secretary may allow grantees to use any amount of such grant funds to assist participants in obtaining the skills and information necessary to achieve economic self-sufficiency, notwithstanding any provision of such Act, and for which the Secretary may expand the definition of "qualified expenses" under section 404(8) of such Act and waive the limitation of matching contribution to only earned income deposits under section 410(a)(1) of such Act: *Provided further*, That notwithstanding section 404(5)(A)(i) of such Act, contributions to an individual development account shall be allowable through any mechanism allowed by the financial institution at which the account is held: *Provided further*, That, for fiscal year 2017, section 414(d)(1) of the AFI Act shall be applied as if it stated: "(1) INTERIM REPORTS.—The Secretary shall submit to Congress an annual, interim report based on the Federal fiscal year setting forth the results of the reports submitted pursuant to section 412(b). Such report shall be submitted by July 31 of the year following the period of the report.": *Provided further*, That of the amounts available for carrying out the Runaway and Homeless Youth Act, \$2,350,000 shall be available for demonstration projects as described in section 343 of such Act, notwithstanding section 388(a) of such Act: *Provided further*, That \$1,864,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: *Provided further*, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness. (*Department of Health and Human Services Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 075-1536-0-1-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Head Start .....	8,717	9,168	9,602
0102 Head Start Emergency Hurricane Sandy funding .....	71		
0103 Runaway and homeless youth (basic centers) .....	53	54	57
0104 Transitional living .....	44	48	49
0105 Prevalence, Needs and Characteristics of Homeless Youth .....			2
0106 Education grants to reduce sexual abuse of runaway youth .....	17	17	17
0107 Preschool Development Grants .....			350
0109 Child abuse State grants .....	25	25	25
0110 Child abuse discretionary activities .....	29	33	44
0111 Community-based child abuse prevention .....	40	40	40
0112 Child welfare services .....	269	269	269
0113 Child welfare training, research, or demonstration projects .....	16	18	22
0114 Adoption opportunities .....	39	39	39
0115 Abandoned infants assistance .....	11		
0116 Adoption incentives .....	38	38	38
0117 Independent living training vouchers .....	43	43	43
0124 Native American programs .....	47	50	53
0125 Social services and income maintenance research .....	6	6	11

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued  
Program and Financing—Continued

Identification code 075–1536–0–1–506	2015 actual	2016 est.	2017 est.
0128 Federal administration .....	199	205	206
0129 Center for faith-based and community initiatives .....	1		
0131 Disaster human services case management .....	1	2	2
0191 Direct program activities, subtotal .....	9,666	10,055	10,869
0301 Community services block grant .....	674	715	674
0303 Rural community facilities .....	7	7	
0304 Community services discretionary (JOLI & CED) .....	30	30	
0306 Assets for independence .....	19	19	19
0308 Domestic violence hotline .....	4	8	12
0309 Family violence prevention and services .....	135	150	151
0391 Direct program activities, subtotal .....	869	929	856
0400 Total, direct program .....	10,535	10,984	11,725
0799 Total direct obligations .....	10,535	10,984	11,725
0801 Children and Families Services Programs (Reimbursable) .....	6	20	20
0809 Reimbursable program activities, subtotal .....	6	20	20
0900 Total new obligations .....	10,541	11,004	11,745

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	562	377	382
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	562	377	
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	568	377	382
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	10,346	10,984	11,725
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	24	24
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	2	24	24
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1	1	1
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	2	1	1
1900 Budget authority (total) .....	10,350	11,009	11,750
1930 Total budgetary resources available .....	10,918	11,386	12,132
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	377	382	387

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,646	7,114	7,107
3010 Obligations incurred, unexpired accounts .....	10,541	11,004	11,745
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-9,984	-11,011	-11,344
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-86		
3050 Unpaid obligations, end of year .....	7,114	7,107	7,508
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6,636	7,109	7,102
3200 Obligated balance, end of year .....	7,109	7,102	7,503

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	10,348	11,008	11,749
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,059	4,639	4,950
4011 Outlays from discretionary balances .....	5,922	6,372	6,394
4020 Outlays, gross (total) .....	9,981	11,011	11,344
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-6	-18	-18
4033 Non-Federal sources .....		-6	-6
4040 Offsets against gross budget authority and outlays (total) ....	-6	-24	-24
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		

4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	4		
4070 Budget authority, net (discretionary) .....	10,346	10,984	11,725
4080 Outlays, net (discretionary) .....	9,975	10,987	11,320
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2		
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	3		
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-3	-1	-1
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4142 Offsetting collections credited to expired accounts .....	2		
4150 Additional offsets against budget authority only (total) .....	1		
4170 Outlays, net (mandatory) .....		-1	-1
4180 Budget authority, net (total) .....	10,346	10,984	11,725
4190 Outlays, net (total) .....	9,975	10,986	11,319

The request totals \$11.7 billion, including almost \$10 billion for priority investments in early learning programs, such as Head Start and the newly transferred Preschool Development Grants program, that will expand access to high quality early care and education for young children. Relative to FY 2016, the Budget also requests an additional \$5 million for family violence prevention and services, \$11 million to provide comprehensive services to youth in the child welfare system, including those who are victims of or at-risk of human trafficking, \$7 million to improve services for homeless youth, \$2 million to support energy assistance evaluations, and \$3 million for Native youth development. This request discontinues funding for the Rural Communities Facilities and the Community Economic Development programs.

**Object Classification (in millions of dollars)**

Identification code 075–1536–0–1–506	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	104	122	124
11.3 Other than full-time permanent .....	4	5	6
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	109	128	131
12.1 Civilian personnel benefits .....	32	38	38
21.0 Travel and transportation of persons .....	3	4	4
23.1 Rental payments to GSA .....	14	15	16
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	212	215	237
25.2 Other services from non-Federal sources .....	6	7	245
25.3 Other goods and services from Federal sources .....	59	60	51
25.4 Operation and maintenance of facilities .....	2	2	2
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	10,093	10,511	10,997
99.0 Direct obligations .....	10,535	10,984	11,725
99.0 Reimbursable obligations .....	6	20	20
99.9 Total new obligations .....	10,541	11,004	11,745

**Employment Summary**

Identification code 075–1536–0–1–506	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,022	1,123	1,192
1101 Direct military average strength employment .....	8	9	9
2001 Reimbursable civilian full-time equivalent employment .....	15	8	10

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE**

For expenses necessary, including for grants and technical assistance, for improving integration and interoperability of enrollment systems and data systems for federal, state, tribal, and local health and human services programs, including establishment of a Systems Innovation Center, \$10,000,000.

**Program and Financing** (in millions of dollars)

Identification code 075-1553-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Training and technical assistance .....	10	15	12
0002 Federal parent locator service .....	25	26	25
0004 Welfare research .....	14	14	15
0005 Advancing Human Services Interoperability - Discretionary .....			10
0799 Total direct obligations .....	49	55	62
0801 Reimbursable program FPLS REIMB .....	23	35	37
0802 Reimbursable program activity CCDBG Research .....	14		
0899 Total reimbursable obligations .....	37	35	37
0900 Total new obligations .....	86	90	99

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	2015 actual	2016 est.	2017 est.
Unobligated balance brought forward, Oct 1 .....	4	6	1
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	6	6	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation - Advancing Human Services Interoperability .....			10
1121 Appropriations transferred from other acct [075-1522] ....			15
1160 Appropriation, discretionary (total) .....			25
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	37	37	37
1200 Appropriation [Pop-up] .....		1	
1221 Appropriations transferred from other acct [075-1522] ....	15	15	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-4	-3	
1260 Appropriations, mandatory (total) .....	48	50	37
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	15		
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	23	35	36
1801 Change in uncollected payments, Federal sources .....	1		
1802 Offsetting collections (previously unavailable) .....		1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	23	35	37
1900 Budget authority (total) .....	86	85	99
1930 Total budgetary resources available .....	92	91	100
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	2015 actual	2016 est.	2017 est.
Unpaid obligations, brought forward, Oct 1 .....	63	78	77
3010 Obligations incurred, unexpired accounts .....	86	90	99
3020 Outlays (gross) .....	-69	-91	-82
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	78	77	94
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	58	72	71
3200 Obligated balance, end of year .....	72	71	88

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	2015 actual	2016 est.	2017 est.
Budget authority, gross .....	15		25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			3
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-15		
<b>Mandatory:</b>			
4090 Budget authority, gross .....	71	85	74
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	19	23	22
4101 Outlays from mandatory balances .....	50	68	57
4110 Outlays, gross (total) .....	69	91	79
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-9	-10	-10

4123 Non-Federal sources .....	-14	-25	-26
4130 Offsets against gross budget authority and outlays (total) ....	-23	-35	-36
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4160 Budget authority, net (mandatory) .....	47	50	38
4170 Outlays, net (mandatory) .....	46	56	43
4180 Budget authority, net (total) .....	47	50	63
4190 Outlays, net (total) .....	31	56	46

<b>Memorandum (non-add) entries:</b>			
5090	2015 actual	2016 est.	2017 est.
Unexpired unavailable balance, SOY: Offsetting collections .....	1	2	2
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	1

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	47	50	63
Outlays .....	31	56	46
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			50
Outlays .....			5
<b>Total:</b>			
Budget Authority .....	47	50	113
Outlays .....	31	56	51

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). For fiscal years 2010-2014, Welfare Research had been temporarily reauthorized; for FY 2015 and FY 2016, annual appropriations provided funds for Welfare Research via a transfer from the TANF Contingency Fund. The Budget includes a general provision to transfer \$15 million from the TANF Contingency Fund in FY 2017 to Welfare Research. The Budget proposes an initiative for Advancing Human Services Interoperability, as well as a number of proposals to provide access to the National Directory of New Hires while maintaining the integrity and privacy of the data in the directory.

**Object Classification** (in millions of dollars)

Identification code 075-1553-0-1-609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	12	12	17
25.2 Other services from non-Federal sources .....	16	17	16
25.3 Other goods and services from Federal sources .....	5	6	6
25.7 Operation and maintenance of equipment .....	3	3	3
41.0 Grants, subsidies, and contributions .....	2	4	8
99.0 Direct obligations .....	49	55	63
99.0 Reimbursable obligations .....	37	35	36
99.9 Total new obligations .....	86	90	99

**Employment Summary**

Identification code 075-1553-0-1-609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	48	60	67

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1553-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Advance Human Services Interoperability - Mandatory proposal .....			50
0799 Total direct obligations .....			50
0801 Reimbursable program FPLS REIMB .....			3

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 075-1553-4-1-609	2015 actual	2016 est.	2017 est.
0899 Total reimbursable obligations .....			3
0900 Total new obligations .....			53
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			50
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			3
1900 Budget authority (total) .....			53
1930 Total budgetary resources available .....			53
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			53
3020 Outlays (gross) .....			-8
3050 Unpaid obligations, end of year .....			45
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			45
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			53
Outlays, gross:			
4100 Outlays from new mandatory authority .....			8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-3
4180 Budget authority, net (total) .....			50
4190 Outlays, net (total) .....			5

Object Classification (in millions of dollars)

Identification code 075-1553-4-1-609	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....			5
41.0 Grants, subsidies, and contributions .....			45
99.0 Direct obligations .....			50
99.0 Reimbursable obligations .....			3
99.9 Total new obligations .....			53

Employment Summary

Identification code 075-1553-4-1-609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			5

PAYMENTS FOR FOSTER CARE AND PERMANENCY

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, **[\$5,298,000,000] \$5,764,000,000.**

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, for the first quarter of fiscal year **[2017] 2018, [\$2,300,000,000] \$2,500,000,000.**

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 075-1545-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Foster care .....	4,669	4,801	4,992
0002 Independent living .....	140	140	140
0004 Adoption assistance .....	2,473	2,674	2,780
0005 Guardianship .....	101	135	152
0006 Tribal T&TA .....	3	3	3

0900 Total new obligations .....	7,386	7,753	8,067
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	5,186	5,457	5,767
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-2	
1260 Appropriations, mandatory (total) .....	5,186	5,455	5,767
Advance appropriations, mandatory:			
1270 Advance appropriation .....	2,200	2,300	2,300
1900 Budget authority (total) .....	7,386	7,755	8,067
1930 Total budgetary resources available .....	7,386	7,755	8,069
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		2	2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,232	1,090	1,365
3010 Obligations incurred, unexpired accounts .....	7,386	7,753	8,067
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-7,314	-7,478	-7,805
3041 Recoveries of prior year unpaid obligations, expired .....	-215		
3050 Unpaid obligations, end of year .....	1,090	1,365	1,627
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,232	1,090	1,365
3200 Obligated balance, end of year .....	1,090	1,365	1,627

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7,386	7,755	8,067
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,530	6,602	6,928
4101 Outlays from mandatory balances .....	784	876	877
4110 Outlays, gross (total) .....	7,314	7,478	7,805
4180 Budget authority, net (total) .....	7,386	7,755	8,067
4190 Outlays, net (total) .....	7,314	7,478	7,805

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	7,386	7,755	8,067
Outlays .....	7,314	7,478	7,805
Legislative proposal, subject to PAYGO:			
Budget Authority .....			505
Outlays .....			253
Total:			
Budget Authority .....	7,386	7,755	8,572
Outlays .....	7,314	7,478	8,058

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance Program, and the Chafee Foster Care Independence Program as well as technical assistance and implementation services for Tribal programs.

*Foster Care*—The proposed level will support eligible low-income children who must be placed outside the home. An average of 174,500 children per month are estimated to be served in FY 2017.

*Adoption Assistance*—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 467,500 children per month are estimated to be served in FY 2017.

*Guardianship Assistance Program*—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 29,300 children per month are estimated to be served in FY 2017.

This account includes new investments to promote family-based care and increase oversight on the use of congregate care, to provide federal reimbursement for prevention and permanency interventions, to invest in the child welfare workforce by expanding support for caseworkers to pursue BSW/MSW degrees and incentivizing grantees to hire caseworkers with such degrees, to enhance support for child welfare IT investments, and to provide additional support for new direct Tribal IV-E programs. Addition-

ally, this account includes a proposed demonstration project to address the over-prescription of psychotropic medications for children in foster care, and a new requirement to use child support collected on behalf of children in foster care in the best interest of the child. In addition, the Budget proposes to allow the title IV-E agencies that have extended foster care to age 21 to use existing Chafee Foster Care Independence Program funds to serve young people formerly in foster care through age 23 and provides additional funding to establish an evidence base for how to best serve older youth in the child welfare system.

**Object Classification** (in millions of dollars)

Identification code 075-1545-0-1-609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	30	37	35
41.0 Grants, subsidies, and contributions .....	7,355	7,715	8,031
99.9 Total new obligations .....	7,386	7,753	8,067

**Employment Summary**

Identification code 075-1545-0-1-609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**PAYMENTS FOR FOSTER CARE AND PERMANENCY**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1545-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Foster care .....			251
0002 Independent living .....			4
0003 Demonstration to Address Over-Prescription of Psychotropic Drugs for Children in Foster Care .....			50
0900 Total new obligations .....			305
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			505
1900 Budget authority (total) .....			505
1930 Total budgetary resources available .....			505
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			200
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			305
3020 Outlays (gross) .....			-253
3050 Unpaid obligations, end of year .....			52
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			52
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			505
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			253
4180 Budget authority, net (total) .....			505
4190 Outlays, net (total) .....			253

**Object Classification** (in millions of dollars)

Identification code 075-1545-4-1-609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			23
41.0 Grants, subsidies, and contributions .....			282
99.9 Total new obligations .....			305

**Employment Summary**

Identification code 075-1545-4-1-609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			2

**ADMINISTRATION FOR COMMUNITY LIVING**

**Federal Funds**

**AGING AND DISABILITY SERVICES PROGRAMS**

(INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), titles III and XXIX of the PHS Act, sections 1252 and 1253 of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, title XX-B of the Social Security Act, the Developmental Disabilities Assistance and Bill of Rights Act, parts 2 and 5 of subtitle D of title II of the Help America Vote Act of 2002, the Assistive Technology Act of 1998, titles II and VII (and section 14 with respect to such titles) of the Rehabilitation Act of 1973, and for Department-wide coordination of policy and program activities that assist individuals with disabilities, **[\$1,912,735,000]** *\$1,941,179,000*, together with \$52,115,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconciliation Act of 1990: *Provided*, That amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: *Provided further*, *That notwithstanding section 206(g) of the OAA, up to one percent of amounts appropriated to carry out programs authorized under title III of such Act shall be available for conducting evaluations, training and technical assistance: Provided further*, *That of amounts made available under this heading to carry out sections 311, 331, and 336 of the OAA, up to one percent of such amounts shall be available for developing and implementing evidence-based practices for enhancing senior nutrition: Provided further*, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section **]**: *Provided further*, That \$2,000,000 shall be for competitive grants to support alternative financing programs that provide for the purchase of assistive technology devices, such as a low-interest loan fund; an interest buy-down program; a revolving loan fund; a loan guarantee; or an insurance program: *Provided further*, That applicants shall provide an assurance that, and information describing the manner in which, the alternative financing program will expand and emphasize consumer choice and control: *Provided further*, That State agencies and community-based disability organizations that are directed by and operated for individuals with disabilities shall be eligible to compete: *Provided further*, That in addition, the unobligated balance of amounts previously made available for the Health Resources and Services Administration to carry out functions under sections 1252 and 1253 of the PHS Act shall be transferred to this account, except for such sums as may be necessary to provide for an orderly transition of such functions to the Administration for Community Living: *Provided further*, That none of the funds made available under this heading may be used by an eligible system (as defined in section 102 of the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C. 10802)) to continue to pursue any legal action in a Federal or State court on behalf of an individual or group of individuals with a developmental disability (as defined in section 102(8)(A) of the Developmental Disabilities and Assistance and Bill of Rights Act of 2000 (20 U.S.C. 15002(8)(A)) that is attributable to a mental impairment (or a combination of mental and physical impairments), that has as the requested remedy the closure of State operated intermediate care facilities for people with intellectual or developmental disabilities, unless reasonable public notice of the action has been provided to such individuals (or, in the case of mental incapacitation, the legal guardians who have been specifically awarded authority by the courts to make healthcare and residential decisions on behalf of such individuals) who are affected by such action, within 90 days of instituting such legal action, which informs such individuals (or such legal guardians) of their legal rights and how to exercise such rights consistent with current Federal Rules of Civil Procedure: *Provided further*, That the limitations in the immediately preceding proviso shall not apply in the case of an individual who is neither competent to consent nor has a legal guardian, nor shall the proviso apply in the case of individuals who are a ward of the State or subject to public guardianship]. (*Department of Health and Human Services Appropriations Act, 2016.*)

AGING AND DISABILITY SERVICES PROGRAMS—Continued

Program and Financing (in millions of dollars)

Identification code 075-0142-0-1-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Home and community-based supportive services .....	348	348	358
0102 Preventive health services .....	20	20	20
0103 National family caregiver support program .....	146	150	150
0104 Native American caregiver support program .....	6	8	8
0105 Congregate nutrition services .....	438	448	454
0106 Home-delivered nutrition services .....	216	227	234
0107 Nutrition services incentive program .....	158	158	159
0108 Native American nutrition and supportive services .....	26	31	31
0110 Aging network support activities .....	10	10	10
0111 Long-term care ombudsmen program .....	16	16	16
0112 Prevention of elder abuse and neglect .....	5	5	5
0113 Alzheimer's Disease Demo Grants ADSSP .....	4	5	5
0114 Program administration .....	35	40	41
0115 Lifespan respite care program .....	2	3	5
0116 Paralysis Resource Center .....	7	8	8
0117 Aging and Disability Resource Centers (ADRC) .....	6	6	8
0118 Chronic Disease Self-Management Education Program PPHF .....	8	8	8
0121 Senior Medicare Patrol program .....	9		
0122 Elder Rights Support Activities .....	8	12	14
0123 Falls Prevention - PPHF .....	5	5	5
0127 Alzheimer's Disease Communications Campaign PPHF .....	4	4	4
0133 Alzheimer's Disease Initiative - Services PPHF .....	10	11	11
0136 Voting Access for People With Disabilities (HAVA) .....	5	5	5
0138 State Councils on Developmental Disabilities .....	71	73	73
0139 Protection and Advocacy .....	39	39	39
0141 University Centers for Excellence in Developmental Disabilities .....	38	39	39
0142 Projects of National Significance .....	9	10	10
0144 Limb Loss Resource Center .....	3	3	3
0145 National Institute on Disability, Independent Living & Rehab Research .....	103	104	104
0146 Independent Living - State Grants .....		23	23
0147 Independent Living - Centers (CILs) .....	78	78	78
0148 Assistive Technology .....	2	34	32
0149 Traumatic Brain Injury (TBI) .....		9	9
0300 Total, direct program .....	1,835	1,940	1,969
0799 Total direct obligations .....	1,835	1,940	1,969
0801 Reimbursable program - HCFAC and Other .....	12	9	9
0802 ADRC's MIPPA (MACRA) .....	5	5	5
0803 Area Agencies on Aging - MIPPA (MACRA) .....	7	8	8
0804 MIPPA - Natl Center Benefits Outreach Enrollment (MACRA) .....	5	12	12
0805 SHIP - (DISC) .....	52	52	52
0806 Senior Medicare Patrol Program (Disc - CMS) .....		9	9
0899 Total reimbursable obligations .....	81	95	95
0900 Total new obligations .....	1,916	2,035	2,064
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,621	1,913	1,941
1120 Appropriations transferred to other accts [012-3507] .....	-3	-2	
1121 Appropriations transferred from other acct [091-0301] .....	184		
1121 Appropriations transferred from other acct [091-0800] .....	5		
1160 Appropriation, discretionary (total) .....	1,807	1,911	1,941
Appropriations, mandatory:			
1221 PPHF Appropriations transferred from other accounts [075-0116] .....	28	28	28
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	61	61
1701 Change in uncollected payments, Federal sources .....	47		
1750 Spending auth from offsetting collections, disc (total) .....	53	61	61
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10	34	34
1801 Change in uncollected payments, Federal sources .....	17		
1850 Spending auth from offsetting collections, mand (total) .....	27	34	34
1900 Budget authority (total) .....	1,915	2,034	2,064
1930 Total budgetary resources available .....	1,918	2,035	2,064
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	895	1,247	979

3010 Obligations incurred, unexpired accounts .....	1,916	2,035	2,064
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-1,750	-2,303	-2,024
3031 Unpaid obligations transferred from other accts [091-0301] .....	196		
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	1,247	979	1,019
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-94	-104	-104
3070 Change in uncollected pymts, Fed sources, unexpired .....	-64		
3071 Change in uncollected pymts, Fed sources, expired .....	54		
3090 Uncollected pymts, Fed sources, end of year .....	-104	-104	-104
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	801	1,143	875
3200 Obligated balance, end of year .....	1,143	875	915

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,860	1,972	2,002
Outlays, gross:			
4010 Outlays from new discretionary authority .....	975	1,216	1,235
4011 Outlays from discretionary balances .....	727	1,059	743
4020 Outlays, gross (total) .....	1,702	2,275	1,978
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-52	-61	-61
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-47		
4052 Offsetting collections credited to expired accounts .....	46		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	1,807	1,911	1,941
4080 Outlays, net (discretionary) .....	1,650	2,214	1,917
Mandatory:			
4090 Budget authority, gross .....	55	62	62
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	8	8
4101 Outlays from mandatory balances .....	46	20	38
4110 Outlays, gross (total) .....	48	28	46
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-18	-34	-34
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-17		
4142 Offsetting collections credited to expired accounts .....	8		
4150 Additional offsets against budget authority only (total) .....	-9		
4160 Budget authority, net (mandatory) .....	28	28	28
4170 Outlays, net (mandatory) .....	30	-6	12
4180 Budget authority, net (total) .....	1,835	1,939	1,969
4190 Outlays, net (total) .....	1,680	2,208	1,929

Note.—The reimbursable program (HCFAC) in the Administration for Community Living (ACL) reflects the actual distribution of the allocation account for 2015. Future allocations will be determined annually.

This account funds formula and discretionary grants that provide home and community-based services and supports to assist older adults and people of all ages with disabilities to live independently and to fully participate in their communities. ACL works with states, localities, tribal organizations, nonprofit organizations, businesses and families, and through networks of aging and disability organizations, to provide these services and supports which include nutrition, supportive, caregiver, independent living and protection and advocacy services. Beginning in FY 2016, this account also provides funding for the Traumatic Brain Injury (TBI) program, which was transferred to ACL from the Health Resources and Services Administration.

Object Classification (in millions of dollars)

Identification code 075-0142-0-1-506	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	19	22	25
12.1 Civilian personnel benefits .....	6	6	7
23.1 Rental payments to GSA .....	2	3	4
25.1 Advisory and assistance services .....	24	28	29
25.3 Other goods and services from Federal sources .....	8	8	8
41.0 Grants, subsidies, and contributions .....	1,776	1,874	1,898
99.0 Direct obligations .....	1,835	1,941	1,971

99.0	Reimbursable obligations .....	81	94	93
99.9	Total new obligations .....	1,916	2,035	2,064

**Employment Summary**

Identification code 075-0142-0-1-506	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	167	184	211
2001 Reimbursable civilian full-time equivalent employment .....	18	22	23

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of [six] passenger motor vehicles, and for carrying out titles III, XVII, XXI, and section 229 of the PHS Act, the United States-Mexico Border Health Commission Act, and research studies under section 1110 of the Social Security Act, [ \$456,009,000 ] \$463,492,000, together with [ \$64,828,000 ] \$66,078,000 from the amounts available under section 241 of the PHS Act to carry out national health or human services research and evaluation activities: *Provided*, That of [ this amount, ] the funds made available under this heading, \$53,900,000 shall be for minority AIDS prevention and treatment activities: *Provided further*, That of the funds made available under this heading, [ \$101,000,000 ] \$104,790,000 shall be for making competitive contracts and grants to public and private entities to fund medically accurate and age appropriate programs that reduce teen pregnancy and for the Federal costs associated with administering and evaluating such contracts and grants, of which not more than 10 percent of the available funds shall be for training and technical assistance, evaluation, outreach, and additional program support activities, and of the remaining amount 75 percent shall be for replicating programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors, and 25 percent shall be available for research and demonstration grants to develop, replicate, refine, and test additional models and innovative strategies for preventing teenage pregnancy: *Provided further*, That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, \$6,800,000 shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches: [ *Provided further*, That of the funds made available under this heading, \$10,000,000 shall be for making competitive grants which exclusively implement education in sexual risk avoidance (defined as voluntarily refraining from non-marital sexual activity): *Provided further*, That funding for such competitive grants for sexual risk avoidance shall use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity: *Provided further*, That no more than 10 percent of the funding for such competitive grants for sexual risk avoidance shall be available for technical assistance and administrative costs of such programs: *Provided further*, That funds provided in this Act for embryo adoption activities may be used to provide to individuals adopting embryos, through grants and other mechanisms, medical and administrative services deemed necessary for such adoptions: *Provided further*, That such services shall be provided consistent with 42 CFR 59.5(a)(4) ] *Provided further*, That of the funds made available under this heading, \$1,750,000 shall be for strengthening the Department's workforce capacity and capabilities, including training, recruiting, retaining, and hiring members of the acquisition workforce as defined by 41 U.S.C. 1703, for information technology in support of acquisition workforce effectiveness, and for management solutions to improve acquisition management: *Provided further*, That funds made available under this heading may also be used for activities to encourage innovative approaches to increase efficiency and effectiveness in the Department's programs.

In addition, to supplement the Department's activities related to implementation of the Digital Accountability and Transparency Act (DATA Act; Public Law 113-101; 31 U.S.C. 6101 note), \$10,320,000.

In addition, for a Digital Service team for HHS, \$5,000,000. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-9912-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General Departmental Management .....	448	456	479
0801 GDM (Collected) .....	153	170	171
0802 HCFAC (Mandatory) .....	9	10	10
0803 PHS Evaluation .....	65	65	66
0804 CMS Trust Funds (Mandatory) .....	12	5	5
0899 Total reimbursable obligations .....	239	250	252
0900 Total new obligations .....	687	706	731
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	9	9
1012 Unobligated balance transfers between expired and unexpired accounts .....	6		
1050 Unobligated balance (total) .....	8	9	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	448	456	479
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	93	235	237
1701 Change in uncollected payments, Federal sources .....	128		
1750 Spending auth from offsetting collections, disc (total) .....	221	235	237
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9	15	15
1801 Change in uncollected payments, Federal sources .....	12		
1850 Spending auth from offsetting collections, mand (total) .....	21	15	15
1900 Budget authority (total) .....	690	706	731
1930 Total budgetary resources available .....	698	715	740
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	532	534	517
3010 Obligations incurred, unexpired accounts .....	687	706	731
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-673	-723	-724
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	534	517	524
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-244	-220	-220
3070 Change in uncollected pymts, Fed sources, unexpired .....	-140		
3071 Change in uncollected pymts, Fed sources, expired .....	164		
3090 Uncollected pymts, Fed sources, end of year .....	-220	-220	-220
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	288	314	297
3200 Obligated balance, end of year .....	314	297	304
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	669	691	716
Outlays, gross:			
4010 Outlays from new discretionary authority .....	326	330	341
4011 Outlays from discretionary balances .....	333	378	368
4020 Outlays, gross (total) .....	659	708	709
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-231	-235	-237
4040 Offsets against gross budget authority and outlays (total) .....	-231	-235	-237
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-128		
4052 Offsetting collections credited to expired accounts .....	138		
4060 Additional offsets against budget authority only (total) .....	10		
4070 Budget authority, net (discretionary) .....	448	456	479
4080 Outlays, net (discretionary) .....	428	473	472
Mandatory:			
4090 Budget authority, gross .....	21	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	10	10
4101 Outlays from mandatory balances .....	4	5	5
4110 Outlays, gross (total) .....	14	15	15

GENERAL DEPARTMENTAL MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 075-9912-0-1-551	2015 actual	2016 est.	2017 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-31	-15	-15
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-12		
4142 Offsetting collections credited to expired accounts .....	22		
4150 Additional offsets against budget authority only (total) .....	10		
4170 Outlays, net (mandatory) .....	-17		
4180 Budget authority, net (total) .....	448	456	479
4190 Outlays, net (total) .....	411	473	472

Note.—The reimbursable program (HCFAC) in the General Department Management (GDM) account reflects estimates of the allocation account for 2017. Actual allocation will be determined annually.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components, and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health, including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund. This includes funding the continuation of grants for medically accurate and age appropriate programs to reduce teen pregnancy, including funds for research, demonstration grants, and replication of programs that have been proven effective through rigorous evaluation to reduce teen pregnancy. FY 2017 Budget includes funding for staffing costs to build a Digital Service team that will focus on transforming the agency's digital services with the greatest impact to citizens and businesses so they are easier to use and maintain. The Budget also includes funding to implement the Digital Accountability and Transparency Act of 2014 to improve transparency of Federal spending and Government-wide financial data standards. The Department of Health and Human Services plays a crucial role in the implementation of the Act and has been designated as the leader for grants standardization.

Object Classification (in millions of dollars)

Identification code 075-9912-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	88	83	92
11.3 Other than full-time permanent .....	12	12	13
11.5 Other personnel compensation .....	3	3	3
11.7 Military personnel .....	3	3	3
11.9 Total personnel compensation .....	106	101	111
12.1 Civilian personnel benefits .....	27	26	28
12.2 Military personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	4	5	5
23.1 Rental payments to GSA .....	17	17	17
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	27	22	25
25.2 Other services from non-Federal sources .....	37	42	36
25.3 Other goods and services from Federal sources .....	65	70	84
25.4 Operation and maintenance of facilities .....	5	6	6
25.7 Operation and maintenance of equipment .....	5	5	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	148	155	155
99.0 Direct obligations .....	448	456	479
99.0 Reimbursable obligations .....	239	250	252
99.9 Total new obligations .....	687	706	731

Employment Summary

Identification code 075-9912-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	955	937	1,015
1101 Direct military average strength employment .....	29	27	27
2001 Reimbursable civilian full-time equivalent employment .....	458	503	501

2101 Reimbursable military average strength employment .....	18	24	24
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GENERAL DEPARTMENTAL MANAGEMENT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-9912-4-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0805 RAC Collections .....			2
0899 Total reimbursable obligations .....			2
0900 Total new obligations (object class 11.1) .....			2
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			2
1900 Budget authority (total) .....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2
3020 Outlays (gross) .....			-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2
Outlays, gross:			
4100 Outlays from new mandatory authority .....			2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Employment Summary

Identification code 075-9912-4-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			16

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$38,798,000]** \$42,705,000.  
(Department of Health and Human Services Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 075-0135-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office for Civil Rights (Direct) .....	39	39	43
0801 Office for Civil Rights (Reimbursable) .....	5	6	6
0900 Total new obligations .....	44	45	49
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	4	4
1050 Unobligated balance (total) .....	7	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	39	39	43
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	6	6
1900 Budget authority (total) .....	41	45	49
1930 Total budgetary resources available .....	48	49	53
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	12	12
3010 Obligations incurred, unexpired accounts .....	44	45	49
3020 Outlays (gross) .....	-41	-45	-50
3050 Unpaid obligations, end of year .....	12	12	11

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	12	12
3200	Obligated balance, end of year .....	12	12	11
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	39	39	43
Outlays, gross:				
4010	Outlays from new discretionary authority .....	33	31	34
4011	Outlays from discretionary balances .....	4	3	9
4020	Outlays, gross (total) .....	37	34	43
Mandatory:				
4090	Budget authority, gross .....	2	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....		6	6
4101	Outlays from mandatory balances .....	4	5	1
4110	Outlays, gross (total) .....	4	11	7
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-2	-6	-6
4180	Budget authority, net (total) .....	39	39	43
4190	Outlays, net (total) .....	39	39	44

The Office for Civil Rights funds activities that carry out the Department's civil rights nondiscrimination and health information privacy and security compliance programs.

**Object Classification** (in millions of dollars)

Identification code 075-0135-0-1-751	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	16	17	20
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	17	18	21
12.1	Civilian personnel benefits .....	6	7	7
21.0	Travel and transportation of persons .....			1
23.1	Rental payments to GSA .....	3	3	3
25.2	Other services from non-Federal sources .....			1
25.3	Other goods and services from Federal sources .....	12	10	9
25.4	Operation and maintenance of facilities .....	1	1	1
99.0	Direct obligations .....	39	39	43
99.0	Reimbursable obligations .....	5	6	6
99.9	Total new obligations .....	44	45	49

**Employment Summary**

Identification code 075-0135-0-1-751	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	165	177	195
1101	Direct military average strength employment .....	2	2	2
2001	Reimbursable civilian full-time equivalent employment .....	3	1	1

**OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY**

For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, **[\$60,367,000]** \$82,000,000 shall be available from amounts available under section 241 of the PHS Act. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-0130-0-1-551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Health information technology .....	60	60	
0002	Recovery Act activities .....	40		
0799	Total direct obligations .....	100	60	
0801	Office of the National Coordinator for Health Information Techno (Reimbursable) .....	19	22	22
0802	Reimbursable program activity: PHS Evaluation .....			82

0899	Total reimbursable obligations .....	19	22	104
0900	Total new obligations .....	119	82	104

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	3	3
1021	Recoveries of prior year unpaid obligations .....	41		
1050	Unobligated balance (total) .....	43	3	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	60	60	
Spending authority from offsetting collections, discretionary:				
1700	PHS and Other .....	8	22	122
1701	Change in uncollected payments, Federal sources .....	11		
1750	Spending auth from offsetting collections, disc (total) .....	19	22	122
1900	Budget authority (total) .....	79	82	122
1930	Total budgetary resources available .....	122	85	125
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	3	21

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	189	121	27
3010	Obligations incurred, unexpired accounts .....	119	82	104
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-144	-176	-124
3040	Recoveries of prior year unpaid obligations, unexpired .....	-41		
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	121	27	7
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-50	-31	-31
3070	Change in uncollected pymts, Fed sources, unexpired .....	-11		
3071	Change in uncollected pymts, Fed sources, expired .....	30		
3090	Uncollected pymts, Fed sources, end of year .....	-31	-31	-31
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	139	90	-4
3200	Obligated balance, end of year .....	90	-4	-24

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	79	82	122
Outlays, gross:				
4010	Outlays from new discretionary authority .....	46	70	104
4011	Outlays from discretionary balances .....	98	106	20
4020	Outlays, gross (total) .....	144	176	124
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-39	-22	-122
4040	Offsets against gross budget authority and outlays (total) ....	-39	-22	-122
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-11		
4052	Offsetting collections credited to expired accounts .....	31		
4060	Additional offsets against budget authority only (total) .....	20		
4070	Budget authority, net (discretionary) .....	60	60	
4080	Outlays, net (discretionary) .....	105	154	2
4180	Budget authority, net (total) .....	60	60	
4190	Outlays, net (total) .....	105	154	2

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was established in the Health Information Technology for Economic and Clinical Health Act (P.L. 111-5, Title XIII), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

**Object Classification** (in millions of dollars)

Identification code 075-0130-0-1-551	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	17	17	
11.3	Other than full-time permanent .....		3	

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION  
TECHNOLOGY—Continued  
Object Classification—Continued

Identification code 075-0130-0-1-551	2015 actual	2016 est.	2017 est.
11.5 Other personnel compensation		1	
11.9 Total personnel compensation	17	21	
12.1 Civilian personnel benefits	5	6	
21.0 Travel and transportation of persons		1	
23.1 Rental payments to GSA	3	4	
23.3 Communications, utilities, and miscellaneous charges	1	1	
25.1 Advisory and assistance services	1	1	
25.2 Other services from non-Federal sources	17	14	
25.3 Other goods and services from Federal sources	13	12	
25.4 Operation and maintenance of facilities	1		
41.0 Grants, subsidies, and contributions	42		
99.0 Direct obligations	100	60	
99.0 Reimbursable obligations	19	22	104
99.9 Total new obligations	119	82	104

Employment Summary

Identification code 075-0130-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	158	198	198
1101 Direct military average strength employment	2	2	2

OFFICE OF MEDICARE HEARINGS AND APPEALS

For expenses necessary for the Office of Medicare Hearings and Appeals, **[\$107,381,000]** \$120,000,000, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 075-0139-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Medicare Hearings and Appeals (Direct)	87	107	120
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	68	107	120
1701 Change in uncollected payments, Federal sources	20		
1750 Spending auth from offsetting collections, disc (total)	88	107	120
1930 Total budgetary resources available	88	108	121
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	19	1
3010 Obligations incurred, unexpired accounts	87	107	120
3020 Outlays (gross)	-84	-125	-120
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	19	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-19	-27	-27
3070 Change in uncollected pymts, Fed sources, unexpired	-20		
3071 Change in uncollected pymts, Fed sources, expired	12		
3090 Uncollected pymts, Fed sources, end of year	-27	-27	-27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-2	-8	-26
3200 Obligated balance, end of year	-8	-26	-26

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	88	107	120
Outlays, gross:			
4010 Outlays from new discretionary authority	74	107	120
4011 Outlays from discretionary balances	10	18	

4020 Outlays, gross (total)	84	125	120
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-80	-107	-120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-20		
4052 Offsetting collections credited to expired accounts	12		
4060 Additional offsets against budget authority only (total)	-8		
4080 Outlays, net (discretionary)	4	18	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4	18	

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003. OMHA provides an independent and impartial forum for the adjudication of claims brought by or on behalf of Medicare beneficiaries related to their benefits and care.

Object Classification (in millions of dollars)

Identification code 075-0139-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	46	57	60
12.1 Civilian personnel benefits	14	17	19
21.0 Travel and transportation of persons			1
22.0 Transportation of things		1	1
23.1 Rental payments to GSA	7	9	10
23.3 Communications, utilities, and miscellaneous charges	4	3	4
25.2 Other services from non-Federal sources	5	5	6
25.3 Other goods and services from Federal sources	7	8	11
25.4 Operation and maintenance of facilities	1	4	1
25.7 Operation and maintenance of equipment	1	1	5
26.0 Supplies and materials	1		1
31.0 Equipment	1	2	1
99.9 Total new obligations	87	107	120

Employment Summary

Identification code 075-0139-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	526	642	749

OFFICE OF MEDICARE HEARINGS AND APPEALS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0139-4-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity			130
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			130
1930 Total budgetary resources available			130

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			130
3020 Outlays (gross)			-130

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			130
Outlays, gross:			
4100 Outlays from new mandatory authority			130
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-130
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Object Classification (in millions of dollars)

Identification code 075-0139-4-1-551	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent			50

12.1	Civilian personnel benefits .....	18
22.0	Transportation of things .....	9
23.1	Rental payments to GSA .....	11
23.3	Communications, utilities, and miscellaneous charges .....	2
25.3	Other goods and services from Federal sources .....	14
25.4	Operation and maintenance of facilities .....	6
25.7	Operation and maintenance of equipment .....	4
26.0	Supplies and materials .....	1
31.0	Equipment .....	15
99.9	Total new obligations .....	130

**Employment Summary**

Identification code 075-0139-4-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			559

**PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND**

For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, **[\$950,958,000]** \$956,108,000, of which \$511,700,000 shall remain available through September 30, **[2017]** 2018, for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act and other administrative expenses of the Biomedical Advanced Research and Development Authority: *Provided*, That funds provided under this heading for the purpose of acquisition of security countermeasures shall be in addition to any other funds available for such purpose: *Provided further*, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act: *Provided further*, That \$5,000,000 of the amounts made available to support emergency operations shall remain available **[through September 30, 2018]** until expended: *Provided further*, That up to 10 percent of the amounts made available in this paragraph to support advanced research and development pursuant to section 319L of the PHS Act may also be used to supplement funds provided in the second paragraph for the purposes provided therein.

For expenses necessary for procuring security countermeasures (as defined in section 319F-2(c)(1)(B) of the PHS Act), **[\$510,000,000]** in addition to any other amounts available in the Special Reserve Fund, \$350,000,000, to remain available until expended: *Provided*, That paragraphs (1) and (7)(C) of subsection (c) of section 319F-2 of the PHS Act, but no other provisions of such subsection, shall apply to such security countermeasures procured with funds made available under this heading: *Provided further*, That up to 10 percent of the amounts provided in this paragraph may also be used to supplement funds provided in the first paragraph to support advanced research and development pursuant to section 319L of the PHS Act.

For an additional amount for expenses necessary to prepare for or respond to an influenza pandemic or emerging infectious disease, including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools, **[\$72,000,000]** \$125,009,000; of which **[\$40,000,000]** \$111,000,000 shall be available until expended **[**, for activities including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools]: *Provided*, That **[notwithstanding section 496(b) of the PHS Act,]** funds may be used for the construction or renovation of privately owned facilities for the production of pandemic influenza vaccines and other biologics, if the Secretary finds such construction or renovation necessary to secure sufficient supplies of such vaccines or biologics: *Provided further*, That funds appropriated to this paragraph may be transferred to other appropriation accounts of the Department of Health and Human Services, as determined by the Secretary to be appropriate, to be used for the purposes specified in this paragraph. (Department of Health and Human Services Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 075-0140-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public Health and Social Services Emergency Fund .....	1,938	1,796	1,433
0100 Direct program activities, subtotal .....	1,938	1,796	1,433
0801 Reimbursable program (FEMA) .....	13	80	80
0802 Reimbursable program activity (OPP) .....	2	2	2
0899 Total reimbursable obligations .....	15	82	82

0900 Total new obligations .....	1,953	1,878	1,515
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	627	825	537
1010 Unobligated balance transfer to other accts [075-0343] .....	-4	-1	-3
1010 Unobligated balance transfer to other accts [075-0943] .....	-15		
1021 Recoveries of prior year unpaid obligations .....	207		
1050 Unobligated balance (total) .....	815	824	534
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,233	1,533	1,431
1100 Appropriation - Emergency Pursuant to 2011 BCA .....	733		
1120 Appropriations transferred to other accts [075-0943] .....	-15		
1160 Appropriation, discretionary (total) .....	1,951	1,533	1,431
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	82	82
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	14	82	82
1900 Budget authority (total) .....	1,965	1,615	1,513
1930 Total budgetary resources available .....	2,780	2,439	2,047
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	-24	
1941 Unexpired unobligated balance, end of year .....	825	537	532
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,095	4,051	3,550
3010 Obligations incurred, unexpired accounts .....	1,953	1,878	1,515
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-1,769	-2,348	-1,931
3040 Recoveries of prior year unpaid obligations, unexpired .....	-207		
3041 Recoveries of prior year unpaid obligations, expired .....	-31	-31	
3050 Unpaid obligations, end of year .....	4,051	3,550	3,134
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-126	-82	-82
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	53		
3090 Uncollected pymts, Fed sources, end of year .....	-82	-82	-82
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,969	3,969	3,468
3200 Obligated balance, end of year .....	3,969	3,468	3,052
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,965	1,615	1,513
Outlays, gross:			
4010 Outlays from new discretionary authority .....	258	490	486
4011 Outlays from discretionary balances .....	1,511	1,858	1,445
4020 Outlays, gross (total) .....	1,769	2,348	1,931
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-58	-82	-82
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	53		
4060 Additional offsets against budget authority only (total) .....	44		
4070 Budget authority, net (discretionary) .....	1,951	1,533	1,431
4080 Outlays, net (discretionary) .....	1,711	2,266	1,849
4180 Budget authority, net (total) .....	1,951	1,533	1,431
4190 Outlays, net (total) .....	1,711	2,266	1,849

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response, as authorized by the Pandemic and All-Hazards Preparedness Reauthorization Act. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System. The PHSSEF also supports the HHS Cybersecurity, Security and Strategic Information programs, and the Medical Reserve Corps.

The PHSSEF continues to support the advanced development and procurement of biodefense and pandemic influenza countermeasures.

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND—Continued

Object Classification (in millions of dollars)

Identification code 075-0140-0-1-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	75	76	78
11.3 Other than full-time permanent .....	6	6	6
11.7 Military personnel .....	7	7	7
11.9 Total personnel compensation .....	88	89	91
12.1 Civilian personnel benefits .....	22	22	23
12.2 Military personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	4	4	4
23.1 Rental payments to GSA .....	17	17	17
23.3 Communications, utilities, and miscellaneous charges .....	24	24	24
25.1 Advisory and assistance services .....	349	275	206
25.2 Other services from non-Federal sources .....	140	140	108
25.3 Other goods and services from Federal sources .....	33	38	27
25.4 Operation and maintenance of facilities .....	20	22	20
25.5 Research and development contracts .....	487	436	289
25.7 Operation and maintenance of equipment .....	15	15	15
26.0 Supplies and materials .....	336	309	205
31.0 Equipment .....	16	17	16
41.0 Grants, subsidies, and contributions .....	382	383	383
99.0 Direct obligations .....	1,938	1,796	1,433
99.0 Reimbursable obligations .....	15	82	82
99.9 Total new obligations .....	1,953	1,878	1,515

Employment Summary

Identification code 075-0140-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	693	694	710
1101 Direct military average strength employment .....	82	74	74

TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 075-0145-0-1-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 AHRQ .....	107	94	106
0002 Office of the Secretary .....	22	24	26
0900 Total new obligations .....	129	118	132
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	69	52	52
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	70	52	52
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	111	118	132
1900 Budget authority (total) .....	111	118	132
1930 Total budgetary resources available .....	181	170	184
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	52	52	52
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	64	133	209
3010 Obligations incurred, unexpired accounts .....	129	118	132
3020 Outlays (gross) .....	-59	-42	-101
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	133	209	240
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	64	133	209
3200 Obligated balance, end of year .....	133	209	240
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	111	118	132
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	4	4

4101 Outlays from mandatory balances .....	57	38	97
4110 Outlays, gross (total) .....	59	42	101
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-111	-118	-132
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-52	-76	-31

Public Law 111-148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF will be transferred each year to the Department of Health and Human Services (HHS). As authorized in section 937 of the Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and coordinate Federal health programs to build research and data capacity for comparative clinical effectiveness research. Transferred funds will be distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities.

Object Classification (in millions of dollars)

Identification code 075-0145-0-1-552	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.1 Advisory and assistance services .....	21	19	21
25.3 Other goods and services from Federal sources .....	20	18	20
41.0 Grants, subsidies, and contributions .....	86	79	89
99.9 Total new obligations .....	129	118	132

Employment Summary

Identification code 075-0145-0-1-552	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

NONRECURRING EXPENSES FUND

Program and Financing (in millions of dollars)

Identification code 075-0125-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Nonrecurring Expenses Fund Projects .....	398	580	590
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	425	870	666
1012 Unobligated balance transfers between expired and unexpired accounts .....	840	376	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	1,268	1,246	666
1930 Total budgetary resources available .....	1,268	1,246	666
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	870	666	76
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	372	350	464
3010 Obligations incurred, unexpired accounts .....	398	580	590
3020 Outlays (gross) .....	-417	-466	-516
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	350	464	538
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	372	350	464
3200 Obligated balance, end of year .....	350	464	538
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	417	466	516
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	417	466	516

The Nonrecurring Expenses Fund is a no-year account that receives transfers of expired unobligated balances from discretionary accounts prior to cancellation. The Fund is used for capital acquisition, including facilities infrastructure and information technology infrastructure.

**Object Classification** (in millions of dollars)

Identification code 075-0125-0-1-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	98	143	145
25.3 Other goods and services from Federal sources .....	300	437	445
99.9 Total new obligations .....	398	580	590

**HEALTH INSURANCE REFORM IMPLEMENTATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-0119-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Health Insurance Reform Implementation Fund (Direct) .....	23		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	26	5	5
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	28	5	5
1930 Total budgetary resources available .....	28	5	5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	88	32	
3010 Obligations incurred, unexpired accounts .....	23		
3020 Outlays (gross) .....	-76	-32	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	32		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	88	32	
3200 Obligated balance, end of year .....	32		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	76	32	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	76	32	

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152) appropriated \$1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Health Care and Education Reconciliation Act of 2010.

**Object Classification** (in millions of dollars)

Identification code 075-0119-0-1-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	1		
25.2 Other services from non-Federal sources .....	22		
99.9 Total new obligations .....	23		

**PREVENTION AND PUBLIC HEALTH FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-0116-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	1,000	1,000	1,000
1220 Appropriations transferred to other accts [075-0142] .....	-28	-28	-28
1220 Appropriations transferred to other accts [075-0943] .....	-887	-892	-944
1220 Appropriations transferred to other accts [075-1362] .....	-12	-12	-28
1230 Appropriations and/or unobligated balance of appropriations permanently reduced [SEQ] .....	-73	-68	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Affordable Care Act (P.L. 111-148), established the Prevention and Public Health Fund to support prevention and public health activities. In FY 2017, \$1.00 billion is available to support a range of public health efforts intended to prevent disease and reduce health care costs. The Secretary has authority to transfer to accounts within HHS.

**PREGNANCY ASSISTANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-0117-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pregnancy Assistance Fund (Direct) .....	23	23	25
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	25	25	25
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	
1260 Appropriations, mandatory (total) .....	23	23	25
1930 Total budgetary resources available .....	23	23	25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	32	28	21
3010 Obligations incurred, unexpired accounts .....	23	23	25
3020 Outlays (gross) .....	-24	-26	-26
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-4	
3050 Unpaid obligations, end of year .....	28	21	20
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	32	28	21
3200 Obligated balance, end of year .....	28	21	20
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	23	23	25
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	1	1
4101 Outlays from mandatory balances .....	22	25	25
4110 Outlays, gross (total) .....	24	26	26
4180 Budget authority, net (total) .....	23	23	25
4190 Outlays, net (total) .....	24	26	26

For awarding competitive grants to States to assist pregnant and parenting teens and women.

**Object Classification** (in millions of dollars)

Identification code 075-0117-0-1-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	22	22	24
99.9 Total new obligations .....	23	23	25

PREGNANCY ASSISTANCE FUND—Continued  
Employment Summary

Identification code 075-0117-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

SECTION 241 EVALUATION TRANSACTIONS ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-3902-0-1-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Section 241 Evaluation Transactions Account (Reimbursable) .....	461	482	551
0809 Reimbursable program activities, subtotal .....	461	482	551
0900 Total new obligations (object class 25.3) .....	461	482	551

<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	93	482	551
1701 Change in uncollected payments, Federal sources .....	368		
1750 Spending auth from offsetting collections, disc (total) .....	461	482	551
1930 Total budgetary resources available .....	461	482	551

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	596	737	504
3010 Obligations incurred, unexpired accounts .....	461	482	551
3020 Outlays (gross) .....	-320	-715	-784
3050 Unpaid obligations, end of year .....	737	504	271
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-630	-739	-739
3070 Change in uncollected pymts, Fed sources, unexpired .....	-368		
3071 Change in uncollected pymts, Fed sources, expired .....	259		
3090 Uncollected pymts, Fed sources, end of year .....	-739	-739	-739
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-34	-2	-235
3200 Obligated balance, end of year .....	-2	-235	-468

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	461	482	551
Outlays, gross:			
4010 Outlays from new discretionary authority .....	78	482	551
4011 Outlays from discretionary balances .....	242	233	233
4020 Outlays, gross (total) .....	320	715	784
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-352	-482	-551
4040 Offsets against gross budget authority and outlays (total) .....	-352	-482	-551
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-368		
4052 Offsetting collections credited to expired accounts .....	259		
4060 Additional offsets against budget authority only (total) .....	-109		
4080 Outlays, net (discretionary) .....	-32	233	233
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-32	233	233

The Public Health Service Act (PHS) Evaluation Transactions account supports the execution of section 241 of the PHS Act.

PROGRAM SUPPORT CENTER

Federal Funds

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may

be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 075-0379-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Retirement payments .....	421	439	457
0002 Survivors' benefits .....	29	30	32
0003 Medical care .....	121	128	141
0900 Total new obligations .....	571	597	630

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	572	597	630
1930 Total budgetary resources available .....	572	597	630
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	66	71	35
3010 Obligations incurred, unexpired accounts .....	571	597	630
3020 Outlays (gross) .....	-559	-633	-628
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	71	35	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	66	71	35
3200 Obligated balance, end of year .....	71	35	37

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	572	597	630
Outlays, gross:			
4100 Outlays from new mandatory authority .....	510	567	598
4101 Outlays from mandatory balances .....	49	66	30
4110 Outlays, gross (total) .....	559	633	628
4180 Budget authority, net (total) .....	572	597	630
4190 Outlays, net (total) .....	559	633	628

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

	2015	2016	2017
<b>Retirement Pay, Survivor Benefits, and Medical Benefits</b>			
Active Duty:			
HHS .....	4970	4964	4964
DOJ, BOP .....	878	879	879
Homeland Security .....	477	566	578
EPA .....	58	58	58
All Other .....	300	308	308
Total Active Duty .....	6683	6775	6787
Retirees & Survivors:			
Retirees .....	6010	6130	6200
Retiree family members and survivors .....	1088	1097	1105
Total Retirement Pay .....	7098	7227	7305
Total Beneficiaries (active duty, retirees, survivors) .....	13781	14002	14092

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

Identification code 075-0379-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
13.0 Benefits for former personnel .....	450	469	489
25.6 Medical care .....	121	128	141
99.9 Total new obligations .....	571	597	630

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 075-0170-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medicare eligible accruals .....	28	26	29
0900 Total new obligations (object class 12.2) .....	28	26	29
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28	26	29
1930 Total budgetary resources available .....	28	26	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	28	26	29
3020 Outlays (gross) .....	-28	-26	-29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	28	26	29
Outlays, gross:			
4010 Outlays from new discretionary authority .....	28	26	29
4180 Budget authority, net (total) .....	28	26	29
4190 Outlays, net (total) .....	28	26	29

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	28	26	29
Outlays .....	28	26	29
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-2
Outlays .....			-2
Total:			
Budget Authority .....	28	26	27
Outlays .....	28	26	27

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108-375, section 725).

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0170-2-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medicare eligible accruals .....			-2
0900 Total new obligations (object class 12.2) .....			-2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-2
1930 Total budgetary resources available .....			-2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-2
3020 Outlays (gross) .....			2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-2
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-2
4180 Budget authority, net (total) .....			-2

4190 Outlays, net (total) .....	-2
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HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 075-9913-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 075-9941-0-4-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Program Support Center .....	781	765	801
0802 OS activities .....	441	425	426
0900 Total new obligations .....	1,222	1,190	1,227

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	130	116	116
1021 Recoveries of prior year unpaid obligations .....	57		
1050 Unobligated balance (total) .....	187	116	116
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,095	1,190	1,227
1700 Collected from uncollected FY15 pmts - line 3090 .....		428	
1701 Change in uncollected payments, Federal sources .....	56	-428	
1750 Spending auth from offsetting collections, disc (total) .....	1,151	1,190	1,227
1930 Total budgetary resources available .....	1,338	1,306	1,343
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	116	116	116

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	621	790	
3010 Obligations incurred, unexpired accounts .....	1,222	1,190	1,227
3020 Outlays (gross) .....	-996	-1,980	-1,227
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57		
3050 Unpaid obligations, end of year .....	790		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-372	-428	
3070 Change in uncollected pymts, Fed sources, unexpired .....	-56	428	
3090 Uncollected pymts, Fed sources, end of year .....	-428		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	249	362	
3200 Obligated balance, end of year .....	362		

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,151	1,190	1,227
Outlays, gross:			
4010 Outlays from new discretionary authority .....	619	1,190	1,227
4011 Outlays from discretionary balances .....	377	790	
4020 Outlays, gross (total) .....	996	1,980	1,227
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,087	-1,190	-1,227
4030 Federal sources .....		-428	
4033 Non-Federal sources .....	-8		
4040 Offsets against gross budget authority and outlays (total) ...	-1,095	-1,618	-1,227
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-56	428	
4080 Outlays, net (discretionary) .....	-99	362	
4180 Budget authority, net (total) .....			

HHS SERVICE AND SUPPLY FUND—Continued  
Program and Financing—Continued

Identification code 075-9941-0-4-551	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)	-99	362	

The HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of the Secretary activities include the Service and Supply Fund Manager's Office, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, small business consolidation, grants tracking, the physical security component of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

Object Classification (in millions of dollars)

Identification code 075-9941-0-4-551	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	105	107	107
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	3	3	3
11.7 Military personnel	7	7	7
11.9 Total personnel compensation	120	122	122
12.1 Civilian personnel benefits	40	37	40
12.2 Military personnel benefits	4	3	3
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	2	4	4
23.1 Rental payments to GSA	27	22	22
23.3 Communications, utilities, and miscellaneous charges	12	9	9
24.0 Printing and reproduction	3	13	13
25.1 Advisory and assistance services	37	40	40
25.2 Other services from non-Federal sources	745	735	744
25.3 Other goods and services from Federal sources	77	71	96
25.4 Operation and maintenance of facilities	17	9	9
25.6 Medical care	24	29	29
25.7 Operation and maintenance of equipment	69	48	48
26.0 Supplies and materials	34	36	36
31.0 Equipment	9	10	10
99.9 Total new obligations	1,222	1,190	1,227

Employment Summary

Identification code 075-9941-0-4-551	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment	998	1,110	1,168
2101 Reimbursable military average strength employment	76	65	65
3101 Allocation account military average strength employment	1,655	1,753	1,765

Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9971-0-7-551	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			

Receipts:

	2015 actual	2016 est.	2017 est.
<b>Current law:</b>			
1130 Contributions, Indian Health Facilities	65	48	48
1130 Contributions, N.I.H., Unconditional Gift Fund	4	3	3
1130 Centers for Disease Control, Gifts and Donations	22	15	15
1130 Contributions, N.I.H., Conditional Gift Fund	47	27	27
1130 Contributions to the Indian Health Service Gift Fund	1	1	1
1140 Interest, Miscellaneous Trust Funds	1		
1198 Rounding adjustment	1		
1199 Total current law receipts	140	94	94
1999 Total receipts	140	94	94
2000 Total: Balances and receipts	140	94	94
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Miscellaneous Trust Funds	-140	-94	-94
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-9971-0-7-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Gifts	67	39	39
0003 Contributions, Indian Health Facilities	66	12	12
0900 Total new obligations	133	51	51
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	154	164	207
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	157	164	207
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	140	94	94
1930 Total budgetary resources available	297	258	301
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	164	207	250

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	76	93	80
3010 Obligations incurred, unexpired accounts	133	51	51
3020 Outlays (gross)	-113	-64	-77
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	93	80	54
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	76	93	80
3200 Obligated balance, end of year	93	80	54

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross	140	94	94
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	6	9	9
4101 Outlays from mandatory balances	107	55	68
4110 Outlays, gross (total)	113	64	77
4180 Budget authority, net (total)	140	94	94
4190 Outlays, net (total)	113	64	77

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	25	28	28
5001 Total investments, EOY: Federal securities: Par value	28	28	28

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 075-9971-0-7-551	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	3	3	3
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	4	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1

25.1	Advisory and assistance services .....	3	3	3
25.2	Other services from non-Federal sources .....	10	5	5
25.3	Other goods and services from Federal sources .....	73	34	34
25.5	Research and development contracts .....	3		
25.6	Medical care .....	1		
26.0	Supplies and materials .....	4		
31.0	Equipment .....	4		
41.0	Grants, subsidies, and contributions .....	29	3	3
99.9	Total new obligations .....	133	51	51

**Employment Summary**

Identification code 075-9971-0-7-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

**OFFICE OF THE INSPECTOR GENERAL**

*Federal Funds*

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, **[\$75,000,000]** in addition to funds otherwise available for such purposes other than for Medicare and Medicaid oversight, **\$85,000,000**: Provided, That of such amount, necessary sums shall be available for providing protective services to the Secretary and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228. (*Department of Health and Human Services Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 075-0128-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	75	77	85
0801 HCFAC Reimbursable program .....	190	199	203
0802 Direct Reimbursable program .....	16	21	21
0803 HCFAC Discretionary allocation adjustment .....	62	66	116
0899 Total reimbursable obligations .....	268	286	340
0900 Total new obligations .....	343	363	425
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	32	34
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	5	13	
1050 Unobligated balance (total) .....	22	32	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	71	75	85
1121 Appropriations transferred from other acct [075-9911] .....	2	2	
1160 Appropriation, discretionary (total) .....	73	77	85
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	62	67	122
1701 Change in uncollected payments, Federal sources .....	21	21	21
1750 Spending auth from offsetting collections, disc (total) .....	83	88	143
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	163	200	213
1801 Change in uncollected payments, Federal sources .....	35		
1802 Offsetting collections (previously unavailable) .....	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	198	200	214
1900 Budget authority (total) .....	354	365	442
1930 Total budgetary resources available .....	376	397	476
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	32	34	51

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	50	53
3010 Obligations incurred, unexpired accounts .....	343	363	425
3020 Outlays (gross) .....	-325	-360	-451
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	50	53	27

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-60	-105	-126
3070 Change in uncollected pymts, Fed sources, unexpired .....	-56	-21	-21
3071 Change in uncollected pymts, Fed sources, expired .....	11		
3090 Uncollected pymts, Fed sources, end of year .....	-105	-126	-147
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-27	-55	-73
3200 Obligated balance, end of year .....	-55	-73	-120

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	156	165	228
Outlays, gross:			
4010 Outlays from new discretionary authority .....	134	150	207
4011 Outlays from discretionary balances .....	17	10	17
4020 Outlays, gross (total) .....	151	160	224
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-72	-67	-122
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-21	-21	-21
4052 Offsetting collections credited to expired accounts .....	10		
4060 Additional offsets against budget authority only (total) .....	-11	-21	-21
4070 Budget authority, net (discretionary) .....	73	77	85
4080 Outlays, net (discretionary) .....	79	93	102
Mandatory:			
4090 Budget authority, gross .....	198	200	214
Outlays, gross:			
4100 Outlays from new mandatory authority .....	146	182	195
4101 Outlays from mandatory balances .....	28	18	32
4110 Outlays, gross (total) .....	174	200	227
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-153	-188	-201
4123 Non-Federal sources .....	-10	-12	-12
4130 Offsets against gross budget authority and outlays (total) .....	-163	-200	-213
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-35		
4160 Budget authority, net (mandatory) .....			1
4170 Outlays, net (mandatory) .....	11		14
4180 Budget authority, net (total) .....	73	77	86
4190 Outlays, net (total) .....	90	93	116

**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	

The mission of the Office of Inspector General (OIG) is to protect the integrity of the U.S. Department of Health and Human Services (HHS) programs and the health and welfare of the people they serve. As established by the Inspector General Act of 1978, OIG is an independent and objective organization that fights fraud, waste, and abuse and promotes efficiency, economy, and effectiveness in HHS programs and operations. OIG works to ensure that Federal dollars are used appropriately and that HHS programs well serve the people that use them. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996.

**Object Classification** (in millions of dollars)

Identification code 075-0128-0-1-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	39	40	46
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	41	42	48
12.1 Civilian personnel benefits .....	15	15	17
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	5	4	6

OFFICE OF INSPECTOR GENERAL—Continued  
Object Classification—Continued

Identification code 075-0128-0-1-551		2015 actual	2016 est.	2017 est.
25.4	Operation and maintenance of facilities .....	1	1	1
31.0	Equipment .....	3	3	3
99.0	Direct obligations .....	75	75	85
99.0	Reimbursable obligations .....	268	288	340
99.9	Total new obligations .....	343	363	425

Employment Summary

Identification code 075-0128-0-1-551		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	345	358	400
2001	Reimbursable civilian full-time equivalent employment .....	1,179	1,258	1,430

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:				
075-267410	Consumer Operated and Oriented Plan Direct Loan Program, Negative Subsidies .....	7		
075-267403	Consumer Operated and Oriented Plan Direct Loan Program, Downward Reestimate of Subsidies .....	6	3	
075-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	122	34	34
075-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified .....	34	90	90
075-310700	Federal Share of Child Support Collections .....	689	639	631
Legislative proposal, subject to PAYGO .....				6
General Fund Offsetting receipts from the public .....		858	766	761
Intragovernmental payments:				
075-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	173		
General Fund Intragovernmental payments .....		173		

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. None of the funds appropriated in this title shall be used to pay the salary of an individual, through a *discretionary* grant or other extramural mechanism, at a rate in excess of Executive Level II.

SEC. 203. None of the funds appropriated in this Act may be expended pursuant to section 241 of the PHS Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in HHS, prior to the preparation and submission of a report by the Secretary to the Committees on Appropriations of the House of Representatives and the Senate detailing the planned uses of such funds.

SEC. 204. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than [2.5] 3.0 percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) and the implementation and effectiveness of programs funded in this title.

(TRANSFER OF FUNDS)

SEC. 205. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 206. In lieu of the timeframe specified in section 338E(c)(2) of the PHS Act, terminations described in such section may occur up to 60 days after the execution of a contract awarded in fiscal year [2016] 2017 under section 338B of such Act.

SEC. 207. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. 208. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. 209. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. 210. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

SEC. 211. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. [212] 210. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year [2016] 2017:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, *construct*, lease, alter, or renovate facilities in those countries as necessary to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) *The Centers for Disease Control and Prevention may acquire, lease, construct, alter, renovate, equip, furnish, or manage facilities outside of the United States, as necessary to conduct such programs, in consultation with the Secretary of State, either directly for the use of the United States Government or for the use, pursuant to grants, direct assistance, or cooperative agreements, of public or nonprofit private institutions or agencies in participating foreign countries.*

[3]4 The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

## (TRANSFER OF FUNDS)

SEC. [213]211. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

## (TRANSFER OF FUNDS)

SEC. [214]212. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. [215]213. (a) AUTHORITY.—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds available under section 402(b)(7) or 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to such section 402(b)(7) (pertaining to the Common Fund) or research and activities described in such section 402(b)(12).

(b) PEER REVIEW.—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would otherwise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

SEC. [216]214. Not to exceed \$45,000,000 of funds appropriated by this Act to the institutes and centers of the National Institutes of Health may be used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein, at not to exceed \$3,500,000 per project.

## (TRANSFER OF FUNDS)

SEC. [217]215. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under sections 736, 739, or 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the Agency for Healthcare Research and Quality to make NRSA awards for health service research.

SEC. [218]216. In addition to amounts provided herein, payments made for research organisms or substances, authorized under section 301(a) of the PHS Act, shall be retained and credited to the appropriations accounts of the Institutes and Centers of the NIH making the substance or organism available under section 301(a). *When such substances and organisms are made available through contractors, the Director may direct such contractors to collect such payments on behalf of the NIH and to forward amounts so collected to the NIH in the time and manner specified by the Director.* Amounts credited to the account under this authority shall be available for obligation through September 30, [2017] 2018.

SEC. [219]217. (a) The Biomedical Advanced Research and Development Authority ("BARDA") may enter into a contract, for more than one but no more than 10 program years, for purchase of research services or of security countermeasures, as that term is defined in section 319F-2(c)(1)(B) of the PHS Act (42 U.S.C. 247d-6b(c)(1)(B)), if—

(1) funds are available and obligated—

(A) for the full period of the contract or for the first fiscal year in which the contract is in effect; and

(B) for the estimated costs associated with a necessary termination of the contract; and

(2) the Secretary determines that a multi-year contract will serve the best interests of the Federal Government by encouraging full and open competition or promoting economy in administration, performance, and operation of BARDA's programs.

(b) A contract entered into under this section—

(1) shall include a termination clause as described by subsection (c) of section 3903 of title 41, United States Code; and

(2) shall be subject to the congressional notice requirement stated in subsection (d) of such section.

【SEC. 220. (a) The Secretary shall establish a publicly accessible Web site to provide information regarding the uses of funds made available under section 4002 of the Patient Protection and Affordable Care Act of 2010 ("ACA").

(b) With respect to funds provided under section 4002 of the ACA, the Secretary shall include on the Web site established under subsection (a) at a minimum the following information:

(1) In the case of each transfer of funds under section 4002(c), a statement indicating the program or activity receiving funds, the operating division or office that will administer the funds, and the planned uses of the funds, to be posted not later than the day after the transfer is made.

(2) Identification (along with a link to the full text) of each funding opportunity announcement, request for proposals, or other announcement or solicitation of proposals for grants, cooperative agreements, or contracts intended to be awarded using such funds, to be posted not later than the day after the announcement or solicitation is issued.

(3) Identification of each grant, cooperative agreement, or contract with a value of \$25,000 or more awarded using such funds, including the purpose of the award and the identity of the recipient, to be posted not later than 5 days after the award is made.

(4) A report detailing the uses of all funds transferred under section 4002(c) during the fiscal year, to be posted not later than 90 days after the end of the fiscal year.

(c) With respect to awards made in fiscal years 2013 through 2016, the Secretary shall also include on the Web site established under subsection (a), semi-annual reports from each entity awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period.

(d) In carrying out this section, the Secretary shall—

(1) present the information required in subsection (b)(1) on a single webpage or on a single database;

(2) ensure that all information required in this section is directly accessible from the single webpage or database; and

(3) ensure that all information required in this section is able to be organized by program or State.】

## 【(TRANSFER OF FUNDS)】

【SEC. 221. (a) Within 45 days of enactment of this Act, the Secretary shall transfer funds appropriated under section 4002 of the ACA to the accounts specified, in the amounts specified, and for the activities specified under the heading "Prevention and Public Health Fund" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(b) Notwithstanding section 4002(c) of the ACA, the Secretary may not further transfer these amounts.

(c) Funds transferred for activities authorized under section 2821 of the PHS Act shall be made available without reference to section 2821(b) of such Act.】

【SEC. 222. (a) The Secretary shall publish in the fiscal year 2017 budget justification and on Departmental Web sites information concerning the employment of full-time equivalent Federal employees or contractors for the purposes of implementing, administering, enforcing, or otherwise carrying out the provisions of the ACA, and the amendments made by that Act, in the proposed fiscal year and each fiscal year since the enactment of the ACA.

(b) With respect to employees or contractors supported by all funds appropriated for purposes of carrying out the ACA (and the amendments made by that Act), the Secretary shall include, at a minimum, the following information:

(1) For each such fiscal year, the section of such Act under which such funds were appropriated, a statement indicating the program, project, or activity receiving such funds, the Federal operating division or office that administers such program, and the amount of funding received in discretionary or mandatory appropriations.

(2) For each such fiscal year, the number of full-time equivalent employees or contracted employees assigned to each authorized and funded provision detailed in accordance with paragraph (1).

(c) In carrying out this section, the Secretary may exclude from the report employees or contractors who—

(1) are supported through appropriations enacted in laws other than the ACA and work on programs that existed prior to the passage of the ACA;

(2) spend less than 50 percent of their time on activities funded by or newly authorized in the ACA; or

(3) work on contracts for which FTE reporting is not a requirement of their contract, such as fixed-price contracts.】

【SEC. 223. The Secretary shall publish, as part of the fiscal year 2017 budget of the President submitted under section 1105(a) of title 31, United States Code, information that details the uses of all funds used by the Centers for Medicare and Medicaid Services specifically for Health Insurance Exchanges for each fiscal year since the

enactment of the ACA and the proposed uses for such funds for fiscal year 2017. Such information shall include, for each such fiscal year, the amount of funds used for each activity specified under the heading "Health Insurance Exchange Transparency" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).】

【SEC. 224. (a) The Secretary shall provide to the Committees on Appropriations of the House of Representatives and the Senate:

(1) Detailed monthly enrollment figures from the Exchanges established under the Patient Protection and Affordable Care Act of 2010 pertaining to enrollments during the open enrollment period; and

(2) Notification of any new or competitive grant awards, including supplements, authorized under section 330 of the Public Health Service Act.

(b) The Committees on Appropriations of the House and Senate must be notified at least 2 business days in advance of any public release of enrollment information or the award of such grants.】

【SEC. 225. None of the funds made available by this Act from the Federal Hospital Insurance Trust Fund or the Federal Supplemental Medical Insurance Trust Fund, or transferred from other accounts funded by this Act to the "Centers for Medicare and Medicaid Services—Program Management" account, may be used for payments under section 1342(b)(1) of Public Law 111–148 (relating to risk corridors).】

【SEC. 226. In addition to the amounts otherwise available for "Centers for Medicare and Medicaid Services, Program Management", the Secretary of Health and Human Services may transfer up to \$305,000,000 to such account from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to support program management activity related to the Medicare Program: *Provided*, That except for the foregoing purpose, such funds may not be used to support any provision of Public Law 111–148 or Public Law 111–152 (or any amendment made by either such Public Law) or to supplant any other amounts within such account.】

【(RESCISSION)】

【SEC. 227. The following unobligated balances of amounts appropriated prior to fiscal year 2007 for "Department of Health and Human Services, Health Resources and Services Administration" are hereby permanently rescinded:

(1) \$281,003 appropriated to carry out section 1610(b) of the PHS Act;

(2) \$3,611 appropriated to carry out section 1602(c) of the PHS Act;

(3) \$105,576 appropriated in section 167 of division H of Public Law 108–199; and

(4) \$55,793 appropriated to carry out the National Cord Blood Stem Cell Bank Program.】

【SEC. 228. The Secretary shall include in the fiscal year 2017 budget justification an analysis of how section 2713 of the PHS Act will impact eligibility for discretionary HHS programs.】

【SEC. 229. Effective during the period beginning on November 1, 2015 and ending January 1, 2018, any provision of law that refers (including through cross-reference to another provision of law) to the current recommendations of the United States Preventive Services Task Force with respect to breast cancer screening, mammography, and prevention shall be administered by the Secretary involved as if—

(1) such reference to such current recommendations were a reference to the recommendations of such Task Force with respect to breast cancer screening, mammography, and prevention last issued before 2009; and

(2) such recommendations last issued before 2009 applied to any screening mammography modality under section 1861(jj) of the Social Security Act (42 U.S.C. 1395x(jj)).】

(TRANSFER OF FUNDS)

SEC. 【230】218. 【(a) IN GENERAL.—Subject to the succeeding provisions of this section, activities authorized under part A of title IV and section 1108(b) of the Social Security Act shall continue through September 30, 2016, in the manner authorized for fiscal year 2015, and out of any money in the Treasury of the United States not otherwise appropriated, there are hereby appropriated such sums as may be necessary for such purpose. Grants and payments may be made pursuant to this authority through September 30, 2016 at the level provided for such activities for fiscal year 2015, except as provided in subsection (b).】

【(b)】(a) CONTINGENCY FUND.—In the case of the Contingency Fund for State Welfare Programs established under section 403(b) of the Social Security Act【—】, the amount appropriated for such section 403(b) shall be \$608,000,000 for fiscal year 2018, to remain available until expended.

【(1) the amount appropriated for such section 403(b) shall be \$608,000,000 for each of fiscal years 2016 and 2017, notwithstanding section 228(b)(1) of the Department of Health and Human Services Appropriations Act, 2015;】

【(2) the requirement to reserve funds provided for in section 403(b)(2) of the Social Security Act shall not apply during fiscal years 2016 and 2017; and】

【(3) grants and payments may only be made from such Fund for fiscal year 2016 after the application of subsection (c).】

【(c)】(b) CENSUS RESEARCH AND WELFARE RESEARCH.—Of the amount made available under 【subsection (b)(1)】 section 230(b)(1) of division H of Public Law 114–113 for section 403(b) of the Social Security Act for fiscal year 【2016】 2017—

(1) \$15,000,000 is hereby transferred to the Children's Research and Technical Assistance account in the Administration for Children and Families at the Department of Health and Human Services and made available to carry out section 413(h) of the Social Security Act; and

(2) \$10,000,000 is hereby transferred and made available to the Bureau of the Census to conduct activities using the Survey of Income and Program Participation to obtain information to enable interested parties to evaluate the impact of the amendments made by title I of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

【SEC. 231. Section 1886(m)(6) of the Social Security Act (42 U.S.C. 1395ww(m)(6)) is amended—

(1) in subparagraph (A)(i) by striking "subparagraph (C)" and inserting "subparagraphs (C) and (E)"; and

(2) by adding at the end the following new subparagraph:

"(E) TEMPORARY EXCEPTION FOR CERTAIN SEVERE WOUND DISCHARGES FROM CERTAIN LONG-TERM CARE HOSPITALS.—

"(i) IN GENERAL.—In the case of a discharge occurring prior to January 1, 2017, subparagraph (A)(i) shall not apply (and payment shall be made to a long-term care hospital without regard to this paragraph) if such discharge—

"(I) is from a long-term care hospital that is—

"(aa) identified by the amendment made by section 4417(a) of the Balanced Budget Act of 1997 (42 U.S.C. 1395ww note, Public Law 105–33); and

"(bb) located in a rural area (as defined in subsection (d)(2)(D)) or treated as being so located pursuant to subsection (d)(8)(E); and

"(II) the individual discharged has a severe wound.

"(ii) SEVERE WOUND DEFINED.—In this subparagraph, the term 'severe wound' means a stage 3 wound, stage 4 wound, unstageable wound, non-healing surgical wound, infected wound, fistula, osteomyelitis, or wound with morbid obesity, as identified in the claim from the long-term care hospital."】

SEC. 219. Section 1864(e) of the Social Security Act (42 U.S.C. 1395aa(e)) is amended to read as follows—

"(e) FEES FOR CONDUCTING REVISIT SURVEYS.—The Secretary may impose fees upon facilities or entities referred to in this section for conducting revisit surveys in cases where such facilities or entities have been cited for deficiencies during initial certification, recertification, or substantiated complaint surveys. Such fees shall be established and collected in accordance with regulations prescribed by the Secretary that provide for a gradual phase-in of the fee amounts, and collected funds shall be available to supplement funding appropriated for such surveys. Fee amounts assessed upon an entity in an entity class shall not exceed the estimated average cost of performing such surveys for an entity in such class. Such fees shall be collected and available only to the extent and in such amounts as provided in advance in appropriations acts."

SEC. 220. Funds which are available for Individual Learning Accounts for employees of CDC and the Agency for Toxic Substances and Disease Registry ("ATSDR") may be transferred between appropriate accounts of CDC, to be available only for Individual Learning Accounts: *Provided*, That such funds may be used for any individual full-time equivalent employee while such employee is employed either by CDC or ATSDR.

SEC. 221. Section 1204(9) of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3796b(9)) is amended—

(1) in subparagraph (C)(ii) by striking "or";

(2) in subparagraph (D), by striking the period and inserting "; or"; and

(3) by adding at the end the following new subparagraph:

"(E) an intermittent disaster-response appointee of the National Disaster Medical System under section 2812 of the Public Health Service Act (42 U.S.C. 300hh-11) who is performing official duties of the Service, if those official duties are determined by the Secretary of Health and Human Services to be hazardous duties."

SEC. 222. In the event of a public health emergency declared under section 319 of the PHS Act, the Secretary may, during the duration of the emergency, transfer discretionary funds (as defined pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated in this Act for the current fiscal year for the Department of Health and Human Services between appropriations for costs of responding to and aiding in recovery from such public health emergency: *Provided*, That no appropriation may be reduced by more than 10 percent under this section: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate shall be promptly notified of such transfers: *Provided further*, That this transfer authority is in addition to any other transfer authority.

SEC. 223. Section 340B of the Public Health Service Act (42 U.S.C. 256b) is amended by adding at the end the following new subsection:

"(f) The Secretary may issue regulations with binding and future effect for the program authorized by this section."

SEC. 224. (a) **IN GENERAL.**—Under the conditions listed in subsection (b), the Secretary or the head of a major organizational unit within the Department may in this fiscal year enter into a reimbursable agreement with the head of another major organizational unit within the Department or of another agency under which—

(1) the head of the ordering agency or unit delegates to the head of the servicing agency or unit the authority to issue a grant or cooperative agreement on behalf of the ordering agency or unit;

(2) the servicing agency or unit will execute or manage a grant or cooperative agreement on behalf of the ordering agency or unit; and

(3) the ordering agency or unit will reimburse the servicing unit or agency for the amount of the grant or cooperative agreement and for the service of executing or managing the grant or cooperative agreement.

(b) **CONDITIONS.**—The conditions for making an agreement described in subsection (a) are that—

(1) amounts are available;

(2) the head of the ordering agency or unit decides the agreement is in the best interest of the United States Government; and

(3) the agency or unit to execute or manage the grant or cooperative agreement is able to provide that service.

(c) **PAYMENT.**—Payment shall be made promptly through the Intra-governmental Payment and Collection system at the request of the agency or unit providing the service. Payment may be in advance or on providing all or part of the service, and shall be for any part of the estimated or actual cost as determined by the agency or unit providing the service. A bill submitted or a request for payment is not subject to audit or certification in advance of payment. Proper adjustment of amounts paid in advance shall be made as agreed to by the heads of the agencies or units on the basis of the amount of the grant or cooperative agreement and the actual cost of service provided.

(d) **LIMITATIONS ON FUNDS.**—A condition or limitation applicable to amounts for grant or cooperative agreements of the ordering agency or unit applies to an agreement made under this section and to a grant or cooperative agreement made under such agreement.

(e) **OBLIGATION OF APPROPRIATIONS.**—An agreement made under this section obligates an appropriation of the ordering agency or unit. The amount obligated is deobligated to the extent that the agency or unit providing the service has not incurred obligations, before the end of the period of availability of the appropriation, in—

(1) awarding the grant or cooperative agreement; or

(2) providing the agreed-on services.

(f) **NO EFFECT ON OTHER LAWS.**—This section does not affect other laws about reimbursable agreements.

SEC. 225. Notwithstanding subparagraph (B)(i) of section 303(g)(2) of the Controlled Substances Act (21 U.S.C. 823(g)(2)), the Secretary of Health and Human Services may, using amounts made available in this Act to carry out title V of the Public Health Service Act, establish and carry out a demonstration through fiscal year 2021 in which, for purposes of prescribing buprenorphine under such section 303(g)(2), the term "practitioner" shall be deemed to include non-physician providers authorized to prescribe buprenorphine by the jurisdiction in which the provider is licensed who meet such criteria as determined appropriate by the Secretary, in consultation with the Attorney General, for participation in the project. In implementing this demonstration project, the Secretary and Attorney General shall not be subject to the requirements of 5 U.S.C. 553. The Secretary may enter into grants, contracts, or cooperative agreements with one or more research institutions, and public and nonprofit entities to assist in carrying out such demonstration. In addition, amounts available for this fiscal year in other Acts to the Attorney General for carrying out section 303 of the Controlled Substances Act shall also be available to the Attorney General to facilitate and support the efficient operation of the demonstration under this section. Any authority for a provider to prescribe buprenorphine that results from participating in this demonstration project shall end no later than the date such provider ceases to participate in this demonstration. (Department of Health and Human Services Appropriations Act, 2016.)



## DEPARTMENT OF HOMELAND SECURITY

The establishment of the Department of Homeland Security (DHS) in 2002 entailed the creation of a new organization through restructuring and consolidating 22 separate agencies and programs from multiple Cabinet-level Departments and independent agencies. However, after the creation of DHS, its components maintained largely independent appropriation account structures and budgeting practices based on historical precedent and, to a lesser extent, mission need. The resulting budget structure, with more than 70 different appropriations made up of over 200 Programs, Projects, and Activities (PPAs), created significant budget formulation disparities and execution inconsistencies. To alleviate these challenges, the President's Fiscal Year 2017 Budget proposes a common appropriations structure for all DHS components except U.S. Coast Guard. The Congress has expressed support for such a common account structure, as reflected in the Consolidated Appropriations Act, 2016 (P.L. 114–113). New discretionary appropriations accounts are as follows: Operations and Support; Procurement, Construction, and Improvements; Research and Development; and Federal Assistance. Within each appropriation, the Budget also aligns DHS's PPA structure with DHS mission areas, a change that will encourage consistency and alignment between resource decisions and frontline mission needs.

### DEPARTMENTAL MANAGEMENT AND OPERATIONS

#### Federal Funds

#### 【DEPARTMENTAL OPERATIONS】 OPERATIONS AND SUPPORT

##### OFFICE OF THE SECRETARY AND EXECUTIVE MANAGEMENT

For necessary expenses of the Office of the Secretary of Homeland Security for operations and support, as authorized by section 102 of the Homeland Security Act of 2002 (6 U.S.C. 112), and executive management of the Department of Homeland Security, as authorized by law, 【\$137,466,000】 \$136,451,000: *Provided*, That not to exceed \$45,000 shall be for official reception and representation expenses: *Provided further*, That all official costs associated with the use of government aircraft by Department of Homeland Security personnel to support official travel of the Secretary and the Deputy Secretary shall be paid from amounts made available for the Immediate Office of the Secretary and the Immediate Office of the Deputy Secretary 【: *Provided further*, That not later than 30 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on the Judiciary of the Senate and the House of Representatives, the Committee on Homeland Security and Governmental Affairs of the Senate, and the Committee on Homeland Security of the House of Representatives, the comprehensive plan for implementation of the biometric entry and exit data system as required under this heading in Public Law 114–4 and a report on visa overstay data by country as required by section 1376 of title 8, United States Code: *Provided further*, That the report on visa overstay data shall also include—(1) overstays from all nonimmigrant visa categories under the immigration laws, delineated by each of the classes and sub-classes of such categories; and (2) numbers as well as rates of overstays for each class and sub-class of such nonimmigrant categories on a per-country basis: *Provided further*, That of the funds provided under this heading, \$13,000,000 shall be withheld from obligation for the Office of the Secretary and Executive Management until both the comprehensive plan and the report are submitted】. (*Department of Homeland Security Appropriations Act, 2016.*)

##### OFFICE OF THE UNDER SECRETARY FOR MANAGEMENT

For necessary expenses of the Office of the Under Secretary for Management for operations and support, as authorized by sections 103 and 701 through 705 of the Homeland Security Act of 2002 (6 U.S.C. 113; 6 U.S.C. 341 through 345), 【\$196,810,000】\$727,771,000, of which not to exceed \$2,000 shall be for official reception and representation expenses: *Provided*, That of the total amount made available under this heading, \$102,513,000 shall be for minor procurements, construction, and improvements necessary for the operations and sustenance of Departmental facilities, of which 【\$4,456,000】\$2,931,000 shall remain available until September 30, 【2017】2018, solely for 【the alteration and improvement of facilities, tenant improvements, and relocation costs to consolidate Department headquarters operations】 such expenses at the Nebraska Avenue Complex【; and】: *Provided further*, That 【\$7,778,000】\$227,576,000 shall remain available until September 30, 【2017】2018, for development and acquisition of information technology

equipment including \$5,522,000 for the Human Resources Information Technology program and \$41,215,000 for Financial Systems Modernization: *Provided further*, that 15 days after the Committees on Appropriations of the Senate and the House of Representatives are notified, funds for Financial Systems Modernization may be transferred by the Secretary of Homeland Security between appropriations for the same purpose, notwithstanding section 503 of this Act: *Provided further*, That the Under Secretary for Management shall include in the President's budget proposal for fiscal year 【2017】2018, submitted pursuant to section 1105(a) of title 31, United States Code, a Comprehensive Acquisition Status Report, which shall include the information required under the heading "Office of the Under Secretary for Management" under title I of division D of the Consolidated Appropriations Act, 2012 (Public Law 112–74), and shall submit quarterly updates to such report not later than 45 days after the completion of each quarter. (*Department of Homeland Security Appropriations Act, 2016.*)

#### 【OFFICE OF THE CHIEF FINANCIAL OFFICER】

【For necessary expenses of the Office of the Chief Financial Officer, as authorized by section 103 of the Homeland Security Act of 2002 (6 U.S.C. 113), \$56,420,000: *Provided*, That the Secretary of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives, at the time the President's budget proposal for fiscal year 2017 is submitted pursuant to section 1105(a) of title 31, United States Code, the Future Years Homeland Security Program, as authorized by section 874 of Public Law 107–296 (6 U.S.C. 454).】 (*Department of Homeland Security Appropriations Act, 2016.*)

#### ANALYSIS AND OPERATIONS

For necessary expenses for intelligence analysis and operations coordination activities, as authorized by title 2 of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$265,719,000; of which not to exceed \$3,825 shall be for official reception and representation expenses; and of which \$110,091,000 shall remain available until September 30, 2018.

#### Program and Financing (in millions of dollars)

Identification code 070–0100–0–1–751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Offices of the Secretary and Executive Management .....	133	137	.....
0003 Under Secretary for Management .....	177	185	.....
0004 DHS Headquarters (Nebraska Avenue Complex) .....	4	4	.....
0005 Human Resources Information Technology .....	10	8	.....
0006 Chief Financial Officer .....	74	109	.....
0008 DHS HQ Consolidation .....	47	216	.....
0009 CAS - Offices of the Secretary and Executive Management .....	.....	.....	136
0010 CAS - Under Secretary for Management .....	.....	.....	728
0011 CAS - Analysis and Operations .....	.....	.....	266
0100 Subtotal, Direct Programs .....	445	659	1,130
0799 Total direct obligations .....	445	659	1,130
0881 Departmental Operations (Reimbursable) .....	36	16	.....
0882 CAS - OSEM O&S Reimbursable program activity .....	.....	.....	12
0883 CAS - USM O&S Reimbursable program activity .....	.....	.....	41
0884 CAS - A&O O&S Reimbursable program activity .....	.....	.....	4
0889 Reimbursable program activities, subtotal .....	36	16	57
0899 Total reimbursable obligations .....	36	16	57
0900 Total new obligations .....	481	675	1,187
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	26	25
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	16	26	25
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation - OSEM .....	133	137	.....
1100 Appropriation - CFO .....	86	109	.....
1100 Appropriation - USM .....	188	197	.....
1100 Appropriation - DHS HQ Consolidation .....	49	216	.....
1100 Appropriation - CAS OSEM .....	.....	.....	136
1100 Appropriation - CAS USM .....	.....	.....	728
1100 Appropriation - CAS A&O .....	.....	.....	266
1121 Appropriations transferred from other acct [070–0115] .....	2	.....	.....
1130 Appropriations permanently reduced .....	-1	.....	.....

DEPARTMENTAL OPERATIONS—Continued  
Program and Financing—Continued

Identification code 070-0100-0-1-751	2015 actual	2016 est.	2017 est.
1160 Appropriation, discretionary (total) .....	457	659	1,130
Spending authority from offsetting collections, discretionary:			
1700 Collected - Legacy .....	17	15	7
1700 Collected - CAS - OSEM O&S .....			23
1700 Collected - CAS - USM O&S .....			2
1700 Collected - CAS - A&O O&S .....			
1701 Change in uncollected payments, Federal sources .....	19		
1750 Spending auth from offsetting collections, disc (total) .....	36	15	32
1900 Budget authority (total) .....	493	674	1,162
1930 Total budgetary resources available .....	509	700	1,187
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	26	25	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	341	356	552
3010 Obligations incurred, unexpired accounts .....	481	675	1,187
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-443	-479	-463
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-23		
3050 Unpaid obligations, end of year .....	356	552	1,276
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-33	-32	-32
3070 Change in uncollected pymts, Fed sources, unexpired .....	-19		
3071 Change in uncollected pymts, Fed sources, expired .....	20		
3090 Uncollected pymts, Fed sources, end of year .....	-32	-32	-32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	308	324	520
3200 Obligated balance, end of year .....	324	520	1,244
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	493	674	1,162
Outlays, gross:			
4010 Outlays from new discretionary authority .....	297	141	325
4011 Outlays from discretionary balances .....	146	338	138
4020 Outlays, gross (total) .....	443	479	463
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-35	-15	-32
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-37	-15	-32
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-19		
4052 Offsetting collections credited to expired accounts .....	20		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	457	659	1,130
4080 Outlays, net (discretionary) .....	406	464	431
4180 Budget authority, net (total) .....	457	659	1,130
4190 Outlays, net (total) .....	406	464	431

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administration costs. The 2017 President's Budget proposes the Congress rename the Departmental Operations account as the Operations and Support Account.

*Offices of the Secretary and Executive Management:* Directs and leads management of the Department and provides policy guidance to operating bureaus within the organization; plans and executes departmental strategies to accomplish agency objectives; provides leadership to the Department and includes the following offices: the Office of the Secretary; the Office of the Deputy Secretary; the Office of the Chief of Staff; the Office of Policy; the Office of the Executive Secretary; the Office of Public Affairs; the Office of Legislative Affairs; the Office of the General Counsel; the Office for Civil Rights and Civil Liberties; the Office of the Citizenship and Immigration Services Ombudsman; the Privacy Officer; and the Office of Partnership and Engagement.

*Under Secretary for Management:* Provides enterprise leadership and management and business administration services. These can include fin-

ancial management, acquisition oversight, workforce management, physical and personnel security requirements, administrative supplies and services, non-programmatic information technology (IT), day-to-day management of headquarters-related property and assets, daily communication costs, and other general day-to-day management and administration. The Under Secretary for Management includes the following offices: Immediate Office of the Under Secretary for Management, Office of the Chief Readiness Support Officer, Office of the Chief Human Capital Officer, Office of the Chief Procurement Officer, Office of the Chief Financial Officer, Office of the Chief Information Officer, and Office of the Chief Security Officer.

*Analysis and Operations (A&O):* Provides resources supporting the Office of Intelligence and Analysis (I&A) and the Office of Operations Coordination (OPS). This funding includes both National Intelligence Program (NIP) and non-NIP resources. Even though these two offices are different and distinct in their missions, they work closely together and collaborate with other departmental component agencies and related federal agencies, as well as state, local, tribal, foreign, and private-sector partners, to improve intelligence analysis, information sharing, incident management support, and situational awareness.

I&A's mission is to equip the Homeland Security Enterprise with the timely intelligence and information it needs to keep the homeland safe, secure, and resilient. I&A is the interface between the Intelligence Community (IC) and federal, state, local, and private sector homeland security partners, providing strategic analyses, warning, and actionable intelligence, ensuring departmental leadership, components, law enforcement, and IC partners have the tools they need to confront and disrupt terrorist threats. I&A's unique mission within the IC blends national intelligence with DHS Component and other stakeholder source data, providing homeland security-centric analysis. The Under Secretary for Intelligence and Analysis leads I&A and is the Department's Chief Intelligence Officer responsible for overseeing the DHS Intelligence Enterprise. The Under Secretary is also responsible for implementing the National Strategy on Information Sharing across the Department.

The mission of OPS is to provide operations coordination, information sharing, situational awareness, the common operating picture, and Department continuity, enabling execution of the Secretary's responsibilities across the homeland security enterprise. OPS plays a pivotal role in the DHS mission to lead the unified national effort to secure America by facilitating the Secretary's responsibilities across the full spectrum of incident management efforts (i.e., prevention, protection, response and recovery). OPS provides situational awareness, assessments, and operations coordination for the DHS Secretary and facilitates operational information sharing with all DHS components, as well as for Federal, state, local, tribal, private sector, and international partners. OPS supports the DHS mission to lead the national unified effort to secure America by maintaining the National Operations Center (NOC) and by providing 24/7 multi-agency organization, fusing law enforcement, national intelligence, emergency response, and private sector reporting. The NOC is the primary national-level hub for domestic incident management, operations coordination, and situational awareness.

Object Classification (in millions of dollars)

Identification code 070-0100-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	148	172	355
11.3 Other than full-time permanent .....	8	6	8
11.5 Other personnel compensation .....	2	2	5
11.8 Special personal services payments .....	1	1	5
11.9 Total personnel compensation .....	159	181	373
12.1 Civilian personnel benefits .....	46	56	119
21.0 Travel and transportation of persons .....	4	5	9
23.1 Rental payments to GSA .....	31	30	36
25.1 Advisory and assistance services .....	53	87	201
25.2 Other services from non-Federal sources .....	30	11	17
25.3 Other goods and services from Federal sources .....	106	281	247
25.4 Operation and maintenance of facilities .....		2	6

25.7	Operation and maintenance of equipment .....	2	2	108
26.0	Supplies and materials .....	1	2	4
31.0	Equipment .....	1	1	10
32.0	Land and structures .....	12	1	.....
99.0	Direct obligations .....	445	659	1,130
99.0	Reimbursable obligations .....	36	16	57
99.9	Total new obligations .....	481	675	1,187

**Employment Summary**

Identification code 070-0100-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,332	1,659	3,238
2001 Reimbursable civilian full-time equivalent employment .....	70	58	65

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the Office of the Under Secretary for Management for procurement, construction, and improvement, as authorized by sections 103 and 701 through 705 of the Homeland Security Act of 2002 (6 U.S.C. 113; 6 U.S.C. 341 through 345), \$144,789,000: Provided, That of the total amount made available under this heading, \$125,950,000 shall remain available until expended for necessary expenses to plan, acquire, construct, renovate, remediate, equip, furnish, and occupy buildings and facilities for the consolidation of department headquarters at St. Elizabeths; and \$18,839,000 shall remain available until September 30, 2018, for development and acquisition of information technology equipment, software, services, and related activities for the Department of Homeland Security including \$4,079,000 for the Human Resources Information Technology program.

**Program and Financing** (in millions of dollars)

Identification code 070-0406-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 CAS - Procurement, Construction, and Improvements .....			145
0881 Reimbursable program activity .....			23
0889 Reimbursable program activities, subtotal .....			23
0900 Total new obligations .....			168
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			145
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			23
1900 Budget authority (total) .....			168
1930 Total budgetary resources available .....			168
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			168
3020 Outlays (gross) .....			-95
3050 Unpaid obligations, end of year .....			73
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			73
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			168
Outlays, gross:			
4010 Outlays from new discretionary authority .....			95
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....			-23
4180 Budget authority, net (total) .....			145
4190 Outlays, net (total) .....			72

The Under Secretary for Management's Procurement, Construction, and Improvements (PC&I) appropriation provides support necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. Information Technology (IT) included in the PC&I account provides useful software and hardware in an operational environment, including non-tangible assets. Construction costs in-

clude the Headquarters Consolidation, which provides funds necessary for the planning, operational development, and engineering prior to sustainment.

**Object Classification** (in millions of dollars)

Identification code 070-0406-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			19
25.3 Other goods and services from Federal sources .....			126
99.0 Direct obligations .....			145
99.0 Reimbursable obligations .....			23
99.9 Total new obligations .....			168

**RESEARCH AND DEVELOPMENT**

For necessary expenses of the Office of the Under Secretary for Management for research and development, as authorized by sections 103 and 701 through 705 of the Homeland Security Act of 2002 (6 U.S.C. 113; 6 U.S.C. 341 through 345), and Department-wide technology investments, \$2,500,000 to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 070-0801-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			3
0700 Direct program activities, subtotal .....			3
0900 Total new obligations (object class 25.5) .....			3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3
1930 Total budgetary resources available .....			3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3
3020 Outlays (gross) .....			-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3
Outlays, gross:			
4010 Outlays from new discretionary authority .....			3
4180 Budget authority, net (total) .....			3
4190 Outlays, net (total) .....			3

The Office of the Under Secretary for Management's Research and Development (R&D) account provides funding for rapid "proof of concept" prototype applications, technical demonstrations, planning, and development of emerging technologies that can be used to support Department of Homeland Security mission needs. All funding within the USM R&D account is oriented towards the Office of the Chief Information Officer (OCIO).

**[OFFICE OF THE CHIEF INFORMATION OFFICER]**

[For necessary expenses of the Office of the Chief Information Officer, as authorized by section 103 of the Homeland Security Act of 2002 (6 U.S.C. 113), and Department-wide technology investments, \$309,976,000; of which \$109,957,000 shall be available for salaries and expenses; and of which \$200,019,000, to remain available until September 30, 2017, shall be available for development and acquisition of information technology equipment, software, services, and related activities for the Department of Homeland Security.] (Department of Homeland Security Appropriations Act, 2016.)

OFFICE OF THE CHIEF INFORMATION OFFICER—Continued  
**Program and Financing** (in millions of dollars)

Identification code 070-0102-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	98	109	.....
0002 Information Technology Services .....	85	91	.....
0003 Infrastructure Security Activities .....	84	54	.....
0005 Homeland Secure Data Network .....	74	55	.....
0006 Spectrum Relocation Fund .....	4	.....	.....
0007 DHS Cyber Funds .....	.....	100	.....
0100 Subtotal, Direct Programs .....	345	409	.....
0799 Total direct obligations .....	345	409	.....
0881 Office of the Chief Information Officer (Reimbursable) .....	194	28	.....
0889 Reimbursable program activities, subtotal .....	194	28	.....
0900 Total new obligations .....	539	437	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	83	322	637
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	83	.....	.....
1011 Unobligated balance transfer from other acct [070-0551] .....	5	.....	.....
1011 Unobligated balance transfer from other acct [070-0540] .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	8	.....	.....
1050 Unobligated balance (total) .....	97	322	637
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	288	409	.....
1121 Appropriations transferred from other acct [070-0115] .....	2	.....	.....
1121 Appropriations transferred from other acct [070-0530] .....	20	.....	.....
1160 Appropriation, discretionary (total) .....	310	409	.....
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	275	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	123	343	.....
1701 Change in uncollected payments, Federal sources .....	62	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	185	343	.....
1900 Budget authority (total) .....	770	752	.....
1930 Total budgetary resources available .....	867	1,074	637
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6	.....	.....
1941 Unexpired unobligated balance, end of year .....	322	637	637
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	541	558	395
3010 Obligations incurred, unexpired accounts .....	539	437	.....
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-508	-600	-388
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	558	395	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-321	-266	-266
3070 Change in uncollected pymts, Fed sources, unexpired .....	-62	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	117	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-266	-266	-266
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	220	292	129
3200 Obligated balance, end of year .....	292	129	-259
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	495	752	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	189	302	.....
4011 Outlays from discretionary balances .....	319	285	353
4020 Outlays, gross (total) .....	508	587	353
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-236	-343	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-62	.....	.....
4052 Offsetting collections credited to expired accounts .....	113	.....	.....
4060 Additional offsets against budget authority only (total) .....	51	.....	.....
4070 Budget authority, net (discretionary) .....	310	409	.....

4080 Outlays, net (discretionary) .....	272	244	353
Mandatory:			
4090 Budget authority, gross .....	275	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	.....	13	35
4180 Budget authority, net (total) .....	585	409	.....
4190 Outlays, net (total) .....	272	257	388

**Object Classification** (in millions of dollars)

Identification code 070-0102-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	30	49	.....
11.8 Special personal services payments .....	2	.....	.....
11.9 Total personnel compensation .....	32	49	.....
12.1 Civilian personnel benefits .....	9	16	.....
21.0 Travel and transportation of persons .....	1	.....	.....
23.1 Rental payments to GSA .....	8	9	.....
25.1 Advisory and assistance services .....	89	148	.....
25.2 Other services from non-Federal sources .....	2	2	.....
25.3 Other goods and services from Federal sources .....	128	40	.....
25.4 Operation and maintenance of facilities .....	6	1	.....
25.7 Operation and maintenance of equipment .....	64	125	.....
31.0 Equipment .....	6	19	.....
99.0 Direct obligations .....	345	409	.....
99.0 Reimbursable obligations .....	194	28	.....
99.9 Total new obligations .....	539	437	.....

**Employment Summary**

Identification code 070-0102-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	243	382	.....
2001 Reimbursable civilian full-time equivalent employment .....	7	.....	.....

WORKING CAPITAL FUND

**Program and Financing** (in millions of dollars)

Identification code 070-4640-0-4-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund (Reimbursable) .....	826	778	535
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	122	82	82
1021 Recoveries of prior year unpaid obligations .....	28	.....	.....
1050 Unobligated balance (total) .....	150	82	82
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	625	675	465
1701 Change in uncollected payments, Federal sources .....	133	103	70
1750 Spending auth from offsetting collections, disc (total) .....	758	778	535
1900 Budget authority (total) .....	758	778	535
1930 Total budgetary resources available .....	908	860	617
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	82	82	82
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	385	492	297
3010 Obligations incurred, unexpired accounts .....	826	778	535
3020 Outlays (gross) .....	-691	-973	-620
3040 Recoveries of prior year unpaid obligations, unexpired .....	-28	.....	.....
3050 Unpaid obligations, end of year .....	492	297	212
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-440	-573	-676
3070 Change in uncollected pymts, Fed sources, unexpired .....	-133	-103	-70
3090 Uncollected pymts, Fed sources, end of year .....	-573	-676	-746
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-55	-81	-379
3200 Obligated balance, end of year .....	-81	-379	-534

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	758	778	535
Outlays, gross:				
4010	Outlays from new discretionary authority .....	463	506	348
4011	Outlays from discretionary balances .....	228	467	272
4020	Outlays, gross (total) .....	691	973	620
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-625	-675	-465
4040	Offsets against gross budget authority and outlays (total) ....	-625	-675	-465
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-133	-103	-70
4080	Outlays, net (discretionary) .....	66	298	155
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	66	298	155

The Department of Homeland Security Working Capital Fund serves as an effective centralized reimbursable mechanism for enterprise wide services that are performed more advantageously and economically at the Departmental level. The Working Capital Fund promotes economy, efficiency, accountability, and best practices from the public and private sectors for improving organizational performance, operational efficiencies, and ensuring full cost recovery of goods and services for selected DHS agency-wide programs, activities, and services. The Department of Homeland Security Working Capital Fund was authorized in the Department of Homeland Security Appropriations Act, 2004.

**Object Classification** (in millions of dollars)

Identification code 070-4640-0-4-751	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	61	75	18
12.1	Civilian personnel benefits .....	18	22	7
23.1	Rental payments to GSA .....	138	131	129
23.3	Communications, utilities, and miscellaneous charges .....	30	22	24
25.1	Advisory and assistance services .....	53	34	56
25.2	Other services from non-Federal sources .....	120	82	103
25.3	Other goods and services from Federal sources .....	80	131	88
25.7	Operation and maintenance of equipment .....	295	273	103
26.0	Supplies and materials .....	2	3	2
31.0	Equipment .....	29	5	5
99.9	Total new obligations .....	826	778	535

**Employment Summary**

Identification code 070-4640-0-4-751	2015 actual	2016 est.	2017 est.	
2001	Reimbursable civilian full-time equivalent employment .....	826	529	177

**[ ANALYSIS AND OPERATIONS ]**

For necessary expenses for intelligence analysis and operations coordination activities, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$264,714,000; of which not to exceed \$3,825 shall be for official reception and representation expenses; of which not to exceed \$2,000,000 is available for facility needs associated with secure space at fusion centers, including improvements to buildings; and of which \$111,021,000 shall remain available until September 30, 2017. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0115-0-1-751	2015 actual	2016 est.	2017 est.	
Obligations by program activity:				
0001	Analysis and Operations .....	251	265	
0801	Analysis and Operations (Reimbursable) .....	11	6	
0900	Total new obligations .....	262	271	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	5	5
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	6	5	5

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	256	265	
1120	Appropriations transferred to other acct (070-0102) .....	-2		
1120	Appropriations transferred to other acct (070-0100) .....	-2		
1131	Unobligated balance of appropriations permanently reduced .....		-4	
1160	Appropriation, discretionary (total) .....	252	261	
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	6	6	
1701	Change in uncollected payments, Federal sources .....	4	4	
1750	Spending auth from offsetting collections, disc (total) .....	10	10	
1900	Budget authority (total) .....	262	271	
1930	Total budgetary resources available .....	268	276	5
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	5	5	5

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	166	143	143
3010	Obligations incurred, unexpired accounts .....	262	271	
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-270	-271	-81
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-16		
3050	Unpaid obligations, end of year .....	143	143	62
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-9	-13
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	-4	
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-9	-13	-13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	160	134	130
3200	Obligated balance, end of year .....	134	130	49

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	262	271	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	162	135	
4011	Outlays from discretionary balances .....	108	136	81
4020	Outlays, gross (total) .....	270	271	81
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-7	-6	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	-4	
4052	Offsetting collections credited to expired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	-3	-4	
4070	Budget authority, net (discretionary) .....	252	261	
4080	Outlays, net (discretionary) .....	263	265	81
4180	Budget authority, net (total) .....	252	261	
4190	Outlays, net (total) .....	263	265	81

**Object Classification** (in millions of dollars)

Identification code 070-0115-0-1-751	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	80	88	
11.5	Other personnel compensation .....	3	3	
11.8	Special personal services payments .....	2	4	
11.9	Total personnel compensation .....	85	95	
12.1	Civilian personnel benefits .....	25	25	
21.0	Travel and transportation of persons .....	3	3	
23.1	Rental payments to GSA .....	9	9	
25.1	Advisory and assistance services .....	81	78	
25.3	Other goods and services from Federal sources .....	44	42	
25.7	Operation and maintenance of equipment .....	2	6	
26.0	Supplies and materials .....	1	1	
31.0	Equipment .....	1	6	
99.0	Direct obligations .....	251	265	
99.0	Reimbursable obligations .....	11	6	
99.9	Total new obligations .....	262	271	

ANALYSIS AND OPERATIONS—Continued  
**Employment Summary**

Identification code 070-0115-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	751	791	.....
2001 Reimbursable civilian full-time equivalent employment .....	13	10	.....

**Trust Funds**

GIFTS AND DONATIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-8244-0-7-453	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Donations .....	228		
2000 Total: Balances and receipts .....	228		
Appropriations:			
Current law:			
2101 Gifts and Donations .....	-228		
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 070-8244-0-7-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	228		
0900 Total new obligations (object class 11.8) .....	228		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	228		
1930 Total budgetary resources available .....	231	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		216	205
3010 Obligations incurred, unexpired accounts .....	228		
3020 Outlays (gross) .....	-12	-11	-22
3050 Unpaid obligations, end of year .....	216	205	183
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		216	205
3200 Obligated balance, end of year .....	216	205	183
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	228		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12		
4011 Outlays from discretionary balances .....		11	22
4020 Outlays, gross (total) .....	12	11	22
4180 Budget authority, net (total) .....	228		
4190 Outlays, net (total) .....	12	11	22

This account represents contributions to the Department from private sources and through the "Heroes" semi-postal stamp program, and includes bequests and gifts from the estate of Cora Brown given to the Federal Emergency Management Agency.

**OFFICE OF THE INSPECTOR GENERAL**  
*Federal Funds*

**[OPERATING EXPENSES] OPERATIONS AND SUPPORT**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$137,488,000;] \$157,144,000**, of which not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0200-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Inspections and Investigations .....	141	161	181
0801 Operating Expenses (Reimbursable) .....	14	18	18
0900 Total new obligations .....	155	179	199
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	14	14
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	5		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	7	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	119	137	157
1121 Appropriations transferred from other acct [070-0702] .....	24	24	24
1160 Appropriation, discretionary (total) .....	143	161	181
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	6		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	18	18
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	13	18	18
1900 Budget authority (total) .....	162	179	199
1930 Total budgetary resources available .....	169	193	213
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	33	34
3010 Obligations incurred, unexpired accounts .....	155	179	199
3020 Outlays (gross) .....	-145	-178	-198
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	33	34	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	21	22
3200 Obligated balance, end of year .....	21	22	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	156	179	199
Outlays, gross:			
4010 Outlays from new discretionary authority .....	132	146	163
4011 Outlays from discretionary balances .....	13	30	33
4020 Outlays, gross (total) .....	145	176	196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	143	161	181
4080 Outlays, net (discretionary) .....	135	158	178
Mandatory:			
4090 Budget authority, gross .....	6		
Outlays, gross:			
4101 Outlays from mandatory balances .....		2	2
4180 Budget authority, net (total) .....	149	161	181

4190 Outlays, net (total) .....	135	160	180
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The Operations and Support funds necessary for the operations, mission support and associated management and administration costs required for the Office of Inspector General (OIG) to conduct and supervise audits, inspections, and investigations relating to the programs and operations of the Department to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in such programs and operations. The Budget reflects resources that will enable the OIG to perform its oversight responsibilities, as well as assist DHS in achieving its goal of "organizational excellence." The resources requested will increase the number of reports that the OIG is able to produce and its ability to provide a greater number departmental managers with recommendations to ensure that their program/activities are operating in the most economical, efficient, and effective manner possible. In addition, the resources will enable the OIG to conduct new audits annually, including in-house grant audits of state grantees and local government sub-grantees; adequately staff existing investigative field offices; address major information technology issues facing the Department in the various stages of development and implementation; and continue the prominent operations of the OIG's Emergency Management Oversight office.

**Object Classification** (in millions of dollars)

Identification code 070-0200-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	69	79	89
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	6	6	6
11.9 Total personnel compensation .....	76	86	97
12.1 Civilian personnel benefits .....	29	33	38
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	14	14	14
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	4	2	1
25.2 Other services from non-Federal sources .....	1	7	8
25.3 Other goods and services from Federal sources .....	7	7	9
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	4	6
99.0 Direct obligations .....	141	161	181
99.0 Reimbursable obligations .....	14	18	18
99.9 Total new obligations .....	155	179	199

**Employment Summary**

Identification code 070-0200-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	650	796	873

**U.S. CUSTOMS AND BORDER PROTECTION**

*Federal Funds*

U.S. CUSTOMS AND BORDER PROTECTION

**[SALARIES AND EXPENSES] OPERATIONS AND SUPPORT**

For necessary expenses for enforcement of laws relating to border security, immigration, customs, agricultural inspections and regulatory activities related to plant and animal imports, the provision of air and marine support to Federal, State, and local agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; and, at the discretion of the Secretary of Homeland Security, the provision of air and marine assistance to Federal, State, and local agencies in other law enforcement and emergency humanitarian efforts, and transportation of unaccompanied minor aliens; purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; minor procurement, construction, and improvements expenses, including but not limited to expenses related to procurements to buy, maintain, or operate aircraft and unmanned aircraft systems; and contracting with individuals for personal services abroad; **[\$8,628,902,000] \$11,333,352,000**; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee

pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which **[\$30,000,000] \$1,362,683,000** shall be available until September 30, **[2017, solely for the purpose of recruiting, hiring, training, and equipping law enforcement officers and Border Patrol agents] 2019**; of which not to exceed \$34,425 shall be for official reception and representation expenses; of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(f)(3)), shall be derived from that account; of which not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations; and of which not to exceed \$1,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security: **[Provided, That of the amounts made available under this heading for Inspection and Detection Technology Investments, \$18,500,000 shall remain available until September 30, 2018:] Provided further, That for fiscal year [2016] 2017, the overtime limitation prescribed in section 5(c)(1) of the Act of February 13, 1911 (19 U.S.C. 267(c)(1)) shall be \$35,000; and notwithstanding any other provision of law, none of the funds appropriated by this Act shall be available to compensate any employee of U.S. Customs and Border Protection for overtime, from whatever source, in an amount that exceeds such limitation, except in individual cases determined by the Secretary of Homeland Security, or the designee of the Secretary, to be necessary for national security purposes, to prevent excessive costs, or in cases of immigration emergencies: [Provided further, That the Border Patrol shall maintain an active duty presence of not less than 21,370 full-time equivalent agents protecting the borders of the United States in the fiscal year] Provided further, That funding made available under this heading shall be available for air and marine related customs expenses when necessary to maintain or to temporarily increase operations in Puerto Rico: Provided further, That, at any point after January 1, 2017, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of unaccompanied children transferred to the custody of the Secretary of Health and Human Services for the current fiscal year exceeds the number transferred through the comparable date in the previous fiscal year, an additional \$5,750,000 shall be available under this heading: Provided further, That, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of such children transferred exceeds 110 percent of the number transferred through the comparable date, \$5,750,000 shall be available in addition to the amount made available in the previous proviso: Provided further, That, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of such children transferred exceeds 120 percent of the number transferred through the comparable date, \$5,750,000 shall be available in addition to the amounts made available in the previous two provisos: Provided further, That, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of such children transferred exceeds 130 percent of the number transferred through the comparable date, \$5,750,000 shall be available in addition to the amounts made available in the previous three provisos: Provided further, That amounts made available in any of the previous four provisos may be transferred to any other appropriation: Provided further, That the transfer authority in the previous proviso is in addition to any other transfer authority provided by law.**

For an additional amount, \$38,599,000, to remain available until expended, to be reduced by amounts collected and credited to this appropriation from amounts authorized to be collected by section 286(i) of the Immigration and Nationality Act (8 U.S.C. 1356(i)), section 10412 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8311), and section 817 of the Trade Facilitation and Trade Enforcement Act of 2015 or other such authorizing legislation: **Provided, That to the extent that amounts realized from such collections exceed \$38,599,000, those amounts in excess of \$38,599,000 shall be credited to this appropriation, to remain available until expended: Provided, That the authority provided in this paragraph is contingent upon enactment of applicable authorizing legislation. (Department of Homeland Security Appropriations Act, 2016.)**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-0530-0-1-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	943	4,908	9,034
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Immigration User Fee .....	815	835	860
1120 Land Border Inspection Fee .....	44	35	47
1120 Immigrant Enforcement Account .....	1	1	1
1120 Customs Conveyance, Passenger, and Other Fees .....	223	220	220
1120 Customs Conveyance, Passenger, and Other Fees .....	17	17	17

CUSTOMS AND BORDER PROTECTION—Continued  
Special and Trust Fund Receipts—Continued

Identification code 070-0530-0-1-751	2015 actual	2016 est.	2017 est.
1120 Customs Conveyance, Passenger, and Other Fees .....		17	17
1120 Customs Conveyance, Passenger, and Other Fees .....	722	476	489
1120 US Customs User Fees Account, Merchandise Processing .....	2,375	2,509	2,652
1120 Elimination of NAFTA Certain Customs Fees Exemption .....			23
1120 Customs Fees, Inflation Adjustment .....		4	19
1120 Customs Fees, Inflation Adjustment .....			1
1120 Customs Fees, Inflation Adjustment .....			1
1130 Fees, Customs and Border Protection Services at User Fee Facilities .....	8	9	9
1199 Total current law receipts .....	3,965	4,126	4,356
Proposed:			
1220 Immigration User Fee .....			270
1220 Customs Conveyance, Passenger, and Other Fees .....			178
1220 Customs Conveyance, Passenger, and Other Fees .....			6
1220 Customs Conveyance, Passenger, and Other Fees .....			6
1220 Elimination of NAFTA Certain Customs Fees Exemption .....			86
1299 Total proposed receipts .....			546
1999 Total receipts .....	3,965	4,126	4,902
2000 Total: Balances and receipts .....	4,908	9,034	13,936
5099 Balance, end of year .....	4,908	9,034	13,936

Program and Financing (in millions of dollars)

Identification code 070-0530-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Headquarters M&A .....	1,586	1,622	
0002 Border Security, at POEs .....	4,909	5,200	
0003 Border Security, between POEs .....	3,714	3,751	
0004 Management and Administration .....			1,725
0005 Integrated Operations .....			751
0006 Securing America's Borders .....			4,588
0007 Securing and Expediting Trade and Travel .....			6,280
0799 Total direct obligations .....	10,209	10,573	13,344
0801 Reimbursable activity .....	131	150	2,038
0802 Border Security at POE .....	1,719	1,758	
0899 Total reimbursable obligations .....	1,850	1,908	2,038
0900 Total new obligations .....	12,059	12,481	15,382
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	308	355	362
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	259	90	
1010 Unobligated balance transfer to other accts [070-0531] .....	-3		
1012 Unobligated balance transfers between expired and unexpired accounts .....	13		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	328	355	362
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,081	6,117	8,674
1100 UC Contingency Fund .....			5
1101 Appropriation (Small Airports) .....	8	9	9
1101 Appropriation (MPF) .....	2,376	2,509	2,652
1101 Appropriation (COBRA FTA) .....	223	223	220
1101 Harbor Maintenance Fee .....	3	3	3
1120 Appropriations transferred to other acct [070-0532] .....	-30		
1120 Appropriations transferred to other acct [070-0531] .....	-28		
1120 Appropriations transferred to other acct [070-0613] .....	-5		
1120 Appropriations transferred to other acct [070-0565] .....	-12		
1120 Appropriations transferred to other acct [070-0533] .....	-53		
1120 Appropriations transferred to other acct [070-0102] .....	-20		
1131 Unobligated balance of appropriations permanently reduced .....	-2	-1	
1160 Appropriation, discretionary (total) .....	8,541	8,860	11,563
Appropriations, mandatory:			
1201 Appropriation (Land Border) .....	44	35	47
1201 Appropriation (IUF) .....	673	653	678
1201 Appropriation (Enforcement fines) .....	1	1	1
1201 Appropriation (COBRA) .....	499	476	489
1201 Appropriation (ECCF) .....		17	17
1203 Appropriation (previously unavailable) .....	81	89	79
1221 Appropriations transferred from other acct [012-1600] .....	467	516	535
1221 Appropriations transferred from other acct [011-5512] .....	10		

1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-89	-79	
1260 Appropriations, mandatory (total) .....	1,686	1,708	1,846
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,809	1,920	2,073
1701 Change in uncollected payments, Federal sources .....	51		
1750 Spending auth from offsetting collections, disc (total) .....	1,860	1,920	2,073
1900 Budget authority (total) .....	12,087	12,488	15,482
1930 Total budgetary resources available .....	12,415	12,843	15,844
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	355	362	462
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,296	1,441	1,642
3010 Obligations incurred, unexpired accounts .....	12,059	12,481	15,382
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-11,757	-12,280	-15,824
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3041 Recoveries of prior year unpaid obligations, expired .....	-151		
3050 Unpaid obligations, end of year .....	1,441	1,642	1,200
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-126	-118	-118
3070 Change in uncollected pymts, Fed sources, unexpired .....	-51		
3071 Change in uncollected pymts, Fed sources, expired .....	59		
3090 Uncollected pymts, Fed sources, end of year .....	-118	-118	-118
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,170	1,323	1,524
3200 Obligated balance, end of year .....	1,323	1,524	1,082

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	10,401	10,780	13,636
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9,211	9,466	12,586
4011 Outlays from discretionary balances .....	1,001	1,025	1,319
4020 Outlays, gross (total) .....	10,212	10,491	13,905
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,778	-1,824	-1,944
4033 Non-Federal sources .....	-69	-69	-90
4033 Non-Federal sources .....		-27	-39
4040 Offsets against gross budget authority and outlays (total) .....	-1,847	-1,920	-2,073
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-51		
4052 Offsetting collections credited to expired accounts .....	38		
4060 Additional offsets against budget authority only (total) .....	-13		
4070 Budget authority, net (discretionary) .....	8,541	8,860	11,563
4080 Outlays, net (discretionary) .....	8,365	8,571	11,832
Mandatory:			
4090 Budget authority, gross .....	1,686	1,708	1,846
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,414	1,508	1,559
4101 Outlays from mandatory balances .....	131	281	360
4110 Outlays, gross (total) .....	1,545	1,789	1,919
4180 Budget authority, net (total) .....	10,227	10,568	13,409
4190 Outlays, net (total) .....	9,910	10,360	13,751

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	10,227	10,568	13,409
Outlays .....	9,910	10,360	13,751
Legislative proposal, subject to PAYGO:			
Budget Authority .....			454
Outlays .....			454
Total:			
Budget Authority .....	10,227	10,568	13,863
Outlays .....	9,910	10,360	14,205

U.S. Customs and Border Protection (CBP) is responsible for securing America's borders, while facilitating legitimate trade and travel. CBP is responsible for inspecting travelers at land, sea, and air ports-of-entry for immigration, customs, and agriculture compliance, as well as interdicting illegal crossers between ports-of-entry. CBP is responsible for enforcing

the laws regarding admission of foreign-born persons into the United States; identifying and apprehending aliens; and ensuring that all goods and persons entering and exiting the United States do so legally. CBP's over 60,000 highly-trained employees ensure that the agency performs its mission with vigilance, integrity, and professionalism.

Operations and Support funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

*Securing America's Borders.*—This program funds activities designed to protect the Nation through the coordinated use of Border Patrol Agents, technology, and air and marine forces to detect, interdict, and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband toward or across the borders of the United States. These activities contribute to securing America's Southwest, Northern, and Coastal borders. Through the coordinated use of operational capabilities and assets of the U.S. Border Patrol and Air and Marine Operations, CBP prevents terrorism and terrorist weapons, illegal aliens, smugglers, narcotics, and other contraband from moving across the borders of the United States.

*Securing and Expediting Trade and Travel.*—This program funds the mitigation of threats from terrorists and the prevention of contraband from entering the U.S. while facilitating the legal flow of people and trade. CBP achieves this mission by deploying CBP Officers to the ports of entry and by using a combination of technology, intelligence, risk information, targeting, and international cooperation to screen inbound international cargo and travelers and, in targeted border areas, to screen departing export cargo. Additional attention to outbound travel along areas of the Southwest border also helps prevent the exit of money and weapons for illegal purposes. CBP has extended a zone of security beyond the United State's physical borders through bilateral cooperation with other nations, private-sector partnerships, expanded targeting, and advance scrutiny of information on people and products coming into this country.

*Integrated Operations.*—This program captures the activities to establish the foundation for an integrated, all-hazards planning framework that considers routine emergencies or interruptions of border security operations including trade and travel and catastrophic events. Activities funded in the program operate at the national level and are not limited to a specific geographical area. Integrated Operations include funding for command and control, coordination, occupational health and safety, and information and situational awareness for multiple CBP mission programs.

*Management & Administration.*—This program captures activities that are standardized across DHS and provide enterprise leadership, management and/or business administration services and describes the capabilities and activities that support the day-to-day management and back office functions enabling the Department to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management, managing finances, managing agency workforce, providing physical and personnel security, acquiring goods and services, managing information technology, managing agency property and assets, managing agency communications, managing legal affairs, and providing general management and administration.

**Object Classification** (in millions of dollars)

Identification code 070-0530-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4,500	4,700	5,259
11.3 Other than full-time permanent .....	7	13	20
11.5 Other personnel compensation .....	1,229	1,112	1,275
11.9 Total personnel compensation .....	5,736	5,825	6,554
12.1 Civilian personnel benefits .....	2,474	2,658	2,972
21.0 Travel and transportation of persons .....	94	81	116
22.0 Transportation of things .....	10	6	10
23.1 Rental payments to GSA .....	515	539	549
23.2 Rental payments to others .....	30	53	52
23.3 Communications, utilities, and miscellaneous charges .....	65	99	148
24.0 Printing and reproduction .....	16	5	5
25.1 Advisory and assistance services .....	2	36	29
25.2 Other services from non-Federal sources .....	576	692	1,237
25.3 Other goods and services from Federal sources .....	119	52	165

25.4	Operation and maintenance of facilities .....	88	62	164
25.6	Medical care .....	18	2	1
25.7	Operation and maintenance of equipment .....	62	77	589
25.8	Subsistence and support of persons .....	5		
26.0	Supplies and materials .....	163	195	322
31.0	Equipment .....	229	188	424
32.0	Land and structures .....	4		4
42.0	Insurance claims and indemnities .....	3	3	3
99.0	Direct obligations .....	10,209	10,573	13,344
99.0	Reimbursable obligations .....	1,850	1,908	2,038
99.9	Total new obligations .....	12,059	12,481	15,382

**Employment Summary**

Identification code 070-0530-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	44,835	45,407	50,428
2001 Reimbursable civilian full-time equivalent employment .....	10,279	10,606	10,606

**CUSTOMS AND BORDER PROTECTION**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-0530-4-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Operations & Support .....			454
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (IUF) .....			270
1201 Appropriation (COBRA) .....			178
1201 Appropriation (ECCF) .....			6
1260 Appropriations, mandatory (total) .....			454
1930 Total budgetary resources available .....			454
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			454
3020 Outlays (gross) .....			-454
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			454
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			454
4180 Budget authority, net (total) .....			454
4190 Outlays, net (total) .....			454

**Object Classification** (in millions of dollars)

Identification code 070-0530-4-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			205
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			106
11.9 Total personnel compensation .....			312
12.1 Civilian personnel benefits .....			97
21.0 Travel and transportation of persons .....			1
22.0 Transportation of things .....			1
23.1 Rental payments to GSA .....			4
23.2 Rental payments to others .....			1
23.3 Communications, utilities, and miscellaneous charges .....			9
24.0 Printing and reproduction .....			1
25.2 Other services from non-Federal sources .....			28
99.9 Total new obligations .....			454

**Employment Summary**

Identification code 070-0530-4-1-751	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....			2,396

**【BORDER SECURITY FENCING, INFRASTRUCTURE, AND TECHNOLOGY】**

【For necessary expenses for border security fencing, infrastructure, and technology, \$447,461,000; of which \$273,931,000 shall remain available until September 30, 2017, for operations and maintenance; and of which \$173,530,000 shall remain available until September 30, 2018, for development and deployment.】 (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0533-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Development and Deployment .....	190	100	.....
0003 Operations and Maintenance .....	281	274	.....
0900 Total new obligations .....	471	374	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	353	379	430
1021 Recoveries of prior year unpaid obligations .....	72	.....	.....
1050 Unobligated balance (total) .....	425	379	430
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	382	447	.....
1121 Appropriations transferred from other acct [070-0530] ...	38	.....	.....
1121 Appropriations transferred from other acct [070-0530] ...	8	.....	.....
1121 Appropriations transferred from other acct [070-0530] ...	7	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-5	-22	.....
1160 Appropriation, discretionary (total) .....	430	425	.....
1930 Total budgetary resources available .....	855	804	430
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	379	430	430
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	515	550	455
3010 Obligations incurred, unexpired accounts .....	471	374	.....
3020 Outlays (gross) .....	-356	-469	-342
3040 Recoveries of prior year unpaid obligations, unexpired .....	-72	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-8	.....	.....
3050 Unpaid obligations, end of year .....	550	455	113
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	515	550	455
3200 Obligated balance, end of year .....	550	455	113
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	430	425	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	83	64	.....
4011 Outlays from discretionary balances .....	273	405	342
4020 Outlays, gross (total) .....	356	469	342
4180 Budget authority, net (total) .....	430	425	.....
4190 Outlays, net (total) .....	356	469	342

**Object Classification** (in millions of dollars)

Identification code 070-0533-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	2	2	.....
23.2 Rental payments to others .....	2	.....	.....
23.3 Communications, utilities, and miscellaneous charges .....	6	.....	.....
25.1 Advisory and assistance services .....	1	.....	.....
25.2 Other services from non-Federal sources .....	324	88	.....
25.3 Other goods and services from Federal sources .....	5	.....	.....
25.4 Operation and maintenance of facilities .....	16	.....	.....
25.7 Operation and maintenance of equipment .....	2	214	.....
26.0 Supplies and materials .....	3	.....	.....
31.0 Equipment .....	71	70	.....
32.0 Land and structures .....	39	.....	.....
99.9 Total new obligations .....	471	374	.....

**【AUTOMATION MODERNIZATION】**

【For necessary expenses for U.S. Customs and Border Protection for operation and improvement of automated systems, including salaries and expenses, \$829,460,000; of which \$465,732,000 shall remain available until September 30, 2018; and of which not less than \$151,184,000 shall be for the development of the Automated Commercial Environment.】 (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0531-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 COPPS .....	233	192	.....
0003 ACE .....	157	151	.....
0004 Salaries and Expenses .....	394	363	.....
0005 Automated Targeting Systems .....	102	123	.....
0799 Total direct obligations .....	886	829	.....
0801 Reimbursable activity .....	23	24	.....
0900 Total new obligations .....	909	853	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	129	124	117
1011 Unobligated balance transfer from other acct [070-0530] ...	3	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	39	.....	.....
1050 Unobligated balance (total) .....	172	124	117
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	808	829	.....
1121 Appropriations transferred from other acct [070-0530] ...	28	.....	.....
1121 Appropriations transferred from other acct [070-0544] ...	5	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	-7	.....
1160 Appropriation, discretionary (total) .....	841	822	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16	24	.....
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	22	24	.....
1900 Budget authority (total) .....	863	846	.....
1930 Total budgetary resources available .....	1,035	970	117
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	124	117	117
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	421	449	407
3010 Obligations incurred, unexpired accounts .....	909	853	.....
3011 Obligations incurred, expired accounts .....	7	.....	.....
3020 Outlays (gross) .....	-837	-895	-307
3040 Recoveries of prior year unpaid obligations, unexpired .....	-39	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-12	.....	.....
3050 Unpaid obligations, end of year .....	449	407	100
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	7	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	405	434	392
3200 Obligated balance, end of year .....	434	392	85
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	863	846	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	408	475	.....
4011 Outlays from discretionary balances .....	429	420	307
4020 Outlays, gross (total) .....	837	895	307
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-19	-24	.....
4033 Non-Federal sources .....	-4	.....	.....
4040 Offsets against gross budget authority and outlays (total) ...	-23	-24	.....

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6	.....
4052	Offsetting collections credited to expired accounts .....	7	.....
4060	Additional offsets against budget authority only (total) .....	1	.....
4070	Budget authority, net (discretionary) .....	841	822
4080	Outlays, net (discretionary) .....	814	871 307
4180	Budget authority, net (total) .....	841	822
4190	Outlays, net (total) .....	814	871 307

**Object Classification** (in millions of dollars)

Identification code 070-0531-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	113	116
11.3	Other than full-time permanent .....	2	4
11.5	Other personnel compensation .....	7	9
11.9	Total personnel compensation .....	122	129
12.1	Civilian personnel benefits .....	39	35
21.0	Travel and transportation of persons .....	5	12
22.0	Transportation of things .....		1
23.1	Rental payments to GSA .....		2
23.3	Communications, utilities, and miscellaneous charges .....	32	9
25.2	Other services from non-Federal sources .....	290	386
25.3	Other goods and services from Federal sources .....	23	28
25.7	Operation and maintenance of equipment .....	101	60
26.0	Supplies and materials .....	3	3
31.0	Equipment .....	271	164
99.0	Direct obligations .....	886	829
99.0	Reimbursable obligations .....	23	24
99.9	Total new obligations .....	909	853

**Employment Summary**

Identification code 070-0531-0-1-751	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	1,277	1,310

**[CONSTRUCTION AND FACILITIES MANAGEMENT] PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses [to plan, acquire, construct, renovate, equip, furnish, operate, manage, and maintain buildings, facilities, and related infrastructure necessary for the administration and enforcement of the laws relating to customs, immigration, and border security, \$340,128,000] for U.S. Customs and Border Protection for procurement, construction, and improvements, including but not limited to procurements to buy, maintain, or operate aircraft and unmanned aircraft systems, \$323,390,000, of which \$214,998,000, to remain available until September 30, [2020] 2019, and of which \$108,392,000, to remain available until September 30, 2021. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0532-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002	Program Oversight .....	74	85
0003	Facilities Construction and Sustainment .....	246	275
0004	Securing America's Border .....		140
0005	Securing and Expediting Trade and Travel .....		183
0900	Total new obligations .....	320	360 323
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	59	108 84
1021	Recoveries of prior year unpaid obligations .....	60	.....
1050	Unobligated balance (total) .....	119	108 84
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100	Appropriation .....	289	340 323
1121	Appropriations transferred from other acct [070-0530] ....	28	.....
1121	Appropriations transferred from other acct [070-0530] ....	2	.....
1131	Unobligated balance of appropriations permanently reduced .....	-10	-4

1160	Appropriation, discretionary (total) .....	309	336 323
1930	Total budgetary resources available .....	428	444 407
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	108	84 84

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	506	439 270
3010	Obligations incurred, unexpired accounts .....	320	360 323
3020	Outlays (gross) .....	-325	-529 -386
3040	Recoveries of prior year unpaid obligations, unexpired .....	-60	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-2	.....
3050	Unpaid obligations, end of year .....	439	270 207
<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	506	439 270
3200	Obligated balance, end of year .....	439	270 207

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000	Budget authority, gross .....	309	336 323
<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....	101	168 162
4011	Outlays from discretionary balances .....	224	361 224
4020	Outlays, gross (total) .....	325	529 386
4180	Budget authority, net (total) .....	309	336 323
4190	Outlays, net (total) .....	325	529 386

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more U.S. Customs and Border Protection (CBP) assets prior to sustainment. The funding within this account provides resources to procure, maintain, or operate aircraft and unmanned aircraft systems. Construction funding provides for critical facilities and associated infrastructure that enable CBP to accomplish its complex mission. The funding is also used for Automation Modernization activities, which strengthens information availability.

**Object Classification** (in millions of dollars)

Identification code 070-0532-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....	33	31
12.1	Civilian personnel benefits .....	11	14
21.0	Travel and transportation of persons .....	2	1
23.3	Communications, utilities, and miscellaneous charges .....	24	24 13
25.1	Advisory and assistance services .....		1
25.2	Other services from non-Federal sources .....	70	67 45
25.3	Other goods and services from Federal sources .....		3
25.4	Operation and maintenance of facilities .....	89	123
25.7	Operation and maintenance of equipment .....		26
26.0	Supplies and materials .....	2	.....
31.0	Equipment .....	11	42 195
32.0	Land and structures .....	78	58 40
99.9	Total new obligations .....	320	360 323

**Employment Summary**

Identification code 070-0532-0-1-751	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	380	380

**[AIR AND MARINE OPERATIONS]**

[For necessary expenses for the operations, maintenance, and procurement of marine vessels, aircraft, unmanned aerial systems, the Air and Marine Operations Center, and other related equipment of the air and marine program, including salaries and expenses, operational training, and mission-related travel, the operations of which include the following: the interdiction of narcotics and other goods; the provision of support to Federal, State, and local agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; and, at the discretion of the Secretary of Homeland Security, the provision of assistance to Federal, State, and local agencies in other law enforcement and emergency humanitarian efforts; \$802,298,000; of which \$300,429,000 shall be available for salaries and expenses; and of which \$501,869,000 shall remain available until September 30, 2018: Provided, That no aircraft or other related equipment, with the exception

AIR AND MARINE OPERATIONS—Continued

of aircraft that are one of a kind and have been identified as excess to U.S. Customs and Border Protection requirements and aircraft that have been damaged beyond repair, shall be transferred to any other Federal agency, department, or office outside of the Department of Homeland Security during fiscal year 2016 without prior notice to the Committees on Appropriations of the Senate and the House of Representatives: *Provided further*, That funding made available under this heading shall be available for customs expenses when necessary to maintain or to temporarily increase operations in Puerto Rico. **I** (*Department of Homeland Security Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 070-0544-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations and Maintenance .....	409	409	.....
0002 Procurement .....	100	92	.....
0003 Salaries and Expenses .....	304	301	.....
0799 Total direct obligations .....	813	802	.....
0801 Air and Marine Interdiction, Operations, Maintenance, and Procur (Reimbursable) .....	9	5	.....
0900 Total new obligations .....	822	807	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	121	76	83
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	30	.....	.....
1050 Unobligated balance (total) .....	152	76	83
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	750	802	.....
1120 Appropriations transferred to other acct [070-0531] .....	-5	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-8	.....	.....
1160 Appropriation, discretionary (total) .....	737	802	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	12	.....
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	10	12	.....
1900 Budget authority (total) .....	747	814	.....
1930 Total budgetary resources available .....	899	890	83
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	76	83	83
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	513	453	481
3010 Obligations incurred, unexpired accounts .....	822	807	.....
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-850	-779	-341
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	453	481	140
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	509	446	474
3200 Obligated balance, end of year .....	446	474	133
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	747	814	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	502	333	.....
4011 Outlays from discretionary balances .....	348	446	341
4020 Outlays, gross (total) .....	850	779	341
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-12	.....
4033 Non-Federal sources .....	-2	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-7	-12	.....

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	-3	.....	.....
4070 Budget authority, net (discretionary) .....	737	802	.....
4080 Outlays, net (discretionary) .....	843	767	341
4180 Budget authority, net (total) .....	737	802	.....
4190 Outlays, net (total) .....	843	767	341

Object Classification (in millions of dollars)

Identification code 070-0544-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	156	165	.....
11.5 Other personnel compensation .....	37	40	.....
11.9 Total personnel compensation .....	193	205	.....
12.1 Civilian personnel benefits .....	86	88	.....
21.0 Travel and transportation of persons .....	16	19	.....
22.0 Transportation of things .....	2	3	.....
23.3 Communications, utilities, and miscellaneous charges .....	7	6	.....
25.1 Advisory and assistance services .....	12	.....	.....
25.2 Other services from non-Federal sources .....	35	69	.....
25.3 Other goods and services from Federal sources .....	52	84	.....
25.7 Operation and maintenance of equipment .....	224	120	.....
26.0 Supplies and materials .....	105	116	.....
31.0 Equipment .....	81	86	.....
32.0 Land and structures .....	.....	6	.....
99.0 Direct obligations .....	813	802	.....
99.0 Reimbursable obligations .....	9	5	.....
99.9 Total new obligations .....	822	807	.....

Employment Summary

Identification code 070-0544-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,590	1,657	.....

ENHANCED INSPECTIONAL SERVICES

Program and Financing (in millions of dollars)

Identification code 070-4363-0-3-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Enhanced Inspectional Services (Reimbursable) .....	8	15	15
0900 Total new obligations (object class 25.3) .....	8	15	15
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8	15	15
1930 Total budgetary resources available .....	8	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	8	15	15
3020 Outlays (gross) .....	-8	-15	-15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	15	15
4011 Outlays from discretionary balances .....	1	.....	.....
4020 Outlays, gross (total) .....	8	15	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-8	-15	-15
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

Under Section 559 of the Consolidated Appropriations Act, 2014 (Pub. L. No. 113-76), the Commissioner of Customs and Border Protection (CBP) may approve requests from interested parties to reimburse CBP for

enhanced inspectional services. Specifically, CBP is authorized to receive reimbursement from corporations, government agencies, and other interested parties for certain inspection services in the air, land and sea environments at domestic locations. This allows CBP to provide services to requesting parties that it could not provide in the absence of reimbursement.

U.S. Customs and Border Protection (CBP) acts as Puerto Rico's sole customs service. CBP and the Homeland Security Investigation (HSI) directorate of U.S. Immigration and Customs Enforcement (ICE) also perform investigative law enforcement activities under statute, 48 U.S.C. 1469c. This secondary statute provides any U.S. government agency or instrumentality the authority to provide additional services to Puerto Rico, at the Government of Puerto Rico's behest, on a reimbursable basis. Collections in Puerto Rico, less the costs of collecting duties and taxes, are transferred to Puerto Rico's Treasury (Hacienda) to be expended as required by law for the Government of Puerto Rico.

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5687-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	7	7	7
Receipts:			
Current law:			
1110 Deposits, Duties, and Taxes, Puerto Rico .....	90	99	99
2000 Total: Balances and receipts .....	97	106	106
Appropriations:			
Current law:			
2101 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	-90	-99	-99
2103 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	-7	-7	-7
2132 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	7	7	.....
2199 Total current law appropriations .....	-90	-99	-106
2999 Total appropriations .....	-90	-99	-106
5099 Balance, end of year .....	7	7	.....

Program and Financing (in millions of dollars)

Identification code 070-5687-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Refunds, Transfers, and Expenses of Operation, Puerto Rico (Direct) .....	248	99	106
0100 Direct program activities, subtotal .....	248	99	106

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....	71	98	98
1021 Recoveries of prior year unpaid obligations .....	185	.....	.....
1050 Unobligated balance (total) .....	256	98	98
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	90	99	99
1203 Appropriation (previously unavailable) .....	7	7	7
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	-7	.....
1260 Appropriations, mandatory (total) .....	90	99	106
1930 Total budgetary resources available .....	346	197	204
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98	98	98

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1 .....	212	32	11
3010 Obligations incurred, unexpired accounts .....	248	99	106
3020 Outlays (gross) .....	-243	-120	-105
3040 Recoveries of prior year unpaid obligations, unexpired .....	-185	.....	.....
3050 Unpaid obligations, end of year .....	32	11	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	212	32	11
3200 Obligated balance, end of year .....	32	11	12

Budget authority and outlays, net:

4090 Budget authority, gross .....	90	99	106
Outlays, gross:			
4100 Outlays from new mandatory authority .....	81	90	96
4101 Outlays from mandatory balances .....	162	30	9
4110 Outlays, gross (total) .....	243	120	105
4180 Budget authority, net (total) .....	90	99	106
4190 Outlays, net (total) .....	243	120	105

Object Classification (in millions of dollars)

Identification code 070-5687-0-2-806	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	18	23	23
11.9 Total personnel compensation .....	18	23	23
12.1 Civilian personnel benefits .....	9	10	10
23.1 Rental payments to GSA .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
25.2 Other services from non-Federal sources .....	6	16	16
25.3 Other goods and services from Federal sources .....	32	39	46
25.4 Operation and maintenance of facilities .....	6	2	2
25.7 Operation and maintenance of equipment .....	2	.....	.....
26.0 Supplies and materials .....	1	.....	.....
31.0 Equipment .....	1	.....	.....
32.0 Land and structures .....	2	.....	.....
41.0 Grants, subsidies, and contributions .....	10	5	5
44.0 Refunds .....	157	.....	.....
99.9 Total new obligations .....	248	99	106

Employment Summary

Identification code 070-5687-0-2-806	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	290	292	292

PAYMENTS TO WOOL MANUFACTURERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5533-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1110 Wool Manufacturers Trust Fund .....	12	17	18
2000 Total: Balances and receipts .....	13	18	19
Appropriations:			
Current law:			
2101 Payments to Wool Manufacturers .....	-12	-17	-17
2103 Payments to Wool Manufacturers .....	-1	-1	-1
2132 Payments to Wool Manufacturers .....	1	1	.....
2199 Total current law appropriations .....	-12	-17	-18
2999 Total appropriations .....	-12	-17	-18
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 070-5533-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to Wool Manufacturers (Direct) .....	12	17	18
0900 Total new obligations (object class 44.0) .....	12	17	18

Budgetary resources:

1201 Appropriation (special or trust fund) .....	12	17	17
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	12	17	18

PAYMENTS TO WOOL MANUFACTURERS—Continued  
Program and Financing—Continued

Identification code 070-5533-0-2-376	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....	12	17	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	12	17	18
3020 Outlays (gross) .....	-11	-17	-18
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	12	17	18
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	17	18
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	11	17	18
4180 Budget authority, net (total) .....	12	17	18
4190 Outlays, net (total) .....	11	17	18

This account makes refunds pursuant to Section 5101 of the Trade Act of 2002. This section entitles U.S. manufacturers of certain wool articles to a limited refund of duties paid on imports of select wool products.

INTERNATIONAL REGISTERED TRAVELER

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5543-0-2-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1120 International Registered Traveler Program Fund .....	89	92	96
2000 Total: Balances and receipts .....	89	92	96
Appropriations:			
Current law:			
2101 International Registered Traveler .....	-89	-92	-96
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 070-5543-0-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Registered Traveler (Direct) .....	76	92	96
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	54	68	68
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	55	68	68
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	89	92	96
1930 Total budgetary resources available .....	144	160	164
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	68	68	68
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	28	41
3010 Obligations incurred, unexpired accounts .....	76	92	96
3020 Outlays (gross) .....	-69	-79	-95
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	28	41	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	28	41
3200 Obligated balance, end of year .....	28	41	42

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	89	92	96
Outlays, gross:			
4010 Outlays from new discretionary authority .....	53	46	49
4011 Outlays from discretionary balances .....	16	33	46
4020 Outlays, gross (total) .....	69	79	95
4180 Budget authority, net (total) .....	89	92	96
4190 Outlays, net (total) .....	69	79	95

The Global Entry Program is authorized under the Consolidated Appropriations Act of 2008 (P.L. 110-161) Section 565(3)(A). The Global Entry program establishes an international registered traveler program that incorporates technologies, such as biometrics and e-passports, and security threat assessments to expedite screening and processing of international passengers. All applicants must be pre-approved, and they must undergo a rigorous background check and interview before enrollment. Global Entry allows expedited clearance for pre-approved and low-risk travelers upon arrival in the United States. Though intended for frequent international travelers, there is no minimum number of trips necessary to qualify. Participants may enter the United States by using automated kiosks located at selected airports.

Object Classification (in millions of dollars)

Identification code 070-5543-0-2-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	20	11	14
12.1 Civilian personnel benefits .....	5	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	8	13	13
25.2 Other services from non-Federal sources .....	27	57	58
25.7 Operation and maintenance of equipment .....	5		
31.0 Equipment .....	7	4	4
99.9 Total new obligations .....	76	92	96

Employment Summary

Identification code 070-5543-0-2-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	134	96	96

ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5595-0-2-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	4	4	4
Receipts:			
Current law:			
1110 Electronic System for Travel Authorization (ESTA) Fees .....	56	57	58
2000 Total: Balances and receipts .....	60	61	62
Appropriations:			
Current law:			
2101 Electronic System for Travel Authorization .....	-56	-57	-58
2103 Electronic System for Travel Authorization .....	-4	-4	-4
2132 Electronic System for Travel Authorization .....	4	4	
2199 Total current law appropriations .....	-56	-57	-62
2999 Total appropriations .....	-56	-57	-62
5099 Balance, end of year .....	4	4	

Program and Financing (in millions of dollars)

Identification code 070-5595-0-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Electronic System for Travel Authorization (ESTA) (Direct) .....	36	57	62
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	74	74

1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	54	74	74
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	56	57	58
1203	Appropriation (previously unavailable) .....	4	4	4
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	-4	
1260	Appropriations, mandatory (total) .....	56	57	62
1930	Total budgetary resources available .....	110	131	136
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	74	74	74

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	16	17	13
3010	Obligations incurred, unexpired accounts .....	36	57	62
3020	Outlays (gross) .....	-33	-61	-60
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	17	13	15
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16	17	13
3200	Obligated balance, end of year .....	17	13	15

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	56	57	62
Outlays, gross:				
4100	Outlays from new mandatory authority .....	22	31	34
4101	Outlays from mandatory balances .....	11	30	26
4110	Outlays, gross (total) .....	33	61	60
4180	Budget authority, net (total) .....	56	57	62
4190	Outlays, net (total) .....	33	61	60

P.L. 110-53, or the Implementing Recommendations of the 9/11 Commission Act of 2007 established an electronic authorization system to pre-screen aliens prior to arrival in the United States. This mandate was made operational by the creation of the Electronic System for Travel Authorization (ESTA). ESTA operates under informed compliance, requiring all Visa Waiver Program travelers to obtain authorization prior to travel. The Visa Waiver Program allows visitors to travel to the U.S. for business or pleasure for 90 days or less without obtaining a visa.

**Object Classification** (in millions of dollars)

Identification code 070-5595-0-2-751	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	6	7	9
12.1	Civilian personnel benefits .....	2	3	3
21.0	Travel and transportation of persons .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	4		
25.2	Other services from non-Federal sources .....	13	44	47
31.0	Equipment .....	8		
99.9	Total new obligations .....	36	57	62

**Employment Summary**

Identification code 070-5595-0-2-751	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	110	60	62

**ELECTRONIC VISA UPDATE SYSTEM**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-5703-0-2-751	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....		
Receipts:			
Proposed:			
1210	Electronic Visa Update System Fees .....		31
2000	Total: Balances and receipts .....		31
Appropriations:			
Proposed:			
2201	Electronic Visa Update System .....		-31

5099	Balance, end of year .....		
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The Budget proposes to establish a user fee for the Electronic Visa Update System (EVUS), a new CBP program to collect and periodically update biographic and travel-related information from certain non-immigrant visa holders prior to traveling to the United States. This process will complement existing visa application process and enhance CBP's ability to make pre-travel admissibility and risk determinations. This account will fund the costs of establishing, providing, and administering the system.

**ELECTRONIC VISA UPDATE SYSTEM**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-5703-4-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Electronic Visa Update System (direct) .....		31
0900	Total new obligations (object class 25.2) .....		31

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		31
1930	Total budgetary resources available .....		31

**Change in obligated balance:**

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		31
3020	Outlays (gross) .....		-31

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....		31
Outlays, gross:			
4100	Outlays from new mandatory authority .....		31
4180	Budget authority, net (total) .....		31
4190	Outlays, net (total) .....		31

**APEC BUSINESS TRAVEL CARD**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-5569-0-2-751	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....		1
Receipts:			
Current law:			
1130	Fees, APEC Business Travel Card .....	1	1
2000	Total: Balances and receipts .....	1	1
Appropriations:			
Current law:			
2101	APEC Business Travel Card .....	-1	
5099	Balance, end of year .....		1

**Program and Financing** (in millions of dollars)

Identification code 070-5569-0-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801	APEC Business Travel Card .....	1	
0900	Total new obligations (object class 25.2) .....	1	

**Budgetary resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		1
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	1	
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		1
1900	Budget authority (total) .....	1	1
1930	Total budgetary resources available .....	1	2

APEC BUSINESS TRAVEL CARD—Continued  
Program and Financing—Continued

Identification code 070-5569-0-2-751	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	
3020 Outlays (gross) .....		-1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-1	
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....			

9-11 RESPONSE AND BIOMETRIC EXIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5702-0-2-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			87
<b>Receipts:</b>			
Current law:			
1120 Temporary L-1 Visa Fees, 9-11 Response and Biometric Exit Account .....		15	20
1120 Temporary H-1B Visa Fees, 9-11 Response and Biometric Exit Account .....		72	96
1199 Total current law receipts .....		87	116
1999 Total receipts .....		87	116
2000 Total: Balances and receipts .....		87	203
<b>Appropriations:</b>			
Current law:			
2101 9-11 Response and Biometric Exit Account .....			-116
5099 Balance, end of year .....		87	87

Program and Financing (in millions of dollars)

Identification code 070-5702-0-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			116
0900 Total new obligations (object class 25.3) .....			116
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			116
1930 Total budgetary resources available .....			116
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			116
3020 Outlays (gross) .....			-115
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			116
Outlays, gross:			
4100 Outlays from new mandatory authority .....			115
4180 Budget authority, net (total) .....			116
4190 Outlays, net (total) .....			115

Division O of the Consolidated Appropriations Act of 2016 (P.L. 114-113) established the 9-11 Response and Biometric Exit Account. Pursuant to the law, for Fiscal Year 2017 and each year thereafter, amounts in this account shall be available to the Secretary of Homeland Security without further appropriation for implementing the biometric entry and exit system described in Section 7208 of the Intelligence Reform and Terrorism Prevention act of 2004 (8 U.S.C. 1365b).

Trust Funds

U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8789-0-7-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1110 Proceeds of the Sales of Unclaimed Abandoned, Seized Goods .....	1	3	3
2000 Total: Balances and receipts .....	1	3	3
<b>Appropriations:</b>			
Current law:			
2101 U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods .....	-1	-3	-3
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 070-8789-0-7-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Aban (Direct) .....	1	3	3
0900 Total new obligations (object class 44.0) .....	1	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	3	3
1930 Total budgetary resources available .....	1	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	1	3	3
3020 Outlays (gross) .....	-2	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	3	3
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	2	3	3
4180 Budget authority, net (total) .....	1	3	3
4190 Outlays, net (total) .....	2	3	3

This account expends proceeds from the auction of unclaimed and abandoned goods.

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT

Federal Funds

[SALARIES AND EXPENSES] OPERATIONS AND SUPPORT

For necessary expenses for enforcement of immigration and customs laws, detention and removals, and investigations, including intellectual property rights and overseas vetted units operations; and purchase and lease of up to 3,790 (2,350 for replacement only) police-type vehicles; [\$5,779,041,000] \$5,855,023,000; of which not to exceed \$10,000,000 shall be available until expended for conducting special operations

under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081); of which not to exceed \$11,475 shall be for official reception and representation expenses; of which not less than \$45,000,000 shall remain available until September 30, 2019, for maintenance, construction and lease hold improvements at owned and leased facilities: *Provided*, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes and in cases of immigration emergencies: *Provided further*, That of the total amount provided, not less than \$2,120,930,000 is for homeland security investigations operations: *Provided further*, That of the total amount provided, not to exceed \$10,000,000 shall be available until expended for conducting special operations under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081): [of which] *Provided further*, That of the total amount provided, not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security [; of which not less than \$305,000 shall be for promotion of public awareness of the child pornography tipline and activities to counter child exploitation; of which not less than \$5,400,000 shall be used to facilitate agreements consistent with section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)); of which not to exceed \$45,000,000, to remain available until September 30, 2017, is for maintenance, construction, and leasehold improvements at owned and leased facilities; and of which not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States: *Provided*, That of the total amount made available under this heading, \$100,000,000 shall be withheld from obligation until the Director of U.S. Immigration and Customs Enforcement submits to the Committees on Appropriations of the Senate and the House of Representatives a report detailing the number of full-time equivalent employees hired and lost through attrition for the period beginning on October 1, 2015, and ending on June 30, 2016: *Provided further*, That of the total amount made available under this heading, \$5,000,000 shall be withheld from obligation until the Director of U.S. Immigration and Customs Enforcement briefs the Committees on Appropriations of the Senate and the House of Representatives on efforts to increase the number of communities and law enforcement agencies participating in the Priority Enforcement Program, including details as to the jurisdictions and law enforcement agencies approached and the level of participation on a by-community basis: *Provided further*, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes and in cases of immigration emergencies: *Provided further*, That of the total amount provided, \$15,770,000 shall be for activities to enforce laws against forced child labor, of which not to exceed \$6,000,000 shall remain available until expended: *Provided further*, That of the total amount available, not less than \$1,600,000,000 shall be available to identify aliens convicted of a crime who may be deportable, and to remove them from the United States once they are judged deportable: *Provided further*, That the Secretary of Homeland Security shall prioritize the identification and removal of aliens convicted of a crime by the severity of that crime: *Provided further*, That funding made available under this heading shall maintain a level of not less than 34,000 detention beds through September 30, 2016: *Provided further*, That of the total amount provided, not less than \$3,217,942,000 is for enforcement, detention, and removal operations, including transportation of unaccompanied minor aliens: *Provided further*, That of the amount provided for Custody Operations in the previous proviso, \$45,000,000 shall remain available until September 30, 2020: *Provided further*, That of the total amount provided for the Visa Security Program and international investigations, \$13,300,000 shall remain available until September 30, 2017: *Provided further*, That not less than \$15,000,000 shall be available for investigation of intellectual property rights violations, including operation of the National Intellectual Property Rights Coordination Center: *Provided further*, That none of the funds provided under this heading may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security Inspector General determines that the terms of the agreement governing the delegation of authority have been materially violated: *Provided further*, That none of the funds provided under this heading may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system: *Provided further*, That nothing under this heading shall prevent U.S. Immigration and Customs Enforcement from exercising those authorities provided under the immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))) during

priority operations pertaining to aliens convicted of a crime: *Provided further*, That without regard to the limitation as to time and condition of section 503(d) of this Act, the Secretary may propose to reprogram and transfer funds within and into this appropriation necessary to ensure the detention of aliens prioritized for removal]: *Provided further*, That of the total amount provided, \$6,000,000 shall remain available until expended for activities to enforce laws against forced child labor: *Provided further*, That of the total amount provided for homeland security investigations, \$13,700,000 shall remain available until September 30, 2018, for visa security program and investigations abroad: *Provided further*, That of the total amount provided, not less than \$3,101,211,000 is for enforcement and removal operations, including transportation of unaccompanied minor aliens, of which \$45,000,000 shall remain available for U.S. Immigration and Customs Enforcement custody operations until September 30, 2021: *Provided further*, That of the total amount provided, not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States: *Provided further*, That of the total amount provided, not less than \$5,400,000 shall be used to facilitate agreements consistent with section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)): *Provided further*, That none of the funds provided under this heading may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security Inspector General determines that the terms of the agreement governing the delegation of authority have been violated: *Provided further*, That none of the funds provided under this heading may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system: *Provided further*, That nothing under this heading shall prevent U.S. Immigration and Customs Enforcement from exercising those authorities provided under immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))) during priority operations pertaining to aliens convicted of a crime: *Provided further*, That without regard to the limitation as to time and condition of section 503(d) of this Act, the Secretary may propose to reprogram and transfer funds within and into this appropriation necessary to ensure the detention of aliens prioritized for removal: *Provided further*, That, at any point after January 1, 2017, and before October 1, 2017, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of unaccompanied children transferred to the custody of the Secretary of Health and Human Services for the current fiscal year exceeds the number transferred through the comparable date in the previous fiscal year, an additional \$3,150,000 shall be available under this heading: *Provided further*, That, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of such children transferred exceeds 110 percent of the number transferred through the comparable date, \$3,150,000 shall be available in addition to the amount made available in the previous proviso: *Provided further*, That, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of such children transferred exceeds 120 percent of the number transferred through the comparable date, \$3,150,000 shall be available in addition to the amounts made available in the previous two provisos: *Provided further*, That, if the Secretary of Homeland Security, in consultation with the Secretary of Health and Human Services, determines that the cumulative number of such children transferred exceeds 130 percent of the number transferred through the comparable date, \$3,150,000 shall be available in addition to the amounts made available in the previous three provisos: *Provided further*, That amounts made available under any of the previous four provisos may be transferred to any other appropriation: *Provided further*, That the transfer authority in the previous proviso is in addition to any other transfer authority provided by law. (Department of Homeland Security Appropriations Act, 2016.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-0540-0-1-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	207	394
Receipts:			
Current law:			
1120 Breached Bond Penalties Greater Than \$8M, Breached Bond Detention Fund .....	54	42	42
1120 Student and Exchange Visitor Fee .....	152	145	145
1199 Total current law receipts .....	206	187	187
1999 Total receipts .....	206	187	187

OPERATIONS AND SUPPORT—Continued  
Special and Trust Fund Receipts—Continued

Identification code 070-0540-0-1-751	2015 actual	2016 est.	2017 est.
2000 Total: Balances and receipts .....	207	394	581
5099 Balance, end of year .....	207	394	581

Program and Financing (in millions of dollars)

Identification code 070-0540-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Immigration and Customs Enforcement (Direct) .....	6,046	5,779	5,858
0801 Immigration and Customs Enforcement (Reimbursable) .....	124	155	155
0900 Total new obligations .....	6,170	5,934	6,013

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	223	496	819
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	223	496	.....
1010 Unobligated balance transfer to other accts [070-0700] .....	-3	.....	.....
1010 Unobligated balance transfer to other accts [070-0102] .....	-1	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	7	.....	.....
1021 Recoveries of prior year unpaid obligations .....	17	.....	.....
1050 Unobligated balance (total) .....	243	496	819

**Budget authority:**

<b>Appropriations, discretionary:</b>			
1100 Base Appropriation .....	5,933	5,779	5,855
1100 UC Contingency Fund .....	.....	.....	3
1120 Appropriations transferred to other acct [070-0401] .....	-5	.....	.....
1120 Appropriations transferred to other acct [070-0400] .....	-87	.....	.....
1120 Appropriations transferred to other acct [070-0700] .....	-1	.....	.....
1120 Appropriations transferred to other acct [070-0565] .....	-20	.....	.....
1121 Appropriations transferred from other acct [011-1070] .....	1	.....	.....
1130 Appropriations permanently reduced .....	-2	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	-2	.....
1160 Appropriation, discretionary (total) .....	5,819	5,777	5,858
<b>Appropriations, mandatory:</b>			
1201 Student and Exchange Visitor Program .....	152	145	145
1201 Breached Bond Detention Fund .....	54	42	42
1201 Immigration User Fee .....	141	135	135
1203 Student and Exchange Visitor Program (previously unavailable) .....	10	11	10
1203 Breached Bond Detention Fund (previously unavailable) .....	4	4	3
1203 Immigration User Fee (previously unavailable) .....	9	10	9
1221 Appropriations transferred from other acct [011-5512] .....	142	.....	.....
1232 Appropriations temporarily reduced (Student and Exchange Visitor Program) .....	-11	-10	.....
1232 Appropriations temporarily reduced (Breached Bond Fund) .....	-5	-3	.....
1232 Appropriations temporarily reduced (Immigration User Fee) .....	-10	-9	.....
1260 Appropriations, mandatory (total) .....	486	325	344
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	80	155	155
1701 Change in uncollected payments, Federal sources .....	44	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	124	155	155
1900 Budget authority (total) .....	6,429	6,257	6,357
1930 Total budgetary resources available .....	6,672	6,753	7,176
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-6	.....	.....
1941 Unexpired unobligated balance, end of year .....	496	819	1,163

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,579	1,660	1,994
3010 Obligations incurred, unexpired accounts .....	6,170	5,934	6,013
3011 Obligations incurred, expired accounts .....	22	.....	.....
3020 Outlays (gross) .....	-5,962	-5,600	-6,581
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-132	.....	.....
3050 Unpaid obligations, end of year .....	1,660	1,994	1,426
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-132	-88	-88
3070 Change in uncollected pymts, Fed sources, unexpired .....	-44	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	88	.....	.....

3090 Uncollected pymts, Fed sources, end of year .....	-88	-88	-88
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,447	1,572	1,906
3200 Obligated balance, end of year .....	1,572	1,906	1,338

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	5,943	5,932	6,013
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,729	3,922	4,013
4011 Outlays from discretionary balances .....	1,010	990	2,203
4020 Outlays, gross (total) .....	5,739	4,912	6,216
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-160	-155	-155
4033 Non-Federal sources .....	-5	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-165	-155	-155
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-44	.....	.....
4052 Offsetting collections credited to expired accounts .....	85	.....	.....
4060 Additional offsets against budget authority only (total) .....	41	.....	.....
4070 Budget authority, net (discretionary) .....	5,819	5,777	5,858
4080 Outlays, net (discretionary) .....	5,574	4,757	6,061
<b>Mandatory:</b>			
4090 Budget authority, gross .....	486	325	344
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	119	295	312
4101 Outlays from mandatory balances .....	104	393	53
4110 Outlays, gross (total) .....	223	688	365
4180 Budget authority, net (total) .....	6,305	6,102	6,202
4190 Outlays, net (total) .....	5,797	5,445	6,426

**Memorandum (non-add) entries:**

5096 Unexpired unavailable balance, SOY: Appropriations .....	.....	14	14
5098 Unexpired unavailable balance, EOY: Appropriations .....	.....	14	14

As the largest investigative arm of the Department of Homeland Security, U.S. Immigration and Customs Enforcement (ICE) brings a unified and coordinated focus to the enforcement of Federal immigration and customs laws. The Budget supports ICE's mission to enforce immigration and customs laws. ICE works to protect the United States and its people by deterring, interdicting, and investigating threats arising from the movement of people and goods into and out of the United States.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

**Homeland Security Investigations (HSI).**—Investigates a broad range of domestic and international immigration and customs violations such as human smuggling and trafficking; the smuggling of weapons and other types of contraband; export enforcement, such as investigating illegal arms exports and exports of dual-use equipment that may threaten national security; financial crimes, such as money laundering, bulk cash smuggling, and other financial crimes; commercial fraud, including intellectual property violations; cybercrimes; child exploitation; identity and immigration benefit fraud; and human rights violations. HSI is also responsible for the collection, analysis, and dissemination of strategic, operational, and tactical intelligence for use by the operational elements of ICE and DHS.

**Enforcement and Removal Operations (ERO).**—Responsible for promoting public safety and national security by ensuring the departure from the United States of removable aliens through the fair enforcement of the nation's immigration laws.

**Office of the Principal Legal Advisor.**—Serves as the legal representative for the U.S. Government at immigration court hearings, and provides legal advice to HSI and ERO on criminal and administrative customs- and immigration enforcement-related activities.

**Management & Administration.**—Manages ICE's financial and human resources, information technology, training for employees and special agents, sensitive property, facilities, and other assets.

**Object Classification** (in millions of dollars)

Identification code 070-0540-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,680	1,815	1,834
11.3 Other than full-time permanent .....	15	12	12
11.5 Other personnel compensation .....	328	351	356
11.8 Special personal services payments .....	1	2	2
11.9 Total personnel compensation .....	2,024	2,180	2,204
12.1 Civilian personnel benefits .....	859	922	932
21.0 Travel and transportation of persons .....	328	341	354
22.0 Transportation of things .....	9	10	12
23.1 Rental payments to GSA .....	300	266	315
23.2 Rental payments to others .....	11	8	8
23.3 Communications, utilities, and miscellaneous charges .....	68	70	80
25.1 Advisory and assistance services .....	202	200	231
25.2 Other services from non-Federal sources .....	153	109	120
25.3 Other goods and services from Federal sources .....	101	65	76
25.4 Operation and maintenance of facilities .....	1,383	1,267	1,166
25.6 Medical care .....	178	120	109
25.7 Operation and maintenance of equipment .....	178	73	85
25.8 Subsistence and support of persons .....	10	4	4
26.0 Supplies and materials .....	68	63	70
31.0 Equipment .....	127	37	41
32.0 Land and structures .....	16	16	18
42.0 Insurance claims and indemnities .....	28	25	29
91.0 Unvouchered .....	3	3	4
99.0 Direct obligations .....	6,046	5,779	5,858
99.0 Reimbursable obligations .....	124	155	155
99.9 Total new obligations .....	6,170	5,934	6,013

**Employment Summary**

Identification code 070-0540-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18,639	19,638	19,701
2001 Reimbursable civilian full-time equivalent employment .....	380	270	270

**[AUTOMATION MODERNIZATION]**

For expenses of immigration and customs enforcement automated systems, \$53,000,000, to remain available until September 30, 2018. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0543-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Automation Modernization, Immigration and Customs Enforcement (Direct) .....	40	53	.....
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	26	16	16
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	30	16	16
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	26	53	.....
1930 Total budgetary resources available .....	56	69	16
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	39	16
3010 Obligations incurred, unexpired accounts .....	40	53	.....
3020 Outlays (gross) .....	-35	-32	-11
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-44	.....
3050 Unpaid obligations, end of year .....	39	16	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	41	39	16
3200 Obligated balance, end of year .....	39	16	5

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	26	53	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	32	.....
4011 Outlays from discretionary balances .....	35	.....	11
4020 Outlays, gross (total) .....	35	32	11
4180 Budget authority, net (total) .....	26	53	.....
4190 Outlays, net (total) .....	35	32	11

**Object Classification** (in millions of dollars)

Identification code 070-0543-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....	2	1	.....
25.1 Advisory and assistance services .....	4	27	.....
31.0 Equipment .....	34	25	.....
99.9 Total new obligations .....	40	53	.....

**[CONSTRUCTION] PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses for the administration and enforcement of the laws relating to customs and immigration, including automated systems and the planning, constructing, renovating, equipping, and maintaining of buildings and facilities, \$50,230,000, of which \$43,230,000 shall remain available until September 30, 2019, and of which \$7,000,000 shall remain available until expended.

**Program and Financing** (in millions of dollars)

Identification code 070-0545-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction (Direct) .....	4	.....	50
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	7
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	11	7	7
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	.....	.....	50
1930 Total budgetary resources available .....	11	7	57
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	47	30	26
3010 Obligations incurred, unexpired accounts .....	4	.....	50
3020 Outlays (gross) .....	-16	-4	-29
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	30	26	47
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	47	30	26
3200 Obligated balance, end of year .....	30	26	47
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	.....	.....	50
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	.....	27
4011 Outlays from discretionary balances .....	16	4	2
4020 Outlays, gross (total) .....	16	4	29
4180 Budget authority, net (total) .....	.....	.....	50
4190 Outlays, net (total) .....	16	4	29

Procurement, Construction, and Improvements provide funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. Funding within this account is used for the acquisition and construction of U.S. Immigration and Customs Enforcement (ICE) facilities, as well as for Automation Modernization activities that strengthen information availability while improving inform-

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued  
ation sharing across the Department of Homeland Security, ICE, and other partner organizations in a fully secure information technology environment.

**Object Classification** (in millions of dollars)

Identification code 070-0545-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....			1
25.1 Advisory and assistance services .....			25
25.4 Operation and maintenance of facilities .....	4		7
31.0 Equipment .....			17
99.0 Direct obligations .....	4		50
99.9 Total new obligations .....	4		50

**TRANSPORTATION SECURITY ADMINISTRATION**

*Federal Funds*

**【AVIATION SECURITY】 OPERATIONS AND SUPPORT**

For necessary expenses of the Transportation Security Administration related to providing civil aviation security services, *surface transportation security, the development of intelligence and vetting activities, transportation security support, and minor procurements, construction, and improvements* pursuant to the Aviation and Transportation Security Act (Public Law 107-71; 115 Stat. 597; 49 U.S.C. 40101 note), **【\$5,719,437,000】 \$6,914,937,000**, to remain available until September 30, **【2017】 2018**; of which not to exceed \$7,650 shall be for official reception and representation expenses: *Provided*, **【That any award to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness: *Provided further*,】** That security service fees authorized under section 44940 of title 49, United States Code, shall be credited to this appropriation as offsetting collections and shall be available only for aviation security: *Provided further*, That the sum appropriated under this heading from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **【2016】 2017** so as to result in a final fiscal year appropriation from the general fund estimated at not more than **【\$3,589,437,000: *Provided further*,** That the funds deposited pursuant to section 44945 of title 49, United States Code, that are currently unavailable for obligation are hereby permanently cancelled: *Provided further*, That notwithstanding section 44923 of title 49, United States Code, for fiscal year 2016, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a) of such title: *Provided further*, That notwithstanding any other provision of law, for the current fiscal year and each fiscal year hereafter, mobile explosives detection systems purchased and deployed using funds made available under this heading may be moved and redeployed to meet evolving passenger and baggage screening security priorities at airports: *Provided further*, That none of the funds made available in this Act may be used for any recruiting or hiring of personnel into the Transportation Security Administration that would cause the agency to exceed a staffing level of 45,000 full-time equivalent screeners: *Provided further*, That the preceding proviso shall not apply to personnel hired as part-time employees: **【\$4,794,985,000: *Provided further*, That the Administrator of the Transportation Security Administration shall submit to the Committees on Appropriations of the Senate and the House of Representatives, a semiannual report updating information on a strategy to increase the number of air passengers eligible for expedited screening as specified under this heading in Public Law 114-4: *【Provided further*, That not later than 90 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a detailed report on—(1) the Department of Homeland Security efforts and resources being devoted to develop more advanced integrated passenger screening technologies for the most effective security of passengers and baggage at the lowest possible operating and acquisition costs, including projected funding levels for each fiscal year for the next 5 years or until project completion, whichever is earlier;(2) how the Transportation Security Administration is deploying its existing passenger and baggage screener workforce in the most cost-effective manner; and(3) labor savings from the deployment of improved technologies for passenger and baggage screening, including high-speed baggage screening, and how those savings are being used to offset security**

costs or reinvested to address security vulnerabilities:】 *Provided further*, That Members of the United States House of Representatives and the United States Senate, including the leadership; the heads of Federal agencies and commissions, including the Secretary, Deputy Secretary, Under Secretaries, and Assistant Secretaries of the Department of Homeland Security; the United States Attorney General, Deputy Attorney General, Assistant Attorneys General, and the United States Attorneys; and senior members of the Executive Office of the President, including the Director of the Office of Management and Budget, shall not be exempt from Federal passenger and baggage screening. (*Department of Homeland Security Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-0550-0-1-400	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	21	20	18
0198 Common Appropriations Structure adjustment to Procurement, Construction, and Improvements, TSA .....			-17
0199 Balance, start of year .....	21	20	1
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Fees, Aviation Security Capital Fund .....	250	250	
1130 Unclaimed Checkpoint Money .....	1		
1199 Total current law receipts .....	251	250	
1999 Total receipts .....	251	250	
2000 Total: Balances and receipts .....	272	270	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Aviation Security .....	-1		
2101 Aviation Security .....	-250	-250	
2103 Aviation Security .....	-18	-18	
2132 Aviation Security .....	18	17	
2199 Total current law appropriations .....	-251	-251	
2999 Total appropriations .....	-251	-251	
5098 Rounding adjustment .....	-1	-1	
5099 Balance, end of year .....	20	18	1

**Program and Financing** (in millions of dollars)

Identification code 070-0550-0-1-400	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Aviation Security (Direct) .....	6,083	5,719	7,128
0801 Aviation Security (Reimbursable) .....	1	4	7
0900 Total new obligations .....	6,084	5,723	7,135
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	862	487	578
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	635	317	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1021 Recoveries of prior year unpaid obligations .....	36		
1050 Unobligated balance (total) .....	897	487	578
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,553	3,587	4,795
1101 Appropriation (special or trust fund) .....	1		
1120 Appropriations transferred to other acts [070-0554] .....	-20		
1131 Unobligated balance of appropriations permanently reduced .....	-203	-158	
1160 Appropriation, discretionary (total) .....	3,331	3,429	4,795
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	250	250	
1203 Appropriation (previously unavailable) .....	18	18	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-18	-17	
1260 Appropriations, mandatory (total) .....	250	251	
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Offsetting Collections - Passenger Security Fee .....	2,093	2,134	2,124
1700 Offsetting Collections - TWIC .....			96
1700 Offsetting Collections - HAZMAT CDL .....			21
1700 Offsetting Collections - Commercial Aviation and Airport .....			7
1700 Offsetting Collections - Air Cargo .....			4
1700 Offsetting Collections - Pre-Check .....			80
1700 Reimbursables .....			3

1750	Spending auth from offsetting collections, disc (total) .....	2,093	2,134	2,335
	Spending authority from offsetting collections, mandatory:			
1800	Alien Flight School .....			5
1900	Budget authority (total) .....	5,674	5,814	7,135
1930	Total budgetary resources available .....	6,571	6,301	7,713
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	487	578	578

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,046	2,821	2,622
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010	Obligations incurred, unexpired accounts .....	6,084	5,723	7,135
3011	Obligations incurred, expired accounts .....	5		
3020	Outlays (gross) .....	-6,087	-5,922	-7,377
3040	Recoveries of prior year unpaid obligations, unexpired .....	-36		
3041	Recoveries of prior year unpaid obligations, expired .....	-192		
3050	Unpaid obligations, end of year .....	2,821	2,622	2,380
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,046	2,820	2,621
3200	Obligated balance, end of year .....	2,820	2,621	2,379

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5,424	5,563	7,130
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4,548	4,706	5,826
4011	Outlays from discretionary balances .....	1,317	960	1,391
4020	Outlays, gross (total) .....	5,865	5,666	7,217
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4033	Non-Federal sources .....	-9	-4	-7
4034	Offsetting governmental collections .....	-2,086	-2,130	-2,328
4040	Offsets against gross budget authority and outlays (total) ....	-2,096	-2,134	-2,335
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	3		
4070	Budget authority, net (discretionary) .....	3,331	3,429	4,795
4080	Outlays, net (discretionary) .....	3,769	3,532	4,882
Mandatory:				
4090	Budget authority, gross .....	250	251	5
Outlays, gross:				
4100	Outlays from new mandatory authority .....	10	88	2
4101	Outlays from mandatory balances .....	212	168	158
4110	Outlays, gross (total) .....	222	256	160
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4124	Offsetting governmental collections .....			-5
4180	Budget authority, net (total) .....	3,581	3,680	4,795
4190	Outlays, net (total) .....	3,991	3,788	5,037

**Memorandum (non-add) entries:**

5093	Expired unavailable balance, SOY: Offsetting collections .....	104		
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**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,581	3,680	4,795
Outlays .....	3,991	3,788	5,037
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-909
Outlays .....			-909
Total:			
Budget Authority .....	3,581	3,680	3,886
Outlays .....	3,991	3,788	4,128

Operations and Support funds necessary operations, mission support, and associated management and administration costs. The FY 2017 President's Budget proposes the Congress rename the legacy Transportation Security Administration's Aviation Security account as the Operations and Support account. This proposal realigns portions of the funding and activities to this account from the current Surface Transportation Security, Intelligence and Vetting, and Transportation Security Support accounts. In addition,

this proposal realigns portions of the funding and activities from the current Aviation Security account to the newly proposed Procurement, Construction, and Improvement and Research and Development accounts.

**Object Classification** (in millions of dollars)

Identification code 070-0550-0-1-400	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	2,348	2,147	2,870
11.3	Other than full-time permanent .....	248	227	274
11.5	Other personnel compensation .....	455	440	480
11.8	Special personal services payments .....	5	2	2
11.9	Total personnel compensation .....	3,056	2,816	3,626
12.1	Civilian personnel benefits .....	1,189	1,077	1,340
13.0	Benefits for former personnel .....	4	4	6
21.0	Travel and transportation of persons .....	174	170	230
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	121	123	145
23.2	Rental payments to others .....	59	58	72
23.3	Communications, utilities, and miscellaneous charges .....	21	21	35
24.0	Printing and reproduction .....	1	1	3
25.1	Advisory and assistance services .....	468	465	570
25.2	Other services from non-Federal sources .....	258	251	277
25.3	Other goods and services from Federal sources .....	76	77	105
25.4	Operation and maintenance of facilities .....	30	30	40
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	290	281	310
25.8	Subsistence and support of persons .....	3	3	4
26.0	Supplies and materials .....	64	67	70
31.0	Equipment .....	162	170	180
32.0	Land and structures .....	13	13	14
41.0	Grants, subsidies, and contributions .....	89	87	95
42.0	Insurance claims and indemnities .....	3	3	4
99.0	Direct obligations .....	6,083	5,719	7,128
99.0	Reimbursable obligations .....	1	4	7
99.9	Total new obligations .....	6,084	5,723	7,135

**Employment Summary**

Identification code 070-0550-0-1-400	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	51,712	51,576	51,558

**AVIATION SECURITY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-0550-2-1-400	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		-909
Spending authority from offsetting collections, discretionary:			
1700	Passenger Security Fee .....		489
1700	Aviation Security Infrastructure Fee .....		420
1750	Spending auth from offsetting collections, disc (total) .....		909

**Budget authority and outlays, net:**

Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	Offsetting governmental collections .....		-909
4180	Budget authority, net (total) .....		-909
4190	Outlays, net (total) .....		-909

**【SURFACE TRANSPORTATION SECURITY】**

【For necessary expenses of the Transportation Security Administration related to surface transportation security activities, \$110,798,000, to remain available until September 30, 2017.】 (Department of Homeland Security Appropriations Act, 2016.)

**SURFACE TRANSPORTATION SECURITY—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 070-0551-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Surface Transportation Security (Direct)	129	118	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	32	21	
1010 Unobligated balance transfer to other accts [070-0102]	-5		
1050 Unobligated balance (total)	27	21	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	124	111	
1120 Appropriations transferred to other acct [070-0800]	-1		
1131 Unobligated balance of appropriations permanently reduced		-14	
1160 Appropriation, discretionary (total)	123	97	
1930 Total budgetary resources available	150	118	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	21		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	36	57
3010 Obligations incurred, unexpired accounts	129	118	
3020 Outlays (gross)	-119	-97	-25
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	36	57	32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	36	57
3200 Obligated balance, end of year	36	57	32
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	123	97	
Outlays, gross:			
4010 Outlays from new discretionary authority	91	68	
4011 Outlays from discretionary balances	28	29	25
4020 Outlays, gross (total)	119	97	25
4180 Budget authority, net (total)	123	97	
4190 Outlays, net (total)	119	97	25

**Object Classification** (in millions of dollars)

Identification code 070-0551-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	64	61	
11.5 Other personnel compensation	7	6	
11.9 Total personnel compensation	71	67	
12.1 Civilian personnel benefits	27	26	
21.0 Travel and transportation of persons	3	3	
23.2 Rental payments to others	2	1	
25.1 Advisory and assistance services	19	14	
25.3 Other goods and services from Federal sources	1	1	
25.7 Operation and maintenance of equipment	3	3	
26.0 Supplies and materials	1	1	
31.0 Equipment	2	2	
99.9 Total new obligations	129	118	

**Employment Summary**

Identification code 070-0551-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	843	761	

**Program and Financing** (in millions of dollars)

Identification code 070-0557-0-1-400	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Intelligence and Vetting	204	198	
0002 Fees	149	168	
0799 Total direct obligations	353	366	
0801 Intelligence and Vetting (Reimbursable)	1	3	
0900 Total new obligations	354	369	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	82	139	209
1001 Discretionary unobligated balance brought fwd, Oct 1	74	30	
1020 Adjustment of unobligated bal brought forward, Oct 1	1		
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	91	139	209
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	219	237	
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - TWIC	57	82	
1700 Offsetting collections (cash) - HAZMAT CDL	20	21	
1700 Offsetting collections (cash) - Comm Aviation and Airport (formerly known as SIDA)	8	7	
1700 Reimbursable Agreements - Air Cargo (starting FY13, incl. IAC and CCSP)	1	3	
1700 Offsetting collections (cash) - Pre-Check	5	4	
1700 Offsetting collections (cash) - GA at DCA	87	80	
1700 Offsetting collections (cash) - GA at DCA	1		
1750 Spending auth from offsetting collections, disc (total)	179	197	
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	5	
1900 Budget authority (total)	403	439	
1930 Total budgetary resources available	494	578	209
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	139	209	209
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	162	163	199
3001 Adjustments to unpaid obligations, brought forward, Oct 1	-1		
3010 Obligations incurred, unexpired accounts	354	369	
3011 Obligations incurred, expired accounts	13		
3020 Outlays (gross)	-337	-333	-199
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3041 Recoveries of prior year unpaid obligations, expired	-20		
3050 Unpaid obligations, end of year	163	199	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	161	163	199
3200 Obligated balance, end of year	163	199	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	398	434	
Outlays, gross:			
4010 Outlays from new discretionary authority	209	206	
4011 Outlays from discretionary balances	124	122	199
4020 Outlays, gross (total)	333	328	199
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-3	
4034 Offsetting governmental collections	-178	-194	
4040 Offsets against gross budget authority and outlays (total)	-179	-197	
4070 Budget authority, net (discretionary)	219	237	
4080 Outlays, net (discretionary)	154	131	199
Mandatory:			
4090 Budget authority, gross	5	5	
Outlays, gross:			
4100 Outlays from new mandatory authority	1	2	
4101 Outlays from mandatory balances	3	3	
4110 Outlays, gross (total)	4	5	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4124 Offsetting governmental collections	-5	-5	
4180 Budget authority, net (total)	219	237	
4190 Outlays, net (total)	153	131	199

**[INTELLIGENCE AND VETTING]**

[For necessary expenses for the development and implementation of intelligence and vetting activities, \$236,693,000, to remain available until September 30, 2017.]  
(Department of Homeland Security Appropriations Act, 2016.)

**Object Classification** (in millions of dollars)

Identification code 070-0557-0-1-400	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	68	70	.....
11.3 Other than full-time permanent .....	1	1	.....
11.5 Other personnel compensation .....	2	2	.....
11.9 Total personnel compensation .....	71	73	.....
12.1 Civilian personnel benefits .....	22	23	.....
21.0 Travel and transportation of persons .....	1	1	.....
23.2 Rental payments to others .....	6	6	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
24.0 Printing and reproduction .....	1	1	.....
25.1 Advisory and assistance services .....	175	185	.....
25.2 Other services from non-Federal sources .....	7	7	.....
25.3 Other goods and services from Federal sources .....	24	24	.....
25.4 Operation and maintenance of facilities .....	1	1	.....
25.7 Operation and maintenance of equipment .....	6	6	.....
26.0 Supplies and materials .....	1	1	.....
31.0 Equipment .....	37	39	.....
99.0 Direct obligations .....	353	368	.....
99.0 Reimbursable obligations .....	1	1	.....
99.9 Total new obligations .....	354	369	.....

**Employment Summary**

Identification code 070-0557-0-1-400	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	768	818	.....

**TRANSPORTATION SECURITY SUPPORT**

For necessary expenses of the Transportation Security Administration related to transportation security support pursuant to the Aviation and Transportation Security Act (Public Law 107-71; 115 Stat. 597; 49 U.S.C. 40101 note), \$924,015,000, to remain available until September 30, 2017. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0554-0-1-400	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Transportation Security Support (Direct) .....	1,004	1,043	.....
0801 Reimbursable program activity .....	1	.....	.....
0900 Total new obligations .....	1,005	1,043	.....
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	142	119	.....
1021 Recoveries of prior year unpaid obligations .....	45	.....	.....
1050 Unobligated balance (total) .....	187	119	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	917	924	.....
1121 Appropriations transferred from other acct [070-0550] .....	20	.....	.....
1160 Appropriation, discretionary (total) .....	937	924	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	.....	.....
1900 Budget authority (total) .....	938	924	.....
1930 Total budgetary resources available .....	1,125	1,043	.....
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	119	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	620	663	781
3010 Obligations incurred, unexpired accounts .....	1,005	1,043	.....
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-892	-925	-522
3040 Recoveries of prior year unpaid obligations, unexpired .....	-45	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-28	.....	.....
3050 Unpaid obligations, end of year .....	663	781	259

<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	619	662	780
3200 Obligated balance, end of year .....	662	780	258

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	938	924	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	365	462	.....
4011 Outlays from discretionary balances .....	527	463	522
4020 Outlays, gross (total) .....	892	925	522
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-2	.....	.....
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	937	924	.....
4080 Outlays, net (discretionary) .....	890	925	522
4180 Budget authority, net (total) .....	937	924	.....
4190 Outlays, net (total) .....	890	925	522

**Object Classification** (in millions of dollars)

Identification code 070-0554-0-1-400	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	171	180	.....
11.3 Other than full-time permanent .....	3	3	.....
11.5 Other personnel compensation .....	5	5	.....
11.9 Total personnel compensation .....	179	188	.....
12.1 Civilian personnel benefits .....	55	60	.....
13.0 Benefits for former personnel .....	8	8	.....
21.0 Travel and transportation of persons .....	9	9	.....
23.1 Rental payments to GSA .....	3	.....	.....
23.2 Rental payments to others .....	22	23	.....
23.3 Communications, utilities, and miscellaneous charges .....	74	80	.....
25.1 Advisory and assistance services .....	434	447	.....
25.2 Other services from non-Federal sources .....	7	7	.....
25.3 Other goods and services from Federal sources .....	101	105	.....
25.4 Operation and maintenance of facilities .....	11	11	.....
25.7 Operation and maintenance of equipment .....	18	18	.....
26.0 Supplies and materials .....	2	2	.....
31.0 Equipment .....	61	64	.....
32.0 Land and structures .....	20	20	.....
99.0 Direct obligations .....	1,004	1,042	.....
99.0 Reimbursable obligations .....	1	1	.....
99.9 Total new obligations .....	1,005	1,043	.....

**Employment Summary**

Identification code 070-0554-0-1-400	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,693	1,737	.....

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the Transportation Security Administration for procurement, construction, and improvement pursuant to the Aviation Transportation Security Act (Public Law 107-71; 115 Stat. 597; 49 U.S.C. 40101 note), \$206,093,000, to remain available until September 30, 2018: Provided, That any award to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness: Provided further, That, notwithstanding section 44923 of title 49, United States Code, for fiscal year 2017, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a) of such title: Provided further, That, notwithstanding any other provision of law, mobile explosives detection systems purchased and deployed using funds made available under this heading may be moved and redeployed to meet evolving passenger and baggage screening security priorities at airports: Provided further, That,

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

not later than 90 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a detailed report on—

(1) the Department of Homeland Security efforts and resources being devoted to develop more advanced integrated passenger screening technologies for the most effective security of passengers and baggage at the lowest possible operating and acquisition costs, including projected funding levels for each fiscal year for the next 5 years or until project completion, whichever is earlier;

(2) how the Transportation Security Administration is deploying its existing passenger and baggage screener workforce in the most cost effective manner; and

(3) labor savings from the deployment of improved technologies for passenger and baggage screening, including high-speed baggage screening and how those savings are being used to offset security costs or reinvested to address security vulnerabilities.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-0410-0-1-400	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			
0198 Common Appropriations Structure adjustment from Aviation Security			17
0199 Balance, start of year			17
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Fees, Aviation Security Capital Fund			250
2000 Total: Balances and receipts			267
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Procurement, Construction, and Improvements			-250
2103 Procurement, Construction, and Improvements			-17
2199 Total current law appropriations			-267
2999 Total appropriations			-267
5099 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 070-0410-0-1-400	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Screening Technology			473
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation			206
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)			250
1203 Appropriation (previously unavailable)			17
1260 Appropriations, mandatory (total)			267
1900 Budget authority (total)			473
1930 Total budgetary resources available			473
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts	473		
3020 Outlays (gross)			-166
3050 Unpaid obligations, end of year			307
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year			307
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross			206
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority			72
<b>Mandatory:</b>			
4090 Budget authority, gross			267
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			94
4180 Budget authority, net (total)			473
4190 Outlays, net (total)			166

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of

one or more assets prior to sustainment. The 2017 President's Budget requests the Congress establish a Procurement, Construction, and Improvement account under the Transportation Security Administration. The 2017 request realigns a portion of funding activities to this new account from the current Transportation Security Administration's Aviation Security and Intelligence and Vetting accounts.

**Object Classification** (in millions of dollars)

Identification code 070-0410-0-1-400	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			21
11.9 Total personnel compensation			21
12.1 Civilian personnel benefits			6
21.0 Travel and transportation of persons			1
23.2 Rental payments to others			1
23.3 Communications, utilities, and miscellaneous charges			1
25.1 Advisory and assistance services			286
25.3 Other goods and services from Federal sources			6
25.4 Operation and maintenance of facilities			2
25.7 Operation and maintenance of equipment			10
25.8 Subsistence and support of persons			1
31.0 Equipment			138
99.9 Total new obligations			473

**Employment Summary**

Identification code 070-0410-0-1-400	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment			177

**RESEARCH AND DEVELOPMENT**

For necessary expenses of the Transportation Security Administration for applied scientific research and development pursuant to the Aviation Transportation Security Act (Public Law 107-71; Stat.597; 49 U.S.C. 40101 note), \$5,000,000, to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 070-0802-0-1-400	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research and Development			5
0900 Total new obligations (object class 25.5)			5
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation			5
1930 Total budgetary resources available			5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts			5
3020 Outlays (gross)			-2
3050 Unpaid obligations, end of year			3
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year			3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross			5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority			2
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			2

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products and processes with the expectation of maintaining or increasing national economic productive capacity or yielding other future benefits. The 2017 request realigns a portion of funding activities to this new account

from the current Transportation Security Administration's Aviation Security account.

**UNITED STATES COAST GUARD**

*Federal Funds*

OPERATING EXPENSES

For necessary expenses for the operations and maintenance of the Coast Guard, not otherwise provided for; purchase or lease of not to exceed 25 passenger motor vehicles, which shall be for replacement only; purchase or lease of small boats for contingent and emergent requirements (at a unit cost of no more than \$700,000) and repairs and service-life replacements, not to exceed a total of \$31,000,000; purchase or lease of boats necessary for overseas deployments and activities; purchase or lease of other equipment (at a unit cost of no more than \$250,000); minor shore construction projects not exceeding \$1,000,000 in total cost on any location; payments pursuant to section 156 of Public Law 97-377 (42 U.S.C. 402 note; 96 Stat. 1920); and recreation and welfare; **[\$7,061,490,000]** \$6,986,815,000, of which **[\$500,002,000]** \$340,000,000 shall be for defense-related activities **]**, of which \$160,002,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985<sup>1</sup>; of which \$24,500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); and of which not to exceed \$23,000 shall be for official reception and representation expenses: *Provided*, That none of the funds made available by this Act shall be for expenses incurred for recreational vessels under section 12114 of title 46, United States Code, except to the extent fees are collected from owners of yachts and credited to this appropriation: *Provided further*, That to the extent fees are insufficient to pay expenses of recreational vessel documentation under such section 12114, and there is a backlog of recreational vessel applications, then personnel performing non-recreational vessel documentation functions under subchapter II of chapter 121 of title 46, United States Code, may perform documentation under section 12114: **]** *Provided further*, That of the funds provided under this heading, \$85,000,000 shall be withheld from obligation for Coast Guard Headquarters Directorates until a future-years capital investment plan for fiscal years 2017 through 2021, as specified under the heading "Coast Guard, Acquisition, Construction, and Improvements" of this Act, is submitted to the Committees on Appropriations of the Senate and the House of Representatives: *Provided further*, That funds made available under this heading for Overseas Contingency Operations/Global War on Terrorism may be allocated by program, project, and activity, notwithstanding section 503 of this Act: **]** *Provided further*, That without regard to the limitation as to time and condition of section 503(d) of this Act, after June 30, up to \$10,000,000 may be reprogrammed to or from Military Pay and Allowances in accordance with subsections (a), (b), and (c) of section 503. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0610-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Maritime	7,043	7,054	6,987
0600 Total direct program	7,043	7,054	6,987
0801 Operating Expenses (Reimbursable)	181	255	253
0900 Total new obligations	7,224	7,309	7,240
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	3	1	8
1012 Unobligated balance transfers between expired and unexpired accounts	17	8	
1050 Unobligated balance (total)	20	9	8
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation	7,019	7,037	6,962
1131 Unobligated balance of appropriations permanently reduced	-3	-9	
1160 Appropriation, discretionary (total)	7,016	7,028	6,962
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected	160	280	278
1701 Change in uncollected payments, Federal sources	47		
1750 Spending auth from offsetting collections, disc (total)	207	280	278
1900 Budget authority (total)	7,223	7,308	7,240

1930 Total budgetary resources available	7,243	7,317	7,248
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-18		
1941 Unexpired unobligated balance, end of year	1	8	8

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	1,942	1,983	1,776
3010 Obligations incurred, unexpired accounts	7,224	7,309	7,240
3011 Obligations incurred, expired accounts	31		
3020 Outlays (gross)	-7,093	-7,516	-7,247
3041 Recoveries of prior year unpaid obligations, expired	-121		
3050 Unpaid obligations, end of year	1,983	1,776	1,769
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-66	-73	-73
3070 Change in uncollected pymts, Fed sources, unexpired	-47		
3071 Change in uncollected pymts, Fed sources, expired	40		
3090 Uncollected pymts, Fed sources, end of year	-73	-73	-73
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	1,876	1,910	1,703
3200 Obligated balance, end of year	1,910	1,703	1,696

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross	7,223	7,308	7,240
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	5,573	5,730	5,738
4011 Outlays from discretionary balances	1,520	1,786	1,509
4020 Outlays, gross (total)	7,093	7,516	7,247
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources	-187	-280	-278
4033 Non-Federal sources	-4		
4040 Offsets against gross budget authority and outlays (total)	-191	-280	-278
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired	-47		
4052 Offsetting collections credited to expired accounts	31		
4060 Additional offsets against budget authority only (total)	-16		
4070 Budget authority, net (discretionary)	7,016	7,028	6,962
4080 Outlays, net (discretionary)	6,902	7,236	6,969
4180 Budget authority, net (total)	7,016	7,028	6,962
4190 Outlays, net (total)	6,902	7,236	6,969

Funding requested in the Operating Expenses account supports the operations of the Coast Guard as it carries out its duties as a maritime, military, multi-mission operating agency and one of the five armed forces. To fulfill its mission, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States. The account funds operations and maintenance of these assets, and sustainment of new and existing Coast Guard Programs, Projects, and Activities, and personnel. In 2018, the Department will continue to request funding for Coast Guard operating expenses under this account, but plans to re-title this account as "Operations and Support."

**Object Classification** (in millions of dollars)

Identification code 070-0610-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	556	570	590
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	17	17	18
11.6 Military personnel - basic allowance for housing	733	742	758
11.7 Military personnel	1,892	1,938	1,949
11.8 Special personal services payments	7	7	7
11.9 Total personnel compensation	3,209	3,278	3,326
12.1 Civilian personnel benefits	192	197	205
12.2 Military personnel benefits	250	256	257
13.0 Benefits for former personnel	6	7	7
21.0 Travel and transportation of persons	193	180	189
22.0 Transportation of things	82	68	78
23.1 Rental payments to GSA	53	50	47
23.2 Rental payments to others	31	30	30
23.3 Communications, utilities, and miscellaneous charges	181	181	179
24.0 Printing and reproduction	4	5	5
25.1 Advisory and assistance services	181	177	164
25.2 Other services from non-Federal sources	892	807	766
25.3 Other goods and services from Federal sources	217	217	216

OPERATING EXPENSES—Continued  
Object Classification—Continued

Identification code 070-0610-0-1-999	2015 actual	2016 est.	2017 est.
25.4 Operation and maintenance of facilities .....	226	211	193
25.6 Medical care .....	302	320	327
25.7 Operation and maintenance of equipment .....	130	170	161
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	761	739	709
31.0 Equipment .....	109	136	105
32.0 Land and structures .....	22	23	21
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	7,043	7,054	6,987
99.0 Reimbursable obligations .....	181	255	253
99.9 Total new obligations .....	7,224	7,309	7,240

Employment Summary

Identification code 070-0610-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6,980	7,478	7,548
1101 Direct military average strength employment .....	38,724	40,449	40,699
2001 Reimbursable civilian full-time equivalent employment .....	180	185	218
2101 Reimbursable military average strength employment .....	537	548	574

ENVIRONMENTAL COMPLIANCE AND RESTORATION

For necessary expenses to carry out the environmental compliance and restoration functions of the Coast Guard under chapter 19 of title 14, United States Code, **[\$13,221,000]** \$13,315,000, to remain available until September 30, **[2020]** 2021. (Department of Homeland Security Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 070-0611-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Environmental Compliance .....	12	29	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	16	.....
1050 Unobligated balance (total) .....	15	16	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1930 Total budgetary resources available .....	28	29	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	13	29
3010 Obligations incurred, unexpired accounts .....	12	29	13
3020 Outlays (gross) .....	-8	-13	-13
3050 Unpaid obligations, end of year .....	13	29	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	12	28
3200 Obligated balance, end of year .....	12	28	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	6	6
4011 Outlays from discretionary balances .....	5	7	7
4020 Outlays, gross (total) .....	8	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	8	13	13

Funding requested in this account will be used by the Coast Guard to satisfy obligations arising under chapter 19 of title 14 of the United States

Code related to Environmental Compliance and Restoration. This includes environmental cleanup, sustainment, and restoration of current and former contaminated Coast Guard facilities, and engineering remedies for Coast Guard assets, to comply with environmental laws and prevent contamination and environmental damage. In 2018, the Department plans to request funding for environmental compliance and restoration under the "Operations and Support" account.

Object Classification (in millions of dollars)

Identification code 070-0611-0-1-304	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	9	25	9
99.9 Total new obligations .....	12	29	13

Employment Summary

Identification code 070-0611-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	21	23	23
1101 Direct military average strength employment .....	1	1	1

RESERVE TRAINING

For necessary expenses of the Coast Guard Reserve, as authorized by law; operations and maintenance of the Coast Guard reserve program; personnel and training costs; and equipment and services; **[\$110,614,000]** \$112,302,000. (Department of Homeland Security Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 070-0612-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reserve Training .....	114	110	112
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	115	111	112
1131 Unobligated balance of appropriations permanently reduced .....	.....	-1	.....
1160 Appropriation, discretionary (total) .....	115	110	112
1930 Total budgetary resources available .....	115	110	112
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	9	5
3010 Obligations incurred, unexpired accounts .....	114	110	112
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-115	-114	-112
3041 Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	9	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	9	5
3200 Obligated balance, end of year .....	9	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	115	110	112
Outlays, gross:			
4010 Outlays from new discretionary authority .....	105	105	107
4011 Outlays from discretionary balances .....	10	9	5
4020 Outlays, gross (total) .....	115	114	112
4180 Budget authority, net (total) .....	115	110	112
4190 Outlays, net (total) .....	115	114	112

Funding requested in this account will support the training of Coast Guard Reserve Forces so they are prepared to provide qualified personnel to augment active duty forces in the event of conflict, national emergency,

or natural and manmade disasters. Reservists maintain their readiness through formal training, mobilization exercises, and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost-effective surge force for response to man-made and natural disasters. In 2018, the Department plans to request funding for Reserve training under the "Operations and Support" account.

**Object Classification** (in millions of dollars)

Identification code 070-0612-0-1-403	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	6	6	6
11.6 Military personnel - basic allowance for housing .....	10	10	10
11.7 Military personnel .....	69	70	72
11.9 Total personnel compensation .....	85	86	88
12.1 Civilian personnel benefits .....	2	2	2
12.2 Military personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	7	5	5
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.8 Subsistence and support of persons .....	4	3	3
26.0 Supplies and materials .....	7	5	5
99.9 Total new obligations .....	114	110	112

**Employment Summary**

Identification code 070-0612-0-1-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	85	89	89
1101 Direct military average strength employment .....	371	327	327

**ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of acquisition, construction, renovation, and improvement of aids to navigation, shore facilities, vessels, and aircraft, including equipment related thereto; and maintenance, rehabilitation, lease, and operation of facilities and equipment; as authorized by law; **[\$1,945,169,000]** *\$1,136,788,000*; of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); and of which the following amounts shall be available until September 30, **[2020]** *2021* (except as subsequently specified): **[\$21,000,000]** for military family housing; **[\$1,264,400,000]** *\$704,100,000* to acquire, effect major repairs to, renovate, or improve vessels, small boats, and related equipment **[:]**, of which *\$240,000,000* is for acquisition of four Fast Cutter Response Cutters and of which *\$98,367,000*, to remain available until September 30, 2018, is for National Security Cutter post-delivery activities; **[\$295,000,000]** *\$201,300,000* to acquire, effect major repairs to, renovate, or improve aircraft or increase aviation capability; **[\$65,100,000]** *\$59,355,000* for other acquisition programs; **[\$181,600,000]** *\$51,100,000* for shore facilities and aids to navigation, including facilities at Department of Defense installations used by the Coast Guard; and **[\$118,069,000]** *\$120,933,000*, to remain available until September 30, **[2016]** *2017*, for personnel compensation and benefits and related costs **[:]**. *Provided*, That of the funds provided by this Act, not less than \$640,000,000 shall be immediately available and allotted to contract for the production of the ninth National Security Cutter notwithstanding the availability of funds for post-production costs: *Provided further*, That the Commandant of the Coast Guard shall submit to the Congress, at the time the President's budget proposal for fiscal year 2017 is submitted pursuant to section 1105(a) of title 31, United States Code, a future-years capital investment plan as described in the second proviso under the heading "Coast Guard, Acquisition, Construction, and Improvements" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114-4), which shall be subject to the requirements in the third and fourth provisos under such heading **[:]**. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0613-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assets and Facilities .....	1,247	1,538	1,703
0600 Total Direct Program .....	1,247	1,538	1,703

0801 Acquisition, Construction, and Improvements (Reimbursable) .....	23	36	12
0900 Total new obligations .....	1,270	1,574	1,715
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	769	676	1,060
1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
1021 Recoveries of prior year unpaid obligations .....	20		
1050 Unobligated balance (total) .....	791	676	1,060
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,205	1,925	1,117
1121 Appropriations transferred from other acct [070-0530] ...	5		
1131 Unobligated balance of appropriations permanently reduced .....	-63	-23	
1160 Appropriation, discretionary (total) .....	1,147	1,902	1,117
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	34	56	32
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	38	56	32
1900 Budget authority (total) .....	1,185	1,958	1,149
1930 Total budgetary resources available .....	1,976	2,634	2,209
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-30		
1941 Unexpired unobligated balance, end of year .....	676	1,060	494

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,826	2,545	2,615
3010 Obligations incurred, unexpired accounts .....	1,270	1,574	1,715
3011 Obligations incurred, expired accounts .....	10		
3020 Outlays (gross) .....	-1,521	-1,504	-1,563
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20		
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	2,545	2,615	2,767
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-34	-38	-38
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-38	-38	-38
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,792	2,507	2,577
3200 Obligated balance, end of year .....	2,507	2,577	2,729

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,185	1,958	1,149
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	206	343	242
4011 Outlays from discretionary balances .....	1,315	1,161	1,321
4020 Outlays, gross (total) .....	1,521	1,504	1,563
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-34	-56	-32
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-35	-56	-32
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	1,147	1,902	1,117
4080 Outlays, net (discretionary) .....	1,486	1,448	1,531
4180 Budget authority, net (total) .....	1,147	1,902	1,117
4190 Outlays, net (total) .....	1,486	1,448	1,531

Funding requested in this account provides for the acquisition, construction, and improvement of vessels, aircraft, information management resources, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals. With the funding provided in 2017, the Coast Guard will accelerate the acquisition of a new polar-class icebreaker to begin production activities in 2020. The Coast Guard will continue the recapitalization of boats, major cutters and patrol boats, aircraft, and Command, Control, Communications, Computers, Intelligence, Surveillance and Reconnaissance systems. Furthermore, the Coast Guard will continue fleet sustainment projects to enhance and extend

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS—Continued

the service life of selected existing aircraft and cutters. The Coast Guard will also invest in shore infrastructure as well as repair aging buildings, and other facilities. These vital recapitalization projects, along with the corresponding development of acquisition personnel and management systems, will provide the Coast Guard with additional capability to perform its missions. In 2018, the Department will continue to request funding for Coast Guard acquisition, construction, and improvements under this account, but plans to re-title this account as "Procurement, Construction and Improvements."

Object Classification (in millions of dollars)

Identification code 070-0613-0-1-403	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	46	52	53
11.5 Other personnel compensation .....	1	1	
11.6 Military personnel - basic allowance for housing .....	10	10	11
11.7 Military personnel .....	29	32	33
11.9 Total personnel compensation .....	85	95	98
12.1 Civilian personnel benefits .....	13	14	15
12.2 Military personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	5	6	8
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3		
25.1 Advisory and assistance services .....	101	247	363
25.2 Other services from non-Federal sources .....	187	234	175
25.8 Subsistence and support of persons .....	3	2	2
26.0 Supplies and materials .....	80	30	10
31.0 Equipment .....	718	778	916
32.0 Land and structures .....	48	128	112
99.0 Direct obligations .....	1,247	1,538	1,703
99.0 Reimbursable obligations .....	23	36	12
99.9 Total new obligations .....	1,270	1,574	1,715

Employment Summary

Identification code 070-0613-0-1-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	392	466	473
1101 Direct military average strength employment .....	367	423	424

ALTERATION OF BRIDGES

Program and Financing (in millions of dollars)

Identification code 070-0614-0-1-403	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	24	1
3020 Outlays (gross) .....	-2	-23	
3050 Unpaid obligations, end of year .....	24	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	26	24	1
3200 Obligated balance, end of year .....	24	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	2	23	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	23	

No new funding is requested for alteration of bridges in 2017.

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

For necessary expenses for applied scientific research, development, test, and evaluation; and for maintenance, rehabilitation, lease, and operation of facilities and equipment [ ]; as authorized by law; [ \$18,019,000 ] \$18,319,000, to remain available until September 30, [2018] 2019, of which \$500,000 shall be derived from the Oil

Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): *Provided*, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries for expenses incurred for research, development, testing, and evaluation. (*Department of Homeland Security Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 070-0615-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Applied R&D .....	19	23	18
0801 Research, Development, Test, and Evaluation (Reimbursable) .....	4	11	7
0900 Total new obligations .....	23	34	25
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	12	7
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	13	12	7
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	17	18	18
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3	11	7
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	5	11	7
1900 Budget authority (total) .....	22	29	25
1930 Total budgetary resources available .....	35	41	32
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	7	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	11	17
3010 Obligations incurred, unexpired accounts .....	23	34	25
3020 Outlays (gross) .....	-27	-28	-29
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	11	17	13
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14	1	7
3200 Obligated balance, end of year .....	1	7	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	22	29	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	16	21	19
4011 Outlays from discretionary balances .....	11	7	10
4020 Outlays, gross (total) .....	27	28	29
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3	-11	-7
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	17	18	18
4080 Outlays, net (discretionary) .....	24	17	22
4180 Budget authority, net (total) .....	17	18	18
4190 Outlays, net (total) .....	24	17	22

The Coast Guard's Research, Development, Test and Evaluation program develops techniques, methods, hardware, and systems that directly contribute to increasing the productivity and effectiveness of the Coast Guard's operating missions, as well as expertise and services that enhance pre-acquisition planning and analysis to reduce cost, schedule, and performance risks across multiple acquisition projects. In 2018, the Department will continue to request funding for research, development, testing and evaluation under this account, but plans to re-title this account as "Research and Development."

**Object Classification** (in millions of dollars)

Identification code 070-0615-0-1-403	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	9	9
11.6 Military personnel - basic allowance for housing .....	1	1	1
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	10	11	11
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	2	2	1
25.5 Research and development contracts .....	3	4	2
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	19	23	18
99.0 Reimbursable obligations .....	4	11	7
99.9 Total new obligations .....	23	34	25

**Employment Summary**

Identification code 070-0615-0-1-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	72	79	79
1101 Direct military average strength employment .....	15	17	17

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY

**Program and Financing** (in millions of dollars)

Identification code 070-0616-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 MERHCF .....	177	169	176
0900 Total new obligations (object class 12.2) .....	177	169	176
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	177	169	176
1930 Total budgetary resources available .....	177	169	176
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	177	169	176
3020 Outlays (gross) .....	-177	-169	-176
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	177	169	176
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	177	169	176
4180 Budget authority, net (total) .....	177	169	176
4190 Outlays, net (total) .....	177	169	176

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	177	169	176
Outlays .....	177	169	176
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-15
Outlays .....			-15
<b>Total:</b>			
Budget Authority .....	177	169	161
Outlays .....	177	169	161

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No.108-375, section 725). The Coast Guard's FY 2017 Medicare-Eligible Retiree Healthcare Fund request was adjusted as a result

of the 2016 National Defense Authorization Act and updated actuarial projections.

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-0616-2-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 MERHCF .....			-15
0900 Total new obligations (object class 12.2) .....			-15
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			-15
1930 Total budgetary resources available .....			-15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			-15
3020 Outlays (gross) .....			15
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			-15
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			-15
4180 Budget authority, net (total) .....			-15
4190 Outlays, net (total) .....			-15

**RETIRED PAY**

For retired pay, including the payment of obligations otherwise chargeable to lapsed appropriations for this purpose, payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, payment for career status bonuses, concurrent receipts, and combat-related special compensation [under the National Defense Authorization Act] *as authorized by law*, and payments for medical care of retired personnel and their dependents under chapter 55 of title 10, United States Code, [**\$1,604,000,000**] *\$1,666,940,000*, to remain available until expended. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0602-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Retired Pay .....	1,553	1,658	1,667
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	156	54	
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....	1,451	1,604	1,667
1930 Total budgetary resources available .....	1,607	1,658	1,667
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	54		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	130	137	193
3010 Obligations incurred, unexpired accounts .....	1,553	1,658	1,667
3020 Outlays (gross) .....	-1,546	-1,602	-1,661
3050 Unpaid obligations, end of year .....	137	193	199
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	130	137	193
3200 Obligated balance, end of year .....	137	193	199
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,451	1,604	1,667
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1,291	1,443	1,500
4101 Outlays from mandatory balances .....	255	159	161

RETIRED PAY—Continued  
Program and Financing—Continued

Identification code 070-0602-0-1-403	2015 actual	2016 est.	2017 est.
4110 Outlays, gross (total) .....	1,546	1,602	1,661
4180 Budget authority, net (total) .....	1,451	1,604	1,667
4190 Outlays, net (total) .....	1,546	1,602	1,661

Funding requested in this account provides for retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the Retired Serviceman's Family Protection Plan (10 U.S.C. 1431-46) and Survivor Benefits Plans (10 U.S.C. 1447-55); payments for career status bonuses, concurrent receipts, and combat-related special compensation under the National Defense Authorization Act; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

Object Classification (in millions of dollars)

Identification code 070-0602-0-1-403	2015 actual	2016 est.	2017 est.
Direct obligations:			
13.0 Benefits for former personnel .....	1,323	1,386	1,426
25.6 Medical care .....	230	272	241
99.9 Total new obligations .....	1,553	1,658	1,667

U.S. COAST GUARD HOUSING SPECIAL FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5710-0-2-403	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	4	6
Receipts:			
Current law:			
1130 Sale of Real Property, U.S. Coast Guard Housing Special Fund .....	3	2	2
2000 Total: Balances and receipts .....	4	6	8
5099 Balance, end of year .....	4	6	8

This account, established in 2011, receives deposits of proceeds from the conveyance of property under the administrative control of the Service. The funds are available for the purposes of chapter 18 of title 14, United States Code, with regard to the Acquisition, Construction, and Improvements of military family housing and military unaccompanied housing.

SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 070-4535-0-4-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Supply Fund (Reimbursable) .....	140	161	150
0900 Total new obligations (object class 26.0) .....	140	161	150
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	6	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	132	156	150
1930 Total budgetary resources available .....	146	162	151
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	32	7
3010 Obligations incurred, unexpired accounts .....	140	161	150

3020 Outlays (gross) .....	-119	-186	-150
3050 Unpaid obligations, end of year .....	32	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	32	7
3200 Obligated balance, end of year .....	32	7	7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	132	156	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....	98	156	150
4011 Outlays from discretionary balances .....	21	30	.....
4020 Outlays, gross (total) .....	119	186	150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-132	-156	-150
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-13	30	.....

The Coast Guard supply fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from the sale of goods.

YARD FUND

Program and Financing (in millions of dollars)

Identification code 070-4743-0-4-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Shipyard activities .....	98	221	150
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	69	.....
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	122	152	150
1701 Change in uncollected payments, Federal sources .....	4	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	126	152	150
1930 Total budgetary resources available .....	167	221	150
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	69	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	23	70
3010 Obligations incurred, unexpired accounts .....	98	221	150
3020 Outlays (gross) .....	-99	-174	-150
3050 Unpaid obligations, end of year .....	23	70	70
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-17	-17
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	6	53
3200 Obligated balance, end of year .....	6	53	53
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	126	152	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....	69	152	150
4011 Outlays from discretionary balances .....	30	22	.....
4020 Outlays, gross (total) .....	99	174	150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-122	-152	-150
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
4080 Outlays, net (discretionary) .....	-23	22	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-23	22	.....

This fund finances the industrial operation of the Coast Guard Yard, Curtis Bay, MD (14 U.S.C. 648). The Yard finances all direct and indirect

costs for its operations out of payments from Coast Guard and other agency appropriations that are placed in the fund.

**Object Classification** (in millions of dollars)

Identification code 070-4743-0-4-403	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	30	30
11.5 Other personnel compensation .....	8	8	8
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	40	39	39
12.1 Civilian personnel benefits .....	11	11	11
21.0 Travel and transportation of persons .....	1	3	2
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	13	8
25.4 Operation and maintenance of facilities .....	3	13	7
25.7 Operation and maintenance of equipment .....	2	8	5
26.0 Supplies and materials .....	31	113	65
31.0 Equipment .....	6	20	12
99.9 Total new obligations .....	98	221	150

**Employment Summary**

Identification code 070-4743-0-4-403	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	492	505	505
2101 Reimbursable military average strength employment .....	10	12	12

**Trust Funds**

**AQUATIC RESOURCES TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-8147-0-7-403	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	673	686	648
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Excise Taxes, Sport Fish Restoration, Aquatic Resources Trust Fund .....	574	542	545
1110 Customs Duties, Aquatic Resources Trust Fund .....	55	51	54
1140 Earnings on Investments, Aquatic Resources Trust Fund .....	6	7	8
1199 Total current law receipts .....	635	600	607
1999 Total receipts .....	635	600	607
2000 Total: Balances and receipts .....	1,308	1,286	1,255
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Sport Fish Restoration .....	-611	-635	-600
2101 Boat Safety .....	-8		
2101 Coastal Wetlands Restoration Trust Fund .....	-6		
2103 Sport Fish Restoration .....	-30	-32	-30
2103 Boat Safety .....	-8	-8	-8
2103 Coastal Wetlands Restoration Trust Fund .....	-5	-6	-5
2132 Sport Fish Restoration .....	32	30	
2132 Boat Safety .....	8	8	
2132 Coastal Wetlands Restoration Trust Fund .....	6	5	
2199 Total current law appropriations .....	-622	-638	-643
2999 Total appropriations .....	-622	-638	-643
5099 Balance, end of year .....	686	648	612

**Program and Financing** (in millions of dollars)

Identification code 070-8147-0-7-403	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,886	1,942	1,891
5001 Total investments, EOY: Federal securities: Par value .....	1,942	1,891	1,949

The Internal Revenue Code of 1986, as amended by TEA-21 and SAFETEA-LU, provides for the transfer of Highway Trust Fund revenue

derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

**BOAT SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 070-8149-0-7-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State recreational boating safety programs .....	107	115	108
0002 Compliance and boating programs .....	5	8	8
0900 Total new obligations .....	112	123	116
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	10	1
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	9	10	1
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	8		
1203 Appropriation (previously unavailable) .....	8	8	8
1221 Appropriations transferred from other acct [014-8151] .....	105	114	108
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-8	-8	
1260 Appropriations, mandatory (total) .....	113	114	116
1930 Total budgetary resources available .....	122	124	117
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	99	104	103
3010 Obligations incurred, unexpired accounts .....	112	123	116
3020 Outlays (gross) .....	-105	-124	-113
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	104	103	106
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	99	104	103
3200 Obligated balance, end of year .....	104	103	106
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	113	114	116
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	42	50	51
4101 Outlays from mandatory balances .....	63	74	62
4110 Outlays, gross (total) .....	105	124	113
4180 Budget authority, net (total) .....	113	114	116
4190 Outlays, net (total) .....	105	124	113

This account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. Pursuant to 16 U.S.C. 777c, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users (SAFETEA-LU, P.L. 109-59), the Boat Safety program receives 18.5 percent of the funds collected in the Sport Fish Restoration and Boating Safety Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 070-8149-0-7-403	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.2 Other services from non-Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	107	118	111
99.9 Total new obligations .....	112	123	116

BOAT SAFETY—Continued  
Employment Summary

Identification code 070-8149-0-7-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	13	14	14

TRUST FUND SHARE OF EXPENSES  
Program and Financing (in millions of dollars)

Identification code 070-8314-0-7-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating expenses .....	24	24	24
0002 Acquisition, construction and improvements .....	20	20	20
0003 Research, development, test and evaluation .....	1	1	1
0900 Total new obligations (object class 94.0) .....	45	45	45

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	45	45	45
1930 Total budgetary resources available .....	45	45	45

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	45	45	45
3020 Outlays (gross) .....	-45	-45	-45

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	45	45
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	45	45
4180 Budget authority, net (total) .....	45	45	45
4190 Outlays, net (total) .....	45	45	45

This account provides resources from the Oil Spill Liability Trust Fund for activities authorized in other accounts including Operating Expenses; Acquisition, Construction, and Improvements; and Research, Development, Test, and Evaluation.

GENERAL GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8533-0-7-403	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 General Gift Fund .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
Appropriations:			
Current law:			
2101 General Gift Fund .....	-2	-2	-2
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 070-8533-0-7-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Obligations by program activity .....	2	2	2
0900 Total new obligations (object class 26.0) .....	2	2	2

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1930 Total budgetary resources available .....	4	4	4

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-3	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	3	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	3	2	2

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1	1	1
5001 Total investments, EOY: Federal securities: Par value .....	1	1	1

This fund, maintained from gifts, devises or bequests, is used for purposes as specified by the donor in connection with or benefit to the Coast Guard training program, as well as all other programs and activities permitted by law (10 U.S.C. 2601).

OIL SPILL LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8185-0-7-304	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3,540	4,104	4,713
Receipts:			
Current law:			
1110 Excise Taxes, Oil Spill Liability Trust Fund .....	496	530	585
1110 Fines and Penalties, OSLTF .....	273	219	244
1130 Recoveries, Oil Spill Liability Trust Fund .....	6	8	8
1140 Earnings on Investments .....	35	65	76
1199 Total current law receipts .....	810	822	913
Proposed:			
1210 Excise Taxes, Oil Spill Liability Trust Fund .....			127
1999 Total receipts .....	810	822	1,040
2000 Total: Balances and receipts .....	4,350	4,926	5,753
Appropriations:			
Current law:			
2101 Oil Spill Research .....	-15	-15	-15
2101 Inland Oil Spill Programs .....	-18	-18	-25
2101 Trust Fund Share of Pipeline Safety .....	-20	-22	-20
2101 Trust Fund Share of Expenses .....	-45	-45	-45
2101 Maritime Oil Spill Programs .....	-178	-101	-101
2101 Denali Commission Trust Fund .....	-6	-4	-4
2102 Denali Commission Trust Fund .....		-2	
2103 Maritime Oil Spill Programs .....	-17	-13	-7
2132 Maritime Oil Spill Programs .....	13	7	
2199 Total current law appropriations .....	-286	-213	-217
2999 Total appropriations .....	-286	-213	-217
Special and trust fund receipts returned:			
3010 Maritime Oil Spill Programs .....	40		
5099 Balance, end of year .....	4,104	4,713	5,536

Program and Financing (in millions of dollars)

Identification code 070-8185-0-7-304	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3,702	4,243	4,945
5001 Total investments, EOY: Federal securities: Par value .....	4,243	4,945	5,660

The Oil Spill Liability Trust Fund (OSLTF) is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Oil Pollution Act of 1990, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the Trust Fund Share of Expenses and Maritime Oil Spill Programs accounts. The OSLTF is funded by an excise tax on each barrel of oil produced domestically or imported. The Energy Improvement and Extension Act of 2008 (P.L. 110–343) increased the tax rate to eight cents on each barrel of oil through December 31, 2016, and nine cents on each barrel of oil for the period January 1, 2017 through December 31, 2017. The tax currently will sunset December 31, 2017. In 2017, the Administration proposes to increase these taxes by one cent per barrel, to 10 cents per barrel for periods after December 31, 2016.

**Status of Funds** (in millions of dollars)

Identification code 070–8185–0–7–304	2015 actual	2016 est.	2017 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	3,771	4,329	4,889
0999 Total balance, start of year	3,771	4,329	4,889
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Excise Taxes, Oil Spill Liability Trust Fund	496	530	585
1110 Fines and Penalties, OSLTF	273	219	244
1130 Recoveries, Oil Spill Liability Trust Fund	6	8	8
1130 Maritime Oil Spill Programs	40		
1150 Earnings on Investments	35	65	76
1160 Inland Oil Spill Programs	22	20	20
1199 Income under present law	872	842	933
<b>Proposed:</b>			
1210 Excise Taxes, Oil Spill Liability Trust Fund			127
1299 Income proposed			127
1999 Total cash income	872	842	1,060
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Oil Spill Research [010–22–8370–0]	–17	–22	–22
2100 Inland Oil Spill Programs [020–00–8221–0]	–37	–38	–41
2100 Trust Fund Share of Pipeline Safety [021–50–8121–0]	–23	–18	–20
2100 Trust Fund Share of Expenses [024–60–8314–0]	–45	–45	–45
2100 Maritime Oil Spill Programs [024–60–8349–0]	–189	–153	–131
2100 Denali Commission Trust Fund [513–00–8056–0]	–3	–6	–6
2199 Outgo under current law	–314	–282	–265
2999 Total cash outgo (-)	–314	–282	–265
<b>Surplus or deficit:</b>			
3110 Excluding interest	523	495	719
3120 Interest	35	65	76
3199 Subtotal, surplus or deficit	558	560	795
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year	86	–56	24
4200 Oil Spill Liability Trust Fund	4,243	4,945	5,660
4999 Total balance, end of year	4,329	4,889	5,684

**MARITIME OIL SPILL PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 070–8349–0–7–304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Emergency fund	35	147	57
0002 Payment of claims	127	50	50
0003 Prince William Sound Oil Spill Recovery Institute	1	1	1
0900 Total new obligations (object class 25.2)	163	198	108
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	49	91	
1021 Recoveries of prior year unpaid obligations	23		
1030 Other balances withdrawn to special or trust funds	–40		

1050 Unobligated balance (total)	32	91	
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	178	101	101
1203 Appropriation (previously unavailable)	17	13	7
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–13	–7	
1260 Appropriations, mandatory (total)	182	107	108
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected	40		
1900 Budget authority (total)	222	107	108
1930 Total budgetary resources available	254	198	108
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	91		
<b>Special and non-revolving trust funds:</b>			
1950 Other balances withdrawn and returned to unappropriated receipts	40		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	120	71	116
3010 Obligations incurred, unexpired accounts	163	198	108
3020 Outlays (gross)	–189	–153	–131
3040 Recoveries of prior year unpaid obligations, unexpired	–23		
3050 Unpaid obligations, end of year	71	116	93
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	120	71	116
3200 Obligated balance, end of year	71	116	93

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross	222	107	108
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	143	75	70
4101 Outlays from mandatory balances	46	78	61
4110 Outlays, gross (total)	189	153	131
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources	–40		
4180 Budget authority, net (total)	182	107	108
4190 Outlays, net (total)	149	153	131

This account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill cleanup, funding provided to the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The claims activity in this account will continue to be funded under separate permanent appropriations and are being displayed in a consolidated format to enhance presentation.

**UNITED STATES SECRET SERVICE**

*Federal Funds*

**[SALARIES AND EXPENSES] OPERATIONS AND SUPPORT**

For necessary expenses of the [United States] Secret Service, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; [services of expert witnesses at such rates as may be determined by the Director of the United States Secret Service;] rental of buildings in the District of Columbia, and fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; [payment of per diem or subsistence allowances to employees in cases in which a protective assignment on the actual day or days of the visit of a protectee requires an employee to work 16 hours per day or to remain overnight at a post of duty;] *other minor procurements, construction, and improvements necessary to support Secret Service requirements;* conduct of and participation in firearms matches; presentation of awards; travel of [United States] Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act if [approval is obtained in advance from] *notice is provided to the Committees on Appropriations of the Senate and the House of Representatives;* [research and development; grants to] *conduct of behavioral research in support of protective [research] intelligence and operations;* [and] *payment in advance for commercial accommodations as may be necessary to perform protective functions; payment, without regard to section 5702 of title 5, of subsistence*

OPERATIONS AND SUPPORT—Continued

expenses of employees who are on protective missions, whether at or away from their duty stations; **[\$1,854,526,000]** \$1,773,123,000; of which not to exceed \$19,125 shall be for official reception and representation expenses; of which not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in counterfeit investigations; **[of which \$2,366,000 shall be for forensic and related support of investigations of missing and exploited children; of which \$6,000,000 shall be for a grant for activities related to investigations of missing and exploited children and shall remain available until September 30, 2017; and of which not less than \$12,000,000 shall be for activities related to training in electronic crimes investigations and forensics:]** of which \$5,557,000, to remain available until September 30, 2018, shall be for minor procurements, construction, and improvements of the James J. Rowley Training Center; of which \$58,192,950 shall be for Operational Mission Support, \$8,909,000, to remain available until September 30, 2018: *Provided*, That \$18,000,000 for protective travel shall remain available until September 30, **[2017: *Provided further***, That of the amounts made available under this heading for security improvements at the White House complex, \$8,200,000 shall remain available until September 30, 2017] **2018: *Provided further***, That \$4,500,000 for National Special Security Events shall remain available until expended: *Provided further*, That \$9,563,000 for the Information Integration and Technology Transformation sustainment shall remain available until September 30, 2018: *Provided further*, That the **[United States]** Secret Service is authorized to obligate funds in anticipation of reimbursements from Federal agencies and entities, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under this heading at the end of the fiscal year: *Provided further*, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes: *Provided further*, That none of the funds made available to the **[United States]** Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: *Provided further*, That the Director of the **[United States]** Secret Service may enter into an agreement to provide such protection on a fully reimbursable basis: *Provided further*, That none of the funds made available to the **[United States]** Secret Service by this Act or by previous appropriations Acts may be obligated for the purpose of opening a new permanent domestic or overseas office or location unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such obligation: *Provided further*, That for purposes of section 503(b) of this Act, \$15,000,000 or 10 percent, whichever is less, may be reprogrammed between Protection **[of Persons and Facilities]** and **[Domestic Field]** Integrated Operations. (*Department of Homeland Security Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 070-0400-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Protection of persons and facilities .....	875	921	.....
0002 Protective intelligence activities .....	65	71	.....
0003 Presidential candidate nominee protection .....	23	204	.....
0005 National Special Security Events .....	45	11	.....
0006 Headquarters, management and administration .....	209	232	.....
0007 Rowley Training Center .....	61	55	.....
0008 Domestic field operations .....	369	337	.....
0009 International field operations, administration and operations ....	32	31	.....
0011 Support for missing and exploited children .....	8	8	.....
0012 Information Integration and Technology Transformation .....	1	1	.....
0013 Management and Administration .....	.....	.....	146
0014 Integrated Operations .....	.....	.....	843
0015 Protection .....	.....	.....	734
0016 Criminal Investigations .....	.....	.....	50
0799 Total direct obligations .....	1,688	1,871	1,773
0801 Operating Expenses (Reimbursable) .....	20	22	22
0900 Total new obligations .....	1,708	1,893	1,795
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	65	41
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	5	16	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....

1050 Unobligated balance (total) .....	6	65	41
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,616	1,855	1,773
1121 Appropriations transferred from other acct (070-0540) ....	87	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-1	-4	.....
1160 Appropriation, discretionary (total) .....	1,702	1,851	1,773
Appropriations, mandatory:			
1221 Appropriations transferred from other acct (011-5512) ....	54	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	18	18
1701 Change in uncollected payments, Federal sources .....	12	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	21	18	18
1900 Budget authority (total) .....	1,777	1,869	1,791
1930 Total budgetary resources available .....	1,783	1,934	1,832
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-10	.....	.....
1941 Unexpired unobligated balance, end of year .....	65	41	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	345	439	544
3010 Obligations incurred, unexpired accounts .....	1,708	1,893	1,795
3011 Obligations incurred, expired accounts .....	7	.....	.....
3020 Outlays (gross) .....	-1,595	-1,788	-1,836
3041 Recoveries of prior year unpaid obligations, expired .....	-26	.....	.....
3050 Unpaid obligations, end of year .....	439	544	503
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-31	-26	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	17	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	314	413	518
3200 Obligated balance, end of year .....	413	518	477
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,723	1,869	1,791
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,398	1,452	1,395
4011 Outlays from discretionary balances .....	197	331	428
4020 Outlays, gross (total) .....	1,595	1,783	1,823
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-29	-18	-18
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-30	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
4052 Offsetting collections credited to expired accounts .....	21	.....	.....
4060 Additional offsets against budget authority only (total) .....	9	.....	.....
4070 Budget authority, net (discretionary) .....	1,702	1,851	1,773
4080 Outlays, net (discretionary) .....	1,565	1,765	1,805
Mandatory:			
4090 Budget authority, gross .....	54	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	.....	5	13
4180 Budget authority, net (total) .....	1,756	1,851	1,773
4190 Outlays, net (total) .....	1,565	1,770	1,818

Operations and Support funds necessary operations, mission support, and associated management and administration costs. The FY 2017 President's Budget proposes the Congress rename the Secret Service Salaries and Expenses account as the Operations and Support account. This proposal also realigns portions of the funding and activities from this account to the newly proposed Procurement, Construction, and Improvements account, Federal Assistance account, and Research and Development account. In addition, this proposal realigns funding and activities to this account from the current Secret Service Acquisition, Construction, Improvements, and Related Expenses account.

**Object Classification** (in millions of dollars)

Identification code 070-0400-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	604	670	690
11.3 Other than full-time permanent .....	1	3	3
11.5 Other personnel compensation .....	193	231	226
11.9 Total personnel compensation .....	798	904	919
12.1 Civilian personnel benefits .....	345	322	349
21.0 Travel and transportation of persons .....	101	181	102
22.0 Transportation of things .....	6	9	10
23.1 Rental payments to GSA .....	91	90	91
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	36	42	32
25.2 Other services from non-Federal sources .....	207	167	168
26.0 Supplies and materials .....	21	18	18
31.0 Equipment .....	68	113	64
32.0 Land and structures .....	5	15	16
41.0 Grants, subsidies, and contributions .....	6	6	.....
99.0 Direct obligations .....	1,688	1,871	1,773
99.0 Reimbursable obligations .....	20	22	22
99.9 Total new obligations .....	1,708	1,893	1,795

**Employment Summary**

Identification code 070-0400-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6,279	6,455	6,675
2001 Reimbursable civilian full-time equivalent employment .....	22	26	26

**FEDERAL ASSISTANCE**

*For the purpose of providing training for state, local, tribal, and territorial law enforcement personnel, judges, and prosecutors to combat cybercrime, \$4,869,000.*

**Program and Financing** (in millions of dollars)

Identification code 070-0409-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Criminal Investigations .....	.....	.....	5
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	5
1900 Budget authority (total) .....	.....	.....	5
1930 Total budgetary resources available .....	.....	.....	5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	5
3020 Outlays (gross) .....	.....	.....	-4
3050 Unpaid obligations, end of year .....	.....	.....	1
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....	.....	.....	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	.....	.....	5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	.....	4
4180 Budget authority, net (total) .....	.....	.....	5
4190 Outlays, net (total) .....	.....	.....	4

Federal Assistance provides funds necessary to provide monetary or non-monetary support to any entity. Support may be provided in the form of grants or grant agreements, cooperative agreements, non-cash contributions or donations of property, food commodities, direct loan, direct loan obligation, loan guarantees, loan guarantee commitments, interest subsidies, insurance and other federal support but not including amounts received as reimbursement for services rendered to individuals in accordance with guidance issued by the Director of OMB. The FY 2017 President's Budget requests the Congress establish a Federal Assistance account under the

Secret Service. The FY 2017 request aligns a portion of funding and activities to this new account from the current Secret Service Salaries and Expenses account.

**Object Classification** (in millions of dollars)

Identification code 070-0409-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	.....	.....	1
25.2 Other services from non-Federal sources .....	.....	.....	1
31.0 Equipment .....	.....	.....	3
99.9 Total new obligations .....	.....	.....	5

**Employment Summary**

Identification code 070-0409-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	4

**CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE**

**Program and Financing** (in millions of dollars)

Identification code 070-0405-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0304 Mandatory-DC Annuity .....	248	279	265
0900 Total new obligations (object class 12.1) .....	248	279	265
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	14	.....
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....	260	265	265
1930 Total budgetary resources available .....	262	279	265
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	14	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	42	36
3010 Obligations incurred, unexpired accounts .....	248	279	265
3020 Outlays (gross) .....	-228	-285	-265
3050 Unpaid obligations, end of year .....	42	36	36
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	22	42	36
3200 Obligated balance, end of year .....	42	36	36
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	260	265	265
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	207	243	243
4101 Outlays from mandatory balances .....	21	42	22
4110 Outlays, gross (total) .....	228	285	265
4180 Budget authority, net (total) .....	260	265	265
4190 Outlays, net (total) .....	228	285	265

This account provides the Secret Service funding for contributions to the District of Columbia's Police and Firefighters Retirement Plan (DC Annuity).

**ACQUISITION, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES**  
*PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS*

For necessary expenses for [acquisition] procurement, construction, [repair, alteration,] and improvement of physical and technological infrastructure, [\$79,019,000, to remain available until September 30, 2018] \$110,627,000, of which \$47,737,000 shall be for Operational Mission Support, \$45,511,176 to remain available until September 30, 2018, and of which \$62,890,000 shall be for Information Integration and Technology Transformation development and other mission

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued  
needs, to remain available until September 30, 2018. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0401-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rowley Training Center .....	5	31	.....
0002 Information Integration and Technology Transformation .....	50	57	.....
0003 Protection of Persons and Facilities .....	.....	11	.....
0004 Protection .....	.....	.....	48
0005 Integrated Operations .....	.....	.....	63
0900 Total new obligations .....	55	99	111
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	79	111
1121 Appropriations transferred from other acct [070-0540] ....	5	.....	.....
1160 Appropriation, discretionary (total) .....	55	79	111
1930 Total budgetary resources available .....	75	99	111
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	45	52	91
3010 Obligations incurred, unexpired accounts .....	55	99	111
3020 Outlays (gross) .....	-48	-60	-78
3050 Unpaid obligations, end of year .....	52	91	124
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	45	52	91
3200 Obligated balance, end of year .....	52	91	124
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	55	79	111
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	26	33
4011 Outlays from discretionary balances .....	40	34	45
4020 Outlays, gross (total) .....	48	60	78
4180 Budget authority, net (total) .....	55	79	111
4190 Outlays, net (total) .....	48	60	78

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. The FY 2017 President's Budget proposes the Congress renames the Secret Service Acquisition, Construction, Improvements, and Related Expenses account as the Procurement, Construction, and Improvements account. In addition, this proposal realigns funding and activities to this account from the current Secret Service Salaries and Expenses account.

**Object Classification** (in millions of dollars)

Identification code 070-0401-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1	.....	.....
25.2 Other services from non-Federal sources .....	40	68	74
26.0 Supplies and materials .....	1	.....	2
31.0 Equipment .....	12	23	35
32.0 Land and structures .....	1	8	.....
99.9 Total new obligations .....	55	99	111

**RESEARCH AND DEVELOPMENT**

For necessary expenses for research and development, \$2,500,000, of which \$2,250,000 shall be for Operational Mission Support to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 070-0804-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Protection .....	.....	.....	3
0900 Total new obligations (object class 25.2) .....	.....	.....	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	3
1930 Total budgetary resources available .....	.....	.....	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	3
3020 Outlays (gross) .....	.....	.....	-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	3
4180 Budget authority, net (total) .....	.....	.....	3
4190 Outlays, net (total) .....	.....	.....	3

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products and processes with the expectation of maintaining or increasing national economic productive capacity or yielding other future benefits. The FY 2017 President's Budget requests the Congress establish a Research and Development account under the Secret Service. The FY 2017 request realigns a portion of funding and activities to this new account from the current Secret Service Salaries and Expenses account.

**NATIONAL PROTECTION AND PROGRAMS  
DIRECTORATE**

*Federal Funds*

**[MANAGEMENT AND ADMINISTRATION] OPERATIONS AND SUPPORT**

For [the management and administration of the] necessary expenses for the National Protection and Programs Directorate, [and support for operations and information technology, \$62,132,000] as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), including minor procurements, construction and improvements, \$1,152,502,000, of which \$12,993,000 shall remain available until September 30, 2018: Provided, That not to exceed \$3,825 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0566-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Management and Administration (Direct) .....	64	62	90
0002 Infrastructure Analysis (Direct) .....	.....	.....	284
0003 Infrastructure Capacity Building (Direct) .....	.....	.....	242
0004 Protect Infrastructure (Direct) .....	.....	.....	537
0900 Total new obligations .....	64	62	1,153
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	62	62	1,153
Spending authority from offsetting collections, discretionary:			
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1900 Budget authority (total) .....	64	62	1,153
1930 Total budgetary resources available .....	65	63	1,154
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	20	23	5
3010	Obligations incurred, unexpired accounts .....	64	62	1,153
3011	Obligations incurred, expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-59	-80	-880
3041	Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050	Unpaid obligations, end of year .....	23	5	278

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	20	21	3
3200	Obligated balance, end of year .....	21	3	276

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	64	62	1,153
Outlays, gross:				
4010	Outlays from new discretionary authority .....	48	56	874
4011	Outlays from discretionary balances .....	11	24	6
4020	Outlays, gross (total) .....	59	80	880
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	.....	-2	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4052	Offsetting collections credited to expired accounts .....	.....	2	.....
4060	Additional offsets against budget authority only (total) .....	-2	2	.....
4070	Budget authority, net (discretionary) .....	62	62	1,153
4080	Outlays, net (discretionary) .....	59	78	880
4180	Budget authority, net (total) .....	62	62	1,153
4190	Outlays, net (total) .....	59	78	880

Operations and Support funds necessary operations, mission support, and associated management and administration costs. The 2017 President's Budget proposes the Congress rename the National Protection and Programs Directorate Management and Administration account as the Operations and Support account. In addition, this proposal realigns a portion of funding and activities to this new account from the current Infrastructure Protection and Information Security account.

**Object Classification (in millions of dollars)**

Identification code 070-0566-0-1-999	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	31	37	242
11.3	Other than full-time permanent .....	1	1	5
11.5	Other personnel compensation .....	1	1	8
11.9	Total personnel compensation .....	33	39	255
12.1	Civilian personnel benefits .....	10	10	68
21.0	Travel and transportation of persons .....	1	1	8
23.1	Rental payments to GSA .....	8	7	38
23.2	Rental payments to others .....	.....	.....	2
23.3	Communications, utilities, and miscellaneous charges .....	.....	.....	3
25.1	Advisory and assistance services .....	6	2	507
25.2	Other services from non-Federal sources .....	3	1	12
25.3	Other goods and services from Federal sources .....	2	1	213
25.4	Operation and maintenance of facilities .....	.....	.....	3
25.7	Operation and maintenance of equipment .....	1	1	9
31.0	Equipment .....	.....	.....	24
41.0	Grants, subsidies, and contributions .....	.....	.....	11
99.9	Total new obligations .....	64	62	1,153

**Employment Summary**

Identification code 070-0566-0-1-999	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	288	337	2,085

**【INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY】**

【For necessary expenses for infrastructure protection and information security programs and activities, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$1,291,000,000, of which \$289,650,000 shall remain available until September 30, 2017.】 (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 070-0565-0-1-054	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Infrastructure Protection and Information Security (Direct) .....	1,294	1,291	.....
0801	Reimbursable program activity .....	7	.....	.....
0900	Total new obligations .....	1,301	1,291	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	138	71	71
1012	Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1050	Unobligated balance (total) .....	139	71	71
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,189	1,291	.....
1121	Appropriations transferred from other acct [070-0521] ...	5	.....	.....
1121	Appropriations transferred from other acct [070-0540] ...	20	.....	.....
1121	Appropriations transferred from other acct [070-0530] ...	12	.....	.....
1130	Appropriations permanently reduced .....	-1	.....	.....
1160	Appropriation, discretionary (total) .....	1,225	1,291	.....
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	5	.....
1701	Change in uncollected payments, Federal sources .....	6	-5	.....
1750	Spending auth from offsetting collections, disc (total) .....	8	.....	.....
1900	Budget authority (total) .....	1,233	1,291	.....
1930	Total budgetary resources available .....	1,372	1,362	71
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	71	71	71

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	1,100	1,296	722
3010	Obligations incurred, unexpired accounts .....	1,301	1,291	.....
3011	Obligations incurred, expired accounts .....	19	.....	.....
3020	Outlays (gross) .....	-1,059	-1,865	-647
3041	Recoveries of prior year unpaid obligations, expired .....	-65	.....	.....
3050	Unpaid obligations, end of year .....	1,296	722	75

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-11	-9
3070	Change in uncollected pymts, Fed sources, unexpired .....	-6	5	.....
3071	Change in uncollected pymts, Fed sources, expired .....	1	-3	.....
3090	Uncollected pymts, Fed sources, end of year .....	-11	-9	-9

Memorandum (non-add) entries:

3100	Obligated balance, start of year .....	1,094	1,285	713
3200	Obligated balance, end of year .....	1,285	713	66

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	1,233	1,291	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	380	865	.....
4011	Outlays from discretionary balances .....	679	1,000	647
4020	Outlays, gross (total) .....	1,059	1,865	647
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2	-5	.....
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) ...	-3	-5	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6	5	.....
4052	Offsetting collections credited to expired accounts .....	1	.....	.....
4060	Additional offsets against budget authority only (total) .....	-5	5	.....
4070	Budget authority, net (discretionary) .....	1,225	1,291	.....
4080	Outlays, net (discretionary) .....	1,056	1,860	647
4180	Budget authority, net (total) .....	1,225	1,291	.....
4190	Outlays, net (total) .....	1,056	1,860	647

## INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY—Continued

## Object Classification (in millions of dollars)

Identification code 070-0565-0-1-054	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	132	162	.....
11.3 Other than full-time permanent .....	2	3	.....
11.5 Other personnel compensation .....	4	6	.....
11.9 Total personnel compensation .....	138	171	.....
12.1 Civilian personnel benefits .....	40	46	.....
21.0 Travel and transportation of persons .....	6	7	.....
23.1 Rental payments to GSA .....	63	26	.....
23.2 Rental payments to others .....	2	3	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	11	.....
25.1 Advisory and assistance services .....	558	229	.....
25.2 Other services from non-Federal sources .....	2	60	.....
25.3 Other goods and services from Federal sources .....	362	208	.....
25.4 Operation and maintenance of facilities .....	3	1	.....
25.5 Research and development contracts .....	4	.....	.....
25.7 Operation and maintenance of equipment .....	13	275	.....
26.0 Supplies and materials .....	.....	1	.....
31.0 Equipment .....	83	241	.....
41.0 Grants, subsidies, and contributions .....	19	12	.....
99.0 Direct obligations .....	1,294	1,291	.....
99.0 Reimbursable obligations .....	7	.....	.....
99.9 Total new obligations .....	1,301	1,291	.....

## Employment Summary

Identification code 070-0565-0-1-054	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,196	1,287	.....

## FEDERAL PROTECTIVE SERVICE

The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service [; Provided, That the Director of the Federal Protective Service shall submit at the time the President's budget proposal for fiscal year 2017 is submitted pursuant to section 1105(a) of title 31, United States Code, a strategic human capital plan that aligns fee collections to personnel requirements based on a current threat assessment]. (Department of Homeland Security Appropriations Act, 2016.)

## Program and Financing (in millions of dollars)

Identification code 070-0542-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Federal Protective Service (Reimbursable) .....	1,328	1,443	1,451
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	206	200	225
1021 Recoveries of prior year unpaid obligations .....	45	25	20
1050 Unobligated balance (total) .....	251	225	245
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,235	1,443	1,451
1701 Change in uncollected payments, Federal sources .....	42	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1,277	1,443	1,451
1930 Total budgetary resources available .....	1,528	1,668	1,696
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	200	225	245

## Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	349	404	274
3010 Obligations incurred, unexpired accounts .....	1,328	1,443	1,451
3020 Outlays (gross) .....	-1,228	-1,548	-1,541
3040 Recoveries of prior year unpaid obligations, unexpired .....	-45	-25	-20
3050 Unpaid obligations, end of year .....	404	274	164
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-118	-160	-160

3070 Change in uncollected pymts, Fed sources, unexpired .....	-42	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-160	-160	-160
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	231	244	114
3200 Obligated balance, end of year .....	244	114	4

## Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,277	1,443	1,451
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,034	1,443	1,451
4011 Outlays from discretionary balances .....	194	105	90
4020 Outlays, gross (total) .....	1,228	1,548	1,541
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,234	-1,440	-1,448
4033 Non-Federal sources .....	-1	-3	-3
4040 Offsets against gross budget authority and outlays (total) .....	-1,235	-1,443	-1,451
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-42	.....	.....
4080 Outlays, net (discretionary) .....	-7	105	90
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-7	105	90

The Federal Protective Service (FPS) protects Federal facilities and those who occupy them by conducting law enforcement and protective security services, and leveraging access to the intelligence and information resources of Federal, State, local, tribal, territorial, and private sector partners. FPS conducts Facility Security Assessments and recommends appropriate countermeasures, ensures stakeholder threat awareness training, and oversees a large contract Protective Security Officer workforce. These services provide a comprehensive risk-based approach to facility protection that allows FPS to prioritize its operations to prevent, detect, assess, respond to, and disrupt criminal and other incidents that endanger the Federal community.

## Object Classification (in millions of dollars)

Identification code 070-0542-0-1-804	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	116	126	132
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	21	24	25
11.9 Total personnel compensation .....	139	152	159
12.1 Civilian personnel benefits .....	42	46	48
21.0 Travel and transportation of persons .....	10	13	13
22.0 Transportation of things .....	13	13	13
23.1 Rental payments to GSA .....	31	29	30
23.3 Communications, utilities, and miscellaneous charges .....	10	10	10
25.1 Advisory and assistance services .....	27	28	28
25.2 Other services from non-Federal sources .....	978	1,076	1,079
25.3 Other goods and services from Federal sources .....	9	9	9
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	54	50	45
25.8 Subsistence and support of persons .....	4	4	4
26.0 Supplies and materials .....	3	5	5
31.0 Equipment .....	7	7	7
99.9 Total new obligations .....	1,328	1,443	1,451

## Employment Summary

Identification code 070-0542-0-1-804	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,290	1,386	1,507

## [OFFICE OF BIOMETRIC IDENTITY MANAGEMENT]

[For necessary expenses for the Office of Biometric Identity Management, as authorized by section 7208 of the Intelligence Reform and Terrorism Prevention Act of 2004 (8 U.S.C. 1365b), \$282,473,000, of which \$159,054,000 shall remain available until September 30, 2018.] (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0521-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 System development and deployment	243	282	
0801 Reimbursable system development and deployment	16		
0900 Total new obligations	259	282	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28	54	54
1021 Recoveries of prior year unpaid obligations	23		
1050 Unobligated balance (total)	51	54	54
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	252	282	
1120 Appropriations transferred to other acct (070-0565)	-5		
1160 Appropriation, discretionary (total)	247	282	
Spending authority from offsetting collections, discretionary:			
1700 Collected	6		
1701 Change in uncollected payments, Federal sources	9		
1750 Spending auth from offsetting collections, disc (total)	15		
1900 Budget authority (total)	262	282	
1930 Total budgetary resources available	313	336	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	54	54	54
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	291	273	90
3010 Obligations incurred, unexpired accounts	259	282	
3011 Obligations incurred, expired accounts	1		
3020 Outlays (gross)	-253	-279	-90
3040 Recoveries of prior year unpaid obligations, unexpired	-23		
3041 Recoveries of prior year unpaid obligations, expired	-2	-186	
3050 Unpaid obligations, end of year	273	90	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired	-9		
3071 Change in uncollected pymts, Fed sources, expired	4		
3090 Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	282	259	76
3200 Obligated balance, end of year	259	76	-14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	262	282	
Outlays, gross:			
4010 Outlays from new discretionary authority	115	195	
4011 Outlays from discretionary balances	138	84	90
4020 Outlays, gross (total)	253	279	90
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-10	-9	
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-11	-9	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-9		
4052 Offsetting collections credited to expired accounts	5	9	
4060 Additional offsets against budget authority only (total)	-4	9	
4070 Budget authority, net (discretionary)	247	282	
4080 Outlays, net (discretionary)	242	270	90
4180 Budget authority, net (total)	247	282	
4190 Outlays, net (total)	242	270	90

**Object Classification** (in millions of dollars)

Identification code 070-0521-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent	18	18	
11.5 Other personnel compensation		1	
11.9 Total personnel compensation	18	19	
12.1 Civilian personnel benefits	6	5	
23.1 Rental payments to GSA	1	1	

23.3	Communications, utilities, and miscellaneous charges	1	9	
25.1	Advisory and assistance services	6	1	
25.2	Other services from non-Federal sources	30	27	
25.3	Other goods and services from Federal sources	17	14	
25.7	Operation and maintenance of equipment	130	117	
31.0	Equipment	34	89	
99.0	Direct obligations	243	282	
99.0	Reimbursable obligations	16		
99.9	Total new obligations	259	282	

**Employment Summary**

Identification code 070-0521-0-1-751	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	166	168	

**BIODEFENSE COUNTERMEASURES**

**Program and Financing** (in millions of dollars)

Identification code 070-0714-0-1-551	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	81	66	44
3020	Outlays (gross)	-15	-22	-22
3050	Unpaid obligations, end of year	66	44	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	81	66	44
3200	Obligated balance, end of year	66	44	22
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	15	22	22
4180	Budget authority, net (total)			
4190	Outlays, net (total)	15	22	22

The 2004 Homeland Security Appropriations Act established appropriations for Biodefense Countermeasures through 2013. This program, which the Departments of Homeland Security and Health and Human Services jointly manage, was designed to support the government's efforts to secure medical countermeasures to strengthen the Nation's preparedness against bioterror attacks by pre-purchasing critically needed vaccines and other countermeasures for biodefense.

**【OFFICE OF HEALTH AFFAIRS】**

【For necessary expenses of the Office of Health Affairs, \$125,369,000; of which \$27,010,000 is for salaries and expenses and \$82,078,000 is for BioWatch operations: *Provided*, That of the amount made available under this heading, \$16,281,000 shall remain available until September 30, 2017, for biosurveillance, chemical defense, medical and health planning and coordination, and workforce health protection.】  
(Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0117-0-1-453	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Biodefense activities	131	125	
0801	Reimbursable program (Sched. O-2118)	46	46	
0900	Total new obligations	177	171	

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	2	3
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	4	2	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	129	125	
Spending authority from offsetting collections, discretionary:				
1700	Collected	35	47	

OFFICE OF HEALTH AFFAIRS—Continued  
Program and Financing—Continued

Identification code 070-0117-0-1-453	2015 actual	2016 est.	2017 est.
1701 Change in uncollected payments, Federal sources .....	11		
1750 Spending auth from offsetting collections, disc (total) .....	46	47	
1900 Budget authority (total) .....	175	172	
1930 Total budgetary resources available .....	179	174	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	138	70
3010 Obligations incurred, unexpired accounts .....	177	171	
3020 Outlays (gross) .....	-159	-239	-56
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	138	70	14
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11		
3071 Change in uncollected pymts, Fed sources, expired .....	12		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	116	127	59
3200 Obligated balance, end of year .....	127	59	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	175	172	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	79	110	
4011 Outlays from discretionary balances .....	80	129	56
4020 Outlays, gross (total) .....	159	239	56
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-46	-47	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-11		
4052 Offsetting collections credited to expired accounts .....	11		
4070 Budget authority, net (discretionary) .....	129	125	
4080 Outlays, net (discretionary) .....	113	192	56
4180 Budget authority, net (total) .....	129	125	
4190 Outlays, net (total) .....	113	192	56

Object Classification (in millions of dollars)

Identification code 070-0117-0-1-453	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	12	12	
11.8 Special personal services payments .....	6	6	
11.9 Total personnel compensation .....	18	18	
12.1 Civilian personnel benefits .....	4	4	
23.1 Rental payments to GSA .....	3	4	
25.1 Advisory and assistance services .....	40	39	
25.3 Other goods and services from Federal sources .....	17	15	
26.0 Supplies and materials .....	14	15	
41.0 Grants, subsidies, and contributions .....	31	30	
99.0 Direct obligations .....	127	125	
99.0 Reimbursable obligations .....	50	46	
99.9 Total new obligations .....	177	171	

Employment Summary

Identification code 070-0117-0-1-453	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	96	96	

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For the procurement, construction, and improvement expenses of the National Protection and Programs Directorate, as authorized by title II of the Homeland

Security Act of 2002 (6 U.S.C. 121 et seq.), \$436,797,000, to remain available until September 30, 2018.

Program and Financing (in millions of dollars)

Identification code 070-0412-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Protect Infrastructure (Direct) .....			437
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			437
1930 Total budgetary resources available .....			437
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			437
3020 Outlays (gross) .....			-218
3050 Unpaid obligations, end of year .....			219
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			219
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			437
Outlays, gross:			
4010 Outlays from new discretionary authority .....			218
4180 Budget authority, net (total) .....			437
4190 Outlays, net (total) .....			218

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. The 2017 President's Budget requests the Congress establish a Procurement, Construction, and Improvements account under the National Protection and Programs Directorate. The 2017 request realigns a portion of funding and activities to this new account from the current Infrastructure Protection and Information Security account.

Object Classification (in millions of dollars)

Identification code 070-0412-0-1-054	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....			8
25.3 Other goods and services from Federal sources .....			387
31.0 Equipment .....			42
99.9 Total new obligations .....			437

RESEARCH AND DEVELOPMENT

For research and development expenses of the National Protection and Programs Directorate, as authorized by the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$4,469,000, to remain available until September 30, 2018.

Program and Financing (in millions of dollars)

Identification code 070-0805-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Infrastructure Capacity Building (Direct) .....			3
0002 Protect Infrastructure (Direct) .....			1
0900 Total new obligations (object class 25.5) .....			4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4
1930 Total budgetary resources available .....			4

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4

3020	Outlays (gross) .....	-----	-----	-----	-3
3050	Unpaid obligations, end of year .....	-----	-----	-----	1
	Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....	-----	-----	-----	1
<b>Budget authority and outlays, net:</b>					
Discretionary:					
4000	Budget authority, gross .....	-----	-----	-----	4
	Outlays, gross:				
4010	Outlays from new discretionary authority .....	-----	-----	-----	3
4180	Budget authority, net (total) .....	-----	-----	-----	4
4190	Outlays, net (total) .....	-----	-----	-----	3

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products and processes with the expectation of maintaining or increasing national economic productive capacity or yielding other future benefits. The 2017 President's Budget requests the Congress establish a Research and Development account under the National Protection and Programs Directorate. The 2017 request realigns a portion of funding and activities to this new account from the current Infrastructure Protection and Information Security account.

**FEDERAL EMERGENCY MANAGEMENT AGENCY**

*Federal Funds*

*FEDERAL ASSISTANCE*

For activities of the Federal Emergency Management Agency associated with the provision of federal assistance, \$2,518,276,700, as follows:

(a) to carry out the emergency food and shelter program pursuant to title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 et seq.), \$100,000,000, to remain available until expended: Provided, That total administrative costs shall not exceed 3.5 percent of the total amount made available under this heading: Provided further, That the Administrator of the Federal Emergency Management Agency (Administrator) may transfer funds appropriated under this heading to "Department of Housing and Urban Development—Homeless Assistance Grants": Provided further, That, if funds are transferred pursuant to the previous provision, notwithstanding the references to the Administrator in 42 U.S.C 11331 through 11335 and 11341, the Secretary of Housing and Urban Development shall carry out the functions of the Administrator with respect to the Emergency Food and Shelter Program, including with respect to the National Board;

(b) for the predisaster mitigation grant program under section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133), \$54,485,623, to remain available until expended;

(c) for necessary expenses, including administrative costs, under section 1360 of the National Flood Insurance Act of 1968 (42 U.S.C. 4101), under sections 100215, 100216, 100226, 100230, and 100246 of the Biggert-Waters Flood Insurance Reform Act of 2012, (Public Law 112–141, 126 Stat. 916), and under section 17 of the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113–89, 128 Stat. 1020), \$177,530,500, and such additional sums as may be provided by State and local governments or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of such Act (42 U.S.C. 4101(f)(2)), to remain available until expended;

(d) for necessary expenses of the United States Fire Administration and for other purposes, as authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.) and the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), \$40,811,513;

(e) for grants, contracts, cooperative agreements, and other activities, \$2,131,175,064, which shall be allocated as follows:

(1) \$200,000,000 shall be for the State Homeland Security Grant Program under section 2004 of the Homeland Security Act of 2002 (6 U.S.C. 605);

(2) \$330,000,000 shall be for the Urban Area Security Initiative under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604);

(3) \$85,000,000 shall be for the Public Transportation Security Assistance and Railroad Security Assistance, under sections 1406 and 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1135 and 1163), of which \$10,000,000 shall be for Amtrak security: Provided, That such public transportation security assistance shall be provided directly to public transportation agencies;

(4) \$93,000,000 shall be for the Port Security Grants in accordance with 46 U.S.C. 70107: Provided, That, for paragraphs (1) through (4) above, notwithstanding section 2008(a)(11) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(11)), or any other provision of law, a recipient or subrecipient of a grant may use not more than 5 percent of the amount of the grant or subgrant made available to them under this heading for expenses directly related to administration of the grant: Provided further, That applications for grants under these headings shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application: Provided further, That for grants under paragraphs (1) and (2), the installation of communications towers is not considered construction of a building or other physical facility: Provided further, That grantees shall provide reports on their use of funds, as determined necessary by the Secretary of Homeland Security: Provided further, That notwithstanding section 2008 (a)(11) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(11)), the Administrator of the Federal Emergency Management Agency may use the funds provided in paragraph (g) to acquire real property for the purpose of establishing or appropriately extending the security buffer zones around Federal Emergency Management Agency training facilities;

(5) \$670,000,000, to remain available until September 30, 2018, shall be for necessary expenses for programs authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), of which \$335,000,000 shall be available to carry out section 33 of that Act (15 U.S.C. 2229), and \$335,000,000 shall be available to carry out section 34 of that Act (15 U.S.C. 2229a);

(6) \$350,000,000 shall be available for necessary expenses for emergency management performance grants, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), 6 U.S.C. 762, and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.);

(7) \$157,492,665 shall be for training, exercises, technical assistance, and other programs, of which \$36,000,000 shall be for National Domestic Preparedness Consortium for the purpose of achieving the National Preparedness Goal, \$37,643,000 shall be for the Center for Homeland Defense and Security to continue master's degree, executive leadership and associated programs in homeland security and the Emergency Management Institute, and \$83,849,665 shall be for the Center for Domestic Preparedness and the National Exercise Division;

(8) \$96,682,399 shall be available for the necessary expenses of Protection and National Preparedness, including any activities authorized by the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109–295; 120 Stat. 1394), and Title VI of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133);

(9) \$49,000,000, to remain available until September 30, 2018, shall be for necessary expenses for emergent threats from violent extremism and from complex, coordinated terrorist attacks; and

(10) \$100,000,000, to remain available until September 30, 2018, shall be for a regional competitive grant program; and

(f) \$14,274,000, for necessary expenses, including activities authorized by the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.) and the National Dam Safety Program Act (33 U.S.C. 467 et seq.).

**Program and Financing** (in millions of dollars)

Identification code 070–0413–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mitigation .....			14
0002 Flood Hazard Mapping and Risk Analysis .....			178
0003 National Predisaster Mitigation .....			54
0004 Protection and Preparedness .....			96
0005 State and Local Grants .....			708
0006 Emergency Management Performance Grants .....			350
0007 Education, Training and Exercises .....			157
0009 United States Fire Administration .....			41
0010 Emergency Food and Shelter .....			100
0900 Total new obligations .....			1,698

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Mitigation .....			14
1100 Flood Hazard Mapping and Risk Analysis .....			178
1100 National Predisaster Mitigation .....			54
1100 Protection and Preparedness .....			97
1100 State and Local Grants .....			857

FEDERAL ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 070-0413-0-1-999	2015 actual	2016 est.	2017 est.
1100 Firefighter Grants .....			670
1100 Emergency Management Performance Grants .....			350
1100 Education, Training and Exercises .....			157
1100 United States Fire Administration .....			41
1100 Emergency Food and Shelter .....			100
1160 Appropriation, discretionary (total) .....			2,518
1930 Total budgetary resources available .....			2,518
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			820
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,698
3020 Outlays (gross) .....			-160
3050 Unpaid obligations, end of year .....			1,538
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,538
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			2,518
Outlays, gross:			
4010 Outlays from new discretionary authority .....			160
4180 Budget authority, net (total) .....			2,518
4190 Outlays, net (total) .....			160

Federal Assistance provides monetary or non-monetary support to any entity. Support may be provided in the form of grants or grant agreements, cooperative agreements, non-cash contributions and other federal support but not including amounts received as reimbursement for services rendered to individuals in accordance with guidance issued by the Director of OMB. The 2017 President's Budget requests the Congress establish a Federal Assistance account under the Federal Emergency Management Agency (FEMA). Through a variety of programs, FEMA provides for grants, training, exercises, and other support to assist Federal agencies, States, territories, and tribal and local jurisdictions to prevent, protect against, mitigate, respond to and recover from terrorism and natural disasters. The Emergency Food and Shelter program awards grants to nonprofit and faith-based organizations at the local level to supplement their programs.

In 2017, the Emergency Food and Shelter program is proposed to be transferred to the Department of Housing and Urban Development's Homeless Assistance Grants program in order to consolidate and better coordinate assistance to populations in need.

FEMA's Mitigation mission seeks to reduce or eliminate long-term risks to people and property for hazards and their effects. Mitigation benefits society by creating safer communities, enabling people to recover more rapidly from floods and other disasters, thereby reducing the financial impact on Federal, State, tribal and local governments and communities. The Mitigation mission is accomplished through the National Pre-disaster Mitigation Fund (PDM), the Flood Hazard Mapping and Risk Analysis Program (Risk MAP), National Dam Safety Program (NDSP), and the National Earthquake Hazards Reduction Program (NEHRP).

The National Pre-disaster Mitigation Fund provides technical assistance and grants to State, territory, local, and Tribal governments to reduce the risks associated with disasters. Risk MAP delivers quality data that increases public awareness and leads to action that reduces risk to life and property through collaboration with State, local, and tribal entities. Risk MAP drives national actions to reduce flood risk by addressing flood hazard data update needs, supporting local government hazard mitigation planning, and providing the flood risk data needed to manage the NFIP financial exposure. Through the National Dam Safety Program, FEMA coordinates efforts through collaborative partnerships between States, Federal agencies, and private sector and other stakeholders to secure the safety of these dams through research, training, and public awareness. The National Earthquake

Hazards Reductions Program was established in 1977 to help state and local governments plan and implement earthquake mitigation measures.

FEMA's Preparedness mission seeks to reduce the loss of life and property and protect the Nation by planning, training, exercising, and building the emergency management profession. As manager and coordinator of the preparedness cycle, FEMA provides assistance, support, and leadership to help Federal, State, tribal and local governments and the private sector build the operational capabilities needed to implement preparedness strategies successfully. The United States Fire Administration supports the preparedness of the nation's fire and emergency service leaders through analysis, training, and education regarding how to evaluate and minimize community risk, improve protection of critical infrastructure, and prepare to respond to all-hazard emergencies.

FEMA provides state and local preparedness grants that focus on building and sustaining the 32 core capabilities associated with the five mission areas described in the National Preparedness Goal. These grants include: (1) Homeland Security Grant Program (HSGP) - These grants provide funding to states, territories, urban areas, and other local and tribal governments to prevent, protect against, mitigate, respond to, and recover from potential terrorist attacks and other hazards. The HSGP plays an important role in the implementation of the National Preparedness System by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal of a secure and resilient Nation. (2) Firefighter Assistance Grants - These grants provide direct assistance to local fire departments for investments to improve their ability to safeguard the lives of firefighting personnel and members of the public in the event of a terrorist attack. The request includes grants to hire and retain firefighters, training, equipment, and personal protective gear. The funding supports three grant programs: the Staffing for Adequate Fire and Emergency Response (SAFER) grants, the Assistance to Firefighter Grant (AFG), and the Fire Prevention and Safety grant program. The competitive, peer-review grant process will give priority to applications that enhance capabilities for terrorism response and other major incidents. (3) Emergency Management Performance Grants (EMPG) - These grants support State and regional efforts to achieve target levels of capability in catastrophic planning and emergency management. This program provides funding by formula basis to all 56 States and territories. (4) Education, Training and Exercises Programs - FEMA provides specialized training to emergency responders and supports development, execution, and evaluation of exercises to test the Nation's preparedness for all hazards.

Object Classification (in millions of dollars)

Identification code 070-0413-0-1-999	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			69
11.9 Total personnel compensation .....			69
12.1 Civilian personnel benefits .....			26
21.0 Travel and transportation of persons .....			7
23.3 Communications, utilities, and miscellaneous charges .....			5
25.1 Advisory and assistance services .....			7
25.2 Other services from non-Federal sources .....			181
25.4 Operation and maintenance of facilities .....			5
25.7 Operation and maintenance of equipment .....			5
26.0 Supplies and materials .....			2
31.0 Equipment .....			4
41.0 Grants, subsidies, and contributions .....			1,387
99.9 Total new obligations .....			1,698

Employment Summary

Identification code 070-0413-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			790

**【SALARIES AND EXPENSES】 OPERATIONS AND SUPPORT**

For necessary expenses of the Federal Emergency Management Agency, *including minor procurements, construction, and improvements*, **【\$960,754,000】 \$927,524,000**, including activities authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Cerro Grande Fire Assistance Act of 2000 (division C, title I, 114 Stat. 583), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), the Defense Production Act of 1950 (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947 (50 U.S.C. 404, 405), **【Reorganization Plan No. 3 of 1978 (5 U.S.C. App.)】**, the National Dam Safety Program Act (33 U.S.C. 467 et seq.), the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110–53), the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), and the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109–295; 120 Stat. 1394) **【**, the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112–141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113–89) **】**: *Provided*, That not to exceed \$2,250 shall be for official reception and representation expenses **】**: *Provided further*, That of the total amount made available under this heading, \$35,180,000 shall be for the Urban Search and Rescue Response System, of which none is available for Federal Emergency Management Agency administrative costs: *Provided further*, That of the total amount made available under this heading, \$27,500,000 shall remain available until September 30, 2017, for capital improvements and other expenses related to continuity of operations at the Mount Weather Emergency Operations Center: *Provided further*, That of the total amount made available, \$3,422,000 shall be for the Office of National Capital Region Coordination **】**. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070–0700–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Administrative and Regional Offices .....	240	237	.....
0006 Preparedness and Protection .....	197	190	50
0007 Response .....	178	174	.....
0008 Recovery .....	56	50	.....
0009 Mitigation .....	29	28	11
0010 Mission Support .....	153	181	.....
0011 Centrally Managed Accounts .....	98	101	.....
0012 Management & Administration .....	.....	.....	448
0013 Integrated Operations .....	.....	.....	182
0014 Response and Recovery .....	.....	.....	237
0799 Total direct obligations .....	951	961	928
0801 Salaries and Expenses (Reimbursable) .....	42	58	59
0900 Total new obligations .....	993	1,019	987
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	14	14
1011 Unobligated balance transfer from other acct [070–0540] ...	3	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	2	.....	.....
1028 Adjustment in unobligated balances for change in investments of zero coupon bonds (revolving funds) .....	5	.....	.....
1050 Unobligated balance (total) .....	34	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	934	961	928
1121 Appropriations transferred from other acct [070–0540] ...	1	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	–1	.....	.....
1160 Appropriation, discretionary (total) .....	934	961	928
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	44	58	59
1701 Change in uncollected payments, Federal sources .....	–2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	42	58	59
1900 Budget authority (total) .....	976	1,019	987
1930 Total budgetary resources available .....	1,010	1,033	1,001
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–3	.....	.....
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	485	517	410
3010 Obligations incurred, unexpired accounts .....	993	1,019	987

3011 Obligations incurred, expired accounts .....	11	.....	.....
3020 Outlays (gross) .....	–940	–996	–996
3041 Recoveries of prior year unpaid obligations, expired .....	–32	–130	.....
3050 Unpaid obligations, end of year .....	517	410	401
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–13	–9	–9
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–9	–9	–9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	472	508	401
3200 Obligated balance, end of year .....	508	401	392
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	976	1,019	987
Outlays, gross:			
4010 Outlays from new discretionary authority .....	615	682	661
4011 Outlays from discretionary balances .....	325	314	335
4020 Outlays, gross (total) .....	940	996	996
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–44	–58	–59
4033 Non-Federal sources .....	–2	.....	.....
4040 Offsets against gross budget authority and outlays (total) ...	–46	–58	–59
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	4	.....	.....
4070 Budget authority, net (discretionary) .....	934	961	928
4080 Outlays, net (discretionary) .....	894	938	937
4180 Budget authority, net (total) .....	934	961	928
4190 Outlays, net (total) .....	894	938	937

Operations and Support provides core mission development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of terrorist attacks and other major disasters and emergencies, in partnership with other Federal agencies, State and local governments, volunteer organizations and the private sector. Activities supported by this account incorporate the essential command and control functions, mitigate long-term risks, ensure the continuity and restoration of essential services and functions and provide leadership to build, sustain and improve the coordination and delivery of support to citizens and State, local, tribal and territorial governments.

**Object Classification** (in millions of dollars)

Identification code 070–0700–0–1–999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	363	359	346
11.3 Other than full-time permanent .....	1	.....	.....
11.5 Other personnel compensation .....	7	.....	.....
11.9 Total personnel compensation .....	371	359	346
12.1 Civilian personnel benefits .....	116	143	134
21.0 Travel and transportation of persons .....	14	15	8
23.1 Rental payments to GSA .....	21	27	27
23.3 Communications, utilities, and miscellaneous charges .....	24	25	22
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	68	19	9
25.2 Other services from non-Federal sources .....	179	247	256
25.3 Other goods and services from Federal sources .....	.....	24	22
25.4 Operation and maintenance of facilities .....	23	3	29
25.6 Medical care .....	1	.....	.....
25.7 Operation and maintenance of equipment .....	19	4	3
26.0 Supplies and materials .....	5	6	5
31.0 Equipment .....	27	17	22
32.0 Land and structures .....	36	35	16
41.0 Grants, subsidies, and contributions .....	46	36	28
99.0 Direct obligations .....	951	961	928
99.0 Reimbursable obligations .....	42	58	59
99.9 Total new obligations .....	993	1,019	987

OPERATIONS AND SUPPORT—Continued  
**Employment Summary**

Identification code 070-0700-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,830	3,681	3,470
2001 Reimbursable civilian full-time equivalent employment .....	23	24	24

**【STATE AND LOCAL PROGRAMS】**

【For grants, contracts, cooperative agreements, and other activities, \$1,500,000,000, which shall be allocated as follows:】

【(1) \$467,000,000 shall be for the State Homeland Security Grant Program under section 2004 of the Homeland Security Act of 2002 (6 U.S.C. 605), of which \$55,000,000 shall be for Operation Stonegarden: *Provided*, That notwithstanding subsection (c)(4) of such section 2004, for fiscal year 2016, the Commonwealth of Puerto Rico shall make available to local and tribal governments amounts provided to the Commonwealth of Puerto Rico under this paragraph in accordance with subsection (c)(1) of such section 2004.】

【(2) \$600,000,000 shall be for the Urban Area Security Initiative under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604), of which \$20,000,000 shall be for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary of Homeland Security to be at high risk of a terrorist attack.】

【(3) \$100,000,000 shall be for Public Transportation Security Assistance, Railroad Security Assistance, and Over-the-Road Bus Security Assistance under sections 1406, 1513, and 1532 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53; 6 U.S.C. 1135, 1163, and 1182), of which \$10,000,000 shall be for Amtrak security and \$3,000,000 shall be for Over-the-Road Bus Security: *Provided*, That such public transportation security assistance shall be provided directly to public transportation agencies.】

【(4) \$100,000,000 shall be for Port Security Grants in accordance with 46 U.S.C. 70107.】

【(5) \$233,000,000 shall be to sustain current operations for training, exercises, technical assistance, and other programs, of which \$162,991,000 shall be for training of State, local, and tribal emergency response providers:】

【*Provided*, That for grants under paragraphs (1) through (4), applications for grants shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, that eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application: *Provided further*, That notwithstanding section 2008(a)(11) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(11)) or any other provision of law, a grantee may not use more than 5 percent of the amount of a grant made available under this heading for expenses directly related to administration of the grant: *Provided further*, That for grants under paragraphs (1) and (2), the installation of communications towers is not considered construction of a building or other physical facility: *Provided further*, That grantees shall provide reports on their use of funds, as determined necessary by the Secretary of Homeland Security: *Provided further*, That notwithstanding section 509 of this Act, the Administrator of the Federal Emergency Management Agency may use the funds provided in paragraph (5) to acquire real property for the purpose of establishing or appropriately extending the security buffer zones around Federal Emergency Management Agency training facilities.】 (*Department of Homeland Security Appropriations Act, 2016.*)

**【FIREFIGHTER ASSISTANCE GRANTS】**

【For grants for programs authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), \$690,000,000, to remain available until September 30, 2017, of which \$345,000,000 shall be available to carry out section 33 of that Act (15 U.S.C. 2229) and \$345,000,000 shall be available to carry out section 34 of that Act (15 U.S.C. 2229a).】 (*Department of Homeland Security Appropriations Act, 2016.*)

**【EMERGENCY MANAGEMENT PERFORMANCE GRANTS】**

【For emergency management performance grants, as authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.), \$350,000,000.】 (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0560-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assistance to Firefighter Grants .....	680	680	690
0002 Emergency Management Performance Grants .....	350	350	.....
0003 State and Local Program Grants .....	467	467	.....
0004 Education, Training, and Exercises .....	234	234	1
0005 Port Security Grant Program .....	100	100	.....
0006 Transit Security Grants .....	100	100	.....
0008 Urban Area Security Initiative .....	600	600	.....
0009 Counter Violent Extremism .....	.....	.....	50
0900 Total new obligations .....	2,531	2,531	741

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	696	699	761
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	698	701	763
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Firefighter Assistance Grants .....	680	690	.....
1100 Emergency Management Performance Grants .....	350	350	.....
1100 State and Local Program Grants .....	467	467	.....
1100 Education, Training and Exercises .....	234	233	.....
1100 Port Security Program .....	100	100	.....
1100 Transit Security Grants .....	100	100	.....
1100 Urban Area Security Initiative .....	600	600	.....
1100 Counter Violent Extremism .....	.....	50	.....
1160 Appropriation, discretionary (total) .....	2,531	2,590	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	2	1	.....
1900 Budget authority (total) .....	2,533	2,591	.....
1930 Total budgetary resources available .....	3,231	3,292	763
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	699	761	22

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,403	4,849	4,635
3010 Obligations incurred, unexpired accounts .....	2,531	2,531	741
3011 Obligations incurred, expired accounts .....	23	.....	.....
3020 Outlays (gross) .....	-2,920	-2,733	-2,108
3030 Unpaid obligations transferred to other accts [069-0700] .....	-10	-10	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3041 Recoveries of prior year unpaid obligations, expired .....	-176	.....	.....
3050 Unpaid obligations, end of year .....	4,849	4,635	3,266
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5,403	4,849	4,635
3200 Obligated balance, end of year .....	4,849	4,635	3,266

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,533	2,591	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	66	89	.....
4011 Outlays from discretionary balances .....	2,854	2,644	2,108
4020 Outlays, gross (total) .....	2,920	2,733	2,108
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-2	-1	.....
4040 Offsets against gross budget authority and outlays (total) .....	-2	-1	.....
4180 Budget authority, net (total) .....	2,531	2,590	.....
4190 Outlays, net (total) .....	2,918	2,732	2,108

**Object Classification** (in millions of dollars)

Identification code 070-0560-0-1-453	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	19	19	.....
12.1 Civilian personnel benefits .....	7	7	.....
21.0 Travel and transportation of persons .....	5	5	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services from non-Federal sources .....	63	68	1
25.4 Operation and maintenance of facilities .....	3	3	1
26.0 Supplies and materials .....	1	1	.....
31.0 Equipment .....	2	2	.....
41.0 Grants, subsidies, and contributions .....	2,429	2,425	739

99.0	Direct obligations .....	2,530	2,531	741
99.5	Adjustment for rounding .....	1		
99.9	Total new obligations .....	2,531	2,531	741

4070	Budget authority, net (discretionary) .....	-2		
4080	Outlays, net (discretionary) .....	24		3
4180	Budget authority, net (total) .....	-2		
4190	Outlays, net (total) .....	24		3

**Employment Summary**

Identification code 070-0560-0-1-453	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	210	211	

**Memorandum (non-add) entries:**

5090	Unexpired unavailable balance, SOY: Offsetting collections .....	39	39	39
5091	Expiring unavailable balance: Offsetting collections .....	-2		
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	39	39	39
5093	Expired unavailable balance, SOY: Offsetting collections .....	2		2
5095	Expired unavailable balance, EOY: Offsetting collections .....	2		2

**RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM**

The aggregate charges assessed during fiscal year **[2016]2017**, as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security necessary for its radiological emergency preparedness program for the next fiscal year: *Provided*, That the methodology for assessment and collection of fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: *Provided further*, That fees received under this heading shall be deposited in this account as offsetting collections and will become available for authorized purposes on October 1, **[2016]2017**, and remain available until expended. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0715-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Radiological Emergency Preparedness .....	48	45	38

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	6	1
1021 Recoveries of prior year unpaid obligations .....	4		1
1050 Unobligated balance (total) .....	17	6	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	39	40	36
1702 Offsetting collections (previously unavailable) .....	37	40	36
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-39	-40	-36
1750 Spending auth from offsetting collections, disc (total) .....	37	40	36
1930 Total budgetary resources available .....	54	46	38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	1	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	31	36
3010 Obligations incurred, unexpired accounts .....	48	45	38
3020 Outlays (gross) .....	-63	-40	-39
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		-1
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	31	36	34
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	50	30	35
3200 Obligated balance, end of year .....	30	35	33

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	37	40	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	16	14
4011 Outlays from discretionary balances .....	62	24	25
4020 Outlays, gross (total) .....	63	40	39
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		
4033 Non-Federal sources .....	-37	-40	-36
4040 Offsets against gross budget authority and outlays (total) ....	-39	-40	-36

The Radiological Emergency Preparedness Program (REPP) assists State, local and tribal governments in the development of off-site radiological emergency preparedness plans within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is financed from fees assessed and collected from the NRC licensees to cover the costs for radiological emergency planning, preparedness, and response activities in the following year.

**Object Classification** (in millions of dollars)

Identification code 070-0715-0-1-453	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	16	16	16
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	1	1	
25.2 Other services from non-Federal sources .....	14	11	10
44.0 Refunds .....	7	5	
99.0 Reimbursable obligations .....	48	45	38
99.9 Total new obligations .....	48	45	38

**Employment Summary**

Identification code 070-0715-0-1-453	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	158	153	153

**[UNITED STATES FIRE ADMINISTRATION]**

**[For necessary expenses of the United States Fire Administration and for other purposes, as authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.) and the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), \$44,000,000.]** (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0564-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United States Fire Administration (Direct) .....	44	44	

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44	44	
1930 Total budgetary resources available .....	44	44	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	21	16
3010 Obligations incurred, unexpired accounts .....	44	44	
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-40	-48	-11
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-1	
3050 Unpaid obligations, end of year .....	21	16	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	21	16
3200 Obligated balance, end of year .....	21	16	5

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	44	44	

UNITED STATES FIRE ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 070-0564-0-1-453	2015 actual	2016 est.	2017 est.
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	27	29	.....
4011 Outlays from discretionary balances .....	13	19	11
4020 Outlays, gross (total) .....	40	48	11
4180 Budget authority, net (total) .....	44	44	.....
4190 Outlays, net (total) .....	40	48	11

**Object Classification** (in millions of dollars)

Identification code 070-0564-0-1-453	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	11	11	.....
12.1 Civilian personnel benefits .....	4	4	.....
23.3 Communications, utilities, and miscellaneous charges .....	3	3	.....
25.1 Advisory and assistance services .....	7	7	.....
25.2 Other services from non-Federal sources .....	2	2	.....
25.4 Operation and maintenance of facilities .....	7	7	.....
25.7 Operation and maintenance of equipment .....	5	5	.....
26.0 Supplies and materials .....	1	1	.....
31.0 Equipment .....	1	1	.....
41.0 Grants, subsidies, and contributions .....	3	3	.....
99.9 Total new obligations .....	44	44	.....

**Employment Summary**

Identification code 070-0564-0-1-453	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	118	134	.....

**DISASTER RELIEF FUND**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), **[\$7,374,693,000]** \$7,348,515,000, to remain available until expended, of which \$24,000,000 shall be transferred to the Department of Homeland Security Office of Inspector General for audits and investigations related to disasters: *Provided*, [That the reporting requirements in paragraphs (1) and (2) under the heading "Federal Emergency Management Agency, Disaster Relief Fund" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114-4) shall be applied in fiscal year 2016 with respect to budget year 2017 and current fiscal year 2016, respectively, by substituting "fiscal year 2017" for "fiscal year 2016" in paragraph (1): *Provided further*, ] That of the amount provided under this heading, **[\$6,712,953,000]** \$6,709,000,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.): *Provided further*, That the amount in the preceding proviso is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0702-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Base/Non-Major Disasters .....	572	638	616
0004 Disaster Relief .....	8,523	6,713	6,709
0799 Total direct obligations .....	9,095	7,351	7,325
0801 Disaster Relief Fund (Reimbursable) .....	52	1	1
0900 Total new obligations .....	9,147	7,352	7,326
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6,981	5,318	5,046
1021 Recoveries of prior year unpaid obligations .....	828	750	750
1050 Unobligated balance (total) .....	7,809	6,068	5,796

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	7,033	7,375	7,349
1120 Appropriations transferred to other accts (070-0200) .....	-24	-24	-24
1120 Appropriations transferred to other accts (072-1035) .....	-30	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-375	-1,022	-300
1160 Appropriation, discretionary (total) .....	6,604	6,329	7,025
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	52	1	1
1900 Budget authority (total) .....	6,656	6,330	7,026
1930 Total budgetary resources available .....	14,465	12,398	12,822
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5,318	5,046	5,496

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,996	15,126	10,613
3010 Obligations incurred, unexpired accounts .....	9,147	7,352	7,326
3011 Obligations incurred, expired accounts .....	2	2	2
3020 Outlays (gross) .....	-6,190	-11,116	-4,988
3040 Recoveries of prior year unpaid obligations, unexpired .....	-828	-750	-750
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	15,126	10,613	12,202
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	12,996	15,126	10,613
3200 Obligated balance, end of year .....	15,126	10,613	12,202

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	6,656	6,330	7,026
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,634	513	1,228
4011 Outlays from discretionary balances .....	4,556	10,603	3,760
4020 Outlays, gross (total) .....	6,190	11,116	4,988
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-52	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-52	-1	-1
4180 Budget authority, net (total) .....	6,604	6,329	7,025
4190 Outlays, net (total) .....	6,138	11,115	4,987

Through the Disaster Relief Fund (DRF), FEMA provides a significant portion of the total Federal response to Presidentially-declared major disasters and emergencies. Primary assistance programs include Federal assistance to individuals and households, public assistance, and hazard mitigation assistance which includes the repair and reconstruction of State, tribal, territorial, local, and nonprofit infrastructure. Beginning in 2012, section 251(b) (2) (D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (BBEDCA) includes a discretionary cap adjustment for disaster relief, facilitating a shift from a reliance on supplemental appropriations. The BBEDCA requires funds designated as disaster relief pursuant to the cap adjustment to be used for declared major disasters as defined under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

The DRF cap adjustment request consists of four principal components: catastrophic obligations, non-catastrophic obligations, recoveries, and a reserve. Funds required for the catastrophic category, defined as events greater than \$500 million, are based on FEMA spend plans for all past declared catastrophic events and does not include funds for new catastrophic events that may occur in 2017. It is assumed that any new catastrophic event in 2017 will be funded through an emergency supplemental funding request as provided for in BBEDCA. The non-catastrophic amount is based on an approach that uses the ten-year average for non-catastrophic events to provide a more realistic projection of non-catastrophic needs in 2017. The recoveries figure represents the estimated amount that FEMA will de-obligate from prior projects.

The DRF base non-cap adjustment request supports the ten-year average for the costs associated with emergency declarations, pre-disaster surge activities, and fire management assistance grants. The base also includes funds requested for projected yearly disaster readiness and support costs.

**Object Classification** (in millions of dollars)

Identification code 070-0702-0-1-453	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	265	226	220
11.3 Other than full-time permanent .....	60	61	58
11.5 Other personnel compensation .....	24	35	51
11.9 Total personnel compensation .....	349	322	329
12.1 Civilian personnel benefits .....	107	79	78
13.0 Benefits for former personnel .....	18		
21.0 Travel and transportation of persons .....	105	105	105
22.0 Transportation of things .....	7	10	10
23.1 Rental payments to GSA .....	52	40	40
23.2 Rental payments to others .....	12	7	7
23.3 Communications, utilities, and miscellaneous charges .....	32	31	28
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	39	17	17
25.2 Other services from non-Federal sources .....	302	266	254
25.3 Other goods and services from Federal sources .....	44	64	90
25.4 Operation and maintenance of facilities .....	29	45	6
25.7 Operation and maintenance of equipment .....	15	21	5
26.0 Supplies and materials .....	12	17	8
31.0 Equipment .....	16	6	5
32.0 Land and structures .....	14	41	41
41.0 Grants, subsidies, and contributions .....	7,941	6,279	6,301
99.0 Direct obligations .....	9,095	7,351	7,325
99.0 Reimbursable obligations .....	52	1	1
99.9 Total new obligations .....	9,147	7,352	7,326

**Employment Summary**

Identification code 070-0702-0-1-453	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5,068	4,899	4,905

**[FLOOD HAZARD MAPPING AND RISK ANALYSIS PROGRAM]**

For necessary expenses, including administrative costs, under section 1360 of the National Flood Insurance Act of 1968 (42 U.S.C. 4101), and under sections 100215, 100216, 100226, 100230, and 100246 of the Biggert-Waters Flood Insurance Reform Act of 2012, (Public Law 112-141, 126 Stat. 916), \$190,000,000, and such additional sums as may be provided by State and local governments or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of such Act (42 U.S.C. 4101(f)(2)), to remain available until expended. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0500-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Flood Hazard Mapping and Risk Analysis .....	99	190	17
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	13	17	17
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	16	17	17
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	100	190	
1930 Total budgetary resources available .....	116	207	17
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	17	17	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	261	238	191
3010 Obligations incurred, unexpired accounts .....	99	190	17
3020 Outlays (gross) .....	-119	-237	-57
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	238	191	151
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	261	238	191
3200 Obligated balance, end of year .....	238	191	151

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	100	190	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	18	11	
4011 Outlays from discretionary balances .....	101	226	57
4020 Outlays, gross (total) .....	119	237	57
4180 Budget authority, net (total) .....	100	190	
4190 Outlays, net (total) .....	119	237	57

**Object Classification** (in millions of dollars)

Identification code 070-0500-0-1-453	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	
12.1 Civilian personnel benefits .....	2	2	
25.2 Other services from non-Federal sources .....	52	101	12
41.0 Grants, subsidies, and contributions .....	40	83	5
99.0 Direct obligations .....	98	190	17
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	99	190	17

**Employment Summary**

Identification code 070-0500-0-1-453	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	40	57	

**NATIONAL FLOOD INSURANCE FUND**

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112-141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113-89; 128 Stat. 1020), \$181,198,000 \$181,799,000, which shall remain available until September 30, 2017, and shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)); of which \$25,299,000 \$13,436,000 shall be available for salaries and expenses associated with flood management [and flood insurance operations] and \$155,899,000 \$168,363,000 shall be available for flood plain management and flood mapping: *Provided*, That any additional fees collected pursuant to section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)) shall be credited as an offsetting collection to this account, to be available for flood plain management and flood mapping: *Provided further*, That in fiscal year [2016]2017, no funds shall be available from the National Flood Insurance Fund under section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017) in excess of:

- (1) \$133,252,000 \$147,042,000 for operating expenses and salaries and expenses associated with flood insurance operations;
- (2) \$1,123,000,000 for commissions and taxes of agents;
- (3) such sums as are necessary for interest on Treasury borrowings; and
- (4) \$175,000,000 \$175,061,000, which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding sections 1366(e) and 1310(a)(7) of such Act (42 U.S.C. 4104c(e), 4017): *Provided further*, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 shall be deposited in the National Flood Insurance Fund to supplement other amounts specified as available for section 1366 of the National Flood Insurance Act of 1968, notwithstanding section 102(f)(8), section 1366(e), and paragraphs (1) through (3) of section 1367(b) of such Act (42 U.S.C. 4012a(f)(8), 4104c(e), 4104d(b)(1)-(3)): *Provided further*, That total administrative costs shall not exceed 4 percent of the total appropriation: *Provided further*, That up to \$5,000,000 is available to carry out section 24 of the Homeowner Flood Insurance Affordability Act of 2014 (42 U.S.C. 4033). (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-4236-0-3-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 NFIP Mandatory - Insurance .....	2,420	4,903	4,914
0802 Flood Mitigation and Flood Insurance Operations .....	25	27	14

NATIONAL FLOOD INSURANCE FUND—Continued  
Program and Financing—Continued

Identification code 070-4236-0-3-453	2015 actual	2016 est.	2017 est.
0803 Floodplain Management and Flood Mapping .....	143	167	168
0804 Flood Mitigation Grants .....	78	150	175
0900 Total new obligations .....	2,666	5,247	5,271
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	845	946	13
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	6	26	
1021 Recoveries of prior year unpaid obligations .....	27	27	
1023 Unobligated balances applied to repay debt .....	-730		
1050 Unobligated balance (total) .....	142	973	13
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority (Available) .....		696	1,364
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	187	181	182
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (Claims Expense) .....	3,500	3,408	3,614
1802 Offsetting collections (previously unavailable) .....	153	100	98
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-100	-98	
1825 Spending authority from offsetting collections applied to repay debt .....	-270		
1850 Spending auth from offsetting collections, mand (total) .....	3,283	3,410	3,712
1900 Budget authority (total) .....	3,470	4,287	5,258
1930 Total budgetary resources available .....	3,612	5,260	5,271
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	946	13	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	636	595	1,007
3010 Obligations incurred, unexpired accounts .....	2,666	5,247	5,271
3020 Outlays (gross) .....	-2,680	-4,808	-5,304
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27	-27	
3050 Unpaid obligations, end of year .....	595	1,007	974
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	636	595	1,007
3200 Obligated balance, end of year .....	595	1,007	974
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	187	181	182
Outlays, gross:			
4010 Outlays from new discretionary authority .....	70	180	181
4011 Outlays from discretionary balances .....	106	51	48
4020 Outlays, gross (total) .....	176	231	229
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-187	-181	-182
Mandatory:			
4090 Budget authority, gross .....	3,283	4,106	5,076
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,422	4,089	5,058
4101 Outlays from mandatory balances .....	82	488	17
4110 Outlays, gross (total) .....	2,504	4,577	5,075
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3,500	-3,408	-3,614
4180 Budget authority, net (total) .....	-217	698	1,462
4190 Outlays, net (total) .....	-1,007	1,219	1,508
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	153	100	98
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	100	98	

The Federal Government provides flood insurance through the National Flood Insurance Program (NFIP), which is administered by FEMA. Flood insurance is available to homeowners and businesses in communities that have adopted and enforce appropriate floodplain management measures. Coverage is limited to buildings and their contents. By the end of 2015, the program had an estimated 5.3 million policies in more than 22,100 communities with approximately \$1.23 trillion of insurance in force.

The program uses a multi-pronged strategy for reducing future flood damage. The NFIP offers flood mitigation assistance grants to assist flood victims to rebuild to current building codes, including higher base flood elevations, thereby reducing future flood damage. In addition, flood mitigation assistance grants targeted toward repetitive and severe repetitive loss properties not only help owners of high-risk property, but also reduce the disproportionate drain on the National Flood Insurance Fund these properties cause, through acquisition, relocation, or elevation. FEMA works to ensure that the flood mitigation grant program is closely integrated with other FEMA mitigation grant programs, resulting in better coordination and communication with State and local governments. Further, through the Community Rating System, FEMA adjusts premium rates to encourage community and State mitigation activities beyond those required by the NFIP. These efforts, in addition to the minimum NFIP requirements for floodplain management, save over \$1 billion annually in avoided flood claims.

Due to the catastrophic nature of flooding, with Hurricanes Katrina and Sandy as notable examples, insured flood damages far exceeded premium revenue in some years and depleted the program's reserve account, which is a cash fund. On those occasions, the NFIP exercises its borrowing authority through the Treasury to meet flood insurance claim obligations. As a result, the Administration and the Congress have increased the borrowing authority for the fund to \$30.4 billion. The program's debt stands at \$23 billion.

The Biggert-Waters Flood Insurance Reform Act (BW-12) of 2012 (P.L. 112-141) strengthened and improved the fiscal soundness of the NFIP by phasing out subsidies and establishing a reserve fund to pay the expected future obligations of the flood insurance program.

In March 2014, HFIAA was signed into law, further reforming the NFIP and revising many sections of BW-12. Notably, HFIAA repealed many of the largest premium increases introduced by BW-12 and required retroactive refunds of collected BW-12 premium increases, introduced a phase-in to higher full-risk premiums for structures newly mapped into the Special Flood Hazard Area, and created a Flood Insurance Advocate.

Object Classification (in millions of dollars)

Identification code 070-4236-0-3-453	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	38	43
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	37	40	45
12.1 Civilian personnel benefits .....	11	12	15
21.0 Travel and transportation of persons .....	4	3	6
23.1 Rental payments to GSA .....	6	6	6
25.1 Advisory and assistance services .....	4	4	5
25.2 Other services from non-Federal sources .....	243	1,387	1,418
41.0 Grants, subsidies, and contributions .....	84	249	182
42.0 Insurance claims and indemnities .....	1,958	3,079	3,096
43.0 Interest and dividends .....	319	467	498
99.0 Reimbursable obligations .....	2,666	5,247	5,271
99.9 Total new obligations .....	2,666	5,247	5,271

Employment Summary

Identification code 070-4236-0-3-453	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	297	371	412

NATIONAL FLOOD INSURANCE RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5701-0-2-453	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			

Receipts:			
Current law:			
1130	Fees, National Flood Insurance Reserve Fund .....	477	923 964
1140	Earnings on Investments, National Flood Insurance Reserve Fund .....	-27	12 31
1199	Total current law receipts .....	450	935 995
1999	Total receipts .....	450	935 995
2000	Total: Balances and receipts .....	450	935 995
Appropriations:			
Current law:			
2101	National Flood Insurance Reserve Fund .....	-450	-935 -995
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 070-5701-0-2-453	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	122	572 1,507
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	450	935 995
1930	Total budgetary resources available .....	572	1,507 2,502
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	572	1,507 2,502
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	450	935 995
4180	Budget authority, net (total) .....	450	935 995
4190	Outlays, net (total) .....		
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value .....	255	267
5001	Total investments, EOY: Federal securities: Par value .....	255	267 298

As directed by the Biggert-Waters Flood Insurance Reform Act of 2012, FEMA has established the National Flood Insurance Reserve Fund for the National Flood Insurance Program to meet expected future obligations of the program, to include payment of claims, claims adjustment expenses, and the repayment of outstanding debt owed to the U.S. Treasury including interest.

**【NATIONAL PREDISASTER MITIGATION FUND】**

【For the predisaster mitigation grant program under section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133), \$100,000,000, to remain available until expended.】 (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0716-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Pre-disaster mitigation .....	67	100
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	141	83 69
1021	Recoveries of prior year unpaid obligations .....	8	
1050	Unobligated balance (total) .....	149	83 69
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	25	100
1130	Appropriations permanently reduced .....	-24	
1131	Unobligated balance of appropriations permanently reduced .....		-14
1160	Appropriation, discretionary (total) .....	1	86
1930	Total budgetary resources available .....	150	169 69
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	83	69 69

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	148	161 138
3010	Obligations incurred, unexpired accounts .....	67	100
3020	Outlays (gross) .....	-46	-123 -35
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8	
3050	Unpaid obligations, end of year .....	161	138 103
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	148	161 138
3200	Obligated balance, end of year .....	161	138 103

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....	1	86
Outlays, gross:			
4010	Outlays from new discretionary authority .....		5
4011	Outlays from discretionary balances .....	46	118 35
4020	Outlays, gross (total) .....	46	123 35
4180	Budget authority, net (total) .....	1	86
4190	Outlays, net (total) .....	46	123 35

**Object Classification** (in millions of dollars)

Identification code 070-0716-0-1-453	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....		1
25.2	Other services from non-Federal sources .....	2	2
41.0	Grants, subsidies, and contributions .....	64	96
99.0	Direct obligations .....	66	99
99.5	Adjustment for rounding .....	1	1
99.9	Total new obligations .....	67	100

**Employment Summary**

Identification code 070-0716-0-1-453	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	2	9

**【EMERGENCY FOOD AND SHELTER】**

【To carry out the Emergency Food and Shelter program pursuant to title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 et seq.), \$120,000,000, to remain available until expended: *Provided*, That total administrative costs shall not exceed 3.5 percent of the total amount made available under this heading: *Provided further*, That if the President's budget proposal for fiscal year 2017, submitted pursuant to section 1105(a) of title 31, United States Code, proposes to move the Emergency Food and Shelter program from the Federal Emergency Management Agency to the Department of Housing and Urban Development, or to fund such program directly through the Department of Housing and Urban Development, a joint transition plan from the Federal Emergency Management Agency and the Department of Housing and Urban Development shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives not later than 90 days after the date the fiscal year 2017 budget is submitted to Congress: *Provided further*, That such plan shall include details on the transition of programmatic responsibilities, efforts to consult with stakeholders, and mechanisms to ensure that the original purpose of the program will be retained.】 (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0707-0-1-605	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101	Emergency food and shelter .....	120	120
0900	Total new obligations (object class 41.0) .....	120	120
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	120	120
1930	Total budgetary resources available .....	120	120

EMERGENCY FOOD AND SHELTER—Continued  
Program and Financing—Continued

Identification code 070-0707-0-1-605	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	144	165	164
3010 Obligations incurred, unexpired accounts .....	120	120	.....
3020 Outlays (gross) .....	-99	-121	-122
3050 Unpaid obligations, end of year .....	165	164	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	144	165	164
3200 Obligated balance, end of year .....	165	164	42
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	120	120	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	99	121	122
4180 Budget authority, net (total) .....	120	120	.....
4190 Outlays, net (total) .....	99	121	122

ADMINISTRATIVE AND REGIONAL OPERATIONS

Program and Financing (in millions of dollars)

Identification code 070-0712-0-1-453	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3020 Outlays (gross) .....	-1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	1	.....	.....

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 070-0703-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	16	46	46
0705 Reestimates of direct loan subsidy .....	74	.....	.....
0706 Interest on reestimates of direct loan subsidy .....	26	.....	.....
0900 Total new obligations (object class 25.2) .....	116	46	46
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	310	295	222
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	311	295	222
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	.....	-27	-120
Appropriations, mandatory:			
1200 Appropriation .....	100	.....	.....
1900 Budget authority (total) .....	100	-27	-120
1930 Total budgetary resources available .....	411	268	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	295	222	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	78	8
3010 Obligations incurred, unexpired accounts .....	116	46	46

3020 Outlays (gross) .....	-112	-116	-35
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	78	8	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	75	78	8
3200 Obligated balance, end of year .....	78	8	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	-27	-120
Outlays, gross:			
4011 Outlays from discretionary balances .....	12	38	35
Mandatory:			
4090 Budget authority, gross .....	100	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	100	.....	.....
4101 Outlays from mandatory balances .....	.....	78	.....
4110 Outlays, gross (total) .....	100	78	.....
4180 Budget authority, net (total) .....	100	-27	-120
4190 Outlays, net (total) .....	112	116	35

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 070-0703-0-1-453	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Community disaster loan program .....	16	50	50
115999 Total direct loan levels .....	16	50	50
Direct loan subsidy (in percent):			
132002 Community disaster loan program .....	96.35	91.05	91.03
132999 Weighted average subsidy rate .....	96.35	91.05	91.03
Direct loan subsidy budget authority:			
133002 Community disaster loan program .....	15	46	46
133999 Total subsidy budget authority .....	15	46	46
Direct loan subsidy outlays:			
134002 Community disaster loan program .....	12	35	35
134999 Total subsidy outlays .....	12	35	35
Direct loan reestimates:			
135002 Community disaster loan program .....	6	-31	.....
135003 Special community disaster loans .....	72	1	.....
135999 Total direct loan reestimates .....	78	-30	.....

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) includes two types of direct loans: (1) section 319 provides loans to States for the non-Federal portion of cost-shared Stafford Act programs; and (2) section 417 provides community disaster loans to local governments that incurred substantial loss of tax and other revenues as a result of a major disaster and require financial assistance in order to perform governmental functions. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans). The subsidy amounts are estimated on a present value basis. Loan activity prior to 1992, which is budgeted for on a cash basis, totals less than \$500,000 in every year and is not presented separately. No new funding is requested in 2017.

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 070-4234-0-3-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	16	50	50
0713 Payment of interest to Treasury .....	3	.....	.....
0742 Downward reestimate paid to receipt account .....	17	29	.....
0743 Interest on downward reestimates .....	5	2	.....
0791 Direct program activities, subtotal .....	41	81	50
0900 Total new obligations .....	41	81	50

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	37	53	15
1050	Unobligated balance (total) .....	37	53	15
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	11		
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	115	43	42
1801	Change in uncollected payments, Federal sources .....	4		
1825	Spending authority from offsetting collections applied to repay debt .....	-73		
1850	Spending auth from offsetting collections, mand (total) .....	46	43	42
1900	Budget authority (total) .....	57	43	42
1930	Total budgetary resources available .....	94	96	57
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	53	15	7
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	80	83	164
3010	Obligations incurred, unexpired accounts .....	41	81	50
3020	Outlays (gross) .....	-38		
3050	Unpaid obligations, end of year .....	83	164	214
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-73	-77	-77
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090	Uncollected pymts, Fed sources, end of year .....	-77	-77	-77
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	6	87
3200	Obligated balance, end of year .....	6	87	137

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	57	43	42
Financing disbursements:				
4110	Outlays, gross (total) .....	38		
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-111	-36	-35
4122	Interest on uninvested funds .....	-3		
4123	Non-Federal sources .....	-1	-7	-7
4130	Offsets against gross budget authority and outlays (total) ....	-115	-43	-42
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4160	Budget authority, net (mandatory) .....	-62		
4170	Outlays, net (mandatory) .....	-77	-43	-42
4180	Budget authority, net (total) .....	-62		
4190	Outlays, net (total) .....	-77	-43	-42

**Status of Direct Loans** (in millions of dollars)

Identification code 070-4234-0-3-453	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on obligations:				
1121	Limitation available from carry-forward .....	33	67	67
1143	Unobligated limitation carried forward (P.L. xx) (-) .....	-17	-17	-17
1150	Total direct loan obligations .....	16	50	50
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	316	132	125
1251	Repayments: Repayments and prepayments .....	-1	-7	-7
1264	Write-offs for default: Other adjustments, net (+ or -) .....	-183		
1290	Outstanding, end of year .....	132	125	118

**Balance Sheet** (in millions of dollars)

Identification code 070-4234-0-3-453	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	94	54
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	121	132
1402	Interest receivable .....	5	6
1405	Allowance for subsidy cost (-) .....	-116	-120
1499	Net present value of assets related to direct loans .....	10	18
1999	Total assets .....	104	72
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	82	20

2207	Non-Federal liabilities: Other .....	22	52
2999	Total liabilities .....	104	72
4999	Total liabilities and net position .....	104	72

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For procurement, construction, facilities, or information technology improvement expenses of the Federal Emergency Management Agency, \$35,273,000, which shall remain available until September 30, 2019; of which \$15,500,000 is for capital improvements and related expenses necessary for the Mount Weather Emergency Operations Center; of which \$4,050,000 is for capital improvements and related expenses necessary for the Center for Domestic Preparedness; of which \$1,500,000 is for capital improvements and related expenses necessary for the U.S. Fire Administration; of which \$2,800,000 is for the Integrated Public Alert and Warning System; and of which \$11,423,000 is for Grants Management Modernization.

**Program and Financing** (in millions of dollars)

Identification code 070-0414-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Mt Weather EOC .....		15
0002	IPAWS .....		3
0003	CDP .....		4
0004	USFA .....		2
0005	Grants Modernization .....		11
0900	Total new obligations .....		35

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Mount Weather Emergency Operations Center .....		15
1100	Integrated Public Alert and Warning System .....		3
1100	Center for Domestic Preparedness .....		4
1100	United States Fire Administration .....		2
1100	Grants Management Modernization .....		11
1160	Appropriation, discretionary (total) .....		35
1930	Total budgetary resources available .....		35

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		35
3020	Outlays (gross) .....		-19
3050	Unpaid obligations, end of year .....		16
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		16

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		35
Outlays, gross:			
4010	Outlays from new discretionary authority .....		19
4180	Budget authority, net (total) .....		35
4190	Outlays, net (total) .....		19

Procurement, Construction and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. The 2017 President's Budget requests the Congress establish a Procurement, Construction, and Improvements account under the Federal Emergency Management Agency (FEMA). The 2017 request realigns a portion of funding and activities to this new account from the current Salaries and Expenses account, United States Fire Administration Account, and the Disaster Relief account.

The procurement, construction, and improvement of systems and facilities are necessary to maintain the level of operations essential to the fulfillment of FEMA's mission.

The Mount Weather Emergency Operations Center (MWEOC) provides facilities, logistics support, communications, operations centers, and supporting personnel for a wide variety of vital government functions.

The Center for Domestic Preparedness' (CDP) primary mission is to train state, local, and tribal responders while at the same time providing support to FEMA and other Federal Agencies. The expansion and modernization

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

of the CDP campus will meet the increasing demand for sustainable, long-term effective and efficient delivery of training.

The modernization and consolidation of FEMA's grants management systems will provide the information and tools necessary to more effectively allocate grants in support of FEMA's strategic goals. It will deliver important benefits to FEMA and its partners through improved efficiencies, cost reductions, and enhanced survivor/customer/user experience.

Object Classification (in millions of dollars)

Identification code 070-0414-0-1-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services			11
25.3 Other goods and services from Federal sources			3
32.0 Land and structures			21
99.9 Total new obligations			35

CITIZENSHIP AND IMMIGRATION SERVICES

Federal Funds

[UNITED STATES CITIZENSHIP AND IMMIGRATION SERVICES] OPERATIONS AND SUPPORT

For necessary expenses for citizenship and immigration services, [\$119,671,000] including minor procurements, construction, and improvements, \$103,912,000 for the E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), to assist United States employers with maintaining a legal workforce: *Provided*, That notwithstanding any other provision of law, funds otherwise made available to United States Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where the Administrator of General Services does not provide vehicles for lease: *Provided further*, That the Director of United States Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment. (*Department of Homeland Security Appropriations Act, 2016.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0300-0-1-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	254	245	253
Receipts:			
Current law:			
1120 Immigration Examination Fee	3,057	3,431	3,829
1120 H-1B Nonimmigrant Petitioner Account	352	300	300
1120 H-1B and L Fraud Prevention and Detection Account	143	135	135
1199 Total current law receipts	3,552	3,866	4,264
1999 Total receipts	3,552	3,866	4,264
2000 Total: Balances and receipts	3,806	4,111	4,517
Appropriations:			
Current law:			
2101 Training and Employment Services	-176	-150	-150
2101 State Unemployment Insurance and Employment Service Operations	-18	-18	-18
2101 H-1 B and L Fraud Prevention and Detection	-48	-48	-45
2101 H&L Fraud Prevention and Detection Fee	-51	-44	-44
2101 Citizenship and Immigration Services	-3,057	-3,463	-3,574
2101 Citizenship and Immigration Services	-18	-15	-15
2101 Citizenship and Immigration Services	-48	-45	-45
2101 Education and Human Resources	-141	-100	-100
2103 Training and Employment Services	-12	-13	-10
2103 State Unemployment Insurance and Employment Service Operations	-1	-1	
2103 H-1 B and L Fraud Prevention and Detection	-3	-3	-3
2103 H&L Fraud Prevention and Detection Fee	-3	-3	-3
2103 Citizenship and Immigration Services	-224	-227	-255
2103 Education and Human Resources	-10	-7	-7
2132 Training and Employment Services	13	10	
2132 State Unemployment Insurance and Employment Service Operations	1	1	
2132 H-1 B and L Fraud Prevention and Detection	3	3	
2132 H&L Fraud Prevention and Detection Fee	3	3	
2132 Citizenship and Immigration Services	222	255	

2132 Education and Human Resources	7	7	
2199 Total current law appropriations	-3,561	-3,858	-4,269
Proposed:			
2201 State Unemployment Insurance and Employment Service Operations			-38
2999 Total appropriations	-3,561	-3,858	-4,307
5099 Balance, end of year	245	253	210

Program and Financing (in millions of dollars)

Identification code 070-0300-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Citizenship and Immigration Services (Direct)	3,382	3,610	3,993
0801 Citizenship and Immigration Services (Reimbursable)	28	41	41
0900 Total new obligations	3,410	3,651	4,034
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,064	999	1,074
1001 Discretionary unobligated balance brought fwd, Oct 1	13	13	
1020 Adjustment of unobligated bal brought forward, Oct 1	-1		
1021 Recoveries of prior year unpaid obligations	66	77	77
1050 Unobligated balance (total)	1,129	1,076	1,151
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	124	120	104
1120 Appropriations transferred to other accts [015-0339]			-4
1131 Unobligated balance of appropriations permanently reduced		-3	
1160 Appropriation, discretionary (total)	124	117	100
Appropriations, mandatory:			
1201 Appropriation (examinations fee)	3,057	3,463	3,574
1201 Appropriation (H-1B fee)	18	15	15
1201 Appropriation (H-1B L Fraud Fee)	48	45	45
1203 Appropriation (previously unavailable)	224	227	255
1220 Appropriations transferred to other accts [015-0339]	-4	-4	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-5		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-222	-255	
1260 Appropriations, mandatory (total)	3,116	3,491	3,889
Spending authority from offsetting collections, mandatory:			
1800 Collected	42	41	41
1801 Change in uncollected payments, Federal sources	4		
1850 Spending auth from offsetting collections, mand (total)	46	41	41
1900 Budget authority (total)	3,286	3,649	4,030
1930 Total budgetary resources available	4,415	4,725	5,181
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	999	1,074	1,147

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,055	1,163	1,069
3010 Obligations incurred, unexpired accounts	3,410	3,651	4,034
3011 Obligations incurred, expired accounts	3		
3020 Outlays (gross)	-3,222	-3,668	-3,964
3040 Recoveries of prior year unpaid obligations, unexpired	-66	-77	-77
3041 Recoveries of prior year unpaid obligations, expired	-17		
3050 Unpaid obligations, end of year	1,163	1,069	1,062
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-10	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired	-4		
3090 Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,045	1,149	1,055
3200 Obligated balance, end of year	1,149	1,055	1,048

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	124	117	100
Outlays, gross:			
4010 Outlays from new discretionary authority	76	88	74
4011 Outlays from discretionary balances	41	31	29
4020 Outlays, gross (total)	117	119	103
Mandatory:			
4090 Budget authority, gross	3,162	3,532	3,930

Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,518	2,659	2,958
4101	Outlays from mandatory balances .....	587	890	903
4110	Outlays, gross (total) .....	3,105	3,549	3,861
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-33	-33	-33
4123	Non-Federal sources .....	-9	-8	-8
4130	Offsets against gross budget authority and outlays (total) ....	-42	-41	-41
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4160	Budget authority, net (mandatory) .....	3,116	3,491	3,889
4170	Outlays, net (mandatory) .....	3,063	3,508	3,820
4180	Budget authority, net (total) .....	3,240	3,608	3,989
4190	Outlays, net (total) .....	3,180	3,627	3,923

The mission of U.S. Citizenship and Immigration Services (USCIS) is to adjudicate and grant immigration and citizenship benefits, provide accurate and useful information to its customers, and promote an awareness and understanding of citizenship in support of immigrant integration, while also protecting the integrity of our Nation's immigration system. USCIS approves millions of immigration benefit applications each year, ranging from work authorization and lawful permanent residency to asylum and refugee status. The Budget continues to invest in technology to improve and automate business operations, eliminate paper-based processing, improve information sharing, and enhance USCIS' ability to identify and prevent immigration benefit fraud.

The Budget assumes that USCIS will continue to be funded primarily through fees on the applications and petitions it adjudicates.

Within USCIS' appropriated funding, Operations and Support funds necessary operations, mission support, and associated management and administration costs. The FY 2017 President's Budget proposes the Congress rename the Citizenship and Immigration Services Salaries and Expenses account as the Operations and Support account for the E-Verify program. This proposal also realigns portions of the funding and activities from this account to the newly proposed Procurement, Construction, and Improvements account and Federal Assistance account.

**Object Classification** (in millions of dollars)

Identification code 070-0300-0-1-751				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	1,116	1,282	1,434
11.3	Other than full-time permanent .....	12	15	15
11.5	Other personnel compensation .....	60	70	84
11.9	Total personnel compensation .....	1,188	1,367	1,533
12.1	Civilian personnel benefits .....	377	434	486
13.0	Benefits for former personnel .....	1	1	1
21.0	Travel and transportation of persons .....	29	30	30
22.0	Transportation of things .....	11	10	12
23.1	Rental payments to GSA .....	223	241	252
23.2	Rental payments to others .....	5	4	5
23.3	Communications, utilities, and miscellaneous charges .....	90	83	104
24.0	Printing and reproduction .....	9	8	9
25.1	Advisory and assistance services .....	786	797	864
25.2	Other services from non-Federal sources .....	208	199	207
25.3	Other goods and services from Federal sources .....	197	184	215
25.5	Research and development contracts .....	1	1	1
25.7	Operation and maintenance of equipment .....	86	86	90
26.0	Supplies and materials .....	36	33	47
31.0	Equipment .....	94	92	105
32.0	Land and structures .....	19	18	21
41.0	Grants, subsidies, and contributions .....	21	21	11
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	3,382	3,610	3,994
99.0	Reimbursable obligations .....	28	41	41
99.5	Adjustment for rounding .....			-1
99.9	Total new obligations .....	3,410	3,651	4,034

**Employment Summary**

Identification code 070-0300-0-1-751				
		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	13,466	15,091	17,160

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the E-Verify Program for procurement of and improvements to physical and technological infrastructure to assist United States employers with maintaining a legal workforce, \$15,227,000.

**Program and Financing** (in millions of dollars)

Identification code 070-0407-0-1-751				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Citizenship and Immigration Services (Direct) .....			15
0900	Total new obligations (object class 25.1) .....			15
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			15
1930	Total budgetary resources available .....			15
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			15
3020	Outlays (gross) .....			-11
3050	Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			15
Outlays, gross:				
4010	Outlays from new discretionary authority .....			11
4180	Budget authority, net (total) .....			15
4190	Outlays, net (total) .....			11

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. The FY 2017 President's Budget requests the Congress establish a Procurement, Construction, and Improvements account under U.S. Citizenship and Immigration Services for the E-Verify program. The FY 2017 request realigns a portion of funding and activities to this new account from the current U.S. Citizenship and Immigration Services Salaries and Expenses account.

**FEDERAL ASSISTANCE**

For the purpose of providing citizenship and integration grants, \$10,000,000.

**Program and Financing** (in millions of dollars)

Identification code 070-0408-0-1-751				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Citizenship and Immigration Services (Direct) .....			10
0900	Total new obligations (object class 41.0) .....			10
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			10
1930	Total budgetary resources available .....			10
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			10
3020	Outlays (gross) .....			-10

FEDERAL ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 070-0408-0-1-751	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			10
Outlays, gross:			
4010 Outlays from new discretionary authority .....			10
4180 Budget authority, net (total) .....			10
4190 Outlays, net (total) .....			10

Federal Assistance provides funds necessary to provide monetary or non-monetary support to any entity. Support may be provided in the form of grants or grant agreements, cooperative agreements, non-cash contributions or donations of property, food commodities, direct loan, direct loan obligation, loan guarantees, loan guarantee commitments, interest subsidies, insurance and other federal support but not including amounts received as reimbursement for services rendered to individuals in accordance with guidance issued by the Director of OMB. The FY 2017 President's Budget requests the Congress establish a Federal Assistance account under U.S. Citizenship and Immigration Services for the Citizenship and Integration Grant Program. The FY 2017 Budget also requests funding through this new account in lieu of funding previously provided through the U.S. Citizenship and Immigration Services Immigration Examinations Fee Account.

**FEDERAL LAW ENFORCEMENT TRAINING CENTER**

*Federal Funds*

**[SALARIES AND EXPENSES] OPERATIONS AND SUPPORT**

For necessary expenses of the Federal Law Enforcement Training Center, including materials and support costs of Federal law enforcement basic training; the purchase of not to exceed 117 vehicles for police-type use and hire of passenger motor vehicles; expenses for student athletic and related activities; the conduct of and participation in firearms matches and presentation of awards; public awareness and enhancement of community support of law enforcement training; room and board for student interns; a flat monthly reimbursement to employees authorized to use personal mobile phones for official duties; and services as authorized by Section 3109 of Title 5, United States Code; **[\$217,485,000] \$242,518,000**; of which up to **[\$38,981,000] \$50,748,000** shall remain available until September 30, **[2017] 2018**, for materials and support costs of Federal law enforcement basic training; *of which \$27,553,000 shall remain available until September 30, 2021, for procurement, minor construction, and improvements as necessary for the real property and facilities of the Federal Law Enforcement Training Center*; and of which not to exceed \$7,180 shall be for official reception and representation expenses: *Provided, That the Center is authorized to distribute funds to Federal law enforcement agencies for expenses incurred participating in training accreditation: Provided further, That the Center is authorized to obligate funds in anticipation of reimbursements from agencies receiving training sponsored by the Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year: Provided further, That Section 1202(a) of Public Law 107-206 (42 U.S.C. 3771 note), as amended under this heading in Title 4 of Public Law 114-4, is further amended by striking "December 31, [2017] 2018" and inserting "December 31, [2018] 2019": Provided further, That the Director of the Federal Law Enforcement Training Center shall schedule basic or advanced law enforcement training, or both, at all four training facilities under the control of the Federal Law Enforcement Training Center to ensure that such training facilities are operated at the highest capacity throughout the fiscal year: Provided further, That the Federal Law Enforcement Training Accreditation Board, including representatives from the Federal law enforcement community and non-Federal accreditation experts involved in law enforcement training, shall lead the Federal law enforcement training accreditation process to continue the implementation of measuring and assessing the quality and effectiveness of Federal law enforcement training programs, facilities, and instructors. (Department of Homeland Security Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 070-0509-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Law Enforcement Training .....	202	211	220
0002 Management and Administration .....	28	28	28
0003 Accreditation .....	1		
0799 Total direct obligations .....	231	239	248
0801 Salaries and expenses (Reimbursable) .....	79	90	90
0900 Total new obligations .....	310	329	338
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	29	13
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	29		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	231	217	243
1131 Unobligated balance of appropriations permanently reduced .....	-1	-1	
1160 Appropriation, discretionary (total) .....	230	216	243
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] ....	4		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	69	91	93
1701 Change in uncollected payments, Federal sources .....	10	6	6
1750 Spending auth from offsetting collections, disc (total) .....	79	97	99
1900 Budget authority (total) .....	313	313	342
1930 Total budgetary resources available .....	342	342	355
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	29	13	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	48	54	63
3010 Obligations incurred, unexpired accounts .....	310	329	338
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-299	-320	-339
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	54	63	62
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-30	-30	-32
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10	-6	-6
3071 Change in uncollected pymts, Fed sources, expired .....	10	4	4
3090 Uncollected pymts, Fed sources, end of year .....	-30	-32	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	24	31
3200 Obligated balance, end of year .....	24	31	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	309	313	342
Outlays, gross:			
4010 Outlays from new discretionary authority .....	244	269	294
4011 Outlays from discretionary balances .....	55	50	44
4020 Outlays, gross (total) .....	299	319	338
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-75	-95	-97
4033 Non-Federal sources .....	-1	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-76	-97	-99
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-10	-6	-6
4052 Offsetting collections credited to expired accounts .....	7	6	6
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	230	216	243
4080 Outlays, net (discretionary) .....	223	222	239
Mandatory:			
4090 Budget authority, gross .....	4		
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4180 Budget authority, net (total) .....	234	216	243
4190 Outlays, net (total) .....	223	223	240

The Federal Law Enforcement Training Center (FLETC) serves as an interagency law enforcement training organization for over 95 partner or-

ganizations, providing the necessary facilities, equipment, and support services to conduct advanced, specialized, and refresher training for Federal law enforcement personnel. FLETC personnel conduct the instructional programs for basic law enforcement recruits and some advanced training based on agency requests. Additionally, FLETC provides advanced training conducted at all of its domestic campuses, tuition-free or at a reduced cost, to state, local, rural, tribal, and territorial law enforcement officers through export training deliveries and/or distance learning on a space-available basis. In cooperation with the Department of State, FLETC manages the international Law Enforcement Academy (ILEA) at Gabarone, Botswana and supports training at the other ILEAs in Bangkok, Thailand; Budapest, Hungary; San Salvador, El Salvador; and Roswell, New Mexico. FLETC provides other training and assistance internationally in collaboration with, and in support of, the respective U.S. Embassies. Additionally, many international students attend training programs at the FLETC each year on a space-available and fully reimbursable basis.

The FY 2017 President's Budget proposes to rename the Salary and Expenses account to "Operations and Support"; the account funds necessary operations, mission support, and associated management and administrative costs. In addition, the Budget realigns to this account funding and activities from the current Acquisitions, Construction, Improvements, and Related Expenses account that are associated with minor construction, maintenance and improvement projects with a cost less than \$2 million, and Information Technology-related procurements with a unit cost of less than \$250,000.

**Object Classification** (in millions of dollars)

Identification code 070-0509-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	88	96	93
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	93	101	98
12.1 Civilian personnel benefits .....	34	37	36
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	10	10	12
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	70	67	74
26.0 Supplies and materials .....	8	8	10
31.0 Equipment .....	9	9	11
99.0 Direct obligations .....	231	239	248
99.0 Reimbursable obligations .....	79	90	90
99.9 Total new obligations .....	310	329	338

**Employment Summary**

Identification code 070-0509-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,012	1,106	1,068
2001 Reimbursable civilian full-time equivalent employment .....	108	181	141

**【ACQUISITIONS, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES】  
PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For 【acquisition of necessary additional real property and facilities, construction, and ongoing maintenance, facility improvements, and related expenses】 *planning, operational development, engineering, and purchases prior to sustainment and for information technology-related procurement, construction, and improvements, including non-tangible assets* of the Federal Law Enforcement Training Center, 【\$27,553,000】 \$0, to remain available until September 30, 【2020】2021: *Provided*, That the Center is authorized to accept reimbursement to this appropriation from government agencies requesting the construction of special use facilities. (*Department of Homeland Security Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 070-0510-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Acquisitions, Construction, Improvements and Related Expenses (Direct) .....	21	37	5
0801 Acquisitions, Construction, Improvements and Related Expenses (Reimbursable) .....	900	169	172
0900 Total new obligations .....	921	206	177
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	120	175
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1050 Unobligated balance (total) .....	45	123	178
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28	28	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	82	350	350
1701 Change in uncollected payments, Federal sources .....	886	-120	-116
1750 Spending auth from offsetting collections, disc (total) .....	968	230	234
1900 Budget authority (total) .....	996	258	234
1930 Total budgetary resources available .....	1,041	381	412
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	120	175	235
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	121	925	749
3010 Obligations incurred, unexpired accounts .....	921	206	177
3020 Outlays (gross) .....	-114	-379	-651
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	925	749	272
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-130	-1,010	-890
3070 Change in uncollected pymts, Fed sources, unexpired .....	-886	120	116
3071 Change in uncollected pymts, Fed sources, expired .....	6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1,010	-890	-774
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-9	-85	-141
3200 Obligated balance, end of year .....	-85	-141	-502
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	996	258	234
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	31	28
4011 Outlays from discretionary balances .....	82	348	623
4020 Outlays, gross (total) .....	114	379	651
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-88	-350	-350
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-886	120	116
4052 Offsetting collections credited to expired accounts .....	6	.....	.....
4060 Additional offsets against budget authority only (total) .....	-880	120	116
4070 Budget authority, net (discretionary) .....	28	28	.....
4080 Outlays, net (discretionary) .....	26	29	301
4180 Budget authority, net (total) .....	28	28	.....
4190 Outlays, net (total) .....	26	29	301

The FY 2017 President's Budget proposes to rename the Acquisitions, Construction, Improvements and Related Expenses account as the "Procurement, Construction, and Improvement" (PC&I). This account funds the purchase, building, manufacturing, or assemblage of one or more end items that create, extend or enhance FLETC's existing capabilities. Funds provided through this account support the procurement, construction or improvements of personal property end items with an individual cost of \$250,000 or more, and real property end items with an individual cost of \$2 million or more. The Budget realigns funding and activities below those thresholds to FLETC's new Operations and Support account. The PC&I account also authorizes reimbursements to FLETC from U.S. Government agencies for the construction of special use facilities.

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

Object Classification (in millions of dollars)

Identification code 070-0510-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	3	1
32.0 Land and structures .....	20	34	4
99.0 Direct obligations .....	21	37	5
99.0 Reimbursable obligations .....	900	169	172
99.9 Total new obligations .....	921	206	177

SCIENCE AND TECHNOLOGY

Federal Funds

【RESEARCH, DEVELOPMENT, ACQUISITION, AND OPERATIONS】 OPERATIONS AND SUPPORT

For necessary expenses for science and technology research [ ], including advanced research projects, [ ] and development, [ ] test and evaluation, [ ] acquisition, and laboratory operations as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), and the purchase or lease of not to exceed 5 vehicles, [ \$655,407,000 ] \$278,733,000, of which \$89,043,000 is for management and administration; and of which \$189,690,000 [to] shall remain available until September 30, [2018 ] 2019: Provided, That not to exceed \$7,650 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2016.)

【MANAGEMENT AND ADMINISTRATION】

【For salaries and expenses of the Office of the Under Secretary for Science and Technology and for management and administration of programs and activities, as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), \$131,531,000: Provided, That not to exceed \$7,650 shall be for official reception and representation expenses.】 (Department of Homeland Security Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 070-0800-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Management and Administration .....	129	132	89
0002 Research, Development, Acquisition, and Operations .....	1,424	655	190
0799 Total direct obligations .....	1,553	787	279
0801 Research, Development, Acquisitions and Operations (Reimbursable) .....	137	125	28
0900 Total new obligations .....	1,690	912	307
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	639	197	189
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1021 Recoveries of prior year unpaid obligations .....	21		
1050 Unobligated balance (total) .....	661	197	189
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,104	787	279
1121 Appropriations transferred from other acct (070-0551) ....	1		
1130 Appropriations permanently reduced .....	-17		
1131 Unobligated balance of appropriations permanently reduced .....		-10	
1160 Appropriation, discretionary (total) .....	1,088	777	279
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	62	127	30
1701 Change in uncollected payments, Federal sources .....	77		
1750 Spending auth from offsetting collections, disc (total) .....	139	127	30
1900 Budget authority (total) .....	1,227	904	309
1930 Total budgetary resources available .....	1,888	1,101	498
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	197	189	191

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	924	1,671	1,627
3010 Obligations incurred, unexpired accounts .....	1,690	912	307
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-910	-956	-1,091
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21		
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	1,671	1,627	843
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-120	-155	-155
3070 Change in uncollected pymts, Fed sources, unexpired .....	-77		
3071 Change in uncollected pymts, Fed sources, expired .....	42		
3090 Uncollected pymts, Fed sources, end of year .....	-155	-155	-155
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	804	1,516	1,472
3200 Obligated balance, end of year .....	1,516	1,472	688

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,227	904	309
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	270	264	104
4011 Outlays from discretionary balances .....	640	692	987
4020 Outlays, gross (total) .....	910	956	1,091
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-96	-125	-28
4033 Non-Federal sources .....	-4	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-100	-127	-30
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-77		
4052 Offsetting collections credited to expired accounts .....	38		
4060 Additional offsets against budget authority only (total) .....	-39		
4070 Budget authority, net (discretionary) .....	1,088	777	279
4080 Outlays, net (discretionary) .....	810	829	1,061
4180 Budget authority, net (total) .....	1,088	777	279
4190 Outlays, net (total) .....	810	829	1,061

Operations and Support appropriations in this title support homeland security through management and administration of programs and activities. Funding is also provided for the operations and maintenance of laboratory facilities. The 2017 President's Budget proposes the Congress rename the Science and Technology Directorate's Research, Development, Acquisitions, and Operations account as the Operations and Support account.

Object Classification (in millions of dollars)

Identification code 070-0800-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	54	59	43
11.3 Other than full-time permanent .....	4	1	
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	59	60	43
12.1 Civilian personnel benefits .....	17	23	17
21.0 Travel and transportation of persons .....	3	4	1
23.1 Rental payments to GSA .....	2	1	1
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....		13	13
25.1 Advisory and assistance services .....	150	65	36
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	225	46	41
25.4 Operation and maintenance of facilities .....	7	58	66
25.5 Research and development contracts .....	280	455	47
25.7 Operation and maintenance of equipment .....	11	3	3
26.0 Supplies and materials .....	8	6	6
31.0 Equipment .....	22	4	4
32.0 Land and structures .....	722	8	
41.0 Grants, subsidies, and contributions .....	45	40	
99.0 Direct obligations .....	1,553	787	279
99.0 Reimbursable obligations .....	137	125	28
99.9 Total new obligations .....	1,690	912	307

**Employment Summary**

Identification code 070-0800-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	459	473	356

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses for science and technology test and evaluation, acquisition support and construction of laboratory facilities as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), \$10,141,000, to remain available until September 30, 2019.

**Program and Financing** (in millions of dollars)

Identification code 070-0415-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Acquisitions and Operations Analysis .....			10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			10
1930 Total budgetary resources available .....			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			10
3020 Outlays (gross) .....			-2
3050 Unpaid obligations, end of year .....			8
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			10
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			10
4190 Outlays, net (total) .....			2

Procurement, Construction, and Improvements provides science and technology tests and evaluations, acquisition support, and construction of laboratory facilities. The 2017 President's Budget requests the Congress establish a Procurement, Construction, and Improvements account under the Science and Technology Directorate. The 2017 request realigns a portion of funding and activities to this new account from the current Research, Development, Acquisitions, and Operations account.

**Object Classification** (in millions of dollars)

Identification code 070-0415-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			2
12.1 Civilian personnel benefits .....			1
25.5 Research and development contracts .....			7
99.9 Total new obligations .....			10

**Employment Summary**

Identification code 070-0415-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			13

**RESEARCH AND DEVELOPMENT**

For necessary expenses for science and technology research and development, including advanced research projects as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), 469,869,000, to remain available until September 30, 2019.

**Program and Financing** (in millions of dollars)

Identification code 070-0803-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research, Development and Innovation .....			437
0002 University Programs .....			33
0799 Total direct obligations .....			470
0801 Research and Development (Reimbursable) .....			93
0900 Total new obligations .....			563
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			470
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			93
1900 Budget authority (total) .....			563
1930 Total budgetary resources available .....			563
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			563
3020 Outlays (gross) .....			-187
3050 Unpaid obligations, end of year .....			376
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			376
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			563
Outlays, gross:			
4010 Outlays from new discretionary authority .....			187
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-93
4180 Budget authority, net (total) .....			470
4190 Outlays, net (total) .....			94

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products and processes with the expectation of maintaining or increasing national economic productive capacity or yielding other future benefits. The 2017 President's Budget requests the Congress establish a Research and Development account under the Science and Technology Directorate. The 2017 request realigns a portion of funding and activities to this new account from the current Research, Development, Acquisitions, and Operations account.

**Object Classification** (in millions of dollars)

Identification code 070-0803-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			14
11.3 Other than full-time permanent .....			1
11.9 Total personnel compensation .....			15
12.1 Civilian personnel benefits .....			6
21.0 Travel and transportation of persons .....			2
25.1 Advisory and assistance services .....			28
25.3 Other goods and services from Federal sources .....			1
25.5 Research and development contracts .....			386
26.0 Supplies and materials .....			1
41.0 Grants, subsidies, and contributions .....			31
99.0 Direct obligations .....			470
99.0 Reimbursable obligations .....			93
99.9 Total new obligations .....			563

RESEARCH AND DEVELOPMENT—Continued  
**Employment Summary**

Identification code 070-0803-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			112

**OFFICE OF CHEMICAL, BIOLOGICAL, RADIOLOGICAL,  
NUCLEAR, AND EXPLOSIVES**

*Federal Funds*

**[MANAGEMENT AND ADMINISTRATION] OPERATIONS AND SUPPORT**

For [salaries and expenses of the Domestic Nuclear Detection Office, as authorized by title XIX of the Homeland Security Act of 2002 (6 U.S.C. 591 et seq.), for management and administration of programs and activities, \$38,109,000] *necessary expenses of the Chemical, Biological, Radiological, Nuclear and Explosives Office, \$180,033,000, of which \$41,561,000 is for management and administration, of which \$20,552,000, to remain available until September 30, 2019, is for programs and operations in support of the detection, forensics, and prevention of radiological and nuclear threats, and of which \$117,920,000, to remain available until September 30, 2018, is for programs and operations in support of the surveillance, detection, and response to chemical, biological, and emerging infectious disease threats: Provided, That not to exceed [\$2,250] \$4,500 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 070-0861-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Management and Administration .....	37	38	
0002 Operations and Support .....			48
0003 Biodefense activities .....			132
0799 Total direct obligations .....	37	38	180
0801 Reimbursable program activity .....			47
0900 Total new obligations .....	37	38	227
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	38	180
1121 Appropriations transferred from other acct [070-0860] .....	1		
1160 Appropriation, discretionary (total) .....	38	38	180
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			47
1900 Budget authority (total) .....	38	38	227
1930 Total budgetary resources available .....	38	39	228
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	12	13
3010 Obligations incurred, unexpired accounts .....	37	38	227
3020 Outlays (gross) .....	-37	-37	-194
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	12	13	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	12	13
3200 Obligated balance, end of year .....	12	13	46
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	38	38	227
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	28	178
4011 Outlays from discretionary balances .....	8	9	16
4020 Outlays, gross (total) .....	37	37	194
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-47
4180 Budget authority, net (total) .....	38	38	180

4190 Outlays, net (total) .....	37	37	147
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Operations and Support funds necessary operations, mission support, and associated management and administration costs. The 2017 President's Budget proposes the Congress rename the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE) Management and Administration account as the "Operations and Support" account. This account supports Management and Administration; Radiological and Nuclear Detection, Forensics, and Prevention Capability; and Chemical, Biological, and Emerging Infectious Diseases Capability for the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE). The Radiological and Nuclear Detection, Forensics, and Prevention Capability program was established to protect against radiological and nuclear threats directed against the United States or its interests. The Radiological and Nuclear Detection, Forensics, and Prevention Capability program serves as the primary entity of the United States Government to further develop, acquire, and support the deployment of an enhanced system to detect and report on attempts to import, possess, store, transport, develop, or use an unauthorized nuclear explosive device, fissile material, or radiological material in the United States, and improve that system over time. Chemical, Biological, and Emerging Infectious Diseases Capability programs coordinate and maintain department-wide chemical, biological, health and medical, and emerging infectious disease-related strategy, policy, situational awareness, periodic threat and risk assessments, contingency planning, and all elements of Presidential Policy Directive 8, National Preparedness. These programs support the President's Global Health Security Agenda and prioritization of activities to counter biological threats through bio-detection and biosurveillance. The Management and Administration request includes most contributions to the Department of Homeland Security Working Capital Fund. Management and Administration funds will be available for obligation until the end of the fiscal year. Radiological and Nuclear Detection, Forensics, and Prevention Capability funds will be available for obligation for three years. Chemical, Biological, and Emerging Infectious Diseases Capability funds will be available for obligation for two years.

**Object Classification** (in millions of dollars)

Identification code 070-0861-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	16	17	21
11.5 Other personnel compensation .....	1		
11.8 Special personal services payments .....			4
11.9 Total personnel compensation .....	17	17	25
12.1 Civilian personnel benefits .....	4	7	7
23.1 Rental payments to GSA .....	5	5	9
25.1 Advisory and assistance services .....	1	1	59
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....	10	8	35
26.0 Supplies and materials .....			14
41.0 Grants, subsidies, and contributions .....			30
99.0 Direct obligations .....	37	38	180
99.0 Reimbursable obligations .....			47
99.9 Total new obligations .....	37	38	227

**Employment Summary**

Identification code 070-0861-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	127	137	169

**[RESEARCH, DEVELOPMENT, AND OPERATIONS] RESEARCH AND DEVELOPMENT**

For necessary expenses [for radiological and nuclear research, development, testing, evaluation, and operations] *of the Chemical, Biological, Radiological, Nuclear, and Explosives Office, [\$196,000,000] \$151,605,000, to remain available until September 30, [2018] 2019, for research programs and operations in support*

of the detection, forensics, and prevention of radiological and nuclear threats. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0860-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research, Development, and Operations .....	199	216	152
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	27	7
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	27	27	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	198	196	152
1120 Appropriations transferred to other acts [070-0861] .....	-1		
1160 Appropriation, discretionary (total) .....	197	196	152
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1900 Budget authority (total) .....	199	196	152
1930 Total budgetary resources available .....	226	223	159
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	225	202	103
3010 Obligations incurred, unexpired accounts .....	199	216	152
3020 Outlays (gross) .....	-213	-315	-209
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	202	103	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	225	202	103
3200 Obligated balance, end of year .....	202	103	46
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	199	196	152
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40	137	106
4011 Outlays from discretionary balances .....	173	178	103
4020 Outlays, gross (total) .....	213	315	209
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-2		
4070 Budget authority, net (discretionary) .....	197	196	152
4080 Outlays, net (discretionary) .....	211	315	209
4180 Budget authority, net (total) .....	197	196	152
4190 Outlays, net (total) .....	211	315	209

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products and processes with the expectation of maintaining or increasing national economic productive capacity or yielding other future benefits. The 2017 President's Budget requests the Congress establish a "Research and Development" account under the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE). This account supports the engineering, research, development, and technical nuclear forensics programs of the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE). CBRNE is dedicated to the development of advanced nuclear detection systems; coordination of effective sharing of nuclear detection-related information; coordination of nuclear detection development; and technical nuclear forensics. The 2017 Budget provides for a systems development program that is responsive to the commercial marketplace while providing near-term technical solutions addressing pressing operational requirements. Funding is provided for the test and evaluation of all developed systems prior to acquisition decisions, ensuring that a full systems characterization is conducted prior to any deployments. The budget supports

transformational research and development providing break-through technologies that address gaps in the Global Nuclear Detection Architecture and have a positive impact on capabilities to detect nuclear threats. Research and Development funds for each fiscal year will be available for obligation for three years.

**Object Classification** (in millions of dollars)

Identification code 070-0860-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			8
12.1 Civilian personnel benefits .....			2
21.0 Travel and transportation of persons .....	2	2	1
25.1 Advisory and assistance services .....	33	56	34
25.2 Other services from non-Federal sources .....	1	1	
25.3 Other goods and services from Federal sources .....	82	81	44
25.5 Research and development contracts .....	74	71	56
41.0 Grants, subsidies, and contributions .....	3	5	7
99.0 Direct obligations .....	195	216	152
99.0 Reimbursable obligations .....	4		
99.9 Total new obligations .....	199	216	152

**Employment Summary**

Identification code 070-0860-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			56

**[SYSTEMS ACQUISITION] PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses [for the Domestic Nuclear Detection Office acquisition and deployment of radiological detection systems in accordance with the global nuclear detection architecture] of the Chemical, Biological, Radiological, Nuclear, and Explosives Office, [ \$113,011,000 ] \$103,860,000, to remain available until September 30, [2018] 2019, for programs and operations in support of the detection, forensics, and prevention of radiological and nuclear threats. (Department of Homeland Security Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 070-0862-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Systems Acquisition .....	37	122	104
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	44	35
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	73	113	104
1930 Total budgetary resources available .....	81	157	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	35	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	120	101	59
3010 Obligations incurred, unexpired accounts .....	37	122	104
3020 Outlays (gross) .....	-54	-164	-110
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	101	59	53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	120	101	59
3200 Obligated balance, end of year .....	101	59	53
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	73	113	104
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	45	42
4011 Outlays from discretionary balances .....	52	119	68
4020 Outlays, gross (total) .....	54	164	110
4180 Budget authority, net (total) .....	73	113	104
4190 Outlays, net (total) .....	54	164	110

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment. The 2017 President's Budget requests the Congress establish a "Procurement, Construction, and Improvements" account under the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE). Procurement, Construction, and Improvements provides funds used to procure a full range of radiation detection technologies for Department of Homeland Security components such as U.S. Customs and Border Protection, Transportation Security Administration, and the U.S. Coast Guard. These technologies are deployed at and between the Nation's ports of entry as well as in the maritime domain. Procurement, Construction, and Improvements funds in Fiscal Year 2017 will be used to procure a mix of Radiation Portal Monitors and Human Portable Radiation Detection Systems. Procurement, Construction, and Improvements funds for each fiscal year will be available for obligation for three years.

Object Classification (in millions of dollars)

Identification code 070-0862-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1
25.1 Advisory and assistance services .....	5	3	2
25.3 Other goods and services from Federal sources .....	7	3	2
25.5 Research and development contracts .....	1		
31.0 Equipment .....	8	98	99
41.0 Grants, subsidies, and contributions .....	16	18	
99.9 Total new obligations .....	37	122	104

Employment Summary

Identification code 070-0862-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			8

FEDERAL ASSISTANCE

For necessary expenses of the Chemical, Biological, Radiological, Nuclear, and Explosives Office, \$65,947,000, of which \$51,684,000, to remain available until September 30, 2019, is for programs and operations in support of the detection, forensics, and prevention of radiological and nuclear threats; and of which \$14,263,000 is for programs and operations to prevent, protect against, respond to, and mitigate bombing incidents.

Program and Financing (in millions of dollars)

Identification code 070-0411-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Assistance .....			66
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			66
1930 Total budgetary resources available .....			66
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			66
3020 Outlays (gross) .....			-40
3050 Unpaid obligations, end of year .....			26
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			26
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			66
Outlays, gross:			
4010 Outlays from new discretionary authority .....			40
4180 Budget authority, net (total) .....			66
4190 Outlays, net (total) .....			40

Federal Assistance provides monetary or non-monetary support to any entity. Support may be provided in the form of grants or grant agreements, loan guarantee commitments, interest subsidies, insurance and other federal support, but not including amounts received as reimbursement for services rendered to individuals in accordance with guidance issued by the Director of OMB. The 2017 President's Budget requests the Congress establish a "Federal Assistance" account under the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE). Federal Assistance provides support for Radiological and Nuclear Detection, Forensics, and Prevention Capability and Bombing Prevention for the Chemical, Biological, Radiological, Nuclear, and Explosives Office (CBRNE). The Radiological and Nuclear Detection, Forensics, and Prevention Capability includes funding for operational support programs that provide information analysis and situational awareness, technical support, training curricula, and response protocols to field-users. Funding also supports efforts to build basic radiological and nuclear detection capabilities in high risk urban regions. The Bombing Prevention program leads and coordinates DHS efforts to protect life and critical infrastructure by building capabilities across the private and public sectors to prevent, protect against, respond to, and mitigate bombing incidents. Radiological and Nuclear Detection, Forensics, and Prevention Capability funds will be available for obligation for three years. Bombing Prevention funds will be available for obligation until the end of the fiscal year.

Object Classification (in millions of dollars)

Identification code 070-0411-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			6
12.1 Civilian personnel benefits .....			2
25.1 Advisory and assistance services .....			17
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			22
41.0 Grants, subsidies, and contributions .....			18
99.9 Total new obligations .....			66

Employment Summary

Identification code 070-0411-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			43

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Governmental receipts:</b>			
015-083400 Breached Bond Penalties .....	8	8	8
070-0835 Immigration Fees, Border Security Act .....	115		
070-242600 Temporary L-1 Visa Fee Increase .....		15	20
070-242700 Temporary H-1B Visa Fee Increase .....		72	96
General Fund Governmental receipts .....	123	95	124
<b>Offsetting receipts from the public:</b>			
020-031100 Tonnage Duty Increases .....	23	24	24
069-242100 Marine Safety Fees .....	18	17	17
070-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	29		
070-274030 Disaster Assistance, Downward Reestimates .....	22	31	
070-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	14	19	19
070-090000 Passenger Security Fees Returned to the General Fund .....	1,190	1,250	1,280
General Fund Offsetting receipts from the public .....	1,296	1,341	1,340
<b>Intragovernmental payments:</b>			
070-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-91		

## GENERAL PROVISIONS

### (INCLUDING TRANSFERS AND RESCISSIONS CANCELLATIONS OF FUNDS)

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. Subject to the requirements of section 503 of this Act, the unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this Act, may be merged with funds in the applicable established accounts, and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 503. (a) None of the funds provided by this Act, provided by previous appropriations Acts to the [agencies] components in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year [2016] 2017, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the [agencies] components funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) creates a new program, project, or activity;
- (2) eliminates a program, project, or activity;
- (3) increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (4) contracts out any function or activity presently performed by Federal employees or any new function or activity proposed to be performed by Federal employees in the President's budget proposal for fiscal year [2016] 2017 for the Department of Homeland Security;
- (5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is [less] higher;
- (6) reduces any program, project, or activity, or numbers of personnel by 10 percent; or
- (7) results from any general savings from a reduction in personnel that would result in a change in existing programs, projects, or activities as approved by the Congress [.]

unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds.

(b) [Not to exceed 5 percent of any] Any appropriation made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred between such appropriations.

(c) Any transfer under this section shall be treated as a reprogramming of funds under subsection (a) and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer.

(d) Notwithstanding subsections (a), (b), and (c), no funds shall be reprogrammed within or transferred between appropriations based upon an initial notification provided after June 30, except in extraordinary circumstances that imminently threaten the safety of human life or the protection of property.

(e) The notification thresholds and procedures set forth in this section shall apply to any use of deobligated balances of funds provided in previous Department of Homeland Security Appropriations Acts.

SEC. 504. The Department of Homeland Security Working Capital Fund, established pursuant to section 403 of Public Law 103-356 (31 U.S.C. 501 note), shall continue operations as a permanent working capital fund for fiscal year [2016] 2017: *Provided*, That none of the funds appropriated or otherwise made available to the Department of Homeland Security may be used to make payments to the Working Capital Fund, except for the activities and amounts allowed in the President's fiscal year [2016] 2017 budget: *Provided further*, That funds provided to the Working Capital Fund shall be available for obligation until expended to carry out the purposes of the Working Capital Fund: *Provided further*, That all Departmental components shall be charged only for direct usage of each Working Capital Fund service: *Provided further*, That funds provided to the Working Capital Fund shall be used only for purposes consistent with the contributing component: *Provided further*, That the Working Capital Fund shall be paid in advance or reimbursed at rates which will return the full cost of each service: *Provided further*, That the Committees on Appropriations of the Senate and the House of Representatives shall be notified of any activity added to or removed from the fund: *Provided further*, That for any activity added to the fund, the notification shall identify sources of funds by program, project, and activity: *Provided further*, That the Chief Financial Officer of the Department of Homeland Security shall submit a quarterly execution report with activity level detail, not later than 30 days after the end of each quarter.

SEC. 505. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2016] 2017, as recorded in the financial records at the time of a reprogramming request, but not later than June 30, [2017] 2018, from appropriations for salaries and expenses for fiscal year [2016] 2017 in this Act shall remain available through September 30, [2017] 2018, in the account and for the purposes for which the appropriations were provided: *Provided*, That prior to the obligation of such funds, a [request] notification shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives [for approval] in accordance with section 503 of this Act.

SEC. 506. Funds made available by this Act for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year [2016] 2017 until the enactment of an Act authorizing intelligence activities for fiscal year [2016] 2017.

SEC. 507. (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used to—

- (1) make or award a grant allocation, grant, contract, other transaction agreement, or task or delivery order on a Department of Homeland Security multiple award contract, or to issue a letter of intent totaling in excess of \$1,000,000;
- (2) award a task or delivery order requiring an obligation of funds in an amount greater than \$10,000,000 from multi-year Department of Homeland Security funds;
- (3) make a sole-source grant award; or
- (4) announce publicly the intention to make or award items under paragraph (1), (2), or (3) including a contract covered by the Federal Acquisition Regulation.

(b) The Secretary of Homeland Security may waive the prohibition under subsection (a) if the Secretary notifies the Committees on Appropriations of the Senate and the House of Representatives at least 3 full business days in advance of making an award or issuing a letter as described in that subsection.

(c) If the Secretary of Homeland Security determines that compliance with this section would pose a substantial risk to human life, health, or safety, an award may be made without notification, and the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives not later than 5 full business days after such an award is made or letter issued.

(d) A notification under this section—

- (1) may not involve funds that are not available for obligation; and
- (2) shall include the amount of the award; the fiscal year for which the funds for the award were appropriated; the type of contract; and the account from which the funds are being drawn.

(e) The Administrator of the Federal Emergency Management Agency shall brief the Committees on Appropriations of the Senate and the House of Representatives 5 full business days in advance of announcing publicly the intention of making an award under "State and Local Programs".

SEC. 508. Notwithstanding any other provision of law, no agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without advance notification to the Committees on Appropriations of the Senate and the House of Representatives, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training that cannot be accommodated in existing Center facilities.

SEC. 509. None of the funds appropriated or otherwise made available by this Act may be used for expenses for any construction, repair, alteration, or acquisition project for which a prospectus otherwise required under chapter 33 of title 40, United States Code, has not been approved, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus.

SEC. 510. (a) Sections 520, 522, and 530 of the Department of Homeland Security Appropriations Act, 2008 (division E of Public Law 110-161; 121 Stat. 2073 and 2074) shall apply with respect to funds made available in this Act in the same manner as such sections applied to funds made available in that Act.

[(b) The third proviso of section 537 of the Department of Homeland Security Appropriations Act, 2006 (6 U.S.C. 114), shall hereafter not apply with respect to funds made available in this or any other Act.]

[(c) Section 525 of Public Law 109-90 is amended by striking "thereafter", and section 554 of Public Law 111-83 is amended by striking "and shall report annually thereafter".]

SEC. 511. None of the funds made available in this Act may be used in contravention of the applicable provisions of the Buy American Act. For purposes of the preceding sentence, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 512. None of the funds made available in this Act may be used to amend the oath of allegiance required by section 337 of the Immigration and Nationality Act (8 U.S.C. 1448).】

SEC. 513】512. Not later than 30 days after the last day of each month, the Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a monthly budget and staffing report for that month that includes total obligations of the Department for that month for the fiscal year at the appropriation and program, project, and activity levels, by the source year of the appropriation: *Provided*, That total obligations for staffing shall also be provided by subcategory of on-board and funded full-time equivalent staffing levels, respectively: *Provided further*, That the report shall specify the number of, and total obligations for, contract employees for each office of the Department.

SEC. 514. Except as provided in section 44945 of title 49, United States Code, funds appropriated or transferred to Transportation Security Administration "Aviation Security", "Administration", and "Transportation Security Support" for fiscal years 2004 and 2005 that are recovered or deobligated shall be available only for the procurement or installation of explosives detection systems, air cargo, baggage, and checkpoint screening systems, subject to notification: *Provided*, That semiannual reports shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives on any funds that are recovered or deobligated.】

SEC. 515】513. None of the funds appropriated by this Act may be used to process or approve a competition under Office of Management and Budget Circular A-76 for services provided by employees (including employees serving on a temporary or term basis) of United States Citizenship and Immigration Services of the Department of Homeland Security who are known as Immigration Information Officers, Immigration Service Analysts, Contact Representatives, Investigative Assistants, or Immigration Services Officers.

SEC. 516】514. Any funds appropriated to "Coast Guard, Acquisition, Construction, and Improvements" for fiscal years 2002, 2003, 2004, 2005, and 2006 for the 110–123 foot patrol boat conversion that are recovered, collected, or otherwise received as the result of negotiation, mediation, or litigation, shall be available until expended for the Fast Response Cutter program.

SEC. 517】515. The functions of the Federal Law Enforcement Training Center instructor staff shall be classified as inherently governmental for the purpose of the Federal Activities Inventory Reform Act of 1998 (31 U.S.C. 501 note).

SEC. 518】516. (a) The Secretary of Homeland Security shall submit a report not later than October 15, [2016] 2017, to the Inspector General of the Department of Homeland Security listing all grants and contracts awarded by any means other than full and open competition during fiscal year [2016] 2017.

(b) The Inspector General shall review the report required by subsection (a) to assess Departmental compliance with applicable laws and regulations and report the results of that review to the Committees on Appropriations of the Senate and the House of Representatives not later than February 15, [2017] 2018.

SEC. 519. None of the funds provided by this or previous appropriations Acts shall be used to fund any position designated as a Principal Federal Official (or the successor thereto) for any Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) declared disasters or emergencies unless—

(1) the responsibilities of the Principal Federal Official do not include operational functions related to incident management, including coordination of operations, and are consistent with the requirements of section 509(c) and sections 503(c)(3) and 503(c)(4)(A) of the Homeland Security Act of 2002 (6 U.S.C. 319(c), 313(c)(3), and 313(c)(4)(A)) and section 302 of the Robert T. Stafford Disaster Relief and Assistance Act (42 U.S.C. 5143);

(2) not later than 10 business days after the latter of the date on which the Secretary of Homeland Security appoints the Principal Federal Official and the date on which the President issues a declaration under section 401 or section 501 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170 and 5191, respectively), the Secretary of Homeland Security shall submit a notification of the appointment of the Principal Federal Official and a description of the responsibilities of such Official and how such responsibilities are consistent with paragraph (1) to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Homeland Security and Governmental Affairs of the Senate, and the Committee on Transportation and Infrastructure of the House of Representatives; and

(3) not later than 60 days after the date of enactment of this Act, the Secretary shall provide a report specifying timeframes and milestones regarding the update of operations, planning and policy documents, and training and exercise protocols, to ensure consistency with paragraph (1) of this section.】

SEC. 520. None of the funds provided or otherwise made available in this Act shall be available to carry out section 872 of the Homeland Security Act of 2002 (6 U.S.C. 452) unless explicitly authorized by Congress.】

SEC. 521】517. (a) None of the funds appropriated by this or previous appropriations Acts may be used to establish an Office of Chemical, Biological, Radiological, Nuclear, and Explosives Defense until such time as Congress has authorized such establishment.

(b) Subject to the limitation in subsection (a) and notwithstanding section 503 of this Act, the Secretary may transfer funds for the purpose of executing authorization of the Office of Chemical, Biological, Radiological, Nuclear, and Explosives Defense.

(c) Not later than 15 days before transferring funds pursuant to subsection (b), the Secretary of Homeland Security shall submit a report to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Homeland Security and Governmental Affairs of the Senate, and the Committee on Homeland Security of the House of Representatives on—

(1) the transition plan for the establishment of the office; and

(2) the funds and positions to be transferred by source.

SEC. 522】518. None of the funds made available in this Act may be used by United States Citizenship and Immigration Services to grant an immigration benefit unless the results of background checks required by law to be completed prior to the granting of the benefit have been received by United States Citizenship and Immigration Services, and the results do not preclude the granting of the benefit.

SEC. 523】519. Section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391) is amended—

(1) in subsection (a), by striking "Until September 30, [2015] 2016," and inserting "Until September 30, [2016] 2017,"; and

(2) in subsection (c)(1), by striking "September 30, [2015] 2016," and inserting "September 30, [2016] 2017,".

SEC. 524】520. The Secretary of Homeland Security shall require that all contracts of the Department of Homeland Security that provide award fees link such fees to successful acquisition outcomes (which outcomes shall be specified in terms of cost, schedule, and performance).

SEC. 525. Notwithstanding any other provision of law, none of the funds provided in this or any other Act shall be used to approve a waiver of the navigation and vessel-inspection laws pursuant to 46 U.S.C. 501(b) for the transportation of crude oil distributed from and to the Strategic Petroleum Reserve until the Secretary of Homeland Security, after consultation with the Secretaries of the Departments of Energy and Transportation and representatives from the United States flag maritime industry, takes adequate measures to ensure the use of United States flag vessels: *Provided*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Commerce, Science, and Transportation of the Senate, and the Committee on Transportation and Infrastructure of the House of Representatives within 2 business days of any request for waivers of navigation and vessel-inspection laws pursuant to 46 U.S.C. 501(b).】

SEC. 526】521. None of the funds made available in this Act for U.S. Customs and Border Protection may be used to prevent an individual not in the business of importing a prescription drug (within the meaning of section 801(g) of the Federal Food, Drug, and Cosmetic Act) from importing a prescription drug from Canada that complies with the Federal Food, Drug, and Cosmetic Act: *Provided*, That this section shall apply only to individuals transporting on their person a personal-use quantity of the prescription drug, not to exceed a 90-day supply: *Provided further*, That the prescription drug may not be—

(1) a controlled substance, as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802); or

(2) a biological product, as defined in section 351 of the Public Health Service Act (42 U.S.C. 262).

SEC. 527. None of the funds in this Act shall be used to reduce the Coast Guard's Operations Systems Center mission or its government-employed or contract staff levels.】

SEC. 528】522. The Secretary of Homeland Security, in consultation with the Secretary of the Treasury, shall notify the Committees on Appropriations of the Senate and the House of Representatives of any proposed transfers of funds available under section 9703.1(g)(4)(B) of title 31, United States Code (as added by Public Law 102–393) from the Department of the Treasury Forfeiture Fund to any agency within the Department of Homeland Security: *Provided*, That none of the funds identified for such a transfer may be obligated until the Committees on Appropriations of the Senate and the House of Representatives [approve]are notified of the proposed transfers.

SEC. 529. None of the funds made available in this Act may be used for planning, testing, piloting, or developing a national identification card.】

SEC. 530】523. None of the funds appropriated by this Act may be used to conduct, or to implement the results of, a competition under Office of Management and Budget Circular A-76 for activities performed with respect to the Coast Guard National Vessel Documentation Center.

**SEC. 531.** Any official that is required by this Act to report or to certify to the Committees on Appropriations of the Senate and the House of Representatives may not delegate such authority to perform that act unless specifically authorized herein. ]

**SEC. 532.** None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at the United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense. ]

**SEC. [533]524.** None of the funds made available in this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

**SEC. 534.** None of the funds made available in this Act may be used to employ workers described in section 274A(h)(3) of the Immigration and Nationality Act (8 U.S.C. 1324a(h)(3)). ]

**SEC. 535.** Funds made available in this Act may be used to alter operations within the Civil Engineering Program of the Coast Guard nationwide, including civil engineering units, facilities design and construction centers, maintenance and logistics commands, and the Coast Guard Academy, except that none of the funds provided in this Act may be used to reduce operations within any civil engineering unit unless specifically authorized by a statute enacted after the date of enactment of this Act. ]

**SEC. [536]525.** Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or performance that does not meet the basic requirements of a contract.

**SEC. 537.** In developing any process to screen aviation passengers and crews for transportation or national security purposes, the Secretary of Homeland Security shall ensure that all such processes take into consideration such passengers' and crews' privacy and civil liberties consistent with applicable laws, regulations, and guidance. ]

**SEC. 538.** (a) Notwithstanding section 1356(n) of title 8, United States Code, of the funds deposited into the Immigration Examinations Fee Account, up to \$10,000,000 may be allocated by United States Citizenship and Immigration Services in fiscal year 2016 for the purpose of providing an immigrant integration grants program.

(b) None of the funds made available to United States Citizenship and Immigration Services for grants for immigrant integration may be used to provide services to aliens who have not been lawfully admitted for permanent residence. ]

**SEC. 539.** For an additional amount for the "Office of the Under Secretary for Management", \$215,679,000, to remain available until expended, for necessary expenses to plan, acquire, design, construct, renovate, remediate, equip, furnish, improve infrastructure, and occupy buildings and facilities for the Department headquarters consolidation project and associated mission support consolidation: *Provided*, That the Committees on Appropriations of the Senate and the House of Representatives shall receive an expenditure plan not later than 90 days after the date of enactment of this Act detailing the allocation of these funds. ]

**SEC. [540]526.** None of the funds appropriated or otherwise made available by this Act may be used by the Department of Homeland Security to enter into any Federal contract unless such contract is entered into in accordance with the requirements of subtitle I of title 41, United States Code, or chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless such contract is otherwise authorized by statute to be entered into without regard to the above referenced statutes.

**SEC. 541.** (a) For an additional amount for financial systems modernization, \$52,977,000 to remain available until September 30, 2017.

(b) Funds made available in subsection (a) for financial systems modernization may be transferred by the Secretary of Homeland Security between appropriations for the same purpose, notwithstanding section 503 of this Act.

(c) No transfer described in subsection (b) shall occur until 15 days after the Committees on Appropriations of the Senate and the House of Representatives are notified of such transfer. ]

**SEC. 542.** (a) For an additional amount for cybersecurity to safeguard and enhance Department of Homeland Security systems and capabilities, \$100,000,000 to remain available until September 30, 2017.

(b) Funds made available in subsection (a) for cybersecurity may be transferred by the Secretary of Homeland Security between appropriations for the same purpose, notwithstanding section 503 of this Act.

(c) No transfer described in subsection (b) shall occur until 15 days after the Committees on Appropriations of the Senate and the House of Representatives are notified of such transfer. ]

**SEC. 543.** (a) For an additional amount for emergent threats from violent extremism and from complex, coordinated terrorist attacks, \$50,000,000 to remain available until September 30, 2017.

(b) Funds made available in subsection (a) for emergent threats may be transferred by the Secretary of Homeland Security between appropriations for the same purpose, notwithstanding section 503 of this Act.

(c) No transfer described in subsection (b) shall occur until 15 days after the Committees on Appropriations of the Senate and the House of Representatives are notified of such transfer. ]

**SEC. [544]527.** The Secretary of Homeland Security may transfer to the fund established by 8 U.S.C. 1101 note, up to \$20,000,000 from appropriations available to the Department of Homeland Security: *Provided*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives 5 days in advance of such transfer.

**SEC. [545]528.** The Secretary of Homeland Security shall ensure enforcement of all immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))).

**SEC. [546]529.** (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

**SEC. 547.** None of the funds made available in this Act may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel unless law enforcement personnel of the United States continuously monitor or control the firearm at all times. ]

**SEC. 548.** None of the funds provided in this or any other Act may be obligated to implement the National Preparedness Grant Program or any other successor grant programs unless explicitly authorized by Congress. ]

**SEC. [549]530.** None of the funds made available in this Act may be used to provide funding for the position of Public Advocate, or a successor position, within U.S. Immigration and Customs Enforcement.

**SEC. 550.** Section 559(e)(3)(D) of Public Law 113–76 is amended by striking "five pilots per year" and inserting "10 pilots per year". ]

**SEC. 551.** None of the funds made available in this Act may be used to pay for the travel to or attendance of more than 50 employees of a single component of the Department of Homeland Security, who are stationed in the United States, at a single international conference unless the Secretary of Homeland Security, or a designee, determines that such attendance is in the national interest and notifies the Committees on Appropriations of the Senate and the House of Representatives within at least 10 days of that determination and the basis for that determination: *Provided*, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations: *Provided further*, That the total cost to the Department of Homeland Security of any such conference shall not exceed \$500,000. ]

**SEC. [552]531.** None of the funds made available in this Act may be used to reimburse any Federal department or agency for its participation in a National Special Security Event.

**SEC. [553]532.** With the exception of countries with preclearance facilities in service prior to 2013, none of the funds made available in this Act may be used for new U.S. Customs and Border Protection air preclearance agreements entering into force after February 1, 2014, unless: (1) the Secretary of Homeland Security, in consultation with the Secretary of State, has certified to Congress that air preclearance operations at the airport provide a homeland or national security benefit to the United States; (2) U.S. passenger air carriers are not precluded from operating at existing preclearance locations; and (3) a U.S. passenger air carrier is operating at all airports contemplated for establishment of new air preclearance operations.

**SEC. 554.** None of the funds made available by this or any other Act may be used by the Administrator of the Transportation Security Administration to implement, administer, or enforce, in abrogation of the responsibility described in section 44903(n)(1) of title 49, United States Code, any requirement that airport operators provide airport-financed staffing to monitor exit points from the sterile area of any airport at which the Transportation Security Administration provided such monitoring as of December 1, 2013. ]

**SEC. [555]533.** The administrative law judge annuitants participating in the Senior Administrative Law Judge Program managed by the Director of the Office

of Personnel Management under section 3323 of title 5, United States Code, shall be available on a temporary reemployment basis to conduct arbitrations of disputes arising from delivery of assistance under the Federal Emergency Management Agency Public Assistance Program.

SEC. **[556]** 534. As authorized by section 601(b) of the United States-Colombia Trade Promotion Agreement Implementation Act (Public Law 112–42) *not to exceed \$220,000,000* in fees collected from passengers arriving from Canada, Mexico, or an adjacent island pursuant to section 13031(a)(5) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)(5)) shall be available until expended.

SEC. **[557]** 535. None of the funds made available to the Department of Homeland Security by this or any other Act may be obligated for any structural pay reform that affects more than 100 full-time equivalent employee positions or costs more than \$5,000,000 in a single year before the end of the 30-day period beginning on the date on which the Secretary of Homeland Security submits to Congress a notification that includes—

- (1) the number of full-time equivalent employee positions affected by such change;
- (2) funding required for such change for the current year and through the Future Years Homeland Security Program;
- (3) justification for such change; and
- (4) an analysis of compensation alternatives to such change that were considered by the Department.

**[SEC. 558.** (a) Any agency receiving funds made available in this Act shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Committees on Appropriations of the Senate and the House of Representatives in this Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises homeland or national security; or
- (2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days except as otherwise specified in law.]

**[SEC. 559.** (a) **IN GENERAL.**—Beginning on the date of enactment of this Act, the Secretary of Homeland Security shall not—

- (1) establish, collect, or otherwise impose any new border crossing fee on individuals crossing the Southern border or the Northern border at a land port of entry; or
- (2) conduct any study relating to the imposition of a border crossing fee.

(b) **BORDER CROSSING FEE DEFINED.**—In this section, the term "border crossing fee" means a fee that every pedestrian, cyclist, and driver and passenger of a private motor vehicle is required to pay for the privilege of crossing the Southern border or the Northern border at a land port of entry.]

**[SEC. 560.** Notwithstanding any other provision of law, grants awarded to States along the Southwest Border of the United States under sections 2003 or 2004 of the Homeland Security Act of 2002 (6 U.S.C. 604 and 605) using funds provided under the heading "Federal Emergency Management Agency, State and Local Programs" in this Act, Public Law 114–4, division F of Public Law 113–76, or division D of Public Law 113–6 may be used by recipients or sub-recipients for costs, or reimbursement of costs, related to providing humanitarian relief to unaccompanied alien children and alien adults accompanied by an alien minor where they are encountered after entering the United States, provided that such costs were incurred between January 1, 2014, and December 31, 2014, or during the award period of performance.]

**[SEC. 561.** (a) Each major acquisition program of the Department of Homeland Security, as defined in Department of Homeland Security Management Directive 102–2, shall meet established acquisition documentation requirements for its acquisition program baseline established in the Department of Homeland Security Instruction Manual 102–01–001 and the Department of Homeland Security Acquisition Instruction/Guidebook 102–01–001, Appendix K.

(b) The Department shall report to the Committees on Appropriations of the Senate and the House of Representatives in the Comprehensive Acquisition Status Report and its quarterly updates, required under the heading "Office of the Under Secretary for Management" of this Act, on any major acquisition program that does not meet such documentation requirements and the schedule by which the program will come into compliance with these requirements.

(c) None of the funds made available by this or any other Act for any fiscal year may be used for a major acquisition program that is out of compliance with such documentation requirements for more than two years except that funds may be

used solely to come into compliance with such documentation requirements or to terminate the program.]

**[SEC. 562.** None of the funds appropriated by this or any other Act shall be used to pay the salaries and expenses of personnel who prepare or submit appropriations language as part of the President's budget proposal to the Congress of the United States for programs under the jurisdiction of the Appropriations Subcommittees on the Department of Homeland Security that assumes revenues or reflects a reduction from the previous year due to user fees proposals that have not been enacted into law prior to the submission of the budget unless such budget submission identifies which additional spending reductions should occur in the event the user fees proposals are not enacted prior to the date of the convening of a committee of conference for the fiscal year 2017 appropriations Act.]

SEC. **[563]** 536. (a) The Secretary of Homeland Security may include, in the President's budget proposal for fiscal year **[2017]** 2018, submitted pursuant to section 1105(a) of title 31, United States Code, and accompanying justification materials, an account structure under which each appropriation under each agency heading either remains the same as fiscal year **[2016]** 2017 or falls within the following categories of appropriations:

- (1) Operations and Support.
- (2) Procurements, Construction, and Improvements.
- (3) Research and Development.
- (4) Federal Assistance.

(b) The Under Secretary for Management, acting through the Chief Financial Officer, shall determine and provide centralized guidance to each agency on how to structure appropriations for purposes of subsection (a).

(c) Not earlier than October 1, **[2016]** 2017, the accounts designated under subsection (a) may be established, and the Secretary of Homeland Security may execute appropriations of the Department as provided pursuant to such subsection, including any continuing appropriations made available for fiscal year **[2017]** 2018 before enactment of a regular appropriations Act.

(d) Notwithstanding any other provision of law, the Secretary of Homeland Security may transfer any appropriation made available to the Department of Homeland Security by any appropriations Acts to the accounts created pursuant to subsection (c) to carry out the requirements of such subsection, and shall notify the Committees on Appropriations of the Senate and the House of Representatives within 5 days of each transfer.

**[ (e) (1) Not later than November 1, 2016, the Secretary of Homeland Security shall establish the preliminary baseline for application of reprogramming and transfer authorities and submit the report specified in paragraph (2) to the Committees on Appropriations of the Senate and the House of Representatives.**

(2) The report required in this subsection shall include—

- (A) a delineation of the amount and account of each transfer made pursuant to subsection (c) or (d);
- (B) a table for each appropriation with a separate column to display the President's budget proposal, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, adjustments made pursuant to the transfer authority in subsection (c) or (d), and the fiscal year level;
- (C) a delineation in the table for each appropriation, adjusted as described in paragraph (2), both by budget activity and program, project, and activity as detailed in the Budget Appendix; and
- (D) an identification of funds directed for a specific activity.]

**[ (f) The Secretary shall not exercise the authority provided in subsections (c), (d), and (e) unless, not later than April 1, 2016, the Chief Financial Officer has submitted to the Committees on Appropriations of the Senate and the House of Representatives—**

- (1) technical assistance on new legislative language in the account structure under subsection (a);
- (2) comparison tables of fiscal years 2015, 2016, and 2017 in the account structure under subsection (a);
- (3) cross-component comparisons that the account structure under subsection (a) facilitates;
- (4) a copy of the interim financial management policy manual addressing changes made in this Act;
- (5) an outline of the financial management policy manual changes necessary for the account structure under subsection (a);
- (6) proposed changes to transfer and reprogramming requirements, including technical assistance on legislative language;
- (7) certification by the Chief Financial Officer that the Department's financial systems can report in the new account structure; and
- (8) a plan for training and implementation of the account structure under subsections (a) and (c).]

SEC. [564] 537. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.

【SEC. 565. Section 214(g)(9)(A) of the Immigration and Nationality Act (8 U.S.C. 1184(g)(9)(A)) is amended by striking "2004, 2005, or 2006 shall not again be counted toward such limitation during fiscal year 2007." and inserting "2013, 2014, or 2015 shall not again be counted toward such limitation during fiscal year 2016."】

【SEC. 566. For an additional amount for "U.S. Customs and Border Protection, Salaries and Expenses", \$14,000,000, to remain available until expended, to be reduced by amounts collected and credited to this appropriation from amounts authorized to be collected by section 286(i) of the Immigration and Nationality Act (8 U.S.C. 1356(i)), section 10412 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8311), and section 817 of the Trade Facilitation and Trade Enforcement Act of 2015: *Provided*, That to the extent that amounts realized from such collections exceed \$14,000,000, those amounts in excess of \$14,000,000 shall be credited to this appropriation and remain available until expended: *Provided further*, That this authority is contingent on enactment of the Trade Facilitation and Trade Enforcement Act of 2015.】

*SEC. 538. Funds appropriated by this Act for the Department of Homeland Security may be obligated in the account and the budget structure established pursuant to section 563 of title V, division F, Consolidated Appropriations Act, 2016 (Public Law 114–113).*

【(RESCISSIONS)】

【SEC. 567. Of the funds appropriated to the Department of Homeland Security, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99–179):

- (1) \$27,338,000 from Public Law 109–88;
- (2) \$4,188,000 from unobligated prior year balances from "Analysis and Operations";
- (3) \$7,000,000 from unobligated prior year balances from "U.S. Customs and Border Protection, Automation Modernization";
- (4) \$21,856,000 from unobligated prior year balances from "U.S. Customs and Border Protection, Border Security, Fencing, Infrastructure, and Technology";
- (5) \$4,500,000 from unobligated prior year balances from "U.S. Customs and Border Protection, Construction and Facilities Management";
- (6) \$158,414,000 from Public Law 114–4 under the heading "Transportation Security Administration, Aviation Security";
- (7) \$14,000,000 from Public Law 114–4 under the heading "Transportation Security Administration, Surface Transportation Security";
- (8) \$5,800,000 from Public Law 112–74 under the heading "Coast Guard, Acquisition, Construction, and Improvements";
- (9) \$16,445,000 from Public Law 113–76 under the heading "Coast Guard, Acquisition, Construction, and Improvements";
- (10) \$13,758,918 from "Federal Emergency Management Agency, National Predisaster Mitigation Fund" account 70–0716;
- (11) \$393,178 from Public Law 113–6 under the heading "Science and Technology, Research, Development, Acquisition, and Operations";
- (12) \$8,500,000 from Public Law 113–76 under the heading "Science and Technology, Research, Development, Acquisition, and Operations"; and
- (13) \$1,106,822 from Public Law 114–4 under the heading "Science and Technology, Research, Development, Acquisition, and Operations".】

【(RESCISSIONS)】

【SEC. 568. Of the funds transferred to the Department of Homeland Security when it was created in 2003, the following funds are hereby rescinded from the following accounts and programs in the specified amounts:

- (1) \$417,017 from "U.S. Customs and Border Protection, Salaries and Expenses";
- (2) \$15,238 from "Federal Emergency Management Agency, Office of Domestic Preparedness"; and
- (3) \$573,828 from "Federal Emergency Management Agency, National Predisaster Mitigation Fund".】

【(RESCISSIONS)】

【SEC. 569. The following unobligated balances made available to the Department of Homeland Security pursuant to section 505 of the Department of Homeland Security Appropriations Act, 2015 (Public Law 114–4) are rescinded:

- (1) \$361,242 from "Office of the Secretary and Executive Management";
- (2) \$146,547 from "Office of the Under Secretary for Management";
- (3) \$25,859 from "Office of the Chief Financial Officer";
- (4) \$507,893 from "Office of the Chief Information Officer";

- (5) \$301,637 from "Analysis and Operations";
- (6) \$20,856 from "Office of Inspector General";
- (7) \$598,201 from "U.S. Customs and Border Protection, Salaries and Expenses";
- (8) \$254,322 from "U.S. Customs and Border Protection, Automation Modernization";
- (9) \$450,806 from "U.S. Customs and Border Protection, Air and Marine Operations";
- (10) \$2,461,665 from "U.S. Immigration and Customs Enforcement, Salaries and Expenses";
- (11) \$8,653,853 from "Coast Guard, Operating Expenses";
- (12) \$515,040 from "Coast Guard, Reserve Training";
- (13) \$970,844 from "Coast Guard, Acquisition, Construction, and Improvements";
- (14) \$4,212,971 from "United States Secret Service, Salaries and Expenses";
- (15) \$27,360 from "National Protection and Programs Directorate, Management and Administration";
- (16) \$188,146 from "National Protection and Programs Directorate, Infrastructure Protection and Information Security";
- (17) \$986 from "National Protection and Programs Directorate, Office of Biometric Identity Management";
- (18) \$20,650 from "Office of Health Affairs";
- (19) \$236,332 from "Federal Emergency Management Agency, United States Fire Administration";
- (20) \$3,086,173 from "United States Citizenship and Immigration Services";
- (21) \$558,012 from "Federal Law Enforcement Training Center, Salaries and Expenses";
- (22) \$284,796 from "Science and Technology, Management and Administration"; and
- (23) \$83,861 from "Domestic Nuclear Detection Office, Management and Administration".】

【(RESCISSION)】

【SEC. 570. From the unobligated balances made available in the Department of the Treasury Forfeiture Fund established by section 9703 of title 31, United States Code (added by section 638 of Public Law 102–393), \$176,000,000 shall be rescinded.】

【(RESCISSION) CANCELLATION】

SEC. [571] 539. Of the unobligated balances made available to "Federal Emergency Management Agency, Disaster Relief Fund", 【\$1,021,879,000 shall be rescinded】 \$300,000,000 is hereby permanently cancelled: *Provided*, That no amounts may be 【rescinded】 cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That no amounts may be 【rescinded】 cancelled from the amounts that were designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(CANCELLATION)

*SEC. 540. Of the unobligated balances available to the "Federal Emergency Management Agency, Disaster Assistance Direct Loan Program Account," \$73,000,000 appropriated by Public Law 109–88 and \$47,000,000 appropriated by Public Law 110–28 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as emergency requirements pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as being for disaster relief pursuant to section 251 (b) (2) (D) of the Balanced Budget and Emergency Deficit Control Act of 1985.*

【SEC. 572. Section 401(b) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note) shall be applied by substituting "September 30, 2016" for the date specified in section 106(3) of the Continuing Appropriations Act, 2016 (Public Law 114–53).】

【SEC. 573. Subclauses 101(a)(27)(C)(ii)(II) and (III) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(27)(C)(ii)(II) and (III)) shall be applied by substituting "September 30, 2016" for the date specified in section 106(3) of the Continuing Appropriations Act, 2016 (Public Law 114–53).】

【SEC. 574. Section 220(c) of the Immigration and Nationality Technical Corrections Act of 1994 (8 U.S.C. 1182 note) shall be applied by substituting "September 30, 2016" for the date specified in section 106(3) of the Continuing Appropriations Act, 2016 (Public Law 114–53).】

【SEC. 575. Section 610(b) of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1993 (8 U.S.C. 1153 note)

shall be applied by substituting "September 30, 2016" for the date specified in section 106(3) of the Continuing Appropriations Act, 2016 (Public Law 114–53).

This division may be cited as the "Department of Homeland Security Appropriations Act, 2016".】

SEC. 541. (a) Subject to section 517 and subsection (c), and notwithstanding section 503 of this Act, the Secretary of Homeland Security may transfer funds made available to the Department of Homeland Security, Office of the Secretary and Executive Management pursuant to the Department of Defense, Emergency Supplemental Appropriations to address hurricanes in the Gulf of Mexico, and Pandemic Influenza Act, 2006 (Public Law 109–148; 119 Stat 2680, 2785 (December 30, 2005)), from the Office of the Secretary and Executive Management to the Office of Chemical, Biological, Radiological, Nuclear, and Explosives;

(b) No transfer described in subsection (a) shall occur until 15 days after the Committees on Appropriations of the Senate and the House of Representatives are notified of such transfer;

(c) In the event that the Office of Chemical, Biological, Radiological, Nuclear, and Explosives is not authorized, the Office of Health Affairs shall receive any transfer made pursuant to subsection (a).

SEC. 542. Raising the Numerical Limitation on U Visas. Subparagraph (A) of section 214(p)(2) of the Immigration and Nationality Act (8 U.S.C. § 1184(p)(2)(A)), is amended by striking "10,000" and inserting "20,000".

SEC. 543. (a) None of the funds appropriated by this or previous appropriations Acts may be used to rename the National Protection Programs Directorate and establish Cyber and Infrastructure Protection, until such time as Congress has authorized such establishment.

(b) Not later than 15 days before transferring funds pursuant to subsection (b), the Secretary of Homeland Security shall submit a report to the Committees on Appropriation of the Senate and the House of Representatives, the Committee on Homeland Security and Governmental Affairs of the Senate, and the Committee on Homeland Security of the House of Representatives on the funds and positions to be transferred, by sources.

(Department of Homeland Security Appropriations Act, 2016.)

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

## PUBLIC AND INDIAN HOUSING PROGRAMS

### Federal Funds

#### RENTAL ASSISTANCE DEMONSTRATION

For continuing activities under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112-55), and in accordance with priorities established by the Secretary, \$50,000,000, to remain available through September 30, 2020: Provided, That such funds shall only be available to properties converting from assistance under section 9 of the United States Housing Act of 1937 (42 U.S.C 1437g) or under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q(c)(2)).

#### Program and Financing (in millions of dollars)

Identification code 086-0406-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 RAD Incremental Conversion Cost .....			50
0100 Direct program activities, subtotal .....			50
0900 Total new obligations (object class 41.0) .....			50
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			50
1930 Total budgetary resources available .....			50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			50
3050 Unpaid obligations, end of year .....			50
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			50
4180 Budget authority, net (total) .....			50
4190 Outlays, net (total) .....			

The 2017 Budget provides \$50 million for the Rental Assistance Demonstration (RAD) program and expands its authority to convert additional properties to long-term, project-based Section 8 contracts that can leverage private financing for capital improvements. Under existing authorities, Public Housing Authorities (PHAs) and other owners of rental properties assisted under the Public Housing, Moderate Rehabilitation (Mod Rehab), Moderate Rehabilitation Single-Room Occupancy (Mod Rehab SRO), Rent Supplement (Rent Supp) and Rental Assistance Payment (RAP) programs are offered the option to convert their properties to Section 8 contracts. The Budget expands this authority to enable Section 202 Housing for the Elderly Project Rental Assistance Contracts (PRACs) the option to also convert to Section 8 contracts.

In addition to no-cost conversions, the requested \$50 million would be awarded to both PHAs and Section 202 PRAC owners to cover the incremental subsidy necessary for properties to feasibly convert. HUD would prioritize properties that are located in high poverty neighborhoods, including designated Promise Zones, where the Administration is supporting comprehensive revitalization efforts or other efforts to significantly increase opportunities for the residents. HUD also intends to prioritize conversions of Section 202 PRAC properties that have significant recapitalization needs in high need areas, as well as other criteria, including those properties with service coordinators for frail and elderly residents.

The Budget also includes the following proposals to facilitate additional conversions of HUD-assisted properties: 1) eliminates the 185,000 unit cap on Public Housing conversions; 2) eliminates the deadline of September 30, 2018, for submission of RAD applications; 3) standardizes ownership and control requirements for converted Public Housing properties in situations where low-income housing tax credits are used or where foreclosure,

bankruptcy, or default occurs; and 4) protects tenants' right to continue occupancy under second component conversions.

#### TENANT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of tenant-based rental assistance authorized under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) ("the Act" herein), not otherwise provided for, \$15,628,525,000 \$16,854,000,000, to remain available until [expended] September 30, 2019, shall be available on October 1, [2015] 2016 (in addition to the \$4,000,000,000 previously appropriated under this heading that shall be available on October 1, [2015] 2016), and \$4,000,000,000, to remain available until [expended] September 30, 2020, shall be available on October 1, [2016] 2017: Provided, That the amounts made available under this heading are provided as follows:

(1) \$17,681,451,000 \$18,447,000,000 shall be available for renewals of expiring section 8 tenant-based annual contributions contracts (including renewals of enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act) and including renewal of other special purpose incremental vouchers: Provided, That notwithstanding any other provision of law, from amounts provided under this paragraph and any carryover, the Secretary for the calendar year [2016] 2017 funding cycle shall provide renewal funding for each public housing agency based on validated voucher management system (VMS) leasing and cost data for the prior calendar year and by applying an inflation factor as established by the Secretary, by notice published in the Federal Register, and by making any necessary adjustments for the costs associated with the first-time renewal of vouchers under this paragraph including tenant protection, [HOPE VI,] and Choice Neighborhoods vouchers: Provided further, That in determining calendar year [2016] 2017 funding allocations under this heading for public housing agencies, including agencies participating in the Moving To Work (MTW) demonstration, the Secretary may take into account the anticipated impact of changes in [targeting and utility allowances] the medical expense threshold, on public housing agencies' contract renewal needs: Provided further, That none of the funds provided under this paragraph may be used to fund a total number of unit months under lease which exceeds a public housing agency's authorized level of units under contract, except for public housing agencies participating in the MTW demonstration, which are instead governed by the terms and conditions of their MTW agreements: Provided further, That the Secretary shall, to the extent necessary to stay within the amount specified under this paragraph (except as otherwise modified under this paragraph), prorate each public housing agency's allocation otherwise established pursuant to this paragraph: Provided further, That except as provided in the following provisos, the entire amount specified under this paragraph (except as otherwise modified under this paragraph) shall be obligated to the public housing agencies based on the allocation and pro rata method described above, and the Secretary shall notify public housing agencies of their annual budget by the latter of 60 days after enactment of this Act or March 1, [2016] 2017: Provided further, That the Secretary may extend the notification period with [the prior written approval of] notification to the House and Senate Committees on Appropriations: Provided further, That public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements, and in accordance with the requirements of the MTW program, and shall be subject to the same pro rata adjustments under the previous provisos: Provided further, That the Secretary may offset public housing agencies' calendar year [2016] 2017 allocations based on the excess amounts of public housing agencies' net restricted assets accounts, including HUD held programmatic reserves (in accordance with VMS data in calendar year [2015] 2016 that is verifiable and complete), as determined by the Secretary: Provided further, That public housing agencies participating in the MTW demonstration shall also be subject to the offset, as determined by the Secretary, [excluding amounts subject to the single fund budget authority provisions of their MTW agreements,] from the agencies' calendar year [2016] 2017 MTW funding allocation: Provided further, That the Secretary shall use any offset referred to in the previous two provisos throughout the calendar year to prevent the termination of rental assistance for families as the result of insufficient funding, as determined by the Secretary, and to avoid or reduce the proration of renewal funding allocations: Provided further, That up to \$75,000,000 shall be available only: (1) for adjustments in the allocations for public housing agencies, after application for an adjustment by a public housing agency that experienced a significant increase, as determined by the Secretary, in renewal costs of vouchers resulting from unforeseen circumstances or from portability under section 8(r) of the Act; (2) for vouchers that were not in use during the previous

## TENANT-BASED RENTAL ASSISTANCE—Continued

12-month period in order to be available to meet a commitment pursuant to section 8(o)(13) of the Act; (3) for adjustments for costs associated with HUD-Veterans Affairs Supportive Housing (HUD-VASH) vouchers; [and] (4) for public housing agencies that despite taking reasonable cost savings measures, as determined by the Secretary, would otherwise be required to terminate rental assistance for families as a result of insufficient funding; and (5) for adjustments for voucher costs associated with a housing mobility program: *Provided further*, That the Secretary shall allocate amounts under the previous proviso based on need, as determined by the Secretary;

(2) **[\$130,000,000]** *\$110,000,000* shall be for section 8 rental assistance for relocation and replacement of housing units that are demolished or disposed of pursuant to section 18 of the Act, conversion of section 23 projects to assistance under section 8, the family unification program under section 8(x) of the Act, relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from a law enforcement or prosecution agency, enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act, HOPE VI and Choice Neighborhood vouchers, mandatory and voluntary conversions, and tenant protection assistance including replacement and relocation assistance or for project-based assistance to prevent the displacement of unassisted elderly tenants currently residing in section 202 properties financed between 1959 and 1974 that are refinanced pursuant to Public Law 106-569, as amended, or under the authority as provided under this Act: *Provided*, That when a public housing development is submitted for demolition or disposition under section 18 of the Act, the Secretary may provide section 8 rental assistance when the units pose an imminent health and safety risk to residents: *Provided further*, That the Secretary may only provide replacement vouchers for units that were occupied within the previous 24 months that cease to be available as assisted housing, subject only to the availability of funds: *Provided further*, That of the amounts made available under this paragraph, \$5,000,000 may be available to provide tenant protection assistance, not otherwise provided under this paragraph, to residents residing in low vacancy areas and who may have to pay rents greater than 30 percent of household income, as the result of: (A) the maturity of a HUD-insured, HUD-held or section 202 loan that requires the permission of the Secretary prior to loan prepayment; (B) the expiration of a rental assistance contract for which the tenants are not eligible for enhanced voucher or tenant protection assistance under existing law; or (C) the expiration of affordability restrictions accompanying a mortgage or preservation program administered by the Secretary: *Provided further*, That such tenant protection assistance made available under the previous proviso may be provided under the authority of section 8(t) or section 8(o)(13) of the United States Housing Act of 1937 (42 U.S.C. 1437f(t)): *Provided further*, That any tenant protection voucher made available from amounts under this paragraph shall not be reissued by any public housing agency, except the replacement vouchers as defined by the Secretary by notice, when the initial family that received any such voucher no longer receives such voucher, and the authority for any public housing agency to issue any such voucher shall cease to exist: *Provided further*, That the Secretary, for the purpose under this paragraph, may use unobligated balances, including recaptures and carryovers, remaining from amounts appropriated in prior fiscal years under this heading for voucher assistance for nonelderly disabled families and for disaster assistance made available under Public Law 110-329];

(3) **[\$1,650,000,000]** *\$2,077,000,000* shall be for administrative and other expenses of public housing agencies in administering the section 8 tenant-based rental assistance program, of which up to \$10,000,000 shall be available to the Secretary to allocate to public housing agencies that need additional funds to administer their section 8 programs, including fees associated with section 8 tenant protection rental assistance, the administration of disaster related vouchers, Veterans Affairs Supportive Housing vouchers, and other special purpose incremental vouchers: *Provided*, That no less than **[\$1,640,000,000]** *\$2,067,000,000* of the amount provided in this paragraph shall be allocated to public housing agencies for the calendar year **[2016]** 2017 funding cycle based on section 8(q) of the Act [(and related Appropriation Act provisions) as in effect immediately before the enactment of the Quality Housing and Work Responsibility Act of 1998 (Public Law 105-276)]: *Provided further*, That if the amounts made available under this paragraph are insufficient to pay the amounts determined under the previous proviso, the Secretary may decrease the amounts allocated to agencies by a uniform percentage applicable to all agencies receiving funding under this paragraph or may, to the extent necessary to provide full payment of amounts determined under the previous proviso, utilize unobligated balances, including recaptures and carryovers, remaining from funds appropriated to the Department of Housing and Urban Development under this heading from prior fiscal years, excluding special purpose vouchers, notwithstanding the purposes for which such amounts were

appropriated: *Provided further*, That [all] public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements, and in accordance with the requirements of the MTW program, and shall be subject to the same uniform percentage decrease as under the previous proviso: *Provided further*, That amounts provided under this paragraph shall be only for activities related to the provision of tenant-based rental assistance authorized under section 8, including related development activities;

(4) **[\$107,074,000]** *\$110,000,000* for the renewal of tenant-based assistance contracts under section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), including necessary administrative expenses: *Provided*, That administrative and other expenses of public housing agencies in administering the special purpose vouchers in this paragraph shall be funded under the same terms and be subject to the same pro rata reduction as the percent decrease for administrative and other expenses to public housing agencies under paragraph (3) of this heading;

(5) **[\$60,000,000]** for incremental rental voucher assistance for use through a supported housing program administered in conjunction with the Department of Veterans Affairs as authorized under section 8(o)(19) of the United States Housing Act of 1937: *Provided*, That the Secretary of Housing and Urban Development shall make such funding available, notwithstanding section 204 (competition provision) of this title, to public housing agencies that partner with eligible VA Medical Centers or other entities as designated by the Secretary of the Department of Veterans Affairs, based on geographical need for such assistance as identified by the Secretary of the Department of Veterans Affairs, public housing agency administrative performance, and other factors as specified by the Secretary of Housing and Urban Development in consultation with the Secretary of the Department of Veterans Affairs: *Provided further*, That the Secretary of Housing and Urban Development may waive, or specify alternative requirements for (in consultation with the Secretary of the Department of Veterans Affairs), any provision of any statute or regulation that the Secretary of Housing and Urban Development administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration of such voucher assistance: *Provided further*, That assistance made available under this paragraph shall continue to remain available for homeless veterans upon turnover: *\$7,000,000 shall be for rental assistance and associated administrative fees for Tribal HUD-VA Supportive Housing to serve Native American veterans that are homeless or at-risk of homelessness living on or near a reservation or other Indian areas: Provided*, That such amount shall be made available for renewal grants to the recipients that received assistance under the rental assistance and supportive housing demonstration program for Native American veterans authorized under the heading "TENANT-BASED RENTAL ASSISTANCE" in title II of division K of the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113-235, 128 Stat. 2733): *Provided further*, That the Secretary shall be authorized to specify criteria for renewal grants, including data on the utilization of assistance reported by grant recipients under the demonstration program: *Provided further*, That any amounts remaining after such renewal assistance is awarded may be available for new grants to recipients eligible to receive block grants under the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. section 4101 et seq.) for rental assistance and associated administrative fees for Tribal HUD-VA Supportive Housing to serve Native American veterans that are homeless or at-risk of homelessness living on or near a reservation or other Indian areas: *Provided further*, That funds shall be awarded based on need, administrative capacity, and any other funding criteria established by the Secretary in a Notice published in the Federal Register after coordination with the Secretary of the Department of Veterans Affairs: *Provided further*, That renewal grants and new grants under this paragraph shall be administered by block grant recipients in accordance with program requirements under the Native American Housing Assistance and Self-Determination Act of 1996: *Provided further*, That assistance under this paragraph shall be modeled after, with necessary and appropriate adjustments for Native American grant recipients and veterans, the rental assistance and supportive housing program known as HUD-VASH program, including administration in conjunction with the Department of Veterans Affairs and overall implementation of section 8(o)(19) of the United States Housing Act of 1937: *Provided further*, That the Secretary of Housing and Urban Development may waive, or specify alternative requirements for any provision of any statute or regulation that the Secretary of Housing and Urban Development administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor stands, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration

of such assistance: Provided further, That grant recipients shall report to the Secretary on utilization of such rental assistance and other program data, as prescribed by the Secretary; [and]

(6) \$88,000,000 shall be used for incremental rental voucher assistance under section 8(o) of the United States Housing Act of 1937 for use by families with children who are experiencing homelessness, as determined by the Secretary: Provided, That the Secretary shall make such funding available, notwithstanding section 204 (competition provision) of this title to public housing agencies that partner with eligible Continuums of Care or other entities as designated by the Secretary, based on geographical need of such assistance, public housing agency administrative performance, and other factors as specified by the Secretary: Provided further, That the Secretary may waive, or specify alternative requirements for any provision or statute or regulation that the Secretary administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment) upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration of such voucher assistance: Provided further, That the Secretary shall issue guidance to implement the previous proviso;

(7) \$15,000,000 shall be made available for the Housing Choice Voucher Mobility Demonstration authorized under section 270 of this title: Provided, That no more than \$3,000,000 may be available for evaluating the demonstration; and

(16) (8) the Secretary shall separately track all special purpose vouchers funded under this heading. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0302-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Tenant Protection .....	94	192	110
0002 Administrative Fees .....	1,509	1,765	2,077
0006 Contract Renewals .....	17,518	17,729	18,419
0007 Rental Assistance Demonstration .....	29	39	129
0008 Veterans Affairs Supportive Housing Vouchers .....	79	73	.....
0013 Section 811 Mainstream Vouchers .....	104	118	110
0014 Homeless Vouchers .....	.....	.....	88
0015 Tribal HUD VASH .....	.....	.....	7
0016 Mobility Demonstration .....	.....	.....	15
0900 Total new obligations (object class 41.0) .....	19,333	19,916	20,955
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	228	250	.....
1021 Recoveries of prior year unpaid obligations .....	20	.....	.....
1050 Unobligated balance (total) .....	248	250	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15,304	15,629	16,854
1120 Appropriations transferred to other accts [086-0108] .....	.....	.....	-28
1121 Appropriations transferred from other acct [086-0304] .....	8	10	34
1121 Appropriations transferred from other acct [086-0163] .....	23	27	95
1160 Appropriation, discretionary (total) .....	15,335	15,666	16,955
Advance appropriations, discretionary:			
1170 Advance appropriation .....	4,000	4,000	4,000
1900 Budget authority (total) .....	19,335	19,666	20,955
1930 Total budgetary resources available .....	19,583	19,916	20,955
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	250	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,766	3,600	4,051
3010 Obligations incurred, unexpired accounts .....	19,333	19,916	20,955
3020 Outlays (gross) .....	-18,479	-19,465	-20,832
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20	.....	.....
3050 Unpaid obligations, end of year .....	3,600	4,051	4,174
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,766	3,600	4,051
3200 Obligated balance, end of year .....	3,600	4,051	4,174
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	19,335	19,666	20,955
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16,034	16,533	17,564
4011 Outlays from discretionary balances .....	2,445	2,932	3,268

4020	Outlays, gross (total) .....	18,479	19,465	20,832
4180	Budget authority, net (total) .....	19,335	19,666	20,955
4190	Outlays, net (total) .....	18,479	19,465	20,832

The 2017 Budget provides \$20.9 billion for the Tenant-Based Rental Assistance program (also known as the Housing Choice Voucher program), which is the Federal government's largest and most income-targeted rental assistance program. With this funding, the Housing Choice Voucher program will provide housing assistance to over 2.2 million extremely low- to very low-income families to rent decent, safe, and sanitary housing in the private market. About 2,300 state and local Public Housing Authorities (PHAs) administer the Housing Choice Voucher program.

The Budget provides \$18.5 billion in contract renewals to continue assistance for families anticipated to be under lease at the end of 2016, including renewing 14,000 housing vouchers for persons with disabilities.

Based on studies that show that moving to higher opportunity areas can have significant and positive long-term earnings and college attainment outcomes for children, the Budget includes investments in the Housing Choice Voucher program to improve the mobility of low-income families. The Budget requests \$2.1 billion in PHA administrative fees to not only support fundamental functions, such as housing quality inspections and tenant income certifications, but to ensure that PHAs have sufficient resources to promote mobility and give low-income families greater access to areas with lower crime, more job opportunities, and better schools. In addition, the Budget requests \$15 million and statutory authority to implement a Mobility Counseling Demonstration program to help families with housing vouchers move and stay in areas of opportunities. The funds would be used to pay for counseling, outreach to landlords, portability coordination, security deposits, and other administrative functions.

The Budget provides targeted discretionary funding increases to address homelessness, including \$88 million for 10,000 housing vouchers for homeless families with children. These housing vouchers will be awarded to PHAs in geographic areas with demonstrated need, in coordination with local Continuum of Care programs, and will complement the \$11 billion mandatory proposal to end family homelessness, as discussed in the "Homeless Assistance for Families" account.

The Budget requests \$110 million for tenant protection vouchers, which are provided to families who must relocate due to actions beyond their control, such as public housing demolition or redevelopment, and when private owners of multi-family developments choose to leave the project-based program or convert to long-term Section 8 contracts, as a part of the Rental Assistance Demonstration program.

The Budget provides \$7 million for the Tribal HUD-VA Supportive Housing (HUD-VASH) program, to serve homeless or at risk of homeless Native American veterans living in and around designated tribal areas.

The Budget also proposes the following statutory improvements to the Housing Choice Voucher program: 1) increasing the threshold used to determine deductions for unreimbursed medical expenses from 3 to 10 percent of family income; 2) improving the process for establishing Fair Market Rents; 3) simplifying the calculation of the 20 percent project-based voucher cap by basing the maximum on units rather than funding level; 4) implementing a new formula to allocate administrative fees; and 5) extending the maximum term of Family Unification Program vouchers issued to youths aging out of foster care from 18 to 60 months.

**HOUSING CERTIFICATE FUND**

(INCLUDING [RESCISSIONS] CANCELLATIONS)

Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading, the heading "Annual Contributions for Assisted Housing" and the heading "Project-Based Rental Assistance", for fiscal year [2016] 2017 and prior years may be used for renewal of or amendments to section 8 project-based contracts and for performance-based contract administrators, notwithstanding the purposes for which such funds were appropriated: *Provided*, That any obligated balances of contract

HOUSING CERTIFICATE FUND—Continued

authority from fiscal year 1974 and prior that have been terminated [shall be rescinded] are hereby permanently cancelled: *Provided further*, That amounts heretofore recaptured, or recaptured during the current fiscal year, from section 8 project-based contracts from source years fiscal year 1975 through fiscal year 1987 are hereby [rescinded] permanently cancelled, and an amount of additional new budget authority, equivalent to the amount [rescinded] permanently cancelled is hereby appropriated, to remain available until expended, for the purposes set forth under this heading, in addition to amounts otherwise available. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 086-0319-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contract Renewals .....		150	
0002 Contract Administrators .....		48	60
0900 Total new obligations (object class 41.0) .....		198	60
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	157	211	30
1021 Recoveries of prior year unpaid obligations .....	54	30	33
1029 Other balances withdrawn to Treasury .....		-13	-3
1050 Unobligated balance (total) .....	211	228	60
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		71	30
1131 Unobligated balance of appropriations permanently reduced (HCF funds) .....		-71	-30
1930 Total budgetary resources available .....	211	228	60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	211	30	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,140	826	652
3010 Obligations incurred, unexpired accounts .....		198	60
3020 Outlays (gross) .....	-260	-342	-222
3040 Recoveries of prior year unpaid obligations, unexpired .....	-54	-30	-33
3050 Unpaid obligations, end of year .....	826	652	457
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,140	826	652
3200 Obligated balance, end of year .....	826	652	457
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	260	342	222
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	260	342	222

Until 2005, the Housing Certificate Fund provided funding to both the project-based and tenant-based components of the Section 8 program. Project-Based Rental Assistance and Tenant-Based Rental Assistance are now funded in separate accounts. The Housing Certificate Fund retains and recovers balances from previous years' appropriations, and uses those balances to support contract renewals, amendments, and performance-based contract administrators.

PUBLIC HOUSING CAPITAL FUND

For the Public Housing Capital Fund Program to carry out capital and management activities for public housing agencies, as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the "Act") [ \$1,900,000,000 ] \$1,865,000,000, to remain available until September 30, [2019] 2020: *Provided*, That notwithstanding any other provision of law or regulation, during fiscal year [2016] 2017, the Secretary of Housing and Urban Development may not delegate to any Department official other than the Deputy Secretary and the Assistant Secretary for Public and Indian Housing any authority under paragraph (2) of section 9(j) regarding the extension of the time periods under such section: *Provided further*, That for purposes of such section 9(j), the term "obligate" means, with respect to amounts, that the amounts are subject to a binding agreement that will result in outlays, immediately or in the future: *Provided further*, That up to [ \$3,000,000 ]

\$10,000,000 shall be to support ongoing Public Housing Financial and Physical Assessment activities: *Provided further*, That up to \$1,000,000 shall be to support the costs of administrative and judicial receiverships: *Provided further*, That of the total amount provided under this heading, not to exceed [ \$21,500,000 ] \$20,000,000 shall be available for the Secretary to make grants, notwithstanding section 204 of this Act, to public housing agencies for emergency capital needs [including safety and security measures necessary to address crime and drug-related activity as well as needs] resulting from unforeseen or unpreventable emergencies and natural disasters excluding Presidentially declared emergencies and natural disasters under the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5121 et seq.) occurring in fiscal year [2016: *Provided further*, That of the amount made available under the previous proviso, not less than \$5,000,000 shall be for safety and security measures: *Provided further*, That of the total amount provided under this heading \$35,000,000 shall be for supportive services, service coordinator and congregate services as authorized by section 34 of the Act (42 U.S.C. 1437z-6) and the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4101 et seq.)] 2017: *Provided further*, That of the total amount made available under this heading, [ \$15,000,000 ] \$35,000,000 shall be for a Jobs-Plus initiative modeled after the Jobs-Plus demonstration: *Provided further*, That the funding provided under the previous proviso shall provide competitive grants to partnerships between public housing authorities, local workforce investment boards established under section 117 of the Workforce Investment Act of 1998, and other agencies and organizations that provide support to help public housing residents obtain employment and increase earnings: *Provided further*, That applicants must demonstrate the ability to provide services to residents, partner with workforce investment boards, and leverage service dollars: *Provided further*, That the Secretary may allow public housing agencies to request exemptions from rent and income limitation requirements under sections 3 and 6 of the United States Housing Act of 1937 as necessary to implement the Jobs-Plus program, on such terms and conditions as the Secretary may approve upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective implementation of the Jobs-Plus initiative as a voluntary program for residents: *Provided further*, That the Secretary shall publish by notice in the Federal Register any waivers or alternative requirements pursuant to the preceding proviso no later than 10 days before the effective date of such notice: [ *Provided further*, That for funds provided under this heading, the limitation in section 9(g)(1) of the Act shall be 25 percent: *Provided further*, That the Secretary may waive the limitation in the previous proviso to allow public housing agencies to fund activities authorized under section 9(e)(1)(C) of the Act: *Provided further*, That the Secretary shall notify public housing agencies requesting waivers under the previous proviso if the request is approved or denied within 14 days of submitting the request: ] *Provided further*, That of the amount provided for the Jobs-Plus initiative, the Secretary may set aside up to \$5,000,000 for competitive grants to Indian tribes and tribally designated housing entities, as defined in section 4(13) of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA), to provide support to help residents of housing assisted under NAHASDA obtain employment and increase earnings: *Provided further*, That such assistance shall be modeled after the Jobs-Plus initiative, with necessary and appropriate adjustments made by the Secretary for NAHASDA grant recipients and families living on or near a reservation or other Indian areas: *Provided further*, That the Secretary may waive, or specify alternative requirements for, any provision of any statute that the Secretary administers in connection with the use of funds made available under this heading, upon a finding by the Secretary that any such waivers or alternate requirements are necessary for the effective use of grants under the previous proviso and after publication in the Federal Register not later than 10 days before the effective date of such waiver or alternative requirement: *Provided further*, That of the total amount made available under this heading, \$5,000,000 shall be for the ConnectHome broadband initiative: *Provided further*, That the funding provided under the previous proviso shall be for competitive grants that increase broadband access and adoption, such as grants to public housing agencies to hire coordinators to link residents with broadband and training services: *Provided further*, That from the funds made available under this heading, the Secretary shall provide bonus awards in fiscal year [2016] 2017 to public housing agencies that are designated high performers: ] *Provided further*, That the Department shall notify public housing agencies of their formula allocation within 60 days of enactment of this Act]. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 086-0304-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Capital Grants (Modernization) .....	1,776	1,825	1,794
0003 Emergency/Disaster Reserve .....	12	16	20
0006 Resident Opportunities and Supportive Services .....	45	35	

0007	Administrative Receivership .....	1	1	1
0008	Financial and Physical Assessment Support .....	15	3	10
0010	Jobs-Plus Pilot .....	15	15	35
0011	Safety and Security .....	6	5	.....
0012	ConnectHome .....	.....	.....	5
0900	Total new obligations (object class 41.0) .....	1,870	1,900	1,865
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	101	97	78
1021	Recoveries of prior year unpaid obligations .....	7	.....	.....
1050	Unobligated balance (total) .....	108	97	78
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,875	1,900	1,865
1120	Appropriations transferred to other accts [086-0303] .....	-8	-9	-32
1120	Appropriations transferred to other accts [086-0302] .....	-8	-10	-34
1120	Appropriations transferred to other accts [086-0108] .....	.....	.....	-9
1160	Appropriation, discretionary (total) .....	1,859	1,881	1,790
1930	Total budgetary resources available .....	1,967	1,978	1,868
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	97	78	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,958	3,888	4,536
3010	Obligations incurred, unexpired accounts .....	1,870	1,900	1,865
3020	Outlays (gross) .....	-1,928	-1,252	-1,941
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050	Unpaid obligations, end of year .....	3,888	4,536	4,460
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,958	3,888	4,536
3200	Obligated balance, end of year .....	3,888	4,536	4,460
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,859	1,881	1,790
Outlays, gross:				
4010	Outlays from new discretionary authority .....	122	48	46
4011	Outlays from discretionary balances .....	1,805	1,204	1,895
4020	Outlays, gross (total) .....	1,927	1,252	1,941
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	1	.....	.....
4180	Budget authority, net (total) .....	1,859	1,881	1,790
4190	Outlays, net (total) .....	1,928	1,252	1,941

The Budget proposes \$1.865 billion for the Public Housing Capital Fund, a formula program designed to address the capital and management improvement needs of Public Housing properties. This program preserves and enhances a valuable affordable housing resource that serves approximately 1.1 million low-income families. Of the amount requested, approximately \$1.8 billion will fund capital grants to Public Housing Authorities (PHAs). The balance includes \$35 million for Jobs-Plus, an evidence-based program for increasing the employment and earnings of Public Housing residents, of which up to \$5 million may be used to implement a demonstration of the Jobs-Plus model in Indian country; \$5 million for ConnectHome, a project aimed at narrowing the digital divide for students and families in public housing; up to \$20 million for emergency capital needs resulting from non-Presidentially declared emergencies and natural disasters; up to \$10 million for financial and physical assessments of Public Housing and other HUD-assisted properties; and up to \$1 million for administrative and judicial receiverships.

The Budget also includes a legislative proposal to allow limited capital funds to be used for operating purposes, and operating funds for capital improvements.

PUBLIC HOUSING OPERATING FUND

For [2016] 2017 payments to public housing agencies for the operation and management of public housing, as authorized by section 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(e)), [ \$4,500,000,000 ] \$4,569,000,000, to

remain available until September 30, [2017] 2018. (Department of Housing and Urban Development Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 086-0163-0-1-604		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Operating Subsidy .....	4,398	4,442	4,334
0900	Total new obligations (object class 41.0) .....	4,398	4,442	4,334
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4,440	4,500	4,569
1120	Appropriations transferred to other accts [086-0302] .....	-23	-27	-95
1120	Appropriations transferred to other accts [086-0303] .....	-17	-34	-117
1120	Appropriations transferred to other accts [086-0108] .....	.....	.....	-23
1160	Appropriation, discretionary (total) .....	4,400	4,439	4,334
1930	Total budgetary resources available .....	4,403	4,442	4,334
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2	.....	.....
1941	Unexpired unobligated balance, end of year .....	3	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,146	1,125	1,202
3010	Obligations incurred, unexpired accounts .....	4,398	4,442	4,334
3020	Outlays (gross) .....	-4,414	-4,365	-4,363
3041	Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050	Unpaid obligations, end of year .....	1,125	1,202	1,173
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,146	1,125	1,202
3200	Obligated balance, end of year .....	1,125	1,202	1,173
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4,400	4,439	4,334
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,278	3,240	3,164
4011	Outlays from discretionary balances .....	1,136	1,125	1,199
4020	Outlays, gross (total) .....	4,414	4,365	4,363
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-10	.....	.....
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	10	.....	.....
4070	Budget authority, net (discretionary) .....	4,400	4,439	4,334
4080	Outlays, net (discretionary) .....	4,404	4,365	4,363
4180	Budget authority, net (total) .....	4,400	4,439	4,334
4190	Outlays, net (total) .....	4,404	4,365	4,363

The Budget requests \$4.569 billion for the Public Housing Operating Fund, which provides subsidies to Public Housing Authorities (PHAs) to assist in funding the operating expenses of Public Housing units in accordance with Section 9(e) of the United States Housing Act of 1937. The Budget proposes cross-cutting legislative reforms to HUD's core rental assistance programs, namely increasing the threshold used to determine deductions for unreimbursed medical expenses from 3 to 10 percent of tenant income. In addition, the Budget includes two proposals specific to Public Housing: 1) additional flexibility for PHAs to use their operating funds for Capital Fund activities, and vice versa; and 2) a utilities conservation pilot to encourage PHAs to undertake energy and water conservation measures and reduce Federal costs.

DRUG ELIMINATION GRANTS FOR LOW-INCOME HOUSING

Program and Financing (in millions of dollars)

Identification code 086-0197-0-1-604		2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	.....

DRUG ELIMINATION GRANTS FOR LOW-INCOME HOUSING—Continued  
Program and Financing—Continued

Identification code 086-0197-0-1-604	2015 actual	2016 est.	2017 est.
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-1	
1050 Unobligated balance (total) .....	1		
1930 Total budgetary resources available .....	1		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

No new appropriations have been provided for the Public Housing Drug Elimination Grants program since 2001, and all remaining funds were rescinded in 2015.

CHOICE NEIGHBORHOODS INITIATIVE

For competitive grants [under the Choice Neighborhoods Initiative (subject to section 24 of the United States Housing Act of 1937 (42 U.S.C. 1437v), unless otherwise specified under this heading),] for transformation, rehabilitation, and replacement housing needs of both public and HUD-assisted housing and to transform neighborhoods of poverty into functioning, sustainable mixed income neighborhoods with appropriate services, schools, public assets, transportation and access to jobs, [ \$125,000,000 ] \$200,000,000, to remain available until September 30, [ 2018 ] 2019: *Provided*, That grant funds may be used for resident and community services, community development, and affordable housing needs in the community, and for conversion of vacant or foreclosed properties to affordable housing: *Provided further*, That the use of funds made available under this heading shall not be deemed to be public housing notwithstanding section 3(b)(1) of such Act: *Provided further*, That grantees shall commit to an additional period of affordability determined by the Secretary of not fewer than 20 years, *except that a grantee may request a shorter affordability period for homeownership units that are constructed, rehabilitated, or acquired using grant funds, under such terms and conditions prescribed by the Secretary: Provided further, That the Secretary may approve such shorter affordability periods for Choice Neighborhoods Initiative grants funded with amounts made available in prior fiscal years: Provided further*, That grantees shall undertake comprehensive local planning with input from residents and the community, and that grantees shall provide a match in State, local, other Federal or private funds: *Provided further*, That grantees may include local governments, tribal entities, public housing authorities, and nonprofits: *Provided further*, That for-profit developers may apply jointly with a public entity: *Provided further*, That for purposes of environmental review, a grantee shall be treated as a public housing agency under section 26 of the United States Housing Act of 1937 (42 U.S.C. 1437x), and grants under this heading shall be subject to the regulations issued by the Secretary to implement such section: *Provided further*, That of the amount provided, not less than \$75,000,000 shall be awarded to public housing agencies: *Provided further*, That such grantees shall create partnerships with other local organizations including assisted housing owners, service agencies, and resident organizations: *Provided further*, That the Secretary shall consult with the Secretaries of Education, Labor, Transportation, Health and Human Services, Agriculture, and Commerce, the Attorney General, and the Administrator of the Environmental Protection Agency to coordinate and leverage other appropriate Federal resources: *Provided further*, That no more than \$5,000,000 of funds made available under this heading may be provided to assist communities in developing comprehensive strategies for implementing this program or implementing other revitalization efforts in conjunction with community notice and input: *Provided further*, That the Secretary shall develop and publish guidelines for the use of such competitive funds, including but not limited to eligible activities, program requirements, and performance metrics: *Provided further*, That unobligated balances, including recaptures, remaining from funds appropriated under the heading "Revitalization of Severely Distressed Public Housing (HOPE VI)" in fiscal year 2011 and prior fiscal years may be used for purposes under this heading, notwithstanding the purposes for which such amounts were appropriated: *Provided further, That section 24(m)(3) of the United States Housing Act of 1937 (42 U.S.C. 1437v(m)(3)) is amended by striking "shall" and inserting "may". (Department of Housing and Urban Development Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 086-0349-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Choice Neighborhoods Grants .....	148	138	199
0900 Total new obligations (object class 41.0) .....	148	138	199
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	81	13	
1050 Unobligated balance (total) .....	81	13	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	80	125	200
1120 Appropriations transferred to other accts [086-0108] .....			-1
1160 Appropriation, discretionary (total) .....	80	125	199
1930 Total budgetary resources available .....	161	138	199
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	231	351	464
3010 Obligations incurred, unexpired accounts .....	148	138	199
3020 Outlays (gross) .....	-28	-25	-93
3050 Unpaid obligations, end of year .....	351	464	570
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	231	351	464
3200 Obligated balance, end of year .....	351	464	570
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	80	125	199
Outlays, gross:			
4011 Outlays from discretionary balances .....	28	25	93
4180 Budget authority, net (total) .....	80	125	199
4190 Outlays, net (total) .....	28	25	93

The Budget provides \$200 million for Choice Neighborhoods to continue the transformation of neighborhoods of concentrated poverty into sustainable, mixed-income neighborhoods with well-functioning services, schools, public assets, transportation, and access to jobs. The goal of the program is to transform distressed neighborhoods and improve the quality of life of current and future residents by coordinating and concentrating neighborhood investments from multiple sources. The Budget will fund approximately six implementation grants and five to ten planning grants.

Choice Neighborhoods also supports the Administration's Promise Zones initiative, which is creating partnerships between the Federal government, local communities and businesses to create jobs, increase economic security, expand educational opportunities, increase access to quality, affordable housing, and improve public safety. The Budget includes companion investments of \$128 million in the Department of Education's Promise Neighborhoods program and \$24 million in the Department of Justice's Byrne Criminal Justice Innovation Grants program, as well as tax incentives to promote investment and economic growth in the Zones.

The Budget includes proposals to 1) make the allocation of Choice Neighborhoods funding for the HOPE VI Main Street Housing Grants program an option instead of a requirement, and 2) allow flexibility to reduce the term of the 20-year affordability requirement for homeownership units. HUD will consider homeownership affordability requirements across other HUD programs in determining minimum affordability periods for Choice grantees.

REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING (HOPE VI)

Program and Financing (in millions of dollars)			
Identification code 086-0218-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 HOPE VI/Choice Neighborhoods Grants .....	5		
0900 Total new obligations (object class 41.0) .....	5		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5		
1930 Total budgetary resources available .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	286	177	88
3010 Obligations incurred, unexpired accounts .....	5		
3020 Outlays (gross) .....	-113	-89	-88
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	177	88	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	286	177	88
3200 Obligated balance, end of year .....	177	88	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	113	89	88
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	113	89	88

The HOPE VI program, in coordination with funding from the Public Housing Capital Fund, has accomplished its goal of contributing to the demolition of approximately 100,000 severely distressed Public Housing units. The Budget proposes no additional funds for this program. Instead, the Budget builds on the success of HOPE VI with the Choice Neighborhoods program, which makes a broad range of transformative investments in high-poverty neighborhoods where Public Housing and other HUD-assisted housing is located.

FAMILY SELF-SUFFICIENCY

For the Family Self-Sufficiency program to support family self-sufficiency coordinators under section 23 of the United States Housing Act of 1937, to promote the development of local strategies to coordinate the use of assistance under sections 8(o) and 9 of such Act with public and private resources, and enable eligible families to achieve economic independence and self-sufficiency, \$75,000,000, to remain available until September 30, [2017] 2018: *Provided*, That the Secretary may, by Federal Register notice, waive or specify alternative requirements under sections b(3), b(4), b(5), or c(1) of section 23 of such Act in order to facilitate the operation of a unified self-sufficiency program for individuals receiving assistance under different provisions of the Act, as determined by the Secretary: *Provided further*, That owners of a privately owned multifamily property with a section 8 contract may voluntarily make a Family Self-Sufficiency program available to the assisted tenants of such property in accordance with procedures established by the Secretary: *Provided further*, That such procedures established pursuant to the previous proviso shall permit participating tenants to accrue escrow funds in accordance with section 23(d)(2) and shall allow owners to use funding from residual receipt accounts to hire coordinators for their own Family Self-Sufficiency program. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)			
Identification code 086-0350-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Family Self-Sufficiency .....		150	75
0900 Total new obligations (object class 41.0) .....		150	75
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		75	

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	75	75	75
1930 Total budgetary resources available .....	75	150	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	75		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	32	150
3010 Obligations incurred, unexpired accounts .....		150	75
3020 Outlays (gross) .....	-43	-32	-45
3050 Unpaid obligations, end of year .....	32	150	180
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	75	32	150
3200 Obligated balance, end of year .....	32	150	180
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	75	75	75
Outlays, gross:			
4011 Outlays from discretionary balances .....	43	32	45
4180 Budget authority, net (total) .....	75	75	75
4190 Outlays, net (total) .....	43	32	45

The Budget requests \$75 million for the Family Self-Sufficiency (FSS) Program to help Housing Choice Voucher, Public Housing, and Project-Based Rental Assistance (PBRA) residents achieve self-sufficiency and economic independence. FSS provides service coordination through community partnerships that link assisted residents with employment assistance, job training, child care, transportation, financial literacy, and other supportive services. Residents participating in FSS are provided an interest bearing escrow account; any rent increase resulting from increased earned income during their participation in the program is credited to the escrow account.

The Budget supports FSS through competitive funding for public housing agencies and authority for PBRA owners to use funds from their residual receipt accounts or other sources to hire service coordinators.

NATIVE AMERICAN HOUSING BLOCK GRANTS

For the Native American Housing Block Grants program, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.), [ \$650,000,000 ] \$700,000,000, to remain available until September 30, [2020] 2021: *Provided*, That, notwithstanding the Native American Housing Assistance and Self-Determination Act of 1996, to determine the amount of the allocation under title I of such Act for each Indian tribe, the Secretary shall apply the formula under section 302 of such Act with the need component based on single-race census data and with the need component based on multi-race census data, and the amount of the allocation for each Indian tribe shall be the greater of the two resulting allocation amounts: [ *Provided further*, That of the amounts made available under this heading, \$3,500,000 shall be contracted for assistance for national or regional organizations representing Native American housing interests for providing training and technical assistance to Indian housing authorities and tribally designated housing entities as authorized under NAHASDA: *Provided further*, That of the funds made available under the previous proviso, not less than \$2,000,000 shall be made available for a national organization as authorized under section 703 of NAHASDA (25 U.S.C. 4212): *Provided further*, That of the amounts made available under this heading, \$2,000,000 shall be to support the inspection of Indian housing units, contract expertise, training, and technical assistance in the training, oversight, and management of such Indian housing and tenant-based assistance: ] *Provided further*, That of the amount provided under this heading, \$2,000,000 shall be made available for the cost of guaranteed notes and other obligations, as authorized by title VI of NAHASDA: *Provided further*, That such costs, including the costs of modifying such notes and other obligations, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, not to exceed [ \$17,452,007: *Provided further*, That the Department will notify grantees of their formula allocation within 60 days of the date of enactment of this Act: *Provided further*, notwithstanding section 302(d) of NAHASDA, if on January 1, 2016, a recipient's total amount of undisbursed block grants in the Department's line of credit control system is greater than three times the formula allocation it would otherwise receive under this heading, the Secretary shall adjust that recipient's formula allocation

NATIVE AMERICAN HOUSING BLOCK GRANTS—Continued

tion down by the difference between its total amount of undisbursed block grants in the Department's line of credit control system on January 1, 2016, and three times the formula allocation it would otherwise receive: *Provided further*, That grant amounts not allocated to a recipient pursuant to the previous proviso shall be allocated under the need component of the formula proportionately among all other Indian tribes not subject to an adjustment: *Provided further*, That the two previous provisos shall not apply to any Indian tribe that would otherwise receive a formula allocation of less than \$8,000,000: *Provided further*, That to take effect, the three previous provisos do not require issuance or amendment of any regulation, and shall not be construed to confer hearing rights under any section of NAHASDA or its implementing regulations] \$17,857,142. (Department of Housing and Urban Development Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 086-0313-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Indian Housing Block Grants .....	651	642	698
0011 Technical Assistance .....		2	
0015 National and Regional Organizations .....		4	
0091 Direct program activities, subtotal .....	651	648	698
Credit program obligations:			
0702 Loan guarantee subsidy .....	2	3	3
0707 Reestimates of loan guarantee subsidy .....		4	
0791 Direct program activities, subtotal .....	2	7	3
0900 Total new obligations (object class 41.0) .....	653	655	701
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	39	32
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		39	
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	42	39	32
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	650	650	700
1120 Appropriations transferred to other acct [086-0108] .....			-4
1131 Unobligated balance of appropriations permanently reduced .....		-6	
1160 Appropriation, discretionary (total) .....	650	644	696
Appropriations, mandatory:			
1200 Appropriation .....		4	
1900 Budget authority (total) .....	650	648	696
1930 Total budgetary resources available .....	692	687	728
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	39	32	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	915	826	757
3010 Obligations incurred, unexpired accounts .....	653	655	701
3020 Outlays (gross) .....	-729	-724	-728
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3050 Unpaid obligations, end of year .....	826	757	730
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	915	826	757
3200 Obligated balance, end of year .....	826	757	730
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	650	644	696
Outlays, gross:			
4010 Outlays from new discretionary authority .....	260	190	205
4011 Outlays from discretionary balances .....	469	530	523
4020 Outlays, gross (total) .....	729	720	728
Mandatory:			
4090 Budget authority, gross .....		4	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		4	
4180 Budget authority, net (total) .....	650	648	696
4190 Outlays, net (total) .....	729	724	728

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0313-0-1-604	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Title VI Indian Federal Guarantees Program .....	14	27	27
Guaranteed loan subsidy (in percent):			
232001 Title VI Indian Federal Guarantees Program .....	11.21	11.46	11.20
232999 Weighted average subsidy rate .....	11.21	11.46	11.20
Guaranteed loan subsidy budget authority:			
233001 Title VI Indian Federal Guarantees Program .....	2	3	3
Guaranteed loan subsidy outlays:			
234001 Title VI Indian Federal Guarantees Program .....	2	3	3
Guaranteed loan reestimates:			
235001 Title VI Indian Federal Guarantees Program .....		-1	

The Budget proposes \$700 million for the Native American Housing Block Grant (NAHBG) program. This program allocates funds on a formula basis to approximately 360 recipients representing more than 550 Indian tribes to help them address housing and other needs within their communities.

In real terms, funding for NAHBG has declined approximately 25 percent since the program's first year of funding in 1998. At the same time, American Indian and Alaska Natives (AIANs) continue to face severe housing challenges both in absolute terms and relative to the general population. For example, 8.1 percent of AIAN households are overcrowded compared to 3.3 percent of all households (based on 2010-2014 Census data). The requested increase for this program would partially restore the eroding real value of grant funds and accelerate tribal efforts to alleviate overcrowding and substandard housing conditions in Indian Country.

The Budget also proposes three changes to the Native American Housing and Self-Determination Act (NAHASDA) to support program management and oversight: 1) clarifying that carryover authority does not preclude HUD from recapturing funds when grantees fail to carry out eligible activities in a timely manner; 2) strengthening HUD authority to temporarily suspend recipients' access to grant funds when funds are being spent on ineligible activities; and 3) clarifying HUD authority to recapture funds distributed based on inaccurate formula data.

Within the total amount requested, \$2 million is for the Title VI loan guarantee program. The Title VI program provides a Federal guarantee of notes or other obligations issued by Indian tribes or tribally-designated housing entities for the purpose of financing affordable housing activities. The amount requested is sufficient to guarantee \$17.86 million in loans.

TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4244-0-3-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....		3	
0743 Interest on downward reestimates .....		2	
0900 Total new obligations .....		5	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	15	17
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	7	3
1900 Budget authority (total) .....	2	7	3
1930 Total budgetary resources available .....	15	22	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	17	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 Obligations incurred, unexpired accounts .....		5	
3020 Outlays (gross) .....		-3	-2
3050 Unpaid obligations, end of year .....		2	

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		2
3200	Obligated balance, end of year .....	2	
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	2	7 3
Financing disbursements:			
4110	Outlays, gross (total) .....	3	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-2	-7 -3
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	-2	-4 -1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4244-0-3-604			
	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....	17	18 18
2121	Limitation available from carry-forward .....	51	54 45
2143	Uncommitted limitation carried forward .....	-54	-45 -36
2150	Total guaranteed loan commitments .....	14	27 27
2199	Guaranteed amount of guaranteed loan commitments .....	14	27 27
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	99	87 105
2231	Disbursements of new guaranteed loans .....		23 23
2251	Repayments and prepayments .....	-12	-5 -5
2263	Adjustments: Terminations for default that result in claim payments .....		
2290	Outstanding, end of year .....	87	105 123
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	87	105 123

**Balance Sheet** (in millions of dollars)

Identification code 086-4244-0-3-604		
	2014 actual	2015 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury .....	13 11
1999	Total assets .....	13 11
LIABILITIES:		
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	13 11
4999	Total liabilities and net position .....	13 11

**NATIVE HAWAIIAN HOUSING BLOCK GRANT**

For the Native Hawaiian Housing Block Grant program, as authorized under title VIII of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4111 et seq.), \$500,000, to remain available until September 30, 2021.

**Program and Financing** (in millions of dollars)

Identification code 086-0235-0-1-604			
	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Native Hawaiian Housing Block Grant .....	9	1
0900	Total new obligations (object class 41.0) .....	9	1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	9	1
1930	Total budgetary resources available .....	9	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	42	33 30
3010	Obligations incurred, unexpired accounts .....	9	1
3020	Outlays (gross) .....	-18	-3 -6
3050	Unpaid obligations, end of year .....	33	30 25
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	42	33 30

3200	Obligated balance, end of year .....	33	30 25
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	9	1
Outlays, gross:			
4011	Outlays from discretionary balances .....	18	3 6
4180	Budget authority, net (total) .....	9	1
4190	Outlays, net (total) .....	18	3 6

The Budget provides \$500 thousand for the Native Hawaiian Housing Block Grant. This program provides funds to the Department of Hawaiian Home Lands to develop, maintain and operate affordable housing for eligible low-income Native Hawaiian families.

**INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT**

For the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a), **[\$7,500,000]** \$5,500,000, to remain available until expended: *Provided*, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, up to **[\$1,190,476,190]** \$1,341,463,415, to remain available until expended: *Provided further*, That up to \$750,000 of this amount may be for administrative contract expenses including management processes and systems to carry out the loan guarantee program. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0223-0-1-371			
	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702	Loan guarantee subsidy .....	9	7 5
0707	Reestimates of loan guarantee subsidy .....	10	30
0708	Interest on reestimates of loan guarantee subsidy .....		3
0709	Administrative expenses .....	1	2 2
0900	Total new obligations (object class 41.0) .....	20	42 7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	6	4 3
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	6	4
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	7	8 6
Appropriations, mandatory:			
1200	Appropriation .....	11	33
1900	Budget authority (total) .....	18	41 6
1930	Total budgetary resources available .....	24	45 9
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4	3 2

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	4 2
3010	Obligations incurred, unexpired accounts .....	20	42 7
3020	Outlays (gross) .....	-18	-44 -6
3050	Unpaid obligations, end of year .....	4	2 3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	4 2
3200	Obligated balance, end of year .....	4	2 3

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....	7	8 6
Outlays, gross:			
4010	Outlays from new discretionary authority .....		7 5
4011	Outlays from discretionary balances .....	7	4 1
4020	Outlays, gross (total) .....	7	11 6
Mandatory:			
4090	Budget authority, gross .....	11	33
Outlays, gross:			
4100	Outlays from new mandatory authority .....	11	33
4180	Budget authority, net (total) .....	18	41 6
4190	Outlays, net (total) .....	18	44 6

INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0223-0-1-371	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian Housing Loan Guarantee .....	772	1,151	1,200
Guaranteed loan subsidy (in percent):			
232001 Indian Housing Loan Guarantee .....	1.16	0.63	0.41
232999 Weighted average subsidy rate .....	1.16	0.63	0.41
Guaranteed loan subsidy budget authority:			
233001 Indian Housing Loan Guarantee .....	9	7	5
Guaranteed loan subsidy outlays:			
234001 Indian Housing Loan Guarantee .....	6	7	5
Guaranteed loan reestimates:			
235001 Indian Housing Loan Guarantee .....	-10	32	.....
Administrative expense data:			
3510 Budget authority .....	.....	1	1
3590 Outlays from new authority .....	.....	1	1

The Indian Housing Loan Guarantee program (also known as the Section 184 program) provides access to private mortgage financing for Indian families, Indian tribes, and their tribally-designated housing entities who otherwise could not acquire such financing because of the unique legal status of Indian trust land. The Budget provides \$5.5 million to support \$1.3 billion in loan guarantees. Of the requested amount, up to \$750 thousand may be used for administrative contract expenses.

The 2013 Appropriations Act gave HUD authority to collect an annual fee of up to 1 percent (100 basis points), and this fee is currently set at 15 basis points. To ensure that budgetary resources are sufficient to meet program demand, HUD will raise the annual fee to 25 basis points in 2017—a modest increase of 10 basis points from the current level. For the typical homebuyer, this change will translate into an \$11 increase in their monthly mortgage payment. HUD will consult with tribes prior to implementation of this policy.

The Budget also proposes statutory changes to reduce program losses and protect the Section 184 Fund, including HUD authority to: 1) seek indemnification for any loss on a mortgage that was not originated in accordance with HUD requirements; and 2) terminate lenders from the direct guarantee process if mortgages originated by a lender present an unacceptable risk to the Section 184 Fund based on comparisons with other lenders or evidence of fraud. In addition, the Budget updates several statutory program definitions to align them with the Native American Housing Assistance and Self-Determination Act.

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4104-0-3-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	28	22	22
0713 Payment of interest to Treasury .....	6	2	2
0715 Property Preservation and Maintenance Costs .....	1	.....	.....
0742 Downward reestimate paid to receipt account .....	16	.....	.....
0743 Interest on downward reestimates .....	5	1	.....
0900 Total new obligations .....	56	25	24
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	272	277	323
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	59	71	36
1801 Change in uncollected payments, Federal sources .....	2	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	61	71	36
1900 Budget authority (total) .....	61	71	36
1930 Total budgetary resources available .....	333	348	359
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	277	323	335

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	25
3010 Obligations incurred, unexpired accounts .....	56	25	24
3020 Outlays (gross) .....	-57	.....	.....
3050 Unpaid obligations, end of year .....	.....	25	49
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-4	21
3200 Obligated balance, end of year .....	-4	21	45
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	61	71	36
Financing disbursements:			
4110 Outlays, gross (total) .....	57	.....	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-18	-40	-5
4122 Interest on uninvested funds .....	-10	-12	-12
4123 Non-Federal sources .....	-31	-19	-19
4130 Offsets against gross budget authority and outlays (total) .....	-59	-71	-36
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4170 Outlays, net (mandatory) .....	-2	-71	-36
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-2	-71	-36

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4104-0-3-604	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	744	1,190	1,341
2121 Limitation available from carry-forward .....	233	205	244
2143 Uncommitted limitation carried forward .....	-205	-244	-385
2150 Total guaranteed loan commitments .....	772	1,151	1,200
2199 Guaranteed amount of guaranteed loan commitments .....	772	1,151	1,200
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4,715	5,672	6,796
2231 Disbursements of new guaranteed loans .....	989	1,151	1,200
2251 Repayments and prepayments .....	-4	-5	-6
2263 Adjustments: Terminations for default that result in claim payments .....	-28	-22	-22
2290 Outstanding, end of year .....	5,672	6,796	7,968
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	5,672	6,796	7,968

Balance Sheet (in millions of dollars)

Identification code 086-4104-0-3-604	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	67	261
Investments in US securities:		
1106 Receivables, net .....	.....	1
1504 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property .....	19	31
1999 Total assets .....	86	293
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt Payable to Treasury .....	20	116
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	48	159
2207 Unearned revenues and advances .....	18	18
2999 Total liabilities .....	86	293
4999 Total liabilities and net position .....	86	293

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-0233-0-1-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0233-0-1-371	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Native Hawaiian Housing Loan Guarantees .....	11	25	23
Guaranteed loan subsidy (in percent):			
232001 Native Hawaiian Housing Loan Guarantees .....	0.62	0.51	-28
232999 Weighted average subsidy rate .....	0.62	0.51	-28
Guaranteed loan reestimates:			
235001 Native Hawaiian Housing Loan Guarantees .....	-1		

The Native Hawaiian Housing Loan Guarantee program (also known as the Section 184A program), provides access to private mortgage financing to Native Hawaiian families who are eligible to reside on the Hawaiian home lands and who otherwise could not acquire such financing because of the unique legal status of the Hawaiian home lands. The Budget does not request any new credit subsidy budget authority for this program.

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4351-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		1	1
0713 Payment of interest to Treasury .....	1		
0742 Downward reestimate paid to receipt account .....	1		
0900 Total new obligations .....	2	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	1	1
1930 Total budgetary resources available .....	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	2	1	1
3020 Outlays (gross) .....	-2		
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	1	1
Financing disbursements:			
4110 Outlays, gross (total) .....	2		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....		-1	-1
4123 Non-Federal sources .....	-2		
4130 Offsets against gross budget authority and outlays (total) ....	-2	-1	-1
4170 Outlays, net (mandatory) .....		-1	-1

4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		-1	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4351-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	16		
2121 Limitation available from carry-forward .....	922	927	902
2143 Uncommitted limitation carried forward .....	-927	-902	-879
2150 Total guaranteed loan commitments .....	11	25	23
2199 Guaranteed amount of guaranteed loan commitments .....	11	25	23
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	104	114	138
2231 Disbursements of new guaranteed loans .....	10	25	23
2263 Adjustments: Terminations for default that result in claim payments .....		-1	-1
2290 Outstanding, end of year .....	114	138	160
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	114	138	160

Balance Sheet (in millions of dollars)

Identification code 086-4351-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	6
1504 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property .....	1	
1999 Total assets .....	4	6
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt payable to Treasury .....	3	4
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1	2
2999 Total liabilities .....	4	6
4999 Total liabilities and net position .....	4	6

COMMUNITY PLANNING AND DEVELOPMENT

Federal Funds

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

For carrying out the Housing Opportunities for Persons with AIDS program, as authorized by the AIDS Housing Opportunity Act (42 U.S.C. 12901 et seq.), \$335,000,000, to remain available until September 30, [2017] 2018, except that amounts allocated pursuant to section 854(c)(3) of such Act shall remain available until September 30, [2018] 2019: *Provided*, That the Secretary shall renew all expiring contracts for permanent supportive housing that initially were funded under section 854(c)(3) of such Act from funds made available under this heading in fiscal year 2010 and prior fiscal years that meet all program requirements before awarding funds for new contracts under such section [ ]: *Provided further*, That the Department shall notify grantees of their formula allocation within 60 days of enactment of this Act [ ]. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 086-0308-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 HOPWA Formula Grants .....	261	316	302
0002 HOPWA Competitive Grants .....	29	40	33
0900 Total new obligations (object class 41.0) .....	290	356	335
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	65	106	85
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	330	335	335
1120 Appropriations transferred to other accts [086-0108] .....			-2
1160 Appropriation, discretionary (total) .....	330	335	333

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS—Continued  
Program and Financing—Continued

Identification code 086-0308-0-1-604	2015 actual	2016 est.	2017 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	331	335	333
1930 Total budgetary resources available .....	396	441	418
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	106	85	83
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	524	512	540
3010 Obligations incurred, unexpired accounts .....	290	356	335
3020 Outlays (gross) .....	-302	-328	-315
3050 Unpaid obligations, end of year .....	512	540	560
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	524	512	540
3200 Obligated balance, end of year .....	512	540	560
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	331	335	333
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	3	3
4011 Outlays from discretionary balances .....	296	325	312
4020 Outlays, gross (total) .....	302	328	315
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4180 Budget authority, net (total) .....	330	335	333
4190 Outlays, net (total) .....	301	328	315

The 2017 Budget provides \$335 million for the Housing Opportunities for Persons with AIDS (HOPWA) program, the only Federal program dedicated to address the housing needs of low-income Americans living with HIV and AIDS. HOPWA funding provides States and localities with resources to devise long-term comprehensive strategies for planning and providing housing and supportive services to meet the housing needs of persons living with HIV and AIDS and their families. HOPWA funds have been demonstrated to help reduce the risk of homelessness, increase housing stability, and improve access to HIV care and health outcomes for program participants.

Ninety percent of HOPWA funds are distributed to States and eligible metropolitan areas according to a formula, which is based on cumulative AIDS cases. The remaining ten percent are awarded competitively to States, local governments, and private nonprofit entities for projects of national significance and long-term comprehensive strategies for projects in non-formula areas. The statutory HOPWA formula does not reflect the current nature and distribution of the epidemic. To modernize the program, the Budget is proposing an updated formula based on cases of persons living with HIV and adjusted for an area's fair market rent and poverty rates, focusing HOPWA funds on areas that have the most need. The proposal also includes several changes that will allow better targeting of HOPWA resources and more flexibility for grantees to provide the most cost-effective, timely interventions.

HUD is working in partnership with Federal agencies through the HIV Care Continuum to improve outcomes that promote greater achievements in viral suppression through the coordination and alignment of housing support with medical care for people living with HIV. Furthermore, HUD is placing greater emphasis on coordinating local planning and service delivery of HOPWA housing resources with local homeless Continuums of Care. This effort is expected to increase local collaborations in the delivery of housing and services, and reduce duplication of local systems of support.

COMMUNITY DEVELOPMENT FUND

For assistance to units of State and local government, and to other entities, for economic and community development activities, and for other purposes,

[\$3,060,000,000] \$2,880,000,000, to remain available until September 30, [2018] 2019, unless otherwise specified: *Provided*, That of the total amount provided, [\$3,000,000,000] \$2,800,000,000 is for carrying out the community development block grant program under title I of the Housing and Community Development Act of 1974, as amended ("the Act" herein) (42 U.S.C. 5301 et seq.): *Provided further*, That unless explicitly provided for under this heading, not to exceed 20 percent of any grant made with funds appropriated under this heading shall be expended for planning and management development and administration: *Provided further*, That a metropolitan city, urban county, unit of general local government, or Indian tribe, or insular area that directly or indirectly receives funds under this heading may not sell, trade, or otherwise transfer all or any portion of such funds to another such entity in exchange for any other funds, credits or non-Federal considerations, but must use such funds for activities eligible under title I of the Act: *Provided further*, That notwithstanding section 105(e)(1) of the Act, no funds provided under this heading may be provided to a for-profit entity for an economic development project under section 105(a)(17) unless such project has been evaluated and selected in accordance with guidelines required under subparagraph (e)(2): *Provided further*, That none of the funds made available under this heading may be used for grants for the Economic Development Initiative ("EDI") or Neighborhood Initiatives activities, Rural Innovation Fund, or for grants pursuant to section 107 of the Housing and Community Development Act of 1974 (42 U.S.C. 5307): *Provided further*, That the Department shall notify grantees of their formula allocation within 60 days of enactment of this Act: *Provided further*, That of the total amount provided under this heading [\$60,000,000] \$80,000,000 shall be for grants to Indian tribes notwithstanding section 106(a)(1) of such Act, of which, notwithstanding any other provision of law (including section 204 of this Act), up to \$4,000,000 may be used for emergencies that constitute imminent threats to health and safety: *Provided further*, that of the total amounts made available under the previous proviso, up to \$20,000,000 shall be for grants to Indian tribes, tribal organizations, and tribally-designated housing entities for projects that support Native American youth, including the rehabilitation, acquisition, or new construction of community facilities, pre-school centers, health clinics, transitional housing, and housing for primary and secondary school teachers living on or near a reservation or other Indian areas regardless of teacher income or tribal membership: *Provided further*, That any amounts made available under the previous proviso may be used for new housing construction notwithstanding any provision in the Housing and Community Development Act of 1974. (Department of Housing and Urban Development Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 086-0162-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Community Development Formula Grants .....	2,662	3,509	2,810
0002 Indian Tribes .....	2	129	80
0003 Indian Tribes Mold Remediation .....	11	1	
0004 Hurricane Sandy .....	3,244	3,620	2,730
0005 2011 and 2012 Disasters .....	80	159	91
0006 2013 Disasters .....	154	256	165
0007 National Disaster Resilience Competition .....		500	499
0008 Native Youth .....			20
0010 Other Disaster Assistance .....	51	58	44
0011 2015 Disasters .....		299	
0900 Total new obligations (object class 41.0) .....	6,204	8,531	6,439
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12,159	9,022	3,850
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	12,160	9,022	3,850
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,066	3,360	2,880
1120 Appropriations transferred to other accts [086-0108] .....			-14
1120 Appropriations transferred to other acct [086-0338] .....		-1	-2
1160 Appropriation, discretionary (total) .....	3,066	3,359	2,864
1930 Total budgetary resources available .....	15,226	12,381	6,714
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9,022	3,850	275
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,861	12,493	13,846
3010 Obligations incurred, unexpired accounts .....	6,204	8,531	6,439
3020 Outlays (gross) .....	-6,548	-7,178	-6,790
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		

3041	Recoveries of prior year unpaid obligations, expired .....	-23		
3050	Unpaid obligations, end of year .....	12,493	13,846	13,495
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12,861	12,493	13,846
3200	Obligated balance, end of year .....	12,493	13,846	13,495
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,066	3,359	2,864
Outlays, gross:				
4010	Outlays from new discretionary authority .....	91	34	29
4011	Outlays from discretionary balances .....	6,457	7,144	6,761
4020	Outlays, gross (total) .....	6,548	7,178	6,790
4180	Budget authority, net (total) .....	3,066	3,359	2,864
4190	Outlays, net (total) .....	6,548	7,178	6,790

The Budget includes \$2.8 billion for the Community Development Block Grant (CDBG) program, an additional \$80 million for the Indian CDBG program, and legislative changes related to the CDBG and CDBG Disaster Recovery (CDBG-DR) programs.

**CDBG.**—The CDBG program provides over 1,250 annual formula grants to States, local governments, and Insular Areas to benefit mainly low- to moderate-income persons, and support a wide range of community and economic development activities, such as public infrastructure improvements (which account for approximately 33 percent of all CDBG funds), housing rehabilitation and construction (approximately 25 percent of funds), job creation and retention, and public services (e.g., child care). Seventy percent of CDBG formula grants are distributed to mainly urban areas (entitlement communities), and 30 percent are distributed to States (non-entitlement communities).

HUD has developed a set of legislative proposals to ensure the program delivers value and results to the nation's communities, and more specifically to low- and moderate-income populations. These legislative changes will reduce the number of small grantees to better align program operations with the current funding level; help grantees target funding resources to areas of greatest need; enhance program accountability; synchronize critical program cycles with the consolidated plan cycle; and provide more options for regional coordination, administration, and planning. Regional coordination will allow grantees to achieve administrative savings and pool resources to make strategic investment decisions. The Department will also evaluate a series of potential regulatory updates to the CDBG program, as significant portions of the CDBG regulations have not been updated in more than 20 years.

The Budget proposes to increase the set-aside for colonias to allow more funding to be directed to these rural border communities, many of which lack adequate water and sewer systems and/or decent housing. This change would increase the maximum set-aside for the colonias from 10 percent to 15 percent, and would affect the state CDBG programs of Texas, New Mexico, Arizona, and California.

The Budget proposes to make the CDBG program part of the Upward Mobility Project, a new initiative to allow states, localities or consortia of the two to blend funding across four block grants, including the Department of Health and Human Services' (HHS) Social Services Block Grant and Community Services Block Grant, as well as HUD's HOME Investment Partnerships Program and CDBG, that share a common goal of promoting opportunity and reducing poverty. In exchange for more accountability for results, state and localities would be able to use the funds beyond the current allowable purposes of these programs to implement evidence-based or promising strategies for helping individuals succeed in the labor market and improving economic mobility, children's outcomes, and the ability of communities to expand opportunity. Participating communities would also be eligible to receive a total of \$1.5 billion over five years in new funding through HHS in addition to flexibility with currently provided resources.

In 2016 and 2017, the Department will work with grantees to promote the use of CDBG funds to address a range of Administration policy priorities. Consistent with the Administration's Build America Initiative launched in 2014, HUD will continue efforts to promote the use of CDBG funds to

support infrastructure pre-development and resilient infrastructure. HUD will also work with select grantees to promote: 1) a more integrated approach to the consolidated plan process, 2) innovative strategies to help address the lack of affordable housing units, and 3) implementation of place-based strategies.

**Indian Community Development Block Grant (ICDBG).**—The Budget includes \$80 million for the ICDBG program. This program provides grants to help develop viable American Indian and Alaska Native Communities with decent housing, a suitable living environment, and economic opportunities, primarily for low- and moderate-income persons. Within this program, up to \$20 million will be used for projects that directly support Native youth, such as community facilities, pre-school centers, and transitional housing, and to attract and retain high-quality teachers in Indian Country by improving the availability and physical condition of teacher housing. This set-aside is one of several investments supporting Generation Indigenious, an Administration initiative focused on removing barriers to success for Native youth.

**CDBG Disaster Recovery.**—Since 2000, over \$45 billion in CDBG Disaster Recovery (CDBG-DR) funding has been provided to communities impacted by major disasters, and CDBG-DR has played an increasingly significant role in long-term recovery. To ensure a more orderly and efficient recovery process, in 2016, the Administration will work with agencies across the Federal Government, as well as public stakeholders to develop a standing authorization proposal for the provision of CDBG-DR funds. This proposed authorization would incorporate lessons learned from previous disasters, provide clarity and predictability over CDBG-DR funding and program guidelines, and improve the alignment of CDBG-DR funds with other Federal disaster programs.

The Budget also proposes to consolidate administrative funds that are associated with specific CDBG-DR supplemental appropriations to support the Department's oversight of the full and growing CDBG-DR portfolio.

This account contains previously appropriated CDBG-DR funding. The majority of these balances are from the \$15.2 billion in CDBG-DR funding (post-sequestration) provided by Public Law 113–2 to support communities affected by Hurricane Sandy and other Presidentially-declared disasters that occurred in calendar years 2011, 2012, and 2013. This includes \$1 billion that was recently awarded through the National Disaster Resilience Competition (NDRC) to rebuild disaster-impacted areas, while also supporting innovative resilience projects, policy changes and activities that better prepare communities for the future impacts of extreme weather and climate change. This account also contains \$300 million in CDBG-DR funding appropriated in 2016 (Public Law 114–113) for relief from the consequences of Hurricane Joaquin, Hurricane Patricia, and other flood events in 2015.

BROWNFIELDS REDEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 086–0314–0–1–451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 BEDI Grants .....	1		
0900 Total new obligations (object class 41.0) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-3		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	15	10
3010 Obligations incurred, unexpired accounts .....	1		

BROWNFIELDS REDEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 086-0314-0-1-451	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-6	-5	-5
3050 Unpaid obligations, end of year .....	15	10	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	15	10
3200 Obligated balance, end of year .....	15	10	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-3		
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	5	5
4180 Budget authority, net (total) .....	-3		
4190 Outlays, net (total) .....	6	5	5

The 2017 Budget requests no funding for the Brownfields Economic Development Initiative (BEDI), which was a competitive grant program designed to assist cities with the redevelopment of brownfield sites for the purposes of economic development and job creation. Local governments have access to other public and private funds, including Community Development Block Grant (CDBG) funds, for similar purposes. The Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113-235) permanently rescinded all unobligated balances of BEDI funds, including carryover and recaptures.

HOME INVESTMENT PARTNERSHIPS PROGRAM

For the HOME Investment Partnerships program, as authorized under title II of the Cranston-Gonzalez National Affordable Housing Act, as amended, \$950,000,000, to remain available until September 30, [2019] 2020: *Provided*, [That notwithstanding the amount made available under this heading, the threshold reduction requirements in sections 216(10) and 217(b)(4) of such Act shall not apply to allocations of such amount: *Provided further*, [That the requirements under provisos 2 through 6 under this heading for fiscal year 2012 and such requirements applicable pursuant to the "Full-Year Continuing Appropriations Act, 2013", shall not apply to any project to which funds were committed on or after August 23, 2013, but such projects shall instead be governed by the Final Rule titled "Home Investment Partnerships Program; Improving Performance and Accountability; Updating Property Standards" which became effective on such date: *Provided further*, That [with respect to funds made available under this heading pursuant to such Act and funds provided in prior and subsequent appropriations acts that were or are used by community land trusts for the development of affordable homeownership housing pursuant to section 215(b) of such Act, such community land trusts, notwithstanding section 215(b)(3)(A) of such Act, may hold and exercise purchase options, rights of first refusal or other preemptive rights to purchase the housing to preserve affordability, including but not limited to the right to purchase the housing in lieu of foreclosure: *Provided further*, That the Department shall notify grantees of their formula allocation within 60 days of enactment of this Act] *funds provided in prior appropriations Acts for technical assistance, that were made available for Community Housing Development Organizations technical assistance, and that still remain available, may be used for HOME technical assistance notwithstanding the purposes for which such amounts were appropriated: Provided further, That of the total amount provided under this heading, \$10,000,000 shall be made available to the Self-help Homeownership and Assisted Homeownership Opportunity Program as authorized under section 11 of the Housing Opportunity Program Extension Act of 1996, as amended. (Department of Housing and Urban Development Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 086-0205-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 HOME Investment Program .....	847	1,032	942
0002 Technical Assistance .....	1		
0003 SHOP .....			10
0900 Total new obligations (object class 41.0) .....	848	1,032	952

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	180	235	153
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	186	235	153
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	900	950	950
1120 Appropriations transferred to other accts [086-0108] .....			-5
1160 Appropriation, discretionary (total) .....	900	950	945
1930 Total budgetary resources available .....	1,086	1,185	1,098
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	235	153	146

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,568	3,184	3,187
3010 Obligations incurred, unexpired accounts .....	848	1,032	952
3020 Outlays (gross) .....	-1,213	-1,029	-988
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-13		
3050 Unpaid obligations, end of year .....	3,184	3,187	3,151
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,568	3,184	3,187
3200 Obligated balance, end of year .....	3,184	3,187	3,151

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	900	950	945
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	10	9
4011 Outlays from discretionary balances .....	1,209	1,019	979
4020 Outlays, gross (total) .....	1,213	1,029	988
4180 Budget authority, net (total) .....	900	950	945
4190 Outlays, net (total) .....	1,213	1,029	988

The Budget requests \$950 million for the HOME Investment Partnerships Program (HOME), of which \$10 million is set aside for the Self-help Homeownership Opportunity Program (SHOP). The HOME program provides flexible annual formula grant assistance to States and units of local government to increase the supply of affordable housing and expand homeownership for low- to very-low income persons through a wide range of activities that build, buy, and/or rehabilitate affordable housing. Sixty percent of the formula grant funds is awarded to participating local governments and 40 percent is awarded to states. Projects funded by HOME often leverage private dollars and are used in conjunction with other funds such as the Low-Income Housing Tax Credit (LIHTC), Community Development Block Grant, and local funds. Over time, the requested HOME funding is estimated to result in the production of approximately 34,000 units of affordable housing through new construction, rehabilitation, and/or acquisition. It is also estimated that communities will use a portion of their funding to support tenant-based rental assistance for approximately 7,800 units.

The 2017 Budget proposes statutory changes that would eliminate the 24-month commitment requirement; eliminate the 15 percent Community Housing Development Organization (CHDO) set-aside; establish a single qualification threshold of \$500,000 irrespective of the appropriation amount; revise the current "grandfathering" provision so that participating jurisdictions that fall below the threshold three years out of a five-year period are ineligible for direct formula funds; and allow recaptured HOME CHDO technical assistance funds be reallocated as HOME technical assistance funds. When implemented, these changes will improve the targeting focus and effectiveness of the overall administration of the program.

In addition, the HOME program is part of the proposed Upward Mobility Project, a new initiative to allow states, localities or consortia of the two to blend funding across four block grants, including the Department of Health and Human Services' (HHS) Social Services Block Grant and Community Services Block Grant, as well as HUD's Community Development Block Grant and HOME, that share a common goal of promoting opportunity and reducing poverty. In exchange for more accountability for results, state and localities would be able to use the funds beyond the current

allowable purposes of these programs to implement evidence-based or promising strategies for helping individuals succeed in the labor market and improving economic mobility, children's outcomes, and the ability of communities to expand opportunity. Participating communities would also be eligible to receive a total of \$1.5 billion in new funding over five years through HHS, in addition to flexibility with currently provided resources.

SHOP is a competitive grant program that provides funds to increase the ability of non-profit organizations to assist low-income homebuyers willing to contribute "sweat equity" toward the construction of their homes. Communities can further leverage SHOP grants by using other sources of funding, including HOME funds, which can also be used for sweat equity projects. The Budget also proposes statutory changes that would improve the administration of the SHOP program. These include allowing HUD to develop program regulations, extending the standard grant term to 36 months, establishing a deadline for completion of SHOP units, and explicitly including planning, administrative, and management costs as eligible activities, to be capped at 20 percent.

LOCAL HOUSING POLICY GRANTS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 086-0455-4-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants			300
0900 Total new obligations (object class 41.0)			300
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			300
1930 Total budgetary resources available			300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			300
3020 Outlays (gross)			-6
3050 Unpaid obligations, end of year			294
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			294
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			300
Outlays, gross:			
4100 Outlays from new mandatory authority			6
4180 Budget authority, net (total)			300
4190 Outlays, net (total)			6

The 2017 Budget proposes a \$300 million mandatory appropriation for a new Local Housing Policy Grants program. This program would provide grants to localities and regional coalitions of localities to support new policies, programs, or regulatory initiatives that create a more elastic and diverse housing supply. The goal of this initiative is to demonstrate that concentrated and coordinated efforts across a number of housing markets can significantly expand the supply and affordability of housing, increase access to jobs, and fuel economic growth. This program would support a range of activities that reduce barriers to housing development, such as design options, process changes, and land use regulations, and funds would be used to support related investments, including infrastructure expansion and/or improvement, market evaluation, code writing assistance, and stakeholder education and outreach. The funds would also establish a learning network that would provide ongoing capacity building, facilitate shared learning opportunities, and disseminate best practices.

SELF-HELP AND ASSISTED HOMEOWNERSHIP OPPORTUNITY PROGRAM

For the Self-Help and Assisted Homeownership Opportunity Program, as authorized under section 11 of the Housing Opportunity Program Extension Act of 1996, as amended, \$50,000,000, to remain available until September 30, 2018: *Provided*, That of the total amount provided under this heading, \$10,000,000 shall be made available to the Self-Help and Assisted Homeownership Opportunity Program as authorized under section 11 of the Housing Opportunity Program Extension Act of 1996, as amended: *Provided further*, That of the total amount provided under this heading, \$35,000,000 shall be made available for the second, third, and fourth capacity building activities authorized under section 4(a) of the HUD Demonstration Act of 1993 (42 U.S.C. 9816 note), of which not less than \$5,000,000 shall be made available for rural capacity building activities: *Provided further*, That of the total amount provided under this heading, \$5,000,000 shall be made available for capacity building by national rural housing organizations with experience assessing national rural conditions and providing financing, training, technical assistance, information, and research to local nonprofits, local governments and Indian Tribes serving high need rural communities: *Provided further*, That an additional \$5,700,000, to remain available until expended, shall be for a program to rehabilitate and modify homes of disabled or low-income veterans as authorized under section 1079 of Public Law 113-291. (Department of Housing and Urban Development Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 086-0176-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Self Help Housing Opportunity Program	10	10	10
0002 Capacity Building	35	35	35
0003 Rural Capacity Building	5	5	5
0007 Veteran Home Rehab and Mod Pilot			6
0900 Total new obligations (object class 41.0)	50	50	56
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	50	50	56
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	50	56	
1930 Total budgetary resources available	100	106	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	50	56	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	112	90	88
3010 Obligations incurred, unexpired accounts	50	50	56
3020 Outlays (gross)	-71	-52	-49
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	90	88	95
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	112	90	88
3200 Obligated balance, end of year	90	88	95
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	50	56	
Outlays, gross:			
4011 Outlays from discretionary balances	71	52	49
4180 Budget authority, net (total)	50	56	
4190 Outlays, net (total)	71	52	49

The 2017 Budget requests no separate funding for the Self-Help and Assisted Homeownership Opportunity Program (SHOP) account. Instead, funding for the SHOP program is included as part of the request for the HOME Investment Partnerships Program. Funding for capacity building activities is included as part of the request for the Research and Technology (R&T) account. Within the R&T account, HUD will continue its integrated approach to technical assistance and capacity building, including activities to develop, enhance, and strengthen the technical and administrative capabilities of community development corporations to carry out community development and affordable housing activities for low- and moderate-income persons that support and address local needs and priorities.

NEIGHBORHOOD STABILIZATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 086-0344-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Disaster Assistance .....	8	8	6
0900 Total new obligations (object class 41.0) .....	8	8	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	14	6
1050 Unobligated balance (total) .....	22	14	6
1930 Total budgetary resources available .....	22	14	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	6	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	318	256	183
3010 Obligations incurred, unexpired accounts .....	8	8	6
3020 Outlays (gross) .....	-70	-81	-79
3050 Unpaid obligations, end of year .....	256	183	110
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	318	256	183
3200 Obligated balance, end of year .....	256	183	110
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	70	81	79
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	70	81	79

The Neighborhood Stabilization Program (NSP) was first authorized by the Housing and Economic Recovery Act of 2008 (HERA) and funded at \$3.92 billion. In response to the foreclosure crisis, HERA directed HUD to develop a formula to distribute the funds to State and local governments with the greatest need. Grantees were allowed to use NSP funds for a number of eligible activities, including establishing financing mechanisms; purchasing and rehabilitating abandoned or foreclosed properties; establishing land banks; demolishing blighted structures; and redeveloping vacant or demolished property.

The American Recovery and Reinvestment Act of 2009 (ARRA) made several changes to the NSP program as enacted by HERA and appropriated an additional \$2 billion in funding for the NSP program. The ARRA funding for the second round of NSP funding (NSP2) is reflected within the Community Development Fund account.

The Dodd-Frank Financial Reform and Consumer Protection Act of 2010 (Dodd-Frank Act) appropriated an additional \$1 billion for a third iteration of NSP (NSP3) in July 2010.

As of December 2015, NSP grantees had expended (including program income) an amount equivalent to 116 percent of the total program funds allocated for all iterations of NSP. Grantees have approximately \$185 million in NSP-generated program income that must be expended prior to drawing down the remaining grant funds that are reflected in this account. HUD is closely monitoring efforts to expend these funds consistent with NSP requirements and the Department's timeline for closing out all grants.

HOMELESS ASSISTANCE GRANTS

For the Emergency Solutions Grants program as authorized under subtitle B of title IV of the McKinney-Vento Homeless Assistance Act, as amended; the Continuum of Care program as authorized under subtitle C of title IV of such Act; and the Rural Housing Stability Assistance program as authorized under subtitle D of title IV of such Act, **[\$2,250,000,000] \$2,664,000,000**, to remain available until September 30, **[2018] 2019: Provided**, That any rental assistance amounts that are recaptured under such Continuum of Care program shall remain available until expended: *Provided further*, That not less than **[\$250,000,000] \$270,000,000** of the funds appropriated under this heading shall be available for such Emergency Solu-

tions Grants program: *Provided further*, That not less than **[\$1,918,000,000] \$2,362,000,000** of the funds appropriated under this heading shall be available for such Continuum of Care and Rural Housing Stability Assistance programs: *Provided further*, That up to \$7,000,000 of the funds appropriated under this heading shall be available for the national homeless data analysis project: **[Provided further**, That all funds awarded for supportive services under the Continuum of Care program and the Rural Housing Stability Assistance program shall be matched by not less than 25 percent in cash or in kind by each grantee: **]** *Provided further*, That for all match requirements applicable to funds made available under this heading for this fiscal year and prior years, a grantee may use (or could have used) as a source of match funds other funds administered by the Secretary and other Federal agencies unless there is (or was) a specific statutory prohibition on any such use of any such funds: **[Provided further**, That the Secretary shall establish system performance measures for which each continuum of care shall report baseline outcomes, and that relative to fiscal year 2015, under the Continuum of Care competition with respect to funds made available under this heading, the Secretary shall base an increasing share of the score on performance criteria: **]** *Provided further*, That none of the funds provided under this heading shall be available to provide funding for new projects, except for projects created through reallocation, unless the Secretary determines that the continuum of care has demonstrated that projects are evaluated and ranked based on the degree to which they improve the continuum of care's system performance: *Provided further*, That the Secretary shall prioritize funding under the Continuum of Care program to continuums of care that have demonstrated a capacity to reallocate funding from lower performing projects to higher performing projects: **[Provided further**, That all awards of assistance under this heading shall be required to coordinate and integrate homeless programs with other mainstream health, social services, and employment programs for which homeless populations may be eligible: *Provided further*, That with respect to funds provided under this heading for the Continuum of Care program for fiscal years 2013, 2014, 2015, and 2016 provision of permanent housing rental assistance may be administered by private nonprofit organizations: **]** *Provided further*, That any unobligated amounts remaining from funds appropriated under this heading in fiscal year 2012 and prior years for project-based rental assistance for rehabilitation projects with 10-year grant terms may be used for purposes under this heading, notwithstanding the purposes for which such funds were appropriated: *Provided further*, That all balances for Shelter Plus Care renewals previously funded from the Shelter Plus Care Renewal account and transferred to this account shall be available, if recaptured, for Continuum of Care renewals in fiscal year **[2016: Provided further**, That the Department shall notify grantees of their formula allocation from amounts allocated (which may represent initial or final amounts allocated) for the Emergency Solutions Grant program within 60 days of enactment of this Act **]** *2017: Provided further*, That up to **[\$33,000,000] \$25,000,000** of the funds appropriated under this heading shall be to implement projects to demonstrate how a comprehensive approach to serving homeless youth, age 24 and under, **[in up to 10 communities, including at least four rural communities,]** can dramatically reduce youth homelessness: *Provided further*, That such projects shall be eligible for renewal under the Continuum of Care program subject to the same terms and conditions as other renewal applicants: **[Provided further**, That up to \$5,000,000 of the funds appropriated under this heading shall be available to provide technical assistance on youth homelessness, and collection, analysis, and reporting of data and performance measures under the comprehensive approaches to serve homeless youth, in addition to and in coordination with other technical assistance funds provided under this title: *Provided further*, That youth aged 24 and under seeking assistance under this heading shall not be required to provide third party documentation to establish their eligibility under 42 U.S.C. 11302(a) or (b) to receive services: *Provided further*, That unaccompanied youth aged 24 and under or families headed by youth aged 24 and under who are living in unsafe situations may be served by youth-serving providers funded under this heading: **]** *Provided further*, That the Secretary may use amounts made available under this heading for the Continuum of Care program to renew a grant originally awarded pursuant to the matter under the heading "Department of Housing and Urban Development—Permanent Supportive Housing" in chapter 6 of title III of the Supplemental Appropriations Act, 2008 (Public Law 110-252; 122 Stat. 2351) for assistance under subtitle F of title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11403 et seq.): *Provided further*, That such renewal grant shall be awarded to the same grantee and be subject to the provisions of such Continuum of Care program except that the funds may be used outside the geographic area of the continuum of care. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 086-0192-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Continuum of Care (SPC, SHP, Rural) .....	1,870	1,955	2,362
0002 Emergency Solutions Grants - Formula .....	238	270	270
0003 National Homeless Data Analysis Project .....		14	7
0005 Youth Demonstration .....		33	25
0006 Youth Technical Assistance .....		5	
0799 Total direct obligations .....	2,108	2,277	2,664
0801 BJA Pay for Success Demonstration .....	1	9	
0900 Total new obligations .....	2,109	2,286	2,664
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,059	2,098	2,087
1021 Recoveries of prior year unpaid obligations .....	25	20	20
1050 Unobligated balance (total) .....	2,084	2,118	2,107
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,135	2,250	2,664
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	5	
1900 Budget authority (total) .....	2,140	2,255	2,664
1930 Total budgetary resources available .....	4,224	4,373	4,771
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-17		
1941 Unexpired unobligated balance, end of year .....	2,098	2,087	2,107
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,605	2,534	2,532
3010 Obligations incurred, unexpired accounts .....	2,109	2,286	2,664
3020 Outlays (gross) .....	-1,905	-2,268	-2,279
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25	-20	-20
3041 Recoveries of prior year unpaid obligations, expired .....	-250		
3050 Unpaid obligations, end of year .....	2,534	2,532	2,897
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,605	2,534	2,532
3200 Obligated balance, end of year .....	2,534	2,532	2,897

Identification code 086-0457-4-1-604	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,140	2,255	2,664
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	11	13
4011 Outlays from discretionary balances .....	1,898	2,257	2,266
4020 Outlays, gross (total) .....	1,905	2,268	2,279
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-5	
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-6	-5	
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	2,135	2,250	2,664
4080 Outlays, net (discretionary) .....	1,899	2,263	2,279
4180 Budget authority, net (total) .....	2,135	2,250	2,664
4190 Outlays, net (total) .....	1,899	2,263	2,279

The Homeless Assistance Grants account provides funds for the Emergency Solutions Grant (ESG) and Continuum of Care (CoC) programs. These programs, which award funds through formula and competitive processes, enable localities to shape and implement comprehensive, flexible, coordinated approaches to address the multiple issues of homelessness.

The 2017 Budget provides \$2.664 billion for a wide range of activities to assist homeless persons and prevent future occurrences of homelessness. The Budget supports \$2.387 billion for the CoC program, including funding for competitive renewals, new permanent supportive housing for persons experiencing chronic homelessness, new rapid rehousing for families with children experiencing homelessness, and projects targeted to youth experiencing homelessness; \$270 million for ESG formula funding for communities to address emergency needs such as emergency shelter, street outreach, essential services, homelessness prevention, and rapid rehousing; and \$7 million for the National Homeless Data Analysis Project.

The 2017 Budget sustains funding to support programs dedicated to ending veteran homelessness, and makes further progress toward the Administration's goals of ending chronic homelessness and ending homelessness for families, youth and children. In addition to targeted increases in HUD's Homeless Assistance Grants, the Budget provides 10,000 new Housing Choice Vouchers targeted to homeless families with children. Complementing these investments, the Budget also includes an \$11 billion mandatory proposal over ten years to reach and maintain the goal of ending homelessness among families with children by 2020, as described under the "Homeless Assistance for Families" account.

The 2017 Budget also proposes a series of legislative changes to address emerging program needs. These changes would: 1) allow for more timely and effective property reviews under Title V of the McKinney-Vento Act, which enables eligible organizations to use unutilized, underutilized, excess, or surplus Federal properties as facilities that assist homeless persons; 2) allow CoC grantees to receive one-year transition grants, which will better allow projects to maintain service to program participants as those projects transition from one CoC program component to another (e.g., from transitional housing to permanent supportive housing); and 3) allow public housing agencies (PHAs) to be eligible subrecipients of ESG program funds, since PHAs are highly qualified homeless services providers in many communities.

**Object Classification** (in millions of dollars)

Identification code 086-0192-0-1-604	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2,108	2,277	2,664
99.0 Reimbursable obligations .....	1	9	
99.9 Total new obligations .....	2,109	2,286	2,664

**HOMELESS ASSISTANCE FOR FAMILIES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 086-0457-4-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Housing Vouchers .....			79
0900 Total new obligations (object class 41.0) .....			79
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			79
1930 Total budgetary resources available .....			79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			79
3020 Outlays (gross) .....			-79
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			79
Outlays, gross:			
4100 Outlays from new mandatory authority .....			79
4180 Budget authority, net (total) .....			79
4190 Outlays, net (total) .....			79

The Budget proposes \$11 billion over ten years to reach and maintain the goal of ending homelessness among all of America's families in 2020. This significant investment builds on the success of ending veteran homelessness in communities across the country, and is informed by recent research that found that families who utilized vouchers — compared to alternative forms of homeless assistance — had fewer incidents of homelessness, child separations, intimate partner violence and school moves, less food insecurity, and generally less economic stress. This investment will enable communities to properly scale their response to family homelessness

HOMELESS ASSISTANCE FOR FAMILIES—Continued

and significantly expand the availability of rapid rehousing and Housing Choice Vouchers, serving an estimated 550,000 vulnerable families with children experiencing homelessness over the next ten years. These two interventions offer the most flexible, targeted, and cost effective interventions for communities seeking to move families out of emergency shelter quickly and into their own permanent housing units. Rapid rehousing offers time-limited rental assistance and case management services designed to help families stabilize in housing and connect to other needed services in the community. The Housing Choice Voucher program offers a permanent housing subsidy that can be coordinated with supportive services funded through other resources, including mainstream systems.

Complementing this mandatory proposal, the Budget provides targeted discretionary increases to address homelessness, including 10,000 new vouchers targeted to homeless families with children (in the Housing Choice Voucher account), 25,500 new units of permanent, supportive housing to end chronic homelessness, \$25 million to test innovative projects that support homeless youth, and 8,000 new units of rapid rehousing (in the Homeless Assistance Grants account).

PERMANENT SUPPORTIVE HOUSING

Program and Financing (in millions of dollars)

Identification code 086-0342-0-1-604	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	9	3
3020 Outlays (gross) .....	-4	-6	-3
3050 Unpaid obligations, end of year .....	9	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	9	3
3200 Obligated balance, end of year .....	9	3	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	4	6	3
4180 Budget authority, net (total) .....	4	6	3
4190 Outlays, net (total) .....	4	6	3

The Supplemental Appropriations Act, 2008 (Public Law 110-252) provided \$73 million for permanent supportive housing assistance as referenced in the Road Home Program of the Louisiana Recovery Authority (LRA). Of the total amount appropriated, \$50 million was for permanent supportive housing, serving approximately 1,000 homeless individuals and families living with disabilities. The LRA is eligible to apply for Homeless Assistance Grants to renew this assistance. Additionally, this account provided \$23 million in project-based rental assistance vouchers to LRA to support an estimated 2,000 elderly and disabled disaster victims, as authorized. Beginning in 2010, these vouchers have been renewed within the Tenant-Based Rental Assistance account upon the termination of the original subsidy.

RURAL HOUSING AND ECONOMIC DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 086-0324-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Delta Capital Community Initiative .....	1		
0003 Appalachia Economic Development Initiative .....	1		
0900 Total new obligations (object class 41.0) .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	

1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	5	3	
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....		-3	
1930 Total budgetary resources available .....	5		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	6	3
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-3	-3	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	6	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	6	3
3200 Obligated balance, end of year .....	6	3	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-3	
Outlays, gross:			
4011 Outlays from discretionary balances .....	3	3	2
4180 Budget authority, net (total) .....		-3	
4190 Outlays, net (total) .....	3	3	2

The Budget does not provide funding for the Rural Housing and Economic Development (RHED) program. RHED was created to support housing and economic development activities in rural communities. The Consolidated Appropriations Act, 2016 (Public Law 114-113) permanently rescinded all unobligated balances of RHED funds, including carryover and recaptures.

REVOLVING FUND (LIQUIDATING PROGRAMS)

Program and Financing (in millions of dollars)

Identification code 086-4015-0-3-451	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1022 Capital transfer of unobligated balances to general fund .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	9
3020 Outlays (gross) .....		-1	-1
3050 Unpaid obligations, end of year .....	10	9	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	9
3200 Obligated balance, end of year .....	10	9	8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	1

Status of Direct Loans (in millions of dollars)

Identification code 086-4015-0-3-451	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	5	5
1290 Outstanding, end of year .....	5	5	5

The Revolving Fund (liquidating programs) was established by the Independent Offices Appropriations Act of 1955 for the efficient liquidation of assets acquired under a number of housing and urban development programs, all of which are no longer active. For example, the Section 312 loan program portfolio, which provided first and junior lien financing at below market interest rates for the rehabilitation of homes in low-income

neighborhoods, constituted a large portion of the account activities but has not originated new loans for over 20 years. The operational expenses are financed from a permanent, indefinite appropriation to administer the remaining repayments of loans, recaptures, and lien releases in the portfolio. Any remaining unobligated balances in the account are returned to the Treasury annually.

**Balance Sheet** (in millions of dollars)

Identification code 086-4015-0-3-451	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	9	9
1601 Direct loans, gross .....	5	5
1603 Allowance for estimated uncollectible loans and interest (-) .....	-5	-5
1604 Direct loans and interest receivable, net .....		
1606 Foreclosed property .....	2	2
1699 Value of assets related to direct loans .....	2	2
1999 Total assets .....	11	11
<b>LIABILITIES:</b>		
2207 Non-Federal liabilities: Other .....	1	1
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	10	10
4999 Total liabilities and net position .....	11	11

**COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM ACCOUNT**

**[(INCLUDING RESCISSION)]**

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year [2016] 2017, commitments to guarantee loans under section 108 of the Housing and Community Development Act of 1974 (42 U.S.C. 5308), any part of which is guaranteed, shall not exceed a total principal amount of \$300,000,000 [ , notwithstanding any aggregate limitation on outstanding obligations guaranteed in subsection (k) of such section 108 ]: *Provided*, That the Secretary shall collect fees from borrowers [ , notwithstanding subsection (m) of such section 108, ] to result in a credit subsidy cost of zero for guaranteeing such loans, and any such fees shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974 [ : *Provided further*, That all unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading are hereby permanently rescinded ] . (*Department of Housing and Urban Development Appropriations Act, 2016*.)

**Program and Financing** (in millions of dollars)

Identification code 086-0198-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	3		
0900 Total new obligations (object class 33.0) .....	3		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3		
1930 Total budgetary resources available .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	18	13
3010 Obligations incurred, unexpired accounts .....	3		
3020 Outlays (gross) .....		-5	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	18	13	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	18	13
3200 Obligated balance, end of year .....	18	13	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		5	5

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 086-0198-0-1-451	2015 actual	2016 est.	2017 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Community development loan guarantee levels .....	123		
215003 Community Development Loan Guarantee (Fee) .....		300	300
215999 Total loan guarantee levels .....	123	300	300
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Community development loan guarantee levels .....	2.42		
232003 Community Development Loan Guarantee (Fee) .....		0.00	0.00
232999 Weighted average subsidy rate .....	2.42	0.00	0.00
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Community development loan guarantee levels .....	3		
233999 Total subsidy budget authority .....	3		
<b>Guaranteed loan subsidy outlays:</b>			
234001 Community development loan guarantee levels .....		5	5
234999 Total subsidy outlays .....		5	5
<b>Guaranteed loan reestimates:</b>			
235001 Community development loan guarantee levels .....		-60	
235999 Total guaranteed loan reestimates .....		-60	

The Community Development Loan Guarantee program (Section 108) supports economic development projects, housing rehabilitation, and the rehabilitation, construction or installation of public facilities for the benefit of low- to moderate-income persons or to aid in the prevention of slums.

On November 3, 2015, the Department issued a final rule in the Federal Register to allow HUD to collect fees to offset credit subsidy costs such that the program operates at a zero credit subsidy cost. The Budget proposes a guaranteed loan limit of \$300 million, with a fee of 2.59 percent of the principal amount of the loan for fiscal year 2017. The Budget also proposes legislative changes to the Housing and Community Development Act of 1974 to permanently allow for the new fee structure.

**COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-4096-0-3-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....		34	
0743 Interest on downward reestimates .....		26	
0900 Total new obligations .....		60	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	126	134	88
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	17	17
1801 Change in uncollected payments, Federal sources .....	3	-3	
1850 Spending auth from offsetting collections, mand (total) .....	8	14	17
1930 Total budgetary resources available .....	134	148	105
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	134	88	105
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			60
3010 Obligations incurred, unexpired accounts .....		60	
3050 Unpaid obligations, end of year .....		60	60
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-20	-17
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	3	
3090 Uncollected pymts, Fed sources, end of year .....	-20	-17	-17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-17	-20	43
3200 Obligated balance, end of year .....	-20	43	43
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8	14	17

COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 086-4096-0-3-451	2015 actual	2016 est.	2017 est.
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal Sources: Payments from Program Account .....		-5	-5
4122 Interest on uninvested funds .....	-5	-4	-4
4123 Non-Federal sources .....		-8	-8
4130 Offsets against gross budget authority and outlays (total) ....	-5	-17	-17
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-3	3	
4170 Outlays, net (mandatory) .....	-5	-17	-17
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-5	-17	-17

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4096-0-3-451	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority .....	500	300	300
2121 Limitation available from carry-forward .....	123		
2142 Uncommitted loan guarantee limitation .....	-500		
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....	123	300	300
2199 Guaranteed amount of guaranteed loan commitments .....	15	300	300
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	2,010	2,011	2,014
2231 Disbursements of new guaranteed loans .....	8	10	10
2251 Repayments and prepayments .....	-7	-7	-7
2290 Outstanding, end of year .....	2,011	2,014	2,017

<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,011	2,014	2,017

**Balance Sheet** (in millions of dollars)

Identification code 086-4096-0-3-451	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	114	96
1999 Total assets .....	114	96
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	114	96
4999 Total liabilities and net position .....	114	96

COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-4097-0-3-451	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-3	-3	-3
3200 Obligated balance, end of year .....	-3	-3	-3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4097-0-3-451	2015 actual	2016 est.	2017 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	2	1	1
2251 Repayments and prepayments .....	-1		
2290 Outstanding, end of year .....	1	1	1

<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1		

**Balance Sheet** (in millions of dollars)

Identification code 086-4097-0-3-451	2014 actual	2015 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	-3	-3
<b>Investments in US securities:</b>		
1106 Receivables, net .....	3	3
1999 Total assets .....		

**Trust Funds**

HOUSING TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 086-8560-0-7-604	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			12
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Affordable Housing Allocation, Housing Trust Fund .....		182	136
2000 Total: Balances and receipts .....		182	148
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Housing Trust Fund .....		-182	-136
2132 Housing Trust Fund .....		12	
2199 Total current law appropriations .....		-170	-136
2999 Total appropriations .....		-170	-136
5099 Balance, end of year .....		12	12

**Program and Financing** (in millions of dollars)

Identification code 086-8560-0-7-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants .....		170	136
0900 Total new obligations (object class 41.0) .....		170	136
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....		182	136
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-12	
1260 Appropriations, mandatory (total) .....		170	136
1930 Total budgetary resources available .....		170	136

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			168
3010 Obligations incurred, unexpired accounts .....		170	136
3020 Outlays (gross) .....		-2	-25
3050 Unpaid obligations, end of year .....		168	279
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			168
3200 Obligated balance, end of year .....		168	279

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....		170	136
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		2	1
4101 Outlays from mandatory balances .....			24
4110 Outlays, gross (total) .....		2	25
4180 Budget authority, net (total) .....		170	136
4190 Outlays, net (total) .....		2	25

The Housing Trust Fund was authorized by section 1131 of the Housing and Economic Recovery Act of 2008 (Public Law 110-289), which directed

the account to be funded from assessments on Fannie Mae and Freddie Mac. The Federal Housing Finance Agency (FHFA), as regulator of Fannie Mae and Freddie Mac, suspended these assessments in November 2008. In December 2014, FHFA announced that the assessments would be reinstated effective January 2015, subject to the terms and conditions prescribed by FHFA.

The Budget estimates that \$136 million will be allocated to the Housing Trust Fund in 2017 to provide grants to States to increase and preserve the supply of affordable rental housing and homeownership opportunities for extremely low-income families. This allocation is in addition to the estimated \$170 million that will be made available in 2016. Funds will be distributed by formula to States or State-designated entities to be used primarily for the construction, preservation, and rehabilitation of affordable rental housing, with up to ten percent of the funding for similar eligible activities that support homeownership.

## HOUSING PROGRAMS

### Federal Funds

#### PROJECT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of project-based subsidy contracts under the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) ("the Act"), not otherwise provided for, [\$10,220,000,000] \$10,416,000,000, to remain available until [expended] September 30, 2019, shall be available on October 1, [2015] 2016 (in addition to the \$400,000,000 previously appropriated under this heading that became available October 1, [2015] 2016), and \$400,000,000, to remain available until [expended] September 30, 2020, shall be available on October 1, [2016] 2017: *Provided*, That the amounts made available under this heading shall be available for expiring or terminating section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for amendments to section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11401), for renewal of section 8 contracts for units in projects that are subject to approved plans of action under the Emergency Low Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990, and for administrative and other expenses associated with project-based activities and assistance funded under this paragraph: *Provided further*, That of the total amounts provided under this heading, not to exceed [\$215,000,000] \$235,000,000 shall be available for performance-based contract administrators for section 8 project-based assistance, for carrying out 42 U.S.C. 1437(f): *Provided further*, That the Secretary of Housing and Urban Development may also use such amounts in the previous proviso for performance-based contract administrators for the administration of: interest reduction payments pursuant to section 236(a) of the National Housing Act (12 U.S.C. 1715z-1(a)); rent supplement payments pursuant to section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s); section 236(f)(2) rental assistance payments (12 U.S.C. 1715z-1(f)(2)); project rental assistance contracts for the elderly under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q); project rental assistance contracts for supportive housing for persons with disabilities under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013(d)(2)); project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667); and loans under section 202 of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667): *Provided further*, That amounts recaptured under this heading, the heading "Annual Contributions for Assisted Housing", or the heading "Housing Certificate Fund", may be used for renewals of or amendments to section 8 project-based contracts or for performance-based contract administrators, notwithstanding the purposes for which such amounts were appropriated: *Provided further*, That, notwithstanding any other provision of law, upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 8 project-based Housing Assistance Payments contract that authorizes HUD or a Housing Finance Agency to require that surplus project funds be deposited in an interest-bearing residual receipts account and that are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until expended: *Provided further*, That amounts deposited pursuant to the previous proviso shall be available in addition to the amount otherwise provided by this heading for uses authorized under this heading. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

### Program and Financing (in millions of dollars)

Identification code 086-0303-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contract Renewals .....	8,646	9,574	9,794
0002 RAD Contract Renewals .....	25	43	149
0003 Section 8 Amendments .....	588	550	549
0004 Contract Administrators .....	273	290	235
0005 Vouchers for Disaster Relief .....			1
0006 Tenant Information and Outreach .....	2	4	4
0008 Mod Rehab and SRO Renewals .....	276	270	270
0900 Total new obligations (object class 41.0) .....	9,810	10,731	11,002
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	352	329	316
1011 Unobligated balance transfer from other acct [086-0206] ....		9	
1021 Recoveries of prior year unpaid obligations .....	32	25	15
1050 Unobligated balance (total) .....	384	363	331
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9,330	10,220	10,416
1120 Appropriations transferred to other acct [086-0108] .....			-28
1121 Appropriations transferred from other acct [086-0163] ....	17	34	117
1121 Appropriations transferred from other acct [086-0304] ....	8	9	32
1121 Appropriations transferred from other acct [086-0206] ....		21	
1160 Appropriation, discretionary (total) .....	9,355	10,284	10,537
Advance appropriations, discretionary:			
1170 Advance appropriation .....	400	400	400
1900 Budget authority (total) .....	9,755	10,684	10,937
1930 Total budgetary resources available .....	10,139	11,047	11,268
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	329	316	266
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,959	4,477	4,523
3010 Obligations incurred, unexpired accounts .....	9,810	10,731	11,002
3020 Outlays (gross) .....	-10,260	-10,660	-11,016
3040 Recoveries of prior year unpaid obligations, unexpired .....	-32	-25	-15
3050 Unpaid obligations, end of year .....	4,477	4,523	4,494
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,959	4,477	4,523
3200 Obligated balance, end of year .....	4,477	4,523	4,494
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9,755	10,684	10,937
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,234	5,953	6,301
4011 Outlays from discretionary balances .....	5,026	4,707	4,715
4020 Outlays, gross (total) .....	10,260	10,660	11,016
4180 Budget authority, net (total) .....	9,755	10,684	10,937
4190 Outlays, net (total) .....	10,260	10,660	11,016

The Budget requests \$10.816 billion for Project-Based Rental Assistance (PBRA), of which \$400 million is requested as an advance appropriation to become available in 2018. The PBRA program assists approximately 1.2 million extremely low- to low-income households in obtaining decent, safe, and sanitary housing in private accommodations. PBRA serves families, elderly and disabled households and provides transitional housing for the homeless. Through this funding, HUD supports approximately 17,200 contracts with private owners of multifamily housing by paying the difference between what a household can afford, generally 30 percent of its eligible income, and the approved market-based rent for a housing unit. The Budget continues to support the program's calendar year funding cycle and provides 12 months of funding for all contracts from January through December 2017.

The Budget proposes legislative reform to HUD's core rental assistance programs, namely increasing the threshold used to determine deductions for unreimbursed medical expenses from 3 to 10 percent of family income. The Budget also includes a proposal to expand HUD's authority to seek double the specified financial damages when project owners fail to maintain their properties in accordance with program requirements, to include PBRA, Section 202, and Section 811. This proposal is part of a larger effort being

## PROJECT-BASED RENTAL ASSISTANCE—Continued

undertaken by HUD to evaluate and strengthen enforcement authorities across its portfolio of assisted properties, including a potential rulemaking to update Real Estate Assessment Center (REAC) physical inspection criteria. Finally, the Budget proposes technical amendments to the Low-Income Housing Preservation and Resident Homeownership Act (LIHPRHA) to align with amendments made by the FAST Act (Public Law 114–94), to support effective implementation of owner distribution policies, and to encourage preservation transactions that maintain property affordability.

Program activities include the following:

**Contract Renewals and Amendments.**—These activities provide funding for HUD to renew expiring contracts and amend contracts that have not expired but require additional funding for HUD to meet remaining payment obligations. Appropriations for these activities are supplemented with recoveries of excess balances remaining on expired contracts that utilized less than anticipated resources during their initial terms.

**Contract Administrators.**—This activity funds the local level administration of the program through HUD agreements with performance-based contract administrators (PBCAs). These entities, which are typically public housing authorities or state housing finance agencies, are responsible for conducting on-site management reviews of assisted properties; adjusting contract rents; reviewing, processing, and paying monthly vouchers submitted by owners; renewing contracts with property owners; and responding to health and safety issues at properties. In 2017, the Budget requests up to \$235 million for PBCAs from the PBRA account, in addition to \$60 million in anticipated recaptures in the Housing Certificate Fund to supplement the requested appropriation.

**Tenant Resource Network.**—The Budget provides up to \$4 million in 2017 for technical assistance to tenant groups, nonprofit groups, and public entities to support their efforts to preserve affordability of assisted properties and improve tenant services.

## HOUSING FOR THE ELDERLY

For amendments to capital advance contracts for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for project rental assistance for the elderly under section 202(c)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, and for senior preservation rental assistance contracts, including renewals, as authorized by section 811(e) of the American Housing and Economic Opportunity Act of 2000, as amended, and for supportive services associated with the housing, **[\$432,700,000] \$505,000,000** to remain available until September 30, **[2019] 2020: Provided**, That of the amount provided under this heading, up to **[\$77,000,000] \$75,000,000** shall be for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects: *Provided further*, That amounts under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 202 projects: *Provided further*, That the Secretary may waive the provisions of section 202 governing the terms and conditions of project rental assistance, except that the initial contract term for such assistance shall not exceed 5 years in duration: *Provided further*, That upon request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 202 project rental assistance contract, and that upon termination of such contract are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until September 30, **[2019] 2020: Provided further**, That amounts deposited in this account pursuant to the previous proviso shall be available, in addition to the amounts otherwise provided by this heading, for **[amendments and renewals] the purposes authorized under this heading: Provided further**, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading **[shall be available for amendments and renewals] in prior appropriations Acts may be used for the current purposes authorized under this heading** notwithstanding the purposes for which such funds originally were appropriated. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 086–0320–0–1–604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Capital Advance Amendments and Expenses .....	10	.....	3
0002 PRAC Renewal/Amendment .....	331	356	412
0003 Service Coordinators/Congregate Services .....	86	77	75
0004 Conversion to Assisted Living Facilities .....	16	.....	.....
0006 Senior Preservation Rental Assistance Contracts .....	13	.....	15
0799 Total direct obligations .....	456	433	505
0801 Housing for the Elderly (Reimbursable) .....	.....	14	.....
0900 Total new obligations .....	456	447	505
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	180	151	151
1021 Recoveries of prior year unpaid obligations .....	7	.....	.....
1050 Unobligated balance (total) .....	187	151	151
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	420	433	505
1120 Appropriations transferred to other acts [086–0108] .....	.....	.....	–3
1160 Appropriation, discretionary (total) .....	420	433	502
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	14	.....
1900 Budget authority (total) .....	420	447	502
1930 Total budgetary resources available .....	607	598	653
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	151	151	148
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,776	1,431	1,145
3010 Obligations incurred, unexpired accounts .....	456	447	505
3020 Outlays (gross) .....	–773	–733	–765
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–21	.....	.....
3050 Unpaid obligations, end of year .....	1,431	1,145	885
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,776	1,431	1,145
3200 Obligated balance, end of year .....	1,431	1,145	885
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	420	447	502
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	92	90
4011 Outlays from discretionary balances .....	679	641	675
4020 Outlays, gross (total) .....	773	733	765
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	.....	–14	.....
4040 Offsets against gross budget authority and outlays (total) .....	.....	–14	.....
4180 Budget authority, net (total) .....	420	433	502
4190 Outlays, net (total) .....	773	719	765

Since 1959, the Housing for the Elderly program (Section 202) has supported the construction and operation of supportive housing for very low-income elderly households, including the frail elderly. The Budget provides \$505 million for this program, including \$427 million to renew and amend operating subsidy contracts for existing Section 202 housing, \$75 million to support service coordinators who work on-site to help residents obtain critical services, and \$3 million for property inspections and related expenses. Consistent with the 2016 Appropriations Act, the request for contract renewals and amendments under this account includes amounts for Senior Preservation Rental Assistance Contracts (SPRACs). HUD will continue the execution of a five-year Supportive Housing demonstration with funding provided for this purpose as authorized in 2014; no additional funding is requested. The demonstration is testing whether housing and supportive services with a health/wellness component can successfully and cost-effectively help elderly residents maintain their housing and health while avoiding costly institutional care. The Budget supports preservation of Section 202 properties through the expansion of the Rental Assistance

Demonstration program to include elderly properties developed through the Capital Advance program. The Budget also seeks renewed authority to make better use of existing resources. HUD will identify residual receipts collections, recaptures, and other unobligated balances to redirect as additional investments in SPRACs, Service Coordinators, or other authorized purposes.

The Budget also includes a proposal to expand HUD's authority to seek double the specified financial damages when project owners fail to maintain their properties in accordance with program requirements, to include Section 202, Housing for Persons with Disabilities (Section 811), and Project-Based Rental Assistance. Finally, the Budget proposes to expand the list of violations that may incur a monetary penalty of Section 202 property owners to include failure to maintain the property.

**Object Classification** (in millions of dollars)

Identification code 086-0320-0-1-604	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	456	433	505
99.0 Reimbursable obligations .....		14	
99.9 Total new obligations .....	456	447	505

**HOUSING FOR PERSONS WITH DISABILITIES**

For amendments to capital advance contracts for supportive housing for persons with disabilities, as authorized by section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), for project rental assistance for supportive housing for persons with disabilities under section 811(d)(2) of such Act and for project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667), including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, for project rental assistance to State housing finance agencies and other appropriate entities as authorized under section 811(b)(3) of the Cranston-Gonzalez National Housing Act, and for supportive services associated with the housing for persons with disabilities as authorized by section 811(b)(1) of such Act, **[\$150,600,000] \$154,000,000**, to remain available until September 30, **[2019] 2020: Provided**, That amounts made available under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 811 projects: *Provided further*, That, in this fiscal year, upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 811 project rental assistance contract and that upon termination of such contract are in excess of an amount to be determined by the Secretary shall be remitted to the Department and deposited in this account, to be available until September 30, **[2019] 2020: Provided further**, That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for **[amendments and renewals] the purposes authorized under this heading: Provided further**, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading shall be used for **[amendments and renewals] the current purposes authorized under this heading notwithstanding the purposes for which such funds originally were appropriated. (Department of Housing and Urban Development Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 086-0237-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Capital Advance Amendments and Expenses .....	5	4	4
0002 PRAC/PAC Renewals and Amendments .....	120	125	142
0004 State Housing Project Rental Assistance .....	82	67	3
0799 Total direct obligations .....	207	196	149
0900 Total new obligations (object class 41.0) .....	207	196	149
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	161	104	59
1021 Recoveries of prior year unpaid obligations .....	14		
1050 Unobligated balance (total) .....	175	104	59

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	135	151	154
1120 Appropriations transferred to other acct [086-0108] .....			-1
1160 Appropriation, discretionary (total) .....	135	151	153
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	136	151	153
1930 Total budgetary resources available .....	311	255	212
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	104	59	63

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	520	525	524
3010 Obligations incurred, unexpired accounts .....	207	196	149
3020 Outlays (gross) .....	-187	-197	-192
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	525	524	481
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	520	525	524
3200 Obligated balance, end of year .....	525	524	481

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	136	151	153
Outlays, gross:			
4010 Outlays from new discretionary authority .....	33	29	29
4011 Outlays from discretionary balances .....	154	168	163
4020 Outlays, gross (total) .....	187	197	192
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....	135	151	153
4190 Outlays, net (total) .....	186	197	192

Since 1992, the Housing for Persons with Disabilities program (Section 811) has supported the development of supportive housing for very low-income people with disabilities. The Budget provides \$154 million for this program, including \$152 million to renew and amend operating subsidy contracts for existing Section 811 housing, and \$2 million for property inspections and related expenses.

The Budget continues authorities to make better use of existing resources, which allows HUD to identify residual receipts collections, recaptures, and other unobligated balances to redirect as additional investments for purposes authorized under the heading including new Project Rental Assistance awards to state housing agencies. The Budget also includes a proposal to expand HUD's authority to seek double the specified financial damages when project owners fail to maintain their properties in accordance with program requirements, to include Section 811, Housing for the Elderly (Section 202), and Project-Based Rental Assistance.

**HOUSING COUNSELING ASSISTANCE**

For contracts, grants, and other assistance excluding loans, as authorized under section 106 of the Housing and Urban Development Act of 1968, as amended, \$47,000,000, to remain available until September 30, **[2017] 2018**, including up to \$4,500,000 for administrative contract services: *Provided*, **[That grants made available from amounts provided under this heading shall be awarded within 180 days of enactment of this Act: Provided further,]** That funds shall be used for providing counseling and advice to tenants and homeowners, both current and prospective, with respect to property maintenance, financial management/literacy, and such other matters as may be appropriate to assist them in improving their housing conditions, meeting their financial needs, and fulfilling the responsibilities of tenancy or homeownership; for program administration; and for housing counselor training: *Provided further*, That for purposes of providing such grants from amounts provided under this heading, the Secretary may enter into multiyear agreements as appropriate, subject to the availability of annual appropriations. *(Department of Housing and Urban Development Appropriations Act, 2016.)*

## HOUSING COUNSELING ASSISTANCE—Continued

## Program and Financing (in millions of dollars)

Identification code 086-0156-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Housing Counseling Assistance .....	42	45	43
0002 Administrative Contract Services .....	3	4	4
0900 Total new obligations .....	45	49	47
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	47	47	47
1930 Total budgetary resources available .....	47	49	47
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	39	71
3010 Obligations incurred, unexpired accounts .....	45	49	47
3020 Outlays (gross) .....	-47	-17	-43
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	39	71	75
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	39	71
3200 Obligated balance, end of year .....	39	71	75
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4000 Budget authority, gross .....	47	47	47
Outlays from new discretionary authority:			
4010 Outlays from new discretionary authority .....	9	7	7
4011 Outlays from discretionary balances .....	38	10	36
4020 Outlays, gross (total) .....	47	17	43
4180 Budget authority, net (total) .....	47	47	47
4190 Outlays, net (total) .....	47	17	43

The Housing Counseling Assistance Program provides comprehensive housing counseling services to eligible homeowners and tenants through grants, oversight, technical assistance and training to non-profit intermediaries, state governmental entities, and other agencies with a local or national presence. Eligible housing counseling activities include pre- and post-purchase homeownership education and counseling, personal financial management, reverse mortgage education counseling, foreclosure prevention, loss mitigation, homelessness prevention, and rental counseling. The objectives of the Housing Counseling program include overcoming barriers to stable and affordable housing, expanding sustainable homeownership opportunities, preventing foreclosure, and deterring discrimination, scams and fraud.

The 2017 Budget includes \$47 million for this program, the bulk of which funds grants to HUD-approved Housing Counseling agencies for direct services. In particular, the Office of Housing Counseling is focused on expanding the number of counseled FHA borrowers and increasing access to resources that create more sustainable housing opportunities for households. As the economy improves and the number of first-time homebuyers increases, the need and demand for housing counseling will increase as well.

In accordance with the Dodd-Frank Wall Street Reform and Consumer Protection Act, the Housing Counseling Assistance Program is implementing and overseeing the individual testing and certification of all housing counselors providing HUD-approved counseling and will launch the Office of Housing Counseling Federal Advisory Committee.

## Object Classification (in millions of dollars)

Identification code 086-0156-0-1-604	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	3	4	4
41.0 Grants, subsidies, and contributions .....	42	45	43

99.9 Total new obligations .....	45	49	47
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## ENERGY INNOVATION FUND

## Program and Financing (in millions of dollars)

Identification code 086-0401-0-1-272	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	17	9
3020 Outlays (gross) .....	-8	-8	-8
3050 Unpaid obligations, end of year .....	17	9	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	17	9
3200 Obligated balance, end of year .....	17	9	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	8	8	8
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	8	8	8

The Energy Innovation Fund provided support for local initiatives that could be replicated across the Nation and to stimulate and enhance private investment in cost-saving energy efficiency retrofits of existing housing, through improved use of FHA single family and multifamily mortgage products. There have been no appropriations for this program since 2010 and this account now reflects only the liquidation of prior year obligations.

## EMERGENCY HOMEOWNERS' RELIEF FUND

## Program and Financing (in millions of dollars)

Identification code 086-0407-0-1-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	232	251	274
1021 Recoveries of prior year unpaid obligations .....	19	23	23
1050 Unobligated balance (total) .....	251	274	297
1930 Total budgetary resources available .....	251	274	297
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	251	274	297
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	103	80	53
3020 Outlays (gross) .....	-4	-4	-4
3040 Recoveries of prior year unpaid obligations, unexpired .....	-19	-23	-23
3050 Unpaid obligations, end of year .....	80	53	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	103	80	53
3200 Obligated balance, end of year .....	80	53	26
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	4	4	4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	4	4	4

The Emergency Homeowners' Loan Program (EHLPL) provided emergency mortgage assistance to homeowners who were unemployed or underemployed due to economic or medical conditions. The program became effective October 1, 2010 and, per statute, stopped accepting applications on September 30, 2011. This account reflects no new obligations but displays the liquidation of prior year obligations.

EMERGENCY HOMEOWNERS' RELIEF FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4357-0-3-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	17	32	33
1021	15		
1023	-1		
1050	31	32	33
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	1	1	1
1930	32	33	34
Memorandum (non-add) entries:			
1941	32	33	34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	16	1	
3020		-1	
3040	-15		
3050	1		
Uncollected payments:			
3060	-31	-31	-31
3090	-31	-31	-31
Memorandum (non-add) entries:			
3100	-15	-30	-31
3200	-30	-31	-31
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	1	1	1
Financing disbursements:			
4110		1	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123	-1	-1	-1
4180			
4190	-1		-1

Status of Direct Loans (in millions of dollars)

Identification code 086-4357-0-3-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210	82	50	49
1251	-1	-1	-1
1264	-31		
1290	50	49	48

Balance Sheet (in millions of dollars)

Identification code 086-4357-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	2	2
Net value of assets related to post-1991 direct loans receivable:		
1401	82	50
1405	-81	-50
1499	1	
1999	3	2
<b>LIABILITIES:</b>		
2103	3	2
4999	3	2

OTHER ASSISTED HOUSING PROGRAMS

RENTAL HOUSING ASSISTANCE

For amendments to contracts under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s) and section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1) in State-aided, noninsured rental housing projects, **[\$30,000,000]** \$20,000,000, to remain available until expended: *Provided*, That such amount, together with unobligated balances from recaptured amounts appropri-

ated prior to fiscal year 2006 from terminated contracts under such sections of law, and any unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading after fiscal year 2005, shall also be available for extensions of up to one year for expiring contracts under such sections of law. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 086-0206-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	11	12	4
0002	17	27	24
0900	28	39	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	47	67	28
1010		-9	
1021	33		
1029	-4		
1050	76	58	28
Budget authority:			
Appropriations, discretionary:			
1100	18	30	20
1100		21	
1120		-21	
1131		-21	
1160	18	9	20
Appropriations, mandatory:			
1200	185		
1238	-185		
Spending authority from offsetting collections, discretionary:			
1700	1		
1900	19	9	20
1930	95	67	48
Memorandum (non-add) entries:			
1941	67	28	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	1,224	959	789
3010	28	39	28
3020	-260	-209	-187
3040	-33		
3050	959	789	630
Memorandum (non-add) entries:			
3100	1,224	959	789
3200	959	789	630
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	19	9	20
Outlays, gross:			
4010	3		12
4011	257	209	175
4020	260	209	187
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	-1		
4180	18	9	20
4190	259	209	187
<b>Memorandum (non-add) entries:</b>			
5052	185		

The Other Assisted Housing account contains the programs listed below:  
*Rent Supplement.*—Rent Supplement assistance payments will continue to be made on behalf of qualified low-income tenants in assisted units that have not converted to Section 8.

*Section 235.*—The Housing and Urban-Rural Recovery Act of 1983 (Public Law 98-181) authorized a restructured Section 235 (Homeownership Assistance) program that provided homeowners a ten-year interest reduction subsidy on their mortgages.

OTHER ASSISTED HOUSING PROGRAMS—Continued

**Section 236.**—The Housing and Urban Development Act of 1968, as amended, authorizes the Section 236 Rental Housing Assistance Program, which subsidizes the monthly mortgage payment that an owner of a rental or cooperative project is required to make. This interest subsidy reduces rents for lower income tenants. Some Section 236 properties also have rental assistance contracts with HUD through the Rental Assistance Payment (RAP) program.

As an increasing number of Rent Supplement and RAP rental assistance contracts reach the ends of their terms, HUD is taking steps to preserve this affordable housing stock. The Rental Assistance Demonstration (RAD) enables owners of properties with expiring Rent Supplement or RAP contracts to convert their assistance to long-term, project-based Section 8 contracts. More information on this Demonstration is available under the RAD heading.

HOMEOWNERSHIP AND OPPORTUNITY FOR PEOPLE EVERYWHERE GRANTS (HOPE GRANTS)

**Program and Financing** (in millions of dollars)

Identification code 086-0196-0-1-604	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Homeownership and Opportunity for People Everywhere Program, funded from 1992–1995, provided affordable homeownership opportunities for low-income families. Units were converted to homeownership from public and Indian housing properties in HOPE I, from FHA-insured and Government-held multifamily properties in HOPE II, and from Government-owned or -held single family properties in HOPE III. HOPE Grants were used for property acquisition, rehabilitation, mortgage subsidies, security measures, and technical assistance. In addition, grants have been devoted to counseling and training of residents, and other activities intended to help them become economically self-sufficient homeowners.

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING, RECOVERY ACT

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 086-0306-0-1-604	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Energy Retrofit Loans .....		-13	

The Green Retrofit Program (GRP) offered grants and loans to owners of eligible HUD-assisted multifamily housing properties to fund green retrofits, which are intended to reduce ongoing utility consumption, benefit resident health, and benefit the environment. This program was funded under Title XII of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and the authority to make new awards has expired. All loan cash flows are recorded in the corresponding financing account (86-4589).

RENTAL HOUSING ASSISTANCE FUND

**Program and Financing** (in millions of dollars)

Identification code 086-4041-0-3-604	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	7	9

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	2
1930 Total budgetary resources available .....	7	9	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	9	11

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-2	-2	-2

As authorized by the Housing and Urban Development Act of 1968, this account collects funds which are in excess of the established basic rents for units in Section 236 subsidized projects. Funds in this account remain available to pay refunds of excess rental charges.

FLEXIBLE SUBSIDY FUND

**Program and Financing** (in millions of dollars)

Identification code 086-4044-0-3-604	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	336	378	420
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	42	42	42
1930 Total budgetary resources available .....	378	420	462
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	378	420	462
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42	42	42
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-42	-42	-42
4040 Offsets against gross budget authority and outlays (total) ....	-42	-42	-42
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-42	-42	-42
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	2	2	2
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	2

**Status of Direct Loans** (in millions of dollars)

Identification code 086-4044-0-3-604	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	476	428	399
1251 Repayments: Repayments and prepayments .....	-28	-29	-29
1264 Write-offs for default: Other adjustments: to reflect actual outstanding balance of loans, net (+ or -) .....	-20		
1290 Outstanding, end of year .....	428	399	370

The Flexible Subsidy Fund assisted financially troubled subsidized projects under certain Federal Housing Administration (FHA) authorities. The subsidies were intended to prevent potential losses to the FHA fund resulting from project insolvency and to preserve these projects as a viable source of housing for low and moderate-income tenants. Priority was given to projects with Federal insurance-in-force and then to those with mortgages that had been assigned to the Department of Housing and Urban Development.

**Balance Sheet** (in millions of dollars)

Identification code 086-4044-0-3-604	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	338	380
1601 Direct loans, gross .....	451	428

1602	Interest receivable .....	88	79
1603	Allowance for estimated uncollectible loans and interest (-) .....	-39	-46
1699	Value of assets related to direct loans .....	500	461
1999	Total assets .....	838	841
<b>NET POSITION:</b>			
3100	Unexpended appropriations .....	338	380
3300	Cumulative results of operations .....	500	461
3999	Total net position .....	838	841
4999	Total liabilities and net position .....	838	841

4130	Offsets against gross budget authority and outlays (total) ....	-2	-3	-3
4170	Outlays, net (mandatory) .....	2	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	2	1	1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4353-0-3-371	2015 actual	2016 est.	2017 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2143	Uncommitted limitation carried forward .....			
2150	Total guaranteed loan commitments .....			
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	104	101	98
2251	Repayments and prepayments .....			
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....			
2262	Terminations for default that result in acquisition of property .....	-3	-3	-3
2263	Terminations for default that result in claim payments .....			
2290	Outstanding, end of year .....	101	98	95
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	100	98	95
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....		2	2
2331	Disbursements for guaranteed loan claims .....	2		
2390	Outstanding, end of year .....	2	2	2

**HOME OWNERSHIP PRESERVATION EQUITY FUND PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-0343-0-1-371	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	461	461	6
1023	Unobligated balances applied to repay debt .....		-455	
1050	Unobligated balance (total) .....	461	6	6
1930	Total budgetary resources available .....	461	6	6
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	461	6	6
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The HOPE for Homeowners program was created by the Housing and Economic Recovery Act of 2008 to help homeowners at risk of default and foreclosure refinance into affordable, sustainable loans. Under the Program, eligible homeowners refinanced their current mortgage loans into a new mortgage insured by FHA. The program ended on September 30, 2011. This account now only reflects the liquidation of prior year obligations. In 2016, excess HOPE Bond proceeds in the amount of \$455 million will be transferred to the HOPE Reserve Fund, and used to retire the HOPE Bonds. Remaining HOPE Bond activity is shown in the HOPE Reserve Fund.

**HOME OWNERSHIP PRESERVATION ENTITY FUND FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-4353-0-3-371	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0711	Default claim payments on principal .....	3	3	3
0712	Default claim payments on interest .....	1	1	1
0900	Total new obligations .....	4	4	4
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	17	15	14
<b>Financing authority:</b>				
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	2	3	3
1930	Total budgetary resources available .....	19	18	17
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	15	14	13
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3010	Obligations incurred, unexpired accounts .....	4	4	4
3020	Outlays (gross) .....	-4	-4	-4
<b>Financing authority and disbursements, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	2	3	3
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	4	4	4
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4123	Premiums .....	-2	-2	-2
4123	Recoveries on defaults .....		-1	-1

**Balance Sheet** (in millions of dollars)

Identification code 086-4353-0-3-371	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	20	16
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>			
1501	Defaulted guaranteed loans receivable, gross .....		2
1504	Foreclosed property .....	1	7
1505	Allowance for subsidy cost (-) .....	-1	-2
1599	Net present value of assets related to defaulted guaranteed loans .....		7
1999	Total assets .....	20	23
<b>LIABILITIES:</b>			
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	20	23
4999	Total liabilities and net position .....	20	23

**MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT**

New commitments to guarantee single family loans insured under the Mutual Mortgage Insurance Fund shall not exceed \$400,000,000,000, to remain available until September 30, [2017] 2018: *Provided*, That during fiscal year [2016] 2017, obligations to make direct loans to carry out the purposes of section 204(g) of the National Housing Act, as amended, shall not exceed \$5,000,000: *Provided further*, That the foregoing amount in the previous proviso shall be for loans to nonprofit and governmental entities in connection with sales of single family real properties owned by the Secretary and formerly insured under the Mutual Mortgage Insurance Fund: *Provided further*, That for administrative contract expenses of the Federal Housing Administration, [ \$130,000,000 ] \$160,000,000, to remain available until September 30, [2017] 2018: *of which up to \$30,000,000 may be used for necessary salaries and expenses and information technology systems of the Federal Housing Administration, which is in addition to amounts otherwise provided under this title for such salaries and expenses and information technology purposes: Provided further*, That any amounts to be used for such salaries and expenses pursuant to the previous proviso shall be transferred to the "Housing" account under the heading "Program Office Salaries and Expenses" under this title for such purposes and shall remain available until September 30, 2018, and any amounts to be used for such information technology purposes pursuant to the previous proviso shall be transferred to the Information Technology Fund under this title for such purposes and shall remain available until September 30, 2018, and any such transferred amounts may

MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT—Continued

be transferred back to this account and shall remain available until September 30, 2018: Provided further, That to the extent guaranteed loan commitments exceed \$200,000,000,000 on or before April 1, [2016] 2017, an additional \$1,400 for administrative contract expenses shall be available for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$30,000,000: Provided further, That receipts from administrative support fees collected pursuant to section 202 of the National Housing Act, as amended by section 238 of this title, shall be credited as offsetting collections to this account. (Department of Housing and Urban Development Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 086-0183-0-1-371	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0707	Reestimates of loan guarantee subsidy .....	11,789	3,355	.....
0708	Interest on reestimates of loan guarantee subsidy .....	1,103	153	.....
0709	Administrative expenses .....	129	138	151
0900	Total new obligations .....	13,021	3,646	151
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	43	45	42
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	43	45	.....
1011	Unobligated balance transfer from other acct [086-0236] .....	7,337	3,508	.....
1021	Recoveries of prior year unpaid obligations .....	4	5	5
1050	Unobligated balance (total) .....	7,384	3,558	47
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation - Administrative Expenses .....	130	130	160
1120	Appropriations transferred to other accts [086-0108] .....	.....	.....	-1
1160	Appropriation, discretionary (total) .....	130	130	159
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	.....	.....	30
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	.....	.....	-30
Spending authority from offsetting collections, mandatory:				
1811	Spending authority from offsetting collections transferred from other accounts [086-0236] .....	5,554	.....	.....
1900	Budget authority (total) .....	5,684	130	159
1930	Total budgetary resources available .....	13,068	3,688	206
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2	.....	.....
1941	Unexpired unobligated balance, end of year .....	45	42	55
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	146	133	162
3010	Obligations incurred, unexpired accounts .....	13,021	3,646	151
3020	Outlays (gross) .....	-13,010	-3,612	-113
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	-5	-5
3041	Recoveries of prior year unpaid obligations, expired .....	-20	.....	.....
3050	Unpaid obligations, end of year .....	133	162	195
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	146	133	162
3200	Obligated balance, end of year .....	133	162	195
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	130	130	159
Outlays, gross:				
4010	Outlays from new discretionary authority .....	27	13	16
4011	Outlays from discretionary balances .....	92	91	97
4020	Outlays, gross (total) .....	119	104	113
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	.....	.....	-30
Mandatory:				
4090	Budget authority, gross .....	5,554	.....	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	5,554	.....	.....
4101	Outlays from mandatory balances .....	7,337	3,508	.....
4110	Outlays, gross (total) .....	12,891	3,508	.....
4180	Budget authority, net (total) .....	5,684	130	129
4190	Outlays, net (total) .....	13,010	3,612	83

Memorandum (non-add) entries:

5092	Unexpired unavailable balance, EOY: Offsetting collections .....	.....	.....	.....	30
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Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0183-0-1-371	2015 actual	2016 est.	2017 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	MMI Fund, Direct loans .....	5	5	5
Direct loan subsidy (in percent):				
132001	MMI Fund, Direct loans .....	0.00	0.00	0.00
Guaranteed loan levels supportable by subsidy budget authority:				
215002	MMI Fund .....	212,961	209,000	204,000
215004	MMI HECM .....	15,988	15,138	18,469
215005	MMI Refi .....	194	300	200
215999	Total loan guarantee levels .....	229,143	224,438	222,669
Guaranteed loan subsidy (in percent):				
232002	MMI Fund .....	-6.11	-3.70	-4.42
232004	MMI HECM .....	-4.00	-6.69	-3.33
232005	MMI Refi .....	0.00	0.00	0.00
232999	Weighted average subsidy rate .....	-5.71	-3.49	-4.08
Guaranteed loan subsidy budget authority:				
233002	MMI Fund .....	-13,021	-7,733	-9,017
233004	MMI HECM .....	-64	-104	-61
233999	Total subsidy budget authority .....	-13,085	-7,837	-9,078
Guaranteed loan subsidy outlays:				
234002	MMI Fund .....	-13,021	-7,733	-9,017
234004	MMI HECM .....	-64	-104	-61
234999	Total subsidy outlays .....	-13,085	-7,837	-9,078
Guaranteed loan reestimates:				
235002	MMI Fund .....	3,665	-6,617	.....
235004	MMI HECM .....	790	-5,336	.....
235999	Total guaranteed loan reestimates .....	4,455	-11,953	.....
Administrative expense data:				
3510	Budget authority .....	130	174	160
3580	Outlays from balances .....	92	91	96
3590	Outlays from new authority .....	27	13	16

The Federal Housing Administration (FHA) provides mortgage insurance to encourage lenders to make credit available to borrowers for whom the conventional market does not adequately serve. These include first-time homebuyers, minorities, lower-income families, and residents of underserved areas (central cities and rural areas). In recent years, FHA has also provided broad access to credit as conventional financing became scarce.

In 2017, the Budget requests a limitation of \$400 billion on loan guarantees for the Mutual Mortgage Insurance (MMI) Fund. The Budget projects insurance of \$204 billion in single family forward mortgages and \$18.5 billion in Home Equity Conversion Mortgages (HECMs) with additional commitment authority available in case these amounts are exceeded during execution.

The Budget requests an appropriation of \$160 million in administrative expenses, which will allow FHA to implement improved risk management and program support processes which are critical for FHA's oversight of its insured portfolio. The Budget also requests authority to charge lenders an administrative support fee on a prospective basis with a sunset expiration date, which would generate an estimated \$30 million in offsetting collections in this account. These additional resources will fund enhancements to administrative contract support and information technology, with a focus on enhanced risk management tools to reduce losses to the FHA insurance fund. The Budget allows for a transfer of up to \$30 million from this account to the Office of Housing Salaries and Expenses account and the Information Technology Fund. Any funds transferred will be used for FHA salaries and expenses and information technology purposes and any unobligated balances will be transferred back to the MMI Program account.

FHA has made multiple policy changes to strengthen the MMI Fund and now requires manual underwriting for loans with credit scores below 620 and debt-to-income ratios greater than 43 percent to ensure that such borrowers possess compensating factors that accord with FHA underwriting guidelines. To improve access to credit without negatively impacting the

upward trajectory of the MMI Capital Reserve Fund, FHA implemented a 0.5 percentage point reduction in the annual insurance premium in January 2015.

HUD is pursuing comprehensive legislative changes to give FHA the tools it needs to build upon the many administrative steps it has taken since 2009 to improve FHA single family programs. These proposals will allow FHA to enhance enforcement, create certainty for FHA approved lenders, and increase loss mitigation opportunities for borrowers with FHA approved loans. In total, these steps will reduce losses to the MMI Fund.

*Enhanced Indemnification Authority to Obtain Indemnification for Direct Endorsement Lenders.*—To originate FHA insured loans, lenders must be approved by FHA to be either a Lender Insurance or a Direct Endorsement Lender. FHA can only seek indemnification from lenders with Lender Insurance approval. HUD seeks authority that would provide the ability to treat both classes of FHA approved lenders equally with respect to non-compliant loans.

*Authority to Terminate Origination and Underwriting Approval.*—HUD continues to seek authority to terminate lender approval on a broader geographic basis for institutions with default rates significantly higher than their peers.

*Directed Sub-Servicing.*—HUD seeks authority enabling FHA to, on a case by case basis, require third party servicing of loans by institutions better equipped to reduce losses to the fund and assist borrowers.

*Revise FHA's Compare Ratio.*—In an effort to provide greater clarity and certainty to lenders while enabling FHA to more effectively minimize poor lender performance and resulting losses, HUD seeks legislative authority to revise the calculation for the Compare Ratio to better reflect the modern lending environment.

Object Classification (in millions of dollars)				
Identification code 086-0183-0-1-371	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2 Other services from non-Federal sources .....	129	138	151	
41.0 Grants, subsidies, and contributions .....	11,789	3,355		
43.0 Interest and dividends .....	1,103	153		
99.9 Total new obligations .....	13,021	3,646	151	

FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4242-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Claims & other .....		1	1
Credit program obligations:			
0710 Direct loan obligations .....		5	5
0713 Payment of interest to Treasury .....		1	1
0791 Direct program activities, subtotal .....		6	6
0900 Total new obligations .....		7	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
1050 Unobligated balance (total) .....	6	6	6
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		5	5
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		2	2
1900 Budget authority (total) .....		7	7
1930 Total budgetary resources available .....	6	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 Obligations incurred, unexpired accounts .....		7	7

3020 Outlays (gross) .....	-5	-5
3050 Unpaid obligations, end of year .....	2	4
Memorandum (non-add) entries:		
3100 Obligated balance, start of year .....		2
3200 Obligated balance, end of year .....	2	4
<b>Financing authority and disbursements, net:</b>		
Mandatory:		
4090 Budget authority, gross .....	7	7
Financing disbursements:		
4110 Outlays, gross (total) .....	5	5
Offsets against gross financing authority and disbursements:		
Offsetting collections (collected) from:		
4123 Repayment of Principal .....	-1	-1
4123 Repayment of interest .....	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-2	-2
4160 Budget authority, net (mandatory) .....	5	5
4170 Outlays, net (mandatory) .....	3	3
4180 Budget authority, net (total) .....	5	5
4190 Outlays, net (total) .....	3	3

Status of Direct Loans (in millions of dollars)

Identification code 086-4242-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	20	5	5
1142 Unobligated direct loan limitation (-) .....	-20		
1150 Total direct loan obligations .....		5	5
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements .....		1	1
1251 Repayments: Repayments and prepayments .....		-1	-1

Balance Sheet (in millions of dollars)

Identification code 086-4242-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	6
1405 Net value of assets related to post-1991 direct loans receivable:		
Allowance for subsidy cost (-) .....	-6	-3
1999 Total assets .....		3
<b>LIABILITIES:</b>		
2103 Federal liabilities: Federal Liabilities - Debt .....		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....		3
2999 Total liabilities .....		3
4999 Total liabilities and net position .....		3

FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4587-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Other capital investment & operating expenses .....	3,434	3,524	7,270
Credit program obligations:			
0711 Default claim payments on principal .....	16,491	17,817	17,810
0712 Default claim payments on interest .....	283	306	244
0713 Payment of interest to Treasury .....	937	700	700
0740 Negative subsidy obligations .....	13,085	7,837	9,078
0742 Downward reestimate paid to receipt account .....	5,638	12,449	
0743 Interest on downward reestimates .....	2,797	3,011	
0791 Direct program activities, subtotal .....	39,231	42,120	27,832
0900 Total new obligations .....	42,665	45,644	35,102
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37,072	27,598	11,858
1021 Recoveries of prior year unpaid obligations .....	333	310	368
1050 Unobligated balance (total) .....	37,405	27,908	12,226
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	10,003	8,600	8,600

FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 086-4587-0-3-371	2015 actual	2016 est.	2017 est.
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections .....	34,373	23,094	30,176
1825 Spending authority from offsetting collections applied to repay debt .....	-11,518	-2,100	-2,100
1850 Spending auth from offsetting collections, mand (total) .....	22,855	20,994	28,076
1900 Budget authority (total) .....	32,858	29,594	36,676
1930 Total budgetary resources available .....	70,263	57,502	48,902
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	27,598	11,858	13,800
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,806	2,041	8,896
3010 Obligations incurred, unexpired accounts .....	42,665	45,644	35,102
3020 Outlays (gross) .....	-42,097	-38,479	-34,640
3040 Recoveries of prior year unpaid obligations, unexpired .....	-333	-310	-368
3050 Unpaid obligations, end of year .....	2,041	8,896	8,990
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,806	2,041	8,896
3200 Obligated balance, end of year .....	2,041	8,896	8,990
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	32,858	29,594	36,676
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	42,097	38,479	34,640
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Transfer of Reestimates from reserves in Capital Reserve account .....	-12,891	-3,508	.....
4122 Interest on uninvested funds .....	-1,372	-1,500	-1,500
4123 Fees and premiums .....	-12,592	-11,601	-12,819
4123 Recoveries on defaults .....	-7,518	-6,485	-15,857
4130 Offsets against gross budget authority and outlays (total) ...	-34,373	-23,094	-30,176
4160 Budget authority, net (mandatory) .....	-1,515	6,500	6,500
4170 Outlays, net (mandatory) .....	7,724	15,385	4,464
4180 Budget authority, net (total) .....	-1,515	6,500	6,500
4190 Outlays, net (total) .....	7,724	15,385	4,464

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4587-0-3-371	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority .....	400,000	400,000	400,000
2142 Uncommitted loan guarantee limitation .....	-170,857	-175,562	-177,331
2150 Total guaranteed loan commitments .....	229,143	224,438	222,669
2199 Guaranteed amount of guaranteed loan commitments .....	229,143	224,438	222,669
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	1,130,573	1,121,985	1,206,650
2231 Disbursements of new guaranteed loans .....	229,143	224,438	222,669
2251 Repayments and prepayments .....	-221,239	-116,953	-88,068
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receivable .....	-7,064	-6,600	-5,626
2262 Terminations for default that result in acquisition of property .....	-8,437	-11,566	-10,127
2263 Terminations for default that result in claim payments .....	-991	-4,654	-3,969
2264 Other adjustments, net .....	.....	.....	.....
2290 Outstanding, end of year .....	1,121,985	1,206,650	1,321,529
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,121,985	1,206,650	1,321,529

**Addendum:**

Identification code 086-4587-0-3-371	2015 actual	2016 est.	2017 est.
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year .....	6,240	10,983	14,342
2331 Disbursements for guaranteed loan claims .....	7,064	7,926	7,989
2351 Repayments of loans receivable .....	-2,169	-3,110	-3,389
2361 Write-offs of loans receivable .....	-152	-1,457	-803
2364 Other adjustments, net .....	.....	.....	.....

2390 Outstanding, end of year .....	10,983	14,342	18,139
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**Balance Sheet** (in millions of dollars)

Identification code 086-4587-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	38,877	29,638
<b>Investments in US securities:</b>		
1106 Receivables, net .....	9,714	7,436
1206 Non-Federal assets: Receivables, net .....	1,428	370
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>		
1501 Defaulted guaranteed loans receivable, gross .....	6,240	10,983
1502 Interest receivable .....	371	991
1504 Foreclosed property .....	2,442	3,140
1505 Allowance for subsidy cost .....	-4,792	-8,060
1599 Net value of assets related to defaulted guaranteed loan .....	4,261	7,054
1901 Other Federal assets: Other assets .....	2	.....
1999 Total assets .....	54,282	44,498
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2101 Accounts payable .....	3	1
2103 Federal liabilities, Debt .....	23,048	21,533
2105 Other .....	6,198	11,892
<b>Non-Federal liabilities:</b>		
2201 Accounts payable .....	115	242
2204 Liabilities for loan guarantees .....	24,625	10,414
2207 Other .....	293	416
2999 Total liabilities .....	54,282	44,498
4999 Total liabilities and net position .....	54,282	44,498

FHA-MUTUAL MORTGAGE INSURANCE CAPITAL RESERVE ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-0236-0-1-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7,337	15,963	36,039
1010 Unobligated balance transfer to other accts [086-0183] .....	-7,337	-3,508	.....
1010 Unobligated balance transfer to other accts [086-4070] .....	.....	-10	.....
1050 Unobligated balance (total) .....	.....	12,445	36,039
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections (negative subsidy) .....	13,085	7,837	9,078
1800 Offsetting collections (interest on investments) .....	-10	297	732
1800 Offsetting collections (downward reestimate) .....	8,436	15,460	.....
1801 Change in uncollected payments, Federal sources .....	6	.....	.....
1810 Spending authority from offsetting collections transferred to other accounts [086-0183] .....	-5,554	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	15,963	23,594	9,810
1930 Total budgetary resources available .....	15,963	36,039	45,849
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	15,963	36,039	45,849
<b>Change in obligated balance:</b>			
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-8	-14	-14
3200 Obligated balance, end of year .....	-14	-14	-14

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-13,085	-7,837	-9,078
<b>Mandatory:</b>			
4090 Budget authority, gross .....	15,963	23,594	9,810
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal Sources: Downward Re-estimate .....	-8,436	-15,460	.....
4121 Interest on Federal securities .....	10	-297	-732

4130	Offsets against gross budget authority and outlays (total) ....	-8,426	-15,757	-732
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-6		
4160	Budget authority, net (mandatory) .....	7,531	7,837	9,078
4170	Outlays, net (mandatory) .....	-8,426	-15,757	-732
4180	Budget authority, net (total) .....	-5,554		
4190	Outlays, net (total) .....	-21,511	-23,594	-9,810

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	6,379	14,733	34,742
5001	Total investments, EOY: Federal securities: Par value .....	14,733	34,742	44,858

The Capital Reserve account is the ultimate depository for all net budgetary resources collected by the Mutual Mortgage Insurance (MMI) Fund programs. Negative credit subsidy receipts from new loan guarantees and downward re-estimates as well as interest earnings on Treasury investments are recorded in this account. This account has no authority to obligate funds but transfers balances of budget authority as necessary for the cost of upward credit subsidy re-estimates to the MMI Program Account.

**Balance Sheet** (in millions of dollars)

Identification code 086-0236-0-1-371	2014 actual	2015 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	958	1,252
Investments in US securities:			
1102	Treasury securities, net .....	6,379	14,754
1106	Receivables, net .....	6,198	11,892
1999	Total assets .....	13,535	27,898
<b>LIABILITIES:</b>			
2101	Federal liabilities: Accounts payable .....	9,713	7,436
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	3,822	20,462
4999	Total liabilities and net position .....	13,535	27,898

FHA-MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-4070-0-3-371	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0103	Acquisition of real properties .....	14	14	14
0191	Total capital investment .....	14	14	14
0202	Other Operation expenses .....	10	11	11
0900	Total new obligations .....	24	25	25
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	16	10	10
1011	Unobligated balance transfer from other acct [086-0236] ....		10	
1021	Recoveries of prior year unpaid obligations .....	9	9	11
1050	Unobligated balance (total) .....	25	29	21
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	9	6	4
1930	Total budgetary resources available .....	34	35	25
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	10	10	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	145	145	132
3010	Obligations incurred, unexpired accounts .....	24	25	25
3020	Outlays (gross) .....	-15	-29	-26
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	-9	-11
3050	Unpaid obligations, end of year .....	145	132	120
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	145	145	132
3200	Obligated balance, end of year .....	145	132	120

**Budget authority and outlays, net:**

Identification code	2015 actual	2016 est.	2017 est.	
<b>Mandatory:</b>				
4090	Budget authority, gross .....	9	6	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	9	6	4
4101	Outlays from mandatory balances .....	6	23	22
4110	Outlays, gross (total) .....	15	29	26
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - Fees & Premiums .....	-9	-6	-4
4180	Budget authority, net (total) .....	6	23	22
4190	Outlays, net (total) .....	6	23	22

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4070-0-3-371	2015 actual	2016 est.	2017 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	1,648	1,056	391
2251	Repayments and prepayments .....	-578	-660	-391
2262	Adjustments: Terminations for default that result in acquisition of property .....	-14	-5	
2290	Outstanding, end of year .....	1,056	391	
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,056	391	
<b>Addendum:</b>				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	21	22	23
2331	Disbursements for guaranteed loan claims .....	1	1	
2351	Repayments of loans receivable .....			
2361	Write-offs of loans receivable .....			
2390	Outstanding, end of year .....	22	23	23

**Financial condition.**—The following tables reflect assets, liabilities, and equity of MMI/CMHI liquidating funds as of September 30, 2015.

**Balance Sheet** (in millions of dollars)

Identification code 086-4070-0-3-371	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	161	155
1206	Non-Federal assets: Receivables, net .....	3	5
1701	Defaulted guaranteed loans, gross .....	21	22
1703	Allowance for estimated uncollectible loans and interest (-) .....	-3	-3
1704	Defaulted guaranteed loans and interest receivable, net .....	18	19
1706	Foreclosed property .....	5	3
1799	Value of assets related to loan guarantees .....	23	22
1901	Other Federal assets: Other assets .....	1	1
1999	Total assets .....	188	183
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2201	Accounts payable .....	146	146
2204	Liabilities for loan guarantees .....	8	6
2207	Unearned revenue and advances, and other .....	15	17
2999	Total liabilities .....	169	169
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	19	14
4999	Total liabilities and net position .....	188	183

**Object Classification** (in millions of dollars)

Identification code 086-4070-0-3-371	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	10	11	11
32.0	Land and structures .....	14	14	14
99.9	Total new obligations .....	24	25	25

GENERAL AND SPECIAL RISK PROGRAM ACCOUNT

New commitments to guarantee loans insured under the General and Special Risk Insurance Funds, as authorized by sections 238 and 519 of the National Housing Act (12 U.S.C. 1715z-3 and 1735c), shall not exceed \$30,000,000,000 in total loan principal, any part of which is to be guaranteed, to remain available until September 30, [2017] 2018: *Provided*, That during fiscal year [2016] 2017, gross obligations for the principal amount of direct loans, as authorized by sections 204(g), 207(l), 238, and 519(a) of the National Housing Act, shall not exceed \$5,000,000, which shall be for loans to nonprofit and governmental entities in connection with the sale of single family real properties owned by the Secretary and formerly insured under such Act. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 086-0200-0-1-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy .....	1,224	2,157	.....
0708 Interest on reestimates of loan guarantee subsidy .....	857	1,125	.....
0900 Total new obligations (object class 41.0) .....	2,081	3,282	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	6	.....
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-10	-6	.....
Appropriations, mandatory:			
1200 Appropriation .....	2,080	3,282	.....
1900 Budget authority (total) .....	2,070	3,276	.....
1930 Total budgetary resources available .....	2,087	3,282	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	2,081	3,282	.....
3020 Outlays (gross) .....	-2,080	-3,282	.....
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-10	-6	.....
Mandatory:			
4090 Budget authority, gross .....	2,080	3,282	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,080	3,282	.....
4180 Budget authority, net (total) .....	2,070	3,276	.....
4190 Outlays, net (total) .....	2,080	3,282	.....

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0200-0-1-371	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 FFB Risk Sharing .....	106	250	350
115999 Total direct loan levels .....	106	250	350
Direct loan subsidy (in percent):			
132002 FFB Risk Sharing .....	-10.83	-10.91	-11.19
132999 Weighted average subsidy rate .....	-10.83	-10.91	-11.19
Direct loan subsidy budget authority:			
133002 FFB Risk Sharing .....	-11	-27	-39
133999 Total subsidy budget authority .....	-11	-27	-39
Direct loan subsidy outlays:			
134002 FFB Risk Sharing .....	-8	-22	-34
134999 Total subsidy outlays .....	-8	-22	-34
Direct loan reestimates:			
135002 FFB Risk Sharing .....	.....	-5	.....
135999 Total direct loan reestimates .....	.....	-5	.....

Guaranteed loan levels supportable by subsidy budget authority:			
215001 Apartment New Construction / Substantial Rehab .....	2,333	2,460	2,630
215003 Tax Credits .....	2,247	2,360	2,525
215005 Apartment Refinances .....	5,531	4,980	4,830
215008 Housing Finance Agency Risk Sharing .....	100	105	110
215009 Qualified Participating Entity Risk Sharing .....	4	30	55
215010 Residential Care Facilities .....	325	325	325
215011 Residential Care Facility Refinances .....	2,445	2,440	2,440
215012 Hospitals .....	160	665	300
215013 Other Rental .....	67	70	75
215017 Title 1 Property Improvement .....	90	90	90
215018 Title 1 Manufactured Housing .....	32	30	30
215999 Total loan guarantee levels .....	13,334	13,555	13,410
Guaranteed loan subsidy (in percent):			
232001 Apartment New Construction / Substantial Rehab .....	-3.65	-2.56	-2.76
232003 Tax Credits .....	-3.19	-1.27	-1.14
232005 Apartment Refinances .....	-4.69	-4.14	-3.89
232008 Housing Finance Agency Risk Sharing .....	-2.67	-.93	-1.05
232009 Qualified Participating Entity Risk Sharing .....	-.89	-1.13	-.31
232010 Residential Care Facilities .....	-4.23	-3.43	-5.85
232011 Residential Care Facility Refinances .....	-4.33	-4.23	-5.14
232012 Hospitals .....	-4.45	-3.22	-5.52
232013 Other Rental .....	-3.39	-1.17	-3.45
232017 Title 1 Property Improvement .....	-.76	-.84	-1.07
232018 Title 1 Manufactured Housing .....	-2.13	-4.20	-3.78
232999 Weighted average subsidy rate .....	-4.12	-3.24	-3.40
Guaranteed loan subsidy budget authority:			
233001 Apartment New Construction / Substantial Rehab .....	-85	-63	-73
233003 Tax Credits .....	-72	-30	-29
233005 Apartment Refinances .....	-259	-206	-188
233008 Housing Finance Agency Risk Sharing .....	-3	-1	-1
233010 Residential Care Facilities .....	-14	-11	-19
233011 Residential Care Facility Refinances .....	-106	-103	-125
233012 Hospitals .....	-7	-21	-17
233013 Other Rental .....	-2	-1	-3
233017 Title 1 Property Improvement .....	-1	-1	-1
233018 Title 1 Manufactured Housing .....	-1	-1	-1
233999 Total subsidy budget authority .....	-550	-438	-457
Guaranteed loan subsidy outlays:			
234001 Apartment New Construction / Substantial Rehab .....	-74	-75	-67
234003 Tax Credits .....	-51	-58	-28
234005 Apartment Refinances .....	-259	-226	-183
234008 Housing Finance Agency Risk Sharing .....	.....	-3	-1
234010 Residential Care Facilities .....	-8	-17	-16
234011 Residential Care Facility Refinances .....	-118	-108	-114
234012 Hospitals .....	.....	-19	-17
234013 Other Rental .....	-1	-2	-2
234017 Title 1 Property Improvement .....	-1	-1	-1
234018 Title 1 Manufactured Housing .....	-1	-1	-1
234999 Total subsidy outlays .....	-513	-510	-430
Guaranteed loan reestimates:			
235023 GI/SRI Reestimates .....	-196	1,819	.....
235999 Total guaranteed loan reestimates .....	-196	1,819	.....

FHA's General Insurance and Special Risk Insurance (GI/SRI) programs provide mortgage insurance for a variety of purposes, including financing for the development and rehabilitation of multifamily housing, residential care facilities, and hospitals. The Budget requests a limitation of \$30 billion on loan guarantees for the GI/SRI Fund. It does not request an appropriation of new credit subsidy funds.

In 2016, FHA will reduce upfront and annual premiums for affordable and energy efficient rental housing. For loans insured under FHA's three signature new construction/substantial rehabilitation and refinance programs, the annual premium will be reduced by a range of 10 to 40 basis points. These targeted reductions will: 1) support the production and preservation of affordable rental housing; 2) incent energy efficiency improvements in both affordable and market rate housing; and 3) improve housing choice for low-income families by tying certain premium reductions to landlord acceptance of Federal rental vouchers.

FHA is also continuing its partnership with the Federal Financing Bank (FFB) to provide FFB financing for multifamily loans guaranteed by FHA under the Section 542(b) Qualified Participating Entity and Section 542(c) Housing Finance Agency (HFA) risk sharing programs. FFB financing is designed to reduce the cost of funds supporting affordable rental housing, thereby increasing levels of rehabilitation or offsetting the need for gap financing. FFB financing is available on an interim basis until the Congress

approves the proposal to permit Ginnie Mae securitization of such mortgages, which is included in a general provision at the end of this budget chapter. These FFB-financed guaranteed loans are treated as direct loans in the Budget.

FHA-GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4077-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Other capital investments and operating expenses	70	70	70
0014 Contract Costs	60	60	60
0091 Direct program activities, subtotal	130	130	130
Credit program obligations:			
0711 Default claim payments on principal	3,316	5,167	5,167
0712 Default claim payments on interest	363	568	525
0713 Payment of interest to Treasury	250	250	250
0740 Negative subsidy obligations	549	438	457
0742 Downward reestimate paid to receipt account	1,680	957	
0743 Interest on downward reestimates	595	506	
0791 Direct program activities, subtotal	6,753	7,886	6,399
0900 Total new obligations	6,883	8,016	6,529
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8,474	6,361	4,934
1021 Recoveries of prior year unpaid obligations	49	50	50
1050 Unobligated balance (total)	8,523	6,411	4,984
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	2,020	2,000	2,000
Spending authority from offsetting collections, mandatory:			
1800 Collected	3,833	4,939	2,019
1825 Spending authority from offsetting collections applied to repay debt	-1,132	-400	-400
1850 Spending auth from offsetting collections, mand (total)	2,701	4,539	1,619
1900 Budget authority (total)	4,721	6,539	3,619
1930 Total budgetary resources available	13,244	12,950	8,603
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6,361	4,934	2,074
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	423	438	659
3010 Obligations incurred, unexpired accounts	6,883	8,016	6,529
3020 Outlays (gross)	-6,819	-7,745	-6,585
3040 Recoveries of prior year unpaid obligations, unexpired	-49	-50	-50
3050 Unpaid obligations, end of year	438	659	553
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	423	438	659
3200 Obligated balance, end of year	438	659	553
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross	4,721	6,539	3,619
Financing disbursements:			
4110 Outlays, gross (total)	6,819	7,745	6,585
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Subsidy reestimate from program account	-2,080	-3,282	
4122 Interest on uninvested funds	-379	-400	-400
4123 Fees and premiums	-855	-910	-856
4123 Recoveries on HUD-Held Notes	-282	-18	-195
4123 Title I recoveries	-8	-2	-2
4123 Single family property recoveries	-186	-239	-202
4123 Gross Proceeds from Mortgage Note Sales	-37	-88	-364
4123 Non-Federal Resources-other	-6		
4130 Offsets against gross budget authority and outlays (total)	-3,833	-4,939	-2,019
4160 Budget authority, net (mandatory)	888	1,600	1,600
4170 Outlays, net (mandatory)	2,986	2,806	4,566
4180 Budget authority, net (total)	888	1,600	1,600
4190 Outlays, net (total)	2,986	2,806	4,566

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4077-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	30,000	30,000	30,000
2142 Uncommitted loan guarantee limitation	-16,666	-16,445	-16,590
2150 Total guaranteed loan commitments	13,334	13,555	13,410
2199 Guaranteed amount of guaranteed loan commitments	13,282	13,488	13,328
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	151,910	148,546	145,977
2231 Disbursements of new guaranteed loans	12,743	12,826	12,773
2251 Repayments and prepayments	-12,791	-10,228	-10,231
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,499	-4,188	-4,188
2262 Terminations for default that result in acquisition of property	-234	-211	-211
2263 Terminations for default that result in claim payments	-1,583	-768	-768
2290 Outstanding, end of year	148,546	145,977	143,352
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	145,238	142,602	138,446
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	3,505	4,054	7,104
2331 Disbursements for guaranteed loan claims	1,499	4,188	5,791
2351 Repayments of loans receivable	-208	-280	-637
2361 Write-offs of loans receivable	-742	-858	-1,503
2390 Outstanding, end of year	4,054	7,104	10,755

Balance Sheet (in millions of dollars)

Identification code 086-4077-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury	8,898	6,801
Investments in US securities:		
1106 Receivables, net	3,465	2,921
Non-Federal assets:		
1201 Investments in non-Federal securities, net	41	31
1206 Receivables, net	27	32
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	3,505	4,054
1502 Interest receivable	1,245	1,562
1504 Foreclosed property	101	152
1505 Allowance for subsidy cost	-2,106	-2,000
1599 Net value of assets related to defaulted guaranteed loan	2,745	3,768
1901 Other Federal assets: Other assets	5	9
1999 Total assets	15,181	13,562
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt	4,480	5,368
2105 Other	1,689	2,327
Non-Federal liabilities:		
2201 Accounts payable	172	135
2204 Liabilities for loan guarantees	8,817	5,669
2207 Other	23	63
2999 Total liabilities	15,181	13,562
4999 Total liabilities and net position	15,181	13,562

FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4105-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations	106	250	350
0712 Default claim payments on interest		1	1
0713 Payment of interest to Treasury	1	2	1
0715 Payment of Interest to FFB	3	12	21

FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 086-4105-0-3-371		2015 actual	2016 est.	2017 est.
0740	Negative subsidy obligations .....	12	27	39
0742	Downward reestimate paid to receipt account .....		5	
0900	Total new obligations .....	122	297	412
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		4	
1050	Unobligated balance (total) .....		4	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	123	281	387
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	4	16	34
1825	Spending authority from offsetting collections applied to repay debt .....	-1	-4	-9
1850	Spending auth from offsetting collections, mand (total) .....	3	12	25
1900	Budget authority (total) .....	126	293	412
1930	Total budgetary resources available .....	126	297	412
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		7	17
3010	Obligations incurred, unexpired accounts .....	122	297	412
3020	Outlays (gross) .....	-115	-287	-405
3050	Unpaid obligations, end of year .....	7	17	24
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		7	17
3200	Obligated balance, end of year .....	7	17	24
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	126	293	412
Financing disbursements:				
4110	Outlays, gross (total) .....	115	287	405
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....		-1	
4122	Interest on uninvested funds .....	-1	-1	-1
4123	Repayment of Principal .....	-1	-4	-9
4123	Non-Federal sources .....	-2	-10	-24
4130	Offsets against gross budget authority and outlays (total) ....	-4	-16	-34
4160	Budget authority, net (mandatory) .....	122	277	378
4170	Outlays, net (mandatory) .....	111	271	371
4180	Budget authority, net (total) .....	122	277	378
4190	Outlays, net (total) .....	111	271	371

**Status of Direct Loans** (in millions of dollars)

Identification code 086-4105-0-3-371		2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	126	255	355
1142	Unobligated direct loan limitation (-) .....	-20	-5	-5
1150	Total direct loan obligations .....	106	250	350
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....		102	343
1231	Disbursements: Direct loan disbursements .....	103	245	347
1251	Repayments: Repayments and prepayments .....	-1	-4	-9
1290	Outstanding, end of year .....	102	343	681

**Balance Sheet** (in millions of dollars)

Identification code 086-4105-0-3-371		2014 actual	2015 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....		11
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....		102
1402	Interest receivable .....		
1405	Allowance for subsidy cost (-) .....		34

1499	Net present value of assets related to direct loans .....		136
1999	Total assets .....		147
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....		123
2105	Other .....		24
2204	Non-Federal liabilities: Liabilities for loan guarantees .....		
2999	Total liabilities .....		147
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....		147

FHA-LOAN GUARANTEE RECOVERY FUND FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-4106-0-3-371		2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	5	5
1930	Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	5	5
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4106-0-3-371		2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	7	7	6
2251	Repayments and prepayments .....		-1	-1
2290	Outstanding, end of year .....	7	6	5
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	7	3	3

Section 4 of the Church Arson Prevention Act of 1996 (Public Law 104-155), entitled "Loan Guarantee Recovery Fund," authorizes the Secretary of Housing and Urban Development to guarantee loans made by financial institutions to assist certain non-profit organizations that were damaged as a result of acts of arson or terrorism.

**Balance Sheet** (in millions of dollars)

Identification code 086-4106-0-3-371		2014 actual	2015 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	5	4
1999	Total assets .....	5	4
<b>LIABILITIES:</b>			
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	5	4
4999	Total liabilities and net position .....	5	4

FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-4072-0-3-371		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0102	Assignment and Property Acquisition Claims .....	1	2	1
0110	Capitalized Expenses .....	5	5	5
0111	HUD Held Notes Escrow Activity .....	23	25	25
0113	Other .....	15	15	15
0900	Total new obligations .....	44	47	46
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	221	187	198

1021	Recoveries of prior year unpaid obligations .....	17	20	20
1022	Capital transfer of unobligated balances to general fund .....	-221	-187	-198
1050	Unobligated balance (total) .....	17	20	20
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	25	25	25
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	189	200	200
1900	Budget authority (total) .....	214	225	225
1930	Total budgetary resources available .....	231	245	245
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	187	198	199

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	268	267	265
3010	Obligations incurred, unexpired accounts .....	44	47	46
3020	Outlays (gross) .....	-28	-29	-29
3040	Recoveries of prior year unpaid obligations, unexpired .....	-17	-20	-20
3050	Unpaid obligations, end of year .....	267	265	262
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	267	266	264
3200	Obligated balance, end of year .....	266	264	261

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	214	225	225
Outlays, gross:				
4100	Outlays from new mandatory authority .....	21	20	20
4101	Outlays from mandatory balances .....	7	9	9
4110	Outlays, gross (total) .....	28	29	29
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - Other .....	-189	-200	-200
4180	Budget authority, net (total) .....	25	25	25
4190	Outlays, net (total) .....	-161	-171	-171

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 086-4072-0-3-371				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	816	560	294
2251	Repayments and prepayments .....	-256	-264	-138
Adjustments:				
2261	Terminations for default that result in loans receivable .....		-2	
2262	Terminations for default that result in acquisition of property .....			
2290	Outstanding, end of year .....	560	294	156
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	560	294	156

**Addendum:**

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	2,095	1,965	1,918
2331	Disbursements for guaranteed loan claims .....		2	
2351	Repayments of loans receivable .....	-130	-49	-49
2390	Outstanding, end of year .....	1,965	1,918	1,869

**Balance Sheet (in millions of dollars)**

Identification code 086-4072-0-3-371				
ASSETS:				
Federal assets:				
1101	Fund balances with Treasury .....	488		454
Investments in US securities:				
1102	Treasury securities, par .....			
1206	Non-Federal assets: Receivables, net .....	2		
1701	Defaulted guaranteed loans, gross .....	2,095	1,965	
1702	Interest receivable .....	245	249	
1703	Allowance for estimated uncollectible loans and interest (-) .....	-866		-819
1704	Defaulted guaranteed loans and interest receivable, net .....	1,474	1,395	
1706	Foreclosed property .....	3		3
1799	Value of assets related to loan guarantees .....	1,477		1,398

1901	Other Federal assets: Other assets .....			1
1999	Total assets .....		1,967	1,853
LIABILITIES:				
Non-Federal liabilities:				
2201	Accounts payable .....		10	10
2204	Liabilities for loan guarantees .....		2	1
2207	Other .....		183	193
2999	Total liabilities .....		195	204
NET POSITION:				
3100	Unexpended appropriations .....		134	157
3300	Cumulative results of operations .....		1,638	1,492
3999	Total net position .....		1,772	1,649
4999	Total liabilities and net position .....		1,967	1,853

**Object Classification (in millions of dollars)**

Identification code 086-4072-0-3-371				
Direct obligations:				
32.0	Land and structures .....	5	5	5
33.0	Investments and loans .....	39	42	41
99.9	Total new obligations .....	44	47	46

**HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 086-4115-0-3-371				
Obligations by program activity:				
0102	Loan Management, Liquidations and Property Dispositions .....	3	6	6
0900	Total new obligations (object class 32.0) .....	3	6	6

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	165	123	
1021	Recoveries of prior year unpaid obligations .....	1		
1022	Capital transfer of unobligated balances to general fund .....	-165	-123	
1050	Unobligated balance (total) .....	1		
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	504	485	468
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-379	-479	-462
1850	Spending auth from offsetting collections, mand (total) .....	125	6	6
1930	Total budgetary resources available .....	126	6	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	123		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	6	3
3010	Obligations incurred, unexpired accounts .....	3	6	6
3020	Outlays (gross) .....	-3	-9	-9
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	6	3	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	6	3
3200	Obligated balance, end of year .....	6	3	

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	125	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....		6	6
4101	Outlays from mandatory balances .....	3	3	3
4110	Outlays, gross (total) .....	3	9	9
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-504	-485	-468
4180	Budget authority, net (total) .....	-379	-479	-462
4190	Outlays, net (total) .....	-501	-476	-459

HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING  
ACCOUNT—Continued

## Status of Direct Loans (in millions of dollars)

Identification code 086-4115-0-3-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,777	1,412	1,051
1251 Repayments: Repayments and prepayments .....	-368	-361	-360
1264 Write-offs for default: Adjustments: Reclassify to Foreclosed Property Acquired .....	3		
1290 Outstanding, end of year .....	1,412	1,051	691

## Balance Sheet (in millions of dollars)

Identification code 086-4115-0-3-371	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	172	129
1206 Non-Federal assets: Interest Receivable: Public .....	20	16
1601 Direct loans, gross .....	1,777	1,412
1603 Allowance for estimated uncollectible loans and interest (-) .....	-10	-11
1699 Value of assets related to direct loans .....	1,767	1,401
1999 Total assets .....	1,959	1,546
LIABILITIES:		
2207 Non-Federal liabilities: Other .....	1	1
NET POSITION:		
3100 Unexpended Appropriations .....	5	3
3300 Revolving Fund: Cumulative results of operations .....	1,953	1,542
3999 Total net position .....	1,958	1,545
4999 Total liabilities and net position .....	1,959	1,546

## PAYMENT TO MANUFACTURED HOUSING FEES TRUST FUND

For necessary expenses as authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974 (42 U.S.C. 5401 et seq.), up to **[\$10,500,000]** \$11,500,000, to remain available until expended, of which **[\$10,500,000]** \$11,500,000 is to be derived from the Manufactured Housing Fees Trust Fund: *Provided*, That not to exceed the total amount appropriated under this heading shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund pursuant to section 620 of such Act: *Provided further*, That the amount made available under this heading from the general fund shall be reduced as such collections are received during fiscal year **[2016]** 2017 so as to result in a final fiscal year **[2016]** 2017 appropriation from the general fund estimated at zero, and fees pursuant to such section 620 shall be modified as necessary to ensure such a final fiscal year **[2016]** 2017 appropriation: *Provided further*, That for the dispute resolution and installation programs, the Secretary of Housing and Urban Development may assess and collect fees from any program participant: *Provided further*, That such collections shall be deposited into the Fund, and the Secretary, as provided herein, may use such collections, as well as fees collected under section 620, for necessary expenses of such Act: *Provided further*, That, notwithstanding the requirements of section 620 of such Act, the Secretary may carry out responsibilities of the Secretary under such Act through the use of approved service providers that are paid directly by the recipients of their services. (*Department of Housing and Urban Development Appropriations Act, 2016*)

The 2017 Budget provides a total of \$11.5 million in estimated fees to support activities authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, including the development and enforcement of manufactured housing construction standards, as well as the development and implementation of installation and dispute resolution programs required by the Manufactured Housing Improvement Act of 2000.

## Trust Funds

## MANUFACTURED HOUSING FEES TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 086-8119-0-7-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....		1	1
Receipts:			
Current law:			
1120 Mobile Home Inspection and Monitoring Fees, Manufactured Housing Fee Trust Fund .....	11	11	12
2000 Total: Balances and receipts .....	11	12	13
Appropriations:			
Current law:			
2101 Manufactured Housing Fees Trust Fund .....	-10	-11	-12
5099 Balance, end of year .....	1	1	1

## Program and Financing (in millions of dollars)

Identification code 086-8119-0-7-376	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0002 Manufactured Housing Program Costs .....	10	14	12
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	3
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	6	6	3
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	10	11	12
1930 Total budgetary resources available .....	16	17	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	12
3010 Obligations incurred, unexpired accounts .....	10	14	12
3020 Outlays (gross) .....	-9	-9	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	7	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	12
3200 Obligated balance, end of year .....	7	12	12
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	10	11	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	2
4011 Outlays from discretionary balances .....	9	7	10
4020 Outlays, gross (total) .....	9	9	12
4180 Budget authority, net (total) .....	10	11	12
4190 Outlays, net (total) .....	9	9	12

The National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, authorizes the development and enforcement of appropriate standards for the construction, design, and performance of manufactured homes to assure their quality, durability, affordability, and safety. All manufactured homes produced since the standards took effect in 1976 must comply with Federal construction and safety standards. Thirty-seven States participate in the program under HUD-approved State compliance plans and are reimbursed by HUD for their activities. HUD administers a compliance program for the remaining states. HUD is considering options for improving operational efficiency and program support for the Office of Manufactured Housing, including potentially transferring the responsibilities of the program to other offices within HUD.

HUD administers the Manufactured Housing Consensus Committee to recommend revisions to and interpretations of the manufactured housing standards. HUD also develops and implements model standards for installation of manufactured housing, as well as an installation enforcement

program. Finally, HUD administers a dispute resolution program for manufactured housing homeowners and builders.

Fees are charged to the manufacturers for each transportable section produced to offset the expenses incurred by the Department in carrying out the responsibilities under the authorizing legislation. The Budget proposes to fund the costs of authorized activities with an estimated \$11.5 million in fees to ensure HUD is able to fund all of its statutory requirements. The Budget also proposes a general provision that would allow HUD to implement future fee changes via notice with comment.

**Object Classification** (in millions of dollars)

Identification code 086-8119-0-7-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	7	8	9
41.0 Grants, subsidies, and contributions .....	3	6	3
99.9 Total new obligations .....	10	14	12

**GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-4589-0--604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....		10	
0743 Interest on downward reestimates .....		3	
0900 Total new obligations .....		13	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	6	
1050 Unobligated balance (total) .....	3	6	
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8	7	6
1825 Spending authority from offsetting collections applied to repay debt .....	-5		
1850 Spending auth from offsetting collections, mand (total) .....	3	7	6
1900 Budget authority (total) .....	3	7	6
1930 Total budgetary resources available .....	6	13	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6		6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			13
3010 Obligations incurred, unexpired accounts .....		13	
3050 Unpaid obligations, end of year .....		13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			13
3200 Obligated balance, end of year .....		13	13
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	7	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-8	-7	-6
4180 Budget authority, net (total) .....	-5		
4190 Outlays, net (total) .....	-8	-7	-6

**Status of Direct Loans** (in millions of dollars)

Identification code 086-4589-0--604	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	70	63	57
1251 Repayments: Repayments and prepayments .....	-7	-6	-6
1290 Outstanding, end of year .....	63	57	51

**Balance Sheet** (in millions of dollars)

Identification code 086-4589-0--604	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	5	5
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	65	63
1402 Interest receivable .....	1	1
1405 Allowance for subsidy cost (-) .....	-66	-64
1499 Net present value of assets related to direct loans .....		
1999 Total assets .....	5	5
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	5	5
4999 Total liabilities and net position .....	5	5

**GOVERNMENT NATIONAL MORTGAGE ASSOCIATION**

The Government National Mortgage Association (GNMA) was established by Federal charter in 1968. It is a wholly owned government corporation within the U.S. Department of Housing and Urban Development (HUD). It was established to support Federal housing initiatives by providing liquidity to the secondary mortgage market and to attract capital from the global capital markets for the nation's mortgage markets. Its primary function is to guarantee the timely payment of principal and interest on Mortgage-Backed Securities (MBS) that are backed by loans insured or guaranteed by the Federal Housing Administration (FHA), the Department of Veterans Affairs (VA), Rural Development in the U.S. Department of Agriculture, and HUD's Office of Public and Indian Housing.

**Federal Funds**

**GUARANTEES OF MORTGAGE-BACKED SECURITIES CAPITAL RESERVE ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-0238-0-1-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8,797	12,773	15,350
1010 Unobligated balance transfer to other accts [086-0186] .....	-222	-205	-300
1050 Unobligated balance (total) .....	8,575	12,568	15,050
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (negative subsidy) .....	1,221	958	1,243
1800 Offsetting collections (interest on investments) .....	1	28	210
1800 Offsetting collections (downward reestimate) .....	2,976	1,796	
1850 Spending auth from offsetting collections, mand (total) .....	4,198	2,782	1,453
1930 Total budgetary resources available .....	12,773	15,350	16,503
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12,773	15,350	16,503
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,221	-958	-1,243
4040 Offsets against gross budget authority and outlays (total) .....	-1,221	-958	-1,243
Mandatory:			
4090 Budget authority, gross .....	4,198	2,782	1,453
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2,976	-1,796	
4121 Interest on Federal securities .....	-1	-28	-210
4130 Offsets against gross budget authority and outlays (total) .....	-2,977	-1,824	-210
4160 Budget authority, net (mandatory) .....	1,221	958	1,243
4170 Outlays, net (mandatory) .....	-2,977	-1,824	-210
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-4,198	-2,782	-1,453
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		12,772	15,350

GUARANTEES OF MORTGAGE-BACKED SECURITIES CAPITAL RESERVE  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 086-0238-0-1-371	2015 actual	2016 est.	2017 est.
5001 Total investments, EOY: Federal securities: Par value .....	12,772	15,350	16,588

In 2013, a Capital Reserve account was established for the Government National Mortgage Association (GNMA). Financial reserves of GNMA were transferred from the Reserve Receipt and Liquidating accounts to the Capital Reserve account. This mandatory account earns interest on Treasury investments and is the eventual depository for all budgetary resources collected by GNMA including negative subsidy receipts from new security guarantees, downward re-estimates and loan repayments from the Financing Account. This account has no authority to obligate funds but transfers resources to the GNMA Program Account as necessary for mandatory spending authorized in that account.

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION

GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM  
ACCOUNT

New commitments to issue guarantees to carry out the purposes of section 306 of the National Housing Act, as amended (12 U.S.C. 1721(g)), shall not exceed \$500,000,000,000, to remain available until September 30, [2017] 2018: *Provided*, That \$23,000,000 shall be available for necessary salaries and expenses of the Office of Government National Mortgage Association: *Provided further*, That to the extent that guaranteed loan commitments exceed \$155,000,000,000 on or before April 1, [2016] 2017, an additional \$100 for necessary salaries and expenses shall be available until expended for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$3,000,000: *Provided further*, That receipts from Commitment and Multiclass fees collected pursuant to title III of the National Housing Act, as amended, shall be credited as offsetting collections to this account. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 086-0186-0-1-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0709 Administrative expenses .....	188	273	332
0801 Servicing Expenses .....	45	58	50
0900 Total new obligations .....	233	331	382
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	100	137	134
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	7	.....
1011 Unobligated balance transfer from other acct [086-0238] .....	222	205	300
1021 Recoveries of prior year unpaid obligations .....	.....	34	.....
1050 Unobligated balance (total) .....	322	376	434
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	140	118	101
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-114	-95	-78
1750 Spending auth from offsetting collections, disc (total) .....	26	23	23
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	24	68	37
1900 Budget authority (total) .....	50	91	60
1930 Total budgetary resources available .....	372	467	494
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	-2	-3
1941 Unexpired unobligated balance, end of year .....	137	134	109

**Change in obligated balance:**

Identification code 086-0186-0-1-371	2015 actual	2016 est.	2017 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	257	288	494
3010 Obligations incurred, unexpired accounts .....	233	331	382
3011 Obligations incurred, expired accounts .....	1	.....	.....

3020 Outlays (gross) .....	-202	-91	-62
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-34	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	288	494	814
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	257	288	494
3200 Obligated balance, end of year .....	288	494	814

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	26	23	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	21	21
4011 Outlays from discretionary balances .....	2	2	4
4020 Outlays, gross (total) .....	22	23	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-140	-118	-101
Mandatory:			
4090 Budget authority, gross .....	24	68	37
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	68	37
4101 Outlays from mandatory balances .....	179	.....	.....
4110 Outlays, gross (total) .....	180	68	37
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-24	-68	-37
4180 Budget authority, net (total) .....	-114	-95	-78
4190 Outlays, net (total) .....	38	-95	-76

**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	295	409	504
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	409	504	582
5093 Expired unavailable balance, SOY: Offsetting collections .....	1	1	1
5095 Expired unavailable balance, EOY: Offsetting collections .....	1	1	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 086-0186-0-1-371	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Guarantees of Mortgage-Backed Securities .....	435,939	330,200	336,000
215999 Total loan guarantee levels .....	435,939	330,200	336,000
Guaranteed loan subsidy (in percent):			
232001 Guarantees of Mortgage-Backed Securities .....	-28	-29	-37
232999 Weighted average subsidy rate .....	-28	-29	-37
Guaranteed loan subsidy budget authority:			
233001 Guarantees of Mortgage-Backed Securities .....	-1,221	-958	-1,243
233999 Total subsidy budget authority .....	-1,221	-958	-1,243
Guaranteed loan subsidy outlays:			
234001 Guarantees of Mortgage-Backed Securities .....	-1,221	-958	-1,243
234999 Total subsidy outlays .....	-1,221	-958	-1,243
Guaranteed loan reestimates:			
235001 Guarantees of Mortgage-Backed Securities .....	-2,976	-1,796	.....
235999 Total guaranteed loan reestimates .....	-2,976	-1,796	.....
Administrative expense data:			
3510 Budget authority .....	22	25	23
3590 Outlays from new authority .....	20	23	23

The Budget requests loan commitment authority of \$500 billion in 2017. The Budget also requests \$23 million for the personnel costs of the Government National Mortgage Association (GNMA), to be offset by Commitment and Multiclass fees. Before 2012, personnel expenses were funded in the "Office of Government National Mortgage Association" appropriation under the Management and Administration section of the HUD budget. This funding level will enable GMNA to keep pace with growing demands and respond to the complexities of the current market, including a growing number of security issuers and the entry of new types of issuer firms, such as non-depository institutions.

**Object Classification (in millions of dollars)**

Identification code 086-0186-0-1-371	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	18	21	24

12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	167	249	304
25.3	Other goods and services from Federal sources .....			1
99.0	Direct obligations .....	188	273	332
99.0	Reimbursable obligations .....	45	58	50
99.9	Total new obligations .....	233	331	382

**Employment Summary**

Identification code 086-0186-0-1-371	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	125	147	165

**GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 086-4240-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Advances and other .....	1,158	425	588
0004 Preservation of collateral .....	182	169	442
0091 Subtotal - Advances and Operating Expenses .....	1,340	594	1,030
Credit program obligations:			
0740 Negative subsidy obligations .....	1,221	958	1,243
0742 Downward reestimate paid to receipt account .....	2,873	1,738	
0743 Interest on downward reestimates .....	103	58	
0791 Direct program activities, subtotal .....	4,197	2,754	1,243
0900 Total new obligations .....	5,537	3,348	2,273

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,751	1,031	682
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,819	2,999	1,676
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	2,817	2,999	1,676
1930 Total budgetary resources available .....	6,568	4,030	2,358
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,031	682	85

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	265	270	270
3010 Obligations incurred, unexpired accounts .....	5,537	3,348	2,273
3020 Outlays (gross) .....	-5,532	-3,348	-1,941
3050 Unpaid obligations, end of year .....	270	270	602
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	263	270	270
3200 Obligated balance, end of year .....	270	270	602

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	2,817	2,999	1,676
Financing disbursements:			
4110 Outlays, gross (total) .....	5,532	3,348	1,941
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-7		
4122 Interest on uninvested funds .....	-127	-118	-125
4123 Guarantee Fees .....	-978	-858	-821
4123 Repayment of advances .....	-1,707	-2,023	-730
4130 Offsets against gross budget authority and outlays (total) .....	-2,819	-2,999	-1,676
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2		
4170 Outlays, net (mandatory) .....	2,713	349	265
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2,713	349	265

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 086-4240-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	500,000	500,000	500,000
2121 Limitation available from carry-forward .....	500,000	494,414	500,000
2142 Uncommitted loan guarantee limitation .....	-69,647	-164,214	-164,000
2143 Uncommitted limitation carried forward .....	-494,414	-500,000	-500,000
2150 Total guaranteed loan commitments .....	435,939	330,200	336,000
2199 Guaranteed amount of guaranteed loan commitments .....	435,939	330,200	336,000
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,526,470	1,608,790	1,667,531
2231 Disbursements of new guaranteed loans .....	432,445	330,200	336,000
2251 Repayments and prepayments .....	-350,125	-271,459	-283,714
2290 Outstanding, end of year .....	1,608,790	1,667,531	1,719,817
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,608,790	1,667,531	1,719,817

**Balance Sheet (in millions of dollars)**

Identification code 086-4240-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	4,015	1,031
1206 Non-Federal assets: Receivables, net .....	6,952	442
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	5,501	6,115
1505 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Allowance for subsidy cost (-) .....	-574	-789
1999 Total assets .....	15,894	6,799
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	78	89
2207 Other .....	6,700	
2999 Total liabilities .....	6,778	89
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	9,116	6,710
4999 Total liabilities and net position .....	15,894	6,799

**GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 086-4238-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Operating expenses .....			
0002 Operating expenses .....	1	1	1
0900 Total new obligations (object class 43.0) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	127	127	127
1050 Unobligated balance (total) .....	127	127	127
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1930 Total budgetary resources available .....	128	128	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	127	127	127
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	23	23
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-2
3050 Unpaid obligations, end of year .....	23	23	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	23	23
3200 Obligated balance, end of year .....	23	23	22

GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 086-4238-0-3-371	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	151	151	150
5001 Total investments, EOY: Federal securities: Par value .....	151	150	148

Balance Sheet (in millions of dollars)

Identification code 086-4238-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par .....	151	151
1106 Receivables, net .....		
1601 Direct loans, gross .....		
1603 Allowance for estimated uncollectible loans and interest (-) .....		
1699 Value of assets related to direct loans .....		
1901 Other Federal assets: Other assets .....	25	
1999 Total assets .....	176	151
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....		
2207 Other .....	23	23
2999 Total liabilities .....	23	23
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	153	128
4999 Total liabilities and net position .....	176	151

POLICY DEVELOPMENT AND RESEARCH

Federal Funds

RESEARCH AND TECHNOLOGY

For contracts, grants, and necessary expenses of programs of research and studies relating to housing and urban problems, not otherwise provided for, as authorized by title V of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1 et seq.), including carrying out the functions of the Secretary of Housing and Urban Development under section 1(a)(1)(i) of Reorganization Plan No. 2 of 1968, [and for technical assistance, \$85,000,000] \$65,000,000, to remain available until September 30, [2017] 2018: *Provided*, That with respect to amounts made available under this heading, notwithstanding section 204 of this title, the Secretary may enter into cooperative agreements funded with philanthropic entities, other Federal agencies, or State or local governments and their agencies for research projects: *Provided further*, That with respect to the previous proviso, such partners to the cooperative agreements must contribute at least a 50 percent match toward the cost of the project: *Provided further*, [That for non-competitive agreements entered into in accordance with the previous two provisos, the Secretary of Housing and Urban Development shall comply with section 2(b) of the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282, 31 U.S.C. note) in lieu of compliance with section 102(a)(4)(C) with respect to documentation of award decisions: *Provided further*, That prior to obligation of technical assistance funding, the Secretary shall submit a plan, for approval, to the House and Senate Committees on Appropriations on how it will allocate funding for this activity] *That of the amounts made available in this Act under the following headings under this title, the Secretary may transfer to and merge with this account up to \$120,000,000, and such transferred amounts shall be available until September 30, 2019, for (1) research and evaluation; (2) program demonstrations; and (3) technical assistance and capacity building, including forms of assistance described under sections 4(b)(1) and 4(b)(2) of the HUD Demonstration Act of 1993, as amended: "Choice Neighborhoods Initiative",*

*"Community Development Fund", "Fair Housing Activities", "Family Self-Sufficiency", "HOME Investment Partnerships Program", "Homeless Assistance Grants", "Housing Counseling Assistance", "Housing for Persons with Disabilities", "Housing for the Elderly", "Housing Opportunities for Persons with AIDS", "Lead Hazard Reduction", "Mutual Mortgage Insurance Program Account", "Native American Housing Block Grants", "Native Hawaiian Housing Block Grant", "Project-Based Rental Assistance", "Public Housing Capital Fund", "Public Housing Operating Fund", "Rental Assistance Demonstration", and "Tenant-Based Rental Assistance": *Provided, That any such amounts, or portion thereof, transferred to this account from any account, may be transferred back to and merged with the original account and be available for the same purpose and same time period as provided under this Act. (Department of Housing and Urban Development Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 086-0108-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contracts, Grants and Cooperative Agreements .....	45	58	65
0002 Research and Demonstrations .....		10	33
0003 Technical Assistance .....		47	52
0004 Capacity Building .....			35
0900 Total new obligations .....	45	115	185
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	30	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	72	85	65
1121 Appropriations transferred from other acct [086-0349] .....			1
1121 Appropriations transferred from other acct [086-0162] .....			14
1121 Appropriations transferred from other acct [086-0205] .....			5
1121 Appropriations transferred from other acct [086-0237] .....			1
1121 Appropriations transferred from other acct [086-0320] .....			3
1121 Appropriations transferred from other acct [086-0308] .....			2
1121 Appropriations transferred from other acct [086-0174] .....			1
1121 Appropriations transferred from other acct [086-0183] .....			1
1121 Appropriations transferred from other acct [086-0313] .....			4
1121 Appropriations transferred from other acct [086-0303] .....			28
1121 Appropriations transferred from other acct [086-0304] .....			9
1121 Appropriations transferred from other acct [086-0163] .....			23
1121 Appropriations transferred from other acct [086-0302] .....			28
1160 Appropriation, discretionary (total) .....	72	85	185
1930 Total budgetary resources available .....	75	115	185
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	25	73
3010 Obligations incurred, unexpired accounts .....	45	115	185
3020 Outlays (gross) .....	-36	-67	-107
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	25	73	151
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	25	73
3200 Obligated balance, end of year .....	25	73	151

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	72	85	185
Outlays, gross:			
4010 Outlays from new discretionary authority .....	25	44	72
4011 Outlays from discretionary balances .....	11	23	35
4020 Outlays, gross (total) .....	36	67	107
4180 Budget authority, net (total) .....	72	85	185
4190 Outlays, net (total) .....	36	67	107

The Housing and Urban Development Act of 1970 directs the Secretary to undertake programs of research, studies, testing, and demonstrations related to HUD's mission. These functions are carried out by HUD's Office of Policy Development and Research (PD&R) through in-house analysis by staff; contracts with industry, nonprofit research organizations, and educational institutions; and cooperative agreements with educational, governmental, and philanthropic entities.

The 2017 Budget requests \$185 million for HUD's Research and Technology (R&T) program through a combination of direct appropriations and

program transfer authority. The request consists of \$65 million in direct appropriations for core research support, surveys, data infrastructure, and knowledge management (i.e., research dissemination), and authority to transfer up to \$120 million from various HUD program accounts to the R&T account for research, evaluations, and demonstrations (\$33 million); technical assistance (\$52 million); and capacity building (\$35 million).

The Budget includes direct funding to restore and enhance various national housing surveys that are rich sources of data on the nation's housing stock, including the American Housing Survey, the Survey of New Home Sales and Completions, the Survey of Market Absorption of Multifamily Units, the Survey of New Manufactured Housing Placements, and the Rental Housing Finance Survey. Also included in the request is funding for knowledge management activities, for the Urban Data Systems, for housing finance studies, for Research Partnerships, and for a new category of core research support, which includes: 1) the long-term commitment to evaluate Moving-to-Work policy initiatives and expansion, and 2) research on new innovation that facilitates behavior changes among builders, property owners and tenants that results in lower consumption of carbon based energy.

Program transfers to R&T reflect HUD's enterprise-wide commitment to integrate evidence and cross-disciplinary intelligence throughout program policy, management, and operations. The transfer authority provides a devoted source of funds for three complementary purposes: 1) research, evaluations, and demonstrations; 2) technical assistance; and 3) capacity building.

The research, program evaluations, and demonstrations supported through program transfers to R&T complement the data infrastructure activities of the R&T direct appropriation. PD&R's intent is to fund priority research guided by HUD's Research Roadmap, and to complete and leverage previously initiated research efforts before beginning new projects.

Technical assistance supported by program transfer funding has allowed HUD to support its partners with better coordinated, cross-program TA rather than the conventional, program-oriented technical assistance. Because HUD's mission for affordable housing and community development is carried out in a complex community environment, the more comprehensive approach is valuable for helping grantees, PHAs, and other partners implement programs in an informed, aligned, and holistic way.

The proposed program transfers will also support activities previously funded through the Self-Help and Assisted Homeownership Opportunity Program (SHOP) account to enhance the capacity and ability of community development corporations (CDCs) and community housing development organizations (CHDOs) to carry out community development and affordable housing activities that benefit low-income persons.

The following table illustrates the estimated transfers from HUD's programs into the R&T account in fiscal year 2017.

Program Name (amounts in thousands)	2017	
	Treasury Account	Estimated Transfer
Choice Neighborhoods Initiative .....	86-0349	1,000
Community Development Fund .....	86-0162	14,400
Fair Housing Activities .....	86-0144	350
Family Self-Sufficiency .....	86-0350	375
HOME Investment Partnerships Program .....	86-0205	4,750
Housing Counseling Assistance .....	86-0156	235
Housing for Persons with Disabilities (Section 811) .....	86-0237	770
Housing for the Elderly (Section 202) .....	86-0320	2,525
Housing Opportunities for Persons with AIDS .....	86-0308	1,675
Lead Hazard Reduction .....	86-0174	550
Mortgage Mutual Insurance Program Account .....	86-0183	800
Native American Housing Block Grants .....	86-0313	3,500
Project-Based Rental Assistance .....	86-0303	28,325
Public Housing Capital Fund .....	86-0304	9,325
Public Housing Operating Fund .....	86-0163	22,844
Rental Assistance Demonstration .....	86-0406	250
Tenant-Based Rental Assistance .....	86-0302	28,325
<b>TRANSFER TOTAL</b>		<b>120,000<sup>1</sup></b>

<sup>1</sup> Amount represents estimated R&T transfers based on the 2017 Budget priorities, program requirements, and application of the \$28.3 million cap per account.

**Object Classification** (in millions of dollars)

Identification code 086-0108-0-1-451	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	9		
25.3 Other goods and services from Federal sources .....	34	67	146
41.0 Grants, subsidies, and contributions .....	2	48	39
<b>99.9 Total new obligations .....</b>	<b>45</b>	<b>115</b>	<b>185</b>

**FAIR HOUSING AND EQUAL OPPORTUNITY**

*Federal Funds*

**FAIR HOUSING ACTIVITIES**

For contracts, grants, and other assistance, not otherwise provided for, as authorized by title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, and section 561 of the Housing and Community Development Act of 1987, as amended, **[\$65,300,000]** \$70,000,000, to remain available until September 30, **[2017]** 2018: *Provided*, That notwithstanding 31 U.S.C. 3302, the Secretary may assess and collect fees to cover the costs of the Fair Housing Training Academy, and may use such funds to provide such training: *Provided further*, That no funds made available under this heading shall be used to lobby the executive or legislative branches of the Federal Government in connection with a specific contract, grant, or loan: *Provided further*, That of the funds made available under this heading, \$300,000 shall be available to the Secretary of Housing and Urban Development for the creation and promotion of translated materials and other programs that support the assistance of persons with limited English proficiency in utilizing the services provided by the Department of Housing and Urban Development. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 086-0144-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Fair Housing Assistance .....	26	23	22
0002 Fair Housing Initiatives .....	13	65	46
0005 National Fair Housing Training Academy .....	2	3	3
<b>0900 Total new obligations (object class 41.0) .....</b>	<b>41</b>	<b>91</b>	<b>71</b>
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	37	12
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	65	65	70
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	66	66	71
1930 Total budgetary resources available .....	78	103	83
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	37	12	12
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	95	70	94
3010 Obligations incurred, unexpired accounts .....	41	91	71
3020 Outlays (gross) .....	-66	-67	-68
3050 Unpaid obligations, end of year .....	70	94	97
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	95	70	94
3200 Obligated balance, end of year .....	70	94	97
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	66	66	71
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	4	5
4011 Outlays from discretionary balances .....	65	63	63
4020 Outlays, gross (total) .....	66	67	68
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	65	65	70
4190 Outlays, net (total) .....	65	66	67

FAIR HOUSING ACTIVITIES—Continued

The Budget requests \$70 million for fair housing activities to support efforts to end housing discrimination. Of the amount requested, \$21.9 million is for the Fair Housing Assistance Program (FHAP), \$46 million is for the Fair Housing Initiatives Program (FHIP), \$1.8 million is for the National Fair Housing Training Academy, and \$300 thousand is for the Limited English Proficiency Initiative (LEPI).

FHAP, authorized by Title VIII of the Civil Rights Act of 1968, as amended, provides funding to State and local agencies to assure prompt and effective processing of complaints under substantially equivalent State and local fair housing laws. To be eligible for assistance through FHAP, an agency must demonstrate that the fair housing law it administers is substantially equivalent to the Fair Housing Act. It is estimated that there will be a total of 89 FHAP jurisdictions in 2017. The funding requested for FHAP will support fair housing enforcement by funding State and local fair housing organizations to meet the needs of currently underserved populations. It will also address the national and ongoing problem of discrimination against minority homebuyers and renters, as identified in the 2012 Housing Discrimination Against Racial and Ethnic Minorities Study.

FHIP, authorized by the Housing and Community Development Act of 1987, as amended by the Housing and Community Development Act of 1992, provides funding to States and local governments, and to public and private non-profit organizations that administer programs to prevent or eliminate discriminatory housing practices through enforcement, education, and outreach.

The National Fair Housing Training Academy (NFTHA) provides comprehensive fair housing and civil rights training for investigators, local agencies, educators, attorneys, industry representatives and other housing industry professionals.

LEPI provides funds for oral interpretation and written translation services, which help make fair housing programs and activities accessible to people who are not proficient in English.

**OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES**

*Federal Funds*

LEAD HAZARD REDUCTION

For the Lead Hazard Reduction Program, as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, \$110,000,000, to remain available until September 30, [2017] 2018, of which [\$20,000,000] up to \$25,000,000 shall be for the Healthy Homes Initiative, pursuant to sections 501 and 502 of the Housing and Urban Development Act of 1970 that shall include research, studies, testing, and demonstration efforts, including education and outreach concerning lead-based paint poisoning and other housing-related diseases and hazards: *Provided*, That for purposes of environmental review, pursuant to the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and other provisions of the law that further the purposes of such Act, a grant under the Healthy Homes Initiative, or the Lead Technical Studies program under this heading or under prior appropriations Acts for such purposes under this heading, shall be considered to be funds for a special project for purposes of section 305(c) of the Multifamily Housing Property Disposition Reform Act of 1994: [*Provided further*, That of the total amount made available under this heading, \$45,000,000 shall be made available on a competitive basis for areas with the highest lead paint abatement needs: *Provided further*, That each recipient of funds provided under the previous proviso shall contribute an amount not less than 25 percent of the total: *Provided further*, That each applicant shall certify adequate capacity that is acceptable to the Secretary to carry out the proposed use of funds pursuant to a notice of funding availability:] *Provided further*, That amounts made available under this heading in this or prior appropriations Acts, and that still remain available, may be used for any purpose under this heading notwithstanding the purpose for which such amounts were appropriated if a program competition is undersubscribed and there are other program competitions under this heading that are oversubscribed. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 086-0174-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Lead Hazard Reduction Grants .....	48	44	83
0002 Lead Hazard Reduction Demonstration .....	45	45	.....
0003 Healthy Homes .....	14	13	25
0004 Lead Technical Studies .....	1	2	2
0900 Total new obligations (object class 41.0) .....	108	104	110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	110	110	110
1120 Appropriations transferred to other accts [086-0108] .....	.....	.....	-1
1160 Appropriation, discretionary (total) .....	110	110	109
1930 Total budgetary resources available .....	112	113	118
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	3	9	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	337	311	295
3010 Obligations incurred, unexpired accounts .....	108	104	110
3020 Outlays (gross) .....	-112	-120	-84
3041 Recoveries of prior year unpaid obligations, expired .....	-22	.....	.....
3050 Unpaid obligations, end of year .....	311	295	321
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	337	311	295
3200 Obligated balance, end of year .....	311	295	321
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	110	110	109
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	111	119	83
4020 Outlays, gross (total) .....	112	120	84
4180 Budget authority, net (total) .....	110	110	109
4190 Outlays, net (total) .....	112	120	84

Title X of the Housing and Community Development Act of 1992 (Public Law 102-550), known as the Residential Lead-Based Paint Hazard Reduction Act, authorized the Secretary to establish the Lead-Based Paint Hazard Control Grant Program. The primary purpose of the program is to reduce the exposure of young children to lead-based paint and other environmental hazards in their homes, including protecting them from permanent developmental problems and asthma, and exposure to pesticides and carbon monoxide.

The program plays a critical role in addressing the number one environmental disease impacting children: lead poisoning. The Budget request of \$110 million includes \$83 million for HUD's Lead Hazard Control Program, \$25 million for the Healthy Homes Program, and \$2 million for technical studies. The Budget includes an appropriations provision that would allow the transfer of unobligated balances and recaptured funds from undersubscribed competitive programs to other competitive programs experiencing oversubscription. The Budget also includes two general provisions: the first would grant the Secretary authority to carry out investigations, administer oaths, and subpoena documents related to violations of the Lead Disclosure provision of Title X; the second would increase the threshold for requiring lead abatement to reflect inflation, providing grantees more flexibility in how they address lead-based paint in a residence. In addition, following the Budget, HUD will submit a legislative package with updates to program standards and definitions.

The Lead Hazard Control Grant Program provides grants of \$1 million to \$3 million to State and local governments and Indian tribes for control of lead-based paint hazards in private low-income rental and owner-occupied housing. The grants are also designed to facilitate the development of a housing maintenance and rehabilitation workforce trained in lead-safe

work practices and a certified hazard evaluation and control industry. In awarding grants, HUD promotes the use of new, low-cost approaches to hazard control that can be replicated across the nation.

The Healthy Homes Program enables the Department to assess and control housing-related hazards that contribute to childhood diseases and injuries. With funding from this program, grantees implement and evaluate methods for controlling two or more housing-related diseases through a single intervention.

The Office of Lead Hazard Control and Healthy Homes will continue its Technical Support program, which includes public education; support for State and local agencies, private property owners, HUD programs and field offices and professional organizations; technical studies to improve program policy and implementation; quality control to ensure that the evaluation and control of lead-based paint hazards is done properly in HUD-assisted housing; and development of standards, technical guidance, regulations and improved testing and hazard control methods.

offices of the Secretary; Deputy Secretary; Congressional and Intergovernmental Relations; Public Affairs; Adjudicatory Services; the Center for Faith-Based and Neighborhood Partnerships; and the Office of Small and Disadvantaged Utilization.

**Object Classification** (in millions of dollars)

Identification code 086-0332-0-1-604	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	10	10
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations .....	14	14	14

**Employment Summary**

Identification code 086-0332-0-1-604	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	81	82	85

**MANAGEMENT AND ADMINISTRATION**

*Federal Funds*

**EXECUTIVE OFFICES**

For necessary salaries and expenses for Executive Offices, which shall be comprised of the offices of the Secretary, Deputy Secretary, Adjudicatory Services, Congressional and Intergovernmental Relations, Public Affairs, Small and Disadvantaged Business Utilization, and the Center for Faith-Based and Neighborhood Partnerships, **[\$13,800,000] \$14,479,000: Provided,** That not to exceed \$25,000 of the amount made available under this heading shall be available to the Secretary for official reception and representation expenses as the Secretary may determine. *(Department of Housing and Urban Development Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 086-0332-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	10	10	10
0002 Benefits .....	3	3	3
0003 Non-Personnel costs .....	1	1	1
0900 Total new obligations .....	14	14	14
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	14	14
1930 Total budgetary resources available .....	15	14	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	
3010 Obligations incurred, unexpired accounts .....	14	14	14
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-14	-15	-14
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	
3200 Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	14	14
4011 Outlays from discretionary balances .....	1	1	
4020 Outlays, gross (total) .....	14	15	14
4180 Budget authority, net (total) .....	15	14	14
4190 Outlays, net (total) .....	14	15	14

The Executive Offices account supports the total salaries and expenses of the Department's executive management offices, including the immediate

**ADMINISTRATIVE SUPPORT OFFICES**

For necessary salaries and expenses for Administrative Support Offices, **[\$559,100,000] \$520,062,000**, of which **[\$79,000,000] \$53,451,000** shall be available for the Office of the Chief Financial Officer; **[\$94,500,000] \$95,201,000** shall be available for the Office of the General Counsel; **[\$207,600,000] \$202,823,000** shall be available for the Office of Administration; **[\$56,300,000] \$41,641,000** shall be available for the Office of the Chief Human Capital Officer; **[\$51,500,000] \$52,630,000** shall be available for the Office of Field Policy and Management; **[\$17,200,000] \$19,130,000** shall be available for the Office of the Chief Procurement Officer; **[\$3,300,000] \$3,891,000** shall be available for the Office of Departmental Equal Employment Opportunity; **[\$4,500,000] \$5,147,000** shall be available for the Office of Strategic Planning and Management; and **[\$45,200,000] \$46,148,000** shall be available for the Office of the Chief Information Officer; *Provided,* That funds provided under this heading may be used for necessary administrative and non-administrative expenses of the Department of Housing and Urban Development, not otherwise provided for, including purchase of uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901-5902; hire of passenger motor vehicles; and services as authorized by 5 U.S.C. 3109; *Provided further,* That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that directly support program activities funded in this title; *Provided further,* That the Secretary shall provide the House and Senate Committees on Appropriations quarterly written notification regarding the status of pending congressional reports; *Provided further,* That the Secretary shall provide in electronic form all signed reports required by Congress]. *(Department of Housing and Urban Development Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 086-0335-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel compensation [& benefits] .....	217	216	222
0002 Non-personnel costs .....	229	222	220
0003 Benefits .....	76	77	78
0900 Total new obligations .....	522	515	520
<b>Budgetary resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	3		
1050 Unobligated balance (total) .....	3		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	518	559	520
1120 Appropriations transferred to other acct [086-4598] .....		-44	
1121 Appropriations transferred from other acct [086-0337] .....	2		
1121 Appropriations transferred from other acct [086-0338] .....	1		
1121 Appropriations transferred from other acct [086-0334] .....	1		
1160 Appropriation, discretionary (total) .....	522	515	520
1900 Budget authority (total) .....	522	515	520
1930 Total budgetary resources available .....	525	515	520

ADMINISTRATIVE SUPPORT OFFICES—Continued  
**Program and Financing—Continued**

Identification code 086-0335-0-1-999	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-3		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	100	102	94
3010 Obligations incurred, unexpired accounts .....	522	515	520
3011 Obligations incurred, expired accounts .....	19		
3020 Outlays (gross) .....	-512	-523	-536
3041 Recoveries of prior year unpaid obligations, expired .....	-27		
3050 Unpaid obligations, end of year .....	102	94	78
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	100	102	94
3200 Obligated balance, end of year .....	102	94	78
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	522	515	520
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	454	438	442
4011 Outlays from discretionary balances .....	58	85	94
4020 Outlays, gross (total) .....	512	523	536
4180 Budget authority, net (total) .....	522	515	520
4190 Outlays, net (total) .....	512	523	536

The Administrative Support Offices (ASO) account funds central Departmental functions, including the offices of the Chief Human Capital Officer, Chief Financial Officer, Chief Procurement Officer, General Counsel, Field Policy and Management, Strategic Planning and Management, Departmental Equal Employment Opportunity, Chief Information Officer, and Administration. The ASO account supports all personnel and non-personnel expenses for these offices.

**Object Classification** (in millions of dollars)

Identification code 086-0335-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	210	208	213
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	6	7	8
11.9 Total personnel compensation .....	217	216	222
12.1 Civilian personnel benefits .....	76	77	78
21.0 Travel and transportation of persons .....	4	5	4
23.1 Rental payments to GSA .....	105	110	110
23.3 Communications, utilities, and miscellaneous charges .....	19	20	20
24.0 Printing and reproduction .....	1	1	
25.1 Advisory and assistance services .....	80	77	70
25.3 Other goods and services from Federal sources .....			8
25.4 Operation and maintenance of facilities .....	10	4	4
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	7	3	2
42.0 Insurance claims and indemnities .....	1		
99.9 Total new obligations .....	522	515	520

**Employment Summary**

Identification code 086-0335-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,932	1,914	1,918

**PUBLIC AND INDIAN HOUSING**

For necessary salaries and expenses of the Office of Public and Indian Housing, **[\$205,500,000]** \$220,932,000. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0337-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	142	151	150
0002 Benefits .....	42	44	46
0004 Non-personnel expenses .....	11	11	25
0900 Total new obligations .....	195	206	221
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	203	206	221
1120 Appropriations transferred to other accts [086-0335] .....	-2		
1120 Appropriations transferred to other accts [086-4586] .....	-3		
1120 Appropriations transferred to other acct [086-0339] .....	-1		
1160 Appropriation, discretionary (total) .....	197	206	221
1930 Total budgetary resources available .....	198	206	221
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-3		

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	14	4
3010 Obligations incurred, unexpired accounts .....	195	206	221
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-193	-216	-222
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	14	4	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	13	14	4
3200 Obligated balance, end of year .....	14	4	3

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	197	206	221
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	183	204	218
4011 Outlays from discretionary balances .....	10	12	4
4020 Outlays, gross (total) .....	193	216	222
4180 Budget authority, net (total) .....	197	206	221
4190 Outlays, net (total) .....	193	216	222

This account provides funding for all salaries and expenses of the Office of Public and Indian Housing, including the Office of the Assistant Secretary. The Office's mission is to ensure safe, decent, and affordable housing for low-income families; create opportunities for residents' self-sufficiency and economic independence; reduce improper payments; and support mixed-income developments to replace distressed public housing.

**Object Classification** (in millions of dollars)

Identification code 086-0337-0-1-604	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	139	145	145
11.5 Other personnel compensation .....	3	6	5
11.9 Total personnel compensation .....	142	151	150
12.1 Civilian personnel benefits .....	42	44	46
21.0 Travel and transportation of persons .....	3	3	3
25.2 Other services from non-Federal sources .....	8	8	5
25.3 Other goods and services from Federal sources .....			17
99.9 Total new obligations .....	195	206	221

**Employment Summary**

Identification code 086-0337-0-1-604	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,350	1,396	1,390

**COMMUNITY PLANNING AND DEVELOPMENT**

For necessary salaries and expenses of the Office of Community Planning and Development, **[\$104,800,000]** \$110,259,000. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0338-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	77	79	81
0002 Benefits .....	22	22	22
0006 Non-personnel expenses .....	2	4	7
0007 Disaster supplemental - PS .....	1	2	2
0900 Total new obligations .....	102	107	112

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	6	5
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	102	105	110
1120 Appropriations transferred to other accts [086-0335] .....	-1		
1121 Appropriations transferred from other acct [086-0162] .....		1	2
1121 Appropriations transferred from other acct [086-0143] .....			3
1160 Appropriation, discretionary (total) .....	101	106	115
1930 Total budgetary resources available .....	109	112	120
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	6	5	8

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	5
3010 Obligations incurred, unexpired accounts .....	102	107	112
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-102	-107	-112
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	5	5	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	5	5
3200 Obligated balance, end of year .....	5	5	5

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	101	106	115
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	97	104	109
4011 Outlays from discretionary balances .....	5	3	3
4020 Outlays, gross (total) .....	102	107	112
4180 Budget authority, net (total) .....	101	106	115
4190 Outlays, net (total) .....	102	107	112

This account provides funding for all salaries and expenses of the Office of Community Planning and Development, including the Office of the Assistant Secretary. The Office provides funding to a broad array of state and local governments and non-profit and for-profit organizations to administer a wide range of housing, economic development, and homeless assistance, as well as integrated planning for housing, transportation and infrastructure, disaster recovery, and other community development activities in urban and rural areas across the country.

The Budget also proposes to consolidate administrative funds that are associated with specific Community Development Block Grant-Disaster Recovery (CDBG-DR) supplemental appropriations to support the Department's oversight of the full and growing CDBG-DR portfolio.

**Object Classification** (in millions of dollars)

Identification code 086-0338-0-1-451	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	78	81	82
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....	78	81	83
12.1 Civilian personnel benefits .....	22	22	22
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	3	1
25.3 Other goods and services from Federal sources .....			5
99.9 Total new obligations .....	102	107	112

**Employment Summary**

Identification code 086-0338-0-1-451	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	747	748	748

**HOUSING**

For necessary salaries and expenses of the Office of Housing, **[\$375,000,000]** \$393,148,000. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0334-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	280	278	284
0002 Benefits .....	83	82	82
0003 Non-Personnel Services .....	10	15	27
0900 Total new obligations .....	373	375	393

**Budgetary resources:**

<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	379	375	393
1120 Appropriations transferred to other accts [086-0335] .....	-1		
1160 Appropriation, discretionary (total) .....	378	375	393
1930 Total budgetary resources available .....	379	375	393
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-6		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	24	5
3010 Obligations incurred, unexpired accounts .....	373	375	393
3011 Obligations incurred, expired accounts .....	14		
3020 Outlays (gross) .....	-370	-394	-392
3041 Recoveries of prior year unpaid obligations, expired .....	-14		
3050 Unpaid obligations, end of year .....	24	5	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21	24	5
3200 Obligated balance, end of year .....	24	5	6

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	378	375	393
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	353	370	388
4011 Outlays from discretionary balances .....	17	24	4
4020 Outlays, gross (total) .....	370	394	392
4180 Budget authority, net (total) .....	378	375	393
4190 Outlays, net (total) .....	370	394	392

This account provides funding for all salaries and expenses of the Office of Housing, including the Office of the Federal Housing Commissioner. The mission of the Office is to maintain and expand homeownership,

**HOUSING—Continued**

rental housing and healthcare opportunities; stabilize credit markets in times of economic disruption; and contribute to building and preserving healthy neighborhoods and communities.

**Object Classification** (in millions of dollars)

Identification code 086-0334-0-1-604	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	274	273	279
11.5 Other personnel compensation .....	6	5	5
11.9 Total personnel compensation .....	280	278	284
12.1 Civilian personnel benefits .....	83	82	82
21.0 Travel and transportation of persons .....	4	5	4
22.0 Transportation of things .....	1		
25.2 Other services from non-Federal sources .....	5	10	9
25.3 Other goods and services from Federal sources .....			14
99.9 Total new obligations .....	373	375	393

**Employment Summary**

Identification code 086-0334-0-1-604	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,744	2,708	2,717

**POLICY DEVELOPMENT AND RESEARCH**

For necessary salaries and expenses of the Office of Policy Development and Research, **[\$23,100,000]** \$24,500,000. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 086-0339-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	16	17	18
0002 Benefits .....	5	5	5
0003 Non-personnel expenses .....	2	1	2
0900 Total new obligations .....	23	23	25
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	23	23	25
1121 Appropriations transferred from other acct [086-0337] .....	1		
1160 Appropriation, discretionary (total) .....	24	23	25
1930 Total budgetary resources available .....	24	23	25
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	1
3010 Obligations incurred, unexpired accounts .....	23	23	25
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-22	-24	-25
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	2	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	2	1
3200 Obligated balance, end of year .....	2	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	24	23	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21	23	25
4011 Outlays from discretionary balances .....	1	1	
4020 Outlays, gross (total) .....	22	24	25
4180 Budget authority, net (total) .....	24	23	25
4190 Outlays, net (total) .....	22	24	25

This account provides funding for all salaries and expenses of the Office of Policy Development and Research, including the Office of the Assistant Secretary. The Office is responsible for conducting research on priority housing and community development issues and maintaining current information on housing needs, market conditions, and program evaluations. The Office also provides objective data, technical and statistical sampling support, and analysis to help inform policy decisions.

**Object Classification** (in millions of dollars)

Identification code 086-0339-0-1-451	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	17	18
12.1 Civilian personnel benefits .....	5	5	5
25.2 Other services from non-Federal sources .....	2	1	1
25.3 Other goods and services from Federal sources .....			1
99.9 Total new obligations .....	23	23	25

**Employment Summary**

Identification code 086-0339-0-1-451	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	147	149	155

**FAIR HOUSING AND EQUAL OPPORTUNITY**

For necessary salaries and expenses of the Office of Fair Housing and Equal Opportunity, **[\$72,000,000]** \$74,235,000. (*Department of Housing and Urban Development Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 086-0340-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	49	53	54
0002 Benefits .....	15	16	16
0003 Non-personnel expenses .....	2	3	4
0900 Total new obligations .....	66	72	74
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	68	72	74
1930 Total budgetary resources available .....	68	72	74
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	1
3010 Obligations incurred, unexpired accounts .....	66	72	74
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-65	-75	-74
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	4	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	4	1
3200 Obligated balance, end of year .....	4	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	68	72	74
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	63	71	73
4011 Outlays from discretionary balances .....	2	4	1
4020 Outlays, gross (total) .....	65	75	74
4180 Budget authority, net (total) .....	68	72	74
4190 Outlays, net (total) .....	65	75	74

This account provides funding for all salaries and expenses of the Office of Fair Housing and Equal Opportunity, including the Office of the Assistant Secretary. The Office administers and enforces the Fair Housing Act and

other civil rights laws and establishes policies to ensure all Americans have equal access to the housing of their choice.

**Object Classification** (in millions of dollars)

Identification code 086-0340-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	48	51	52
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	49	53	54
12.1 Civilian personnel benefits .....	15	16	16
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	2	1
25.3 Other goods and services from Federal sources .....			2
99.9 Total new obligations .....	66	72	74

**Employment Summary**

Identification code 086-0340-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	490	514	518

**OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES**

For necessary salaries and expenses of the Office of Lead Hazard Control and Healthy Homes, **[\$7,000,000]** \$7,826,000. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0341-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	5	5	5
0002 Benefits .....	2	2	3
0900 Total new obligations .....	7	7	8
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	7	7	8
1930 Total budgetary resources available .....	7	7	8
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	7	7	8
3020 Outlays (gross) .....	-7	-7	-8
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	7	7	8
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	7	7	8
4180 Budget authority, net (total) .....	7	7	8
4190 Outlays, net (total) .....	7	7	8

This account provides funding for all salaries and expenses of the Office of Lead Hazard Control and Healthy Homes. The Office seeks to eliminate lead-based paint hazards in America's privately-owned and low-income housing and to lead the nation in addressing other housing-related health hazards that threaten vulnerable low-income residents.

**Object Classification** (in millions of dollars)

Identification code 086-0341-0-1-451	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	3
99.9 Total new obligations .....	7	7	8

**Employment Summary**

Identification code 086-0341-0-1-451	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	47	46	49

**SALARIES AND EXPENSES**

**Program and Financing** (in millions of dollars)

Identification code 086-0143-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Gulf Coast Disaster related activities .....	3	1	
0900 Total new obligations (object class 25.2) .....	3	1	
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	4	3
<b>Budget authority:</b>			
Appropriations, discretionary:			
1120 Appropriations transferred to other acct [086-0338] .....			-3
1900 Budget authority (total) .....			-3
1930 Total budgetary resources available .....	7	4	
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	3	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	3	1	
3020 Outlays (gross) .....	-3		
3050 Unpaid obligations, end of year .....		1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			-3
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	3		
4180 Budget authority, net (total) .....			-3
4190 Outlays, net (total) .....	3		

Beginning with the passage of the Consolidated Appropriations Act, 2008, this account no longer receives annual appropriations for Departmental administrative expenses. Instead, salary and expense funds are distributed across multiple accounts, achieving greater transparency and accountability within the Department. Resources in this account reflect prior-year disaster supplemental appropriations.

The Budget also proposes to consolidate administrative funds that are associated with specific Community Development Block Grant-Disaster Recovery (CDBG-DR) supplemental appropriations to support the Department's oversight of the full and growing CDBG-DR portfolio.

**OFFICE OF INSPECTOR GENERAL**

For necessary salaries and expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$126,000,000]** \$129,000,000: *Provided*, That the Inspector General shall have independent authority over all personnel and acquisition issues within this office. (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-0189-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 OIG Salaries and Benefits .....	94	98	99
0002 OIG Non-Personnel Costs .....	32	28	30
0004 Hurricane Sandy and Other Disaster related activities .....	2		
0900 Total new obligations .....	128	126	129

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 086-0189-0-1-451	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	126	126	129
1930 Total budgetary resources available .....	134	132	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	18	23
3010 Obligations incurred, unexpired accounts .....	128	126	129
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-125	-117	-134
3041 Recoveries of prior year unpaid obligations, expired .....	-5	-4	-2
3050 Unpaid obligations, end of year .....	18	23	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	18	23
3200 Obligated balance, end of year .....	18	23	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	126	126	129
Outlays, gross:			
4010 Outlays from new discretionary authority .....	109	105	107
4011 Outlays from discretionary balances .....	16	12	27
4020 Outlays, gross (total) .....	125	117	134
4180 Budget authority, net (total) .....	126	126	129
4190 Outlays, net (total) .....	125	117	134

The Office of the Inspector General (OIG) provides independent and objective reviews of the integrity, efficiency and effectiveness of Departmental programs and operations. Through various activities, the OIG seeks to promote efficiency and effectiveness in programs and operations, detect and deter fraud and abuse, investigate allegations of misconduct by HUD employees, and review and make recommendations regarding existing and proposed legislation and regulations affecting HUD. The Budget includes \$129 million to support agency-wide audit and investigative functions.

Object Classification (in millions of dollars)

Identification code 086-0189-0-1-451	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	66	68	69
11.5 Other personnel compensation .....	6	6	6
11.9 Total personnel compensation .....	72	74	75
12.1 Civilian personnel benefits .....	24	24	24
21.0 Travel and transportation of persons .....	4	4	5
23.1 Rental payments to GSA .....	9	8	8
25.2 Other services from non-Federal sources .....	18	15	16
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	128	126	129

Employment Summary

Identification code 086-0189-0-1-451	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	599	643	655

INFORMATION TECHNOLOGY FUND

For the development of, modifications to, and infrastructure for Department-wide and program-specific information technology systems, for the continuing operation and maintenance of both Department-wide and program-specific information systems, and for program-related maintenance activities, **[\$250,000,000] \$286,000,000, of which \$239,000,000 shall remain available until September 30, [2017] 2018, and**

of which \$47,000,000 shall remain available until September 30, 2019: Provided, That any amounts transferred to this Fund under this Act shall remain available until expended: *Provided further*, That any amounts transferred to this Fund from amounts appropriated by previously enacted appropriations Acts may be used for the purposes specified under this Fund, in addition to any other information technology purposes for which such amounts were appropriated. (Department of Housing and Urban Development Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 086-4586-0-4-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Information Technology Expenses .....	302	343	295
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	145	99	10
1021 Recoveries of prior year unpaid obligations .....	3	4	4
1050 Unobligated balance (total) .....	148	103	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	250	250	286
1121 Appropriations transferred from other acct [086-0337] .....	3		
1160 Appropriation, discretionary (total) .....	253	250	286
1900 Budget authority (total) .....	253	250	286
1930 Total budgetary resources available .....	401	353	300
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	99	10	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	185	205	262
3010 Obligations incurred, unexpired accounts .....	302	343	295
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-276	-282	-292
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-4	-4
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	205	262	261
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	185	205	262
3200 Obligated balance, end of year .....	205	262	261
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	253	250	286
Outlays, gross:			
4010 Outlays from new discretionary authority .....	52	70	80
4011 Outlays from discretionary balances .....	224	212	212
4020 Outlays, gross (total) .....	276	282	292
4180 Budget authority, net (total) .....	253	250	286
4190 Outlays, net (total) .....	276	282	292

The Information Technology Fund funds the information technology (IT) systems that support Departmental programs and operations, including FHA Mortgage Insurance, housing assistance, grant and disaster relief programs and general operations. The Budget provides \$286 million for the development, modernization, enhancement, operation and maintenance of HUD's IT infrastructure and systems, including \$239 million with a two-year period of availability and \$47 million with a three-year period of availability.

The Budget fully supports current operations and funds limited upgrades that will focus on efficiency and security. System operating platforms with the greatest vulnerabilities will be upgraded or replaced, and the cybersecurity framework will be enhanced. The Budget also emphasizes enterprise investments that will improve customer focus and decision making while replacing redundant and outdated systems.

Object Classification (in millions of dollars)

Identification code 086-4586-0-4-451	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	95	107	90
25.3 Other goods and services from Federal sources .....	3	3	3
25.7 Operation and maintenance of equipment .....	202	230	200

31.0	Equipment .....	2	3	2
99.9	Total new obligations .....	302	343	295

**WORKING CAPITAL FUND**  
(INCLUDING TRANSFER OF FUNDS)

There is hereby established in the United States Treasury, pursuant to section 7(f) of the Department of Housing and Urban Development Act (42 U.S.C. 3535(f)), a working capital fund for the Department of Housing and Urban Development (referred to in this paragraph as the "Fund"): *Provided, That*, pursuant, in part, to section 7(f) of the Department of Housing and Urban Development Act (42 U.S.C. 3535(f)), amounts transferred to the Fund under this heading shall be available for Federal shared services used by offices and agencies of the Department, and for such portion of any office or agency's printing, records management, space renovation, furniture, or supply services as the Secretary determines shall be derived from centralized sources made available by the Department to all offices and agencies and funded through the Fund: *Provided further*, That of the amounts made available in this title for salaries and expenses under the headings "Executive Offices", "Administrative Support Offices", "Program Office Salaries and Expenses", and "Government National Mortgage Association", the Secretary shall transfer to the Fund such amounts, to remain available until expended, as are necessary to fund services, specified in the first proviso, for which the appropriation would otherwise have been available, and may transfer not to exceed an additional \$10,000,000, in aggregate, from all such appropriations, to be merged with the Fund and to remain available until expended for use for any office or agency: *Provided further*, That amounts in the Fund shall be the only amounts available to each office or agency of the Department for the services, or portion of services, specified in the first proviso: *Provided further*, That with respect to the Fund, the authorities and conditions under this heading shall supplant supplement the authorities and conditions provided under such section 7(f) of the Department of Housing and Urban Development Act (Department of Housing and Urban Development Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 086-4598-0-4-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Financial Management, Procurement, Travel, and Relocation .....		27	
0002 Human Resources Services and Systems .....		17	
0100 Direct program activities, subtotal .....		44	
0799 Total direct obligations .....		44	
0801 Financial Management, Procurement, Travel, and Relocation .....			28
0802 Human Resources Services and Systems .....			19
0803 Federal Register Printing .....			1
0899 Total reimbursable obligations .....			48
0900 Total new obligations .....		44	48
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [086-0335] .....		27	
1121 Appropriations transferred from other acct [086-0335] .....		17	
1160 Appropriation, discretionary (total) .....		44	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			48
1900 Budget authority (total) .....		44	48
1930 Total budgetary resources available .....		44	48
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		44	48
3020 Outlays (gross) .....		-44	-48
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		44	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....		44	48
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-48
4180 Budget authority, net (total) .....		44	
4190 Outlays, net (total) .....		44	

The Department of Housing and Urban Development's Working Capital Fund (WCF) was established by the Consolidated Appropriations Act, 2016. The purpose of the WCF is to promote economy, efficiency, and accountability. Amounts transferred to the Fund are for Federal shared services used by offices and agencies of the Department, and are derived from centralized Salaries and Expenses accounts starting in 2016. The WCF is revolving in nature and provides the following shared services: financial management, procurement, travel, relocation, human resources, and, in 2017, Federal Register printing. Starting in 2017, services will be provided to the Department's customers on a fee-for-service basis. Financial management, procurement, travel, and relocation costs for services provided to the Office of the Inspector General are covered by the Office of the Chief Financial Officer.

**Object Classification** (in millions of dollars)

Identification code 086-4598-0-4-604	2015 actual	2016 est.	2017 est.
25.3 Direct obligations: Other goods and services from Federal sources .....		44	
25.3 Reimbursable obligations: Other goods and services from Federal sources .....			48
99.0 Reimbursable obligations .....			48
99.9 Total new obligations .....		44	48

**TRANSFORMATION INITIATIVE**

**Program and Financing** (in millions of dollars)

Identification code 086-0402-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 TI Research and Demonstrations .....	13	5	
0002 TI Technical Assistance and Capacity Building .....	3		
0900 Total new obligations (object class 25.2) .....	16	5	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	6	1
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	22	6	1
1930 Total budgetary resources available .....	22	6	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	168	112	63
3010 Obligations incurred, unexpired accounts .....	16	5	
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-69	-54	-32
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	112	63	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	168	112	63
3200 Obligated balance, end of year .....	112	63	31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	69	54	32
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	69	54	32

The Transformation Initiative (TI) was initiated in 2010 to increase the effectiveness of HUD's program and service delivery, improve program outcomes, and enable innovative approaches to address the Nation's housing and urban development problems. A central concept of TI was to make the Department's investments increasingly coordinated, efficient, and effective through focused investments in three complementary purposes: 1) research and evaluation, 2) program demonstrations, and 3) technical assistance and

TRANSFORMATION INITIATIVE—Continued

capacity building for HUD's customers and partners. The Budget does not request funding or transfer authority for the Transformation Initiative. Instead, the Budget requests the authority to transfer a portion of program funds to the Office of Policy Development and Research's Research and Technology account to support continued investments in these important activities.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
086-279930 Native Hawaiian Housing Loan Guarantees, Downward Reestimates of Subsidies .....	1		
086-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....		1	
086-274330 Indian Housing Loan Guarantees, Downward Reestimates of Subsidies .....	21	1	
086-276230 Title VI Indian Loan Guarantee Downward Reestimate ....		5	
086-267810 Green Retrofit Program for Multifamily Housing, Downward Reestimates of Subsidies .....		13	
086-277330 Community Development Loan Guarantees, Downward Reestimates .....		60	
086-271930 FHA-general and Special Risk, Downward Reestimates of Subsidies .....	2,276	1,468	
086-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	3	12	12
086-271910 FHA-general and Special Risk, Negative Subsidies .....	521	532	464
General Fund Offsetting receipts from the public .....	2,822	2,092	476
Intragovernmental payments:			
086-388510 Undistributed Intragovernmental Payments .....	11	7	7
General Fund Intragovernmental payments .....	11	7	7

GENERAL PROVISIONS—DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

(INCLUDING TRANSFER OF FUNDS)

[(INCLUDING RESCISSIONS)]

SEC. 201. Section 1012(b) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437f note) is amended to read as follows:

"Fifty percent of the amounts of budget authority, or in lieu thereof 50 percent of the cash amounts associated with such budget authority, that are recaptured from projects described in section 1012(a) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437f note) shall be [rescinded] cancelled or in the case of cash, shall be remitted to the Treasury, and such amounts of budget authority or cash recaptured and not [rescinded] cancelled or remitted to the Treasury shall be used by State housing finance agencies or local governments or local housing agencies with projects approved by the Secretary of Housing and Urban Development for which settlement occurred after January 1, 1992, in accordance with such section. Notwithstanding the previous sentence, the Secretary may award up to 15 percent of the budget authority or cash recaptured and not [rescinded] cancelled or remitted to the Treasury to provide project owners with incentives to refinance their project at a lower interest rate."

SEC. 202. None of the amounts made available under this Act may be used during fiscal year [2016] 2017 to investigate or prosecute under the Fair Housing Act any otherwise lawful activity engaged in by one or more persons, including the filing or maintaining of a nonfrivolous legal action, that is engaged in solely for the purpose of achieving or preventing action by a Government official or entity, or a court of competent jurisdiction.

SEC. 203. Sections 203 (except subsection (d)) and 209 of division C of Public Law 112-55 (125 Stat. 693-694) shall apply during fiscal year [2016] 2017 as if such sections were included in this title, except that during such fiscal year such sections shall be applied by substituting ["fiscal year 2016"] "fiscal year 2017" for "fiscal year 2011" and for "fiscal year 2012" each place such terms appear, which shall be adjusted, through a notice published by the Secretary, to reflect the number of persons living with human immunodeficiency virus (HIV), the housing cost factor, and the community need factor in Section 854(c) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12903(c)) in lieu of the number of cases of acquired immunodeficiency syndrome (AIDS), and shall be amended to reflect revised

delineations of statistical areas established by the Office of Management and Budget pursuant to 44 U.S.C. 3504(e)(3), 31 U.S.C. 1104(d), and Executive Order No. 10253.

SEC. 204. Except as explicitly provided in law, any grant, cooperative agreement or other assistance made pursuant to title II of this Act shall be made on a competitive basis and in accordance with section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545).

SEC. 205. Section 7 of the Department of Housing and Urban Development Act (42 U.S.C. 3535) is amended by adding at the end the following new subsection:

"(u)(1) Funds of the Department of Housing and Urban Development subject to the Government Corporation Control Act or section 402 of the Housing Act of 1950 shall be available, without regard to the limitations on administrative expenses, for legal services on a contract or fee basis, and for utilizing and making payment for services and facilities of the Federal National Mortgage Association, Government National Mortgage Association, Federal Home Loan Mortgage Corporation, Federal Financing Bank, Federal Reserve banks or any member thereof, Federal Home Loan banks, and any insured bank within the meaning of the Federal Deposit Insurance Corporation Act, as amended (12 U.S.C. 1811-1).

"(2) Corporations and agencies of the Department of Housing and Urban Development which are subject to the Government Corporation Control Act are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for such corporation or agency except as hereinafter provided: Provided, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government."

[SEC. 206. Unless otherwise provided for in this Act or through a reprogramming of funds, no part of any appropriation for the Department of Housing and Urban Development shall be available for any program, project or activity in excess of amounts set forth in the budget estimates submitted to Congress.]

[SEC. 207. Corporations and agencies of the Department of Housing and Urban Development which are subject to the Government Corporation Control Act are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for 2016 for such corporation or agency except as hereinafter provided: Provided, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government.]

[SEC. 208. The Secretary of Housing and Urban Development shall provide quarterly reports to the House and Senate Committees on Appropriations regarding all uncommitted, unobligated, recaptured and excess funds in each program and activity within the jurisdiction of the Department and shall submit additional, updated budget information to these Committees upon request.]

[SEC. 209. The President's formal budget request for fiscal year 2017, as well as the Department of Housing and Urban Development's congressional budget justifications to be submitted to the Committees on Appropriations of the House of Representatives and the Senate, shall use the identical account and sub-account structure provided under this Act.]

SEC. [210] 206. A public housing agency or such other entity that administers Federal housing assistance for the Housing Authority of the county of Los Angeles, California, and the States of Alaska, Iowa, and Mississippi shall not be required to include a resident of public housing or a recipient of assistance provided under section 8 of the United States Housing Act of 1937 on the board of directors or a similar governing board of such agency or entity as required under section (2)(b) of such Act. Each public housing agency or other entity that administers Federal housing assistance under section 8 for the Housing Authority of the county of Los Angeles, California and the States of Alaska, Iowa and Mississippi that chooses not to include a resident of public housing or a recipient of section 8 assistance on the board of directors or a similar governing board shall establish an advisory board of not less than six residents of public housing or recipients of section 8 assistance to

provide advice and comment to the public housing agency or other administering entity on issues related to public housing and section 8. Such advisory board shall meet not less than quarterly.

**SEC. 211.** No funds provided under this title may be used for an audit of the Government National Mortgage Association that makes applicable requirements under the Federal Credit Reform Act of 1990 (2 U.S.C. 661 et seq.).

**SEC. [212]207.** (a) Notwithstanding any other provision of law, subject to the conditions listed under this section, for fiscal years [2016] 2017 and [2017] 2018, the Secretary of Housing and Urban Development may authorize the transfer of some or all project-based assistance, debt held or insured by the Secretary and statutorily required low-income and very low-income use restrictions if any, associated with one or more multifamily housing project or projects to another multifamily housing project or projects.

(b) **PHASED TRANSFERS.**—Transfers of project-based assistance under this section may be done in phases to accommodate the financing and other requirements related to rehabilitating or constructing the project or projects to which the assistance is transferred, to ensure that such project or projects meet the standards under subsection (c).

(c) The transfer authorized in subsection (a) is subject to the following conditions:

(1) **NUMBER AND BEDROOM SIZE OF UNITS.**—

(A) For occupied units in the transferring project: The number of low-income and very low-income units and the configuration (i.e., bedroom size) provided by the transferring project shall be no less than when transferred to the receiving project or projects and the net dollar amount of Federal assistance provided to the transferring project shall remain the same in the receiving project or projects.

(B) For unoccupied units in the transferring project: The Secretary may authorize a reduction in the number of dwelling units in the receiving project or projects to allow for a reconfiguration of bedroom sizes to meet current market demands, as determined by the Secretary and provided there is no increase in the project-based assistance budget authority.

(2) The transferring project shall, as determined by the Secretary, be either physically obsolete or economically nonviable.

(3) The receiving project or projects shall meet or exceed applicable physical standards established by the Secretary.

(4) The owner or mortgagor of the transferring project shall notify and consult with the tenants residing in the transferring project and provide a certification of approval by all appropriate local governmental officials.

(5) The tenants of the transferring project who remain eligible for assistance to be provided by the receiving project or projects shall not be required to vacate their units in the transferring project or projects until new units in the receiving project are available for occupancy.

(6) The Secretary determines that this transfer is in the best interest of the tenants.

(7) If either the transferring project or the receiving project or projects meets the condition specified in subsection (d)(2)(A), any lien on the receiving project resulting from additional financing obtained by the owner shall be subordinate to any FHA-insured mortgage lien transferred to, or placed on, such project by the Secretary, except that the Secretary may waive this requirement upon determination that such a waiver is necessary to facilitate the financing of acquisition, construction, and/or rehabilitation of the receiving project or projects.

(8) If the transferring project meets the requirements of subsection (d)(2), the owner or mortgagor of the receiving project or projects shall execute and record either a continuation of the existing use agreement or a new use agreement for the project where, in either case, any use restrictions in such agreement are of no lesser duration than the existing use restrictions.

(9) The transfer does not increase the cost (as defined in section 502 of the Congressional Budget Act of 1974, as amended) of any FHA-insured mortgage, except to the extent that appropriations are provided in advance for the amount of any such increased cost.

(d) For purposes of this section—

(1) the terms "low-income" and "very low-income" shall have the meanings provided by the statute and/or regulations governing the program under which the project is insured or assisted;

(2) the term "multifamily housing project" means housing that meets one of the following conditions—

(A) housing that is subject to a mortgage insured under the National Housing Act;

(B) housing that has project-based assistance attached to the structure including projects undergoing mark to market debt restructuring under the Multifamily Assisted Housing Reform and Affordability Housing Act;

(C) housing that is assisted under section 202 of the Housing Act of 1959, as amended by section 801 of the Cranston-Gonzales National Affordable Housing Act;

(D) housing that is assisted under section 202 of the Housing Act of 1959, as such section existed before the enactment of the Cranston-Gonzales National Affordable Housing Act;

(E) housing that is assisted under section 811 of the Cranston-Gonzales National Affordable Housing Act; or

(F) housing or vacant land that is subject to a use agreement;

(3) the term "project-based assistance" means—

(A) assistance provided under section 8(b) of the United States Housing Act of 1937;

(B) assistance for housing constructed or substantially rehabilitated pursuant to assistance provided under section 8(b)(2) of such Act (as such section existed immediately before October 1, 1983);

(C) rent supplement payments under section 101 of the Housing and Urban Development Act of 1965;

(D) interest reduction payments under section 236 and/or additional assistance payments under section 236(f)(2) of the National Housing Act;

(E) assistance payments made under section 202(c)(2) of the Housing Act of 1959; and

(F) assistance payments made under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act;

(4) the term "receiving project or projects" means the multifamily housing project or projects to which some or all of the project-based assistance, debt, and statutorily required low-income and very low-income use restrictions are to be transferred;

(5) the term "transferring project" means the multifamily housing project which is transferring some or all of the project-based assistance, debt, and the statutorily required low-income and very low-income use restrictions to the receiving project or projects; and

(6) the term "Secretary" means the Secretary of Housing and Urban Development.

(e) **[PUBLIC NOTICE AND] RESEARCH REPORT.**—

**[(1)]** The Secretary shall publish by notice in the Federal Register the terms and conditions, including criteria for HUD approval, of transfers pursuant to this section no later than 30 days before the effective date of such notice.

**[(2)]** The Secretary shall conduct an evaluation of the transfer authority under this section, including the effect of such transfers on the operational efficiency, contract rents, physical and financial conditions, and long-term preservation of the affected properties.

**SEC. [213]208.** (a) No assistance shall be provided under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) to any individual who—

(1) is enrolled as a student at an institution of higher education (as defined under section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002));

(2) is under 24 years of age;

(3) is not a veteran;

(4) is unmarried;

(5) does not have a dependent child;

(6) is not a person with disabilities, as such term is defined in section 3(b)(3)(E) of the United States Housing Act of 1937 (42 U.S.C. 1437a(b)(3)(E)) and was not receiving assistance under such section 8 as of November 30, 2005; and

(7) is not otherwise individually eligible, or has parents who, individually or jointly, are not eligible, to receive assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f).

(b) For purposes of determining the eligibility of a person to receive assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), any financial assistance (in excess of amounts received for tuition and any other required fees and charges) that an individual receives under the Higher Education Act of 1965 (20 U.S.C. 1001 et seq.), from private sources, or an institution of higher education (as defined under the Higher Education Act of 1965 (20 U.S.C. 1002)), shall be considered income to that individual, except for a person over the age of 23 with dependent children.

**SEC. 214.** The funds made available for Native Alaskans under the heading "Native American Housing Block Grants" in title II of this Act shall be allocated to the same Native Alaskan housing block grant recipients that received funds in fiscal year 2005.

**SEC. [215]209.** [Notwithstanding the limitation in the first sentence of section 255(g) of the National Housing Act (12 U.S.C. 1715z-20(g)), the Secretary of Housing and Urban Development may, until September 30, 2016, insure and enter into commitments to insure mortgages under such section 255.] *Section 255(g) of*

the National Housing Act (12 U.S.C. 1715z-20(g)) is amended by striking "AUTHORITY—" and all that follows through "275,000." and inserting "AMOUNT.—".

SEC. [216]210. Notwithstanding any other provision of law, in fiscal year [2016] 2017, in managing and disposing of any multifamily property that is owned or has a mortgage held by the Secretary of Housing and Urban Development, and during the process of foreclosure on any property with a contract for rental assistance payments under section 8 of the United States Housing Act of 1937 or other Federal programs, the Secretary shall maintain any rental assistance payments under section 8 of the United States Housing Act of 1937 and other programs that are attached to any dwelling units in the property. To the extent the Secretary determines, in consultation with the tenants and the local government, that such a multifamily property owned or held by the Secretary is not feasible for continued rental assistance payments under such section 8 or other programs, based on consideration of (1) the costs of rehabilitating and operating the property and all available Federal, State, and local resources, including rent adjustments under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 ("MAHRAA") and (2) environmental conditions that cannot be remedied in a cost-effective fashion, the Secretary may, in consultation with the tenants of that property, contract for project-based rental assistance payments with an owner or owners of other existing housing properties, or provide other rental assistance. The Secretary shall also take appropriate steps to ensure that project-based contracts remain in effect prior to foreclosure, subject to the exercise of contractual abatement remedies to assist relocation of tenants for imminent major threats to health and safety after written notice to and informed consent of the affected tenants and use of other available remedies, such as partial abatements or receivership. After disposition of any multifamily property described under this section, the contract and allowable rent levels on such properties shall be subject to the requirements under section 524 of MAHRAA.

SEC. [217]211. [The commitment authority funded by fees as provided under the heading "Community Development Loan Guarantees Program Account" may be used to guarantee, or make commitments to guarantee, notes, or other obligations issued by any State on behalf of non-entitlement communities in the State in accordance with the requirements of section 108 of the Housing and Community Development Act of 1974: *Provided*, That any State receiving such a guarantee or commitment shall distribute all funds subject to such guarantee to the units of general local government in non-entitlement areas that received the commitment.] **COMMUNITY DEVELOPMENT LOAN GUARANTEE AMENDMENTS.—Section 108 of the Housing and Community Development Act of 1974 (42 U.S.C. 5308) is amended—**

(1) in subsection (a) by inserting " by States on behalf of units of general local government in non-entitlement areas," after "issued by eligible public entities,";

(2) by striking subsection (k) and inserting the following new subsection:

"(k) The Secretary shall monitor the use by eligible public entities and states of commitment amounts authorized in appropriation Acts for any fiscal year. If the Secretary finds that 50 percent of the annual commitment amount has been committed, the Secretary may impose a limitation on the amount of guarantees any one entity may receive in any fiscal year of \$35,000,000 for units of general local government receiving grants under section 106(b) or states receiving grants under section 106(d), and \$7,000,000 for units of general local government receiving grants under section 106(d); or request the enactment of legislation increasing the annual commitment authority for guarantees under this section."; and

(3) by striking subsection (m) and inserting the following new subsection:

"(m) **DISTRIBUTION OF FUNDS TO LOCAL GOVERNMENTS IN NONENTITLEMENT AREAS.—**Any state receiving such a guarantee or commitment on behalf of non-entitlement areas shall distribute all funds that are subject to such guarantee to the units of general local government in non-entitlement areas that received the commitment."

SEC. 218. Public housing agencies that own and operate 400 or fewer public housing units may elect to be exempt from any asset management requirement imposed by the Secretary of Housing and Urban Development in connection with the operating fund rule: *Provided*, That an agency seeking a discontinuance of a reduction of subsidy under the operating fund formula shall not be exempt from asset management requirements. ]

SEC. 219. With respect to the use of amounts provided in this Act and in future Acts for the operation, capital improvement and management of public housing as authorized by sections 9(d) and 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(d) and (e)), the Secretary shall not impose any requirement or guideline relating to asset management that restricts or limits in any way the use of capital funds for central office costs pursuant to section 9(g)(1) or 9(g)(2) of the United States Housing Act of 1937 (42 U.S.C. 1437g(g)(1), (2)): *Provided*, That a public housing agency may not use capital funds authorized under section 9(d) for activities that are eligible under section 9(e) for assistance with amounts from the operating fund in excess of the amounts permitted under section 9(g)(1) or 9(g)(2). ]

SEC. [220]212. No official or employee of the Department of Housing and Urban Development shall be designated as an allotment holder unless the Office of the Chief Financial Officer has determined that such allotment holder has implemented an adequate system of funds control and has received training in funds control procedures and directives. The Chief Financial Officer shall ensure that there is a trained allotment holder for each HUD sub-office under the accounts "Executive Offices" and "Administrative Support Offices," as well as each account receiving appropriations for "Program Office Salaries and Expenses" [.] and "Government National Mortgage Association—Guarantees of Mortgage-Backed Securities Loan Guarantee Program Account" [., and "Office of Inspector General" ] within the Department of Housing and Urban Development.

SEC. [221]213. The Secretary of the Department of Housing and Urban Development shall, for fiscal year [2016] 2017, notify the public through the Federal Register and other means, as determined appropriate, of the issuance of a notice of the availability of assistance or notice of funding availability (NOFA) for any program or discretionary fund administered by the Secretary that is to be competitively awarded. Notwithstanding any other provision of law, for fiscal year [2016] 2017, the Secretary may make the NOFA available only on the Internet at the appropriate Government web site or through other electronic media, as determined by the Secretary.

SEC. 222. Payment of attorney fees in program-related litigation shall be paid from the individual program office and Office of General Counsel salaries and expenses appropriations. The annual budget submission for the program offices and the Office of General Counsel shall include any such projected litigation costs for attorney fees as a separate line item request. No funds provided in this title may be used to pay any such litigation costs for attorney fees until the Department submits for review a spending plan for such costs to the House and Senate Committees on Appropriations. ]

SEC. [223]214. The Secretary is authorized to transfer up to 10 percent or \$4,000,000, whichever is less, of funds appropriated for any office under the heading "Administrative Support Offices" or for any account under the general heading "Program Office Salaries and Expenses" to any other such office or account: *Provided*, That no appropriation for any such office or account shall be increased or decreased by more than 10 percent or \$4,000,000, whichever is less, without prior written [approval of] notice to the House and Senate Committees on Appropriations: *Provided further*, That the Secretary shall provide notification to such Committees three business days in advance of any such transfers under this section up to 10 percent or \$4,000,000, whichever is less.

SEC. [224]215. The Disaster Housing Assistance Programs, administered by the Department of Housing and Urban Development, shall be considered a "program of the Department of Housing and Urban Development" under section 904 of the McKinney Act for the purpose of income verifications and matching.

SEC. [225]216. (a) The Secretary of Housing and Urban Development shall take the required actions under subsection (b) when a multifamily housing project with a section 8 contract or contract for similar project-based assistance:

- (1) receives a Real Estate Assessment Center (REAC) score of 30 or less; or
- (2) receives a REAC score between 31 and 59 and:

- (A) fails to certify in writing to HUD within 60 days that all deficiencies have been corrected; or
- (B) receives consecutive scores of less than 60 on REAC inspections.

Such requirements shall apply to insured and noninsured projects with assistance attached to the units under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), but do not apply to such units assisted under section 8(o)(13) (42 U.S.C. 1437f(o)(13)) or to public housing units assisted with capital or operating funds under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g).

(b) The Secretary shall take the following required actions as authorized under subsection (a):

- (1) The Secretary shall notify the owner and provide an opportunity for response within 30 days. If the violations remain, the Secretary shall develop a Compliance, Disposition and Enforcement Plan within 60 days, with a specified timetable for correcting all deficiencies. The Secretary shall provide notice of the Plan to the owner, tenants, the local government, any mortgagees, and any contract administrator.

- (2) At the end of the term of the Compliance, Disposition and Enforcement Plan, if the owner fails to fully comply with such plan, the Secretary may require immediate replacement of project management with a management agent approved by the Secretary, and shall take one or more of the following actions, and provide additional notice of those actions to the owner and the parties specified above:

- (A) impose civil money penalties;
- (B) abate the section 8 contract, including partial abatement, as determined by the Secretary, until all deficiencies have been corrected;

(C) pursue transfer of the project to an owner, approved by the Secretary under established procedures, which will be obligated to promptly make all required repairs and to accept renewal of the assistance contract as long as such renewal is offered; or

(D) seek judicial appointment of a receiver to manage the property and cure all project deficiencies or seek a judicial order of specific performance requiring the owner to cure all project deficiencies.

(c) The Secretary shall also take appropriate steps to ensure that project-based contracts remain in effect, subject to the exercise of contractual abatement remedies to assist relocation of tenants for imminent major threats to health and safety after written notice to and informed consent of the affected tenants and use of other remedies set forth above. To the extent the Secretary determines, in consultation with the tenants and the local government, that the property is not feasible for continued rental assistance payments under such section 8 or other programs, based on consideration of (1) the costs of rehabilitating and operating the property and all available Federal, State, and local resources, including rent adjustments under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 ("MAHRAA") and (2) environmental conditions that cannot be remedied in a cost-effective fashion, the Secretary may, in consultation with the tenants of that property, contract for project-based rental assistance payments with an owner or owners of other existing housing properties, or provide other rental assistance. The Secretary shall report semi-annually on all properties covered by this section that are assessed through the Real Estate Assessment Center and have physical inspection scores of less than 30 or have consecutive physical inspection scores of less than 60. The report shall include:

(1) The enforcement actions being taken to address such conditions, including imposition of civil money penalties and termination of subsidies, and identify properties that have such conditions multiple times; and

(2) Actions that the Department of Housing and Urban Development is taking to protect tenants of such identified properties.

**SEC. [226]217.** [None of the funds made available by this Act, or any other Act, for purposes authorized under section 8 (only with respect to the tenant-based rental assistance program) and section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.), may be used by any public housing agency for any amount of salary, including bonuses, for the chief executive officer of which, or any other official or employee of which, that exceeds the annual rate of basic pay payable for a position at level IV of the Executive Schedule at any time during any public housing agency fiscal year 2016.]

**PHA COMPENSATION.**—Section 2(b) of the United States Housing Act of 1937 (42 U.S.C. 1437 (b)) is amended by adding the following new paragraph at the end:

"(A) Salary.—

"(A) General.—This paragraph establishes the maximum salary that a public housing agency may provide to its employees and the maximum annual contract amounts that may be paid to its contract personnel using funds provided under this Act. A public housing agency shall use the same salary structure as described in this paragraph and follow the requirements of uniform administrative rules for Federal grants and cooperative agreements and principles and standards for determining costs for Federal awards for all payments that it makes to its employees and for personnel hired as contractors when funds provided under this Act are used for such payments.

"(B) Salary structure.—

"(i) The base salary of public housing agency employees and the contract amount paid to contracted personnel from funds provided under this Act shall be based on the Federal General Schedule (GS) basic rate of pay, including locality adjustment, established under sections 5303 and 5304 of title 5, United States Code as follows:

"(I) For public housing agencies with fewer than 250 total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including a locality adjustment, for GS-11, step 10;

"(II) For public housing agencies with 250 to 1249 total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including locality adjustment, for GS-13, step 10;

"(III) For public housing agencies with 1250 or more total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including locality adjustment, for GS-15, step 10.

"(ii) Any amount of salary paid to an employee or of total annual payment to each contracted personnel that exceeds the amount provided under the structure of this paragraph must be from non-Federal non-Act sources.

"(iii) The salary structure provided in subparagraph (B)(i) shall be subject to any requirements that may be established for the General Schedule by an appropriations Act or by Presidential executive order for any Federal fiscal year.

"(iv) A public housing agency must certify that it has established detailed performance measures that describe how public housing agency employees or personnel hired as contractors may receive a salary or contract increase within the limits of subparagraph (B)(i). The certification shall be transmitted to the Secretary in a format as determined by the Secretary.

"(C) Definitions.—For purposes of this section—

"(i) Employee includes any member of a public housing agency organization whose salary is paid in whole or in part from funds provided under this Act, and regardless of whether such employee is full-time or part-time, temporary or permanent.

"(ii) Contracted personnel includes any member of a public housing agency organization whose position is procured under uniform administrative rules for Federal grants and cooperative agreements and who is paid in whole or in part from funds provided under this Act, and regardless of whether such individual is full-time or part-time, hourly, temporary or permanent. No such position shall be for a period beyond 5 years without re-procurement.

"(iii) Salary includes the annual basic rate of pay, including a locality adjustment, as provided in sub-paragraph (B) and any additional adjustments, such as may be provided for overtime or shift differentials, bonuses, or contract payments including bonuses. Salary does not include fringe benefits as defined in principles and standards for determining costs for Federal awards."

**SEC. 227.** None of the funds in this Act may be available for the doctoral dissertation research grant program at the Department of Housing and Urban Development. ]

**SEC. 228.** Section 24 of the United States Housing Act of 1937 (42 U.S.C. 1437v) is amended—

(1) in subsection (m)(1), by striking "fiscal year" and all that follows through the period at the end and inserting "fiscal year 2016."; and

(2) in subsection (o), by striking "September" and all that follows through the period at the end and inserting "September 30, 2016.".]

**SEC. 229.** None of the funds in this Act provided to the Department of Housing and Urban Development may be used to make a grant award unless the Secretary notifies the House and Senate Committees on Appropriations not less than 3 full business days before any project, State, locality, housing authority, tribe, nonprofit organization, or other entity selected to receive a grant award is announced by the Department or its offices. ]

**SEC. 230.** None of the funds made available by this Act may be used to require or enforce the Physical Needs Assessment (PNA). ]

**SEC. 231.** None of the funds made available by this Act nor any receipts or amounts collected under any Federal Housing Administration program may be used to implement the Homeowners Armed with Knowledge (HAWK) program. ]

**SEC. 232.** None of the funds made available in this Act shall be used by the Federal Housing Administration, the Government National Mortgage Administration, or the Department of Housing and Urban Development to insure, securitize, or establish a Federal guarantee of any mortgage or mortgage backed security that refinances or otherwise replaces a mortgage that has been subject to eminent domain condemnation or seizure, by a State, municipality, or any other political subdivision of a State. ]

**SEC. 233.** None of the funds made available by this Act may be used to terminate the status of a unit of general local government as a metropolitan city (as defined in section 102 of the Housing and Community Development Act of 1974 (42 U.S.C. 5302)) with respect to grants under section 106 of such Act (42 U.S.C. 5306). ]

**SEC. [234]218. EVALUATION FUNDING FLEXIBILITY PILOT.**—Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the Office of Policy Development and Research in the Department of Housing and Urban Development and functions thereof, for research, evaluation, or statistical purposes, and which are unexpended at the time of completion of a contract, grant, or cooperative agreement, may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that Office [subject to reprogramming requirements in section 405 of this Act].

**SEC. [235]219.** [Subsection (b) of section 225 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12755) is amended by adding at the end the following new sentence: "Such 30-day waiting period is not required if the grounds for the termination or refusal to renew involve a direct threat to the safety of the tenants or employees of the housing, or an imminent and serious threat to the property (and the termination or refusal to renew is in accordance with the requirements of State or local law)."] **MINIMUM LOCAL ALLOCATION.**—Section 217(b) of the

*Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12747(b)) is amended—*

(1) in paragraph (3)—

(A) by striking ", except as provided in paragraph (4)"; and

(B) by inserting ", except as provided in paragraph (4) of this section," following "or more, and"; and

(2) by amending paragraph (4) to read as follows:

"(4) **MINIMUM ALLOCATION.**— If the allocation under paragraph (3) for a participating jurisdiction is below \$500,000 for any three of the prior five consecutive fiscal years, the Secretary may determine that funds are not to be allocated to such jurisdiction in the sixth fiscal year."

**SEC. 236.** None of the funds under this title may be used for awards, including performance, special act, or spot, for any employee of the Department of Housing and Urban Development who is subject to administrative discipline in fiscal year 2016, including suspension from work.】

**SEC. 237.** The language under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112–55) is amended:

(1) In proviso eighteen, by inserting "for fiscal year 2012 and hereafter," after "Provided further, That"; and

(2) In proviso nineteen, by striking ", which may extend beyond fiscal year 2016 as necessary to allow processing of all timely applications."】

**SEC. 238.** Section 526 (12 U.S.C. 1735f-4) of the National Housing Act is amended by inserting at the end of subsection (b):

"(c) The Secretary may establish an exception to any minimum property standard established under this section in order to address alternative water systems, including cisterns, which meet requirements of State and local building codes that ensure health and safety standards."】

**SEC. 239.** The Secretary of Housing and Urban Development shall increase, pursuant to this section, the number of Moving to Work agencies authorized under section 204, title II, of the Departments of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1996 (Public Law 104–134; 110 Stat. 1321) by adding to the program 100 public housing agencies that are designated as high performing agencies under the Public Housing Assessment System (PHAS) or the Section Eight Management Assessment Program (SEMAP). No public housing agency shall be granted this designation through this section that administers in excess of 27,000 aggregate housing vouchers and public housing units. Of the agencies selected under this section, no less than 50 shall administer 1,000 or fewer aggregate housing voucher and public housing units, no less than 47 shall administer 1,001–6,000 aggregate housing voucher and public housing units, and no more than 3 shall administer 6,001–27,000 aggregate housing voucher and public housing units. Of the 100 agencies selected under this section, five shall be agencies with portfolio awards under the Rental Assistance Demonstration that meet the other requirements of this section, including current designations as high performing agencies or such designations held immediately prior to such portfolio awards. Selection of agencies under this section shall be based on ensuring the geographic diversity of Moving to Work agencies. In addition to the preceding selection criteria, agencies shall be designated by the Secretary over a 7-year period. The Secretary shall establish a research advisory committee which shall advise the Secretary with respect to specific policy proposals and methods of research and evaluation for the demonstration. The advisory committee shall include program and research experts from the Department, a fair representation of agencies with a Moving to Work designation, and independent subject matter experts in housing policy research. For each cohort of agencies receiving a designation under this heading, the Secretary shall direct one specific policy change to be implemented by the agencies, and with the approval of the Secretary, such agencies may implement additional policy changes. All agencies designated under this section shall be evaluated through rigorous research as determined by the Secretary, and shall provide information requested by the Secretary to support such oversight and evaluation, including the targeted policy changes. Research and evaluation shall be coordinated under the direction of the Secretary, and in consultation with the advisory committee, and findings shall be shared broadly. The Secretary shall consult the advisory committee with respect to policy changes that have proven successful and can be applied more broadly to all public housing agencies, and propose any necessary statutory changes. The Secretary may, at the request of a Moving to Work agency and one or more adjacent public housing agencies in the same area, designate that Moving to Work agency as a regional agency. A regional Moving to Work agency may administer the assistance under sections 8 and 9 of the United States Housing Act of 1937 (42 U.S.C. 1437f and g) for the participating agencies within its region pursuant to the terms of its Moving to Work agreement with the Secretary. The Secretary may agree to extend the term of the agreement and to make any necessary changes to accommodate regionalization. A Moving to Work agency may be selected as a re-

gional agency if the Secretary determines that unified administration of assistance under sections 8 and 9 by that agency across multiple jurisdictions will lead to efficiencies and to greater housing choice for low-income persons in the region. For purposes of this expansion, in addition to the provisions of the Act retained in section 204, section 8(r)(1) of the Act shall continue to apply unless the Secretary determines that waiver of this section is necessary to implement comprehensive rent reform and occupancy policies subject to evaluation by the Secretary, and the waiver contains, at a minimum, exceptions for requests to port due to employment, education, health and safety. No public housing agency granted this designation through this section shall receive more funding under sections 8 or 9 of the United States Housing Act of 1937 than it otherwise would have received absent this designation. The Secretary shall extend the current Moving to Work agreements of previously designated participating agencies until the end of each such agency's fiscal year 2028 under the same terms and conditions of such current agreements, except for any changes to such terms or conditions otherwise mutually agreed upon by the Secretary and any such agency and such extension agreements shall prohibit any statutory offset of any reserve balances equal to 4 months of operating expenses. Any such reserve balances that exceed such amount shall remain available to any such agency for all permissible purposes under such agreement unless subject to a statutory offset. In addition to other reporting requirements, all Moving to Work agencies shall report financial data to the Department of Housing and Urban Development as specified by the Secretary, so that the effect of Moving to Work policy changes can be measured.】

**SEC. [240]220.** (a) **AUTHORITY.**—Subject to the conditions in subsection (d), the Secretary of Housing and Urban Development may authorize, in response to requests received in fiscal years [2016] 2017 through [2020] 2021, the transfer of some or all project-based assistance, tenant-based assistance, capital advances, debt, and statutorily required use restrictions from housing assisted under section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013) to other new or existing housing, which may include projects, units, and other types of housing, as permitted by the Secretary.

(b) **CAPITAL ADVANCES.**—Interest shall not be due and repayment of a capital advance shall not be triggered by a transfer pursuant to this section.

(c) **PHASED AND PROPORTIONAL TRANSFERS.**—

(1) Transfers under this section may be done in phases to accommodate the financing and other requirements related to rehabilitating or constructing the housing to which the assistance is transferred, to ensure that such housing meets the conditions under subsection (d).

(2) The capital advance repayment requirements, use restrictions, rental assistance, and debt shall transfer proportionally from the transferring housing to the receiving housing.

(d) **CONDITIONS.**—The transfers authorized by this section shall be subject to the following conditions:

(1) the owner of the transferring housing shall demonstrate that the transfer is in compliance with applicable Federal, State, and local requirements regarding Housing for Persons with Disabilities and shall provide the Secretary with evidence of obtaining any approvals related to housing disabled persons that are necessary under Federal, State, and local government requirements;

(2) the owner of the transferring housing shall demonstrate to the Secretary that any transfer is in the best interest of the disabled residents by offering opportunities for increased integration or less concentration of individuals with disabilities;

(3) the owner of the transferring housing shall continue to provide the same number of units as approved for rental assistance by the Secretary in the receiving housing;

(4) the owner of the transferring housing shall consult with the disabled residents in the transferring housing about any proposed transfer under this section and shall notify the residents of the transferring housing who are eligible for assistance to be provided in the receiving housing that they shall not be required to vacate the transferring housing until the receiving housing is available for occupancy;

(5) the receiving housing shall meet or exceed applicable physical standards established or adopted by the Secretary; and

(6) if the receiving housing has a mortgage insured under title II of the National Housing Act, any lien on the receiving housing resulting from additional financing shall be subordinate to any federally insured mortgage lien transferred to, or placed on, such housing, except that the Secretary may waive this requirement upon determination that such a waiver is necessary to facilitate the financing of acquisition, construction, or rehabilitation of the receiving housing.

(e) **PUBLIC NOTICE.**—The Secretary shall publish a notice in the Federal Register of the terms and conditions, including criteria for the Department's approval of

transfers pursuant to this section no later than 30 days before the effective date of such notice.

**SEC. 241.** (a) Of the unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under the heading "General and Special Risk Program Account", and for the cost of guaranteed notes and other obligations under the heading "Native American Housing Block Grants", \$12,000,000 is hereby permanently rescinded.

(b) All unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under the headings "Rural Housing and Economic Development", and "Homeownership and Opportunity for People Everywhere Grants" are hereby permanently rescinded. **]**

**SEC. [242] 221.** Funds made available in this title under the heading "Homeless Assistance Grants" may be used **[**by the Secretary **]** to participate in Performance Partnership Pilots authorized **[**in an appropriations Act for fiscal year 2016 as initially authorized **]** under section 526 of division H of Public Law 113–76, **[**and extended under **]** section 524 of division G of Public Law 113–235, *section 525 of division H of Public Law 114–113, and such authorities as are enacted for Performance Partnership Pilots in an appropriations Act for fiscal year 2017* **]**: *Provided, That such participation shall be limited to no more than 10 continuums of care and housing activities to improve outcomes for disconnected youth* **]**.

**SEC. 243.** With respect to grant amounts awarded under the heading "Homeless Assistance Grants" for fiscal years 2015 and 2016 for the Continuum of Care (CoC) program as authorized under subtitle C of title IV of the McKinney-Vento Homeless Assistance Act, costs paid by program income of grant recipients may count toward meeting the recipient's matching requirements, provided the costs are eligible CoC costs that supplement the recipients CoC program. **]**

**SEC. 244.** With respect to funds appropriated under the "Community Development Fund" heading for formula allocation to states pursuant to 42 U.S.C. 5306(d), the Secretary shall permit a jurisdiction to demonstrate compliance with 42 U.S.C. 5305(c)(2)(A) if it had been designated as majority low- and moderate-income pursuant to data from the 2000 decennial Census and it continues to have economic distress as evidenced by inclusion in a designated Rural Promise Zone or Distressed County as defined by the Appalachian Regional Commission. This section shall apply to any such state funds appropriated under such heading under this Act, in each fiscal year from 2017 through 2020, and under prior appropriation Acts (with respect to any such allocated but uncommitted funds available to any such state). **]**

**SEC. 222.** *Exception to Affordable Housing Qualification for Multifamily Housing Securing Loans Made by Certain Entities. Section 542(b)(9) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22(b)(9)) is amended at the end by inserting after the period the following: "This requirement does not apply to housing securing loans made to increase the availability of capital to small multifamily rental properties by entities approved by the Secretary as having demonstrated experience in making loans for low and moderate income multifamily housing; for these properties, first-year rents must be underwritten for each unit type at levels no higher than 30 percent of 80 percent of area median income for the appropriate household size, but property-level affordability restrictions and tenant income certifications shall not be required."*

**SEC. 223.** *Section 211 of the Department of Housing and Urban Development Appropriations Act, 2008, is repealed.*

**SEC. 224.** *Section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) is amended—*

(1) *in subsection (d), by adding the following new paragraph at the end:*

*"(4) REPLACEMENT RESERVE ACCOUNT.—A public housing agency receiving funds under this subsection may set aside, pursuant to requirements established by the Secretary, a portion of those funds for a replacement reserve account held by the Department of Treasury."*

(2) *in subsection (g), by—*

*(A) striking paragraph (1) and inserting the following new paragraph:*

*"(1) FLEXIBILITY OF CAPITAL AND OPERATING FUND AMOUNTS.—Of any amounts allocated for any fiscal year from the funds under subsections (d) and (e) for any public housing agency that is not designated pursuant to section 6(j)(2) as a troubled public housing agency and that, in the determination of the Secretary is operating and maintaining its public housing in a safe, clean, and healthy condition, the public housing agency may use 30 percent of such amounts for any eligible activities under subsections (d)(1) and (e)(1), regardless of the fund from which the amounts were allocated and provided.";* and

*(B) redesignating paragraph (3) as (4) and inserting the following new paragraph (3):*

*"(3) USE OF OPERATING RESERVES.—In addition to the amounts in paragraph (1), any public housing agency that is not designated pursuant to*

*section 6(j)(2) as a troubled public housing agency and that, in the determination of the Secretary, is operating and maintaining its public housing in a safe, clean, and healthy condition, may use amounts set aside in operating reserve accounts for purposes under subsection (d).";* and

*(3) in subsection (j)(4), by adding at the end the following new subparagraph:*

*"(C) CAPITAL REPLACEMENT RESERVES.—Funds placed in a capital replacement reserve account pursuant to subsection (d)(4) shall not be subject to the obligation and expenditure time limits in paragraphs (1) and (5)."*

**SEC. 225.** *GINNIE MAE SECURITIZATION.—*

*(a) Paragraph (8) of section 542(b) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22(b)) is amended in its title by deleting "Prohibition on" and in its text by revising it to read as follows:*

*"The Government National Mortgage Association shall not securitize any multifamily loans insured or reinsured under this subsection, except as provided herein. The Government National Mortgage Association may, at the discretion of the Secretary, securitize any multifamily loan, provided that—*

*"(A) the Federal Housing Administration provides mortgage insurance based on the unpaid principal balance of the loan, as shall be described in the Risk Share Agreement;*

*"(B) the Federal Housing Administration shall not require an assignment fee for mortgage insurance claims related to the securitized mortgages; and*

*"(C) any successors and assigns of the risk share partner (including the holders of credit instruments issued under a trust mortgage or deed of trust pursuant to which such holders act by and through a trustee therein named) shall not assume any obligation under the risk-sharing agreement and may assign any defaulted loan to the Federal Housing Administration in exchange for payment of the mortgage insurance claim.*

*The risk-sharing agreement must provide for reimbursement to the Secretary by the risk share partner(s) for either all or a portion of the losses incurred on the loans insured."*

*(b) Paragraph (6) of section 542(c) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22(c)) is amended in its title by deleting "Prohibition on" and in its text by revising it to read as follows:*

*"The Government National Mortgage Association may, at the discretion of the Secretary, securitize any multifamily loan insured under this subsection, provided that—*

*"(A) the Federal Housing Administration provides mortgage insurance based on the unpaid principal balance of the loan, as shall be described by regulation;*

*"(B) the Federal Housing Administration shall not require an assignment fee for mortgage insurance claims related to the securitized mortgages; and*

*"(C) any successors and assigns of the risk share partner (including the holders of credit instruments issued under a trust mortgage or deed of trust pursuant to which such holders act by and through a trustee therein named) shall not assume any obligation under the risk-sharing agreement and may assign any defaulted loan to the Federal Housing Administration in exchange for payment of the mortgage insurance claim. The risk-sharing agreement must provide for reimbursement to the Secretary by the risk share partner(s) for either all or a portion of the losses incurred on the loans insured."*

*(c) Clause (ii) of the first sentence of section 306(g)(1) of the National Housing Act (12 U.S.C. 1721(g)(1)) is amended by striking the semi-colon and inserting a comma, and by inserting before the period at the end the following: ", or which are insured under subsection (b) or (c) of section 542 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-22), subject to the terms of paragraph (8) and (6), respectively, of such subsection"*

**SEC. 226.** *SHOP AMENDMENTS.—Section 11 of the Housing Opportunity Program Extension Act of 1996 (42 U.S.C. 12805 note) is amended—*

*(1) in subsection (d)(2) by adding at the end the following new subparagraph:*

*"(C) PLANNING AND ADMINISTRATION.—Planning and administration of grant programs and activities, provided that such expenses do not exceed 20 percent of any grant made under this section.";*

*(2) in subsection (i)(5) by—*

*(A) striking "24" and inserting "36"; and*

*(B) striking ", except that" and all that follows through "such grant amounts";*

*(3) in subsection (j) by—*

*(A) inserting after the heading "(1) Redistribution of funds.—";*

*(B) striking "24" and inserting "36";*

*(C) striking "(or, in the case" and all that follows through "within 36 months); and*

*(D) adding at the end the following new paragraph:*

*"(2) DEADLINE FOR COMPLETION AND CONVEYANCE.—The Secretary shall establish a deadline (which may be extended for good cause as determined by*

the Secretary) by which time all units that have been assisted with grant funds under this section must be completed and conveyed."; and

(4) by striking subsection (q).

SEC. 227. FAIR MARKET RENTALS.—Paragraph (1) of section 8(c) of the United States Housing Act of 1937 (42 U.S.C. 1437f) is amended—

(a) by inserting "(A)" after the paragraph designation;

(b) by striking the fourth, seventh, eighth, and ninth sentences; and

(c) by adding at the end the following:

"(B) Publication of Fair Market Rentals.—Not less than annually:

"(1) The Secretary shall publish a notice in the Federal Register that proposed fair market rentals for an area have been published on the site of the Department on the Internet and in any other manner specified by the Secretary. Such notice shall describe proposed material changes in the methodology for estimating fair market rentals and shall provide reasonable time for public comment.

"(2) The Secretary shall publish a notice in the Federal Register that final fair market rentals have been published on the site of the Department on the internet and in any other manner specified by the Secretary. Such notice shall include the final decisions regarding proposed substantial methodological changes for estimating fair market rentals and responses to public comments."

SEC. 228. HOUSING COUNSELING AMENDMENTS.—

(a) Section 106 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701x) is amended—

(1) by adding at the end the following new subsection: "(j) Financial assistance.—For purposes of this section, the Secretary may enter into multiyear agreements as is appropriate, subject to the availability of annual appropriations.";

(2) in subsection (e)(2) by adding at the end the following sentence: "These standards may provide that an individual may also show competence to provide counseling by having successfully completed training in each of the six areas."; and

(3) in subsection (f)—(A) in paragraph (1), by inserting "or entities" after "(which may be a nonprofit organization)"; and (B) in paragraphs (3) through (6), by inserting "or entities" after the word "entity" each place such word appears.

(b) Section 4(g)(3)(A) of the Department of Housing and Urban Development Act (42 U.S.C. 3533(g)(3)(A)) is amended by—

(1) in clause (i), striking "and";

(2) in clause (ii), striking the period at the end and inserting "; and"; and

(3) adding at the end the following clause: "(iii) to accept and retain, on behalf of the Secretary, and subject to procedures established by the Secretary, funds from private entities, including mortgage lenders and servicers, and any funds made available to the Director pursuant to the settlement of any legal proceedings, to be distributed and used for housing counseling activities under section 106 of the Housing and Urban Development Act of 1968."

SEC. 229. Subsection 3(b) of the United States Housing Act of 1937 (42 U.S.C. 1437a(b)) is amended by revising subparagraph (ii) of paragraph (5)(A) to read as follows:

"(ii) HEALTH AND MEDICAL EXPENSES.—The amount, if any, by which 10 percent of annual family income is exceeded by the sum of—

(I) in the case of any elderly or disabled family, any unreimbursed health and medical care expenses; and

(II) any unreimbursed reasonable attendant care and auxiliary apparatus expenses for each handicapped member of the family to the extent necessary to enable any member of such family to be employed."

SEC. 230. LIHPRHA FLEXIBILITY.—The Low Income Housing Preservation and Resident Homeownership Act of 1990 is amended—

(1) in section 214 (12 U.S.C. 4104)—

(A) by revising subsection (a) to read as follows: "(a) ANNUAL AUTHORIZED RETURN.—Pursuant to an appraisal under section 213, the Secretary shall determine the annual authorized return on the appraised housing, which shall be— "(1) equal to 8 percent of the preservation equity (as such term is defined in section 229(8)); or "(2) an amount established by the Secretary through notice or regulation to facilitate the preservation of the housing as affordable housing through acquisition or refinancing."; and

(B) in subsection (c) by striking "section" and inserting "subtitle";

(2) by revising section 220(d)(2)(E) (12 U.S.C. 4110(d)(2)(E)) to read as follows: "(E) receive a distribution equal to the annual authorized return determined under section 214(e)"; and

(3) in section 222(e) (12 U.S.C. 4112(e))—

(A) in paragraph (1)—

(i) by striking "section shall be entitled to distribute" and inserting "subtitle shall be entitled to distribute";

(ii) in subparagraph (A), by striking "annually, all surplus cash generated by the property," and inserting "receive an annual authorized return determined under section 214(a)"; and

(iii) in subparagraph (B), by inserting "distribute" before "any funds accumulated"; and

(B) in paragraph (2)—

(i) by inserting "receives or" before "distributes"; and

(ii) in subparagraph (C), by inserting "renew or" before "extend".

SEC. 231. Subsection (a) of section 1018 of the Housing and Community Development Act of 1992 (42 U.S.C. 4852d), is amended by adding after paragraph 5 the following new paragraph:

"(6) AUTHORITY OF THE SECRETARY.—

"(A) INVESTIGATIONS.—The Secretary is authorized to conduct such investigations as may be necessary to administer and carry out his duties under this section. The Secretary is authorized to administer oaths and require by subpoena the production of documents, and the attendance and testimony of witnesses as the Secretary deems advisable. Nothing contained in this subparagraph shall prevent the Administrator of the Environmental Protection Agency from exercising authority under the Toxic Substances Control Act or this Act.

"(B) ENFORCEMENT.—Any district court of the United States within the jurisdiction of which an inquiry is carried, on application of the Attorney General, may, in the case of contumacy or refusal to obey a subpoena of the Secretary issued under this section, issue an order requiring compliance therewith; and any failure to obey such order of the court may be punished by the court as a contempt thereof."

SEC. 232. Section 184(b)(4) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a(b)(4)) is amended by adding at the end the following new subparagraphs:

"(E) The Secretary may authorize qualifying lenders to participate in a direct guarantee process for approving loans. If the Secretary determines that a mortgage insured through the direct guarantee process was not originated in accordance with the requirements established by the Secretary, then the Secretary may require the lender approved under this subparagraph to indemnify the Secretary for the loss, irrespective of whether the violation caused the mortgage default. If fraud or misrepresentation was involved in the direct guarantee process, the Secretary shall require the lender approved under this subparagraph to indemnify the Secretary for the loss regardless of when an insurance claim is paid.

"(F) Periodically, the Secretary may review the mortgages originating or underwriting single family mortgages under this section, as follows:

"(i) In conducting this review the Secretary shall compare that mortgagee with other mortgagees originating or underwriting loan guarantees for Indian housing based on the rates of defaults and claims for insured single family mortgage loans originated or underwritten by that mortgagee.

"(ii) The Secretary may also compare that mortgagee with such other mortgagees based on underwriting quality; geographic area served; or any commonly used factors the Secretary deems necessary for comparing mortgage default risk, provided that such comparison is of factors that the Secretary would expect to affect the default risk of mortgages insured by the Secretary.

"(iii) In carrying out the periodic review of mortgagee performance, the Secretary shall implement such comparisons by regulation, notice, or mortgagee letter.

"(iv) The Secretary may terminate the approval of a mortgagee to originate or underwrite loan guarantees for Indian Housing if the Secretary determines that the mortgage loans originated or underwritten by the mortgagee present an unacceptable risk to the Indian Housing Loan Guarantee fund based on a comparison of any of the factors set forth in this subparagraph or by a determination that the mortgagee engaged in fraud or misrepresentation."

SEC. 233. Section 184(l)(3) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a(l)(3)) is amended to read as follows: "(3) The term "Indian" has the same definition as in section 4(10) of the Native American Housing Assistance and Self-Determination Act of 1996."

SEC. 234. Section 184(l)(8) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a(l)(8)) is amended to read as follows:

"(8) Indian tribe.—

"(A) INDIAN TRIBE.—The term "Indian tribe" has the same definition as in section 4(13)(A) of the Native American Housing Assistance and Self-Determination Act of 1996.

"(B) FEDERALLY RECOGNIZED TRIBE.—The term "Federally recognized tribe" has the same definition as in section 4(13)(B) of the Native American Housing Assistance and Self-Determination Act of 1996.

"(C) STATE-RECOGNIZED TRIBE.—The term "State-recognized tribe" has the same definition as in section 4(13)(C)(i) of the Native American Housing Assistance and Self-Determination Act of 1996.

"(D) CONDITIONS.—Nothing in paragraph (C) shall be construed to confer upon a State-recognized tribe any rights, privileges, responsibilities, or obligations otherwise accorded Indian tribes recognized by the United States for other purposes."

SEC. 235. The fifth sentence in the second undesignated paragraph after section 221(f) of the National Housing Act (12 U.S.C. 1715(f)) is amended by inserting "or subsection (d)(4)" after "subsection (d)(3)".

SEC. 236. Section 221 of the National Housing Act (12 U.S.C. 1715) is amended by striking subsection (g)(4).

SEC. 237. Notwithstanding section 24(o) of the United States Housing Act of 1937 (42 U.S.C. 1437v(o)), amounts made available in prior appropriations Acts under the heading "Revitalization of Severely Distressed Public Housing (HOPE VI)" or under the heading "Choice Neighborhoods Initiative" may continue to be provided as assistance pursuant to such section.

SEC. 238. ADMINISTRATIVE SUPPORT FEE. Section 202 of the National Housing Act (12 U.S.C. 1708) is amended by adding the following new subsection:

"(i) Administration.—Notwithstanding any provision of law, and in addition to any other fees charged in connection with the provision of insurance under this title, in each fiscal year the Secretary may, effective on endorsements through September 30, 2019, charge and collect a fee not to exceed 4 basis points of the original principal balance of mortgages endorsed or submitted for insurance endorsement by the mortgagee that were insured under this title during the previous fiscal year.

"(A) Such fee collected from each mortgagee must be used as offsetting collections for part of the administrative contract expenses funding, information technology expenses, and any necessary salaries and expenses funding provided under the Mutual Mortgage Insurance Program Account under this title, for the purpose of modernizing FHA systems and supporting the implementation of new practices for interaction with lenders.

"(B) The Secretary must establish the amount of such fee through regulations, notice, Mortgagee Letter, or other administrative issuance after providing for public comment."

SEC. 239. Section 620 of the Manufactured Housing Construction and Safety Standards Act of 1974 (42 U.S.C. 5419) is amended in subsection (d)(2) by adding "or by notice published in the Federal Register" after "pursuant to rulemaking in accordance with section 553 of title 5".

SEC. 240. UTILITIES CONSERVATION PILOT.

(a) ESTABLISHMENT.—The Secretary of Housing and Urban Development may establish, through notice, a demonstration program to incent public housing agencies, as defined in section 3(b)(6) of the United States Housing Act of 1937 (in this section referred to as "the Act"), to implement measures to reduce their energy and water consumption.

(b) ELIGIBILITY.—Public housing agencies that operate public housing programs that meet the demonstration requirements, as determined by the Secretary, shall be eligible for participation in the demonstration.

(c) INCENTIVE.—The Secretary may provide an incentive as follows to an eligible public housing agency that uses capital funds, operating funds, grants, utility rebates, and other resources to reduce its energy and/or water consumption in accordance with a plan approved by the Secretary.

(1) BASE UTILITY CONSUMPTION LEVEL.—The initial base utility consumption level under the approved plan shall be set at the public housing agency's rolling base consumption level immediately prior to the installation of energy conservation measures.

(2) FIRST YEAR UTILITY COST SAVINGS.—For the first year that an approved plan is in effect, the Secretary shall allocate the utility consumption level in the public housing operating fund using the base utility consumption level.

(3) SUBSEQUENT YEAR SAVINGS.—For each subsequent year that the plan is in effect, the Secretary shall decrease the utility consumption level by one percent of the initial base utility consumption level per year until the utility consumption level equals the public housing agency's actual consumption level that followed the installation of energy conservation measures, at which time the plan will terminate.

(4) USE OF UTILITY COST SAVINGS.—The public housing agency may use the funds resulting from the energy conservation measures, in accordance with paragraphs (2) and (3), for either operating expenses, as defined by section 9(e)(1) of the Act, or capital improvements, as defined by section 9(d)(1) of the Act.

(5) DURATION OF PLAN.—The length in years of the utility conservation plan shall not exceed the number of percentage points in utility consumption reduction a public housing agency achieves through the energy conservation measures implemented under this demonstration, but in no case shall it exceed 20 years.

(6) OTHER REQUIREMENTS.—The Secretary may establish such other requirements as necessary to further the purposes of this demonstration.

(7) EVALUATION.—Each public housing agency participating in the demonstration shall submit to the Secretary such performance and evaluation reports concerning

the reduction in energy consumption and compliance with the requirements of this section as the Secretary may require.

(d) TERMINATION.—Public housing agencies may enter into this demonstration for 5 years after the date on which the demonstration program is commenced.

SEC. 241. Section 242 of the National Housing Act (12 U.S.C. 1715z-7) is amended by striking subsection (i).

SEC. 242. Title V of the National Housing Act is amended by striking section 521 (12 U.S.C. 1735e).

SEC. 243. AUTHORIZATION TO PARTICIPATE IN THE ORIGINATION OF FHA-INSURED LOANS. Section 203(b) of the National Housing Act (12 U.S.C. 1709(b)) is amended by striking paragraph (1) and inserting the following new paragraph: "(1) Have been made to a mortgagee approved by the Secretary or to a person or entity authorized by the Secretary under section 202(d) to participate in the origination of the mortgage, and be held by a mortgagee approved by the Secretary as responsible and able to service the mortgage properly."

SEC. 244. REVIEW OF MORTGAGEE PERFORMANCE.—Section 533 of the National Housing Act (12 U.S.C. 1735f-11) is amended—

(1) by amending subsection (a) to read as follows: "(a) Periodic review of mortgagee performance.—To reduce losses in connection with single family mortgage insurance programs under this Act, at least once a year the Secretary shall review the performance of insured single family mortgages originated, underwritten, or serviced by each mortgagee.;"

(2) by amending subsection (b) to read as follows: "(b) Comparison with other mortgagees.—In conducting the review required under subsection (a), for each mortgagee the Secretary may compare the performance of insured single family mortgage loans originated or underwritten by the mortgagee or serviced by the mortgagee or its sub-servicer with the performance of other mortgagees originating, underwriting, or servicing insured single family mortgage loans. The Secretary may make this comparison on any basis the Secretary determines appropriate, such as geographic area, varying underwriting and servicing standards, or populations served. The Secretary may implement such comparison through regulations, notice, Mortgagee Letter, or other administrative issuance.;"

(3) in subsection (c)—

(A) by amending the title to by inserting "and servicer" following "origination";

(B) by striking paragraph (1) and inserting the following: "(1) Termination Authority.—Notwithstanding section 202(c), the Secretary may terminate the approval in whole or in part of a mortgagee to originate, underwrite, or service single family mortgages if the Secretary determines that the mortgage loans originated, underwritten, or serviced by the mortgagee present an unacceptable risk to the insurance funds. The determination shall be based on the comparison required under subsection (b) of this section and shall be made in accordance with regulations, notice, Mortgagee Letter, or other administrative issuance of the Secretary.;" and

(C) in paragraph (2)—

(i) by inserting "Procedure.—" prior to "The Secretary shall give"; and

(ii) in the fourth sentence, by striking "excessive default and claim rate" and inserting "unacceptable performance".

SEC. 245. INDEMNIFICATION BY MORTGAGEES.

(a) Section 202 of the National Housing Act (12 U.S.C. 1708) is amended by adding at the end the following new clause:

"(i) Indemnification by Mortgagees.—

"(1) In general.—If the Secretary determines that a mortgage executed by a mortgagee approved by the Secretary under the direct endorsement program or insured by a mortgagee pursuant to the delegation of authority under section 256 was not originated, underwritten, or serviced in accordance with the requirements established by the Secretary, and the borrower defaults on the mortgage within a reasonable period specified by the Secretary, the Secretary may require the mortgagee approved by the Secretary under the direct endorsement program or the mortgagee delegated authority under section 256 to indemnify the Secretary for the loss.

"(2) Fraud or misrepresentation.—If fraud or misrepresentation was involved in connection with the origination or underwriting, the Secretary may require the mortgagee approved by the Secretary under the direct endorsement program or the mortgagee delegated authority under section 256 to indemnify the Secretary for the loss regardless of when the borrower defaults on the mortgage.

"(3) Requirements and procedures.—The Secretary shall issue regulations establishing appropriate requirements and procedures governing the indemnification of the Secretary by the mortgagee.;"

(b) Section 256 of the National Housing Act (12 U.S.C. 1715z-21) is amended—

(1) by striking subsection (c);

(2) in subsection (e), by striking "including" and all that follows through "by the mortgagee"; and

(3) by redesignating subsections (d) and (e) as subsections (c) and (d), respectively.

SEC. 246. **SHORT SALES FOR FHA-INSURED MORTGAGES.** Section 204(a)(1) of the National Housing Act (12 U.S.C. 1710(a)(1)) is amended—

- (1) in subparagraph (C) by striking "at foreclosure"; and
- (2) in subparagraph (D) by inserting "or imminent default" after the word "default".

SEC. 247. **USE OF GOVERNMENT-FINANCED DOWNPAYMENT ASSISTANCE.** Section 203(b)(9) of the National Housing Act (12 U.S.C. 1709) is amended by replacing subparagraph (C) as follows and by adding at the end a new subparagraph (D) as follows:

"(C) Prohibited sources.—Except as provided in subparagraph (D), in no case shall the funds required by subparagraph (A) consist, in whole or in part, of funds provided by any of the following parties before, during, or after closing of the property sale:

"(i) The seller or any other person or entity that financially benefits from the transaction.

"(ii) Any third party or entity that is reimbursed, directly or indirectly, by any of the parties described in clause (i).

"(D) Government assistance.—For purposes of this paragraph, the Secretary may consider as cash or its equivalent any amounts borrowed from or provided by any entity authorized to provide secondary financing under section 528 of this Act, under such terms and conditions as may be prescribed by the Secretary, through notice, mortgagee letter, or rule.

"This subparagraph shall apply only to mortgages for which the mortgagee has issued credit approval for the borrower on or after October 1, 2008."

SEC. 248. **TRANSFER OF MORTGAGE SERVICING DUTIES.**

(a) *In General.*—Title II of the National Housing Act (12 U.S.C. 1707 et seq.) is amended by adding at the end the following new section:

"SEC. 259. **Delegation of mortgage servicing duties.**

"(a) *In general.*—For any mortgage or pool of mortgages insured under this title and in accordance with any published terms and conditions of the Secretary, the Secretary may require the servicer of any such mortgage or group of mortgages to enter into a subservicing arrangement with any independent specialty servicer approved by the Secretary.

"(b) *Delegation requirements.*—Prior to mandating any subservicing arrangement under this section, the Secretary shall—

"(1) set forth with clarity the performance conditions of a servicer that would warrant or necessitate the use of the authority granted to the Secretary under this section;

"(2) require that the performance condition warranting or necessitating the use of such authority be based on serious or material failures to comply with requirements of the Secretary;

"(3) require that any servicer whose servicing duties are subject to this section be provided a reasonable amount of time, provided that such time does not present an increase in risk to the Mutual Mortgage Insurance Fund, to rebut, address, or correct any determination of the Secretary regarding a performance condition described under paragraph (1);

"(4) only permit the Secretary to carry out the authority granted under this section upon expiration of the time-period allowed under paragraph (3);

"(5) limit the scope of the authority exercised under this subsection to mortgages that share similar underwriting, borrower, or performance characteristics as established by the Secretary;

"(6) ensure that the scope of any such authority is not applied broadly and without further limitation; and

"(c) Nothing in this subsection may be construed to limit the exercise of authority by the Secretary or the Mortgagee Review Board for violations of any requirement of the Secretary."

(b) *Applicability.*—The amendment made by this section shall only apply to mortgages insured under title II of the National Housing Act (12 U.S.C. 1707 et seq.) that were originated on or after the date of enactment of this Act.

SEC. 249. **HECM SPOUSAL SURVIVAL.** Section 255 of the National Housing Act (12 U.S.C. 1715z-20) is amended—

(a) in subsection (b)(2) by inserting before the period ", except that the term "mortgagor" shall not include the successors and assigns of the original borrower under a mortgage"; and

(b) in subsection (j) by amending that subsection to read as follows: "(j) Safeguard to prevent displacement of homeowner.—In order for a mortgage to be eligible for insurance under this section, the mortgage shall provide that the obligation of the homeowner to satisfy the loan obligation is deferred until the death of the homeowner, the sale of the home, or the occurrence of other events specified in regulations of the Secretary. The Secretary may, within his sole discretion, provide for further deferrals. Section 1647(b) of title 15 and any implementing regulations issued by the Board of Governors of the Federal Reserve System shall not apply to a mortgage insured under this section."

SEC. 250. **INCREASE IN SET-ASIDE OF CDBG ASSISTANCE FOR UNITED STATES-MEXICO BORDER REGION.** Section 916(a)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 5306 note) is amended by striking "10" and inserting "15".

SEC. 251. **USE OF UNUTILIZED OR UNDERUTILIZED PUBLIC BUILDINGS AND REAL PROPERTIES TO ASSIST THE HOMELESS.** Section 501 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11411) is amended—

(1) in subsection (a), by adding at the end the following new sentence: "Agencies shall not be required to submit information to the Secretary regarding properties located in an area for which the general public is denied access in the interest of national security or any buildings or structures that are excess or surplus or that are described as underutilized or unutilized, that are on land owned by a landholding agency where the underlying land is not excess, surplus, or that is described as underutilized or unutilized.";

(2) in subsection (c)(1)(A), by adding ", in a searchable database on the Web site of the appropriate Government agency, or through other electronic means, as determined by the Secretary—" after "in the Federal Register"; and

(3) in subsection (d)(3), by adding at the end the following new sentence: "If no such review of the determination is requested within the 20-day period, such property will not be included in subsequent publications unless the landholding agency makes modifications to the property that would affect its suitability and the Secretary subsequently determines the property is suitable."

SEC. 252. Paragraph (13) of section 8(o) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(13)) is amended by striking subparagraph (B) and inserting the following new subparagraph: "(B) PERCENTAGE LIMITATION. A public housing agency may use project-based assistance under this paragraph for not more than 20 percent of the authorized units for the agency."

SEC. 253. Section 203(f)(2) of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4133) is amended by adding the following after "any subsequent fiscal year.": "The Secretary may still determine the recipient did not carry out eligible activities in a timely manner in accordance with Section 405 of this Act."

SEC. 254. Section 401(a)(4) of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4161(a)(4)) is amended—

(1) in subparagraph (A), to read as follows: "(A) *IN GENERAL.*—Notwithstanding any other provision of this subsection, if the Secretary makes a determination that the failure of a recipient of assistance under this Act to comply substantially with any material provision (as that term is defined by the Secretary) of this chapter is resulting, and would continue to result, in a continuing expenditure of Federal funds in a manner that is not authorized by law, the Secretary may immediately take an action described in paragraph (1) before conducting a hearing."; and

(2) in subparagraph (B)(ii), to read as follows: "(ii) commence the hearing procedures not later than 60 days after the date on which the Secretary provides notice under clause (i)."

SEC. 255. Section 302 of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4152) is amended to add the following new subsection:

"(f) *OVERFUNDING.*—If the Secretary determines that a recipient received more block grant funding than it should have according to the allocation formula, the recipient shall repay the overfunding so that it may be properly allocated according to the formula. The recipient may repay the overfunding from past, current, or future grant amounts, or from other funds. If the recipient fails to make arrangements for repayment within a reasonable period of time, as determined by the Secretary, the Secretary may recoup the overfunding by offset against past, current, or future grant amounts. Nothing in this Act shall be construed as limiting the Secretary's authority to recoup overpaid grant funds; nor shall anything in this Act be construed as requiring formal hearing procedures or a finding of noncompliance for the Secretary to recoup overpaid grant funds."

SEC. 256. Section 103(c)(3) of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4113(c)(3)) is amended by striking "section 102(c)(5)" and inserting "section 102(b)(2)(D)".

SEC. 257. Section 184A(c)(4) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13b(c)(4)) is amended by adding the following new subparagraph:

"(C) *Direct Guarantee and Indemnification.*—

"(i) The Secretary may authorize qualifying lenders to participate in a direct guarantee process for approving loans. If the Secretary determines that a mortgage insured through the direct guarantee process was not originated in accordance with the requirements established by the Secretary, then the Secretary may require the lender approved under this subparagraph to indemnify the Secretary for the loss, irrespective of whether the violation caused the mortgage default. If fraud or misrepresentation was involved in the direct guarantee process, the Secretary shall

require the lender approved under this subparagraph to indemnify the Secretary for the loss regardless of when an insurance claim is paid.

"(ii) Periodically, the Secretary may review the mortgagees originating or underwriting single family mortgages under this section, as follows:

"(aa) In conducting this review the Secretary shall compare that mortgagee with other mortgagees originating or underwriting loan guarantees for Native Hawaiian housing based on the rates of defaults and claims for insured single family mortgage loans originated or underwritten by that mortgagee.

"(bb) The Secretary may also compare that mortgagee with such other mortgagees based on underwriting quality; geographic area served; or any commonly used factors the Secretary deems necessary for comparing mortgage default risk, provided that such comparison is of factors that the Secretary would expect to affect the default risk of mortgages insured by the Secretary.

"(cc) In carrying out the periodic review of mortgagee performance, the Secretary shall implement such comparisons by regulation, notice, or mortgagee letter.

"(dd) The Secretary may terminate the approval of a mortgagee to originate or underwrite loan guarantees for Native Hawaiian housing if the Secretary determines that the mortgage loans originated or underwritten by the mortgagee present an unacceptable risk to the Native Hawaiian Housing Loan Guarantee fund based on a comparison of any of the factors set forth in this subparagraph or by a determination that the mortgagee engaged in fraud or misrepresentation."

#### SEC. 258. CDBG DISASTER RECOVERY ADMINISTRATIVE EXPENSES.

Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development for administrative costs of the Office of Community Planning and Development associated with funds appropriated to the Department for specific disaster relief and related purposes and designated by Congress as an emergency requirement pursuant to a Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act, including information technology costs and costs for administering and overseeing such specific disaster related funds, shall be transferred to the Program Office Salaries and Expenses, Community Planning and Development account for the Department, shall remain available until expended, and may be used for such administrative costs for administering any funds appropriated to the Department for any disaster relief and related purposes in any prior or future act, notwithstanding the purposes for which such funds were appropriated: Provided, That amounts transferred pursuant to this section that were previously designated by the Congress as an emergency requirement pursuant to a Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985 and shall be transferred only if the President subsequently so designates the entire transfer and transmits such designation to the Congress.

SEC. 259. Section 231 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12771) is amended to read as follows:

"Sec. 231. SET-ASIDE FOR COMMUNITY HOUSING DEVELOPMENT ORGANIZATIONS.—The participating jurisdiction may reserve funds under this section for investment only in housing to be developed, sponsored, or owned by community housing development organizations. Each participating jurisdiction that chooses to set aside a portion of funds for community housing development organizations shall make reasonable efforts to identify community housing development organizations that are capable, or can reasonably be expected to carry out elements of the jurisdiction's housing strategy, and to encourage such community housing development organizations to do so."

SEC. 260. Section 218 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12748) is amended by striking subsection (g) and redesignating subsection (h) as subsection (g).

#### SEC. 261. CONTINUUM OF CARE TRANSITION GRANTS.

Section 428 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11386b) is amended by adding at the end of the section, subsection (f) to read as follows:

##### "(f) TRANSITION FOR REALLOCATED GRANT.—

"(1) From amounts under this subtitle made available to carry out subtitle B and this subtitle, the Secretary may award one-year transition grants to recipients to transition from one Continuum of Care program component to another.

"(2) In order to be eligible to receive a transition grant, the project must have the consent of the Continuum of Care, and meet standards determined by the Secretary."

#### SEC. 262. PUBLIC HOUSING AGENCIES AS SUBRECIPIENTS OF EMERGENCY SOLUTIONS GRANTS.

(a) Section 411 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11371) is amended by—

(1) by redesignating paragraphs (6) through (9), as paragraphs (7) through (10), respectively; and

(2) by inserting a new paragraph (6) as follows:

"(6) The term "public housing agency" has the meaning given such term in section 3(b)(6) of the United States Housing Act of 1937."

(b) Section 414 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11373) is amended—

(1) in subsection (a) by inserting ", public housing agencies," after "(for distribution to local governments"; and

(2) in subsection (c) by—

(A) amending the heading to read— "Distributions to nonprofit organizations and public housing agencies.— "; and

(B) inserting "and public housing agencies" after "private nonprofit organization" each time it appears.

SEC. 263. Section 363(2) of the Multifamily Mortgage Foreclosure Act (12 U.S.C. 3702(2)) is amended by—

(1) in subparagraph (D), striking "and";

(2) in subparagraph (E), striking the period and inserting "; and"; and

(3) by adding the following new subparagraph:

"(F) the Multifamily Assisted Housing Reform and Affordability Act (42 U.S.C. 1437f note)."

SEC. 264. Section 579 of the Multifamily Assisted Housing Reform and Affordability Act (MAHRA) of 1997 (42 U.S.C. 1437f note) is amended by striking "October 1, 2017" each place it appears and inserting in lieu thereof "October 1, 2019".

SEC. 265. Of the amounts made available for salaries and expenses under all accounts under this title (except for the Office of Inspector General account), a total of up to \$10,000,000 may be transferred to and merged with amounts made available in the "Information Technology Fund" account under this title.

SEC. 266. THRESHOLD REQUIREMENTS FOR LEAD-BASED PAINT HAZARD ELIMINATION IN FEDERALLY ASSISTED HOUSING. Section 302(a)(1) of the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4822) is amended—

(1) in subparagraph (D) by inserting "which may be updated to adjust for inflation, using a publicly available price or cost index, as determined by the Secretary, and rounded down to a multiple of \$1,000, as published by notice in the Federal Register with opportunity for public comment" following "less than \$25,000 per unit"; and

(2) in subparagraph (E) by striking "\$25,000 per unit in Federal funds" and inserting "the amount of Federal funds specified in subparagraph (D) of this paragraph".

#### SEC. 267. HOPWA MODERNIZATION.

(a) Section 854(c) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12903(c)) is amended by—

(1) redesignating paragraph (3) as paragraph (5); and

(2) striking paragraphs (1) and (2) and inserting the following:

"(1) FORMULA ALLOCATION.—The Secretary shall allocate 90 percent of the amount approved in appropriations Acts under section 863 among States and cities as follows:

"(A) 75 percent among—

"(i) cities that are the most populous unit of general local government in a metropolitan statistical area with a population greater than 500,000, as determined by data compiled by the U.S. Census Bureau, and more than 2,000 persons living with the human immunodeficiency virus (HIV), using the data specified in subparagraph (C); and

"(ii) States with more than 2,000 persons living with HIV outside of metropolitan statistical areas; and

"(B) 25 percent of funds among States and cities that are the most populous unit of general local government in a metropolitan statistical area with a population greater than 500,000, as determined by data compiled by the U.S. Census Bureau, and more than 2,000 persons living with the human immunodeficiency virus (HIV), using the data specified in subparagraph (C) based on a combination of the housing cost factor described in subparagraph (D) and the community need factor described in subparagraph (E). The housing cost factor and community need factor to qualify a city for an allocation under this subparagraph (B) shall be based on the metropolitan statistical area in which the city is located.

"(C) SOURCE OF DATA.—The data to be used for allocating formula funds for any fiscal year shall be the number of persons living with HIV reported to and confirmed by the Director of the Centers for Disease Control and Prevention, as of December 31 of the most recent calendar year for which such data are available.

"(D) HOUSING COST FACTOR.—For purposes of allocations under subparagraph (B), the Secretary shall use a housing cost factor to account for differences in housing costs among States and metropolitan statistical areas. The housing cost factor shall be based on the fair market rents established by the Secretary pursuant to section 8(c) of the United States Housing Act of 1937 (42 U.S.C. 1437f(c)) or such other methodology established by the Secretary through regulation.

"(E) COMMUNITY NEED.—For purposes of allocations under subparagraph (B), the Secretary shall use a community need factor to account for differences in

poverty rates among States and metropolitan statistical areas. The community need factor shall be based on area poverty indexes or such other methodology established by the Secretary through regulation.

"(2) STOP-LOSS PROVISION FOR STATES AND CITIES.—For purposes of the formula allocation under paragraph (1), for fiscal year 2017 through fiscal year 2019, the Secretary shall require that a grantee's share shall not decrease more than 10 percent nor gain more than 20 percent of the share of total available formula funds under subtitle D of title VIII of the Cranston-Gonzalez National Affordable Housing Act that the grantee received in the preceding fiscal year. This provision shall not apply in fiscal year 2020 and thereafter.

"(3) CONTINUED AND RE-DETERMINATION OF ELIGIBILITY.—In fiscal year 2017 and thereafter, an applicant that is not eligible to receive a formula allocation under subsection (c)(1)(A) and (B) of this section in any or all of three consecutive fiscal years but received a formula allocation in the immediately preceding fiscal year shall be eligible to receive a formula allocation that is equal to amount representing a share of the total available formula funds, that, in each such fiscal year, is decreased not more than 10 percent of the share of the total available formula funds that the applicant received in the preceding fiscal year. In the fourth consecutive year of ineligibility and thereafter, the applicant will receive no formula allocation until it again becomes eligible under subsection (c)(1)(A) or (B) of this section, or any other then-applicable criteria for receiving formula allocation. This paragraph shall not apply to a city that becomes ineligible to receive a formula allocation solely on account of the city no longer qualifying as the most populous unit of general local government in the metropolitan statistical area.

"(4) ALTERNATIVE APPLICANT AND REALLOCATIONS.—

"(A) ALTERNATIVE APPLICANT.—The Secretary may award funds to an alternative applicant if—

"(i) the eligible applicant makes a request for such award to the Secretary, and the Secretary approves the request;

"(ii) the request of the eligible applicant is made pursuant to a written agreement between the applicant and the alternative applicant that addresses how the alternative applicant will take actions consistent with a comprehensive housing affordability strategy under section 105 of this Act; and

"(iii) the alternative applicant is a Public Housing Agency, a Unified Funding Agency under section 401 (42 U.S.C. 11360) and section 402 (42 U.S.C. 11360a) of the McKinney-Vento Homeless Assistance Act, a State, a unit of general local government, or an instrumentality of State or local government.

"(B) AGREEMENT TERM.—The written agreement under paragraph (4)(A) may be for a maximum term of ten years. The agreement may be renewed by the parties with the approval of the Secretary.

"(C) REALLOCATIONS.—If an eligible State or city declines a formula allocation, or the Secretary determines, in accordance with criteria specified in regulation, that the eligible State or city is unable to properly administer its formula allocation, the Secretary shall reallocate the reserved funds to be administered in place of the State or city. The Secretary shall make the reallocations as follows:

"(i) STATES.—The Secretary shall reallocate funds reserved for a State to one or more units of general local government within the State, as determined by the Secretary, to carry out eligible activities that meet the needs of eligible persons within the State.

"(ii) CITIES.—The Secretary shall reallocate funds reserved for a city to the State(s) containing the metropolitan statistical area in which the city is located. When the metropolitan statistical area spans two or more states, the funds shall be reallocated pro rata based on the relative number of HIV cases or in accordance with other indications of need as prescribed by the Secretary. The state(s) shall use such reallocated funds to carry out eligible activities that address the needs within the metropolitan statistical area in which the city is located.

"(iii) PRO RATA.—If the Secretary is unable to make a reallocation under either clauses (i) or (ii), the funds shall be reallocated on a pro rata basis under paragraph (1)."; and

(3) inserting at the end the following:

"(6) INTEGRATION.—Notwithstanding any other provision herein, all housing provided under this section shall be provided in the most integrated setting appropriate to the needs of any qualified persons with disabilities and shall be subject to the integration regulations under Title II of the Americans with Disabilities Act of 1990 (42 U.S.C. 12131–34) and section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 701). For purposes of this section, 'most integrated setting' means a setting in which persons with disabilities have the opportunity to interact with non-disabled persons to the fullest extent possible."

(b) Section 856(g) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12905(g)) is amended to read as follows:

"(g) ADMINISTRATIVE EXPENSES.—

"(1) Grantees.—Notwithstanding any other provision of this subtitle, each grantee may use not more than 6 percent of the grant amount for administrative costs relating to administering grant amounts and allocating such amounts to project sponsors.

"(2) Project sponsors.—Notwithstanding any other provision of this subtitle, each project sponsor receiving amounts from grants made under this title may use not more than 10 percent of the amounts received for administrative costs relating to carrying out eligible activities under section 855, including the costs of staff necessary to carry out eligible activities."

(c) Section 858 of the Cranston-Gonzalez National Affordable Housing Act of 1990 (42 U.S.C. 12907) is amended—

(1) by revising the section title to read as follows: "SHORT-TERM AND MEDIUM-TERM HOUSING INTERVENTIONS AND SERVICES.—"; and

(2) in subsection (a),

amending paragraphs (1) and (2) to read as follows:

"(1) EMERGENCY SHELTERS AND OTHER SHORT-TERM FACILITY-BASED INTERVENTIONS.—

"(A) EMERGENCY SHELTERS.—Providing emergency shelter and services to such eligible persons by acquiring, leasing, renovating, repairing and converting buildings and other structures; and

"(B) SHORT-TERM FACILITIES.—Providing shelter in short-term facilities and services to such eligible persons by acquiring, leasing, renovating, repairing and converting buildings and other structures.

"(2) SHORT-TERM AND MEDIUM-TERM HOUSING INTERVENTION.—Providing rent assistance payments for short-term supported housing and rent, mortgage, and utilities payments to prevent homelessness of the tenant or mortgagor of a dwelling, and providing short and medium term rental assistance and housing relocation and stabilization services to homeless individuals or families or individuals or families at risk of homelessness, in a manner similar to the activities described in sections 415(a)(4) and (a)(5) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11374(a)(4) and (a)(5)).";

(3) by amending subsection (b) to read as follows: "(b) PROGRAM REQUIREMENTS.—

"(1) TERM OF ASSISTANCE.—The Secretary shall prescribe, by regulation, the maximum term of housing assistance authorized under this section.

"(2) PLACEMENT.—A program assisted under this section shall provide for any individual who has remained in an emergency shelter or other short-term facility assisted under this section, to the maximum extent practicable, the opportunity for placement in permanent housing or other environment appropriate to the health and social needs of the individual.

"(3) PRESUMPTION FOR INDEPENDENT LIVING.—In providing assistance under this section in any case in which the residence of an individual is appropriate to the needs of the individual, a program assisted under this section shall, when reasonable, provide for assistance in a manner appropriate to maintain the individual in such residence.

"(4) CASE MANAGEMENT SERVICES.—A program assisted under this section shall provide each individual assisted under the program with an opportunity, if eligible, to receive case management and clinical services available from the appropriate social service agencies."

(d) Section 859(a)(1) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12908(a)(1)) is amended by inserting "for such limited periods of time as the Secretary may establish by regulation" after "low-income eligible persons".

SEC. 268. Section 8(x)(2)(B) of the United States Housing Act of 1937 (42 U.S.C. 1437f(x)(2)(B)) is amended by striking "18 months" and inserting "60 months".

SEC. 269. The language under the heading Rental Assistance Demonstration in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112–55), is amended—

(a) in the undesignated paragraph before the first proviso, by inserting the following before the colon: "( 'First Component' herein)";

(b) in the second proviso, by striking "until September 30, 2018" and inserting "for fiscal year 2012 and thereafter";

(c) by striking the fourth proviso;

(d) in the thirteenth proviso, as amended (reordered) above, by—

(1) inserting "or nonprofit" before "entity, then a capable entity,"; and

(2) striking "preserves its interest" and inserting "or a nonprofit entity preserves an interest";

(e) in the seventeenth proviso, as amended (reordered) above, by—

(1) inserting "or with a project rental assistance contract under section 202(c)(2) of the Housing Act of 1959," after "section 8(o) of the Act,"; and

(2) inserting "or assistance contracts" after "for such vouchers"; and

(3) inserting the following before the colon: "( 'Second Component' herein)";

(f) by inserting the following proviso before the eighteenth proviso, as amended (reordered) above: "Provided further, That conversions of assistance under the

Second Component may not be the basis for re-screening or termination of assistance or eviction of any tenant family in a property participating in the demonstration:";

(g) in the nineteenth proviso, as amended (reordered) above, by striking "previous proviso" and all that follows through the end of the proviso and inserting "Second Component, except for conversion of Section 202 project rental assistance contracts, shall be available for project-based subsidy contracts entered into pursuant to the Second Component:";

(h) in the twentieth proviso, as amended (reordered) above, by striking "previous two provisos" and inserting "Second Component, except for conversion of Section 202 project rental assistance contracts,";

(i) in the twenty-first proviso, as amended (reordered) above, by striking "three previous provisos" and inserting "Second Component, except for conversion of Section 202 project rental assistance contracts,";

(j) by inserting the following proviso before the twenty-second proviso, as amended (reordered) above: "Provided further, That the Secretary may transfer amounts made available under the heading "Housing for the Elderly" to the accounts under the headings "Project-Based Rental Assistance" or "Tenant-Based Rental Assistance" to facilitate any Section 202 project rental assistance contract conversions under the Second Component, and any increase in cost for "Project-Based Rental Assistance" or "Tenant-Based Rental Assistance" associated with such conversion shall be equal to amounts so transferred:"; and

(k) in the twenty-third proviso, as amended (reordered) above, by striking "previous four provisos" and inserting "Second Component, as applicable,".

Sec. 270. Housing Choice Voucher Mobility Demonstration.—

(a) Authority.—To encourage families to move to lower-poverty areas and expand access to opportunity areas, the Secretary of Housing and Urban Development (hereafter referred to as "Secretary") is authorized to implement a mobility demonstration, including approving up to 10 regional housing mobility programs established by public housing agencies (hereafter referred to as "PHAs") to administer Housing Choice Voucher assistance under section 8(o) of the United States Housing Act of 1937 (hereafter referred to as "1937 Act") (42 U.S.C. 1437f(o)).

(b) Demonstration Requirements.—

(1) IN GENERAL.—The Secretary must establish the competitive selection criteria and requirements for participation in the demonstration. The Secretary may require participating PHAs to use a randomized selection process among the families eligible to receive mobility assistance under this demonstration.

(2) REGIONAL HOUSING MOBILITY PLAN.—Applicant PHAs must submit a Regional Housing Mobility Plan (hereafter referred to as "the Plan").

(A) The Plan must meet all requirements established by the Secretary and must identify—

(i) the PHAs that will participate and the number of vouchers each participating PHA will make available out of their existing programs in support of the mobility demonstration;

(ii) any community-based organizations, nonprofit organizations, businesses, and other entities that commit to participate;

(iii) any waivers or alternative requirements requested for the execution of the Plan; and

(iv) specific actions that the PHAs and other entities will undertake to accomplish the goals of the demonstration, which must include a comprehensive approach to enable a successful transition to opportunity areas and may include counseling and continued support for families.

(B) The Plan may also establish preferences for participating families, including a preference for families with children, based on regional housing needs and priorities.

(c) Funding for Mobility-Related Services.—In order to provide mobility-related services, PHAs participating in this demonstration may use administrative fees under section 8(q) of the 1937 Act (42 U.S.C. 1437f(q)), their administrative fee reserves, and funding from private entities. Mobility-related services may include but are not limited to such things as counseling, portability coordination, landlord outreach, and administrative activities associated with establishing and operating regional mobility programs.

(d) Waivers.—

(1) The Secretary may waive or specify alternative requirements for any provision of sections 8(o), 8(r), or 8(x) of the 1937 Act, except for requirements related to fair housing, nondiscrimination, labor standards, or the environment, upon a finding that such waiver or alternative requirement is necessary to implement and administer a Plan.

(2) The Secretary must publish by notice in the Federal Register any waivers or alternative requirements for statutory provisions no later than 10 days before the effective date of such notice.

(e) Implementation by Notice.—The Secretary may implement the demonstration, including its terms, procedures, requirements, and conditions, by notice.

(f) Evaluation.—No later than five years following implementation of the regional housing mobility programs, the Secretary must publish an evaluation of the effectiveness of the demonstration, subject to the availability of funding to conduct the evaluation.

Sec. 271. CIVIL MONEY PENALTIES.—Section 202a(c)(1) of the Housing Act of 1959 (12 U.S.C. 1701q-1) is amended by adding the following new subparagraph at the end:

"(N) Failure to maintain the premises, accommodations, any living unit in the property, or the grounds and equipment appurtenant thereto in good repair and condition in accordance with regulations and requirements of the Secretary."

Sec. 272. Section 421(a)(1) of the Housing and Community Development Act of 1987 (12 U.S.C. 1715z-4a(a)(1)) is amended—

(1) in subparagraph (B) by striking "(including property subject to section 202 of such Act as it existed before enactment of the Cranston-Gonzalez National Affordable Housing Act of 1990)" and inserting "of the Housing Act of 1959 or section 811 of the Cranston-Gonzalez National Affordable Housing Act of 1990";

(2) in subparagraph (C), by striking "or";

(3) by redesignating subparagraph (D) as subparagraph (E);

(4) by adding a new subparagraph (D) to read as follows: "(D) assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), excluding subsection (o), section 202 of the Housing Act of 1959 (12 U.S.C. 1701q) or section 811 of the Cranston-Gonzalez National Affordable Housing Act of 1990"; and

(5) by amending the undesignated matter after the newly redesignated subparagraph (E) to read as follows: For purposes of this section, a use of assets or income in violation of the regulatory agreement, or such other form of regulatory control as may be imposed by the Secretary or any applicable regulation shall include any use for which the documentation in the books and accounts does not establish that the use was made for a reasonable operating expense or necessary repair of the property and when the property has not been maintained in accordance with the requirements of the Secretary and in reasonable condition for proper audit, or, in the case of assistance described under subparagraph (D), when any distribution is made when the property is not decent, safe, or sanitary."

(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.)



# DEPARTMENT OF THE INTERIOR

## Federal Funds

### REVENUES FROM FEDERAL OIL AND GAS MANAGEMENT REFORMS

Federal oil and gas management reforms. The Budget includes a package of legislative reforms to bolster and backstop administrative actions being taken to reform the management of DOI's onshore and offshore oil and gas programs, with a key focus on improving the return to taxpayers from the sale of these Federal resources. Proposed statutory and administrative changes fall into three general categories: 1) advancing royalty reforms, 2) encouraging diligent development of oil and gas leases, and 3) improving revenue collection processes. Royalty reforms include: establishing minimum royalty rates for oil, gas, and similar products; adjusting the onshore oil and gas royalty rate; analyzing a price-based tiered royalty rate; and repealing legislatively-mandated royalty relief. Diligent development requirements include shorter primary lease terms, stricter enforcement of lease terms, and monetary incentives to get leases into production through a new statutory per-acre fee on nonproducing leases. Revenue collection improvements include simplification of the royalty valuation process and permanent repeal of DOI's authority to accept in-kind royalty payments. Collectively, these reforms will generate roughly \$1.7 billion in net receipts to the Treasury over 10 years, of which about \$1.2 billion would result from statutory changes. Many States will also benefit from higher Federal revenue sharing payments.

## LAND AND MINERALS MANAGEMENT

### BUREAU OF LAND MANAGEMENT

The Bureau of Land Management (BLM) is charged with the multiple use management of natural resources on more than 247 million acres of surface estate of public land, about one-eighth of the land in the United States. The BLM also administers approximately 700 million acres of onshore Federal mineral estate underlying BLM and other surface ownerships. In addition, BLM has trust responsibilities on 56 million acres of Indian trust lands for mineral operations and cadastral (land) surveys. The lands managed by BLM provide important natural resources, recreational and scenic values to the American people, as well as resource commodities and revenue to the Federal Government, States, and counties. It is the mission of BLM to sustain the health, diversity, and productivity of the public lands for the use and enjoyment of present and future generations. The Budget proposes legislation to establish a Congressionally-chartered National BLM Foundation. This Foundation will provide the BLM with an opportunity to broaden its partnership capabilities, leverage private funding, and focus public support for the BLM mission of protecting and restoring the natural, cultural, historical, and recreation resources on the Nation's public lands.

## Federal Funds

### MANAGEMENT OF LANDS AND RESOURCES

For necessary expenses for protection, use, improvement, development, disposal, cadastral surveying, classification, acquisition of easements and other interests in lands, and performance of other functions, including maintenance of facilities, as authorized by law, in the management of lands and their resources under the jurisdiction of the Bureau of Land Management, including the general administration of the Bureau, and assessment of mineral potential of public lands pursuant to section 1010(a) of Public Law 96-487 (16 U.S.C. 3150(a)), **[\$1,072,675,000]** \$1,075,545,000, to remain available until expended, including all such amounts as are collected from permit processing fees, as authorized but made subject to future appropriation by section 35(d)(3)(A)(i) of the Mineral Leasing Act (30 U.S.C. 191), except that amounts from permit processing fees may be used for any bureau-related expenses associated with the processing of oil and gas applications for permits to drill and related use of authorizations; of which \$3,000,000 shall be available in fiscal year **[2016]** 2017 subject to a match by at least an equal amount by the National Fish and Wildlife Foundation for cost-shared projects supporting conservation

of Bureau lands; and such funds shall be advanced to the Foundation as a lump-sum grant without regard to when expenses are incurred.

In addition,

(1) \$48,000,000, to remain available until expended, is for conducting oil and gas inspection activities, to be reduced by amounts collected by the Bureau and credited to this appropriation that shall be derived from onshore oil and gas inspection fees that the Bureau shall collect, as provided for in this Act, except that, for fiscal year 2017, inspection fees collected by the Bureau of Land Management may be used to fund personnel and mission-related costs to expand capacity and expedite orderly energy development subject to environmental safeguards, on Federal land, pursuant to the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701 et seq.), including the review of applications for permits to drill;

(2) \$16,500,000, to remain available until expended, is for the processing of grazing permits and leases, to be reduced by amounts collected by the Bureau and credited to this appropriation, which shall be derived from the \$2.50 per animal unit month administrative fee, as provided for in this Act; and

(3) \$39,696,000 is for Mining Law Administration program operations, including the cost of administering the mining claim fee program, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation from mining claim maintenance fees and location fees that are hereby authorized for fiscal year **[2016]** 2017, so as to result in a final appropriation estimated at not more than **[\$1,072,675,000]** \$1,075,545,000, and \$2,000,000, to remain available until expended, from communication site rental fees established by the Bureau for the cost of administering communication site activities. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

### Program and Financing (in millions of dollars)

Identification code 014-1109-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Land resources .....	257	260	250
0012 Wildlife and fisheries .....	67	95	110
0013 Threatened and endangered species .....	22	24	24
0014 Recreation management .....	68	70	70
0015 Energy and minerals .....	144	150	112
0016 Realty and ownership management .....	69	70	72
0017 Resource protection .....	101	105	119
0018 Transportation and facilities maintenance .....	75	78	80
0020 Workforce and organizational support .....	164	170	170
0026 Challenge Cost Share .....	3	3	1
0030 National Monuments & NCA .....	33	34	43
0799 Total direct obligations .....	1,003	1,059	1,051
0801 Management of Lands and Resources (Reimbursable) .....	25	36	28
0802 Communication site rental fees .....	2	2	2
0803 Mining law administration .....	41	40	40
0804 APD fees .....	29	.....	.....
0805 Cadastral reimbursable program .....	9	12	9
0806 Inspection fees .....	.....	.....	40
0807 Grazing fees .....	.....	.....	7
0899 Total reimbursable obligations .....	106	90	126
0900 Total new obligations .....	1,109	1,149	1,177
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	145	137	186
1021 Recoveries of prior year unpaid obligations .....	37	45	40
1050 Unobligated balance (total) .....	182	182	226
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	974	1,073	1,076
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Mining law and Comm Sites) .....	42	42	42
1700 Offsetting collections (Economy Act) .....	24	38	38
1700 Offsetting collections (APD fees) .....	29	.....	.....
1700 Offsetting collections (Inspection fees) .....	.....	.....	48
1700 Offsetting Collections (Other) .....	9	.....	17
1701 Change in uncollected payments, Federal sources .....	-14	.....	-36
1750 Spending auth from offsetting collections, disc (total) .....	90	80	109
1900 Budget authority (total) .....	1,064	1,153	1,185
1930 Total budgetary resources available .....	1,246	1,335	1,411
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	137	186	234

MANAGEMENT OF LANDS AND RESOURCES—Continued  
Program and Financing—Continued

Identification code 014–1109–0–1–302	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	392	400	419
3010 Obligations incurred, unexpired accounts .....	1,109	1,149	1,177
3020 Outlays (gross) .....	-1,064	-1,085	-1,150
3040 Recoveries of prior year unpaid obligations, unexpired .....	-37	-45	-40
3050 Unpaid obligations, end of year .....	400	419	406
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-50	-36	-36
3070 Change in uncollected pymts, Fed sources, unexpired .....	14	.....	36
3090 Uncollected pymts, Fed sources, end of year .....	-36	-36	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	342	364	383
3200 Obligated balance, end of year .....	364	383	406
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,064	1,153	1,185
Outlays, gross:			
4010 Outlays from new discretionary authority .....	768	894	924
4011 Outlays from discretionary balances .....	296	191	226
4020 Outlays, gross (total) .....	1,064	1,085	1,150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-32	-38	-38
4033 Non-Federal sources .....	-72	-42	-42
4033 Non-Federal sources .....	.....	.....	-48
4033 Non-Federal sources .....	.....	.....	-17
4040 Offsets against gross budget authority and outlays (total) ....	-104	-80	-145
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	14	.....	36
4070 Budget authority, net (discretionary) .....	974	1,073	1,076
4080 Outlays, net (discretionary) .....	960	1,005	1,005
4180 Budget authority, net (total) .....	974	1,073	1,076
4190 Outlays, net (total) .....	960	1,005	1,005
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	4	4	4
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	4	4	4

**Land resources.**—Provides for management of rangeland and forest resources; riparian areas; soil, water, and air activities; wild horses and burros; and cultural resources. The Budget funds rangeland management activities through a combination of direct appropriations and offsetting collections generated from a proposed three-year pilot program to assess an administrative processing fee on grazing permits. The fee recovers some costs associated with issuing grazing permits/leases on BLM lands. The funds from the fee will be used to address pending applications for grazing permit renewals. The BLM proposes a \$2.50 per Animal Unit Month fee, collected along with current grazing fees. The BLM will promulgate regulations for the continuation of the grazing administrative fee for cost recovery after the pilot expires.

**Wildlife and fisheries management.**—Provides for maintenance, improvement, or enhancement of fish and wildlife habitats as part of the management of public lands and ecosystems.

**Threatened and endangered species management.**—Provides for protection, conservation, consultation, recovery, and evaluation of populations and habitats of threatened, endangered and special status animal and plant species.

**Recreation management.**—Provides for management and protection of recreational resource values, designated and potential wilderness areas, and collection and expenditure of recreation user fees. The Administration proposes to permanently reauthorize the Departments of the Interior and Agriculture recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on September 30, 2017.

**Energy and minerals management.**—Provides for management of onshore oil and gas; coal; geothermal and renewable energy resources such as wind

and solar; other leasable minerals and mineral materials activities; and the administration of encumbrances on the mineral estate on Federal and Indian lands. The 2017 Budget funds oil and gas management activities through a combination of direct appropriations, permanent appropriations authorized by the National Defense Authorization Act of 2015, and offsetting collections generated from assessing fees for inspection activities. Proposed new inspection fees are expected to generate \$48 million in 2017 that will be used to offset the costs of administering the BLM's oil and gas program.

**Realty and ownership management.**—Provides for management and non-reimbursable processing of authorizations and compliance for realty actions and rights-of-way (including Alaska), administration of land title records and completion of cadastral surveys on public lands. Provides for the processing of communication site use authorization requests.

**Resource protection.**—Provides for management of the land use planning and National Environmental Policy Act processes, including assessment and monitoring activities. Also ensures the health and safety of users of the public lands through remediation of abandoned mine lands and protection from criminal and other unlawful activities; the effects of hazardous material and/or waste; and physical safety hazards.

**Transportation and facilities management.**—Provides for construction and maintenance of administrative and recreation sites, roads, trails, bridges and dams, including compliance with building codes and standards and environmental protection requirements. These funds allow for the systematic management of facilities with critical health and safety concerns, and ensure the protection of natural and cultural resources and the environment. BLM funds all construction and deferred maintenance projects from this activity, including those on the Oregon and California grant lands.

**Challenge Cost Share (CCS).**—This program leverages non-Federal funding, in-kind services, and materials with Federal funding to conduct on-the-ground projects that improve the condition of the public lands. These conservation, restoration, and enhancement projects benefit forestry, range, riparian, fish, wildlife, threatened and endangered species, recreation, and cultural resources. No funds are requested for Challenge Cost Share activities in 2017.

**National Conservation Lands.**—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations in the National Conservation Lands. The program provides for the recurring operational (base) budgets of these units.

**Workforce and organizational support.**—Provides for the management of specified bureau business practices, such as human resources, Equal Employment Opportunity, financial resources, procurement, property, information technology, and fixed costs.

**Mining law administration.**—Provides for exploration and development of minerals on public lands pursuant to the General Mining Law of 1872, including validity examinations, patent application reviews, enforcement of environmental and bonding requirements, and recordation of mining claims. Program costs are expected to be fully offset by claim maintenance and other fees in 2017.

## Object Classification (in millions of dollars)

Identification code 014–1109–0–1–302	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	355	355	355
11.3 Other than full-time permanent .....	16	16	16
11.5 Other personnel compensation .....	15	15	15
11.9 Total personnel compensation .....	386	386	386
12.1 Civilian personnel benefits .....	137	137	137
13.0 Benefits for former personnel .....	.....	1	1
21.0 Travel and transportation of persons .....	17	20	21
22.0 Transportation of things .....	4	5	5
23.1 Rental payments to GSA .....	22	24	25
23.2 Rental payments to others .....	32	34	35
23.3 Communications, utilities, and miscellaneous charges .....	21	24	24
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	8	8	8
25.2 Other services from non-Federal sources .....	155	160	157
25.3 Other goods and services from Federal sources .....	56	60	60

25.4	Operation and maintenance of facilities .....	11	12	12
25.7	Operation and maintenance of equipment .....	20	22	22
26.0	Supplies and materials .....	22	23	23
31.0	Equipment .....	23	25	25
32.0	Land and structures .....	16	22	20
41.0	Grants, subsidies, and contributions .....	70	93	87
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,003	1,059	1,051
99.0	Reimbursable obligations .....	106	90	126
99.9	Total new obligations .....	1,109	1,149	1,177

**Employment Summary**

Identification code 014-1109-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5,034	5,056	5,057
2001 Reimbursable civilian full-time equivalent employment .....	713	486	571
3001 Allocation account civilian full-time equivalent employment .....	2,235	2,262	2,262

**CONSTRUCTION**

**Program and Financing** (in millions of dollars)

Identification code 014-1110-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Construction (Direct) .....		1	1
0900 Total new obligations (object class 25.3) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	8	7
1930 Total budgetary resources available .....	8	8	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	7	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	1

**Employment Summary**

Identification code 014-1110-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

**OREGON AND CALIFORNIA GRANT LANDS**

For expenses necessary for management, protection, and development of resources and for construction, operation, and maintenance of access roads, reforestation, and other improvements on the revested Oregon and California Railroad grant lands, on other Federal lands in the Oregon and California land-grant counties of Oregon, and on adjacent rights-of-way; and acquisition of lands or interests therein, including existing connecting roads on or adjacent to such grant lands; **[\$107,734,000]** \$106,985,000, to remain available until expended: *Provided*, That 25 percent of the aggregate of all receipts during the current fiscal year from the revested Oregon and California Railroad grant lands is hereby made a charge against the Oregon and California land-grant fund and shall be transferred to the General Fund in the Treasury in accordance with the second paragraph of subsection (b) of title II of the Act of August 28, 1937 (43 U.S.C. 1181f). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014-1116-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Western Oregon Maintenance .....		1	1
0004 Western Oregon Resource Management .....	115	112	110
0005 Western Oregon Data Systems Operation & Management .....	2	2	2
0006 Western Oregon National Monuments & NCA .....	1	2	2
0900 Total new obligations .....	118	117	115
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	
1021 Recoveries of prior year unpaid obligations .....	5	2	8
1050 Unobligated balance (total) .....	11	9	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	114	108	107
1930 Total budgetary resources available .....	125	117	115
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	44	51
3010 Obligations incurred, unexpired accounts .....	118	117	115
3020 Outlays (gross) .....	-112	-108	-107
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-2	-8
3050 Unpaid obligations, end of year .....	44	51	51
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43	44	51
3200 Obligated balance, end of year .....	44	51	51
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	114	108	107
Outlays, gross:			
4010 Outlays from new discretionary authority .....	80	80	79
4011 Outlays from discretionary balances .....	32	28	28
4020 Outlays, gross (total) .....	112	108	107
4180 Budget authority, net (total) .....	114	108	107
4190 Outlays, net (total) .....	112	108	107

*Western Oregon resources management.*—Provides for the management of approximately 2.2 million acres of lands that are primarily forested ecosystems in western Oregon. These lands support a number of resource activities including timber management, grazing management, and recreation management. In support of these activities, BLM is involved in improving critical watersheds, restoring wildlife and fish habitat, providing safe recreation opportunities, and preserving cultural resources.

*Western Oregon information and resource data systems.*—Provides for the acquisition, operation, and maintenance of the automated data support systems required for the management of the Oregon and California programs.

*Western Oregon transportation and facilities maintenance.*—Provides for the maintenance of office buildings, warehouse and storage structures, shops, greenhouses, recreation sites, and the transportation system necessary to assure public safety and effective management of the lands in western Oregon. BLM funds deferred maintenance projects on Oregon and California Grant Lands from the Management of Lands and Resources appropriation.

*Western Oregon Acquisition.*—Provides for the acquisition of road easements and road use agreements for timber site access and for other resource management activities, including recreation use. This activity also provides for transportation planning, survey, and design of access and other resource management roads.

*National Conservation Lands.*—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations on the National Conservation Lands. The program provides for the recurring operational (base) budgets of these National Conservation Lands units.

OREGON AND CALIFORNIA GRANT LANDS—Continued

Object Classification (in millions of dollars)

Identification code 014-1116-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	46	46	45
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	53	53	52
12.1 Civilian personnel benefits .....	18	18	17
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
25.2 Other services from non-Federal sources .....	19	18	18
25.3 Other goods and services from Federal sources .....	7	7	7
25.4 Operation and maintenance of facilities .....	3	3	3
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	5	5	5
99.9 Total new obligations .....	118	117	115

Employment Summary

Identification code 014-1116-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	767	767	767

ABANDONED WELL REMEDIATION FUND

Program and Financing (in millions of dollars)

Identification code 014-2640-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Abandoned Well Remediation Fund (Direct) .....	9	15	10
0900 Total new obligations (object class 25.2) .....	9	15	10
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	10	34	19
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	36		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3		
1260 Appropriations, mandatory (total) .....	33		
1930 Total budgetary resources available .....	43	34	19
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	34	19	9
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	8
3010 Obligations incurred, unexpired accounts .....	9	15	10
3020 Outlays (gross) .....	-8	-8	-8
3050 Unpaid obligations, end of year .....	1	8	10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	8
3200 Obligated balance, end of year .....	1	8	10
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	33		
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	8	8	8
4180 Budget authority, net (total) .....	33		
4190 Outlays, net (total) .....	8	8	8

*Abandoned Well Remediation Fund.*—Section 10, paragraph (b) of Public Law 113-40, 127 STAT. 545, appropriated funds to remediate, reclaim, and close abandoned oil and gas wells on current or former National Petroleum Reserve land.

Employment Summary

Identification code 014-2640-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

LAND ACQUISITION

For expenses necessary to carry out sections 205, 206, and 318(d) of Public Law 94-579, including administrative expenses and acquisition of lands or waters, or interests therein, **[\$38,630,000]** \$43,959,000, to be derived from the Land and Water Conservation Fund and to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-5033-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....	8	18	20
0002 Acquisition management .....	2	4	4
0900 Total new obligations .....	10	22	24
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	23	33	50
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	20	39	44
1930 Total budgetary resources available .....	43	72	94
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	33	50	70
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6		7
3010 Obligations incurred, unexpired accounts .....	10	22	24
3020 Outlays (gross) .....	-16	-15	-31
3050 Unpaid obligations, end of year .....		7	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6		7
3200 Obligated balance, end of year .....		7	
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	20	39	44
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	10	11
4011 Outlays from discretionary balances .....	13	5	20
4020 Outlays, gross (total) .....	16	15	31
4180 Budget authority, net (total) .....	20	39	44
4190 Outlays, net (total) .....	16	15	31

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	20	39	44
Outlays .....	16	15	31
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			45
Outlays .....			4
<b>Total:</b>			
Budget Authority .....	20	39	89
Outlays .....	16	15	35

This appropriation provides for the acquisition of lands or interests in lands, by purchase, easement or exchange, when necessary for public access and recreation use, preservation of open space, resource protection, and/or other purposes related to the management of public lands. The 2017 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local

community partners to achieve the highest priority shared conservation goals.

**Object Classification** (in millions of dollars)

Identification code 014-5033-0-2-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	2	3	3
32.0 Land and structures .....	7	18	20
99.9 Total new obligations .....	10	22	24

**Employment Summary**

Identification code 014-5033-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12	12	12

**LAND ACQUISITION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-5033-4-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....			30
0002 Acquisition management .....			4
0900 Total new obligations .....			34

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-5005] ....			45
1930 Total budgetary resources available .....			45
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			11

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			34
3020 Outlays (gross) .....			-4
3050 Unpaid obligations, end of year .....			30
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			30

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			45
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			4
4180 Budget authority, net (total) .....			45
4190 Outlays, net (total) .....			4

**Mandatory Land and Water Conservation Fund.**—The Administration proposes \$900 million in discretionary and mandatory funding in FY 2017, and proposes to permanently authorize \$900 million in annual mandatory funding for the Departments of Agriculture and the Interior Land and Water Conservation Fund programs beginning in FY 2018.

**Object Classification** (in millions of dollars)

Identification code 014-5033-4-2-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....			10
32.0 Land and structures .....			24
99.9 Total new obligations .....			34

**RANGE IMPROVEMENTS**

For rehabilitation, protection, and acquisition of lands and interests therein, and improvement of Federal rangelands pursuant to section 401 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), notwithstanding any other

Act, sums equal to 50 percent of all moneys received during the prior fiscal year under sections 3 and 15 of the Taylor Grazing Act (43 U.S.C. 315b, 315m) and the amount designated for range improvements from grazing fees and mineral leasing receipts from Bankhead-Jones lands transferred to the Department of the Interior pursuant to law, but not less than \$10,000,000, to remain available until expended: *Provided*, That not to exceed \$600,000 shall be available for administrative expenses. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5132-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	2	3
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Grazing Fees for Range Improvements, Taylor Grazing Act, As Amended .....	7	7	7
2000 Total: Balances and receipts .....	8	9	10
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Range Improvements .....	-7	-7	-7
2132 Range Improvements .....	1	1	
2199 Total current law appropriations .....	-6	-6	-7
2999 Total appropriations .....	-6	-6	-7
5099 Balance, end of year .....	2	3	3

**Program and Financing** (in millions of dollars)

Identification code 014-5132-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public Lands Improvements .....	8	8	8
0002 Farm Tenant Act Lands Improvements .....	2	1	1
0900 Total new obligations .....	10	9	9

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation (General Fund) .....	3	3	3
1201 Appropriation (special or trust fund) .....	7	7	7
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	9	9	10
1930 Total budgetary resources available .....	13	12	13
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	4

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	4
3010 Obligations incurred, unexpired accounts .....	10	9	9
3020 Outlays (gross) .....	-9	-10	-10
3050 Unpaid obligations, end of year .....	5	4	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	4
3200 Obligated balance, end of year .....	5	4	3

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	9	9	10
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	4	4	4
4101 Outlays from mandatory balances .....	5	6	6
4110 Outlays, gross (total) .....	9	10	10
4180 Budget authority, net (total) .....	9	9	10
4190 Outlays, net (total) .....	9	10	10

This appropriation is derived from a percentage of receipts from grazing of livestock on the public lands and from grazing and mineral leasing receipts on Bankhead-Jones Farm Tenant Act lands transferred from the Department of Agriculture by various Executive Orders. These funds are used for the planning, construction, development, and monitoring of range improvements.

## RANGE IMPROVEMENTS—Continued

## Object Classification (in millions of dollars)

Identification code 014-5132-0-2-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	2	1	1
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	10	9	9

## Employment Summary

Identification code 014-5132-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	35	35	35

## SERVICE CHARGES, DEPOSITS, AND FORFEITURES

For administrative expenses and other costs related to processing application documents and other authorizations for use and disposal of public lands and resources, for costs of providing copies of official public land documents, for monitoring construction, operation, and termination of facilities in conjunction with use authorizations, and for rehabilitation of damaged property, such amounts as may be collected under Public Law 94-579 (43 U.S.C. 1701 et seq.), and under section 28 of the Mineral Leasing Act (30 U.S.C. 185), to remain available until expended: *Provided*, That, notwithstanding any provision to the contrary of section 305(a) of Public Law 94-579 (43 U.S.C. 1735(a)), any moneys that have been or will be received pursuant to that section, whether as a result of forfeiture, compromise, or settlement, if not appropriate for refund pursuant to section 305(c) of that Act (43 U.S.C. 1735(c)), shall be available and may be expended under the authority of this Act by the Secretary to improve, protect, or rehabilitate any public lands administered through the Bureau of Land Management which have been damaged by the action of a resource developer, purchaser, permittee, or any unauthorized person, without regard to whether all moneys collected from each such action are used on the exact lands damaged which led to the action: *Provided further*, That any such moneys that are in excess of amounts needed to repair damage to the exact land for which funds were collected may be used to repair other damaged public lands. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5017-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1		
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Service Charges, Deposits, and Forfeitures, BLM .....	27	31	31
2000 Total: Balances and receipts .....	28	31	31
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Service Charges, Deposits, and Forfeitures .....	-28	-31	-31
5099 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 014-5017-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Right-of-way processing .....	10	13	13
0004 Energy and minerals cost recovery .....	2	3	3
0005 Wild horse and burro cost recover .....	1	1	1
0006 Repair of damaged lands .....	2	3	3
0007 Cost recoverable realty .....	1	1	1
0008 Recreation cost recovery .....	4	3	3
0009 Copy fees .....	1	1	1
0011 Trans Alaska Pipeline Authority .....	4	4	4
0900 Total new obligations .....	25	29	29

## Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	48	51	53
1050 Unobligated balance (total) .....	48	51	53
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	28	31	31
1930 Total budgetary resources available .....	76	82	84
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	51	53	55
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	3
3010 Obligations incurred, unexpired accounts .....	25	29	29
3020 Outlays (gross) .....	-25	-30	-32
3050 Unpaid obligations, end of year .....	4	3	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	4	3
3200 Obligated balance, end of year .....	4	3	

## Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	28	31	31
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	16	16
4011 Outlays from discretionary balances .....	12	14	16
4020 Outlays, gross (total) .....	25	30	32
4180 Budget authority, net (total) .....	28	31	31
4190 Outlays, net (total) .....	25	30	32

This appropriation is derived from: 1) revenues received to offset administrative and other costs incurred to process applications for rights-of-way, and the monitoring of construction, operation, and termination of rights-of-ways; 2) recovery of costs associated with the adopt-a-horse program; 3) revenues received for rehabilitation of damages to lands, resources, and facilities; 4) fees for processing specified categories of realty actions under the Federal Land Policy Management Act; 5) deposits received from contractors in lieu of completing contract requirements such as slash burning and timber extension expenses; 6) fees for costs of reproduction and administrative services involved in providing requested copies of materials; 7) fixed fees for energy and minerals lease applications, assignments, and transfers; 8) costs of processing applications and administering permits, including environmental analysis and monitoring of special recreation permits; and 9) rents received for permits to do commercial filming and photography on public lands. The Budget assumes BLM will continue to identify new opportunities to recover costs of services provided to benefiting public land users and reduce the need for direct appropriations from the Treasury.

## Object Classification (in millions of dollars)

Identification code 014-5017-0-2-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	11	13	13
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	13	15	15
12.1 Civilian personnel benefits .....	4	5	5
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	4	4	4
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	25	29	29

**Employment Summary**

Identification code 014-5017-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	158	158	158

**PERMANENT OPERATING FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-9926-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	84	87	100
Receipts:			
Current law:			
1130 Deposits for Road Maintenance and Reconstruction .....	3	3	3
1130 Rents and Charges for Quarters, Bureau of Land Management, Interior .....	1	1	1
1130 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....	14	13	4
1130 Land Sales, Southern Nevada Public Land Management .....	66	64	57
1130 Timber Sale Pipeline Restoration Fund .....	10	9	2
1130 Recreation Enhancement Fee, BLM .....	21	19	19
1130 Lincoln County Land Act Land Sales .....	3	1	1
1130 Washington County, Utah Land Acquisition Account .....	1	5	1
1130 Rent from Mineral Leases, Permit Processing Improvement Fund .....	12	13	12
1130 Oil and Gas Permit Processing Fee - 85% .....	33	36	
1130 Oil and Gas Permit Processing Fee - 15% .....	6	6	
1140 Earnings on Investments, Southern Nevada Public Land Management .....		2	5
1199 Total current law receipts .....	131	169	147
Proposed:			
1230 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....			3
1230 Timber Sale Pipeline Restoration Fund .....			2
1230 Surplus Land Sales, Federal Land Disposal Account .....			5
1299 Total proposed receipts .....			10
1999 Total receipts .....	131	169	157
2000 Total: Balances and receipts .....	215	256	257
Appropriations:			
Current law:			
2101 Permanent Operating Funds .....	-137	-19	-19
2101 Permanent Operating Funds .....		-13	-4
2101 Permanent Operating Funds .....		-9	-2
2101 Permanent Operating Funds .....		-3	-3
2101 Permanent Operating Funds .....		-64	-57
2101 Permanent Operating Funds .....		-2	-5
2101 Permanent Operating Funds .....		-39	-42
2101 Permanent Operating Funds .....		-1	-1
2101 Permanent Operating Funds .....		-5	-1
2101 Permanent Operating Funds .....		-1	-1
2103 Permanent Operating Funds .....		-9	-9
2132 Permanent Operating Funds .....	9	9	
2199 Total current law appropriations .....	-128	-156	-144
Proposed:			
2201 Permanent Operating Funds .....			-2
2201 Permanent Operating Funds .....			-1
2201 Permanent Operating Funds .....			-5
2299 Total proposed appropriations .....			-8
2999 Total appropriations .....	-128	-156	-152
5099 Balance, end of year .....	87	100	105

**Program and Financing** (in millions of dollars)

Identification code 014-9926-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Forest ecosystem health and recovery fund .....	4	6	6
0002 Recreation fee demonstration .....	17	19	19
0003 Expenses, road maintenance deposits .....	2	2	2
0004 Timber sale pipeline restoration fund .....	4	2	2
0005 Southern Nevada public land sales (85) .....	52	75	75
0008 Lincoln County Lands Act .....	2	3	3
0013 Operation and maintenance of quarters .....	1	1	1
0014 Permit Processing Improvement Fund .....	9	49	49
0018 NPR-2 Cleanup Fund .....		1	1

0900 Total new obligations .....	91	158	158
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	578	646	644
1021 Recoveries of prior year unpaid obligations .....	31		
1050 Unobligated balance (total) .....	609	646	644
Budget authority:			
Appropriations, mandatory:			
1201 Recreation fee demonstration program .....	137	19	19
1201 Forest ecosystem health and recovery fund .....		13	4
1201 Timber sales pipeline restoration fund .....		9	2
1201 Expenses, road maintenance deposits .....		3	3
1201 S. Nevada public land management .....	64		57
1201 S. Nevada public land management-interest earned .....	2		5
1201 Permit processing improvement fund .....	39		42
1201 Operation and maintenance of quarters .....	1		1
1201 Washington County (Land Acquisition) .....	5		1
1201 Lincoln Cty. land sales .....	1		1
1203 Appropriation (previously unavailable) .....	9		9
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-9	-9	
1260 Appropriations, mandatory (total) .....	128	156	144
1930 Total budgetary resources available .....	737	802	788
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	646	644	630

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	201	113	54
3010 Obligations incurred, unexpired accounts .....	91	158	158
3020 Outlays (gross) .....	-148	-217	-212
3040 Recoveries of prior year unpaid obligations, unexpired .....	-31		
3050 Unpaid obligations, end of year .....	113	54	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	201	113	54
3200 Obligated balance, end of year .....	113	54	

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	128	156	144
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12	76	86
4101 Outlays from mandatory balances .....	136	141	126
4110 Outlays, gross (total) .....	148	217	212
4180 Budget authority, net (total) .....	128	156	144
4190 Outlays, net (total) .....	148	217	212

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	609	634	660
5001 Total investments, EOY: Federal securities: Par value .....	634	660	687

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	128	156	144
Outlays .....	148	217	212
Legislative proposal, subject to PAYGO:			
Budget Authority .....			8
Outlays .....			3
Total:			
Budget Authority .....	128	156	152
Outlays .....	148	217	215

Permanent operating funds accounts include:

*Operations and maintenance of quarters.*—Funds in this account are used to maintain and repair Bureau of Land Management (BLM) employee-occupied quarters from which rental charges are collected. Agencies are required to collect rental charges from employees who occupy Government-owned housing and quarters. This housing is provided only in isolated areas or where an employee is required to live on-site at a Federally owned facility or reservation.

*Forest ecosystems health and recovery.*—Funds in this account are derived from revenue generated from the Federal share of receipts from the sale of salvage timber from the Oregon and California grant lands, public domain lands, and Coos Bay Wagon Road lands. Pursuant to P.L.

PERMANENT OPERATING FUNDS—Continued

102–381, as amended, this account was established to allow BLM to more efficiently and effectively address forest health issues. Funds can be used for other forest health purposes, including release from competing vegetation and density control treatments. This account will expire on September 30, 2020 under current law.

*Timber sale pipeline restoration fund.*—This fund provides for the deposit and use of fees collected by BLM for sales of timber authorized by section 2001(k) of P.L. 104–19. Of the total deposited into this account, 75 percent is to be used for the preparation of timber sales to fill the timber pipeline on lands administered by BLM, and 25 percent is to be used to address recreation projects on BLM lands.

*Stewardship contract product sales.*—Stewardship contracting improves, maintains, or restores forest and rangeland health; restores or maintains water quality; improves fish and wildlife habitat; reestablishes native plant species and increases their resilience to insects and disease; and reduces hazardous fuels that pose risks to communities and ecosystem values. Stewardship contracting authority includes agreements with non-profits, best-value contracts, designation by description, end results, and goods for services.

*Expenses, road maintenance deposits.*—Users of certain roads under BLM's jurisdiction make deposits for maintenance purposes. Moneys collected are appropriated for necessary road maintenance. Moneys collected on Oregon and California grant lands are available only for those lands (43 U.S.C. 1762(c), 43 U.S.C. 1735(b)).

*Federal Lands Recreation Enhancement Act, BLM.*—The Federal Lands Recreation Enhancement Act (FLREA) was enacted on December 8, 2004, as part of the Consolidated Appropriations Act for 2005. The FLREA replaced the Recreation Fee Demonstration Program, and most current BLM sites have transitioned to the new program. All receipts collected under this authority are deposited to this account. The BLM returns 100 percent of these receipts back to the site where the fees were generated. The Administration proposes legislation to permanently authorize the recreation fee programs of the Departments of the Interior and Agriculture under FLREA. The FLREA is currently set to expire on September 30, 2017.

*Operations and acquisitions in Nevada from land sale receipts.*—Pursuant to P.L. 105–263, 85 percent of receipts from sales of public domain lands in southern Nevada are used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, the U.S. Fish and Wildlife Service and BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse BLM for costs incurred arranging sales and exchanges under the Act.

*Lincoln County Land Sales Act.*—P.L. 106–298 authorizes the Secretary to dispose of certain lands in Lincoln County, Nevada, and distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the County; and 85 percent to an interest bearing account that is available for expenditure without further appropriation to be used by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the County, and for other specified administrative purposes.

*White Pine County Land Sales Act.*—P.L. 109–432 authorizes the Secretary to dispose of certain lands in White Pine County, Nevada, and to distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the County; and 85 percent to an account that is available for expenditure without further appropriation for the management of archaeological resources, wilderness protection, recreation activities, preparation of a management plan, reimbursement for sale costs, and other purposes.

*Leases from Naval Petroleum Reserve No 2.*—The 2005 Energy Policy Act established this fund for environmental investigation and restoration

on that site located in Kern County, California. A portion of revenue from new leases on the site is authorized to be deposited to this account.

*BLM Permit Processing Improvement Fund.*—The 2005 Energy Policy Act, as amended by the National Defense Authorization Act, 2015, established pilot offices to improve interagency coordination in processing onshore Federal oil and gas permits. Fifty-percent of the rents from non-geothermal onshore mineral leases are authorized to be deposited in this fund and used to facilitate BLM oil and gas permit processing in these pilot offices. In addition, in 2016 through 2026, fees collected for processing applications for permits to drill will be deposited to this fund.

*Federal land disposal.*—The Federal Land Transaction Facilitation Act, P.L. 106–248 (114 Stat. 613), provided authority for BLM to sell public lands that were classified as suitable for disposal under resource management plans in effect at the time of enactment. This law provided that receipts from such sales could be used to acquire non-Federal lands with significant resource values that fall within the boundaries of areas now managed by the Department of the Interior. This authority expired on July 25, 2010, and was later reauthorized through July 25, 2011. All funds not obligated by both expiration dates were transferred to the Land and Water Conservation Fund. The Budget includes a proposal to reauthorize the Federal Land Transaction Facilitation Act. A separate legislative proposal to permanently authorize the LWCF will also be submitted with the Budget.

*Owyhee Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1039), provides that the Secretary may sell public land located within the Boise District of BLM that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to, certain wilderness areas.

*Washington County, Utah Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1091), authorizes the sale of public land located within Washington County, Utah, that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to, certain wilderness areas.

*Silver Saddle Endowment Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1114), requires Carson City to deposit twenty-five percent of the difference between what the Secretary of the Interior and the City paid for the 62-acre Bernhard parcel before the Secretary conveys the land to the City. Amounts deposited in the account established by paragraph (1) shall be available to the Secretary, without further appropriation, for the oversight and enforcement of a certain conservation easement.

*Carson City Special Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1113), authorizes the sale of 158 acres of public land described in the statute. Five percent of the proceeds are paid to the State of Nevada for use for public education. The remainder is deposited to this account and used to acquire environmentally sensitive land or an interest in environmentally sensitive land in Carson City; to cover the cost of surveys and appraisals; and to reimburse BLM for administrative expenses.

*Ojito Land Acquisition.*—The Ojito Wilderness Act authorized the sale of land to the Pueblo Indian Tribe and the purchase of land from willing sellers within the State of New Mexico.

Object Classification (in millions of dollars)

Identification code 014–9926–0–2–302	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	16	41	41
11.1 Full-time permanent - Allocation .....	4	7	7
11.3 Other than full-time permanent .....	2	3	3
11.3 Other than full-time permanent - Allocation .....	2	2	2

11.5	Other personnel compensation .....	1	3	3
11.9	Total personnel compensation .....	25	56	56
12.1	Civilian personnel benefits .....	7	16	16
12.1	Civilian personnel benefits - Allocation .....	2	3	3
21.0	Travel and transportation of persons .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	5	8	8
25.2	Other services from non-Federal sources - Allocation .....	23	27	27
25.3	Other goods and services from Federal sources .....	5	8	8
25.3	Other goods and services from Federal sources - Allocation .....	1	2	2
25.4	Operation and maintenance of facilities .....	2	3	3
25.4	Operation and maintenance of facilities - Allocation .....	2	2	2
25.7	Operation and maintenance of equipment .....	1	2	2
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	1	2	2
32.0	Land and structures .....		3	3
32.0	Land and structures - Allocation .....	7	12	12
41.0	Grants, subsidies, and contributions .....	2	6	6
41.0	Grants, subsidies, and contributions - Allocation .....	4	4	4
99.9	Total new obligations .....	91	158	158

**Employment Summary**

Identification code 014-9926-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	321	696	696

**PERMANENT OPERATING FUNDS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-9926-4-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Forest ecosystem health and recovery fund .....			5
0004 Timber sale pipeline restoration fund .....			2
0005 Federal land disposal fund .....			1
0900 Total new obligations .....			8

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Forest ecosystem health and recovery fund .....			2
1201 Timber sales pipeline restoration fund .....			1
1201 Federal land disposal fund .....			5
1260 Appropriations, mandatory (total) .....			8
1930 Total budgetary resources available .....			8

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			8
3020 Outlays (gross) .....			-3
3050 Unpaid obligations, end of year .....			5
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			5

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			8
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			3
4180 Budget authority, net (total) .....			8
4190 Outlays, net (total) .....			3

**Object Classification** (in millions of dollars)

Identification code 014-9926-4-2-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....			5
26.0 Supplies and materials .....			2
32.0 Land and structures .....			1
99.9 Total new obligations .....			8

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-9921-0-2-999	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	43	19	1
0198 Unappropriated receipt and reconciliation adjustment .....	-27		
0199 Balance, start of year .....	16	19	1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Receipts from Grazing, Etc., Public Lands outside Grazing Districts .....	1	1	1
1130 Receipts from Grazing, Etc., Public Lands within Grazing Districts .....	3	1	1
1130 Payments to States and Counties from Land Sales .....	13	12	11
1130 Sale of Public Lands and Materials .....	3		
1130 Oregon and California Land-grant Fund .....	-13		
1130 Deposits, Oregon and California Grant Lands .....	30	18	15
1130 Coos Bay Wagon Road Grant Fund .....	4		
1130 Funds Reserved, Coos Bay Wagon Road Grant Lands .....			2
1199 Total current law receipts .....	41	32	30
<b>Proposed:</b>			
1230 Deposits, Oregon and California Grant Lands .....			-15
1230 Funds Reserved, Coos Bay Wagon Road Grant Lands .....			-2
1299 Total proposed receipts .....			-17
1999 Total receipts .....	41	32	13
2000 Total: Balances and receipts .....	57	51	14
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Miscellaneous Permanent Payment Accounts .....	-46	-1	-1
2101 Miscellaneous Permanent Payment Accounts .....		-1	-1
2101 Miscellaneous Permanent Payment Accounts .....		-1	-1
2101 Miscellaneous Permanent Payment Accounts .....		-1	-1
2101 Miscellaneous Permanent Payment Accounts .....		-11	-10
2101 Miscellaneous Permanent Payment Accounts .....			-15
2101 Miscellaneous Permanent Payment Accounts .....			-2
2101 Miscellaneous Permanent Payment Accounts .....			-14
2101 Miscellaneous Permanent Payment Accounts .....			-18
2101 Miscellaneous Permanent Payment Accounts .....			-4
2132 Miscellaneous Permanent Payment Accounts .....	1	1	
2199 Total current law appropriations .....	-45	-50	-31
<b>Proposed:</b>			
2201 Miscellaneous Permanent Payment Accounts .....			14
2201 Miscellaneous Permanent Payment Accounts .....			2
2298 Adjustments .....			1
2299 Total proposed appropriations .....			17
2999 Total appropriations .....	-45	-50	-14
5098 Unappropriated receipt and reconciliation adjustment .....	7		
5099 Balance, end of year .....	19	1	

**Program and Financing** (in millions of dollars)

Identification code 014-9921-0-2-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to O&C Counties, Title I/III 5884 .....	34	32	
0003 Payment to O&C and CBWR Counties, Title II 5485 .....		4	
0004 From grazing fees, etc., public lands outside grazing districts 5016 .....	1	1	1
0005 From grazing fees, etc., public lands within grazing districts 5032 .....	1	1	1
0009 Proceeds from sales 5133 .....	1	1	1
0010 Payments to counties from national grasslands 5896 .....	1	1	1
0013 Payments to State and Counties from Nevada Land Sales .....	11	10	10
0014 Payments to O&C counties under 1937 statute .....			15
0015 Payments to CBWR counties under 1939 statute .....			2
0900 Total new obligations (object class 41.0) .....	49	50	31

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	7	7
1050 Unobligated balance (total) .....	3	7	7
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	8		
1201 Proceeds of sales-payments to states .....	46	1	1
1201 Payments from grazing fees outside grazing districts .....		1	1
1201 Payments from grazing fees within grazing districts .....		1	1

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS—Continued  
Program and Financing—Continued

Identification code 014-9921-0-2-999	2015 actual	2016 est.	2017 est.
1201 Payments to Counties, National Grasslands, BLM .....		1	1
1201 Payments from Nevada Land Sales .....		11	10
1201 Payments to O&C Grants lands counties under 1937 statute .....			15
1201 Payments to CBWR counties under 1939 statute .....			2
1201 Appropriation (SRS O&C Payments from GF- Title I/III) .....		14	
1201 Appropriation (SRS O&C Payments from receipts- Title I/III) .....		18	
1201 Appropriation (SRS Payments from GF-Title II) .....		4	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	53	50	31
1930 Total budgetary resources available .....	56	57	38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	3	7
3010 Obligations incurred, unexpired accounts .....	49	50	31
3020 Outlays (gross) .....	-53	-46	-37
3050 Unpaid obligations, end of year .....	3	7	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	3	7
3200 Obligated balance, end of year .....	3	7	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	53	50	31
Outlays, gross:			
4100 Outlays from new mandatory authority .....		40	25
4101 Outlays from mandatory balances .....	53	6	12
4110 Outlays, gross (total) .....	53	46	37
4180 Budget authority, net (total) .....	53	50	31
4190 Outlays, net (total) .....	53	46	37

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	53	50	31
Outlays .....	53	46	37
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-16
Outlays .....			-16
Total:			
Budget Authority .....	53	50	15
Outlays .....	53	46	21

Miscellaneous permanent payments include:

*Payments for Oregon and California and Coos Bay Wagon Road grant lands, receipts.*—The Secure Rural Schools and Community Self-Determination Act of 2000 (P.L. 106-393), as amended by P.L. 110-343 and by P.L. 112-141, provides annual transition payments to the 18 O&C counties. These payments were derived from revenues from Federal activities on O&C lands in the previous fiscal year that were not deposited to permanent operating funds, supplemented by amounts from the General Fund when necessary. The last payment authorized under P.L. 106-393 was in 2006. Section 601 of Division C of P.L. 110-343 amended and reauthorized the Secure Rural Schools Act (P.L. 106-393). Amendments to P.L. 106-393 retained most provisions of the original Act, but reduced payments each year. Section 10(a) of P.L. 113-40, 127 Stat. 545, provided authorization for 2013, for payments made in 2014. The Secure Rural Schools Act (SRS Act) was reauthorized by section 524 of P.L. 114-10 for two years and signed into law by the President on April 16, 2015. The Budget proposes a five-year reauthorization of the Secure Rural Schools Act with funding through mandatory appropriations beginning in 2017. Counties not opting to receive a portion of the USDA Forest Service payments to communities will receive funds authorized under the 1937 and 1939 statutes. Payments to the Oregon counties under the 1937 statute

will be 50 percent of revenues from O&C grant lands. Payments under the 1939 statute are for lost tax revenue in two Oregon counties and are estimated to be 75 percent of all revenues from Coos Bay Wagon Road grant lands.

*Payments to States (proceeds of sales).*—States are paid five percent of the net proceeds from the sale of public land and public land products (31 U.S.C. 1305).

*Payments to States from grazing receipts, etc, public lands outside grazing districts.*—States are paid 50 percent of the grazing receipts from public lands outside of grazing districts (43 U.S.C. 315i, 315m).

*Payments to States from grazing receipts, etc, public lands within districts.*—States are paid 12.5 percent of grazing receipts from public lands inside grazing districts (43 U.S.C. 315b, 315i).

*Payments to States from grazing receipts, etc, public lands within grazing districts, miscellaneous.*—States are paid specifically determined amounts from grazing receipts derived from miscellaneous lands within grazing districts when payment is not feasible on a percentage basis (43 U.S.C. 315).

*Payments to counties, National Grasslands.*—Of the revenues received from the use of Bankhead-Jones Act lands administered by BLM, 25 percent is paid to the counties in which such lands are situated, for school and road purposes (7 U.S.C. 1012).

*Payments to Nevada from receipts on land sales.*—(A) P.L. 96-586 authorizes and directs the Secretary to sell not more than 700 acres of public lands per calendar year in and around Las Vegas, Nevada, the proceeds of which are to be used to acquire environmentally sensitive lands in the Lake Tahoe Basin of California and Nevada. Annual revenues are distributed to the State of Nevada (five percent) and the county in which the land is located (10 percent). (B) P.L. 105-263, as amended by P.L. 107-282, authorizes the disposal through sale of approximately 49,000 acres in Clark County Nevada, the proceeds of which are to be distributed as follows: a) five percent for use in the general education program of the State of Nevada; b) 10 percent for use by the Southern Nevada Water Authority for water treatment and transmission facility infrastructure in Clark County, Nevada; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to be used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, Fish and Wildlife Service, and BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails, and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse BLM for costs incurred arranging sales and exchanges under the Act. (C) P.L. 106-298 authorizes the sale of certain lands in Lincoln County, Nevada. The proceeds of these sales are to be distributed as follows: a) five percent to the State of Nevada for general education purposes; b) 10 percent to Lincoln County for general purposes with emphasis on supporting schools; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*Cook Inlet Region, Incorporated Account.*—This account received funding appropriated by section 9102 of the fiscal year 1990 Department of Defense Appropriations Act for the acquisition of Federal real properties, improvements on such lands or rights to their use or exploitation, and any personal property related to the land purchased by the Cook Inlet Region, Incorporated as authorized by the provisions of section 12(b) of P.L. 94-204 (43 U.S.C. 1611). The BLM maintains an accounting of the funds used by the Cook Inlet Region, Incorporated to purchase properties.

*State 5 Percent Share, Carson City Land Sales.*—The 2009 Omnibus Public Land Management Act, Public Law 111-11 (123 STAT. 1113), requires that five percent of proceeds from the sale of 158 acres described

in the statute shall be paid to the State of Nevada for general public education purposes.

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-9921-4-2-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0014	Payments to O&C counties under 1937 statute .....		-14
0015	Payments to CBWR counties under 1939 statute .....		-2
0900	Total new obligations (object class 41.0) .....		-16
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Payments to O&C Grants lands counties under 1937 statute .....		-14
1201	Payments to CBWR counties under 1939 statute .....		-2
1260	Appropriations, mandatory (total) .....		-16
1930	Total budgetary resources available .....		-16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		-16
3020	Outlays (gross) .....		16
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-16
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-16
4180	Budget authority, net (total) .....		-16
4190	Outlays, net (total) .....		-16

HELIUM FUND

**Program and Financing** (in millions of dollars)

Identification code 014-4053-0-3-306	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Production and sales .....	7	20	20
0802	Transmission and storage .....	3	2	2
0803	Administration and other expenses .....	3	2	3
0900	Total new obligations .....	13	24	25
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	216	148	27
1021	Recoveries of prior year unpaid obligations .....	1		
1022	Capital transfer of unobligated balances to general fund .....	-100	-124	
1050	Unobligated balance (total) .....	117	24	27
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	47	25	25
1802	Offsetting collections (previously unavailable) .....		3	1
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-3	-1	
1850	Spending auth from offsetting collections, mand (total) .....	44	27	26
1900	Budget authority (total) .....	44	27	26
1930	Total budgetary resources available .....	161	51	53
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	148	27	28
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	10	7
3010	Obligations incurred, unexpired accounts .....	13	24	25
3020	Outlays (gross) .....	-16	-27	-25
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	10	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	14	10	7

3200	Obligated balance, end of year .....	10	7	7
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	44	27	26
Outlays, gross:				
4100	Outlays from new mandatory authority .....	9	7	5
4101	Outlays from mandatory balances .....	7	20	20
4110	Outlays, gross (total) .....	16	27	25
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-47	-25	-25
4180	Budget authority, net (total) .....	-3	2	1
4190	Outlays, net (total) .....	-31	2	
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....		3	1
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	3	1	

The Helium Act Amendments of 1960, P.L. 86-777 (50 U.S.C. 167), authorized activities necessary to provide sufficient helium to meet the current and foreseeable future needs of essential government activities. The Helium Privatization Act of 1996 (HPA), P.L. 104-273, provided for the eventual privatization of the program and its functions, specifying that once the helium debt is retired, the Helium Production Fund would be dissolved. The debt was repaid at the beginning of fiscal year 2014. The Helium Stewardship Act of 2013 (HSA), P.L. 113-40, provided for continued operation of the Helium program while facilitating a gradual exit from the helium market. The Helium program consists of: (a) continued storage and transmission of crude helium; (b) oversight of the production of helium on Federal lands; and (c) administration of in-kind and open market crude helium gas sale programs. To minimize impacts to the helium market, the HSA provides a "glide path" from the sales mandated under HPA, increasing the sales price of helium through an auction mechanism and reducing the total volume of helium sold each year, until the amount in storage reaches 3.0 billion cubic feet. At that point, the remaining helium will be reserved for Federal users. Additionally, HSA provides for a hard deadline to sunset the program and sell off the program assets by 2021.

**Balance Sheet** (in millions of dollars)

Identification code 014-4053-0-3-306	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	230	230
Other Federal assets:			
1802	Inventories and related properties .....	95	95
1803	Property, plant and equipment, net .....	9	9
1901	Other assets .....	179	179
1999	Total assets .....	513	513
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....		
2105	Other .....	289	289
2999	Total liabilities .....	289	289
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	224	224
4999	Total liabilities and net position .....	513	513

**Object Classification** (in millions of dollars)

Identification code 014-4053-0-3-306	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	5	5
12.1	Civilian personnel benefits .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	3	4	4
25.2	Other services from non-Federal sources .....	4	6	7
26.0	Supplies and materials .....	1	2	2
31.0	Equipment .....		1	1
41.0	Grants, subsidies, and contributions .....		5	5
99.9	Total new obligations .....	13	24	25

HELIUM FUND—Continued  
Employment Summary

Identification code 014-4053-0-3-306	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	57	57	57

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 014-4525-0-4-302	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0801 Operating expenses .....	26	27	27
0802 Capital investment .....	47	48	49
0900 Total new obligations .....	73	75	76

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	115	118	120
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Budget authority:

Spending authority from offsetting collections, discretionary:

1700 Collected .....	76	77	79
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1930 Total budgetary resources available .....

	191	195	199
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Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year .....	118	120	123
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**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	14	35	46
3010 Obligations incurred, unexpired accounts .....	73	75	76
3020 Outlays (gross) .....	-52	-64	-63
3050 Unpaid obligations, end of year .....	35	46	59

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	14	35	46
3200 Obligated balance, end of year .....	35	46	59

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	76	77	79
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Outlays, gross:

4010 Outlays from new discretionary authority .....	29	31	32
4011 Outlays from discretionary balances .....	23	33	31
4020 Outlays, gross (total) .....	52	64	63

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:

4030 Federal sources .....	-71	-72	-74
4033 Non-Federal sources .....	-5	-5	-5
4040 Offsets against gross budget authority and outlays (total) .....	-76	-77	-79
4080 Outlays, net (discretionary) .....	-24	-13	-16
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-24	-13	-16

Section 306 of the Federal Land Policy and Management Act of 1976 authorizes a BLM working capital fund. The fund is managed as a self-sustaining revolving fund for purchase and maintenance of vehicles and equipment, purchase of materials for resource conservation projects, purchase of uniforms, and other business-type functions.

Balance Sheet (in millions of dollars)

Identification code 014-4525-0-4-302	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	129	129
Other Federal assets:		
1801 Cash and other monetary assets .....	3	3
1802 Inventories and related properties .....	6	6
1803 Property, plant and equipment, net .....	115	115
1999 Total assets .....	253	253
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other .....	12	12
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	241	241
4999 Total liabilities and net position .....	253	253

Object Classification (in millions of dollars)

Identification code 014-4525-0-4-302	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.7 Operation and maintenance of equipment .....	5	5	5
26.0 Supplies and materials .....	19	19	19
31.0 Equipment .....	46	48	49
99.9 Total new obligations .....	73	75	76

Employment Summary

Identification code 014-4525-0-4-302	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	26	26	26

Trust Funds

MISCELLANEOUS TRUST FUNDS

In addition to amounts authorized to be expended under existing laws, there is hereby appropriated such amounts as may be contributed under section 307 of Public Law 94-579 (43 U.S.C. 1737), and such amounts as may be advanced for administrative costs, surveys, appraisals, and costs of making conveyances of omitted lands under section 211(b) of that Act (43 U.S.C. 1721(b)), to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9971-0-7-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	1	1
<b>Receipts:</b>			
Current law:			
1130 Contributions and Deposits, BLM .....	22	25	25
2000 Total: Balances and receipts .....	24	26	26
<b>Appropriations:</b>			
Current law:			
2101 Miscellaneous Trust Funds .....	-23	-25	-25
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 014-9971-0-7-302	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 Resource development FLPMA .....	13	14	14
0002 Resource development CA OHV .....	4	4	4
0003 Resource development Taylor Grazing .....	1	1	1
0005 Sikes Act .....		1	1
0900 Total new obligations .....	18	20	20

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	53	59	64
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	54	59	64

Budget authority:

Appropriations, mandatory:

1201 Appropriation (special or trust fund) .....	23	25	25
1930 Total budgetary resources available .....	77	84	89

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year .....	59	64	69
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**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	10	8	4
3010 Obligations incurred, unexpired accounts .....	18	20	20
3020 Outlays (gross) .....	-19	-24	-24
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	8	4	

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	10	8	4
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3200	Obligated balance, end of year .....	8	4	.....
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	23	25	25
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6	16	16
4101	Outlays from mandatory balances .....	13	8	8
4110	Outlays, gross (total) .....	19	24	24
4180	Budget authority, net (total) .....	23	25	25
4190	Outlays, net (total) .....	19	24	24

**Current Trust Funds include:**

*Land and Resource Management Trust Fund.*—Provides for the acceptance of contributed money or services for: 1) resource development, protection, and management; 2) conveyance or acquisition of public lands (including omitted lands or islands) to States, their political subdivisions, or individuals; and 3) conducting cadastral surveys, provided that estimated costs are paid prior to project initiation. (The Federal Land Policy and Management Act of 1976 (43 U.S.C. 1721, 1737).) The Sikes Act of 1974, as amended, provides for acceptance of contributions for conservation, restoration, and management of species and their habitats in cooperation with State wildlife agencies (16 U.S.C. 670 et seq.).

**Permanent Trust Funds include:**

*Range improvements.*—Acceptance of contributions for rangeland improvements is authorized by the Taylor Grazing Act (43 U.S.C. 315h and 315i). These funds are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

*Public surveys.*—Acceptance of contributions for public surveys is authorized by 43 U.S.C. 759, 761, and 31 U.S.C. 1321(a). These contributions are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

*Trustee funds, Alaska townsites.*—Amounts received from the sale of Alaska town lots are available for expenses incident to the maintenance and sale of townsites (31 U.S.C. 1321; Comp. Gen. Dec. of Nov. 18, 1935).

**Object Classification (in millions of dollars)**

Identification code 014–9971–0–7–302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	2	3	3
25.3 Other goods and services from Federal sources .....	3	3	3
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	2	2
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations .....	18	20	20

**Employment Summary**

Identification code 014–9971–0–7–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	89	93	93

**ADMINISTRATIVE PROVISIONS**

The Bureau of Land Management may carry out the operations funded under this Act by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities, including with States. Appropriations for the Bureau shall be available for purchase, erection, and dismantlement of temporary structures, and alteration and maintenance of necessary buildings and appurtenant facilities to which the United States has title; up to \$100,000 for payments, at the discretion of the Secretary, for information or evidence concerning violations of laws administered by the Bureau; miscellaneous and emergency expenses of en-

forcement activities authorized or approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$10,000: *Provided*, That notwithstanding Public Law 90–620 (44 U.S.C. 501), the Bureau may, under cooperative cost-sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share the cost of printing either in cash or in services, and the Bureau determines the cooperator is capable of meeting accepted quality standards: *Provided further*, That projects to be funded pursuant to a written commitment by a State government to provide an identified amount of money in support of the project may be carried out by the Bureau on a reimbursable basis. Appropriations herein made shall not be available for the destruction of healthy, unadopted, wild horses and burros in the care of the Bureau or its contractors or for the sale of wild horses and burros that results in their destruction for processing into commercial products. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

BUREAU OF OCEAN ENERGY MANAGEMENT

**Federal Funds**

OCEAN ENERGY MANAGEMENT

For expenses necessary for granting leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf and approving operations related thereto, as authorized by law; for environmental studies, as authorized by law; for implementing other laws and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, **[\$170,857,000] \$175,138,000**, of which **[\$74,235,000] \$80,194,000** is to remain available until September 30, **[2017] 2018** and of which **[\$96,622,000] \$94,944,000** is to remain available until expended: *Provided*, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Ocean Energy Management pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: *Provided further*, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year **[2016] 2017** appropriation estimated at not more than **[\$74,235,000] \$80,194,000**: *Provided further*, That not to exceed \$3,000 shall be available for reasonable expenses related to promoting volunteer beach and marine cleanup activities. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 014–1917–0–1–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Appropriations .....	81	95	77
0004 Offsetting Collections .....	95	110	98
0192 Total direct program .....	176	205	175
0799 Total direct obligations .....	176	205	175
0802 RSAs .....	5	4	4
0900 Total new obligations .....	181	209	179

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	36	29	.....
1021 Recoveries of prior year unpaid obligations .....	2	3	3
1050 Unobligated balance (total) .....	38	32	3
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	72	74	80
Spending authority from offsetting collections, discretionary:			
1700 Collected - Offsetting Collections .....	99	101	101
1701 Change in uncollected payments, Federal sources .....	1	1	1
1701 Change in uncollected payments, Federal sources .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	100	103	103
1900 Budget authority (total) .....	172	177	183
1930 Total budgetary resources available .....	210	209	186
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	.....	7

OCEAN ENERGY MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 014–1917–0–1–302	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110	118	118
3010 Obligations incurred, unexpired accounts .....	181	209	179
3020 Outlays (gross) .....	-171	-206	-231
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	118	118	63
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-3	-5	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	108	115	113
3200 Obligated balance, end of year .....	115	113	56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	172	177	183
Outlays, gross:			
4010 Outlays from new discretionary authority .....	89	120	125
4011 Outlays from discretionary balances .....	82	86	106
4020 Outlays, gross (total) .....	171	206	231
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-2	-2
4033 Non-Federal sources .....	-98	-99	-99
4040 Offsets against gross budget authority and outlays (total) ....	-99	-101	-101
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	-2	-2
4070 Budget authority, net (discretionary) .....	72	74	80
4080 Outlays, net (discretionary) .....	72	105	130
4180 Budget authority, net (total) .....	72	74	80
4190 Outlays, net (total) .....	72	105	130
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	5	5	5
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	5	5	5

The Bureau of Ocean Energy Management (BOEM) manages the exploration and development of the nation's offshore energy and marine mineral resources on the U.S. Outer Continental Shelf (OCS). These marine resources include vast deposits of oil and natural gas, non-energy minerals, as well as renewable resources such as offshore wind, wave and ocean currents. BOEM's goal is to balance economic development, energy security, and environmental protection through responsible and transparent management of offshore resources based on the best available science. In carrying out this mission, the Bureau manages a range of OCS activities. For offshore oil and gas, this includes conducting resource assessments; planning for the timing and location of OCS areas that will be offered for leasing; conducting lease sales; permitting geological and geophysical activities; reviewing and approving exploration and development plans; and conducting environmental reviews at multiple steps in the process. In addition, BOEM supports planning, leasing, and permitting for OCS renewable energy development, as well as managing OCS sand and gravel resources.

The Ocean Energy Management account includes the following budget activities: Renewable Energy, Conventional Energy, Environmental Programs, and Executive Direction.

**Renewable Energy.**—Oversees renewable energy program development and implementation, including: identification of wind energy areas; environmental and compliance work; issuance of offshore renewable energy leases; review of site assessment, construction, and operation plans; and consultation with state and local governments, Federal agencies, and other stakeholders.

**Conventional Energy.**—Supports OCS oil and gas leasing, including development of the Five Year OCS Oil and Gas Leasing Program; conducting

lease sales; administering leases; reviewing exploration and development plans; conducting technical and economic resource evaluation; and engaging in planning activities involving minerals other than oil and gas.

**Environmental Programs.**—Develops the environmental impact statements and environmental assessments needed to consider the potential environmental impacts of proposed actions in accordance with the National Environmental Protection Act, the OCS Lands Act, and numerous other environmental statutes, regulations, and executive orders. Also conducts specific studies that address information needs associated with both conventional and renewable energy leasing activities on the Outer Continental Shelf.

**Executive Direction.**—Funds bureau-wide leadership, management, coordination, and outreach. This includes budget management, congressional and public affairs, and program policy and analysis.

## Object Classification (in millions of dollars)

Identification code 014–1917–0–1–302	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	57	66	66
12.1 Civilian personnel benefits .....	18	22	22
21.0 Travel and transportation of persons .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	90	98	76
26.0 Supplies and materials .....	1	2	1
31.0 Equipment .....	2	5	2
41.0 Grants, subsidies, and contributions .....	7	9	5
99.0 Direct obligations .....	178	205	175
99.0 Reimbursable obligations .....	3	4	4
99.9 Total new obligations .....	181	209	179

## Employment Summary

Identification code 014–1917–0–1–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	566	574	592

## BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT

## Federal Funds

## OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT

For expenses necessary for the regulation of operations related to leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf, as authorized by law; for enforcing and implementing laws and regulations as authorized by law and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, **[\$124,772,000] \$124,968,000**, of which **[\$67,565,000] \$81,438,000** is to remain available until September 30, **[2017] 2018** and of which **[\$57,207,000] \$43,530,000** is to remain available until expended: *Provided*, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Safety and Environmental Enforcement pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: *Provided further*, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year **[2016] 2017** appropriation estimated at not more than **[\$67,565,000] \$81,438,000**.

For an additional amount, \$65,000,000, to remain available until expended, to be reduced by amounts collected by the Secretary and credited to this appropriation, which shall be derived from non-refundable inspection fees collected in fiscal year **[2016] 2017**, as provided in this Act: *Provided*, That to the extent that amounts realized from such inspection fees exceed \$65,000,000, the amounts realized in excess of \$65,000,000 shall be credited to this appropriation and remain available until expended: *Provided further*, That for fiscal year **[2016] 2017**, not less than 50 percent of the inspection fees expended by the Bureau of Safety and Environmental Enforcement will be used to fund personnel and mission-related costs to expand capacity and expedite the orderly development, subject to environmental safeguards, of the Outer Continental Shelf pursuant to the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.), including the review of applications for permits to drill.

(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 014–1700–0–1–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Appropriations .....	71	67	73
0002 Offsetting Collections .....	73	76	70
0003 Inspection Fee .....	83	48	65
0192 Total direct program .....	227	191	208
0799 Total direct obligations .....	227	191	208
0802 Reimbursable Service Agreements .....	41	37	37
0900 Total new obligations .....	268	228	245
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	93	65	64
1010 Unobligated balance transfer to other accts [014–0102] .....	–2		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	92	65	64
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	78	77	81
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections (Cost Recovery) .....	6	8	6
1700 Offsetting Collections (Rental Receipts) .....	50	49	38
1700 Collected (Inspection Fee) .....	55	56	54
1700 Reimbursable Service Agreements .....	40	37	37
1700 Collected (Increase in Inspection Fee) .....			11
1701 Change in uncollected payments, Federal sources .....	12		
1750 Spending auth from offsetting collections, disc (total) .....	163	150	146
1900 Budget authority (total) .....	241	227	227
1930 Total budgetary resources available .....	333	292	291
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	65	64	46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	130	168	158
3010 Obligations incurred, unexpired accounts .....	268	228	245
3020 Outlays (gross) .....	–228	–238	–241
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	168	158	162
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–23	–35	–35
3070 Change in uncollected pymts, Fed sources, unexpired .....	–12		
3090 Uncollected pymts, Fed sources, end of year .....	–35	–35	–35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	107	133	123
3200 Obligated balance, end of year .....	133	123	127
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	241	227	227
Outlays, gross:			
4010 Outlays from new discretionary authority .....	117	159	160
4011 Outlays from discretionary balances .....	111	79	81
4020 Outlays, gross (total) .....	228	238	241
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–40	–37	–37
4033 Non-Federal sources .....	–111	–113	–109
4040 Offsets against gross budget authority and outlays (total) ....	–151	–150	–146
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–12		
4070 Budget authority, net (discretionary) .....	78	77	81
4080 Outlays, net (discretionary) .....	77	88	95
4180 Budget authority, net (total) .....	78	77	81
4190 Outlays, net (total) .....	77	88	95
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	6	6	6
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	6	6	6

The Bureau of Safety and Environmental Enforcement (BSEE) was established on October 1, 2011, to ensure the safe and responsible development of our Nation's offshore energy resources. BSEE's continued focus is on safety and goes beyond simple compliance with regulations towards the adoption, by both industry and regulators, of a meaningful safety culture that permeates all offshore activities. The Bureau is responsible for the oversight of exploration, development, and production operations for oil and natural gas on the U.S. Outer Continental Shelf (OCS). The Offshore Safety and Environmental Enforcement (OSEE) account is BSEE's primary operating account and funds the following activities: Operations, Safety, and Regulation; Environmental Enforcement; Administrative Operations; and Executive Direction.

**Operations, Safety, and Regulation.** Funds safety activities associated with OCS oil and gas development plans and permits, including drilling permit application reviews; conducts facility inspections, including overseeing critical high-risk activities and identifying incidences of noncompliance with safety requirements; ensures offshore operators comply with oil spill planning and preparedness requirements; conducts accident investigations; oversees operator training and audit programs; conducts annual operator performance reviews; conducts research on emerging energy development technologies; and performs inspection verification of oil and gas production levels to help ensure the public receives a fair return from the sale of these public resources.

**Environmental Enforcement.** Funds environmental compliance activities related to issuing permits; inspections of environmental measures and enforcement of incidences of noncompliance, and monitoring industry compliance with mitigation and other environmental requirements through office and field inspections.

**Administrative Operations.** Funds general administration and ethics programs, equal employment opportunity services, emergency management, finance, human resources, procurement, and information management. This activity also provides a full range of administrative and information management services to the Bureau of Ocean Energy Management (BOEM), as well as select services to other Departmental entities.

**Executive Direction.** Funds bureau-wide leadership, direction, management, coordination, communications strategies, and outreach. This includes functions such as budget, congressional and public affairs, and policy and program analysis.

**Object Classification** (in millions of dollars)

Identification code 014–1700–0–1–302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	61	70	72
12.1 Civilian personnel benefits .....	20	19	23
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	8	9	10
25.1 Advisory and assistance services .....	10	2	2
25.2 Other services from non-Federal sources .....	85	33	60
25.3 Other goods and services from Federal sources .....	14	11	9
25.5 Research and development contracts .....	9	24	14
25.7 Operation and maintenance of equipment .....	8	14	8
25.8 Subsistence and support of persons .....		1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	9	4	5
99.0 Direct obligations .....	227	191	208
99.0 Reimbursable obligations .....	41	37	37
99.9 Total new obligations .....	268	228	245

**Employment Summary**

Identification code 014–1700–0–1–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	657	734	734
2001 Reimbursable civilian full-time equivalent employment .....	111	125	125

OIL SPILL RESEARCH

Program and Financing (in millions of dollars)

Identification code 014-1920-0-1-302	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Trust Funds

OIL SPILL RESEARCH

For necessary expenses to carry out title I, section 1016, title IV, sections 4202 and 4303, title VII, and title VIII, section 8201 of the Oil Pollution Act of 1990, \$14,899,000, which shall be derived from the Oil Spill Liability Trust Fund, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 014-8370-0-7-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Oil Spill Research (Direct) .....	17	16	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	2
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	5	3	2
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	15	15	15
1930 Total budgetary resources available .....	20	18	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	22	16
3010 Obligations incurred, unexpired accounts .....	17	16	16
3020 Outlays (gross) .....	-17	-22	-22
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	22	16	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	22	16
3200 Obligated balance, end of year .....	22	16	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	8	8
4011 Outlays from discretionary balances .....	13	14	14
4020 Outlays, gross (total) .....	17	22	22
4180 Budget authority, net (total) .....	15	15	15
4190 Outlays, net (total) .....	17	22	22

The Oil Pollution Act of 1990 authorizes use of the Oil Spill Liability Trust Fund, established by section 9509 of the Internal Revenue Code of 1986. The Oil Spill Research (OSR) appropriation is drawn from the Oil Spill Liability Trust Fund and funds: (1) oil spill research; (2) Ohmsett—the National Oil Spill Response Research and Renewable Energy Test Facility; and (3) oil spill prevention, planning, preparedness, and response functions for all facilities seaward of the coastline of the United States that handle, store, or transport oil.

Object Classification (in millions of dollars)

Identification code 014-8370-0-7-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	9	2	2
25.5 Research and development contracts .....	6	11	11
99.9 Total new obligations .....	17	16	16

Employment Summary

Identification code 014-8370-0-7-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	17	22	22

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

Federal Funds

REGULATION AND TECHNOLOGY

For necessary expenses to carry out the provisions of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, [ \$123,253,000 ] \$127,550,000, to remain available until September 30, [ 2017 ] 2018: Provided, That appropriations for the Office of Surface Mining Reclamation and Enforcement may provide for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

In addition, for costs to review, administer, and enforce permits issued by the Office pursuant to section 507 of Public Law 95-87 (30 U.S.C. 1257), [ \$40,000 ] \$1,900,000, to remain available until expended: Provided, That fees assessed and collected by the Office pursuant to such section 507 shall be credited to this account as discretionary offsetting collections, to remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as collections are received during the fiscal year, so as to result in a fiscal year [ 2016 ] 2017 appropriation estimated at not more than [ \$123,253,000 ] \$127,550,000. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-1801-0-1-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			2
Receipts:			
Current law:			
1110 Civil Penalties, Office of Surface Mining Reclamation and Enforcement .....	2	2	2
2000 Total: Balances and receipts .....	2	2	4
Appropriations:			
Current law:			
2101 Regulation and Technology .....	-2		
5099 Balance, end of year .....		2	4

Program and Financing (in millions of dollars)

Identification code 014-1801-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Environmental protection .....	92	92	90
0003 Technology development & transfer .....	14	14	21
0004 Financial management .....	1	1	1
0005 Executive direction & administration .....	16	16	16
0900 Total new obligations .....	123	123	128
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	30	32
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	30	30	32
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	123	123	128
1101 Appropriation (special or trust fund) .....	2		
1160 Appropriation, discretionary (total) .....	125	123	128

Spending authority from offsetting collections, discretionary:			
1700	Collected .....	2	2
1900	Budget authority (total) .....	125	125
1930	Total budgetary resources available .....	155	155
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-2	
1941	Unexpired unobligated balance, end of year .....	30	32

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	54	56
3010	Obligations incurred, unexpired accounts .....	123	123
3020	Outlays (gross) .....	-116	-138
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	
3041	Recoveries of prior year unpaid obligations, expired .....	-2	
3050	Unpaid obligations, end of year .....	56	41
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	54	56
3200	Obligated balance, end of year .....	56	41

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	125	125
Outlays, gross:			
4010	Outlays from new discretionary authority .....	58	85
4011	Outlays from discretionary balances .....	58	53
4020	Outlays, gross (total) .....	116	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	Offsetting governmental collections .....	-2	-2
4180	Budget authority, net (total) .....	125	123
4190	Outlays, net (total) .....	116	136

**Environmental protection.**—This activity funds those functions that directly contribute to ensuring the environment is protected during surface coal mining operations. It also addresses activities to ensure coal operators adequately reclaim the land after mining is completed.

Under this activity, the Office of Surface Mining Reclamation and Enforcement provides grants and support to States to operate enforcement programs on State and private lands under the terms of the Surface Mining Control and Reclamation Act of 1977. This activity also provides for the operation of enforcement programs on Federal and Indian lands, as well as Federal oversight of these regulatory programs.

**Technology development and transfer.**—This activity provides funding to enhance the technical skills that States and Indian Tribes need to operate their regulatory programs. It provides technical tools, such as the Applicant Violator System, to States and Indian Tribes to solve problems related to the environmental effects of coal mining and technical assistance to address specific coal mining issues.

**Financial management.**—This activity provides resources for managing, accounting, and processing collections and for pursuing delinquent civil penalties. This includes developing and maintaining information management systems that support these functions and enhance the agency's ability to deny new mining permits to applicants with unabated State or Federal violations.

**Executive direction and administration.**—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services, such as rent, telephones, and postage.

**Object Classification (in millions of dollars)**

Identification code 014-1801-0-1-302			
		2015 actual	2016 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	28	29
12.1	Civilian personnel benefits .....	9	9
21.0	Travel and transportation of persons .....	1	1
23.1	Rental payments to GSA .....	3	3
23.2	Rental payments to others .....	1	1
25.2	Other services from non-Federal sources .....	8	9
26.0	Supplies and materials .....	1	1
31.0	Equipment .....	1	1
41.0	Grants, subsidies, and contributions .....	71	69
99.9	Total new obligations .....	123	123

**Employment Summary**

Identification code 014-1801-0-1-302			
		2015 actual	2016 est.
1001	Direct civilian full-time equivalent employment .....	294	345

**ABANDONED MINE RECLAMATION FUND**

For necessary expenses to carry out title IV of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, **[\$27,303,000]** \$30,375,000, to be derived from receipts of the Abandoned Mine Reclamation Fund and to remain available until expended: *Provided*, That pursuant to Public Law 97-365, the Department of the Interior is authorized to use up to 20 percent from the recovery of the delinquent debt owed to the United States Government to pay for contracts to collect these debts: *Provided further*, That funds made available under title IV of Public Law 95-87 may be used for any required non-Federal share of the cost of projects funded by the Federal Government for the purpose of environmental restoration related to treatment or abatement of acid mine drainage from abandoned mines: *Provided further*, That such projects must be consistent with the purposes and priorities of the Surface Mining Control and Reclamation Act: *Provided further*, That amounts provided under this heading may be used for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

**■**In addition, \$90,000,000, to remain available until expended, for grants to States for reclamation of abandoned mine lands and other related activities in accordance with the terms and conditions in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That such additional amount shall be used for economic and community development in conjunction with the priorities in section 403(a) of the Surface Mining Control and Reclamation Act of 1977 (30 U.S.C. 1233(a)): *Provided further*, That such additional amount shall be distributed in equal amounts to the 3 Appalachian States with the greatest amount of unfunded needs to meet the priorities described in paragraphs (1) and (2) of such section: *Provided further*, That such additional amount shall be allocated to States within 60 days after the date of enactment of this Act. **■** (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 014-5015-0-2-999			
		2015 actual	2016 est.
0100	Balance, start of year .....	2,472	2,472
Receipts:			
Current law:			
1110	Abandoned Mine Reclamation Fund, Reclamation Fees .....	198	194
1140	Earnings on Investments, Abandoned Mine Reclamation Fund .....	29	29
1199	Total current law receipts .....	227	223
Proposed:			
1210	Abandoned Mine Reclamation Fund, Reclamation Fees .....		49
1999	Total receipts .....	227	223
2000	Total: Balances and receipts .....	2,699	2,695
Appropriations:			
Current law:			
2101	Abandoned Mine Reclamation Fund .....	-27	-117
2101	Abandoned Mine Reclamation Fund .....	-32	-29
2101	Abandoned Mine Reclamation Fund .....	-181	-163
2132	Abandoned Mine Reclamation Fund .....	13	
2199	Total current law appropriations .....	-227	-309
Proposed:			
2201	Abandoned Mine Reclamation Fund .....		-200
2999	Total appropriations .....	-227	-309
5099	Balance, end of year .....	2,472	2,386

**Program and Financing (in millions of dollars)**

Identification code 014-5015-0-2-999			
		2015 actual	2016 est.
<b>Obligations by program activity:</b>			
0001	Environmental Restoration .....	6	99
0002	Technology development and transfer .....	3	4
0003	Financial management .....	6	6
0004	Executive direction and administration .....	7	8
0005	AML funded Grants to States .....	237	163

ABANDONED MINE RECLAMATION FUND—Continued  
Program and Financing—Continued

Identification code 014-5015-0-2-999	2015 actual	2016 est.	2017 est.
0006 UMWA and other benefits .....	32	29	50
0900 Total new obligations .....	291	309	254
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	37	42
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	35	37	.....
1021 Recoveries of prior year unpaid obligations .....	66	5	5
1050 Unobligated balance (total) .....	101	42	47
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	27	117	30
Appropriations, mandatory:			
1201 Appropriation (AML & RAMP transfers to UMWA) .....	32	29	50
1201 Appropriation (AML grants to states) .....	181	163	174
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-13	.....	.....
1260 Appropriations, mandatory (total) .....	200	192	224
1900 Budget authority (total) .....	227	309	254
1930 Total budgetary resources available .....	328	351	301
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	37	42	47
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	310	304	295
3010 Obligations incurred, unexpired accounts .....	291	309	254
3020 Outlays (gross) .....	-231	-313	-269
3040 Recoveries of prior year unpaid obligations, unexpired .....	-66	-5	-5
3050 Unpaid obligations, end of year .....	304	295	275
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	310	304	295
3200 Obligated balance, end of year .....	304	295	275
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	117	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	99	26
4011 Outlays from discretionary balances .....	13	4	18
4020 Outlays, gross (total) .....	32	103	44
Mandatory:			
4090 Budget authority, gross .....	200	192	224
Outlays, gross:			
4100 Outlays from new mandatory authority .....	43	74	98
4101 Outlays from mandatory balances .....	156	136	127
4110 Outlays, gross (total) .....	199	210	225
4180 Budget authority, net (total) .....	227	309	254
4190 Outlays, net (total) .....	231	313	269
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,811	2,806	2,812
5001 Total investments, EOY: Federal securities: Par value .....	2,806	2,812	2,785

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	227	309	254
Outlays .....	231	313	269
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	200
Outlays .....	.....	.....	50
Total:			
Budget Authority .....	227	309	454
Outlays .....	231	313	319

*Environmental restoration.*—This activity funds those functions that contribute to reclaiming lands affected by past coal mining practices. This activity provides discretionary funding for the Federal reclamation program for watershed restoration projects and for the evaluation of State and tribal

reclamation programs that now receive mandatory funding for reclamation activities.

*Technology development and transfer.*—This activity provides funding to enhance the technical skills that the States and Indian Tribes need to operate their reclamation programs. The Office of Surface Mining Reclamation and Enforcement (OSMRE) provides technical assistance on mining and reclamation-related problems.

*Financial management.*—This activity provides funds to identify, notify, collect, and audit fees from coal operators for the Abandoned Mine Reclamation Fund. OSMRE seeks to maximize voluntary compliance with the Surface Mining Control and Reclamation Act's reclamation fee provisions.

*Executive direction and administration.*— This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services such as rent, telephones, and postage.

Status of Funds (in millions of dollars)

Identification code 014-5015-0-2-999	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	2,814	2,810	2,720
0999 Total balance, start of year .....	2,814	2,810	2,720
Cash income during the year:			
Current law:			
Receipts:			
1110 Abandoned Mine Reclamation Fund, Reclamation Fees .....	198	194	198
1150 Earnings on Investments, Abandoned Mine Reclamation Fund .....	29	29	50
1199 Income under present law .....	227	223	248
Proposed:			
1210 Abandoned Mine Reclamation Fund, Reclamation Fees .....	.....	.....	49
1299 Income proposed .....	.....	.....	49
1999 Total cash income .....	227	223	297
Cash outgo during year:			
Current law:			
2100 Abandoned Mine Reclamation Fund [010-08-5015-0] .....	-231	-313	-269
2199 Outgo under current law .....	-231	-313	-269
Proposed:			
2200 Abandoned Mine Reclamation Fund .....	.....	.....	-50
2299 Outgo under proposed legislation .....	.....	.....	-50
2999 Total cash outgo (-) .....	-231	-313	-319
Surplus or deficit:			
3110 Excluding interest .....	-33	-119	-72
3120 Interest .....	29	29	50
3199 Subtotal, surplus or deficit .....	-4	-90	-22
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	4	-92	-87
4200 Abandoned Mine Reclamation Fund .....	2,806	2,812	2,785
4999 Total balance, end of year .....	2,810	2,720	2,698

Object Classification (in millions of dollars)

Identification code 014-5015-0-2-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	12	13	14
12.1 Civilian personnel benefits .....	3	4	5
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	36	36	58
41.0 Grants, subsidies, and contributions .....	237	253	174
99.9 Total new obligations .....	291	309	254

**Employment Summary**

Identification code 014-5015-0-2-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	127	143	149

**ABANDONED MINE RECLAMATION FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-5015-4-2-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			200
1930 Total budgetary resources available .....			200
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			200

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			-50
3050 Unpaid obligations, end of year .....			-50
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-50

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			200
Outlays, gross:			
4100 Outlays from new mandatory authority .....			50
4180 Budget authority, net (total) .....			200
4190 Outlays, net (total) .....			50

In order to address the continuing legacy of abandoned mine lands (AML) on the health, safety, and environment of communities, the Budget proposes to make available to States and Tribes \$1 billion from the unappropriated balance of the AML Trust Fund. The AML funding would be used to target reclamation of AML sites and associated polluted waters in a manner that promotes sustainable revitalization in economically depressed coalfield communities. Additionally, the budget proposes to return coal reclamation fee rates to their historic (pre-FY 2008) level and eliminate all payments to States who have certified the highest priority abandoned coal mines have been reclaimed.

**PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS**

**Program and Financing** (in millions of dollars)

Identification code 014-1803-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Prior Balance Payments to Non-Certified States .....	30		
0002 Prior Balance Payments to Certified States and Tribes .....	15		
0003 In Lieu Payments to Certified States and Tribes .....	59	305	61
0900 Total new obligations (object class 41.0) .....	104	305	61

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3		
1021 Recoveries of prior year unpaid obligations .....	43	2	2
1050 Unobligated balance (total) .....	46	2	2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	63	305	61
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-5	-2	
1260 Appropriations, mandatory (total) .....	58	303	61
1930 Total budgetary resources available .....	104	305	63
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	538	439	507
3010 Obligations incurred, unexpired accounts .....	104	305	61
3020 Outlays (gross) .....	-160	-235	-128
3040 Recoveries of prior year unpaid obligations, unexpired .....	-43	-2	-2
3050 Unpaid obligations, end of year .....	439	507	438
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	538	439	507
3200 Obligated balance, end of year .....	439	507	438

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	58	303	61
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	30	6
4101 Outlays from mandatory balances .....	159	205	122
4110 Outlays, gross (total) .....	160	235	128
4180 Budget authority, net (total) .....	58	303	61
4190 Outlays, net (total) .....	160	235	128

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	58	303	61
Outlays .....	160	235	128
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-61
Outlays .....			-6
Total:			
Budget Authority .....	58	303	
Outlays .....	160	235	122

P.L. 109-432, as amended, authorizes mandatory Treasury payments to all States and Tribes equivalent to their share of the accumulated balance of the Abandoned Mine Reclamation Fund (i.e. prior balance funds). Prior balance fund payments were made over the past seven years and were exhausted in FY 2015. The payments also return half of annual coal fee collections to States and Tribes that have certified completion of their abandoned coal mine reclamation programs.

The Budget proposes to eliminate mandatory payments from the Treasury to States and Tribes that have been certified as completing reclamation of their abandoned coal mines, so that abandoned mine lands funds are only used to clean up the most hazardous abandoned coal mines. The Budget also proposes to return coal reclamation fee rates to their historic (pre-FY 2008) levels so that additional funding will be available to reduce some of the remaining dangerous coal AML sites nationwide.

**PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-1803-4-1-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-61
1930 Total budgetary resources available .....			-61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-61

**Change in obligated balance:**

Unpaid obligations:			
3020 Outlays (gross) .....			6
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....			-61
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-6
4180 Budget authority, net (total) .....			-61

PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS—Continued  
**Program and Financing**—Continued

Identification code 014-1803-4-1-999	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)			-6

The Budget proposes to terminate the payments to certified States and Tribes. States and Tribes that have been certified as completing their coal AML reclamation work receive unrestricted payments from the Treasury for a share of industry coal fee contributions generated within their state. These States and Tribes have already certified that they have completed their abandoned coal mine reclamation projects and are now permitted to use their AML payments for any purpose.

SUPPLEMENTAL PAYMENTS TO UMWA PLANS

**Program and Financing** (in millions of dollars)

Identification code 014-1804-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Supplemental Payments to UMWA Health Plans (Direct)	142	150	115
0900 Total new obligations (object class 25.2)	142	150	115
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	142	150	115
1930 Total budgetary resources available	142	150	115
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	142	150	115
3020 Outlays (gross)	-142	-150	-115
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	142	150	115
Outlays, gross:			
4100 Outlays from new mandatory authority		150	115
4101 Outlays from mandatory balances	142		
4110 Outlays, gross (total)	142	150	115
4180 Budget authority, net (total)	142	150	115
4190 Outlays, net (total)	142	150	115

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	142	150	115
Outlays	142	150	115
Legislative proposal, subject to PAYGO:			
Budget Authority			375
Outlays			375
Total:			
Budget Authority	142	150	490
Outlays	142	150	490

P.L. 109-432 authorizes mandatory Treasury payments to three United Mine Workers of America retiree health benefit plans (the Combined Benefit Fund, the 1992 Plan, and the 1993 Plan), to the extent that other Federal funding sources do not meet the plans' expenditure needs, subject to certain limitations. Interest earned on Abandoned Mine Land trust fund balances is available for transfer to cover funding shortfalls in the plans;

unobligated balances in the fund are used to generate interest for this purpose.

SUPPLEMENTAL PAYMENTS TO UMWA PLANS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-1804-4-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Supplemental Payments to UMWA Health Plans (Direct)			375
0900 Total new obligations (object class 25.2)			375
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			375
1930 Total budgetary resources available			375
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			375
3020 Outlays (gross)			-375
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			375
Outlays, gross:			
4100 Outlays from new mandatory authority			375
4180 Budget authority, net (total)			375
4190 Outlays, net (total)			375

Under current law, total obligations derived from the general fund for Surface Mining, Control, and Reclamation Act (SMCRA) purposes cannot exceed \$490 million a year. The Budget includes legislative proposals that would: (1) revise the formula for general fund payments to the 1993 Health Benefit Plan by taking into account all beneficiaries enrolled in the Plan as of enactment, as well as those retirees whose health benefits were denied or reduced as the result of a bituminous coal industry bankruptcy proceeding commenced in 2012, and (2) transfer to the 1974 UMWA pension plan the difference between that cap and other OSM obligations to the states and other health care plans. Payments would be made by the Pension Benefit Guaranty Corporation within the Department of Labor. The 1974 plan, which covers more than 100,000 mineworkers, is underfunded and approaching insolvency. Payments would continue until the plan is fully funded on a current liability basis.

**WATER AND SCIENCE**

BUREAU OF RECLAMATION

Appropriations to the Bureau are made from the General Fund and special funds. The source of funds are a) the General Fund, which funds other projects or programs. Among the projects funded from the General Fund are the Colorado River Basin Project and the Colorado River Storage Project; b) the Reclamation Fund, derived from repayments and other revenues from water and power users, receipts from the sale, lease, and rental of Federal lands, and certain oil and mineral revenues. Program activities that can be financed from the Reclamation Fund are those that directly benefit the 17 Western States and are for the purposes authorized under "Reclamation Law"; c) the Central Valley Project Restoration Fund, consisting of revenues from project beneficiaries; and d) other sources such as the Colorado River Dam Fund, which generates revenue from the sale of Boulder Canyon power, and the recreation, entrance, and user fee account, consisting of fees collected pursuant to the Land and Water Conservation Fund Act of 1965, as amended. Non-Federal entities also advance funds for operation and maintenance and provide funds under the Contributed Funds Act. The 2017 estimates are summarized by source as follows (in millions of dollars):

	Total Appropriations	General Fund	Reclamation Fund	CVP Restoration Fund	Other
<b>Appropriated Funds:</b>					
Water and Related Resources (net) .....	807	90	717		
Transferred from Water and Related Resources to Lower and Upper Colorado Basin Funds .....	6	6			
California Bay-Delta Restoration .....	36	36			
Policy and Administration .....	59		59		
Working Capital Fund .....	0				
Loan Program .....	0	0			
Central Valley Project Restoration Fund .....	56			56	
San Joaquin Restoration Fund .....	36	36			
Indian Water Rights Settlements .....	106	106			
Gross Current Authority .....	1106	274	776	56	0
Central Valley Project Restoration Fund, current offset .....	-56			-56	
Net Current Authority .....	1050	274	776	0	0
Loan Liquidating Account .....	-1				-1
Colorado River Dam Fund .....	104				104
Reclamation Trust Fund .....	3				3
San Joaquin Restoration Fund .....	0				0
Reclamation Water Settlements Fund .....	0				0
Federal Lands Recreation Enhancement Act .....	1				1
Total Permanent Appropriations .....	107	0	0	0	107
Grand Total .....	1157	274	776	0	107

**Federal Funds**

**WATER AND RELATED RESOURCES**

(INCLUDING TRANSFERS OF FUNDS)

For management, development, and restoration of water and related natural resources and for related activities, including the operation, maintenance, and rehabilitation of reclamation and other facilities, participation in fulfilling related Federal responsibilities to Native Americans, and related grants to, and cooperative and other agreements with, State and local governments, federally recognized Indian tribes, and others, **[\$1,118,972,000]** \$813,402,000, to remain available until expended, of which \$22,000 shall be available for transfer to the Upper Colorado River Basin Fund and **[\$5,899,000]** \$5,551,000 shall be available for transfer to the Lower Colorado River Basin Development Fund; of which such amounts as may be necessary may be advanced to the Colorado River Dam Fund: *Provided*, That such transfers may be increased or decreased within the overall appropriation under this heading: *Provided further*, That of the total appropriated, the amount for program activities that can be financed by the Reclamation Fund or the Bureau of Reclamation special fee account established by 16 U.S.C. 6806 shall be derived from that Fund or account: *Provided further*, That funds contributed under 43 U.S.C. 395 are available until expended for the purposes for which the funds were contributed: *Provided further*, That funds advanced under 43 U.S.C. 397a shall be credited to this account and are available until expended for the same purposes as the sums appropriated under this heading: *Provided further*, That of the amounts provided herein, funds may be used for high-priority projects which shall be carried out by the Youth Conservation Corps, as authorized by 16 U.S.C. 1706. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-0680-0-1-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			2
Receipts:			
Current law:			
1130 Recreation Enhancement Fee Program .....	1	1	1
2000 Total: Balances and receipts .....	1	2	3
5099 Balance, end of year .....	1	2	3

**Program and Financing** (in millions of dollars)

Identification code 014-0680-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Facility operations .....	227	257	254
0002 Facility maintenance and rehabilitation .....	163	191	184
0003 Water and energy management and development .....	343	540	208
0004 Fish and wildlife management and development .....	135	116	123
0005 Land management and development .....	38	39	39
0100 Total direct program .....	906	1,143	808
0799 Total direct obligations .....	906	1,143	808
0801 Water and Related Resources (Reimbursable) .....	325	582	308
0900 Total new obligations .....	1,231	1,725	1,116
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	495	650	328
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	70	121	
1021 Recoveries of prior year unpaid obligations .....	69		
1050 Unobligated balance (total) .....	564	650	328
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	233	244	96
1101 Appropriation (special or trust fund) .....	745	875	717
1120 Appropriations transferred to other accts [014-4081] .....	-80		
1120 Appropriations transferred to other accts [014-4079] .....	-7	-6	-6
1160 Appropriation, discretionary (total) .....	891	1,113	807
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	346	289	308
1701 Change in uncollected payments, Federal sources .....	79		
1750 Spending auth from offsetting collections, disc (total) .....	425	289	308
1900 Budget authority (total) .....	1,317	1,403	1,116
1930 Total budgetary resources available .....	1,881	2,053	1,444
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	650	328	328
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,046	1,036	1,410
3010 Obligations incurred, unexpired accounts .....	1,231	1,725	1,116
3020 Outlays (gross) .....	-1,172	-1,351	-1,285
3040 Recoveries of prior year unpaid obligations, unexpired .....	-69		
3050 Unpaid obligations, end of year .....	1,036	1,410	1,241
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-271	-350	-350
3070 Change in uncollected pymts, Fed sources, unexpired .....	-79		
3090 Uncollected pymts, Fed sources, end of year .....	-350	-350	-350
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	775	686	1,060
3200 Obligated balance, end of year .....	686	1,060	891
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,316	1,402	1,115
Outlays, gross:			
4010 Outlays from new discretionary authority .....	457	841	669
4011 Outlays from discretionary balances .....	714	505	614
4020 Outlays, gross (total) .....	1,171	1,346	1,283
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-14	-213	-225
4033 Non-Federal sources .....	-332	-76	-83
4040 Offsets against gross budget authority and outlays (total) ...	-346	-289	-308
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-79		
4070 Budget authority, net (discretionary) .....	891	1,113	807
4080 Outlays, net (discretionary) .....	825	1,057	975
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1	4	1
4110 Outlays, gross (total) .....	1	5	2
4180 Budget authority, net (total) .....	892	1,114	808

WATER AND RELATED RESOURCES—Continued  
Program and Financing—Continued

Identification code 014-0680-0-1-301	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total) .....	826	1,062	977

The Water and Related Resources account supports the development, management, and restoration of water and related natural resources in the 17 Western States. The account includes funds for operating and maintaining existing facilities to obtain the greatest overall level of benefits, to protect public safety, and to conduct studies on ways to improve the use of water and related natural resources. Work will be done in partnership and cooperation with non-Federal entities and other Federal agencies to reduce conflict, facilitate solutions to complex water issues, and stretch limited water supplies. The American West faces serious water challenges. Adequate and safe water supplies are fundamental to the health, economy, security, and ecology of the country. With increased demands for water, amplified recognition of environmental water requirements, and the potential for decreased supplies due to drought and climate change, a water balance cannot be achieved without water conservation and water reuse. In 2017, Reclamation will help address these issues through a water conservation program, which includes cost-shared grants for conservation and water and energy management improvement projects; basin-wide planning studies that will evaluate and address the impacts of climate change; cooperative watershed management; and funding of water reuse and recycling projects. Reclamation will also partner with States, Tribes and local entities under the program to develop incentives and best practices for implementing water and energy conservation and water recycling projects.

Object Classification (in millions of dollars)

Identification code 014-0680-0-1-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	142	210	213
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	10	15	15
11.9 Total personnel compensation .....	154	227	230
12.1 Civilian personnel benefits .....	40	58	59
21.0 Travel and transportation of persons .....	10	10	10
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	20	20	20
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	233	379	162
26.0 Supplies and materials .....	25	25	25
31.0 Equipment .....	10	10	10
32.0 Land and structures .....	152	152	115
41.0 Grants, subsidies, and contributions .....	255	255	170
99.0 Direct obligations .....	904	1,141	806
99.0 Reimbursable obligations .....	325	582	308
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	1,231	1,725	1,116

Employment Summary

Identification code 014-0680-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,521	2,213	2,213
2001 Reimbursable civilian full-time equivalent employment .....	932	681	683
3001 Allocation account civilian full-time equivalent employment .....	8	5	5
3001 Allocation account civilian full-time equivalent employment .....	1	2	2

CALIFORNIA BAY-DELTA RESTORATION  
(INCLUDING TRANSFERS OF FUNDS)

For carrying out activities authorized by the Water Supply, Reliability, and Environmental Improvement Act, consistent with plans to be approved by the Secretary of the Interior, **[\$37,000,000]** \$36,000,000, to remain available until expended, of

which such amounts as may be necessary to carry out such activities may be transferred to appropriate accounts of other participating Federal agencies to carry out authorized purposes: *Provided*, That funds appropriated herein may be used for the Federal share of the costs of CALFED Program management: *Provided further*, That CALFED implementation shall be carried out in a balanced manner with clear performance measures demonstrating concurrent progress in achieving the goals and objectives of the Program. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-0687-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 California Bay-Delta Restoration (Direct) .....	40	38	36
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	4	1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	37	37	36
1930 Total budgetary resources available .....	41	38	36
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	71	64	79
3010 Obligations incurred, unexpired accounts .....	40	38	36
3020 Outlays (gross) .....	-44	-23	-37
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	64	79	78
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	71	64	79
3200 Obligated balance, end of year .....	64	79	78

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	37	37	36
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	11	13	13
4011 Outlays from discretionary balances .....	33	10	24
4020 Outlays, gross (total) .....	44	23	37
4180 Budget authority, net (total) .....	37	37	36
4190 Outlays, net (total) .....	44	23	37

This account funds activities that are consistent with the CALFED Bay-Delta Program, a collaborative effort involving State and Federal agencies and representatives of California's urban, agricultural, and environmental communities. The goals of the program are to improve fish and wildlife habitat, water supply reliability, water quality, and levee integrity in the San Francisco Bay-San Joaquin River Delta, the principal hub of California's water distribution system.

Object Classification (in millions of dollars)

Identification code 014-0687-0-1-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	14	12	10
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	20	20	20
99.0 Direct obligations .....	39	37	35
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	40	38	36

**Employment Summary**

Identification code 014-0687-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	34	31	31

**INDIAN WATER RIGHTS SETTLEMENTS**

For carrying out activities authorized for Indian Water Rights Settlements by the Claims Resolution Act of 2010 (Public Law 111-291), Title X of the Omnibus Public Land Management Act of 2009 (Public Law 111-11), and the White Mountain Apache Tribe Rural Water System Loan Authorization Act (Public Law 110-390), \$106,151,000, to remain available until expended: Provided, That, of the amount appropriated, the amount for program activities that can be financed by the Reclamation Fund shall be derived from that fund: Provided further, That the unobligated and unexpended balances in "Water and Related Resources," "Reclamation Water Settlements Fund", and "Taos Settlement Fund" authorized by Public Law 111-291, Public Law 110-390, and Title X of Public Law 111-11 may be transferred to the Indian Water Rights Settlements account.

**Program and Financing** (in millions of dollars)

Identification code 014-2636-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Crow Tribe .....			13
0004 Aamodt .....			6
0005 Navajo-Gallup .....			87
0900 Total new obligations (object class 25.2) .....			106
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			106
1930 Total budgetary resources available .....			106
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			106
3020 Outlays (gross) .....			-64
3050 Unpaid obligations, end of year .....			42
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			42
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			106
Outlays, gross:			
4010 Outlays from new discretionary authority .....			64
4180 Budget authority, net (total) .....			106
4190 Outlays, net (total) .....			64

This account covers expenses associated with the Indian water rights settlements contained in the Claims Resolution Act of 2010 (P.L. 111-291), the Omnibus Public Land Management Act of 2009 (P.L. 111-11), and the White Mountain Apache Tribe Rural Water System Loan Authorization Act (P.L. 110-390). These settlements will provide permanent water supplies and offer economic security for the Taos and Aamodt Pueblos, including the Pojoaque, Tesuque, San Ildefonso, and Nambe Pueblos in New Mexico; as well as the Crow Tribe of Montana, the White Mountain Tribe in Arizona, and the Navajo Nation in New Mexico. The agreements will build and improve reservation water systems, rehabilitate irrigation projects, construct a regional multi-pueblo water system, and codify water-sharing arrangements with neighboring communities.

**TAOS SETTLEMENT FUND**

**Program and Financing** (in millions of dollars)

Identification code 014-2638-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			1
0900 Total new obligations (object class 25.2) .....			1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16
1930 Total budgetary resources available .....	16	16	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	16	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1
3020 Outlays (gross) .....			-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....			1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			1

This account covers certain expenses associated with Mutual-Benefit Projects funding authorized by the Taos Pueblo Indian Water Rights Settlement Act contained in Title V of the Claims Resolution Act of 2010 (Public Law 111-291).

**RECLAMATION WATER SETTLEMENTS FUND**

**Program and Financing** (in millions of dollars)

Identification code 014-5593-0-2-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reclamation Water Settlements Fund (Direct) .....	4	11	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	137	139	128
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	143	139	128
1930 Total budgetary resources available .....	143	139	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	139	128	116
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	33	13
3010 Obligations incurred, unexpired accounts .....	4	11	12
3020 Outlays (gross) .....	-3	-31	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	33	13	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	33	13
3200 Obligated balance, end of year .....	33	13	18
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	31	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	3	31	7

This account covers expenses associated with the Navajo-Gallup Water Supply Project or other projects as authorized by the Omnibus Public Land Management Act of 2009 (P.L. 111-11) and the Claims Resolution Act of 2010 (P.L. 111-291). The Secretary may expend money from the Fund to implement a settlement agreement approved by Congress that resolves, in whole or in part, litigation involving the United States, if the settlement

RECLAMATION WATER SETTLEMENTS FUND—Continued

agreement or implementing legislation requires the Bureau of Reclamation to provide financial assistance for, or plan, design, and construct: (A) water supply infrastructure; or (B) a project: (i) to rehabilitate a water delivery system to conserve water; or (ii) to restore fish and wildlife habitat or otherwise improve environmental conditions associated with or affected by, or located within the same river basin as, a Federal reclamation project that is in existence on the date of enactment of this Act.

Object Classification (in millions of dollars)

Identification code 014-5593-0-2-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	5	5
41.0 Grants, subsidies, and contributions .....	3	6	7
99.9 Total new obligations .....	4	11	12

RECLAMATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5000-0-2-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	13,112	14,150	14,626
0198 Unappropriated receipt adjustment .....	33		
0199 Balance, start of year .....	13,145	14,150	14,626
Receipts:			
Current law:			
1130 Reclamation Fund, Miscellaneous Interest .....	17	23	23
1130 Reclamation Fund, Royalties on Natural Resources .....	1,400	1,032	1,037
1130 Reclamation Fund, Sale of Timber and Other Products .....	2		
1130 Reclamation Fund, Other Proprietary Receipts from the Public .....	117	148	150
1130 Reclamation Fund, Sale of Public Domain .....	12	14	12
1130 Reclamation Fund, All Other, Sale of Electric Energy, Bonneville Power Administration .....	123	137	73
1130 Reclamation Fund, All Other, Sale of Power and Other Utilities (WAPA) .....	232	144	144
1199 Total current law receipts .....	1,903	1,498	1,439
Proposed:			
1230 Reclamation Fund, Royalties on Natural Resources .....			3
1999 Total receipts .....	1,903	1,498	1,442
2000 Total: Balances and receipts .....	15,048	15,648	16,068
Appropriations:			
Current law:			
2101 Water and Related Resources .....	-745	-875	-717
2101 Policy and Administration .....	-59	-60	-59
2101 Construction, Rehabilitation, Operation and Maintenance, Western Area Power Administration .....	-85	-87	-89
2199 Total current law appropriations .....	-889	-1,022	-865
2999 Total appropriations .....	-889	-1,022	-865
5098 Unappropriated receipt adjustment .....	-9		
5099 Balance, end of year .....	14,150	14,626	15,203

This fund is derived from repayments and other revenues from water and power users, together with certain receipts from the sale, lease, and rental of Federal lands in the 17 Western States and certain oil and mineral revenues, and is available for expenditure pursuant to appropriation acts.

POLICY AND ADMINISTRATION

For expenses necessary for policy, administration, and related functions in the Office of the Commissioner, the Denver office, and offices in the five regions of the Bureau of Reclamation, to remain available until [September 30, 2017, \$59,500,000] expended, \$59,000,000, to be derived from the Reclamation Fund and be nonreimbursable as provided in 43 U.S.C. 377: *Provided*, That no part of any other appropriation in this Act shall be available for activities or functions budgeted as policy and administration expenses. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-5065-0-2-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Policy and Administration (Direct) .....	63	72	59
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	12	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	59	60	59
1930 Total budgetary resources available .....	75	72	59
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12		
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....		1	
1953 Expired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	13	9
3010 Obligations incurred, unexpired accounts .....	63	72	59
3020 Outlays (gross) .....	-59	-76	-59
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	13	9	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	13	9
3200 Obligated balance, end of year .....	13	9	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	59	60	59
Outlays, gross:			
4010 Outlays from new discretionary authority .....	44	51	50
4011 Outlays from discretionary balances .....	15	25	9
4020 Outlays, gross (total) .....	59	76	59
4180 Budget authority, net (total) .....	59	60	59
4190 Outlays, net (total) .....	59	76	59

The Policy and Administration account supports the direction and management of all Reclamation activities as performed by the Commissioner's office and the five regional offices. Charges attributable to individual projects or specific beneficiaries, including the costs of related administrative and technical services, are covered under other Bureau of Reclamation accounts.

Object Classification (in millions of dollars)

Identification code 014-5065-0-2-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	24	31	31
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	25	33	33
12.1 Civilian personnel benefits .....	5	7	7
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	28	27	14
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	62	71	58
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	63	72	59

Employment Summary

Identification code 014-5065-0-2-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	239	296	296

CENTRAL VALLEY PROJECT RESTORATION FUND

For carrying out the programs, projects, plans, habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act,

[\$49,528,000] \$55,606,000, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), and 3405(f) of Public Law 102–575, to remain available until expended: *Provided*, That the Bureau of Reclamation is directed to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102–575: *Provided further*, That none of the funds made available under this heading may be used for the acquisition or leasing of water for in-stream purposes if the water is already committed to in-stream purposes by a court adopted decree or order. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–5173–0–2–301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	2		
Receipts:			
Current law:			
1130 Central Valley Project Restoration Fund, Revenue	47	50	56
2000 Total: Balances and receipts	49	50	56
Appropriations:			
Current law:			
2101 Central Valley Project Restoration Fund	–49	–50	–56
5099 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 014–5173–0–2–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Central Valley Project Restoration Fund (Direct)	56	54	56
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	4	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	11	4	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special fund, restoration fund, 3407(d))	49	50	56
1930 Total budgetary resources available	60	54	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	68	72	62
3010 Obligations incurred, unexpired accounts	56	54	56
3020 Outlays (gross)	–51	–64	–52
3040 Recoveries of prior year unpaid obligations, unexpired	–1		
3050 Unpaid obligations, end of year	72	62	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	68	72	62
3200 Obligated balance, end of year	72	62	66

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	49	50	56
Outlays, gross:			
4010 Outlays from new discretionary authority	7	18	20
4011 Outlays from discretionary balances	44	46	32
4020 Outlays, gross (total)	51	64	52
4180 Budget authority, net (total)	49	50	56
4190 Outlays, net (total)	51	64	52

This fund was established to carry out the provisions of the Central Valley Project Improvement Act—to provide funding from project beneficiaries for habitat restoration, improvement and acquisition, and other fish and wildlife restoration activities in the Central Valley Project area of California. Resources are derived from donations, revenues from voluntary water transfers and tiered water pricing. The account is also financed through additional mitigation and restoration payments collected on an annual basis from project beneficiaries.

**Object Classification** (in millions of dollars)

Identification code 014–5173–0–2–301	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
23.3 Communications, utilities, and miscellaneous charges	9	9	9
25.2 Other services from non-Federal sources	28	25	27
32.0 Land and structures	2	2	2
41.0 Grants, subsidies, and contributions	15	15	15
99.0 Direct obligations	55	53	55
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations	56	54	56

**Employment Summary**

Identification code 014–5173–0–2–301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	15	18	18

**COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–5656–0–2–301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	1	1	1
Receipts:			
Current law:			
1130 Revenues, Colorado River Dam Fund, Boulder Canyon Project	74	104	103
2000 Total: Balances and receipts	75	105	104
Appropriations:			
Current law:			
2101 Colorado River Dam Fund, Boulder Canyon Project	–74	–104	–103
2103 Colorado River Dam Fund, Boulder Canyon Project	–1	–1	–1
2132 Colorado River Dam Fund, Boulder Canyon Project	1	1	
2199 Total current law appropriations	–74	–104	–104
2999 Total appropriations	–74	–104	–104
5099 Balance, end of year	1	1	

**Program and Financing** (in millions of dollars)

Identification code 014–5656–0–2–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Facility operations	47	91	62
0002 Facility maintenance and rehabilitation	15	15	21
0003 Payment of interest	1	1	1
0004 Payments to Arizona and Nevada	1	1	1
0005 Western Area Power Administration	4	4	4
0006 Payment to Lower Colorado River Basin Development Fund	11	11	11
0900 Total new obligations	79	123	100

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	54	33
1022 Capital transfer of unobligated balances to general fund		–2	–2
1050 Unobligated balance (total)	62	52	31
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	74	104	103
1203 Appropriation (previously unavailable)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–1	–1	
1235 Capital transfer of appropriations to general fund	–3		
1260 Appropriations, mandatory (total)	71	104	104
1930 Total budgetary resources available	133	156	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	54	33	35

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	18	46
3010 Obligations incurred, unexpired accounts	79	123	100

## COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT—Continued

## Program and Financing—Continued

Identification code 014-5656-0-2-301	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-79	-95	-100
3050 Unpaid obligations, end of year .....	18	46	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	18	46
3200 Obligated balance, end of year .....	18	46	46
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	71	104	104
Outlays, gross:			
4100 Outlays from new mandatory authority .....		59	59
4101 Outlays from mandatory balances .....	79	36	41
4110 Outlays, gross (total) .....	79	95	100
4180 Budget authority, net (total) .....	71	104	104
4190 Outlays, net (total) .....	79	95	100

Revenues from the sale of Boulder Canyon power are placed in this fund and are available without further appropriation to pay the operation and maintenance costs of the project including those of the Western Area Power Administration for power marketing, transmission, operation, maintenance, and rehabilitation; to pay interest on amounts advanced from the Treasury; to pay annually not more than \$300,000 each to Arizona and Nevada; and to repay advances from the Treasury for construction and other purposes. The rates charged for Boulder Canyon power also include certain amounts for transfer to the Lower Colorado River Basin Development Fund.

## Object Classification (in millions of dollars)

Identification code 014-5656-0-2-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	18	19	19
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	20	21	21
12.1 Civilian personnel benefits .....	4	4	4
25.2 Other services from non-Federal sources .....	34	87	64
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	6	6	6
43.0 Interest and dividends .....	11	1	1
99.0 Direct obligations .....	78	122	99
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	79	123	100

## Employment Summary

Identification code 014-5656-0-2-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	198	218	218

## SAN JOAQUIN RESTORATION FUND

For carrying out activities authorized by the San Joaquin River Restoration Settlement Act (Public Law 111-11), \$36,000,000 to remain available until expended.

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5537-0-2-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	160	1	2
0198 Reconciliation adjustment .....	-160		
0199 Balance, start of year .....		1	2
Receipts:			
Current law:			
1130 San Joaquin River Restoration Fund Receipts .....	1	1	1
2000 Total: Balances and receipts .....	1	2	3
5099 Balance, end of year .....	1	2	3

## Program and Financing (in millions of dollars)

Identification code 014-5537-0-2-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 San Joaquin Restoration Fund (Direct) .....	6	11	38
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	20	9
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	26	20	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			36
1900 Budget authority (total) .....			36
1930 Total budgetary resources available .....	26	20	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	9	7

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	8	7
3010 Obligations incurred, unexpired accounts .....	6	11	38
3020 Outlays (gross) .....	-9	-12	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	8	7	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	8	7
3200 Obligated balance, end of year .....	8	7	15

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....			36
Outlays, gross:			
4010 Outlays from new discretionary authority .....			22
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	9	12	8
4180 Budget authority, net (total) .....			36
4190 Outlays, net (total) .....	9	12	30

This account receives funding (user fees and repayment receipts) from the Friant Division long-term water contractors and other Federal and non-Federal sources to implement the provisions described in the Settlement (Settlement) for the National Resources Defense Council et. al. v. Rodgers lawsuit. The Settlement's two primary goals are: 1) To restore and maintain fish populations in "good condition" in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally reproducing and self-sustaining populations of salmon and other fish; and 2) To reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in the Settlement.

## Object Classification (in millions of dollars)

Identification code 014-5537-0-2-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	4	9	36
99.0 Direct obligations .....	5	10	37
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	6	11	38

## Employment Summary

Identification code 014-5537-0-2-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18	22	22

LOWER COLORADO RIVER BASIN DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-4079-0-3-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Facility operation .....	168	199	200
0802 Water & energy management & development .....	123	91	106
0900 Total new obligations .....	291	290	306
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	194	193	169
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
1022 Capital transfer of unobligated balances to general fund .....	.....	-1	-1
1050 Unobligated balance (total) .....	194	192	168
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0680] ....	7	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	283	261	258
1900 Budget authority (total) .....	290	267	264
1930 Total budgetary resources available .....	484	459	432
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	193	169	126
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	51	56
3010 Obligations incurred, unexpired accounts .....	291	290	306
3020 Outlays (gross) .....	-250	-285	-275
3050 Unpaid obligations, end of year .....	51	56	87
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-8	-8
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	43	48
3200 Obligated balance, end of year .....	43	48	79
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	4	4
4011 Outlays from discretionary balances .....	6	7	2
4020 Outlays, gross (total) .....	6	11	6
Mandatory:			
4090 Budget authority, gross .....	283	261	258
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	91	90
4101 Outlays from mandatory balances .....	243	183	179
4110 Outlays, gross (total) .....	244	274	269
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	.....	-1	-1
4123 Non-Federal sources .....	-283	-260	-257
4130 Offsets against gross budget authority and outlays (total) ....	-283	-261	-258
4170 Outlays, net (mandatory) .....	-39	13	11
4180 Budget authority, net (total) .....	7	6	6
4190 Outlays, net (total) .....	-33	24	17
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	194	219	279
5001 Total investments, EOY: Federal securities: Par value .....	219	279	339
5010 Total investments, SOY: non-Fed securities: Market value .....	293	239	239
5011 Total investments, EOY: non-Fed securities: Market value .....	239	239	239

Ongoing construction costs of the Central Arizona project are financed through appropriations transferred to this fund. Revenues from the operation and repayment, including interest, of project facilities are available without further appropriation. A portion of the revenues from the Boulder Canyon power and Parker-Davis projects are also transferred to this fund. Use of the revenues are authorized for operation and maintenance expenses, for a share of Colorado River salinity control projects, and for other purposes defined in the Colorado River Basin Project Act as amended by the Arizona Water Settlements Act, P.L. 108-451.

Object Classification (in millions of dollars)

Identification code 014-4079-0-3-301	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
32.0 Land and structures .....	68	67	71
41.0 Grants, subsidies, and contributions .....	217	217	229
99.0 Reimbursable obligations .....	289	288	304
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	291	290	306

Employment Summary

Identification code 014-4079-0-3-301	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	23	22	22

UPPER COLORADO RIVER BASIN FUND

Program and Financing (in millions of dollars)

Identification code 014-4081-0-3-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Facility operation .....	55	62	73
0802 Facility maintenance & rehabilitation .....	35	44	46
0803 Water & energy management & development .....	94	4	5
0804 Fish & wildlife management & development .....	23	26	31
0805 Land management & development .....	8	1	1
0806 Payment to Ute Indian Tribe .....	2	2	2
0807 Interest on investment .....	7	4	4
0900 Total new obligations .....	224	143	162
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	191	115	85
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	16	.....	.....
1022 Capital transfer of unobligated balances to general fund .....	.....	-8	-8
1050 Unobligated balance (total) .....	207	107	77
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0680] ....	80	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	134	121	120
1801 Change in uncollected payments, Federal sources .....	-76	.....	.....
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-6	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	52	121	120
1900 Budget authority (total) .....	132	121	120
1930 Total budgetary resources available .....	339	228	197
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	115	85	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	180	235	177
3010 Obligations incurred, unexpired accounts .....	224	143	162
3020 Outlays (gross) .....	-153	-201	-165
3040 Recoveries of prior year unpaid obligations, unexpired .....	-16	.....	.....
3050 Unpaid obligations, end of year .....	235	177	174
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-83	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	76	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	97	228	170
3200 Obligated balance, end of year .....	228	170	167
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	80	.....	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	28	52	50
Mandatory:			
4090 Budget authority, gross .....	52	121	120

UPPER COLORADO RIVER BASIN FUND—Continued  
Program and Financing—Continued

Identification code 014-4081-0-3-301	2015 actual	2016 est.	2017 est.
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	36	36
4101 Outlays from mandatory balances .....	124	113	79
4110 Outlays, gross (total) .....	125	149	115
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-30	-1	-1
4123 Non-Federal sources .....	-104	-120	-119
4130 Offsets against gross budget authority and outlays (total) ....	-134	-121	-120
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	76		
4160 Budget authority, net (mandatory) .....	-6		
4170 Outlays, net (mandatory) .....	-9	28	-5
4180 Budget authority, net (total) .....	74		
4190 Outlays, net (total) .....	19	80	45

Ongoing construction costs of the Colorado River Storage project are financed through appropriations transferred to this account. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses and for capital repayment to the general fund.

Object Classification (in millions of dollars)

Identification code 014-4081-0-3-301	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	9	9
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	19	10	10
12.1 Civilian personnel benefits .....	5	3	3
32.0 Land and structures .....	69	45	51
41.0 Grants, subsidies, and contributions .....	122	79	92
43.0 Interest and dividends .....	7	4	4
99.0 Reimbursable obligations .....	222	141	160
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	224	143	162

Employment Summary

Identification code 014-4081-0-3-301	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	183	97	97

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 014-4524-0-4-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Information resources management .....	29	31	29
0803 Administrative expenses .....	286	314	305
0804 Technical expenses .....	112	123	118
0900 Total new obligations .....	427	468	452
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	118	114	81
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	120	114	81
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	420	435	452
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	421	435	452
1930 Total budgetary resources available .....	541	549	533

1941	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
Unexpired unobligated balance, end of year .....	114	81	81

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	40	78
3010 Obligations incurred, unexpired accounts .....	427	468	452
3020 Outlays (gross) .....	-421	-430	-451
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	40	78	79
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-60	-61	-61
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-61	-61	-61
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-24	-21	17
3200 Obligated balance, end of year .....	-21	17	18

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	421	435	452
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	392	407
4011 Outlays from discretionary balances .....	420	38	44
4020 Outlays, gross (total) .....	421	430	451
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-419	-434	-451
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-420	-435	-452
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4080 Outlays, net (discretionary) .....	1	-5	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	-5	-1

This revolving fund enables Reclamation to recover the costs of administrative and technical services, and of facilities used by its programs and by others, and accumulates funds to finance capital equipment purchases.

Object Classification (in millions of dollars)

Identification code 014-4524-0-4-301	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	202	200	202
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	6	6	6
11.9 Total personnel compensation .....	213	211	213
12.1 Civilian personnel benefits .....	59	58	59
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	19	19	19
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	87	131	112
26.0 Supplies and materials .....	8	8	8
31.0 Equipment .....	21	21	21
32.0 Land and structures .....	5	5	5
99.0 Reimbursable obligations .....	426	467	451
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	427	468	452

Employment Summary

Identification code 014-4524-0-4-301	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,891	1,848	1,848

BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-0685-0-1-301	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	-1		
4180 Budget authority, net (total) .....	-1		
4190 Outlays, net (total) .....			

Under the Small Reclamation Projects Act, loans and grants can be made to non-Federal organizations for construction of small water resource projects.

As required by the Federal Credit Reform Act of 1990, the loan program account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

No funds are requested for the Reclamation Loan Program for direct loans or Loan Program Administration for 2017.

BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-4547-0-3-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2		
0900 Total new obligations .....	2		
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	3	3
1825 Spending authority from offsetting collections applied to repay debt .....	-1	-3	-3
1850 Spending auth from offsetting collections, mand (total) .....	2		
1900 Budget authority (total) .....	2		
1930 Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-2		
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2		
Financing disbursements:			
4110 Outlays, gross (total) .....	2		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments of principal .....	-3	-2	-2
4123 Interest received on loans .....		-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-3	-3	-3
4160 Budget authority, net (mandatory) .....	-1	-3	-3
4170 Outlays, net (mandatory) .....	-1	-3	-3
4180 Budget authority, net (total) .....	-1	-3	-3
4190 Outlays, net (total) .....	-1	-3	-3

Status of Direct Loans (in millions of dollars)

Identification code 014-4547-0-3-301	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	41	40	38
1251 Repayments: Repayments and prepayments .....	-1	-2	-2
1290 Outstanding, end of year .....	40	38	36

As required by the Federal Credit Reform Act of 1990, the direct loan financing account is a non-budgetary account for recording all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

Balance Sheet (in millions of dollars)

Identification code 014-4547-0-3-301	2014 actual	2015 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	41	40
1405 Allowance for subsidy cost (-) .....	-7	-7
1499 Net present value of assets related to direct loans .....	34	33
1999 Total assets .....	34	33
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	34	33
4999 Total liabilities and net position .....	34	33

BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-0667-0-1-301	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	-1	-1	-1
4190 Outlays, net (total) .....	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 014-0667-0-1-301	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	19	18	17
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1290 Outstanding, end of year .....	18	17	16

As required by the Federal Credit Reform Act of 1990, the loan liquidating account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All loans obligated in 1992 or thereafter are recorded in loan program account No. 14-0685-0-1-301 and loan program financing account No. 14-4547-0-3-301.

Balance Sheet (in millions of dollars)

Identification code 014-0667-0-1-301	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	19	18
1603 Allowance for estimated uncollectible loans and interest (-) .....	-7	-7
1699 Value of assets related to direct loans .....	12	11
1999 Total assets .....	12	11

BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 014-0667-0-1-301	2014 actual	2015 actual
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	12	11
4999 Total liabilities and net position .....	12	11

Trust Funds

RECLAMATION TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8070-0-7-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1130 Deposits, Reclamation Trust Funds .....		3	3
2000 Total: Balances and receipts .....		3	3
<b>Appropriations:</b>			
Current law:			
2101 Reclamation Trust Funds .....		-3	-3
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-8070-0-7-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Facility maintenance and rehabilitation .....		3	1
0002 Water and energy management and development .....		4	2
0900 Total new obligations .....		7	3

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	29	25
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		3	3
1930 Total budgetary resources available .....	29	32	28
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	25	25

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	1	2
3010 Obligations incurred, unexpired accounts .....		7	3
3020 Outlays (gross) .....	-3	-6	-3
3050 Unpaid obligations, end of year .....	1	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	1	2
3200 Obligated balance, end of year .....	1	2	2

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....		3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	3	5	2
4110 Outlays, gross (total) .....	3	6	3
4180 Budget authority, net (total) .....		3	3
4190 Outlays, net (total) .....	3	6	3

The Bureau of Reclamation performs work on various projects and activities with funding provided by non-Federal entities under 43 U.S.C. 395 and 396.

Object Classification (in millions of dollars)

Identification code 014-8070-0-7-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....		3	1

41.0 Grants, subsidies, and contributions .....		4	2
99.9 Total new obligations .....		7	3

Employment Summary

Identification code 014-8070-0-7-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		1	1

ADMINISTRATIVE PROVISION

Appropriations for the Bureau of Reclamation shall be available for purchase of not to exceed five passenger motor vehicles, which are for replacement only. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

CENTRAL UTAH PROJECT

Federal Funds

CENTRAL UTAH PROJECT COMPLETION ACCOUNT

For carrying out activities authorized by the Central Utah Project Completion Act, **[\$10,000,000]** \$5,600,000, to remain available until expended, of which **[\$1,000,000]** \$1,300,000 shall be deposited into the Utah Reclamation Mitigation and Conservation Account for use by the Utah Reclamation Mitigation and Conservation Commission: *Provided*, That of the amount provided under this heading, \$1,350,000 shall be available until September 30, **[2017]** 2018, for expenses necessary in carrying out related responsibilities of the Secretary of the Interior: *Provided further*, That for fiscal year **[2016]** 2017, of the amount made available to the Commission under this Act or any other Act, the Commission may use an amount not to exceed \$1,500,000 for administrative expenses. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 014-0787-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Central Utah project construction .....	9	6	1
0003 Fish and Wildlife .....		1	2
0004 Program administration .....		2	2
0900 Total new obligations .....	9	9	5

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	6
1120 Appropriations transferred to other accts [014-5174] .....	-1	-1	-1
1160 Appropriation, discretionary (total) .....	9	9	5
1930 Total budgetary resources available .....	10	10	6
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	9	9	5
3020 Outlays (gross) .....	-8	-9	-5
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	9	9	5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	8	9	5
4180 Budget authority, net (total) .....	9	9	5
4190 Outlays, net (total) .....	8	9	5

Titles II through VI of Public Law 102-575 authorize the completion of the Central Utah project and related activities, including the mitigation,

conservation, and enhancement of fish and wildlife and recreational resources. Funds are requested in this account for the Central Utah Water Conservancy District, for transfer to the Utah Reclamation Mitigation and Conservation Commission, and to carry out related responsibilities of the Secretary.

**Object Classification** (in millions of dollars)

Identification code 014-0787-0-1-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	7	7	3
99.9 Total new obligations .....	9	9	5

**Employment Summary**

Identification code 014-0787-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5174-0-2-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	161	154	154
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Interest on Principal, Utah Mitigation and Conservation Fund .....	2	6	1
2000 Total: Balances and receipts .....	163	160	155
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Utah Reclamation Mitigation and Conservation Account .....	-9	-6	-6
2103 Utah Reclamation Mitigation and Conservation Account .....	-1	-1	.....
2132 Utah Reclamation Mitigation and Conservation Account .....	1	1	.....
2199 Total current law appropriations .....	-9	-6	-6
2999 Total appropriations .....	-9	-6	-6
5099 Balance, end of year .....	154	154	149

**Program and Financing** (in millions of dollars)

Identification code 014-5174-0-2-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Utah Reclamation Mitigation and Conservation .....	10	1	1
0002 Title IV Interest on Investment .....	.....	6	6
0900 Total new obligations .....	10	7	7

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1121 Appropriations transferred from other acct [014-0787] ....	1	1	1
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	9	6	6
1203 Appropriation (previously unavailable) .....	1	1	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	9	6	6
1900 Budget authority (total) .....	10	7	7
1930 Total budgetary resources available .....	21	18	18
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	11	11	11

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	10	6
3010 Obligations incurred, unexpired accounts .....	10	7	7
3020 Outlays (gross) .....	-5	-11	-7
3050 Unpaid obligations, end of year .....	10	6	6

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	10	6
3200 Obligated balance, end of year .....	10	6	6

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	1
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1	1	1
<b>Mandatory:</b>			
4090 Budget authority, gross .....	9	6	6
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	2	2
4101 Outlays from mandatory balances .....	4	8	4
4110 Outlays, gross (total) .....	4	10	6
4180 Budget authority, net (total) .....	10	7	7
4190 Outlays, net (total) .....	5	11	7

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	160	153	153
5001 Total investments, EOY: Federal securities: Par value .....	153	153	153

The Utah Reclamation Mitigation and Conservation account was established under Title IV of Public Law 102-575 to reflect contributions from the State of Utah, the Federal Government (through the Secretary of the Interior and the Western Area Power Administration), and project beneficiaries (the Conservancy District). The requirement for contributions from the State, the Secretary, and the Conservancy District ended in 2001. The requirement for contributions from the Western Area Power Administration ended in 2013. Funds are deposited into the account as principal and may not be expended for any purpose. Interest earned annually on the account is available for expenditure without further appropriations by the Utah Reclamation Mitigation and Conservation Commission which has the option to use the funds for the mitigation, conservation, and enhancement of fish and wildlife and recreational resources, or to reinvest the funds into the account as principal.

**Object Classification** (in millions of dollars)

Identification code 014-5174-0-2-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	9	6	6
99.9 Total new obligations .....	10	7	7

**Employment Summary**

Identification code 014-5174-0-2-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9	10	10

UNITED STATES GEOLOGICAL SURVEY

**Federal Funds**

SURVEYS, INVESTIGATIONS, AND RESEARCH

For expenses necessary for the United States Geological Survey to perform surveys, investigations, and research covering topography, geology, hydrology, biology, and the mineral and water resources of the United States, its territories and possessions, and other areas as authorized by 43 U.S.C. 31, 1332, and 1340; classify lands as to their mineral and water resources; give engineering supervision to power permittees and Federal Energy Regulatory Commission licensees; administer the minerals exploration program (30 U.S.C. 641); conduct inquiries into the economic conditions affecting mining and materials processing industries (30 U.S.C. 3, 21a, and 1603; 50 U.S.C. 98g(1)) and related purposes as authorized by law; and to publish and disseminate data relative to the foregoing activities; **[\$1,062,000,000]** **\$1,168,803,000**, to remain available until September 30, **[2017]** **2018**; of which **[\$57,637,189]** **\$75,237,189** shall remain available until expended for satellite operations; and of which \$7,280,000 shall be available until expended for deferred maintenance and capital improvement projects that exceed \$100,000 in cost: *Provided*, That none of the funds provided for the ecosystem research activity shall be used to conduct new surveys on private property, unless specifically authorized

## SURVEYS, INVESTIGATIONS, AND RESEARCH—Continued

in writing by the property owner: *Provided further*, That no part of this appropriation shall be used to pay more than one-half the cost of topographic mapping or water resources data collection and investigations carried on in cooperation with States and municipalities. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 014-0804-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ecosystems .....	156	158	173
0002 Climate and Land Use Change .....	141	140	171
0003 Energy, Minerals, and Environmental Health .....	92	97	99
0004 Natural Hazards .....	135	152	158
0005 Water Resources .....	208	214	227
0006 Core Science Systems .....	107	112	118
0007 Science Support .....	106	107	112
0008 Facilities .....	103	100	118
0799 Total direct obligations .....	1,048	1,080	1,176
0801 Surveys, Investigations, and Research (Reimbursable) .....	465	465	465
0900 Total new obligations .....	1,513	1,545	1,641
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	432	483	465
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	432	447	.....
1011 Unobligated balance transfer from other acct [072-1037] .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	13	.....	.....
1050 Unobligated balance (total) .....	446	483	465
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,045	1,062	1,169
Appropriations, mandatory:			
1221 Appropriations transferred from other accts, Spectrum Relocation Fund [011-5512] .....	36	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	441	465	465
1701 Change in uncollected payments, Federal sources .....	28	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	469	465	465
1900 Budget authority (total) .....	1,550	1,527	1,634
1930 Total budgetary resources available .....	1,996	2,010	2,099
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	483	465	458
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	331	337	290
3010 Obligations incurred, unexpired accounts .....	1,513	1,545	1,641
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-1,490	-1,592	-1,670
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	337	290	261
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-496	-506	-506
3070 Change in uncollected pymts, Fed sources, unexpired .....	-28	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	18	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-506	-506	-506
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-165	-169	-216
3200 Obligated balance, end of year .....	-169	-216	-245
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,514	1,527	1,634
Outlays, gross:			
4010 Outlays from new discretionary authority .....	872	1,344	1,438
4011 Outlays from discretionary balances .....	618	240	218
4020 Outlays, gross (total) .....	1,490	1,584	1,656
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-246	-251	-251
4033 Non-Federal sources .....	-212	-214	-214
4040 Offsets against gross budget authority and outlays (total) ...	-458	-465	-465
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-28	.....	.....
4052 Offsetting collections credited to expired accounts .....	17	.....	.....

4060	Additional offsets against budget authority only (total) .....	-11	.....	.....
4070	Budget authority, net (discretionary) .....	1,045	1,062	1,169
4080	Outlays, net (discretionary) .....	1,032	1,119	1,191
Mandatory:				
4090	Budget authority, gross .....	36	.....	.....
Outlays, gross:				
4101	Outlays from mandatory balances .....	.....	8	14
4180	Budget authority, net (total) .....	1,081	1,062	1,169
4190	Outlays, net (total) .....	1,032	1,127	1,205

The U.S. Geological Survey (USGS) provides research and scientific information to support the mission of the Department of the Interior and its science requirements. The USGS also works in collaboration with other Federal, State, and tribal cooperators to conduct research and provide scientific data and information concerning natural hazards and environmental issues, including the water, land, geologic, and biological resources of the Nation. The USGS budget continues science programs that generate relevant, objective information for natural resource managers and for communities throughout the Nation and engages in partnerships with universities, research institutions, and major public and private laboratories.

**Ecosystems.**—The USGS Ecosystems mission area monitors and inventories biological resources and ecological systems; provides scientific information for the management of biological resources and their habitats; studies and predicts the consequences of environmental change and the effects of alternative management actions on plants, animals, and their habitats; conducts high priority ecological and biological research needed by Interior for sound management decisions; and operates the Cooperative Research Unit program, a university-based fish and wildlife research program focusing on graduate education and training of future natural resource professionals.

**Climate and Land Use Change.**—The USGS Climate and Land Use Change mission area conducts scientific research, monitoring, remote sensing, modeling, and forecasting to address the effects of climate and land use change on the Nation's natural resources. The resulting research and products are provided as the scientific foundation upon which policy-makers, natural resource managers, and the public make informed decisions about the management of natural resources. Landsat satellites and data systems are developed, operated, and maintained to ensure a continuous, authoritative global record of changes to the Earth's land surface.

**Energy and Mineral Resources and Environmental Health.**—The USGS Energy and Mineral Resources and Environmental Health mission area includes scientific activities that support decision making related to natural resource security; environmental, wildlife, and human health; land and resource management; and economic development. The mission area provides scientific information for assessments and research on mineral and energy resource potential, production and consumption, and environmental impacts of permitting, extraction, and use in the United States and around the world. The mission area also provides information and tools to decision makers and the public regarding environmental contaminants and toxic substances, including impacts on susceptible ecosystems and implications for human, wildlife and fish health.

**Natural Hazards.**—The USGS plays a critical role in providing policy-makers and the public with a clear understanding of potential threats from natural hazards, societal vulnerability to these threats, and strategies for achieving resilience to earthquakes, volcanic eruptions, landslides, floods, hurricanes, solar storms, tsunamis, and wildfires. The USGS Natural Hazards mission area is working with its partners and stakeholders to define and mitigate risks, build understanding of natural hazard processes, and characterize the potential impact and consequences on human activity, health, the economy, and the environment. This mission area includes USGS activities that characterize and assess coastal and marine processes, conditions, change and vulnerability.

**Water Resources.**—The USGS is one of the primary Federal science agencies that provides information about water resources. To fulfill this responsibility, the USGS Water Resources mission area produces data, analyses, and assessments, and develops methodologies to support Federal,

State, tribal, and local government decisions in managing water resources for domestic, agricultural, commercial industrial, recreational, and ecological uses; protecting and enhancing water resources for human health, aquatic health, and environmental quality; minimizing loss of life and property as a result of water-related natural hazards, such as floods, droughts, and land movement; and contributing to sustainable stewardship and development of the Nation's resources for the benefit of present and future generations.

**Core Science Systems.**—The USGS Core Science Systems mission area conducts fundamental research and provides data about the Earth, its complex processes, and its natural resources. These activities provide the Nation with natural science information to support response planning for natural hazards and to manage natural resources. Core Science Systems produces geological, geographical, geophysical, and geochemical maps and three-dimensional geologic frameworks that provide critical data for sustaining and improving the quality of life and economic vitality of the Nation. As the Federal steward of elevation and hydrography information, Core Sciences Systems makes this information and other geospatial information available to the public through The National Map. This mission area creates the informatics framework and provides scientific content needed for understanding and stewardship of our Nation's ecological, geologic, and geospatial resources.

**Science Support.**—The USGS Science Support program funds essential science leadership as well as critical functions such as: scientific integrity processes; sharing and communicating science findings; purchasing science equipment and field supplies; executing science agreements with partners; contracting for support scientists and researchers; safety training; hazardous waste management; succession planning and execution; and information technology, which supports the scientific process and information management of scientific data. Science Support also provides Bureau-wide executive direction and coordination, business administration and financial management.

**Facilities.**—The USGS Facilities program provides safe, functional workspace, state of the art labs, and facilities for the USGS to accomplish its scientific mission. The mission area provides rental payments and operation and maintenance for properties and maintenance and capital improvement for existing assets.

**Reimbursable program.**—Reimbursements from non-Federal sources are from States, Tribes, and municipalities for: cooperative efforts and proceeds from sale to the public of copies of photographs and records; proceeds from sale of personal property; reimbursements from permittees and licensees of the Federal Energy Regulatory Commission; and reimbursements from foreign countries and international organizations for technical assistance. Reimbursements from other Federal agencies are for mission-related work performed at the request of the financing agency.

**Object Classification** (in millions of dollars)

Identification code 014-0804-0-1-306	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	399	419	431
11.3 Other than full-time permanent .....	38	39	41
11.5 Other personnel compensation .....	7	8	8
11.9 Total personnel compensation .....	444	466	480
12.1 Civilian personnel benefits .....	139	146	150
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	21	21	21
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	60	57	55
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	16	16	16
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	11	17	11
25.2 Other services from non-Federal sources .....	94	87	130
25.3 Other goods and services from Federal sources .....	77	77	77
25.4 Operation and maintenance of facilities .....	13	13	32
25.5 Research and development contracts .....	3	3	3
25.7 Operation and maintenance of equipment .....	21	21	21
26.0 Supplies and materials .....	22	22	22

31.0	Equipment .....	36	43	67
32.0	Land and structures .....	1	1	1
41.0	Grants, subsidies, and contributions .....	84	84	84
99.0	Direct obligations .....	1,048	1,080	1,176
99.0	Reimbursable obligations .....	465	465	465
99.9	Total new obligations .....	1,513	1,545	1,641

**Employment Summary**

Identification code 014-0804-0-1-306	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	4,843	4,975	5,118
2001	Reimbursable civilian full-time equivalent employment .....	2,702	2,702	2,702
3001	Allocation account civilian full-time equivalent employment .....	95	80	38

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 014-4556-0-4-306	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Working capital fund .....	88	93	91
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	85	89	69
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	87	89	69
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	90	73	65
1930	Total budgetary resources available .....	177	162	134
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	89	69	43
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	26	29	43
3010	Obligations incurred, unexpired accounts .....	88	93	91
3020	Outlays (gross) .....	-83	-79	-72
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	29	43	62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	26	29	43
3200	Obligated balance, end of year .....	29	43	62
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	90	73	65
Outlays, gross:				
4010	Outlays from new discretionary authority .....	46	33	29
4011	Outlays from discretionary balances .....	37	46	43
4020	Outlays, gross (total) .....	83	79	72
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-86	-73	-65
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) .....	-90	-73	-65
4080	Outlays, net (discretionary) .....	-7	6	7
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-7	6	7

The Working Capital Fund allows for: efficient financial management of the U.S. Geological Survey telecommunications investments; acquisition, replacement, and enhancement of scientific equipment; facilities, publications, GSA Building delegation operation and laboratory operations; modernization and equipment replacement; drilling and training services; and other USGS activities as determined and approved by the Director of the USGS and the Secretary.

WORKING CAPITAL FUND—Continued

Balance Sheet (in millions of dollars)

Identification code 014-4556-0-4-306	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	111	111
1803 Other Federal assets: Property, plant and equipment, net .....	34	34
1999 Total assets .....	145	145
<b>LIABILITIES:</b>		
2201 Non-Federal liabilities: Accounts payable .....	4	4
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	141	141
4999 Total liabilities and net position .....	145	145

Object Classification (in millions of dollars)

Identification code 014-4556-0-4-306	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	17	8	8
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	19	9	9
12.1 Civilian personnel benefits .....	5	3	3
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
24.0 Printing and reproduction .....	1		
25.2 Other services from non-Federal sources .....	8	11	13
25.3 Other goods and services from Federal sources .....	9	10	10
25.4 Operation and maintenance of facilities .....	8	9	9
25.7 Operation and maintenance of equipment .....	1	4	4
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	27	35	32
32.0 Land and structures .....	1	1	1
99.9 Total new obligations .....	88	93	91

Employment Summary

Identification code 014-4556-0-4-306	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	228	111	111

Trust Funds

CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8562-0-7-306	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Contributed Funds, Geological Survey .....	1	2	1
2000 Total: Balances and receipts .....	1	2	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Contributed Funds .....	-1	-2	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-8562-0-7-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Donations and contributed funds .....	2	1	1
0900 Total new obligations .....	2	1	1
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	2
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	1	2	1

1930 Total budgetary resources available .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	2	2

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2	1	1
3020 Outlays (gross) .....	-2	-1	-1

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	1	2	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		1	
4101 Outlays from mandatory balances .....	2		1
4110 Outlays, gross (total) .....	2	1	1
4180 Budget authority, net (total) .....	1	2	1
4190 Outlays, net (total) .....	2	1	1

Funds in this account are provided by States, local governments, and private organizations (pursuant to 43 U.S.C. 36c). This appropriation (a permanent, indefinite, special fund) makes these funds available to the U.S. Geological Survey (USGS) to perform the work desired by the contributor and the USGS. Research and development, data collection and analysis, and services are undertaken when such activities are of mutual interest and benefit and assist USGS in accomplishing its mandated purposes.

Object Classification (in millions of dollars)

Identification code 014-8562-0-7-306	2015 actual	2016 est.	2017 est.
25.3 Direct obligations: Other goods and services from Federal sources .....	1		
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	2	1	1

Employment Summary

Identification code 014-8562-0-7-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

ADMINISTRATIVE PROVISIONS

From within the amount appropriated for activities of the United States Geological Survey such sums as are necessary shall be available for contracting for the furnishing of topographic maps and for the making of geophysical or other specialized surveys when it is administratively determined that such procedures are in the public interest; construction and maintenance of necessary buildings and appurtenant facilities; acquisition of lands for gauging stations and observation wells; expenses of the United States National Committee for Geological Sciences; and payment of compensation and expenses of persons employed by the Survey duly appointed to represent the United States in the negotiation and administration of interstate compacts: *Provided*, That activities funded by appropriations herein made may be accomplished through the use of contracts, grants, or cooperative agreements as defined in section 6302 of title 31, United States Code: *Provided further*, That the United States Geological Survey may enter into contracts or cooperative agreements directly with individuals or indirectly with institutions or nonprofit organizations, without regard to 41 U.S.C. 6101, for the temporary or intermittent services of students or recent graduates, who shall be considered employees for the purpose of chapters 57 and 81 of title 5, United States Code, relating to compensation for travel and work injuries, and chapter 171 of title 28, United States Code, relating to tort claims, but shall not be considered to be Federal employees for any other purposes. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**FISH AND WILDLIFE AND PARKS**

UNITED STATES FISH AND WILDLIFE SERVICE

*Federal Funds*

RESOURCE MANAGEMENT

For necessary expenses of the United States Fish and Wildlife Service, as authorized by law, and for scientific and economic studies, general administration, and for the performance of other authorized functions related to such resources, **[\$1,238,771,000] \$1,309,912,000**, to remain available until September 30, **[2017] 2018: Provided**, That not to exceed **[\$20,515,000] \$22,901,000** shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act of 1973 (16 U.S.C. 1533) (except for processing petitions, developing and issuing proposed and final regulations, and taking any other steps to implement actions described in subsection (c)(2)(A), (c)(2)(B)(i), or (c)(2)(B)(ii)), of which not to exceed **[\$4,605,000] \$1,501,000** shall be used for any activity regarding the designation of critical habitat, pursuant to subsection (a)(3), excluding litigation support, for species listed pursuant to subsection (a)(1) prior to October 1, **[2014] 2015**; of which not to exceed **[\$1,501,000] \$4,605,000** shall be used for any activity regarding petitions to list species that are indigenous to the United States pursuant to subsections (b)(3)(A) and (b)(3)(B); and, of which not to exceed \$1,504,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act of 1973 (16 U.S.C. 1533) for species that are not indigenous to the United States. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014-1611-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ecological Services .....	172	215	251
0002 National Wildlife Refuge System .....	479	480	504
0004 Conservation and Enforcement .....	161	188	181
0005 Fisheries and Aquatic Resource Conservation .....	145	147	153
0006 Habitat Conservation .....	112	65	66
0007 Cooperative Landscape Conservation .....	14	12	14
0008 General Operations .....	145	146	150
0009 Science Support .....	16	17	18
0100 Subtotal, direct program .....	1,244	1,270	1,337
0799 Total direct obligations .....	1,244	1,270	1,337
0801 Great Lakes Restoration Initiative .....	43	40	40
0802 Reimbursable program activity all other .....	229	220	220
0899 Total reimbursable obligations .....	272	260	260
0900 Total new obligations .....	1,516	1,530	1,597
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	291	289	275
1011 Unobligated balance transfer from other acct [014-0102] .....	1		
1021 Recoveries of prior year unpaid obligations .....	18	18	18
1050 Unobligated balance (total) .....	310	307	293
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,207	1,239	1,310
1121 Appropriations transferred from other acct [072-1021] .....	18		
1121 Appropriations transferred from other acct [096-3123] .....		5	5
1160 Appropriation, discretionary (total) .....	1,225	1,244	1,315
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	299	254	254
1701 Change in uncollected payments, Federal sources .....	-29		
1750 Spending auth from offsetting collections, disc (total) .....	270	254	254
1900 Budget authority (total) .....	1,495	1,498	1,569
1930 Total budgetary resources available .....	1,805	1,805	1,862
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	289	275	265
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	574	570	629
3010 Obligations incurred, unexpired accounts .....	1,516	1,530	1,597
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-1,492	-1,453	-1,557
3040 Recoveries of prior year unpaid obligations, unexpired .....	-18	-18	-18
3041 Recoveries of prior year unpaid obligations, expired .....	-13		
3050 Unpaid obligations, end of year .....	570	629	651

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-373	-290	-290
3070	Change in uncollected pymts, Fed sources, unexpired .....	29		
3071	Change in uncollected pymts, Fed sources, expired .....	54		
3090	Uncollected pymts, Fed sources, end of year .....	-290	-290	-290
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	201	280	339
3200	Obligated balance, end of year .....	280	339	361
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,495	1,498	1,569
Outlays, gross:				
4010	Outlays from new discretionary authority .....	880	973	1,022
4011	Outlays from discretionary balances .....	612	480	535
4020	Outlays, gross (total) .....	1,492	1,453	1,557
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-299	-198	-198
4033	Non-Federal sources .....	-55	-56	-56
4040	Offsets against gross budget authority and outlays (total) .....	-354	-254	-254
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	29		
4052	Offsetting collections credited to expired accounts .....	55		
4060	Additional offsets against budget authority only (total) .....	84		
4070	Budget authority, net (discretionary) .....	1,225	1,244	1,315
4080	Outlays, net (discretionary) .....	1,138	1,199	1,303
4180	Budget authority, net (total) .....	1,225	1,244	1,315
4190	Outlays, net (total) .....	1,138	1,199	1,303

*Ecological Services.*—The Service conserves, protects, and enhances fish, wildlife, plants, and their habitat by working with private landowners, States, Tribes, non-governmental organizations, and other Federal agencies. These partnership activities help protect and recover species that are listed under the Endangered Species Act and work toward making the listing of additional species unnecessary. Financial assistance is provided to private landowners to restore or improve habitat for endangered species and other at-risk species. Technical assistance helps prevent or minimize adverse environmental effects of development projects. Contaminants are investigated, monitored, and assessed for effects on trust resources.

*Habitat Conservation.*—Through technical and financial assistance, the Service promotes the protection, conservation, and restoration of the Nation's fish and wildlife resources. These conservation activities are accomplished through a voluntary citizen and community based stewardship program with partners on private lands. Conserving the nation's coastal trust resources is accomplished through collaboration with others on public and private lands.

*National Wildlife Refuge System.*—The Service maintains the National Wildlife Refuge System consisting of 563 refuges, waterfowl production areas in 209 counties managed by 38 wetland management districts, and 50 wildlife coordination areas. The National Wildlife Refuge System administers this network of land and waters to conserve and restore fish, wildlife, plants, and their habitats, for the benefit of present and future generations of Americans.

*Conservation and Enforcement.*—The Service directs and coordinates national migratory bird programs to protect and enhance populations and habitat of more than 1,000 species of birds. Grants and partnerships are key to these programs, such as Joint Ventures that implement the North American Waterfowl Management Plan. The Service Law Enforcement program investigates wildlife crimes, regulates wildlife trade, helps Americans understand and obey wildlife protection laws, and works in partnership with international, State, and Tribal counterparts to conserve wildlife resources. The Service, through the International Affairs Program, works with private citizens, local communities, State and Federal agencies, foreign governments, and non-governmental organizations in the United States and internationally to promote a coordinated domestic and international strategy to protect, restore, and enhance the world's diverse wildlife and their habitats, with a focus on species of international concern.

RESOURCE MANAGEMENT—Continued

**Fish and Aquatic Conservation.**—The Fish and Aquatic Conservation Program consists of 72 interconnected National Fish Hatcheries, one historic National Fish Hatchery, nine Fish Health Centers, seven Fish Technology Centers, 65 Fish and Wildlife Conservation Offices, and the Aquatic Animal Drug Approval Partnership Program. Working with partners, the program recovers, restores and maintains fish and other aquatic resources at self-sustaining levels; provides technical assistance to States, Tribes and others; and supports Federal mitigation programs for the benefit of the American public.

**Cooperative Landscape Conservation.**—Through a national network of Landscape Conservation Cooperatives (LCCs), and in cooperation with both Federal and non-Federal partners, the Service enhances its core capacity in biological planning and conservation design to strategically address the problems fish, wildlife, and plants will face in the future. The information gathered helps to define clear conservation objectives, inform conservation management decisions, focus management actions where they will most affect the landscape, and supply scientific knowledge and expertise needed most by the Service and its partners.

**Science Support.**—Science Support provides funding for applied science directed at high impact questions surrounding threats to fish and wildlife resources. This science provides critically needed information to inform regulatory and policy decisions to best manage species at healthy and sustainable levels across broader landscapes.

**General Operations.**—Funding for the Service's general operations provides policy guidance, program coordination, and administrative services to all of the Fish and Wildlife Service's programs. The funds also support the National Conservation Training Center and projects through the National Fish and Wildlife Foundation to restore and enhance fish and wildlife populations.

Object Classification (in millions of dollars)

Identification code 014–1611–0–1–302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	492	516	541
11.3 Other than full-time permanent .....	25	25	25
11.5 Other personnel compensation .....	20	20	20
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	538	562	587
12.1 Civilian personnel benefits .....	199	208	217
21.0 Travel and transportation of persons .....	28	28	28
22.0 Transportation of things .....	7	7	7
23.1 Rental payments to GSA .....	35	48	48
23.2 Rental payments to others .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	22	22	22
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	8	8	8
25.2 Other services from non-Federal sources .....	58	60	60
25.3 Other goods and services from Federal sources .....	39	40	40
25.4 Operation and maintenance of facilities .....	30	30	30
25.7 Operation and maintenance of equipment .....	19	20	20
26.0 Supplies and materials .....	47	48	48
31.0 Equipment .....	43	45	45
32.0 Land and structures .....	27	27	27
41.0 Grants, subsidies, and contributions .....	139	112	145
42.0 Insurance claims and indemnities .....	1		
99.0 Direct obligations .....	1,244	1,270	1,337
99.0 Reimbursable obligations .....	272	260	260
99.9 Total new obligations .....	1,516	1,530	1,597

Employment Summary

Identification code 014–1611–0–1–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6,729	6,956	7,168
2001 Reimbursable civilian full-time equivalent employment .....	795	815	815
3001 Allocation account civilian full-time equivalent employment .....	534	531	531

CONSTRUCTION

For construction, improvement, acquisition, or removal of buildings and other facilities required in the conservation, management, investigation, protection, and utilization of fish and wildlife resources, and the acquisition of lands and interests therein; **[\$23,687,000]** \$23,740,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014–1612–0–1–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Line item construction projects .....	37	23	20
0002 Nationwide engineering service .....	7	8	8
0003 Bridge, dam and seismic safety .....	1	2	2
0100 Total, Direct program: .....	45	33	30
0799 Total direct obligations .....	45	33	30
0801 Construction (Reimbursable) .....		1	1
0900 Total new obligations .....	45	34	31
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	56	28	21
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	57	29	22
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	16	24	24
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		2	2
1900 Budget authority (total) .....	16	26	26
1930 Total budgetary resources available .....	73	55	48
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	28	21	17
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	36	34
3010 Obligations incurred, unexpired accounts .....	45	34	31
3020 Outlays (gross) .....	–42	–35	–30
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	–1	–1
3050 Unpaid obligations, end of year .....	36	34	34
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–3	–3	–3
3090 Uncollected pymts, Fed sources, end of year .....	–3	–3	–3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	31	33	31
3200 Obligated balance, end of year .....	33	31	31
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	16	26	26
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	5	7	7
4011 Outlays from discretionary balances .....	37	28	23
4020 Outlays, gross (total) .....	42	35	30
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		–2	–2
4040 Offsets against gross budget authority and outlays (total) .....		–2	–2
4180 Budget authority, net (total) .....	16	24	24
4190 Outlays, net (total) .....	42	33	28

The Construction activity provides funding for projects that focus on construction and rehabilitation, environmental compliance, pollution abatement, hazardous materials cleanup, and seismic safety for facilities on Fish and Wildlife Service lands. Repair and inspection of the Service's dams and bridges also are included. These projects are needed to accomplish the management objectives and purposes of these structures, protect and enhance natural resources, and fulfill the Service's mission.

**Object Classification** (in millions of dollars)

Identification code 014–1612–0–1–302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	8	7	7
25.3 Other goods and services from Federal sources .....	19	14	11
25.4 Operation and maintenance of facilities .....	4	4	4
32.0 Land and structures .....	6	1	1
99.0 Direct obligations .....	44	33	30
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	45	34	31

**Employment Summary**

Identification code 014–1612–0–1–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	50	57	57

**STATE AND TRIBAL WILDLIFE GRANTS**

For wildlife conservation grants to States and to the District of Columbia, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, American Samoa, and *federally recognized* Indian tribes under the provisions of the Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of wildlife and their habitat, including species that are not hunted or fished, **[\$60,571,000] \$66,981,000**, to remain available until expended: *Provided*, That of the amount provided herein, **[\$4,084,000] \$6,000,000** is for a competitive grant program for *federally recognized* Indian tribes not subject to the remaining provisions of this appropriation: *Provided further*, That **[\$5,487,000] \$9,981,000** is for a competitive grant program to implement approved plans for States, territories, and other jurisdictions and at the discretion of affected States, the regional Associations of fish and wildlife agencies, not subject to the remaining provisions of this appropriation: *Provided further*, That the Secretary shall, after deducting **[\$9,571,000] \$15,981,000** and administrative expenses, apportion the amount provided herein in the following manner: (1) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (2) to Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof: *Provided further*, That the Secretary shall apportion the remaining amount in the following manner: (1) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (2) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States: *Provided further*, That the amounts apportioned under this paragraph shall be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: *Provided further*, That the Federal share of planning grants shall not exceed 75 percent of the total costs of such projects and the Federal share of implementation grants shall not exceed 65 percent of the total costs of such projects: *Provided further*, That the non-Federal share of such projects may not be derived from Federal grant programs: *Provided further*, That any amount apportioned in **[2016] 2017** to any State, territory, or other jurisdiction that remains unobligated as of September 30, **[2017] 2018**, shall be reapportioned, together with funds appropriated in **[2018] 2019**, in the manner provided herein. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014–5474–0–2–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State wildlife grants .....	51	51	55
0002 State competitive grants .....	5	8	8
0003 Tribal Wildlife Grants .....	5	5	5
0004 Administration .....	3	4	4

0900 Total new obligations .....	64	68	72
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	42	41	38
1021 Recoveries of prior year unpaid obligations .....	4	4	4
1050 Unobligated balance (total) .....	46	45	42
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	59	61	67
1930 Total budgetary resources available .....	105	106	109
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	41	38	37

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	132	126	122
3010 Obligations incurred, unexpired accounts .....	64	68	72
3020 Outlays (gross) .....	-66	-68	-72
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	126	122	118
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	132	126	122
3200 Obligated balance, end of year .....	126	122	118

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	59	61	67
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	11	13	15
4011 Outlays from discretionary balances .....	55	55	57
4020 Outlays, gross (total) .....	66	68	72
4180 Budget authority, net (total) .....	59	61	67
4190 Outlays, net (total) .....	66	68	72

The State and Tribal Wildlife Grants program provides funds to States, Commonwealths, the District of Columbia, and Territories primarily through a formula-based apportionment. Additionally, a competitive program uses a merit-based process to fund the best outcome-oriented, results-based projects. At the discretion of affected States, the competitive program may be used by regional associations of State fish and wildlife agencies. Tribes also receive funds through a national competitive award process. These funds are provided to stabilize, restore, enhance, and protect species and their habitat that are of conservation concern, thereby avoiding the costly and time-consuming process that occurs when habitat is degraded or destroyed and species populations plummet.

**Object Classification** (in millions of dollars)

Identification code 014–5474–0–2–302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
41.0 Grants, subsidies, and contributions .....	62	66	70
99.9 Total new obligations .....	64	68	72

**Employment Summary**

Identification code 014–5474–0–2–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	14	14	15

**MULTINATIONAL SPECIES CONSERVATION FUND**

For expenses necessary to carry out the African Elephant Conservation Act (16 U.S.C. 4201 et seq.), the Asian Elephant Conservation Act of 1997 (16 U.S.C. 4261 et seq.), the Rhinoceros and Tiger Conservation Act of 1994 (16 U.S.C. 5301 et seq.), the Great Ape Conservation Act of 2000 (16 U.S.C. 6301 et seq.), and the Marine Turtle Conservation Act of 2004 (16 U.S.C. 6601 et seq.), **\$11,061,000**, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

MULTINATIONAL SPECIES CONSERVATION FUND—Continued  
Program and Financing (in millions of dollars)

Identification code 014-1652-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 African elephant .....	2	3	3
0002 Asian elephant .....	2	2	2
0003 Rhinoceros and tiger .....	3	3	3
0004 Great ape conservation .....	2	2	2
0005 Marine turtle .....	1	1	1
0799 Total direct obligations .....	10	11	11
0801 Multinational Species Semi Postal Stamp Act .....		1	1
0900 Total new obligations .....	10	12	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	11	11
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		1	1
1900 Budget authority (total) .....	9	12	12
1930 Total budgetary resources available .....	10	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	11
3010 Obligations incurred, unexpired accounts .....	10	12	12
3020 Outlays (gross) .....	-9	-9	-12
3050 Unpaid obligations, end of year .....	8	11	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	8	11
3200 Obligated balance, end of year .....	8	11	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	11	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	3	3
4011 Outlays from discretionary balances .....	4	6	8
4020 Outlays, gross (total) .....	8	9	11
Mandatory:			
4090 Budget authority, gross .....		1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1		1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	9	11	11
4190 Outlays, net (total) .....	9	8	11

*African Elephant Conservation Program.*—Provides technical and financial assistance to protect African elephants and their habitats, including elephant population management, public education, and anti-poaching activities.

*Rhinoceros and Tiger Conservation Program.*—Provides conservation grants to protect rhinoceros and tiger populations and their habitats within African and Asian countries.

*Asian Elephant Conservation Program.*—Provides financial assistance for Asian elephant conservation projects to protect elephant populations and their habitats within 13 range countries.

*Great Ape Conservation Program.*—Provides assistance for conservation and protection of chimpanzee, gorilla, orangutan, bonobo, and gibbon populations.

*Marine Turtle Conservation Program.*—Provides financial assistance for projects, public education, and the conservation of marine turtles and their nesting habitats.

*Vanishing Species Semipostal Stamp.*—The Multinational Species Conservation Fund Semipostal Stamp Act of 2010, as amended, requires the United States Postal Service to issue and sell, at a premium, a Multinational Species Conservation Funds Semipostal stamp. The proceeds of this stamp

are to be transferred to the Service to help operations supported by the Multinational Species Conservation Funds.

Object Classification (in millions of dollars)

Identification code 014-1652-0-1-302	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	9	11	11
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	10	12	12

Employment Summary

Identification code 014-1652-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

NEOTROPICAL MIGRATORY BIRD CONSERVATION

For expenses necessary to carry out the Neotropical Migratory Bird Conservation Act (16 U.S.C. 6101 et seq.), \$3,910,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-1696-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Neotropical Migratory Bird .....	4	4	4
0900 Total new obligations (object class 41.0) .....	4	4	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	5
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-5
3050 Unpaid obligations, end of year .....	5	5	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	5
3200 Obligated balance, end of year .....	5	5	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	3	3	4
4020 Outlays, gross (total) .....	4	4	5
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	5

Funds in this account provide grants to conserve migratory bird populations in the United States, Canada, Latin America, and the Caribbean pursuant to the Neotropical Migratory Bird Conservation Act, as amended (16 U.S.C. 6101 et seq.).

Employment Summary

Identification code 014-1696-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

LAND ACQUISITION

For expenses necessary to carry out chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of land or waters, or interest

therein, in accordance with statutory authority applicable to the United States Fish and Wildlife Service, **[\$68,500,000]** \$58,655,000, to be derived from the Land and Water Conservation Fund and to remain available until expended **[**, of which, notwithstanding section 200306 of title 54, United States Code, not more than \$10,000,000 shall be for land conservation partnerships authorized by the Highlands Conservation Act of 2004, including not to exceed \$320,000 for administrative expenses **]**: *Provided*, That none of the funds appropriated for specific land acquisition projects may be used to pay for any administrative overhead, planning or other management costs. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014–5020–0–2–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land Acquisition Management .....	12	12	12
0002 Exchanges .....	1	1	1
0003 Emergencies, Hardships, and Inholdings .....	5	5	5
0004 Highlands Conservation Act .....		8	2
0005 Land Acquisitions .....	39	43	40
0006 Sportsmen and Recreational Access .....		2	2
0100 total, direct program .....	57	71	62
0799 Total direct obligations .....	57	71	62
0801 Land Acquisition (Reimbursable) .....	2	1	1
0900 Total new obligations .....	59	72	63
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	46	37	36
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	47	38	37
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation LWCF [014–5005] .....	48	69	59
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	1	1
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	49	70	60
1930 Total budgetary resources available .....	96	108	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	37	36	34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	16	23
3010 Obligations incurred, unexpired accounts .....	59	72	63
3020 Outlays (gross) .....	-64	-64	-68
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	16	23	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	14	21
3200 Obligated balance, end of year .....	14	21	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	49	70	60
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	29	25
4011 Outlays from discretionary balances .....	40	35	43
4020 Outlays, gross (total) .....	64	64	68
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4070 Budget authority, net (discretionary) .....	48	69	59
4080 Outlays, net (discretionary) .....	62	63	67
4180 Budget authority, net (total) .....	48	69	59
4190 Outlays, net (total) .....	62	63	67

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	48	69	59
Outlays .....	62	63	67
Legislative proposal, subject to PAYGO:			
Budget Authority .....			79
Outlays .....			32
Total:			
Budget Authority .....	48	69	138
Outlays .....	62	63	99

Federal Land Acquisition funds are used to protect areas that have native fish or wildlife values and provide natural resource benefits over a broad geographical area. Funds in this account also cover acquisition management activities, such as title fees and land surveys. The Fish and Wildlife Service places emphasis on acquiring important fish, wildlife, and plant habitat for the conservation of listed endangered and threatened species. The Federal Land Acquisition program uses alternative and innovative conservation tools, including conservation easements, and implements projects that have the input and participation of the affected local communities and stakeholders. In addition, the 2017 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

**Object Classification** (in millions of dollars)

Identification code 014–5020–0–2–302	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	2	2	2
32.0 Land and structures .....	43	57	48
99.0 Direct obligations .....	57	71	62
99.0 Reimbursable obligations .....	2	1	1
99.9 Total new obligations .....	59	72	63

**Employment Summary**

Identification code 014–5020–0–2–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	75	78	78

**LAND ACQUISITION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014–5020–4–2–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land Acquisition Management .....			3
0005 Land Projects .....			20
0006 Collaborative Landscape .....			24
0007 Land Protection Planning .....			1
0100 total, direct program .....			48
0900 Total new obligations .....			48
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014–5005] ....			79
1930 Total budgetary resources available .....			79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			31

LAND ACQUISITION—Continued  
Program and Financing—Continued

Identification code 014-5020-4-2-302	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			48
3020			-32
3050			16
Memorandum (non-add) entries:			
3200			16
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			79
Outlays, gross:			
4100			32
4180			79
4190			32

**Mandatory Land and Water Conservation Fund.**—The Administration proposes \$900 million in discretionary and mandatory funding in FY 2017, and proposes to permanently authorize \$900 million in annual mandatory funding for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in FY 2018.

Object Classification (in millions of dollars)

Identification code 014-5020-4-2-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1			1
12.1			1
25.2			1
32.0			45
99.9			48

Employment Summary

Identification code 014-5020-4-2-302	2015 actual	2016 est.	2017 est.
1001			16

LANDOWNER INCENTIVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 014-5496-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001		1	
0900		1	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000		1	
1021	1		
1050	1	1	
1930	1	1	
Memorandum (non-add) entries:			
1941	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	7	3	3
3010		1	
3020	-3	-1	-1
3040	-1		
3050	3	3	2
Memorandum (non-add) entries:			
3100	7	3	3
3200	3	3	2

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011		1	1
4180			
4190	3	1	1

The Landowner Incentive Program provided cost-shared, competitive grants to States, Commonwealths, the District of Columbia, Territories, and Tribes to create, supplement or expand upon new or ongoing landowner incentive programs. These programs provided technical and financial assistance to private landowners to help them protect and manage imperiled species and their habitat, while continuing to engage in traditional land use or working conservation practices. The program was phased out in 2008 and minimal balances remain in this account.

Employment Summary

Identification code 014-5496-0-2-302	2015 actual	2016 est.	2017 est.
1001	1		

MIGRATORY BIRD CONSERVATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5137-0-2-303	2015 actual	2016 est.	2017 est.
0100	4	3	4
Receipts:			
Current law:			
1110	26	36	36
1110	36	34	35
1199	62	70	71
1999	62	70	71
2000	66	73	75
Appropriations:			
Current law:			
2101	-62	-70	-70
2103	-5	-4	-5
2132	4	5	
2199	-63	-69	-75
2999	-63	-69	-75
5099	3	4	

Program and Financing (in millions of dollars)

Identification code 014-5137-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	1	1	1
0002	65	69	70
0900	66	70	71
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	12	9	8
Budget authority:			
Appropriations, mandatory:			
1201	62	70	70
1203	5	4	5
1232	-4	-5	
1260	63	69	75
1930	75	78	83
Memorandum (non-add) entries:			
1941	9	8	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	9	14	21
3010	66	70	71
3020	-61	-63	-75

3050	Unpaid obligations, end of year .....	14	21	17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	14	21
3200	Obligated balance, end of year .....	14	21	17

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	63	69	75
Outlays, gross:				
4100	Outlays from new mandatory authority .....	40	44	45
4101	Outlays from mandatory balances .....	21	19	30
Outlays, gross (total) .....				
4110	Outlays, gross (total) .....	61	63	75
4180	Budget authority, net (total) .....	63	69	75
4190	Outlays, net (total) .....	61	63	75

Funds deposited into this account include import duties on arms and ammunition and receipts in excess of U.S. Postal Service expenses from the sale of Migratory Bird Hunting and Conservation Stamps, also known as Duck Stamps. These funds are used to acquire land and water for migratory bird refuges and waterfowl production areas. In addition, any funds reverted from the States within the Federal Aid in Wildlife Restoration Fund are available for this purpose. The Federal Duck Stamp Act of 2014 (P.L. 113–264) increased the price of Duck Stamps from \$15 to \$25, with the \$10 increase to be dedicated to the acquisition of conservation easements for conservation of migratory birds. The 2017 budget proposes legislation to enable future stamp fee increases with the approval of the Migratory Bird Conservation Commission.

**Object Classification** (in millions of dollars)

Identification code 014–5137–0–2–303				
2015 actual      2016 est.      2017 est.				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	6	6	6
12.1	Civilian personnel benefits .....	2	2	2
25.2	Other services from non-Federal sources .....	2	2	2
25.3	Other goods and services from Federal sources .....	2	2	2
32.0	Land and structures .....	53	58	59
99.0	Direct obligations .....	65	70	71
99.5	Adjustment for rounding .....	1		
99.9	Total new obligations .....	66	70	71

**Employment Summary**

Identification code 014–5137–0–2–303				
2015 actual      2016 est.      2017 est.				
1001	Direct civilian full-time equivalent employment .....	69	74	74

**NORTH AMERICAN WETLANDS CONSERVATION FUND**

For expenses necessary to carry out the provisions of the North American Wetlands Conservation Act (16 U.S.C. 4401 et seq.), \$35,145,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–5241–0–2–302				
2015 actual      2016 est.      2017 est.				
0100	Balance, start of year .....	21	26	19
0198	Unappropriated receipt adjustment .....	3		
0199	Balance, start of year .....	24	26	19
Receipts:				
Current law:				
1110	Fines, Penalties, and Forfeitures from Migratory Bird Treaty Act, North American Wetlands Conservation Fund .....	21	13	13
2000	Total: Balances and receipts .....	45	39	32
Appropriations:				
Current law:				
2101	North American Wetlands Conservation Fund .....	–21	–21	–13
2132	North American Wetlands Conservation Fund .....	1	1	
2199	Total current law appropriations .....	–20	–20	–13
2999	Total appropriations .....	–20	–20	–13

5098	Rounding adjustment .....	1		
5099	Balance, end of year .....	26	19	19

**Program and Financing** (in millions of dollars)

Identification code 014–5241–0–2–302				
2015 actual      2016 est.      2017 est.				
<b>Obligations by program activity:</b>				
0003	Wetlands conservation projects .....	52	56	49
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	9	11	10
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	4	4	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	34	35	35
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	21	21	13
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–1	–1	
1260	Appropriations, mandatory (total) .....	20	20	13
1900	Budget authority (total) .....	54	55	48
1930	Total budgetary resources available .....	63	66	58
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11	10	9
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	75	83	90
3010	Obligations incurred, unexpired accounts .....	52	56	49
3020	Outlays (gross) .....	–44	–49	–48
3050	Unpaid obligations, end of year .....	83	90	91
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	75	83	90
3200	Obligated balance, end of year .....	83	90	91
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	34	35	35
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	5	5
4011	Outlays from discretionary balances .....	31	30	30
4020	Outlays, gross (total) .....	35	35	35
Mandatory:				
4090	Budget authority, gross .....	20	20	13
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	5	3
4101	Outlays from mandatory balances .....	6	9	10
4110	Outlays, gross (total) .....	9	14	13
4180	Budget authority, net (total) .....	54	55	48
4190	Outlays, net (total) .....	44	49	48

Funds deposited into this account include direct appropriations and fines, penalties, and forfeitures collected under the authority of the Migratory Bird Treaty Act (16 U.S.C. 707). The North American Wetlands Conservation Fund supports wetlands conservation projects approved by the Migratory Bird Conservation Commission. Interest on obligations held in the Federal Aid in Wildlife Restoration Fund is also available for this purpose. In addition, a portion of receipts to the Sport Fish Restoration Account is also available for coastal wetlands conservation projects.

These projects help fulfill the habitat protection, restoration, and enhancement goals of the North American Waterfowl Management Plan and the Tripartite Agreement among Mexico, Canada, and the United States. These projects may involve partnerships with public agencies and private entities, with non-federal matching contributions, for the long-term conservation of habitat for migratory birds and other fish and wildlife, including species that are listed, or are candidates to be listed, under the Endangered Species Act (16 U.S.C. 1531).

Wetlands conservation projects include the obtaining of a real property interest in lands or waters, including water rights; the restoration, management or enhancement of habitat; and training and development for conservation management in Mexico. Funding may be provided for assistance for wetlands conservation projects in Canada or Mexico.

NORTH AMERICAN WETLANDS CONSERVATION FUND—Continued

Object Classification (in millions of dollars)

Identification code 014-5241-0-2-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
32.0 Land and structures .....	3	3	3
41.0 Grants, subsidies, and contributions .....	48	52	45
99.9 Total new obligations .....	52	56	49

Employment Summary

Identification code 014-5241-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	11	11	11

COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

For expenses necessary to carry out section 6 of the Endangered Species Act of 1973 (16 U.S.C. 1535), \$53,495,000, to remain available until expended, [of which \$22,695,000 is to be derived from the Cooperative Endangered Species Conservation Fund; and of which \$30,800,000 is] to be derived from the Land and Water Conservation Fund. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5143-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	410	457	503
Receipts:			
Current law:			
1140 Payment from the General Fund, Cooperative Endangered Species Conservation Fund .....	74	68	64
2000 Total: Balances and receipts .....	484	525	567
Appropriations:			
Current law:			
2101 Cooperative Endangered Species Conservation Fund .....	-27	-22	.....
5099 Balance, end of year .....	457	503	567

Program and Financing (in millions of dollars)

Identification code 014-5143-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Conservation Grants to States .....	13	14	15
0002 HCP Planning Assistance Grants .....	11	12	13
0004 Administration .....	3	3	3
0005 HCP Land Acquisition Grants to States .....	24	27	30
0006 Species Recovery Land Acquisition .....	16	18	19
0007 Payment to special fund unavailable receipt account .....	74	68	64
0900 Total new obligations .....	141	142	144
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	97	95	86
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	97	95	.....
1021 Recoveries of prior year unpaid obligations .....	15	12	12
1050 Unobligated balance (total) .....	112	107	98
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation LWCF special fund [145005] .....	23	31	53
1101 Appropriation CESCFC special fund [145143] .....	27	22	.....
1160 Appropriation, discretionary (total) .....	50	53	53
Appropriations, mandatory:			
1200 Appropriation .....	74	68	64
1900 Budget authority (total) .....	124	121	117
1930 Total budgetary resources available .....	236	228	215
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	95	86	71
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	91	98	104
3010 Obligations incurred, unexpired accounts .....	141	142	144
3020 Outlays (gross) .....	-119	-124	-124

3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	-12	-12
3050 Unpaid obligations, end of year .....	98	104	112
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	91	98	104
3200 Obligated balance, end of year .....	98	104	112

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	50	53	53
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	5	5
4011 Outlays from discretionary balances .....	44	51	55
4020 Outlays, gross (total) .....	45	56	60
Mandatory:			
4090 Budget authority, gross .....	74	68	64
Outlays, gross:			
4100 Outlays from new mandatory authority .....	74	68	64
4180 Budget authority, net (total) .....	124	121	117
4190 Outlays, net (total) .....	119	124	124

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	124	121	117
Outlays .....	119	124	124
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	55
Outlays .....	.....	.....	6
Total:			
Budget Authority .....	124	121	172
Outlays .....	119	124	130

The Cooperative Endangered Species Conservation Fund provides grants to States and U.S. territories for species and habitat conservation actions on non-federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education for species that are listed or are candidates for listing, as threatened or endangered. These activities support recovery efforts and Habitat Conservation Plans in partnership with local governments and other interested parties to protect species. Appropriations to this account are financed by the Land and Water Conservation Fund and the Cooperative Endangered Species Conservation Fund. The latter is an unavailable receipt account that receives a transfer from the General Fund of the U.S. Treasury equal to five percent of receipts deposited to the Federal Aid in Wildlife and Sport Fish Restoration accounts plus Lacey Act receipts over \$500,000. Funds made available for grants from the two accounts are subject to annual appropriations authorized by Congress.

Object Classification (in millions of dollars)

Identification code 014-5143-0-2-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
41.0 Grants, subsidies, and contributions .....	64	60	64
94.0 Financial transfers .....	74	80	78
99.0 Direct obligations .....	140	142	144
99.5 Adjustment for rounding .....	1	.....	.....
99.9 Total new obligations .....	141	142	144

**Employment Summary**

Identification code 014-5143-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18	16	16

**COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-5143-4-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004 Administration .....			2
0005 HCP Land Acquisition Grants to States .....			16
0900 Total new obligations .....			18

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-5005] ....			55
1930 Total budgetary resources available .....			55
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			37

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			18
3020 Outlays (gross) .....			-6
3050 Unpaid obligations, end of year .....			12
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			12

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			55
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			6
4180 Budget authority, net (total) .....			55
4190 Outlays, net (total) .....			6

**Mandatory Land and Water Conservation Fund.**—The Administration proposes \$900 million in discretionary and mandatory funding in FY 2017, and proposes to permanently authorize \$900 million in annual mandatory funding for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in FY 2018.

**Object Classification** (in millions of dollars)

Identification code 014-5143-4-2-302	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....			16
99.0 Direct obligations .....			16
99.5 Adjustment for rounding .....			2
99.9 Total new obligations .....			18

**Employment Summary**

Identification code 014-5143-4-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			6

**[NATIONAL WILDLIFE REFUGE FUND]**

**[For expenses necessary to implement the Act of October 17, 1978 (16 U.S.C. 715s), \$13,228,000.]** (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5091-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1

**Receipts:**

<b>Current law:</b>			
1130 National Wildlife Refuge Fund .....	9	9	8
2000 Total: Balances and receipts .....	9	9	9

**Appropriations:**

<b>Current law:</b>			
2101 National Wildlife Refuge Fund .....	-9	-8	-8
2103 National Wildlife Refuge Fund .....	-1	-1	-1
2132 National Wildlife Refuge Fund .....	1	1	
2199 Total current law appropriations .....	-9	-8	-9
2999 Total appropriations .....	-9	-8	-9
5099 Balance, end of year .....		1	

**Program and Financing** (in millions of dollars)

Identification code 014-5091-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Expenses for sales .....	3	3	1
0003 Payments to counties .....	18	19	8
0900 Total new obligations .....	21	22	9

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	5
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	8	8
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	9	8	9
1900 Budget authority (total) .....	22	21	9
1930 Total budgetary resources available .....	27	27	14
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6	5	5

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 Obligations incurred, unexpired accounts .....	21	22	9
3020 Outlays (gross) .....	-21	-21	-9
3050 Unpaid obligations, end of year .....	1	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	2

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	13	13	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	13	
<b>Mandatory:</b>			
4090 Budget authority, gross .....	9	8	9
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	7	7
4101 Outlays from mandatory balances .....	6	1	2
4110 Outlays, gross (total) .....	8	8	9
4180 Budget authority, net (total) .....	22	21	9
4190 Outlays, net (total) .....	21	21	9

The Refuge Revenue Sharing Act (16 U.S.C. 715s) authorizes the Service to make payments to counties in which Fish and Wildlife Service fee lands are located from the revenues resulting from the sale of products from Service lands, less expenses for producing the revenue and activities related to revenue sharing. While direct appropriations have previously been used to supplement revenues, the 2017 Budget eliminates discretionary funding as formulas contained in the Act do not account for the economic benefits which refuges provide.

## NATIONAL WILDLIFE REFUGE FUND—Continued

## Object Classification (in millions of dollars)

Identification code 014-5091-0-2-806	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	18	19	7
99.0 Direct obligations .....	19	21	9
99.5 Adjustment for rounding .....	2	1	
99.9 Total new obligations .....	21	22	9

## Employment Summary

Identification code 014-5091-0-2-806	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	8	8

## RECREATION ENHANCEMENT FEE PROGRAM, FWS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5252-0-2-303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Recreation Enhancement Fee, Fish and Wildlife Service .....	6	5	5
2000 Total: Balances and receipts .....	6	5	5
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Recreation Enhancement Fee Program, FWS .....	-6	-5	-5
5099 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 014-5252-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Recreation Enhancement Fee Program .....	5	5	6
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	8	8
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	6	5	5
1930 Total budgetary resources available .....	13	13	13
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	8	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 Obligations incurred, unexpired accounts .....	5	5	6
3020 Outlays (gross) .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	2	3	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	2	3
3200 Obligated balance, end of year .....	2	3	5
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	6	5	5
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	2	2	2
4110 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	6	5	5
4190 Outlays, net (total) .....	4	4	4

The Federal Lands Recreation Enhancement Act (FLREA) was passed on December 8, 2004, as part of the Omnibus Appropriations bill for 2005. Approximately 164 Fish and Wildlife Service sites collect entrance fees

and other receipts. All receipts are deposited into a recreation fee account of which at least 80 percent is returned to the collecting site.

The recreation fee program demonstrates the feasibility of user generated cost recovery for the operation and maintenance of recreation areas, visitor services improvements, and habitat enhancement projects on Federal lands. Fees are used primarily at the site to improve visitor access, enhance public safety and security, address maintenance needs, enhance resource protection, and cover the costs of collection. Recreation fees are often used at Service sites to fund student interns and for various youth programs focusing on hunting, fishing, wildlife observation, wildlife photography, environmental education, and environmental interpretation. The 2017 Budget proposes legislation to permanently authorize the recreational fee programs of the Departments of the Interior and Agriculture under FLREA.

## Object Classification (in millions of dollars)

Identification code 014-5252-0-2-303	2015 actual	2016 est.	2017 est.
11.3 Direct obligations: Personnel compensation: Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	2
25.4 Operation and maintenance of facilities .....	1	1	1
99.0 Direct obligations .....	3	3	4
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	5	5	6

## Employment Summary

Identification code 014-5252-0-2-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	24	23	23

## FEDERAL AID IN WILDLIFE RESTORATION

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5029-0-2-303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	883	769	710
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Excise Taxes, Federal Aid to Wildlife Restoration Fund .....	709	662	620
1140 Earnings on Investments, Federal Aid to Wildlife Restoration Fund .....		4	5
1199 Total current law receipts .....	709	666	625
1999 Total receipts .....	709	666	625
2000 Total: Balances and receipts .....	1,592	1,435	1,335
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Federal Aid in Wildlife Restoration .....	-824	-713	-667
2103 Federal Aid in Wildlife Restoration .....	-59	-60	-48
2132 Federal Aid in Wildlife Restoration .....	60	48	
2199 Total current law appropriations .....	-823	-725	-715
2999 Total appropriations .....	-823	-725	-715
5099 Balance, end of year .....	769	710	620

## Program and Financing (in millions of dollars)

Identification code 014-5029-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Multi-state conservation grant program .....	2	3	3
0004 Administration .....	10	11	11
0005 Wildlife restoration grants .....	774	758	749
0006 NAWCF (interest used for grants) .....	6	1	4
0007 Section 10 hunter education .....	8	8	8
0900 Total new obligations .....	800	781	775

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	361	427	406
1021	Recoveries of prior year unpaid obligations .....	43	35	35
1050	Unobligated balance (total) .....	404	462	441
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	824	713	667
1203	Appropriation (previously unavailable) .....	59	60	48
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-60	-48	.....
1260	Appropriations, mandatory (total) .....	823	725	715
1930	Total budgetary resources available .....	1,227	1,187	1,156
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	427	406	381
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	627	798	944
3010	Obligations incurred, unexpired accounts .....	800	781	775
3020	Outlays (gross) .....	-586	-600	-632
3040	Recoveries of prior year unpaid obligations, unexpired .....	-43	-35	-35
3050	Unpaid obligations, end of year .....	798	944	1,052
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	627	798	944
3200	Obligated balance, end of year .....	798	944	1,052
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	823	725	715
Outlays, gross:				
4100	Outlays from new mandatory authority .....	164	193	179
4101	Outlays from mandatory balances .....	422	407	453
4110	Outlays, gross (total) .....	586	600	632
4180	Budget authority, net (total) .....	823	725	715
4190	Outlays, net (total) .....	586	600	632
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	1,114	1,880	1,954
5001	Total investments, EOY: Federal securities: Par value .....	1,880	1,954	1,990

The Federal Aid in Wildlife Restoration Act (16 U.S.C. 669 et seq.), also known as the Pittman-Robertson Wildlife Restoration Act, created a program to fund the selection, restoration, rehabilitation and improvement of wildlife habitat, hunter education and safety, and wildlife management research. Under the program, States, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands are allocated funds from an excise tax on sporting arms and ammunition, handguns, and a tax on certain archery equipment. States are reimbursed up to 75 percent of the cost of approved wildlife and hunter education projects.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106-408) amends the Pittman-Robertson Wildlife Restoration Act and authorizes a Multistate Conservation Grant Program, as well as the Firearm and Bow Hunter Education and Safety Program that provides grants to the States.

**Object Classification** (in millions of dollars)

Identification code 014-5029-0-2-303	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	5	5	5
12.1	Civilian personnel benefits .....	2	2	2
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	3	3	3
32.0	Land and structures .....	1	1	1
41.0	Grants, subsidies, and contributions .....	788	769	763
99.9	Total new obligations .....	800	781	775

**Employment Summary**

Identification code 014-5029-0-2-303	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	49	57	57

**COASTAL IMPACT ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 014-5579-0-2-306	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Administration .....	2	2	2
0002	Grants to States .....	10	7	7
0900	Total new obligations .....	12	9	9

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	20	18	12
1021	Recoveries of prior year unpaid obligations .....	10	3	3
1050	Unobligated balance (total) .....	30	21	15
1930	Total budgetary resources available .....	30	21	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	18	12	6

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	402	282	188
3010	Obligations incurred, unexpired accounts .....	12	9	9
3020	Outlays (gross) .....	-122	-100	-80
3040	Recoveries of prior year unpaid obligations, unexpired .....	-10	-3	-3
3050	Unpaid obligations, end of year .....	282	188	114
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	402	282	188
3200	Obligated balance, end of year .....	282	188	114

<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	122	100	80
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	122	100	80

The Energy Policy Act of 2005 (P.L. 109-58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed annually to coastal States that have submitted approved coastal impact assistance plans. The formula for distribution is based on the amount of qualified OCS revenues generated off the coastline of each producing State. In addition, 35 percent of each State's allocable share is to be distributed to coastal political subdivisions based on population, coastline, and distance to applicable OCS leases. In FY 2011, this program was transferred from the Bureau of Ocean Energy Management, Regulation, and Enforcement to the Fish and Wildlife Service.

**Object Classification** (in millions of dollars)

Identification code 014-5579-0-2-306	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
41.0	Grants, subsidies, and contributions .....	11	8	8
99.9	Total new obligations .....	12	9	9

**Employment Summary**

Identification code 014-5579-0-2-306	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	10	11	11

MISCELLANEOUS PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9927-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, Fish and Wildlife Service ....	4	4	4
2000 Total: Balances and receipts .....	4	4	4
Appropriations:			
Current law:			
2101 Miscellaneous Permanent Appropriations .....	-4	-4	-4
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-9927-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous Permanents .....	3	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	8	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1930 Total budgetary resources available .....	11	12	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	7	6

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	3
3010 Obligations incurred, unexpired accounts .....	3	5	5
3020 Outlays (gross) .....	-3	-3	-4
3050 Unpaid obligations, end of year .....	1	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	3
3200 Obligated balance, end of year .....	1	3	4

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
4101 Outlays from mandatory balances .....	2	1	2
4110 Outlays, gross (total) .....	3	3	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	3	3	4

*Operation and maintenance of quarters.*—Revenue from rental of Government quarters is deposited in this account for use in the operation and maintenance of such quarters for the Fish and Wildlife Service, pursuant to P.L. 98-473, Section 320; 98 Stat. 1874, as amended.

*Proceeds from sales, water resources development projects.*—Receipts collected from the sale of timber and crops from National Wildlife Refuge System lands leased or licensed from the Department of the Army may be used to pay the costs of production of the timber and crops and for managing wildlife habitat, 16 U.S.C. 460(d).

*Lahontan Valley and Pyramid Lake Fish and Wildlife Fund.*—Under the Truckee-Carson Pyramid Lake Water Rights Settlement Act of 1990, as amended, the Lahontan Valley and Pyramid Lake Fish and Wildlife Fund receives revenues and donations from non-federal parties to support the restoration and enhancement of wetlands in the Lahontan Valley and to restore and protect the Pyramid Lake fishery. Revenues received from the Bureau of Reclamation's Washoe Project in excess of operation and maintenance costs for Stampede Reservoir are available without further appropriation. Donations made for express purposes and State cost-sharing funds are available without further appropriation. The Secretary of the Interior is also authorized to deposit proceeds from the sale of certain lands and interests in lands into the Pyramid Lake Fish and Wildlife Fund.

*Community Partnership Enhancement.*—Under the National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act of 1998 (P.L. 105-242, dated October 5, 1998), the Service is authorized to enter into cooperative agreements with nonprofit organizations, academic institutions, or State and local governments to construct, operate, maintain, or improve refuge facilities and services, and to promote volunteer outreach and education programs.

Object Classification (in millions of dollars)

Identification code 014-9927-0-2-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.4 Operation and maintenance of facilities .....	1	2	2
26.0 Supplies and materials .....	1	2	2
99.0 Direct obligations .....	2	4	4
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	3	5	5

Employment Summary

Identification code 014-9927-0-2-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	5	5

Trust Funds

SPORT FISH RESTORATION

Program and Financing (in millions of dollars)

Identification code 014-8151-0-7-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to States for sport fish restoration .....	387	396	398
0003 North American wetlands conservation grants .....	21	20	20
0004 Coastal wetlands conservation grants .....	20	19	19
0005 Clean Vessel Act- pumpout stations grants .....	18		
0006 Administration .....	12	11	11
0007 National communication & outreach .....	12	12	12
0008 Non-trailerable recreational vessel access .....	16		
0009 Multi-State conservation activities .....	3	3	3
0010 Marine Fisheries Commissions & Boating Council .....	1	1	1
0011 Boating Infrastructure Improvement .....		30	30
0900 Total new obligations .....	490	492	494

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	200	187	183
1021 Recoveries of prior year unpaid obligations .....	46	45	45
1050 Unobligated balance (total) .....	246	232	228
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	611	635	600
1203 Appropriation (previously unavailable) .....	30	32	30
1220 Appropriations transferred to other accts [096-8333] .....	-73	-80	-76
1220 Appropriations transferred to other accts [070-8149] .....	-105	-114	-108
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-32	-30	
1260 Appropriations, mandatory (total) .....	431	443	446
1930 Total budgetary resources available .....	677	675	674
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	187	183	180

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	504	522	539
3010 Obligations incurred, unexpired accounts .....	490	492	494
3020 Outlays (gross) .....	-426	-430	-444
3040 Recoveries of prior year unpaid obligations, unexpired .....	-46	-45	-45
3050 Unpaid obligations, end of year .....	522	539	544
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	504	522	539
3200 Obligated balance, end of year .....	522	539	544

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	431	443	446
Outlays, gross:				
4100	Outlays from new mandatory authority .....	129	142	134
4101	Outlays from mandatory balances .....	297	288	310
Outlays, gross (total) .....				
4110	Outlays, gross (total) .....	426	430	444
4180	Budget authority, net (total) .....	431	443	446
4190	Outlays, net (total) .....	426	430	444

The Federal Aid in Sport Fish Restoration Act, also known as the Dingell-Johnson Sport Fish Restoration Act (16 U.S.C. 777 et seq.), created a fishery resources, conservation, and restoration program funded by excise taxes on fishing equipment and certain other sport fish related products and fuel.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106-408) amends the Dingell-Johnson Sport Fish Restoration Act and authorizes a Multistate Conservation Grant Program. It also provides funding for several fisheries commissions and the Sport Fishing and Boating Partnership Council.

The Sport Fish Restoration Act specifies that the net deposits made into the Sport Fish Restoration and Boating Safety Trust Fund, minus the distributions for administrative expenses for the Fish and Wildlife Service and the United States Coast Guard, special commissions, and the Boating Council, be distributed to support the following:

*The Coastal Wetlands Planning, Protection, and Restoration Act (16 U.S.C. 3951 et seq.).*—18.673 percent of net deposits, or amounts collected in small engine fuels excise taxes as provided by 26 U.S.C. 9504(b), whichever is greater, are to be made available and distributed as follows: 70 percent to the U.S. Army Corps of Engineers for priority project and conservation planning activities in Louisiana; 15 percent to the Fish and Wildlife Service for coastal wetlands conservation grants; and 15 percent to the Fish and Wildlife Service for wetlands conservation projects per the North American Wetlands Conservation Act (16 U.S.C. 4407).

*Boating Safety Programs.*—17.315 percent of net deposits are to be made available to the United States Coast Guard for State recreational boating safety programs.

*Boating Infrastructure Improvement.*—4 percent of net deposits are to be made available to the Secretary of the Interior to make grants to 1) States, as determined through a competitive award process, for the development and maintenance of facilities for transient non-trailerable recreational vessels 26 feet or longer or 2) States, Commonwealths, the District of Columbia and Territories, as determined through a competitive award process, to carry out projects for the construction, renovation, operation, and maintenance of pumpout stations and waste reception facilities, as well as for educational programs on proper disposal of sewage. Not more than 75 percent of the 4 percent shall be available for grants under either of the award processes referenced in this paragraph.

*National Outreach and Communications Programs.*—2 percent of net deposits are to be made available to the Secretary of the Interior to develop national and State outreach plans to promote safe fishing and boating opportunities and the conservation of aquatic resources.

*Grants to States.*—58.012 percent of net deposits are provided to the States, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the District of Columbia for up to 75 percent of the cost of approved projects including: research into fisheries problems, surveys and inventories of fish populations, acquisition and improvement of fish habitat, and provision of access for public use and \$3 million is reserved for Multistate Conservation Activities.

**Object Classification** (in millions of dollars)

Identification code 014-8151-0-7-303	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	6	6	6
12.1	Civilian personnel benefits .....	2	2	2
23.1	Rental payments to GSA .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1

25.3	Other goods and services from Federal sources .....	3	3	3
41.0	Grants, subsidies, and contributions .....	477	479	481
99.9	Total new obligations .....	490	492	494

**Employment Summary**

Identification code 014-8151-0-7-303	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	65	58	58

**CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-8216-0-7-302	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1130	Deposits, Contributed Funds, Fish and Wildlife Service .....	5	4	4
2000	Total: Balances and receipts .....	5	4	4
Appropriations:				
Current law:				
2101	Contributed Funds .....	-5	-4	-4
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014-8216-0-7-302	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Contributed Funds .....	4	5	5
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	7	6
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	5	4	4
1930	Total budgetary resources available .....	11	11	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7	6	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	3
3010	Obligations incurred, unexpired accounts .....	4	5	5
3020	Outlays (gross) .....	-4	-4	-5
3050	Unpaid obligations, end of year .....	2	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	3
3200	Obligated balance, end of year .....	2	3	3

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	5	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	1	1
4101	Outlays from mandatory balances .....	3	3	4
Outlays, gross (total) .....				
4110	Outlays, gross (total) .....	4	4	5
4180	Budget authority, net (total) .....	5	4	4
4190	Outlays, net (total) .....	4	4	5

Donated funds support activities such as endangered species projects, refuge and fish hatchery operations and maintenance, and migratory bird conservation and invasive species mitigation projects.

**Object Classification** (in millions of dollars)

Identification code 014-8216-0-7-302	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
26.0	Supplies and materials .....	1	1	1
41.0	Grants, subsidies, and contributions .....	1	2	2

CONTRIBUTED FUNDS—Continued  
Object Classification—Continued

Identification code 014–8216–0–7–302	2015 actual	2016 est.	2017 est.
99.0 Direct obligations .....	4	5	5
99.9 Total new obligations .....	4	5	5

Employment Summary

Identification code 014–8216–0–7–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	17	20	20

ADMINISTRATIVE PROVISIONS

The United States Fish and Wildlife Service may carry out the operations of Service programs by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities. Appropriations and funds available to the United States Fish and Wildlife Service shall be available for repair of damage to public roads within and adjacent to reservation areas caused by operations of the Service; options for the purchase of land at not to exceed \$1 for each option; facilities incident to such public recreational uses on conservation areas as are consistent with their primary purpose; and the maintenance and improvement of aquaria, buildings, and other facilities under the jurisdiction of the Service and to which the United States has title, and which are used pursuant to law in connection with management, and investigation of fish and wildlife resources: *Provided*, That notwithstanding 44 U.S.C. 501, the Service may, under cooperative cost sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share at least one-half the cost of printing either in cash or services and the Service determines the cooperator is capable of meeting accepted quality standards: *Provided further*, That the Service may accept donated aircraft as replacements for existing aircraft: *Provided further*, That the Secretary may recover costs for response, assessment and damages to National Wildlife Refuge System resources from the actions of private parties, or for costs as otherwise provided by Federal, State, or local law, regulation, or court order as a result of the destruction, loss of, or injury to any living or non-living National Wildlife Refuge System resource: *Provided further*, That the damages described in the previous proviso shall include the following: 1) compensation for the cost of replacing, restoring or acquiring the equivalent of the damaged National Wildlife Refuge System resource; and 2) the value of any significant loss of use of a National Wildlife Refuge System resource pending its restoration, replacement or acquisition of an equivalent resource; or 3) the value of the National Wildlife Refuge System resource in the event the resource cannot be replaced, restored or an equivalent acquired: *Provided further*, That any instrumentality, including but not limited to a vessel, vehicle, aircraft, or other equipment or mechanism that destroys, causes the loss of, or injures any living or non-living National Wildlife Refuge System resource or which causes the Secretary to undertake actions to prevent, minimize, or abate destruction, loss of, injury or risk to such resource shall be liable in rem to the United States for response costs and damages resulting from such destruction, loss, injury or risk to the same extent as a person is liable: *Provided further*, That in addition to any other authority to accept donations, the Secretary may accept donations of money or services to meet expected, immediate, or ongoing response costs and damages; response and assessment costs and damages recovered by the Secretary and donations received under this provision shall be available to the Secretary, without further appropriation, and shall remain available until expended, for damage assessments conducted, or for restoration and replacement of National Wildlife Refuge System resources and shall be managed under the Natural Resource Damage Assessment and Restoration Fund as per 43 U.S.C. 1474b-1: *Provided further*, That notwithstanding 31 U.S.C. 3302, all fees collected for non-toxic shot review and approval shall be deposited under the heading "United States Fish and Wildlife Service—Resource Management" and shall be available to the Secretary, without further appropriation, to be used for expenses of processing of such non-toxic shot type or coating applications and revising regulations as necessary, and shall remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

NATIONAL PARK SERVICE  
Federal Funds

OPERATION OF THE NATIONAL PARK SYSTEM

For expenses necessary for the management, operation, and maintenance of areas and facilities administered by the National Park Service and for the general administration of the National Park Service, **[\$2,369,596,000]** \$2,524,362,000, of which **[\$10,001,000]** \$10,032,000 for planning and interagency coordination in support of Everglades restoration and **[\$99,461,000]** \$148,661,000 for maintenance, repair, or rehabilitation projects for constructed assets shall remain available until September 30, **[2017: Provided**, That funds appropriated under this heading in this Act are available for the purposes of section 5 of Public Law 95–348 and section 204 of Public Law 93–486, as amended by section 1(3) of Public Law 100–355] 2018. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 014–1036–0–1–303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Park management .....	2,087	2,199	2,321
0002 External administrative costs .....	180	181	181
0799 Total direct obligations .....	2,267	2,380	2,502
0801 Operation of the National Park System (Reimbursable) .....	26	30	30
0900 Total new obligations .....	2,293	2,410	2,532
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	63	53
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,276	2,370	2,524
1121 Appropriations transferred from other acct [012–1106] .....	2		
1160 Appropriation, discretionary (total) .....	2,278	2,370	2,524
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	30	30
1900 Budget authority (total) .....	2,307	2,400	2,554
1930 Total budgetary resources available .....	2,358	2,463	2,607
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2		
1941 Unexpired unobligated balance, end of year .....	63	53	75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	485	508	539
3010 Obligations incurred, unexpired accounts .....	2,293	2,410	2,532
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	–2,248	–2,379	–2,525
3041 Recoveries of prior year unpaid obligations, expired .....	–28		
3050 Unpaid obligations, end of year .....	508	539	546
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	485	508	539
3200 Obligated balance, end of year .....	508	539	546
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,307	2,400	2,554
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,872	1,824	1,939
4011 Outlays from discretionary balances .....	376	555	586
4020 Outlays, gross (total) .....	2,248	2,379	2,525
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–29	–30	–30
4180 Budget authority, net (total) .....	2,278	2,370	2,524
4190 Outlays, net (total) .....	2,219	2,349	2,495

The National Park Service administers 409 areas and over 84.6 million acres of land in 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, Samoa, and the Northern Marianas. In FY 2014, park visits totaled 284 million. This annual appropriation funds the operation of areas and facilities administered under the National Park System through two budget activities. Funds within this appropriation are available for one year, with the exception of repair and rehabilitation funds and funds for the cooperative restoration of the Everglades, which are both available

for two years. In addition, this account contains reimbursable activity such as recovery of costs associated with special use permits.

The first activity, Park Management, covers the management and operation of park areas, and is divided into five subactivities that represent functional areas:

**Resource stewardship.**—Encompasses resource management operations that provide for the protection and preservation of unique natural, cultural, and historical features of units of the National Park System.

**Visitor services.**—Includes operations that provide orientation, educational, and interpretive programs to enhance the visitor's park experience. It also provides for the efficient management of commercial services for the benefit of visitors and the protection of resources.

**Park protection.**—Provides for the protection of park resources, visitors, and staff. Funding supports law enforcement operations, including the United States Park Police, that reduce vandalism and other destruction of park resources, safety, and public health operations.

**Facility operations and maintenance.**—Encompasses the maintenance and protection of buildings, other facilities, lands, and other government investments.

**Park support.**—Covers the management, supervision, and administrative operations for park areas and partnerships.

The second activity, External Administrative Costs, funds costs which are largely determined by organizations outside the National Park Service and for which funding requirements are less flexible. The requirements for these costs are mandated in accordance with applicable laws. To promote the efficient performance of the National Park Service, these costs are most effectively managed on a centralized basis.

**Object Classification** (in millions of dollars)

Identification code 014-1036-0-1-303	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	852	893	907
11.3 Other than full-time permanent .....	125	137	140
11.5 Other personnel compensation .....	51	52	62
11.9 Total personnel compensation .....	1,028	1,082	1,109
12.1 Civilian personnel benefits .....	359	376	385
13.0 Benefits for former personnel .....	6	6	6
21.0 Travel and transportation of persons .....	31	31	31
22.0 Transportation of things .....	10	10	10
23.1 Rental payments to GSA .....	63	63	63
23.2 Rental payments to others .....	8	8	9
23.3 Communications, utilities, and miscellaneous charges .....	90	95	105
24.0 Printing and reproduction .....	3	3	4
25.1 Advisory and assistance services .....	6	6	7
25.2 Other services from non-Federal sources .....	242	255	282
25.3 Other goods and services from Federal sources .....	26	27	30
25.4 Operation and maintenance of facilities .....	95	104	111
25.5 Research and development contracts .....	1	1	2
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	38	40	44
25.8 Subsistence and support of persons .....	1	1	2
26.0 Supplies and materials .....	100	105	116
31.0 Equipment .....	46	48	53
32.0 Land and structures .....	25	26	29
41.0 Grants, subsidies, and contributions .....	85	89	99
42.0 Insurance claims and indemnities .....	2	2	3
99.0 Direct obligations .....	2,267	2,380	2,502
99.0 Reimbursable obligations .....	26	30	30
99.9 Total new obligations .....	2,293	2,410	2,532

**Employment Summary**

Identification code 014-1036-0-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15,375	15,844	15,986
2001 Reimbursable civilian full-time equivalent employment .....	252	308	308
3001 Allocation account civilian full-time equivalent employment .....	638	641	641

**CENTENNIAL CHALLENGE**

For expenses necessary to carry out the provisions of section 101701 of title 54, United States Code, relating to challenge cost share agreements, **[\$15,000,000]** \$35,000,000, to remain available until expended, for Centennial Challenge projects and programs: *Provided*, That not less than 50 percent of the total cost of each project or program shall be derived from non-Federal sources in the form of donated cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014-2645-0-1-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Centennial Challenge .....	5	16	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		5	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	15	35
1930 Total budgetary resources available .....	10	20	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	4	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		4	9
3010 Obligations incurred, unexpired accounts .....	5	16	31
3020 Outlays (gross) .....	-1	-11	-34
3050 Unpaid obligations, end of year .....	4	9	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		4	9
3200 Obligated balance, end of year .....	4	9	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	15	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	11	26
4011 Outlays from discretionary balances .....			8
4020 Outlays, gross (total) .....	1	11	34
4180 Budget authority, net (total) .....	10	15	35
4190 Outlays, net (total) .....	1	11	34

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	10	15	35
Outlays .....	1	11	34
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			100
Outlays .....			20
<b>Total:</b>			
Budget Authority .....	10	15	135
Outlays .....	1	11	54

Centennial Challenge funds are used to complete projects with partner donations. All Federal funds must be matched on a 50/50 basis, derived from non-Federal sources in the form of cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. Projects are administered under existing NPS partnership authorities.

**Object Classification** (in millions of dollars)

Identification code 014-2645-0-1-303	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....		1	1
25.2 Other services from non-Federal sources .....	1	7	16
25.4 Operation and maintenance of facilities .....	3	5	7
26.0 Supplies and materials .....		1	1
32.0 Land and structures .....		2	6
41.0 Grants, subsidies, and contributions .....	1		
99.9 Total new obligations .....	5	16	31

CENTENNIAL CHALLENGE—Continued  
**Employment Summary**

Identification code 014-2645-0-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	14	21

CENTENNIAL CHALLENGE  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-2645-4-1-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Centennial Challenge .....			80
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			100
1930 Total budgetary resources available .....			100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			80
3020 Outlays (gross) .....			-20
3050 Unpaid obligations, end of year .....			60
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			60
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			20
4180 Budget authority, net (total) .....			100
4190 Outlays, net (total) .....			20

*Centennial Initiative.*—The Budget proposes mandatory funding of \$100 million annually for three years for the Federal share of Centennial Challenge projects.

**Object Classification** (in millions of dollars)

Identification code 014-2645-4-1-303	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....			2
25.2 Other services from non-Federal sources .....			22
25.4 Operation and maintenance of facilities .....			16
26.0 Supplies and materials .....			15
32.0 Land and structures .....			25
99.9 Total new obligations .....			80

**Employment Summary**

Identification code 014-2645-4-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			36

NATIONAL RECREATION AND PRESERVATION

For expenses necessary to carry out recreation programs, natural programs, cultural programs, heritage partnership programs, environmental compliance and review, international park affairs, and grant administration, not otherwise provided for, **[\$62,632,000] \$54,392,000.** (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014-1042-0-1-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Recreation programs .....	1	1	1

0002 Natural programs .....	13	13	14
0003 Cultural programs .....	25	25	26
0005 Grant administration .....	2	2	2
0006 International park affairs .....	2	2	2
0008 Heritage partnership programs .....	20	20	9
0799 Total direct obligations .....	63	63	54
0801 National Recreation and Preservation (Reimbursable) .....	2	3	3
0900 Total new obligations .....	65	66	57

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	63	63	54
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
1900 Budget authority (total) .....	66	66	57
1930 Total budgetary resources available .....	69	70	61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	45	41
3010 Obligations incurred, unexpired accounts .....	65	66	57
3020 Outlays (gross) .....	-61	-70	-64
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	45	41	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	45	41
3200 Obligated balance, end of year .....	45	41	34

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	66	66	57
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	44	38
4011 Outlays from discretionary balances .....	25	26	26
4020 Outlays, gross (total) .....	61	70	64
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total) ....	-3	-3	-3
4180 Budget authority, net (total) .....	63	63	54
4190 Outlays, net (total) .....	58	67	61

The National Recreation and Preservation appropriation covers a broad range of activities relating to outdoor recreation planning, preservation of natural, cultural and historic resources, and environmental compliance. These programs provide a central point at the Federal level for recreation and preservation planning; the coordination of Federal and State policies, procedures and guidelines; and the administration of technical and financial assistance to international, Federal, State, and local governments and private organizations. This appropriation is comprised of the following seven budget activities:

*Recreation Programs.*—Provides technical assistance to State and local governments and transfers surplus Federal real property to local governments for recreation uses.

*Natural Programs.*—Increases river and trail opportunities through Rivers, Trails, and Conservation Assistance, State and local technical assistance and Chesapeake Bay Gateway and Water Trails grants; creates river conservation and recreational opportunities that are compatible with continuing and future operations of hydropower facilities, fulfills National Park Service responsibilities under the Federal Power Act, and protects park resources through the Hydropower Recreation Assistance Program; and manages the National Natural Landmark program.

*Cultural Programs.*—Manages the National Register of Historic Places; reviews and certifies applications for Federal Tax Credits for Historic Preservation as a reimbursable activity; conducts cultural resources management planning through the National Historic Landmarks program, the Historic American Buildings Survey, the Historic American Engineering Record and the Historic American Landscapes Survey programs; advances

the application of science and technology in historic preservation and provides information distribution and skills training in the preservation and conservation of the Nation's significant historic and cultural resources through the National Center for Preservation Technology and Training; and coordinates the American Battlefield Protection Program Assistance Grants program, the Japanese American Confinement Site Grants program, and the Native American Graves Protection and Repatriation Grants program.

*Environmental Compliance and Review.*—Provides review and commentary on environmental impact statements, Federal licensing, permit applications, and other actions that may impact areas of National Park Service jurisdiction.

*Grants Administration.*—Provides administrative expenses associated with the Historic Preservation Fund grant programs, the Native American Graves Protection and Repatriation Grants program, the Japanese American Confinement Sites Grants, and the American Battlefield Protection Program Assistance Grants.

*International Park Affairs.*—Coordinates international assistance programs and the exchange and support functions that complement the Service's domestic role.

*Heritage Partnership Programs.*—Provides financial and technical assistance to Congressionally designated national heritage areas, managed by private or State organizations to promote the conservation of natural, historic, scenic, and cultural resources.

**Object Classification** (in millions of dollars)

Identification code 014-1042-0-1-303	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	19	19
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	21	22	22
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	2	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	30	30	21
99.0 Direct obligations .....	63	63	54
99.0 Reimbursable obligations .....	2	3	3
99.9 Total new obligations .....	65	66	57

**Employment Summary**

Identification code 014-1042-0-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	227	236	238
2001 Reimbursable civilian full-time equivalent employment .....	19	13	13

**URBAN PARK AND RECREATION FUND**

The Urban Park Recreation Recovery Act of 1978 authorizes matching grants and technical assistance to eligible economically distressed urban communities to revitalize and improve recreation opportunities. The program provides direct Federal grants to local governments to rehabilitate existing indoor and outdoor recreation facilities; to demonstrate innovative ways to enhance park and recreation opportunities; and to develop local Recovery Action Plans to identify needs, priorities and strategies for revital-

ization of the total recreation system. Last funded in FY 2004, minimal balances remain.

**URBAN PARK AND RECREATION FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-1031-4-1-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 UPARR Grants .....			17
0900 Total new obligations (object class 41.0) .....			17
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [014-5005] ....			30
1930 Total budgetary resources available .....			30
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			13
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			17
3020 Outlays (gross) .....			-2
3050 Unpaid obligations, end of year .....			15
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			15
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			30
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			2
4180 Budget authority, net (total) .....			30
4190 Outlays, net (total) .....			2

*Mandatory Land and Water Conservation Fund.*—The Budget proposes \$900 million in discretionary and mandatory funding in fiscal year 2017, and proposes to permanently authorize \$900 million in annual mandatory funding for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in fiscal year 2018. Funding for the Urban Park and Recreation Fund programs would be included in the mandatory funding proposal.

**Employment Summary**

Identification code 014-1031-4-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			5

**CONSTRUCTION (AND MAJOR MAINTENANCE)**

For construction, improvements, repair, or replacement of physical facilities, [including modifications authorized by section 104 of the Everglades National Park Protection and Expansion Act of 1989 (16 U.S.C. 410r-8), \$192,937,000] and compliance and planning for programs and areas administered by the National Park Service, \$252,038,000, to remain available until expended: *Provided*, That, notwithstanding any other provision of law, for any project initially funded in fiscal year [2016] 2017 with a future phase indicated in the National Park Service 5-Year Line Item Construction Plan, a single procurement may be issued which includes the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause availability of funds found at 48 CFR 52.232-18: *Provided further*, That National Park Service Donations, Park Concessions Franchise Fees, and Recreation Fees may be made available for the cost of adjustments and changes within the original scope of effort for projects funded by the National Park Service Construction appropriation: *Provided further*, That the Secretary of the Interior shall consult with the Committees on Appropriations, in accordance with current reprogramming thresholds, prior to making any charges authorized by this section. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

CONSTRUCTION (AND MAJOR MAINTENANCE)—Continued

Program and Financing (in millions of dollars)

Identification code 014-1039-0-1-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Line item construction and maintenance	121	184	127
0002 Special programs	19	25	25
0003 Construction planning	8	8	15
0005 Construction program management and operations	36	39	44
0006 Management planning	13	13	12
0799 Total direct obligations	197	269	223
0801 Construction (and Major Maintenance) (Reimbursable)	121	121	121
0900 Total new obligations	318	390	344
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	366	309	223
1001 Discretionary unobligated balance brought fwd, Oct 1	366	300	
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	369	309	223
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	138	193	252
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	9		
Spending authority from offsetting collections, discretionary:			
1700 Collected	119	119	119
1701 Change in uncollected payments, Federal sources	-8	-8	-8
1750 Spending auth from offsetting collections, disc (total)	111	111	111
1900 Budget authority (total)	258	304	363
1930 Total budgetary resources available	627	613	586
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	309	223	242
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	242	240	218
3010 Obligations incurred, unexpired accounts	318	390	344
3020 Outlays (gross)	-317	-412	-379
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	240	218	183
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-144	-136	-128
3070 Change in uncollected pymts, Fed sources, unexpired	8	8	8
3090 Uncollected pymts, Fed sources, end of year	-136	-128	-120
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	98	104	90
3200 Obligated balance, end of year	104	90	63
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	249	304	363
Outlays, gross:			
4010 Outlays from new discretionary authority	42	118	127
4011 Outlays from discretionary balances	275	288	250
4020 Outlays, gross (total)	317	406	377
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-73	-73	-73
4033 Non-Federal sources	-46	-46	-46
4040 Offsets against gross budget authority and outlays (total)	-119	-119	-119
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	8	8	8
4070 Budget authority, net (discretionary)	138	193	252
4080 Outlays, net (discretionary)	198	287	258
Mandatory:			
4090 Budget authority, gross	9		
Outlays, gross:			
4101 Outlays from mandatory balances		6	2
4180 Budget authority, net (total)	147	193	252
4190 Outlays, net (total)	198	293	260

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	147	193	252

Outlays	198	293	260
Legislative proposal, subject to PAYGO:			
Budget Authority			300
Outlays			60
Total:			
Budget Authority	147	193	552
Outlays	198	293	320

The Construction appropriation provides support to several National Park Service mission goals, including preserving park resources, providing for visitor enjoyment, and improving organizational effectiveness. In addition, this account contains activity related to reimbursable agreements.

The Construction appropriation is composed of five budget activities:

*Line item construction.*—This activity provides for the construction, re-habilitation, and replacement of facilities needed to accomplish the management objectives approved for each park.

*Special programs.*—This activity includes Emergency and Unscheduled Projects, Employee Housing, Dam Safety, and Equipment Replacement.

*Construction planning.*—This activity includes the project planning function to prepare working drawings, specification documents, and contracts needed to construct or rehabilitate National Park Service facilities.

*Construction program management and operations.*—This activity provides centralized design and engineering management services, as well as contracting services for park construction projects.

*Management planning.*—Under this activity, funding is used to prepare and update Unit Management Plans. The plans guide National Park Service actions for the protection, use, development, and management of each park unit. Funding also is used to conduct studies of alternatives for the protection of areas that may have potential for addition to the National Park System and for environmental impact planning and compliance.

Object Classification (in millions of dollars)

Identification code 014-1039-0-1-303	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	25	26	27
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	32	33	34
12.1 Civilian personnel benefits	10	10	11
21.0 Travel and transportation of persons	1	2	3
22.0 Transportation of things			1
23.3 Communications, utilities, and miscellaneous charges	8	8	2
25.1 Advisory and assistance services			1
25.2 Other services from non-Federal sources	33	38	33
25.2 Other services from non-Federal sources (Allocation)	5	5	5
25.3 Other goods and services from Federal sources	1	10	1
25.4 Operation and maintenance of facilities	70	93	85
25.7 Operation and maintenance of equipment	2	8	2
26.0 Supplies and materials	2	7	2
31.0 Equipment	13	20	17
32.0 Land and structures	18	32	24
41.0 Grants, subsidies, and contributions	2	3	2
99.0 Direct obligations	197	269	223
99.0 Reimbursable obligations	121	121	121
99.9 Total new obligations	318	390	344

Employment Summary

Identification code 014-1039-0-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	371	357	365
2001 Reimbursable civilian full-time equivalent employment	416	481	481

3001 Allocation account civilian full-time equivalent employment ..... 108 130 130

**CONSTRUCTION (AND MAJOR MAINTENANCE)**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-1039-4-1-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0007 Second Century Infrastructure Investment .....			105
0799 Total direct obligations .....			105
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			300
1930 Total budgetary resources available .....			300
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			195
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			105
3020 Outlays (gross) .....			-60
3050 Unpaid obligations, end of year .....			45
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			45
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			300
Outlays, gross:			
4100 Outlays from new mandatory authority .....			60
4180 Budget authority, net (total) .....			300
4190 Outlays, net (total) .....			60

*Centennial Initiative.*— The Budget proposes mandatory funding of \$300 million annually for three years for Second Century Infrastructure Investment projects that would restore and maintain high-priority, non-transportation park assets to good condition.

**Object Classification** (in millions of dollars)

Identification code 014-1039-4-1-303	2015 actual	2016 est.	2017 est.
11.3 Direct obligations: Personnel compensation: Other than full-time permanent .....			2
11.9 Total personnel compensation .....			2
25.2 Other services from non-Federal sources .....			30
25.4 Operation and maintenance of facilities .....			30
25.7 Operation and maintenance of equipment .....			2
26.0 Supplies and materials .....			5
31.0 Equipment .....			11
32.0 Land and structures .....			25
99.0 Direct obligations .....			105
99.9 Total new obligations .....			105

**Employment Summary**

Identification code 014-1039-4-1-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			51

**LAND ACQUISITION AND STATE ASSISTANCE**

For expenses necessary to carry out chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of lands or waters, or interest therein, in accordance with the statutory authority applicable to the National Park Service, **[\$173,670,000]** \$178,248,000, to be derived from the Land and Water Conservation Fund and to remain available until expended, of which **[\$110,000,000]** \$110,006,000 is for the State assistance program and of which \$10,000,000 shall be for the American Battlefield Protection Program grants as authorized by chapter 3081 of title 54, United States Code. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014-5035-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....	20	70	65
0002 Land acquisition administration .....	9	10	10
0004 State grant administration .....	2	3	3
0005 Grants to States .....	40	100	103
0900 Total new obligations .....	71	183	181
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	162	191	182
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	156	185	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (LWCF) .....	99	174	178
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1		1
Contract authority, discretionary:			
1520 Contract authority and/or unobligated balance of contract authority permanently reduced .....			-30
Contract authority, mandatory:			
1600 Contract authority .....	30	30	30
1620 Contract authority and/or unobligated balance of contract authority permanently reduced .....	-28	-28	
1621 Contract authority temporarily reduced .....	-2	-2	
1640 Contract authority, mandatory (total) .....			30
1900 Budget authority (total) .....	100	174	179
1930 Total budgetary resources available .....	262	365	361
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	191	182	180
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	149	157	235
3010 Obligations incurred, unexpired accounts .....	71	183	181
3020 Outlays (gross) .....	-63	-105	-118
3050 Unpaid obligations, end of year .....	157	235	298
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	149	157	235
3200 Obligated balance, end of year .....	157	235	298
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	99	174	148
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	28	30
4011 Outlays from discretionary balances .....	51	77	87
4020 Outlays, gross (total) .....	62	105	117
Mandatory:			
4090 Budget authority, gross .....	1		31
Outlays, gross:			
4101 Outlays from mandatory balances .....	1		1
4180 Budget authority, net (total) .....	100	174	179
4190 Outlays, net (total) .....	63	105	118
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	4	4	4
5053 Obligated balance, EOY: Contract authority .....	4	4	4
5099 Unexpired unavailable balance, SOY: Contract authority .....	4	6	8
5100 Unexpired unavailable balance, EOY: Contract authority .....	6	8	8

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	100	174	179
Outlays .....	63	105	118
Legislative proposal, subject to PAYGO:			
Budget Authority .....			111
Outlays .....			25
Total:			
Budget Authority .....	100	174	290
Outlays .....	63	105	143

The Land Acquisition and State Assistance appropriation uses funding derived from the Land and Water Conservation Fund to support National Park Service land acquisition activities and provide grants to States for the

LAND ACQUISITION AND STATE ASSISTANCE—Continued

purchase and development of land for outdoor recreation activities. The appropriation is composed of the following budget activities:

*Federal land acquisition administration.*—Provides for the administration of land acquisitions throughout the National Park System, ensuring compliance with existing guidelines and laws.

*Federal land acquisition.*—Provides for the acquisition of land and interests in land to preserve and protect, for public use and enjoyment, the historic, scenic, natural, and recreational values of congressionally authorized areas within the National Park System. Funds are also used for matching grants for the purchase of Civil War Battlefield lands by non-Federal entities. The 2017 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

*State conservation grants administration.*—Provides for the administration of matching grants to States and through States to local governments, for the acquisition and development of public outdoor recreation areas and facilities.

*State conservation grants.*—This activity provides matching grants to States and local units of government for the acquisition and development of land and facilities that will provide the public access to new opportunities to engage in outdoor recreation. The account includes both traditional formula and competitive grant programs for States.

*Outer Continental Shelf Oil Lease Revenues.*—The Gulf of Mexico Energy Security Act of 2006 (P.L. 109–432) allows a portion of the revenue collected from oil and gas leasing activities in qualified areas just becoming available for leasing in the Outer Continental Shelf (OCS) to be deposited to the Land and Water Conservation Fund and distributed to States in accordance with the Land and Water Conservation Act of 1965. The National Park Service portion of the revenue is 12.5 percent of total qualified OCS revenues. These new OCS receipts became available for expenditure as mandatory funding beginning in 2009. The Omnibus Appropriations Act, 2009 (P.L. 111–8) permits the use of up to three percent of the amounts authorized to be disbursed for costs of administration.

**Object Classification** (in millions of dollars)

Identification code 014–5035–0–2–303	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	8	9
12.1 Civilian personnel benefits .....	2	3	3
25.2 Other services from non-Federal sources .....	4	3	3
32.0 Land and structures .....	11	69	63
41.0 Grants, subsidies, and contributions .....	45	100	103
42.0 Insurance claims and indemnities .....	1		
99.9 Total new obligations .....	71	183	181

**Employment Summary**

Identification code 014–5035–0–2–303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	88	92	99

LAND ACQUISITION AND STATE ASSISTANCE

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014–5035–4–2–303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....			30
0002 Land acquisition administration .....			1
0005 Grants to States .....			20

0900 Total new obligations .....			51
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**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014–5005] ....			111
1930 Total budgetary resources available .....			111
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			60

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			51
3020 Outlays (gross) .....			–25
3050 Unpaid obligations, end of year .....			26
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			26

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			111
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			25
4180 Budget authority, net (total) .....			111
4190 Outlays, net (total) .....			25

*Mandatory Land and Water Conservation Fund.*—The Budget proposes \$900 million in discretionary and mandatory funding for the Land and Water Conservation Fund in fiscal year 2017, and proposes to permanently authorize \$900 million in annual mandatory funding for the Departments of the Interior and Agriculture programs beginning in fiscal year 2018.

**Object Classification** (in millions of dollars)

Identification code 014–5035–4–2–303	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1
32.0 Land and structures .....			30
41.0 Grants, subsidies, and contributions .....			20
99.9 Total new obligations .....			51

**Employment Summary**

Identification code 014–5035–4–2–303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			7

RECREATION FEE PERMANENT APPROPRIATIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–9928–0–2–303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	2	1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Recreation Enhancement Fee, National Park System .....	230	232	232
1130 Transportation Fees, Transportation System Fund .....	24	24	25
1199 Total current law receipts .....	254	256	257
<b>Proposed:</b>			
1230 Recreation Enhancement Fee, National Park System .....			38
1999 Total receipts .....	254	256	295
2000 Total: Balances and receipts .....	255	258	296
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Recreation Fee Permanent Appropriations .....	–253	–256	–256
2103 Recreation Fee Permanent Appropriations .....	–3	–3	–2
2132 Recreation Fee Permanent Appropriations .....	3	2	
2199 Total current law appropriations .....	–253	–257	–258
<b>Proposed:</b>			
2201 Recreation Fee Permanent Appropriations .....			–38
2999 Total appropriations .....	–253	–257	–296
5099 Balance, end of year .....	2	1	

**Program and Financing** (in millions of dollars)

Identification code 014-9928-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Recreational Fee Program .....	199	245	240
0002 Transportation systems fund .....	17	24	24
0900 Total new obligations .....	216	269	264
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	179	217	205
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	253	256	256
1203 Appropriation (previously unavailable) .....	3	3	2
1221 Appropriations transferred from other acct [012-9921] .....	1		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-3	-2	
1260 Appropriations, mandatory (total) .....	254	257	258
1930 Total budgetary resources available .....	433	474	463
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	217	205	199
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	66	93	163
3010 Obligations incurred, unexpired accounts .....	216	269	264
3020 Outlays (gross) .....	-189	-199	-215
3050 Unpaid obligations, end of year .....	93	163	212
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	66	93	163
3200 Obligated balance, end of year .....	93	163	212
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	254	257	258
Outlays, gross:			
4100 Outlays from new mandatory authority .....		52	51
4101 Outlays from mandatory balances .....	189	147	164
4110 Outlays, gross (total) .....	189	199	215
4180 Budget authority, net (total) .....	254	257	258
4190 Outlays, net (total) .....	189	199	215

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	254	257	258
Outlays .....	189	199	215
Legislative proposal, subject to PAYGO:			
Budget Authority .....			38
Outlays .....			4
Total:			
Budget Authority .....	254	257	296
Outlays .....	189	199	219

**Recreation Fee Program.**—The National Park Service and other land management agencies operate a fee program that allows parks and other units to collect admission and user fees in accordance with the Federal Lands Recreation Enhancement Act (FLREA). FLREA was passed on December 8, 2004, as part of the Omnibus Appropriations Act, 2005, which authorized this program through 2014. The Consolidated Appropriations Act, 2016, extended FLREA through fiscal year 2017. The Administration proposes to permanently reauthorize the Department of the Interior's and the Department of Agriculture's recreation fee programs in fiscal year 2017. Net proceeds are used for high-priority visitor service and facility maintenance projects throughout the National Park System. Up to 80 percent may be retained for use by the collecting park and the remainder retained for discretionary, Servicewide use by the National Park Service Director. Proceeds from the sale of the America the Beautiful passes, which allow access to all public lands that charge fees for a year, are distributed among the Federal land management agencies which offer them for sale, including the National Park Service, the Bureau of Land Management, the U.S. Fish and Wildlife Service, the Bureau of Reclamation and the U.S. Forest Ser-

vice, as determined by the Secretaries of the Department of the Interior and the Department of Agriculture in accordance with P.L. 108-447.

**Deed-restricted parks fee program.**—Park units where admission fees may not be collected by reason of deed restrictions retain any other recreation fees collected and use them for certain park operation purposes in accordance with P.L. 105-327. This law applies to Great Smoky Mountains National Park, Lincoln Home National Historic Site, and Abraham Lincoln Birthplace National Historic Site.

**Transportation systems fund.**—Fees charged for public use of transportation services at parks are retained and used by each collecting park for costs associated with the transportation systems in accordance with section 501 of P.L. 105-391.

**Payment for tax losses on land acquired for Grand Teton National Park.**—Revenues received from fees collected from visitors are used to compensate the State of Wyoming for tax losses on Grand Teton National Park lands (16 U.S.C. 406d-3).

**Object Classification** (in millions of dollars)

Identification code 014-9928-0-2-303	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	26	27	27
11.3 Other than full-time permanent .....	37	38	38
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	67	69	69
12.1 Civilian personnel benefits .....	17	17	17
21.0 Travel and transportation of persons .....	1	2	2
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	10	14	14
24.0 Printing and reproduction .....	2	3	3
25.2 Other services from non-Federal sources .....	32	51	48
25.3 Other goods and services from Federal sources .....	5	7	7
25.4 Operation and maintenance of facilities .....	21	29	27
25.7 Operation and maintenance of equipment .....	5	7	7
26.0 Supplies and materials .....	11	15	14
31.0 Equipment .....	2	3	5
32.0 Land and structures .....	24	33	32
41.0 Grants, subsidies, and contributions .....	18	18	18
99.9 Total new obligations .....	216	269	264

**Employment Summary**

Identification code 014-9928-0-2-303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,481	1,524	1,524

**RECREATION FEE PERMANENT APPROPRIATIONS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-9928-4-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Second Century Fund .....			4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 [14-9928] .....			38
1930 Total budgetary resources available .....			38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4
3020 Outlays (gross) .....			-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			38
Outlays, gross:			
4100 Outlays from new mandatory authority .....			4
4180 Budget authority, net (total) .....			38

RECREATION FEE PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 014–9928–4–2–303	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)			4

*Centennial Initiative.*— The Budget proposes the establishment of an NPS Second Century Fund, which would be funded through additional lodging or camping fees and funds collected from purchases of the lifetime pass for citizens 62 years of age or older.

Object Classification (in millions of dollars)

Identification code 014–9928–4–2–303	2015 actual	2016 est.	2017 est.
11.3 Direct obligations: Personnel compensation: Other than full-time permanent			1
11.9 Total personnel compensation			1
25.2 Other services from non-Federal sources			3
99.9 Total new obligations			4

Employment Summary

Identification code 014–9928–4–2–303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment			12

HISTORIC PRESERVATION FUND

For expenses necessary in carrying out the National Historic Preservation Act (division A of subtitle III of title 54, United States Code), **[\$65,410,000]** \$87,410,000, to be derived from the Historic Preservation Fund and to remain available until September 30, **[2017]** 2018, of which \$500,000 is for competitive grants for the survey and nomination of properties to the National Register of Historic Places and as National Historic Landmarks associated with communities currently underrepresented, as determined by the Secretary, and of which **[\$8,000,000]** \$25,000,000 is for a competitive **[grants]** grant program to preserve the sites and stories of the Civil Rights movement: *Provided*, That such competitive grants shall be made without imposing the matching requirements in section 302902(b)(3) of title 54, United States Code to States and Indian tribes as defined in chapter 3003 of such title, Native Hawaiian organizations, local governments, including Certified Local Governments, and nonprofit organizations. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–5140–0–2–303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	3,186	3,284	3,370
0198 Reconciliation adjustment	1		
0199 Balance, start of year	3,187	3,284	3,370
Receipts:			
Current law:			
1130 Historic Preservation Fund, Rent Receipts, Outer Continental Shelf Lands	150	150	150
2000 Total: Balances and receipts	3,337	3,434	3,520
Appropriations:			
Current law:			
2101 Historic Preservation Fund	–56	–65	–87
Special and trust fund receipts returned:			
3010 Historic Preservation Fund	3	1	
5099 Balance, end of year	3,284	3,370	3,433

Program and Financing (in millions of dollars)

Identification code 014–5140–0–2–303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants-in-aid	54	59	82
0900 Total new obligations (object class 41.0)	54	59	82

Budgetary resources:

1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	5	7	13
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special fund, definite) HPF	56	65	87
1930 Total budgetary resources available	61	72	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	13	18
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	3	2	2
1953 Expired unobligated balance, end of year	2	2	1
1954 Unobligated balance canceling	3	1	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	100	98	76
3010 Obligations incurred, unexpired accounts	54	59	82
3020 Outlays (gross)	–54	–81	–97
3041 Recoveries of prior year unpaid obligations, expired	–2		
3050 Unpaid obligations, end of year	98	76	61
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	100	98	76
3200 Obligated balance, end of year	98	76	61

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	56	65	87
Outlays, gross:			
4010 Outlays from new discretionary authority	14	33	44
4011 Outlays from discretionary balances	40	48	53
4020 Outlays, gross (total)	54	81	97
4180 Budget authority, net (total)	56	65	87
4190 Outlays, net (total)	54	81	97

The Historic Preservation Fund appropriation includes grant programs to facilitate the preservation of the Nation's historic and cultural resources. This appropriation provides grants-in-aid to States, Territories, and Indian Tribes. Grants-in-aid to States and local governments require a 40 percent funding match; grants to Tribes do not require matching funds. In addition to the traditional grants-in-aid described above, the account includes competitive grant programs. This includes grants for the survey and nomination of properties associated with communities currently underrepresented in the National Register and as National Historic Landmarks, grants to preserve the sites and stories of the Civil Rights Movement, and grants to repair and interpret historic structures on the campuses of Historically Black Colleges and Universities. The President's budget includes a legislative proposal to permanently extend the authority to annually deposit \$150 million in receipts from offshore oil and gas revenues into the Historic Preservation Fund.

OTHER PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–9924–0–2–303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	2	3	4
0198 Rounding adjustment	2		
0199 Balance, start of year	4	3	4
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, National Park Service	23	25	25
1130 Rental Payments, Park Buildings Lease and Maintenance Fund	8	8	9
1130 Concession Improvement Accounts Deposit	3	6	7
1130 User Fees for Filming and Photography on Public Lands	2	2	2
1130 Miscellaneous Fees, Glacier Bay National Park Resource Protection	3	4	4
1130 Park Concessions Franchise Fees	92	97	100
1199 Total current law receipts	131	142	147
1999 Total receipts	131	142	147
2000 Total: Balances and receipts	135	145	151

Appropriations:				
Current law:				
2101	Other Permanent Appropriations .....	-133	-141	-146
2103	Other Permanent Appropriations .....	-1	-1	-1
2132	Other Permanent Appropriations .....	1	1	.....
2199	Total current law appropriations .....	-133	-141	-147
2999	Total appropriations .....	-133	-141	-147
5098	Rounding adjustment .....	1	.....	.....
5099	Balance, end of year .....	3	4	4

**Program and Financing** (in millions of dollars)

Identification code 014-9924-0-2-303	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Operation and maintenance of quarters .....	22	29	26
0002	Glacier Bay resource protection vessel management plan .....	3	3	3
0003	Park concessions franchise fees .....	139	87	93
0005	Rental Payments, Park Buildings Lease and Maintenance Fund .....	5	12	11
0006	Concessions improvements accounts .....	5	8	7
0007	Contribution for annuity benefits for USPP .....	40	45	45
0008	Filming and Photography Special Use Fee Program .....	1	2	2
0900	Total new obligations .....	215	186	187
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	161	119	119
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	45	45	45
1201	Appropriation (special or trust fund) .....	133	141	146
1203	Appropriation (previously unavailable) .....	1	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260	Appropriations, mandatory (total) .....	178	186	192
1930	Total budgetary resources available .....	339	305	311
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-5	.....	.....
1941	Unexpired unobligated balance, end of year .....	119	119	124
Special and non-revolving trust funds:				
1952	Expired unobligated balance, start of year .....	1	1	1
1953	Expired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	38	37	46
3010	Obligations incurred, unexpired accounts .....	215	186	187
3020	Outlays (gross) .....	-216	-177	-192
3050	Unpaid obligations, end of year .....	37	46	41
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	38	37	46
3200	Obligated balance, end of year .....	37	46	41
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	178	186	192
Outlays, gross:				
4100	Outlays from new mandatory authority .....	36	167	172
4101	Outlays from mandatory balances .....	180	10	20
4110	Outlays, gross (total) .....	216	177	192
4180	Budget authority, net (total) .....	178	186	192
4190	Outlays, net (total) .....	216	177	192

*Park concessions franchise fees.*—Franchise fees for concessioner activities in the National Park System are deposited in this account and used for certain park operations activities in accordance with section 407 of P.L. 105–391. By law, 20 percent of franchise fees collected are used to support activities throughout the National Park System and 80 percent are retained and used by each collecting park unit for visitor services and for purposes of funding high-priority and urgently necessary resource management programs and operations.

*Concessions improvement accounts.*—National Park Service agreements with private concessioners providing visitor services within national parks can require concessioners to deposit a portion of gross receipts or a fixed sum of money in a separate bank account. A concessioner may expend

funds from such an account with the approval of the park superintendent for improvements to facilities that directly support concession visitor services but would not otherwise be funded through the appropriations process. Concessioners do not accrue possessory interests from improvements funded through these accounts.

*Park buildings lease and maintenance fund.*—Rental payments for leases to use buildings and associated property in the National Park System are deposited in this account and used for infrastructure needs at park units in accordance with section 802 of P.L. 105–391.

*Operation and maintenance of quarters.*—Revenues from the rental of Government-owned quarters to park employees are deposited in this account and used to operate and maintain the quarters.

*Delaware Water Gap, Route 209 operations.*—Fees collected for use of Route 209 within the Delaware Water Gap National Recreation Area by commercial vehicles are used for management, operation, and maintenance of the route within the park as authorized by P.L. 98–63 (97 Stat. 329), section 117 of P.L. 98–151 (97 Stat. 977) as amended by P.L. 99–88 (99 Stat. 343), and section 702 of Division I of P.L. 104–333 (110 Stat. 4185). The authority expired on September 30, 2015. Minimal balances remain.

*Glacier Bay National Park resource protection.*—Of the revenues received from fees paid by tour boat operators or other permittees for entering Glacier Bay National Park, 60 percent are used for certain activities to protect resources of the Park from harm by permittees in accordance with section 703 of Division I of P.L. 104–333 (110 Stat. 4185).

*Filming and photography special use fees.*—The National Park Service is authorized to retain fee receipts that are collected from issuing permits to use park lands and facilities for commercial filming, still photography, and similar activities. Activities authorized for funding include acquisition of emergency response equipment to prevent harm to aquatic park resources from permittees and studies to quantify the impact of permittees' activities on wildlife and other natural resources of the park.

*Contributions to US Park Police annuity benefits.*—Necessary costs of benefit payments to annuitants under the pension program for United States Park Police officers hired prior to January 1, 1984, established under P.L. 85–157, are paid from the General Fund of the Treasury to the extent the payments exceed deductions from salaries of active duty employees in the program. Permanent funding for such payments was provided in the Department of the Interior and Related Agencies Appropriations Act, 2002. Before 2002, such payments were funded from appropriations made annually to the National Park Service.

**Object Classification** (in millions of dollars)

Identification code 014-9924-0-2-303	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	9	10	10
11.3	Other than full-time permanent .....	10	10	10
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	20	21	21
12.1	Civilian personnel benefits .....	6	6	6
21.0	Travel and transportation of persons .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	5	4	4
25.2	Other services from non-Federal sources .....	151	128	129
25.4	Operation and maintenance of facilities .....	16	13	13
25.7	Operation and maintenance of equipment .....	2	2	2
26.0	Supplies and materials .....	7	6	6
31.0	Equipment .....	3	3	3
32.0	Land and structures .....	2	2	2
41.0	Grants, subsidies, and contributions .....	2	.....	.....
99.9	Total new obligations .....	215	186	187

OTHER PERMANENT APPROPRIATIONS—Continued  
**Employment Summary**

Identification code 014–9924–0–2–303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	365	381	381

OTHER PERMANENT APPROPRIATIONS  
(Legislative proposal, subject to PAYGO)

*Centennial Initiative.*—The Budget proposes to authorize the Secretary to establish a program to allow a Visitor Services Management Authority to award and manage contracts for the operation of commercial visitor services programs and activities.

**Trust Funds**

CONSTRUCTION (TRUST FUND)

Parkway construction project funds have been derived from the Highway Trust Fund through appropriations to liquidate contract authority, which has been provided under section 104(a)(8) of the Federal Aid Highway Act of 1978, title I of Public Law 95–599, as amended, and appropriations language, which has made the contract authority and the appropriations available until expended.

MISCELLANEOUS TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–9972–0–7–303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Donations to National Park Service .....	159	55	75
Proposed:			
1230 Donations to National Park Service .....			103
1999 Total receipts .....	159	55	178
2000 Total: Balances and receipts .....	159	55	178
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds .....	–159	–55	–75
Proposed:			
2201 Miscellaneous Trust Funds .....			–103
2999 Total appropriations .....	–159	–55	–178
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014–9972–0–7–303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Donations to National Park Service .....	157	69	72
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	82	84	70
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	159	55	75
1930 Total budgetary resources available .....	241	139	145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	84	70	73
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	148	119
3010 Obligations incurred, unexpired accounts .....	157	69	72
3020 Outlays (gross) .....	–63	–98	–81
3050 Unpaid obligations, end of year .....	148	119	110
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	54	148	119
3200 Obligated balance, end of year .....	148	119	110

**Budget authority and outlays, net:**

Identification code	2015 actual	2016 est.	2017 est.
4090 Mandatory:			
Budget authority, gross .....	159	55	75
Outlays, gross:			
4100 Outlays from new mandatory authority .....		28	38
4101 Outlays from mandatory balances .....	63	70	43
4110 Outlays, gross (total) .....	63	98	81
4180 Budget authority, net (total) .....	159	55	75
4190 Outlays, net (total) .....	63	98	81

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	159	55	75
Outlays .....	63	98	81
Legislative proposal, subject to PAYGO:			
Budget Authority .....			103
Outlays .....			20
Total:			
Budget Authority .....	159	55	178
Outlays .....	63	98	101

*National Park Service, donations.*—The Secretary of the Interior accepts and uses donated moneys for the purposes of the National Park System (54 U.S.C. 101101), as designated by the donor when stated.

*Preservation, Birthplace of Abraham Lincoln, National Park Service.*—This fund consists of an endowment established by the Lincoln Farm Association. The interest therefrom is available for preservation of the Abraham Lincoln Birthplace National Historic Site, Kentucky (16 U.S.C. 211, 212).

**Object Classification** (in millions of dollars)

Identification code 014–9972–0–7–303	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	3	3	3
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	10	10	10
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	9	7	7
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	122	42	45
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	6	4	4
41.0 Grants, subsidies, and contributions .....	4		
99.9 Total new obligations .....	157	69	72

**Employment Summary**

Identification code 014–9972–0–7–303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	197	184	184

MISCELLANEOUS TRUST FUNDS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014–9972–4–7–303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Donations to National Park Service .....			51
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			103
1930 Total budgetary resources available .....			103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			52

INDIAN AFFAIRS

BUREAU OF INDIAN AFFAIRS AND BUREAU OF INDIAN EDUCATION

Federal Funds

OPERATION OF INDIAN PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the operation of Indian programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450 et seq.), the Education Amendments of 1978 (25 U.S.C. 2001–2019), and the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.), **[\$2,267,924,000] \$2,395,786,000**, to remain available until September 30, **[2017] 2018**, except as otherwise provided herein; of which not to exceed **\$8,500** may be for official reception and representation expenses; of which not to exceed **[\$74,791,000] \$74,773,000** shall be for welfare assistance payments: *Provided*, That, in cases of designated Federal disasters, the Secretary may exceed such cap, from the amounts provided herein, to provide for disaster relief to Indian communities affected by the disaster: *Provided further*, That federally recognized Indian tribes and tribal organizations of federally recognized Indian tribes may use their tribal priority allocations for unmet welfare assistance costs: *Provided further*, That not to exceed **[\$628,351,000] \$651,282,000** for school operations costs of Bureau-funded schools and other education programs shall become available on July 1, **[2016] 2017**, and shall remain available until September 30, **[2017] 2018**: *Provided further*, That not to exceed **[\$43,813,000] \$47,848,000** shall remain available until expended for housing improvement, road maintenance, attorney fees, litigation support, land records improvement, and the Navajo-Hopi Settlement Program: *Provided further*, That, notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975 (25 U.S.C. 450f et seq.) and section 1128 of the Education Amendments of 1978 (25 U.S.C. 2008), not to exceed **[\$73,276,000] \$75,335,000** within and only from such amounts made available for school operations shall be available for administrative cost grants associated with grants approved prior to July 1, **[2016] 2017**: *Provided further*, That any forestry funds allocated to a federally recognized tribe which remain unobligated as of September 30, **[2017] 2018**, may be transferred during fiscal year **[2018] 2019** to an Indian forest land assistance account established for the benefit of the holder of the funds within the holder's trust fund account: *Provided further*, That any such unobligated balances not so transferred shall expire on September 30, **[2018] 2019**: *Provided further*, That, in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other identifying articles of clothing for personnel. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Change in obligated balance:**

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		51
3020	Outlays (gross) .....		-20
3050	Unpaid obligations, end of year .....		31
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		31

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....		103
Outlays, gross:			
4100	Outlays from new mandatory authority .....		20
4180	Budget authority, net (total) .....		103
4190	Outlays, net (total) .....		20

*Centennial Initiative.*— The Budget proposes a mandatory proposal to fund \$100 million annually for three years of the Federal share of Centennial Challenge projects. Funds in this account outlay the received \$300 million in matching private donations.

Object Classification (in millions of dollars)

Identification code 014–9972–4–7–303	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....		19
25.4	Operation and maintenance of facilities .....		7
25.7	Operation and maintenance of equipment .....		1
26.0	Supplies and materials .....		2
31.0	Equipment .....		1
32.0	Land and structures .....		21
99.9	Total new obligations .....		51

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

In addition to other uses set forth in section 101917(c)(2) of title 54, United States Code, franchise fees credited to a sub-account shall be available for expenditure by the Secretary, without further appropriation, for use at any unit within the National Park System to extinguish or reduce liability for Possessory Interest or leasehold surrender interest. Such funds may only be used for this purpose to the extent that the benefitting unit anticipated franchise fee receipts over the term of the contract at that unit exceed the amount of funds used to extinguish or reduce liability. Franchise fees at the benefitting unit shall be credited to the sub-account of the originating unit over a period not to exceed the term of a single contract at the benefitting unit, in the amount of funds so expended to extinguish or reduce liability.

For the costs of administration of the Land and Water Conservation Fund grants authorized by section 105(a)(2)(B) of the Gulf of Mexico Energy Security Act of 2006 (Public Law 109–432), the National Park Service may retain up to 3 percent of the amounts which are authorized to be disbursed under such section, such retained amounts to remain available until expended.

National Park Service funds may be transferred to the Federal Highway Administration (FHWA), Department of Transportation, for purposes authorized under 23 U.S.C. 204. Transfers may include a reasonable amount for FHWA administrative support costs.

**■**In fiscal year 2016 and each fiscal year thereafter, any amounts deposited into the National Park Service trust fund accounts (31 U.S.C. 1321(a)(17)-(18)) shall be invested by the Secretary of the Treasury in interest bearing obligations of the United States to the extent such amounts are not, in his judgment, required to meet current withdrawals: *Provided*, That interest earned by such investments shall be available for obligation without further appropriation, to the benefit of the project. **■** (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014–2100–0–1–999	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0007	Tribal Government .....	563	329	330
0008	Human services .....	150	148	148
0009	Trust - Natural resources management .....	190	191	191
0010	Trust - Real estate services .....	129	135	135
0011	Education .....	808	810	820
0012	Public safety and justice .....	353	355	355
0013	Community and economic development .....	39	40	40
0014	Executive direction and administrative services .....	230	231	255
0799	Total direct obligations .....	2,462	2,239	2,274
0807	Operation of Indian Programs (Reimbursable) .....	255	310	310
0808	Reimbursable program - Education Recovery Act .....	13	16	16
0899	Total reimbursable obligations .....	268	326	326
0900	Total new obligations .....	2,730	2,565	2,600

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	582	564	559
1012	Unobligated balance transfers between expired and unexpired accounts .....	11	14	14
1021	Recoveries of prior year unpaid obligations .....	14	2	2
1050	Unobligated balance (total) .....	607	580	575
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,429	2,268	2,396
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	250	276	277
1701	Change in uncollected payments, Federal sources .....	11		
1750	Spending auth from offsetting collections, disc (total) .....	261	276	277

OPERATION OF INDIAN PROGRAMS—Continued  
Program and Financing—Continued

Identification code 014–2100–0–1–999	2015 actual	2016 est.	2017 est.
1900 Budget authority (total) .....	2,690	2,544	2,673
1930 Total budgetary resources available .....	3,297	3,124	3,248
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–3		
1941 Unexpired unobligated balance, end of year .....	564	559	648
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	365	368	342
3010 Obligations incurred, unexpired accounts .....	2,730	2,565	2,600
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	–2,703	–2,589	–2,627
3040 Recoveries of prior year unpaid obligations, unexpired .....	–14	–2	–2
3041 Recoveries of prior year unpaid obligations, expired .....	–16		
3050 Unpaid obligations, end of year .....	368	342	313
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–115	–122	–122
3070 Change in uncollected pymts, Fed sources, unexpired .....	–11		
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	–122	–122	–122
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	250	246	220
3200 Obligated balance, end of year .....	246	220	191
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,690	2,544	2,673
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,874	1,736	1,819
4011 Outlays from discretionary balances .....	829	853	808
4020 Outlays, gross (total) .....	2,703	2,589	2,627
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–236	–276	–277
4033 Non-Federal sources .....	–17		
4040 Offsets against gross budget authority and outlays (total) ....	–253	–276	–277
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–11		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	–8		
4070 Budget authority, net (discretionary) .....	2,429	2,268	2,396
4080 Outlays, net (discretionary) .....	2,450	2,313	2,350
4180 Budget authority, net (total) .....	2,429	2,268	2,396
4190 Outlays, net (total) .....	2,450	2,313	2,350

The Operation of Indian Programs appropriation consists of a wide range of services and benefits provided primarily to Federally-recognized Indian Tribes, Alaskan Native groups, and individual American Indians and Alaska Natives, to fulfill Federal trust and treaty responsibilities and support tribal self-determination and nation building.

This account covers expenses associated with the following activities:

**Tribal Government.**—This activity promotes the sovereignty of Federally-recognized Tribes by supporting and assisting them in the development and maintenance of strong and stable governments capable of administering quality programs and developing economies. This activity also provides for the maintenance of Bureau of Indian Affairs (BIA) roads and bridges.

**Human services.**—This activity provides funding for social services, housing improvement, welfare assistance, and Indian child welfare. The objective of this activity is to improve the quality of life for individual Indians who live on or near Indian reservations and to protect children, the elderly, and disabled from abuse and neglect.

**Trust: Natural resources management.**—This activity provides for the management, development, protection, and resilience of Indian trust land and natural resource assets and related treaty rights. Natural resource programs in Indian Country include agriculture, forestry, water, fish, wildlife, and parks.

**Trust: Real estate.**—This activity promotes cooperative efforts with landowners for the optimal utilization, development, and enhancement of trust and restricted Federal Indian-owned lands. The activity includes general real estate services, probate, land title and records, environmental compliance, and other trust services and rights protection.

**Education.**—This activity supports Bureau of Indian Education (BIE) funded elementary and secondary school operations, other education programs for elementary-aged Indian children, tribal post-secondary schools, scholarships and adult education programs, education program management, and operations and facilities maintenance. The BIE-funded schools include 169 elementary and secondary BIE- and tribally-run schools, 14 dormitories, two post-secondary schools, and operating grants to eligible tribal colleges and universities.

**Public safety and justice.**—This activity funds law enforcement activities in Indian Country in over 25 States. Programs under this activity include investigative, police, and detention services; tribal courts; fire protection; and facilities maintenance.

**Community and economic development.**—This activity promotes the economic vitality of American Indians and Alaska Natives through Job Placement and Training, Economic Development, and Community Development. This activity also provides assistance for renewable and conventional energy and hard mineral development for the economic benefit of the Tribes and individual Indian allottees.

**Executive direction and administrative services.**—This activity supports the management of BIA's and BIE's finance, budget, acquisition, and property functions, as well as information technology resources, personnel services, facilities management, payment of GSA and direct rentals, and intra-governmental payments. Significant portions of Indian Affairs activities are executed under contracts or compacts with Federally-recognized Tribes to run tribal and Federal programs. Funding also supports BIA or BIE oversight and technical assistance for these activities in central and regional offices.

Object Classification (in millions of dollars)

Identification code 014–2100–0–1–999	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	212	212	212
11.3 Other than full-time permanent .....	115	115	115
11.5 Other personnel compensation .....	26	26	26
11.9 Total personnel compensation .....	353	353	353
12.1 Civilian personnel benefits .....	114	114	114
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	14	14	14
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	20	20	20
23.2 Rental payments to others .....	13	13	13
23.3 Communications, utilities, and miscellaneous charges .....	34	34	34
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	1,173	1,003	1,003
25.3 Other goods and services from Federal sources .....	101	101	101
25.4 Operation and maintenance of facilities .....	15	15	15
25.5 ADP Contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	12	12	12
26.0 Supplies and materials .....	29	28	32
31.0 Equipment .....	23	22	23
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	551	500	530
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,462	2,239	2,274
99.0 Reimbursable obligations .....	268	326	326
99.9 Total new obligations .....	2,730	2,565	2,600

Employment Summary

Identification code 014–2100–0–1–999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5,435	5,463	5,748
2001 Reimbursable civilian full-time equivalent employment .....	594	595	595

3001	Allocation account civilian full-time equivalent employment .....	425	423	423
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99.9	Total new obligations .....	231	278
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**CONTRACT SUPPORT COSTS**

For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Bureau of Indian Affairs for fiscal year [2016] 2017, such sums as may be necessary, which shall be available for obligation through September 30, [2017] 2018: *Provided*, That amounts obligated but not expended by a tribe or tribal organization for contract support costs for such agreements for the current fiscal year shall be applied to contract support costs otherwise due for such agreements for subsequent fiscal years: *Provided further*, That, notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016*.)

**Program and Financing** (in millions of dollars)

Identification code 014–2240–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0007 Tribal Government .....		231	278
0100 Direct program activities, subtotal .....		231	278
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			46
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		277	278
1930 Total budgetary resources available .....		277	324
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		46	46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		231	278
3020 Outlays (gross) .....		-231	-267
3050 Unpaid obligations, end of year .....			11
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		277	278
Outlays, gross:			
4010 Outlays from new discretionary authority .....		231	232
4011 Outlays from discretionary balances .....			35
4020 Outlays, gross (total) .....		231	267
4180 Budget authority, net (total) .....		277	278
4190 Outlays, net (total) .....		231	267

The Bureau of Indian Affairs Contract Support Costs (CSC) account supports Federal government payments to tribes for the administrative costs associated with executing tribal self-determination contracts and compacts under the Indian Self-Determination and Education Assistance Act (ISDEAA), P.L. 93–638. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports the costs associated with executing or administering new and/or expanded self-determination contracts.

**Object Classification** (in millions of dollars)

Identification code 014–2240–0–1–999	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		223	263
41.0 Grants, subsidies, and contributions .....		8	15

**CONSTRUCTION**

(INCLUDING TRANSFER OF FUNDS)

For construction, repair, improvement, and maintenance of irrigation and power systems, buildings, utilities, and other facilities, including architectural and engineering services by contract; acquisition of lands, and interests in lands; and preparation of lands for farming, and for construction of the Navajo Indian Irrigation Project pursuant to Public Law 87–483, [\$193,973,000] \$197,017,000, to remain available until expended: *Provided*, That such amounts as may be available for the construction of the Navajo Indian Irrigation Project may be transferred to the Bureau of Reclamation: *Provided further*, That not to exceed 6 percent of contract authority available to the Bureau of Indian Affairs from the Federal Highway Trust Fund may be used to cover the road program management costs of the Bureau: *Provided further*, That any funds provided for the Safety of Dams program pursuant to 25 U.S.C. 13 shall be made available on a nonreimbursable basis: *Provided further*, That for fiscal year [2016] 2017, in implementing new construction, replacement facilities construction, or facilities improvement and repair project grants in excess of \$100,000 that are provided to grant schools under Public Law 100–297, *as amended*, the Secretary of the Interior shall use the Administrative and Audit Requirements and Cost Principles for Assistance Programs contained in 43 CFR part 12 as the regulatory requirements: *Provided further*, That such grants shall not be subject to section 12.61 of 43 CFR; the Secretary and the grantee shall negotiate and determine a schedule of payments for the work to be performed: *Provided further*, That in considering grant applications, the Secretary shall consider whether such grantee would be deficient in assuring that the construction projects conform to applicable building standards and codes and Federal, tribal, or State health and safety standards as required by 25 U.S.C. 2005(b), with respect to organizational and financial management capabilities: *Provided further*, That if the Secretary declines a grant application, the Secretary shall follow the requirements contained in 25 U.S.C. 2504(f): *Provided further*, That any disputes between the Secretary and any grantee concerning a grant shall be subject to the disputes provision in 25 U.S.C. 2507(e): *Provided further*, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, within 18 months of the date of enactment of this Act, any grantee receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction: *Provided further*, That this appropriation may be reimbursed from the Office of the Special Trustee for American Indians appropriation for the appropriate share of construction costs for space expansion needed in agency offices to meet trust reform implementation. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016*.)

**Program and Financing** (in millions of dollars)

Identification code 014–2301–0–1–452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Education construction .....	73	85	85
0002 Public safety and justice construction .....	5	10	10
0003 Resource management construction .....	24	27	27
0004 Other Program Construction .....	8	8	8
0005 BOR Allocation Account .....	2	2	2
0799 Total direct obligations .....	112	132	132
0807 Construction (Reimbursable) .....	8	7	7
0900 Total new obligations .....	120	139	139
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	63	79	166
1021 Recoveries of prior year unpaid obligations .....	5	24	24
1050 Unobligated balance (total) .....	68	103	190
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	129	194	197
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	8	8
1900 Budget authority (total) .....	131	202	205
1930 Total budgetary resources available .....	199	305	395
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	79	166	256

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 014-2301-0-1-452	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	84	61	63
3010	120	139	139
3020	-137	-113	-151
3040	-5	-24	-24
3041	-1		
3050	61	63	27
Memorandum (non-add) entries:			
3100	84	61	63
3200	61	63	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	131	202	205
Outlays, gross:			
4010	64	53	53
4011	73	60	98
4020	137	113	151
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030		-8	-8
4033	-2		
4040	-2	-8	-8
4070	129	194	197
4080	135	105	143
4180	129	194	197
4190	135	105	143

*Education construction.*—This activity provides for the planning, design, construction, and rehabilitation of Bureau of Indian Education-funded school facilities.

*Public safety and justice construction.*—This activity provides for the planning, design, improvement, repair, and construction of detention center facilities on Indian lands.

*Resources management construction.*—This activity provides for the construction, extension, and rehabilitation of irrigation projects, dams, and related power systems on Indian reservations.

*Other program construction.*—This activity provides for the improvement and repair of Indian Affairs' non-education facilities, the telecommunications system, the facilities management information system, and construction program management.

Object Classification (in millions of dollars)

Identification code 014-2301-0-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1	6	6	6
11.3	1	1	1
11.5	1	1	1
11.9	8	8	8
12.1	2	2	2
21.0	1	2	2
23.3	1	2	2
25.1	3	5	5
25.2	48	53	53
25.3	1	1	1
25.4	13	17	17
25.7	3	3	3
26.0	1	1	1
31.0	2	5	5
32.0	5	7	7
41.0	24	26	26
99.0	112	132	132
99.0	8	7	7
99.9	120	139	139

Employment Summary

Identification code 014-2301-0-1-452	2015 actual	2016 est.	2017 est.
1001	62	77	78
2001	15	15	15
3001	249	250	250

WHITE EARTH SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-2204-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	1	3	3
0900	1	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	1	2	2
Budget authority:			
Appropriations, mandatory:			
1200	2	3	3
1930	3	5	5
Memorandum (non-add) entries:			
1941	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	1	3	3
3020	-1	-3	-3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	2	3	3
Outlays, gross:			
4100	1	3	3
4180	2	3	3
4190	1	3	3

The White Earth Reservation Land Settlement Act of 1985 (P.L. 99-264) authorizes the payment of funds to eligible allottees or heirs of the White Earth Reservation in Minnesota, as determined by the Secretary of the Interior. The payment of funds shall be treated as the final judgment, award, or compromise settlement under the provisions of Title 31, United States Code, section 1304.

INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS PAYMENTS TO INDIANS

For payments and necessary administrative expenses for implementation of Indian land and water claim settlements pursuant to Public Laws 99-264, 100-580, 101-618, 111-11, and 111-291, and for implementation of other land and water rights settlements, **[\$49,475,000]** \$55,155,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-2303-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	1	1	1
0025	4	4	4
0027		13	13
0028	1		
0033	15	29	15
0034	6	6	25
0900	27	53	58
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	15	24	20
Budget authority:			
Appropriations, discretionary:			
1100	36	49	55

1930	Total budgetary resources available .....	51	73	75
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	24	20	17
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			4
3010	Obligations incurred, unexpired accounts .....	27	53	58
3020	Outlays (gross) .....	-27	-49	-55
3050	Unpaid obligations, end of year .....		4	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			4
3200	Obligated balance, end of year .....		4	7
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	36	49	55
Outlays, gross:				
4010	Outlays from new discretionary authority .....	27	49	55
4180	Budget authority, net (total) .....	36	49	55
4190	Outlays, net (total) .....	27	49	55

This account covers expenses associated with the following activities.

**Land settlements:**

*White Earth Reservation Land Settlement Act (PL 99-264).*—Funds are used to investigate and verify questionable transfers of land by which individual Indian allottees, or their heirs, were divested of ownership and to achieve the payment of compensation to said allottees or heirs in accordance with the Act. A major portion of work is contracted under P.L. 93-638, as amended, to the White Earth Reservation Business Committee.

*Yurok Land Acquisition (PL 100-580).*—The Act provides for the Secretary to acquire from willing sellers lands or interests in land, including rights-of-way for access to trust lands, for the Yurok Tribe or its members and such lands may be declared to be part of the Yurok reservation.

**Water settlements:**

*Navajo-Gallup Water Supply Project (PL 111-11).*—Funds will be used for the San Juan Conjunctive Use Wells and the San Juan Navajo Irrigation Project Rehabilitation as authorized by law.

*Navajo Nation Water Resources Development Trust Fund (PL 111-11).*—The Navajo Nation may use the amounts in the Trust Fund to investigate, construct, operate, maintain, or replace water project facilities, including facilities conveyed to the Nation and facilities owned by the United States for which the Nation is responsible for operation, maintenance, and replacement costs; and to investigate, implement, or improve a water conservation measure (including a metering or monitoring activity) necessary for the Nation to make use of a water right of the Nation under the Agreement.

*Taos Pueblo Indian Water Rights (PL 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Aamodt Litigation Settlement (PL 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

Under the reporting threshold:

*Hoopa-Yurok Settlement Act (PL 100-580).*—The Act provides for the settlement of claims regarding reservation lands between the Hoopa Valley Tribe and the Yurok Indians in northern California. Funds will be used for the settlement as authorized by law and for administrative expenses related to implementing the settlement.

*Truckee-Carson-Pyramid Lake Water Settlement Act (PL 101-618).*—The Act provides for the settlement of claims of the Pyramid Lake Paiute Tribe (NV). Funds will be used to provide payments to the Truckee-Carson Irrigation District for services provided to implement the settlement.

Object Classification (in millions of dollars)				
Identification code 014-2303-0-1-452	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	2	5	5

41.0	Grants, subsidies, and contributions .....	25	48	53
99.9	Total new obligations .....	27	53	58

**Employment Summary**

Identification code 014-2303-0-1-452	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	1	1	1

**INDIAN LAND CONSOLIDATION**

**Program and Financing (in millions of dollars)**

Identification code 014-2103-0-1-452	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Indian Land Consolidation (Reimbursable) .....		4	4
0900	Total new obligations (object class 32.0) .....		4	4

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	9	13	13
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	4	4
1900	Budget authority (total) .....	4	4	4
1930	Total budgetary resources available .....	13	17	17
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	13	13	13

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....		4	4
3020	Outlays (gross) .....		-4	-4

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....		4	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-4	-4
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) ...	-4	-4	-4
4080	Outlays, net (discretionary) .....	-4		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-4		

This appropriation funds a program to consolidate fractional interests in Indian lands and assist land owners with estate planning. Funds are used to purchase small interests in parcels of lands from willing individual Indian landowners and convey those interests to the tribe on whose reservation the land is located. Consolidation of these interests is expected to reduce the Government's costs for managing Indian lands and promote economic opportunity on these lands. This program is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106-462), the American Indian Probate Reform Act of 2004 (P.L. 108-374), and other authorities. No funding is requested in 2017 in this account. Implementation of the Individual Indian Money Account Litigation Settlement (P.L. 111-291, Title I) to address fractionation is in a separate account in the Office of the Secretary.

**INDIAN WATER RIGHTS AND HABITAT ACQUISITION PROGRAM**

**Program and Financing (in millions of dollars)**

Identification code 014-5505-0-2-303	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	3
1930	Total budgetary resources available .....	3	3	3

INDIAN WATER RIGHTS AND HABITAT ACQUISITION PROGRAM—Continued  
Program and Financing—Continued

Identification code 014-5505-0-2-303	2015 actual	2016 est.	2017 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Funds were requested in 2003 for the settlement of the water claims of the Shivwits Band of the Paiute Indian Tribe of Utah. P.L. 106-263 specifies the use of the Land and Water Conservation Fund for the implementation of the water rights and habitat acquisition program.

## OPERATION AND MAINTENANCE OF QUARTERS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5051-0-2-452	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, Bureau of Indian Affairs .....	5	6	6
2000 Total: Balances and receipts .....	5	6	6
Appropriations:			
Current law:			
2101 Operation and Maintenance of Quarters .....	-5	-6	-5
5099 Balance, end of year .....			1

## Program and Financing (in millions of dollars)

Identification code 014-5051-0-2-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations and maintenance .....	5	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5	6	5
1930 Total budgetary resources available .....	11	12	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	5

## Change in obligated balance:

Identification code 014-5051-0-2-452	2015 actual	2016 est.	2017 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	5	6	6
3020 Outlays (gross) .....	-5	-6	-5
3050 Unpaid obligations, end of year .....	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	2

## Budget authority and outlays, net:

Identification code 014-5051-0-2-452	2015 actual	2016 est.	2017 est.
Mandatory:			
4090 Budget authority, gross .....	5	6	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	6	5
4101 Outlays from mandatory balances .....	2		
4110 Outlays, gross (total) .....	5	6	5
4180 Budget authority, net (total) .....	5	6	5
4190 Outlays, net (total) .....	5	6	5

P.L. 88-459 (Federal Employees Quarters and Facilities Act of 1964) is the basic authority under which the Secretary utilizes funds from the rental of quarters to defray the costs of operation and maintenance incidental to the employee quarters program. P.L. 98-473 established a special fund, to remain available until expended, for the operation and maintenance of quarters.

## Object Classification (in millions of dollars)

Identification code 014-5051-0-2-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....		1	1
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	5	6	6
99.9 Total new obligations .....	5	6	6

## Employment Summary

Identification code 014-5051-0-2-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	42	42	42

## MISCELLANEOUS PERMANENT APPROPRIATIONS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9925-0-2-452	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	2	
Receipts:			
Current law:			
1130 Deposits, Operation and Maintenance, Indian Irrigation Systems .....	39	34	35
1130 Alaska Resupply Program .....		3	3
1130 Power Revenues, Indian Irrigation Projects .....	73	74	76
1199 Total current law receipts .....	112	111	114
1999 Total receipts .....	112	111	114
2000 Total: Balances and receipts .....	114	113	114
Appropriations:			
Current law:			
2101 Miscellaneous Permanent Appropriations .....	-112	-113	-113
2103 Miscellaneous Permanent Appropriations .....		-1	-1
2132 Miscellaneous Permanent Appropriations .....		1	
2199 Total current law appropriations .....	-112	-113	-114
2999 Total appropriations .....	-112	-113	-114
5099 Balance, end of year .....	2		

## Program and Financing (in millions of dollars)

Identification code 014-9925-0-2-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Operation and maintenance, Indian irrigation systems .....	36	36	36
0003 Power systems, Indian irrigation projects .....	72	73	73
0004 Alaska resupply program .....	1	2	2
0900 Total new obligations .....	109	111	111
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	65	69
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	62	67	71
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	112	113	113
1203 Appropriation (previously unavailable) .....		1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-1	
1260 Appropriations, mandatory (total) .....	112	113	114
1930 Total budgetary resources available .....	174	180	185
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	65	69	74

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	13	13	12
3010	Obligations incurred, unexpired accounts .....	109	111	111
3020	Outlays (gross) .....	-107	-110	-113
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3050	Unpaid obligations, end of year .....	13	12	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	13	13	12
3200	Obligated balance, end of year .....	13	12	8
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	112	113	114
Outlays, gross:				
4100	Outlays from new mandatory authority .....	64	58	58
4101	Outlays from mandatory balances .....	43	52	55
4110	Outlays, gross (total) .....	107	110	113
4180	Budget authority, net (total) .....	112	113	114
4190	Outlays, net (total) .....	107	110	113
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	59		

**Claims and treaty obligations.**—Payments are made to fulfill treaty obligations with the Senecas of New York (Act of February 19, 1831), the Six Nations of New York (Act of November 11, 1794), and the Pawnees of Oklahoma (the treaty of September 24, 1857).

**Operation and maintenance, Indian irrigation systems.**—Revenues derived from charges for operation and maintenance of Indian irrigation projects are used to defray in part the cost of operating and maintaining these projects (25 U.S.C. 162a (The Act of November 4, 1983), 60 Stat. 895, P.L. 98–146).

**Power systems, Indian irrigation projects.**—Revenues collected from the sale of electric power by the Colorado River and Flathead power systems are used to operate and maintain those systems (25 U.S.C. 162a (The Act of November 4, 1983), 60 Stat. 895; 65 Stat. 254, P.L. 98–146). This activity also includes Cochiti Wet Field Solution funds that were transferred from the Corps of Engineers to pay for operation and maintenance, repair, and replacement of the on-going drainage system (P.L. 102–358).

**Alaska resupply program.**—Revenues collected from operation of the Alaska Resupply Program are used to operate and maintain this program (P.L. 77–457, 56 Stat. 95).

<b>Object Classification (in millions of dollars)</b>				
Identification code 014–9925–0–2–452				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	16	16	16
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	18	18	18
12.1	Civilian personnel benefits .....	6	6	6
21.0	Travel and transportation of persons .....	1	1	1
22.0	Transportation of things .....		1	1
23.3	Communications, utilities, and miscellaneous charges .....	14	12	12
25.2	Other services from non-Federal sources .....	48	53	53
25.3	Other goods and services from Federal sources .....	3	3	3
25.4	Operation and maintenance of facilities .....	1	1	1
25.7	Operation and maintenance of equipment .....	3	3	3
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	1	1	1
32.0	Land and structures .....	6	5	5
41.0	Grants, subsidies, and contributions .....	4	2	2
99.9	Total new obligations .....	109	111	111

<b>Employment Summary</b>				
Identification code 014–9925–0–2–452				
1001	Direct civilian full-time equivalent employment .....	279	279	279

INDIAN DIRECT LOAN FINANCING ACCOUNT

<b>Program and Financing (in millions of dollars)</b>				
Identification code 014–4416–0–3–452				
2015 actual				
2016 est.				
2017 est.				
<b>Obligations by program activity:</b>				
Credit program obligations:				
0715	Other (Rounding) .....		1	1
0743	Interest on downward reestimates .....	2		
0900	Total new obligations .....	2	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1		
1050	Unobligated balance (total) .....	1		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1		
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	1	1
1825	Spending authority from offsetting collections applied to repay debt .....	-1		
1850	Spending auth from offsetting collections, mand (total) .....		1	1
1900	Budget authority (total) .....	1	1	1
1930	Total budgetary resources available .....	2	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	Obligations incurred, unexpired accounts .....	2	1	1
3020	Outlays (gross) .....	-1	-1	-1
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Financing disbursements:				
4110	Outlays, gross (total) .....	1	1	1
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Collections of loans .....	-1	-1	-1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

<b>Status of Direct Loans (in millions of dollars)</b>				
Identification code 014–4416–0–3–452				
2015 actual				
2016 est.				
2017 est.				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	2	2	2
1290	Outstanding, end of year .....	2	2	2

<b>Balance Sheet (in millions of dollars)</b>				
Identification code 014–4416–0–3–452				
2014 actual				
2015 actual				
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross .....		2	2
1405	Allowance for subsidy cost (-) .....		2	2
1499	Net present value of assets related to direct loans .....		4	4
1999	Total assets .....		4	4
<b>LIABILITIES:</b>				
2104	Federal liabilities: Resources payable to Treasury .....		4	4
4999	Total liabilities and net position .....		4	4

REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT

Status of Direct Loans (in millions of dollars)

Identification code 014-4409-0-3-452	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1	1	1
1290 Outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 014-4409-0-3-452	2014 actual	2015 actual
ASSETS:		
1601 Direct loans, gross	1	1
1602 Interest receivable	2	2
1603 Allowance for estimated uncollectible loans and interest (-)	-2	-2
1699 Value of assets related to direct loans	1	1
1999 Total assets	1	1
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	1	1
4999 Total liabilities and net position	1	1

INDIAN GUARANTEED LOAN PROGRAM ACCOUNT

For the cost of guaranteed loans and insured loans, **[\$7,748,000]** \$7,757,000, of which **[\$1,062,000]** \$1,071,000 is for administrative expenses, as authorized by the Indian Financing Act of 1974: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed or insured, not to exceed **[\$113,804,510]** \$105,950,674. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-2628-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy	8	7	7
0705 Reestimates of direct loan subsidy		1	
0707 Reestimates of loan guarantee subsidy	2	12	
0708 Interest on reestimates of loan guarantee subsidy	2	1	
0709 Administrative expenses		1	1
0900 Total new obligations	12	22	8

Budgetary resources:

Identification code 014-2628-0-1-452	2015 actual	2016 est.	2017 est.
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	8	8
Appropriations, mandatory:			
1200 Appropriation	4	14	
1900 Budget authority (total)	12	22	8
1930 Total budgetary resources available	12	22	8

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	4	6
3010 Obligations incurred, unexpired accounts	12	22	8
3020 Outlays (gross)	-13	-20	-8
3050 Unpaid obligations, end of year	4	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	4	6
3200 Obligated balance, end of year	4	6	6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority	5	2	2
4011 Outlays from discretionary balances	4	4	6
4020 Outlays, gross (total)	9	6	8
Mandatory:			
4090 Budget authority, gross	4	14	

Outlays, gross:			
4100 Outlays from new mandatory authority	4	14	
4180 Budget authority, net (total)	12	22	8
4190 Outlays, net (total)	13	20	8

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 014-2628-0-1-452	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Indian Direct Loans	-2	1	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian Guaranteed Loans	100	113	105
215002 Indian Insured Loans		1	1
215999 Total loan guarantee levels	100	114	106
Guaranteed loan subsidy (in percent):			
232001 Indian Guaranteed Loans	6.68	5.90	6.31
232002 Indian Insured Loans	3.87	3.28	6.89
232999 Weighted average subsidy rate	6.68	5.88	6.32
Guaranteed loan subsidy budget authority:			
233001 Indian Guaranteed Loans	7	7	7
233999 Total subsidy budget authority	7	7	7
Guaranteed loan subsidy outlays:			
234001 Indian Guaranteed Loans	2	4	4
234002 Indian Insured Loans	6		
234999 Total subsidy outlays	8	4	4
Guaranteed loan reestimates:			
235001 Indian Guaranteed Loans	-25	7	
235999 Total guaranteed loan reestimates	-25	7	
Administrative expense data:			
3510 Budget authority	1	1	1
3590 Outlays from new authority	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with guaranteed and insured loans committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program including improvements to information technology systems. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. Guaranteed and insured loans are targeted to projects with an emphasis on manufacturing, business services, and tourism (hotels, motels, restaurants) providing increased economic development on Indian reservations.

Object Classification (in millions of dollars)

Identification code 014-2628-0-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	11	21	7
99.9 Total new obligations	12	22	8

INDIAN GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-4415-0-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Interest supplement payments	3	2	2
Credit program obligations:			
0711 Default claim payments on principal		2	2
0712 Default claim payments on interest		1	1
0742 Downward reestimate paid to receipt account	21	2	
0743 Interest on downward reestimates	8	3	
0791 Direct program activities, subtotal	29	8	3
0900 Total new obligations	32	10	5

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	64	47	57
1050	Unobligated balance (total) .....	64	47	57
<b>Financing authority:</b>				
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	16	20	7
1801	Change in uncollected payments, Federal sources .....	-1		
1850	Spending auth from offsetting collections, mand (total) .....	15	20	7
1900	Budget authority (total) .....	15	20	7
1930	Total budgetary resources available .....	79	67	64
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	47	57	59

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....			5
3010	Obligations incurred, unexpired accounts .....	32	10	5
3020	Outlays (gross) .....	-32	-5	-5
3050	Unpaid obligations, end of year .....		5	5
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	-4	-3	2
3200	Obligated balance, end of year .....	-3	2	2

**Financing authority and disbursements, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	15	20	7
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	32	5	5
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Payments from program account .....	-12	-17	-4
4122	Interest on uninvested funds .....	-1	-2	-2
4123	Non-Federal sources .....	-3	-1	-1
4130	Offsets against gross budget authority and outlays (total) .....	-16	-20	-7
<b>Additional offsets against financing authority only (total):</b>				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4170	Outlays, net (mandatory) .....	16	-15	-2
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	16	-15	-2

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 014-4415-0-3-452				
		2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Guaranteed loan commitments from current-year authority .....	99	113	106
2150	Total guaranteed loan commitments .....	99	113	106
2199	Guaranteed amount of guaranteed loan commitments .....	63	63	63
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	501	490	479
2231	Disbursements of new guaranteed loans .....	73	73	73
2251	Repayments and prepayments .....	-82	-82	-82
2261	Adjustments: Terminations for default that result in loans receivable .....	-2	-2	-2
2290	Outstanding, end of year .....	490	479	468
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	451	451	451
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	10	10	11
2331	Disbursements for guaranteed loan claims .....	1	2	2
2351	Repayments of loans receivable .....	-1	-1	-1
2361	Write-offs of loans receivable .....			
2390	Outstanding, end of year .....	10	11	12

**Balance Sheet (in millions of dollars)**

Identification code 014-4415-0-3-452			
		2014 actual	2015 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	48	48
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>			
1501	Defaulted guaranteed loans receivable, gross .....	10	10
1502	Interest receivable .....	1	1
1505	Allowance for subsidy cost (-) .....	-9	-9
1599	Net present value of assets related to defaulted guaranteed loans .....	2	2
1901	Other Federal assets: Upward Subsidy Reestimate Receivable .....	3	3
1999	Total assets .....	53	53
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other-Downward Reestimate .....	24	24
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	29	29
2999	Total liabilities .....	53	53
4999	Total liabilities and net position .....	53	53

**INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING ACCOUNT**

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 014-4410-0-3-452				
		2015 actual	2016 est.	2017 est.
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	1	1	1
2351	Repayments of loans receivable .....			
2390	Outstanding, end of year .....	1	1	1

**Balance Sheet (in millions of dollars)**

Identification code 014-4410-0-3-452			
		2014 actual	2015 actual
<b>ASSETS:</b>			
1701	Defaulted guaranteed loans, gross .....	1	1
1702	Interest receivable .....	1	1
1703	Allowance for estimated uncollectible loans and interest (-) .....	-1	-1
1799	Value of assets related to loan guarantees .....	1	1
1999	Total assets .....	1	1

**Trust Funds**

**GIFTS AND DONATIONS, BUREAU OF INDIAN AFFAIRS**

**Program and Financing (in millions of dollars)**

Identification code 014-8361-0-7-501				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Gifts and Donations, Bureau of Indian Affairs (Direct) .....		1	1
0900	Total new obligations (object class 41.0) .....		1	1
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	1
1930	Total budgetary resources available .....	2	2	1
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....			1
3010	Obligations incurred, unexpired accounts .....		1	1
3050	Unpaid obligations, end of year .....		1	2
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....			1
3200	Obligated balance, end of year .....		1	2
4180	Budget authority, net (total) .....			

GIFTS AND DONATIONS, BUREAU OF INDIAN AFFAIRS—Continued  
Program and Financing—Continued

Identification code 014-8361-0-7-501	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)			

*Donations and contributed funds.*—The Secretary of the Interior may accept donations of funds or other property, and may use the donated property in accordance with the terms of the donation in furtherance of any program authorized by other provision of law for the benefit of Indians (25 U.S.C. 451).

ADMINISTRATIVE PROVISIONS

The Bureau of Indian Affairs may carry out the operation of Indian programs by direct expenditure, contracts, cooperative agreements, compacts, and grants, either directly or in cooperation with States and other organizations.

Notwithstanding 25 U.S.C. 15, the Bureau of Indian Affairs may contract for services in support of the management, operation, and maintenance of the Power Division of the San Carlos Irrigation Project.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Affairs for central office oversight and Executive Direction and Administrative Services (except executive direction and administrative services funding for Tribal Priority Allocations, regional offices, and facilities operations and maintenance) shall be available for contracts, grants, compacts, or cooperative agreements with the Bureau of Indian Affairs under the provisions of the Indian Self-Determination Act or the Tribal Self-Governance Act of 1994 (Public Law 103-413).

In the event any tribe returns appropriations made available by this Act to the Bureau of Indian Affairs, this action shall not diminish the Federal Government's trust responsibility to that tribe, or the government-to-government relationship between the United States and that tribe, or that tribe's ability to access future appropriations.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Education, other than the amounts provided herein for assistance to public schools under 25 U.S.C. 452 et seq., shall be available to support the operation of any elementary or secondary school in the State of Alaska.

No funds available to the Bureau of Indian Education shall be used to support expanded grades for any school or dormitory beyond the grade structure in place or approved by the Secretary of the Interior at each school in the Bureau of Indian Education school system as of October 1, 1995, except that the Secretary of the Interior may waive this prohibition to support expansion of up to one additional grade when the Secretary determines such waiver is needed to support accomplishment of the mission of the Bureau of Indian Education. Appropriations made available in this or any prior Act for schools funded by the Bureau shall be available, in accordance with the Bureau's funding formula, only to the schools in the Bureau school system as of September 1, 1996, and to any school or school program that was reinstated in fiscal year 2012. Funds made available under this Act may not be used to establish a charter school at a Bureau-funded school (as that term is defined in section 1141 of the Education Amendments of 1978 (25 U.S.C. 2021)), except that a charter school that is in existence on the date of the enactment of this Act and that has operated at a Bureau-funded school before September 1, 1999, may continue to operate during that period, but only if the charter school pays to the Bureau a pro rata share of funds to reimburse the Bureau for the use of the real and personal property (including buses and vans), the funds of the charter school are kept separate and apart from Bureau funds, and the Bureau does not assume any obligation for charter school programs of the State in which the school is located if the charter school loses such funding. Employees of Bureau-funded schools sharing a campus with a charter school and performing functions related to the charter school's operation and employees of a charter school shall not be treated as Federal employees for purposes of chapter 171 of title 28, United States Code.

Notwithstanding any other provision of law, including section 113 of title I of appendix C of Public Law 106-113, if in fiscal year 2003 or 2004 a grantee received indirect and administrative costs pursuant to a distribution formula based on section 5(f) of Public Law 101-301, the Secretary shall continue to distribute indirect and administrative cost funds to such grantee using the section 5(f) distribution formula.

Funds available under this Act may not be used to establish satellite locations of schools in the Bureau school system as of September 1, 1996, except that the Secretary may waive this prohibition in order for an Indian tribe to provide language and cultural immersion educational programs for non-public schools located within the jurisdictional area of the tribal government which exclusively serve tribal

members, do not include grades beyond those currently served at the existing Bureau-funded school, provide an educational environment with educator presence and academic facilities comparable to the Bureau-funded school, comply with all applicable Tribal, Federal, or State health and safety standards, and the Americans with Disabilities Act, and demonstrate the benefits of establishing operations at a satellite location in lieu of incurring extraordinary costs, such as for transportation or other impacts to students such as those caused by busing students extended distances: *Provided*, That no funds available under this Act may be used to fund operations, maintenance, rehabilitation, construction or other facilities-related costs for such assets that are not owned by the Bureau: *Provided further*, That the term "satellite school" means a school location physically separated from the existing Bureau school by more than 50 miles but that forms part of the existing school in all other respects. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

DEPARTMENTAL OFFICES

Federal Funds

OFFICE OF THE SECRETARY

DEPARTMENTAL OPERATIONS

For necessary expenses for management of the Department of the Interior, including the collection and disbursement of royalties, fees, and other mineral revenue proceeds, and for grants and cooperative agreements, as authorized by law, **[\$721,769,000]** \$278,376,000, to remain available until September 30, **[2017]** 2018; of which not to exceed \$15,000 may be for official reception and representation expenses; and of which up to \$1,000,000 shall be available for workers compensation payments and unemployment compensation payments associated with the orderly closure of the United States Bureau of Mines; and of which **[\$12,618,000]** \$12,643,000 for the Office of Valuation Services is to be derived from the Land and Water Conservation Fund and shall remain available until expended; and of which \$38,300,000 shall remain available until expended for the purpose of mineral revenue management activities: *Provided*, That notwithstanding any other provision of law, \$15,000 under this heading shall be available for refunds of overpayments in connection with certain Indian leases in which the Secretary concurred with the claimed refund due, to pay amounts owed to Indian allottees or tribes, or to correct prior unrecoverable erroneous payments. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014-0102-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0012 Leadership and Administration	126	122	126
0013 Management Services	21	21	22
0014 Office of Natural Resources Revenue	131	123	126
0015 Disaster Relief Appropriations Act, 2013	88	35	14
0016 Payments in Lieu of Taxes		452	
0100 Direct program subtotal	366	753	288
0799 Total direct obligations	366	753	288
0804 Leadership and Administration	47	44	44
0805 Management Services	1	1	1
0806 Office of Natural Resources Revenue	2	2	2
0899 Total reimbursable obligations	50	47	47
0900 Total new obligations	416	800	335
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	183	110	89
1010 Unobligated balance transfer to other accts [014-1611]	-1		
1011 Unobligated balance transfer from other acct [014-1700]	2		
1021 Recoveries of prior year unpaid obligations	11	10	10
1050 Unobligated balance (total)	195	120	99
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	253	709	265
1101 Appropriation (special or trust fund)	12	13	13
1121 Appropriations transferred from other acct [072-1021]	1		
1160 Appropriation, discretionary (total)	266	722	278
Spending authority from offsetting collections, discretionary:			
1700 Collected	48	47	47
1701 Change in uncollected payments, Federal sources	17		

1750	Spending auth from offsetting collections, disc (total) .....	65	47	47
1900	Budget authority (total) .....	331	769	325
1930	Total budgetary resources available .....	526	889	424
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	110	89	89
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	272	316	295
3010	Obligations incurred, unexpired accounts .....	416	800	335
3011	Obligations incurred, expired accounts .....	12		
3020	Outlays (gross) .....	-371	-811	-357
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11	-10	-10
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	316	295	263
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-27	-27
3070	Change in uncollected pymts, Fed sources, unexpired .....	-17		
3090	Uncollected pymts, Fed sources, end of year .....	-27	-27	-27
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	262	289	268
3200	Obligated balance, end of year .....	289	268	236
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	331	769	325
Outlays, gross:				
4010	Outlays from new discretionary authority .....	233	678	233
4011	Outlays from discretionary balances .....	138	133	124
4020	Outlays, gross (total) .....	371	811	357
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-49	-47	-47
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-17		
4052	Offsetting collections credited to expired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	-16		
4070	Budget authority, net (discretionary) .....	266	722	278
4080	Outlays, net (discretionary) .....	322	764	310
4180	Budget authority, net (total) .....	266	722	278
4190	Outlays, net (total) .....	322	764	310

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	266	722	278
Outlays .....	322	764	310
Legislative proposal, subject to PAYGO:			
Budget Authority .....			305
Outlays .....			108
Total:			
Budget Authority .....	266	722	583
Outlays .....	322	764	418

This appropriation supports the functions of the Office of the Secretary of the Interior, including executive-level leadership, policy, guidance, and coordination of the responsibilities carried out by its bureaus and offices. In addition, the appropriation supports programmatic functions carried out by the Office of the Secretary including mineral revenue collections, the Take Pride in America program, the Department's quasi-judicial and appellate responsibilities, and the Office of Valuation Services. The appropriation also provides for workers and unemployment compensation payments for former Bureau of Mines employees.

*Office of Natural Resources Revenue (ONRR).*—The Office of Natural Resources Revenue's mission is to collect, disburse, and verify Federal and Indian energy and other natural resources revenues on behalf of all Americans. Revenues collected by ONRR represent a significant source of non-tax revenue to the Federal Government. The ONRR disburses mineral revenues to States, the Office of the Special Trustee for American Indians, other Federal agencies, and the General Fund of the United States Treasury. Through ONRR, the Administration will continue to implement mineral revenue collection and reporting reforms, including the implementation of the Extractive Industries Transparency Initiative, and the implementation

of recommendations from the Government Accountability Office, the Department's Inspector General, and others.

**Object Classification** (in millions of dollars)

Identification code 014-0102-0-1-306	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	109	115	116
11.3	Other than full-time permanent .....	7	7	7
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	118	124	125
12.1	Civilian personnel benefits .....	36	37	37
21.0	Travel and transportation of persons .....	3	3	3
23.1	Rental payments to GSA .....	25	25	23
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
25.2	Other services from non-Federal sources .....	34	10	10
25.3	Other goods and services from Federal sources .....	57	50	43
25.4	Operation and maintenance of facilities .....	16	5	5
25.7	Operation and maintenance of equipment .....	23	20	20
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	3	3	3
32.0	Land and structures .....	3	3	3
41.0	Grants, subsidies, and contributions .....	42	467	10
99.0	Direct obligations .....	366	753	288
99.0	Reimbursable obligations .....	50	47	47
99.9	Total new obligations .....	416	800	335

**Employment Summary**

Identification code 014-0102-0-1-306	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	1,075	1,161	1,166
2001	Reimbursable civilian full-time equivalent employment .....	244	250	250
3001	Allocation account civilian full-time equivalent employment .....	51	60	60

**SALARIES AND EXPENSES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-0102-4-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0013	Management Services .....		5
0017	Public Lands Centennial Fund .....		100
0018	Coastal Climate Resilience Fund .....		200
0900	Total new obligations .....		305
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		100
1200	Appropriation .....		200
1221	Appropriations transferred from other acct [014-5005] .....		5
1260	Appropriations, mandatory (total) .....		305
1930	Total budgetary resources available .....		305
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		305
3020	Outlays (gross) .....		-108
3050	Unpaid obligations, end of year .....		197
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		197
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		305
Outlays, gross:			
4100	Outlays from new mandatory authority .....		108
4180	Budget authority, net (total) .....		305
4190	Outlays, net (total) .....		108

*Coastal Climate Resilience Fund.*—The Budget proposes a 10-year, \$2 billion Coastal Climate Resilience program, which will provide resources

SALARIES AND EXPENSES—Continued

for at-risk coastal States, local governments, and their communities to prepare for and adapt to climate change. A portion of the program funds would be set aside to cover the unique circumstances some communities face, such as relocation planning expenses for acutely threatened coastal communities.

Object Classification (in millions of dollars)

Identification code 014-0102-4-1-306	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services from non-Federal sources .....			104
41.0 Grants, subsidies, and contributions .....			200
99.9 Total new obligations .....			305

Employment Summary

Identification code 014-0102-4-1-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			13

MINERAL LEASING AND ASSOCIATED PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5003-0-2-999	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	158	128	87
Receipts:			
Current law:			
1130 Receipts from Mineral Leasing, Public Lands .....	1,763	1,281	1,286
2000 Total: Balances and receipts .....	1,921	1,409	1,373
Appropriations:			
Current law:			
2101 Mineral Leasing and Associated Payments .....	-1,763	-1,281	-1,286
2103 Mineral Leasing and Associated Payments .....	-159	-128	-87
2132 Mineral Leasing and Associated Payments .....	129	87	
2199 Total current law appropriations .....	-1,793	-1,322	-1,373
2999 Total appropriations .....	-1,793	-1,322	-1,373
5099 Balance, end of year .....	128	87	

Program and Financing (in millions of dollars)

Identification code 014-5003-0-2-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mineral Leasing and Associated Payments (Direct) .....	1,793	1,281	1,286
0900 Total new obligations (object class 41.0) .....	1,793	1,281	1,286
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			41
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,763	1,281	1,286
1203 Appropriation (previously unavailable) .....	159	128	87
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-129	-87	
1260 Appropriations, mandatory (total) .....	1,793	1,322	1,373
1900 Budget authority (total) .....	1,793	1,322	1,373
1930 Total budgetary resources available .....	1,793	1,322	1,414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		41	128
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,793	1,281	1,286
3020 Outlays (gross) .....	-1,793	-1,281	-1,286
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,793	1,322	1,373

Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,793	1,281	1,286
4180 Budget authority, net (total) .....	1,793	1,322	1,373
4190 Outlays, net (total) .....	1,793	1,281	1,286

Under the Mineral Leasing Act, States receive fifty-percent of Federal revenues generated from mineral production occurring on Federal lands within that State's boundaries. Alaska is the exception, receiving a 90 percent share of receipts from Federal mineral leasing in that State (exclusive of the National Petroleum Reserve-Alaska). To partially cover the costs of administering the Federal mineral leasing program, the Bipartisan Budget Act permanently amended the Mineral Leasing Act (MLA) to deduct two percent from the required payments to States under the Act. These payments are administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

NATIONAL PETROLEUM RESERVE, ALASKA

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5045-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Receipts from Oil and Gas Leases, National Petroleum Reserve in Alaska, MMS .....	3	4	5
2000 Total: Balances and receipts .....	3	4	5
Appropriations:			
Current law:			
2101 National Petroleum Reserve, Alaska .....	-3	-4	-5
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-5045-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Petroleum Reserve, Alaska (Direct) .....	3	4	5
0900 Total new obligations (object class 41.0) .....	3	4	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	4	5
1930 Total budgetary resources available .....	3	4	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	4	5
3020 Outlays (gross) .....	-3	-4	-5

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	4	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	4	5
4180 Budget authority, net (total) .....	3	4	5
4190 Outlays, net (total) .....	3	4	5

Payments to Alaska from oil and gas leasing in the National Petroleum Reserve-Alaska (NPR-A).—P.L. 96-514 requires that 50 percent of all revenues received from oil and gas leasing in the NPR-A be paid to the State of Alaska. These payments are administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

LEASES OF LANDS ACQUIRED FOR FLOOD CONTROL, NAVIGATION, AND ALLIED PURPOSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5248-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	6	7	9

Receipts:				
Current law:				
1130	Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	15	28	30
2000	Total: Balances and receipts .....	21	35	39
Appropriations:				
Current law:				
2101	Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	-15	-28	-30
2132	Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	1	2	.....
2199	Total current law appropriations .....	-14	-26	-30
2999	Total appropriations .....	-14	-26	-30
5099	Balance, end of year .....	7	9	9

**Program and Financing** (in millions of dollars)

Identification code 014-5248-0-2-302				
<b>Obligations by program activity:</b>				
0001	Leases of Lands Acquired for Flood Control, Navigation, and All (Direct) .....	14	26	30
0900	Total new obligations (object class 41.0) .....	14	26	30
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	15	28	30
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-2	.....
1260	Appropriations, mandatory (total) .....	14	26	30
1930	Total budgetary resources available .....	14	26	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	14	26	30
3020	Outlays (gross) .....	-14	-26	-30

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	14	26	30
Outlays, gross:				
4100	Outlays from new mandatory authority .....	14	26	30
4180	Budget authority, net (total) .....	14	26	30
4190	Outlays, net (total) .....	14	26	30

Flood control payments to States are shared according to the Flood Control Act of 1936 (33 U.S.C. 701 et seq.) which provides that 75 percent of revenue collected be shared with the State in which it was collected. These funds are to be expended as the State legislature may prescribe for the benefit of the public schools and roads in the county from which the revenue was collected, or for defraying other expenses of county government. These expenses include public obligations of levee and drainage districts for flood control and drainage improvements. Payments are administered by the Office of Natural Resources Revenue.

**NATIONAL FORESTS FUND, PAYMENT TO STATES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5243-0-2-302				
0100	Balance, start of year .....	1	2	2
Receipts:				
Current law:				
1130	National Forests Fund, Payments to States .....	6	6	6
2000	Total: Balances and receipts .....	7	8	8
Appropriations:				
Current law:				
2101	National Forests Fund, Payment to States .....	-5	-6	-6
5099	Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 014-5243-0-2-302				
<b>Obligations by program activity:</b>				
0001	National Forests Fund, Payment to States (Direct) .....	5	6	6
0900	Total new obligations (object class 41.0) .....	5	6	6
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	5	6	6
1930	Total budgetary resources available .....	5	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	5	6	6
3020	Outlays (gross) .....	-5	-6	-6
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	5	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....	5	6	6
4180	Budget authority, net (total) .....	5	6	6
4190	Outlays, net (total) .....	5	6	6

As of May 23, 1908 (16 U.S.C. 499), 25 percent of the revenues collected from onshore mineral leasing and production on national forest lands have been paid to the State in which the national forest resides. A State's payment is based on national forest acreage. Where a national forest is situated in several States, an individual State payment is proportionate to its area within that particular national forest. These payments are now administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

**GEOHERMAL LEASE REVENUES, PAYMENT TO COUNTIES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5574-0-2-806				
0100	Balance, start of year .....	.....	.....	.....
Receipts:				
Current law:				
1130	Geothermal Lease Revenues, County Share .....	4	4	4
Proposed:				
1230	Geothermal Lease Revenues, County Share .....	.....	.....	-4
1999	Total receipts .....	4	4	.....
2000	Total: Balances and receipts .....	4	4	.....
Appropriations:				
Current law:				
2101	Geothermal Lease Revenues, Payment to Counties .....	-4	-4	-4
Proposed:				
2201	Geothermal Lease Revenues, Payment to Counties .....	.....	.....	4
2999	Total appropriations .....	-4	-4	.....
5099	Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 014-5574-0-2-806				
<b>Obligations by program activity:</b>				
0001	Geothermal Lease Revenues, Payment to Counties (Direct) .....	4	4	4
0900	Total new obligations (object class 41.0) .....	4	4	4
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	4	4	4
1930	Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	4	4	4

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES—Continued  
Program and Financing—Continued

Identification code 014-5574-0-2-806	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-4	-4	-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4	4	4
Outlays .....	4	4	4
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-4
Outlays .....			-4
Total:			
Budget Authority .....	4	4	
Outlays .....	4	4	

The Energy Policy Act of 2005 (P.L. 109-58) amended section 20 of the Geothermal Steam Act of 1970 (30 U.S.C. 1019 et seq.). The amendment provides that for the revenues collected from geothermal leasing, 50 percent of the revenues are to be paid to the State and 25 percent are to be paid to the county in which the leased lands or geothermal resources are located. These payments are administered by the Office of Natural Resources Revenue.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014-5574-4-2-806	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-4
1930 Total budgetary resources available .....			-4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-4

Change in obligated balance:

Unpaid obligations:			
3020 Outlays (gross) .....			4
3050 Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			-4
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-4
4180 Budget authority, net (total) .....			-4
4190 Outlays, net (total) .....			-4

Terminate geothermal payments to counties.—The Budget proposes to repeal the 25 percent geothermal lease revenue sharing payments to counties established by the Energy Policy Act of 2005 (P.L. 109-58) and return to the traditional 50/50 Federal-State revenue sharing arrangement. States have the flexibility to allocate their share of revenue from Federal leases to counties, if appropriate.

STATES SHARE FROM CERTAIN GULF OF MEXICO LEASES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5535-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3	1	3
Receipts:			
Current law:			
1130 Outer Continental Shelf Rentals and Bonuses, State Share from Certain Gulf of Mexico Leases .....		2	187
1130 Outer Continental Shelf Royalties .....			99
1199 Total current law receipts .....		2	286
Proposed:			
1230 Outer Continental Shelf Rentals and Bonuses, State Share from Certain Gulf of Mexico Leases .....			-187
1230 Outer Continental Shelf Royalties .....			-99
1299 Total proposed receipts .....			-286
1999 Total receipts .....		2	
2000 Total: Balances and receipts .....	3	3	3
Appropriations:			
Current law:			
2101 States Share from Certain Gulf of Mexico Leases .....	-2		-2
5099 Balance, end of year .....	1	3	1

Program and Financing (in millions of dollars)

Identification code 014-5535-0-2-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 States Share from Certain Gulf of Mexico Leases (Direct) .....	2		2
0900 Total new obligations (object class 41.0) .....	2		2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2		2
1930 Total budgetary resources available .....	2		2

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2		2
3020 Outlays (gross) .....	-2		-2

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	2		2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2		2
4180 Budget authority, net (total) .....	2		2
4190 Outlays, net (total) .....	2		2

The Gulf of Mexico Energy Security Act of 2006 (GOMESA, P.L. 109-432) provides that 37.5 percent of Outer Continental Shelf revenues from certain leases be distributed to four coastal States (Alabama, Louisiana, Mississippi, and Texas) and their local governments based on a complex allocation formula. These payments are administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

STATES SHARE FROM CERTAIN GULF OF MEXICO LEASES

(Legislative proposal, subject to PAYGO)

Beginning in FY 2018, the Budget proposes to repeal payments to four coastal States (Alabama, Louisiana, Mississippi, and Texas) and their local governments under the Gulf of Mexico Energy Security Act of 2006 (GOMESA, P.L. 109-432). The Budget proposes to redirect roughly half of the savings that result from repealing GOMESA revenue sharing payments to a new \$2 billion Coastal Climate Resilience program, which will provide resources over 10 years for at-risk coastal States, local governments, and their communities to prepare for and adapt to climate change. The remaining GOMESA repeal savings will be directed to the Treasury.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5425-0-2-302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1,328	1,372	1,378
Receipts:			
Current law:			
1140 Interest Earned, Environmental Improvement and Restoration Fund .....	44	6	22
2000 Total: Balances and receipts .....	1,372	1,378	1,400
5099 Balance, end of year .....	1,372	1,378	1,400

Program and Financing (in millions of dollars)

Identification code 014-5425-0-2-302	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,355	1,397	1,399
5001 Total investments, EOY: Federal securities: Par value .....	1,397	1,399	1,417

Title IV of the Department of the Interior and Related Agencies Appropriation Act, 1998 (P.L. 105-83) established the Environmental Improvement and Restoration Fund account. As required by law, 50 percent of the principal and 50 percent of the interest from the Alaska Escrow account are deposited into the Environmental Improvement and Restoration Fund. The law requires that the corpus of the Fund be invested. Twenty percent of the interest earned by the Fund is permanently appropriated to the Department of Commerce, and the unappropriated balance of interest remains in the fund. At this time, no budget authority is requested.

INDIAN EDUCATION SCHOLARSHIP HOLDING FUND

Program and Financing (in millions of dollars)

Identification code 014-2010-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Scholarships .....	25	22	8
0900 Total new obligations (object class 42.0) .....	25	22	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [014-5670] ....	25	22	8
1050 Unobligated balance (total) .....	25	22	8
1930 Total budgetary resources available .....	25	22	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	25	22	8
3020 Outlays (gross) .....	-25	-22	-8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	25	22	8
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	25	22	8

The Individual Indian Money Account Litigation Settlement (P.L. 111-291) established this fund to provide Indian land owners with an additional incentive to sell their fractionated interests, given that the market value associated with highly fractionated interests will be quite low in many cases. Not more than \$60 million may be transferred from the Trust Land Consolidation Fund to this fund for higher education scholarships for American Indians and Alaska Natives to be administered as described in the settlement agreement.

TRUST LAND CONSOLIDATION FUND

Program and Financing (in millions of dollars)

Identification code 014-5670-0-2-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Land Purchases .....	714	720	431
0003 Administration .....	28	13	16
0900 Total new obligations .....	742	733	447
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	731	757	102
1010 Unobligated balance transfer to other accts [014-2010] .....	-25	-22	-8
1021 Recoveries of prior year unpaid obligations .....	793	100	353
1050 Unobligated balance (total) .....	1,499	835	447
1930 Total budgetary resources available .....	1,499	835	447
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	757	102	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,003	390	674
3010 Obligations incurred, unexpired accounts .....	742	733	447
3020 Outlays (gross) .....	-562	-349	-322
3040 Recoveries of prior year unpaid obligations, unexpired .....	-793	-100	-353
3050 Unpaid obligations, end of year .....	390	674	446
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,003	390	674
3200 Obligated balance, end of year .....	390	674	446
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	562	349	322
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	562	349	322

The Individual Indian Money Account Litigation Settlement (P.L. 111-291) established a new trust land consolidation fund for the buy-back and consolidation of fractionated interests in parcels of land from individual Indian landowners. The fund also covers administrative costs to undertake the process of acquiring fractionated interests and associated trust reform activities not to exceed 15 percent of the fund. The acquisition of fractionated interests is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106-462), and the American Indian Probate Reform Act of 2004 (P.L. 108-374). The Settlement provides additional authority for the acquisition of interests held by persons who cannot be located after engaging in extensive efforts to notify them and locate them for a five-year period. The Settlement was finalized on November 24, 2012 and in accordance with the terms of the legislation, these funds remain available for ten years from the date of the Settlement.

Object Classification (in millions of dollars)

Identification code 014-5670-0-2-452	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	736	727	441
41.0 Grants, subsidies, and contributions .....	4	4	4
99.9 Total new obligations .....	742	733	447

Employment Summary

Identification code 014-5670-0-2-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

LAND AND WATER CONSERVATION FUND

【(RESCISSION)】(CANCELLATION)

The contract authority provided for fiscal year 【2016】 2017 by section 200308 of title 54, United States Code, is 【rescinded】 hereby permanently cancelled. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5005-0-2-303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	19,732	20,103	20,560
0198 Unappropriated receipt adjustment .....	-222		
0199 Balance, start of year .....	19,510	20,103	20,560
Receipts:			
Current law:			
1110 Land and Water Conservation Fund, Motorboat Fuels Tax .....	1	1	1
1130 Land and Water Conservation Fund, Surplus Property Sales .....	-1		
1130 Outer Continental Shelf Royalties, LWCF Share from Certain Gulf of Mexico Leases .....			33
1130 Land and Water Conservation Fund, Rent Receipts, Outer Continental Shelf Lands .....	888	313	169
1130 Land and Water Conservation Fund, Royalty Receipts, Outer Continental Shelf .....		587	636
1130 Outer Continental Shelf Rents and Bonuses, LWCF Share from Certain Gulf of Mexico Leases .....		1	62
1130 Land and Water Conservation Fund, Surplus Property Sales .....	11	6	6
1199 Total current law receipts .....	899	908	907
1999 Total receipts .....	899	908	907
2000 Total: Balances and receipts .....	20,409	21,011	21,467
Appropriations:			
Current law:			
2101 State and Private Forestry .....	-53	-62	-62
2101 Land Acquisition .....	-47	-63	-66
2101 Land Acquisition .....	-20	-39	-44
2101 Land Acquisition .....	-48	-69	-59
2101 Cooperative Endangered Species Conservation Fund .....	-23	-31	-53
2101 Land Acquisition and State Assistance .....	-99	-174	-178
2101 Land Acquisition and State Assistance .....	-1		-1
2101 Salaries and Expenses .....	-12	-13	-13
2199 Total current law appropriations .....	-303	-451	-476
Proposed:			
2201 Land and Water Conservation Fund .....			-425
2999 Total appropriations .....	-303	-451	-901
5098 Rounding adjustment .....	-3		
5099 Balance, end of year .....	20,103	20,560	20,566

LAND AND WATER CONSERVATION FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014-5005-4-2-303	2015 actual	2016 est.	2017 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			425
1220 Appropriations transferred to other acct [014-5033] .....			-45
1220 Appropriations transferred to other acct [014-1031] .....			-30
1220 Appropriations transferred to other acct [014-5035] .....			-111
1220 Appropriations transferred to other acct [014-5143] .....			-55
1220 Appropriations transferred to other acct [014-0102] .....			-5
1220 Appropriations transferred to other acct [014-5020] .....			-79
1220 Appropriations transferred to other acct [012-9923] .....			-62
1220 Appropriations transferred to other acct [012-1105] .....			-38
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

ADMINISTRATIVE PROVISIONS

For fiscal year 【2016】 2017, up to \$400,000 of the payments authorized by the Act of October 20, 1976 (31 U.S.C. 6901-6907) may be retained for administrative

expenses of the Payments in Lieu of Taxes Program: *Provided*, That no payment shall be made pursuant to that Act to otherwise eligible units of local government if the computed amount of the payment is less than \$100: *Provided further*, That the Secretary may reduce the payment authorized by 31 U.S.C. 6901-6907 for an individual county by the amount necessary to correct prior year overpayments to that county: *Provided further*, That the amount needed to correct a prior year underpayment to an individual county shall be paid from any reductions for overpayments to other counties and the amount necessary to cover any remaining underpayment is hereby appropriated and shall be paid to individual counties: *Provided further*, That of the total amount made available by this title for "Office of the Secretary—Departmental Operations", \$452,000,000 shall be available to the Secretary of the Interior for an additional amount for fiscal year 2016 for payments in lieu of taxes under chapter 69 of title 31, United States Code. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

The Office of the Secretary provides for the administration of the Payments in Lieu of Taxes program, which makes payments to counties and other units of local government for lands within their boundaries that are administered by the Bureau of Land Management, U.S. Forest Service, the National Park Service, the Fish and Wildlife Service, and certain other agencies.

INSULAR AFFAIRS

The Secretary of the Interior is charged with the responsibility of promoting the economic and political development of those insular areas which are under U.S. jurisdiction and within the responsibility of the Department of the Interior. The Secretary originates and implements Federal policy for the U.S. territories; guides and coordinates certain operating programs and construction projects; provides information services and technical assistance; coordinates certain Federal programs and services provided to the freely associated states, and participates in foreign policy and defense matters concerning the U.S. territories and the freely associated states.

Federal Funds

TRUST TERRITORY OF THE PACIFIC ISLANDS

Until October 1, 1994, the United States exercised jurisdiction over the Trust Territory of the Pacific Islands according to the terms of the 1947 Trusteeship Agreement between the United States and the Security Council of the United Nations. These responsibilities were carried out by the Department of the Interior.

The Department of the Interior is seeking no additional appropriations for the Trust Territory of the Pacific Islands. Compacts of Free Association have been implemented with the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Remaining funds in the Trust Territory of the Pacific Islands account are being used to improve basic economic information and financial management capabilities in the insular areas; address compact impact related issues; and also for brown tree snake control.

COMPACT OF FREE ASSOCIATION

For grants and necessary expenses, \$3,318,000, to remain available until expended, as provided for in sections 221(a)(2) and 233 of the Compact of Free Association for the Republic of Palau; and section 221(a)(2) of the Compacts of Free Association for the Government of the Republic of the Marshall Islands and the Federated States of Micronesia, as authorized by Public Law 99-658 and Public Law 108-188. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 014-0415-0-1-808	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Federal services assistance .....	3	3	3
0002 Enewetak .....	1	1	1
0091 Direct program activities, subtotal .....	4	4	4

0101	Palau Compact Extension, mandatory .....	13	13	.....
0192	Subtotal .....	17	17	4
0201	Assistance to the Marshall Islands .....	68	75	76
0202	Assistance to the Federated States of Micronesia .....	90	109	110
0204	Compact Impact .....	41	30	30
0205	Judicial Training/FEMA .....	1	.....	.....
0291	Subtotal, permanent indefinite .....	200	214	216
0799	Total direct obligations .....	217	231	220
0801	Compact of Free Association (Reimbursable) .....	17	17	17
0900	Total new obligations .....	234	248	237

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	155	180	192
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	.....	180	.....
1021	Recoveries of prior year unpaid obligations .....	13	13	13
1050	Unobligated balance (total) .....	168	193	205
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	3	3	3
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	226	227	217
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	17	17	17
1900	Budget authority (total) .....	246	247	237
1930	Total budgetary resources available .....	414	440	442
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	180	192	205

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	100	81	69
3010	Obligations incurred, unexpired accounts .....	234	248	237
3011	Obligations incurred, expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-240	-247	-239
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13	-13	-13
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	81	69	54
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	100	81	69
3200	Obligated balance, end of year .....	81	69	54

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	20	20	20
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	3	5	5
4011	Outlays from discretionary balances .....	17	16	16
4020	Outlays, gross (total) .....	20	21	21
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-16	-17	-17
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-17	-17	-17
4070	Budget authority, net (discretionary) .....	3	3	3
4080	Outlays, net (discretionary) .....	3	4	4
<b>Mandatory:</b>				
4090	Budget authority, gross .....	226	227	217
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	83	193	184
4101	Outlays from mandatory balances .....	137	33	34
4110	Outlays, gross (total) .....	220	226	218
4180	Budget authority, net (total) .....	229	230	220
4190	Outlays, net (total) .....	223	230	222

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	229	230	220
Outlays .....	223	230	222
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	46
Outlays .....	.....	.....	46
<b>Total:</b>			
Budget Authority .....	229	230	266
Outlays .....	223	230	268

The peoples of the Republic of the Marshall Islands, the Federated States of Micronesia and the Republic of Palau approved Compacts of Free Association negotiated by the United States and their governments. The Compact of Free Association Act of 1985 (P.L. 99-239) constituted the necessary authorizing legislation to make annual payments to the Republic of the Marshall Islands and the Federated States of Micronesia. Payments began in 1987 and continued through 2003 when the original economic assistance package expired. The Compact of Free Association Amendments Act of 2003, P.L. 108-188, continues financial assistance to the Federated States of Micronesia and the Republic of the Marshall Islands through 2023. The Compact of Free Association for the Republic of Palau was enacted on November 14, 1986 as P.L. 99-658, and was implemented on October 1, 1994. While the financial assistance provisions under the Compact of Free Association with the Republic of Palau were set to expire on September 30, 2009, subsequent appropriations in 2010 through 2016 have continued financial assistance to Palau at 2009 levels.

**Object Classification (in millions of dollars)**

Identification code 014-0415-0-1-808	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	5	5	5
41.0 Grants, subsidies, and contributions .....	212	226	215
99.0 Direct obligations .....	217	231	220
99.0 Reimbursable obligations .....	17	17	17
99.9 Total new obligations .....	234	248	237

**COMPACT OF FREE ASSOCIATION**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 014-0415-4-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0203 Palau Compact .....	.....	.....	46
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	.....	.....	46
1930 Total budgetary resources available .....	.....	.....	46
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	46
3020 Outlays (gross) .....	.....	.....	-46
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	.....	46
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	.....	46
4180 Budget authority, net (total) .....	.....	.....	46
4190 Outlays, net (total) .....	.....	.....	46

**Object Classification (in millions of dollars)**

Identification code 014-0415-4-1-808	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	.....	.....	2
41.0 Grants, subsidies, and contributions .....	.....	.....	44
99.0 Direct obligations .....	.....	.....	46
99.9 Total new obligations .....	.....	.....	46

## PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE

## Program and Financing (in millions of dollars)

Identification code 014-0418-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Advance payments to Guam of estimated U.S. income tax collections .....	79	79	79
0002 Advance payments to the Virgin Islands of estimated U.S. excise tax collections .....	220	209	209
0900 Total new obligations (object class 41.0) .....	299	288	288
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	299	288	288
1930 Total budgetary resources available .....	299	288	288
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	299	288	288
3020 Outlays (gross) .....	-299	-288	-288
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	299	288	288
Outlays, gross:			
4100 Outlays from new mandatory authority .....	299	288	288
4180 Budget authority, net (total) .....	299	288	288
4190 Outlays, net (total) .....	299	288	288

P.L. 95-348 requires that certain revenues collected by the U.S. Treasury involving Guam and the Virgin Islands (income taxes withheld and excise taxes) be paid prior to the start of the fiscal year of collection. The Budget includes funds for these advance payments.

## ASSISTANCE TO TERRITORIES

For expenses necessary for assistance to territories under the jurisdiction of the Department of the Interior and other jurisdictions identified in section 104(e) of Public Law 108-188, [ \$86,976,000 ] \$99,399,000, of which: (1) [ \$77,528,000 ] \$89,536,000 shall remain available until expended for territorial assistance, including general technical assistance, maintenance assistance, disaster assistance, coral reef initiative activities, and brown tree snake control and research; grants to the judiciary in American Samoa for compensation and expenses, as authorized by law (48 U.S.C. 1661(c)); grants to the Government of American Samoa, in addition to current local revenues, for construction and support of governmental functions; grants to the Government of the Virgin Islands as authorized by law; grants to the Government of Guam, as authorized by law; and grants to the Government of the Northern Mariana Islands as authorized by law (Public Law 94-241; 90 Stat. 272); and (2) [ \$9,448,000 ] \$9,863,000 shall be available until September 30, [ 2017 ] 2018, for salaries and expenses of the Office of Insular Affairs: *Provided*, That all financial transactions of the territorial and local governments herein provided for, including such transactions of all agencies or instrumentalities established or used by such governments, may be audited by the Government Accountability Office, at its discretion, in accordance with chapter 35 of title 31, United States Code: *Provided further*, That Northern Mariana Islands Covenant grant funding shall be provided according to those terms of the Agreement of the Special Representatives on Future United States Financial Assistance for the Northern Mariana Islands approved by Public Law 104-134: *Provided further*, That the funds for the program of operations and maintenance improvement are appropriated to institutionalize routine operations and maintenance improvement of capital infrastructure with territorial participation and cost sharing to be determined by the Secretary based on the grantee's commitment to timely maintenance of its capital assets: *Provided further*, That any appropriation for disaster assistance under this heading in this Act or previous appropriations Acts may be used as non-Federal matching funds for the purpose of hazard mitigation grants provided pursuant to section 404 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170c). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 014-0412-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0009 Office of Insular Affairs .....	10	9	10
0010 Technical assistance .....	16	16	21
0015 Coral reef initiative .....	2	1	2
0017 Maintenance assistance fund .....	1	1	5
0018 American Samoa operations grants .....	23	23	23
0019 Brown Treesnake .....	3	4	3
0021 Empowering Insular Communities .....	3	3	5
0031 Compact Impact Discretionary .....	2	3	3
0091 Direct subtotal, discretionary .....	60	60	72
0101 Covenant grants, mandatory .....	35	28	28
0900 Total new obligations .....	95	88	100
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	14
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	4	.....
1021 Recoveries of prior year unpaid obligations .....	10	8	8
1050 Unobligated balance (total) .....	16	15	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	58	59	72
Appropriations, mandatory:			
1200 Appropriation .....	28	28	28
1900 Budget authority (total) .....	86	87	100
1930 Total budgetary resources available .....	102	102	122
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	14	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	173	166	159
3010 Obligations incurred, unexpired accounts .....	95	88	100
3020 Outlays (gross) .....	-92	-87	-101
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	-8	-8
3050 Unpaid obligations, end of year .....	166	159	150
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	172	165	158
3200 Obligated balance, end of year .....	165	158	149
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	58	59	72
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	38	47
4011 Outlays from discretionary balances .....	21	21	26
4020 Outlays, gross (total) .....	55	59	73
Mandatory:			
4090 Budget authority, gross .....	28	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	1	1
4101 Outlays from mandatory balances .....	37	27	27
4110 Outlays, gross (total) .....	37	28	28
4180 Budget authority, net (total) .....	86	87	100
4190 Outlays, net (total) .....	92	87	101

This appropriation provides support for basic government operations for those territories requiring such support, capital infrastructure improvements, special program and economic development assistance, and technical assistance.

Pursuant to section 118 of P.L. 104-134, the \$27.7 million mandatory covenant grant funding may be allocated to high priority needs in the U.S. territories and freely associated states.

## Object Classification (in millions of dollars)

Identification code 014-0412-0-1-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1

25.2	Other services from non-Federal sources .....	2	2	2
25.3	Other goods and services from Federal sources .....	10	10	10
41.0	Grants, subsidies, and contributions .....	77	70	82
99.9	Total new obligations .....	95	88	100

**Employment Summary**

Identification code 014-0412-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	34	40	42

ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 014-4163-0-3-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	1		1
0900 Total new obligations .....	1		1
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1825 Spending authority from offsetting collections applied to repay debt .....		-1	
1850 Spending auth from offsetting collections, mand (total) .....	1		1
1900 Budget authority (total) .....	1		1
1930 Total budgetary resources available .....	1		1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1		1
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1		1
Financing disbursements:			
4110 Outlays, gross (total) .....	1		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - interest payments fr. Am. Samoa .....	-1	-1	-1
4180 Budget authority, net (total) .....		-1	
4190 Outlays, net (total) .....		-1	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 014-4163-0-3-806	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	14	14	14
1251 Repayments: Repayments .....	-1	-1	-1
1261 Adjustments: Capitalized interest .....	1	1	1
1290 Outstanding, end of year .....	14	14	14

In 2000, the American Samoa Government (ASG) was authorized to borrow \$18.6 million from the U.S. Treasury in order to reduce significant past due debts to vendors. Repayment of the loan is secured and accomplished with funds, as they become due and payable to ASG from the Escrow Account established under the terms and conditions of the Tobacco Master Settlement Agreement. The ASG agreed to significant financial reforms as a prerequisite to receiving the loan proceeds.

**Balance Sheet** (in millions of dollars)

Identification code 014-4163-0-3-806	2014 actual	2015 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	14	14
1405 Allowance for subsidy cost (-) .....	-5	-5
1499 Net present value of assets related to direct loans .....	9	9
1999 Total assets .....	9	9
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	9	9
4999 Total liabilities and net position .....	9	9

**ADMINISTRATIVE PROVISIONS**

(INCLUDING TRANSFER OF FUNDS)

At the request of the Governor of Guam, the Secretary may transfer discretionary funds or mandatory funds provided under section 104(e) of Public Law 108-188 and Public Law 104-134, that are allocated for Guam, to the Secretary of Agriculture for the subsidy cost of direct or guaranteed loans, plus not to exceed three percent of the amount of the subsidy transferred for the cost of loan administration, for the purposes authorized by the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act for construction and repair projects in Guam, and such funds shall remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such loans or loan guarantees may be made without regard to the population of the area, credit elsewhere requirements, and restrictions on the types of eligible entities under the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act: *Provided further*, That any funds transferred to the Secretary of Agriculture shall be in addition to funds otherwise made available to make or guarantee loans under such authorities. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

OFFICE OF THE SOLICITOR

**Federal Funds**

SALARIES AND EXPENSES

For necessary expenses of the Office of the Solicitor, **[\$65,800,000]** *\$69,448,000.* (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014-0107-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	65	66	69
0801 Salaries and Expenses (Reimbursable) .....	13	20	20
0900 Total new obligations .....	78	86	89
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	66	66	69
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	12	20	20
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	13	20	20
1900 Budget authority (total) .....	79	86	89
1930 Total budgetary resources available .....	80	87	90
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	7
3010 Obligations incurred, unexpired accounts .....	78	86	89

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 014-0107-0-1-306	2015 actual	2016 est.	2017 est.
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-78	-86	-89
3050 Unpaid obligations, end of year .....	7	7	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	6	6
3200 Obligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	79	86	89
Outlays, gross:			
4010 Outlays from new discretionary authority .....	72	81	84
4011 Outlays from discretionary balances .....	6	5	5
4020 Outlays, gross (total) .....	78	86	89
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-13	-20	-20
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	66	66	69
4080 Outlays, net (discretionary) .....	65	66	69
4180 Budget authority, net (total) .....	66	66	69
4190 Outlays, net (total) .....	65	66	69

The Office of the Solicitor provides legal advice and counsel to the Secretary, the Secretariat, and all constituent bureaus and offices of the Department of the Interior. All attorneys employed in the Department for the purposes of providing legal services are under the supervision of the Solicitor, except the Justices of American Samoa and the attorneys in the Office of Congressional and Legislative Affairs, Office of Inspector General, and the Office of Hearings and Appeals. Additionally, the Office administers the Department's ethics program and manages Freedom of Information Act appeals. The Office is comprised of the headquarters staff, located in Washington, DC, and 16 regional and field offices.

Object Classification (in millions of dollars)

Identification code 014-0107-0-1-306	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	38	40	42
12.1 Civilian personnel benefits .....	11	12	13
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	4	4
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	9	7	7
99.0 Direct obligations .....	65	66	69
99.0 Reimbursable obligations .....	13	20	20
99.9 Total new obligations .....	78	86	89

Employment Summary

Identification code 014-0107-0-1-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	309	324	344
2001 Reimbursable civilian full-time equivalent employment .....	68	94	94
3001 Allocation account civilian full-time equivalent employment .....	19		

OFFICE OF INSPECTOR GENERAL  
Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General, **[\$50,047,000]** \$55,911,000. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 014-0104-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	50	50	56
0801 Salaries and Expenses (Reimbursable) .....	3	3	3
0900 Total new obligations .....	53	53	59
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	56
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
1900 Budget authority (total) .....	53	53	59
1930 Total budgetary resources available .....	53	53	59
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	11	11
3010 Obligations incurred, unexpired accounts .....	53	53	59
3020 Outlays (gross) .....	-50	-53	-63
3050 Unpaid obligations, end of year .....	11	11	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	11	11
3200 Obligated balance, end of year .....	11	11	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	53	53	59
Outlays, gross:			
4010 Outlays from new discretionary authority .....	48	48	53
4011 Outlays from discretionary balances .....	2	5	10
4020 Outlays, gross (total) .....	50	53	63
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-3	-3
4180 Budget authority, net (total) .....	50	50	56
4190 Outlays, net (total) .....	47	50	60

The mission of the Office of Inspector General is to promote excellence, accountability and integrity in the programs, operations and management of the Department of the Interior. The Office focuses on providing the Secretary and Congress timely and relevant information regarding the Department's most serious management and program challenges, with a special concentration on high-risk areas vulnerable to fraud, waste, and mismanagement. The Office is responsible for independently and objectively identifying risks and vulnerabilities that directly impact, or could impact, the Department's ability to accomplish its mission. The Office is required to keep the Secretary and Congress fully and currently informed about problems and deficiencies relating to the administration of departmental programs and operations. Effective implementation of this mandate addresses the public's demand for greater accountability and integrity in the administration of government programs and operations and the demand for programs that work better, cost less, and get the results Americans care about most.

Object Classification (in millions of dollars)

Identification code 014-0104-0-1-306	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	28	29	30
12.1 Civilian personnel benefits .....	10	10	12
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	2	5
23.2 Rental payments to others .....	1	1	1

25.3	Other goods and services from Federal sources .....	7	7	7
99.0	Direct obligations .....	50	50	56
99.0	Reimbursable obligations .....	3	3	3
99.9	Total new obligations .....	53	53	59

**Employment Summary**

Identification code 014-0104-0-1-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	263	263	283

OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS

**Federal Funds**

FEDERAL TRUST PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For the operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, **[\$139,029,000]** *\$140,379,000*, to remain available until expended, of which not to exceed **[\$22,120,000]** *\$19,632,000* from this or any other Act, may be available for historical accounting: *Provided*, That funds for trust management improvements and litigation support may, as needed, be transferred to or merged with the Bureau of Indian Affairs and Bureau of Indian Education, "Operation of Indian Programs" account; the Office of the Solicitor, "Salaries and Expenses" account; and the Office of the Secretary, "Departmental Operations" account: *Provided further*, That funds made available through contracts or grants obligated during fiscal year **[2016]** *2017*, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 450 et seq.), shall remain available until expended by the contractor or grantee: *Provided further*, That, notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 15 months and has a balance of \$15 or less: *Provided further*, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: *Provided further*, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: *Provided further*, That erroneous payments that are recovered shall be credited to and remain available in this account for this purpose: *Provided further*, That the Secretary shall not be required to reconcile Special Deposit Accounts with a balance of less than \$500 unless the Office of the Special Trustee receives proof of ownership from a Special Deposit Accounts claimant: *Provided further*, That, notwithstanding section 102 of the American Indian Trust Fund Management Reform Act of 1994 (Public Law 103-412) or any other provision of law, the Secretary may aggregate the trust accounts of individuals whose whereabouts are unknown for a continuous period of at least five years and shall not be required to generate periodic statements of performance for the individual accounts: *Provided further*, That, with respect to the eighth proviso, the Secretary shall continue to maintain sufficient records to determine the balance of the individual accounts, including any accrued interest and income, and such funds shall remain available to the individual account holders. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 014-0120-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program operations, support, and improvements .....	140	141	142
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	33	39
1021 Recoveries of prior year unpaid obligations .....	3	2	2
1050 Unobligated balance (total) .....	28	35	41
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	139	139	140
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	6	6
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	6	6	6
1900 Budget authority (total) .....	145	145	146

1930 Total budgetary resources available .....	173	180	187
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	33	39	45

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	42	33
3010 Obligations incurred, unexpired accounts .....	140	141	142
3020 Outlays (gross) .....	-132	-148	-148
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	42	33	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	36	40	31
3200 Obligated balance, end of year .....	40	31	23

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	145	145	146
Outlays, gross:			
4010 Outlays from new discretionary authority .....	99	141	142
4011 Outlays from discretionary balances .....	33	7	6
4020 Outlays, gross (total) .....	132	148	148
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-6	-6
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	139	139	140
4080 Outlays, net (discretionary) .....	127	142	142
4180 Budget authority, net (total) .....	139	139	140
4190 Outlays, net (total) .....	127	142	142

**Executive Direction.**—This activity supports Office of the Special Trustee for American Indians and staff office responsibilities and authorities for Indian trust fund management. Additionally, pursuant to the American Indian Trust Fund Management Reform Act of 1994, the Special Trustee for American Indians oversees Indian trust reform efforts department-wide.

**Program Operations, and Support.**—This activity supports the management and investment of approximately \$4.5 billion held in trust for Indian Tribes and individual Indians. Responsibilities include accurate and timely posting of collections, investment and disbursement of funds, and provision of timely financial information to Indian Tribes and individual Indian money account holders. Resources also support the implementation of trust management reform efforts, including historical trust accounting.

**Object Classification** (in millions of dollars)

Identification code 014-0120-0-1-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	44	44	45
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	45	45	46
12.1 Civilian personnel benefits .....	13	13	13
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	53	54	54
25.3 Other goods and services from Federal sources .....	15	15	15
26.0 Supplies and materials .....	2	2	2
99.0 Direct obligations .....	137	138	139
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	140	141	142

FEDERAL TRUST PROGRAMS—Continued  
**Employment Summary**

Identification code 014-0120-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	576	655	655

TRIBAL SPECIAL FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5265-0-2-452	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Interest on Investments in GSEs, Tribal Special Fund .....	16	35	40
1130 Return of Principal from Private Sector Investments, Tribal Special Fund .....	133	135	137
1130 Miscellaneous Sales of Assets, Tribal Special Fund .....	11	11	11
1140 Earnings on Investment, Tribal Special Fund .....	2	2	2
1198 Rounding adjustment .....	-1		
1199 Total current law receipts .....	161	183	190
1999 Total receipts .....	161	183	190
2000 Total: Balances and receipts .....	161	183	190
Appropriations:			
Current law:			
2101 Tribal Special Fund .....	-161	-183	-190
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014-5265-0-2-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Tribal Special Fund (Direct) .....	178	183	190
0900 Total new obligations (object class 41.0) .....	178	183	190
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	48	31	31
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	161	183	190
1930 Total budgetary resources available .....	209	214	221
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	31	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	178	183	190
3020 Outlays (gross) .....	-178	-183	-190
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	161	183	190
Outlays, gross:			
4100 Outlays from new mandatory authority .....		183	190
4101 Outlays from mandatory balances .....	178		
4110 Outlays, gross (total) .....	178	183	190
4180 Budget authority, net (total) .....	161	183	190
4190 Outlays, net (total) .....	178	183	190
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	49	31	31
5001 Total investments, EOY: Federal securities: Par value .....	31	31	31
5010 Total investments, SOY: non-Fed securities: Market value .....	480	503	501
5011 Total investments, EOY: non-Fed securities: Market value .....	503	501	502

The Tribal Special Fund includes the following accounts: Tribal Economic Recovery Fund which consists of the Three Affiliated Fort Berthold Trust Fund and the Standing Rock Trust Fund, Papago Cooperative Fund, Ute Tribe Trust Fund, Pyramid Lake Indian Reservation Trust Fund, San Luis Rey Water Authority Trust Fund, and Cochiti Wetfields. More detailed

information on specific accounts is provided in the budget justification for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Department of the Treasury pursuant to: 1) general or specific acts of Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to respective tribal groups for various purposes, under various acts of Congress, and may be subject to the provisions of tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

**Trust Funds**

TRIBAL TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-8030-0-7-452	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1		2
Receipts:			
Current law:			
1130 Interest on Investments in GSEs, Tribal Trust Fund .....	4	6	6
1130 Return of Principal from Private Sector Investments, Tribal Trust Fund .....	25	26	27
1199 Total current law receipts .....	29	32	33
1999 Total receipts .....	29	32	33
2000 Total: Balances and receipts .....	30	32	35
Appropriations:			
Current law:			
2101 Tribal Trust Fund .....	-29	-30	-31
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....		2	4

**Program and Financing** (in millions of dollars)

Identification code 014-8030-0-7-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Tribal Trust Fund (Direct) .....	29	30	31
0900 Total new obligations (object class 41.0) .....	29	30	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	29	30	31
1930 Total budgetary resources available .....	38	39	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	29	30	31
3020 Outlays (gross) .....	-29	-30	-31
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	29	30	31
Outlays, gross:			
4100 Outlays from new mandatory authority .....		30	31
4101 Outlays from mandatory balances .....	29		
4110 Outlays, gross (total) .....	29	30	31
4180 Budget authority, net (total) .....	29	30	31
4190 Outlays, net (total) .....	29	30	31
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	9	9	9
5001 Total investments, EOY: Federal securities: Par value .....	9	9	9
5010 Total investments, SOY: non-Fed securities: Market value .....	134	130	130
5011 Total investments, EOY: non-Fed securities: Market value .....	130	130	130

The Tribal Trust Fund includes the following accounts: Funds Contributed for Advancement of the Indian Race, Bequest of George C. Edgeter Fund, Ella M. Franklin Fund, Josephine Lambert Fund, Orrie Shaw Fund, Welmas

Endowment Fund, Arizona Intertribal Trust Fund, Navajo Trust Fund, Ute Mountain Tribal Resource Fund, Chippewa Cree Tribal Trust Fund, Shivwits Band of Paiute Indians Trust Fund, Northern Cheyenne Trust Fund, Crow Creek Sioux Tribe Infrastructure Development Trust Fund, and Lower Brule Infrastructure Fund. More detailed information on specific accounts is provided in the budget justifications for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Department of the Treasury pursuant to: 1) general or specific acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to respective tribal groups for various purposes, under various acts of the Congress, and may be subject to the provisions of tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

NATIONAL INDIAN GAMING COMMISSION

**Federal Funds**

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 014-0118-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Salaries and Expenses (Reimbursable) .....	4	2	2
0900 Total new obligations (object class 25.2) .....	4	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1930 Total budgetary resources available .....	7	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	2	2
3020 Outlays (gross) .....	-4	-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4011 Outlays from discretionary balances .....	3	1	1
4020 Outlays, gross (total) .....	4	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2		

The Indian Gaming Regulatory Act established within the Department of the Interior, the National Indian Gaming Commission. The Commission monitors and regulates gaming activities conducted on Indian lands. The Commission fosters the economic development of Indian Tribes by ensuring the integrity of Indian gaming on Indian lands and ensuring the Tribes are the primary beneficiaries of their gaming revenues. Operating costs of the Commission are financed through annual assessments of gaming operations regulated by the Commission.

NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5141-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	1

0198 Rounding adjustment .....	1		
0199 Balance, start of year .....	2	1	1
Receipts:			
Current law:			
1110 National Indian Gaming Commission, Gaming Activity Fees .....	19	18	17
2000 Total: Balances and receipts .....	21	19	18
Appropriations:			
Current law:			
2101 National Indian Gaming Commission, Gaming Activity Fees .....	-19	-18	-17
2103 National Indian Gaming Commission, Gaming Activity Fees .....	-2	-1	-1
2132 National Indian Gaming Commission, Gaming Activity Fees .....	1	1	
2199 Total current law appropriations .....	-20	-18	-18
2999 Total appropriations .....	-20	-18	-18
5099 Balance, end of year .....	1	1	

**Program and Financing** (in millions of dollars)

Identification code 014-5141-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Indian Gaming Commission, Gaming Activity Fees (Direct) .....	13	18	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	17	17
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	10	17	17
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	19	18	17
1203 Appropriation (previously unavailable) .....	2	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	20	18	18
1930 Total budgetary resources available .....	30	35	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 Obligations incurred, unexpired accounts .....	13	18	18
3020 Outlays (gross) .....	-12	-18	-18
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	20	18	18
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4101 Outlays from mandatory balances .....	9	15	15
4110 Outlays, gross (total) .....	12	18	18
4180 Budget authority, net (total) .....	20	18	18
4190 Outlays, net (total) .....	12	18	18

The Indian Gaming Regulatory Act established within the Department of the Interior, the National Indian Gaming Commission. The Commission monitors and regulates gaming activities conducted on Indian lands. The Commission fosters the economic development of Indian Tribes by ensuring the integrity of Indian gaming on Indian lands and ensuring the Tribes are the primary beneficiaries of their gaming revenues. Operating costs of the Commission are financed through annual assessments of gaming operations regulated by the Commission.

NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES—Continued  
**Object Classification** (in millions of dollars)

Identification code 014-5141-0-2-806	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	11	11
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....		1	1
25.2 Other services from non-Federal sources .....		2	2
99.9 Total new obligations .....	13	18	18

**Employment Summary**

Identification code 014-5141-0-2-806	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	98	121	121

DEPARTMENT-WIDE PROGRAMS

**Federal Funds**

PAYMENTS IN LIEU OF TAXES

**Program and Financing** (in millions of dollars)

Identification code 014-1114-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments in Lieu of Taxes (Direct) .....	405	34	
0900 Total new obligations (object class 41.0) .....	405	34	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriations, discretionary .....	372		
Appropriations, mandatory:			
1200 Appropriation .....	33	37	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-3	
1260 Appropriations, mandatory (total) .....	33	34	
1900 Budget authority (total) .....	405	34	
1930 Total budgetary resources available .....	405	34	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	405	34	
3020 Outlays (gross) .....	-405	-34	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	372		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	372		
Mandatory:			
4090 Budget authority, gross .....	33	34	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	33	34	
4180 Budget authority, net (total) .....	405	34	
4190 Outlays, net (total) .....	405	34	

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	405	34	
Outlays .....	405	34	
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			480
Outlays .....			480
<b>Total:</b>			
Budget Authority .....	405	34	480
Outlays .....	405	34	480

P.L. 94-565 (31 U.S.C. 6901-07), as amended, authorizes payments in lieu of taxes ("PILT payments") to counties and other units of local government for lands within their boundaries administered by the Bureau of Land

Management, the U.S. Forest Service, the National Park Service, the Fish and Wildlife Service, and certain other agencies. The PILT payment formula is based on a number of factors, including the amount of Federal land within an eligible unit of local government, its population, and certain other Federal payments the local government may receive.

Since the inception of the PILT program in 1977 through FY 2007, PILT funding was subject to annual appropriations. The Emergency Economic Stabilization Act of 2008 provided a five-year (FYs 2008-2012) mandatory funding stream for PILT at the full authorization levels calculated using the existing PILT formula. The Moving Ahead for Progress in the 21st Century Act (P.L. 112-141) extended the mandatory authorization through 2013, and the Agricultural Act of 2014 (P.L. 113-79) extended the mandatory authorization through 2014. The Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act for Fiscal Year 2015 and the Consolidated and Further Continuing Appropriations Act (P.L. 113-235) extended PILT payment authority through 2015 with a combination of discretionary and mandatory funds. The Consolidated Appropriations Act of 2016 (P.L. 114-113) provides discretionary PILT funding under the Office of the Secretary, Departmental Operations account to extend payment authority through 2016.

**Employment Summary**

Identification code 014-1114-0-1-806	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2		2

PAYMENTS IN LIEU OF TAXES

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-1114-4-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments in Lieu of Taxes .....			480
0900 Total new obligations (object class 41.0) .....			480
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			480
1930 Total budgetary resources available .....			480
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			480
3020 Outlays (gross) .....			-480
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			480
Outlays, gross:			
4100 Outlays from new mandatory authority .....			480
4180 Budget authority, net (total) .....			480
4190 Outlays, net (total) .....			480

*Payments in Lieu of Taxes Mandatory Funding.* - The 2017 Budget proposes to extend mandatory funding for PILT for one additional year while a sustainable long-term funding solution is developed for the program. The cost of a one-year extension at the current authorized level is estimated to be \$480 million in FY 2017.

CENTRAL HAZARDOUS MATERIALS FUND

For necessary expenses of the Department of the Interior and any of its component offices and bureaus for the response action, including associated activities, performed pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.), **[\$10,010,000]** \$13,513,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014–1121–0–1–304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Remedial action .....	11	13	16
0801 Central Hazardous Materials Fund (Reimbursable) .....	9	11	10
0900 Total new obligations .....	20	24	26
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	23	25
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	25	24	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	14
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8	15	7
1900 Budget authority (total) .....	18	25	21
1930 Total budgetary resources available .....	43	49	47
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	25	21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	19	22
3010 Obligations incurred, unexpired accounts .....	20	24	26
3020 Outlays (gross) .....	-19	-20	-20
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	19	22	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	19	22
3200 Obligated balance, end of year .....	19	22	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	18	25	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	4	4
4011 Outlays from discretionary balances .....	16	16	16
4020 Outlays, gross (total) .....	19	20	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-8	-15	-7
4180 Budget authority, net (total) .....	10	10	14
4190 Outlays, net (total) .....	11	5	13

The Central Hazardous Materials Fund is used to fund remedial investigations/feasibility studies and cleanups of hazardous waste sites for which the Department of the Interior is liable. Authority is provided for amounts recovered from responsible parties to be credited to this account. Thus, the account may be composed of both annual appropriations of no-year funds and of offsetting collections. The Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. Section 9601 *et seq.*) requires responsible parties, including Federal landowners, to investigate and clean up releases of hazardous substances.

**Object Classification** (in millions of dollars)

Identification code 014–1121–0–1–304	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	9	10	12
25.3 Other goods and services from Federal sources .....	2	3	4
99.0 Direct obligations .....	11	13	16
99.0 Reimbursable obligations .....	9	11	10
99.9 Total new obligations .....	20	24	26

**Employment Summary**

Identification code 014–1121–0–1–304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION**

**NATURAL RESOURCE DAMAGE ASSESSMENT FUND**

To conduct natural resource damage assessment, restoration activities, and onshore oil spill preparedness by the Department of the Interior necessary to carry out the provisions of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 *et seq.*), the Federal Water Pollution Control Act (33 U.S.C. 1251 *et seq.*), the Oil Pollution Act of 1990 (33 U.S.C. 2701 *et seq.*), and [Public Law 101–337 (16 U.S.C. 19jj *et seq.*), \$7,767,000] 54 U.S.C. 100721 *et seq.*, \$9,229,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–1618–0–1–302	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	3	2
Receipts:			
Current law:			
1130 Natural Resources Damages from Legal Actions .....	247	100	100
1140 Natural Resources Damages from Legal Actions, EOI .....	2	3	3
1199 Total current law receipts .....	249	103	103
1999 Total receipts .....	249	103	103
2000 Total: Balances and receipts .....	251	106	105
Appropriations:			
Current law:			
2101 Natural Resource Damage Assessment Fund .....	-249	-103	-103
2103 Natural Resource Damage Assessment Fund .....		-1	
2132 Natural Resource Damage Assessment Fund .....	1		
2199 Total current law appropriations .....	-248	-104	-103
2999 Total appropriations .....	-248	-104	-103
5099 Balance, end of year .....	3	2	2

**Program and Financing** (in millions of dollars)

Identification code 014–1618–0–1–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Damage assessments .....	11	10	10
0002 Prince William Sound restoration .....	2	2	2
0003 Other restoration .....	61	66	74
0004 Program management .....	2	3	3
0005 Onshore oil spill preparedness .....	1	1	1
0900 Total new obligations .....	77	82	90
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	545	720	739
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	8	10	
1010 Unobligated balance transfer to other accts [013–4316] .....		-6	-6
1010 Unobligated balance transfer to other accts [012–9921] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	545	715	734
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	9
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	249	103	103
1203 Appropriation (previously unavailable) .....		1	
1220 Appropriations transferred to other accts [013–4316] .....	-4	-6	-6
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1		
1260 Appropriations, mandatory (total) .....	244	98	97
1900 Budget authority (total) .....	252	106	106
1930 Total budgetary resources available .....	797	821	840
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	720	739	750

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	34	23
3010 Obligations incurred, unexpired accounts .....	77	82	90
3020 Outlays (gross) .....	-63	-92	-97
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	34	23	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	34	23

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION—Continued  
Program and Financing—Continued

Identification code 014-1618-0-1-302	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	34	23	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	6	6
4011 Outlays from discretionary balances .....	3	2	2
4020 Outlays, gross (total) .....	6	8	8
Mandatory:			
4090 Budget authority, gross .....	244	98	97
Outlays, gross:			
4100 Outlays from new mandatory authority .....		10	10
4101 Outlays from mandatory balances .....	57	74	79
4110 Outlays, gross (total) .....	57	84	89
4180 Budget authority, net (total) .....	252	106	106
4190 Outlays, net (total) .....	63	92	97
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	497	227	600
5001 Total investments, EOY: Federal securities: Par value .....	227	600	650

Under the Natural Resource Damage Assessment and Restoration Fund (Restoration Fund), natural resource damage assessments are performed in order to provide the basis for claims against responsible parties for the restoration of injured natural resources. Funds are appropriated to conduct damage assessments, provide restoration support, prepare for response to potential inland oil spills, and for program management. In addition, funds will be received for the restoration of damaged resources and other activities and for natural resource damage assessments from responsible parties through cooperative assessment agreements, negotiated settlements, or other legal actions by the Department of the Interior. Responsible parties may also provide in-kind services to restore injured natural resources.

Restoration activities include: 1) the replacement and enhancement of affected resources; 2) acquisition of equivalent resources and services; and, 3) long-term environmental monitoring and research programs directed to the prevention, containment, and amelioration of hazardous substances and oil spill sites.

The Restoration Fund operates as a Department-wide program, incorporating the interdisciplinary expertise of its various bureaus and offices. Natural resource damage assessments and the restoration of injured natural resources are authorized by the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 *et seq.*), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq.*), the Oil Pollution Act of 1990 (33 U.S.C. 2701 *et seq.*), and the Act of July 27, 1990 (16 U.S.C. 19jj *et seq.*). Since 1992, amounts received by the United States from responsible parties for restoration or reimbursement in settlement of natural resource damages may be deposited in the Fund and shall accrue interest.

Object Classification (in millions of dollars)

Identification code 014-1618-0-1-302	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent - Direct .....	2	2	2
11.1 Full-time permanent - Allocation .....	10	10	10
11.9 Total personnel compensation .....	12	12	12
12.1 Civilian personnel benefits - Allocation .....	3	3	3
21.0 Travel and transportation of persons - Allocation .....	1	1	1
25.2 Other services from non-Federal sources - Allocation .....	22	20	25
25.3 Other goods and services from Federal sources - Direct .....	15	8	4
25.3 Other goods and services from Federal sources - Allocation .....	1	2	2
32.0 Land and structures - Allocation .....	1	1	1
41.0 Grants, subsidies, and contributions - Allocation .....	7	10	12
42.0 Insurance claims and indemnities - Direct .....	15	25	30
99.0 Direct obligations .....	77	82	90

99.9 Total new obligations .....	77	82	90
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Employment Summary

Identification code 014-1618-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	13	15	19

EXXON VALDEZ RESTORATION PROGRAM

The Budget reflects the receipts, transfers, and mandatory spending by the Department of the Interior associated with the civil and criminal settlements resulting from the 1989 Exxon Valdez oil spill in the Prince William Sound and surrounding areas. Funding from the settlements, including interest, is provided to Federal and State of Alaska natural resource trustee agencies to restore the natural resources and services damaged by the spill. The Exxon Valdez Oil Spill Trustee Council consists of three State and three Federal trustees who oversee restoration of the injured ecosystem through the use of civil settlement funds. The criminal settlement funds are managed separately by the Federal and Alaska State governments, but are coordinated with the Council.

WILDLAND FIRE MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for fire preparedness, fire suppression operations, fire science and research, emergency rehabilitation, [hazardous] fuels management activities, resilient landscapes activities, and rural fire assistance by the Department of the Interior, [\$816,745,000] \$824,624,000, to remain available until expended, of which not to exceed [\$6,427,000] \$10,000,000 shall be for the renovation or construction of fire facilities: *Provided*, That such funds are also available for repayment of advances to other appropriation accounts from which funds were previously transferred for such purposes: *Provided further*, That of the funds provided \$170,000,000 is for hazardous fuels management activities: *Provided further*, That of the funds provided \$18,970,000 is for burned area rehabilitation: *Provided further*, That of the funds provided, \$276,291,000 is an amount for wildfire suppression operations to meet the terms of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and \$290,000,000 is additional new budget authority for wildfire suppression operations specified for purposes of section 251(b)(2) of such Act: *Provided further*, That persons hired pursuant to 43 U.S.C. 1469 may be furnished subsistence and lodging without cost from funds available from this appropriation: *Provided further*, That notwithstanding 42 U.S.C. 1856d, sums received by a bureau or office of the Department of the Interior for fire protection rendered pursuant to 42 U.S.C. 1856 *et seq.*, protection of United States property, may be credited to the appropriation from which funds were expended to provide that protection, and are available without fiscal year limitation: *Provided further*, That using the amounts designated under this title of this Act, the Secretary of the Interior may enter into procurement contracts, grants, or cooperative agreements, for [hazardous] fuels management and resilient landscapes activities, and for training and monitoring associated with such [hazardous] fuels management and resilient landscapes activities, on Federal land, or on adjacent non-Federal land for activities that benefit resources on Federal land: *Provided further*, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: *Provided further*, That notwithstanding requirements of the Competition in Contracting Act, the Secretary, for purposes of [hazardous] fuels management and resilient landscapes activities, may obtain maximum practicable competition among: (1) local private, nonprofit, or cooperative entities; (2) Youth Conservation Corps crews, Public Lands Corps (Public Law 109-154), or related partnerships with State, local, or nonprofit youth groups; (3) small or micro-businesses; or (4) other entities that will hire or train locally a significant percentage, defined as 50 percent or more, of the project workforce to complete such contracts: *Provided further*, That in implementing this section, the Secretary shall develop written guidance to field units to ensure accountability and consistent application of the authorities provided herein: *Provided further*, That funds appropriated under this heading may be used to reimburse the United States Fish and Wildlife Service and the National Marine Fisheries Service for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 *et seq.*) to consult and conference, as required by section 7 of such Act, in connection with wildland fire management activities:

*Provided further*, That the Secretary of the Interior may use wildland fire appropriations to enter into leases of real property with local governments, at or below fair market value, to construct capitalized improvements for fire facilities on such leased properties, including but not limited to fire guard stations, retardant stations, and other initial attack and fire support facilities, and to make advance payments for any such lease or for construction activity associated with the lease: *Provided further*, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: *Provided further*, That funds provided for wildfire suppression shall be available for support of Federal emergency response actions: *Provided further*, That funds appropriated under this heading shall be available for assistance to or through the Department of State in connection with forest and rangeland research, technical information, and assistance in foreign countries, and, with the concurrence of the Secretary of State, shall be available to support forestry, wildland fire management, and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with United States and international organizations. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014–1125–0–1–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Preparedness (Readiness, Facilities, and Fire Science) .....	328		
0002 Preparedness .....		324	333
0004 Fire suppression operations .....	418	292	276
0006 Fuels Management .....	163	170	149
0007 Resilient Landscapes .....			30
0008 Burned area rehabilitation .....	15	19	21
0009 Facilities Construction and Maintenance .....		6	10
0010 Joint Fire Science .....		6	6
0799 Total direct obligations .....	924	817	825
0801 Fire reimbursable .....	39	39	39
0900 Total new obligations .....	963	856	864
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	96	100	322
1011 Unobligated balance transfer from other acct [014–1127] ...	42	29	
1021 Recoveries of prior year unpaid obligations .....	15	16	17
1050 Unobligated balance (total) .....	153	145	339
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	513	525	549
1100 Appropriation - Fire Suppression .....	292	292	276
1100 Appropriation - FLAME Suppression Cap Adj .....			290
1121 Appropriations transferred from other acct [012–1115] ...	4		
1121 Appropriations transferred from other acct [014–1127] ...	63	177	
1160 Appropriation, discretionary (total) .....	872	994	1,115
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	36	39	39
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	38	39	39
1900 Budget authority (total) .....	910	1,033	1,154
1930 Total budgetary resources available .....	1,063	1,178	1,493
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	100	322	629
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	269	309	278
3010 Obligations incurred, unexpired accounts .....	963	856	864
3020 Outlays (gross) .....	-908	-871	-1,012
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	-16	-17
3050 Unpaid obligations, end of year .....	309	278	113
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	260	298	267
3200 Obligated balance, end of year .....	298	267	102

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	910	1,033	1,154
Outlays, gross:			
4010 Outlays from new discretionary authority .....	647	705	679
4011 Outlays from discretionary balances .....	261	166	333
4020 Outlays, gross (total) .....	908	871	1,012
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-15	-15	-15
4033 Non-Federal sources .....	-21	-24	-24
4040 Offsets against gross budget authority and outlays (total) ...	-36	-39	-39
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	872	994	1,115
4080 Outlays, net (discretionary) .....	872	832	973
4180 Budget authority, net (total) .....	872	994	1,115
4190 Outlays, net (total) .....	872	832	973

The 2017 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, to establish a new budget framework for the Wildland Fire Management program that is designed to provide stable funding for fire suppression, while minimizing the adverse impacts of fire transfers on the budgets of other fire and non-fire programs, as well as reduce fire risk, manage landscapes more comprehensively, and increase the resiliency of public lands and the communities that border them. In this proposed new budget framework, a portion of the funding needed for suppression response is funded within the discretionary spending limits and a portion is funded in an adjustment to those limits. The new framework does not increase overall discretionary spending, as it would reduce the ceiling for the existing disaster relief cap adjustment by an equivalent amount as is provided for wildfire suppression operations. More details are provided in the *Budget Process* chapter in the Analytical Perspectives volume.

**Preparedness.**—Funds the non-emergency and predictable aspects of the Department's wildland fire program, including the initial attack suppression action on wildfires. Preparedness includes readiness, operational planning, oversight, procurement, training, supervision, and deployment of wildland fire suppression personnel and equipment prior to wildland fire occurrence, and rural fire readiness, in which assistance is provided to local cooperators to enhance their capacity to protect remote communities and natural resources. It also includes activities related to program monitoring and evaluation, and integration of fire into land-use planning.

**Suppression Operations.**—Funds the emergency and unpredictable aspects of the Department's wildland fire management program. Suppression operations include the total spectrum of management actions taken on wildland fires in a safe, cost-effective manner, considering public benefits and values to be protected consistent with resource objectives and land management plans. Emergency actions taken during and immediately following a wildfire to stabilize the soil and structures to prevent erosion, floods, landslides, and further resource damage are included in this activity. Emergency stabilization actions may be performed within one year of containment of a fire. In FY 2010 through 2016, funding for the ten-year average of inflation-adjusted suppression obligations is split between the FLAME Wildfire Suppression Reserve Fund and this appropriation. The Budget request proposes an adjustment to the discretionary spending limits as a new approach for responsibly budgeting for wildland fire suppression to minimize the risk of fire transfers and provide more stability and certainty of funding to other programs to invest in critical forest and rangeland management needs. The Budget proposes base level funding of 70 percent of the 10-year average of suppression costs to be funded within the discretionary cap. The proposed cap adjustment would fund the remaining identified need for Suppression. The base level of funds ensures that the cap adjustment is only used for the most severe fire activity since it is one percent of the fires that results in 30 percent of the costs. In FY 2017, 70 percent of the 10-year average is \$276 million. The amount requested in the cap adjustment equals the difference between the total amount of suppression

WILDLAND FIRE MANAGEMENT—Continued

expenditures projected for the fiscal year, based on the Outyear Forecast developed by the U.S. Forest Service's Southern Research Station, and the 70 percent of the 10-year suppression average that is requested within the discretionary budget caps. For 2017, the request for the budget cap adjustment is \$290 million. The DOI and Forest Service wildland fire management programs will continue to strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels.

DOI SUPPRESSION OBLIGATIONS 2006–2015

(dollars in thousands)

Year	Net Nominal Suppression Obligations	Adjusted Obligations (2015=1.00)	Rolling 10-year Average
2006	424,058	497,258	343,607
2007	470,491	538,262	382,610
2008	392,783	434,578	410,644
2009	218,418	240,959	413,296
2010	231,214	251,529	393,040
2011	318,788	339,761	391,332
2012	465,832	486,331	388,378
2013	399,199	410,435	390,615
2014	326,194	330,356	388,544
2015	417,543	417,543	394,701

**Fire Risk Management.**—Is a new program activity proposed in FY 2017. It funds two programs, Fuels Management and Resilient Landscapes, previously included within Other Operations. The Fuels Management program conducts treatments aimed at mitigating risk to communities and their values, including areas in the wildland urban interface. The Resilient Landscapes program will conduct treatments that improve the integrity and resilience of our forests and rangelands. These treatments will be coordinated with and receive support, including bureau matching funds, from resource management programs of the Interior fire bureaus. The Fire Risk Management activity will contribute community adaption to fire and improve the ability to safely and appropriately respond to wildfire. The budgets for the Fire Risk Management activity cover the planning, operational aspects, and monitoring of treatments. Both programs will utilize such treatment methods as prescribed fire, mechanical, chemical, and biological treatments or a combination of methods.

**Other Operations.**—Funds all other aspects of the wildland fire management program, which includes Fire Facilities Construction and Maintenance, Burned Area Rehabilitation, and Joint Fire Science. The Fire Facilities Construction and Maintenance program funds construction and maintenance of facilities to house firefighters and equipment used in wildland firefighting, fuels management, and resilient landscapes activities. Facilities funded in this activity include crew quarters, warehouses, fire caches, dispatch centers, fire stations, engine storage, and aviation bases. The Burned Area Rehabilitation program begins the restoration process for lands and resources damaged by wildland fires that would not return to fire adapted conditions without intervention. Soil stabilization and the introduction of native and other desirable plant species are employed for up to three years following containment of a fire to return severely-burned areas to appropriate fire regimes and resource conditions. The Joint Fire Science subactivity funds the Department's share of the Joint Fire Science program, an inter-agency partnership that sponsors and delivers applied research to assist field managers with fuels treatment, post-fire rehabilitation, smoke management and many other related topics.

Object Classification (in millions of dollars)

Identification code 014–1125–0–1–302	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent - direct	3	3	3
11.1 Full-time permanent - allocation	169	170	171
11.3 Other than full-time permanent - allocation	21	18	19
11.5 Other personnel compensation - allocation	90	70	71
11.8 Special personal services payments - allocation	35	27	28

11.9 Total personnel compensation	318	288	292
12.1 Civilian personnel benefits - direct	1	1	1
12.1 Civilian personnel benefits - allocation	90	84	85
21.0 Travel and transportation of persons - allocation	25	18	18
22.0 Transportation of things - allocation	2	2	2
23.2 Rental payments to others - allocation	3	3	3
23.3 Communications, utilities, and miscellaneous charges - allocation	28	25	25
25.1 Advisory and assistance services - allocation	1	1	1
25.2 Other services from non-Federal sources - direct	3	3	3
25.2 Other services from non-Federal sources - allocation	257	206	207
25.3 Other goods and services from Federal sources - direct	5	5	5
25.3 Other goods and services from Federal sources - allocation	54	54	55
25.4 Operation and maintenance of facilities - allocation	2	2	2
25.6 Medical care - allocation	5	5	5
25.7 Operation and maintenance of equipment - allocation	6	6	6
25.8 Subsistence and support of persons - allocation	1	1	1
26.0 Supplies and materials - allocation	45	38	39
31.0 Equipment - allocation	18	15	15
32.0 Land and structures - allocation	3	5	5
41.0 Grants, subsidies, and contributions - allocation	57	55	55
99.0 Direct obligations	924	817	825
99.0 Reimbursable obligations	39	39	39
99.9 Total new obligations	963	856	864

Employment Summary

Identification code 014–1125–0–1–302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	24	24	24

【FLAME WILDFIRE SUPPRESSION RESERVE FUND】

【(INCLUDING TRANSFER OF FUNDS)】

【For necessary expenses for large fire suppression operations of the Department of the Interior and as a reserve fund for suppression and Federal emergency response activities, \$177,000,000, to remain available until expended: *Provided*, That such amounts are only available for transfer to the "Wildland Fire Management" account following a declaration by the Secretary in accordance with section 502 of the FLAME Act of 2009 (43 U.S.C. 1748a).】 (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 014–1127–0–1–302	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	42	29	
1010 Unobligated balance transfer to other accts [014–1125]	–42	–29	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	92	177	
1120 Appropriations transferred to other accts [014–1125]	–63	–177	
1160 Appropriation, discretionary (total)	29		
1930 Total budgetary resources available	29		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	29		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	29		
4180 Budget authority, net (total)	29		
4190 Outlays, net (total)			

Amounts in the FLAME Fund include the portion of the ten-year average of suppression obligations, adjusted for inflation, intended to support the most severe, complex, and threatening fires. The Secretary may permit transfers from this account to cover these extreme fire events. The Secretary may also transfer funds in the event that DOI has exhausted its suppression resources due to an active fire season. In 2017, the Budget proposes to eliminate this account and will fund all suppression activities in the Wildland Fire Management account, with a portion of the suppression funds

requested within the discretionary budget cap and a portion of the funds requested in a budget cap adjustment.

**WORKING CAPITAL FUND**

For the operation and maintenance of a departmental financial and business management system, information technology improvements of general benefit to the Department, *cybersecurity*, and the consolidation of facilities and operations throughout the Department, **[\$67,100,000] \$111,524,000**, to remain available until expended: *Provided*, That none of the funds appropriated in this Act or any other Act may be used to establish reserves in the Working Capital Fund account other than for accrued annual leave and depreciation of equipment without prior **[approval of]** notice to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That the Secretary may assess reasonable charges to State, local and tribal government employees for training services provided by the National Indian Program Training Center, other than training related to Public Law 93–638: *Provided further*, That the Secretary may lease or otherwise provide space and related facilities, equipment or professional services of the National Indian Program Training Center to State, local and tribal government employees or persons or organizations engaged in cultural, educational, or recreational activities (as defined in section 3306(a) of title 40, United States Code) at the prevailing rate for similar space, facilities, equipment, or services in the vicinity of the National Indian Program Training Center: *Provided further*, That all funds received pursuant to the two preceding provisos shall be credited to this account, shall be available until expended, and shall be used by the Secretary for necessary expenses of the National Indian Program Training Center: *Provided further*, That the Secretary may enter into grants and cooperative agreements to support the Office of Natural Resource Revenue's collection and disbursement of royalties, fees, and other mineral revenue proceeds, as authorized by law. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 014–4523–0–4–306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Enterprise Initiatives (Discretionary) .....	57	67	112
0002 Spectrum Category C (Mandatory) .....		15	12
0100 Direct program activities, subtotal .....	57	82	124
0799 Total direct obligations .....	57	82	124
0801 DM Activities .....	436	339	339
0802 Interior Business Center .....	774	824	824
0804 Rebate Funding .....	7	10	10
0805 Facilities .....	55	56	56
0806 Unemployment and Worker's Compensation .....	90	103	103
0809 Reimbursable program activities, subtotal .....	1,362	1,332	1,332
0899 Total reimbursable obligations .....	1,362	1,332	1,332
0900 Total new obligations .....	1,419	1,414	1,456
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	326	451	424
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	326		
1021 Recoveries of prior year unpaid obligations .....	93	70	70
1050 Unobligated balance (total) .....	419	521	494
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	57	67	112
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512] ....	34		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,291	1,250	1,255
1701 Change in uncollected payments, Federal sources .....	69		
1750 Spending auth from offsetting collections, disc (total) .....	1,360	1,250	1,255
1900 Budget authority (total) .....	1,451	1,317	1,367
1930 Total budgetary resources available .....	1,870	1,838	1,861
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	451	424	405
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	700	714	785
3010 Obligations incurred, unexpired accounts .....	1,419	1,414	1,456
3020 Outlays (gross) .....	-1,312	-1,273	-1,285

3040 Recoveries of prior year unpaid obligations, unexpired .....	-93	-70	-70
3050 Unpaid obligations, end of year .....	714	785	886
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-709	-778	-778
3070 Change in uncollected pymts, Fed sources, unexpired .....	-69		
3090 Uncollected pymts, Fed sources, end of year .....	-778	-778	-778
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-9	-64	7
3200 Obligated balance, end of year .....	-64	7	108
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,417	1,317	1,367
Outlays, gross:			
4010 Outlays from new discretionary authority .....	886	910	942
4011 Outlays from discretionary balances .....	426	348	331
4020 Outlays, gross (total) .....	1,312	1,258	1,273
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,276	-1,250	-1,255
4033 Non-Federal sources .....	-15		
4040 Offsets against gross budget authority and outlays (total) ....	-1,291	-1,250	-1,255
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-69		
4070 Budget authority, net (discretionary) .....	57	67	112
4080 Outlays, net (discretionary) .....	21	8	18
Mandatory:			
4090 Budget authority, gross .....	34		
Outlays, gross:			
4101 Outlays from mandatory balances .....		15	12
4180 Budget authority, net (total) .....	91	67	112
4190 Outlays, net (total) .....	21	23	30
<b>Memorandum (non-add) entries:</b>			
5096 Unexpired unavailable balance, SOY: Appropriations .....	3	3	3
5098 Unexpired unavailable balance, EOY: Appropriations .....	3	3	3

The Working Capital Fund finances services and activities that can be performed more effectively and efficiently in a centralized manner, including business services provided by the Interior Business Center (IBC), formerly the National Business Center. Activities financed through the fund include information technology and security, systems hosting and help desk services, Departmental news and information, aircraft services, central reproduction, supplies and health services, and safety and health initiatives. Departmental administrative systems hosted within the fund include the Federal Personnel and Payroll System and the Financial and Business Management System (FBMS). The IBC provides financial management, acquisition, and human resources services as well as payroll services to other agencies as one of the Government-wide payroll providers selected by OPM. Through the National Indian Program Training Center, a component of DOI University, the Working Capital Fund provides training courses and other services related to Indian culture, law and programs to Federal government employees. The appropriated portion of the Working Capital Fund includes funding for FBMS operations and maintenance, Service First, the care and management of the Department's cultural collections, DATA Act and FITARA compliance activities, and activities related to improving the Department's cybersecurity capabilities.

**Object Classification** (in millions of dollars)

Identification code 014–4523–0–4–306	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent (Discretionary) .....	12	16	17
25.2 Other services from non-Federal sources (Discretionary) .....		51	95
25.2 Other services from non-Federal sources (Mandatory) .....		15	12
25.3 Other goods and services from Federal sources (Discretionary) .....	45		
99.0 Direct obligations .....	57	82	124
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	115	115	115
12.1 Civilian personnel benefits .....	124	124	124
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	55	56	56
23.3 Communications, utilities, and miscellaneous charges .....	57	58	58

WORKING CAPITAL FUND—Continued  
Object Classification—Continued

Identification code 014-4523-0-4-306	2015 actual	2016 est.	2017 est.
24.0 Printing and reproduction .....	845	.....	.....
25.2 Other services from non-Federal sources .....	105	217	217
25.3 Other goods and services from Federal sources .....	.....	699	699
26.0 Supplies and materials .....	5	6	6
31.0 Equipment .....	53	54	54
99.0 Reimbursable obligations .....	1,362	1,332	1,332
99.9 Total new obligations .....	1,419	1,414	1,456

Employment Summary

Identification code 014-4523-0-4-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	81	131	131
2001 Reimbursable civilian full-time equivalent employment .....	1,254	1,351	1,351

INTERIOR FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 014-4529-0-4-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable Activity .....	1,011	1,005	1,005

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....	127	114	66
1021 Recoveries of prior year unpaid obligations .....	57	.....	.....
1050 Unobligated balance (total) .....	184	114	66
<b>Budget authority:</b>			
1700 Spending authority from offsetting collections, discretionary:			
Collected .....	978	1,014	1,014
1701 Change in uncollected payments, Federal sources .....	-37	-57	-72
1750 Spending auth from offsetting collections, disc (total) .....	941	957	942
1930 Total budgetary resources available .....	1,125	1,071	1,008
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	114	66	3

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	911	908	933
3010 Obligations incurred, unexpired accounts .....	1,011	1,005	1,005
3020 Outlays (gross) .....	-957	-980	-980
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57	.....	.....
3050 Unpaid obligations, end of year .....	908	933	958
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-726	-689	-632
3070 Change in uncollected pymts, Fed sources, unexpired .....	37	57	72
3090 Uncollected pymts, Fed sources, end of year .....	-689	-632	-560
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	185	219	301
3200 Obligated balance, end of year .....	219	301	398

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	941	957	942
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	209	268	273
4011 Outlays from discretionary balances .....	748	712	707
4020 Outlays, gross (total) .....	957	980	980
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-978	-1,014	-1,014
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	37	57	72
4080 Outlays, net (discretionary) .....	-21	-34	-34
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-21	-34	-34

The Interior Franchise Fund (IFF) was established by the Government Management Reform Act (P.L. 103-356) as amended, and provides acquisi-

tion management and administrative services to the Department of the Interior and other Federal agencies on a competitive, fee basis. Operating costs for the IFF are funded fully by the fees collected in exchange for the services provided.

Object Classification (in millions of dollars)

Identification code 014-4529-0-4-306	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	10	11
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	265	265	265
25.2 Other services from non-Federal sources .....	645	639	638
25.3 Other goods and services from Federal sources .....	30	30	30
25.4 Operation and maintenance of facilities .....	1	1	1
25.6 Medical care .....	11	11	11
25.7 Operation and maintenance of equipment .....	13	13	13
31.0 Equipment .....	30	30	30
99.9 Total new obligations .....	1,011	1,005	1,005

Employment Summary

Identification code 014-4529-0-4-306	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	100	100	112

ADMINISTRATIVE PROVISION

There is hereby authorized for acquisition from available resources within the Working Capital Fund, aircraft which may be obtained by donation, purchase or through available excess surplus property: *Provided*, That existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Offsetting receipts from the public:</b>			
014-274730 Indian Direct Loan, Downward Reestimates of Subsidies .....	2	.....	.....
014-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	4	.....	.....
014-272930 Indian Loan Guarantee, Downward Reestimates of Subsidies .....	29	6	.....
014-248400 Receipts from Grazing Fees, Federal Share .....	4	5	4
014-222900 Sale of Timber, Wildlife and Other Natural Land Products, not Otherwise Classified .....	3	7	11
014-203200 Hardrock Mining Holding Fee .....	18	24	17
014-181100 Rent and Bonuses from Land Leases for Resource Exploration and Extraction .....	63	55	33
014-223900 Sale of Helium .....	.....	183	91
014-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	78	80	84
014-203900 Royalties on Natural Resources, not Otherwise Classified .....	359	243	268
014-202000 Royalties on Outer Continental Shelf Lands .....	3,517	2,773	3,139
014-203900 Royalties on Natural Resources, not Otherwise Classified .....	.....	.....	1
014-222900 Sale of Timber, Wildlife and Other Natural Land Products, not Otherwise Classified .....	.....	.....	12
014-202500 Revenues from Federal Oil and Gas Management Reforms .....	.....	.....	20
014-202000 Royalties on Outer Continental Shelf Lands .....	.....	.....	99
014-182000 Rent and Bonuses on Outer Continental Shelf Lands .....	.....	.....	187
General Fund Offsetting receipts from the public .....	4,077	3,376	3,966
<b>Intragovernmental payments:</b>			
014-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	9	3	3

General Fund Intragovernmental payments ..... 9 3 3

GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

EMERGENCY TRANSFER AUTHORITY—INTRA-BUREAU

SEC. 101. Appropriations made in this title shall be available for expenditure or transfer (within each bureau or office), with the approval of the Secretary, for the emergency reconstruction, replacement, or repair of aircraft, buildings, utilities, or other facilities or equipment damaged or destroyed by fire, flood, storm, or other unavoidable causes: Provided, That no funds shall be made available under this authority until funds specifically made available to the Department of the Interior for emergencies shall have been exhausted: Provided further, That it is the sense of Congress that all funds used pursuant to this section [must] be replenished by a supplemental appropriation, [which must] to be requested as promptly as possible.

EMERGENCY TRANSFER AUTHORITY—DEPARTMENT-WIDE

SEC. 102. The Secretary may authorize the expenditure or transfer of any no year appropriation in this title, in addition to the amounts included in the budget programs of the several agencies, for the suppression or emergency prevention of wildland fires on or threatening lands under the jurisdiction of the Department of the Interior; for the emergency rehabilitation of burned-over lands under its jurisdiction; for emergency actions related to potential or actual earthquakes, floods, volcanoes, storms, or other unavoidable causes; for contingency planning subsequent to actual oil spills; for response and natural resource damage assessment activities related to actual oil spills or releases of hazardous substances into the environment; for the prevention, suppression, and control of actual or potential grasshopper and Mormon cricket outbreaks on lands under the jurisdiction of the Secretary, pursuant to the authority in section 417(b) of Public Law 106-224 (7 U.S.C. 7717(b)); for emergency reclamation projects under section 410 of Public Law 95-87; and shall transfer, from any no year funds available to the Office of Surface Mining Reclamation and Enforcement, such funds as may be necessary to permit assumption of regulatory authority in the event a primacy State is not carrying out the regulatory provisions of the Surface Mining Act: Provided, That appropriations made in this title for wildland fire operations shall be available for the payment of obligations incurred during the preceding fiscal year, and for reimbursement to other Federal agencies for destruction of vehicles, aircraft, or other equipment in connection with their use for wildland fire operations, such reimbursement to be credited to appropriations currently available at the time of receipt thereof: Provided further, That for wildland fire operations, no funds shall be made available under this authority until the Secretary determines that funds appropriated for "wildland fire [operations]" and "FLAME Wildfire Suppression Reserve Fund] suppression" shall be exhausted within 30 days: Provided further, That it is the sense of Congress that all funds used pursuant to this section [must] be replenished by a supplemental appropriation, [which must] to be requested as promptly as possible: Provided further, That such replenishment funds shall be used to reimburse, on a pro rata basis, accounts from which emergency funds were transferred.

AUTHORIZED USE OF FUNDS

SEC. 103. Appropriations made to the Department of the Interior in this title shall be available for services as authorized by section 3109 of title 5, United States Code, when authorized by the Secretary, in total amount not to exceed \$500,000; purchase and replacement of motor vehicles, including specially equipped law enforcement vehicles; hire, maintenance, and operation of aircraft; hire of passenger motor vehicles; purchase of reprints; payment for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and the payment of dues, when authorized by the Secretary, for library membership in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members.

AUTHORIZED USE OF FUNDS, INDIAN TRUST MANAGEMENT

SEC. 104. Appropriations made in this Act under the headings Bureau of Indian Affairs and Bureau of Indian Education, and Office of the Special Trustee for American Indians and any unobligated balances from prior appropriations Acts made under the same headings shall be available for expenditure or transfer for Indian trust management and reform activities. Total funding for historical accounting activities shall not exceed amounts specifically designated in this Act for such purpose.

REDISTRIBUTION OF FUNDS, BUREAU OF INDIAN AFFAIRS

SEC. 105. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to redistribute any Tribal Priority Allocation funds, including tribal base funds, to alleviate tribal funding inequities by transferring funds to address identified, unmet needs, dual enrollment, overlapping service areas or inaccurate

distribution methodologies. No tribe shall receive a reduction in Tribal Priority Allocation funds of more than 10 percent in fiscal year [2016] 2017. Under circumstances of dual enrollment, overlapping service areas or inaccurate distribution methodologies, the 10 percent limitation does not apply.

ELLIS, GOVERNORS, AND LIBERTY ISLANDS

SEC. 106. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to acquire lands, waters, or interests therein including the use of all or part of any pier, dock, or landing within the State of New York and the State of New Jersey, for the purpose of operating and maintaining facilities in the support of transportation and accommodation of visitors to Ellis, Governors, and Liberty Islands, and of other program and administrative activities, by donation or with appropriated funds, including franchise fees (and other monetary consideration), or by exchange; and the Secretary is authorized to negotiate and enter into leases, subleases, concession contracts or other agreements for the use of such facilities on such terms and conditions as the Secretary may determine reasonable.

OUTER CONTINENTAL SHELF INSPECTION FEES

SEC. 107. (a) In fiscal year [2016] 2017, the Secretary shall collect a nonrefundable inspection fee, which shall be deposited in the "Offshore Safety and Environmental Enforcement" account, from the designated operator for facilities subject to inspection under 43 U.S.C. 1348(c).

(b) Annual fees shall be collected for facilities that are above the waterline, excluding drilling rigs, and are in place at the start of the fiscal year. Facilities that are subject to multiple inspections shall pay additional fees for each inspection. Fees for fiscal year [2016] 2017 shall be:

- (1) \$10,500 for facilities with no wells, but with processing equipment or gathering lines;
(2) \$17,000 for facilities with 1 to 10 wells, with any combination of active or inactive wells; and
(3) \$31,500 for facilities with more than 10 wells, with any combination of active or inactive wells.

(c) Fees [for] related to inspection of drilling rigs shall be assessed for all inspections completed in fiscal year [2016] 2017. Fees for fiscal year [2016] 2017 shall be:

- (1) \$30,500 per inspection for rigs operating in water depths of 500 feet or more; and
(2) \$16,700 per inspection for rigs operating in water depths of less than 500 feet.

(d) The Secretary shall bill designated operators for the annual fees under subsection (b) within 60 days, with payment required within 30 days of billing. [The] For all other fees under subsections (b) and (c) above, the Secretary shall bill designated operators [under subsection (c)] within 30 days of the end of the month in which the inspection occurred, with payment required within 30 days of billing.

BUREAU OF OCEAN ENERGY MANAGEMENT, REGULATION AND ENFORCEMENT

REORGANIZATION

SEC. 108. The Secretary of the Interior, in order to implement a reorganization of the Bureau of Ocean Energy Management, Regulation and Enforcement, may transfer funds among and between the successor offices and bureaus affected by the reorganization only in conformance with the reprogramming guidelines described in the [explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)] report accompanying this Act.

CONTRACTS AND AGREEMENTS FOR WILD HORSE AND BURRO HOLDING FACILITIES

SEC. 109. Notwithstanding any other provision of this Act, the Secretary of the Interior may enter into multiyear cooperative agreements with nonprofit organizations and other appropriate entities, and may enter into multiyear contracts in accordance with the provisions of section 3903 of title 41, United States Code (except that the 5-year term restriction in subsection (a) shall not apply), for the long-term care and maintenance of excess wild free roaming horses and burros by such organizations or entities on private land. Such cooperative agreements and contracts may not exceed 10 years, subject to renewal at the discretion of the Secretary.

TRANSFER OF EXCESS ANIMALS

SEC. 110. Notwithstanding any other provision of law, the Secretary of the Interior may transfer excess wild horses or burros that have been removed from the public lands to other Federal, State, and local government agencies for use as work animals: Provided, That the Secretary may make any such transfer immediately upon request of such Federal, State, or local government agency: Provided further, That any excess animal transferred under this provision shall lose its status as a wild free-roaming horse or burro as defined in the Wild Free-Roaming Horses and Burros Act

MASS MARKING OF SALMONIDS

SEC. [110]111. The United States Fish and Wildlife Service shall, in carrying out its responsibilities to protect threatened and endangered species of salmon, implement a system of mass marking of salmonid stocks, intended for harvest, that are released from federally operated or federally financed hatcheries including but not limited

to fish releases of coho, chinook, and steelhead species. Marked fish must have a visible mark that can be readily identified by commercial and recreational fishers.

**[EXHAUSTION OF ADMINISTRATIVE REVIEW]**

**[SEC. 111.** Paragraph (1) of section 122(a) of division E of Public Law 112–74 (125 Stat. 1013) is amended by striking "through 2016," in the first sentence and inserting "through 2018,".]

**[WILD LANDS FUNDING PROHIBITION]**

**[SEC. 112.** None of the funds made available in this Act or any other Act may be used to implement, administer, or enforce Secretarial Order No. 3310 issued by the Secretary of the Interior on December 22, 2010: *Provided*, That nothing in this section shall restrict the Secretary's authorities under sections 201 and 202 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1711 and 1712).]

**[BUREAU OF INDIAN EDUCATION OPERATED SCHOOLS]**

**[SEC. 113.** Section 115(d) of division E of Public Law 112–74 (25 U.S.C. 2000 note) is amended by striking "2017" and inserting "2027".]

**[VOLUNTEERS IN PARKS]**

**[SEC. 114.** Section 102301(d) of title 54, United States Code, is amended by striking "\$3,500,000" and inserting "\$7,000,000".]

**CONTRACTS AND AGREEMENTS WITH INDIAN AFFAIRS**

**SEC. [115] 112.** Notwithstanding any other provision of law, during fiscal year **[2016] 2017**, in carrying out work involving cooperation with State, local, and tribal governments or any political subdivision thereof, Indian Affairs may record obligations against accounts receivable from any such entities, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year.

**[HERITAGE AREAS]**

**[SEC. 116.** (a) Section 157(h)(1) of title I of Public Law 106–291 (16 U.S.C. 461 note) is amended by striking "\$11,000,000" and inserting "\$13,000,000".

(b) Division II of Public Law 104–333 (16 U.S.C. 461 note) is amended—

- (1) in sections 409(a), 508(a), and 812(a) by striking "\$15,000,000" and inserting "\$17,000,000"; and
- (2) in sections 208, 310, and 607 by striking "2015" and inserting "2017".]

**[SAGE-GROUSE]**

**[SEC. 117.** None of the funds made available by this or any other Act may be used by the Secretary of the Interior to write or issue pursuant to section 4 of the Endangered Species Act of 1973 (16 U.S.C. 1533)—

- (1) a proposed rule for greater sage-grouse (*Centrocercus urophasianus*);
- (2) a proposed rule for the Columbia basin distinct population segment of greater sage-grouse.]

**ONSHORE PAY AUTHORITY EXTENSION**

**SEC. [118] 113.** For fiscal year **[2016] 2017**, funds made available in this title for the Bureau of Land Management and the Bureau of Indian Affairs may be used by the Secretary of the Interior to establish higher minimum rates of basic pay for employees of the Department of the Interior carrying out the inspection and regulation of onshore oil and gas operations on public lands in the Petroleum Engineer (GS-0881) and Petroleum Engineering Technician (GS-0802) job series at grades 5 through 14 at rates no greater than 25 percent above the minimum rates of basic pay normally scheduled, and such higher rates shall be consistent with subsections (e) through (h) of section 5305 of title 5, United States Code.

**[REPUBLIC OF PALAU]**

**[SEC. 119.** (a) **IN GENERAL.**—Subject to subsection (c), the United States Government, through the Secretary of the Interior shall provide to the Government of Palau for fiscal year 2016 grants in amounts equal to the annual amounts specified in subsections (a), (c), and (d) of section 211 of the Compact of Free Association between the Government of the United States of America and the Government of Palau (48 U.S.C. 1931 note) (referred to in this section as the "Compact").

(b) **PROGRAMMATIC ASSISTANCE.**—Subject to subsection (c), the United States shall provide programmatic assistance to the Republic of Palau for fiscal year 2016 in amounts equal to the amounts provided in subsections (a) and (b)(1) of section 221 of the Compact.

(c) **LIMITATIONS ON ASSISTANCE.**—

(1) **IN GENERAL.**—The grants and programmatic assistance provided under subsections (a) and (b) shall be provided to the same extent and in the same manner as the grants and assistance were provided in fiscal year 2009.

(2) **TRUST FUND.**—If the Government of Palau withdraws more than \$5,000,000 from the trust fund established under section 211(f) of the Compact, amounts to be provided under subsections (a) and (b) shall be withheld from the Government of Palau.]

**[WILDLIFE RESTORATION EXTENSION OF INVESTMENT OF UNEXPENDED AMOUNTS]**

**[SEC. 120.** Section 3(b)(2)(C) of the Pittman-Robertson Wildlife Restoration Act (16 U.S.C. 669b(b)(2)(C)) is amended by striking "2016" and inserting "2026".]

**[PROHIBITION ON USE OF FUNDS]**

**[SEC. 121.** (a) Any proposed new use of the Arizona & California Railroad Company's Right of Way for conveyance of water shall not proceed unless the Secretary of the Interior certifies that the proposed new use is within the scope of the Right of Way.

(b) No funds appropriated or otherwise made available to the Department of the Interior may be used, in relation to any proposal to store water underground for the purpose of export, for approval of any right-of-way or similar authorization on the Mojave National Preserve or lands managed by the Needles Field Office of the Bureau of Land Management, or for carrying out any activities associated with such right-of-way or similar approval.]

**ONSHORE OIL AND GAS INSPECTION FEES**

**SEC. 114.** (a) *In fiscal year 2017, the designated operator of each lease on Federal or Indian lands, or each unit and communitization agreement that includes one or more Federal or Indian leases, that is subject to inspection under 30 U.S.C. 1718(b), and that is in force at the start of fiscal year 2017, shall pay a nonrefundable inspection fee that the Bureau of Land Management (BLM) shall collect and deposit in the "Management of Lands and Resources" account.*

(b) *Fees for 2017 shall be:*

- (1) *\$700 for each lease or unit or communitization agreement with no active or inactive wells, but with surface use, disturbance or reclamation;*
- (2) *\$1,225 for each lease or unit or communitization agreement with 1 to 10 wells, with any combination of active or inactive wells;*
- (3) *\$4,900 for each lease or unit or communitization agreement with 11 to 50 wells, with any combination of active or inactive wells; and*
- (4) *\$9,800 for each lease or unit or communitization agreement with more than 50 wells, with any combination of active or inactive wells.*

(c) *BLM will bill designated operators within 60 days of enactment of this Act, with payment required within 30 days of billing.*

(d) *If the designated operator fails to pay the full amount of the fee as prescribed in this section, BLM may, in addition to utilizing any other applicable enforcement authority, assess civil penalties against the operator under 30 U.S.C. 1719 in the same manner as if this section were a mineral leasing law as defined in 30 U.S.C. 1702(8).*

**INDIAN REORGANIZATION ACT**

**SEC. 115.** (a) *Modification.*—

(1) *In general.*—*The first sentence of section 19 of the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 479), is amended—*

(A) *by striking "The term" and inserting "Effective beginning on June 18, 1934, the term"; and*

(B) *by striking "any recognized Indian tribe now under Federal jurisdiction" and inserting "any federally recognized Indian tribe".*

(2) **EFFECTIVE DATE.**—*The amendments made by paragraph (1) shall take effect as if included in the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 479), on the date of enactment of that Act.*

(b) **RATIFICATION AND CONFIRMATION OF ACTIONS.**—*Any action taken by the Secretary of the Interior pursuant to the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 461 et seq.) for any Indian tribe that was federally recognized on the date of the action is ratified and confirmed, to the extent such action is subjected to challenge based on whether the Indian tribe was federally recognized or under Federal jurisdiction on June 18, 1934, as if the action had, by prior act of Congress, been specifically authorized and directed.*

(c) **EFFECT ON OTHER LAWS.**—

(1) *In general, nothing in this section or the amendments made by this section affects—*

(A) *the application or effect of any Federal law other than the Act of June 18, 1934 (25 U.S.C. 461 et seq.) (as amended by subsection (a)); or*

(B) *any limitation on the authority of the Secretary of the Interior under any Federal law or regulation other than the Act of June 18, 1934 (25 U.S.C. 461 et seq.) (as so amended).*

(2) *References in other laws.—An express reference to the Act of June 18, 1934 (25 U.S.C. 461 et seq.) contained in any other Federal law shall be considered to be a reference to that Act as amended by subsection (a).*

**INDIAN EDUCATION FOUNDATION**

**SEC. 116.** *Public Law 106–568, as amended by Public Law 108–267 (25 U.S.C. 458ddd), is further amended—*

(a) *in the heading and subsection (a), by striking "Fund for Excellence in" and inserting "Foundation for";*

(b) *in subsection (f)(2), by striking—*

(1) *the words following "However," and preceding "the Secretary"; and*

(2) ", who";

(c) in subsection (f)(3), by striking the words following "who" and preceding "shall"; and

(d) in subsection (g)(2), by striking the words following "officer".

NATIONAL PARK SERVICE AFFILIATED AREAS

SEC. 117. (a) Section 5 of Public Law 95–348 is amended by striking "not to exceed \$3,000,000" and inserting "such sums as may be necessary for the purposes of this Section".

(b) Section 204 of Public Law 93–486, as amended by section 1(3) of Public Law 100–355, is further amended by striking "but not to exceed \$2,000,000".

DEPARTMENT OF THE INTERIOR EXPERIENCED SERVICES PROGRAM

SEC. 118. (a) Notwithstanding any other provision of law relating to Federal grants and cooperative agreements, the Secretary of the Interior is authorized to make grants to, or enter into cooperative agreements with, private nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965 to utilize the talents of older Americans in programs authorized by other provisions of law administered by the Secretary and consistent with such provisions of law.

(b) Prior to awarding any grant or agreement under subsection (a), the Secretary shall ensure that the agreement would not—

(1) result in the displacement of individuals currently employed by the Department, including partial displacement through reduction of non-overtime hours, wages, or employment benefits;

(2) result in the use of an individual under the Department of the Interior Experienced Services Program for a job or function in a case in which a Federal employee is in a layoff status from the same or substantially equivalent job within the Department; or

(3) affect existing contracts for services.

BLUE RIDGE NATIONAL HERITAGE AREA AND ERIE CANALWAY NATIONAL HERITAGE CORRIDOR

SEC. 119. (a) Section 140(i)(1) of Title I of P.L. 108–108, as amended (54 U.S.C. 320101 note), is further amended by striking "\$10,000,000" and inserting "\$15,000,000"; and

(b) Section 810(a)(1) of Title VIII of Division B of Appendix D of P.L. 106–554, as amended (54 U.S.C. 320101 note), is further amended by striking "\$10,000,000" and inserting "\$15,000,000".

OBED WILD AND SCENIC RIVER

SEC. 120. Section 3(a)(15) of the Wild and Scenic Rivers Act (16 U.S.C. 1274(a)(15)) is amended by striking "\$2,000,000" and inserting "\$4,500,000".

LAKE CHELAN NATIONAL RECREATION AREA

SEC. 121. Section 506 of P.L. 90–544 (16 U.S.C. 90d-5) is amended by striking "4,500,000" and inserting "\$10,000,000". (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

## GENERAL PROVISIONS—DEPARTMENT OF THE INTERIOR

SEC. 201. (a) None of the funds provided in title II of this Act for Water and Related Resources, or provided by previous appropriations Acts to the agencies or entities funded in title II of this Act for Water and Related Resources that remain available for obligation or expenditure in fiscal year [2016] 2017, shall be available for obligation or expenditure through a reprogramming of funds that—

(1) initiates or creates a new program, project, or activity;

(2) eliminates a program, project, or activity unless the program, project or activity has received no appropriated funding for at least five fiscal years;

(3) increases funds for any program, project, or activity for which funds have been denied or restricted by this Act, unless prior [approval is received from] notice is given to the Committees on Appropriations of the House of Representatives and the Senate;

(4) restarts or resumes any program, project or activity for which funds are not provided in this Act, unless prior [approval is received from] notice is given to the Committees on Appropriations of the House of Representatives and the Senate;

(5) transfers funds in excess of the following limits, unless prior [approval is received from] notice is given to the Committees on Appropriations of the House of Representatives and the Senate:

(A) 15 percent for any program, project or activity for which \$2,000,000 or more is available at the beginning of the fiscal year; or

(B) \$300,000 for any program, project or activity for which less than \$2,000,000 is available at the beginning of the fiscal year;

(6) transfers more than \$500,000 from either the Facilities Operation, Maintenance, and Rehabilitation category or the Resources Management and Development category to any program, project, or activity in the other category, unless prior [approval is received from] notice is given to the Committees on Appropriations of the House of Representatives and the Senate; or

(7) transfers, where necessary to discharge legal obligations of the Bureau of Reclamation, more than \$5,000,000 to provide adequate funds for settled contractor claims, increased contractor earnings due to accelerated rates of operations, and real estate deficiency judgments, unless prior [approval is received from] notice is given to the Committees on Appropriations of the House of Representatives and the Senate.

(b) Subsection (a)(5) shall not apply to any transfer of funds within the Facilities Operation, Maintenance, and Rehabilitation category.

(c) For purposes of this section, the term transfer means any movement of funds into or out of a program, project, or activity.

(d) The Bureau of Reclamation shall submit reports on a quarterly basis to the Committees on Appropriations of the House of Representatives and the Senate detailing all the funds reprogrammed between programs, projects, activities, or categories of funding. The first quarterly report shall be submitted not later than 60 days after the date of enactment of this Act.

SEC. 202. (a) None of the funds appropriated or otherwise made available by this Act may be used to determine the final point of discharge for the interceptor drain for the San Luis Unit until development by the Secretary of the Interior and the State of California of a plan, which shall conform to the water quality standards of the State of California as approved by the Administrator of the Environmental Protection Agency, to minimize any detrimental effect of the San Luis drainage waters.

(b) The costs of the Kesterson Reservoir Cleanup Program and the costs of the San Joaquin Valley Drainage Program shall be classified by the Secretary of the Interior as reimbursable or nonreimbursable and collected until fully repaid pursuant to the "Cleanup Program—Alternative Repayment Plan" and the "SJVDP—Alternative Repayment Plan" described in the report entitled "Repayment Report, Kesterson Reservoir Cleanup Program and San Joaquin Valley Drainage Program, February 1995", prepared by the Department of the Interior, Bureau of Reclamation. Any future obligations of funds by the United States relating to, or providing for, drainage service or drainage studies for the San Luis Unit shall be fully reimbursable by San Luis Unit beneficiaries of such service or studies pursuant to Federal reclamation law.

[SEC. 203. The Reclamation Safety of Dams Act of 1978 is amended by—

(1) striking "Construction" and inserting "Except as provided in section 5B, construction" in section 3; and

(2) inserting after section 5A (43 U.S.C. 509a) the following:

"SEC . Notwithstanding section 3, if the Secretary, in her judgment, determines that additional project benefits, including but not limited to additional conservation storage capacity, are necessary and in the interests of the United States and the project and are feasible and not inconsistent with the purposes of this Act, the Secretary is authorized to develop additional project benefits through the construction of new or supplementary works on a project in conjunction with the Secretary's activities under section 2 of this Act and subject to the conditions described in the feasibility study, provided a cost share agreement related to the additional project benefits is reached among non-Federal and Federal funding participants and the costs associated with developing the additional project benefits are allocated exclusively among beneficiaries of the additional project benefits and repaid consistent with all provisions of Federal Reclamation law (the Act of June 17, 1902, 43 U.S.C. 371 et seq.) and acts supplemental to and amendatory of that Act.".]

[SEC. 204. Section 5 of the Reclamation Safety of Dams Act of 1978 (43 U.S.C. 509) is amended in the first sentence—

(a) by inserting "and effective October 1, 2015, not to exceed an additional \$1,100,000,000 (October 1, 2003, price levels)," after "(October 1, 2003, price levels);",

(b) in the proviso—

(1) by striking "\$1,250,000" and inserting "\$20,000,000"; and

(2) by striking "Congress" and inserting "Committee on Natural Resources of the House of Representatives and the Committee on Energy and Natural Resources of the Senate"; and

(3) by adding at the end the following: "For modification expenditures between \$1,800,000 and \$20,000,000 (October 1, 2015, price levels), the Secretary of the Interior shall, at least 30 days before the date on which the funds are expended, submit written notice of the expenditures to the Committee on Natural Resources of the House of Representatives and Committee on Energy and Natural Resources of the Senate that provides a summary of the project, the cost of the project, and any alternatives that were considered.".]

【SEC. 205. The Secretary of the Interior, acting through the Commissioner of Reclamation, shall—

(1) complete the feasibility studies described in clauses (i)(I) and (ii)(II) of section 103(d)(1)(A) of Public Law 108–361 (118 Stat. 1684) and submit such studies to the appropriate committees of the House of Representatives and the Senate not later than December 31, 2015;

(2) complete the feasibility studies described in clauses (i)(II) and (ii)(I) of section 103(d)(1)(A) of Public Law 108–361 and submit such studies to the appropriate committees of the House of Representatives and the Senate not later than November 30, 2016;

(3) complete the feasibility study described in section 103(f)(1)(A) of Public Law 108–361 (118 Stat. 1694) and submit such study to the appropriate committees of the House of Representatives and the Senate not later than December 31, 2017; and

(4) provide a progress report on the status of the feasibility studies referred to in paragraphs (1) through (3) to the appropriate committees of the House of Representatives and the Senate not later than 90 days after the date of the enactment of this Act and each 180 days thereafter until December 31, 2017, as applicable. The report shall include timelines for study completion, draft environmental impact statements, final environmental impact statements, and Records of Decision.】

SEC. 【206】203. Section 9504(e) of the Secure Water Act of 2009 (42 U.S.C. 10364(e)) is amended by striking 【"\$300,000,000"】 "\$350,000,000" and inserting 【"\$350,000,000"】 "\$400,000,000".

SEC. 【207】204. Title I of Public Law 108–361 (the Calfed Bay-Delta Authorization Act) (118 Stat. 1681), as amended 【by section 210 of Public Law 111–85】 is amended by striking 【"2016"】 "2017" each place it appears and inserting 【"2017"】 "2018". (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

## TITLE IV—GENERAL PROVISIONS

### (INCLUDING TRANSFERS OF FUNDS)

#### 【RESTRICTION ON USE OF FUNDS】

【SEC. 401. No part of any appropriation contained in this Act shall be available for any activity or the publication or distribution of literature that in any way tends to promote public support or opposition to any legislative proposal on which Congressional action is not complete other than to communicate to Members of Congress as described in 18 U.S.C. 1913.】

#### OBLIGATION OF APPROPRIATIONS

SEC. 【402】401. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

#### DISCLOSURE OF ADMINISTRATIVE EXPENSES

SEC. 【403】402. The amount and basis of estimated overhead charges, deductions, reserves or holdbacks, including working capital fund and cost pool charges, from programs, projects, activities and subactivities to support government-wide, departmental, agency, or bureau administrative functions or headquarters, regional, or central operations shall be presented in annual budget justifications 【and subject to approval by the Committees on Appropriations of the House of Representatives and the Senate. Changes】. *Advance notice of changes* to such estimates shall be presented to the Committees on Appropriations 【for approval】.

#### MINING APPLICATIONS

SEC. 【404】403. (a) LIMITATION OF FUNDS.—None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to accept or process applications for a patent for any mining or mill site claim located under the general mining laws.

(b) EXCEPTIONS.—Subsection (a) shall not apply if the Secretary of the Interior determines that, for the claim concerned (1) a patent application was filed with the Secretary on or before September 30, 1994; and (2) all requirements established under sections 2325 and 2326 of the Revised Statutes (30 U.S.C. 29 and 30) for vein or lode claims, sections 2329, 2330, 2331, and 2333 of the Revised Statutes (30 U.S.C. 35, 36, and 37) for placer claims, and section 2337 of the Revised Statutes (30 U.S.C. 42) for mill site claims, as the case may be, were fully complied with by the applicant by that date.

(c) REPORT.—On September 30, 【2017】2018, the Secretary of the Interior shall file with the House and Senate Committees on Appropriations and the Committee on Natural Resources of the House and the Committee on Energy and Natural Resources of the Senate a report on actions taken by the Department under the plan submitted pursuant to section 314(c) of the Department of the Interior and Related Agencies Appropriations Act, 1997 (Public Law 104–208).

(d) MINERAL EXAMINATIONS.—In order to process patent applications in a timely and responsible manner, upon the request of a patent applicant, the Secretary of the Interior shall allow the applicant to fund a qualified third-party contractor to be selected by the Director of the Bureau of Land Management to conduct a mineral examination of the mining claims or mill sites contained in a patent application as set forth in subsection (b). The Bureau of Land Management shall have the sole responsibility to choose and pay the third-party contractor in accordance with the standard procedures employed by the Bureau of Land Management in the retention of third-party contractors.

#### CONTRACT SUPPORT COSTS, PRIOR YEAR LIMITATION

SEC. 【405】404. Sections 405 and 406 of division F of the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113–235) shall continue in effect in fiscal year 【2016】 2017.

#### CONTRACT SUPPORT COSTS, FISCAL YEAR 【2016】2017 LIMITATION

SEC. 【406】405. Amounts provided by this Act for fiscal year 【2016】 2017 under the headings "Department of Health and Human Services, Indian Health Service, Contract Support Costs" and "Department of the Interior, Bureau of Indian Affairs and Bureau of Indian Education, Contract Support Costs" are the only amounts available for contract support costs arising out of self-determination or self-governance contracts, grants, compacts, or annual funding agreements for fiscal year 【2016】 2017 with the Bureau of Indian Affairs or the Indian Health Service: *Provided*, That such amounts provided by this Act are not available for payment of claims for contract support costs for prior years, or for repayments of payments for settlements or judgments awarding contract support costs for prior years.

#### FOREST MANAGEMENT PLANS

SEC. 【407】406. The Secretary of Agriculture shall not be considered to be in violation of subparagraph 6(f)(5)(A) of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604(f)(5)(A)) solely because more than 15 years have passed without revision of the plan for a unit of the National Forest System. Nothing in this section exempts the Secretary from any other requirement of the Forest and Rangeland Renewable Resources Planning Act (16 U.S.C. 1600 et seq.) or any other law: *Provided*, That if the Secretary is not acting expeditiously and in good faith, within the funding available, to revise a plan for a unit of the National Forest System, this section shall be void with respect to such plan and a court of proper jurisdiction may order completion of the plan on an accelerated basis.

#### PROHIBITION WITHIN NATIONAL MONUMENTS

SEC. 【408】407. No funds provided in this Act may be expended to conduct pre-leasing, leasing and related activities under either the Mineral Leasing Act (30 U.S.C. 181 et seq.) or the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.) within the boundaries of a National Monument established pursuant to the Act of June 8, 1906 (16 U.S.C. 431 et seq.) as such boundary existed on January 20, 2001, except where such activities are allowed under the Presidential proclamation establishing such monument.

#### LIMITATION ON TAKINGS

SEC. 【409】408. Unless otherwise provided herein, no funds appropriated in this Act for the acquisition of lands or interests in lands may be expended for the filing of declarations of taking or complaints in condemnation without 【the approval of】 *providing prior notification* to the House and Senate Committees on Appropriations: *Provided*, That this provision shall not apply to funds appropriated to implement the Everglades National Park Protection and Expansion Act of 1989, or to funds appropriated for Federal assistance to the State of Florida to acquire lands for Everglades restoration purposes.

#### 【TIMBER SALE REQUIREMENTS】

【SEC. 410. No timber sale in Alaska's Region 10 shall be advertised if the indicated rate is deficit (defined as the value of the timber is not sufficient to cover all logging and stumpage costs and provide a normal profit and risk allowance under the Forest Service's appraisal process) when appraised using a residual value appraisal. The western red cedar timber from those sales which is surplus to the needs of the domestic processors in Alaska, shall be made available to domestic processors in the contiguous 48 United States at prevailing domestic prices. All additional western red cedar volume not sold to Alaska or contiguous 48 United States domestic processors may be exported to foreign markets at the election of the timber sale holder. All Alaska yellow cedar may be sold at prevailing export prices at the election of the timber sale holder.】

#### PROHIBITION ON NO-BID CONTRACTS

SEC. 【411】409. None of the funds appropriated or otherwise made available by this Act to executive branch agencies may be used to enter into any Federal contract unless such contract is entered into in accordance with the requirements of Chapter 33 of title 41, United States Code, or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless—

(1) Federal law specifically authorizes a contract to be entered into without regard for these requirements, including formula grants for States, or federally recognized Indian tribes; or

(2) such contract is authorized by the Indian Self-Determination and Education Assistance Act (Public Law 93–638, 25 U.S.C. 450 et seq.) or by any other Federal laws that specifically authorize a contract within an Indian tribe as defined in section 4(e) of that Act (25 U.S.C. 450b(e)); or

(3) such contract was awarded prior to the date of enactment of this Act.

#### POSTING OF REPORTS

SEC. **[412]** 410. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

#### NATIONAL ENDOWMENT FOR THE ARTS GRANT GUIDELINES

SEC. **[413]** 411. Of the funds provided to the National Endowment for the Arts—

(1) The Chairperson shall only award a grant to an individual if such grant is awarded to such individual for a literature fellowship, National Heritage Fellowship, or American Jazz Masters Fellowship.

(2) The Chairperson shall establish procedures to ensure that no funding provided through a grant, except a grant made to a State or local arts agency, or regional group, may be used to make a grant to any other organization or individual to conduct activity independent of the direct grant recipient. Nothing in this subsection shall prohibit payments made in exchange for goods and services.

(3) No grant shall be used for seasonal support to a group, unless the application is specific to the contents of the season, including identified programs or projects.

#### NATIONAL ENDOWMENT FOR THE ARTS PROGRAM PRIORITIES

SEC. **[414]** 412. (a) In providing services or awarding financial assistance under the National Foundation on the Arts and the Humanities Act of 1965 from funds appropriated under this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that serve underserved populations.

(b) In this section:

(1) The term "underserved population" means a population of individuals, including urban minorities, who have historically been outside the purview of arts and humanities programs due to factors such as a high incidence of income below the poverty line or to geographic isolation.

(2) The term "poverty line" means the poverty line (as defined by the Office of Management and Budget, and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved.

(c) In providing services and awarding financial assistance under the National Foundation on the Arts and Humanities Act of 1965 with funds appropriated by this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that will encourage public knowledge, education, understanding, and appreciation of the arts.

(d) With funds appropriated by this Act to carry out section 5 of the National Foundation on the Arts and Humanities Act of 1965—

(1) the Chairperson shall establish a grant category for projects, productions, workshops, or programs that are of national impact or availability or are able to tour several States;

(2) the Chairperson shall not make grants exceeding 15 percent, in the aggregate, of such funds to any single State, excluding grants made under the authority of paragraph (1);

(3) the Chairperson shall report to the Congress annually and by State, on grants awarded by the Chairperson in each grant category under section 5 of such Act; and

(4) the Chairperson shall encourage the use of grants to improve and support community-based music performance and education.

#### [STATUS OF BALANCES OF APPROPRIATIONS]

SEC. 415. The Department of the Interior, the Environmental Protection Agency, the Forest Service, and the Indian Health Service shall provide the Committees on Appropriations of the House of Representatives and Senate quarterly reports on the status of balances of appropriations including all uncommitted, committed, and unobligated funds in each program and activity. ]

#### [REPORT ON USE OF CLIMATE CHANGE FUNDS]

SEC. 416. Not later than 120 days after the date on which the President's fiscal year 2017 budget request is submitted to the Congress, the President shall submit a comprehensive report to the Committees on Appropriations of the House of Representatives and the Senate describing in detail all Federal agency funding, domestic and international, for climate change programs, projects, and activities in fiscal years 2015 and 2016, including an accounting of funding by agency with each agency identifying climate change programs, projects, and activities and associated costs by line item as presented in the President's Budget Appendix, and including citations and linkages where practicable to each strategic plan that is driving funding within each climate change program, project, and activity listed in the report. ]

#### [PROHIBITION ON USE OF FUNDS]

SEC. 417. Notwithstanding any other provision of law, none of the funds made available in this Act or any other Act may be used to promulgate or implement any regulation requiring the issuance of permits under title V of the Clean Air Act (42 U.S.C. 7661 et seq.) for carbon dioxide, nitrous oxide, water vapor, or methane emissions resulting from biological processes associated with livestock production. ]

#### [GREENHOUSE GAS REPORTING RESTRICTIONS]

SEC. 418. Notwithstanding any other provision of law, none of the funds made available in this or any other Act may be used to implement any provision in a rule, if that provision requires mandatory reporting of greenhouse gas emissions from manure management systems. ]

#### MODIFICATION OF AUTHORITIES

SEC. **[419]** 413. (a) Section 8162(m)(3) of the Department of Defense Appropriations Act, 2000 (40 U.S.C. 8903 note; Public Law 106–79) is amended by striking "September 30, **[2015]** 2016" and inserting "September 30, **[2016]** 2017".

(b) For fiscal year 2016, the authority provided by the provisos under the heading "Dwight D. Eisenhower Memorial Commission—Capital Construction" in division E of Public Law 112–74 shall not be in effect. ]

#### [FUNDING PROHIBITION]

SEC. 420. None of the funds made available by this or any other Act may be used to regulate the lead content of ammunition, ammunition components, or fishing tackle under the Toxic Substances Control Act (15 U.S.C. 2601 et seq.) or any other law. ]

#### [CONTRACTING AUTHORITIES]

SEC. 421. Section 412 of Division E of Public Law 112–74 is amended by striking "fiscal year 2015," and inserting "fiscal year 2017, ". ]

#### [CHESAPEAKE BAY INITIATIVE]

SEC. 422. Section 502(c) of the Chesapeake Bay Initiative Act of 1998 (Public Law 105–312; 16 U.S.C. 461 note) is amended by striking "2015" and inserting "2017". ]

#### EXTENSION OF GRAZING PERMITS

SEC. **[423]** 414. The terms and conditions of section 325 of Public Law 108–108 (117 Stat. 1307), regarding grazing permits issued by the Forest Service on any lands not subject to administration under section 402 of the Federal Lands Policy and Management Act (43 U.S.C. 1752), shall remain in effect for fiscal year **[2016]** 2017.

#### RECREATION FEE

SEC. 415. Section 810 of the Federal Lands Recreation Enhancement Act (16 U.S.C. 6809) is amended by striking "September 30, 2017" and inserting "September 30, 2018".

#### LIVESTOCK GRAZING ADMINISTRATION

SEC. 416. Beginning on March 1, 2017, and only to the extent and in the amount provided in advance in appropriations Acts, the Secretary of Agriculture shall collect an annual administrative fee for grazing domestic livestock on National Forests in the 16 contiguous western States and on National Grasslands in the amount of \$2.50 per head month for cattle and its equivalent for other livestock. The administrative fee shall be billed and collected using the process as provided in sections 222.50 through 222.52 of title 36, Code of Federal Regulations. Fees collected may be used, subject to appropriation, to offset the cost of administering the livestock grazing program. Nothing in this provision shall affect the calculation, collection, distribution, or use of the grazing fee under 43 U.S.C. 1751(b), title III of the Bankhead Jones Farm Tenant Act (7 U.S.C. 1010), and implementing regulations.

SEC. 417. In fiscal year 2017, beginning on March 1, 2017, and only to the extent and in the amount provided in advance in appropriations Acts, the Secretary of the Interior shall collect an administrative fee to offset the increased cost of administering the livestock grazing program on public lands managed by the Bureau of Land Management by charging \$2.50 per Animal Unit Month, which shall be billed, collected, and subject to the penalties using the same process as the annual grazing fee in 43 C.F.R. 4130.8–1. Penalties assessed shall be deposited in the General Fund of the Treasury. Nothing in this provision affects the calculation, collection,

distribution, or use of the grazing fee under 43 U.S.C. 315–315rr, 43 U.S.C. 1751(b), 43 U.S.C. 1905, Executive Order 12548, or administrative regulation.

OUTER CONTINENTAL SHELF LEASING REVIEW PERIOD

SEC. 418. Section 11(c)(1) of the Outer Continental Shelf Lands Act (43 U.S.C. 1340(c)(1)) is amended in the fourth sentence by striking "thirty" and inserting "ninety".

STEWARDSHIP CONTRACTING AMENDMENTS

SEC. 419. Section 604(d) of the Healthy Forest Restoration Act of 2003 (16 U.S.C. 6591c(d)), as amended by the Agricultural Act of 2014 (Public Law 113–79), is further amended

(a) in paragraph (5), by adding at the end the following: "Notwithstanding the Materials Act of 1947 (30 U.S.C. 602(a)), the Director may enter into an agreement or contract under subsection (b)."; and

(b) in paragraph (7), by striking "and the Director".

PAYMENTS IN LIEU OF TAXES

SEC. 420. Section 6906 of title 31, United States Code, is amended by adding after and below paragraph (2) the following new paragraph:

"Payments made under this chapter are subject to the availability of appropriations. In the event the sums appropriated for any fiscal year to make payments pursuant to this chapter are less than the amounts which provides full payment to all units of local government, then the payment to each local government shall be proportionately reduced."

【USE OF AMERICAN IRON AND STEEL】

【SEC. 424. (a)(1) None of the funds made available by a State water pollution control revolving fund as authorized by section 1452 of the Safe Drinking Water Act (42 U.S.C. 300j-12) shall be used for a project for the construction, alteration, maintenance, or repair of a public water system or treatment works unless all of the iron and steel products used in the project are produced in the United States.

(2) In this section, the term "iron and steel" products means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.

(b) Subsection (a) shall not apply in any case or category of cases in which the Administrator of the Environmental Protection Agency (in this section referred to as the "Administrator") finds that—

(1) applying subsection (a) would be inconsistent with the public interest;

(2) iron and steel products are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or

(3) inclusion of iron and steel products produced in the United States will increase the cost of the overall project by more than 25 percent.

(c) If the Administrator receives a request for a waiver under this section, the Administrator shall make available to the public on an informal basis a copy of the request and information available to the Administrator concerning the request, and shall allow for informal public input on the request for at least 15 days prior to making a finding based on the request. The Administrator shall make the request and accompanying information available by electronic means, including on the official public Internet Web site of the Environmental Protection Agency.

(d) This section shall be applied in a manner consistent with United States obligations under international agreements.

(e) The Administrator may retain up to 0.25 percent of the funds appropriated in this Act for the Clean and Drinking Water State Revolving Funds for carrying out the provisions described in subsection (a)(1) for management and oversight of the requirements of this section.】

【NOTIFICATION REQUIREMENTS】

【SEC. 425. (a) DEFINITIONS.—In this section:

(1) ADMINISTRATOR.—The term "Administrator" means the Administrator of the Environmental Protection Agency.

(2) AFFECTED STATE.—The term "affected State" means any of the Great Lakes States (as defined in section 118(a)(3) of the Federal Water Pollution Control Act (33 U.S.C. 1268(a)(3))).

(3) DISCHARGE.—The term "discharge" means a discharge as defined in section 502 of the Federal Water Pollution Control Act (33 U.S.C. 1362).

(4) GREAT LAKES.—The term "Great Lakes" means any of the waters as defined in section 118(a)(3) of the Federal Water Pollution Control Act (33 U.S.C. 1268(a)(3)).

(5) TREATMENT WORKS.—The term "treatment works" has the meaning given the term in section 212 of the Federal Water Pollution Control Act (33 U.S.C. 1292).

(b) REQUIREMENTS.—

(1) IN GENERAL.—The Administrator shall work with affected States having publicly owned treatment works that discharge to the Great Lakes to create

public notice requirements for a combined sewer overflow discharge to the Great Lakes.

(2A) NOTICE REQUIREMENTS.—The notice requirements referred to in paragraph (1) shall provide for—

(i) the method of the notice;

(ii) the contents of the notice, in accordance with paragraph (3); and

(iii) requirements for public availability of the notice.

(32) MINIMUM REQUIREMENTS.—

(A) IN GENERAL.—The contents of the notice under paragraph (1) shall include—

(i) the dates and times of the applicable discharge;

(ii) the volume of the discharge; and

(iii) a description of any public access areas impacted by the discharge.

(B) CONSISTENCY.—The minimum requirements under this paragraph shall be consistent for all affected States.

(43) ADDITIONAL REQUIREMENTS.—The Administrator shall work with the affected States to include—

(A) follow-up notice requirements that provide a description of—

(i) each applicable discharge;

(ii) the cause of the discharge; and

(iii) plans to prevent a reoccurrence of a combined sewer overflow discharge to the Great Lakes consistent with section 402 of the Federal Water Pollution Control Act (33 U.S.C. 1342) or an administrative order or consent decree under such Act; and

(B) annual publication requirements that list each treatment works from which the Administrator or the affected State receive a follow-up notice.

(54) TIMING.—

(A) The notice and publication requirements described in this subsection shall be implemented by not later than 2 years after the date of enactment of this Act.

(B) The Administrator of the EPA may extend the implementation deadline for individual communities if the Administrator determines the community needs additional time to comply in order to avoid undue economic hardship.

(65) STATE ACTION.—Nothing in this subsection prohibits an affected State from establishing a State notice requirement in the event of a discharge that is more stringent than the requirements described in this subsection.】

【GREAT LAKES RESTORATION INITIATIVE】

【SEC. 426. Section 118(c) of the Federal Water Pollution Control Act (33 U.S.C. 1268(c)) is amended by striking paragraph (7) and inserting the following:

"(7) GREAT LAKES RESTORATION INITIATIVE.—

"(A) ESTABLISHMENT.—There is established in the Agency a Great Lakes Restoration Initiative (referred to in this paragraph as the "Initiative") to carry out programs and projects for Great Lakes protection and restoration.

"(B) FOCUS AREAS.—The Initiative shall prioritize programs and projects carried out in coordination with non-Federal partners and programs and projects that address priority areas each fiscal year, including—

"(i) the remediation of toxic substances and areas of concern;

"(ii) the prevention and control of invasive species and the impacts of invasive species;

"(iii) the protection and restoration of nearshore health and the prevention and mitigation of nonpoint source pollution;

"(iv) habitat and wildlife protection and restoration, including wetlands restoration and preservation; and

"(v) accountability, monitoring, evaluation, communication, and partnership activities.

"(C) PROJECTS.—Under the Initiative, the Agency shall collaborate with Federal partners, including the Great Lakes Interagency Task Force, to select the best combination of programs and projects for Great Lakes protection and restoration using appropriate principles and criteria, including whether a program or project provides—

"(i) the ability to achieve strategic and measurable environmental outcomes that implement the Great Lakes Action Plan and the Great Lakes Water Quality Agreement;

"(ii) the feasibility of—

"(I) prompt implementation;

"(II) timely achievement of results; and

"(III) resource leveraging; and

"(iii) the opportunity to improve interagency and inter-organizational coordination and collaboration to reduce duplication and streamline efforts.

"(D) IMPLEMENTATION OF PROJECTS.—

"(i) IN GENERAL.—Subject to subparagraph (G)(ii), funds made available to carry out the Initiative shall be used to strategically implement—

"(I) Federal projects; and

"(II) projects carried out in coordination with States, Indian tribes, municipalities, institutions of higher education, and other organizations.

"(ii) TRANSFER OF FUNDS.—With amounts made available for the Initiative each fiscal year, the Administrator may—

"(I) transfer not more than the total amount appropriated under subparagraph (G)(i) for the fiscal year to the head of any Federal department or agency, with the concurrence of the department or agency head, to carry out activities to support the Initiative and the Great Lakes Water Quality Agreement; and

"(II) enter into an interagency agreement with the head of any Federal department or agency to carry out activities described in subclause (I).

"(E) SCOPE.—

"(i) IN GENERAL.—Projects shall be carried out under the Initiative on multiple levels, including—

"(I) Great Lakes-wide; and

"(II) Great Lakes basin-wide.

"(ii) LIMITATION.—No funds made available to carry out the Initiative may be used for any water infrastructure activity (other than a green infrastructure project that improves habitat and other ecosystem functions in the Great Lakes) for which amounts are made available from—

"(I) a State water pollution control revolving fund established under title VI; or

"(II) a State drinking water revolving loan fund established under section 1452 of the Safe Drinking Water Act (42 U.S.C. 300j-12).

"(F) ACTIVITIES BY OTHER FEDERAL AGENCIES.—Each relevant Federal department or agency shall, to the maximum extent practicable—

"(i) maintain the base level of funding for the Great Lakes activities of that department or agency without regard to funding under the Initiative; and

"(ii) identify new activities and projects to support the environmental goals of the Initiative.

"(G) FUNDING.—There are authorized to be appropriated to carry out this paragraph for fiscal year 2016, \$300,000,000." ]

#### JOHN F. KENNEDY CENTER REAUTHORIZATION

SEC. [427] 421. Section 13 of the John F. Kennedy Center Act (20 U.S.C. 76r) is amended by striking subsections (a) and (b) and inserting the following:

"(a) MAINTENANCE, REPAIR, AND SECURITY.—There is authorized to be appropriated to the Board to carry out section 4(a)(1)(H), \$22,000,000 for fiscal year [2016] 2017.

"(b) CAPITAL PROJECTS.—There is authorized to be appropriated to the Board to carry out subparagraphs (F) and (G) of section 4(a)(1), \$15,000,000 for fiscal year [2016] 2017."

#### COLLABORATIVE FOREST LANDSCAPE RESTORATION PROGRAM

SEC. 422. Section 4003(f)(6) of Public Law 111-11 (16 U.S.C. 7303(f)(6)) is amended by striking "\$40,000,000" and inserting "\$80,000,000" and by striking "2019" and inserting "2026".

#### SMALL TRACTS ACT CONVEYANCE AUTHORITY, LAND ADJUSTMENT PROGRAM

SEC. 423. The Act of January 12, 1983 (commonly known as the Small Tracts Act (16 U.S.C. 521c - 521i)) is amended—

(a) in section 3—

(1) in the introductory text, by striking "\$150,000" and inserting "\$250,000";

(2) in paragraph (2) by striking "; or" and inserting a semicolon;

(3) in paragraph (3), by striking the period and inserting a semicolon; and

(4) by adding at the end the following—

"(4) parcels of 40 acres or less which are determined by the Secretary to be physically isolated, to be inaccessible, or to have lost their National Forest character;

"(5) parcels of 10 acres or less and encumbered by permanent habitable improvements which are not eligible for conveyance under the Encroachment Category, but which are not intentional trespasses nor for which existing information would have prevented the encroachment;

"(6) parcels used as a cemetery, a landfill, or a sewage treatment plant under a special use authorization issued by the Secretary."

(b) by adding at the end the following—

"SECTION 9. DISPOSITION OF PROCEEDS.

"(a) IN GENERAL.—The net proceeds derived from any sale or exchange under paragraphs (4), (5) and (6) of section 3 shall be deposited in the fund established by Public Law 90-171 (commonly known as the "Sisk Act") (16 U.S.C. 484a).

"(b) USE.—Amounts deposited under subsection (a) shall be available to the Secretary, without further appropriation, and shall remain available until expended for—

"(i) the acquisition of land or interests in land for administrative sites for the National Forest System in the State;

"(ii) the acquisition of land or interests in land for inclusion in the National Forest System within the State, including those which enhance recreational access opportunities."

#### DIRECT HIRE AUTHORITY

SEC. 424. (a) The Secretary of Agriculture may appoint, without regard to the provisions of subchapter I of chapter 33 of title 5, United States Code, other than sections 3303 and 3328 of such title, a qualified candidate described in subsection (b) directly to a position with the United States Department of Agriculture, Forest Service for which the candidate meets Office of Personal Management qualification standards.

(b) Subsection (a) applies to a former resource assistant (as defined in section 203 of the Public Land Corps Act (16 U.S.C. 1722)) who—

(1) completed a rigorous undergraduate or graduate summer internship with a land managing agency, such as the Forest Service Resource Assistant Program

(2) successfully fulfilled the requirements of the internship program; and

(3) subsequently earned an undergraduate or graduate degree from an accredited institution of higher education.

(c) The direct hire authority under this section may not be exercised with respect to a specific qualified candidate after the end of the two-year period beginning on the date on which the candidate completed the undergraduate or graduate degree, as the case may be.

(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)



# DEPARTMENT OF JUSTICE

## GENERAL ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the administration of the Department of Justice, **[\$111,500,000]** \$125,896,000, of which not to exceed \$4,000,000 for security and construction of Department of Justice facilities shall remain available until expended. (Department of Justice Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 015-0129-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Department Leadership .....	18	18	19
0003 Intergovernmental Relations and External Affairs .....	9	9	10
0004 Executive Support and Professional Responsibility .....	12	13	14
0005 Justice Management Division .....	74	72	83
0799 Total direct obligations .....	113	112	126
0801 Salaries and Expenses (Reimbursable) .....	22	21	14
0900 Total new obligations .....	135	133	140
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	9	9
1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1050 Unobligated balance (total) .....	11	9	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	112	112	126
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	19	21	14
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	22	21	14
1900 Budget authority (total) .....	134	133	140
1930 Total budgetary resources available .....	145	142	149
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	19	16
3010 Obligations incurred, unexpired accounts .....	135	133	140
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-132	-136	-139
3050 Unpaid obligations, end of year .....	19	16	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	16	13
3200 Obligated balance, end of year .....	16	13	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	134	133	140
Outlays, gross:			
4010 Outlays from new discretionary authority .....	118	118	124
4011 Outlays from discretionary balances .....	14	18	15
4020 Outlays, gross (total) .....	132	136	139
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-21	-21	-14
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	112	112	126
4080 Outlays, net (discretionary) .....	111	115	125
4180 Budget authority, net (total) .....	112	112	126

4190 Outlays, net (total) .....	111	115	125
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*Program direction and policy coordination.*—The Attorney General of the United States is responsible for leading the Department of Justice in accomplishing its missions. The Attorney General is assisted by the Deputy Attorney General, the Associate Attorney General, Department policy-level officials, and the Justice Management Division. The General Administration appropriation provides the resources for the programs and operations of the Attorney General, the Deputy Attorney General, the Associate Attorney General, and their Offices, several Senior Policy Offices, and the Justice Management Division.

#### Object Classification (in millions of dollars)

Identification code 015-0129-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	51	50	52
11.3 Other than full-time permanent .....	6	6	7
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	58	57	60
12.1 Civilian personnel benefits .....	16	16	18
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	17	17	18
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	3	3	4
25.2 Other services from non-Federal sources .....	5	5	7
25.3 Other goods and services from Federal sources .....	6	6	8
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	2	2	2
99.0 Direct obligations .....	113	112	123
99.0 Reimbursable obligations .....	22	21	17
99.9 Total new obligations .....	135	133	140

#### Employment Summary

Identification code 015-0129-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	465	485	501
2001 Reimbursable civilian full-time equivalent employment .....	68	68	68

## NATIONAL DRUG INTELLIGENCE CENTER

### Program and Financing (in millions of dollars)

Identification code 015-1102-0-1-754	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-2		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2		

In 2013, the NDIC was proposed for elimination, and the resources and personnel required to maintain activities were included in the Drug Enforcement Administration Salaries and Expenses account. This transfer of activities is complete.

JUSTICE INFORMATION SHARING TECHNOLOGY

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for information sharing technology, including planning, development, deployment and departmental direction, **【\$31,000,000】 \$57,561,000**, to remain available until expended: *Provided*, That the Attorney General may transfer up to \$35,400,000 to this account, from funds *made* available to the Department of Justice *in this Act* for information technology, to remain available until expended, for enterprise-wide information technology initiatives: *Provided further*, That the transfer authority in the preceding proviso is in addition to any other transfer authority contained in this Act. (*Department of Justice Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 015-0134-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Justice Information Sharing Technology .....	38	40	58
0801 Justice Information Sharing Technology (Reimbursable) .....	23	24	10
0900 Total new obligations .....	61	64	68
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	28	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	7	28	.....
1021 Recoveries of prior year unpaid obligations .....	8	.....	.....
1050 Unobligated balance (total) .....	18	28	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	26	31	58
1121 Appropriations transferred from other acct [015-1060] ....	1	.....	.....
1121 Appropriations transferred from other acct [015-0322] ....	1	.....	.....
1121 Appropriations transferred from other acct [015-0200] ....	2	.....	.....
1121 Appropriations transferred from other acct [015-1100] ....	1	.....	.....
1121 Appropriations transferred from other acct [015-0324] ....	1	.....	.....
1160 Appropriation, discretionary (total) .....	32	31	58
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] ....	3	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	30	10	10
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	36	10	10
1900 Budget authority (total) .....	71	41	68
1930 Total budgetary resources available .....	89	69	73
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	27	28
3010 Obligations incurred, unexpired accounts .....	61	64	68
3020 Outlays (gross) .....	-60	-63	-65
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	.....	.....
3050 Unpaid obligations, end of year .....	27	28	31
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-23	-23
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-23	-23	-23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	4	5
3200 Obligated balance, end of year .....	4	5	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	68	41	68
Outlays, gross:			
4010 Outlays from new discretionary authority .....	36	38	62
4011 Outlays from discretionary balances .....	24	25	3
4020 Outlays, gross (total) .....	60	63	65
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources against gross budget authority and outlays .....	-30	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
4070 Budget authority, net (discretionary) .....	32	31	58
4080 Outlays, net (discretionary) .....	30	53	55

Mandatory:			
4090 Budget authority, gross .....	3	.....	.....
4180 Budget authority, net (total) .....	35	31	58
4190 Outlays, net (total) .....	30	53	55

Funding for the Justice Information Sharing Technology (JIST) account will provide for corporate investments in information technology (IT). Under the control of the DOJ Chief Information Officer, this centralized fund ensures that investments in information sharing technology are well-planned and aligned with the Department's overall IT strategy and enterprise architecture. The current major initiatives/projects are described below.

*Cybersecurity (Cross Agency Priority Goal).*—Enhancing cybersecurity remains a top priority for the Department and its leadership as DOJ supports a wide range of missions that include National Security, law enforcement, prosecution, and incarceration. For each of these critical missions, the systems that support them must be secured to protect the confidentiality of sensitive information, the availability of data and workflows crucial to mission execution, and the integrity of data guiding critical decision-making. For 2017, the Department devotes its enhancement request entirely toward cybersecurity further securing its posture at the enterprise level. In 2017, \$26.4 million will be invested for Justice Security Operations Center; for the Identity, Credentialing, and Access Management (ICAM) program; to enhance information security and continuous monitoring; and for a stronger Insider Threat program.

*Law Enforcement Information Sharing Program (LEISP).*—LEISP is a Department-wide strategy to facilitate the sharing of information about terrorism, criminal activity, and threats to public safety. LEISP will implement the IT tools needed to facilitate timely, appropriate, and secure sharing of information across the law enforcement community.

*IT Transformation.*—IT Transformation is a long-term, multiyear commitment that implements shared IT infrastructure for the Department and shifts investments to the most efficient computing platforms, including shared services and next generation storage, hosting, networking, and facilities. This directly supports the Federal CIO's 25 Point Plan to Reform Federal IT Management and the Portfolio Stat (PSTAT) process, and aligns the Department's IT operations with the Federal Data Center Consolidation and Shared First initiatives. Work on these initiatives began in 2012, and consists of the following projects: a) e-mail consolidation; b) data center consolidation) mobility and remote access; and c) desktops. In 2017, DOJ will continue to leverage Schedule A hiring authority with a goal of bringing onboard more than 20 private sector IT subject matter experts to progress IT transformation already underway within OCIO. These experts, with varied skill sets from data architects, application hosting, and business intelligence will assist OCIO and component customers to move forward on respective IT initiatives in support of the DOJ mission. Additionally, the OCIO will leverage U.S. Digital Service expertise in its effort to drive innovation in key IT management areas, such as with Digital Acquisition Innovation Labs. OCIO will also continue to leverage its authority under FITARA, through the Department's IT Investment Review Council (DIRC) and Investment Review Board (DIRB), and through the TechStat process, to ensure that all Department IT projects and initiatives are meeting expected milestones and remain with project scope and budget.

*Policy, Planning and Oversight.*—JIST funds the Office of the CIO and the Policy & Planning Staff (PPS), which supports CIO management in complying with the Clinger-Cohen Act, the Federal Information Technology Acquisition Reform Act (FITARA), and other applicable laws, rules, and regulations for federal information resource management. Within OCIO, PPS develops, implements, and oversees an integrated approach for effectively and efficiently planning and managing DOJ's information technology resources, including the creation of operational plans for JIST and monitoring the execution of funds against those plans. PPS is responsible for IT investment management including portfolio, program and project management. The investment management team manages the Department's IT investment and budget planning processes; develops and maintains the Department's general IT program policy and guidance documents; and coordin-

ates the activities of the DIRB DIRC. In addition, PPS performs reviews to examine planned IT acquisitions and procurements to ensure alignment with the Department's IT strategies, policies, and its enterprise road map.

**Object Classification** (in millions of dollars)

Identification code 015-0134-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	11	14	27
25.2 Other services from non-Federal sources .....	7	10	15
25.3 Other goods and services from Federal sources .....	5	3	3
25.4 Operation and maintenance of facilities .....	1	1	1
31.0 Equipment .....	5	2	2
99.0 Direct obligations .....	38	40	58
99.0 Reimbursable obligations .....	23	24	10
99.9 Total new obligations .....	61	64	68

**Employment Summary**

Identification code 015-0134-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	35	45	45

**TACTICAL LAW ENFORCEMENT WIRELESS COMMUNICATIONS**

**Program and Financing** (in millions of dollars)

Identification code 015-0132-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Wireless communications equipment and services .....	2		
0900 Total new obligations (object class 25.3) .....	2		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	4		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....	-2		
1900 Budget authority (total) .....	-2		
1930 Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	4	
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-9	-4	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	4		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	13	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	-2		
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	9	4	
4180 Budget authority, net (total) .....	-2		
4190 Outlays, net (total) .....	9	4	

In 2013, operational and maintenance funding for legacy radio networks was transferred back to the participating components. The management of this program shifted to the Federal Bureau of Investigation (FBI), including resources for developing new technologies as well as improving and up-grading radio infrastructure. The transfer of activities is complete.

**ADMINISTRATIVE REVIEW AND APPEALS**

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the administration of pardon and clemency petitions and immigration-related activities, **[\$426,791,000]** \$437,444,000, of which \$4,000,000 shall be derived by transfer from the Executive Office for Immigration Review fees deposited in the "Immigration Examinations Fee" account: *Provided*, That of the amount available for the Executive Office for Immigration Review, not to exceed \$15,000,000 shall remain available until expended. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0339-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Executive Office for Immigration Review (EOIR) .....	345	420	424
0002 Office of the Pardon Attorney (OPA) .....	4	6	9
0900 Total new obligations .....	349	426	433
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	347	416	424
1100 Appropriation .....		6	9
1121 Appropriations transferred from other acct (070-0300) ....	4	4	4
1160 Appropriation, discretionary (total) .....	351	426	437
1900 Budget authority (total) .....	351	426	437
1930 Total budgetary resources available .....	351	426	437
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....			4

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	70	50
3010 Obligations incurred, unexpired accounts .....	349	426	433
3020 Outlays (gross) .....	-340	-446	-436
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	70	50	47
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	60	70	50
3200 Obligated balance, end of year .....	70	50	47

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	351	426	437
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	283	379	389
4011 Outlays from discretionary balances .....	57	67	47
4020 Outlays, gross (total) .....	340	446	436
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	351	426	437
4080 Outlays, net (discretionary) .....	337	446	436
4180 Budget authority, net (total) .....	351	426	437
4190 Outlays, net (total) .....	337	446	436

This program includes the Office of the Pardon Attorney (OPA) and the Executive Office for Immigration Review (EOIR). The Pardon Attorney receives, reviews, and prepares recommendations to the President for all petitions for executive clemency, i.e., commutation of sentences and pardons, submitted by persons convicted of Federal crimes. EOIR was created on January 9, 1983 through an internal Department of Justice (DOJ) reorganization that combined the Board of Immigration Appeals (BIA) with the Immigration Judge function. Besides establishing EOIR as a separate agency within DOJ, this reorganization made the Immigration Courts independent of the agency charged with enforcement of federal immigration laws. Under delegated authority from the Attorney General, EOIR conducts

ADMINISTRATIVE REVIEW AND APPEALS—Continued

immigration court proceedings, appellate reviews, and administrative hearings. The Office of the Chief Administrative Hearing Officer was added in 1987. EOIR is headed by a Director, appointed by the Attorney General, who oversees 57 Immigration Courts nationwide, BIA, and the headquarters organization located in Falls Church.

Object Classification (in millions of dollars)

Identification code 015-0339-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	127	179	187
11.3 Other than full-time permanent .....	14	11	11
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	143	192	200
12.1 Civilian personnel benefits .....	44	59	58
21.0 Travel and transportation of persons .....	3	4	4
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	34	37	41
23.3 Communications, utilities, and miscellaneous charges .....	7	8	8
25.1 Advisory and assistance services .....	19	6	6
25.2 Other services from non-Federal sources .....	43	55	56
25.3 Other purchases & Svcs from Gov't accounts .....	25	13	12
25.4 Operation and maintenance of facilities .....	1	2	1
25.7 Operation and maintenance of equipment .....	12	20	21
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	8	20	13
42.0 Insurance claims and indemnities .....	1	1	4
99.0 Direct obligations .....	345	422	429
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	349	426	433

Employment Summary

Identification code 015-0339-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,367	1,700	1,884

DETENTION TRUSTEE

Program and Financing (in millions of dollars)

Identification code 015-0136-0-1-752	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	24	1	1
1010 Unobligated balance transfer to other accts [015-1020] .....	-4		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	23	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....	-23		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1		
1900 Budget authority (total) .....	-22		
1930 Total budgetary resources available .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	-22		
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....	-23		

4190 Outlays, net (total) .....	-1		
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In 2013, the Office of the Federal Detention Trustee merged with the U.S. Marshals Service. The costs associated with the care of Federal detainees are now funded through the U.S. Marshals Service-Federal Prisoner Detention appropriation.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, **[\$93,709,000]** \$97,814,000, including not to exceed \$10,000 to meet unforeseen emergencies of a confidential character. (Department of Justice Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 015-0328-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	89	94	98
0801 Office of Inspector General (Reimbursable) .....	12	12	12
0900 Total new obligations .....	101	106	110
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		9	9
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	89	94	98
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	9	12	12
1701 Change in uncollected payments, Federal sources .....	12		
1750 Spending auth from offsetting collections, disc (total) .....	21	12	12
1900 Budget authority (total) .....	110	106	110
1930 Total budgetary resources available .....	110	115	119
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	13	8
3010 Obligations incurred, unexpired accounts .....	101	106	110
3020 Outlays (gross) .....	-103	-111	-110
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	13	8	8
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12		
3071 Change in uncollected pymts, Fed sources, expired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	1	-4
3200 Obligated balance, end of year .....	1	-4	-4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	110	106	110
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	89	99	103
4011 Outlays from discretionary balances .....	14	12	7
4020 Outlays, gross (total) .....	103	111	110
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-15	-12	-12
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-6		
4070 Budget authority, net (discretionary) .....	89	94	98
4080 Outlays, net (discretionary) .....	88	99	98
4180 Budget authority, net (total) .....	89	94	98
4190 Outlays, net (total) .....	88	99	98

The Office of the Inspector General (OIG) was statutorily established in the Department of Justice on April 14, 1989. The OIG investigates alleged violations of criminal and civil laws, regulations, and ethical standards arising from the conduct of the Department's employees. The OIG provides

leadership and assists management in promoting integrity, economy, efficiency, and effectiveness within the Department and in its financial, contractual, and grant relationships with others. Also by statute, the OIG reports to the Attorney General, the Congress, and the public on a semiannual basis regarding its significant activities.

The Audit function is responsible for independent audits and reviews of Department organizations, programs, functions, computer security and information technology systems, and financial statement audits. The Audit function also conducts or reviews external audits of expenditures made under Department contracts, grants, and other agreements.

The Investigations function investigates allegations of civil rights violations, bribery, fraud, abuse and violations of other laws, rules, and procedures that govern Department employees, contractors, and grantees. This function also develops these cases for criminal prosecution, civil action, or administrative action. In some instances, the OIG refers allegations to components within the Department and requests notification of their findings and of any disciplinary action taken.

The Evaluation and Inspections function conducts analyses and makes recommendations to decision makers for improvements in Department programs, policies, and procedures. In addition, this function also conducts shorter and more time-sensitive reviews and evaluations to provide managers with early warnings about possible program deficiencies.

The Oversight and Review function investigates allegations of significant interest to the American public and the Congress and of vital importance to the Department.

The Office of the General Counsel provides legal advice to OIG management and staff. It also drafts memoranda on issues of law; prepares administrative subpoenas; represents the OIG in personnel, contractual, ethics, and legal matters; and responds to Freedom of Information Act requests.

The Management and Planning function provides advice to OIG senior leadership on administrative and fiscal policy and assists OIG components in the areas of budget formulation and execution, security, personnel, training, travel, procurement, property management, information technology, computer network communications, telecommunications, records management, quality assurance, internal controls, and general support.

**Object Classification** (in millions of dollars)

Identification code 015-0328-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	44	47	49
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	49	52	54
12.1 Civilian personnel benefits .....	18	19	20
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	9	10	11
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	3	3	3
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	.....	2	1
42.0 Insurance claims and indemnities .....	1	.....	.....
99.0 Direct obligations .....	88	94	98
99.0 Reimbursable obligations .....	11	12	12
99.5 Adjustment for rounding .....	2	.....	.....
99.9 Total new obligations .....	101	106	110

**Employment Summary**

Identification code 015-0328-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	423	434	440
2001 Reimbursable civilian full-time equivalent employment .....	21	21	21

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 015-4526-0-4-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Financial and employee data .....	165	104	104
0802 Data Processing and Telecommunications .....	424	449	449
0803 Space Management .....	561	607	607
0804 Library Acquisition Services .....	6	5	5
0805 Human Resources .....	13	13	13
0806 Debt Collection Management .....	272	27	27
0807 Mail and Publication Services .....	35	35	35
0810 Security Services .....	35	38	38
0811 Capital Investment .....	30	30	30
0900 Total new obligations .....	1,541	1,308	1,308
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	655	734	635
1012 Unobligated balance transfers between expired and unexpired accounts .....	100	.....	.....
1021 Recoveries of prior year unpaid obligations .....	46	.....	.....
1050 Unobligated balance (total) .....	801	734	635
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....	-99	-69	-165
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,589	1,278	1,278
1701 Change in uncollected payments, Federal sources .....	-16	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1,573	1,278	1,278
1900 Budget authority (total) .....	1,474	1,209	1,113
1930 Total budgetary resources available .....	2,275	1,943	1,748
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	734	635	440
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	516	598	159
3010 Obligations incurred, unexpired accounts .....	1,541	1,308	1,308
3020 Outlays (gross) .....	-1,413	-1,747	-1,143
3040 Recoveries of prior year unpaid obligations, unexpired .....	-46	.....	.....
3050 Unpaid obligations, end of year .....	598	159	324
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-285	-269	-269
3070 Change in uncollected pymts, Fed sources, unexpired .....	16	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-269	-269	-269
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	231	329	-110
3200 Obligated balance, end of year .....	329	-110	55
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,474	1,209	1,113
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,147	1,209	1,113
4011 Outlays from discretionary balances .....	266	538	30
4020 Outlays, gross (total) .....	1,413	1,747	1,143
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,589	-1,278	-1,278
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	16	.....	.....
4070 Budget authority, net (discretionary) .....	-99	-69	-165
4080 Outlays, net (discretionary) .....	-176	469	-135
4180 Budget authority, net (total) .....	-99	-69	-165
4190 Outlays, net (total) .....	-176	469	-135

The Working Capital Fund finances, on a reimbursable basis, those administrative services that can be performed more efficiently at the Department level.

WORKING CAPITAL FUND—Continued

Object Classification (in millions of dollars)

Identification code 015-4526-0-4-751	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	60	66	66
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	61	67	67
12.1 Civilian personnel benefits .....	18	20	20
21.0 Travel and transportation of persons .....	1	2	2
22.0 Transportation of things .....	4	5	5
23.1 Rental payments to GSA .....	506	538	538
23.2 Rental payments to others .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	98	121	121
25.1 Advisory and assistance services .....	93	70	70
25.2 Other services from non-Federal sources .....	505	221	221
25.3 Other goods and services from Federal sources .....	138	162	162
25.3 Rental payments to GSA for WCF only .....	21	34	34
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	7	20	20
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	81	39	39
99.9 Total new obligations .....	1,541	1,308	1,308

Employment Summary

Identification code 015-4526-0-4-751	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	526	599	599

UNITED STATES PAROLE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the United States Parole Commission as authorized, **[\$13,308,000] \$14,000,000: Provided,** That, notwithstanding any other provision of law, upon the expiration of a term of office of a Commissioner, the Commissioner may continue to act until a successor has been appointed. (*Department of Justice Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 015-1061-0-1-751	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Determination of parole of prisoners and supervision of parolees .....	13	13	14
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	14
1930 Total budgetary resources available .....	13	13	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	13	13	14
3020 Outlays (gross) .....	-12	-13	-14
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	13	13	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	11	12
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	12	13	14
4180 Budget authority, net (total) .....	13	13	14
4190 Outlays, net (total) .....	12	13	14

The United States Parole Commission is responsible for (1) making parole release and revocation decisions for all parole-eligible Federal and District of Columbia Code offenders; (2) setting and enforcing the conditions of supervised release for District of Columbia Code offenders; (3) making release decisions for United States citizens convicted of a crime in another country who voluntarily return to the United States for service of sentence; (4) performing parole-related functions for certain military and state offenders; and (5) exercising decision-making authority over state offenders who are on the state probation or parole, and are transferred to Federal authorities under the witness security program.

The Parole Commission works to reduce offender recidivism rates by implementing new revocation guidelines and establishing alternatives to incarceration for low-risk, non-violent offenders. In addition, the Commission seeks to improve the rehabilitation process by monitoring an effective offender supervision program through U.S. and District of Columbia probation officers and through research studies that evaluate the effectiveness of offender supervision programs. The Parole Commission has oversight responsibility for the supervision of District of Columbia parolees and supervised releases under the National Capital Revitalization and Self-Government Improvement Act (P.L. 105-33).

Object Classification (in millions of dollars)

Identification code 015-1061-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6	7	7
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1		
99.9 Total new obligations .....	13	13	14

Employment Summary

Identification code 015-1061-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	59	68	75

LEGAL ACTIVITIES AND U.S. MARSHALS

Federal Funds

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES

For expenses necessary for the legal activities of the Department of Justice, not otherwise provided for, including not to exceed \$20,000 for expenses of collecting evidence, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; and rent of private or Government-owned space in the District of Columbia, **[\$893,000,000] \$957,423,000,** of which not to exceed \$20,000,000 for litigation support contracts shall remain available until expended: *Provided,* That of the amount provided for INTERPOL Washington dues payments, not to exceed \$685,000 shall remain available until expended: *Provided further,* That of the total amount appropriated, not to exceed \$9,000 shall be available to INTERPOL Washington for official reception and representation expenses and not to exceed \$9,000 shall be available to the Criminal Division for official reception and representation expenses: *Provided further,* That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for litigation activities of the Civil Division, the Attorney General may transfer such amounts to "Salaries and Expenses, General Legal Activities" from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further,* That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section **[505] 504** of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further,* That of the amount appropriated, such sums as may be necessary shall be available to the Civil Rights Division for salaries and expenses associated with the election monitoring program under **[section 8 of]** the Voting

Rights Act of 1965 (52 U.S.C. [10305] 10301 et seq.) and to reimburse the Office of Personnel Management for such salaries and expenses: *Provided further*, That of the amounts provided under this heading for the election monitoring program, \$3,390,000 shall remain available until expended.

In addition, for reimbursement of expenses of the Department of Justice associated with processing cases under the National Childhood Vaccine Injury Act of 1986, not to exceed [\$9,358,000] \$11,970,000, to be appropriated from the Vaccine Injury Compensation Trust Fund. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015–0128–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	12	12	12
0002	107	107	114
0003	179	215	233
0004	297	327	359
0005	115	112	122
0006	7	8	8
0007	144	161	166
0008	32	33	37
0799	893	975	1,051
0880	482	586	598
0889	482	586	598
0900	1,375	1,561	1,649
<b>Budgetary resources:</b>			
1000	23	33	
1001		33	
1012	5		
1021	2		
1050	30	33	
1100	885	893	957
1121	13		
1130	-10		
1160	888	893	957
1700	162	573	598
1701	332	37	68
1750	494	610	666
1800		25	26
1900	1,382	1,528	1,649
1930	1,412	1,561	1,649
1940	-4		
1941	33		
<b>Change in obligated balance:</b>			
3000	412	480	280
3010	1,375	1,561	1,649
3020	-1,288	-1,761	-1,631
3040	-2		
3041	-17		
3050	480	280	298
3060	-326	-451	-451
3070	-332		
3071	207		
3090	-451	-451	-451
3100	86	29	-171
3200	29	-171	-153
<b>Budget authority and outlays, net:</b>			
4000	1,382	1,503	1,623
4010	994	1,308	1,412
4011	294	431	193

4020	1,288	1,739	1,605
4030	-339	-610	-666
4033	-28		
4040	-367	-610	-666
4050	-332		
4052	205		
4060	-127		
4070	888	893	957
4080	921	1,129	939
4090		25	26
4100		22	23
4101			3
4110		22	26
4120		-25	-26
4180	888	893	957
4190	921	1,126	939

The following legal activities of the Department are financed from this appropriation:

*Supreme Court proceedings and appellate matters.*—The Office of Solicitor General conducts substantially all litigation on behalf of the United States and its agencies in the Supreme Court of the United States, approves decisions to appeal and seek further review in cases involving the United States in the lower Federal courts, and supervises the handling of litigation in the Federal appellate courts.

*General tax matters.*—The mission of the Tax Division is to enforce the nation's tax laws fully, fairly, and consistently, through both criminal and civil litigation, in order to promote voluntary compliance with the tax laws, maintain public confidence in the integrity of the tax system, and promote the sound development of the law.

*Criminal matters.*—The Criminal Division develops, enforces, and supervises the application of all Federal criminal laws, except those specifically assigned to other divisions. The mission of the Criminal Division is to identify and respond to critical and emerging national and international criminal threats, and to lead the enforcement, regulatory, and intelligence communities in a coordinated nationwide response to reduce those threats.

*Claims, customs, and general civil matters.*—The Civil Division represents the Federal Government in civil litigation to defend Federal statutes, regulations, and policies, and to avoid payment of unjustified monetary claims. It also investigates and pursues perpetrators of financial, economic, health care, and other forms of fraud to recover billions of dollars owed to the Federal Government. Examples of non-monetary litigation include the defense of thousands of challenges to immigration enforcement decisions and to Federal activities involving counterterrorism, as well as enforcement of consumer protection laws.

*Environment and natural resource matters.*—The Environment and Natural Resources Division enforces the Nation's civil and criminal environmental laws and defends environmental challenges to Government action. Additionally, the Division represents the United States in virtually all matters concerning the use and development of the Nation's natural resources and public lands, wildlife protection, Indian rights and claims, worker safety, animal welfare, and the acquisition of Federal property.

*Legal opinions.*—The Office of Legal Counsel provides written opinions and oral advice in response to requests from the Counsel to the President, the various agencies of the executive branch, and offices within the Department, including the offices of the Attorney General and Deputy Attorney General.

*Civil rights matters.*—This program enforces the Nation's Federal civil rights laws. Through the enforcement of a wide range of anti-discrimination laws, the Division gives meaning to our Nation's promise of equal opportunity. The Division works to uphold and defend the civil and constitutional

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES—Continued

rights of all individuals, particularly some of the most vulnerable members of our society. The Division enforces Federal statutes that prohibit discrimination and provide a remedy for constitutional violations.

**INTERPOL Washington.**—This program is the United States National Central Bureau and designated representative to INTERPOL on behalf of the Attorney General. Its mission includes, but is not limited to, facilitating international police cooperation; transmitting criminal justice, humanitarian and other law enforcement related information between U.S. law enforcement authorities and their foreign counterparts, and coordinating and integrating information for investigations of an international nature.

**Reimbursable programs.**—This reflects reimbursable funding for the following:

**Civil Division.**—For litigating cases under the National Childhood Vaccine Injury Act, and for litigating a number of extraordinarily large cases on behalf of the United States;

**Criminal Division.**—For activities related to healthcare fraud and drug prosecutions, international training programs and asset forfeiture related activities;

**Environment and Natural Resources Division.**—From numerous client agencies for personnel, automated litigation support and litigation consultant services for a variety of environmental, natural resource, land acquisition, and Native American cases, including from the Environmental Protection Agency (EPA) for Superfund enforcement litigation; and,

**Civil Rights Division.**—For activities related to the Division's Complaint Adjudication Office and Health Care Fraud activities.

**Object Classification** (in millions of dollars)

Identification code 015-0128-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	385	418	441
11.3 Other than full-time permanent .....	44	48	46
11.5 Other personnel compensation .....	7	7	7
11.8 Special personal services payments .....	3	4	4
11.9 Total personnel compensation .....	439	477	498
12.1 Civilian personnel benefits .....	131	142	149
21.0 Travel and transportation of persons .....	16	20	22
22.0 Transportation of things .....	3	4	4
23.1 Rental payments to GSA .....	105	111	120
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	14	14	15
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	25	18	19
25.2 Other services from non-Federal sources .....	96	96	100
25.3 Other goods and services from Federal sources .....	30	61	79
25.4 Operation and maintenance of facilities .....	2	2	10
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	3	4	4
31.0 Equipment .....	11	6	8
32.0 Land and structures .....			2
41.0 Grants, subsidies, and contributions .....	11	14	15
99.0 Direct obligations .....	893	975	1,051
99.0 Reimbursable obligations .....	482	586	598
99.9 Total new obligations .....	1,375	1,561	1,649

**Employment Summary**

Identification code 015-0128-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,478	3,840	4,008
2001 Reimbursable civilian full-time equivalent employment .....	563	681	610

SALARIES AND EXPENSES, ANTITRUST DIVISION

For expenses necessary for the enforcement of antitrust and kindred laws, **[\$164,977,000]** \$180,506,000, to remain available until expended: *Provided*, That notwithstanding any other provision of law, fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (and estimated to be **[\$124,000,000]**

*\$128,000,000* in fiscal year **[2016]** 2017), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2016]** 2017, so as to result in a final fiscal year **[2016]** 2017 appropriation from the general fund estimated at **[\$40,977,000]** \$52,506,000. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0319-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Antitrust .....	159	179	166
0801 Salaries and Expenses, Antitrust Division (Reimbursable) .....	5	2	
0900 Total new obligations .....	164	181	166
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	16	14	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	17	14	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	47	61	53
1131 Unobligated balance of appropriations permanently reduced .....	-6		
1160 Appropriation, discretionary (total) .....	41	61	53
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	117	106	128
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	120	106	128
1900 Budget authority (total) .....	161	167	181
1930 Total budgetary resources available .....	178	181	181
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	14		15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	29	35
3010 Obligations incurred, unexpired accounts .....	164	181	166
3020 Outlays (gross) .....	-157	-175	-179
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	29	35	22
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21	24	30
3200 Obligated balance, end of year .....	24	30	17
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	161	167	181
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	139	150	163
4011 Outlays from discretionary balances .....	18	25	16
4020 Outlays, gross (total) .....	157	175	179
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-2	-106	-128
4033 Non-Federal sources .....	-115		
4040 Offsets against gross budget authority and outlays (total) ....	-117	-106	-128
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4070 Budget authority, net (discretionary) .....	41	61	53
4080 Outlays, net (discretionary) .....	40	69	51
4180 Budget authority, net (total) .....	41	61	53
4190 Outlays, net (total) .....	40	69	51

The Antitrust Division administers and enforces antitrust and related statutes. This program primarily involves the investigation of suspected violations of the antitrust laws, the conduct of civil and criminal proceedings in the Federal courts, and the maintenance of competitive conditions.

The Federal Trade Commission (FTC) and the Department of Justice Antitrust Division are responsible for reviewing corporate mergers to ensure they do not promote anticompetitive practices. Revenue collected from pre-merger filing fees, known as Hart-Scott-Rodino (HSR) fees, are collected by the FTC and split evenly between the two agencies. In 2017, the Antitrust Division will continue to collect filing fees for pre-merger notifications and will retain these fees for expenditure in support of its programs. The Budget proposes to increase the HSR fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over \$1 billion. Under the proposal, the fee increase would take effect in 2018.

**Object Classification** (in millions of dollars)

Identification code 015-0319-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	61	69	69
11.3 Other than full-time permanent .....	12	14	14
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	74	84	84
12.1 Civilian personnel benefits .....	22	25	25
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	21	22	21
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	23	37	24
25.3 Other goods and services from Federal sources .....	2	2	3
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	10	2	2
99.0 Direct obligations .....	159	179	166
99.0 Reimbursable obligations .....	5	2	
99.9 Total new obligations .....	164	181	166

**Employment Summary**

Identification code 015-0319-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	638	694	694

**SALARIES AND EXPENSES, UNITED STATES ATTORNEYS**

For necessary expenses of the Offices of the United States Attorneys, including inter-governmental and cooperative agreements, **[\$2,000,000,000] \$2,074,402,000:** *Provided*, That of the total amount appropriated, not to exceed \$7,200 shall be available for official reception and representation expenses: *Provided further*, That not to exceed \$25,000,000 shall remain available until expended: *Provided further*, That each United States Attorney shall establish or participate in a task force on human trafficking. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0322-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Criminal .....	1,466	1,514	1,572
0003 Civil .....	487	494	513
0004 Legal Education .....	30	25	25
0799 Total direct obligations .....	1,983	2,033	2,110
0801 Salaries and Expenses, United States Attorneys (Reimbursable) .....	372	438	440
0900 Total new obligations .....	2,355	2,471	2,550
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	52	51	84
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		6	
1012 Unobligated balance transfers between expired and unexpired accounts .....	27		
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	83	51	84

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,960	2,000	2,074
1120 Appropriations transferred to other acct [015-0134] .....	-1		
1121 Appropriations transferred from other acct [011-1070] .....	1		
1130 Appropriations permanently reduced .....	-9		
1160 Appropriation, discretionary (total) .....	1,951	2,000	2,074
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	350	438	438
1700 Collected - HCFAC Discretionary .....		33	38
1701 Change in uncollected payments, Federal sources .....	29		
1750 Spending auth from offsetting collections, disc (total) .....	379	471	476
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....		33	36
1900 Budget authority (total) .....	2,330	2,504	2,586
1930 Total budgetary resources available .....	2,413	2,555	2,670
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-7		
1941 Unexpired unobligated balance, end of year .....	51	84	120

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	397	428	249
3010 Obligations incurred, unexpired accounts .....	2,355	2,471	2,550
3020 Outlays (gross) .....	-2,293	-2,650	-2,519
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-27		
3050 Unpaid obligations, end of year .....	428	249	280
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-121	-105	-105
3070 Change in uncollected pymts, Fed sources, unexpired .....	-29		
3071 Change in uncollected pymts, Fed sources, expired .....	45		
3090 Uncollected pymts, Fed sources, end of year .....	-105	-105	-105
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	276	323	144
3200 Obligated balance, end of year .....	323	144	175

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,330	2,471	2,550
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,033	2,212	2,281
4011 Outlays from discretionary balances .....	260	405	202
4020 Outlays, gross (total) .....	2,293	2,617	2,483
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-395	-471	-476
4040 Offsets against gross budget authority and outlays (total) .....	-395	-471	-476
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-29		
4052 Offsetting collections credited to expired accounts .....	45		
4060 Additional offsets against budget authority only (total) .....	16		
4070 Budget authority, net (discretionary) .....	1,951	2,000	2,074
4080 Outlays, net (discretionary) .....	1,898	2,146	2,007
<b>Mandatory:</b>			
4090 Budget authority, gross .....		33	36
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		33	36
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....		-33	-36
4180 Budget authority, net (total) .....	1,951	2,000	2,074
4190 Outlays, net (total) .....	1,898	2,146	2,007

There are 94 United States Attorneys' Offices located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. The 93 U.S. Attorneys (Guam and the Northern Mariana Islands are under the direction of a single U.S. Attorney) prosecute criminal offenses against the United States, represent the Government in civil actions in which the United States is concerned, and initiate proceedings for the collection of fines, penalties, and forfeitures owed to the United States. For 2017, the U.S. Attorneys request \$27 million to support eDiscovery activities, countering violent extremism efforts, and hiring additional Prevention and Reentry Coordinators to help reduce recidivism, prevent crime, and create better outcomes for at-risk individuals.

SALARIES AND EXPENSES, UNITED STATES ATTORNEYS—Continued

Object Classification (in millions of dollars)

Identification code 015-0322-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	911	940	975
11.3 Other than full-time permanent .....	73	83	84
11.5 Other personnel compensation .....	12	12	12
11.9 Total personnel compensation .....	996	1,035	1,071
12.1 Civilian personnel benefits .....	315	329	336
21.0 Travel and transportation of persons .....	31	33	33
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	255	265	268
23.2 Rental payments to others .....	5	5	21
23.3 Communications, utilities, and miscellaneous charges .....	30	31	31
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	24	36	36
25.2 Other services from non-Federal sources .....	165	163	166
25.3 Purchases from Govt Accts .....	42	44	52
25.4 Operation and maintenance of facilities .....	3	3	3
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	10	10	11
26.0 Supplies and materials .....	12	12	12
31.0 Equipment .....	73	50	53
32.0 Land and structures .....	15	10	10
99.0 Direct obligations .....	1,983	2,033	2,110
99.0 Reimbursable obligations .....	372	438	440
99.9 Total new obligations .....	2,355	2,471	2,550

Employment Summary

Identification code 015-0322-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9,532	9,982	10,112
2001 Reimbursable civilian full-time equivalent employment .....	1,467	1,695	1,713

SALARIES AND EXPENSES, FOREIGN CLAIMS SETTLEMENT COMMISSION

For expenses necessary to carry out the activities of the Foreign Claims Settlement Commission, including services as authorized by section 3109 of title 5, United States Code, **[\$2,374,000]** \$2,409,000. (Department of Justice Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 015-0100-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Foreign Claims .....	2	2	2
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2	2	2
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

The Foreign Claims Settlement Commission adjudicates the claims of American nationals (individuals and corporations) arising out of the nation-

alization, expropriation or other taking of their property, or injury, caused by foreign governments and which are paid out of foreign government funds, pursuant to the International Claims Settlement Act of 1949 and other statutes. In 2017, the Commission will continue to administer the Iraq Claims Program in accordance with the October 7, 2014 referral by the Department of the State; the Libya Claims Program in accordance with the U.S.-Libya Claims Settlement Agreement of August 14, 2008, and the Libyan Claims Resolution Act (LCRA), passed by Congress and signed into law on August 4, 2008; and the Albania Claims Program in accordance with the 1995 United States-Albanian Claims Settlement Agreement.

Object Classification (in millions of dollars)

Identification code 015-0100-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations .....	2	2	2

Employment Summary

Identification code 015-0100-0-1-153	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6	9	10

SALARIES AND EXPENSES

For necessary expenses of the United States Marshals Service, **[\$1,230,581,000]** \$1,275,156,000, of which not to exceed \$6,000 shall be available for official reception and representation expenses, and not to exceed \$15,000,000 shall remain available until expended. (Department of Justice Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 015-0324-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Judicial and Courthouse Security .....	464	473	480
0003 Fugitive Apprehension .....	405	416	445
0004 Prisoner Security and Transportation .....	255	259	264
0005 Protection of Witnesses .....	36	37	37
0006 Tactical Operations .....	41	46	49
0799 Total direct obligations .....	1,201	1,231	1,275
0801 Salaries and Expenses, United States Marshals Service (Reimbursable) .....	26	36	36
0900 Total new obligations .....	1,227	1,267	1,311
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	51	54
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	12	51	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	20	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	3	3
1050 Unobligated balance (total) .....	33	54	57
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,195	1,231	1,275
1120 Appropriations transferred to other acct [015-0134] .....	-1	.....	.....
1121 Appropriations transferred from other acct [011-1070] .....	1	.....	.....
1160 Appropriation, discretionary (total) .....	1,195	1,231	1,275
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....	29	.....	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	27	36	36
1900 Budget authority (total) .....	1,251	1,267	1,311
1930 Total budgetary resources available .....	1,284	1,321	1,368
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-6	.....	.....
1941 Unexpired unobligated balance, end of year .....	51	54	57

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	168	125	96
3010 Obligations incurred, unexpired accounts .....	1,227	1,267	1,311

3011	Obligations incurred, expired accounts .....	7		
3020	Outlays (gross) .....	-1,253	-1,293	-1,307
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-3	-3
3041	Recoveries of prior year unpaid obligations, expired .....	-23		
3050	Unpaid obligations, end of year .....	125	96	97
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-6	-6
3071	Change in uncollected pymts, Fed sources, expired .....	10		
3090	Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	152	119	90
3200	Obligated balance, end of year .....	119	90	91
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,222	1,267	1,311
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	1,119	1,140	1,180
4011	Outlays from discretionary balances .....	134	124	127
4020	Outlays, gross (total) .....	1,253	1,264	1,307
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-35	-36	-36
4033	Non-Federal sources .....	-4		
4034	Offsetting governmental collections .....	-2		
4040	Offsets against gross budget authority and outlays (total) ...	-41	-36	-36
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	14		
4060	Additional offsets against budget authority only (total) .....	14		
4070	Budget authority, net (discretionary) .....	1,195	1,231	1,275
4080	Outlays, net (discretionary) .....	1,212	1,228	1,271
	Mandatory:			
4090	Budget authority, gross .....	29		
	Outlays, gross:			
4101	Outlays from mandatory balances .....		29	
4180	Budget authority, net (total) .....	1,224	1,231	1,275
4190	Outlays, net (total) .....	1,212	1,257	1,271

The Federal Government is represented by a United States Marshal in each of the 94 judicial districts. The primary mission of the United States Marshals Service (USMS) is to protect, defend and enforce the American justice system by providing security of Federal court facilities and the safety of judges and other court personnel; apprehending fugitives and non-compliant sex offenders; exercising custody of Federal prisoners and providing for their security and transportation from arrest to incarceration; assuring the safety of protected government witnesses and their families; executing Federal warrants and court orders; managing seized assets acquired through illegal means and providing custody, management and disposal of forfeited assets. The USMS is the principal support force in the Federal judicial system and an integral part of the Federal law enforcement community.

Other Federal funds are derived from the Administrative Office of the U.S. Courts for the judicial facility security program, the Assets Forfeiture Fund for seized assets management and disposal, the Fees and Expenses of Witnesses appropriation for protected witnesses' security and relocation, the Organized Crime Drug Enforcement Task Force Program for multi-agency drug investigations, and the Office of National Drug Control Policy and the Centers for Disease Control for security services. Non-Federal funds are derived from State and local governments for witness protection and the transportation of prisoners pursuant to State writs, as well as fees collected from service of civil process and sales associated with judicial orders.

**Object Classification** (in millions of dollars)

Identification code 015-0324-0-1-752				
		2015 actual	2016 est.	2017 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	418	432	438
11.3	Other than full-time permanent .....	14	14	14
11.5	Other personnel compensation .....	86	90	91
11.8	Special personal services payments .....	5	6	6
11.9	Total personnel compensation .....	523	542	549

12.1	Civilian personnel benefits .....	241	249	254
21.0	Travel and transportation of persons .....	22	22	22
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	193	214	209
23.2	Rental payments to others .....	12	4	2
23.3	Communications, utilities, and miscellaneous charges .....	23	23	23
25.1	Advisory and assistance services .....	22	20	24
25.2	Other services from non-Federal sources .....	20	21	32
25.3	Other goods and services from Federal sources .....	70	60	45
25.4	Operation and maintenance of facilities .....	8	8	8
25.7	Operation and maintenance of equipment .....	23	27	27
25.8	Subsistence and support of persons .....	2		
26.0	Supplies and materials .....	18	18	22
31.0	Equipment .....	23	21	56
42.0	Insurance claims and indemnities .....		1	1
99.0	Direct obligations .....	1,201	1,231	1,275
99.0	Reimbursable obligations .....	26	36	36
99.9	Total new obligations .....	1,227	1,267	1,311

**Employment Summary**

Identification code 015-0324-0-1-752		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	4,876	4,851	4,913
2001	Reimbursable civilian full-time equivalent employment .....	362	410	410

**CONSTRUCTION**

For construction in space controlled, occupied or utilized by the United States Marshals Service for prisoner holding and related support, **[\$15,000,000]** \$10,000,000, to remain available until expended. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0133-0-1-751				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Construction .....	12	15	10
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	1	3
1021	Recoveries of prior year unpaid obligations .....	1	2	2
1050	Unobligated balance (total) .....	3	3	5
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	10	15	10
1930	Total budgetary resources available .....	13	18	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	3	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	35	37	39
3010	Obligations incurred, unexpired accounts .....	12	15	10
3020	Outlays (gross) .....	-9	-11	-11
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050	Unpaid obligations, end of year .....	37	39	36
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	35	37	39
3200	Obligated balance, end of year .....	37	39	36
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	10	15	10
Outlays, gross:				
4010	Outlays from new discretionary authority .....		1	1
4011	Outlays from discretionary balances .....	9	10	10
4020	Outlays, gross (total) .....	9	11	11
4180	Budget authority, net (total) .....	10	15	10
4190	Outlays, net (total) .....	9	11	11

The Construction appropriation provides resources to modify spaces controlled, occupied and/or utilized by the United States Marshals Service for prisoner holding and related support.

CONSTRUCTION—Continued  
Object Classification (in millions of dollars)

Identification code 015-0133-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.4 Operation and maintenance of facilities .....	2	2	2
31.0 Equipment .....	3	3	3
32.0 Land and structures .....	7	10	5
99.9 Total new obligations .....	12	15	10

FEDERAL PRISONER DETENTION  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses related to United States prisoners in the custody of the United States Marshals Service as authorized by section 4013 of title 18, United States Code, [ \$1,454,414,000 ] \$1,504,009,000, to remain available until expended: *Provided*, That not to exceed \$20,000,000 shall be considered "funds appropriated for State and local law enforcement assistance" pursuant to section 4013(b) of title 18, United States Code: *Provided further*, That the United States Marshals Service shall be responsible for managing the Justice Prisoner and Alien Transportation System: *Provided further*, That any unobligated balances available from funds appropriated under the heading "General Administration, Detention Trustee" shall be transferred to and merged with the appropriation under this heading.

(CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$24,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of Justice Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 015-1020-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Prisoner Detention .....	353	1,258	1,480
0100 Direct program activities, subtotal .....	353	1,258	1,480
0801 Federal Prisoner Detention (Reimbursable) .....	1,100		
0900 Total new obligations .....	1,453	1,258	1,480
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	208	184	207
1011 Unobligated balance transfer from other acct [015-0136] .....	4		
1021 Recoveries of prior year unpaid obligations .....	18	23	23
1050 Unobligated balance (total) .....	230	207	230
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	495	1,454	1,504
1130 Appropriations permanently reduced .....	-188		
1131 Unobligated balance of appropriations permanently reduced .....		-196	-24
1160 Appropriation, discretionary (total) .....	307	1,258	1,480
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,100		
1900 Budget authority (total) .....	1,407	1,258	1,480
1930 Total budgetary resources available .....	1,637	1,465	1,710
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	184	207	230
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	151	221	206
3010 Obligations incurred, unexpired accounts .....	1,453	1,258	1,480
3020 Outlays (gross) .....	-1,365	-1,250	-1,472
3040 Recoveries of prior year unpaid obligations, unexpired .....	-18	-23	-23
3050 Unpaid obligations, end of year .....	221	206	191
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	151	221	206
3200 Obligated balance, end of year .....	221	206	191

Budget authority and outlays, net:

Identification code	2015 actual	2016 est.	2017 est.
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,407	1,258	1,480
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,024	1,040	1,254
4011 Outlays from discretionary balances .....	341	210	218
4020 Outlays, gross (total) .....	1,365	1,250	1,472
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,100		
4180 Budget authority, net (total) .....	307	1,258	1,480
4190 Outlays, net (total) .....	265	1,250	1,472

The Federal Prisoner Detention (FPD) account is responsible for the costs associated with the care of Federal detainees in the custody of the United States Marshals Service (USMS). The USMS must ensure the safe, secure, and humane confinement of persons in its custody while allowing unimpeded prisoner transportation operations. The FPD account provides for the care of Federal detainees in private, State, and local facilities, which includes housing, subsistence, transportation, medical care, and medical guard service.

For 2017, FPD requests funding for housing, medical, and transportation costs associated with the projected USMS detention population. The Federal Government utilizes various methods to house detainees. Detention bed space for Federal detainees is acquired to maximize efficiency and effectiveness for the Government through: (1) Federally-owned and managed detention facilities, where the Government has paid for construction and operation of the facility (funded in the Federal Bureau of Prisons' (BOP) account); (2) Intergovernmental Agreements (IGAs) with State and local jurisdictions, whose excess prison and jail bed capacity is utilized and paid via a daily rate; and (3) Private performance-based contract facilities, where a daily rate is paid. Over three-quarters of the USMS's Federally detained population will likely be housed in State, local, and private facilities.

The USMS continues to look for efficiencies and cost reductions and avoidance in detention through process and infrastructure improvements. The costs associated with these efforts will be funded from the FPD account. Improvements to date include implementation of eDesignate, which was developed to provide a more efficient workflow between the U.S. Courts, the USMS, and the BOP; establishment of Regional Transfer Centers and Ground Transfer Centers to accelerate the movement of prisoners to a designated BOP facility; and increased use of detention alternatives by providing funding to the Federal Judiciary to support alternatives to pretrial detention, such as electronic monitoring, halfway house placement, and drug testing and treatment. The USMS will continue to identify issues and develop solutions to drive further efficiencies.

Object Classification (in millions of dollars)

Identification code 015-1020-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	2	2	2
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1		
25.1 Advisory and assistance services .....	8	6	6
25.3 Other goods and services from Federal sources .....	67	64	66
25.4 Operation and maintenance of facilities .....	7	7	7
25.6 Medical care .....	92	94	97
25.7 Operation and maintenance of equipment .....	3	1	1
25.8 Subsistence and support of persons .....	169	1,081	1,298
32.0 Land and structures .....	1		
99.0 Direct obligations .....	353	1,258	1,480
99.0 Reimbursable obligations .....	1,100		
99.9 Total new obligations .....	1,453	1,258	1,480

**Employment Summary**

Identification code 015-1020-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12	19	19

**FEES AND EXPENSES OF WITNESSES**

For fees and expenses of witnesses, for expenses of contracts for the procurement and supervision of expert witnesses, for private counsel expenses, including advances, and for expenses of foreign counsel, \$270,000,000, to remain available until expended, of which not to exceed \$16,000,000 is for construction of buildings for protected witness safesites; not to exceed \$3,000,000 is for the purchase and maintenance of armored and other vehicles for witness security caravans; and not to exceed \$13,000,000 is for the purchase, installation, maintenance, and upgrade of secure telecommunications equipment and a secure automated information network to store and retrieve the identities and locations of protected witnesses: *Provided*, That amounts made available under this heading may not be transferred pursuant to section 205 of this Act. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0311-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Fees and expenses of witnesses .....	211	210	210
0002 Protection of witnesses .....	50	45	45
0003 Private counsel .....	3	8	8
0004 Foreign counsel .....	12	5	5
0005 Alternative Dispute Resolution .....	2	2	2
0900 Total new obligations .....	278	270	270
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	224	222	204
1021 Recoveries of prior year unpaid obligations .....	39		
1050 Unobligated balance (total) .....	263	222	204
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	270	270	270
1220 Appropriations transferred to other acct [015-0128] .....	-13		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-20	-18	
1260 Appropriations, mandatory (total) .....	237	252	270
1930 Total budgetary resources available .....	500	474	474
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	222	204	204
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	255	309	94
3010 Obligations incurred, unexpired accounts .....	278	270	270
3020 Outlays (gross) .....	-185	-485	-267
3040 Recoveries of prior year unpaid obligations, unexpired .....	-39		
3050 Unpaid obligations, end of year .....	309	94	97
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	255	309	94
3200 Obligated balance, end of year .....	309	94	97
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	237	252	270
Outlays, gross:			
4100 Outlays from new mandatory authority .....	94	176	189
4101 Outlays from mandatory balances .....	91	309	78
4110 Outlays, gross (total) .....	185	485	267
4180 Budget authority, net (total) .....	237	252	270
4190 Outlays, net (total) .....	185	485	267

This appropriation is used to pay fees and expenses of witnesses who appear on behalf of the Government in litigation in which the United States is a party. The United States Attorneys, the United States Marshals Service, and the Department's six litigating divisions are served by this appropriation.

*Fees and expenses of witnesses.*—Pays the fees and expenses associated with the presentation of testimony on behalf of the United States for fact

witnesses, who testify as to events or facts about which they have personal knowledge, and for expert witnesses, who provide technical or scientific testimony. This program also pays the fees of physicians and psychiatrists who examine accused persons upon order of the court to determine their mental competency.

*Protection of witnesses.*—Pays subsistence and other costs to ensure the safety of Government witnesses whose testimony on behalf of the United States places them or their families in jeopardy.

*Victim compensation fund.*—Pays restitution to any victim of a crime committed by a protected witness who causes or threatens death or serious bodily injury.

*Private counsel.*—Pays private counsel retained to represent Government employees who are sued, charged, or subpoenaed for actions taken while performing their official duties (private counsel expenditures may be authorized for congressional testimony as well as for litigation in instances where Government counsel is precluded from representing the employee or private counsel is otherwise appropriate).

*Foreign Counsel.*—Allows the Civil Division, which is authorized to oversee litigation in foreign courts, to pay legal expenses of foreign counsel, retained and supervised by the Department of Justice, who represent the United States in cases filed in foreign courts.

*Alternative Dispute Resolution.*—Pays the costs of providing Alternative Dispute Resolution (ADR) services in instances wherein the Department has taken the initiative to use such services and wherein the courts have directed the parties to attempt a settlement using mediation or some other ADR process.

**Object Classification** (in millions of dollars)

Identification code 015-0311-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.8 Fees and expenses of witnesses .....	211	206	206
11.8 Fees, protection of witnesses .....	50	50	50
11.9 Total personnel compensation .....	261	256	256
21.0 Per diem in lieu of subsistence .....	4	4	4
25.1 Advisory and assistance services .....	5	4	4
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	2	2	2
25.8 Subsistence and support of persons .....	3	1	1
99.9 Total new obligations .....	278	270	270

**SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Community Relations Service, **[\$14,446,000]** *18,990,000*: *Provided*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for conflict resolution and violence prevention activities of the Community Relations Service, the Attorney General may transfer such amounts to the Community Relations Service, from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section **[505]** 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0500-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Community Relations Service .....	12	14	19
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	14	19

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE—Continued  
Program and Financing—Continued

Identification code 015-0500-0-1-752	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....	12	14	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	4
3010 Obligations incurred, unexpired accounts .....	12	14	19
3020 Outlays (gross) .....	-11	-13	-18
3050 Unpaid obligations, end of year .....	3	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	4
3200 Obligated balance, end of year .....	3	4	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	14	19
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	12	16
4011 Outlays from discretionary balances .....	1	1	2
4020 Outlays, gross (total) .....	11	13	18
4180 Budget authority, net (total) .....	12	14	19
4190 Outlays, net (total) .....	11	13	18

The Community Relations Service (CRS) is an agency of the Department of Justice that provides assistance to state and local communities in the prevention and resolution of tension, violence, and civil disorders relating to actual or perceived discrimination on the basis of race, color, or national origin. The Service also works with communities to employ strategies to prevent and respond to violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion or disability. CRS helps local leaders, including community members, law enforcement, government officials, and affected parties work together on a voluntary basis to develop locally defined and locally implemented solutions. As a result, CRS builds community capacity to manage conflicts and create permanent mechanisms that communities can use to independently resolve future conflicts. By empowering communities to prevent hate violence and address tension associated with alleged discrimination, CRS helps law enforcement, community leaders and city officials avoid costly litigation, preserve scarce resources, protect public safety, and ultimately enhance community stability.

Object Classification (in millions of dollars)

Identification code 015-0500-0-1-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	6	8
12.1 Civilian personnel benefits .....	1	2	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	2	2
25.2 Other services from non-Federal sources .....	3	3	5
99.9 Total new obligations .....	12	14	19

Employment Summary

Identification code 015-0500-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	43	58	74

INDEPENDENT COUNSEL

A permanent appropriation is available to fund independent and special counsel activities (28 U.S.C. 591 note).

SEPTEMBER 11TH VICTIM COMPENSATION (GENERAL FUND)  
Program and Financing (in millions of dollars)

Identification code 015-0340-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Victim Compensation .....	104	2,525	.....
0002 Management and Administration .....	17	37	.....
0900 Total new obligations .....	121	2,562	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	130	2,565	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-9	-3	.....
1260 Appropriations, mandatory (total) .....	121	2,562	.....
1930 Total budgetary resources available .....	121	2,562	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	11	61
3010 Obligations incurred, unexpired accounts .....	121	2,562	.....
3020 Outlays (gross) .....	-121	-2,512	-35
3050 Unpaid obligations, end of year .....	11	61	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	11	61
3200 Obligated balance, end of year .....	11	61	26
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	121	2,562	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	110	2,500	.....
4101 Outlays from mandatory balances .....	11	12	35
4110 Outlays, gross (total) .....	121	2,512	35
4180 Budget authority, net (total) .....	121	2,562	.....
4190 Outlays, net (total) .....	121	2,512	35

Title II of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) reopened the September 11th Victim Compensation Fund (VCF), extending eligibility for compensation associated with physical harm stemming from debris removal and extending the deadline for claims associated with harms not discovered before the Fund's original deadline. The VCF was established by the Air Transportation Safety and System Stabilization Act (P.L. 107-42) as an alternative to litigation, and had terminated operations in 2004 after making compensation payments of more than \$7 billion to survivors of 2,880 persons killed in the September 11, 2001 terrorist attacks and to 2,680 individuals who were injured in the attacks and subsequent rescue efforts. The James Zadroga 9/11 Health and Compensation Act of 2010 reopened the VCF and made \$2.775 billion available for the settlement of claim determinations issued on or before December 17, 2015. Once all claims in this group are resolved, any remaining funds will become available in the new Victims Compensation Fund. The Victims Compensation Fund will be available for the settlement of claim determinations issued after December 17, 2015.

Object Classification (in millions of dollars)

Identification code 015-0340-0-1-754	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	.....	37	.....
42.0 Insurance claims and indemnities .....	121	2,525	.....
99.9 Total new obligations .....	121	2,562	.....

VICTIMS COMPENSATION FUND

Public Law 114-113 provides \$4.6 billion starting in 2017 for the settlement of claims related to the September 11th attacks. Per Section 410, a new Treasury account will be established called the "Victims Compensation

Fund." This new fund will be available for the settlement of claim determinations issued after December 17, 2015.

**UNITED STATES TRUSTEE SYSTEM FUND**

For necessary expenses of the United States Trustee Program, as authorized, **[\$225,908,000] \$229,717,000**, to remain available until expended: *Provided*, That, notwithstanding any other provision of law, deposits to the United States Trustee System Fund and amounts herein appropriated shall be available in such amounts as may be necessary to pay refunds due depositors: *Provided further*, That, notwithstanding any other provision of law, fees collected pursuant to section 589a(b) of title 28, United States Code, shall be retained and used for necessary expenses in this appropriation and shall remain available until expended: *Provided further*, That to the extent that fees collected in fiscal year **[2016] 2017**, net of amounts necessary to pay refunds due depositors, exceed **[\$225,908,000] \$229,717,000**, those excess amounts shall be available in future fiscal years only to the extent provided in advance in appropriations Acts: *Provided further*, That the sum herein appropriated from the general fund shall be reduced (1) as such fees are received during fiscal year **[2016] 2017**, net of amounts necessary to pay refunds due depositors, (estimated at **[\$162,400,000] \$248,000,000**) and (2) to the extent that any remaining general fund appropriations can be derived from amounts deposited in the Fund in previous fiscal years that are not otherwise appropriated, so as to result in a final fiscal year **[2016] 2017** appropriation from the general fund estimated at \$0. (*Department of Justice Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 015-5073-0-2-752	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	172	100	36
Receipts:			
Current law:			
1120 Fees for Bankruptcy Oversight, U.S. Trustees System .....			125
1120 Fees for Bankruptcy Oversight, U.S. Trustees System .....	153	161	123
1140 Earnings on Investments, U.S. Trustees System .....	1	1	1
1199 Total current law receipts .....	154	162	249
1999 Total receipts .....	154	162	249
2000 Total: Balances and receipts .....	326	262	285
Appropriations:			
Current law:			
2101 United States Trustee System Fund .....	-226	-226	-230
5099 Balance, end of year .....	100	36	55

**Program and Financing** (in millions of dollars)

Identification code 015-5073-0-2-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United States Trustee System Fund (Direct) .....	224	233	234
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	11	4
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	9	11	4
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	226	226	230
1930 Total budgetary resources available .....	235	237	234
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	4	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	38	28
3010 Obligations incurred, unexpired accounts .....	224	233	234
3020 Outlays (gross) .....	-216	-243	-237
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	38	28	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	38	28
3200 Obligated balance, end of year .....	38	28	25

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	226	226	230
Outlays, gross:			
4010 Outlays from new discretionary authority .....	195	206	209
4011 Outlays from discretionary balances .....	21	37	28
4020 Outlays, gross (total) .....	216	243	237
4180 Budget authority, net (total) .....	226	226	230
4190 Outlays, net (total) .....	216	243	237

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	208	139	75
5001 Total investments, EOY: Federal securities: Par value .....	139	75	94

*United States Trustee System Fund.*—The United States Trustees supervise the administration of bankruptcy cases and private trustees in the Federal Bankruptcy Courts and litigate against fraud and abuse in the system by debtors, creditors, attorneys, bankruptcy petition preparers, and others. The Bankruptcy Judges, U.S. Trustees and Family Farmer Bankruptcy Act of 1986 (Public Law 99-554) expanded the pilot trustee program to a twenty-one region, nationwide program encompassing 88 judicial districts. (Bankruptcy cases filed in Alabama and North Carolina are administered by the Administrative Office of the U.S. Courts.) The Bankruptcy Abuse Prevention and Consumer Protection Act of 2005 (Public Law 109-8) expanded United States trustees' existing responsibilities to include, among other things, means testing, credit counseling/debtor education, and debtor audits. User fees that are assessed offset the annual appropriation.

**Object Classification** (in millions of dollars)

Identification code 015-5073-0-2-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	115	126	127
11.3 Other than full-time permanent .....	3	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	119	129	130
12.1 Civilian personnel benefits .....	38	42	42
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	25	26	27
23.3 Communications, utilities, and miscellaneous charges .....	4	3	3
25.1 Advisory and assistance services .....	7	4	3
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	18	18	19
25.4 Operation and maintenance of facilities .....	1	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	3	2
32.0 Land and structures .....	1		
99.9 Total new obligations .....	224	233	234

**Employment Summary**

Identification code 015-5073-0-2-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,099	1,184	1,184

**ASSETS FORFEITURE FUND**

(INCLUDING CANCELLATION)

For expenses authorized by subparagraphs (B), (F), and (G) of section 524(c)(1) of title 28, United States Code, \$20,514,000, to be derived from the Department of Justice Assets Forfeiture Fund.

*Of the unobligated balances available under this heading, including from prior year appropriations, \$304,000,000 are hereby permanently cancelled. (Department of Justice Appropriations Act, 2016.)*

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 015-5042-0-2-752	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	454	315	628
0198 Reconciliation adjustment .....	3		

ASSETS FORFEITURE FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 015-5042-0-2-752	2015 actual	2016 est.	2017 est.
0199 Balance, start of year .....	457	315	628
Receipts:			
Current law:			
1110 Forfeited Cash and Proceeds from the Sale of Forfeited Property, Assets Forfeiture Fund .....	1,571	2,010	1,350
1140 Interest and Profit on Investment, Department of Justice Assets Forfeiture Fund .....	6	20	10
1199 Total current law receipts .....	1,577	2,030	1,360
1999 Total receipts .....	1,577	2,030	1,360
2000 Total: Balances and receipts .....	2,034	2,345	1,988
Appropriations:			
Current law:			
2101 Assets Forfeiture Fund .....	-21	-21	-21
2101 Assets Forfeiture Fund .....	-1,557	-1,989	-1,329
2103 Assets Forfeiture Fund .....	-456	-120	-148
2103 Assets Forfeiture Fund .....		-193	-458
2132 Assets Forfeiture Fund .....	313	148	
2132 Assets Forfeiture Fund .....		458	
2199 Total current law appropriations .....	-1,721	-1,717	-1,956
2999 Total appropriations .....	-1,721	-1,717	-1,956
5098 Rounding adjustment .....	2		
5099 Balance, end of year .....	315	628	32

Program and Financing (in millions of dollars)

Identification code 015-5042-0-2-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assets Forfeiture Fund (Direct) .....	2,720	1,847	1,466
0801 Assets Forfeiture Fund (Reimbursable) .....	15	16	16
0900 Total new obligations .....	2,735	1,863	1,482
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,940	1,013	207
1021 Recoveries of prior year unpaid obligations .....	71	70	70
1050 Unobligated balance (total) .....	2,011	1,083	277
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	21	21	21
1130 Appropriations permanently reduced .....			-304
1160 Appropriation, discretionary (total) .....	21	21	-283
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,557	1,989	1,329
1203 Appropriation (previously unavailable) .....	456	120	148
1203 Return of Super Surplus .....		193	458
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-746	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-313	-148	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-458	
1260 Appropriations, mandatory (total) .....	1,700	950	1,935
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	22	16	16
1801 Change in uncollected payments, Federal sources .....	-6		
1850 Spending auth from offsetting collections, mand (total) .....	16	16	16
1900 Budget authority (total) .....	1,737	987	1,668
1930 Total budgetary resources available .....	3,748	2,070	1,945
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,013	207	463
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,804	5,033	4,460
3010 Obligations incurred, unexpired accounts .....	2,735	1,863	1,482
3020 Outlays (gross) .....	-2,435	-2,366	-3,043
3040 Recoveries of prior year unpaid obligations, unexpired .....	-71	-70	-70
3050 Unpaid obligations, end of year .....	5,033	4,460	2,829
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-6	-6

3070 Change in uncollected pymts, Fed sources, unexpired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,792	5,027	4,454
3200 Obligated balance, end of year .....	5,027	4,454	2,823

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	21	21	-283
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	8	-296
4011 Outlays from discretionary balances .....	9	10	12
4020 Outlays, gross (total) .....	19	18	-284
Mandatory:			
4090 Budget authority, gross .....	1,716	966	1,951
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,716	492	1,177
4101 Outlays from mandatory balances .....	700	1,856	2,150
4110 Outlays, gross (total) .....	2,416	2,348	3,327
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-20	-16	-16
4123 Non-Federal sources .....	-2		
4130 Offsets against gross budget authority and outlays (total) .....	-22	-16	-16
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	6		
4160 Budget authority, net (mandatory) .....	1,700	950	1,935
4170 Outlays, net (mandatory) .....	2,394	2,332	3,311
4180 Budget authority, net (total) .....	1,721	971	1,652
4190 Outlays, net (total) .....	2,413	2,350	3,027

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	7,068	6,206	5,205
5001 Total investments, EOY: Federal securities: Par value .....	6,206	5,205	3,706

The Comprehensive Crime Control Act of 1984 established the Assets Forfeiture Fund (AFF) as a repository for forfeited cash and the proceeds of sales of forfeited property under any law enforced and administered by the Department of Justice in accordance with 28 U.S.C. 524(c). Authorities of the AFF have been amended by various public laws enacted since 1984. Under current law, authority to use the AFF for certain investigative expenses shall be specified in annual appropriations acts. Expenses necessary to seize, detain, inventory, safeguard, maintain, advertise or sell property under seizure are funded through a permanent, indefinite appropriation. In addition, beginning in 1993, other general expenses of managing and operating the assets forfeiture program are paid from the permanent, indefinite portion of the AFF. Once all expenses are covered, the balance is maintained to meet ongoing expenses of the program. Excess unobligated balances may also be allocated by the Attorney General in accordance with 28 U.S.C. 524(c)(8)(E).

Object Classification (in millions of dollars)

Identification code 015-5042-0-2-752	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	11	9	10
21.0 Travel and transportation of persons .....	7	7	7
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	13	13	13
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	11	11	11
25.1 Advisory and assistance services .....	102	102	102
25.2 Other services from non-Federal sources .....	2,445	1,575	1,195
25.3 Other goods and services from Federal sources .....	77	77	77
25.7 Operation and maintenance of equipment .....	29	29	29
25.8 Subsistence and support of persons .....	2	2	
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	13	13	13
99.0 Direct obligations .....	2,720	1,848	1,467
99.0 Reimbursable obligations .....	15	15	15
99.9 Total new obligations .....	2,735	1,863	1,482

**Employment Summary**

Identification code 015-5042-0-2-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	22	28	28

JUSTICE PRISONER AND ALIEN TRANSPORTATION SYSTEM FUND, U.S. MARSHALS

**Program and Financing** (in millions of dollars)

Identification code 015-4575-0-4-752	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0801 Justice Prisoner and Alien Transportation System Fund, U.S. Mars (Reimbursable) .....	47	53	52
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**Budgetary resources:**

1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1 .....	21	25	25
Budget authority:			
Spending authority from offsetting collections, discretionary:			
Collected .....	51	53	52
1700 Total budgetary resources available .....	72	78	77
1930 Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	25	25

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	6	5	5
3010 Obligations incurred, unexpired accounts .....	47	53	52
3020 Outlays (gross) .....	-48	-53	-52
3050 Unpaid obligations, end of year .....	5	5	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2

**Budget authority and outlays, net:**

4000 Discretionary:			
Budget authority, gross .....	51	53	52
Outlays, gross:			
Outlays from new discretionary authority .....	22	48	47
Outlays from discretionary balances .....	26	5	5
4020 Outlays, gross (total) .....	48	53	52
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Federal sources .....	-50	-53	-52
Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-51	-53	-52
4080 Outlays, net (discretionary) .....	-3		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-3		

The Justice Prisoner and Alien Transportation System (JPATS) is responsible for transporting the majority of Federal prisoners and detainees, including sentenced and pretrial, in the custody of the United States Marshals Service or the Bureau of Prisons via coordinated air and ground systems. JPATS also transports prisoners and detainees on a reimbursable space-available basis, with the Department of Defense and other participating executive departments and State and local agencies without sacrificing the safety of the public, Federal employees, or those in custody. Customers are billed based on the number of flight hours and the number of seats utilized to move their prisoners.

**Object Classification** (in millions of dollars)

Identification code 015-4575-0-4-752	2015 actual	2016 est.	2017 est.
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Reimbursable obligations:

11.1 Full-time permanent .....	9	10	10
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	3	2	3
11.9 Total personnel compensation .....	13	13	14

12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	6	1	1
25.1 Advisory and assistance services .....			1
25.2 Other services from non-Federal sources .....	1	11	9
25.3 Other goods and services from Federal sources .....	1	1	
25.7 Operation and maintenance of equipment .....	12	7	9
26.0 Supplies and materials .....	10	15	13
99.9 Total new obligations .....	47	53	52

**Employment Summary**

Identification code 015-4575-0-4-752	2015 actual	2016 est.	2017 est.
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2001 Reimbursable civilian full-time equivalent employment .....	96	107	108
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**NATIONAL SECURITY DIVISION**

**Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary to carry out the activities of the National Security Division, **[\$95,000,000]** \$97,337,000, of which not to exceed \$5,000,000 for information technology systems shall remain available until expended: *Provided*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for the activities of the National Security Division, the Attorney General may transfer such amounts to this heading from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section **[505]** 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-1300-0-1-751	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 National Security Division .....	97	95	97
0801 Salaries and Expenses (Reimbursable) .....	3		
0900 Total new obligations .....	100	95	97

**Budgetary resources:**

1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1 .....	10	10	12
1012 Unobligated balance transfers between expired and unexpired accounts .....	6		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	18	10	12
Budget authority:			
Appropriations, discretionary:			
Appropriation .....	93	95	97
Spending authority from offsetting collections, discretionary:			
Collected .....	2	2	2
Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	3	2	2
1900 Budget authority (total) .....	96	97	99
1930 Total budgetary resources available .....	114	107	111
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	10	12	14

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	15	13	11
3010 Obligations incurred, unexpired accounts .....	100	95	97
3020 Outlays (gross) .....	-100	-97	-98
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	13	11	10
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 015-1300-0-1-751	2015 actual	2016 est.	2017 est.
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	11	9
3200 Obligated balance, end of year .....	11	9	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	96	97	99
Outlays, gross:			
4010 Outlays from new discretionary authority .....	88	87	88
4011 Outlays from discretionary balances .....	12	10	10
4020 Outlays, gross (total) .....	100	97	98
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-3	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	93	95	97
4080 Outlays, net (discretionary) .....	97	95	96
4180 Budget authority, net (total) .....	93	95	97
4190 Outlays, net (total) .....	97	95	96

The National Security Division (NSD) strengthens the Department's core national security functions by providing strategic national security policy coordination and development. NSD combines counterterrorism and counterespionage prosecutors with attorneys who oversee the Department's foreign intelligence/counterintelligence operations. For 2017, NSD is requesting \$97 million to combat terrorism and other threats to the national security.

## Object Classification (in millions of dollars)

Identification code 015-1300-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	45	47	48
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	46	48	49
12.1 Civilian personnel benefits .....	14	14	15
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	10	10	11
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	14	11	10
31.0 Equipment .....	2	1	1
99.0 Direct obligations .....	95	94	96
99.0 Reimbursable obligations .....	3		
99.5 Adjustment for rounding .....	2	1	1
99.9 Total new obligations .....	100	95	97

## Employment Summary

Identification code 015-1300-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	354	359	364

RADIATION EXPOSURE COMPENSATION  
Federal Funds

## PAYMENT TO RADIATION EXPOSURE COMPENSATION TRUST FUND

## Program and Financing (in millions of dollars)

Identification code 015-0333-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to radiation exposure compensation trust fund .....	82	65	70
0900 Total new obligations (object class 25.2) .....	82	65	70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	82	65	70
1930 Total budgetary resources available .....	82	65	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	82	65	70
3020 Outlays (gross) .....	-82	-65	-70
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	82	65	70
Outlays, gross:			
4100 Outlays from new mandatory authority .....	82	65	70
4180 Budget authority, net (total) .....	82	65	70
4190 Outlays, net (total) .....	82	65	70

## Trust Funds

## RADIATION EXPOSURE COMPENSATION TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-8116-0-7-054	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Payment from the General Fund, Radiation Exposure Compensation Trust Fund .....	82	65	70
2000 Total: Balances and receipts .....	82	65	70
Appropriations:			
Current law:			
2101 Radiation Exposure Compensation Trust Fund .....	-82	-65	-70
5099 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 015-8116-0-7-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to RECA claimants .....	79	65	71
0900 Total new obligations (object class 41.0) .....	79	65	71
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	21	21
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	82	65	70
1930 Total budgetary resources available .....	100	86	91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	21	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	3	5
3010 Obligations incurred, unexpired accounts .....	79	65	71
3020 Outlays (gross) .....	-82	-63	-68
3050 Unpaid obligations, end of year .....	3	5	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	3	5

3200	Obligated balance, end of year .....	3	5	8
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	82	65	70
Outlays, gross:				
4100	Outlays from new mandatory authority .....	76	39	42
4101	Outlays from mandatory balances .....	6	24	26
4110	Outlays, gross (total) .....	82	63	68
4180	Budget authority, net (total) .....	82	65	70
4190	Outlays, net (total) .....	82	63	68

The Radiation Exposure Compensation Act (RECA), as amended, authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling, or transport. RECA workload is included with the workload of the Civil Division.

**INTERAGENCY LAW ENFORCEMENT**

*Federal Funds*

**INTERAGENCY CRIME AND DRUG ENFORCEMENT**

For necessary expenses for the identification, investigation, and prosecution of individuals associated with the most significant drug trafficking organizations, recognized transnational organized crime, and [affiliated] money laundering organizations not otherwise provided for, to include inter-governmental agreements with State and local law enforcement agencies engaged in the investigation and prosecution of individuals involved in recognized transnational organized crime and drug trafficking, [\$512,000,000] \$522,135,000, of which \$50,000,000 shall remain available until expended: *Provided*, That any amounts obligated from appropriations under this heading may be used under authorities available to the organizations reimbursed from this appropriation. (*Department of Justice Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 015-0323-0-1-751	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Investigations .....	357	357	359
0003	Prosecution .....	157	155	161
0004	Transnational Organized Crime .....			2
0799	Total direct obligations .....	514	512	522
0801	Interagency Crime and Drug Enforcement (Reimbursable) .....	46	46	46
0900	Total new obligations .....	560	558	568
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	8	19
1021	Recoveries of prior year unpaid obligations .....	11	11	11
1050	Unobligated balance (total) .....	15	19	30
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	507	512	522
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	25	25	25
1701	Change in uncollected payments, Federal sources .....	21	21	21
1750	Spending auth from offsetting collections, disc (total) .....	46	46	46
1900	Budget authority (total) .....	553	558	568
1930	Total budgetary resources available .....	568	577	598
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	19	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	145	100	121
3010	Obligations incurred, unexpired accounts .....	560	558	568
3020	Outlays (gross) .....	-593	-526	-566
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11	-11	-11
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	100	121	112
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-22	-43
3070	Change in uncollected pymts, Fed sources, unexpired .....	-21	-21	-21
3071	Change in uncollected pymts, Fed sources, expired .....	35		
3090	Uncollected pymts, Fed sources, end of year .....	-22	-43	-64

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	109	78	78
3200	Obligated balance, end of year .....	78	78	48

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	553	558	568
Outlays, gross:				
4010	Outlays from new discretionary authority .....	454	418	426
4011	Outlays from discretionary balances .....	139	108	140
4020	Outlays, gross (total) .....	593	526	566
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-48	-46	-46
4033	Non-Federal sources .....	-11		
4040	Offsets against gross budget authority and outlays (total) ....	-59	-46	-46
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-21	-21	-21
4052	Offsetting collections credited to expired accounts .....	34	21	21
4060	Additional offsets against budget authority only (total) .....	13		
4070	Budget authority, net (discretionary) .....	507	512	522
4080	Outlays, net (discretionary) .....	534	480	520
4180	Budget authority, net (total) .....	507	512	522
4190	Outlays, net (total) .....	534	480	520

The Interagency Crime and Drug Enforcement (ICDE) account primarily funds the Organized Crime Drug Enforcement Task Forces (OCDETF) Program. Along with the participation of its seven member federal law enforcement agencies, in cooperation with state and local investigators and with prosecutors from the U.S. Attorneys' Offices and the Criminal Division, OCDETF focuses on targeting and destroying major domestic and transnational criminal organizations who engage in high level drug trafficking, violence and money laundering, as well as national emerging drug threats such as heroin and other related criminal activities. The Program performs the following activities:

*Investigation.*—This activity includes resources for direct investigative, intelligence and support activities of OCDETF's multi-agency task forces, focusing on the disruption and dismantlement of major transnational criminal organizations engaged in the highest level of drug trafficking and money laundering, that supply illegal drugs to the U.S. and fuel the attendant violence. This includes resources for the OCDETF Fusion Center (OFC). The OFC is a multi-agency intelligence center whose mission is to analyze fused law enforcement financial and human intelligence information and produce actionable intelligence for use by OCDETF member agencies to disrupt and dismantle those criminal organizations, and their supporting financial structures, posing the greatest illegal drug threat to the United States. Organizations participating under the Investigations function are the Drug Enforcement Administration, Federal Bureau of Investigation, Internal Revenue Service, Bureau of Alcohol, Tobacco, Firearms and Explosives, U.S. Coast Guard, U.S. Marshals Service, and Homeland Security Investigations. OCDETF also maintains 12 co-located Strike Forces. The Interagency Crime and Drug Enforcement account also supports transnational organized crime investigations through the International Organized Crime Intelligence and Operations Center (IOC-2), which is a multi-agency intelligence center whose mission is to significantly disrupt and dismantle those international criminal organizations posing the greatest threat to the United States. Multiple federal agencies participate in IOC-2 activities and related investigations. For 2017, ICDE seeks \$1.1 million in operational funding to pursue high value priority transnational organized crime (TOC) targets.

*Prosecution.*—This activity includes resources for the prosecution of cases generated through the investigative efforts of task force agents. Litigation efforts are intended to dismantle in their entirety those major transnational criminal organizations engaged in the highest level of drug trafficking, violence and money laundering, most notably by targeting the leaders of these organizations. This includes activities designed to secure the seizure and forfeiture of the assets of these enterprises. Participating agencies are the U.S. Attorneys, and the Department of Justice's Criminal

INTERAGENCY CRIME AND DRUG ENFORCEMENT—Continued

Division. For 2017, ICDE seeks \$3.8 million to fund 24 United States Attorneys and 12 support staff to target those priority criminal organizations operating along the Southwest Border which have a significant impact on the overall U.S. drug supply; these resources will also be used to provide full attorney support to the OCDETF Co-Located Strike Forces. ICDE also requests three attorney positions and one support position and \$436,000 to support the growing number of OCDETF cases handled by the Criminal Division's Narcotics and Dangerous Drug Section. Additionally, \$400,000 in operational funding is sought to pursue high value PTOC targets.

Object Classification (in millions of dollars)

Identification code 015-0323-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2	2	2
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	10	8	8
25.2 Other services from non-Federal sources .....	28	30	30
25.3 Other goods and services from Federal sources .....	471	465	475
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	1		
99.0 Direct obligations .....	514	512	522
99.0 Reimbursable obligations .....	46	46	46
99.9 Total new obligations .....	560	558	568

Employment Summary

Identification code 015-0323-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	19	22	22

FEDERAL BUREAU OF INVESTIGATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Bureau of Investigation for detection, investigation, and prosecution of crimes against the United States, **[\$8,489,786,000]** \$8,718,884,000, of which not to exceed \$216,900,000 shall remain available until expended: *Provided*, That not to exceed \$184,500 shall be available for official reception and representation expenses.

(CANCELLATION)

*Of the unobligated balances available under this heading, \$223,586,000 are hereby permanently cancelled, including \$150,000,000 from fees collected to defray expenses for the automation of fingerprint identification and criminal justice information services and associated costs: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of Justice Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 015-0200-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Intelligence .....	1,450	1,459	1,487
0002 Counterterrorism/Counterintelligence .....	3,018	3,036	3,119
0003 Criminal Enterprises and Federal Crimes .....	2,565	2,580	2,573
0004 Criminal Justice Services .....	238	138	91
0091 Direct program activities, subtotal .....	7,271	7,213	7,270
0201 Intelligence .....	201	229	236
0202 Counterterrorism/Counterintelligence .....	377	401	414
0203 Criminal Enterprises and Federal Crimes .....	267	307	314
0204 Criminal Justice Services .....	292	258	261

0291 Direct program activities, subtotal .....	1,137	1,195	1,225
0300 Direct program activities, subtotal .....	8,408	8,408	8,495
0799 Total direct obligations .....	8,408	8,408	8,495
0801 Salaries and Expenses (Reimbursable) .....	1,089	1,285	1,358
0900 Total new obligations .....	9,497	9,693	9,853

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	891	1,447	1,578
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	891	895	
1012 Unobligated balance transfers between expired and unexpired accounts .....	35		
1021 Recoveries of prior year unpaid obligations .....	27		
1050 Unobligated balance (total) .....	953	1,447	1,578
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8,327	8,489	8,719
1120 Appropriations transferred to other acct [015-0203] .....	-5		-85
1120 Appropriations transferred to other acct [015-0134] .....	-2		
1121 Appropriations transferred from other acct [011-1070] .....	2		
1131 Unobligated balance of appropriations permanently reduced .....		-81	-150
1131 Unobligated balance of appropriations permanently reduced .....			-74
1160 Appropriation, discretionary (total) .....	8,322	8,408	8,410
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....	553		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,008	1,285	1,358
1701 Change in uncollected payments, Federal sources .....	191		
1750 Spending auth from offsetting collections, disc (total) .....	1,199	1,285	1,358
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....		131	141
1900 Budget authority (total) .....	10,074	9,824	9,909
1930 Total budgetary resources available .....	11,027	11,271	11,487
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-83		
1941 Unexpired unobligated balance, end of year .....	1,447	1,578	1,634

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,531	2,595	2,001
3010 Obligations incurred, unexpired accounts .....	9,497	9,693	9,853
3011 Obligations incurred, expired accounts .....	93		
3020 Outlays (gross) .....	-9,311	-10,287	-10,201
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27		
3041 Recoveries of prior year unpaid obligations, expired .....	-188		
3050 Unpaid obligations, end of year .....	2,595	2,001	1,653
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-607	-538	-538
3070 Change in uncollected pymts, Fed sources, unexpired .....	-191		
3071 Change in uncollected pymts, Fed sources, expired .....	260		
3090 Uncollected pymts, Fed sources, end of year .....	-538	-538	-538
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,924	2,057	1,463
3200 Obligated balance, end of year .....	2,057	1,463	1,115

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	9,521	9,693	9,768
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	7,056	8,419	8,460
4011 Outlays from discretionary balances .....	2,255	1,623	1,578
4020 Outlays, gross (total) .....	9,311	10,042	10,038
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,080	-1,285	-1,358
4033 Non-Federal sources .....	-192		
4040 Offsets against gross budget authority and outlays (total) .....	-1,272	-1,285	-1,358
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-191		
4052 Offsetting collections credited to expired accounts .....	264		
4060 Additional offsets against budget authority only (total) .....	73		
4070 Budget authority, net (discretionary) .....	8,322	8,408	8,410
4080 Outlays, net (discretionary) .....	8,039	8,757	8,680
<b>Mandatory:</b>			
4090 Budget authority, gross .....	553	131	141
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		131	141

4101	Outlays from mandatory balances .....	114	22
4110	Outlays, gross (total) .....	245	163
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:		
4120	Federal sources .....	-131	-141
4180	Budget authority, net (total) .....	8,875	8,408
4190	Outlays, net (total) .....	8,039	8,702

The mission of the FBI is to protect and defend the United States against terrorist and foreign intelligence threats, to uphold and enforce the criminal laws of the United States, and to provide leadership and criminal justice services assistance to federal, state, local, and international agencies and partners; and to perform these responsibilities in a manner that is responsive to the needs of the public and is faithful to the Constitution of the United States.

Protecting America in the current challenging national security and criminal environment requires that the FBI refocus its priorities; realign its workforce to address these priorities; and improve management policies and operating procedures to enhance flexibility, agility, effectiveness, and accountability. The FBI's strategic priorities are, in order:

- Protect the United States from terrorist attack,
- Protect the United States against foreign intelligence operations and espionage,
- Protect the United States against cyber-based attacks and high technology crimes,
- Combat public corruption at all levels of government,
- Protect civil rights,
- Combat transnational and national criminal organizations and enterprises,
- Combat major white-collar crime,
- Combat significant violent crime,
- Support federal, state, county, municipal, and international partners,
- Upgrade technology to successfully perform the FBI's mission.

FBI investigations and operations are conducted through a network of 56 major field offices and over 350 smaller offices (resident agencies); the FBI Academy, Laboratory, and engineering complex at Quantico, Virginia; a fingerprint identification and criminal justice information services center in Clarksburg, West Virginia; over 60 Legal Attaché (Legat) offices and more than 20 sub-offices in 70 foreign countries worldwide; and FBI Headquarters (HQ) divisions in the Washington, D.C metropolitan area.

A number of FBI activities are carried out on a reimbursable basis. For example, the FBI is reimbursed for its participation in Interagency Crime and Drug Enforcement programs and by other federal agencies for certain intelligence and investigative services, such as pre-employment background inquiries and fingerprint and name checks. The FBI is also authorized to conduct fingerprint and name checks for certain non-federal agencies.

For 2017, the FBI is requesting a total of \$8.719 billion, to include \$227.8 million in program enhancements for Cyber, Foreign Intelligence and Insider Threats, Going Dark, the Intelligence Community Information Technology Enterprise (IC ITE), Transnational Organized Crime, Surveillance, Biometrics Technology Center (BTC) Operations and Maintenance (O&M), and the National Instant Criminal Background Check System (NICS). The request includes a reduction of \$73.6 million for non-recurring program expenses, \$57.0 million from excess personnel funding, and a \$150 million cancellation of excess Criminal Justice Information Services (CJIS) surcharge balances. The request also includes a prior-year balance transfer to the FBI Construction account of \$85 million to complete the Department's Data Center Consolidation initiative.

**Object Classification** (in millions of dollars)

Identification code 015-0200-0-1-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2,950	3,116	3,195
11.3 Other than full-time permanent .....	24	14	14
11.5 Other personnel compensation .....	375	394	404

11.9 Total personnel compensation .....	3,349	3,524	3,613
12.1 Civilian personnel benefits .....	1,419	1,492	1,536
13.0 Benefits for former personnel .....	2	1	1
21.0 Travel and transportation of persons .....	190	226	207
22.0 Transportation of things .....	9	1	
23.1 Rental payments to GSA .....	601	657	678
23.2 Rental payments to others .....	84	47	47
23.3 Communications, utilities, and miscellaneous charges .....	142	237	226
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	843	734	718
25.2 Other services from non-Federal sources .....	613	608	529
25.3 Other goods and services from Federal sources .....	82	114	114
25.4 Operation and maintenance of facilities .....	179	124	132
25.5 Research and development contracts .....	13	13	13
25.7 Operation and maintenance of equipment .....	229	121	138
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	149	110	110
31.0 Equipment .....	410	388	422
32.0 Land and structures .....	89	5	5
42.0 Insurance claims and indemnities .....	4	3	3
99.0 Direct obligations .....	8,408	8,408	8,495
99.0 Reimbursable obligations .....	1,089	1,285	1,358
99.9 Total new obligations .....	9,497	9,693	9,853

**Employment Summary**

Identification code 015-0200-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	31,773	33,372	33,024
2001 Reimbursable civilian full-time equivalent employment .....	2,754	3,054	3,054

**CONSTRUCTION**

For necessary expenses, to include the cost of equipment, furniture, and information technology requirements, related to construction or acquisition of buildings, facilities and sites by purchase, or as otherwise authorized by law; conversion, modification and extension of [federally] *Federally-owned buildings; [and] preliminary planning and design of projects; [\$308,982,000] and operation and maintenance of secure work environment facilities and secure networking capabilities; \$783,482,000, to remain available until expended, of which \$85,000,000 shall be derived by transfer from unobligated balances identified by Treasury Appropriation Fund Symbol 15X0200, and such funds shall be merged with this account: Provided further, That no amounts may be transferred from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That \$646,000,000 shall be for the construction of the new Federal Bureau of Investigation consolidated headquarters facility in the National Capital Region. (Department of Justice Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 015-0203-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 SCIFs and Work Environment .....	75		50
0011 FBI Academy .....	2	2	2
0013 Biometrics Technology Center .....	28		
0014 Terrorists Explosive Devices Analytical Center .....		52	
0016 Hazardous Devices School .....	3	8	
0017 DOJ Consolidated Data Center .....	7	67	
0018 FBI Headquarters building .....		180	646
0019 FBI Priority Projects .....			85
0900 Total new obligations .....	115	309	783
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	66	79	79
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	77	79	79
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	110	309	698
1121 Appropriations transferred from other acct [015-0200] .....	5		85
1160 Appropriation, discretionary (total) .....	115	309	783
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1900 Budget authority (total) .....	117	309	783

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 015-0203-0-1-751	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....	194	388	862
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	79	79	79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	253	241	327
3010 Obligations incurred, unexpired accounts .....	115	309	783
3020 Outlays (gross) .....	-116	-223	-236
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3050 Unpaid obligations, end of year .....	241	327	874
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	253	241	327
3200 Obligated balance, end of year .....	241	327	874
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	117	309	783
Outlays, gross:			
4010 Outlays from new discretionary authority .....		31	78
4011 Outlays from discretionary balances .....	116	192	158
4020 Outlays, gross (total) .....	116	223	236
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		
4180 Budget authority, net (total) .....	115	309	783
4190 Outlays, net (total) .....	114	223	236

For 2017, the FBI is requesting a total of \$783.5 million for construction projects to include Secure Work Environment (SWE) requirements, the Department of Justice's Data Center Consolidation initiative, renovations at the FBI Academy and the FBI headquarters consolidated project in the National Capital region.

Specifically, \$646 million is provided to the FBI, together with \$759 million to the General Services Administration, Federal Buildings Fund, for a total of \$1.4 billion to support the full consolidation of the FBI headquarters operations in a new, modern facility that will bring together all of the existing disparate FBI headquarters locations and functions. This project recognizes the importance of the FBI as a critical member of the United States intelligence community, as well as its role in national security and in enforcing the Nation's laws and protecting civil liberties.

Object Classification (in millions of dollars)

Identification code 015-0203-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....		1	3
25.1 Advisory and assistance services .....	25		
25.2 Other services from non-Federal sources .....	9	132	334
25.4 Operation and maintenance of facilities .....	52	140	355
25.7 Operation and maintenance of equipment .....	5		
26.0 Supplies and materials .....		5	11
31.0 Equipment .....	13	22	57
32.0 Land and structures .....	11	9	23
99.9 Total new obligations .....	115	309	783

DRUG ENFORCEMENT ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Drug Enforcement Administration, including not to exceed \$70,000 to meet unforeseen emergencies of a confidential character pursuant to section 530C of title 28, United States Code; and expenses for conducting drug education and training programs, including travel and related expenses for participants in such programs and the distribution of items of token value that promote the goals of such programs, **[\$2,080,000,000]** \$2,102,976,000, of which not to ex-

ceed \$75,000,000 shall remain available until expended and not to exceed \$90,000 shall be available for official reception and representation expenses.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$6,192,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of Justice Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 015-1100-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 International Enforcement .....	452	478	484
0003 Domestic Enforcement .....	1,662	1,724	1,721
0004 State and Local Assistance .....	17	19	4
0799 Total direct obligations .....	2,131	2,221	2,209
0801 Reimbursable .....	524	555	560
0900 Total new obligations .....	2,655	2,776	2,769
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	59	359	299
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	59	83	
1012 Unobligated balance transfers between expired and unexpired accounts .....	82	70	70
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	146	429	369
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,033	2,080	2,103
1120 Appropriations transferred to other acct [015-0134] .....	-1		
1121 Appropriations transferred from other acct [015-0406] .....	7	11	
1121 Appropriations transferred from other acct [011-1070] .....	15		
1131 Unobligated balance of appropriations permanently reduced .....			-6
1160 Appropriation, discretionary (total) .....	2,054	2,091	2,097
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	286		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	403	433	436
1701 Change in uncollected payments, Federal sources .....	130	122	124
1750 Spending auth from offsetting collections, disc (total) .....	533	555	560
1900 Budget authority (total) .....	2,873	2,646	2,657
1930 Total budgetary resources available .....	3,019	3,075	3,026
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
1941 Unexpired unobligated balance, end of year .....	359	299	257

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	515	528	654
3010 Obligations incurred, unexpired accounts .....	2,655	2,776	2,769
3011 Obligations incurred, expired accounts .....	21		
3020 Outlays (gross) .....	-2,580	-2,650	-2,680
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-78		
3050 Unpaid obligations, end of year .....	528	654	743
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-150	-157	-150
3070 Change in uncollected pymts, Fed sources, unexpired .....	-130	-122	-124
3071 Change in uncollected pymts, Fed sources, expired .....	123	129	129
3090 Uncollected pymts, Fed sources, end of year .....	-157	-150	-145
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	365	371	504
3200 Obligated balance, end of year .....	371	504	598

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	2,587	2,646	2,657
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,173	2,123	2,131
4011 Outlays from discretionary balances .....	406	514	518
4020 Outlays, gross (total) .....	2,579	2,637	2,649
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-505	-533	-505

4033	Non-Federal sources .....	-9	-8	-8
4040	Offsets against gross budget authority and outlays (total) ....	-514	-541	-513
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-130	-122	-124
4052	Offsetting collections credited to expired accounts .....	111	108	77
4060	Additional offsets against budget authority only (total) .....	-19	-14	-47
4070	Budget authority, net (discretionary) .....	2,054	2,091	2,097
4080	Outlays, net (discretionary) .....	2,065	2,096	2,136
	Mandatory:			
4090	Budget authority, gross .....	286		
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	1		
4101	Outlays from mandatory balances .....		13	31
4110	Outlays, gross (total) .....	1	13	31
4180	Budget authority, net (total) .....	2,340	2,091	2,097
4190	Outlays, net (total) .....	2,066	2,109	2,167

The Drug Enforcement Administration's (DEA) mission is to enforce the controlled substances laws and regulations of the United States. DEA's major focus is the disruption and dismantlement of Priority Target Organizations (PTOs)—domestic and international drug trafficking and money laundering organizations having a significant impact on drug availability in the United States. DEA emphasizes PTOs with links to organizations on the Attorney General's Consolidated Priority Organization Target (CPOT) list, which represents the "Most Wanted" drug trafficking and money laundering organizations believed to be primarily responsible for the United States' illicit drug supply. DEA also places a high priority on targeting the financial infrastructure of major drug trafficking organizations and members of the financial community who facilitate the laundering of their proceeds. From the beginning of 2005 to 2015, DEA denied drug traffickers a cumulative total of \$33.1 billion in revenue through the seizure of both assets and drugs, with \$3.5 billion just in FY 2015. In addition to keeping drugs and drug-related violence out of the United States, DEA plays a vital role in the areas of national and border security.

DEA has 221 domestic offices organized in 21 divisions throughout the United States. Internationally, DEA has 89 offices in 68 countries and has responsibility for coordinating and pursuing U.S. drug investigations abroad. Federal, state, local, and international partnerships continue to play an important role in DEA's enforcement efforts. For nearly 42 years, DEA has led a task force program that today includes approximately 2,200 task force officers participating in 268 task forces. The El Paso Intelligence Center (EPIC) and Special Operations Divisions (SOD) have become vital resources for federal, state and local law enforcement. Through its Office of National Security Intelligence, DEA ensures that national security information obtained in the course of conducting its drug law enforcement mission is expeditiously shared with the Intelligence Community.

DEA's activities are divided into three main decision units:

**Domestic Enforcement.**—Through effective enforcement efforts and associated support functions, DEA disrupts and dismantles the leadership, command, control, and infrastructure of major drug trafficking syndicates, criminal organizations, and violent drug trafficking groups that threaten the United States. This decision unit contains most of DEA's resources, domestic enforcement groups, state and local task forces, other federal and local task forces, intelligence groups, and all the support functions essential to accomplishing their mission. Strategic objectives have been established as follows:

—Identify and target the national/regional organizations most responsible for the domestic distribution and manufacture of illicit drugs;

—Systematically disrupt or dismantle targeted organizations by arresting/convicting their leaders and facilitators, seizing and forfeiting their assets, targeting their money laundering operations, and destroying their command and control networks; and,

—Work with international offices to dismantle domestic organizations directly affiliated with international cartels.

**International Enforcement.**—DEA works with its foreign counterparts to attack the vulnerabilities in the leadership, production, transportation,

communications, finance, and distribution sectors of major international drug trafficking organizations. Strategic objectives include:

—Identify, prioritize, and target the most significant international drug and chemical trafficking organizations;

—Disrupt and dismantle the networks, financial infrastructures, operations, and the resource bases of targeted international drug and chemical trafficking organizations; and,

—Prevent drug trafficking organizations from funding terrorist organizations and activities.

**State and Local Assistance.**—DEA provides clandestine laboratory training and meets the hazardous waste cleanup needs of the U.S. law enforcement community. DEA supports state and local law enforcement with assistance and training so that state and local agencies can better address the methamphetamine threat in their communities and reduce the impact that methamphetamine has on the quality of life for American citizens. As a result, DEA's nation-wide contracts, container program, and established training programs allow DEA to provide state and local clan lab cleanup and training assistance in a cost-effective manner.

DEA also receives funding through reimbursable agreements. The primary reimbursements to DEA are for the Organized Crime Drug Enforcement Task Forces (OCDETF) Program and the Department of Justice's (DOJ) Assets Forfeiture Fund (AFF).

In 2017, DEA requests 42 positions (including 32 Special Agents and 4 Intelligence Analysts) and \$12,483,000 for increased heroin-related enforcement efforts. The funding will be used to establish four new enforcement groups to counteract increased heroin availability and abuse in the United States.

DEA also requests 11 positions (including 3 Special Agents) and \$7,561,000 to establish an insider threat program as mandated by Executive Order 13587, as well as to build and sustain additional capability and capacity to monitor DEA's increasingly large and complex classified and sensitive information systems from threats that could degrade DEA operations.

**Object Classification** (in millions of dollars)

Identification code 015-1100-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	633	611	613
11.3 Other than full-time permanent .....	5	6	6
11.5 Other personnel compensation .....	106	112	112
11.9 Total personnel compensation .....	744	729	731
12.1 Civilian personnel benefits .....	355	373	380
21.0 Travel and transportation of persons .....	33	35	34
22.0 Transportation of things .....	12	13	13
23.1 Rental payments to GSA .....	205	204	203
23.2 Rental payments to others .....	38	43	42
23.3 Communications, utilities, and miscellaneous charges .....	57	59	59
25.1 Advisory and assistance services .....	120	142	148
25.2 Other services from non-Federal sources .....	222	235	210
25.3 Other goods and services from Federal sources .....	97	115	118
25.4 Operation and maintenance of facilities .....	30	32	31
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	89	95	94
26.0 Supplies and materials .....	44	45	43
31.0 Equipment .....	69	82	83
32.0 Land and structures .....	13	16	17
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,131	2,221	2,209
99.0 Reimbursable obligations .....	524	555	560
99.9 Total new obligations .....	2,655	2,776	2,769

**Employment Summary**

Identification code 015-1100-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6,587	6,587	6,614
2001 Reimbursable civilian full-time equivalent employment .....	1,119	1,124	1,127

CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 015-1101-0-1-751	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	6	3
3020 Outlays (gross)	-3	-3	-3
3050 Unpaid obligations, end of year	6	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	6	3
3200 Obligated balance, end of year	6	3	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3	3	3
4180 Budget authority, net (total)			
4190 Outlays, net (total)	3	3	3

DIVERSION CONTROL FEE ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5131-0-2-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	25	27	34
Receipts:			
Current law:			
1120 Diversion Control Fee Account, DEA	374	380	388
2000 Total: Balances and receipts	399	407	422
Appropriations:			
Current law:			
2101 Diversion Control Fee Account	-374	-371	-383
2103 Diversion Control Fee Account	-25	-27	-25
2132 Diversion Control Fee Account	27	25	
2199 Total current law appropriations	-372	-373	-408
2999 Total appropriations	-372	-373	-408
5099 Balance, end of year	27	34	14

Program and Financing (in millions of dollars)

Identification code 015-5131-0-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Diversion Control	338	371	383
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	107	154	168
1021 Recoveries of prior year unpaid obligations	12	12	12
1050 Unobligated balance (total)	119	166	180
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	374	371	383
1203 Appropriation (previously unavailable)	25	27	25
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-27	-25	
1260 Appropriations, mandatory (total)	372	373	408
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1900 Budget authority (total)	373	373	408
1930 Total budgetary resources available	492	539	588
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	154	168	205
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	54	61	96
3010 Obligations incurred, unexpired accounts	338	371	383
3020 Outlays (gross)	-319	-324	-402
3040 Recoveries of prior year unpaid obligations, unexpired	-12	-12	-12
3050 Unpaid obligations, end of year	61	96	65
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	54	61	96

3200	Obligated balance, end of year	61	96	65
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090 Budget authority, gross		373	373	408
Outlays, gross:				
4100 Outlays from new mandatory authority		282	283	307
4101 Outlays from mandatory balances		37	41	95
4110 Outlays, gross (total)		319	324	402
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120 Federal sources		-1		
4180 Budget authority, net (total)		372	373	408
4190 Outlays, net (total)		318	324	402

Public Law 102-395 established the Diversion Control Fee Account in 1993. Fees charged by the Drug Enforcement Administration under the Diversion Control Program are set at a level that ensures the recovery of the full costs of operating this program. By carrying out the mandates of the Controlled Substances Act (CSA), DEA ensures that adequate supplies of controlled drugs are available to meet legitimate medical, scientific, industrial, and export needs, while preventing, detecting, and eliminating diversion of these substances to illicit traffic. The CSA requires physicians, pharmacists, and chemical companies to register with the DEA in order to distribute or manufacture controlled substances or listed chemicals. Investigations conducted by DEA's Diversion Control Program fall into two distinct categories: the diversion of legitimately manufactured pharmaceutical controlled substances and the diversion of controlled chemicals (List I and II) used in the illicit manufacture of controlled substances. Strategic objectives include:

- Identify and target those responsible for the diversion of pharmaceutical controlled substances through traditional investigation and cybercrime initiatives to systematically disrupt and dismantle those entities involved in diversion schemes;
- Support the registrant population with improved technology, including e-commerce and customer support, while maintaining cooperation, support and assistance from the regulated industry;
- Educate the public on the dangers of prescription drug abuse and take proactive enforcement measures to combat emerging drug trends; and,
- Ensure an adequate and uninterrupted supply of pharmaceutical controlled substances and listed chemicals to meet legitimate medical, commercial, and scientific needs.

In FY 2017, DEA requests \$8,874,000 and 55 positions, (including 10 Special Agents) to identify, target, disrupt, and dismantle the individuals and organizations responsible for the illicit manufacture and distribution of pharmaceutical controlled substances in violation of the Controlled Substances Act (CSA).

Object Classification (in millions of dollars)

Identification code 015-5131-0-2-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent	134	138	140
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	10	10	10
11.9 Total personnel compensation	146	150	152
12.1 Civilian personnel benefits	47	48	50
21.0 Travel and transportation of persons	4	5	5
22.0 Transportation of things		1	1
23.1 Rental payments to GSA	36	36	36
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	7	8	8
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	43	54	54
25.2 Other services from non-Federal sources	18	23	24
25.3 Other goods and services from Federal sources	7	9	10
25.4 Operation and maintenance of facilities	5	6	6
25.7 Operation and maintenance of equipment	7	9	9
26.0 Supplies and materials	5	6	6
31.0 Equipment	9	11	16
32.0 Land and structures	2	2	3

99.9	Total new obligations .....	338	371	383
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**Employment Summary**

Identification code 015-5131-0-2-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,356	1,356	1,485

**BUREAU OF ALCOHOL, TOBACCO, FIREARMS, AND EXPLOSIVES**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Bureau of Alcohol, Tobacco, Firearms and Explosives, for training of State and local law enforcement agencies with or without reimbursement, including training in connection with the training and acquisition of canines for explosives and fire accelerants detection; and for provision of laboratory assistance to State and local law enforcement agencies, with or without reimbursement, **[\$1,240,000,000] \$1,306,063,000**, of which not to exceed \$36,000 shall be for official reception and representation expenses, not to exceed \$1,000,000 shall be available for the payment of attorneys' fees as provided by section 924(d)(2) of title 18, United States Code, and not to exceed \$20,000,000 shall remain available until expended: *Provided, That the first and fifth provisos under this heading in division B of Public Law 113-6 shall not apply to any funds appropriated in this or any other Act, including funds appropriated in previous appropriations acts that remain available for obligation: Provided further, That none of the funds appropriated herein shall be available to investigate or act upon applications for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: Provided further, That such funds shall be available to investigate and act upon applications filed by corporations for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: Provided further, That no funds made available by this or any other Act may be used to transfer the functions, missions, or activities of the Bureau of Alcohol, Tobacco, Firearms and Explosives to other agencies or Departments. (Department of Justice Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 015-0700-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Law Enforcement Operations .....	1,004	1,077	1,122
0007 Investigative Support Services .....	201	216	225
0192 Total Direct Program .....	1,205	1,293	1,347
0799 Total direct obligations .....	1,205	1,293	1,347
0801 Salaries and Expenses (Reimbursable) .....	86	112	112
0900 Total new obligations .....	1,291	1,405	1,459
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	251	198
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	14		
1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	27	251	198
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,201	1,240	1,306
1130 Appropriations permanently reduced .....	-3		
1160 Appropriation, discretionary (total) .....	1,198	1,240	1,306
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] ....	232		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	44	112	112
1701 Change in uncollected payments, Federal sources .....	42		
1750 Spending auth from offsetting collections, disc (total) .....	86	112	112
1900 Budget authority (total) .....	1,516	1,352	1,418
1930 Total budgetary resources available .....	1,543	1,603	1,616
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	251	198	157

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	253	241	242
3010 Obligations incurred, unexpired accounts .....	1,291	1,405	1,459
3011 Obligations incurred, expired accounts .....	20		
3020 Outlays (gross) .....	-1,291	-1,404	-1,420
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-31		
3050 Unpaid obligations, end of year .....	241	242	281
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-57	-52	-52
3070 Change in uncollected pymts, Fed sources, unexpired .....	-42		
3071 Change in uncollected pymts, Fed sources, expired .....	47		
3090 Uncollected pymts, Fed sources, end of year .....	-52	-52	-52
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	196	189	190
3200 Obligated balance, end of year .....	189	190	229

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	1,284	1,352	1,418
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,082	1,228	1,287
4011 Outlays from discretionary balances .....	209	136	91
4020 Outlays, gross (total) .....	1,291	1,364	1,378
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-90	-112	-112
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-92	-112	-112
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-42		
4052 Offsetting collections credited to expired accounts .....	48		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	1,198	1,240	1,306
4080 Outlays, net (discretionary) .....	1,199	1,252	1,266
Mandatory:			
4090 Budget authority, gross .....	232		
Outlays, gross:			
4101 Outlays from mandatory balances .....		40	42
4180 Budget authority, net (total) .....	1,430	1,240	1,306
4190 Outlays, net (total) .....	1,199	1,292	1,308

ATF is the U.S. law enforcement agency dedicated to protecting our Nation from the illicit use of firearms and explosives in violent crime and acts of terrorism. ATF protects our communities from violent criminals and criminal organizations by investigating and preventing the illegal use and trafficking of firearms, the illegal use and improper storage of explosives, acts of arson and bombings, and the illegal diversion of alcohol and tobacco products. ATF regulates the firearms and explosives industries from manufacture and/or importation through retail sale to ensure that Federal Firearms Licensees (FFLs) and Federal Explosives Licensees (FELs) and permittees conduct business in compliance with all applicable laws and regulations. For 2017, ATF is requesting \$35.6 million to fund 200 additional agents and investigators in support of the President's Executive Actions to reduce gun violence. Also included is \$4 million and 8 positions to enhance the National Integrated Ballistics Information Network (NIBIN), and \$9 million to help support the implementation of ATF's Next Generation Case Management system. Additionally, the request includes \$5.7 million and 22 positions to increase support for processing capacity related to processing Federal Firearms Licensee, Federal Explosive Licensee, and National Firearms Act applications, as well as the expanded use of firearms trace data.

**Object Classification** (in millions of dollars)

Identification code 015-0700-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	458	481	496
11.3 Other than full-time permanent .....	19	16	17
11.5 Other personnel compensation .....	68	73	80
11.9 Total personnel compensation .....	545	570	593
12.1 Civilian personnel benefits .....	231	245	251

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 015-0700-0-1-751	2015 actual	2016 est.	2017 est.	
21.0	Travel and transportation of persons	28	28	29
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	90	86	89
23.3	Communications, utilities, and miscellaneous charges	25	34	35
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	225	240	257
26.0	Supplies and materials	22	29	30
31.0	Equipment	27	49	51
32.0	Land and structures	9	9	9
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	1,205	1,293	1,347
99.0	Reimbursable obligations	86	112	112
99.9	Total new obligations	1,291	1,405	1,459

Employment Summary

Identification code 015-0700-0-1-751	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	4,821	5,028	5,216
2001	Reimbursable civilian full-time equivalent employment	52	52	52

FEDERAL PRISON SYSTEM  
Federal Funds

SALARIES AND EXPENSES  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Federal Prison System for the administration, operation, and maintenance of Federal penal and correctional institutions, and for the provision of technical assistance and advice on corrections related issues to foreign governments, **[\$6,948,500,000] \$7,186,225,000: Provided,** That the Attorney General may transfer to the Department of Health and Human Services such amounts as may be necessary for direct expenditures by that Department for medical relief for inmates of Federal penal and correctional institutions: *Provided further,* That the Director of the Federal Prison System, where necessary, may enter into contracts with a fiscal agent or fiscal intermediary claims processor to determine the amounts payable to persons who, on behalf of the Federal Prison System, furnish health services to individuals committed to the custody of the Federal Prison System: *Provided further,* That not to exceed \$5,400 shall be available for official reception and representation expenses: *Provided further,* That not to exceed \$50,000,000 shall remain available for necessary operations until September 30, **[2017] 2018: Provided further,** That, of the amounts provided for contract confinement, not to exceed \$20,000,000 shall remain available until expended to make payments in advance for grants, contracts and reimbursable agreements, and other expenses: *Provided further,* That the Director of the Federal Prison System may accept donated property and services relating to the operation of the prison card program from a not-for-profit entity which has operated such program in the past, notwithstanding the fact that such not-for-profit entity furnishes services under contracts to the Federal Prison System relating to the operation of pre-release services, halfway houses, or other custodial facilities: *Provided further, That notwithstanding section 1345 of title 31, United States Code, or any other provision of law, funds made available under this heading in this or prior Acts may be used to pay expenses associated with reentry programs to assist inmates in preparation for successful return to the community, including prison institution and Residential Reentry Center programs that involve inmates' family members and significant others, community sponsors and volunteers. (Department of Justice Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 015-1060-0-1-753	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Inmate Care and Programs	2,579	2,644	2,844
0002	Institution Security and Administration	2,993	3,046	3,158
0003	Contract Confinement	976	1,055	945
0004	Management and Administration	203	200	235
0091	Total operating expenses	6,751	6,945	7,182
0101	Capital investment: Institutional improvements	11	4	4

0192	Total direct program	6,762	6,949	7,186
0799	Total direct obligations	6,762	6,949	7,186
0801	Salaries and Expenses (Reimbursable)	50	50	50
0900	Total new obligations	6,812	6,999	7,236

Budgetary resources:

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	3	3	3
1012	Unobligated balance transfers between expired and unexpired accounts	25		
1050	Unobligated balance (total)	28	3	3
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation	6,815	6,949	7,186
1120	Appropriations transferred to other acct [015-0134]	-1		
1160	Appropriation, discretionary (total)	6,814	6,949	7,186
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected	44	50	50
1701	Change in uncollected payments, Federal sources	6		
1750	Spending auth from offsetting collections, disc (total)	50	50	50
1900	Budget authority (total)	6,864	6,999	7,236
1930	Total budgetary resources available	6,892	7,002	7,239
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring	-77		
1941	Unexpired unobligated balance, end of year	3	3	3

Change in obligated balance:

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	653	579	692
3010	Obligations incurred, unexpired accounts	6,812	6,999	7,236
3011	Obligations incurred, expired accounts	34		
3020	Outlays (gross)	-6,895	-6,886	-7,212
3041	Recoveries of prior year unpaid obligations, expired	-25		
3050	Unpaid obligations, end of year	579	692	716
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired	-6		
3071	Change in uncollected pymts, Fed sources, expired	4		
3090	Uncollected pymts, Fed sources, end of year	-6	-6	-6
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	649	573	686
3200	Obligated balance, end of year	573	686	710

Budget authority and outlays, net:

<b>Discretionary:</b>				
4000	Budget authority, gross	6,864	6,999	7,236
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	6,253	6,304	6,517
4011	Outlays from discretionary balances	642	582	695
4020	Outlays, gross (total)	6,895	6,886	7,212
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources		-6	
4033	Non-Federal sources	-49	-44	-50
4040	Offsets against gross budget authority and outlays (total)	-49	-50	-50
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired	-6		
4052	Offsetting collections credited to expired accounts	5		
4060	Additional offsets against budget authority only (total)	-1		
4070	Budget authority, net (discretionary)	6,814	6,949	7,186
4080	Outlays, net (discretionary)	6,846	6,836	7,162
4180	Budget authority, net (total)	6,814	6,949	7,186
4190	Outlays, net (total)	6,846	6,836	7,162

This appropriation will provide for the custody and care of an average daily population of over 186,000 offenders and for the maintenance and operation of 122 penal institutions, regional offices, and a central office located in Washington, D.C. The appropriation also finances the incarceration of sentenced Federal prisoners in State and local jails and other facilities for short periods of time. An average daily population of about 33,000 sentenced prisoners will be in contract facilities in 2017. The Federal Prison System (FPS) also receives reimbursements for the daily care and maintenance of state and local offenders, for utilities used by Federal Prison Industries, Inc., for staff housing, and for meals purchased by FPS staff at institutions.

**Inmate Care and Programs.**—This activity covers the costs of all food, medical supplies, clothing, welfare services, release clothing, transportation, gratuities, staff salaries (including salaries of Health Resources and Services Administration commissioned officers), and operational costs of functions directly related to providing inmate care. This decision unit also finances the costs of GED classes and other educational programs, vocational training, drug treatment, religious programs, psychological services, and other inmate programs such as Life Connections.

**Institution Security and Administration.**—This activity covers costs associated with the maintenance of facilities and institution security. This activity finances institution maintenance, motor pool operations, power-house operations, institution security, and other administrative functions.

**Contract Confinement.**—This activity provides for the confinement of sentenced Federal offenders in a Government-owned, contractor-operated facility, and State, local, and private contract facilities. It also provides for the care of Federal prisoners in contract community residential centers and covers the costs associated with management and oversight of contract confinement functions. This activity also funds assistance by the National Institute of Corrections to State and local corrections.

**Management and Administration.**—This activity covers all costs associated with general administration and provides funding for the central office, regional offices, and staff training centers. Also included are oversight functions of the executive staff and regional and central office program managers in the areas of: budget development and execution; financial management; procurement and property management; human resource management; inmate systems management; safety; legal counsel; research and evaluation; and systems support.

**Object Classification (in millions of dollars)**

Identification code 015-1060-0-1-753	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2,460	2,539	2,608
11.3 Other than full-time permanent .....	7	3	3
11.5 Other personnel compensation .....	257	181	183
11.9 Total personnel compensation .....	2,724	2,723	2,794
12.1 Civilian personnel benefits .....	1,435	1,435	1,488
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	42	42	42
22.0 Transportation of things .....	9	7	7
23.1 Rental payments to GSA .....	21	27	28
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	277	284	294
24.0 Printing and reproduction .....	1		
25.2 Other services from non-Federal sources .....	1,639	1,700	1,747
26.0 Supplies and materials .....	585	710	764
31.0 Equipment .....	11	3	4
41.0 Grants, subsidies, and contributions .....	1	4	4
42.0 Insurance claims and indemnities .....	13	10	10
99.0 Direct obligations .....	6,762	6,949	7,186
99.0 Reimbursable obligations .....	50	50	50
99.9 Total new obligations .....	6,812	6,999	7,236

**Employment Summary**

Identification code 015-1060-0-1-753	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	37,172	37,565	38,405

**BUILDINGS AND FACILITIES**

For planning, acquisition of sites and construction of new facilities; purchase and acquisition of facilities and remodeling, and equipping of such facilities for penal and correctional use, including all necessary expenses incident thereto, by contract or force account; and constructing, remodeling, and equipping necessary buildings and facilities at existing penal and correctional institutions, including all necessary expenses incident thereto, by contract or force account, **[\$530,000,000]** \$113,022,000, to remain available until expended, of which **[\$444,000,000]** shall be available only for costs related to construction of new facilities **not less than**

\$99,022,000 shall be available only for modernization, maintenance, and repair, and of which not to exceed \$14,000,000 shall be available to construct areas for inmate work programs: *Provided*, That labor of United States prisoners may be used for work performed under this appropriation. (Department of Justice Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 015-1003-0-1-753	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 New construction .....	15	218	200
0002 Modernization and Repair .....	75	91	112
0900 Total new obligations .....	90	309	312
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	65	81	302
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	106	530	113
1900 Budget authority (total) .....	106	530	113
1930 Total budgetary resources available .....	171	611	415
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	81	302	103
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	50	57	236
3010 Obligations incurred, unexpired accounts .....	90	309	312
3020 Outlays (gross) .....	-83	-130	-271
3050 Unpaid obligations, end of year .....	57	236	277
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	50	57	236
3200 Obligated balance, end of year .....	57	236	277
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	106	530	113
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		53	11
4011 Outlays from discretionary balances .....	83	77	260
4020 Outlays, gross (total) .....	83	130	271
4180 Budget authority, net (total) .....	106	530	113
4190 Outlays, net (total) .....	83	130	271

**New Construction.**—This activity includes the costs associated with land and building acquisition, new prison construction, and land payments of the Federal Transfer Center in Oklahoma City, which serves as a Bureau-wide transfer and processing center.

**Modernization and repair of existing facilities.**—This activity includes costs associated with rehabilitation, modernization and renovation of Bureau-owned buildings and other structures in order to meet legal requirements and accommodate correctional programs.

**Object Classification (in millions of dollars)**

Identification code 015-1003-0-1-753	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	57	265	267
26.0 Supplies and materials .....	13	21	21
31.0 Equipment .....	11	13	14
32.0 Land and structures .....	1	2	2
99.9 Total new obligations .....	90	309	312

**Employment Summary**

Identification code 015-1003-0-1-753	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	56	109	109

FEDERAL PRISON INDUSTRIES, INCORPORATED

The Federal Prison Industries, Incorporated, is hereby authorized to make such expenditures within the limits of funds and borrowing authority available, and in accord with the law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program set forth in the budget for the current fiscal year for such corporation. (Department of Justice Appropriations Act, 2016.)

LIMITATION ON ADMINISTRATIVE EXPENSES, FEDERAL PRISON INDUSTRIES, INCORPORATED

Not to exceed \$2,700,000 of the funds of the Federal Prison Industries, Incorporated, shall be available for its administrative expenses, and for services as authorized by section 3109 of title 5, United States Code, to be computed on an accrual basis to be determined in accordance with the corporation's current prescribed accounting system, and such amounts shall be exclusive of depreciation, payment of claims, and expenditures which such accounting system requires to be capitalized or charged to cost of commodities acquired or produced, including selling and shipping expenses, and expenses in connection with acquisition, construction, operation, maintenance, improvement, protection, or disposition of facilities and other property belonging to the corporation or in which it has an interest. (Department of Justice Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 015-4500-0-4-753	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0804 Federal Prison Industries .....	627	567	603
0809 Reimbursable program activities, subtotal .....	627	567	603
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	183	120	120
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	546	564	600
1801 Change in uncollected payments, Federal sources .....	15		
1850 Spending auth from offsetting collections, mand (total) .....	561	564	600
1900 Budget authority (total) .....	564	567	603
1930 Total budgetary resources available .....	747	687	723
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	120	120	120
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	171	133	133
3010 Obligations incurred, unexpired accounts .....	627	567	603
3020 Outlays (gross) .....	-665	-567	-603
3050 Unpaid obligations, end of year .....	133	133	133
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-37	-37
3070 Change in uncollected pymts, Fed sources, unexpired .....	-15		
3090 Uncollected pymts, Fed sources, end of year .....	-37	-37	-37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	149	96	96
3200 Obligated balance, end of year .....	96	96	96
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....		3	3
Mandatory:			
4090 Budget authority, gross .....	561	564	600
Outlays, gross:			
4100 Outlays from new mandatory authority .....	546	461	577
4101 Outlays from mandatory balances .....	119	103	23
4110 Outlays, gross (total) .....	665	564	600
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-549	-567	-603
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-15		
4160 Budget authority, net (mandatory) .....	-3	-3	-3
4170 Outlays, net (mandatory) .....	116	-3	-3
4180 Budget authority, net (total) .....			

4190 Outlays, net (total) .....	116		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	312	195	195
5001 Total investments, EOY: Federal securities: Par value .....	195	195	195

Federal Prison Industries, Inc. (FPI), was created by Congress in 1934 and is a wholly-owned Government corporation. Its mission is to employ and train Federal inmates through a diversified work program providing products and services to other Federal agencies. These operations are conducted in a self sustaining manner so as to maximize meaningful inmate employment opportunities and minimize the effects of competition on private industry and labor. Employment provides inmates with work, occupational knowledge and skills, plus money for personal expenses and family assistance.

FPI operates as a revolving fund and does not receive an annual appropriation. The majority of revenues are derived from the sale of products and services to other federal departments, agencies, and bureaus. Operating expenses such as the cost of raw materials and supplies, inmate wages, staff salaries, and capital expenditures are applied against these revenues resulting in operating income or loss, which is reapplied toward operating costs for future production. In this regard, FPI makes capital investments in buildings and improvements, machinery, and equipment as necessary in the conduct of its industrial operation.

In order to increase inmate work opportunities, FPI continues to explore opportunities with commercial customers. In the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55), FPI received two new authorities to increase inmate employment. The first enables FPI to recapture work that would otherwise be performed outside of the United States, also known as repatriation. The second authorized FPI to participate in the Prison Industries Enhancement Certification Program (PIECP), which allows FPI to partner with commercial businesses under a strict set of conditions to manufacture and sell prison-made goods in interstate commerce.

Object Classification (in millions of dollars)

Identification code 015-4500-0-4-753	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	70	79	70
11.5 Other personnel compensation .....	3	1	1
11.8 Special personal services payments .....	30	30	29
11.9 Total personnel compensation .....	103	110	100
12.1 Civilian personnel benefits .....	38	43	39
21.0 Travel and transportation of persons .....	2	4	2
22.0 Transportation of things .....	3	2	3
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	12	13
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	25	9	12
26.0 Supplies and materials .....	445	377	413
31.0 Equipment .....	10	8	19
99.9 Total new obligations .....	627	567	603

Employment Summary

Identification code 015-4500-0-4-753	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	869	1,147	1,147

Trust Funds

COMMISSARY FUNDS, FEDERAL PRISONS (TRUST REVOLVING FUND)

Program and Financing (in millions of dollars)

Identification code 015-8408-0-8-753	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Commissary Funds, Federal Prisons (trust Revolving Fund) (Reimbursable) .....	376	386	398

OFFICE OF JUSTICE PROGRAMS

Federal Funds

RESEARCH, EVALUATION AND STATISTICS

For grants, contracts, cooperative agreements, and other assistance authorized by title I of the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Juvenile Justice and Delinquency Prevention Act of 1974 ("the 1974 Act"); the Missing Children's Assistance Act (42 U.S.C. 5771 et seq.); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Justice for All Act of 2004 (Public Law 108-405); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647); the Second Chance Act of 2007 (Public Law 110-199); the Victims of Crime Act of 1984 (Public Law 98-473); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (Public Law 110-401); subtitle D of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); and other programs, **[\$116,000,000] \$154,000,000**, to remain available until expended, of which—

(1) **[\$41,000,000] \$58,000,000** is for criminal justice statistics programs, and other activities, as authorized by part C of title I of the 1968 Act, of which *\$1,000,000 is for a national survey of public defenders, \$1,500,000 is for the design and testing of a national public defenders reporting program, and \$6,000,000 is for the National Crime Victimization Survey Sample Boost for Sub-national Estimates program;*

(2) **[\$36,000,000] \$48,000,000** is for research, development, and evaluation programs, and other activities as authorized by part B of title I of the 1968 Act and subtitle D of title II of the 2002 Act, of which *\$3,000,000 is for social science research on indigent defense; \$5,000,000 is for development of an improved means to conduct digital forensics of large-scale computer systems and networks; and, notwithstanding section 818 of title I of the 1968 Act, \$2,700,000 is for research on civil legal aid matters;*

(3) **\$3,000,000** is for an evaluation clearinghouse program;

**[(3)] (4) [\$35,000,000] \$25,000,000** is for regional information sharing activities, as authorized by part M of title I of the 1968 Act; **[and]**

**[(4)] (5) [\$4,000,000] \$6,000,000** is for activities to strengthen and enhance the practice of forensic sciences, of which *\$3,000,000 is for transfer to the National Institute of Standards and Technology to support Scientific Area Committees;*

*(6) \$4,000,000 is for research targeted toward developing a better understanding of the domestic radicalization phenomenon, and advancing evidence-based strategies for effective intervention and prevention; and*

*(7) \$10,000,000 is for a nationwide incident-based crime statistics program. (Department of Justice Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 015-0401-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Institute of Justice .....	34	33	45
0002 Bureau of Justice Statistics .....	38	37	56
0003 Forensic Sciences .....		3	4
0004 Regional Information Sharing System .....	27	33	23
0011 Management and Administration .....	10	9	13
0012 Evaluation Clearinghouse .....			1
0013 Research on Domestic Radicalization .....			2
0014 2% Research, Evaluation, and Statistics Set-aside .....	29		
0015 Violence Against Women .....	3		
0016 NCS-X Implementation Program .....			9
0799 Total direct obligations .....	141	115	153
0801 Programmatic Reimbursable .....	6	6	6
0802 Management & Administration Reimbursable .....	192	206	211
0899 Total reimbursable obligations .....	198	212	217
0900 Total new obligations .....	339	327	370

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	20	31
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1050 Unobligated balance (total) .....	25	23	34

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	68	56	56
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	369	386	398
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-5		
1850 Spending auth from offsetting collections, mand (total) .....	364	386	398
1930 Total budgetary resources available .....	432	442	454
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	56	56	56

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	26	26
3010 Obligations incurred, unexpired accounts .....	376	386	398
3020 Outlays (gross) .....	-373	-386	-398
3050 Unpaid obligations, end of year .....	26	26	26
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	25	25
3200 Obligated balance, end of year .....	25	25	25

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	364	386	398
Outlays, gross:			
4100 Outlays from new mandatory authority .....	352	380	396
4101 Outlays from mandatory balances .....	21	6	2
4110 Outlays, gross (total) .....	373	386	398
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-369	-386	-398
4180 Budget authority, net (total) .....	-5		
4190 Outlays, net (total) .....	4		

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections .....		5	5
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	5	5	5

**Budget program.**—The commissary fund consists of the operation of commissaries for the inmates as an earned privilege.

**Financing.**—Profits are derived from the sale of goods and services to inmates. Sales for 2017 are estimated at \$398 million. Adequate working capital is assured from retained earnings.

**Operating results.**—Profits received are used for programs, goods, and services for the benefit of inmates.

Object Classification (in millions of dollars)

Identification code 015-8408-0-8-753	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	46	47	47
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	42	42	42
11.9 Total personnel compensation .....	89	90	90
12.1 Civilian personnel benefits .....	25	26	26
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	13	13	13
26.0 Supplies and materials .....	239	247	259
31.0 Equipment .....	9	9	9
99.9 Total new obligations .....	376	386	398

Employment Summary

Identification code 015-8408-0-8-753	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	675	675	675

RESEARCH, EVALUATION AND STATISTICS—Continued  
Program and Financing—Continued

Identification code 015-0401-0-1-754	2015 actual	2016 est.	2017 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100	111	116	154
1120	-3	-3	-3
1121	23		
1121	5		
1121	3	5	3
1131	-2	-3	-2
1160	137	115	152
Spending authority from offsetting collections, discretionary:			
1700	197	220	229
1900	334	335	381
1930	359	358	415
Memorandum (non-add) entries:			
1941	20	31	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	327	347	254
3010	339	327	370
3020	-316	-417	-463
3040	-3	-3	-3
3050	347	254	158
Uncollected payments:			
3060	-112	-112	-112
3090	-112	-112	-112
Memorandum (non-add) entries:			
3100	215	235	142
3200	235	142	46
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	334	335	381
Outlays, gross:			
4010	125	242	260
4011	191	175	203
4020	316	417	463
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-197	-220	-229
4040	-197	-220	-229
4180	137	115	152
4190	119	197	234

The 2017 Budget requests \$154,000,000 for the Office of Justice Programs' (OJP) Research, Evaluation, and Statistics appropriation. This appropriation includes programs that provide grants, contracts, and cooperative agreements for research, development and evaluation; develop and disseminate of quality statistical and scientific information; and nationwide support for law enforcement agencies.

Through leadership, funding, and technical support, OJP plays a significant role in the research and evaluation of new technologies to assist law enforcement, corrections personnel, and courts in protecting the public, and guides the development of new techniques and technologies in the areas of crime prevention, forensic science, and violence and victimization research. The research and statistical data compiled by OJP staff are used at all levels of government to guide decision making and planning efforts related to law enforcement, courts, corrections, and other criminal justice issues. Grants, technical assistance, and national leadership provided by OJP have supported efforts to provide and improve assistance to the Nation's Federal, state, local, and tribal law enforcement and criminal justice agencies. Additionally, the Administration has developed a strategy to address recent domestic terror incidents and the emergence of groups attempting to recruit Americans to take part in ongoing conflicts in foreign countries. As part of this effort, DOJ's Countering Violent Extremism (CVE) initiative is an Administration priority and supports the United Nations efforts to address foreign terrorist fighters. Additional resources are provided to support community led-efforts, including \$4 million to conduct research

targeted toward developing a better understanding of violent extremism and advancing evidence-based strategies for effective prevention and intervention.

*Research, Development, and Evaluation Program.*—The National Institute of Justice (NIJ) serves as the research and development agency of the Department of Justice, as authorized by 42 U.S.C. 3721–3723. The mission of NIJ is to advance scientific research, development, and evaluation to enhance the administration of justice and public safety by providing objective, independent, evidence-based knowledge, and tools to meet the challenges of crime and justice, particularly at the state and local levels. NIJ research, development, and evaluation (RD&E) efforts support practitioners and policy makers at all levels of government.

NIJ focuses its resources on crime control and related justice issues to provide objective, independent, evidence-based knowledge, and tools to meet the challenges of crime and justice, particularly at the state and local levels. Planned activities include but are not limited to: (1) Social science research addressing, among other topics: human trafficking; evaluation of anti-gang programs; policing; crime and justice; children exposed to violence; sentencing alternatives to incarceration; elder abuse; as well as demonstration field experiments in reentry and probation; (2) Provision of criminal justice-focused technology assistance to units of state, local and tribal government; (3) Criminal justice research infrastructure investments including funding for the National Criminal Justice Reference Service and the National Archive of Criminal Justice Data; (4) Development of equipment performance standards and conduct of compliance testing to help ensure the safety and effectiveness of the equipment used by criminal justice agencies, such as body armor, restraints, holsters, and video systems; and (5) Development of new tools and technologies for law enforcement, corrections, and forensic applications, including those aimed at improving officer safety, knowledge management, and community supervision.

In 2017, NIJ will continue to pursue research and evaluation projects to encourage the development and adoption of new crime-fighting tools, improve understanding of what works (and what does not) in criminal justice programs and policy, and expand understanding of complex criminal justice issues. The 2017 Budget proposes \$48,000,000 for the Research, Development, and Evaluation Program. Of this amount, \$3,000,000 is for Social Science Research on Indigent Defense, \$5,000,000 is for development of an improved means to conduct Digital Forensics of Large-Scale Computer Systems and Networks, and \$2,700,000 is for Civil Legal Aid Research.

*Forensic Science Improvement.*—Continuing improvement is needed in the forensic sciences, which are crucial to ensuring the accuracy of evidence presented in criminal justice trials. The 2017 Budget proposes \$6,000,000 for this program. Of this amount, \$3,000,000 will be transferred by NIJ to NIST for measurement science and standards in support of forensic science.

*Criminal Justice Statistics Program.*—The Bureau of Justice Statistics (BJS) serves as the principal statistical agency within the Department of Justice, as authorized by 42 U.S.C. 3721–3735, and assists state, local, and tribal governments in enhancing their statistical capabilities. It disseminates high quality information and statistics to inform policy makers, researchers, criminal justice practitioners, and the general public. The Criminal Justice Statistics Program encompasses a wide range of criminal justice topics, including: (1) victimization; (2) law enforcement; (3) prosecution; (4) courts and sentencing; (5) corrections; (6) recidivism and reentry; (7) tribal justice statistics; (8) justice expenditures and employment; (9) international justice systems; and (10) drugs, alcohol, and crime. In addition to collecting and analyzing statistical data, BJS administers the State Justice Statistics Program for the Statistical Analysis Centers (SACs). SACs have been established in all states and most territories to centralize and integrate criminal justice statistical functions. Through financial and technical assistance to the state SACs, BJS promotes efforts to coordinate statistical activities within the states and conducts the research as needed to estimate the impact of legislative and policy changes. The SACs also serve in a liaison role, assisting BJS with data gathering from respondent agencies within their states. Further, the Criminal Justice Statistics Program will expand on its

base of work in 2017 by enhancing the quality and availability of statistics in a number of areas including: (1) criminal victimization via the redesign the National Criminal Victimization Survey (NCVS), which is the sole continuous source of national information for many topics related to crime and victimization rates for the Administration and Congress, state and local law enforcement, and the research community; (2) law enforcement administration; (3) state & Federal adjudication and sentencing; (4) jail and prison statistics; (5) recidivism and reentry data; (6) white collar crime and (7) statistics on American Indians in the criminal justice system. The 2017 Budget proposes \$58,000,000 for the Criminal Justice Statistics program. Of this amount, \$6,000,000 is for the National Crime Victimization Survey Sample Boost for Subnational Estimates program; \$1,000,000 is for the National Survey of Public Defenders for Indigent Defense; and \$1,500,000 is for the National Public Defenders Reporting Program for Indigent Defense.

**Regional Information Sharing System (RISS).**—RISS is a national criminal intelligence system operated by and for state and local law enforcement agencies. The RISS regional centers facilitate information sharing and communications to support member agency investigative and prosecution efforts by providing state-of-the-art investigative support and training, analytical services, specialized equipment, secure information-sharing technology, and secure encrypted email and communications capabilities to over 6,000 municipal, county, state, and Federal law enforcement agencies nationwide. The 2017 Budget proposes \$25,000,000 for this program.

**Evaluation Clearinghouse.**—The 2017 Budget requests \$3,000,000 for the continued development and deployment of CrimeSolutions.gov, which uses rigorous research to inform practitioners and policymakers about what works in criminal justice, juvenile justice, and crime victim services.

**Research on Domestic Radicalization.**—The 2017 Budget requests \$4,000,000 for research targeted toward developing a better understanding of the domestic radicalization phenomenon, and advancing evidence-based strategies for effective intervention and prevention.

**NCS-X Implementation Program.**—The 2017 Budget requests \$10,000,000 to collect and report nation-wide incident-based crime statistics in order to inform the formulation and evaluation of crime control policies at the national, state and the local levels.

**Object Classification** (in millions of dollars)

Identification code 015-0401-0-1-754	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	4	4	4
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	5	5	5
25.3 Other goods and services from Federal sources .....	36	36	36
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	88	63	101
99.0 Direct obligations .....	141	116	154
99.0 Reimbursable obligations .....	198	211	216
99.9 Total new obligations .....	339	327	370

**Employment Summary**

Identification code 015-0401-0-1-754	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	666	707	754

SALARIES AND EXPENSES, OFFICE OF JUSTICE PROGRAMS

**Program and Financing** (in millions of dollars)

Identification code 015-0420-0-1-754	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	4	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-4	.....
3050 Unpaid obligations, end of year .....	4	.....	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	4	.....
3200 Obligated balance, end of year .....	4	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE

For grants, contracts, cooperative agreements, and other assistance authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Justice for All Act of 2004 (Public Law 108-405); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Trafficking Victims Protection Reauthorization Act of 2005 (Public Law 109-164); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); subtitle D of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the Second Chance Act of 2007 (Public Law 110-199); the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110-403); the Victims of Crime Act of 1984 (Public Law 98-473); the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110-416); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); and other programs, **[\$1,408,500,000] \$1,097,800,000**, to remain available until expended as follows—

(1) **[\$476,000,000] \$383,500,000** for the Edward Byrne Memorial Justice Assistance Grant program as authorized by subpart 1 of part E of title I of the 1968 Act (except that section 1001(c), and the special rules for Puerto Rico under section 505(g) of title I of the 1968 Act shall not apply for purposes of this Act), of which, notwithstanding such subpart 1, **\$2,000,000 is for a program to improve State and local law enforcement intelligence capabilities including antiterrorism training and training to ensure that constitutional rights, civil liberties, civil rights, and privacy interests are protected throughout the intelligence process, \$2,000,000 is for a State, local, and tribal assistance help desk and diagnostic center program, \$15,000,000 is for [an] the Officer Robert Wilson III memorial initiative on Preventing Violence Against Law Enforcement Officer Resilience and Survivability (VALOR), \$22,500,000 is for the matching grant program for law enforcement armor vests, as authorized by section 2501 of title I of the 1968 Act, [\$4,000,000 is for use by the National Institute of Justice for research targeted toward developing a better understanding of the domestic radicalization phenomenon, and advancing evidence-based strategies for effective intervention and prevention, \$5,000,000] \$20,000,000 is for an initiative to support evidence-based policing, [\$2,500,000] \$5,000,000 is for an initiative to enhance prosecutorial decision-making, [\$100,000,000 is for grants for law enforcement activities associated with the presidential nominating conventions, and \$2,400,000 is for the operationalization, maintenance and expansion of the National Missing and Unidentified Persons System] \$2,000,000 is for a program to provide training and technical assistance to counter domestic violent extremism, and \$7,500,000 is for a national training initiative to improve police-based responses to people with mental illness or developmental disabilities: Provided, That up to five percent of the funds made available under this paragraph may be used for an initiative to meet emerging needs of State and local law enforcement;**

(2) **\$210,000,000** for the State Criminal Alien Assistance Program, as authorized by section 241(i)(5) of the Immigration and Nationality Act (8 U.S.C. 1231(i)(5)): **Provided, That no jurisdiction shall request compensation for any cost greater than the actual cost for Federal immigration and other detainees housed in State and local detention facilities;**

## STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

[(3)] \$45,000,000 for victim services programs for victims of trafficking, as authorized by section 107(b)(2) of Public Law 106–386, for programs authorized under Public Law 109–164, or programs authorized under Public Law 113–4; ]

(2) \$10,000,000 for an Edward Byrne Memorial incentive grant program;

(3) \$15,000,000 for competitive grants to improve the functioning of the criminal justice system, to prevent or combat juvenile delinquency, and to assist victims of crime (other than compensation);

(4) \$42,000,000 for Drug Courts, as authorized by section 1001(a)(25)(A) of title I of the 1968 Act;

(5) [\$10,000,000] \$14,000,000 for mental health courts and adult and juvenile collaboration program grants, as authorized by parts V and HH of title I of the 1968 Act, and the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110–416);

(6) [\$12,000,000] \$14,000,000 for grants for Residential Substance Abuse Treatment for State Prisoners, as authorized by part S of title I of the 1968 Act;

(7) [\$2,500,000] \$2,000,000 for the Capital Litigation Improvement Grant Program, as authorized by section 426 of Public Law 108–405, [and] or for grants for wrongful conviction review;

(8) [\$13,000,000] \$15,000,000 for economic, high technology and Internet crime prevention grants, including as authorized by section 401 of Public Law 110–403, of which not more than \$2,500,000 is for intellectual property enforcement grants, including as authorized by section 401 of Public Law 110–403;

[(9)] \$2,000,000 for a student loan repayment assistance program pursuant to section 952 of Public Law 110–315; ]

[(10)] (9) \$20,000,000 for sex offender management assistance, as authorized by the Adam Walsh Act, and related activities;

[(11)] (10) [\$8,000,000] \$23,000,000 for an initiative relating to children exposed to violence;

[(12)] \$22,500,000 for the matching grant program for law enforcement armor vests, as authorized by section 2501 of title I of the 1968 Act: *Provided*, That \$1,500,000 is transferred directly to the National Institute of Standards and Technology's Office of Law Enforcement Standards for research, testing and evaluation programs; ]

(11) \$24,000,000 for an Edward Byrne Memorial criminal justice innovation program;

[(13)] (12) \$1,000,000 for the National Sex Offender Public Website;

[(14)] (13) [\$6,500,000] \$5,000,000 for competitive and evidence-based programs to reduce gun crime and gang violence;

[(15)] (14) [\$73,000,000] \$50,000,000 for grants to States to upgrade criminal and mental health records for the National Instant Criminal Background Check System [ , of which no less than \$25,000,000 shall be for grants made under the authorities of the NICS Improvement Amendments Act of 2007 (Public Law 110–180) ] and related activities;

(15) \$5,000,000 for grants to assist State and tribal governments and related activities, as authorized by the NICS Improvement Amendments Act of 2007 (Public Law 110–180);

[(16)] \$13,500,000 for Paul Coverdell Forensic Sciences Improvement Grants under part BB of title I of the 1968 Act; ]

[(17)] (16) [\$125,000,000] \$105,000,000 for DNA-related and forensic programs and activities (including related research and development, training and education, and technical assistance), of which [—] \$20,000,000 is for programs and activities (including grants, technical assistance, and technology) to reduce the rape kit backlog;

[(A)] \$117,000,000 is for a DNA analysis and capacity enhancement program and for other local, State, and Federal forensic activities, including the purposes authorized under section 2 of the DNA Analysis Backlog Elimination Act of 2000 (Public Law 106–546) (the Debbie Smith DNA Backlog Grant Program): *Provided*, That up to 4 percent of funds made available under this paragraph may be used for the purposes described in the DNA Training and Education for Law Enforcement, Correctional Personnel, and Court Officers program (Public Law 108–405, section 303); ]

[(B)] \$4,000,000 is for the purposes described in the Kirk Bloodsworth Post-Conviction DNA Testing Program (Public Law 108–405, section 412); and ]

[(C)] \$4,000,000 is for Sexual Assault Forensic Exam Program grants, including as authorized by section 304 of Public Law 108–405; ]

[(18)] (17) [\$45,000,000] \$41,000,000 for a grant program for community-based sexual assault response reform;

[(19)] (18) [\$9,000,000] \$6,000,000 for the court-appointed special advocate program, as authorized by section 217 of the 1990 Act;

[(20)] \$30,000,000 for assistance to Indian tribes; ]

[(21)] (19) [\$68,000,000] \$100,000,000 for offender reentry programs and research, as authorized by the Second Chance Act of 2007 (Public Law 110–199), without regard to the time limitations specified at section 6(1) of such Act, of which not to exceed [\$6,000,000] \$10,000,000 is for a program to improve State, local, and tribal probation or parole supervision efforts and strategies, \$5,000,000 is for Children of Incarcerated Parents Demonstrations to enhance and maintain parental and family relationships for incarcerated parents as a reentry or recidivism reduction strategy, and [\$4,000,000] is for additional replication sites employing the Project HOPE Opportunity Probation with Enforcement model implementing swift and certain sanctions in probation, and for a research project on the effectiveness of the model] \$1,250,000 is for a program to improve law enforcement agencies' response to children and families who come into contact with law enforcement: *Provided*, That up to [\$7,500,000] \$20,000,000 of funds made available in this paragraph may be used for performance-based awards for Pay for Success projects, of which up to [\$5,000,000] \$10,000,000 shall be for Pay for Success programs implementing the Permanent Supportive Housing Model: *Provided further*, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a): *Provided further*, That, with respect to the first proviso (or any other similar projects funded in prior appropriations), any deobligated funds from such projects shall immediately be available for activities authorized under the Second Chance Act of 2007 (Public Law 110–199);

[(22)] (20) \$6,000,000 for a veterans treatment courts program;

[(23)] (21) [\$13,000,000] \$12,000,000 for a program to monitor prescription drugs and scheduled listed chemical products;

[(24)] (22) \$10,500,000 for prison rape prevention and prosecution grants to States and units of local government, and other programs, as authorized by the Prison Rape Elimination Act of 2003 (Public Law 108–79), including statistics, data, and research: *Provided*, That, upon the Attorney General's initial receipt of submissions pursuant to section 8(c)(2) of Public Law 108–79—

(A) the annual comprehensive statistical review and related analysis provided for in section 4(a) thereof shall next be terminated and replaced with a recurring national survey assessing the impact and effectiveness of the PREA standards nationally, to be required in the calendar year next following, and every fifth year thereafter; and

(B) the review panel established under section 4(b) of Public Law 108–79 shall be terminated;

(23) \$30,000,000 for a justice reinvestment initiative, for activities related to criminal and juvenile justice reform and recidivism reduction, including but not limited to data analysis, policy development, and provision of neutral information on issues, implementation and performance to inform State and local policy-makers;

(24) \$10,000,000 for additional replication sites employing the Project HOPE Opportunity Probation with Enforcement model implementing swift and certain sanctions in probation, and for a research project on the effectiveness of the model;

(25) \$75,000,000 for the Comprehensive School Safety Initiative and for related hiring: *Provided*, That section [213] 210 of this Act shall not apply with respect to the amount made available in this paragraph; [and ]

[(26)] \$70,000,000 for initiatives to improve police-community relations, of which \$22,500,000 is for a competitive matching grant program for purchases of body-worn cameras for State, local and tribal law enforcement, \$27,500,000 is for a justice reinvestment initiative, for activities related to criminal justice reform and recidivism reduction, \$5,000,000 is for research and statistics on body-worn cameras and community trust issues, and \$15,000,000 is for an Edward Byrne Memorial criminal justice innovation program; ]

(26) \$5,400,000 for Ensuring the Right to Counsel for All Individuals: Answering Gideon's Call;

(27) \$5,000,000 for a competitive grant program to incentivize statewide civil legal aid planning processes and system improvements, notwithstanding section 818 of title I of the 1968 Act;

(28) \$20,000,000 for a program to promote fairness in the criminal and juvenile justice system and build community trust;

(29) \$30,000,000 for a competitive grant program for purchases of body worn cameras for State, local, and tribal law enforcement;

(30) \$5,000,000 for law enforcement agencies to implement the Federal Bureau of Investigation's Next Generation Identification program;

(31) \$2,400,000 for the operationalization, maintenance and expansion of the National Missing and Unidentified Persons System;

(32) \$6,000,000 for a program to counter domestic violent extremism; and

(33) \$5,000,000 is for the Violence Reduction Network;

Provided, That, if a unit of local government uses any of the funds made available under this heading to increase the number of law enforcement officers, the unit of local government will achieve a net gain in the number of law enforcement officers who perform non-administrative public sector safety service. (Department of Justice Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 015-0404-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State Criminal Alien Assistance Program	166	193	
0002 Adam Walsh Act Implementation	18	18	18
0004 NJ for Domestic Radicalization	4	4	
0005 Byrne Competitive Grants			14
0007 Justice Assistance Grants	301	317	280
0008 Byrne Incentive Grants			9
0009 Residential Substance Abuse Treatment	9	11	13
0010 Drug Court Program	37	39	39
0011 Justice Reinvestment Initiative	25	25	27
0012 Victims of Trafficking	41	45	
0013 Prescription Drug Monitoring Program	10	12	11
0014 Prison Rape Prevention and Prosecution Program	12	9	9
0015 Capital Litigation Improvement Grant Program	2	2	2
0016 Mentally-Ill Offender Act	8	9	13
0017 National Sex Offender Public Website	1	1	1
0018 Project Hope Opportunity Probation with Enforcement (HOPE)	4	4	9
0019 Bulletproof Vest Partnership	18	21	21
0020 State and Local Anti-Terrorism Training			2
0021 Smart Policing	4	5	18
0022 National Criminal History Improvement Program (NCHIP)	35	44	46
0023 Smart Prosecution	2	3	5
0024 Countering Violent Extremism Training and Technical Assistance			2
0029 Court Appointed Special Advocate (CASA)	5	8	5
0031 National Instant Criminal Background Check System	22	23	5
0035 Post-conviction DNA Testing grants	3	4	
0038 Sexual Assault Forensic Exam Program grants	4	4	
0043 S&L Gun Crime Prosecution Assistance/Gun Violence Reduction	4	6	5
0044 DNA Initiative	105	107	96
0045 Coverdell Forensic Science Grants	11	12	
0050 Second Chance Act/Offender Reentry	62	59	95
0053 Missing Alzheimer's Program	1		
0056 Economic, High Tech, and Cybercrime Prevention	9	10	12
0076 State and Local Assistance Help Desk and Diagnostic Center	1		2
0077 VALOR Initiative	13	14	14
0080 Children Exposed to Violence	7	8	21
0081 Byrne Criminal Justice Innovation Program	9	14	22
0082 Indian Assistance	27	27	
0084 John R. Justice Student Loan Repayment Program	2	2	
0088 Intellectual Property Enforcement Program	2	2	2
0089 Management and Administration	100	102	93
0091 Direct program activities, subtotal	1,084	1,164	911
0101 Campus Public Safety	2		
0103 Veterans Treatment Courts	4	6	6
0106 Vision 21	11		
0107 Comprehensive School Safety Initiative	69	69	69
0108 Community Teams to Reduce the SAK Backlog	37	41	38
0109 Civil Legal Aid Competitive Grant Program			5
0111 Indigent Defense: Answering Gideon's Call			5
0112 Procedural Justice - Building Community Trust			18
0114 Countering Violent Extremism (CVE) Program			5
0115 Body-Worn Camera (BWC) Partnership Program		21	27
0116 National Missing and Unidentified Persons System		2	2
0117 Emergency Law Enforcement Assistance	1		
0118 Juvenile Indigent Defense	2		
0119 Firearms Safety Materials and Gun Locks	3		
0120 Presidential Nominating Conventions		100	
0121 Community Trust Initiative: Research and Stats on Community Trust		5	
0122 Natl. Training Center to Improve Police Responses to People with Mental Illness			7
0130 Next Generation Identification Assistance Program			5
0191 Direct program activities, subtotal	129	244	187
0799 Total direct obligations	1,213	1,408	1,098
0801 State and Local Law Enforcement Assistance (Reimbursable)	4	10	10
0900 Total new obligations	1,217	1,418	1,108
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	51	37	35

1021 Recoveries of prior year unpaid obligations	39	40	45
1050 Unobligated balance (total)	90	77	80
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,241	1,408	1,098
1120 Appropriations transferred to other accts [013-0500]	-1	-1	
1120 Appropriations transferred to other accts [015-0401]	-23		
1131 Unobligated balance of appropriations permanently reduced	-58	-31	-14
1160 Appropriation, discretionary (total)	1,159	1,376	1,084
Spending authority from offsetting collections, discretionary:			
1700 Collected	6		
1701 Change in uncollected payments, Federal sources	-1		
1750 Spending auth from offsetting collections, disc (total)	5		
1900 Budget authority (total)	1,164	1,376	1,084
1930 Total budgetary resources available	1,254	1,453	1,164
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37	35	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,857	2,013	1,877
3010 Obligations incurred, unexpired accounts	1,217	1,418	1,108
3020 Outlays (gross)	-1,021	-1,514	-1,299
3040 Recoveries of prior year unpaid obligations, unexpired	-39	-40	-45
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	2,013	1,877	1,641
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,852	2,009	1,873
3200 Obligated balance, end of year	2,009	1,873	1,637
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1,164	1,376	1,084
Outlays, gross:			
4010 Outlays from new discretionary authority	76	279	228
4011 Outlays from discretionary balances	945	1,235	1,071
4020 Outlays, gross (total)	1,021	1,514	1,299
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4		
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-6		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	1,159	1,376	1,084
4080 Outlays, net (discretionary)	1,015	1,514	1,299
4180 Budget authority, net (total)	1,159	1,376	1,084
4190 Outlays, net (total)	1,015	1,514	1,299

The 2017 Budget requests \$1,097,800,000 for the Office of Justice Programs' (OJP) State and Local Law Enforcement Assistance appropriation account, which includes programs that establish and build on partnerships with state, local, and tribal governments, and faith-based and community organizations. These programs provide Federal leadership on high-priority criminal justice concerns such as violent crime, criminal gang activity, illegal drugs, information sharing, and related justice system issues. OJP's formula and discretionary grant programs, coupled with training and technical assistance activities, assist law enforcement agencies, courts, local community partners, and other components of the criminal justice system in preventing and addressing violent crime, protecting the public, and ensuring that offenders are held accountable for their actions. Additionally, the Administration has developed a strategy to significantly improve the ability of communities and stakeholders to identify potential extremists and intervene where necessary to thwart radical behavior that may lead to violence. The Budget provides \$6 million to support community led-efforts to counter violent extremism and \$2 million for related training and technical assistance. OJP State and Local Law Enforcement Assistance funding will be used to support the following initiatives:

## STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

*Byrne Justice Assistance Grants (JAG).*—The Byrne Justice Assistance Grants program awards grants to state and local governments to support a broad range of activities that prevent and control crime based on local needs and conditions, including: law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs. The 2017 Budget proposes \$383,500,000 for this program. Of this total, \$2,000,000 is for the State and Local Anti-Terrorism Training program; \$2,000,000 is for a state and local assistance help desk and diagnostic center; \$2,000,000 is for Countering Violent Extremism training and technical assistance; \$15,000,000 for VALOR, a program that supports officer safety; \$20,000,000 is for an initiative to assist and support evidence-based policing, of which \$10,000,000 is for Body Worn Camera Demonstration; \$5,000,000 will fund Smart Prosecution Initiatives; \$22,500,000 is for the Bulletproof Vests Program; and \$7,500,000 is for the new National Training Center to Improve Police-Based Responses to People with Mental Illness.

*Byrne Incentive Grants.*—This new program will provide supplementary grants to states and localities using Byrne JAG formula grant funds for evidence-based purposes. These incentive grants will serve as inducements for states and localities to use formula funds (as well as state and local funds) to implement proven public safety strategies. The 2017 Budget proposes \$10,000,000 for this new initiative.

*Byrne Competitive Grants.*—The Byrne Competitive Grants program awards grants to state, local, and tribal government agencies, for-profit and non-profit organizations, and faith-based and community organizations to improve the functioning of the criminal justice system and assist victims of crime. The 2017 Budget proposes \$15,000,000 for this program.

*Residential Substance Abuse Treatment (RSAT).*—The Residential Substance Abuse Treatment program for state prisoners helps states and units of local government develop, implement, and improve residential substance abuse treatment programs in correctional facilities, and establish and maintain community-based aftercare services for probationers and parolees. Ultimately, the program's goal is to help offenders become drug-free and learn the skills needed to sustain themselves upon return to the community. The 2017 Budget proposes \$14,000,000 for this program.

*Prison Rape Prevention and Prosecution Program.*—This program supports the Prison Rape Elimination Act (PREA) Grant Program, including training and technical assistance to the grantees in meeting their PREA goals and objectives, training and technical assistance to the field at large in implementing PREA standards, and the development of a national set of measures by the Bureau of Justice Statistics (BJS) describing the circumstances surrounding incidents of sexual assault in correctional institutions. The data collections provide facility-level estimates of sexual assault for a 12-month period. The 2017 Budget proposes \$10,500,000 for this program.

*Capital Litigation Improvement Program.*—The Capital Litigation Improvement Program provides grants for the training of defense counsel, state and local prosecutors, and state trial judges, with the goal of improving the quality of representation and the reliability of verdicts in state capital cases. The training focuses on investigation techniques; pretrial and trial procedures, including the use of expert testimony and forensic science evidence; advocacy in capital cases; and capital case sentencing-phase procedures. The 2017 Budget proposes \$2,000,000 for this program.

*Drug Court Program.*—This program provides grants and training and technical assistance to state, local, and tribal governments to support the development, expansion, and enhancement of effective drug courts. The drug courts integrate evidence-based substance abuse treatment, mandatory drug testing, sanctions and incentives, and transitional ser-

vices in a judicially supervised court setting with jurisdiction over substance-abusing offenders. The 2017 Budget proposes \$42,000,000 for this program.

*Justice and Mental Health Collaboration Program (formerly Mentally Ill Offender Act Program).*—This program will provide grants, training, and technical and strategic planning assistance to help state, local, and tribal governments develop multi-faceted strategies that bring together criminal justice, social services, and public health agencies, as well as community organizations, to develop system-wide responses to the needs of mentally ill individuals involved in the criminal justice system. The 2017 Budget proposes \$14,000,000 for this program.

*Veterans Treatment Court Program.*—This program will provide grants, training and technical assistance to state, local, and tribal governments to support the creation and development of veterans treatment courts. These courts are a hybrid of existing drug and mental health court programs that use the problem solving courts model to serve veterans struggling with addiction, serious mental illness and/or co-occurring disorders. The 2017 Budget proposes \$6,000,000 for this program.

*Prescription Drug Monitoring Program.*—The purpose of the Harold Rogers Prescription Drug Monitoring Program (PDMP) is to enhance the capacity of regulatory and law enforcement agencies to collect and analyze controlled substance prescription data. The 2017 Budget proposes \$12,000,000 for this program.

*National Sex Offender Public Website.*—This program supports the maintenance and continued development of the Dru Sjodin National Sex Offender Public Website, which links the state, territory, and tribal sex offender registries. The 2017 Budget proposes \$1,000,000 for this program.

*Justice Reinvestment Initiative.*—Justice reinvestment is a data-driven approach to improve public safety, reduce corrections and related criminal justice spending, and reinvest savings in effective strategies that can decrease crime and strengthen neighborhoods. The initiative provides technical assistance and competitive financial support to states, counties, cities, and tribal authorities that are either currently engaged in justice reinvestment activities or are preparing to undertake such work. The 2017 Budget proposes \$30,000,000 for this program.

*Second Chance Act Program.*—The Second Chance Act Program provides grants to establish and expand various adult and juvenile offender reentry programs and funds reentry-related research. The 2017 Budget proposes 100,000,000 for this program. Of this total, \$10,000,000 is for the Smart Probation Program to help states, localities, and tribes develop comprehensive, innovative probation and parole supervision programs, \$5,000,000 is for the Children of Incarcerated Parents Demonstration Grant Program, and \$1,250,000 is for the new Children Of Arrested Parents Model Policy Implementation Program, which will assist local law enforcement agencies in developing and testing policies that better address the needs of children whose families come into contact with the criminal justice system. In addition, up to \$20,000,000 may be used for performance-based awards for Pay-for-Success projects; of which up to \$10,000,000 may be used for Pay-for-Success projects implementing the Permanent Supportive Housing Model.

*Project HOPE Opportunity Probation with Enforcement (HOPE).*—The HOPE program uses "swift and certain sanctions" in supervising probationers, especially drug offenders and others at high risk of recidivism in the criminal justice system. While evaluation results have been promising, the program needs to be replicated and evaluated elsewhere. For 2017, OJP will fund replication sites and a randomized controlled trial to determine the effectiveness of this promising intervention. The 2017 Budget proposes \$10,000,000 for this effort.

*National Criminal History Improvement (NCHIP).*—The National Criminal History Improvement (NCHIP) provides grants and technical assistance to help states and territories improve the quality, timeliness, and immediate accessibility of their criminal history, mental health,

and related records needed to support the National Instant Background Check System. The 2017 Budget proposes \$50,000,000 for this program.

*National Instant Background Check System (NICS).*—This National Instant Background Check System (NICS) program provides grants to assist state and tribal governments in updating NICS with the criminal history and mental health records of individuals who are precluded from purchasing or possessing guns. The 2017 Budget proposes \$5,000,000 for this program.

*Byrne Criminal Justice Innovation Program.*—This program is a central component of the Administration's Promise Zones: high-poverty communities where the Federal government works with local leadership to invest and engage more intensely to create jobs, leverage private investment, increase economic activity, reduce violence and expand educational opportunities. The FY 2017 Budget proposes \$24,000,000 for this program.

*Violent Gang and Gun Crime Reduction Program.*—This program supports state, local, and tribal efforts to reduce violent crime resulting from gang activity and the criminal misuse of firearms. The 2017 Budget proposes \$5,000,000 for this program.

*Economic, High-technology, and Cybercrime Prevention Program.*—This program provides grants, training, and technical assistance to support efforts to combat economic, high-technology, and Internet crimes, including intellectual property crimes of counterfeiting and piracy. The 2017 Budget proposes \$15,000,000 for this program, including \$2,500,000 for intellectual property enforcement, including prosecution, prevention, training, and technical assistance.

*DNA-Related and Forensic Programs and Initiatives.* This program supports a comprehensive strategy to maximize the use of forensic DNA technology in the criminal justice system. The program provides capacity building grants, training, and technical assistance to state and local governments, and supports innovative research on DNA analysis and use of forensic evidence. The 2017 Budget proposes \$105,000,000 for this program, including \$20,000,000 to address backlogs of sexual assault evidence kit-related DNA evidence.

*Defending Childhood/Children Exposed to Violence.*—The Attorney General's Initiative on Children Exposed to Violence supports research and provide demonstration grants and training and technical assistance, in partnership with the Department of Health and Human Services, to encourage the development of comprehensive intervention and treatment programs to assist children who are victims of, or witnesses to, violence. The 2017 Budget proposes \$23,000,000 for this program.

*Adam Walsh Act Program.*—This program helps state, local, and tribal jurisdictions to develop and enhance sex offender registration and notification systems that are in compliance with the Sex Offender Registration and Notification Act through discretionary grants and technical assistance. The 2017 Budget proposes \$20,000,000 for this program.

*Civil Legal Aid Competitive Grant Program.*—In collaboration with the Department's Access to Justice (ATJ) Initiative, this new program will incentivize statewide civil legal aid planning processes and system improvements, supporting innovative efforts to improve and expand civil legal aid services. The 2017 Budget proposes \$5,000,000 for this program.

*Community Teams to Reduce the SAK Backlog.*— This program provides grants that support community efforts to develop plans and identify the most critical needs to address sexual assault prevention, investigation, prosecution and services, including addressing their untested sexual assault evidence kits (SAKs) at law enforcement agencies or backlogged crime labs. The 2017 Budget proposes \$41,000,000 for this program.

*Comprehensive School Safety Initiative.*—This initiative brings together the Nation's best minds to research the root causes of school violence, develop technologies and strategies for increasing school safety, and provide pilot grants to test innovative approaches to enhance school

safety across the Nation. The 2017 Budget proposes \$75,000,000 for this program.

*Court Appointed Special Advocate Program.*—This program ensures that abused and neglected children receive high quality, timely representation in dependency court hearings. The 2017 Budget requests \$6,000,000 for this program.

*Indigent Defense: Answering Gideon's Call.*—This new program will provide funding and other resources to support changes in state and local criminal court practices related to indigent defense; ensuring that no person faces potential time in jail without first having the aid of a lawyer with the time, ability and resources to present an effective defense, as required by the United States Constitution. The 2017 Budget requests \$5,400,000 for this program.

*Procedural Justice-Building Community Trust.*—This new program will provide grants and technical assistance to state, local, and tribal courts and juvenile and criminal justice agencies to support innovative efforts to improve perceptions of fairness in the juvenile and criminal justice systems and build community trust in these institutions. The 2017 Budget proposes \$20,000,000 for this program.

*Next Generation Identification (NGI) Assistance Program.*—This new program will help NGI services grow in effectiveness as the participating agencies increase the capture and submission of their operational data via established standards, protocols, and best practices. The 2017 Budget requests \$5,000,000 for this program.

*National Missing and Unidentified Persons System (NamUS).* NamUS is a national centralized repository and resource center for missing persons and unidentified decedent cases. This initiative will support the operationalization, maintenance and expansion of NamUS. The 2017 Budget requests \$2,400,000 for this program.

*Body Worn Camera Partnership Program.*—This new program will support purchases of body worn cameras for state, local and tribal law enforcement. The 2017 Budget requests \$30,000,000 for this program.

*Countering Violent Extremism Grant Program.*—This new program will provide support to counter domestic violent extremism. The 2017 Budget requests \$6,000,000 for this program.

*Violence Reduction Network (VRN).*—The Violence Reduction Network (VRN) is comprehensive, Department-wide program created and coordinated by OJP's Bureau of Justice Assistance (BJA) that enables cities to consult directly with and receive coordinated training and technical assistance from multiple DOJ components regarding violence reduction strategies. This assistance enables these cities to develop data-driven, evidence based strategies tailored to their unique local needs to address serious violent crime challenges. The 2017 Budget includes \$5,000,000 for this new program.

**Object Classification** (in millions of dollars)

Identification code 015-0404-0-1-754		2015 actual	2016 est.	2017 est.
Direct obligations:				
25.1	Advisory and assistance services .....	18	21	16
25.2	Other services from non-Federal sources .....	5	6	5
25.3	Other goods and services from Federal sources .....	112	130	101
41.0	Grants, subsidies, and contributions .....	1,078	1,251	976
99.0	Direct obligations .....	1,213	1,408	1,098
99.0	Reimbursable obligations .....	4	10	10
99.9	Total new obligations .....	1,217	1,418	1,108

WEED AND SEED PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 015-0334-0-1-751	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

COMMUNITY ORIENTED POLICING SERVICES

(INCLUDING TRANSFER OF FUNDS)

For activities authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); and the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"), **[\$212,000,000] \$286,000,000**, to remain available until expended: *Provided*, That any balances made available through prior year deobligations shall only be available in accordance with section [505] 504 of this Act: *Provided further, That, in addition to any amounts that are otherwise available (or authorized to be made available) for research, evaluation or statistical purposes, up to 3 percent of funds made available to the Office of Community Oriented Policing Services for grants may be used for such purposes: Provided further, That of the amount provided under this heading—*

(1) **\$11,000,000** is for anti-methamphetamine-related activities, which shall be **[transferred]** available to reimburse the Drug Enforcement Administration **[upon enactment of this Act]**;

(2) **[\$187,000,000] \$23,000,000** is for improving tribal law enforcement, including hiring, equipment, training, and anti-drug activities, of which up to **\$3,000,000** shall be available to enhance the ability of tribal government entities to access, enter information into, and obtain information from, federal criminal information databases as authorized by section 534 of title 28, United States Code (including the purchase of equipment and software, and related maintenance, support, and technical assistance for such entities in furtherance of this purpose), and to reimburse the "General Administration, Justice Information Sharing Technology" account for the expenses of providing such services to tribal government entities;

(3) **\$229,000,000** is for grants under section 1701 of title I of the 1968 Act (42 U.S.C. 3796dd) for the hiring and rehiring of additional career law enforcement officers under part Q of such title notwithstanding subsection (i) of such section: *Provided*, That, notwithstanding section 1704(c) of such title (42 U.S.C. 3796dd-3(c)), funding for hiring or rehiring a career law enforcement officer may not exceed **\$125,000** unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: *Provided further, That in addition to the purposes set out in subsection 1701(b)(1) and (2) of the 1968 Act (42 U.S.C. 3796dd(b)(1) and (2)), grants made with funds provided in this paragraph may be used for the hiring of non-sworn law enforcement personnel, with encouragement to hire service-connected wounded military veterans, in amounts not to exceed \$50,000,000: Provided further, That up to 5 percent of funds appropriated under this paragraph may be used for providing training and technical assistance to COPS hiring grantees around specific problem areas: Provided further, That within the amounts appropriated under this paragraph, **[\$30,000,000]** is for improving tribal law enforcement, including hiring, equipment, training, and anti-methamphetamine activities **[\$15,000,000]** shall be transferred to the Tribal Resources Grant Program: *Provided further, That [of] within the amounts appropriated under this paragraph, **[\$10,000,000] \$20,000,000** is for community policing development activities in furtherance of the purposes in section 1701: Provided further, That within the amounts appropriated under this paragraph, **[\$10,000,000]** is for the collaborative reform model of technical assistance in furtherance of the purposes in section 1701 **[\$5,000,000]** is for incentive grants to improve diversity in law enforcement;**

**[(3) \$7,000,000]** is for competitive grants to State law enforcement agencies in States with high seizures of precursor chemicals, finished methamphetamine, laboratories, and laboratory dump seizures: *Provided*, That funds appropriated under this paragraph shall be utilized for investigative purposes to locate or invest-

igate illicit activities, including precursor diversion, laboratories, or methamphetamine traffickers; and **]**

**[(4) \$7,000,000]** is for competitive grants to statewide law enforcement agencies in States with high rates of primary treatment admissions for heroin and other opioids: *Provided*, That these funds shall be utilized for investigative purposes to locate or investigate illicit activities, including activities related to the distribution of heroin or unlawful distribution of prescription opioids, or unlawful heroin and prescription opioid traffickers through statewide collaboration **]**

*(4) \$20,000,000 is for the collaborative reform model of technical assistance in furtherance of the purposes in section 1701 of title I of the 1968 Act; and*

*(5) \$3,000,000 is for activities to enhance the ability of state and local law enforcement agencies to partner with the community and other stakeholders to combat violent extremism in furtherance of the purposes of section 1701 of title I of the 1968 Act.*

(CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$10,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of Justice Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 015-0406-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public safety and community policing grants .....	12	16	54
0007 Management and administration .....	32	37	38
0008 Tribal Law Enforcement .....	28	24	32
0009 COPS Hiring Program .....	114	111	149
0010 Methamphetamine Enforcement and Cleanup .....		11	11
0012 Anti-Methamphetamine Task Forces .....	6	6	
0013 Anti-Heroin Task Forces .....	6	6	
0014 Anti-Gang Task Forces .....	6		
0015 Countering Violent Extremism .....			2
0799 Total direct obligations .....	204	211	286
0900 Total new obligations .....	204	211	286
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	10	
1021 Recoveries of prior year unpaid obligations .....	24	10	10
1050 Unobligated balance (total) .....	53	20	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	208	212	286
1120 Appropriations transferred to other acts [015-1100] .....	-7	-11	
1131 Unobligated balance of appropriations permanently reduced .....	-40	-10	-10
1160 Appropriation, discretionary (total) .....	161	191	276
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1701 Change in uncollected payments, Federal sources .....	-1		
1900 Budget authority (total) .....	161	191	276
1930 Total budgetary resources available .....	214	211	286
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	621	528	530
3010 Obligations incurred, unexpired accounts .....	204	211	286
3020 Outlays (gross) .....	-252	-199	-224
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24	-10	-10
3041 Recoveries of prior year unpaid obligations, expired .....	-21		
3050 Unpaid obligations, end of year .....	528	530	582
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	620	528	530
3200 Obligated balance, end of year .....	528	530	582
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	161	191	276

Outlays, gross:				
4010	Outlays from new discretionary authority .....	29	4	55
4011	Outlays from discretionary balances .....	223	195	169
4020	Outlays, gross (total) .....	252	199	224
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	161	191	276
4080	Outlays, net (discretionary) .....	251	199	224
4180	Budget authority, net (total) .....	161	191	276
4190	Outlays, net (total) .....	251	199	224

The Office of Community Oriented Policing Services (COPS) administers grant programs to assist law enforcement agencies in advancing public safety through the implementation of community policing strategies in jurisdictions of all sizes across the country. Community policing represents a shift from more traditional law enforcement and focuses on proactive collaborative efforts and the use of problem-solving techniques to prevent and respond to crime, social disorder, and fear of crime. COPS provides funding to state, local, and tribal law enforcement agencies and other public and private entities to hire and train community policing professionals, acquire and deploy cutting-edge crime-fighting technologies, and develop, test, and implement innovative policing strategies. COPS funding also provides training and technical assistance to community members, local government leaders, and all levels of state, local, and tribal law enforcement. Up to three percent of funds may be made available for research, evaluation and statistical purposes, in addition to any amounts that are otherwise available for such purposes. Additionally, the Administration has developed a strategy to significantly improve the ability of communities and stakeholders to identify potential extremists and intervene where necessary to thwart radical behavior that may lead to violence. The Budget provides \$3 million for demonstration projects that enhance the ability of law enforcement agencies nationwide to partner with local residents, business owners, community groups, and other stakeholders to counter violent extremism.

The 2017 Budget requests \$286,000,000 for COPS programs, including these initiatives:

**Hiring Grants.**—The hiring program provides funding to state, local and tribal law enforcement agencies to hire additional sworn law enforcement officers to be deployed in community policing activities. The FY 2017 Budget proposes \$229,000,000 for this program. Within this amount, up to \$50,000,000 may be used for non-sworn law enforcement positions to redeploy sworn law enforcement officers who are currently filling these positions to community policing activities; \$5,000,000 is for incentive grants to improve diversity in law enforcement; \$15,000,000 will be dedicated toward the hiring of tribal law enforcement officers; and \$20,000,000 will be used to fund training and technical assistance that supports the integration of community policing strategies throughout the law enforcement community to effectively address emerging law enforcement and community issues. The FY 2017 request also includes an allowance of up to five percent of COPS Hiring Program (CHP) funding to be used to provide training and technical assistance to CHP grantees that select specific problem/priority areas on which their COPS-funded community policing officers will be focused.

**Collaborative Reform.**—This program provides funding to technical assistance providers to work with law enforcement agencies to assess issues that affect police and community relationships. The 2017 Budget proposes \$20,000,000 for this program.

**Indian Country.**—This program provides funding and resources to meet the public safety needs of law enforcement and advance community policing in Native American communities. The 2017 Budget proposes \$23,000,000 for this program, including up to \$3,000,000 to support the purchase systems, system support, and technical assistance to facilitate tribal access to law enforcement information sharing systems.

**Methamphetamine.**—The 2017 Budget proposes that \$11,000,000 be available to reimburse the Drug Enforcement Administration (DEA) for anti-methamphetamine related activities.

**Countering Violent Extremism.**—This program provides funding to enhance the ability of state and local law enforcement agencies to partner with community and other stakeholders to combat violent extremism. The 2017 Budget proposes \$3,000,000 for this program.

**Object Classification** (in millions of dollars)

Identification code 015-0406-0-1-754		2015 actual	2016 est.	2017 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent .....	12	13	14
11.9	Total personnel compensation .....	12	13	14
12.1	Civilian personnel benefits .....	4	4	4
23.1	Rental payments to GSA .....	4	4	4
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	6	12	22
25.2	Other services from non-Federal sources .....	3	3	2
25.3	Other goods and services from Federal sources .....	8	9	9
41.0	Grants, subsidies, and contributions .....	166	165	230
99.0	Direct obligations .....	204	211	286
99.9	Total new obligations .....	204	211	286

**Employment Summary**

Identification code 015-0406-0-1-754		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	103	118	124

**VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS**

For grants, contracts, cooperative agreements, and other assistance for the prevention and prosecution of violence against women, as authorized by the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3711 et seq.) ("the 1968 Act"); the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Juvenile Justice and Delinquency Prevention Act of 1974 (42 U.S.C. 5601 et seq.) ("the 1974 Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386) ("the 2000 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); and the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); and the Rape Survivor Child Custody Act of 2015 (Public Law 114-22) ("the 2015 Act"); and for related victims services, \$480,000,000 \$163,000,000, to remain available until expended, of which \$379,000,000 shall be derived by transfer from amounts available for obligation in this Act from the Fund established by: Provided, That, in addition, section 1402(d)(2)(A) of chapter XIV of title II of Public Law 98-473 (42 U.S.C. 10601(d)(2)(A)), notwithstanding section 1402(d) of such Act of 1984, and merged with the amounts otherwise made available under this heading shall be applied for fiscal year 2017 as if the following were inserted therein after the period: "Thereupon, \$326,000,000 shall be available for necessary expenses for the Office on Violence Against Women, without fiscal year limitation and without regard, otherwise, to the provisions of this Act, for grants, contracts, cooperative agreements, and other assistance for the prevention and prosecution of violence against women, as authorized by the Department of Justice Appropriations Act for the current fiscal year."; Provided further, That the amount referenced in the first proviso shall be derived from amounts available for obligation in this Act from the Fund established by section 1402 of chapter XIV of title II of Public Law 98-473 (42 U.S.C. 10601): Provided further, That except as otherwise provided by law, not to exceed 5 percent of funds made available under this heading may be used for expenses related to evaluation, training, and technical assistance: Provided further, That [of the amount provided—], in addition to any amounts that are otherwise available (or authorized to be made available) for the research, evaluation and statistical purposes set forth in section 40002(b)(7) of the 1994 Act, up to 3 percent of funds made available under this heading may be used for such purposes, except that this proviso shall not apply to funds provided for grants to combat violence against women, as authorized by part T of the 1968 Act, and grants for sexual assault victims assistance, as authorized by section 41601(b) of the 1994 Act:

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS—Continued  
*Provided further, That section 8(e) of Public Law 108–79 (42 U.S.C. 15607(e)) shall not apply to funds appropriated to or administered by the Office on Violence Against Women, including funds appropriated in previous appropriations acts that remain available for obligation: Provided further, That any balances remaining available from prior year appropriations under this heading for tracking violence against Indian women, as authorized by section 905 of the 2005 Act, shall also be available to enhance the ability of tribal government entities to access, enter information into, and obtain information from, federal criminal information databases, as authorized by section 534 of title 28, United States Code: Provided further, That some or all of such balances may be transferred, at the discretion of the Attorney General, to "General Administration, Justice Information Sharing Technology" for the tribal access program for national crime information in furtherance of this purpose: Provided further, That the authority to transfer funds under the previous proviso shall be in addition to any other transfer authority contained in this Act: Provided further, That of the amounts provided to the Office on Violence Against Women under this heading, including amounts referenced in the first proviso—*

(1) **[\$215,000,000]** \$200,000,000 is for grants to combat violence against women, as authorized by part T of the 1968 Act [;]: *Provided, That funds available for grants under section 2001(d) of the 1968 Act shall be available for the purposes described in section 2015(a);*

(2) \$30,000,000 is for transitional housing assistance grants for victims of domestic violence, dating violence, stalking, or sexual assault as authorized by section 40299 of the 1994 Act;

(3) **[\$5,000,000]** \$3,000,000 is for the National Institute of Justice for research and evaluation of violence against women and related issues addressed by grant programs of the Office on Violence Against Women, which shall be transferred to "Research, Evaluation and Statistics" for administration by the Office of Justice Programs;

(4) **[\$11,000,000]** is for a grant program to provide services to advocate for and respond to youth victims of domestic violence, dating violence, sexual assault, and stalking; assistance to children and youth exposed to such violence; programs to engage men and youth in preventing such violence; and assistance to middle and high school students through education and other services related to such violence: *Provided, That unobligated balances available for the programs authorized by sections 41201, 41204, 41303, and 41305 of the 1994 Act, prior to its amendment by the 2013 Act, shall be available for this program: Provided further, That 10 percent of the total amount available for this grant program shall be available for grants under the program authorized by section 2015 of the 1968 Act: Provided further, That the definitions and grant conditions in section 40002 of the 1994 Act shall apply to this program;*

(5) **[\$51,000,000]** \$62,250,000 is for grants to encourage arrest policies as authorized by part U of the 1968 Act, of which \$4,000,000 is for a homicide reduction initiative and \$4,000,000 is for a domestic violence firearm lethality reduction initiative: *Provided, That funds available for grants under section 2001(d) of the 1968 Act shall be available for the purposes described in section 2015(a);*

(6) \$35,000,000 is for sexual assault victims assistance, as authorized by section 41601 of the 1994 Act;

(7) \$34,000,000 is for rural domestic violence and child abuse enforcement assistance grants, as authorized by section 40295 of the 1994 Act;

(8) **[\$20,000,000]** \$26,000,000 is for grants to reduce violent crimes against women on campus, as authorized by section 304 of the 2005 Act, *of which up to \$8,000,000 is for a demonstration initiative to improve campus responses to sexual assault, dating violence, and stalking, which will include the use of campus climate surveys and will not be subject to the restrictions of section 304(a)(2);*

(9) **[\$45,000,000]** \$52,500,000 is for legal assistance for victims, as authorized by section 1201 of the 2000 Act;

(10) **[\$5,000,000]** \$6,250,000 is for enhanced training and services to end violence against and abuse of women in later life, as authorized by section 40802 of the 1994 Act;

(11) \$16,000,000 is for grants to support families in the justice system, as authorized by section 1301 of the 2000 Act: *Provided, That unobligated balances available for the programs authorized by section 1301 of the 2000 Act and section 41002 of the 1994 Act, prior to their amendment by the 2013 Act, shall be available for this program;*

(12) \$6,000,000 is for education and training to end violence against and abuse of women with disabilities, as authorized by section 1402 of the 2000 Act;

(13) \$500,000 is for the National Resource Center on Workplace Responses to assist victims of domestic violence, as authorized by section 41501 of the 1994 Act;

(14) \$1,000,000 is for analysis and research on violence against Indian women, including as authorized by section 904 of the 2005 Act: *Provided, That such funds*

may be transferred to "Research, Evaluation and Statistics" for administration by the Office of Justice Programs;

(15) \$500,000 is for a national clearinghouse that provides training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women; and

(16) **[\$2,500,000]** \$5,000,000 is for grants to assist tribal governments in exercising special domestic violence criminal jurisdiction, as authorized by section 904 of the 2013 Act: *Provided, That the grant conditions in section 40002(b) of the 1994 Act shall apply to this program [; and]*

**[(17) \$2,500,000 for the purposes authorized under the 2015 Act].**

(CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$5,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of Justice Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 015–0409–0–1–754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Grants to Combat Violence Against Women (STOP) .....	185	206	190
0003 Research and Evaluation of Violence Against Women (NU) .....	3	5	3
0004 Management and administration .....	19	21	24
0005 Transitional Housing .....	25	29	29
0006 Consolidated Youth Oriented Program .....	9	10	10
0007 Grants to Encourage Arrest Policies .....	48	48	59
0008 Rural Domestic Violence and Child Abuse Enforcement Assistance .....	30	31	31
0009 Legal Assistance Program .....	42	45	50
0010 Tribal Special Domestic Violence Criminal Jurisdiction .....		3	5
0011 Campus Violence .....	13	18	25
0012 Disabilities Program .....	5	6	6
0013 Elder Program .....	4	4	6
0014 Sexual Assault Services .....	26	33	33
0016 Indian Country - Sexual Assault Clearinghouse .....	1	1	1
0017 National Resource Center on Workplace Responses .....	1	1	1
0018 Research on Violence Against Indian Women .....	1	1	1
0019 Safe Havens Court Training Consolidation .....	13	15	15
0020 Rape Survivor Child Custody Act Program .....		3	
0900 Total new obligations .....	425	480	489
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	16	19	6
1021 Recoveries of prior year unpaid obligations .....	15	7	7
1050 Unobligated balance (total) .....	31	26	13
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	430	101	163
1120 Appropriations transferred to other accts [015–0401] .....	–3	–5	–3
1121 Appropriations transferred from other acct [015–5041] .....		379	
1131 Unobligated balance of appropriations permanently reduced .....	–16	–15	–5
1160 Appropriation, discretionary (total) .....	411	460	155
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Amounts available from Crime Victims Fund .....			326
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2		326
1900 Budget authority (total) .....	413	460	481
1930 Total budgetary resources available .....	444	486	494
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	19	6	5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	941	943	680
3010 Obligations incurred, unexpired accounts .....	425	480	489
3020 Outlays (gross) .....	–407	–736	–752
3040 Recoveries of prior year unpaid obligations, unexpired .....	–15	–7	–7
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	943	680	410
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		–2	–2
3070 Change in uncollected pymts, Fed sources, unexpired .....	–2		
3090 Uncollected pymts, Fed sources, end of year .....	–2	–2	–2

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	941	941	678
3200	Obligated balance, end of year .....	941	678	408
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	413	460	481
Outlays, gross:				
4010	Outlays from new discretionary authority .....	18	89	356
4011	Outlays from discretionary balances .....	389	647	396
4020	Outlays, gross (total) .....	407	736	752
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Amounts received from Crime Victims Fund .....			-326
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070	Budget authority, net (discretionary) .....	411	460	155
4080	Outlays, net (discretionary) .....	407	736	426
4180	Budget authority, net (total) .....	411	460	155
4190	Outlays, net (total) .....	407	736	426

The Budget requests \$489,000,000 for programs administered by the Office on Violence Against Women (OVW) to prevent and respond to violence against women and related victims. Of this amount, \$163,000,000 is requested as directly appropriated funding and \$326,000,000 is available through the Crime Victims Fund. OVW provides national leadership against domestic violence, dating violence, sexual assault, and stalking, and supports a multifaceted approach to responding to these crimes. Funding will support the Prevention and Prosecution of Violence Against Women and Related Victim Services Program. Up to three percent of funds may be made available for research and evaluation purposes, in addition to any amounts that are otherwise available for such purposes, except from funds provided for STOP Violence Against Women Formula Grant Program and the Sexual Assault Services Program. For 2017, funding requested for this account will support the following initiatives:

**STOP Violence Against Women Formula Grant Program.**—The STOP Program is designed to encourage the development and strengthening of effective law enforcement and prosecution strategies to combat violent crimes against women and the development and strengthening of victim services in cases involving violent crimes against women. The 2017 Budget proposes \$200,000,000 for this program.

**Transitional Housing Assistance Program.**—Transitional Housing grants support programs that provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. The 2017 Budget proposes \$30,000,000 for this program.

**Research on Violence Against Women (National Institute of Justice).**—This program supports research on violence against women. The 2017 Budget proposes \$3,000,000 for this program.

**Grants to Encourage Arrest Policies.**—This discretionary grant program is designed to encourage state, local, and tribal governments and state, local, and tribal courts to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law requiring the coordinated involvement of the entire criminal justice system. The 2017 Budget proposes \$62,250,000 for this program.

**Homicide Reduction Initiative.**—This initiative is designed to use promising and evidence-based practices to address the urgent problem of domestic violence-related homicides by identifying high-risk offenders and providing an enhanced coordinated response to assist victims. For 2017, \$4,000,000 will be made available from the Grants to Encourage Arrest Policies Program.

**Domestic Violence Firearms Lethality Reduction.**—This initiative will identify and implement promising practices to improve the response of law enforcement, prosecutors, courts, and victim service providers in addressing the safety of victims in cases involving firearms. Demonstration projects will be funded in approximately 5 jurisdictions. For 2017, \$4,000,000 will be made available from the Grants to Encourage Arrest Program.

**Sexual Assault Services Program.**—This program provides funding for states and territories, tribes, state sexual assault coalitions, tribal coalitions, and culturally specific organizations. Overall, the purpose of this program is to provide intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault. The 2017 Budget proposes \$35,000,000 for this program.

**Rural Domestic Violence Program.**—This program enhances the safety of child, youth and adult victims of domestic violence, dating violence, sexual assault, and stalking by supporting projects uniquely designed to address and prevent these crimes in rural jurisdictions. The 2017 Budget proposes \$34,000,000 for this program.

**Grants to Reduce Violence Crimes Against Women on Campus.**—The Campus Program develops and strengthens victim services in cases involving violent crimes against women on campuses. The 2017 Budget proposes an increase of \$6 million in the Campus Program appropriation to improve the Campus Violence program to better meet the need on college campuses and in support of the implementation of the recommendations of the White House Task Force on Protecting Students from Sexual Assault. The 2017 Budget proposes \$26,000,000 for this program.

**Legal Assistance for Victims Grant Program.**—The Legal Assistance Program increases the availability of civil and criminal legal assistance in order to provide effective aid to victims who are seeking relief in legal matters arising as a consequence of abuse or violence. The 2017 Budget proposes \$52,500,000 for this program.

**Enhanced Training and Services to End Violence Against and Abuse of Women Later in Life.**—This program provides or enhances training and services to address elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, or stalking, involving victims who are 50 years of age or older. The 2017 Budget proposes \$6,250,000 for this program.

**Education and Training to End Violence Against and Abuse of Women with Disabilities Grant Program.**—The Disabilities Grant Program builds the capacity of jurisdictions to address domestic violence, dating violence, stalking and sexual assault against individuals with disabilities through the creation of multi-disciplinary teams. The 2017 Budget proposes \$6,000,000 for this program.

**Consolidation of Youth-Oriented Programming.**—This consolidated grant program consolidates the purpose areas of four programs under one competitive program. The four programs included in the consolidation include: Services to Advocate for and Respond to Youth, Grants to Assist Children and Youth Exposed to Violence, Engaging Men and Youth in Preventing Domestic Violence, and Supporting Teens through Education. This consolidation will allow OVW to leverage resources for maximum impact in communities by funding comprehensive projects that include both youth service and prevention components. The 2017 Budget proposes \$11,000,000 for this program.

**Indian Country-Sexual Assault Clearinghouse.**—This funding will support the establishment and maintenance of a national clearinghouse on the sexual assault of American Indian and Alaska Native women. This project will offer a one-stop shop for tribes to request free on-site training and technical assistance. The 2017 Budget proposes \$500,000 for this program.

**National Resource Center on Workplace Response.**—The Violence Against Women Act of 2005 provided for an award to establish and operate a national resource center on workplace responses to assist victims of domestic and sexual violence. The center will provide information and assistance to employers and labor organizations to better equip them to respond to victims. The 2017 Budget proposes \$500,000 for this program.

**Grants to Support Families in the Justice System.**—This funding will provide comprehensive support to victims of domestic violence and child

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS—Continued sexual abuse and their families in family law matters in the civil justice system, including safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children. This program represents a consolidation of the Safe Havens and Court Training and Improvements program. The 2017 Budget proposes \$16,000,000 for this program.

**Tribal Special Domestic Violence Criminal Jurisdiction.**—This new grant program for tribal governments was first authorized in the Violence Against Women Reauthorization Act of 2013 (VAWA 2013). VAWA 2013 included an historic provision that recognizes the inherent power of "participating tribes" to exercise "special domestic violence criminal jurisdiction" over both Indians and non-Indians who assault Indian spouses, intimate partners, or dating partners, or who violate protection orders, in Indian country. To support tribes that chose to participate, the Act authorizes funding to strengthen tribal criminal justice systems, provide indigent defense counsel, develop appropriate jury pools, and assist victims. The 2017 Budget proposes \$5,000,000 for this program.

For 2017, funding requested for this account also will support Analysis and Research on Violence Against Indian Women, a program that is administered by the Office of Justice Programs and supports comprehensive research on violence against Native American women. The 2017 Budget proposes \$1,000,000 for this program.

**Object Classification** (in millions of dollars)

Identification code 015-0409-0-1-754	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	7
12.1 Civilian personnel benefits .....	2	2	3
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	6	11	9
25.3 Other goods and services from Federal sources .....	8	11	11
41.0 Grants, subsidies, and contributions .....	398	445	454
99.9 Total new obligations .....	425	480	489

**Employment Summary**

Identification code 015-0409-0-1-754	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	57	76	89

**JUVENILE JUSTICE PROGRAMS**

For grants, contracts, cooperative agreements, and other assistance authorized by the Juvenile Justice and Delinquency Prevention Act of 1974 ("the 1974 Act"); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Missing Children's Assistance Act (42 U.S.C. 5771 et seq.); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (Public Law 110-401); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); and other juvenile justice programs, **[\$270,160,000] \$334,400,000**, to remain available until expended as follows—

(1) **[\$58,000,000] \$75,000,000** for programs authorized by section 221 of the 1974 Act, and for training and technical assistance to assist small, nonprofit organizations with the Federal grants process: *Provided*, That **[of the amounts provided under this paragraph, \$500,000 shall be for a competitive demonstration grant program to support emergency planning among State, local and tribal juvenile justice residential facilities]**, *notwithstanding sections 103(26) and 223(a)(11)(A) of the 1974 Act; and for purposes of funds appropriated in this Act—*

(A) *the term "adult inmate" shall be understood to mean an individual who has been arrested and is in custody as the result of being charged as an adult with a crime, but shall not be understood to include anyone under the care and custody*

*of a juvenile detention or correctional agency, or anyone who is in custody as the result of being charged with or having committed an offense described in section 223(a)(11)(A) of the 1974 Act;*

(B) *the juveniles described in section 223(a)(11)(A) of the 1974 Act who have been charged with or who have committed an offense that would not be criminal if committed by an adult shall be understood to include individuals under 18 who are charged with or who have committed an offense of purchase, consumption, or possession of any alcoholic beverage or tobacco product; and*

(C) *section 223(a)(11)(A)(ii) of the 1974 Act shall apply only to those individuals described in section 223(a)(11)(A) who, while remaining under the jurisdiction of the court on the basis of the offense described therein, are charged with or commit a violation of a valid court order thereof;*

(2) **[\$90,000,000] \$58,000,000** for youth mentoring grants;

(3) **[\$17,500,000] \$42,000,000** for delinquency prevention, as authorized by section 505 of the 1974 Act, **[of which,]** pursuant to sections 261 and 262 thereof **[—]**, *of which \$10,000,000 shall be for competitive grants including to police and juvenile justice authorities including in communities that have been awarded Department of Education School Climate Transformation Grants, to collaborate on use of evidence-based positive behavior strategies to increase school safety and reduce juvenile arrests;*

**[(A) \$10,000,000 shall be for the Tribal Youth Program;]**

**[(B) \$5,000,000 shall be for gang and youth violence education, prevention and intervention, and related activities;]**

**[(C) \$500,000 shall be for an Internet site providing information and resources on children of incarcerated parents; and]**

**[(D) \$2,000,000 shall be for competitive grants focusing on girls in the juvenile justice system;]**

(4) **[\$20,000,000] \$11,000,000** for programs authorized by the Victims of Child Abuse Act of 1990;

(5) **[\$30,000,000 for the Juvenile Accountability Block Grants program as authorized by part R of title I of "the 1968 Act":** *Provided, That Guam shall be considered a State for purposes thereof;*

(6) **\$20,000,000 for the Smart on Juvenile Justice initiative to provide incentive grants to assist states to foster better outcomes for system-involved youth;**

**[(5)] (7) [\$8,000,000] \$18,000,000** for community-based violence prevention initiatives, including for public health approaches to reducing shootings and violence;

**[(6)] (8) [\$72,160,000] \$67,000,000** for missing and exploited children programs, including as authorized by sections 404(b) and 405(a) of the 1974 Act (except that section 102(b)(4)(B) of the PROTECT Our Children Act of 2008 (Public Law 110-401) shall not apply for purposes of this Act);

**[(7)] (9) [\$2,000,000] \$1,500,000** for child abuse training programs for judicial personnel and practitioners, as authorized by section 222 of the 1990 Act; **[and]**

**[(8)] (10) [\$2,500,000] \$5,400,000** for a program to improve juvenile indigent defense;

(11) **\$4,000,000 for grants and technical assistance in support of the National Forum on Youth Violence Prevention;**

(12) **\$500,000 for an Internet site providing information and resources on children of incarcerated parents; and**

(13) **\$2,000,000 for competitive grants focusing on girls in the juvenile justice system:**

*Provided*, That not more than 10 percent of each amount may be used generally for juvenile justice and delinquency prevention research, evaluation, and statistics activities **[designed to benefit the programs or activities authorized]**: *Provided further*, That not more than 2 percent of the amounts designated under paragraphs (1) through **[(4) and (7)] (3)** may be used generally for juvenile justice and delinquency prevention training and technical assistance: *Provided further*, That the two preceding provisos shall not apply to grants and projects administered pursuant to sections 261 and 262 of the 1974 Act and to missing and exploited children programs. (Department of Justice Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 015-0405-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Part B: Formula Grants .....	49	53	69
0002 Youth Mentoring .....	81	83	53
0003 Title V - Local Delinquency Prevention Incentive Grants .....	7	16	38
0004 Victims of Child Abuse .....	17	18	10
0007 Juvenile Accountability Block Grant Program .....			27
0008 Community-Based Violence Prevention Initiatives .....	5	7	16
0012 National Forum on Youth Violence Prevention .....	1		4
0013 Missing and Exploited Children .....	61	67	62
0014 Child Abuse Training for Judicial Personnel .....	1	2	1

0015	Management and Administration .....	21	22	28
0016	Smart on Juvenile Justice .....			18
0017	Competitive Grants for Girls in the Juvenile Justice System .....	2		2
0018	Children of Incarcerated Parents Web Portal .....	1		1
0021	Indigent Defense Initiative—Improving Juvenile Indigent Defense Program .....		2	5
0022	Part C: Institute .....	1		
0799	Total direct obligations .....	247	270	334
0801	Juvenile Justice Programs (Reimbursable) .....	7	10	9
0900	Total new obligations .....	254	280	343
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	3
1021	Recoveries of prior year unpaid obligations .....	6	9	10
1050	Unobligated balance (total) .....	7	10	13
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	252	270	334
1120	Appropriations transferred to other accts [015–0401] .....	-5		
1131	Unobligated balance of appropriations permanently reduced .....	-6	-6	-4
1160	Appropriation, discretionary (total) .....	241	264	330
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	7	9	9
1900	Budget authority (total) .....	248	273	339
1930	Total budgetary resources available .....	255	283	352
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	3	9
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	483	473	377
3010	Obligations incurred, unexpired accounts .....	254	280	343
3020	Outlays (gross) .....	-258	-367	-336
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	-9	-10
3050	Unpaid obligations, end of year .....	473	377	374
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	482	472	376
3200	Obligated balance, end of year .....	472	376	373
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	248	273	339
Outlays, gross:				
4010	Outlays from new discretionary authority .....	24	67	78
4011	Outlays from discretionary balances .....	234	300	258
4020	Outlays, gross (total) .....	258	367	336
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-7	-9	-9
4040	Offsets against gross budget authority and outlays (total) .....	-7	-9	-9
4180	Budget authority, net (total) .....	241	264	330
4190	Outlays, net (total) .....	251	358	327

The 2017 Budget requests \$334,400,000 for the Office of Justice Programs' (OJP) Juvenile Justice Programs. This appropriation account includes programs that support state, local, and tribal community efforts to develop and implement effective and coordinated prevention and intervention juvenile programs. Such programs are designed to reduce juvenile delinquency and crime, and improve the juvenile justice system so that it protects public safety, holds offenders accountable, and provides treatment and rehabilitative services tailored to the needs of juveniles and their families.

The Nation's youth face an ever-changing set of problems and barriers to successful lives. As a result, OJP is constantly challenged to develop enlightened policies and programs to address the needs and risks of those youth who enter the juvenile justice system. OJP remains committed to leading the nation in efforts addressing these challenges, which include: preparing juvenile offenders to return to their communities following release from secure correctional facilities; dealing with the small percentage of serious, violent, and chronic juvenile offenders; helping states address the disproportionate confinement of minority youth; and helping children who

have been victimized by crime and child abuse. This request includes the following programs:

**Part B: Formula Grants.**—This program supports state, local, and tribal efforts to develop and implement comprehensive state juvenile justice plans. Funds also may be used for research, evaluation, statistics, other informational activities, and training and technical assistance. Funding also is available to help small, nonprofit organizations, including faith-based organizations, with the federal grants process. The 2017 Budget proposes \$75,000,000 for this program.

**Youth Mentoring.**—The Youth Mentoring program supports faith and community-based, nonprofit, and for-profit agencies in the enhancement and expansion of existing mentoring strategies and programs, as well as assistance to develop, implement, and pilot test mentoring strategies and programs designed for youth in the juvenile justice, reentry, and foster care systems. In addition, OJP supports training and technical assistance to the sites to assist with adapting existing mentoring approaches to meet the needs of the target populations and to identify and maintain partnerships. The 2017 Budget proposes \$58,000,000 for this program.

**Delinquency Prevention Program.**—This program provides resources through state advisory groups to units of local government for a broad range of delinquency prevention programs and activities to benefit youth who are at risk of having contact with the juvenile justice system. The 2017 Budget proposes \$42,000,000 for this program. Of this total, \$10,000,000 will be used for Juvenile Justice and Education Collaboration Assistance, an initiative aimed at reducing the use of arrest and juvenile justice courts as a response to non-serious youth misbehaving in and around schools. This program will operate in concert with Department of Education School Climate Transformation Grants and other initiatives focused on improving school safety and climate.

**Community-Based Violence Prevention Initiatives.**—Based on the violence reduction work of several cities and public health research of recent years, OJP will provide funding for community-based strategies that focus on street-level outreach, conflict mediation, and the changing of community norms to reduce violence, particularly shootings. The 2017 Budget proposes \$18,000,000 for this program.

**National Forum on Youth Violence Prevention Initiative.**—This forum was created for participating localities to share challenges and promising strategies with each other and to explore how federal agencies can better support local efforts to curb youth and gang violence. The 2017 Budget proposes \$4,000,000 for this program.

**Juvenile Accountability Block Grant (JABG).**—The JABG program funds block grants to states to support a variety of accountability-based juvenile justice programs. The 2017 Budget proposes \$30,000,000 for this program.

**Smart on Juvenile Justice Initiative.**—This new initiative will provide supplementary incentive grant awards to assist states to foster better outcomes for system-involved youth. The 2017 Budget proposes \$20,000,000 for this program.

**Missing and Exploited Children Program.**—This program supports efforts to prevent the abduction and exploitation of children, including funding for the Internet Crimes Against Children and AMBER Alert Programs. The 2017 Budget proposes \$67,000,000 for this program.

**Competitive Grants Focusing on Girls in the Juvenile Justice System.**—The 2017 Budget requests \$2,000,000 for this program, which provides competitive demonstration grants focusing on girls in the juvenile justice system through responses and strategies that consider gender and the special needs of girls.

**Children of Incarcerated Parents (COIP) Web Portal.**—The 2017 Budget proposes \$500,000 for the COIP web portal, which consolidates information regarding federal resources, grant opportunities, best and promising practices, and ongoing government initiatives that address and support children of incarcerated parents and their caregivers.

**Victims of Crime Act (VOCA).**—The Improving Investigation and Prosecution of Child Abuse Program supports training and technical assist-

JUVENILE JUSTICE PROGRAMS—Continued

ance to professionals involved in investigating, prosecuting, and treating child abuse. This program also supports the development of Children's Advocacy Centers and/or multi-disciplinary teams designed to prevent the inadvertent revictimization of an abused child by the justice and social service system in their efforts to protect the child. The 2017 Budget proposes \$11,000,000 for this program.

*Child Abuse Training Programs for Judicial Personnel and Practitioners.*—This program supports training and technical assistance to improve the judicial system's handling of child abuse, neglect, and related cases, as authorized under the Victims of Child Abuse Act, 42 U.S.C. Section 13022. The 2017 Budget proposes \$1,500,000 for this program.

*Improving Juvenile Indigent Defense Program.*—This program provides funding and other resources to develop effective, well-resourced model juvenile indigent defender offices; and develop and implement standards of practice and policy for the effective management of such offices. The 2017 Budget proposes \$5,400,000 for this program.

Object Classification (in millions of dollars)

Identification code 015-0405-0-1-754	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	5	6
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	24	26	32
41.0 Grants, subsidies, and contributions .....	217	238	295
99.0 Direct obligations .....	247	270	334
99.0 Reimbursable obligations .....	7	10	9
99.9 Total new obligations .....	254	280	343

PUBLIC SAFETY OFFICER BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For payments and expenses authorized under section 1001(a)(4) of title I of the Omnibus Crime Control and Safe Streets Act of 1968, such sums as are necessary (including amounts for administrative costs), to remain available until expended; and \$16,300,000 for payments authorized by section 1201(b) of such Act and for educational assistance authorized by section 1218 of such Act, to remain available until expended: *Provided*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for such disability and education payments, the Attorney General may transfer such amounts to "Public Safety Officer Benefits" from available appropriations for the Department of Justice as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section [505] 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Department of Justice Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 015-0403-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public Safety Officers Discretionary Disability and Education Benefit Payments .....	9	16	16
0002 Public Safety Officers Death Mandatory Payments .....	90	72	100
0900 Total new obligations .....	99	88	116
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	7	7
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	17	7	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	16	16	16
1131 Unobligated balance of appropriations permanently reduced .....	-16	.....	.....
1160 Appropriation, discretionary (total) .....	.....	16	16
Appropriations, mandatory:			
1200 Appropriation .....	89	72	100
1900 Budget authority (total) .....	89	88	116

1930 Total budgetary resources available .....	106	95	123
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	48	.....
3010 Obligations incurred, unexpired accounts .....	99	88	116
3020 Outlays (gross) .....	-82	-136	-116
3050 Unpaid obligations, end of year .....	48	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	48	.....
3200 Obligated balance, end of year .....	48	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	16	16
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	16	16
4011 Outlays from discretionary balances .....	2	7	.....
4020 Outlays, gross (total) .....	9	23	16
Mandatory:			
4090 Budget authority, gross .....	89	72	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	51	72	100
4101 Outlays from mandatory balances .....	22	41	.....
4110 Outlays, gross (total) .....	73	113	100
4180 Budget authority, net (total) .....	89	88	116
4190 Outlays, net (total) .....	82	136	116

The 2017 Budget is requesting \$116,300,000 for the Office of Justice Programs' Public Safety Officers Benefits (PSOB) appropriation, of which \$100,000,000 is a permanent indefinite (mandatory) appropriation for death benefits and \$16,300,000 is a discretionary appropriation for disability and education benefits. This appropriation account supports programs that provide benefits to public safety officers who are severely injured in the line of duty and to the families and survivors of public safety officers killed or mortally injured in the line of duty. These programs represent the continuation of a forty-year partnership among the Department of Justice, national public safety organizations, and state, local, and tribal public safety agencies. Created in 1976, the PSOB program oversees three types of benefits:

*Death Benefits.*—The Death Benefits program provides a one-time financial benefit to survivors of public safety officers whose deaths resulted from injuries sustained in the line of duty.

*Disability Benefits.*—The Disability Benefits program offers a one-time financial benefit to public safety officers permanently disabled by catastrophic injuries sustained in the line of duty.

*Education Benefits.*—This program provides financial support for higher education expenses to the eligible spouses and children of public safety officers killed or permanently disabled in the line of duty.

Object Classification (in millions of dollars)

Identification code 015-0403-0-1-754	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	3	5
25.3 Other goods and services from Federal sources .....	8	7	8
42.0 Insurance claims and indemnities .....	88	78	103
99.9 Total new obligations .....	99	88	116

CRIME VICTIMS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5041-0-2-754	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	11,792	12,080	10,038
Receipts:			
Current law:			
1110 Fines, Penalties, and Forfeitures, Crime Victims Fund .....	2,640	2,500	2,500
2000 Total: Balances and receipts .....	14,432	14,580	12,538

Appropriations:				
Current law:				
2101	Crime Victims Fund .....	-2,640	-2,500	-2,500
2103	Crime Victims Fund .....	-11,792	-12,080	-10,038
2132	Crime Victims Fund .....	824	929	10,538
2134	Crime Victims Fund .....			
2134	Crime Victims Fund .....	11,256	9,109	
2199	Total current law appropriations .....	-2,352	-4,542	-2,000
2999	Total appropriations .....	-2,352	-4,542	-2,000
5099	Balance, end of year .....	12,080	10,038	10,538

**Program and Financing** (in millions of dollars)

Identification code 015-5041-0-2-754	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Crime victims grants and assistance .....	2,292	2,566	1,572
0002	Management and administration .....	60	80	85
0003	HHS .....	17	17	17
0004	Violence Against Women Programs .....			326
0900	Total new obligations .....	2,369	2,663	2,000

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	50	50	50
1021	Recoveries of prior year unpaid obligations .....	17		
1050	Unobligated balance (total) .....	67	50	50
Budget authority:				
Appropriations, discretionary:				
1134	Appropriations precluded from obligation .....			-10,538
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2,640	2,500	2,500
1203	Appropriation (unavailable balances) .....	11,792	12,080	10,038
1220	Appropriations transferred to other acct [015-0409] .....		-379	
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....		-1,500	
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-824	-929	
1234	Appropriations precluded from obligation .....	-11,256	-9,109	
1260	Appropriations, mandatory (total) .....	2,352	2,663	12,538
1900	Budget authority (total) .....	2,352	2,663	2,000
1930	Total budgetary resources available .....	2,419	2,713	2,050
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	50	50	50

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,199	2,849	1,780
3010	Obligations incurred, unexpired accounts .....	2,369	2,663	2,000
3020	Outlays (gross) .....	-702	-3,732	-2,582
3040	Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050	Unpaid obligations, end of year .....	2,849	1,780	1,198
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,199	2,849	1,780
3200	Obligated balance, end of year .....	2,849	1,780	1,198

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-10,538
Outlays, gross:				
4010	Outlays from new discretionary authority .....			-6,193
Mandatory:				
4090	Budget authority, gross .....	2,352	2,663	12,538
Outlays, gross:				
4100	Outlays from new mandatory authority .....	69	1,597	7,522
4101	Outlays from mandatory balances .....	633	2,135	1,253
4110	Outlays, gross (total) .....	702	3,732	8,775
4180	Budget authority, net (total) .....	2,352	2,663	2,000
4190	Outlays, net (total) .....	702	3,732	2,582

Programs supported by the Crime Victims Fund focus on providing compensation to victims of crime and survivors; supporting appropriate victims' services programs and victimization prevention strategies; and building capacity to improve response to crime victims' needs and increase offender accountability. The Fund was established to address the need for victim services programs, and to assist state, local, and tribal governments in providing appropriate services to their communities. The Fund is financed

by collections of fines, penalty assessments, and bond forfeitures from defendants convicted of Federal crimes. The 2017 Budget proposes to provide \$2,000,000,000 from collections and balances for crime victim compensation, services, and related needs. Of this amount, the Budget also proposes to use \$50,000,000 for Vision 21, which provides supplemental victims services and other victim-related programs and initiatives in areas like: research, legal services, capacity building, national and international victim assistance. Of the \$50 million for Vision 21, \$25,000,000 will support tribal assistance for crime victims. Also included is \$45,000,000 for the Victims of Trafficking Program to support ongoing collaborative efforts to identify, recover, and provide services for victims of human trafficking across the United States. Further, of the amounts available for obligation in 2017, \$326,000,000 is made available for the Office on Violence Against Women. Up to three percent of funds available from the fund for obligation may be made available to the National Institute of Justice and the Bureau of Justice Statistics for research, evaluation, or statistical purposes related to crime victims and related programs.

**Object Classification** (in millions of dollars)

Identification code 015-5041-0-2-754	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	25	32	26
25.2	Other services from non-Federal sources .....	71	91	59
25.3	Other goods and services from Federal sources .....	67	86	56
41.0	Grants, subsidies, and contributions .....	2,206	2,454	1,859
99.9	Total new obligations .....	2,369	2,663	2,000

**DOMESTIC TRAFFICKING VICTIMS' FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 015-5606-0-2-754	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1110	Fines, Penalties, and Forfeitures, Domestic Trafficking Victims' Fund .....		1	1
2000	Total: Balances and receipts .....		1	1
Appropriations:				
Current law:				
2101	Domestic Trafficking Victims' Fund .....		-1	-1
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 015-5606-0-2-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	1	3
0100	Direct program activities, subtotal .....	1	3
0900	Total new obligations (object class 41.0) .....	1	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		5
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	1	1
1221	Appropriations transferred from other acct [075-0350] .....	5	5
1260	Appropriations, mandatory (total) .....	6	6
1930	Total budgetary resources available .....	6	11
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	5	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1	3
3020	Outlays (gross) .....	-1	-3

DOMESTIC TRAFFICKING VICTIMS' FUND—Continued  
Program and Financing—Continued

Identification code 015-5606-0-2-754	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross		6	6
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances			2
4110 Outlays, gross (total)		1	3
4180 Budget authority, net (total)		6	6
4190 Outlays, net (total)		1	3

The Justice for Victims of Trafficking Act of 2015 (Public Law 114-22) created the Domestic Victims of Trafficking Fund (DTVTF) and authorizes grants to expand and improve services for victims of trafficking in the U.S. and victims of child pornography as authorized by the Victims of Child Abuse Act of 1990, the Trafficking Victims Protection Act of 2000, and the Trafficking Victims Protection Reauthorization Act of 2005. Collections from the federal courts may be used to pay for all forms of programming except for medical services; funding transferred from the Department of Health and Human Services may be used for the costs of medical services supported by the Fund's grant programs. All programs supported by DTVTF will be administered by OJP in consultation with the Department of Health and Human Services.

JUSTICE REFORM INCENTIVE FUND

The 2017 Budget invests \$500 million - reaching \$5 billion over 10 years - in the 21st Century Justice Initiative. By using Federal funding to address policies that have contributed to the rise of mass incarceration but have not made us safer, this initiative would create incentives for adoption of more innovative approaches to reduce both crime and unnecessary incarceration. Ten percent of the grant funding would go toward Federal systems reform, including reentry. The remainder of the grant funding will be distributed to States to tackle most systemic issues, such as the lack of critical data linkage across systems, mental health services, emergency housing, effective and cost-efficient treatment and community supervision interventions. This 21st Century Justice Grant program will be focused on achieving the following three objectives: (1) reduce crime; (2) reverse practices that have led to unnecessarily long sentences and unnecessary incarceration; and (3) build community trust and legitimacy. States would be able to use funding to focus on one or more of the following in both the adult and juvenile systems: (1) examining and changing state laws and policies that contribute to unnecessarily long sentences and unnecessary incarceration, without sacrificing public safety; (2) promoting critical advancements in community-oriented policing; and (3) providing comprehensive front-end and reentry services.

JUSTICE REFORM INCENTIVE FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 015-0422-4-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Justice Reform Incentive			500
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			500
1930 Total budgetary resources available			500
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			500

3020 Outlays (gross)			-110
3050 Unpaid obligations, end of year			390
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			390

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			500
Outlays, gross:			
4100 Outlays from new mandatory authority			110
4180 Budget authority, net (total)			500
4190 Outlays, net (total)			110

Object Classification (in millions of dollars)

Identification code 015-0422-4-1-754	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services			10
41.0 Grants, subsidies, and contributions			490
99.9 Total new obligations			500

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Governmental receipts:			
015-085400 Registration Fees, DEA: Enacted/requested	15	15	15
General Fund Governmental receipts	15	15	15
Offsetting receipts from the public:			
015-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified: Enacted/requested		1	1
015-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Enacted/requested	1,736	163	143
General Fund Offsetting receipts from the public	1,736	164	144
Intragovernmental payments:			
015-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts: Enacted/requested	854	121	121
General Fund Intragovernmental payments	854	121	121

GENERAL PROVISIONS—DEPARTMENT OF JUSTICE

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. In addition to amounts otherwise made available in this title for official reception and representation expenses, a total of not to exceed \$50,000 from funds appropriated to the Department of Justice in this title shall be available to the Attorney General for official reception and representation expenses.

SEC. 202. None of the funds appropriated by this title shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape or incest: *Provided*, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.

SEC. 203. None of the funds appropriated under this title shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.

SEC. 204. Nothing in the preceding section shall remove the obligation of the Director of the Bureau of Prisons to provide escort services necessary for a female inmate to receive such service outside the Federal facility: *Provided*, That nothing in this section in any way diminishes the effect of section 203 intended to address the philosophical beliefs of individual employees of the Bureau of Prisons.

SEC. 205. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Justice in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section [505]504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

[SEC. 206. Funds appropriated by this or any other Act, with respect to any fiscal year, under the heading "Bureau of Alcohol, Tobacco, Firearms and Explosives, Salaries and Expenses" shall be available for retention pay for any employee who

would otherwise be subject to a reduction in pay upon termination of the Bureau's Personnel Management Demonstration Project (as transferred to the Attorney General by section 1115 of the Homeland Security Act of 2002, Public Law 107–296 (28 U.S.C. 599B)): *Provided*, That such retention pay shall comply with section 5363 of title 5, United States Code, and related Office of Personnel Management regulations, except as provided in this section: *Provided further*, That such retention pay shall be paid at the employee's rate of pay immediately prior to the termination of the demonstration project and shall not be subject to the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations.】

SEC. 【207】206. None of the funds made available under this title may be used by the Federal Bureau of Prisons or the United States Marshals Service for the purpose of transporting an individual who is a prisoner pursuant to conviction for crime under State or Federal law and is classified as a maximum or high security prisoner, other than to a prison or other facility certified by the Federal Bureau of Prisons as appropriately secure for housing such a prisoner.

SEC. 【208】207. (a) None of the funds appropriated by this Act may be used by Federal prisons to purchase cable television services, or to rent or purchase audiovisual or electronic media or equipment used primarily for recreational purposes.

(b) Subsection (a) does not preclude the rental, maintenance, or purchase of audiovisual or electronic media or equipment for inmate training, religious, or educational programs.

【SEC. 209. None of the funds made available under this title shall be obligated or expended for any new or enhanced information technology program having total estimated development costs in excess of \$100,000,000, unless the Deputy Attorney General and the investment review board certify to the Committees on Appropriations of the House of Representatives and the Senate that the information technology program has appropriate program management controls and contractor oversight mechanisms in place, and that the program is compatible with the enterprise architecture of the Department of Justice.】

SEC. 【210】208. The notification thresholds and procedures set forth in section 【505】504 of this Act shall apply to deviations from the amounts designated for specific activities in this Act and in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), and to any use of deobligated balances of funds provided under this title in previous years.

SEC. 【211】209. None of the funds appropriated by this Act may be used to plan for, begin, continue, finish, process, or approve a public-private competition under the Office of Management and Budget Circular A-76 or any successor administrative regulation, directive, or policy for work performed by employees of the Bureau of Prisons or of Federal Prison Industries, Incorporated.

【SEC. 212. Notwithstanding any other provision of law, no funds shall be available for the salary, benefits, or expenses of any United States Attorney assigned dual or additional responsibilities by the Attorney General or his designee that exempt that United States Attorney from the residency requirements of section 545 of title 28, United States Code.】

SEC. 【213】210. At the discretion of the Attorney General, and in addition to any amounts that otherwise may be available (or authorized to be made available) by law, with respect to funds appropriated by this title under the headings "Research, Evaluation and Statistics", "State and Local Law Enforcement Assistance", and "Juvenile Justice Programs"—

(1) up to 3 percent of funds made available to the Office of Justice Programs for grant or reimbursement programs may be used by such Office to provide training and technical assistance; **and**

(2) up to **【2】3** percent of funds made available for grant or reimbursement programs under such headings, except for amounts appropriated specifically for research, evaluation, or statistical programs administered by the National Institute of Justice and the Bureau of Justice Statistics, shall be transferred to and merged with funds provided to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation, or statistical purposes, without regard to the authorizations for such grant or reimbursement programs; *and*

(3) *7 percent of funds made available for grant or reimbursement programs: (1) under the heading "State and Local Law Enforcement Assistance"; and (2) under the headings "Research, Evaluation and Statistics" and "Juvenile Justice Programs", to be transferred to and merged with funds made available under the heading "State and Local Law Enforcement Assistance", shall be available for tribal criminal justice assistance without regard to the authorizations for such grant or reimbursement programs.*

SEC. 【214】211. Upon request by a grantee for whom the Attorney General has determined there is a fiscal hardship, the Attorney General may, with respect to funds appropriated in this or any other Act making appropriations for fiscal years 【2013】2014 through 【2016】2017 for the following programs, waive the following requirements:

(1) For the adult and juvenile offender State and local reentry demonstration projects under part FF of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3797w(g)(1)), the requirements under section 2976(g)(1) of such part.

(2) For State, Tribal, and local reentry courts under part FF of title I of such Act of 1968 (42 U.S.C. 3797w-2(e)(1) and (2)), the requirements under section 2978(e)(1) and (2) of such part.

(3) For the prosecution drug treatment alternatives to prison program under part CC of title I of such Act of 1968 (42 U.S.C. 3797q-3), the requirements under section 2904 of such part.

(4) For grants to protect inmates and safeguard communities as authorized by section 6 of the Prison Rape Elimination Act of 2003 (42 U.S.C. 15605(c)(3)), the requirements of section 6(c)(3) of such Act.

SEC. 【215】212. Notwithstanding any other provision of law, section 20109(a) of subtitle A of title II of the Violent Crime Control and Law Enforcement Act of 1994 (42 U.S.C. 13709(a)) shall not apply to amounts made available by this or any other Act.

SEC. 【216】213. None of the funds made available under this Act, other than for the national instant criminal background check system established under section 103 of the Brady Handgun Violence Prevention Act (18 U.S.C. 922 note), may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel, unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

【SEC. 217. (a) None of the income retained in the Department of Justice Working Capital Fund pursuant to title I of Public Law 102–140 (105 Stat. 784; 28 U.S.C. 527 note) shall be available for obligation during fiscal year 2016, except up to \$40,000,000 may be obligated for implementation of a unified Department of Justice financial management system.

(b) Not to exceed \$30,000,000 of the unobligated balances transferred to the capital account of the Department of Justice Working Capital Fund pursuant to title I of Public Law 102–140 (105 Stat. 784; 28 U.S.C. 527 note) shall be available for obligation in fiscal year 2016, and any use, obligation, transfer or allocation of such funds shall be treated as a reprogramming of funds under section 505 of this Act.

(c) Not to exceed \$10,000,000 of the excess unobligated balances available under section 524(c)(8)(E) of title 28, United States Code, shall be available for obligation during fiscal year 2016, and any use, obligation, transfer or allocation of such funds shall be treated as a reprogramming of funds under section 505 of this Act.

(d) Subsections (a) through (c) of this section shall sunset on September 30, 2016.】

【SEC. 218. (a) Of the funds appropriated by this Act under each of the headings "General Administration—Salaries and Expenses", "United States Marshals Service—Salaries and Expenses", "Federal Bureau of Investigation—Salaries and Expenses", "Drug Enforcement Administration—Salaries and Expenses", and "Bureau of Alcohol, Tobacco, Firearms and Explosives—Salaries and Expenses", \$20,000,000 shall not be available for obligation until the Attorney General demonstrates to the Committees on Appropriations of the House of Representatives and the Senate that all recommendations included in the Office of Inspector General of the Department of Justice, Evaluation and Inspections Division Report 15–04 entitled "The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components", dated March, 2015, have been implemented or are in the process of being implemented.

(b) The Inspector General of the Department of Justice shall report to the Committees on Appropriations of the House of Representatives and the Senate not later than 90 days after the date of enactment of this Act on the status of the Department's implementation of recommendations included in the report specified in subsection (a).】

SEC. 【219】214. Discretionary funds that are made available in this Act for the Office of Justice Programs may be used to participate in Performance Partnership Pilots authorized under section 526 of division H of Public Law 113–76, section 524 of division G of Public Law 113–235, *section 525 of division H of Public Law 114–113*, and such authorities as are enacted for Performance Partnership Pilots in an appropriations Act for fiscal year 【2016】2017.

SEC. 215. *Of the unobligated balances available in the Working Capital Fund, \$164,743,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

SEC. 216. *Of the unobligated balances from prior year appropriations for the Office of Justice Programs, \$20,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Con-*

gress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 217. Notwithstanding any other provision of law, amounts deposited or available in the Fund established by section 1402 of chapter XIV of title II of Public Law 98-473 (42 U.S.C. 10601) in excess of \$2,000,000,000 shall not be available for obligation until the following fiscal year: Provided, That, notwithstanding section 1402(d) of such Act of 1984, of the amounts available from the Fund for obligation, the following amounts shall be available without fiscal year limitation to the Director of the Office for Victims of Crime for the following purposes: (1) \$50,000,000 for Vision 21, of which \$25,000,000 is for supplemental victims' services and other victim-related programs and initiatives and \$25,000,000 is for tribal assistance for crime victims; and (2) \$45,000,000 for victim services programs for victims of trafficking, human trafficking task forces, research and evaluation, and related training and technical assistance, including as authorized by section 107(b)(2) of Public Law 106-386, Public Law 109-164, or Public Law 113-4: Provided further, That up to 3 percent of funds available from the Fund for obligation may be made available to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation or statistical purposes related to crime victims and related programs.

SEC. 218. Section 527 of title 28, United States Code, is amended in the third sentence by inserting ": (1)" before "the Department" and by inserting "; and (2) Federally recognized tribes for supplies, materials and services related to access to federal law enforcement databases;" after "and services".

SEC. 219. FEDERAL PRISON INDUSTRIES: PILOT PROGRAMS

(a) ACQUISITIONS BY DEPARTMENT OF JUSTICE. The Attorney General may conduct a pilot program in which, notwithstanding any other provision of law, the Department of Justice, or any agency or component thereof, may make a contract award directly to Federal Prison Industries (FPI), without conducting market research or using competitive procedures to acquire goods or services authorized for sale by FPI.

(b) FPI ACTIVITIES WITH STATE ENTITIES. The Attorney General may authorize FPI to conduct a pilot program in which FPI may, notwithstanding any other provision of law:

(1) enter into agreements with State correctional industries to sell and/or purchase goods and services; and

(2) sell goods and services to State and local government agencies for disaster relief and emergency response purposes.

(c) FPI PROCUREMENT PILOT.

(1) The Attorney General may authorize FPI to conduct a pilot program in which FPI may, in procuring goods and services necessary for carrying out FPI programs, waive the following provisions of law, regulation, and policy governing procurement:

(A) the competition requirements set forth in chapter 33 of title 41, United States Code; and

(B) any other procurement-related statutory, regulatory, or policy requirement, except those requirements addressing integrity or ethics, protests, contract disputes, or requirements that provide for criminal or civil penalties to the extent any such requirements described in this subparagraph otherwise apply to acquisitions made by FPI.

(2) FPI may not exercise the waivers authorized by paragraph (1) until FPI has issued and posted guidance on a publicly accessible website describing the procedures it will use to acquire goods and services under the pilot. Such procedures shall require FPI to:

(A) provide maximum practicable opportunities for small business concerns in its acquisitions and

(B) follow regulations and procedures established by the Small Business Administration regarding the removal of work from the 8(a) Business Development Program (established by section 8(a) of the Small Business Act).

(3) FPI shall consult with the Administrator for Federal Procurement Policy and the Administrator for the Small Business Administration prior to issuing the guidance described in paragraph (2).

(d) SUNSET.

(1) The pilot authorities provided in subsections (a) and (b) of this section shall expire 6 years after the date of enactment of this Act.

(2)(A) Except as provided in subparagraph (B), the authority to award contracts for goods and services under the pilot authority described in subsection (c) shall terminate 3 years after the date FPI issues guidance pursuant to subsection (c)(2).

(B) The Attorney General may extend the pilot for a period not to exceed 3 years after the termination date described in subparagraph (A) if, at least 60 days prior to such termination date, the Attorney General submits a report to Congress providing the following regarding activity under the pilot:

(i) a description of the products and services acquired;

(ii) the number of awards made;

(iii) the total dollar amount of the awards;

(iv) the percentage of dollars identified in subparagraph (iii) awarded to small businesses; and

(v) a representation that the pilot has maintained or increased awards to FPI; and that the results of regular federal customer surveys indicate general satisfaction with FPI's products.

SEC. 220. In addition to any other transfer authority available to the Department of Justice, for fiscal years 2017 through 2022, unobligated balances available in the Department of Justice Working Capital Fund pursuant to title I of Public Law 102-140 (105 Stat. 784; 28 U.S.C. 527 note) may be transferred to the "Federal Bureau of Investigation, Construction" account, to remain available until expended for the construction of the new Federal Bureau of Investigation headquarters in the National Capital Region: Provided, That the cumulative total amount of funds transferred from the Working Capital Fund from fiscal year 2017 through 2022 pursuant to this section shall not exceed \$315,000,000: Provided further, That transfers pursuant to this section shall not count against any ceiling on the use of unobligated balances transferred to the capital account of the Working Capital Fund in this or any other Act in any such fiscal year.

SEC. 221. (a) Section 1930(a) of title 28, United States Code, is amended in paragraph (6) by striking "\$6,500 for each quarter in which disbursements total \$1,000,000 or more but less than \$2,000,000;" and all that follows and inserting in lieu thereof: "1 percent of disbursements, or \$250,000, whichever is less, for each quarter in which disbursements total \$1,000,000 or more. The fee shall be payable on the last day of the calendar month following the calendar quarter for which the fee is owed. Beginning in fiscal year 2020, the Director of the Executive Office for United States Trustees may adjust (no more frequently than once per fiscal year) the fee for each quarter in which disbursements total \$1,000,000 or more, not to exceed 1 percent of disbursements, or \$250,000, whichever is less."

(b) This section and the amendment made by subsection (a) shall take effect October 1, 2016, or on the first day of the calendar quarter following the enactment of this Act, whichever is later, and shall apply to all cases pending or filed under title 11 of the United States Code on or after the effective date of the amendment. (Department of Justice Appropriations Act, 2016.)

# DEPARTMENT OF LABOR

## EMPLOYMENT AND TRAINING ADMINISTRATION

### Federal Funds

#### TRAINING AND EMPLOYMENT SERVICES

For necessary expenses of the Workforce Innovation and Opportunity Act (referred to in this Act as "WIOA"), the Second Chance Act of 2007, and the National Apprenticeship Act, [and the Women in Apprenticeship and Nontraditional Occupations Act of 1992 ("WANTO Act"), \$3,335,425,000] \$3,525,460,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, [\$2,709,832,000] \$2,847,861,000 as follows:

(A) [\$815,556,000] \$842,376,000 for adult employment and training activities, of which [\$103,556,000] \$130,376,000 shall be available for the period July 1, [2016] 2017 through June 30, [2017] 2018, and of which \$712,000,000 shall be available for the period October 1, [2016] 2017 through June 30, [2017] 2018;

(B) [\$873,416,000] \$902,139,000 for youth activities, which shall be available for the period April 1, [2016] 2017 through June 30, [2017] 2018; and

(C) [\$1,020,860,000] \$1,103,346,000 for dislocated worker employment and training activities, of which [\$160,860,000] \$243,346,000 shall be available for the period July 1, [2016] 2017 through June 30, [2017] 2018, and of which \$860,000,000 shall be available for the period October 1, [2016] 2017 through June 30, [2017] 2018: *Provided*, That pursuant to section 128(a)(1) of the WIOA, the amount available to the Governor for statewide workforce investment activities shall not exceed 15 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs: *Provided further*, That the funds available for allotment to outlying areas to carry out subtitle B of title I of the WIOA shall not be subject to the requirements of section 127(b)(1)(B)(ii) of such Act; and

(2) for national programs, [\$625,593,000] \$677,599,000 as follows:

(A) [\$220,859,000] \$230,859,000 for the dislocated workers assistance national reserve, of which [\$20,859,000] \$30,859,000 shall be available for the period July 1, [2016] 2017 through September 30, [2017] 2018, and of which \$200,000,000 shall be available for the period October 1, [2016] 2017 through September 30, [2017] 2018: *Provided further*, That funds provided to carry out section 132(a)(2)(A) of the WIOA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: *Provided further*, That funds provided to carry out sections 168(b) and 169(c) of the WIOA may be used for technical assistance and demonstration projects, respectively, that provide assistance to new entrants in the workforce, *adults without employment who are not dislocated workers*, and incumbent workers: *Provided further*, That notwithstanding section 168(b) of the WIOA, of the funds provided under this subparagraph, the Secretary of Labor (referred to in this title as "Secretary") may reserve not more than 10 percent of such funds to provide technical assistance and carry out additional activities related to the transition to the WIOA: *Provided further*, That, of the funds provided under this subparagraph, [\$19,000,000] \$20,000,000 shall be made available for applications submitted in accordance with section 170 of the WIOA for training and employment assistance for workers dislocated from coal mines and coal-fired power plants;

(B) [\$50,000,000] \$52,000,000 for Native American programs under section 166 of the WIOA, which shall be available for the period [July] April 1, [2016] 2017 through June 30, [2017] 2018;

(C) \$81,896,000 for migrant and seasonal farmworker programs under section 167 of the WIOA, including \$75,885,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,517,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$494,000 for other discretionary purposes, which shall be available for the period [July] April 1, [2016] 2017 through June 30, [2017] 2018: *Provided further*, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

[(D) \$994,000 for carrying out the WANTO Act, which shall be available for the period July 1, 2016 through June 30, 2017;]

[(E) \$84,534,000 for YouthBuild activities as described in section 171 of the WIOA, which shall be available for the period April 1, [2016] 2017 through June 30, [2017] 2018;

[(F) \$3,232,000 for technical assistance activities under section 168 of the WIOA, which shall be available for the period July 1, [2016] 2017 through June 30, [2017] 2018;

[(G) \$88,078,000] \$95,078,000 for ex-offender activities, under the authority of section 169 of the WIOA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, [2016] 2017 through June 30, [2017] 2018: *Provided further*, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas;

[(H) \$6,000,000] \$40,000,000 for the Workforce Data Quality Initiative, under the authority of section 169 of the WIOA, which shall be available for the period July 1, [2016] 2017 through June 30, [2017] 2018; and

[(I) \$90,000,000 to expand opportunities relating to apprenticeship programs registered under the National Apprenticeship Act, to be available to the Secretary to carry out activities through grants, cooperative agreements, contracts and other arrangements, with States and other appropriate entities, which shall be available for the period April 1, [2016] 2017 through June 30, [2017] 2018. (*Department of Labor Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 016-0174-0-1-504	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Adult Employment and Training Activities .....	775	816	842
0003 Dislocated Worker Employment and Training Activities .....	1,240	1,244	1,324
0005 Youth Activities .....	906	962	987
0008 Reintegration of Ex-Offenders .....	91	73	88
0010 Native Americans .....	46	50	52
0011 Migrant and Seasonal Farmworkers .....	82	82	82
0013 National Programs .....	.....	93	93
0015 H-1B Job Training Grants .....	249	418	151
0017 Data Quality Initiative .....	6	4	6
0029 Workforce Innovation Fund .....	38	.....	.....
0799 Total direct obligations .....	3,433	3,742	3,625
0801 Training and Employment Services (Reimbursable) .....	9	.....	.....
0900 Total new obligations .....	3,442	3,742	3,625
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	516	391	118
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	145	89	.....
1010 Unobligated balance transfer to other accts [016-0165] .....	-2	.....	.....
1010 Unobligated balance transfer to other accts [091-0400] .....	-2	.....	.....
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	517	391	118
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,368	1,563	1,753
1120 Appropriations transferred to other acct [016-0165] .....	-8	-6	.....
1120 Appropriations transferred to other acct [016-0174] .....	-13	.....	.....
1121 Appropriations transferred from other acct [016-0174] .....	13	.....	.....
1160 Appropriation, discretionary (total) .....	1,360	1,557	1,753
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,772	1,772	1,772
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training) .....	176	150	150
1203 Appropriation (previously unavailable) .....	12	13	10
1220 Appropriations transferred to other acct [016-0179] .....	.....	-13	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-13	-10	.....
1260 Appropriations, mandatory (total) .....	175	140	160
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	.....	.....
1900 Budget authority (total) .....	3,316	3,469	3,685
1930 Total budgetary resources available .....	3,833	3,860	3,803
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	391	118	178

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,081	3,351	3,543
3010 Obligations incurred, unexpired accounts .....	3,442	3,742	3,625
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-3,124	-3,550	-3,750
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....

TRAINING AND EMPLOYMENT SERVICES—Continued  
Program and Financing—Continued

Identification code 016-0174-0-1-504	2015 actual	2016 est.	2017 est.
3041 Recoveries of prior year unpaid obligations, expired .....	-45		
3050 Unpaid obligations, end of year .....	3,351	3,543	3,418
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,081	3,351	3,543
3200 Obligated balance, end of year .....	3,351	3,543	3,418
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,141	3,329	3,525
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,103	1,170	1,195
4011 Outlays from discretionary balances .....	1,906	2,175	2,243
4020 Outlays, gross (total) .....	3,009	3,345	3,438
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-10		
4040 Offsets against gross budget authority and outlays (total) ....	-10		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	3,132	3,329	3,525
4080 Outlays, net (discretionary) .....	2,999	3,345	3,438
Mandatory:			
4090 Budget authority, gross .....	175	140	160
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	2
4101 Outlays from mandatory balances .....	115	204	310
4110 Outlays, gross (total) .....	115	205	312
4180 Budget authority, net (total) .....	3,307	3,469	3,685
4190 Outlays, net (total) .....	3,114	3,550	3,750

Enacted in 2014, the Workforce Innovation and Opportunity Act (WIOA) is the primary authorization for this appropriation account. The Act is intended to provide job seekers and workers with the labor market information, job search assistance, and training they need to get and keep good jobs, and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

**Adult employment and training activities.**—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients. The FY 2017 request for the Adult, Youth, and Dislocated Worker formula grant programs provides funding at the 2017 WIOA-authorized appropriation levels.

**Youth activities.**—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

**Dislocated worker employment and training activities.**—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment. The Budget increases funding for the National Dislocated Worker Grants to support workers affected by the national transition from carbon-intensive to lower carbon energy sources. Along with funding already provided through the National Dislocated Worker Grants, this additional money will allow States and local areas to provide reemployment, training, and supportive services to transitioning coal workers to help them get back to work in good jobs and careers.

**Native Americans.**—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

**Migrant and Seasonal Farmworkers.**—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

**Reintegration of Ex-Offenders.**—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. Using the authority of section 169 of the WIOA, the Department also provides competitive grants for a range of young ex-offenders and school dropouts, particularly those in high-poverty, high-crime areas with similar services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The Budget includes resources for a program for at-risk youth to explore careers in law enforcement. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out the Ex-Offender program.

**Apprenticeship Grants.**—Activities that support Registered Apprenticeship programs at the state and local levels through a range of activities, such as state-specific outreach strategies, partnerships, economic development strategies, and expanded access to apprenticeship opportunities for under-represented populations through pre-apprenticeships and career pathways.

**Workforce Data Quality Initiative.**—Competitive grants to support the development of integrated and longitudinal data systems that integrate education and workforce data to provide timely and accessible information, including integrated performance information, to consumers, policymakers, and others.

**YouthBuild.**—Grants that impart education and occupational skills to program participants by providing them with academic training and occupational skills training, providing a clear path into a chosen career field.

**Technical Assistance.**—Technical assistance activities to support WIOA implementation.

Object Classification (in millions of dollars)

Identification code 016-0174-0-1-504	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	4		
25.2 Other services from non-Federal sources .....	9	67	45
25.3 Other goods and services from Federal sources .....	2		
25.5 Research and development contracts .....	4		
25.7 Operation and maintenance of equipment .....	1		
41.0 Grants, subsidies, and contributions .....	3,413	3,675	3,580
99.0 Direct obligations .....	3,433	3,742	3,625
99.0 Reimbursable obligations .....	9		
99.9 Total new obligations .....	3,442	3,742	3,625

JOB CORPS

(INCLUDING TRANSFER OF FUNDS)

To carry out subtitle C of title I of the WIOA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIOA, **[\$1,689,155,000]** \$1,754,590,000, plus reimbursements, as follows:

(1) **[\$1,581,825,000]** \$1,608,535,000 for Job Corps Operations, which shall be available for the period July 1, **[2016]**2017 through June 30, **[2017]**2018;

(2) **[\$75,000,000]** \$105,000,000 for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, **[2016]**2017 through June 30, **[2019]**2020, and which may include the acquisition, maintenance, and repair of major items of equipment: *Provided*, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: *Provided further*, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, **[2017]**2018: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer; and

(3) **[\$32,330,000]** \$41,055,000 for necessary expenses of Job Corps, which shall be available for obligation for the period October 1, **[2015]**2016 through September 30, **[2016]**2017: *Provided further*, That no funds from any other ap-

proportion shall be used to provide meal services at or for Job Corps centers. (Department of Labor Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 016-0181-0-1-504	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	1,598	1,590	1,609
0002 Construction, Rehabilitation, and Acquisition (CRA) .....	121	88	99
0003 Administration .....	32	32	41
0900 Total new obligations .....	1,751	1,710	1,749
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	899	832	823
1010 Unobligated balance transfer to other accts [016-0165] .....	-4		
1010 Unobligated balance transfer to other accts [016-0181] .....	-49		
1011 Unobligated balance transfer from other acct [016-0181] .....	49		
1021 Recoveries of prior year unpaid obligations .....	11	12	
1050 Unobligated balance (total) .....	906	844	823
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,688	1,689	1,755
1120 Appropriations transferred to other accts [016-0181] .....	-109		
1120 Appropriations transferred to other acct [016-0165] .....	-4		
1121 Appropriations transferred from other acct [016-0181] .....	109		
1160 Appropriation, discretionary (total) .....	1,684	1,689	1,755
1930 Total budgetary resources available .....	2,590	2,533	2,578
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-7		
1941 Unexpired unobligated balance, end of year .....	832	823	829
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	962	1,063	1,135
3010 Obligations incurred, unexpired accounts .....	1,751	1,710	1,749
3011 Obligations incurred, expired accounts .....	46		
3020 Outlays (gross) .....	-1,647	-1,626	-1,773
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-12	
3041 Recoveries of prior year unpaid obligations, expired .....	-38		
3050 Unpaid obligations, end of year .....	1,063	1,135	1,111
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	962	1,063	1,135
3200 Obligated balance, end of year .....	1,063	1,135	1,111
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,684	1,689	1,755
Outlays, gross:			
4010 Outlays from new discretionary authority .....	173	189	201
4011 Outlays from discretionary balances .....	1,474	1,437	1,572
4020 Outlays, gross (total) .....	1,647	1,626	1,773
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-24		
4040 Offsets against gross budget authority and outlays (total) .....	-24		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	24		
4070 Budget authority, net (discretionary) .....	1,684	1,689	1,755
4080 Outlays, net (discretionary) .....	1,623	1,626	1,773
4180 Budget authority, net (total) .....	1,684	1,689	1,755
4190 Outlays, net (total) .....	1,623	1,626	1,773

Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Innovation and Opportunity Act of 2014 (P.L. 113-128, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth. Job Corps operates centers in all 50 states, Puerto Rico, and the District of Columbia. Job Corps provides economically disadvantaged youth with academic, career technical and marketable skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Job Corps serves and trains approximately 50,000 participants each year while emphasizing the attainment of academic credentials which include: a High School Diploma (HSD) or General Educational Development (GED) and career technical credentials, industry-recognized certifications, state

licenses, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. Furthermore, these credentials ensure that program graduates have gained the skills and knowledge necessary to effectively compete in today's workforce.

Large and small businesses, nonprofit organizations, Native American organizations and Alaskan Native corporations manage and operate the majority of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining centers are operated through an interagency agreement with the U.S. Department of Agriculture. In 2015, Job Corps opened two new centers in New Hampshire and Wyoming, the last two States without Job Corps centers. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2017 Budget continues the Administration's commitment to strengthening and reforming the Job Corps program to improve students' outcomes. Beginning in 2016, the Department will undertake an external evaluation to holistically study the Job Corps program, with the goal of generating reform ideas. Additionally, the Budget proposes to continue exploring different models of serving at-risk youth through a suite of pilots, to ensure that the most effective strategies are being utilized. In addition, the Budget builds on the resources previously provided to continue strengthening oversight of the Job Corps program. These changes will allow the program to continue to provide high-quality services to disadvantaged youth while maintaining strong internal controls and ensuring that contracts are procured at the lowest risk and the best value to the Federal Government. The Budget also proposes new construction and programming investments to ensure student safety while on center.

**Object Classification** (in millions of dollars)

Identification code 016-0181-0-1-504	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	88	93	95
11.3 Other than full-time permanent .....		1	1
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	88	95	97
12.1 Civilian personnel benefits .....	38	40	43
21.0 Travel and transportation of persons .....	5	2	5
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	3	2
23.2 Rental payments to others .....	7	8	8
23.3 Communications, utilities, and miscellaneous charges .....	11	8	7
25.1 Advisory and assistance services .....	6	3	3
25.2 Other services from non-Federal sources .....	1,405	1,431	1,454
25.3 Other goods and services from Federal sources .....	31	15	19
25.4 Operation and maintenance of facilities .....	34	36	40
25.7 Operation and maintenance of equipment .....	6	3	3
26.0 Supplies and materials .....	20	29	29
31.0 Equipment .....	2	7	6
32.0 Land and structures .....	95	29	32
99.0 Direct obligations .....	1,751	1,710	1,749
99.9 Total new obligations .....	1,751	1,710	1,749

**Employment Summary**

Identification code 016-0181-0-1-504	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	157	160	187

PAID LEAVE PARTNERSHIP INITIATIVE  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-0189-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Paid Leave Partnership Grants			2,213
0900 Total new obligations (object class 41.0)			2,213
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			2,213
1930 Total budgetary resources available			2,213
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			2,213
3020 Outlays (gross)			-221
3050 Unpaid obligations, end of year			1,992
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,992
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			2,213
Outlays, gross:			
4100 Outlays from new mandatory authority			221
4180 Budget authority, net (total)			2,213
4190 Outlays, net (total)			221

*Paid Leave Partnership Initiative.*—The Budget will include a mandatory proposal to support as many as five States that wish to launch paid leave programs, following the example of California, New Jersey, and Rhode Island. States would be able to apply for competitive grants to cover start-up and ongoing administrative costs as well as 50 percent of benefit costs for three years. The grants could be used to cover family, parental, and medical leave programs that provide up to 12 weeks of benefits.

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

To carry out title V of the Older Americans Act of 1965 (referred to in this Act as "OAA"), \$434,371,000, which shall be available for the period [July] April 1, [2016] 2017 through June 30, [2017] 2018, and may be recaptured and reobligated in accordance with section 517(c) of the OAA: *Provided, That for new participants during such period in lieu of the requirements contained in section 518 (a)(3)(A) of the OAA, an eligible individual shall be an individual age 55 or older whose income is not more than 133 percent of the poverty line (excluding earned income described in section 1612(b)(3)(B) of the Social Security Act) or who is receiving supplemental security income benefits under title XVI of the Social Security Act, supplemental nutrition assistance program benefits under the Food and Nutrition Act of 2008, or benefits under the Veterans pension benefit programs administered by the Department of Veterans Affairs: Provided further, That section 506(a)(1) of the OAA shall be applied by substituting "10 percent" for "1.5 percent": Provided further, That subclause (I) of section 502(c)(6)(C)(i) of the OAA shall be applied by substituting "50 percent" for "65 percent" and subclause (III) of such section shall be applied by substituting "25 percent" for "10 percent": Provided further, That notwithstanding section 513(d)(3)(B)(iii) of the OAA, the funds distributed under section 506(e) of the OAA shall be awarded on a competitive basis by a State among State agencies and other public and nonprofit private agency organizations if the Secretary of Labor determines the State is a low-performing State, and the Secretary of Labor shall provide technical assistance to the State related to the competition and to subsequent service delivery. (Department of Labor Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 016-0175-0-1-504	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National programs	289	339	339
0002 State programs	95	95	95

0900	Total new obligations (object class 41.0)	384	434	434
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4	57	57
1010	Unobligated balance transfer to other accts [016-0165]	-1		
1012	Unobligated balance transfers between expired and unexpired accounts	4		
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	8	57	57
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	434	434	434
1120	Appropriations transferred to other acct [016-0165]	-1		
1160	Appropriation, discretionary (total)	433	434	434
1930	Total budgetary resources available	441	491	491
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	57	57	57

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	368	311	324
3010	Obligations incurred, unexpired accounts	384	434	434
3020	Outlays (gross)	-434	-421	-434
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3041	Recoveries of prior year unpaid obligations, expired	-6		
3050	Unpaid obligations, end of year	311	324	324
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	368	311	324
3200	Obligated balance, end of year	311	324	324

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	433	434	434
Outlays, gross:				
4010	Outlays from new discretionary authority	79	82	82
4011	Outlays from discretionary balances	355	339	352
4020	Outlays, gross (total)	434	421	434
4180	Budget authority, net (total)	433	434	434
4190	Outlays, net (total)	434	421	434

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109-365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2017 CSEOA budget reforms SCSEP by awarding more competitive grants, adjusting income eligibility requirements to serve those most in need, and promoting on-the-job training (OJT) models, including OJT at for-profit entities, through demonstration grants and flexibility for existing grantees in spending training funds on OJT activities.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 016-0187-0-1-504	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,338	899	489
3020	Outlays (gross)	-433	-410	-390
3041	Recoveries of prior year unpaid obligations, expired	-6		
3050	Unpaid obligations, end of year	899	489	99
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,338	899	489
3200	Obligated balance, end of year	899	489	99
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	433	410	390
4180	Budget authority, net (total)			

4190 Outlays, net (total) .....	433	410	390
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The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat.1070), provided \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding allows for expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year [2016]2017 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, and including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011 and section 405(a) of the Trade Preferences Extension Act of 2015, [1]861,000,000 [2]849,000,000 together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, [2016]2017: *Provided*, That notwithstanding section 502 of this division, any part of the appropriation provided under this heading may remain available for obligation beyond the current fiscal year pursuant to the authorities of section 245(c) of the Trade Act of 1974 (19 U.S.C. 2317(c)). (*Department of Labor Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 016–0326–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Trade Adjustment Assistance benefits .....	241	384	357
0002 Trade Adjustment Assistance training and other activities .....	236	450	450
0005 Wage Insurance Payments .....	30	27	42
0799 Total direct obligations .....	507	861	849
0801 Disaster Unemployment Assistance .....	2		
0900 Total new obligations .....	509	861	849
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	559	861	849
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-52		
1260 Appropriations, mandatory (total) .....	507	861	849
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (DUA) .....	2		
1900 Budget authority (total) .....	509	861	849
1930 Total budgetary resources available .....	509	861	849
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,222	940	698
3010 Obligations incurred, unexpired accounts .....	509	861	849
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-484	-757	-728
3041 Recoveries of prior year unpaid obligations, expired .....	-314	-346	-346
3050 Unpaid obligations, end of year .....	940	698	473
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,222	940	698
3200 Obligated balance, end of year .....	940	698	473

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	509	861	849
Outlays, gross:			
4100 Outlays from new mandatory authority .....	228	484	450
4101 Outlays from mandatory balances .....	256	273	278
4110 Outlays, gross (total) .....	484	757	728
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2		
4180 Budget authority, net (total) .....	507	861	849
4190 Outlays, net (total) .....	482	757	728

The Federal Unemployment Benefits and Allowances (FUBA) account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides income support through Trade Readjustment Allowances (TRA); Training and Other Activities, which includes funding for the Trade Adjustment Assistance in three categories: 1) Training and Other Activities; 2) Trade Readjustment Allowances (TRA); and, Readjustment Trade Adjustment Assurances (RTAA) (jointly called the TAA program). \$849,000,000 is sufficient to continue the TAA program under the Trade Adjustment Assistance Reauthorization Act of 2015.

Object Classification (in millions of dollars)

Identification code 016–0326–0–1–999	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	507	861	849
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations .....	509	861	849

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, [1]89,066,000 [2]96,566,000, together with not to exceed [3]3,480,812,000 [4]3,499,519,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) [1]2,725,550,000 [2]2,742,919,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than [3]95,000,000 [4]150,900,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, and to provide reemployment services and referrals to training as appropriate, for claimants of unemployment insurance for ex-service members under 5 U.S.C. 8521 et. seq. and for the claimants of regular unemployment compensation who are profiled as most likely to exhaust their benefits in each State, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, \$10,000,000 for activities to address the misclassification of workers, and [3]3,000,000 [4]7,000,000 for continued support of the Unemployment Insurance Integrity Center of Excellence), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011 and section 405(a) of the Trade Preferences Extension Act of 2015, and shall be available for obligation by the States through December 31, [2016]2017, except that funds used for automation [acquisitions] shall be available for Federal obligation through December 31, [2016]2017, and for State obligation through September 30, [2018]2019, or, if the automation [acquisition] is being carried out through consortia of States, for State obligation through September 30, [2021]2022, and for expenditure through September 30, [2022]2023, and funds for competitive grants awarded to States for improved operations and to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews and provide reemployment services and referrals to training, as appropriate, and to address misclassification of workers shall be available for Federal obligation through December 31, [2016]2017, and for obligation by the States through September 30, [2018]2019, and funds for the Unemployment Insurance Integrity Center of Excellence shall be available for obligation by the State through September 30, [2017]2020, and funds used for unemployment insurance workloads experienced by the States

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

through September 30, [2016]2017 shall be available for Federal obligation through December 31, [2016]2017;

(2) [\$14,547,000] \$15,247,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$658,587,000 from the Trust Fund, together with \$21,413,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, [2016]2017 through June 30, [2017]2018;

(4) \$19,818,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act;

(5) [\$62,310,000] \$62,948,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which [\$48,028,000] \$48,666,000 shall be available for the Federal administration of such activities, and \$14,282,000 shall be available for grants to States for the administration of such activities; and

(6) [\$67,653,000] \$75,153,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, [2016]2017 through June 30, [2017]2018: *Provided*, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year [2016]2017 is projected by the Department of Labor to exceed [2,680,000] 2,249,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: *Provided further*, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: *Provided further*, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: *Provided further*, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States to the entity operating the State Information Data Exchange System: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance, employment service, or immigration programs, may be obligated in contracts, grants, or agreements with States and non-State entities: *Provided further*, That States awarded competitive grants for improved operations under title III of the Social Security Act, or awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States and non-State entities under such grants, subject to the conditions applicable to the grants: *Provided further*, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget [Circular A-87] publication entitled *Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*: *Provided further*, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: *Provided further*, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and nonprofit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, [2017]2018, for such purposes.

In addition, [\$20,000,000] \$35,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available for in-person reemployment and eligibility assessments and unemployment insurance improper

payment reviews and to provide reemployment services and referrals to training as appropriate, for claimants of unemployment insurance for ex-service members under 5 U.S.C. 8521 et seq. and for the claimants of regular unemployment compensation who are profiled as most likely to exhaust their benefits in each State, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, which shall be available for Federal obligations through December 31, [2016] 2017, and for State obligation through September 30, [2018]2019. (*Department of Labor Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 016-0179-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State UI administration .....	2,812	2,745	2,743
0002 UI national activities .....	13	15	15
0010 ES grants to States .....	641	680	680
0011 ES national activities .....	20	20	20
0012 American Job Centers .....	69	68	75
0014 Foreign labor certification .....	62	62	63
0015 H-1B fees .....	21	28	23
0799 Total direct obligations .....	3,638	3,618	3,619
0801 Reimbursable program DJA administration .....	1	50	50
0803 Reimbursable program NAWs surveys .....	.....	1	1
0899 Total reimbursable obligations .....	1	51	51
0900 Total new obligations .....	3,639	3,669	3,670
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	88	70	73
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	88	40	.....
1021 Recoveries of prior year unpaid obligations .....	25	.....	.....
1050 Unobligated balance (total) .....	113	70	73
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	82	89	97
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	18	18	18
1203 Appropriation (previously unavailable) .....	1	1	.....
1221 Appropriations transferred from other acct [016-0174] .....	.....	13	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	18	31	18
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,340	3,552	3,586
1701 Change in uncollected payments, Federal sources .....	177	.....	.....
1710 Spending authority from offsetting collections transferred to other accounts [016-0165] .....	-9	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3,508	3,552	3,586
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (EUC08) .....	30	.....	.....
1801 Change in uncollected payments, Federal sources .....	-42	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	-12	.....	.....
1900 Budget authority (total) .....	3,596	3,672	3,701
1930 Total budgetary resources available .....	3,709	3,742	3,774
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	70	73	104
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,168	1,958	1,982
3010 Obligations incurred, unexpired accounts .....	3,639	3,669	3,670
3011 Obligations incurred, expired accounts .....	36	.....	.....
3020 Outlays (gross) .....	-3,826	-3,645	-4,105
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-34	.....	.....
3050 Unpaid obligations, end of year .....	1,958	1,982	1,547
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,984	-1,691	-1,691
3070 Change in uncollected pymts, Fed sources, unexpired .....	-135	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	428	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1,691	-1,691	-1,691
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	184	267	291
3200 Obligated balance, end of year .....	267	291	-144
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,590	3,641	3,683

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	2,357	2,616	2,646
4011	Outlays from discretionary balances .....	1,418	1,011	1,441
4020	Outlays, gross (total) .....	3,775	3,627	4,087
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
	Federal sources .....	-643	-659	-659
4030	Federal sources .....	-20	-20	-20
4030	Federal sources .....	-48	-48	-49
4030	Federal sources .....	-14	-14	-14
4030	Federal sources .....		-1	-1
4030	Federal sources .....	-2,632	-2,645	-2,607
4030	Federal sources .....	-60	-95	-151
4030	Federal sources .....	-20	-20	-35
4030	Federal sources .....	-2	-50	-50
4040	Offsets against gross budget authority and outlays (total) ...	-3,439	-3,552	-3,586
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-177		
4052	Offsetting collections credited to expired accounts .....	99		
4060	Additional offsets against budget authority only (total) .....	-78		
4070	Budget authority, net (discretionary) .....	73	89	97
4080	Outlays, net (discretionary) .....	336	75	501
	Mandatory:			
4090	Budget authority, gross .....	6	31	18
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	12	18	18
4101	Outlays from mandatory balances .....	39		
4110	Outlays, gross (total) .....	51	18	18
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	-360		
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	42		
4142	Offsetting collections credited to expired accounts .....	330		
4150	Additional offsets against budget authority only (total) .....	372		
4160	Budget authority, net (mandatory) .....	18	31	18
4170	Outlays, net (mandatory) .....	-309	18	18
4180	Budget authority, net (total) .....	91	120	115
4190	Outlays, net (total) .....	27	93	519

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	91	120	115
Outlays .....	27	93	519
Legislative proposal, subject to PAYGO:			
Budget Authority .....			38
Outlays .....			38
Total:			
Budget Authority .....	91	120	153
Outlays .....	27	93	557

*Unemployment compensation.*—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-servicemembers as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and implement activities designed to reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administering the program resulting from increases in the number of unemployment claims filed and paid. The appropriation automatically provides additional funds whenever unemployment claims workloads increase above levels specified in the appropriations language.

**UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS**

	2014 actual	2015 actual	2016 est.	2017 est.
Staff years .....	35,645	35,035	34,976	34,900
Basic workload (in thousands):				
Employer tax accounts .....	7,635	7,757	7,845	7,930
Employee wage items recorded .....	620,778	639,712	648,868	657,733
Initial claims taken .....	17,119	14,869	15,551	15,497
Weeks claimed .....	143,124	121,454	118,844	116,167
Nonmonetary determinations .....	8,099	7,675	7,382	7,245
Appeals .....	1,514	1,386	1,280	1,199
Covered employment .....	132,929	135,820	137,764	139,646

*Employment service.*—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allotments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

**EMPLOYMENT SERVICE PROGRAM STATISTICS**

	2014 actual	2015 est.	2016 est.	2017 est.
Total participants (thousands) .....	14,522	14,522	14,867	14,867
Entered employment rate .....	60.4%	55.0%	55.6%	TBD
Cost per participant .....	45.74	45.74	45.74	45.74

Years are program years running from July 1 of the year indicated through June 30 of the following year.

*Foreign Labor Certification.*—This activity provides for the administration and operation of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural, and H-1B temporary highly skilled worker visas. The account is divided into Federal and State activities.

*Federal Administration.*—Federal Administration provides leadership, policy, budget, program operations including staffing (Federal and contractors), information technology, three national processing center facilities, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

*State grants.*—Provides grants to State workforce agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

*American Job Centers.*—These funds are used to support the joint Federal-State efforts to improve the comprehensive American Job Center system authorized under WIOA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

*National Agricultural Workers Survey fee.*—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews.

**Object Classification** (in millions of dollars)

Identification code 016-0179-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	21	22
12.1 Civilian personnel benefits .....	5	7	7
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	15	28	26
25.2 Other services from non-Federal sources .....	9	10	10
25.3 Other goods and services from Federal sources .....	8	9	9
25.7 Operation and maintenance of equipment .....	9	9	9
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,573	3,531	3,533
99.0 Direct obligations .....	3,638	3,618	3,619
99.0 Reimbursable obligations .....	1	51	51
99.9 Total new obligations .....	3,639	3,669	3,670

**Employment Summary**

Identification code 016-0179-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	165	182	182
1001 Direct civilian full-time equivalent employment .....	30	40	50

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-0179-4-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0015 FLC fees .....			38
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			38
1930 Total budgetary resources available .....			38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			38
3020 Outlays (gross) .....			-38
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			38
Outlays, gross:			
4100 Outlays from new mandatory authority .....			38
4180 Budget authority, net (total) .....			38
4190 Outlays, net (total) .....			38

The Budget proposes authorizing legislation to establish and retain fees to cover the costs of operating its foreign labor certification programs, which ensure that employers proposing to bring in immigrant workers have checked to ensure that domestic workers cannot meet their needs, and that immigrant workers are being compensated appropriately. The ability to charge fees for these programs would give the Department of Labor a more reliable, workload-based source of funding for this function (as the Department of Homeland Security has), and ultimately eliminate the need for discretionary appropriations. Specifically, the proposal would: 1) charge employer fees for its permanent labor certification program; 2) charge employer fees for H-2B non-agricultural workers; and 3) increase the H-2A agricultural worker application fee. In addition, while the H-2A fees are currently deposited in the General Fund, the Budget proposes to retain this revenue. The fee levels would be set in regulation to ensure that the amounts are subject to review.

**Object Classification** (in millions of dollars)

Identification code 016-0179-4-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....			29
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			2
25.7 Operation and maintenance of equipment .....			4
31.0 Equipment .....			1
41.0 Grants, subsidies, and contributions .....			1
99.9 Total new obligations .....			38

JOB DRIVEN TRAINING PROPOSALS  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-0171-4-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Apprenticeship Training Fund .....			400
0002 American Talent Compact .....			600
0003 Career Navigators .....			400
0004 Opening Doors for Youth .....			2,035
0900 Total new obligations (object class 41.0) .....			3,435
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			12,500
1930 Total budgetary resources available .....			12,500
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			9,065
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3,435
3020 Outlays (gross) .....			-3,435
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			12,500
Outlays, gross:			
4100 Outlays from new mandatory authority .....			3,435
4180 Budget authority, net (total) .....			12,500
4190 Outlays, net (total) .....			3,435

The Job-Driven Training Proposals include initiatives that equip workers with the skills they need to fill the in-demand jobs of the 21st century. The package includes the following proposals:

*Apprenticeship Training Fund.*—Provides \$2 billion over 5 years to help more employers provide high-quality on-the-job training through apprenticeship; to equip states and regions with the expertise and resources to assist employers in creating or expanding apprenticeships; and to provide groups historically under-represented in apprenticeship pathways to success in Registered Apprenticeship programs. This one time investment would help meet the President's goal to double the number of apprentices across the United States.

*American Talent Compact.*—A \$3 billion investment over 5 years to fund nearly half a million additional Americans to get access to training aligned to in-demand jobs in key regional sectors.

*Career Navigators and WIOA Workforce Data Science and Innovation Fund.*—A two-pronged \$2 billion investment over 5 years to provide Americans with the information they need to build their careers. \$1.5 billion will be provided to fund Career Navigators in American Job Centers who will proactively reach out to all long-term unemployed people, those who have dropped out of the labor force altogether, and people who are only able to find part time work and provide them with help looking for a job, identifying training options, and accessing additional supportive services. This proposal is paired with a \$190 million investment to provide in-person reemployment services to the one-third of Unemployment Insurance bene-

ficiaries most at risk of exhausting their benefits. An additional \$500 million will fund the creation of a Workforce Data Science and Innovation Fund, which will improve the quality and utility of existing data, curate those data to facilitate analysis, and create tools and products that connect consumers and policy makers to the resulting information.

*Opening Doors for Youth.*—A multi-faceted \$5.5 billion investment over 4 years to connect disadvantaged youth to educational and workforce pathways. \$1.5 billion will be provided by formula to support summer job opportunities while an additional \$2 billion will support first jobs for nearly 150,000 out-of-school, out-of-work youth. \$2 billion will be used to initiate a competitive grant program to municipalities to reengage disconnected youth by creating educational and workforce pathways for them.

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 016-0178-0-1-603	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Payments to EUCA .....	18		
0012 Payments to ESAA .....	43		
0900 Total new obligations (object class 41.0) .....	61		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	12		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	49		
1900 Budget authority (total) .....	61		
1930 Total budgetary resources available .....	61		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	61		
3020 Outlays (gross) .....	-61		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	61		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	49		
4101 Outlays from mandatory balances .....	12		
4110 Outlays, gross (total) .....	61		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-49		
4180 Budget authority, net (total) .....	12		
4190 Outlays, net (total) .....	12		

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. It is also the mechanism used to make general fund reimbursements for some or all of the benefits and administrative costs incurred for temporary Federal programs. These funds are transferred from the Payments to the Unemployment Trust Fund account to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 016-0168-0-1-603	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Benefits .....	31		
0003 Federal Administration .....	1		
0900 Total new obligations .....	31	1	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	2	2
1029 Other balances withdrawn to Treasury .....	-54		
1050 Unobligated balance (total) .....	-49	2	2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	30	1	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2		
1260 Appropriations, mandatory (total) .....	28	1	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	54		
1900 Budget authority (total) .....	82	1	
1930 Total budgetary resources available .....	33	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	4	2
3010 Obligations incurred, unexpired accounts .....	31	1	
3020 Outlays (gross) .....	-44	-3	-2
3050 Unpaid obligations, end of year .....	4	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	4	2
3200 Obligated balance, end of year .....	4	2	

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	82	1	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	
4101 Outlays from mandatory balances .....	44	2	2
4110 Outlays, gross (total) .....	44	3	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-54		
4180 Budget authority, net (total) .....	28	1	
4190 Outlays, net (total) .....	-10	3	2

Short Time Compensation (STC), also known as work sharing, is a layoff aversion strategy that enables workers to remain employed and employers to retain their trained staff during times of reduced business activity. The Middle Class Tax Relief and Job Creation Act of 2012 codified and expanded the definition of STC. Under the STC program, workers receive a percentage of the unemployment benefits they would have received if totally unemployed based upon the percentage of reduction in their hours of work. States that had been operating an STC program before enactment of the Act had two and a half years to amend their laws to conform to the new definition (the deadline for conformity was August 2014). As an incentive for states to enact state STC programs and promote the use of STC, the Act provided for 100 percent reimbursement of STC benefit costs paid under state law for up to 156 weeks, or three years (reimbursement is subject to sequestration). Grant funding was also available to states whose permanent STC laws meet the new Federal definition (the application deadline was December 31, 2014).

Object Classification (in millions of dollars)

Identification code 016-0168-0-1-603	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		1	
41.0 Grants, subsidies, and contributions .....	31		
99.9 Total new obligations .....	31	1	

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 016-1800-0-1-603	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Additional Unemployment Compensation Program, Recovery (Direct) .....	1		
0900 Total new obligations (object class 42.0) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1029 Other balances withdrawn to Treasury .....	-14	-3	-3
1050 Unobligated balance (total) .....	-11		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	12		
1900 Budget authority (total) .....	12		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	27	24
3010 Obligations incurred, unexpired accounts .....	1		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	27	24	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	27	24
3200 Obligated balance, end of year .....	27	24	21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	12		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-12		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-12		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, [2017] 2018. (Department of Labor Appropriations Act, 2016.)

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay

the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language for this included new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA account if it runs short of funds, and the borrowing authority will enable ESAA to cover its obligations despite seasonal variations in the account's receipts.

Advances were needed for the FUA and EUCA accounts in fiscal year 2014, and the Department estimates that no advances will be necessary in 2016 and 2017. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable advances to various accounts, the Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2017 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, [\$104,577,000] \$128,311,000, together with not to exceed [\$49,982,000] \$52,515,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 016-0172-0-1-504	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Workforce security .....	43	43	44
0004 Apprenticeship training, employer and labor services .....	34	34	40
0005 Executive direction .....	9	9	10
0006 Training & Employment Services .....	69	69	87
0799 Total direct obligations .....	155	155	181
0803 Reimbursable programs (DUA/E-grants/VOPAR/VRAP) .....	2	4	4
0900 Total new obligations .....	157	159	185
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	105	105	128
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (UTF) .....	50	50	53
1700 Collected (DUA/eGrants/Grants Management/TA to PA) .....	2	4	4
1750 Spending auth from offsetting collections, disc (total) .....	52	54	57
1900 Budget authority (total) .....	157	159	185
1930 Total budgetary resources available .....	157	159	185
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	24	24
3010 Obligations incurred, unexpired accounts .....	157	159	185
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-161	-159	-181
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	24	24	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	30	24	24
3200 Obligated balance, end of year .....	24	24	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	157	159	185
Outlays, gross:			
4010 Outlays from new discretionary authority .....	140	138	160
4011 Outlays from discretionary balances .....	21	21	21
4020 Outlays, gross (total) .....	161	159	181

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-52	-54	-57
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-53	-54	-57
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	105	105	128
4080	Outlays, net (discretionary) .....	108	105	124
4180	Budget authority, net (total) .....	105	105	128
4190	Outlays, net (total) .....	108	105	124

This account provides for the Federal administration of Employment and Training Administration programs.

*Training and Employment services.*— Training and Employment services provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults, youth and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

*Workforce security.*—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

*Office of Apprenticeship.*—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs. The office will broaden the reach of Registered Apprenticeship programs across the US in part through its grant funding.

*Executive direction.*—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

**Object Classification** (in millions of dollars)

Identification code 016-0172-0-1-504				
	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	73	74	77
11.3	Other than full-time permanent .....		1	6
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	74	76	84
12.1	Civilian personnel benefits .....	24	22	24
21.0	Travel and transportation of persons .....	2	2	3
23.1	Rental payments to GSA .....	9	9	10
23.3	Communications, utilities, and miscellaneous charges .....	1	2	1
24.0	Printing and reproduction .....	1		1
25.1	Advisory and assistance services .....	2	2	2
25.2	Other services from non-Federal sources .....	4	2	3
25.3	Other goods and services from Federal sources .....	26	20	22
25.4	Operation and maintenance of facilities .....			1
25.7	Operation and maintenance of equipment .....	11	20	29
26.0	Supplies and materials .....	1		
31.0	Equipment .....			1
99.0	Direct obligations .....	155	155	181
99.0	Reimbursable obligations .....	2	4	4
99.9	Total new obligations .....	157	159	185

**Employment Summary**

Identification code 016-0172-0-1-504				
	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	731	750	812

2001	Reimbursable civilian full-time equivalent employment .....	6	19	26
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**WORKERS COMPENSATION PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 016-0170-0-1-806				
	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	6	1
3020	Outlays (gross) .....	-8	-5	
3050	Unpaid obligations, end of year .....	6	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	14	6	1
3200	Obligated balance, end of year .....	6	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	8	5	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	8	5	

*Workers Compensation Programs.*—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

**ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND**

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

**Trust Funds**

**UNEMPLOYMENT TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 016-8042-0-7-999				
	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	32,190	40,891	51,511
0198	Reconciliation adjustment .....	-95		
0199	Balance, start of year .....	32,095	40,891	51,511
Receipts:				
Current law:				
1110	General Taxes, FUTA, Unemployment Trust Fund .....	8,926	8,399	8,113
1110	Unemployment Trust Fund, State Accounts, Deposits by States .....	42,177	41,354	40,570
1110	Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	75	121	134
1130	Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	258	110	36
1140	Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	775	767	736
1140	Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	12		
1140	Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	890	1,143	1,341
1199	Total current law receipts .....	53,113	51,894	50,930
Proposed:				
1210	General Taxes, FUTA, Unemployment Trust Fund .....			1,466

UNEMPLOYMENT TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 016-8042-0-7-999	2015 actual	2016 est.	2017 est.
1210 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
1299 Total proposed receipts .....			1,473
1999 Total receipts .....	53,113	51,894	52,403
2000 Total: Balances and receipts .....	85,208	92,785	103,914
Appropriations:			
Current law:			
2101 Unemployment Trust Fund .....	-3,868	-3,856	-3,896
2101 Unemployment Trust Fund .....	-49,166	-41,971	-39,167
2101 Railroad Unemployment Insurance Trust Fund .....	-18	-28	-28
2101 Railroad Unemployment Insurance Trust Fund .....	-60	-117	-124
2103 Unemployment Trust Fund .....	-56		
2103 Railroad Unemployment Insurance Trust Fund .....	-26		
2132 Unemployment Trust Fund .....	38		
2134 Unemployment Trust Fund .....	8,814	4,686	3,560
2134 Railroad Unemployment Insurance Trust Fund .....	4	12	11
2199 Total current law appropriations .....	-44,338	-41,274	-39,644
Proposed:			
2201 Unemployment Trust Fund .....			133
2201 Unemployment Trust Fund .....			-2,963
2298 Reconciliation adjustment .....	21		
2299 Total proposed appropriations .....	21		-2,830
2999 Total appropriations .....	-44,317	-41,274	-42,474
5099 Balance, end of year .....	40,891	51,511	61,440

## Program and Financing (in millions of dollars)

Identification code 016-8042-0-7-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....	31,173	31,566	31,335
0002 Federal employees' unemployment compensation .....	766	767	725
0003 State administrative expenses .....	3,454	3,392	3,472
0010 Direct expenses .....	183	189	194
0011 Reimbursements to the Department of the Treasury .....	71	67	73
0020 Veterans employment and training .....	232	233	236
0021 Interest on FUTA refunds .....	1	1	1
0022 Interest on General Fund Advances .....	393	210	90
0900 Total new obligations .....	36,273	36,425	36,126
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			37
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3,868	3,856	3,896
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	49,166	41,971	39,167
1203 Appropriation (previously unavailable) .....	56		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-38		
1234 Appropriations precluded from obligation .....	-8,814	-4,686	-3,560
1236 Appropriations applied to repay debt .....	-7,965	-4,679	-3,377
1260 Appropriations, mandatory (total) .....	32,405	32,606	32,230
Borrowing authority, mandatory:			
1400 Borrowing authority .....	300		
1422 Borrowing authority applied to repay debt .....	-300		
1900 Budget authority (total) .....	36,273	36,462	36,126
1930 Total budgetary resources available .....	36,273	36,462	36,163
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		37	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,695	3,407	2,579
3010 Obligations incurred, unexpired accounts .....	36,273	36,425	36,126
3020 Outlays (gross) .....	-36,561	-37,253	-37,021
3050 Unpaid obligations, end of year .....	3,407	2,579	1,684
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,695	3,407	2,579
3200 Obligated balance, end of year .....	3,407	2,579	1,684

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	3,868	3,856	3,896
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,211	2,879	2,914
4011 Outlays from discretionary balances .....	1,984	1,763	1,877
4020 Outlays, gross (total) .....	4,195	4,642	4,791
Mandatory:			
4090 Budget authority, gross .....	32,405	32,606	32,230
Outlays, gross:			
4100 Outlays from new mandatory authority .....	32,366	32,611	32,230
4180 Budget authority, net (total) .....	36,273	36,462	36,126
4190 Outlays, net (total) .....	36,561	37,253	37,021
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	35,919	44,368	54,434
5001 Total investments, EOY: Federal securities: Par value .....	44,368	54,434	66,783
5080 Outstanding debt, SOY .....	-20,767	-12,802	-8,123
5081 Outstanding debt, EOY .....	-12,802	-8,123	-4,746
5082 Borrowing .....	-300		

## Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	36,273	36,462	36,126
Outlays .....	36,561	37,253	37,021
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-133
Outlays .....			-133
Legislative proposal, subject to PAYGO:			
Budget Authority .....			2,963
Outlays .....			2,963
Total:			
Budget Authority .....	36,273	36,462	38,956
Outlays .....	36,561	37,253	39,851

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax. The American Recovery and Reinvestment Act (Public Law 111-5), and subsequent legislation, temporarily made EB 100 percent federally financed. Temporary Federal EB programs, including the recently expired Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds appropriation.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from

the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

**Status of Funds** (in millions of dollars)

Identification code 016-8042-0-7-999	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	15,151	31,606	46,114
0999 Total balance, start of year .....	15,151	31,606	46,114
Cash income during the year:			
Current law:			
Receipts:			
1110 General Taxes, FUTA, Unemployment Trust Fund .....	8,926	8,399	8,113
1110 Unemployment Trust Fund, State Accounts, Deposits by States .....	42,177	41,354	40,570
1110 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	75	121	134
1130 Railroad Unemployment Insurance Trust Fund .....	16	17	19
1150 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	890	1,143	1,341
1150 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	258	110	36
1160 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	775	767	736
1160 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	12		
1160 Railroad Unemployment Insurance Trust Fund .....	1		
1199 Income under present law .....	53,130	51,911	50,949
Proposed:			
1210 General Taxes, FUTA, Unemployment Trust Fund .....			
1210 General Taxes, FUTA, Unemployment Trust Fund .....			1,466
1210 Unemployment Trust Fund, State Accounts, Deposits by States .....			
1210 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
1210 Unemployment Trust Fund, State Accounts, Deposits by States .....			
1210 Unemployment Trust Fund, State Accounts, Deposits by States .....			
1299 Income proposed .....			1,473
1999 Total cash income .....	53,130	51,911	52,422
Cash outgo during the year:			
Current law:			
2100 Unemployment Trust Fund [012-05-8042-0] .....	-36,561	-37,253	-37,021
2100 Railroad Unemployment Insurance Trust Fund [446-00-8051-0] .....	-113	-150	-160
2199 Outgo under current law .....	-36,674	-37,403	-37,181
Proposed:			
2200 Unemployment Trust Fund .....			133
2200 Unemployment Trust Fund .....			-2,963
2299 Outgo under proposed legislation .....			-2,830
2999 Total cash outgo (-) .....	-36,674	-37,403	-40,011
Surplus or deficit:			
3110 Excluding interest .....	15,308	13,255	11,034
3120 Interest .....	1,148	1,253	1,377
3199 Subtotal, surplus or deficit .....	16,456	14,508	12,411
3298 Rounding adjustment .....	-1		
3299 Total adjustments .....	-1		
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-12,762	-8,320	-8,403
4200 Unemployment Trust Fund .....	44,368	54,434	66,783
4200 Unemployment Trust Fund .....			133
4200 Unemployment Trust Fund .....			12
4999 Total balance, end of year .....	31,606	46,114	58,525

**Object Classification** (in millions of dollars)

Identification code 016-8042-0-7-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury .....	72	67	73
42.0 FECA (Federal Employee) Benefits .....	828	767	725
42.0 State unemployment benefits .....	31,093	31,566	31,335
43.0 Interest and dividends .....	410	211	90
94.0 ETA-PA, BLS, FLC .....	183	183	189
94.0 Veterans employment and training .....	232	233	236
94.0 Payments to States for administrative expenses .....	3,449	3,392	3,472

94.0	Departmental management .....	6	6	6
99.9	Total new obligations .....	36,273	36,425	36,126

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-8042-2-7-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		-133
1930	Total budgetary resources available .....		-133
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-133
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020	Outlays (gross) .....		133
3050	Unpaid obligations, end of year .....		133
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		133
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-133
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-133
4180	Budget authority, net (total) .....		-133
4190	Outlays, net (total) .....		-133
<b>Memorandum (non-add) entries:</b>			
5001	Total investments, EOY: Federal securities: Par value .....		133

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-8042-4-7-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		2,963
1930	Total budgetary resources available .....		2,963
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		2,963
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020	Outlays (gross) .....		-2,963
3050	Unpaid obligations, end of year .....		-2,963
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		-2,963
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		2,963
Outlays, gross:			
4100	Outlays from new mandatory authority .....		2,963
4180	Budget authority, net (total) .....		2,963
4190	Outlays, net (total) .....		2,963
<b>Memorandum (non-add) entries:</b>			
5001	Total investments, EOY: Federal securities: Par value .....		12

*Unemployment Insurance (UI) Reform.*—The Budget proposes a cost-neutral suite of reforms to update the UI program so that it reflects the modern economy and workforce. These reforms will mean that more workers will have access to UI if they lose a job, and will strengthen the program's connection to work, protect workers if they have to take a pay cut when starting a new job, make the UI program more responsive to economic downturns, and improve the solvency of State programs. Specifically, the Budget:

UNEMPLOYMENT TRUST FUND—Continued

Ensures that benefits are available to more workers who need them, including part-time workers, newer labor market entrants, certain low-income and intermittent earners, and workers who leave work for compelling family reasons (like to escape domestic violence).

Restores the standard UI maximum benefit duration to 26 weeks to give workers a meaningful opportunity to get back on their feet.

Establishes wage insurance for workers with at least three years of job tenure who lose their jobs and who take a new job that, at least initially, pays less than their prior job and less than \$50,000 per year.

Expands Short-Time Compensation (STC), which encourages employers to avoid layoffs by temporarily reducing workers' hours when their need for labor falls by providing employees with a partial UI benefit to help compensate for their lower wages.

Creates a new Extended Benefits program to provide up to 52 weeks of additional Federally-funded benefits for States seeing increased and high unemployment, with the number of weeks tied to the State's unemployment rate.

Improves UI system solvency by helping States rebuild their trust fund balances to repay their loans, cover current benefits, and create reserves so they are better prepared to weather the next economic downturn.

*Reemployment Services and Eligibility Assessments (RESEA).*—The Administration proposes a program integrity cap adjustment for 2017 to fund RESEAs for claimants identified as most likely to exhaust benefits. These assessments and supplemental services help ensure that benefits go only to eligible claimants and that they get the services they need to return to work. Beginning in 2018, the Budget proposes to provide mandatory funding for States to provide RESEAs to the one-third of claimants identified as most likely to exhaust benefits as well as to all returning service-members who receive UI benefits.

*UI Program Integrity.*—The Administration proposes a broad package of proposals aimed at improving the integrity of the UI program. Included in this package are proposals to: allow for data disclosure to contractors for the Treasury Offset Program; expand State use of the Separation Information Data Exchange System (SIDES), which already improves program integrity by allowing States and employers to exchange information on reasons for a claimant's separation from employment and thereby helping States to determine UI eligibility; mandate the use of the National Directory of New Hires to conduct cross-matches for program integrity purposes; allow the Secretary to set corrective action measures for poor State performance; require States to cross-match claimants against the Prisoner Update Processing System (PUPS), which is currently used by some States; and allow States to retain five percent of overpayment and tax investigation recoveries to fund program integrity activities.

Program and Financing (in millions of dollars)

Identification code 016-1700-0-1-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Enforcement and participant assistance .....	147	147	.....
0002 Policy and compliance assistance .....	27	27	.....
0003 Executive leadership, program oversight and administration ...	6	7	.....
0004 Employee Benefits Security Programs (FY 17) .....	.....	.....	206
0799 Total direct obligations .....	180	181	206
0801 Salaries and Expenses (Reimbursable) .....	6	8	8
0900 Total new obligations .....	186	189	214
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	181	181	206
Spending authority from offsetting collections, discretionary:			
1700 Collected: Federal Sources .....	3	8	8
1701 Change in uncollected payments, Federal sources .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	6	8	8
1900 Budget authority (total) .....	187	189	214
1930 Total budgetary resources available .....	187	189	214
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	47	47
3010 Obligations incurred, unexpired accounts .....	186	189	214
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-191	-189	-207
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	47	47	54
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	44	44
3200 Obligated balance, end of year .....	44	44	51
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	187	189	214
Outlays, gross:			
4010 Outlays from new discretionary authority .....	155	142	160
4011 Outlays from discretionary balances .....	36	47	47
4020 Outlays, gross (total) .....	191	189	207
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-8	-8
4040 Offsets against gross budget authority and outlays (total) ...	-3	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
4070 Budget authority, net (discretionary) .....	181	181	206
4080 Outlays, net (discretionary) .....	188	181	199
4180 Budget authority, net (total) .....	181	181	206
4190 Outlays, net (total) .....	188	181	199

EMPLOYEE BENEFITS SECURITY ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, **[\$181,000,000]** \$205,761,000, of which not less than \$3,000,000 shall be made available through September 30, 2018, for the procurement of expert witnesses for enforcement litigation: Provided, That \$6,500,000 shall be made available through September 30, 2018, to assist in the start-up of retirement savings programs in states: Provided further, That, with respect to the previous proviso, the Secretary is authorized to transfer these amounts to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor for grants to states and is authorized to grant a temporary waiver of the preemption provisions of Section 514 of the Employee Retirement Income Security Act of 1974 until September 30, 2018. (Department of Labor Appropriations Act, 2016.)

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	181	181	206
Outlays .....	188	181	199
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	100
Outlays .....	.....	.....	25
Total:			
Budget Authority .....	181	181	306
Outlays .....	188	181	224

*Employee Benefits Security Programs*<sup>2</sup> - Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act (FERSA). Assures compliance with ap-

pliable reporting, disclosure and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public. Conducts policy, research, and legislative analysis on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations. Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities.

	2015 actual	2016 est. <sup>1</sup>	2017 est.
<b>EMPLOYEE BENEFITS AND SECURITY PROGRAMS<sup>2</sup></b>			
Investigations conducted .....	2,716	N/A	N/A <sup>3</sup>
Participant benefit recoveries and plan assets restored .....	\$668,172,000 <sup>4</sup>	\$443,100,000	\$443,100,000
Investigative time for major enforcement cases .....	18.0%	15.0%	15.0%
Civil cases closed or referred for litigation within 30 months .....	84.0%	86.0%	86.0% <sup>5</sup>
Criminal cases closed or referred for prosecution within 18 months .....	87.0%	75.0%	75.0% <sup>5</sup>
Other civil cases closed or referred for litigation within 18 months .....	71.0%	69.0%	69.0% <sup>5</sup>
Inquiries received .....	201,894	250,000	250,000
Reporting compliance reviews .....	4,020	4,330	4,330
Exemptions, determinations, interpretations and regulations issued .....	3,302	3,275	3,284 <sup>6</sup>
Average days to process exemption requests .....	345	300	300

<sup>1</sup> Reflects a revision of original FY 2016 estimates based on enacted amounts pursuant to P.L. 114-113.

<sup>2</sup> Reflects the consolidation of budget activities for Enforcement and Participant Assistance, Policy and Compliance Assistance, and Executive Leadership, Program Oversight and Administration into a single budget activity for Employee Benefits Security Programs.

<sup>3</sup> The agency continues its efforts to improve the quality and impact of its investigations and will place special emphasis on the timely conduct and referral of cases, as well as the impact of its investigations (e.g., the amounts recovered for plan participants and beneficiaries). While the agency will continue to report the total number of investigations conducted, it will no longer make projections of the raw number of investigations.

<sup>4</sup> Reflects over \$476 million in participant benefit recoveries, nearly \$185 million in plan assets restored and over \$7 million in participant health plan recoveries.

<sup>5</sup> Enforcement measures that reflect the emphasis shift to timely conduct and referral of cases for litigation or prosecution (excludes Major Cases).

<sup>6</sup> Includes Multiple Employer Welfare Arrangement (MEWA) registration.

**Object Classification** (in millions of dollars)

Identification code 016-1700-0-1-601	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	89	91	95
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	91	93	97
12.1 Civilian personnel benefits .....	29	29	31
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	11	11	12
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	7	7	17
25.3 Other goods and services from Federal sources .....	19	17	18
25.5 Research and development contracts .....	5	4	5
25.7 Operation and maintenance of equipment .....	11	14	20
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	180	181	206
99.0 Reimbursable obligations .....	6	8	8
99.9 Total new obligations .....	186	189	214

**Employment Summary**

Identification code 016-1700-0-1-601	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	962	949	965

**SALARIES AND EXPENSES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-1700-4-1-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Multiple-Employer Benefits Pilots .....			100
0900 Total new obligations (object class 41.0) .....			100
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			100
1930 Total budgetary resources available .....			100
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			100
3020 Outlays (gross) .....			-25
3050 Unpaid obligations, end of year .....			75
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			75
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			100
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			25
4180 Budget authority, net (total) .....			100
4190 Outlays, net (total) .....			25

The Administration seeks a \$100,000,000 legislative proposal that will further expand access to retirement accounts and sow the seeds for future models that provide portable benefits coverage across multiple employers through: (1) innovation grants to spur the provision of new multiple-employer benefit models and (2) the creation of open multiple employer plans (open MEPS).

**PENSION BENEFIT GUARANTY CORPORATION**

**Federal Funds**

**PENSION BENEFIT GUARANTY CORPORATION FUND**

The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, [2016]2017, for the Corporation: *Provided*, That none of the funds available to the Corporation for fiscal year [2016]2017 shall be available for obligations for administrative expenses in excess of [\$431,799,000] \$421,006,000: *Provided further*, That an amount not to exceed an additional \$98,500,000 shall be available through September 30, 2021, for costs associated with the acquisition, occupancy, and related costs of headquarters space: *Provided further*, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year [2016]2017, an amount not to exceed an additional \$9,200,000 shall be available through September 30, [2017]2018, for obligation for administrative expenses for every 20,000 additional terminated participants: *Provided further*, That obligations in excess of the amounts provided in this paragraph may be incurred for unforeseen and extraordinary pretermination expenses or extraordinary multiemployer program related expenses after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate. (Department of Labor Appropriations Act, 2016.)

PENSION BENEFIT GUARANTY CORPORATION FUND—Continued  
Program and Financing (in millions of dollars)

Identification code 016-4204-0-3-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Single-employer benefit payment .....	5,506	6,280	7,195
0802 Multiemployer financial assistance .....	103	250	315
0803 Pension insurance activities .....	71		
0804 Pension plan termination .....	148		
0805 Operational support .....	150		
0806 Administrative Expenses .....		432	520
0807 Investment Management Fees .....	96	104	113
0900 Total new obligations .....	6,074	7,066	8,143
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16,930	18,004	22,568
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7,148	11,630	11,423
1802 Offsetting collections (previously unavailable) .....	9	9	9
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-9	-9	
1850 Spending auth from offsetting collections, mand (total) .....	7,148	11,630	11,432
1930 Total budgetary resources available .....	24,078	29,634	34,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18,004	22,568	25,857
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	226	216	11
3010 Obligations incurred, unexpired accounts .....	6,074	7,066	8,143
3020 Outlays (gross) .....	-6,084	-7,271	-8,142
3050 Unpaid obligations, end of year .....	216	11	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	226	216	11
3200 Obligated balance, end of year .....	216	11	12
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7,148	11,630	11,432
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5,907	7,066	8,142
4101 Outlays from mandatory balances .....	177	205	
4110 Outlays, gross (total) .....	6,084	7,271	8,142
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Cash Investment Receipts .....	-1,032	-647	-776
4123 Non-Federal sources .....	-6,116	-10,983	-10,647
4130 Offsets against gross budget authority and outlays (total) ....	-7,148	-11,630	-11,423
4160 Budget authority, net (mandatory) .....			9
4170 Outlays, net (mandatory) .....	-1,064	-4,359	-3,281
4180 Budget authority, net (total) .....			9
4190 Outlays, net (total) .....	-1,064	-4,359	-3,281
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	17,444	18,492	23,057
5001 Total investments, EOY: Federal securities: Par value .....	18,492	23,057	26,339
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	9	9	9
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	9	9	

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....			9
Outlays .....	-1,064	-4,359	-3,281
Legislative proposal, subject to PAYGO:			
Outlays .....			-1,083
Total:			
Budget Authority .....			9
Outlays .....	-1,064	-4,359	-4,364

The Pension Benefit Guaranty Corporation (PBGC) is a Federal corporation established under the Employee Retirement Income Security Act of 1974, as amended. It guarantees payment of basic pension benefits earned by more than 40,000,000 American workers in two separate insurance

programs. The single-employer program protects about 30,000,000 workers and retirees in over 22,000 pension plans. The multiemployer program protects over 10,000,000 workers and retirees in about 1,400 pension plans. The Corporation receives no funds from general tax revenues. Operations are financed by insurance premiums paid by companies that sponsor defined benefit pension plans, investment income, and assets from terminated plans. PBGC is requesting \$519,506,000 in spending authority for administrative purposes in 2017. The request includes costs to acquire a new lease for headquarters space, funds for the Office of Inspector General for additional Financial Statement Audit work, inflationary increases and a restoration of the 2016 sequestration cuts.

*Plan Preservation Efforts:* PBGC tries, first, to preserve plans and keep pension promises in the hands of the employers who make them. When companies undertake major transactions that might threaten their ability to pay pensions, PBGC negotiates protections for their pension plans. Last year, PBGC negotiated with dozens of companies, both in bankruptcy and otherwise, to preserve their plans. In 2015, PBGC

—Helped to protect 16,000 people by encouraging companies to keep their plans when they emerged from bankruptcy

—Negotiated almost \$563,000,000 in financial assurance to protect more than 116,000 people in plans at risk from corporate transactions

—Conducted compliance reviews of plan sponsor calculations for plans that end through a standard termination, resulting in almost 1,500 participants receiving corrected benefit amounts totaling \$5,800,000

*Stepping in to Insure Pensions When Plans Fail:* When plans do fail, PBGC steps in to ensure that a portion of benefits continue to be paid. Over the years, PBGC has become responsible for almost 1,500,000 people in nearly 4,800 failed plans. In 2015, PBGC:

—Paid \$5,600,000,000 to almost 826,000 retirees in more than 4,700 failed single-employer plans (an additional 560,000 workers will receive timely and accurate benefits when they retire)

—Provided \$103,000,000 in financial assistance to 57 multiemployer pension plans covering the benefits of 54,000 retirees (an additional 25,000 workers in these multiemployer plans will receive guaranteed benefits when they retire) over the past year

—Assumed responsibility for more than 25,000 people in 65 trusted single-employer plans

PROGRAM ACTIVITIES:

*Single-employer benefit payments.*—The single-employer program protects about 30,000,000 workers and retirees in about 22,000 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or PBGC may seek termination. The PBGC must seek termination when a plan cannot pay current benefits. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress, such as proving that continuing the plan would force the company to go out of business. If a terminated plan cannot pay at least the PBGC-guaranteed level of benefits, PBGC uses its funds to ensure that guaranteed benefits are paid. A sponsor may terminate a plan in a "standard" termination only if plan assets are sufficient to pay all benefits. In a standard termination, the sponsor closes out the plan by purchasing annuities from an insurance company or by paying benefits in a lump sum. After a standard termination, the PBGC guarantee ends.

*Multiemployer financial assistance.*—The multiemployer insurance program protects over 10,000,000 workers and retirees in about 1,400 pension plans. Multiemployer pension plans are maintained under collective bargaining agreements involving unrelated employers, generally of the same industry. If a PBGC insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance (a loan to the plan) to continue paying guaranteed benefits.

*Investment management fees.*—PBGC contracts with professional financial services corporations to manage Trust Fund assets in accordance with an investment strategy approved by PBGC's Board of Directors. Investment management fees are determined by the amount of assets under management. They are a direct, programmatic expense required to maintain the

Trust Fund which supports single-employer benefit payments. PBGC expects to pay \$113,484,000 in investment management fees in 2017.

**ADMINISTRATIVE ACTIVITIES:**

PBGC's administrative activities comprise all expenditures and operations that support:

- Direct benefit payments to pension plan participants
- Direct financial assistance to distressed multiemployer pension plans
- Investment management fees

These operations include premium collections, purchase of U.S. Treasury securities using premium receipts, pre-trusteeship work, efforts to preserve pension plans, recovery of assets from former plan sponsors, and pension insurance program protection activities. This area also covers the expenditures that support activities related to trusteeship; plan asset management (excluding investment management fees) and trust accounting; as well as benefit payments and administration services. Finally, this area includes the administrative functions covering administrative and legal support, information technology infrastructure, and other shared program support for both PBGC's insurance and plan termination activities. These funds support the operations of the Pension and Plan Sponsor Advocate as well as the Inspector General and funding to support the required functions and efforts of that office, including training and participation in Council of the Inspector Generals on Integrity and Efficiency (CIGIE) activities.

**Object Classification** (in millions of dollars)

Identification code 016-4204-0-3-601	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	104	113	113
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	2	4	4
11.9 Total personnel compensation .....	107	118	119
12.1 Civilian personnel benefits .....	32	32	33
21.0 Travel and transportation of persons .....	1	2	2
23.2 Rental payments to others .....	28	29	29
23.3 Communications, utilities, and miscellaneous charges .....	5	6	6
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	96	104	113
25.2 Other services from non-Federal sources .....	184	231	317
25.3 Other goods and services from Federal sources .....	4	4	4
26.0 Supplies and materials .....	2	3	3
31.0 Equipment .....	5	6	6
33.0 Investments and loans .....	103	250	315
42.0 Insurance claims and indemnities .....	5,506	6,280	7,195
99.9 Total new obligations .....	6,074	7,066	8,143

**Employment Summary**

Identification code 016-4204-0-3-601	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	939	942	942

**PENSION BENEFIT GUARANTY CORPORATION FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-4204-4-3-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0802 Multiemployer financial assistance .....			160
0900 Total new obligations (object class 33.0) .....			160
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			1,243
1930 Total budgetary resources available .....			1,243
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			1,083
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			160

3020 Outlays (gross) .....			-160
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			1,243
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			160
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4121 Interest on Federal securities: .....			-23
4123 Non-Federal sources: .....			-1,220
4130 Offsets against gross budget authority and outlays (total) ....			-1,243
4170 Outlays, net (mandatory) .....			-1,083
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-1,083
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			1,083

Both the single-employer and multiemployer programs are underfunded, with combined liabilities exceeding assets by \$76 billion at the end of 2015. While the single-employer program's financial position is projected to improve over the next 10 years, the multiemployer program is projected to run out of funds in 2024. The Budget proposes to give the PBGC Board the authority to adjust premiums. The Budget directs the Board to raise \$15 billion in additional premium revenue within the Budget window only from the multiemployer program. This level of multiemployer premium revenue would nearly eliminate the risk of the multiemployer program becoming insolvent within 20 years.

**EMPLOYMENT STANDARDS ADMINISTRATION**

*Federal Funds*

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 016-0105-0-1-505	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	7	7
3011 Obligations incurred, expired accounts .....	3		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	7	7	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	7	7
3200 Obligated balance, end of year .....	7	7	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

In 2010, the Department of Labor abolished the Employment Standards Administration (ESA) to streamline administration of the programs. As the Department was reinvigorating its enforcement of worker protection laws, this reorganization supported the Administration's Worker Protection efforts by eliminating redundant management efforts by elevating program issues directly to the Secretarial level. It also reflected the importance of these programs and increased enforcement supporting the Secretary's Worker Protection goals. The Consolidated Appropriations Act, 2012 (P.L. 112-74) accepted the Administration's proposal to replace the appropriation for the Employment and Standards Administration by four individual appropriations for the component agencies and offices previously under the heading "Employment Standards Administration Salaries and Expenses." In the 2014 Budget, funding was requested separately for the Office of Workers' Compensation Programs, Wage and Hour Division, Office of Federal Contract Compliance Programs, and Office of Labor-Management Standards.

**OFFICE OF WORKERS' COMPENSATION PROGRAMS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Office of Workers' Compensation Programs, **[\$113,324,000]** \$123,982,000, together with \$2,177,000 which may be expended from the Special Fund in accordance with sections 39(c), 44(d), and 44(j) of the Longshore and Harbor Workers' Compensation Act. (*Department of Labor Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0163-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Federal programs for workers' compensation .....	112	115	126
0801 Trust Funds, Federal Programs for Workers' Compensation .....	31	33	38
0900 Total new obligations .....	143	148	164
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	111	113	124
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	33	35	40
1900 Budget authority (total) .....	144	148	164
1930 Total budgetary resources available .....	144	149	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	14	16
3010 Obligations incurred, unexpired accounts .....	143	148	164
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-142	-146	-163
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	14	16	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	14	16
3200 Obligated balance, end of year .....	14	16	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	144	148	164
Outlays, gross:			
4010 Outlays from new discretionary authority .....	132	137	152
4011 Outlays from discretionary balances .....	10	9	11
4020 Outlays, gross (total) .....	142	146	163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-33	-35	-40
4180 Budget authority, net (total) .....	111	113	124
4190 Outlays, net (total) .....	109	111	123

The Office of Workers' Compensation Programs (OWCP) administers the Federal Employees' Compensation Act (FECA), the Longshore and Harbor Workers' Compensation Act, the Energy Employees Occupational Illness Compensation Program Act (EEOICPA), and the Black Lung Benefits Act (Black Lung). These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services, including vocational rehabilitation, supervision of medical care, and technical and advisory counseling, to which they are entitled.

The Administration is working to address longstanding Government Accountability Office and Labor Inspector General recommendations to improve program integrity by cross-matching Office of Workers' Compensation Programs records with Social Security records. Such matching has the potential to reduce improper payments in the FECA, Black Lung, and EEOICPA programs by improving reporting of outside income for purposes of calculating benefit levels.

**Object Classification** (in millions of dollars)

Identification code 016-0163-0-1-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	67	70	76
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	68	71	77
12.1 Civilian personnel benefits .....	22	22	25
23.1 Rental payments to GSA .....	7	7	8
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	8	9	10
25.7 Operation and maintenance of equipment .....	3	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	112	115	126
99.0 Reimbursable obligations .....	31	33	38
99.9 Total new obligations .....	143	148	164

**Employment Summary**

Identification code 016-0163-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	937	959	1,017

**SPECIAL BENEFITS**

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by 5 U.S.C. 81; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; [section] sections 4(c) and 5(f) of the War Claims Act of 1948 (50 U.S.C. App. [2004] 2012); obligations incurred under the War Hazards Compensation Act (42 U.S.C. 1701 et seq.); and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, **[\$210,000,000]** \$220,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year, for deposit into and to assume the attributes of the Employees' Compensation Fund established under 5 U.S.C. 8147(a): *Provided*, That amounts appropriated may be used under 5 U.S.C. 8104 by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a re-employed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, [2015] 2016, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under 5 U.S.C. 8147(c) to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, [2016] 2017: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, **[\$62,170,000]** \$63,975,000 shall be made available to the Secretary as follows:

- (1) For enhancement and maintenance of automated data processing systems operations and telecommunications systems, **[\$21,140,000]** \$22,740,000;
- (2) For automated workload processing operations, including document imaging, centralized mail intake, and medical bill processing, \$22,968,000;
- (3) For periodic roll disability management and medical review, **[\$16,668,000]** \$16,866,000;
- (4) For program integrity, **[\$1,394,000]** \$1,401,000; and
- (5) The remaining funds shall be paid into the Treasury as miscellaneous receipts: *Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under 5 U.S.C. 81, or the Longshore and Harbor Workers' Compensation Act, provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (*Department of Labor Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 016–1521–0–1–600	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Longshore and harbor workers' compensation benefits .....	3	3	3
0002 Federal Employees' Compensation Act benefits .....	207	207	217
0799 Total direct obligations .....	210	210	220
0801 Federal Employees' Compensation Act benefits .....	2,980	3,243	3,278
0802 FECA Fair Share (administrative expenses) .....	60	62	71
0899 Total reimbursable obligations .....	3,040	3,305	3,349
0900 Total new obligations .....	3,250	3,515	3,569
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,346	1,291	1,036
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1,347	1,291	1,036
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	210	210	220
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,003	3,050	3,037
1801 Change in uncollected payments, Federal sources .....	-19		
1850 Spending auth from offsetting collections, mand (total) .....	2,984	3,050	3,037
1900 Budget authority (total) .....	3,194	3,260	3,257
1930 Total budgetary resources available .....	4,541	4,551	4,293
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,291	1,036	724
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	167	176	568
3010 Obligations incurred, unexpired accounts .....	3,250	3,515	3,569
3020 Outlays (gross) .....	-3,240	-3,123	-3,277
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	176	568	860
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-21	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	19		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	146	174	566
3200 Obligated balance, end of year .....	174	566	858
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3,194	3,260	3,257
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3,193	3,093	3,257
4101 Outlays from mandatory balances .....	47	30	20
4110 Outlays, gross (total) .....	3,240	3,123	3,277
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-3,050	-3,037
4123 Non-Federal sources .....	-3,003		
4130 Offsets against gross budget authority and outlays (total) .....	-3,003	-3,050	-3,037
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	19		
4160 Budget authority, net (mandatory) .....	210	210	220
4170 Outlays, net (mandatory) .....	237	73	240
4180 Budget authority, net (total) .....	210	210	220
4190 Outlays, net (total) .....	237	73	240

*Federal Employees' Compensation Act benefits.*—The Federal Employees' Compensation Act program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). In 2017, 113,000 injured Federal workers or their survivors are projected to file claims; 43,500 are projected to receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

## FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	2015 actual	2016 est.	2017 est.
Initial wage-loss claims received .....	17,988	19,000	19,000
Number of compensation and medical payments processed <sup>1</sup> .....	8,821,391	8,700,000	8,700,000
Cases received .....	112,332	113,000	113,000
Periodic payment cases .....	40,650	43,500	43,500

<sup>1</sup>This entry represents total payments processed; in previous years, the number provided was for total bills processed. Note that there is usually more than one payment per bill.

*Longshore and harbor workers' compensation benefits.*—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers, and is assessed at the beginning of each calendar year for their proportionate share of these payments.

## Object Classification (in millions of dollars)

Identification code 016–1521–0–1–600	2015 actual	2016 est.	2017 est.
42.0 Direct obligations: Insurance claims and indemnities .....	210	210	220
99.0 Reimbursable obligations .....	3,040	3,305	3,349
99.9 Total new obligations .....	3,250	3,515	3,569

## Employment Summary

Identification code 016–1521–0–1–600	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	107	110	110

## ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND

## Program and Financing (in millions of dollars)

Identification code 016–1523–0–1–053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Part B benefits .....	727	551	545
0002 Part E benefits .....	265	276	268
0003 RECA section 5 benefits .....	19	41	42
0004 RECA supplemental benefits (Part B) .....		98	100
0900 Total new obligations (object class 42.0) .....	1,011	966	955
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,011	966	955
1930 Total budgetary resources available .....	1,011	966	955
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	31	20
3010 Obligations incurred, unexpired accounts .....	1,011	966	955
3020 Outlays (gross) .....	-1,010	-977	-964
3050 Unpaid obligations, end of year .....	31	20	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	30	31	20
3200 Obligated balance, end of year .....	31	20	11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,011	966	955
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,010	966	955
4101 Outlays from mandatory balances .....		11	9
4110 Outlays, gross (total) .....	1,010	977	964
4180 Budget authority, net (total) .....	1,011	966	955
4190 Outlays, net (total) .....	1,010	977	964

*Energy Employees' Compensation Act benefits.*—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA). In July 2001, the program began accepting claims from employees or survivors of employees of the Department

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND—Continued of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108–767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered under section 5 of the Radiation Exposure Compensation Act. Benefit payments under Part E began in 2005.

**EEOICPA Workload Summary**

	2015 actual	2016 est.	2017 est.
<b>Part B</b>			
Initial Claims Received .....	6,181	5,400	5,300
Initial Claims Processed .....	6,421	6,470	6,341
Final Decisions Issued .....	8,433	9,188	9,004
Payments Issued .....	3,161	3,758	3,683
<b>Part E</b>			
Initial Claims Received .....	5,011	4,442	4,353
Initial Claims Processed .....	5,073	5,110	5,008
Final Decisions Issued .....	12,155	12,290	12,044
Payments Issued .....	3,770	3,585	3,513

**ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND**

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, **[\$58,552,000]** \$59,846,000, to remain available until expended: *Provided*, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim such identifying information (including Social Security account number) as may be prescribed. (*Department of Labor Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 016–1524–0–1–053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Energy Part B .....	51	53	60
0004 Energy Part E .....	67	68	77
0900 Total new obligations .....	118	121	137
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	5
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	5	5	5
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	57	53	60
1200 Appropriation (Part E) .....	74	68	77
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–13		
1260 Appropriations, mandatory (total) .....	118	121	137
1930 Total budgetary resources available .....	123	126	142
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	31	26
3010 Obligations incurred, unexpired accounts .....	118	121	137
3020 Outlays (gross) .....	–117	–126	–141
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	31	26	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	31	26
3200 Obligated balance, end of year .....	31	26	22

**Budget authority and outlays, net:**

	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	118	121	137
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	98	117	137
4101 Outlays from mandatory balances .....	19	9	4
4110 Outlays, gross (total) .....	117	126	141
4180 Budget authority, net (total) .....	118	121	137
4190 Outlays, net (total) .....	117	126	141

*Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.*—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the EEOICPA program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor (DOL) is responsible for claims adjudication, and award and payment of compensation and medical benefits. DOL's Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, and other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act to file for additional compensation, including medical benefits, under EEOICPA.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108–767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered by the Radiation Exposure Compensation Act. Administrative expenses for Part E are covered through indefinite, mandatory appropriations provided in P.L. 108–767.

**Object Classification** (in millions of dollars)

Identification code 016–1524–0–1–053	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	42	40	44
12.1 Civilian personnel benefits .....	14	13	14
23.1 Rental payments to GSA .....	6	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	20	23	25
25.3 Other goods and services from Federal sources .....	20	22	25
25.7 Operation and maintenance of equipment .....	14	15	20
31.0 Equipment .....	1	1	1
42.0 Insurance claims and indemnities .....		1	1
99.9 Total new obligations .....	118	121	137

**Employment Summary**

Identification code 016–1524–0–1–053	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	481	490	490

**SPECIAL BENEFITS FOR DISABLED COAL MINERS**

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, as amended by Public Law 107–275, **[\$69,302,000]** \$61,319,000, to remain available until expended.

For making after July 31 of the current fiscal year, benefit payments to individuals under title IV of such Act, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV for the first quarter of fiscal year **[2017] 2018, [\$19,000,000]** \$16,000,000, to remain available until expended. (*Department of Labor Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0169-0-1-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Benefits .....	109	85	75
0002 Administration .....	5	5	5
0900 Total new obligations .....	114	90	80
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	127	114	114
1050 Unobligated balance (total) .....	127	114	114
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	72	69	61
Advance appropriations, mandatory:			
1270 Advance appropriation .....	29	21	19
1900 Budget authority (total) .....	101	90	80
1930 Total budgetary resources available .....	228	204	194
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	114	114	114
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	10	1
3010 Obligations incurred, unexpired accounts .....	114	90	80
3020 Outlays (gross) .....	-116	-99	-80
3050 Unpaid obligations, end of year .....	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	10	1
3200 Obligated balance, end of year .....	10	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	101	90	80
Outlays, gross:			
4100 Outlays from new mandatory authority .....		90	80
4101 Outlays from mandatory balances .....	116	9	
4110 Outlays, gross (total) .....	116	99	80
4180 Budget authority, net (total) .....	101	90	80
4190 Outlays, net (total) .....	116	99	80

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled due to coal workers' pneumoconiosis (black lung), and to their widows and certain other dependents. Part B of the Act assigned the processing and paying of claims filed between December 30, 1969 (when the program originated) and June 30, 1973 to the Social Security Administration (SSA). P.L. 107-275 transferred Part B claims processing and payment operations from SSA to the Department of Labor's Office of Workers' Compensation Programs. This change was implemented on October 1, 2003.

**Object Classification** (in millions of dollars)

Identification code 016-0169-0-1-601	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
42.0 Insurance claims and indemnities .....	109	85	75
99.9 Total new obligations .....	114	90	80

**Employment Summary**

Identification code 016-0169-0-1-601	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	16	16	16

PANAMA CANAL COMMISSION COMPENSATION FUND

**Program and Financing** (in millions of dollars)

Identification code 016-5155-0-2-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Benefits .....	5	5	5
0900 Total new obligations (object class 42.0) .....	5	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	47	42	37
1930 Total budgetary resources available .....	47	42	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	42	37	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-5	-5	-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	5	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5	5	5
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	47	42	37
5001 Total investments, EOY: Federal securities: Par value .....	42	37	32

This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977; however, the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of the administration of the Federal Employees' Compensation Act (FECA) program from the Commission to the Department of Labor, effective January 1, 1989.

**Trust Funds**

BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

Such sums as may be necessary from the Black Lung Disability Trust Fund (the "Fund"), to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (6), and (7) of the Internal Revenue Code of 1986; and repayment of, and payment of interest on advances, as authorized by section 9501(d)(4) of that Act. In addition, the following amounts may be expended from the Fund for fiscal year **[2016] 2017** for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): not to exceed **[\$35,244,000] \$38,246,000** for transfer to the Office of Workers' Compensation Programs, "Salaries and Expenses"; not to exceed **[\$30,279,000] \$30,594,000** for transfer to Departmental Management, "Salaries and Expenses"; not to exceed **[\$327,000] \$330,000** for transfer to Departmental Management, "Office of Inspector General"; and not to exceed \$356,000 for payments into miscellaneous receipts for the expenses of the Department of the Treasury. (*Department of Labor Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 016-8144-0-7-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	100	25	25
Receipts:			
Current law:			
1110 Transfer from General Fund, Black Lung Benefits Revenue Act			
Taxes .....	552	525	530
1130 Miscellaneous Interest, Black Lung Disability Trust Fund .....	1	2	2

**BLACK LUNG DISABILITY TRUST FUND—Continued**  
**Special and Trust Fund Receipts—Continued**

Identification code 016-8144-0-7-601	2015 actual	2016 est.	2017 est.
1199 Total current law receipts .....	553	527	532
1999 Total receipts .....	553	527	532
2000 Total: Balances and receipts .....	653	552	557
Appropriations:			
Current law:			
2101 Black Lung Disability Trust Fund .....	-555	-527	-532
2103 Black Lung Disability Trust Fund .....	-76		
2134 Black Lung Disability Trust Fund .....	3		
2199 Total current law appropriations .....	-628	-527	-532
2999 Total appropriations .....	-628	-527	-532
5099 Balance, end of year .....	25	25	25

**Program and Financing (in millions of dollars)**

Identification code 016-8144-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Disabled coal miners benefits .....	164	155	148
0002 Administrative expenses .....	59	62	70
0003 Interest on zero coupon bonds .....	97	121	147
0004 Interest on short term advances .....	1	1	7
0900 Total new obligations .....	321	339	372
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	555	527	532
1203 Appropriation (previously unavailable) .....	76		
1234 Appropriations precluded from obligation .....	-3		
1236 Repay principal on zero coupon bonds .....	-306	-188	-160
1260 Appropriations, mandatory (total) .....	322	339	372
Borrowing authority, mandatory:			
1400 Borrowing authority .....	585	798	1,035
1422 Borrowing authority applied to repay debt .....	-585	-585	-798
1422 Borrowing authority applied to repay debt .....		-213	-237
1900 Budget authority (total) .....	322	339	372
1930 Total budgetary resources available .....	323	341	374
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	13	13
3010 Obligations incurred, unexpired accounts .....	321	339	372
3020 Outlays (gross) .....	-321	-339	-368
3050 Unpaid obligations, end of year .....	13	13	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	13	13
3200 Obligated balance, end of year .....	13	13	17
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	322	339	372
Outlays, gross:			
4100 Outlays from new mandatory authority .....	180	327	368
4101 Outlays from mandatory balances .....	141	12	
4110 Outlays, gross (total) .....	321	339	368
4180 Budget authority, net (total) .....	322	339	372
4190 Outlays, net (total) .....	321	339	368
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....	-4,733	-4,427	-4,239
5081 Outstanding debt, EOY .....	-4,427	-4,239	-4,079
5082 Borrowing .....	-585	-798	-1,035

The trust fund consists of all monies collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to

pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of Part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, Treasury, and Health and Human Services. The Emergency Economic Stabilization Act of 2008, enacted on October 3, 2008, authorized restructuring of the Black Lung Disability Trust Fund (BLDTF) debt by (1) extending the current coal excise tax rates of \$1.10 per ton on underground-mined coal and \$0.55 per ton on surface-mined coal until December 31, 2018; (2) providing a one-time appropriation for the BLDTF to repay the market value of parts of the outstanding repayable advances and accrued interest; and (3) refinancing the remainder of the outstanding debt through the issuance of zero-coupon bonds, to be retired using the BLDTF's annual operating surplus until all of its remaining obligations have been paid.

The Patient Protection and Affordable Care Act (PPACA) of 2010 reinstated two provisions of the Black Lung Benefits Act that had been removed in 1981 for claims filed on or after January 1, 1982. These provisions include: automatic entitlement to benefits for survivors of miners who had been awarded benefits at the time of their death and a presumption that a miner who has at least 15 years of qualifying coal mine employment and has a totally disabling lung condition has pneumoconiosis even in the absence of a negative x-ray.

**BLACK LUNG DISABILITY TRUST FUND WORKLOAD**

	2015 actual	2016 est.	2017 est.
Claims received .....	6,818	7,400	8,000
Claims in payment status .....	13,064	16,000	15,600
Medical benefits only recipients .....	731	700	700

**Status of Funds (in millions of dollars)**

Identification code 016-8144-0-7-601	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	-4,621	-4,390	-4,202
0999 Total balance, start of year .....	-4,621	-4,390	-4,202
Cash income during the year:			
Current law:			
Receipts:			
1110 Transfer from General Fund, Black Lung Benefits Revenue Act Taxes .....	552	525	530
1150 Miscellaneous Interest, Black Lung Disability Trust Fund .....	1	2	2
1199 Income under present law .....	553	527	532
1999 Total cash income .....	553	527	532
Cash outgo during year:			
Current law:			
2100 Black Lung Disability Trust Fund [012-15-8144-0] .....	-321	-339	-368
2199 Outgo under current law .....	-321	-339	-368
2999 Total cash outgo (-) .....	-321	-339	-368
Surplus or deficit:			
3110 Excluding interest .....	231	186	162
3120 Interest .....	1	2	2
3199 Subtotal, surplus or deficit .....	232	188	164
3298 Rounding adjustment .....	-1		
3299 Total adjustments .....	-1		
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-4,390	-4,202	-4,038
4999 Total balance, end of year .....	-4,390	-4,202	-4,038

**Object Classification (in millions of dollars)**

Identification code 016-8144-0-7-601	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	59	62	70
42.0 Insurance claims and indemnities .....	165	155	148
43.0 Interest and dividends .....	97	122	154

99.9	Total new obligations .....	321	339	372
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5001	Total investments, EOY: Federal securities: Par value .....	56	56	72
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**SPECIAL WORKERS' COMPENSATION EXPENSES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 016-9971-0-7-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....		1	1
Receipts:			
Current law:			
1110 Longshoremen's and Harbor Workers Compensation Act, Receipts, Special Workers' .....	110	140	140
1110 Workmen's Compensation Act within District of Columbia, Receipts, Special Workers' .....	8	9	9
1199 Total current law receipts .....	118	149	149
1999 Total receipts .....	118	149	149
2000 Total: Balances and receipts .....	118	150	150
Appropriations:			
Current law:			
2101 Special Workers' Compensation Expenses .....	-2	-2	-2
2101 Special Workers' Compensation Expenses .....	-115	-147	-147
2199 Total current law appropriations .....	-117	-149	-149
2999 Total appropriations .....	-117	-149	-149
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 016-9971-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Longshore and Harbor Workers' Compensation Act, as amended .....	117	123	122
0002 District of Columbia Compensation Act .....	8	9	9
0900 Total new obligations .....	125	132	131

**Budgetary resources:**

1000 Unobligated balance brought forward, Oct 1 .....	61	53	70
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2	2	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2	2	2
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	115	147	147
1900 Budget authority (total) .....	117	149	149
1930 Total budgetary resources available .....	178	202	219
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	53	70	88

**Change in obligated balance:**

3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	125	132	131
3020 Outlays (gross) .....	-125	-132	-131
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2

**Budget authority and outlays, net:**

4000 Discretionary:			
Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
Mandatory:			
Budget authority, gross .....	115	147	147
Outlays, gross:			
4100 Outlays from new mandatory authority .....		130	94
4101 Outlays from mandatory balances .....	125		35
Outlays, gross (total) .....	125	130	129
4180 Budget authority, net (total) .....	117	149	149
4190 Outlays, net (total) .....	125	132	131

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	63	56	56
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The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and—pursuant to an annual assessment of the industry—for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act (LHWCA), as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments, after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the LHWCA for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

**Object Classification** (in millions of dollars)

Identification code 016-9971-0-7-601	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	2	2	2
42.0 Insurance claims and indemnities .....	123	130	129
99.9 Total new obligations .....	125	132	131

**WAGE AND HOUR DIVISION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Wage and Hour Division, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, **[\$227,500,000]** \$276,599,000. (Department of Labor Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 016-0143-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Wage and Hour (Direct and H-1B) .....	227	228	277
0801 Salaries and Expenses (Reimbursable) .....		3	3
0900 Total new obligations .....	227	231	280

**Budgetary resources:**

1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	228	228	277
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		3	3
1900 Budget authority (total) .....	228	231	280
1930 Total budgetary resources available .....	228	232	281
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

3000 Unpaid obligations, brought forward, Oct 1 .....	26	28	25
3010 Obligations incurred, unexpired accounts .....	227	231	280
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-224	-234	-277
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	28	25	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	28	25

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 016-0143-0-1-505	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	28	25	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	228	231	280
Outlays, gross:			
4010 Outlays from new discretionary authority .....	208	213	258
4011 Outlays from discretionary balances .....	16	21	19
4020 Outlays, gross (total) .....	224	234	277
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....		-3	-3
4040 Offsets against gross budget authority and outlays (total) ....		-3	-3
4180 Budget authority, net (total) .....	228	228	277
4190 Outlays, net (total) .....	224	231	274

The Wage and Hour Division enforces the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act (FLSA), the Migrant and Seasonal Agricultural Worker Protection Act (MSPA), the Family and Medical Leave Act (FMLA), certain provisions of the Immigration and Nationality Act (INA), the wage garnishment provisions in Title III of the Consumer Credit Protection Act (CCPA), and the Employee Polygraph Protection Act (EPPA). The Division also determines prevailing wages and enforces employment standards under various Government contract wage standards, including the Davis-Bacon and Related Acts (DBRA) and the McNamara-O'Hara Service Contract Act (SCA). Collectively, these labor standards cover most private, state, and local government employment. They protect over 135,000,000 workers in more than 7,300,000 establishments throughout the United States and its territories.

Object Classification (in millions of dollars)

Identification code 016-0143-0-1-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	106	108	128
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....		2	2
11.9 Total personnel compensation .....	107	111	131
12.1 Civilian personnel benefits .....	36	37	44
21.0 Travel and transportation of persons .....	7	6	7
23.1 Rental payments to GSA .....	13	13	18
23.3 Communications, utilities, and miscellaneous charges .....	4	4	5
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	5	4	4
25.2 Other services from non-Federal sources .....	8	7	9
25.3 Other goods and services from Federal sources .....	34	33	37
25.7 Operation and maintenance of equipment .....	10	11	19
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	2
99.0 Direct obligations .....	227	228	277
99.0 Reimbursable obligations .....		3	3
99.9 Total new obligations .....	227	231	280

Employment Summary

Identification code 016-0143-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,367	1,376	1,694

H-1 B AND L FRAUD PREVENTION AND DETECTION

Program and Financing (in millions of dollars)

Identification code 016-5393-0-2-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 H-1 B and L Fraud Prevention and Detection .....	54	58	58
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	36	30	20
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	48	48	45
1203 Appropriation (previously unavailable) .....	3	3	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-3	-3	
1260 Appropriations, mandatory (total) .....	48	48	48
1930 Total budgetary resources available .....	84	78	68
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	20	10

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	54	58	58
3020 Outlays (gross) .....	-54	-58	-58
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	48	48	48
Outlays, gross:			
4100 Outlays from new mandatory authority .....		29	42
4101 Outlays from mandatory balances .....	54	29	16
4110 Outlays, gross (total) .....	54	58	58
4180 Budget authority, net (total) .....	48	48	48
4190 Outlays, net (total) .....	54	58	58

The Wage and Hour Division has traditionally had responsibility for enforcing certain worker protections provisions of the Immigration and Nationality Act, specifically the H-2A and H-1B temporary non-immigrant foreign worker programs. Pursuant to an Interagency Agreement (IAA) between the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) and section 214(c)(14)(B) of the Immigration and Nationality Act (INA), 8 U.S.C. 1184(c)(14)(B), DOL and WHD have been delegated the enforcement authority located at section 214(c)(14)(A)(i) of the INA, 8 U.S.C. 1184(c)(14)(A)(i) for enforcing the H-2B temporary non-immigrant foreign worker program. Under section 524 of H.R. 3288, the Secretary of Labor may use one-third of the H-1B and L Fraud Protection and Detection fee account for enforcement of these temporary worker program provisions and for related enforcement activities.

Object Classification (in millions of dollars)

Identification code 016-5393-0-2-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	33	33	33
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	33	34	34
12.1 Civilian personnel benefits .....	10	11	11
21.0 Travel and transportation of persons .....	1	1	1
25.3 Other goods and services from Federal sources .....	10	12	12
99.9 Total new obligations .....	54	58	58

**Employment Summary**

Identification code 016-5393-0-2-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	376	367	367

**OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Office of Federal Contract Compliance Programs, **[\$105,476,000]** \$114,169,000. (Department of Labor Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 016-0148-0-1-505	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0002 Federal contractor EEO standards enforcement .....	105	105	114
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**Budgetary resources:**

Budget authority:

Appropriations, discretionary:

1100 Appropriation .....	106	105	114
1930 Total budgetary resources available .....	106	105	114

Memorandum (non-add) entries:

1940 Unobligated balance expiring .....	-1		
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**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	12	11	16
3010 Obligations incurred, unexpired accounts .....	105	105	114
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-106	-100	-111
3041 Recoveries of prior year unpaid obligations, expired .....	-1		

3050 Unpaid obligations, end of year .....	11	16	19
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Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	12	11	16
3200 Obligated balance, end of year .....	11	16	19

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	106	105	114
Outlays, gross:			
4010 Outlays from new discretionary authority .....	98	95	103
4011 Outlays from discretionary balances .....	8	5	8

4020 Outlays, gross (total) .....	106	100	111
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4180 Budget authority, net (total) .....	106	105	114
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4190 Outlays, net (total) .....	106	100	111
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The Office of Federal Contract Compliance Programs (OFCCP) enforces, for the benefit of job seekers and wage earners, the contractual promise of affirmative action and equal employment opportunity required of those who do business with the Federal government. OFCCP administers Executive Order 11246, as amended, which prohibits employment discrimination on the basis of race, religion, color, sex, and/or national origin; Section 503 of the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990 (ADA), as amended, which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against protected veterans. OFCCP monitors contractors' compliance through reporting requirements and compliance evaluations. In FY 2017, OFCCP will continue to build upon significant enforcement improvements in the construction industry and will continue to provide staff training and compliance assistance on its updated regulations.

**Object Classification** (in millions of dollars)

Identification code 016-0148-0-1-505	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	55	58	60
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....	55	59	61
12.1 Civilian personnel benefits .....	18	18	19
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	3		
25.2 Other services from non-Federal sources .....	1	2	3
25.3 Other goods and services from Federal sources .....	19	6	7
25.7 Operation and maintenance of equipment .....	1	11	14
31.0 Equipment .....		1	1
99.9 Total new obligations .....	105	105	114

**Employment Summary**

Identification code 016-0148-0-1-505	2015 actual	2016 est.	2017 est.
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1001 Direct civilian full-time equivalent employment .....	620	615	615
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**OFFICE OF LABOR MANAGEMENT STANDARDS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Office of Labor-Management Standards, **[\$40,593,000]** \$45,691,000. (Department of Labor Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 016-0150-0-1-505	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0002 Labor-management standards .....	39	41	46
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**Budgetary resources:**

Budget authority:

Appropriations, discretionary:

1100 Appropriation .....	39	41	46
1930 Total budgetary resources available .....	39	41	46

**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	5
3010 Obligations incurred, unexpired accounts .....	39	41	46
3020 Outlays (gross) .....	-39	-38	-46

3050 Unpaid obligations, end of year .....	2	5	5
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Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	2	2	5
3200 Obligated balance, end of year .....	2	5	5

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	39	41	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	37	42
4011 Outlays from discretionary balances .....	2	1	4

4020 Outlays, gross (total) .....	39	38	46
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4180 Budget authority, net (total) .....	39	41	46
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4190 Outlays, net (total) .....	39	38	46
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The Office of Labor-Management Standards (OLMS) receives and discloses reports of unions, union officers and employees, employers, labor consultants and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA; and administers the stat-

SALARIES AND EXPENSES—Continued

utory program to certify employee protection provisions under various Federally-sponsored transportation programs. In 2017, OLMS plans continued efforts to advance transparency and financial integrity protections, primarily through audits, investigations and compliance assistance efforts. OLMS will ensure that Federally sponsored transportation grants are processed in a timely manner providing requisite protection to employees against adverse impacts as a result of federal assistance.

Object Classification (in millions of dollars)

Identification code 016-0150-0-1-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	20	20	21
12.1 Civilian personnel benefits .....	7	8	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	5	6	6
25.7 Operation and maintenance of equipment .....	2	2	7
99.9 Total new obligations .....	39	41	46

Employment Summary

Identification code 016-0150-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	209	209	209

OCUPATIONAL SAFETY AND HEALTH  
ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, **[\$552,787,000]** \$595,023,000, including not to exceed **[\$100,850,000]** \$104,377,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act (the "Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$499,000 per fiscal year of training institute course tuition and fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: *Provided*, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, **[2016]** 2017, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred ("DART") occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

- (1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;
- (2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;
- (3) to take any action authorized by the Act with respect to imminent dangers;
- (4) to take any action authorized by the Act with respect to health hazards;

(5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; **[and]**

(6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act; *and*

(7) to take any action authorized by the Act with respect to certain employers with a low DART rate and employing 10 or fewer employees within the past twelve months, that operate processes where the potential for a catastrophic chemical incident exists, defined as any establishment that operates a process covered by 29 CFR 1910.119 or 40 CFR Part 68, except that this subparagraph (7) shall not apply to employers conducting farming, harvesting, or processing operations on farms:

*Provided further*, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That \$10,537,000 shall be available for Susan Harwood training grants. (*Department of Labor Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 016-0400-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Safety and health standards .....	20	20	23
0002 Federal enforcement .....	208	208	226
0003 Whistleblower protection .....	17	18	22
0004 State programs .....	101	101	104
0005 Technical support .....	24	24	25
0006 Federal compliance assistance .....	68	68	73
0007 State consultation grants .....	58	58	60
0008 Training grants .....	11	11	11
0009 Safety and health statistics .....	34	34	40
0010 Executive direction and administration .....	11	11	11
0799 Total direct obligations .....	552	553	595
0801 Salaries and Expenses (Reimbursable) .....	1	2	2
0900 Total new obligations .....	553	555	597

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	553	553	595
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1900 Budget authority (total) .....	554	555	597
1930 Total budgetary resources available .....	554	556	598
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	97	88	84
3010 Obligations incurred, unexpired accounts .....	553	555	597
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-558	-559	-592
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	88	84	89
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	94	87	83
3200 Obligated balance, end of year .....	87	83	88

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	554	555	597
Outlays, gross:			
4010 Outlays from new discretionary authority .....	492	483	520
4011 Outlays from discretionary balances .....	66	76	72
4020 Outlays, gross (total) .....	558	559	592
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1	-2	-2
4040 Offsets against gross budget authority and outlays (total) ...	-1	-2	-2
4180 Budget authority, net (total) .....	553	553	595

4190 Outlays, net (total) .....	557	557	590
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**Safety and Health Standards.**—This activity provides for the protection of workers' safety and health through development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health Act of 1970 (OSH Act). Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is economically and technologically feasible when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the SBREFA process, that small business concerns are taken into account in the process of developing standards.

**Federal Enforcement.**—This activity provides for ensuring the protection of employees through the enforcement of workplace standards promulgated under the OSH Act, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. Enforcement programs are targeted to the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury and illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy ranges from a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

**Whistleblower Programs.**—This activity provides for the enforcement of twenty-two whistleblower protection statutes, including Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection, participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. In addition to the OSH Act, this activity includes administration of twenty-one other whistleblower protection statutes that protect employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

**State Programs.**—This activity supports states in assuming responsibility for administering occupational safety and health programs under State Plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to 50 percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State programs that are at least as effective as the Federal OSHA program. State programs, like Federal OSHA, provide a mix of enforcement, outreach, training, and compliance assistance activities.

**Technical Support.**—This activity provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or man-made disasters. This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational health nursing, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness.

**Federal Compliance Assistance.**—This activity supports a range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on small business, temporary, immigrant, and other high-risk and hard-to-reach workers. OSHA works with employers and employees through Voluntary Protection Programs that recognize and promote effective safety and health management partnerships that focus on the development of extended cooperative relationships and Alliances that commit organizations to collaborative efforts with OSHA. This activity

also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including the Internet.

**State Compliance Assistance: Consultation Grants.**—This activity supports 90 percent federally funded cooperative agreements with designated State agencies to provide free on-site consultation to small and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

**Compliance Assistance: Training Grants.**—This activity supports safety and health grants to organizations that provide face-to-face training, education, technical assistance, and develop educational materials for employers and employees. These grants address safety and health education needs related to hard-to-reach workers and specific high-risk topics and industries identified by the agency.

**Safety and Health Statistics.**—This activity supports information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical basis for OSHA's programs and field operations. These are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

**Executive direction and administration.**—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

**PROGRAM STATISTICS**

	2015 actual	2016 est.	2017 est.
Standards promulgated .....	3	4	2
Inspections:			
Federal inspections .....	35,822	33,615	35,352
State program inspections .....	43,471	43,041	43,471
Whistleblower cases .....	3,273	3,200	3,400
Training and consultations:			
Consultation visits .....	27,871	26,930	27,937
Participants trained as a result of Susan Harwood worker training grants .....	108,817	90,000	90,000
New strategic partnerships .....	10	10	12
Outreach Training .....	826,646	725,000	750,000

**Object Classification (in millions of dollars)**

Identification code 016-0400-0-1-554	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	192	195	211
11.5 Other personnel compensation .....	2	2	2
Total personnel compensation .....	194	197	213
12.1 Civilian personnel benefits .....	62	63	69
21.0 Travel and transportation of persons .....	12	10	11
23.1 Rental payments to GSA .....	24	24	26
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	81	77	88
25.3 Other goods and services from Federal sources .....	45	49	51
25.7 Operation and maintenance of equipment .....	12	12	11
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	2	3	4
41.0 Grants, subsidies, and contributions .....	111	111	115
99.0 Direct obligations .....	552	553	595
99.0 Reimbursable obligations .....	1	2	2
99.9 Total new obligations .....	553	555	597

SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 016-0400-0-1-554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,132	2,173	2,273
2001 Reimbursable civilian full-time equivalent employment .....	3	3	3

MINE SAFETY AND HEALTH ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Mine Safety and Health Administration, **[\$375,887,000] \$397,372,000**, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities [and not less than \$8,441,000 for State assistance grants]: *Provided*, That notwithstanding 31 U.S.C. 3302, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities: *Provided further*, That notwithstanding 31 U.S.C. 3302, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities: *Provided further*, That the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private: *Provided further*, That the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations: *Provided further*, That the Secretary is authorized to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization: *Provided further*, That any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster: *Provided further*, That, the Secretary may reallocate among the programs, projects and activities funded under this heading up to \$3,000,000 to support inspections or investigations pursuant to section 103 of the Federal Mine Safety and Health Act of 1977. (Department of Labor Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 016-1200-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Coal .....	168	164	172
0002 Metal/non-metal .....	91	95	98
0003 Standards development .....	5	6	6
0004 Assessments .....	7	7	8
0005 Educational policy and development .....	36	36	40
0006 Technical support .....	34	34	35
0007 Program administration .....	16	16	16
0008 Program evaluation & information resources .....	18	18	22
0799 Total direct obligations .....	375	376	397
0801 Salaries and Expenses (Reimbursable) .....	2	3	3
0900 Total new obligations .....	377	379	400

Budgetary resources:

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	376	376	397
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1900 Budget authority (total) .....	378	379	400
1930 Total budgetary resources available .....	378	379	400
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	58	43	39
3010 Obligations incurred, unexpired accounts .....	377	379	400
3011 Obligations incurred, expired accounts .....	1		

3020 Outlays (gross) .....	-387	-383	-398
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	43	39	41
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	58	43	39
3200 Obligated balance, end of year .....	43	39	41

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	378	379	400
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	346	345	364
4011 Outlays from discretionary balances .....	41	38	34
4020 Outlays, gross (total) .....	387	383	398
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-2	-3	-3
4180 Budget authority, net (total) .....	376	376	397
4190 Outlays, net (total) .....	385	380	395

**Enforcement.**—The enforcement strategy in 2017 will be an integrated approach toward the prevention of mining accidents, injuries, and occupational illnesses. This includes inspection of mines and other activities as mandated by the Federal Mine Safety and Health Act of 1977 (Mine Act), as amended by the Mine Improvement and New Emergency Response Act of 2006 (MINER Act), special emphasis initiatives that focus on persistent safety and health hazards, promulgation of federal mine safety and health standards, investigation of serious accidents, and on-site education and training. The desired outcome of these enforcement efforts is to prevent death, disease, and injury from mining and promote safe and healthful workplaces for the Nation's miners. In 2017, MSHA is proposing appropriations language that would provide the agency with additional flexibility to internally reallocate funding to ensure the enforcement programs have the necessary resources to effectively conduct mandated inspections or investigations.

**Office of Assessments and Special Enforcement.**— This activity assesses and collects civil monetary penalties for violations of safety and health standards and manages MSHA's accountability, special enforcement, and investigation functions.

**Educational Policy and Development.**—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Mine Health and Safety Academy for MSHA personnel, other governmental personnel, and the mining industry.

**Technical Support.**—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementing the Mine Act and the MINER Act. Technical Support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of mine accidents.

**Program Evaluation and Information Resources (PEIR).**—This activity provides program evaluation and information technology resource management services for the agency.

**Program Administration.**—This activity performs general administrative functions and is responsible for meeting performance requirements and developing MSHA's performance plan and Annual Performance Report.

PROGRAM STATISTICS

	2015 Actual	2016 Est.	2017 Est.
<b>Enforcement per 200,000 hours worked by employees:</b>			
<b>Fatality Rates</b>			
All-MSHA fatality rates .....	0.0124	0.0118	TBD
Coal Mines .....	0.0144	0.0137	TBD
Metal/non-metal mines .....	0.0114	0.0108	TBD
Regulations promulgated .....	2	1	1
<b>Assessments:</b>			
Violations assessed .....	115,546	115,000	115,000
<b>Educational Policy and Development:</b>			
Course days .....	1,196	1,125	1,125
<b>Technical Support:</b>			

Equipment approvals .....	561	600	600
Laboratory samples analyzed .....	240,000	160,000	135,000

**Object Classification** (in millions of dollars)

Identification code 016-1200-0-1-554	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	179	182	190
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	184	187	195
12.1 Civilian personnel benefits .....	69	72	75
21.0 Travel and transportation of persons .....	13	12	13
22.0 Transportation of things .....	6	5	5
23.1 Rental payments to GSA .....	18	15	16
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.2 Other services from non-Federal sources .....	6	5	5
25.3 Other goods and services from Federal sources .....	43	54	56
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	11	4	8
26.0 Supplies and materials .....	4	4	4
31.0 Equipment .....	8	4	6
41.0 Grants, subsidies, and contributions .....	9	9	9
99.0 Direct obligations .....	375	376	397
99.0 Reimbursable obligations .....	2	3	3
99.9 Total new obligations .....	377	379	400

**Employment Summary**

Identification code 016-1200-0-1-554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,257	2,271	2,277

**BUREAU OF LABOR STATISTICS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, **[\$544,000,000] \$573,927,000**, together with not to exceed **[\$65,000,000] \$67,016,000** which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0200-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Labor force statistics .....	259	263	286
0002 Prices and cost of living .....	206	213	220
0003 Compensation and working conditions .....	82	86	88
0004 Productivity and technology .....	10	11	11
0006 Executive direction and staff services .....	34	36	36
0799 Total direct obligations .....	591	609	641
0801 Salaries and Expenses (Reimbursable) .....	22	33	33
0900 Total new obligations .....	613	642	674
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	527	544	574
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	87	98	100
1900 Budget authority (total) .....	614	642	674
1930 Total budgetary resources available .....	614	642	674
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	107	98	76
3010 Obligations incurred, unexpired accounts .....	613	642	674
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-622	-664	-669

3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	98	76	81
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	107	98	76
3200 Obligated balance, end of year .....	98	76	81

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	614	642	674
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	534	566	594
4011 Outlays from discretionary balances .....	88	98	75
4020 Outlays, gross (total) .....	622	664	669
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-87	-94	-96
4033 Non-Federal sources .....		-4	-4
4040 Offsets against gross budget authority and outlays (total) ....	-87	-98	-100
4070 Budget authority, net (discretionary) .....	527	544	574
4080 Outlays, net (discretionary) .....	535	566	569
4180 Budget authority, net (total) .....	527	544	574
4190 Outlays, net (total) .....	535	566	569

**Labor Force Statistics.**—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on employment and wages, by industry. Provides economic projections, including changes in the level and structure of the economy, as well as employment projections by industry and by occupational category.

	2015 act.	2016 est.	2017 est.
<b>Labor Force Statistics (selected items):</b>			
Employment and wages for NAICS industries (quarterly series) .....	3,500,000	3,500,000	3,500,000
Employment and unemployment estimates for States and local areas (monthly and annual series) .....	107,000	107,050	107,100
Occupational Employment Statistics (annual series) .....	137,000	135,000	135,000
Industry projections (2 yr. cycle) .....	N/A	206	N/A
Detailed occupations covered in the Occupational Outlook Handbook (2 yr. cycle) .....	N/A	576	N/A

**Prices and Cost of Living.**—Publishes the Consumer Price Index (CPI), the Producer Price Index, U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change.

	2015 act.	2016 est.	2017 est.
Consumer Price Indexes published (monthly) .....	6,400	6,200	6,200
Percentage of CPI monthly releases on schedule .....	100%	100%	100%
Producer Price Indexes published (monthly) .....	10,617	10,500	10,500
U.S. Import and Export Price Indexes published (monthly) .....	1,052	1,050	1,050

**Compensation and Working Conditions.**—Publishes data on employee compensation, including information on wages, salaries, and employer-provided benefits, by occupation for major labor markets and industries. Publishes information on work stoppages. Compiles annual information to estimate the number and incidence rate of work-related injuries, illnesses, and fatalities.

	2015 act.	2016 est.	2017 est.
<b>Compensation and working conditions (major items):</b>			
Employment Cost Index: number of establishments .....	13,700	11,400	11,400
Occupational safety and health: number of establishments .....	231,066	230,000	230,000

**Productivity and Technology.**—Publishes data on labor and multifactor productivity trends for major sectors of the economy and individual industries, as well as data on hours worked, labor compensation, and unit labor costs. Analyzes trends in order to examine the factors underlying changes in productivity to understand the relationships between productivity, wages, prices, profits, and employment, to compare trends in efficiency across industries, and to examine the effects of technological improvements.

	2015 act.	2016 est.	2017 est.
Studies, articles, and special reports .....	21	21	21
Series updated .....	4,355	4,355	4,355

**Executive Direction and Staff Services.**—Provides agency-wide policy and management direction, including all centralized support services in the administrative, publications, information technology, field operations, and statistical methods research areas.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 016-0200-0-1-505	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	183	189	192
11.3 Other than full-time permanent .....	13	13	13
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	198	205	208
12.1 Civilian personnel benefits .....	62	65	68
21.0 Travel and transportation of persons .....	6	6	7
23.1 Rental payments to GSA .....	34	33	35
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	11	17	21
25.3 Other goods and services from Federal sources .....	125	125	129
25.5 Research and development contracts .....	15	1	12
25.7 Operation and maintenance of equipment .....	56	71	73
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	7	7
41.0 Grants, subsidies, and contributions .....	72	72	74
99.0 Direct obligations .....	591	609	641
99.0 Reimbursable obligations .....	22	33	33
99.9 Total new obligations .....	613	642	674

Employment Summary

Identification code 016-0200-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,166	2,195	2,214
2001 Reimbursable civilian full-time equivalent employment .....	101	143	143

DEPARTMENTAL MANAGEMENT

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for Departmental Management, including the hire of three passenger motor vehicles, **[\$334,065,000]** \$387,615,000, together with not to exceed **[\$308,000]** \$310,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund: *Provided*, That **[\$59,825,000]** \$67,825,000 for the Bureau of International Labor Affairs shall be available for obligation through December 31, **[2016]** 2017: *Provided further*, That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements: *Provided further*, That not more than **[\$53,825,000]** \$58,825,000 shall be for programs to combat exploitative child labor internationally and not less than **[\$6,000,000]** \$9,000,000 shall be used to implement model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs: *Provided further*, That **[\$8,040,000]** \$9,500,000 shall be used for program evaluation and shall be available for obligation through September 30, **[2017]** 2018: *Provided further*, That funds available for program evaluation may be used to administer grants for the purpose of evaluation: *Provided further*, That grants made for the purpose of evaluation shall be awarded through fair and open competition: *Provided further*, That funds available for program evaluation may be transferred to any other appropriate account in the Department for such purpose: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer: *Provided further*, That the funds available to the Women's Bureau may be used for grants to serve and promote the interests of women in the workforce: *Provided further*, That \$2,200,000 shall be used for a Digital Service team to ensure the effectiveness of the agency's digital services for high-priority programs. (Department of Labor Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 016-0165-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program direction and support .....	31	31	37

0002 Legal services .....	134	133	149
0003 International labor affairs .....	110	86	101
0004 Administration and management .....	28	28	37
0005 Adjudication .....	50	53	59
0007 Women's bureau .....	12	12	13
0008 Civil rights .....	7	7	8
0009 Chief Financial Officer .....	5	5	5
0011 Departmental Program Evaluation .....	23	14	10
0192 Total Direct Program - Subtotal .....	400	369	419
0799 Total direct obligations .....	400	369	419
0801 Reimbursable - SOL .....	13	14	14
0802 Reimbursable - ILAB .....	1	2	2
0803 Reimbursable - OSEC .....	53	117	117
0899 Total reimbursable obligations .....	67	133	133
0900 Total new obligations .....	467	502	552

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	55	52	52
1011 Unobligated balance transfer from ETA-CSEOA [016-0175] .....	1		
1011 Unobligated balance transfer from ETA-TES [016-0174] .....	2		
1011 Unobligated balance transfer from ETA-OJC [016-0181] .....	4		
1011 Unobligated balance transfer from USAID [072-1037] .....	2		
1050 Unobligated balance (total) .....	64	52	52
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation (Regular) .....	338	334	388
1121 Appropriations transferred from ETA-TES [016-0174] .....	8	6	
1121 Appropriations transferred from ETA-OJC [016-0181] .....	4		
1121 Appropriations transferred from ETA-CSEOA [016-0175] .....	1		
1160 Appropriation, discretionary (total) .....	351	340	388
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	95	162	164
1701 Change in uncollected payments, Federal sources .....	1		
1711 Spending authority from offsetting collections transferred from SUIESO [016-0179] .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	105	162	164
1900 Budget authority (total) .....	456	502	552
1930 Total budgetary resources available .....	520	554	604
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	52	52	52

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	256	301	275
3010 Obligations incurred, unexpired accounts .....	467	502	552
3011 Obligations incurred, expired accounts .....	7		
3020 Outlays (gross) .....	-412	-528	-534
3041 Recoveries of prior year unpaid obligations, expired .....	-17		
3050 Unpaid obligations, end of year .....	301	275	293
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	248	299	273
3200 Obligated balance, end of year .....	299	273	291

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	456	502	552
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	307	380	412
4011 Outlays from discretionary balances .....	105	148	122
4020 Outlays, gross (total) .....	412	528	534
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-56	-162	-164
4033 Non-Federal sources .....	-39		
4040 Offsets against gross budget authority and outlays (total) ...	-95	-162	-164
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	360	340	388

4080	Outlays, net (discretionary) .....	317	366	370
4180	Budget authority, net (total) .....	360	340	388
4190	Outlays, net (total) .....	317	366	370

**Program Direction and Support.**—Provides leadership and direction for all programs and functions assigned to the Department of Labor (DOL). Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal Services.**—Provides the Secretary of Labor and departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases; providing assistance to the Department of Justice in case preparation and trials; reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations; providing opinions and advice to all agencies of the Department; and coordinating the Department's legislative program.

**International Labor Affairs.**—Supports the President's international agenda on labor matters, carries out international responsibilities for the Secretary of Labor, and oversees and coordinates the Department's international activities. ILAB focuses on three primary areas: 1) international trade and labor, including mandates related to free trade agreements; 2) international child labor, forced labor, and human trafficking, including reporting and program mandates; and 3) international organizations, including mandated representation before the International Labor Organization.

**Administration and Management.**—Exercises leadership in all departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

**Adjudication.**—Renders timely decisions on appeals of claims filed before four different components, which include the Office of Administrative Law Judges, the Administrative Review Board, the Benefits Review Board, and the Employees' Compensation Appeals Board.

**Women's Bureau.**—Develops policies and standards, and conducts inquiries to safeguard the interests of working women; to advocate for equality and economic security for working women and their families; and to promote quality work environments.

**Civil Rights.**—Ensures compliance with certain Federal civil rights statutes and Executive Orders, and their implementing regulations, including Titles VI and VII of the Civil Rights Act of 1964, Sections 504 and 508 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, Section 188 of the Workforce Investment Act of 1998, and Section 188 of the Workforce Innovation and Opportunity Act. These laws apply to and protect Department of Labor (DOL) employees, DOL applicants for employment, and individuals who interact with DOL programs and activities.

**Chief Financial Officer.**—Created as a result of the CFO Act of 1990, provides financial management leadership and direction to all DOL program agencies on financial matters arising from legislative and regulatory mandates such as the CFO Act, GMRA, FFMIA, FMFIA, Clinger-Cohen, The Reports Consolidation Act, IPIA, Treasury Financial Manual guidance and OMB Circulars.

**Program Evaluation.**—The Office of the Chief Evaluation Officer is charged with coordinating and overseeing rigorous evaluations of the Department of Labor's programs, and ensuring high standards in evaluations undertaken and funded by the Department of Labor. Provides for the centralization of evaluation activities; builds evaluation capacity and expertise within the Department; ensures the independence of the evaluation

and research functions; and makes sure that evaluation and research findings are available and accessible in a timely and user-friendly way.

**Object Classification** (in millions of dollars)

Identification code 016-0165-0-1-505	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	151	159	177
11.3	Other than full-time permanent .....	2	1	1
11.5	Other personnel compensation .....	2	1	2
11.9	Total personnel compensation .....	155	161	180
12.1	Civilian personnel benefits .....	45	46	53
21.0	Travel and transportation of persons .....	4	4	5
23.1	Rental payments to GSA .....	19	20	22
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
25.1	Advisory and assistance services .....	26	16	13
25.2	Other services from non-Federal sources .....	9	5	11
25.3	Other goods and services from Federal sources .....	45	40	43
25.4	Operation and maintenance of facilities .....		2	2
25.7	Operation and maintenance of equipment .....	7	8	14
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	3	2	2
41.0	Grants, subsidies, and contributions .....	83	61	70
99.0	Direct obligations .....	400	369	419
99.0	Reimbursable obligations .....	67	133	133
99.9	Total new obligations .....	467	502	552

**Employment Summary**

Identification code 016-0165-0-1-505	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	1,345	1,385	1,534
2001	Reimbursable civilian full-time equivalent employment .....	136	160	160

OFFICE OF DISABILITY EMPLOYMENT POLICY

SALARIES AND EXPENSES

For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, **[\$38,203,000]** \$38,544,000. (*Department of Labor Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0166-0-1-505	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Office of Disability Employment Policy .....	38	38	38
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	39	38	38
1930	Total budgetary resources available .....	39	39	39
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	39	44	35
3010	Obligations incurred, unexpired accounts .....	38	38	38
3020	Outlays (gross) .....	-32	-47	-40
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	44	35	33
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	39	44	35
3200	Obligated balance, end of year .....	44	35	33
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	39	38	38
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	12	15	15

OFFICE OF DISABILITY EMPLOYMENT POLICY—Continued  
Program and Financing—Continued

Identification code 016-0166-0-1-505	2015 actual	2016 est.	2017 est.
4011 Outlays from discretionary balances .....	20	32	25
4020 Outlays, gross (total) .....	32	47	40
4180 Budget authority, net (total) .....	39	38	38
4190 Outlays, net (total) .....	32	47	40

*Office of Disability Employment Policy.*—This agency provides national leadership in developing policy to eliminate barriers to employment faced by people with disabilities. ODEP works within the Department of Labor and in collaboration with other Federal, state and local agencies, private-sector employers, and employer associations to provide technical assistance and to develop and disseminate evidence-based policy strategies and effective practices. ODEP works in three broad areas of inquiry: workforce systems; employers and the workplace; and employment-related supports. The goal of these efforts is to increase employment opportunities for and the workforce participation rate of people with disabilities.

Object Classification (in millions of dollars)

Identification code 016-0166-0-1-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	10	10	10
25.3 Other goods and services from Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	18	17	17
99.9 Total new obligations .....	38	38	38

Employment Summary

Identification code 016-0166-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	48	48	48

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$80,640,000]** \$88,881,000, together with not to exceed \$5,660,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 016-0106-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program and Trust Funds .....	82	87	95
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Program Activities) .....	76	81	89
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	6	6
1900 Budget authority (total) .....	82	87	95
1930 Total budgetary resources available .....	82	87	95
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	9	15
3010 Obligations incurred, unexpired accounts .....	82	87	95
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-82	-81	-96
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	9	15	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	9	15

3200 Obligated balance, end of year .....	9	15	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	82	87	95
Outlays, gross:			
4010 Outlays from new discretionary authority .....	76	74	81
4011 Outlays from discretionary balances .....	6	7	15
4020 Outlays, gross (total) .....	82	81	96
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-6	-6
4180 Budget authority, net (total) .....	76	81	89
4190 Outlays, net (total) .....	76	75	90

The Office of Inspector General (OIG) conducts audits, investigations, and evaluations that improve the effectiveness, efficiency, and economy of departmental programs and operations. It addresses DOL program fraud and labor racketeering in the American workplace, provides technical assistance to DOL program agencies, and advice to the Secretary and the Congress on how to attain the highest possible program performance. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are effective, and resources are safeguarded. It also ensures funds are expended in a manner consistent with laws and regulations, and with achieving the desired program results. The Office of Labor Racketeering and Fraud Investigations conducts investigations to detect and deter fraud, waste, and abuse in departmental programs. It also identifies and reduces labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs.

	2015 actual	2016 est.	2017 est.
Number of Audits .....	39	48	54
Number of Investigations Completed .....	713	450	485

Object Classification (in millions of dollars)

Identification code 016-0106-0-1-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	39	42	43
11.5 Other personnel compensation .....	4	5	4
11.9 Total personnel compensation .....	43	47	47
12.1 Civilian personnel benefits .....	17	17	18
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	5	5	5
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	4	4	7
25.2 Other services from non-Federal sources .....	1	1	2
25.3 Other goods and services from Federal sources .....	6	7	8
25.7 Operation and maintenance of equipment .....	1	1	2
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	82	87	95

Employment Summary

Identification code 016-0106-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	367	373	378

VETERANS EMPLOYMENT AND TRAINING

Not to exceed **[\$233,001,000]** \$235,520,000 may be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of chapters 41, 42, and 43 of title 38, United States Code, of which:

(1) \$175,000,000 is for Jobs for Veterans State grants under 38 U.S.C. 4102A(b)(5) to support disabled veterans' outreach program specialists under section 4103A of such title and local veterans' employment representatives under section 4104(b) of such title, and for the expenses described in section 4102A(b)(5)(C), which shall be available for obligation by the States through December 31, **[2016]** 2017, and not to exceed 3 percent for the necessary Federal expenditures for data systems and contract support to allow for the tracking of participant and performance information: *Provided*, That, in addition, such funds

may be used to support such specialists and representatives in the provision of services to transitioning members of the Armed Forces who have participated in the Transition Assistance Program and have been identified as in need of intensive services, to members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities or warrior transition units, and to the spouses or other family caregivers of such wounded, ill, or injured members;

(2) **[\$14,100,000]** \$14,600,000 is for carrying out the Transition Assistance Program under 38 U.S.C. 4113 and 10 U.S.C. 1144;

(3) **[\$40,487,000]** \$42,506,000 is for Federal administration of chapters 41, 42, and 43 of title 38, United States Code; and

(4) \$3,414,000 is for the National Veterans' Employment and Training Services Institute under 38 U.S.C. 4109:

*Provided*, That the Secretary may reallocate among the appropriations provided under paragraphs (1) through (4) above an amount not to exceed 3 percent of the appropriation from which such reallocation is made.

In addition, from the General Fund of the Treasury, **[\$38,109,000]** \$50,000,000 is for carrying out programs to assist homeless veterans and veterans at risk of homelessness who are transitioning from certain institutions under sections 2021, 2021A, and 2023 of title 38, United States Code: *Provided*, That notwithstanding subsections (c)(3) and (d) of section 2023, the Secretary may award grants through September 30, **[2016]** 2017, to provide services under such section: *Provided further*, That services provided under section 2023 may include, in addition to services to the individuals described in subsection (e) of such section, services to veterans recently released from incarceration who are at risk of homelessness. (*Department of Labor Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0164-0-1-702	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Jobs for Veterans State grants .....	174	175	175
0004 Transition Assistance Program .....	14	14	15
0005 Federal Management .....	41	41	43
0006 National Veterans' Training Institute .....	3	3	3
0007 Homeless veterans program .....	38	38	50
0900 Total new obligations .....	270	271	286
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38	38	50
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	232	233	236
1900 Budget authority (total) .....	270	271	286
1930 Total budgetary resources available .....	270	271	286
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	111	107	104
3010 Obligations incurred, unexpired accounts .....	270	271	286
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-269	-274	-282
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	107	104	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	111	107	104
3200 Obligated balance, end of year .....	107	104	108
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	270	271	286
Outlays, gross:			
4010 Outlays from new discretionary authority .....	185	237	241
4011 Outlays from discretionary balances .....	84	37	41
4020 Outlays, gross (total) .....	269	274	282
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-232	-233	-236
4180 Budget authority, net (total) .....	38	38	50
4190 Outlays, net (total) .....	37	41	46

*Jobs for Veterans State grants.*—The Jobs for Veterans Act (JVA) of 2002 provides the foundation for this budget activity. The JVA requires the Veterans' Employment and Training Service (VETS) to act on behalf of the Secretary in the promulgation of policies and regulations that ensure maximum employment and training opportunities for veterans and priority

of service for veterans (38 U.S.C. 4215) within the State workforce delivery system for employment and training programs funded in whole or in part by the U.S. Department of Labor. Under the JVA, resources are allocated to States to support Disabled Veterans' Outreach Program (DVOP) specialists and Local Veterans' Employment Representatives (LVERs).

Disabled Veterans' Outreach Program specialists (38 U.S.C. 4103A) provide intensive services to meet the employment needs of eligible veterans. DVOP specialists place maximum emphasis on assisting veterans with significant barriers to employment, or other populations defined by the Secretary or through appropriations language.

Local Veterans' Employment Representatives (38 U.S.C. 4104) conduct outreach to employers, employer associations, and business groups to promote the advantages of hiring veterans. LVERs also facilitate employment, training, and placement services provided to veterans under the applicable State employment service delivery system, including American Job Centers by educating all workforce partner staff on current employment initiatives and programs for veterans. In addition, each LVER provides reports to the manager of the State employment service delivery system and to the State Director for Veterans Employment and Training (38 U.S.C. 4103) regarding the State's compliance with Federal law and regulations with respect to special services and priorities for eligible veterans.

*Transition Assistance Program.*—This program provides employment workshops for departing service members in the continental U.S. and at major overseas installations. VETS coordinates with the Departments of Defense, Veterans Affairs, and Homeland Security to provide transition services to military service members separating from active duty. TAP is implemented worldwide and provides labor-market and employment-related information and other services to separating service members and their spouses. The goal of TAP is to expedite and facilitate the transition from military to civilian employment.

*Federal management.*—VETS' Federal management budget activity carries out programs and develops policies to provide veterans the maximum employment and training opportunities (38 U.S.C. 4102-4103A) and to investigate complaints received under the Uniformed Services Employment and Reemployment Rights Act (USERRA) (38 USC 4322). Veterans' Preference activities, which are intended to assist veterans in obtaining Federal employment (39 U.S.C. 4214), are also supported under this activity.

Resources under this activity are also used to evaluate the job training and employment assistance services provided to veterans under the Jobs for Veterans State Grants (38 U.S.C. 4102A), the Homeless Veterans Reintegration Program (Section 738 of the Stewart B. McKinney Homeless Assistance Act (MHAA) of July 1987, and amended by Section 5 of the Homeless Veterans Comprehensive Assistance Act (HVCAA of 2001)). This budget activity supports field activities and personnel who provide technical assistance to grantees to ensure they meet negotiated and mandated performance goals and other grant provisions.

This budget activity also supports the oversight and development of policies for the Transition Assistance Program (10 U.S.C. 1144 and 38 U.S.C. 4113). The activity funds outreach and education efforts, such as job fairs, that raise the awareness of employers about the benefits of hiring veterans. The activities of the Advisory Committee for Veterans Employment, Training, and Employer Outreach (38 U.S.C. 4110) also are supported.

*National Veterans' Employment and Training Services Institute.*—The National Veterans' Training Institute (NVTI) supplies competency-based training to Federal and State providers of services to veterans (38 U.S.C. 4109). NVTI also provides training for VETS personnel. NVTI is administered through a contract and supported by dedicated funds. NVTI ensures that these service providers receive a comprehensive foundation so they can effectively assist job-seeking veterans.

*Homeless Veterans' Reintegration Program.*—The Homeless Veterans' Reintegration Program (HVRP) (38 U.S.C. 2021) provides grants to States or other public entities, as well as to non-profits, including faith-based or

VETERANS EMPLOYMENT AND TRAINING—Continued

ganizations. Grant awards enable grantees to operate employment programs to reach out to homeless veterans and help them become employed. VETS partners with the Departments of Veterans Affairs and Housing and Urban Development to promote multi-agency-funded programs that integrate the different services needed by homeless veterans. HVRP grants are provided for both urban and rural areas.

Object Classification (in millions of dollars)

Identification code 016-0164-0-1-702	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	21	22	22
12.1 Civilian personnel benefits .....	6	6	7
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	.....	.....
25.2 Other services from non-Federal sources .....	18	18	18
25.3 Other goods and services from Federal sources .....	9	7	8
25.7 Operation and maintenance of equipment .....	2	1	2
41.0 Grants, subsidies, and contributions .....	209	213	225
99.0 Direct obligations .....	270	271	286
99.9 Total new obligations .....	270	271	286

Employment Summary

Identification code 016-0164-0-1-702	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	229	230	230

IT MODERNIZATION

For necessary expenses for Department of Labor centralized infrastructure technology investment activities related to support systems and modernization, **[\$29,778,000]** \$63,162,000, which shall be available through September 30, 2018. (Department of Labor Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 016-0162-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Departmental Support Systems .....	5	5	5
0002 IT Infrastructure Modernization .....	10	25	58
0100 Direct program activities, subtotal .....	15	30	63
0900 Total new obligations .....	15	30	63
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	15	30	63
1930 Total budgetary resources available .....	15	30	63
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	10	29
3010 Obligations incurred, unexpired accounts .....	15	30	63
3020 Outlays (gross) .....	-10	-11	-35
3050 Unpaid obligations, end of year .....	10	29	57
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	10	29
3200 Obligated balance, end of year .....	10	29	57
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	15	30	63
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	7	8	16
4011 Outlays from discretionary balances .....	3	3	19
4020 Outlays, gross (total) .....	10	11	35
4180 Budget authority, net (total) .....	15	30	63
4190 Outlays, net (total) .....	10	11	35

Departmental Support Systems.—This activity represents a permanent, centralized IT investment fund for the Department of Labor managed by the Chief Information Officer. The fund is used to support process improvements, modernization, and enhancements to Departmental common universal support processes and systems, as well as enterprise-wide programs for effective IT management and decision making.

IT Infrastructure Modernization.—This Chief Information Officer-managed activity funds the effort to transform nine major independently funded and managed IT infrastructure silos at the sub-agency level into a unified IT infrastructure. The unified infrastructure will be centrally managed and provide all agencies with general purpose business productivity tools, a shared environment for common data sources, and the underlying IT services to support it.

Object Classification (in millions of dollars)

Identification code 016-0162-0-1-505	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	10	23	56
25.7 Operation and maintenance of equipment .....	3	4	4
31.0 Equipment .....	2	3	3
99.9 Total new obligations .....	15	30	63

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 016-4601-0-4-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Financial and administrative services (includes Core Financial) .....	189	194	205
0802 Field services .....	41	40	41
0804 Human resources services .....	35	33	33
0805 Telecommunications .....	24	32	32
0806 Non-DOL Reimbursables .....	8	2	2
0900 Total new obligations .....	297	301	313
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	24	17	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	3	3	3
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	29	22	5
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	285	279	308
1930 Total budgetary resources available .....	314	301	313
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	17	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	87	133	76
3010 Obligations incurred, unexpired accounts .....	297	301	313
3020 Outlays (gross) .....	-249	-356	-310
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	133	76	77
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	87	133	76
3200 Obligated balance, end of year .....	133	76	77
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	285	279	308
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	210	258	284
4011 Outlays from discretionary balances .....	39	98	26
4020 Outlays, gross (total) .....	249	356	310
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-278	-279	-308
4033 Non-Federal sources .....	-7	.....	.....

4040	Offsets against gross budget authority and outlays (total) ....	-285	-279	-308
4080	Outlays, net (discretionary) .....	-36	77	2
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-36	77	2

**Financial and Administrative Services.**—Provides a program of centralized services at both the national and regional levels supporting financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management. In addition, support is provided for the operation and maintenance of the New Core Financial Management System.

**Field Services.**—Provides a full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

**Human Resources Services.**—Provides leadership, guidance, and technical expertise in all areas related to the management of the Department's human resources, including recruitment, development, and retention of staff, and leadership in labor-management cooperation. This activity's focus is on a strategic planning process that will result in sustained leadership and assistance to DOL agencies in recruiting, developing and retaining a high quality, diverse workforce that effectively meets the changing mission requirements and program priorities of the Department.

**Telecommunications.**—Provides for departmental telecommunications payments to the General Services Administration.

**Non-DOL Reimbursements.**—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services and support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

**Financing.**—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave.

**Object Classification** (in millions of dollars)

Identification code 016-4601-0-4-505	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	68	70	71
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	69	71	72
12.1 Civilian personnel benefits .....	28	29	30
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	9	9	10
23.3 Communications, utilities, and miscellaneous charges .....	34	36	36
25.1 Advisory and assistance services .....	22	20	20
25.2 Other services from non-Federal sources .....	16	17	17
25.3 Other goods and services from Federal sources .....	61	56	64
25.4 Operation and maintenance of facilities .....	17	18	18
25.7 Operation and maintenance of equipment .....	28	33	33
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	11	10	10
99.9 Total new obligations .....	297	301	313

**Employment Summary**

Identification code 016-4601-0-4-505	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	696	719	721

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
016-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....		1	1
016-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	17	28	28
Legislative proposal, subject to PAYGO .....			38
General Fund Offsetting receipts from the public .....	17	29	67

**GENERAL PROVISIONS**

SEC. 101. None of the funds appropriated by this Act for the Job Corps shall be used to pay the salary and bonuses of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

(TRANSFER OF FUNDS)

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between a program, project, or activity, but no such program, project, or activity shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 103. In accordance with Executive Order 13126, none of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended for the procurement of goods mined, produced, manufactured, or harvested or services rendered, in whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of this Act.

SEC. 104. Except as otherwise provided in this section, none of the funds made available to the Department of Labor for grants under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 (29 U.S.C. 2916a) may be used for any purpose other than competitive grants for training individuals [who are older than 16 years of age and are not currently enrolled in school within a local educational agency] in the occupations and industries for which employers are using H-1B visas to hire foreign workers, and the related activities necessary to support such training: *Provided*, That up to \$13,000,000 of such funds shall be available for obligation through September 30, 2017 to process permanent foreign labor certifications under section 212(a)(5)(A) of the Immigration and Nationality Act (8 U.S.C. 1182(a)(5)(A)): *Provided further*, That the funding limitation under this section shall not apply to funding provided pursuant to solicitations for grant applications issued before January 15, 2014].

SEC. 105. None of the funds made available by this Act under the heading "Employment and Training Administration" shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment and Training Administration programs.

(TRANSFER OF FUNDS)

SEC. 106. (a) Notwithstanding section 102, the Secretary may transfer funds made available to the Employment and Training Administration by this Act, either directly or through a set-aside, for technical assistance services to grantees to "Program Administration" when it is determined that those services will be more efficiently performed by Federal employees: *Provided*, That this section shall not apply to section 171 of the WIOA.

(b) *Notwithstanding section 102, the Secretary may transfer not more than 0.5 percent of each discretionary appropriation made available to the Employment and Training Administration by this Act to "Program Administration" in order to carry out program integrity activities relating to any of the programs or activities that are funded under any such discretionary appropriations: Provided, That funds transferred from under paragraphs (1) and (2) of the "Office of Job Corps" account*

shall be available under paragraph (3) of such account in order to carry out program integrity activities relating to the Job Corps program: Provided further, That funds transferred under this subsection shall be available for obligation through September 30, 2018.

(TRANSFER OF FUNDS)

SEC. 107. (a) The Secretary may reserve not more than **[0.75]** percent from each appropriation made available in this Act identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor, and shall be available for obligation through September 30, **[2017]**2018: Provided, That such funds shall only be available if the Chief Evaluation Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any transfer.

(b) The accounts referred to in subsection (a) are: "Training and Employment Services", "Job Corps", "Community Service Employment for Older Americans", "State Unemployment Insurance and Employment Service Operations", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", "Office of Disability Employment Policy", funding made available to the "Bureau of International Labor Affairs" and "Women's Bureau" within the "Departmental Management, Salaries and Expenses" account, and "Veterans Employment and Training".

SEC. 108. (a) Section 7 of the Fair Labor Standards Act of 1938 (29 U.S.C. 207) shall be applied as if the following text is part of such section:

"(s)(1) The provisions of this section shall not apply for a period of 2 years after the occurrence of a major disaster to any employee—

"(A) employed to adjust or evaluate claims resulting from or relating to such major disaster, by an employer not engaged, directly or through an affiliate, in underwriting, selling, or marketing property, casualty, or liability insurance policies or contracts;

"(B) who receives from such employer on average weekly compensation of not less than \$591.00 per week or any minimum weekly amount established by the Secretary, whichever is greater, for the number of weeks such employee is engaged in any of the activities described in subparagraph (C); and

"(C) whose duties include any of the following:

"(i) interviewing insured individuals, individuals who suffered injuries or other damages or losses arising from or relating to a disaster, witnesses, or physicians;

"(ii) inspecting property damage or reviewing factual information to prepare damage estimates;

"(iii) evaluating and making recommendations regarding coverage or compensability of claims or determining liability or value aspects of claims;

"(iv) negotiating settlements; or

"(v) making recommendations regarding litigation.

"(2) The exemption in this subsection shall not affect the exemption provided by section 13(a)(1).

"(3) For purposes of this subsection—

"(A) the term "major disaster" means any disaster or catastrophe declared or designated by any State or Federal agency or department;

"(B) the term "employee employed to adjust or evaluate claims resulting from or relating to such major disaster" means an individual who timely secured or secures a license required by applicable law to engage in and perform the activities described in clauses (i) through (v) of paragraph (1)(C) relating to a major disaster, and is employed by an employer that maintains worker compensation insurance coverage or protection for its employees, if required by applicable law, and withholds applicable Federal, State, and local income and payroll taxes from the wages, salaries and any benefits of such employees; and

"(C) the term "affiliate" means a company that, by reason of ownership or control of 25 percent or more of the outstanding shares of any class of voting securities of one or more companies, directly or indirectly, controls, is controlled by, or is under common control with, another company."

(b) This section shall be effective on the date of enactment of this Act. ]

SEC. 109. Notwithstanding any other provision of law, beginning October 1, 2015, the Secretary of Labor, in consultation with the Secretary of Agriculture may select an entity to operate a Civilian Conservation Center on a competitive basis in accordance with section 147 of the WIOA, if the Secretary of Labor determines such Center has had consistently low performance under the performance accountability system in effect for the Job Corps program prior to July 1, 2016, or with re-

spect to expected levels of performance established under section 159(c) of such Act beginning July 1, 2016. ]

SEC. 110. None of the funds made available by this Act may be used to implement, administer, or enforce the Establishing a Minimum Wage for Contractors regulation published by the Department of Labor in the Federal Register on October 7, 2014 (79 Fed. Reg. 60634 et seq.), with respect to Federal contracts, permits, or other contract-like instruments entered into with the Federal Government in connection with Federal property or lands, specifically related to offering seasonal recreational services or seasonal recreation equipment rental for the general public: Provided, That this section shall not apply to lodging and food services associated with seasonal recreation services. ]

SEC. 111. (a) FLEXIBILITY WITH RESPECT TO THE CROSSING OF H-2B NONIMMIGRANTS WORKING IN THE SEAFOOD INDUSTRY.—

(1) IN GENERAL.—Subject to paragraph (2), if a petition for H-2B nonimmigrants filed by an employer in the seafood industry is granted, the employer may bring the nonimmigrants described in the petition into the United States at any time during the 120-day period beginning on the start date for which the employer is seeking the services of the nonimmigrants without filing another petition.

(2) REQUIREMENTS FOR CROSSINGS AFTER 90TH DAY.—An employer in the seafood industry may not bring H-2B nonimmigrants into the United States after the date that is 90 days after the start date for which the employer is seeking the services of the nonimmigrants unless the employer—

(A) completes a new assessment of the local labor market by—

(i) listing job orders in local newspapers on 2 separate Sundays; and

(ii) posting the job opportunity on the appropriate Department of Labor Electronic Job Registry and at the employer's place of employment; and

(B) offers the job to an equally or better qualified United States worker who—

(i) applies for the job; and

(ii) will be available at the time and place of need.

(3) EXEMPTION FROM RULES WITH RESPECT TO STAGGERING.—The Secretary of Labor shall not consider an employer in the seafood industry who brings H-2B nonimmigrants into the United States during the 120-day period specified in paragraph (1) to be staggering the date of need in violation of section 655.20(d) of title 20, Code of Federal Regulations, or any other applicable provision of law.

(b) H-2B NONIMMIGRANTS DEFINED.—In this section, the term "H-2B nonimmigrants" means aliens admitted to the United States pursuant to section 101(a)(15)(H)(ii)(B) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(B)). ]

SEC. 112. The determination of prevailing wage for the purposes of the H-2B program shall be the greater of—(1) the actual wage level paid by the employer to other employees with similar experience and qualifications for such position in the same location; or (2) the prevailing wage level for the occupational classification of the position in the geographic area in which the H-2B nonimmigrant will be employed, based on the best information available at the time of filing the petition. In the determination of prevailing wage for the purposes of the H-2B program, the Secretary shall accept private wage surveys even in instances where Occupational Employment Statistics survey data are available unless the Secretary determines that the methodology and data in the provided survey are not statistically supported. ]

SEC. 113. None of the funds in this Act shall be used to enforce the definition of corresponding employment found in 20 CFR 655.5 or the three-fourths guarantee rule definition found in 20 CFR 655.20, or any references thereto. Further, for the purpose of regulating admission of temporary workers under the H-2B program, the definition of temporary need shall be that provided in 8 CFR 214.2(h)(6)(ii)(B). ]

SEC. 114. None of the funds in this Act shall be used to implement 20 CFR 655.70 and 20 CFR 655.71. ]

(TRANSFER OF FUNDS)

SEC. 108. (a) The Secretary may reserve not more than 0.5 percent from each appropriation made available in this Act identified in subsection (b) in order to carry out information technology purchases and upgrades for any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Information Officer within the Department of Labor, and shall be available for obligation through September 30, 2018: Provided, That such funds shall only be available if the Chief Information Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the purchases and upgrades to be carried out and an explanation of why funds are not needed in the donor account 15 days in advance of any transfer.

(b) The accounts referred to in subsection (a) are: "Employment and Training Administration Program Administration", funding made available for Federal ad

administration within "Job Corps", "Foreign Labor Certification Program Administration", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", "Veterans Employment and Training", "Bureau of Labor Statistics", and "Office of Disability Employment Policy".

SEC. 109. There is hereby established in the Treasury of the United States a fund to be known as the "Nonrecurring expenses fund" (the Fund): Provided, That unobligated balances of expired discretionary funds appropriated for this or any succeeding fiscal year from the General Fund of the Treasury to the Department of Labor by this or any other Act may be transferred (not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) into the Fund: Provided further, That amounts deposited in the Fund shall be available until expended, and in addition to such other funds as may be available for such purposes, for capital acquisition necessary for the operation of the Department, including facilities infrastructure and information technology infrastructure, subject to approval by the Office of Management and Budget: Provided further, That amounts in the Fund may be obligated only after the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of the planned use of funds.

SEC. 110. The language under the "Working Capital Fund" heading in Public Law 85-67 (29 U.S.C. 563), as amended, is further amended by deleting the following: "Provided further, that the unobligated balance of the Fund shall not exceed \$20,000,000." (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

## TITLE V—GENERAL PROVISIONS

### (TRANSFER OF FUNDS)

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act. Such transferred balances shall be used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503. (a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, or appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative and State-local relationships, for presentation to any State or local legislature or legislative body itself, or for participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

[(c) The prohibitions in subsections (a) and (b) shall include any activity to advocate or promote any proposed, pending or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.]

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$28,000 and \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$5,000 from the funds available for "Federal Mediation and Conciliation Service, Salaries and Expenses"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses

not to exceed \$5,000 from funds available for "National Mediation Board, Salaries and Expenses".

SEC. 505. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state—

- (1) the percentage of the total costs of the program or project which will be financed with Federal money;
- (2) the dollar amount of Federal funds for the project or program; and
- (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 506. (a) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for any abortion.

(b) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 507. (a) The limitations established in the preceding section shall not apply to an abortion—

- (1) if the pregnancy is the result of an act of rape or incest; or
- (2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

(d)(1) None of the funds made available in this Act may be made available to a Federal agency or program, or to a State or local government, if such agency, program, or government subjects any institutional or individual health care entity to discrimination on the basis that the health care entity does not provide, pay for, provide coverage of, or refer for abortions.

(2) In this subsection, the term "health care entity" includes an individual physician or other health care professional, a hospital, a provider-sponsored organization, a health maintenance organization, a health insurance plan, or any other kind of health care facility, organization, or plan.

SEC. 508. (a) None of the funds made available in this Act may be used for—

- (1) the creation of a human embryo or embryos for research purposes; or
- (2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.204(b) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 509. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive-congressional communications.

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 510. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

SEC. 511. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in 38 U.S.C. 4212(d) regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

**SEC. 512.** None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act. ]

**SEC. 513.]512.** None of the funds made available by this Act to carry out the Library Services and Technology Act may be made available to any library covered by paragraph (1) of section 224(f) of such Act, as amended by the Children's Internet Protection Act, unless such library has made the certifications required by paragraph (4) of such section.

**SEC. 514.** (a) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2016, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) reorganizes or renames offices;
- (6) reorganizes programs or activities; or
- (7) contracts out or privatizes any functions or activities presently performed by Federal employees;

unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 15 days in advance of such reprogramming or of an announcement of intent relating to such reprogramming, whichever occurs earlier, and are notified in writing 10 days in advance of such reprogramming.

(b) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2016, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds in excess of \$500,000 or 10 percent, whichever is less, that—

- (1) augments existing programs, projects (including construction projects), or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or
- (3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress;

unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 15 days in advance of such reprogramming or of an announcement of intent relating to such reprogramming, whichever occurs earlier, and are notified in writing 10 days in advance of such reprogramming. ]

**SEC. 515.** (a) None of the funds made available in this Act may be used to request that a candidate for appointment to a Federal scientific advisory committee disclose the political affiliation or voting history of the candidate or the position that the candidate holds with respect to political issues not directly related to and necessary for the work of the committee involved.

(b) None of the funds made available in this Act may be used to disseminate information that is deliberately false or misleading. ]

**SEC. 516.** Within 45 days of enactment of this Act, each department and related agency funded through this Act shall submit an operating plan that details at the program, project, and activity level any funding allocations for fiscal year 2016 that are different than those specified in this Act, the accompanying detailed table in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or the fiscal year 2016 budget request. ]

**SEC. 517.** The Secretaries of Labor, Health and Human Services, and Education shall each prepare and submit to the Committees on Appropriations of the House of Representatives and the Senate a report on the number and amount of contracts, grants, and cooperative agreements exceeding \$500,000 in value and awarded by the Department on a non-competitive basis during each quarter of fiscal year 2016, but not to include grants awarded on a formula basis or directed by law. Such report shall include the name of the contractor or grantee, the amount of funding, the governmental purpose, including a justification for issuing the award on a non-compet-

itive basis. Such report shall be transmitted to the Committees within 30 days after the end of the quarter for which the report is submitted. ]

**SEC. 518.]513.** None of the funds appropriated in this Act shall be expended or obligated by the Commissioner of Social Security, for purposes of administering Social Security benefit payments under title II of the Social Security Act, to process any claim for credit for a quarter of coverage based on work performed under a social security account number that is not the claimant's number and the performance of such work under such number has formed the basis for a conviction of the claimant of a violation of section 208(a)(6) or (7) of the Social Security Act.

**SEC. 519.]514.** None of the funds appropriated by this Act may be used by the Commissioner of Social Security or the Social Security Administration to pay the compensation of employees of the Social Security Administration to administer Social Security benefit payments, under any agreement between the United States and Mexico establishing totalization arrangements between the social security system established by title II of the Social Security Act and the social security system of Mexico, which would not otherwise be payable but for such agreement.

**SEC. 520.]515.** Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to purchase sterile needles or syringes for the hypodermic injection of any illegal drug: *Provided*, That such limitation does not apply to the use of funds for elements of a program other than making such purchases if the relevant State or local health department, in consultation with the Centers for Disease Control and Prevention, determines that the State or local jurisdiction, as applicable, is experiencing, or is at risk for, a significant increase in hepatitis infections or an HIV outbreak due to injection drug use, and such program is operating in accordance with State and local law.

**SEC. 521.]516.** (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

**SEC. 522.]517.** None of the funds made available under this or any other Act, or any prior Appropriations Act, may be provided to the Association of Community Organizations for Reform Now (ACORN), or any of its affiliates, subsidiaries, allied organizations, or successors.

**SEC. 523.]518.** For purposes of carrying out Executive Order 13589, Office of Management and Budget Memorandum M-12-12 dated May 11, 2012, and requirements contained in the annual appropriations bills relating to conference attendance and expenditures:

- (1) the operating divisions of HHS shall be considered independent agencies; and
- (2) attendance at and support for scientific conferences shall be tabulated separately from and not included in agency totals.

**SEC. 524.** Federal agencies funded under this Act shall clearly state within the text, audio, or video used for advertising or educational purposes, including emails or Internet postings, that the communication is printed, published, or produced and disseminated at U.S. taxpayer expense. The funds used by a Federal agency to carry out this requirement shall be derived from amounts made available to the agency for advertising or other communications regarding the programs and activities of the agency. ]

**SEC. 525.]519.** (a) Federal agencies may use Federal discretionary funds that are made available in this Act to carry out up to 10 Performance Partnership Pilots. Such Pilots [shall—]

- [(1) be designed to improve outcomes for disconnected youth; ]
- [(2) include communities that have recently experienced civil unrest; and ]

(I) [(3) involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training, employment, and other related social services. Such Pilots] shall be governed by the provisions of section 526 of division H of Public Law 113-76, except that in carrying out such Pilots section 526 shall be applied by substituting "Fiscal Year [2016"]2017" for "Fiscal Year 2014" in the title of subsection (b) and by substituting "September 30, [2020"]2021" for "September 30, 2018" each place it appears. *Such pilots shall include communities that have recently experienced civil unrest.*

(b) In addition, Federal agencies may use Federal discretionary funds that are made available in this Act to participate in Performance Partnership Pilots that are being carried out pursuant to the authority provided by section 526 of division H of Public Law 113-76, [and] section 524 of division G of Public Law 113-235 [; *Provided*, That new pilots that are being carried out with discretionary funds made available in division G of Public Law 113-235 shall include communities that have recently experienced civil unrest], *and section 525 of division H of Public Law 114-113.*

【SEC. 526. Not later than 30 days after the end of each calendar quarter, beginning with the first quarter of fiscal year 2013, the Departments of Labor, Health and Human Services and Education and the Social Security Administration shall provide the Committees on Appropriations of the House of Representatives and Senate a quarterly report on the status of balances of appropriations: *Provided*, That for balances that are unobligated and uncommitted, committed, and obligated but unexpended, the quarterly reports shall separately identify the amounts attributable to each source year of appropriation (beginning with fiscal year 2012, or, to the extent feasible, earlier fiscal years) from which balances were derived.】

【SEC. 527. Section 2812(d)(2) of the Public Health Service Act (42 U.S.C. 300hh-11(d)(2)) is amended—

(1) by redesignating the three sentences as subparagraphs (A), (B), and (C), respectively, and indenting accordingly;

(2) in subparagraph (A), as so redesignated, by striking "An" and inserting "In general.—An";

(3) in subparagraph (B), as so redesignated, by striking "With" and inserting "Application to training programs.—With";

(4) in subparagraph (C), as so redesignated, by striking "In" and inserting "Responsibility of labor secretary.—In"; and

(5) by adding at the end the following new subparagraphs:

"(D) COMPUTATION OF PAY.—In the event of an injury to such an intermittent disaster response appointee, the position of the employee shall be deemed to be "one which would have afforded employment for substantially a whole year", for purposes of section 8114(d)(2) of such title.

"(E) CONTINUATION OF PAY.—The weekly pay of such an employee shall be deemed to be the hourly pay in effect on the date of the injury multiplied by 40, for purposes of computing benefits under section 8118 of such title."】

【(RESCISSION)】

【SEC. 528. Of the funds made available for fiscal year 2016 under section 3403 of Public Law 111-148, \$15,000,000 are rescinded.】

SEC. 【529】520. Amounts deposited 【or available】 in the Child Enrollment Contingency Fund from 【appropriations】*the appropriation* to the Fund *for the first semi-annual allotment period for fiscal year 2017* under section 2104(n)(2)(A)(ii)

of the Social Security Act and the income derived from investment of those funds pursuant to 2104(n)(2)(C) of that Act, shall not be available for obligation in this fiscal year.

【(RESCISSION)】CANCELLATIONS

SEC. 【530】521. Of any available amounts appropriated under section 108 of Public Law 111-3, as amended, 【\$4,678,500,000】\$541,900,000 are hereby 【rescinded】*permanently cancelled*.

SEC. 522. *Of the funds made available for purposes of carrying out section 2105(a)(3) of the Social Security Act, \$5,407,100,000 are hereby permanently cancelled.*

(TRANSFER)

SEC. 523. *EVALUATION FUNDING FLEXIBILITY PILOT.*

(a) *This section applies to:*

(1) *the Office of the Assistant Secretary for Planning and Evaluation within the Office of the Secretary and the Administration for Children and Families in the Department of Health and Human Services; and*

(2) *the Chief Evaluation Office and the statistical-related cooperative and inter-agency agreements and contracting activities of the Bureau of Labor Statistics in the Department of Labor.*

(b) *Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the functions and organizations identified in subsection (a) for research, evaluation, or statistical purposes shall be available for obligation through September 30, 2021. When an office referenced in subsection (a) receives research and evaluation funding from multiple appropriations, such offices may use a single Treasury account for such activities, with funding advanced on a reimbursable basis.*

(c) *Amounts referenced in subsection (b) that are unexpended at the time of completion of a contract, grant, or cooperative agreement may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that account.*

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)



# DEPARTMENT OF STATE AND OTHER INTERNATIONAL PROGRAMS

The Department of State, the U.S. Agency for International Development (USAID), and other international programs support strategic investments in instruments of national security, diplomatic power, and development priorities. The 2017 Budget supports the President's signature initiatives in global health, food security, and climate change; deepens our cooperation with Allies and regional partners; continues America's leadership in the United Nations and other multilateral organizations; supports democratic societies and advocates for human rights; and invests in and protects U.S. diplomatic personnel and facilities abroad. International programs also support economic development and job creation in the U.S. by increasing trade and expanding access for U.S. businesses to international markets. The 2017 Budget also advances our national security priorities by supporting efforts to destroy the Islamic State of Iraq and the Levant (ISIL) as well as by supporting regional partners and providing humanitarian assistance; continuing the transition in Afghanistan; countering Russia's aggressive actions; and advancing security, prosperity and economic growth in the Central America Region to address the root causes of immigration. At a time when the demand for U.S. leadership and engagement has never been greater, the 2017 Budget provides America's diplomats and development professionals with the tools they need to advance our nation's interests and build a safer and more prosperous world.

4101	Outlays from mandatory balances .....	5	22	24
4110	Outlays, gross (total) .....	45	44	49
4180	Budget authority, net (total) .....	51	44	47
4190	Outlays, net (total) .....	45	44	53

## ADMINISTRATION OF FOREIGN AFFAIRS

### Federal Funds

#### H&L FRAUD PREVENTION AND DETECTION FEE

##### Program and Financing (in millions of dollars)

Identification code 019-5515-0-2-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	44	44	44
0900 Total new obligations (object class 41.0) .....	44	44	44
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	107	115	115
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	108	115	115
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	51	44	44
1203 Appropriation (previously unavailable) .....	3	3	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-3	-3	.....
1260 Appropriations, mandatory (total) .....	51	44	47
1900 Budget authority (total) .....	51	44	47
1930 Total budgetary resources available .....	159	159	162
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	115	115	118
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	19	19
3010 Obligations incurred, unexpired accounts .....	44	44	44
3020 Outlays (gross) .....	-45	-44	-53
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	19	19	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	19	19
3200 Obligated balance, end of year .....	19	19	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	.....	4
Mandatory:			
4090 Budget authority, gross .....	51	44	47
Outlays, gross:			
4100 Outlays from new mandatory authority .....	40	22	25

### DIPLOMATIC AND CONSULAR PROGRAMS

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, **[\$5,622,170,000]** \$6,539,932,000, [of which up to \$629,055,000 may ] to remain available until September 30, [2017] 2018, and of which up to **[\$1,428,468,000]** \$1,899,479,000 may remain available until expended for Worldwide Security Protection: *Provided*, That funds made available under this heading shall be allocated in accordance with paragraphs (1) through (4) as follows:

(1) **HUMAN RESOURCES.**—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, **[\$2,181,622,000]** \$2,570,907,000, of which up to **[\$358,833,000]** \$463,417,000 is for Worldwide Security Protection.

(2) **OVERSEAS PROGRAMS.**—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, **[\$1,561,840,000]** \$1,756,704,000.

(3) **DIPLOMATIC POLICY AND SUPPORT.**—For necessary expenses for the functional bureaus of the Department of State, including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation and disarmament activities as authorized, **[\$791,121,000]** \$754,014,000.

(4) **SECURITY PROGRAMS.**—For necessary expenses for security activities, **[\$1,087,587,000]** \$1,458,307,000 of which up to **[\$1,069,635,000]** \$1,436,062,000 is for Worldwide Security Protection.

(5) **FEES AND PAYMENTS COLLECTED.**—In addition to amounts otherwise made available under this heading—

**[(A)]** not to exceed \$1,840,900 shall be derived from fees collected from other executive agencies for lease or use of facilities located at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$743,000, to be derived from the reserve authorized by that section, to be used for the purposes set out in that section;

**[(B)] (A)** as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and **[(from)]** fees from educational advising and counseling and exchange visitor programs; and

**[(C)] (B)** not to exceed \$15,000, which shall be derived from reimbursements, surcharges, and fees for use of Blair House facilities.

(6) **TRANSFER, REPROGRAMMING, AND OTHER MATTERS.**—

(A) Notwithstanding any other provision of this Act, funds may be reprogrammed within and between paragraphs (1) through (4) under this heading subject to section **[(7015)]** 7011 of this Act.

(B) Of the amount made available under this heading, not to exceed \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized.

(C) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to section 1108(g) of title 31, United States Code, for the field examination of programs and activities in the United States funded from any account contained in this title.

(D) Funds appropriated under this heading may be made available for Conflict Stabilization Operations and for related reconstruction and stabilization assistance to prevent or respond to conflict or civil strife in foreign countries or regions, or to enable transition from such strife: *Provided, That the Secretary may appoint, on a time-limited basis, solely to carry out reconstruction and stabilization activities, employees without regard to the provisions of title 5 governing appointment in the competitive service and may fix the basic compensation of such employees without regard to chapter 51 and subchapter III of chapter 53 of title five.*

DIPLOMATIC AND CONSULAR PROGRAMS—Continued

[(E) Funds appropriated under this heading in this Act that are designated for Worldwide Security Protection shall continue to be made available for support of security-related training at sites in existence prior to the enactment of this Act: *Provided*, That in addition to such funds, up to \$99,113,000 of the funds made available under this heading in this Act may be obligated for a Foreign Affairs Security Training Center (FASTC) only after the Secretary of State—]

[(i) submits to the appropriate congressional committees a comprehensive analysis of a minimum of three different locations for FASTC assessing the feasibility and comparing the costs and benefits of delivering training at each such location; and]

[(ii) notifies the appropriate congressional committees at least 15 days in advance of such obligation: *Provided*, That such notification shall also include a justification for any decision made by the Department of State to obligate funds for FASTC.]

[(F) None of the funds appropriated under this heading may be used for the preservation of religious sites unless the Secretary of State determines and reports to the Committees on Appropriations that such sites are historically, artistically, or culturally significant, that the purpose of the project is neither to advance nor to inhibit the free exercise of religion, and that the project is in the national interest of the United States.]

(E) Of the amount made available under this heading, not to exceed \$1,000,000 may be used to make grants to carry out the activities of the Cultural Antiquities Task Force. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 019–0113–0–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Human Resources .....	2,161	2,183	2,250
0002 Overseas Programs .....	1,278	1,291	1,354
0003 Overseas Programs - Public Diplomacy .....	351	354	389
0005 Diplomatic Policy and Support .....	879	888	976
0006 Security .....	18	18	18
0007 Security - Worldwide Security Protection .....	2,278	1,550	1,915
0008 Overseas Contingency Operations .....	1,601	2,359	.....
0799 Total direct obligations .....	8,566	8,643	6,902
0801 Diplomatic and Consular Programs (Reimbursable) .....	5,859	6,639	3,744
0900 Total new obligations .....	14,425	15,282	10,646
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,419	2,234	1,794
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,419	.....	.....
1011 Unobligated balance transfer from other acct [019–0524] .....	88	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	393	.....	.....
1021 Recoveries of prior year unpaid obligations .....	280	.....	.....
1050 Unobligated balance (total) .....	3,180	2,234	1,794
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,461	5,622	6,540
1100 Appropriation - OCO .....	1,351	2,562	.....
1100 Appropriation - Ebola .....	36	.....	.....
1120 Appropriations transferred to other accts [019–5177] .....	–2	.....	.....
1120 Appropriations transferred to other accts [019–0209] .....	–5	.....	.....
1120 Appropriations transferred to other accts [019–0121] .....	–23	.....	.....
1120 Appropriations transferred to other acct [019–0535] .....	–57	.....	.....
1120 Appropriations transferred to other acct [019–0113] .....	–2,348	.....	.....
1121 Appropriations transferred from other acct [019–0113] .....	2,348	.....	.....
1160 Appropriation, discretionary (total) .....	7,761	8,184	6,540
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5,798	6,658	3,744
1701 Change in uncollected payments, Federal sources .....	9	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	5,807	6,658	3,744
1900 Budget authority (total) .....	13,568	14,842	10,284
1930 Total budgetary resources available .....	16,748	17,076	12,078
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–89	.....	.....
1941 Unexpired unobligated balance, end of year .....	2,234	1,794	1,432
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,475	5,484	6,077
3010 Obligations incurred, unexpired accounts .....	14,425	15,282	10,646
3011 Obligations incurred, expired accounts .....	142	.....	.....

3020 Outlays (gross) .....	–13,881	–14,689	–11,326
3040 Recoveries of prior year unpaid obligations, unexpired .....	–280	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–397	.....	.....
3050 Unpaid obligations, end of year .....	5,484	6,077	5,397
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–135	–54	–54
3070 Change in uncollected pymts, Fed sources, unexpired .....	–9	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	90	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–54	–54	–54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,340	5,430	6,023
3200 Obligated balance, end of year .....	5,430	6,023	5,343

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	13,568	14,842	10,284
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9,841	8,160	6,237
4011 Outlays from discretionary balances .....	4,040	6,529	5,089
4020 Outlays, gross (total) .....	13,881	14,689	11,326
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–2,477	–2,524	–3,574
4033 Non-Federal sources .....	–3,466	–4,134	–170
4040 Offsets against gross budget authority and outlays (total) .....	–5,943	–6,658	–3,744
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–9	.....	.....
4052 Offsetting collections credited to expired accounts .....	145	.....	.....
4060 Additional offsets against budget authority only (total) .....	136	.....	.....
4070 Budget authority, net (discretionary) .....	7,761	8,184	6,540
4080 Outlays, net (discretionary) .....	7,938	8,031	7,582
4180 Budget authority, net (total) .....	7,761	8,184	6,540
4190 Outlays, net (total) .....	7,938	8,031	7,582

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	7,761	8,184	6,540
Outlays .....	7,938	8,031	7,582
Overseas contingency operations:			
Budget Authority .....	.....	.....	2,132
Outlays .....	.....	.....	805
Total:			
Budget Authority .....	7,761	8,184	8,672
Outlays .....	7,938	8,031	8,387

Diplomatic and Consular Programs (D&CP) are financed by this appropriation, fees for services, and reimbursements from other agencies (including for administrative and other services provided by the Department of State). As in previous years, two-year funding is requested for this account, except for funds requested for Worldwide Security Protection (WSP) and Conflict Stabilization Operations (CSO), which are to remain available until expended. D&CP is the Department of State's primary operating account and funds a broad range of activities from policy setting, planning and design, to implementation and operations and maintenance. The 2017 request includes base funding for the State Department operations in Iraq, Afghanistan, and Pakistan. The balance of the funding requested for operations in Iraq, Afghanistan, and Pakistan is included in the Overseas Contingency Operations (OCO) account request for the D&CP account.

Funds are requested in the following categories:

*Human Resources.*—This category supports American salaries at overseas and domestic United States diplomatic missions, including Department of State employees carrying out security protection activities. Professional development and training is a continuous process by which the Department ensures that its professionals have the skills, experience and judgment to fulfill its functions at all levels. Training programs are designed to provide employees with the specific functional area and language skills needed for the conduct of foreign relations in the Department and abroad. This activity also supports the management, recruitment, and performance evaluation of Foreign and Civil Service employees (including efforts to attract a diverse applicant pool) and locally employed staff.

*Overseas Programs.*—This category provides funding for the operational programs of all the regional bureaus of the Department of State, which are responsible for managing United States foreign policy through bilateral and multilateral relationships. Funds made available for 2017 will support 275 United States embassies, consulates, and other diplomatic posts worldwide. Resources for this activity are used to provide for: the political and economic reporting and analysis of interests to the United States; the representation of U.S. diplomatic and national interests to countries abroad; and the bilateral and multilateral negotiation of U.S. foreign policy objectives, including the hosting of and participation in various international conferences, meetings and other multilateral activities in the United States and abroad. Resources in this appropriation support the conduct of international informational programs of the United States. These resources are used to define, explain and advocate U.S. policies abroad and to seek to increase knowledge and understanding among foreign audiences of U.S. society and its values. This activity also encompasses medical programs for the Department of State, the Foreign Service and other U.S. Government departments and agencies overseas. Centralized funding for travel and transportation of effects associated with the assignment, transfer, home leave and separation of the Department's personnel and dependents is also included in this activity. This category also supports reconstruction and stabilization activities of the Conflict Stabilization Operations (CSO) Bureau.

*Diplomatic Policy and Support.*—This category supports the operational programs of the functional bureaus of the Department of State, which includes providing overall policy direction, coordination, and program management among United States missions abroad in pursuit of regional and global foreign policy objectives, including the hosting of various international conferences and meetings in the United States and abroad. Resources also fund the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations and other verification and compliance activities, in addition to funds otherwise available for such purposes. The information management activity in D&CP includes resources that are used for the creation, collection, processing, use, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business. Components of the information management activity include: telecommunications, information security, information system services, pouch, mail and publishing services for both unclassified and classified information. These activities include domestic and overseas execution of Department programs, such as budget and financial management, contracting and procurement, domestic facilities and vehicles, and rental payments to GSA. These funds also provide for the development, lease, or exchange to foreign governments or international organizations of property owned by the United States at the International Center located in Washington, D.C. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements that have not been conveyed to a government or international organization and for surveys and plans related to development of additional areas within the Nation's Capital for chancery and diplomatic purposes.

*Security Programs.*—This category provides for the operation of security programs, including for Worldwide Security Protection (WSP) and the Bureau of Diplomatic Security, to protect diplomatic personnel, overseas diplomatic missions, residences, domestic facilities and information. The salaries paid to Department employees who carry out the security protection function worldwide are included in the Human Resources program activity. This activity identifies resources that are used in meeting security and counterterrorism responsibilities, both foreign and domestic. Programs covered in this activity include but are not limited to: security operations; engineering services, which are related to the technical defense of U.S. Government personnel and establishments abroad against electronic and physical attack; homeland security related activities; protection of Department personnel and foreign dignitaries; and physical security operations. Effective October 1, 2017 the Department intends to seek the establish-

ment of a standalone Worldwide Security Protection (WSP) account. WSP has grown considerably since it was first created, and now represents a sizeable percentage of the total D&CP appropriation. The Department has taken steps over recent years as part of its Security Realignment Initiative to consolidate all DS funding under the WSP umbrella, and the transfer of all DS domestic staff from D&CP to WSP in the FY 2017 request represents the final step toward complete realignment.

**Object Classification** (in millions of dollars)

Identification code 019-0113-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2,492	2,524	2,557
11.3 Other than full-time permanent .....	152	154	156
11.5 Other personnel compensation .....	217	220	223
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	2,866	2,903	2,941
12.1 Civilian personnel benefits .....	1,001	1,014	1,020
13.0 Benefits for former personnel .....	5	5	5
21.0 Travel and transportation of persons .....	230	232	172
22.0 Transportation of things .....	57	57	42
23.1 Rental payments to GSA .....	172	174	129
23.3 Communications, utilities, and miscellaneous charges .....	383	385	204
24.0 Printing and reproduction .....	154	155	115
25.1 Advisory and assistance services .....	47	47	35
25.2 Other services from non-Federal sources .....	277	278	187
25.3 Other goods and services from Federal sources .....	116	117	87
25.3 Purchases of goods and services from Government accounts (ICASS) .....	2,422	2,433	1,356
25.4 Operation and maintenance of facilities .....	207	208	155
25.6 Medical care .....	12	12	9
25.7 Operation and maintenance of equipment .....	13	13	10
26.0 Supplies and materials .....	165	167	115
31.0 Equipment .....	270	272	193
41.0 Grants, subsidies, and contributions .....	159	161	120
42.0 Insurance claims and indemnities .....	10	10	7
99.0 Direct obligations .....	8,566	8,643	6,902
99.0 Reimbursable obligations .....	5,859	6,639	3,744
99.9 Total new obligations .....	14,425	15,282	10,646

**Employment Summary**

Identification code 019-0113-0-1-153	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18,698	18,700	18,735
2001 Reimbursable civilian full-time equivalent employment .....	5,119	5,431	5,743

**CONSULAR AND BORDER SECURITY PROGRAMS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 019-5713-0-2-153	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Consular and Border Security Programs, Machine Readable Visa Fee .....			2,536
1130 Consular and Border Security Programs, Machine Readable Visa Fee .....			2
1130 Consular and Border Security Programs, Expedited Passport Fees .....			276
1130 Consular and Border Security Programs, Passport Security Surcharge .....			1,153
1130 Consular and Border Security Programs, Western Hemisphere Travel Surcharge .....			461
1130 Consular and Border Security Programs, Immigrant Visa Security Surcharge .....			50
1130 Consular and Border Security Programs, Affidavit of Support Fee .....			32
1130 Consular and Border Security Programs, Diversity Visa Lottery Fee .....			17
1199 Total current law receipts .....			4,527
1999 Total receipts .....			4,527
2000 Total: Balances and receipts .....			4,527

CONSULAR AND BORDER SECURITY PROGRAMS—Continued  
Special and Trust Fund Receipts—Continued

Identification code 019–5713–0–2–153	2015 actual	2016 est.	2017 est.
<b>Appropriations:</b>			
Current law:			
2101 Consular and Border Security Programs .....			–4,527
5099 Balance, end of year .....			
<b>Program and Financing</b> (in millions of dollars)			
Identification code 019–5713–0–2–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Consular and Border Security Programs (Direct) .....			4,368
0900 Total new obligations (object class 25.2) .....			4,368
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			4,527
1930 Total budgetary resources available .....			4,527
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			159
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4,368
3020 Outlays (gross) .....			–3,623
3050 Unpaid obligations, end of year .....			745
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			745
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4,527
Outlays, gross:			
4010 Outlays from new discretionary authority .....			3,623
4180 Budget authority, net (total) .....			4,527
4190 Outlays, net (total) .....			3,623

The Consular and Border Security Programs account (CBSP) uses revenue from consular fees and surcharges to fund programs and activities, consistent with applicable statutory authorities. These fees and surcharges include Machine Readable Visa (MRV) fees, Western Hemisphere Travel Initiative (WHTI) surcharges, Passport Security surcharge, Immigrant Visa Security surcharge, the Diversity Visa Lottery fee, the Affidavit of Support fee, and the Expedited Passport fee. In FY 2016 and prior years, these fees were credited in the Diplomatic and Consular Programs account as spending authority from offsetting collections. The FY 2017 President's Budget proposes a new standalone account to display fee-funded consular programs independent of the larger Diplomatic and Consular Programs account beginning in Fiscal Year 2018. This change will enable the Department to make financial reporting and budget estimates for these fees and surcharges more easily available to users of budget information and other stakeholders. Section 7048 of the general provisions provides the legislative language to establish the new account and transfer authority to accounts under the heading Administration of Foreign Affairs.

These consular fees and surcharges support an array of activities that play a vital role in ensuring U.S. border security remains strong, including routine and emergency services for American citizens overseas; the issuance of secure passports to American citizens at 29 passport facilities and a partner network of more than 8,000 passport acceptance facilities domestically; the adjudication of visa applications; the prevention and detection of fraud involving visas and passports; and the Department's information technology programs. Together with the Department of Homeland Security, the Department of Justice, the Intelligence Community, Department of the Treasury, and the law enforcement community, the Department has built

a layered visa and border security screening system that rests on training, technological advances, biometric innovations and expanded data sharing.

## INTERNATIONAL INFORMATION PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 019–0201–0–1–154	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The appropriation for overseas information and cultural programs previously provided to the U.S. Information Agency and designed to inform and influence foreign audiences has been administered by the Department of State and funded from the Diplomatic and Consular programs and other accounts within the Department of State since 2000, except those activities as are associated with international broadcasting functions which are funded from the Broadcasting Board of Governors account. This schedule reflects the spend-out of prior year funds.

## CONFLICT STABILIZATION OPERATIONS

## Program and Financing (in millions of dollars)

Identification code 019–0121–0–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Conflict Stabilization Operations .....	24	29	
0002 Conflict Stabilization Operations - OCO .....	12		
0100 Direct program activities, subtotal .....	36	29	
0900 Total new obligations .....	36	29	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	29	
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	26	29	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - OCO .....	15		
1121 Appropriations transferred from other acct [019–0113] .....	23		
1160 Appropriation, discretionary (total) .....	38		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	39		
1930 Total budgetary resources available .....	65	29	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	14	21
3010 Obligations incurred, unexpired accounts .....	36	29	
3020 Outlays (gross) .....	–31	–22	–6
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7		
3050 Unpaid obligations, end of year .....	14	21	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	14	21
3200 Obligated balance, end of year .....	14	21	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	39		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22		
4011 Outlays from discretionary balances .....	9	22	6
4020 Outlays, gross (total) .....	31	22	6

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	
4180	Budget authority, net (total) .....	38	
4190	Outlays, net (total) .....	30	22 6

For FY 2017, Conflict Stabilization Operations funding is requested under the Diplomatic and Consular Programs account.

**Object Classification** (in millions of dollars)

Identification code 019-0121-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....	12	
12.1	Civilian personnel benefits .....	4	
21.0	Travel and transportation of persons .....	2	3
23.3	Communications, utilities, and miscellaneous charges .....	1	2
25.2	Other services from non-Federal sources .....	13	17
31.0	Equipment .....	1	2
41.0	Grants, subsidies, and contributions .....	3	5
99.9	Total new obligations .....	36	29

**Employment Summary**

Identification code 019-0121-0-1-153	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	135	

**CAPITAL INVESTMENT FUND**

For necessary expenses of the Capital Investment Fund, **[\$66,400,000]** \$12,600,000, to remain available until expended, as authorized. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019-0120-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Capital Investment Fund .....	60	68 15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	5	4 2
1021	Recoveries of prior year unpaid obligations .....	3	
1050	Unobligated balance (total) .....	8	4 2
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	56	66 13
1930	Total budgetary resources available .....	64	70 15
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	4	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	61	43 38
3010	Obligations incurred, unexpired accounts .....	60	68 15
3020	Outlays (gross) .....	-69	-73 -26
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	
3041	Recoveries of prior year unpaid obligations, expired .....	-6	
3050	Unpaid obligations, end of year .....	43	38 27
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	61	43 38
3200	Obligated balance, end of year .....	43	38 27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	56	66 13
Outlays, gross:			
4010	Outlays from new discretionary authority .....	25	33 6
4011	Outlays from discretionary balances .....	44	40 20
4020	Outlays, gross (total) .....	69	73 26
4180	Budget authority, net (total) .....	56	66 13
4190	Outlays, net (total) .....	69	73 26

The Capital Investment Fund provides for the procurement of information technology and other related capital investments for the Department of

State and is designed to ensure the efficient management, coordination, operation, and utilization of such resources. The fund is used to acquire and maintain information technology and other related capital investments necessary to improve operational performance in a continually evolving technological environment.

**Object Classification** (in millions of dollars)

Identification code 019-0120-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2	Other services from non-Federal sources .....	49	56 12
31.0	Equipment .....	11	12 3
99.9	Total new obligations .....	60	68 15

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General, **[\$72,700,000]** \$87,069,000, to remain available until September 30, 2018, notwithstanding section 209(a)(1) of the Foreign Service Act of 1980 (Public Law 96-465), as it relates to post inspections [; Provided, That of the funds appropriated under this heading, \$10,905,000 may remain available until September 30, 2017]. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019-0529-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002	Office of the Inspector General (Direct) .....	73	75 90
0005	Office of the Inspector General (SIGAR) - OCO .....	57	69
0799	Total direct obligations .....	130	144 90
0801	Office of the Inspector General (Reimbursable) .....	7	5 5
0900	Total new obligations .....	137	149 95
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	7	7 3
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	73	73 87
1100	Appropriation - OCO .....	57	67
1160	Appropriation, discretionary (total) .....	130	140 87
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	7	5 5
1900	Budget authority (total) .....	137	145 92
1930	Total budgetary resources available .....	144	152 95
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	7	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	71	133 140
3010	Obligations incurred, unexpired accounts .....	137	149 95
3020	Outlays (gross) .....	-70	-142 -129
3041	Recoveries of prior year unpaid obligations, expired .....	-5	
3050	Unpaid obligations, end of year .....	133	140 106
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	71	133 140
3200	Obligated balance, end of year .....	133	140 106
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	137	145 92
Outlays, gross:			
4010	Outlays from new discretionary authority .....	53	114 70
4011	Outlays from discretionary balances .....	17	28 59
4020	Outlays, gross (total) .....	70	142 129
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-7	-5 -5
4180	Budget authority, net (total) .....	130	140 87
4190	Outlays, net (total) .....	63	137 124

OFFICE OF INSPECTOR GENERAL—Continued  
Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	130	140	87
Outlays .....	63	137	124
Overseas contingency operations:			
Budget Authority .....			55
Outlays .....			44
Total:			
Budget Authority .....	130	140	142
Outlays .....	63	137	168

This appropriation provides for the conduct or supervision of all audits, investigations, and inspections of the Department's programs and operations as mandated by the Inspector General Act of 1978, as amended, and the Foreign Service Act of 1980, as amended. The objectives of the Office of the Inspector General are to: improve the economy, efficiency, and effectiveness of the Department's operations; detect and prevent fraud, waste, abuse, and mismanagement; and evaluate independently the formulation, applicability, and implementation of security standards at all U.S. diplomatic and consular posts. The Office also assesses the implementation of U.S. foreign policy, primarily through its inspection of all overseas posts and domestic offices on a cyclical basis. The State Department's Inspector General also serves as Inspector General of the Broadcasting Board of Governors, as mandated by law.

Object Classification (in millions of dollars)

Identification code 019-0529-0-1-153	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	39	42	43
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	43	46	47
12.1 Civilian personnel benefits .....	11	12	12
21.0 Travel and transportation of persons .....	3	3	4
23.3 Communications, utilities, and miscellaneous charges .....	2	3	2
25.2 Other services from non-Federal sources .....	19	22	23
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	50	56	
99.0 Direct obligations .....	130	144	90
99.0 Reimbursable obligations .....	7	5	5
99.9 Total new obligations .....	137	149	95

Employment Summary

Identification code 019-0529-0-1-153	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	318	318	318

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS

For expenses of educational and cultural exchange programs, as authorized, **[\$590,900,000] \$628,973,000**, to remain available until expended, of which not less than \$236,000,000 shall be for the Fulbright Program and not less than \$102,000,000 shall be for Citizen Exchange Program, including \$4,000,000 for the Congress-Bundestag Youth Exchange: *Provided*, That fees or other payments received from, or in connection with, English teaching, educational advising and counseling programs, and exchange visitor programs as authorized may be credited to this account, to remain available until expended: *Provided further*, That not later than 45 days after enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations detailing modifications made to existing educational and cultural exchange programs since calendar year 2014, including for special academic and special professional and cultural exchanges: *Provided further*, That a portion of the Fulbright awards from the Eurasia and Central Asia regions shall be designated as Edmund S. Muskie Fellowships, following consultation with the Committees on Appropriations: *Provided further*, That Department of State-designated sponsors may not issue a Form DS-2019 (Certificate of Eligibility for

Exchange Visitor (J-1) Status) to place student participants in seafood product preparation or packaging positions in the Summer Work Travel program in fiscal year 2016 unless prior to issuing such Form the sponsor provides to the Secretary of State a description of such program and verifies in writing to the Secretary that such program fully complies with part 62 of title 22 of the Code of Federal Regulations, notwithstanding subsection 62.32(h)(16) of such part, and with the requirements specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act): *Provided further*, That any substantive modifications from the prior fiscal year to programs funded by this Act under this heading shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.

*In addition, \$10,800,000 to enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 019-0209-0-1-154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Educational and Cultural Exchange Programs (Direct) .....	664	643	683
0100 Subtotal, Direct Obligations .....	664	643	683
0880 Educational and Cultural Exchange Programs (Reimbursable) .....	2	4	4
0900 Total new obligations .....	666	647	687

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	49	22
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	37	44	
1011 Unobligated balance transfer from other acct (072-1037) ....	55		
1021 Recoveries of prior year unpaid obligations .....	21	20	20
1050 Unobligated balance (total) .....	113	69	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	590	591	640
1121 Appropriations transferred from other acct [019-0113] ....	5		
1160 Appropriation, discretionary (total) .....	595	591	640
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [519-5365] ....	5	5	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	4	4
1900 Budget authority (total) .....	602	600	649
1930 Total budgetary resources available .....	715	669	691
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	49	22	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	606	618	491
3010 Obligations incurred, unexpired accounts .....	666	647	687
3020 Outlays (gross) .....	-629	-754	-660
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21	-20	-20
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	618	491	498
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	606	618	491
3200 Obligated balance, end of year .....	618	491	498

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	597	595	644
Outlays, gross:			
4010 Outlays from new discretionary authority .....	265	300	324
4011 Outlays from discretionary balances .....	364	451	331
4020 Outlays, gross (total) .....	629	751	655
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-4	-4
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-2	-4	-4
4070 Budget authority, net (discretionary) .....	595	591	640
4080 Outlays, net (discretionary) .....	627	747	651
Mandatory:			
4090 Budget authority, gross .....	5	5	5

Outlays, gross:			
4101	Outlays from mandatory balances .....	3	5
4180	Budget authority, net (total) .....	600	645
4190	Outlays, net (total) .....	627	656

This appropriation provides funding for international exchange programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic, and security policy objectives and to assist in the development of friendly, sympathetic, and peaceful relations between the United States and other countries. These goals are addressed by building increased mutual understanding through international exchange and professional development activities. Programs under this appropriation include:

**Academic Programs.**—Includes the J. William Fulbright Educational Exchange Program, which provides U.S. and foreign students, teachers, scholars, and administrators the opportunity to pursue degrees, teach, and conduct research in foreign and U.S. universities. Academic Programs also include English language programming and educational advising services. English language programs help train and develop foreign teachers of English, send Americans overseas to teach English and train instructors, teach English to disadvantaged students, and provide language learning materials and resources. Educational advising programming supports outreach to foreign students across the world to assist in the process of applying to U.S. universities and supports the President's 100,000 Strong educational exchange initiatives in the Americas and China. Additional academic programs such as the Benjamin A. Gilman International Scholarship Program provide opportunities for American participants with financial needs to study abroad.

**Professional/Cultural Exchanges.**—Includes exchanges linking U.S. and foreign participants in multiple fields directly tied to U.S. foreign policy goals. The International Visitor Leadership Program brings thousands of foreign leaders to the United States for intensive short-term professional exchanges to meet and confer with their American counterparts, gaining first-hand knowledge about U.S. society, culture and democratic values. Citizen Exchanges Program participants partner with an extensive network of organizations and experts from across the United States to conduct professional fellowships as well as arts, sports, and high school exchange programs focused on current and future leaders.

**Youth Leadership Initiatives.**—Includes three signature presidential priorities targeting young private, public, and civil sector leaders, including the Mandela Washington Fellowship for Young African Leaders, the Young Southeast Asian Leaders Initiative, and a new Young Leaders in the Americas Initiative.

**Program and Performance.**—Provides resources and opportunities to ECA exchange program alumni to build on participant exchange experience, developing growing and active alumni association networks. Funds also support on-going program performance measurement and independent evaluations.

**Exchanges Support.**—Includes all domestic staff and support costs managed by ECA; as well as government-wide exchanges coordination.

**East-West Center.**—For FY 2017, the Administration's request of \$10,800,000 for the East-West Center is proposed within the Educational and Cultural Exchange Programs heading.

Object Classification (in millions of dollars)				
Identification code 019-0209-0-1-154	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	41	41	41
12.1	Civilian personnel benefits .....	13	13	13
21.0	Travel and transportation of persons .....	25	25	25
23.3	Communications, utilities, and miscellaneous charges .....	2	2	3
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	43	43	43
26.0	Supplies and materials .....	1	1	1
41.0	Grants, subsidies, and contributions .....	538	517	556
99.0	Direct obligations .....	664	643	683
99.0	Reimbursable obligations .....	2	4	4

99.9	Total new obligations .....	666	647	687
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Employment Summary				
Identification code 019-0209-0-1-154	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	541	541	541

**EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE**

For necessary expenses for carrying out the Foreign Service Buildings Act of 1926 (22 U.S.C. 292 et seq.), preserving, maintaining, repairing, and planning for buildings that are owned or directly leased by the Department of State, renovating, in addition to funds otherwise available, the Harry S Truman Building, and carrying out the Diplomatic Security Construction Program as authorized, **[\$785,097,000] \$759,161,000**, to remain available until expended as authorized, of which not to exceed \$25,000 may be used for domestic and overseas representation expenses as authorized: *Provided*, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies.

In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, **[\$688,799,000] \$358,698,000**, to remain available until expended: *Provided*, That [not later than 45 days after enactment of this Act, the Secretary of State shall submit to the Committees on Appropriations the proposed allocation of funds made available under this heading and the actual and anticipated proceeds of sales for all projects in fiscal year 2016] *the Department of State may improve or construct facilities overseas for other departments and agencies on an advance-of-funds or reimbursable basis: Provided further, That such advances or reimbursements shall be credited to this account to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing (in millions of dollars)**

Identification code 019-0535-0-1-153	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Capital Security Construction .....	834	975	985
0002	Compound Security .....	111	120	20
0003	Repair and Construction .....	298	350	320
0004	Operations .....	720	750	775
0005	Supplemental Appropriations .....	53	75	50
0006	OCO .....	152	711	150
0100	Total direct program .....	2,168	2,981	2,300
0799	Total direct obligations .....	2,168	2,981	2,300
0801	Asset Management .....	74	120	50
0802	Other Reimbursable .....	302	372	370
0803	Capital Security Cost Sharing .....	449	550	455
0809	Reimbursable program activities, subtotal .....	825	1,042	875
0899	Total reimbursable obligations .....	825	1,042	875
0900	Total new obligations .....	2,993	4,023	3,175
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5,391	6,376	6,599
1021	Recoveries of prior year unpaid obligations .....	200	210	250
1050	Unobligated balance (total) .....	5,591	6,586	6,849
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,324	2,222	1,118
1121	Appropriations transferred from other acct [019-0113] ....	57	.....	.....
1160	Appropriation, discretionary (total) .....	2,381	2,222	1,118
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (cash) - Capital Security Cost Sharing .....	1,379	1,399	1,399
1700	Offsetting collections (cash) - Other Collections .....	.....	315	315
1700	Offsetting collections (cash) - Asset Mgt .....	.....	100	50
1701	Change in uncollected payments, Federal sources .....	18	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	1,397	1,814	1,764
1900	Budget authority (total) .....	3,778	4,036	2,882
1930	Total budgetary resources available .....	9,369	10,622	9,731
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6,376	6,599	6,556

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE—Continued  
Program and Financing—Continued

Identification code 019-0535-0-1-153	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,155	5,090	5,563
3010 Obligations incurred, unexpired accounts .....	2,993	4,023	3,175
3020 Outlays (gross) .....	-2,858	-3,340	-3,403
3040 Recoveries of prior year unpaid obligations, unexpired .....	-200	-210	-250
3050 Unpaid obligations, end of year .....	5,090	5,563	5,085
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-107	-125	-125
3070 Change in uncollected pymts, Fed sources, unexpired .....	-18		
3090 Uncollected pymts, Fed sources, end of year .....	-125	-125	-125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,048	4,965	5,438
3200 Obligated balance, end of year .....	4,965	5,438	4,960
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,778	4,036	2,882
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,445	1,408	1,223
4011 Outlays from discretionary balances .....	1,413	1,932	2,180
4020 Outlays, gross (total) .....	2,858	3,340	3,403
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,313	-1,714	-1,714
4033 Non-Federal sources .....	-66	-100	-50
4040 Offsets against gross budget authority and outlays (total) ....	-1,379	-1,814	-1,764
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-18		
4070 Budget authority, net (discretionary) .....	2,381	2,222	1,118
4080 Outlays, net (discretionary) .....	1,479	1,526	1,639
4180 Budget authority, net (total) .....	2,381	2,222	1,118
4190 Outlays, net (total) .....	1,479	1,526	1,639

## Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	2,381	2,222	1,118
Outlays .....	1,479	1,526	1,639
Overseas contingency operations:			
Budget Authority .....			1,239
Outlays .....			186
Total:			
Budget Authority .....	2,381	2,222	2,357
Outlays .....	1,479	1,526	1,825

Under the direction of the Secretary of State, the overall mission of the Bureau of Overseas Buildings Operations (OBO) is to provide U.S. diplomatic and consular missions abroad with safe, secure, and functional facilities that support the foreign policy objectives of the United States. Specific program functions include: providing guidance to posts, the regional bureaus and other foreign affairs agencies on the renovation, construction and operations of facilities; providing expert space and facilities planning; managing and overseeing the design, construction, and renovation of mission facilities; incorporating security features into overseas and domestic facilities; and ensuring the security of facilities during construction or renovation. In addition, OBO is responsible for establishing standards and policies for overseas housing, developing, in conjunction with posts, effective maintenance programs for post facilities, and monitoring and reporting the inventory of maintenance and backlog requirements. OBO also ensures the safety of the building occupants through the development of fire/life safety and accessibility compliance programs.

In 2017, the Department will manage the thirteenth year of the Capital Security Cost Sharing (CSCS) Program. This program has two main goals: accelerating the construction of new safe, secure and functional embassy and consulate compounds, and providing an incentive for all United States Government agencies to right-size their presence overseas through the use

of cost-sharing. The \$2.2 billion program is consistent with the Benghazi Accountability Review Board's recommended funding level for the construction of new secure facilities overseas. Funding sources include ESCM regular base and OCO appropriations, interagency contributions, and consular fee revenues.

The 2017 request continues the Maintenance Cost Sharing (MCS) Program to provide critically needed renovation, construction and repair of overseas facilities, to provide adequate working conditions for multi-agency staffs, and protect the U.S. taxpayer investment. Including cost sharing from other sources, MCS will be funded at \$400 million to maintain overseas facilities in 2017.

The objective of the Asset Management Program is to obtain the best use of diplomatic and consular properties overseas through sale of surplus or underutilized properties and reinvestment of the proceeds in properties that provide a greater return to the U.S. Government and/or improve the safety of mission personnel. In lieu of appropriated resources, OBO uses asset sales proceeds for long-term capital investment to minimize the growth of U.S. Government leasehold requirements (through property acquisition) or to address a high-priority need for new construction or fit-out of leased space.

This appropriation also provides for capital expenditures necessary to preserve, maintain, repair, and plan for buildings owned or leased by the Department of State overseas or in the United States, including the renovation of the Harry S Truman building where required.

## Object Classification (in millions of dollars)

Identification code 019-0535-0-1-153	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	105	107	109
11.3 Other than full-time permanent .....	2	3	3
11.5 Other personnel compensation .....	3	4	4
11.9 Total personnel compensation .....	110	114	116
12.1 Civilian personnel benefits .....	60	61	61
21.0 Travel and transportation of persons .....	17	19	19
22.0 Transportation of objects .....	4	8	8
23.2 Rental payments to other entities .....	260	276	276
23.3 Communications, utilities, and miscellaneous charges .....	30	31	31
25.2 Other services from non-Federal sources .....	515	585	585
25.4 Operation and maintenance of facilities .....	117	135	135
26.0 Supplies and materials .....	46	48	48
31.0 Equipment .....	45	47	47
32.0 Land and structures .....	904	1,596	913
41.0 Grants, subsidies, and contributions .....	60	61	61
99.0 Direct obligations .....	2,168	2,981	2,300
99.0 Reimbursable obligations .....	825	1,042	875
99.9 Total new obligations .....	2,993	4,023	3,175

## Employment Summary

Identification code 019-0535-0-1-153	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,038	1,038	1,038

## REPRESENTATION EXPENSES

For representation expenses as authorized, **[\$8,030,000]** \$8,263,000. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

## Program and Financing (in millions of dollars)

Identification code 019-0545-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Representation Expenses .....	8	8	8
0900 Total new obligations (object class 26.0) .....	8	8	8

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	8	8	8
1930	Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010	Obligations incurred, unexpired accounts .....	8	8	8
3020	Outlays (gross) .....	-8	-8	-8
3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	8	8	8
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6	7	7
4011	Outlays from discretionary balances .....	2	1	1
4020	Outlays, gross (total) .....	8	8	8
4180	Budget authority, net (total) .....	8	8	8
4190	Outlays, net (total) .....	8	8	8

Amounts in this fund are used for expenses incurred by, including to reimburse in part, State Department personnel for official representation activities abroad.

**PROTECTION OF FOREIGN MISSIONS AND OFFICIALS**

For expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, **[\$30,036,000] \$30,344,000**, to remain available until September 30, **[2017] 2018**. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019-0520-0-1-153				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Missions and officials to United Nations .....	26	26	26
0002	Missions and officials in United States .....	5	4	4
0900	Total new obligations (object class 25.2) .....	31	30	30
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	30	30	30
1930	Total budgetary resources available .....	31	30	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	21	28	25
3010	Obligations incurred, unexpired accounts .....	31	30	30
3020	Outlays (gross) .....	-24	-33	-30
3050	Unpaid obligations, end of year .....	28	25	25
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	21	28	25
3200	Obligated balance, end of year .....	28	25	25
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	30	30	30
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	9	9
4011	Outlays from discretionary balances .....	21	24	21
4020	Outlays, gross (total) .....	24	33	30
4180	Budget authority, net (total) .....	30	30	30
4190	Outlays, net (total) .....	24	33	30

This appropriation provides for extraordinary protection of: 1) foreign missions and officials, including those accredited to the United Nations and other international organizations, and visiting foreign dignitaries (under

certain circumstances) in New York; and 2) international organizations, foreign missions and officials, and visiting foreign dignitaries (under certain circumstances) throughout the United States. Funds may be used to reimburse state or local law enforcement authorities, contracts for private security firm services, or reimburse Federal agencies for extraordinary protective services. The Department is requesting continued authority to transfer expired balances from the Diplomatic and Consular Programs account to this account in order to reduce accumulated arrears to state or local law enforcement entities.

**EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE**

For necessary expenses to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, \$7,900,000, to remain available until expended as authorized, of which not to exceed \$1,000,000 may be transferred to, and merged with, funds appropriated by this Act under the heading "Repatriation Loans Program Account", subject to the same terms and conditions. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019-0522-0-1-153				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Emergencies in the Diplomatic and Consular Service .....	19	35	30
0700	Direct program activities, subtotal .....	19	35	30
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	44	54	27
1012	Unobligated balance transfers between expired and unexpired accounts .....	19		
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	65	54	27
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	8	8	8
1900	Budget authority (total) .....	8	8	8
1930	Total budgetary resources available .....	73	62	35
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	54	27	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	27	20	31
3010	Obligations incurred, unexpired accounts .....	19	35	30
3020	Outlays (gross) .....	-24	-24	-26
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	20	31	35
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	27	20	31
3200	Obligated balance, end of year .....	20	31	35
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	8	8	8
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6	6	6
4011	Outlays from discretionary balances .....	18	18	20
4020	Outlays, gross (total) .....	24	24	26
4180	Budget authority, net (total) .....	8	8	8
4190	Outlays, net (total) .....	24	24	26

These funds are used primarily for purposes authorized by section 4 of the State Department Basic Authorities Act of 1956, as amended (22 U.S.C. 2671), for rewards authorized by section 36 of that Act, as amended (22 U.S.C. 2708), and for purposes authorized by section 804(3) of the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1474(3)).

## EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE—Continued

## Object Classification (in millions of dollars)

Identification code 019-0522-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	6	11	9
25.2 Other services from non-Federal sources .....	4	15	12
91.0 Unvouchered .....	9	9	9
99.9 Total new obligations .....	19	35	30

## BUYING POWER MAINTENANCE

## Program and Financing (in millions of dollars)

Identification code 019-0524-0-1-153	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1010 Unobligated balance transfer to other accts [019-0113] .....	-88		
1012 Unobligated balance transfers between expired and unexpired accounts .....	88		
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account is available to offset adverse exchange rate and overseas wage and price fluctuations unanticipated in the budget as authorized by section 24(b) of the State Department Basic Authorities Act of 1956 (22 U.S.C 2696(b)).

## PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN

For necessary expenses to carry out the Taiwan Relations Act (Public Law 96-8), **[\$30,000,000] \$31,963,000.** (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 019-0523-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the American Institute in Taiwan (Direct) .....	29	29	31
0801 Payment to the American Institute in Taiwan (Reimbursable) ....	4	4	4
0900 Total new obligations .....	33	33	35
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	32
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	4	4
1900 Budget authority (total) .....	34	34	36
1930 Total budgetary resources available .....	34	34	37
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....		1	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	15	9
3010 Obligations incurred, unexpired accounts .....	33	33	35
3020 Outlays (gross) .....	-35	-39	-35
3050 Unpaid obligations, end of year .....	15	9	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	15	9
3200 Obligated balance, end of year .....	15	9	9

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	34	34	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	22	23
4011 Outlays from discretionary balances .....	17	17	12
4020 Outlays, gross (total) .....	35	39	35
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-4	-4
4180 Budget authority, net (total) .....	30	30	32
4190 Outlays, net (total) .....	31	35	31

The Taiwan Relations Act (Public Law 96-8) requires programs with respect to Taiwan to be carried out by or through the American Institute in Taiwan (AIT). AIT supports U.S. interests by promoting U.S. exports, economic and commercial services, and cultural and information exchange; facilitating military sales; providing consular related services for Americans and the people on Taiwan; and on behalf of the Department of State and various U.S. Government agencies, carrying out liaison with Taiwan's counterpart organizations.

The Department contracts with AIT to conduct commercial, cultural, and other relations with the people of Taiwan. The 2017 request includes funding for the American Institute in Taiwan that sustains previous increases provided to offset revenue loss due to Taiwan's entry into the visa waiver program. Consular related expenses for AIT are funded with fee revenue from the Border Security Program.

## Object Classification (in millions of dollars)

Identification code 019-0523-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.8 Personnel compensation: Special personal services payments .....	23	23	24
12.1 Civilian personnel benefits .....	3	3	4
23.2 Rental payments to others .....	3	3	3
99.0 Direct obligations .....	29	29	31
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations .....	33	33	35

## PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

For payment to the Foreign Service Retirement and Disability Fund, as authorized, **\$158,900,000.** (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 019-0540-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Foreign Service Retirement and Disability Fund .....	283	221	213
0900 Total new obligations (object class 42.0) .....	283	221	213
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	283	221	213
1930 Total budgetary resources available .....	283	221	213
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	283	221	213
3020 Outlays (gross) .....	-283	-221	-213
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	283	221	213
Outlays, gross:			
4100 Outlays from new mandatory authority .....	283	221	213
4180 Budget authority, net (total) .....	283	221	213
4190 Outlays, net (total) .....	283	221	213

The current appropriation finances any unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The 2017 permanent appropriation provides a supplemental payment to the fund for disbursements attributable to the Foreign Service Pension System; and unfunded interest along with liability from military service for the Foreign Service Retirement and Disability System. In addition, the appropriation also finances the annual balance of the Foreign Service normal cost not met by employee and employer contributions. The amount of the appropriation is determined by the annual evaluation of the Fund balance derived from current statistical actuarial data, which includes inflationary cost-of-living adjustments.

FOREIGN SERVICE NATIONAL DEFINED CONTRIBUTIONS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5497-0-2-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			2
Receipts:			
Current law:			
1140 Employing Agency Contributions, Foreign Service National Defined Contributions Retirement Fund .....	16	1	1
1140 Interest on Investments, Foreign Service National Defined Contributions Retirement Fund .....		2	2
1199 Total current law receipts .....	16	3	3
1999 Total receipts .....	16	3	3
2000 Total: Balances and receipts .....	16	3	5
Appropriations:			
Current law:			
2101 Foreign Service National Defined Contributions Retirement Fund .....	-16	-1	-1
5099 Balance, end of year .....		2	4

Program and Financing (in millions of dollars)

Identification code 019-5497-0-2-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Retiree payments .....	15	1	1
0900 Total new obligations (object class 42.0) .....	15	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	16	1	1
1930 Total budgetary resources available .....	16	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	15	1	1
3020 Outlays (gross) .....	-15		
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	16	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12		
4101 Outlays from mandatory balances .....	3		
4110 Outlays, gross (total) .....	15		
4180 Budget authority, net (total) .....	16	1	1
4190 Outlays, net (total) .....	15		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		1	1

5001 Total investments, EOY: Federal securities: Par value .....	1	1	1
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The Foreign Service National Defined Contributions Fund (FSN DCF) is an after-employment benefit plan for Locally Employed Staff (LE Staff) working for the Department of State and other Foreign Affairs agencies. The purpose of the fund is to accumulate and distribute U.S. Government (USG)-funded contributions for end-of-service benefits for LE Staff in countries where U.S. missions have determined that participation in the local social security system (LSSS) is not in the public interest of the USG. The Department determines which countries are eligible to participate in the fund. Upon separation, payments under this Plan shall be made consistent with the host country law, including any court order affecting payments to participants, unless decided otherwise by the Department.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 019-4519-0-4-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund Programs .....	602	631	645
0804 Post Assignment Travel .....	340	361	369
0805 Medical Services .....	44	46	51
0806 International cooperative administrative support services (ICASS) .....	3,327	3,612	3,612
0807 Aviation Services .....	367	336	349
0811 IT Desktop .....	60	60	61
0812 Office of Foreign Missions .....	13	23	22
0900 Total new obligations .....	4,753	5,069	5,109
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	577	795	273
1021 Recoveries of prior year unpaid obligations .....	299	240	240
1050 Unobligated balance (total) .....	876	1,035	513
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4,497	4,307	5,096
1701 Change in uncollected payments, Federal sources .....	175		
1750 Spending auth from offsetting collections, disc (total) .....	4,672	4,307	5,096
1930 Total budgetary resources available .....	5,548	5,342	5,609
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	795	273	500
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,903	2,052	2,244
3010 Obligations incurred, unexpired accounts .....	4,753	5,069	5,109
3020 Outlays (gross) .....	-4,305	-4,637	-5,090
3040 Recoveries of prior year unpaid obligations, unexpired .....	-299	-240	-240
3050 Unpaid obligations, end of year .....	2,052	2,244	2,023
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-81	-256	-256
3070 Change in uncollected pymts, Fed sources, unexpired .....	-175		
3090 Uncollected pymts, Fed sources, end of year .....	-256	-256	-256
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,822	1,796	1,988
3200 Obligated balance, end of year .....	1,796	1,988	1,767
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,672	4,307	5,096
Outlays, gross:			
4010 Outlays from new discretionary authority .....	771	3,295	3,898
4011 Outlays from discretionary balances .....	3,534	1,342	1,192
4020 Outlays, gross (total) .....	4,305	4,637	5,090
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4,382	-4,307	-5,096
4033 Non-Federal sources .....	-115		
4040 Offsets against gross budget authority and outlays (total) .....	-4,497	-4,307	-5,096
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-175		
4080 Outlays, net (discretionary) .....	-192	330	-6
4180 Budget authority, net (total) .....			

WORKING CAPITAL FUND—Continued  
Program and Financing—Continued

Identification code 019-4519-0-4-153	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)	-192	330	-6

This fund, authorized by sections 13 and 23 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2684), finances on a reimbursable basis certain administrative services, such as printing and reproduction, editorial material, motor pool operations and dispatch agencies operations, inter-agency cooperative administrative support services, acquisition services, information technology desktop support, medical services, aviation services, and expenses of carrying out the Foreign Missions Act, including any acquisitions of property under the authority of the Foreign Missions Act.

Using the Working Capital Fund, the International Cooperative Administrative Support Services (ICASS) program was fully implemented in 1998. ICASS restructures overseas administrative support activities to allow more decision-making and managerial participation by all participating agencies, more equitable cost distribution, and incentives for efficient provision of services. Under ICASS, each agency represented at an overseas post chooses the services it wishes to receive and pays a proportional share of the cost of those services. Working through inter-agency councils at each overseas post, all agencies have a say in determining post administrative budgets and defining service standards, as well as reviewing costs and vendor performance.

Object Classification (in millions of dollars)

Identification code 019-4519-0-4-153	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	465	496	500
11.3 Other than full-time permanent	398	424	428
11.5 Other personnel compensation	139	148	149
11.9 Total personnel compensation	1,002	1,068	1,077
12.1 Civilian personnel benefits	389	415	418
13.0 Benefits for former personnel	51	54	55
21.0 Travel and transportation of persons	121	129	130
22.0 Transportation of things	445	475	478
23.2 Rental payments to others	120	128	129
23.3 Communications, utilities, and miscellaneous charges	297	317	319
24.0 Printing and reproduction	15	16	16
25.2 Other services from non-Federal sources	1,754	1,871	1,886
26.0 Supplies and materials	268	286	288
31.0 Equipment	230	245	247
41.0 Grants, subsidies, and contributions	61	65	66
99.9 Total new obligations	4,753	5,069	5,109

Employment Summary

Identification code 019-4519-0-4-153	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment	7,289	7,289	7,289

REPATRIATION LOANS PROGRAM ACCOUNT

For the cost of direct loans, \$1,300,000, as authorized: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed **[\$2,444,528] \$2,433,545.** (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 019-0601-0-1-153	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	1	1	1

0900 Total new obligations (object class 41.0)	1	1	1
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Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1930 Total budgetary resources available	1	1	1

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 019-0601-0-1-153	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Repatriation Loans	2	2	2
Direct loan subsidy (in percent):			
132001 Repatriation Loans	52.65	53.18	53.42
132999 Weighted average subsidy rate	52.65	53.18	53.42
Direct loan subsidy budget authority:			
133001 Repatriation Loans	1	1	1
Direct loan subsidy outlays:			
134001 Repatriation Loans	1	1	1
Direct loan reestimates:			
135001 Repatriation Loans	-1	-2	

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans for this program. The subsidy amounts are estimated on a net present value basis. Administrative expenses for the program are funded with fee revenue from the Border Security Program.

REPATRIATION LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 019-4107-0-3-153	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	1	2	2
0742 Downward reestimate paid to receipt account	1	2	
0900 Total new obligations	2	4	2

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	
1023 Unobligated balances applied to repay debt	-1		
1050 Unobligated balance (total)		2	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund		-2	-2
1850 Spending auth from offsetting collections, mand (total)	3	1	1
1900 Budget authority (total)	4	2	2
1930 Total budgetary resources available	4	4	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	3
3010 Obligations incurred, unexpired accounts	2	4	2
3020 Outlays (gross)	-3	-2	-2

3050	Unpaid obligations, end of year .....	1	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	1	3
3200	Obligated balance, end of year .....	1	3	3

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	4	2	2
Financing disbursements:				
4110	Outlays, gross (total) .....	3	2	2
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from program account .....	-1	-1	-1
4123	Non-Federal sources .....	-2	-2	-2
4130	Offsets against gross budget authority and outlays (total) ....	-3	-3	-3
4160	Budget authority, net (mandatory) .....	1	-1	-1
4170	Outlays, net (mandatory) .....		-1	-1
4180	Budget authority, net (total) .....	1	-1	-1
4190	Outlays, net (total) .....		-1	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 019-4107-0-3-153				
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	1	2	2
1150	Total direct loan obligations .....	1	2	2
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	9	9	9
1231	Disbursements: Direct loan disbursements .....	2	2	2
1251	Repayments: Repayments and prepayments .....	-2	-2	-2
1290	Outstanding, end of year .....	9	9	9

**Balance Sheet** (in millions of dollars)

Identification code 019-4107-0-3-153				
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross .....		9	9
1405	Allowance for subsidy cost (-) .....		-6	-6
1499	Net present value of assets related to direct loans .....		3	3
1999	Total assets .....		3	3
LIABILITIES:				
2104	Federal liabilities: Resources payable to Treasury .....		3	3
4999	Total liabilities and net position .....		3	3

**Trust Funds**

FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 019-8186-0-7-602				
0100	Balance, start of year .....	17,792	18,145	18,417
Receipts:				
Current law:				
1110	Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....	29	37	41
1140	Interest on Investments, Foreign Service Retirement and Disability Fund .....	629	597	582
1140	Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....	335	352	362
1140	Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund .....	1	1	1
1140	Federal Contributions, Foreign Service Retirement and Disability Fund .....	283	221	213
1199	Total current law receipts .....	1,277	1,208	1,199
1999	Total receipts .....	1,277	1,208	1,199
2000	Total: Balances and receipts .....	19,069	19,353	19,616
Appropriations:				
Current law:				
2101	Foreign Service Retirement and Disability Fund .....	-1,276	-1,214	-1,204
2134	Foreign Service Retirement and Disability Fund .....	352	278	248

2199	Total current law appropriations .....	-924	-936	-956
2999	Total appropriations .....	-924	-936	-956
5099	Balance, end of year .....	18,145	18,417	18,660

**Program and Financing** (in millions of dollars)

Identification code 019-8186-0-7-602				
2015 actual				
2016 est.				
2017 est.				
<b>Obligations by program activity:</b>				
0001	Payments to beneficiaries .....	924	936	956
0900	Total new obligations (object class 42.0) .....	924	936	956
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1,276	1,214	1,204
1234	Appropriations precluded from obligation .....	-352	-278	-248
1260	Appropriations, mandatory (total) .....	924	936	956
1930	Total budgetary resources available .....	924	936	956

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	924	936	956
3020	Outlays (gross) .....	-924	-936	-956

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	924	936	956
Outlays, gross:				
4100	Outlays from new mandatory authority .....	924	936	956
4180	Budget authority, net (total) .....	924	936	956
4190	Outlays, net (total) .....	924	936	956

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	17,792	18,144	18,468
5001	Total investments, EOY: Federal securities: Par value .....	18,144	18,468	18,789

This appropriation provides mandatory funding for the Foreign Service Retirement and Disability Fund (FSRDF) as prescribed in the Foreign Service Act of 1980 as authorized in Section(s) 821 and 822. The FSRDF includes the operations of two separate retirement systems—the Foreign Service Retirement and Disability System (FSRDS) and the Foreign Service Pension System (FPS). The FSRDF was established to provide pensions to all eligible annuitants; retired and disabled members of the Foreign Service who are enrolled in either of the two systems, and certain eligible former spouses and survivors.

**Status of Funds** (in millions of dollars)

Identification code 019-8186-0-7-602				
2015 actual				
2016 est.				
2017 est.				
Unexpended balance, start of year:				
0100	Balance, start of year .....	17,792	18,145	18,417
0999	Total balance, start of year .....	17,792	18,145	18,417
Cash income during the year:				
Current law:				
Receipts:				
1110	Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....	29	37	41
1150	Interest on Investments, Foreign Service Retirement and Disability Fund .....	629	597	582
1160	Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....	335	352	362
1160	Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund .....	1	1	1
1160	Federal Contributions, Foreign Service Retirement and Disability Fund .....	283	221	213
1199	Income under present law .....	1,277	1,208	1,199
1999	Total cash income .....	1,277	1,208	1,199
Cash outgo during year:				
Current law:				
2100	Foreign Service Retirement and Disability Fund [014-05-8186-0] .....	-924	-936	-956
2199	Outgo under current law .....	-924	-936	-956
2999	Total cash outgo (-) .....	-924	-936	-956

FOREIGN SERVICE RETIREMENT AND DISABILITY FUND—Continued  
Status of Funds—Continued

Identification code 019-8186-0-7-602	2015 actual	2016 est.	2017 est.
Surplus or deficit:			
3110 Excluding interest .....	-276	-325	-339
3120 Interest .....	629	597	582
3199 Subtotal, surplus or deficit .....	353	272	243
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	1	-51	-129
4200 Foreign Service Retirement and Disability Fund .....	18,144	18,468	18,789
4999 Total balance, end of year .....	18,145	18,417	18,660

## FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-8340-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Foreign Service National Separation Liability Trust Fund .....	22	15	16
2000 Total: Balances and receipts .....	22	15	16
Appropriations:			
Current law:			
2101 Foreign Service National Separation Liability Trust Fund .....	-22	-15	-16
5099 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 019-8340-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to Beneficiaries - Locally Engaged Staff .....	22	23	23
0900 Total new obligations (object class 42.0) .....	22	23	23

## Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....	365	365	357
1050 Unobligated balance (total) .....	365	365	357
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	22	15	16
1930 Total budgetary resources available .....	387	380	373
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	365	357	350

## Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	8
3010 Obligations incurred, unexpired accounts .....	22	23	23
3020 Outlays (gross) .....	-21	-20	-16
3050 Unpaid obligations, end of year .....	5	8	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	8
3200 Obligated balance, end of year .....	5	8	15

## Budget authority and outlays, net:

4090 Budget authority, gross .....	22	15	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....	18	15	16
4101 Outlays from mandatory balances .....	3	5	5
4110 Outlays, gross (total) .....	21	20	16
4180 Budget authority, net (total) .....	22	15	16
4190 Outlays, net (total) .....	21	20	16

This fund is maintained to pay accrued separation liability payments for eligible Foreign Service National (FSN), FSN Personal Service Contractors (PSC), and FSN Personal Service Agreements (PSA) employees of the Department of State in those countries in which such pay is legally authorized. The fund, as authorized by section 151 of Public Law 102-138 (22

U.S.C. 4012a), is maintained by annual government contributions from the Department's Diplomatic and Consular Programs (D&CP) account (including Program Direct, Public Diplomacy and Worldwide Security Protection resources), Consular Affairs (CA) Border Security Program (BSP) fees, the International Narcotics Control and Law Enforcement (INCLE) account, and International Cooperative Administrative Support Services (ICASS) working capital fund that includes both State's D&CP and other agencies shares. Eligible local staff include former United States Agency for International Development (USAID) ICASS employees who were consolidated into the Department. The Department of State funds and manages its own FSNLTF separate and apart from any separation pay that may be provided by other agencies to non-State Locally Employed Staff (LE Staff).

## MISCELLANEOUS TRUST FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-9971-0-7-153	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	7	7	4
Receipts:			
Current law:			
1130 Contributions, Educational and Cultural Exchange, USIA .....	1	1	1
1130 Unconditional Gift Fund .....	23	2	2
1130 Deposits, Conditional Gift Fund .....	2	2	2
1140 Earnings on Investments, Unconditional Gift Fund .....	1	1	1
1140 Interest, Miscellaneous Trust Funds, USIA .....	1	1	1
1199 Total current law receipts .....	25	7	7
1999 Total receipts .....	25	7	7
2000 Total: Balances and receipts .....	32	14	11
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds .....	-25	-10	-3
5099 Balance, end of year .....	7	4	8

## Program and Financing (in millions of dollars)

Identification code 019-9971-0-7-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Conditional gift fund .....	25	12	12
0801 Miscellaneous Trust Funds (Reimbursable) .....	1	1	1
0900 Total new obligations (object class 33.0) .....	25	13	13

## Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....	21	24	21
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	24	24	21
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	25	10	3
1900 Budget authority (total) .....	25	10	3
1930 Total budgetary resources available .....	49	34	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	21	11

## Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1 .....	32	34	30
3010 Obligations incurred, unexpired accounts .....	25	13	13
3020 Outlays (gross) .....	-20	-17	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	34	30	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	34	30
3200 Obligated balance, end of year .....	34	30	38

## Budget authority and outlays, net:

4090 Budget authority, gross .....	25	10	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12	1	1

4101	Outlays from mandatory balances .....	8	16	4
4110	Outlays, gross (total) .....	20	17	5
4180	Budget authority, net (total) .....	25	10	3
4190	Outlays, net (total) .....	20	17	5
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	16	20	20
5001	Total investments, EOY: Federal securities: Par value .....	20	20	21

*Gift funds.*—The Department has authority to accept gifts for use in carrying out the Department's functions, pursuant to statutes including section 25 of the State Department Basic Authorities Act (22 U.S.C. 2697). Among other purposes, funds are used to renovate, furnish, and maintain the Department's diplomatic reception rooms and embassy properties overseas.

**INTERNATIONAL ORGANIZATIONS AND CONFERENCES**

*Federal Funds*

CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS

For necessary expenses, not otherwise provided for, to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions or specific Acts of Congress. **[\$1,344,458,000: Provided,** That the Secretary of State shall, at the time of the submission of the President's budget to Congress under section 1105(a) of title 31, United States Code, transmit to the Committees on Appropriations the most recent biennial budget prepared by the United Nations for the operations of the United Nations: *Provided further,* That the Secretary of State shall notify the Committees on Appropriations at least 15 days in advance (or in an emergency, as far in advance as is practicable) of any United Nations action to increase funding for any United Nations program without identifying an offsetting decrease elsewhere in the United Nations budget: *Provided further,* That not later than May 1, 2016, and 30 days after the end of fiscal year 2016, the Secretary of State shall report to the Committees on Appropriations any credits available to the United States, including from the United Nations Tax Equalization Fund, and provide updated fiscal year 2016 and fiscal year 2017 assessment costs including offsets from available credits and updated foreign currency exchange rates: *Provided further,* That any such credits shall only be available for United States assessed contributions to the United Nations and the Committees on Appropriations shall be notified when such credits are applied to any assessed contribution, including any payment of arrearages: *Provided further,* That any notification regarding funds appropriated or otherwise made available under this heading in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs submitted pursuant to section 7015 of this Act, section 34 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2706), or any operating plan submitted pursuant to section 7076 of this Act, shall include an estimate of all known credits currently available to the United States and provide updated assessment costs including offsets from available credits and updated foreign currency exchange rates: *Provided further,* That any payment of arrearages under this heading shall be directed to activities that are mutually agreed upon by the United States and the respective international organization and shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further,* That none of the funds appropriated under this heading shall be available for a United States contribution to an international organization for the United States share of interest costs made known to the United States Government by such organization for loans incurred on or after October 1, 1984, through external borrowings: *Provided further,* That the Secretary of State shall review the budgetary and personnel procedures of the United Nations and affiliated agencies funded under this heading and, not later than 180 days after enactment of this Act, submit a report to the Committees on Appropriations on steps taken at each agency to eliminate unnecessary administrative costs and duplicative activities and ensure that personnel practices are transparent and merit-based] **\$1,290,891,000.** (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019–1126–0–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contributions to International Organizations .....	1,423	1,347	1,294
0002 Contributions to International Organizations - OCO .....	74	102	.....

0900	Total new obligations (object class 41.0) .....	1,497	1,449	1,294
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	6	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,474	1,344	1,291
1100	Appropriation - OCO .....	.....	102	.....
1121	Appropriations transferred from other acct [072–1035] .....	23	.....	.....
1160	Appropriation, discretionary (total) .....	1,497	1,446	1,291
1930	Total budgetary resources available .....	1,503	1,452	1,294
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	3	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	262	123	75
3010	Obligations incurred, unexpired accounts .....	1,497	1,449	1,294
3020	Outlays (gross) .....	-1,626	-1,497	-1,298
3041	Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050	Unpaid obligations, end of year .....	123	75	71
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	262	123	75
3200	Obligated balance, end of year .....	123	75	71
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,497	1,446	1,291
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,435	1,374	1,226
4011	Outlays from discretionary balances .....	191	123	72
4020	Outlays, gross (total) .....	1,626	1,497	1,298
4180	Budget authority, net (total) .....	1,497	1,446	1,291
4190	Outlays, net (total) .....	1,626	1,497	1,298

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,497	1,446	1,291
Outlays .....	1,626	1,497	1,298
Overseas contingency operations:			
Budget Authority .....	.....	.....	96
Outlays .....	.....	.....	91
Total:			
Budget Authority .....	1,497	1,446	1,387
Outlays .....	1,626	1,497	1,389

As a member of the United Nations and other international organizations, the United States contributes an assessed share to meet annual obligations to these organizations, net of certain withholdings. The purpose of this appropriation is to ensure continued American leadership within those organizations that serve important U.S. interests.

CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES

For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, **[\$666,574,000] \$806,930,000,** [of which 15 percent shall] *to remain available until September 30, [2017] 2018: Provided,* [That none of the funds made available by this Act shall be obligated or expended for any new or expanded United Nations peacekeeping mission unless, at least 15 days in advance of voting for such mission in the United Nations Security Council (or in an emergency as far in advance as is practicable), the Committees on Appropriations are notified of: (1) the estimated cost and duration of the mission, the objectives of the mission, the national interest that will be served, and the exit strategy; and (2) the sources of funds, including any reprogrammings and transfers, that will be used to pay the cost of the new or expanded mission, and the estimated cost in future fiscal years: *Provided further,* That none of the funds appropriated under this heading may be made available for obligation unless the Secretary of State certifies and reports to the Committees on Appropriations on a peacekeeping mission-by-mission basis that the United Nations is implementing effective policies and procedures to prevent United Nations employees, contractor personnel, and peacekeeping troops serving in such mission from trafficking in persons, exploiting victims of trafficking, or

CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES—Continued

committing acts of illegal sexual exploitation or other violations of human rights, and to bring to justice individuals who engage in such acts while participating in such mission, including prosecution in their home countries and making information about such prosecutions publicly available on the Web site of the United Nations: *Provided further*, That funds shall be available for peacekeeping expenses unless the Secretary of State determines that American manufacturers and suppliers are not being given opportunities to provide equipment, services, and material for United Nations peacekeeping activities equal to those being given to foreign manufacturers and suppliers: *Provided further*, That the Secretary of State shall work with the United Nations and foreign governments contributing peacekeeping troops to implement effective vetting procedures to ensure that such troops have not violated human rights: *Provided further*, That none of the funds appropriated or otherwise made available under this heading may be used for any United Nations peacekeeping mission that will involve United States Armed Forces under the command or operational control of a foreign national, unless the President's military advisors have submitted to the President a recommendation that such involvement is in the national interest of the United States and the President has submitted to Congress such a recommendation: *Provided further*, That not later than May 1, 2016, and 30 days after the end of fiscal year 2016, the Secretary of State shall report to the Committees on Appropriations any credits available to the United States, including those resulting from United Nations peacekeeping missions or the United Nations Tax Equalization Fund, and provide updated fiscal year 2016 and fiscal year 2017 assessment costs including offsets from available credits: *Provided further*, That any such credits shall only be available for United States assessed contributions to the United Nations, and the Committees on Appropriations shall be notified when such credits are applied to any assessed contribution, including any payment of arrearages: *Provided further*, That any notification regarding funds appropriated or otherwise made available under this heading in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs submitted pursuant to section 7015 of this Act, section 34 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2706), or any operating plan submitted pursuant to section 7076 of this Act, shall include an estimate of all known credits currently available to the United States and provide updated assessment costs including offsets from available credits: *Provided further*, That any payment of arrearages with funds appropriated by this Act shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That the Secretary of State shall work with the United Nations and members of the United Nations Security Council to evaluate and prioritize peacekeeping missions, and to consider a draw down when mission goals have been substantially achieved: *Provided further*, That notwithstanding any other provision of law, funds appropriated or otherwise made available under this heading shall be available for United States assessed contributions up to the amount specified in Annex IV accompanying United Nations General Assembly Resolution 64/220: *Provided further*, That such funds may be made available above the amount authorized in section 404(b)(2) [(B)] of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995 (22 U.S.C. 287e note) [only if the Secretary of State determines and reports to the appropriate congressional committees that it is important to the national interest of the United States]. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019–1124–0–1–153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0020 Contributions for International Peacekeeping Activities (Direct) .....	1,975	2,620	887
0900 Total new obligations (object class 41.0) .....	1,975	2,620	887
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	174	318	159
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,119	667	807
1100 Appropriation (OCO) .....		1,794	
1160 Appropriation, discretionary (total) .....	2,119	2,461	807
1930 Total budgetary resources available .....	2,293	2,779	966
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	318	159	79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			233
3010 Obligations incurred, unexpired accounts .....	1,975	2,620	887

3020 Outlays (gross) .....	–1,975	–2,387	–858
3050 Unpaid obligations, end of year .....		233	262
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			233
3200 Obligated balance, end of year .....		233	262
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,119	2,461	807
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,801	2,289	686
4011 Outlays from discretionary balances .....	174	98	172
4020 Outlays, gross (total) .....	1,975	2,387	858
4180 Budget authority, net (total) .....	2,119	2,461	807
4190 Outlays, net (total) .....	1,975	2,387	858

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	2,119	2,461	807
Outlays .....	1,975	2,387	858
Overseas contingency operations:			
Budget Authority .....			1,588
Outlays .....			1,509
Total:			
Budget Authority .....	2,119	2,461	2,395
Outlays .....	1,975	2,387	2,367

This appropriation provides funds for the United States' share of the expenses associated with United Nations (UN) peacekeeping operations for which costs are distributed among UN members based on a scale of assessments. The purpose of this appropriation is to ensure continued American leadership in support of UN peacekeeping activities that serve U.S. interests in promoting international security, stability, and democracy.

**INTERNATIONAL COMMISSIONS**

*Federal Funds*

INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:

INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO

For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation expenses; as follows:

SALARIES AND EXPENSES

For salaries and expenses, not otherwise provided for, [\$45,307,000] \$48,134,000. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019–1069–0–1–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Boundary and Water Commission - Salaries and Expenses .....	45	45	48
0801 Salaries and Expenses, IBWC (Reimbursable) .....	7	7	7
0900 Total new obligations .....	52	52	55
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	45	48
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	7	7
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	7	7	7
1900 Budget authority (total) .....	52	52	55

1930	Total budgetary resources available .....	52	52	55
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	19	12	9
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1		
3010	Obligations incurred, unexpired accounts .....	52	52	55
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-56	-55	-56
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	12	9	8
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1	
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	2	1	
3090	Uncollected pymts, Fed sources, end of year .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16	11	9
3200	Obligated balance, end of year .....	11	9	8
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	52	52	55
Outlays, gross:				
4010	Outlays from new discretionary authority .....	42	45	48
4011	Outlays from discretionary balances .....	14	10	8
4020	Outlays, gross (total) .....	56	55	56
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8	-7	-7
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	45	45	48
4080	Outlays, net (discretionary) .....	48	48	49
4180	Budget authority, net (total) .....	45	45	48
4190	Outlays, net (total) .....	48	48	49

Pursuant to treaties between the United States and Mexico and U.S. law, the U.S. Section of the International Boundary and Water Commission is charged with the identification and solution of boundary and water problems arising along the 1,952-mile common border, including the southern borders of Texas, New Mexico, Arizona, and California. Administration, Engineering, and Operations and Maintenance activities are also funded by the Salaries and Expenses appropriation.

**Administration.**—Resources under this heading provide for: negotiations and supervision of joint projects with Mexico to solve international boundary, water, and environmental problems; overall control of the operation of the U.S. section of the Commission; formulation of operating policies and procedures; and financial management and administrative services to carry out international obligations of the United States, pursuant to treaty and congressional authorization.

**Engineering.**—Resources under this heading provide for: a) technical engineering guidance and supervision of planning, construction, operation and maintenance, and environmental monitoring and compliance of international projects; b) studies relating to international problems of a continuing nature; and c) preliminary surveys and investigations to determine the need for and feasibility of projects for the solution of international problems arising along the boundary.

**Operation and Maintenance (O&M).**—This activity finances the measurement and determination of the national ownership of boundary waters and the distribution thereof, as well as the U.S. part of the operations and maintenance of sanitation facilities, river channel and levee projects, flood control dams and hydroelectric power, gauging stations, water quality control projects and boundary demarcation, monuments, and markers. Reimbursements are received from Mexico for O&M costs of the South Bay and Nogales International Wastewater Treatment Plants as well as from the City of Nogales for O&M at Nogales. Other reimbursements are

received from the Western Area Power Administration, U.S. Department of Energy, for O&M and capital costs of hydroelectric generation at Falcon and Amistad International Dams.

**Object Classification** (in millions of dollars)

Identification code 019-1069-0-1-301	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	14	16	16
12.1	Civilian personnel benefits .....	5	5	5
22.0	Transportation of things .....	1	1	2
23.2	Rental payments to others .....	5	5	5
25.2	Other services from non-Federal sources .....	14	14	15
26.0	Supplies and materials .....	3	2	2
31.0	Equipment .....	2	1	1
41.0	Grants, subsidies, and contributions .....	1	1	2
99.0	Direct obligations .....	45	45	48
99.0	Reimbursable obligations .....	7	7	7
99.9	Total new obligations .....	52	52	55

**Employment Summary**

Identification code 019-1069-0-1-301	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	225	225	225
2001	Reimbursable civilian full-time equivalent employment .....	28	28	28

**CONSTRUCTION**

For detailed plan preparation and construction of authorized projects, \$28,400,000, to remain available until expended, as authorized. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019-1078-0-1-301	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0003	International Boundary and Water Commission - Construction .....	18	50	40
0100	Construction, IBWC (Direct) .....	18	50	40
0801	Construction, IBWC (Reimbursable) .....	1		
0900	Total new obligations .....	19	50	40
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	64	86	66
1021	Recoveries of prior year unpaid obligations .....	12		
1050	Unobligated balance (total) .....	76	86	66
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	29	29	28
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	1	1
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....		1	1
1900	Budget authority (total) .....	29	30	29
1930	Total budgetary resources available .....	105	116	95
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	86	66	55

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	67	45	62
3010	Obligations incurred, unexpired accounts .....	19	50	40
3011	Obligations incurred, expired accounts .....	2		
3020	Outlays (gross) .....	-30	-33	-33
3040	Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	45	62	69
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	66	45	62

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 019–1078–0–1–301	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	45	62	69
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	29	30	29
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	7	7
4011 Outlays from discretionary balances .....	27	26	26
4020 Outlays, gross (total) .....	30	33	33
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4070 Budget authority, net (discretionary) .....	29	29	28
4080 Outlays, net (discretionary) .....	29	32	32
4180 Budget authority, net (total) .....	29	29	28
4190 Outlays, net (total) .....	29	32	32

**Construction.**—This activity provides for the construction of projects to solve international problems of water supply, water quality, sewage treatment, and flood damage reduction. Projects are normally constructed jointly with Mexico. This account also receives reimbursement for such projects.

## Object Classification (in millions of dollars)

Identification code 019–1078–0–1–301	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	7	7	7
31.0 Equipment .....		1	1
32.0 Land and structures .....	11	42	32
99.0 Direct obligations .....	18	50	40
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	19	50	40

## AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States and Canada or Great Britain, and the Border Environment Cooperation Commission as authorized by the North American Free Trade Agreement Implementation Act (Public Law 103–182), **[\$12,330,000] \$12,258,000: Provided,** That of the amount provided under this heading for the International Joint Commission, up to \$500,000 may remain available until September 30, **[2017] 2018,** and \$9,000 may be made available for representation expenses. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 019–1082–0–1–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 American Sections, International Commissions (Direct) .....	11	12	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	12	12
1930 Total budgetary resources available .....	13	13	13
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	6
3010 Obligations incurred, unexpired accounts .....	11	12	12

3020 Outlays (gross) .....	-11	-11	-11
3050 Unpaid obligations, end of year .....	5	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	6
3200 Obligated balance, end of year .....	5	6	7

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	13	12	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	8	8
4011 Outlays from discretionary balances .....	3	3	3
4020 Outlays, gross (total) .....	11	11	11
4180 Budget authority, net (total) .....	13	12	12
4190 Outlays, net (total) .....	11	11	11

These funds are used for payment of the U.S. share of the expenses of:

**International Boundary Commission.**—The Commission, in accordance with existing treaties, maintains the integrity of a well-delineated boundary between the United States and Canada by: surveying, inspecting, and clearing the boundary; repairing or replacing monuments; regulating construction crossing the boundary; and serving as the official U.S. Government source for boundary-specific positional/cartographic data.

**International Joint Commission.**—Pursuant to the Boundary Waters Treaty of 1909 and related Treaties and agreements, the Commission approves, regulates, and monitors structures in boundary waters and transboundary streams, apportions waters between the United States and Canada in selected rivers, and investigates matters referred to it by the United States and Canada that principally include transboundary environmental issues.

**Border Environment Cooperation Commission.**—This bilateral Commission works with States and local communities to provide technical and financial planning assistance and to review and certify project proposals for the purpose of developing effective solutions to environmental problems in the U.S.-Mexico border region.

## Object Classification (in millions of dollars)

Identification code 019–1082–0–1–301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	3	3	3
25.2 Other services from non-Federal sources .....	8	9	9
99.9 Total new obligations .....	11	12	12

## Employment Summary

Identification code 019–1082–0–1–301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	32	32	32

## INTERNATIONAL FISHERIES COMMISSIONS

For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, **[\$36,681,000] \$32,502,000: Provided,** That the United States share of such expenses may be advanced to the respective commissions pursuant to section 3324 of title 31, United States Code. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 019–1087–0–1–302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Inter-American Tropical Tuna Commission .....	2	2	2
0006 Great Lakes Fishery Commission .....	25	25	20
0008 Inter-Pacific Halibut Commission .....	4	4	4
0009 Pacific Salmon Commission .....	3	3	4
0010 Other Commissions and Marine Science Organizations .....	3	3	3

0900	Total new obligations (object class 41.0)	37	37	33
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	37	37	33
1930	Total budgetary resources available	37	37	33

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		1	1
3010	Obligations incurred, unexpired accounts	37	37	33
3020	Outlays (gross)	-36	-37	-33
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		1	1
3200	Obligated balance, end of year	1	1	1

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	37	37	33
Outlays, gross:				
4010	Outlays from new discretionary authority	36	37	33
4180	Budget authority, net (total)	37	37	33
4190	Outlays, net (total)	36	37	33

This appropriation provides the U.S. share of operating expenses for ten treaty-based international fisheries commissions and organizations, two international marine science organizations, one whaling commission, the Arctic Council and the Antarctic Treaty Secretariat, as well as funding regional sea turtle and shark conservation, and travel expenses of non-government U.S. commissioners and their advisors. These commissions and organizations coordinate scientific studies of shared fish stocks and other living marine resources and their habitats and establish common management measures to be implemented by member governments based on their results. Many also oversee the allocation of fishing rights to their members. In addition, the Great Lakes Fishery Commission carries out a program to eradicate the invasive, parasitic sea lamprey. The marine science organizations coordinate international research on valuable fisheries, oceanography, and marine ecosystems and the results are publicly disseminated and used to advise member governments on fisheries and marine science policy.

**OTHER**

*Federal Funds*

GLOBAL HIV/AIDS INITIATIVE

**Program and Financing** (in millions of dollars)

Identification code 019-1030-0-1-151	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Global HIV/AIDS Initiative	7	8	8
0900	Total new obligations (object class 41.0)	7	8	8
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	26	23	20
1021	Recoveries of prior year unpaid obligations	4	5	5
1050	Unobligated balance (total)	30	28	25
1930	Total budgetary resources available	30	28	25
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	23	20	17
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	28	18	6
3010	Obligations incurred, unexpired accounts	7	8	8
3020	Outlays (gross)	-13	-15	-9
3040	Recoveries of prior year unpaid obligations, unexpired	-4	-5	-5
3050	Unpaid obligations, end of year	18	6	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	28	18	6
3200	Obligated balance, end of year	18	6	

<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	13	15	9
4180	Budget authority, net (total)			
4190	Outlays, net (total)	13	15	9

The first phase of the President's Emergency Plan for AIDS Relief (PEPFAR), from 2004 to 2008, was the largest ever global public health initiative by a single country to fight the HIV/AIDS epidemic. Funding was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

GLOBAL HEALTH PROGRAMS

For necessary expenses to carry out the provisions of chapters 1 and 10 of part I of the Foreign Assistance Act of 1961, for global health activities, in addition to funds otherwise available for such purposes, **[\$2,833,450,000]** *\$2,906,500,000*, to remain available until September 30, **[2017]** *2018*, and which shall be apportioned directly to the United States Agency for International Development (USAID): *Provided*, That this amount shall be made available for training, equipment, and technical assistance to build the capacity of public health institutions and organizations in developing countries, and for such activities as: (1) child survival and maternal health programs; (2) immunization and oral rehydration programs; (3) other health, nutrition, water and sanitation programs which directly address the needs of mothers and children, and related education programs; (4) assistance for children displaced or orphaned by causes other than AIDS; (5) programs for the prevention, treatment, control of, and research on HIV/AIDS, tuberculosis, polio, malaria, and other infectious diseases including neglected tropical diseases, and for assistance to communities severely affected by HIV/AIDS, including children infected or affected by AIDS; (6) disaster preparedness training for health crises; and (7) family planning/reproductive health: *Provided further*, That funds appropriated under this paragraph may be made available for a United States contribution to **[the GAVI Alliance]** *Gavi, the Vaccine Alliance: Provided further*, That none of the funds made available in this Act nor any unobligated balances from prior appropriations Acts may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: *Provided further*, That any determination made under the previous proviso **[must]** *should* be made not later than 6 months after the date of enactment of this Act, and **[must]** *should* be accompanied by the evidence and criteria utilized to make the determination: *Provided further*, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: *Provided further*, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961: *Provided further*, That none of the funds made available under this Act may be used to lobby for or against abortion: *Provided further*, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only in the context

GLOBAL HEALTH PROGRAMS—Continued

of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the USAID Administrator determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations a report containing a description of such violation and the corrective action taken by the Agency: *Provided further*, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: *Provided further*, That for purposes of this or any other Act authorizing or appropriating funds for the Department of State, foreign operations, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: *Provided further*, That information provided about the use of condoms as part of projects or activities that are funded from amounts appropriated by this Act shall be medically accurate and shall include the public health benefits and failure rates of such use.

In addition, for necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the prevention, treatment, and control of, and research on, HIV/AIDS, \$5,670,000,000, to remain available until September 30, [2020] 2021, which shall be apportioned directly to the Department of State: *Provided*, That funds appropriated under this paragraph may be made available, notwithstanding any other provision of law, except for the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (Public Law 108–25), as amended, for a United States contribution to the Global Fund to Fight AIDS, Tuberculosis and Malaria (Global Fund), and shall be expended at the minimum rate necessary to make timely payment for projects and activities: *Provided further*, That the amount of such contribution should be \$1,350,000,000: *Provided further*, That section 202(d)(4)(A)(i) and (vi) of Public Law 108–25, as amended, shall be applied with respect to such funds made available for fiscal years 2015 [and 2016] through 2017 by substituting "2004" for "2009": *Provided further*, That up to 5 percent of the aggregate amount of funds made available to the Global Fund in fiscal year [2016] 2017 may be made available to USAID for technical assistance related to the activities of the Global Fund, subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That [of the] funds appropriated under this paragraph, up to \$17,000,000 may be made available, in addition to amounts otherwise available for such purposes, for administrative expenses of the Office of the United States Global AIDS Coordinator. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 019–1031–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct Global Health program activity .....	6,755	9,100	8,199
0002 Administrative Expenses .....	18	17	17
0799 Total direct obligations .....	6,773	9,117	8,216
0801 Reimbursable program activity - WCF .....	900	900	900
0900 Total new obligations .....	7,673	10,017	9,116
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,398	8,578	7,159
1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-2		
1021 Recoveries of prior year unpaid obligations .....	72	90	90
1050 Unobligated balance (total) .....	7,478	8,668	7,249
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,454	8,503	8,577
1100 Ebola Response .....	312		
1120 Appropriations transferred to other acct [072–1264] .....	-1		
1121 Appropriations transferred from other acct [019–1005] .....	4		
1160 Appropriation, discretionary (total) .....	8,769	8,503	8,577
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	5	5
1900 Budget authority (total) .....	8,774	8,508	8,582
1930 Total budgetary resources available .....	16,252	17,176	15,831
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

1941	Unexpired unobligated balance, end of year .....	8,578	7,159	6,715
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,725	7,398	7,827
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	3		
3010	Obligations incurred, unexpired accounts .....	7,673	10,017	9,116
3011	Obligations incurred, expired accounts .....	7		
3020	Outlays (gross) .....	-6,925	-9,498	-8,869
3040	Recoveries of prior year unpaid obligations, unexpired .....	-72	-90	-90
3041	Recoveries of prior year unpaid obligations, expired .....	-13		
3050	Unpaid obligations, end of year .....	7,398	7,827	7,984
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6,728	7,398	7,827
3200	Obligated balance, end of year .....	7,398	7,827	7,984
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	8,774	8,508	8,582
Outlays, gross:				
4010	Outlays from new discretionary authority .....	75	2,077	2,088
4011	Outlays from discretionary balances .....	6,850	7,421	6,781
4020	Outlays, gross (total) .....	6,925	9,498	8,869
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-5	-5
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) .....	-5	-5	-5
4070	Budget authority, net (discretionary) .....	8,769	8,503	8,577
4080	Outlays, net (discretionary) .....	6,920	9,493	8,864
4180	Budget authority, net (total) .....	8,769	8,503	8,577
4190	Outlays, net (total) .....	6,920	9,493	8,864

The Global Health Programs account funds health-related foreign assistance for the Department of State (DOS) and the U.S. Agency for International Development (USAID), representing the majority of funds provided for the President's Global Health Initiative (GHI). GHI seeks to improve health outcomes by adopting a women, girls, and gender-equity approach to health; increasing impact through strategic integration and coordination; strengthening and leveraging multilateral institutions; encouraging country ownership and investing in country-led plans; building sustainability through health systems strengthening; improving metrics, monitoring and evaluation; and promoting research, development and innovation.

*Global Health Programs-State.*—Within GHI, the Global Health Programs (GHP-State) account supports the goal of creating an AIDS-free generation through the President's Emergency Plan for AIDS Relief (PEPFAR). The 2017 Budget requests over \$5.6 billion in the GHP-State account, representing the bulk of PEPFAR funding. PEPFAR is led by the Office of the Global AIDS Coordinator in DOS, which draws upon the expertise and experience of other USG partners such as the U.S. Agency for International Development (USAID), the Department of Health and Human Services, the Department of Defense, and the Peace Corps to bring the full force of our government's capacity to the fight against global AIDS. Programs work through expanded partnerships to build capacity for effective, innovative, country-led, and sustainable services, and to create a supportive and enabling policy environment for combating HIV/AIDS, including as part of the broader USG and country-level health and development approach. In addition, PEPFAR supports implementation of strong monitoring and evaluation systems to set benchmarks for outcomes and programmatic efficiencies through regularly assessed planning and reporting processes to ensure goals are being met. PEPFAR programs support strategic, scientifically sound investments to rapidly scale up core HIV/AIDS prevention, care, and treatment interventions within the context of strengthened health systems, particularly in terms of human resources in nations with severe health worker shortages and lack of service delivery capacity. As part of GHI, PEPFAR integrates its efforts with important programs in other areas of global health as well as other areas of development, including the areas of education, gender equity, and economic development. A contribution of \$1.35 billion to the Global Fund to Fight AIDS, Tuberculosis and Malaria is included in the GHP-State request.

**Global Health Programs-USAID.**—The 2017 Budget requests \$2.9 billion in the GHP-USAID account for a comprehensive and integrated approach to improve global health outcomes as outlined in GHI. USAID, working in partnership with foreign governments, local private sector and non-governmental organizations, and public-private partnerships, will build capacity, strengthen health systems, and promote sustainable integrated health care for vulnerable populations. Funding includes activities that support the goal of ending preventable child deaths, and—in synergy with the Feed the Future Initiative—support nutrition activities, addressing such issues as micronutrient deficiencies and community management of acute malnutrition. GHP-USAID funding will also promote voluntary family planning/reproductive health, pursue polio eradication, support activities directed at vulnerable children, reduce HIV transmission and the impact of the global HIV/AIDS epidemic in high-burden countries, and address the threat of other infectious diseases such as tuberculosis and multi-drug resistant tuberculosis, malaria, influenza and other pandemic diseases, and neglected tropical diseases in developing countries.

**Object Classification** (in millions of dollars)

Identification code 019-1031-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	26	26	26
21.0 Travel and transportation of persons .....	7	7	7
23.1 Rental payments to GSA .....	13	13	13
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	3	3	3
25.2 Other services from non-Federal sources .....	16	16	16
25.3 Other goods and services from Federal sources .....	11	11	11
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	6,688	9,032	8,131
99.0 Direct obligations .....	6,773	9,117	8,216
99.0 Reimbursable obligations .....	900	900	900
99.9 Total new obligations .....	7,673	10,017	9,116

**Employment Summary**

Identification code 019-1031-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	34	34	34

**MIGRATION AND REFUGEE ASSISTANCE**

For necessary expenses not otherwise provided for, to enable the Secretary of State to carry out the provisions of section 2(a) and (b) of the Migration and Refugee Assistance Act of 1962, and other activities to meet refugee and migration needs; salaries and expenses of personnel and dependents as authorized by the Foreign Service Act of 1980; allowances as authorized by sections 5921 through 5925 of title 5, United States Code; purchase and hire of passenger motor vehicles; and services as authorized by section 3109 of title 5, United States Code, **[\$931,886,000] \$922,597,000**, to remain available until expended, of which **[not less than \$35,000,000 shall be made available to respond to small-scale emergency humanitarian requirements, and \$10,000,000] \$7,500,000 [shall] may** be made available for refugees resettling in Israel. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019-1143-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Overseas assistance .....	2,645	3,049	307
0002 U.S. refugee admissions program .....	407	507	568
0003 Refugees to Israel .....	10	10	8
0005 Administrative expenses .....	39	40	40
0799 Total direct obligations .....	3,101	3,606	923
0801 Migration and Refugee Assistance (Reimbursable) .....	1	1	1
0900 Total new obligations .....	3,102	3,607	924

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	527	510	1
1021 Recoveries of prior year unpaid obligations .....	26	31	.....
1050 Unobligated balance (total) .....	553	541	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	932	932	923
1100 Appropriation-OCO .....	2,127	2,127	.....
1121 Appropriations transferred from other acct [072-1037] ...	.....	7	.....
1160 Appropriation, discretionary (total) .....	3,059	3,066	923
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	.....	1	1
1900 Budget authority (total) .....	3,059	3,067	924
1930 Total budgetary resources available .....	3,612	3,608	925
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	510	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	891	714	734
3010 Obligations incurred, unexpired accounts .....	3,102	3,607	924
3020 Outlays (gross) .....	-3,253	-3,556	-1,253
3040 Recoveries of prior year unpaid obligations, unexpired .....	-26	-31	.....
3050 Unpaid obligations, end of year .....	714	734	405
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	891	714	734
3200 Obligated balance, end of year .....	714	734	405

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,059	3,067	924
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,442	2,666	739
4011 Outlays from discretionary balances .....	811	890	514
4020 Outlays, gross (total) .....	3,253	3,556	1,253
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	.....	-1	-1
4180 Budget authority, net (total) .....	3,059	3,066	923
4190 Outlays, net (total) .....	3,253	3,555	1,252

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	3,059	3,066	923
Outlays .....	3,253	3,555	1,252
<b>Overseas contingency operations:</b>			
Budget Authority .....	.....	.....	1,876
Outlays .....	.....	.....	1,501
<b>Total:</b>			
Budget Authority .....	3,059	3,066	2,799
Outlays .....	3,253	3,555	2,753

**Overseas Assistance.**—The majority of the Migration and Refugee Assistance (MRA) account addresses the protection and assistance needs of refugees, conflict victims, stateless persons, and vulnerable migrants worldwide. Funds primarily support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), the United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA), and the International Organization for Migration (IOM), as well as non-governmental organizations (NGOs).

**Humanitarian Migrants to Israel.**—These funds assist humanitarian migrants resettling in Israel.

**US Refugee Admissions.**—MRA funds overseas processing, transportation, and initial placement for refugees and certain other categories of special immigrants resettling in the United States. These activities are carried out primarily by NGO partners and IOM.

**Administrative Expenses.**—These funds finance the salaries and operating expenses in Washington, D.C. and overseas for the Bureau of Population, Refugees, and Migration. (Note: Funds for the salaries and support costs of the positions dedicated to international population policy and coordina-

MIGRATION AND REFUGEE ASSISTANCE—Continued

tion are requested under the Department of State's Diplomatic and Consular Programs appropriation.)

Object Classification (in millions of dollars)

Identification code 019–1143–0–1–151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	18	18
12.1 Civilian personnel benefits .....	5	6	6
21.0 Travel and transportation of persons .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	13	42	42
41.0 Grants, subsidies, and contributions .....	3,063	3,537	854
99.0 Direct obligations .....	3,101	3,606	923
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	3,102	3,607	924

Employment Summary

Identification code 019–1143–0–1–151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	192	197	203

UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND

For necessary expenses to carry out the provisions of section 2(c) of the Migration and Refugee Assistance Act of 1962, as amended (22 U.S.C. 2601(c)), **[\$50,000,000]** \$10,000,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011–0040–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United States Emergency Refugee and Migration Assistance Fund (Direct) .....		70	50
0900 Total new obligations (object class 41.0) .....		70	50
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	78	58
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	10
1930 Total budgetary resources available .....	78	128	68
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	78	58	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		70	50
3020 Outlays (gross) .....		–70	–50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	50	50	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....		40	8
4011 Outlays from discretionary balances .....		30	42
4020 Outlays, gross (total) .....		70	50
4180 Budget authority, net (total) .....	50	50	10
4190 Outlays, net (total) .....		70	50

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	50	50	10
Outlays .....		70	50
<b>Overseas contingency operations:</b>			
Budget Authority .....			40
Outlays .....			34
<b>Total:</b>			
Budget Authority .....	50	50	50

Outlays .....	70	84
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The Emergency Refugee and Migration Assistance Fund enables the President to provide humanitarian assistance for unexpected and urgent refugee and migration needs worldwide.

COMPLEX CRISES FUND

For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 to support programs and activities to prevent or respond to emerging or unforeseen foreign challenges and complex crises overseas, \$10,000,000, to remain available until expended: *Provided*, That funds appropriated under this heading may be made available on such terms and conditions as are appropriate and necessary for the purposes of preventing or responding to such challenges and crises, except that no funds shall be made available for lethal assistance or to respond to natural disasters: *Provided further*, That funds appropriated under this heading may be made available notwithstanding any other provision of law, except sections 7007, 7008, and 7018 of this Act and section 620M of the Foreign Assistance Act of 1961: *Provided further*, That funds appropriated under this heading may be used for administrative expenses, in addition to funds otherwise made available for such purposes, except that such expenses may not exceed 5 percent of the funds appropriated under this heading: *Provided further*, [That funds appropriated under this heading shall be subject to the regular notification procedures of the Committees on Appropriations, except that such notifications shall be transmitted at least 5 days prior to the obligation of funds] *That a report shall be submitted to the Committees on Appropriations at least 5 days in advance of the obligation of funds.* (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 072–1015–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Complex Crises Fund (Direct) .....	41	50	35
0900 Total new obligations (object class 41.0) .....	41	50	35
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	46	55	35
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	10	10
1100 Appropriation – OCO .....		20	
1160 Appropriation, discretionary (total) .....	50	30	10
1930 Total budgetary resources available .....	96	85	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	55	35	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	73	74
3010 Obligations incurred, unexpired accounts .....	41	50	35
3020 Outlays (gross) .....	–48	–49	–53
3050 Unpaid obligations, end of year .....	73	74	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	80	73	74
3200 Obligated balance, end of year .....	73	74	56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	50	30	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	6	2
4011 Outlays from discretionary balances .....	47	43	51
4020 Outlays, gross (total) .....	48	49	53
4180 Budget authority, net (total) .....	50	30	10
4190 Outlays, net (total) .....	48	49	53

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	50	30	10
Outlays .....	48	49	53

Overseas contingency operations:			
Budget Authority	.....	.....	20
Outlays	.....	.....	5
<b>Total:</b>			
Budget Authority	.....	50	30
Outlays	.....	48	49
		30	58

The Complex Crises Fund provides funding to support rapid response capabilities for assistance activities to prevent or respond to emerging or unforeseen complex crises. The funds will target countries or regions that demonstrate a high or escalating risk of conflict or instability, or present an unanticipated opportunity for progress in a newly-emerging or fragile democracy. Projects aim to address and prevent root causes of conflict and instability through a whole-of-government approach and can include the participation of host governments and other partners.

**Employment Summary**

Identification code 072-1015-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	5		

**INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT**

For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961, **[\$894,821,000]** \$813,773,000, to remain available until September 30, **[2017]** 2018: *Provided*, That the provision of assistance by any other United States Government department or agency which is comparable to assistance that may be made available under this heading, but which is provided under any other provision of law, **[should]** shall be provided **[only]** with the concurrence of the Secretary of State **[and administered]** in accordance with the provisions of sections 481(b) and 622(c) of the Foreign Assistance Act of 1961: *Provided further*, That the Department of State may use the authority of section 608 of the Foreign Assistance Act of 1961, without regard to its restrictions, to receive excess property from an agency of the United States Government for the purpose of providing such property to a foreign country or international organization under chapter 8 of part I of that Act **[,]** subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That section 482(b) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated under this heading **[,]** except that any funds made available notwithstanding such section shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated under this heading shall be made available to support training and technical assistance for foreign law enforcement, corrections, and other judicial authorities, utilizing regional partners: *Provided further*, That not less than \$54,975,000 of the funds appropriated under this heading shall be transferred to, and merged with, funds appropriated by this Act under the heading "Assistance for Europe, Eurasia and Central Asia", which shall be available for the same purposes as funds appropriated under this heading: *Provided further*, That funds made available under this heading that are transferred to another department, agency, or instrumentality of the United States Government pursuant to section 632(b) of the Foreign Assistance Act of 1961 valued in excess of \$5,000,000, and any agreement made pursuant to section 632(a) of such Act, shall be subject to the regular notification procedures of the Committees on Appropriations. *(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 019-1022-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Counterdrug and Anti-Crime Programs	1,731	1,765	1,116
0801 International Narcotics Control and Law Enforcement (Reimbursable)	26	25	25
0900 Total new obligations	1,757	1,790	1,141
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,216	855	302
1010 Unobligated balance transfer to other accts [072-1037]	-167		
1012 Unobligated balance transfers between expired and unexpired accounts	232		
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	1,293	855	302

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation (regular)	1,296	895	814
1100 Appropriation		372	
1120 Appropriations transferred to other acct [072-0306]		-55	
1160 Appropriation, discretionary (total)	1,296	1,212	814
Spending authority from offsetting collections, discretionary:			
1700 Collected	27	25	25
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	28	25	25
1900 Budget authority (total)	1,324	1,237	839
1930 Total budgetary resources available	2,617	2,092	1,141
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
1941 Unexpired unobligated balance, end of year	855	302	

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,819	3,999	4,360
3010 Obligations incurred, unexpired accounts	1,757	1,790	1,141
3011 Obligations incurred, expired accounts	1		
3020 Outlays (gross)	-1,367	-1,429	-1,583
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3041 Recoveries of prior year unpaid obligations, expired	-199		
3050 Unpaid obligations, end of year	3,999	4,360	3,918
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,819	3,998	4,359
3200 Obligated balance, end of year	3,998	4,359	3,917

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	1,324	1,237	839
Outlays, gross:			
4010 Outlays from new discretionary authority	59	127	87
4011 Outlays from discretionary balances	1,308	1,302	1,496
4020 Outlays, gross (total)	1,367	1,429	1,583
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-19	-25	-25
4033 Non-Federal sources	-12		
4040 Offsets against gross budget authority and outlays (total)	-31	-25	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	4		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	1,296	1,212	814
4080 Outlays, net (discretionary)	1,336	1,404	1,558
4180 Budget authority, net (total)	1,296	1,212	814
4190 Outlays, net (total)	1,336	1,404	1,558

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	1,296	1,212	814
Outlays	1,336	1,404	1,558
Overseas contingency operations:			
Budget Authority			324
Outlays			32
<b>Total:</b>			
Budget Authority	1,296	1,212	1,138
Outlays	1,336	1,404	1,590

International Narcotics Control and Law Enforcement (INCLE) provides assistance to foreign countries and international organizations to develop and implement policies and programs that strengthen institutional law enforcement and judicial capabilities, counter drug flows, combat transnational crime, establish and maintain the rule of law, and provide capacity building to nations encountering instability. The 2017 INCLE budget supports regional security initiatives such as the Central America Regional Security Initiative (CARSI), the Central Asia Counternarcotics Initiative, and the Caribbean Basin Security Initiative (CBSI). It continues to provide capacity

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT—Continued  
building to nations enduring transnational crime and stabilization problems, such as Colombia and Ukraine. Additionally, funding will support Presidential policy priorities including, stemming the flow of unaccompanied children to the United States from Central America, combatting wildlife trafficking globally, the Security Governance Initiative in Africa, and engagement in South Asia maritime law enforcement.

**Object Classification** (in millions of dollars)

Identification code 019–1022–0–1–151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	47	48	49
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	49	50	51
12.1 Civilian personnel benefits .....	33	34	34
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	7	7	7
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	8	8	8
25.2 Other services from non-Federal sources .....	481	491	416
26.0 Supplies and materials .....	9	9	9
31.0 Equipment .....	26	27	27
41.0 Grants, subsidies, and contributions .....	1,116	1,137	562
99.0 Direct obligations .....	1,731	1,765	1,116
99.0 Reimbursable obligations .....	26	25	25
99.9 Total new obligations .....	1,757	1,790	1,141

**Employment Summary**

Identification code 019–1022–0–1–151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	299	305	311
2001 Reimbursable civilian full-time equivalent employment .....	33	34	34

**ANDEAN COUNTERDRUG PROGRAMS****Program and Financing** (in millions of dollars)

Identification code 019–1154–0–1–151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1050 Unobligated balance (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	2	.....
3020 Outlays (gross) .....	–14	–2	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–2	.....	.....
3050 Unpaid obligations, end of year .....	2	.....	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	18	2	.....
3200 Obligated balance, end of year .....	2	.....	.....
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	14	2	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	14	2	.....

This account funded U.S. assistance to Plan Colombia and follow-on activities from 2000 to 2010. These funds supported the Colombian Army's push into southern Colombia in support of the Colombian National Police, enhanced drug interdiction in Colombia and the region, increased support to the Colombian National Police, provided for economic development in Colombia and the Andean region, and boosted Colombia's local and national

government capacity. Beginning in 2010, funds for these programs are requested and appropriated in the International Narcotics Control and Law Enforcement account.

**DEMOCRACY FUND**

For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the promotion of democracy globally, \$150,500,000, to remain available until September 30, 2017, of which \$88,500,000 shall be made available for the Human Rights and Democracy Fund of the Bureau of Democracy, Human Rights and Labor, Department of State, and \$62,000,000 shall be made available for the Bureau for Democracy, Conflict, and Humanitarian Assistance, United States Agency for International Development. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019–1121–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Democracy Fund (Direct) .....	132	135	147
0900 Total new obligations (object class 41.0) .....	132	135	147
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	131	131	147
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1050 Unobligated balance (total) .....	132	131	147
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	131	151	.....
1930 Total budgetary resources available .....	263	282	147
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	131	147	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	172	185	195
3010 Obligations incurred, unexpired accounts .....	132	135	147
3020 Outlays (gross) .....	–116	–125	–126
3041 Recoveries of prior year unpaid obligations, expired .....	–3	.....	.....
3050 Unpaid obligations, end of year .....	185	195	216
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	172	185	195
3200 Obligated balance, end of year .....	185	195	216
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4000 Budget authority, gross .....	131	151	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	50	.....
4011 Outlays from discretionary balances .....	116	75	126
4020 Outlays, gross (total) .....	116	125	126
4180 Budget authority, net (total) .....	131	151	.....
4190 Outlays, net (total) .....	116	125	126

This appropriation funds some democracy promotion activities of the Department of State and the U.S. Agency for International Development. 2017 funding for these activities is requested in the Economic Support Fund and Development Assistance accounts.

**THE ASIA FOUNDATION**

For a grant to The Asia Foundation, as authorized by The Asia Foundation Act (22 U.S.C. 4402), \$17,000,000, \$12,000,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019–0525–0–1–154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Asia Foundation (Direct) .....	17	17	12
0900 Total new obligations (object class 41.0) .....	17	17	12
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	12
1930 Total budgetary resources available .....	17	17	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	5	3
3010 Obligations incurred, unexpired accounts .....	17	17	12
3020 Outlays (gross) .....	-19	-19	-14
3050 Unpaid obligations, end of year .....	5	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	5	3
3200 Obligated balance, end of year .....	5	3	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	17	12
4011 Outlays from discretionary balances .....	7	2	2
4020 Outlays, gross (total) .....	19	19	14
4180 Budget authority, net (total) .....	17	17	12
4190 Outlays, net (total) .....	19	19	14

The Asia Foundation is a private, nonprofit organization incorporated and headquartered in California. The Asia Foundation operates programs through 18 offices in Asia to support democratic initiatives, governance and economic reform, rule of law, women's empowerment programs, and closer U.S.-Asian relations by providing grants to institutions in Asia.

**NATIONAL ENDOWMENT FOR DEMOCRACY**

For grants made by the Department of State to the National Endowment for Democracy, as authorized by the National Endowment for Democracy Act (22 U.S.C. 4412), [ \$170,000,000 ] \$103,500,000, to remain available until expended [ , of which \$117,500,000 shall be allocated in the traditional and customary manner, including for the core institutes, and \$52,500,000 shall be for democracy programs ] . (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019–0210–0–1–154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Endowment for Democracy (Direct) .....	135	170	104
0900 Total new obligations (object class 41.0) .....	135	170	104
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	135	170	104
1930 Total budgetary resources available .....	135	170	104
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	89	87	53
3010 Obligations incurred, unexpired accounts .....	135	170	104
3020 Outlays (gross) .....	-137	-204	-125
3050 Unpaid obligations, end of year .....	87	53	32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	89	87	53
3200 Obligated balance, end of year .....	87	53	32

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	135	170	104
Outlays, gross:			
4010 Outlays from new discretionary authority .....	59	117	72
4011 Outlays from discretionary balances .....	78	87	53
4020 Outlays, gross (total) .....	137	204	125
4180 Budget authority, net (total) .....	135	170	104
4190 Outlays, net (total) .....	137	204	125

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in Washington, D.C. to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East, and Eurasia. Working with civil society organizations, NED will continue efforts to strengthen democracy and tolerance in the Middle East through the Broader Middle East and North Africa Initiative.

The National Endowment for Democracy Act (Public Law 98–164), as amended, provides for an annual grant to the Endowment to fulfill the purposes of the Act. NED does not carry out programs directly but its Board approves annual grants to organizations such as the American Center for International Labor Solidarity, the Center for International Private Enterprise, the International Republican Institute, the National Democratic Institute for International Affairs, and indigenous organizations working to promote civic education, human rights, independent media, and other democratic processes and values.

**EAST-WEST CENTER**

[ To enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, \$16,700,000. ] (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 019–0202–0–1–154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 East-West Center (Direct) .....	17	17	.....
0900 Total new obligations (object class 41.0) .....	17	17	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	.....
1930 Total budgetary resources available .....	17	17	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	17	17	.....
3020 Outlays (gross) .....	-18	-17	-1
3050 Unpaid obligations, end of year .....	1	1	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	17	.....
4011 Outlays from discretionary balances .....	2	.....	1
4020 Outlays, gross (total) .....	18	17	1
4180 Budget authority, net (total) .....	17	17	.....
4190 Outlays, net (total) .....	18	17	1

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is an educational institution administered by a public, nonprofit educational corporation. The East-West Center contributes

EAST-WEST CENTER—Continued

to a peaceful, prosperous, and just Asia Pacific community by serving as a vigorous hub for cooperative research, education, and dialogue on critical issues of common concern to the Asia Pacific region and the United States. For FY 2017, the Administration's request of \$10,800,000 for the East-West Center is proposed within the Educational and Cultural Exchange Programs heading.

INTERNATIONAL LITIGATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5177-0-2-153	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 International Litigation Fund .....		1	1
2000 Total: Balances and receipts .....		1	1
Appropriations:			
Current law:			
2101 International Litigation Fund .....		-1	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 019-5177-0-2-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Litigation Fund .....	4		
0100 Direct program activities, subtotal .....	4		
0801 International Litigation Fund .....		5	5
0809 Reimbursable program activities, subtotal .....		5	5
0900 Total new obligations (object class 25.2) .....	4	5	5

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	16	16
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
1050 Unobligated balance (total) .....	15	16	16
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [019-0113] ....	2		
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		3	3
1900 Budget authority (total) .....	5	5	5
1930 Total budgetary resources available .....	20	21	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	16	16

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	8	6
3010 Obligations incurred, unexpired accounts .....	4	5	5
3020 Outlays (gross) .....	-5	-7	-7
3050 Unpaid obligations, end of year .....	8	6	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	8	6
3200 Obligated balance, end of year .....	8	6	4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-1	-1
Mandatory:			
4090 Budget authority, gross .....		4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....		4	4

4101 Outlays from mandatory balances .....	4	2	2
4110 Outlays, gross (total) .....	4	6	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-3	-3
4180 Budget authority, net (total) .....	2	1	1
4190 Outlays, net (total) .....	2	3	3

The International Litigation Fund (ILF) is authorized by section 38(d) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)) to pay for expenses incurred by the Department of State relative to preparing or prosecuting a proceeding before an international tribunal or a claim by or against a foreign government or other foreign entity. Monies otherwise available for such purposes are authorized to be deposited in ILF. Funds received by the Department from other U.S. Government agencies or from private parties for these purposes are also deposited in ILF.

In addition, section 38(e) authorizes the Secretary to retain 1.5 percent of any amount between \$100,000 and \$5,000,000, and one percent of any amount over \$5,000,000, received per claim under chapter 34 of the Act of February 1896 (22 U.S.C. 2668a; 29 Stat. 32).

INTERNATIONAL CENTER, WASHINGTON, D.C.

Not to exceed \$1,806,600 shall be derived from fees collected from other executive agencies for lease or use of facilities at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$1,320,000, to be derived from the reserve authorized by such section, to be used for the purposes set out in that section and for development, maintenance, and security of additional properties for use as an International Center by foreign governments or international organizations.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5151-0-2-153	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			5
Receipts:			
Current law:			
1130 International Center, Washington, D.C., Sale and Rent of Real Property .....	1	6	7
2000 Total: Balances and receipts .....	1	6	12
Appropriations:			
Current law:			
2101 International Center, Washington, D.C. ....	-1	-1	-1
5099 Balance, end of year .....		5	11

Program and Financing (in millions of dollars)

Identification code 019-5151-0-2-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Center, Washington, D.C. (Direct) .....	1	1	1
0801 International Center, Washington, D.C. (Reimbursable) .....	2	2	2
0900 Total new obligations .....	3	3	3

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1900 Budget authority (total) .....	3	3	3
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-2	-6	-3
3050 Unpaid obligations, end of year .....	3		

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	3
3200	Obligated balance, end of year .....	3	

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	3	3
Outlays, gross:			
4010	Outlays from new discretionary authority .....	1	3
4011	Outlays from discretionary balances .....	1	3
4020	Outlays, gross (total) .....	2	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-2	-2
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....		4

These funds provide for the development, lease, or exchange of property owned by the United States at the International Center located in Washington, D.C. to foreign governments or international organizations. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements that have not been conveyed to a government or international organization, and for surveys and plans related to development of additional areas within the Nation's Capital for chancery and diplomatic purposes. This language was previously included under the heading for Diplomatic and Consular Programs.

**Object Classification** (in millions of dollars)

Identification code 019-5151-0-2-153	2015 actual	2016 est.	2017 est.
32.0 Direct obligations: Land and structures .....	1	1	1
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	3	3	3

## FISHERMEN'S PROTECTIVE FUND

**Program and Financing** (in millions of dollars)

Identification code 019-5116-0-2-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
1930	Total budgetary resources available .....	1	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

The Fishermen's Protective Fund provides for reimbursement to owners of vessels for amounts of fines, fees, and other direct charges that were paid by owners to a foreign country to secure the release of their vessels and crews and for other specified charges. No new budget authority is requested in 2017.

## FISHERMEN'S GUARANTY FUND

**Program and Financing** (in millions of dollars)

Identification code 019-5121-0-2-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3	3
1930	Total budgetary resources available .....	3	3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3	3
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

This fund provides for payment to vessel owners to compensate for certain financial losses sustained as a result of foreign seizures of American fishing

vessels on the basis of claims to jurisdiction not recognized by the United States. No new budget authority is requested for 2017.

**Trust Funds**

## EISENHOWER EXCHANGE FELLOWSHIP PROGRAM

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204-5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, [2016]2017, to remain available until expended: *Provided*, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by section 5376 of title 5, United States Code; or for purposes which are not in accordance with section 200 of title 2 of the Code of Federal Regulations, including the restrictions on compensation for personal services.

## ISRAELI ARAB SCHOLARSHIP PROGRAM

For necessary expenses of the Israeli Arab Scholarship Program, as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, [2016]2017, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 570-8276-0-7-154	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	12	12
2000	Total: Balances and receipts .....	12	12
5099	Balance, end of year .....	12	12

**Program and Financing** (in millions of dollars)

Identification code 570-8276-0-7-154	2015 actual	2016 est.	2017 est.
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	13	13
5001	Total investments, EOY: Federal securities: Par value .....	13	13

The Eisenhower Exchange Fellowship Trust Fund (EEF Trust Fund) was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the EEF Trust Fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arab students to attend institutions of higher learning in the United States.

## CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND

For necessary expenses of the Center for Middle Eastern-Western Dialogue Trust Fund, as authorized by section 633 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004 (22 U.S.C. 2078), the total amount of the interest and earnings accruing to such Fund on or before September 30, [2016]2017, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019-8813-0-7-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Center for Middle Eastern-Western Dialogue Trust Fund (Direct) .....	1	1
0900	Total new obligations (object class 25.2) .....	1	1

CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND—Continued  
Program and Financing—Continued

Identification code 019-8813-0-7-153	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	15	14
1930 Total budgetary resources available .....	16	15	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	14	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 Obligations incurred, unexpired accounts .....	1	1	1
3050 Unpaid obligations, end of year .....	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	3
3200 Obligated balance, end of year .....	2	3	4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	15	15	15
5001 Total investments, EOY: Federal securities: Par value .....	15	15	15

The International Center for Middle Eastern-Western Dialogue (Hollings Center) was created in 2004 to promote dialogue and cross-cultural understanding between the United States and nations of the Middle East, Turkey, Central and North Africa, Southwest and Southeast Asia and other countries with predominantly Muslim populations. The Hollings Center may use the trust fund principal and accrued interest and earnings to support annual operations.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Governmental receipts:</b>			
020-083000 Immigration, Passport, and Consular Fees .....	817	515	603
General Fund Governmental receipts .....	817	515	603
<b>Offsetting receipts from the public:</b>			
019-277630 Repatriation Loans, Downward Reestimate of Subsidies .....	1	2	
019-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	58	5	5
General Fund Offsetting receipts from the public .....	59	7	5
<b>Intragovernmental payments:</b>			
019-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	106	10	10
General Fund Intragovernmental payments .....	106	10	10

## MILLENNIUM CHALLENGE CORPORATION

### Federal Funds

#### MILLENNIUM CHALLENGE CORPORATION

For necessary expenses to carry out the provisions of the Millennium Challenge Act of 2003 (22 U.S.C. 7701 et seq.) (MCA), **[\$901,000,000]** \$1,000,000,000, to remain available until expended: *Provided*, That of the funds appropriated under this heading, up to **[\$105,000,000]** \$108,400,000 may be available for administrative expenses of the Millennium Challenge Corporation (the Corporation): *Provided further*, That up to **[5]** 10 percent of the funds appropriated under this heading may be made available to carry out the purposes of section 616 of the MCA for the fiscal year **[2016]**: *Provided further*, That section 605(e) of the MCA shall apply to funds appropriated under this heading: *Provided further*, That funds appropriated under this heading may be made available for a Millennium Challenge Compact entered into pursuant to section 609 of the MCA only if such Compact obligates, or contains a commitment to obligate subject to the availability of funds and the mutual agree-

ment of the parties to the Compact to proceed, the entire amount of the United States Government funding anticipated for the duration of the Compact: *Provided further*, That the Chief Executive Officer of the Corporation shall *whenever practicable* notify the Committees on Appropriations not later than 15 days prior to commencing negotiations for any country compact or threshold country program; signing any such compact or threshold program; or terminating or suspending any such compact or threshold program: *Provided further*, That funds appropriated under this heading by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs that are available to implement section 609(g) of the MCA shall be subject to the regular notification procedures of the Committees on Appropriations: **[Provided further**, That no country should be eligible for a threshold program after such country has completed a country compact: **]** *Provided further*, That any funds that are deobligated from a Millennium Challenge Compact shall be subject to the regular notification procedures of the Committees on Appropriations prior to re-obligation: *Provided further*, That notwithstanding section 606(a)(2) of the MCA, a country shall be a candidate country for purposes of eligibility for assistance for the fiscal year if the country has a per capita income equal to or below the World Bank's lower middle income country threshold for the fiscal year and is among the 75 lowest per capita income countries as identified by the World Bank; and the country meets the requirements of section 606(a)(1)(B) of the MCA: *Provided further*, That notwithstanding section 606(b)(1) of the MCA, in addition to countries described in the preceding proviso, a country shall be a candidate country for purposes of eligibility for assistance for the fiscal year if the country has a per capita income equal to or below the World Bank's lower middle income country threshold for the fiscal year and is not among the 75 lowest per capita income countries as identified by the World Bank; and the country meets the requirements of section 606(a)(1)(B) of the MCA: *Provided further*, That any Millennium Challenge Corporation candidate country under section 606 of the MCA with a per capita income that changes in the fiscal year such that the country would be reclassified from a low income country to a lower middle income country or from a lower middle income country to a low income country shall retain its candidacy status in its former income classification for the fiscal year and the 2 subsequent fiscal years: *Provided further*, That publication in the Federal Register of a notice of availability of a copy of a Compact on the Millennium Challenge Corporation Web site shall be deemed to satisfy the requirements of section 610(b)(2) of the MCA for such Compact **[**: *Provided further*, That none of the funds made available by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be available for a threshold program in a country that is not currently a candidate country **]**, and posting the information required by section 612(a) on the Corporation Web site shall be deemed to satisfy the requirements of section 612(b): *Provided further*, That the Comptroller General of the United States shall provide to the appropriate congressional committees a review of authorities that may allow the Millennium Challenge Corporation to obligate funds that are unobligated from prior fiscal years for compacts in countries that are not eligible for a compact in the current fiscal year: *Provided further*, That such review shall include an assessment as set forth in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act): *Provided further*, That funds appropriated under this heading shall be used on a reimbursable basis for such review: *Provided further*, That of the funds appropriated under this heading, not to exceed \$100,000 may be available for representation and entertainment expenses, of which not to exceed \$5,000 may be available for entertainment expenses. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

### Program and Financing (in millions of dollars)

Identification code 524-2750-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Country Programs Assistance (Compacts) .....	285	667	753
0002 Threshold Programs .....		30	30
0003 Monitoring and Evaluation (Due Diligence) .....	38	75	82
0004 609(g) Compact Assistance .....	38	19	22
0005 Administrative Expenses .....	103	105	108
0006 USAID Inspector General .....	4	5	5
0900 Total new obligations .....	468	901	1,000
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,839	2,311	2,363
1021 Recoveries of prior year unpaid obligations .....	40	52	
1050 Unobligated balance (total) .....	1,879	2,363	2,363
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	900	901	1,000
1930 Total budgetary resources available .....	2,779	3,264	3,363

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,311	2,363	2,363
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,587	2,290	2,596
3010	Obligations incurred, unexpired accounts .....	468	901	1,000
3020	Outlays (gross) .....	-725	-543	-744
3040	Recoveries of prior year unpaid obligations, unexpired .....	-40	-52	.....
3050	Unpaid obligations, end of year .....	2,290	2,596	2,852
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,587	2,290	2,596
3200	Obligated balance, end of year .....	2,290	2,596	2,852
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	900	901	1,000
Outlays, gross:				
4010	Outlays from new discretionary authority .....	70	111	118
4011	Outlays from discretionary balances .....	655	432	626
4020	Outlays, gross (total) .....	725	543	744
4180	Budget authority, net (total) .....	900	901	1,000
4190	Outlays, net (total) .....	725	543	744

Established by the Millennium Challenge Act of 2003, the Millennium Challenge Corporation (MCC) has the statutory goal of providing assistance to the poorest countries in the world to promote economic growth, eliminate extreme poverty, and strengthen good governance, economic freedom, and investments in people. Since its inception, MCC has signed 32 compacts and 26 threshold program agreements, totaling nearly \$12 billion. These investments help foster stability through economic growth and poverty reduction in partner countries. MCC encourages policy reforms by working with only those countries that have created the conditions for growth by ruling justly, investing in their people, and committing to economic freedom, with a particular emphasis on fighting corruption and maintaining democratic rights. Countries develop their poverty reduction proposals in broad consultation with their own civil society and MCC. MCC's evidence-based approach leads to compacts that specifically define the implementation responsibilities of partner countries, including financial accountability and transparent and fair procurement practices, and require measurable results to ensure that MCC assistance is used responsibly and effectively.

#### Object Classification (in millions of dollars)

Identification code 524-2750-0-1-151				
	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	20	25	27
11.3	Other than full-time permanent .....	12	13	15
11.5	Other personnel compensation .....	1	1	1
11.8	Special personal services payments .....	5	5	5
11.9	Total personnel compensation .....	38	44	48
12.1	Civilian personnel benefits .....	11	13	15
21.0	Travel and transportation of persons .....	5	5	5
23.2	Rental payments to others .....	9	9	9
25.1	Advisory and assistance services .....	63	83	93
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	13	13	13
32.0	Land and structures .....	5	.....	.....
41.0	Country Program Assistance (Compacts) .....	285	667	753
41.0	609(g) Compact Assistance .....	38	36	33
41.0	Threshold Programs .....	.....	30	30
99.9	Total new obligations .....	468	901	1,000

#### Employment Summary

Identification code 524-2750-0-1-151				
	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	280	293	313

## INTERNATIONAL SECURITY ASSISTANCE

### Federal Funds

#### ECONOMIC SUPPORT FUND

For necessary expenses to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961, **[\$1,896,315,000]** \$2,408,454,000, to remain available until September 30, **[2017]** 2018. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 072-1037-0-1-152		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Economic Support Fund (Direct) .....	5,018	5,000	5,000
0801	Economic Support Fund (Reimbursable) .....	33	.....	.....
0900	Total new obligations .....	5,051	5,000	5,000
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4,219	5,016	4,288
1010	Unobligated balance transfer to other accts [011-0077] .....	-5	.....	.....
1010	Unobligated balance transfer to other accts [069-0142] .....	-1	.....	.....
1010	Unobligated balance transfer to other accts [019-0209] .....	-55	.....	.....
1010	Unobligated balance transfer to other accts [011-0071] .....	-25	.....	.....
1010	Unobligated balance transfer to other accts [011-0080] .....	-25	.....	.....
1010	Unobligated balance transfer to other accts [013-0120] .....	-1	.....	.....
1010	Unobligated balance transfer to other accts [072-0402] .....	-289	.....	.....
1010	Unobligated balance transfer to other accts [012-2900] .....	-1	.....	.....
1010	Unobligated balance transfer to other accts [072-1250] .....	-1	.....	.....
1010	Unobligated balance transfer to other accts [014-0804] .....	-1	.....	.....
1010	Unobligated balance transfer to other accts [016-0165] .....	-2	.....	.....
1010	Unobligated balance transfer to other accts [072-1264] .....	-13	.....	.....
1010	Unobligated balance transfer to other accts [089-0228] .....	-10	.....	.....
1010	Unobligated balance transfer to other accts [089-0321] .....	-1	.....	.....
1010	Unobligated balance transfer to other accts [072-0409] .....	.....	-30	.....
1011	Unobligated balance transfer from other acct [011-1075] .....	55	.....	.....
1011	Unobligated balance transfer from other acct [011-1082] .....	90	.....	.....
1011	Unobligated balance transfer from other acct [021-2096] .....	55	.....	.....
1011	Unobligated balance transfer from other acct [019-1022] .....	167	.....	.....
1012	Unobligated balance transfers between expired and unexpired accounts .....	41	.....	.....
1021	Recoveries of prior year unpaid obligations .....	767	.....	.....
1050	Unobligated balance (total) .....	4,964	4,986	4,288
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,633	1,896	2,408
1100	Appropriation-OCO .....	2,114	2,423	.....
1100	Ebola Response .....	712	.....	.....
1120	Appropriations transferred to other accts [089-0319] .....	-2	.....	.....
1120	Appropriations transferred to other accts [072-0409] .....	-186	.....	.....
1120	Appropriations transferred to other accts [013-1250] .....	-1	.....	.....
1120	Appropriations transferred to other accts [072-1264] .....	-2	.....	.....
1120	Appropriations transferred to other accts [012-2900] .....	-1	.....	.....
1120	Appropriations transferred to other accts [072-0402] .....	-170	.....	.....
1120	Appropriations transferred to other accts [011-0080] .....	-17	.....	.....
1120	Appropriations transferred to other accts [011-0071] .....	13	-10	.....
1120	Appropriations transferred to other acct [011-0077] .....	-6	.....	.....
1120	Appropriations transferred to other acct [089-0228] .....	-1	.....	.....
1120	Appropriations transferred to other acct [019-1143] .....	.....	-7	.....
1121	Appropriations transferred from other acct [011-1082] .....	15	.....	.....
1160	Appropriation, discretionary (total) .....	5,075	4,302	2,408
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	33	.....	.....
1900	Budget authority (total) .....	5,108	4,302	2,408
1930	Total budgetary resources available .....	10,072	9,288	6,696
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-5	.....	1,696
1941	Unexpired unobligated balance, end of year .....	5,016	4,288	1,696
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	12,469	11,663	11,228
3010	Obligations incurred, unexpired accounts .....	5,051	5,000	5,000
3011	Obligations incurred, expired accounts .....	51	.....	.....
3020	Outlays (gross) .....	-5,057	-5,435	-5,797
3040	Recoveries of prior year unpaid obligations, unexpired .....	-767	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-84	.....	.....
3050	Unpaid obligations, end of year .....	11,663	11,228	10,431
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12,469	11,663	11,228

ECONOMIC SUPPORT FUND—Continued  
Program and Financing—Continued

Identification code 072–1037–0–1–152	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	11,663	11,228	10,431
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,108	4,302	2,408
Outlays, gross:			
4010 Outlays from new discretionary authority .....	54	492	289
4011 Outlays from discretionary balances .....	5,003	4,943	5,508
4020 Outlays, gross (total) .....	5,057	5,435	5,797
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–32		
4033 Non-Federal sources .....	–6		
4040 Offsets against gross budget authority and outlays (total) ....	–38		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	5		
4070 Budget authority, net (discretionary) .....	5,075	4,302	2,408
4080 Outlays, net (discretionary) .....	5,019	5,435	5,797
4180 Budget authority, net (total) .....	5,075	4,302	2,408
4190 Outlays, net (total) .....	5,019	5,435	5,797

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	5,075	4,302	2,408
Outlays .....	5,019	5,435	5,797
Overseas contingency operations:			
Budget Authority .....			3,411
Outlays .....			686
Total:			
Budget Authority .....	5,075	4,302	5,819
Outlays .....	5,019	5,435	6,483

This account supports U.S. foreign policy objectives by providing economic assistance to allies and countries in transition to democracy, supporting Middle East peace efforts, increasing stability in conflict/post-conflict environments, and financing economic growth and stabilization programs, frequently in a multi-donor context. Key objectives include:

1) Supporting strategically significant friends and allies through assistance designed to increase the role of the private sector in the economy, reduce government controls over markets, enhance job creation, and improve economic growth.

2) Developing and strengthening institutions necessary for sustainable democracy. Typical areas of assistance include technical assistance to administer and monitor elections, capacity-building for non-governmental organizations, judicial training, and women's participation in politics. Assistance is also provided to support the transformation of the public sector to encourage democratic development, including training to improve public administration; to promote decentralization; and to strengthen local governments, parliaments, independent media and non-governmental organizations.

3) Strengthening the capacity of partner governments to manage the human dimension of transitions out of instability and to help sustain the neediest sectors of the population during the transition period.

This account also includes funding for alternative development programs.

Object Classification (in millions of dollars)

Identification code 072–1037–0–1–152	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	20	20	20
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	79	79	79
25.3 Other goods and services from Federal sources .....	15	15	15
25.5 Research and development contracts .....	2	2	2

31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	4,889	4,871	4,871
99.0 Direct obligations .....	5,018	5,000	5,000
99.0 Reimbursable obligations .....	33		
99.9 Total new obligations .....	5,051	5,000	5,000

Employment Summary

Identification code 072–1037–0–1–152	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	30	30	30

CENTRAL AMERICA AND CARIBBEAN EMERGENCY DISASTER RECOVERY FUND

Program and Financing (in millions of dollars)

Identification code 072–1096–0–1–151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
1050 Unobligated balance (total) .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

FOREIGN MILITARY FINANCING PROGRAM

For necessary expenses for grants to enable the President to carry out the provisions of section 23 of the Arms Export Control Act, **[\$4,737,522,000] \$4,701,813,000: Provided,** That to expedite the provision of assistance to foreign countries and international organizations, the Secretary of State, following consultation with the Committees on Appropriations and subject to the regular notification procedures of such Committees, may use the funds appropriated under this heading to procure defense articles and services to enhance the capacity of foreign security forces: **Provided further,** That of the funds appropriated under this heading, not less than \$3,100,000,000 shall be available for grants only for Israel, and funds are available for assistance for Jordan and Egypt subject to section 7041 of this Act: **Provided further,** That the funds appropriated under this heading for assistance for Israel **[shall] may** be disbursed within 30 days of enactment of this Act: **Provided further,** That to the extent that the Government of Israel requests that funds be used for such purposes, grants made available for Israel under this heading shall, as agreed by the United States and Israel, be available for advanced weapons systems, of which not less than \$815,300,000 shall be available for the procurement in Israel of defense articles and defense services, including research and development: **Provided further,** That none of the funds made available under this heading shall be made available to support or continue any program initially funded under the authority of section 1206 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109–163; 119 Stat. 3456), or section 2282 of title 10, United States Code, unless the Secretary of State, in coordination with the Secretary of Defense, has justified such program to the Committees on Appropriations: **Provided further,** That funds appropriated or otherwise made available under this heading shall be nonrepayable notwithstanding any requirement in section 23 of the Arms Export Control Act: **Provided further,** That funds made available under this heading shall be obligated upon apportionment in accordance with paragraph (5)(C) of section 1501(a) of title 31, United States Code.

None of the funds made available under this heading shall be available to finance the procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act unless the foreign country proposing to make such procurement has first signed an agreement with the United States Government specifying the conditions under which such procurement may be financed with such funds: **Provided,** **[That all country and funding level increases in allocations shall be submitted through the regular notification procedures of section 7015 of this Act: Provided further,** **] That funds made available under this heading may be used, notwithstanding any other provision of law, for demining, the clearance of unexploded ordnance, and related activities, and may include activities implemented through nongovernmental and international organizations: Provided further,** That only those countries

for which assistance was justified for the "Foreign Military Sales Financing Program" in the fiscal year 1989 congressional presentation for security assistance programs may utilize funds made available under this heading for procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act: *Provided further*, That funds appropriated under this heading shall be expended at the minimum rate necessary to make timely payment for defense articles and services: *Provided further*, That not more than **[\$75,000,000]** \$70,000,000 of the funds appropriated under this heading may be obligated for necessary expenses, including the purchase of passenger motor vehicles for replacement only for use outside of the United States, for the general costs of administering military assistance and sales, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations: *Provided further*, That of the funds made available under this heading for general costs of administering military assistance and sales, not to exceed \$4,000 may be available for entertainment expenses and not to exceed \$130,000 may be available for representation expenses: *Provided further*, That not more than **[\$904,000,000]** \$920,200,000 of funds realized pursuant to section 21(e)(1)(A) of the Arms Export Control Act may be obligated for expenses incurred by the Department of Defense during fiscal year **[2016]** 2017 pursuant to section 43(b) of the Arms Export Control Act, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-1082-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Country grants .....	6,322	5,059	5,059
0009 Administrative Expenses .....	71	70	70
0192 Total Direct Obligations .....	6,393	5,129	5,129
0799 Total direct obligations .....	6,393	5,129	5,129
0801 Reimbursable program activity .....	11		
0900 Total new obligations .....	6,404	5,129	5,129
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		583	1,417
1010 Unobligated balance transfer to other accts [011-1041] .....	-15		
1010 Unobligated balance transfer to other accts [072-1037] .....	-90		
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1021 Recoveries of prior year unpaid obligations .....	1,226		
1050 Unobligated balance (total) .....	1,122	583	1,417
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,881	6,026	4,702
1120 Appropriations transferred to other accts [072-1037] .....	-15		
1120 Appropriations transferred to other acct [011-1085] .....		-63	
1160 Appropriation, discretionary (total) .....	5,866	5,963	4,702
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11		
1900 Budget authority (total) .....	5,877	5,963	4,702
1930 Total budgetary resources available .....	6,999	6,546	6,119
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-12		
1941 Unexpired unobligated balance, end of year .....	583	1,417	990
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,201	3,660	2,751
3010 Obligations incurred, unexpired accounts .....	6,404	5,129	5,129
3011 Obligations incurred, expired accounts .....	148		
3020 Outlays (gross) .....	-6,716	-6,038	-6,089
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,226		
3041 Recoveries of prior year unpaid obligations, expired .....	-151		
3050 Unpaid obligations, end of year .....	3,660	2,751	1,791
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,201	3,660	2,751
3200 Obligated balance, end of year .....	3,660	2,751	1,791
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4000 Budget authority, gross .....	5,877	5,963	4,702
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,518	4,329	4,298
4011 Outlays from discretionary balances .....	2,198	1,709	1,791

4020	Outlays, gross (total) .....	6,716	6,038	6,089
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-11		
4180	Budget authority, net (total) .....	5,866	5,963	4,702
4190	Outlays, net (total) .....	6,705	6,038	6,089

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	5,866	5,963	4,702
Outlays .....	6,705	6,038	6,089
Overseas contingency operations:			
Budget Authority .....			871
Outlays .....			653
Total:			
Budget Authority .....	5,866	5,963	5,573
Outlays .....	6,705	6,038	6,742

Foreign Military Financing (FMF) funds procure U.S. defense articles and services to help friendly and allied countries to defend themselves, contribute to regional and global stability, and contain transnational threats, including terrorism and trafficking in narcotics, weapons, persons and other illegal items.

**Object Classification** (in millions of dollars)

Identification code 011-1082-0-1-152	2015 actual	2016 est.	2017 est.	
41.0	Direct obligations: Grants .....	6,393	5,129	5,129
99.0	Reimbursable obligations .....	11		
99.9	Total new obligations .....	6,404	5,129	5,129

PAKISTAN COUNTERINSURGENCY CAPABILITY FUND

**Program and Financing** (in millions of dollars)

Identification code 011-1083-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	19	
0900	Total new obligations (object class 41.0) .....	19	
<b>Budgetary resources:</b>			
Unobligated balance:			
1012	Unobligated balance transfers between expired and unexpired accounts .....	19	
1050	Unobligated balance (total) .....	19	
1930	Total budgetary resources available .....	19	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	33	1
3010	Obligations incurred, unexpired accounts .....	19	
3011	Obligations incurred, expired accounts .....	2	
3020	Outlays (gross) .....	-23	
3041	Recoveries of prior year unpaid obligations, expired .....	-30	
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	33	1
3200	Obligated balance, end of year .....	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	23	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	23	

The Pakistan Counterinsurgency Capability Fund (PCCF) was designed to build the counterinsurgency capabilities of Pakistan's security forces engaged in operations against militant extremists in the Federally Administered Tribal Areas (FATA) and Khyber-Pakhtunkhwa. While the counterinsurgency purpose underlying the PCCF account and the maintenance of

## PAKISTAN COUNTERINSURGENCY CAPABILITY FUND—Continued

close U.S. Pakistani military ties remain important Administration priorities, these needs have been met through other accounts, including Foreign Military Financing (FMF) and International Military Education and Training (IMET), since the FY 2014 Request.

## INTERNATIONAL MILITARY EDUCATION AND TRAINING

For necessary expenses to carry out the provisions of section 541 of the Foreign Assistance Act of 1961, ~~[\$108,115,000]~~ \$110,300,000, of which up to ~~[\$4,000,000]~~ \$6,000,000 may remain available until September 30, ~~[2017]~~ 2018: *Provided*, That the civilian personnel for whom military education and training may be provided under this heading may include civilians who are not members of a government whose participation would contribute to improved civil-military relations, civilian control of the military, or respect for human rights: *Provided further*, That of the funds appropriated under this heading, not to exceed \$55,000 may be available for entertainment expenses. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 011-1081-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Military Education and Training (Direct) .....	107	108	110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	21	25
1012 Unobligated balance transfers between expired and unexpired accounts .....	6	4	4
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	21	25	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	108	108	110
1930 Total budgetary resources available .....	129	133	139
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	21	25	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	98	97	97
3010 Obligations incurred, unexpired accounts .....	107	108	110
3011 Obligations incurred, expired accounts .....	20		
3020 Outlays (gross) .....	-101	-108	-115
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-26		
3050 Unpaid obligations, end of year .....	97	97	92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	98	97	97
3200 Obligated balance, end of year .....	97	97	92
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	108	108	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	49	43	44
4011 Outlays from discretionary balances .....	52	65	71
4020 Outlays, gross (total) .....	101	108	115
4180 Budget authority, net (total) .....	108	108	110
4190 Outlays, net (total) .....	101	108	115

This assistance provides grants for foreign military and civilian personnel to attend military education and training provided by the United States Government either at U.S. military schools or by trainers in country. In addition to helping these countries professionalize their militaries, this program also exposes foreign students to American democratic values, particularly respect for civilian control of the military and for internationally recognized standards of individual and human rights.

## Object Classification (in millions of dollars)

Identification code 011-1081-0-1-152	2015 actual	2016 est.	2017 est.
Direct obligations:			
26.0 Supplies and materials .....	6	6	6
41.0 Grants, subsidies, and contributions .....	101	102	104
99.9 Total new obligations .....	107	108	110

## PEACEKEEPING OPERATIONS

For necessary expenses to carry out the provisions of section 551 of the Foreign Assistance Act of 1961, ~~[\$131,361,000]~~ \$126,291,000, to remain available until September 30, 2018: *Provided*, That funds appropriated under this heading may be used, notwithstanding section 660 of such Act, to provide assistance to enhance the capacity of foreign civilian security forces, including gendarmes, to participate in peacekeeping operations and for purposes of joint military-civilian security forces training for counterterrorism operations: *Provided further*, That ~~[of the]~~ funds appropriated under this heading ~~[], not less than \$35,000,000 shall]~~ may be made available for a United States contribution to the Multinational Force and Observers mission in the Sinai ~~]:~~ *Provided further*, That none of the funds appropriated under this heading shall be obligated except as provided through the regular notification procedures of the Committees on Appropriations ~~].~~ (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 072-1032-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Peacekeeping Operations (Direct) .....	496	500	300
0801 Peacekeeping Operations (Reimbursable) .....	8		
0900 Total new obligations .....	504	500	300
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	115	118	218
1012 Unobligated balance transfers between expired and unexpired accounts .....	21		
1050 Unobligated balance (total) .....	136	118	218
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	474	131	126
1100 Appropriation - OCO .....		469	
1160 Appropriation, discretionary (total) .....	474	600	126
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	12		
1900 Budget authority (total) .....	486	600	126
1930 Total budgetary resources available .....	622	718	344
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	118	218	44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	398	362	171
3010 Obligations incurred, unexpired accounts .....	504	500	300
3020 Outlays (gross) .....	-516	-691	-362
3041 Recoveries of prior year unpaid obligations, expired .....	-24		
3050 Unpaid obligations, end of year .....	362	171	109
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	398	362	171
3200 Obligated balance, end of year .....	362	171	109
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	486	600	126
Outlays, gross:			
4010 Outlays from new discretionary authority .....	163	414	87
4011 Outlays from discretionary balances .....	353	277	275
4020 Outlays, gross (total) .....	516	691	362
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-12		
4040 Offsets against gross budget authority and outlays (total) ....	-12		
4180 Budget authority, net (total) .....	474	600	126

4190 Outlays, net (total) ..... 504 691 362

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	474	600	126
Outlays .....	504	691	362
Overseas contingency operations:			
Budget Authority .....			349
Outlays .....			174
Total:			
Budget Authority .....	474	600	475
Outlays .....	504	691	536

This account funds U.S. assistance to international efforts to monitor and maintain peace around the world, and provides funds to other programs carried out in furtherance of the national security interests of the United States. In 2017, support is planned for programs in Africa, the Multinational Force and Observers Mission in the Sinai, the Global Peace Operations Initiative, the African Peacekeeping Rapid Response Partnership, and other activities.

**Object Classification** (in millions of dollars)

Identification code 072-1032-0-1-152	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	496	500	300
99.0 Reimbursable obligations .....	8		
99.9 Total new obligations .....	504	500	300

**NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS**

For necessary expenses for nonproliferation, anti-terrorism, demining and related programs and activities, **[\$506,381,000] \$454,196,000**, to remain available until September 30, **[2017] 2018**, to carry out the provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 for anti-terrorism assistance, chapter 9 of part II of the Foreign Assistance Act of 1961, section 504 of the FREEDOM Support Act, section 23 of the Arms Export Control Act, or the Foreign Assistance Act of 1961 for demining activities, the clearance of unexploded ordnance, the destruction of small arms, and related activities, notwithstanding any other provision of law, including activities implemented through nongovernmental and international organizations, and section 301 of the Foreign Assistance Act of 1961 for a United States contribution to the Comprehensive Nuclear Test Ban Treaty Preparatory Commission, and for a voluntary contribution to the International Atomic Energy Agency (IAEA): *Provided*, **[**That the Secretary of State shall inform the appropriate congressional committees of information regarding any separate arrangements relating to the "Road-map for the Clarification of Past and Present Outstanding Issues Regarding Iran's Nuclear Program" between the IAEA and the Islamic Republic of Iran, in classified form if necessary, if such information becomes known to the Department of State: *Provided further*, That for the clearance of unexploded ordnance, the Secretary of State should prioritize those areas where such ordnance was caused by the United States: *Provided further*, **]** That funds made available under this heading for the Nonproliferation and Disarmament Fund shall be available notwithstanding any other provision of law **[**and subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations,**]** to promote bilateral and multilateral activities relating to nonproliferation, disarmament, and weapons destruction, and shall remain available until expended: *Provided further*, That such funds may also be used for such countries other than the Independent States of the former Soviet Union and international organizations when it is in the national security interest of the United States to do so: **[***Provided further*, That funds appropriated under this heading may be made available for the IAEA unless the Secretary of State determines that Israel is being denied its right to participate in the activities of that Agency: *Provided further*, That funds made available under this heading for the Counterterrorism Partnerships Fund shall be subject to the regular notification procedures of the Committees on Appropriations:**]** *Provided further*, That funds made available for conventional weapons destruction programs, including demining and related activities, in addition to funds otherwise available for such purposes, may be used for administrative expenses related to the operation and management of such programs and activities**]**, subject to the regular notification procedures of the Committees on Appropriations**]**. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-1075-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Nonproliferation, Antiterrorism, Demining, and Related Programs (Direct) .....	624	795	720
0801 Nonproliferation, Antiterrorism, Demining, and Related Programs (Reimbursable) .....	37	30	30
0900 Total new obligations .....	661	825	750
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	659	701	791
1010 Unobligated balance transfer to other accts [072-1037] .....	-55		
1012 Unobligated balance transfers between expired and unexpired accounts .....	9		
1021 Recoveries of prior year unpaid obligations .....	25		
1050 Unobligated balance (total) .....	638	701	791
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	586	506	454
1100 Appropriation (OCO) .....	99	379	
1100 Appropriation (Emergency Ebola) .....	5		
1160 Appropriation, discretionary (total) .....	690	885	454
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	40	30	30
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	41	30	30
1900 Budget authority (total) .....	731	915	484
1930 Total budgetary resources available .....	1,369	1,616	1,275
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-7		
1941 Unexpired unobligated balance, end of year .....	701	791	525
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	552	579	569
3010 Obligations incurred, unexpired accounts .....	661	825	750
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-580	-835	-860
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25		
3041 Recoveries of prior year unpaid obligations, expired .....	-34		
3050 Unpaid obligations, end of year .....	579	569	459
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	551	577	567
3200 Obligated balance, end of year .....	577	567	457
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	731	915	484
Outlays, gross:			
4010 Outlays from new discretionary authority .....	135	384	212
4011 Outlays from discretionary balances .....	445	451	648
4020 Outlays, gross (total) .....	580	835	860
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-23	-30	-30
4033 Non-Federal sources .....	-22		
4040 Offsets against gross budget authority and outlays (total) ....	-45	-30	-30
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	4		
4070 Budget authority, net (discretionary) .....	690	885	454
4080 Outlays, net (discretionary) .....	535	805	830
4180 Budget authority, net (total) .....	690	885	454
4190 Outlays, net (total) .....	535	805	830

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	690	885	454

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED  
PROGRAMS—Continued

## Summary of Budget Authority and Outlays—Continued

	2015 actual	2016 est.	2017 est.
Outlays .....	535	805	830
Overseas contingency operations:			
Budget Authority .....			214
Outlays .....			86
Total:			
Budget Authority .....	690	885	668
Outlays .....	535	805	916

This account funds contributions to certain organizations supporting nonproliferation, and provides assistance for nonproliferation, demining, anti-terrorism, export control assistance, and other related activities.

## Object Classification (in millions of dollars)

Identification code 011-1075-0-1-152	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	11	15	25
25.2 Other services from non-Federal sources .....	385	400	340
31.0 Equipment .....	45	95	115
41.0 Grants, subsidies, and contributions .....	183	285	240
99.0 Direct obligations .....	624	795	720
99.0 Reimbursable obligations .....	37	30	30
99.9 Total new obligations .....	661	825	750

## GLOBAL SECURITY CONTINGENCY FUND

## Program and Financing (in millions of dollars)

Identification code 011-1041-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Global Security Contingency Fund (Direct) .....	70	60	50
0900 Total new obligations (object class 41.0) .....	70	60	50
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	103	112	52
1011 Unobligated balance transfer from other acct [011-1082] .....	15		
1050 Unobligated balance (total) .....	118	112	52
Budget authority:			
Appropriations, discretionary:			
Appropriations transferred from other acct [097-0100] .....	64		
1930 Total budgetary resources available .....	182	112	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	112	52	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	21	35
3010 Obligations incurred, unexpired accounts .....	70	60	50
3020 Outlays (gross) .....	-51	-46	-32
3050 Unpaid obligations, end of year .....	21	35	53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	21	35
3200 Obligated balance, end of year .....	21	35	53
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	64		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	49		
4011 Outlays from discretionary balances .....	2	46	32
4020 Outlays, gross (total) .....	51	46	32
4180 Budget authority, net (total) .....	64		
4190 Outlays, net (total) .....	51	46	32

The Global Security Contingency Fund (GSCF) permits the Department of State and the Department of Defense to pool funding and expertise to

address emergent challenges and opportunities. The GSCF can be used to provide military and other security sector assistance to enhance a country's national-level military or other security forces' capabilities to conduct border and maritime security, internal defense, and counterterrorism operations, or to participate in or support military, stability, or peace support operations, consistent with U.S. foreign policy and national security interests. The GSCF can also be used to provide assistance to the justice sector (including law enforcement and prisons), rule of law programs, and stabilization efforts in cases where civilian providers are challenged in their ability to operate. Assistance programs under this account are collaboratively developed by the Department of State and the Department of Defense. The fund allows direct contributions from each Department to be transferred into the fund for implementation by the most appropriate agency in a given situation, be it State, Defense, the U.S. Agency for International Development, or others.

## FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011-1085-0-1-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		63	
0900 Total new obligations (object class 41.0) .....		63	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
Appropriations transferred from other acct [011-1082] .....		63	
1930 Total budgetary resources available .....		63	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		63	
3020 Outlays (gross) .....		-63	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		63	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		63	
4180 Budget authority, net (total) .....		63	
4190 Outlays, net (total) .....		63	

## Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....		63	
Outlays .....		63	
Overseas contingency operations:			
Budget Authority .....			141
Outlays .....			141
Total:		63	141
Budget Authority .....		63	141
Outlays .....		63	141

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 011-1085-0-1-152	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 DSCA Loan Program .....		2,700	
Direct loan subsidy (in percent):			
132001 DSCA Loan Program .....		2.34	
132999 Weighted average subsidy rate .....	0.00	2.34	0.00
Direct loan subsidy budget authority:			
133001 DSCA Loan Program .....		63	
Direct loan subsidy outlays:			
134001 DSCA Loan Program .....		63	

## FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011-4122-0-3-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....		2,700	
0713 Payment of interest to Treasury .....	23		
0900 Total new obligations .....	23	2,700	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	15	15
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		2,637	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	440	63	
1825 Spending authority from offsetting collections applied to repay debt .....	-429		
1850 Spending auth from offsetting collections, mand (total) .....	11	63	
1900 Budget authority (total) .....	11	2,700	
1930 Total budgetary resources available .....	38	2,715	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,462	2,033	4,508
3010 Obligations incurred, unexpired accounts .....	23	2,700	
3020 Outlays (gross) .....	-452	-225	-450
3050 Unpaid obligations, end of year .....	2,033	4,508	4,058
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,462	2,033	4,508
3200 Obligated balance, end of year .....	2,033	4,508	4,058
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11	2,700	
Financing disbursements:			
4110 Outlays, gross (total) .....	452	225	450
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-63	
4122 Interest on uninvested funds .....	-11		
4123 Non-Federal sources .....	-429		
4130 Offsets against gross budget authority and outlays (total) .....	-440	-63	
4160 Budget authority, net (mandatory) .....	-429	2,637	
4170 Outlays, net (mandatory) .....	12	162	450
4180 Budget authority, net (total) .....	-429	2,637	
4190 Outlays, net (total) .....	12	162	450

## Status of Direct Loans (in millions of dollars)

Identification code 011-4122-0-3-152	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....		2,700	
1150 Total direct loan obligations .....		2,700	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	11	11	236
1231 Disbursements: Direct loan disbursements .....		225	450
1261 Adjustments: Capitalized interest .....			31
1290 Outstanding, end of year .....	11	236	717

The Foreign Military Financing Direct Loan Program (FMFDLP) Account is a program account established pursuant to the Federal Credit Reform Act (FCRA) of 1990, as amended, to provide the funds necessary for the subsidy element of loans. As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans for foreign military financing obligated in 1992 and after. The foreign military financing credit program provides loans that finance sales of defense articles, defense services, and design and construction services to foreign countries and international or-

ganizations. The amounts in this account are a means of financing and are not included in budget totals. Expenditures from this account finance the subsidy element of direct loan disbursements and are transferred into the Foreign Military Financing Direct Loan Financing (FMFDLF) Account to make required loan disbursements for approved FMS or commercial sales. The FMFDLF is a financing account used to make disbursements of Foreign Military Loan funds for approved procurements and for subsequent collections for loans after September 30, 1991. The account uses permanent borrowing authority from the U.S. Treasury combined with transfers of appropriated funds from the Foreign Military Financing Direct Loan Program (FMFDLP) Account to make required disbursements to loan recipient country borrowers for approved procurements. Receipts of debt service collections from borrowers are used to repay borrowings from U.S. Treasury.

## Balance Sheet (in millions of dollars)

Identification code 011-4122-0-3-152	2014 actual	2015 actual
<b>ASSETS:</b>		
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	11	11
1999 Total assets .....	11	11
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	11	11
4999 Total liabilities and net position .....	11	11

## FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011-4121-0-3-152	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash)-from country loans .....	31	25	25
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-31	-25	-25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-31	-25	-25
4180 Budget authority, net (total) .....	-31	-25	-25
4190 Outlays, net (total) .....	-31	-25	-25

## Status of Direct Loans (in millions of dollars)

Identification code 011-4121-0-3-152	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	222	199	174
1251 Repayments: Repayments and prepayments from country .....	-23	-25	-25
1290 Outstanding, end of year .....	199	174	149

The Foreign Military Loan Liquidating Account (FMLLA) is a liquidating account that records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees for foreign military financing committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act (FCRA) provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new foreign military financing credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted

FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT—Continued  
from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 011-4121-0-3-152	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	222	199
1602 Interest receivable .....	425	442
1699 Value of assets related to direct loans .....	647	641
1999 Total assets .....	647	641
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Accrued Interest Payable to FFB .....		
2103 Debt - Principal owed to FFB .....		
2104 Resources payable to Treasury .....	647	641
2999 Total liabilities .....	647	641
4999 Total liabilities and net position .....	647	641

**MILITARY DEBT REDUCTION FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 011-4174-0-3-152	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2		
0900 Total new obligations .....	2		
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2		
1930 Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-2		
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2		
Financing disbursements:			
4110 Outlays, gross (total) .....	2		
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....	2		

**Status of Direct Loans** (in millions of dollars)

Identification code 011-4174-0-3-152	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	191	191	191
1290 Outstanding, end of year .....	191	191	191

As required by the Federal Credit Reform Act of 1990, the Military Debt Reduction Financing (MDRF) Account is a non-budgetary financing account that records all cash flows to and from the Government resulting from restructuring foreign military loans. The amounts in this account are a means of financing and are not included in budget totals. It is an account established for the debt relief of certain countries as established by Public Law 103-87, Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1994, Section 11, Special Debt Relief for the Poorest, Most Heavily Indebted Countries. The MDRF buys a portfolio of loans from the FMLLA, thus transferring the loans from the FMLLA Account to the MDRF Account.

**Balance Sheet** (in millions of dollars)

Identification code 011-4174-0-3-152	2014 actual	2015 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	191	191
1402 Interest receivable .....	55	55
1405 Allowance for subsidy cost (-) .....	-234	-234
1499 Net present value of assets related to direct loans .....	12	12
1999 Total assets .....	12	12
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	12	12
4999 Total liabilities and net position .....	12	12

**MULTILATERAL ASSISTANCE***Federal Funds***CONTRIBUTION TO THE CLEAN TECHNOLOGY FUND**

For payment to the International Bank for Reconstruction and Development as trustee for the Clean Technology Fund by the Secretary of the Treasury, \$170,680,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 011-0080-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Clean Technology Fund (Direct) .....	227	171	
0900 Total new obligations (object class 33.0) .....	227	171	
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [072-1037] ....	25		
1050 Unobligated balance (total) .....	25		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	185	171	
1121 Appropriations transferred from other acct [072-1037] ....	17		
1160 Appropriation, discretionary (total) .....	202	171	
1930 Total budgetary resources available .....	227	171	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	227	171	
3020 Outlays (gross) .....	-227	-171	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	202	171	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	202	171	
4011 Outlays from discretionary balances .....	25		
4020 Outlays, gross (total) .....	227	171	
4180 Budget authority, net (total) .....	202	171	
4190 Outlays, net (total) .....	227	171	

The Clean Technology Fund (CTF) is a multibillion dollar effort to reduce the growth of greenhouse gas emissions in developing countries by catalyzing large-scale private and public investments through financing the additional costs of commercially available cleaner technologies over dirtier, conventional alternatives. By funding the extra cost of the cleaner technology, the CTF incentivizes cleaner projects that leverage development bank financing and attract new investor capital into low-carbon sectors. The CTF, one of the two multilateral Climate Investment Funds (CIFs), leverages the capital bases and country program expertise of the multilateral development banks (MDBs). To receive funding, eligible countries must first develop credible national investment plans that identify key high-emissions sectors where targeted projects could stimulate low-carbon growth and the scalable uptake of clean technologies. Since 2009, the CTF's

governing committee has endorsed 17 investment plans and programs with a combined CTF funding envelope of nearly \$6.1 billion and total planned investments of over \$49 billion. As of June 2015, the CTF has approved 84 individual projects using \$4.2 billion in funding. The 84 approved projects are expected to attract \$28.2 billion in co-financing from the private sector, governments, bilateral agencies, and other sources, with the MDBs providing a further \$10.5 billion to CTF projects. A further \$1.9 billion of CTF projects are under development. By the beginning of 2017, the United States will have fulfilled its \$2.0 billion pledge to the CIFs, including the CTF.

#### CONTRIBUTION TO THE STRATEGIC CLIMATE FUND

For payment to the International Bank for Reconstruction and Development as trustee for the Strategic Climate Fund by the Secretary of the Treasury, \$49,900,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

##### Program and Financing (in millions of dollars)

Identification code 011-0071-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Strategic Climate Fund (Direct) .....	88	60	.....
0900 Total new obligations (object class 33.0) .....	88	60	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [072-1037] ....	25	.....	.....
1050 Unobligated balance (total) .....	25	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	.....
1121 Appropriations transferred from other acct [072-1037] ....	13	10	.....
1160 Appropriation, discretionary (total) .....	63	60	.....
1930 Total budgetary resources available .....	88	60	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	88	60	.....
3020 Outlays (gross) .....	-88	-60	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	63	60	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	63	60	.....
4011 Outlays from discretionary balances .....	25	.....	.....
4020 Outlays, gross (total) .....	88	60	.....
4180 Budget authority, net (total) .....	63	60	.....
4190 Outlays, net (total) .....	88	60	.....

The Strategic Climate Fund (SCF) is a suite of three programs to pilot innovative approaches and scaled-up activities aimed at addressing specific climate change-related challenges in developing countries. The SCF is one of the two multilateral Climate Investment Funds (CIFs). By the beginning of 2017, the United States will have fulfilled its \$2.0 billion pledge to the CIFs.

The Pilot Program for Climate Resilience (PPCR) helps the most vulnerable populations in very poor countries better prepare for and respond to the effects of climate change through innovative development plans, strategies, and projects. The PPCR pilot programs are for Bangladesh, Bolivia, Cambodia, Mozambique, Nepal, Niger, Tajikistan, Yemen, Zambia, the Caribbean region (Dominica, Grenada, Haiti, Jamaica, Saint Lucia, Saint Vincent and the Grenadines), and the Pacific region (Papua New Guinea, Samoa, and Tonga). The value of currently planned PPCR investments is over \$1.1 billion. Ten other countries have been invited to develop programs.

The Forest Investment Program (FIP) helps protect our global forests by reducing deforestation in developing countries through improved gov-

ernance and forest management, and by addressing the drivers of deforestation. The FIP pilot programs are for Brazil, Burkina Faso, Democratic Republic of Congo, Ghana, Indonesia, Lao PDR, Mexico, and Peru. The value of currently planned FIP investments is \$490 million. Fifteen other countries have been invited to develop programs.

The Program for Scaling Up Renewable Energy in Low Income Countries (SREP) helps demonstrate the economic, social, and environmental viability of low-carbon development pathways in very poor countries. The SREP pilot programs are for Armenia, Bangladesh, Ethiopia, Ghana, Haiti, Honduras, Kenya, Liberia, Maldives, Mali, Mongolia, Nepal, Nicaragua, Rwanda, Solomon Islands, Tanzania, Uganda, and Vanuatu. The value of currently planned SREP investments is \$604 million. Nine other countries have been invited to develop programs.

#### GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM

For payment to the Global Agriculture and Food Security Program by the Secretary of the Treasury, \$43,000,000 \$23,000,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

##### Program and Financing (in millions of dollars)

Identification code 011-1475-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Global Agriculture and Food Security Program (Direct) .....	123	43	23
0900 Total new obligations (object class 33.0) .....	123	43	23
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	133	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	43	23
1930 Total budgetary resources available .....	133	53	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	123	43	23
3020 Outlays (gross) .....	-123	-43	-23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	43	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	43	23
4011 Outlays from discretionary balances .....	123	.....	.....
4020 Outlays, gross (total) .....	123	43	23
4180 Budget authority, net (total) .....	.....	43	23
4190 Outlays, net (total) .....	123	43	23

Treasury requests \$23 million for the Global Agriculture and Food Security Program (GAFSP), a multi-donor trust fund called for by G-20 leaders at the November 2009 Pittsburgh Summit. GAFSP is the multilateral component of the President's Feed the Future initiative.

The GAFSP is a multilateral mechanism that funds projects supporting the agricultural investment plans of poor countries. The GAFSP, which is administered by the World Bank, leverages the expertise and implementing structures of other multilateral institutions such as the International Fund for Agricultural Development, the World Bank, and the regional development banks. Since its inception in 2010, the GAFSP public sector window has awarded \$ 1,019 million in grant financing to 30 low-income countries in Africa, Asia, and Latin America. These investments are expected to help smallholder farmers and their families increase their income and strengthen their nutritional outcomes. These grants were funded from contributions from Australia, the Bill and Melinda Gates Foundation, Canada, Ireland, South Korea, Spain, the United Kingdom, and the United States. The private sector window, which provides financing to small and medium-sized ag-

## GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM—Continued

ribusinesses, has approved \$192.1 million of investments to date, funded from contributions from Canada, Japan, the Netherlands, the United Kingdom, and the United States.

In October 2012, the United States pledged to commit \$1 for every \$2 from other donors up to a total U.S. contribution of \$475 million during the pledge period. The United States is the largest of 10 donors to GAFSP, having contributed \$591.8 million since GAFSP's inception. Treasury continues to work closely with GAFSP's leadership and other donors to encourage additional contributions. In FY 2015, Australia, the Bill & Melinda Gates Foundation, and Germany made new contributions to GAFSP. During the course of FY 2016 Treasury also expects significant new financial commitments from new and existing GAFSP donors.

## CONTRIBUTION TO THE GREEN CLIMATE FUND

For payment to the International Bank for Reconstruction and Development as trustee for the Green Climate Fund by the Secretary of the Treasury, \$250,000,000, to remain available until expended.

## Program and Financing (in millions of dollars)

Identification code 011-0095-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Green Climate Fund (Direct) .....			250
0900 Total new obligations (object class 33.0) .....			250
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			250
1930 Total budgetary resources available .....			250
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			250
3020 Outlays (gross) .....			-250
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			250
Outlays, gross:			
4010 Outlays from new discretionary authority .....			250
4180 Budget authority, net (total) .....			250
4190 Outlays, net (total) .....			250

The Green Climate Fund (GCF) is a recently established multilateral fund designed to foster resilient, low-emission development. The GCF will advance its mission by using a range of financial instruments to support projects and programs in developing countries that promise the greatest impact in reducing greenhouse gas (GHG) emissions and building climate resilience. It will also advance its mission by mobilizing private sector capital and fostering stronger policy environments that better address the challenges of a changing climate.

The GCF will fund activities across a variety of sectors, including transport; water and other infrastructure; energy generation and efficiency; and land use, including agriculture and forestry. Through these investments, the GCF will support development that is resilient and resource-efficient and that minimizes the potential negative impact on citizens' health and well-being. The 2017 Budget includes \$250 million through Treasury toward the U.S. pledge to the GCF.

## INTERNATIONAL FINANCIAL INSTITUTIONS

## GLOBAL ENVIRONMENT FACILITY

For payment to the International Bank for Reconstruction and Development as trustee for the Global Environment Facility by the Secretary of the Treasury, \$168,263,000 \$146,563,000, to remain available until expended.

## CONTRIBUTION TO THE INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

For payment to the International Bank for Reconstruction and Development by the Secretary of the Treasury for the United States share of the paid-in portion of the increases in capital stock, \$186,957,000 \$5,963,421, to remain available until expended.

## LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the International Bank for Reconstruction and Development may subscribe without fiscal year limitation to the callable capital portion of the United States share of increases in capital stock in an amount not to exceed \$2,928,990,899. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

## Program and Financing (in millions of dollars)

Identification code 011-0077-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Global Environment Facility .....	137	168	147
0002 International Bank for Reconstruction and Development .....	197	187	6
0900 Total new obligations (object class 33.0) .....	334	355	153
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,663	7,664	7,664
1011 Unobligated balance transfer from other acct [072-1037] .....	5		
1050 Unobligated balance (total) .....	7,668	7,664	7,664
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	324	355	153
1121 Appropriations transferred from other acct [072-1037] .....	6		
1160 Appropriation, discretionary (total) .....	330	355	153
1900 Budget authority (total) .....	330	355	153
1930 Total budgetary resources available .....	7,998	8,019	7,817
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7,664	7,664	7,664
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	334	355	153
3020 Outlays (gross) .....	-334	-355	-153
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	330	355	153
Outlays, gross:			
4010 Outlays from new discretionary authority .....	329	355	153
4011 Outlays from discretionary balances .....	5		
4020 Outlays, gross (total) .....	334	355	153
4180 Budget authority, net (total) .....	330	355	153
4190 Outlays, net (total) .....	334	355	153

Treasury requests \$6 million for the International Bank for Reconstruction and Development (IBRD) to address shortfalls from FY 2013 appropriations that, if not paid, will result in a loss of U.S. shareholding at the IBRD.

The IBRD is the arm of the World Bank that provides financing to creditworthy middle-income countries to promote inclusive economic growth and reduce poverty. Middle-income countries—home to over 70 percent of the world's poor—rely on the IBRD for financial resources and strategic advice to meet their development needs.

Working across a range of sectors, including agriculture, sustainable infrastructure, health and nutrition, and education, the IBRD supports long-term human and social development needs that private creditors do not finance. During its 2015 fiscal year, the IBRD approved \$23.5 billion to support 112 projects. The largest share of this lending went to countries in the Europe and Central Asia region (\$6.7 billion) and the Latin America and Caribbean region (\$5.7 billion), followed by countries in the East Asia and Pacific region (\$4.5 billion).

The United States is the largest shareholder in the IBRD, with a 15.8 percent share of total voting power, followed by Japan and China. The United States is the only country with veto power over amendments to the Articles of Agreement.

### Global Environment Facility

The Global Environment Facility (GEF) is the largest funder of projects to improve the global environment, providing grants to address issues related to biodiversity, climate change, oceans, land degradation, and chemical pollution. The GEF supports innovative, cost-effective investments that can be replicated and scaled up by the public and private sectors. In 2015, the GEF approved 130 new projects totaling \$1.1 billion. Since its establishment in 1991, the GEF has allocated \$14.5 billion, supplemented by more than \$75 billion in co-financing, to fund almost 4,000 projects in 165 developing countries. The sixth replenishment to the GEF (GEF-6) began on July 1, 2014 and will conclude on June 30, 2018. The 2017 Budget requests \$146.6 million for the GEF, which includes \$136.6 million for the third of four annual installments to GEF-6 and \$10.0 million to help meet our earlier GEF-6 annual installment.

### International Finance Corporation

The International Finance Corporation (IFC), a member of the World Bank Group, is the largest global development institution exclusively focused on the private sector. It was established in 1956 to promote private sector development in developing countries by making loans and equity investments in private sector projects, mobilizing private capital alongside its own resources, and providing advisory and technical assistance services. In its 2015 fiscal year, the IFC approved \$10.5 billion from its own resources, and mobilized an additional \$7.1 billion from other sources, for 406 projects. The poorest countries (those eligible for funding from the World Bank's International Development Association (IDA)) received 36 percent of IFC projects in 2015. IFC investments in 2015 were spread across the globe, with the largest recipient regions being Latin American and the Caribbean (23 percent), East Asia and the Pacific (22 percent), and sub-Saharan Africa (17 percent). The top sectors for IFC investment in 2015 were Financial Markets (45 percent), Infrastructure (20 percent), and Agribusiness and Forestry (13 percent).

#### CONTRIBUTION FOR CENTRAL AMERICA AND CARIBBEAN CATASTROPHE RISK INSURANCE PROGRAM

*For payment to the International Bank for Reconstruction and Development as trustee for the Central America and Caribbean Catastrophe Risk Insurance Program multi-donor trust fund by the Secretary of the Treasury, for the support of catastrophe risk insurance and related technical assistance for CCRIF Segregated Portfolio Company participating countries, \$12,500,000, to remain available until expended.*

#### Program and Financing (in millions of dollars)

Identification code 011-0087-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			13
0900 Total new obligations (object class 33.0) .....			13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			13
1930 Total budgetary resources available .....			13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			13
3020 Outlays (gross) .....			-13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			13
Outlays, gross:			
4010 Outlays from new discretionary authority .....			13
4180 Budget authority, net (total) .....			13
4190 Outlays, net (total) .....			13

The 2017 Budget requests \$12.5 million for a contribution to the Central America and Caribbean Catastrophe Risk Insurance Program multi-donor trust fund, which has been established at the World Bank to support the expansion of sovereign catastrophe risk insurance coverage to Central America under the CCRIF Segregated Portfolio Company (formerly known as the Caribbean Catastrophe Risk Insurance Facility (CCRIF)) and to support related technical assistance. This contribution will support the availability of affordable insurance against natural disaster to as many as six Central American countries and the Dominican Republic, by expanding coverage under the CCRIF model. Parametric insurance coverage for natural disasters can be a sustainable and cost-effective way to increase financial resilience in vulnerable countries and to help countries quickly access emergency liquidity in the aftermath of major natural disasters, enabling an effective post-disaster response.

#### CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION

For payment to the International Development Association by the Secretary of the Treasury, **[\$1,197,128,000] \$1,384,072,000**, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 011-0073-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Development Association .....	1,288	1,197	1,384
0900 Total new obligations (object class 33.0) .....	1,288	1,197	1,384
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - IDA .....	1,288	1,197	1,384
1930 Total budgetary resources available .....	1,288	1,197	1,384
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,288	1,197	1,384
3020 Outlays (gross) .....	-1,288	-1,197	-1,384
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,288	1,197	1,384
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,288	1,197	1,384
4180 Budget authority, net (total) .....	1,288	1,197	1,384
4190 Outlays, net (total) .....	1,288	1,197	1,384

Treasury requests \$1,384 million for the International Development Association (IDA). This amount includes \$1,290.6 million for the third of three annual installments to the seventeenth replenishment of IDA and \$93.5 million to pay down unmet commitments to IDA.

IDA is the part of the World Bank that supports the growth and development of the world's 77 poorest countries, home to 2.8 billion people, in every region of the world. IDA works across a wide range of sectors including education, basic health, clean water and sanitation, the environment, infrastructure, and agriculture. Because countries receiving IDA financing are too poor to attract sufficient capital to support their urgent development needs, they depend on low-cost loans and grants to create jobs, build critical infrastructure, increase agricultural productivity, provide energy, and invest in the health and education of future generations.

IDA's goal is to help countries reduce poverty and achieve higher levels of growth and institutional capacity. Over time, IDA's support helps countries finance their development needs through domestic revenues and borrowing at non-concessional rates. To date, 33 countries once eligible for IDA assistance have graduated and no longer receive support from IDA.

Of the \$19 billion approved in IDA's 2015 fiscal year, more than half—\$10.4 billion—went to countries in sub-Saharan Africa. Countries

CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION—Continued in the South Asia region received \$5.8 billion, and \$1.8 billion went to countries in the East Asia and Pacific region. Thirteen percent of IDA's resources are provided as grants to fragile states and other countries at risk of debt distress.

### Multilateral Debt Relief Initiative

Launched in 2006 at the urging of the United States, the Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the concessional financing windows of the World Bank and the African Development Bank. Countries become eligible for MDRI after completing the Heavily Indebted Poor Countries (HIPC) Initiative and demonstrating a track record of improved economic policy performance. The purpose of this debt reduction is to free up more resources in well-performing low-income countries for poverty-reducing expenditures in areas such as health, education, and rural development.

MDRI requires donors to compensate IDA for the cancelled debt on a dollar-for-dollar basis according to the payment schedules of the original loans. IDA calculates donors' MDRI commitments at the start of each three-year replenishment cycle according to a burden-sharing percentage. Each donor's commitments to MDRI at IDA must be met within the three-year replenishment period to avoid a negative impact on IDA's commitment capacity. With a 20.1 percent burden share, the U.S. share of the cost of MDRI under IDA-17 is \$565 million. In addition to these current commitments, the United States has \$246 million in unmet MDRI commitments from IDA-16. Altogether, the United States faces total commitments of \$811 million for MDRI at IDA over the FY 2015-FY 2017 period.

### CONTRIBUTION TO MULTILATERAL INVESTMENT GUARANTEE AGENCY

#### Program and Financing (in millions of dollars)

Identification code 011-0084-0-1-151	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	22	22
3050 Unpaid obligations, end of year .....	22	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	22	22
3200 Obligated balance, end of year .....	22	22	22
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Multilateral Investment Guarantee Agency (MIGA) is a member of the World Bank Group. MIGA is designed to encourage the flow of foreign private investment to and among developing countries by issuing guarantees against non-commercial risks and carrying out investment promotion activities. In 2015, MIGA issued a total of \$2.8 billion in guarantees for projects in developing countries. Negotiations on MIGA's first general capital increase (GCI) were completed in 1998. The United States committed to contribute a total of \$30 million in paid-in capital and nearly \$140 million in callable capital over three years. The GCI decision included commitments from MIGA on a range of policy issues of substantial importance to the United States, including environment, information disclosure, labor, and creation of an inspection function for greater accountability and transparency. In 2000, the Administration sought and received congressional authorization for the United States' full participation in the MIGA GCI.

### CONTRIBUTION TO THE INTER-AMERICAN DEVELOPMENT BANK

For payment to the Inter-American Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, **[\$102,020,448] \$21,939,727**, to remain available until expended.

#### [LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS]

[The United States Governor of the Inter-American Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United

States share of such capital stock in an amount not to exceed \$4,098,794,833.] (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 011-0072-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Inter-American Development Bank .....	102	102	22
0900 Total new obligations (object class 33.0) .....	102	102	22
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,798	3,798	3,798
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102	102	22
1930 Total budgetary resources available .....	3,900	3,900	3,820
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,798	3,798	3,798
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	102	102	22
3020 Outlays (gross) .....	-102	-102	-22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	102	22
Outlays, gross:			
4010 Outlays from new discretionary authority .....	102	102	22
4180 Budget authority, net (total) .....	102	102	22
4190 Outlays, net (total) .....	102	102	22

Treasury is seeking \$22 million to address unmet commitments to the Inter-American Development Bank (IDB).

The IDB is the largest source of development financing for 26 countries in Latin America and the Caribbean, a strategically significant and economically important region for the United States and a region where 66 million people live in extreme poverty. In 2015, the IDB approved \$11.3 billion in financing for 172 projects. About 47 percent of commitments targeted small and vulnerable borrowing countries, such as El Salvador, Guyana, Honduras, and Jamaica.

The IDB works in a range of sectors and commits roughly half of its funding to support infrastructure and the environment through projects in water and sanitation, transportation and energy. The other half is split between capacity building, including reform of government operations and financial markets, and the social sector, including social investment, health, and education.

Given the IDB's significant response to the global financial crisis, in 2010, shareholders approved GCI-9 to ensure that the IDB had the resources necessary to assist countries that suddenly found themselves shut off from global capital markets. As part of the GCI-9 resolution, the IDB established a special grant facility for Haiti that will receive income transfers of \$200 million annually from the IDB through 2020. This facility provides Haiti with critical resources to support a long-term development agenda. Establishing this facility was a critical U.S. objective of GCI-9.

The United States is the largest shareholder in the IDB, with 30 percent of total shareholding, enabling the United States to wield significant influence over major decisions about the direction of the IDB.

#### Inter-American Investment Corporation

The Inter-American Investment Corporation (IIC), a member of the Inter-American Development Bank Group established in 1984, promotes development of private small- and medium-sized enterprises (SMEs) in Latin America and the Caribbean. It is a legally autonomous entity whose resources and management are separate from those of the Inter-American Development Bank itself. Through direct loans and equity investments in SMEs, as well as through lending to private financial intermediaries, the IIC helps SMEs in the region access the medium- and long-term capital necessary to start up, expand, or modernize operations. In 2015, the IIC

approved 79 projects totaling \$347 million. Since its inception, the IIC has approved a total of \$6 billion in financing.

#### CONTRIBUTION TO THE ASIAN DEVELOPMENT BANK

【For payment to the Asian Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of increase in capital stock, \$5,608,435, to remain available until expended.】

#### CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND

For payment to the Asian Development Bank's Asian Development Fund by the Secretary of the Treasury, 【\$104,977,000】\$99,233,000, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 011-0076-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Asian Development Fund .....	105	105	99
0003 Asian Development Bank .....	107	6	.....
0900 Total new obligations (object class 33.0) .....	212	111	99
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	748	748	748
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Fund .....	105	105	99
1100 Appropriation - Bank .....	107	6	.....
1160 Appropriation, discretionary (total) .....	212	111	99
1930 Total budgetary resources available .....	960	859	847
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	748	748	748
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	212	111	99
3020 Outlays (gross) .....	-212	-111	-99
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	212	111	99
Outlays, gross:			
4010 Outlays from new discretionary authority .....	212	111	99
4180 Budget authority, net (total) .....	212	111	99
4190 Outlays, net (total) .....	212	111	99

The Asian Development Bank (AsDB) Group promotes broad-based sustainable economic growth and development, poverty alleviation, and regional cooperation and integration in the Asia-Pacific region. It has two main financing windows: 1) the Asian Development Bank's "hard-loan" window (known as the Ordinary Capital Resources (OCR) window); and 2) the Asian Development Fund's (AsDF) "soft-loan" window, which provides grants and lends at concessional rates to the region's poorest nations. Effective January 2017, AsDB will provide concessional loans to eligible countries through the OCR window. The AsDF will only provide grants to the region's poorest countries that are at moderate or severe risk of debt distress.

#### Asian Development Bank

The AsDB provides long-term loans at market rates to 23 middle-income Asian countries that lack the resources to finance their national economies and build critical infrastructure. The AsDB also supports private sector development with technical assistance, loans, guarantees, and direct equity investments in viable private sector projects with strong development impacts. In 2015, the AsDB approved \$13.2 billion worth of direct financing for projects and leveraged another \$10.1 billion in co-financing from official and commercial sources.

Through its lending, both for projects and for policy reforms, the AsDB supports the construction of critical economic infrastructure, the expansion of private enterprise, and environmentally-sustainable economic growth.

The majority of AsDB assistance is for investments in transportation, energy, finance, and industry and trade, with other sectors such as water supply, municipal infrastructure, agriculture and natural resources, and public sector management also receiving significant funding.

The AsDB is financed through capital contributions from donors, income earned on its loan and investment portfolios, public bond issues, and private placements. In April 2009, donors approved the AsDB's fifth general capital increase (GCI-V), which tripled the AsDB's capital base to \$165 billion. GCI-V was necessary to enable the AsDB to maintain an adequate level of lending after it stepped in with a significant increase in lending to assist developing Asian countries to withstand the effects of the global financial crisis.

#### Asian Development Fund

Treasury requests \$99.1 million for the AsDF. This amount includes \$89.9 million for the third of four installments to the AsDF's Tenth Replenishment (AsDF-11) and \$9.3 million to pay down unmet commitments to the AsDF.

The AsDF currently provides concessional loans and grants to the 29 poorest countries in Asia, including Afghanistan and Pakistan. It focuses on supporting inclusive, environmentally sustainable economic growth, and regional cooperation and integration. Specific sectors of focus include clean energy, sustainable transportation, and access to reliable water and sanitation. The AsDF also invests in cross-cutting activities, such as connecting entrepreneurial training with financing for SMEs. In 2015, the AsDF approved an estimated \$2.9 billion of loans and grants for AsDF-eligible countries. Historically, the AsDF has provided over \$50 billion for projects in developing member countries of the AsDB. Effective January 2017, AsDF will provide only grants.

Water, energy, and transportation infrastructure comprise 62 percent of all AsDF projects, while financial sector deepening, agriculture, and health projects make up the remainder of AsDF activities. In recent years, with U.S. encouragement, the AsDF has focused on countries where support strengthens U.S. national security priorities.

#### CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK

For payment to the African Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, 【\$34,118,027】\$32,418,000, to remain available until expended.

#### LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the African Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$507,860,808.

#### CONTRIBUTION TO THE AFRICAN DEVELOPMENT FUND

For payment to the African Development Fund by the Secretary of the Treasury, 【\$175,668,000】\$214,332,000, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 011-0082-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Bank .....	32	34	33
0002 Fund .....	176	176	214
0900 Total new obligations (object class 33.0) .....	208	210	247
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Bank .....	32	34	33
1100 Appropriation - Fund .....	176	176	214
1160 Appropriation, discretionary (total) .....	208	210	247
1930 Total budgetary resources available .....	208	210	247

CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK—Continued  
Program and Financing—Continued

Identification code 011-0082-0-1-151	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	176	.....	.....
3010 Obligations incurred, unexpired accounts .....	208	210	247
3020 Outlays (gross) .....	-384	-210	-247
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	176	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	208	210	247
Outlays, gross:			
4010 Outlays from new discretionary authority .....	208	210	247
4011 Outlays from discretionary balances .....	176	.....	.....
4020 Outlays, gross (total) .....	384	210	247
4180 Budget authority, net (total) .....	208	210	247
4190 Outlays, net (total) .....	384	210	247

The African Development Bank Group comprises 1) the African Development Bank (AfDB), which lends at market-linked rates to middle-income African countries and Africa's private-sector; and 2) the African Development Fund (AfDF), which provides grants and concessional loans to the poorest African countries. The AfDF account includes a portion of the U.S. commitment to the Multilateral Debt Relief Initiative (MDRI).

**African Development Bank**

Treasury requests \$32.4 million for the sixth of eight installments for the AfDB's Sixth General Capital Increase (GCI-6).

The AfDB provides public sector financing at market-linked rates to 17 middle-income African countries, and provides loans, equity investments, lines of credit, and guarantees to the private sector in all 54 African member countries.

The AfDB had close to \$6.15 billion in lending approvals in 2015, two-thirds of which was for public sector projects and one-third for private sector projects. Almost half of AfDB approvals are for infrastructure (including sector support in energy, transportation, communication, and water and sanitation), 22 percent in the financial sector, 10 percent in agriculture, and the remainder in governance and social sectors.

The United States is the largest non-regional shareholder at the AfDB, with 6.5 percent of total shareholding, and the second-largest shareholder overall, after Nigeria.

**African Development Fund**

Treasury requests \$214.3 million for the AfDF. This amount includes \$195 million for the third of three installments to the thirteenth replenishment of the AfDF (AfDF-13) and \$19.3 million to partially pay down U.S. unmet commitments to the AfDF.

The AfDF is the AfDB Group's concessional lending window, providing grants and highly concessional loans to the poorest countries in Africa, of which nearly half are fragile or conflict-affected states. In 2015, the AfDF provided \$2.16 billion in financing, technical assistance, and capacity-building activities to the 39 countries that it serves. Many AfDF recipient countries are growing African economies that are becoming new, emerging markets and U.S. trading partners, while other AfDF recipient countries remain trapped in fragility, conflict, and poverty, are highly vulnerable to both internal and external shocks, and are in need of special assistance to achieve basic levels of service delivery.

The AfDF is the largest official financier of infrastructure in sub-Saharan Africa, committing over half of its funding to national and regional infrastructure projects, in sectors such as energy, transport, and water and sanitation. The remainder of its funding is devoted to governance, agriculture and food security, and human capital development (e.g., health and education). The AfDF also sets aside special funding for fragile and transitioning states and regional projects.

**Multilateral Debt Relief Initiative**

Launched in 2006 at the urging of the United States, MDRI provides 100 percent cancellation of eligible debt to the concessional financing windows of the World Bank and the AfDB. Countries become eligible for MDRI after completing the HIPC Initiative and demonstrating a track record of improved economic policy performance. The purpose of this debt reduction is to free up more resources in well-performing low-income countries for poverty-reducing expenditures in areas such as health, education, and rural development.

MDRI requires donors to compensate AfDF for cancelled debt under MDRI on a dollar-for-dollar basis according to the payment schedules of the original loans. Similar to IDA, AfDF calculates donors' MDRI commitments at the start of each three-year replenishment cycle according to a burden-sharing percentage. Donor commitments must be met within the three-year replenishment period to avoid a negative impact on the AfDF's commitment capacity.

At 11.8 percent burden share, the U.S. share of the cost of MDRI under AfDF-13 is \$55 million. The United States also has over \$29 million in unmet MDRI commitments from AfDF-12. Altogether, the United States faces total commitments of \$84 million for MDRI at the AfDF over the FY 2015-FY 2017 period.

## CONTRIBUTION TO THE EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT

**Program and Financing** (in millions of dollars)

Identification code 011-0088-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6	.....	.....
0900 Total new obligations (object class 33.0) .....	6	.....	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	.....	.....
1930 Total budgetary resources available .....	6	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	6	.....	.....
3020 Outlays (gross) .....	-6	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	6	.....	.....

The European Bank for Reconstruction and Development (EBRD) supports market-oriented economic reform and democratic pluralism predominately through private-sector lending and investments. Its original field of operation in the countries of Central and Eastern Europe and the former Soviet Union was expanded in 2012 to aid in the transitions of key countries in the Middle East and North Africa. The United States and other shareholders signed the Agreement Establishing the EBRD on May 29, 1990, and the Bank officially began operating on April 15, 1991. In April 1996, shareholders approved a doubling of the EBRD's capital base from EUR 10 billion to EUR 20 billion (approximately \$24 billion), which went into effect in April 1997. In 2012, the United States provided \$1.25 billion in callable capital to support increased resource demands that resulted from the 2008 financial crisis.

## CONTRIBUTION TO THE NORTH AMERICAN DEVELOPMENT BANK

For payment to the North American Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, **[\$10,000,000] \$45,000,000**, to remain available until expended.

**LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS**

The Secretary of the Treasury may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$255,000,000. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-1008-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 North American Development Bank (Direct) .....		10	45
0900 Total new obligations (object class 33.0) .....		10	45
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		10	45
1930 Total budgetary resources available .....		10	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		10	45
3020 Outlays (gross) .....		-10	-45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		10	45
Outlays, gross:			
4010 Outlays from new discretionary authority .....		10	45
4180 Budget authority, net (total) .....		10	45
4190 Outlays, net (total) .....		10	45

Treasury is seeking \$45 million for the second of five installments of the first North American Development Bank (NADB) general capital increase. NADB finances environmental infrastructure projects that have been certified by the U.S.-Mexico Border Environmental Cooperation Commission (BECC). BECC is NADB's sister institution and is designed to assist border states and local communities in identifying projects on both sides of the U.S.-Mexico border. To enhance efficiency and strengthen the environmental mandate of the NADB, the BECC is in the process of merging with the NADB.

Under its charter, the United States and Mexico contributed equally to NADB's capital—\$450 million in paid-in capital and \$2.55 billion in callable capital for a total capital base of \$3 billion. The proposed general capital increase would double NADB's capital base to \$6 billion.

As of September 2015, NADB had approved \$2.6 billion in loans and grants.

**CONTRIBUTION TO ENTERPRISE FOR THE AMERICAS MULTILATERAL INVESTMENT FUND**

**Program and Financing** (in millions of dollars)

Identification code 011-0089-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contribution to Enterprise for the Americas Multilateral Investm (Direct) .....	3		
0900 Total new obligations (object class 33.0) .....	3		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3		
1930 Total budgetary resources available .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3		
3020 Outlays (gross) .....	-3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3		

Outlays, gross:			
4010	Outlays from new discretionary authority .....	3	
4180	Budget authority, net (total) .....	3	
4190	Outlays, net (total) .....	3	

The Multilateral Investment Fund (MIF), administered by the Inter-American Development Bank, provides grants and loans to support private-sector development, as well as financial and labor sector reforms, in Latin America and the Caribbean. Special consideration is given to reforms that promote privatization and encourage private foreign direct investment. Grants and loans are used for technical assistance to identify and resolve investment constraints, for investment in human capital, and for business infrastructure and development. In 2015, the MIF approved 85 projects totaling \$87 million. Since its inception in 1992, the MIF has approved over 1,750 projects, for which the MIF contribution totaled approximately \$2 billion.

The United States made a \$500 million commitment to the MIF in 1992. Negotiations were completed in early 2005 for the first replenishment of the MIF (MIF-II), with a U.S. commitment of \$150 million to be paid annually in six equal installments. The United States achieved its key objectives in these negotiations: strengthening the commitment to measurable results, increasing efficiency, maintaining a focus on grants, allocating resources to maximize innovation, and reforming IDB procurement.

**CONTRIBUTION TO GLOBAL INFRASTRUCTURE FACILITY**

*For payment to the International Bank for Reconstruction and Development as trustee for the Global Infrastructure Facility by the Secretary of the Treasury, \$20,000,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 011-1009-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Global Infrastructure Facility (Direct) .....			20
0900 Total new obligations (object class 33.0) .....			20
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			20
1930 Total budgetary resources available .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			20
3020 Outlays (gross) .....			-20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20
Outlays, gross:			
4010 Outlays from new discretionary authority .....			20
4180 Budget authority, net (total) .....			20
4190 Outlays, net (total) .....			20

Treasury requests \$20 million for the Global Infrastructure Facility (GIF). The GIF will catalyze private investment in public infrastructure projects by addressing constraints arising from countries' legal and regulatory regimes, project design and implementation, and project financing structures. In doing so, the GIF will seek to develop and promote best practices for infrastructure investment, particularly with regard to social and environmental standards. The GIF will seek to support at least four significant and three to six smaller infrastructure projects across a range of countries and sectors during its initial, three-year pilot phase. GIF resources will be recovered through reimbursements or fees collected when an infrastructure project receives its financing through private investments.

As the global focus on infrastructure investment continues to grow, the United States must maintain its leadership in multilateral infrastructure initiatives like the GIF to ensure infrastructure projects around the world

## CONTRIBUTION TO GLOBAL INFRASTRUCTURE FACILITY—Continued

are supportive of U.S. national interests, such as good government, transparency, and social and environmental protection. Our investment in GIF would allow us to engage with China and other emerging donors that are focused on infrastructure through a facility that we helped create and are confident will support the UN Sustainable Development Goals.

## CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

For payment to the International Fund for Agricultural Development by the Secretary of the Treasury, **[\$31,930,000]** \$30,000,000, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 011-1039-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Contributions to the International Fund for Agricultural Develop (Direct) .....	30	32	30
0900 Total new obligations (object class 33.0) .....	30	32	30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	32	30
1930 Total budgetary resources available .....	30	32	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	58	35	35
3010 Obligations incurred, unexpired accounts .....	30	32	30
3020 Outlays (gross) .....	-53	-32	-21
3050 Unpaid obligations, end of year .....	35	35	44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	58	35	35
3200 Obligated balance, end of year .....	35	35	44
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	32	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....		6	6
4011 Outlays from discretionary balances .....	53	26	15
4020 Outlays, gross (total) .....	53	32	21
4180 Budget authority, net (total) .....	30	32	30
4190 Outlays, net (total) .....	53	32	21

Treasury requests \$30 million for the second of three installments for the International Fund for Agricultural Development's tenth replenishment (IFAD-10).

IFAD was established in 1977 as a multilateral financial institution focused on promoting rural agricultural development and food security in poorer countries. IFAD's specific mandate is to help rural small-scale producers and subsistence farmers increase their productivity and incomes, improve food security, and integrate them into larger markets.

## INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE

For necessary expenses to carry out the provisions of section 129 of the Foreign Assistance Act of 1961, **[\$23,500,000]** \$33,500,000, to remain available until September 30, **[2018]** 2019, which shall be available notwithstanding any other provisions of law. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 011-1045-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Obligations by program activity .....	27	27	34

0801 International Affairs Technical Assistance Program (Reimbursable) .....	18	13	13
0900 Total new obligations .....	45	40	47

## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	34	30
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	36	34	30
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24	24	34
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	12	12
1900 Budget authority (total) .....	44	36	46
1930 Total budgetary resources available .....	80	70	76
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	34	30	29

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	27	41
3010 Obligations incurred, unexpired accounts .....	45	40	47
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-43	-26	-33
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	27	41	55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	27	41
3200 Obligated balance, end of year .....	27	41	55

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	44	36	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	3	3
4011 Outlays from discretionary balances .....	38	23	30
4020 Outlays, gross (total) .....	43	26	33
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-19	-11	-11
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-20	-12	-12
4070 Budget authority, net (discretionary) .....	24	24	34
4080 Outlays, net (discretionary) .....	23	14	21
4180 Budget authority, net (total) .....	24	24	34
4190 Outlays, net (total) .....	23	14	21

Pursuant to OTA's authorizing statute, OTA provides technical assistance to facilitate the implementation of policy, management, and administrative reforms in the areas of budget, revenue, government debt, financial institutions and financial enforcement to developing and transition countries. This assistance supports U.S. foreign policy and national security objectives.

The 2017 Budget includes \$33.5 million to fund full-time resident technical assistance advisors, intermittent advisors, and program-related administrative costs. The appropriation will support technical assistance programs in Asia, the Middle East, Africa, Latin America, and the Caribbean. It will enable the provision of technical assistance to developing and transition countries to strengthen the capacity of finance ministries, central banks, and other government institutions to manage public finances and oversee the financial sector. Technical assistance projects support efficient revenue collection, well-planned and executed budgets, judicious debt management, sound banking systems, and strong controls to combat corruption and economic crimes, including terrorist financing. The appropriation will also support Treasury's work to strengthen the financial underpinnings for infrastructure development. OTA will continue to coordinate its activities with the Department of State, USAID, and other relevant U.S. Government agencies as well as international financial institutions, and other bilateral donors when determining where its technical assistance program can have the greatest positive impact.

**Object Classification** (in millions of dollars)

Identification code 011-1045-0-1-151	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	3	3	4
23.2 Rental payments to others .....	3	3	3
25.1 Advisory and assistance services .....	13	11	16
25.2 Other services from non-Federal sources .....	4	4	7
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	27	25	34
99.0 Reimbursable obligations .....	17	14	12
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	45	40	47

**Employment Summary**

Identification code 011-1045-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	13	12	12
2001 Reimbursable civilian full-time equivalent employment .....	3	2	2

**INTERNATIONAL ORGANIZATIONS AND PROGRAMS**

For necessary expenses to carry out the provisions of section 301 of the Foreign Assistance Act of 1961, and of section 2 of the United Nations Environment Program Participation Act of 1973, [ \$339,000,000 , of which up to \$10,000,000 may be made available for the Intergovernmental Panel on Climate Change/United Nations Framework Convention on Climate Change ] \$332,900,000: *Provided*, That section 307(a) of the Foreign Assistance Act of 1961 shall not apply to contributions to the United Nations Democracy Fund. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 019-1005-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Organizations and Programs (Direct) .....	341	339	333
0900 Total new obligations (object class 41.0) .....	341	339	333
<b>Budgetary resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	344	339	333
1120 Appropriations transferred to other acts [019-1031] .....	-4		
1160 Appropriation, discretionary (total) .....	340	339	333
1930 Total budgetary resources available .....	341	339	333
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	343	342	337
3010 Obligations incurred, unexpired accounts .....	341	339	333
3020 Outlays (gross) .....	-341	-344	-339
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	342	337	331
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	343	342	337
3200 Obligated balance, end of year .....	342	337	331
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	340	339	333
Outlays, gross:			
4011 Outlays from discretionary balances .....	341	344	339
4180 Budget authority, net (total) .....	340	339	333
4190 Outlays, net (total) .....	341	344	339

In addition to its assessed payments, the United States contributes to voluntary funds of many UN-affiliated and other international organizations and programs involved in a wide range of sustainable development, humanitarian, scientific, environmental and security activities. The 2017 request includes funding that reflects the Administration's continued support for the UN Funds and Programs, including the UN Children's Fund (UNICEF), the UN Development Program (UNDP), and the United Nations Population Fund (UNFPA), as well as international climate change activities.

**DEBT RESTRUCTURING****Program and Financing** (in millions of dollars)

Identification code 011-0091-0-1-151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110	89	
3020 Outlays (gross) .....	-11	-89	
3041 Recoveries of prior year unpaid obligations, expired .....	-10		
3050 Unpaid obligations, end of year .....	89		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	110	89	
3200 Obligated balance, end of year .....	89		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	11	89	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	11	89	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 011-0091-0-1-151	2015 actual	2016 est.	2017 est.
Direct loan subsidy outlays:			
134002 U.S. Agency for Int'l Development .....	11		
134999 Total subsidy outlays .....	11		

Funds for debt restructuring are periodically needed to help countries remove the burden of unsustainable debts, thereby establishing a sounder footing for economic growth. Debt relief and restructuring can be fundamental to helping countries stabilize their economies, restart economic growth, and reduce poverty and instability. Through programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, the Multilateral Debt Relief Initiative (MDRI), as well as through the Paris Club, countries that have demonstrated a commitment to economic reforms and poverty reduction can benefit from debt restructuring. These programs have provided authority and appropriations to reschedule and/or reduce the debt repayments to multilateral institutions and/or the U.S. Government, allowing beneficiary countries to increase poverty reduction expenditures in areas such as health, education, and rural development. Debt relief can also be used to promote other U.S. Government priorities. No funding is requested for the Debt Restructuring account in 2017, though the Budget includes authorization to transfer up to \$275 million to cover the cost of HIPC debt relief for Sudan, should the Secretary of State determine that Sudan has made sufficient progress along the various fronts the U.S. has identified as pre-conditions for any U.S. support, including implementing the agreement reached by the Governments of Sudan and South Sudan under the Comprehensive Peace Agreement, and any other legislative requirements.

**AGENCY FOR INTERNATIONAL DEVELOPMENT**

*Federal Funds*

DEVELOPMENT ASSISTANCE

For necessary expenses to carry out the provisions of sections 103, 105, 106, 214, and sections 251 through 255, and chapter 10 of part I of the Foreign Assistance Act of 1961, **[\$2,780,971,000]** \$2,959,573,000, to remain available until September 30, **[2017]** 2018: *Provided, That in addition to funds otherwise available for such purposes, up to \$15,000,000 of the funds appropriated under this heading that are used for grants focused on science, technology, or innovation and designed to improve development outcomes in any sector may be made available pursuant to chapter 1 of part 1 of the Foreign Assistance Act of 1961. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 072-1021-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Development Assistance Program (Direct) .....	2,458	2,450	2,500
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,979	2,099	2,390
1010 Unobligated balance transfer to other accts [072-1264] .....	-15		
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1021 Recoveries of prior year unpaid obligations .....	104		
1050 Unobligated balance (total) .....	2,069	2,099	2,390
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,507	2,781	2,960
1120 Appropriations transferred to other accts [014-0102] .....	-1		
1120 Appropriations transferred to other accts [014-1611] .....	-18		
1120 Appropriations transferred to other accts [072-1264] .....		-40	-60
1160 Appropriation, discretionary (total) .....	2,488	2,741	2,900
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	2,489	2,741	2,900
1930 Total budgetary resources available .....	4,558	4,840	5,290
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	2,099	2,390	2,790
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,619	4,402	3,981
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-20		
3010 Obligations incurred, unexpired accounts .....	2,458	2,450	2,500
3011 Obligations incurred, expired accounts .....	22		
3020 Outlays (gross) .....	-2,538	-2,871	-2,930
3040 Recoveries of prior year unpaid obligations, unexpired .....	-104		
3041 Recoveries of prior year unpaid obligations, expired .....	-35		
3050 Unpaid obligations, end of year .....	4,402	3,981	3,551
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,599	4,402	3,981
3200 Obligated balance, end of year .....	4,402	3,981	3,551
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,489	2,741	2,900
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	274	290
4011 Outlays from discretionary balances .....	2,537	2,597	2,640
4020 Outlays, gross (total) .....	2,538	2,871	2,930
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-3		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	2,488	2,741	2,900
4080 Outlays, net (discretionary) .....	2,535	2,871	2,930
4180 Budget authority, net (total) .....	2,488	2,741	2,900
4190 Outlays, net (total) .....	2,535	2,871	2,930

*Development Assistance Programs.*—The U.S. Agency for International Development (USAID) uses Development Assistance (DA) funding as one of its primary tools in meeting its mission by investing in partnerships that support ending extreme poverty and promoting resilient, democratic societies around the world. Achieving these goals requires enabling inclusive, sustainable growth; promoting free, peaceful, and self-reliant societies with effective, legitimate governments; and building human capital and creating social safety nets that reach the poorest and most vulnerable populations. USAID uses DA funds to apply proven solutions to the world's greatest development challenges through a focus on monitoring, evaluation, and results and by leveraging innovation, science, technology, partnerships, and local leadership. DA funds help drive progress toward meeting the global development vision and priorities adopted in the 2030 Agenda for Sustainable Development.

Approximately 40 percent of DA funding supports the Presidential Initiatives on Global Climate Change and Feed the Future. In Global Climate Change, DA programs support investments both in climate change adaptation as well as in clean and sustainable economic development and land use. These programs assist developing countries to adapt to climate change and to build the resilience of vulnerable populations to its negative impacts. They also help these countries to speed their transition to climate-resilient, low emission, sustainable economic growth and to implement their nationally determined contributions (NDCs) for reducing greenhouse gas emissions. The Feed the Future (FTF) initiative strives to invest in inclusive agriculture-led growth through improving agricultural productivity, expanding markets and trade, and increasing the economic resilience of vulnerable rural communities. Funding supports efforts to unleash the potential of agricultural producers and the private sector to connect smallholders to markets, and to reduce poverty and stunting by an average of 20 percent in targeted geographic regions. The FTF initiative also supports improving nutrient quality and food supply safety across the agricultural value chain.

DA funds furthermore support lines of effort under the U.S. Strategy for Engagement in Central America, an inclusive, whole-of-government approach to promoting prosperity, improved governance, and security in the region. In addition, funds support Power Africa activities to increase electricity access in sub-Saharan Africa, as well as the strategic rebalance to the Asia-Pacific to strengthen regional economic integration and trade that advance democratic and economic development.

DA also funds programs in the areas of governing justly and democratically, promoting economic growth, advancing basic and higher education, expanding efforts in the areas of innovation, science and technology, and empowering women and girls. Funding in these areas responds to longer-term challenges of human and economic security and helps protect U.S. national security in the long-run.

**Object Classification** (in millions of dollars)

Identification code 072-1021-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	8	8	8
11.3 Other than full-time permanent .....	8	8	8
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	18	18	18
12.1 Civilian personnel benefits .....	9	9	9
21.0 Travel and transportation of persons .....	12	12	12
23.1 Rental payments to GSA .....	6	6	6
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	85	85	85
25.2 Other services from non-Federal sources .....	12	12	12
25.3 Other goods and services from Federal sources .....	3	3	3
25.5 Research and development contracts .....	21	21	21
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2,287	2,279	2,329
99.9 Total new obligations .....	2,458	2,450	2,500

## Employment Summary

Identification code 072-1021-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	123	123	123

## CHILD SURVIVAL AND HEALTH PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 072-1095-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Child Survival and Health Programs (Direct) .....		20	10
0801 Reimbursable program activity .....		5	
0900 Total new obligations .....		25	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	34	14
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	21	34	14
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8	5	
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	13	5	
1900 Budget authority (total) .....	13	5	
1930 Total budgetary resources available .....	34	39	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	34	14	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	9	17
3010 Obligations incurred, unexpired accounts .....		25	10
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-16	-17	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	9	17	15
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	30	4	12
3200 Obligated balance, end of year .....	4	12	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	5	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	
4011 Outlays from discretionary balances .....	16	15	12
4020 Outlays, gross (total) .....	16	17	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-5	
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-8	-5	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4080 Outlays, net (discretionary) .....	8	12	12
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	8	12	12

Prior to 2008, funds were appropriated to the Child Survival and Health Programs account to support activities that address family planning/reproductive health; child survival and maternal health, including activities directed at vulnerable children and the primary causes of morbidity and mortality, polio, micronutrients and iodine deficiency; preventing and treating infectious diseases such as malaria and tuberculosis; and reducing HIV transmission and the impact of the HIV/AIDS pandemic in developing

countries. Additional funding for HIV/AIDS was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds for these activities were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

## Object Classification (in millions of dollars)

Identification code 072-1095-0-1-151	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....		20	10
99.0 Reimbursable obligations .....		5	
99.9 Total new obligations .....		25	10

## HIV/AIDS WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 072-1033-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 HIV/AIDS Working Capital Fund (Reimbursable) .....	720	650	650
0900 Total new obligations (object class 41.0) .....	720	650	650
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	495	687	452
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	434	415	415
1701 Change in uncollected payments, Federal sources .....	478		
1750 Spending auth from offsetting collections, disc (total) .....	912	415	415
1930 Total budgetary resources available .....	1,407	1,102	867
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	687	452	217
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	231	439	573
3010 Obligations incurred, unexpired accounts .....	720	650	650
3020 Outlays (gross) .....	-512	-516	-517
3050 Unpaid obligations, end of year .....	439	573	706
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-478	-478
3070 Change in uncollected pymts, Fed sources, unexpired .....	-478		
3090 Uncollected pymts, Fed sources, end of year .....	-478	-478	-478
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	231	-39	95
3200 Obligated balance, end of year .....	-39	95	228
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	912	415	415
Outlays, gross:			
4010 Outlays from new discretionary authority .....	282	270	270
4011 Outlays from discretionary balances .....	230	246	247
4020 Outlays, gross (total) .....	512	516	517
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-422	-415	-415
4033 Non-Federal sources .....	-12		
4040 Offsets against gross budget authority and outlays (total) .....	-434	-415	-415
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-478		
4080 Outlays, net (discretionary) .....	78	101	102
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	78	101	102

The HIV/AIDS Working Capital Fund (WCF) was established to assist in providing a safe, secure, reliable, and sustainable supply chain of pharmaceuticals and other products needed to provide care to and treatment for persons with HIV/AIDS and related infections. These include anti-retroviral drugs; other pharmaceuticals and medical items; laboratory and other supplies for performing tests; other medical supplies needed for the opera-

## HIV/AIDS WORKING CAPITAL FUND—Continued

tion of HIV/AIDS treatment and care centers, including products needed in programs for the prevention of mother-to-child transmission; pharmaceuticals and health commodities needed for the provision of palliative care; and laboratory and clinical equipment, equipment needed for the transportation and care of HIV/AIDS supplies, and other equipment and technical assistance needed to provide prevention, care and treatment of HIV/AIDS described above. Funds in the WCF may also be made available for pharmaceuticals and other products for child survival, malaria, and tuberculosis.

## DEVELOPMENT FUND FOR AFRICA

## Program and Financing (in millions of dollars)

Identification code 072-1014-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Development Fund for Africa (Direct) .....	1	2	2
0900 Total new obligations (object class 41.0) .....	1	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	13	11
1050 Unobligated balance (total) .....	14	13	11
1930 Total budgetary resources available .....	14	13	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	11	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	.....
3010 Obligations incurred, unexpired accounts .....	1	2	2
3020 Outlays (gross) .....	.....	-5	-2
3050 Unpaid obligations, end of year .....	3	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	.....
3200 Obligated balance, end of year .....	3	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	2	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	5	2	.....

For 2017, assistance to Africa is requested in other assistance accounts.

## ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA

For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961, the FREEDOM Support Act (Public Law 102-511), and the Support for Eastern European Democracy (SEED) Act of 1989 (Public Law 101-179), \$491,119,000, to remain available until September 30, 2017, which shall be available, notwithstanding any other provision of law, except section 7070 of this Act, for assistance and related programs for countries identified in section 3 of Public Law 102-511 and section 3(c) of Public Law 101-179, in addition to funds otherwise available for such purposes: *Provided*, That funds appropriated by this Act under the headings "Global Health Programs" and "Economic Support Fund" that are made available for assistance for such countries shall be administered in accordance with the responsibilities of the coordinator designated pursuant to section 102 of Public Law 102-511 and section 601 of Public Law 101-179: *Provided further*, That funds appropriated under this heading shall be considered to be economic assistance under the Foreign Assistance Act of 1961 for purposes of making available the administrative authorities contained in that Act for the use of economic assistance. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

## Program and Financing (in millions of dollars)

Identification code 072-0306-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assistance for Europe, Eurasia and Central Asia (Direct) .....	11	219	484
0900 Total new obligations (object class 41.0) .....	11	219	484
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	17	484
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	28	17	484
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	491	.....
1100 Appropriation (OCO) .....	.....	439	.....
1120 Appropriations transferred to other acct [072-0402] .....	.....	-299	.....
1121 Appropriations transferred from other acct [019-1022] .....	.....	55	.....
1160 Appropriation, discretionary (total): .....	.....	686	.....
1930 Total budgetary resources available .....	28	703	484
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	484	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	202	73	206
3010 Obligations incurred, unexpired accounts .....	11	219	484
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-129	-86	-270
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	73	206	420
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	202	73	206
3200 Obligated balance, end of year .....	73	206	420
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	686	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	34	.....
4011 Outlays from discretionary balances .....	129	52	270
4020 Outlays, gross (total) .....	129	86	270
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-2	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	.....	686	.....
4080 Outlays, net (discretionary) .....	127	86	270
4180 Budget authority, net (total) .....	.....	686	.....
4190 Outlays, net (total) .....	127	86	270

The purpose of the Assistance for Europe, Eurasia and Central Asia (AEECA) account was to support programs to foster the democratic and economic transitions of the countries of Southeastern Europe and the independent states that emerged from the dissolution of the Soviet Union, as well as related efforts to address social sector reform and combat transnational threats in these countries. From 2013 through 2015, funding for the programs formerly funded through AEECA were included in the Economic Support Fund (ESF), International Narcotics Control and Law Enforcement (INCLE), and Global Health Programs (GHP) accounts. In 2016, Congress reinstated the AEECA account for those programs funded with ESF and INCLE; however the 2017 request proposes funding all of these programs through the ESF, INCLE, and GHP accounts.

ASSISTANCE FOR EASTERN EUROPE AND THE BALTIC STATES

Program and Financing (in millions of dollars)

Identification code 072-1010-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assistance for Eastern Europe and the Baltic States (Direct) .....		2	2
0900 Total new obligations (object class 41.0) .....		2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	2
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	4	4	2
1930 Total budgetary resources available .....	4	4	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	2	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	3	2
3010 Obligations incurred, unexpired accounts .....		2	2
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-5	-3	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	3	2
3200 Obligated balance, end of year .....	3	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	3	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5	3	2

This account provided funds for assistance programs that fostered the democratic and economic transitions of Eastern Europe and the Baltic states as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

Program and Financing (in millions of dollars)

Identification code 072-1093-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assistance for the Independent States of the Former Soviet Union (Direct) .....	3	1	1
0900 Total new obligations (object class 41.0) .....	3	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	3
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	7	4	3
1930 Total budgetary resources available .....	7	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	11	4
3010 Obligations incurred, unexpired accounts .....	3	1	1
3020 Outlays (gross) .....	-5	-8	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	11	4	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	11	4
3200 Obligated balance, end of year .....	11	4	

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	8	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5	8	5

This account provided funds for assistance programs that fostered the democratic and economic transitions of the independent states that emerged from the former Soviet Union, as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

INTERNATIONAL DISASTER ASSISTANCE

For necessary expenses to carry out the provisions of section 491 of the Foreign Assistance Act of 1961 for international disaster relief, rehabilitation, and reconstruction assistance, **[\$874,763,000]** \$125,000,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 072-1035-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 International Disaster Assistance (Direct) .....	2,936	3,150	426
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	230	657	301
1021 Recoveries of prior year unpaid obligations .....	25		
1050 Unobligated balance (total) .....	255	657	301
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	560	875	125
1100 Appropriation (OCO) .....	1,335	1,919	
1100 Appropriation (Ebola Response) .....	1,436		
1120 Appropriations transferred to other acct [019-1126] .....	-23		
1121 Appropriations transferred from other acct [070-0702] .....	30		
1160 Appropriation, discretionary (total) .....	3,338	2,794	125
1900 Budget authority (total) .....	3,338	2,794	125
1930 Total budgetary resources available .....	3,593	3,451	426
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	657	301	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,771	2,190	3,316
3010 Obligations incurred, unexpired accounts .....	2,936	3,150	426
3020 Outlays (gross) .....	-2,488	-2,024	-1,604
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	2,190	3,316	2,138
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,771	2,190	3,316
3200 Obligated balance, end of year .....	2,190	3,316	2,138

Budget authority and outlays, net:

Discretionary:			
Budget authority, gross:			
Outlays, gross:			
4000 Budget authority, gross .....	3,338	2,794	125
Outlays, gross:			
4010 Outlays from new discretionary authority .....	883	952	40
4011 Outlays from discretionary balances .....	1,605	1,072	1,564
4020 Outlays, gross (total) .....	2,488	2,024	1,604
4180 Budget authority, net (total) .....	3,338	2,794	125
4190 Outlays, net (total) .....	2,488	2,024	1,604

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	3,338	2,794	125
Outlays .....	2,488	2,024	1,604
Overseas contingency operations:			
Budget Authority .....			1,832

INTERNATIONAL DISASTER ASSISTANCE—Continued  
Summary of Budget Authority and Outlays—Continued

	2015 actual	2016 est.	2017 est.
Outlays .....			734
<b>Total:</b>			
Budget Authority .....	3,338	2,794	1,957
Outlays .....	2,488	2,024	2,338

The International Disaster Assistance (IDA) account provides funds to save lives, reduce human suffering, and mitigate and prepare for natural and complex emergencies overseas. Specifically, these funds provide for the management of humanitarian assistance, rehabilitation, disaster risk reduction, and transition to development assistance programs. Humanitarian relief interventions include, but are not limited to, shelter, emergency health and nutrition, and the provision of safe drinking water.

IDA programs target the most vulnerable populations who are affected by the shock of a disaster, including those who are internally displaced.

This request also funds emergency food interventions such as local and regional purchase of food near crises, food vouchers, or cash transfers.

## Object Classification (in millions of dollars)

Identification code 072-1035-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....	40	40	10
21.0 Travel and transportation of persons .....	8	8	2
23.1 Rental payments to GSA .....	1	1	
23.2 Rental payments to others .....	2	2	
25.1 Advisory and assistance services .....	8	8	2
25.2 Other services from non-Federal sources .....	1	1	
25.3 Other goods and services from Federal sources .....	7	7	1
31.0 Equipment .....	1	1	
41.0 Grants, subsidies, and contributions .....	2,868	3,082	411
99.9 Total new obligations .....	2,936	3,150	426

## Employment Summary

Identification code 072-1035-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

## OPERATING EXPENSES

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, **[\$1,143,614,000 , of which up to \$171,542,000 may] \$1,306,340,000, to remain available until September 30, [2017]2018: Provided, [That none of the funds appropriated under this heading and under the heading "Capital Investment Fund" in this title may be made available to finance the construction (including architect and engineering services), purchase, or long-term lease of offices for use by the United States Agency for International Development (USAID), unless the USAID Administrator has identified such proposed use of funds in a report submitted to the Committees on Appropriations at least 15 days prior to the obligation of funds for such purposes: Provided further,] That contracts or agreements entered into with funds appropriated under this heading may entail commitments for the expenditure of such funds through the following fiscal year: Provided further, That the authority of sections 610 and 109 of the Foreign Assistance Act of 1961 may be exercised by the Secretary of State to transfer funds appropriated to carry out chapter 1 of part I of such Act to "Operating Expenses" in accordance with the provisions of those sections: Provided further, That of the funds appropriated or made available under this heading, not to exceed \$250,000 may be available for representation and entertainment expenses, of which not to exceed \$5,000 may be available for entertainment expenses, and not to exceed \$100,500 shall be for official residence expenses, for USAID during the current fiscal year. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)**

## Program and Financing (in millions of dollars)

Identification code 072-1000-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses of the Agency for International Development (Direct) .....	1,410	1,432	1,306
0002 Foreign national separation fund .....	2	2	2
0799 Total direct obligations .....	1,412	1,434	1,308
0801 Operating Expenses of the Agency for International Development (Reimbursable) .....	32	32	32
0900 Total new obligations .....	1,444	1,466	1,340
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	111	120	
1012 Unobligated balance transfers between expired and unexpired accounts .....	91		
1021 Recoveries of prior year unpaid obligations .....	27	29	
1050 Unobligated balance (total) .....	229	149	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,235	1,144	1,306
1100 Appropriation - OCO .....		139	
1160 Appropriation, discretionary (total) .....	1,235	1,283	1,306
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	102	30	30
1701 Change in uncollected payments, Federal sources .....	-1	4	4
1750 Spending auth from offsetting collections, disc (total) .....	101	34	34
1900 Budget authority (total) .....	1,336	1,317	1,340
1930 Total budgetary resources available .....	1,565	1,466	1,340
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	120		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	687	707	902
3010 Obligations incurred, unexpired accounts .....	1,444	1,466	1,340
3011 Obligations incurred, expired accounts .....	60		
3020 Outlays (gross) .....	-1,320	-1,242	-1,334
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27	-29	
3041 Recoveries of prior year unpaid obligations, expired .....	-137		
3050 Unpaid obligations, end of year .....	707	902	908
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-3	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	-4	-4
3071 Change in uncollected pymts, Fed sources, expired .....	16		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-7	-11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	667	704	895
3200 Obligated balance, end of year .....	704	895	897
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,336	1,317	1,340
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	823	864	879
4011 Outlays from discretionary balances .....	497	378	455
4020 Outlays, gross (total) .....	1,320	1,242	1,334
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-40	-30	-30
4033 Non-Federal sources .....	-76		
4040 Offsets against gross budget authority and outlays (total) .....	-116	-30	-30
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	-4	-4
4052 Offsetting collections credited to expired accounts .....	14		
4060 Additional offsets against budget authority only (total) .....	15	-4	-4
4070 Budget authority, net (discretionary) .....	1,235	1,283	1,306
4080 Outlays, net (discretionary) .....	1,204	1,212	1,304
4180 Budget authority, net (total) .....	1,235	1,283	1,306
4190 Outlays, net (total) .....	1,204	1,212	1,304

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,235	1,283	1,306
Outlays .....	1,204	1,212	1,304
<b>Overseas contingency operations:</b>			
Budget Authority .....			98
Outlays .....			74
<b>Total:</b>			
Budget Authority .....	1,235	1,283	1,404
Outlays .....	1,204	1,212	1,378

This account supports the cost of managing U.S. Agency for International Development (USAID) programs, including salaries and other expenses of direct-hire personnel as well as costs associated with physical security of Agency personnel. USAID currently maintains resident staff in more than 70 foreign countries as well as a headquarters in Washington, D.C., which supports field programs and manages regional and worldwide activities.

**Object Classification** (in millions of dollars)

Identification code 072-1000-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	375	380	383
11.3 Other than full-time permanent .....	71	71	70
11.5 Other personnel compensation .....	54	54	51
11.9 Total personnel compensation .....	500	505	504
12.1 Civilian personnel benefits .....	170	172	172
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	76	77	72
22.0 Transportation of things .....	26	27	26
23.1 Rental payments to GSA .....	66	69	69
23.2 Rental payments to others .....	48	49	49
23.3 Communications, utilities, and miscellaneous charges .....	27	27	27
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	137	137	105
25.2 Other services from non-Federal sources .....	52	53	47
25.3 Other goods and services from Federal sources .....	220	228	150
25.4 Operation and maintenance of facilities .....	10	10	10
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	15	15	15
26.0 Supplies and materials .....	9	9	9
31.0 Equipment .....	44	45	43
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	7	6	5
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,412	1,434	1,308
99.0 Reimbursable obligations .....	32	32	32
99.9 Total new obligations .....	1,444	1,466	1,340

**Employment Summary**

Identification code 072-1000-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,354	3,354	3,359
2001 Reimbursable civilian full-time equivalent employment .....	3	3	3

**CAPITAL INVESTMENT FUND**

For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667 of the Foreign Assistance Act of 1961, **[\$168,300,000]** \$66,145,000 to remain available until expended: *Provided*, That this amount is in addition to funds otherwise available for such purposes: *Provided further*, That funds appropriated under this heading shall be available subject to the regular notification procedures of the Committees on Appropriations. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 072-0300-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 IT/New Construction .....	167	192	66
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	58	24	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	60	24	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation - IT/New Construction .....	131	168	66
1930 Total budgetary resources available .....	191	192	66
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	24		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	28	46
3010 Obligations incurred, unexpired accounts .....	167	192	66
3020 Outlays (gross) .....	-166	-174	-71
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	28	46	41
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	29	28	46
3200 Obligated balance, end of year .....	28	46	41
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	131	168	66
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	100	165	65
4011 Outlays from discretionary balances .....	66	9	6
4020 Outlays, gross (total) .....	166	174	71
4180 Budget authority, net (total) .....	131	168	66
4190 Outlays, net (total) .....	166	174	71

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	131	168	66
Outlays .....	166	174	71
<b>Overseas contingency operations:</b>			
Budget Authority .....			134
Outlays .....			134
<b>Total:</b>			
Budget Authority .....	131	168	200
Outlays .....	166	174	205

\$66.2 million is requested in base funding for this account, which funds capital information technology (IT) investments for USAID, maintenance of USAID-owned properties, and USAID's contribution to the Capital Security Cost Sharing (CSCS) Program. The Administration requests \$25.7 for capital IT projects in 2017. Funds from the Capital Investment Fund will only be made available after USAID has demonstrated a successful business case for its IT investments.

The Administration also requests funds for maintenance of USAID-owned properties and USAID's per capita contribution to the CSCS Program administered by the Department of State Overseas Building Operations. The CSCS program is designed to accelerate the construction of secure, safe, functional facilities for all U.S. Government personnel overseas.

**Object Classification** (in millions of dollars)

Identification code 072-0300-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	25	9	20
25.2 Other services from non-Federal sources .....	2	1	2
25.4 Operation and maintenance of facilities .....	1	10	7
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	7	3	3
32.0 Land and structures .....	130	168	33

## CAPITAL INVESTMENT FUND—Continued

## Object Classification—Continued

Identification code 072-0300-0-1-151	2015 actual	2016 est.	2017 est.
99.0 Direct obligations .....	166	192	66
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	167	192	66

## TRANSITION INITIATIVES

For necessary expenses for international disaster rehabilitation and reconstruction assistance administered by the Office of Transition Initiatives, United States Agency for International Development (USAID), pursuant to section 491 of the Foreign Assistance Act of 1961, **[\$30,000,000]** \$15,000,000, to remain available until expended, to support transition to democracy and long-term development of countries in crisis: *Provided*, That such support may include assistance to develop, strengthen, or preserve democratic institutions and processes, revitalize basic infrastructure, and foster the peaceful resolution of conflict: *Provided further*, That the USAID Administrator shall submit a report to the Committees on Appropriations at least 5 days prior to beginning a new program of assistance: *Provided further*, That if the Secretary of State determines that it is important to the national interest of the United States to provide transition assistance in excess of the amount appropriated under this heading, up to **[\$15,000,000]** \$15,000,000 of the funds appropriated by this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used for purposes of this heading and under the authorities applicable to funds appropriated under this heading: *Provided further*, That funds made available pursuant to the previous proviso shall be made available subject to prior consultation with the Committees on Appropriations. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

## Program and Financing (in millions of dollars)

Identification code 072-1027-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Transition Initiatives (Direct) .....	71	70	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	6	3
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	10	6	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	67	30	15
1100 Appropriation - OCO .....		37	
1160 Appropriation, discretionary (total) .....	67	67	15
1930 Total budgetary resources available .....	77	73	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	82	84
3010 Obligations incurred, unexpired accounts .....	71	70	18
3020 Outlays (gross) .....	-66	-68	-55
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	82	84	47
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	80	82	84
3200 Obligated balance, end of year .....	82	84	47
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	67	67	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	15	4
4011 Outlays from discretionary balances .....	54	53	51
4020 Outlays, gross (total) .....	66	68	55
4180 Budget authority, net (total) .....	67	67	15
4190 Outlays, net (total) .....	66	68	55

## Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	67	67	15
Outlays .....	66	68	55
Overseas contingency operations:			
Budget Authority .....			63
Outlays .....			13
Total:			
Budget Authority .....	67	67	78
Outlays .....	66	68	68

The Transition Initiatives (TI) account addresses opportunities and challenges facing conflict-prone countries and those countries making the transition from the initial crisis stage of a complex emergency to sustainable development and democracy. Programs are focused on advancing peace and stability, including promoting responsiveness of central governments to local needs, civic participation programs, media programs raising awareness of national issues, addressing underlying causes of instability, and conflict resolution measures. Recent country examples where TI funds were used include Pakistan, Honduras, Lebanon, Libya, Syria, Burma, and Macedonia.

TI funding provides core operational funds for the Office of Transition Initiatives within the U.S. Agency for International Development Bureau for Democracy, Conflict, and Humanitarian Assistance.

## Object Classification (in millions of dollars)

Identification code 072-1027-0-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	4	4	
23.1 Rental payments to GSA .....	1	1	
23.2 Rental payments to others .....	1	1	
23.3 Communications, utilities, and miscellaneous charges .....	1	1	
25.1 Advisory and assistance services .....	15	15	1
25.3 Other goods and services from Federal sources .....	2	2	
41.0 Grants, subsidies, and contributions .....	47	46	17
99.9 Total new obligations .....	71	70	18

## UKRAINE LOAN GUARANTEES PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-0402-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	447	299	
0707 Reestimates of loan guarantee subsidy .....	115	30	
0708 Interest on reestimates of loan guarantee subsidy .....	1	1	
0900 Total new obligations (object class 41.0) .....	563	330	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		12	12
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		12	
1011 Unobligated balance transfer from other acct [072-1037] ....	289		
1050 Unobligated balance (total) .....	289	12	12
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [072-1037] ....	170		
1121 Appropriations transferred from other acct [072-0306] ....		299	
1160 Appropriation, discretionary (total) .....	170	299	
Appropriations, mandatory:			
1200 Appropriation .....	116	31	
1900 Budget authority (total) .....	286	330	
1930 Total budgetary resources available .....	575	342	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	563	330	

3020	Outlays (gross) .....	-563	-330	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	170	299	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	158	299	.....
4011	Outlays from discretionary balances .....	289		.....
4020	Outlays, gross (total) .....	447	299	.....
Mandatory:				
4090	Budget authority, gross .....	116	31	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	116	31	.....
4180	Budget authority, net (total) .....	286	330	.....
4190	Outlays, net (total) .....	563	330	.....

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 072-0402-0-1-151	2015 actual	2016 est.	2017 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Ukraine Loan Guarantees .....	1,000	1,000	.....
Guaranteed loan subsidy (in percent):				
232001	Ukraine Loan Guarantees .....	44.65	29.93	.....
232999	Weighted average subsidy rate .....	44.65	29.93	0.00
Guaranteed loan subsidy budget authority:				
233001	Ukraine Loan Guarantees .....	447	299	.....
Guaranteed loan subsidy outlays:				
234001	Ukraine Loan Guarantees .....	447	299	.....
Guaranteed loan reestimates:				
235001	Ukraine Loan Guarantees .....	116	-84	.....

**CONFLICT STABILIZATION OPERATIONS**

**Program and Financing** (in millions of dollars)

Identification code 072-0305-0-1-151	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Conflict Stabilization Operations (Direct) .....	3		.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	4	4
1930	Total budgetary resources available .....	7	4	4
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010	Obligations incurred, unexpired accounts .....	3		
3020	Outlays (gross) .....	-2		
3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	2	2
3200	Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	2		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	2		

**Object Classification** (in millions of dollars)

Identification code 072-0305-0-1-151	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1		
25.1	Advisory and assistance services .....	1		
99.0	Direct obligations .....	2		
99.5	Adjustment for rounding .....	1		
99.9	Total new obligations .....	3		

**Employment Summary**

Identification code 072-0305-0-1-151	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	7		

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, [ \$66,000,000 , of which up to \$9,900,000 may ] \$67,600,000, to remain available until September 30, [ 2017 ] 2018, for the Office of Inspector General of the United States Agency for International Development. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 072-1007-0-1-151	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Operating Expenses, Office of Inspector General (Direct) .....	63	73	80
0801	Operating Expenses, Office of Inspector General (Reimbursable) .....	5	5	5
0900	Total new obligations .....	68	78	85
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	9	16	13
1012	Unobligated balance transfers between expired and unexpired accounts .....	7		
1021	Recoveries of prior year unpaid obligations .....	1	1	1
1050	Unobligated balance (total) .....	17	17	14
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	60	66	68
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	5	8	8
1701	Change in uncollected payments, Federal sources .....	2		
1750	Spending auth from offsetting collections, disc (total) .....	7	8	8
1900	Budget authority (total) .....	67	74	76
1930	Total budgetary resources available .....	84	91	90
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	16	13	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	27	46	36
3010	Obligations incurred, unexpired accounts .....	68	78	85
3011	Obligations incurred, expired accounts .....	3		
3020	Outlays (gross) .....	-46	-87	-91
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	46	36	29
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	26	44	34
3200	Obligated balance, end of year .....	44	34	27
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	67	74	76
Outlays, gross:				
4010	Outlays from new discretionary authority .....	20	62	64
4011	Outlays from discretionary balances .....	26	25	27
4020	Outlays, gross (total) .....	46	87	91
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5	-8	-8
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070	Budget authority, net (discretionary) .....	60	66	68
4080	Outlays, net (discretionary) .....	41	79	83
4180	Budget authority, net (total) .....	60	66	68
4190	Outlays, net (total) .....	41	79	83

## OFFICE OF INSPECTOR GENERAL—Continued

The funds cover the costs of operations of the Office of the Inspector General, U.S. Agency for International Development, and include salaries, expenses, and support costs of the Inspector General's personnel.

## Object Classification (in millions of dollars)

Identification code 072-1007-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	20	24	26
11.3 Other than full-time permanent .....	3	5	5
11.5 Other personnel compensation .....	3	4	4
11.9 Total personnel compensation .....	26	33	35
12.1 Civilian personnel benefits .....	9	11	12
21.0 Travel and transportation of persons .....	4	5	6
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	3	3
23.2 Rental payments to others .....	3	2	3
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	9	10	12
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	1		
99.0 Direct obligations .....	63	73	80
99.0 Reimbursable obligations .....	5	5	5
99.9 Total new obligations .....	68	78	85

## Employment Summary

Identification code 072-1007-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	181	215	237
2001 Reimbursable civilian full-time equivalent employment .....	10	17	17

## PROPERTY MANAGEMENT FUND

## Program and Financing (in millions of dollars)

Identification code 072-4175-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Property Management Fund (Reimbursable) .....	1		
0900 Total new obligations (object class 32.0) .....	1		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27	26	26
1930 Total budgetary resources available .....	27	26	26
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	26	26	26
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	1		
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This Fund, as authorized by Public Law 101-513, is maintained for the deposit of proceeds from the sale of overseas property acquired by the U.S. Agency for International Development (USAID). The proceeds are available to construct or otherwise acquire outside the United States: 1) essential living quarters, office space, and necessary supporting facilities for use of USAID personnel; and 2) schools (including dormitories and boarding facilities) and hospitals for use of USAID and other U.S. Government per-

sonnel and their dependents. In addition, the proceeds may be used to equip, staff, operate, and maintain such schools and hospitals.

## UKRAINE LOAN GUARANTEES FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4345-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0742 Downward reestimate paid to receipt account .....		113	
0743 Interest on downward reestimates .....		3	
0900 Total new obligations .....		116	
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	196	771	1,002
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	575	347	17
1930 Total budgetary resources available .....	771	1,118	1,019
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	771	1,002	1,019
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			116
3010 Obligations incurred, unexpired accounts .....		116	
3050 Unpaid obligations, end of year .....		116	116
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			116
3200 Obligated balance, end of year .....		116	116
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	575	347	17
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-563	-330	
4122 Interest on uninvested funds .....	-12	-17	-17
4130 Offsets against gross budget authority and outlays (total) ....	-575	-347	-17
4170 Outlays, net (mandatory) .....	-575	-347	-17
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-575	-347	-17

## Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4345-0-3-151	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority .....	1,000	1,000	
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....	1,000	1,000	
2199 Guaranteed amount of guaranteed loan commitments .....	1,000	1,000	
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	1,000	2,000	3,000
2231 Disbursements of new guaranteed loans .....	1,000	1,000	
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....	2,000	3,000	3,000
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,000	3,000	2,000

## Balance Sheet (in millions of dollars)

Identification code 072-4345-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	196	771
1999 Total assets .....	196	771
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	196	771

4999	Total liabilities and net position .....	196	771
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WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 072-4513-0-4-151	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>				
0801	Working Capital Fund (Reimbursable) .....	21	17	19

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	14	15	15
1021	Recoveries of prior year unpaid obligations .....	1		

1050	Unobligated balance (total) .....	15	15	15
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	21	17	19
1930	Total budgetary resources available .....	36	32	34
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	15	15	15

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	15	
3010	Obligations incurred, unexpired accounts .....	21	17	19
3020	Outlays (gross) .....	-11	-32	-19
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	15		
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-14	-14
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-8	1	-14
3200	Obligated balance, end of year .....	1	-14	-14

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	21	17	19
Outlays, gross:				
4010	Outlays from new discretionary authority .....		17	19
4011	Outlays from discretionary balances .....	11	15	
4020	Outlays, gross (total) .....	11	32	19
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-20	-17	-19
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-21	-17	-19
4080	Outlays, net (discretionary) .....	-10	15	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-10	15	

The Fund, authorized by section 635(m) of the Foreign Assistance Act of 1961, finances on a reimbursable basis the costs associated with providing administrative support to other agencies under the International Cooperative Administrative Support Services (ICASS) program overseas. Under ICASS, each agency pays a proportional share of the cost of those services they have agreed to receive. Working through inter-agency councils at post, all agencies have a say in determining which services the USAID mission will provide, defining service standards, reviewing costs, and determining funding levels. The Fund is also used for deposit of rebates from the use of Federal credit cards, the deposits then being made available for start-up costs at new ICASS service- provider missions and technical support to missions currently providing services.

Object Classification (in millions of dollars)

Identification code 072-4513-0-4-151	2015 actual	2016 est.	2017 est.
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Reimbursable obligations:				
11.5	Personnel compensation: Other personnel compensation .....	4	4	4
12.1	Civilian personnel benefits .....	1	1	1
22.0	Transportation of things .....	1		1
23.2	Rental payments to others .....	3	2	2

23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	3	3	3
25.4	Operation and maintenance of facilities .....	1	1	1
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	1	1	1
99.0	Reimbursable obligations .....	18	16	17
99.5	Adjustment for rounding .....	3	1	2
99.9	Total new obligations .....	21	17	19

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4137-0-3-151	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	25	16	16
0900	Total new obligations .....	25	16	16

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	385	421	367
1023	Unobligated balances applied to repay debt .....		-85	-85
1050	Unobligated balance (total) .....	385	336	282
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections-non-federal .....	35	32	32
1800	Offsetting collections-federal .....	26	15	15
1850	Spending auth from offsetting collections, mand (total) .....	61	47	47
1930	Total budgetary resources available .....	446	383	329
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	421	367	313

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	25	16	16
3020	Outlays (gross) .....	-25	-16	-16

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	61	47	47
Financing disbursements:				
4110	Outlays, gross (total) .....	25	16	16
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-11		
4122	Interest on uninvested funds .....	-24	-15	-15
4123	Non-federal sources (Loan Repayments-Principal) .....	-5	-12	-12
4123	Non-Federal sources (Loan Payments-Interest) .....	-21	-20	-20
4130	Offsets against gross budget authority and outlays (total) ....	-61	-47	-47
4170	Outlays, net (mandatory) .....	-36	-31	-31
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-36	-31	-31

Status of Direct Loans (in millions of dollars)

Identification code 072-4137-0-3-151	2015 actual	2016 est.	2017 est.
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Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	744	768	756
1251	Repayments: Repayments and prepayments .....	-5	-12	-12
1264	Write-offs for default: Other adjustments, net .....	29		
1290	Outstanding, end of year .....	768	756	744

Balance Sheet (in millions of dollars)

Identification code 072-4137-0-3-151	2014 actual	2015 actual
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ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	385	421
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	744	768
1402	Interest receivable .....	9	10
1405	Allowance for subsidy cost (-) .....	-622	-691
1499	Net present value of assets related to direct loans .....	131	87

DEBT REDUCTION FINANCING ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 072-4137-0-3-151	2014 actual	2015 actual
1999 Total assets .....	516	508
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2101 Accounts payable .....	38	28
2103 Debt - Prin Payable to BPD .....	478	480
2999 Total liabilities .....	516	508
4999 Total liabilities and net position .....	516	508

## LOAN GUARANTEES TO ISRAEL PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-0301-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0707 Reestimates of loan guarantee subsidy .....	22		
0708 Interest on reestimates of loan guarantee subsidy .....	18	2	
0900 Total new obligations (object class 41.0) .....	40	2	
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	40	2	
1930 Total budgetary resources available .....	40	2	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	40	2	
3020 Outlays (gross) .....	-40	-2	
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	40	2	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	40	2	
4180 Budget authority, net (total) .....	40	2	
4190 Outlays, net (total) .....	40	2	

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0301-0-1-151	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan Guarantees to Israel .....		1,000	1,000
Guaranteed loan subsidy (in percent):			
232001 Loan Guarantees to Israel .....		0.00	0.00
Guaranteed loan reestimates:			
235001 Loan Guarantees to Israel .....	19	-71	

## LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4119-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0742 Downward reestimate paid to receipt account .....	5	19	
0743 Interest on downward reestimates .....	15	55	
0900 Total new obligations .....	20	74	
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,226	1,323	1,386
<b>Financing authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	117	137	144
1930 Total budgetary resources available .....	1,343	1,460	1,530

1941	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
Unexpired unobligated balance, end of year .....	1,323	1,386	1,530
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			74
3010 Obligations incurred, unexpired accounts .....	20	74	
3020 Outlays (gross) .....	-20		
3050 Unpaid obligations, end of year .....		74	74
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			74
3200 Obligated balance, end of year .....		74	74

## Financing authority and disbursements, net:

4090	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
Budget authority, gross .....	117	137	144
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	20		
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources (Upward reestimate of subsidy) .....	-40	-3	
4122 Interest on uninvested funds .....	-77	-85	-95
4123 Non-Federal sources - Fees .....		-49	-49
4130 Offsets against gross budget authority and outlays (total) ...	-117	-137	-144
4170 Outlays, net (mandatory) .....	-97	-137	-144
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-97	-137	-144

## Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4119-0-3-151	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2121 Limitation available from carry-forward .....	3,814	3,814	2,814
2143 Uncommitted limitation carried forward .....	-3,814	-2,814	-1,814
2150 Total guaranteed loan commitments .....		1,000	1,000
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	10,554	10,192	10,807
2231 Disbursements of new guaranteed loans .....		1,000	1,000
2251 Repayments and prepayments .....	-362	-385	-402
2290 Outstanding, end of year .....	10,192	10,807	11,405
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	10,192	10,807	11,405

## Balance Sheet (in millions of dollars)

Identification code 072-4119-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,226	1,323
1999 Total assets .....	1,226	1,323
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1,226	1,323
4999 Total upward reestimate subsidy BA [72-0301] .....	1,226	1,323

## LOAN GUARANTEES TO EGYPT PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-0304-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0707 Reestimates of loan guarantee subsidy .....	35		
0708 Interest on reestimates of loan guarantee subsidy .....	18		
0900 Total new obligations (object class 41.0) .....	53		
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	53		
1930 Total budgetary resources available .....	53		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	53	
3020	Outlays (gross) .....	-53	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	53	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	53	
4180	Budget authority, net (total) .....	53	
4190	Outlays, net (total) .....	53	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 072-0304-0-1-151	2015 actual	2016 est.	2017 est.
Guaranteed loan reestimates:			
235001	Loan Guarantees to Egypt .....	54	-555

**LOAN GUARANTEES TO EGYPT FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4491-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742	Downward reestimate paid to receipt account .....	351	
0743	Interest on downward reestimates .....	204	
0900	Total new obligations .....	555	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	481	555
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	74	
1930	Total budgetary resources available .....	555	555
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	555	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		555
3010	Obligations incurred, unexpired accounts .....	555	
3050	Unpaid obligations, end of year .....	555	555
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		555
3200	Obligated balance, end of year .....	555	555
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	74	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources - upward reestimate of subsidy .....	-53	
4122	Interest on uninvested funds .....	-21	
4130	Offsets against gross budget authority and outlays (total) ....	-74	
4170	Outlays, net (mandatory) .....	-74	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	-74	

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4491-0-3-151	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....		
2150	Total guaranteed loan commitments .....		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	1,250	
2251	Repayments and prepayments .....	-1,250	
2290	Outstanding, end of year .....		

Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		

**Balance Sheet** (in millions of dollars)

Identification code 072-4491-0-3-151	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	458	555
1999	Total assets .....	458	555
<b>LIABILITIES:</b>			
2101	Federal liabilities: Accounts payable .....		555
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	458	
2999	Total liabilities .....	458	555
4999	Total liabilities and net position .....	458	555

**MENA LOAN GUARANTEE PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-0409-0-1-151	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0702	Loan guarantee subsidy .....	186	29	
0707	Reestimates of loan guarantee subsidy .....	27	24	
0708	Interest on reestimates of loan guarantee subsidy .....	3	2	
0900	Total new obligations (object class 41.0) .....	216	55	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	2
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1		
1011	Unobligated balance transfer from other acct [072-1037] ....		30	
1050	Unobligated balance (total) .....	1	31	2
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other acct [072-1037] ....	186		
Appropriations, mandatory:				
1200	Appropriation .....	30	26	
1900	Budget authority (total) .....	216	26	
1930	Total budgetary resources available .....	217	57	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	216	55	
3020	Outlays (gross) .....	-216	-55	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	186		
Outlays, gross:				
4010	Outlays from new discretionary authority .....	186		
4011	Outlays from discretionary balances .....		29	
4020	Outlays, gross (total) .....	186	29	
Mandatory:				
4090	Budget authority, gross .....	30	26	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	30	26	
4180	Budget authority, net (total) .....	216	26	
4190	Outlays, net (total) .....	216	55	

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	216	26	
Outlays .....	216	55	
Overseas contingency operations:			
Budget Authority .....			261
Outlays .....			261
Total:			
Budget Authority .....	216	26	261

MENA LOAN GUARANTEE PROGRAM ACCOUNT—Continued  
Summary of Budget Authority and Outlays—Continued

	2015 actual	2016 est.	2017 est.
Outlays .....	216	55	261

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0409-0-1-151	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan Guarantees to Tunisia .....		500	
215002 Loan Guarantees to Jordan .....	1,500		
215999 Total loan guarantee levels .....	1,500	500	
Guaranteed loan subsidy (in percent):			
232001 Loan Guarantees to Tunisia .....		5.81	
232002 Loan Guarantees to Jordan .....	12.37		
232999 Weighted average subsidy rate .....	12.37	5.81	
Guaranteed loan subsidy budget authority:			
233001 Loan Guarantees to Tunisia .....		29	
233002 Loan Guarantees to Jordan .....	186		
233999 Total subsidy budget authority .....	186	29	
Guaranteed loan subsidy outlays:			
234001 Loan Guarantees to Tunisia .....		29	
234002 Loan Guarantees to Jordan .....	186		
234999 Total subsidy outlays .....	186	29	
Guaranteed loan reestimates:			
235001 Loan Guarantees to Tunisia .....	24	10	
235002 Loan Guarantees to Jordan .....	-21	-12	
235999 Total guaranteed loan reestimates .....	3	-2	

## MENA LOAN GUARANTEE FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4493-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimate paid to receipt account .....	26	28	
0743 Interest on downward reestimates .....	1	1	
0900 Total new obligations .....	27	29	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	253	448	482
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	222	63	8
1930 Total budgetary resources available .....	475	511	490
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	448	482	490
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			29
3010 Obligations incurred, unexpired accounts .....	27	29	
3020 Outlays (gross) .....	-27		
3050 Unpaid obligations, end of year .....		29	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			29
3200 Obligated balance, end of year .....		29	29
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	222	63	8
Financing disbursements:			
4110 Outlays, gross (total) .....	27		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy payments from program account .....	-216	-55	
4122 Interest on uninvested funds .....	-6	-8	-8
4130 Offsets against gross budget authority and outlays (total) ....	-222	-63	-8
4170 Outlays, net (mandatory) .....	-195	-63	-8

4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-195	-63	-8

## Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4493-0-3-151	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	1,500	500	
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....	1,500	500	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	3,235	4,735	4,735
2231 Disbursements of new guaranteed loans .....	1,500		
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....	4,735	4,735	4,735
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	4,735	4,735	4,735

## Balance Sheet (in millions of dollars)

Identification code 072-4493-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	253	448
1999 Total assets .....	253	448
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	253	448
4999 Total liabilities and net position .....	253	448

## URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-0401-0-1-151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0401-0-1-151	2015 actual	2016 est.	2017 est.
Guaranteed loan reestimates:			
235001 Urban and Environmental Loan Guarantees .....	-8	-3	

## URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4344-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	3	5	5
0712 Default claim payments on interest .....	1	1	1
0742 Downward reestimate paid to receipt account .....	2	1	
0743 Interest on downward reestimates .....	6	3	
0900 Total new obligations .....	12	10	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	50	46

Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	6	6
1930	Total budgetary resources available .....	62	56
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	50	46

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		4
3010	Obligations incurred, unexpired accounts .....	12	10
3020	Outlays (gross) .....	-12	-6
3050	Unpaid obligations, end of year .....		4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		4
3200	Obligated balance, end of year .....		4

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	6	6
Financing disbursements:			
4110	Outlays, gross (total) .....	12	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds .....	-4	-3
4123	Non-Federal sources .....	-2	-3
4130	Offsets against gross budget authority and outlays (total) ....	-6	-6
4170	Outlays, net (mandatory) .....	6	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	6	

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4344-0-3-151	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....		
2150	Total guaranteed loan commitments .....		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	187	165
2251	Repayments and prepayments .....	-18	-18
2263	Adjustments: Terminations for default that result in claim payments .....	-4	-5
2290	Outstanding, end of year .....	165	142
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	165	142

**Balance Sheet** (in millions of dollars)

Identification code 072-4344-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	56
1206	Non-Federal assets: Receivables, net .....	102
1999	Total assets .....	158
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2204	Liabilities for loan guarantees .....	156
2207	Other .....	2
2999	Total liabilities .....	158
4999	Total upward reestimate subsidy BA [72-0401] .....	158

**HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4340-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....	5	3
0712	Default claim payments on interest .....	2	1

0900	Total new obligations (object class 33.0) .....	7	4	9
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	
1022	Capital transfer of unobligated balances to general fund .....	-3	-3	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	7	4	9
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	14	11	7
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-11	-11	-7
1850	Spending auth from offsetting collections, mand (total) .....	3		
1900	Budget authority (total) .....	10	4	9
1930	Total budgetary resources available .....	10	4	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	7	4	9
3020	Outlays (gross) .....	-7	-4	-9

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	10	4	9
Outlays, gross:				
4100	Outlays from new mandatory authority .....	7	4	9
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-14	-11	-7
4180	Budget authority, net (total) .....	-4	-7	2
4190	Outlays, net (total) .....	-7	-7	2

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4340-0-3-151	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	380	313
2251	Repayments and prepayments .....	-62	-59
2261	Adjustments: Terminations for default that result in loans receivable .....	-5	-4
2290	Outstanding, end of year .....	313	250
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	313	250

<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	141	151
2310	Outstanding, start of year .....	141	151
2331	Disbursements for guaranteed loan claims .....	5	4
2351	Repayments of loans receivable .....	-11	-7
2351	Repayments of unrescheduled claims receivable .....	-2	
2364	Other adjustments, net .....	-123	
2390	Outstanding, end of year .....	151	148

**Balance Sheet** (in millions of dollars)

Identification code 072-4340-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	3
1206	Non-Federal assets: Receivables, net .....	1
1701	Defaulted guaranteed loans, gross .....	141
1702	Interest receivable .....	20
1703	Allowance for estimated uncollectible loans and interest (-) .....	-73
1799	Value of assets related to loan guarantees .....	88
1999	Total assets .....	92
<b>LIABILITIES:</b>		
2104	Federal liabilities: Resources payable to Treasury .....	1
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	91
2999	Total liabilities .....	92

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 072-4340-0-3-151	2014 actual	2015 actual
4999 Total liabilities and net position .....	92	87

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-0400-0-1-151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

DEVELOPMENT CREDIT AUTHORITY

For the cost of direct loans and loan guarantees provided by the United States Agency for International Development (USAID), as authorized by sections 256 and 635 of the Foreign Assistance Act of 1961, up to **[\$40,000,000] \$60,000,000** may be derived by transfer from funds appropriated by this Act to carry out part I of such Act [and under the heading "Assistance for Europe, Eurasia and Central Asia"]; *Provided*, That funds provided under this paragraph and funds provided as a gift that are used for purposes of this paragraph pursuant to section 635(d) of the Foreign Assistance Act of 1961 shall be made available only for micro- and small enterprise programs, urban programs, and other programs which further the purposes of part I of such Act: *Provided further*, That such costs, including the cost of modifying such direct and guaranteed loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That funds made available by this paragraph may be used for the cost of modifying any such guaranteed loans under this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, and funds used for such costs shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That the provisions of section 107A(d) (relating to general provisions applicable to the Development Credit Authority) of the Foreign Assistance Act of 1961, as contained in section 306 of H.R. 1486 as reported by the House Committee on International Relations on May 9, 1997, shall be applicable to direct loans and loan guarantees provided under this heading, except that the principal amount of loans made or guaranteed under this heading with respect to any single country shall not exceed \$300,000,000: *Provided further*, That these funds are available to subsidize total loan principal, any portion of which is to be guaranteed, of up to **[\$1,500,000,000] \$2,000,000,000**.

In addition, for administrative expenses to carry out credit programs administered by USAID, **[\$8,120,000] \$10,000,000**, which may be transferred to, and merged with, funds made available under the heading "Operating Expenses" in title II of this Act: *Provided*, That funds made available under this heading shall remain available until September 30, **[2018] 2019**. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016*.)

Program and Financing (in millions of dollars)

Identification code 072-1264-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	38	50	71
0707 Reestimates of loan guarantee subsidy .....	2	6	
0708 Interest on reestimates of loan guarantee subsidy .....		1	
0709 Administrative expenses .....	12	9	10
0900 Total new obligations .....	52	66	81

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	22	11
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	21	22	

1011 Unobligated balance transfer from other acct [072-1021] ....	15		
1011 Unobligated balance transfer from other acct [072-1037] ....	13		
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	58	22	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	10
1121 Appropriations transferred from other acct [072-1037] ....	2		
1121 Appropriations transferred from other acct [019-1031] ....	1		
1121 Appropriations transferred from other acct [072-1021] ....		40	60
1160 Appropriation, discretionary (total) .....	11	48	70
Appropriations, mandatory:			
1200 Appropriation .....	2	7	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4		
1900 Budget authority (total) .....	17	55	70
1930 Total budgetary resources available .....	75	77	81
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	22	11	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	92	116	113
3010 Obligations incurred, unexpired accounts .....	52	66	81
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-18	-69	-86
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	116	113	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	92	116	113
3200 Obligated balance, end of year .....	116	113	108

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	15	48	70
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	29	42
4011 Outlays from discretionary balances .....	13	33	44
4020 Outlays, gross (total) .....	16	62	86
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4		
Mandatory:			
4090 Budget authority, gross .....	2	7	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	7	
4180 Budget authority, net (total) .....	13	55	70
4190 Outlays, net (total) .....	14	69	86

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-1264-0-1-151	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 DCA—Loan Guarantees .....	581	1,106	1,434
215999 Total loan guarantee levels .....	581	1,106	1,434
Guaranteed loan subsidy (in percent):			
232001 DCA—Loan Guarantees .....	6.30	4.53	4.95
232999 Weighted average subsidy rate .....	6.30	4.53	4.95
Guaranteed loan subsidy budget authority:			
233001 DCA—Loan Guarantees .....	37	50	71
233999 Total subsidy budget authority .....	37	50	71
Guaranteed loan subsidy outlays:			
234001 DCA—Loan Guarantees .....	8	54	63
234999 Total subsidy outlays .....	8	54	63
Guaranteed loan reestimates:			
235001 DCA—Loan Guarantees .....	-15	-1	
235999 Total guaranteed loan reestimates .....	-15	-1	
Administrative expense data:			
3510 Budget authority .....	8	8	8
3580 Outlays from balances .....	3	1	1
3590 Outlays from new authority .....	3	7	7

As required by the Federal Credit Reform Act of 1990, this account records, for the Development Credit Authority, the subsidy costs associated with direct loans obligated and loan guarantees committed in 1992 and

beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program and legacy USAID credit programs. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

In 2017, the U.S. Agency for International Development (USAID) will use the Development Credit Authority (DCA) transfer authority to support DCA projects in every region of the globe and every economic sector targeted by USAID. DCA augments grant assistance by mobilizing private capital in developing countries for sustainable development projects. Credit assistance under DCA is principally intended for use where a development activity is financially viable, where borrowers are creditworthy, and where there is true risk sharing with private lenders.

In 2017, the request for \$60 million in DCA transfer authority will continue to support the flow of credit to microfinance institutions, small and medium enterprises, and agribusinesses. DCA will also take advantage of more developed municipal capacity and capital markets to expand successful sub-sovereign financing models developed in Asia and Eastern Europe. The request for \$10 million in credit program administrative expenses will fund the total cost of development, implementation, and financial management of the DCA program, as well as the continued administration of USAID's legacy credit portfolios.

**Object Classification** (in millions of dollars)

Identification code 072-1264-0-1-151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	4	5
21.0 Travel and transportation of persons .....	1	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	2	2
41.0 Grants, subsidies, and contributions .....	47	57	71
99.9 Total new obligations .....	52	66	81

**Employment Summary**

Identification code 072-1264-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	25	31	35

**DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4266-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0711 Default claim payments on principal .....	4	5	5
0742 Downward reestimate paid to receipt account .....	12	5	.....
0743 Interest on downward reestimates .....	6	3	.....
0900 Total new obligations .....	22	13	5

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	71	63	122
<b>Financing authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	72	74
1930 Total budgetary resources available .....	85	135	196
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	63	122	191

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	13
3010 Obligations incurred, unexpired accounts .....	22	13	5
3020 Outlays (gross) .....	-21	-5	-5
3050 Unpaid obligations, end of year .....	5	13	13
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	13

3200 Obligated balance, end of year .....	5	13	13
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	14	72	74
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	21	5	5
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
Federal sources: Subsidy payments from program account .....			
4120 .....	-8	-54	-63
4120 Federal sources - Upward Reestimate of Subsidy .....	-2	-7	.....
4122 Interest on uninvested funds .....	-3	-7	-7
4123 Non-Federal sources .....	-1	-4	-4
4130 Offsets against gross budget authority and outlays (total) ....	-14	-72	-74
4170 Outlays, net (mandatory) .....	7	-67	-69
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	7	-67	-69

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4266-0-3-151	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority .....	1,500	1,500	2,000
2121 Limitation available from carry-forward .....	5,150	6,069	6,463
2142 Uncommitted loan guarantee limitation .....	.....	.....	.....
2143 Uncommitted limitation carried forward .....	-6,069	-6,463	-7,029
2150 Total guaranteed loan commitments .....	581	1,106	1,434
2199 Guaranteed amount of guaranteed loan commitments .....	295	555	725
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	369	654	1,239
2231 Disbursements of new guaranteed loans .....	500	800	450
2251 Repayments and prepayments .....	-210	-210	-210
2263 Adjustments: Terminations for default that result in claim payments .....	-5	-5	-5
2290 Outstanding, end of year .....	654	1,239	1,474
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	325	620	740

**Balance Sheet** (in millions of dollars)

Identification code 072-4266-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	75	75
1206 Non-Federal assets: Receivables, net .....	17	17
1999 Total assets .....	92	92
<b>LIABILITIES:</b>		
<b>Non-Federal liabilities:</b>		
2204 Liabilities for loan guarantees .....	84	84
2207 Other Liabilities .....	8	8
2999 Total liabilities .....	92	92
4999 Total Liabilities and Net Position [72-1264] .....	92	92

**ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4103-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Liquidating Fund Payments to VEF .....	8	8	8
0900 Total new obligations (object class 41.0) .....	8	8	8

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	10	9	.....
1022 Capital transfer of unobligated balances to general fund .....	-10	-9	.....
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	327	334	298

ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 072-4103-0-3-151	2015 actual	2016 est.	2017 est.
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-310	-326	-290
1850 Spending auth from offsetting collections, mand (total) .....	17	8	8
1930 Total budgetary resources available .....	17	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	-8	-8	-8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	17	8	8
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-272	-274	-254
4123 Non-Federal sources .....	-55	-60	-44
4130 Offsets against gross budget authority and outlays (total) ....	-327	-334	-298
4160 Budget authority, net (mandatory) .....	-310	-326	-290
4170 Outlays, net (mandatory) .....	-319	-326	-290
4180 Budget authority, net (total) .....	-310	-326	-290
4190 Outlays, net (total) .....	-319	-326	-290

Status of Direct Loans (in millions of dollars)

Identification code 072-4103-0-3-151	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,163	1,879	1,605
1251 Repayments: Repayments and prepayments .....	-272	-274	-254
1264 Write-offs for default: Other adjustments .....	-12		
1290 Outstanding, end of year .....	1,879	1,605	1,351

This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund.

Balance Sheet (in millions of dollars)

Identification code 072-4103-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	10	9
1601 Direct loans, gross .....	2,163	1,879
1602 Interest receivable .....	300	337
1603 Allowance for estimated uncollectible loans and interest (-) .....	-499	-511
1699 Value of assets related to direct loans .....	1,964	1,705
1999 Total assets .....	1,974	1,714
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1,974	1,714
4999 Total liabilities and net position .....	1,974	1,714

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 072-8342-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Foreign Service National Separation Liability Trust Fund .....	4	4	4
2000 Total: Balances and receipts .....	4	4	4

Appropriations:

Current law:			
2101 Foreign Service National Separation Liability Trust Fund .....	-4	-4	-4
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 072-8342-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Foreign Service National Separation Liability Trust Fund (Direct) .....	10	2	2
0900 Total new obligations (object class 13.0) .....	10	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	9	11
1050 Unobligated balance (total) .....	15	9	11
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1900 Budget authority (total) .....	4	4	4
1930 Total budgetary resources available .....	19	13	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	11	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	33	31
3010 Obligations incurred, unexpired accounts .....	10	2	2
3020 Outlays (gross) .....	-2	-4	-4
3050 Unpaid obligations, end of year .....	33	31	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	33	31
3200 Obligated balance, end of year .....	33	31	29
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4101 Outlays from mandatory balances .....	2	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	2	4	4

This Fund is maintained to pay separation costs for Foreign Service National employees of the U.S. Agency for International Development in those countries in which such pay is legally required. The Fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in several Agency accounts.

MISCELLANEOUS TRUST FUNDS, AID

Special and Trust Fund Receipts (in millions of dollars)

Identification code 072-9971-0-7-151	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Donations, Agency for International Development .....	106	100	100
2000 Total: Balances and receipts .....	106	100	100
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds, AID .....	-106	-100	-100
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 072-9971-0-7-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous Trust Funds, AID (Direct) .....	122	120	120
0900 Total new obligations (object class 41.0) .....	122	120	120

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	97	82	62
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	98	82	62
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	106	100	100
1900	Budget authority (total) .....	106	100	100
1930	Total budgetary resources available .....	204	182	162
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	82	62	42
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	161	139	159
3010	Obligations incurred, unexpired accounts .....	122	120	120
3020	Outlays (gross) .....	-143	-100	-90
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	139	159	189
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	161	139	159
3200	Obligated balance, end of year .....	139	159	189
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	106	100	100
Outlays, gross:				
4100	Outlays from new mandatory authority .....	26	50	50
4101	Outlays from mandatory balances .....	117	50	40
4110	Outlays, gross (total) .....	143	100	90
4180	Budget authority, net (total) .....	106	100	100
4190	Outlays, net (total) .....	143	100	90

The Miscellaneous Trust Funds account includes gifts and donations that the U.S. Agency for International Development (USAID) receives from other governments, non-governmental organizations, or private citizens. USAID has authority to spend these gifts and donations for development purposes under Section 635(d) of the Foreign Assistance Act.

## OVERSEAS PRIVATE INVESTMENT CORPORATION

### Federal Funds

#### OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT

The Overseas Private Investment Corporation is authorized to make, without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, such expenditures and commitments within the limits of funds available to it and in accordance with law as may be necessary: *Provided*, That the amount available for administrative expenses to carry out the credit and insurance programs (including an amount for official reception and representation expenses which shall not exceed \$35,000) shall not exceed **[\$62,787,000]** \$88,000,000: *Provided further*, That project-specific transaction costs, including direct and indirect costs incurred in claims settlements, and other direct costs associated with services provided to specific investors or potential investors pursuant to section 234 of the Foreign Assistance Act of 1961, shall not be considered administrative expenses for the purposes of this heading. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 071-4184-0-3-151	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Non credit administrative expenses .....	24	25	35
0002	Credit administrative expenses .....	37	38	53
0003	Insurance claims and provisions .....		3	3
0005	Investment encouragement and special activities .....	1	1	1
0006	Project and non-project specific working capital .....	6	6	6
0799	Total direct obligations .....	68	73	98
0801	Asia Pacific Clean Energy Program and Global Climate Finance Facility .....	2		
0900	Total new obligations .....	70	73	98

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5,480	5,596	5,661
1012	Unobligated balance transfers between expired and unexpired accounts .....	8		
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	5,490	5,596	5,661
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	120	136	176
1701	Change in uncollected payments, Federal sources .....	12	-5	-5
1710	Transferred to other accounts [071-0100] .....	-63	-58	-73
1750	Spending auth from offsetting collections, disc (total) .....	69	73	98
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	107	65	44
1900	Budget authority (total) .....	176	138	142
1930	Total budgetary resources available .....	5,666	5,734	5,803
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5,596	5,661	5,705
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	37	35	34
3010	Obligations incurred, unexpired accounts .....	70	73	98
3020	Outlays (gross) .....	-70	-74	-99
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	35	34	33
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-44	-56	-51
3070	Change in uncollected pymts, Fed sources, unexpired .....	-12	5	5
3090	Uncollected pymts, Fed sources, end of year .....	-56	-51	-46
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-7	-21	-17
3200	Obligated balance, end of year .....	-21	-17	-13
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	69	73	98
Outlays, gross:				
4010	Outlays from new discretionary authority .....	51	73	98
4011	Outlays from discretionary balances .....	19	1	1
4020	Outlays, gross (total) .....	70	74	99
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources - credit administrative expenses .....	-39	-38	-53
4031	Interest on Federal securities .....	-148	-143	-137
4033	Non-Federal sources .....	-40	-20	-30
4040	Offsets against gross budget authority and outlays (total) .....	-227	-201	-220
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-12	5	5
4070	Budget authority, net (discretionary) .....	-170	-123	-117
4080	Outlays, net (discretionary) .....	-157	-127	-121
Mandatory:				
4090	Budget authority, gross .....	107	65	44
4180	Budget authority, net (total) .....	-63	-58	-73
4190	Outlays, net (total) .....	-157	-127	-121
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	5,527	5,619	5,693
5001	Total investments, EOY: Federal securities: Par value .....	5,619	5,693	5,792

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary noncredit program is political risk insurance against losses due to expropriation, inconvertibility, and damage due to political violence.

Balances in this account are reserves held for potential claims and are not expected to be obligated.

#### INSURANCE PROGRAM ACTIVITY

	(in millions of dollars)			
	2014 Actual	2015 Actual	2016 Projected	2017 Projected
Maximum contingent liability, start of year .....	\$3,138	\$3,050	\$2,838	\$3,003
Insurance issued during year <sup>1</sup> .....	\$380	\$190	\$600	\$650
Insurance reductions and cancellations .....	-468	-402	-435	-419
Maximum contingent liability, end of year .....	\$3,050	\$2,838	\$3,003	\$3,235

## OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT—Continued

## INSURANCE PROGRAM ACTIVITY—Continued

	2014 Actual	2015 Actual	2016 Projected	2017 Projected
Net growth/(decline) of portfolio .....	-\$88	-\$212	\$165	\$335
Net growth rate of insurance portfolio (in percent) .....	-2.8%	-6.95%	5.81%	11.16%
Statutory authority limitation <sup>2</sup> .....	\$ 29,000	\$ 29,000	\$ 29,000	\$ 29,000
Total Finance and Insurance exposure .....	\$ 18,019	\$ 19,934	\$ 21,934	24,134

<sup>1</sup> Some Insurance products are scored under Federal Credit Reform, and are included in the schedule above. <sup>2</sup> This is a combined insurance and finance limitation as stated in Foreign Assistance Act of 1961 (P.L. 87–195) OPIC will monitor issuance and runoff to stay within the limitation.

## Object Classification (in millions of dollars)

Identification code 071–4184–0–3–151	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	29	31	38
12.1 Civilian personnel benefits .....	11	12	15
23.2 Rental payments to others .....	8	7	11
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.2 Other services from non-Federal sources .....	12	13	21
25.2 Other services (working capital) .....	6	6	6
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	1	1	2
32.0 Land and structures .....	1	1	1
99.0 Direct obligations .....	70	73	98
99.9 Total new obligations .....	70	73	98

## Employment Summary

Identification code 071–4184–0–3–151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	257	289	350

## PROGRAM ACCOUNT

For the cost of direct and guaranteed loans, \$20,000,000, as authorized by section 234 of the Foreign Assistance Act of 1961, to be derived by transfer from the Overseas Private Investment Corporation Noncredit Account: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such sums shall be available for direct loan obligations and loan guaranty commitments incurred or made during fiscal years [2016, 2017, and 2018] 2017, 2018, and 2019: *Provided further*, That funds so obligated in fiscal year [2016] 2017 remain available for disbursement through [2024] 2025; funds obligated in fiscal year [2017] 2018 remain available for disbursement through [2025] 2026; and funds obligated in fiscal year [2018] 2019 remain available for disbursement through [2026] 2027: *Provided further*, That notwithstanding any other provision of law, the Overseas Private Investment Corporation is authorized to undertake any program authorized by title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 in Iraq: *Provided further*, That funds made available pursuant to the authority of the previous proviso shall be subject to the regular notification procedures of the Committees on Appropriations.

In addition, such sums as may be necessary for administrative expenses to carry out the credit program may be derived from amounts available for administrative expenses to carry out the credit and insurance programs in the Overseas Private Investment Corporation Noncredit Account and merged with said account. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 071–0100–0–1–151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0701 Direct loan subsidy .....	16	16	15
0702 Loan guarantee subsidy .....	12	56	7
0705 Reestimates of direct loan subsidy .....	98	109	.....
0706 Interest on reestimates of direct loan subsidy .....	91	102	.....
0707 Reestimates of loan guarantee subsidy .....	141	132	.....
0708 Interest on reestimates of loan guarantee subsidy .....	58	49	.....
0709 Administrative expenses .....	38	38	53
0900 Total new obligations .....	454	502	75

## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	38	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	40	38	.....
1021 Recoveries of prior year unpaid obligations .....	.....	14	5
1050 Unobligated balance (total) .....	40	52	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation - Direct and guaranteed loan upward subsidy reestimate .....	389	393	.....
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [071–4184] .....	63	58	73
1900 Budget authority (total) .....	452	451	73
1930 Total budgetary resources available .....	492	503	79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	38	1	4

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	63	101
3010 Obligations incurred, unexpired accounts .....	454	502	75
3020 Outlays (gross) .....	-442	-437	-70
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-14	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-8	-13	-17
3050 Unpaid obligations, end of year .....	63	101	84
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	59	63	101
3200 Obligated balance, end of year .....	63	101	84

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	63	58	73
Outlays, gross:			
4010 Outlays from new discretionary authority .....	38	41	56
4011 Outlays from discretionary balances .....	15	3	14
4020 Outlays, gross (total) .....	53	44	70
Mandatory:			
4090 Budget authority, gross .....	389	393	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	389	393	.....
4180 Budget authority, net (total) .....	452	451	73
4190 Outlays, net (total) .....	442	437	70

## Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	3	.....	.....
5091 Expiring unavailable balance: Offsetting collections .....	-3	.....	.....
5093 Expired unavailable balance, SOY: Offsetting collections .....	.....	3	3
5095 Expired unavailable balance, EOY: Offsetting collections .....	.....	3	3

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 071–0100–0–1–151	2015 actual	2016 est.	2017 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 OPIC Direct Loans .....	1,191	1,000	1,300
115004 OPIC Direct Loan Investment Funds .....	15	.....	.....
115999 Total direct loan levels .....	1,206	1,000	1,300
<b>Direct loan subsidy (in percent):</b>			
132001 OPIC Direct Loans .....	-7.86	-5.80	-5.64
132004 OPIC Direct Loan Investment Funds .....	-2.03	.....	.....
132999 Weighted average subsidy rate .....	-7.79	-5.80	-5.64
<b>Direct loan subsidy budget authority:</b>			
133001 OPIC Direct Loans .....	-94	-58	-73
133999 Total subsidy budget authority .....	-94	-58	-73
<b>Direct loan subsidy outlays:</b>			
134001 OPIC Direct Loans .....	-11	-44	-64
134004 OPIC Direct Loan Investment Funds .....	1	.....	.....
134999 Total subsidy outlays .....	-10	-44	-64
<b>Direct loan reestimates:</b>			
135001 OPIC Direct Loans .....	-30	34	.....
135003 NIS Direct Loans .....	-2	-1	.....
135999 Total direct loan reestimates .....	-32	33	.....
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 OPIC Loan Guarantees .....	2,628	2,400	2,500
215002 OPIC Investment Funds .....	372	300	400
215005 Limited Arbitral Award Coverage .....	.....	150	150
215006 Non-Honoring of Sovereign Guarantees .....	.....	150	150
215999 Total loan guarantee levels .....	3,000	3,000	3,200

Guaranteed loan subsidy (in percent):				
232001	OPIC Loan Guarantees .....	-9.17	-5.93	-5.81
232002	OPIC Investment Funds .....	-7.92	-10.91	-2.85
232005	Limited Arbitral Award Coverage .....		-2.54	-2.35
232006	Non-Honoring of Sovereign Guarantees .....		-6.04	-6.00
232999	Weighted average subsidy rate .....	-9.01	-6.26	-5.29
Guaranteed loan subsidy budget authority:				
233001	OPIC Loan Guarantees .....	-241	-142	-145
233002	OPIC Investment Funds .....	-29	-33	-11
233005	Limited Arbitral Award Coverage .....		-4	-4
233006	Non-Honoring of Sovereign Guarantees .....		-9	-9
233999	Total subsidy budget authority .....	-270	-188	-169
Guaranteed loan subsidy outlays:				
234001	OPIC Loan Guarantees .....	-90	-141	-192
234002	OPIC Investment Funds .....	-8	-27	-28
234005	Limited Arbitral Award Coverage .....			-2
234006	Non-Honoring of Sovereign Guarantees .....			-6
234999	Total subsidy outlays .....	-98	-168	-228
Guaranteed loan reestimates:				
235001	OPIC Loan Guarantees .....	-191	-44	
235002	OPIC Investment Funds .....	-29	12	
235003	NIS — Guaranteed Loans .....	-5	17	
235006	Non-Honoring of Sovereign Guarantees .....	51	-19	
235999	Total guaranteed loan reestimates .....	-174	-34	
Administrative expense data:				
3510	Budget authority .....	38	38	53
3590	Outlays from new authority .....	38	38	53

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its credit program is investment financing through loans and guaranteed loans.

As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

#### Object Classification (in millions of dollars)

Identification code 071-0100-0-1-151	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services (contracts) .....	38	38	53
41.0	Grants, subsidies, and contributions .....	416	464	22
99.9	Total new obligations .....	454	502	75

#### OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING ACCOUNT

##### Program and Financing (in millions of dollars)

Identification code 071-4074-0-3-151	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0003	Working Capital costs .....	6	7	7
Credit program obligations:				
0710	Direct loan obligations .....	1,206	1,000	1,300
0713	Payment of interest to Treasury .....	48	135	135
0740	Negative subsidy obligations .....	110	74	86
0742	Downward reestimate paid to receipt account .....	177	138	
0743	Interest on downward reestimates .....	44	40	
0791	Direct program activities, subtotal .....	1,585	1,387	1,521
0799	Total direct obligations .....	1,591	1,394	1,528
0801	Africa Clean Energy Finance .....	6		
0809	Reimbursable program activities, subtotal .....	6		
0900	Total new obligations .....	1,597	1,394	1,528
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	502	107	194
1021	Recoveries of prior year unpaid obligations .....	462	400	200

1023	Unobligated balances applied to repay debt .....	-423	-100	-100
1024	Unobligated balance of borrowing authority withdrawn .....	-461	-120	-120
1050	Unobligated balance (total) .....	80	287	174
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1,336	894	1,403
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	432	475	264
1801	Change in uncollected payments, Federal sources .....	8	3	3
1825	Spending authority from offsetting collections applied to repay debt .....	-152	-71	-71
1850	Spending auth from offsetting collections, mand (total) .....	288	407	196
1900	Budget authority (total) .....	1,624	1,301	1,599
1930	Total budgetary resources available .....	1,704	1,588	1,773
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	107	194	245
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,947	2,522	2,917
3010	Obligations incurred, unexpired accounts .....	1,597	1,394	1,528
3020	Outlays (gross) .....	-560	-599	-345
3040	Recoveries of prior year unpaid obligations, unexpired .....	-462	-400	-200
3050	Unpaid obligations, end of year .....	2,522	2,917	3,900
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-48	-56	-59
3070	Change in uncollected pymts, Fed sources, unexpired .....	-8	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-56	-59	-62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,899	2,466	2,858
3200	Obligated balance, end of year .....	2,466	2,858	3,838

#### Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross .....	1,624	1,301	1,599
Financing disbursements:				
4110	Outlays, gross (total) .....	560	599	345
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources, Credit Reform subsidy .....	-199	-214	-3
4122	Interest on uninvested funds .....	-11	-41	-41
4123	Repayments of Principal .....	-222	-150	-150
4123	Interest received on loans .....		-70	-70
4130	Offsets against gross budget authority and outlays (total) .....	-432	-475	-264
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-8	-3	-3
4160	Budget authority, net (mandatory) .....	1,184	823	1,332
4170	Outlays, net (mandatory) .....	128	124	81
4180	Budget authority, net (total) .....	1,184	823	1,332
4190	Outlays, net (total) .....	128	124	81

#### Status of Direct Loans (in millions of dollars)

Identification code 071-4074-0-3-151	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	1,206	1,000	1,300
1150	Total direct loan obligations .....	1,206	1,000	1,300
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	1,470	1,772	2,242
1231	Disbursements: Direct loan disbursements .....	454	599	345
1251	Repayments: Repayments and prepayments .....	-137	-125	-125
1263	Write-offs for default: Direct loans .....	-15	-4	-4
1290	Outstanding, end of year .....	1,772	2,242	2,458

#### Balance Sheet (in millions of dollars)

Identification code 071-4074-0-3-151	2014 actual	2015 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	258	258
1206	Non-Federal assets: Receivables, net .....	3	3
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	1,772	1,772
1402	Interest receivable .....	44	44
1405	Allowance for subsidy cost (-) .....	-155	-155
1499	Net present value of assets related to direct loans .....	1,661	1,661
1999	Total assets .....	1,922	1,922

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 071-4074-0-3-151	2014 actual	2015 actual
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	1,883	1,883
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	39	39
4999 Total liabilities and net position .....	1,922	1,922

OVERSEAS PRIVATE INVESTMENT CORPORATION GUARANTEED LOAN FINANCING  
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 071-4075-0-3-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Working Capital Costs .....	8	7	7
Credit program obligations:			
0711 Default claim payments on principal .....	46	26	26
0713 Payment of interest to Treasury .....	23	67	67
0740 Negative subsidy obligations .....	283	244	176
0742 Downward reestimate paid to receipt account .....	271	138	.....
0743 Interest on downward reestimates .....	101	78	.....
0791 Direct program activities, subtotal .....	724	553	269
0900 Total new obligations .....	732	560	276
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	814	283	783
1021 Recoveries of prior year unpaid obligations .....	128	221	221
1023 Unobligated balances applied to repay debt .....	-571	-20	-20
1024 Unobligated balance of borrowing authority withdrawn .....	-127	-195	-195
1050 Unobligated balance (total) .....	244	289	789
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	395	610	610
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	414	436	253
1801 Change in uncollected payments, Federal sources .....	-4	8	8
1825 Spending authority from offsetting collections applied to repay debt .....	-34	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	376	444	261
1900 Budget authority (total) .....	771	1,054	871
1930 Total budgetary resources available .....	1,015	1,343	1,660
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	283	783	1,384
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	489	541	726
3010 Obligations incurred, unexpired accounts .....	732	560	276
3020 Outlays (gross) .....	-552	-154	-154
3040 Recoveries of prior year unpaid obligations, unexpired .....	-128	-221	-221
3050 Unpaid obligations, end of year .....	541	726	627
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-18	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	4	-8	-8
3090 Uncollected pymts, Fed sources, end of year .....	-18	-26	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	467	523	700
3200 Obligated balance, end of year .....	523	700	593
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	771	1,054	871
Financing disbursements:			
4110 Outlays, gross (total) .....	552	154	154
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-207	-185	-2
4122 Interest on uninvested funds .....	-14	-35	-35
4123 Claim recoveries .....	-193	-27	-27
4123 Fees .....	.....	-189	-189

4130 Offsets against gross budget authority and outlays (total) ....	-414	-436	-253
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	4	-8	-8
4160 Budget authority, net (mandatory) .....	361	610	610
4170 Outlays, net (mandatory) .....	138	-282	-99
4180 Budget authority, net (total) .....	361	610	610
4190 Outlays, net (total) .....	138	-282	-99

Status of Guaranteed Loans (in millions of dollars)

Identification code 071-4075-0-3-151	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	3,000	3,000	3,200
2150 Total guaranteed loan commitments .....	3,000	3,000	3,200
2199 Guaranteed amount of guaranteed loan commitments .....	3,000	3,000	3,200
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	8,347	8,209	9,003
2231 Disbursements of new guaranteed loans .....	685	1,177	736
2251 Repayments and prepayments .....	-777	-333	-333
2261 Adjustments: Terminations for default that result in loans receivable .....	-46	-50	-50
2290 Outstanding, end of year .....	8,209	9,003	9,356
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	8,034	8,034	8,034
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	123	81	77
2331 Disbursements for guaranteed loan claims .....	46	50	50
2351 Repayments of loans receivable .....	-3	-35	-35
2361 Write-offs of loans receivable .....	-85	-19	-19
2390 Outstanding, end of year .....	81	77	73

Balance Sheet (in millions of dollars)

Identification code 071-4075-0-3-151	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	607	276
1206 Non-Federal assets: Receivables, net .....	32	280
1402 Net value of assets related to post-1991 direct loans receivable:		
Interest receivable .....	2	52
1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross .....	123	81
1999 Total assets .....	764	689
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	653	563
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	42	45
2207 Other .....	8	6
2999 Total liabilities .....	703	614
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	61	75
4999 Total liabilities and net position .....	764	689

TRADE AND DEVELOPMENT AGENCY

Federal Funds

TRADE AND DEVELOPMENT AGENCY

For necessary expenses to carry out the provisions of section 661 of the Foreign Assistance Act of 1961, **[\$60,000,000]** \$80,700,000, to remain available until September 30, **[2017]** 2018: *Provided*, **[**That of the amounts made available under this heading, up to \$2,500,000 may be made available to provide comprehensive procurement advice to foreign governments to support local procurements funded by the United States Agency for International Development, the Millennium Challenge Corporation, and the Department of State: *Provided further*, **]** That of the funds appropriated under this heading, not more than **[\$5,000]** \$8,000 may be available for representation and entertainment expenses. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-1001-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Feasibility studies, technical assistance, and other activities .....	51	47	64
0002 Operating expenses .....	15	15	16
0100 Direct program activities, subtotal .....	66	62	80
0799 Total direct obligations .....	66	62	80
0801 Trade and Development Agency (Reimbursable) .....	1	3	.....
0900 Total new obligations .....	67	65	80
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	8	10
1012 Unobligated balance transfers between expired and unexpired accounts .....	4	.....	.....
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	14	10	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	60	60	81
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	4	2
1701 Change in uncollected payments, Federal sources .....	-1	1	-1
1750 Spending auth from offsetting collections, disc (total) .....	1	5	1
1900 Budget authority (total) .....	61	65	82
1930 Total budgetary resources available .....	75	75	94
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	10	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	93	101	94
3010 Obligations incurred, unexpired accounts .....	67	65	80
3020 Outlays (gross) .....	-54	-70	-74
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	101	94	98
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	-1	1
3090 Uncollected pymts, Fed sources, end of year .....	-4	-5	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	88	97	89
3200 Obligated balance, end of year .....	97	89	94
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	61	65	82
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	20	21
4011 Outlays from discretionary balances .....	43	50	53
4020 Outlays, gross (total) .....	54	70	74
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-4	-2
4040 Offsets against gross budget authority and outlays (total) ....	-2	-4	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	-1	1
4070 Budget authority, net (discretionary) .....	60	60	81
4080 Outlays, net (discretionary) .....	52	66	72
4180 Budget authority, net (total) .....	60	60	81
4190 Outlays, net (total) .....	52	66	72

The U.S. Trade and Development Agency (USTDA) helps companies create U.S. jobs through export of U.S. goods and services for priority development projects in emerging economies. USTDA links U.S. businesses to export opportunities by funding project planning activities, pilot projects, and reverse trade missions. USTDA will continue to support the promotion of U.S. exports for projects in priority sectors such as energy, transportation, and telecommunications.

**Object Classification** (in millions of dollars)

Identification code 011-1001-0-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4	5	6
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	5	6	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	4	4	4
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	52	47	64
99.0 Direct obligations .....	66	62	80
99.0 Reimbursable obligations .....	1	3	.....
99.9 Total new obligations .....	67	65	80

**Employment Summary**

Identification code 011-1001-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	52	55	57

**PEACE CORPS**

*Federal Funds*

PEACE CORPS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of the Peace Corps Act (22 U.S.C. 2501 et seq.), including the purchase of not to exceed five passenger motor vehicles for administrative purposes for use outside of the United States, \$410,000,000, of which \$5,150,000 is for the Office of Inspector General, to remain available until September 30, 2018: *Provided*, That the Director of the Peace Corps may transfer to the Foreign Currency Fluctuations Account, as authorized by section 16 of the Peace Corps Act (22 U.S.C. 2515), an amount not to exceed \$5,000,000: *Provided further*, That funds transferred pursuant to the previous proviso may not be derived from amounts made available for Peace Corps overseas operations: *Provided further*, That of the funds appropriated under this heading, not to exceed \$104,000 may be available for representation expenses, of which not to exceed \$4,000 may be made available for entertainment expenses: *Provided further*, That any decision to open, close, significantly reduce, or suspend a domestic or overseas office or country program shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations, except that prior consultation and regular notification procedures may be waived when there is a substantial security risk to volunteers or other Peace Corps personnel, pursuant to section 7015(e) 7011(d) of this Act: *Provided further*, That none of the funds appropriated under this heading shall be used to pay for abortions: *Provided further*, That notwithstanding the previous proviso, section 614 of division E of Public Law 113-76 114-113 shall apply to funds appropriated under this heading. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 011-0100-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity - Peace Corps .....	413	431	434
0002 Direct program activity - Peace Corps Inspector General .....	5	6	6
0799 Total direct obligations .....	418	437	440
0801 Peace Corps (Reimbursable) .....	10	10	10
0900 Total new obligations .....	428	447	450
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	55	50
1021 Recoveries of prior year unpaid obligations .....	9	17	10
1050 Unobligated balance (total) .....	87	72	60
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	380	410	410

PEACE CORPS—Continued  
Program and Financing—Continued

Identification code 011-0100-0-1-151	2015 actual	2016 est.	2017 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	12	12
1701 Change in uncollected payments, Federal sources .....	3	3	3
1750 Spending auth from offsetting collections, disc (total) .....	16	15	15
1900 Budget authority (total) .....	396	425	425
1930 Total budgetary resources available .....	483	497	485
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	55	50	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	81	101	118
3010 Obligations incurred, unexpired accounts .....	428	447	450
3020 Outlays (gross) .....	-397	-411	-424
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	-17	-10
3041 Recoveries of prior year unpaid obligations, expired .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	101	118	132
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-9	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	-3	-3
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-12	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	74	92	106
3200 Obligated balance, end of year .....	92	106	117
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	396	425	425
Outlays, gross:			
4010 Outlays from new discretionary authority .....	245	297	297
4011 Outlays from discretionary balances .....	152	114	127
4020 Outlays, gross (total) .....	397	411	424
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-9	-9
4033 Non-Federal sources .....	-5	-3	-3
4040 Offsets against gross budget authority and outlays (total) .....	-13	-12	-12
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3	-3	-3
4070 Budget authority, net (discretionary) .....	380	410	410
4080 Outlays, net (discretionary) .....	384	399	412
4180 Budget authority, net (total) .....	380	410	410
4190 Outlays, net (total) .....	384	399	412

The Peace Corps will provide direct and indirect support to Americans serving as Volunteers in approximately 64 countries worldwide in 2017, including the necessary safety and security provisions for Volunteers, trainees, and staff. The 2017 budget supports recruitment, screening, and placement of Peace Corps trainees and sustains new and existing Volunteers to have approximately 7680 Americans enrolled in the Peace Corps by the end of 2017. The Volunteers help fill the trained manpower needs of developing countries and encourage self-sustaining development of skilled manpower. The Peace Corps also promotes mutual understanding between the peoples of the developing world and the United States and focuses the attention of the American people on the benefits of community service. Peace Corps Volunteers work primarily in the areas of agriculture, community economic development, education, environment, health and HIV/AIDS, and youth.

The Peace Corps Office of Inspector General provides independent oversight in accordance with the Inspector General Act of 1978, as amended. Through audits, evaluations and investigations the office prevents and detects waste, fraud, abuse and mismanagement; provides advice and assistance to agency management; and promotes efficiency, effectiveness and economy in agency programs and operations.

## Object Classification (in millions of dollars)

Identification code 011-0100-0-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	89	93	94
11.3 Other than full-time permanent .....	8	8	8
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	98	102	103
12.1 Civilian personnel benefits .....	99	103	104
21.0 Travel and transportation of persons .....	37	39	39
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	8	8	8
23.2 Rental payments to others .....	16	17	17
23.3 Communications, utilities, and miscellaneous charges .....	11	12	12
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	8	8	8
25.2 Other services from non-Federal sources .....	67	71	71
25.3 Other goods and services from Federal sources .....	10	11	11
25.4 Operation and maintenance of facilities .....	2	2	2
25.6 Medical care .....	24	25	25
25.7 Operation and maintenance of equipment .....	4	4	4
26.0 Supplies and materials .....	11	11	12
31.0 Equipment .....	20	21	21
99.0 Direct obligations .....	418	437	440
99.0 Reimbursable obligations .....	10	10	10
99.9 Total new obligations .....	428	447	450

## Employment Summary

Identification code 011-0100-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,208	1,215	1,220
2001 Reimbursable civilian full-time equivalent employment .....	7	7	7

## FOREIGN CURRENCY FLUCTUATIONS

## Program and Financing (in millions of dollars)

Identification code 011-0101-0-1-151	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account transfers funds to the operating expense account for the Peace Corps to finance upward adjustments of recorded obligations because of foreign currency fluctuations. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this account and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Peace Corps to withdraw unobligated balances from the operating expenses account from prior years as long as the authorized limit of \$5 million is not exceeded at the time of the transfer.

## HOST COUNTRY RESIDENT CONTRACTORS SEPARATION LIABILITY FUND

## Program and Financing (in millions of dollars)

Identification code 011-5395-0-2-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Host Country Resident Contractors Separation Liability Fund (Reimbursable) .....	1	2	2
0900 Total new obligations (object class 25.2) .....	1	2	2

<b>Budgetary resources:</b>			
Unobligated balance:			
1021	Recoveries of prior year unpaid obligations .....	1	2
1050	Unobligated balance (total) .....	1	2
1930	Total budgetary resources available .....	1	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	22	20
3010	Obligations incurred, unexpired accounts .....	1	2
3020	Outlays (gross) .....	-2	-20
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-2
3050	Unpaid obligations, end of year .....	20	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	22	20
3200	Obligated balance, end of year .....	20	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances .....	2	20
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	2	20

This fund is maintained to pay separation costs for Host Country Resident Personal Services Contractors of the Peace Corps in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated in the Peace Corps' operating account.

**Trust Funds**

PEACE CORPS MISCELLANEOUS TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-9972-0-7-151	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....		
Receipts:			
Current law:			
1130	Miscellaneous Trust Funds, Peace Corps .....	2	
2000	Total: Balances and receipts .....	2	
Appropriations:			
Current law:			
2101	Peace Corps Miscellaneous Trust Fund .....	-2	
5099	Balance, end of year .....		

Program and Financing (in millions of dollars)

Identification code 011-9972-0-7-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0881	Peace Corps Miscellaneous Trust Fund (Reimbursable) .....	2	2
0900	Total new obligations (object class 25.2) .....	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2	2
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund) .....	2	
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		2
1900	Budget authority (total) .....	2	2
1930	Total budgetary resources available .....	4	4
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3
3010	Obligations incurred, unexpired accounts .....	2	2
3020	Outlays (gross) .....	-2	-4
3050	Unpaid obligations, end of year .....	3	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3	1

3200	Obligated balance, end of year .....	3	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority .....		2	2
4011	Outlays from discretionary balances .....	2	2	
4020	Outlays, gross (total) .....	2	4	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....		-2	-2
4180	Budget authority, net (total) .....	2		
4190	Outlays, net (total) .....	2	2	

Miscellaneous contributions received by gift, devise, or bequest, that are used for the furtherance of the program, as authorized by 22 U.S.C. 2509(a)(4) (75 Stat. 612, as amended). Trust funds also include a fund to pay separation costs for Foreign Service National employees of the Peace Corps in those countries in which such pay is legally authorized. The fund, as authorized by Section 151 of Public Law 102-138, is maintained by annual Government contributions which are appropriated in the Peace Corps salaries and expenses account.

**INTER-AMERICAN FOUNDATION**

Federal Funds

INTER-AMERICAN FOUNDATION

For necessary expenses to carry out the functions of the Inter-American Foundation in accordance with the provisions of section 401 of the Foreign Assistance Act of 1969, [S\$22,500,000] \$22,200,000, to remain available until September 30, [2017] 2018: Provided, That of the funds appropriated under this heading, not to exceed \$2,000 may be available for representation expenses. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011-3100-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Development grants .....	10	10
0002	Evaluations and other activities .....	8	
0003	Program Implementation Expenses .....		7
0004	Program management and operations .....	6	
0005	Administrative Expenses .....		6
0799	Total direct obligations .....	24	23
0801	Development Grants (SPTF) .....	6	5
0900	Total new obligations .....	30	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	8	7
1021	Recoveries of prior year unpaid obligations .....	1	1
1050	Unobligated balance (total) .....	9	8
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	23	23
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	5	4
1900	Budget authority (total) .....	28	27
1930	Total budgetary resources available .....	37	35
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	27	28
3010	Obligations incurred, unexpired accounts .....	30	28
3020	Outlays (gross) .....	-27	-27
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1
3041	Recoveries of prior year unpaid obligations, expired .....	-1	-1
3050	Unpaid obligations, end of year .....	28	27
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	27	28
3200	Obligated balance, end of year .....	28	27

INTER-AMERICAN FOUNDATION—Continued  
Program and Financing—Continued

Identification code 011-3100-0-1-151	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	28	27	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	12	9
4011 Outlays from discretionary balances .....	16	15	17
4020 Outlays, gross (total) .....	27	27	26
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-5	-4	-2
4040 Offsets against gross budget authority and outlays (total) ....	-5	-4	-2
4180 Budget authority, net (total) .....	23	23	22
4190 Outlays, net (total) .....	22	23	24

The Inter-American Foundation's (IAF) mission is to promote and invest in citizen-led grassroots development in Latin America and the Caribbean to help communities thrive. Communities that are productive, secure, inclusive and democratic are better able to address their own problems, including emigration of their children and young people. IAF funds self-help ideas and priorities articulated by poor communities in the region. Grantee partners invest their own resources and mobilize contributions from others. This approach is cost-efficient and results in effective, community-led development that is consistent with U.S. foreign policy goals in the region: to expand access to economic opportunities, enhance social inclusion, build citizen engagement in democratic processes at the grassroots level, and strengthen resilience to crime and violence.

The IAF's specialized expertise and experience investing in citizen-led development, its extensive network with Latin American civil society organizations, and its robust evaluation system complement the assets of other U.S. government agencies. The IAF uses these tools in collaborating and sharing lessons in development with public and private sector partners. As part of the U.S. Strategy for Engagement in Central America, the IAF invests in the organized poor so that they develop the capacity to advance their own communities, addressing the root causes of migration by improving economic opportunity, citizen security and civic participation. IAF investments help grassroots groups take advantage of large-scale investments, new markets and trade opportunities. Seventy-eight percent of IAF grantee partner respondents to a recent survey by the Center for Effective Philanthropy stated that working with the IAF had positively affected their opinion of the United States.

*Development Grants:* IAF works in 20 countries in Latin America and the Caribbean and maintains a portfolio of approximately 265 active projects, including agriculture and food production, enterprise development, education and training, cultural expression, environmental stewardship, and health programs. Advancing the inclusion of women, children and youth, indigenous peoples and African descendants, and persons with disabilities in economic and civic life are over-arching priorities.

In 2016, the IAF plans to award at least 120 new and supplemental grants. All grants are audited by an independent audit firm. In addition, the IAF conducts an end-of-project assessment of the results of every grant and an ex-post assessment on a sample of projects completed for at least five years.

*Focus on Central America:* The IAF has significantly increased its focus on Central America, with investments to address root causes of migration, consistent with the U.S. Strategy for Engagement. In FY15, 37 percent of the IAF's new grant funding went to Central America, up from 24 percent in FY11. The IAF's portfolio includes 91 active projects in the region for a total investment value of \$42.5 million, of which \$21.6 million is counterpart resources committed by grantee partners. The IAF is operating in over 900 communities and 16 percent of all municipalities in the northern triangle. Ninety percent of funding actions in Central America are in agriculture and food production, education and training, enterprise development

and environment. Forty-five percent of the IAF's activity in El Salvador, Guatemala and Honduras benefits young people directly.

*Leveraging of Resources:* Grantee partners invest their own resources and mobilize contributions from others in the local public and private sector; on average over the last five years, counterpart commitments have represented \$136,000 for every \$100,000 invested by the IAF. U.S. based hometown associations are also supporting IAF-funded grassroots development projects in their communities of origin. In addition, members of RedEAmérica, an IAF-initiated business sector alliance, commit to match IAF grant funds for projects at a three-to-one ratio. The IAF also manages resources from other public or private sources when objectives coincide and the partner wishes to take advantage of the efficiency and effectiveness of the IAF's investment platform.

*Program Implementation Activities:* The IAF complements and extends the impact of its development grants program with other investments designed to enhance the capabilities of grassroots organizations in the region as a learning and collaboration network. This includes investments in a robust evaluation system that prioritizes learning by our grantee partners; targeted training, technical assistance and peer-to-peer exchanges; and field research on relevant grassroots development topics by Ph.D. candidates at U.S. universities that also contributes to building a cadre of U.S. and Latin American specialists in the field.

**Object Classification** (in millions of dollars)

Identification code 011-3100-0-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	5	5	5
25.3 Other goods and services from Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	10	10	9
99.0 Direct obligations .....	23	23	22
99.0 Reimbursable obligations .....	6	5	4
99.5 Adjustment for rounding .....	1	.....	1
99.9 Total new obligations .....	30	28	27

**Employment Summary**

Identification code 011-3100-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	38	38	38

**AFRICAN DEVELOPMENT FOUNDATION**

*Federal Funds*

UNITED STATES AFRICAN DEVELOPMENT FOUNDATION

For necessary expenses to carry out title V of the International Security and Development Cooperation Act of 1980 (Public Law 96-533), **[\$30,000,000]** \$28,200,000, to remain available until September 30, **[2017]** 2018, of which not to exceed \$2,000 may be available for representation expenses: *Provided*, That funds made available to grantees may be invested pending expenditure for project purposes when authorized by the Board of Directors of the United States African Development Foundation (USADF): *Provided further*, That interest earned shall be used only for the purposes for which the grant was made: *Provided further*, That notwithstanding section 505(a)(2) of the African Development Foundation Act, in exceptional circumstances the Board of Directors of the USADF may waive the \$250,000 limitation contained in that section with respect to a project and a project may exceed the limitation by up to 10 percent if the increase is due solely to foreign currency fluctuation: *Provided further*, That the USADF shall submit a report to the Committees on Appropriations after each time such waiver authority is exercised: *Provided further*, That the USADF may make rent or lease payments in advance from appropriations available for such purpose for offices, buildings, grounds, and quarters in Africa as may be necessary to carry out its functions: *Provided further*, That the USADF may maintain bank accounts outside the United States Treasury and retain any interest earned on such accounts, in furtherance of the purposes of the African Foundation Development Act: *Provided further*, That the USADF may not withdraw any appropriation from

the Treasury prior to the need of spending such funds for program purposes. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-0700-0-1-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	31	9	9
0002 Development grants .....		15	14
0004 Other program costs .....		6	5
0900 Total new obligations .....	31	30	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	2
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	2	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	28
1930 Total budgetary resources available .....	32	32	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	30	32
3010 Obligations incurred, unexpired accounts .....	31	30	28
3020 Outlays (gross) .....	-30	-26	-25
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2	-1	
3050 Unpaid obligations, end of year .....	30	32	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	30	32
3200 Obligated balance, end of year .....	30	32	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	30	28
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	14	13
4011 Outlays from discretionary balances .....	16	12	12
4020 Outlays, gross (total) .....	30	26	25
4180 Budget authority, net (total) .....	30	30	28
4190 Outlays, net (total) .....	30	26	25

The U.S. African Development Foundation (USADF) works directly with Africa's marginalized population to secure economic development and growth among the most vulnerable communities. As an independent agency of the U.S. Government, USADF's development priorities contribute to the overall U.S. foreign policy agenda by impacting 1,500,000 people each year in underserved communities across Africa. USADF's innovative small grants program (less than \$250,000 per grant) supports sustainable, community-driven enterprises that improve food security, generate jobs, and increase family incomes. Additionally, the Agency maintains African staff and offices in each country where it works. This enables on-the-ground program management and accountability, while establishing a network of in-country technical service providers with expertise critical to advancing the local community's long term development needs.

USADF operates in Africa using a cost-effective African-led and managed development model that "right sizes" efforts, directing development resources to rural areas of greatest need and potential for impact. By directing small amounts of development resources to disenfranchised and vulnerable groups in hard to reach, sensitive regions across Africa, USADF ensures that critical U.S. development initiatives such as Trade Africa, Feed the Future, Power Africa, and the Young African Leaders Initiative reach beyond urban areas to Africa's underserved rural populations. USADF programs also leverage funds from other donors. By matching U.S. Government funds with those from host African governments and/or other private sector foundations, USADF increases the development impact of each tax dollar appropriated. USADF's size and low-cost operating model

makes it a highly flexible, innovative, and effective foreign assistance provider to Africa.

**Object Classification** (in millions of dollars)

Identification code 011-0700-0-1-151	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	3	3	3
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	1	1	1
25.1 Other administrative costs .....	2	2	1
25.2 Other services from non-Federal sources .....	1	1	1
25.2 Program non-development grants .....	4	6	5
25.3 Other goods and services from Federal sources .....	2	1	1
41.0 Development grants .....	16	14	14
99.9 Total new obligations .....	31	30	28

**Employment Summary**

Identification code 011-0700-0-1-151	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	38	37	37

**Trust Funds**

## GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 011-8239-0-7-151	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Donations, African Development Foundation .....	1	2	2
2000 Total: Balances and receipts .....	1	2	2
Appropriations:			
Current law:			
2101 Gifts and Donations, African Development Foundation .....	-1	-2	-2
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 011-8239-0-7-151	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Project Grants .....	1	2	2
0900 Total new obligations (object class 41.0) .....	1	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	3
1021 Recoveries of prior year unpaid obligations .....		2	2
1050 Unobligated balance (total) .....	1	3	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	2	2
1900 Budget authority (total) .....	1	2	2
1930 Total budgetary resources available .....	2	5	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	3	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3010 Obligations incurred, unexpired accounts .....	1	2	2
3020 Outlays (gross) .....	-1	-1	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....		-2	-2
3050 Unpaid obligations, end of year .....	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	2
3200 Obligated balance, end of year .....	3	2	1

GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION—Continued  
Program and Financing—Continued

Identification code 011-8239-0-7-151	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	1	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4101 Outlays from mandatory balances	1		
4110 Outlays, gross (total)	1	1	1
4180 Budget authority, net (total)	1	2	2
4190 Outlays, net (total)	1	1	1

USADF has the authority to accept contributions from any legitimate source, such as foreign governments, private businesses, foundations, non-governmental organizations, international donors, and other strategic partners committed to promoting grassroots-based economic growth and development in Africa. These funds are used in coordination with appropriated amounts to further expand the reach and impact of USADF's programs.

## INTERNATIONAL MONETARY PROGRAMS

## Federal Funds

## UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND

## Program and Financing (in millions of dollars)

Identification code 011-0003-0-1-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Change in valuation	5,135		
0002 Present Value (P.L. 114-113)		145	
0900 Total new obligations	5,135	145	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14,821	8,251	8,251
1021 Recoveries of prior year unpaid obligations	2,231		
1029 Other balances withdrawn to Treasury	-4,144		
1050 Unobligated balance (total)	12,908	8,251	8,251
Budget authority:			
Appropriations, discretionary:			
1100 Present Value Appropriations (P.L. 114-113)		145	
Spending authority from offsetting collections, mandatory:			
1800 Collected	478		
1900 Budget authority (total)	478	145	
1930 Total budgetary resources available	13,386	8,396	8,251
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8,251	8,251	8,251
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	42,227	43,980	43,980
3010 Obligations incurred, unexpired accounts	5,135	145	
3020 Outlays (gross)	-1,151	-145	
3040 Recoveries of prior year unpaid obligations, unexpired	-2,231		
3050 Unpaid obligations, end of year	43,980	43,980	43,980
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	42,227	43,980	43,980
3200 Obligated balance, end of year	43,980	43,980	43,980
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross		145	
Outlays, gross:			
4010 Outlays from new discretionary authority		145	
Mandatory:			
4090 Budget authority, gross	478		
Outlays, gross:			
4100 Outlays from new mandatory authority	478		
4101 Outlays from mandatory balances	673		
4110 Outlays, gross (total)	1,151		

## Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:			
4123 Non-Federal sources		-478	
4180 Budget authority, net (total)			145
4190 Outlays, net (total)		673	145

## Memorandum (non-add) entries:

5110 IMF quota reserve tranche increase (P.L. 114-113)		1,172	
5111 IMF quota letter of credit increase (P.L. 114-113)		63,181	
5112 IMF quota reserve tranche, total	8,191	9,363	9,363
5113 IMF quota letter of credit, total	43,957	107,138	107,138

The United States participates in the International Monetary Fund (IMF) through a quota subscription, denominated in Special Drawing Rights (SDRs). Under reforms to IMF quotas decided in 2010, the U.S. quota at the IMF is increased by SDR 40,871,800,000 (approximately \$57 billion) to SDR 82,994,200,000 (approximately \$115 billion). Quotas are the main metric used by the Fund to assign voting shares and to determine countries' contributions to the IMF's general resources and access to IMF financing.

The use of the U.S. quota resources by the IMF constitute an exchange of monetary assets and does not result in net budget outlays. When the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves. The U.S. reserve position in the IMF is readily available to meet a U.S. balance-of-payments financing need.

In 2010, G-20 Leaders and the IMF membership decided on a set of quota and governance reforms designed to enhance IMF effectiveness. The United States successfully achieved its negotiating priorities during this process: (1) a U.S. quota increase with a corresponding equivalent rollback in U.S. participation in the IMF's New Arrangements to Borrow (NAB) for no change in overall U.S. financial participation in the IMF; and (2) preservation of U.S. veto power in the IMF.

Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114-113) directs that the budgetary authority and outlays of the 2016 quota increase be recorded on a present value basis with a fair value premium added to the discount rate. In addition, under the Act, the 2009 quota increase is also now executed on a present value basis.

For additional information, see the account entitled "United States Quota IMF Direct Loan Program Account", which addresses the 2009 appropriation, and on the budgetary treatment of the IMF, including the use of present value, see the Budget Concepts chapter of Analytical Perspectives volume.

## Object Classification (in millions of dollars)

Identification code 011-0003-0-1-155	2015 actual	2016 est.	2017 est.
Direct obligations:			
33.0 Investments and loans	5,135		
33.0 Present Value (P.L. 114-113)		145	
99.9 Total new obligations	5,135	145	

## UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT

For an increase in the United States quota in the International Monetary Fund, the dollar equivalent of 40,871,800,000 Special Drawing Rights, to remain available until expended: *Provided*, That notwithstanding the provisos under the heading "International Assistance Programs—International Monetary Programs—United States Quota, International Monetary Fund" in the Supplemental Appropriations Act, 2009 (Public Law 111-32), the costs of the amounts provided under this heading in this Act and in Public Law 111-32 shall be estimated on a present value basis, excluding administrative costs and any incidental effects on governmental receipts or outlays: *Provided further*, That for purposes of the previous proviso, the discount rate for purposes of the present value calculation shall be the appropriate interest rate on marketable Treasury securities, adjusted for market risk: *Provided further*, That such amount is designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit

Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount, and the related amount to be rescinded under the heading "Loans to the International Monetary Fund Direct Loan Program Account", as an emergency requirement pursuant to section 251(b)(2)(A)(i) and transmits such designation to the Congress.】 (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-0006-0-1-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	61		
0706 Interest on reestimates of direct loan subsidy .....	2		
0900 Total new obligations (object class 41.0) .....	63		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	19	
1021 Recoveries of prior year unpaid obligations .....	7	122	
1029 Other balances withdrawn to Treasury .....		-141	
1050 Unobligated balance (total) .....	19		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	63		
1900 Budget authority (total) .....	63		
1930 Total budgetary resources available .....	82		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	129	122	
3010 Obligations incurred, unexpired accounts .....	63		
3020 Outlays (gross) .....	-63		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-122	
3050 Unpaid obligations, end of year .....	122		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	129	122	
3200 Obligated balance, end of year .....	122		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	63		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	63		
4180 Budget authority, net (total) .....	63		
4190 Outlays, net (total) .....	63		
<b>Memorandum (non-add) entries:</b>			
5116 IMF quota .....	7,766		

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 011-0006-0-1-155	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Quota .....	63		

The Supplemental Appropriations Act of 2009 (Public Law 111-32) provided authorization and appropriations for an increase in the U.S. quota to the IMF by the dollar equivalent of SDR 4,973,100,000 (about \$6.9 billion as of December 31, 2015). This increase in the U.S. quota entered into effect on March 25, 2011.

While the U.S. quota in the IMF is not a credit program, the Supplemental Appropriations Act of 2009 directed that the 2009 appropriation to increase the U.S. quota in the IMF be scored on a credit reform basis, per the Federal Credit Reform Act of 1990, as amended (FCRA), with an additional adjustment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 quota appropriation was a significant change in the budgetary treatment of the U.S. quota to the IMF that only applied to the 2009 appropriations. Pursuant to Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114-113), the 2009 direction to apply FCRA rules no longer

applies to the 2009 quota appropriation, and the account will be closed in 2016.

For additional information, see the account entitled "United States Quota, International Monetary Fund". See also the discussion of the IMF budgetary treatment in the Budget Concepts chapter of the Analytical Perspectives volume.

## UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 011-4383-0-3-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	577		
0713 Payment of interest to Treasury .....	1		
0900 Total new obligations .....	578		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	41	
1021 Recoveries of prior year unpaid obligations .....	295	5,792	
1023 Unobligated balances applied to repay debt .....	-52		
1024 Unobligated balance of borrowing authority withdrawn .....	-288	-5,669	
1029 Other balances withdrawn to Treasury .....		-164	
1050 Unobligated balance (total) .....	7		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	577		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	640	1,267	
1801 Change in uncollected payments, Federal sources .....	-7	-122	
1825 Spending authority from offsetting collections applied to repay debt .....	-598	-1,145	
1850 Spending auth from offsetting collections, mand (total) .....	35		
1900 Budget authority (total) .....	612		
1930 Total budgetary resources available .....	619		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	41		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,510	5,792	
3010 Obligations incurred, unexpired accounts .....	578		
3020 Outlays (gross) .....	-1		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-295	-5,792	
3050 Unpaid obligations, end of year .....	5,792		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-129	-122	
3070 Change in uncollected pymts, Fed sources, unexpired .....	7	122	
3090 Uncollected pymts, Fed sources, end of year .....	-122		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,381	5,670	
3200 Obligated balance, end of year .....	5,670		
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	612		
Financing disbursements:			
4110 Outlays, gross (total) .....	1		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-63	-1,256	
4123 Non-Federal sources .....	-577	-11	
4130 Offsets against gross budget authority and outlays (total) ....	-640	-1,267	
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	7	122	
4160 Budget authority, net (mandatory) .....	-21	-1,145	
4170 Outlays, net (mandatory) .....	-639	-1,267	
4180 Budget authority, net (total) .....	-21	-1,145	
4190 Outlays, net (total) .....	-639	-1,267	

## UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT—Continued

## Status of Direct Loans (in millions of dollars)

Identification code 011-4383-0-3-155	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	577		
1150 Total direct loan obligations .....	577		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,995	1,344	
1251 Repayments: Repayments and prepayments .....	-577	-1,344	
1262 Adjustments: Discount on loan asset sales to the public or discounted .....	-74		
1290 Outstanding, end of year .....	1,344		

As directed by the Supplemental Appropriations Act of 2009 (Public Law 111-32), this non-budgetary account recorded all cash flows to and from the Government resulting from the 2009 increase in the U.S. quota in the IMF, consistent with Federal Credit Reform Act (FCRA) rules. The amounts in this account are a means of financing and do not affect the deficit and are not included in the budget totals.

While the U.S. quota in the IMF is not a credit program, the Supplemental Appropriations Act of 2009 directed that the 2009 appropriation to increase the U.S. quota in the IMF be scored on a FCRA basis, with an additional adjustment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 quota appropriation was a significant change in the budgetary treatment of the U.S. quota to the IMF that only applied to the 2009 appropriations. Pursuant to Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114-113), the 2009 direction to apply FCRA rules no longer applies to the 2009 quota appropriation, and the account will be closed in 2016.

## Balance Sheet (in millions of dollars)

Identification code 011-4383-0-3-155	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	52	42
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,995	1,344
1405 Allowance for subsidy cost (-) .....	-99	-88
1499 Net present value of assets related to direct loans .....	1,896	1,256
1999 Total assets .....	1,948	1,298
LIABILITIES:		
2103 Federal liabilities: Debt .....	1,948	1,298
4999 Total liabilities and net position .....	1,948	1,298

## LOANS TO INTERNATIONAL MONETARY FUND

## Program and Financing (in millions of dollars)

Identification code 011-0074-0-1-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	10,563		
0900 Total new obligations (object class 33.0) .....	10,563		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10,563		
1033 Recoveries of prior year paid obligations .....		60	
1050 Unobligated balance (total) .....	10,563	60	
Budget authority:			
Appropriations, discretionary:			
1131 Present Value Appropriations (PL 114-113) .....		-60	
1930 Total budgetary resources available .....	10,563		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		10,563	10,563

3010 Obligations incurred, unexpired accounts .....	10,563		
3050 Unpaid obligations, end of year .....	10,563	10,563	10,563
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		10,563	10,563
3200 Obligated balance, end of year .....	10,563	10,563	10,563

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		-60	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-60	
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....		60	
4070 Budget authority, net (discretionary) .....		-60	
4080 Outlays, net (discretionary) .....		-60	
4180 Budget authority, net (total) .....		-60	
4190 Outlays, net (total) .....		-60	

## Memorandum (non-add) entries:

5114 New Arrangements to Borrow (PL 114-113) .....		30,628	
5115 New Arrangements to Borrow, total .....	10,563	41,191	41,191

The General Arrangements to Borrow (GAB) were established in 1962 by 10 industrial countries, including the United States, as a means of supplementing the IMF's quota resources to forestall or cope with an impairment of the international monetary system. GAB participants decided in early 1983 to increase their financial commitments to the GAB from approximately SDR 6.3 billion to SDR 17 billion (about \$17.9 billion at that time), with the U.S. share rising from SDR 1.9 billion to approximately SDR 4.25 billion (about \$5.9 billion as of December 31, 2015).

In January 1997, the Executive Board of the IMF approved the creation of the New Arrangements to Borrow (NAB), which is a standing arrangement among certain IMF members to supplement the IMF's quota resources as needed to forestall or cope with an impairment of the international monetary system or to deal with an exceptional situation that poses a threat to the stability of the system. The NAB became effective on November 17, 1998, and was activated for the first time in December 1998 to finance an IMF arrangement for Brazil. The IMF repaid the NAB participants in March 1999. From 1999 through March 2011 the NAB was not activated.

In 2015, forty countries and institutions participated in the NAB for a total of SDR 370 billion (about \$513 billion as of December 31, 2015), of which the U.S. share in 2015 was approximately SDR 69 billion (about \$96 billion as of December 31, 2015). After the activation period from October 2014 expired on March 31, 2015, the IMF activated the NAB for two additional six-month periods in 2015, commencing on April 1 and October 1. As of end 2015, the IMF had accessed SDR 6.7 billion (about \$9 billion) of the U.S. arrangement under the NAB.

The sum of U.S. resources made available to the IMF under the NAB and GAB cannot exceed the total U.S. NAB participation.

With respect to this account, resources provided by the United States under the GAB and NAB constitute an exchange of monetary assets and do not result in any net budgetary outlays because such transactions result in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the GAB and NAB are readily available to meet a U.S. balance-of-payments financing need.

In 2010, G-20 Leaders and the IMF membership decided on a set of quota and governance reforms designed to enhance IMF effectiveness. The United States successfully achieved its negotiating priorities during this process: (1) a U.S. quota increase with a corresponding equivalent rollback in U.S. participation in the IMF's NAB for no change in overall U.S. financial participation in the IMF; and (2) preservation of U.S. veto power in the IMF.

Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114-113) rescinds SDR 40,871,800,000 from U.S. participation in the NAB. The Act also directs that the budget authority and outlays of the NAB rescission be recorded

on a present value basis with a fair value premium added to the discount rate. In addition, under the Act, the 2009 NAB increase is also now executed on a present value basis.

For additional information, see the account entitled "Loans to IMF Direct Loan Program Account", which addresses the 2009 appropriation, and for the budgetary treatment of the IMF, including the use of present value, see the Budget Concepts chapter of Analytical Perspectives volume.

## LOANS TO THE INTERNATIONAL MONETARY FUND

## DIRECT LOAN PROGRAM ACCOUNT

## [(INCLUDING RESCISSION OF FUNDS)]

[Of the amounts provided under the heading "International Assistance Programs—International Monetary Programs—Loans to International Monetary Fund" in the Supplemental Appropriations Act, 2009 (Public Law 111–32), the dollar equivalent of 40,871,800,000 Special Drawing Rights is hereby permanently rescinded as of the date when the rollback of the United States credit arrangement in the New Arrangements to Borrow of the International Monetary Fund is effective, but no earlier than when the increase of the United States quota authorized in section 72 of the Bretton Woods Agreements Act (22 U.S.C. 286 et seq.) becomes effective: *Provided*, That notwithstanding the second through fourth provisos under the heading "International Assistance Programs—International Monetary Programs—Loans to International Monetary Fund" in Public Law 111–32, the costs of the amounts under this heading in this Act and in Public Law 111–32 shall be estimated on a present value basis, excluding administrative costs and any incidental effects on governmental receipts or outlays: *Provided further*, That for purposes of the previous proviso, the discount rate for purposes of the present value calculation shall be the appropriate interest rate on marketable Treasury securities, adjusted for market risk: *Provided further*, That such amount is designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be rescinded only if the President designates such amount as an emergency requirement pursuant to section 251(b)(2)(A)(i) and transmits such designation to the Congress.] (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 011–0085–0–1–155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	140		
0706 Interest on reestimates of direct loan subsidy .....	3		
0900 Total new obligations (object class 41.0) .....	143		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	41	
1021 Recoveries of prior year unpaid obligations .....	16	284	
1029 Other balances withdrawn to Treasury .....		–325	
1050 Unobligated balance (total) .....	41		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	143		
1900 Budget authority (total) .....	143		
1930 Total budgetary resources available .....	184		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	41		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	300	284	
3010 Obligations incurred, unexpired accounts .....	143		
3020 Outlays (gross) .....	–143		
3040 Recoveries of prior year unpaid obligations, unexpired .....	–16	–284	
3050 Unpaid obligations, end of year .....	284		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	300	284	
3200 Obligated balance, end of year .....	284		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	143		

Outlays, gross:			
4100 Outlays from new mandatory authority .....	143		
4180 Budget authority, net (total) .....	143		
4190 Outlays, net (total) .....	143		

<b>Memorandum (non-add) entries:</b>			
5115 New Arrangements to Borrow, total .....	88,001		

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 011–0085–0–1–155	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 NAB .....	143		

At the G-20 Leaders' Summit in London in April 2009, the President secured agreement to expand participation and increase the size of the New Arrangements to Borrow (NAB) by up to \$500 billion to restore global confidence and ensure the IMF has adequate resources to play its central role in resolving and preventing the spread of international economic and financial crises. As part of this agreement, the United States committed to increase its participation in the NAB by up to \$100 billion, which required congressional action. The Supplemental Appropriations Act of 2009 (Public Law 111–32) provided authorization and appropriations for an increase in the United States participation in the NAB by up to SDR 75 billion. This SDR amount was subject, as a practical matter, to the public commitment to an increase by up to \$100 billion. This increase in the U.S. participation in the NAB, equivalent to SDR 62.4 billion, entered into effect on March 11, 2011.

While the U.S. participation in the NAB is not a credit program, the Supplemental Appropriations Act of 2009 (Public Law 111–32), directed the 2009 increase in the U.S. participation in the NAB to be scored on a Federal Credit Reform Act (FCRA) basis, including an adjustment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 NAB appropriation was a significant change in the budgetary treatment of the U.S. participation in the NAB that only applied to the 2009 appropriations. Pursuant to Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114–113), the 2009 direction to apply FCRA rules no longer applies to the 2009 quota appropriation, and the account will be closed in 2016.

For additional information about NAB, see the account entitled "Loans to International Monetary Fund". See also the discussion of the IMF budgetary treatment in the Budget Concepts chapter of the Analytical Perspectives volume.

## LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011–4384–0–3–155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2		
0900 Total new obligations .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	146	
1021 Recoveries of prior year unpaid obligations .....	4,697	83,630	
1024 Unobligated balance of borrowing authority withdrawn .....	–4,681	–81,830	
1029 Other balances withdrawn to Treasury .....		–1,946	
1050 Unobligated balance (total) .....	18		
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	146	4,270	
1801 Change in uncollected payments, Federal sources .....	–16	–284	
1825 Spending authority from offsetting collections applied to repay debt .....		–3,986	
1850 Spending auth from offsetting collections, mand (total) .....	130		

## LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT—Continued

## Program and Financing—Continued

Identification code 011-4384-0-3-155	2015 actual	2016 est.	2017 est.
1900 Budget authority (total) .....	130		
1930 Total budgetary resources available .....	148		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	146		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	88,327	83,630	
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-2		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4,697	-83,630	
3050 Unpaid obligations, end of year .....	83,630		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-300	-284	
3070 Change in uncollected pymts, Fed sources, unexpired .....	16	284	
3090 Uncollected pymts, Fed sources, end of year .....	-284		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	88,027	83,346	
3200 Obligated balance, end of year .....	83,346		

## Financing authority and disbursements, net:

Identification code 011-4384-0-3-155	2015 actual	2016 est.	2017 est.
Mandatory:			
4090 Budget authority, gross .....	130		
Financing disbursements:			
4110 Outlays, gross (total) .....	2		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-145	-4,237	
4122 Interest on uninvested funds .....	-1	-33	
4130 Offsets against gross budget authority and outlays (total) ...	-146	-4,270	
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	16	284	
4160 Budget authority, net (mandatory) .....		-3,986	
4170 Outlays, net (mandatory) .....	-144	-4,270	
4180 Budget authority, net (total) .....		-3,986	
4190 Outlays, net (total) .....	-144	-4,270	

## Status of Direct Loans (in millions of dollars)

Identification code 011-4384-0-3-155	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	4,371	4,371	
1251 Repayments: Repayments and prepayments .....		-4,371	
1290 Outstanding, end of year .....	4,371		

As directed by the Supplemental Appropriations Act of 2009 (Public Law 111-32), this non-budgetary account recorded all cash flows to and from the Government resulting from the 2009 increase in U.S. participation in the New Arrangements to Borrow (NAB), consistent with Federal Credit Reform Act (FCRA) rules. The amounts in this account are a means of financing and do not affect the deficit and are not included in the budget totals.

While the U.S. participation in the NAB is not a credit program, the Supplemental Appropriations Act of 2009 directed that the 2009 appropriation to increase the U.S. participation in the NAB be scored on a FCRA basis, with an additional adjustment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 NAB appropriation was a significant change in the budgetary treatment of the U.S. participation in the NAB that only applied to the 2009 appropriations. Pursuant to Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114-113), the 2009 direction to apply FCRA rules no longer applies to the 2009 NAB appropriation, and the account will be closed in 2016.

## Balance Sheet (in millions of dollars)

Identification code 011-4384-0-3-155	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	1,518	1,662
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	4,371	4,371
1405 Allowance for subsidy cost (-) .....	10	-134
1499 Net present value of assets related to direct loans .....	4,381	4,237
1999 Total upward reestimate subsidy BA [11-0085] .....	5,899	5,899
LIABILITIES:		
2103 Federal liabilities: Debt .....	5,899	5,899
4999 Total liabilities and net position .....	5,899	5,899

## MILITARY SALES PROGRAM

## Federal Funds

## SPECIAL DEFENSE ACQUISITION FUND

## Program and Financing (in millions of dollars)

Identification code 011-4116-0-3-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Special Defense Acquisition Fund (Reimbursable) .....	62	450	900
0900 Total new obligations (object class 25.3) .....	62	450	900
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	112	158	165
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	114	158	165
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	106	457	909
1900 Budget authority (total) .....	106	457	909
1930 Total budgetary resources available .....	220	615	1,074
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	158	165	174
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	50	36	107
3010 Obligations incurred, unexpired accounts .....	62	450	900
3020 Outlays (gross) .....	-74	-379	-796
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	36	107	211
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	50	36	107
3200 Obligated balance, end of year .....	36	107	211
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	106	457	909
Outlays, gross:			
4010 Outlays from new discretionary authority .....		343	682
4011 Outlays from discretionary balances .....	74	36	114
4020 Outlays, gross (total) .....	74	379	796
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-106	-457	-909
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-32	-78	-113

The Special Defense Acquisition Fund (SDAF) helps to better support coalition and other U.S. partners participating in U.S. overseas contingency and other operations; and expedite the procurement of defense articles for provision to foreign nations and international organizations. The FY 2017 request reflects \$900 million in new SDAF obligation authority, to be funded by offsetting collections. In FY 2017, offsetting collections will be derived from SDAF sales of stock as well as other receipts consistent with section 51(b) of the Arms Export Control Act. The FY 2017 request will support advance purchases of high-demand equipment that has long pro-

curement lead times, which is often the main limiting factor in our ability to provide coalition partners with critical equipment to make them operationally effective in a timely manner. Improving the mechanism for supporting U.S. partners is a high priority for both the Departments of State and Defense.

**Trust Funds**

FOREIGN MILITARY SALES TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 011-8242-0-7-155	2015 actual	2016 est.	2017 est.
0100 Balance, start of year		461	9,745
Receipts:			
Current law:			
1130 Deposits, Advances, Foreign Military Sales Trust Fund	32,424	35,952	37,415
2000 Total: Balances and receipts	32,424	36,413	47,160
Appropriations:			
Current law:			
2101 Foreign Military Sales Trust Fund	-32,424	-27,118	-26,345
2103 Foreign Military Sales Trust Fund		-11	-11
2132 Foreign Military Sales Trust Fund	11	11	
2134 Foreign Military Sales Trust Fund	450	450	
2199 Total current law appropriations	-31,963	-26,668	-26,356
2999 Total appropriations	-31,963	-26,668	-26,356
5099 Balance, end of year	461	9,745	20,804

**Program and Financing** (in millions of dollars)

Identification code 011-8242-0-7-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Aircraft	17,202	18,613	18,034
0004 Missiles	8,925	9,657	9,357
0005 Communication Equipment	1,337	1,447	1,402
0006 Maintenance and Support Equipment	1,263	1,367	1,324
0007 Special Activities/R&D	1,572	1,701	1,648
0008 Tactical/Support/Combat Vehicles	925	1,001	970
0009 Ammunition	6,238	6,750	6,540
0010 Supplies & Supply Operations	539	583	565
0011 Construction	365	395	383
0012 Weapons	89	96	93
0013 Training	512	554	537
0014 Ships	83	90	87
0015 Administration	904	904	920
0900 Total new obligations (object class 25.2)	39,954	43,158	41,860

**Budgetary resources:**

1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1		1,353	1,353
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	32,424	27,118	26,345
1203 Appropriation (previously unavailable)		11	11
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-11	-11	
1234 Appropriations precluded from obligation	-450	-450	
1238 Appropriations applied to liquidate contract authority	-31,060	-26,668	-26,345
1260 Appropriations, mandatory (total)	903		11
Contract authority, mandatory:			
1600 Contract authority	40,404	43,158	41,860
1900 Budget authority (total)	41,307	43,158	41,871
1930 Total budgetary resources available	41,307	44,511	43,224
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,353	1,353	1,364

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1	132,357	143,894	157,735
3010 Obligations incurred, unexpired accounts	39,954	43,158	41,860
3020 Outlays (gross)	-28,417	-29,317	-38,010
3050 Unpaid obligations, end of year	143,894	157,735	161,585
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	132,357	143,894	157,735
3200 Obligated balance, end of year	143,894	157,735	161,585

**Budget authority and outlays, net:**

4090 Mandatory:			
Budget authority, gross	41,307	43,158	41,871
Outlays, gross:			
4100 Outlays from new mandatory authority		2,373	2,576
4101 Outlays from mandatory balances	28,417	26,944	35,434
4110 Outlays, gross (total)	28,417	29,317	38,010
4180 Budget authority, net (total)	41,307	43,158	41,871
4190 Outlays, net (total)	28,417	29,317	38,010

**Memorandum (non-add) entries:**

5052 Obligated balance, SOY: Contract authority	110,629	119,973	136,463
5053 Obligated balance, EOY: Contract authority	119,973	136,463	151,978

This trust fund facilitates government-to-government sales of defense articles, defense services, and design and construction services. Estimates of sales used in this budget are in millions of dollars:

**ESTIMATES OF NEW SALES**

Estimates of new orders (sales)	2015 actual	2016 est.	2017 est.
	39,954	43,158	41,860

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
011-388044 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1		
071-274930 Overseas Private Investment Corporation Loans, Downward Reestimates of Subsidy	593	394	
071-274910 Overseas Private Investment Corporation Loans, Negative Subsidies	126	218	297
072-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	-2		
072-274430 Urban and Environmental Credit Program, Downward Reestimates of Subsidies	8	4	
072-275230 Development Credit Authority Program Account, Downward Reestimates of Loan Guarantees	17	8	
072-267630 Downward Reestimates, MENA Loan Guarantee Program	27	28	
072-272530 Loan Guarantees to Israel, Downward Reestimates of Subsidies	21	74	
072-273130 Ukraine Loan Guarantees Program, Downward Reestimates			116
072-278530 Loan Guarantees to Egypt, Downward Reestimates of Subsidies			555
072-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	1	1	1
General Fund Offsetting receipts from the public	792	1,398	298
Intragovernmental payments:			
072-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	10		
General Fund Intragovernmental payments	10		

**GENERAL PROVISIONS**

**[ALLOWANCES AND] DIFFERENTIALS**

SEC. 7001. Funds appropriated under title I of this Act shall be available, except as otherwise provided, for allowances and differentials as authorized by subchapter 59 of title 5, United States Code; for services as authorized by section 3109 of such title and for hire of passenger transportation pursuant to section 1343(b) of title 31, United States Code.

**[UNOBLIGATED BALANCES REPORT]**

SEC. 7002. Any department or agency of the United States Government to which funds are appropriated or otherwise made available by this Act shall provide to the Committees on Appropriations a quarterly accounting of cumulative unobligated balances and obligated, but unexpended, balances by program, project, and activity, and Treasury Account Fund Symbol of all funds received by such department or agency in fiscal year 2016 or any previous fiscal year, disaggregated by fiscal year: *Provided*, That the report required by this section should specify by account the amount of funds obligated pursuant to bilateral agreements which have not been further sub-obligated.

## CONSULTING SERVICES

SEC. [7003]7002. The expenditure of any appropriation under title I of this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive Order issued pursuant to existing law.

## DIPLOMATIC FACILITIES

SEC. [7004]7003. (a) CAPITAL SECURITY COST SHARING.—Of funds provided under title I of this Act, except as provided in subsection (b), a project to construct a diplomatic facility of the United States may not include office space or other accommodations for an employee of a Federal agency or department [if] to the extent that the Secretary of State determines that such department or agency has not provided to the Department of State the full amount of funding required by subsection (e) of section 604 of the Secure Embassy Construction and Counterterrorism Act of 1999 (as enacted into law by section 1000(a)(7) of Public Law 106–113 and contained in appendix G of that Act; 113 Stat. 1501A–453), as amended [by section 629 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2005].

(b) EXCEPTION.—Notwithstanding the prohibition in subsection (a), a project to construct a diplomatic facility of the United States may include office space or other accommodations for members of the United States Marine Corps.

(c) NEW DIPLOMATIC FACILITIES.—For the purposes of calculating the fiscal year [2016]2017 costs of providing new United States diplomatic facilities in accordance with section 604(e) of the Secure Embassy Construction and Counterterrorism Act of 1999 (22 U.S.C. 4865 note), the Secretary of State, in consultation with the Director of the Office of Management and Budget, shall determine the annual program level and agency shares in a manner that is proportional to the Department of State's contribution for this purpose.

[(d) CONSULTATION AND NOTIFICATION REQUIREMENTS.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, which may be made available for the acquisition of property or award of construction contracts for overseas diplomatic facilities during fiscal year 2016, shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations: *Provided*, That notifications pursuant to this subsection shall include the information enumerated under the heading "Embassy Security, Construction, and Maintenance" in House Report 114–154: *Provided further*, That any such notification for a new diplomatic facility justified to the Committees on Appropriations in Appendix 1 of the Congressional Budget Justification, Department of State, Diplomatic Engagement, Fiscal Year 2016, or not previously justified to such Committees, shall also include confirmation that the Department of State has completed the requisite value engineering studies required pursuant to OMB Circular A–131, Value Engineering December 31, 2013 and the Bureau of Overseas Building Operations Policy and Procedure Directive, P&PD, Cost 02: Value Engineering.]

[(e) REPORTS.—

(1) None of the funds appropriated under the heading "Embassy Security, Construction, and Maintenance" in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, made available through Federal agency Capital Security Cost Sharing contributions and reimbursements, or generated from the proceeds of real property sales, other than from real property sales located in London, United Kingdom, may be made available for site acquisition and mitigation, planning, design, or construction of the New London Embassy: *Provided*, That the reporting requirement contained in section 7004(f)(2) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall remain in effect during fiscal year 2016.

(2) Within 45 days of enactment of this Act and every 4 months thereafter until September 30, 2016, the Secretary of State shall submit to the Committees on Appropriations a report on the new Mexico City Embassy and Beirut Embassy projects: *Provided*, That such report shall include, for each of the projects—

- (A) cost projections;
- (B) cost containment efforts;
- (C) project schedule and actual project status;
- (D) the impact of currency exchange rate fluctuations on project costs;
- (E) revenues derived from, or estimated to be derived from, real property sales in Mexico City, Mexico for the embassy project in Mexico City and in Beirut, Lebanon for the embassy project in Beirut; and

(F) options for modifying the scope of the project in the event that costs escalate above amounts justified to the Committees on Appropriations in Appendix 1 of the Congressional Budget Justification, Department of State Operations, Fiscal Year 2015 for the Mexico City Embassy project, and in Appendix

1 of the Congressional Budget Justification, Department of State, Diplomatic Engagement, Fiscal Year 2016 for the Beirut Embassy project.]

[(f) INTERIM AND TEMPORARY FACILITIES ABROAD.—

(1) Funds appropriated by this Act under the heading "Embassy Security, Construction, and Maintenance" may be made available to address security vulnerabilities at interim and temporary facilities abroad, including physical security upgrades and local guard staffing, except that the amount of funds made available for such purposes from this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be a minimum of \$25,000,000: *Provided*, That the uses of such funds should be the responsibility of the Assistant Secretary of State for the Bureau of Diplomatic Security and Foreign Missions, in consultation with the Director of the Bureau of Overseas Buildings Operations: *Provided further*, That such funds shall be subject to prior consultation with the Committees on Appropriations.

(2) Notwithstanding any other provision of law, the opening, closure, or any significant modification to an interim or temporary diplomatic facility shall be subject to prior consultation with the appropriate congressional committees and the regular notification procedures of the Committees on Appropriations, except that such consultation and notification may be waived if there is a security risk to personnel.

(3) Not later than 60 days after enactment of this Act, the Department of State shall document standard operating procedures and best practices associated with the delivery, construction, and protection of temporary structures in high threat and conflict environments: *Provided*, That the Secretary of State shall inform the Committees on Appropriations after completing such documentation.]

[(g) TRANSFER AUTHORITY.—Funds appropriated under the heading "Diplomatic and Consular Programs", including for Worldwide Security Protection, and under the heading "Embassy Security, Construction, and Maintenance" in titles I and VIII of this Act may be transferred to, and merged with, funds appropriated by such titles under such headings if the Secretary of State determines and reports to the Committees on Appropriations that to do so is necessary to implement the recommendations of the Benghazi Accountability Review Board, or to prevent or respond to security situations and requirements, following consultation with, and subject to the regular notification procedures of, such Committees: *Provided*, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law.

## PERSONNEL ACTIONS

SEC. [7005]7004. Any costs incurred by a department or agency funded under title I of this Act resulting from personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available under title I to such department or agency: *Provided*, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: *Provided further*, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 7015 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section].

## LOCAL GUARD CONTRACTS

SEC. [7006]7005. In evaluating proposals for local guard contracts, the Secretary of State shall award contracts in accordance with section 136 of the Foreign Relations Authorization Act, Fiscal Years 1990 and 1991 (22 U.S.C. 4864), except that the Secretary may grant authorization to award such contracts on the basis of best value as determined by a cost-technical tradeoff analysis (as described in Federal Acquisition Regulation part 15.101), notwithstanding subsection (c)(3) of such section: *Provided*, That the authority in this section shall apply to any options for renewal that may be exercised under such contracts that are awarded during the current fiscal year: *Provided further*, That the Secretary shall notify the appropriate congressional committees at least 15 days prior to making an award pursuant to this section for a local guard and protective service contract for a United States diplomatic facility not deemed "high-risk, high-threat"].

## PROHIBITION AGAINST DIRECT FUNDING FOR CERTAIN COUNTRIES

SEC. [7007]7006. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance or reparations for the governments of [Cuba,] North Korea, Iran, or Syria: *Provided*, That for purposes of this section, the prohibition on obligations or expenditures shall include direct loans, credits, insurance, and guarantees of the Export-Import Bank or its agents.

## COUPS D'ETAT

SEC. [7008]7007. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated [or expended] to finance directly any assistance to the government of any country whose duly elected head of government is deposed by military coup d'tat or decree or, after the date of

enactment of this Act, a coup d'tat or decree in which the military plays a decisive role: *Provided*, That assistance may be resumed to such government if the Secretary of State certifies and reports to the appropriate congressional committees that subsequent to the termination of assistance a democratically elected government has taken office or that provision of assistance is in the national interest of the United States: *Provided further*, That the provisions of this section shall not apply to assistance to promote democratic elections or public participation in democratic processes: *Provided further*, That funds made available pursuant to the previous provisions shall be subject to the regular notification procedures of the Committees on Appropriations].

## TRANSFER AUTHORITY

SEC. [7009]7008. (a) DEPARTMENT OF STATE AND BROADCASTING BOARD OF GOVERNORS.—

(1) Not to exceed 5 percent of any [appropriation made] *appropriations* available for the current fiscal year for the Department of State under title I of this Act may be transferred between, and merged with, such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers], and no such transfer may be made to increase the appropriation under the heading "Representation Expenses"]].

(2) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Broadcasting Board of Governors under title I of this Act may be transferred between, and merged with, such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(3) Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section [7015]7011 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

(b) TITLE VI TRANSFER AUTHORITIES.—Not to exceed 5 percent of any appropriation other than for administrative expenses made available for fiscal year [2016]2017, for programs under title VI of this Act may be transferred between such appropriations for use for any of the purposes, programs, and activities for which the funds in such receiving account may be used, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 25 percent by any such transfer: *Provided*, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.

[(c) LIMITATION ON TRANSFERS BETWEEN AGENCIES.—

(1) None of the funds made available under titles II through V of this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.

(2) Notwithstanding paragraph (1), in addition to transfers made by, or authorized elsewhere in, this Act, funds appropriated by this Act to carry out the purposes of the Foreign Assistance Act of 1961 may be allocated or transferred to agencies of the United States Government pursuant to the provisions of sections 109, 610, and 632 of the Foreign Assistance Act of 1961.

(3) Any agreement entered into by the United States Agency for International Development (USAID) or the Department of State with any department, agency, or instrumentality of the United States Government pursuant to section 632(b) of the Foreign Assistance Act of 1961 valued in excess of \$1,000,000 and any agreement made pursuant to section 632(a) of such Act, with funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Global Health Programs", "Development Assistance", "Economic Support Fund", and "Assistance for Europe, Eurasia and Central Asia" shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided*, That the requirement in the previous sentence shall not apply to agreements entered into between USAID and the Department of State.]

[(d) TRANSFERS BETWEEN ACCOUNTS.—None of the funds made available under titles II through V of this Act may be obligated under an appropriation account to which such funds were not appropriated, except for transfers specifically provided for in this Act, unless the President, not less than 5 days prior to the exercise of any authority contained in the Foreign Assistance Act of 1961 to transfer funds, consults with and provides a written policy justification to the Committees on Appropriations.]

[(e)c] AUDIT OF INTER-AGENCY TRANSFERS.—Any agreement for the transfer or allocation of funds appropriated by this Act, or prior Acts, entered into between the Department of State or USAID and another agency of the United States Government under the authority of section 632(a) of the Foreign Assistance Act of 1961 or any comparable provision of law, shall expressly provide that the Inspector

General (IG) for the agency receiving the transfer or allocation of such funds, or other entity with audit responsibility if the receiving agency does not have an IG, shall perform periodic program and financial audits of the use of such funds and report to the Department of State or USAID, as appropriate, upon completion of such audits: *Provided*, [That such audits shall be transmitted to the Committees on Appropriations by the Department of State or USAID, as appropriate: *Provided further*,] That funds transferred under such authority may be made available for the cost of such audits.

[(f) REPORT.—Not later than 90 days after enactment of this Act, the Secretary of State and the USAID Administrator shall each submit a report to the Committees on Appropriations detailing all transfers to another agency of the United States Government made pursuant to sections 632(a) and 632(b) of the Foreign Assistance Act of 1961 with funds provided in the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) as of the date of enactment of this Act: *Provided*, That such reports shall include a list of each transfer made pursuant to such sections with the respective funding level, appropriation account, and the receiving agency.]

## [PROHIBITION ON FIRST-CLASS TRAVEL]

[SEC. 7010. None of the funds made available in this Act may be used for first-class travel by employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.]

## AVAILABILITY OF FUNDS

SEC. [7011]7009. No part of any appropriation contained in this Act shall remain available for obligation after the expiration of the current fiscal year unless expressly so provided in this Act: *Provided*, That funds appropriated for the purposes of chapters 1 and 8 of part I, [section]sections 661 and 667, chapters 4, 5, 6, 8, and 9 of part II of the Foreign Assistance Act of 1961, section 23 of the Arms Export Control Act, and funds provided under the [headings]heading "Development Credit Authority" [and "Assistance for Europe, Eurasia and Central Asia"] shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially obligated before the expiration of their respective periods of availability contained in this Act: *Provided further*, That notwithstanding any other provision of this Act, any funds made available for the purposes of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 which are allocated or obligated for cash disbursements in order to address balance of payments or economic policy reform objectives, shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially allocated or obligated before the expiration of their respective periods of availability contained in this Act: *Provided further*, That the Secretary of State shall provide a report to the Committees on Appropriations not later than October 30, 2016, detailing by account and source year, the use of this authority during the previous fiscal year].

## [LIMITATION ON ASSISTANCE TO COUNTRIES IN DEFAULT]

[SEC. 7012. No part of any appropriation provided under titles III through VI in this Act shall be used to furnish assistance to the government of any country which is in default during a period in excess of 1 calendar year in payment to the United States of principal or interest on any loan made to the government of such country by the United States pursuant to a program for which funds are appropriated under this Act unless the President determines, following consultations with the Committees on Appropriations, that assistance for such country is in the national interest of the United States.]

## [PROHIBITION ON TAXATION OF UNITED STATES ASSISTANCE]

[SEC. 7013. (a) PROHIBITION ON TAXATION.—None of the funds appropriated under titles III through VI of this Act may be made available to provide assistance for a foreign country under a new bilateral agreement governing the terms and conditions under which such assistance is to be provided unless such agreement includes a provision stating that assistance provided by the United States shall be exempt from taxation, or reimbursed, by the foreign government, and the Secretary of State shall expeditiously seek to negotiate amendments to existing bilateral agreements, as necessary, to conform with this requirement.

(b) REIMBURSEMENT OF FOREIGN TAXES.—An amount equivalent to 200 percent of the total taxes assessed during fiscal year 2016 on funds appropriated by this Act by a foreign government or entity against United States assistance programs for which funds are appropriated by this Act, either directly or through grantees, contractors, and subcontractors shall be withheld from obligation from funds appropriated for assistance for fiscal year 2017 and allocated for the central government of such country and for the West Bank and Gaza program to the extent that the Secretary of State certifies and reports in writing to the Committees on Appropriations, not later than September 30, 2017, that such taxes have not been reimbursed to the Government of the United States.

(c) DE MINIMIS EXCEPTION.—Foreign taxes of a de minimis nature shall not be subject to the provisions of subsection (b).

(d) REPROGRAMMING OF FUNDS.—Funds withheld from obligation for each country or entity pursuant to subsection (b) shall be reprogrammed for assistance for countries which do not assess taxes on United States assistance or which have an effective arrangement that is providing substantial reimbursement of such taxes, and that can reasonably accommodate such assistance in a programmatically responsible manner.

(e) DETERMINATIONS.—

(1) The provisions of this section shall not apply to any country or entity if the Secretary of State reports to the Committees on Appropriations that—

(A) such country or entity does not assess taxes on United States assistance or has an effective arrangement that is providing substantial reimbursement of such taxes; or

(B) the foreign policy interests of the United States outweigh the purpose of this section to ensure that United States assistance is not subject to taxation.

(2) The Secretary of State shall consult with the Committees on Appropriations at least 15 days prior to exercising the authority of this subsection with regard to any country or entity.

(f) IMPLEMENTATION.—The Secretary of State shall issue rules, regulations, or policy guidance, as appropriate, to implement the prohibition against the taxation of assistance contained in this section.

(g) DEFINITIONS.—As used in this section—

(1) the term "bilateral agreement" refers to a framework bilateral agreement between the Government of the United States and the government of the country receiving assistance that describes the privileges and immunities applicable to United States foreign assistance for such country generally, or an individual agreement between the Government of the United States and such government that describes, among other things, the treatment for tax purposes that will be accorded the United States assistance provided under that agreement; and

(2) the term "taxes and taxation" shall include value added taxes and customs duties but shall not include individual income taxes assessed to local staff.

(h) REPORT.—The Secretary of State, in consultation with the heads of other relevant departments or agencies, shall submit a report to the Committees on Appropriations, not later than 90 days after the enactment of this Act, detailing steps taken by such departments or agencies to comply with the requirements of this section.】

#### RESERVATIONS OF FUNDS

SEC. 【7014】7010. (a) REPROGRAMMING.—Funds appropriated under titles III through VI of this Act which are specifically designated may be reprogrammed for other programs within the same account notwithstanding the designation if compliance with the designation is made impossible by operation of any provision of this or any other Act or by a significant change in circumstance as determined by the Secretary of State: *Provided*, That any such reprogramming shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That assistance that is reprogrammed pursuant to this subsection shall be made available under the same terms and conditions as originally provided.

(b) EXTENSION OF AVAILABILITY.—In addition to the authority contained in subsection (a), the original period of availability of funds appropriated by this Act and administered by the Department of State or the United States Agency for International Development (USAID) that are specifically designated for particular programs or activities by this or any other Act may be extended for an additional fiscal year if the Secretary of State or the USAID Administrator, as appropriate, determines and reports promptly to the Committees on Appropriations that the termination of assistance to a country or a significant change in circumstances makes it unlikely that such designated funds can be obligated during the original period of availability: *Provided*, That such designated funds that continue to be available for an additional fiscal year shall be obligated only for the purpose of such designation.

(c) OTHER ACTS.—Ceilings and specifically designated funding levels contained in this Act shall not be applicable to funds or authorities appropriated or otherwise made available by any subsequent Act unless such Act specifically so directs: *Provided*, That specifically designated funding levels or minimum funding requirements contained in any other Act shall not be applicable to funds appropriated by this Act.

#### NOTIFICATION REQUIREMENTS

SEC. 【7015】7011. (a) NOTIFICATION OF CHANGES IN PROGRAMS, PROJECTS, AND ACTIVITIES.—None of the funds made available in titles I and II of this Act, or in prior appropriations Acts to the agencies and departments funded by this Act that remain available for obligation in fiscal year 【2016】2017, or provided from any accounts in the Treasury of the United States derived by the collection of fees or of currency reflows or other offsetting collections, or made available by transfer, to the agencies and departments funded by this Act, shall be available for obligation 【to】through a reprogramming of funds that—

(1) create new programs;

(2) eliminate a program, project, or activity;

(3) close, 【suspend,】 open, or reopen a mission or post;

(4) create, close, reorganize, or rename bureaus, centers, or offices; or

(5) contract out or privatize any functions or activities presently performed by Federal employees; unless previously justified to the Committees on Appropriations or such Committees are notified 15 days in advance of such obligation.

(b) NOTIFICATION OF REPROGRAMMING OF FUNDS.—None of the funds provided under titles I and II of this Act, or provided under previous appropriations Acts to the agency or department funded under titles I and II of this Act that remain available for obligation in fiscal year 【2016】2017, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agency or department funded under title I of this Act, shall be available for obligation 【or expenditure】 for activities, programs, or projects through a reprogramming of funds in excess of \$1,000,000 or 10 percent, whichever is less, that—

(1) augments or changes existing programs, projects, or activities;

【(2) relocates an existing office or employees;】

【(3)】2 reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or

【(4)】3 results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, activities, or projects as approved by Congress;

unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(c) NOTIFICATION REQUIREMENT.—None of the funds made available by this Act under the headings "Global Health Programs", "Development Assistance", "International Organizations and Programs", "Trade and Development Agency", "International Narcotics Control and Law Enforcement", "Economic Support Fund", 【"Democracy Fund", "Assistance for Europe, Eurasia and Central Asia",】 "Peacekeeping Operations", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Millennium Challenge Corporation", "Foreign Military Financing Program", "International Military Education and Training", and "Peace Corps", shall be available for obligation for activities, programs, projects, type of materiel assistance, countries, or other operations not justified or in excess of the amount justified to the Committees on Appropriations for obligation under any of these specific headings unless the Committees on Appropriations are notified 15 days in advance: *Provided*, That the President shall not enter into any commitment of funds appropriated for the purposes of section 23 of the Arms Export Control Act for the provision of major defense equipment, other than conventional ammunition, or other major defense items defined to be aircraft, ships, missiles, or combat vehicles, not previously justified to Congress or 20 percent in excess of the quantities justified to Congress unless the Committees on Appropriations are notified 15 days in advance of such commitment: *Provided further*, That requirements of this subsection or any similar provision of this or any other Act shall not apply to any reprogramming for an activity, program, or project for which funds are appropriated under titles III through VI of this Act of less than 10 percent of the amount previously justified to Congress for obligation for such activity, program, or project for the current fiscal year【: *Provided further*, That any notification submitted pursuant to subsection (f) of this section shall include information (if known on the date of transmittal of such notification) on the use of notwithstanding authority: *Provided further*, That if subsequent to the notification of assistance it becomes necessary to rely on notwithstanding authority, the Committees on Appropriations should be informed at the earliest opportunity and to the extent practicable】.

【(d) NOTIFICATION OF TRANSFER OF FUNDS.—Notwithstanding any other provision of law, with the exception of funds transferred to, and merged with, funds appropriated under title I of this Act, funds transferred by the Department of Defense to the Department of State and the United States Agency for International Development for assistance for foreign countries and international organizations, and funds made available for programs previously authorized under section 1206 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109–163) or section 2282 of title 10, United States Code, shall be subject to the regular notification procedures of the Committees on Appropriations.】

【(e)】d WAIVER.—The requirements of this section or any similar provision of this Act or any other Act, including any prior Act requiring notification in accordance with the regular notification procedures of the Committees on Appropriations, may be waived if failure to do so would pose a substantial risk to human health or welfare: *Provided*, That in case of any such waiver, notification to the Committees on Appropriations shall be provided as early as practicable, but in no event later than 3 days after taking the action to which such notification requirement was applicable, in the context of the circumstances necessitating such waiver:

*Provided further*, That any notification provided pursuant to such a waiver shall contain an explanation of the emergency circumstances.

**[(f) COUNTRY NOTIFICATION REQUIREMENTS.—**None of the funds appropriated under titles III through VI of this Act may be obligated or expended for assistance for Afghanistan, Bahrain, Bolivia, Burma, Cambodia, Colombia, Cuba, Ecuador, Egypt, El Salvador, Ethiopia, Guatemala, Haiti, Honduras, Iran, Iraq, Lebanon, Libya, Mexico, Pakistan, the Russian Federation, Somalia, South Sudan, Sri Lanka, Sudan, Syria, Uzbekistan, Venezuela, Yemen, and Zimbabwe except as provided through the regular notification procedures of the Committees on Appropriations.]

**[(g) WITHHOLDING OF FUNDS.—**Funds appropriated by this Act under titles III and IV that are withheld from obligation or otherwise not programmed as a result of application of a provision of law in this or any other Act shall, if reprogrammed, be subject to the regular notification procedures of the Committees on Appropriations.]

**[NOTIFICATION ON EXCESS DEFENSE EQUIPMENT]**

**[SEC. 7016.** Prior to providing excess Department of Defense articles in accordance with section 516(a) of the Foreign Assistance Act of 1961, the Department of Defense shall notify the Committees on Appropriations to the same extent and under the same conditions as other committees pursuant to subsection (f) of that section: *Provided*, That before issuing a letter of offer to sell excess defense articles under the Arms Export Control Act, the Department of Defense shall notify the Committees on Appropriations in accordance with the regular notification procedures of such Committees if such defense articles are significant military equipment (as defined in section 47(9) of the Arms Export Control Act) or are valued (in terms of original acquisition cost) at \$7,000,000 or more, or if notification is required elsewhere in this Act for the use of appropriated funds for specific countries that would receive such excess defense articles: *Provided further*, That such Committees shall also be informed of the original acquisition cost of such defense articles.]

**[LIMITATION ON AVAILABILITY OF FUNDS FOR INTERNATIONAL ORGANIZATIONS AND PROGRAMS]**

**SEC. [7017] 7012.** Subject to the regular notification procedures of the Committees on Appropriations, funds appropriated under titles [I and] III through V of this Act, which are returned or not made available for organizations and programs because of the implementation of section 307(a) of the Foreign Assistance Act of 1961 [or section 7048(a) of this Act,] shall remain available for obligation until September 30, [2018] 2019: *Provided*, That [the requirement to withhold funds for programs in Burma under section 307(a) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated by this Act] *section 307(a) of the Foreign Assistance Act of 1961 is amended by striking "Burma"*.

**[PROHIBITION ON FUNDING FOR ABORTIONS AND INVOLUNTARY STERILIZATION]**

**SEC. [7018] 7013.** None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for any biomedical research which relates in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be obligated or expended for any country or organization if the President certifies that the use of these funds by any such country or organization would violate any of the above provisions related to abortions and involuntary sterilizations.

**[ALLOCATIONS]**

**[SEC. 7019. (a) ALLOCATION TABLES.—**Subject to subsection (b), funds appropriated by this Act under titles III through V shall be made available in the amounts specifically designated in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act): *Provided*, That such designated amounts for foreign countries and international organizations shall serve as the amounts for such countries and international organizations transmitted to the Congress in the report required by section 653(a) of the Foreign Assistance Act of 1961 (FAA).

(b) **AUTHORIZED DEVIATIONS.—**Unless otherwise provided for by this Act, the Secretary of State and the Administrator of the United States Agency for International Development, as applicable, may only deviate up to 5 percent from the amounts specifically designated in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act): *Provided*, That such percentage may be exceeded only to respond to significant, exigent, or unforeseen events, or to address other exceptional circumstances directly related to the national interest: *Provided further*, That devi-

ations pursuant to the previous proviso shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.

(c) **LIMITATION.—**For specifically designated amounts that are included, pursuant to subsection (a), in the report required by section 653(a) of the FAA, no deviations authorized by subsection (b) may take place until submission of such report.]

**REPRESENTATION AND ENTERTAINMENT EXPENSES**

**SEC. [7020] 7014. [(a) USES OF FUNDS.—**Each Federal department, agency, or entity funded in titles I or II of this Act, and the Department of the Treasury and independent agencies funded in titles III or VI of this Act, shall take steps to ensure that domestic and overseas representation and entertainment expenses further official agency business and United States foreign policy interests—

- (1) are primarily for fostering relations outside of the Executive Branch;
- (2) are principally for meals and events of a protocol nature;
- (3) are not for employee-only events; and
- (4) do not include activities that are substantially of a recreational character.]

**[(b)] LIMITATIONS.—**None of the funds appropriated or otherwise made available by this Act under the headings "International Military Education and Training" or "Foreign Military Financing Program" for Informational Program activities or under the headings "Global Health Programs", "Development Assistance", and "Economic Support Fund" [, and "Assistance for Europe, Eurasia and Central Asia"] may be obligated or expended to pay for—

- (1) alcoholic beverages; or
- (2) entertainment expenses for activities that are substantially of a recreational character, including but not limited to entrance fees at sporting events, theatrical and musical productions, and amusement parks.

**[PROHIBITION ON ASSISTANCE TO GOVERNMENTS SUPPORTING INTERNATIONAL TERRORISM]**

**[SEC. 7021. (a) LETHAL MILITARY EQUIPMENT EXPORTS.—**

(1) **PROHIBITION.—**None of the funds appropriated or otherwise made available by titles III through VI of this Act may be made available to any foreign government which provides lethal military equipment to a country the government of which the Secretary of State has determined supports international terrorism for purposes of section 6(j) of the Export Administration Act of 1979 as continued in effect pursuant to the International Emergency Economic Powers Act: *Provided*, That the prohibition under this section with respect to a foreign government shall terminate 12 months after that government ceases to provide such military equipment: *Provided further*, That this section applies with respect to lethal military equipment provided under a contract entered into after October 1, 1997.

(2) **DETERMINATION.—**Assistance restricted by paragraph (1) or any other similar provision of law, may be furnished if the President determines that to do so is important to the national interests of the United States.

(3) **REPORT.—**Whenever the President makes a determination pursuant to paragraph (2), the President shall submit to the Committees on Appropriations a report with respect to the furnishing of such assistance, including a detailed explanation of the assistance to be provided, the estimated dollar amount of such assistance, and an explanation of how the assistance furthers United States national interests.

(b) **BILATERAL ASSISTANCE.—**

(1) **LIMITATIONS.—**Funds appropriated for bilateral assistance in titles III through VI of this Act and funds appropriated under any such title in prior Acts making appropriations for the Department of State, foreign operations, and related programs, shall not be made available to any foreign government which the President determines—

- (A) grants sanctuary from prosecution to any individual or group which has committed an act of international terrorism;
- (B) otherwise supports international terrorism; or
- (C) is controlled by an organization designated as a terrorist organization under section 219 of the Immigration and Nationality Act.

(2) **WAIVER.—**The President may waive the application of paragraph (1) to a government if the President determines that national security or humanitarian reasons justify such waiver: *Provided*, That the President shall publish each such waiver in the Federal Register and, at least 15 days before the waiver takes effect, shall notify the Committees on Appropriations of the waiver (including the justification for the waiver) in accordance with the regular notification procedures of the Committees on Appropriations.]

**AUTHORIZATION REQUIREMENTS**

**SEC. [7022] 7015.** Funds appropriated by this Act, except funds appropriated under the heading "Trade and Development Agency", may be obligated and expended notwithstanding section 10 of Public Law 91-672, section 15 of the State Department Basic Authorities Act of 1956, section 313 of the Foreign Relations Authorization

Act, Fiscal Years 1994 and 1995 (Public Law 103–236), and section 504(a)(1) of the National Security Act of 1947 (50 U.S.C. 3094(a)(1)).

DEFINITION OF PROGRAM, PROJECT, AND ACTIVITY

SEC. [7023] 7016. For the purpose of titles II through VI of this Act "program, project, and activity" shall be defined as the appropriations Act account level and shall include all appropriations and authorizations Acts funding directives, ceilings, and limitations with the exception that for the following accounts: "Economic Support Fund" and "Foreign Military Financing Program", "program, project, and activity" shall also be considered to include country, regional, and central program level funding within each such account; and for the development assistance accounts of the United States Agency for International Development, "program, project, and activity" shall also be considered to include central, country, regional, and program level funding, either as—

- (1) justified to Congress; or
- (2) allocated by the Executive Branch in accordance with a report, to be provided to the Committees on Appropriations within 30 days of the enactment of this Act, as required by section 653(a) of the Foreign Assistance Act of 1961.

AUTHORITIES FOR THE PEACE CORPS, INTER-AMERICAN FOUNDATION AND UNITED STATES AFRICAN DEVELOPMENT FOUNDATION

SEC. [7024] 7017. Unless expressly provided to the contrary, provisions of this or any other Act, including provisions contained in prior Acts authorizing or making appropriations for the Department of State, foreign operations, and related programs, shall not be construed to prohibit activities authorized by or conducted under the Peace Corps Act, the Inter-American Foundation Act or the African Development Foundation Act: *Provided*, That prior to conducting activities in a country for which assistance is prohibited, the agency shall consult with the Committees on Appropriations and report to such Committees within 15 days of taking such action.

[COMMERCE, TRADE AND SURPLUS COMMODITIES]

[SEC. 7025. (a) WORLD MARKETS.—None of the funds appropriated or made available pursuant to titles III through VI of this Act for direct assistance and none of the funds otherwise made available to the Export-Import Bank and the Overseas Private Investment Corporation shall be obligated or expended to finance any loan, any assistance, or any other financial commitments for establishing or expanding production of any commodity for export by any country other than the United States, if the commodity is likely to be in surplus on world markets at the time the resulting productive capacity is expected to become operative and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity: *Provided*, That such prohibition shall not apply to the Export-Import Bank if in the judgment of its Board of Directors the benefits to industry and employment in the United States are likely to outweigh the injury to United States producers of the same, similar, or competing commodity, and the Chairman of the Board so notifies the Committees on Appropriations: *Provided further*, That this subsection shall not prohibit—

- (1) activities in a country that is eligible for assistance from the International Development Association, is not eligible for assistance from the International Bank for Reconstruction and Development, and does not export on a consistent basis the agricultural commodity with respect to which assistance is furnished; or
  - (2) activities in a country the President determines is recovering from widespread conflict, a humanitarian crisis, or a complex emergency.
- (b) EXPORTS.—None of the funds appropriated by this or any other Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961 shall be available for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference, or training in connection with the growth or production in a foreign country of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States: *Provided*, That this subsection shall not prohibit—

- (1) activities designed to increase food security in developing countries where such activities will not have a significant impact on the export of agricultural commodities of the United States;
- (2) research activities intended primarily to benefit United States producers;
- (3) activities in a country that is eligible for assistance from the International Development Association, is not eligible for assistance from the International Bank for Reconstruction and Development, and does not export on a consistent basis the agricultural commodity with respect to which assistance is furnished; or
- (4) activities in a country the President determines is recovering from widespread conflict, a humanitarian crisis, or a complex emergency.

(c) INTERNATIONAL FINANCIAL INSTITUTIONS.—The Secretary of the Treasury shall instruct the United States executive directors of the international financial institutions, as defined in section 7034(r)(3) of this Act, to use the voice and vote of the United States to oppose any assistance by such institutions, using funds

appropriated or made available by this Act, for the production or extraction of any commodity or mineral for export, if it is in surplus on world markets and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity. ]

[SEPARATE ACCOUNTS]

[SEC. 7026. (a) SEPARATE ACCOUNTS FOR LOCAL CURRENCIES.—

(1) AGREEMENTS.—If assistance is furnished to the government of a foreign country under chapters 1 and 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 under agreements which result in the generation of local currencies of that country, the Administrator of the United States Agency for International Development (USAID) shall—

(A) require that local currencies be deposited in a separate account established by that government;

(B) enter into an agreement with that government which sets forth—

- (i) the amount of the local currencies to be generated; and
- (ii) the terms and conditions under which the currencies so deposited may be utilized, consistent with this section; and

(C) establish by agreement with that government the responsibilities of USAID and that government to monitor and account for deposits into and disbursements from the separate account.

(2) USES OF LOCAL CURRENCIES.—As may be agreed upon with the foreign government, local currencies deposited in a separate account pursuant to subsection (a), or an equivalent amount of local currencies, shall be used only—

(A) to carry out chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 (as the case may be), for such purposes as—

- (i) project and sector assistance activities; or
- (ii) debt and deficit financing; or

(B) for the administrative requirements of the United States Government.

(3) PROGRAMMING ACCOUNTABILITY.—USAID shall take all necessary steps to ensure that the equivalent of the local currencies disbursed pursuant to subsection (a)(2)(A) from the separate account established pursuant to subsection (a)(1) are used for the purposes agreed upon pursuant to subsection (a)(2).

(4) TERMINATION OF ASSISTANCE PROGRAMS.—Upon termination of assistance to a country under chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 (as the case may be), any unencumbered balances of funds which remain in a separate account established pursuant to subsection (a) shall be disposed of for such purposes as may be agreed to by the government of that country and the United States Government.

(5) REPORTING REQUIREMENT.—The USAID Administrator shall report on an annual basis as part of the justification documents submitted to the Committees on Appropriations on the use of local currencies for the administrative requirements of the United States Government as authorized in subsection (a)(2)(B), and such report shall include the amount of local currency (and United States dollar equivalent) used or to be used for such purpose in each applicable country.

(b) SEPARATE ACCOUNTS FOR CASH TRANSFERS.—

(1) IN GENERAL.—If assistance is made available to the government of a foreign country, under chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961, as cash transfer assistance or as nonproject sector assistance, that country shall be required to maintain such funds in a separate account and not commingle with any other funds.

(2) APPLICABILITY OF OTHER PROVISIONS OF LAW.—Such funds may be obligated and expended notwithstanding provisions of law which are inconsistent with the nature of this assistance including provisions which are referenced in the Joint Explanatory Statement of the Committee of Conference accompanying House Joint Resolution 648 (House Report No. 98–1159).

(3) NOTIFICATION.—At least 15 days prior to obligating any such cash transfer or nonproject sector assistance, the President shall submit a notification through the regular notification procedures of the Committees on Appropriations, which shall include a detailed description of how the funds proposed to be made available will be used, with a discussion of the United States interests that will be served by the assistance (including, as appropriate, a description of the economic policy reforms that will be promoted by such assistance).

(4) EXEMPTION.—Nonproject sector assistance funds may be exempt from the requirements of paragraph (1) only through the regular notification procedures of the Committees on Appropriations. ]

ELIGIBILITY FOR ASSISTANCE

SEC. [7027] 7018. (a) ASSISTANCE THROUGH NONGOVERNMENTAL ORGANIZATIONS.—Restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance in support of programs of nongovernmental organizations from funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 [and from funds appropriated under the

heading "Assistance for Europe, Eurasia and Central Asia": *Provided*, [That before using the authority of this subsection to furnish assistance in support of programs of nongovernmental organizations, the President shall notify the Committees on Appropriations pursuant to the regular notification procedures, including a description of the program to be assisted, the assistance to be provided, and the reasons for furnishing such assistance: *Provided further*,] That nothing in this subsection shall be construed to alter any existing statutory prohibitions against abortion or involuntary sterilizations contained in this or any other Act.

(b) PUBLIC LAW 480.—During fiscal year [2016] 2017, restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance under the Food for Peace Act (Public Law 83–480): *Provided*, That none of the funds appropriated to carry out title I of such Act and made available pursuant to this subsection may be obligated or expended except as provided through the regular notification procedures of the Committees on Appropriations.]

[(c) EXCEPTION.—This section shall not apply—

(1) with respect to section 620A of the Foreign Assistance Act of 1961 or any comparable provision of law prohibiting assistance to countries that support international terrorism; or

(2) with respect to section 116 of the Foreign Assistance Act of 1961 or any comparable provision of law prohibiting assistance to the government of a country that violates internationally recognized human rights.]

#### LOCAL COMPETITION

SEC. [7028] 7019. [(a) REQUIREMENTS FOR EXCEPTIONS TO COMPETITION FOR LOCAL ENTITIES.—Funds appropriated by this Act that are made available to the United States Agency for International Development (USAID) may only be made available for limited competitions through local entities if—

(1) prior to the determination to limit competition to local entities, USAID has—

(A) assessed the level of local capacity to effectively implement, manage, and account for programs included in such competition; and

(B) documented the written results of the assessment and decisions made; and

(2) prior to making an award after limiting competition to local entities—

(A) each successful local entity has been determined to be responsible in accordance with USAID guidelines; and

(B) effective monitoring and evaluation systems are in place to ensure that award funding is used for its intended purposes; and

(3) no level of acceptable fraud is assumed.]

[(b) REPORTING REQUIREMENT.—In addition to the requirements of subsection (a)(1), the USAID Administrator shall report, on an annual basis, to the appropriate congressional committees on all awards subject to limited or no competition for local entities: *Provided*, That such report should be posted on the USAID Web site: *Provided further*, That the requirements of this subsection shall only apply to awards in excess of \$3,000,000 and sole source awards to local entities in excess of \$2,000,000.]

[(c)a] EXTENSION OF PROCUREMENT AUTHORITY.—Section 7077 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall continue in effect during fiscal year [2016, as amended by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76)] 2017.

#### INTERNATIONAL FINANCIAL INSTITUTIONS

[SEC. 7029. (a) EVALUATIONS AND REPORT.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to seek to require that such institution adopts and implements a publicly available policy, including the strategic use of peer reviews and external experts, to conduct independent, in-depth evaluations of the effectiveness of at least 25 percent of all loans, grants, programs, and significant analytical non-lending activities in advancing the institution's goals of reducing poverty and promoting equitable economic growth, consistent with relevant safeguards, to ensure that decisions to support such loans, grants, programs, and activities are based on accurate data and objective analysis: *Provided*, That not later than 180 days after enactment of this Act, the Secretary shall submit a report to the Committees on Appropriations on steps taken by the United States executive directors and the international financial institutions consistent with this subsection.

(b) SAFEGUARDS.—The Secretary of the Treasury shall instruct the United States Executive Director of the International Bank for Reconstruction and Development and the International Development Association to vote against any loan, grant, policy, or strategy if such institution has adopted and is implementing any social or environmental safeguard relevant to such loan, grant, policy, or strategy that

provides less protection than World Bank safeguards in effect on September 30, 2015.

(c) COMPENSATION.—None of the funds appropriated under title V of this Act may be made as payment to any international financial institution while the United States executive director to such institution is compensated by the institution at a rate which, together with whatever compensation such executive director receives from the United States, is in excess of the rate provided for an individual occupying a position at level IV of the Executive Schedule under section 5315 of title 5, United States Code, or while any alternate United States executive director to such institution is compensated by the institution at a rate in excess of the rate provided for an individual occupying a position at level V of the Executive Schedule under section 5316 of title 5, United States Code.

(d) HUMAN RIGHTS.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to seek to require that such institution conducts rigorous human rights due diligence and risk management, as appropriate, in connection with any loan, grant, policy, or strategy of such institution: *Provided*, That prior to voting on any such loan, grant, policy, or strategy the executive director shall consult with the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, if the executive director has reason to believe that such loan, grant, policy, or strategy could result in forced displacement or other violation of human rights.

(e) FRAUD AND CORRUPTION.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to promote in loan, grant, and other financing agreements improvements in borrowing countries' financial management and judicial capacity to investigate, prosecute, and punish fraud and corruption.

(f) BENEFICIAL OWNERSHIP INFORMATION.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to seek to require that such institution collects, verifies, and publishes, to the maximum extent practicable, beneficial ownership information (excluding proprietary information) for any corporation or limited liability company, other than a publicly listed company, that receives funds appropriated by this Act that are provided as payment to such institution: *Provided*, That not later than 180 days after enactment of this Act, the Secretary shall submit a report to the Committees on Appropriations on steps taken by the United States executive directors and the international financial institutions consistent with this subsection.

(g) WHISTLEBLOWER PROTECTIONS.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to seek to require that each such institution is effectively implementing and enforcing policies and procedures which reflect best practices for the protection of whistleblowers from retaliation, including best practices for—

- (1) protection against retaliation for internal and lawful public disclosure;
- (2) legal burdens of proof;
- (3) statutes of limitation for reporting retaliation;
- (4) access to independent adjudicative bodies, including external arbitration; and
- (5) results that eliminate the effects of proven retaliation.]

#### DEBT-FOR-DEVELOPMENT

SEC. [7030] 7020. In order to enhance the continued participation of nongovernmental organizations in *economic assistance activities under the Foreign Assistance Act of 1961*, debt-for-development and debt-for-nature exchanges, a nongovernmental organization which is a grantee or contractor of the United States Agency for International Development may place in interest bearing accounts local currencies which accrue to that organization as a result of economic assistance provided under title III of this Act and [ , subject to the regular notification procedures of the Committees on Appropriations,] any interest earned on such investment shall be used for the purpose for which the assistance was provided to that organization.

#### FINANCIAL MANAGEMENT AND BUDGET TRANSPARENCY

##### FOREIGN ASSISTANCE TRANSPARENCY

SEC. [7031] 7021. [(a) LIMITATION ON DIRECT GOVERNMENT-TO-GOVERNMENT ASSISTANCE.—

(1) REQUIREMENTS.—Funds appropriated by this Act may be made available for direct government-to-government assistance only if—

(A)(i) each implementing agency or ministry to receive assistance has been assessed and is considered to have the systems required to manage such assistance and any identified vulnerabilities or weaknesses of such agency or ministry have been addressed;

(ii) the recipient agency or ministry employs and utilizes staff with the necessary technical, financial, and management capabilities;

(iii) the recipient agency or ministry has adopted competitive procurement policies and systems;

(iv) effective monitoring and evaluation systems are in place to ensure that such assistance is used for its intended purposes;

(v) no level of acceptable fraud is assumed; and

(vi) the government of the recipient country is taking steps to publicly disclose on an annual basis its national budget, to include income and expenditures;

(B) the recipient government is in compliance with the principles set forth in section 7013 of this Act;

(C) the recipient agency or ministry is not headed or controlled by an organization designated as a foreign terrorist organization under section 219 of the Immigration and Nationality Act;

(D) the Government of the United States and the government of the recipient country have agreed, in writing, on clear and achievable objectives for the use of such assistance, which should be made available on a cost-reimbursable basis; and

(E) the recipient government is taking steps to protect the rights of civil society, including freedoms of expression, association, and assembly.

(2) **CONSULTATION AND NOTIFICATION.**—In addition to the requirements in paragraph (1), no funds may be made available for direct government-to-government assistance without prior consultation with, and notification of, the Committees on Appropriations: *Provided*, That such notification shall contain an explanation of how the proposed activity meets the requirements of paragraph (1): *Provided further*, That the requirements of this paragraph shall only apply to direct government-to-government assistance in excess of \$10,000,000 and all funds available for cash transfer, budget support, and cash payments to individuals.

(3) **SUSPENSION OF ASSISTANCE.**—The Administrator of the United States Agency for International Development (USAID) or the Secretary of State, as appropriate, shall suspend any direct government-to-government assistance if the Administrator or the Secretary has credible information of material misuse of such assistance, unless the Administrator or the Secretary reports to the Committees on Appropriations that it is in the national interest of the United States to continue such assistance, including a justification, or that such misuse has been appropriately addressed.

(4) **SUBMISSION OF INFORMATION.**—The Secretary of State shall submit to the Committees on Appropriations, concurrent with the fiscal year 2017 congressional budget justification materials, amounts planned for assistance described in paragraph (1) by country, proposed funding amount, source of funds, and type of assistance.

(5) **REPORT.**—Not later than 90 days after the enactment of this Act and 6 months thereafter until September 30, 2016, the USAID Administrator shall submit to the Committees on Appropriations a report that—

(A) details all assistance described in paragraph (1) provided during the previous 6-month period by country, funding amount, source of funds, and type of such assistance; and

(B) the type of procurement instrument or mechanism utilized and whether the assistance was provided on a reimbursable basis.

(6) **DEBT SERVICE PAYMENT PROHIBITION.**—None of the funds made available by this Act may be used for any foreign country for debt service payments owed by any country to any international financial institution: *Provided*, That for purposes of this paragraph, the term "international financial institution" has the meaning given the term in section 7034(r)(3) of this Act. ]

[(b) **NATIONAL BUDGET AND CONTRACT TRANSPARENCY.**—

(1) **MINIMUM REQUIREMENTS OF FISCAL TRANSPARENCY.**—The Secretary of State shall continue to update and strengthen the "minimum requirements of fiscal transparency" for each government receiving assistance appropriated by this Act, as identified in the report required by section 7031(b) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76).

(2) **DEFINITION.**—For purposes of paragraph (1), "minimum requirements of fiscal transparency" are requirements consistent with those in subsection (a)(1), and the public disclosure of national budget documentation (to include receipts and expenditures by ministry) and government contracts and licenses for natural resource extraction (to include bidding and concession allocation practices).

(3) **DETERMINATION AND REPORT.**—For each government identified pursuant to paragraph (1), the Secretary of State, not later than 180 days after enactment of this Act, shall make or update any determination of "significant progress" or "no significant progress" in meeting the minimum requirements of fiscal transparency, and make such determinations publicly available in an annual "Fiscal Transparency Report" to be posted on the Department of State Web site: *Provided*, That the Secretary shall identify the significant progress made by each such government to publicly disclose national budget documentation, contracts,

and licenses which are additional to such information disclosed in previous fiscal years, and include specific recommendations of short- and long-term steps such government should take to improve fiscal transparency: *Provided further*, That the annual report shall include a detailed description of how funds appropriated by this Act are being used to improve fiscal transparency, and identify benchmarks for measuring progress.

(4) **ASSISTANCE.**—Funds appropriated under title III of this Act shall be made available for programs and activities to assist governments identified pursuant to paragraph (1) to improve budget transparency and to support civil society organizations in such countries that promote budget transparency: *Provided*, That such sums shall be in addition to funds otherwise made available for such purposes: *Provided further*, That a description of the uses of such funds shall be included in the annual "Fiscal Transparency Report" required by paragraph (3). ]

[(c) **ANTI-KLEPTOCRACY AND HUMAN RIGHTS.**—

(1)(A) **INELIGIBILITY.**—Officials of foreign governments and their immediate family members about whom the Secretary of State has credible information have been involved in significant corruption, including corruption related to the extraction of natural resources, or a gross violation of human rights shall be ineligible for entry into the United States.

(B) The Secretary may also publicly or privately designate or identify officials of foreign governments and their immediate family members about whom the Secretary has such credible information without regard to whether the individual has applied for a visa.

(2) **EXCEPTION.**—Individuals shall not be ineligible if entry into the United States would further important United States law enforcement objectives or is necessary to permit the United States to fulfill its obligations under the United Nations Headquarters Agreement: *Provided*, That nothing in paragraph (1) shall be construed to derogate from United States Government obligations under applicable international agreements.

(3) **WAIVER.**—The Secretary may waive the application of paragraph (1) if the Secretary determines that the waiver would serve a compelling national interest or that the circumstances which caused the individual to be ineligible have changed sufficiently.

(4) **REPORT.**—Not later than 6 months after enactment of this Act, the Secretary of State shall submit a report, including a classified annex if necessary, to the Committees on Appropriations and the Committees on the Judiciary describing the information related to corruption or violation of human rights concerning each of the individuals found ineligible in the previous 12 months pursuant to paragraph (1)(A) as well as the individuals who the Secretary designated or identified pursuant to paragraph (1)(B), or who would be ineligible but for the application of paragraph (2), a list of any waivers provided under paragraph (3), and the justification for each waiver.

(5) **POSTING OF REPORT.**—Any unclassified portion of the report required under paragraph (4) shall be posted on the Department of State Web site.

(6) **CLARIFICATION.**—For purposes of paragraphs (1)(B), (4), and (5), the records of the Department of State and of diplomatic and consular offices of the United States pertaining to the issuance or refusal of visas or permits to enter the United States shall not be considered confidential. ]

[(d) **EXTRACTION OF NATURAL RESOURCES.**—

(1) **ASSISTANCE.**—Funds appropriated by this Act shall be made available to promote and support transparency and accountability of expenditures and revenues related to the extraction of natural resources, including by strengthening implementation and monitoring of the Extractive Industries Transparency Initiative, implementing and enforcing section 8204 of the Food, Conservation, and Energy Act of 2008 (Public Law 110–246; 122 Stat. 2052) and to prevent the sale of conflict diamonds, and provide technical assistance to promote independent audit mechanisms and support civil society participation in natural resource management.

(2) **UNITED STATES POLICY.**—

(A) The Secretary of the Treasury shall inform the management of the international financial institutions, and post on the Department of the Treasury Web site, that it is the policy of the United States to vote against any assistance by such institutions (including any loan, credit, grant, or guarantee) to any country for the extraction and export of a natural resource if the government of such country has in place laws, regulations, or procedures to prevent or limit the public disclosure of company payments as required by United States law, and unless such government has adopted laws, regulations, or procedures in the sector in which assistance is being considered for—

(i) accurately accounting for and public disclosure of payments to the host government by companies involved in the extraction and export of natural resources;

(ii) the independent auditing of accounts receiving such payments and public disclosure of the findings of such audits; and

(iii) public disclosure of such documents as Host Government Agreements, Concession Agreements, and bidding documents, allowing in any such dissemination or disclosure for the redaction of, or exceptions for, information that is commercially proprietary or that would create competitive disadvantage.

(B) The requirements of subparagraph (A) shall not apply to assistance for the purpose of building the capacity of such government to meet the requirements of this subparagraph. ]

**[(c)] FOREIGN ASSISTANCE WEB SITE.**—Funds appropriated by this Act [under titles I and II, and ], including funds made available for any independent agency [in title III], as appropriate, [shall] may be made available to support the provision of additional information on United States Government foreign assistance on the Department of State foreign assistance Web site: *Provided*, That all Federal agencies funded under this Act shall provide such information on foreign assistance, upon request, to the Department of State.

#### DEMOCRACY PROGRAMS

**SEC. [7032]7022. [(a)] FUNDING.**—

(1) Of the funds appropriated by this Act, not less than \$2,308,517,000 shall be made available for democracy programs.

(2) Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$32,000,000 shall be made available for the Near East Regional Democracy program. ]

**[(b)] a) AUTHORITY.**—Funds made available by this Act for democracy programs may be made available notwithstanding any other provision of law, and with regard to the National Endowment for Democracy (NED), any regulation.

**[(c)] b) DEFINITION OF DEMOCRACY PROGRAMS.**—For purposes of funds appropriated by this Act, the term "democracy programs" means programs that support good governance, credible and competitive elections, freedom of expression, association, assembly, and religion, human rights, labor rights, independent media, and the rule of law, and that otherwise strengthen the capacity of democratic political parties, governments, nongovernmental organizations and institutions, and citizens to support the development of democratic states, and institutions that are responsive and accountable to citizens.

**[(d)] PROGRAM PRIORITIZATION.**—Funds made available pursuant to this section that are made available for programs to strengthen government institutions shall be prioritized for those institutions that demonstrate a commitment to democracy and the rule of law, as determined by the Secretary of State or the Administrator of the United States Agency for International Development (USAID), as appropriate. ]

**[(e)] c) RESTRICTION ON PRIOR APPROVAL.**—With respect to the provision of assistance for democracy [programs in] and human rights under this Act, the [organizations implementing such assistance, the specific nature of that assistance, and the participants in such programs shall not be subject to the prior approval by the government of any foreign country: *Provided*, That the Secretary of State, in coordination with the USAID Administrator, shall report to the Committees on Appropriations, not later than 120 days after enactment of this Act, detailing steps taken by the Department of State and USAID to comply with the requirements of this subsection] *Secretary of State should oppose, through appropriate means, efforts by foreign governments to dictate the nature of United States assistance for civil society, the selection of individuals or entities to implement such programs, or the selection of recipients or beneficiaries of those programs.*

**[(f)] PROGRAM DESIGN AND IMPLEMENTATION.**—

(1) **CLARIFICATION OF USE.**—Not later than 90 days after enactment of this Act, the Secretary of State and USAID Administrator, following consultation with democracy program implementing partners, shall each establish guidelines for clarifying program design and objectives for democracy programs, including the uses of contracts versus grants and cooperative agreements in the conduct of democracy programs carried out with funds appropriated by this Act: *Provided*, That such guidelines, which shall be made available to all relevant agency personnel, shall be in accordance with—

(A) the Quadrennial Diplomacy and Development Review, 2015, regarding the objectives of promoting resilient, open, and democratic societies;

(B) the ADVANCE Democracy Act of 2007 (title XXI of Public Law 110–53; 22 U.S.C. 8201 et seq.), including the foreign policy objectives contained therein; and

(C) sections 6303 through 6305 of title 31, United States Code, regarding the selection of contracts and assistance instruments.

(2) **CONTINUATION OF CURRENT PRACTICES.**—USAID shall continue to implement civil society and political competition and consensus building programs abroad with funds appropriated by this Act in a manner that recognizes the unique

benefits of grants and cooperative agreements in implementing such programs: *Provided*, That nothing in this paragraph shall be construed to affect the ability of any entity, including United States small businesses, from competing for proposals for USAID-funded civil society and political competition and consensus building programs.

(3) **REPORT.**—Not later than September 30, 2017, the Secretary of State and USAID Administrator shall each submit to the Committees on Appropriations a report detailing the use of contracts, grants, and cooperative agreements in the conduct of democracy programs with funds made available by the Department of State, Foreign Operations, and Related Programs Act, 2015 (division J of Public Law 113–235), which shall include funding level, account, program sector and subsector, and a brief summary of purpose. ]

**[(g)] STRATEGIC REVIEWS AND REPORT.**—

(1) **COUNTRY STRATEGIES.**—Prior to the obligation of funds made available by this Act for Department of State and USAID democracy programs for a nondemocratic or democratic transitioning country for which a country strategy has been concluded after the date of enactment of this Act, as required by section 2111(c)(1) of the ADVANCE Democracy Act of 2007 (title XXI of Public Law 110–53; 22 U.S.C. 8211) or similar provision of law or regulation, the Under Secretary for Civilian Security, Democracy and Human Rights, Department of State, in consultation with the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, and the Assistant Administrator for Democracy, Conflict, and Humanitarian Assistance, USAID, shall review such strategy to ensure that it includes—

(A) specific goals and objectives for such program, including a specific plan and timeline to measure impacts;

(B) an assessment of the risks associated with the conduct of such program to intended beneficiaries and implementers, including steps to support and protect such individuals; and

(C) the funding requirements to initiate and sustain such program in fiscal year 2016 and subsequent fiscal years, as appropriate:

*Provided*, That for the purposes of this paragraph, the term "nondemocratic or democratic transitioning country" shall have the same meaning as in section 2104(6) of Public Law 110–53.

(2) **REPORT.**—Not later than September 30, 2016, the Secretary of State, in consultation with the USAID Administrator, shall submit a report, including a classified annex if necessary, to the appropriate congressional committees detailing the methodology and guidelines established and implemented by the Department of State and USAID, respectively, to carry out the requirements of this subsection: *Provided*, That such report shall also include an analysis of the political and social conditions in a nondemocratic or democratic transitioning country that are a prerequisite for the conduct of democracy programs. ]

**[(h)] CONSULTATION AND COMMUNICATION REQUIREMENTS.**—

(1) **COUNTRY ALLOCATIONS.**—The Deputy Secretary for Management and Resources, Department of State, shall consult with the Under Secretary for Civilian Security, Democracy and Human Rights, Department of State, and the Assistant Administrator for Democracy, Conflict, and Humanitarian Assistance, USAID, on the proposed funding levels for democracy programs by country in the report submitted to Congress pursuant to section 653(a) of the Foreign Assistance Act of 1961.

(2) **INFORMING THE NATIONAL ENDOWMENT FOR DEMOCRACY.**—The Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, and the Assistant Administrator for Democracy, Conflict, and Humanitarian Assistance, USAID, shall regularly inform the National Endowment for Democracy of democracy programs that are planned and supported by funds made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.

(3) **REPORT ON PROGRAM CHANGES.**—The Secretary of State or the USAID Administrator, as appropriate, shall report to the Committees on Appropriations within 30 days of a decision to significantly change the objectives or the content of a democracy program or to close such a program due to the increasingly repressive nature of the host country government: *Provided*, That the report shall also include a strategy for continuing support for democracy promotion, if such programming is feasible, and may be submitted in classified form, if necessary. ]

#### INTERNATIONAL RELIGIOUS FREEDOM ]

**[SEC. 7033. (a) INTERNATIONAL RELIGIOUS FREEDOM OFFICE AND SPECIAL ENVOY TO PROMOTE RELIGIOUS FREEDOM.**—Funds appropriated by this Act under the heading "Diplomatic and Consular Programs" shall be made available for the Office of the Ambassador-at-Large for International Religious Freedom and the Special Envoy to Promote Religious Freedom of Religious Minorities in the Near East and South Central Asia, as authorized in the Near East and South Central Asia Religious Freedom Act of 2014 (Public Law 113–161), and including for support

staff, at not less than the amounts contained for such Office and Envoy in the table under such heading in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act).

(b) ASSISTANCE.—

(1) INTERNATIONAL RELIGIOUS FREEDOM PROGRAMS.—Of the funds appropriated by this Act under the heading "Democracy Fund" and available for the Human Rights and Democracy Fund (HRDF), not less than \$10,000,000 shall be made available for international religious freedom programs: *Provided*, That the Ambassador-at-Large for International Religious Freedom shall consult with the Committees on Appropriations on the uses of such funds.

(2) PROTECTION AND INVESTIGATION PROGRAMS.—Funds appropriated by this Act under the heading "Economic Support Fund" shall be made available for programs to protect vulnerable and persecuted religious minorities: *Provided*, That a portion of such funds shall be made available for programs to investigate the persecution of such minorities by governments and non-state actors and for the public dissemination of information collected on such persecution, including on the Department of State Web site.

(3) HUMANITARIAN PROGRAMS.—Funds appropriated by this Act under the headings "International Disaster Assistance" and "Migration and Refugee Assistance" shall be made available for humanitarian assistance for vulnerable and persecuted religious minorities.

(4) RESPONSIBILITY OF FUNDS.—Funds made available by paragraphs (1) and (2) shall be the responsibility of the Ambassador-at-Large for International Religious Freedom, in consultation with other relevant United States Government officials.

(c) INTERNATIONAL BROADCASTING.—Funds appropriated by this Act under the heading "Broadcasting Board of Governors, International Broadcasting Operations" shall be made available for programs related to international religious freedom, including reporting on the condition of vulnerable and persecuted religious groups.

(d) ATROCITIES PREVENTION.—Not later than 90 days after enactment of this Act, the Secretary of State, after consultation with the heads of other United States Government agencies represented on the Atrocities Prevention Board (APB) and representatives of human rights organizations, as appropriate, shall submit to the appropriate congressional committees an evaluation of the persecution of, including attacks against, Christians and people of other religions in the Middle East by violent Islamic extremists and the Muslim Rohingya people in Burma by violent Buddhist extremists, including whether either situation constitutes mass atrocities or genocide (as defined in section 1091 of title 18, United States Code), and a detailed description of any proposed atrocities prevention response recommended by the APB: *Provided*, That such evaluation and response may include a classified annex, if necessary.

(e) DESIGNATION OF NON-STATE ACTORS.—The President shall, concurrent with the annual foreign country review required by section 402(b)(1) of the International Religious Freedom Act of 1998 (22 U.S.C. 6442(b)(1)), review and identify any non-state actors in such countries that have engaged in particularly severe violations of religious freedom, and designate, in a manner consistent with such Act, each such group as a non-state actor of particular concern for religious freedom operating in such reviewed country or surrounding region: *Provided*, That whenever the President designates such a non-state actor under this subsection, the President shall, as soon as practicable after the designation is made, submit a report to the appropriate congressional committees detailing the reasons for such designation.

(f) REPORT.—Not later than September 30, 2016, the Secretary of State, in consultation with the Chairman of the Broadcasting Board of Governors and the Administrator of the United States Agency for International Development, shall submit a report, including a classified annex if necessary, to the appropriate congressional committees detailing, by account, agency, and on a country-by-country basis, funds made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs for the previous 2 fiscal years for international religious freedom programs; protection and investigation programs regarding vulnerable and persecuted religious minorities; humanitarian and relief assistance for such minorities; and international broadcasting regarding religious freedom. ]

SPECIAL PROVISIONS

SEC. [ 7034 ] 7023. (a) VICTIMS OF WAR, DISPLACED CHILDREN, AND DISPLACED BURMESE.—Funds appropriated in titles III and VI of this Act that are made available for assistance for Afghanistan, Burma, Iraq, Sudan, Lebanon, Pakistan, and for victims of war, displaced children, displaced Burmese, and to combat trafficking in persons and assist victims of such trafficking, may be made available notwithstanding any other provision of law.

(b) LAW ENFORCEMENT AND SECURITY.—

[(1) CHILD SOLDIERS.—Funds appropriated by this Act should not be used to support any military training or operations that include child soldiers. ]

[(2) CROWD CONTROL ITEMS.—Funds appropriated by this Act should not be used for tear gas, small arms, light weapons, ammunition, or other items for crowd control purposes for foreign security forces that use excessive force to repress peaceful expression, association, or assembly in countries undergoing democratic transition. ]

[(3) ] DISARMAMENT, DEMOBILIZATION, AND REINTEGRATION.—Section 7034(d) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year [2016] 2017 as if part of this Act.

[(4) FORENSIC ASSISTANCE.—

(A) Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$4,000,000 shall be made available for forensic anthropology assistance related to the exhumation of mass graves and the identification of victims of war crimes and crimes against humanity, of which not less than \$3,000,000 should be made available for such assistance in Guatemala, Peru, Colombia, Iraq, and Sri Lanka, which shall be administered by the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State.

(B) Of the funds appropriated by this Act under the heading "International Narcotics Control and Law Enforcement", not less than \$4,000,000 shall be made available for DNA forensic technology programs to combat human trafficking in Central America. ]

[(5) ] INTERNATIONAL PRISON CONDITIONS.—[Section 7065 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year 2016 as if part of this Act] Funds appropriated under the headings "Development Assistance", "Economic Support Fund", and "International Narcotics Control and Law Enforcement" in this Act may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate inhumane conditions in foreign prisons and other detention facilities.

[(6) ] RECONSTITUTING CIVILIAN POLICE AUTHORITY.—In providing assistance with funds appropriated by this Act under section 660(b)(6) of the Foreign Assistance Act of 1961, support for a nation emerging from instability may be deemed to mean support for regional, district, municipal, or other sub-national entity emerging from instability, as well as a nation emerging from instability.

[(7) SECURITY ASSISTANCE REPORT.—Not later than 120 days after enactment of this Act, the Secretary of State shall submit to the Committees on Appropriations a report on funds obligated and expended during fiscal year 2015, by country and purpose of assistance, under the headings "Peacekeeping Operations", "International Military Education and Training", and "Foreign Military Financing Program". ]

[(8) LEAHY VETTING REPORT.—

(A) Not later than 90 days after enactment of this Act, the Secretary of State shall submit a report to the appropriate congressional committees on foreign assistance cases submitted for vetting for purposes of section 620M of the Foreign Assistance Act of 1961 during the preceding fiscal year, including:

(i) the total number of cases submitted, approved, suspended, or rejected for human rights reasons; and

(ii) for cases rejected, a description of the steps taken to assist the foreign government in taking effective measures to bring the responsible members of the security forces to justice, in accordance with section 620M(c) of the Foreign Assistance Act of 1961.

(B) The report required by this paragraph shall be submitted in unclassified form, but may be accompanied by a classified annex. ]

[(9) ANNUAL FOREIGN MILITARY TRAINING REPORT.—For the purposes of implementing section 656 of the Foreign Assistance Act of 1961, the term "military training provided to foreign military personnel by the Department of Defense and the Department of State" shall be deemed to include all military training provided by foreign governments with funds appropriated to the Department of Defense or the Department of State, except for training provided by the government of a country designated by section 517(b) of such Act as a major non-NATO ally. ]

(c) WORLD FOOD PROGRAMME.—Funds managed by the Bureau for Democracy, Conflict, and Humanitarian Assistance, United States Agency for International Development (USAID), from this or any other Act, may be made available as a general contribution to the World Food Programme, notwithstanding any other provision of law.

(d) DIRECTIVES AND AUTHORITIES.—

[(1) RESEARCH AND TRAINING.—Funds appropriated by this Act under the heading "Assistance for Europe, Eurasia and Central Asia" shall be made avail-

able to carry out the Program for Research and Training on Eastern Europe and the Independent States of the Former Soviet Union as authorized by the Soviet-Eastern European Research and Training Act of 1983 (22 U.S.C. 4501 et seq.).

**(J) GENOCIDE VICTIMS MEMORIAL SITES.**—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Economic Support Fund" and "Assistance for Europe, Eurasia and Central Asia" may be made available as contributions to establish and maintain memorial sites of genocide, subject to the regular notification procedures of the Committees on Appropriations.

**(K) ADDITIONAL AUTHORITIES.**—Of the amounts made available by title I of this Act under the heading "Diplomatic and Consular Programs", up to \$500,000 may be made available for grants pursuant to section 504 of Public Law 95-426 (22 U.S.C. 2656d), including to facilitate collaboration with Arctic indigenous communities.

**(L) EXTENSION OF LEGAL PROTECTION.**—No conviction issued by the Cairo Criminal Court on June 4, 2013, in "Public Prosecution Case No. 1110 for the Year 2012", against a citizen or national of the United States or an alien lawfully admitted for permanent residence in the United States, shall be considered a conviction for the purposes of United States law or for any activity undertaken within the jurisdiction of the United States during fiscal year 2017 and any fiscal year thereafter.

**(M) MODIFICATION OF LIFE INSURANCE SUPPLEMENTAL APPLICABLE TO THOSE KILLED IN TERRORIST ATTACKS.**—

(A) Section 415(a)(1) of the Foreign Service Act of 1980 (22 U.S.C. 3975(a)(1)) is amended by striking "a payment from the United States in an amount that, when added to the amount of the employee's employer-provided group life insurance policy coverage (if any), equals \$400,000" and inserting "a special payment of \$400,000, which shall be in addition to any employer provided life insurance policy coverage".

(B) The insurance benefit under section 415 of the Foreign Service Act of 1980 (22 U.S.C. 3975), as amended by subparagraph (A), shall be applicable to eligible employees who die as a result of injuries sustained while on duty abroad because of an act of terrorism, as defined in section 140(d) of the Foreign Relations Authorization Act, Fiscal Years 1998 and 1999 (22 U.S.C. 2656f(d)), anytime on or after April 18, 1983.

**(N) AUTHORITY.**—The Administrator of the United States Agency for International Development may use funds appropriated by this Act under title III to make innovation incentive awards: *Provided*, That each individual award may not exceed \$100,000; *Provided further*, That no more than 10 such awards may be made during fiscal year 2016; *Provided further*, That for purposes of this paragraph the term "innovation incentive award" means the provision of funding on a competitive basis that—

(A) encourages and rewards the development of solutions for a particular, well-defined problem related to the alleviation of poverty; or

(B) helps identify and promote a broad range of ideas and practices facilitating further development of an idea or practice by third parties.

**(O) PARTNER VETTING.**—Funds appropriated by this Act or in titles I through IV of prior Acts making appropriations for the Department of State, foreign operations, and related programs shall may be used by the Secretary of State and the USAID Administrator, as appropriate, to support the continued implementation of the Partner Vetting System (PVS) pilot program: *Provided*, That the Secretary of State and the USAID Administrator shall inform the Committees on Appropriations, at least 30 days prior to completion of the pilot program, on the criteria for evaluating such program, including for possible expansion: *Provided further*, That not later than 180 days after completion of the pilot program, the Secretary and USAID Administrator shall jointly submit a report to the Committees on Appropriations, in classified form if necessary, detailing the findings, conclusions, and any recommendations for expansion of such program: *Provided further*, That not less than 30 days prior to the implementation of any recommendations for expanding the PVS pilot program the Secretary of State and USAID Administrator shall consult with the Committees on Appropriations and with representatives of agency implementing partners on the findings, conclusions, and recommendations in such report, as appropriate.

**(P) CONTINGENCIES.**—During fiscal year 2017, the President may use up to \$125,000,000 under the authority of section 451 of the Foreign Assistance Act of 1961, notwithstanding any other provision of law.

**(Q) INTERNATIONAL CHILD ABDUCTIONS.**—The Secretary of State should withhold funds appropriated under title III of this Act for assistance for the central government of any country that is not taking appropriate steps to comply with the Convention on the Civil Aspects of International Child Abductions, done at the Hague on October 25, 1980: *Provided*, That the Secretary shall report to the

Committees on Appropriations within 15 days of withholding funds under this subsection.

**(R) REPORTS REPEALED.**—Section 616(c) of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1999 (division A of Public Law 105-277) is 22 U.S.C. 2593b; section 111(a) of Public Law 111-195; section 10(b) of Public Law 109-446; section 4 of Public Law 107-243; 22 U.S.C. 303; section 732 of Public Law 109-58 (22 U.S.C. 7902); section 1207 of Public Law 107-314 (22 U.S.C. 6901 note); sections 51(a)(2) and 404(e) of Public Law 84-885; section 804(b) of Public Law 101-246; section 1012(c) of Public Law 103-337; section 613(b) of Public Law 107-228; sections 549, 620C(c), 655, and 656 of Public Law 87-195; sections 8 and 11(b) of Public Law 107-245; section 4(b) of Public Law 79-264; sections 181 and 404(c) of Public Law 102-138; section 527(f) of Public Law 103-236; sections 12(a) and 12(b) of Public Law 108-19; section 721(c) of Appendix G, Public Law 106-113; section 702 of Public Law 107-228; section 570(d) of Public Law 104-208; and subsections (c)(4) and (c)(5) of section 601 of Public Law 96-465, are hereby repealed.

**(S) TRANSFERS FOR EXTRAORDINARY PROTECTION.**—The Secretary of State may transfer to, and merge with, funds under the heading "Protection of Foreign Missions and Officials" unobligated balances of expired funds appropriated under the heading "Diplomatic and Consular Programs" for fiscal year 2017, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated: *Provided*, That not more than \$50,000,000 may be transferred.

**(T) PROTECTIONS AND REMEDIES FOR EMPLOYEES OF DIPLOMATIC MISSIONS AND INTERNATIONAL ORGANIZATIONS.**—Section 7034(k) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113-235) shall continue in effect during fiscal year 2016 as if part of this Act.

**(U) EXTENSION OF AUTHORITIES.**—

(1) **PASSPORT FEES.**—Section 1(b)(2) of the Passport Act of June 4, 1920 (22 U.S.C. 214(b)(2)) shall be applied by substituting "September 30, 2017" for "September 30, 2010".

(2) **ACCOUNTABILITY REVIEW BOARDS.**—The authority provided by section 301(a)(3) of the Omnibus Diplomatic Security and Antiterrorism Act of 1986 (22 U.S.C. 4831(a)(3)) shall remain in effect for facilities in Afghanistan, Iraq, Pakistan, and Yemen, through September 30, 2017, except that the notification and reporting requirements contained in such section shall include the Committees on Appropriations.

(3) **INCENTIVES FOR CRITICAL POSTS.**—The authority contained in section 1115(d) of the Supplemental Appropriations Act, 2009 (Public Law 111-32) shall remain in effect through September 30, 2017.

(4) **FOREIGN SERVICE OFFICER ANNUITANT WAIVER.**—Section 824(g) of the Foreign Service Act of 1980 (22 U.S.C. 4064(g)) shall be applied by substituting "September 30, 2017" for "October 1, 2010" in paragraph (2).

(5) **DEPARTMENT OF STATE CIVIL SERVICE ANNUITANT WAIVER.**—Section 61(a) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2733(a)) shall be applied by substituting "September 30, 2017" for "October 1, 2010" in paragraph (2).

(6) **USAID CIVIL SERVICE ANNUITANT WAIVER.**—Section 625(j)(1) of the Foreign Assistance Act of 1961 (22 U.S.C. 2385(j)(1)) shall be applied by substituting "September 30, 2017" for "October 1, 2010" in subparagraph (B).

(7) **OVERSEAS PAY COMPARABILITY AND LIMITATION.**—

(A) Subject to the limitation described in subparagraph (B), the authority provided by section 1113 of the Supplemental Appropriations Act, 2009 (Public Law 111-32; 123 Stat. 1904) shall remain in effect through September 30, 2017.

(B) The authority described in subparagraph (A) may not be used to pay an eligible member of the Foreign Service (as defined in section 1113(b) of the Supplemental Appropriations Act, 2009) a locality-based comparability payment (stated as a percentage) that exceeds two-thirds of the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such member under section 5304 of title 5, United States Code, if such member's official duty station were in the District of Columbia.

(8) **CATEGORICAL ELIGIBILITY.**—The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101-167) is amended—

(A) in section 599D (8 U.S.C. 1157 note)—

(i) in subsection (b)(3), by striking ["and 2015"] "2015, and 2016" and inserting ["2015, and 2016"] "2016, and 2017"; and

(ii) in subsection (e), by striking ["2015"] "2016" each place it appears and inserting ["2016"] "2017"; and

(B) in section 599E (8 U.S.C. 1255 note) in subsection (b)(2), by striking ["2015"] "2016" and inserting ["2016"] "2017".

(9) INSPECTOR GENERAL ANNUITANT WAIVER.—The authorities provided in section 1015(b) of the Supplemental Appropriations Act, 2010 (Public Law 111–212) shall remain in effect through September 30, [2016]2019, and, in addition to the countries cited in section 1015(b), shall apply to Syria, Jordan, Lebanon, and Turkey.

[(10) EXTENSION OF LOAN GUARANTEES TO ISRAEL.—Chapter 5 of title I of the Emergency Wartime Supplemental Appropriations Act, 2003 (Public Law 108–11; 117 Stat. 576) is amended under the heading "Loan Guarantees to Israel"—

(A) in the matter preceding the first proviso, by striking "September 30, 2015" and inserting "September 30, 2019"; and

(B) in the second proviso, by striking "September 30, 2015" and inserting "September 30, 2019".]

[(11)10] EXTENSION OF WAR RESERVES STOCKPILE AUTHORITY.—

(A) Section 12001(d) of the Department of Defense Appropriations Act, 2005 (Public Law 108–287; 118 Stat. 1011) is amended by striking ["more than 11 years after the date of enactment of this Act" and inserting "after September 30, 2017"] "2017" and inserting "2018".

(B) Section 514(b)(2)(A) of the Foreign Assistance Act of 1961 (22 U.S.C. 2321h(b)(2)(A)) is amended by striking "and [2015]" and inserting "2015, 2016, and 2017"] "2017" and inserting "2017, and 2018".

[(12)11] UNITED STATES ADVISORY COMMISSION ON PUBLIC DIPLOMACY.—Section 1334 of the Foreign Affairs Reform and Restructuring Act of 1998 (22 U.S.C. 6553) shall be applied by substituting "September 30, [2016"]2017" for "October 1, 2015".

(12) EXTENSION OF PROTECTION FOR AFGHAN ALLIES.—Section 602(b)(3)(F) of Public Law 111–8, as amended, is further amended by (A) substituting "11,000" for "7,000" in the matter preceding clause (i); and (B) substituting "December 31, 2017" for "December 31, 2016" in clauses (i) and (ii).

(13) SPECIALIZED AGENCY WAIVER AND TRANSFER AUTHORITY.—

(A) The President may waive section 414 of Public Law 101–246 and section 410 of Public Law 103–236 on a case-by-case basis, if the President determines and certifies in writing to the Speaker of the House of Representatives, the President Pro Tempore of the Senate, and the Committees on Appropriations that to do so is important to the national interest of the United States.

(B) The Secretary of State may, prior to December 31, 2017, waive section 414 of Public Law 101–246 and section 410 of Public Law 103–236 with respect to the United Nations Educational, Scientific and Cultural Organization (UNESCO) if the Secretary certifies and reports in writing to the Speaker of the House of Representatives, the President Pro Tempore of the Senate, and the Committees on Appropriations that to do so is important to the interests of the United States: Provided, That the Palestinians have not, after the date of enactment of this Act, obtained in the United Nations or any specialized agency thereof the same standing as member states or full membership as a state outside an agreement negotiated between Israel and the Palestinians: Provided further, That if after the waiver is exercised the Secretary determines that the Palestinians have obtained such standing in the United Nations or any specialized agency thereof, the waiver shall cease to have effect.

(C) Of the funds appropriated under titles I, III, and IV of this Act, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, up to \$160,000,000 of unobligated balances may be transferred to and merged with funds appropriated under the heading "Contributions to International Organizations" in title I of this Act no later than the end of the fifth fiscal year after the last fiscal year for which such funds were made available.

(14) CONFLICT STABILIZATION OPERATIONS.—Section 618 of the Foreign Assistance Act of 1961 is amended by striking subsection (b).

[(1) DEPARTMENT OF STATE WORKING CAPITAL FUND.—Funds appropriated by this Act or otherwise made available to the Department of State for payments to the Working Capital Fund may only be used for the service centers included in Appendix 1 of the Congressional Budget Justification, Department of State, Diplomatic Engagement, Fiscal Year 2016: Provided, That the amounts for such service centers shall be the amounts included in such budget except as provided in section

7015(b) of this Act: Provided further, That Federal agency components shall be charged only for their direct usage of each Working Capital Fund service: Provided further, That Federal agency components may only pay for Working Capital Fund services that are consistent with the component's purpose and authorities: Provided further, That the Working Capital Fund shall be paid in advance or reimbursed at rates which will return the full cost of each service.]

[(m) HUMANITARIAN ASSISTANCE.—Funds appropriated by this Act that are available for monitoring and evaluation of assistance under the headings "International Disaster Assistance" and "Migration and Refugee Assistance" shall, as appropriate, be made available for the regular collection of feedback obtained directly from beneficiaries on the quality and relevance of such assistance: Provided, That the Department of State and USAID shall conduct regular oversight to ensure that such feedback is collected and used by implementing partners to maximize the cost-effectiveness and utility of such assistance, and require such partners that receive funds under such headings to establish procedures for collecting and responding to such feedback.]

[(n)j] HIV/AIDS WORKING CAPITAL FUND.—Funds available in the HIV/AIDS Working Capital Fund established pursuant to section 525(b)(1) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) may be made available for pharmaceuticals and other products for [child survival, malaria, and tuberculosis] other global health and child survival activities to the same extent as HIV/AIDS pharmaceuticals and other products, subject to the terms and conditions in such section: Provided, That the authority in section 525(b)(5) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) shall be exercised by the Assistant Administrator for Global Health, USAID, with respect to funds deposited for such non-HIV/AIDS pharmaceuticals and other products, and shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That the Secretary of State shall include in the congressional budget justification an accounting of budgetary resources, disbursements, balances, and reimbursements related to such fund.

[(o)k] LOAN GUARANTEES AND ENTERPRISE FUNDS.—

(1) LOAN GUARANTEES.—Funds appropriated under the [headings] heading "Economic Support Fund" [and "Assistance for Europe, Eurasia and Central Asia" by] in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available for the costs [as defined in section 502 of the Congressional Budget Act of 1974,] of direct loans and loan guarantees [for Jordan, Ukraine, and Tunisia], which are authorized to be provided: Provided, That such costs, including the cost of modifying such loans and loan guarantees, shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by any country: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$3,000,000,000: Provided further, That the Government of the United States may charge fees for loans and loan guarantees under this heading, which shall be collected from borrowers or third parties on behalf of such borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That amounts made available under this paragraph for the costs of such guarantees shall not be considered assistance for the purposes of provisions of law limiting assistance to a country: Provided further, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

(2) ENTERPRISE FUNDS.—Funds appropriated under the heading "Economic Support Fund" in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available to establish and operate one or more enterprise funds for Egypt and Tunisia: Provided, That the first [third and fifth provisos] proviso under section 7041(b) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall apply to funds appropriated by this Act under the heading "Economic Support Fund" for an enterprise fund or funds to the same extent and in the same manner as such provision of law applied to funds made available under such section (except that the clause excluding subsection (d)(3) of section 201 of the SEED Act shall not apply): Provided further, That in addition to the previous proviso, the authorities in the

matter preceding the first proviso of such section may apply to any such enterprise fund or funds: *Provided further*, That the authority of any such enterprise fund or funds to provide assistance shall cease to be effective on December 31, [2026] 2027: *Provided further*, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

[(3) CONSULTATION AND NOTIFICATION.—Funds made available by this subsection shall be subject to prior consultation with the appropriate congressional committees, and subject to the regular notification procedures of the Committees on Appropriations.]

[(p) ASSESSMENT OF INDIRECT COSTS.—Not later than 90 days after enactment of this Act and following consultation with the Committees on Appropriations, the Secretary of State and the Administrator of the United States Agency for International Development (USAID) shall submit to such Committees an assessment of the effectiveness of current policies and procedures in ensuring that payments for indirect costs, including for negotiated indirect cost rate agreements (NICRA), are reasonable and comply with the Federal Acquisition Regulations (FAR), as applicable, and title 2, part 200 of the Code of Federal Regulations (CFR); an assessment of potential benefits of setting a cap on such indirect costs to ensure the cost-effective use of appropriated funds; a plan to revise such policies and procedures to strengthen compliance with the FAR and CFR and ensure that indirect costs are reasonable; and a timeline for implementing such plan.]

[(q) SMALL GRANTS AND ENTITIES.—

(1) Of the funds appropriated by this Act under the headings "Development Assistance" and "Economic Support Fund", not less than \$45,000,000 shall be made available for the Small Grants Program pursuant to section 7080 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235), as amended by this Act, which may remain available until September 30, 2020.

(2) Not later than 45 days after enactment of this Act, the Administrator of the United States Agency for International Development (USAID) shall post on the USAID Web site detailed information describing the process by which small nongovernmental organizations, educational institutions, and other small entities seeking funding from USAID for unsolicited proposals through grants, cooperative agreements, and other assistance mechanisms and agreements, can apply for such funding: *Provided*, That the USAID Administrator should ensure that each bureau, office, and overseas mission has authority to approve, and sufficient funds to implement, such grants or other agreements that meet appropriate criteria for unsolicited proposals.

(3) Section 7080 of Public Law 113–235 is amended as follows:

- (A) in subsections (b) and (c), strike "Grants", and insert "Awards";
- (B) in subsection (c)(1), delete "or" after "proposals";
- (C) in subsection (c)(2) delete the period after "process", and insert "; or";
- (D) after subsection (c)(2), insert "(3) as otherwise allowable under Federal Acquisition Regulations and USAID procurement policies."; and
- (E) in subsection (c)(3), strike "12", and insert "20", and strike "administrative and oversight expenses associated with managing" and insert "administrative expenses, and other necessary support associated with managing and strengthening".

(4) For the purposes of section 7080 of Public Law 113–235, "eligible entities" shall be defined as small local, international, and United States-based nongovernmental organizations, educational institutions, and other small entities that have received less than a total of \$5,000,000 in USAID funding over the previous five years: *Provided*, That departments or centers of such educational institutions may be considered individually in determining such eligibility.]

(l) DEPARTMENT ORGANIZATION.—The State Department Basic Authorities Act of 1956 is amended in section (1)(c)(1)(22 U.S.C. 2651a(c)(1)) by striking "24" and inserting instead "26".

[(r) m] DEFINITIONS.—

(1) Unless otherwise defined in this Act, for purposes of this Act the term "appropriate congressional committees" shall mean the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Foreign Affairs of the House of Representatives.

(2) Unless otherwise defined in this Act, for purposes of this Act the term "funds appropriated in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs" shall mean funds that remain available for obligation, and have not expired.

(3) For the purposes of this Act "international financial institutions" shall mean the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the International Monetary Fund, the Asian Development Bank, the Asian Development Fund, the Inter-American Investment Corporation, the North American Development Bank, the European Bank for Reconstruction and Development, the African Development Bank, the African Development Fund, and the Multilateral Investment Guarantee Agency.

(4) Any reference to Southern Kordofan in this or any other Act [making appropriations for the Department of State, foreign operations, and related programs] shall be deemed to include portions of Western Kordofan that were previously part of Southern Kordofan prior to the 2013 division of Southern Kordofan.

[ARAB LEAGUE BOYCOTT OF ISRAEL]

[SEC. 7035. It is the sense of the Congress that—

(1) the Arab League boycott of Israel, and the secondary boycott of American firms that have commercial ties with Israel, is an impediment to peace in the region and to United States investment and trade in the Middle East and North Africa;

(2) the Arab League boycott, which was regrettably reinstated in 1997, should be immediately and publicly terminated, and the Central Office for the Boycott of Israel immediately disbanded;

(3) all Arab League states should normalize relations with their neighbor Israel;

(4) the President and the Secretary of State should continue to vigorously oppose the Arab League boycott of Israel and find concrete steps to demonstrate that opposition by, for example, taking into consideration the participation of any recipient country in the boycott when determining to sell weapons to said country; and

(5) the President should report to Congress annually on specific steps being taken by the United States to encourage Arab League states to normalize their relations with Israel to bring about the termination of the Arab League boycott of Israel, including those to encourage allies and trading partners of the United States to enact laws prohibiting businesses from complying with the boycott and penalizing businesses that do comply.]

[PALESTINIAN STATEHOOD]

[SEC. 7036. (a) LIMITATION ON ASSISTANCE.—None of the funds appropriated under titles III through VI of this Act may be provided to support a Palestinian state unless the Secretary of State determines and certifies to the appropriate congressional committees that—

(1) the governing entity of a new Palestinian state—

(A) has demonstrated a firm commitment to peaceful co-existence with the State of Israel; and

(B) is taking appropriate measures to counter terrorism and terrorist financing in the West Bank and Gaza, including the dismantling of terrorist infrastructures, and is cooperating with appropriate Israeli and other appropriate security organizations; and

(2) the Palestinian Authority (or the governing entity of a new Palestinian state) is working with other countries in the region to vigorously pursue efforts to establish a just, lasting, and comprehensive peace in the Middle East that will enable Israel and an independent Palestinian state to exist within the context of full and normal relationships, which should include—

(A) termination of all claims or states of belligerency;

(B) respect for and acknowledgment of the sovereignty, territorial integrity, and political independence of every state in the area through measures including the establishment of demilitarized zones;

(C) their right to live in peace within secure and recognized boundaries free from threats or acts of force;

(D) freedom of navigation through international waterways in the area; and

(E) a framework for achieving a just settlement of the refugee problem.

(b) SENSE OF CONGRESS.—It is the sense of Congress that the governing entity should enact a constitution assuring the rule of law, an independent judiciary, and respect for human rights for its citizens, and should enact other laws and regulations assuring transparent and accountable governance.

(c) WAIVER.—The President may waive subsection (a) if the President determines that it is important to the national security interest of the United States to do so.

(d) EXEMPTION.—The restriction in subsection (a) shall not apply to assistance intended to help reform the Palestinian Authority and affiliated institutions, or the governing entity, in order to help meet the requirements of subsection (a), consistent with the provisions of section 7040 of this Act ("Limitation on Assistance for the Palestinian Authority").]

## RESTRICTIONS CONCERNING THE PALESTINIAN AUTHORITY

SEC. [7037] 7024. None of the funds appropriated under titles II through VI of this Act [may] *should* be obligated or expended to create in any part of Jerusalem a new office of any department or agency of the United States Government for the purpose of conducting official United States Government business with the Palestinian Authority over Gaza and Jericho or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles: *Provided*, That this restriction shall not apply to the acquisition of additional space for the existing Consulate General in Jerusalem: *Provided further*, That meetings between officers and employees of the United States and officials of the Palestinian Authority, or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles, for the purpose of conducting official United States Government business with such authority should continue to take place in locations other than Jerusalem: *Provided further*, That as has been true in the past, officers and employees of the United States Government may continue to meet in Jerusalem on other subjects with Palestinians (including those who now occupy positions in the Palestinian Authority), have social contacts, and have incidental discussions.

## PROHIBITION ON ASSISTANCE TO THE PALESTINIAN BROADCASTING CORPORATION

SEC. [7038] 7025. None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.

## [ASSISTANCE FOR THE WEST BANK AND GAZA]

[SEC. 7039. (a) OVERSIGHT.—For fiscal year 2016, 30 days prior to the initial obligation of funds for the bilateral West Bank and Gaza Program, the Secretary of State shall certify to the Committees on Appropriations that procedures have been established to assure the Comptroller General of the United States will have access to appropriate United States financial information in order to review the uses of United States assistance for the Program funded under the heading "Economic Support Fund" for the West Bank and Gaza.

(b) VETTING.—Prior to the obligation of funds appropriated by this Act under the heading "Economic Support Fund" for assistance for the West Bank and Gaza, the Secretary of State shall take all appropriate steps to ensure that such assistance is not provided to or through any individual, private or government entity, or educational institution that the Secretary knows or has reason to believe advocates, plans, sponsors, engages in, or has engaged in, terrorist activity nor, with respect to private entities or educational institutions, those that have as a principal officer of the entity's governing board or governing board of trustees any individual that has been determined to be involved in, or advocating terrorist activity or determined to be a member of a designated foreign terrorist organization: *Provided*, That the Secretary of State shall, as appropriate, establish procedures specifying the steps to be taken in carrying out this subsection and shall terminate assistance to any individual, entity, or educational institution which the Secretary has determined to be involved in or advocating terrorist activity.

## (c) PROHIBITION.—

(1) RECOGNITION OF ACTS OF TERRORISM.—None of the funds appropriated under titles III through VI of this Act for assistance under the West Bank and Gaza Program may be made available for the purpose of recognizing or otherwise honoring individuals who commit, or have committed acts of terrorism.

(2) SECURITY ASSISTANCE AND REPORTING REQUIREMENT.—Notwithstanding any other provision of law, none of the funds made available by this or prior appropriations Acts, including funds made available by transfer, may be made available for obligation for security assistance for the West Bank and Gaza until the Secretary of State reports to the Committees on Appropriations on the benchmarks that have been established for security assistance for the West Bank and Gaza and reports on the extent of Palestinian compliance with such benchmarks.

## (d) AUDITS BY THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT.—

(1) The Administrator of the United States Agency for International Development shall ensure that Federal or non-Federal audits of all contractors and grantees, and significant subcontractors and sub-grantees, under the West Bank and Gaza Program, are conducted at least on an annual basis to ensure, among other things, compliance with this section.

(2) Of the funds appropriated by this Act up to \$500,000 may be used by the Office of Inspector General of the United States Agency for International Development for audits, inspections, and other activities in furtherance of the requirements of this subsection: *Provided*, That such funds are in addition to funds otherwise available for such purposes.

(e) COMPTROLLER GENERAL OF THE UNITED STATES AUDIT.—Subsequent to the certification specified in subsection (a), the Comptroller General of the United States shall conduct an audit and an investigation of the treatment, handling, and uses of all funds for the bilateral West Bank and Gaza Program, including all

funds provided as cash transfer assistance, in fiscal year 2016 under the heading "Economic Support Fund", and such audit shall address—

(1) the extent to which such Program complies with the requirements of subsections (b) and (c); and

(2) an examination of all programs, projects, and activities carried out under such Program, including both obligations and expenditures.

(f) NOTIFICATION PROCEDURES.—Funds made available in this Act for West Bank and Gaza shall be subject to the regular notification procedures of the Committees on Appropriations.

(g) REPORT.—Not later than 180 days after enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations updating the report contained in section 2106 of chapter 2 of title II of the Emergency Supplemental Appropriations Act for Defense, the Global War on Terror, and Tsunami Relief, 2005 (Public Law 109–13). ]

## [LIMITATION ON ASSISTANCE FOR THE PALESTINIAN AUTHORITY]

[SEC. 7040. (a) PROHIBITION OF FUNDS.—None of the funds appropriated by this Act to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961 may be obligated or expended with respect to providing funds to the Palestinian Authority.

(b) WAIVER.—The prohibition included in subsection (a) shall not apply if the President certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that waiving such prohibition is important to the national security interest of the United States.

(c) PERIOD OF APPLICATION OF WAIVER.—Any waiver pursuant to subsection (b) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

(d) REPORT.—Whenever the waiver authority pursuant to subsection (b) is exercised, the President shall submit a report to the Committees on Appropriations detailing the justification for the waiver, the purposes for which the funds will be spent, and the accounting procedures in place to ensure that the funds are properly disbursed: *Provided*, That the report shall also detail the steps the Palestinian Authority has taken to arrest terrorists, confiscate weapons and dismantle the terrorist infrastructure.

(e) CERTIFICATION.—If the President exercises the waiver authority under subsection (b), the Secretary of State must certify and report to the Committees on Appropriations prior to the obligation of funds that the Palestinian Authority has established a single treasury account for all Palestinian Authority financing and all financing mechanisms flow through this account, no parallel financing mechanisms exist outside of the Palestinian Authority treasury account, and there is a single comprehensive civil service roster and payroll, and the Palestinian Authority is acting to counter incitement of violence against Israelis and is supporting activities aimed at promoting peace, coexistence, and security cooperation with Israel.

## (f) PROHIBITION TO HAMAS AND THE PALESTINE LIBERATION ORGANIZATION.—

(1) None of the funds appropriated in titles III through VI of this Act may be obligated for salaries of personnel of the Palestinian Authority located in Gaza or may be obligated or expended for assistance to Hamas or any entity effectively controlled by Hamas, any power-sharing government of which Hamas is a member, or that results from an agreement with Hamas and over which Hamas exercises undue influence.

(2) Notwithstanding the limitation of paragraph (1), assistance may be provided to a power-sharing government only if the President certifies and reports to the Committees on Appropriations that such government, including all of its ministers or such equivalent, has publicly accepted and is complying with the principles contained in section 620K(b)(1) (A) and (B) of the Foreign Assistance Act of 1961, as amended.

(3) The President may exercise the authority in section 620K(e) of the Foreign Assistance Act of 1961, as added by the Palestinian Anti-Terrorism Act of 2006 (Public Law 109–446) with respect to this subsection.

(4) Whenever the certification pursuant to paragraph (2) is exercised, the Secretary of State shall submit a report to the Committees on Appropriations within 120 days of the certification and every quarter thereafter on whether such government, including all of its ministers or such equivalent are continuing to comply with the principles contained in section 620K(b)(1) (A) and (B) of the Foreign Assistance Act of 1961, as amended: *Provided*, That the report shall also detail the amount, purposes and delivery mechanisms for any assistance provided pursuant to the abovementioned certification and a full accounting of any direct support of such government.

(5) None of the funds appropriated under titles III through VI of this Act may be obligated for assistance for the Palestine Liberation Organization. ]

## MIDDLE EAST AND NORTH AFRICA

## SEC. [7041] 7026. (a) EGYPT.—

(1) CERTIFICATION AND REPORT.—Funds appropriated by this Act that are available for assistance for Egypt may be made available notwithstanding any other provision of law restricting assistance for Egypt, except for this subsection and section 620M of the Foreign Assistance Act of 1961, and may only be made available for assistance for the Government of Egypt if the Secretary of State certifies and reports to the Committees on Appropriations that such government is—

- (A) sustaining the strategic relationship with the United States; and
- (B) meeting its obligations under the 1979 Egypt-Israel Peace Treaty.

## (2) [ECONOMIC SUPPORT FUND.—]

[(A) FUNDING.—Of the funds appropriated by this Act under the heading "Economic Support Fund", up to \$150,000,000 may be made available for assistance for Egypt, of which not less than \$35,000,000 should be made available for higher education programs including not less than \$10,000,000 for scholarships at not-for-profit institutions for Egyptian students with high financial need: *Provided*, That such funds may be made available for democracy programs and for development programs in the Sinai: *Provided further*, That such funds may not be made available for cash transfer assistance or budget support unless the Secretary of State certifies and reports to the appropriate congressional committees that the Government of Egypt is taking consistent and effective steps to stabilize the economy and implement market-based economic reforms.]

[(B) WITHHOLDING.—The Secretary of State [shall] *should* withhold from obligation funds appropriated by this Act under the heading "Economic Support Fund" for assistance for Egypt, an amount of such funds that the Secretary determines to be equivalent to that expended by the United States Government for bail, and by nongovernmental organizations for legal and court fees, associated with democracy-related trials in Egypt until the Secretary certifies and reports to the Committees on Appropriations that the Government of Egypt has dismissed the convictions issued by the Cairo Criminal Court on June 4, 2013, in "Public Prosecution Case No. 1110 for the Year 2012".

## (3) FOREIGN MILITARY FINANCING PROGRAM.—

[(A) CERTIFICATION.—Of the funds appropriated by this Act under the heading "Foreign Military Financing Program", \$1,300,000,000, to remain available until September 30, [2017] 2018, may be made available for assistance for Egypt: *Provided*, [That 15 percent of such funds shall be withheld from obligation until the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Egypt is taking effective steps to—]

[(i) advance democracy and human rights in Egypt, including to govern democratically and protect religious minorities and the rights of women, which are in addition to steps taken during the previous calendar year for such purposes;]

[(ii) implement reforms that protect freedoms of expression, association, and peaceful assembly, including the ability of civil society organizations and the media to function without interference;]

[(iii) release political prisoners and provide detainees with due process of law;]

[(iv) hold Egyptian security forces accountable, including officers credibly alleged to have violated human rights; and]

[(v) provide regular access for United States officials to monitor such assistance in areas where the assistance is used:]

[(*Provided further*,] That such funds may be transferred to an interest bearing account in the Federal Reserve Bank of New York, following consultation with the Committees on Appropriations[: *Provided further*, That the certification requirement of this paragraph shall not apply to funds appropriated by this Act under such heading for counterterrorism, border security, and nonproliferation programs for Egypt.]

[(B) WAIVER.—The Secretary of State may waive the certification requirement in subparagraph (A) if the Secretary determines and reports to the Committees on Appropriations that to do so is important to the national security interest of the United States, and submits a report to such Committees containing a detailed justification for the use of such waiver and the reasons why any of the requirements of subparagraph (A) cannot be met.]

## [(4) OVERSIGHT AND CONSULTATION REQUIREMENTS.—]

(A) The Secretary of State shall take all practicable steps to ensure that mechanisms are in place for monitoring, oversight, and control of funds made available by this subsection for assistance for Egypt.

(B) Not later than 90 days after enactment of this Act, the Secretary shall consult with the Committees on Appropriations on any plan to restructure military assistance for Egypt.]

## [(b) IRAN.—]

(1) FUNDING.—Funds appropriated by this Act under the headings "Diplomatic and Consular Programs", "Economic Support Fund", and "Nonproliferation, Anti-terrorism, Demining and Related Programs" shall be used by the Secretary of State—

(A) to support the United States policy to prevent Iran from achieving the capability to produce or otherwise obtain a nuclear weapon;

(B) to support an expeditious response to any violation of the Joint Comprehensive Plan of Action or United Nations Security Council Resolution 2231;

(C) to support the implementation and enforcement of sanctions against Iran for support of terrorism, human rights abuses, and ballistic missile and weapons proliferation; and

(D) for democracy programs for Iran, to be administered by the Assistant Secretary for Near Eastern Affairs, Department of State, in consultation with the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State.

(2) CONTINUATION OF PROHIBITION.—The terms and conditions of paragraph (2) of section 7041(c) in division I of Public Law 112–74 shall continue in effect during fiscal year 2016 as if part of this Act.

## (3) REPORTS.—

(A) The Secretary of State shall submit to the Committees on Appropriations the semi-annual report required by section 2 of the Iran Nuclear Agreement Review Act of 2015 (42 U.S.C. 2160e(d)(4)).

(B) Not later than 180 days after the date of enactment of this Act, the Secretary of State, in consultation with the Secretary of the Treasury, shall submit to the appropriate congressional committees a report on the status of the implementation and enforcement of bilateral United States and multilateral sanctions against Iran and actions taken by the United States and the international community to enforce such sanctions against Iran: *Provided*, That the report shall also include any entities involved in the testing of a ballistic missile by the Government of Iran after October 1, 2015, and note whether such entities are currently under United States sanctions: *Provided further*, That such report shall be submitted in an unclassified form, but may contain a classified annex if necessary.]

## [(c) IRAQ.—]

[(1) PURPOSES.—]Funds appropriated by this Act [shall] *may* be made available for assistance for Iraq [to promote governance, security, and internal and regional stability, including in Kurdistan and other areas impacted by the conflict in Syria, and among religious and ethnic minority populations in Iraq] *notwithstanding any other provision of law*.

[(2) LIMITATION.—None of the funds appropriated by this Act may be made available for construction, rehabilitation, or other improvements to United States diplomatic facilities in Iraq on property for which no land-use agreement has been entered into by the Governments of the United States and Iraq: *Provided*, That the restrictions in this paragraph shall not apply if such funds are necessary to protect United States diplomatic facilities or the security, health, and welfare of United States personnel.]

[(3) KURDISTAN REGIONAL GOVERNMENTS SECURITY SERVICES.—Funds appropriated by this Act under the headings "International Narcotics Control and Law Enforcement" and "Foreign Military Financing Program" that are available for assistance for Iraq should be made available to enhance the capacity of Kurdistan Regional Government security services and for security programs in Kurdistan to address requirements arising from the violence in Syria and Iraq: *Provided*, That the Secretary of State shall consult with the Committees on Appropriations prior to obligating such funds.]

[(4) BASING RIGHTS AGREEMENT.—None of the funds appropriated or otherwise made available by this Act may be used by the Government of the United States to enter into a permanent basing rights agreement between the United States and Iraq.]

## [(d) JORDAN.—]

(1) FUNDING LEVELS.—Of the funds appropriated by this Act under titles III and IV, not less than \$1,275,000,000 shall be made available for assistance for Jordan, of which not less than \$204,000,000 shall be for budget support for the Government of Jordan and \$100,000,000 shall be for water sector support: *Provided*, That such assistance for water sector support shall be subject to prior consultation with the Committees on Appropriations.

(2) RESPONSE TO THE SYRIAN CRISIS.—Funds appropriated by this Act shall be made available for programs to implement the Jordan Response Plan 2015 for the Syria Crisis, including assistance for host communities in Jordan:

*Provided*, That not later than 180 days after enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations describing United States and other donor contributions to such Plan. ]

**([e]c) LEBANON.—**

**[(1) LIMITATION.—**None of the funds appropriated by this Act may be made available for the Lebanese Internal Security Forces (ISF) or the Lebanese Armed Forces (LAF) if the ISF or the LAF is controlled by a foreign terrorist organization, as designated pursuant to section 219 of the Immigration and Nationality Act. ]

**[(2) CONSULTATION REQUIREMENT.—**Funds appropriated by this Act under the headings "International Narcotics Control and Law Enforcement" and "Foreign Military Financing Program" that are available for assistance for Lebanon may be made available for programs and equipment for the ISF and the LAF to address security and stability requirements in areas affected by the conflict in Syria, following consultation with the appropriate congressional committees. ]

**[(3) ECONOMIC SUPPORT FUND.—**Funds appropriated by this Act [under the heading "Economic Support Fund" ] that are available for assistance for Lebanon may be made available notwithstanding [section 1224 of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107–228; 22 U.S.C. 2346 note)] *any other provision of law*.

**[(4) FOREIGN MILITARY FINANCING PROGRAM.—**In addition to the activities described in paragraph (2), funds appropriated by this Act under the heading "Foreign Military Financing Program" for assistance for Lebanon may be made available only to professionalize the LAF and to strengthen border security and combat terrorism, including training and equipping the LAF to secure Lebanon's borders, interdicting arms shipments, preventing the use of Lebanon as a safe haven for terrorist groups, and to implement United Nations Security Council Resolution 1701: *Provided*, That funds may not be obligated for assistance for the LAF until the Secretary of State submits to the Committees on Appropriations a detailed spend plan, including actions to be taken to ensure equipment provided to the LAF is only used for the intended purposes, except such plan may not be considered as meeting the notification requirements under section 7015 of this Act or under section 634A of the Foreign Assistance Act of 1961, and shall be submitted not later than September 1, 2016: *Provided further*, That any notification submitted pursuant to such sections shall include any funds specifically intended for lethal military equipment. ]

**[(f) LIBYA.—**

**(1) FUNDING.—**Of the funds appropriated by titles III and IV of this Act, not less than \$20,000,000 shall be made available for assistance for Libya for programs to strengthen governing institutions and civil society, improve border security, and promote democracy and stability in Libya, and for activities to address the humanitarian needs of the people of Libya.

**(2) LIMITATIONS.—**

**(A) COOPERATION ON THE SEPTEMBER 2012 ATTACK ON UNITED STATES PERSONNEL AND FACILITIES.—**None of the funds appropriated by this Act may be made available for assistance for the central Government of Libya unless the Secretary of State reports to the Committees on Appropriations that such government is cooperating with United States Government efforts to investigate and bring to justice those responsible for the attack on United States personnel and facilities in Benghazi, Libya in September 2012: *Provided*, That the limitation in this paragraph shall not apply to funds made available for the purpose of protecting United States Government personnel or facilities.

**(B) INFRASTRUCTURE PROJECTS.—**The limitation on the uses of funds in section 7041(f)(2) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76) shall apply to funds appropriated by this Act that are made available for assistance for Libya.

**(3) CERTIFICATION REQUIREMENT.—**Prior to the initial obligation of funds made available by this Act for assistance for Libya, the Secretary of State shall certify and report to the Committees on Appropriations that all practicable steps have been taken to ensure that mechanisms are in place for monitoring, oversight, and control of funds made available by this subsection for assistance for Libya, including a description of the vetting procedures to be used for recipients of assistance made available under title IV of this Act. ]

**[(g) MOROCCO.—**

**(1) AVAILABILITY AND CONSULTATION REQUIREMENT.—**Funds appropriated under title III of this Act shall be made available for assistance for the Western Sahara: *Provided*, That not later than 90 days after enactment of this Act and prior to the obligation of such funds the Secretary of State, in consultation with the Administrator of the United States Agency for International Development, shall consult with the Committees on Appropriations on the proposed uses of such funds.

**(2) FOREIGN MILITARY FINANCING PROGRAM.—**Funds appropriated by this Act under the heading "Foreign Military Financing Program" that are available for assistance for Morocco may only be used for the purposes requested in the Congressional Budget Justification, Foreign Operations, Fiscal Year 2016. ]

**[(h)d) SYRIA.—**

**(1) NON-LETHAL ASSISTANCE.—**Funds appropriated by this Act under [the headings "Economic Support Fund", "International Narcotics Control and Law Enforcement", and "Peacekeeping Operations" shall ] *titles III and IV may* be made available, notwithstanding any other provision of law except for this subsection, for non-lethal assistance for programs to address the needs of civilians affected by conflict in Syria, and for programs that seek to—

**(A)** establish governance in Syria that is representative, inclusive, and accountable;

**(B)** expand the role of women in negotiations to end the violence and in any political transition in Syria;

**(C)** develop and implement political processes that are democratic, transparent, and adhere to the rule of law;

**(D)** further the legitimacy of the Syrian opposition through cross-border programs;

**(E)** develop civil society and an independent media in Syria;

**(F)** promote economic development *and security* in Syria;

**(G)** document, investigate, and prosecute human rights violations in Syria, including through transitional justice programs and support for nongovernmental organizations;

**(H)** counter extremist ideologies;

**(I)** assist Syrian refugees whose education has been interrupted by the ongoing conflict to complete higher education requirements at regional academic institutions; and

**(J)** assist vulnerable populations in Syria and in neighboring countries.

**(2) [SYRIAN ORGANIZATIONS.—**Funds appropriated by this Act that are made available for assistance for Syria pursuant to the authority of this subsection shall be made available, on an open and competitive basis, for a program to strengthen the capability of Syrian civil society organizations to address the immediate and long-term needs of the Syrian people inside Syria in a manner that supports the sustainability of such organizations in implementing Syrian-led humanitarian and development programs and the comprehensive strategy required in section 7041(i)(3) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76) ] *The authority of sections 552(c) and 610 of the Foreign Assistance Act may be exercised by the President to provide assistance for Syria, notwithstanding any other provision of law and without regard to the percentage and dollar limitations in such sections.*

**[(3) STRATEGY UPDATE.—**Funds appropriated by this Act that are made available for assistance for Syria pursuant to the authority of this subsection may only be made available after the Secretary of State, in consultation with the heads of relevant United States Government agencies, submits, in classified form if necessary, an update to the comprehensive strategy required in section 7041(i)(3) of Public Law 113–76. ]

**[(4) MONITORING AND OVERSIGHT.—**Prior to the obligation of funds appropriated by this Act and made available for assistance for Syria, the Secretary of State shall take all practicable steps to ensure that mechanisms are in place for monitoring, oversight, and control of such assistance inside Syria: *Provided*, That the Secretary shall promptly inform the appropriate congressional committees of each significant instance in which assistance provided pursuant to this subsection has been compromised, to include the type and amount of assistance affected, a description of the incident and parties involved, and an explanation of the response of the Department of State. ]

**[(5) CONSULTATION AND NOTIFICATION.—**Funds made available pursuant to this subsection may only be made available following consultation with the appropriate congressional committees, and shall be subject to the regular notification procedures of the Committees on Appropriations. ]

**[(i) TUNISIA.—**Of the funds appropriated under titles III and IV of this Act, not less than \$141,900,000 shall be made available for assistance for Tunisia. ]

**[(j)e) WEST BANK AND GAZA.—**

**[(1) REPORT ON ASSISTANCE.—**Prior to the initial obligation of funds made available by this Act under the heading "Economic Support Fund" for assistance for the West Bank and Gaza, the Secretary of State shall report to the Committees on Appropriations that the purpose of such assistance is to—

**(A)** advance Middle East peace;

**(B)** improve security in the region;

**(C)** continue support for transparent and accountable government institutions;

**(D)** promote a private sector economy; or

(E) address urgent humanitarian needs.】

【(2) LIMITATIONS】 *WAIVER.*—

【(A)(i) None of the funds appropriated under the heading "Economic Support Fund" in this Act may be made available for assistance for the Palestinian Authority, if after the date of enactment of this Act—

(I) the Palestinians obtain the same standing as member states or full membership as a state in the United Nations or any specialized agency thereof outside an agreement negotiated between Israel and the Palestinians; or

(II) the Palestinians initiate an International Criminal Court (ICC) judicially authorized investigation, or actively support such an investigation, that subjects Israeli nationals to an investigation for alleged crimes against Palestinians.

(ii) The Secretary of State may waive the restriction in clause (i) of this subparagraph resulting from the application of subclause (I) of such clause if the Secretary certifies to the Committees on Appropriations that to do so is in the national security interest of the United States, and submits a report to such Committees detailing how the waiver and the continuation of assistance would assist in furthering Middle East peace.】

【(B)(i) The President may waive the provisions of section 1003 of the Foreign Relations Authorization Act, Fiscal Years 1988 and 1989 (Public Law 100–204) if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the appropriate congressional committees that the Palestinians have not, after the date of enactment of this Act—

(I) obtained in the United Nations or any specialized agency thereof the same standing as member states or full membership as a state outside an agreement negotiated between Israel and the Palestinians; and

(II) taken any action with respect to the ICC that is intended to influence a determination by the ICC to initiate a judicially authorized investigation, or to actively support such an investigation, that subjects Israeli nationals to an investigation for alleged crimes against Palestinians.

(ii) Not less than 90 days after the President is unable to make the certification pursuant to clause (i) of this subparagraph, the President may waive section 1003 of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that the Palestinians have entered into direct and meaningful negotiations with Israel: *Provided*, That any waiver of the provisions of section 1003 of Public Law 100–204 under clause (i) of this subparagraph or under previous provisions of law must expire before the waiver under the preceding sentence may be exercised.

(iii) Any waiver pursuant to this subparagraph shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.】

(1) *The President may waive the provisions of section 1003(1) and (2) of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that it is important to the national security interests of the United States.*

(2) *PERIOD OF APPLICATION OF THE WAIVER.—Any waiver pursuant to paragraph (1) shall be effective for no more than a period of 6 months at a time.*

(3) *Upon written certification to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations, the President may waive the provisions of section 1003(3) of Public Law 100–204.*

【(3) *REDUCTION.*—The Secretary of State shall reduce the amount of assistance made available by this Act under the heading "Economic Support Fund" for the Palestinian Authority by an amount the Secretary determines is equivalent to the amount expended by the Palestinian Authority as payments for acts of terrorism by individuals who are imprisoned after being fairly tried and convicted for acts of terrorism and by individuals who died committing acts of terrorism during the previous calendar year: *Provided*, That the Secretary shall report to the Committees on Appropriations on the amount reduced for fiscal year 2016 prior to the obligation of funds for the Palestinian Authority.】

【(4) *SECURITY REPORT.*—The reporting requirements contained in section 1404 of the Supplemental Appropriations Act, 2008 (Public Law 110–252) shall apply to funds made available by this Act, including a description of modifications, if any, to the security strategy of the Palestinian Authority.】

【AFRICA】

【SEC. 7042. (a) *BOKO HARAM.*—Funds appropriated by this Act that are made available for assistance for Cameroon, Chad, Niger, and Nigeria—

(1) shall be made available for assistance for women and girls who are targeted by the terrorist organization Boko Haram, consistent with the provisions of section 7059 of this Act; and

(2) may be made available for counterterrorism programs to combat Boko Haram.

(b) *CENTRAL AFRICAN REPUBLIC.*—Funds made available by this Act for assistance for the Central African Republic shall be made available for reconciliation and peacebuilding programs, including activities to promote inter-faith dialogue at the national and local levels, and for programs to prevent crimes against humanity.

(c) *COUNTERTERRORISM PROGRAMS.*—Of the funds appropriated by this Act, not less than \$69,821,000 should be made available for the Trans-Sahara Counterterrorism Partnership program, and not less than \$24,150,000 should be made available for the Partnership for Regional East Africa Counterterrorism program.

(d) *ETHIOPIA.*—

(1) *FORCED EVICTIONS.*—

(A) Funds appropriated by this Act for assistance for Ethiopia may not be made available for any activity that supports forced evictions.

(B) The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to vote against financing for any activity that supports forced evictions in Ethiopia.

(2) *CONSULTATION REQUIREMENT.*—Programs and activities to improve livelihoods shall include prior consultation with, and the participation of, affected communities, including in the South Omo and Gambella regions.

(3) *FOREIGN MILITARY FINANCING PROGRAM.*—Funds appropriated by this Act under the heading "Foreign Military Financing Program" for assistance for Ethiopia may only be made available for border security and counterterrorism programs, support for international peacekeeping efforts, and assistance for the Ethiopian Defense Command and Staff College.

(e) *LAKE CHAD BASIN COUNTRIES.*—Funds appropriated by this Act shall be made available for democracy and other development programs in Cameroon, Chad, Niger, and Nigeria, following consultation with the Committees on Appropriations: *Provided*, That such democracy programs should protect freedoms of expression, association and religion, including for journalists, civil society, and opposition political parties, and should be used to assist the governments of such countries to strengthen accountability and the rule of law, including within the security forces.

(f) *LORD'S RESISTANCE ARMY.*—Funds appropriated by this Act shall be made available for programs and activities in areas affected by the Lord's Resistance Army (LRA) consistent with the goals of the Lord's Resistance Army Disarmament and Northern Uganda Recovery Act (Public Law 111–172), including to improve physical access, telecommunications infrastructure, and early-warning mechanisms and to support the disarmament, demobilization, and reintegration of former LRA combatants, especially child soldiers.

(g) *POWER AFRICA INITIATIVE.*—Funds appropriated by this Act that are made available for the Power Africa initiative shall be subject to the regular notification procedures of the Committees on Appropriations.

(h) *PROGRAMS IN AFRICA.*—

(1) Of the funds appropriated by this Act under the headings "Global Health Programs" and "Economic Support Fund", not less than \$7,000,000 shall be made available for the purposes of section 7042(g)(1) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76).

(2) Of the funds appropriated by this Act under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement", not less than \$8,000,000 shall be made available for the purposes of section 7042(g)(2) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76).

(3) Funds made available under paragraphs (1) and (2) shall be programmed in a manner that leverages a United States Government-wide approach to addressing shared challenges and mutually beneficial opportunities, and shall be the responsibility of United States Chiefs of Mission in countries in Africa seeking enhanced partnerships with the United States in areas of trade, investment, development, health, and security.

(i) *SOUTH SUDAN.*—

(1) Funds appropriated by this Act that are made available for assistance for South Sudan should—

(A) be prioritized for programs that respond to humanitarian needs and the delivery of basic services and to mitigate conflict and promote stability, including to address protection needs and prevent and respond to gender-based violence;

(B) support programs that build resilience of communities to address food insecurity, maintain educational opportunities, and enhance local governance;

(C) be used to advance democracy, including support for civil society, independent media, and other means to strengthen the rule of law;

(D) support the transparent and sustainable management of natural resources by assisting the Government of South Sudan in conducting regular audits of financial accounts, including revenues from oil and gas, and the timely public disclosure of such audits; and

(E) support the professionalization of security forces, including human rights and accountability to civilian authorities.

(2) None of the funds appropriated by this Act that are available for assistance for the central Government of South Sudan may be made available until the Secretary of State certifies and reports to the Committees on Appropriations that such government is taking effective steps to—

(A) end hostilities and pursue good faith negotiations for a political settlement of the internal conflict;

(B) provide access for humanitarian organizations;

(C) end the recruitment and use of child soldiers;

(D) protect freedoms of expression, association, and assembly;

(E) reduce corruption related to the extraction and sale of oil and gas; and

(F) establish democratic institutions, including accountable military and police forces under civilian authority.

(3) The limitation of paragraph (2) shall not apply to—

(A) humanitarian assistance;

(B) assistance to support South Sudan peace negotiations or to advance or implement a peace agreement; and

(C) assistance to support implementation of outstanding issues of the Comprehensive Peace Agreement (CPA) and mutual arrangements related to the CPA.

(j) SUDAN.—

(1) Notwithstanding any other provision of law, none of the funds appropriated by this Act may be made available for assistance for the Government of Sudan.

(2) None of the funds appropriated by this Act may be made available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees held by the Government of Sudan, including the cost of selling, reducing, or canceling amounts owed to the United States, and modifying concessional loans, guarantees, and credit agreements.

(3) The limitations of paragraphs (1) and (2) shall not apply to—

(A) humanitarian assistance;

(B) assistance for democracy programs;

(C) assistance for the Darfur region, Southern Kordofan State, Blue Nile State, other marginalized areas and populations in Sudan, and Abyei; and

(D) assistance to support implementation of outstanding issues of the Comprehensive Peace Agreement (CPA), mutual arrangements related to post-referendum issues associated with the CPA, or any other internationally recognized viable peace agreement in Sudan.

(k) ZIMBABWE.—

(1) The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to vote against any extension by the respective institution of any loan or grant to the Government of Zimbabwe, except to meet basic human needs or to promote democracy, unless the Secretary of State certifies and reports to the Committees on Appropriations that the rule of law has been restored, including respect for ownership and title to property, and freedoms of expression, association, and assembly.

(2) None of the funds appropriated by this Act shall be made available for assistance for the central Government of Zimbabwe, except for health and education, unless the Secretary of State certifies and reports as required in paragraph (1), and funds may be made available for macroeconomic growth assistance if the Secretary reports to the Committees on Appropriations that such government is implementing transparent fiscal policies, including public disclosure of revenues from the extraction of natural resources. ]

#### EAST ASIA AND THE PACIFIC

SEC. [7043] 7027. [(a) ASIA REBALANCING INITIATIVE.—Except for paragraphs (1)(C), (4), (5)(B) and (C), and 6(B), section 7043(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year 2016 as if part of this Act: *Provided*, That section 7043(a)(8) of such Act shall be applied to funds appropriated by this Act by adding "East Asia," before "South East Asia". ]

[(b) a] BURMA. [— ]

[(1) ] BILATERAL ECONOMIC ASSISTANCE.—

Funds appropriated by this Act [under the heading "Economic Support Fund" ] and prior Acts making appropriations for the Department of State,

foreign operations, and related programs for assistance for Burma may be made available notwithstanding any other provision of law, [except for this subsection, and following consultation with the appropriate congressional committees ] and may be made available for ethnic groups and civil society in Burma to help sustain ceasefire agreements and further prospects for reconciliation and peace, which may include support to representatives of ethnic armed groups and the Burmese military for this purpose.

[(B) Funds appropriated under title III of this Act for assistance for Burma—

(i) may not be made available for budget support for the Government of Burma;

(ii) shall be made available to strengthen civil society organizations in Burma, including as core support for such organizations;

(iii) shall be made available for the implementation of the democracy and human rights strategy required by section 7043(b)(3)(A) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76);

(iv) shall be made available for community-based organizations operating in Thailand to provide food, medical, and other humanitarian assistance to internally displaced persons in eastern Burma, in addition to assistance for Burmese refugees from funds appropriated by this Act under the heading "Migration and Refugee Assistance";

(v) shall be made available for programs to promote ethnic and religious tolerance, including in Rakhine and Kachin states;

(vi) may not be made available to any successor or affiliated organization of the State Peace and Development Council (SPDC) controlled by former SPDC members that promotes the repressive policies of the SPDC, or to any individual or organization credibly alleged to have committed gross violations of human rights, including against Rohingya and other minority groups;

(vii) may be made available for programs administered by the Office of Transition Initiatives, United States Agency for International Development (USAID), for ethnic groups and civil society in Burma to help sustain ceasefire agreements and further prospects for reconciliation and peace, which may include support to representatives of ethnic armed groups for this purpose; and

(viii) may not be made available to any organization or individual the Secretary of State determines and reports to the appropriate congressional committees advocates violence against ethnic or religious groups and individuals in Burma, including such organizations as Ma Ba Tha. ]

[(2) INTERNATIONAL SECURITY ASSISTANCE.—None of the funds appropriated by this Act under the headings "International Military Education and Training" and "Foreign Military Financing Program" may be made available for assistance for Burma: *Provided*, That the Department of State may continue consultations with the armed forces of Burma only on human rights and disaster response in a manner consistent with the prior fiscal year, and following consultation with the appropriate congressional committees. ]

[(3) MULTILATERAL ASSISTANCE.—The Secretary of the Treasury should instruct the United States executive director of each international financial institution to use the voice and vote of the United States to support projects in Burma only if such projects—

(A) promote accountability and transparency, including on-site monitoring throughout the life of the project;

(B) are developed and carried out in accordance with best practices regarding environmental conservation; social and cultural protection and empowerment of local populations, particularly ethnic nationalities; and extraction of resources;

(C) do not promote the displacement of local populations without appropriate consultation, harm mitigation and compensation, and do not provide incentives for, or facilitate, the forced migration of indigenous communities; and

(D) do not partner with or otherwise involve military-owned enterprises or state-owned enterprises associated with the military. ]

[(4) ASSESSMENT.—Not later than 180 days after enactment of this Act, the Comptroller General of the United States shall initiate an assessment of democracy programs in Burma conducted by the Department of State and USAID, including the strategy for such programs, and programmatic implementation and results: *Provided*, That of the funds appropriated by this Act and made available for assistance for Burma, up to \$100,000 shall be made available to the Comptroller for such assessment. ]

[(5) PROGRAMS, POSITION, AND RESPONSIBILITIES.—

(A) Any new program or activity in Burma initiated in fiscal year 2016 shall be subject to prior consultation with the appropriate congressional committees.

(B) Section 7043(b)(7) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year 2016 as if part of this Act.

(C) The United States Chief of Mission in Burma, in consultation with the Assistant Secretary for the Bureau of Democracy, Human Rights, and Labor, Department of State, shall be responsible for democracy programs in Burma. ]

**[(c) CAMBODIA.—**

(1) **KHMER ROUGE TRIBUNAL.**—Of the funds appropriated by this Act that are made available for assistance for Cambodia, up to \$2,000,000 may be made available for a contribution to the Extraordinary Chambers in the Court of Cambodia (ECCC), in a manner consistent with prior fiscal years, except that such funds may only be made available for a contribution to the appeals process in Case 002/01.

(2) **RESEARCH AND EDUCATION.**—Funds made available by this Act for democracy programs in Cambodia shall be made available for research and education programs associated with the Khmer Rouge genocide in Cambodia.

(3) **REIMBURSEMENTS.**—The Secretary of State shall continue to consult with the Principal Donors Group on reimbursements to the Documentation Center of Cambodia for costs incurred in support of the ECCC. ]

**[(d) NORTH KOREA.—**

(1) **BROADCASTS.**—Funds appropriated by this Act under the heading "International Broadcasting Operations" shall be made available to maintain broadcasts into North Korea at levels consistent with the prior fiscal year. ]

(2) **REFUGEES.**—Funds appropriated by this Act under the heading "Migration and Refugee Assistance" shall be made available for assistance for refugees from North Korea, including protection activities in the People's Republic of China and other countries in the Asia region. ]

(3) **DATABASE AND REPORT.**—Funds appropriated by this Act under title III shall be made available to maintain a database of prisons and gulags in North Korea, in accordance with section 7032(i) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76): *Provided*, That not later than 30 days after enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations describing the sources of information and format of such database. ]

(4) **LIMITATION ON USE OF FUNDS.**—None of the funds made available by this Act under the heading "Economic Support Fund" may be made available for assistance for the Government of North Korea. ] *Funds appropriated under the heading "Economic Support Fund" may be made available for programs to support initiatives relating to North Korea that are in the national interest of the United States, notwithstanding any other provision of law.*

**[(e) PEOPLE'S REPUBLIC OF CHINA.—**

(1) **LIMITATION ON USE OF FUNDS.**—None of the funds appropriated under the heading "Diplomatic and Consular Programs" in this Act may be obligated or expended for processing licenses for the export of satellites of United States origin (including commercial satellites and satellite components) to the People's Republic of China (PRC) unless, at least 15 days in advance, the Committees on Appropriations are notified of such proposed action. ]

(2) **PEOPLE'S LIBERATION ARMY.**—The terms and requirements of section 620(h) of the Foreign Assistance Act of 1961 shall apply to foreign assistance projects or activities of the People's Liberation Army (PLA) of the PRC, to include such projects or activities by any entity that is owned or controlled by, or an affiliate of, the PLA: *Provided*, That none of the funds appropriated or otherwise made available pursuant to this Act may be used to finance any grant, contract, or cooperative agreement with the PLA, or any entity that the Secretary of State has reason to believe is owned or controlled by, or an affiliate of, the PLA. ]

(3) **COUNTER INFLUENCE PROGRAMS.**—Funds appropriated by this Act for public diplomacy under title I and for assistance under titles III and IV shall be made available to counter the influence of the PRC, in accordance with the strategy required by section 7043(e)(3) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76), following consultation with the Committees on Appropriations. ]

(4) **COST-MATCHING REQUIREMENT.**—Section 7032(f) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year 2016 as if part of this Act. ] *Notwithstanding any other provision of law, funds appropriated by this Act may be made available for activities with the People's Republic of China designed to leverage assistance programs and improve aid effectiveness.*

**[(f) TIBET.—**

(1) **FINANCING OF PROJECTS IN TIBET.**—The Secretary of the Treasury should instruct the United States executive director of each international financial institution to use the voice and vote of the United States to support financing of projects in Tibet if such projects do not provide incentives for the migration and settlement of non-Tibetans into Tibet or facilitate the transfer of ownership of Tibetan land and natural resources to non-Tibetans, are based on a thorough needs-assessment, foster self-sufficiency of the Tibetan people and respect Tibetan culture and traditions, and are subject to effective monitoring. ]

**[(2) PROGRAMS FOR TIBETAN COMMUNITIES.—**

(A) ] *Notwithstanding any other provision of law, funds appropriated by this Act under the heading "Economic Support Fund" shall may be made available to nongovernmental organizations to support activities which preserve cultural traditions and promote sustainable development, education, and environmental conservation in Tibetan communities in the Tibetan Autonomous Region and in other Tibetan communities in China.*

(B) Funds appropriated by this Act under the heading "Economic Support Fund" shall be made available for programs to promote and preserve Tibetan culture, development, and the resilience of Tibetan communities in India and Nepal, and to assist in the education and development of the next generation of Tibetan leaders from such communities: *Provided*, That such funds are in addition to amounts made available in subparagraph (A) for programs inside Tibet. ]

**[(g) VIETNAM.—**

(1) **DIOXIN REMEDIATION.**—Funds appropriated by this Act under the heading "Development Assistance" and "Economic Support Fund" shall may be made available for remediation of dioxin contaminated sites in Vietnam and may be made available for assistance for the Government of Vietnam, including the military, for such purposes.

(2) **HEALTH AND DISABILITY PROGRAMS.**—Funds appropriated by this Act under the heading "Development Assistance" shall be made available for health and disability programs in areas sprayed with Agent Orange and otherwise contaminated with dioxin, to assist individuals with severe upper or lower body mobility impairment and/or cognitive or developmental disabilities. ]

(f) *Funds appropriated in this Act under the headings "Development Assistance", "Economic Support Fund", and "Nonproliferation, Anti-terrorism, Demining and Related Programs" may be made available for Asian regional programs that include countries or governments otherwise ineligible for United States assistance, notwithstanding any other provision of law.*

**SOUTH AND CENTRAL ASIA**

**SEC. [7044] 7028. (a) AFGHANISTAN. [—]**

**[(1) DIPLOMATIC OPERATIONS.—**

(A) **FACILITIES.**—Funds appropriated by this Act under the headings "Diplomatic and Consular Programs", "Embassy Security, Construction, and Maintenance", and "Operating Expenses" that are available for construction and renovation of United States Government facilities in Afghanistan may not be made available if the purpose is to accommodate Federal employee positions or to expand aviation facilities or assets above those notified by the Department of State and the United States Agency for International Development (USAID) to the Committees on Appropriations, or contractors in addition to those in place on the date of enactment of this Act: *Provided*, That the limitations in this paragraph shall not apply if funds are necessary to implement plans for accommodating other United States Government agencies under Chief of Mission authority per section 3927 of title 22, United States Code, or to protect such facilities or the security, health, and welfare of United States Government personnel.

(B) **PERSONNEL REPORT.**—Not later than 30 days after enactment of this Act and every 120 days thereafter until September 30, 2016, the Secretary of State shall submit a report, in classified form if necessary, to the appropriate congressional committees detailing by agency the number of personnel present in Afghanistan under Chief of Mission authority per section 3927 of title 22, United States Code, at the end of the 120 day period preceding the submission of such report: *Provided*, That such report shall also include the number of locally employed staff and contractors supporting United States Embassy operations in Afghanistan during the reporting period. ]

**[(2) ASSISTANCE AND CONDITIONS.—**

(A) **FUNDING AND LIMITATIONS.**—Funds appropriated by this Act under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement" may be made available for assistance for Afghanistan: *Provided*, That such funds may not be obligated for any project or activity that—

(i) includes the participation of any Afghan individual or organization that the Secretary of State determines to be involved in corrupt practices or a violation of human rights;

(ii) cannot be sustained, as appropriate, by the Government of Afghanistan or another Afghan entity;

(iii) is inaccessible for the purposes of conducting regular oversight in accordance with applicable Federal statutes and regulations; or

(iv) initiates any new, major infrastructure development.

(B) CERTIFICATION AND REPORT.—Prior to the initial obligation of funds made available by this Act under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement" for assistance for the central Government of Afghanistan, the Secretary of State shall certify and report to the Committees on Appropriations, after consultation with the Government of Afghanistan, that—

(i) goals and benchmarks for the specific uses of such funds have been established by the Governments of the United States and Afghanistan;

(ii) conditions are in place that increase the transparency and accountability of the Government of Afghanistan for funds obligated under the New Development Partnership;

(iii) the Government of Afghanistan is continuing to implement laws and policies to govern democratically and protect the rights of individuals and civil society, including taking consistent steps to protect and advance the rights of women and girls in Afghanistan;

(iv) the Government of Afghanistan is reducing corruption and prosecuting individuals alleged to be involved in illegal activities in Afghanistan;

(v) monitoring and oversight frameworks for programs implemented with such funds are in accordance with all applicable audit policies of the Department of State and USAID;

(vi) the necessary policies and procedures are in place to ensure Government of Afghanistan compliance with section 7013 of this Act; and

(vii) the Government of Afghanistan has established processes for the public reporting of its national budget, including revenues and expenditures.

(C) WAIVER.—The Secretary of State, after consultation with the Secretary of Defense, may waive the certification requirement of subparagraph (B) if the Secretary determines that to do so is important to the national security interest of the United States and the Secretary submits a report to the Committees on Appropriations, in classified form if necessary, on the justification for the waiver and the reasons why any part of the certification requirement of subparagraph (B) has not been met.

(D) PROGRAMS.—Funds appropriated by this Act that are made available for assistance for Afghanistan shall be made available in the following manner—

(i) not less than \$50,000,000 shall be made available for rule of law programs, the decisions for which shall be the responsibility of the Chief of Mission, in consultation with other appropriate United States Government officials in Afghanistan;

(ii) for programs that protect the rights of women and girls and promote the political and economic empowerment of women, including their meaningful inclusion in political processes: *Provided*, That such assistance to promote economic empowerment of women shall be made available as grants to Afghan and international organizations, to the maximum extent practicable;

(iii) for programs in South and Central Asia to expand linkages between Afghanistan and countries in the region, subject to the regular notification procedures of the Committees on Appropriations; and

(iv) to assist the Government of Afghanistan to increase revenue collection and expenditure. ]

[(3) GOALS AND BENCHMARKS.—Not later than 90 days after enactment of this Act, the Secretary of State shall submit to the appropriate congressional committees a report describing the goals and benchmarks required in clause (2)(B)(i): *Provided*, That not later than 6 months after the submission of such report and every 6 months thereafter until September 30, 2017, the Secretary of State shall submit a report to such committees on the status of achieving such goals and benchmarks: *Provided further*, That the Secretary of State should suspend assistance for the Government of Afghanistan if any report required by this paragraph indicates that such government is failing to make measurable progress in meeting such goals and benchmarks. ]

[(4) AUTHORITIES.—

[(A) ] Funds appropriated by this Act under titles III through VI that are made available for assistance for Afghanistan may be made available—

[(i) ] notwithstanding [section 7012 of this Act or any similar] any other provision of law [and section 660 of the Foreign Assistance Act of 1961];

[(ii) ] *B* for reconciliation programs and disarmament, demobilization, and reintegration activities for former combatants who have renounced violence against the Government of Afghanistan[, in accordance with section 7046(a)(2)(B)(ii) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74)]; [and ]

[(iii) ] *C* for an endowment to empower women and girls; and [.]

(*D*) as a United States contribution to the Afghanistan Reconstruction Trust Fund (*ARTF*), and to an internationally managed fund to support the reconciliation with and disarmament, demobilization, and reintegration into Afghan society of former combatants who have renounced violence against the Government of Afghanistan.

(2) Funds appropriated or otherwise made available for this and prior Acts for assistance for Afghanistan may be made available as a United States contribution to other multi-donor trust funds; *Provided*, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

[(B) Section 7046(a)(2)(A) of division I of Public Law 112–74 shall apply to funds appropriated by this Act for assistance for Afghanistan. ]

[(C) ] Section 1102(c) of the Supplemental Appropriations Act, 2009 (title XI of Public Law 111–32) shall continue in effect during fiscal year [2016] 2017 as if part of this Act.

[(5) BASING RIGHTS AGREEMENT.—None of the funds made available by this Act may be used by the United States Government to enter into a permanent basing rights agreement between the United States and Afghanistan. ]

[(b) BANGLADESH.—Funds appropriated by this Act under the heading "Development Assistance" that are made available for assistance for Bangladesh shall be made available for programs to protect due process of law, and to improve labor conditions by strengthening the capacity of independent workers' organizations in Bangladesh's readymade garment, shrimp, and fish export sectors. ]

[(c) NEPAL.—

(1) BILATERAL ECONOMIC ASSISTANCE.—Funds appropriated by this Act shall be made available for assistance for Nepal for earthquake recovery and reconstruction programs: *Provided*, That such amounts shall be in addition to funds made available by this Act for development and democracy programs in Nepal: *Provided further*, That funds made available for earthquake recovery and reconstruction programs should—

(A) target affected communities on an equitable basis; and

(B) include sufficient oversight mechanisms, to include the participation of civil society organizations.

(2) FOREIGN MILITARY FINANCING PROGRAM.—Funds appropriated by this Act under the heading "Foreign Military Financing Program" shall only be made available for humanitarian and disaster relief and reconstruction activities in Nepal, and in support of international peacekeeping operations: *Provided*, That such funds may only be made available for any additional uses if the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Nepal is investigating and prosecuting violations of human rights and the law of war, and the Nepal Army is cooperating fully with civilian judicial authorities on such efforts. ]

[(d) b] PAKISTAN. [— ]

[(1) CERTIFICATION REQUIREMENT.—None of the funds appropriated or otherwise made available by this Act under the headings "Economic Support Fund", "International Narcotics Control and Law Enforcement", and "Foreign Military Financing Program" for assistance for the Government of Pakistan may be made available unless the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Pakistan is—

(A) cooperating with the United States in counterterrorism efforts against the Haqqani Network, the Quetta Shura Taliban, Lashkar e-Tayyiba, Jaish-e-Mohammed, Al-Qaeda, and other domestic and foreign terrorist organizations, including taking effective steps to end support for such groups and prevent them from basing and operating in Pakistan and carrying out cross border attacks into neighboring countries;

(B) not supporting terrorist activities against United States or coalition forces in Afghanistan, and Pakistan's military and intelligence agencies are not intervening extra-judicially into political and judicial processes in Pakistan;

(C) dismantling improvised explosive device (IED) networks and interdicting precursor chemicals used in the manufacture of IEDs;

(D) preventing the proliferation of nuclear-related material and expertise;

(E) issuing visas in a timely manner for United States visitors engaged in counterterrorism efforts and assistance programs in Pakistan; and

(F) providing humanitarian organizations access to detainees, internally displaced persons, and other Pakistani civilians affected by the conflict.】

【(2) WAIVER.—The Secretary of State, after consultation with the Secretary of Defense, may waive the certification requirement of paragraph (1) if the Secretary of State determines that to do so is important to the national security interest of the United States and the Secretary submits a report to the Committees on Appropriations, in classified form if necessary, on the justification for the waiver and the reasons why any part of the certification requirement of paragraph (1) has not been met.】

【(3) ASSISTANCE.—

【(A) Funds appropriated by this Act under the heading "Foreign Military Financing Program" for assistance for Pakistan may be made available only to support counterterrorism and counterinsurgency capabilities in Pakistan.】

【(B) Funds appropriated by this Act under the headings "Economic Support Fund" and "Nonproliferation, Anti-terrorism, Demining and Related Programs" that are available for assistance for Pakistan shall be made available to interdict precursor materials from Pakistan to Afghanistan that are used to manufacture IEDs, including calcium ammonium nitrate; to support programs to train border and customs officials in Pakistan and Afghanistan; and for agricultural extension programs that encourage alternative fertilizer use among Pakistani farmers.】

【(C) Funds appropriated by this Act under the heading "Economic Support Fund" that are made available for assistance for infrastructure projects in Pakistan shall be implemented in a manner consistent with section 507(6) of the Trade Act of 1974 (19 U.S.C. 2467(6)).】

【(D) Funds appropriated by this Act under titles III and IV for assistance for Pakistan may be made available notwithstanding any other provision of law, except for this subsection and section 620M of the Foreign Assistance Act of 1961.】

【(E) Of the funds appropriated under title III of this Act that are made available for assistance for Pakistan, \$33,000,000 shall be withheld from obligation until the Secretary of State reports to the Committees on Appropriations that Dr. Shakil Afridi has been released from prison and cleared of all charges relating to the assistance provided to the United States in locating Osama bin Laden.】

【(4) SCHOLARSHIPS FOR WOMEN.—The authority and directives of section 7044(d)(4) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall apply to funds appropriated by this Act that are made available for assistance for Pakistan.】

【(5) REPORTS.—

(A)(i) The spend plan required by section 7076 of this Act for assistance for Pakistan shall include achievable and sustainable goals, benchmarks for measuring progress, and expected results regarding combating poverty and furthering development in Pakistan, countering terrorism and extremism, and establishing conditions conducive to the rule of law and transparent and accountable governance: *Provided*, That such benchmarks may incorporate those required in title III of the Enhanced Partnership with Pakistan Act of 2009 (22 U.S.C. 8441 et seq.), as appropriate: *Provided further*, That not later than 6 months after submission of such spend plan, and each 6 months thereafter until September 30, 2017, the Secretary of State shall submit a report to the Committees on Appropriations on the status of achieving the goals and benchmarks in such plan.

(ii) The Secretary of State should suspend assistance for the Government of Pakistan if any report required by clause (i) indicates that Pakistan is failing to make measurable progress in meeting such goals or benchmarks.

(B) Not later than 90 days after enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations detailing the costs and objectives associated with significant infrastructure projects supported by the United States in Pakistan, and an assessment of the extent to which such projects achieve such objectives.】

【(6) OVERSIGHT.—The Secretary of State shall take all practicable steps to ensure that mechanisms are in place for monitoring, oversight, and control of funds made available by this subsection for assistance for Pakistan.】

【(e) SRI LANKA.—

(1) BILATERAL ECONOMIC ASSISTANCE.—Funds appropriated by this Act under the heading "Economic Support Fund" shall be made available for assist-

ance for Sri Lanka for democracy and economic development programs, particularly in areas recovering from ethnic and religious conflict: *Provided*, That such funds shall be made available for programs to assist in the identification and resolution of cases of missing persons.

(2) CERTIFICATION.—Funds appropriated by this Act for assistance for the central Government of Sri Lanka may be made available only if the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Sri Lanka is continuing to—

(A) address the underlying causes of conflict in Sri Lanka; and

(B) increase accountability and transparency in governance.

(3) INTERNATIONAL SECURITY ASSISTANCE.—Funds appropriated under title IV of this Act that are available for assistance for Sri Lanka shall be subject to the following conditions—

(A) funds under the heading "Foreign Military Financing Program" may only be made available for programs to redeploy, restructure, and reduce the size of the Sri Lankan armed forces and shall not exceed \$400,000;

(B) funds under the heading "International Military Education and Training" may only be made available for training related to international peacekeeping operations and Expanded International Military Education and Training; and

(C) funds under the heading "Peacekeeping Operations" may only be made available for training related to international peacekeeping operations.】

【(f) c REGIONAL PROGRAMS.—

【(1) Funds appropriated by this Act under the heading "Economic Support Fund" for assistance for Afghanistan and Pakistan may be provided, notwithstanding any other provision of law that restricts assistance to foreign countries, for cross border stabilization and development programs between Afghanistan and Pakistan, or between either country and the Central Asian countries.

【(2) Funds appropriated by this Act under the headings "Economic Support Fund", "International Narcotics Control and Law Enforcement", and "Assistance for Europe, Eurasia and Central Asia" that are available for assistance for countries in South and Central Asia shall be made available to enhance the recruitment, retention, and professionalism of women in the judiciary, police, and other security forces.】

#### WESTERN HEMISPHERE

SEC. 【7045】7029. 【(a) UNITED STATES ENGAGEMENT IN CENTRAL AMERICA.—

(1) FUNDING.—Subject to the requirements of this subsection, of the funds appropriated under titles III and IV of this Act, up to \$750,000,000 may be made available for assistance for countries in Central America to implement the United States Strategy for Engagement in Central America (the Strategy) in support of the Plan of the Alliance for Prosperity in the Northern Triangle of Central America (the Plan): *Provided*, That the Secretary of State and Administrator of the United States Agency for International Development (USAID) shall prioritize such assistance to address the key factors in such countries contributing to the migration of unaccompanied, undocumented minors to the United States: *Provided further*, That such funds shall be made available to the maximum extent practicable on a cost-matching basis.

(2) PRE-OBLIGATION REQUIREMENTS.—Prior to the obligation of funds made available pursuant to paragraph (1), the Secretary of State shall submit to the Committees on Appropriations a multi-year spend plan specifying the proposed uses of such funds in each country and the objectives, indicators to measure progress, and a timeline to implement the Strategy, and the amounts made available from prior Acts making appropriations for the Department of State, foreign operations, and related programs to support such Strategy: *Provided*, That such spend plan shall also include a description of how such assistance will differ from, complement, and leverage funds allocated by each government and other donors, including international financial institutions.

(3) ASSISTANCE FOR THE CENTRAL GOVERNMENTS OF EL SALVADOR, GUATEMALA, AND HONDURAS.—Of the funds made available pursuant to paragraph (1) that are available for assistance for each of the central governments of El Salvador, Guatemala, and Honduras, the following amounts shall be withheld from obligation and may only be made available as follows:

(A) 25 percent may only be obligated after the Secretary of State certifies and reports to the appropriate congressional committees that such government is taking effective steps to—

(i) inform its citizens of the dangers of the journey to the southwest border of the United States;

(ii) combat human smuggling and trafficking;

(iii) improve border security; and

(iv) cooperate with United States Government agencies and other governments in the region to facilitate the return, repatriation, and reintegration of illegal migrants arriving at the southwest border of the United States who do not qualify as refugees, consistent with international law.

(B) An additional 50 percent may only be obligated after the Secretary of State certifies and reports to the appropriate congressional committees that such government is taking effective steps to—

(i) establish an autonomous, publicly accountable entity to provide oversight of the Plan;

(ii) combat corruption, including investigating and prosecuting government officials credibly alleged to be corrupt;

(iii) implement reforms, policies, and programs to improve transparency and strengthen public institutions, including increasing the capacity and independence of the judiciary and the Office of the Attorney General;

(iv) establish and implement a policy that local communities, civil society organizations (including indigenous and other marginalized groups), and local governments are consulted in the design, and participate in the implementation and evaluation of, activities of the Plan that affect such communities, organizations, and governments;

(v) counter the activities of criminal gangs, drug traffickers, and organized crime;

(vi) investigate and prosecute in the civilian justice system members of military and police forces who are credibly alleged to have violated human rights, and ensure that the military and police are cooperating in such cases;

(vii) cooperate with commissions against impunity, as appropriate, and with regional human rights entities;

(viii) support programs to reduce poverty, create jobs, and promote equitable economic growth in areas contributing to large numbers of migrants;

(ix) establish and implement a plan to create a professional, accountable civilian police force and curtail the role of the military in internal policing;

(x) protect the right of political opposition parties, journalists, trade unionists, human rights defenders, and other civil society activists to operate without interference;

(xi) increase government revenues, including by implementing tax reforms and strengthening customs agencies; and

(xii) resolve commercial disputes, including the confiscation of real property, between United States entities and such government.

**(4) SUSPENSION OF ASSISTANCE AND PERIODIC REVIEW.—**

(A) The Secretary of State shall periodically review the progress of each of the central governments of El Salvador, Guatemala, and Honduras in meeting the requirements of paragraphs (3)(A) and (3)(B) and shall, not later than September 30, 2016, submit to the appropriate congressional committees a report assessing such progress: *Provided*, That if the Secretary determines that sufficient progress has not been made by a central government, the Secretary shall suspend, in whole or in part, assistance for such government for programs supporting such requirement, and shall notify such committees in writing of such action: *Provided further*, That the Secretary may resume funding for such programs only after the Secretary certifies to such committees that corrective measures have been taken.

(B) The Secretary of State shall, following a change of national government in El Salvador, Guatemala, or Honduras, determine and report to the appropriate congressional committees that any new government has committed to take the steps to meet the requirements of paragraphs (3)(A) and (3)(B): *Provided*, That if the Secretary is unable to make such a determination in a timely manner, assistance made available under this subsection for such central government shall be suspended, in whole or in part, until such time as such determination and report can be made.

**(5) PROGRAMS AND TRANSFER OF FUNDS.—**

(A) Funds appropriated by this Act for the Central America Regional Security Initiative may be made available, after consultation with, and subject to the regular notification procedures of, the Committees on Appropriations, to support international commissions against impunity in Honduras and El Salvador, if such commissions are established.

(B) The Department of State and USAID may, following consultation with the Committees on Appropriations, transfer funds made available by this Act under the heading "Development Assistance" to the Inter-American Development Bank and the Inter-American Foundation for technical assistance in support of the Strategy. ]

**[(b)a] COLOMBIA. [—]**

**[(1)] ASSISTANCE.—**Funds appropriated by this Act and made available to the Department of State for assistance for the Government of Colombia may be used to support a unified campaign against narcotics trafficking, organizations designated as Foreign Terrorist Organizations, and other criminal or illegal armed groups, and to take actions to protect human health and welfare in emergency circumstances, including undertaking rescue operations: *Provided*, That the first [through fifth], *second*, and *third* provisos of paragraph (1) ], and paragraph

(3) ] of section 7045(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall continue in effect during fiscal year [2016]2017 and shall apply to funds appropriated by this Act and made available for assistance for Colombia as if included in this Act: *Provided further*, That of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$133,000,000 shall be made available for assistance for Colombia, of which not less than \$126,000,000 shall be apportioned directly to the United States Agency for International Development, and \$7,000,000 shall be transferred to, and merged with, funds appropriated by this Act under the heading "Migration and Refugee Assistance" for assistance for Colombian refugees in neighboring countries ].

**[(2)(A)]** Of the funds appropriated by this Act under the heading "Foreign Military Financing Program" for assistance for Colombia, 19 percent may be obligated only in accordance with the conditions under section 7045 in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act).

(B) The limitations of this paragraph shall not apply to funds made available under such heading for aviation instruction and maintenance, and maritime security programs. ]

**[(3) NOTIFICATION.—**Funds appropriated by this Act that are made available for assistance for Colombia to support the implementation of a peace agreement shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations. ]

**[(c)b] HAITI. [—]**

**[(1) FUNDING.—**Of the funds appropriated by this Act, not more than \$191,413,000 may be made available for assistance for Haiti. ]

**[(2) GOVERNANCE CERTIFICATION.—**Funds made available in paragraph (1) may not be made available for assistance for the central Government of Haiti unless the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Haiti is taking effective steps to—

(A) hold free and fair parliamentary elections and seat a new Haitian Parliament;

(B) strengthen the rule of law in Haiti, including by selecting judges in a transparent manner; respect the independence of the judiciary; and improve governance by implementing reforms to increase transparency and accountability;

(C) combat corruption, including by implementing the anti-corruption law enacted in 2014 and prosecuting corrupt officials; and

(D) increase government revenues, including by implementing tax reforms, and increase expenditures on public services. ]

**[(3)] HAITIAN COAST GUARD.—**The Government of Haiti shall be eligible to purchase defense articles and services under the Arms Export Control Act (22 U.S.C. 2751 et seq.) for the Coast Guard.

**[(d) AIRCRAFT OPERATIONS AND MAINTENANCE.—**To the maximum extent practicable, the costs of operations and maintenance, including fuel, of aircraft funded by this Act should be borne by the recipient country. ]

**[ PROHIBITION OF PAYMENTS TO UNITED NATIONS MEMBERS ]**

**[ SEC. 7046.** None of the funds appropriated or made available pursuant to titles III through VI of this Act for carrying out the Foreign Assistance Act of 1961, may be used to pay in whole or in part any assessments, arrearages, or dues of any member of the United Nations or, from funds appropriated by this Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961, the costs for participation of another country's delegation at international conferences held under the auspices of multilateral or international organizations. ]

**WAR CRIMES TRIBUNALS**

**SEC. [7047] 7030.** If the President determines that doing so will contribute to a just resolution of charges regarding genocide or other violations of international humanitarian law, the President may direct a drawdown pursuant to section 552(c) of the Foreign Assistance Act of 1961 of up to \$30,000,000 of commodities and services for the United Nations War Crimes Tribunal established with regard to the former Yugoslavia by the United Nations Security Council or such other tribunals or commissions as the Council may establish or authorize to deal with such violations, without regard to the ceiling limitation contained in paragraph (2) thereof: *Provided*, That the determination required under this section shall be in lieu of any determinations otherwise required under section 552(c) ]: *Provided further*, That funds made available pursuant to this section shall be made available subject to the regular notification procedures of the Committees on Appropriations ].

**[ UNITED NATIONS ]**

**[ SEC. 7048. (a) TRANSPARENCY AND ACCOUNTABILITY.—**

(1) Of the funds appropriated under title I and under the heading "International Organizations and Programs" in title V of this Act that are available for contributions to the United Nations (including the Department of Peacekeeping Oper-

ations), any United Nations agency, or the Organization of American States, 15 percent may not be obligated for such organization, department, or agency until the Secretary of State reports to the Committees on Appropriations that the organization, department, or agency is—

(A) posting on a publicly available Web site, consistent with privacy regulations and due process, regular financial and programmatic audits of such organization, department, or agency, and providing the United States Government with necessary access to such financial and performance audits; and

(B) effectively implementing and enforcing policies and procedures which reflect best practices for the protection of whistleblowers from retaliation, including best practices for—

- (i) protection against retaliation for internal and lawful public disclosures;
- (ii) legal burdens of proof;
- (iii) statutes of limitation for reporting retaliation;
- (iv) access to independent adjudicative bodies, including external arbitration; and
- (v) results that eliminate the effects of proven retaliation.

(2) The restrictions imposed by or pursuant to paragraph (1) may be waived on a case-by-case basis if the Secretary of State determines and reports to the Committees on Appropriations that such waiver is necessary to avert or respond to a humanitarian crisis.

**(b) RESTRICTIONS ON UNITED NATIONS DELEGATIONS AND ORGANIZATIONS.—**

(1) None of the funds made available under title I of this Act may be used to pay expenses for any United States delegation to any specialized agency, body, or commission of the United Nations if such agency, body, or commission is chaired or presided over by a country, the government of which the Secretary of State has determined, for purposes of section 6(j)(1) of the Export Administration Act of 1979 as continued in effect pursuant to the International Emergency Economic Powers Act (50 U.S.C. App. 2405(j)(1)), supports international terrorism.

(2) None of the funds made available under title I of this Act may be used by the Secretary of State as a contribution to any organization, agency, commission, or program within the United Nations system if such organization, agency, commission, or program is chaired or presided over by a country the government of which the Secretary of State has determined, for purposes of section 620A of the Foreign Assistance Act of 1961, section 40 of the Arms Export Control Act, section 6(j)(1) of the Export Administration Act of 1979, or any other provision of law, is a government that has repeatedly provided support for acts of international terrorism.

(3) The Secretary of State may waive the restriction in this subsection if the Secretary reports to the Committees on Appropriations that to do so is in the national interest of the United States.

(c) **UNITED NATIONS HUMAN RIGHTS COUNCIL.—**None of the funds appropriated by this Act may be made available in support of the United Nations Human Rights Council unless the Secretary of State determines and reports to the Committees on Appropriations that participation in the Council is important to the national interest of the United States and that the Council is taking steps to remove Israel as a permanent agenda item: *Provided*, That such report shall include a description of the national interest served and the steps taken to remove Israel as a permanent agenda item: *Provided further*, That the Secretary of State shall report to the Committees on Appropriations not later than September 30, 2016, on the resolutions considered in the United Nations Human Rights Council during the previous 12 months, and on steps taken to remove Israel as a permanent agenda item.

(d) **UNITED NATIONS RELIEF AND WORKS AGENCY.—**Not later than 45 days after enactment of this Act, the Secretary of State shall submit a report in writing to the Committees on Appropriations on whether the United Nations Relief and Works Agency (UNRWA) is—

(1) utilizing Operations Support Officers in the West Bank, Gaza, and other fields of operation to inspect UNRWA installations and reporting any inappropriate use;

(2) acting promptly to address any staff or beneficiary violation of its own policies (including the policies on neutrality and impartiality of employees) and the legal requirements under section 301(c) of the Foreign Assistance Act of 1961;

(3) implementing procedures to maintain the neutrality of its facilities, including implementing a no-weapons policy, and conducting regular inspections of its installations, to ensure they are only used for humanitarian or other appropriate purposes;

(4) taking necessary and appropriate measures to ensure it is operating in compliance with the conditions of section 301(c) of the Foreign Assistance Act

of 1961 and continuing regular reporting to the Department of State on actions it has taken to ensure conformance with such conditions;

(5) taking steps to ensure the content of all educational materials currently taught in UNRWA-administered schools and summer camps is consistent with the values of human rights, dignity, and tolerance and does not induce incitement;

(6) not engaging in operations with financial institutions or related entities in violation of relevant United States law, and is taking steps to improve the financial transparency of the organization; and

(7) in compliance with the United Nations Board of Auditors' biennial audit requirements and is implementing in a timely fashion the Board's recommendations.

(e) **UNITED NATIONS CAPITAL MASTER PLAN.—**None of the funds made available in this Act may be used for the design, renovation, or construction of the United Nations Headquarters in New York.

(f) **WITHHOLDING REPORT.—**Not later than 45 days after enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations detailing the amount of funds available for obligation or expenditure in fiscal year 2016 for contributions to any organization, department, agency, or program within the United Nations system or any international program that are withheld from obligation or expenditure due to any provision of law: *Provided*, That the Secretary of State shall update such report each time additional funds are withheld by operation of any provision of law: *Provided further*, That the reprogramming of any withheld funds identified in such report, including updates thereof, shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations. ]

**COMMUNITY-BASED POLICE ASSISTANCE**

**SEC. [7049] 7031. [(a) AUTHORITY.—]**Funds made available by titles III and IV of this Act to carry out the provisions of chapter 1 of part I and chapters 4 and 6 of part II of the Foreign Assistance Act of 1961, may be used, notwithstanding [section 660 of that Act] *any other provision of law*, to enhance the effectiveness and accountability of civilian police authority through training and technical assistance in human rights, the rule of law, anti-corruption, strategic planning, and through assistance to foster civilian police roles that support democratic governance, including assistance for programs to prevent conflict, respond to disasters, address gender-based violence, and foster improved police relations with the communities they serve.

**[(b) NOTIFICATION.—]**Assistance provided under subsection (a) shall be subject to the regular notification procedures of the Committees on Appropriations. ]

**[PROHIBITION ON PROMOTION OF TOBACCO]**

**[SEC. 7050. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products, or to seek the reduction or removal by any foreign country of restrictions on the marketing of tobacco or tobacco products, except for restrictions which are not applied equally to all tobacco or tobacco products of the same type.]**

**[INTERNATIONAL CONFERENCES]**

**[SEC. 7051. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees of agencies or departments of the United States Government who are stationed in the United States, at any single international conference occurring outside the United States, unless the Secretary of State reports to the Committees on Appropriations at least 5 days in advance that such attendance is important to the national interest: *Provided*, That for purposes of this section the term "international conference" shall mean a conference attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations.]**

**AIRCRAFT TRANSFER AND COORDINATION**

**SEC. [7052] 7032. (a) TRANSFER AUTHORITY.—**Notwithstanding any other provision of law or regulation, aircraft procured with funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Diplomatic and Consular Programs", "International Narcotics Control and Law Enforcement", "Andean Counterdrug Initiative", and "Andean Counterdrug Programs" may be used for any other program and in any region, including for the transportation of active and standby Civilian Response Corps personnel and equipment during a deployment [ : *Provided*, That the responsibility for policy decisions and justification for the use of such transfer authority shall be the responsibility of the Secretary of State and the Deputy Secretary of State and this responsibility shall not be delegated].

**[(b) PROPERTY DISPOSAL.—]**The authority provided in subsection (a) shall apply only after the Secretary of State determines and reports to the Committees on Appropriations that the equipment is no longer required to meet programmatic purposes in the designated country or region: *Provided*, That any such transfer shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations. ]

**[(c)b] AIRCRAFT COORDINATION.—**

(1) The uses of aircraft purchased or leased by the Department of State and the United States Agency for International Development (USAID) with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs [shall] should be coordinated under the authority of the appropriate Chief of Mission: *Provided*, That such aircraft may be used to transport, on a reimbursable or non-reimbursable basis, Federal and non-Federal personnel supporting Department of State and USAID programs and activities: *Provided further*, That official travel for other agencies for other purposes may be supported on a reimbursable basis, or without reimbursement when traveling on a space available basis: *Provided further*, That funds received by the Department of State [for] in connection with the use of aircraft owned, leased, or chartered by the Department of State may be credited to the Working Capital Fund of the Department and shall be available for expenses related to the purchase, lease, maintenance, chartering, or operation of such aircraft.

[(2) The requirement and authorities of this subsection shall only apply to aircraft, the primary purpose of which is the transportation of personnel.]

**[PARKING FINES AND REAL PROPERTY TAXES OWED BY FOREIGN GOVERNMENTS]**

**[SEC. 7053.** The terms and conditions of section 7055 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2011 (division F of Public Law 111–117) shall apply to this Act: *Provided*, That the date "September 30, 2009" in subsection (f)(2)(B) of such section shall be deemed to be "September 30, 2015".]

**LANDMINES [AND CLUSTER MUNITIONS]**

**SEC. [7054] 7033.**

**[(a) LANDMINES.—]**Notwithstanding any other provision of law, demining equipment available to the United States Agency for International Development and the Department of State and used in support of the clearance of landmines and unexploded ordnance for humanitarian purposes may be disposed of on a grant basis in foreign countries, subject to such terms and conditions as the Secretary of State may prescribe.

**[(b) CLUSTER MUNITIONS.—]**No military assistance shall be furnished for cluster munitions, no defense export license for cluster munitions may be issued, and no cluster munitions or cluster munitions technology shall be sold or transferred, unless—

(1) the submunitions of the cluster munitions, after arming, do not result in more than 1 percent unexploded ordnance across the range of intended operational environments, and the agreement applicable to the assistance, transfer, or sale of such cluster munitions or cluster munitions technology specifies that the cluster munitions will only be used against clearly defined military targets and will not be used where civilians are known to be present or in areas normally inhabited by civilians; or

(2) such assistance, license, sale, or transfer is for the purpose of demilitarizing or permanently disposing of such cluster munitions.]

**[PROHIBITION ON PUBLICITY OR PROPAGANDA]**

**[SEC. 7055.** No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes within the United States not authorized before the date of the enactment of this Act by Congress: *Provided*, That not to exceed \$25,000 may be made available to carry out the provisions of section 316 of the International Security and Development Cooperation Act of 1980 (Public Law 96–533).]

**CODIFICATION OF CONSULAR IMMUNITY**

**SEC. [7056] 7034.** Section 4 of Public Law 95–393 is amended by inserting "(a)" at the beginning and inserting the text from section 7056 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Div. K, Public Law 114–113) as subsection (b)

**[The Secretary of State, with the concurrence of the Attorney General, may, on the basis of reciprocity and under such terms and conditions as the Secretary may determine, specify privileges and immunities for a consular post, the members of a consular post and their families which result in more favorable or less favorable treatment than is provided in the Vienna Convention on Consular Relations, of April 24, 1963 (T.I.A.S. 6820), entered into force for the United States December 24, 1969: *Provided*, That prior to exercising the authority of this section, the Secretary shall consult with the appropriate congressional committees on the circumstances that may warrant the need for privileges and immunities providing more favorable or less favorable treatment specified under such Convention].**

**UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT MANAGEMENT**

**SEC. [7057] 7035.** (a) **AUTHORITY.—**Up to \$93,000,000 of the funds made available in title III of this Act pursuant to or to carry out the provisions of part I of the Foreign Assistance Act of 1961 [including funds appropriated under the heading "Assistance for Europe, Eurasia and Central Asia",] may be used by the United States Agency for International Development (USAID) to hire and employ individuals

in the United States and overseas on a limited appointment basis pursuant to the authority of sections 308 and 309 of the Foreign Service Act of 1980.

(b) **RESTRICTIONS.—**

(1) The number of individuals hired in any fiscal year pursuant to the authority contained in subsection (a) may not exceed 175.

(2) The authority to hire individuals contained in subsection (a) shall expire on September 30, [2017] 2018.

(c) **CONDITIONS.—**The authority of subsection (a) should only be used to the extent that an equivalent number of positions that are filled by personal services contractors or other non-direct hire employees of USAID, who are compensated with funds appropriated to carry out part I of the Foreign Assistance Act of 1961, [including funds appropriated under the heading "Assistance for Europe, Eurasia and Central Asia",] are eliminated.

(d) **PROGRAM ACCOUNT CHARGED.—**The account charged for the cost of an individual hired and employed under the authority of this section shall be the account to which the responsibilities of such individual primarily relate: *Provided*, That funds made available to carry out this section may be transferred to, and merged with, funds appropriated by this Act in title II under the heading "Operating Expenses".

(e) **FOREIGN SERVICE LIMITED EXTENSIONS.—**Individuals hired and employed by USAID, with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, pursuant to the authority of section 309 of the Foreign Service Act of 1980, may be extended for a period of up to 4 years notwithstanding the limitation set forth in such section.

(f) **DISASTER SURGE CAPACITY.—**Funds appropriated under title III of this Act to carry out part I of the Foreign Assistance Act of 1961, [including funds appropriated under the heading "Assistance for Europe, Eurasia and Central Asia",] may be used, in addition to funds otherwise available for such purposes, for the cost (including the support costs) of individuals detailed to or employed by USAID whose primary responsibility is to carry out programs in response to natural [disasters,] or man-made disasters [subject to the regular notification procedures of the Committees on Appropriations].

(g) **PERSONAL SERVICES CONTRACTORS.—**Funds appropriated by this Act to carry out chapter 1 of part I, chapter 4 of part II, and section 667 of the Foreign Assistance Act of 1961, and title II of the Food for Peace Act (Public Law 83–480), may be used by USAID to employ up to 40 personal services contractors in the United States, notwithstanding any other provision of law, for the purpose of providing direct, interim support for new or expanded overseas programs and activities managed by the agency until permanent direct hire personnel are hired and trained: *Provided*, [That not more than 15 of such contractors shall be assigned to any bureau or office: *Provided further*,] That such funds appropriated to carry out title II of the Food for Peace Act (Public Law 83–480), may be made available only for personal services contractors assigned to the Office of Food for Peace.

(h) **SMALL BUSINESS.—**In entering into multiple award indefinite-quantity contracts with funds appropriated by this Act, USAID may provide an exception to the fair opportunity process for placing task orders under such contracts when the order is placed with any category of small or small disadvantaged business.

(i) **SENIOR FOREIGN SERVICE LIMITED APPOINTMENTS.—**Individuals hired pursuant to the authority provided by section 7059(o) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2011 (division F of Public Law 111–117) may be assigned to or support programs in Afghanistan or Pakistan with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.

**GLOBAL HEALTH ACTIVITIES**

**SEC. [7058] 7036.** (a) **IN GENERAL.—**Funds appropriated by titles III and IV of this Act that are made available for [bilateral assistance for child survival activities or disease] global health programs including activities relating to research on, and the prevention, treatment and control of, HIV/AIDS may be made available notwithstanding any other provision of law except for provisions under the heading "Global Health Programs" and the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (117 Stat. 711; 22 U.S.C. 7601 et seq.), as amended: *Provided*, That of the funds appropriated under title III of this Act, not less than \$575,000,000 should be made available for family planning/reproductive health, including in areas where population growth threatens biodiversity or endangered species].

**[(b) GLOBAL FUND.—**Of the funds appropriated by this Act that are available for a contribution to the Global Fund to Fight AIDS, Tuberculosis and Malaria (Global Fund), 10 percent should be withheld from obligation until the Secretary of State determines and reports to the Committees on Appropriations that the Global Fund is—

(1) maintaining and implementing a policy of transparency, including the authority of the Global Fund Office of the Inspector General (OIG) to publish OIG reports on a public Web site;

(2) providing sufficient resources to maintain an independent OIG that—

(A) reports directly to the Board of the Global Fund;

(B) maintains a mandate to conduct thorough investigations and programmatic audits, free from undue interference; and

(C) compiles regular, publicly published audits and investigations of financial, programmatic, and reporting aspects of the Global Fund, its grantees, recipients, sub-recipients, and Local Fund Agents;

(3) effectively implementing and enforcing policies and procedures which reflect best practices for the protection of whistleblowers from retaliation, including best practices for—

(A) protection against retaliation for internal and lawful public disclosures;

(B) legal burdens of proof;

(C) statutes of limitation for reporting retaliation;

(D) access to independent adjudicative bodies, including external arbitration; and

(E) results that eliminate the effects of proven retaliation; and

(4) implementing the recommendations contained in the Consolidated Transformation Plan approved by the Board of the Global Fund on November 21, 2011:

*Provided*, That such withholding shall not be in addition to funds that are withheld from the Global Fund in fiscal year 2016 pursuant to the application of any other provision contained in this or any other Act.]

**[(c)b] CONTAGIOUS INFECTIOUS DISEASE OUTBREAKS.**—If the Secretary of State determines and reports to the Committees on Appropriations that an international infectious disease outbreak is sustained, severe, and is spreading internationally, or that it is in the national interest to respond to a Public Health Emergency of International Concern, funds made available under title III of this Act may be made available to combat such infectious disease or public health emergency: *Provided*, That funds made available pursuant to the authority of this subsection shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.]

#### **[GENDER EQUALITY]**

**[SEC. 7059. (a) GENDER EQUALITY.**—Funds appropriated by this Act shall be made available to promote gender equality in United States Government diplomatic and development efforts by raising the status, increasing the participation, and protecting the rights of women and girls worldwide.

(b) **WOMEN'S LEADERSHIP.**—Of the funds appropriated by title III of this Act, not less than \$50,000,000 shall be made available to increase leadership opportunities for women in countries where women and girls suffer discrimination due to law, policy, or practice, by strengthening protections for women's political status, expanding women's participation in political parties and elections, and increasing women's opportunities for leadership positions in the public and private sectors at the local, provincial, and national levels.

(c) **GENDER-BASED VIOLENCE.**—

(1)(A) Of the funds appropriated by titles III and IV of this Act, not less than \$150,000,000 shall be made available to implement a multi-year strategy to prevent and respond to gender-based violence in countries where it is common in conflict and non-conflict settings.

(B) Funds appropriated by titles III and IV of this Act that are available to train foreign police, judicial, and military personnel, including for international peacekeeping operations, shall address, where appropriate, prevention and response to gender-based violence and trafficking in persons, and shall promote the integration of women into the police and other security forces.

(2) Department of State and United States Agency for International Development gender programs shall incorporate coordinated efforts to combat a variety of forms of gender-based violence, including child marriage, rape, female genital cutting and mutilation, and domestic violence, among other forms of gender-based violence in conflict and non-conflict settings.

(d) **WOMEN, PEACE, AND SECURITY.**—Funds appropriated by this Act under the headings "Development Assistance", "Economic Support Fund", and "International Narcotics Control and Law Enforcement" should be made available to support a multi-year strategy to expand, and improve coordination of, United States Government efforts to empower women as equal partners in conflict prevention, peace building, transitional processes, and reconstruction efforts in countries affected by conflict or in political transition, and to ensure the equitable provision of relief and recovery assistance to women and girls.]

#### **SECTOR ALLOCATIONS**

**SEC. [7060] 7037. (a) BASIC EDUCATION AND HIGHER EDUCATION.**—

(1) **BASIC EDUCATION.**—

**[(A) Of the funds] Funds** appropriated under title III of this Act[, not less than \$800,000,000 should] *may* be made available for assistance for basic education[, and such funds may be made available] notwithstanding any other provision of law [that restricts assistance to foreign countries, except for the conditions provided in this subsection: *Provided*, That such funds should only be used to implement the stated objectives of basic education programs for each Country Development Cooperation Strategy or similar strategy regarding basic education established by the United States Agency for International Development (USAID).]

**[(B) Not later than 30 days after enactment of this Act, the USAID Administrator shall report to the Committees on Appropriations on the status of cumulative unobligated balances and obligated, but unexpended, balances in each country where USAID provides basic education assistance and such report shall also include details on the types of contracts and grants provided and the goals and objectives of such assistance]:** *Provided*, [That the USAID Administrator shall update such report on a monthly basis during fiscal year 2016: *Provided further*,] That if the USAID Administrator determines that any unobligated balances of funds specifically designated for assistance for basic education in prior Acts making appropriations for the Department of State, foreign operations, and related programs are in excess of the absorptive capacity of recipient countries, such funds may be made available for other programs authorized under chapter 1 of part I of the Foreign Assistance Act of 1961, notwithstanding such funding designation[: *Provided further*, That the authority of the previous proviso shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations].

**[(C) Of the funds appropriated under title III of this Act for assistance for basic education programs, not less than \$70,000,000 shall be made available for a contribution to multilateral partnerships that support education.]**

(2) **HIGHER EDUCATION.**—**[(Of the funds] Funds** appropriated by title III of this Act[, not less than \$225,000,000 shall] *may* be made available for assistance for higher education[, including not less than \$35,000,000 for new partnerships between higher education institutions in the United States and developing countries: *Provided*, That such funds may be made available] notwithstanding any other provision of law [that restricts assistance to foreign countries, and shall be subject to the regular notification procedures of the Committees on Appropriations].

**[(b) DEVELOPMENT PROGRAMS.**—Of the funds appropriated by this Act under the heading "Development Assistance", not less than \$26,000,000 shall be made available for the American Schools and Hospitals Abroad program, and not less than \$11,000,000 shall be made available for cooperative development programs of USAID.]

**[(c)b] ENVIRONMENT PROGRAMS.**—

(1) **AUTHORITY.**—Funds appropriated by this Act to carry out the provisions of sections 103 through 106, and chapter 4 of part II, of the Foreign Assistance Act of 1961 [may be used,] *that are made available to support environment programs may be made available* notwithstanding any other provision of law, [except for the provisions of this subsection and only] *and shall be subject only to the reporting procedures of the Committees on Appropriations*[, to support environment programs].

(2) **[CONSERVATION PROGRAMS AND LIMITATIONS] ADAPTATION AND MITIGATION.**—

**[(A) Of the funds appropriated under title III of this Act, not less than \$265,000,000 shall be made available for biodiversity conservation programs.]**

**[(B) Not less than \$80,000,000 of the funds appropriated under titles III and IV of this Act shall be made available to combat the transnational threat of wildlife poaching and trafficking.]**

**[(C) None of the funds appropriated under title IV of this Act may be made available for training or other assistance for any military unit or personnel that the Secretary of State determines has been credibly alleged to have participated in wildlife poaching or trafficking, unless the Secretary reports to the Committees on Appropriations that to do so is in the national security interests of the United States.]**

**[(D)] Funds** appropriated by this Act [for biodiversity programs shall not be used to support the expansion of industrial scale logging or any other industrial scale extractive activity into areas that were primary/intact tropical forests as of December 30, 2013, and the Secretary of the Treasury shall instruct the United States executive directors of each international financial institutions (IFI) to vote against any financing of any such activity] *may be made available for United States contributions to multilateral environmental funds and facilities to support adaptation and mitigation programs.*

**[(3) LARGE DAMS.**—The Secretary of the Treasury shall instruct the United States executive director of each IFI that it is the policy of the United States to

vote in relation to any loan, grant, strategy, or policy of such institution to support the construction of any large dam consistent with the criteria set forth in Senate Report 114–79, while also considering whether the project involves important foreign policy objectives.】

【(4) SUSTAINABLE LANDSCAPES.—Of the funds appropriated under title III of this Act, not less than \$123,500,000 shall be made available for sustainable landscape programs.】

【(5) TRANSFER OF FUNDS.—Of the funds appropriated by this Act under the heading "Economic Support Fund", \$9,720,000 shall be transferred to, and merged with, funds appropriated under the heading "Contribution to the Strategic Climate Fund", and such transfer shall occur not later than 120 days after the date of enactment of this Act.】

【(d)c FOOD SECURITY AND AGRICULTURAL DEVELOPMENT.—

(1) 【Of the funds】 *Funds* appropriated by 【title III of】 this Act【, not less than \$1,000,600,000 should】 *may* be made available for food security and agricultural development programs【, of which not less than \$50,000,000 shall be made available for the Feed the Future Innovation Labs: *Provided*, That such funds may be made available】 notwithstanding any other provision of law to prevent or address food shortages, and for a United States contribution to the endowment of the Global Crop Diversity Trust.

(2) Funds appropriated under title III of this Act may be made available as a contribution to the Global Agriculture and Food Security Program if such contribution will not cause the United States to exceed 33 percent of the total amount of funds contributed to such Program.

【(e) MICROENTERPRISE AND MICROFINANCE.—Of the funds appropriated by this Act, not less than \$265,000,000 should be made available for microenterprise and microfinance development programs for the poor, especially women.】

【(f) PROGRAMS TO COMBAT TRAFFICKING IN PERSONS AND MODERN SLAVERY.—

(1) TRAFFICKING IN PERSONS.—

(A) Of the funds appropriated by this Act under the headings "Development Assistance", "Economic Support Fund", "Assistance for Europe, Eurasia and Central Asia", and "International Narcotics Control and Law Enforcement", not less than \$60,000,000 shall be made available for activities to combat trafficking in persons internationally.

(B) Funds made available in the previous paragraph shall be made available to support a multifaceted approach to combat human trafficking in Guatemala: *Provided*, That the Secretary of State shall consult with the Committees on Appropriations, not later than 30 days after enactment of this Act, on the use of such funds.

(2) MODERN SLAVERY.—Of the funds appropriated by this Act under the headings "Development Assistance" and "International Narcotics Control and Law Enforcement", in addition to funds made available pursuant to paragraph (1), \$25,000,000 shall be made available for a grant or grants, to be awarded on an open and competitive basis, to reduce the prevalence of modern slavery globally: *Provided*, That such funds shall only be made available in fiscal year 2016 to carry out the End Modern Slavery Initiative Act of 2015 (S. 553, 114th Congress), as reported to the Senate, if such bill is enacted into law: *Provided further*, That if such bill is not enacted into law in fiscal year 2016, funds made available pursuant to this subsection shall be made available for other programs to combat trafficking in persons and modern slavery, following consultation with the appropriate congressional committees.】

【(g) RECONCILIATION PROGRAMS.—Of the funds appropriated by this Act under the headings "Economic Support Fund" and "Development Assistance", not less than \$26,000,000 shall be made available to support people-to-people reconciliation programs which bring together individuals of different ethnic, religious, and political backgrounds from areas of civil strife and war: *Provided*, That the USAID Administrator shall consult with the Committees on Appropriations, prior to the initial obligation of funds, on the uses of such funds, and such funds shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That to the maximum extent practicable, such funds shall be matched by sources other than the United States Government.】

【(h) WATER AND SANITATION.—Of the funds appropriated by this Act, not less than \$400,000,000 shall be made available for water supply and sanitation projects pursuant to the Senator Paul Simon Water for the Poor Act of 2005 (Public Law 109–121), of which not less than \$145,000,000 shall be for programs in sub-Saharan Africa, and of which not less than \$14,000,000 shall be made available for programs to design and build safe, public latrines in Africa and Asia.】

OVERSEAS PRIVATE INVESTMENT CORPORATION

SEC. 【7061】7038. (a) TRANSFER.—Whenever the President determines that it is in furtherance of the purposes of the Foreign Assistance Act of 1961, up to a total of \$20,000,000 of the funds appropriated under title III of this Act may be transferred

to, and merged with, funds appropriated by this Act for the Overseas Private Investment Corporation Program Account, to be subject to the terms and conditions of that account: *Provided*, That such funds shall not be available for administrative expenses of the Overseas Private Investment Corporation: *Provided further*, That designated funding levels in this Act shall not be transferred pursuant to this section: *Provided further*, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.

(b) AUTHORITY.—Notwithstanding section 235(a)(2) of the Foreign Assistance Act of 1961, the authority of subsections (a) through (c) of section 234 of such Act shall remain in effect until September 30, 【2016】2017.

【ARMS TRADE TREATY】

【SEC. 7062. None of the funds appropriated by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.】

【COUNTRIES IMPACTED BY SIGNIFICANT REFUGEE POPULATIONS OR INTERNALLY DISPLACED PERSONS】

【SEC. 7063. Funds appropriated by this Act under the headings "Development Assistance" and "Economic Support Fund" shall be made available for programs in countries affected by significant populations of internally displaced persons or refugees to—

(1) expand and improve host government social services and basic infrastructure to accommodate the needs of such populations and persons;

(2) alleviate the social and economic strains placed on host communities;

(3) improve coordination of such assistance in a more effective and sustainable manner; and

(4) leverage increased assistance from donors other than the United States Government for central governments and local communities in such countries.】

【REPORTING REQUIREMENTS CONCERNING INDIVIDUALS DETAINED AT NAVAL STATION, GUANTANAMO BAY, CUBA】

【SEC. 7064. Not later than 5 days after the conclusion of an agreement with a country, including a state with a compact of free association with the United States, to receive by transfer or release individuals detained at United States Naval Station, Guantanamo Bay, Cuba, the Secretary of State shall notify the Committees on Appropriations in writing of the terms of the agreement, including whether funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs will be made available for assistance for such country pursuant to such agreement.】

【MULTI-YEAR PLEDGES】

【SEC. 7065. None of the funds appropriated by this Act may be used to make any pledge for future year funding for any multilateral or bilateral program funded in titles III through VI of this Act unless such pledge was—

(1) previously justified, including the projected future year costs, in a congressional budget justification;

(2) included in an Act making appropriations for the Department of State, foreign operations, and related programs or previously authorized by an Act of Congress;

(3) notified in accordance with the regular notification procedures of the Committees on Appropriations, including the projected future year costs; or

(4) the subject of prior consultation with the Committees on Appropriations and such consultation was conducted at least 7 days in advance of the pledge.】

PROHIBITION ON USE OF TORTURE

SEC. 【7066】7039. (a) LIMITATION.—None of the funds made available in this Act may be used to support or justify the use of torture, cruel, or inhumane treatment by any official or contract employee of the United States Government.

(b) ASSISTANCE TO ELIMINATE TORTURE.—Funds appropriated under titles III and IV of this Act 【shall】 *may* be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961 【and following consultation with the Committees on Appropriations】, for assistance to eliminate torture by foreign police, military or other security forces in countries receiving assistance from funds appropriated by this Act.

【EXTRADITION】

【SEC. 7067. (a) LIMITATION.—None of the funds appropriated in this Act may be used to provide assistance (other than funds provided under the headings "International Disaster Assistance", "Complex Crises Fund", "International Narcotics Control and Law Enforcement", "Migration and Refugee Assistance", "United States Emergency Refugee and Migration Assistance Fund", and "Nonproliferation, Anti-terrorism, Demining and Related Assistance") for the central government of a country which has notified the Department of State of its refusal to extradite to the United States any individual indicted for a criminal offense for which the maximum penalty is life imprisonment without the possibility of parole or for killing a law enforcement officer, as specified in a United States extradition request.

(b) CLARIFICATION.—Subsection (a) shall only apply to the central government of a country with which the United States maintains diplomatic relations and with which the United States has an extradition treaty and the government of that country is in violation of the terms and conditions of the treaty.

(c) WAIVER.—The Secretary of State may waive the restriction in subsection (a) on a case-by-case basis if the Secretary certifies to the Committees on Appropriations that such waiver is important to the national interests of the United States.】

#### COMMERCIAL LEASING OF DEFENSE ARTICLES

SEC. 【7068】7040. Notwithstanding any other provision of law, 【and subject to the regular notification procedures of the Committees on Appropriations,】 the authority of section 23(a) of the Arms Export Control Act may be used to provide financing to Israel, Egypt, and the North Atlantic Treaty Organization (NATO), and major non-NATO allies for the procurement by leasing (including leasing with an option to purchase) of defense articles from United States commercial suppliers, not including Major Defense Equipment (other than helicopters and other types of aircraft having possible civilian application), if the President determines that there are compelling foreign policy or national security reasons for those defense articles being provided by commercial lease rather than by government-to-government sale under such Act.

#### INDEPENDENT STATES OF THE FORMER SOVIET UNION

SEC. 【7069】7041. 【(a) ASSISTANCE FOR UKRAINE.—Of the funds appropriated by this Act under titles III through VI, not less than \$658,185,000 shall be made available for assistance for Ukraine.】

【(b) LIMITATION.—None of the funds appropriated by this Act may be made available for assistance for a government of an Independent State of the former Soviet Union if that government directs any action in violation of the territorial integrity or national sovereignty of any other Independent State of the former Soviet Union, such as those violations included in the Helsinki Final Act: *Provided*, That except as otherwise provided in section 7070(a) of this Act, funds may be made available without regard to the restriction in this subsection if the President determines that to do so is in the national security interest of the United States: *Provided further*, That prior to executing the authority contained in this subsection the Department of State shall consult with the Committees on Appropriations on how such assistance supports the national security interest of the United States.】

【(c) SECTION 907 OF THE FREEDOM SUPPORT ACT.—Section 907 of the FREEDOM Support Act shall not apply to—

- (1) activities to support democracy or assistance under title V of the FREEDOM Support Act and section 1424 of the Defense Against Weapons of Mass Destruction Act of 1996 (50 U.S.C. 2333) or non-proliferation assistance;
- (2) any assistance provided by the Trade and Development Agency under section 661 of the Foreign Assistance Act of 1961 (22 U.S.C. 2421);
- (3) any activity carried out by a member of the United States and Foreign Commercial Service while acting within his or her official capacity;
- (4) any insurance, reinsurance, guarantee, or other assistance provided by the Overseas Private Investment Corporation under title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 (22 U.S.C. 2191 et seq.);
- (5) any financing provided under the Export-Import Bank Act of 1945; or
- (6) humanitarian assistance.

(b) *Funds appropriated by this Act under the heading "Economic Support Fund" may be made available, notwithstanding any other provision of law, for assistance and related programs for the countries identified in section 3(c) of the Support for Eastern European Democracy (SEED) Act of 1989 (Public Law 101–179) and section 3 of the FREEDOM Support Act (Public Law 102–511) and may be used to carry out the provisions of those Acts: Provided, That such assistance and related programs from funds appropriated by this Act under the headings "Global Health Programs", "Economic Support Fund", and "International Narcotics Control and Law Enforcement" shall be administered in accordance with the responsibilities of the coordinator designated pursuant to section 601 of the Support for Eastern European Democracy (SEED) Act of 1989 (Public Law 101–179) and section 102 of the FREEDOM Support Act (Public Law 102–511).*

#### 【RUSSIA】

【SEC. 7070. (a) LIMITATION.—None of the funds appropriated by this Act may be made available for assistance for the central Government of the Russian Federation.

(b) DETERMINATION AND CONDITIONS.—

- (1) None of the funds appropriated by this Act may be made available for assistance for the central government of a country that the Secretary of State determines and reports to the Committees on Appropriations has taken affirmative steps intended to support or be supportive of the Russian Federation annexation of Crimea: *Provided*, That except as otherwise provided in subsection (a), the Secretary may waive the restriction on assistance required by this paragraph if

the Secretary certifies to such Committees that to do so is in the national interest of the United States, and includes a justification for such interest.

(2) None of the funds appropriated by this Act may be made available for—  
(A) the implementation of any action or policy that recognizes the sovereignty of the Russian Federation over Crimea;

(B) the facilitation, financing, or guarantee of United States Government investments in Crimea, if such activity includes the participation of Russian Government officials, or other Russian owned or controlled financial entities; or

(C) assistance for Crimea, if such assistance includes the participation of Russian Government officials, or other Russian owned or controlled financial entities.

(3) The Secretary of the Treasury shall instruct the United States executive directors of each international financial institution to vote against any assistance by such institution (including but not limited to any loan, credit, or guarantee) for any program that violates the sovereignty or territorial integrity of Ukraine.

(4) The requirements and limitations of this subsection shall cease to be in effect if the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Ukraine has reestablished sovereignty over Crimea.

(c) ASSISTANCE TO REDUCE VULNERABILITY AND PRESSURE.—Funds appropriated by this Act for assistance for the Eastern Partnership countries shall be made available to advance the implementation of Association Agreements and trade agreements with the European Union, and to reduce their vulnerability to external economic and political pressure from the Russian Federation.

(d) DEMOCRACY PROGRAMS.—Funds appropriated by this Act shall be made available to support the advancement of democracy and the rule of law in the Russian Federation, including to promote Internet freedom, and shall also be made available to support the democracy and rule of law strategy required by section 7071(d) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76).

(e) REPORTS.—Not later than 45 days after enactment of this Act, the Secretary of State shall update the reports required by section 7071(b)(2), (c), and (e) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76).】

#### INTERNATIONAL MONETARY FUND

SEC. 【7071】7042. (a) EXTENSIONS.—The terms and conditions of sections 7086(b) (1) and (2) and 7090(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111–117) shall apply to this Act.

(b) REPAYMENT.—The Secretary of the Treasury shall instruct the United States Executive Director of the International Monetary Fund (IMF) to seek to ensure that any loan will be repaid to the IMF before other private creditors.

#### SPECIAL DEFENSE ACQUISITION FUND

SEC. 【7072】7043. Not to exceed \$900,000,000 may be obligated pursuant to section 51(c)(2) of the Arms Export Control Act for the purposes of the Special Defense Acquisition Fund (Fund), to remain available for obligation until September 30, 【2018】2019: *Provided*, That the provision of defense articles and defense services to foreign countries or international organizations from the Fund shall be subject to the concurrence of the Secretary of State.

#### COUNTERING FOREIGN FIGHTERS AND VIOLENT EXTREMIST ORGANIZATIONS

SEC. 【7073】7044.

【COUNTERING FOREIGN FIGHTERS AND VIOLENT EXTREMIST ORGANIZATIONS.—】Funds appropriated under titles III and IV of this Act 【shall】may be made available for programs to【—】 *counter violent extremism notwithstanding any other provision of law.*

【(1) counter the flow of foreign fighters to countries in which violent extremists or violent extremist organizations operate, including those entities designated as foreign terrorist organizations (FTOs) pursuant to section 219 of the Immigration and Nationality Act (Public Law 82–814), including through programs with partner governments and multilateral organizations to—

- (A) counter recruitment campaigns by such entities;
- (B) detect and disrupt foreign fighter travel, particularly at points of origin;
- (C) implement antiterrorism programs;
- (D) secure borders, including points of infiltration and exfiltration by such entities;
- (E) implement and establish criminal laws and policies to counter foreign fighters; and
- (F) arrest, investigate, prosecute, and incarcerate terrorist suspects, facilitators, and financiers; and】

【(2) reduce public support for violent extremists or violent extremist organizations, including FTOs, by addressing the specific drivers of radicalization, including through such activities as—

- (A) public messaging campaigns to damage their appeal;
- (B) programs to engage communities and populations at risk of violent extremist radicalization and recruitment;
- (C) counter-radicalization and de-radicalization activities for potential and former violent extremists and returning foreign fighters, including in prisons;
- (D) law enforcement training programs; and
- (E) capacity building for civil society organizations to combat radicalization in local communities.】

【(b) STRENGTHENING THE STATE SYSTEM.—

(1) Funds appropriated under titles III and IV of this Act shall be made available for programs to strengthen the state system and counter violent extremists and violent extremist organizations, including FTOs, by supporting security and governance programs in countries whose stability and legitimacy are directly threatened by violence against state institutions by such entities, including at the national and local levels, and in fragile states bordering such countries.

(2) Programs funded pursuant to paragraph (1) shall prioritize activities to improve governance, including by—

- (A) promoting civil society;
- (B) strengthening the rule of law;
- (C) professionalizing security services;
- (D) increasing transparency and accountability;
- (E) combating corruption; and
- (F) protecting human rights.】

【(c) REQUIREMENTS.—

(1) The Secretary of State shall ensure that the programs described in subsection (a) are coordinated with and complement the efforts of other United States Government agencies and international partners, and that such programs are consistent with all applicable laws, regulations, and policies regarding the use of foreign assistance funds: *Provided*, That the Secretary shall also ensure that information gained through the conduct of programs described in subsection (a)(1) is shared in a timely manner with relevant United States Government agencies and other international partners, as appropriate.

(2) Prior to the obligation of funds appropriated by this Act and made available for the purposes of this section, the Secretary of State shall ensure that mechanisms are in place for appropriate monitoring, oversight, and control of such assistance: *Provided*, That the Secretary shall promptly inform the appropriate congressional committees of each significant instance in which assistance provided for such purposes has been compromised, including the amount and type of assistance affected, a description of the incident and parties involved, and an explanation of the response of the Department of State.

(3) Funds appropriated by this Act that are made available for programs described in subsection (a) shall be subject to the regular notification procedures of the Committees on Appropriations, and are subject to the additional requirements contained under section 7073 in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act): *Provided*, That for the purposes of funds appropriated by this Act that are made available for countering violent extremism, as justified to the Committees on Appropriations in the Congressional Budget Justification, Foreign Operations, Fiscal Year 2016, such funds shall only be made available for programs described in subsection (a)(2).】

【ENTERPRISE FUNDS】

【SEC. 7074. (a) NOTIFICATION REQUIREMENT.—None of the funds made available under titles III through VI of this Act may be made available for Enterprise Funds unless the appropriate congressional committees are notified at least 15 days in advance.

(b) DISTRIBUTION OF ASSETS PLAN.—Prior to the distribution of any assets resulting from any liquidation, dissolution, or winding up of an Enterprise Fund, in whole or in part, the President shall submit to the appropriate congressional committees a plan for the distribution of the assets of the Enterprise Fund.

(c) TRANSITION OR OPERATING PLAN.—Prior to a transition to and operation of any private equity fund or other parallel investment fund under an existing Enterprise Fund, the President shall submit such transition or operating plan to the appropriate congressional committees.】

【USE OF FUNDS IN CONTRAVENTION OF THIS ACT】

【SEC. 7075. If the President makes a determination not to comply with any provision of this Act on constitutional grounds, the head of the relevant Federal agency shall notify the Committees on Appropriations in writing within 5 days of such determination, the basis for such determination and any resulting changes to program and policy.】

【BUDGET DOCUMENTS】

【SEC. 7076. (a) OPERATING PLANS.—Not later than 45 days after the date of enactment of this Act, each department, agency, or organization funded in titles I, II,

and VI of this Act, and the Department of the Treasury and Independent Agencies funded in title III of this Act, including the Inter-American Foundation and the United States African Development Foundation, shall submit to the Committees on Appropriations an operating plan for funds appropriated to such department, agency, or organization in such titles of this Act, or funds otherwise available for obligation in fiscal year 2016, that provides details of the uses of such funds at the program, project, and activity level: *Provided*, That such plans shall include, as applicable, a comparison between the most recent congressional directives or approved funding levels and the funding levels proposed by the department or agency; and a clear, concise, and informative description/justification: *Provided further*, That if such department, agency, or organization receives an additional amount under the same heading in title VIII of this Act, operating plans required by this subsection shall include consolidated information on all such funds: *Provided further*, That operating plans that include changes in levels of funding for programs, projects, and activities specified in the congressional budget justification, in this Act, or amounts specifically designated in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act), as applicable, shall be subject to the notification and reprogramming requirements of section 7015 of this Act.

(b) SPEND PLANS.—

(1) Prior to the initial obligation of funds, the Secretary of State or Administrator of the United States Agency for International Development (USAID), as appropriate, shall submit to the Committees on Appropriations a detailed spend plan for funds made available by this Act, for—

(A) assistance for Afghanistan, Lebanon, Pakistan, and the West Bank and Gaza;

(B) Power Africa and the regional security initiatives listed under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this Consolidated Act): *Provided*, That the spend plan for such initiatives shall include the amount of assistance planned for each country by account, to the maximum extent practicable; and

(C) democracy programs and sectors enumerated in subsections (a), (c)(2), (d)(1), (e), (f), and (h) of section 7060 of this Act.

(2) Not later than 45 days after enactment of this Act, the Secretary of the Treasury shall submit to the Committees on Appropriations a detailed spend plan for funds made available by this Act under the heading "Department of the Treasury, International Affairs Technical Assistance" in title III.

(c) SPENDING REPORT.—Not later than 45 days after enactment of this Act, the USAID Administrator shall submit to the Committees on Appropriations a detailed report on spending of funds made available during fiscal year 2015 under the heading "Development Credit Authority".

(d) NOTIFICATIONS.—The spend plans referenced in subsection (b) shall not be considered as meeting the notification requirements in this Act or under section 634A of the Foreign Assistance Act of 1961.

(e) CONGRESSIONAL BUDGET JUSTIFICATION.—

(1) The congressional budget justification for Department of State operations and foreign operations shall be provided to the Committees on Appropriations concurrent with the date of submission of the President's budget for fiscal year 2017: *Provided*, That the appendices for such justification shall be provided to the Committees on Appropriations not later than 10 calendar days thereafter.

(2) The Secretary of State and the USAID Administrator shall include in the congressional budget justification a detailed justification for multi-year availability for any funds requested under the headings "Diplomatic and Consular Programs" and "Operating Expenses".】

【REPORTS AND RECORDS MANAGEMENT】REQUESTS FOR DOCUMENTS

SEC. 【7077】7045. 【(a) PUBLIC POSTING OF REPORTS.—

(1) REQUIREMENT.—Any agency receiving funds made available by this Act shall, subject to paragraphs (2) and (3), post on the publicly available Web site of such agency any report required by this Act to be submitted to the Committees on Appropriations, upon a determination by the head of such agency that to do so is in the national interest.

(2) EXCEPTIONS.—Paragraph (1) shall not apply to a report if—

(A) the public posting of such report would compromise national security, including the conduct of diplomacy; or

(B) the report contains proprietary, privileged, or sensitive information.

(3) TIMING AND INTENTION.—The head of the agency posting such report shall, unless otherwise provided for in this Act, do so only after such report has been made available to the Committees on Appropriations for not less than 45 days: *Provided*, That any report required by this Act to be submitted to the Committees on Appropriations shall include information from the submitting agency on whether such report will be publicly posted.】

**(b)(a) REQUESTS FOR DOCUMENTS.**—None of the funds appropriated or made available pursuant to titles III through VI of this Act shall be available to a non-governmental organization, including any contractor, which fails to provide upon timely request any document, file, or record necessary to the auditing requirements of the Department of State and the United States Agency for International Development (USAID).

**(c)b RECORDS MANAGEMENT.** [—]

**(1) LIMITATION AND DIRECTIVES.**—

**(A) I** None of the funds appropriated by this Act under the headings "Diplomatic and Consular Programs" and "Capital Investment Fund" in title I, and "Operating Expenses" in title II that are made available to the Department of State and USAID may be made available to support the use or establishment of email accounts or email servers created outside the .gov domain or not fitted for automated records management as part of a Federal government records management program in contravention of the Presidential and Federal Records Act Amendments of 2014 (Public Law 113–187).

**(B) 2** The Secretary of State and USAID Administrator shall—

**(i) A** update the policies, directives, and oversight necessary to comply with Federal statutes, regulations, and presidential executive orders and memoranda concerning the preservation of all records made or received in the conduct of official business, including record emails, instant messaging, and other online tools;

**(ii) B** use funds appropriated by this Act under the headings "Diplomatic and Consular Programs" and "Capital Investment Fund" in title I, and "Operating Expenses" in title II, as appropriate, to improve Federal records management pursuant to the Federal Records Act (44 U.S.C. Chapters 21, 29, 31, and 33) and other applicable Federal records management statutes, regulations, or policies for the Department of State and USAID;

**(iii) C** direct departing employees that all Federal records generated by such employees, including senior officials, belong to the Federal Government; and

**(iv) D** measurably improve the response time for identifying and retrieving Federal records.

**(2) REPORT.**—Not later than 30 days after enactment of this Act, the Secretary of State and USAID Administrator shall each submit a report to the Committees on Appropriations and to the National Archives and Records Administration detailing, as appropriate and where applicable—

(A) the policy of each agency regarding the use or the establishment of email accounts or email servers created outside the .gov domain or not fitted for automated records management as part of a Federal government records management program;

(B) the extent to which each agency is in compliance with applicable Federal records management statutes, regulations, and policies; and

(C) the steps required, including steps already taken, and the associated costs, to—

(i) comply with paragraph (1)(B) of this subsection;

(ii) ensure that all employees at every level have been instructed in procedures and processes to ensure that the documentation of their official duties is captured, preserved, managed, protected, and accessible in official Government systems of the Department of State and USAID;

(iii) implement the recommendations of the Office of Inspector General, United States Department of State (OIG), in the March 2015 Review of State Messaging and Archive Retrieval Toolset and Record Email (ISP-1–15–15) and any recommendations from the OIG review of the records management practices of the Department of State requested by the Secretary on March 25, 2015, if completed;

(iv) reduce the backlog of Freedom of Information Act and Congressional oversight requests, and measurably improve the response time for answering such requests;

(v) strengthen cyber security measures to mitigate vulnerabilities, including those resulting from the use of personal email accounts or servers outside the .gov domain; and

(vi) codify in the Foreign Affairs Manual and Automated Directives System the updates referenced in paragraph (1)(B) of this subsection, where appropriate. ]

**(3) REPORT ASSESSMENT.**—Not later than 180 days after the submission of the reports required by paragraph (2), the Comptroller General of the United States, in consultation with National Archives and Records Administration, as appropriate, shall conduct an assessment of such reports, and shall consult with the Committees on Appropriations on the scope and requirements of such assessment. ]

**(4) FUNDING.**—Of funds appropriated by this Act under the heading "Capital Investment Fund" in title I, \$10,000,000 shall be withheld from obligation until the Secretary submits the report required by paragraph (2). ]

**[GLOBAL INTERNET FREEDOM ]**

**[SEC. 7078. (a) FUNDING.**—Of the funds available for obligation during fiscal year 2016 under the headings "International Broadcasting Operations", "Economic Support Fund", "Democracy Fund", and "Assistance for Europe, Eurasia and Central Asia", not less than \$50,500,000 shall be made available for programs to promote Internet freedom globally: *Provided*, That such programs shall be prioritized for countries whose governments restrict freedom of expression on the Internet, and that are important to the national interests of the United States: *Provided further*, That funds made available pursuant to this section shall be matched, to the maximum extent practicable, by sources other than the United States Government, including from the private sector.

**(b) REQUIREMENTS.**—Funds made available pursuant to subsection (a) shall be—

(1) coordinated with other democracy, governance, and broadcasting programs funded by this Act under the headings "International Broadcasting Operations", "Economic Support Fund", "Democracy Fund", "Complex Crises Fund", and "Assistance for Europe, Eurasia and Central Asia", and shall be incorporated into country assistance, democracy promotion, and broadcasting strategies, as appropriate;

(2) made available to the Bureau of Democracy, Human Rights, and Labor, Department of State for programs to implement the May 2011, International Strategy for Cyberspace and the comprehensive strategy to promote Internet freedom and access to information in Iran, as required by section 414 of the Iran Threat Reduction and Syria Human Rights Act of 2012 (22 U.S.C. 8754);

(3) made available to the Broadcasting Board of Governors (BBG) to provide tools and techniques to access the Web sites of BBG broadcasters that are censored, and to work with such broadcasters to promote and distribute such tools and techniques, including digital security techniques;

(4) made available for programs that support the efforts of civil society to counter the development of repressive Internet-related laws and regulations, including countering threats to Internet freedom at international organizations; to combat violence against bloggers and other users; and to enhance digital security training and capacity building for democracy activists;

(5) made available for research of key threats to Internet freedom; the continued development of technologies that provide or enhance access to the Internet, including circumvention tools that bypass Internet blocking, filtering, and other censorship techniques used by authoritarian governments; and maintenance of the technological advantage of the United States Government over such censorship techniques: *Provided*, That the Secretary of State, in consultation with the BBG Chairman, shall coordinate any such research and development programs with other relevant United States Government departments and agencies in order to share information, technologies, and best practices, and to assess the effectiveness of such technologies; and

(6) coordinated by the Assistant Secretary of State for Democracy, Human Rights, and Labor, Department of State, except that the uses of such funds made available under the heading "International Broadcasting Operations" shall be the responsibility of the BBG Chairman.

**(c) COORDINATION AND SPEND PLANS.**—After consultation among the relevant agency heads to coordinate and de-conflict planned activities, but not later than 90 days after enactment of this Act, the Secretary of State and the BBG Chairman shall submit to the Committees on Appropriations spend plans for funds made available by this Act for programs to promote Internet freedom globally, which shall include a description of safeguards established by relevant agencies to ensure that such programs are not used for illicit purposes: *Provided*, That the Department of State spend plan shall include funding for all such programs for all relevant Department of State and USAID offices and bureaus: *Provided further*, That prior to the obligation of such funds, such offices and bureaus shall consult with the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, to ensure that such programs support the Department of State Internet freedom strategy. ]

**[DISABILITY PROGRAMS ]**

**SEC. [7079] 7046. (a) ASSISTANCE.**—Funds appropriated by this Act under the heading "Economic Support Fund" [shall] *may* be made available for programs and activities administered by the United States Agency for International Development (USAID) to address the needs and protect and promote the rights of people with disabilities in developing countries, including initiatives that focus on independent living, economic self-sufficiency, advocacy, education, employment, transportation, sports, and integration of individuals with disabilities, including for the cost of translation. ]

(b) **MANAGEMENT, OVERSIGHT, AND TECHNICAL SUPPORT.**—[Of the funds] *Funds* made available pursuant to this section, [5 percent] may be used for USAID for management, oversight, and technical support.

**IMPACT ON JOBS IN THE UNITED STATES**

SEC. [7080]7047. None of the funds appropriated or otherwise made available under titles III through VI of this Act may be obligated or expended to provide—

(1) *a* any financial incentive to a business enterprise currently located in the United States for the purpose of inducing such an enterprise to relocate outside the United States if such incentive or inducement is likely to reduce the number of employees of such business enterprise in the United States because United States production is being replaced by such enterprise outside the United States;

(2) *b* assistance for any program, project, or activity that contributes to the violation of internationally recognized workers' rights, as defined in section 507(4) of the Trade Act of 1974, of workers in the recipient country, including any designated zone or area in that country: *Provided*, That the application of section 507(4)(D) and (E) of such Act should be commensurate with the level of development of the recipient country and sector, and shall not preclude assistance for the informal sector in such country, micro and small-scale enterprise, and smallholder agriculture;

(3) *c* any assistance to an entity outside the United States if such assistance is for the purpose of directly relocating or transferring jobs from the United States to other countries and adversely impacts the labor force in the United States [; or].

(4) for the enforcement of any rule, regulation, policy, or guidelines implemented pursuant to—

(A) the third proviso of subsection 7079(b) of the Consolidated Appropriations Act, 2010;

(B) the modification proposed by the Overseas Private Investment Corporation in November 2013 to the Corporation's Environmental and Social Policy Statement relating to coal; or

(C) the Supplemental Guidelines for High Carbon Intensity Projects approved by the Export-Import Bank of the United States on December 12, 2013, when enforcement of such rule, regulation, policy, or guidelines would prohibit, or have the effect of prohibiting, any coal-fired or other power-generation project the purpose of which is to: (i) provide affordable electricity in International Development Association (IDA)-eligible countries and IDA-blend countries; and (ii) increase exports of goods and services from the United States or prevent the loss of jobs from the United States. ]

**[COUNTRY FOCUS AND SELECTIVITY]**

[SEC. 7081. (a) **TRANSITION PLAN REQUIREMENT.**—Any bilateral country assistance strategy developed after the date of enactment of this Act for the provision of assistance for a foreign country shall include a transition plan identifying end goals and options for winding down, within a targeted period of years, such bilateral assistance: *Provided*, That such transition plan shall be developed by the Secretary of State, in consultation with the Administrator of the United States Agency for International Development (USAID), the heads of other relevant Federal agencies, and officials of such foreign government and representatives of civil society, as appropriate.

(b) **TARGETED TRANSITIONS.**—Not later than 180 days after enactment of this Act, the Secretary of State, in consultation with the USAID Administrator, the heads of other relevant Federal agencies, and the Committees on Appropriations, shall select at least one country in which to establish and implement a transition program to seek to reduce dependency on bilateral foreign assistance and create greater self-sufficiency for such country: *Provided*, That any such selection shall be of a country receiving assistance with funds appropriated under titles III and IV of this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs that—

(1) is a long-time recipient of such assistance;

(2) has demonstrated, or has been assessed to possess, the capacity for self-sufficiency; and

(3) is not impacted by conflict or crisis, including large numbers of internally displaced persons or significant refugee populations resulting from such conflict or crisis:

*Provided further*, That the Secretary shall consult with the Committees on Appropriations prior to the selection of any such country, and on the goals and targets for such program to be established in the selected country: *Provided further*, That such transition should exclude funding for democracy and humanitarian assistance programs: *Provided further*, That assistance may be resumed or continued for any such selected country if the Secretary determines and reports to the Committees on Appropriations that to do so is important to the national interest of the United States, and such report provides an explanation of such interest being served. ]

**[UNITED NATIONS POPULATION FUND]**

[SEC. 7082. (a) **CONTRIBUTION.**—Of the funds made available under the heading "International Organizations and Programs" in this Act for fiscal year 2016, \$32,500,000 shall be made available for the United Nations Population Fund (UNFPA).

(b) **AVAILABILITY OF FUNDS.**—Funds appropriated by this Act for UNFPA, that are not made available for UNFPA because of the operation of any provision of law, shall be transferred to the "Global Health Programs" account and shall be made available for family planning, maternal, and reproductive health activities, subject to the regular notification procedures of the Committees on Appropriations.

(c) **PROHIBITION ON USE OF FUNDS IN CHINA.**—None of the funds made available by this Act may be used by UNFPA for a country program in the People's Republic of China.

(d) **CONDITIONS ON AVAILABILITY OF FUNDS.**—Funds made available by this Act for UNFPA may not be made available unless—

(1) UNFPA maintains funds made available by this Act in an account separate from other accounts of UNFPA and does not commingle such funds with other sums; and

(2) UNFPA does not fund abortions.

(e) **REPORT TO CONGRESS AND DOLLAR-FOR-DOLLAR WITHHOLDING OF FUNDS.**—

(1) Not later than 4 months after the date of enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations indicating the amount of funds that UNFPA is budgeting for the year in which the report is submitted for a country program in the People's Republic of China.

(2) If a report under paragraph (1) indicates that UNFPA plans to spend funds for a country program in the People's Republic of China in the year covered by the report, then the amount of such funds UNFPA plans to spend in the People's Republic of China shall be deducted from the funds made available to UNFPA after March 1 for obligation for the remainder of the fiscal year in which the report is submitted. ]

**CONSULAR AND BORDER SECURITY PROGRAMS**

SEC. 7048. (a) *There is established in the Treasury a separate fund to be known as the "Consular and Border Security Programs" account into which the following fees shall be deposited for the purposes of the consular and border security programs.*

(b) **MACHINE-READABLE VISA FEE.**—Section 103(d) of Public Law 107-173 (8 U.S.C. 1713) is amended by striking "*credited as an offsetting collection to any appropriation for the Department of State*" and inserting "*deposited in the Consular and Border Security Programs account*".

(c) **PASSPORT AND IMMIGRANT VISA SECURITY SURCHARGES.**

(1) *The fourth paragraph under the heading "Diplomatic and Consular Programs" in title IV of division B of Public Law 108-447 (8 U.S.C. 1714) is amended*

(A) *by inserting "and the consular protection of U.S. citizens and their interests overseas" after "in support of enhanced border security"; and*

(B) *by striking "credited to this account" and inserting "deposited in the Consular and Border Security Programs account".*

(2) *Section 6 of Public Law 109-472 (8 U.S.C. 1714 note) is amended by inserting "and the consular protection of U.S. citizens and their interests overseas" after "in support of enhanced border security" each place it appears.*

(d) **DIVERSITY IMMIGRANT LOTTERY FEE.**—Section 636 of title VI, division C of Public Law 104208 (8 U.S.C. 1153 note) is amended by striking "*as an offsetting collection to any Department of State appropriation*" and inserting "*in the Consular and Border Security Programs account*".

(e) **AFFIDAVIT OF SUPPORT FEE.**—Section 232(c) of title II of division A of H.R. 3427 (106th Congress) (incorporated by reference by section 1000(a)(7) of division B of Public 106113, as amended (8 U.S.C. 1183a note), is further amended by striking "*as an offsetting collection to any Department of State appropriation*" and inserting "*in the Consular and Border Security Programs account*".

(f) **WESTERN HEMISPHERE TRAVEL INITIATIVE SURCHARGE.**—Subsection (b)(1) of section 1 of the Passport Act of June 4, 1920 (22 U.S.C. 214(b)(1)) is amended by striking "*as an offsetting collection to the appropriate Department of State appropriation*" and inserting "*in the Consular and Border Security Programs account*".

(g) **EXPEDITED PASSPORT FEE.**—The first proviso under the heading "Diplomatic and Consular Programs" in title V of Public Law 103-317 (22 U.S.C. 214 note) is amended by inserting "*or in the Consular and Border Security Programs account*" after "*offsetting collection*".

(h) **TRANSFER OF BALANCES.**—The unobligated balances of amounts available from fees referenced under this section may be transferred to the Consular and Border Security Programs account.

(i) Funds deposited in or transferred to the Consular and Border Security Programs account may be transferred between funds appropriated under the heading "Administration of Foreign Affairs".

(j) The transfer authorities in this section shall be in addition to any other transfer authority available to the Department of State.

(k) The amendments made by this section shall take effect no later than October 1, 2017.

#### FRAUD PREVENTION AND DETECTION FEES

SEC. 7049. In addition to the uses permitted pursuant to section 286(v)(2)(A) of the Immigration and Nationality Act (8 U.S.C. 1356(v)(2)(A)), the Secretary of State may also use fees deposited into the Fraud Prevention and Detection Account for programs and activities within the United States and at U.S. embassies and consulates abroad for the prevention and detection of visa fraud, to include increasing the number of personnel assigned exclusively or primarily to the function of preventing and detecting visa fraud.

#### BORDER CROSSING CARD FEE FOR MINORS

SEC. 7050. Section 410(a)(1)(A) of the Department of State and Related Agencies Appropriations Act, 1999 (Public Law 105-277) is amended by striking "a fee of \$13" and inserting "a fee equal to one half the fee that would otherwise apply for processing a machine readable combined border crossing identification card and non-immigrant visa".

#### BUYING POWER MAINTENANCE, INTERNATIONAL ORGANIZATIONS

SEC. 7051. (a) There may be established in the Treasury of the United States a "Buying Power Maintenance, International Organizations" account.

(b) At the end of each fiscal year, the Secretary of State may transfer to and merge with "Buying Power Maintenance, International Organizations" such amounts from "Contributions to International Organizations" as the Secretary determines are in excess of the needs of activities funded from "Contributions to International Organizations" because of fluctuations in foreign currency exchange rates.

(c) In order to offset adverse fluctuations in foreign currency exchange rates, the Secretary of State may transfer to and merge with "Contributions to International Organizations" such amounts from "Buying Power Maintenance, International Organizations" as the Secretary determines are necessary to provide for the activities funded from "Contributions to International Organizations".

(d)(1) Subject to the limitations contained in this section, not later than the end of the fifth fiscal year after the fiscal year for which funds are appropriated or otherwise made available for "Contributions to International Organizations", the Secretary of State may transfer any unobligated balance of such funds to the "Buying Power Maintenance, International Organizations" account.

(2) The balance of the "Buying Power Maintenance, International Organizations" account may not exceed \$100,000,000 as a result of any transfer under this subsection.

(3) Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section 34 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2706) and shall be available for obligation or expenditure only in accordance with the procedures under such section.

(e)(1) Funds transferred to the "Buying Power Maintenance, International Organizations" account pursuant to this section shall remain available until expended.

(2) The transfer authorities in this section shall be available for funds appropriated for fiscal year 2017 and for each fiscal year thereafter, and are in addition to any transfer authority otherwise available to the Department of State under other provisions of law.

#### AUTHORITY TO ISSUE ADMINISTRATIVE SUBPOENAS

SEC. 7052. Section 3486 of Title 18, United States Code, is amended

(a) In subsection (a)(1)(A)

(1) in clause (ii), by striking "or"; and

(2) by adding new clauses (iv) and (v) immediately prior to "may issue in writing and cause to be served a subpoena", as follows: "(iv) an offense under section 878, or a threat against a person, foreign mission or organization authorized to receive protection by special agents of the Department of State and the Foreign Service under paragraph (3) of section 2709 of title 22, if the Assistant Secretary for Diplomatic Security or the Director of the Diplomatic Security Service determines that the threat constituting the offense or threat against the person or place protected is imminent, the Secretary of State; or (v) an offense under chapter 75, Passports and Visas, the Secretary of State,";

(b) in subsection (a)(9), by striking "(1)(A)(i)(II) or (1)(A)(iii)" and inserting "(1)(A)(i)(II), (1)(A)(iii), (1)(A)(iv), or (1)(A)(v)";

(c) in subsection (a)(10), by inserting before the period, ", and as soon as practicable following issuance of a subpoena under paragraph (1)(A)(iv) the Secretary of State shall notify the Attorney General of its issuance"; and

(d) in subsection (e)(1) by replacing the existing language with the following: "(1) Health information about an individual that is disclosed under this section may not be used in, or disclosed to any person for use in, any administrative, civil, or criminal action or investigation directed against the individual who is the subject of the information unless the action or investigation arises out of and is directly related to receipt of health care or payment for health care or action involving a fraudulent claim related to health; directly relates to the purpose for which the subpoena was authorized under paragraph (a)(1); or is authorized by an appropriate order of a court of competent jurisdiction, granted after application showing good cause therefor."

#### CONSULAR NOTIFICATION COMPLIANCE

SEC. 7053. (a) PETITION FOR REVIEW.

(1) JURISDICTION. Notwithstanding any other provision of law, a Federal court shall have jurisdiction to review the merits of a petition claiming violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, filed by an individual convicted and sentenced to death by any Federal or State court before the date of enactment of this Act.

(2) STANDARD. To obtain relief, an individual described in paragraph (1) must make a showing of actual prejudice to the criminal conviction or sentence as a result of the violation. The court may conduct an evidentiary hearing if necessary to supplement the record and, upon a finding of actual prejudice, shall order a new trial or sentencing proceeding.

(3) LIMITATIONS.

(A) INITIAL SHOWING. To qualify for review under this subsection, a petition must make an initial showing that

(i) a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, occurred with respect to the individual described in paragraph (1); and

(ii) if such violation had not occurred, the consulate would have provided assistance to the individual.

(B) EFFECT OF PRIOR ADJUDICATION. A petition for review under this subsection shall not be granted if the claimed violation described in paragraph (1) has previously been adjudicated on the merits by a Federal or State court of competent jurisdiction in a proceeding in which no Federal or State procedural bars were raised with respect to such violation and in which the court provided review equivalent to the review provided in this subsection, unless the adjudication of the claim resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the prior Federal or State court proceeding.

(C) FILING DEADLINE. A petition for review under this subsection shall be filed within 1 year of the later of

(i) the date of enactment of this Act;

(ii) the date on which the Federal or State court judgment against the individual described in paragraph (1) became final by the conclusion of direct review or the expiration of the time for seeking such review; or

(iii) the date on which the impediment to filing a petition created by Federal or State action in violation of the Constitution or laws of the United States is removed, if the individual described in paragraph (1) was prevented from filing by such Federal or State action.

(D) TOLLING. The time during which a properly filed application for State post-conviction or other collateral review with respect to the pertinent judgment or claim is pending shall not be counted toward the 1-year period of limitation.

(E) TIME LIMIT FOR REVIEW. A Federal court shall give priority to a petition for review filed under this subsection over all noncapital matters. With respect to a petition for review filed under this subsection and claiming only a violation described in paragraph (1), a Federal court shall render a final determination and enter a final judgment not later than one year after the date on which the petition is filed.

(4) HABEAS PETITION. A petition for review under this subsection shall be part of the first Federal habeas corpus application or motion for Federal collateral relief under chapter 153 of title 28, United States Code, filed by an individual, except that if an individual filed a Federal habeas corpus application or motion for Federal collateral relief before the date of enactment of this Act or if such application is required to be filed before the date that is 1 year after the date of enactment of this Act, such petition for review under this subsection shall be filed not later than 1 year after the enactment date or within the period prescribed by paragraph (3)(C)(iii), whichever is later. No petition filed in conformity with the requirements of the preceding sentence shall be considered a second

or successive habeas corpus application or subjected to any bars to relief based on preenactment proceedings other than as specified in paragraph (2).

(5) **REFERRAL TO MAGISTRATE.** A Federal court acting under this subsection may refer the petition for review to a Federal magistrate for proposed findings and recommendations pursuant to 28 U.S.C. 636(b)(1)(B).

(6) **APPEAL.**

(A) **IN GENERAL.** A final order on a petition for review under paragraph (1) shall be subject to review on appeal by the court of appeals for the circuit in which the proceeding is held.

(B) **APPEAL BY PETITIONER.** An individual described in paragraph (1) may appeal a final order on a petition for review under paragraph (1) only if a district or circuit judge issues a certificate of appealability. A district or circuit court judge shall issue or deny a certificate of appealability not later than 30 days after an application for a certificate of appealability is filed. A district judge or circuit judge may issue a certificate of appealability under this subparagraph if the individual has made a substantial showing of actual prejudice to the criminal conviction or sentence of the individual as a result of a violation described in paragraph (1).

(b) **VIOLATION.**

(1) **IN GENERAL.** An individual not covered by subsection (a) who is arrested, detained, or held for trial on a charge that would expose the individual to a capital sentence if convicted may raise a claim of a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or of a comparable provision of a bilateral international agreement addressing consular notification and access, at a reasonable time after the individual becomes aware of the violation, before the court with jurisdiction over the charge. Upon a finding of such a violation

(A) the consulate of the foreign state of which the individual is a national shall be notified immediately by the detaining authority, and consular access to the individual shall be afforded in accordance with the provisions of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or the comparable provisions of a bilateral international agreement addressing consular notification and access; and

(B) the court

(i) Shall postpone any proceedings to the extent the court determines necessary to allow for adequate opportunity for consular access and assistance; and

(ii) may enter necessary orders to facilitate consular access and assistance.

(2) **EVIDENTIARY HEARINGS.** The court may conduct evidentiary hearings if necessary to resolve factual issues.

(3) **RULE OF CONSTRUCTION.** Nothing in this subsection shall be construed to create any additional remedy.

(c) **DEFINITIONS.** In this section the term "State" means any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States.

(d) **APPLICABILITY.** The provisions of this section shall apply during the current fiscal year and hereafter.

**DEFENSE TRADE CONTROLS REGISTRATION FEES**

**SEC. 7054.** Section 45 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2717) is amended as follows:

(a) in the first sentence, by striking "Office" and inserting "Directorate" and inserting after "incurred for" the following: "management, licensing, compliance, and policy activities in the defense trade controls function, including";

(b) in subpart (1), by striking "contract personnel to assist in";

(c) in subpart (2), by striking the "and" after "computer equipment and related software";

(d) in subpart (3), by striking the period "." after "defense trade export controls" and inserting a ";";

(e) by adding a new subpart (4) to read as follows: "the facilitation of defense trade policy development and implementation, review of commodity jurisdiction determinations, public outreach to industry and foreign parties, and analysis of scientific and technological developments as they relate to the exercise of defense trade control authorities; and"; and

(f) by adding a new subpart (5) to read as follows: "(5) contract personnel to assist in such activities."

**INSPECTOR GENERAL PERSONNEL AUTHORITIES**

**SEC. 7055.** (a) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended in Subsection (d)(2)(E) to read as follows: "(E) To employ, or authorize the employment by the other Inspectors General specified in subsection (c), on a temporary basis using the authorities in section 3161 of title 5, United States Code (but without regard to subsections (a) and (b)(2) of such section), such auditors,

investigators, and other personnel as the lead Inspector General considers appropriate to assist the lead Inspector General and such other Inspectors General on matters relating to the contingency operation."

(b) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended in Subsection (d)(3) to read as follows:

(1) "(3)(A) Each Inspector General specified in subsection (c) may employ annuitants covered by section 9902(g) of title 5, United States Code, for purposes of assisting the lead Inspector General in discharging responsibilities under this subsection with respect to the contingency operation."

(2) "(B) The employment under this subsection of an annuitant described in section 9902(g) of title 5, United States Code, shall be governed by the provisions of such section as if the position in which the annuitant is employed was a position in the Department of Defense."

(3) "(C) For purposes of employment under this subsection, an annuitant receiving an annuity under the Foreign Service Retirement and Disability System or the Foreign Service Pension System under Chapter 52, Subchapter VIII of Title 22 may be reemployed as if covered by section 9902(g)(1) of Title 5."

(A) "(i) Notwithstanding any other provision of law, a Foreign Service annuitant so reemployed shall continue to receive his full annuity and shall not be considered a participant for purposes of subchapter VIII of Chapter 52 of Title 22 or an employee for purposes of subchapter III of chapter 83 or chapter 84 of Title 5."

(B) "(ii) A Foreign Service annuitant reemployed under this subsection may elect in writing for his reemployment to be subject to subsection 4064 of Title 22. Any such election must be made within 90 days of his reemployment under this subsection."

(c) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended by adding at the end of Subsection (d), a new paragraph as follows: "(5) The authority to employ personnel under this subsection for a contingency operation shall cease as provided for in subsection (e)."

**PARTICIPATION IN INTERNATIONAL EXPOSITIONS**

**SEC. 7056.** Notwithstanding section 204 of the Admiral James W. Nance and Meg Donovan Foreign Relations Authorization Act, Fiscal Years 2000 and 2001, as enacted by section 1000(a)(7) of Public Law 106-113 and contained in appendix G of that Act (22 U.S.C. 2452b), funds appropriated under this Act may be used to provide for U.S. participation in international fairs and expositions abroad occurring on or after March 1, 2014.

**INTERNATIONAL LITIGATION FUND**

**SEC. 7057.** Funds received by the Department of State as a result of a decision of an international tribunal to award costs of preparing or prosecuting a proceeding before an international tribunal shall be credited to the International Litigation Fund established under section 38 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)(3)).

**COMMUNITY DEVELOPMENT FUNDS**

**SEC. 7058.** Funds appropriated under this Act to carry out Part I of the Foreign Assistance Act of 1961 which are made available through grants or cooperative agreements to strengthen food security in developing countries and which are consistent with the goals of Title II of the Food for Peace Act may be deemed to be expended on nonemergency food assistance for the purposes of section 412(e) of the Food for Peace Act, 7 U.S.C. 1736f(e).

**WORKING CAPITAL FUND**

**SEC. 7059.** (a) The Administrator of the United States Agency for International Development (the Administrator) is authorized to establish a Working Capital Fund (in this section referred to as the "Fund").

(b) Funds deposited in the Fund during any fiscal year shall be available without fiscal year limitation and used, in addition to other funds available for such purposes, for administrative costs resulting from agency implementation and procurement reform efforts, the administration of this Fund, and administrative contingencies designated by the Administrator. Such expenses may include—

(1) personal and nonpersonal services;

(2) training;

(3) supplies; and

(4) other administrative costs related to implementation and procurement reform and administrative contingencies.

(c) There may be deposited during any fiscal year in the Fund up to 1 percent of the total value of obligations entered into by the United States Agency for International Development (USAID) from appropriations available to USAID and any appropriation made available for the purpose of providing capital. Receipts from the disposal of, or repayments for the loss or damage to, property held in the Fund, rebates, reimbursements, refunds and other credits applicable to the operation of the Fund may be deposited into the Fund.

(d) *At the close of each fiscal year the Administrator shall transfer to the general fund of the Treasury amounts in excess of \$100,000,000, and such other amounts as the Administrator determines to be in excess of the needs of the Fund.*

## UNITED STATES GLOBAL DEVELOPMENT LAB

SEC. 7060. (a) **AUTHORITY.**—Funds appropriated by this Act under title III may be made available for the activities of the United States Global Development Lab (the "Lab") in the United States Agency for International Development (USAID) notwithstanding any other provision of law.

(b) **PERSONNEL.**—Funds appropriated by this Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961 may be used to employ individuals on a limited appointment basis for activities related to the United States Global Development Lab pursuant to schedule A of the Excepted Service, or similar authority: Provided, That the funding authority of the previous sentence may only be relied upon if such Excepted Service authority is obtained by USAID from the Office of Personnel Management: Provided further, That such funds are in addition to funds otherwise available for such purposes.

## MALARIA CONTROL

SEC. 7061. Unobligated balances appropriated under the heading *Bilateral Economic Assistance in title IX of Division J of the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113–235)* shall also be available for assistance or research to prevent, treat, and control malaria or other infectious diseases in countries affected by such diseases: Provided, That amounts repurposed pursuant to this section are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

## MILLENNIUM CHALLENGE COMPACT

SEC. 7062. (a) **CONCURRENT COMPACTS.**—Section 609 of the Millennium Challenge Act of 2003 (22 U.S.C. 7708) is amended—

(1) by striking the first sentence of subsection (k); and

(2) by inserting after subsection (k) the following new subsection: "(l) **CONCURRENT COMPACTS.**—In accordance with the requirements of this title, an eligible country and the United States may enter into and have in effect more than one Compact at any given time, including a concurrent Compact for purposes of regional economic integration or cross-border collaborations, only if the Board determines that the country is making considerable and demonstrable progress in implementing the terms of the existing Compact and supplementary agreements thereto."

(b) **CONFORMING AMENDMENTS.**—

(1) Section 609(b)(1) of such Act (22 U.S.C. 7708(b)(1)) is amended by striking "the eligible country" and inserting "each eligible country or regional development strategy in the case of regional investments"; and by striking "the" and inserting "each" before "country" in subsections 609(b)(1)(A), (B), (E) and (J);

(2) Section 609(b)(3) of such Act (22 U.S.C. 7708(b)(3)) is amended by inserting after "national development strategy" "or regional development strategy" and by inserting after "government of the country" "or governments of the countries in the case of regional investments"; and

(3) Section 613(b)(2)(A) of such Act (22 U.S.C. 7712(b)(2)(A)) is amended by striking "the" before "Compact" and inserting "any".

## NORTH AMERICAN DEVELOPMENT BANK GENERAL CAPITAL INCREASE

SEC. 7063. Part 2 of subtitle D of title V of Public Law 103–182, as amended, (22 U.S.C. 290m et seq.) is further amended by adding at the end thereof the following new section:

"Sec. 547. **First Capital Increase.**

"(a) **Subscription Authorized.**—

"(1) The Secretary of the Treasury may subscribe on behalf of the United States to 150,000 additional shares of the capital stock of the Bank.

"(2) Any subscription by the United States to the capital stock of the Bank shall be effective only to such extent and in such amounts as are provided in advance in appropriations Acts.

"(b) **Limitations on Authorization of Appropriations.**—

"(1) In order to pay for the increase in the United States subscription to the Bank under subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$1,500,000,000 for payment by the Secretary of the Treasury.

"(2) Of the amount authorized to be appropriated under paragraph (1)—

"(A) \$225,000,000 shall be for paid in shares of the Bank; and

"(B) \$1,275,000,000 shall be for callable shares of the Bank."

## SUDAN DEBT RELIEF

SEC. 7064. Of the funds appropriated in this and prior acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism

pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, up to \$275,000,000 may be transferred to, and merged with, funds available under the heading "Department of Treasury, Debt Restructuring" in title III of prior acts making appropriations for the Department of State, foreign operations, and related programs for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees, as the President may determine, or for the cost of selling, reducing, or cancelling amounts owed to the United States as a result of loans made to Sudan: Provided, That such funds may be made available only if the Secretary of State determines and reports to the Committees on Appropriations that Sudan is implementing the agreement reached by the Governments of Sudan and South Sudan under the Comprehensive Peace Agreement, including a political resolution of the conflict in Southern Kordofan and Blue Nile, and any other legislative requirements. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

## GENERAL PROVISIONS

## LIMITATIONS ON AND EXPIRATION OF AUTHORITY WITH RESPECT TO NEW ARRANGEMENTS TO BORROW

SEC. 9001. Section 17 of the Bretton Woods Agreements Act (22 U.S.C. 286e-2) is amended—

(1) in subsection (a) by adding at the end the following:

"(5) The authority to make loans under this section shall expire on December 16, 2022.";

(2) in subsection (b), in paragraphs (1) and (2), by inserting before the end period the following: ", only to the extent that amounts available for such loans are not rescinded by an Act of Congress";

(3) by adding the following subsection (e), which shall be effective from the first day of the next period of renewal of the NAB decision after enactment of this Act:

"(e) **New Requirement for Activation of the New Arrangements to Borrow**

"(1) The Secretary of the Treasury shall include in the certification and report required by paragraphs (a)(1), (a)(2), (b)(1), and (b)(2) of this section prior to activation an additional certification and report that—

"(A) the one-year forward commitment capacity of the IMF (excluding borrowed resources) is expected to fall below 100,000,000,000 Special Drawing Rights during the period of the NAB activation; and

"(B) activation of the NAB is in the United States strategic economic interest with the reasons and analysis for that determination.

"(2) Prior to submitting any certification and report required by paragraphs (a)(1), (a)(2), (b)(1), and (b)(2) of this section, the Secretary of the Treasury shall consult with the appropriate congressional committees."; and

(4) by adding at the end the following:

"(f) In this section, the term "appropriate congressional committees" means the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Financial Services of the House of Representatives." ]

## ACCEPTANCE OF AMENDMENTS TO ARTICLES OF AGREEMENT; QUOTA INCREASE

SEC. 9002. The Bretton Woods Agreements Act (22 U.S.C. 286 et seq.) is amended by adding at the end the following:

"SEC . **ACCEPTANCE OF AMENDMENTS TO THE ARTICLES OF AGREEMENT OF THE FUND.**—

"(c) The United States Governor of the Fund may accept the amendments to the Articles of Agreement of the Fund as proposed in resolution 66–2 of the Board of Governors of the Fund.

"SEC . **QUOTA INCREASE.**—

"(a) **IN GENERAL.**—The United States Governor of the Fund may consent to an increase in the quota of the United States in the Fund equivalent to 40,871,800,000 Special Drawing Rights.

"(b) **SUBJECT TO APPROPRIATIONS.**—The authority provided by subsection (a) shall be effective only to such extent or in such amounts as are provided in advance in appropriations Acts." ]

## REPORT ON METHODOLOGY USED FOR CONGRESSIONAL BUDGET OFFICE COST ESTIMATES

SEC. 9003. (a) **REPORT.**—Not later than 180 days after the date of enactment of this Act, the Director of the Congressional Budget Office shall submit a report to the appropriate congressional committees on the methodology used and rationale for incorporating market risk in cost estimates for the International Monetary Fund: Provided, That for the purposes of this subsection, the term "appropriate congressional committees" means—

(1) the Committees on Appropriations, Budget, Banking, Housing and Urban Affairs, and Foreign Relations of the Senate; and

(2) the Committees on Appropriations, Budget, and Financial Services of the House of Representatives.

(b) **REQUIREMENTS.**—The report submitted pursuant to subsection (a) shall include matters relevant to the evaluation of the budgetary effects of the participation of the United States in the International Monetary Fund, including the risks associated with—

(1) the current participation of the United States in the International Monetary Fund, including the market risk of the Fund;

(2) countries borrowing from the Fund;

(3) the various loan instruments and assistance activities of the Fund; and

(4) past participation of the United States in the International Monetary Fund, including the historical net cost to the government of previous quota increases.

(c) **REVIEW.**—Following the submission of the report required by subsection (a), the Committees on Appropriations and Budget of the Senate and the Committees on Appropriations and Budget of the House of Representatives shall review the Congressional Budget Office's market risk scoring methodology and consider options for modifying the budgetary treatment of new appropriations to the International Monetary Fund: *Provided*, That in conducting such review, such committees should consult with other interested parties, including the Office of Management and Budget and the Congressional Budget Office. ]

**[REQUIRED CONSULTATIONS WITH CONGRESS IN ADVANCE OF CONSIDERATION OF EXCEPTIONAL ACCESS LENDING ]**

**[SEC. 9004. (a) IN GENERAL.**—The United States Executive Director of the International Monetary Fund (the Fund) (or any designee of the Executive Director) may not vote for the approval of an exceptional access loan to be provided by the Fund to a country unless, not later than 7 days before voting to approve that loan (subject to subsection (c)), the Secretary of the Treasury submits to the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Financial Services of the House of Representatives—

(1) a report on the exceptional access program under which the loan is to be provided, including a description of the size and tenor of the program; and

(2) a debt sustainability analysis and related documentation justifying the need for the loan.

(b) **ELEMENTS.**—A debt sustainability analysis under subsection (a)(2) with respect to an exceptional access loan shall include the following:

(1) any assumptions for growth of the gross domestic product of the country that may receive the loan;

(2) an estimate of whether the public debt of that country is sustainable in the medium term, consistent with the exceptional access lending rules of the Fund;

(3) an estimate of the prospects of that country for regaining access to private capital markets; and

(4) an evaluation of the probability of the success of providing the exceptional access loan.

(c) **EXTRAORDINARY CIRCUMSTANCES.**—The Secretary may submit the report and analysis required by subsection (a) to the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Financial Services of the House of Representatives not later than 2 business days after a decision by the Executive Board of the Fund to approve an exceptional access loan only if the Secretary—

(1) determines and certifies that—

(A) an emergency exists in the country that applied for the loan and that country requires immediate assistance to avoid disrupting orderly financial markets; or

(B) other extraordinary circumstances exist that warrant delaying the submission of the report and analysis; and

(2) submits with the report and analysis a detailed explanation of the emergency or extraordinary circumstances and the reasons for the delay.

(d) **FORM OF REPORT AND ANALYSIS.**—The report and debt sustainability analysis and related documentation required by subsection (a) may be submitted in classified form. ]

**[REPEAL OF SYSTEMIC RISK EXEMPTION TO LIMITATIONS TO ACCESS POLICY OF THE INTERNATIONAL MONETARY FUND ]**

**[SEC. 9005. (a) POSITION OF THE UNITED STATES.**—The Secretary of the Treasury shall direct the United States Executive Director of the International Monetary Fund (the Fund) to use the voice and vote of the United States to urge the Executive Board of the Fund to repeal the systemic risk exemption to the debt sustainability criterion of the Fund's exceptional access framework, as set forth in paragraph 3(b) of Decision No. 14064-(08/18) of the Fund (relating to access policy and limits in the credit tranches and under the extended Fund facility and overall access to the Fund's general resources, and exceptional access policy).

(b) **REPORT REQUIRED.**—The quota increase authorized by the amendments made by section 9002 shall not be disbursed until the Secretary of the Treasury reports to the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Financial Services of the House of Representatives that the United States has taken all necessary steps to secure repeal of the systemic risk exemption to the framework described in subsection (a). ]

**[ANNUAL REPORT ON LENDING, SURVEILLANCE, OR TECHNICAL ASSISTANCE POLICIES OF THE INTERNATIONAL MONETARY FUND ]**

**[SEC. 9006.** Not later than one year after the date of the enactment of this Act, and annually thereafter until 2025, the Secretary of the Treasury shall submit to the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Financial Services of the House of Representatives a written report that includes—

(1) a description of any changes in the policies of the International Monetary Fund (the Fund) with respect to lending, surveillance, or technical assistance;

(2) an analysis of whether those changes, if any, increase or decrease the risk to United States financial commitments to the Fund;

(3) an analysis of any new or ongoing exceptional access loans of the Fund in place during the year preceding the submission of the report; and

(4) a description of any changes to the exceptional access policies of the Fund. ]

**[REPORT ON IMPROVING UNITED STATES PARTICIPATION IN THE INTERNATIONAL MONETARY FUND ]**

**[SEC. 9007.** Not later than 180 days after the date of the enactment of this Act, the Secretary of the Treasury shall submit to the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Financial Services of the House of Representatives a written report on ways to improve the effectiveness, and mitigate the risks, of United States participation in the International Monetary Fund (the Fund) that includes the following:

(1) An analysis of recent changes to the surveillance products and policies of the Fund and whether those products and policies effectively address the shortcomings of surveillance by the Fund in the periods preceding the global financial crisis that began in 2008 and the European debt crisis that began in 2009.

(2) A discussion of ways to better encourage countries to implement policy recommendations of the Fund, including—

(A) whether the implementation rate of such policy recommendations would increase if the Fund provided regular status reports on whether countries have implemented its policy recommendations; and

(B) whether or not lending by the Fund should be limited to countries that have taken necessary steps to implement such policy recommendations, including an analysis of the potential effectiveness of that limitation.

(3) An analysis of the transparency policy of the Fund, ways that transparency policy can be improved, and whether such improvements would be beneficial.

(4) A detailed analysis of the riskiness of exceptional access loans provided by the Fund, including—

(A) whether the additional interest rate surcharge is working as intended to discourage large and prolonged use of resources of the Fund; and

(B) whether it would be beneficial for the Fund to require collateral when making exceptional access loans, and how requiring collateral would affect the make-up of exceptional access loans and the demand for such loans.

(5) A description of how the classification of loans provided by the Fund would change if Fund quotas were increased under the amendments to the Articles of Agreement of the Fund proposed in resolution 66-2 of the Board of Governors of the Fund, including an assessment of how the quota increase would affect the classification of exceptional access loans outstanding as of the date of the report and whether the quota increase would lead to revisions of the classification of such loans.

(6) A discussion and analysis of lessons learned from the lending arrangements that included the Fund, the European Commission, and the European Central Bank (commonly referred to as the "Troika") during the European debt crisis.

(7) An analysis of the risks or benefits of increasing the transparency of the technical assistance projects of the Fund, including a discussion of—

(A) the advantages and disadvantages of the current technical assistance disclosure policies of the Fund;

(B) how technical assistance from the Fund could be better used to prevent crises from happening in the future; and

(C) whether and how the Fund coordinates technical assistance projects with other organizations, including the United States Department of the Treasury, to avoid duplication of efforts. ]

*(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

# DEPARTMENT OF TRANSPORTATION

## Trust Funds

### 21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Contingent upon enactment of multi-year clean transportation plan authorization legislation, for the payment of obligations incurred in this account in carrying out the Future Freight System, Climate-Smart Performance Formula Grants, 21st Century Regions Grants, Clean Communities Grants, Resilient Transportation Grants, Rapid-Growth Area Transit, Transit Formula Grants, Rail Service Improvement, Motor Carrier Safety Operations and Programs, Motor Carrier Safety Grants, and Autonomous Vehicle Development programs in such legislation, \$17,935,000,000 to be derived from the Transportation Trust Fund in fiscal year 2017 and to remain available until expended: Provided, that funds available for the implementation or execution of such programs shall not exceed total obligations of \$17,935,000,000 in fiscal year 2017.

### 21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 069-8518-4-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Climate infrastructure .....			17,935
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			17,935
1137 Appropriations applied to liquidate contract authority .....			-17,935
Contract authority, mandatory:			
1600 Contract authority .....			17,935
1900 Budget authority (total) .....			17,935
1930 Total budgetary resources available .....			17,935
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			17,935
3020 Outlays (gross) .....			-4,247
3050 Unpaid obligations, end of year .....			13,688
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			13,688
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			17,935
Outlays, gross:			
4100 Outlays from new mandatory authority .....			4,247
4180 Budget authority, net (total) .....			17,935
4190 Outlays, net (total) .....			4,247
<b>Memorandum (non-add) entries:</b>			
5061 Limitation on obligations (Transportation Trust Funds) .....			17,935

This account provides \$17.9 billion in resources to support the 21st Century Clean Transportation Plan investment initiative. These resources are part of the over \$25 billion proposed in 2017 in the Department of Transportation for this initiative to invest in a new, sustainable transportation system that expands transportation options, builds resilient and connected communities, and integrates new technologies.

#### Contract Authority

(\$ millions)

	2017 request	2017-2026 avg
Federal Highway Administration .....		
Future Freight System .....	2,000	1,000
Climate-Smart Performance Formula Funds .....	2,000	1,650
21st Century Regions Grant Program .....	1,000	6,100

Clean Communities Grant Program .....	1,000	1,445
Resilient Transportation Grant Program .....	1,500	750
Federal Transit Administration .....		
Rapid-Growth Area Transit Program .....	525	660
Transit Formula Grants .....	5,860	6,099
Federal Railroad Administration .....		
Rail Service Improvement Program .....	3,700	4,260
National Highway Traffic Safety Administration .....		
Autonomous Vehicle Development .....	200	390
Federal Motor Carrier Safety Administration .....		
Safety Investments .....	150	150

— \$2.0 billion would be provided for a multi-modal freight program that strengthens America's exports and trade by providing grants for innovative rail, highway, and port projects.

— \$2.0 billion would be provided to create a Climate-Smart Performance Formula Fund program that would reward States that make investments to mitigate transportation impacts like air pollution.

— \$1.0 billion would be provided for a 21st Century Regions Grant program to empower metropolitan and regional planners to implement regional-scale transportation and land-use strategies that achieve significant reductions in per capita greenhouse gas emissions and vehicles miles traveled while improving climate resilience.

— \$1.0 billion would be provided for a Clean Communities Grant program that would provide grants to support transit oriented development, reconnect downtowns, clean up brownfields, implement complete streets policies, and pursue other policies that make our cities greener and improve livability.

— \$1.5 billion would be provided for Resilient Transportation competitive grants to spur investments that bolster resilience to climate impacts.

— \$525 million would be provided to create a new Rapid Growth Area Transit program for fast growing communities to implement multi-modal solutions to challenges caused by rapid growth.

— \$5.9 billion would be provided to invest in the safety, performance, and efficiency of transit systems.

— \$3.7 billion would be provided for competitive grants to support the Administration's commitment to high-speed rail and advanced rail technologies.

— \$200 million would be provided to invest in vehicle research and deployment to get commercial autonomous vehicles on the road both more quickly and more safely.

— \$150 million would be provided to ensure that new technologies are integrated safely into our transportation system.

#### Object Classification (in millions of dollars)

Identification code 069-8518-4-7-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions .....			17,185
92.0 Undistributed .....			750
99.9 Total new obligations .....			17,935

## OFFICE OF THE SECRETARY

### Federal Funds

#### RESEARCH AND TECHNOLOGY

For necessary expenses related to the Office of the Assistant Secretary for Research and Technology, **[\$13,000,000] \$18,007,000**, of which **[\$8,218,000] \$12,618,000** shall remain available until September 30, **[2018] 2019: Provided**, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training: *Provided further*, That any reference in law, regulation, judicial proceedings, or elsewhere to the Research and Innovative Technology Administration shall continue to be deemed to be a reference to the Office of the Assistant Secretary for Research and Technology of the Department of Transportation. (*Department of Transportation Appropriations Act, 2016.*)

RESEARCH AND TECHNOLOGY—Continued  
Program and Financing (in millions of dollars)

Identification code 069-1730-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and administrative expenses .....	5	5	5
0002 Alternative fuels research & development .....	1	1	.....
0003 Research development & technology coordination .....	.....	1	1
0004 Nationwide differential global positioning system .....	9	6	.....
0005 Positioning navigation & timing .....	.....	3	2
0006 GPS to Air Force .....	.....	.....	10
0100 Direct program by activities, subtotal .....	15	16	18
0799 Total direct obligations .....	15	16	18
0802 Transportation safety institute .....	13	24	20
0803 Other programs .....	.....	1	1
0809 Reimbursable program by activities, subtotal .....	13	25	21
0899 Total reimbursable obligations .....	13	25	21
0900 Total new obligations .....	28	41	39
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	7	.....
1021 Recoveries of prior year unpaid obligations .....	8	.....	.....
1050 Unobligated balance (total) .....	18	7	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	18
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	21	21
1701 Change in uncollected payments, Federal sources .....	-6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	4	21	21
1900 Budget authority (total) .....	17	34	39
1930 Total budgetary resources available .....	35	41	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	20	4
3010 Obligations incurred, unexpired accounts .....	28	41	39
3011 Obligations incurred, expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-26	-57	-42
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	20	4	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	19	3
3200 Obligated balance, end of year .....	19	3	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	34	39
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	33	37
4011 Outlays from discretionary balances .....	15	24	5
4020 Outlays, gross (total) .....	26	57	42
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-21	-21
4033 Non-Federal sources .....	-2	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-10	-21	-21
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	6	.....	.....
4070 Budget authority, net (discretionary) .....	13	13	18
4080 Outlays, net (discretionary) .....	16	36	21
4180 Budget authority, net (total) .....	13	13	18
4190 Outlays, net (total) .....	16	36	21

The Office of the Assistant Secretary for Research and Technology is responsible for facilitating and reviewing the Department's research, development, and technology portfolio as well as enhancing the data collection

and statistical analysis programs to support data-driven decision-making. The Office of the Assistant Secretary for Research and Technology is also responsible for Positioning, Navigation, and Timing (PNT) technology, PNT policy coordination, and spectrum management.

The Office of the Assistant Secretary for Research and Technology oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. BTS is funded by an allocation from the Federal Highway Administration's Federal-Aid Highways account.

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The UTC Program funding is provided to the Office of the Assistant Secretary for Research and Technology through an allocation from the Federal Highway Administration.

The John A. Volpe National Transportation Systems Center (Cambridge, MA) provides expertise in research, analysis, technology deployment, and other technical knowledge to the Department of Transportation (DOT) and non-DOT customers on specific transportation system projects or issues on a fee-for-service basis.

The Transportation Safety Institute (TSI) (Oklahoma City, OK) develops and conducts safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

Object Classification (in millions of dollars)

Identification code 069-1730-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.3 Other goods and services from Federal sources .....	9	9	13
99.0 Direct obligations .....	15	15	19
99.0 Reimbursable obligations .....	13	26	20
99.9 Total new obligations .....	28	41	39

Employment Summary

Identification code 069-1730-0-1-407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	22	21	21
2001 Reimbursable civilian full-time equivalent employment .....	39	39	39
3001 Allocation account civilian full-time equivalent employment .....	65	65	65

SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary, \$108,750,000, of which not to exceed \$2,734,000 shall be available for the immediate Office of the Secretary; not to exceed \$1,025,000 shall be available for the immediate Office of the Deputy Secretary; not to exceed \$20,609,000 shall be available for the Office of the General Counsel; not to exceed \$9,941,000 shall be available for the Office of the Under Secretary of Transportation for Policy; not to exceed \$13,697,000 shall be available for the Office of the Assistant Secretary for Budget and Programs; not to exceed \$2,546,000 shall be available for the Office of the Assistant Secretary for Governmental Affairs; not to exceed \$25,925,000 shall be available for the Office of the Assistant Secretary for Administration; not to exceed \$2,029,000 shall be available for the Office of Public Affairs; not to exceed \$1,737,000 shall be available for the Office of the Executive Secretariat; not to exceed \$1,434,000 shall be available for the Office of Small and Disadvantaged Business Utilization; not to exceed \$10,793,000 shall be available for the Office of Intelligence, Security, and Emergency Response; and not to exceed \$16,280,000 shall be available for the Office of the Chief Information Officer: *Provided*, That the Secretary of Transportation is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further*, That no appropriation for any office shall be increased or decreased by more than 5 percent by all

such transfers: *Provided further*, That notice of any change in funding greater than 5 percent shall be submitted for approval to the House and Senate Committees on Appropriations ] \$114,396,000: *Provided further*], That not to exceed \$60,000 shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: *Provided further*, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107–71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees ]: *Provided further*, That none of the funds provided in this Act shall be available for the position of Assistant Secretary for Public Affairs: *Provided further*, That not later than 60 days after the date of enactment of this Act, the Secretary of Transportation shall transmit to Congress the final Comprehensive Truck Size and Weight Limits Study, as required by section 32801 of Public Law 112–141]. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069–0102–0–1–407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General administration .....	104	112	118
0002 SCASDP grants .....	7	11	
0004 Cyber and Personnel Security .....	3		
0100 Subtotal Direct Obligations .....	114	123	118
0799 Total direct obligations .....	114	123	118
0801 Salaries and Expenses (Reimbursable) .....	5	8	9
0900 Total new obligations .....	119	131	127
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	9	3
1012 Unobligated balance transfers between expired and unexpired accounts .....	3		
1050 Unobligated balance (total) .....	14	9	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	105	109	114
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	16	12
1900 Budget authority (total) .....	118	125	126
1930 Total budgetary resources available .....	132	134	129
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	9	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	51	21
3010 Obligations incurred, unexpired accounts .....	119	131	127
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-114	-161	-126
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	51	21	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	46	51	21
3200 Obligated balance, end of year .....	51	21	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	118	125	126
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	114	115
4011 Outlays from discretionary balances .....	17	47	11
4020 Outlays, gross (total) .....	114	161	126
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-13	-10
4033 Non-Federal sources .....	-2	-3	-2
4040 Offsets against gross budget authority and outlays (total) ....	-13	-16	-12
4070 Budget authority, net (discretionary) .....	105	109	114
4080 Outlays, net (discretionary) .....	101	145	114
4180 Budget authority, net (total) .....	105	109	114
4190 Outlays, net (total) .....	101	145	114

The Office of the Secretary is responsible for the overall planning, coordination, and administration of the Department's programs. Funding supports the Secretary, Deputy Secretary, Under Secretary for Policy,

Secretarial Officers, and their immediate staffs, who provide federal transportation policy development and guidance, institutional and public liaison activities, and other program support to ensure effective management and operation of the Department.

**Object Classification** (in millions of dollars)

Identification code 069–0102–0–1–407	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	42	52	53
11.3 Other than full-time permanent .....	5	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	48	57	58
12.1 Civilian personnel benefits .....	14	17	17
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	9	9	9
25.2 Other services from non-Federal sources .....	9	6	6
25.3 Other goods and services from Federal sources .....	23	21	26
31.0 Equipment .....	3	1	1
41.0 Grants, subsidies, and contributions .....	7	11	
99.0 Direct obligations .....	114	123	118
99.0 Reimbursable obligations .....	5	8	9
99.9 Total new obligations .....	119	131	127

**Employment Summary**

Identification code 069–0102–0–1–407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	403	490	496
2001 Reimbursable civilian full-time equivalent employment .....	22	20	29

**NATIONAL SURFACE TRANSPORTATION AND INNOVATIVE FINANCE BUREAU**

*For necessary expenses for the establishment and administration of a new National Surface Transportation and Innovative Finance Bureau (the Bureau) within the Office of the Secretary of Transportation, to remain available until expended, \$3,000,000: Provided, That the Secretary of Transportation shall use such amount for the necessary expenses to establish the Bureau and to fulfill the responsibilities of the Bureau, as detailed in section 9001 of the Fixing America's Surface Transportation (FAST) Act (Public Law 114–94) (49 U.S.C. 116): Provided further, That the Secretary may consolidate any office or office function, including the administration of the programs listed in 49 U.S.C. 116(d)(1), within the U.S. Department of Transportation, and transfer any staffing or budgetary resources into the Bureau that the Secretary determines has duties, resources, or expertise that support the purpose of the Bureau.*

**Program and Financing** (in millions of dollars)

Identification code 069–0170–0–1–401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3
1930 Total budgetary resources available .....			3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3
3020 Outlays (gross) .....			-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3
Outlays, gross:			
4010 Outlays from new discretionary authority .....			3
4180 Budget authority, net (total) .....			3
4190 Outlays, net (total) .....			3

The Fixing America's Surface Transportation (FAST) Act (Public Law 114–94) was enacted on December 4, 2015. Among the new provisions

NATIONAL SURFACE TRANSPORTATION AND INNOVATIVE FINANCE BUREAU—Continued included in this Act, is the establishment of a new National Surface Transportation and Innovative Finance Bureau (the Bureau) within the Office of the Secretary of Transportation that will align, coordinate, or consolidate aspects of the U.S. Department of Transportation's (USDOT) existing surface transportation innovative finance programs with or within the new Bureau. The FAST Act calls for the Bureau to fulfill a number of specific responsibilities, including the following: Provide assistance and communicate best practices and financing and funding opportunities to entities eligible under USDOT infrastructure finance programs; Administer the application process for USDOT infrastructure finance programs; Administer the application process for a new Nationally Significant Freight and Highway Projects program; Reduce uncertainty and delays related to environmental reviews and permitting, as well as project delivery and procurement risks and costs for projects financed by the USDOT infrastructure finance programs and the new Nationally Significant Freight and Highways Projects programs; Increase transparency and the public availability of information regarding projects financed by the USDOT infrastructure finance programs and the new Nationally Significant Freight and Highway Projects program; and Promote best practices in procurement for projects financed by the USDOT infrastructure finance programs and the new Nationally Significant Freight and Highway Projects program by developing benchmarks related to procurement. The Bureau will build on a number of actions that USDOT has taken to advance these goals, including the establishment of USDOT's Build America Transportation Investment Center in 2014 as a single point of contact and coordination for states, municipalities and project sponsors looking to utilize federal transportation expertise, apply for federal transportation credit programs, and explore ways to access private capital through public private partnerships. Notably, in their explanatory statement of the FAST Act, Congressional conferees explicitly recognized the accomplishments of the Administration's Build America Investment Initiative to increase infrastructure investment and economic growth. To assist with establishing the Bureau, the FAST Act provides the Secretary with certain authorities to redirect personnel and budgetary resources, if necessary, to support the establishment and effectiveness of the Bureau. These authorities are available for two years. The FAST Act requires that, within 90 days of enactment and in 90-day intervals thereafter, USDOT report to Congress on how these authorities are being implemented, and any additional legislative actions that may be needed. The Bureau will be managed by an Executive Director reporting to the Under Secretary of Transportation for Policy. The FAST Act also establishes a new Council on Credit and Finance (the Council) chaired by the Deputy Secretary, which is charged with the review and approval of innovative finance applications, making recommendations to the Secretary, and reviewing approved projects on a regular basis. The Council may build on the Credit Council that USDOT had previously established through administrative measures.

**Object Classification** (in millions of dollars)

Identification code 069-0170-0-1-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1
25.1 Advisory and assistance services .....			1
99.0 Direct obligations .....			2
99.5 Adjustment for rounding .....			1
99.9 Total new obligations .....			3

**Employment Summary**

Identification code 069-0170-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			12

**NATIONAL INFRASTRUCTURE INVESTMENTS**

For capital investments in surface transportation infrastructure, \$500,000,000, to remain available through September 30, 2019: *Provided*, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, transit agency, or a collaboration among such entities on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region: *Provided further*, That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; and port infrastructure investments (including inland port infrastructure and land ports of entry): *Provided further*, That the Secretary may use up to 20 percent of the funds made available under this heading for the purpose of paying the subsidy and administrative costs of projects eligible for Federal credit assistance under chapter 6 of title 23, United States Code, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: *Provided further*, That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: *Provided further*, That a grant funded under this heading shall be not less than \$5,000,000 and not greater than \$100,000,000: *Provided further*, That not more than 20 percent of the funds made available under this heading may be awarded to projects in a single State: *Provided further*, That the Federal share of the costs for which an expenditure is made under this heading shall be, at the option of the recipient, up to 80 percent: *Provided further*, That the Secretary shall give priority to projects that require a contribution of Federal funds in order to complete an overall financing package: *Provided further*, That not less than 20 percent of the funds provided under this heading shall be for projects located in rural areas: *Provided further*, That for projects located in rural areas, the minimum grant size shall be \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent: *Provided further*, That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: *Provided further*, That the Secretary shall conduct a new competition to select the grants and credit assistance awarded under this heading: *Provided further*, That the Secretary may retain up to \$20,000,000 of the funds provided under this heading, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration and the Maritime Administration, to fund the award and oversight of grants and credit assistance made under the National Infrastructure Investments program. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-0143-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Infrastructure Investments Grants .....	362	1,186	
0002 Award & Oversight .....	10	13	11
0900 Total new obligations .....	372	1,199	11
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	600	728	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	500	500	
1930 Total budgetary resources available .....	1,100	1,228	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	728	29	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,215	1,110	1,781
3010 Obligations incurred, unexpired accounts .....	372	1,199	11
3020 Outlays (gross) .....	-475	-528	-525
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	1,110	1,781	1,267
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,215	1,110	1,781
3200 Obligated balance, end of year .....	1,110	1,781	1,267
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	500	500	

Outlays, gross:				
4011	Outlays from discretionary balances .....	475	528	525
4180	Budget authority, net (total) .....	500	500	.....
4190	Outlays, net (total) .....	475	528	525

Mandatory:				
4090	Budget authority, gross .....	.....	500	510
Outlays, gross:				
4101	Outlays from mandatory balances .....	.....	528	525
4180	Budget authority, net (total) .....	.....	.....	510
4190	Outlays, net (total) .....	.....	.....	.....

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	500	500	.....
Outlays .....	475	528	525
Amounts included in the adjusted baseline:			
Budget Authority .....	.....	.....	510
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-510
Total:			
Budget Authority .....	500	500	.....
Outlays .....	475	528	525

The Office of the Secretary's (OST) National Infrastructure Investments program, also known as the Transportation Generating Economic Recovery (TIGER) program, provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure that will have a significant impact on the Nation, a metropolitan area or a region. No funds are requested in this account for 2017. The Administration is proposing funding for this program within the multi-year clean transportation plan proposal. As part of that proposal, programs currently administered from this account would be continued in a new National Infrastructure Investments Trust Fund account that would be funded from the Multimodal Account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 069-0143-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1	1	1
11.1 Full-time permanent - Allocation .....	1	2	2
11.9 Total personnel compensation .....	2	3	3
21.0 Travel and transportation of persons - Allocation .....	.....	.....	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources - Allocation .....	3	5	5
25.3 Other goods and services from Federal sources - Allocation .....	2	1	1
41.0 Grants, subsidies, and contributions - Allocation .....	362	1,186	.....
99.0 Direct obligations .....	371	1,198	12
99.5 Adjustment for rounding .....	1	1	-1
99.9 Total new obligations .....	372	1,199	11

**Employment Summary**

Identification code 069-0143-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	7	10	10

**NATIONAL INFRASTRUCTURE INVESTMENTS**  
(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 069-0143-7-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	-500	.....
Appropriations, mandatory:			
1200 Appropriation .....	.....	500	510
1900 Budget authority (total) .....	.....	.....	510
1930 Total budgetary resources available .....	.....	.....	510
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	510
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	-500	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	-528	-525

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and to calculate the spending increase above the baseline subject to PAYGO.

**NATIONAL INFRASTRUCTURE INVESTMENTS**

(Adjustments for year-to-year comparability)

**Program and Financing** (in millions of dollars)

Identification code 069-0143-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	-500	.....	.....
Appropriations, mandatory:			
1200 Appropriation .....	500	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-500	.....	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	-475	.....	.....
Mandatory:			
4090 Budget authority, gross .....	500	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	475	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2015 actual budget authority and outlays as mandatory for comparability purposes.

**NATIONAL INFRASTRUCTURE INVESTMENTS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 069-0143-4-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	-510
1930 Total budgetary resources available .....	.....	.....	-510
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	-510
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	-510
4180 Budget authority, net (total) .....	.....	.....	-510
4190 Outlays, net (total) .....	.....	.....	.....

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

Program and Financing (in millions of dollars)

Identification code 069-4522-0-4-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund, Volpe National Transportation Systems Cent (Reimbursable) .....	329	260	330
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	283	249	249
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	316	260	330
1701 Change in uncollected payments, Federal sources .....	-21		
1750 Spending auth from offsetting collections, disc (total) .....	295	260	330
1930 Total budgetary resources available .....	578	509	579
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	249	249	249
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	154	161	
3010 Obligations incurred, unexpired accounts .....	329	260	330
3020 Outlays (gross) .....	-322	-421	-330
3050 Unpaid obligations, end of year .....	161		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-98	-77	-77
3070 Change in uncollected pymts, Fed sources, unexpired .....	21		
3090 Uncollected pymts, Fed sources, end of year .....	-77	-77	-77
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	84	-77
3200 Obligated balance, end of year .....	84	-77	-77
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	295	260	330
Outlays, gross:			
4010 Outlays from new discretionary authority .....	216	260	330
4011 Outlays from discretionary balances .....	106	161	
4020 Outlays, gross (total) .....	322	421	330
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-313	-260	-330
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-316	-260	-330
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	21		
4080 Outlays, net (discretionary) .....	6	161	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	6	161	

The Working Capital Fund finances multidisciplinary research, evaluation, analytical and related activities undertaken at the Volpe Transportation Systems Center (Volpe Center) in Cambridge, MA. The fund is financed through negotiated agreements with other offices within the Office of the Secretary, Departmental operating administrations and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

Object Classification (in millions of dollars)

Identification code 069-4522-0-4-407	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	54	52	55
11.3 Other than full-time permanent .....	6	3	6
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	61	56	62
12.1 Civilian personnel benefits .....	19	14	19
21.0 Travel and transportation of persons .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	3	6	3
25.2 Other services from non-Federal sources .....	8	63	55
25.3 Other goods and services from Federal sources .....		1	1
25.4 Operation and maintenance of facilities .....	6	5	5
25.5 Research and development contracts .....	211	99	162
25.7 Operation and maintenance of equipment .....		1	1

26.0	Supplies and materials .....	1		
31.0	Equipment .....	15	8	16
32.0	Land and structures .....	1	3	2
99.9	Total new obligations .....	329	260	330

Employment Summary

Identification code 069-4522-0-4-407	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	548	532	555

SUPPLEMENTAL DISCRETIONARY GRANTS FOR A NATIONAL SURFACE TRANSPORTATION SYSTEM, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 069-0106-0-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	187	114	
3020 Outlays (gross) .....	-73	-114	
3050 Unpaid obligations, end of year .....	114		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	187	114	
3200 Obligated balance, end of year .....	114		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	73	114	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	73	114	

This American Recovery and Reinvestment Act of 2009 program provided funding for grant awards to State and local governments or transit agencies on a competitive basis for capital investments in surface transportation infrastructure resulting in a significant impact on the Nation, a metropolitan area or a region. Of the amount appropriated, not to exceed \$200,000,000 could be used to pay the subsidy and administrative costs of projects eligible for federal credit assistance under U.S.C. 23 Chapter 6, the Transportation Infrastructure Finance and Innovation Act. No funding is requested for this program in 2017.

FINANCIAL MANAGEMENT CAPITAL

For necessary expenses for [upgrading and] enhancing the Department of Transportation's financial systems and re-engineering business processes, [\$5,000,000] \$4,000,000, to remain available through September 30, [2017] 2018. (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-0116-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Financial management capital .....	5	12	4
0900 Total new obligations (object class 25.2) .....	5	12	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	7	
1050 Unobligated balance (total) .....	4	7	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	4
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1900 Budget authority (total) .....	8	5	4
1930 Total budgetary resources available .....	12	12	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		2
3010	Obligations incurred, unexpired accounts .....	5	12
3020	Outlays (gross) .....	-5	-10
<hr/>			
3050	Unpaid obligations, end of year .....		2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		2
3200	Obligated balance, end of year .....	2	2
<hr/>			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	8	5
Outlays, gross:			
4010	Outlays from new discretionary authority .....	5	4
4011	Outlays from discretionary balances .....		6
<hr/>			
4020	Outlays, gross (total) .....	5	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-3	
4180	Budget authority, net (total) .....	5	5
4190	Outlays, net (total) .....	2	10

This appropriation provides funds to enhance DOT's financial systems and to re-engineer business processes. These funds will assist DOT in automating manual processes, improve reporting capabilities and comply with required mandates.

**DATA ACT COMPLIANCE**

For necessary expenses to support the Department's activities related to the implementation of the Digital Accountability and Transparency Act (DATA Act; Public Law 113-101), \$4,000,000, to include changes in business processes, workforce, or information technology to support high quality, transparent Federal spending information: Provided, That such amount is available only to supplement and not supplant existing DATA Act activities: Provided further, That, notwithstanding section 404 of this Act, portions of such amount may be transferred to the Department's Operating Administrations for DATA Act implementation activities.

**Program and Financing** (in millions of dollars)

Identification code 069-0668-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	DATA Act Compliance (Direct) .....		4
<hr/>			
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		4
1930	Total budgetary resources available .....		4
<hr/>			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		4
3020	Outlays (gross) .....		-2
<hr/>			
3050	Unpaid obligations, end of year .....		2
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		2
<hr/>			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		4
Outlays, gross:			
4010	Outlays from new discretionary authority .....		2
4180	Budget authority, net (total) .....		4
4190	Outlays, net (total) .....		2

This appropriation provides funding to assist the Department of Transportation in meeting the requirements of the Digital Accountability and Transparency Act of 2014 (DATA Act), including disclosure of all Federal spending and standardization of spending data. Portions of the funding may be transferred to the Department's Operating Administrations for DATA Act implementation activities. The funding also includes \$0.5 million for the Enterprise Services Center (ESC) to implement the DATA Act

for their client agencies and replaces the amount ESC would have otherwise passed on to their clients.

**Object Classification** (in millions of dollars)

Identification code 069-0668-0-1-407	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2	Other services from non-Federal sources .....		3
25.3	Other goods and services from Federal sources .....		1
<hr/>			
99.9	Total new obligations .....		4

**U.S. DIGITAL SERVICES**

For necessary expenses for the salaries and expenses, and other operational costs necessary to establish and deploy a Digital Service team, to be used to improve and ensure the continued efficiency and effectiveness in the implementation of the Department's digital services for high-priority, high-impact program areas, \$1,000,000, to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 069-0665-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Digital Services .....		1
0900	Total new obligations (object class 11.1) .....		1
<hr/>			
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		1
1930	Total budgetary resources available .....		1
<hr/>			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		1
3020	Outlays (gross) .....		-1
<hr/>			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		1
Outlays, gross:			
4010	Outlays from new discretionary authority .....		1
4180	Budget authority, net (total) .....		1
4190	Outlays, net (total) .....		1

This appropriation will fund a Digital Services team that will focus on transforming the Department of Transportation's digital services having the greatest impact on citizens and businesses so they are easier to use and more cost-effective to build and maintain. These digital services experts will bring to bear private sector best practices in the disciplines of design, software engineering, and product management on the Department's most important services.

**Employment Summary**

Identification code 069-0665-0-1-407	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....		5

**CYBER SECURITY INITIATIVES**

For necessary expenses for cyber security initiatives, including necessary upgrades to wide area network and information technology infrastructure, improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements, implementation of Federal cyber security initiatives and information infrastructure enhancements, and implementation of enhanced security controls on network devices, [and enhancement of cyber security workforce training tools, \$8,000,000] \$15,000,000, to remain available through September 30, [2017] 2018. (Department of Transportation Appropriations Act, 2016.)

CYBER SECURITY INITIATIVES—Continued  
Program and Financing (in millions of dollars)

Identification code 069-0159-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Cyber Security Initiatives (Direct) .....	5	12	15
0100 Direct program activities, subtotal .....	5	12	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	8	15
1930 Total budgetary resources available .....	9	12	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	1	4
3010 Obligations incurred, unexpired accounts .....	5	12	15
3020 Outlays (gross) .....	-7	-9	-12
3050 Unpaid obligations, end of year .....	1	4	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	1	4
3200 Obligated balance, end of year .....	1	4	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	8	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	5	9
4011 Outlays from discretionary balances .....	7	4	3
4020 Outlays, gross (total) .....	7	9	12
4180 Budget authority, net (total) .....	5	8	15
4190 Outlays, net (total) .....	7	9	12

This appropriation will fund cyber security initiatives, including necessary upgrades to the wide area network and information technology infrastructure. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the Department of Transportation network and reduce the risk of security breaches.

Object Classification (in millions of dollars)

Identification code 069-0159-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1	4	4
25.1 Advisory and assistance services .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	3
31.0 Equipment .....	2	6	7
99.9 Total new obligations .....	5	12	15

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$9,678,000] \$9,751,000.** (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-0118-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Civil Rights .....	9	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 Obligations incurred, unexpired accounts .....	9	10	10
3020 Outlays (gross) .....	-8	-10	-10
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	10	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	9	9
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	8	10	10
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	8	10	10

The Office of Civil Rights provides Department-wide leadership for all civil rights activities, including employment opportunity and enforcement of laws and regulations that prohibit discrimination in the financing and operation of transportation programs with Federal resources. The office also is responsible for non-discrimination policy development, analysis, coordination and compliance, promotes an organizational culture that values workforce diversity, and handles all civil rights cases related to Department of Transportation employees.

Object Classification (in millions of dollars)

Identification code 069-0118-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	4
99.9 Total new obligations .....	9	10	10

Employment Summary

Identification code 069-0118-0-1-407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	39	53	53

**[MINORITY BUSINESS OUTREACH] SMALL AND DISADVANTAGED BUSINESS UTILIZATION AND OUTREACH**

For necessary expenses [of Minority Business Resource Center] for small and disadvantaged business utilization and outreach activities, **[\$3,084,000] \$4,646,000,** to remain available until September 30, **[2017] 2018: Provided,** That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation. (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-0119-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Minority business outreach .....	2	5	5
0002 Bonding Assistance Program .....	1	5	.....
0900 Total new obligations .....	3	10	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	5
1930 Total budgetary resources available .....	10	10	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	.....	.....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	1
3010	Obligations incurred, unexpired accounts .....	3	10
3020	Outlays (gross) .....	-4	-10
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	1
3200	Obligated balance, end of year .....	1	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	3	3
Outlays, gross:			
4010	Outlays from new discretionary authority .....	1	3
4011	Outlays from discretionary balances .....	3	7
4020	Outlays, gross (total) .....	4	10
4180	Budget authority, net (total) .....	3	3
4190	Outlays, net (total) .....	4	10

This appropriation includes funding for the Office of Small and Disadvantaged Business Utilization, formerly funded in the Salaries and Expenses appropriation, and for outreach activities, formerly funded in the Minority Business Outreach appropriation. Funding is used to ensure that: (1) the small and disadvantaged business policies and programs of the Secretary of Transportation are developed and implemented throughout the Department in a fair, efficient, and effective manner, and (2) effective outreach activities are in place to assist small, women-owned, Native American, and other disadvantaged business firms in securing contracts and subcontracts resulting from transportation-related Federal support.

**Object Classification** (in millions of dollars)

Identification code 069-0119-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....		1
41.0	Grants, subsidies, and contributions .....	2	9
99.0	Direct obligations .....	2	9
99.5	Below Reporting Threshold .....	1	1
99.9	Total new obligations .....	3	10

**Employment Summary**

Identification code 069-0119-0-1-407	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	3	4
			13

**NEW HEADQUARTERS BUILDING**

**Program and Financing** (in millions of dollars)

Identification code 069-0147-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	New Headquarters Building .....	2	
0900	Total new obligations (object class 31.0) .....	2	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	2	
1930	Total budgetary resources available .....	2	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1
3010	Obligations incurred, unexpired accounts .....	2	
3020	Outlays (gross) .....	-1	-1
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
3050	Unpaid obligations, end of year .....	1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1

3200	Obligated balance, end of year .....	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	1	1
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	1	1

This appropriation financed the costs for the new Department of Transportation headquarters, which consolidated all operating administrations headquarters functions (except FAA) from various locations into a single state-of-the-art, efficient leased building in the District of Columbia. No funding is requested for this program in 2017.

**TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT**

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, to remain available until expended, **[\$8,500,000] \$17,043,000: Provided,** That of such amount, **[\$2,500,000] \$4,000,000** shall be for necessary expenses to establish and implement an Interagency Infrastructure Permitting Improvement Center (IIPIC), including an online database *Permitting Dashboard*, that will develop and implement reforms to improve inter-agency coordination and the expediting of projects related to the permitting and environmental review of major transportation infrastructure projects [including one-time expenses to] and develop and deploy information technology tools to track project schedules and metrics and improve the transparency and accountability of the permitting process: *Provided further,* That there may be transferred to this appropriation, to remain available until expended, amounts transferred from other Federal agencies for expenses incurred under this heading for IIPIC activities not related to transportation infrastructure: *Provided further,* That the tools and analysis developed by the IIPIC shall be available to other Federal agencies for the permitting and review of major infrastructure projects not related to transportation only to the extent that other Federal agencies provide funding to the Department as provided for under the previous proviso. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-0142-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Transportation policy and planning .....	8	13
0002	Safe skies .....	2	
0003	Interagency Infrastructure Permitting Improvement Center (IIPIC) .....		3
0004	Clean Energy R&D .....		5
0100	Total direct program .....	10	16
0799	Total direct obligations .....	10	16
0801	Transportation Planning, Research, and Development (Reimbursable) .....	2	1
0900	Total new obligations .....	12	17

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	8	7
1011	Unobligated balance transfer from other acct (072-1037) ....	1	
1012	Unobligated balance transfers between expired and unexpired accounts .....	1	
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	11	7
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	6	9
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		1
1701	Change in uncollected payments, Federal sources .....	2	
1750	Spending auth from offsetting collections, disc (total) .....	2	1
1900	Budget authority (total) .....	8	10
1930	Total budgetary resources available .....	19	17
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	7	

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 069-0142-0-1-407	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	9
3010 Obligations incurred, unexpired accounts .....	12	17	17
3020 Outlays (gross) .....	-9	-16	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	8	9	14
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	4	5
3200 Obligated balance, end of year .....	4	5	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	10	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....		5	7
4011 Outlays from discretionary balances .....	9	11	5
4020 Outlays, gross (total) .....	9	16	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	6	9	17
4080 Outlays, net (discretionary) .....	9	15	12
4180 Budget authority, net (total) .....	6	9	17
4190 Outlays, net (total) .....	9	15	12

This appropriation finances research and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies and the coordination of national-level transportation planning. Funding also supports departmental leadership in areas such as regulatory modernization, energy conservation, environmental and safety impacts of transportation, aviation economic policy and international transportation issues. The program activities include contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms. This appropriation also finances the Interagency Infrastructure Permitting Improvement Center, including an online database Permitting Dashboard, to support permitting/environmental review reforms to improve interagency coordination and make the process for federal approval for major infrastructure projects more efficient.

Object Classification (in millions of dollars)

Identification code 069-0142-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	4	5
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	5	8	9
25.2 Other services from non-Federal sources .....		2	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	10	16	17
99.0 Reimbursable obligations .....	2	1	
99.9 Total new obligations .....	12	17	17

Employment Summary

Identification code 069-0142-0-1-407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	24	36	39

ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 069-5423-0-2-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Essential air service and rural airport improvement .....	101	104	119
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	58	57
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	49	58	57
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [069-5422] ....	103	111	104
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-8	-8	
1260 Appropriations, mandatory (total) .....	95	103	104
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	16		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1		
1850 Spending auth from offsetting collections, mand (total) .....	15		
1900 Budget authority (total) .....	110	103	104
1930 Total budgetary resources available .....	159	161	161
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	58	57	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	30	25
3010 Obligations incurred, unexpired accounts .....	101	104	119
3020 Outlays (gross) .....	-89	-109	-103
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
3050 Unpaid obligations, end of year .....	30	25	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	30	25
3200 Obligated balance, end of year .....	30	25	41
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	110	103	104
Outlays, gross:			
4100 Outlays from new mandatory authority .....	36	62	62
4101 Outlays from mandatory balances .....	53	47	41
4110 Outlays, gross (total) .....	89	109	103
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-16		
4180 Budget authority, net (total) .....	94	103	104
4190 Outlays, net (total) .....	73	109	103

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for services provided by the Federal Aviation Administration (FAA) to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service (EAS) program and rural airport improvements. In addition, the FAA Modernization and Reauthorization Act (P.L. 112-95) requires that, in any fiscal year, overflight fees collected in excess of \$50 million will be available to carry out the EAS program.

Object Classification (in millions of dollars)

Identification code 069-5423-0-2-402	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	100	102	117
99.0 Direct obligations .....	101	103	118
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations .....	101	104	119

**Employment Summary**

Identification code 069-5423-0-2-402	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12	14	14

**WORKING CAPITAL FUND**

For necessary expenses for operating costs and capital outlays of the Working Capital Fund, not to exceed \$190,039,000 shall be paid from appropriations made available to the Department of Transportation: *Provided*, That such services shall be provided on a competitive basis to entities within the Department of Transportation: *Provided further*, That the above limitation on operating expenses shall not apply to non-DOT entities: *Provided further*, That no funds appropriated in this Act to an agency of the Department shall be transferred to the Working Capital Fund without majority approval of the Working Capital Fund Steering Committee and approval of the Secretary: *Provided further*, That no assessments may be levied against any program, budget activity, subactivity or project funded by this Act unless notice of such assessments and the basis therefor are presented to the House and Senate Committees on Appropriations and are approved by such Committees. ] (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-4520-0-4-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 DOT service center activities .....	170	190	190
0802 Non-DOT service center activities .....	211	363	364
0900 Total new obligations .....	381	553	554
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	59	76	76
1021 Recoveries of prior year unpaid obligations .....	19		
1050 Unobligated balance (total) .....	78	76	76
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	379	553	554
1930 Total budgetary resources available .....	457	629	630
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	76	76	76
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	53	39
3010 Obligations incurred, unexpired accounts .....	381	553	554
3020 Outlays (gross) .....	-365	-567	-569
3040 Recoveries of prior year unpaid obligations, unexpired .....	-19		
3050 Unpaid obligations, end of year .....	53	39	24
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-22	-22
3090 Uncollected pymts, Fed sources, end of year .....	-22	-22	-22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	31	17
3200 Obligated balance, end of year .....	31	17	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	379	553	554
Outlays, gross:			
4010 Outlays from new discretionary authority .....	332	547	548
4011 Outlays from discretionary balances .....	33	20	21
4020 Outlays, gross (total) .....	365	567	569
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-377	-551	-552
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-379	-553	-554
4080 Outlays, net (discretionary) .....	-14	14	15
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-14	14	15

The Working Capital Fund finances common administrative services and other services that are centrally performed in the interest of economy and

efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers.

**Object Classification** (in millions of dollars)

Identification code 069-4520-0-4-407	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	21	25	26
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	22	26	27
12.1 Civilian personnel benefits .....	7	7	8
13.0 Benefits for former personnel .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	7	13	9
25.2 Other services from non-Federal sources .....	69	34	43
25.3 Other goods and services from Federal sources .....	40	78	74
25.7 Operation and maintenance of equipment .....	11	13	10
26.0 Supplies and materials .....	205	362	363
31.0 Equipment .....	9	9	9
99.9 Total new obligations .....	381	553	554

**Employment Summary**

Identification code 069-4520-0-4-407	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	222	385	392

**MINORITY BUSINESS RESOURCE CENTER PROGRAM**

For the cost of guaranteed loans, [\$336,000] \$339,000, as authorized by 49 U.S.C. 332: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed [ , not to exceed \$18,367,000 ].

In addition, for administrative expenses to carry out the guaranteed loan program, [\$597,000] \$602,000. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-0155-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0733 Guaranteed loan subsidy, admin expenses, and upward reestimates .....	1	1	1
0900 Total new obligations (object class 99.5) .....	1	1	1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 069-0155-0-1-407	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Minority Business Resource Center Loan Guarantees .....	1	13	14
Guaranteed loan subsidy (in percent):			
232001 Minority Business Resource Center Loan Guarantees .....	2.27	2.50	2.36

MINORITY BUSINESS RESOURCE CENTER PROGRAM—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 069-0155-0-1-407	2015 actual	2016 est.	2017 est.
232999 Weighted average subsidy rate .....	2.27	2.50	0.00
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	1	1	1

This program provides assistance in obtaining short-term working capital for minority, women-owned and other disadvantaged businesses and Small Business Administration 8(a) firms. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs for this program associated with guaranteed loans, as well as administrative expenses of this program.

Employment Summary

Identification code 069-0155-0-1-407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1		

MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4082-0-3-407	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Guaranteed Loans (in millions of dollars)

Identification code 069-4082-0-3-407	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	18	13	14
2142 Uncommitted loan guarantee limitation .....	-17		
2150 Total guaranteed loan commitments .....	1	13	14
2199 Guaranteed amount of guaranteed loan commitments .....	1	10	11
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4	1	13
2231 Disbursements of new guaranteed loans .....	1	13	14
2251 Repayments and prepayments .....	-4	-1	-13
2290 Outstanding, end of year .....	1	13	14
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1	10	14

Trust Funds

NATIONAL INFRASTRUCTURE INVESTMENTS (TRANSPORTATION TRUST FUND)

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Contingent upon enactment of multi-year clean transportation plan authorization legislation, \$1,250,000,000, to be derived from the Transportation Trust Fund (Multimodal Account), to remain available until expended, for payment of obligations for the National Infrastructure Investments program authorized under title 23, United States Code, as amended by such authorization: Provided, That funds available for

the National Infrastructure Investments program authorized under title 23, United States Code, shall not exceed total obligations of \$1,250,000,000, to remain available for obligation until September 30, 2019: Provided further, That the Secretary may retain up to \$20,000,000 of the funds provided for this program, and may transfer portions of those funds to Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration, and the Federal Maritime Administration, to fund the award and oversight of Grants and credit assistance made under the National Infrastructure Investments program.

NATIONAL INFRASTRUCTURE INVESTMENTS (TRANSPORTATION TRUST FUND)

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-8372-4-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Infrastructure Investment Grants .....			1,230
0900 Total new obligations (object class 41.0) .....			1,230
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			1,250
1137 Appropriations applied to liquidate contract authority .....			-1,250
Contract authority, mandatory:			
1600 Contract authority .....			1,250
1900 Budget authority (total) .....			1,250
1930 Total budgetary resources available .....			1,250
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,230
3050 Unpaid obligations, end of year .....			1,230
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,230
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,250
4180 Budget authority, net (total) .....			1,250
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5061 Limitation on obligations (Transportation Trust Funds) .....			1,250

The 2017 Budget presents the Office of the Secretary's clean transportation plan proposal and account structure, including the creation of a new National Infrastructure Investments account. The Administration proposes to fund this account from the Multimodal Account of the Transportation Trust Fund.

The 2017 Budget request includes \$1.25 billion for this account. For 2017, this account's program includes: funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure that will have a significant impact on the Nation, a metropolitan area or a region.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of the multi-year clean transportation plan proposal. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

PAYMENTS TO AIR CARRIERS

(AIRPORT AND AIRWAY TRUST FUND)

In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, [\$175,000,000] \$150,000,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended: Provided, That in determining between or among carriers

competing to provide service to a community, the Secretary may consider the relative subsidy requirements of the carriers: *Provided further*, That basic essential air service minimum requirements shall not include the 15-passenger capacity requirement under subsection 41732(b)(3) of title 49, United States Code: *Provided further*, That none of the funds in this Act or any other Act shall be used to enter into a new contract with a community located less than 40 miles from the nearest small hub airport before the Secretary has negotiated with the community over a local cost share: *Provided further*, That amounts authorized to be distributed for the essential air service program under subsection 41742(b) of title 49, United States Code, shall be made available immediately from amounts otherwise provided to the Administrator of the Federal Aviation Administration: *Provided further*, That the Administrator may reimburse such amounts from fees credited to the account established under section 45303 of title 49, United States Code. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-8304-0-7-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payments to air carriers .....	166	171	171
0900 Total new obligations (object class 41.0) .....	166	171	171
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	17	21
1021 Recoveries of prior year unpaid obligations .....	16		
1050 Unobligated balance (total) .....	28	17	21
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	155	175	150
1930 Total budgetary resources available .....	183	192	171
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	21	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	38	49
3010 Obligations incurred, unexpired accounts .....	166	171	171
3020 Outlays (gross) .....	-146	-160	-160
3040 Recoveries of prior year unpaid obligations, unexpired .....	-16		
3050 Unpaid obligations, end of year .....	38	49	60
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	38	49
3200 Obligated balance, end of year .....	38	49	60
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	155	175	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....	93	105	90
4011 Outlays from discretionary balances .....	53	55	70
4020 Outlays, gross (total) .....	146	160	160
4180 Budget authority, net (total) .....	155	175	150
4190 Outlays, net (total) .....	146	160	160

Through 1997, the Essential Air Service program was funded from the Airport and Airway Trust Fund. Starting in 1998, the Federal Aviation Administration reauthorization funded it as a mandatory program supported by overflight fees under the Essential Air Service and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct appropriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. For 2017, \$150 million is requested from the Airport and Airway Trust Fund for Payments to Air Carriers.

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this Act to the Department of Transportation may be obligated for the Office of the Secretary of Transportation to approve assessments or reimbursable agreements pertaining to funds appropriated to the modal administrations in this Act, except for activities underway on the date of enactment of this Act, unless such assessments or agreements have completed

the normal reprogramming process for Congressional notification shall be transferred to the Working Capital Fund without majority approval of the Working Capital Fund Steering Committee and approval of the Secretary.

SEC. 102. Notwithstanding section 3324 of title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109-59: *Provided*, That the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high-quality performance under the contract.

SEC. 103. The Secretary shall post on the Web site of the Department of Transportation a schedule of all meetings of the Credit Council, including the agenda for each meeting, and require the Credit Council to record the decisions and actions of each meeting.

SEC. 104. In addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide partial or full payments in advance and accept subsequent reimbursements from all Federal agencies for transit benefit distribution services that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order No. 13150 and section 3049 of Public Law 109-59: *Provided*, That the Department shall maintain a reasonable operating reserve in the Working Capital Fund, to be expended in advance to provide uninterrupted transit benefits to Government employees, provided that such reserve will not exceed one month of benefits payable: *Provided further*, that such reserve may be used only for the purpose of providing for the continuation of transit benefits, provided that the Working Capital Fund will be fully reimbursed by each customer agency for the actual cost of the transit benefit. (*Department of Transportation Appropriations Act, 2016.*)

**FEDERAL AVIATION ADMINISTRATION**

The following table depicts the total funding for all Federal Aviation Administration (FAA) programs, for which more detail is furnished in the budget schedules:

	[In millions of dollars]		
	2015 actual	2016 est.	2017 est.
<b>Budget Authority:</b>			
Operations .....	9,741	9,910	9,994
General Fund .....	(1,146)	(1,988)	(2,386)
Facilities and Equipment (Trust Fund) .....	2,600	2,855	2,838
Research, Engineering and Development (Trust Fund) .....	157	166	168
Grants-in-Aid for Airports (Trust Fund) .....	3,220	3,350	2,900
Aviation User Fees .....	16	0	0
Total net .....	15,734	16,281	15,900
<b>Obligations:</b>			
Operations .....	9,754	9,937	10,024
Facilities and Equipment (Trust Fund) .....	2,669	2,908	3,011
Research, Engineering and Development (Trust Fund) .....	163	177	168
Grants-in-Aid for Airports (Trust Fund) .....	3,514	3,350	2,900
Aviation Insurance Revolving Fund .....	7	2	1
Total net .....	16,107	16,374	16,104
<b>Outlays:</b>			
Operations .....	9,689	10,076	10,174
Facilities and Equipment (Trust Fund) .....	2,619	2,735	2,907
Research, Engineering and Development (Trust Fund) .....	156	177	185
Grants-in-Aid for Airports (Trust Fund) .....	3,140	3,415	3,366
Aviation User Fees .....	17	0	0
Aviation Insurance Revolving Fund .....	14	-28	-52
Administrative Services Franchise Fund .....	6	-16	3
Total net .....	15,641	16,359	16,583

**Federal Funds**

OPERATIONS

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance

OPERATIONS—Continued

of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, lease or purchase of passenger motor vehicles for replacement only, in addition to amounts made available by Public Law 112-95, [ \$9,909,724,000 ] \$9,994,352,000 of which [ \$7,922,000,000 ] \$7,608,000,000 shall be derived from the Airport and Airway Trust Fund [ , of which not to exceed \$7,505,293,000 shall be available for air traffic organization activities; not to exceed \$1,258,411,000 shall be available for aviation safety activities; not to exceed \$17,800,000 shall be available for commercial space transportation activities; not to exceed \$760,500,000 shall be available for finance and management activities; not to exceed \$60,089,000 shall be available for NextGen and operations planning activities; not to exceed \$100,880,000 shall be available for security and hazardous materials safety; and not to exceed \$206,751,000 shall be available for staff offices ] : *Provided*, That not to exceed 2 percent of any budget activity, except for aviation safety budget activity, may be transferred to any budget activity under this heading: *Provided further*, That no transfer may increase or decrease any appropriation by more than 2 percent: [ *Provided further*, That any transfer in excess of 2 percent shall be treated as a re-programming of funds under section 405 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That not later than March 31 of each fiscal year hereafter, the Administrator of the Federal Aviation Administration shall transmit to Congress an annual update to the report submitted to Congress in December 2004 pursuant to section 221 of Public Law 108-176: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 for each day after March 31 that such report has not been submitted to the Congress: *Provided further*, That not later than March 31 of each fiscal year hereafter, the Administrator shall transmit to Congress a companion report that describes a comprehensive strategy for staffing, hiring, and training flight standards and aircraft certification staff in a format similar to the one utilized for the controller staffing plan, including stated attrition estimates and numerical hiring goals by fiscal year: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after March 31 that such report has not been submitted to Congress: ] *Provided further*, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: *Provided further*, That none of the funds in this Act shall be available for new applicants for the second career training program: [ *Provided further*, That none of the funds in this Act shall be available for the Federal Aviation Administration to finalize or implement any regulation that would promulgate new aviation user fees not specifically authorized by law after the date of the enactment of this Act: ] *Provided further*, That there may be credited to this appropriation, as offsetting collections, funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities, and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms [ : *Provided further*, That of the funds appropriated under this heading, not less than \$154,400,000 shall be for the contract tower program, including the contract tower cost share program: *Provided further*, That none of the funds in this Act for aeronautical charting and cartography are available for activities conducted by, or coordinated through, the Working Capital Fund: *Provided further*, That not later than 60 days after enactment of this Act, the Administrator shall review and update the agency's "Community Involvement Manual" related to new air traffic procedures, public outreach and community involvement: *Provided further*, That the Administrator shall complete and implement a plan which enhances community involvement techniques and proactively addresses concerns associated with performance based navigation projects: *Provided further*, That the Administrator shall transmit, in electronic format, the community involvement manual and plan to the House and Senate Committees on Appropriations, the House Committee on Transportation and Infrastructure, and the Senate Committee on Commerce, Science and Transportation not later than 180 days after enactment of this Act ] . (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-1301-0-1-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Air Traffic Organization (ATO) .....	7,400	7,522	7,555
0002 NextGen .....	60	60	60
0003 Finance & Management .....	755	761	774
0004 Regulation and certification .....	1,230	1,270	1,299
0005 Commercial space transportation .....	17	18	20
0006 Security & Hazardous Materials Safety .....	99	99	107
0007 Staff offices .....	292	207	209

0100	Direct Program Activities Subtotal .....	9,754	9,937	10,024
0799	Total direct obligations .....	9,754	9,937	10,024
0801	Operations (Reimbursable) .....	146	191	191
0900	Total new obligations .....	9,900	10,128	10,215
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	43	43	45
1021	Recoveries of prior year unpaid obligations .....	5		
1050	Unobligated balance (total) .....	48	43	45
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,146	1,988	2,386
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	8,695	8,142	7,828
1701	Change in uncollected payments, Federal sources .....	65		
1750	Spending auth from offsetting collections, disc (total) .....	8,760	8,142	7,828
1900	Budget authority (total) .....	9,906	10,130	10,214
1930	Total budgetary resources available .....	9,954	10,173	10,259
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-11		
1941	Unexpired unobligated balance, end of year .....	43	45	44
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,529	1,503	1,335
3010	Obligations incurred, unexpired accounts .....	9,900	10,128	10,215
3011	Obligations incurred, expired accounts .....	58		
3020	Outlays (gross) .....	-9,901	-10,296	-10,394
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041	Recoveries of prior year unpaid obligations, expired .....	-78		
3050	Unpaid obligations, end of year .....	1,503	1,335	1,156
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-162	-125	-125
3070	Change in uncollected pymts, Fed sources, unexpired .....	-65		
3071	Change in uncollected pymts, Fed sources, expired .....	102		
3090	Uncollected pymts, Fed sources, end of year .....	-125	-125	-125
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,367	1,378	1,210
3200	Obligated balance, end of year .....	1,378	1,210	1,031
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9,906	10,130	10,214
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8,590	8,940	9,015
4011	Outlays from discretionary balances .....	1,311	1,356	1,379
4020	Outlays, gross (total) .....	9,901	10,296	10,394
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8,769	-8,097	-7,783
4033	Non-Federal sources .....	-33	-45	-45
4034	Offsetting governmental collections .....	-5		
4040	Offsets against gross budget authority and outlays (total) .....	-8,807	-8,142	-7,828
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-65		
4052	Offsetting collections credited to expired accounts .....	112		
4060	Additional offsets against budget authority only (total) .....	47		
4070	Budget authority, net (discretionary) .....	1,146	1,988	2,386
4080	Outlays, net (discretionary) .....	1,094	2,154	2,566
4180	Budget authority, net (total) .....	1,146	1,988	2,386
4190	Outlays, net (total) .....	1,094	2,154	2,566
Memorandum (non-add) entries:				
5093	Expired unavailable balance, SOY: Offsetting collections .....	1	1	1
5095	Expired unavailable balance, EOY: Offsetting collections .....	1	1	1

For 2017, the Budget requests \$9,994 million for Federal Aviation Administration (FAA) operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organization, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space

transportation industry, as well as FAA policy oversight and overall management functions.

**Object Classification** (in millions of dollars)

Identification code 069-1301-0-1-402	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4,511	4,628	4,677
11.3 Other than full-time permanent .....	29	30	31
11.5 Other personnel compensation .....	409	376	376
11.9 Total personnel compensation .....	4,949	5,034	5,084
12.1 Civilian personnel benefits .....	1,891	1,940	1,960
13.0 Benefits for former personnel .....	2	1	1
21.0 Travel and transportation of persons .....	155	155	157
22.0 Transportation of things .....	23	24	24
23.1 Rental payments to GSA .....	119	127	138
23.2 Rental payments to others .....	57	64	64
23.3 Communications, utilities, and miscellaneous charges .....	284	301	303
24.0 Printing and reproduction .....	7	6	6
25.1 Advisory and assistance services .....	571	664	643
25.2 Other services from non-Federal sources .....	1,501	1,434	1,458
26.0 Supplies and materials .....	131	123	125
31.0 Equipment .....	59	57	54
32.0 Land and structures .....	1	2	2
41.0 Grants, subsidies, and contributions .....	1	2	2
42.0 Insurance claims and indemnities .....	3	3	3
99.0 Direct obligations .....	9,754	9,937	10,024
99.0 Reimbursable obligations .....	146	191	191
99.9 Total new obligations .....	9,900	10,128	10,215

**Employment Summary**

Identification code 069-1301-0-1-402	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	39,923	40,383	40,530
2001 Reimbursable civilian full-time equivalent employment .....	230	222	222

**FACILITIES AND EQUIPMENT, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 069-1304-0-1-402	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The American Recovery and Reinvestment Act of 2009 provided \$200 million to Federal Aviation Administration's (FAA) Facilities & Equipment account, which finances major capital investments related to modernizing and improving air traffic control and airway facilities, equipment, and systems. Funds were appropriated from the General Fund of the U.S. Treasury and available for obligation through 2010. The funding is being used to upgrade, modernize, and improve FAA power systems, air route traffic control centers, air traffic control towers, terminal radar approach control facilities, and navigation and landing equipment.

**AVIATION USER FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 069-5422-0-2-402	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	20	8	16
0198 Rounding adjustment .....	-1		
0199 Balance, start of year .....	19	8	16

<b>Receipts:</b>			
<b>Current law:</b>			
1110 Aviation User Fees, Overflight Fees .....	100	111	104
2000 Total: Balances and receipts .....	119	119	120
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Aviation User Fees .....	-119	-111	-104
2132 Essential Air Service and Rural Airport Improvement Fund ....	8	8	
2199 Total current law appropriations .....	-111	-103	-104
2999 Total appropriations .....	-111	-103	-104
5099 Balance, end of year .....	8	16	16

**Program and Financing** (in millions of dollars)

Identification code 069-5422-0-2-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Other Collections .....	16		
0100 Direct program activities, subtotal .....	16		
0900 Total new obligations (object class 25.2) .....	16		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1050 Unobligated balance (total) .....	2	2	2
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	119	111	104
1220 Appropriations transferred to other accts [069-5423] .....	-103	-111	-104
1260 Appropriations, mandatory (total) .....	16		
1900 Budget authority (total) .....	16		
1930 Total budgetary resources available .....	18	2	2
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	16		
3020 Outlays (gross) .....	-17		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	16		
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	16		
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	17		
4180 Budget authority, net (total) .....	16		
4190 Outlays, net (total) .....	17		

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for air traffic control and related services provided by the Federal Aviation Administration to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$104 million in overflight fees will be collected in 2017.

**AVIATION INSURANCE REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 069-4120-0-3-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Program Administration .....	1	1	1
0802 Insurance Claims .....	1		
0803 Refunds .....	5	1	
0900 Total new obligations .....	7	2	1

AVIATION INSURANCE REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 069-4120-0-3-402	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,145	2,132	2,159
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	-6	29	53
1930 Total budgetary resources available .....	2,139	2,161	2,212
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,132	2,159	2,211
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	2
3010 Obligations incurred, unexpired accounts .....	7	2	1
3020 Outlays (gross) .....	-8	-1	-1
3050 Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	2
3200 Obligated balance, end of year .....	1	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	-6	29	53
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	8		
4110 Outlays, gross (total) .....	8	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	10	-29	-53
4123 Non-Federal sources .....	-4		
4130 Offsets against gross budget authority and outlays (total) ...	6	-29	-53
4170 Outlays, net (mandatory) .....	14	-28	-52
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	14	-28	-52
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,137	2,126	2,140
5001 Total investments, EOY: Federal securities: Par value .....	2,126	2,140	2,192

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). In December 2014, Congress sunset part of the aviation insurance program. Specifically, Congress returned U.S. air carriers to the commercial aviation market for all of their war risk insurance coverage by ending the FAA's authority to provide war risk insurance for a premium. Pursuant to 49 U.S.C. 44305, the FAA may provide insurance without premium at the request of the Secretary of Defense or the head of a department, agency, or instrumentality designated by the President when the Secretary of Defense or the designated head agrees to indemnify the Secretary of Transportation against all losses covered by the insurance. The "non-premium" aviation insurance program is authorized through December 31, 2018.

Object Classification (in millions of dollars)

Identification code 069-4120-0-3-402	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
42.0 Projected Insurance claims and indemnities .....	1		
44.0 Refunds .....	5	1	
99.9 Total new obligations .....	7	2	1

Employment Summary

Identification code 069-4120-0-3-402	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	4	4	4

ADMINISTRATIVE SERVICES FRANCHISE FUND  
Program and Financing (in millions of dollars)

Identification code 069-4562-0-4-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Accounting Services .....	71	49	50
0804 Information Services .....	112	124	125
0806 Multi Media .....	3	4	4
0807 FLLI (formerly CMEI/Training) .....	8	10	10
0808 International Training .....	3	4	4
0810 Logistics .....	215	198	200
0811 Aircraft Maintenance .....	72	65	64
0812 Acquisition .....	8	7	7
0900 Total new obligations .....	492	461	464
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	186	185	203
1021 Recoveries of prior year unpaid obligations .....	40		
1050 Unobligated balance (total) .....	226	185	203
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	449	479	478
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	451	479	478
1930 Total budgetary resources available .....	677	664	681
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	185	203	217
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	176	173	171
3010 Obligations incurred, unexpired accounts .....	492	461	464
3020 Outlays (gross) .....	-455	-463	-481
3040 Recoveries of prior year unpaid obligations, unexpired .....	-40		
3050 Unpaid obligations, end of year .....	173	171	154
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	178	173	171
3200 Obligated balance, end of year .....	173	171	154
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	451	479	478
Outlays, gross:			
4010 Outlays from new discretionary authority .....	338	326	325
4011 Outlays from discretionary balances .....	117	137	156
4020 Outlays, gross (total) .....	455	463	481
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-448	-479	-478
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-449	-479	-478
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4080 Outlays, net (discretionary) .....	6	-16	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	6	-16	3

In 1997, the Federal Aviation Administration (FAA) established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, travel, duplicating services, multi-media services, information technology, material management (logistics), and aircraft maintenance.

Object Classification (in millions of dollars)

Identification code 069-4562-0-4-402	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	127	139	142
12.1 Civilian personnel benefits .....	43	50	50

21.0	Travel and transportation of persons .....	5	6	6
22.0	Transportation of things .....	6	5	5
23.3	Communications, utilities, and miscellaneous charges .....	13	12	12
25.2	Other services from non-Federal sources .....	216	154	155
26.0	Supplies and materials .....	74	87	86
31.0	Equipment .....	7	7	7
42.0	Insurance claims and indemnities .....	1	1	1
99.9	Total new obligations .....	492	461	464

**Employment Summary**

Identification code 069-4562-0-4-402	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,645	1,823	1,822

**Trust Funds**

**AIRPORT AND AIRWAY TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 069-8103-0-7-402	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	12,759	12,716	11,444
5001 Total investments, EOY: Federal securities: Par value .....	12,716	11,444	10,299

Section 9502 of Title 26, U.S. Code, provides for amounts equivalent to the funds received in the U.S. Treasury for the passenger ticket tax and certain other taxes paid by airport and airway users to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants, Federal Aviation Administration facilities and equipment, research, operations, payment to air carriers, and for the Bureau of Transportation Statistics Office of Airline Information.

The status of the fund is as follows:

**Status of Funds** (in millions of dollars)

Identification code 069-8103-0-7-402	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	14,187	14,071	14,277
0999 Total balance, start of year .....	14,187	14,071	14,277
Cash income during the year:			
Current law:			
Receipts:			
1110 Excise Taxes, Airport and Airway Trust Fund .....	14,268	14,351	15,063
1130 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....	1	1	1
1130 Facilities and Equipment (Airport and Airway Trust Fund) .....	34	36	36
1150 Interest, Airport and Airway Trust Fund .....	274	264	303
1160 Facilities and Equipment (Airport and Airway Trust Fund) .....	28	16	16
1160 Research, Engineering and Development (Airport and Airway Trust Fund) .....	3	3	3
1199 Income under present law .....	14,608	14,671	15,422
1999 Total cash income .....	14,608	14,671	15,422
Cash outgo during year:			
Current law:			
2100 Payments to Air Carriers [021-04-8304-0] .....	-146	-160	-160
2100 Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) [021-12-8104-0] .....	-8,595	-7,922	-7,608
2100 Grants-in-aid for Airports (Airport and Airway Trust Fund) [021-12-8106-0] .....	-3,141	-3,416	-3,367
2100 Facilities and Equipment (Airport and Airway Trust Fund) [021-12-8107-0] .....	-2,681	-2,787	-2,959
2100 Research, Engineering and Development (Airport and Airway Trust Fund) [021-12-8108-0] .....	-159	-180	-188
2199 Outgo under current law .....	-14,722	-14,465	-14,282
2999 Total cash outgo (-) .....	-14,722	-14,465	-14,282

Surplus or deficit::			
3110 Excluding interest .....	-388	-58	837
3120 Interest .....	274	264	303
3199 Subtotal, surplus or deficit .....	-114	206	1,140
3298 Rounding adjustment .....	-2		
3299 Total adjustments .....	-2		
Unexpended balance, end of year::			
4100 Uninvested balance (net), end of year .....	1,355	2,833	5,118
4200 Airport and Airway Trust Fund .....	12,716	11,444	10,299
4999 Total balance, end of year .....	14,071	14,277	15,417

**GRANTS-IN-AID FOR AIRPORTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(AIRPORT AND AIRWAY TRUST FUND)

[(INCLUDING TRANSFER OF FUNDS)]

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, [ \$3,600,000,000 ] \$3,500,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: *Provided*, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of [ \$3,350,000,000 ] \$2,900,000,000 in fiscal year [2016] 2017, notwithstanding section 47117(g) of title 49, United States Code: *Provided further*, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: *Provided further*, That notwithstanding section 47109(a) of title 49, United States Code, the Government's share of allowable project costs under paragraph (2) for subgrants or paragraph (3) of that section shall be 95 percent for a project at other than a large or medium hub airport that is a successive phase of a multi-phased construction project for which the project sponsor received a grant in fiscal year 2011 for the construction project: *Provided further*, That notwithstanding any other provision of law, of funds limited under this heading, not more than [ \$107,100,000 ] \$107,691,000 shall be obligated for administration, not less than \$15,000,000 shall be available for the Airport Cooperative Research Program, and not less than [ \$31,000,000 ] \$31,375,000 shall be available for Airport Technology Research, and \$5,000,000, to remain available until expended, shall be available and transferred to "Office of the Secretary, Salaries and Expenses" to carry out the Small Community Air Service Development Program: *Provided further*, That in addition to airports eligible under section 41743 of title 49, such program may include the participation of an airport that serves a community or consortium that is not larger than a small hub airport, according to FAA hub classifications effective at the time the Office of the Secretary issues a request for proposals. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-8106-0-7-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants-in-aid for airports .....	3,355	3,192	2,746
0002 Personnel and related expenses .....	107	107	108
0003 Airport technology research .....	30	31	31
0005 Small community air service .....	7	5	
0006 Airport Cooperative Research .....	15	15	15
0100 Total direct program .....	3,514	3,350	2,900
0799 Total direct obligations .....	3,514	3,350	2,900
0801 Grants-in-aid for Airports (Airport and Airway Trust Fund) (Reimbursable) .....		1	1
0900 Total new obligations .....	3,514	3,351	2,901

GRANTS-IN-AID FOR AIRPORTS (AIRPORT AND AIRWAY TRUST FUND)—Continued  
Program and Financing—Continued

Identification code 069-8106-0-7-402	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000			
1001			
1021			
1050			
Budget authority:			
Appropriations, discretionary:			
1101			
1137			
Contract authority, mandatory:			
1600			
1600			
1620			
1640			
Spending authority from offsetting collections, discretionary:			
1700			
1900			
1930			
Memorandum (non-add) entries:			
1941			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			
3010			
3020			
3040			
3050			
Memorandum (non-add) entries:			
3100			
3200			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			
Outlays, gross:			
4010			
4011			
4020			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033			
Mandatory:			
4090			
4180			
4190			
<b>Memorandum (non-add) entries:</b>			
5052			
5053			
5061			

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	3,220	3,350	3,350
Outlays	3,140	3,415	3,366
Legislative proposal, not subject to PAYGO:			
Budget Authority			-450
<b>Total:</b>			
Budget Authority	3,220	3,350	2,900
Outlays	3,140	3,415	3,366

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs. The 2017 budget request proposes to lower funding for the airport grants program to \$2.9 billion, offset in part by eliminating passenger and cargo entitlement funding for large hub airports. To assist those airports that need the most

help, the Administration proposes to focus Federal grants to support smaller commercial and general aviation airports that do not have access to additional revenue or other outside sources of capital. The Budget also proposes to allow all commercial service airports to increase the non-Federal Passenger Facility Charge, thereby giving airports greater flexibility to generate their own revenue. The combination of these changes to the AIP and PFC programs will allow airports to effectively transition to a reduced AIP level without hindering their ability to meet existing capital needs of the national airport system.

Object Classification (in millions of dollars)

Identification code 069-8106-0-7-402	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1			
11.3			
11.5			
11.9			
12.1			
21.0			
23.2			
25.1			
25.2			
25.3			
25.4			
25.7			
26.0			
31.0			
32.0			
41.0			
94.0			
99.0			
99.0			
99.9			

Employment Summary

Identification code 069-8106-0-7-402	2015 actual	2016 est.	2017 est.
1001	579	609	610
2001		1	2

GRANTS-IN-AID FOR AIRPORTS (AIRPORT AND AIRWAY TRUST FUND)  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-8106-2-7-402	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Contract authority, mandatory:			
1600			-450
1900			-450
1930			-450
Memorandum (non-add) entries:			
1941			-450
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			-450
4180			-450
4190			
<b>Memorandum (non-add) entries:</b>			
5053			-450

FACILITIES AND EQUIPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under

part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, **[\$2,855,000,000]** \$2,838,000,000, of which **[\$470,049,000]** \$639,300,000 shall remain available until September 30, **[2016]** 2017, and **[\$2,384,951,000]** \$2,198,700,000 shall remain available until September 30, **[2018]** 2019: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, improvement, and modernization of national airspace systems: *Provided further*, That no later than March 31, the Secretary of Transportation shall transmit to the Congress an investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years **[2017]** 2018 through **[2021]** 2022, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget **[**: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after March 31 that such report has not been submitted to Congress **]**. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-8107-0-7-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Engineering, development, test and evaluation .....	271	206	133
0002 Procurement and modernization of air traffic control (ATC) facilities and equipment .....	1,537	1,831	1,768
0003 Procurement and modernization of non-ATC facilities and equipment .....	176	175	221
0004 Mission support .....	223	226	250
0005 Personnel and related expenses .....	459	470	489
0006 Hurricane Sandy .....	3		
0007 ADS-B Subscription and WAAS GEOs .....			150
0100 Subtotal, direct program .....	2,669	2,908	3,011
0799 Total direct obligations .....	2,669	2,908	3,011
0801 Facilities and Equipment (Airport and Airway Trust Fund) (Reimbursable) .....	84	89	90
0900 Total new obligations .....	2,753	2,997	3,101
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,255	1,235	1,145
1021 Recoveries of prior year unpaid obligations .....	57		
1050 Unobligated balance (total) .....	1,312	1,235	1,145
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2,600	2,855	2,838
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	51	52	52
1701 Change in uncollected payments, Federal sources .....	30		
1750 Spending auth from offsetting collections, disc (total) .....	81	52	52
1900 Budget authority (total) .....	2,681	2,907	2,890
1930 Total budgetary resources available .....	3,993	4,142	4,035
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
1941 Unexpired unobligated balance, end of year .....	1,235	1,145	934
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts .....	21		
1951 Unobligated balance expiring .....	5		
1952 Expired unobligated balance, start of year .....	67	57	78
1953 Expired unobligated balance, end of year .....	52	78	78
1954 Unobligated balance canceling .....	21		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,534	1,528	1,738
3010 Obligations incurred, unexpired accounts .....	2,753	2,997	3,101
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-2,681	-2,787	-2,959
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57		
3041 Recoveries of prior year unpaid obligations, expired .....	-26		
3050 Unpaid obligations, end of year .....	1,528	1,738	1,880

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-59	-63	-63
3070 Change in uncollected pymts, Fed sources, unexpired .....	-30		
3071 Change in uncollected pymts, Fed sources, expired .....	26		
3090 Uncollected pymts, Fed sources, end of year .....	-63	-63	-63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,475	1,465	1,675
3200 Obligated balance, end of year .....	1,465	1,675	1,817
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,681	2,907	2,890
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,054	1,229	1,318
4011 Outlays from discretionary balances .....	1,627	1,558	1,641
4020 Outlays, gross (total) .....	2,681	2,787	2,959
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-28	-16	-16
4033 Non-Federal sources .....	-34	-36	-36
4040 Offsets against gross budget authority and outlays (total) ...	-62	-52	-52
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-30		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	-19		
4070 Budget authority, net (discretionary) .....	2,600	2,855	2,838
4080 Outlays, net (discretionary) .....	2,619	2,735	2,907
4180 Budget authority, net (total) .....	2,600	2,855	2,838
4190 Outlays, net (total) .....	2,619	2,735	2,907

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Airspace System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the Department of Transportation, the National Aeronautics and Space Administration, and the Departments of Defense, Homeland Security, and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. The funding request supports the Federal Aviation Administration's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

**Object Classification** (in millions of dollars)

Identification code 069-8107-0-7-402	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	300	307	313
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	9	8	8
11.9 Total personnel compensation .....	310	316	322
12.1 Civilian personnel benefits .....	92	97	99
21.0 Travel and transportation of persons .....	42	42	48
22.0 Transportation of things .....	2	3	3
23.2 Rental payments to others .....	38	50	50
23.3 Communications, utilities, and miscellaneous charges .....	41	51	62
25.1 Advisory and assistance services .....	1,552	1,610	1,699
25.2 Other services from non-Federal sources .....	86	130	133
25.3 Other goods and services from Federal sources .....	33	40	30
25.4 Operation and maintenance of facilities .....	76	93	95
25.5 Research and development contracts .....	1	2	
25.7 Operation and maintenance of equipment .....	63	84	80
25.8 Subsistence and support of persons .....	1	2	1
26.0 Supplies and materials .....	31	47	50
31.0 Equipment .....	174	190	236
32.0 Land and structures .....	122	144	100
41.0 Grants, subsidies, and contributions .....	2	5	3
43.0 Interest and dividends .....	3	2	
99.0 Direct obligations .....	2,669	2,908	3,011
99.0 Reimbursable obligations .....	84	89	90
99.9 Total new obligations .....	2,753	2,997	3,101

**Employment Summary**

Identification code 069-8107-0-7-402	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,619	2,629	2,655

FACILITIES AND EQUIPMENT—Continued  
Employment Summary—Continued

Identification code 069-8107-0-7-402	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	68	68	68

RESEARCH, ENGINEERING, AND DEVELOPMENT  
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, **[\$166,000,000]** \$167,500,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, **[2018]** 2019: *Provided*, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development. (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-8108-0-7-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Improve aviation safety .....	92	101	99
0012 Economic Competitiveness .....	20	35	25
0013 Reduce environmental impact of aviation .....	39	36	38
0014 Improve the efficiency of mission support .....	12	5	6
0100 Subtotal, direct program .....	163	177	168
0799 Total direct obligations .....	163	177	168
0801 Research, Engineering and Development (Airport and Airway Trust (Reimbursable)) .....	3	3	3
0900 Total new obligations .....	166	180	171
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	67	61	50
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	68	61	50
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	157	166	168
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	3	3	3
1900 Budget authority (total) .....	160	169	171
1930 Total budgetary resources available .....	228	230	221
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	61	50	50
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	5	5	
1953 Expired unobligated balance, end of year .....	4		
1954 Unobligated balance canceling .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	135	141	141
3010 Obligations incurred, unexpired accounts .....	166	180	171
3020 Outlays (gross) .....	-159	-180	-188
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	141	141	124
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	131	137	137
3200 Obligated balance, end of year .....	137	137	120

Budget authority and outlays, net:

Identification code	2015 actual	2016 est.	2017 est.
Discretionary:			
4000 Budget authority, gross .....	160	169	171
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	76	77
4011 Outlays from discretionary balances .....	112	104	111
4020 Outlays, gross (total) .....	159	180	188
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	157	166	168
4080 Outlays, net (discretionary) .....	156	177	185
4180 Budget authority, net (total) .....	157	166	168
4190 Outlays, net (total) .....	156	177	185

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. The proposed funding is allocated to the following performance goal areas of the Federal Aviation Administration: improve safety, economic competitiveness, and environmental performance of the National Airspace System. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as activities related to unmanned aircraft systems.

Object Classification (in millions of dollars)

Identification code 069-8108-0-7-402	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	26	29	30
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	27	30	31
12.1 Civilian personnel benefits .....	8	9	9
21.0 Travel and transportation of persons .....	1	2	2
25.1 Advisory and assistance services .....	23	25	23
25.2 Other services from non-Federal sources .....	53	57	52
25.3 Other goods and services from Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	2	2	2
25.5 Research and development contracts .....	17	19	18
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	25	26	24
99.0 Direct obligations .....	163	177	168
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	166	180	171

Employment Summary

Identification code 069-8108-0-7-402	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	234	249	249

TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 069-8104-0-7-402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Operations .....	8,595	7,922	7,608
0900 Total new obligations (object class 94.0) .....	8,595	7,922	7,608
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	8,595	7,922	7,608
1930 Total budgetary resources available .....	8,595	7,922	7,608

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	8,595	7,922	7,608
3020	Outlays (gross) .....	-8,595	-7,922	-7,608
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	8,595	7,922	7,608
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8,595	7,922	7,608
4180	Budget authority, net (total) .....	8,595	7,922	7,608
4190	Outlays, net (total) .....	8,595	7,922	7,608

For 2017, the Budget proposes \$9,994 million for Federal Aviation Administration Operations, of which \$7,608 million would be provided from the Airport and Airway Trust Fund.

ADMINISTRATIVE PROVISIONS

SEC. 110. None of the funds in this Act may be used to compensate in excess of 600 technical staff-years under the federally funded research and development center contract between the Federal Aviation Administration and the Center for Advanced Aviation Systems Development during fiscal year 2016.

SEC. 111. None of the funds in this Act shall be used to pursue or adopt guidelines or regulations requiring airport sponsors to provide to the Federal Aviation Administration without cost building construction, maintenance, utilities and expenses, or space in airport sponsor-owned buildings for services relating to air traffic control, air navigation, or weather reporting: *Provided*, That the prohibition of funds in this section does not apply to negotiations between the agency and airport sponsors to achieve agreement on "below-market" rates for these items or to grant assurances that require airport sponsors to provide land without cost to the FAA for air traffic control facilities.

SEC. 112. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303 and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.

SEC. 113. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the time of collection, to be merged with and available for the same purposes of such appropriation.

SEC. 114. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.

SEC. 115. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.

SEC. 116. The Secretary shall apportion to the sponsor of an airport that received scheduled or unscheduled air service from a large certified air carrier (as defined in part 241 of title 14 Code of Federal Regulations, or such other regulations as may be issued by the Secretary under the authority of section 41709) an amount equal to the minimum apportionment specified in 49 U.S.C. 47114(c), if the Secretary determines that airport had more than 10,000 passenger boardings in the preceding calendar year, based on data submitted to the Secretary under part 241 of title 14, Code of Federal Regulations.

SEC. 117. None of the funds in this Act may be obligated or expended for retention bonuses for an employee of the Federal Aviation Administration without the prior written approval of the Assistant Secretary for Administration of the Department of Transportation.

SEC. 118. Notwithstanding any other provision of law, none of the funds made available under this Act or any prior Act may be used to implement or to continue to implement any limitation on the ability of any owner or operator of a private aircraft to obtain, upon a request to the Administrator of the Federal Aviation Administration, a blocking of that owner's or operator's aircraft registration number from any display of the Federal Aviation Administration's Aircraft Situational Display to Industry data that is made available to the public, except data made available to a Government agency, for the noncommercial flights of that owner or operator.

SEC. 119. None of the funds in this Act shall be available for salaries and expenses of more than nine political and Presidential appointees in the Federal Aviation Administration.

SEC. 119A. None of the funds made available under this Act may be used to increase fees pursuant to section 44721 of title 49, United States Code, until the FAA provides to the House and Senate Committees on Appropriations a report that justifies

all fees related to aeronautical navigation products and explains how such fees are consistent with Executive Order 13642.

SEC. 119B. None of the funds in this Act may be used to close a regional operations center of the Federal Aviation Administration or reduce its services unless the Administrator notifies the House and Senate Committees on Appropriations not less than 90 full business days in advance.

SEC. 119C. None of the funds appropriated or limited by this Act may be used to change weight restrictions or prior permission rules at Teterboro airport in Teterboro, New Jersey. (Department of Transportation Appropriations Act, 2016.)

FEDERAL HIGHWAY ADMINISTRATION

The Fixing America's Surface Transportation (FAST) Act (Public Law 114-94), signed into law by President Obama on December 4, 2015, provides five years of stable funding that will create jobs, strengthen our transportation system, grow our economy, and allow States to initiate sound, multi-year investments. The 2017 Budget, which reflects the second year of the five-year FAST Act, provides the needed funding to: improve the safety, condition and performance America's roads and bridges; support American exports by improving movement within the Nation's freight networks; improve regional coordination by Metropolitan Planning Organizations to stimulate economic development; and advance the Climate Action Plan by building more resilient infrastructure, and encouraging sounder transportation planning.

The 2017 Federal Highway Administration (FHWA) Budget consists of \$44,025 million in budget authority and \$43,531 million in outlays (with both totals excluding transfers from the General Fund).

The table below reflects the budget authority requested for all existing FHWA programs.

In addition to the budget authority provided by the FAST Act, as reflected in the table below, \$7,500 million in new budget authority is requested through the 21st Century Clean Transportation Plan investment initiative for the following new FHWA-administered programs: Future Freight System; Climate Smart Performance Program; 21st Century Regions Grants Program; Clean Communities Grant Program; and Resilience Competition.

Inclusive of the 21st Century Clean Transportation Plan proposal, \$51,525 million in resources is being requested in 2017 for FHWA.

	[In millions of dollars]		
	2015 actual	2016 est.	2017 est.
<b>Budget Authority:</b>			
Federal-aid highways contract authority (TF) .....	40,941	43,050	44,005
Federal-aid subject to limitation .....	40,256	42,361	43,266
Federal-aid highways exempt from the limitation .....	685	689	739
Miscellaneous appropriations (TIFIA upward reestimate GF) .....	159	216	0
Miscellaneous trust funds (TF) .....	20	20	20
<b>Total Budget Authority .....</b>	<b>41,120</b>	<b>43,286</b>	<b>44,025</b>
<b>Total Discretionary .....</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Mandatory .....</b>	<b>41,120</b>	<b>43,286</b>	<b>44,025</b>
<b>Obligation Limitation:</b>			
Federal-aid highways (TF) .....	40,256	42,361	43,266

Note: Numbers may not add due to rounding. Totals do not include transfers with the Federal Transit Administration and the National Highway Traffic Safety Administration. Federal-aid Highways contract authority reflects sequestration in 2015 and 2016. The table does not include the \$7,500 million in new budget authority requested through the 21st Century Clean Transportation Plan investment initiative.

Federal Funds

MISCELLANEOUS APPROPRIATIONS

Program and Financing (in millions of dollars)

Identification code 069-9911-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 69-X-0538 STP .....	22	38	38
0003 69-X-991 All Others .....	10	14	14
0083 69-X-0505 TIFIA .....	159	216	.....
0900 Total new obligations (object class 41.0) .....	191	268	52

MISCELLANEOUS APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 069-9911-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	189	171	119
1021	Recoveries of prior year unpaid obligations	14		
1050	Unobligated balance (total)	203	171	119
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	159	216	
1900	Budget authority (total)	159	216	
1930	Total budgetary resources available	362	387	119
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	171	119	67
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	99	71	77
3010	Obligations incurred, unexpired accounts	191	268	52
3020	Outlays (gross)	-205	-262	-51
3040	Recoveries of prior year unpaid obligations, unexpired	-14		
3050	Unpaid obligations, end of year	71	77	78
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	99	71	77
3200	Obligated balance, end of year	71	77	78
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	46	46	51
Mandatory:				
4090	Budget authority, gross	159	216	
Outlays, gross:				
4100	Outlays from new mandatory authority	159	216	
4180	Budget authority, net (total)	159	216	
4190	Outlays, net (total)	205	262	51

This consolidated schedule shows the obligation and outlay of amounts appropriated from the General Fund for miscellaneous programs. The schedule reflects a Transportation Infrastructure Finance and Innovation (TIFIA) Act program upward re-estimate and interest on the re-estimate of \$159 million for 2015 and \$216 million for 2016. The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, includes the TIFIA Act program upward subsidy re-estimate with this account instead of its previous inclusion in the Federal-aid Highways account. No further discretionary appropriations are requested for 2017.

EMERGENCY RELIEF PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0500-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Emergency Relief Program (Direct)	473	321	321
0900	Total new obligations (object class 41.0)	473	321	321
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	950	643	322
1021	Recoveries of prior year unpaid obligations	166		
1050	Unobligated balance (total)	1,116	643	322
1930	Total budgetary resources available	1,116	643	322
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	643	322	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	870	604	409
3010	Obligations incurred, unexpired accounts	473	321	321
3020	Outlays (gross)	-573	-516	-365
3040	Recoveries of prior year unpaid obligations, unexpired	-166		
3050	Unpaid obligations, end of year	604	409	365

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	870	604	409
3200	Obligated balance, end of year	604	409	365
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	573	516	365
4180	Budget authority, net (total)			
4190	Outlays, net (total)	573	516	365

FHWA is authorized to receive additional General Fund discretionary funding as needed. In 2012, \$1,662 million was enacted to remain available until expended, and in 2013, \$2,022 million was enacted to remain available until expended, both for necessary expenses resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.).

No further appropriations are requested for this account in 2017.

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

Program and Financing (in millions of dollars)

Identification code 069-0640-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Appalachian Development Highway System	1		
0900	Total new obligations (object class 41.0)	1		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	50	49	49
1050	Unobligated balance (total)	50	49	49
1930	Total budgetary resources available	50	49	49
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	49	49	49
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	25	12	7
3010	Obligations incurred, unexpired accounts	1		
3020	Outlays (gross)	-14	-5	-3
3050	Unpaid obligations, end of year	12	7	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	25	12	7
3200	Obligated balance, end of year	12	7	4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	14	5	3
4180	Budget authority, net (total)			
4190	Outlays, net (total)	14	5	3

Funding for this program is used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System. This schedule shows the obligation and outlay of amounts made available in prior years.

No funding is requested for 2017.

STATE INFRASTRUCTURE BANKS

Program and Financing (in millions of dollars)

Identification code 069-0549-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

In 1997, FHWA received an appropriation from the General Fund for the State Infrastructure Banks (SIBs) program. This schedule shows the obligation and outlay of that funding.

All of the funds have been provided to the States to capitalize the infrastructure banks. Because the funding was provided as grants, and not loans, FHWA will not receive reimbursements of amounts expended for the SIBs program. No new budgetary resources are requested in 2017.

HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 069-0504-0-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	147	1	1
3011 Obligations incurred, expired accounts .....	22		
3020 Outlays (gross) .....	-108		
3041 Recoveries of prior year unpaid obligations, expired .....	-60		
3050 Unpaid obligations, end of year .....	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	147	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	108		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	108		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0504-0-1-401	2015 actual	2016 est.	2017 est.
Direct loan subsidy outlays:			
134001 Tiger TIFIA Direct Loans (ARRA) .....	1		
Direct loan reestimates:			
135001 Tiger TIFIA Direct Loans (ARRA) .....	-1	-2	

Enacted on February 17, 2009, the American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$27.5 billion from the General Fund to the Federal Highway Administration (FHWA), of which \$26.6 billion was apportioned to States based on formulas described in the Recovery Act and \$0.9 billion was allocated to programs identified in the Recovery Act, including the Indian Reservation Roads Program, Park Roads and Parkway Program, Forest Highway Program, Refuge Roads Program, Disadvantaged Business Enterprise Bonding Assistance, Territorial Highway Program, Puerto Rico Highway Program, and the Ferry Boat Discretionary Program. Administrative oversight funds were available through September 30, 2012 and all other funds were available through September 30, 2010. The FHWA Recovery Act funds have been used to invest in transportation, environmental protection, and other infrastructure that will provide longer term economic benefits to the Nation. The Recovery Act funds augmented existing investments, authorized by the Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, enabled States, regional, and local governments to accelerate to completion a number of highway infrastructure projects planned or underway. Since the Recovery Act was enacted in February 2009, more than 42,000 miles of pavement across the United States have been improved. As of September 30, 2015, States have expended 100% of Recovery Act obligations and closed 12,585 of 12,913 projects. As of September 30, 2015 Recovery Act funds are cancelled and are no longer available for expenditure. No new budget authority is requested for 2017.

PAYMENT TO THE TRANSPORTATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-0534-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Transportation Trust Fund (Direct) .....	8,068	70,000	
0900 Total new obligations (object class 94.0) .....	8,068	70,000	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	8,068	70,000	
1930 Total budgetary resources available .....	8,068	70,000	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	8,068	70,000	
3020 Outlays (gross) .....	-8,068	-70,000	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8,068	70,000	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8,068	70,000	
4180 Budget authority, net (total) .....	8,068	70,000	
4190 Outlays, net (total) .....	8,068	70,000	

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	8,068	70,000	
Outlays .....	8,068	70,000	
Legislative proposal, subject to PAYGO:			
Budget Authority .....			19,000
Outlays .....			19,000
Total:			
Budget Authority .....	8,068	70,000	19,000
Outlays .....	8,068	70,000	19,000

For 2015, Section 2002 of Public Law 114-41, Surface Transportation and Veterans Health Care Choice Improvement Act of 2015, authorized additional appropriations from the General Fund of the Treasury to the Highway Account and Mass Transit Account of the Highway Trust Fund in the amounts of \$6.068 billion and \$2.0 billion, respectively. This payment was not subject to sequestration, per OMB A-11 Section 100.15, because the budgetary resources were enacted after the Sequestration Order for Fiscal Year 2015 was signed. For 2016, Section 31202 of Public Law 114-94, Fixing America's Surface Transportation (FAST) Act, authorized additional appropriations from the General Fund of the Treasury to the Highway Account and Mass Transit Account of the Highway Trust Fund in the amounts of \$51.9 billion and \$18.1 billion, respectively. This payment was not subject to sequestration, per OMB A-11 Section 100.15, because the budgetary resources were enacted after the *Joint Committee Reductions for Fiscal Year 2016* was signed.

PAYMENT TO THE TRANSPORTATION TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-0534-4-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Transportation Trust Fund (Direct) .....			19,000
0900 Total new obligations (object class 41.0) .....			19,000
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			19,000
1930 Total budgetary resources available .....			19,000

PAYMENT TO THE TRANSPORTATION TRUST FUND—Continued  
Program and Financing—Continued

Identification code 069-0534-4-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			19,000
3020			-19,000
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			19,000
Outlays, gross:			
4100			19,000
4180			19,000
4190			19,000

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM DIRECT LOAN  
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4123-0-3-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	2,982	3,673	3,736
0713	265	356	416
0740	13		
0742	158	190	
0743	15	16	
0900	3,433	4,235	4,152
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	4	2	
1050	4	2	
Financing authority:			
Borrowing authority, mandatory:			
1400	3,082	3,200	3,112
Spending authority from offsetting collections, mandatory:			
1800	1,505	698	706
1801	158	736	491
1825	-1,314	-401	-47
1850	349	1,033	1,150
1900	3,431	4,233	4,262
1930	3,435	4,235	4,262
Memorandum (non-add) entries:			
1941	2		110

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	9,557	11,165	12,824
3010	3,433	4,235	4,152
3020	-1,825	-2,576	-6,829
3050	11,165	12,824	10,147
Uncollected payments:			
3060	-601	-759	-1,495
3070	-158	-736	-491
3090	-759	-1,495	-1,986
Memorandum (non-add) entries:			
3100	8,956	10,406	11,329
3200	10,406	11,329	8,161

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	3,431	4,233	4,262
Financing disbursements:			
4110	1,825	2,576	6,829
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-78	-128	-481
4120	-106	-149	
4120	-53	-67	
4122	-43	-48	-55
4123	-102	-87	-127

4123	Non-Federal sources - Principal payments	-1,123	-219	-43
4130	Offsets against gross budget authority and outlays (total)	-1,505	-698	-706
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	-158	-736	-491
4160	Budget authority, net (mandatory)	1,768	2,799	3,065
4170	Outlays, net (mandatory)	320	1,878	6,123
4180	Budget authority, net (total)	1,768	2,799	3,065
4190	Outlays, net (total)	320	1,878	6,123

Status of Direct Loans (in millions of dollars)

Identification code 069-4123-0-3-401	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111	2,982	3,673	3,736
1150	2,982	3,673	3,736
Cumulative balance of direct loans outstanding:			
1210	8,314	10,330	13,216
1231	1,825	2,038	6,363
1251	-1,123	-219	-43
1261	1,314	1,067	1,515
1290	10,330	13,216	21,051

Balance Sheet (in millions of dollars)

Identification code 069-4123-0-3-401	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	26	26
Net value of assets related to post-1991 direct loans receivable:		
1401	8,314	10,330
1402	19	19
1405	-439	-439
1499	7,894	9,910
1999	7,920	9,936
<b>LIABILITIES:</b>		
2103	7,920	9,936
4999	7,920	9,936

TIFIA GENERAL FUND PROGRAM ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION,  
TRANSPORTATION

Program and Financing (in millions of dollars)

Identification code 069-0542-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705		39	
0706		1	
0900		40	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200		40	
1900		40	
1930		40	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	14	4	2
3010		40	
3020	-10	-42	-2
3050	4	2	
Memorandum (non-add) entries:			
3100	14	4	2
3200	4	2	

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	10	2	2
Mandatory:			
4090		40	

Outlays, gross:			
4100	Outlays from new mandatory authority .....	40	.....
4180	Budget authority, net (total) .....	40	.....
4190	Outlays, net (total) .....	10	42 2

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 069-0542-0-1-401	2015 actual	2016 est.	2017 est.
Direct loan subsidy outlays:			
134001	TIFIA TIGER Direct Loans .....	10	.....
Direct loan reestimates:			
135001	TIFIA TIGER Direct Loans .....	40	.....

The Office of the Secretary of Transportation (OST) received appropriations totaling \$1,127 million for TIGER discretionary grants as part of the 2010 and 2011 Department of Transportation (DOT) appropriations acts. The appropriations authorized DOT to pay subsidy and administrative costs, not to exceed \$300 million, of projects eligible for Federal credit assistance under Chapter 6 of Title 23 United States Code. In 2012, \$45 million was provided for TIGER discretionary grants as part of the 2012 DOT appropriation act to pay subsidy and administrative costs. OST has delegated the authority to negotiate and administer Transportation Infrastructure Finance Innovation Act of 1998 loans under this program to the Federal Highway Administration.

No further amounts are requested for 2017.

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION

**Program and Financing** (in millions of dollars)

Identification code 069-4348-0-3-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....	17	29 35
0900	Total new obligations .....	17	29 35
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	.....	339
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	11	326 78
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	16	44 6
1801	Change in uncollected payments, Federal sources .....	-10	-2 -2
1850	Spending auth from offsetting collections, mand (total) .....	6	42 4
1900	Budget authority (total) .....	17	368 82
1930	Total budgetary resources available .....	17	368 421
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	.....	339 386
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	702	376 96
3010	Obligations incurred, unexpired accounts .....	17	29 35
3020	Outlays (gross) .....	-343	-309 -52
3050	Unpaid obligations, end of year .....	376	96 79
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-4 -2
3070	Change in uncollected pymts, Fed sources, unexpired .....	10	2 2
3090	Uncollected pymts, Fed sources, end of year .....	-4	-2 .....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	688	372 94
3200	Obligated balance, end of year .....	372	94 79
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	17	368 82
Financing disbursements:			
4110	Outlays, gross (total) .....	343	309 52
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-10	-39 .....
4120	Federal sources .....	.....	-1 .....

4122	Interest on uninvested funds .....	-3	.....
4123	Non-Federal sources .....	-3	-4 -6
4130	Offsets against gross budget authority and outlays (total) .....	-16	-44 -6
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	10	2 2
4160	Budget authority, net (mandatory) .....	11	326 78
4170	Outlays, net (mandatory) .....	327	265 46
4180	Budget authority, net (total) .....	11	326 78
4190	Outlays, net (total) .....	327	265 46

**Status of Direct Loans** (in millions of dollars)

Identification code 069-4348-0-3-401	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	307	650 869
1231	Disbursements: Direct loan disbursements .....	326	190 52
1261	Adjustments: Capitalized interest .....	17	29 35
1290	Outstanding, end of year .....	650	869 956

**Balance Sheet** (in millions of dollars)

Identification code 069-4348-0-3-401	2014 actual	2015 actual
<b>ASSETS:</b>		
1401	Net value of assets related to post-1991 direct loans receivable:	307 650
	Direct loans receivable, gross .....	.....
1999	Total assets .....	307 650

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT

**Program and Financing** (in millions of dollars)

Identification code 069-4347-0-3-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....	19	23 18
0742	Downward reestimate paid to receipt account .....	1	1 .....
0743	Interest on downward reestimates .....	.....	1 .....
0900	Total new obligations .....	20	25 18
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	20	25 6
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	2	..... 12
1801	Change in uncollected payments, Federal sources .....	-1	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-1	.....
1850	Spending auth from offsetting collections, mand (total) .....	.....	..... 12
1900	Budget authority (total) .....	20	25 18
1930	Total budgetary resources available .....	20	25 18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	9	..... 25
3010	Obligations incurred, unexpired accounts .....	20	25 18
3020	Outlays (gross) .....	-29	.....
3050	Unpaid obligations, end of year .....	.....	25 43
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	1	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	8	..... 25
3200	Obligated balance, end of year .....	.....	25 43
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	20	25 18
Financing disbursements:			
4110	Outlays, gross (total) .....	29	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-1	.....
4123	Non-Federal sources .....	-1	..... -12

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT—Continued  
Program and Financing—Continued

Identification code 069-4347-0-3-401	2015 actual	2016 est.	2017 est.
4130 Offsets against gross budget authority and outlays (total) ...	-2		-12
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4160 Budget authority, net (mandatory) .....	19	25	6
4170 Outlays, net (mandatory) .....	27		-12
4180 Budget authority, net (total) .....	19	25	6
4190 Outlays, net (total) .....	27		-12

Status of Direct Loans (in millions of dollars)

Identification code 069-4347-0-3-401	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	481	509	531
1231 Disbursements: Direct loan disbursements .....	9		
1261 Adjustments: Capitalized interest .....	19	22	17
1290 Outstanding, end of year .....	509	531	548

Balance Sheet (in millions of dollars)

Identification code 069-4347-0-3-401	2014 actual	2015 actual
<b>ASSETS:</b>		
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	481	509
1999 Total assets .....	481	509
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	481	509
4999 Total liabilities and net position .....	481	509

HIGHWAY INFRASTRUCTURE PROGRAMS

Program and Financing (in millions of dollars)

Identification code 069-0548-0-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	12	1
3020 Outlays (gross) .....	-16	-11	
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	12	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	12	1
3200 Obligated balance, end of year .....	12	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	16	11	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	16	11	

In 2010, the Congress appropriated \$650 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code.

No further appropriations are requested in 2017.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-8402-0-8-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	
3020 Outlays (gross) .....		-4	

3050 Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	
3200 Obligated balance, end of year .....	4		

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		4	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		4	

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs. The purchase of right-of-way is an eligible expense of the Federal-Aid Highway program.

This program was terminated by the Transportation Equity Act for the 21st Century of 1998 but will continue to be shown for reporting purposes as loan balances remain outstanding. No new budgetary resources are requested in 2017.

TRANSPORTATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-8102-0-7-401	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	10,696	7,667	65,248
5001 Total investments, EOY: Federal securities: Par value .....	7,667	65,248	50,123

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law.

The following Status of Funds table presents the status of the proposed Transportation Trust Fund.

**Cash balances.**—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand, i.e., uninvested balance. Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

**Revenues.**—The Budget presentation includes estimated receipts from existing Highway Trust Fund excise taxes, which would continue to be deposited into the Highway and Mass Transit Accounts of the Highway Trust Fund in the same manner as current law.

**General Fund Transfers.**—The Moving Ahead for Progress in the 21st Century Act (Public Law 112-141) authorized transfers into the Highway Trust Fund of \$2.4 billion from the Leaking Underground Storage Tank (LUST) Trust Fund in 2012, \$6.2 billion from the General Fund in 2013, and \$12.6 billion from the General Fund in 2014. The Highway and Transportation Funding Act of 2014 (Public Law 113-159) authorized transfers into the Highway Trust Fund of \$1.0 billion from the LUST Trust Fund in FY 2014, and \$9.8 billion from the General Fund in 2014. The Surface Transportation and Veterans Health Care Choice Improvement Act of 2015 (Public Law 114-41) authorized the transfer into the Highway Trust Fund of \$8.068 billion from the General Fund of the Treasury in 2015. This transfer was not subject to sequestration. The Fixing America's Surface Transportation (FAST) Act (Public Law 114-94) authorized the transfer into the Highway Trust Fund of \$70.0 billion from the General Fund of the Treasury in 2016. This transfer was not subject to sequestration.

Status of Funds (in millions of dollars)

Identification code 069-8102-0-7-401	2015 actual	2016 est.	2017 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	14,846	11,910	71,021
0999 Total balance, start of year	14,846	11,910	71,021
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Transportation Trust Fund, Deposits (Highway Account)	35,714	36,253	36,039
1110 Transportation Trust Fund, Deposits (Mass Transit Account)	5,099	5,070	5,029
1120 Motor Carrier Safety Operations and Programs	20	20	20
1130 Federal-aid Highways	73		
1150 Earnings on Investments, Transportation Trust Fund	2	11	16
1160 Payment from the General Fund, Transportation Trust Fund (Mass Transit)	2,000	18,100	
1160 Transfer from the Leaking Underground Storage Tank Trust Fund, Transportation Trust Fund (Highway Account)		100	100
1160 Payment from the General Fund, Transportation Trust Fund (Highway)	6,068	51,900	
1160 Federal-aid Highways	92	340	340
1160 Operations and Research (Transportation Trust Fund)	21	30	30
1199 Income under present law	49,089	111,824	41,574
<b>Proposed:</b>			
1210 21st Century Clean Transportation Plan Receipts			6,454
<b>Offsetting governmental receipts:</b>			
1260 Payment from the General Fund, Transportation Trust Fund (Highway)			19,000
1299 Income proposed			25,454
1999 Total cash income	49,089	111,824	67,028
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Federal-aid Highways [021-15-8083-0]	-41,817	-42,151	-43,386
2100 Right-of-way Revolving Fund Liquidating Account [021-15-8402-0]		-4	
2100 Miscellaneous Transportation Trust Funds [021-15-9972-0]	-6	-21	-23
2100 National Motor Carrier Safety Program [021-17-8048-0]		-12	-1
2100 Motor Carrier Safety Grants [021-17-8158-0]	-276	-331	-352
2100 Motor Carrier Safety Operations and Programs [021-17-8159-0]	-275	-258	-268
2100 Operations and Research (Transportation Trust Fund) [021-18-8016-0]	-129	-164	-178
2100 Highway Traffic Safety Grants [021-18-8020-0]	-655	-749	-720
2100 Discretionary Grants (Transportation Trust Fund, Mass Transit Account) [021-36-8191-0]	-5	-6	
2100 Transit Formula Grants [021-36-8350-0]	-8,864	-9,017	-9,661
2199 Outgo under current law	-52,027	-52,713	-54,589
<b>Proposed:</b>			
2200 21st Century Clean Transportation Plan Investments			-4,247
2200 Operations and Research (Transportation Trust Fund)			-145
2200 Current Passenger Rail Service			-1,219
2200 Administrative Expenses (Transportation Trust Fund)			-104
2200 Capital Investment Grants			-1,015
2299 Outgo under proposed legislation			-6,730
2999 Total cash outgo (-)	-52,027	-52,713	-61,319
<b>Surplus or deficit:</b>			
3110 Excluding interest	-2,940	59,100	5,693
3120 Interest	2	11	16
3199 Subtotal, surplus or deficit	-2,938	59,111	5,709
3230 Federal-aid Highways	-83		
3230 Federal-aid Highways	-1,246	-1,482	-1,465
3230 Federal-aid Highways	29		
3230 Highway Traffic Safety Grants	83		
3230 Transit Formula Grants	-29		
3230 Transit Formula Grants	1,246	1,482	1,465
3298 Rounding adjustment	2		
3299 Total adjustments	2		
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year	4,243	5,773	26,607
4200 Transportation Trust Fund	7,667	65,248	50,123
4999 Total balance, end of year	11,910	71,021	76,730

FEDERAL-AID HIGHWAYS

(CANCELLATION)

(TRANSPORTATION TRUST FUND)

Of the unobligated balances of funds apportioned among the States under chapter 1 of title 23, United States Code, a total of \$2,436,000,000 is hereby permanently cancelled: Provided, That such cancellation shall not apply to funds distributed in accordance with sections 104(b)(3) and 130(f) of title 23, United States Code; section 133(d)(1)(A) of such title; the first sentence of section 133(d)(3)(A) of such title, as in effect on the day before the date of enactment of MAP-21 (Public Law 112-141); sections 133(d)(1) and 163 of such title, as in effect on the day before the date of enactment of SAFETEA-LU (Public Law 109-59); and section 104(b)(5) of such title, as in effect on the day before the date of enactment of MAP-21 (Public Law 112-141): Provided further, That such cancellation shall not apply to funds that are exempt from the obligation limitation or subject to special no-year obligation limitation: Provided further, That the amount to be cancelled from a State shall be determined by multiplying the total amount of the cancellation by the ratio that the unobligated balances subject to the cancellation as of September 30, 2016, for the State; bears to the unobligated balances subject to the cancellation as of September 30, 2016, for all States: Provided further, That the amount to be canceled under this section from each program to which the cancellation applies within a State shall be determined by multiplying the cancellation amount calculated for such State by the ratio that the unobligated balance as of September 30, 2016, for such program in such State; bears to the unobligated balances as of September 30, 2016, for all programs to which the cancellation applies in such State.

LIMITATION ON ADMINISTRATIVE EXPENSES

([HIGHWAY] TRANSPORTATION TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

Not to exceed \$425,752,000 \$435,795,000, together with advances and reimbursements received by the Federal Highway Administration, shall be obligated for necessary expenses for administration and operation of the Federal Highway Administration. In addition, not to exceed \$3,248,000 shall be or transferred to the Appalachian Regional Commission in accordance with section 104(a) of title 23, United States Code.

(LIMITATION ON OBLIGATIONS)

([HIGHWAY] TRANSPORTATION TRUST FUND)

Funds available for the implementation or execution of Federal-aid highway and highway safety construction programs authorized under titles 23 and 49, United States Code, and the provisions of the Fixing America's Surface Transportation Act shall not exceed total obligations of \$42,361,000,000 \$43,266,100,000 for fiscal year 2016 2017: Provided, That the Secretary may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: Provided further, That such fees are available until expended to pay for such costs: Provided further, That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code.

(LIQUIDATION OF CONTRACT AUTHORIZATION)

([HIGHWAY] TRANSPORTATION TRUST FUND)

For the payment of obligations incurred in carrying out Federal-aid highway and highway safety construction programs authorized under title 23, United States Code, \$43,100,000,000 \$44,005,100,000 derived from the [Highway] Transportation Trust Fund (other than the Mass Transit Account), to remain available until expended. (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-8083-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Surface transportation block grant program	11,509	12,507	12,770
0014 National highway performance program	18,459	20,060	20,482
0015 Congestion mitigation and air quality improvement program	1,250	1,358	1,387
0016 Highway safety improvement program	2,699	2,933	2,995
0017 Metropolitan planning program	204	222	226
0018 Transportation alternatives program	319		
0019 National highway freight program		1,072	1,026
0020 Nationally significant freight and highway projects		752	799

FEDERAL-AID HIGHWAYS—Continued  
Program and Financing—Continued

Identification code 069-8083-0-7-401	2015 actual	2016 est.	2017 est.
0024 Federal lands and tribal programs .....	571	700	750
0029 Research, technology and education program .....	302	327	352
0032 Administration - LAE .....	407	426	434
0033 Administration - ARC .....	2	3	3
0058 Other programs .....	3,875	1,953	1,476
0091 Programs subject to obligation limitation .....	39,597	42,313	42,700
0211 Exempt Programs .....	650	703	723
0500 Total direct program .....	40,247	43,016	43,423
Credit program obligations:			
0701 Direct loan subsidy .....	223	252	251
0709 Administrative expenses .....	4	7	7
0791 Direct program activities, subtotal .....	227	259	258
0799 Total direct obligations .....	40,474	43,275	43,681
0801 Federal-aid Highways (Reimbursable) .....	132	340	340
0900 Total new obligations .....	40,606	43,615	44,021
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26,148	24,841	23,316
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	519	258	.....
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8350] .....	15	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-3	.....	.....
1050 Unobligated balance (total) .....	26,160	24,841	23,316
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	40,995	43,100	44,005
1120 Appropriations transferred to other accts [069-8350] .....	-1,246	-1,482	-1,465
1120 Appropriations transferred to other accts [069-8020] .....	-83	.....	.....
1121 Appropriations transferred from other acct [069-8350] .....	29	.....	.....
1137 Appropriations applied to liquidate contract authority .....	-39,695	-41,618	-42,540
Contract authority, discretionary:			
1520 Contract authority and/or unobligated balance of contract authority permanently reduced .....	.....	.....	-2,436
Contract authority, mandatory:			
1600 Contract authority .....	40,995	43,100	44,005
1610 Transferred to other accounts [069-8350] .....	-1,459	-1,300	-1,300
1610 Transferred to other accounts [069-8020] .....	-83	.....	.....
1611 Transferred from other accounts [069-8350] .....	13	.....	.....
1621 Contract authority temporarily reduced .....	-54	-50	.....
1640 Contract authority, mandatory (total) .....	39,412	41,750	42,705
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	165	340	340
1701 Change in uncollected payments, Federal sources .....	-290	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	-125	340	340
1900 Budget authority (total) .....	39,287	42,090	40,609
1930 Total budgetary resources available .....	65,447	66,931	63,925
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24,841	23,316	19,904
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	65,694	64,483	65,947
3010 Obligations incurred, unexpired accounts .....	40,606	43,615	44,021
3020 Outlays (gross) .....	-41,817	-42,151	-43,386
3050 Unpaid obligations, end of year .....	64,483	65,947	66,582
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-754	-464	-464
3070 Change in uncollected pymts, Fed sources, unexpired .....	290	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-464	-464	-464
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	64,940	64,019	65,483
3200 Obligated balance, end of year .....	64,019	65,483	66,118
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-125	340	-2,096
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11,124	11,426	11,671
4011 Outlays from discretionary balances .....	30,076	29,988	30,994
4020 Outlays, gross (total) .....	41,200	41,414	42,665

Identification code 069-8083-0-7-401	2015 actual	2016 est.	2017 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-92	-340	-340
4033 Non-Federal sources .....	-73	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-165	-340	-340
Additional offsets against gross budget authority only:			
Change in uncollected pymts, Fed sources, unexpired .....	290	.....	.....
4070 Budget authority, net (discretionary) .....	.....	.....	-2,436
4080 Outlays, net (discretionary) .....	41,035	41,074	42,325
Mandatory:			
4090 Budget authority, gross .....	39,412	41,750	42,705
Outlays, gross:			
4100 Outlays from new mandatory authority .....	190	186	200
4101 Outlays from mandatory balances .....	427	551	521
4110 Outlays, gross (total) .....	617	737	721
4180 Budget authority, net (total) .....	39,412	41,750	40,269
4190 Outlays, net (total) .....	41,652	41,811	43,046
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	60,961	60,693	60,825
5053 Obligated balance, EOY: Contract authority .....	60,693	60,825	58,554
5061 Limitation on obligations (Transportation Trust Funds) .....	40,256	41,061	41,966
5099 Unexpired unavailable balance, SOY: Contract authority .....	53	107	157
5100 Unexpired unavailable balance, EOY: Contract authority .....	107	157	157

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8083-0-7-401	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 TIFIA Direct Loans .....	2,982	3,673	3,736
115999 Total direct loan levels .....	2,982	3,673	3,736
Direct loan subsidy (in percent):			
132002 TIFIA Direct Loans .....	7.48	6.85	6.73
132999 Weighted average subsidy rate .....	7.48	6.85	6.73
Direct loan subsidy budget authority:			
133002 TIFIA Direct Loans .....	223	252	251
133999 Total subsidy budget authority .....	223	252	251
Direct loan subsidy outlays:			
134002 TIFIA Direct Loans .....	78	128	481
134999 Total subsidy outlays .....	78	128	481
Direct loan reestimates:			
135002 TIFIA Direct Loans .....	-14	10	.....
135999 Total direct loan reestimates .....	-14	10	.....
Administrative expense data:			
3510 Budget authority .....	5	5	5
3590 Outlays from new authority .....	5	5	5

The Federal-aid Highways (FAH) program is designed to aid in the development, operations, and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely. All programs included within FAH are proposed to be financed from the Highway Account of the Transportation Trust Fund (currently the Highway Trust Fund), and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

On December 4, 2015, President Obama signed into law the first long-term, fully-funded surface transportation bill in a decade, the Fixing America's Surface Transportation (FAST) Act. The President has been very clear that increasing investment in our Nation's transportation infrastructure is a top priority. The five-year FAST Act authorization will make our roads and bridges safer, repair and modernize our aging transportation infrastructure, spur economic growth, and create jobs. Moreover, after years of uncertainty, States and local governments can now move forward with critical transportation projects with the confidence that they will have a Federal partner over the long-term. Moving forward with the FAST Act and the resources requested in this budget will allow States and local governments to make significant, critical investments now—investments that will be costlier if deferred. FHWA programs will continue the focus on

safety, streamlines project delivery, and enhanced performance management, while increasing our investment in projects that facilitate the movement of freight, repair structurally deficient bridges, improve safety on rural roads, empower local communities, and provide ladders of opportunity that connect people to employment, education, and services.

The Federal Highway Administration's (FHWA) 2017 budget consists of the following programs: Highway Safety Improvement Program; National Highway Freight Program; National Highway Performance Program; Surface Transportation Block Grant Program; Congestion Mitigation and Air Quality Improvement Program; Metropolitan Transportation Planning Program; Federal Lands and Tribal Transportation Programs; Nationally Significant Freight and Highway Projects; Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; Research, Technology and Education Program; and Federal Allocation Programs.

*Highway Safety Improvement Program.*—The performance-based Highway Safety Improvement Program (\$2.5 billion) provides funding to significantly reduce traffic fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on Tribal land, and the program is directly tied to the Department's safety goal and Roadway Safety Plan principles. The request represents a modest increase over the Fiscal Year 2015 safety program. Improving roadway safety is a top priority of the Department, and has been designated one of DOT's Agency Priority Goals. FHWA, through national leadership and innovation, focuses on improving the safety of roadway infrastructure on all public roads. The program provides a data- and performance-driven strategic approach to improving traffic safety to reduce fatalities and serious injuries. It strengthens coordination among all highway safety modes, including National Highway Traffic Safety Administration (NHTSA) and Federal Motor Carrier Safety Administration (FMCSA) safety programs in conjunction with all Department safety initiatives. It continues the requirement that each State utilize a Strategic Highway Safety Plan. This statewide, coordinated safety plan provides a comprehensive framework for establishing statewide goals, objectives, and performance targets while ensuring the effective use of safety-focused funding. The Highway Safety Improvement Program includes a \$230 million targeted set-aside, the Railway-Highway Crossings Program, to fund safety improvements to reduce the number of fatalities, injuries, and crashes at public grade crossings.

*National Highway Freight Program.*—The National Highway Freight Program (\$1.1 billion), is a new formula program established by the FAST Act that will provide States with necessary funds for vital projects that will improve the movement of freight on the National Highway Freight Network, which is comprised of the 41,500-miles Primary Highway Freight System, all other Interstates not on the PHFS, and other State-identified critical rural and urban corridors. The FAST Act requires all States using formula dollars to complete a multimodal State Freight Plan.

*National Highway Performance Program.*—The National Highway Performance Program (\$22.8 billion) is a formula-based program that focuses significant Federal resources for the following purposes: to support the condition and performance of the National Highway System (NHS); to support the construction of new facilities on the NHS; and to ensure that investments of Federal-aid funds in highway construction support progress toward the achievement of performance targets for the NHS. The program includes performance management features, holds States accountable for achieving performance targets, and provides flexibility to States for making transportation investment decisions. The 220,000-mile NHS is comprised of rural and urban roads serving major population centers, international border crossings, intermodal transportation facilities, and major travel destinations. The NHS includes the Interstate System, all principal arterials, intermodal connectors, and other roads important to mobility, commerce, national defense, and intermodal connectivity. The NHS provides mobility to the vast majority of the Nation's population and almost all of its commerce, supports national defense, and promotes intermodal connectivity. While NHS mileage accounts for a small portion of the Nation's public road mileage, it carries 58 percent of all vehicular traffic. The majority of

truck-borne freight uses it at some point in its journey. While the NHS comprises 53 percent of of U.S. highway border crossings, it handles 98 percent of the value of total truck trade with Canada and Mexico.

*Surface Transportation Block Grant Program.*—The Surface Transportation Block Grant Program (\$11.4 billion) provides flexible funding that may be used by States and localities for projects to preserve and improve the condition and performance on any Federal-aid highway, bridges on any public road, and transit capital projects, including intercity bus terminals. Additionally, projects that expand transportation choice and enhance the transportation experience are eligible, such as, bicycle and pedestrian infrastructure and safety programs, historical preservation, and environmental mitigation. The flexible nature of this program allows States to direct funding to areas of greatest need while also fostering innovation. This program gives State transportation agencies the ability to target funding to State and local priorities. States will identify projects for funding in consultation with local transportation officials in rural areas and in cooperation with the Metropolitan Planning Organization (MPO) in metropolitan areas.

*Congestion Mitigation and Air Quality Improvement Program.*—The Congestion Mitigation and Air Quality (CMAQ) Improvement Program (\$2.4 billion) will help States, local governments, and private-sector sponsors reduce highway congestion and harmful emissions, and assist many areas in reaching attainment of the National Ambient Air Quality Standards (NAAQS), an environmental priority. The CMAQ program provides a flexible funding source for State and local governments to fund transportation projects and programs that are designed to help localities meet the requirements of the Clean Air Act and its amendments, and help reduce regional congestion on transportation networks. CMAQ investments support transportation projects that are designed to reduce the emissions from mobile sources in areas that have been designated as in nonattainment or in maintenance of the NAAQS by the Environmental Protection Agency. To date, each MPO with a transportation management area that serves more than one million people and represents a nonattainment or maintenance area has developed and will continue to update biennially a performance plan to achieve air quality and congestion reduction targets.

*Metropolitan Transportation Planning Program.*—The Metropolitan Transportation Planning Program (\$336 million) provides funds for use by Metropolitan Planning Organizations (MPOs) for multimodal transportation planning and programming in metropolitan areas. Metropolitan planning activities include: the collection and analysis of data on demographics, trends, and system performance; travel demand and system performance forecasting; identification and prioritization of transportation system improvement needs; and coordination of the planning process and decision-making with the public, elected officials, and stakeholder groups. The planning process will provide consideration for projects that increase safety, support economic vitality, increase accessibility, mobility, and connectivity, protect and enhance the environment, emphasize the preservation of existing infrastructure, and increase security of the transportation system.

*Federal Lands and Tribal Transportation Programs.*—The Federal Lands and Tribal Transportation Programs (\$1.1 billion) provide funding for transportation projects on Federal and Tribal lands for construction and engineering projects that will: provide multi-modal access to basic community services including safer all-weather access to schools and healthcare facilities for 566 federally-recognized sovereign Tribal governments; improve multi-modal access to recreational areas on public lands/national treasures; and expand economic development in and around Federal and Tribal lands while preserving the environment and reducing congestion.

*Nationally Significant Freight and Highway Projects.*—The Nationally Significant Freight and Highway Projects (\$850 million) program is a new discretionary grant program, established by the FAST Act, for major highway and freight projects that will achieve national transportation objectives. This program will be led by the newly created National Surface Transportation and Innovative Finance Bureau. Selected projects must re-

FEDERAL-AID HIGHWAYS—Continued

ceive grants of at least \$25 million and have a total project cost of \$100 million or more.

**Transportation Infrastructure Finance and Innovation Act (TIFIA) Program.**—The TIFIA Program (\$275 million) provides contract authority to cover the subsidy cost of providing credit assistance for nationally or regionally significant transportation projects. The TIFIA Program leverages Federal dollars in a time of scarce budgetary resources, facilitating private participation in transportation projects and encouraging innovative financing mechanisms that help advance projects sooner. This program offers flexible repayment terms and attracts private capital to facilitate transportation projects that would otherwise go unfunded.

**Research, Technology, and Education Program.**—The Research, Technology, and Education (RT&E) Program (\$418 million) provides for a comprehensive, nationally-coordinated research, technology, and education program that will advance the Department of Transportation's organizational goals, while accelerating innovation delivery and technology implementation. FHWA research, development and technology activities include: a highway research and development program; a technology and innovation deployment program; an intelligent transportation systems program; and a training and education activities program. The RT&E Program also supports activities in the areas of safety, infrastructure preservation, operations, environmental sustainability, and policy. FHWA is in a unique leadership position to identify and address issues that require high-risk, long-term research, and research on emerging issues of national significance. FHWA's leadership role is necessary to build effective partnerships to maximize the investment in the transportation system. The entire innovation lifecycle is covered under the RT&E Program umbrella from agenda setting to the deployment of technologies and innovations.

**Federal Allocation Programs.**—This categorization consists of funding (\$404 million) for several important programs: Emergency Relief; Territorial and Puerto Rico Highway Program; Construction of Ferry Boats and Ferry Terminal Facilities; On-the-Job Training; Disadvantaged Business Enterprise; and Highway Use Tax Evasion Projects. The Emergency Relief Program has been funded through a recurring annual authorization of \$100 million since 1972. Emergency Relief funding assists Federal, State, Tribal, and local governments with the expense of repairing serious damage to Federal-aid, Tribal, and Federal Lands highways resulting from natural disasters or catastrophic failures. The Territorial and Puerto Rico Highway Program provides funding for critical highway programs in Puerto Rico and the four territories of American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the United States Virgin Islands. The Construction of Ferry Boats and Ferry Terminal Facilities program provides funding for the construction of ferry boats and ferry terminal facilities which will improve connectivity between NHS segments, provide travel mode options, and reduce congestion. The On-the-Job Training program provides funding for developing, conducting, and administering surface transportation and technology training, including skill improvement programs and job readiness. The Disadvantaged Business Enterprise program provides funding for developing, conducting, and administering training and assistance programs to increase the proficiency of minority businesses to compete, on an equal basis, for contracts and subcontracts. The Highway Use Tax Evasion Projects program provides funding to the Internal Revenue Service, other Federal agencies, and the States to carry out intergovernmental enforcement efforts along with training and research to reduce evasion of payment of motor fuel and other highway use taxes, which are the principal sources for Federal and State highway funding.

Object Classification (in millions of dollars)

Identification code 069-8083-0-7-401		2015 actual	2016 est.	2017 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	301	301	295
11.3	Other than full-time permanent .....	3	3	3

11.5	Other personnel compensation .....	39	39	39
11.9	Total personnel compensation .....	343	343	337
12.1	Civilian personnel benefits .....	97	97	98
21.0	Travel and transportation of persons .....	22	22	22
23.1	Rental payments to GSA .....	31	32	30
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	9	9	9
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	67	67	67
25.2	Other services from non-Federal sources .....	519	519	519
25.3	Other goods and services from Federal sources .....	347	347	347
25.4	Operation and maintenance of facilities .....	48	48	48
25.7	Operation and maintenance of equipment .....	44	44	44
26.0	Supplies and materials .....	12	12	12
31.0	Equipment .....	19	19	19
32.0	Land and structures .....	32	32	32
33.0	Investments and loans .....	236	252	251
41.0	Grants, subsidies, and contributions .....	38,646	41,430	41,844
99.0	Direct obligations .....	40,474	43,275	43,681
99.0	Reimbursable obligations .....	132	340	340
99.9	Total new obligations .....	40,606	43,615	44,021

Employment Summary

Identification code 069-8083-0-7-401		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	2,550	2,537	2,537
2001	Reimbursable civilian full-time equivalent employment .....	236	236	236
3001	Allocation account civilian full-time equivalent employment .....	3	3	3

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-9971-0-7-999		2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	1	1	2
Receipts:				
Current law:				
1130	Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust .....	20	20	20
1130	Advances for Highway Research Program, Miscellaneous Trust .....	1	1	1
1130	Deposits for Cooperative Work, International Highway Transportation Outreach Program .....	-1		
1199	Total current law receipts .....	20	21	21
1999	Total receipts .....	20	21	21
2000	Total: Balances and receipts .....	21	22	23
Appropriations:				
Current law:				
2101	Miscellaneous Trust Funds .....	-20	-20	-20
5099	Balance, end of year .....	1	2	3

Program and Financing (in millions of dollars)

Identification code 069-9971-0-7-999		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Advances from State cooperating agencies 69-X-8054 .....	20	33	33
0002	Cooperative work, international highway transportation 69-X-8371 .....	3	5	5
0003	Below reporting threshold .....	1	1	1
0900	Total new obligations .....	24	39	39
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	42	41	22
1021	Recoveries of prior year unpaid obligations .....	3		
1050	Unobligated balance (total) .....	45	41	22
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	20	20	20
1930	Total budgetary resources available .....	65	61	42
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	41	22	3

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	21	20	18
3010	Obligations incurred, unexpired accounts .....	24	39	39
3020	Outlays (gross) .....	-22	-41	-43
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050	Unpaid obligations, end of year .....	20	18	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	21	20	18
3200	Obligated balance, end of year .....	20	18	14
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	20	20	20
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	16	16
4101	Outlays from mandatory balances .....	19	25	27
4110	Outlays, gross (total) .....	22	41	43
4180	Budget authority, net (total) .....	20	20	20
4190	Outlays, net (total) .....	22	41	43

The Miscellaneous Trust Funds account reflects work performed by Federal Highway Administration (FHWA) for other parties. FHWA performs the work on a reimbursable basis.

*Cooperative work, forest highways.*—Contributions are received from States in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

*Technical assistance, US dollars advances from foreign governments.*—FHWA renders technical assistance and acts as agent for the purchase of equipment and materials for carrying out highway programs in foreign countries.

*Contributions for highway research programs.*—Contributions are received from various sources in support of FHWA transportation research programs. The funds are used primarily in support of pooled-funds projects.

<b>Object Classification</b> (in millions of dollars)				
Identification code 069-9971-0-7-999	2015 actual	2016 est.	2017 est.	
Direct obligations:				
12.1	Civilian personnel benefits .....	2	2	2
25.1	Advisory and assistance services .....	2	3	3
25.2	Other services from non-Federal sources .....	9	16	16
25.3	Other goods and services from Federal sources .....	10	17	17
99.0	Direct obligations .....	23	38	38
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations .....	24	39	39

<b>Employment Summary</b>				
Identification code 069-9971-0-7-999	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	6	6	6

MISCELLANEOUS TRANSPORTATION TRUST FUNDS

<b>Program and Financing</b> (in millions of dollars)				
Identification code 069-9972-0-7-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0027	Obligations by program activity Miscellaneous highway projects .....	11	22	19
0100	Direct program activities, subtotal .....	11	22	19
0900	Total new obligations (object class 41.0) .....	11	22	19
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	82	75	53
1021	Recoveries of prior year unpaid obligations .....	4		
1050	Unobligated balance (total) .....	86	75	53
1930	Total budgetary resources available .....	86	75	53

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	75	53	34
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	35	36	37
3010	Obligations incurred, unexpired accounts .....	11	22	19
3020	Outlays (gross) .....	-6	-21	-23
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050	Unpaid obligations, end of year .....	36	37	33
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	35	36	37
3200	Obligated balance, end of year .....	36	37	33
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	6	21	23
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	6	21	23

This account contains miscellaneous appropriations from the Transportation Trust Fund. Obligations and outlays result from prior year appropriations. No new budget authority is requested for 2017.

ADMINISTRATIVE PROVISIONS

SEC. 120. (a) For fiscal year [2016] 2017, the Secretary of Transportation shall—

- (1) not distribute from the obligation limitation for Federal-aid highways—
  - (A) amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; and
  - (B) amounts authorized for the Bureau of Transportation Statistics;
- (2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts—
  - (A) made available from the [Highway] Transportation Trust Fund (other than the Mass Transit Account) for Federal-aid highway and highway safety construction programs for previous fiscal years the funds for which are allocated by the Secretary (or apportioned by the Secretary under sections 202 or 204 of title 23, United States Code); and
  - (B) for which obligation limitation was provided in a previous fiscal year;
- (3) determine the proportion that—
  - (A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2) of this subsection; bears to
  - (B) the total of the sums authorized to be appropriated for the Federal-aid highway and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (11) of subsection (b) and sums authorized to be appropriated for section 119 of title 23, United States Code, equal to the amount referred to in subsection (b)(12) for such fiscal year), less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection;
- (4) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for each of the programs (other than programs to which paragraph (1) applies) that are allocated by the Secretary under the Fixing America's Surface Transportation Act and title 23, United States Code, or apportioned by the Secretary under sections 202 or 204 of that title, by multiplying—
  - (A) the proportion determined under paragraph (3); by
  - (B) the amounts authorized to be appropriated for each such program for such fiscal year; and
- (5) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and the amounts distributed under paragraph (4), for Federal-aid highway and highway safety construction programs that are apportioned by the Secretary under title 23, United States Code (other than the amounts apportioned for the National Highway Performance Program in section 119 of title 23, United States Code, that are exempt from the limitation under subsection (b)(12) and the amounts apportioned under sections 202 and 204 of that title) in the proportion that—
  - (A) amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to each State for such fiscal year; bears to

(B) the total of the amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to all States for such fiscal year.

(b) EXCEPTIONS FROM OBLIGATION LIMITATION.—The obligation limitation for Federal-aid highways shall not apply to obligations under or for—

(1) section 125 of title 23, United States Code;

(2) section 147 of the Surface Transportation Assistance Act of 1978 (23 U.S.C. 144 note; 92 Stat. 2714);

(3) section 9 of the Federal-Aid Highway Act of 1981 (95 Stat. 1701);

(4) subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982 (96 Stat. 2119);

(5) subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987 (101 Stat. 198);

(6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2027);

(7) section 157 of title 23, United States Code (as in effect on June 8, 1998);

(8) section 105 of title 23, United States Code (as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(9) Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century (112 Stat. 107) or subsequent Acts for multiple years or to remain available until expended, but only to the extent that the obligation authority has not lapsed or been used;

(10) section 105 of title 23, United States Code (as in effect for fiscal years 2005 through 2012, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(11) section 1603 of SAFETEA-LU (23 U.S.C. 118 note; 119 Stat. 1248), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation; and

(12) section 119 of title 23, United States Code (but, for each of fiscal years 2013 through [2016] 2017, only in an amount equal to \$639,000,000).

(c) REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY.—Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year—

(1) revise a distribution of the obligation limitation made available under subsection (a) if an amount distributed cannot be obligated during that fiscal year; and

(2) redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 144 (as in effect on the day before the date of enactment of Public Law 112–141) and 104 of title 23, United States Code.

(d) APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS.—

(1) IN GENERAL.—Except as provided in paragraph (2), the obligation limitation for Federal-aid highways shall apply to contract authority for transportation research programs carried out under—

(A) chapter 5 of title 23, United States Code; and

(B) title VI of the Fixing America's Surface Transportation Act.

(2) EXCEPTION.—Obligation authority made available under paragraph (1) shall—

(A) remain available for a period of 4 fiscal years; and

(B) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(e) REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS.—

(1) IN GENERAL.—Not later than 30 days after the date of distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds (excluding funds authorized for the program under section 202 of title 23, United States Code) that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highway programs; and

(B) the Secretary determines will not be allocated to the States (or will not be apportioned to the States under section 204 of title 23, United States Code), and will not be available for obligation, for such fiscal year because of the imposition of any obligation limitation for such fiscal year.

(2) RATIO.—Funds shall be distributed under paragraph (1) in the same proportion as the distribution of obligation authority under subsection (a)(5).

(3) AVAILABILITY.—Funds distributed to each State under paragraph (1) shall be available for any purpose described in section 133(b) of title 23, United States Code.

SEC. 121. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to chapter 63 of title 49, United States Code, may be credited to the Federal-aid highways account for the purpose of reimbursing the Bureau for such expenses: *Provided*, That such funds shall be subject to the obligation limitation for Federal-aid highway and highway safety construction programs.

SEC. 122. Not less than 15 days prior to waiving, under his or her statutory authority, any Buy America requirement for Federal-aid highways projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: *Provided*, That the Secretary shall provide an annual report to the House and Senate Committees on Appropriations on any waivers granted under the Buy America requirements.

SEC. 123. None of the funds in this Act to the Department of Transportation may be used to provide credit assistance unless not less than 3 days before any application approval to provide credit assistance under sections 603 and 604 of title 23, United States Code, the Secretary of Transportation provides notification in writing to the following committees: the House and Senate Committees on Appropriations; the Committee on Environment and Public Works and the Committee on Banking, Housing and Urban Affairs of the Senate; and the Committee on Transportation and Infrastructure of the House of Representatives: *Provided*, That such notification shall include, but not be limited to, the name of the project sponsor; a description of the project; whether credit assistance will be provided as a direct loan, loan guarantee, or line of credit; and the amount of credit assistance.

【SEC. 124. Section 127 of title 23, United States Code, is amended—

(1) in each of subsections (a)(1)(A) and (B) by striking "through December 31, 2031", and

(2) by inserting at the end the following:

"(t) VEHICLES IN IDAHO.—A vehicle limited or prohibited under this section from operating on a segment of the Interstate System in the State of Idaho may operate on such a segment if such vehicle-

"(1) has a gross vehicle weight of 129,000 pounds or less;

"(2) other than gross vehicle weight, complies with the single axle, tandem axle, and bridge formula limits set forth in subsection (a); and

"(3) is authorized to operate on such segment under Idaho State law."】

SEC. 【125】124. (a) A State or territory, as defined in section 165 of title 23, United States Code, may use for any project eligible under section 133(b) of title 23 or section 165 of title 23 and located within the boundary of the State or territory any earmarked amount, and any associated obligation limitation, provided that the Department of Transportation for the State or territory for which the earmarked amount was originally designated or directed notifies the Secretary of Transportation of its intent to use its authority under this section and submits a quarterly report to the Secretary identifying the projects to which the funding would be applied. Notwithstanding the original period of availability of funds to be obligated under this section, such funds and associated obligation limitation shall remain available for obligation for a period of 3 fiscal years after the fiscal year in which the Secretary of Transportation is notified. The Federal share of the cost of a project carried out with funds made available under this section shall be the same as associated with the earmark.

(b) In this section, the term "earmarked amount" means—

(1) congressionally directed spending, as defined in rule XLIV of the Standing Rules of the Senate, identified in a prior law, report, or joint explanatory statement, which was authorized to be appropriated or appropriated more than 10 fiscal years prior to the fiscal year in which this Act becomes effective, and administered by the Federal Highway Administration; or

(2) a congressional earmark, as defined in rule XXI of the Rules of the House of Representatives identified in a prior law, report, or joint explanatory statement, which was authorized to be appropriated or appropriated more than 10 fiscal years prior to the fiscal year in which this Act becomes effective, and administered by the Federal Highway Administration.

(c) The authority under subsection (a) may be exercised only for those projects or activities that have obligated less than 10 percent of the amount made available for obligation as of the effective date of this Act, and shall be applied to projects within the same general geographic area within 50 miles for which the funding was designated, except that a State or territory may apply such authority to unexpended balances of funds from projects or activities the State or territory certifies have been closed and for which payments have been made under a final voucher.

(d) The Secretary shall submit consolidated reports of the information provided by the States and territories each quarter to the House and Senate Committees on Appropriations.

【SEC. 126. Notwithstanding any other provision of law, the amount that the Secretary sets aside for fiscal year 2016 under section 130(e)(1) of title 23, United States Code, for the elimination of hazards and the installation of protective devices at

railway-highway crossings shall be \$350,000,000.] (Department of Transportation Appropriations Act, 2016.)

**FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION**

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act of 1999 (P.L. 106-159). Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation and reduce truck and bus crashes. The agency accomplishes this mission by reducing fatalities and property losses associated with commercial motor vehicles through education, regulation, enforcement, and research and innovative technology, thereby achieving a safer and more secure transportation environment. FMCSA is also responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all commercial vehicles entering the United States along its southern and northern borders.

**Trust Funds**  
MOTOR CARRIER SAFETY

**Program and Financing** (in millions of dollars)

Identification code 069-8055-0-7-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	41	41	41
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	41	41	41

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

**NATIONAL MOTOR CARRIER SAFETY PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-8048-0-7-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	5	5
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	10	5	5
Budget authority:			
Contract authority, mandatory:			
1620 Contract authority and/or unobligated balance of contract authority permanently reduced .....	-5		
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	13	1
3020 Outlays (gross) .....		-12	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	13	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	13	1
3200 Obligated balance, end of year .....	13	1	

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		12	1
Mandatory:			
4090 Budget authority, gross .....	-5		
4180 Budget authority, net (total) .....	-5		
4190 Outlays, net (total) .....		12	1

**Memorandum (non-add) entries:**

5052 Obligated balance, SOY: Contract authority .....	5		
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No funding is requested for this account in 2017.

**MOTOR CARRIER SAFETY GRANTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

([HIGHWAY] TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out sections 31102 [ , 31104(a), 31106, 31107, 31109, 31309 ], 31103, 31104, and 31313 of title 49, United States Code [ , and sections 4126 and 4128 of Public Law 109-59, as amended by Public Law 112-141 ], as amended by the Fixing America's Surface Transportation Act, [ \$313,000,000 ] \$367,000,000, to be derived from the [ Highway ] Transportation Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That funds available for the implementation or execution of motor carrier safety programs shall not exceed total obligations of [ \$313,000,000 ] \$367,000,000 in fiscal year [ 2016 ] 2017 for "Motor Carrier Safety Grants"; of which [ \$218,000,000 ] \$292,600,000 shall be available for the motor carrier safety assistance program, [ \$30,000,000 ] \$31,200,000 shall be available for commercial driver's license program [ improvement grants, \$32,000,000 shall be available for border enforcement grants, \$5,000,000 shall be available for performance and registration information system management grants, \$25,000,000 shall be available for the commercial vehicle information systems and networks deployment program, and \$3,000,000 shall be available for safety data improvement grants: *Provided further*, That, of the funds made available herein for the motor carrier safety assistance program, \$32,000,000 shall be available for audits of new entrant motor carriers ] implementation financial assistance program, and \$42,200,000 shall be available for the high priority activities program. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-8158-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Motor Carrier Safety Assistance Program .....	217	203	293
0002 Border Enforcement Grants .....	32	32	
0003 Safety Data Improvement Grants .....	2	3	
0004 Commercial Driver's License (CDL) Program Improvement Grants .....	30	30	31
0005 Commercial Vehicle Information Systems .....	12	25	
0006 Performance and Registration Information System .....	5	5	
0007 MCSAP High Priority .....		15	42
0009 Commercial Motor Vehicle Operator (CMV) Grant .....			1
0900 Total new obligations .....	298	313	367
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	107	129	129
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	114	129	129
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	313	313	367

MOTOR CARRIER SAFETY GRANTS—Continued  
Program and Financing—Continued

Identification code 069-8158-0-7-401	2015 actual	2016 est.	2017 est.
1137 Portion applied to liquidate contract authority, Motor Carrier Safety Grants .....	-313	-313	-367
Contract authority, mandatory:			
1600 Contract authority, Motor Carrier Safety Grants .....	313	313	367
1900 Budget authority (total) .....	313	313	367
1930 Total budgetary resources available .....	427	442	496
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	129	129	129
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	418	433	415
3010 Obligations incurred, unexpired accounts .....	298	313	367
3020 Outlays (gross) .....	-276	-331	-352
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	433	415	430
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	418	433	415
3200 Obligated balance, end of year .....	433	415	430
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	88	103
4011 Outlays from discretionary balances .....	229	243	249
4020 Outlays, gross (total) .....	276	331	352
Mandatory:			
4090 Budget authority, gross .....	313	313	367
4180 Budget authority, net (total) .....	313	313	367
4190 Outlays, net (total) .....	276	331	352
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	30	30	30
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	30	30	30
5061 Limitation on obligations (Transportation Trust Funds) .....	313	313	367

Motor Carrier Safety Grants support States to conduct compliance reviews, identify and apprehend traffic violators, conduct roadside inspections, and support safety audits on new entrant carriers. Using take-down funds, the Federal Motor Carrier Safety Administration (FMCSA) also supports States by conducting training for State agency personnel to accomplish motor carrier safety objectives. In addition, FMCSA reviews State commercial driver's license (CDL) oversight activities to prevent unqualified drivers from being issued CDLs, and is initiating an Innovative Technology program to improve the safety and productivity of commercial vehicles and drivers. The Motor Carriers Safety Grants account maintains the Agency's individual grants under the Compliance, Safety and Accountability Program.

Object Classification (in millions of dollars)

Identification code 069-8158-0-7-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	4
41.0 Grants, subsidies, and contributions .....	294	309	362
99.9 Total new obligations .....	298	313	367

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

([HIGHWAY] TRANSPORTATION TRUST FUND)

For payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs pursuant to section 31110[(a)-(c)] of title 49, United States Code, [and section 4134 of Public Law 109-59, as amended by Public Law 112-141,] as amended by the Fixing America's

Surface Transportation Act, [\$267,400,000] \$277,200,000, to be derived from the [Highway] Transportation Trust Fund (other than the Mass Transit Account), together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, the sum of which shall remain available until expended: *Provided*, That funds available for implementation, execution or administration of motor carrier safety operations and programs authorized under title 49, United States Code, shall not exceed total obligations of [\$267,400,000] \$277,200,000 for "Motor Carrier Safety Operations and Programs" for fiscal year [2016] 2017, of which [\$9,000,000] \$9,180,000, to remain available for obligation until September 30, [2018] 2019, is for the research and technology program[, and of which \$34,545,000, to remain available for obligation until September 30, 2018, is for information management: *Provided further*, That \$1,000,000 shall be made available for commercial motor vehicle operator grants to carry out section 4134 of Public Law 109-59, as amended by Public Law 112-141, as amended by the Fixing America's Surface Transportation Act]. (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-8159-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses .....	211	218	229
0002 Research and Technology .....	10	9	9
0003 Information Management .....	34	35	35
0005 Outreach and Education .....	4	4	4
0006 Commercial Motor Vehicle Operating Grants .....	1	1	
0007 Hours of Service Study .....	4		
0008 Enforcement and Investigative Activities .....	7		
0009 Commercial Motor Vehicle Additional .....	1		
0100 Subtotal, direct program .....	272	267	277
0799 Total direct obligations .....	272	267	277
0801 Motor Carrier Safety Operations and Programs (Reimbursable) .....	18	20	20
0900 Total new obligations .....	290	287	297
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	22	22
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	5	
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	32	22	22
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	260	267	277
1137 Appropriations applied to liquidate contract authority .....	-259	-267	-277
1160 Appropriation, discretionary (total) .....	1		
Contract authority, mandatory:			
1600 Contract authority .....	259	267	277
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	20	20
1900 Budget authority (total) .....	280	287	297
1930 Total budgetary resources available .....	312	309	319
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	22	22

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	91	100	129
3010 Obligations incurred, unexpired accounts .....	290	287	297
3020 Outlays (gross) .....	-275	-258	-268
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	100	129	158
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	91	100	129
3200 Obligated balance, end of year .....	100	129	158

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	21	20	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	210	220	227
4011 Outlays from discretionary balances .....	65	38	41
4020 Outlays, gross (total) .....	275	258	268
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-20	-20	-20

Mandatory:			
4090 Budget authority, gross .....	259	267	277
4180 Budget authority, net (total) .....	260	267	277
4190 Outlays, net (total) .....	255	238	248
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY:			
Contract authority .....	16	16	16
5055 Fund balance in excess of liquidating requirements, EOY:			
Contract authority .....	16	16	16
5061 Limitation on obligations (Transportation Trust Funds) .....	257	267	277

The Operations and Programs account provides the necessary resources to support program and administrative activities for motor carrier safety. The Federal Motor Carrier Safety Administration (FMCSA) will continue to improve safety and reduce severe and fatal commercial motor vehicles crashes by raising the bar to entry into the commercial motor vehicle industry, by requiring operators to maintain standards to remain in the industry, and by removing high-risk carriers, vehicles, drivers and service providers from operation. Funding supports nationwide motor carrier safety and consumer enforcement efforts, including the continuation of the Compliance, Safety and Accountability Program; regulation and enforcement of movers of household goods, and Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with FMCSA Regulations. Resources are also provided to fund regulatory development and implementation, investment in research and technology, and safety outreach and education. The 2017 funding request reflects FMCSA's requirements to fund critical safety and operational facility improvements at border and domestic posts, fund important safety and safety mission support training for FMCSA staff, and to support the effective implementation of FMCSA's programs through the implementation of FMCSA's Program Integration Office.

**Object Classification** (in millions of dollars)

Identification code 069-8159-0-7-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	95	96	96
11.3 Other than full-time permanent .....	1	1	2
11.9 Total personnel compensation .....	96	97	98
12.1 Civilian personnel benefits .....	30	32	32
21.0 Travel and transportation of persons .....	9	9	9
23.1 Rental payments to GSA .....	18	18	18
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	98	94	100
25.5 Research and development contracts .....	13	10	10
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	1	2
99.0 Direct obligations .....	272	267	277
99.0 Reimbursable obligations .....	18	20	20
99.9 Total new obligations .....	290	287	297

**Employment Summary**

Identification code 069-8159-0-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,058	1,114	1,117
2001 Reimbursable civilian full-time equivalent employment .....	53	61	61

ADMINISTRATIVE PROVISIONS

【SEC. 130. (a) Funds appropriated or limited in this Act shall be subject to the terms and conditions stipulated in section 350 of Public Law 107-87 and section 6901 of Public Law 110-28.

(b) Section 350(d) of the Department of Transportation and Related Agencies Appropriation Act, 2002 (Public Law 107-87) is hereby repealed.】

【SEC. 131. The Federal Motor Carrier Safety Administration shall send notice of 49 CFR section 385.308 violations by certified mail, registered mail, or another

manner of delivery, which records the receipt of the notice by the persons responsible for the violations.】

【SEC. 132. None of the funds limited or otherwise made available under this Act, or any other Act, hereafter, shall be used by the Secretary to enforce any regulation prohibiting a State from issuing a commercial learner's permit to individuals under the age of eighteen if the State had a law authorizing the issuance of commercial learner's permits to individuals under eighteen years of age as of May 9, 2011.】

【SEC. 133. None of the funds appropriated or otherwise made available by this Act or any other Act may be used to implement, administer, or enforce sections 395.3(c) and 395.3(d) of title 49, Code of Federal Regulations, and such section shall have no force or effect on submission of the final report issued by the Secretary, as required by section 133 of division K of Public Law 113-235, unless the Secretary and the Inspector General of the Department of Transportation each review and determine that the final report—

- (1) meets the statutory requirements set forth in such section; and
- (2) establishes that commercial motor vehicle drivers who operated under the restart provisions in effect between July 1, 2013, and the day before the date of enactment of such Public Law demonstrated statistically significant improvement in all outcomes related to safety, operator fatigue, driver health and longevity, and work schedules, in comparison to commercial motor vehicle drivers who operated under the restart provisions in effect on June 30, 2013.】

【SEC. 134. None of the funds limited or otherwise made available under the heading "Motor Carrier Safety Operations and Programs" may be used to deny an application to renew a Hazardous Materials Safety Program permit for a motor carrier based on that carrier's Hazardous Materials Out-of-Service rate, unless the carrier has the opportunity to submit a written description of corrective actions taken, and other documentation the carrier wishes the Secretary to consider, including submitting a corrective action plan, and the Secretary determines the actions or plan is insufficient to address the safety concerns that resulted in that Hazardous Materials Out-of-Service rate.】

【SEC. 135. None of the funds made available by this Act or previous appropriations Acts under the heading "Motor Carrier Safety Operations and Programs" shall be used to pay for costs associated with design, development, testing, or implementation of a wireless roadside inspection program until 180 days after the Secretary of Transportation certifies to the House and Senate Committees on Appropriations that such program does not conflict with existing non-Federal electronic screening systems, create capabilities already available, or require additional statutory authority to incorporate generated inspection data into safety determinations or databases, and has restrictions to specifically address privacy concerns of affected motor carriers and operators: *Provided*, That nothing in this section shall be construed as affecting the Department's ongoing research efforts in this area.】

【SEC. 136. Section 13506(a) of title 49, United States Code, is amended:

- (1) in subsection (14) by striking "or";
- (2) in subsection (15) by striking "." and inserting "; or"; and
- (3) by inserting at the end, "(16) the transportation of passengers by 9 to 15 passenger motor vehicles operated by youth or family camps that provide recreational or educational activities."】

【SEC. 137. (a) IN GENERAL.—Section 31112(c)(5) of title 49, United States Code, is amended—

- (1) by striking "Nebraska may" and inserting "Nebraska and Kansas may"; and
- (2) by striking "the State of Nebraska" and inserting "the relevant state".

(b) CONFORMING AND TECHNICAL AMENDMENTS.—Section 31112(c) of such title is amended—

- (1) by striking the subsection designation and heading and inserting the following:

"(c) SPECIAL RULES FOR WYOMING, OHIO, ALASKA, IOWA, NEBRASKA, AND KANSAS.—";

- (2) by striking "; and" at the end of paragraph (3) and inserting a semicolon; and

- (3) by striking the period at the end of paragraph (4) and inserting "; and".】

(Department of Transportation Appropriations Act, 2016.)

**NATIONAL HIGHWAY TRAFFIC SAFETY  
ADMINISTRATION**

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, motor vehicle information, and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor

vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

**Federal Funds**

CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 069-0654-0-1-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	20
1930 Total budgetary resources available .....	20	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The schedules above illustrate the remaining activity associated with the completed Consumer Assistance to Recycle and Save (Cash for Clunkers) program. No new funds are requested for this program in 2017.

OPERATIONS AND RESEARCH

For expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of title 49, United States Code, \$152,800,000, of which \$20,000,000 shall remain available through September 30, 2017. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-0650-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research and Analysis .....	33	36	
0002 Rulemaking .....	18	24	
0003 Enforcement .....	18	19	
0004 Administrative Expenses .....	61	74	
0900 Total new obligations .....	130	153	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	130	153	
1930 Total budgetary resources available .....	136	158	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	72	73	88
3010 Obligations incurred, unexpired accounts .....	130	153	
3020 Outlays (gross) .....	-127	-138	-60
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	73	88	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	72	73	88
3200 Obligated balance, end of year .....	73	88	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	153	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	77	89	
4011 Outlays from discretionary balances .....	50	49	60
4020 Outlays, gross (total) .....	127	138	60
4180 Budget authority, net (total) .....	130	153	
4190 Outlays, net (total) .....	127	138	60

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	130	153	
Outlays .....	127	138	60
Amounts included in the adjusted baseline:			
Budget Authority .....			157
Outlays .....			91
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-157
Outlays .....			-91
Total:			
Budget Authority .....	130	153	
Outlays .....	127	138	60

The Vehicle Safety programs support activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by research into, and implementation of, Federal motor vehicle safety standards. NHTSA's research areas include biomechanics, crash avoidance and mitigation technologies, and vehicle safety issues related to fuel efficiency and alternative fuels. NHTSA's Operation and Research programs fund a broad range of initiatives, including promulgation of Federal motor vehicle safety standards for motor vehicles and safety related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007; international harmonization of vehicle standards; and consumer information on motor vehicle safety, including the New Car Assessment Program. NHTSA conducts compliance programs for motor vehicle safety and automotive fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; support of enforcement of State odometer law; and safety recalls when warranted. Motor vehicle safety research and development supports NHTSA programs through the collection and analysis of crash data to identify safety problems, development of alternative solutions, and assessments of costs, benefits, and effectiveness. Research continues on standards and technologies to improve vehicle crashworthiness and crash avoidance, with emphasis on reducing crashes through vehicle-to-vehicle communication system and active safety technologies.

No funds are requested in this account for 2017. The Administration is proposing funding for these programs within the multi-year clean transportation plan. As part of that proposal, programs currently administered from this account would be continued in an Operations and Research account that would be funded from the Highway Account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 069-0650-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	36	46	
11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	37	47	
12.1 Civilian personnel benefits .....	11	11	
23.1 Rental payments to GSA .....	2	2	
23.3 Communications, utilities, and miscellaneous charges .....	1	1	
25.1 Advisory and assistance services .....	15	15	
25.2 Other services from non-Federal sources .....	48	61	
25.3 Other goods and services from Federal sources .....	6	6	
25.7 Operation and maintenance of equipment .....	1	1	
26.0 Supplies and materials .....	2	2	
31.0 Equipment .....	4	4	
41.0 Grants, subsidies, and contributions .....	3	3	
99.9 Total new obligations .....	130	153	

**Employment Summary**

Identification code 069-0650-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	311	370	.....

OPERATIONS AND RESEARCH  
(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 069-0650-7-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100    Appropriation .....		-153	.....
Appropriations, mandatory:			
1200    Appropriation .....		153	157
1900 Budget authority (total) .....			157
1930 Total budgetary resources available .....			157
Memorandum (non-add) entries:			
1941    Unexpired unobligated balance, end of year .....			157
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020    Outlays (gross) .....			-91
3050    Unpaid obligations, end of year .....			-91
Memorandum (non-add) entries:			
3200    Obligated balance, end of year .....			-91
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000    Budget authority, gross .....		-153	.....
Outlays, gross:			
4010      Outlays from new discretionary authority .....		-89	.....
4011      Outlays from discretionary balances .....		-49	-60
4020    Outlays, gross (total) .....		-138	-60
Mandatory:			
4090    Budget authority, gross .....		153	157
Outlays, gross:			
4100      Outlays from new mandatory authority .....		89	91
4101      Outlays from mandatory balances .....		49	60
4110    Outlays, gross (total) .....		138	151
4180 Budget authority, net (total) .....			157
4190 Outlays, net (total) .....			91

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO.

OPERATIONS AND RESEARCH  
(Adjustments for year-to-year comparability)

**Program and Financing** (in millions of dollars)

Identification code 069-0650-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100    Appropriation .....	-130	.....	.....
Appropriations, mandatory:			
1200    Appropriation .....	130	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000    Budget authority, gross .....	-130	.....	.....
Outlays, gross:			
4010      Outlays from new discretionary authority .....	-77	.....	.....
4011      Outlays from discretionary balances .....	-50	.....	.....
4020    Outlays, gross (total) .....	-127	.....	.....
Mandatory:			
4090    Budget authority, gross .....	130	.....	.....
Outlays, gross:			
4100      Outlays from new mandatory authority .....	77	.....	.....

4101    Outlays from mandatory balances .....	50	.....	.....
4110    Outlays, gross (total) .....	127	.....	.....
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2015 actual budget authority and outlays as mandatory for comparability purposes.

OPERATIONS AND RESEARCH  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 069-0650-4-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200    Appropriation .....			-157
1930 Total budgetary resources available .....			-157
Memorandum (non-add) entries:			
1941    Unexpired unobligated balance, end of year .....			-157
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020    Outlays (gross) .....			91
3050    Unpaid obligations, end of year .....			91
Memorandum (non-add) entries:			
3200    Obligated balance, end of year .....			91
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090    Budget authority, gross .....			-157
Outlays, gross:			
4100      Outlays from new mandatory authority .....			-91
4180 Budget authority, net (total) .....			-157
4190 Outlays, net (total) .....			-91

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

NEXT GENERATION 911 IMPLEMENTATION GRANTS

**Program and Financing** (in millions of dollars)

Identification code 069-0661-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001    Grants .....			106
0002    Administration .....			9
0900 Total new obligations .....			115
<b>Budgetary resources:</b>			
Unobligated balance:			
1000    Unobligated balance brought forward, Oct 1 .....			115
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800      Collected .....		115	.....
1930 Total budgetary resources available .....		115	115
Memorandum (non-add) entries:			
1941    Unexpired unobligated balance, end of year .....		115	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010    Obligations incurred, unexpired accounts .....			115
3020    Outlays (gross) .....			-69
3050    Unpaid obligations, end of year .....			46
Memorandum (non-add) entries:			
3200    Obligated balance, end of year .....			46

NEXT GENERATION 911 IMPLEMENTATION GRANTS—Continued  
Program and Financing—Continued

Identification code 069-0661-0-1-407	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross		115	
Outlays, gross:			
4101 Outlays from mandatory balances			69
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-115		
4180 Budget authority, net (total)			
4190 Outlays, net (total)		-115	69

The 911 Grant Program was authorized by the Next Generation 911 Advancement Act of 2012, which allows eligible entities to utilize funds to implement and operate 911 services and to train public safety personnel. The program will be funded by \$115 million from the Public Safety Trust Fund. The authority to expend these funds expires on September 30, 2022.

Object Classification (in millions of dollars)

Identification code 069-0661-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
26.0 Supplies and materials			6
41.0 Grants, subsidies, and contributions			109
99.9 Total new obligations			115

Trust Funds

OPERATIONS AND RESEARCH

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

([HIGHWAY] TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, and chapter 303 of title 49, United States Code, [\$142,900,000] \$145,900,000, to be derived from the [Highway] Transportation Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year [2016] 2017, are in excess of [\$142,900,000] \$145,900,000, of which [\$137,800,000] \$140,700,000 shall be for programs authorized under 23 U.S.C. 403 and [\$5,100,000] \$5,200,000 shall be for the National Driver Register authorized under chapter 303 of title 49, United States Code: *Provided further*, That within the [\$142,900,000] \$145,900,000 obligation limitation for operations and research, [\$20,000,000] \$20,000,000 shall remain available until September 30, [2017] 2018, and shall be in addition to the amount of any limitation imposed on obligations for future years. (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-8016-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Highway safety programs	41	38	38
0002 Research and analysis	31	42	42
0007 National driver register	5	5	5
0008 Administrative Expenses	59	58	61
0100 Total Direct Obligations	136	143	146
0799 Total direct obligations	136	143	146
0801 Operations and Research (Transportation Trust Fund) (Reimbursable)	25	30	30
0900 Total new obligations	161	173	176
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	46	27	27
1001 Discretionary unobligated balance brought fwd, Oct 1	4	1	
1020 Adjustment of unobligated bal brought forward, Oct 1	-5		

1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	48	27	27
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	139	143	146
1137 Appropriations applied to liquidate contract authority	-139	-143	-146
Contract authority, mandatory:			
1600 Contract authority	119	143	146
Spending authority from offsetting collections, discretionary:			
1700 Collected	21	30	30
1900 Budget authority (total)	140	173	176
1930 Total budgetary resources available	188	200	203
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	27	27

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	108	133	142
3010 Obligations incurred, unexpired accounts	161	173	176
3020 Outlays (gross)	-129	-164	-178
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3050 Unpaid obligations, end of year	133	142	140
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	105	130	139
3200 Obligated balance, end of year	130	139	137

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	21	30	30
Outlays, gross:			
4010 Outlays from new discretionary authority	68	100	102
4011 Outlays from discretionary balances	61	64	76
4020 Outlays, gross (total)	129	164	178
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-21	-30	-30
Mandatory:			
4090 Budget authority, gross	119	143	146
4180 Budget authority, net (total)	119	143	146
4190 Outlays, net (total)	108	134	148

Memorandum (non-add) entries:

5054 Fund balance in excess of liquidating requirements, SOY: Contract authority	21	47	47
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority	47	47	47
5061 Limitation on obligations (Transportation Trust Funds)	139	143	146

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	119	143	146
Outlays	108	134	148
Legislative proposal, subject to PAYGO:			
Budget Authority			250
Outlays			145
Total:			
Budget Authority	119	143	396
Outlays	108	134	293

The 2017 Budget presents the National Highway Traffic Safety Administration's program and account structure. The Administration proposes to fund this account from the Highway Account of the Transportation Trust Fund.

The Highway Safety Research and Development programs support research, demonstrations, technical assistance, and national leadership for highway safety programs conducted by State and local governments, and various safety associations and organizations. These programs emphasize alcohol and drug countermeasures, driver and passenger occupant protection, traffic enforcement and justice services, emergency medical and trauma care systems, traffic records and licensing, State and community evaluation, motorcycle rider safety, pedestrian and bicycle safety, pupil

transportation, young and older driver safety, and development of improved accident investigation procedures.

NHTSA will continue its efforts to further quantify the magnitude and nature of the emerging problem of distracted driving, assess the impact of distraction on driver behavior and driving performance, and inform public attitudes and opinions about distraction. In addition, NHTSA will continue to analyze the impact of product design on the potential for driver distraction, and assess how to effectively manage driver workload to reduce distraction.

NHTSA will continue to operate the National Driver Register's Problem Driver Pointer System, which helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs. Finally, NHTSA will improve its vital data collection and analysis which form the basis of its research, rulemaking, and performance measurement activities.

**Object Classification** (in millions of dollars)

Identification code 069-8016-0-7-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	20	20
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	19	21	21
12.1 Civilian personnel benefits .....	6	7	8
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	10	10	10
25.2 Other services from non-Federal sources .....	47	42	44
25.5 Research and development contracts .....	21	30	30
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	24	24	24
99.0 Direct obligations .....	136	143	146
99.0 Reimbursable obligations .....	25	30	30
99.9 Total new obligations .....	161	173	176

**Employment Summary**

Identification code 069-8016-0-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	169	178	178
2001 Reimbursable civilian full-time equivalent employment .....	1		

**OPERATIONS AND RESEARCH**

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

**VEHICLE SAFETY**

*Contingent upon enactment of multi-year clean transportation plan authorization legislation, for payment of obligations incurred to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of the title 49, United States Code, as amended by the Fixing America's Transportation Act, \$250,000,000, to be derived from the Transportation Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That none of the funds in this Act shall be available for planning or execution of programs the total obligations for which, in fiscal year 2017, are in excess of \$250,000,000: Provided further, That, within the \$250,000,000 obligation limitation for operation and research, \$20,000,000 shall remain available*

*through September 30, 2018, and shall be in addition to the amount of any limitation imposed on obligations for future years.*

**OPERATIONS AND RESEARCH (TRANSPORTATION TRUST FUND)**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 069-8016-4-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Research and Analysis .....			87
0003 Vehicle Safety Program .....			89
0004 Administrative Expenses .....			74
0100 Direct program activities, subtotal .....			250
0900 Total new obligations .....			250
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....			250
1137 Appropriations applied to liquidate contract authority .....			-250
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....			250
1900 Budget authority (total) .....			250
1930 Total budgetary resources available .....			250
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			250
3020 Outlays (gross) .....			-145
3050 Unpaid obligations, end of year .....			105
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			105
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			250
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			145
4180 Budget authority, net (total) .....			250
4190 Outlays, net (total) .....			145
<b>Memorandum (non-add) entries:</b>			
5061 Limitation on obligations (Transportation Trust Funds) .....			250

The 2017 Budget presents the National Highway Traffic Safety Administration's clean transportation plan proposal and account structure, including the creation of a new Operations and Research account. The Administration proposes to fund this account from the Highway Account of the Transportation Trust Fund.

The 2017 Budget request includes \$250 million for this account. For 2017, this account's programs include:

The Vehicle Safety programs support activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by research into, and implementation of, Federal motor vehicle safety standards. NHTSA's research areas include biomechanics, crash avoidance and mitigation technologies, and vehicle safety issues related to fuel efficiency and alternative fuels. NHTSA's Operation and Research programs fund a broad range of initiatives, including promulgation of Federal motor vehicle safety standards for motor vehicles and safety related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007; international harmonization of vehicle standards; and consumer information on motor vehicle safety, including the New Car Assessment Program. NHTSA conducts compliance programs for motor vehicle safety and automotive fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; support of enforcement of State odometer law; and safety recalls when warranted. Motor vehicle safety research and development supports NHTSA programs through the collection and analysis of crash data to identify safety problems, development of alternative solutions, and assessments of costs, benefits, and effectiveness. Research continues on stand-

OPERATIONS AND RESEARCH (TRANSPORTATION TRUST FUND)—Continued

ards and technologies to improve vehicle crashworthiness and crash avoidance, with emphasis on reducing crashes through vehicle-to-vehicle communication system and active safety technologies.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of the multi-year clean transportation plan proposal. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

Object Classification (in millions of dollars)

Identification code 069-8016-4-7-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			58
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			59
12.1 Civilian personnel benefits .....			18
23.1 Rental payments to GSA .....			2
23.3 Communications, utilities, and miscellaneous charges .....			2
25.1 Advisory and assistance services .....			20
25.2 Other services from non-Federal sources .....			131
25.3 Other goods and services from Federal sources .....			7
25.7 Operation and maintenance of equipment .....			1
26.0 Supplies and materials .....			3
31.0 Equipment .....			4
41.0 Grants, subsidies, and contributions .....			3
99.9 Total new obligations .....			250

Employment Summary

Identification code 069-8016-4-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			398

HIGHWAY TRAFFIC SAFETY GRANTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

([HIGHWAY] TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out provisions of 23 U.S.C. 402, 404, and 405, and section 4001(a)(6) of the Fixing America's Surface Transportation Act, to remain available until expended, **[\$573,332,000] \$585,372,000**, to be derived from the [Highway] Transportation Trust Fund (other than the Mass Transit Account): *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year [2016] 2017, are in excess of **[\$573,332,000] \$585,372,000** for programs authorized under 23 U.S.C. 402, 404, and 405, and section 4001(a)(6) of the Fixing America's Surface Transportation Act, of which **[\$243,500,000] \$252,300,000** shall be for "Highway Safety Programs" under 23 U.S.C. 402; **[\$274,700,000] \$277,500,000** shall be for "National Priority Safety Programs" under 23 U.S.C. 405; **[\$29,300,000] \$29,500,000** shall be for "High Visibility Enforcement Program" under 23 U.S.C. 404; **[\$25,832,000] \$26,072,000** shall be for "Administrative Expenses" under section 4001(a)(6) of the Fixing America's Surface Transportation Act: *Provided further*, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: *Provided further*, That not to exceed \$500,000 of the funds made available for "National Priority Safety Programs" under 23 U.S.C. 405 for "Impaired Driving Countermeasures" (as described in subsection (d) of that section) shall be available for technical assistance to the States: *Provided further*, That with respect to the "Transfers" provision under 23 U.S.C. **[405(a)(1)(G)] 405(a)(1)(8)**, any amounts transferred to increase the amounts made available under section 402 shall include the obligation authority for such amounts: *Provided further*, That the Administrator shall notify the House and Senate Committees on Appropriations of any exercise of the authority granted under the previous proviso or under 23 U.S.C. **[405(a)(1)(G)] 405(a)(1)(8)** within five days. (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-8020-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Section 402 formula grants .....	247	244	252
0006 Section 3010 High Visibility Enforcement .....	29	29	30
0011 Administrative Expenses - Chapter 4 of Title 23 .....	25	25	25
0012 Section 406 Safety Belt Performance NASS Modernization (no-year limitation) .....	10		
0014 Section 405A Occupant Protection Grants .....	1	36	36
0015 Section 405B State Traffic Information System Improvements .....	55	40	40
0016 Section 405C Impaired Driving Countermeasures .....	46	144	146
0017 Section 405D Distracted Driving .....	142	23	24
0018 Section 405E Motorcyclist Safety .....	7	4	4
0019 Section 405F State Graduated Driver Licensing Laws .....	4	14	14
0020 Section 403H In-Vehicle Alcohol Detection Device Research .....	5	14	14
0021 Section 154/164 Penalties to 402 Program .....	83		
0900 Total new obligations .....	654	573	585
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	188	153	154
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-26		
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	163	154	155
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	561	573	585
1121 Appropriations transferred from other acct [069-8083] ....	83		
1137 Appropriations applied to liquidate contract authority .....	-644	-573	-585
Contract authority, mandatory:			
1600 Contract authority .....	561	573	585
1611 Contract authority transferred from other accounts [069-8083] .....	83		
1640 Contract authority, mandatory (total) .....	644	573	585
1900 Budget authority (total) .....	644	573	585
1930 Total budgetary resources available .....	807	727	740
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	153	154	155
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	914	913	736
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	654	573	585
3020 Outlays (gross) .....	-655	-749	-720
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	913	736	600
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	915	913	736
3200 Obligated balance, end of year .....	913	736	600
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority .....	134	235	240
4011 Outlays from discretionary balances .....	519	514	480
4020 Outlays, gross (total) .....	653	749	720
Mandatory:			
4090 Budget authority, gross .....	644	573	585
Outlays, gross:			
4101 Outlays from mandatory balances .....	2		
4180 Budget authority, net (total) .....	644	573	585
4190 Outlays, net (total) .....	655	749	720
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	53	78	78
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	78	78	78
5061 Limitation on obligations (Transportation Trust Funds) .....	135	573	585

The 2017 Budget presents the National Highway Traffic Safety Administration's program and account structure. The Administration proposes to fund this account from the Highway Account of the Transportation Trust Fund.

NHTSA provides grants to States for activities related to the promotion of highway traffic safety. The Fixing America's Surface Transportation Act provided multi-year surface transportation authorization legislation. For the 2017 Budget the agency is projected to receive \$585.372 million for these grant programs to remain available until expended. Under Section 402, the agency supports State highway safety programs, approved by the Secretary, which are designed to reduce traffic accidents and the resulting deaths, injuries and property damage. The agency will continue to implement and promote the use of performance measures and targets as a condition of approval in these programs and to ensure efficient and effective use of funds. The agency also will use dedicated funds from the program to support high visibility enforcement campaigns in the States that promote the use of seat belts and the reduction of drunk driving. Under Section 405, the agency will make grant awards to States that focus on specific national priority traffic safety areas aimed at reducing highway deaths and injuries. The agency will make grants to States that develop qualifying plans and complying laws in accordance with the statutory criteria. The focus areas under the grant program include occupant protection, state traffic safety information system improvements, impaired driving countermeasures, distracted driving, motorcyclist safety, state graduated driving licensing and non motorized safety programs.

**Object Classification** (in millions of dollars)

Identification code 069-8020-0-7-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	11	12
12.1 Civilian personnel benefits .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....		12	12
25.2 Other services from non-Federal sources .....	24	50	50
41.0 Grants, subsidies, and contributions .....	618	497	508
99.9 Total new obligations .....	654	573	585

**Employment Summary**

Identification code 069-8020-0-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	78	91	98

**ADMINISTRATIVE PROVISIONS**

SEC. 140. An additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.

SEC. 141. The limitations on obligations for the programs of the National Highway Traffic Safety Administration set in this Act shall not apply to obligations for which obligation authority was made available in previous public laws but only to the extent that the obligation authority has not lapsed or been used.

SEC. 142. None of the funds made available by this Act may be used to obligate or award funds for the National Highway Traffic Safety Administration's National Roadside Survey.

SEC. 143. None of the funds made available by this Act may be used to mandate global positioning system (GPS) tracking in private passenger motor vehicles without providing full and appropriate consideration of privacy concerns under 5 U.S.C. chapter 5, subchapter II. (Department of Transportation Appropriations Act, 2016.)

**FEDERAL RAILROAD ADMINISTRATION**

Inclusive of the 21st Century Clean Transportation Plan proposal, \$6,267 million in resources is being requested in 2017 for FRA. The following tables show the funding for Federal Railroad Administration programs:

	2015 actual	2016 est.	2017 est.
<b>Budget Authority:</b>			
Safety and Operations .....	187	199	213
Safety and Operations (Rescission) .....	0	-7	0
Railroad Safety Technology Program .....	0	0	0
Railroad Research and Development .....	39	39	54

Railroad Research and Development (Rescission) .....	0	-2	0
Grants to Amtrak .....	0	0	0
Current Passenger Rail Service (CA) (TF) (M) .....	0	0	2,300
Rail Service Improvement Program (CA) (TF) (M) .....	0	0	0
Rail Line Relocation .....	0	-2	0
Intercity Passenger Rail Grant Program .....	0	0	0
Capital and Debt Service Grants to Amtrak (Reclassified) (M) .....	1,140	1,097	0
Operating Subsidy Grants to Amtrak (Reclassified) (M) .....	250	289	0
Railroad Safety Grants .....	10	50	0
<b>Capital Assistance for High Speed Rail and Intercity Passenger Grants</b>			
.....	0	0	0
Northeast Corridor Improvement Program (Reclassified) (M) .....	0	19	0
Railroad Rehabilitation and Repair Program .....	0	0	0
Pennsylvania Station Redevelopment Project .....	0	0	0
Railroad Rehabilitation and Improvement Program (M/D) .....	0	2	0
Next Generation High Speed Rail .....	0	-5	0
<b>Totals</b>			
Total Budget Authority-Discretionary .....	236	274	267
Total Budget Authority-Mandatory .....	1,390	1,405	2,300
Total Budget Authority-Net .....	1,626	1,679	2,567

**Outlays:**

Safety and Operations .....	219	192	203
Railroad Safety Technology Program .....	4	5	3
Railroad Research and Development .....	37	38	42
Current Passenger Rail Service (CA) (TF) (M) .....	0	0	1219
Rail Service Improvement Program (CA) (TF) (M) .....	0	0	0
Rail Line Relocation .....	14	10	7
Intercity Passenger Rail Grant Program .....	13	14	10
Capital and Debt Service Grants to Amtrak (Reclassified) (M) .....	999	911	431
Operating Subsidy Grants to Amtrak (Reclassified) (M) .....	250	289	0
<b>Capital Assistance for High Speed Rail and Intercity Passenger Grants</b>			
.....	1,096	2,065	3,052
Grants to Amtrak .....	54	50	40
Railroad Safety Grants .....	0	6	24
Northeast Corridor Improvement Program (Reclassified) (M) .....	0	5	10
Railroad Rehabilitation and Repair Program .....	2	0	0
Pennsylvania Station Redevelopment Project .....	4	10	10
Railroad Rehabilitation and Improvement Program (M/D) .....	31	1	1
Next Generation High-Speed Rail .....	0	1	0
<b>Totals</b>			
Total Outlays-Discretionary .....	256	236	255
Total Outlays-Mandatory .....	2,467	3,361	4,797
Total Outlays-Net .....	2,723	3,597	5,052

**Federal Funds**

**SAFETY AND OPERATIONS**

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, [\$199,000,000] \$213,298,000, of which [\$15,900,000] \$22,200,000 shall remain available until expended. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-0700-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Safety and Operations .....	185	202	215
0002 Activity from RRF Collections .....		1	1
0006 Alaska railroad liabilities .....	1	1	1
0100 Total direct program .....	186	204	217
0900 Total new obligations .....	186	204	217
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	14	16	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		16	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	15	16	5
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	187	199	213
1131 Unobligated balance of appropriations permanently reduced .....		-7	
1160 Appropriation, discretionary (total) .....	187	192	213
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	188	193	214
1930 Total budgetary resources available .....	203	209	219

SAFETY AND OPERATIONS—Continued  
Program and Financing—Continued

Identification code 069-0700-0-1-401	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	16	5	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	87	61	82
3010 Obligations incurred, unexpired accounts .....	186	204	217
3020 Outlays (gross) .....	-220	-193	-204
3031 Unpaid obligations transferred from other accts [070-0560] .....	10	10	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	61	82	95
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	87	61	82
3200 Obligated balance, end of year .....	61	82	95
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	188	193	214
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	156	167	186
4011 Outlays from discretionary balances .....	64	26	18
4020 Outlays, gross (total) .....	220	193	204
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	187	192	213
4190 Outlays, net (total) .....	219	192	203

Funds requested in the Safety and Operations account to support the Federal Railroad Administration's (FRA) personnel and administrative expenses, the cost of rail safety inspectors, and other program activities including contracts. Resources are also provided to fund information management, research and technology, safety education, and outreach.

Object Classification (in millions of dollars)

Identification code 069-0700-0-1-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	84	89	94
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	86	90	95
12.1 Civilian personnel benefits .....	29	35	37
21.0 Travel and transportation of persons .....	11	11	13
23.1 Rental payments to GSA .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	24	31	35
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	14	15	15
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	9	9	9
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	186	204	217
99.9 Total new obligations .....	186	204	217

Employment Summary

Identification code 069-0700-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	836	926	962

RAILROAD SAFETY GRANTS

For necessary expenses related to railroad safety grants, \$50,000,000, to remain available until expended, of which not to exceed \$25,000,000 shall be available to carry out 49 U.S.C. 20167, as in effect the day before the enactment of the Passenger

Rail Reform and Investment Act of 2015 (division A, title XI of the Fixing America's Surface Transportation Act); and not to exceed \$25,000,000 shall be made available to carry out 49 U.S.C. 20158. (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-0702-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rail Safety Grants .....		20	40
0900 Total new obligations (object class 41.0) .....		20	40
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		10	40
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	10	50	
1930 Total budgetary resources available .....	10	60	40
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10	40	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			14
3010 Obligations incurred, unexpired accounts .....		20	40
3020 Outlays (gross) .....		-6	-24
3050 Unpaid obligations, end of year .....		14	30
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			14
3200 Obligated balance, end of year .....		14	30
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	10	50	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		5	
4011 Outlays from discretionary balances .....		1	24
4020 Outlays, gross (total) .....		6	24
4180 Budget authority, net (total) .....	10	50	
4190 Outlays, net (total) .....		6	24

Funding for this program was provided in 2015 for competitive grants for grade crossing and track improvement on rail routes that transport energy products. For 2016, \$50 million was provided for Railroad Safety Infrastructure Improvement grants and Railroad Safety Technology grants. No new funds are requested in this account for 2017.

RAILROAD RESEARCH AND DEVELOPMENT

For necessary expenses for railroad research and development, \$39,100,000, to remain available until expended. (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-0745-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Railroad system issues .....	4	4	4
0002 Human factors .....	6	6	6
0012 Track Program .....	11	11	11
0013 Rolling Stock Program .....	10	10	25
0014 Train Control and Communication .....	8	8	8
0100 Total direct program .....	39	39	54
0799 Total direct obligations .....	39	39	54
0801 Railroad Research and Development (Reimbursable) .....		2	2
0900 Total new obligations .....	39	41	56
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	10	8
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	10	10	8

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	39	39	54
1131	Unobligated balance of appropriations permanently reduced .....		-2	
1160	Appropriation, discretionary (total) .....	39	37	54
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		2	2
1900	Budget authority (total) .....	39	39	56
1930	Total budgetary resources available .....	49	49	64
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	10	8	8
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	39	39	40
3010	Obligations incurred, unexpired accounts .....	39	41	56
3020	Outlays (gross) .....	-37	-40	-44
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	39	40	52
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	38	38	39
3200	Obligated balance, end of year .....	38	39	51
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	39	39	56
Outlays, gross:				
4010	Outlays from new discretionary authority .....	16	12	18
4011	Outlays from discretionary balances .....	21	28	26
4020	Outlays, gross (total) .....	37	40	44
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-2	-2
4180	Budget authority, net (total) .....	39	37	54
4190	Outlays, net (total) .....	37	38	42

Funding requested in the Railroad Research and Development Program is focused on improving railroad safety. It provides scientific and engineering support for the Federal Railroad Administration's rail safety rulemaking and enforcement efforts. It also identifies and develops emerging technologies for the rail industry to adopt voluntarily. The outcomes of the research and development reduced accidents and incidents. The program also supports intercity passenger rail development by providing technical assistance, equipment specifications, proposal evaluations and Buy America compliance. In addition to improving safety, the program contributes significantly towards achieving the Department of Transportation's (DOT) other strategic goals, e.g., state of good repair.

The program focuses on the following areas of research:

- Track Program.*—Reducing derailments due to track related causes.
- Rolling Stock Program.*—Reducing derailments due to equipment failures, to minimize the consequences of derailments, and to minimize hazardous material releases.
- Train Control and Communication.*—Reducing train to train collisions and train collisions with objects on the line of grade crossings.
- Human Factors Program.*—Reducing accidents caused by human error.
- Railroad System Issues Program.*—Prioritizing Research and Development (R&D) projects on the basis of relevance to safety risk reduction and other DOT goals.

**Object Classification** (in millions of dollars)

Identification code 069-0745-0-1-401		2015 actual	2016 est.	2017 est.
Direct obligations:				
25.1	Advisory and assistance services .....	3	4	4
25.3	Other goods and services from Federal sources .....	4	5	5
25.5	Research and development contracts .....	27	26	41
31.0	Equipment .....	1		
41.0	Grants, subsidies, and contributions .....	4	4	4
99.0	Direct obligations .....	39	39	54
99.0	Reimbursable obligations .....		2	2

99.9	Total new obligations .....	39	41	56
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**PENNSYLVANIA STATION REDEVELOPMENT PROJECT**

**Program and Financing** (in millions of dollars)

Identification code 069-0723-0-1-401		2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	33	29	19
3020	Outlays (gross) .....	-4	-10	-10
3050	Unpaid obligations, end of year .....	29	19	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	33	29	19
3200	Obligated balance, end of year .....	29	19	9
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	4	10	10
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	4	10	10

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, FRA received an advance appropriation of \$20 million for 2001, 2002, and 2003. In 2001, the Congress specified that the \$20 million advance appropriation for the Farley Building be used exclusively for fire and life safety initiatives. No new funds are requested for this program in 2017.

**GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

**Program and Financing** (in millions of dollars)

Identification code 069-0704-0-1-401		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0007	Capital And Debt Grant Sandy Mitigation .....	50	32	
0009	Sandy Oversight .....	1		
0900	Total new obligations .....	51	32	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	83	32	
1930	Total budgetary resources available .....	83	32	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	32		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	61	58	40
3010	Obligations incurred, unexpired accounts .....	51	32	
3020	Outlays (gross) .....	-54	-50	-40
3050	Unpaid obligations, end of year .....	58	40	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	61	58	40
3200	Obligated balance, end of year .....	58	40	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	54	50	40
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	54	50	40

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for-profit corporation with all Board members appointed by the President, with the advice and consent of the Senate. Amtrak is not an

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued  
agency or instrument of the U.S. Government, although since the railroad's creation FRA has provided it annual grants for operating and capital costs.

Prior to 2006, FRA received annual appropriations in this account for grants to Amtrak. Since that time, FRA has received individual appropriations for capital, operating, and efficiency incentive grants.

In addition, the American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$1.3 billion to Amtrak for capital grants, of which \$450 million was for improving security and \$850 million was for improving infrastructure.

In 2013, FRA received \$112 million in this account from the Disaster Relief Appropriations Act of FY 2013 (P.L. 113–2) to fund Amtrak's recovery from Super storm Sandy, including \$30 million for repair work and \$81 million for disaster mitigation projects. FRA also received a \$185 million transfer from the Federal Transit Administration for the Hudson Yards disaster resiliency project in New York City. No funds are requested for this account for 2017.

**Object Classification** (in millions of dollars)

Identification code 069–0704–0–1–401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	32	.....
41.0 Grants, subsidies, and contributions .....	50	.....	.....
99.9 Total new obligations .....	51	32	.....

**OPERATING GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

【To enable the Secretary of Transportation to make quarterly grants to the National Railroad Passenger Corporation, in amounts based on the Secretary's assessment of the Corporation's seasonal cash flow requirements, for the operation of intercity passenger rail, as authorized by section 101 of the Passenger Rail Investment and Improvement Act of 2008 (division B of Public Law 110–432), as in effect the day before the enactment of the Passenger Rail Reform and Investment Act of 2015 (division A, title XI of the Fixing America's Surface Transportation Act), \$288,500,000, to remain available until expended: *Provided*, That the amounts available under this paragraph shall be available for the Secretary to approve funding to cover operating losses for the Corporation only after receiving and reviewing a grant request for each specific train route: *Provided further*, That each such grant request shall be accompanied by a detailed financial analysis, revenue projection, and capital expenditure projection justifying the Federal support to the Secretary's satisfaction: *Provided further*, That not later than 60 days after enactment of this Act, the Corporation shall transmit, in electronic format, to the Secretary and the House and Senate Committees on Appropriations the annual budget, business plan, the 5-Year Financial Plan for fiscal year 2016 required under section 204 of the Passenger Rail Investment and Improvement Act of 2008 and the comprehensive fleet plan for all Amtrak rolling stock: *Provided further*, That the budget, business plan and the 5-Year Financial Plan shall include annual information on the maintenance, refurbishment, replacement, and expansion for all Amtrak rolling stock consistent with the comprehensive fleet plan: *Provided further*, That the Corporation shall provide monthly performance reports in an electronic format which shall describe the work completed to date, any changes to the business plan, and the reasons for such changes as well as progress against the milestones and target dates of the 2012 performance improvement plan: *Provided further*, That the Corporation's budget, business plan, 5-Year Financial Plan, semiannual reports, monthly reports, comprehensive fleet plan and all supplemental reports or plans comply with requirements in Public Law 112–55: *Provided further*, That none of the funds provided in this Act may be used to support any route on which Amtrak offers a discounted fare of more than 50 percent off the normal peak fare: *Provided further*, That the preceding proviso does not apply to routes where the operating loss as a result of the discount is covered by a State and the State participates in the setting of fares.】 (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069–0121–0–1–401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating subsidy grants .....	250	289	.....

0900 Total new obligations (object class 41.0) .....	250	289	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	250	289	.....
1930 Total budgetary resources available .....	250	289	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	250	289	.....
3020 Outlays (gross) .....	–250	–289	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	250	289	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	250	289	.....
4180 Budget authority, net (total) .....	250	289	.....
4190 Outlays, net (total) .....	250	289	.....

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	250	289	.....
Outlays .....	250	289	.....
<b>Amounts included in the adjusted baseline:</b>			
Budget Authority .....	.....	.....	294
Outlays .....	.....	.....	294
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	–294
Outlays .....	.....	.....	–294
<b>Total:</b>			
Budget Authority .....	250	289	.....
Outlays .....	250	289	.....

The Federal Railroad Administration receives appropriations to this account to make quarterly grants to the National Railroad Passenger Corporation for the operation of intercity passenger rail.

No funds are requested for this account in 2017. The Administration is proposing funding for these programs within the multi-year clean transportation plan proposal. As part of that proposal, programs currently administered from this account would be continued in a new Current Passenger Rail Service account that would be funded from the Rail Account of the Transportation Trust Fund.

**OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 069–0121–7–1–401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	–289	.....
Appropriations, mandatory:			
1200 Appropriation .....	.....	289	294
1900 Budget authority (total) .....	.....	.....	294
1930 Total budgetary resources available .....	.....	.....	294
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	294
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....	.....	.....	–294
3050 Unpaid obligations, end of year .....	.....	.....	–294
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	–294
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	–289	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	–289	.....
Mandatory:			
4090 Budget authority, gross .....	.....	289	294

Outlays, gross:			
4100	Outlays from new mandatory authority .....	289	294
4180	Budget authority, net (total) .....		294
4190	Outlays, net (total) .....		294

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO.

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION  
(Adjustments for year-to-year comparability)

**Program and Financing** (in millions of dollars)

Identification code 069-0121-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	-250	
Appropriations, mandatory:			
1200	Appropriation .....	250	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	-250	
Outlays, gross:			
4010	Outlays from new discretionary authority .....	-250	
Mandatory:			
4090	Budget authority, gross .....	250	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	250	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 069-0121-4-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		-294
1930	Total budgetary resources available .....		-294
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-294
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020	Outlays (gross) .....		294
3050	Unpaid obligations, end of year .....		294
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		294
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-294
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-294
4180	Budget authority, net (total) .....		-294
4190	Outlays, net (total) .....		-294

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

【To enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation for capital investments as authorized by sections 101(c), 102, and 219(b) of the Passenger Rail Investment and Improvement Act of 2008 (division B of Public Law 110-432), as in effect the day before the enactment of the Passenger Rail Reform and Investment Act of 2015 (division A, title XI of the Fixing America's Surface Transportation Act), \$1,101,500,000, to remain available until expended, of which not to exceed \$160,200,000 shall be for debt service obligations as authorized by section 102 of such Act: *Provided*, That of the amounts made available under this heading, not less than \$50,000,000 shall be made available to bring Amtrak-served facilities and stations into compliance with the Americans with Disabilities Act: *Provided further*, That after an initial distribution of up to \$200,000,000, which shall be used by the Corporation as a working capital account, all remaining funds shall be provided to the Corporation only on a reimbursable basis: *Provided further*, That of the amounts made available under this heading, up to \$50,000,000 may be used by the Secretary to subsidize operating losses of the Corporation should the funds provided under the heading "Operating Grants to the National Railroad Passenger Corporation" be insufficient to meet operational costs for fiscal year 2016: *Provided further*, That the Secretary may retain up to one-half of 1 percent of the funds provided under this heading to fund the costs of project management and oversight of activities authorized by subsections 101(a) and 101(c) of division B of Public Law 110-432, of which up to \$500,000 may be available for technical assistance for States, the District of Columbia, and other public entities responsible for the implementation of section 209 of division B of Public Law 110-432: *Provided further*, That the Secretary shall approve funding for capital expenditures, including advance purchase orders of materials, for the Corporation only after receiving and reviewing a grant request for each specific capital project justifying the Federal support to the Secretary's satisfaction: *Provided further*, That except as otherwise provided herein, none of the funds under this heading may be used to subsidize operating losses of the Corporation: *Provided further*, That none of the funds under this heading may be used for capital projects not approved by the Secretary of Transportation or on the Corporation's fiscal year 2016 business plan: *Provided further*, That in addition to the project management oversight funds authorized under section 101(d) of division B of Public Law 110-432, the Secretary may retain up to an additional \$3,000,000 of the funds provided under this heading to fund expenses associated with implementing section 212 of division B of Public Law 110-432, including the amendments made by section 212 to section 24905 of title 49, United States Code: *Provided further*, That Amtrak shall conduct a business case analysis on capital investments that exceed \$10,000,000 in life-cycle costs: *Provided further*, That each contract for a capital acquisition that exceeds \$10,000,000 in life-cycle costs shall state that funding is subject to the availability of appropriated funds provided by an appropriations Act.】 (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-0125-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002	Capital & Debt Service Grants .....	1,079	1,060
0005	Grants Oversight .....	1	10
0006	Northeast Corridor Commission .....		8
0007	American Disability Act (ADA) .....	50	50
0900	Total new obligations .....	1,130	1,128
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	22	32
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1,140	1,102
1131	Unobligated balance of appropriations permanently reduced .....		-5
1160	Appropriation, discretionary (total) .....	1,140	1,097
1930	Total budgetary resources available .....	1,162	1,129
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	32	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	169	300
3010	Obligations incurred, unexpired accounts .....	1,130	1,128
3020	Outlays (gross) .....	-999	-911
3050	Unpaid obligations, end of year .....	300	517

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Program and Financing—Continued

Identification code 069-0125-0-1-401	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	169	300	517
3200 Obligated balance, end of year .....	300	517	86
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,140	1,097	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	835	711	.....
4011 Outlays from discretionary balances .....	164	200	431
4020 Outlays, gross (total) .....	999	911	431
4180 Budget authority, net (total) .....	1,140	1,097	.....
4190 Outlays, net (total) .....	999	911	431

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,140	1,097	.....
Outlays .....	999	911	431
Amounts included in the adjusted baseline:			
Budget Authority .....	.....	.....	1,117
Outlays .....	.....	.....	724
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-1,117
Outlays .....	.....	.....	-724
<b>Total:</b>			
Budget Authority .....	1,140	1,097	.....
Outlays .....	999	911	431

The Federal Railroad Administration receives appropriations to this account to make grants to the National Railroad Passenger Corporation for capital investments.

No funds are requested in this account for 2017. The Administration is proposing funding for these programs within the multi-year clean transportation plan proposal. As part of that proposal, programs currently administered from this account would be continued in a new Current Passenger Rail Service account that would be funded from the Rail Account of the Transportation Trust Fund.

Object Classification (in millions of dollars)

Identification code 069-0125-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	.....
25.1 Advisory and assistance services .....	.....	9	.....
41.0 Grants, subsidies, and contributions .....	1,129	1,118	.....
99.9 Total new obligations .....	1,130	1,128	.....

Employment Summary

Identification code 069-0125-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3	5	.....

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 069-0125-7-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	-1,097	.....
Appropriations, mandatory:			
1200 Appropriation .....	.....	1,097	1,117
1900 Budget authority (total) .....	.....	.....	1,117
1930 Total budgetary resources available .....	.....	.....	1,117

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	.....	.....	1,117

Change in obligated balance:

Unpaid obligations:			
3020 Outlays (gross) .....	.....	.....	-724
3050 Unpaid obligations, end of year .....	.....	.....	-724
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	-724

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	.....	-1,097	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	-711	.....
4011 Outlays from discretionary balances .....	.....	-200	-431
4020 Outlays, gross (total) .....	.....	-911	-431
Mandatory:			
4090 Budget authority, gross .....	.....	1,097	1,117
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	711	724
4101 Outlays from mandatory balances .....	.....	200	431
4110 Outlays, gross (total) .....	.....	911	1,155
4180 Budget authority, net (total) .....	.....	.....	1,117
4190 Outlays, net (total) .....	.....	.....	724

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Adjustments for year-to-year comparability)

Program and Financing (in millions of dollars)

Identification code 069-0125-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	-1,140	.....	.....
Appropriations, mandatory:			
1200 Appropriation .....	1,140	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-1,140	.....	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	-835	.....	.....
4011 Outlays from discretionary balances .....	-164	.....	.....
4020 Outlays, gross (total) .....	-999	.....	.....
Mandatory:			
4090 Budget authority, gross .....	1,140	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	835	.....	.....
4101 Outlays from mandatory balances .....	164	.....	.....
4110 Outlays, gross (total) .....	999	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs

into the Transportation Trust Fund. This schedule reclassifies 2015 actual budget authority and outlays as mandatory for comparability purposes.

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-0125-4-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			-1,117
1930			-1,117
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			-1,117
Unexpired unobligated balance, end of year			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			724
Outlays (gross)			
3050			724
Unpaid obligations, end of year			
Memorandum (non-add) entries:			
3200			724
Obligated balance, end of year			
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			-1,117
Budget authority, gross			
Outlays, gross:			
4100			-724
Outlays from new mandatory authority			
4180			-1,117
Budget authority, net (total)			
4190			-724
Outlays, net (total)			

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

EMERGENCY RAILROAD REHABILITATION AND REPAIR

Program and Financing (in millions of dollars)

Identification code 069-0124-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	2		
Emergency Railroad Rehabilitation and Repair			
0900	2		
Total new obligations (object class 41.0)			
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	2		
Unobligated balance brought forward, Oct 1			
1930	2		
Total budgetary resources available			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	2		
Obligations incurred, unexpired accounts			
3020	-2		
Outlays (gross)			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	2		
Outlays from discretionary balances			
4180			
Budget authority, net (total)			
4190	2		
Outlays, net (total)			

Funding for this program was provided in a supplemental appropriation in 2008. This program provides discretionary grants to States to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and other natural disasters in areas for which the President declared a major disaster under title IV of the Robert T. Stafford Disaster

Relief and Emergency Assistance Act of 1974. No new funding is requested in 2017 for this program.

INTERCITY PASSENGER RAIL GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0715-0-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	19	20	20
Unobligated balance brought forward, Oct 1			
1021	1		
Recoveries of prior year unpaid obligations			
1050	20	20	20
Unobligated balance (total)			
1930	20	20	20
Total budgetary resources available			
Memorandum (non-add) entries:			
1941	20	20	20
Unexpired unobligated balance, end of year			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	40	26	12
Unpaid obligations, brought forward, Oct 1			
3020	-13	-14	-10
Outlays (gross)			
3040	-1		
Recoveries of prior year unpaid obligations, unexpired			
3050	26	12	2
Unpaid obligations, end of year			
Memorandum (non-add) entries:			
3100	40	26	12
Obligated balance, start of year			
3200	26	12	2
Obligated balance, end of year			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	13	14	10
Outlays from discretionary balances			
4180			
Budget authority, net (total)			
4190	13	14	10
Outlays, net (total)			

This competitive grant program encourages state participation in passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under 23 U.S.C. 135. Additionally, the specific project must be on the Statewide Transportation Improvement Plan at the time of application.

No new funds are requested for this program in 2017.

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE

Program and Financing (in millions of dollars)

Identification code 069-0719-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003			58
Capital Assistance High-Speed Rail Corridors and IPR Service Grants			
0004	5	4	3
Capital Assistance High-Speed Rail Corridors and IPR Service Oversight			
0900	5	4	61
Total new obligations			
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	68	65	61
Unobligated balance brought forward, Oct 1			
1021	2		10
Recoveries of prior year unpaid obligations			
1050	70	65	71
Unobligated balance (total)			
1930	70	65	71
Total budgetary resources available			
Memorandum (non-add) entries:			
1941	65	61	10
Unexpired unobligated balance, end of year			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	7,324	6,211	4,150
Unpaid obligations, brought forward, Oct 1			
3010	5	4	61
Obligations incurred, unexpired accounts			

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE—Continued

Program and Financing—Continued

Identification code 069-0719-0-1-401	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-1,096	-2,065	-3,052
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		-10
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	6,211	4,150	1,149
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7,324	6,211	4,150
3200 Obligated balance, end of year .....	6,211	4,150	1,149
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1,096	2,065	3,052
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1,096	2,065	3,052

Through this program, FRA provides capital grants to States to invest and improve intercity passenger rail service, including the development of new high-speed rail capacity. Activity in this account includes the \$8 billion provided by the American Recovery and Reinvestment Act of 2009 and an additional \$2.1 billion provided in subsequent enacted appropriations. No funds are requested in this account for 2017.

Object Classification (in millions of dollars)

Identification code 069-0719-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	1		
25.1 Advisory and assistance services .....	4	4	3
41.0 Grants, subsidies, and contributions .....			58
99.9 Total new obligations .....	5	4	61

Employment Summary

Identification code 069-0719-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

NEXT GENERATION HIGH-SPEED RAIL

Program and Financing (in millions of dollars)

Identification code 069-0722-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0003 Next Generation High-Speed Rail .....		5	
0900 Total new obligations (object class 41.0) .....		5	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	10	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	10	10	
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....		-5	
1930 Total budgetary resources available .....	10	5	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	5
3010 Obligations incurred, unexpired accounts .....		5	
3020 Outlays (gross) .....		-1	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	5

3200 Obligated balance, end of year .....	1	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-5	
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4180 Budget authority, net (total) .....		-5	
4190 Outlays, net (total) .....		1	

The Next Generation High-Speed Rail Program funds research, development, technology demonstration programs, and the planning and analysis required to evaluate high speed rail technology proposals. No new funds are requested for this program in 2017.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0123-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Northeast Corridor Improvement Program .....	1	5	14
0900 Total new obligations (object class 41.0) .....	1	5	14
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		19	
1930 Total budgetary resources available .....	1	19	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		14	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	1	5	14
3020 Outlays (gross) .....		-5	-10
3050 Unpaid obligations, end of year .....	1	1	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		19	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		5	
4011 Outlays from discretionary balances .....			10
4020 Outlays, gross (total) .....		5	10
4180 Budget authority, net (total) .....		19	
4190 Outlays, net (total) .....		5	10

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....		19	
Outlays .....		5	10
Amounts included in the adjusted baseline:			
Budget Authority .....			19
Outlays .....			5
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-19
Outlays .....			-5
Total:			
Budget Authority .....		19	
Outlays .....		5	10

This program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, District of Columbia, and Boston, Massachusetts. Since 2001, capital funding has been provided in the National Railroad Passenger Corporation (Amtrak) appropriation. For 2016, \$19 million was provided for grants to Amtrak for shared use infra-

structure on the Northeast Corridor identified in the Northeast Corridor Operations Advisory Commission's 5 year capital plan. No funds are requested for this account in 2017. The Administration is proposing funding for these programs within the multi-year clean transportation plan proposal. As part of that proposal, programs currently administered from this account would be continued in a new Current Passenger Rail Account account that would be funded from the Rail Account of the Transportation Trust Fund.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 069-0123-7-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100		-19	
Appropriations, mandatory:			
1200		19	19
1900			19
1930			19
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			-5
3050			-5
Memorandum (non-add) entries:			
3200			-5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000		-19	
Outlays, gross:			
4010		-5	
4011		-10	
4020		-5	-10
Mandatory:			
4090		19	19
Outlays, gross:			
4100		5	5
4101		10	10
4110		5	15
4180			19
4190			5

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-0123-4-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			-19
1930			-19
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			-19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			5
3050			5

Memorandum (non-add) entries:			
3200	Obligated balance, end of year		5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross		-19
Outlays, gross:			
4100	Outlays from new mandatory authority		-5
4180	Budget authority, net (total)		-19
4190	Outlays, net (total)		-5

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0716-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Rail line relocation	3	3	3
0900	Total new obligations (object class 41.0)	3	3	3
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	11	10	5
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	13	10	5
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced		-2	
1930	Total budgetary resources available	13	8	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	10	5	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	32	19	12
3010	Obligations incurred, unexpired accounts	3	3	3
3020	Outlays (gross)	-14	-10	-7
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3050	Unpaid obligations, end of year	19	12	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	32	19	12
3200	Obligated balance, end of year	19	12	8
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross		-2	
Outlays, gross:				
4011	Outlays from discretionary balances	14	10	7
4180	Budget authority, net (total)		-2	
4190	Outlays, net (total)	14	10	7

This program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. No new funds are requested for this program in 2017.

RAIL SAFETY TECHNOLOGY PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0701-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity	1		
0900	Total new obligations (object class 41.0)	1		

RAIL SAFETY TECHNOLOGY PROGRAM—Continued  
Program and Financing—Continued

Identification code 069-0701-0-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	8	3
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-4	-5	-3
3050 Unpaid obligations, end of year .....	8	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	8	3
3200 Obligated balance, end of year .....	8	3	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	4	5	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	4	5	3

The Railroad Safety Technology Program is a competitive grant program for the deployment of train control technologies to passenger and freight rail carriers, railroad suppliers, and State and local governments. Projects may include the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new technologies that improve the safety of railroad systems.

FRA has given priority to projects that make technologies interoperable between railroad systems; accelerate the deployment of train control technology on high risk corridors, such as those that have high volumes of hazardous materials shipments, or over which commuter or passenger trains operate; or benefit both passenger and freight safety and efficiency.

No new funds are requested in this account for fiscal year 2017.

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

The Secretary of Transportation is authorized to issue direct loans and loan guarantees pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, such authority to exist as long as any such direct loan or loan guarantee is outstanding. *Provided*, That pursuant to section 502 of such Act, as amended, no new direct loans or loan guarantee commitments shall be made using Federal funds for the credit risk premium during fiscal year 2016. (Department of Transportation Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 069-0750-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	4		
0706 Interest on reestimates of direct loan subsidy .....	27		
0709 Administrative expenses .....		2	
0791 Direct program activities, subtotal .....	31	2	
0900 Total new obligations .....	31	2	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		2	
Appropriations, mandatory:			
1200 Appropriation .....	31		
1900 Budget authority (total) .....	31	2	

1930 Total budgetary resources available .....	31	2	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	31	2	
3020 Outlays (gross) .....	-31	-1	-1
3050 Unpaid obligations, end of year .....		1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		2	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	
4011 Outlays from discretionary balances .....			1
4020 Outlays, gross (total) .....		1	1
Mandatory:			
4090 Budget authority, gross .....	31		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	31		
4180 Budget authority, net (total) .....	31	2	
4190 Outlays, net (total) .....	31	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0750-0-1-401	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Railroad Rehabilitation and Improvement Financing Direct Loans .....	982	600	600
Direct loan subsidy (in percent):			
132001 Railroad Rehabilitation and Improvement Financing Direct Loans .....	-2.09	0.00	0.00
132999 Weighted average subsidy rate .....	-2.09	0.00	0.00
Direct loan subsidy budget authority:			
133001 Railroad Rehabilitation and Improvement Financing Direct Loans .....	-21		
Direct loan reestimates:			
135001 Railroad Rehabilitation and Improvement Financing Direct Loans .....	-27	-8	

The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing loan and loan guarantee program. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, changed the program to allow FRA to issue direct loan and loan guarantees up to \$35,000,000,000, and it required that no less than \$7,000,000,000 be reserved for projects primarily benefiting freight railroads other than Class I carriers. The program was expanded by the Rail Safety Improvement Act of 2008 and again by the Fixing America's Surface Transportation Act in 2015. The funding may be used: (1) to acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; (2) to refinance debt; or (3) to develop and establish new intermodal or railroad facilities, (4) to reimburse related planning and design expenses; (5) and to finance (by December 2019) certain economic development related to passenger rail stations. For 2016, \$1.96 million was made available to assist Class II and Class III railroads to applicant expenses in preparing to apply and applying for direct loans and loan guarantees.

Object Classification (in millions of dollars)

Identification code 069-0750-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....		2	
43.0 Interest and dividends .....	31		
99.9 Total new obligations .....	31	2	

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4420-0-3-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations	982	600	600
0713	Payment of interest to Treasury	38	38	38
0740	Negative subsidy obligations	20		
0742	Downward reestimate paid to receipt account	50	7	
0743	Interest on downward reestimates	8	1	
0900	Total new obligations	1,098	646	638
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	7	21	
1050	Unobligated balance (total)	7	21	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	1,058	600	600
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (interest on uninvested funds)	3	3	3
1800	Offsetting collections (principal-borrowers)	26	60	60
1800	Offsetting collections (upward reestimate)	31		
1800	Offsetting collections (interest-borrowers)	31	27	27
1800	Collected	4	10	10
1825	Spending authority from offsetting collections applied to repay debt	-41	-75	-62
1850	Spending auth from offsetting collections, mand (total)	54	25	38
1900	Budget authority (total)	1,112	625	638
1930	Total budgetary resources available	1,119	646	638
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	21		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	262	1,162	1,172
3010	Obligations incurred, unexpired accounts	1,098	646	638
3020	Outlays (gross)	-198	-636	-636
3050	Unpaid obligations, end of year	1,162	1,172	1,174
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	262	1,162	1,172
3200	Obligated balance, end of year	1,162	1,172	1,174
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross	1,112	625	638
Financing disbursements:				
4110	Outlays, gross (total)	198	636	636
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-31		
4122	Interest on uninvested funds	-3	-3	-3
4123	Credit Risk Premium	-4	-10	-10
4123	Principal Repayment	-26	-60	-60
4123	Interest Repayment	-31	-27	-27
4130	Offsets against gross budget authority and outlays (total)	-95	-100	-100
4160	Budget authority, net (mandatory)	1,017	525	538
4170	Outlays, net (mandatory)	103	536	536
4180	Budget authority, net (total)	1,017	525	538
4190	Outlays, net (total)	103	536	536

Status of Direct Loans (in millions of dollars)

Identification code 069-4420-0-3-401	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	982	600	600
1150	Total direct loan obligations	982	600	600
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	890	967	1,478
1231	Disbursements: Direct loan disbursements	102	598	598
1251	Repayments: Repayments and prepayments	-25	-60	-60
1263	Write-offs for default: Direct loans		-27	-1
1290	Outstanding, end of year	967	1,478	2,015

Balance Sheet (in millions of dollars)

Identification code 069-4420-0-3-401	2014 actual	2015 actual	
<b>ASSETS:</b>			
1401	Net value of assets related to post-1991 direct loans receivable:	890	967
	Direct loans receivable, gross		
1999	Total assets	890	967
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other	890	967
4999	Total liabilities and net position	890	967

Trust Funds

CURRENT PASSENGER RAIL SERVICE  
(Legislative proposal, not subject to PAYGO)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Contingent upon enactment of multi-year clean transportation plan authorization legislation, funds available for the Current Passenger Rail Service Program authorized under title 49, United States Code, shall not exceed total obligations of \$2,300,000,000, to remain available until expended: Provided, That the Secretary may retain up to one-half of one percent of the funds limited under this heading to fund program administration and oversight.

CURRENT PASSENGER RAIL SERVICE

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

Contingent upon enactment of multi-year clean transportation plan authorization legislation, \$2,300,000,000 to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Current Passenger Rail Service Program authorized under title 49, United States Code.

CURRENT PASSENGER RAIL SERVICE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-8320-4-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Current Passenger Rail Service		2,288
0002	Program Oversight		12
0900	Total new obligations		2,300
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund)		2,300
1137	Appropriations applied to liquidate contract authority		-2,300
Contract authority, mandatory:			
1600	Contract authority		2,300
1900	Budget authority (total)		2,300
1930	Total budgetary resources available		2,300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts		2,300
3020	Outlays (gross)		-1,219
3050	Unpaid obligations, end of year		1,081
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		1,081
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross		2,300
Outlays, gross:			
4100	Outlays from new mandatory authority		1,219
4180	Budget authority, net (total)		2,300
4190	Outlays, net (total)		1,219
<b>Memorandum (non-add) entries:</b>			
5061	Limitation on obligations (Transportation Trust Funds)		2,300

CURRENT PASSENGER RAIL SERVICE—Continued

The 2017 budget presents the Federal Railroad Administration (FRA) proposed reauthorization program account structure, including the creation of a new Current Passenger Rail Service account. The Administration proposes to fund this account from the Rail Account of the Transportation Trust Fund (TTF). Through the Current Passenger Rail Service program, FRA will make grants according to the authorities provided in the Fixing America's Surface Transportation (FAST) Act, specifically FAST Act Sections 11101 and 11103. Section 11101 authorizes Grants to Amtrak including grants for the Northeast Corridor and the National Network, which includes Amtrak's state-supported routes, long-distance routes, and other Amtrak costs not allocated to the Northeast Corridor. Section 11103 authorizes FRA to make grants under the Federal State Partnership for State of Good Repair program to bring publicly-owned or Amtrak-owned infrastructure, equipment, and facilities into a state of good repair. The 2017 Budget includes \$1.9 million for Grants to Amtrak and \$400 million for Federal State Partnership for State of Good Repair.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of the multi-year clean transportation plan proposal. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

Object Classification (in millions of dollars)

Identification code 069-8320-4-7-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
25.1 Advisory and assistance services .....			11
41.0 Grants, subsidies, and contributions .....			2,288
99.9 Total new obligations .....			2,300

Employment Summary

Identification code 069-8320-4-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			7

ADMINISTRATIVE PROVISIONS

(INCLUDING RESCISSIONS) CANCELLATIONS

SEC. 150. The Secretary of Transportation may receive and expend cash, or receive and utilize spare parts and similar items, from non-United States Government sources to repair damages to or replace United States Government owned automated track inspection cars and equipment as a result of third-party liability for such damages, and any amounts collected under this section shall be credited directly to the Safety and Operations account of the Federal Railroad Administration, and shall remain available until expended for the repair, operation and maintenance of automated track inspection cars and equipment in connection with the automated track inspection program.

SEC. 151. None of the funds provided to the National Railroad Passenger Corporation may be used to fund any overtime costs in excess of \$35,000 for any individual employee: *Provided*, That the President of Amtrak may waive the cap set in the previous proviso for specific employees when the President of Amtrak determines such a cap poses a risk to the safety and operational efficiency of the system: *Provided further*, That the President of Amtrak shall report to the House and Senate Committees on Appropriations each quarter of the calendar year on waivers granted to employees and amounts paid above the cap for each month within such quarter and delineate the reasons each waiver was granted: *Provided further*, That the President of Amtrak shall report to the House and Senate Committees on Appropriations by March 1, 2017, a summary of all overtime payments incurred by the Corporation for 2015, 2016 and the three prior calendar years: *Provided further*, That such summary shall include the total number of employees that received waivers and the total overtime payments the Corporation paid to those employees receiving waivers for each month for 2015, 2016 and for the three prior calendar years.

SEC. 152. Of the unobligated balances of funds available to the Federal Railroad Administration from the "Railroad Research and Development" account, \$1,960,000 is permanently rescinded: *Provided*, That such amounts are made available to enable the Secretary of Transportation to assist Class II and Class III railroads with eligible projects pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended: *Provided further*, That such funds shall be available for applicant expenses in preparing to apply and applying for direct loans and loan guarantees: *Provided further*, That these funds shall remain available until expended.

SEC. 153. Of the unobligated balances of funds available to the Federal Railroad Administration, the following funds are hereby rescinded: \$5,000,000 of the unobligated balances of funds made available to fund expenses associated with implementing section 212 of division B of Public Law 110-432 in the Capital and Debt Service Grants to the National Railroad Passenger Corporation account of the Consolidated and Further Continuing Appropriations Act, 2015; and \$14,163,385 of the unobligated balances of funds made available from the following accounts in the specified amounts—"Grants to the National Railroad Passenger Corporation", \$267,019; "Next Generation High-Speed Rail", \$4,944,504; "Rail Line Relocation and Improvement Program", \$2,241,385; and "Safety and Operations", \$6,710,477: *Provided*, That such amounts are made available to enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation as authorized by section 101(c) of the Passenger Rail Investment and Improvement Act of 2008 (division B of Public Law 110-432) for state-of-good-repair backlog and infrastructure improvements on Northeast Corridor shared-use infrastructure identified in the Northeast Corridor Infrastructure and Operations Advisory Commission's approved 5-year capital plan: *Provided further*, That these funds shall remain available until expended and shall be available for grants in an amount not to exceed 50 percent of the total project cost, with the required matching funds to be provided consistent with the Commission's cost allocation policy.

SEC. 151. *Notwithstanding any other provision of law, including specifically, but not limited to, section 1302 of title 40, United States Code, the Federal Railroad Administration shall have authority to enter into contracts, leases, agreements, other transactions, and to commit to obligations in connection with third-party contracts or agreements, with any entity, for whatever term and under such conditions as the Secretary of Transportation determines to be in the best interests of the Government, for the operation and maintenance of the Transportation Technology Center near Pueblo, Colorado, and to enable the use of the property at the Transportation Technology Center. (Department of Transportation Appropriations Act, 2016.)*

FEDERAL TRANSIT ADMINISTRATION

The Federal Transit Administration (FTA) provides grant funding to State and local governments, public and private transit operators and other recipients to enhance public transportation across the United States. FTA programs fund the construction of new public transit systems, purchase and maintain transit vehicles and equipment, subsidize limited public transit operations, support regional transportation planning efforts, and improve technology and service methods critical to the delivery of public transportation. In 2015, a new five year surface transportation authorization law was enacted—Fixing America's Surface Transportation Act or the FAST Act. The FAST Act provides steady and predictable funding for five years and a renewed focus on reinvesting in and modernizing transit assets to help bring transit systems throughout the country into a state of good repair.

FTA's budget proposal builds on the successes of the previous authorization of MAP-21 and includes new features in the FAST Act. The account structure is generally comparable to FTA's funding under MAP-21, except where Congress consolidated programs in other accounts and moved them into the Transit Formula Grants account. The Administration proposes \$19.9 billion for FTA in 2017. This proposal includes \$9.7 billion to support FTA's base formula programs that provide assistance to transit agencies in both urban and rural areas, with an additional investment in programs improving the state of good repair of rail transit and recapitalizing bus and bus facilities through a new discretionary grant program. The budget request also includes funding to help finance the installation of positive train control systems for eligible transit providers. The Administration proposes \$ 3.5 billion in new budget authority for Capital Investment Grants, to support new fixed guideway investments (New Starts and Small Starts) as well as

projects aimed at improving or restoring the core capacity of existing fixed guideway systems. Within the total, the Budget proposes \$6.4 billion in resources for FTA programs in the 21st Century Clean Transportation Plan Investments account.

The Administration's proposal demonstrates a strong commitment to build on the strengths of MAP-21 to improve the condition and safety of transit while enhancing economic opportunities in communities and the quality of life for all Americans. The table below presents actual funding enacted for 2015 and 2016 and the requested 2017 funding. Additional detail is provided in the program budget schedules that follow.

[In millions of dollars]			
	2015 Actual	2016 Enacted	2017 Request
<b>Budget Authority:</b>			
Transit Formula Grants (TF) .....	8,595	8,595	9,734
Capital Investment Grants (TF) .....	2,120	2,177	3,500
Administrative Expenses (TF) .....	106	108	115
Transit Research (Reclassified) (GF) .....	33	33	0
Technical Assistance and Training (GF) .....	5	5	0
Washington Metropolitan Area Transit Authority (GF) .....	150	150	150
<b>Total Budget Authority .....</b>	<b>11,009</b>	<b>11,783</b>	<b>13,499</b>
<b>Total Discretionary .....</b>	<b>2,414</b>	<b>2,435</b>	<b>150</b>
<b>Total Mandatory .....</b>	<b>8,595</b>	<b>8,595</b>	<b>13,349</b>

Note: Totals may not add due to rounding, and amounts do not include transfers with the Federal Highway Administration.

**Federal Funds**

ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, \$108,000,000, of which not more than \$6,500,000 shall be available to carry out the provisions of 49 U.S.C. 5329 and not less than \$1,000,000 shall be available to carry out the provisions of 49 U.S.C. 5326: *Provided*, That none of the funds provided or limited in this Act may be used to create a permanent office of transit security under this heading: *Provided further*, That upon submission to the Congress of the fiscal year 2017 President's budget, the Secretary of Transportation shall transmit to Congress the annual report on New Starts, including proposed allocations for fiscal year 2017. (Department of Transportation Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-1120-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	100	100	.....
0002 Transit Safety Oversight .....	5	7	.....
0003 Transit Asset Management .....	1	1	.....
0900 Total new obligations .....	106	108	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	106	108	.....
1900 Budget authority (total) .....	106	108	.....
1930 Total budgetary resources available .....	106	108	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	13	11
3010 Obligations incurred, unexpired accounts .....	106	108	.....
3011 Obligations incurred, expired accounts .....	.....	2	2
3020 Outlays (gross) .....	-106	-110	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	13	11	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	13	11
3200 Obligated balance, end of year .....	13	11	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	106	108	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	96	103	.....

4011	Outlays from discretionary balances .....	10	7	5
4020	Outlays, gross (total) .....	106	110	5
4180	Budget authority, net (total) .....	106	108	.....
4190	Outlays, net (total) .....	106	110	5

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	106	108	.....
Outlays .....	106	110	5
<b>Amounts included in the adjusted baseline:</b>			
Budget Authority .....	.....	.....	112
Outlays .....	.....	.....	106
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-112
Outlays .....	.....	.....	-106
<b>Total:</b>			
Budget Authority .....	106	108	.....
Outlays .....	106	110	5

The Federal Transit Administration's (FTA) Administrative Expenses appropriation provides resources for salaries, benefits, and administrative expenses for 560 full-time equivalents employees (FTEs) to carry out the Agency's stewardship of over \$19.9 billion in Federal funds. Priorities for the 2017 Administrative Expenses appropriation include enhancement of the Office of Safety and Oversight's workforce to strengthen and expand the framework of the robust State Safety Oversight Program and Safety for all modes of transit, including Accident Investigation Oversight; the implementation of the FAST Act to include required rulemakings, policy updates, and strategic planning; the provision of technical assistance to grantees during project development and program implementation; Capital Project Management Oversight and grantee compliance; and support for Transit Asset Management activities, which includes developing objective standards to measure capital asset condition and collecting data on the asset condition of the FTA grantees. No funds are requested in this account for 2017. The Administration is proposing funding for these programs within the multi-year clean transportation plan proposal. As part of that proposal, programs currently administered from this account will be continued in a new Administrative Expenses account that will be funded from the Mass Transit Account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 069-1120-0-1-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	54	57	.....
11.3 Other than full-time permanent .....	1	1	.....
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	56	59	.....
12.1 Civilian personnel benefits .....	17	17	.....
21.0 Travel and transportation of persons .....	2	2	.....
23.1 Rental payments to GSA .....	7	8	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services from non-Federal sources .....	1	1	.....
25.3 Other goods and services from Federal sources .....	18	16	.....
25.4 Operation and maintenance of facilities .....	1	1	.....
25.7 Operation and maintenance of equipment .....	2	2	.....
31.0 Equipment .....	1	1	.....
99.9 Total new obligations .....	106	108	.....

ADMINISTRATIVE EXPENSES—Continued  
Employment Summary

Identification code 069-1120-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	512	521	.....

ADMINISTRATIVE EXPENSES  
(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 069-1120-7-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....			104
0002 Transit safety oversight .....			7
0003 Transit asset management .....			1
0900 Total new obligations .....			112
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		-108	.....
Appropriations, mandatory:			
1200 Appropriation .....		108	112
1900 Budget authority (total) .....			112
1930 Total budgetary resources available .....			112
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			112
3020 Outlays (gross) .....			-106
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		-108	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-103	.....
4011 Outlays from discretionary balances .....		-7	-5
4020 Outlays, gross (total) .....		-110	-5
Mandatory:			
4090 Budget authority, gross .....		108	112
Outlays, gross:			
4100 Outlays from new mandatory authority .....		103	106
4101 Outlays from mandatory balances .....		7	5
4110 Outlays, gross (total) .....		110	111
4180 Budget authority, net (total) .....			112
4190 Outlays, net (total) .....			106

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO.

Object Classification (in millions of dollars)

Identification code 069-1120-7-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			62
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			64
12.1 Civilian personnel benefits .....			17
21.0 Travel and transportation of persons .....			2
23.1 Rental payments to GSA .....			9
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			16
25.4 Operation and maintenance of facilities .....			1
25.7 Operation and maintenance of equipment .....			1
31.0 Equipment .....			1

99.9 Total new obligations .....			112
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ADMINISTRATIVE EXPENSES  
(Adjustments for year-to-year comparability)

Program and Financing (in millions of dollars)

Identification code 069-1120-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		-106	.....
Appropriations, mandatory:			
1200 Appropriation .....		106	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-106	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-96	.....
4011 Outlays from discretionary balances .....		-10	.....
4020 Outlays, gross (total) .....		-106	.....
Mandatory:			
4090 Budget authority, gross .....		106	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....		96	.....
4101 Outlays from mandatory balances .....		10	.....
4110 Outlays, gross (total) .....		106	.....
4180 Budget authority, net (total) .....			.....
4190 Outlays, net (total) .....			.....

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2015 actual budget authority and outlays as mandatory for comparability purposes.

ADMINISTRATIVE EXPENSES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-1120-4-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....			-104
0002 Transit safety oversight .....			-7
0003 Transit asset management .....			-1
0900 Total new obligations .....			-112
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-112
1930 Total budgetary resources available .....			-112
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-112
3020 Outlays (gross) .....			106
3050 Unpaid obligations, end of year .....			-6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-6

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-112
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-106
4180 Budget authority, net (total) .....			-112
4190 Outlays, net (total) .....			-106

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that

the proposal properly accounts for requested program growth in the new trust fund accounts.

**Object Classification** (in millions of dollars)

Identification code 069-1120-4-1-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			-62
11.3 Other than full-time permanent .....			-1
11.5 Other personnel compensation .....			-1
11.9 Total personnel compensation .....			-64
12.1 Civilian personnel benefits .....			-17
21.0 Travel and transportation of persons .....			-2
23.1 Rental payments to GSA .....			-9
25.2 Other services from non-Federal sources .....			-1
25.3 Other goods and services from Federal sources .....			-16
25.4 Operation and maintenance of facilities .....			-1
25.7 Operation and maintenance of equipment .....			-1
31.0 Equipment .....			-1
99.9 Total new obligations .....			-112

**JOB ACCESS AND REVERSE COMMUTE GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 069-1125-0-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1050 Unobligated balance (total) .....	1	1	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....		-1	
1930 Total budgetary resources available .....	1		
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	
3020 Outlays (gross) .....	-1	-3	
3050 Unpaid obligations, end of year .....	3		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....		-1	
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1	3	
4180 Budget authority, net (total) .....		-1	
4190 Outlays, net (total) .....	1	3	

Activities have not been funded in the Job Access and Reverse Commute Grants account since 2005. In 2016, the unobligated balance remaining in this account was permanently rescinded. Urbanized Area formula grants may be used to support job access and reverse commute projects in 2017.

**JOB ACCESS AND REVERSE COMMUTE GRANTS**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 069-1125-7-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1131 Unobligated balance of appropriations permanently reduced .....		1	
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		-1	

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....		1	
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....		-3	
<b>Mandatory:</b>			
4090 Budget authority, gross .....		-1	
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....		3	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Administration proposes to reclassify all surface transportation outlays as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO.

**JOB ACCESS AND REVERSE COMMUTE GRANTS**

(Adjustments for year-to-year comparability)

**Program and Financing** (in millions of dollars)

Identification code 069-1125-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....		-1	
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....		1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current general Fund programs into the Transportation Trust Fund. This schedule reclassifies 2015 actual budget authority and outlays as mandatory for comparability purposes.

**GRANTS TO WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY**

For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110-432, \$150,000,000, to remain available until expended: *Provided*, That the Secretary of Transportation shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: *Provided further*, That prior to approving such grants, the Secretary shall certify that the Washington Metropolitan Area Transit Authority is making progress to improve its safety management system in response to the Federal Transit Administration's 2015 safety management inspection: *Provided further*, That prior to approving such grants, the Secretary shall certify that the Washington Metropolitan Area Transit Authority is making progress toward full implementation of the corrective actions identified in the 2014 Financial Management Oversight Review Report: *Provided further*, That the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system before approving such grants: *Provided further*, That the Secretary, in order to ensure safety throughout the rail system, may waive the requirements of section 601(e)(1) of [title VI] *Division B* of Public Law 110-432 (112 Stat. 4968). (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-1128-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Washington Metropolitan Area Transit Authority .....	282	150	150
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	150	18	18
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	150	150	150

GRANTS TO WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY—Continued  
Program and Financing—Continued

Identification code 069-1128-0-1-401	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....	300	168	168
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	18	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	169	353	319
3010 Obligations incurred, unexpired accounts .....	282	150	150
3020 Outlays (gross) .....	-98	-184	-154
3050 Unpaid obligations, end of year .....	353	319	315
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	169	353	319
3200 Obligated balance, end of year .....	353	319	315
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	150	150	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....		38	38
4011 Outlays from discretionary balances .....	98	146	116
4020 Outlays, gross (total) .....	98	184	154
4180 Budget authority, net (total) .....	150	150	150
4190 Outlays, net (total) .....	98	184	154

The Federal Rail Safety Improvements Act, 2008, (P.L. 110-432, Title VI, Sec. 601), provided authorization for capital and preventive maintenance projects for the Washington Metropolitan Area Transit Authority (WMATA). Funding will help WMATA address its reinvestment and maintenance backlog to improve the safety and reliability of service and to expand existing system capacity to meet growing demand. The Secretary of Transportation shall approve grants for Capital and preventive maintenance expenditures for WMATA only after receiving and reviewing a request for each specific project. The Secretary shall certify that the Washington Metropolitan Area Transit Authority is making progress to improve its safety management system in response to the Federal Transit Administration's 2015 safety management inspection prior to approving grants. The Secretary shall certify that WMATA is making progress toward full implementation of the corrective actions identified in the 2014 Financial Management Oversight Review Report. The Secretary shall determine that WMATA has placed the highest priority on those investments that will improve the safety of the system before approving such grants. The Secretary in order to ensure safety throughout the rail system, may waive the requirements of section 601(e) (1) of title VI of Public Law 110-432 (112 Stat. 4968).

Object Classification (in millions of dollars)

Identification code 069-1128-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1		
41.0 Grants, subsidies, and contributions .....	281	150	150
99.9 Total new obligations .....	282	150	150

FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1129-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004 Other Programs .....	1		
0900 Total new obligations (object class 41.0) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	44	44

1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	43	44	44
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1900 Budget authority (total) .....	2		
1930 Total budgetary resources available .....	45	44	44
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	44	44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	184	128	
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-45	-128	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3050 Unpaid obligations, end of year .....	128		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	182	126	-2
3200 Obligated balance, end of year .....	126	-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2		
Outlays, gross:			
4011 Outlays from discretionary balances .....	45	128	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ....	-2		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	43	128	

This schedule shows the obligation and outlay of formula grant program funding made available in fiscal years prior to 2006. In 2017, funds requested for transit formula grant programs are included in the Transit Formula Grants account and funded exclusively by the Mass Transit Account of the Transportation Trust Fund.

GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS REDUCTIONS

Program and Financing (in millions of dollars)

Identification code 069-1131-0-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	62	47	
3020 Outlays (gross) .....	-14	-47	
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	47		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	62	47	
3200 Obligated balance, end of year .....	47		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	14	47	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	14	47	

Initiated within the American Recovery & Reinvestment Act (ARRA) of 2009, this program provided grants to public transit agencies for capital investments to reduce the energy consumption or greenhouse gas emissions of their public transportation operations. Activities have not been funded in this account since 2011. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2012. In 2017, projects to increase energy efficiency and decrease greenhouse

gas emissions can be funded with Urbanized Area Formula grants and Rural Area Formula grants.

**CAPITAL INVESTMENT GRANTS**

For necessary expenses to carry out 49 U.S.C. 5309, \$2,177,000,000, to remain available until expended. (Department of the Treasury Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 069-1134-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Capital investment grants .....	1,408	1,861	818
0003 Lower Manhattan recovery FTA Direct P.L. 107-206 .....	2	2	10
0799 Total direct obligations .....	1,410	1,863	828
0801 LMRO FTA (36X4720039 43XA 43XT 43TR) .....	4		
0900 Total new obligations .....	1,414	1,863	828
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	969	1,564	1,854
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	979	1,564	1,854
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,120	2,177	
1131 Unobligated balance of appropriations permanently reduced .....	-121	-24	
1160 Appropriation, discretionary (total) .....	1,999	2,153	
1900 Budget authority (total) .....	1,999	2,153	
1930 Total budgetary resources available .....	2,978	3,717	1,854
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,564	1,854	1,026
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,850	3,015	3,098
3010 Obligations incurred, unexpired accounts .....	1,414	1,863	828
3020 Outlays (gross) .....	-2,239	-1,780	-1,329
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	3,015	3,098	2,597
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,850	3,015	3,098
3200 Obligated balance, end of year .....	3,015	3,098	2,597
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,999	2,153	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	526	624	
4011 Outlays from discretionary balances .....	1,713	1,156	1,329
4020 Outlays, gross (total) .....	2,239	1,780	1,329
4180 Budget authority, net (total) .....	1,999	2,153	
4190 Outlays, net (total) .....	2,239	1,780	1,329

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,999	2,153	
Outlays .....	2,239	1,780	1,329
Amounts included in the adjusted baseline:			
Budget Authority .....			2,192
Outlays .....			636
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-2,192
Outlays .....			-636
<b>Total:</b>			
Budget Authority .....	1,999	2,153	
Outlays .....	2,239	1,780	1,329

The Federal Transit Administration's (FTA) Capital Investment Grants program is designed to increase the capacity of local transit networks and to meet ridership demands in communities across the nation. These objectives of this program are accomplished by supporting the construction of

new fixed guideway systems or extensions to fixed guideways, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, ferries, and streetcar systems. FTA allocates resources to grantees through a multi-year, multi-step competitive process. Prior to funding, each project is required to obtain an acceptable rating under a set of statutorily defined criteria that examine project merit and local financial commitment. No funds are requested in this account for 2017. The Administration is proposing funding for these programs within the multi-year clean transportation plan proposal. As part of that proposal, programs currently administered from this account would be continued in a new Capital Investment Grants account that would be funded from the Mass Transit Account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 069-1134-0-1-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	40	40	40
41.0 Grants, subsidies, and contributions .....	1,370	1,823	788
99.0 Direct obligations .....	1,410	1,863	828
99.0 Reimbursable obligations .....	4		
99.9 Total new obligations .....	1,414	1,863	828

**Employment Summary**

Identification code 069-1134-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**CAPITAL INVESTMENT GRANTS**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 069-1134-7-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			1,915
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		-2,177	
1131 Unobligated balance of appropriations permanently reduced .....		24	
1160 Appropriation, discretionary (total) .....		-2,153	
Appropriations, mandatory:			
1200 Appropriation .....		2,153	2,192
1900 Budget authority (total) .....			2,192
1930 Total budgetary resources available .....			2,192
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			277
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,915
3020 Outlays (gross) .....			-636
3050 Unpaid obligations, end of year .....			1,279
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,279
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-2,153	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-624	
4011 Outlays from discretionary balances .....		-1,156	-1,329
4020 Outlays, gross (total) .....		-1,780	-1,329
Mandatory:			
4090 Budget authority, gross .....		2,153	2,192
Outlays, gross:			
4100 Outlays from new mandatory authority .....		624	636
4101 Outlays from mandatory balances .....		1,156	1,329
4110 Outlays, gross (total) .....		1,780	1,965

CAPITAL INVESTMENT GRANTS—Continued  
Program and Financing—Continued

Identification code 069-1134-7-1-401	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total)			2,192
4190 Outlays, net (total)			636

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2016 enacted and baseline budget authority and outlays as mandatory for comparability purposes; and, to calculate the spending increase above the baseline subject to PAYGO.

Object Classification (in millions of dollars)

Identification code 069-1134-7-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources			40
41.0 Grants, subsidies, and contributions			1,875
99.9 Total new obligations			1,915

CAPITAL INVESTMENT GRANTS  
(Adjustments for year-to-year comparability)

Program and Financing (in millions of dollars)

Identification code 069-1134-9-1-401	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	-2,120		
1131 Unobligated balance of appropriations permanently reduced	121		
1160 Appropriation, discretionary (total)	-1,999		
Appropriations, mandatory:			
1200 Appropriation	1,999		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	-1,999		
Outlays, gross:			
4010 Outlays from new discretionary authority	-526		
4011 Outlays from discretionary balances	-1,513		
4020 Outlays, gross (total)	-2,039		
Mandatory:			
4090 Budget authority, gross	1,999		
Outlays, gross:			
4100 Outlays from new mandatory authority	526		
4101 Outlays from mandatory balances	1,513		
4110 Outlays, gross (total)	2,039		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. This schedule reclassifies 2015 actual budget authority and outlays as mandatory for comparability purposes.

CAPITAL INVESTMENT GRANTS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-1134-4-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			-1,915
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-2,192
1930 Total budgetary resources available			-2,192

1941 Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year			-277
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-1,915
3020 Outlays (gross)			636
3050 Unpaid obligations, end of year			-1,279
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-1,279
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-2,192
Outlays, gross:			
4100 Outlays from new mandatory authority			-636
4180 Budget authority, net (total)			-2,192
4190 Outlays, net (total)			-636

The Administration proposes to reclassify outlays from this account as mandatory, and to also move a number of current General Fund programs into the Transportation Trust Fund. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

Object Classification (in millions of dollars)

Identification code 069-1134-4-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources			-40
41.0 Grants, subsidies, and contributions			-1,875
99.9 Total new obligations			-1,915

TRANSIT RESEARCH

Program and Financing (in millions of dollars)

Identification code 069-1137-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct Obligations	82	58	6
0801 Reimbursable Obligations	1	9	
0900 Total new obligations	83	67	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	157	73	6
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	158	73	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	33		
Spending authority from offsetting collections, discretionary:			
1700 Collected	2		
1701 Change in uncollected payments, Federal sources	-37		
1750 Spending auth from offsetting collections, disc (total)	-35		
1900 Budget authority (total)	-2		
1930 Total budgetary resources available	156	73	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	73	6	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	47	110	147
3010 Obligations incurred, unexpired accounts	83	67	6
3020 Outlays (gross)	-19	-30	-44
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	110	147	109
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-43	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired	37		
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	104	141

3200	Obligated balance, end of year .....	104	141	103
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	-2		
Outlays, gross:				
4011	Outlays from discretionary balances .....	19	30	44
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2		
4040	Offsets against gross budget authority and outlays (total) .....	-2		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	37		
4070	Budget authority, net (discretionary) .....	33		
4080	Outlays, net (discretionary) .....	17	30	44
4180	Budget authority, net (total) .....	33		
4190	Outlays, net (total) .....	17	30	44

Beginning in Fiscal Year 2016 activities of this account are carried out under the Transit Formula Grants account of the Transportation Trust Fund. The Federal Transit Administration research programs include discretionary grant support for the National Research Program, the Transit Cooperative Research Program, and Low to No Vehicle Emission's activities.

**Object Classification** (in millions of dollars)

Identification code 069-1137-0-1-401	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	2	1	1
41.0	Grants, subsidies, and contributions .....	80	57	5
99.0	Direct obligations .....	82	58	6
99.0	Reimbursable obligations .....	1	9	
99.9	Total new obligations .....	83	67	6

**PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-1140-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2) .....	2,240	1,494	1,494
0003	2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2 Administration and Oversight) .....		8	8
0900	Total new obligations .....	2,240	1,502	1,502
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7,766	5,558	4,056
1021	Recoveries of prior year unpaid obligations .....	32		
1050	Unobligated balance (total) .....	7,798	5,558	4,056
1930	Total budgetary resources available .....	7,798	5,558	4,056
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5,558	4,056	2,554
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,644	3,488	4,490
3010	Obligations incurred, unexpired accounts .....	2,240	1,502	1,502
3020	Outlays (gross) .....	-364	-500	-700
3040	Recoveries of prior year unpaid obligations, unexpired .....	-32		
3050	Unpaid obligations, end of year .....	3,488	4,490	5,292
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,644	3,488	4,490
3200	Obligated balance, end of year .....	3,488	4,490	5,292
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	364	500	700
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	364	500	700

The Public Transportation Emergency Relief Program helps transit agencies restore needed transportation services immediately following disaster events. Both capital and operating costs are eligible for funding following an emergency; however, this program does not replace the Federal Emergency Management Agency's capital assistance program. FTA administers the \$10.9 billion supplemental appropriation (adjusted to \$10.2 billion after sequestration and the transfer of funds to the Office of the Inspector General and the Federal Railroad Administration) provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2) following Hurricane Sandy through this account. The Hurricane Sandy funds are only available for emergency relief, recovery and resiliency projects in the areas impacted by Hurricane Sandy. No funds are requested in this account for 2017.

**Object Classification** (in millions of dollars)

Identification code 069-1140-0-1-401	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	3	3	3
11.3	Other than full-time permanent .....	1	2	2
11.9	Total personnel compensation .....	4	5	5
12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services from non-Federal sources .....	2	2	2
41.0	Grants, subsidies, and contributions .....	2,233	1,494	1,494
99.9	Total new obligations .....	2,240	1,502	1,502

**Employment Summary**

Identification code 069-1140-0-1-401	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	35	40	40

**TECHNICAL ASSISTANCE AND TRAINING**

**Program and Financing** (in millions of dollars)

Identification code 069-1142-0-1-401	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Technical Assistance and Standards Development .....	6		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	2	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4		
1930	Total budgetary resources available .....	8	2	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	6	3
3010	Obligations incurred, unexpired accounts .....	6		
3020	Outlays (gross) .....	-2	-3	-3
3050	Unpaid obligations, end of year .....	6	3	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	6	3
3200	Obligated balance, end of year .....	6	3	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Budget authority, gross .....				
4000	Budget authority, gross .....	4		
Outlays, gross:				
4011	Outlays from discretionary balances .....	2	3	3
4180	Budget authority, net (total) .....	4		
4190	Outlays, net (total) .....	2	3	3

Beginning in Fiscal Year 2016 activities under this account are carried out under the Transit Formula Grants account of the Transportation Trust Fund. The Technical Assistance and Standard Development program en-

TECHNICAL ASSISTANCE AND TRAINING—Continued

ables FTA to provide technical assistance to the public transportation industry and to develop standards for transit service provision, with an emphasis on improving access for all individuals and transportation equity. Through this program, FTA is able to assist grantees to more effectively and efficiently provide public transportation and administer Federal funding in compliance with the law.

**Object Classification** (in millions of dollars)

Identification code 069-1142-0-1-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.5 Technical Assistance, Standards Development and Training contracts .....	1		
41.0 Grants, subsidies, and contributions .....	5		
99.9 Total new obligations .....	6		

TRANSIT CAPITAL ASSISTANCE, RECOVERY ACT

**Program and Financing** (in millions of dollars)

Identification code 069-1101-0-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	157	4	
3020 Outlays (gross) .....	-142	-4	
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	157	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	142	4	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	142	4	

The American Recovery and Reinvestment Act of 2009 provided \$6.9 billion to fund transit capital assistance to create jobs to bolster the American economy. Transit capital assistance was provided through urbanized area formula grants, non-urbanized area formula grants, and discretionary Tribal Transit grants. Funds were used for eligible capital projects, preventive maintenance, and to purchase buses and rail rolling stock. Funds were also used for a new discretionary grant program, Transportation Investments in Greenhouse Gas and Energy Reduction, to increase the use of environmentally sustainable operations in the public transportation sector. This schedule shows the obligation and outlay of remaining amounts made available for administration and oversight of these formula apportionments and discretionary grant awards and the associated capital and preventive maintenance projects and vehicle procurements.

FIXED GUIDEWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

**Program and Financing** (in millions of dollars)

Identification code 069-1102-0-1-401	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	1	1
3020 Outlays (gross) .....	-14		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	14		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	14		

The American Recovery and Reinvestment Act of 2009 provided \$750 million to fund fixed guideway modernization grants to create jobs to bolster the American economy. The funds were apportioned consistent with the allocation formula authorized by SAFETEA-LU. Eligible capital projects included the purchase or rehabilitation of rail rolling stock and the construction or rehabilitation of transit guideway systems, passenger facilities, maintenance facilities and security systems.

**Trust Funds**

DISCRETIONARY GRANTS (TRANSPORTATION TRUST FUND, MASS TRANSIT ACCOUNT)

**Program and Financing** (in millions of dollars)

Identification code 069-8191-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Discretionary grants .....	9		
0900 Total new obligations (object class 41.0) .....	9		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	1	1
1050 Unobligated balance (total) .....	10	1	1
1930 Total budgetary resources available .....	10	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	6	
3010 Obligations incurred, unexpired accounts .....	9		
3020 Outlays (gross) .....	-5	-6	
3050 Unpaid obligations, end of year .....	6		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	6	
3200 Obligated balance, end of year .....	6		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	6	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5	6	
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY:			
Contract authority .....	38	38	38
5055 Fund balance in excess of liquidating requirements, EOY:			
Contract authority .....	38	38	38

In 2017, no additional liquidating cash is requested to pay previously incurred obligations in the Discretionary Grants account.

**CAPITAL INVESTMENT GRANTS**

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORITY)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Contingent upon enactment of multi-year clean transportation plan authorization legislation, for the payment of obligations incurred in carrying out 49 U.S.C. 5309, \$3,500,000,000, to be derived from the Mass Transit Account of the Transportation Trust Fund and to remain available until expended: Provided, That funds available

for the implementation or execution of activities authorized under 49 U.S.C. 5309 shall not exceed total obligations of \$3,500,000,000 in fiscal year 2017.

CAPITAL INVESTMENT GRANTS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-8543-4-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			1,875
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			3,500
1137 Appropriations applied to liquidate contract authority .....			-3,500
Contract authority, mandatory:			
1600 Contract authority .....			3,500
1900 Budget authority (total) .....			3,500
1930 Total budgetary resources available .....			3,500
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1,625
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,875
3020 Outlays (gross) .....			-1,015
3050 Unpaid obligations, end of year .....			860
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			860
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			3,500
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1,015
4180 Budget authority, net (total) .....			3,500
4190 Outlays, net (total) .....			1,015
<b>Memorandum (non-add) entries:</b>			
5061 Limitation on obligations (Transportation Trust Funds) .....			3,500

The 2017 Budget presents the Federal Transit Administration's (FTA) clean transportation plan proposal and account structure, including the creation of a new Capital Investment Grants account. The Administration proposes to fund this account from the Mass Transit Account of the Transportation Trust Fund.

The 2017 Budget request includes \$3.5 billion for this account to increase the capacity of the nation's transit network and to meet ridership demands in many communities. This is accomplished by supporting the construction of new fixed guideway systems or extensions to fixed guideways, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, and streetcar systems that are administered by communities across the country. FTA allocates resources to grantees through a multi-year, multi-step competitive process. Prior to funding, each project is required to obtain an acceptable rating under a set of statutorily defined criteria that examine project merit and local financial commitment.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of the multi-year clean transportation plan proposal. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

Object Classification (in millions of dollars)

Identification code 069-8543-4-7-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....			40
41.0 Grants, subsidies, and contributions .....			1,835

99.9	Total new obligations .....	1,875
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ADMINISTRATIVE EXPENSES (TRANSPORTATION TRUST FUND)  
(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Contingent upon enactment of multi-year clean transportation plan authorization legislation, for necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49 U.S.C., \$115,016,543, to be derived from the Mass Transit Account of the Transportation Trust Fund and to remain available until September 30, 2017: Provided, That funds available for the implementation or execution of activities authorized under chapter 53 of title 49 U.S.C. shall not exceed total obligations of \$115,016,543 in fiscal year 2017.

ADMINISTRATIVE EXPENSES (TRANSPORTATION TRUST FUND)

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 000-0000-4-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			115
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			115
1137 Appropriations applied to liquidate contract authority .....			-115
Contract authority, mandatory:			
1600 Contract authority .....			115
1900 Budget authority (total) .....			115
1930 Total budgetary resources available .....			115
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			115
3020 Outlays (gross) .....			-104
3050 Unpaid obligations, end of year .....			11
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			115
Outlays, gross:			
4100 Outlays from new mandatory authority .....			104
4180 Budget authority, net (total) .....			115
4190 Outlays, net (total) .....			104
<b>Memorandum (non-add) entries:</b>			
5061 Limitation on obligations (Transportation Trust Funds) .....			115

The 2017 Budget presents the Federal Transit Administration's clean transportation plan proposal and account structure, including the creation of a new Administrative Expenses account. The Administration proposes to fund this account from the Mass Transit Account of the Transportation Trust Fund.

The 2017 Budget requests \$115 million for salaries, benefits and administrative expenses for 560 full-time equivalents employees (FTEs) to carry out the Agency's stewardship of over \$19.9 billion in Federal funds. Priorities for the 2017 Administrative Expenses appropriation include enhancement of the Office of Safety and Oversight's workforce to strengthen and expand the framework of the robust State Safety Oversight Program and Safety of all modes of transit, including Accident Investigation Oversight; the implementation of the FAST Act to include required rulemakings, policy updates, and strategic planning; the provision of technical assistance to grantees during project development and program implementation; Capital Project Management Oversight and grantee compliance; and support

ADMINISTRATIVE EXPENSES (TRANSPORTATION TRUST FUND)—Continued  
for Transit Asset Management activities, which includes developing objective standards to measure capital asset condition and collecting data on the asset condition of the FTA grantees.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of the multi-year clean transportation plan proposal. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

**Object Classification** (in millions of dollars)

Identification code 000-0000-4-7-401	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			61
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			63
12.1 Civilian personnel benefits .....			19
21.0 Travel and transportation of persons .....			2
23.1 Rental payments to GSA .....			9
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			17
25.4 Operation and maintenance of facilities .....			1
25.7 Operation and maintenance of equipment .....			2
31.0 Equipment .....			1
99.9 Total new obligations .....			115

**Employment Summary**

Identification code 000-0000-4-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			560

**TRANSIT FORMULA GRANTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

([HIGHWAY] TRANSPORTATION TRUST FUND)

For payment of obligations incurred in the Federal Public Transportation Assistance Program in this account, and for payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act, and section 20005(b) of Public Law 112-141, and [section] sections 3006(b) and 3028 of the Fixing America's Surface Transportation Act, [\$10,400,000,000] \$10,800,000,000, to be derived from the Mass Transit Account of the [Highway] Transportation Trust Fund and to remain available until expended: *Provided*, That funds available for the implementation or execution of programs authorized under 49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act, and section 20005(b) of Public Law 112-141, and [section] sections 3006(b) and 3028 of the Fixing America's Surface Transportation Act, shall not exceed total obligations of [\$9,347,604,639] \$9,733,706,043 in fiscal year [2016] 2017. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-8350-0-7-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Urbanized area programs .....	5,671	6,007	6,480
0002 Fixed guideway modernization .....	54	9	4
0003 Bus and bus facility grants .....	106	179	292
0004 Over-the-road bus .....	1	3	1
0005 Clean Fuels Program .....		2	1
0006 Planning Programs .....	125	128	158
0007 Job Access & Reverse Commute .....	2	24	9
0008 Alternatives analysis program .....	27	2	1
0009 Alternative transportation in parks and public Lands .....	1	4	2
0011 Seniors and persons with disabilities .....	432	376	327

0012 Non-urbanized area programs .....	601	731	822
0013 New Freedom .....		20	8
0014 National Transit Database .....	7	4	4
0015 Oversight .....	75	118	91
0016 Transit Oriented Development .....		26	19
0017 Bus and Bus Facilities Formula Grants .....	270	670	623
0018 Bus Testing Facility .....	9	2	3
0019 National Transit Institute .....		4	2
0020 State of Good Repair Grants .....	1,860	2,659	3,100
0021 Public Transportation Innovation .....		14	28
0022 Technical Assistance and Workforce Development .....		5	9
0900 Total new obligations .....	9,241	10,987	11,984

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7,924	8,778	8,439
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8083] .....	-15		
1021 Recoveries of prior year unpaid obligations .....	69		
1050 Unobligated balance (total) .....	7,978	8,778	8,439
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	9,500	10,400	10,800
1120 Appropriations transferred to other accts [069-8083] .....	-29		
1121 Appropriations transferred from other acct [069-8083] .....	1,246	1,482	1,465
1137 Portion applied to liquidate contract authority used .....	-10,717	-11,882	-12,265
<b>Contract authority, mandatory:</b>			
1600 Contract authority (Transit Formula Grants) .....	8,595	9,348	9,535
1600 Contract authority (Positive Train Control) .....			199
1610 Transferred to other accounts [069-8083] .....	-13		
1611 Transferred from other accounts [069-8083] .....	1,459	1,300	1,300
1640 Contract authority, mandatory (total) .....	10,041	10,648	11,034
1900 Budget authority (total) .....	10,041	10,648	11,034
1930 Total budgetary resources available .....	18,019	19,426	19,473
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8,778	8,439	7,489

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	16,280	16,588	18,558
3010 Obligations incurred, unexpired accounts .....	9,241	10,987	11,984
3020 Outlays (gross) .....	-8,864	-9,017	-9,661
3040 Recoveries of prior year unpaid obligations, unexpired .....	-69		
3050 Unpaid obligations, end of year .....	16,588	18,558	20,881
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	16,280	16,588	18,558
3200 Obligated balance, end of year .....	16,588	18,558	20,881

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,183	1,972	2,045
4011 Outlays from discretionary balances .....	7,681	7,045	7,616
4020 Outlays, gross (total) .....	8,864	9,017	9,661
<b>Mandatory:</b>			
4090 Budget authority, gross .....	10,041	10,648	11,034
4180 Budget authority, net (total) .....	10,041	10,648	11,034
4190 Outlays, net (total) .....	8,864	9,017	9,661

**Memorandum (non-add) entries:**

5052 Obligated balance, SOY: Contract authority .....	3,554	2,863	1,629
5053 Obligated balance, EOY: Contract authority .....	2,863	1,629	398
5061 Limitation on obligations (Transportation Trust Funds) .....	10,041	10,648	11,034

FTA's 2017 budget request builds upon the successes of the previous authorization, MAP-21, which provided two years of stable funding for transit programs. The account structure is generally comparable to FTA's funding under MAP-21. The Transit Formula Grants account is funded from the Mass Transit Account of the Transportation Trust Fund.

Transit Formula Grants funds can be used for transit capital purposes including bus and rail car purchases, facility repair and construction, as well as maintenance, and where eligible, planning and operating expenses. These funds help existing transit systems provide safe and reliable transportation options, and promote economically vibrant communities. The 2017 Budget request includes \$9.734 billion for Transit Formula Grants. The 2017 formula grant program structure includes:

*Urbanized Area Formula.*—\$4.630 billion. For formula grants to urbanized areas with populations of 50,000 or more. Funds may be used for any

transit capital purpose. Operating costs continue to be eligible expenses for all urban areas under 200,000 in population; and, in certain circumstances, operating costs may be eligible expenses in urban areas with populations over 200,000. Additionally, Urbanized Area grants may be used to support Job Access and Reverse Commute activities.

**State Safety Oversight Program.**—\$23 million. Each State with rail systems not regulated by the Federal Railroad Administration (FRA) will meet requirements for a State Safety Oversight (SSO) program. To aid grantees in meeting new requirements, funding will be provided by a formula developed by FTA based on revenue miles, route miles, and passenger miles. The maximum Federal share for these grants is 80 percent.

**State of Good Repair Grants.**—\$2.550 billion. For a formula-based capital maintenance program to restore and replace aging transportation infrastructure through reinvestment in existing fixed guideway systems and buses on high occupancy vehicle (HOV) lanes.

**Rural Area Formula.**—\$632 million. For formula grants to provide funds for capital, planning and operating assistance grants for transit service implemented by States in rural areas with populations of less than 50,000. Funding may also be used to support intercity bus service. Additionally, Rural Area grants may be used to support Job Access and Reverse Commute activities. Within this amount, \$30 million in formula funds and \$5 million in discretionary grant funds will support the Public Transportation on Indian Reservations program and \$20 million will support the Appalachian Development Public Transportation Assistance Formula Program.

**Growing States and High Density States.**—\$544 million. For funds that are divided between the Urban and Rural Area programs based on the legislative funding formula for this program.

**Enhanced Mobility of Seniors and Individuals with Disabilities.**—\$268 million. Supports local governments and public and private transportation providers that serve special needs of these specific transit-dependent populations beyond traditional public transportation services, including complementary paratransit service.

**Bus and Bus Facilities Grants.**—\$720 million. For formula funding (61%) and discretionary funding (39%) to replace, rehabilitate, and purchase buses and related equipment, and to construct bus-related facilities States may use these funds to supplement Urbanized Area and Rural Area formula grant programs. Funding also supports low and zero emission bus and bus facilities.

**Bus Testing Facility.**—\$3 million. Funding supports a facility where all new bus models purchased using FTA capital assistance will be tested for compliance with performance standards for safety, structural integrity, reliability, performance (including braking performance) maintainability, emissions, noise and fuel economy. FTA must develop a Pass/Fail rating system for buses. FTA grantees will not be able use Federal funds to purchase buses that do not receive a "pass" rating.

**Planning Programs.**—\$133 million. Funding supports cooperative, continuous, and comprehensive transportation infrastructure investment planning. The program requires that all Metropolitan Planning Organizations (MPOs), and States, develop performance-driven, outcome-based transportation plans.

**Transit Oriented Development Pilot.**—\$10 million. This pilot program funds planning for projects that support transit-oriented development associated with new fixed-guideway and core capacity improvement projects.

**National Transit Institute.**—\$5 million. To fund projects that enable FTA to partner with higher education to develop and provide training and educational programs to transit employees and others engaged in providing public transit services.

**National Transit Data Base (NTD).**—\$4 million. For operation and maintenance of the NTD, a database of nationwide statistics on the transit industry, which FTA is legally required to maintain under 49 U.S.C. 5335(a)(1)(2). NTD data serves as the basis for FTA formula grant apportionments and is used to track the condition and performance of our Nation's transit infrastructure.

**Positive Train Control.**—\$199 million. For financing the installation of positive train control systems required under section 20157 of title 49, USC.

**Public Transportation Innovation.**—\$28 million. This program provides assistance for projects and activities to advance innovative public transportation research, demonstration, deployment and development and testing, evaluating and analyzing low or no emission vehicle components intended for use in low or no emission vehicles.

**Technical Assistance and Workforce Development.**—\$9 million. This program enables FTA to provide technical assistance to the public transportation industry and to develop stands for transit serve provision, with an emphasis on improving access for all individuals and transportation equity. Through this program, FTA is able to assist grantees to more effectively and efficiently provide public transportation and administer federal funding in compliance with the law.

**Pilot Program for Enhanced Mobility.**—\$3 million. This pilot program assists in financing innovative projects for the transportation disadvantaged that improve the coordination of transportation services and non-emergency medical transportation services.

**Object Classification** (in millions of dollars)

Identification code 069-8350-0-7-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		2	1
12.1 Civilian personnel benefits .....		1	
25.2 Other services from non-Federal sources .....	77	88	96
41.0 Grants, subsidies, and contributions .....	9,164	10,896	11,887
99.9 Total new obligations .....	9,241	10,987	11,984

**Employment Summary**

Identification code 069-8350-0-7-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		20	7

ADMINISTRATIVE PROVISIONS

[(INCLUDING RESCISSION)]

SEC. 160. The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.

SEC. 161. Notwithstanding any other provision of law, funds appropriated or limited by this Act under the heading "Fixed Guideway Capital Investment" of the Federal Transit Administration for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, [2020] 2021, and other recoveries, shall be directed to projects eligible to use the funds for the purposes for which they were originally provided.

SEC. 162. Notwithstanding any other provision of law, any funds appropriated before October 1, [2015] 2016, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.

[SEC. 163. Notwithstanding any other provision of law, none of the funds made available in this Act shall be used to enter into a full funding grant agreement for a project with a New Starts share greater than 60 percent.]

[SEC. 164. (a) LOSS OF ELIGIBILITY.—Except as provided in subsection (b), none of the funds in this or any other Act may be available to advance in any way a new light or heavy rail project towards a full funding grant agreement as defined by 49 U.S.C. 5309 for the Metropolitan Transit Authority of Harris County, Texas if the proposed capital project is constructed on or planned to be constructed on Richmond Avenue west of South Shepherd Drive or on Post Oak Boulevard north of Richmond Avenue in Houston, Texas.

(b) EXCEPTION FOR A NEW ELECTION.—The Metropolitan Transit Authority of Harris County, Texas, may attempt to construct or construct a new fixed guideway capital project, including light rail, in the locations referred to in subsection (a) if—

(1) voters in the jurisdiction that includes such locations approve a ballot proposition that specifies routes on Richmond Avenue west of South Shepherd

Drive or on Post Oak Boulevard north of Richmond Avenue in Houston, Texas; and

(2) the proposed construction of such routes is part of a comprehensive, multi-modal, service-area wide transportation plan that includes multiple additional segments of fixed guideway capital projects, including light rail for the jurisdiction set forth in the ballot proposition. The ballot language shall include reasonable cost estimates, sources of revenue to be used and the total amount of bonded indebtedness to be incurred as well as a description of each route and the beginning and end point of each proposed transit project.】

【SEC. 165. Of the unobligated amounts made available for fiscal year 2012 or prior fiscal years to carry out the discretionary bus and bus facilities and new fixed guideway capital projects programs under 49 U.S.C. 5309 and the discretionary job access and reverse commute program under section 3037 of the Transportation Equity Act for the 21st Century, \$25,397,797 is hereby rescinded.】

【SEC. 166. Until September 15, 2016, the Secretary may not enforce regulations related to charter bus service under part 604 of title 49, Code of Federal Regulations, for any transit agency that, during fiscal year 2008 was both initially granted a 60-day period to come into compliance with part 604, and then was subsequently granted an exception from said part: *Provided*, That notwithstanding 49 U.S.C. 5323(t), such transit agency may receive its allocation of urbanized area formula funds apportioned in accordance with 49 U.S.C. 5336.】 (*Department of Transportation Appropriations Act, 2016.*)

**SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION**

*Federal Funds*

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-4089-0-3-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Operations and maintenance .....	21	19	20
0802 Replacements and improvements .....	14	10	17
0900 Total new obligations .....	35	29	37
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	14	14
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	33	29	37
1930 Total budgetary resources available .....	49	43	51
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	22	13
3010 Obligations incurred, unexpired accounts .....	35	29	37
3020 Outlays (gross) .....	-32	-38	-47
3050 Unpaid obligations, end of year .....	22	13	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	22	13
3200 Obligated balance, end of year .....	22	13	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	33	29	37
Outlays, gross:			
4100 Outlays from new mandatory authority .....	32	29	37
4101 Outlays from mandatory balances .....		9	10
4110 Outlays, gross (total) .....	32	38	47
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-32	-28	-36

4123 Non-Federal sources .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-33	-29	-37
4170 Outlays, net (mandatory) .....	-1	9	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1	9	10

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly-owned U.S. Government corporation responsible for the operation, maintenance, and development of the U.S. portion of the St. Lawrence Seaway between Montreal and mid-Lake Erie. The SLSDC is also responsible for regional trade and economic development. The St. Lawrence Seaway is a binational waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. SLSDC works with its Canadian counterpart agency (the St. Lawrence Seaway Management Corporation) to ensure the reliability, safety, and security of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital asset renewal needs for the U.S. portion of the St. Lawrence Seaway.

**Object Classification** (in millions of dollars)

Identification code 069-4089-0-3-403	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	11	11	11
12.1 Civilian personnel benefits .....	4	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	2	2
26.0 Supplies and materials .....	2	1	2
32.0 Land and structures .....	13	10	17
99.0 Reimbursable obligations .....	34	29	37
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	35	29	37

**Employment Summary**

Identification code 069-4089-0-3-403	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	131	144	144

**Trust Funds**

OPERATIONS AND MAINTENANCE

(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses to conduct the operations, maintenance, and capital asset renewal activities of those portions of the St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, 【\$28,400,000】 \$36,028,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-8003-0-7-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations and maintenance .....	32	28	36
0900 Total new obligations (object class 25.3) .....	32	28	36
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	32	28	36
1930 Total budgetary resources available .....	32	28	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	32	28	36
3020 Outlays (gross) .....	-32	-28	-36

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	32	28	36
Outlays, gross:				
4010	Outlays from new discretionary authority .....	32	28	36
4180	Budget authority, net (total) .....	32	28	36
4190	Outlays, net (total) .....	32	28	36

The Water Resources Development Act of 1986 authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital asset renewal programs.

### PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

[In millions of dollars]

	2015 Actual	2016 Enacted	2017 Est.
<b>Budget authority:</b>			
Operational Expenses .....	21	21	22
Hazardous Materials Safety .....	52	56	68
Emergency Preparedness Grants .....	26	26	28
Pipeline Safety .....	126	125	157
Pipeline Safety Share of Oil Spill Liability Trust Fund .....	20	22	20
<b>Total budget authority .....</b>	<b>245</b>	<b>250</b>	<b>295</b>
<b>Program level (obligations):</b>			
Operational Expenses .....	21	21	22
Hazardous Materials Safety .....	50	66	71
Emergency Preparedness Grants .....	32	26	28
Pipeline Safety .....	149	176	179
Pipeline Safety Share of Oil Spill Liability Trust Fund .....	20	22	20
<b>Total program level .....</b>	<b>272</b>	<b>311</b>	<b>320</b>
<b>Outlays:</b>			
Operational Expenses .....	20	23	22
Hazardous Materials Safety .....	45	62	64
Emergency Preparedness Grants .....	23	38	37
Pipeline Safety .....	94	143	158
Pipeline Safety Share of Oil Spill Liability Trust Fund .....	23	18	20
<b>Total outlays .....</b>	<b>205</b>	<b>284</b>	<b>301</b>

### Federal Funds

#### HAZARDOUS MATERIALS SAFETY

For expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, **[\$55,619,000]** \$68,249,000, of which **[\$7,570,000]** \$9,026,000 shall remain available until September 30, **[2018]** 2019: *Provided*, That up to \$800,000 in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: *Provided further*, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions. (*Department of Transportation Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 069-1401-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	46	48	59
0002 Research and development .....	3	15	9
0799 Total direct obligations .....	49	63	68
0801 Reimbursable program .....	1	3	3
0900 Total new obligations .....	50	66	71

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	7	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	52	56	68
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	3	3
1900	Budget authority (total) .....	53	59	71
1930	Total budgetary resources available .....	57	66	71
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7	.....	.....

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	17	18
3010	Obligations incurred, unexpired accounts .....	50	66	71
3020	Outlays (gross) .....	-46	-65	-67
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	17	18	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	14	17	18
3200	Obligated balance, end of year .....	17	18	22

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	53	59	71
Outlays, gross:				
4010	Outlays from new discretionary authority .....	35	41	49
4011	Outlays from discretionary balances .....	11	24	18
4020	Outlays, gross (total) .....	46	65	67
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-3	-3
4040	Offsets against gross budget authority and outlays (total) ....	-1	-3	-3
4180	Budget authority, net (total) .....	52	56	68
4190	Outlays, net (total) .....	45	62	64

The Pipeline and Hazardous Materials Safety Administration's (PHMSA) Hazardous Materials Safety program is responsible for advancing the safe transportation of hazardous materials. It relies on a comprehensive risk management program to ensure that resources are effectively applied to minimize fatalities and injuries; mitigate the consequences of incidents that occur; and enhance safety through regulatory, enforcement and outreach efforts.

#### Object Classification (in millions of dollars)

Identification code 069-1401-0-1-407	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	17	21	25
11.3	Other than full-time permanent .....	1	.....	.....
11.9	Total personnel compensation .....	18	21	25
12.1	Civilian personnel benefits .....	5	6	7
21.0	Travel and transportation of persons .....	2	2	2
23.1	Rental payments to GSA .....	2	3	3
25.1	Advisory and assistance services .....	8	6	8
25.3	Other goods and services from Federal sources .....	4	4	6
25.5	Research and development contracts .....	4	14	9
25.7	Operation and maintenance of equipment .....	4	4	4
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	.....	.....	1
99.0	Direct obligations .....	48	61	66
99.0	Reimbursable obligations .....	1	3	3
99.5	Adjustment for rounding .....	1	2	2
99.9	Total new obligations .....	50	66	71

#### Employment Summary

Identification code 069-1401-0-1-407	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	169	203	239

OPERATIONAL EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, **[\$21,000,000] \$23,688,000: Provided,** **[**That no later than 90 days after the date of enactment of this Act, the Secretary of Transportation shall initiate a rulemaking to expand the applicability of comprehensive oil spill response plans, and shall issue a final rule no later than one year after the date of enactment of this Act**]** *That \$1,500,000 shall be transferred to "Pipeline Safety" in order to fund "Pipeline Safety Information Grants to Communities" as authorized under section 60130 of title 49, United States Code. (Department of Transportation Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 069-1400-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	21	21	22
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	21	24
1120 Appropriations transferred to other accts [069-5172] .....	-2		-2
1160 Appropriation, discretionary (total) .....	21	21	22
1930 Total budgetary resources available .....	21	21	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	9	7
3010 Obligations incurred, unexpired accounts .....	21	21	22
3020 Outlays (gross) .....	-20	-23	-22
3050 Unpaid obligations, end of year .....	9	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	9	7
3200 Obligated balance, end of year .....	9	7	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	21	22
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	14	15
4011 Outlays from discretionary balances .....	7	9	7
4020 Outlays, gross (total) .....	20	23	22
4180 Budget authority, net (total) .....	21	21	22
4190 Outlays, net (total) .....	20	23	22

The success of the Pipeline and Hazardous Materials Safety Administration (PHMSA) safety programs depends on the performance of support organizations that empower the program offices to meet their safety mandate. PHMSA's support organizations include the Administrator, Deputy Administrator, Executive Director/Chief Safety Officer, Associate Administrator for Planning and Analytics, Chief Counsel, Governmental, International and Public Affairs, Associate Administrator for Administration, Chief Financial Officer, Information Technology Services, Administrative Services, Budget and Finance, Acquisition Services, Human Resources and Civil Rights.

Object Classification (in millions of dollars)

Identification code 069-1400-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	2	2	3
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	6	6	6
99.0 Direct obligations .....	19	20	21
99.5 Adjustment for rounding .....	2	1	1
99.9 Total new obligations .....	21	21	22

Employment Summary

Identification code 069-1400-0-1-407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	60	70	72

PIPELINE SAFETY

(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

For expenses necessary to conduct the functions of the pipeline safety program, for grants-in-aid to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, **[\$146,623,000] \$174,943,000**, of which **[\$22,123,000] \$19,500,000** shall be derived from the Oil Spill Liability Trust Fund and shall remain available until September 30, **[2018] 2019**; and of which **[\$124,500,000] \$153,443,000** shall be derived from the Pipeline Safety Fund, of which **[\$59,835,000] \$77,279,000** shall remain available until September 30, **[2018] 2019**; *Provided,* That not less than \$1,058,000 of the funds provided under this heading shall be for the One-Call state grant program: *Provided further,* That not less than \$1,000,000 of the funds provided under this heading shall be for the finalization and implementation of rules required under section 60102(n) of title 49, United States Code, and section 8(b)(3) of the Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 (49 U.S.C. 60108 note; 125 Stat. 1911); *and of which \$2,000,000, to remain available until expended, shall be derived as provided in this Act from the Pipeline Safety Design Review Fund. (Department of Transportation Appropriations Act, 2016.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5172-0-2-407	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	41	43	43
0198 Reconciliation adjustment .....	3		
0199 Balance, start of year .....	44	43	43
Receipts:			
Current law:			
1120 Pipeline Safety Fund .....	123	125	153
1120 Pipeline Safety Design Review Fund .....			2
1199 Total current law receipts .....	123	125	155
1999 Total receipts .....	123	125	155
2000 Total: Balances and receipts .....	167	168	198
Appropriations:			
Current law:			
2101 Pipeline Safety .....	-124	-125	-155
5099 Balance, end of year .....	43	43	43

Program and Financing (in millions of dollars)

Identification code 069-5172-0-2-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	73	111	110
0002 Research and development .....	16	14	13
0003 Grants .....	60	48	53
0799 Total direct obligations .....	149	173	176
0801 Pipeline Safety (Reimbursable) .....		3	3
0900 Total new obligations .....	149	176	179

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	26	
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	30	26	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	124	125	155
1121 Appropriations transferred from other acct [069-1400] .....	2		2
1160 Appropriation, discretionary (total) .....	126	125	157
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	23	25	22
1701 Change in uncollected payments, Federal sources .....	-4		
1750 Spending auth from offsetting collections, disc (total) .....	19	25	22

1900	Budget authority (total) .....	145	150	179
1930	Total budgetary resources available .....	175	176	179
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	26		
	Special and non-revolving trust funds:			
1952	Expired unobligated balance, start of year .....	2	2	2
1953	Expired unobligated balance, end of year .....	2	2	2
1955	Unobligated balances withdrawn and returned to general fund .....	1		
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	91	114	122
3010	Obligations incurred, unexpired accounts .....	149	176	179
3020	Outlays (gross) .....	-117	-168	-180
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	114	122	121
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-11	-11
3070	Change in uncollected pymts, Fed sources, unexpired .....	4		
3090	Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	76	103	111
3200	Obligated balance, end of year .....	103	111	110
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	145	150	179
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	44	74	88
4011	Outlays from discretionary balances .....	73	94	92
4020	Outlays, gross (total) .....	117	168	180
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-23	-25	-22
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	4		
4070	Budget authority, net (discretionary) .....	126	125	157
4080	Outlays, net (discretionary) .....	94	143	158
4180	Budget authority, net (total) .....	126	125	157
4190	Outlays, net (total) .....	94	143	158

The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for the Department's Pipeline Safety program. PHMSA provides safety oversight of the nation's 2.6 million miles of gas and hazardous liquid pipelines, which are owned and operated by private industry. PHMSA and its state pipeline safety partners use a data-driven process to identify pipelines and pipeline operators most at risk of failing. They combine to use regulation, inspection and enforcement to reduce the likelihood of harm failing pipelines would have on our communities and the environment.

The Pipeline Safety program encompasses data analysis; damage prevention; education and training; developing and enforcing regulations and standards; research and development; grants to support up to 80 percent of the cost of state pipeline safety programs; and emergency planning and response to accidents. PHMSA passes along nearly all of its operational costs to the pipeline industry through the assessment of fees and use of the Oil Spill Liability Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 069-5172-0-2-407	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	24	33	38
12.1	Civilian personnel benefits .....	7	9	11
21.0	Travel and transportation .....	3	4	5
23.1	Rental payments to GSA .....	3	5	5
23.3	Communications, utilities, and miscellaneous charges - wcf ...	1	1	1
25.1	Advisory and assistance services .....	14	22	16
25.2	Other services from non-Federal sources .....		2	2
25.3	Other goods and services from Federal sources .....	8	15	11
25.4	Operation and maintenance of facilities .....	2	3	3
25.5	Research and development contracts .....	16	14	13
25.7	Operation and maintenance of equipment .....	9	14	16
26.0	Supplies and materials .....		1	
31.0	Equipment .....	2	2	2

41.0	Grants, subsidies, and contributions .....	60	48	53
99.0	Direct obligations .....	149	173	176
99.0	Reimbursable obligations .....		3	3
99.9	Total new obligations .....	149	176	179

**Employment Summary**

Identification code 069-5172-0-2-407	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	215	302	340

**EMERGENCY PREPAREDNESS GRANTS**

(EMERGENCY PREPAREDNESS FUND)

For necessary expenses to carryout 49 U.S.C. 5128(b), \$188,000, to be derived from the Emergency Preparedness Fund, to remain available until September 30, 2017: *Provided*, That notwithstanding **Notwithstanding** the fiscal year limitation specified in 49 U.S.C. 5116, not more than \$28,318,000 shall be made available for obligation in fiscal year **2016** 2017 from amounts made available by 49 U.S.C. 5116(h), and 5128(b) and (c): *Provided further*, That notwithstanding 49 U.S.C. 5116(h)(4), not more than 4 percent of the amounts made available from this account shall be available to pay administrative costs: *Provided further*, That none of the funds made available by 49 U.S.C. 5116(h), 5128(b), or 5128(c) shall be made available for obligation by individuals other than the Secretary of Transportation, or his or her designee: *Provided further*, That notwithstanding 49 U.S.C. 5128(b) and (c) and the current year obligation limitation, prior year recoveries recognized in the current year shall be available to develop a hazardous materials response training curriculum for emergency responders, including response activities for the transportation of crude oil, ethanol and other flammable liquids by rail, consistent with National Fire Protection Association standards, and to make such training available through an electronic format: *Provided further*, That the prior year recoveries made available under this heading shall also be available to carry out 49 U.S.C. 5116(a)(1)(C) and 5116(i). (*Department of Transportation Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 069-5282-0-2-407	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	16	18	20
	Receipts:			
	Current law:			
1130	Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants .....	28	28	28
2000	Total: Balances and receipts .....	44	46	48
	Appropriations:			
	Current law:			
2101	Emergency Preparedness Grants .....	-28	-28	-28
2132	Emergency Preparedness Grants .....	2	2	
2199	Total current law appropriations .....	-26	-26	-28
2999	Total appropriations .....	-26	-26	-28
5099	Balance, end of year .....	18	20	20

**Program and Financing** (in millions of dollars)

Identification code 069-5282-0-2-407	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Operations .....	2	2	2
0002	Emergency Preparedness Grants .....	20	20	22
0003	Competitive Training Grants .....	3	3	3
0004	Supplemental Training Grants .....	1	1	1
0005	ALERT Grants .....	6		
0900	Total new obligations .....	32	26	28
<b>Budgetary resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	6		
1050	Unobligated balance (total) .....	6		
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	28	28	28

EMERGENCY PREPAREDNESS GRANTS—Continued  
Program and Financing—Continued

Identification code 069-5282-0-2-407	2015 actual	2016 est.	2017 est.
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2	
1260 Appropriations, mandatory (total) .....	26	26	28
1930 Total budgetary resources available .....	32	26	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	46	34
3010 Obligations incurred, unexpired accounts .....	32	26	28
3020 Outlays (gross) .....	-23	-38	-37
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	46	34	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43	46	34
3200 Obligated balance, end of year .....	46	34	25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	26	26	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	10	10
4101 Outlays from mandatory balances .....	22	28	27
4110 Outlays, gross (total) .....	23	38	37
4180 Budget authority, net (total) .....	26	26	28
4190 Outlays, net (total) .....	23	38	37

Federal hazardous materials law (49 U.S.C. 5101 et seq.) established a national registration program for shippers and carriers of hazardous materials in 1992. The law also established collection of fees from registrants. These fees finance emergency preparedness planning and training grants; development of training curriculum guidelines for emergency responders and technical assistance to states, political subdivisions, and American Indian tribes; publication and distribution of the Emergency Response Guidebook; and administrative costs for operating the program. The proposed changes to the appropriations language aligns with the FAST Act.

Object Classification (in millions of dollars)

Identification code 069-5282-0-2-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	1		
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	30	24	26
99.0 Direct obligations .....	32	26	28
99.9 Total new obligations .....	32	26	28

Trust Funds

TRUST FUND SHARE OF PIPELINE SAFETY

Program and Financing (in millions of dollars)

Identification code 069-8121-0-7-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Trust fund share of pipeline safety .....	20	22	20
0900 Total new obligations (object class 94.0) .....	20	22	20
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	20	22	20
1930 Total budgetary resources available .....	20	22	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	12	16
3010 Obligations incurred, unexpired accounts .....	20	22	20

3020 Outlays (gross) .....	-23	-18	-20
3050 Unpaid obligations, end of year .....	12	16	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	12	16
3200 Obligated balance, end of year .....	12	16	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	22	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	11	10
4011 Outlays from discretionary balances .....	11	7	10
4020 Outlays, gross (total) .....	23	18	20
4180 Budget authority, net (total) .....	20	22	20
4190 Outlays, net (total) .....	23	18	20

The Oil Pollution Act of 1990 requires the preparation of spill response plans by operators that store, handle or transport oil to minimize the environmental impact of oil spills and to improve public and private sector response. The Pipeline and Hazardous Materials Safety Administration (PHMSA) reviews response plans submitted by operators of onshore oil pipelines to ensure the plans comply with PHMSA regulations. These plans also must be regularly updated by the operator and submitted for subsequent review by PHMSA. PHMSA also seeks to improve oil spill preparedness and response through data analysis; spill monitoring; mapping pipelines in areas unusually sensitive to environmental damage; and advanced technologies to detect and prevent leaks from hazardous liquid pipelines. These and related activities are funded in part by the Oil Spill Liability Trust Fund.

ADMINISTRATIVE PROVISIONS

SEC. 1. Paragraph (3) of section 60117(n) of title 49, United States Code, is amended—

(a) in subparagraph (C) by striking ", in amounts specified in appropriations Acts, "; and

(b) by inserting a new subparagraph (D), as follows—

"(D) Availability.—Funds under this subsection may be collected and shall be available only to the extent provided in appropriations Acts."

OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of the Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, **[\$87,472,000] \$90,152,000**: *Provided*, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department of Transportation: *Provided further*, That the funds made available under this heading may be used to investigate, pursuant to section 41712 of title 49, United States Code: (1) unfair or deceptive practices and unfair methods of competition by domestic and foreign air carriers and ticket agents; and (2) the compliance of domestic and foreign air carriers with respect to item (1) of this proviso. (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-0130-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 General administration .....	85	87	90
0103 Disaster Relief and Oversight FY 2013 .....	1	3	3
0900 Total new obligations .....	86	90	93
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	3

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	86	87 90
1930	Total budgetary resources available .....	92	93 93
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	6	3 .....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	8	8 8
3010	Obligations incurred, unexpired accounts .....	86	90 93
3020	Outlays (gross) .....	-86	-90 -93
3050	Unpaid obligations, end of year .....	8	8 8
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	8	8 8
3200	Obligated balance, end of year .....	8	8 8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	86	87 90
Outlays, gross:			
4010	Outlays from new discretionary authority .....	80	78 81
4011	Outlays from discretionary balances .....	6	12 12
4020	Outlays, gross (total) .....	86	90 93
4180	Budget authority, net (total) .....	86	87 90
4190	Outlays, net (total) .....	86	90 93

The Department of Transportation (DOT) Inspector General conducts independent audits, investigations and evaluations to promote economy, efficiency and effectiveness in the management and administration of DOT programs and operations, including contracts, grants, and financial management; and to prevent and detect fraud, waste, abuse, and mismanagement in such activities. This appropriation provides funds to enable the Office of the Inspector General to perform these oversight responsibilities in accordance with the Inspector General Act of 1978, as Amended (5 U.S.C. App. 3).

**Object Classification** (in millions of dollars)

Identification code 069-0130-0-1-407	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	43	47 48
11.3	Other than full-time permanent .....	1	.....
11.5	Other personnel compensation .....	3	3 3
11.9	Total personnel compensation .....	47	50 51
12.1	Civilian personnel benefits .....	17	18 19
21.0	Travel and transportation of persons .....	2	3 3
23.1	Rental payments to GSA .....	5	6 6
23.3	Communications, utilities, and miscellaneous charges .....	1	1 1
25.2	Other services from non-Federal sources .....	4	4 4
25.3	Other goods and services from Federal sources .....	7	6 6
25.7	Operation and maintenance of equipment .....	1	1 1
31.0	Equipment .....	1	1 1
99.0	Direct obligations .....	85	90 92
99.5	Adjustment for rounding .....	1	..... 1
99.9	Total new obligations .....	86	90 93

**Employment Summary**

Identification code 069-0130-0-1-407	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	411	413 416

**MARITIME ADMINISTRATION**

*Federal Funds*

**OPERATIONS AND TRAINING**

For necessary expenses of operations and training activities authorized by law, **[\$171,155,000] \$194,146,000**, of which \$22,000,000 shall remain available until expended for maintenance and repair of training ships at State Maritime Academies, and of which **[\$5,000,000] \$6,000,000** shall remain available until expended for National Security Multi-Mission Vessel/School Ship Replacement [design] program

for State Maritime Academies and National Security, and of which \$2,400,000 shall remain available through September 30, **[2017] 2018**, for the Student Incentive Program at State Maritime Academies, and of which **[\$1,200,000] \$1,800,000** shall remain available until expended for training ship fuel assistance payments, and of which **[\$18,000,000] \$25,051,000** shall remain available until expended for facilities maintenance and repair, equipment, and capital improvements at the United States Merchant Marine Academy, and of which \$3,000,000 shall remain available through September 30, **[2017] 2018**, for Maritime Environment and Technology Assistance grants, contracts, and cooperative agreement, and of which **[\$5,000,000] \$3,000,000** shall remain available [until expended for the Short Sea Transportation Program (America's Marine Highways) to make grants for the purposes provided in title 46 sections 55601(b)(1) and 55601(b)(3)] *through September 30, 2018 for the StrongPorts pilot grants program: Provided*, That amounts apportioned for the United States Merchant Marine Academy shall be available only upon allotments made personally by the Secretary of Transportation or the Assistant Secretary for Budget and Programs: *Provided further*, That the Superintendent, Deputy Superintendent and the Director of the Office of Resource Management of the United States Merchant Marine Academy may not be allotment holders for the United States Merchant Marine Academy, and the Administrator of the Maritime Administration shall hold all allotments made by the Secretary of Transportation or the Assistant Secretary for Budget and Programs under the previous proviso: *Provided further*, That 50 percent of the funding made available for the United States Merchant Marine Academy under this heading shall be available only after the Secretary, in consultation with the Superintendent and the Maritime Administrator, completes a plan detailing by program or activity how such funding will be expended at the Academy, and this plan is submitted to the House and Senate Committees on Appropriations: *Provided further*, That not later than January 12, **[2016] 2017**, the Administrator of the Maritime Administration shall transmit to the House and Senate Committees on Appropriations the annual report on sexual assault and sexual harassment at the United States Merchant Marine Academy as required pursuant to section 3507 of Public Law 110-417. (*Department of Transportation Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 069-1750-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Merchant Marine Academy .....	76	123 100
0002	State maritime schools .....	18	37 29
0003	MARAD operations .....	51	55 59
0004	Other Maritime Programs .....	.....	9 .....
0005	National Security Multi-Mission Vessel Design .....	.....	..... 6
0100	Subtotal, Direct program .....	145	224 194
0799	Total direct obligations .....	145	224 194
0801	Operations and Training (Reimbursable) .....	6	32 13
0900	Total new obligations .....	151	256 207
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	66	72 .....
1021	Recoveries of prior year unpaid obligations .....	2	.....
1050	Unobligated balance (total) .....	68	72 .....
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	148	171 194
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	6	13 13
1701	Change in uncollected payments, Federal sources .....	1	.....
1750	Spending auth from offsetting collections, disc (total) .....	7	13 13
1900	Budget authority (total) .....	155	184 207
1930	Total budgetary resources available .....	223	256 207
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	72	.....

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	63	62 117
3010	Obligations incurred, unexpired accounts .....	151	256 207
3011	Obligations incurred, expired accounts .....	1	.....
3020	Outlays (gross) .....	-145	-201 -223
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-6	.....
3050	Unpaid obligations, end of year .....	62	117 101
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-26 -26
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	.....

OPERATIONS AND TRAINING—Continued  
Program and Financing—Continued

Identification code 069-1750-0-1-403	2015 actual	2016 est.	2017 est.
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	36	91
3200 Obligated balance, end of year .....	36	91	75
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	155	184	207
Outlays, gross:			
4010 Outlays from new discretionary authority .....	111	158	178
4011 Outlays from discretionary balances .....	34	43	45
4020 Outlays, gross (total) .....	145	201	223
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-13	-13
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) ....	-10	-13	-13
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	148	171	194
4080 Outlays, net (discretionary) .....	135	188	210
4180 Budget authority, net (total) .....	148	171	194
4190 Outlays, net (total) .....	135	188	210

The appropriation for Operations and Training provides funding for staff to administer and direct Maritime Administration operations and programs. Maritime Administration operations includes planning for coordination of U.S. maritime industry activities under emergency conditions; technology assessments calculated to achieve advancements in ship design, construction and operation; and port and intermodal development to increase capacity and mitigate congestion in freight movements.

Maritime training programs include the operation of the U.S. Merchant Marine Academy and financial assistance to the six State Maritime Academies. The Operations and Training Budget request of \$194.1 million includes \$99.9 million for the United States Merchant Marine Academy, \$29.5 million for the State Maritime Academies, \$6.0 million for the National Security Multi-Mission Vessel (NSMV)/School Ship Replacement program and \$58.7 million for Maritime Operations and Programs.

Object Classification (in millions of dollars)

Identification code 069-1750-0-1-403	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	39	40	42
11.3 Other than full-time permanent .....	6	7	7
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	46	48	50
12.1 Civilian personnel benefits .....	15	15	16
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	3	3	5
25.2 Other services from non-Federal sources .....	60	78	78
26.0 Supplies and materials .....	8	8	10
31.0 Equipment .....	2	2	3
32.0 Land and structures .....	5	58	25
41.0 Grants, subsidies, and contributions .....		5	2
99.0 Direct obligations .....	144	222	194
99.0 Reimbursable obligations .....	6	32	13
99.5 Adjustment for rounding .....	1	2	
99.9 Total new obligations .....	151	256	207

Employment Summary

Identification code 069-1750-0-1-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	434	491	500
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2
3001 Allocation account civilian full-time equivalent employment .....	5	4	4

ASSISTANCE TO SMALL SHIPYARDS

【To make grants to qualified shipyards as authorized under section 54101 of title 46, United States Code, as amended by Public Law 113-281, \$5,000,000 to remain available until expended: *Provided*, That the Secretary shall issue the Notice of Funding Availability no later than 15 days after enactment of this Act: *Provided further*, That from applications submitted under the previous proviso, the Secretary of Transportation shall make grants no later than 120 days after enactment of this Act in such amounts as the Secretary determines: *Provided further*, That not to exceed 2 percent of the funds appropriated under this heading shall be available for necessary costs of grant administration.】 (*Department of Transportation Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 069-1770-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants for Capital Improvement for Small Shipyards .....		5	
0900 Total new obligations (object class 41.0) .....		5	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		5	
1930 Total budgetary resources available .....	1	6	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	2	2
3010 Obligations incurred, unexpired accounts .....		5	
3020 Outlays (gross) .....	-4	-5	-1
3050 Unpaid obligations, end of year .....	2	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	2	2
3200 Obligated balance, end of year .....	2	2	1

Identification code 069-1770-0-1-403	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		5	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	
4011 Outlays from discretionary balances .....	4	1	1
4020 Outlays, gross (total) .....	4	5	1
4180 Budget authority, net (total) .....		5	
4190 Outlays, net (total) .....	4	5	1

The National Defense Authorization Act of 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry.

No new funds are requested for 2017.

**Employment Summary**

Identification code 069-1770-0-1-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	1	.....

**SHIP DISPOSAL**

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, **[\$5,000,000] \$20,000,000**, to remain available until expended, *of which \$8,000,000 shall remain available until expended for the decommissioning of the Nuclear Ship Savannah. (Department of Transportation Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 069-1768-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Ship disposal .....	4	2	11
0002 N.S.Savannah .....	3	2	3
0003 NSS Decommissioning .....	.....	.....	8
0900 Total new obligations .....	7	4	22
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	1	2
1050 Unobligated balance (total) .....	4	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	5	20
1930 Total budgetary resources available .....	8	6	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	1
3010 Obligations incurred, unexpired accounts .....	7	4	22
3020 Outlays (gross) .....	-6	-6	-14
3050 Unpaid obligations, end of year .....	3	1	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	1
3200 Obligated balance, end of year .....	3	1	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	5	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	10
4011 Outlays from discretionary balances .....	5	4	4
4020 Outlays, gross (total) .....	6	6	14
4180 Budget authority, net (total) .....	4	5	20
4190 Outlays, net (total) .....	6	6	14

The Ship Disposal program provides resources to properly dispose of obsolete government-owned merchant ships maintained by the Maritime Administration in the National Defense Reserve Fleet. The Maritime Administration contracts with domestic shipbreaking firms to dismantle these vessels in accordance with guidelines set forth by the U.S. Environmental Protection Agency. In 2017, the Ship Disposal program requests \$20 million which includes \$9 million to support continued obsolete vessel disposal, \$8 million to begin the decommissioning process of the Nuclear Ship (N.S.) Savannah, and \$3 million for maintaining the N.S. Savannah in protective storage.

**Object Classification** (in millions of dollars)

Identification code 069-1768-0-1-403	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	2	.....	18
25.4 Operation and maintenance of facilities .....	2	3	3
99.0 Direct obligations .....	5	4	22

99.5 Adjustment for rounding .....	2	.....	.....
99.9 Total new obligations .....	7	4	22

**Employment Summary**

Identification code 069-1768-0-1-403	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	11	11	11

**MARITIME SECURITY PROGRAM**

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, **[\$210,000,000] \$211,000,000**, to remain available until expended, *of which \$25,000,000 is to support retention of merchant mariners in the United States merchant marine: Provided, That these funds shall only be available to the extent that any fiscal year 2017 legislation is enacted that permits at least 25 percent of funds appropriated for Title II of the Food For Peace Act (Public Law 83-480), as amended, to be used for monetary awards for emergency programs: Provided further, That at least \$1,000,000 of these funds shall be used to support training programs to retain and advance U.S. citizen mariners for critical positions as determined by the Secretary of Transportation in consultation with the Commandant of the Coast Guard: Provided further, That up to \$24,000,000 of the remaining funds shall be used for other support to mariners, such as providing payments to operators of vessels and foreign trade separate from Maritime Security Program payments, on such terms and conditions as the Secretary of Transportation may determine in consultation with the Secretary of Defense: Provided further, That the use of up to \$24,000,000 for other support to mariners, such as providing payments to vessel operators separate from Maritime Security Program payments, shall be implemented through the regulations promulgated by the Secretary of Transportation in consultation with the Secretary of Defense. (Department of Transportation Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 069-1711-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Maritime Security Program .....	186	210	186
0002 Food Aid Carrier Mariner Support .....	.....	.....	25
0900 Total new obligations (object class 41.0) .....	186	210	211
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	186	210	211
1930 Total budgetary resources available .....	186	210	211
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	18	17
3010 Obligations incurred, unexpired accounts .....	186	210	211
3020 Outlays (gross) .....	-185	-211	-213
3050 Unpaid obligations, end of year .....	18	17	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	18	17
3200 Obligated balance, end of year .....	18	17	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	186	210	211
Outlays, gross:			
4010 Outlays from new discretionary authority .....	169	195	196
4011 Outlays from discretionary balances .....	16	16	17
4020 Outlays, gross (total) .....	185	211	213
4180 Budget authority, net (total) .....	186	210	211
4190 Outlays, net (total) .....	185	211	213

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to partially offset the higher operating costs of U.S. registry. The purpose of the program is to establish and sustain a fleet of active ships that are privately owned, commercially viable, and militarily useful to meet national defense and other emergency sealift requirements. Participating operators are required to make their

MARITIME SECURITY PROGRAM—Continued

ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities, and U.S. citizen merchant mariners to crew both commercial and government-owned merchant ships. The 2017 Budget proposes to increase flexibility of P.L. 480 Title II to respond to food aid emergencies, which could, over time, reduce overall volumes of agricultural commodities available for transport on U.S.-flag vessels. The Maritime Administration requests \$186 million for the Maritime Security program base. Additionally, \$25 million is requested as a component of the proposal to allow more flexible responses to food aid crises, for support to mariners and vessel operators in foreign trade and to accelerate the training and credentialing of mariners in occupations deemed critical to sustain a balanced and capable U.S. citizen merchant marine.

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 069-1710-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Ready Reserve Force (Reimbursable)	349	350	335
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	39	39
1021 Recoveries of prior year unpaid obligations	17		
1050 Unobligated balance (total)	42	39	39
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	320	350	335
1701 Change in uncollected payments, Federal sources	36		
1750 Spending auth from offsetting collections, disc (total)	356	350	335
1930 Total budgetary resources available	398	389	374
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10		
1941 Unexpired unobligated balance, end of year	39	39	39

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	156	148	101
3010 Obligations incurred, unexpired accounts	349	350	335
3020 Outlays (gross)	-337	-397	-379
3040 Recoveries of prior year unpaid obligations, unexpired	-17		
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	148	101	57
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-64	-64	-64
3070 Change in uncollected pymts, Fed sources, unexpired	-36		
3071 Change in uncollected pymts, Fed sources, expired	36		
3090 Uncollected pymts, Fed sources, end of year	-64	-64	-64
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	92	84	37
3200 Obligated balance, end of year	84	37	-7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	356	350	335
Outlays, gross:			
4010 Outlays from new discretionary authority	224	315	302
4011 Outlays from discretionary balances	113	82	77
4020 Outlays, gross (total)	337	397	379
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-337	-350	-335
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-36		
4052 Offsetting collections credited to expired accounts	17		
4060 Additional offsets against budget authority only (total)	-19		
4080 Outlays, net (discretionary)		47	44
4180 Budget authority, net (total)			
4190 Outlays, net (total)		47	44

The Ready Reserve Force (RRF) fleet is comprised of government-owned merchant ships within the National Defense Reserve Fleet that are maintained in an advanced state of surge sealift readiness for the transport of cargo to a given area of operation to satisfy combatant commanders' critical war fighting requirements. Resources for RRF vessel maintenance, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the National Defense Sealift Fund.

Object Classification (in millions of dollars)

Identification code 069-1710-0-1-054	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	20	27	27
11.5 Other personnel compensation	1	2	2
11.9 Total personnel compensation	21	29	29
12.1 Civilian personnel benefits	9	9	9
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	2	2
23.2 Rental payments to others	12	14	14
23.3 Communications, utilities, and miscellaneous charges	8	8	8
25.1 Advisory and assistance services	3	2	2
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	7	7	7
25.4 Operation and maintenance of facilities	254	241	226
25.7 Operation and maintenance of equipment	4	4	4
26.0 Supplies and materials	23	30	30
31.0 Equipment	2	2	2
99.0 Reimbursable obligations	348	350	335
99.5 Adjustment for rounding	1		
99.9 Total new obligations	349	350	335

Employment Summary

Identification code 069-1710-0-1-054	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment	316	326	326

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4303-0-3-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Vessel operations	27	20	20
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	59	41	36
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	61	41	36
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	13	15	15
1701 Change in uncollected payments, Federal sources	-6		
1750 Spending auth from offsetting collections, disc (total)	7	15	15
1930 Total budgetary resources available	68	56	51
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	41	36	31

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	8	
3010 Obligations incurred, unexpired accounts	27	20	20
3020 Outlays (gross)	-26	-28	-20
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	8		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6		
3070 Change in uncollected pymts, Fed sources, unexpired	6		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	8	

3200	Obligated balance, end of year .....	8		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7	15	15
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	14	14
4011	Outlays from discretionary balances .....	19	14	6
4020	Outlays, gross (total) .....	26	28	20
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-6		
4033	Non-Federal sources .....	-7	-15	-15
4040	Offsets against gross budget authority and outlays (total) ....	-13	-15	-15
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	6		
4080	Outlays, net (discretionary) .....	13	13	5
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	13	13	5

This fund is authorized for the receipt of sales proceeds from the disposition of obsolete government-owned merchant vessels. The Maritime Administration is authorized to reactivate, maintain, operate, deactivate and dispose government-owned merchant vessels comprising the National Defense Reserve Fleet (NDRF) and the Ready Reserve Force (RRF), a subset of the NDRF. Resources for RRF vessel maintenance, preservation, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by transfer from the Department of Defense Operations and Maintenance, Navy account. Through fiscal year 2010, interagency agreement transactions to fund and administer these programs were reflected in this fund. Beginning in fiscal year 2011, these interagency agreement transactions are instead reflected in the RRF account. Direct appropriations for the disposal of obsolete government-owned merchant vessels are provided to the Ship Disposal account.

**Object Classification** (in millions of dollars)

Identification code 069-4303-0-3-403	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
25.2	Other services from non-Federal sources .....	3	3	3
25.4	Operation and maintenance of facilities .....	12	12	12
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	2	2	2
44.0	Refunds .....	7		
99.0	Reimbursable obligations .....	26	19	19
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations .....	27	20	20

**WAR RISK INSURANCE REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 069-4302-0-3-403	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	48	48	48
1930	Total budgetary resources available .....	48	48	48
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	48	48	48
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	43	43	43
5001	Total investments, EOY: Federal securities: Par value .....	43	43	43

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity

interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

**PORT OF GUAM IMPROVEMENT ENTERPRISE FUND**

**Program and Financing** (in millions of dollars)

Identification code 069-5560-0-2-403	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Port of Guam Improvement Enterprise Program .....	3	4	
0801	Reimbursable program .....	1		
0900	Total new obligations .....	4	4	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	8	4	
1050	Unobligated balance (total) .....	8	4	
1930	Total budgetary resources available .....	8	4	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	30	6	2
3010	Obligations incurred, unexpired accounts .....	4	4	
3020	Outlays (gross) .....	-28	-8	
3050	Unpaid obligations, end of year .....	6	2	2
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	29	5	1
3200	Obligated balance, end of year .....	5	1	1

**Budget authority and outlays, net:**

Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	28	8	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	28	8	

**Object Classification** (in millions of dollars)

Identification code 069-5560-0-2-403	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.3	Other goods and services from Federal sources .....	1	3	
32.0	Land and structures .....	2	1	
99.0	Direct obligations .....	3	4	
99.0	Reimbursable obligations .....	1		
99.9	Total new obligations .....	4	4	

**MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT**

(INCLUDING CANCELLATION AND TRANSFER OF FUNDS)

For [the cost of guaranteed loans, as authorized, \$8,135,000, of which \$5,000,000 shall remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That not to exceed \$3,135,000 shall be available for] administrative expenses to carry out the guaranteed loan program, \$3,000,000, which shall be transferred to and merged with the appropriations for "Operations and Training", Maritime Administration: *Provided, That of the unobligated balance of funds made available for obligation under Public Law 114-113, \$5,000,000 are hereby permanently cancelled. (Department of Transportation Appropriations Act, 2016.)*

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT—Continued  
**Program and Financing** (in millions of dollars)

Identification code 069-1752-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702	1	42	
0707	2	129	
0708		10	
0709	3	3	3
0900	6	184	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	43	42	5
1001	43	42	
Budget authority:			
Appropriations, discretionary:			
1100	3	8	3
1131			-5
1160	3	8	-2
Appropriations, mandatory:			
1200	2	139	
1900	5	147	-2
1930	48	189	3
Memorandum (non-add) entries:			
1941	42	5	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	30	31	42
3010	6	184	3
3020	-5	-173	-45
3050	31	42	
Memorandum (non-add) entries:			
3100	30	31	42
3200	31	42	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	3	8	-2
Outlays, gross:			
4010	3	8	3
4011		26	42
4020	3	34	45
Mandatory:			
4090	2	139	
Outlays, gross:			
4100	2	139	
4180	5	147	-2
4190	5	173	45

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 069-1752-0-1-403	2015 actual	2016 est.	2017 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215014	12	514	
Guaranteed loan subsidy (in percent):			
232014	6.09	8.11	
232999	0.00	8.11	0.00
Guaranteed loan subsidy budget authority:			
233014	1	42	
Guaranteed loan reestimates:			
235014	-38	107	
235999	-38	107	
Administrative expense data:			
3510	3	3	3
3590	3	3	3

The Maritime Guaranteed Loan (Title XI) program provides for a full faith and credit guarantee of debt obligations issued by U.S or foreign ship owners to finance or refinance the construction, reconstruction, or reconditioning of U.S. flag vessels or eligible export vessels in U.S. shipyards; or for a full faith and credit guarantee of debt obligations issued by U.S.

shipyard owners to finance the modernization of shipbuilding technology at shipyards located in the United States.

As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years which are estimated on a present value basis. The account also reflects the administrative expenses of the program which are estimated on a cash basis. Funds for administrative expenses are appropriated to this account, then paid to the Maritime Administration's Operations and Training account. This appropriation will provide \$3 million in resources for the administrative expenses of the program, including management of the loan portfolio which has \$1.4 billion in loan guarantees and approximately 30 guarantee contracts.

**Object Classification** (in millions of dollars)

Identification code 069-1752-0-1-403	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2	3	3	3
41.0	3	181	
99.9	6	184	3

MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 069-4304-0-3-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711		276	25
0712		12	3
0713		2	1
0715		20	10
0742	23	12	
0743	17	18	
0900	40	340	39
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	232	201	54
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	9	193	70
1930	241	394	124
Memorandum (non-add) entries:			
1941	201	54	85
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			64
3010	40	340	39
3020	-40	-276	-24
3050		64	79
Memorandum (non-add) entries:			
3100			64
3200		64	79
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	9	193	70
Financing disbursements:			
4110	40	276	24
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-2	-138	
4122	-7		
4123		-55	-70
4130	-9	-193	-70
4170	31	83	-46
4180			
4190	31	83	-46

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 069-4304-0-3-999	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	12	514	.....
2150 Total guaranteed loan commitments .....	12	514	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,731	1,426	1,422
2231 Disbursements of new guaranteed loans .....	.....	331	514
2251 Repayments and prepayments .....	-305	-55	-70
2262 Adjustments: Terminations for default that result in acquisition of property .....	.....	-280	-36
2290 Outstanding, end of year .....	1,426	1,422	1,830
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,426	1,422	1,830

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Maritime Guaranteed Loan (Title XI) program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 069-4304-0-3-999	2014 actual	2015 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	231	201
Investments in US securities:		
1106 Receivables, net .....	11	.....
1999 Total assets .....	242	201
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	242	201
4999 Total liabilities and net position .....	242	201

**Trust Funds**

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 069-8547-0-7-403	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1130 Gifts and Bequests, Maritime Administration, Transportation .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds, Maritime Administration .....	-2	-2	-2
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 069-8547-0-7-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Gifts & Bequests .....	1	2	2
0002 Special Studies .....	1	1	.....
0100 Total direct program - Subtotal (running) .....	2	3	2
0900 Total new obligations .....	2	3	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) -Gifts & Bequests ....	2	2	2

1930 Total budgetary resources available .....	6	6	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	3

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	2	3	2
3020 Outlays (gross) .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	1	1	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	.....

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	2	2
4101 Outlays from mandatory balances .....	2	1	1
4110 Outlays, gross (total) .....	2	3	3
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	3	3

**Object Classification** (in millions of dollars)

Identification code 069-8547-0-7-403	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	1	1	.....
99.9 Total new obligations .....	2	3	2

ADMINISTRATIVE PROVISIONS

SEC. 170. Notwithstanding any other provision of this Act, in addition to any existing authority, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration: *Provided*, That payments received therefor shall be credited to the appropriation charged with the cost thereof and shall remain available until expended: *Provided further*, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.

SEC. 171. None of the funds available or appropriated in this Act shall be used by the United States Department of Transportation or the United States Maritime Administration to negotiate or otherwise execute, enter into, facilitate or perform fee-for-service contracts for vessel disposal, scrapping or recycling, unless there is no qualified domestic ship recycler that will pay any sum of money to purchase and scrap or recycle a vessel owned, operated or managed by the Maritime Administration or that is part of the National Defense Reserve Fleet: *Provided*, That such sales offers must be consistent with the solicitation and provide that the work will be performed in a timely manner at a facility qualified within the meaning of section 3502 of Public Law 106-398: *Provided further*, That nothing contained herein shall affect the Maritime Administration's authority to award contracts at least cost to the Federal Government and consistent with the requirements of 54 U.S.C. 308704, section 3502, or otherwise authorized under the Federal Acquisition Regulation. (*Department of Transportation Appropriations Act, 2016.*)

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
069-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	38	.....	.....
069-276030 Downward Reestimates, Railroad Rehabilitation and Improvement Program .....	58	8	.....
069-272830 Maritime (title XI) Loan Program, Downward Reestimates of Subsidies .....	40	31	.....
069-276830 Transportation Infrastructure Finance and Innovation Program, Interest on Downward Reestimates .....	174	208	.....

General Fund Receipt Accounts—Continued

	2015 actual	2016 est.	2017 est.
069-085500 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Administrative Costs .....		1	1
General Fund Offsetting receipts from the public .....	310	248	1

**GENERAL PROVISIONS—DEPARTMENT OF TRANSPORTATION**

SEC. 180. During the current fiscal year, applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901–5902).

SEC. 181. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 182. None of the funds in this Act shall be available for salaries and expenses of more than 110 political and Presidential appointees in the Department of Transportation: *Provided*, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation.

SEC. 183. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.

(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.

SEC. 184. Funds received by the Federal Highway Administration and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account and to the Federal Railroad Administration's "Safety and Operations" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

SEC. 185. None of the funds in this Act to the Department of Transportation may be used to make a loan, loan guarantee, line of credit, or grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than 3 full business days before any project competitively selected to receive a discretionary grant award, any discretionary grant award, letter of intent, loan commitment, loan guarantee commitment, line of credit commitment, or full funding grant agreement totaling **[\$750,000]** \$1,000,000 or more is announced by the department or its modal administrations from—

- (1) any discretionary grant or federal credit program of the Federal Highway Administration including the emergency relief program;
- (2) the airport improvement program of the Federal Aviation Administration;
- (3) any program of the Federal Railroad Administration;
- (4) any program of the Federal Transit Administration other than the formula grants and fixed guideway modernization programs;
- (5) any program of the Maritime Administration; or
- (6) any funding provided under the headings "National Infrastructure Investments" in this Act:

*Provided*, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: *Provided further*, That no notification shall involve funds that are not available for obligation.

SEC. 186. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. 187. Amounts made available in this or any other Act that the Secretary determines represent improper payments by the Department of Transportation to a third-party contractor under a financial assistance award, which are recovered pursuant to law, shall be available—

- (1) to reimburse the actual expenses incurred by the Department of Transportation in recovering improper payments; and

(2) to pay contractors for services provided in recovering improper payments or contractor support in the implementation of the Improper Payments Information Act of 2002: *Provided*, That amounts in excess of that required for paragraphs (1) and (2)—

(A) shall be credited to and merged with the appropriation from which the improper payments were made, and shall be available for the purposes and period for which such appropriations are available: *Provided further*, That where specific project or accounting information associated with the improper payment or payments is not readily available, the Secretary may credit an appropriate account, which shall be available for the purposes and period associated with the account so credited; or

(B) if no such appropriation remains available, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That prior to the transfer of any such recovery to an appropriations account, the Secretary shall notify the House and Senate Committees on Appropriations of the amount and reasons for such transfer: *Provided further*, That for purposes of this section, the term "improper payments" has the same meaning as that provided in section 2(d)(2) of Public Law 107–300.

SEC. 188. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, transmission of said reprogramming notice shall be provided solely to the House and Senate Committees on Appropriations, and said reprogramming action shall be approved or denied solely by the House and Senate Committees on Appropriations: *Provided*, That the Secretary of Transportation may provide notice to other congressional committees of the action of the House and Senate Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been [approved or denied by] transmitted to the House and Senate Committees on Appropriations.

SEC. 189. None of the funds appropriated or otherwise made available under this Act may be used by the Surface Transportation Board of the Department of Transportation to charge or collect any filing fee for rate or practice complaints filed with the Board in an amount in excess of the amount authorized for district court civil suit filing fees under section 1914 of title 28, United States Code.

SEC. [190] 189. Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to provide a direct benefit to the applicable modal administration or administrations.

SEC. [191] 190. The Secretary of Transportation is authorized to carry out a program that establishes uniform standards for developing and supporting agency transit pass and transit benefits authorized under section 7905 of title 5, United States Code, including distribution of transit benefits by various paper and electronic media.

SEC. [192] 191. The Department of Transportation may use funds provided by this Act, or any other Act, to assist a contract under title 49 U.S.C. or title 23 U.S.C. utilizing geographic, economic, or any other hiring preference not otherwise authorized by law, except for such preferences authorized in this Act, or to amend a rule, regulation, policy or other measure that forbids a recipient of a Federal Highway Administration or Federal Transit Administration grant from imposing such hiring preference on a contract or construction project with which the Department of Transportation is assisting, only if the grant recipient certifies the following:

- (1) that except with respect to apprentices or trainees, a pool of readily available but unemployed individuals possessing the knowledge, skill, and ability to perform the work that the contract requires resides in the jurisdiction;
- (2) that the grant recipient will include appropriate provisions in its bid document ensuring that the contractor does not displace any of its existing employees in order to satisfy such hiring preference; and
- (3) that any increase in the cost of labor, training, or delays resulting from the use of such hiring preference does not delay or displace any transportation project in the applicable Statewide Transportation Improvement Program or Transportation Improvement Program.

(Department of Transportation Appropriations Act, 2016.)

**GENERAL PROVISIONS—THIS ACT**

SEC. 401. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 402. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 403. The expenditure of any appropriation under this Act for any consulting service through a procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 404. (a) None of the funds made available in this Act may be obligated or expended for any employee training that—

- (1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;
- (2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;
- (3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;
- (4) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or
- (5) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties. ]

SEC. [405] 404. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year [2016] 2017, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (a)(1) creates a new program;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (4) proposes to use funds directed for a specific activity [by either the House or Senate Committees on Appropriations] in an appropriations law for a different purpose;
- (5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;
- (6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or
- (7) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the explanatory statement accompanying this Act, whichever is more detailed, unless [prior approval is received from] notification is transmitted to the House and Senate Committees on Appropriations: *Provided*, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: *Provided further*, That the report shall include—

(A) a table for each appropriation with a separate column to display the prior year enacted level, the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(B) a delineation in the table for each appropriation and its respective prior year enacted level by object class and program, project, and activity as detailed in the budget appendix for the respective appropriation; and

(C) an identification of items of special congressional interest.

(b) *Notwithstanding any other transfer restriction under this Act, not to exceed 10 percent of any appropriation made available for the current fiscal year for the Federal Aviation Administration by this Act or provided by previous appropriations Acts may be transferred between such appropriations for the Federal Aviation Administration, but no such appropriation except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfer: Provided, That funds transferred under this section shall be treated as a reprogramming of funds under subsection (a) and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer: Provided further, That any transfer from an amount made available for obligation as discretionary grants-in-aid for airports pursuant to section 47117(f) of title 49, United States Code shall be deemed as obligated for grants-in-aid for airports under part B of subtitle VII of title 49, United States Code for the purposes of complying with the limitation*

*on incurring obligations in this appropriations Act or any other appropriations Act under the heading "Grants-in-Aid for Airports."*

SEC. [406] 405. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2016] 2017 from appropriations made available for salaries and expenses for fiscal year [2016] 2017 in this Act, shall remain available through September 30, [2017] 2018, for each such account for the purposes authorized: *Provided*, That a [request] notification shall be submitted to the House and Senate Committees on Appropriations [for approval] prior to the expenditure of such funds: *Provided further*, That these [requests] notifications shall be made in compliance with reprogramming guidelines under section [405] 404 of this Act.

SEC. [407] 406. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: *Provided*, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: *Provided further*, That any use of funds for mass transit, railroad, airport, seaport or highway projects, as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfields as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118) shall be considered a public use for purposes of eminent domain.

SEC. [408] 407. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.

SEC. 409. No part of any appropriation contained in this Act shall be available to pay the salary for any person filling a position, other than a temporary position, formerly held by an employee who has left to enter the Armed Forces of the United States and has satisfactorily completed his or her period of active military or naval service, and has within 90 days after his or her release from such service or from hospitalization continuing after discharge for a period of not more than 1 year, made application for restoration to his or her former position and has been certified by the Office of Personnel Management as still qualified to perform the duties of his or her former position and has not been restored thereto. ]

SEC. [410] 408. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 10a-10c, popularly known as the "Buy American Act").

SEC. [411] 409. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Buy American Act (41 U.S.C. 10a-10c).

SEC. [412] 410. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301-10.122 and 301-10.123 of title 41, Code of Federal Regulations.

SEC. 413. (a) None of the funds made available by this Act may be used to approve a new foreign air carrier permit under sections 41301 through 41305 of title 49, United States Code, or exemption application under section 40109 of that title of an air carrier already holding an air operators certificate issued by a country that is party to the U.S.-E.U.-Iceland-Norway Air Transport Agreement where such approval would contravene United States law or Article 17 bis of the U.S.-E.U.-Iceland-Norway Air Transport Agreement.

(b) Nothing in this section shall prohibit, restrict or otherwise preclude the Secretary of Transportation from granting a foreign air carrier permit or an exemption to such an air carrier where such authorization is consistent with the U.S.-E.U.-Iceland-Norway Air Transport Agreement and United States law. ]

SEC. 414. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees of a single agency or department of the United States Government, who are stationed in the United States, at any single international conference unless the relevant Secretary reports to the House and Senate Committees on Appropriations at least 5 days in advance that such attendance is important to the national interest: *Provided*, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations. ]

SEC. 415. None of the funds made available by this Act may be used by the Federal Transit Administration to implement, administer, or enforce section

18.36(c)(2) of title 49, Code of Federal Regulations, for construction hiring purposes.】

【SEC. 416. None of the funds made available by this Act may be used in contravention of the 5th or 14th Amendment to the Constitution or title VI of the Civil Rights Act of 1964.】

SEC. 417. None of the funds made available by this Act may be used by the Department of Transportation, the Department of Housing and Urban Development, or any other Federal agency to lease or purchase new light duty vehicles for any executive fleet, or for an agency's fleet inventory, except in accordance with Presidential Memorandum—Federal Fleet Performance, dated May 24, 2011.

【SEC. 418. None of the funds made available by this Act may be used in contravention of subpart E of part 5 of the regulations of the Secretary of Housing and Urban Development (24 CFR part 5, subpart E, relating to restrictions on assistance to noncitizens).】

【SEC. 419. None of the funds made available by this Act may be used to provide financial assistance in contravention of section 214(d) of the Housing and Community Development Act of 1980 (42 U.S.C. 1436a(d)).】

【SEC. 420. For an additional amount for "Community Planning and Development, Community Development Fund", \$300,000,000, to remain available until expended, for necessary expenses for activities authorized under title I of the Housing and Community Development Act of 1974 (42 U.S.C. 5301 et seq.) related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster declared in 2015 pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) related to the consequences of Hurricane Joaquin and adjacent storm systems, Hurricane Patricia, and other flood events: *Provided*, That funds shall be awarded directly to the State or unit of general local government at the discretion of the Secretary: *Provided further*, That prior to the obligation of funds a grantee shall submit a plan to the Secretary for approval detailing the proposed use of all funds, including criteria for eligibility and how the use of these funds will address long-term recovery and restoration of infrastructure and housing and economic revitalization in the most impacted and distressed areas: *Provided further*, That such funds may not be used for activities reimbursable by, or for which funds are made available by, the Federal Emergency Management Agency or the Army Corps of Engineers: *Provided further*, That funds allocated under this heading shall not be considered relevant to the non-disaster formula allocations made pursuant to section 106 of the Housing and Community Development Act of 1974 (42 U.S.C. 5306): *Provided further*, That a State or subdivision thereof may use up to five percent of its allocation for administrative costs: *Provided further*, That in administering the funds under this heading, the Secretary of Housing and Urban Development may waive, or specify alternative requirements for, any provision of any statute or regulation that the Secretary administers in connection with the

obligation by the Secretary or the use by the recipient of these funds (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment), if the Secretary finds that good cause exists for the waiver or alternative requirement and such waiver or alternative requirement would not be inconsistent with the overall purpose of title I of the Housing and Community Development Act of 1974: *Provided further*, That, notwithstanding the preceding proviso, recipients of funds provided under this heading that use such funds to supplement Federal assistance provided under section 402, 403, 404, 406, 407, or 502 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) may adopt, without review or public comment, any environmental review, approval, or permit performed by a Federal agency, and such adoption shall satisfy the responsibilities of the recipient with respect to such environmental review, approval or permit: *Provided further*, That, notwithstanding section 104(g)(2) of the Housing and Community Development Act of 1974 (42 U.S.C. 5304(g)(2)), the Secretary may, upon receipt of a request for release of funds and certification, immediately approve the release of funds for an activity or project assisted under this heading if the recipient has adopted an environmental review, approval or permit under the preceding proviso or the activity or project is categorically excluded from review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.): *Provided further*, That the Secretary shall publish via notice in the Federal Register any waiver, or alternative requirement, to any statute or regulation that the Secretary administers pursuant to title I of the Housing and Community Development Act of 1974 no later than five days before the effective date of such waiver or alternative requirement: *Provided further*, That of the amounts made available under this section, up to \$1,000,000 may be transferred to "Program Office Salaries and Expenses, Community Planning and Development" for necessary costs, including information technology costs, of administering and overseeing funds made available under this heading: *Provided further*, That amounts provided under this section shall be designated by Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

【SEC. 421. Effective as of December 4, 2015, and as if included therein as enacted, section 1408 of the Fixing America's Surface Transportation Act (Public Law 114-94) is amended by adding at the end the following:

"(c) APPLICABILITY.—The amendment made by subsection (b) shall apply to projects to repair or reconstruct facilities damaged as a result of a natural disaster or catastrophic failure described in section 125(a) of title 23, United States Code, occurring on or after October 1, 2015."

This division may be cited as the "Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016".】  
(*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.*)

# DEPARTMENT OF THE TREASURY

## DEPARTMENTAL OFFICES

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Departmental Offices including operation and maintenance of the Treasury Building and **[Annex] Freedman's Bank Building**; hire of passenger motor vehicles; maintenance, repairs, and improvements of, and purchase of commercial insurance policies for, real properties leased or owned overseas, when necessary for the performance of official business; executive direction program activities; international affairs and economic policy activities; domestic finance and tax policy activities, including technical assistance to **[Puerto Rico] state and local entities; terrorism and financial intelligence activities; and Treasury-wide management policies and programs activities, [\$222,500,000] \$334,376,000: Provided, That of the amount appropriated under this heading—**

(1) *Not less than \$117,000,000 is for the Office of Terrorism and Financial Intelligence to safeguard the financial system against illicit use and to combat rogue nations, terrorist facilitators, weapons of mass destruction proliferators, money launderers, drug kingpins, and other national security threats;*

(2) not to exceed \$350,000 is for official reception and representation expenses; **(2)3** not to exceed \$258,000 is for unforeseen emergencies of a confidential nature to be allocated and expended under the direction of the Secretary of the Treasury and to be accounted for solely on the Secretary's certificate; and **(3)4** not to exceed **[\$22,200,000] \$22,000,000** shall remain available until September 30, **[2017] 2018**, for—

(A) the Treasury-wide Financial Statement Audit and Internal Control Program;

(B) information technology modernization requirements;

(C) **[the audit, oversight, and administration of the Gulf Coast Restoration Trust Fund; and] support for the Office of Terrorism and Financial Intelligence;**

(D) the development and implementation of programs within the Office of Critical Infrastructure Protection and Compliance Policy, including entering into cooperative agreements; and

(E) *international operations: Provided further, That, in addition to the amount otherwise made available under this heading, \$7,000,000 shall remain available until September 30, 2018, for necessary expenses for carrying out subtitle F of title I of division A of Public Law 112–141, to be derived from the trust fund established under section 1602 of such Public Law, without altering the percentages of funds made available for other purposes from the remaining balance of the trust fund. (Department of the Treasury Appropriations Act, 2016.)*

#### Program and Financing (in millions of dollars)

Identification code 020–0101–0–1–803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Executive Direction .....	35	38	38
0002 International Affairs and Economic Policy .....	57	59	59
0003 Domestic Finance and Tax Policy .....	77	85	76
0004 Terrorism and Financial Intelligence .....			117
0005 Treasury-wide Management and Programs .....	36	41	44
0100 Subtotal, Direct programs .....	205	223	334
0799 Total direct obligations .....	205	223	334
0811 Salaries and Expenses (Reimbursable) .....	123	123	103
0900 Total new obligations .....	328	346	437
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	22	22
1050 Unobligated balance (total) .....	18	22	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	210	223	334
1121 Appropriations transferred from other acct [020–8625] .....			7
1160 Appropriation, discretionary (total) .....	210	223	341
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	76	123	103
1701 Change in uncollected payments, Federal sources .....	47		
1750 Spending auth from offsetting collections, disc (total) .....	123	123	103
1900 Budget authority (total) .....	333	346	444
1930 Total budgetary resources available .....	351	368	466

Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	22	22	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	111	95	38
3010 Obligations incurred, unexpired accounts .....	328	346	437
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	–340	–403	–455
3041 Recoveries of prior year unpaid obligations, expired .....	–9		
3050 Unpaid obligations, end of year .....	95	38	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–49	–61	–61
3070 Change in uncollected pymts, Fed sources, unexpired .....	–47		
3071 Change in uncollected pymts, Fed sources, expired .....	35		
3090 Uncollected pymts, Fed sources, end of year .....	–61	–61	–61
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	62	34	–23
3200 Obligated balance, end of year .....	34	–23	–41
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	333	346	444
Outlays, gross:			
4010 Outlays from new discretionary authority .....	260	317	400
4011 Outlays from discretionary balances .....	80	86	55
4020 Outlays, gross (total) .....	340	403	455
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–110	–123	–103
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) .....	–111	–123	–103
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–47		
4052 Offsetting collections credited to expired accounts .....	35		
4060 Additional offsets against budget authority only (total) .....	–12		
4070 Budget authority, net (discretionary) .....	210	223	341
4080 Outlays, net (discretionary) .....	229	280	352
4180 Budget authority, net (total) .....	210	223	341
4190 Outlays, net (total) .....	229	280	352

Departmental Offices, as the headquarters bureau for the Department of the Treasury, provides leadership in economic and financial policy, terrorism and financial intelligence, financial crimes, and general management. The Secretary of the Treasury has the primary role of formulating and managing the domestic and international tax and financial policies of the Federal Government. Through effective management, policies, and leadership, the Treasury Department protects our national security through targeted financial actions, promotes the stability of the Nation's financial markets, and ensures the Government's ability to collect revenue and fund its operations. The Budget continues to propose to fund the Office of Terrorism and Financial Intelligence within this account.

#### Object Classification (in millions of dollars)

Identification code 020–0101–0–1–803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	94	106	156
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	3
11.9 Total personnel compensation .....	98	110	161
12.1 Civilian personnel benefits .....	28	31	47
21.0 Travel and transportation of persons .....	4	4	6
23.1 Rental payments to GSA .....	3	5	5
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	6		
25.1 Advisory and assistance services .....	18	7	13
25.2 Other services from non-Federal sources .....	6	4	10
25.3 Other goods and services from Federal sources .....	38	41	66
25.5 Research and development contracts .....		2	2
25.7 Operation and maintenance of equipment .....	2	2	3
26.0 Supplies and materials .....	5	3	6

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 020-0101-0-1-803	2015 actual	2016 est.	2017 est.
31.0 Equipment	4	6	7
99.0 Direct obligations	207	222	333
99.0 Reimbursable obligations	124	123	103
99.5 Adjustment for rounding	-3	1	1
99.9 Total new obligations	328	346	437

Employment Summary

Identification code 020-0101-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	771	872	1,290
2001 Reimbursable civilian full-time equivalent employment	197	197	166

OFFICE OF TERRORISM AND FINANCIAL INTELLIGENCE

SALARIES AND EXPENSES

For the necessary expenses of the Office of Terrorism and Financial Intelligence to safeguard the financial system against illicit use and to combat rogue nations, terrorist facilitators, weapons of mass destruction proliferators, money launderers, drug kingpins, and other national security threats, \$117,000,000: *Provided*, That of the amount appropriated under this heading: (1) not to exceed \$27,100,000 is available for administrative expenses; and (2) \$5,000,000, to remain available until September 30, 2017. (Department of the Treasury Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 020-1804-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Terrorism and Financial Intelligence	112	117	
0811 Salaries and Expenses (Reimbursable)	7	7	
0900 Total new obligations	119	124	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1050 Unobligated balance (total)		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	113	117	
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	7	
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	7	7	
1900 Budget authority (total)	120	124	
1930 Total budgetary resources available	120	125	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		29	21
3010 Obligations incurred, unexpired accounts	119	124	
3020 Outlays (gross)	-90	-132	-21
3050 Unpaid obligations, end of year	29	21	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		26	18
3200 Obligated balance, end of year	26	18	-3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	120	124	
Outlays, gross:			
4010 Outlays from new discretionary authority	90	103	
4011 Outlays from discretionary balances		29	21

4020 Outlays, gross (total)	90	132	21
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-7	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3		
4070 Budget authority, net (discretionary)	113	117	
4080 Outlays, net (discretionary)	86	125	21
4180 Budget authority, net (total)	113	117	
4190 Outlays, net (total)	86	125	21

In 2015 and 2016, the Office of Terrorism and Financial Intelligence (TFI) was funded under a separate appropriation. The Budget includes TFI activities in the Departmental Offices (DO) Salaries and Expenses appropriation in 2017 to promote efficient budget execution. TFI was funded as part of DO in every year prior to 2015.

Object Classification (in millions of dollars)

Identification code 020-1804-0-1-803	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	39	43	
11.5 Other personnel compensation	1	1	
11.9 Total personnel compensation	40	44	
12.1 Civilian personnel benefits	12	14	
21.0 Travel and transportation of persons	2	2	
25.1 Advisory and assistance services	13	13	
25.2 Other services from non-Federal sources	9	9	
25.3 Other goods and services from Federal sources	32	31	
26.0 Supplies and materials	2	2	
31.0 Equipment	1	1	
99.0 Direct obligations	111	116	
99.0 Reimbursable obligations	7	7	
99.5 Adjustment for rounding	1	1	
99.9 Total new obligations	119	124	

Employment Summary

Identification code 020-1804-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	356	377	
2001 Reimbursable civilian full-time equivalent employment	29	29	

CYBERSECURITY ENHANCEMENT ACCOUNT

For salaries and expenses for enhanced cybersecurity for systems operated by the Department of the Treasury, \$109,827,000, to remain available until September 30, 2019: *Provided*, That amounts made available under this heading shall be in addition to other amounts available to Treasury offices and bureaus for cybersecurity: *Provided further*, That amounts made available under this heading may be obligated and expended through allocation accounts available to individual offices and bureaus.

Program and Financing (in millions of dollars)

Identification code 020-1855-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Internal Revenue Service			62
0002 Treasury-wide			48
0900 Total new obligations			110
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			110
1930 Total budgetary resources available			110

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			110
3020 Outlays (gross)			-91
3050 Unpaid obligations, end of year			19

Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		110
Outlays, gross:			
4010	Outlays from new discretionary authority .....		91
4180	Budget authority, net (total) .....		110
4190	Outlays, net (total) .....		91

The Department of the Treasury is of enormous importance to the national and world economy, with trillions of dollars flowing through its information systems—several of which the Administration's Cybersecurity Strategy and Implementation Plan identified as High Value Assets. As a result, Treasury is a constant target for sophisticated threat actors. To protect against these threats in a proactive and strategic way, the Budget proposes the creation of a centralized Cybersecurity Enhancement Account. A team, led by the Deputy Secretary, will centrally manage the account which includes initiatives with Department-wide and Bureau-specific impacts as well as expanding the public-private partnership with the financial services sector. For example, the account proposes Department-wide investments for critical improvements to the Treasury Secure Data Network and Bureau-specific investments for the Internal Revenue Service to bolster network security, protect and safeguard sensitive taxpayer information, and improve fraud detection and prevention. This centralization of funds will allow Treasury to leverage enterprise-wide services and capabilities across the Department.

**Object Classification** (in millions of dollars)

Identification code 020-1855-0-1-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....		10
12.1	Civilian personnel benefits .....		3
25.1	Advisory and assistance services .....		14
25.2	Other services from non-Federal sources .....		33
25.3	Other goods and services from Federal sources .....		6
31.0	Equipment .....		42
99.0	Direct obligations .....		108
99.5	Adjustment for rounding .....		2
99.9	Total new obligations .....		110

**Employment Summary**

Identification code 020-1855-0-1-808	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....		86

**DEPARTMENT-WIDE SYSTEMS AND CAPITAL INVESTMENTS PROGRAMS**

(INCLUDING TRANSFER OF FUNDS)

For development and acquisition of automatic data processing equipment, software, and services and for repairs and renovations to buildings owned by the Department of the Treasury, \$5,000,000, to remain available until September 30, [2018] 2019: *Provided*, That these funds shall be transferred to accounts and in amounts as necessary to satisfy the requirements of the Department's offices, bureaus, and other organizations: *Provided further*, That this transfer authority shall be in addition to any other transfer authority provided in this Act [ ]: *Provided further*, That none of the funds appropriated under this heading shall be used to support or supplement "Internal Revenue Service, Operations Support" or "Internal Revenue Service, Business Systems Modernization" [ ]. (*Department of the Treasury Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 020-0115-0-1-803	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Department-wide Systems and Capital Investments Programs (Direct) .....	1	5	5

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	4	4
1050	Unobligated balance (total) .....	2	4	4
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3	5	5
1930	Total budgetary resources available .....	5	9	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	4	4

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	2	4
3010	Obligations incurred, unexpired accounts .....	1	5	5
3020	Outlays (gross) .....	-2	-3	-3
3050	Unpaid obligations, end of year .....	2	4	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	2	4
3200	Obligated balance, end of year .....	2	4	6

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority .....		2	2
4011	Outlays from discretionary balances .....	2	1	1
4020	Outlays, gross (total) .....	2	3	3
4180	Budget authority, net (total) .....	3	5	5
4190	Outlays, net (total) .....	2	3	3

This account is authorized to be used by Treasury's offices and bureaus to modernize business processes and increase efficiency through technology and infrastructure investments.

**Object Classification** (in millions of dollars)

Identification code 020-0115-0-1-803	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	1		
25.2	Other services from non-Federal sources .....	1	1	3
25.7	Operation and maintenance of equipment .....		1	
31.0	Equipment .....		1	
32.0	Land and structures .....		1	2
99.0	Direct obligations .....	1	5	5
99.9	Total new obligations .....	1	5	5

**PAY FOR SUCCESS**

The Budget proposes a \$300 million one-time mandatory appropriation for a new Pay for Success (PFS) program in the Department of the Treasury. This program will support the growing number of state and local governments seeking to establish PFS projects that leverage private investment to provide preventive social services that measurably improve outcomes for families and communities while generating value to the Government, including savings. The program's focus on measurable outcomes will encourage innovation and accelerate the use of evidence-based approaches. The program will provide credit enhancements and results-based payments to eligible intermediaries, including state and local governments. This support will enable state and local governments to attract additional investment in services that result in Federal, state, and local government savings and will lower and share the risk associated with initial private investments. The PFS Incentive Fund will help to strengthen the ability of state and

PAY FOR SUCCESS—Continued

local governments, and it will support the evolution of this nascent field into a more robust and sustainable public and private market.

PAY FOR SUCCESS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0113-4-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pay for Success Programs .....			26
0002 Administrative Functions .....			3
0900 Total new obligations .....			29
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			300
1930 Total budgetary resources available .....			300
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			271
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			29
3020 Outlays (gross) .....			-29
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			300
Outlays, gross:			
4100 Outlays from new mandatory authority .....			29
4180 Budget authority, net (total) .....			300
4190 Outlays, net (total) .....			29

Object Classification (in millions of dollars)

Identification code 020-0113-4-1-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			1
41.0 Grants, subsidies, and contributions .....			26
99.9 Total new obligations .....			29

Employment Summary

Identification code 020-0113-4-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			7

FINANCING AMERICA'S INFRASTRUCTURE RENEWAL (FAIR) PROGRAM

The Budget proposes to establish a new Federal credit program within the Department of the Treasury that would provide direct loans to U.S. infrastructure projects developed through a public-private partnership (P3). The program seeks to reduce the financing cost gap between P3s and traditional procurement, which will level the playing field for P3s and encourage the public sector, including state and local governments, to evaluate the merits of P3s for a given project.

While P3s are not a solution to the Nation's overall infrastructure funding needs, which continue to deserve greater Federal investment, they may generate certain public benefits. P3s are a financing and procurement tool that, in some circumstances, can accelerate the delivery of complex projects, leverage the resources and expertise of the private sector, mitigate construction and operational risks to the public sector, and reduce the likelihood of deferred maintenance on a project.

Eligible projects under the program will encompass the transportation, water, energy, and broadband sectors, as well as certain social infrastructure, such as educational facilities. The Budget estimates that the FAIR program

will provide \$15 billion in direct loans with no taxpayer subsidy from 2018 to 2026. Administrative costs for the program are estimated to be \$2 million annually from 2017 to 2026.

FINANCING AMERICA'S INFRASTRUCTURE RENEWAL (FAIR) PROGRAM  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0147-4-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0709 Administrative expenses .....			2
0791 Direct program activities, subtotal .....			2
0900 Total new obligations .....			2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2
3020 Outlays (gross) .....			-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2
Outlays, gross:			
4100 Outlays from new mandatory authority .....			2
4180 Budget authority, net (total) .....			2
4190 Outlays, net (total) .....			2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0147-4-1-376	2015 actual	2016 est.	2017 est.
Administrative expense data:			
3510 Budget authority .....			2
3590 Outlays from new authority .....			2

Object Classification (in millions of dollars)

Identification code 020-0147-4-1-376	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			1
99.0 Direct obligations .....			1
99.5 Adjustment for rounding .....			1
99.9 Total new obligations .....			2

Employment Summary

Identification code 020-0147-4-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			11

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$35,416,000]** \$37,044,000, including hire of passenger motor vehicles; of which not to exceed \$100,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General of the Treasury; of which up to \$2,800,000, to remain available until September 30, **[2017]** 2018, shall be for audits and investigations conducted pursuant to section 1608 of the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (33 U.S.C. 1321 note) **[-]**; and of which not to exceed \$1,000 shall be available for official reception and representation expenses. (Department of the Treasury Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 020-0106-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Audits .....	29	28	30
0002 Investigations .....	6	7	7
0799 Total direct obligations .....	35	35	37
0801 Office of Inspector General (Reimbursable) .....	7	13	11
0900 Total new obligations .....	42	48	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	35	35	37
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	13	11
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	7	13	11
1900 Budget authority (total) .....	42	48	48
1930 Total budgetary resources available .....	43	48	48
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	15	16
3010 Obligations incurred, unexpired accounts .....	42	48	48
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-38	-47	-42
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	15	16	22
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	10	11
3200 Obligated balance, end of year .....	10	11	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42	48	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	31	32
4011 Outlays from discretionary balances .....	8	16	10
4020 Outlays, gross (total) .....	38	47	42
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-13	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	5		
4070 Budget authority, net (discretionary) .....	35	35	37
4080 Outlays, net (discretionary) .....	31	34	31
4180 Budget authority, net (total) .....	35	35	37
4190 Outlays, net (total) .....	31	34	31

The Office of Inspector General (OIG) conducts audits, investigations, and reviews designed to: (1) promote integrity, efficiency, and effectiveness in programs and operations within the Department and across the OIG's jurisdiction; and (2) keep the Secretary and the Congress fully and currently informed of problems and deficiencies in the administration of Departmental programs and operations. The OIG conducts audits, investigations, and reviews of Treasury programs and operations except those under jurisdictional oversight of the Treasury Inspector General for Tax Administration and the Special Inspector General for the Troubled Asset Relief Program. Additionally, the Treasury Inspector General functions as the Chair of the Council of Inspectors General on Financial Oversight. Finally, the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act (RESTORE Act) tasked the OIG

with providing oversight of all projects, programs, and operations of the Gulf Coast Restoration Trust Fund.

The 2017 request for the OIG will be used to fund critical audit, investigative, and other mission support activities to meet the requirements of the Inspector General Act, and a number of other statutes including, but not limited to, the Dodd-Frank Wall Street Reform and Consumer Protection Act, Federal Information Security Management Act (FISMA), Government Management Reform Act, Improper Payments Elimination and Recovery Act, Digital Accountability and Transparency Act of 2014, Federal Deposit Insurance Act, Small Business Jobs Act of 2010, and the RESTORE Act. Specific mandates include audits of the Department's financial statements, the Department's compliance with FISMA, and failed insured depository institutions regulated by Treasury. With the resources available after mandated requirements are met, the OIG will conduct audits and reviews of the Department's highest risk programs and operations such as: (1) cybersecurity threats; (2) management of Treasury's authorities intended to support and improve the economy; (3) efforts to promote spending transparency and to prevent and detect improper payments; (4) anti-money laundering and terrorist financing/Bank Secrecy Act Enforcement; and (5) Gulf Coast Restoration Trust Fund Administration. The OIG will also respond to stakeholder requests for specific work as appropriate.

The Office of Audit expects to complete 100 percent of statutory audits by the required deadline and to complete 86 audit products in 2017. The Office will continue to provide oversight, on a reimbursable basis, of the Small Business Lending Fund and the State Small Business Credit Initiative. The programs were created by the Small Business Jobs Act of 2010 and assigned to the Department of the Treasury for management and execution.

In 2017, the OIG Office of Investigations will continue to investigate all reports of fraud, waste, and abuse and other criminal activity, such as financial programs where fraud and other crimes are involved in the issuance of licenses or benefits to citizens, and will conduct proactive efforts to detect, investigate, and deter electronic crimes and other threats to Treasury's physical and IT critical infrastructure. The Office of Investigations will continue current efforts to aggressively investigate, close, and refer cases for criminal prosecution, civil litigation, or corrective administrative action in a timely manner.

**Object Classification** (in millions of dollars)

Identification code 020-0106-0-1-803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	16	20	20
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	17	21	21
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	1	1
25.2 Other services from non-Federal sources .....	3	3	5
25.3 Other goods and services from Federal sources .....	2	2	2
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	32	35	37
99.0 Reimbursable obligations .....	9	12	10
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	42	48	48

**Employment Summary**

Identification code 020-0106-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	151	194	194
2001 Reimbursable civilian full-time equivalent employment .....	10	19	19

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION

SALARIES AND EXPENSES

For necessary expenses of the Treasury Inspector General for Tax Administration in carrying out the Inspector General Act of 1978, as amended, including purchase and hire of passenger motor vehicles (31 U.S.C. 1343(b)); and services authorized by 5 U.S.C. 3109, at such rates as may be determined by the Inspector General for Tax Administration; **[\$167,275,000]** \$169,634,000, of which \$5,000,000 shall remain available until September 30, **[2017]** 2018; of which not to exceed \$6,000,000 shall be available for official travel expenses; of which not to exceed \$500,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General for Tax Administration **;** and of which not to exceed \$1,500 shall be available for official reception and representation expenses **].** (Department of the Treasury Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 020-0119-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	57	65	66
0002 Investigations .....	101	102	103
0799 Total direct obligations .....	158	167	169
0801 Treasury Inspector General for Tax Administration (Reimbursable) .....	2	2	2
0900 Total new obligations .....	160	169	171
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	4	3
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	6	4	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	158	167	170
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....		1	1
1900 Budget authority (total) .....	158	168	171
1930 Total budgetary resources available .....	164	172	174
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	14	15
3010 Obligations incurred, unexpired accounts .....	160	169	171
3020 Outlays (gross) .....	-157	-168	-170
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	14	15	16
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	14	15
3200 Obligated balance, end of year .....	14	15	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	158	168	171
Outlays, gross:			
4010 Outlays from new discretionary authority .....	144	155	157
4011 Outlays from discretionary balances .....	13	13	13
4020 Outlays, gross (total) .....	157	168	170
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4070 Budget authority, net (discretionary) .....	158	167	170
4080 Outlays, net (discretionary) .....	156	167	169
4180 Budget authority, net (total) .....	158	167	170
4190 Outlays, net (total) .....	156	167	169

The Treasury Inspector General for Tax Administration (TIGTA) conducts independent audits, investigations, and inspections and evaluations of

Treasury Department matters relating to the Internal Revenue Service (IRS), the IRS Oversight Board, and the IRS Office of Chief Counsel. TIGTA's oversight helps ensure that the IRS accomplishes its mission; improves its programs and operations; promotes economy, efficiency, and effectiveness; and prevents and detects fraud, waste, and abuse. TIGTA also continues to play a key role in ensuring the provisions of the Affordable Care Act are implemented and administered in accordance with the law and the intent of Congress.

In 2017, TIGTA's Office of Investigations will concentrate on three core areas: (1) employee integrity; (2) employee and infrastructure security; and (3) external attempts to corrupt tax administration. As the principal law enforcement agency responsible for protecting the integrity of tax administration, Investigations seeks to protect the IRS's ability to process approximately 242 million tax returns and collect over \$3.1 trillion in annual revenue for the Federal Government by investigating IRS employee misconduct and criminal activity, threats to IRS employees and facilities, and attempts to impede with the IRS's collection efforts.

In 2017, TIGTA's Office of Audit (OA) will focus on the major management and performance challenges and key issues confronting the IRS by balancing statutory audit coverage and high-risk audit work. The statutory coverage will include audits mandated by the IRS Restructuring and Reform Act of 1998 and other statutory authorities and standards involving computer security, taxpayer privacy and rights, and financial management. The remaining balance of TIGTA's audit work will focus on high-risk tax administration areas and the IRS's progress in achieving its strategic goals. Audits will address areas of concern to Congress, the Secretary of the Treasury, and the IRS Commissioner. OA's 2015 highlights include issuing 92 audit reports, and approximately \$26.6 billion in potential financial benefits.

In 2017, TIGTA's Office of Inspections and Evaluations (I&E) will conduct strategic reviews targeting specific tax administration problems. I&E provides responsive, timely, and cost-effective inspections and evaluations of challenging areas in IRS programs. I&E's oversight activities include inspecting IRS's compliance with established system controls and operating procedures and evaluating IRS operations for high-risk systemic inefficiencies.

Object Classification (in millions of dollars)

Identification code 020-0119-0-1-803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	81	91	92
11.5 Other personnel compensation .....	8	8	8
11.9 Total personnel compensation .....	89	99	100
12.1 Civilian personnel benefits .....	33	34	35
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	10	8	8
25.7 Operation and maintenance of equipment .....	2	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	6	6
99.0 Direct obligations .....	157	166	168
99.0 Reimbursable obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	160	169	171

Employment Summary

Identification code 020-0119-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	756	859	859
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2

COUNTERTERRORISM FUND

Program and Financing (in millions of dollars)

Identification code 020-0117-0-1-751	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Most of the balances in this account were transferred to the Department of Homeland Security in accordance with the Homeland Security Act of 2002 (P.L. 107-296). The remaining resources were used to fund projects related to domestic and international terrorism. This schedule reflects remaining balances in the account.

TERRORISM INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 020-0123-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Base Administrative Expenses .....	1	3	3
0003 Projected Payments to Insurers .....		85	230
0900 Total new obligations .....	1	88	233
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2	88	233
1930 Total budgetary resources available .....	2	89	234
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	88	233
3020 Outlays (gross) .....	-1	-88	-233
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	88	233
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	85	233
4101 Outlays from mandatory balances .....		3	
4110 Outlays, gross (total) .....	1	88	233
4180 Budget authority, net (total) .....	2	88	233
4190 Outlays, net (total) .....	1	88	233

The Terrorism Risk Insurance Program Reauthorization Act of 2015 (P.L. 114-1) reauthorized and revised the program established by the Terrorism Risk Insurance Act (TRIA) of 2002 (P.L. 107-297). The 2015 Act extended the Terrorism Risk Insurance Program for six years, through December 31, 2020, and made several program changes to reduce the potential costs associated with Federal payments of terrorism risk insurance losses under the Program. The Budget baseline includes the estimated Federal cost of providing payments in connection with terrorism risk insurance losses, reflecting the Program changes under the 2015 TRIA extension. While the Budget does not forecast any specific act of terrorism, on a probabilistic basis and using market data, the Budget baseline projects net spending of \$0.233 billion for 2017, \$1.404 billion over the 2017-2021 period, and \$1.183 billion over the 2017-2026 period.

Object Classification (in millions of dollars)

Identification code 020-0123-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
25.2 Other services from non-Federal sources .....		1	1
42.0 Insurance claims and indemnities .....		85	230
99.0 Direct obligations .....	1	88	233
99.9 Total new obligations .....	1	88	233

Employment Summary

Identification code 020-0123-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	10	10

TREASURY FORFEITURE FUND

[(RESCISSION)] (CANCELLATION)

Of the unobligated balances available under this heading, [\$700,000,000] \$657,000,000 are [rescinded] hereby permanently cancelled not later than September 30, 2017. (Department of the Treasury Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5697-0-2-751	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	962	1,344	997
Receipts:			
Current law:			
1110 Forfeited Cash and Proceeds from Sale of Forfeited Property, Treasury Forfeiture Fund .....	4,633	470	413
1140 Earnings on Investments, Treasury Forfeiture Fund .....	1	1	1
1199 Total current law receipts .....	4,634	471	414
1999 Total receipts .....	4,634	471	414
2000 Total: Balances and receipts .....	5,596	1,815	1,411
Appropriations:			
Current law:			
2101 Treasury Forfeiture Fund .....	-4,634	-487	-414
2103 Treasury Forfeiture Fund .....	-961	-1,343	-973
2132 Treasury Forfeiture Fund .....	1,343	876	
2132 Treasury Forfeiture Fund .....		97	
2134 Treasury Forfeiture Fund .....		39	
2199 Total current law appropriations .....	-4,252	-818	-1,387
2999 Total appropriations .....	-4,252	-818	-1,387
5099 Balance, end of year .....	1,344	997	24

Program and Financing (in millions of dollars)

Identification code 020-5697-0-2-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Asset forfeiture fund .....	4,324	547	480
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	144	98	158
1021 Recoveries of prior year unpaid obligations .....	26	3,589	30
1050 Unobligated balance (total) .....	170	3,687	188
Budget authority:			
Appropriations, discretionary:			
1130 Appropriations permanently reduced .....			-657
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4,634	487	414
1203 Appropriation (previously unavailable) .....	961	1,343	973
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-3,800	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1,343	-876	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-97	
1234 Appropriations precluded from obligation .....		-39	
1260 Appropriations, mandatory (total) .....	4,252	-2,982	1,387
1900 Budget authority (total) .....	4,252	-2,982	730

TREASURY FORFEITURE FUND—Continued  
Program and Financing—Continued

Identification code 020-5697-0-2-751	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....	4,422	705	918
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98	158	438
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	983	4,779	717
3010 Obligations incurred, unexpired accounts .....	4,324	547	480
3020 Outlays (gross) .....	-502	-1,020	-875
3040 Recoveries of prior year unpaid obligations, unexpired .....	-26	-3,589	-30
3050 Unpaid obligations, end of year .....	4,779	717	292
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	983	4,779	717
3200 Obligated balance, end of year .....	4,779	717	292
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-657
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-328
Mandatory:			
4090 Budget authority, gross .....	4,252	-2,982	1,387
Outlays, gross:			
4100 Outlays from new mandatory authority .....	88	410	693
4101 Outlays from mandatory balances .....	414	610	510
4110 Outlays, gross (total) .....	502	1,020	1,203
4180 Budget authority, net (total) .....	4,252	-2,982	730
4190 Outlays, net (total) .....	502	1,020	875
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,059	6,191	2,400
5001 Total investments, EOY: Federal securities: Par value .....	6,191	2,400	2,400

The mission of the Treasury Forfeiture Fund (Fund) is to influence the consistent and strategic use of asset forfeiture by participating agencies to disrupt and dismantle criminal enterprises. The Fund supports Federal, state, and local law enforcement's use of asset forfeiture to punish and deter criminal activity. Proceeds from non-tax forfeitures made by participating bureaus of the Department of the Treasury and the Department of Homeland Security are deposited into the Fund and are available to pay or reimburse certain costs and expenses related to seizures and forfeitures that occur pursuant to laws enforced by the bureaus and other expenses authorized by 31 U.S.C. 9705. Forfeiture proceeds can also be used to fund Federal law enforcement related activities based on requests from Federal agencies and evaluation by the Secretary of the Treasury. The Budget proposes to permanently cancel \$657 million of unobligated balances.

Object Classification (in millions of dollars)

Identification code 020-5697-0-2-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	53	57	56
25.3 Other goods and services from Federal sources .....	142	162	162
41.0 Grants, subsidies, and contributions .....	177	328	262
44.0 Refunds .....	3,929		
94.0 Financial transfers .....	22		
99.0 Direct obligations .....	4,323	547	480
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	4,324	547	480

FINANCIAL RESEARCH FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5590-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	8	7	8

Receipts:			
Current law:			
1110 Fees and Assessments, Financial Research Fund .....	93	114	123
2000 Total: Balances and receipts .....	101	121	131
Appropriations:			
Current law:			
2101 Financial Research Fund .....	-93	-114	-123
2103 Financial Research Fund .....	-8	-7	-8
2132 Financial Research Fund .....	7	8	
2199 Total current law appropriations .....	-94	-113	-131
2999 Total appropriations .....	-94	-113	-131
5099 Balance, end of year .....	7	8	

Program and Financing (in millions of dollars)

Identification code 020-5590-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 FSOC .....	6	8	9
0003 FDIC Payments .....	8	7	9
0091 FSOC subtotal .....	14	15	18
0101 OFR .....	85	99	105
0900 Total new obligations .....	99	114	123
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	82	80	82
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1050 Unobligated balance (total) .....	85	83	85
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	93	114	123
1203 Appropriation (previously unavailable) .....	8	7	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	-8	
1260 Appropriations, mandatory (total) .....	94	113	131
1930 Total budgetary resources available .....	179	196	216
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	80	82	93
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	32	23
3010 Obligations incurred, unexpired accounts .....	99	114	123
3020 Outlays (gross) .....	-89	-120	-125
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	32	23	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	32	23
3200 Obligated balance, end of year .....	32	23	18
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	94	113	131
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	27	39
4101 Outlays from mandatory balances .....	82	93	86
4110 Outlays, gross (total) .....	89	120	125
4180 Budget authority, net (total) .....	94	113	131
4190 Outlays, net (total) .....	89	120	125
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	115	118	118
5001 Total investments, EOY: Federal securities: Par value .....	118	118	118

The Office of Financial Research (OFR) and the Financial Stability Oversight Council (Council) were established under the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (the Act) (P.L. 111-203).

The OFR was established to serve the Council, its member agencies, and the public by improving the quality, transparency, and accessibility of financial data and information, by conducting and sponsoring research related to financial stability, and by promoting best practices in risk management. The OFR is an office within the Department of the Treasury.

The OFR's public research products include an Annual Report, the OFR Working Papers, Staff Discussion Papers, and Briefs, as well as products for the Council that the Council may make public. These products are made available to the public on the OFR website. The OFR coordinates among the Council member agencies by facilitating data requests, promoting a culture of data sharing, and enhancing the quality, consistency, and usability of financial data available to member agencies. The products and services developed by the OFR are designed to provide both direct monetary and risk-reduction value to constituents.

The Council is comprised of 10 voting members, including all Federal financial regulators, and five non-voting members. The Secretary of the Treasury serves as Chair of the Council. The Council's purpose is to identify risks to the financial stability of the United States, promote market discipline, and respond to emerging threats to the stability of the U.S. financial system.

As required under Section 210(n)(10) of the Act, the Council's expenses also include reimbursements of certain reasonable implementation expenses incurred by the Federal Deposit Insurance Corporation in the development of policies, procedures, rules, and regulations and other planning activities consistent with carrying out Orderly Liquidation Authority provided by Title II of the Act. These expenses are treated as expenses of the Council, and are estimated at \$6.6 million in 2016 and \$9.5 million in 2017.

The OFR and the Council were funded through transfers from the Board of Governors of the Federal Reserve System until July 20, 2012. Subsequently, the OFR and the Council have been funded through assessments on certain bank holding companies with total consolidated assets of \$50 billion or greater and nonbank financial companies supervised by the Board of Governors. Expenses of the Council are considered expenses of, and are paid by, the OFR. OFR expenses are paid for out of the Financial Research Fund, which was established by the Act and which is managed by the Department of the Treasury. Projected fees and assessments are estimates and may change.

**Object Classification** (in millions of dollars)

Identification code 020-5590-0-2-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	34	37
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	32	35	38
12.1 Civilian personnel benefits .....	10	12	13
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	4	5
25.1 Advisory and assistance services .....	9	13	12
25.2 Other services from non-Federal sources .....	1	2	2
25.3 Other goods and services from Federal sources .....	25	24	27
26.0 Supplies and materials .....	8	6	6
31.0 Equipment .....	9	17	19
99.0 Direct obligations .....	98	114	123
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	99	114	123

**Employment Summary**

Identification code 020-5590-0-2-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	216	264	291

**PRESIDENTIAL ELECTION CAMPAIGN FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5081-0-2-808	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	2	3
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Presidential Election Campaign Fund .....	30	50	50

2000 Total: Balances and receipts .....	32	52	53
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Presidential Election Campaign Fund .....	-30	-50	-50
2103 Presidential Election Campaign Fund .....	-2	-2	-3
2132 Presidential Election Campaign Fund .....	2	3	
2199 Total current law appropriations .....	-30	-49	-53
2999 Total appropriations .....	-30	-49	-53
5099 Balance, end of year .....	2	3	

**Program and Financing** (in millions of dollars)

Identification code 020-5081-0-2-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Presidential Election Campaigns .....	1	261	52
0003 NIH Pediatric Research Fund Transfer .....		1	1
0900 Total new obligations (object class 41.0) .....	1	262	53
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	259	288	75
1050 Unobligated balance (total) .....	259	288	75
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	30	50	50
1203 Appropriation (Sequestration pop-up, Authorizing Committee) .....	2	2	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-3	
1260 Appropriations, mandatory (total) .....	30	49	53
1930 Total budgetary resources available .....	289	337	128
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	288	75	75

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			47
3010 Obligations incurred, unexpired accounts .....	1	262	53
3020 Outlays (gross) .....	-1	-215	-4
3050 Unpaid obligations, end of year .....		47	96
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			47
3200 Obligated balance, end of year .....		47	96

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	30	49	53
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		2	3
4101 Outlays from mandatory balances .....	1	213	1
4110 Outlays, gross (total) .....	1	215	4
4180 Budget authority, net (total) .....	30	49	53
4190 Outlays, net (total) .....	1	215	4

Individual Federal income tax returns include an optional Federal income tax designation of \$3 that an individual may elect to be paid to the Presidential Election Campaign Fund (PECF). In recent years, fewer than 7 percent of individuals have elected to make this designation, resulting in less than \$40 million being paid into the PECF annually. The Department of the Treasury collects the income tax designations and makes distributions from the PECF to qualified presidential candidates and, starting in 2014, to the Pediatric Research Initiative Fund at the National Institutes of Health (NIH). Money for the public funding of presidential elections can only come from the PECF; if the PECF were to exhaust its fund balances, no other public funding could be used.

The Federal Election Commission administers the public funding program, determining which candidates are eligible, the amount to which they are entitled, and auditing their use of funds. Current uses of the PECF are given below.

**Matching Funds for Presidential Primary Candidates.**— Upon certification by the Federal Election Commission—based on a demonstration of broad national support, adherence to spending limits, and other qualifica-

PRESIDENTIAL ELECTION CAMPAIGN FUND—Continued

tions—every eligible presidential primary candidate is entitled to receive \$250 in Federal matching funds for the first eligible \$250 of private contributions received from an individual. The private contributions must be received after the beginning of the calendar year immediately preceding the election year through the end of the calendar year of the election.

**Candidates for General Elections.**—By statute, eligible candidates of each major party in a presidential election are entitled to equal payments in an amount that may not exceed \$20 million (adjusted for inflation since 1974) per party. In 2012, this amounted to \$91.2 million for each candidate, but neither major party candidate accepted general election funding. Eligibility for this funding depends on meeting several criteria, such as agreeing to limit spending to amounts specified by campaign finance laws. In addition, candidates from new parties, minor parties, and non-major parties who receive in excess of 5 percent of the popular vote may be entitled to a pro rata portion of the major party amount in the general election.

**Nominating Party Conventions.**—On April 3, 2014, the President signed into law the Gabriella Miller Kids First Research Act, P.L. 113–94. This Act amended the Internal Revenue Code to terminate the entitlement of any political party to a payment from the PECF for a presidential nominating convention. The Act also mandated the transfer of amounts in the PECF for nominating party conventions to a newly created 10-Year Pediatric Research Initiative Fund at NIH and authorized appropriations for the new Fund.

EXCHANGE STABILIZATION FUND

Program and Financing (in millions of dollars)

Identification code 020-4444-0-3-155	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40,507	38,159	38,209
1021 Recoveries of prior year unpaid obligations .....	2,786		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	-5,173		
1050 Unobligated balance (total) .....	38,120	38,159	38,209
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	39	50	73
1930 Total budgetary resources available .....	38,159	38,209	38,282
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	38,159	38,209	38,282
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	57,564	54,778	54,778
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2,786		
3050 Unpaid obligations, end of year .....	54,778	54,778	54,778
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	57,564	54,778	54,778
3200 Obligated balance, end of year .....	54,778	54,778	54,778
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	39	50	73
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-5	-10	-30
4123 Non-Federal sources .....	-34	-40	-43
4130 Offsets against gross budget authority and outlays (total) .....	-39	-50	-73
4170 Outlays, net (mandatory) .....	-39	-50	-73
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-39	-50	-73
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	22,649	20,773	22,654
5001 Total investments, EOY: Federal securities: Par value .....	20,773	22,654	22,684

Under the law creating the Exchange Stabilization Fund (ESF), section 10 of the Gold Reserve Act of 1934, as amended, codified at 31 U.S.C.

5302, the Secretary of the Treasury, with the approval of the President, is authorized to deal in gold, foreign exchange, and other instruments of credit and securities, as the Secretary considers necessary, consistent with U.S. obligations in the International Monetary Fund (IMF) regarding orderly exchange arrangements and a stable system of exchange rates. All earnings and interest accruing to the ESF are available for the purposes thereof. Transactions in Special Drawing Rights (SDRs) and U.S. holdings of SDRs are administered by the fund. By law, the fund is not available to pay administrative expenses.

Since 1934, the principal sources of the fund's income have been earnings on investments held by the fund, including interest earned on fund holdings of U.S. Government securities.

The amounts reflected in the 2016 and 2017 estimates entail only projected net interest earnings on ESF assets. The estimates are subject to considerable variance, depending on changes in the amount and composition of assets and the interest rates applied to investments. In addition, these estimates make no attempt to forecast gains or losses on SDR valuation or foreign currency valuation.

Balance Sheet (in millions of dollars)

Identification code 020-4444-0-3-155	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par .....	22,649	22,644
1201 Non-Federal assets: Foreign Currency Investments .....	22,343	20,029
1801 Other Federal assets: Special Drawing Rights .....	53,154	50,336
1999 Total assets .....	98,146	93,009
<b>LIABILITIES:</b>		
2207 Non-Federal liabilities: Other .....	57,564	54,779
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	200	200
3300 Cumulative results of operations .....	40,382	38,030
3999 Total net position .....	40,582	38,230
4999 Total liabilities and net position .....	98,146	93,009

TREASURY FRANCHISE FUND

For provision of necessary financial and administrative support services by the Administrative Resource Center to implement requirements of the Digital Accountability and Transparency Act (DATA Act; Public Law 113–101) for Federal agencies, \$3,000,000, to remain available until expended: Provided, That such amount shall be in addition to any other amounts available for such purpose.

Program and Financing (in millions of dollars)

Identification code 020-4560-0-4-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0802 Financial Management Administrative Support Service .....	147	169	165
0804 Information Technology Services .....	160	175	184
0806 Shared Services Program .....	217	220	230
0900 Total new obligations .....	524	564	579
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	147	159	176
1021 Recoveries of prior year unpaid obligations .....	14	25	19
1050 Unobligated balance (total) .....	161	184	195
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	542	556	567
1701 Change in uncollected payments, Federal sources .....	-20		
1750 Spending auth from offsetting collections, disc (total) .....	522	556	567
1900 Budget authority (total) .....	522	556	570
1930 Total budgetary resources available .....	683	740	765
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	159	176	186

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	132	129	109
3010	Obligations incurred, unexpired accounts .....	524	564	579
3020	Outlays (gross) .....	-513	-559	-566
3040	Recoveries of prior year unpaid obligations, unexpired .....	-14	-25	-19
3050	Unpaid obligations, end of year .....	129	109	103
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-47	-27	-27
3070	Change in uncollected pymts, Fed sources, unexpired .....	20		
3090	Uncollected pymts, Fed sources, end of year .....	-27	-27	-27
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	85	102	82
3200	Obligated balance, end of year .....	102	82	76

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	522	556	570
Outlays, gross:				
4010	Outlays from new discretionary authority .....	422	478	488
4011	Outlays from discretionary balances .....	91	81	78
4020	Outlays, gross (total) .....	513	559	566
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-542	-556	-567
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	20		
4070	Budget authority, net (discretionary) .....			3
4080	Outlays, net (discretionary) .....	-29	3	-1
4180	Budget authority, net (total) .....			3
4190	Outlays, net (total) .....	-29	3	-1

The Treasury Franchise Fund (the Fund) was established by P.L. 104–208, made permanent by P.L. 108–447 and codified as 31 U.S.C. 322, note. The Fund is revolving in nature and provides accounting, procurement, travel, human resources, and information technology services through its three business lines: the Administrative Resource Center (ARC), Fiscal IT, and the Shared Services Program (SSP). SSP was transferred in from the Treasury Working Capital Fund on October 1, 2013. Services are provided to Federal customers on a reimbursable, fee-for-service basis. The 2017 Budget includes funding to reduce DATA Act implementation costs that ARC would otherwise have fully passed onto Federal customers.

**Object Classification (in millions of dollars)**

Identification code 020–4560–0–4–803				
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	123	138	141
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	4	4	4
11.9	Total personnel compensation .....	128	143	146
12.1	Civilian personnel benefits .....	41	47	48
21.0	Travel and transportation of persons .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	66	68	70
25.1	Advisory and assistance services .....	46	23	27
25.2	Other services from non-Federal sources .....	36	40	41
25.3	Other goods and services from Federal sources .....	103	109	110
25.7	Operation and maintenance of equipment .....	75	91	93
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	26	40	41
99.0	Reimbursable obligations .....	524	564	579
99.9	Total new obligations .....	524	564	579

**Employment Summary**

Identification code 020–4560–0–4–803				
2001	Reimbursable civilian full-time equivalent employment .....	1,545	1,785	1,888

GRANTS FOR SPECIFIED ENERGY PROPERTY IN LIEU OF TAX CREDITS, RECOVERY ACT

**Program and Financing (in millions of dollars)**

Identification code 020–0140–0–1–271				
2015 actual				
2016 est.				
2017 est.				
<b>Obligations by program activity:</b>				
0001	Grants for Specified Energy Property in Lieu of Tax Credits, Rec (Direct) .....	1,959	1,118	650
0900	Total new obligations (object class 41.0) .....	1,959	1,118	650
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	2,113	1,200	650
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-154	-82	
1260	Appropriations, mandatory (total) .....	1,959	1,118	650
1900	Budget authority (total) .....	1,959	1,118	650
1930	Total budgetary resources available .....	1,959	1,118	650
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	51		
3010	Obligations incurred, unexpired accounts .....	1,959	1,118	650
3020	Outlays (gross) .....	-2,010	-1,118	-650
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	51		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,959	1,118	650
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,959	1,118	650
4101	Outlays from mandatory balances .....	51		
4110	Outlays, gross (total) .....	2,010	1,118	650
4180	Budget authority, net (total) .....	1,959	1,118	650
4190	Outlays, net (total) .....	2,010	1,118	650

Section 1603 of the American Recovery and Reinvestment Act of 2009 requires the Secretary of the Treasury to make payments in lieu of tax credits to entities that place in service specified energy property. This account presents the estimated disbursements for this program.

This program provides payments for specified energy property, which includes qualified facilities that produce electricity from wind, biomass, and certain other renewable resources; solar property; qualified fuel cell property; geothermal property; qualified microturbine property; combined heat and power system property; and geothermal heat pump property. Payments are available for property placed in service in 2009, 2010, or 2011. In some cases, if construction began in 2009, 2010, or 2011, the payment can be claimed for property placed in service before 2013, 2014, or 2017, depending on the type of property.

In general, projects that meet the eligibility criteria for the investment tax credit (ITC) are eligible for the payments. These projects include qualified renewable energy facilities that meet the eligibility criteria for the production tax credit and have elected to instead claim the ITC. An entity receiving a Section 1603 payment for specified energy property may not also claim the ITC or the production tax credit with respect to the same property. In the Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (P.L. 111–312), section 707(a) extended for one year, through 2011, the time within which certain eligible property must be placed in service or start construction.

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM ACCOUNT

To carry out the Riegle Community Development and Regulatory Improvements Act of 1994 (subtitle A of title I of Public Law 103–325), including services authorized by section 3109 of title 5, United States Code, but at rates for individuals not to exceed the per diem rate equivalent to the rate for EX-3, **[\$233,523,000] \$245,923,000**. Of the amount appropriated under this heading—

(1) not less than \$153,423,000, notwithstanding section 108(e) of Public Law 103–325 (12 U.S.C. 4707(e)) with regard to Small and/or Emerging Community

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM  
ACCOUNT—Continued

Development Financial Institutions Assistance awards, is available until September 30, [2017]2018, for financial assistance and technical assistance under subparagraphs (A) and (B) of section 108(a)(1), respectively, of Public Law 103–325 (12 U.S.C. 4707(a)(1)(A) and (B)), of which up to [\$3,102,500] \$2,882,500 may be used for the cost of direct loans: *Provided*, That the cost of direct and guaranteed loans, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$25,000,000;

(2) not less than \$15,500,000, notwithstanding section 108(e) of Public Law 103–325 (12 U.S.C. 4707(e)), is available until September 30, [2017]2018, for financial assistance, technical assistance, training and outreach programs designed to benefit Native American, Native Hawaiian, and Alaskan Native communities and provided primarily through qualified community development lender organizations with experience and expertise in community development banking and lending in Indian country, Native American organizations, tribes and tribal organizations, and other suitable providers;

(3) not less than \$19,000,000 is available until September 30, [2017]2018, for the Bank Enterprise Award program;

(4) not less than \$22,000,000, notwithstanding subsections (d) and (e) of section 108 of Public Law 103–325 (12 U.S.C. 4707(d) and (e)), is available until September 30, [2017]2018, for a Healthy Food Financing Initiative to provide financial assistance, technical assistance, training, and outreach to community development financial institutions for the purpose of offering affordable financing and technical assistance to expand the availability of healthy food options in distressed communities;

(5) up to [\$23,600,000] \$26,000,000 is available until September 30, [2016]2018, for administrative expenses, including administration of CDFI fund programs and the New Markets Tax Credit Program, of which [not less than \$1,000,000 is for capacity building to expand CDFI investments in underserved rural areas, and] up to \$300,000 is for administrative expenses to carry out the direct loan program; [and]

(6) not less than \$10,000,000 is available until September 30, 2018, to provide grants for loan loss reserve funds and to provide technical assistance for small dollar loan programs under section 1206 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Public Law 111–203); and

([6]7) during fiscal year [2016]2017, none of the funds available under this heading are available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of commitments to guarantee bonds and notes under section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a): *Provided*, That commitments to guarantee bonds and notes under such section 114A shall not exceed [\$750,000,000] \$1,000,000,000: *Provided further*, That such section 114A shall remain in effect until September 30, [2016]2017. (*Department of the Treasury Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 020–1881–0–1–451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0009 General Administrative Expenses .....	30	24	26
0012 Financial Assistance .....	151	153	153
0013 Small Dollar Loan Program .....			10
0014 Native American/Hawaiian Program .....	21	16	16
0026 Healthy Food Initiative .....	22	22	22
0028 Bank Enterprise Award .....	18	19	19
0091 Direct program activities, subtotal .....	242	234	246
Credit program obligations:			
0701 Direct loan subsidy .....	1	3	3
0705 Reestimates of direct loan subsidy .....	1	4	
0706 Interest on reestimates of direct loan subsidy .....	1	1	
0791 Direct program activities, subtotal .....	3	8	3
0900 Total new obligations .....	245	242	249
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	9	13
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	16	9	
1021 Recoveries of prior year unpaid obligations .....	4	5	5
1050 Unobligated balance (total) .....	20	14	18

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	231	234	246
Appropriations, mandatory:			
1200 Appropriation .....	2	5	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1900 Budget authority (total) .....	234	241	248
1930 Total budgetary resources available .....	254	255	266
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9	13	17

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	215	229	253
3010 Obligations incurred, unexpired accounts .....	245	242	249
3020 Outlays (gross) .....	-227	-213	-264
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-5	-5
3050 Unpaid obligations, end of year .....	229	253	233
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	215	229	253
3200 Obligated balance, end of year .....	229	253	233

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	232	236	248
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	72	76
4011 Outlays from discretionary balances .....	210	140	186
4020 Outlays, gross (total) .....	227	212	262
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....		-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-1	-2	-2
4070 Budget authority, net (discretionary) .....	231	234	246
4080 Outlays, net (discretionary) .....	226	210	260
Mandatory:			
4090 Budget authority, gross .....	2	5	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	
4101 Outlays from mandatory balances .....			2
4110 Outlays, gross (total) .....		1	2
4180 Budget authority, net (total) .....	233	239	246
4190 Outlays, net (total) .....	226	211	262

<b>Memorandum (non-add) entries:</b>			
5010 Total investments, SOY: non-Fed securities: Market value .....	17	17	17
5011 Total investments, EOY: non-Fed securities: Market value .....	17	17	17

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020–1881–0–1–451	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Community Development Financial Institutions Prog Fin Assist. ....	12	25	25
115002 Bond Guarantee Program .....	331	750	1,000
115999 Total direct loan levels .....	343	775	1,025
Direct loan subsidy (in percent):			
132001 Community Development Financial Institutions Prog Fin Assist. ....	12.41	12.38	11.53
132002 Bond Guarantee Program .....	-1.35	0.00	0.00
132999 Weighted average subsidy rate .....	-0.87	0.40	0.28
Direct loan subsidy budget authority:			
133001 Community Development Financial Institutions Prog Fin Assist. ....	1	3	3
133002 Bond Guarantee Program .....	-4		
133999 Total subsidy budget authority .....	-3	3	3
Direct loan subsidy outlays:			
134001 Community Development Financial Institutions Prog Fin Assist. ....	2	4	4
134002 Bond Guarantee Program .....	-2		
134999 Total subsidy outlays .....		4	4
Direct loan reestimates:			
135001 Community Development Financial Institutions Prog Fin Assist. ....		1	
135002 Bond Guarantee Program .....		3	
135999 Total direct loan reestimates .....		4	

The Community Development Financial Institutions (CDFI) Fund promotes economic and community development through investment in and assistance to CDFIs, which include community development banks, credit unions, loan funds, and venture capital funds, in order to expand the availability of financial services and affordable credit for underserved populations, including distressed urban, rural, Native American, Native Hawaiian, and Alaska Native communities.

The 2017 Budget provides funding for the CDFI Program, the Healthy Food Financing Initiative, the Native American CDFI Assistance Program, and the Bank Enterprise Award Program. The 2017 Budget also provides funding for the Small Dollar Loan Program, authorized by Section 1206 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203). This Program will support broader access to safe and affordable financial products and provide an alternative to predatory lending by encouraging CDFIs to establish and maintain small dollar loan programs.

The CDFI Fund's Bond Guarantee Program supports CDFI lending and investment activity by providing a source of long-term capital in low-income and underserved communities. The proceeds of guaranteed bonds spur job creation among small businesses and entrepreneurs, and provide needed financing for infrastructure development projects such as community facilities and affordable housing. The Budget proposes to extend the program's authorization, with an annual guarantee level not to exceed \$1 billion. The Budget also proposes reforms to the Bond Guarantee Program to increase participation and ensure credit-worthy CDFIs have access to this important source of capital while continuing to maintain strong protections against credit risk. The CDFI Bond Guarantee Program will continue to operate at no budgetary cost for new issuances.

The 2017 Budget proposes an increase of \$1 million in administrative funding for the development of the Community Development Impact Measuring Estimator (CDIME). This modeling tool will improve the data quality of reported social impact measures and will allow for more efficient funding through CDFI Fund programs.

**Object Classification** (in millions of dollars)

Identification code 020–1881–0–1–451	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	14	7	8
25.3 Other goods and services from Federal sources .....	6	7	8
33.0 Investments and loans .....	1		
41.0 Grants, subsidies, and contributions .....	213	218	223
99.0 Direct obligations .....	244	242	249
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	245	242	249

**Employment Summary**

Identification code 020–1881–0–1–451	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	75	79	87

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 020–4088–0–3–451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	343	775	1,025
0713 Payment of interest to Treasury .....	3	3	3
0740 Negative subsidy obligations .....	4		
0742 Downward reestimate paid to receipt account .....	2	1	
0900 Total new obligations .....	352	779	1,028

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	9
1021 Recoveries of prior year unpaid obligations .....	1		
1024 Unobligated balance of borrowing authority withdrawn .....	–1		
1050 Unobligated balance (total) .....		1	9
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	345	774	1,024
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	15	24	20
1801 Change in uncollected payments, Federal sources .....		1	1
1825 Spending authority from offsetting collections applied to repay debt .....	–7	–12	–14
1850 Spending auth from offsetting collections, mand (total) .....	8	13	7
1900 Budget authority (total) .....	353	787	1,031
1930 Total budgetary resources available .....	353	788	1,040
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	9	12

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	514	750	1,148
3010 Obligations incurred, unexpired accounts .....	352	779	1,028
3020 Outlays (gross) .....	–115	–381	–381
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	750	1,148	1,795
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–1	–1	–2
3070 Change in uncollected pymts, Fed sources, unexpired .....		–1	–1
3090 Uncollected pymts, Fed sources, end of year .....	–1	–2	–3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	513	749	1,146
3200 Obligated balance, end of year .....	749	1,146	1,792

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	353	787	1,031
Financing disbursements:			
4110 Outlays, gross (total) .....	115	381	381
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–3	–9	–5
4122 Interest on uninvested funds .....	–2	–2	–2
4123 Non-Federal sources - Interest repayments .....	–10	–13	–13
4130 Offsets against gross budget authority and outlays (total) ....	–15	–24	–20
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....		–1	–1
4160 Budget authority, net (mandatory) .....	338	762	1,010
4170 Outlays, net (mandatory) .....	100	357	361
4180 Budget authority, net (total) .....	338	762	1,010
4190 Outlays, net (total) .....	100	357	361

**Status of Direct Loans** (in millions of dollars)

Identification code 020–4088–0–3–451	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	343	775	1,025
1150 Total direct loan obligations .....	343	775	1,025
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	66	76	82
1231 Disbursements: Direct loan disbursements .....	15	11	15
1251 Repayments: Repayments and prepayments .....	–4	–4	–5
1263 Write-offs for default: Direct loans .....	–1	–1	–2
1290 Outstanding, end of year .....	76	82	90

**Balance Sheet** (in millions of dollars)

Identification code 020–4088–0–3–451	2014 actual	2015 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	66	76
1405 Allowance for subsidy cost (-) .....	–13	–15
1499 Net present value of assets related to direct loans .....	53	61
1801 Other Federal assets: Cash and other monetary assets .....		1
1999 Total assets .....	53	62

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN FINANCING  
ACCOUNT—Continued

Balance Sheet—Continued

Identification code 020-4088-0-3-451	2014 actual	2015 actual
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	53	62
4999 Total liabilities and net position .....	53	62

FINANCIAL INNOVATION FOR WORKING FAMILIES FUND

The Budget proposes \$100 million for the Department of the Treasury to encourage the development of effective financial products that would help low- to moderate-income workers build up "rainy day" reserves. The reserves would provide these workers and their families with a buffer against shocks to income and spending needs. The program will fund grants and contracts to eligible competitors, including nonprofits, community banks, Community Development Financial Institutions, entrepreneurial incubators, financial intermediaries, financial service providers (depository and non-depository), and other businesses that offer appropriate products. Recipients would use the funds to develop and study new financial products designed to overcome barriers to working families saving. To ensure that the products meet the program's goals, demonstrations would be tied to strong evaluation plans. Demonstrations may include large-scale explorations of financial innovations with some existing track record.

FINANCIAL INNOVATION FOR WORKING FAMILIES FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0149-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Financial Innovation for Working Families .....			15
0002 Administrative Costs .....			2
0900 Total new obligations .....			17
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			100
1930 Total budgetary resources available .....			100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			83
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			17
3020 Outlays (gross) .....			-15
3050 Unpaid obligations, end of year .....			2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			15
4180 Budget authority, net (total) .....			100
4190 Outlays, net (total) .....			15

Object Classification (in millions of dollars)

Identification code 020-0149-4-1-609	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
25.1 Advisory and assistance services .....			2
25.2 Other services from non-Federal sources .....			12
25.3 Other goods and services from Federal sources .....			2
99.0 Direct obligations .....			17

99.9 Total new obligations .....			17
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Employment Summary

Identification code 020-0149-4-1-609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			8

OFFICE OF FINANCIAL STABILITY

Program and Financing (in millions of dollars)

Identification code 020-0128-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Financial Stability (Direct) .....	169	148	127
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	182	148	127
1900 Budget authority (total) .....	182	148	127
1930 Total budgetary resources available .....	182	148	127
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-13		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	141	123	36
3010 Obligations incurred, unexpired accounts .....	169	148	127
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-152	-235	-132
3041 Recoveries of prior year unpaid obligations, expired .....	-37		
3050 Unpaid obligations, end of year .....	123	36	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	141	123	36
3200 Obligated balance, end of year .....	123	36	31
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	182	148	127
Outlays, gross:			
4100 Outlays from new mandatory authority .....	91	118	102
4101 Outlays from mandatory balances .....	61	117	30
4110 Outlays, gross (total) .....	152	235	132
4180 Budget authority, net (total) .....	182	148	127
4190 Outlays, net (total) .....	152	235	132

The Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) authorized the establishment of the Troubled Asset Relief Program (TARP) and the Office of Financial Stability (OFS) to purchase and insure certain types of troubled assets for the purpose of providing stability to and preventing disruption in the economy and financial systems and protecting taxpayers. The Act gives the Secretary of the Treasury broad and flexible authority to purchase and insure mortgages and other troubled assets, as well as inject capital by taking limited equity positions, as needed to stabilize the financial markets. This account provides for the administrative costs for the OFS, which oversees and manages the TARP.

Object Classification (in millions of dollars)

Identification code 020-0128-0-1-376	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	9	9	8
11.9 Total personnel compensation .....	9	9	8
12.1 Civilian personnel benefits .....	3	3	3
25.1 Advisory and assistance services .....	21	18	15
25.2 Other services from non-Federal sources .....	122	104	89
25.3 Other goods and services from Federal sources .....	14	14	12
99.9 Total new obligations .....	169	148	127

**Employment Summary**

Identification code 020-0128-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	74	72	64

**TROUBLED ASSET RELIEF PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 020-0132-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....		510	
0706 Interest on reestimates of direct loan subsidy .....		38	
0900 Total new obligations (object class 41.0) .....		548	

Identification code 020-0132-0-1-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		548	
1930 Total budgetary resources available .....		548	

Identification code 020-0132-0-1-376	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		548	
3020 Outlays (gross) .....		-548	

Identification code 020-0132-0-1-376	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		548	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		548	
4180 Budget authority, net (total) .....		548	
4190 Outlays, net (total) .....		548	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 020-0132-0-1-376	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Automotive Industry Financing Program .....	-286	-108	
135002 Term-Asset Backed Securities Loan Facility (TALF) .....	-2		
135999 Total direct loan reestimates .....	-288	-108	

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the TARP direct loans obligated and loan guarantees (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA.

The authority to make new financial commitments via the TARP expired on October 3, 2010, under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Budgetary Effects of the Troubled Asset Relief Program chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 020-4277-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....		1	
0742 Downward reestimate paid to receipt account .....	245	138	
0743 Interest on downward reestimates .....	43	518	
0900 Total new obligations .....	289	656	

**Budgetary resources:**

Identification code 020-4277-0-3-376	2014 actual	2015 actual	2016 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	288	109	1
1023 Unobligated balances applied to repay debt .....	-1		
1050 Unobligated balance (total) .....	287	109	1
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections .....	148	548	
1825 Spending authority from offsetting collections applied to repay debt .....	-37		
1850 Spending auth from offsetting collections, mand (total) .....	111	548	
1900 Budget authority (total) .....	111	548	
1930 Total budgetary resources available .....	398	657	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	109	1	1

**Change in obligated balance:**

Identification code 020-4277-0-3-376	2014 actual	2015 actual	2016 est.
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	289	656	
3020 Outlays (gross) .....	-289	-656	

**Financing authority and disbursements, net:**

Identification code 020-4277-0-3-376	2014 actual	2015 actual	2016 est.
Mandatory:			
4090 Budget authority, gross .....	111	548	
Financing disbursements:			
4110 Outlays, gross (total) .....	289	656	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-548	
4122 Interest on uninvested funds .....	-1		
4123 Warrants .....	-147		
4130 Offsets against gross budget authority and outlays (total) .....	-148	-548	
4160 Budget authority, net (mandatory) .....	-37		
4170 Outlays, net (mandatory) .....	141	108	
4180 Budget authority, net (total) .....	-37		
4190 Outlays, net (total) .....	141	108	

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 2008 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 020-4277-0-3-376	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	288	109
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....		
1401 Direct loans receivable, gross .....		
1405 Allowance for subsidy cost (-) .....	1,250	
1405 Allowance for subsidy cost (-) .....	-1,212	
1499 Net present value of assets related to direct loans .....	38	
1999 Total assets .....	326	109
<b>LIABILITIES:</b>		
Federal liabilities:		
2104 Resources payable to Treasury .....	38	109
2105 Other .....	288	
2999 Total upward reestimate subsidy BA [20-0132] .....	326	109
4999 Total liabilities and net position .....	326	109

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 020-0134-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	1	100	

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE PROGRAM—Continued**  
**Program and Financing—Continued**

Identification code 020-0134-0-1-376	2015 actual	2016 est.	2017 est.
0706 Interest on reestimates of direct loan subsidy .....	2		
0900 Total new obligations (object class 41.0) .....	3	100	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	3	100	
1930 Total budgetary resources available .....	3	100	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29		
3010 Obligations incurred, unexpired accounts .....	3	100	
3020 Outlays (gross) .....	-3	-100	
3041 Recoveries of prior year unpaid obligations, expired .....	-29		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	100	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	100	
4180 Budget authority, net (total) .....	3	100	
4190 Outlays, net (total) .....	3	100	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 020-0134-0-1-376	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Capital Purchase Program .....	-68	-90	
135004 Automotive Industry Financing Program (Equity) .....	-1,164	20	
135006 Community Development Capital Initiative .....	2	-26	
135999 Total direct loan reestimates .....	-1,230	-96	

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with TARP equity purchase obligations (including modifications of equity purchases that resulted from obligations in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA. The equity purchase programs serviced by this account include the American International Group Investment Program (AIGP), Targeted Investment Program (TIP), Automotive Industry Financing Program (AIFP), Public-Private Investment Program (PPIP), Community Development Capital Initiative (CDCI), and the Capital Purchase Program (CPP).

The authority to make new financial commitments via the TARP expired on October 3, 2010, under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Budgetary Effects of the Troubled Asset Relief Program chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 020-4278-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	29	31	31
0739 Disposition Fees .....	3	2	
0742 Downward reestimate paid to receipt account .....	389	57	
0743 Interest on downward reestimates .....	843	140	
0900 Total new obligations .....	1,264	230	31

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	313	30	
1021 Recoveries of prior year unpaid obligations .....	126		
1023 Unobligated balances applied to repay debt .....	-308		
1024 Unobligated balance of borrowing authority withdrawn .....	-90		
1050 Unobligated balance (total) .....	41	30	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		28	
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,822	197	67
1801 Change in uncollected payments, Federal sources .....	-29		
1825 Spending authority from offsetting collections applied to repay debt .....	-540	-25	-36
1850 Spending auth from offsetting collections, mand (total) .....	1,253	172	31
1900 Budget authority (total) .....	1,253	200	31
1930 Total budgetary resources available .....	1,294	230	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	127	1	1
3010 Obligations incurred, unexpired accounts .....	1,264	230	31
3020 Outlays (gross) .....	-1,264	-230	-31
3040 Recoveries of prior year unpaid obligations, unexpired .....	-126		
3050 Unpaid obligations, end of year .....	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29		
3070 Change in uncollected pymts, Fed sources, unexpired .....	29		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	98	1	1
3200 Obligated balance, end of year .....	1	1	1

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	1,253	200	31
Financing disbursements:			
4110 Outlays, gross (total) .....	1,264	230	31
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3	-100	
4122 Interest on uninvested funds .....	-4	-3	-2
4123 Dividends .....	-29	-16	-8
4123 Warrants .....	-358	-22	-23
4123 Redemption .....	-1,428	-56	-34
4130 Offsets against gross budget authority and outlays (total) .....	-1,822	-197	-67
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	29		
4160 Budget authority, net (mandatory) .....	-540	3	-36
4170 Outlays, net (mandatory) .....	-558	33	-36
4180 Budget authority, net (total) .....	-540	3	-36
4190 Outlays, net (total) .....	-558	33	-36

**Status of Direct Loans** (in millions of dollars)

Identification code 020-4278-0-3-376	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,854	714	591
1251 Repayments: Repayments and prepayments .....	-1,428	-56	-34
1263 Write-offs for default: Direct loans .....	-712	-67	-3
1290 Outstanding, end of year .....	714	591	554

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from equity purchases obligated in 2008 and beyond (including modifications of equity purchases that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 020-4278-0-3-376	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	321	31

Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	2,854 714
1405	Allowance for subsidy cost (-) .....	-2,495 -2,359
1405	Allowance for subsidy cost (-) .....	1,777 2,127
1499	Net present value of assets related to direct loans .....	2,136 482
1999	Total assets .....	2,457 513
LIABILITIES:		
Federal liabilities:		
2103	Debt .....	1,266 418
2105	Other .....	1,191 95
2999	Total liabilities .....	2,457 513
4999	Total liabilities and net position .....	2,457 513

TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS

Program and Financing (in millions of dollars)

Identification code 020-0136-0-1-604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Additional Authority for Hardest Hit Fund .....	2,000	
0100	Direct program activities, subtotal .....	2,000	
0900	Total new obligations (object class 41.0) .....	2,000	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	8,159	
1012	Unobligated balance transfers between expired and unexpired accounts .....	7,172	
1021	Recoveries of prior year unpaid obligations .....	947	2,000
1031	Other balances not available .....	-8,159	
1050	Unobligated balance (total) .....	8,119	2,000
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	40	
1900	Budget authority (total) .....	40	
1930	Total budgetary resources available .....	8,159	2,000
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	8,159	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	24,658	19,460 14,115
3010	Obligations incurred, unexpired accounts .....		2,000
3020	Outlays (gross) .....	-4,251	-5,345 -4,079
3040	Recoveries of prior year unpaid obligations, unexpired .....	-947	-2,000
3050	Unpaid obligations, end of year .....	19,460	14,115 10,036
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	24,658	19,460 14,115
3200	Obligated balance, end of year .....	19,460	14,115 10,036
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	40	
Outlays, gross:			
4101	Outlays from mandatory balances .....	4,251	5,345 4,079
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-40	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	4,211	5,345 4,079
<b>Memorandum (non-add) entries:</b>			
5103	Unexpired unavailable balance, SOY: Fulfilled purpose .....		947
5104	Unexpired unavailable balance, EOY: Fulfilled purpose .....		947

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0136-0-1-604	2015 actual	2016 est.	2017 est.
Guaranteed loan subsidy outlays:			
234001	FHA Refi Letter of Credit .....	2	
Guaranteed loan reestimates:			
235001	FHA Refi Letter of Credit .....	-4	-3

The Making Home Affordable (MHA) initiative was launched in March 2009 under the authority of sections 101 and 109 of the Emergency Economic Stabilization Act of 2008, as amended (EESA) (P.L. 110-343). The centerpiece of MHA is its first lien modification program, the Home Affordable Modification Program (HAMP), which offers affordable and sustainable mortgage modifications to responsible homeowners at risk of losing their homes to foreclosure. In addition to HAMP, MHA includes other programs intended to help homeowners who are unemployed, "underwater" on their loan (i.e. those who owe more on their home than it is currently worth), or are struggling with a second lien. MHA also includes options for homeowners who would like to transition to a more affordable living situation through a short sale or deed-in-lieu of foreclosure. In December 2015, the Consolidated Appropriations Act, 2016 (P.L. 114-113) codified the current application deadline of December 31, 2016, for HAMP and other MHA programs. Additionally, State Housing Finance Agencies in 18 States and the District of Columbia that have been most heavily impacted by the housing crisis, have been allocated a total of \$7.6 billion under EESA through the Hardest Hit Fund (HHF) to initiate locally-tailored foreclosure prevention programs, which may include such programs as mortgage payment assistance for unemployed or underemployed homeowners, principal reduction to help homeowners get into more affordable mortgages, funding to eliminate homeowners' second lien loans, funding for blight elimination activities, funding for down payment assistance to homebuyers, and help for homeowners who are transitioning out of their homes into more affordable living situations. The Consolidated Appropriations Act, 2016, extended Treasury's authority under EESA to incur certain obligations for the HHF through December 31, 2017; Treasury expects to allocate \$2 billion in additional funds to currently participating jurisdictions in early 2016. Funds under EESA also support a Federal Housing Administration (FHA) refinance program that allows overleveraged homeowners to refinance into a new FHA-insured loan if their existing mortgage holders agree to a short refinance and to write down principal. For more details, please see the Budgetary Effects of the Troubled Asset Relief Program chapter in the Analytical Perspectives volume.

TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4329-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	Default claim payments on principal .....	1	1
0713	Payment of interest to Treasury .....		1
0742	Downward reestimate paid to receipt account .....	4	3
0900	Total new obligations .....	4	4 2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	13	11 7
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	2	
1930	Total budgetary resources available .....	15	11 7
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	11	7 5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	4	4 2
3020	Outlays (gross) .....	-4	-4 -2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	2	
Financing disbursements:			
4110	Outlays, gross (total) .....	4	4 2

TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT  
FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 020-4329-0-3-371	2015 actual	2016 est.	2017 est.
Offsets against gross financing authority and disbursements: Offsetting collections (collected) from:			
4120 Federal sources .....	-2		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	4	2

Status of Guaranteed Loans (in millions of dollars)

Identification code 020-4329-0-3-371	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	463	440	416
2251 Repayments and prepayments .....	-23	-23	-23
2263 Adjustments: Terminations for default that result in claim payments .....		-1	-1
2290 Outstanding, end of year .....	440	416	392
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	55	55	55

Balance Sheet (in millions of dollars)

Identification code 020-4329-0-3-371	2014 actual	2015 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	11	11
1999 Total assets .....	11	11
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	11	11
4999 Total liabilities and net position .....	11	11

SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF PROGRAM  
SALARIES AND EXPENSES

For necessary expenses of the Office of the Special Inspector General in carrying out the provisions of the Emergency Economic Stabilization Act of 2008 (Public Law 110-343), **[\$40,671,000] \$41,160,000.** (Department of the Treasury Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 020-0133-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Special Inspector General for the Troubled Asset Relief Program (Direct) .....	42	48	46
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	18	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34	41	41
1930 Total budgetary resources available .....	60	59	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	11	6

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	16	15
3010 Obligations incurred, unexpired accounts .....	42	48	46
3020 Outlays (gross) .....	-36	-49	-49
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	16	15	12

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	13	16	15
3200 Obligated balance, end of year .....	16	15	12

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	34	41	41
Outlays, gross:			
4010 Outlays from new discretionary authority .....	28	33	33
4011 Outlays from discretionary balances .....	3	3	8
4020 Outlays, gross (total) .....	31	36	41
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	5	13	8
4180 Budget authority, net (total) .....	34	41	41
4190 Outlays, net (total) .....	36	49	49

The Office of the Special Inspector General for the Troubled Asset Relief Program (SIGTARP) was established by section 121 of the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343). SIGTARP is the only agency solely charged with the mission to advance economic stability through transparency, coordinated oversight, and robust enforcement related to the Troubled Asset Relief Program (TARP). SIGTARP is a white-collar law enforcement agency and delivers accountability for TARP-related criminal and civil misconduct. SIGTARP investigates fraud, waste, and abuse related to TARP, thereby being a voice for, and protecting the interests of, taxpayers.

In 2017, SIGTARP will continue to design and conduct programmatic and forensic audits of TARP operations, as well as recipients' compliance with their obligations under relevant law and contract, to increase transparency and identify fraud, waste, and abuse. SIGTARP will also continue to conduct and supervise criminal and civil investigations into any parties suspected of fraud related to TARP.

SIGTARP received an initial appropriation of \$50 million in permanent, indefinite budget authority in EESA. The Public-Private Investment Program Improvement and Oversight Act of 2009 (12 U.S.C. 5231a) provided \$15 million in supplemental funding to conduct audits and investigations of TARP programs designed to restart the asset-backed securities markets. Since 2010, SIGTARP has received annual appropriations to fund its operations.

Object Classification (in millions of dollars)

Identification code 020-0133-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	17	20	22
11.3 Other than full-time permanent .....	2	3	2
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	21	25	26
12.1 Civilian personnel benefits .....	6	7	8
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	2	3	2
25.2 Other services from non-Federal sources .....	1		
25.3 Other goods and services from Federal sources .....	11	11	8
99.0 Direct obligations .....	42	47	45
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations .....	42	48	46

Employment Summary

Identification code 020-0133-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	150	192	192

SMALL BUSINESS LENDING FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-0141-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	14		
0706 Interest on reestimates of direct loan subsidy .....	1		
0709 Administrative expenses .....	16	11	13
0900 Total new obligations .....	31	11	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			3
1050 Unobligated balance (total) .....			3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	32	15	13
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	31	14	13
1930 Total budgetary resources available .....	31	14	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	23	19
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		-4	
3010 Obligations incurred, unexpired accounts .....	31	11	13
3020 Outlays (gross) .....	-27	-11	-13
3050 Unpaid obligations, end of year .....	23	19	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	19	19
3200 Obligated balance, end of year .....	23	19	19
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	31	14	13
Outlays, gross:			
4100 Outlays from new mandatory authority .....	21	9	11
4101 Outlays from mandatory balances .....	6	2	2
4110 Outlays, gross (total) .....	27	11	13
4180 Budget authority, net (total) .....	31	14	13
4190 Outlays, net (total) .....	27	11	13

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0141-0-1-376	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Small Business Lending Fund Investments .....	16	-44	
Administrative expense data:			
3510 Budget authority .....	15	11	13
3580 Outlays from balances .....	6	2	2
3590 Outlays from new authority .....		9	11

Enacted into law as part of the Small Business Jobs Act of 2010 (P.L. 111-240), the Small Business Lending Fund (SBLF) is a dedicated investment fund that encourages lending to small businesses by providing capital to qualified community banks and community development loan funds (CDLFs) with assets of less than \$10 billion. Through the SBLF, participating Main Street lenders and small businesses can work together to help create jobs and promote economic growth in local communities across the Nation.

In total, the SBLF provided \$4.0 billion to 332 community banks and CDLFs in 2011. Since these institutions leverage their capital, the SBLF could help increase lending to small businesses in an amount that is multiples of the total capital provided.

The account totals also include the costs of administering the program, estimated at \$13.4 million for 2017.

Object Classification (in millions of dollars)

Identification code 020-0141-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
23.1 Rental payments to GSA .....	5		
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services from non-Federal sources .....	5	3	5
25.3 Other goods and services from Federal sources .....	3	2	2
41.0 Grants, subsidies, and contributions .....	16		
99.0 Direct obligations .....	32	10	12
99.5 Adjustment for rounding .....	-1	1	1
99.9 Total new obligations .....	31	11	13

Employment Summary

Identification code 020-0141-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	14	19	19

SMALL BUSINESS LENDING FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4349-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	60	52	8
0742 Downward reestimate paid to receipt account .....		40	
0743 Interest on downward reestimates .....		4	
0900 Total new obligations .....	60	96	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	109	81
1023 Unobligated balances applied to repay debt .....	-38		
1050 Unobligated balance (total) .....		109	81
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	881	2,005	121
1825 Spending authority from offsetting collections applied to repay debt .....	-712	-1,937	-114
1850 Spending auth from offsetting collections, mand (total) .....	169	68	7
1930 Total budgetary resources available .....	169	177	88
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	109	81	80

Identification code 020-4349-0-3-376	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	60	96	8
3020 Outlays (gross) .....	-60	-96	-8

Identification code 020-4349-0-3-376	2015 actual	2016 est.	2017 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	169	68	7
Financing disbursements:			
4110 Outlays, gross (total) .....	60	96	8
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - Upward Reestimates .....	-16		
4122 Interest on uninvested funds .....	-4	-1	-1
4123 Non-Federal sources - Principal .....	-823	-1,977	-97
4123 Non-Federal sources - Dividends .....	-38	-27	-23
4130 Offsets against gross budget authority and outlays (total) .....	-881	-2,005	-121
4160 Budget authority, net (mandatory) .....	-712	-1,937	-114
4170 Outlays, net (mandatory) .....	-821	-1,909	-113
4180 Budget authority, net (total) .....	-712	-1,937	-114
4190 Outlays, net (total) .....	-821	-1,909	-113

Status of Direct Loans (in millions of dollars)

Identification code 020-4349-0-3-376	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	3,186	2,363	386

SMALL BUSINESS LENDING FUND FINANCING ACCOUNT—Continued  
Status of Direct Loans—Continued

Identification code 020-4349-0-3-376	2015 actual	2016 est.	2017 est.
1251 Repayments: Repayments and prepayments .....	-823	-1,977	-97
1290 Outstanding, end of year .....	2,363	386	289

Balance Sheet (in millions of dollars)

Identification code 020-4349-0-3-376	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	38	109
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	3,186	2,363
1405 Allowance for subsidy cost (-) .....	-12	-10
1499 Net present value of assets related to direct loans .....	3,174	2,353
1999 Total assets .....	3,212	2,462
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	3,212	2,462
4999 Total liabilities and net position .....	3,212	2,462

ALLOTMENT FOR PUERTO RICO EITC PAYMENTS

The Budget proposes a \$600 million annual allotment, indexed to inflation, to create a locally-administered refundable Earned Income Tax Credit (EITC) for residents of Puerto Rico. Unlike Americans living in the fifty states and the District of Columbia, residents of Puerto Rico are not eligible for an EITC. Given Puerto Rico's low labor force participation rate, the existence of an EITC should increase employment in Puerto Rico's official sector by providing higher incomes to workers who file taxes. This added incentive for participation in Puerto Rico's formal economy should also increase Puerto Rican tax compliance and tax revenues.

ALLOTMENT FOR PUERTO RICO EITC PAYMENTS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0157-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Puerto Rico EITC Payments .....			600
0002 Administrative Costs .....			1
0900 Total new obligations .....			601

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			6,642
1930 Total budgetary resources available .....			6,642
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			6,041

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			601
3020 Outlays (gross) .....			-601

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			6,642
Outlays, gross:			
4100 Outlays from new mandatory authority .....			601
4180 Budget authority, net (total) .....			6,642
4190 Outlays, net (total) .....			601

Object Classification (in millions of dollars)

Identification code 020-0157-4-1-609	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....			600
99.5 Adjustment for rounding .....			1

99.9 Total new obligations .....			601
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Employment Summary

Identification code 020-0157-4-1-609	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			2

STATE SMALL BUSINESS CREDIT INITIATIVE

Program and Financing (in millions of dollars)

Identification code 020-0142-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative Costs .....	6	7	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	16	9
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	21	16	9
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1		
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	22	16	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	9	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	317	103	18
3010 Obligations incurred, unexpired accounts .....	6	7	6
3020 Outlays (gross) .....	-218	-92	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	103	18	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	317	103	18
3200 Obligated balance, end of year .....	103	18	17

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	1		
Outlays, gross:			
4101 Outlays from mandatory balances .....	218	92	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	217	92	7

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Outlays .....	217	92	7
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1,500
Outlays .....			219
Total:			
Budget Authority .....			1,500
Outlays .....	217	92	226

The Small Business Jobs Act of 2010 (P.L. 111-240) created the State Small Business Credit Initiative (SSBCI), which was funded with \$1.5 billion, inclusive of administrative costs, to strengthen state programs that leverage private lending and investing to help finance small businesses and manufacturers that are creditworthy, but are not getting the loans or investments they need to expand and create jobs. The SSBCI allows states flexibility to build on successful models for state small business programs, including collateral support programs, capital access programs, loan guarantee programs, loan participating programs, and venture capital programs. Since the passage of the Act, SSBCI has positively impacted small business access to capital and local economies around the Nation, supported over 12,400

private sector loans or investments to small businesses, and helped create or retain over 140,000 jobs as reported by the small businesses who received the loans and investments. In addition, through 2014, SSBCI operations have generated \$7.36 in new small business lending or investing for every \$1 of Federal support. Private sector leverage is expected to increase before the program concludes.

The President's Budget proposes a new authorization of \$1.5 billion for SSBCI to build on the momentum of the program's first round, strengthen the Federal Government's relationships with state economic development agencies, and to provide capital to America's diverse community of entrepreneurs. This additional \$1.5 billion would be awarded in two allocations: \$1 billion awarded on a competitive basis to states best able to target local market needs, promote inclusion, attract private capital for start-up and scale-up businesses, strengthen regional entrepreneurial ecosystems, and evaluate results; and \$500 million awarded by formula based on economic factors such as job losses and pace of economic recovery.

**Object Classification** (in millions of dollars)

Identification code 020-0142-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	2	1	1
25.3 Other goods and services from Federal sources .....	2	4	4
99.0 Direct obligations .....	5	6	6
99.5 Adjustment for rounding .....	1	1	.....
99.9 Total new obligations .....	6	7	6

**Employment Summary**

Identification code 020-0142-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9	9	9

STATE SMALL BUSINESS CREDIT INITIATIVE  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020-0142-4-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative Costs .....	.....	.....	8
0002 Direct Credit Initiative .....	.....	.....	492
0900 Total new obligations .....	.....	.....	500
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	.....	.....	1,500
1900 Budget authority (total) .....	.....	.....	1,500
1930 Total budgetary resources available .....	.....	.....	1,500
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	.....	.....	1,000
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	.....	500
3020 Outlays (gross) .....	.....	.....	-219
3050 Unpaid obligations, end of year .....	.....	.....	281
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....	.....	.....	281
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	.....	1,500
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	.....	219
4180 Budget authority, net (total) .....	.....	.....	1,500
4190 Outlays, net (total) .....	.....	.....	219

**Object Classification** (in millions of dollars)

Identification code 020-0142-4-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	.....	.....	1
25.1 Advisory and assistance services .....	.....	.....	2
25.2 Other services from non-Federal sources .....	.....	.....	2
25.3 Other goods and services from Federal sources .....	.....	.....	3
41.0 Grants, subsidies, and contributions .....	.....	.....	492
99.0 Direct obligations .....	.....	.....	500
99.9 Total new obligations .....	.....	.....	500

**Employment Summary**

Identification code 020-0142-4-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	8

GSE PREFERRED STOCK PURCHASE AGREEMENTS

**Program and Financing** (in millions of dollars)

Identification code 020-0125-0-1-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	258,050	258,050	258,050
1930 Total budgetary resources available .....	258,050	258,050	258,050
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	258,050	258,050	258,050
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

In 2008, under temporary authority granted by section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289), Treasury entered into agreements with Fannie Mae and Freddie Mac (the GSEs) to purchase senior preferred stock of each GSE and to provide up to \$100 billion when needed to ensure that each company maintains a positive net worth. In May 2009, Treasury increased the Senior Preferred Stock Purchase Agreement (PSPA) funding commitment caps to \$200 billion for each GSE, and in December 2009 Treasury modified the funding commitment caps in the PSPAs to be the greater of \$200 billion or \$200 billion plus cumulative net worth experienced during 2010-2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by each GSE as of December 31, 2012, and under the terms of the PSPAs, the combined cumulative funding commitment cap for Fannie Mae and Freddie Mac was set at \$445.5 billion. Treasury's authority to purchase obligations or other securities of the GSEs or to increase the funding commitment expired on December 31, 2009. Under the PSPAs, Treasury has maintained the solvency of the GSEs by providing \$187.5 billion of investment to the GSEs. The PSPAs also require the GSEs to pay dividends to Treasury that are recorded as offsetting receipts and are not reflected in this expenditure account. Through December 31, 2015, the GSEs have paid \$241.2 billion in dividend payments to Treasury on the senior preferred stock.

GSE MORTGAGE-BACKED SECURITIES PURCHASE PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 020-0126-0-1-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Financial Agent Services .....	2	3	3
<b>Credit program obligations:</b>			
0705 Reestimates of direct loan subsidy .....	146	.....	.....
0706 Interest on reestimates of direct loan subsidy .....	29	.....	.....
0791 Direct program activities, subtotal .....	175	.....	.....

GSE MORTGAGE-BACKED SECURITIES PURCHASE PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 020-0126-0-1-371	2015 actual	2016 est.	2017 est.
0900 Total new obligations .....	177	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	175		
1221 Appropriations transferred from other acct [020-1802] ....	3	3	3
1260 Appropriations, mandatory (total) .....	178	3	3
1930 Total budgetary resources available .....	178	3	3
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53		
3010 Obligations incurred, unexpired accounts .....	177	3	3
3020 Outlays (gross) .....	-177	-3	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-53		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	178	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	176	3	3
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	177	3	3
4180 Budget authority, net (total) .....	178	3	3
4190 Outlays, net (total) .....	177	3	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0126-0-1-371	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135002 New Issue Bond Program SF .....	163	-15	
135003 New Issue Bond Program MF .....	12	-1	
135999 Total direct loan reestimates .....	175	-16	

In September 2008, Treasury initiated a temporary program to purchase mortgage-backed securities (MBS) issued by Fannie Mae and Freddie Mac, which carry the GSEs' standard guarantee against default. The purpose of the program was to promote liquidity in the mortgage market and, thereby, affordable homeownership by stabilizing the interest rate spreads between mortgage rates and Treasury issuances. Treasury purchased \$226 billion in MBS through December 31, 2009. In March of 2011, Treasury announced that it would begin selling off up to \$10 billion of its MBS holdings per month, subject to market conditions. Treasury completed the orderly disposition of its MBS portfolio on March 19, 2012.

Beginning in December 2009, Treasury implemented two additional programs as part of the Housing Finance Agencies Initiative to support state and local housing financing agencies (HFAs). Treasury purchased a participation interest in the Fannie Mae and Freddie Mac Temporary Credit and Liquidity Facilities to establish the Temporary Credit and Liquidity Program (TCLP), which provided HFAs with credit and liquidity facilities supporting up to \$8.2 billion in existing HFA bonds, and temporarily replaced private market facilities that were expiring or imposing unusually high costs to the HFAs due to market conditions. The TCLP was originally to remain open to the end of calendar year 2012, but due to continued strain on the market for HFA liquidity facilities, Treasury granted an extension to the end of the calendar year 2015 for six HFAs. In July 2015, the last participating HFA received alternative liquidity facilities from private sector banks, resulting in the closure of the TCLP.

Under the New Issuance Bond Program (NIBP) Treasury purchased \$15.3 billion in securities of Fannie Mae and Freddie Mac backed by new HFA housing bonds, supporting over 135,000 new mortgages and 40,000 rental housing units for working families. The original deadline for HFAs to use

NIBP funds was December 31, 2010, but Treasury granted two one-year extensions until the end of 2012. The authority for all of the programs displayed in this account was provided in section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289). As required by the Federal Credit Reform Act of 1990 as amended, this account records the subsidy costs associated with the GSE MBS purchase and State HFA programs, which are treated as direct loans for budget execution. The subsidy amounts are estimated on a present value basis.

Object Classification (in millions of dollars)

Identification code 020-0126-0-1-371	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2	3	3
41.0 Grants, subsidies, and contributions .....	175		
99.9 Total new obligations .....	177	3	3

GSE MORTGAGE-BACKED SECURITIES PURCHASE DIRECT LOAN FINANCING ACCOUNT

Balance Sheet (in millions of dollars)

Identification code 020-4272-0-3-371	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	705	705
1999 Total assets .....	705	705
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other Liabilities without Related Budgetary Obligations .....	705	705
4999 Total liabilities and net position .....	705	705

STATE HFA DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4298-0-3-371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	291	260	242
0742 Downward reestimate paid to receipt account .....		13	
0743 Interest on downward reestimates .....		3	
0900 Total new obligations .....	291	276	242
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	271	125	126
1021 Recoveries of prior year unpaid obligations .....	1,100		
1022 Capital transfer of unobligated balances to general fund .....	-124		
1023 Unobligated balances applied to repay debt .....	-155		
1024 Unobligated balance of borrowing authority withdrawn .....	-1,039		
1050 Unobligated balance (total) .....	53	125	126
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,315	816	624
1801 Change in uncollected payments, Federal sources .....	-53		
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-9		
1825 Spending authority from offsetting collections applied to repay debt .....	-890	-539	-382
1850 Spending auth from offsetting collections, mand (total) .....	363	277	242
1900 Budget authority (total) .....	363	277	242
1930 Total budgetary resources available .....	416	402	368
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	125	126	126

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,100		
3010 Obligations incurred, unexpired accounts .....	291	276	242
3020 Outlays (gross) .....	-291	-276	-242
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,100		

Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-53	
3070	Change in uncollected pymts, Fed sources, unexpired .....	53	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,047	
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	363	277 242
Financing disbursements:			
4110	Outlays, gross (total) .....	291	276 242
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-175	
4122	Interest on uninvested funds .....	-15	-8 -7
4123	Non-Federal sources - Interest .....	-234	-209 -194
4123	Non-Federal sources - Principal .....	-884	-598 -423
4123	Non-Federal sources - Other .....	-7	
4130	Offsets against gross budget authority and outlays (total) ....	-1,315	-816 -624
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	53	
4160	Budget authority, net (mandatory) .....	-899	-539 -382
4170	Outlays, net (mandatory) .....	-1,024	-540 -382
4180	Budget authority, net (total) .....	-899	-539 -382
4190	Outlays, net (total) .....	-1,024	-540 -382

**Status of Direct Loans** (in millions of dollars)

Identification code 020-4298-0-3-371				
		2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	8,668	7,783	7,184
1251	Repayments: Repayments and prepayments .....	-885	-599	-424
1290	Outstanding, end of year .....	7,783	7,184	6,760

**Balance Sheet** (in millions of dollars)

Identification code 020-4298-0-3-371			
	2014 actual	2015 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	280	125
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	8,668	7,783
1405	Allowance for subsidy cost (-) .....	-876	-865
1499	Net present value of assets related to direct loans .....	7,792	6,918
1999	Total assets .....	8,072	7,043
LIABILITIES:			
2103	Federal liabilities: Debt .....	8,072	7,043
4999	Total liabilities and net position .....	8,072	7,043

**Trust Funds**

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-8524-0-7-451			
	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....		7
Receipts:			
Current law:			
1130	Affordable Housing Allocation, Capital Magnet Fund .....	98	73
2000	Total: Balances and receipts .....	98	80
Appropriations:			
Current law:			
2101	Capital Magnet Fund, Community Development Financial Institutions .....	-98	-73
2103	Capital Magnet Fund, Community Development Financial Institutions .....		-7
2132	Capital Magnet Fund, Community Development Financial Institutions .....	7	
2199	Total current law appropriations .....	-91	-80
2999	Total appropriations .....	-91	-80
5099	Balance, end of year .....	7	

**Program and Financing** (in millions of dollars)

Identification code 020-8524-0-7-451			
	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	CDFI Allocations .....	91	80
0900	Total new obligations (object class 41.0) .....	91	80
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	98	73
1203	Appropriation (previously unavailable) .....		7
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	
1260	Appropriations, mandatory (total) .....	91	80
1930	Total budgetary resources available .....	91	80
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	91	80
3020	Outlays (gross) .....	-91	-80
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	91	80
Outlays, gross:			
4100	Outlays from new mandatory authority .....	91	80
4180	Budget authority, net (total) .....	91	80
4190	Outlays, net (total) .....	91	80

The purpose of the Capital Magnet Fund (CMF) is to provide financial assistance grants to Community Development Financial Institutions (CDFIs) and qualified nonprofit housing providers that would be leveraged to attract other financing sources for affordable housing and related economic development activities. The CMF was established by the Housing and Economic Recovery Act of 2008 (HERA), which added section 1339 to the Federal Housing Enterprises Financial Safety and Soundness Act of 1992. HERA directs Fannie Mae and Freddie Mac to set aside in each fiscal year 4.2 basis points of each dollar of the unpaid principal balance of new business purchases to be allocated to the CMF and the Housing Trust Fund. The Federal Housing Finance Agency (FHFA), as regulator for Fannie Mae and Freddie Mac, suspended these assessments in November 2008 when Fannie Mae and Freddie Mac were placed into conservatorship. In 2010, the CMF received a one-time discretionary appropriation of \$80 million. In December 2014, the FHFA directed Fannie Mae and Freddie Mac to begin allocating funds to the CMF. The Budget estimates that the CMF will receive assessments for the first time in 2016.

GIFTS AND BEQUESTS

**Program and Financing** (in millions of dollars)

Identification code 020-8790-0-7-803			
	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1 1
1930	Total budgetary resources available .....	1	1 1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1 1
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	1	1 1
5001	Total investments, EOY: Federal securities: Par value .....	1	1 1

This account was established pursuant to 31 U.S.C. 321 to receive gifts and bequests to the Department. These funds support the restoration of the Treasury building and historical collection of art, furniture, and artifacts

GIFTS AND BEQUESTS—Continued

owned by the Department. The fund is also used as an endowment for Treasury's restored rooms.

**FINANCIAL CRIMES ENFORCEMENT NETWORK**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Financial Crimes Enforcement Network, including hire of passenger motor vehicles; travel and training expenses of non-Federal and foreign government personnel to attend meetings and training concerned with domestic and foreign financial intelligence activities, law enforcement, and financial regulation; services authorized by 5 U.S.C. 3109; not to exceed \$10,000 for official reception and representation expenses; and for assistance to Federal law enforcement agencies, with or without reimbursement, **[\$112,979,000]** *\$115,003,000*, of which not to exceed \$34,335,000 shall remain available until September 30, **[2018]** *2019*. (*Department of the Treasury Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 020-0173-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 BSA administration and Analysis .....	121	118	115
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	41	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	112	113	115
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		2	2
1900 Budget authority (total) .....	112	115	117
1930 Total budgetary resources available .....	162	156	155
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	41	38	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	46	50
3010 Obligations incurred, unexpired accounts .....	121	118	115
3020 Outlays (gross) .....	-102	-114	-124
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	46	50	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	46	50
3200 Obligated balance, end of year .....	46	50	41
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	112	115	117
Outlays, gross:			
4010 Outlays from new discretionary authority .....	65	86	88
4011 Outlays from discretionary balances .....	37	28	36
4020 Outlays, gross (total) .....	102	114	124
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-2	-2
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	112	113	115
4080 Outlays, net (discretionary) .....	101	112	122
4180 Budget authority, net (total) .....	112	113	115
4190 Outlays, net (total) .....	101	112	122

The mission of FinCEN is to safeguard the financial system from illicit use and combat money laundering and promote national security through the collection, analysis, and dissemination of financial intelligence and strategic use of financial authorities. FinCEN carries out its mission by exercising regulatory functions under the Bank Secrecy Act; targeting examination and enforcement efforts in high risk areas; receiving and maintaining financial transaction data; analyzing and disseminating the data for

law enforcement purposes; and serving as the financial intelligence unit of the United States, which involves building global cooperation with counterpart organizations in foreign countries and international groups.

**Object Classification** (in millions of dollars)

Identification code 020-0173-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	32	41	42
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	33	42	43
12.1 Civilian personnel benefits .....	10	12	12
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	5	4	4
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	26	17	16
25.3 Other goods and services from Federal sources .....	10	9	9
25.4 Operation and maintenance of facilities .....		1	1
25.7 Operation and maintenance of equipment .....	27	22	18
31.0 Equipment .....	4	7	7
99.0 Direct obligations .....	120	118	114
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations .....	121	118	115

**Employment Summary**

Identification code 020-0173-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	275	343	343
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**FISCAL SERVICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of operations of the Bureau of the Fiscal Service, **[\$363,850,000]** *\$353,057,000*; of which not to exceed \$4,210,000, to remain available until September 30, **[2018]** *2019*, is for information systems modernization initiatives; *and* of which \$5,000 shall be available for official reception and representation expenses **;** and of which not to exceed \$19,800,000, to remain available until September 30, 2018, is to support the Department's activities related to implementation of the Digital Accountability and Transparency Act (DATA Act; Public Law 113-101), including changes in business processes, workforce, or information technology to support high quality, transparent Federal spending information **].**

In addition, \$165,000, to be derived from the Oil Spill Liability Trust Fund to reimburse administrative and personnel expenses for financial management of the Fund, as authorized by section 1012 of Public Law 101-380. (*Department of the Treasury Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-0520-0-1-803	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	4	8	8
0198 Reconciliation adjustment .....	5		
0199 Balance, start of year .....	9	8	8
Receipts:			
Current law:			
1130 Debt Collection, Non-Federal Receipts .....	132	130	154
1140 Debt Collection Improvement Fund, Federal Receipts .....	23	9	11
1199 Total current law receipts .....	155	139	165
1999 Total receipts .....	155	139	165
2000 Total: Balances and receipts .....	164	147	173
Appropriations:			
Current law:			
2101 Salaries and Expenses .....	-156	-139	-165
2103 Salaries and Expenses .....	-1	-1	-1
2132 Salaries and Expenses .....	1	1	
2199 Total current law appropriations .....	-156	-139	-166

2999	Total appropriations .....	-156	-139	-166
5099	Balance, end of year .....	8	8	7

**Program and Financing** (in millions of dollars)

Identification code 020-0520-0-1-803	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Collections .....	35	39	40
0002	Debt Collection .....	135	139	165
0005	Accounting and Reporting .....	105	126	114
0006	Payments .....	121	118	115
0007	Retail Securities Services .....	77	70	71
0009	Wholesale Securities Services .....	11	11	13
0799	Total direct obligations .....	484	503	518
0801	Salaries and Expenses (Reimbursable) .....	179	177	157
0900	Total new obligations .....	663	680	675
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	112	110	110
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	16	17	.....
1012	Unobligated balance transfers between expired and unexpired accounts .....	2	.....	.....
1022	Capital transfer of unobligated balances to general fund .....	-17	.....	.....
1050	Unobligated balance (total) .....	97	110	110
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	348	364	353
Appropriations, mandatory:				
1201	Special Fund 20-5445 .....	156	139	165
1203	Appropriation (previously unavailable) .....	1	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1235	Capital transfer of appropriations to general fund .....	-5	.....	.....
1260	Appropriations, mandatory (total) .....	151	139	166
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	161	177	157
1701	Change in uncollected payments, Federal sources .....	19	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	180	177	157
1900	Budget authority (total) .....	679	680	676
1930	Total budgetary resources available .....	776	790	786
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3	.....	.....
1941	Unexpired unobligated balance, end of year .....	110	110	111
Special and non-revolving trust funds:				
1951	Unobligated balance expiring .....	2	.....	.....
1952	Expired unobligated balance, start of year .....	2	.....	.....
1953	Expired unobligated balance, end of year .....	11	.....	.....

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	139	89	189
3010	Obligations incurred, unexpired accounts .....	663	680	675
3011	Obligations incurred, expired accounts .....	16	.....	.....
3020	Outlays (gross) .....	-702	-580	-608
3041	Recoveries of prior year unpaid obligations, expired .....	-27	.....	.....
3050	Unpaid obligations, end of year .....	89	189	256
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-24	-26	-26
3070	Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	17	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	115	63	163
3200	Obligated balance, end of year .....	63	163	230

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	528	541	510
Outlays, gross:				
4010	Outlays from new discretionary authority .....	482	447	421
4011	Outlays from discretionary balances .....	71	14	63
4020	Outlays, gross (total) .....	553	461	484
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-179	-177	-157
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) ...	-180	-177	-157

Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
4052	Offsetting collections credited to expired accounts .....	19	.....	.....
4070	Budget authority, net (discretionary) .....	348	364	353
4080	Outlays, net (discretionary) .....	373	284	327
Mandatory:				
4090	Budget authority, gross .....	151	139	166
Outlays, gross:				
4100	Outlays from new mandatory authority .....	55	9	10
4101	Outlays from mandatory balances .....	94	110	114
4110	Outlays, gross (total) .....	149	119	124
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	.....	.....
4123	Non-Federal sources .....	-1	.....	.....
4130	Offsets against gross budget authority and outlays (total) ...	-2	.....	.....
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts .....	2	.....	.....
4160	Budget authority, net (mandatory) .....	151	139	166
4170	Outlays, net (mandatory) .....	147	119	124
4180	Budget authority, net (total) .....	499	503	519
4190	Outlays, net (total) .....	520	403	451

The mission of the Fiscal Service is to promote the financial integrity and operational efficiency of the U.S. Government through exceptional accounting, financing, collections, payments, and shared services. Fiscal Service plays a key role in strengthening the Department's leadership in financial management across the Federal Government while maintaining existing core Federal financial management operations. This includes providing the disbursement of Federal Government payments; collecting receipts and delinquent debt; providing government-wide accounting and reporting services; borrowing the money needed to operate the Federal Government; accounting for the debt; and providing accounting and other reimbursable services to Government agencies.

The Budget provides resources to support the core operational activities of the Fiscal Service, with a focus on increasing the number of electronic transactions with the public; reducing improper payments; improving the effectiveness of debt collection activities; and developing new solutions for streamlining government-wide accounting. The Budget also provides resources to support the Bureau's government-wide leadership role in spending transparency including necessary technology upgrades as well as continued implementation efforts to support the execution of the Digital Accountability and Transparency Act of 2014.

**Object Classification** (in millions of dollars)

Identification code 020-0520-0-1-803	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	154	159	161
11.3	Other than full-time permanent .....	1	2	2
11.5	Other personnel compensation .....	3	6	6
11.8	Special personal services payments .....	.....	.....	24
11.9	Total personnel compensation .....	158	167	193
12.1	Civilian personnel benefits .....	51	53	55
21.0	Travel and transportation of persons .....	3	3	4
23.1	Rental payments to GSA .....	30	26	27
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	13	13	12
25.1	Advisory and assistance services .....	23	42	38
25.2	Other services from non-Federal sources .....	23	24	27
25.3	Other goods and services from Federal sources .....	165	157	138
25.4	Operation and maintenance of facilities .....	2	2	2
25.7	Operation and maintenance of equipment .....	5	5	5
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	6	6	12
32.0	Land and structures .....	1	1	1
99.0	Direct obligations .....	484	503	518
99.0	Reimbursable obligations .....	179	177	157
99.9	Total new obligations .....	663	680	675

SALARIES AND EXPENSES—Continued  
Employment Summary

Identification code 020-0520-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,753	2,042	1,912
2001 Reimbursable civilian full-time equivalent employment .....	243	245	240

REIMBURSEMENTS TO FEDERAL RESERVE BANKS

Program and Financing (in millions of dollars)

Identification code 020-0562-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Reimbursements to Federal Reserve Banks (Direct) .....	124	137	138
0900 Total new obligations (object class 25.3) .....	124	137	138
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	2		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	122	137	138
1930 Total budgetary resources available .....	124	137	138
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	36	35
3010 Obligations incurred, unexpired accounts .....	124	137	138
3020 Outlays (gross) .....	-114	-138	-138
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	36	35	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	36	35
3200 Obligated balance, end of year .....	36	35	35
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	122	137	138
Outlays, gross:			
4100 Outlays from new mandatory authority .....	86	103	104
4101 Outlays from mandatory balances .....	28	35	34
4110 Outlays, gross (total) .....	114	138	138
4180 Budget authority, net (total) .....	122	137	138
4190 Outlays, net (total) .....	114	138	138

This Fund was established by the Treasury, Postal Service, and General Government Appropriations Act of 1991 (P.L. 101-509, 104 Stat. 1394) as a permanent, indefinite appropriation to reimburse the Federal Reserve Banks for acting as fiscal agents of the Federal Government in support of financing the public debt.

RESTORATION OF LOST INTEREST, MEDICARE TRUST FUNDS

Program and Financing (in millions of dollars)

Identification code 020-0504-0-1-901	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	251		
0900 Total new obligations (object class 43.0) .....	251		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	251		
1930 Total budgetary resources available .....	251		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	251		
3020 Outlays (gross) .....	-251		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	251		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	251		
4180 Budget authority, net (total) .....	251		
4190 Outlays, net (total) .....	251		

PAYMENT TO THE RESOLUTION FUNDING CORPORATION

Program and Financing (in millions of dollars)

Identification code 020-1851-0-1-908	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Resolution Funding Corporation (Direct) .....	2,628	2,628	2,628
0900 Total new obligations (object class 41.0) .....	2,628	2,628	2,628
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2,628	2,628	2,628
1930 Total budgetary resources available .....	2,628	2,628	2,628
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2,628	2,628	2,628
3020 Outlays (gross) .....	-2,628	-2,628	-2,628
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,628	2,628	2,628
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,628	2,628	2,628
4180 Budget authority, net (total) .....	2,628	2,628	2,628
4190 Outlays, net (total) .....	2,628	2,628	2,628

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 authorized and appropriated to the Secretary of the Treasury such sums as may be necessary to cover interest payments on obligations issued by the Resolution Funding Corporation (REFCORP). REFCORP was established under the Act to raise \$31.2 billion for the Resolution Trust Corporation (RTC) in order to resolve savings institution insolvencies.

Sources of payment for interest due on REFCORP obligations include REFCORP investment income, proceeds from the sale of assets or warrants acquired by the RTC, and annual contributions by the Federal Home Loan Banks. If these payment sources are insufficient to cover all interest costs, indefinite, mandatory funds appropriated to the Treasury shall be used to meet the shortfall.

HOPE RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5581-0-2-371	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 GSE Assessments, Hope Reserve Fund .....		93	70
2000 Total: Balances and receipts .....		93	70
Appropriations:			
Current law:			
2101 Hope Reserve Fund .....		-93	-70
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 020-5581-0-2-371	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			87

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	93	70
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-6	
1260	Appropriations, mandatory (total) .....	87	70
1930	Total budgetary resources available .....	87	157
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	87	157
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	87	70
4180	Budget authority, net (total) .....	87	70
4190	Outlays, net (total) .....		

The HOPE Reserve Fund was authorized by section 1337(e) of the Housing and Economic Recovery Act of 2008 (HERA, P.L. 110-289), which directed the account to be funded from assessments on Fannie Mae and Freddie Mac. The Federal Housing Finance Agency (FHFA), as regulator for Fannie Mae and Freddie Mac, suspended these assessments in November 2008 and reinstated them effective January 2015, subject to terms and conditions as prescribed by the FHFA.

FEDERAL RESERVE BANK REIMBURSEMENT FUND

Program and Financing (in millions of dollars)

Identification code 020-1884-0-1-803				
	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Federal Reserve Bank services .....	477	524	529
0900	Total new obligations (object class 25.2) .....	477	524	529
<b>Budgetary resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	8		
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	469	524	529
1930	Total budgetary resources available .....	477	524	529
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	106	126	162
3010	Obligations incurred, unexpired accounts .....	477	524	529
3020	Outlays (gross) .....	-449	-488	-529
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050	Unpaid obligations, end of year .....	126	162	162
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	106	126	162
3200	Obligated balance, end of year .....	126	162	162
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	469	524	529
Outlays, gross:				
4100	Outlays from new mandatory authority .....	343	362	357
4101	Outlays from mandatory balances .....	106	126	172
4110	Outlays, gross (total) .....	449	488	529
4180	Budget authority, net (total) .....	469	524	529
4190	Outlays, net (total) .....	449	488	529

This Fund was established by the Treasury and General Government Appropriations Act, 1998, Title I (P.L. 105-61, 111 Stat. 1276) as a permanent, indefinite appropriation to reimburse Federal Reserve Banks for services provided in their capacity as depositaries and fiscal agents for the United States.

PAYMENT OF GOVERNMENT LOSSES IN SHIPMENT

Program and Financing (in millions of dollars)

Identification code 020-1710-0-1-803				
	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Payment of Government Losses in Shipment (Direct) .....	1	1	1
0900	Total new obligations (object class 42.0) .....	1	1	1
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	1	1
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	1	1	1

This account was created as self-insurance to cover losses in shipment of Government property such as coins, currency, securities, certain losses incurred by the Postal Service, and losses in connection with the redemption of savings bonds. Approximately 1,100 claims are paid annually.

FINANCIAL AGENT SERVICES

Program and Financing (in millions of dollars)

Identification code 020-1802-0-1-803				
	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Financial agent services .....	643	713	676
0900	Total new obligations (object class 25.2) .....	643	713	676
<b>Budgetary resources:</b>				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations .....	16		
1050	Unobligated balance (total) .....	16		
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	630	716	679
1220	Appropriations transferred to other accts (020-0126) .....	-3	-3	-3
1260	Appropriations, mandatory (total) .....	627	713	676
1930	Total budgetary resources available .....	643	713	676
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	59	50	50
3010	Obligations incurred, unexpired accounts .....	643	713	676
3020	Outlays (gross) .....	-636	-713	-676
3040	Recoveries of prior year unpaid obligations, unexpired .....	-16		
3050	Unpaid obligations, end of year .....	50	50	50
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	59	50	50
3200	Obligated balance, end of year .....	50	50	50
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	627	713	676
Outlays, gross:				
4100	Outlays from new mandatory authority .....	577	663	660
4101	Outlays from mandatory balances .....	59	50	16
4110	Outlays, gross (total) .....	636	713	676
4180	Budget authority, net (total) .....	627	713	676
4190	Outlays, net (total) .....	636	713	676

FINANCIAL AGENT SERVICES—Continued

This permanent, indefinite appropriation was established to reimburse financial institutions for the services they provide as depositaries and financial agents of the Federal Government. The services include the acceptance and processing of deposits of public money, as well as services essential to the disbursement of and accounting for public monies. The services provided are authorized under numerous statutes including, but not limited to, 12 U.S.C. 90 and 265. This permanent, indefinite appropriation is authorized by P.L. 108–100, the "Check Clearing for the 21st Century Act," and permanently appropriated by P.L. 108–199, the "Consolidated Appropriations Act of 2004." Additionally, financial agent administrative and financial analysis costs for the Government Sponsored Enterprise Mortgage Backed Securities Purchase Program and State Housing Finance Agency program are reimbursed from this account.

3020	Outlays (gross) .....	-1	-2	-2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	2	2
4180	Budget authority, net (total) .....	1	2	2
4190	Outlays, net (total) .....	1	2	2

Pursuant to the Cash Management Improvement Act (P.L. 101–453, 104 Stat. 1058) as amended (P.L. 102–589, 106 Stat. 5133), and Treasury regulations codified at 31 CFR Part 205, under certain circumstances, interest is paid to states when Federal funds are not transferred to states in a timely manner.

INTEREST ON UNINVESTED FUNDS

Program and Financing (in millions of dollars)

Identification code 020–1860–0–1–908	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Interest of uninvested funds .....	30	30	30
0900	Total new obligations (object class 43.0) .....	30	30	30
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	30	30	30
1930	Total budgetary resources available .....	30	30	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	56	71	71
3010	Obligations incurred, unexpired accounts .....	30	30	30
3020	Outlays (gross) .....	-15	-30	-30
3050	Unpaid obligations, end of year .....	71	71	71
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	56	71	71
3200	Obligated balance, end of year .....	71	71	71
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	30	30	30
Outlays, gross:				
4101	Outlays from mandatory balances .....	15	30	30
4180	Budget authority, net (total) .....	30	30	30
4190	Outlays, net (total) .....	15	30	30

This account was established for the purpose of paying interest on certain uninvested funds placed in trust in the Treasury in accordance with various statutes (31 U.S.C. 1321; 2 U.S.C. 158 (P.L. 94–289); 20 U.S.C. 74a (P.L. 94–418) and 101; 24 U.S.C. 46 (P.L. 94–290); and 69 Stat. 533).

FEDERAL INTEREST LIABILITIES TO STATES

Program and Financing (in millions of dollars)

Identification code 020–1877–0–1–908	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Federal interest liabilities to States .....	1	2	2
0900	Total new obligations (object class 25.2) .....	1	2	2
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1	2	2
1930	Total budgetary resources available .....	1	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	2	2

INTEREST PAID TO CREDIT FINANCING ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 020–1880–0–1–908	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Interest paid to credit financing accounts .....	8,115	14,855	16,260
0900	Total new obligations (object class 43.0) .....	8,115	14,855	16,260
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	8,115	14,855	16,260
1930	Total budgetary resources available .....	8,115	14,855	16,260
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	8,115	14,855	16,260
3020	Outlays (gross) .....	-8,115	-14,855	-16,260
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	8,115	14,855	16,260
Outlays, gross:				
4100	Outlays from new mandatory authority .....	8,115	14,855	16,260
4180	Budget authority, net (total) .....	8,115	14,855	16,260
4190	Outlays, net (total) .....	8,115	14,855	16,260

This account pays interest on the invested balances of guaranteed and direct loan financing accounts. For guaranteed loan financing accounts, balances result when the accounts receive up-front payments and fees to be held in reserve to make payments on defaults. Direct loan financing accounts normally borrow from Treasury to disburse loans and receive interest and principal payments and other payments from borrowers. Because direct loan financing accounts generally repay borrowing from Treasury at the end of the year, they can build up balances of payments received during the year. Interest on invested balances is paid to the financing accounts from the general fund of the Treasury, in accordance with section 505(c) of the Federal Credit Reform Act of 1990.

CLAIMS, JUDGMENTS, AND RELIEF ACTS

Program and Financing (in millions of dollars)

Identification code 020–1895–0–1–808	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Claims for damages .....	3	8	2
0002	Claims for Fire Fighting .....	1	.....	.....
0003	Claims for contract disputes .....	538	240	240
0091	Total claims adjudicated administratively .....	542	248	242
0101	Judgments, Court of Claims .....	1,188	626	256
0102	Judgments, U.S. courts .....	706	456	430
0191	Total court judgments .....	1,894	1,082	686
0900	Total new obligations (object class 42.0) .....	2,436	1,330	928

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	2,436	1,330	928
1930	Total budgetary resources available .....	2,436	1,330	928
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	833	235	.....
3010	Obligations incurred, unexpired accounts .....	2,436	1,330	928
3020	Outlays (gross) .....	-3,034	-1,565	-928
3050	Unpaid obligations, end of year .....	235	.....	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	833	235	.....
3200	Obligated balance, end of year .....	235	.....	.....
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2,436	1,330	928
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,201	1,330	928
4101	Outlays from mandatory balances .....	833	235	.....
4110	Outlays, gross (total) .....	3,034	1,565	928
4180	Budget authority, net (total) .....	2,436	1,330	928
4190	Outlays, net (total) .....	3,034	1,565	928

Appropriations are made for cases in which the Federal Government is found by courts to be liable for payment of claims and interest for damages not chargeable to appropriations of individual agencies, and for payment of private and public relief acts. P. L. 95-26 authorized a permanent, indefinite appropriation to pay certain judgments from the General Fund of the Treasury.

RESTITUTION OF FORGONE INTEREST

Program and Financing (in millions of dollars)

Identification code 020-1875-0-1-908	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Restitution of Forgone Interest (Direct) .....	1,717	.....
0900	Total new obligations (object class 43.0) .....	1,717	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1,717	.....
1930	Total budgetary resources available .....	1,717	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	1,717	.....
3020	Outlays (gross) .....	-1,717	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1,717	.....
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,717	.....
4180	Budget authority, net (total) .....	1,717	.....
4190	Outlays, net (total) .....	1,717	.....

This account provides funds for the payment of interest on investments in Treasury securities that the Secretary of the Treasury has suspended or redeemed. The Secretary is permitted to take such action when Treasury is constrained by the statutory debt limit and must take extraordinary measures to avoid defaulting. Treasury is required to restore all due interest and principal to the respective investments.

BIOMASS ENERGY DEVELOPMENT

Status of Guaranteed Loans (in millions of dollars)

Identification code 020-0114-0-1-271	2015 actual	2016 est.	2017 est.
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	27	.....
2361	Write-offs of loans receivable .....	-27	.....
2390	Outstanding, end of year .....	.....	.....

This account was created to provide loan guarantees for the construction of biomass to ethanol facilities, as authorized under Title II of the Energy Security Act of 1980. The loans guaranteed by this account went into default. The guarantees have been paid off, and the assets have been liquidated.

Balance Sheet (in millions of dollars)

Identification code 020-0114-0-1-271	2014 actual	2015 actual
<b>ASSETS:</b>		
1701	Defaulted guaranteed loans, gross .....	27
1702	Interest receivable .....	5
1703	Allowance for estimated uncollectible loans and interest (-) .....	-26
1799	Value of assets related to loan guarantees .....	6
1999	Total assets .....	6
<b>LIABILITIES:</b>		
2104	Federal liabilities: Resources payable to Treasury .....	6
4999	Total liabilities and net position .....	6

CONTINUED DUMPING AND SUBSIDY OFFSET

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5688-0-2-376	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	7	6	37
<b>Receipts:</b>				
Current law:				
1110	Antidumping and Countervailing Duties, Continued Dumping and Subsidy Offset .....	104	88	88
2000	Total: Balances and receipts .....	111	94	125
<b>Appropriations:</b>				
Current law:				
2101	Continued Dumping and Subsidy Offset .....	-104	-55	-45
2103	Continued Dumping and Subsidy Offset .....	-7	-6	-4
2132	Continued Dumping and Subsidy Offset .....	6	4	.....
2199	Total current law appropriations .....	-105	-57	-49
2999	Total appropriations .....	-105	-57	-49
5099	Balance, end of year .....	6	37	76

Program and Financing (in millions of dollars)

Identification code 020-5688-0-2-376	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Continued dumping and subsidy offset .....	91	57	49
0900	Total new obligations (object class 41.0) .....	91	57	49
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	191	205	205
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	104	55	45
1203	Appropriation (previously unavailable) .....	7	6	4
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-6	-4	.....
1260	Appropriations, mandatory (total) .....	105	57	49
1930	Total budgetary resources available .....	296	262	254

CONTINUED DUMPING AND SUBSIDY OFFSET—Continued  
**Program and Financing—Continued**

Identification code 020-5688-0-2-376	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	205	205	205
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	91	57	49
3020 Outlays (gross) .....	-91	-57	-49
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	105	57	49
Outlays, gross:			
4100 Outlays from new mandatory authority .....		6	4
4101 Outlays from mandatory balances .....	91	51	45
4110 Outlays, gross (total) .....	91	57	49
4180 Budget authority, net (total) .....	105	57	49
4190 Outlays, net (total) .....	91	57	49

The Bureau of Customs and Border Protection, Department of Homeland Security, collects duties assessed pursuant to a countervailing duty order, an antidumping duty order, or a finding under the Antidumping Act of 1921. Under a provision enacted in 2000, the Bureau of Customs and Border Protection, through the Treasury, distributes these duties to affected domestic producers. These distributions provide a significant additional subsidy to producers that already gain protection from the increased import prices provided by the tariffs. The authority to distribute assessments on entries made after October 1, 2007, has been repealed. Assessments on entries made before October 1, 2007, will be disbursed as if the authority had not been repealed. Assessments collected on eligible entries are to be disbursed within 60 days of the end of the fiscal year in which they were collected.

CHECK FORGERY INSURANCE FUND

**Program and Financing** (in millions of dollars)

Identification code 020-4109-0-3-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Check Forgery Insurance Fund (Reimbursable) .....	15	16	16
0900 Total new obligations (object class 42.0) .....	15	16	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	16	16
1930 Total budgetary resources available .....	17	18	18
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	15	16	16
3020 Outlays (gross) .....	-15	-16	-16
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	16	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	12	12
4101 Outlays from mandatory balances .....	4	4	4
4110 Outlays, gross (total) .....	15	16	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-14	-16	-16
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1		

This Fund was established as a permanent, indefinite appropriation in order to maintain adequate funding of the Check Forgery Insurance Fund. The Fund facilitates timely payments for replacement Treasury checks necessitated due to a claim of forgery. The Fund recoups disbursements through reclamations made against banks negotiating forged checks.

To reduce hardships sustained by payees of Government checks that have been stolen and forged, settlement is made in advance of the receipt of funds from the endorers of the checks. If the U.S. Treasury is unable to recover funds through reclamation procedures, the Fund sustains the loss.

P.L. 108-447 expanded the use of the Fund to include payments made via electronic funds transfer. A technical correction to the Fund's statutes to ensure and clarify that the Fund can be utilized as a funding source for relief of administrative disbursing errors was enacted by P.L. 110-161, Division D, section 119.

**Trust Funds**

YANKTON SIOUX TRIBE DEVELOPMENT TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-8627-0-7-452	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3	1	1
2000 Total: Balances and receipts .....	3	1	1
<b>Appropriations:</b>			
Current law:			
2103 Yankton Sioux Tribe Development Trust Fund .....	-2		
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 020-8627-0-7-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Yankton Sioux Tribe Development Trust Fund (Direct) .....	2		
0900 Total new obligations (object class 43.0) .....	2		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....	2		
1930 Total budgetary resources available .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-2		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2		
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....	2		

The Yankton Sioux Tribe Development Trust Fund was established by P.L. 107-331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation required principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the Fund on October 1, 2013. In 2015, the Fund's remaining holdings were transferred to the Department of the Interior/Office of Special Trustee for management of its investments.

CHEYENNE RIVER SIOUX TRIBE TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8209-0-7-306	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	57	60	60
0198 Rounding adjustment .....	3		
0199 Balance, start of year .....	60	60	60
Receipts:			
Current law:			
1140 Earnings on Investments, Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....	1	1	1
2000 Total: Balances and receipts .....	61	61	61
Appropriations:			
Current law:			
2101 Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....	-1	-1	-1
5099 Balance, end of year .....	60	60	60

Program and Financing (in millions of dollars)

Identification code 020-8209-0-7-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restorat (Direct) .....	3	2	2
0900 Total new obligations (object class 43.0) .....	3	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	2	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	5	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	2	2
3020 Outlays (gross) .....	-3	-2	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	3	1	1
4110 Outlays, gross (total) .....	3	2	2
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	3	2	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	65	62	61
5001 Total investments, EOY: Federal securities: Par value .....	62	61	60

This schedule reflects the payments made to the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund. Pursuant to section 604(b) of the Water Resources Development Act of 1999 (P.L. 106-53), after the funds were fully capitalized by deposits from the General Fund of the Treasury, interest earned became available to the Tribes to carry out the purposes of the Funds. Full capitalization occurred in 2010; therefore no additional deposits will be provided by the General Fund of the Treasury. The Tribes are only able to draw down on the interest earned from these investments.

GULF COAST RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8625-0-7-452	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	25	13	10
Receipts:			
Current law:			
1110 Administrative and Civil Penalties, Gulf Coast Restoration Trust Fund .....	163	129	303
2000 Total: Balances and receipts .....	188	142	313
Appropriations:			
Current law:			
2101 Gulf Coast Restoration Trust Fund .....	-163	-129	-169
2103 Gulf Coast Restoration Trust Fund .....	-24	-12	-9
2132 Gulf Coast Restoration Trust Fund .....	12	9	
2199 Total current law appropriations .....	-175	-132	-178
2999 Total appropriations .....	-175	-132	-178
5099 Balance, end of year .....	13	10	135

Program and Financing (in millions of dollars)

Identification code 020-8625-0-7-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct Component .....	1	45	1
0002 Comprehensive Plan Component .....	2	158	37
0003 Oil Spill Restoration Impact Component .....		180	60
0004 NOAA RESTORE Act Science Program .....	3		6
0005 Centers of Excellence Research Grants .....	8	8	
0900 Total new obligations (object class 41.0) .....	14	391	104
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	628	789	530
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [020-0101] .....			-7
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	163	129	169
1203 Appropriation (previously unavailable) .....	24	12	9
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-12	-9	
1260 Appropriations, mandatory (total) .....	175	132	178
1900 Budget authority (total) .....	175	132	171
1930 Total budgetary resources available .....	803	921	701
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	789	530	597
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		9	140
3010 Obligations incurred, unexpired accounts .....	14	391	104
3020 Outlays (gross) .....	-5	-260	-185
3050 Unpaid obligations, end of year .....	9	140	59
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		9	140
3200 Obligated balance, end of year .....	9	140	59
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-7
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-7
Mandatory:			
4090 Budget authority, gross .....	175	132	178
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	1	1
4101 Outlays from mandatory balances .....		259	191
4110 Outlays, gross (total) .....	5	260	192
4180 Budget authority, net (total) .....	175	132	171
4190 Outlays, net (total) .....	5	260	185
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	652	810	679
5001 Total investments, EOY: Federal securities: Par value .....	810	679	656

GULF COAST RESTORATION TRUST FUND—Continued

This fund was established by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act). It will receive 80 percent of the civil and administrative penalties collected after July 6, 2012, from parties responsible for the *Deepwater Horizon* oil spill. Funding will be used by Federal, state, and local governments for activities to restore and protect the ecosystems and economy of the Gulf Coast region, research and monitoring, and related oversight and management responsibilities. The current estimates represent known settlement amounts; additional funds may become available through future court judgments or settlements.

**FEDERAL FINANCING BANK**

*Federal Funds*

FEDERAL FINANCING BANK

**Program and Financing** (in millions of dollars)

Identification code 020-4521-0-4-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Administrative Expenses .....	8	15	12
0802 Interest on borrowings from Treasury .....	1,765	1,444	1,566
0803 Interest on borrowings from CRSDF .....	478	484	401
0900 Total new obligations .....	2,251	1,943	1,979
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,054	1,354	1,705
1023 Unobligated balances applied to repay debt .....	-40		
1050 Unobligated balance (total) .....	1,014	1,354	1,705
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,591	2,294	2,164
1930 Total budgetary resources available .....	3,605	3,648	3,869
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,354	1,705	1,890
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	2,251	1,943	1,979
3020 Outlays (gross) .....	-2,251	-1,943	-1,979
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,591	2,294	2,164
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,251	1,943	1,979
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2,591	-2,294	-2,164
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-340	-351	-185
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	494	494	7
5001 Total investments, EOY: Federal securities: Par value .....	494	7	7

The Federal Financing Bank (FFB) was created in 1973 to reduce the costs of certain Federal and federally-assisted borrowing and to ensure the coordination of such borrowing from the public in a manner least disruptive to private financial markets and institutions. Prior to that time, many agencies borrowed directly from the private market to finance credit programs involving lending to the public at higher rates than on comparable Treasury securities. With the implementation of the Federal Credit Reform Act in 1992, however, agencies finance such loan programs through direct loan financing accounts that borrow directly from the Treasury. In certain cases, the FFB finances Federal direct loans to the public that would other-

wise be made by private lenders and fully guaranteed by a Federal agency. FFB loans are also used to finance direct agency activities such as construction of Federal buildings by the General Services Administration and activities of the U.S. Postal Service.

Lending by the FFB may take one of three forms, depending on the authorizing statutes pertaining to a particular agency or program: (1) the FFB may purchase agency financial assets; (2) the FFB may acquire debt securities that the agency is otherwise authorized to issue to the public; and (3) the FFB may originate direct loans on behalf of an agency by disbursing loans directly to private borrowers and receiving repayments from the private borrower on behalf of the agency. Because law requires that transactions by the FFB be treated as a means of financing agency obligations, the budgetary effect of the third type of transaction is reflected in the Budget in the following sequence: a loan by the FFB to the agency, a loan by the agency to a private borrower, a repayment by a private borrower to the agency, and a repayment by the agency to the FFB.

By law, the FFB receives substantially less interest each year on certain Department of Agriculture loans that it holds than it is contractually entitled to receive. For example, during 2015, as a result of this provision, the FFB received \$60.4 million less than it was contractually entitled to receive.

In 2014, the FFB's net inflows were \$520 million, while in 2015, FFB's net inflows were \$352 million.

In addition to its authority to borrow from the Treasury, the FFB has the statutory authority to borrow up to \$15 billion from other sources. Any such borrowing is exempt from the statutory ceiling on Federal debt. The FFB used this authority most recently in October 2015, as explained in the chapter on Federal Borrowing and Debt in the Analytical Perspectives volume of the Budget.

The following table shows the annual net lending by the FFB by agency and program and the amount outstanding at the end of each year.

**NET LENDING AND LOANS OUTSTANDING, END OF YEAR**

	(in millions of dollars)		
	2015 Actual	2016 Estimate	2017 Estimate
<b>A. Department of Agriculture:</b>			
1. Rural Utilities Service:			
Lending, net .....	-1,050	2,492	2,798
Loans outstanding .....	41,675	44,167	46,965
<b>B. Department of Education:</b>			
1. Historically black colleges and universities:			
Lending, net .....	124	91	95
Loans outstanding .....	1,384	1,475	1,570
<b>C. Department of Energy:</b>			
1. Title 17 innovative technology loans:			
Lending, net .....	1,650	1,560	2,253
Loans outstanding .....	11,019	12,579	14,832
2. Advanced technology vehicles manufacturing loans:			
Lending, net .....	-650	85	868
Loans outstanding .....	4,510	4,595	5,463
<b>D. Department of Housing and Urban Development:</b>			
1. Multifamily Risk Share Program:			
Lending, net .....	102	241	338
Loans outstanding .....	102	343	681
<b>E. Department of Transportation:</b>			
1. Railroad Revitalization and Regulatory Reform Act:			
Lending, net .....			
Loans outstanding .....			
<b>F. Department of the Treasury:</b>			
1. CDFI Fund Bond Guarantee Program:			
Lending, net .....	87	169	349
Loans outstanding .....	125	294	643
<b>G. Department of Veterans Affairs:</b>			
1. Transitional housing for homeless veterans:			
Lending, net .....	*		
Loans outstanding .....	5	5	5
<b>H. General Services Administration:</b>			
1. Federal buildings fund:			
Lending, net .....			
Loans outstanding .....			
<b>I. International Assistance Programs:</b>			
1. Foreign military sales credit:			
Lending, net .....			
Loans outstanding .....			
<b>J. Postal Service:</b>			
1. Postal Service fund:			
Lending, net .....			

Loans outstanding .....	15,000	15,000	15,000
Total lending:			
Lending, net .....	263	4,638	6,701
Loans outstanding .....	73,820	78,458	85,159

\*\$500,000 or less.

**Object Classification** (in millions of dollars)

Identification code 020-4521-0-4-803	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....	8	15	12
43.0 Interest and dividends .....	2,243	1,928	1,967
99.9 Total new obligations .....	2,251	1,943	1,979

3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	18	20	21
3200 Obligated balance, end of year .....	20	21	22

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	106	113	118
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	88	94	98
4011 Outlays from discretionary balances .....	16	18	19
4020 Outlays, gross (total) .....	104	112	117
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4	-1	-1
4033 Non-Federal sources .....	-3	-6	-6
4040 Offsets against gross budget authority and outlays (total) ...	-7	-7	-7
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	100	106	111
4080 Outlays, net (discretionary) .....	97	105	110
4180 Budget authority, net (total) .....	100	106	111
4190 Outlays, net (total) .....	97	105	110

**ALCOHOL AND TOBACCO TAX AND TRADE BUREAU**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of carrying out section 1111 of the Homeland Security Act of 2002, including hire of passenger motor vehicles, \$106,439,000; of which not to exceed \$6,000 for official reception and representation expenses; not to exceed \$50,000 for cooperative research and development programs for laboratory services; and provision of laboratory assistance to State and local agencies with or without reimbursement: *Provided*, That of the amount appropriated under this heading, **[\$5,000,000 shall be for the costs of accelerating the processing of formula and label applications] such sums as are necessary shall be available to fully support tax enforcement and compliance activities including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of the Treasury Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 020-1008-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Protect the Public .....	48	53	53
0002 Collect revenue .....	51	53	58
0192 Total direct program .....	99	106	111
0799 Total direct obligations .....	99	106	111
0801 Protect the Public .....	2	3	3
0802 Collect Revenue .....	4	4	4
0899 Total reimbursable obligations .....	6	7	7
0900 Total new obligations .....	105	113	118

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	100	106	106
1121 Appropriations transferred from other acct [020-0913] ...			5
1160 Appropriation, discretionary (total) .....	100	106	111
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	7	7
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	6	7	7
1900 Budget authority (total) .....	106	113	118
1930 Total budgetary resources available .....	106	113	118
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	22	23
3010 Obligations incurred, unexpired accounts .....	105	113	118
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-104	-112	-117
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	22	23	24
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		

The Alcohol and Tobacco Tax and Trade Bureau (TTB) enforces various Federal laws and regulations relating to alcohol and tobacco by working directly and in cooperation with other agencies to: (1) provide the most effective and efficient system for the collection of all revenue that is rightfully due, and eliminate or prevent tax evasion and other criminal conduct, (2) prevent consumer deception relating to alcohol beverages, ensure that regulated alcohol and tobacco products comply with various Federal commodity, product integrity, and distribution requirements, and (3) provide high quality customer service while imposing the least regulatory burden.

The Budget proposes an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, to provide a statutory change that will allow adjustments to the discretionary caps for additional IRS appropriations, including \$5 million to be transferred to TTB to improve alcohol and tobacco enforcement and compliance. The cap adjustment is premised on fully funding the 2017 Budget request for TTB base resources. The new tax enforcement and compliance initiatives for TTB are to be funded via transfers from the IRS cap adjustments through 2026. The program integrity cap proposal entails 10 years of cap adjustments for TTB costing \$189 million while generating additional tax revenue of \$338 million, for a net savings of \$149 million. These estimates do not include the revenue effect from the deterrence component of these investments and other TTB enforcement programs, which is conservatively estimated to be three times the direct revenue impact. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

**Object Classification** (in millions of dollars)

Identification code 020-1008-0-1-803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	45	48	48
11.1 Full-time permanent (IRS Program Integrity Transfer) .....			2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	46	49	51
12.1 Civilian personnel benefits .....	14	14	14
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	9		
25.2 Other services from non-Federal sources .....	9	25	25
25.2 Other services from non-Federal sources (IRS Program Integrity Transfer) .....			1
25.3 Other goods and services from Federal sources .....	7	8	8

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 020-1008-0-1-803	2015 actual	2016 est.	2017 est.
25.3 Other goods and services from Federal sources (IRS Program Integrity Transfer) .....			2
25.7 Operation and maintenance of equipment .....	3		
31.0 Equipment .....	3	2	2
99.0 Direct obligations .....	99	106	111
99.0 Reimbursable obligations .....	6	7	7
99.9 Total new obligations .....	105	113	118

Employment Summary

Identification code 020-1008-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	456	494	494
1001 Direct civilian full-time equivalent employment .....			35
2001 Reimbursable civilian full-time equivalent employment .....	10	10	10

INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5737-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Deposits, Internal Revenue Collections for Puerto Rico .....	343	403	372
2000 Total: Balances and receipts .....	343	403	372
Appropriations:			
Current law:			
2101 Internal Revenue Collections for Puerto Rico .....	-343	-403	-372
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 020-5737-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Internal revenue collections for Puerto Rico .....	343	403	372
0900 Total new obligations (object class 41.0) .....	343	403	372
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	343	403	372
1930 Total budgetary resources available .....	343	403	372
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	343	403	372
3020 Outlays (gross) .....	-343	-403	-372
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	343	403	372
Outlays, gross:			
4100 Outlays from new mandatory authority .....	343	403	372
4180 Budget authority, net (total) .....	343	403	372
4190 Outlays, net (total) .....	343	403	372

Excise taxes collected under the Internal Revenue laws of the United States on articles produced in Puerto Rico and transported to the United States are covered-over (paid) to Puerto Rico. (26 U.S.C. 7652(a)). Excise taxes collected on articles produced in the U.S. Virgin Islands and transported to the United States are covered-over to the U.S. Virgin Islands. (26 U.S.C. 7652(b)). Excise taxes collected on rum imported from everywhere other than Puerto Rico or the U.S. Virgin Islands are also covered-over to the treasuries of Puerto Rico and the U.S. Virgin Islands under a formula determined by the Alcohol and Tobacco Tax and Trade Bureau. (26 U.S.C. 7652(e)).

Excise taxes are imposed on rum at the generally applicable distilled spirits rate of \$13.50 per proof gallon. (26 U.S.C. 5001(a)(1)). Excise tax collections on imported rum are covered-over to Puerto Rico and the U.S. Virgin Islands under a permanent legislative provision at the lesser of the rate of \$10.50 (\$13.25 in the case of distilled spirits brought into the United States after June 30, 1999, and before January 1, 2017), or the tax imposed under section 5001(a)(1), on each proof gallon. (26 U.S.C. 7652(f)).

BUREAU OF ENGRAVING AND PRINTING

Federal Funds

BUREAU OF ENGRAVING AND PRINTING FUND

Program and Financing (in millions of dollars)

Identification code 020-4502-0-4-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Currency program .....	636	848	836
0803 Other programs .....		15	10
0900 Total new obligations .....	636	863	846
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	70	101	101
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	671	863	846
1701 Change in uncollected payments, Federal sources .....	-4		
1750 Spending auth from offsetting collections, disc (total) .....	667	863	846
1930 Total budgetary resources available .....	737	964	947
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	101	101	101
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	106	109	5
3010 Obligations incurred, unexpired accounts .....	636	863	846
3020 Outlays (gross) .....	-633	-967	-846
3050 Unpaid obligations, end of year .....	109	5	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-47	-43	-43
3070 Change in uncollected pymts, Fed sources, unexpired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-43	-43	-43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	59	66	-38
3200 Obligated balance, end of year .....	66	-38	-38
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	667	863	846
Outlays, gross:			
4010 Outlays from new discretionary authority .....	457	863	846
4011 Outlays from discretionary balances .....	176	104	
4020 Outlays, gross (total) .....	633	967	846
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-671	-863	-846
4040 Offsets against gross budget authority and outlays (total) .....	-671	-863	-846
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	4		
4080 Outlays, net (discretionary) .....	-38	104	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-38	104	

The mission of the Bureau of Engraving and Printing (BEP) is to develop and produce U.S. currency notes that are trusted worldwide. Additionally, in 2005, the BEP was given legal authority to print currency for foreign countries with approval of the State Department. The operations of the Bureau are financed by a revolving fund established in 1950 in accordance with Public Law 81-656 (31 U.S.C. 181), which requires the Bureau to be reimbursed by customer agencies for all costs of manufacturing products provided and services performed. In 1977, Public Law 95-81 authorized

the Bureau to assess customer agencies for amounts necessary to acquire capital equipment and provide for working capital needs.

BEP's strategic goals are to produce U.S. currency that functions flawlessly in commerce; create innovative currency designs to provide effective counterfeit deterrence and meaningful access to currency note usage for all; and achieve organizational excellence and customer satisfaction through balanced investment in people, processes, facilities, and technology. In addition to producing currency notes, activities at the Bureau include engraving plates and dies; manufacturing inks used to print security products; purchasing materials, supplies, and equipment; and storing and delivering products in accordance with the requirements of customers. The Bureau also provides technical assistance and advice to other Federal agencies in the design and production of documents that, because of their innate value or other characteristics, require counterfeit deterrence.

For 2017, BEP is planning for an expected currency production order of about eight billion notes, representing a slight decrease from the number of notes ordered by the Federal Reserve Board for 2016. 2017 priorities include: (1) producing and delivering currency notes ordered by the Federal Reserve Board that consistently meet high quality standards, (2) conducting research and development and collaborating with key stakeholders to deter counterfeiting and maintain public trust in the security and reliability of U.S. currency notes, (3) assisting users of U.S. currency, including the blind and visually impaired, with the use and denomination of currency, and (4) modernizing the production process in the District of Columbia region.

Research into and the development of new technologies for possible use in currency production are priorities at the Bureau as more sophisticated counterfeit deterrent features are needed to protect future generations of currency notes. In 2017, BEP will continue its efforts to research and develop security features working with the National Research Labs to expand outreach to identify new technologies that may be used in feature development. In addition, via its website, [www.bep.gov](http://www.bep.gov), BEP seeks information on technologies that would enhance the longevity and durability of currency notes in circulation and new technologies or materials that could be developed for future use in counterfeit deterrence.

Because aggressive law enforcement, effective note design, and public education are all essential components of an effective anti-counterfeiting program, the Bureau will continue its work in 2017 with the Advanced Counterfeit Deterrence (ACD) Steering Committee to research and develop future currency designs that will enhance and protect U.S. currency notes. The ACD Steering Committee includes representatives from BEP, the Department of the Treasury, the U.S. Secret Service, and the Federal Reserve Board. In 2017, BEP will continue working with the ACD Steering Committee to research and develop security features for the next family of redesigned notes that will enhance and protect U.S. currency notes. The \$10 note is the first note in the family to be redesigned.

**Object Classification** (in millions of dollars)

Identification code 020-4502-0-4-803	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	174	175	178
11.5 Other personnel compensation .....	19	19	17
11.9 Total personnel compensation .....	193	194	195
12.1 Civilian personnel benefits .....	55	51	51
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	9	14	14
25.1 Advisory and assistance services .....	2	3	3
25.2 Other services from non-Federal sources .....	42	122	110
25.4 Operation and maintenance of facilities .....	8	8	8
25.5 Research and development contracts .....	7	7	7
25.7 Operation and maintenance of equipment .....	12	12	12
26.0 Supplies and materials .....	294	292	300
31.0 Equipment .....	37	154	139
99.0 Reimbursable obligations .....	634	861	843

99.5 Adjustment for rounding .....	2	2	3
99.9 Total new obligations .....	636	863	846

**Employment Summary**

Identification code 020-4502-0-4-803	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,800	1,924	1,924

**UNITED STATES MINT**

*Federal Funds*

UNITED STATES MINT PUBLIC ENTERPRISE FUND

Pursuant to section 5136 of title 31, United States Code, the United States Mint is provided funding through the United States Mint Public Enterprise Fund for costs associated with the production of circulating coins, numismatic coins, and protective services, including both operating expenses and capital investments: *Provided*, That the aggregate amount of new liabilities and obligations incurred during fiscal year **[2016]** 2017 under such section 5136 for circulating coinage and protective service capital investments of the United States Mint shall not exceed **[\$20,000,000]** \$30,000,000. (*Department of the Treasury Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 020-4159-0-3-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0806 Total Operating .....	2,909	2,866	2,915
0807 Circulating and Protection Capital .....	18	19	30
0808 Numismatic Capital .....	11	11	11
0900 Total new obligations .....	2,938	2,896	2,956
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	507	704	693
1021 Recoveries of prior year unpaid obligations .....	15	50	35
1022 Capital transfer of unobligated balances to general fund .....	-11	-61	-30
1050 Unobligated balance (total) .....	511	693	698
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,137	2,896	2,956
1701 Change in uncollected payments, Federal sources .....	-6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3,131	2,896	2,956
1930 Total budgetary resources available .....	3,642	3,589	3,654
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	704	693	698
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	280	304	230
3010 Obligations incurred, unexpired accounts .....	2,938	2,896	2,956
3020 Outlays (gross) .....	-2,899	-2,920	-2,950
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	-50	-35
3050 Unpaid obligations, end of year .....	304	230	201
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	6	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	274	304	230
3200 Obligated balance, end of year .....	304	230	201
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,131	2,896	2,956
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,818	2,601	2,655
4011 Outlays from discretionary balances .....	81	319	295
4020 Outlays, gross (total) .....	2,899	2,920	2,950
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	.....	.....
4033 Non-Federal sources .....	-3,117	-2,896	-2,956
4034 Offsetting governmental collections .....	-17	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-3,137	-2,896	-2,956

UNITED STATES MINT PUBLIC ENTERPRISE FUND—Continued  
Program and Financing—Continued

Identification code 020-4159-0-3-803	2015 actual	2016 est.	2017 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	6		
4080 Outlays, net (discretionary) .....	-238	24	-6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-238	24	-6

The United States Mint mints and issues circulating coins, produces and distributes numismatic items, and provides security and asset protection. Since 1996, the Mint's operations have been funded through the Public Enterprise Fund (PEF) established by section 522 of Public Law 104-52 (codified at section 5136 of Title 31, United States Code). The operations of the Mint are divided into two major components, circulating coinage and numismatic products. Finances for the two components are accounted for separately; receipts from circulating coinage operations are not used to fund numismatic operations and receipts from numismatic operations are not used to fund circulating coinage operations. The Mint generates revenue through the issuance of circulating coins to the Federal Reserve Banks (FRBs) and the sale of numismatic products to the public and bullion coins to authorized purchasers. The Mint submits annual audited financial statements to the Secretary of the Treasury and to the Congress in support of the operations of the PEF. In 2015, the Mint transferred \$561 million to the General Fund.

**Circulating Coinage.**—This activity funds the minting and issuance of circulating coins to the FRBs in amounts that the Secretary of the Treasury determines are necessary to meet the needs of the United States. The 2017 Budget reflects production volumes that correspond to expected demand and raw materials costs, which are driven by commodity prices and volumes. The Mint receives funds from the Federal Reserve equal to the face value of the circulating coins shipped to the FRB. The Mint is credited with the full cost of producing and distributing the coins that are put into circulation, including the depreciation of manufacturing facilities and equipment. The difference between the face value of the coins and the full cost of producing the coins is called seigniorage, which is a means of financing the deficit and transferred periodically to the General Fund. Amounts used to finance the Mint's capital acquisitions are recorded as budget authority in the year that funds are obligated.

The 2017 Budget includes a proposal to limit the requirement that the number of \$1 coins minted and issued in a year with the Sacagawea design on the obverse be not less than 20 percent of the total number of \$1 coins minted and issued. Limiting the 20-percent requirement to circulating coins avoids the need to mint and issue Native American \$1 coins in excess of the amounts that numismatic customers demand. FRBs hold excessive inventories of \$1 coins because depository institutions are re-depositing significant amounts of the coins with the FRBs. To address the excessive \$1 coin inventory, in December 2011, the Mint suspended production of all \$1 coins for circulation and, since that time, has minted and issued \$1 coins solely for numismatic purposes.

**Numismatic Items.**—This activity funds the manufacturing of numismatic items, which include collectible coins and sets, medals, bullion coins, and other products for sale to collectors and other members of the public who desire high-quality or investment-grade versions of the Nation's coinage. These products include annual proof and uncirculated sets; investment-grade silver and gold bullion coins; uncirculated silver and gold coins; proof silver, gold, and platinum coins; and commemorative coins and medals that are authorized to commemorate events, individuals, places, or other subjects. Prices for numismatic products are based on the estimated product cost plus a reasonable margin to assure that the numismatic program operates at no net cost to the taxpayer. Similarly, bullion coins are priced based on the market price of the precious metals plus a premium to cover manufacturing, marketing, and distribution costs. Making numismatic

products accessible, available, and affordable to Americans who choose to purchase them is the highest priority of the Mint's numismatic operations.

Object Classification (in millions of dollars)

Identification code 020-4159-0-3-803	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	127	150	150
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	16	13	13
11.9 Total personnel compensation .....	144	163	163
12.1 Civilian personnel benefits .....	45	53	53
13.0 Benefits for former personnel .....		1	1
21.0 Travel and transportation of persons .....	2	2	3
22.0 Transportation of things .....	35	29	29
23.2 Rental payments to others .....	14	14	14
23.3 Communications, utilities, and miscellaneous charges .....	13	17	17
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	27	34	34
25.2 Other services from non-Federal sources .....	14	38	37
25.3 Other goods and services from Federal sources .....	19	20	20
25.4 Operation and maintenance of facilities .....	6	3	3
25.5 Research and development contracts .....		2	2
25.7 Operation and maintenance of equipment .....	7	8	8
26.0 Supplies and materials .....	2,579	2,478	2,528
31.0 Equipment .....	22	21	31
32.0 Land and structures .....	9	12	12
99.0 Reimbursable obligations .....	2,937	2,896	2,956
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	2,938	2,896	2,956

Employment Summary

Identification code 020-4159-0-3-803	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,651	1,874	1,874

INTERNAL REVENUE SERVICE

The Internal Revenue Service (IRS) collects the revenue that funds the Government and administers the Nation's tax laws. During 2015, the IRS processed 201 million tax returns and collected \$3.3 trillion in taxes (gross receipts before tax refunds), totaling 93 percent of Federal Government receipts. The IRS taxpayer service program assists millions of taxpayers in understanding and meeting their tax obligations. The IRS tax enforcement and compliance program deters taxpayers inclined to evade their responsibilities while pursuing those who violate tax laws.

The 2017 Budget provides \$12,280 million for the IRS to implement key strategic priorities.

**Enforcement Program.**—The Budget includes an Enforcement account increase to implement enacted legislation; protect revenue by identifying fraud and preventing issuance of questionable refunds including those related to identity theft; increase compliance by addressing offshore tax evasion; strengthen examination and collection programs, including return preparer; and address compliance issues in the tax-exempt sector. This increase includes a program integrity cap adjustment totaling \$515 million, which supports the Enforcement (\$231 million) and the Operations Support accounts (\$283 million), including a \$5 million to transfer to the Alcohol and Tobacco Tax and Trade Bureau (TTB) for high return on investment (ROI) tax enforcement activities. The Budget proposes an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, to provide a statutory change that will allow adjustments to the discretionary caps for additional IRS appropriations. To ensure full funding of the cost increases, this cap adjustment is permissible in 2017 only if the base level for the IRS Enforcement, Operations Support, and TTB accounts are funded at or above \$8,854 million. The new 2017 enforcement initiatives funded out of this cap adjustment will generate more than \$2.6 billion in additional annual enforcement revenue once the new hires reach full potential in 2019. At full performance,

these resources are expected to generate an ROI of nearly \$6-to-\$1, not including the indirect revenue effect of the deterrence value of these enforcement investments, which is estimated to be at least three times the direct revenue impact. In addition to the new enforcement initiatives for 2017, the Budget also proposes new tax enforcement and compliance initiatives for the IRS and TTB funded via cap adjustments through 2021 and sustained with additional adjustments through 2026. In total, the proposal entails 10 years of cap adjustments costing \$18 billion while generating \$64 billion, for a net savings of \$46 billion. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

**Taxpayer Service Program.**—The Budget includes a significant investment in Taxpayer Services that will allow the IRS to further improve customer service to meet taxpayer demand and continue delivering services to taxpayers using a variety of in-person, telephone, and web-based methods. These tools will help taxpayers understand their obligations, correctly file their returns, and pay taxes due in a timely manner. The IRS is committed to increasing the service options available through the IRS website and mobile application, allowing more taxpayers to reach the IRS through the Internet. Notably, in 2015, there were more than 493 million visits to *www.IRS.gov*, and taxpayers checked their refund status more than 234 million times by accessing *Where's My Refund?* on the IRS website in English or Spanish. Taxpayers can also use automated features on the IRS toll-free phone system. Additionally, the IRS2Go mobile application had over 3.8 million active users in 2015. While the IRS works to move taxpayer interactions to more efficient web-based channels, the 2017 Budget provides enough resources to increase the telephone level of service to 70 percent.

**Modernization Program.**—IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and enhance productivity. Since 2012, the IRS has processed individual taxpayer returns on a daily processing cycle that has enhanced IRS tax administration and improved customer service by allowing faster refunds for more taxpayers, more timely account updates, and faster issuance of taxpayer notices. The Budget provides new investments in the Business Systems Modernization (BSM) Program to expand the capabilities of the Customer Account Data Engine (CADE) 2 relational database and address IRS's financial material weakness, enhance the taxpayer's online experience and provide secure digital communications; complete the design, development, and testing of various estate and gift tax forms for electronic acceptance; and increase fraud detection, resolution, and prevention through use of the Return Review Program (RRP). Using leading-edge technologies that promote speed and enhance data analytics, RRP will advance IRS effectiveness in detecting, addressing, and preventing tax refund fraud and in protecting the Nation's revenue stream. RRP will eventually replace the legacy Electronic Fraud Detection System built in the mid-1990s. The IRS is expanding its web-based customer service channel by building on existing capabilities to simplify and improve the taxpayer's online experience, provide secure digital communications, and add more interactive capabilities to existing web self-service products.

**Federal Funds**

**TAXPAYER SERVICES**

For necessary expenses of the Internal Revenue Service to provide taxpayer services, including pre-filing assistance and education, filing and account services, taxpayer advocacy services, and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$2,156,554,000]** \$2,406,318,000, of which not less than \$6,500,000 shall be for the Tax Counseling for the Elderly Program, of which not less than \$12,000,000 shall be available for low-income taxpayer clinic grants, **[and]** of which not less than **[\$15,000,000, to]** \$191,822,000 shall remain available until September 30, **[2017, shall be available for a Community Volunteer Income Tax Assistance matching grants program for tax return preparation assistance]** 2018, and of which not less than \$206,000,000 shall be available for operating expenses of the Taxpayer Advocate Service: *Provided*, That of the amounts made available for the Taxpayer Advocate Service, not less than \$5,000,000 shall be for identity theft casework: *Provided further, That, of the*

*amounts made available until September 30, 2018, not less than \$15,000,000 shall be available for a Community Volunteer Income Tax Assistance matching grants program for tax return preparation assistance. (Department of the Treasury Appropriations Act, 2016.)*

**Program and Financing** (in millions of dollars)

Identification code 020-0912-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pre-filing taxpayer assistance and education .....	621	640	646
0002 Filing and account services .....	1,612	1,795	1,878
0100 Subtotal, direct programs .....	2,233	2,435	2,524
0799 Total direct obligations .....	2,233	2,435	2,524
0801 Taxpayer Services (Reimbursable) .....	30	35	36
0900 Total new obligations .....	2,263	2,470	2,560
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	10	11
1011 Unobligated balance transfer from other acct [020-5432] ...	40	39	14
1012 Unobligated balance transfers between expired and unexpired accounts .....	7		
1050 Unobligated balance (total) .....	54	49	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,157	2,333	2,406
1121 Appropriations transferred from other acct [020-5432] ...	4	64	103
1121 Appropriations transferred from other acct [020-0913] ...	30		
1160 Appropriation, discretionary (total) .....	2,191	2,397	2,509
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	30	35	36
1900 Budget authority (total) .....	2,221	2,432	2,545
1930 Total budgetary resources available .....	2,275	2,481	2,570
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	10	11	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	117	117	126
3010 Obligations incurred, unexpired accounts .....	2,263	2,470	2,560
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-2,255	-2,461	-2,550
3041 Recoveries of prior year unpaid obligations, expired .....	-14		
3050 Unpaid obligations, end of year .....	117	126	136
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	117	117	126
3200 Obligated balance, end of year .....	117	126	136
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,221	2,432	2,545
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,101	2,312	2,421
4011 Outlays from discretionary balances .....	154	149	129
4020 Outlays, gross (total) .....	2,255	2,461	2,550
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-35	-35	-36
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-37	-35	-36
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	7		
4070 Budget authority, net (discretionary) .....	2,191	2,397	2,509
4080 Outlays, net (discretionary) .....	2,218	2,426	2,514
4180 Budget authority, net (total) .....	2,191	2,397	2,509
4190 Outlays, net (total) .....	2,218	2,426	2,514

This appropriation provides resources for taxpayer service programs, which help taxpayers understand their tax obligations, correctly file their returns, and pay taxes due in a timely manner. It also supports a number of other activities, including forms and publications; processing of tax returns and related documents; filing and account services; and taxpayer advocacy services.

TAXPAYER SERVICES—Continued  
Object Classification (in millions of dollars)

Identification code 020-0912-0-1-803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,464	1,637	1,745
11.3 Other than full-time permanent .....	41	43	44
11.5 Other personnel compensation .....	35	66	70
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	1,541	1,747	1,860
12.1 Civilian personnel benefits .....	539	472	466
21.0 Travel and transportation of persons .....	12	18	19
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
24.0 Printing and reproduction .....	8	10	10
25.1 Advisory and assistance services .....	26	43	25
25.2 Other services from non-Federal sources .....	7	37	38
25.3 Other goods and services from Federal sources .....	66	64	66
25.7 Operation and maintenance of equipment .....	.....	2	2
26.0 Supplies and materials .....	5	6	6
41.0 Grants, subsidies, and contributions .....	25	33	29
42.0 Insurance claims and indemnities .....	.....	1	.....
99.0 Direct obligations .....	2,231	2,435	2,524
99.0 Reimbursable obligations .....	30	35	37
99.5 Adjustment for rounding .....	2	.....	-1
99.9 Total new obligations .....	2,263	2,470	2,560

Employment Summary

Identification code 020-0912-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	27,476	30,370	31,056
1001 Direct civilian full-time equivalent employment .....	181	661	1,377
2001 Reimbursable civilian full-time equivalent employment .....	464	487	512
3001 Allocation account civilian full-time equivalent employment .....	287	.....	.....

ENFORCEMENT

For necessary expenses for tax enforcement activities of the Internal Revenue Service to determine and collect owed taxes, to provide legal and litigation support, to conduct criminal investigations, to enforce criminal statutes related to violations of internal revenue laws and other financial crimes, to purchase and hire passenger motor vehicles (31 U.S.C. 1343(b)), and to provide other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$4,860,000,000] \$5,216,263,000**, of which not to exceed **[\$50,000,000] \$54,936,000** shall remain available until September 30, **[2017] 2018**, and of which not less than \$60,257,000 shall be for the Interagency Crime and Drug Enforcement program: *Provided, That, of the amounts provided under this heading, not less than \$231,344,000, of which \$5,000,000 shall be transferred to the Alcohol and Tobacco Tax and Trade Bureau, shall be for an additional appropriation for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of the Treasury Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 020-0913-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Investigations .....	612	651	760
0002 Exam and Collections .....	4,041	4,089	4,349
0003 Regulatory .....	166	165	168
0100 Subtotal, Direct program .....	4,819	4,905	5,277
0799 Total direct obligations .....	4,819	4,905	5,277
0801 Enforcement (Reimbursable) .....	31	45	47
0900 Total new obligations .....	4,850	4,950	5,324
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	19	32
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	7	.....	.....
1011 Unobligated balance transfer from other acct [020-5432] .....	21	10	15

1012 Unobligated balance transfers between expired and unexpired accounts .....	22	.....	.....
1050 Unobligated balance (total) .....	50	29	47
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4,860	4,865	5,216
1120 Appropriations transferred to other accts [020-0919] .....	-61	.....	.....
1120 Appropriations transferred to other accts [020-1008] .....	.....	.....	-5
1120 Appropriations transferred to other accts [020-0912] .....	-30	.....	.....
1160 Appropriation, discretionary (total) .....	4,769	4,865	5,211
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....	8	.....	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	24	88	92
1701 Change in uncollected payments, Federal sources .....	19	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	43	88	92
1900 Budget authority (total) .....	4,820	4,953	5,303
1930 Total budgetary resources available .....	4,870	4,982	5,350
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	32	26

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	290	267	274
3010 Obligations incurred, unexpired accounts .....	4,850	4,950	5,324
3011 Obligations incurred, expired accounts .....	8	.....	.....
3020 Outlays (gross) .....	-4,864	-4,943	-5,289
3041 Recoveries of prior year unpaid obligations, expired .....	-17	.....	.....
3050 Unpaid obligations, end of year .....	267	274	309
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-38	-24	-24
3070 Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	33	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-24	-24	-24
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	252	243	250
3200 Obligated balance, end of year .....	243	250	285

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,812	4,953	5,303
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,569	4,689	5,024
4011 Outlays from discretionary balances .....	295	253	263
4020 Outlays, gross (total) .....	4,864	4,942	5,287
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-56	-88	-92
4033 Non-Federal sources .....	-7	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-63	-88	-92
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
4052 Offsetting collections credited to expired accounts .....	39	.....	.....
4060 Additional offsets against budget authority only (total) .....	20	.....	.....
4070 Budget authority, net (discretionary) .....	4,769	4,865	5,211
4080 Outlays, net (discretionary) .....	4,801	4,854	5,195
<b>Mandatory:</b>			
4090 Budget authority, gross .....	8	.....	.....
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	.....	1	2
4180 Budget authority, net (total) .....	4,777	4,865	5,211
4190 Outlays, net (total) .....	4,801	4,855	5,197

This appropriation provides resources for the examination of tax returns, both domestic and international; the administrative and judicial settlement of taxpayer appeals of examination findings; technical rulings; monitoring employee pension plans; determining qualifications of organizations seeking tax-exempt status; examining the tax returns of exempt organizations; enforcing statutes relating to detection and investigation of criminal violations of the internal revenue laws and other financial crimes; identifying underreporting of tax obligations; securing unfiled tax returns; and collecting unpaid accounts. Further, the 2017 Budget protects revenue by identifying fraud and preventing the issuance of erroneous refund payments, including tax-related identity theft. A portion of the appropriation (\$231 million) is requested as part of the \$515 million program integrity cap ad-

justment that will reduce the deficit through above-base funding for high return on investment tax enforcement and compliance initiatives, including \$5 million to transfer to the Alcohol and Tobacco Tax and Trade Bureau. In conjunction with specified funds provided to the IRS Operations Support account, this increment will support tax compliance initiatives expected to generate over \$2.6 billion in additional annual enforcement revenue once the new hires reach full potential in 2019. Language presented in this account, the Operations Support account, and section 126 of the Department of the Treasury's Administrative Provisions is provided to effectuate the cap adjustment in conjunction with an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended.

Object Classification (in millions of dollars)			
Identification code 020-0913-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	3,261	3,242	3,422
11.3 Other than full-time permanent .....	31	33	33
11.5 Other personnel compensation .....	100	114	125
11.8 Special personal services payments .....	23	16	16
11.9 Total personnel compensation .....	3,415	3,405	3,596
12.1 Civilian personnel benefits .....	1,145	1,136	1,207
21.0 Travel and transportation of persons .....	61	72	105
22.0 Transportation of things .....	7	9	17
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	2	3	3
25.1 Advisory and assistance services .....	69	132	163
25.2 Other services from non-Federal sources .....	29	53	70
25.3 Other goods and services from Federal sources .....	46	38	41
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	.....	2	6
25.8 Subsistence and support of persons .....	1	.....	.....
26.0 Supplies and materials .....	16	16	19
31.0 Equipment .....	11	27	39
42.0 Insurance claims and indemnities .....	1	1	1
44.0 Refunds .....	8	.....	.....
91.0 Unvouchered .....	3	5	5
99.0 Direct obligations .....	4,819	4,904	5,277
99.0 Reimbursable obligations .....	30	45	46
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	4,850	4,950	5,324

Employment Summary			
Identification code 020-0913-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	39,708	38,840	41,028
1001 Direct civilian full-time equivalent employment .....	381	128	128
2001 Reimbursable civilian full-time equivalent employment .....	56	59	62
3001 Allocation account civilian full-time equivalent employment .....	3	2	2

OPERATIONS SUPPORT

For necessary expenses of the Internal Revenue Service to support taxpayer services and enforcement programs, including rent payments; facilities services; printing; postage; physical security; headquarters and other IRS-wide administration activities; research and statistics of income; telecommunications; information technology development, enhancement, operations, maintenance, and security; the hire of passenger motor vehicles (31 U.S.C. 1343(b)); the operations of the Internal Revenue Service Oversight Board; and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner; **[\$3,638,446,000]** \$4,314,099,000, of which not to exceed **[\$50,000,000]** \$158,242,000 shall remain available until September 30, **[2017]**2018; of which not to exceed \$10,000,000 shall remain available until expended for acquisition of equipment and construction, repair and renovation of facilities; of which not to exceed \$1,000,000 shall remain available until September 30, **[2018]**2019, for research; of which not to exceed **[\$20,000]** \$25,000 shall be for official reception and representation expenses: *Provided*, That not later than 30 days after the end of each quarter, the Internal Revenue Service shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate and the Comptroller General of the United States detailing the cost and schedule performance for its major information technology investments, including the purpose and life-cycle stages of the investments; the reasons for any

cost and schedule variances; the risks of such investments and strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter: *Provided further*, That the Internal Revenue Service shall include, in its budget justification for fiscal year **[2017]**2018, a summary of cost and schedule performance information for its major information technology systems: *Provided further*, That, of the amounts provided under this heading, such sums as are necessary shall be available to fully support tax enforcement and compliance activities, including not less than \$283,404,000, for an additional appropriation for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of the Treasury Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 020-0919-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Infrastructure .....	847	854	897
0003 Shared Services and Support .....	1,131	1,174	1,216
0004 Information Services .....	2,124	2,197	2,472
0100 Subtotal, direct programs .....	4,102	4,225	4,585
0799 Total direct obligations .....	4,102	4,225	4,585
0801 Operations Support (Reimbursable) .....	42	54	57
0900 Total new obligations .....	4,144	4,279	4,642
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	123	148	66
1011 Unobligated balance transfer from other acct [020-5432] .....	181	119	44
1012 Unobligated balance transfers between expired and unexpired accounts .....	31	.....	.....
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	344	267	110
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,638	3,747	4,314
1121 Appropriations transferred from other acct [020-5432] .....	208	277	224
1121 Appropriations transferred from other acct [020-0913] .....	61	.....	.....
1160 Appropriation, discretionary (total) .....	3,907	4,024	4,538
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	44	54	57
1900 Budget authority (total) .....	3,951	4,078	4,595
1930 Total budgetary resources available .....	4,295	4,345	4,705
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	148	66	63

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	840	878	927
3010 Obligations incurred, unexpired accounts .....	4,144	4,279	4,642
3011 Obligations incurred, expired accounts .....	15	.....	.....
3020 Outlays (gross) .....	-4,061	-4,230	-4,552
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-51	.....	.....
3050 Unpaid obligations, end of year .....	878	927	1,017
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	840	878	927
3200 Obligated balance, end of year .....	878	927	1,017

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,951	4,078	4,595
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3,263	3,338	3,777
4011 Outlays from discretionary balances .....	798	892	775
4020 Outlays, gross (total) .....	4,061	4,230	4,552
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-44	-54	-57
4033 Non-Federal sources .....	-7	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-51	-54	-57
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	7	.....	.....
4070 Budget authority, net (discretionary) .....	3,907	4,024	4,538
4080 Outlays, net (discretionary) .....	4,010	4,176	4,495
4180 Budget authority, net (total) .....	3,907	4,024	4,538

OPERATIONS SUPPORT—Continued  
Program and Financing—Continued

Identification code 020-0919-0-1-803	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total) .....	4,010	4,176	4,495

This appropriation provides resources for support functions that are essential to the successful operation of IRS programs. These functions include: overall planning and direction of the IRS; shared service support related to facilities maintenance, rent payments, printing, postage, and security; resources for headquarters management activities such as communications and liaison, finance, human resources, equity, diversity and inclusion; research and statistics of income; protection of sensitive information and the privacy of taxpayers and employees; and necessary expenses for telecommunications support and the development and maintenance of IRS operational information systems. This appropriation also includes specific funds to support multi-year facility and real estate planning to improve the IRS investment process, as well as funds needed to implement an array of significant new tax legislation. A portion of the appropriation (\$283 million) is requested as part of the \$515 million program integrity cap adjustment that will reduce the deficit through above-base funding for high return on investment tax enforcement and compliance programs. In conjunction with specified funds provided to the IRS Enforcement account, this increment will support new tax compliance initiatives that are expected to generate high returns on investment in the form of increased tax revenues. In total, the proposal entails 10 years of adjustments costing \$18 billion while generating \$64 billion, for a net savings of \$46 billion.

Object Classification (in millions of dollars)

Identification code 020-0919-0-1-803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,144	1,177	1,226
11.3 Other than full-time permanent .....	7	9	9
11.5 Other personnel compensation .....	20	20	22
11.9 Total personnel compensation .....	1,171	1,206	1,257
12.1 Civilian personnel benefits .....	418	442	457
13.0 Benefits for former personnel .....	51	52	53
21.0 Travel and transportation of persons .....	12	11	11
22.0 Transportation of things .....	11	12	12
23.1 Rental payments to GSA .....	611	595	618
23.2 Rental payments to others .....	12	13	13
23.3 Communications, utilities, and miscellaneous charges .....	321	305	319
24.0 Printing and reproduction .....	16	18	19
25.1 Advisory and assistance services .....	760	855	853
25.2 Other services from non-Federal sources .....	64	62	244
25.3 Other goods and services from Federal sources .....	79	75	85
25.4 Operation and maintenance of facilities .....	173	159	168
25.6 Medical care .....	14	14	15
25.7 Operation and maintenance of equipment .....	66	66	70
26.0 Supplies and materials .....	19	20	22
31.0 Equipment .....	294	278	333
32.0 Land and structures .....	9	40	35
99.0 Direct obligations .....	4,101	4,223	4,584
99.0 Reimbursable obligations .....	42	53	56
99.5 Adjustment for rounding .....	1	3	2
99.9 Total new obligations .....	4,144	4,279	4,642

Employment Summary

Identification code 020-0919-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	10,617	11,922	12,250
1001 Direct civilian full-time equivalent employment .....	1,187	99	37
2001 Reimbursable civilian full-time equivalent employment .....	92	97	101

BUSINESS SYSTEMS MODERNIZATION

For necessary expenses of the Internal Revenue Service's business systems modernization program, **[\$290,000,000] \$343,415,000**, to remain available until

September 30, **[2018] 2019**, for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including related Internal Revenue Service labor costs, and contractual costs associated with operations authorized by 5 U.S.C. 3109: *Provided*, That not later than 30 days after the end of each quarter, the Internal Revenue Service shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate and the Comptroller General of the United States detailing the cost and schedule performance for CADE 2 and Modernized e-File information technology investments, including the purposes and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and the strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter. (*Department of the Treasury Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 020-0921-0-1-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Business Systems Modernization .....	242	290	341
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	226	276	276
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	228	276	276
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	290	290	343
1930 Total budgetary resources available .....	518	566	619
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	276	276	278
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	115	120
3010 Obligations incurred, unexpired accounts .....	242	290	341
3020 Outlays (gross) .....	-203	-285	-310
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	115	120	151
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	80	115	120
3200 Obligated balance, end of year .....	115	120	151
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	290	290	343
Outlays, gross:			
4010 Outlays from new discretionary authority .....	74	74	87
4011 Outlays from discretionary balances .....	129	211	223
4020 Outlays, gross (total) .....	203	285	310
4180 Budget authority, net (total) .....	290	290	343
4190 Outlays, net (total) .....	203	285	310

This appropriation provides resources for the planning and capital asset acquisition of information technology to modernize the IRS business systems, including labor and related contractual costs. The Government Accountability Office regularly reviews the status of key Business Systems Modernization (BSM) investments and the IRS submits quarterly information technology reports to the House and Senate Committees on Appropriations.

The projects within the BSM program represent investments to ensure that the IRS continues to move forward and use technologies to improve performance. The Budget provides investments to modernize core tax systems and fundamentally change how taxpayers interact with the IRS, including the creation of online tax filing status and payment options.

Object Classification (in millions of dollars)

Identification code 020-0921-0-1-803	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	41	53	58
11.3 Other than full-time permanent .....		1	1

11.5	Other personnel compensation .....	1	2	1
11.9	Total personnel compensation .....	42	56	60
12.1	Civilian personnel benefits .....	12	15	16
21.0	Travel and transportation of persons .....	1	1	1
25.1	Advisory and assistance services .....	172	195	242
25.7	Operation and maintenance of equipment .....	3	3	3
31.0	Equipment .....	12	19	19
99.0	Direct obligations .....	241	289	341
99.5	Adjustment for rounding .....	1	1	.....
99.9	Total new obligations .....	242	290	341

**Employment Summary**

Identification code 020-0921-0-1-803	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	340	440	469

**BUILD AMERICA BOND PAYMENTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 020-0935-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Build America Bond Payments, Recovery Act (Direct) .....	3,499	3,518	3,775
0900 Total new obligations (object class 41.0) .....	3,499	3,518	3,775
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	3,771	3,775	3,775
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-272	-257	.....
1260 Appropriations, mandatory (total) .....	3,499	3,518	3,775
1930 Total budgetary resources available .....	3,499	3,518	3,775
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3,499	3,518	3,775
3020 Outlays (gross) .....	-3,499	-3,518	-3,775
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3,499	3,518	3,775
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3,499	3,518	3,775
4180 Budget authority, net (total) .....	3,499	3,518	3,775
4190 Outlays, net (total) .....	3,499	3,518	3,775

The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1531, allows state and local governments to issue Build America Bonds through December 31, 2010. These tax credit bonds, which include Recovery Zone Bonds, differ from tax-exempt governmental obligation bonds in two principal ways: (1) interest paid on tax credit bonds is taxable; and (2) a portion of the interest paid on tax credit bonds takes the form of a Federal tax credit. The bond issuer may elect to receive a direct payment in the amount of the tax credit for obligations issued before January 1, 2011. This account reflects the continuing interest payments over time.

**AMERICA FAST FORWARD BONDS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020-0953-4-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 America Fast Forward Bonds .....	.....	.....	288
0900 Total new obligations (object class 41.0) .....	.....	.....	288

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	288
1930 Total budgetary resources available .....	.....	.....	288
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	288
3020 Outlays (gross) .....	.....	.....	-288
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	288
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	288
4180 Budget authority, net (total) .....	.....	.....	288
4190 Outlays, net (total) .....	.....	.....	288

The Budget proposes a new permanent America Fast Forward Bond program that will be an optional alternative to traditional tax-exempt bonds. This program will be similar to the expired Build America Bond program. The America Fast Forward Bonds will be conventional taxable bonds issued by state and local governments in which the Federal Government makes direct subsidy payments to state and local governmental issuers (refundable tax credits). Eligible uses would include financing of governmental capital projects, current refunding or refinancing of prior capital project financings, short-term governmental working capital financings for governmental operating expenses, and financing for the types of projects and programs that can be financed with qualified private activity bonds, subject to applicable state bond volume caps. The subsidy rate for the America Fast Forward Bonds is proposed at 28 percent, which is revenue neutral relative to the estimated future Federal tax expenditure for tax-exempt bonds. The American Fast Forward Bond program will be effective for bonds issued after the date of enactment.

**PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX**

**Program and Financing** (in millions of dollars)

Identification code 020-0906-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Earned Income Credit Exceeds Liability for Tax (Direct) .....	60,084	61,381	61,917
0900 Total new obligations (object class 41.0) .....	60,084	61,381	61,917
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	60,084	61,381	61,917
1930 Total budgetary resources available .....	60,084	61,381	61,917
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	60,084	61,381	61,917
3020 Outlays (gross) .....	-60,084	-61,381	-61,917
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	60,084	61,381	61,917
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60,084	61,381	61,917
4180 Budget authority, net (total) .....	60,084	61,381	61,917
4190 Outlays, net (total) .....	60,084	61,381	61,917

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	60,084	61,381	61,917
Outlays .....	60,084	61,381	61,917
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	294
Outlays .....	.....	.....	294

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX—Continued  
**Summary of Budget Authority and Outlays—Continued**

	2015 actual	2016 est.	2017 est.
Total:			
Budget Authority .....	60,084	61,381	62,211
Outlays .....	60,084	61,381	62,211

As provided by law, there are instances where the earned income tax credit (EITC) exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer. Congress originally authorized the EITC in the Tax Reduction Act of 1975 (P.L. 94–12) and made it permanent in the Revenue Adjustment Act of 1978 (P.L. 95–600). The Tax Reform Act of 1986 and the Omnibus Budget Reconciliation Acts of 1990 and 1993 increased the credit amount and expanded eligibility for the EITC.

The Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (P.L. 107–16) increased the income level at which the credit begins to phase out for married taxpayers filing joint returns, and made other changes to simplify the credit and improve compliance.

The American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111–5), section 1002, temporarily increased the EITC for working families with three or more children, and increased the threshold for the phase-out range for all married couples filing a joint return for 2009 and 2010 tax returns. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 103(c), extended the EGTRRA and ARRA benefits through tax year 2012.

The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 103(c), extended the EGTRRA and ARRA benefits through tax year 2017 (a five-year extension). The Protecting Americans From Tax Hikes Act of 2015 (P.L. 114–113), permanently extended the EGTRRA and ARRA benefits.

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020–0906–4–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Earned Income Credit Exceeds Liability for Tax (Direct) .....			294
0900 Total new obligations (object class 41.0) .....			294
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			294
1930 Total budgetary resources available .....			294
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			294
3020 Outlays (gross) .....			–294
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			294
Outlays, gross:			
4100 Outlays from new mandatory authority .....			294
4180 Budget authority, net (total) .....			294
4190 Outlays, net (total) .....			294

The Administration proposes to expand the EITC for workers without qualifying children.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 020–0922–0–1–609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Child Tax Credit Exceeds Liability for Tax (Direct) .....	20,592	21,627	21,579
0900 Total new obligations (object class 41.0) .....	20,592	21,627	21,579
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	20,592	21,627	21,579
1930 Total budgetary resources available .....	20,592	21,627	21,579
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	20,592	21,627	21,579
3020 Outlays (gross) .....	–20,592	–21,627	–21,579
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	20,592	21,627	21,579
Outlays, gross:			
4100 Outlays from new mandatory authority .....	20,592	21,627	21,579
4180 Budget authority, net (total) .....	20,592	21,627	21,579
4190 Outlays, net (total) .....	20,592	21,627	21,579

As provided by law, there are instances where the child tax credit exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer.

The Congress originally authorized the child tax credit in the Taxpayer Relief Act of 1997 (P.L. 105–34). The credit amount and extent to which the credit is refundable were increased by the Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (P.L. 107–16). The American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111–5), section 1003, further expanded the extent to which the credit is refundable. The credit was refundable to the extent of 15 percent of an individual's earned income in excess of \$3,000 for 2010 and 2011. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 103(b), extended this temporary benefit for 2011 and 2012. The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 103(b), extended the ARRA benefits through tax year 2017 (a five-year extension). The Protecting Americans From Tax Hikes Act of 2015 (P.L. 114–113), permanently extended the EGTRRA and ARRA benefits.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX  
(Legislative proposal, subject to PAYGO)

The account reflects the interaction effect with the proposals to reform child care tax incentives, to provide a second earner tax credit, and to provide for automatic enrollment in individual retirement accounts.

PAYMENT WHERE HEALTH COVERAGE TAX CREDIT EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 020–0923–0–1–551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Health Coverage Tax Credit Exceeds Liability for T (Direct) .....		6	21
0900 Total new obligations (object class 41.0) .....		6	21
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		6	21
1930 Total budgetary resources available .....		6	21

<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....	6 21
3020	Outlays (gross) .....	-6 -21
<b>Budget authority and outlays, net:</b>		
Mandatory:		
4090	Budget authority, gross .....	6 21
Outlays, gross:		
4100	Outlays from new mandatory authority .....	6 21
4180	Budget authority, net (total) .....	6 21
4190	Outlays, net (total) .....	6 21

The Trade Act of 2002 established the Health Coverage Tax Credit (HCTC), a refundable tax credit for a portion of the cost of qualified insurance, which may be paid in advance. This credit is available to certain recipients of Trade Adjustment Assistance (TAA) and Pension Benefit Guaranty Corporation pension beneficiaries who are aged 55–64.

The Congress expanded the HCTC program in the American Recovery and Reinvestment Act of 2009 (P.L. 111–5), sections 1899A–1899J. These increased benefits for certain HCTC eligible individuals include payment of 80 percent (up from 65 percent) of health insurance premiums, up to 24 months of coverage for qualified family members, and extension of COBRA benefits. The Omnibus Trade Act of 2010 (P.L. 111–344), sections 111–118, extended these benefits until February 13, 2011. The bill to extend the Generalization System of Preference (P.L. 112–040), section 241, extended the credit through December 31, 2013, and reduced the credit percentage to 72.5 percent, and eliminated the credit entirely as of January 1, 2014.

The Trade Preferences Extension Act of 2015 (P.L. 114–27), section 407, retroactively reinstated the HCTC to January 1, 2014, through December 31, 2019. The Act also provided that an eligible individual could not claim both the HCTC and the premium tax credit provided under the Affordable Care Act (ACA) for the same coverage for the same month and that individual health insurance coverage purchased through the Health Insurance Marketplace is qualified coverage for coverage months in 2014 and 2015. Lastly, the Act reinstated the advance payment of the HCTC, effective not later than June 28, 2016 (one year after date of enactment).

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS  
LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 020–0951–0–1–551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Payment Where Small Business Health Insurance Tax Credit Exceeds (Direct) .....	38 59	59
0900	Total new obligations (object class 41.0) .....	38 59	59
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	41 63	59
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3 -4	
1260	Appropriations, mandatory (total) .....	38 59	59
1930	Total budgetary resources available .....	38 59	59
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	38 59	59
3020	Outlays (gross) .....	-38 -59	-59
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	38 59	59
Outlays, gross:			
4100	Outlays from new mandatory authority .....	38 59	59
4180	Budget authority, net (total) .....	38 59	59
4190	Outlays, net (total) .....	38 59	59

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	38	59	59
Outlays .....	38	59	59
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			21
Outlays .....			21
<b>Total:</b>			
Budget Authority .....	38	59	80
Outlays .....	38	59	80

The Patient Protection and Affordable Care Act (PPACA) of 2010 (P.L. 111–148), section 1421, allows certain small employers (including small tax-exempt employers) to claim a credit when they pay at least half of the health care premiums for single health insurance coverage for their employees. Small employers can claim the credit for 2010 through 2013 and for two years after that. Generally, employers that have fewer than 25 full-time equivalent employees and pay wages averaging less than \$50,000 per employee per year may qualify for the credit. The Budget proposes to expand the credit by increasing the maximum employer size, modifying the interaction of the employer size and wage phaseouts, and simplifying eligibility requirements.

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS  
LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020–0951–4–1–551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Small Business Insurance Tax Credit .....		21
0900	Total new obligations (object class 41.0) .....		21
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		21
1930	Total budgetary resources available .....		21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		21
3020	Outlays (gross) .....		-21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		21
Outlays, gross:			
4100	Outlays from new mandatory authority .....		21
4180	Budget authority, net (total) .....		21
4190	Outlays, net (total) .....		21

The Budget proposes to expand and simplify the tax credit provided to qualified small employers for non-elective contributions to employee health insurance. The proposal would expand the credit to employers with up to 50 (rather than 25) full-time equivalent employees (FTEs) and begin the phaseout of the maximum credit at 20 FTEs (the credit would be reduced on a sliding scale between 20 and 50, rather than between 10 and 25, FTEs). In addition, there would be a change to the coordination of the phaseouts of the credit that apply as the number of FTEs and average wages increase (using a formula that is multiplicative rather than additive) so as to provide a more gradual combined phaseout and to ensure that employers with fewer than 50 FTEs and an average wage less than \$50,000 may be eligible for the credit, even if they are nearing the end of both phaseouts. The Budget also proposes to reduce taxpayer complexity by eliminating the requirement that an employer make a uniform contribution on behalf of each employee (although applicable non-discrimination laws will still apply), and eliminating the reduction in the qualifying contribution for premiums that exceed

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS  
LIABILITY FOR TAX—Continued  
the average premium in the rating area. The proposal would be effective  
for taxable years beginning after December 31, 2015.

PAYMENT WHERE ALTERNATIVE MINIMUM TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020-0929-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Alternative Minimum Tax Credit Exceeds Liability F (Direct) .....	7	5	1
0900 Total new obligations (object class 41.0) .....	7	5	1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7	5	1
1930 Total budgetary resources available .....	7	5	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	7	5	1
3020 Outlays (gross) .....	-7	-5	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	5	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	5	1
4180 Budget authority, net (total) .....	7	5	1
4190 Outlays, net (total) .....	7	5	1

The Tax Relief and Health Care Act of 2006 (P.L. 109-432) allowed certain taxpayers to claim a refundable credit for a portion of their unused long-term alternative minimum tax (AMT) credits each year. The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), Division C, section 103, increased the AMT refundable credit portion from 20 percent to 50 percent of unused long-term minimum tax credits for the taxable year in question. This provision was effective for any taxable year beginning before January 1, 2013, and has now expired. However, outlays are expected from this account through 2017 as reconciliations occur.

PAYMENT WHERE CERTAIN TAX CREDITS EXCEED LIABILITY FOR CORPORATE TAX

Program and Financing (in millions of dollars)

Identification code 020-0931-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Certain Tax Credits Exceed Liability for Corporate (Direct) .....	152	198	3,388
0900 Total new obligations (object class 41.0) .....	152	198	3,388
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	164	198	3,388
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-12		
1260 Appropriations, mandatory (total) .....	152	198	3,388
1930 Total budgetary resources available .....	152	198	3,388
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	152	198	3,388
3020 Outlays (gross) .....	-152	-198	-3,388
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	152	198	3,388

Outlays, gross:				
4100	Outlays from new mandatory authority .....	152	198	3,388
4180	Budget authority, net (total) .....	152	198	3,388
4190	Outlays, net (total) .....	152	198	3,388

The Housing and Economic Recovery Act of 2008 (P.L. 110-289), section 3081, allowed certain businesses to accelerate the recognition of a portion of their unused pre-2006 alternative minimum tax (AMT) or research and development (R&D) credits in lieu of taking bonus depreciation. The maximum increase amount is capped at the lesser of \$30 million or 6 percent of eligible AMT and R&D credits. The accelerated credit amount is refundable. The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1201(b), extended this temporary benefit through 2009. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), section 401(c), extended this temporary benefit through the end of 2012, but only with respect to AMT credits. The American Taxpayer Relief Act of 2012 (P.L. 112-240), section 331(c), extended this temporary benefit through 2013 only with respect to AMT credits. The Tax Increase Prevention Act, Title I—Certain Expiring Provisions (P.L. 113-295), section 125(c), extended this temporary benefit through 2014 only with respect to AMT credits. However, outlays are expected from this account through 2017 as reconciliations occur.

PAYMENT IN LIEU OF TAX CREDITS FOR PROMISE ZONES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0908-4-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			27
0900 Total new obligations (object class 41.0) .....			27
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			27
1930 Total budgetary resources available .....			27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			27
3020 Outlays (gross) .....			-27
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			27
Outlays, gross:			
4100 Outlays from new mandatory authority .....			27
4180 Budget authority, net (total) .....			27
4190 Outlays, net (total) .....			27

The Administration proposes to provide two tax incentives to the 20 designated Promise Zones. First, an employment credit would be provided to businesses that employ zone residents that would apply to the first \$15,000 of qualifying wages annually. The credit rate would be 20 percent for zone residents who are employed within the zone and 10 percent for zone residents employed outside of the zone. Second, qualifying property placed in service within the zone would be eligible for additional first-year depreciation of 100 percent of the adjusted basis of the property. Qualifying property would generally consist of depreciable property with a recovery period of 20 years or less. Zone designations for the purpose of the tax incentives would be in effect from January 1, 2017, through December 31, 2026.

PAYMENT WHERE SPECIFIED ENERGY PROPERTY CREDIT EXCEEDS LIABILITY FOR TAX  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020-0954-4-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Renewable Electricity Tax Credit Exceeds Liability .....			58
0900 Total new obligations (object class 41.0) .....			58
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			58
1930 Total budgetary resources available .....			58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			58
3020 Outlays (gross) .....			-58
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			58
Outlays, gross:			
4100 Outlays from new mandatory authority .....			58
4180 Budget authority, net (total) .....			58
4190 Outlays, net (total) .....			58

The Budget proposes to permanently extend the renewable electricity production tax credit at current credit rates (adjusted annually for inflation), make it refundable, and make it available to otherwise eligible renewable electricity consumed directly by the producer rather than sold to an unrelated third party to the extent that its production can be independently verified. Solar facilities that qualify for the investment tax credit would be eligible for the renewable electricity production tax credit for construction that begins after December 31, 2016.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

**Program and Financing** (in millions of dollars)

Identification code 020-0932-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where American Opportunity Credit Exceeds Liability for (Direct) .....	4,153	4,398	4,334
0900 Total new obligations (object class 41.0) .....	4,153	4,398	4,334
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	4,153	4,398	4,334
1930 Total budgetary resources available .....	4,153	4,398	4,334
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4,153	4,398	4,334
3020 Outlays (gross) .....	-4,153	-4,398	-4,334
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4,153	4,398	4,334
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4,153	4,398	4,334
4180 Budget authority, net (total) .....	4,153	4,398	4,334
4190 Outlays, net (total) .....	4,153	4,398	4,334

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,153	4,398	4,334
Outlays .....	4,153	4,398	4,334

Legislative proposal, subject to PAYGO:

Budget Authority .....			-26
Outlays .....			-26
Total:			
Budget Authority .....	4,153	4,398	4,308
Outlays .....	4,153	4,398	4,308

The American Recovery and Reinvestment Act (ARRA) of 2009 (P.L. 111-5), section 1004, allowed certain taxpayers to claim a refundable American Opportunity Tax Credit (AOTC) for qualifying higher education expenses, for tax years 2009 and 2010. Up to 40 percent of the credit is refundable. The credit applies dollar-for-dollar to the first \$2,000 of qualified tuition, fees and course materials paid by the taxpayer, and applies at a rate of 25 percent to the next \$2,000 in qualified tuition, fees and course materials for a total credit of up to \$2,500. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), section 103(a), extended this credit to tax years 2011 and 2012. The American Taxpayer Relief Act of 2012 (P.L. 112-240), section 103(a), extended the credit through tax year 2017 (a five-year extension). The Protecting Americans From Tax Hikes Act of 2015 (P.L. 114-113), permanently extended the ARRA benefits.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020-0932-4-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-26
0900 Total new obligations (object class 41.0) .....			-26
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-26
1930 Total budgetary resources available .....			-26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-26
3020 Outlays (gross) .....			26
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-26
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-26
4180 Budget authority, net (total) .....			-26
4190 Outlays, net (total) .....			-26

The Administration proposes to expand and modify the American Opportunity Tax Credit. The account also reflects the interaction effect with the proposals to provide IRS with greater flexibility to address correctable errors, to modify Form 1098-T for reporting tuition expenses, to make Pell Grants excludable from gross income, to repeal the student loan interest deduction and provide exclusion for certain debt relief and scholarships.

PROVIDE A CARBON DIOXIDE INVESTMENT AND SEQUESTRATION CREDIT

(Legislative proposal, subject to PAYGO)

The Budget proposes to allocate \$2 billion as a new refundable investment tax credit for projects at new and retrofitted electric generating units that capture and permanently sequester carbon dioxide (CO<sub>2</sub>). A minimum of 70 percent of the credits would be required to flow to projects fueled by greater than 75 percent coal. The Budget also proposes a new refundable sequestration tax credit for qualified investments at a rate of (1) \$50 per metric ton of CO<sub>2</sub> permanently sequestered and not beneficially reused (e.g., in an enhanced oil recovery operation) and (2) \$10 per metric ton for CO<sub>2</sub> that is permanently sequestered and beneficially reused. The credit

PROVIDE A CARBON DIOXIDE INVESTMENT AND SEQUESTRATION CREDIT—Continued would be indexed for inflation and would be allowed for a maximum of 20 years of production.

PAYMENT TO ISSUER OF QUALIFIED ENERGY CONSERVATION BONDS

Program and Financing (in millions of dollars)

Identification code 020-0948-0-1-272	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Issuer of Qualified Energy Conservation Bonds (Direct) .....	34	34	37
0900 Total new obligations (object class 41.0) .....	34	34	37
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	37	37	37
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3	-3	.....
1260 Appropriations, mandatory (total) .....	34	34	37
1930 Total budgetary resources available .....	34	34	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	34	34	37
3020 Outlays (gross) .....	-34	-34	-37

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	34	34	37
Outlays, gross:			
4100 Outlays from new mandatory authority .....	34	34	37
4180 Budget authority, net (total) .....	34	34	37
4190 Outlays, net (total) .....	34	34	37

The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), section 301, created Qualified Energy Conservation Bonds; and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1112, increased the limitation on issuance of qualified energy conservation bonds from \$800,000,000 to \$3,200,000,000.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by allowing issuers of Qualified Energy Conservation Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF NEW CLEAN RENEWABLE ENERGY BONDS

Program and Financing (in millions of dollars)

Identification code 020-0947-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Issuer of New Clean Renewable Energy Bonds (Direct) .....	29	29	31
0900 Total new obligations (object class 41.0) .....	29	29	31
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	31	31	31
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	29	29	31
1930 Total budgetary resources available .....	29	29	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	29	29	31

3020 Outlays (gross) .....	-29	-29	-31
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	29	29	31
Outlays, gross:			
4100 Outlays from new mandatory authority .....	29	29	31
4180 Budget authority, net (total) .....	29	29	31
4190 Outlays, net (total) .....	29	29	31

The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), section 107, created New Clean Renewable Energy Bonds, and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1111, increased the limitation on issuance of New Clean Renewable Energy Bonds to a total limitation of \$2,400,000,000.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of New Clean Renewable Energy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS

Program and Financing (in millions of dollars)

Identification code 020-0946-0-1-501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Issuer of Qualified School Construction Bonds (Direct) .....	643	646	693
0900 Total new obligations (object class 41.0) .....	643	646	693
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	693	693	693
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-50	-47	.....
1260 Appropriations, mandatory (total) .....	643	646	693
1930 Total budgetary resources available .....	643	646	693
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	643	646	693
3020 Outlays (gross) .....	-643	-646	-693

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	643	646	693
Outlays, gross:			
4100 Outlays from new mandatory authority .....	643	646	693
4180 Budget authority, net (total) .....	643	646	693
4190 Outlays, net (total) .....	643	646	693

The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1521, created Qualified School Construction Bonds with a calendar year limitation of \$11,000,000,000 for 2009 and 2010 and zero after 2010.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified School Construction Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED ZONE ACADEMY BONDS

Program and Financing (in millions of dollars)

Identification code 020-0945-0-1-501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Issuer of Qualified Zone Academy Bonds (Direct) ....	52	52	56
0900 Total new obligations (object class 41.0) .....	52	52	56
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	56	56	56
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-4	-4	.....
1260 Appropriations, mandatory (total) .....	52	52	56
1930 Total budgetary resources available .....	52	52	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	52	52	56
3020 Outlays (gross) .....	-52	-52	-56
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	52	52	56
Outlays, gross:			
4100 Outlays from new mandatory authority .....	52	52	56
4180 Budget authority, net (total) .....	52	52	56
4190 Outlays, net (total) .....	52	52	56

The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1522, extended and expanded the calendar year limitation for Qualified Zone Academy Bonds to \$1,400,000,000 for 2009 and 2010. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), section 758, extended the Qualified Zone Academy Bonds for 2011 and reduced the calendar year limitation to \$400,000,000. The American Taxpayer Relief Act of 2012 (P.L. 112-240), section 310, extended the calendar year limitation of \$400,000,000 through tax year 2013 (a two-year extension). The Tax Increase Prevention Act, Title I—Certain Expiring Provisions (P.L. 113-295), section 120, extended the calendar year limitation of \$400,000,000 through tax year 2014 (a one-year extension). The Protecting Americans From Tax Hikes Act of 2015 (P.L. 114-113), extended the calendar year limitation of \$400,000,000 through tax year 2016 (a two-year extension).

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amends section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified Zone Academy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (P.L. 111-312) amended section 6431(f)(3)(A)(iii) to provide that direct pay treatment for Qualified Zone Academy Bonds is not available for Qualified Zone Academy Bond allocations from the 2011 national limitation or any carry forward of the 2011 allocation.

PAYMENT WHERE ADOPTION CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020-0950-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Adoption Credit Exceeds Liability for Tax (Direct) .....	16	1	.....
0900 Total new obligations (object class 41.0) .....	16	1	.....

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	16	1	.....
1930 Total budgetary resources available .....	16	1	.....

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	16	1	.....
3020 Outlays (gross) .....	-16	-1	.....

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	16	1	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	1	.....
4180 Budget authority, net (total) .....	16	1	.....
4190 Outlays, net (total) .....	16	1	.....

The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148), section 10909, modified the existing adoption credit to make it a refundable credit for two years (2010 and 2011). The refundability provision has expired and the adoption credit is again limited to tax liability. However, outlays are expected from this account through 2016 as reconciliations occur.

REFUNDING INTERNAL REVENUE COLLECTIONS, INTEREST

Program and Financing (in millions of dollars)

Identification code 020-0904-0-1-908	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Refunding Internal Revenue Collections, Interest (Direct) .....	1,061	1,309	1,680
0900 Total new obligations (object class 43.0) .....	1,061	1,309	1,680
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,061	1,309	1,680
1930 Total budgetary resources available .....	1,061	1,309	1,680
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,061	1,309	1,680
3020 Outlays (gross) .....	-1,061	-1,309	-1,680
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,061	1,309	1,680
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,061	1,309	1,680
4180 Budget authority, net (total) .....	1,061	1,309	1,680
4190 Outlays, net (total) .....	1,061	1,309	1,680

Under certain circumstances, as provided in 26 U.S.C. 6611, interest is paid on Internal Revenue collections that must be refunded. The Tax Equity and Fiscal Responsibility Act of 1982 (P.L. 97-248) provides for daily compounding of interest. Under the Tax Reform Act of 1986 (P.L. 99-514), interest paid on Internal Revenue collections will equal the Federal short-term rate plus two percentage points, with such rate to be adjusted quarterly.

REFUNDABLE PREMIUM TAX CREDIT AND COST SHARING REDUCTIONS

Program and Financing (in millions of dollars)

Identification code 020-0949-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Premium assistance tax credit .....	23,770	30,718	47,837
0002 Advanced cost sharing reductions .....	5,060	6,127	7,596
0003 Basic Health Program .....	1,228	2,440	2,570
0900 Total new obligations (object class 41.0) .....	30,058	39,285	58,003

REFUNDABLE PREMIUM TAX CREDIT AND COST SHARING REDUCTIONS—Continued  
Program and Financing—Continued

Identification code 020-0949-0-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	27,213	39,285	58,003
Spending authority from offsetting collections, mandatory:			
1800	2,845		
1900	30,058	39,285	58,003
1930	30,058	39,285	58,003
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	30,058	39,285	58,003
3020	-30,058	-39,285	-58,003
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	30,058	39,285	58,003
Outlays, gross:			
4100	30,058	39,285	58,003
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	-2,845		
4180	27,213	39,285	58,003
4190	27,213	39,285	58,003

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	27,213	39,285	58,003
Outlays	27,213	39,285	58,003
Legislative proposal, subject to PAYGO:			
Budget Authority			-303
Outlays			-303
Total:			
Budget Authority	27,213	39,285	57,700
Outlays	27,213	39,285	57,700

The Patient Protection and Affordable Care Act (PPACA) of 2010 (P.L. 111-148) established the Refundable Premium Tax Credit. This credit is an advanceable, refundable tax credit designed to help eligible individuals and families with low or moderate income afford health insurance purchased through the Health Insurance Marketplace, also known as the Exchange, beginning in 2014. The credit can be paid in advance to the taxpayer's insurance company to lower the monthly premiums, or it can be claimed when a taxpayer files their income tax return for the year. If the credit is paid in advance, the taxpayer must reconcile the advance credit payments with the actual credit computed on the tax return, subject to certain caps.

Section 1402 of PPACA provides for reductions in cost sharing for eligible individuals enrolled in qualified health plans purchased on the Exchanges. The reduction in cost sharing will first be achieved by reducing applicable out-of-pocket limits under section 1302 of PPACA. An additional reduction will be allowed for lower income insured individuals and special rules will apply for American Indians and Alaska Natives.

Section 1131 of PPACA provides for the establishment of a Basic Health Program, under which a state may offer standard health plans to eligible individuals in lieu of offering such individuals coverage through an Exchange. Eligible individuals include state residents without access to affordable, minimum essential coverage (including those not eligible to enroll in the state's Medicaid program) who meet certain income, residency, and age requirements.

Section 1412 of PPACA provides for advance payments of the premium tax credit and cost-sharing reductions.

The premium assistance tax credit has outlay effects (in millions of dollars) of: 2015 \$20,925; 2016 \$30,718; 2017 \$47,837; 2018 \$68,343; 2019 \$86,732; 2020 \$94,583; 2021 \$100,106; 2022 \$106,132; 2023 \$110,949; 2024 \$116,077; 2025 \$121,436; 2026 \$126,721.

The premium assistance tax credit has income tax effects (in millions of dollars) [a (-) indicates reduced receipts] of: 2015 -\$2,316; 2016 -\$2,152; 2017 -\$3,452; 2018 -\$4,503; 2019 -\$6,274; 2020 -\$7,498; 2021 -\$7,743; 2022 -\$8,131; 2023 -\$8,441; 2024 -\$8,820; 2025 -\$9,134; 2026 -\$9,471.

REFUNDABLE PREMIUM TAX CREDIT AND COST SHARING REDUCTIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0949-4-1-551	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			-303
1930			-303
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			-303
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			303
Unpaid obligations, end of year			
3050			303
Memorandum (non-add) entries:			
3200			303
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			-303
Outlays, gross:			
4100			-303
4180			-303
4190			-303

This schedule reflects the impact of the Administration's proposals to extend the Children's Health Insurance Program, create a state option to provide 12-month continuous Medicaid eligibility for adults, and standardize the definition of American Indian and Alaska Native in PPACA.

IRS MISCELLANEOUS RETAINED FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5432-0-2-803	2015 actual	2016 est.	2017 est.
0100	3	3	2
Receipts:			
Current law:			
1110	7	7	8
1110	37	24	24
1130	154	155	156
1130	48	52	53
1130	140	168	157
1130	5	4	4
1199	391	410	402
1999	391	410	402
2000	394	413	404
Appropriations:			
Current law:			
2101	-391	-410	-402
2103	-3	-3	-2
2132	3	2	
2199	-391	-411	-404
2999	-391	-411	-404
5099	3	2	

**Program and Financing** (in millions of dollars)

Identification code 020-5432-0-2-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 IRS Miscellaneous Retained Fees (Direct) .....	5	3	3
0900 Total new obligations (object class 44.0) .....	5	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	262	194	93
1010 Unobligated balance transfer to other accts [020-0912] .....	-40	-39	-14
1010 Unobligated balance transfer to other accts [020-0919] .....	-181	-119	-44
1010 Unobligated balance transfer to other accts [020-0913] .....	-21	-10	-15
1050 Unobligated balance (total) .....	20	26	20
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [020-0919] .....	-208	-277	-224
1120 Appropriations transferred to other accts [020-0912] .....	-4	-64	-103
1160 Appropriation, discretionary (total) .....	-212	-341	-327
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	391	410	402
1203 Appropriation (previously unavailable) .....	3	3	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-3	-2	.....
1260 Appropriations, mandatory (total) .....	391	411	404
1900 Budget authority (total) .....	179	70	77
1930 Total budgetary resources available .....	199	96	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	194	93	94
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	5	3	3
3020 Outlays (gross) .....	-5	-3	-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-212	-341	-327
Mandatory:			
4090 Budget authority, gross .....	391	411	404
Outlays, gross:			
4101 Outlays from mandatory balances .....	5	3	3
4180 Budget authority, net (total) .....	179	70	77
4190 Outlays, net (total) .....	5	3	3

As provided by law (26 U.S.C. 7801), the Secretary of the Treasury may establish new fees or raise existing fees for services provided by the IRS to increase receipts, where such fees are authorized by another law, and may spend the new or increased fee receipts to supplement appropriations made available to the IRS appropriations accounts. Funds in this account are transferred to other IRS appropriations accounts for expenditure.

GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5080-0-2-808	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1130 Gifts to the United States for Reduction of the Public Debt .....	4	4	4
2000 Total: Balances and receipts .....	4	4	4
Appropriations:			
Current law:			
2101 Gifts to the United States for Reduction of the Public Debt .....	-4	-4	-4
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 020-5080-0-2-808	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1236 Appropriations applied to repay debt .....	-4	-4	-4
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

As provided by law (31 U.S.C. 3113), the Secretary of the Treasury is authorized to accept conditional gifts to the United States for the purpose of reducing the public debt.

PRIVATE COLLECTION AGENT PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 020-5510-0-2-803	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The American Jobs Creation Act of 2004 (P.L. 108-357) allowed the IRS to use private collection contractors to supplement its own collection staff efforts to ensure that all taxpayers pay what they owe. The IRS used this authority to contract with several private debt collection agencies starting in 2006. In March 2009, the IRS allowed its private debt collection contracts to expire, thereby administratively terminating the program.

Section 32102(a) of the Fixing America's Surface Transportation Act of 2015 (P.L. 114-94), amended section 6306 of the Internal Revenue Code (IRC) and requires the Secretary of the Treasury to enter into one or more qualified tax collection contracts for the collection of all outstanding inactive tax receivables. These agreements are applicable to tax receivables as identified by the Secretary after December 4, 2015. Section 6306 of the IRC prohibits the payment of fees for all services in excess of 25 percent of the amount collected under a tax collection contract. In addition, up to 25 percent of the amount collected may be used for IRS collection enforcement activities.

Inactive tax receivables are defined as any tax receivable (1) removed from the active inventory for lack of resources or inability to locate the taxpayer, (2) for which more than 1/3 of the applicable limitations period has lapsed and no IRS employee has been assigned to collect the receivable; or (3) for which a receivable has been assigned for collection but more than 365 days have passed without interaction with the taxpayer or a third party for purposes of furthering the collection. Tax receivables are defined as any outstanding assessment that the IRS includes in potentially collectible inventory.

INFORMANT PAYMENTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5433-0-2-803	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	8
Receipts:			
Current law:			
1140 Underpayment and Fraud Collection .....	71	75	75
2000 Total: Balances and receipts .....	71	75	83
Appropriations:			
Current law:			
2101 Informant Payments .....	-71	-67	-63

INFORMANT PAYMENTS—Continued  
Special and Trust Fund Receipts—Continued

Identification code 020-5433-0-2-803	2015 actual	2016 est.	2017 est.
5099 Balance, end of year .....		8	20

Program and Financing (in millions of dollars)

Identification code 020-5433-0-2-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Informant Payments .....	71	63	63
0900 Total new obligations (object class 91.0) .....	71	63	63
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	71	67	63
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-4	
1260 Appropriations, mandatory (total) .....	71	63	63
1930 Total budgetary resources available .....	71	63	63

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	71	63	63
3020 Outlays (gross) .....	-71	-63	-63

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	71	63	63
Outlays, gross:			
4100 Outlays from new mandatory authority .....	71	63	63
4180 Budget authority, net (total) .....	71	63	63
4190 Outlays, net (total) .....	71	63	63

As provided by law (26 U.S.C. 7623), the Secretary of the Treasury may make payments to individuals who provide information that leads to the collection of Internal Revenue taxes. The Taxpayer Bill of Rights of 1996 (P.L. 104-168) provides for payments of such sums to individuals from the proceeds of amounts collected by reason of the information provided, and any amount collected shall be available for such payments. This information must lead to the detection of underpayments of taxes, or detection and bringing to trial and punishment of persons guilty of violating the Internal Revenue laws. This provision was further amended by the Tax Relief and Health Care Act of 2006 (P.L. 109-432) to provide for mandatory payments in certain circumstances and to encourage use of the program. A reward payment typically ranges between 15 and 30 percent of the collected proceeds for cases where the amount of collected proceeds exceeds \$2,000,000. Lower payments are allowed in certain circumstances, including cases in which information is provided that was already available from another source.

FEDERAL TAX LIEN REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 020-4413-0-3-803	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Federal Tax Lien Revolving Fund (Reimbursable) .....		1	1
0900 Total new obligations (object class 32.0) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		1	1
1930 Total budgetary resources available .....	7	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....		1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-1	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This revolving fund was established pursuant to section 112(a) of the Federal Tax Lien Act of 1966, to serve as the source of financing the redemption of real property by the United States. During the process of collecting unpaid taxes, the Government places a tax lien on real estate in order to protect the Government's interest. Situations arise where property of this nature is collateral for other indebtedness and the tax lien is subordinate to the original indebtedness. In this circumstance, it is often in the Government's interest to purchase the property during the foreclosure sale. The advantage arises when the property is worth substantially more than the first lien-holder's equity but is being sold for an amount that barely covers that equity, thereby leaving no proceeds to apply against delinquent taxes. Under these circumstances, if the Government buys the property and subsequently puts it up for sale under more advantageous conditions, it is possible to realize sufficient profit on the transaction to fully or partially collect the amount of taxes due. The revolving fund is reimbursed from the proceeds of the sale in an amount equal to the amount expended from the fund for the redemption. The balance of the proceeds is applied against the amount of the tax, interest, penalties, and additions thereto, and for the costs of sale. The remainder, if any, would revert to the parties legally entitled to it.

INTERNAL REVENUE SERVICE OVERSIGHT BOARD

The Internal Revenue Service Restructuring and Reform Act of 1998 (Section 7802(d) 26 U.S.C.) directs the IRS Oversight Board to provide an annual budget request for the IRS. The Oversight Board's request shall be submitted to the President by the Secretary without revision, and the President shall submit the request, without revision, to Congress together with the President's Budget request for the IRS. The Board did not make a recommendation for 2017 as it currently lacks a quorum. The Board will reconvene once it has enough Senate-confirmed members to make a quorum.

ADMINISTRATIVE PROVISIONS—INTERNAL REVENUE SERVICE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. Not to exceed 5 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to any other Internal Revenue Service appropriation upon the advance [approval] notification of the Committees on Appropriations.

SEC. 102. The Internal Revenue Service shall maintain an employee training program, which shall include the following topics: taxpayers' rights, dealing courteously with taxpayers, cross-cultural relations, ethics, and the impartial application of tax law.

SEC. 103. The Internal Revenue Service shall institute and enforce policies and procedures that will safeguard the confidentiality of taxpayer information and protect taxpayers against identity theft.

SEC. 104. Funds made available by this or any other Act to the Internal Revenue Service shall be available for improved facilities and increased staffing to provide sufficient and effective 1-800 help line service for taxpayers. The Commissioner shall continue to make improvements to the Internal Revenue Service 1-800 help line service a priority and allocate resources necessary to enhance the response time to taxpayer communications, particularly with regard to victims of tax-related crimes.

【SEC. 105. None of the funds made available to the Internal Revenue Service by this Act may be used to make a video unless the Service-Wide Video Editorial Board determines in advance that making the video is appropriate, taking into account the cost, topic, tone, and purpose of the video.】

SEC. 【106】105. The Internal Revenue Service shall issue a notice of confirmation of any address change relating to an employer making employment tax payments, and such notice shall be sent to both the employer's former and new address and an officer or employee of the Internal Revenue Service shall give special consideration to an offer-in-compromise from a taxpayer who has been the victim of fraud by a third party payroll tax preparer.

【SEC. 107. None of the funds made available under this Act may be used by the Internal Revenue Service to target citizens of the United States for exercising any right guaranteed under the First Amendment to the Constitution of the United States.】

【SEC. 108. None of the funds made available in this Act may be used by the Internal Revenue Service to target groups for regulatory scrutiny based on their ideological beliefs.】

【SEC. 109. None of funds made available by this Act to the Internal Revenue Service shall be obligated or expended on conferences that do not adhere to the procedures, verification processes, documentation requirements, and policies issued by the Chief Financial Officer, Human Capital Office, and Agency-Wide Shared Services as a result of the recommendations in the report published on May 31, 2013, by the Treasury Inspector General for Tax Administration entitled "Review of the August 2010 Small Business/Self-Employed Division's Conference in Anaheim, California" (Reference Number 2013-10-037).】

【SEC. 110. None of the funds made available in this Act to the Internal Revenue Service may be obligated or expended—

(1) to make a payment to any employee under a bonus, award, or recognition program; or

(2) under any hiring or personnel selection process with respect to re-hiring a former employee,

unless such program or process takes into account the conduct and Federal tax compliance of such employee or former employee.】

【SEC. 111. None of the funds made available by this Act may be used in contravention of section 6103 of the Internal Revenue Code of 1986 (relating to confidentiality and disclosure of returns and return information).】

【SEC. 112. Except to the extent provided in section 6014, 6020, or 6201(d) of the Internal Revenue Code of 1986, no funds in this or any other Act shall be available to the Secretary of the Treasury to provide to any person a proposed final return or statement for use by such person to satisfy a filing or reporting requirement under such Code.】

【SEC. 113. In addition to the amounts otherwise made available in this Act for the Internal Revenue Service, \$290,000,000, to be available until September 30, 2017, shall be transferred by the Commissioner to the "Taxpayer Services", "Enforcement", or "Operations Support" accounts of the Internal Revenue Service for an additional amount to be used solely for measurable improvements in the customer service representative level of service rate, to improve the identification and prevention of refund fraud and identity theft, and to enhance cybersecurity to safeguard taxpayer data: *Provided*, That such funds shall supplement, not supplant any other amounts made available by the Internal Revenue Service for such purpose: *Provided further*, That such funds shall not be available until the Commissioner submits to the Committees on Appropriations of the House of Representatives and the Senate a spending plan for such funds: *Provided further*, That such funds shall not be used to support any provision of Public Law 111-148, Public Law 111-152, or any amendment made by either such Public Law.】

SEC. 106. Section 9503(a) of title 5, United States Code, is amended by striking the clause "before September 30, 2013" and inserting "before September 30, 2021".

SEC. 107. Section 9503(a)(5) of title 5, United States Code, is amended by inserting before the semicolon the following: "renewable for an additional two years, based on a critical organizational need".

SEC. 108. Section 1344(b)(6) of title 31, United States Code, is amended by adding a comma before "the Administrator of the Drug Enforcement Administration", by striking "and" after "Drug Enforcement Administration", and by inserting ", and the Commissioner of Internal Revenue" after "National Aeronautics and Space Administration". (Department of the Treasury Appropriations Act, 2016.)

## COMPTROLLER OF THE CURRENCY

### Trust Funds

#### ASSESSMENT FUNDS

##### Program and Financing (in millions of dollars)

Identification code 020-8413-0-8-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0881 Bank Supervision .....	996	1,133	1,156
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	703	1,301	1,249
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	704	1,301	1,249
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,593	1,081	1,134
1930 Total budgetary resources available .....	2,297	2,382	2,383
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,301	1,249	1,227
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	234	238	101
3010 Obligations incurred, unexpired accounts .....	996	1,133	1,156
3020 Outlays (gross) .....	-991	-1,270	-1,067
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	238	101	190
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-5	-5
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	229	233	96
3200 Obligated balance, end of year .....	233	96	185
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,593	1,081	1,134
Outlays, gross:			
4100 Outlays from new mandatory authority .....	898	1,044	1,067
4101 Outlays from mandatory balances .....	93	226	
4110 Outlays, gross (total) .....	991	1,270	1,067
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-13	-19	-23
4121 Interest on Federal securities .....	-17	-19	-23
4123 Non-Federal sources .....	-1,563	-1,043	-1,088
4130 Offsets against gross budget authority and outlays (total) ...	-1,593	-1,081	-1,134
4170 Outlays, net (mandatory) .....	-602	189	-67
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-602	189	-67
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	926	1,535	1,605
5001 Total investments, EOY: Federal securities: Par value .....	1,535	1,605	1,677

The Office of the Comptroller of the Currency (OCC) was created by Congress to charter national banks; oversee a nationwide system of banking institutions; and ensure national banks are safe and sound, competitive and profitable, and capable of serving in the best possible manner the banking needs of their customers. The National Currency Act of 1863 (12 U.S.C. 1 et seq., 12 Stat. 665), rewritten and reenacted as the National Bank Act of 1864, provided for the chartering and supervising functions of the OCC. The income of OCC is derived principally from assessments paid by national banks and interest on investments in U.S. Government securities. The OCC receives no appropriated funds from Congress.

Effective on July 21, 2011, Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) transferred to the OCC the responsibility for the supervision of Federal savings associations and rulemaking authority for all savings associations.

As of September 30, 2015, the OCC supervised 1,010 national bank charters, 49 Federal branches of foreign banks, and 416 Federal savings associations (including approximately 165 mutual institutions). In total,

ASSESSMENT FUNDS—Continued

the OCC supervises approximately \$11.1 trillion in financial institution assets.

Object Classification (in millions of dollars)

Identification code 020-8413-0-8-373	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	502	547	557
11.3 Other than full-time permanent .....	7	7	7
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	512	557	567
12.1 Civilian personnel benefits .....	210	247	252
21.0 Travel and transportation of persons .....	54	71	72
22.0 Transportation of things .....	2	2	2
23.2 Rental payments to others .....	62	62	64
23.3 Communications, utilities, and miscellaneous charges .....	11	16	17
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	32	34	35
25.2 Other services from non-Federal sources .....	17	21	22
25.3 Other goods and services from Federal sources .....	10	13	13
25.4 Operation and maintenance of facilities .....	4	5	5
25.7 Operation and maintenance of equipment .....	53	60	62
26.0 Supplies and materials .....	6	8	8
31.0 Equipment .....	20	19	19
32.0 Land and structures .....	3	17	17
99.0 Reimbursable obligations .....	996	1,133	1,156
99.9 Total new obligations .....	996	1,133	1,156

Employment Summary

Identification code 020-8413-0-8-373	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,805	3,955	3,955

INTEREST ON THE PUBLIC DEBT

Federal Funds

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

Program and Financing (in millions of dollars)

Identification code 020-0550-0-1-901	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Interest on Treasury Debt Securities .....	402,184	447,286	511,412
0900 Total new obligations (object class 43.0) .....	402,184	447,286	511,412
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	402,184	447,286	511,412
1930 Total budgetary resources available .....	402,184	447,286	511,412
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	402,184	447,286	511,412
3020 Outlays (gross) .....	-402,184	-447,286	-511,412
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	402,184	447,286	511,412
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	402,184	447,286	511,412
4180 Budget authority, net (total) .....	402,184	447,286	511,412
4190 Outlays, net (total) .....	402,184	447,286	511,412

Such amounts are appropriated as may be necessary to pay the interest each year on the public debt (31 U.S.C. 1305, 3123). Interest on Govern-

ment account series securities is generally computed on a cash basis. Interest is generally computed on an accrual basis for all other types of securities.

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0550-2-1-901	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Interest on Treasury Debt Securities .....		7	224
0900 Total new obligations (object class 43.0) .....		7	224
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		7	224
1930 Total budgetary resources available .....		7	224
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		7	224
3020 Outlays (gross) .....		-7	-224
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....		7	224
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		7	224
4180 Budget authority, net (total) .....		7	224
4190 Outlays, net (total) .....		7	224

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0550-4-1-901	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Interest on Treasury Debt Securities .....			23
0900 Total new obligations (object class 43.0) .....			23
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			23
1930 Total budgetary resources available .....			23
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			23
3020 Outlays (gross) .....			-23
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			23
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			23
4180 Budget authority, net (total) .....			23
4190 Outlays, net (total) .....			23

ADMINISTRATIVE PROVISIONS—DEPARTMENT OF THE TREASURY

(INCLUDING TRANSFERS OF FUNDS)

SEC. 114. Appropriations to the Department of the Treasury in this Act shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning; purchase of insurance for official motor vehicles operated in foreign countries; purchase of motor vehicles without regard to the general purchase price limitations for vehicles purchased and used overseas for the current fiscal year; entering into contracts with the Department of State for the furnishing of health and medical services to employees and their dependents serving in foreign countries; and services authorized by 5 U.S.C. 3109.

SEC. 115. Not to exceed 2 percent of any appropriations in this title made available under the headings "Departmental Offices—Salaries and Expenses", "Office of Inspector General", "Special Inspector General for the Troubled Asset Relief Program", "Community Development Financial Institutions Fund", "Financial Crimes Enforcement Network", "Bureau of the Fiscal Service", and "Alcohol and Tobacco Tax and Trade Bureau" may be transferred between such appropriations upon the advance [approval] notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, [That, upon advance approval of such Committees, not to exceed 2 percent of any such appropriations may be transferred to the "Office of Terrorism and Financial Intelligence": *Provided further*,] That no transfer under this section may increase or decrease any such appropriation by more than 2 percent.

SEC. 116. Not to exceed 2 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to the Treasury Inspector General for Tax Administration's appropriation upon the advance [approval] notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 117. None of the funds appropriated in this Act or otherwise available to the Department of the Treasury or the Bureau of Engraving and Printing may be used to redesign the \$1 Federal Reserve note.

SEC. 118. The Secretary of the Treasury may transfer funds from the "Bureau of the Fiscal Service—Salaries and Expenses" to the Debt Collection Fund as necessary to cover the costs of debt collection: *Provided*, That such amounts shall be reimbursed to such salaries and expenses account from debt collections received in the Debt Collection Fund.

SEC. 119. None of the funds appropriated or otherwise made available by this or any other Act may be used by the United States Mint to construct or operate any museum without the [explicit approval] prior notification of the Committees on Appropriations of the House of Representatives and the Senate, the House Committee on Financial Services, and the Senate Committee on Banking, Housing, and Urban Affairs.

SEC. 120. None of the funds appropriated or otherwise made available by this or any other Act or source to the Department of the Treasury, the Bureau of Engraving and Printing, and the United States Mint, individually or collectively, may be used to consolidate any or all functions of the Bureau of Engraving and Printing and the United States Mint without the [explicit approval] prior notification of the House Committee on Financial Services; the Senate Committee on Banking, Housing, and Urban Affairs; and the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 121. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for the Department of the Treasury's intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year [2016]2017 until the enactment of the Intelligence Authorization Act for Fiscal Year [2016]2017.

SEC. 122. Not to exceed \$5,000 shall be made available from the Bureau of Engraving and Printing's Industrial Revolving Fund for necessary official reception and representation expenses.

SEC. 123. The Secretary of the Treasury shall submit a Capital Investment Plan to the Committees on Appropriations of the Senate and the House of Representatives not later than 30 days following the submission of the annual budget submitted by the President: *Provided*, That such Capital Investment Plan shall include capital investment spending from all accounts within the Department of the Treasury, including but not limited to the Department-wide Systems and Capital Investment Programs account, Treasury Franchise Fund account, and the Treasury Forfeiture Fund account: *Provided further*, That such Capital Investment Plan shall include expenditures occurring in previous fiscal years for each capital investment project that has not been fully completed.

SEC. 124. (a) Not later than 60 days after the end of each quarter, the Office of Financial Stability and the Office of Financial Research shall submit reports on their activities to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives and the Senate Committee on Banking, Housing, and Urban Affairs.

(b) The reports required under subsection (a) shall include—

- (1) the obligations made during the previous quarter by object class, office, and activity;
- (2) the estimated obligations for the remainder of the fiscal year by object class, office, and activity;
- (3) the number of full-time equivalents within each office during the previous quarter;

(4) the estimated number of full-time equivalents within each office for the remainder of the fiscal year; and

(5) actions taken to achieve the goals, objectives, and performance measures of each office.

(c) At the request of any such Committees specified in subsection (a), the Office of Financial Stability and the Office of Financial Research shall make officials available to testify on the contents of the reports required under subsection (a).

SEC. 125. Within 45 days after the date of enactment of this Act, the Secretary of the Treasury shall submit an itemized report to the Committees on Appropriations of the House of Representatives and the Senate on the amount of total funds charged to each office by the Franchise Fund including the amount charged for each service provided by the Franchise Fund to each office, a detailed description of the services, a detailed explanation of how each charge for each service is calculated, and a description of the role customers have in governing in the Franchise Fund.

[SEC. 126. The Secretary of the Treasury, in consultation with the appropriate agencies, departments, bureaus, and commissions that have expertise in terrorism and complex financial instruments, shall provide a report to the Committees on Appropriations of the House of Representatives and Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate not later than 90 days after the date of enactment of this Act on economic warfare and financial terrorism.]

[SEC. 127. During fiscal year 2016—

(1) none of the funds made available in this or any other Act may be used by the Department of the Treasury, including the Internal Revenue Service, to issue, revise, or finalize any regulation, revenue ruling, or other guidance not limited to a particular taxpayer relating to the standard which is used to determine whether an organization is operated exclusively for the promotion of social welfare for purposes of section 501(c)(4) of the Internal Revenue Code of 1986 (including the proposed regulations published at 78 Fed. Reg. 71535 (November 29, 2013)); and

(2) the standard and definitions as in effect on January 1, 2010, which are used to make such determinations shall apply after the date of the enactment of this Act for purposes of determining status under section 501(c)(4) of such Code of organizations created on, before, or after such date.]

SEC. 126. *Of the funds made available by this Act to the Internal Revenue Service and Alcohol Tobacco Tax and Trade Bureau, not less than \$8,854,065,000 shall be specified to pay for the costs of activities, including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

SEC. 127. AMENDMENTS TO COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS BOND PROGRAM. Section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a) is amended—

(a) in subsection (c)(2) by striking ", multiplied by an amount equal to the outstanding principal balance of issued notes or bonds";

(b) by amending subsection (d) to read as follows—

"(d) RISK-SHARE POOL.—Each qualified issuer shall, during the term of a guarantee provided under the Program, establish a risk-share pool, capitalized by contributions from eligible community development financial institution participants in amounts that shall not exceed 4 percent of the guaranteed amount outstanding on the subject notes and bonds, which contribution amounts shall be determined by the Secretary for each eligible community development financial institution participant based on the Secretary's assessment of the participant's credit quality.;"

(c) in subsection (e)(2)(B), by striking "\$100,000,000" and inserting "\$25,000,000";

(d) in subsection (g) by amending the subsection to read as follows:

"(g) FEES.—

"(1) IN GENERAL.—

"(A) QUALIFIED ISSUER.—A qualified issuer that receives a guarantee issued under this section on a bond or note shall pay a fee to the Secretary, in an amount equal to 10 basis points of the amount of the unpaid principal of the bond or note guaranteed.

"(B) ELIGIBLE CDFI PARTICIPANT.—An eligible community development financial institution participant that receives a bond loan under this section shall pay a fee to the Secretary, in an amount equal to 1 percent of the unpaid principal of the bond or note guaranteed.

"(2) PAYMENT.—

"(A) QUALIFIED ISSUER.—A qualified issuer shall pay the fee required under paragraph (1)(A) on an annual basis.

"(B) ELIGIBLE CDFI PARTICIPANT.—An eligible community development financial institution participant shall pay the fee required under paragraph (1)(B) at the time of loan disbursements to the participant.

"(3) USE OF FEES.—Fees collected by the Secretary—

"(A) under paragraph (1)(A) shall be used to reimburse the Department of the Treasury for any administrative costs incurred by the Department in implementing the Program established under this section and shall be available until expended; and

"(B) under paragraph (1)(B) shall be deposited by the Secretary into an account that shall be available to the Secretary to cover credit subsidy costs and to pay principal and interest on the guaranteed bonds or notes in the event of a delinquency in repayment of loans to eligible community development financial institution participants."; and

(e) in subsection (k), by striking "This section is repealed, and the" and inserting "The", by striking the comma after "terminate", and by striking "2014" and inserting "2017".

SEC. 128. Paragraph 5112(r)(5) of title 31, United States Code, is amended by inserting "for circulation" after both instances of "minted and issued".

SEC. 129. Paragraph 3111(c)(2) of title 5, United States Code, is amended by inserting "or the Office of Tax Policy," after "the Internal Revenue Service,". (Department of the Treasury Appropriations Act, 2016.)

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Governmental receipts:</b>			
010-086400 Filing Fees, P.L. 109-171, Title X: Enacted/requested .....	56	65	65
010-108000 Fines, Penalties, and Forfeitures, Federal Coal Mine Health and Safety Laws: Enacted/requested .....	77	84	84
012-101000 Fines, Penalties, and Forfeitures, Agricultural Laws: Enacted/requested .....	4	4	4
020-015800 Transportation Fuels Tax: Enacted/requested .....	-3,394	-3,462	-3,383
020-309990 Refunds of Moneys Erroneously Received and Recovered (20X1807): Enacted/requested .....	-34	-35	-35
020-085000 Registration, Filing, and Transaction Fees: Enacted/requested .....	4	4	4
020-309500 Recovery from Leaking Underground Storage Tank Trust Fund for Refunds of Taxes, EPA: Enacted/requested .....		6	6
020-241100 User Fees for IRS: Enacted/requested .....	25	12	12
020-309400 Recovery from Airport and Airway Trust Fund for Refunds of Taxes: Enacted/requested .....	19	17	16
020-105000 Fines, Penalties, and Forfeitures, Narcotic Prohibition and Alcohol Laws: Enacted/requested .....	30	41	41
020-086600 Transitional Reinsurance Contributions to the General Fund: Enacted/requested .....			466
020-249200 Premiums, Terrorism Risk Insurance Program: Enacted/requested .....			55
020-109700 Penalties on Individuals Who Do not Have Health Coverage: Enacted/requested .....		4,573	6,869
020-109600 Penalties on Employers Who Do not Offer Health Coverage or Delay Eligibility for New Employees: Enacted/requested .....			13,016
020-065000 Deposit of Earnings, Federal Reserve System: Enacted/requested .....	96,468	116,445	64,818
020-015300 Estate and Gift Taxes: Enacted/requested .....	19,232	21,094	22,399
020-011100 Corporation Income and Excess Profits Taxes: Enacted/requested .....	343,797	292,593	342,676
Legislative proposal, subject to PAYGO		-32	75,138
021-103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws: Enacted/requested .....	160	165	165
034-104000 Fines, Penalties, and Forfeitures, Customs, Commerce, and Antitrust Laws: Enacted/requested .....	5,641	166	166
050-085015 Registration, Filing, and Transaction Fees, SEC: Enacted/requested .....	533	525	560
096-106000 Forfeitures of Unclaimed Money and Property: Enacted/requested .....	14	16	16
096-089100 Miscellaneous Fees for Regulatory and Judicial Services, not Otherwise Classified: Enacted/requested .....	455	449	449
220-109900 Fines, Penalties, and Forfeitures, not Otherwise Classified: Enacted/requested .....	8,146	6,032	6,032
345-086900 Fees for Legal and Judicial Services, not Otherwise Classified: Enacted/requested .....	51	59	59
901-015914 Tax on Indoor Tanning Services: Enacted/requested .....	85	85	86
901-015700 Telephone Excise Tax: Enacted/requested .....	607	545	490
901-015250 Other Federal Fund Excise Taxes: Enacted/requested .....	1,949	1,405	1,458
901-015915 Excise Tax on Medical Device Manufacturers: Enacted/requested .....	1,987	610	-10
901-015600 Alcohol Excise Tax: Enacted/requested .....	9,639	9,583	9,707
901-015500 Tobacco Excise Tax: Enacted/requested .....	14,453	14,368	14,252
901-015913 Fee on Health Insurance Providers: Enacted/requested .....	11,261	11,295	7

901-031050 Other Federal Fund Customs Duties: Enacted/requested .....	22,546	23,985	26,449
901-011050 Individual Income Taxes: Enacted/requested .....	1,540,772	1,627,774	1,724,005
Legislative proposal, not subject to PAYGO			278
901-015700 Telephone Excise Tax: Legislative proposal, subject to PAYGO .....			-490
901-015250 Other Federal Fund Excise Taxes: Legislative proposal, subject to PAYGO .....			-5
901-015600 Alcohol Excise Tax: Legislative proposal, subject to PAYGO .....			109
901-015500 Tobacco Excise Tax: Legislative proposal, subject to PAYGO .....			13,309
901-011050 Individual Income Taxes: Legislative proposal, subject to PAYGO .....		10	63,640
General Fund Governmental receipts .....	2,074,583	2,128,481	2,382,983

Offsetting receipts from the public:

020-267710 Community Development Financial Institutions Fund, Negative Subsidies: Enacted/requested .....	2		
020-387500 Budget Clearing Account (suspense): Enacted/requested .....	3		
020-168200 Gain by Exchange on Foreign Currency Denominated Public Debt Securities: Enacted/requested .....	6		
020-276330 Community Development Financial Institutions Fund, Downward Re-estimate of Subsidies: Enacted/requested .....	2	1	
020-279030 GSE Mortgage-Backed Securities Direct Loans, Downward Reestimates of Subsidies: Enacted/requested .....		17	
020-278430 Small Business Lending Fund Direct Loans, Downward Reestimates of Subsidies: Enacted/requested .....		44	
020-279230 Troubled Asset Relief Program, Downward Reestimates of Subsidies: Enacted/requested .....	1,525	855	
020-145000 Interest Payments from States, Cash Management Improvement: Enacted/requested .....	1	3	3
020-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified: Enacted/requested .....	2	3	3
020-146310 Interest on Quota in International Monetary Fund: Enacted/requested .....	4	4	4
020-146320 Interest on Loans to International Monetary Fund: Enacted/requested .....	4	6	6
020-129900 Gifts to the United States, not Otherwise Classified: Enacted/requested .....		7	7
020-322000 All Other General Fund Proprietary Receipts: Enacted/requested .....	1,075	511	511
020-248500 GSE Fees Pursuant to P.L. 112-78 Sec. 401: Enacted/requested .....	2,363	2,741	3,032
020-289400 Proceeds, GSE Equity Related Transactions: Enacted/requested .....	20,370	16,016	18,671
020-149900 Interest Received from Credit Financing Accounts: Enacted/requested .....	38,733	60,038	65,292
086-289100 Proceeds, Grants for Emergency Mortgage Relief Derived from Emergency Homeowners' Relief Fund: Enacted/requested .....	1		
General Fund Offsetting receipts from the public .....	64,091	80,246	87,529

Intragovernmental payments:

014-142700 Interest on Advances to Colorado River Dam Fund, Boulder Canyon Project: Enacted/requested .....		1	
020-136000 Interest on Loans to Western Area Power Administration: Enacted/requested .....	1		
020-320000 Receivables from Cancelled Accounts: Enacted/requested .....	3		
020-150120 Interest on Loans and Repayable Advances to the Federal Unemployment Account: Enacted/requested .....	54		
020-310100 Recoveries from Federal Agencies for Settlement of Claims for Contract Disputes: Enacted/requested .....	72		
020-136300 Interest on Loans for College Housing and Academic Facilities Loans, Education: Enacted/requested .....	2	2	2
020-133800 Interest on Loans to the Presidio: Enacted/requested .....	3	3	3
020-311200 Reimbursement from Federal Agencies for Payments Made As a Result of Discriminatory Conduct: Enacted/requested .....	18	13	13
020-150110 Interest on Loans or Advances to the Extended Unemployment Compensation Account: Enacted/requested .....	482	210	90
020-141300 Interest on Loans to Temporary Corporate Credit Union Stabilization Fund, NCUA: Enacted/requested .....	3	22	37
020-141500 Interest on Loans to Federal Deposit Insurance Corporation: Enacted/requested .....		8	36
020-149700 Payment of Interest on Advances to the Railroad Retirement Board: Enacted/requested .....	103	97	128
020-149500 Interest Payments on Repayable Advances to the Black Lung Disability Trust Fund: Enacted/requested .....	97	122	154
020-140100 Interest on Loans to Commodity Credit Corporation: Enacted/requested .....	8	30	135
020-143300 Interest on Loans to National Flood Insurance Fund, DHS: Enacted/requested .....	319	467	478
020-135100 Interest on Loans to BPA: Enacted/requested .....	399	454	484
020-241600 Charges for Administrative Expenses of Social Security Act As Amended: Enacted/requested .....	720	706	724

020-141800	Interest on Loans to Federal Financing Bank: Enacted/requested .....	1,765	1,444	1,566
020-113000	Unclaimed Assets Recovery Account: Legislative proposal, subject to PAYGO .....			8
073-142800	Interest on Advances to Small Business Administration: Enacted/requested .....	1	1	1
089-142400	Interest on Investment, Colorado River Projects: Enacted/requested .....		4	4
General Fund Intragovernmental payments .....		4,050	3,584	3,863

## TITLE VI—GENERAL PROVISIONS

### [(INCLUDING RESCISSION)]

SEC. 601. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 602. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 603. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

[SEC. 604. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.]

SEC. [605]604. None of the funds made available by this Act shall be available for any activity or for paying the salary of any Government employee where funding an activity or paying a salary to a Government employee would result in a decision, determination, rule, regulation, or policy that would prohibit the enforcement of section 307 of the Tariff Act of 1930 (19 U.S.C. 1307).

SEC. [606]605. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. [607]606. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

[SEC. 608. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year 2016, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates a new program; (2) eliminates a program, project, or activity; (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress; (4) proposes to use funds directed for a specific activity by the Committee on Appropriations of either the House of Representatives or the Senate for a different purpose; (5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less; (6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or (7) creates or reorganizes offices, programs, or activities unless prior approval is received from the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That prior to any significant reorganization or restructuring of offices, programs, or activities, each agency or entity funded in this Act shall consult with the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: *Provided further*, That at a minimum the report shall include: (1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level; (2) a delineation in the table for each appropriation both by object class and program, project, and activity as detailed in the budget appendix for the respective appropriation; and (3) an identification of items of special congressional interest: *Provided further*, That the amount appropriated or limited for salaries and expenses for an agency shall be reduced by \$100,000 per day for each day after the required date that the report has not been submitted to the Congress.]

SEC. [609]607. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2016]2017 from appropriations made available for salaries and expenses for fiscal year [2016]2017 in this Act, shall remain available through September 30, [2017]2018, for each such account for the purposes authorized: *Provided*, That [a request] *notice thereof* shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate [for approval] prior to the expenditure of such funds[: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines].

SEC. [610]608. (a) None of the funds made available in this Act may be used by the Executive Office of the President to request—

(1) any official background investigation report on any individual from the Federal Bureau of Investigation; or

(2) a determination with respect to the treatment of an organization as described in section 501(c) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a) of such Code from the Department of the Treasury or the Internal Revenue Service.

(b) Subsection (a) shall not apply—

(1) in the case of an official background investigation report, if such individual has given express written consent for such request not more than 6 months prior to the date of such request and during the same presidential administration; or

(2) if such request is required due to extraordinary circumstances involving national security.

SEC. [611]609. The cost accounting standards promulgated under chapter 15 of title 41, United States Code shall not apply with respect to a contract under the Federal Employees Health Benefits Program established under chapter 89 of title 5, United States Code.

SEC. [612]610. For the purpose of resolving litigation and implementing any settlement agreements regarding the nonforeign area cost-of-living allowance program, the Office of Personnel Management may accept and utilize (without regard to any restriction on unanticipated travel expenses imposed in an Appropriations Act) funds made available to the Office of Personnel Management pursuant to court approval.

SEC. [613]611. No funds appropriated by this Act shall be available to pay for an abortion, or the administrative expenses in connection with any health plan under the Federal employees health benefits program which provides any benefits or coverage for abortions.

SEC. [614]612. The provision of section [613]611 shall not apply where the life of the mother would be endangered if the fetus were carried to term, or the pregnancy is the result of an act of rape or incest.

SEC. [615]613. In order to promote Government access to commercial information technology, the restriction on purchasing nondomestic articles, materials, and supplies set forth in chapter 83 of title 41, United States Code (popularly known as the Buy American Act), shall not apply to the acquisition by the Federal Government of information technology (as defined in section 11101 of title 40, United States Code), that is a commercial item (as defined in section 103 of title 41, United States Code).

SEC. [616]614. Notwithstanding section 1353 of title 31, United States Code, no officer or employee of any regulatory agency or commission funded by this Act may accept on behalf of that agency, nor may such agency or commission accept, payment or reimbursement from a non-Federal entity for travel, subsistence, or related expenses for the purpose of enabling an officer or employee to attend and participate in any meeting or similar function relating to the official duties of the officer or employee when the entity offering payment or reimbursement is a person or entity subject to regulation by such agency or commission, or represents a person or entity subject to regulation by such agency or commission, unless the person or entity is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.

SEC. [617]615. Notwithstanding section 708 of this Act, funds made available to the Commodity Futures Trading Commission and the Securities and Exchange Commission by this or any other Act may be used for the interagency funding and sponsorship of a joint advisory committee to advise on emerging regulatory issues.

SEC. [618]616. (a)(1) Notwithstanding any other provision of law, an Executive agency covered by this Act otherwise authorized to enter into contracts for either leases or the construction or alteration of real property for office, meeting, storage, or other space must consult with the General Services Administration before issuing a solicitation for offers of new leases or construction contracts, and in the case of succeeding leases, before entering into negotiations with the current lessor.

(2) Any such agency with authority to enter into an emergency lease may do so during any period declared by the President to require emergency leasing authority with respect to such agency.

(b) For purposes of this section, the term "Executive agency covered by this Act" means any Executive agency provided funds by this Act, but does not include the General Services Administration or the United States Postal Service.

SEC. **[619]** 617. (a) There are appropriated for the following activities the amounts required under current law:

- (1) Compensation of the President (3 U.S.C. 102).
- (2) Payments to—
  - (A) the Judicial Officers' Retirement Fund (28 U.S.C. 377(o));
  - (B) the Judicial Survivors' Annuities Fund (28 U.S.C. 376(c)); and
  - (C) the United States Court of Federal Claims Judges' Retirement Fund (28 U.S.C. 178(l)).

(3) Payment of Government contributions—

(A) with respect to the health benefits of retired employees, as authorized by chapter 89 of title 5, United States Code, and the Retired Federal Employees Health Benefits Act (74 Stat. 849); and

(B) with respect to the life insurance benefits for employees retiring after December 31, 1989 (5 U.S.C. ch. 87).

(4) Payment to finance the unfunded liability of new and increased annuity benefits under the Civil Service Retirement and Disability Fund (5 U.S.C. 8348).

(5) Payment of annuities authorized to be paid from the Civil Service Retirement and Disability Fund by statutory provisions other than subchapter III of chapter 83 or chapter 84 of title 5, United States Code.

(b) Nothing in this section may be construed to exempt any amount appropriated by this section from any otherwise applicable limitation on the use of funds contained in this Act.

SEC. **[620]** 618. The Public Company Accounting Oversight Board (Board) shall have authority to obligate funds for the scholarship program established by section 109(c)(2) of the Sarbanes-Oxley Act of 2002 (Public Law 107–204) in an aggregate amount not exceeding the amount of funds collected by the Board as of December 31, **[2015]** 2016, including accrued interest, as a result of the assessment of monetary penalties. Funds available for obligation in fiscal year **[2016]** 2017 shall remain available until expended.

SEC. 621. None of the funds made available in this Act may be used by the Federal Trade Commission to complete the draft report entitled "Interagency Working Group on Food Marketed to Children: Preliminary Proposed Nutrition Principles to Guide Industry Self-Regulatory Efforts" unless the Interagency Working Group on Food Marketed to Children complies with Executive Order No. 13563. **]**

SEC. 622. None of the funds made available by this Act may be used to pay the salaries and expenses for the following positions:

- (1) Director, White House Office of Health Reform.
- (2) Assistant to the President for Energy and Climate Change.
- (3) Senior Advisor to the Secretary of the Treasury assigned to the Presidential Task Force on the Auto Industry and Senior Counselor for Manufacturing Policy.
- (4) White House Director of Urban Affairs. **]**

SEC. **[623]** 619. None of the funds in this Act may be used for the Director of the Office of Personnel Management to award a contract, enter an extension of, or exercise an option on a contract to a contractor conducting the final quality review processes for background investigation fieldwork services or background investigation support services that, as of the date of the award of the contract, are being conducted by that contractor.

SEC. **[624]** 620. (a) The head of each executive branch agency funded by this Act shall ensure that the Chief Information Officer of the agency has the authority to participate in decisions regarding the budget planning process related to information technology.

(b) Amounts appropriated for any executive branch agency funded by this Act that are available for information technology shall be allocated within the agency, consistent with the provisions of appropriations Acts and budget guidelines and recommendations from the Director of the Office of Management and Budget, in such manner as specified by, or approved by, the Chief Information Officer of the agency in consultation with the Chief Financial Officer of the agency and budget officials.

SEC. 625. None of the funds made available in this Act may be used in contravention of chapter 29, 31, or 33 of title 44, United States Code. **]**

SEC. 626. From the unobligated balances available in the Securities and Exchange Commission Reserve Fund established by section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Public Law 111–203), \$25,000,000 are rescinded. **]**

SEC. 627. None of the funds made available in this Act may be used by a governmental entity to require the disclosure by a provider of electronic communication service to the public or remote computing service of the contents of a wire or electronic communication that is in electronic storage with the provider (as such terms

are defined in sections 2510 and 2711 of title 18, United States Code) in a manner that violates the Fourth Amendment to the Constitution of the United States. **]**

SEC. 628. Beginning on the date of enactment of this Act, in the current fiscal year and continuing through September 30, 2025, the Further Notice of Proposed Rulemaking and Report and Order adopted by the Federal Communications Commission on March 31, 2014 (FCC 14–28), and the amendments to the rules of the Commission adopted in such Further Notice of Proposed Rulemaking and Report and Order, shall not apply to a joint sales agreement (as defined in Note 2(k) to section 73.3555 of title 47, Code of Federal Regulations) that was in effect on March 31, 2014, and a rule of the Commission amended by such an amendment shall apply to such agreement as such rule was in effect on the day before the effective date of such amendment. A party to a joint sales agreement that was in effect on March 31, 2014, shall not be considered to be in violation of the ownership limitations of section 73.3555 of title 47, Code of Federal Regulations, by reason of the application of the rule in Note 2(k)(2), as so amended, to the joint sales agreement. **]**

SEC. 629. During fiscal year 2016, none of the amounts made available by this Act may be used to finalize or implement the Safety Standard for Recreational Off-Highway Vehicles published by the Consumer Product Safety Commission in the Federal Register on November 19, 2014 (79 Fed. Reg. 68964) until after—

(1) the National Academy of Sciences, in consultation with the National Highway Traffic Safety Administration and the Department of Defense, completes a study to determine—

(A) the technical validity of the lateral stability and vehicle handling requirements proposed by such standard for purposes of reducing the risk of Recreational Off-Highway Vehicle (referred to in this section as "ROV") rollovers in the off-road environment, including the repeatability and reproducibility of testing for compliance with such requirements;

(B) the number of ROV rollovers that would be prevented if the proposed requirements were adopted;

(C) whether there is a technical basis for the proposal to provide information on a point-of-sale hangtag about a ROV's rollover resistance on a progressive scale; and

(D) the effect on the utility of ROVs used by the United States military if the proposed requirements were adopted; and

(2) a report containing the results of the study completed under paragraph (1) is delivered to—

(A) the Committee on Commerce, Science, and Transportation of the Senate;

(B) the Committee on Energy and Commerce of the House of Representatives;

(C) the Committee on Appropriations of the Senate; and

(D) the Committee on Appropriations of the House of Representatives. **]**

SEC. 630. Notwithstanding any other provision of law, not to exceed \$2,266,085 of unobligated balances from "Election Assistance Commission, Election Reform Programs" shall be available to record a disbursement previously incurred under that heading in fiscal year 2014 against a 2008 cancelled account. **]**

SEC. 631. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change the rules or regulations of the Commission for universal service high-cost support for competitive eligible telecommunications carriers in a way that is inconsistent with paragraph (e)(5) or (e)(6) of section 54.307 of title 47, Code of Federal Regulations, as in effect on July 15, 2015: *Provided*, That this section shall not prohibit the Commission from considering, developing, or adopting other support mechanisms as an alternative to Mobility Fund Phase II. **]**

SEC. **[632]** 621. (a) The Office of Personnel Management shall provide to each affected individual as defined in subsection (b) complimentary identity protection coverage that—

(1) is not less comprehensive than the complimentary identity protection coverage that the Office provided to affected individuals before the date of enactment of this Act;

(2) is effective for a period of not less than 10 years; and

(3) includes not less than \$5,000,000 in identity theft insurance.

(b) DEFINITION.—In this section, the term "affected individual" means any individual whose Social Security Number was compromised during—

(1) the data breach of personnel records of current and former Federal employees, at a network maintained by the Department of the Interior, that was announced by the Office of Personnel Management on June 4, 2015; or

(2) the data breach of systems of the Office of Personnel Management containing information related to the background investigations of current, former, and prospective Federal employees, and of other individuals.

SEC. 633. Sections 1101(a) and 1104(a)(2)(A) of the Internet Tax Freedom Act (title XI of division C of Public Law 105–277; 47 U.S.C. 151 note) shall be applied by substituting "October 1, 2016" for "October 1, 2015". **]**

**SEC. 634. (a) DEFINITIONS.**—In this section:

(1) **BANKING INSTITUTION.**—The term "banking institution" means an insured depository institution, Federal credit union, State credit union, bank holding company, or savings and loan holding company.

(2) **BASEL III CAPITAL REQUIREMENTS.**—The term "Basel III capital requirements" means the Global Regulatory Framework for More Resilient Banks and Banking Systems issued by the Basel Committee on Banking Supervision on December 16, 2010, as revised on June 1, 2011.

(3) **FEDERAL BANKING AGENCIES.**—The term "Federal banking agencies" means the Board of Governors of the Federal Reserve System, the Office of the Comptroller of the Currency, the Federal Deposit Insurance Corporation, and the National Credit Union Administration.

(4) **MORTGAGE SERVICING ASSETS.**—The term "mortgage servicing assets" means those assets that result from contracts to service loans secured by real estate, where such loans are owned by third parties.

(5) **NCUA CAPITAL REQUIREMENTS.**—The term "NCUA capital requirements" means the final rule of the National Credit Union Administration entitled "Risk-Based Capital" (80 Fed. Reg. 66625 (October 29, 2015)).

(6) **OTHER DEFINITIONS.**—

(A) **BANKING DEFINITIONS.**—The terms "bank holding company", "insured depository institution", and "savings and loan holding company" have the meanings given those terms in section 3 of the Federal Deposit Insurance Act (12 U.S.C. 1813).

(B) **CREDIT UNION DEFINITIONS.**—The terms "Federal credit union" and "State credit union" have the meanings given those terms in section 101 of the Federal Credit Union Act (12 U.S.C. 1752).

**(b) STUDY OF THE APPROPRIATE CAPITAL FOR MORTGAGE SERVICING ASSETS.**—

(1) **IN GENERAL.**—The Federal banking agencies shall jointly conduct a study of the appropriate capital requirements for mortgage servicing assets for banking institutions.

(2) **ISSUES TO BE STUDIED.**—The study required under paragraph (1) shall include, with a specific focus on banking institutions—

(A) the risk to banking institutions of holding mortgage servicing assets;

(B) the history of the market for mortgage servicing assets, including in particular the market for those assets in the period of the financial crisis;

(C) the ability of banking institutions to establish a value for mortgage servicing assets of the institution through periodic sales or other means;

(D) regulatory approaches to mortgage servicing assets and capital requirements that may be used to address concerns about the value of and ability to sell mortgage servicing assets;

(E) the impact of imposing the Basel III capital requirements and the NCUA capital requirements on banking institutions on the ability of those institutions—

(i) to compete in the mortgage servicing business, including the need for economies of scale to compete in that business; and

(ii) to provide service to consumers to whom the institutions have made mortgage loans;

(F) an analysis of what the mortgage servicing marketplace would look like if the Basel III capital requirements and the NCUA capital requirements on mortgage servicing assets—

(i) were fully implemented; and

(ii) applied to both banking institutions and nondepository residential mortgage loan servicers;

(G) the significance of problems with mortgage servicing assets, if any, in banking institution failures and problem banking institutions, including specifically identifying failed banking institutions where mortgage servicing assets contributed to the failure; and

(H) an analysis of the relevance of the Basel III capital requirements and the NCUA capital requirements on mortgage servicing assets to the banking systems of other significantly developed countries.

(3) **REPORT TO CONGRESS.**—Not later than 180 days after the date of enactment of this title, the Federal banking agencies shall submit to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives a report containing—

(A) the results of the study required under paragraph (1);

(B) any analysis on the specific issue of mortgage servicing assets undertaken by the Federal banking agencies before finalizing regulations implementing the Basel III capital requirements and the NCUA capital requirements; and

(C) any recommendations for legislative or regulatory actions that would address concerns about the value of and ability to sell and the ability of banking institutions to hold mortgage servicing assets. **■**

**SEC. 635.** In addition to amounts otherwise provided in this Act for "National Archives and Records Administration, Operating Expenses", there is appropriated \$7,000,000, to remain available until expended, for the repair, alteration, and improvement of an additional leased facility to provide adequate storage for holdings of the House of Representatives and the Senate. **■**

*SEC. 622. (a) Section 605 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1990 (15 U.S.C. 18a note) is amended—*

*(1) in subsection (b)—*

*(A) in the matter preceding paragraph (1), by striking "The filing fees" and inserting "Subject to subsection (c), the filing fees";*

*(B) in paragraph (1), by striking "\$45,000" and inserting "\$70,000";*

*(C) in paragraph (2)—*

*(i) by striking "\$125,000" and inserting "\$190,000"; and*

*(ii) by striking "and" at the end;*

*(D) in paragraph (3)—*

*(i) by striking "\$280,000" and inserting "\$430,000"; and*

*(ii) by striking the period at the end and inserting "but less than \$1,000,000,000 (as so adjusted and published); and"; and*

*(E) by adding at the end the following:*

*"(4) \$570,000 if the aggregate total amount determined under section 7A(a)(2) of the Clayton Act (15 U.S.C. 18a(a)(2)) is not less than \$1,000,000,000 (as so adjusted and published)"; and*

*(2) by adding at the end the following:*

*"(c) For fiscal year 2019, and each fiscal year thereafter, the Federal Trade Commission shall publish in the Federal Register and increase the amount of each filing fee under subsection (b) in the same manner and on the same dates as provided under section 8(a)(5) of the Clayton Act (15 U.S.C. 19(a)(5)) to reflect the percentage change in the gross national product for the fiscal year as compared to the gross national product for fiscal year 2014 except that the Federal Trade Commission—*

*"(1) shall round any increase in a filing fee under this subsection to the nearest \$5,000;*

*"(2) shall not increase filing fees under this subsection if the increase in the gross national product is less than 1 percent; and*

*"(3) shall not decrease filing fees under this subsection.".*

*(b) This section shall take effect on October 1, 2018.*

*SEC. 623. Section 17(h) of the Consumer Product Safety Act (15 U.S.C. 2066(h)) is amended by adding at the end the following:*

*"(4)(A) Beginning on October 1, 2017, the Commission may prescribe a schedule of fees to be paid by persons who import consumer products, or other products or substances regulated under this Act or any other Act enforced by the Commission, into the customs territory of the United States to cover the expenses of the Commission in carrying out the program required by paragraph (1).*

*"(B) Amounts collected under this paragraph shall be deposited into "Consumer Product Safety Commission—Salaries and Expenses" as offsetting collections. The amounts shall be collected and shall be available only to the extent and in such amounts as are provided in advance in appropriations Acts—*

*"(i) to cover the costs expended to carry out the program required by paragraph (1);*

*"(ii) to cover the costs expended to carry out the administration of this paragraph; and*

*"(iii) to maintain a reasonable reserve for purposes of clauses (i) and (ii).*

*"(C) In prescribing a schedule of fees under subparagraph (A), the Commission shall ensure that the amount of the fees collected are commensurate with the costs described in subparagraph (B).*

*"(D)(i) The Commission may enter into an agreement with another Federal agency to collect fees under this paragraph on behalf of the Commission.*

*"(ii) In any case in which another Federal agency collects fees on behalf of the Commission under clause (i), the Commission shall reimburse such agency for such expenses as such agency may have incurred in the course of collecting fees under clause (i).*

*"(E) The Commission may prescribe such regulations as the Commission considers appropriate to carry out this paragraph.".*

*SEC. 624. Subsection (g) of section 302 of the Federal Election Commission Act of 1971 (52 U.S.C. 30102) is amended—*

*(a) in its title, to read as follows: "(g) Filing of designations, statements, and reports with the Commission"; and*

*(b) in its text, to read as follows: "All designations, statements, and reports required to be filed under this Act shall be filed with the Commission.".*

SEC. 625. (a) *Effective one year after enactment of this Act, the Christopher Columbus Fellowship Act (subtitle B of title IV of Public Law 102–281) is hereby repealed.*

(b) *Within two years of enactment of this Act, all unexpended balances in the name of the Christopher Columbus Fellowship Foundation shall be returned to the General Fund of the Treasury.*

SEC. 626. *Section 1105(a) of Title 31, United States Code, is amended by striking paragraph (35) and renumbering the following paragraphs accordingly. (Financial Services and General Government Appropriations Act, 2016.)*

# DEPARTMENT OF VETERANS AFFAIRS

## VETERANS HEALTH ADMINISTRATION

### Federal Funds

#### MEDICAL SERVICES

For necessary expenses for furnishing, as authorized by law, inpatient and outpatient care and treatment to beneficiaries of the Department of Veterans Affairs and veterans described in section 1705(a) of title 38, United States Code, including care and treatment in facilities not under the jurisdiction of the Department, and including medical supplies and equipment, bioengineering services, food services, and salaries and expenses of healthcare employees hired under title 38, United States Code, aid to State homes as authorized by section 1741 of title 38, United States Code, assistance and support services for caregivers as authorized by section 1720G of title 38, United States Code, loan repayments authorized by section 604 of the Caregivers and Veterans Omnibus Health Services Act of 2010 (Public Law 111-163; 124 Stat. 1174; 38 U.S.C. 7681 note), and hospital care and medical services authorized by section 1787 of title 38, United States Code; **[\$2,369,158,000] \$1,078,993,000**, which shall be in addition to funds previously appropriated under this heading that became available on October 1, **[2015] 2016**; and, in addition, **[\$51,673,000,000] \$44,886,554,000**, plus reimbursements, shall become available on October 1, **[2016] 2017**, and shall remain available until September 30, **[2017] 2018**: *Provided*, That, of the amount made available on October 1, **[2016] 2017**, under this heading, \$1,400,000,000 shall remain available until September 30, **[2018] 2019**: *Provided further*, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs shall establish a priority for the provision of medical treatment for veterans who have service-connected disabilities, lower income, or have special needs: *Provided further*, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs shall give priority funding for the provision of basic medical benefits to veterans in enrollment priority groups 1 through 6: *Provided further*, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs may authorize the dispensing of prescription drugs from Veterans Health Administration facilities to enrolled veterans with privately written prescriptions based on requirements established by the Secretary: *Provided further*, That the implementation of the program described in the previous proviso shall incur no additional cost to the Department of Veterans Affairs **[**: *Provided further*, That, of the amount made available on October 1, 2016, under this heading, not less than \$1,500,000,000 shall be available for Hepatitis C Virus (HCV) clinical treatments, including clinical treatments with modern medications that have significantly higher cure rates than older medications, are easier to prescribe, and have fewer and milder side effects: *Provided further*, That the Secretary of Veterans Affairs shall ensure that sufficient amounts appropriated under this heading for medical supplies and equipment are available for the acquisition of gender appropriate prosthetics **]**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016*.)

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-0160-0-1-703	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	286	292	292
Receipts:			
Current law:			
1130 Pharmaceutical Co-payments, MCCF .....	647	642	530
1130 Medical Care Collections Fund, Third Party Prescription Claims .....	102	109	112
1130 Enhanced-use Lease Proceeds, MCCF .....	1	2	2
1130 First Party Collections, MCCF .....	201	199	200
1130 Third Party Collections, MCCF .....	2,424	2,509	2,660
1130 Parking Fees, MCCF .....	4	4	4
1130 Compensated Work Therapy, MCCF .....	67	67	67
1130 MCCF, Long-term Care Copayments .....	3	3	3
1140 Payments from Compensation and Pension, MCCF .....	2	2	2
1199 Total current law receipts .....	3,451	3,537	3,580
1999 Total receipts .....	3,451	3,537	3,580
2000 Total: Balances and receipts .....	3,737	3,829	3,872
Appropriations:			
Current law:			
2101 Medical Care Collections Fund .....	-3,445	-3,537	-3,580
5099 Balance, end of year .....	292	292	292

### Program and Financing (in millions of dollars)

Identification code 036-0160-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Outpatient care .....	18,011	21,901	25,375
0002 Inpatient care .....	6,736	7,054	6,768
0004 Mental health care .....	5,020	5,436	5,811
0005 Long-term care .....	3,171	3,500	3,109
0006 Prosthetics care .....	2,727	2,851	3,646
0007 Dental care .....	580	759	1,057
0008 Rehabilitation .....	593	617	638
0009 CHAMPVA and Other dependent programs .....	1,394	1,708	300
0011 Readjustment Counseling .....	186	219	241
0012 Caregivers (Title I) P.L. 111-163 .....	447	596	697
0013 Prior-Year Recoveries .....	574	.....	.....
0014 Non-VA Care (Payments) .....	7,815	7,688	.....
0021 P.L. 113-146, Sec. 801 - FTE & Other Costs .....	579	1,286	733
0022 P.L. 113-146, Sec. 801 - Section 301/302 Activities .....	2	60	60
0025 P.L. 113-146, Sec. 801 - Activations .....	.....	30	.....
0026 Audit Adjustment .....	-149	149	.....
0091 Total operating expenses .....	47,686	53,854	48,435
0101 Outpatient care .....	1,683	548	653
0102 Inpatient care .....	184	192	185
0103 Mental health care .....	35	39	41
0104 Long-term care .....	58	65	57
0106 Dental care .....	17	23	32
0107 Rehabilitation .....	16	16	17
0109 Readjustment Counseling .....	2	2	2
0110 Caregivers (Title I) P.L. 111-163 .....	3	3	3
0112 P.L. 113-146, Sec. 801 - FTE & Other Costs .....	29	29	29
0114 P.L. 113-146, Sec. 801 - Activations .....	.....	10	.....
0191 Total Capital Investment .....	2,027	927	1,019
0799 Total direct obligations .....	49,713	54,781	49,454
0801 Medical Services (Reimbursable) .....	146	150	153
0900 Total new obligations .....	49,859	54,931	49,607
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,228	1,881	361
1010 Unobligated balance transfer to other accts [036-0162] .....	-1,772	.....	.....
1010 Unobligated balance transfer to other accts [036-0152] .....	-27	.....	.....
1010 Unobligated balance transfer to other accts [036-0111] .....	-511	.....	.....
1010 Unobligated balance transfer to other accts [036-0167] .....	-377	.....	.....
1011 Unobligated balance transfer from other acct [036-0167] .....	14	.....	.....
1011 Unobligated balance transfer from other acct [036-0111] .....	.....	195	.....
1011 Unobligated balance transfer from other acct [036-0162] .....	.....	324	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	.....	-149	.....
1021 Recoveries of prior year unpaid obligations .....	574	149	.....
1050 Unobligated balance (total) .....	3,129	1,881	880
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,609	3,769	1,079
1120 Appropriations transferred to other accts [036-0169] .....	-190	-196	-202
1120 Appropriations transferred to other accts [036-0165] .....	-15	-15	-15
1120 Appropriations transferred to other acct [036-0110] .....	-6	-39	.....
1121 Appropriations transferred from other acct [036-5287] .....	3,423	3,515	3,308
1121 Appropriations transferred from other acct [036-0152] .....	57	.....	.....
1160 Appropriation, discretionary (total) .....	4,878	7,034	4,170
Advance appropriations, discretionary:			
1170 Advance appropriation .....	45,016	47,603	51,673
1173 Advance appropriations permanently reduced .....	-1,429	-1,400	.....
1173 Advance appropriations transferred to other accts [036-0140] .....	.....	.....	-7,246
1180 Advanced appropriation, discretionary (total) .....	43,587	46,203	44,427
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	142	171	171
1701 Change in uncollected payments, Federal sources .....	4	3	3
1750 Spending auth from offsetting collections, disc (total) .....	146	174	174
1900 Budget authority (total) .....	48,611	53,411	48,771
1930 Total budgetary resources available .....	51,740	55,292	49,651
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,881	361	44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,587	7,090	8,477

MEDICAL SERVICES—Continued  
Program and Financing—Continued

Identification code 036-0160-0-1-703	2015 actual	2016 est.	2017 est.
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		149	
3010 Obligations incurred, unexpired accounts .....	49,859	54,931	49,607
3011 Obligations incurred, expired accounts .....	811		
3020 Outlays (gross) .....	-50,677	-53,544	-49,288
3040 Recoveries of prior year unpaid obligations, unexpired .....	-574	-149	
3041 Recoveries of prior year unpaid obligations, expired .....	-916		
3050 Unpaid obligations, end of year .....	7,090	8,477	8,796
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-7	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	-3	-3
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-10	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,582	7,232	8,467
3200 Obligated balance, end of year .....	7,083	8,467	8,783
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	48,611	53,411	48,771
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40,478	46,051	42,341
4011 Outlays from discretionary balances .....	9,603	6,128	6,595
4020 Outlays, gross (total) .....	50,081	52,179	48,936
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-87	-63	-61
4033 Non-Federal sources .....	-245	-108	-110
4040 Offsets against gross budget authority and outlays (total) ....	-332	-171	-171
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4	-3	-3
4052 Offsetting collections credited to expired accounts .....	190		
4060 Additional offsets against budget authority only (total) .....	186	-3	-3
4070 Budget authority, net (discretionary) .....	48,465	53,237	48,597
4080 Outlays, net (discretionary) .....	49,749	52,008	48,765
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	596	1,365	352
4180 Budget authority, net (total) .....	48,465	53,237	48,597
4190 Outlays, net (total) .....	50,345	53,373	49,117

For 2018, the Budget requests \$66.4 billion in advance appropriations for the four medical care appropriations: Medical Services, Medical Community Care, Medical Support and Compliance, and Medical Facilities. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans. This funding enables timely and predictable funding for VA's medical care to prevent our Nation's veterans from being adversely affected by budget delays, and provides opportunities to more effectively use resources in a constrained fiscal environment.

For 2017, Medical Care appropriations are increased by \$1.7 billion over the 2017 advance appropriations request of \$63.3 billion. Each year, VA updates its budget estimates to incorporate the most recent data on health care utilization rates, actual program experience, and other factors, such as economic trends in unemployment and inflation. As a result of these updates, the adjusted budget estimates more accurately reflect the projected medical demands of veterans enrolled in the VA health care system.

The Veterans Access, Choice, and Accountability Act of 2014 ("Veterans Choice Act"), Public Law 113-146 provided \$5 billion in mandatory funding to increase veterans' access to health care by hiring more physicians and staff and improving VA's physical infrastructure. It also provided \$10 billion in mandatory funding through 2017 to establish a temporary program ("Veterans Choice Program") improving veterans' access to health care by allowing eligible veterans who meet certain wait-time or distance standards to use eligible health care providers outside the VA system.

With the resources requested for 2017 and 2018, VA will provide the highest quality health care services for veterans. VA estimates it will treat 7.0 million patients in 2017 and 7.1 million patients in 2018. Operation Enduring Freedom, Operation Iraqi Freedom, and Operation New Dawn (OEF/OIF/OND) veterans are expected to reach 922,664 in 2017 (13.2 percent of the total) and 995,196 in 2018 (14.0 percent of the total).

**Medical Care Collections Fund (MCCF).**—VA estimates collections of nearly \$3.6 billion in 2017 and over \$3.6 billion in 2018, representing over five percent of available Medical Care resources in both years. VA has the authority to collect inpatient and outpatient co-payments, medication co-payments, and nursing home co-payments; authority for certain income verification; authority to recover third-party insurance payments from veterans for nonservice-connected conditions; and authority to collect revenue from enhanced use leases. These collections also include those collected from the Compensated Work Therapy Program, Compensation and Living Expenses Program, and the Parking Program.

**Medical Services.**—For Medical Services, the Budget reflects the following discretionary appropriation funding: the 2016 enacted advance appropriation of \$47.6 billion, augmented with \$2.4 billion (as provided in Public Law 114-113); the 2017 enacted advance appropriation of \$51.7 billion, together with an additional \$1.1 billion as requested in this Budget; and the 2018 advance appropriation request of \$44.9 billion. This appropriation provides for a comprehensive, integrated health care delivery system that addresses the needs of eligible veterans and beneficiaries in VA medical centers, outpatient clinic facilities, contract hospitals, State homes, and outpatient programs on a fee basis. Hospital and outpatient care is also provided by the private sector for certain dependents and survivors of veterans under the Civilian Health and Medical Programs for the Department of Veterans Affairs (CHAMPVA). In addition to this funding, the Budget reflects mandatory funding provided in section 801 of the Veterans Choice Act (Public Law 113-146): \$1.7 billion remains in 2016.

**WORKLOAD**

Estimated obligations and workload for six categories of health care services are shown below: outpatient care, inpatient care, mental health care, long term services and supports, prosthetics care, and dental care. In addition, estimated obligations and workload are also shown for four programs: CHAMPVA and other dependent programs, readjustment counseling, Caregivers, and non-VA care. In each of the six categories of health care services, the obligations and workload shown reflect only the provision of care by VA providers; obligations and workload for all types of non-VA care are displayed separately in the section on non-VA care. Finally, the obligations for each of the six categories of health care services do not include the funding provided by section 801 of the Veterans Choice Act, but the estimated workload levels do include the additional workload associated with this funding.

**Outpatient care.**—Obligations in the Medical Services account for 2017 are estimated to be \$26,028 million for this health service category, which includes funding for ambulatory care in VA hospital-based and community-based clinics.

Estimated operating levels are:

Outpatient Visits (excludes Mental Health):	2015 actual	2016 est.	2017 est.
Staff .....	70,350,501	72,933,627	75,043,060

**Inpatient care.**—Obligations in the Medical Services account for 2017 are estimated to be \$6,953 million, which includes funding for inpatient care in VA medical centers.

Estimated operating levels are:

Patients Treated .....	2015 actual	2016 est.	2017 est.
	460,006	445,620	430,504

**Mental health care.**—Obligations in the Medical Services account for 2017 are estimated to be \$5,852 million for the inpatient, residential, and outpatient care of veterans with conditions related to mental illness, including alcohol and drug problems. Mental health services and operations ensure the availability of a range of services, from treatment of a variety of common mental health conditions in primary care to more intensive interven-

tions in specialty mental health programs for more severe and persisting mental health conditions. Specialty services such as evidence-based psychotherapies, intensive outpatient programs, residential rehabilitation treatment, and inpatient care are available to meet the range of veterans' needs.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
Average daily census (ADC) .....	8,849	8,729	8,605
Outpatient Visits/Encounters .....	12,918,336	13,487,534	13,979,398

**Long term services and supports.**—Obligations in the Medical Services account for 2017 are estimated to be \$3,166 million for the care of veteran residents in VA-operated long-term care programs. VA offers a spectrum of geriatric and extended care services to veterans enrolled in its health care system. The spectrum of long-term care services includes non-institutional and institutional services. All VA medical centers provide home- and community-based long-term care programs. The patient-focused approach supports veterans who wish to live safely at home in their own communities for as long as possible. In addition, veterans receive institutional long-term care through one of four venues: VA Community Living Centers (CLCs); Community Nursing Homes; State Veterans Nursing Homes; and State Veterans Home Domiciliaries. The operating levels for institutional care below represent only VA CLCs.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
Institutional (ADC) .....	9,226	8,975	8,711
Non-Institutional Visits/Procedures .....	2,602,003	2,750,302	2,795,947

**Prosthetics care.**—Obligations in the Medical Services account for 2017 are estimated to be \$3,646 million for veterans. Prosthetic and Sensory Aids Service is an integrated delivery system designed to provide medically prescribed prosthetic and sensory aids, medical devices, assistive aids, repairs and services to eligible disabled veterans to maximize the independence and enhance their quality of life. This includes, but is not limited to, artificial limbs, hearing aids, and home oxygen; items that improve accessibility such as ramps and vehicle modifications, wheelchairs and mobility aids; and devices surgically placed in the veteran, such as stents.

**Dental care.**—Obligations in the Medical Services account for 2017 are estimated to be \$1,089 million for the treatment of veterans who require dental care. Dental care services are provided to eligible veterans with a "medical condition negatively impacted by poor dentition." These patients may include poorly controlled diabetic patients, patients with head or neck cancer, organ transplant patients, and others. Veterans with a 100 percent service-connected disability are eligible for comprehensive dental care as needed. In addition, homeless veterans enrolled in certain residential treatment programs are also eligible for dental treatment.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
Dental Procedures .....	4,261,946	4,467,837	4,636,882

**Rehabilitation.**—Obligations in the Medical Services account for 2017 are estimated to be \$655 million for the provision of rehabilitative care, including Blind Rehabilitation and Spinal Cord Injury programs. These services include inpatient and outpatient blind and vision rehabilitation programs, adjustment to blindness counseling, patient and family education, and assistive technology. The mission of Spinal Cord Injury and Disorders (SCI/D) Services is to promote the health, independence, quality of life and productivity of individuals with spinal cord injury and disorders through efficient delivery of acute rehabilitation, psychological, social, vocational, medical and surgical care, professional training, as well as patient and family education.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
ADC .....	1,143	1,134	1,135

**Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) Programs.**—Obligations in the Medical Services account for 2017 are estimated to be \$300 million (excludes care in the

community portion found under the new Medical Community Care appropriation) for pharmacy and medical service personnel dealing with CHAMPVA matters.

**Readjustment Counseling.**—Obligations in the Medical Services account for 2017 are estimated to be \$243 million. This program provides readjustment counseling services at VA Vet Centers. Vet Centers are community-based counseling centers that provide a wide range of social and psychological services to include: professional readjustment counseling to veterans who have served in a combat zone, military sexual trauma counseling, bereavement counseling for families who experience an active duty death, substance abuse assessments and referral, medical referral, VBA benefits explanation and referral, and employment counseling. Services are also extended to the family members of eligible veterans for issues related to military service and the readjustment of those veterans.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
Visits .....	1,661,145	1,710,979	1,762,309

**Caregivers (Title I) Programs.**—Obligations in the Medical Services account for 2017 are estimated to be \$700 million. The Caregivers and Veterans Omnibus Health Services Act of 2010, Public Law 111–163, authorized VA to provide assistance and support services for Caregivers of eligible veterans. This program provides a wide range of services for primary caregivers to include: a monthly personal caregiver stipend, respite care, access to mental health services, beneficiary travel, and health care benefits through the existing CHAMPVA Program.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
Caregiver Stipend (dollars) .....	387,667,765	516,605,000	629,271,000
Caregiver Caseload .....	24,771	30,644	36,644

**Public Law 113–146, Veterans Choice Act, Section 801.**—On August 7, 2014, President Obama signed into law the Veterans Access, Choice, and Accountability Act of 2014 (Public Law 113–146) ("Veterans Choice Act"). The 2017 Budget supports implementation of the Veterans Choice Act and the Administration's goal of providing timely, high-quality health care for our Nation's veterans. The Veterans Choice Act provided \$5 billion in mandatory funding in Section 801 to increase veterans' access to health care by hiring more physicians and staff and improving the VA's physical infrastructure. Obligations in the Medical Services account for 2017 are estimated to be \$822 million for Section 301/302, hiring medical staff, and supplies and materials.

Estimated funding levels are:

Dollars in Millions	2015 actual	2016 est.	2017 est.
FTE & Other Costs .....	\$610	\$1,315	\$722
Section 301/302 Activities .....	\$0	\$60	\$60
Activations .....	\$0	\$40	\$0
Total .....	\$610	\$1,415	\$822

**Object Classification (in millions of dollars)**

Identification code 036–0160–0–1–703	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	16,150	16,990	18,587
11.1 Full-time permanent - P.L. 113–146, Sec. 801 .....	438	1,037	604
11.3 Other than full-time permanent .....	339	357	392
11.3 Other than full-time permanent - P.L. 113–146, Sec. 801 .....	9	22	13
11.5 Other personnel compensation .....	1,860	1,957	2,140
11.5 Other personnel compensation - P.L. 113–146, Sec. 801 .....	50	119	69
11.9 Total personnel compensation .....	18,846	20,482	21,805
12.1 Civilian personnel benefits .....	5,883	6,203	6,819
12.1 Civilian personnel benefits - P.L. 113–146, Sec. 801 .....	65	153	89
21.0 Travel & Transportation of Persons .....	915	927	962
22.0 Transportation of things .....	18	21	22
23.2 Rent, Communications & Utilities .....	323	345	358
24.0 Printing and reproduction .....	14	23	23
25.2 Other contractual services .....	10,820	11,678	4,470
25.2 Other contractual serv. - P.L. 113–146, Sec. 801 .....	4	27	
26.0 Supplies & Materials .....	10,253	12,083	13,354
26.0 Supplies & Materials - P.L. 113–146, Sec. 801 .....	15	18	18
31.0 Equipment .....	844	888	990
31.0 Equipment - P.L. 113–146, Sec. 801 .....	29	39	29

MEDICAL SERVICES—Continued  
Object Classification—Continued

Identification code 036-0160-0-1-703	2015 actual	2016 est.	2017 est.
32.0 Land and structures .....	1		
41.0 Grants, Subsidies & Contributions .....	1,682	1,745	515
44.0 Prior-year Recoveries .....	1		
92.0 Audit Adjustment .....		149	
99.0 Direct obligations .....	49,713	54,781	49,454
99.0 Reimbursable obligations .....	146	150	153
99.9 Total new obligations .....	49,859	54,931	49,607

Employment Summary

Identification code 036-0160-0-1-703	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	214,465	225,486	234,648
2001 Reimbursable civilian full-time equivalent employment .....	1,872	1,872	1,872

MEDICAL COMMUNITY CARE

For necessary expenses for furnishing health care to individuals pursuant to chapter 17 of title 38, United States Code, at non-Department facilities, \$7,246,181,000, plus reimbursements, to be derived from amounts appropriated in title II of division J of Public Law 114-113 under the headings "Medical Services", "Medical Support and Compliance", or "Medical Facilities" which became available on October 1, 2016; and, in addition, \$9,409,118,000 shall become available on October 1, 2017, and shall remain available until September 30, 2018: Provided, That, of the amount made available on October 1, 2017, \$1,500,000,000 shall remain available until September 30, 2019.

Program and Financing (in millions of dollars)

Identification code 036-0140-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medical Community Care .....			7,496
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [036-5287] ....			250
Advance appropriations, discretionary:			
1170 Advance appropriation transferred from other accts [036-0160] .....			7,246
1900 Budget authority (total) .....			7,496
1930 Total budgetary resources available .....			7,496
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			7,496
3020 Outlays (gross) .....			-6,593
3050 Unpaid obligations, end of year .....			903
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			903
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			7,496
Outlays, gross:			
4010 Outlays from new discretionary authority .....			6,593
4180 Budget authority, net (total) .....			7,496
4190 Outlays, net (total) .....			6,593

For 2018, the Budget requests \$9.4 billion in advance appropriations for Medical Community Care. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

The Medical Community Care appropriation provides for the furnishing of Hospital Care and Medical Services to eligible veterans through agreements with certain eligible entities that have an agreement to provide such

care and services for VA. Medical Community Care will be the source of funding for care that VA provides to veterans through community providers. Estimated operating levels are:

	2017 Est.
Outpatient Visits (Non-Mental Health, Non-Non-Institutional Care)	3,708,864
Mental Health Outpatient Visits	361,359
Contract Hospital Patients Treated (Non-Mental Health)	135,467
Contract Hospital Patients Treated (Psychiatry)	21,027
Community Nursing Home Patients Treated	31,093
State Nursing Home Patients Treated	31,619
State Home Domiciliary Patients Treated	4,905
State Adult Day Health Care ADC	47
Dental Procedures	379,050
Non-Institutional Care Visits/Procedures**	10,343,294

\*\*Also counts in Outpatient Visits (Non-Mental Health)

Object Classification (in millions of dollars)

Identification code 036-0140-0-1-703	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other contractual services .....			6,145
41.0 Grants, subsidies, and contributions .....			1,351
99.9 Total new obligations .....			7,496

MEDICAL SUPPORT AND COMPLIANCE

For necessary expenses in the administration of the medical, hospital, nursing home, domiciliary, construction, supply, and research activities, as authorized by law; administrative expenses in support of capital policy activities; and administrative and legal expenses of the Department for collecting and recovering amounts owed the Department as authorized under chapter 17 of title 38, United States Code, and the Federal Medical Care Recovery Act (42 U.S.C. 2651 et seq.), [\$6,524,000,000] \$6,654,480,000, plus reimbursements, shall become available on October 1, [2016] 2017, and shall remain available until September 30, [2017] 2018: Provided, That, of the amount made available on October 1, [2016] 2017, under this heading, \$100,000,000 shall remain available until September 30, [2018] 2019. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 036-0152-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 VA Medical Centers & Other Field Activities .....	3,692	3,850	4,130
0002 VISN Headquarters .....	171	174	163
0003 VHA Central Office .....	603	615	660
0004 Consolidated Patient Account Centers .....	309	349	375
0005 Office of Informatics & Analytics .....	188	239	257
0007 Chief Business Office Purchase Care .....	311	384	413
0008 Employee Education Service Center .....	60	69	74
0009 VHA Service Center .....	253	256	275
0011 Health Resource Center .....	56	66	71
0012 Health Eligibility Center .....	44	48	52
0013 Consolidated Mail Outpatient Pharmacies .....	17	18	19
0014 National Center for Patient Safety .....	6	8	9
0015 Prior-Year Recoveries .....	1		
0024 P.L. 113-146, Sec. 801 - FTE & Other Costs .....		1	1
0025 P.L. 113-146, Section 301/302 Activities .....		16	15
0027 Activations .....		3	
0091 Total operating expenses .....	5,711	6,096	6,514
0101 Capital investment .....			27
0102 VAMCs & Other Field Activities .....	20	24	
0104 VHA Central Office .....	1	1	1
0191 Total Capital Investment .....	21	25	28
0293 Total direct program .....	5,732	6,121	6,542
0799 Total direct obligations .....	5,732	6,121	6,542
0801 Medical Support and Compliance (Reimbursable) .....	11	14	14
0900 Total new obligations .....	5,743	6,135	6,556

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	43	127	38
1010 Unobligated balance transfer to other accts [036-0110] .....		-85	
1011 Unobligated balance transfer from other acct [036-0160] .....	27		
1011 Unobligated balance transfer from other acct [036-0162] ....			9

1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	71	42	47
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	100	100	
1120	Appropriations transferred to other accts [036-0169] .....	-27	-27	-28
1120	Appropriations transferred to other accts [036-0160] .....	-57		
1120	Appropriations transferred to other acct [036-0110] .....	-2		
1160	Appropriation, discretionary (total) .....	14	73	-28
	Advance appropriations, discretionary:			
1170	Advance appropriation .....	5,880	6,144	6,524
1173	Advance appropriations permanently reduced .....	-106	-100	
1180	Advanced appropriation, discretionary (total) .....	5,774	6,044	6,524
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	11	14	14
1900	Budget authority (total) .....	5,799	6,131	6,510
1930	Total budgetary resources available .....	5,870	6,173	6,557
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	127	38	1

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,137	790	892
3010	Obligations incurred, unexpired accounts .....	5,743	6,135	6,556
3011	Obligations incurred, expired accounts .....	74		
3020	Outlays (gross) .....	-5,841	-6,010	-6,405
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-322	-23	
3050	Unpaid obligations, end of year .....	790	892	1,043
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,136	790	892
3200	Obligated balance, end of year .....	790	892	1,043

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	5,799	6,131	6,510
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	5,100	5,434	5,795
4011	Outlays from discretionary balances .....	741	561	606
4020	Outlays, gross (total) .....	5,841	5,995	6,401
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-15	-14	-14
4033	Non-Federal sources .....	-7		
4040	Offsets against gross budget authority and outlays (total) ...	-22	-14	-14
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	11		
4060	Additional offsets against budget authority only (total) .....	11		
4070	Budget authority, net (discretionary) .....	5,788	6,117	6,496
4080	Outlays, net (discretionary) .....	5,819	5,981	6,387
	Mandatory:			
	Outlays, gross:			
4101	Outlays from mandatory balances .....		15	4
4180	Budget authority, net (total) .....	5,788	6,117	6,496
4190	Outlays, net (total) .....	5,819	5,996	6,391

For 2018, the Budget requests \$6.7 billion in advance appropriations for Medical Support and Compliance. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

For Medical Support and Compliance, the Budget reflects the following discretionary appropriation funding from 2016 through 2018: the 2016 enacted advance appropriation of \$6.1 billion, the 2017 enacted advance appropriation of \$6.5 billion, and the 2018 advance appropriation request of \$6.7 billion. In addition to this funding, the Budget reflects mandatory funding provided in section 801 of the Veterans Access, Choice, and Accountability Act of 2014 (Public Law 113-146): \$27 million remains available in 2016.

Medical Support and Compliance appropriation finances the expenses of management, security, and administration of the VA health care system through the operation of VA medical centers, other facilities, Veterans In-

tegrated Service Network offices and facility director offices, chief of staff operations, quality of care oversight, legal services, billing and coding activities, procurement, financial management, and human resource management.

**Object Classification** (in millions of dollars)

Identification code 036-0152-0-1-703	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	2,948	3,111	3,240
11.1	Full-time permanent - Choice Act, P.L. 113-146, Sec. 801 ....		12	10
11.3	Other than full-time permanent .....	63	66	69
11.5	Other personnel compensation .....	339	358	372
11.5	Other personnel compensation/P.L. 113-146 Section 801 .....		1	1
11.9	Total personnel compensation .....	3,350	3,548	3,692
12.1	Civilian personnel benefits .....	1,159	1,234	1,296
12.1	Civilian personnel benefits - Choice Act, P.L. 113-146, Sec. 801 .....		5	4
21.0	Travel & Transportation of Persons .....	44	48	49
21.0	Travel and transportation of persons/P.L. 113-146 Section 801 .....		1	1
22.0	Transportation of things .....	10	13	13
23.3	Communications, utilities, and miscellaneous charges .....	116	117	120
24.0	Printing and reproduction .....	12	15	15
25.2	Other contractual services .....	933	1,022	1,230
25.2	Other contractual services - Choice Act, P.L. 113-146, Section 801 .....		1	
26.0	Medical supplies and materials .....	85	92	94
31.0	Equipment .....	22	25	28
44.0	Prior Year Recoveries .....	1		
99.0	Direct obligations .....	5,732	6,121	6,542
99.0	Reimbursable obligations .....	11	14	14
99.9	Total new obligations .....	5,743	6,135	6,556

**Employment Summary**

Identification code 036-0152-0-1-703	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	48,610	50,181	55,591
1001	Direct civilian full-time equivalent employment .....		2	
2001	Reimbursable civilian full-time equivalent employment .....	869	869	869

**DOD-VA HEALTH CARE SHARING INCENTIVE FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-0165-0-1-703	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	DOD-VA health care sharing incentive fund .....	85	60	74
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	196	138	91
1021	Recoveries of prior year unpaid obligations .....	12	13	14
1050	Unobligated balance (total) .....	208	151	105
	Budget authority:			
	Appropriations, discretionary:			
1121	Transferred from DOD account [097-0130] .....	15	15	15
1121	Appropriations transferred from other acct [036-0160] ....	15	15	15
1131	Unobligated balance of appropriations permanently reduced .....	-15	-30	
1160	Appropriation, discretionary (total) .....	15		30
1930	Total budgetary resources available .....	223	151	135
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	138	91	61
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	73	70	94
3010	Obligations incurred, unexpired accounts .....	85	60	74
3020	Outlays (gross) .....	-76	-23	-8
3040	Recoveries of prior year unpaid obligations, unexpired .....	-12	-13	-14
3050	Unpaid obligations, end of year .....	70	94	146
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	73	70	94

DOD-VA HEALTH CARE SHARING INCENTIVE FUND—Continued

Program and Financing—Continued

Identification code 036-0165-0-1-703	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	70	94	146
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15		30
Outlays, gross:			
4011 Outlays from discretionary balances .....	76	23	8
4180 Budget authority, net (total) .....	15		30
4190 Outlays, net (total) .....	76	23	8

The purpose of the Department of Defense-Veterans Affairs Health Care Sharing Incentive Fund, often referred to as the Joint Incentive Fund (JIF), is to enable the Departments to carry out a program to identify and provide incentives to implement creative sharing initiatives at the facility, intra-regional and nationwide levels. The JIF promotes collaboration and new approaches to problem solving to enable the Departments to improve the coordination of health care services. The Departments have established the fund and developed processes and criteria to solicit and select projects. Section 721 of the 2003 National Defense Authorization Act, Public Law 107-314, established the fund and requires VA and Department of Defense to establish a joint incentive program. In 2017, each Secretary shall contribute a minimum of \$15 million to the fund after the appropriation is enacted.

Object Classification (in millions of dollars)

Identification code 036-0165-0-1-703	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	65	40	54
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	5	5
32.0 Land and structures .....	7	7	7
99.9 Total new obligations .....	85	60	74

Employment Summary

Identification code 036-0165-0-1-703	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	57	57	57

MEDICAL FACILITIES

For necessary expenses for the maintenance and operation of hospitals, nursing homes, domiciliary facilities, and other necessary facilities of the Veterans Health Administration; for administrative expenses in support of planning, design, project management, real property acquisition and disposition, construction, and renovation of any facility under the jurisdiction or for the use of the Department; for oversight, engineering, and architectural activities not charged to project costs; for repairing, altering, improving, or providing facilities in the several hospitals and homes under the jurisdiction of the Department, not otherwise provided for, either by contract or by the hire of temporary employees and purchase of materials; for leases of facilities; and for laundry services; **[\$105,132,000] \$649,000,000**, which shall be in addition to funds previously appropriated under this heading that became available on October 1, **[2015] 2016**; and, in addition, **[\$5,074,000,000] \$5,434,880,000**, plus reimbursements, shall become available on October 1, **[2016] 2017**, and shall remain available until September 30, **[2017] 2018**: *Provided*, That, of the amount made available on October 1, **[2016] 2017**, under this heading, \$250,000,000 shall remain available until September 30, **[2018] 2019**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 036-0162-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Engineering & Environmental Management Services .....	544	558	556
0002 Plant Operations .....	748	749	748

0003 Leases .....	498	569	872
0004 Transportation Services .....	158	163	163
0005 Grounds Maintenance & Fire Protection .....	92	98	98
0007 Recurring Maintenance & Repair .....	482	489	482
0008 Non-Recurring Maintenance .....	20		
0009 Operating Equipment Maintenance & Repair .....	193	186	185
0011 Engineering Service .....	778	1,020	1,119
0012 Other Facilities Operation Support .....	27	25	25
0013 Textile Care Processing & Management .....	148	134	130
0014 Prior-Year Recoveries .....	11		
0025 P.L. 113-146, Sec. 801 - Emergency Leases .....	13	22	
0026 P.L. 113-146, Sec. 801 - Leases .....	18	262	
0027 P.L. 113-146, Sec. 801 - Non-Recurring Maintenance .....	3		
0028 P.L. 113-146, Sec. 801 - Activations .....		3	
0091 Total operating expenses .....	3,733	4,278	4,378
0101 Engineering & Environmental Management Services .....	25	42	44
0102 Plant Operation .....	9	15	16
0103 Leases .....	54		
0104 Transportation Services .....	1	2	2
0105 Grounds Maintenance & Fire Protection .....	3	5	5
0106 Recurring Maintenance & Repair .....	63	104	111
0107 Non-Recurring Maintenance & Repair .....	805	418	1,057
0108 Operating Equipment Maintenance & Repair .....	12	20	21
0109 Engineering Service .....	5	8	9
0110 Other Facilities Operation Support .....	4	7	7
0111 Textile Care Processing & Management .....	31	51	55
0122 P.L. 113-146, Sec. 801 - Section 301/302 .....		16	16
0123 P.L. 113-146, Sec. 801 - Emergency Leases .....	6		
0124 P.L. 113-146, Sec. 801 - Leases .....	21		
0125 P.L. 113-146, Sec. 801 - Non-Recurring Maintenance .....	470	443	
0126 P.L. 113-146, Sec. 801 - Legionella Prevention & Control .....	15	132	
0191 Total capital investment .....	1,524	1,263	1,343
0799 Total direct obligations .....	5,257	5,541	5,721
0801 Medical Facilities (Reimbursable) .....	14	17	17
0900 Total new obligations .....	5,271	5,558	5,738
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	93	1,239	371
1010 Unobligated balance transfer to other accts [036-0110] .....		-313	
1010 Unobligated balance transfer to other accts [036-0160] .....			-324
1010 Unobligated balance transfer to other accts [036-0152] .....			-9
1011 Unobligated balance transfer from other acct [036-0160] .....	1,772		
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	1,876	926	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	250	355	649
1120 Appropriations transferred to other accts [036-0169] .....	-35	-37	-38
1120 Appropriations transferred to other acct [036-0110] .....	-81		
1160 Appropriation, discretionary (total) .....	134	318	611
Advance appropriations, discretionary:			
1170 Advance appropriation .....	4,739	4,915	5,074
1173 Advance appropriations permanently reduced .....	-252	-250	
1180 Advanced appropriation, discretionary (total) .....	4,487	4,665	5,074
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	20	20
1900 Budget authority (total) .....	4,634	5,003	5,705
1930 Total budgetary resources available .....	6,510	5,929	5,743
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,239	371	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,793	2,925	2,980
3010 Obligations incurred, unexpired accounts .....	5,271	5,558	5,738
3011 Obligations incurred, expired accounts .....	304		
3020 Outlays (gross) .....	-5,108	-5,503	-5,890
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3041 Recoveries of prior year unpaid obligations, expired .....	-324		
3050 Unpaid obligations, end of year .....	2,925	2,980	2,828
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,793	2,925	2,980
3200 Obligated balance, end of year .....	2,925	2,980	2,828

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	4,634	5,003	5,705
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,245	3,746	4,324
4011 Outlays from discretionary balances .....	1,803	414	1,032

4020	Outlays, gross (total) .....	5,048	4,160	5,356
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-7	-11	-11
4033	Non-Federal sources .....	-23	-9	-9
4040	Offsets against gross budget authority and outlays (total) ....	-30	-20	-20
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	17		
4070	Budget authority, net (discretionary) .....	4,621	4,983	5,685
4080	Outlays, net (discretionary) .....	5,018	4,140	5,336
	Mandatory:			
	Outlays, gross:			
4101	Outlays from mandatory balances .....	60	1,343	534
4180	Budget authority, net (total) .....	4,621	4,983	5,685
4190	Outlays, net (total) .....	5,078	5,483	5,870

For 2018, the Budget requests advance appropriations of \$5.4 billion for Medical Facilities. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

For Medical Facilities, the Budget reflects the following discretionary appropriation funding from 2016 through 2018: the 2016 enacted advance appropriation of \$4.9 billion, together with an additional \$105 million (as directed in Public Law 114–113); the 2017 enacted advance appropriation request of \$5.1 billion, together with an additional \$649 million as requested in this Budget; and the 2018 advance appropriation request of \$5.4 billion. In addition to this funding, the Budget reflects mandatory funding provided in section 801 of the Veterans Access, Choice, and Accountability Act of 2014 (Public Law 113–146): \$1.2 billion remains available in 2016.

Medical Facilities provides for the operations and maintenance of the capital infrastructure required to provide health care to the Nation's veterans. These costs include utilities, engineering, capital planning, leases, laundry services, grounds maintenance, trash removal, housekeeping, fire protection, pest management, facility repair and maintenance, and property disposition and acquisition.

**Object Classification** (in millions of dollars)

Identification code 036–0162–0–1–703	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,078	1,123	1,134
11.1 Full-time permanent - P.L. 113–146, Sec. 801 .....		1	
11.3 Other than full-time permanent .....	22	23	24
11.5 Other personnel compensation .....	124	130	131
11.9 Total personnel compensation .....	1,224	1,277	1,289
12.1 Civilian personnel benefits .....	427	447	452
21.0 Travel & Transportation of Persons .....	33	34	34
22.0 Transportation of things .....	14	15	15
23.2 Rent, Communications & Utilities .....	1,058	1,094	1,415
23.2 Rent, Communications & Utilities - P.L. 113–146, Sec. 801 .....	29	286	
25.2 Other Contractual Services .....	626	816	852
25.2 Other Contractual Services - P.L. 113–146, Sec. 801 .....	2		
26.0 Supplies & Materials .....	306	309	321
26.0 Supplies & Materials - P.L. 113–146, Sec. 801 .....	3		
31.0 Equipment .....	69	74	83
31.0 Equipment - P.L. 113–146, Sec. 801 .....			16
32.0 Lands & Structures .....	943	598	1,244
32.0 Lands & Structures - P.L. 113–146, Sec. 801 .....	512	591	
44.0 Prior-year Recoveries .....	11		
99.0 Direct obligations .....	5,257	5,541	5,721
99.0 Reimbursable obligations .....	14	17	17
99.9 Total new obligations .....	5,271	5,558	5,738

**Employment Summary**

Identification code 036–0162–0–1–703	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	23,154	23,166	23,719
2001 Reimbursable civilian full-time equivalent employment .....	490	490	490

**MEDICAL AND PROSTHETIC RESEARCH**

For necessary expenses in carrying out programs of medical and prosthetic research and development as authorized by chapter 73 of title 38, United States Code, **[\$630,735,000] \$663,366,000**, plus reimbursements, shall remain available until September 30, **[2017] 2018** : *Provided*, That the Secretary of Veterans Affairs shall ensure that sufficient amounts appropriated under this heading are available for gender appropriate prosthetic research and toxic exposure research]. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 036–0161–0–1–703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Bio-medical laboratory science research .....	215	271	271
0002 Rehabilitation research .....	115	96	98
0003 Health services research .....	110	111	121
0004 Clinical science research .....	187	153	171
0091 Total operating expenses .....	627	631	661
0101 Bio-medical laboratory science research .....	2	2	2
0799 Total direct obligations .....	629	633	663
0801 Medical and Prosthetic Research (Reimbursable) .....	33	40	40
0900 Total new obligations .....	662	673	703

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	76	54
1010 Unobligated balance transfer to other accts [036–0110] .....		-20	
1021 Recoveries of prior year unpaid obligations .....	38		
1050 Unobligated balance (total) .....	116	56	54
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	589	631	663
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	33	40	40
1900 Budget authority (total) .....	622	671	703
1930 Total budgetary resources available .....	738	727	757
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	76	54	54

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	245	220	243
3010 Obligations incurred, unexpired accounts .....	662	673	703
3011 Obligations incurred, expired accounts .....	5		
3020 Outlays (gross) .....	-645	-650	-683
3040 Recoveries of prior year unpaid obligations, unexpired .....	-38		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	220	243	263
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-4	-4
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	241	216	239
3200 Obligated balance, end of year .....	216	239	259

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	622	671	703
Outlays, gross:			
4010 Outlays from new discretionary authority .....	371	478	501
4011 Outlays from discretionary balances .....	274	172	182
4020 Outlays, gross (total) .....	645	650	683
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-15	-20	-20
4033 Non-Federal sources .....	-18	-20	-20
4040 Offsets against gross budget authority and outlays (total) ....	-33	-40	-40
4070 Budget authority, net (discretionary) .....	589	631	663
4080 Outlays, net (discretionary) .....	612	610	643
4180 Budget authority, net (total) .....	589	631	663
4190 Outlays, net (total) .....	612	610	643

For 2017, the total budgetary resources of \$1.9 billion is comprised of \$663 million in direct appropriations, \$564 million in medical care support such as physicians' pay, utilities and other overhead, \$500 million in Fed-

MEDICAL AND PROSTHETIC RESEARCH—Continued

eral grants, and \$185 million in other non-federal resources. The research program will support 3,521 full time equivalents through direct appropriation.

This account is an intramural program that has had outstanding success performing research that has led to critical clinical achievements that improve the health and quality of life for veterans and the Nation. VA research transforms medicine by engaging veterans as research volunteers and utilizing the results of that research in clinical care. Through technology, advancements, innovations, and information, research helps transform VA's health care into a leading example of medicine in the twenty-first century.

In 2017, VA's research priorities focus on ensuring world-class care for veterans throughout their lives, emphasizing personalized precision medicine approaches to improve clinical outcomes, and developing a Learning Health Care System. Health services research will continue to advance implementation of improved treatments in the VA health care system and optimize systems approaches to care delivery. VA will advance engineering research and development to improve the lives of disabled veterans by personalizing prosthetic systems that replace lost limbs or activate remaining nerves and muscles.

Building on the Million Veteran Program (MVP) to advance the President's Precision Medicine initiative (PMI) is a major goal for VA Research in 2017 and 2018. MVP, a groundbreaking genomic research program, seeks to collect genetic samples and general health information from one million veterans. This program will use genetic analysis to show how to improve treatments by understanding individual patients, thus improving care for veterans and the Nation. MVP has enrolled more than 438,000 veterans. An initial genetic analysis is ongoing; some data are now available to investigators who are beginning studies on posttraumatic stress disorder, schizophrenia, bipolar disorder, and Gulf War Illness. VA research is committed to ensuring the success of the PMI, and in FY 2017 will re-prioritize program spending to provide additional support to this initiative.

Research to benefit Gulf War veterans remains a priority. Over the past several years, the number of projects funded and the level of funding have increased each year. As directed by Senate Report 111-40, the VA research program ensures that no less than \$15 million is available for Gulf War research each year; the actual amount spent on such research depends on the quantity and quality of research proposals. VA has worked to develop Gulf War researchers to ensure that research proposals of high scientific merit are submitted. Funding increased from \$9.7 million in 2014, to \$10.5 million in 2015, \$12.5 million in 2016, with a 2017 estimate of \$15 million.

VA is expanding research efforts to improve women veterans' health, by studying how VA provides for women veterans' general and gender-specific health care needs, and understanding military experiences of women veterans as well as later health risk factors.

VA works closely with other federal agencies to assure effective use of scarce taxpayer resources in executing its research mission. We conduct joint programmatic reviews with the Department of Defense (DoD) and National Institutes of Health to ensure that our research efforts are well coordinated and Under the President's National Research action Plan (NRAP), VA and DoD created research consortia for traumatic brain injury and posttraumatic stress disorder, investing \$107 million over five years. High level coordination has become routine for all three agencies, benefit veterans and the American public.

Veterans' health issues are addressed comprehensively in the following four program divisions and the medical care research support required for these programs:

*Biomedical laboratory.*—Supports preclinical research to understand life processes from a molecular, genomic, and physiological level in regard to diseases affecting veterans.

*Clinical science.*—Administers investigations (i.e., human subject research such as drug, surgical, single subject, pilot, and multi-center cooperative studies as well as feasibility trials) aimed at instituting new, more effective clinical care.

*Health services.*—Supports studies to identify and promote effective and efficient strategies to improve the delivery of health care to veterans.

*Rehabilitation.*—Develops novel approaches to restoring veterans with traumatic amputation, central nervous system injuries, loss of sight and/or hearing, or other physical and cognitive impairments to full and productive lives.

VA's Medical and Prosthetic Research programs are included in the Federal Research and Development (R&D) budget.

SUMMARY OF PROGRAM RESOURCES

	[in millions of dollars]		
	2015 actual	2016 est.	2017 est.
Medical and prosthetic research appropriation .....	589	631	663
VA Medical Care Support .....	519	536	564
Other Federal and Non-Federal Resources .....	563	685	685
Reimbursements .....	33	40	40
<b>Total program resources .....</b>	<b>1,704</b>	<b>1,892</b>	<b>1,952</b>

Object Classification (in millions of dollars)

Identification code 036-0161-0-1-703	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	197	206	208
11.3 Other than full-time permanent .....	14	14	14
11.5 Other personnel compensation .....	42	42	42
<b>Total personnel compensation .....</b>	<b>253</b>	<b>262</b>	<b>264</b>
12.1 Civilian personnel benefits .....	92	92	92
21.0 Employee travel .....	4	5	5
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	223	205	225
26.0 Supplies and materials .....	35	39	42
31.0 Equipment .....	17	25	30
<b>Total new obligations .....</b>	<b>629</b>	<b>633</b>	<b>663</b>
99.0 Reimbursable obligations .....	33	40	40
99.9 <b>Total new obligations .....</b>	<b>662</b>	<b>673</b>	<b>703</b>

Employment Summary

Identification code 036-0161-0-1-703	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,380	3,410	3,465
2001 Reimbursable civilian full-time equivalent employment .....	141	141	141

JOINT DEPARTMENT OF DEFENSE-DEPARTMENT OF VETERANS AFFAIRS MEDICAL FACILITY DEMONSTRATION FUND

Program and Financing (in millions of dollars)

Identification code 036-0169-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Joint DOD-VA Medical Facility Demonstration Fund (Direct) .....	389	411	419
0801 Joint DOD-VA Medical Facility Demonstration Fund (Reimbursable) .....	7	9	9
0900 <b>Total new obligations .....</b>	<b>396</b>	<b>420</b>	<b>428</b>
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	5
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1121 Appropriations transferred from other acct [036-0162] ....	35	37	38
1121 Appropriations transferred from other acct [036-0152] ....	27	27	28
1121 Appropriations transferred from other acct [036-0160] ....	190	196	202
1121 Appropriations transferred from other acct [036-0167] ....	7	7	7
1121 Appropriations transferred from other acct [097-0130] ....	117	120	122
1121 Appropriations transferred from other acct [036-5287] ....	22	22	22
1160 <b>Appropriation, discretionary (total) .....</b>	<b>398</b>	<b>409</b>	<b>419</b>
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	7	9	9
1900 <b>Budget authority (total) .....</b>	<b>405</b>	<b>418</b>	<b>428</b>
1930 <b>Total budgetary resources available .....</b>	<b>411</b>	<b>425</b>	<b>433</b>

Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		
1941	Unexpired unobligated balance, end of year .....	7	5	5

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	86	92	94
3010	Obligations incurred, unexpired accounts .....	396	420	428
3011	Obligations incurred, expired accounts .....	14		
3020	Outlays (gross) .....	-389	-418	-427
3041	Recoveries of prior year unpaid obligations, expired .....	-15		
3050	Unpaid obligations, end of year .....	92	94	95
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	86	92	94
3200	Obligated balance, end of year .....	92	94	95

The Department of Veterans Affairs (VA) and the Department of Defense (DOD) will each contribute funding to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of Public Law 111-84, the National Defense Authorization Act for Fiscal Year 2010. This funding will support the continuing operations of the Captain James A. Lovell Federal Health Care Center (FHCC), which opened on December 20, 2010. In 2016 and 2017, VA expects to transfer funds from the Medical Services, Medical Support and Compliance, Medical Facilities, and Information Technology Systems accounts, while DOD expects to transfer funds from the Defense Health Program account.

**Object Classification** (in millions of dollars)

Identification code 036-0169-0-1-703				
		2015 actual	2016 est.	2017 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	218	211	219
12.1	Civilian personnel benefits .....	34	200	200
25.1	Advisory and assistance services .....	44		
26.0	Supplies and materials .....	59		
31.0	Equipment .....	14		
32.0	Land and structures .....	19		
99.0	Direct obligations .....	388	411	419
99.0	Reimbursable obligations .....	8	9	9
99.9	Total new obligations .....	396	420	428

**Employment Summary**

Identification code 036-0169-0-1-703				
		2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	2,127	2,167	2,172

**MEDICAL CARE COLLECTIONS FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-5287-0-2-703				
		2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	3,445	3,537	3,580
1120	Appropriations transferred to other accts [036-0160] .....	-3,423	-3,515	-3,308
1120	Appropriations transferred to other accts [036-0169] .....	-22	-22	-22
1120	Appropriations transferred to other acct [036-0140] .....			-250
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

VA has the authority to collect co-payments, which are deposited into the Medical Care Collections Fund (MCCF) receipt account. As allowed by the provisions of the appropriations Act, these receipts are transferred to Medical Services, Medical Community Care, and the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund (Joint Demonstration Fund) appropriations where they remain available until expended for the purposes of this account. In 2015, nearly \$3.5 billion was collected in the MCCF receipt account and transferred to the Medical Services appropriation and Joint Demonstration Fund to provide health care to our veterans. These collections consist of co-payments from veterans for inpatient, outpatient, and nursing home care, and prescribed medications; third-party insurance payments from veterans for nonservice-connected conditions; and collections from enhanced-use leases, the Compensated Work Therapy Program, Compensation and Living Expensed Program, and the Parking Program.

**CANTEEN SERVICE REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-4014-0-3-705				
		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0801	Reimbursable operating expenses .....	279	297	297
0802	Reimbursable direct operations .....	164	173	173
0810	Reimbursable capital investment: Sales program: Purchase of equipment and leasehold .....	27	20	20
0900	Total new obligations .....	470	490	490
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	16	22
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	481	493	493
1801	Change in uncollected payments, Federal sources .....	1	3	3
1850	Spending auth from offsetting collections, mand (total) .....	482	496	496
1930	Total budgetary resources available .....	486	512	518
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	16	22	28

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	61	62	56
3010	Obligations incurred, unexpired accounts .....	470	490	490
3020	Outlays (gross) .....	-469	-496	-502
3050	Unpaid obligations, end of year .....	62	56	44
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-4	-7
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-4	-7	-10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	58	58	49
3200	Obligated balance, end of year .....	58	49	34

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	482	496	496
Outlays, gross:				
4100	Outlays from new mandatory authority .....	405	490	490

CANTEEN SERVICE REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 036-4014-0-3-705	2015 actual	2016 est.	2017 est.
4101 Outlays from mandatory balances .....	64	6	12
4110 Outlays, gross (total) .....	469	496	502
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources .....		-1	
4123 Non-Federal sources .....	-481	-492	-493
4130 Offsets against gross budget authority and outlays (total) ....	-481	-493	-493
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	-3	-3
4170 Outlays, net (mandatory) .....	-12	3	9
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-12	3	9

The Veterans Canteen Service was established to furnish, at reasonable prices, meals, merchandise, and services necessary for the comfort and well-being of veterans in VA medical facilities.

*Financing.*— Operations will be financed from current revenues.

Object Classification (in millions of dollars)

Identification code 036-4014-0-3-705	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	125	133	133
11.3 Other than full-time permanent .....	2	3	3
11.9 Total personnel compensation .....	127	136	136
12.1 Civilian personnel benefits .....	40	45	45
21.0 Travel and transportation of persons .....	2	3	3
25.2 Other services from non-Federal sources .....	6	6	6
26.0 Supplies and materials .....	268	280	280
31.0 Equipment .....	27	20	20
99.9 Total new obligations .....	470	490	490

Employment Summary

Identification code 036-4014-0-3-705	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,351	3,351	3,351

MEDICAL CENTER RESEARCH ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 036-4026-0-3-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Operating expenses .....	245	253	253
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	245	253	253
1930 Total budgetary resources available .....	245	253	253
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	245	253	253
3020 Outlays (gross) .....	-245	-253	-253
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4090 Budget authority, gross .....	245	253	253
Outlays, gross:			
4100 Outlays from new mandatory authority .....	245	253	253
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources .....	-245	-253	-253
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and non-Federal sources. No appropriation is required to support these activities.

Object Classification (in millions of dollars)

Identification code 036-4026-0-3-703	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons .....	9	11	11
25.2 Other services from non-Federal sources .....	205	210	210
26.0 Supplies and materials .....	22	22	22
31.0 Equipment .....	9	10	10
99.9 Total new obligations .....	245	253	253

VETERANS CHOICE FUND

Program and Financing (in millions of dollars)

Identification code 036-0172-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Veterans Choice Fund - Administrative .....	340	671	1,620
0002 Veterans Choice Fund - Program .....	413	1,010	3,200
0003 Emergency Hep-C .....	407		
0004 Emergency Non-VA Care .....	2,339		
0005 Audit Adjustment .....	-1,700	1,700	
0900 Total new obligations .....	1,799	3,381	4,820
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10,000	8,201	4,820
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-1,700	
1021 Recoveries of prior year unpaid obligations .....		1,700	
1050 Unobligated balance (total) .....	10,000	8,201	4,820
1930 Total budgetary resources available .....	10,000	8,201	4,820
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8,201	4,820	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		564	463
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		1,700	
3010 Obligations incurred, unexpired accounts .....	1,799	3,381	4,820
3020 Outlays (gross) .....	-1,235	-3,482	-3,600
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1,700	
3050 Unpaid obligations, end of year .....	564	463	1,683
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2,264	463
3200 Obligated balance, end of year .....	564	463	1,683

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1,235	3,482	3,600
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1,235	3,482	3,600

The Veterans Access, Choice, and Accountability Act of 2014 ("Veterans Choice Act"), Public Law 113-146, provided \$10 billion in mandatory funding through 2017 to establish a temporary program ("Veterans Choice Program") improving veterans' access to health care by allowing eligible veterans who meet certain wait-time or distance standards to use eligible health care providers outside the VA system. The law directed that this funding be deposited in the Veterans Choice Fund. In July 2015, Congress provided emergency funding for Hepatitis C (\$500,000,000) and Care in the Community (\$2,848,500,000) by passing Public Law 114-41, the Surface Transportation and Veterans Health Care Choice Improvement Act of 2015, which gave VA temporary authority to use Veterans Choice Fund dollars on other programs. This authority ended on October 1, 2015 and does not extend into fiscal year 2016.

Estimated operating levels are:

	2015 actual	2016 est.	2017 est.
Outpatient Visits (Non-Mental Health, Non-Non-Institutional Care) .....	1,180,767	1,224,696	2,515,929
Mental Health Outpatient Visits .....	36,221	37,569	77,179
Contract Hospital Patients Treated (Non-Mental Health) .....	22,464	23,745	49,770
Contract Hospital Patients Treated (Psychiatry) .....	3,634	3,841	8,051
Community Nursing Home Patients Treated .....	8,054	4,011	8,583
Dental Procedures .....	0	142,145	147,408
Non-Institutional Care Visits/Procedures** .....	3,432,405	2,515,691	5,312,431

\*\*Also counts in Outpatient Visits (Non-Mental Health)

Object Classification (in millions of dollars)

Identification code 036-0172-0-1-703	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other contract services .....	3,494	3,375	4,814
25.2 Audit Adjustment .....	-1,700		
99.9 Total new obligations .....	1,799	3,381	4,820

Employment Summary

Identification code 036-0172-0-1-703	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	30	40	40

Trust Funds

GENERAL POST FUND, NATIONAL HOMES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-8180-0-7-705	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			6
Receipts:			
Current law:			
1130 General Post Fund, National Homes, Deposits .....	22	29	31
1140 General Post Fund, National Homes, Interest on Investments .....	1	1	2
1199 Total current law receipts .....	23	30	33
1999 Total receipts .....	23	30	33
2000 Total: Balances and receipts .....	23	30	39
Appropriations:			
Current law:			
2101 General Post Fund, National Homes .....	-23	-24	-25
5099 Balance, end of year .....		6	14

Program and Financing (in millions of dollars)

Identification code 036-8180-0-7-705	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Religious, recreational, and entertainment activities .....	20	21	22
0003 Therapeutic residence maintenance .....	1	1	1
0900 Total new obligations .....	21	22	23
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	103	105	107
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	23	24	25
1930 Total budgetary resources available .....	126	129	132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	105	107	109
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	4
3010 Obligations incurred, unexpired accounts .....	21	22	23
3020 Outlays (gross) .....	-21	-22	-23
3050 Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	4

3200 Obligated balance, end of year .....	4	4	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....	23	24	25
Outlays, gross:			
4100 Outlays from new mandatory authority .....		20	22
4101 Outlays from mandatory balances .....	21	2	1
4110 Outlays, gross (total) .....	21	22	23
4180 Budget authority, net (total) .....	23	24	25
4190 Outlays, net (total) .....	21	22	23

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	65	106	114
5001 Total investments, EOY: Federal securities: Par value .....	106	114	123

This fund consists of gifts, bequests, and proceeds from the sale of property left in the care of the facilities by former beneficiaries; patients' fund balances; and proceeds from the sale of effects of beneficiaries who die leaving no heirs or without having otherwise disposed of their estate. Such funds are used to promote the comfort and welfare of veterans at hospitals, nursing homes, and domiciliaries where no general appropriation is available. Public Law 102-54 authorizes compensation work therapy and therapeutic transitional housing and loan programs to be funded from the General Post Fund. (38 U.S.C. chs. 83 and 85.)

Object Classification (in millions of dollars)

Identification code 036-8180-0-7-705	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	6	6	6
26.0 Supplies and materials .....	12	13	14
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	1	1	1
99.9 Total new obligations .....	21	22	23

BENEFITS PROGRAMS

Federal Funds

COMPENSATION AND PENSIONS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation benefits to or on behalf of veterans and a pilot program for disability examinations as authorized by section 107 and chapters 11, 13, 18, 51, 53, 55, and 61 of title 38, United States Code; pension benefits to or on behalf of veterans as authorized by chapters 15, 51, 53, 55, and 61 of title 38, United States Code; and burial benefits, the Reinstated Entitlement Program for Survivors, emergency and other officers' retirement pay, adjusted-service credits and certificates, payment of premiums due on commercial life insurance policies guaranteed under the provisions of title IV of the Servicemembers Civil Relief Act (50 U.S.C. App. 541 et seq.) and for other benefits as authorized by sections 107, 1312, 1977, and 2106, and chapters 23, 51, 53, 55, and 61 of title 38, United States Code, **[\$162,948,673,000]** \$90,119,449,000, to remain available until expended **[**, of which \$86,083,128,000 shall **]** and to become available on October 1, **[2016]** 2017: *Provided*, That not to exceed **[\$15,562,000]** of the amount made available for fiscal year 2016 and **[\$16,021,000]** \$17,224,000 of the amount made available for fiscal year **[2017]** 2018 under this heading shall be reimbursed to "General Operating Expenses, Veterans Benefits Administration", and "Information Technology Systems" for necessary expenses in implementing the provisions of chapters 51, 53, and 55 of title 38, United States Code, the funding source for which is specifically provided as the "Compensation and Pensions" appropriation: *Provided further*, That such sums as may be earned on an actual qualifying patient basis, shall be reimbursed to "Medical Care Collections Fund" to augment the funding of individual medical facilities for nursing home care provided to pensioners as authorized. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 036-0102-0-1-701	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0101 Veterans .....	63,667	68,259	72,043

COMPENSATION AND PENSIONS—Continued  
Program and Financing—Continued

Identification code 036-0102-0-1-701	2015 actual	2016 est.	2017 est.
0102 Survivors .....	6,266	6,587	6,950
0191 Compensation sub-total .....	69,933	74,846	78,993
0200 Other compensation expenses .....	69,933	74,846	78,993
0201 Chapter 18 .....	22	20	20
0202 Clothing allowance .....	102	111	116
0203 Misc assistance (EAJ, SAFD) .....	13	14	14
0204 Medical exam pilot program .....	258	346	528
0205 OBRA payment to VBA and IT .....	3	3	4
0206 Reinstated entitlement program for survivors .....	2	1	1
0291 Total other compensation expenses .....	400	495	683
0293 Total compensation .....	70,333	75,341	79,676
0302 Veterans .....	3,689	3,807	3,972
0303 Survivors .....	1,793	1,916	2,097
0391 Pensions sub total .....	5,482	5,723	6,069
0401 Reimbursements to GOE, IT and VHA .....	15	16	19
0492 Total pensions .....	5,497	5,739	6,088
0501 Caskets and Urns .....	1	1	1
0502 Burial allowance .....	29	30	32
0503 Burial plots .....	15	15	15
0504 Service-connected deaths .....	59	58	61
0505 Burial flags .....	18	22	22
0506 Headstones and markers .....	75	74	76
0508 Graveliners .....	3	5	5
0509 Pre-Place Crypts .....	9	15	14
0591 Total burial program .....	209	220	226
0900 Total new obligations (object class 42.0) .....	76,039	81,300	85,990
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,477	5,818	1,384
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	2,484	5,818	1,384
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	79,070	76,866	
Advance appropriations, mandatory:			
1270 Advance appropriation .....			86,083
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	303		
1900 Budget authority (total) .....	79,373	76,866	86,083
1930 Total budgetary resources available .....	81,857	82,684	87,467
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5,818	1,384	1,477
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,540	6,038	764
3010 Obligations incurred, unexpired accounts .....	76,039	81,300	85,990
3020 Outlays (gross) .....	-75,534	-86,574	-85,113
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	6,038	764	1,641
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,540	6,038	764
3200 Obligated balance, end of year .....	6,038	764	1,641
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	79,373	76,866	86,083
Outlays, gross:			
4100 Outlays from new mandatory authority .....	67,517	74,717	82,965
4101 Outlays from mandatory balances .....	8,017	11,857	2,148
4110 Outlays, gross (total) .....	75,534	86,574	85,113
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-303		
4180 Budget authority, net (total) .....	79,070	76,866	86,083
4190 Outlays, net (total) .....	75,231	86,574	85,113

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	79,070	76,866	86,083
Outlays .....	75,231	86,574	85,113
Legislative proposal, subject to PAYGO:			
Outlays .....			-133
Total:			
Budget Authority .....	79,070	76,866	86,083
Outlays .....	75,231	86,574	84,980

WORKLOAD

	2015 actual	2016 est.	2017 est.
Compensation Completed Claims:			
Rating .....	1,233,907	1,171,741	1,206,892
Other Claims .....	2,386,826	2,309,126	2,402,125
Pension Completed Claims:			
Rating .....	153,865	161,104	165,936
Other Non-Rating Claims .....	457,908	508,498	498,054

For 2018, the Budget requests \$90,119,449,000 in advance appropriations for Compensation and Pensions. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113-235) and prevents our Nation's veterans from being adversely affected by budget delays.

This appropriation provides for the payment of compensation, pension, and burial benefits to veterans and survivors.

Compensation is paid to veterans for disabilities incurred in or aggravated during active military service. Dependency and Indemnity Compensation is paid to survivors of servicepersons or veterans whose death occurred while on active duty or as a result of service-connected disabilities. Compensation and vocational rehabilitation is provided to the children of Vietnam veterans who were born with certain birth defects. The Secretary may pay a clothing allowance to each veteran who uses a prescribed medication for a service-connected skin condition or wears a prosthetic or orthopedic appliance (including a wheelchair) which, in the judgment of the Secretary, tends to damage or tear the clothing of such veteran.

Miscellaneous benefits provided for are:

(a) payments for claims made pursuant to the provision of the World War Adjusted Compensation Act of 1924, as amended;

(b) a special allowance (38 U.S.C. 1312) to dependents of certain veterans who died after December 31, 1956, but who were not fully and currently insured under the Social Security Act; and

(c) payments authorized by the Equal Access to Justice Act.

The appropriation also provides for a program to allow VA to perform income matches for certain compensation recipients.

In accordance with Public Law 97-377, the Reinstated Entitlement Program for Survivors (REPS) program restores Social Security benefits to certain surviving spouses or children of veterans who died of service-connected causes.

Legislation is proposed to provide a cost-of-living adjustment comparable to the annual social security increase to recipients of disability compensation, dependency and indemnity compensation, and clothing allowances. The increase, effective with payments made on January 1, 2017, is expected to be 0.8 percent.

AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS

	2015 actual	2016 est.	2017 est.
Veterans:			
Cases .....	4,061,973	4,245,279	4,427,225
Average payment per case, per year .....	\$15,674	\$16,079	\$16,273
Total obligations (in millions) .....	\$63,667	\$68,258	\$72,043
Survivors:			
Cases .....	386,311	394,984	405,014
Average payment per case, per year .....	\$16,221	\$16,677	\$17,160
Total obligations (in millions) .....	\$6,266	\$6,587	\$6,950
Chapter 18:			
Children .....	1,164	1,152	1,140
Average payment per case, per year .....	\$17,664	\$17,664	\$17,805
Total obligations (in millions) .....	\$21	\$20	\$20
Clothing allowance:			
Number of veterans .....	132,050	138,009	143,924
Average payment per case, per year .....	\$776	\$803	\$809

Total obligations (in millions)	\$102	\$111	\$116
Special Allowance for Dependents:			
Cases	40	38	36
Average benefit	\$2,352	\$2,352	\$2,371
Total obligations (in millions)	\$0	\$0	\$0
Equal Access to Justice Act:			
Cases	2,917	3,025	3,132
Average benefit	\$4,512	\$4,512	\$4,548
Total Obligations (in millions)	\$13	\$14	\$14
REPS:			
Cases	39	29	22
Average benefit	\$42,374	\$35,372	\$38,928
Total Obligations (in millions)	\$2	\$1	\$1

Pension benefits may be paid to veterans or their survivors. A veteran's entitlement is based on active duty service of a specific length (normally 90 days or more) during a designated war period, disabilities considered permanent and total, and countable income below established levels. There is no disability requirement for survivor cases or veterans age 65 or older. Income support is provided at established benefit levels.

An automatic annual cost-of-living increase comparable to the annual social security increase is provided for those pensioners in the improved program and to parents receiving dependency and indemnity compensation. The increase, effective with payments made on January 1, 2017, is expected to be 0.8 percent.

**AVERAGE NUMBER OF PENSION CASES AND PAYMENTS**

	2015 actual	2016 est.	2017 est.
<b>Veterans:</b>			
Cases	297,992	295,910	297,093
Average payment per case, per year	\$12,378	\$12,864	\$13,369
Total obligations (in millions)	\$3,689	\$3,807	\$3,972
<b>Survivors:</b>			
Cases	208,315	207,480	209,606
Average payment per case, per year	\$8,607	\$9,237	\$10,005
Total obligations (in millions)	\$1,793	\$1,916	\$2,097

Burial benefits in FY 2016 provide for: (a) the payment of an allowance of \$747 (plus transportation charges where death occurs under VA care) to reimburse, in part, the burial and funeral expense of an eligible deceased veteran; (b) the payment of \$747 for a plot allowance where an eligible veteran is not buried in a national cemetery or other cemetery under the jurisdiction of the United States; (c) the payment of a burial allowance of up to \$2,000 when a veteran dies as a result of a service-connected disability; (d) furnishing a flag to drape the casket of each deceased veteran entitled thereto; (e) furnishing a headstone or marker for the grave of a veteran and, in certain cases, eligible dependents; and (f) authority to provide outer burial receptacles in the National Cemetery Administration.

**NUMBER OF BURIAL BENEFITS**

	2015 actual	2016 est.	2017 est.
Burial allowance	40,535	43,327	45,065
Burial plot	22,316	22,258	23,138
Service-connected deaths	20,083	23,544	24,810
Burial flags	488,912	497,644	497,644
Headstones and markers	348,164	368,309	368,059
Graveliners	12,151	12,031	12,113
Preplaced crypts	13,457	36,050	31,950
Caskets and Urns	87	646	635

**COMPENSATION AND PENSIONS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101			-136
0102			3
0900			-133
1941			133
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			-133
3020			133

<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances		-133
4180	Budget authority, net (total)		
4190	Outlays, net (total)		-133

**READJUSTMENT BENEFITS**

For the payment of readjustment and rehabilitation benefits to or on behalf of veterans as authorized by chapters 21, 30, 31, 33, 34, 35, 36, 39, 41, 51, 53, 55, and 61 of title 38, United States Code, [\$30,654,185,000] \$13,708,648,000, to remain available until expended [ , of which \$16,340,828,000 shall ] and to become available on October 1, [2016] 2017: Provided, That expenses for rehabilitation program services and assistance which the Secretary is authorized to provide under subsection (a) of section 3104 of title 38, United States Code, other than under paragraphs (1), (2), (5), and (11) of that subsection, shall be charged to this account. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0101	Sons and daughters	418	437	448
0102	Spouses	75	75	76
0191	Total education and training	493	512	524
0201	Vocational rehabilitation training	702	751	815
0202	Subsistence allowance	441	604	632
0203	Automobiles and adaptive equipment	136	151	167
0204	Housing grants	101	118	125
0205	Housing Technology Grants		1	
0291	Total special assistance to disabled veterans	1,380	1,625	1,739
0301	Work study	41	48	50
0302	Payments to States	19	19	19
0303	All-volunteer assistance: Basic benefits and all other	11,524	12,572	13,236
0304	Veterans Retraining Assistance Program	3		
0305	Tuition Assistance	5	4	3
0306	Licensing and Certification	2	2	2
0307	Reporting fees	10	14	14
0308	Reimbursement to GOE		1	1
0309	Contract Counseling	6	6	6
0391	Total All-volunteer assistance and other	11,610	12,666	13,331
0799	Total direct obligations	13,483	14,803	15,594
0801	Veterans and servicepersons basic benefits	1		
0802	Veterans and servicepersons supplementary benefits	23	21	20
0803	Chapter 1606 reservists benefits	109	107	104
0804	Chapter 1606 reservists supplementary benefits	34	33	32
0805	Chapter 1607 reservists benefits	41	33	28
0807	Chapter 33 DoD Reimbursements	129	148	136
0899	Total reimbursable obligations	337	342	320
0900	Total new obligations	13,820	15,145	15,914
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	584	2,320	1,830
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	588	2,320	1,830
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	14,997	14,313	
Advance appropriations, mandatory:				
1270	Advance appropriation			16,341
Spending authority from offsetting collections, mandatory:				
1800	Collected	555	342	321
1900	Budget authority (total)	15,552	14,655	16,662
1930	Total budgetary resources available	16,140	16,975	18,492
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,320	1,830	2,578
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	690	716	727
3010	Obligations incurred, unexpired accounts	13,820	15,145	15,914
3020	Outlays (gross)	-13,790	-15,134	-15,322
3040	Recoveries of prior year unpaid obligations, unexpired	-4		

READJUSTMENT BENEFITS—Continued  
Program and Financing—Continued

Identification code 036-0137-0-1-702	2015 actual	2016 est.	2017 est.
3050 Unpaid obligations, end of year .....	716	727	1,319
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	689	715	726
3200 Obligated balance, end of year .....	715	726	1,318
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	15,552	14,655	16,662
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12,517	12,101	12,768
4101 Outlays from mandatory balances .....	1,273	3,033	2,554
4110 Outlays, gross (total) .....	13,790	15,134	15,322
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-337	-342	-321
4123 Non-Federal sources .....	-218		
4130 Offsets against gross budget authority and outlays (total) ....	-555	-342	-321
4160 Budget authority, net (mandatory) .....	14,997	14,313	16,341
4170 Outlays, net (mandatory) .....	13,235	14,792	15,001
4180 Budget authority, net (total) .....	14,997	14,313	16,341
4190 Outlays, net (total) .....	13,235	14,792	15,001

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	14,997	14,313	16,341
Outlays .....	13,235	14,792	15,001
Legislative proposal, subject to PAYGO:			
Outlays .....			-28
Total:			
Budget Authority .....	14,997	14,313	16,341
Outlays .....	13,235	14,792	14,973

WORKLOAD - Vocational Rehabilitation and Employment

	2015 actual	2016 est.	2017 est.
Evaluation and planning .....	76,859	77,628	78,404
Rehabilitation services .....	105,113	106,164	107,226
Employment services status .....	38,134	38,515	38,900
Vocational/Educational counseling .....	15,173	15,325	15,478

WORKLOAD - Education

	2015 actual	2016 est.	2017 est.
Original claims .....	366,364	415,895	425,421
Adjustments/supplemental claims .....	3,811,042	4,205,161	4,301,475

For 2018, the Budget requests \$13,708,648,000 in advance appropriations for Readjustment Benefits. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113-235) and prevents our Nation's Veterans from being adversely affected by budget delays.

This appropriation finances educational assistance allowances for certain servicemembers, veterans, and for eligible dependents of those: (a) veterans who died from service-connected causes or have a total and permanent rated service-connected disability; and (b) servicemembers who were captured or missing in action. In addition, certain disabled veterans are provided with vocational rehabilitation, specially adapted housing grants, and automobile grants with the associated approved adaptive equipment. Voluntary contributions by eligible servicemembers and matching contributions provided by the Department of Defense are included in the Post-Vietnam Era Veterans Education Account.

*The Post 9-11 GI Bill (Chapter 33).*—Public Law 110-252 greatly expanded education benefits beginning on August 1, 2009. Based on length of active duty service and training rate, trainees may be entitled to benefits including: tuition and fees, housing allowance, books and supplies stipend,

kickers, and Yellow Ribbon matching payments. Certain active duty members of the Armed Forces may transfer benefits to a spouse or children.

*All volunteer force educational assistance (Montgomery GI Bill).*—Public Law 98-525, enacted October 19, 1984, established two new educational programs: an assistance program for veterans who enter active duty during the period beginning July 1, 1985; and an assistance program for certain members of the Selected Reserve. Public Law 108-375 established a program to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or the Congress, in recognition of the sacrifices that those members make in answering the call to duty. The Readjustment Benefit appropriation pays the basic benefit allowance for veterans, except for certain Post-Vietnam Era Veterans Education participants who transferred to the Montgomery GI Bill program. Supplementary educational assistance, Post-Vietnam Era Veterans Education converters, re-servists, and the National Call to Service Program are financed by payments from Department of Defense.

*Survivors and Dependents Educational Assistance (Chapter 35).*—Benefits are provided to children and spouses of veterans who died of a service-connected disability or whose service-connected disability is rated permanent and total. In addition, dependents of servicemembers missing in action or interred by a hostile foreign government for more than 90 days are also eligible. The following table provides a comparison of trainees and costs for the Dependents Educational Assistance.

*The Veterans Retraining Assistance Program.*—Established under Public Law 112-56, is a program that, from July 1, 2012 through March 31, 2014, provides up to 12 months of retraining assistance to veterans at least 35 years of age but not more than 60 years of age, who are unemployed, received an honorable discharge and have no eligibility remaining for other education benefits. Veterans participating in this program would receive monthly payments equal to the three-year payment rate under the Montgomery GI Bill (MGIB) chapter 30.

The following table shows a caseload and cost comparison for these beneficiaries under existing legislation.

CASELOAD AND AVERAGE COST DATA

	2015 actual	2016 est.	2017 est.
Chapter 33:			
Number of trainees .....	790,507	847,875	877,525
Average cost per trainee .....	\$14,167	\$14,490	\$14,803
Total cost (in millions) .....	\$11,199	\$12,285	\$12,990
Chapter 30:			
Number of trainees .....	61,403	52,716	43,980
Average cost per trainee .....	\$7,201	\$7,277	\$7,670
Total cost (in millions) .....	\$442	\$384	\$337
Chapter 1606:			
Number of trainees .....	63,030	59,863	57,837
Average cost per trainee .....	\$2,243	\$2,299	\$2,313
Total cost (in millions) .....	\$141	\$138	\$134
Chapter 1607:			
Number of trainees .....	9,965	7,884	6,747
Average cost per trainee .....	\$4,066	\$4,168	\$4,193
Total cost (in millions) .....	\$41	\$33	\$28
Chapter 35 Sons and Daughters:			
Number of trainees .....	76,931	78,471	80,119
Average cost per trainee (in dollars) .....	\$5,431	\$5,567	\$5,600
Total cost (in millions) .....	\$418	\$437	\$449
Chapter 35 Wives and Widow(ers):			
Number of trainees .....	14,824	14,349	14,584
Average cost per trainee (in dollars) .....	\$5,086	\$5,212	\$5,243
Total cost (in millions) .....	\$75	\$75	\$76
Veterans Retraining Assistance Program:			
Number of trainees .....	0		
Average cost per trainee .....	\$0		

Total cost (in millions) .....	\$3,016	\$0	\$0
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Obligations (in millions) .....	\$89	\$98	\$107
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**Vocational Rehabilitation and Employment (Chapter 31).**—Servicemembers and veterans with service-connected disabilities receive the assistance necessary to help them prepare for, obtain, and maintain suitable employment. Comprehensive assessments may include interest and aptitude testing as well as specialized assessments such as functional capacity examinations. During the training phase of the program, eligible servicemembers and veterans are provided assistance for necessary training such as tuition, fees, books and supplies at colleges, technical schools and other training programs. A veteran enrolled in training receives a monthly subsistence allowance. Eligible veterans may also receive specialized or adaptive equipment to help them overcome a disability or enable them to compete with non-disabled individuals. At the completion of training, veterans are provided with employment and placement services, including supplies and equipment needed to enter employment, adaptive equipment and workplace accommodations, incentives to employers to reimburse them for hiring and training veterans with disabilities, and two final months of subsistence allowance.

**Tuition Assistance.**—Public Law 106–398, enacted October 30, 2000, allows the military services to pay up to 100 percent of tuition and expenses charged by a school for servicemembers. If a service department pays less than 100 percent, a servicemember eligible for the Montgomery GI Bill Active-duty (MGIB) or the Post 9–11 GI Bill (Chapter 33) can elect to receive VA benefits for all or a portion of the remaining expenses. Public Law 108–454 established a program that provides availability of education benefits for payment for national admissions exams and national exams for credit at institutions of higher education.

**The National Exams.**—The benefit allows VA to reimburse for the fee charged for national tests for admission to institutions of higher learning and national tests providing an opportunity for course credit at institutions of higher learning.

**Licensing and Certification Test Payments.**—Under Public Law 106–419, veterans and other eligible persons may receive up to \$2,000 to pay fees required for civilian occupational licensing and certification examinations needed to enter, maintain, or advance in employment in a vocation or profession, effective March 1, 2001.

**National Call to Service.**—The 2003 National Defense Authorization Act directs the Department of Defense to offer an active duty enlistment option of 15 months plus training time to facilitate interest in National Service. Program participants will be given the opportunity to select one of the following incentives: a \$5,000 enlistment bonus, repayment of student loans up to \$18,000, or one of two education allowances.

**Work-Study.**—Certain veterans, reservists, and dependents pursuing a program of rehabilitation, education or training, who are enrolled as full-time students, can work up to 250 hours per semester, receiving the Federal (\$7.25 as of July 24, 2009) or state minimum wage rate, whichever is higher.

**Payments to States.**—State approving agencies are reimbursed for the costs of inspecting, approving, and supervising programs of education and training offered by educational institutions and training establishments in which veterans, dependents, and reservists are enrolled or are about to enter.

**Reporting Fees.**—Reporting fees are paid to education and training institutions to help defray the costs of certifying education enrollment for veterans enrolled in training during a calendar year.

**CASELOAD AND AVERAGE COST DATA**

	2015 actual	2016 est.	2017 est.
Chapter 31:			
Rehabilitation, Evaluation, Planning and Service cases .....	26,919	27,629	28,771
Number of trainees .....	104,728	107,491	111,933
Average cost per trainee (in dollars) .....	\$10,920	\$12,603	\$12,930
Total cost (in millions) .....	\$1,144	\$1,355	\$1,447

**Specially Adapted Housing Grants.**—Specially adapted housing grants are provided to certain severely disabled veterans. In 2016, the maximum grant amount was \$73,768. Veterans who suffer service-connected blindness or who have lost the use of both upper extremities can receive up to \$14,754 in 2016.

**Specially Adapted Housing Assistive Technology Grants.**—Under the Veterans Benefits Act of 2010, (Public Law 111–275), VA may provide grants of up to \$200,000 per fiscal year to individuals or entities for the development of specially adapted housing assistive technologies and limits to \$1 million the aggregate amount of such grants VA may award in any fiscal year.

**Automobile Grants and Adaptive Equipment.**—Certain disabled veterans are provided with automobile grants with the associated approved adaptive equipment. An allowance is provided to certain service-disabled veterans and servicemembers toward the purchase price of an automobile. The maximum allowance increased to \$20,114.34 in 2015, under The Veterans Benefits Act of 2010, (Public Law 111–275) and will continue to increase based on the CPI-U. Adaptive equipment and the maintenance and replacement of such equipment is also provided.

**CASELOAD AND AVERAGE COST DATA**

	2015 actual	2016 est.	2017 est.
Housing grants:			
Number of housing grants .....	2,037	2,366	2,389
Average cost per grant .....	\$49,620	\$49,815	\$52,177
Total cost (in millions) .....	\$101	\$118	\$125
Number of housing technology grants .....	0	5	0
Average cost per grant .....	\$0	\$200,000	\$0
Total cost (in millions) .....	\$0	\$1	\$0
Automobiles or other conveyances:			
Number of conveyances .....	2,406	2,426	2,426
Average benefit .....	\$19,688	\$21,984	\$24,547
Obligations (in millions) .....	\$47	\$53	\$60
Adaptive equipment (including maintenance, repair, and installation for automobiles):			
Number of items .....	8,524	8,950	9,398
Average benefit .....	\$10,398	\$10,896	\$11,417

**Object Classification (in millions of dollars)**

Identification code 036–0137–0–1–702	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	13,483	14,803	15,593
99.0 Reimbursable obligations .....	337	342	321
99.9 Total new obligations .....	13,820	15,145	15,914

**READJUSTMENT BENEFITS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 036–0137–4–1–702	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Adjustments to the Education Program .....			–3
0102 Improvements to the Vocational Rehabilitation Program .....			–40
0103 Improvements to the Housing Grant Program .....			2
0104 Adjustments to the Adaptive Equipment Program .....			13
0900 Total new obligations (object class 41.0) .....			–28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			–28
3020 Outlays (gross) .....			28

READJUSTMENT BENEFITS—Continued  
Program and Financing—Continued

Identification code 036-0137-4-1-702	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....			-28
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-28

VETERANS INSURANCE AND INDEMNITIES

For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by chapters 19 and 21, title 38, United States Code, **[\$169,080,000]** \$124,504,000, to remain available until expended, of which **[\$91,920,000]** \$107,899,000 shall become available on October 1, **[2016]** 2017. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 036-0120-0-1-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 VMLI Death Claims .....	32	36	36
0012 Payment to Service-Disabled Veterans Insurance .....	36	48	78
0100 Total direct expenses .....	68	84	114
0900 Total new obligations .....	68	84	114

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	63	77	17
Advance appropriations, mandatory:			
1270 Advance appropriation .....			92
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	5	5
1900 Budget authority (total) .....	68	82	114
1930 Total budgetary resources available .....	70	84	114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	1
3010 Obligations incurred, unexpired accounts .....	68	84	114
3020 Outlays (gross) .....	-68	-85	-114
3050 Unpaid obligations, end of year .....	2	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	1
3200 Obligated balance, end of year .....	2	1	1

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	68	82	114
Outlays, gross:			
4100 Outlays from new mandatory authority .....	65	82	114
4101 Outlays from mandatory balances .....	3	3	
4110 Outlays, gross (total) .....	68	85	114
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-5	-5	-5
4180 Budget authority, net (total) .....	63	77	109
4190 Outlays, net (total) .....	63	80	109

WORKLOAD

	2015 actual	2016 est.	2017 est.
Policy service actions .....	932,461	916,989	885,051
Collections .....	599,860	528,000	467,300
Disability claims .....	36,220	53,270	48,200
Insurance awards .....	207,551	206,400	195,190

For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by chapters 19 and 21, title 38, United States Code \$124,504,000, to remain available until expended, of which \$107,899,000 shall become available on October 1, 2017. Public Law 114-113, Consolidated Appropriations Act, 2016 on December 18, 2015, enacted associated advance 2017 appropriations of \$91,920,000 that shall become available on October 1, 2016.

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

The insurance business line administers six life insurance programs, including two trust funds, two public enterprise funds, a trust revolving fund, and Veterans' Mortgage Life Insurance (VMLI), and supervises four additional programs for the benefit of servicepersons, veterans, and their beneficiaries through contracts with a commercial company. All programs are operated on a commercial basis, to the extent possible, consistent with all applicable statutes. The insurance appropriation is the supplemental funding mechanism for the following Government life insurance activities: National Service Life Insurance (NSLI); Service-Disabled Veterans Insurance Fund (S-DVI); and Veterans' Mortgage Life Insurance.

National Service Life Insurance.—Payments are made to the NSLI fund for certain World War II veterans for: (a) extra hazards of service; (b) gratuitous insurance granted to certain persons unable to apply for National Service Life Insurance; and (c) death claims on policies under the waiver of a premium while the insured was on active duty.

Payment to Service-Disabled Veterans Insurance Fund.—Payments are made to the S-DVI fund to supplement the premiums and other receipts of the fund in amounts necessary to pay claims on insurance policies issued to veterans with service-connected disabilities.

Veterans' Mortgage Life Insurance.—Payments are made to mortgage holders under this program, which provides mortgage protection life insurance to veterans who have received a grant for specially adapted housing due to severe disabilities. The trend in the number and amount of insurance policies in force appears in the following table.

POLICIES AND INSURANCE IN FORCE

VMLI Policies	2015 actual	2016 est.	2017 est.
Number of Policies .....	2,567	2,590	2,610
Amount of Insurance (dollars in millions) .....	\$332	\$346	\$358

Object Classification (in millions of dollars)

Identification code 036-0120-0-1-701	2015 actual	2016 est.	2017 est.
42.0 Direct obligations: Insurance claims and indemnities .....	68	81	111
99.0 Reimbursable obligations .....		3	3
99.9 Total new obligations .....	68	84	114

FILIPINO VETERANS EQUITY COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 036-1121-0-1-701	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	56	56
1930 Total budgetary resources available .....	56	56	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	56	56	56
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This fund was established under the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 (Public Law 110-329), to make payments to eligible persons who served in the Philippines during World War II. Payments were subsequently authorized by Congress in the American Recovery and Reinvestment Act of 2009 (Public Law 111-5).

Original funding of \$198,000,000 was supplemented by a transfer of \$67,000,000 authorized by Public Law 111–212 that remains available until expended. Payments to citizens of the United States are \$15,000. Payments to non-U.S. citizens are \$9,000.

SERVICE-DISABLED VETERANS INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-4012-0-3-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Capital investment .....	24	25	26
0802 Death claims .....	104	111	117
0803 All other .....	7	7	7
0804 Payments to GOE and IT .....	11	14	15
0900 Total new obligations .....	146	157	165
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	30	11
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	126	138	165
1930 Total budgetary resources available .....	176	168	176
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	11	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	18	17
3010 Obligations incurred, unexpired accounts .....	146	157	165
3020 Outlays (gross) .....	-145	-158	-159
3050 Unpaid obligations, end of year .....	18	17	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	18	17
3200 Obligated balance, end of year .....	18	17	23
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	126	138	165
Outlays, gross:			
4100 Outlays from new mandatory authority .....	90	138	165
4101 Outlays from mandatory balances .....	55	20	-6
4110 Outlays, gross (total) .....	145	158	159
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-36	-48	-78
4123 Non-Federal sources .....	-90	-5	-5
4123 Non-Federal sources .....	-65	-61	-61
4123 Non-Federal sources .....	-20	-21	-21
4130 Offsets against gross budget authority and outlays (total) ....	-126	-138	-165
4170 Outlays, net (mandatory) .....	19	20	-6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	19	20	-6

The Insurance Act of 1951 established the Service-Disabled Veterans Insurance (S-DVI) program for veterans with service-connected disabilities. S-DVI is open to veterans who separated from the service on or after April 25, 1951. This fund finances the payment of claims on existing life insurance policies and remains open for new issues at standard rates to veterans having service-connected disabilities.

OPERATING COSTS

*Death claims.*—Represents payments to designated beneficiaries.

*All other.*—Represents payments to policyholders who surrender their policies for their cash value and hold endowment policies which have matured.

*Capital investment.*—A policyholder may borrow up to 94 percent of the value of his or her policy.

*Administration.*—Represents the administrative costs of claims processing and account maintenance.

The trend in the number and amount of policies in force is indicated in the following table.

POLICIES AND INSURANCE IN FORCE

	2015 actual	2016 est.	2017 est.
Number of policies (EOY) .....	266,840	273,120	278,780
Insurance in force (dollars in millions) (EOY) .....	\$2,794	\$2,865	\$2,945

*Financing.*—Operations are financed from premiums and other receipts. Additional funds are received by transfer from the Veterans Insurance and Indemnities appropriation, instead of direct appropriations to this fund.

*Operating results and financial condition.*—Since premium and other receipts are insufficient to cover operations, the fund continues to project liabilities in excess of assets. The deficit is expected to reach an estimated \$ 1,399 million by September 30, 2017. The expected deficit is financed by additional funds from the above-mentioned Veterans Insurance and Indemnities appropriations.

Object Classification (in millions of dollars)

Identification code 036-4012-0-3-701	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
33.0 Investments and loans .....	42	46	50
42.0 Insurance claims and indemnities .....	104	111	115
99.9 Total new obligations .....	146	157	165

VETERANS REOPENED INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-4010-0-3-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Death claims .....	28	26	22
0802 Dividends .....	3	2	2
0803 All other .....	3	4	4
0804 Capital investment: policy loans .....	1	1	1
0900 Total new obligations .....	35	33	29
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	164	139	116
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	10	10	8
1930 Total budgetary resources available .....	174	149	124
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	139	116	95
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	33	28
3010 Obligations incurred, unexpired accounts .....	35	33	29
3020 Outlays (gross) .....	-39	-38	-33
3050 Unpaid obligations, end of year .....	33	28	24
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35	31	26
3200 Obligated balance, end of year .....	31	26	22
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	10	8
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	10	8
4101 Outlays from mandatory balances .....	29	28	25
4110 Outlays, gross (total) .....	39	38	33
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-9	-7	-5
4123 Non-Federal sources .....	-1	-3	-3
4130 Offsets against gross budget authority and outlays (total) ....	-10	-10	-8
4170 Outlays, net (mandatory) .....	29	28	25
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	29	28	25

VETERANS REOPENED INSURANCE FUND—Continued  
Program and Financing—Continued

Identification code 036-4010-0-3-701	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	199	170	142
5001 Total investments, EOY: Federal securities: Par value .....	170	142	117

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund pays claims and administrative costs on participating life insurance policies issued during the period May 1, 1965, through May 2, 1966, under three life insurance programs: 1) service-disabled standard insurance; 2) service-disabled rated insurance; and 3) nonservice-disabled insurance availing disabled World War II and Korean conflict veterans an opportunity to acquire life insurance coverage who were no longer eligible for other government insurance.

*Budget program:*

*Death claims.*—Represents payments to designated beneficiaries.

*Dividends.*—Policyholders participate in the distribution of annual dividends.

*All other.*—This represents resources for the administrative costs of processing claims and maintaining the accounts, and to those policyholders who: (a) surrender their policies for cash value; (b) hold endowment policies which have matured; and (c) have purchased total disability income coverage and subsequently become disabled.

*Policy loans made.*—A policyholder may borrow up to 94 percent of the cash value of his policy at an interest rate adjusted to reflect private sector borrowing costs.

The following table reflects the decrease in the number of policies and the amount of insurance in force:

**POLICIES AND INSURANCE IN FORCE**

	2015 actual	2016 est.	2017 est.
Number of policies .....	14,515	11,935	9,705
Insurance in force (dollars in millions) .....	\$154	\$130	\$106

*Financing.*—Operations are financed from premiums collected from policyholders and interest on investments. Excess earnings of the fund are distributed to the policyholders in the form of an annual dividend.

**Object Classification** (in millions of dollars)

Identification code 036-4010-0-3-701	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
33.0 Investments and loans .....	4	1	1
42.0 Insurance claims and indemnities .....	28	29	25
43.0 Interest and dividends .....	3	3	3
99.9 Total new obligations .....	35	33	29

SERVICEMEMBERS' GROUP LIFE INSURANCE FUND

**Program and Financing** (in millions of dollars)

Identification code 036-4009-0-3-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Premium payments .....	765	796	792
0802 Payments to carrier .....	9	6	.....
0803 Payment to GOE .....	3	3	3
0900 Total new obligations (object class 41.0) .....	777	805	795

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	777	805	795
1930 Total budgetary resources available .....	778	806	796

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	.....	.....
3010 Obligations incurred, unexpired accounts .....	777	805	795
3020 Outlays (gross) .....	-783	-805	-795
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	.....	.....

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	777	805	795
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	777	805	795
4101 Outlays from mandatory balances .....	6	.....	.....
4110 Outlays, gross (total) .....	783	805	795
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-777	-805	-795
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	6	.....	.....

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1	1	1
5001 Total investments, EOY: Federal securities: Par value .....	1	1	1

This fund finances the payment of group life insurance premiums to private insurance companies under the Servicemembers' Group Life Insurance (SGLI) Act of 1965, as amended. SGLI is a program for Servicemembers on active duty, ready reservists, members of the National Guard, members of the Commissioned Corps of the National Oceanic and Atmospheric Administration and the Public Health Service, cadets and midshipmen of the four service academies, and members of the Reserve Officer Training Corps. SGLI coverage is available in \$50,000 increments up to the maximum of \$400,000. Veterans' Group Life Insurance (VGLI) is a program of post-separation insurance which allows Servicemembers to convert their SGLI coverage to renewable term insurance. Family Servicemembers' Group Life Insurance (FSGLI) is a program extended to the spouses and dependent children of members insured under the SGLI program. FSGLI provides up to a maximum of \$100,000 of insurance coverage for spouses, not to exceed the amount of SGLI the insured member has in force, and \$10,000 of free coverage for dependent children. Spousal coverage is issued in increments of \$10,000.

The Servicemembers' Group Life Insurance Traumatic Injury Protection Program (TSGLI) became effective December 1, 2005. TSGLI provides for payment between \$25,000 and \$100,000 (depending on the type of injury) to any member of the uniformed services covered by SGLI who sustains a traumatic injury that results in certain serious losses.

VETERANS HOUSING BENEFIT PROGRAM FUND

For the cost of direct and guaranteed loans, such sums as may be necessary to carry out the program, as authorized by subchapters I through III of chapter 37 of title 38, United States Code: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That, during fiscal year [2016] 2017, within the resources available, not to exceed \$500,000 in gross obligations for direct loans are authorized for specially adapted housing loans.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, [ \$164,558,000 ] \$198,856,400. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 036-1119-0-1-704	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0702 Loan guarantee subsidy .....	405	346	584
0705 Reestimates of direct loan subsidy .....	4	24	.....
0706 Interest on reestimates of direct loan subsidy .....	3	12	.....
0707 Reestimates of loan guarantee subsidy .....	390	382	.....

0708	Interest on reestimates of loan guarantee subsidy .....	63	91	.....
0709	Administrative expenses .....	159	165	199
0900	Total new obligations .....	1,024	1,020	783
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	.....
1022	Capital transfer of unobligated balances to general fund .....		-1	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	161	165	199
Appropriations, mandatory:				
1200	Appropriation .....	864	855	584
1900	Budget authority (total) .....	1,025	1,020	783
1930	Total budgetary resources available .....	1,025	1,020	783
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1		.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1,024	1,020	783
3020	Outlays (gross) .....	-1,024	-1,020	-783
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	161	165	199
Outlays, gross:				
4010	Outlays from new discretionary authority .....	160	165	199
Mandatory:				
4090	Budget authority, gross .....	864	855	584
Outlays, gross:				
4100	Outlays from new mandatory authority .....	864	855	584
4180	Budget authority, net (total) .....	1,025	1,020	783
4190	Outlays, net (total) .....	1,024	1,020	783

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 036-1119-0-1-704	2015 actual	2016 est.	2017 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Acquired Direct Loans .....	7	7	9
115004	Vendee Direct Loans .....	1	291	392
115999	Total direct loan levels .....	8	298	401
Direct loan subsidy (in percent):				
132001	Acquired Direct Loans .....	-5.06	1.71	1.92
132004	Vendee Direct Loans .....	-20.79	-25.58	-23.58
132999	Weighted average subsidy rate .....	-7.03	-24.94	-23.01
Direct loan subsidy budget authority:				
133004	Vendee Direct Loans .....		-74	-92
133999	Total subsidy budget authority .....		-74	-92
Direct loan subsidy outlays:				
134004	Vendee Direct Loans .....		-74	-92
134999	Total subsidy outlays .....		-74	-92
Direct loan reestimates:				
135001	Acquired Direct Loans .....	-9	11	.....
135004	Vendee Direct Loans .....	3	9	.....
135005	Acquired and Vendee Loan Reestimates .....	-16	16	.....
135999	Total direct loan reestimates .....	-22	36	.....
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Housing Guaranteed Loans .....	149,822	138,275	114,493
215999	Total loan guarantee levels .....	149,822	138,275	114,493
Guaranteed loan subsidy (in percent):				
232001	Housing Guaranteed Loans .....	0.27	0.25	0.51
232999	Weighted average subsidy rate .....	0.27	0.25	0.51
Guaranteed loan subsidy budget authority:				
233001	Housing Guaranteed Loans .....	405	346	584
233999	Total subsidy budget authority .....	405	346	584
Guaranteed loan subsidy outlays:				
234001	Housing Guaranteed Loans .....	405	345	584
234999	Total subsidy outlays .....	405	345	584
Guaranteed loan reestimates:				
235001	Housing Guaranteed Loans .....	218	186	.....
235002	Guaranteed Loan Sale Securities—Vendee .....	-3	-24	.....
235999	Total guaranteed loan reestimates .....	215	162	.....
Administrative expense data:				
3510	Budget authority .....	161	165	199

3590	Outlays from new authority .....	161	165	199
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*Veterans Affairs (VA) Housing Program Account.*—The housing program helps eligible veterans, active duty personnel, surviving spouses, and members of the Reserves and National Guard purchase, retain, and adapt homes in recognition of their service to the Nation. When a borrower purchases a home, the program operates by substituting the Federal Government's guaranty for a down payment that might otherwise be required.

Under 38 U.S.C. 3703, the guaranty amount for a borrower with full entitlement (first-time users of the program or users whose entitlement is fully restored) is as follows:

- (a) 50 percent for loans of \$45,000 or less;
- (b) \$22,500 for loans greater than \$45,000, but no more than \$56,250;
- (c) The lesser of \$36,000 or 40 percent of the loan amount for loans greater than \$56,250, but not more than \$144,000;
- (d) 25 percent of the loan amount for loans of \$144,001 to \$417,000; or
- (e) For certain loans in excess of \$417,000, the guaranty will be the lesser of: 25 percent of the county loan limit, or 25 percent of the loan.

This appropriation provides for the corporate leadership and operational support to VA's Housing business line. The Housing Program facilitates the extension of private capital, on more liberal terms than generally available to nonveterans, to assist veterans and servicemembers in obtaining housing credit, and assist veterans in retaining their homes during periods of temporary economic difficulty through intensive supplemental mortgage loan servicing.

*Guaranteed transitional housing loans for homeless veterans.*—Established as a pilot project by the Veterans Benefits Improvement Act of 1998, Public Law 105-368, this program does not require any new loan subsidy funding. The program has originated no new loans since 2009. The program was canceled in 2012. The existing loan will continue to be serviced within the program's financing account.

**WORKLOAD**

	2015 actual	2016 est.	2017 est.
[In thousands]			
Construction and valuation .....	567	487	386
Loan processing .....	1,573	1,350	1,071
Loan service and claims .....	140	155	171

**Object Classification** (in millions of dollars)

Identification code 036-1119-0-1-704	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	160	165	199
41.0	Grants, subsidies, and contributions .....	864	855	584
99.9	Total new obligations .....	1,024	1,020	783

**HOUSING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 036-4127-0-3-704	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0003	Property sales expense .....	1	1	1
0004	Property management/other expense .....	4	3	3
0091	Direct program activities, subtotal .....	5	4	4
Credit program obligations:				
0710	Direct loan obligations .....	8	298	401
0713	Payment of interest to Treasury .....	39	216	248
0740	Negative subsidy obligations .....		74	92
0742	Downward reestimate paid to receipt account .....	18		
0743	Interest on downward reestimates .....	12	1	
0791	Direct program activities, subtotal .....	77	589	741
0900	Total new obligations .....	82	593	745

**HOUSING DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 036-4127-0-3-704	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	93	94	.....
1023 Unobligated balances applied to repay debt .....	-87	-94	.....
1050 Unobligated balance (total) .....	6	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	457	593	745
1422 Borrowing authority applied to repay debt .....	-360	.....	.....
1440 Borrowing authority, mandatory (total) .....	97	593	745
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	101	90	74
1825 Spending authority from offsetting collections applied to repay debt .....	-28	-90	-74
1850 Spending auth from offsetting collections, mand (total) .....	73	.....	.....
1900 Budget authority (total) .....	170	593	745
1930 Total budgetary resources available .....	176	593	745
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	94	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	6
3010 Obligations incurred, unexpired accounts .....	82	593	745
3020 Outlays (gross) .....	-82	-588	-743
3050 Unpaid obligations, end of year .....	1	6	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	6
3200 Obligated balance, end of year .....	1	6	8
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	170	593	745
Financing disbursements:			
4110 Outlays, gross (total) .....	82	588	743
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-7	-36	.....
4120 Reimbursements from DLFA .....	.....	-1	-1
4122 Interest on uninvested funds .....	-18	.....	.....
4123 Interest and principal received on loans .....	-67	-46	-67
4123 Cash sale of properties .....	-9	-7	-6
4130 Offsets against gross budget authority and outlays (total) ....	-101	-90	-74
4160 Budget authority, net (mandatory) .....	69	503	671
4170 Outlays, net (mandatory) .....	-19	498	669
4180 Budget authority, net (total) .....	69	503	671
4190 Outlays, net (total) .....	-19	498	669

**Status of Direct Loans (in millions of dollars)**

Identification code 036-4127-0-3-704	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	8	298	401
1150 Total direct loan obligations .....	8	298	401
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	452	409	681
1231 Disbursements: Direct loan disbursements .....	8	298	401
1251 Repayments: Repayments and prepayments .....	-49	-20	-24
1263 Write-offs for default: Direct loans .....	-2	-6	-4
1290 Outstanding, end of year .....	409	681	1,054

**Balance Sheet (in millions of dollars)**

Identification code 036-4127-0-3-704	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	93	94
Investments in US securities:		
1106 Receivables, net .....	5	16
1206 Non-Federal assets: Receivables, net .....	4	4

Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	452	409
1402 Interest receivable .....	17	19
1404 Foreclosed property .....	27	25
1405 Allowance for subsidy cost .....	57	57
1499 Net present value of assets related to direct loans .....	553	510
1901 Other Federal assets: Other assets .....	1	1
1999 Total assets .....	656	625
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	624	605
2105 Other .....	27	13
Non-Federal liabilities:		
2201 Accounts payable .....	.....	1
2207 Other .....	5	6
2999 Total liabilities .....	656	625
4999 Total liabilities and net position .....	656	625

**HOUSING GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 036-4129-0-3-704	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Losses on defaulted loans .....	965	1,366	1,575
0005 Payment to trustee reserve .....	23	20	19
0009 Property sales expense .....	119	153	176
0010 Property management expense .....	118	142	163
0011 Property improvement expense .....	14	5	5
0012 Loans acquired .....	7	9	10
0091 Direct program activities, subtotal .....	1,246	1,695	1,948
Credit program obligations:			
0711 Default claim payments on principal .....	1,515	2,058	2,371
0742 Downward reestimate paid to receipt account .....	190	252	.....
0743 Interest on downward reestimates .....	48	58	.....
0791 Direct program activities, subtotal .....	1,753	2,368	2,371
0900 Total new obligations .....	2,999	4,063	4,319
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,429	8,726	9,655
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4,296	4,992	5,298
1900 Budget authority (total) .....	4,296	4,992	5,298
1930 Total budgetary resources available .....	11,725	13,718	14,953
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8,726	9,655	10,634
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	340	317	76
3010 Obligations incurred, unexpired accounts .....	2,999	4,063	4,319
3020 Outlays (gross) .....	-3,022	-4,304	-4,307
3050 Unpaid obligations, end of year .....	317	76	88
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	340	317	76
3200 Obligated balance, end of year .....	317	76	88
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4,296	4,992	5,298
Financing disbursements:			
4110 Outlays, gross (total) .....	3,022	4,304	4,307
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....	-857	-819	-584
4120 Recoveries from DLFA .....	-8	-300	-403
4122 Interest on uninvested funds .....	-189	-642	-690
4123 Funding fees .....	-1,821	-1,581	-1,397
4123 Cash sale of properties .....	-1,404	-1,650	-2,224
4123 Redemption of Properties/Other income and receivables .....	-17	.....	.....
4130 Offsets against gross budget authority and outlays (total) ....	-4,296	-4,992	-5,298
4170 Outlays, net (mandatory) .....	-1,274	-688	-991
4180 Budget authority, net (total) .....	.....	.....	.....

4190	Outlays, net (total)	-1,274	-688	-991
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**Status of Guaranteed Loans** (in millions of dollars)

Identification code 036-4129-0-3-704	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority	149,822	138,275	114,493
2150	Total guaranteed loan commitments	149,822	138,275	114,493
2199	Guaranteed amount of guaranteed loan commitments	41,351	38,164	31,600
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	397,893	462,079	553,888
2231	Disbursements of new guaranteed loans	149,822	138,275	114,493
2251	Repayments and prepayments	-83,130	-43,013	-49,311
Adjustments:				
2262	Terminations for default that result in acquisition of property	-1,515	-2,058	-2,371
2263	Terminations for default that result in claim payments	-991	-1,395	-1,604
2290	Outstanding, end of year	462,079	553,888	615,095
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	125,664	149,001	164,401

**Balance Sheet** (in millions of dollars)

Identification code 036-4129-0-3-704	2014 actual	2015 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	7,769	9,043
Investments in US securities:			
1106	Receivables, net	633	406
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1504	Accounts receivable from foreclosed property	20	14
1504	Foreclosed property	817	866
1599	Net present value of assets related to defaulted guaranteed loans	837	880
1999	Total assets	9,239	10,329
LIABILITIES:			
Federal liabilities:			
2103	Debt		
2105	Other liabilities	219	333
Non-Federal liabilities:			
2201	Accounts payable	340	317
2204	Non-federal liabilities for loan guarantees	8,680	9,679
2999	Total liabilities	9,239	10,329
4999	Total liabilities and net position	9,239	10,329

**HOUSING LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 036-4025-0-3-704	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0105	Capital investments, guaranteed claims payment and other operating expenses	1	1	1
0900	Total new obligations (object class 32.0)	1	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	
1022	Capital transfer of unobligated balances to general fund	-1	-1	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	15	13	11
1820	Capital transfer of spending authority from offsetting collections to general fund	-13	-12	-10
1850	Spending auth from offsetting collections, mand (total)	2	1	1
1930	Total budgetary resources available	2	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	
3010	Obligations incurred, unexpired accounts	1	1	1
3020	Outlays (gross)	-1	-3	-1
3050	Unpaid obligations, end of year	2		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	
3200	Obligated balance, end of year	2		

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross	2	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1	2	
4110	Outlays, gross (total)	1	3	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Loan repayments and prepayments	-2	-1	-1
4123	Sale of homes, cash	-2	-2	-1
4123	Interest collection on Veteran liability debts	-4		
4123	Principal collection on Veteran liability debts	-7	-3	-3
4123	Non-Federal sources		-7	-6
4130	Offsets against gross budget authority and outlays (total)	-15	-13	-11
4160	Budget authority, net (mandatory)	-13	-12	-10
4170	Outlays, net (mandatory)	-14	-10	-10
4180	Budget authority, net (total)	-13	-12	-10
4190	Outlays, net (total)	-14	-10	-10

**Memorandum (non-add) entries:**

5010	Total investments, SOY: non-Fed securities: Market value	140	140	140
5011	Total investments, EOY: non-Fed securities: Market value	140	140	140

**Status of Direct Loans** (in millions of dollars)

Identification code 036-4025-0-3-704	2015 actual	2016 est.	2017 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	5	4	3
1251	Repayments: Repayments and prepayments	-1	-1	-1
1290	Outstanding, end of year	4	3	2

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 036-4025-0-3-704	2015 actual	2016 est.	2017 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	237	148	92
2251	Repayments and prepayments	-88	-55	-34
2262	Adjustments: Terminations for default that result in acquisition of property	-1	-1	-1
2290	Outstanding, end of year	148	92	57
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	147	38	24

**Addendum:**

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	28	22	15
2351	Repayments of loans receivable	-6	-7	-7
2364	Other adjustments, net			
2390	Outstanding, end of year	22	15	8

**Balance Sheet** (in millions of dollars)

Identification code 036-4025-0-3-704	2014 actual	2015 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	3	3
Non-Federal assets:			
1201	Investments in non-Federal securities, net	140	140
1206	Receivables, net	1	1
1601	Direct loans, gross	5	4
1602	Interest receivable	39	38
1603	Allowance for estimated uncollectible loans and interest (-)	-28	-26
1699	Value of assets related to direct loans	16	16

**HOUSING LIQUIDATING ACCOUNT—Continued**  
**Balance Sheet—Continued**

Identification code 036-4025-0-3-704	2014 actual	2015 actual
1701 Defaulted guaranteed loans, gross .....	28	22
1703 Allowance for estimated uncollectible loans and interest (-) .....	-1	-1
1704 Defaulted guaranteed loans and interest receivable, net .....	27	21
1706 Foreclosed property .....	3	2
1799 Value of assets related to loan guarantees .....	30	23
1999 Total assets .....	190	183
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	2	2
2204 Liabilities for loan guarantees .....	188	181
2999 Total liabilities .....	190	183
4999 Total liabilities and net position .....	190	183

**NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT**

For administrative expenses to carry out the direct loan program authorized by subchapter V of chapter 37 of title 38, United States Code, **[\$1,134,000] \$1,162,800.** (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**VOCATIONAL REHABILITATION LOANS PROGRAM ACCOUNT**

For the cost of direct loans, **[\$31,000] \$36,000**, as authorized by chapter 31 of title 38, United States Code: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That funds made available under this heading are available to subsidize gross obligations for the principal amount of direct loans not to exceed **[\$2,952,000] \$2,517,000.**

In addition, for administrative expenses necessary to carry out the direct loan program, **[\$367,000] \$388,800**, which may be paid to the appropriation for "General Operating Expenses, Veterans Benefits Administration". (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 036-1120-0-1-704	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	1	1	.....
0709 Administrative expenses .....	1	2	2
0900 Total new obligations .....	2	3	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	2	2
Appropriations, mandatory:			
1200 Appropriation .....	1	1	.....
1900 Budget authority (total) .....	2	3	2
1930 Total budgetary resources available .....	4	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	3	2
3020 Outlays (gross) .....	-2	-3	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	2
Mandatory:			
4090 Budget authority, gross .....	1	1	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	.....
4180 Budget authority, net (total) .....	2	3	2

4190 Outlays, net (total) .....	2	3	2
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**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 036-1120-0-1-704	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Native American Direct Loans .....	4	13	13
115003 Vocational Rehabilitation .....	2	3	3
115999 Total direct loan levels .....	6	16	16
Direct loan subsidy (in percent):			
132002 Native American Direct Loans .....	-17.04	-18.08	-18.62
132003 Vocational Rehabilitation .....	0.36	1.05	1.43
132999 Weighted average subsidy rate .....	-11.24	-14.49	-14.86
Direct loan subsidy budget authority:			
133002 Native American Direct Loans .....	-1	-2	-2
133999 Total subsidy budget authority .....	-1	-2	-2
Direct loan subsidy outlays:			
134002 Native American Direct Loans .....	-2	-2	-2
134999 Total subsidy outlays .....	-2	-2	-2
Direct loan reestimates:			
135002 Native American Direct Loans .....	1	1	.....
135999 Total direct loan reestimates .....	1	1	.....
Administrative expense data:			
3510 Budget authority .....	1	2	2
3590 Outlays from new authority .....	1	2	2

The Native American Veterans Housing Loan program provides direct loans to veterans living on trust lands under 38 U.S.C. chapter 37, section 3761. These loans are available to purchase, construct, or improve homes to be occupied as the veteran's residence. This program began as a pilot in 1993 and was made permanent on June 15, 2006, through Public Law 109-233.

The Vocational Rehabilitation Loan Program provides temporary loans to cover the costs of subsistence, tuition, books, supplies, and equipment in conjunction with service-connected disability benefits provided to veterans participating in VA's Vocational Rehabilitation and Counseling Program as authorized by chapter 31 of title 38, United States Code. Repayment of these loans is made in monthly installments, without interest, through deductions from future payments of compensation, pension, subsistence allowance, educational assistance allowance, or retired pay.

**Object Classification** (in millions of dollars)

Identification code 036-1120-0-1-704	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	2	2
41.0 Grants, subsidies, and contributions .....	1	1	.....
99.9 Total new obligations .....	2	3	2

**NATIVE AMERICAN DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 036-4130-0-3-704	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	4	12	13
0713 Payment of interest to Treasury .....	3	.....	.....
0740 Negative subsidy obligations .....	1	2	2
0900 Total new obligations .....	8	14	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	2
1023 Unobligated balances applied to repay debt .....	-1	-3	-2
1050 Unobligated balance (total) .....	1	.....	.....

Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	9	11	11
1422	Borrowing authority applied to repay debt .....	-2		
1440	Borrowing authority, mandatory (total) .....	7	11	11
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	6	10	10
1825	Spending authority from offsetting collections applied to repay debt .....	-3	-5	-6
1850	Spending auth from offsetting collections, mand (total) .....	3	5	4
1900	Budget authority (total) .....	10	16	15
1930	Total budgetary resources available .....	11	16	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	2	

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	
3010	Obligations incurred, unexpired accounts .....	8	14	15
3020	Outlays (gross) .....	-8	-15	-15
3050	Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	
3200	Obligated balance, end of year .....	1		

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	10	16	15
Financing disbursements:				
4110	Outlays, gross (total) .....	8	15	15
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-1	
4123	Non-federal sources - Repayments and prepayments of principal .....	-3	-6	-7
4123	Non-Federal sources - Interest received on loans .....	-2	-3	-3
4130	Offsets against gross budget authority and outlays (total) ....	-6	-10	-10
4160	Budget authority, net (mandatory) .....	4	6	5
4170	Outlays, net (mandatory) .....	2	5	5
4180	Budget authority, net (total) .....	4	6	5
4190	Outlays, net (total) .....	2	5	5

**Status of Direct Loans (in millions of dollars)**

Identification code 036-4130-0-3-704				
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	4	12	13
1150	Total direct loan obligations .....	4	12	13
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	59	60	66
1231	Disbursements: Direct loan disbursements .....	4	12	13
1251	Repayments: Repayments and prepayments .....	-3	-6	-7
1290	Outstanding, end of year .....	60	66	72

**Balance Sheet (in millions of dollars)**

Identification code 036-4130-0-3-704				
ASSETS:				
Federal assets:				
1101	Fund balances with Treasury .....	3		5
Investments in US securities:				
1106	Receivables, net .....	1		1
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross .....	59		60
1402	Interest receivable .....	2		2
1405	Other assets .....	3		3
1499	Net present value of assets related to direct loans .....	64		65
1999	Total assets .....	68		71
LIABILITIES:				
Federal liabilities:				
2103	Federal liabilities debt .....	67		70
2105	Other liabilities .....	1		1
2999	Total liabilities .....	68		71

4999	Total liabilities and net position .....	68		71
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**TRANSITIONAL HOUSING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 036-4258-0-3-704				
2015 actual				
2016 est.				
2017 est.				
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	1	1	
0900	Total new obligations .....	1	1	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	4	3
1930	Total budgetary resources available .....	5	4	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	3	3

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	1	
3020	Outlays (gross) .....	-1	-1	

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
Financing disbursements:				
4110	Outlays, gross (total) .....	1	1	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1	1	

**Status of Direct Loans (in millions of dollars)**

Identification code 036-4258-0-3-704				
2015 actual				
2016 est.				
2017 est.				
Position with respect to appropriations act limitation on obligations:				
1121	Limitation available from carry-forward .....	95	95	95
1143	Unobligated limitation carried forward .....	-95	-95	-95
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	5	5	5
1290	Outstanding, end of year .....	5	5	5

**Balance Sheet (in millions of dollars)**

Identification code 036-4258-0-3-704				
2014 actual				
2015 actual				
ASSETS:				
1101	Federal assets: Fund balances with Treasury .....		5	5
1401	Net value of assets related to post-1991 direct loans receivable:		5	5
	Direct loans receivable, gross .....			
1999	Total assets .....	10		10
LIABILITIES:				
Federal liabilities:				
2103	Debt .....		5	5
2105	Loan Guaranty/Other Liabilities .....		5	5
2999	Total liabilities .....	10		10
4999	Total liabilities and net position .....	10		10

**VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 036-4112-0-3-702				
2015 actual				
2016 est.				
2017 est.				
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations .....	2	3	3
0900	Total new obligations .....	2	3	3
<b>Budgetary resources:</b>				
Financing authority:				
Borrowing authority, mandatory:				
1400	Authority to borrow (indefinite) .....	2	3	3

VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 036-4112-0-3-702	2015 actual	2016 est.	2017 est.
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2	3	3
1825 Spending authority from offsetting collections applied to repay debt .....	-2	-3	-3
1900 Budget authority (total) .....	2	3	3
1930 Total budgetary resources available .....	2	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-2	-3	-3
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	3	3
Financing disbursements:			
4110 Outlays, gross (total) .....	2	3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments and prepayments of principal .....	-2	-3	-3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Direct Loans (in millions of dollars)

Identification code 036-4112-0-3-702	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	2	3	3
1150 Total direct loan obligations .....	2	3	3
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	2	2
1231 Disbursements: Direct loan disbursements .....	2	3	3
1251 Repayments: Repayments and prepayments .....	-2	-3	-3
1290 Outstanding, end of year .....	2	2	2

Balance Sheet (in millions of dollars)

Identification code 036-4112-0-3-702	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1104 Investments US Securities .....	1	1
1401 Net value of assets related to post-1991 direct loans receivable: Direct loans receivable, gross .....	1	2
1999 Total assets .....	2	3
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	2	3
4999 Total liabilities and net position .....	2	3

Trust Funds

POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-8133-0-7-702	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	63	63	63
1930 Total budgetary resources available .....	63	63	63
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	63	63	63
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account consists of voluntary contributions by eligible servicemembers and matching contributions provided by the Department of Defense. The fund provides educational assistance payments to participants who entered the service after December 31, 1976, and are pursuing training under chapter 32, title 38, U.S.C. Section 901 is a non-contributory program with educational assistance provided by the Department of Defense. Public Law 99-576, enacted October 28, 1986, closed the program permanently for new enrollments effective March 31, 1987. Public Law 106-419, enacted November 1, 2000, provides qualified participants in this program another opportunity (through October 31, 2001) to convert to the All-Volunteer Force Educational Assistance program (Montgomery GI Bill). The estimated activity in the fund follows:

CONTRIBUTIONS, PARTICIPANTS, DISENROLLMENTS, REFUNDS AND TRAINEES

	2015 actual	2016 est.	2017 est.
Total program obligations (in thousands) .....	\$275	\$262	\$249
Number of disenrollments .....	177	169	160
Total refunds (in thousands) .....	\$240	\$228	\$217
Average Refund .....	\$1,353	\$1,353	\$1,353
Total trainees .....	4	3	3
Average trainee cost (in thousands) .....	\$8	\$7	\$7
Average trainee cost .....	\$1,949	\$2,468	\$2,814
Section 901 trainees .....	2	2	2
Total Section 901 trainee cost (in thousands) .....	\$27	\$26	\$25
Average Section 901 trainee cost .....	\$13,705	\$13,020	\$12,369

NATIONAL SERVICE LIFE INSURANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-8132-0-7-701	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	4,564	3,964	3,367
Receipts:			
Current law:			
1130 NSLI Fund, Premium and Other Receipts .....	78	61	52
1140 NSLI Fund, Interest .....	229	188	155
1199 Total current law receipts .....	307	249	207
1999 Total receipts .....	307	249	207
2000 Total: Balances and receipts .....	4,871	4,213	3,574
Appropriations:			
Current law:			
2101 National Service Life Insurance Fund .....	-307	-249	-207
2103 National Service Life Insurance Fund .....	-600	-597	-549
2199 Total current law appropriations .....	-907	-846	-756
2999 Total appropriations .....	-907	-846	-756
5099 Balance, end of year .....	3,964	3,367	2,818

Program and Financing (in millions of dollars)

Identification code 036-8132-0-7-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Death claims .....	671	612	537
0002 Disability claims .....	4	3	3
0003 Matured endowments .....	73	89	95
0004 Cash surrenders .....	26	24	20
0005 Dividends .....	72	57	48
0006 Interest paid on dividend credits and deposits .....	29	29	24
0007 Payment to general operating expenses .....	16	17	15
0091 Total operating expenses .....	891	831	742
0201 Capital investment: Policy loans .....	16	15	14
0799 Total direct obligations .....	907	846	756
0801 Death claims .....	36	46	61
0803 Matured endowments .....	4	7	11
0804 Cash surrenders .....	1	2	2
0805 Dividends .....	4	4	5
0806 Interest paid on dividend credits and deposits .....	2	2	3
0807 Payment to general operating expenses .....	1	1	2
0899 Total reimbursable obligations .....	48	62	84

0900	Total new obligations .....	955	908	840
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	307	249	207
1203	Appropriation (previously unavailable) .....	600	597	549
1260	Appropriations, mandatory (total) .....	907	846	756
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	48	62	84
1900	Budget authority (total) .....	955	908	840
1930	Total budgetary resources available .....	955	908	840
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,053	947	876
3010	Obligations incurred, unexpired accounts .....	955	908	840
3020	Outlays (gross) .....	-1,061	-979	-973
3050	Unpaid obligations, end of year .....	947	876	743
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,053	947	876
3200	Obligated balance, end of year .....	947	876	743
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	955	908	840
Outlays, gross:				
4100	Outlays from new mandatory authority .....	355	382	424
4101	Outlays from mandatory balances .....	706	597	549
4110	Outlays, gross (total) .....	1,061	979	973
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-48	-62	-84
4180	Budget authority, net (total) .....	907	846	756
4190	Outlays, net (total) .....	1,013	917	889
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	5,629	4,903	4,297
5001	Total investments, EOY: Federal securities: Par value .....	4,903	4,297	3,615

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1940. It is for the World War II servicemembers' and veterans' insurance program. Over 22 million policies were issued under this program. Activity of the fund reflects a declining claim workload. The trend in the number and amount of policies in force is shown as follows:

**POLICIES AND INSURANCE IN FORCE**

	2015 actual	2016 est.	2017 est.
Number of policies .....	370,281	308,655	252,815
Insurance in force (dollars in millions) .....	\$4,655	\$3,810	\$3,236

This fund is operated on a commercial basis to the extent possible. The income of the fund is derived from premium receipts, interest on investments, and payments which are made to the fund from the Veterans Insurance and Indemnities appropriation.

Assets of the fund, which are largely invested in special interest-bearing Treasury securities and in policy loans, are expected to decrease from an estimated \$4,410 million as of September 30, 2016 to \$3,742 million as of September 30, 2017. The actuarial estimate of policy obligations as of September 30, 2017, totals \$3,667 million, leaving a balance of \$75 million for contingency reserves.

**Status of Funds** (in millions of dollars)

Identification code 036-8132-0-7-701	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	5,618	4,912	4,244
0999 Total balance, start of year .....	5,618	4,912	4,244
Cash income during the year:			
Current law:			
Receipts:			
1130 NSLI Fund, Premium and Other Receipts .....	78	61	52
1130 National Service Life Insurance Fund .....	48	62	84
1150 NSLI Fund, Interest .....	229	188	155

1199	Income under present law .....	355	311	291
1999	Total cash income .....	355	311	291
Cash outgo during year:				
Current law:				
2100	National Service Life Insurance Fund [029-25-8132-0] .....	-1,061	-979	-973
2199	Outgo under current law .....	-1,061	-979	-973
2999	Total cash outgo (-) .....	-1,061	-979	-973
Surplus or deficit:				
3110	Excluding interest .....	-935	-856	-837
3120	Interest .....	229	188	155
3199	Subtotal, surplus or deficit .....	-706	-668	-682
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	9	-53	-53
4200	National Service Life Insurance Fund .....	4,903	4,297	3,615
4999	Total balance, end of year .....	4,912	4,244	3,562

**Object Classification** (in millions of dollars)

Identification code 036-8132-0-7-701	2015 actual	2016 est.	2017 est.	
Direct obligations:				
33.0	Investments and loans .....	131	15	14
42.0	Insurance claims and indemnities .....	675	727	655
43.0	Interest and dividends .....	101	103	87
99.0	Direct obligations .....	907	845	756
99.0	Reimbursable obligations .....	48	63	84
99.9	Total new obligations .....	955	908	840

**UNITED STATES GOVERNMENT LIFE INSURANCE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 036-8150-0-7-701	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	4	3	2
2000	Total: Balances and receipts .....	4	3	2
Appropriations:				
Current law:				
2103	United States Government Life Insurance Fund .....	-1	-1	-1
5099	Balance, end of year .....	3	2	1

**Program and Financing** (in millions of dollars)

Identification code 036-8150-0-7-701	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Death claims .....	1	1	1
0900	Total new obligations (object class 42.0) .....	1	1	1

**Budgetary resources:**

Budget authority:				
Appropriations, mandatory:				
1203	Appropriation (previously unavailable) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	2	1
3010	Obligations incurred, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-2	-2	-2
3050	Unpaid obligations, end of year .....	2	1	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	2	1
3200	Obligated balance, end of year .....	2	1	.....

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	.....	1	1
4101	Outlays from mandatory balances .....	2	1	1
4110	Outlays, gross (total) .....	2	2	2
4180	Budget authority, net (total) .....	1	1	1

UNITED STATES GOVERNMENT LIFE INSURANCE FUND—Continued  
Program and Financing—Continued

Identification code 036-8150-0-7-701	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total)	2	2	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	7	6	4
5001 Total investments, EOY: Federal securities: Par value	6	4	3

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1919 to receive premiums and pay claims on insurance issued under the provisions of the War Risk Insurance Act. The general decline in the activity of the fund is indicated in the following table:

POLICIES AND INSURANCE IN FORCE

	2015 actual	2016 est.	2017 est.
Number of policies	672	325	150
Insurance in force (dollars in millions)	\$1	\$7	\$2

The fund is operated on a commercial basis to the extent possible. The income of the fund is derived from interest on investments. Effective January 1, 1983, premiums were discontinued because reserves held in the fund were adequate to meet future liabilities of the program.

Assets of the fund, which are largely invested in interest-bearing securities and policy loans, are estimated to decrease from \$3.7 million as of September 30, 2016, to \$2.4 million as of September 30, 2017, as an increasing number of policies mature through death or disability. The actuarial evaluation of policy obligations as of September 30, 2017, totals \$2.1 million, leaving a balance of \$0.3 million for contingency reserves.

Status of Funds (in millions of dollars)

Identification code 036-8150-0-7-701	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year	8	6	4
0999 Total balance, start of year	8	6	4
Cash outgo during year:			
Current law:			
2100 United States Government Life Insurance Fund [029-25-8150-0]	-2	-2	-2
2199 Outgo under current law	-2	-2	-2
2999 Total cash outgo (-)	-2	-2	-2
Surplus or deficit:			
3110 Excluding interest	-2	-2	-2
3199 Subtotal, surplus or deficit	-2	-2	-2
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year			-1
4200 United States Government Life Insurance Fund	6	4	3
4999 Total balance, end of year	6	4	2

VETERANS SPECIAL LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-8455-0-8-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Death claims	126	136	139
0802 Cash surrenders	6	6	5
0803 Dividends	41	35	28
0804 All other	21	22	20
0805 Payments to insurance account	6	8	8
0806 Capital investment	8	8	8
0900 Total new obligations	208	215	208

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,435	1,367	1,278
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	142	126	113
1801 Change in uncollected payments, Federal sources	-2		
1850 Spending auth from offsetting collections, mand (total)	140	126	113
1930 Total budgetary resources available	1,575	1,493	1,391
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,367	1,278	1,183

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	448	442	423
3010 Obligations incurred, unexpired accounts	208	215	208
3020 Outlays (gross)	-214	-234	-231
3050 Unpaid obligations, end of year	442	423	400
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-24	-22	-22
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-22	-22	-22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	424	420	401
3200 Obligated balance, end of year	420	401	378

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	140	126	113
Outlays, gross:			
4100 Outlays from new mandatory authority	140	126	113
4101 Outlays from mandatory balances	74	108	118
4110 Outlays, gross (total)	214	234	231
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-94	-84	-76
4123 Non-Federal sources	-48	-13	-12
4123 Non-Federal sources		-26	-22
4123 Non-Federal sources		-3	-3
4130 Offsets against gross budget authority and outlays (total)	-142	-126	-113
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	2		
4170 Outlays, net (mandatory)	72	108	118
4180 Budget authority, net (total)			
4190 Outlays, net (total)	72	108	118

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,863	1,785	1,678
5001 Total investments, EOY: Federal securities: Par value	1,785	1,678	1,560

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund finances the payment of claims on life insurance policies issued before January 3, 1957, to veterans who served in the Armed Forces subsequent to April 1, 1951. No new policies can be issued. Policyholders may elect to purchase total disability income coverage with the payment of additional premiums.

Benefit program:

Death claims.—Represents payments to designated beneficiaries.

Cash surrenders.—A policyholder may terminate his or her insurance by cashing in the policy for its cash value.

Dividends.—Policyholders participate in the distribution of annual dividends.

All other.—Classified in this category are payments to policyholders who: (a) hold endowment policies which have matured; (b) have purchased total disability income coverage and subsequently become disabled; and (c) are paid interest on dividend credits and deposits.

The following table reflects the decrease in the number of policies and the amounts of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2015 actual	2016 est.	2017 est.
Number of policies	120,466	110,503	100,390
Insurance in force (dollars in millions)	\$1,730	\$1,611	\$1,482

**Financing.**—Payments from this fund are financed primarily from premium receipts and interest on investments.

**Object Classification** (in millions of dollars)

Identification code 036-8455-0-8-701	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
33.0 Investments and loans .....	35	8	7
42.0 Insurance claims and indemnities .....	126	153	156
43.0 Interest and dividends .....	47	54	45
99.9 Total new obligations .....	208	215	208

**DEPARTMENTAL ADMINISTRATION**

**Federal Funds**

**CONSTRUCTION, MAJOR PROJECTS**

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, or for any of the purposes set forth in sections 316, 2404, 2406 and chapter 81 of title 38, United States Code, not otherwise provided for, including planning, architectural and engineering services, construction management services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, where the estimated cost of a project is more than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, or where funds for a project were made available in a previous major project appropriation, **[\$1,243,800,000]** \$528,110,000, of which **[\$1,163,800,000]** \$448,110,000 shall remain available until September 30, **[2020]** 2021, and of which \$80,000,000 shall remain available until expended: *Provided*, That except for advance planning activities, including needs assessments which may or may not lead to capital investments, and other capital asset management related activities, including portfolio development and management activities, and investment strategy studies funded through the advance planning fund and the planning and design activities funded through the design fund, including needs assessments which may or may not lead to capital investments, and salaries and associated costs of the resident engineers who oversee those capital investments funded through this account and contracting officers who manage specific major construction projects, and funds provided for the purchase, security, and maintenance of land for the National Cemetery Administration through the land acquisition line item, none of the funds made available under this heading shall be used for any project **[which]** that has not been notified to Congress through the budgetary process or that has not been approved by the Congress **[in the budgetary process]** through statute, joint resolution, or in the explanatory statement accompanying such Act and presented to the President at the time of enrollment: *Provided further*, That funds made available under this heading for fiscal year **[2016]** 2017, for each approved project shall be obligated: (1) by the awarding of a construction documents contract by September 30, **[2016]** 2017; and (2) by the awarding of a construction contract by September 30, **[2017]** 2018: *Provided further*, That the Secretary of Veterans Affairs shall promptly submit to the Committees on Appropriations of both Houses of Congress a written report on any approved major construction project for which obligations are not incurred within the time limitations established above: *Provided further*, That, of the amount made available under this heading, **[\$649,000,000]** \$222,620,000 for Veterans Health Administration major construction projects shall not be available until the Department of Veterans Affairs—

(1) enters into an agreement with an appropriate non-Department of Veterans Affairs Federal entity to serve as the design and/or construction agent for any Veterans Health Administration major construction project with a Total Estimated Cost of \$100,000,000 or above by providing full project management services, including management of the project design, acquisition, construction, and contract changes, consistent with section 502 of Public Law 114-58; and

(2) certifies in writing that such an agreement is executed and intended to minimize or prevent subsequent major construction project cost overruns and provides a copy of the agreement entered into and any required supplementary information to the Committees on Appropriations of both Houses of Congress. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 036-0110-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medical programs .....	428	1,769	999
0002 National cemeteries .....	41	169	190
0005 Staff offices .....		6	8
0799 Total direct obligations .....	469	1,944	1,197
0801 Reimbursable program activity - Medical Program .....	56		
0900 Total new obligations .....	525	1,944	1,197
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,921	2,145	2,071
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	692		
1011 Unobligated balance transfer from other acct [036-0142] .....		4	
1011 Unobligated balance transfer from other acct [036-0152] .....		85	
1011 Unobligated balance transfer from other acct [036-0151] .....		4	
1011 Unobligated balance transfer from other acct [036-0111] .....		85	
1011 Unobligated balance transfer from other acct [036-0167] .....		76	
1011 Unobligated balance transfer from other acct [036-0161] .....		20	
1011 Unobligated balance transfer from other acct [036-0162] .....		313	
1021 Recoveries of prior year unpaid obligations .....	37		
1050 Unobligated balance (total) .....	1,958	2,732	2,071
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	562	1,244	528
1121 Appropriations transferred from other acct [036-0142] .....	1		
1121 Appropriations transferred from other acct [036-0160] .....	6	39	
1121 Appropriations transferred from other acct [036-0162] .....	81		
1121 Appropriations transferred from other acct [036-0152] .....	2		
1121 Appropriations transferred from other acct [036-0151] .....	1		
1121 Appropriations transferred from other acct [036-0111] .....	3		
1160 Appropriation, discretionary (total) .....	656	1,283	528
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [036-4539] .....	36		
Spending authority from offsetting collections, mandatory:			
1811 Spending authority from offsetting collections transferred from other accounts [036-4537] .....	20		
1900 Budget authority (total) .....	712	1,283	528
1930 Total budgetary resources available .....	2,670	4,015	2,599
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,145	2,071	1,402
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,651	930	2,024
3010 Obligations incurred, unexpired accounts .....	525	1,944	1,197
3020 Outlays (gross) .....	-1,209	-850	-740
3040 Recoveries of prior year unpaid obligations, unexpired .....	-37		
3050 Unpaid obligations, end of year .....	930	2,024	2,481
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,651	930	2,024
3200 Obligated balance, end of year .....	930	2,024	2,481
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	692	1,283	528
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35	9	4
4011 Outlays from discretionary balances .....	1,172	841	736
4020 Outlays, gross (total) .....	1,207	850	740
Mandatory:			
4090 Budget authority, gross .....	20		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2		
4180 Budget authority, net (total) .....	712	1,283	528
4190 Outlays, net (total) .....	1,209	850	740

The Construction, Major Projects appropriation funds construction projects costing more than \$10 million. Funding is included that will complete the seismic project in Long Beach, CA; correct seismic and life safety issues in Reno, NV; expand gravesite projects in Jacksonville, FL, and Lake Worth, FL; and establish new cemeteries in Western New York and Southern Colorado. Additional funds are provided to fund salaries and associated expenses of resident engineers and contracting officers who

CONSTRUCTION, MAJOR PROJECTS—Continued

oversee the Department's capital investments and to support advance planning and design activities.

Object Classification (in millions of dollars)

Identification code 036-0110-0-1-703	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	3	5	5
25.3 Other goods and services from Federal sources .....	21	32	33
26.0 Supplies and materials .....	3	5	5
31.0 Equipment .....	3	5	5
32.0 Land and structures .....	439	1,897	1,149
99.0 Direct obligations .....	469	1,944	1,197
99.0 Reimbursable obligations .....	56		
99.9 Total new obligations .....	525	1,944	1,197

CONSTRUCTION, MINOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, including planning and assessments of needs which may lead to capital investments, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, or for any of the purposes set forth in sections 316, 2404, 2406 and chapter 81 of title 38, United States Code, not otherwise provided for, where the estimated cost of a project is equal to or less than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, **[\$406,200,000]** \$372,069,000, to remain available until September 30, **[2020]** 2021, along with unobligated balances of previous "Construction, Minor Projects" appropriations which are hereby made available for any project where the estimated cost is equal to or less than the amount set forth in such section: *Provided*, That funds made available under this heading shall be for: (1) repairs to any of the nonmedical facilities under the jurisdiction or for the use of the Department which are necessary because of loss or damage caused by any natural disaster or catastrophe; and (2) temporary measures necessary to prevent or to minimize further loss by such causes. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 036-0111-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Medical programs .....	434	442	525
0002 National cemeteries .....	81	126	61
0003 Regional offices .....	31	64	39
0004 Staff offices .....	20	31	35
0005 Choice Act, P.L. 113-146, Sec. 801 .....	97		
0900 Total new obligations .....	663	663	660
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	602	1,033	691
1010 Unobligated balance transfer to other accts [036-0110] .....		-85	
1010 Unobligated balance transfer to other accts [036-0160] .....			-195
1011 Unobligated balance transfer from other acct [036-0160] .....	511		
1021 Recoveries of prior year unpaid obligations .....	91		
1050 Unobligated balance (total) .....	1,204	948	496
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	495	406	372
1120 Appropriations transferred to other acct [036-0110] .....	-3		
1160 Appropriation, discretionary (total) .....	492	406	372
1930 Total budgetary resources available .....	1,696	1,354	868
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,033	691	208
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,024	1,087	792
3010 Obligations incurred, unexpired accounts .....	663	663	660
3020 Outlays (gross) .....	-509	-958	-736
3040 Recoveries of prior year unpaid obligations, unexpired .....	-91		

3050 Unpaid obligations, end of year .....	1,087	792	716
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,024	1,087	792
3200 Obligated balance, end of year .....	1,087	792	716

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	492	406	372
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		73	67
4011 Outlays from discretionary balances .....	507	507	553
4020 Outlays, gross (total) .....	507	580	620
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	2	378	116
4180 Budget authority, net (total) .....	492	406	372
4190 Outlays, net (total) .....	509	958	736

The Construction, Minor Projects appropriation, which funds construction projects costing equal to or less than \$10 million, is used to improve the infrastructure of medical facilities and other Department-owned facilities to reduce the risk to patient life and safety, correct code deficiencies, and improve national cemeteries and regional and staff offices.

Object Classification (in millions of dollars)

Identification code 036-0111-0-1-703	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	20	25	25
26.0 Supplies and materials .....	6	7	7
31.0 Equipment .....	6	7	7
32.0 Land and structures .....	631	624	621
99.9 Total new obligations .....	663	663	660

GRANTS FOR CONSTRUCTION OF STATE EXTENDED CARE FACILITIES

For grants to assist States to acquire or construct State nursing home and domiciliary facilities and to remodel, modify, or alter existing hospital, nursing home, and domiciliary facilities in State homes, for furnishing care to veterans as authorized by sections 8131 through 8137 of title 38, United States Code, **[\$120,000,000]** \$80,000,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 036-0181-0-1-703	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants for construction of state extended care facilities .....	118	126	109
0900 Total new obligations (object class 41.0) .....	118	126	109
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	26	12	20
1021 Recoveries of prior year unpaid obligations .....	14	14	18
1050 Unobligated balance (total) .....	40	26	38
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	90	120	80
1930 Total budgetary resources available .....	130	146	118
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	20	9
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	251	260	286
3010 Obligations incurred, unexpired accounts .....	118	126	109
3020 Outlays (gross) .....	-95	-86	-91
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	-14	-18
3050 Unpaid obligations, end of year .....	260	286	286
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	251	260	286
3200 Obligated balance, end of year .....	260	286	286

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	90	120	80
Outlays, gross:				
4010	Outlays from new discretionary authority .....		2	2
4011	Outlays from discretionary balances .....	95	84	89
4020	Outlays, gross (total) .....	95	86	91
4180	Budget authority, net (total) .....	90	120	80
4190	Outlays, net (total) .....	95	86	91

The Grants for Construction of State Extended Care Facilities program is authorized by sections 8131 through 8137 of title 38, United States Code. It is a shared program between states and the VA, whereby VA provides 65 percent of the funding for new construction of State home facilities, furnishing of domiciliary or nursing home care to veterans, and expansion, remodeling, or alteration of existing State home facilities. The State is responsible for providing the remaining 35 percent of funding.

**GRANTS FOR CONSTRUCTION OF VETERANS CEMETERIES**

For grants to assist States and tribal organizations in establishing, expanding, or improving veterans cemeteries as authorized by section 2408 of title 38, United States Code, **[\$46,000,000]** \$45,000,000, to remain available until expended. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 036-0183-0-1-705	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Grants for construction of state veterans cemeteries .....	47	58	45
0900	Total new obligations (object class 41.0) .....	47	58	45
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	11	12	
1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	13	12	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	46	46	45
1930	Total budgetary resources available .....	59	58	45
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	78	79	98
3010	Obligations incurred, unexpired accounts .....	47	58	45
3020	Outlays (gross) .....	-44	-39	-44
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	79	98	99
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	78	79	98
3200	Obligated balance, end of year .....	79	98	99
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	46	46	45
Outlays, gross:				
4010	Outlays from new discretionary authority .....		25	24
4011	Outlays from discretionary balances .....	44	14	20
4020	Outlays, gross (total) .....	44	39	44
4180	Budget authority, net (total) .....	46	46	45
4190	Outlays, net (total) .....	44	39	44

**GENERAL ADMINISTRATION**  
(INCLUDING TRANSFER OF FUNDS)

For necessary operating expenses of the Department of Veterans Affairs, not otherwise provided for, including administrative expenses in support of Department-wide capital planning, management and policy activities, uniforms, or allowances

therefor; not to exceed \$25,000 for official reception and representation expenses; hire of passenger motor vehicles; and reimbursement of the General Services Administration for security guard services, **[\$336,659,000]** \$417,959,000, of which not to exceed **[\$10,000,000]** \$21,000,000 shall remain available until September 30, **[2017]** 2018: *Provided*, That funds provided under this heading may be transferred to "General Operating Expenses, Veterans Benefits Administration". (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 036-0142-0-1-705	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0014	General administration .....	323	338	418
0806	General administration, reimbursable program .....	352	423	364
0900	Total new obligations .....	675	761	782
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	5	
1010	Unobligated balance transfer to other accts [036-0110] .....		-4	
1012	Unobligated balance transfers between expired and unexpired accounts .....	6		
1050	Unobligated balance (total) .....	16	1	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	322	337	418
1120	Appropriations transferred to other acct [036-0110] .....	-1		
1131	Unobligated balance of appropriations permanently reduced .....	-1		
1160	Appropriation, discretionary (total) .....	320	337	418
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	352	423	364
1900	Budget authority (total) .....	672	760	782
1930	Total budgetary resources available .....	688	761	782
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		
1941	Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	179	180	112
3010	Obligations incurred, unexpired accounts .....	675	761	782
3011	Obligations incurred, expired accounts .....	33		
3020	Outlays (gross) .....	-666	-829	-789
3041	Recoveries of prior year unpaid obligations, expired .....	-41		
3050	Unpaid obligations, end of year .....	180	112	105
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	179	180	112
3200	Obligated balance, end of year .....	180	112	105
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	672	760	782
Outlays, gross:				
4010	Outlays from new discretionary authority .....	515	700	700
4011	Outlays from discretionary balances .....	151	129	89
4020	Outlays, gross (total) .....	666	829	789
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-352	-423	-364
4040	Offsets against gross budget authority and outlays (total) ....	-352	-423	-364
4180	Budget authority, net (total) .....	320	337	418
4190	Outlays, net (total) .....	314	406	425

*General Administration.*—Includes departmental executive direction, departmental support offices and the General Counsel. The Pershing Hall Revolving Fund was created to operate and manage Pershing Hall, an asset of the United States, located in Paris, France. All operating expenses for Pershing Hall are borne by the revolving fund and all receipts generated by the operation of Pershing Hall are deposited in the revolving fund.

**Object Classification** (in millions of dollars)

Identification code 036-0142-0-1-705	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	183	192	242

GENERAL ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 036-0142-0-1-705	2015 actual	2016 est.	2017 est.
11.5 Other personnel compensation .....	3	8	8
11.9 Total personnel compensation .....	186	200	250
12.1 Civilian personnel benefits .....	59	53	55
21.0 Travel and transportation of persons .....	3	5	12
23.1 Rent .....	22	24	
23.2 Rental payments to others .....	6	3	3
23.3 Communications, utilities, and miscellaneous charges .....	4	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	63	42	69
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	1	2	1
41.0 Grants, subsidies, and contributions .....	8		
99.0 Direct obligations .....	323	338	418
99.0 Reimbursable obligations .....	352	423	364
99.9 Total new obligations .....	675	761	782

Employment Summary

Identification code 036-0142-0-1-705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,648	1,792	2,039
2001 Reimbursable civilian full-time equivalent employment .....	938	1,256	1,114

BOARD OF VETERANS APPEALS

For necessary operating expenses of the Board of Veterans Appeals, **[\$109,884,000] \$156,096,000**, of which not to exceed **[\$10,788,000] \$15,609,600** shall remain available until September 30, **[2017] 2018. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 036-1122-0-1-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0014 Board of Veterans' Appeals .....	96	113	156
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	99	110	156
1930 Total budgetary resources available .....	99	113	156
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		7	21
3010 Obligations incurred, unexpired accounts .....	96	113	156
3020 Outlays (gross) .....	-89	-99	-146
3050 Unpaid obligations, end of year .....	7	21	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		7	21
3200 Obligated balance, end of year .....	7	21	31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	99	110	156
Outlays, gross:			
4010 Outlays from new discretionary authority .....	89	93	132
4011 Outlays from discretionary balances .....		6	14
4020 Outlays, gross (total) .....	89	99	146
4180 Budget authority, net (total) .....	99	110	156
4190 Outlays, net (total) .....	89	99	146

The mission of the Board of Veterans' Appeals (Board or BVA), as set forth in 38 U.S.C. § 7101(a) is to conduct hearings and consider and dispose of appeals properly before the Board in a timely manner. The Board's goal is to issue quality decisions in compliance with the requirements of the

law, including the precedential decisions of the United States Court of Appeals for Veterans Claims (CAVC) and other federal courts. The Board makes final decisions on behalf of the Secretary on appeals from decisions of the agencies of original jurisdiction with the Department of Veterans Affairs (VA) Offices. The Board reviews all appeals for entitlement to Veterans' benefits, including claims for service connection, increased disability ratings, total disability ratings, pension, insurance benefits, educational benefits, home loan guaranties, vocational rehabilitation, dependency and indemnity compensation, and healthcare delivery.

Object Classification (in millions of dollars)

Identification code 036-1122-0-1-705	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	62	72	100
11.5 Other personnel compensation .....	2	2	3
11.9 Total personnel compensation .....	64	74	103
12.1 Civilian personnel benefits .....	17	23	31
23.2 Rental payments to others .....	9	10	14
25.2 Other services from non-Federal sources .....	6	6	8
99.9 Total new obligations .....	96	113	156

Employment Summary

Identification code 036-1122-0-1-705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	646	680	922

GENERAL OPERATING EXPENSES, VETERANS BENEFITS ADMINISTRATION

For necessary operating expenses of the Veterans Benefits Administration, not otherwise provided for, including hire of passenger motor vehicles, reimbursement of the General Services Administration for security guard services, and reimbursement of the Department of Defense for the cost of overseas employee mail, **[\$2,707,734,000] \$2,826,160,000: Provided**, That expenses for services and assistance authorized under paragraphs (1), (2), (5), and (11) of section 3104(a) of title 38, United States Code, that the Secretary of Veterans Affairs determines are necessary to enable entitled veterans: (1) to the maximum extent feasible, to become employable and to obtain and maintain suitable employment; or (2) to achieve maximum independence in daily living, shall be charged to this account: **Provided further**, That, of the funds made available under this heading, not to exceed **[\$160,000,000] \$141,000,000** shall remain available until September 30, **[2017] 2018. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 036-0151-0-1-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Compensation and pensions .....	2,144	2,177	2,281
0011 Education .....	188	208	213
0012 Vocational rehabilitation and counseling .....	257	324	331
0013 Insurance .....	1	1	1
0799 Total direct obligations .....	2,590	2,710	2,826
0801 Compensation and pensions .....	276	364	551
0802 Education .....	1	1	1
0804 Insurance .....	29	34	35
0805 Housing .....	138	140	170
0899 Total reimbursable obligations .....	444	539	757
0900 Total new obligations .....	3,034	3,249	3,583
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	53	11	7
1010 Unobligated balance transfer to other accts [036-0110] .....		-4	
1012 Unobligated balance transfers between expired and unexpired accounts .....	29		
1050 Unobligated balance (total) .....	82	7	7

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,534	2,708	2,826
1120	Appropriations transferred to other acct (036-0110) .....	-1		
1130	Appropriations permanently reduced .....	-2		
1160	Appropriation, discretionary (total) .....	2,531	2,708	2,826
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	444	541	787
1900	Budget authority (total) .....	2,975	3,249	3,613
1930	Total budgetary resources available .....	3,057	3,256	3,620
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-12		
1941	Unexpired unobligated balance, end of year .....	11	7	37

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	403	345	592
3010	Obligations incurred, unexpired accounts .....	3,034	3,249	3,583
3011	Obligations incurred, expired accounts .....	84		
3020	Outlays (gross) .....	-3,048	-3,002	-3,528
3041	Recoveries of prior year unpaid obligations, expired .....	-128		
3050	Unpaid obligations, end of year .....	345	592	647
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	403	345	592
3200	Obligated balance, end of year .....	345	592	647

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,975	3,249	3,613
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,608	2,682	3,049
4011	Outlays from discretionary balances .....	440	320	479
4020	Outlays, gross (total) .....	3,048	3,002	3,528
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-444	-541	-787
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-445	-541	-787
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	2,531	2,708	2,826
4080	Outlays, net (discretionary) .....	2,603	2,461	2,741
4180	Budget authority, net (total) .....	2,531	2,708	2,826
4190	Outlays, net (total) .....	2,603	2,461	2,741

*General Operating Expenses, Veterans Benefits Administration.*—The total cost of administering veterans insurance programs is funded through direct appropriations to this account and through reimbursements from the insurance trust fund. This appropriation provides for the Department's top management direction and administrative support, including fiscal, personnel, and legal services, as well as for the administration of veteran benefits.

*Note.*—Reflects FTE treated as reimbursements in all years and the effects of Credit Reform, per P.L. 101-508.

**Object Classification** (in millions of dollars)

Identification code 036-0151-0-1-705				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	1,298	1,340	1,422
11.5	Other personnel compensation .....	99	102	110
11.9	Total personnel compensation .....	1,397	1,442	1,532
12.1	Civilian personnel benefits .....	460	465	475
13.0	Benefits for former personnel .....	2	2	3
21.0	Travel and transportation of persons .....	39	40	41
22.0	Transportation of things .....	2	2	3
23.1	Rent .....	119	125	126
23.2	Rental payments to others .....	26	30	31
23.3	Communications, utilities, and miscellaneous charges .....	37	40	41
24.0	Printing and reproduction .....	6	7	8
25.2	Other services from non-Federal sources .....	475	524	530
26.0	Supplies and materials .....	10	12	15
31.0	Equipment .....	16	18	20
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	2,590	2,708	2,826
99.0	Reimbursable obligations .....	444	541	757
99.9	Total new obligations .....	3,034	3,249	3,583

**Employment Summary**

Identification code 036-0151-0-1-705				
2015 actual				
2016 est.				
2017 est.				
1001	Direct civilian full-time equivalent employment .....	20,145	20,421	20,721
2001	Reimbursable civilian full-time equivalent employment .....	1,377	1,450	1,450

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General, to include information technology, in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$136,766,000]** \$160,106,000, of which not to exceed **[\$12,676,000]** \$20,106,000 shall remain available until September 30, **[2017]** 2018. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 036-0170-0-1-705				
2015 actual				
2016 est.				
2017 est.				
<b>Obligations by program activity:</b>				
0101	Office of Inspector General (Direct) .....	128	138	160
0192	Total direct program .....	128	138	160
0801	Office of Inspector General (Reimbursable) .....	4	6	6
0900	Total new obligations .....	132	144	166

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	1	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	127	137	160
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	6	6
1900	Budget authority (total) .....	131	143	166
1930	Total budgetary resources available .....	134	144	166
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	1		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	26	27	36
3010	Obligations incurred, unexpired accounts .....	132	144	166
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-130	-135	-152
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	27	36	50
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	26	27	36
3200	Obligated balance, end of year .....	27	36	50

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	131	143	166
Outlays, gross:				
4010	Outlays from new discretionary authority .....	110	110	122
4011	Outlays from discretionary balances .....	20	25	30
4020	Outlays, gross (total) .....	130	135	152
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4	-6	-6
4180	Budget authority, net (total) .....	127	137	160
4190	Outlays, net (total) .....	126	129	146

This appropriation provides for carrying out the independent oversight responsibilities of the *Inspector General Act of 1978*. This oversight includes VA-wide audit, investigation, health care inspection, and management support functions to identify and report weaknesses and deficiencies that create conditions for actual or potential fraud and other criminal activity, mismanagement, and waste in VA programs and operations. The audit function plans and conducts internal programmatic and financial audits and evaluations of all facets of VA operations. The health care inspection function performs legislatively mandated medical care quality assurance reviews and oversight of VA health care programs. The investigative function performs criminal and administrative investigations of

OFFICE OF INSPECTOR GENERAL—Continued

improper and illegal activities involving VA operations, personnel, beneficiaries, and other parties.

Object Classification (in millions of dollars)

Identification code 036-0170-0-1-705	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	69	75	87
11.5 Other personnel compensation .....	6	6	8
11.9 Total personnel compensation .....	75	81	95
12.1 Civilian personnel benefits .....	27	29	34
21.0 Employee Travel .....	5	6	6
23.1 Rental payments to GSA .....	5	6	7
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	13	13	14
31.0 Equipment .....	1	1	2
99.0 Direct obligations .....	128	138	160
99.0 Reimbursable obligations .....	4	6	6
99.9 Total new obligations .....	132	144	166

Employment Summary

Identification code 036-0170-0-1-705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	650	690	790
2001 Reimbursable civilian full-time equivalent employment .....	26	31	31

INFORMATION TECHNOLOGY SYSTEMS  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for information technology systems and telecommunication support, including developmental information systems and operational information systems; for pay and associated costs; and for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, **[\$4,133,363,000]** \$4,278,259,000, plus reimbursements: *Provided*, That **[\$1,115,757,000]** \$1,272,548,000 shall be for pay and associated costs, of which not to exceed **[\$34,800,000]** \$37,100,000 shall remain available until September 30, **[2017]** 2018: *Provided further*, That **[\$2,512,863,000]** \$2,534,442,000 shall be for operations and maintenance, of which not to exceed **[\$175,000,000]** \$180,200,000 shall remain available until September 30, **[2017]** 2018: *Provided further*, That **[\$504,743,000]** \$471,269,000 shall be for information technology systems development, modernization, and enhancement, and shall remain available until September 30, **[2017]** 2018: *Provided further*, That amounts made available for information technology systems development, modernization, and enhancement may not be obligated or expended until the Secretary of Veterans Affairs or the Chief Information Officer of the Department of Veterans Affairs submits to the Committees on Appropriations of both Houses of Congress a certification of the amounts, in parts or in full, to be obligated and expended for each development project: *Provided further*, That amounts made available for salaries and expenses, operations and maintenance, and information technology systems development, modernization, and enhancement may be transferred among the three subaccounts after the Secretary of Veterans Affairs **[requests from]** submits notice thereof to the Committees on Appropriations of both Houses of Congress **[the authority to make the transfer and an approval is issued]**: *Provided further*, That amounts made available for the "Information Technology Systems" account for development, modernization, and enhancement may be transferred among projects or to newly defined projects: *Provided further*, That no project may be increased or decreased by more than **[\$1,000,000]** \$3,000,000 of cost prior to submitting **[a request]** notice thereof to the Committees on Appropriations of both Houses of Congress **[to make the transfer and an approval is issued, or absent a response, a period of 30 days has elapsed]**: *Provided further*, That funds under this heading may be used by the Interagency Program Office through the Department of Veterans Affairs to define data standards, code sets, and value sets used to enable interoperability: *Provided further*, That, of the funds made available for information technology systems development, modernization, and enhancement for VistA Evolution, not more than 25 percent may be obligated or expended until the Secretary of Veterans Affairs submits to the Committees on Appropriations of both Houses of Congress, and such Committees

approve, a report that describes: (1) the status of and changes to the VistA Evolution program plan dated March 24, 2014 (hereinafter referred to as the "Plan"), the VistA 4 product roadmap dated February 26, 2015 ("Roadmap"), and the VistA 4 Incremental Life Cycle Cost Estimate, dated October 26, 2014; (2) any changes to the scope or functionality of projects within the VistA Evolution program as established in the Plan; (3) actual program costs incurred to date; (4) progress in meeting the schedule milestones that have been established in the Plan; (5) a Project Management Accountability System (PMAS) Dashboard Progress report that identifies each VistA Evolution project being tracked through PMAS, what functionality it is intended to provide, and what evaluation scores it has received throughout development; (6) the definition being used for interoperability between the electronic health record systems of the Department of Defense and the Department of Veterans Affairs, the metrics to measure the extent of interoperability, the milestones and timeline associated with achieving interoperability, and the baseline measurements associated with interoperability; (7) progress toward developing and implementing all components and levels of interoperability, including semantic interoperability; (8) the change management tools in place to facilitate the implementation of VistA Evolution and interoperability; and (9) any changes to the governance structure for the VistA Evolution program and its chain of decisionmaking authority: *Provided further*, That the funds made available under this heading for information technology systems development, modernization, and enhancement, shall be for the projects, and in the amounts, specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act). (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 036-0167-0-1-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Development .....	636	530	471
0002 Operations and maintenance .....	2,257	2,557	2,527
0003 Administrative and salaries .....	1,028	1,116	1,273
0004 P.L. 113-146, Sec. 801 - IT Support .....	53	310	.....
0799 Total direct obligations .....	3,974	4,513	4,271
0802 Operations and maintenance .....	21	23	27
0803 Administrative and salaries .....	18	41	46
0899 Total reimbursable obligations .....	39	64	73
0900 Total new obligations .....	4,013	4,577	4,344
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	168	463	.....
1010 Unobligated balance transfer to other accts [036-0160] .....	-14	.....	.....
1010 Unobligated balance transfer to other accts [036-0110] .....	.....	-76	.....
1011 Unobligated balance transfer from other acct [036-0160] .....	377	.....	.....
1021 Recoveries of prior year unpaid obligations .....	13	.....	.....
1050 Unobligated balance (total) .....	544	387	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,903	4,133	4,278
1120 Appropriations transferred to other accts [036-0169] .....	-7	-7	-7
1130 Appropriations permanently reduced .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	3,895	4,126	4,271
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	35	64	73
1701 Change in uncollected payments, Federal sources .....	4	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	39	64	73
1900 Budget authority (total) .....	3,934	4,190	4,344
1930 Total budgetary resources available .....	4,478	4,577	4,344
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	463	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,899	1,952	2,431
3010 Obligations incurred, unexpired accounts .....	4,013	4,577	4,344
3011 Obligations incurred, expired accounts .....	132	.....	.....
3020 Outlays (gross) .....	-3,856	-4,098	-4,346
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-223	.....	.....
3050 Unpaid obligations, end of year .....	1,952	2,431	2,429
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....

3071	Change in uncollected pymts, Fed sources, expired .....	5		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,894	1,948	2,427
3200	Obligated balance, end of year .....	1,948	2,427	2,425
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,934	4,190	4,344
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,245	2,451	2,573
4011	Outlays from discretionary balances .....	1,587	1,473	1,721
4020	Outlays, gross (total) .....	3,832	3,924	4,294
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-40	-64	-73
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052	Offsetting collections credited to expired accounts .....	5		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	3,895	4,126	4,271
4080	Outlays, net (discretionary) .....	3,792	3,860	4,221
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	24	174	52
4180	Budget authority, net (total) .....	3,895	4,126	4,271
4190	Outlays, net (total) .....	3,816	4,034	4,273

The Information Technology (IT) Systems appropriation funds IT services such as systems development and performance, operations and maintenance, information security, and customer support. This appropriation enables the effective and efficient delivery of services to the nation's largest healthcare network, as well as the veterans benefits and corporate business lines within the Department of Veterans Affairs (VA).

**Development.**—The Office of Information & Technology invests in projects designed to improve the delivery of VA services and benefits for veterans and their families. This account also supports improvements in the Electronic Health Record and modernizations to veterans benefits and appeals processing.

**Operations and Maintenance.**—The Office of Information & Technology purchases, maintains, manages, and supports all the computer, phone, telecommunication, and data systems equipment and infrastructure for all VA facilities.

**Object Classification** (in millions of dollars)

Identification code 036-0167-0-1-705	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	650	704	773
11.1	Full-time permanent - Choice Act, P.L. 113-146, Sec. 801 .....	1	18	
11.9	Total personnel compensation .....	651	722	773
12.1	Civilian personnel benefits .....	211	222	263
12.1	Civilian personnel benefits -Choice Act, P.L. 113-146, Sec. 801 .....		6	
21.0	Travel and transportation of persons .....	7	9	10
23.3	Communications, utilities, and miscellaneous charges .....	847	842	844
25.2	Other services from non-Federal sources .....	1,330	1,978	1,941
25.2	Other services from non-Federal -Choice Act, P.L. 113-146, Sec. 801 .....	13	203	
26.0	Supplies and materials .....	5	7	17
31.0	Equipment .....	860	432	411
31.0	Equipment - Choice Act, P.L. 113-146, Sec. 801 .....	39	80	
32.0	Land and structures .....	9	10	10
42.0	Insurance claims and indemnities .....	2	2	2
99.0	Direct obligations .....	3,974	4,513	4,271
99.0	Reimbursable obligations .....	39	64	73
99.9	Total new obligations .....	4,013	4,577	4,344

**Employment Summary**

Identification code 036-0167-0-1-705	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	7,231	7,517	8,156

2001	Reimbursable civilian full-time equivalent employment .....	78	114	178
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**NATIONAL CEMETERY ADMINISTRATION**

For necessary expenses of the National Cemetery Administration for operations and maintenance, not otherwise provided for, including uniforms or allowances therefor; cemeterial expenses as authorized by law; purchase of one passenger motor vehicle for use in cemeterial operations; hire of passenger motor vehicles; and repair, alteration or improvement of facilities under the jurisdiction of the National Cemetery Administration, **[\$271,220,000] \$286,193,000**, of which not to exceed **[\$26,600,000] \$28,620,000** shall remain available until September 30, **[2017] 2018. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 036-0129-0-1-705	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0201	Administrative expenses .....	260	274	286
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	5	2
1012	Unobligated balance transfers between expired and unexpired accounts .....	6		
1050	Unobligated balance (total) .....	8	5	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	257	271	286
1930	Total budgetary resources available .....	265	276	288
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	87	72	75
3010	Obligations incurred, unexpired accounts .....	260	274	286
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-270	-271	-279
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	72	75	82
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	87	72	75
3200	Obligated balance, end of year .....	72	75	82

**Budget authority and outlays, net:**

4000	Budget authority, gross .....	257	271	286
Outlays, gross:				
4010	Outlays from new discretionary authority .....	211	206	221
4011	Outlays from discretionary balances .....	59	65	58
4020	Outlays, gross (total) .....	270	271	279
4180	Budget authority, net (total) .....	257	271	286
4190	Outlays, net (total) .....	270	271	279

The mission of the National Cemetery Administration is to honor veterans with final resting places in national shrines and with lasting tributes that commemorate their service to our Nation. The National Cemetery Administration's vision is to serve all veterans and their families with the utmost dignity, respect, and compassion. Every national cemetery will be a place that inspires visitors to understand and appreciate the service and sacrifice of our Nation's veterans. There are six related programs managed by the National Cemetery Administration including: 1) burying eligible veterans and their family members in national cemeteries and maintaining the graves and their environs as national shrines; 2) administering grants to States and Tribal organizations in establishing, expanding, improving, or operating veterans cemeteries; 3) providing headstones and markers for the graves of eligible veterans; 4) providing presidential memorial certificates to family and friends of deceased veterans, recognizing the veterans' contribution and service to the Nation; 5) providing graveliners or partial reimbursement for a privately purchased outer burial receptacle for each new grave in open national cemeteries administered by the National Cemetery

NATIONAL CEMETERY ADMINISTRATION—Continued

Administration; and 6) recording First Notice of Veteran Deaths into VA electronic files to ensure timely termination of benefits and next-of-kin notification of possible entitlement to survivor benefits.

The National Cemetery Administration also reflects budget information for the National Cemetery Gift Fund and the National Cemetery Administration Facilities Operation Fund. Through the Gift Fund, the Secretary is authorized to accept gifts and bequests which are made for the purpose of beautifying national cemeteries or are determined to be beneficial to such cemeteries, or are made for the purpose of the operation, maintenance, or improvement of the National Memorial Cemetery of Arizona. Through the Facilities Operation Fund, the Secretary is authorized to lease any undeveloped land and unused or underutilized buildings of the National Cemetery Administration, or parts or parcels thereof, for a term not to exceed 10 years. Proceeds from such leases are deposited in the Facilities Operation Fund, and are available to cover costs incurred by the National Cemetery Administration in the operation and maintenance of property of the Administration.

**Object Classification** (in millions of dollars)

Identification code 036-0129-0-1-705	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	101	106	109
11.3 Other than full-time permanent .....	4	5	5
11.9 Total personnel compensation .....	105	111	114
12.1 Civilian personnel benefits .....	37	41	41
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	2	7	2
23.1 Rent .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	9		
24.0 Printing and reproduction .....	2	4	7
25.2 Other services from non-Federal sources .....	73	3	1
26.0 Supplies and materials .....	11	78	88
31.0 Equipment .....	11	10	11
32.0 Land and structures .....	4	14	16
99.9 Total new obligations .....	260	274	286

**Employment Summary**

Identification code 036-0129-0-1-705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,730	1,789	1,809

**SUPPLY FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-4537-0-4-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program-COGS-Merchandizing .....	423	370	375
0802 Reimbursable program-Other-Operations .....	284	290	290
0803 Reimbursable program-COGS-Printing and publications .....	10	20	20
0804 Reimbursable program-Other .....	33	437	437
0805 Reimbursable program-Equipment-Procurement services and distribution .....	655	983	978
0900 Total new obligations .....	1,405	2,100	2,100
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	248	162	162
1021 Recoveries of prior year unpaid obligations .....	175		
1050 Unobligated balance (total) .....	423	162	162
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1,467	2,100	2,100
1801 Change in uncollected payments, Federal sources .....	-303		
1810 Spending authority from offsetting collections transferred to other accounts [036-0110] .....	-20		
1850 Spending auth from offsetting collections, mand (total) .....	1,144	2,100	2,100
1930 Total budgetary resources available .....	1,567	2,262	2,262

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	162	162	162
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,567	1,406	1,939
3010 Obligations incurred, unexpired accounts .....	1,405	2,100	2,100
3020 Outlays (gross) .....	-1,391	-1,567	-2,100
3040 Recoveries of prior year unpaid obligations, unexpired .....	-175		
3050 Unpaid obligations, end of year .....	1,406	1,939	1,939
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,720	-1,417	-1,417
3070 Change in uncollected pymts, Fed sources, unexpired .....	303		
3090 Uncollected pymts, Fed sources, end of year .....	-1,417	-1,417	-1,417
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-153	-11	522
3200 Obligated balance, end of year .....	-11	522	522

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,144	2,100	2,100
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	1,391	1,567	2,100
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1,363	-2,100	-2,100
4123 Non-Federal sources .....	-104		
4130 Offsets against gross budget authority and outlays (total) .....	-1,467	-2,100	-2,100
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	303		
4160 Budget authority, net (mandatory) .....	-20		
4170 Outlays, net (mandatory) .....	-76	-533	
4180 Budget authority, net (total) .....	-20		
4190 Outlays, net (total) .....	-76	-533	

Under the provisions of 38 U.S.C. 8121, the Supply Fund is responsible for the operation and maintenance of a supply system for VA. In this capacity, it provides policy and oversight to VA's acquisition and logistics programs, and provides best value acquisition of goods and services through its National Acquisition Center, Denver Acquisition and Logistics Center, Service and Distribution Center, Technology Acquisition Center and Strategic Acquisition Center. Operating as an intra-governmental revolving fund without fiscal year limitations, the Supply Fund is financed by revenue from fees on acquisitions of supplies, equipment, and services for both VA and other Government agency (OGA) customers.

**Object Classification** (in millions of dollars)

Identification code 036-4537-0-4-705	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	103	109	113
12.1 Civilian personnel benefits .....	31	31	32
21.0 Travel and transportation of persons .....	7	8	8
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	5	6	6
24.0 Printing and reproduction .....	4	20	20
25.2 Other services from non-Federal sources .....	255	437	437
26.0 Supplies and materials .....	439	500	500
31.0 Equipment .....	555	983	978
99.9 Total new obligations .....	1,405	2,100	2,100

**Employment Summary**

Identification code 036-4537-0-4-705	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,048	1,120	1,150

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 036-4539-0-4-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Franchise Fund (Reimbursable) .....	776	903	965
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	139	137	137
1021 Recoveries of prior year unpaid obligations .....	30		
1050 Unobligated balance (total) .....	169	137	137
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	709	903	965
1701 Change in uncollected payments, Federal sources .....	71		
1710 Spending authority from offsetting collections transferred to other accounts [036-0110] .....	-36		
1750 Spending auth from offsetting collections, disc (total) .....	744	903	965
1900 Budget authority (total) .....	744	903	965
1930 Total budgetary resources available .....	913	1,040	1,102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	137	137	137
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	255	314	242
3010 Obligations incurred, unexpired accounts .....	776	903	965
3020 Outlays (gross) .....	-687	-975	-950
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30		
3050 Unpaid obligations, end of year .....	314	242	257
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-139	-210	-210
3070 Change in uncollected pymts, Fed sources, unexpired .....	-71		
3090 Uncollected pymts, Fed sources, end of year .....	-210	-210	-210
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	116	104	32
3200 Obligated balance, end of year .....	104	32	47
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	744	903	965
Outlays, gross:			
4010 Outlays from new discretionary authority .....	293	677	724
4011 Outlays from discretionary balances .....	394	298	226
4020 Outlays, gross (total) .....	687	975	950
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-709	-903	-965
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-71		
4070 Budget authority, net (discretionary) .....	-36		
4080 Outlays, net (discretionary) .....	-22	72	-15
4180 Budget authority, net (total) .....	-36		
4190 Outlays, net (total) .....	-22	72	-15

The VA Franchise Fund was established under the authority of the Government Management Reform Act of 1994 and the VA and Housing and Urban Development and Independent Agencies Act of 1997. VA was selected by the Office of Management and Budget (OMB) in 1996 as one of the six executive branch agencies to establish a franchise fund pilot program. Created as a revolving fund, the VA Franchise Fund began providing common administrative support services to the VA and other government agencies in 1997 on a fee-for-service basis. In 2006, under the Military Quality of Life and Veterans Affairs Appropriations Act, Public Law 109-114, permanent status was conferred upon the VA Franchise Fund. VA Enterprise Centers are the lines of business within the VA Franchise Fund and are expected to have net billings of about \$965 million and employ 1,769 in 2017. The Franchise Fund concept is intended to increase competition for government administrative services, resulting in lower costs and higher quality.

Object Classification (in millions of dollars)

Identification code 036-4539-0-4-705	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	98	212	262
12.1 Civilian personnel benefits .....	31	68	84
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	13	14	14
23.3 Communications, utilities, and miscellaneous charges .....	86	90	96
24.0 Printing and reproduction .....	5	6	6
25.2 Other services from non-Federal sources .....	479	445	443
26.0 Supplies and materials .....	3	8	11
31.0 Equipment .....	59	57	46
99.9 Total new obligations .....	776	903	965

Employment Summary

Identification code 036-4539-0-4-705	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,217	1,708	1,769

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. Any appropriation for fiscal year [2016] 2017 for "Compensation and Pensions", "Readjustment Benefits", and "Veterans Insurance and Indemnities" may be transferred as necessary to any other of the mentioned appropriations: *Provided*, That, before a transfer may take place, the Secretary of Veterans Affairs shall [request from] *submit notice thereof* to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and such Committees issue an approval, or absent a response, a period of 30 days has elapsed].

(INCLUDING TRANSFER OF FUNDS)

SEC. 202. Amounts made available for the Department of Veterans Affairs for fiscal year [2016] 2017, in this or any other Act, under the "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities" accounts may be transferred among the accounts: *Provided*, That [any transfers between the "Medical Services" and "Medical Support and Compliance" accounts of 1 percent or less of the total amount appropriated to the account in this or any other Act may take place subject to notification from the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress of the amount and purpose of the transfer: *Provided further*, That any transfers between the "Medical Services" and "Medical Support and Compliance" accounts in excess of 1 percent, or exceeding the cumulative 1 percent for the fiscal year, may take place only after the Secretary requests from the Committees on Appropriations of both Houses of Congress the authority to make the transfer and an approval is issued: *Provided further*, That any transfers to or from the "Medical Facilities" account may take place only after the Secretary requests from the Committees on Appropriations of both Houses of Congress the authority to make the transfer and an approval is issued] *before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress*.

SEC. 203. Appropriations available in this title for salaries and expenses shall be available for services authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles; lease of a facility or land or both; and uniforms or allowances therefore, as authorized by sections 5901 through 5902 of title 5, United States Code.

SEC. 204. No appropriations in this title (except the appropriations for "Construction, Major Projects", and "Construction, Minor Projects") shall be available for the purchase of any site for or toward the construction of any new hospital or home.

SEC. 205. No appropriations in this title shall be available for hospitalization or examination of any persons (except beneficiaries entitled to such hospitalization or examination under the laws providing such benefits to veterans, and persons receiving such treatment under sections 7901 through 7904 of title 5, United States Code, or the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.)), unless reimbursement of the cost of such hospitalization or examination is made to the "Medical Services" account at such rates as may be fixed by the Secretary of Veterans Affairs.

SEC. 206. Appropriations available in this title for "Compensation and Pensions", "Readjustment Benefits", and "Veterans Insurance and Indemnities" shall be available for payment of prior year accrued obligations required to be recorded by law against the corresponding prior year accounts within the last quarter of fiscal year [2015] 2016.

SEC. 207. Appropriations available in this title shall be available to pay prior year obligations of corresponding prior year appropriations accounts resulting from sections 3328(a), 3334, and 3712(a) of title 31, United States Code, except that if such obligations are from trust fund accounts they shall be payable only from "Compensation and Pensions".

(INCLUDING TRANSFER OF FUNDS)

SEC. 208. Notwithstanding any other provision of law, during fiscal year [2016] 2017, the Secretary of Veterans Affairs shall, from the National Service Life Insurance Fund under section 1920 of title 38, United States Code, the Veterans' Special Life Insurance Fund under section 1923 of title 38, United States Code, and the United States Government Life Insurance Fund under section 1955 of title 38, United States Code, reimburse the "General Operating Expenses, Veterans Benefits Administration" and "Information Technology Systems" accounts for the cost of administration of the insurance programs financed through those accounts: *Provided*, That reimbursement shall be made only from the surplus earnings accumulated in such an insurance program during fiscal year [2016] 2017 that are available for dividends in that program after claims have been paid and actuarially determined reserves have been set aside: *Provided further*, That if the cost of administration of such an insurance program exceeds the amount of surplus earnings accumulated in that program, reimbursement shall be made only to the extent of such surplus earnings: *Provided further*, That the Secretary shall determine the cost of administration for fiscal year [2016] 2017 which is properly allocable to the provision of each such insurance program and to the provision of any total disability income insurance included in that insurance program.

SEC. 209. Amounts deducted from enhanced-use lease proceeds to reimburse an account for expenses incurred by that account during a prior fiscal year for providing enhanced-use lease services, may be obligated during the fiscal year in which the proceeds are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 210. Funds available in this title or funds for salaries and other administrative expenses shall also be available to reimburse the Office of Resolution Management of the Department of Veterans Affairs and the Office of Employment Discrimination Complaint Adjudication under section 319 of title 38, United States Code, for all services provided at rates which will recover actual costs but not to exceed [\$43,700,000] \$47,668,000 for the Office of Resolution Management and [\$3,400,000] \$3,532,000 for the Office of Employment Discrimination Complaint Adjudication: *Provided*, That payments may be made in advance for services to be furnished based on estimated costs: *Provided further*, That amounts received shall be credited to the "General Administration" and "Information Technology Systems" accounts for use by the office that provided the service.

(TRANSFER OF FUNDS)

[SEC. 211. Of the amounts made available to the Department of Veterans Affairs for fiscal year 2016 for the Office of Rural Health under the heading "Medical Services", including any advance appropriation for fiscal year 2016 provided in prior appropriation Acts, up to \$20,000,000 may be transferred to and merged with funds appropriated under the heading "Grants for Construction of State Extended Care Facilities". ]

SEC. [212] 211. No funds of the Department of Veterans Affairs shall be available for hospital care, nursing home care, or medical services provided to any person under chapter 17 of title 38, United States Code, for a non-service-connected disability described in section 1729(a)(2) of such title, unless that person has disclosed to the Secretary of Veterans Affairs, in such form as the Secretary may require, current, accurate third-party reimbursement information for purposes of section 1729 of such title: *Provided*, That the Secretary may recover, in the same manner as any other debt due the United States, the reasonable charges for such care or services from any person who does not make such disclosure as required: *Provided further*, That any amounts so recovered for care or services provided in a prior fiscal year may be obligated by the Secretary during the fiscal year in which amounts are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. [213] 212. Notwithstanding any other provision of law, proceeds or revenues derived from enhanced-use leasing activities (including disposal) may be deposited into the "Construction, Major Projects" and "Construction, Minor Projects" accounts and be used for construction (including site acquisition and disposition), alterations, and improvements of any medical facility under the jurisdiction or for the use of the Department of Veterans Affairs. Such sums as realized are in addition to the amount provided for in "Construction, Major Projects" and "Construction, Minor Projects".

SEC. [214] 213. Amounts made available under "Medical Services" are available—  
 (1) for furnishing recreational facilities, supplies, and equipment; and  
 (2) for funeral expenses, burial expenses, and other expenses incidental to funerals and burials for beneficiaries receiving care in the Department.

(INCLUDING TRANSFER OF FUNDS)

SEC. [215] 214. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, may be transferred to the "Medical Services" and "Medical Community Care" accounts, to remain available until expended for the purposes of [that account] these accounts.

SEC. [216] 215. The Secretary of Veterans Affairs may enter into agreements with Indian tribes and tribal organizations which are party to the Alaska Native Health Compact with the Indian Health Service, and Indian tribes and tribal organizations serving rural Alaska which have entered into contracts with the Indian Health Service under the Indian Self Determination and Educational Assistance Act, to provide healthcare, including behavioral health and dental care. The Secretary shall require participating veterans and facilities to comply with all appropriate rules and regulations, as established by the Secretary. The term "rural Alaska" shall mean those lands sited within the external boundaries of the Alaska Native regions specified in sections 7(a)(1)-(4) and (7)-(12) of the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1606), and those lands within the Alaska Native regions specified in sections 7(a)(5) and 7(a)(6) of the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1606), which are not within the boundaries of the municipality of Anchorage, the Fairbanks North Star Borough, the Kenai Peninsula Borough or the Matanuska Susitna Borough.

(INCLUDING TRANSFER OF FUNDS)

SEC. [217] 216. Such sums as may be deposited to the Department of Veterans Affairs Capital Asset Fund pursuant to section 8118 of title 38, United States Code, may be transferred to the "Construction, Major Projects" and "Construction, Minor Projects" accounts, to remain available until expended for the purposes of these accounts.

[SEC. 218. None of the funds made available in this title may be used to implement any policy prohibiting the Directors of the Veterans Integrated Services Networks from conducting outreach or marketing to enroll new veterans within their respective Networks. ]

[SEC. 219. Not later than 30 days after the end of each fiscal quarter, the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress a report on the financial status of the Department of Veterans Affairs for the preceding quarter: *Provided*, That, at a minimum, the report shall include the direction contained in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) in the paragraph entitled "Quarterly Report", under the heading "General Administration". ]

(INCLUDING TRANSFER OF FUNDS)

SEC. [220] 217. Amounts made available under the "Medical Services", "Medical Community Care", "Medical Support and Compliance", "Medical Facilities", "General Operating Expenses, Veterans Benefits Administration", "General Administration", and "National Cemetery Administration" accounts for fiscal year [2016] 2017 may be transferred to or from the "Information Technology Systems" account: *Provided*, [That such transfers may not result in a more than 10 percent aggregate increase in the total amount made available by this Act for the "Information Technology Systems" account: *Provided further*.] That, before a transfer may take place, the Secretary of Veterans Affairs shall [request from] submit notice thereof to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and an approval is issued].

[SEC. 221. None of the funds appropriated or otherwise made available by this Act or any other Act for the Department of Veterans Affairs may be used in a manner that is inconsistent with: (1) section 842 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109-115; 119 Stat. 2506); or (2) section 8110(a)(5) of title 38, United States Code. ]

(INCLUDING TRANSFER OF FUNDS)

SEC. [222] 218. Of the amounts appropriated to the Department of Veterans Affairs for fiscal year [2016] 2017 for "Medical Services", "Medical Support and Compliance", "Medical Facilities", "Construction, Minor Projects", and "Information Technology Systems", up to [\$267,521,000] \$274,731,000, plus reimbursements, may be transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111-84; 123 Stat. 3571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110-417; 122 Stat. 4500): *Provided*, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress: *Provided further*, That section 223 of Title II of Division [I] J of Public Law [113-235] 114-113 is repealed.

## (INCLUDING TRANSFER OF FUNDS)

SEC. [223] 219. Of the amounts appropriated to the Department of Veterans Affairs which become available on October 1, [2016] 2017, for "Medical Services", "Medical Support and Compliance", and "Medical Facilities", up to [\$265,675,000] \$280,802,000, plus reimbursements, may be transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress.

## (INCLUDING TRANSFER OF FUNDS)

SEC. [224] 220. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, for healthcare provided at facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500) shall also be available: (1) for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571); and (2) for operations of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500).

## (INCLUDING TRANSFER OF FUNDS)

SEC. [225] 221. Of the amounts available in this title for "Medical Services", "Medical Support and Compliance", and "Medical Facilities", a minimum of \$15,000,000 shall be transferred to the DOD-VA Health Care Sharing Incentive Fund, as authorized by section 8111(d) of title 38, United States Code, to remain available until expended, for any purpose authorized by section 8111 of title 38, United States Code.

## [(INCLUDING RESCISSIONS OF FUNDS)]

[SEC. 226. (a) Of the funds appropriated in title II of division I of Public Law 113–235, the following amounts which became available on October 1, 2015, are hereby rescinded from the following accounts in the amounts specified:

- (1) "Department of Veterans Affairs, Medical Services", \$1,400,000,000.
- (2) "Department of Veterans Affairs, Medical Support and Compliance", \$100,000,000.
- (3) "Department of Veterans Affairs, Medical Facilities", \$250,000,000.

(b) In addition to amounts provided elsewhere in this Act, an additional amount is appropriated to the following accounts in the amounts specified to remain available until September 30, 2017:

- (1) "Department of Veterans Affairs, Medical Services", \$1,400,000,000.
- (2) "Department of Veterans Affairs, Medical Support and Compliance", \$100,000,000.
- (3) "Department of Veterans Affairs, Medical Facilities", \$250,000,000.]

[SEC. 227. The Secretary of Veterans Affairs shall notify the Committees on Appropriations of both Houses of Congress of all bid savings in a major construction project that total at least \$5,000,000, or 5 percent of the programmed amount of the project, whichever is less: *Provided*, That such notification shall occur within 14 days of a contract identifying the programmed amount: *Provided further*, That the Secretary shall notify the Committees on Appropriations of both Houses of Congress 14 days prior to the obligation of such bid savings and shall describe the anticipated use of such savings.]

[SEC. 228. None of the funds made available for "Construction, Major Projects" may be used for a project in excess of the scope specified for that project in the original justification data provided to the Congress as part of the request for appropriations unless the Secretary of Veterans Affairs receives approval from the Committees on Appropriations of both Houses of Congress.]

[SEC. 229. The Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress a quarterly report that contains the following information from each Veterans Benefits Administration Regional Office: (1) the average time to complete a disability compensation claim; (2) the number of claims pending more than 125 days, disaggregated by initial and supplemental claims; (3) error rates; (4) the number of claims personnel; (5) any corrective action taken within the quarter to address poor performance; (6) training programs undertaken; and (7) the number and results of Quality Review Team audits: *Provided*, That each quarterly report shall be submitted no later than 30 days after the end of the respective quarter.]

[SEC. 230. Of the funds provided to the Department of Veterans Affairs for fiscal year 2016 for "Medical Services" and "Medical Support and Compliance", a maximum of \$5,000,000 may be obligated from the "Medical Services" account and a maximum of \$154,596,000 may be obligated from the "Medical Support and Compliance" account for the VistA Evolution and electronic health record interoperability projects: *Provided*, That funds in addition to these amounts may be obligated for the VistA Evolution and electronic health record interoperability projects upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress.]

[SEC. 231. The Secretary of Veterans Affairs shall provide written notification to the Committees on Appropriations of both Houses of Congress 15 days prior to organizational changes which result in the transfer of 25 or more full-time equivalents from one organizational unit of the Department of Veterans Affairs to another.]

[SEC. 232. The Secretary of Veterans Affairs shall provide on a quarterly basis to the Committees on Appropriations of both Houses of Congress notification of any single national outreach and awareness marketing campaign in which obligations exceed \$2,000,000.]

[SEC. 233. None of the funds available to the Department of Veterans Affairs, in this or any other Act, may be used to replace the current system by which the Veterans Integrated Service Networks select and contract for diabetes monitoring supplies and equipment.]

## (INCLUDING TRANSFER OF FUNDS)

SEC. [234] 222. The Secretary of Veterans Affairs, upon determination that such action is necessary to address needs of the Veterans Health Administration, may transfer to the "Medical Services" account any discretionary appropriations made available for fiscal year [2016] 2017 in this title (except appropriations made to the "General Operating Expenses, Veterans Benefits Administration" account) or any discretionary unobligated balances within the Department of Veterans Affairs, including those appropriated for fiscal year [2016] 2017, that were provided in advance by appropriations Acts: *Provided*, That transfers shall be made only with the approval of the Office of Management and Budget: *Provided further*, That the transfer authority provided in this section is in addition to any other transfer authority provided by law: *Provided further*, That no amounts may be transferred from amounts that were designated by Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That such authority to transfer may not be used unless for higher priority items, based on emergent healthcare requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by Congress: *Provided further*, That, upon determination that all or part of the funds transferred from an appropriation are not necessary, such amounts may be transferred back to that appropriation and shall be available for the same purposes as originally appropriated: *Provided further*, That before a transfer may take place, the Secretary of Veterans Affairs shall [request from] *submit notice thereof* to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and receive approval of that request].

## (INCLUDING TRANSFER OF FUNDS)

SEC. [235] 223. Amounts made available for the Department of Veterans Affairs for fiscal year [2016] 2017, under the "Board of Veterans Appeals" and the "General Operating Expenses, Veterans Benefits Administration" accounts may be transferred between such accounts: *Provided*, That before a transfer may take place, the Secretary of Veterans Affairs shall [request from] *submit notice thereof* to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and receive approval from such Committees for such request].

## [(RESCISSION OF FUNDS)]

[SEC. 236. Of the unobligated balances available within the "DOD-VA Health Care Sharing Incentive Fund", \$30,000,000 are hereby rescinded.]

[SEC. 237. The Secretary of Veterans Affairs may not reprogram funds among major construction projects or programs if such instance of reprogramming will exceed \$5,000,000, unless such reprogramming is approved by the Committees on Appropriations of both Houses of Congress.]

[SEC. 238. Section 2302(a)(2)(A)(viii) of title 5, United States Code, is amended by inserting "or under title 38" after "of this title".]

[SEC. 239. Section 312 of title 38, United States Code, is amended by adding at the end the following new subsection:

"(c)

"(1) Whenever the Inspector General, in carrying out the duties and responsibilities established under the Inspector General Act of 1978 (5 U.S.C. App.), issues a work product that makes a recommendation or otherwise suggests corrective action, the Inspector General shall—

"(A) submit the work product to—

"(i) the Secretary;

"(ii) the Committee on Veterans' Affairs, the Committee on Homeland Security and Governmental Affairs, and the Committee on Appropriations of the Senate;

"(iii) the Committee on Veterans' Affairs, the Committee on Oversight and Government Reform, and the Committee on Appropriations of the House of Representatives;

"(iv) if the work product was initiated upon request by an individual or entity other than the Inspector General, that individual or entity; and

"(v) any Member of Congress upon request; and

"(B) the Inspector General shall submit all final work products to—

"(i) if the work product was initiated upon request by an individual or entity other than the Inspector General, that individual or entity; and

"(ii) any Member of Congress upon request; and

"(C) not later than 3 days after the work product is submitted in final form to the Secretary, post the work product on the Internet website of the Inspector General.

"(2) Nothing in this subsection shall be construed to authorize the public disclosure of information that is specifically prohibited from disclosure by any other provision of law." ]

**SEC. 240.** None of the funds provided in this Act may be used to pay the salary of any individual who (a) was the Executive Director of the Office of Acquisition, Logistics and Construction, and (b) who retired from Federal service in the midst of an investigation, initiated by the Department of Veterans Affairs, into delays and cost overruns associated with the design and construction of the new medical center in Aurora, Colorado. ]

**SEC. 241.** None of the funds appropriated in this or prior appropriations Acts or otherwise made available to the Department of Veterans Affairs may be used to transfer any amounts from the Filipino Veterans Equity Compensation Fund to any other account within the Department of Veterans Affairs. ]

**SEC. 242.** None of the amounts appropriated or otherwise made available by title II may be used to carry out the Home Marketing Incentive Program of the Department of Veterans Affairs or to carry out the Appraisal Value Offer Program of the Department with respect to an employee of the Department in a senior executive position (as defined in section 713(g) of title 38, United States Code): *Provided*, That the Secretary may waive this prohibition with respect to the use of the Home Marketing Incentive Program and Appraisal Value Offer Program to recruit for a position for which recruitment or retention of qualified personnel is likely to be difficult in the absence of the use of these incentives: *Provided further*, That within 15 days of a determination by the Secretary to waive this prohibition, the Secretary shall submit written notification thereof to the Committees on Appropriations of both Houses of Congress containing the reasons and identifying the position title for which the waiver has been issued. ]

**(INCLUDING TRANSFER OF FUNDS)]**

**SEC. 243.** There is hereby established in the Treasury of the United States a fund to be known as the "Recurring Expenses Transformational Fund" (the Fund): *Provided*, That unobligated balances of expired discretionary funds appropriated in this or any succeeding fiscal year from the General Fund of the Treasury to the Department of Veterans Affairs by this or any other Act may be transferred (at the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) into the Fund: *Provided further*, That amounts deposited in the Fund shall be available until expended, and in addition to such other funds as may be available for such purposes, for facilities infrastructure improvements, including nonrecurring maintenance, at existing hospitals and clinics of the Veterans Health Administration, and information technology systems improvements and sustainment, subject to approval by the Office of Management and Budget: *Provided further*, That prior to obligation of any amounts in the Fund, the Secretary of Veterans Affairs shall request from the Committees on Appropriations of both Houses of Congress the authority to make such obligation and such Committees issue an approval, or absent a response, a period of 30 days has elapsed. ]

**SEC. 224.** *Upon determination by the Secretary of Veterans Affairs that such action is necessary for providing health care, benefits and other services, the Secretary may transfer amounts made available to the Department of Veterans Affairs for fiscal year 2017 by this Act between any discretionary appropriations accounts for fiscal year 2017: Provided, That amounts so transferred shall be merged with the account to which transferred: Provided further, That the total amount that the Secretary may transfer under this section may not exceed two percent of the total discretionary appropriations made available to the Department for fiscal year 2017 by this Act: Provided further, that a transfer of funds between the "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities" accounts shall not be counted toward the two percent limitation in the previous proviso: Provided further, That the transfer authority provided by this section may be exercised only to support activities in an appropriations account*

*that have a higher priority than those undertaken in the appropriations account from which budget authority is transferred, as determined by the Secretary: Provided further, That such transfer authority may not be used to provide budget authority for an activity that the Secretary lacks the authority to carry out: Provided further, That the transfer authority provided in this section is in addition to any other transfer authority provided by law. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)*

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
036-27330 Housing Downward Reestimates .....	268	312	.....
036-275110 Native American Veteran Housing Loans, Negative Subsidies .....	1	2	2
036-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	5	10	11
036-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	24	40	40
036-247300 Contributions from Military Personnel, Veteran's Educational Assistance Act of 1984 .....	145	125	110
036-275510 Housing Negative Subsidies .....	.....	74	92
General Fund Offsetting receipts from the public .....	443	563	255
Intragovernmental payments:			
036-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	4	5	5
General Fund Intragovernmental payments .....	4	5	5

**TITLE IV—GENERAL PROVISIONS**

**SEC. 401.** No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

**SEC. 402.** None of the funds made available in this Act may be used for any program, project, or activity, when it is made known to the Federal entity or official to which the funds are made available that the program, project, or activity is not in compliance with any Federal law relating to risk assessment, the protection of private property rights, or unfunded mandates.

**SEC. 403.** All departments and agencies funded under this Act are encouraged, within the limits of the existing statutory authorities and funding, to expand their use of "E-Commerce" technologies and procedures in the conduct of their business practices and public service activities.

**SEC. 404.** Unless stated otherwise, all reports and notifications required by this Act shall be submitted to the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives and the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate.

**SEC. 405.** None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government except pursuant to a transfer made by, or transfer authority provided in, this or any other appropriations Act.

**SEC. 406.** None of the funds made available in this Act may be used for a project or program named for an individual serving as a Member, Delegate, or Resident Commissioner of the United States House of Representatives.

**SEC. 407.** (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises national security; or
- (2) the report contains confidential or proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

**SEC. 408.** (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 409. None of the funds made available in this Act may be used by an agency of the executive branch to pay for first-class travel by an employee of the agency in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. 410. None of the funds made available in this Act may be used to execute a contract for goods or services, including construction services, where the contractor has not complied with Executive Order No. 12989.

【SEC. 411. None of the funds made available by this Act may be used by the Department of Defense or the Department of Veterans Affairs to lease or purchase new light duty vehicles for any executive fleet, or for an agency's fleet inventory, except in accordance with Presidential Memorandum—Federal Fleet Performance, dated May 24, 2011.】

【SEC. 412. (a) IN GENERAL.—None of the funds appropriated or otherwise made available to the Department of Defense in this Act may be used to construct, renovate, or expand any facility in the United States, its territories, or possessions to house any individual detained at United States Naval Station, Guantanamo Bay, Cuba, for

the purposes of detention or imprisonment in the custody or under the control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

(1) is not a citizen of the United States or a member of the Armed Forces of the United States; and

(2) is—

(A) in the custody or under the effective control of the Department of Defense; or

(B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba.】

(*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)



## CORPS OF ENGINEERS—CIVIL WORKS

The following appropriations shall be expended under the direction of the Secretary of the Army and the supervision of the Chief of Engineers for authorized civil functions of the Department of the Army pertaining to [river and harbor] *commercial navigation*, flood and storm damage reduction, [shore protection,] aquatic ecosystem restoration, and related efforts.

### Federal Funds

#### OFFICE OF THE ASSISTANT SECRETARY OF THE ARMY FOR CIVIL WORKS

For the Office of the Assistant Secretary of the Army for Civil Works [as authorized by 10 U.S.C. 3016(b)(3), \$4,750,000], \$5,000,000, to remain available until [September 30, 2017: *Provided*, That not more than 50 percent of such amount may be obligated or expended until the Assistant Secretary submits to the Committees on Appropriations of both Houses of Congress a work plan that allocates at least 95 percent of the additional funding provided under each heading in this title (as designated under such heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)) to specific programs, projects, or activities] expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 096–3132–0–1–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Assistant Secretary of the Army (Civil Works) .....	3	5	5
0900 Total new obligations (object class 25.3) .....	3	5	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	5	5
1930 Total budgetary resources available .....	3	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	5	4
3010 Obligations incurred, unexpired accounts .....	3	5	5
3020 Outlays (gross) .....	–5	–6	–6
3050 Unpaid obligations, end of year .....	5	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	5	4
3200 Obligated balance, end of year .....	5	4	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	5
4011 Outlays from discretionary balances .....	5	1	1
4020 Outlays, gross (total) .....	5	6	6
4180 Budget authority, net (total) .....	3	5	5
4190 Outlays, net (total) .....	5	6	6

This appropriation funds strategic planning for and overall supervision of the Army's civil works program.

### CONSTRUCTION

For expenses necessary for the construction of [river and harbor] *commercial navigation*, flood and storm damage reduction, [shore protection,] and aquatic ecosystem restoration *projects*, and related [projects authorized by law] *efforts*; for [conducting detailed] *studies, design work*, and plans and specifications, of such projects [(including those involving participation by States, local governments, or private groups) authorized or made eligible for selection by law (but such detailed studies, and plans and specifications, shall not constitute a commitment of the Government to construction); \$1,862,250,000], and related efforts, \$1,090,000,000, to remain available until expended; of which such sums as are necessary to cover the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program shall be derived from the Harbor Maintenance Trust

Fund [as authorized by Public Law 104–303]; and of which such sums as are necessary to cover one-half of the costs of construction, replacement, rehabilitation, and expansion of inland waterways projects shall be derived from the Inland Waterways Trust Fund, except as otherwise specifically provided for in law [ : *Provided*, That the Secretary may initiate up to, but not more than, six new construction starts during fiscal year 2016: *Provided further*, That the new construction starts will consist of five projects where the majority of the benefits are derived from navigation transportation savings or from flood and storm damage reduction and one project where the majority of the benefits are derived from environmental restoration: *Provided further*, That for new construction projects, project cost sharing agreements shall be executed as soon as practicable but no later than August 31, 2016: *Provided further*, That no allocation for a new start shall be considered final and no work allowance shall be made until the Secretary provides to the Committees on Appropriations of the House of Representatives and the Senate an out-year funding scenario demonstrating the affordability of the selected new starts and the impacts on other projects: *Provided further*, That the Secretary may not deviate from the new starts proposed in the work plan, once the plan has been submitted to the Committees on Appropriations of the House of Representatives and the Senate]. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 096–3122–0–1–301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation .....	536	585	468
0002 Flood Risk Management .....	1,248	1,362	1,090
0003 Aquatic Ecosystem Restoration .....	355	388	310
0004 Hydropower .....	1	1	1
0005 Multipurpose and Other Programs .....	107	117	94
0100 Direct program subtotal .....	2,247	2,453	1,963
0799 Total direct obligations .....	2,247	2,453	1,963
0801 Department of Homeland Security .....	149	149	150
0802 Department of Veteran Affairs .....	91	91	91
0803 Environmental Protection Agency .....	94	94	95
0804 National Aeronautics and Space Administration .....	46	46	46
0805 Department of Energy .....	30	30	30
0806 Other Federal Agencies .....	136	136	136
0808 Intra-Corps .....	263	263	263
0899 Total reimbursable obligations .....	809	809	811
0900 Total new obligations .....	3,056	3,262	2,774
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,476	4,911	4,150
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	2	.....	.....
1021 Recoveries of prior year unpaid obligations .....	90	.....	.....
1050 Unobligated balance (total) .....	5,568	4,911	4,150
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,524	1,657	991
1131 Unobligated balance of appropriations permanently reduced .....	–22	.....	.....
1160 Appropriation, discretionary (total) .....	1,502	1,657	991
Spending authority from offsetting collections, discretionary:			
1700 Collected (Inland Waterways Trust Fund) .....	69	108	34
1700 Collected (Harbor Maintenance Trust Fund) .....	47	97	65
1700 Collected (Other Source) .....	843	639	643
1701 Change in uncollected payments, Federal sources .....	–62	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	897	844	742
1900 Budget authority (total) .....	2,399	2,501	1,733
1930 Total budgetary resources available .....	7,967	7,412	5,883
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,911	4,150	3,109
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,393	3,328	3,831
3010 Obligations incurred, unexpired accounts .....	3,056	3,262	2,774
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	–3,025	–2,759	–2,781
3040 Recoveries of prior year unpaid obligations, unexpired .....	–90	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–7	.....	.....
3050 Unpaid obligations, end of year .....	3,328	3,831	3,824

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 096-3122-0-1-301	2015 actual	2016 est.	2017 est.
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,325	-1,264	-1,264
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	62		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1,264	-1,264	-1,264
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,066	2,064	2,567
3200 Obligated balance, end of year .....	2,064	2,567	2,560
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,399	2,501	1,733
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1,585	1,114
4011 Outlays from discretionary balances .....	3,025	1,174	1,667
4020 Outlays, gross (total) .....	3,025	2,759	2,781
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-898	-844	-742
4033 Non-Federal sources .....	-63		
4040 Offsets against gross budget authority and outlays (total) ....	-961	-844	-742
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	62		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	64		
4070 Budget authority, net (discretionary) .....	1,502	1,657	991
4080 Outlays, net (discretionary) .....	2,064	1,915	2,039
4180 Budget authority, net (total) .....	1,502	1,657	991
4190 Outlays, net (total) .....	2,064	1,915	2,039

This appropriation funds the construction, replacement, rehabilitation, and expansion of water resources projects whose principal purpose is to provide commercial navigation, flood and storm damage reduction, or aquatic ecosystem restoration benefits to the Nation, and related efforts. The Budget shows all funding provided through the Inland Waterways Trust Fund as well as a portion of the funding from the Harbor Maintenance Trust Fund as transferred to and executed in the Construction account.

This account allocates funds on a performance basis to high-performing projects. The Budget funds those investments within the three main mission areas of the Corps civil works program — commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration — as well as related efforts (such as hydropower at multi-purpose dams) that provide the best economic, environmental, and public safety returns to the Nation.

This account includes \$75 million for work under the Comprehensive Everglades Restoration Plan (CERP), which represents approximately 7 percent of the total amount in this account and approximately 2 percent of the total amount in the civil works program. Funding CERP at this level would not have a significant impact on the overall civil works program in 2017. Construction account funding for CERP in future years will depend on the availability of funds, so the impact of such future funding on the overall civil works program cannot be determined at this time. Funding for the Department of the Interior (DOI) includes an additional \$8 million for work under CERP. This account also includes approximately \$31 million for other ecosystem restoration work by the Corps in South Florida, including the Everglades ecosystem. Funding for DOI includes \$55 million for such non-CERP work. The Budget for the two agencies includes a total of \$169 million for ecosystem restoration work in South Florida, of which \$83 million is for CERP and \$86 million is for non-CERP work.

This appropriation also funds the Corps continuing authorities programs, which involve the planning, design, and construction of smaller projects that do not require specific authorizing legislation.

Object Classification (in millions of dollars)

Identification code 096-3122-0-1-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	207	238	240
11.3 Other than full-time permanent .....	11	13	13
11.5 Other personnel compensation .....	6	7	7
11.8 Special personal services payments .....	19	15	15
11.9 Total personnel compensation .....	243	273	275
12.1 Civilian personnel benefits .....	67	78	79
21.0 Travel and transportation of persons .....	4	4	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	18	20	15
25.2 Other services from non-Federal sources .....	45	49	37
25.3 Purchase of goods and services from Government accounts .....	126	137	104
25.4 Operation and maintenance of facilities .....	9	10	8
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	1,729	1,876	1,436
99.0 Direct obligations .....	2,247	2,453	1,963
99.0 Reimbursable obligations .....	809	809	811
99.9 Total new obligations .....	3,056	3,262	2,774

Employment Summary

Identification code 096-3122-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,580	2,950	2,950
2001 Reimbursable civilian full-time equivalent employment .....	580	906	906

OPERATION AND MAINTENANCE

For expenses necessary for the operation, maintenance, and care of existing [river and harbor] commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration projects, and related [projects authorized by law] efforts; providing security for infrastructure owned or operated by the Corps, including administrative buildings and laboratories; maintaining harbor channels provided by a State, municipality, or other public agency that serve essential navigation needs of general commerce, where authorized by law; surveying and charting northern and northwestern lakes and connecting waters; clearing and straightening channels; and removing obstructions to navigation, [\$3,137,000,000] \$2,705,000,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels, and for inland harbors shall be derived from the Harbor Maintenance Trust Fund; of which such sums as become available from the special account for the Army Corps of Engineers established by the Land and Water Conservation Fund Act of 1965 shall be derived from that account for resource protection, research, interpretation, and maintenance activities related to resource protection in the areas managed by the Army Corps of Engineers at which outdoor recreation is available; and of which such sums as become available from fees collected under section 217 of Public Law 104-303 shall be used to cover the cost of operation and maintenance of the dredged material disposal facilities for which such fees have been collected [; Provided, That 1 percent of the total amount of funds provided for each of the programs, projects, or activities funded under this heading shall not be allocated to a field operating activity prior to the beginning of the fourth quarter of the fiscal year and shall be available for use by the Chief of Engineers to fund such emergency activities as the Chief of Engineers determines to be necessary and appropriate, and that the Chief of Engineers shall allocate during the fourth quarter any remaining funds which have not been used for emergency activities proportionally in accordance with the amounts provided for the programs, projects, or activities]. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 096-3123-0-1-301	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Commercial Navigation .....	1,783	1,799	1,601
0002 Flood Risk Management .....	617	623	554
0003 Aquatic Ecosystem Restoration .....	9	9	8
0004 Hydropower .....	56	57	50
0005 Multipurpose and Other Programs .....	685	691	615

0006	Emergency Management .....	5	5	5
0192	Total direct program .....	3,155	3,184	2,833
0799	Total direct obligations .....	3,155	3,184	2,833
0806	Other Federal Agencies .....	19	19	19
0807	Non-Federal Agencies .....	32	32	32
0808	Intra-Corps .....	202	202	202
0899	Total reimbursable obligations .....	253	253	253
0900	Total new obligations .....	3,408	3,437	3,086
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	853	680	628
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-102		
1021	Recoveries of prior year unpaid obligations .....	61		
1050	Unobligated balance (total) .....	812	680	628
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,810	1,939	1,777
1120	Appropriations transferred to other accts [014-1611] .....		-5	-5
1121	Appropriations transferred from other acct [096-5383] .....	45	45	45
1131	Unobligated balance of appropriations permanently reduced .....	-2		
1160	Appropriation, discretionary (total) .....	1,853	1,979	1,817
Spending authority from offsetting collections, discretionary:				
1700	Collected (Harbor Maintenance Trust Fund) .....	1,054	1,153	883
1700	Collected (Other) .....	286	253	253
1701	Change in uncollected customer payments from Federal sources (HMTF) .....	-28		
1711	Spending authority from offsetting collections transferred from other accounts [089-4045] .....	111		
1750	Spending auth from offsetting collections, disc (total) .....	1,423	1,406	1,136
1900	Budget authority (total) .....	3,276	3,385	2,953
1930	Total budgetary resources available .....	4,088	4,065	3,581
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	680	628	495
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,481	1,406	1,519
3010	Obligations incurred, unexpired accounts .....	3,408	3,437	3,086
3020	Outlays (gross) .....	-3,420	-3,324	-3,295
3040	Recoveries of prior year unpaid obligations, unexpired .....	-61		
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	1,406	1,519	1,310
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-236	-111	-111
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	97		
3070	Change in uncollected pymts, Fed sources, unexpired .....	28		
3090	Uncollected pymts, Fed sources, end of year .....	-111	-111	-111
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,342	1,295	1,408
3200	Obligated balance, end of year .....	1,295	1,408	1,199
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,276	3,385	2,953
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,089	1,838	1,648
4011	Outlays from discretionary balances .....	2,331	1,486	1,647
4020	Outlays, gross (total) .....	3,420	3,324	3,295
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,287	-1,406	-1,136
4033	Non-Federal sources .....	-53		
4040	Offsets against gross budget authority and outlays (total) .....	-1,340	-1,406	-1,136
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	28		
4070	Budget authority, net (discretionary) .....	1,964	1,979	1,817
4080	Outlays, net (discretionary) .....	2,080	1,918	2,159
4180	Budget authority, net (total) .....	1,964	1,979	1,817
4190	Outlays, net (total) .....	2,080	1,918	2,159

This appropriation funds inspection, operation, maintenance, and related activities for water resources projects operated and maintained by the Corps. These projects include navigation channels, navigation locks and dams, structures to reduce the risk of flood and storm damage (e.g., levees), and

multi-purpose projects, as authorized in River and Harbor, Flood Control, and Water Resources Development Acts and other laws. Key infrastructure that is of central importance to the Nation and the continued safety of the public is given the highest priority for funding in this account. The Budget shows a portion of the funding provided through the Harbor Maintenance Trust Fund as transferred to and executed in the Operations and Maintenance account.

This appropriation funds all of the costs associated with protecting Corps facilities from potential security threats. It also funds the national emergency preparedness program under Executive Order 11490.

This account provides \$300 thousand for operation and maintenance of ecosystem restoration work in South Florida. None of these funds is for CERP work. The operation and maintenance funding of CERP and non-CERP work is expected to slowly increase over time as construction projects are completed. The future impact of this on the civil works program is therefore linked in part to the pace of construction, which depends on the availability of funding and cannot be determined at this time.

#### Object Classification (in millions of dollars)

Identification code 096-3123-0-1-301	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	810	805	813
11.3	Other than full-time permanent .....	27	26	27
11.5	Other personnel compensation .....	41	20	23
11.8	Special personal services payments .....	8	3	3
11.9	Total personnel compensation .....	886	854	866
12.1	Civilian personnel benefits .....	268	266	268
21.0	Travel and transportation of persons .....	21	22	18
22.0	Transportation of things .....	12	12	10
23.0	Communications, utilities, and miscellaneous charges .....	31	32	26
25.1	Advisory and assistance services .....	16	16	14
25.2	Other services from non-Federal sources .....	334	345	284
25.3	Other goods and services from Federal sources .....	541	558	459
25.4	Operation and maintenance of facilities .....	156	161	133
25.7	Operation and maintenance of equipment .....	4	4	3
26.0	Supplies and materials .....	59	61	50
31.0	Equipment .....	28	29	24
32.0	Land and structures .....	799	824	678
99.0	Direct obligations .....	3,155	3,184	2,833
99.0	Reimbursable obligations .....	253	253	253
99.9	Total new obligations .....	3,408	3,437	3,086

#### Employment Summary

Identification code 096-3123-0-1-301	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	12,639	12,425	12,425
2001	Reimbursable civilian full-time equivalent employment .....	253	253	253

#### SPECIAL RECREATION USER FEE

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5383-0-2-301	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	45	49	53
Receipts:				
Current law:				
1130	Special Recreation Use Fees, Corps of Engineers .....	44	46	46
1130	User Fees, Fund for Non-Federal Use of Disposal Facilities .....	5	3	3
1199	Total current law receipts .....	49	49	49
1999	Total receipts .....	49	49	49
2000	Total: Balances and receipts .....	94	98	102
Appropriations:				
Current law:				
2101	Special Recreation User Fee .....	-45	-45	-45
5099	Balance, end of year .....	49	53	57

SPECIAL RECREATION USER FEE—Continued

Program and Financing (in millions of dollars)

Identification code 096-5383-0-2-301	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	45	45	45
1120 Appropriations transferred to other accts [096-3123] .....	-45	-45	-45
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

MISSISSIPPI RIVER AND TRIBUTARIES

For expenses necessary for flood damage reduction projects, and related efforts in the Mississippi River alluvial valley below Cape Girardeau, Missouri, [as authorized by law, \$345,000,000] \$222,000,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for inland harbors shall be derived from the Harbor Maintenance Trust Fund. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 096-3112-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation .....	109	98	74
0002 Flood Risk Management .....	195	175	133
0006 Multipurpose and Other Programs .....	71	63	48
0799 Total direct obligations .....	375	336	255
0801 Intra-Corps .....	52	52	52
0900 Total new obligations .....	427	388	307
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	139	83	92
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-2		
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	150	83	92
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	296	341	220
Spending authority from offsetting collections, discretionary:			
1700 Collected (Harbor Maintenance Trust Fund) .....	6	4	2
1700 Collected (Other) .....	77	52	52
1701 Change in uncollected payments, Federal sources .....	-19		
1750 Spending auth from offsetting collections, disc (total) .....	64	56	54
1900 Budget authority (total) .....	360	397	274
1930 Total budgetary resources available .....	510	480	366
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	83	92	59

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	267	231	232
3010 Obligations incurred, unexpired accounts .....	427	388	307
3020 Outlays (gross) .....	-449	-387	-342
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	231	232	197
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-70	-49	-49
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	19		
3090 Uncollected pymts, Fed sources, end of year .....	-49	-49	-49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	199	182	183
3200 Obligated balance, end of year .....	182	183	148

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	360	397	274
Outlays, gross:			
4010 Outlays from new discretionary authority .....	44	178	128

4011 Outlays from discretionary balances .....	405	209	214
4020 Outlays, gross (total) .....	449	387	342
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-81	-56	-54
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-83	-56	-54
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	19		
4070 Budget authority, net (discretionary) .....	296	341	220
4080 Outlays, net (discretionary) .....	366	331	288
4180 Budget authority, net (total) .....	296	341	220
4190 Outlays, net (total) .....	366	331	288

This appropriation funds planning, design, construction, and operation and maintenance activities associated with projects to reduce the risk of flood damage in the lower Mississippi River alluvial valley below Cape Girardeau, Missouri. The Budget shows a portion of the funding provided through the Harbor Maintenance Trust Fund as transferred to and executed in the Mississippi River and Tributaries account.

Object Classification (in millions of dollars)

Identification code 096-3112-0-1-301	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	58	55	56
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	7	7	7
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	69	66	67
12.1 Civilian personnel benefits .....	21	20	20
21.0 Travel and transportation of persons .....	2	2	1
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous .....	3	3	2
25.2 Other services from non-Federal sources .....	4	3	2
25.3 Purchase goods & svcs. fm Government .....	107	94	63
25.4 Operation and maintenance of facilities .....	7	6	4
26.0 Supplies and materials .....	16	14	9
31.0 Equipment .....	3	3	2
32.0 Land and structures .....	142	124	84
99.0 Direct obligations .....	375	336	255
99.0 Reimbursable obligations .....	52	52	52
99.9 Total new obligations .....	427	388	307

Employment Summary

Identification code 096-3112-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,174	1,100	1,100
2001 Reimbursable civilian full-time equivalent employment .....	8	8	8

FLOOD CONTROL AND COASTAL EMERGENCIES

For expenses necessary to prepare for flood, hurricane, and other natural disasters and support emergency operations, repairs, and other activities in response to such disasters [as authorized by law, \$28,000,000], \$30,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 096-3125-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Emergency Management .....	358	256	327
0801 Other Federal Agencies .....	3	3	3
0808 Intra-Corps .....	20	20	20
0899 Total reimbursable obligations .....	23	23	23
0900 Total new obligations .....	381	279	350
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,694	2,365	2,155

1021	Recoveries of prior year unpaid obligations .....	24		
1050	Unobligated balance (total) .....	2,718	2,365	2,155
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	28	28	30
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	19	41	41
1701	Change in uncollected payments, Federal sources .....	-19		
1750	Spending auth from offsetting collections, disc (total) .....		41	41
1900	Budget authority (total) .....	28	69	71
1930	Total budgetary resources available .....	2,746	2,434	2,226
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,365	2,155	1,876

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	854	529	326
3010	Obligations incurred, unexpired accounts .....	381	279	350
3020	Outlays (gross) .....	-682	-482	-427
3040	Recoveries of prior year unpaid obligations, unexpired .....	-24		
3050	Unpaid obligations, end of year .....	529	326	249
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-39	-20	-20
3070	Change in uncollected pymts, Fed sources, unexpired .....	19		
3090	Uncollected pymts, Fed sources, end of year .....	-20	-20	-20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	815	509	306
3200	Obligated balance, end of year .....	509	306	229

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	28	69	71
Outlays, gross:				
4010	Outlays from new discretionary authority .....		55	56
4011	Outlays from discretionary balances .....	682	427	371
4020	Outlays, gross (total) .....	682	482	427
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-15	-41	-41
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) ....	-19	-41	-41
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	19		
4070	Budget authority, net (discretionary) .....	28	28	30
4080	Outlays, net (discretionary) .....	663	441	386
4180	Budget authority, net (total) .....	28	28	30
4190	Outlays, net (total) .....	663	441	386

This appropriation funds the planning, training, exercises, and other preparedness measures that help the Corps respond to floods, hurricanes, and other natural disasters, and support emergency operations in response to such natural disasters, including advance measures, flood fighting, providing potable water, and the repair of certain flood and storm damage reduction projects. The funding in the Budget is for preparedness and training activities.

**Object Classification** (in millions of dollars)

Identification code 096-3125-0-1-301	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	46	54	54
11.3	Other than full-time permanent .....	15	18	18
11.5	Other personnel compensation .....	3	4	4
11.9	Total personnel compensation .....	64	76	76
12.1	Civilian personnel benefits .....	20	23	24
21.0	Travel and transportation of persons .....	2	1	1
25.2	Other services from non-Federal sources .....	20	11	16
25.3	Other goods and services from Federal sources .....	14	8	11
25.4	Operation and maintenance of facilities .....	2	1	2
26.0	Supplies and materials .....	1	1	1
32.0	Land and structures .....	235	135	196
99.0	Direct obligations .....	358	256	327
99.0	Reimbursable obligations .....	23	23	23
99.9	Total new obligations .....	381	279	350

**Employment Summary**

Identification code 096-3125-0-1-301	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	593	700	700
2001	Reimbursable civilian full-time equivalent employment .....	19	19	19

**INVESTIGATIONS**

For expenses necessary [where authorized by law] for the collection and study of basic information pertaining to [river and harbor, flood and storm damage reduction, shore protection, aquatic ecosystem restoration, and related needs] the development, management, protection, and restoration of water resources; for [surveys and detailed] studies, design work, and plans and specifications of proposed [river and harbor] commercial navigation, flood and storm damage reduction, [shore protection,] and aquatic ecosystem restoration projects, and related efforts prior to construction; for restudy of authorized projects, and related efforts; and for miscellaneous investigations, [and, when authorized by law, surveys and detailed studies, and plans and specifications of projects prior to construction, \$121,000,000] \$85,000,000, to remain available until expended [ : Provided, That the Secretary may initiate up to, but not more than, 10 new study starts during fiscal year 2016: Provided further, That the new study starts will consist of seven studies where the majority of the benefits are derived from navigation transportation savings or from flood and storm damage reduction and three studies where the majority of benefits are derived from environmental restoration: Provided further, That the Secretary shall not deviate from the new starts proposed in the work plan, once the plan has been submitted to the Committees on Appropriations of the House of Representatives and the Senate]. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 096-3121-0-1-301	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Commercial Navigation .....	26	25	21
0002	Flood Risk Management .....	56	55	43
0003	Aquatic Ecosystem Restoration .....	29	28	24
0006	Multipurpose and Other Programs .....	16	16	14
0799	Total direct obligations .....	127	124	102
0806	Other Federal Agencies .....	13	13	13
0807	Non-Federal Agencies .....	6	6	6
0808	Intra-Corps .....	24	24	24
0899	Total reimbursable obligations .....	43	43	43
0900	Total new obligations .....	170	167	145

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	133	129	116
1021	Recoveries of prior year unpaid obligations .....	4		
1050	Unobligated balance (total) .....	137	129	116
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	122	121	85
1131	Unobligated balance of appropriations permanently reduced .....	-4		
1160	Appropriation, discretionary (total) .....	118	121	85
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	49	33	33
1701	Change in uncollected payments, Federal sources .....	-5		
1750	Spending auth from offsetting collections, disc (total) .....	44	33	33
1900	Budget authority (total) .....	162	154	118
1930	Total budgetary resources available .....	299	283	234
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	129	116	89

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	74	60	39
3010	Obligations incurred, unexpired accounts .....	170	167	145
3020	Outlays (gross) .....	-180	-188	-163
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050	Unpaid obligations, end of year .....	60	39	21
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-38	-33	-33

INVESTIGATIONS—Continued  
Program and Financing—Continued

Identification code 096-3121-0-1-301	2015 actual	2016 est.	2017 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-33	-33	-33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	36	27	6
3200 Obligated balance, end of year .....	27	6	-12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	162	154	118
Outlays, gross:			
4010 Outlays from new discretionary authority .....		93	71
4011 Outlays from discretionary balances .....	180	95	92
4020 Outlays, gross (total) .....	180	188	163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-39	-33	-33
4033 Non-Federal sources .....	-10		
4040 Offsets against gross budget authority and outlays (total) ....	-49	-33	-33
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	5		
4060 Additional offsets against budget authority only (total) .....	5		
4070 Budget authority, net (discretionary) .....	118	121	85
4080 Outlays, net (discretionary) .....	131	155	130
4180 Budget authority, net (total) .....	118	121	85
4190 Outlays, net (total) .....	131	155	130

This appropriation funds studies to determine the engineering feasibility, economic and environmental return to the Nation, and public safety impacts of potential solutions to water and related land resources problems; preconstruction engineering and design; and related data collection, interagency coordination, and research.

Object Classification (in millions of dollars)

Identification code 096-3121-0-1-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	59	62	62
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	3	3	3
11.9 Total personnel compensation .....	66	70	70
12.1 Civilian personnel benefits .....	19	20	20
21.0 Travel and transportation of persons .....	2	2	1
25.1 Advisory and assistance services .....	3	2	1
25.2 Other services from non-Federal sources .....	4	3	1
25.3 Purchase of goods and services from Government accounts .....	20	16	4
25.4 Operation and maintenance of facilities .....	2	2	1
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	10	8	3
99.0 Direct obligations .....	127	124	102
99.0 Reimbursable obligations .....	43	43	43
99.9 Total new obligations .....	170	167	145

Employment Summary

Identification code 096-3121-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	632	650	650
2001 Reimbursable civilian full-time equivalent employment .....	98	98	98

REGULATORY PROGRAM

For expenses necessary for administration of laws pertaining to regulation of navigable waters and wetlands, \$200,000,000, to remain available until [September 30, 2017] expended. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 096-3126-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0008 Regulatory .....	203	202	202
0192 Total direct obligations .....	203	202	202
0807 Non-Federal Agencies .....	5	5	5
0808 Intra-Corps .....	4	4	4
0899 Total reimbursable obligations .....	9	9	9
0900 Total new obligations .....	212	211	211
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	28	18
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	31	28	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	200	200
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	1	1
1900 Budget authority (total) .....	210	201	201
1930 Total budgetary resources available .....	241	229	219
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	28	18	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	9	16
3010 Obligations incurred, unexpired accounts .....	212	211	211
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-211	-204	-202
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	9	16	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	15
3200 Obligated balance, end of year .....	8	15	24
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	210	201	201
Outlays, gross:			
4010 Outlays from new discretionary authority .....	178	191	191
4011 Outlays from discretionary balances .....	33	13	11
4020 Outlays, gross (total) .....	211	204	202
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-1	-1
4033 Non-Federal sources .....	-8		
4040 Offsets against gross budget authority and outlays (total) ....	-10	-1	-1
4070 Budget authority, net (discretionary) .....	200	200	200
4080 Outlays, net (discretionary) .....	201	203	201
4180 Budget authority, net (total) .....	200	200	200
4190 Outlays, net (total) .....	201	203	201

This appropriation provides funds to administer the laws and regulations pertaining to activities affecting U.S. waters, including wetlands, in accordance with the Rivers and Harbors Appropriation Act of 1899, the Clean Water Act of 1972, and the Marine Protection, Research and Sanctuaries Act of 1972.

The requested funds are needed to review and process permit applications, ensure compliance on permitted sites, and protect important aquatic resources.

Object Classification (in millions of dollars)

Identification code 096-3126-0-1-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	138	138	140
11.3 Other than full-time permanent .....	3	3	3

11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	143	143	145
12.1	Civilian personnel benefits .....	43	44	44
21.0	Travel and transportation of persons .....	3	3	2
22.0	Transportation of things .....	1	1	1
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	3	3	2
25.3	Purchase goods & svcs. fm Government accts. ....	6	5	4
25.4	Operation and maintenance of facilities .....	1	1	1
32.0	Land and structures .....	1	1	2
99.0	Direct obligations .....	202	202	202
99.0	Reimbursable obligations .....	10	9	9
99.9	Total new obligations .....	212	211	211

### Employment Summary

Identification code 096-3126-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,395	1,375	1,375
2001 Reimbursable civilian full-time equivalent employment .....	32	32	32

### FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM

For expenses necessary to clean up contamination from sites in the United States resulting from work performed as part of the Nation's early atomic energy program, **[\$112,000,000]** \$103,000,000, to remain available until expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

### Program and Financing (in millions of dollars)

Identification code 096-3130-0-1-053	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0007 Formerly Utilized Site Remedial Action Program .....	105	110	107
0808 Intra-Corps .....	6	6	6
0900 Total new obligations .....	111	116	113

### Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	9	11
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	10	9	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102	112	103
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	6	6
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	8	6	6
1900 Budget authority (total) .....	110	118	109
1930 Total budgetary resources available .....	120	127	120
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	11	7

### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	73	58	59
3010 Obligations incurred, unexpired accounts .....	111	116	113
3020 Outlays (gross) .....	-124	-115	-114
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	58	59	58
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	54	55
3200 Obligated balance, end of year .....	54	55	54

### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	110	118	109
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	73	68
4011 Outlays from discretionary balances .....	77	42	46
4020 Outlays, gross (total) .....	124	115	114

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-6	-6
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) ....	-7	-6	-6
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	102	112	103
4080 Outlays, net (discretionary) .....	117	109	108
4180 Budget authority, net (total) .....	102	112	103
4190 Outlays, net (total) .....	117	109	108

This appropriation funds the clean-up of certain low-level radioactive materials and mixed wastes, located mostly at sites contaminated as a result of the Nation's early efforts to develop atomic weapons.

### Object Classification (in millions of dollars)

Identification code 096-3130-0-1-053	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	10	11	11
12.1 Civilian personnel benefits .....	3	4	4
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	10	10	10
32.0 Land and structures .....	79	82	79
99.0 Direct obligations .....	105	110	107
99.0 Reimbursable obligations .....	6	6	6
99.9 Total new obligations .....	111	116	113

### Employment Summary

Identification code 096-3130-0-1-053	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	95	100	100
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

### EXPENSES

For expenses necessary for the supervision and general administration of the civil works program in the *Army Corps of Engineers* headquarters [of the Corps of Engineers] and [the] *division* offices [of the Division Engineers]; and for costs *allocable to the civil works program* of management and operation of the Humphreys Engineer Center [Support Activity], the Institute for Water Resources, the United States Army Engineer Research and Development Center, and the United States Army Corps of Engineers Finance Center [allocable to the civil works program, \$179,000,000], \$180,000,000, to remain available until [September 30, 2017] expended, of which not to exceed \$5,000 may be used for official reception and representation purposes and only during the current fiscal year: *Provided*, That no part of any other appropriation provided in this title shall be available to fund [the civil works] *such* activities [of the Office of the Chief of Engineers or the civil works executive direction and management activities of the] *in the Army Corps of Engineers headquarters and division offices: Provided further*, That any Flood Control and Coastal Emergencies appropriation may be used to fund the supervision and general administration of emergency operations, repairs, and other activities in response to any flood, hurricane, or other natural disaster. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

### Program and Financing (in millions of dollars)

Identification code 096-3124-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0009 Executive Direction and Management .....	190	176	176
0010 Support Activities .....	4	4	4
0799 Total direct obligations .....	194	180	180
0801 Department of Homeland Security .....	1	1	1
0802 Environmental Protection Agency .....	3	3	3
0803 Intra-Corps .....	5	5	5
0804 Department of Veterans Affairs .....	4	4	4
0899 Total reimbursable obligations .....	13	13	13
0900 Total new obligations .....	207	193	193

EXPENSES—Continued  
Program and Financing—Continued

Identification code 096-3124-0-1-301	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	16	10
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	30	16	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	178	179	180
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	17	8	8
1701 Change in uncollected payments, Federal sources .....	-2		
1750 Spending auth from offsetting collections, disc (total) .....	15	8	8
1900 Budget authority (total) .....	193	187	188
1930 Total budgetary resources available .....	223	203	198
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	10	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	25	29
3010 Obligations incurred, unexpired accounts .....	207	193	193
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-208	-189	-189
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	25	29	33
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	13	17
3200 Obligated balance, end of year .....	13	17	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	193	187	188
Outlays, gross:			
4010 Outlays from new discretionary authority .....	166	149	150
4011 Outlays from discretionary balances .....	42	40	39
4020 Outlays, gross (total) .....	208	189	189
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-17	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2		
4070 Budget authority, net (discretionary) .....	178	179	180
4080 Outlays, net (discretionary) .....	191	181	181
4180 Budget authority, net (total) .....	178	179	180
4190 Outlays, net (total) .....	191	181	181

This appropriation funds the command and control, policy and guidance, program management, national and regional coordination, and quality assurance for the civil works program. These activities are carried out by Corps headquarters and eight division offices:

*Corps Headquarters.*—This office provides executive direction and management for the civil works program.

*Division Offices.*—Eight of the nine Corps division offices provide quality assurance for and supervise work of the 38 district offices that have civil works responsibilities. This appropriation also funds certain costs allocable to the civil works program of these Corps-wide support facilities:

*Institute for Water Resources.*—This institute performs studies and analyses on a wide range of water resources issues and develops project planning techniques.

*Engineer Research and Development Center.*—This center operates seven labs and conducts research and development for the Corps and other agencies.

*Finance Center.*—This center supports all Corps finance and accounting activities.

*Humphreys Engineer Center.*—This field operating activity of the Corps provides day-to-day operational support services to the Corps.

Object Classification (in millions of dollars)

Identification code 096-3124-0-1-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	102	104	105
11.3 Other than full-time permanent .....	2	2	2
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	109	111	112
12.1 Civilian personnel benefits .....	30	30	30
21.0 Travel and transportation of persons .....	5	4	4
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	3	2	2
25.3 Other goods and services from Federal sources .....	40	26	25
43.0 Interest and dividends .....	1	1	1
99.0 Direct obligations .....	194	180	180
99.0 Reimbursable obligations .....	13	13	13
99.9 Total new obligations .....	207	193	193

Employment Summary

Identification code 096-3124-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	878	895	895
2001 Reimbursable civilian full-time equivalent employment .....	17	17	17

WASHINGTON AQUEDUCT

Program and Financing (in millions of dollars)

Identification code 096-3128-0-1-301	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		1	1
1825 Spending authority from offsetting collections applied to repay debt .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-1	-1
4180 Budget authority, net (total) .....		-1	-1
4190 Outlays, net (total) .....		-1	-1

The Washington Aqueduct supplies drinking water to customers in three jurisdictions: the District of Columbia; Arlington County, Virginia; and the city of Falls Church, Virginia. Although the Aqueduct is owned and operated by the Corps, the customers finance the operation, maintenance, and capital improvement of Aqueduct facilities. The Aqueduct's customers also pay in advance the full cost of capital improvements.

PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-9921-0-2-999	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	26	26	27
Receipts:			
Current law:			
1110 Licenses under Federal Power Act, Improvements of Navigable Waters, Maintenance and Operation of Dams, Etc. ....	9	8	8
1130 Receipts from Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	12	12	12
1199 Total current law receipts .....	21	20	20
1999 Total receipts .....	21	20	20

2000	Total: Balances and receipts .....	47	46	47
Appropriations:				
Current law:				
2101	Permanent Appropriations .....	-21	-20	-20
2103	Permanent Appropriations .....	-2	-1	-1
2132	Permanent Appropriations .....	2	2	.....
2199	Total current law appropriations .....	-21	-19	-21
2999	Total appropriations .....	-21	-19	-21
5099	Balance, end of year .....	26	27	26

**Program and Financing** (in millions of dollars)

Identification code 096-9921-0-2-999	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0002	Maintenance and operation of dams and other improvements of navigable waters .....	16	20	20
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	20	25	24
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	21	20	20
1203	Appropriation (previously unavailable) .....	2	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2	.....
1260	Appropriations, mandatory (total) .....	21	19	21
1930	Total budgetary resources available .....	41	44	45
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	25	24	25

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	.....	3
3010	Obligations incurred, unexpired accounts .....	16	20	20
3020	Outlays (gross) .....	-16	-17	-20
3050	Unpaid obligations, end of year .....	.....	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	.....	.....	3
3200	Obligated balance, end of year .....	.....	3	3

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	21	19	21
Outlays, gross:				
4100	Outlays from new mandatory authority .....	13	17	19
4101	Outlays from mandatory balances .....	3	.....	1
4110	Outlays, gross (total) .....	16	17	20
4180	Budget authority, net (total) .....	21	19	21
4190	Outlays, net (total) .....	16	17	20

This account covers three permanent appropriations:

*Hydraulic mining debris reservoir.*—The Corps uses fees collected from Pacific Gas and Electric Company to help maintain the Englebright Dam, Yuba River, California, mine debris restraining works and associated hydropower generation facilities. (33 U.S.C. 683)

*Maintenance and operation of dams and other improvements of navigable waters.*—The Corps uses its share of certain fees levied by the Federal Energy Regulatory Commission (on the private use of Federal property, including facilities and land; private construction and operation of water management and appurtenant facilities; and private benefit from headwater improvement by others) for construction, operation, and maintenance of Federal water management facilities. (16 U.S.C. 810(a))

*Payments to States.*—In lieu of taxes, the Corps pays to States three-fourths of the rent received from the leasing of lands acquired for flood control, navigation, and allied purposes, including the development of hydroelectric power. (33 U.S.C. 701c-3)

**Object Classification** (in millions of dollars)

Identification code 096-9921-0-2-999	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.3	Other goods and services from Federal sources .....	13	17	17

32.0	Land and structures .....	2	2	2
99.9	Total new obligations .....	16	20	20

**Employment Summary**

Identification code 096-9921-0-2-999	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	14	10	10

**REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 096-4902-0-4-301	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Plant and equipment services .....	923	844	870
0802	Warehousing (GPRA) .....	26	36	38
0803	Shop and facility services .....	2,310	2,759	2,842
0804	General administrative services .....	4,476	4,199	4,325
0809	Reimbursable program activities, subtotal .....	7,735	7,838	8,075
0820	Land and structures .....	19	26	14
0821	Dredges .....	1	1	.....
0822	Other floating plant .....	21	44	11
0823	Land-based equipment .....	2	5	10
0824	Tools, office furniture, and equipment .....	7	6	6
0829	Reimbursable program activities, subtotal .....	50	82	41
0900	Total new obligations .....	7,785	7,920	8,116

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	789	799	1,120
1021	Recoveries of prior year unpaid obligations .....	88	.....	.....
1050	Unobligated balance (total) .....	877	799	1,120
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	7,729	8,243	8,243
1801	Change in uncollected payments, Federal sources .....	-20	.....	.....
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2	-2	.....
1850	Spending auth from offsetting collections, mand (total) .....	7,707	8,241	8,243
1930	Total budgetary resources available .....	8,584	9,040	9,363
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	799	1,120	1,247

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,152	1,151	734
3010	Obligations incurred, unexpired accounts .....	7,785	7,920	8,116
3020	Outlays (gross) .....	-7,698	-8,337	-8,357
3040	Recoveries of prior year unpaid obligations, unexpired .....	-88	.....	.....
3050	Unpaid obligations, end of year .....	1,151	734	493
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-172	-152	-152
3070	Change in uncollected pymts, Fed sources, unexpired .....	20	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-152	-152	-152
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	980	999	582
3200	Obligated balance, end of year .....	999	582	341

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	7,707	8,241	8,243
Outlays, gross:				
4100	Outlays from new mandatory authority .....	5,752	6,593	6,594
4101	Outlays from mandatory balances .....	1,946	1,744	1,763
4110	Outlays, gross (total) .....	7,698	8,337	8,357
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-7,705	-8,218	-8,218
4123	Non-Federal sources .....	-24	-25	-25
4130	Offsets against gross budget authority and outlays (total) ....	-7,729	-8,243	-8,243
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	20	.....	.....
4160	Budget authority, net (mandatory) .....	-2	-2	.....

REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 096-4902-0-4-301	2015 actual	2016 est.	2017 est.
4170 Outlays, net (mandatory) .....	-31	94	114
4180 Budget authority, net (total) .....	-2	-2	.....
4190 Outlays, net (total) .....	-31	94	114
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	3	5	7
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	5	7	7

This revolving fund provides for the acquisition, operation, and maintenance of plant and equipment used by the civil works program and for temporary financing of services chargeable to the civil works program. The fund also initially finances Corps district office operating expenses, which the districts later reimburse with project-specific funds. In addition, payments are made into the fund when other agencies or entities use plant and equipment acquired by the fund.

Object Classification (in millions of dollars)

Identification code 096-4902-0-4-301	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
21.0 Travel and transportation of persons .....	71	73	74
22.0 Transportation of things .....	24	25	25
23.1 Rental payments to GSA .....	337	343	351
23.2 Rental payments to others .....	14	14	15
23.3 Communications, utilities, and miscellaneous charges .....	76	77	79
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	13	13	14
25.2 Other services from non-Federal sources .....	425	432	443
25.3 Other goods and services from Federal sources .....	6,493	6,606	6,769
25.4 Operation and maintenance of facilities .....	56	57	58
25.7 Operation and maintenance of equipment .....	34	35	35
26.0 Supplies and materials .....	65	66	68
31.0 Equipment .....	66	67	69
32.0 Land and structures .....	109	110	114
42.0 Insurance claims and indemnities .....	1	1	1
99.9 Total new obligations .....	7,785	7,920	8,116

INTERAGENCY AMERICA THE BEAUTIFUL PASS REVENUES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5570-0-2-303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Fees, Interagency America the Beautiful Pass Revenues .....	.....	1	1
2000 Total: Balances and receipts .....	.....	1	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Interagency America the Beautiful Pass Revenues .....	.....	-1	-1
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 096-5570-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Interagency America the Beautiful Pass Revenues .....	.....	1	1
0900 Total new obligations (object class 25.4) .....	.....	1	1
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	.....	1	1
1930 Total budgetary resources available .....	.....	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	1	1

3020 Outlays (gross) .....	-1	-1
<b>Budget authority and outlays, net:</b>		
<b>Mandatory:</b>		
4090 Budget authority, gross .....	1	1
<b>Outlays, gross:</b>		
4100 Outlays from new mandatory authority .....	1	1
4180 Budget authority, net (total) .....	1	1
4190 Outlays, net (total) .....	1	1

Funds in this account are collected from the sale of interagency America the Beautiful National Parks and Federal Recreational Lands Passes as authorized in the Water Resources Reform and Development Act of 2014 (P.L. 113-121, section 1048). The Corps sells and distributes the passes to the public at over 200 Corps locations and deposits the funds into this account. The funds are expended as allowed by the Federal Lands Recreation Enhancement Act at the locations where they are collected.

SPECIAL USE PERMIT FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5607-0-2-303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Fees, Special Use Permit Fees .....	.....	1	1
2000 Total: Balances and receipts .....	.....	1	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Special Use Permit Fees .....	.....	-1	-1
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 096-5607-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Recreational Resources .....	.....	1	1
0900 Total new obligations (object class 25.4) .....	.....	1	1

<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	.....	1	1
1930 Total budgetary resources available .....	.....	1	1

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	.....	1	1
3020 Outlays (gross) .....	.....	-1	-1

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	1	1
4180 Budget authority, net (total) .....	.....	1	1
4190 Outlays, net (total) .....	.....	1	1

Funds in this account are collected from the issuance of special use permits for activities, events, facility use, and other specialized recreation uses, as authorized in the Water Resources Reform and Development Act of 2014 (P.L. 113-121, section 1047(a)). These funds are expended on labor, vehicle costs, materials, supplies, utilities, and other costs associated with administering the special permits and carrying out related operation and maintenance activities at the site where the fees are collected.

**Trust Funds****HARBOR MAINTENANCE TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-8863-0-7-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	8,316	8,684	9,064
0198 Unappropriated receipt adjustment .....	-6		
0199 Balance, start of year .....	8,310	8,684	9,064
Receipts:			
Current law:			
1110 User Fees, Harbor Maintenance Trust Fund .....	1,429	1,557	1,674
1140 Earnings on Investments, Harbor Maintenance Trust Fund .....	88	105	128
1199 Total current law receipts .....	1,517	1,662	1,802
1999 Total receipts .....	1,517	1,662	1,802
2000 Total: Balances and receipts .....	9,827	10,346	10,866
Appropriations:			
Current law:			
2101 Operations and Maintenance .....	-32	-28	-36
2101 Harbor Maintenance Trust Fund .....	-1,054	-1,153	-883
2101 Harbor Maintenance Trust Fund .....	-47	-97	-65
2101 Harbor Maintenance Trust Fund .....	-6	-4	-2
2198 Rounding adjustment .....	-4		
2199 Total current law appropriations .....	-1,143	-1,282	-986
2999 Total appropriations .....	-1,143	-1,282	-986
5099 Balance, end of year .....	8,684	9,064	9,880

**Program and Financing** (in millions of dollars)

Identification code 096-8863-0-7-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Harbor maintenance trust fund .....	1,107	1,254	950
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (Operation and Maintenance) .....	1,054	1,153	883
1101 Appropriation (Construction) .....	47	97	65
1101 Appropriation (Mississippi River and Tributaries) .....	6	4	2
1160 Appropriation, discretionary (total) .....	1,107	1,254	950
1930 Total budgetary resources available .....	1,107	1,254	950
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	97		
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-97		
3010 Obligations incurred, unexpired accounts .....	1,107	1,254	950
3020 Outlays (gross) .....	-1,107	-1,254	-950
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,107	1,254	950
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,107	1,254	950
4180 Budget authority, net (total) .....	1,107	1,254	950
4190 Outlays, net (total) .....	1,107	1,254	950
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	8,302	8,593	9,304
5001 Total investments, EOY: Federal securities: Par value .....	8,593	9,304	10,348

The Harbor Maintenance Trust Fund is authorized under the Harbor Maintenance Revenue Act of 1986 (P.L. 99-662, Title XIV), as amended. Revenue is derived from a 0.125 percent ad valorem tax imposed upon commercial users of specified U.S. ports, Saint Lawrence Seaway tolls, and investment interest. The Budget shows all funding provided through the Harbor Maintenance Trust Fund as transferred to and executed in the Construction, Operation and Maintenance, and Mississippi River and Tributaries accounts.

The Harbor Maintenance Revenue Act authorizes expenditures from this fund to finance up to 100 percent of eligible Corps harbor operation and maintenance costs, including the operation and maintenance of Great Lakes

navigation projects. The fund fully finances eligible operation and maintenance costs of the Saint Lawrence Seaway Development Corporation. The Water Resources Development Act of 1996 (P.L. 104-303, section 201) authorizes the fund to pay the Federal share of the costs for the construction of dredged material disposal facilities that are necessary for the operation and maintenance of coastal or inland harbors, the dredging and disposal of contaminated sediments that are in or affect the operation and maintenance of Federal navigation channels, the mitigation of impacts resulting from Federal navigation operation and maintenance activities, and the operation and maintenance of dredged material disposal facilities.

The North American Free Trade Agreement Implementation Act (26 U.S.C. 9505(c)(3)) authorizes the fund to pay all expenses of administration incurred by the Department of the Treasury, the Corps, and the Department of Commerce related to the administration of the harbor maintenance tax (under 26 U.S.C. 4461 et seq.), but not in excess of \$5 million for any fiscal year.

In 1998, the U.S. Supreme Court excluded all U.S. exports from the harbor maintenance tax. The Court found that the tax violated Article I, section 9, clause 5 of the constitution because the value of the cargo, which is the basis for calculating this tax, does not fairly match the use of port services and facilities by exporters.

**Object Classification** (in millions of dollars)

Identification code 096-8863-0-7-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
94.0 Financial transfers (Operation & Maintenance) .....	1,054	1,153	883
94.0 Financial Transfers (Construction) .....	47	97	65
94.0 Financial transfers (MR&T) .....	6	4	2
99.9 Total new obligations .....	1,107	1,254	950

**INLAND WATERWAYS TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-8861-0-7-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	24	53	52
Receipts:			
Current law:			
1110 Transfer from General Fund, Inland Waterways Revenue Act Taxes .....	98	107	106
Proposed:			
1210 Transfer from General Fund, Inland Waterways Revenue Act Taxes .....			3
1999 Total receipts .....	98	107	109
2000 Total: Balances and receipts .....	122	160	161
Appropriations:			
Current law:			
2101 Inland Waterways Trust Fund .....	-69	-108	-34
5099 Balance, end of year .....	53	52	127

**Program and Financing** (in millions of dollars)

Identification code 096-8861-0-7-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Inland Waterways Trust Fund .....	69	108	34
0900 Total new obligations (object class 94.0) .....	69	108	34
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (Construction) .....	69	108	34
1930 Total budgetary resources available .....	69	108	34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			43
3010 Obligations incurred, unexpired accounts .....	69	108	34

INLAND WATERWAYS TRUST FUND—Continued  
Program and Financing—Continued

Identification code 096-8861-0-7-301	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-69	-65	-63
3050 Unpaid obligations, end of year .....		43	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			43
3200 Obligated balance, end of year .....		43	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	69	108	34
Outlays, gross:			
4010 Outlays from new discretionary authority .....	69	65	20
4011 Outlays from discretionary balances .....			43
4020 Outlays, gross (total) .....	69	65	63
4180 Budget authority, net (total) .....	69	108	34
4190 Outlays, net (total) .....	69	65	63
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	18	50	52
5001 Total investments, EOY: Federal securities: Par value .....	50	52	126

The Inland Waterways Trust Fund is authorized under the Inland Waterways Revenue Act of 1978 (P.L. 95-502), as amended by the Water Resources Development Act of 1986 (P.L. 99-662). The fund is used to pay one-half of the costs associated with the construction, replacement, rehabilitation, and expansion of Federal inland waterways projects, except as otherwise specifically provided for in law. Under current law, revenue is derived from an excise tax imposed on diesel fuel for commercial vessels on most of the inland waterways, plus investment interest. The Budget shows all funding provided through the Inland Waterways Trust Fund as transferred to and executed in the Construction account.

RIVERS AND HARBORS CONTRIBUTED FUNDS  
Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-8862-0-7-301	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	7	7	7
Receipts:			
Current law:			
1130 Contributions, Rivers and Harbors, Other Than Port and Harbor User Fees .....	607	373	373
2000 Total: Balances and receipts .....	614	380	380
Appropriations:			
Current law:			
2101 Rivers and Harbors Contributed Funds .....	-607	-373	-373
2103 Rivers and Harbors Contributed Funds .....	-7	-7	-7
2132 Rivers and Harbors Contributed Funds .....	7	7	
2199 Total current law appropriations .....	-607	-373	-380
2999 Total appropriations .....	-607	-373	-380
5099 Balance, end of year .....	7	7	

Program and Financing (in millions of dollars)

Identification code 096-8862-0-7-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation .....	200	183	83
0002 Flood Risk Management .....	232	192	192
0003 Aquatic Ecosystem Restoration .....	69	57	57
0004 Hydropower .....	23	23	23
0005 Multipurpose and Other Programs .....	22	18	18
0900 Total new obligations .....	546	473	373
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	600	675	575
1021 Recoveries of prior year unpaid obligations .....	14		

1050 Unobligated balance (total) .....	614	675	575
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	607	373	373
1203 Appropriation (previously unavailable) .....	7	7	7
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	-7	
1260 Appropriations, mandatory (total) .....	607	373	380
1900 Budget authority (total) .....	607	373	380
1930 Total budgetary resources available .....	1,221	1,048	955
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	675	575	582

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	478	570	562
3010 Obligations incurred, unexpired accounts .....	546	473	373
3020 Outlays (gross) .....	-440	-481	-468
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14		
3050 Unpaid obligations, end of year .....	570	562	467
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	478	570	562
3200 Obligated balance, end of year .....	570	562	467

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	607	373	380
Outlays, gross:			
4100 Outlays from new mandatory authority .....		112	114
4101 Outlays from mandatory balances .....	440	369	354
4110 Outlays, gross (total) .....	440	481	468
4180 Budget authority, net (total) .....	607	373	380
4190 Outlays, net (total) .....	440	481	468

The funds in this account are provided by non-Federal interests to cover some or all of the costs for the study, design, construction, and operation and maintenance of water resources projects. These funds include amounts for the authorized non-Federal share of the costs, amounts in excess of the authorized non-Federal share that are provided voluntarily as contributed or advanced funds, and amounts for certain work carried out in connection with a project with 100 percent non-Federal funding.

Object Classification (in millions of dollars)

Identification code 096-8862-0-7-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	63	67	67
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	66	70	70
12.1 Civilian personnel benefits .....	20	21	21
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	40	34	26
25.3 Other goods and services from Federal sources .....	16	13	10
25.4 Operation and maintenance of facilities .....	17	13	10
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	383	318	232
99.9 Total new obligations .....	546	473	373

Employment Summary

Identification code 096-8862-0-7-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	598	630	630

COASTAL WETLANDS RESTORATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 096-8333-0-7-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Coastal Wetlands Restoration Trust Fund .....	78	78	78

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	279	299 302
1021	Recoveries of prior year unpaid obligations .....	20	
1050	Unobligated balance (total) .....	299	299 302
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	6	
1203	Appropriation (previously unavailable) .....	5	6 5
1221	Appropriations transferred from other acct [014-8151] ....	73	80 76
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-6	-5
1260	Appropriations, mandatory (total) .....	78	81 81
1930	Total budgetary resources available .....	377	380 383
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	299	302 305
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	198	198 204
3010	Obligations incurred, unexpired accounts .....	78	78 78
3020	Outlays (gross) .....	-58	-72 -82
3040	Recoveries of prior year unpaid obligations, unexpired .....	-20	
3050	Unpaid obligations, end of year .....	198	204 200
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	198	198 204
3200	Obligated balance, end of year .....	198	204 200
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	78	81 81
Outlays, gross:			
4100	Outlays from new mandatory authority .....		23 23
4101	Outlays from mandatory balances .....	58	49 59
4110	Outlays, gross (total) .....	58	72 82
4180	Budget authority, net (total) .....	78	81 81
4190	Outlays, net (total) .....	58	72 82

The Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646, Title III), as amended, directs the Secretary of the Interior to distribute to the Coastal Wetlands Restoration Trust Fund a portion of the amounts appropriated each fiscal year from the Sport Fish Restoration Account. The Louisiana Coastal Wetlands Conservation and Restoration Task Force, which is an interagency task force consisting of the Corps, Environmental Protection Agency, Fish and Wildlife Service, Natural Resources Conservation Service, National Marine Fisheries Service, and the State of Louisiana, uses these funds to plan, set priorities, and carry out projects for the creation, protection, and restoration of coastal wetlands in the State of Louisiana.

**Object Classification** (in millions of dollars)

Identification code 096-8333-0-7-301			
	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	1 1
25.2	Other services from non-Federal sources .....	20	20 20
25.3	Other goods and services from Federal sources .....	57	57 57
99.9	Total new obligations .....	78	78 78

**Employment Summary**

Identification code 096-8333-0-7-301			
	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	7	10 10

**SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-8217-0-7-306			
	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	110	110 110

Receipts:			
Current law:			
1140	Earnings on Investments, South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....	3	3 3
2000	Total: Balances and receipts .....	113	113 113
Appropriations:			
Current law:			
2101	South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....	-3	-3 -3
5099	Balance, end of year .....	110	110 110

**Program and Financing** (in millions of dollars)

Identification code 096-8217-0-7-306			
	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Wildlife Habitat Restoration .....	5	5 5
0900	Total new obligations (object class 25.2) .....	5	5 5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	8	6 4
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	3	3 3
1930	Total budgetary resources available .....	11	9 7
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	6	4 2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	5	5 5
3020	Outlays (gross) .....	-5	-5 -1
3050	Unpaid obligations, end of year .....		4
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	3	3 3
Outlays, gross:			
4101	Outlays from mandatory balances .....	5	5 1
4180	Budget authority, net (total) .....	3	3 3
4190	Outlays, net (total) .....	5	5 1
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	119	116 114
5001	Total investments, EOY: Federal securities: Par value .....	116	114 112

This fund, authorized in the Omnibus Appropriations Act of 1999 (P.L. 105-277), as amended by the Water Resources Development Act of 1999 (P.L. 106-53), supports wildlife habitat restoration efforts undertaken by the State of South Dakota. The establishment of this fund satisfies the Federal obligation under the Fish and Wildlife Coordination Act (16 U.S.C. 1661 et seq.) to mitigate for the loss of habitat due to flooding from the Oahe and Big Bend projects, which the Corps constructed under the Pick-Sloan Missouri River Basin program.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
096-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified .....	19	18 18
096-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	110	90 90
	General Fund Offsetting receipts from the public .....	129	108 108
Intragovernmental payments:			
096-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....		-1 -1

General Fund Receipt Accounts—Continued

	2015 actual	2016 est.	2017 est.
General Fund Intragovernmental payments .....		-1	-1

**GENERAL PROVISIONS CORPS OF ENGINEERS—CIVIL**

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. (a) None of the funds provided in title I of this Act [ ], or provided by previous appropriations Acts to the agencies or entities funded in title I of this Act that remain available for obligation or expenditure in fiscal year 2016, [ ] shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates or initiates a new program, project, or activity;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by this Act, unless [ ] prior approval is received from [ ] notice has been transmitted to the House and Senate Committees on Appropriations;
- (4) proposes to use funds directed for a specific activity for a different purpose, unless [ ] prior approval is received from [ ] notice has been transmitted to the House and Senate Committees on Appropriations;
- (5) augments or reduces existing programs, projects, or activities in excess of the amounts contained in paragraphs (6) through (10), unless [ ] prior approval is received from [ ] notice has been transmitted to the House and Senate Committees on Appropriations;

(6) INVESTIGATIONS.—For a base level over \$100,000, reprogramming of 25 percent of the base amount up to a limit of \$150,000 per project, study or activity is allowed: *Provided*, That for a base level less than \$100,000, the reprogramming limit is \$25,000: *Provided further*, That up to \$25,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(7) CONSTRUCTION.—For a base level over \$2,000,000, reprogramming of 15 percent of the base amount up to a limit of \$3,000,000 per project, study or activity is allowed: *Provided*, That for a base level less than \$2,000,000, the reprogramming limit is \$300,000: *Provided further*, That up to \$3,000,000 may be reprogrammed for settled contractor claims, changed conditions, or real estate deficiency judgments: *Provided further*, That up to \$300,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(8) OPERATION AND MAINTENANCE.—Unlimited reprogramming authority is granted for the Corps to be able to respond to emergencies: *Provided*, That the Chief of Engineers shall notify the House and Senate Committees on Appropriations of these emergency actions as soon thereafter as practicable: *Provided further*, That for a base level over \$1,000,000, reprogramming of 15 percent of the base amount up to a limit of \$5,000,000 per project, study, or activity is allowed: *Provided further*, That for a base level less than \$1,000,000, the reprogramming limit is \$150,000: *Provided further*, That \$150,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation;

(9) MISSISSIPPI RIVER AND TRIBUTARIES.—The reprogramming guidelines in paragraphs (6), (7), and (8) shall apply to the Investigations, Construction, and Operation and Maintenance portions of the Mississippi River and Tributaries Account, respectively; and

(10) FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM.—Reprogramming of up to 15 percent of the base of the receiving project is permitted.

(b) DE MINIMUS REPROGRAMMINGS.—In no case should a reprogramming for less than \$50,000 be submitted to the House and Senate Committees on Appropriations.

(c) CONTINUING AUTHORITIES PROGRAM.—Subsection (a)(1) shall not apply to any project or activity funded under the continuing authorities program.

(d) Not later than 60 days after the date of enactment of this Act, the Secretary shall submit a report to the House and Senate Committees on Appropriations to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year which shall include:

- (1) A table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if applicable, and the fiscal year enacted level; and
- (2) A delineation in the table for each appropriation both by object class and program, project and activity as detailed in the budget appendix for the respective appropriations [ ]; and [ ]

[ (3) An identification of items of special congressional interest ].

[ SEC. 102. The Secretary shall allocate funds made available in this Act solely in accordance with the provisions of this Act and the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), including the determination and designation of new starts. ]

SEC. [ 103 ] 102. None of the funds made available in this title may be used to award or modify any contract that commits funds beyond the amounts appropriated for that program, project, or activity that remain unobligated, except that such amounts may include any funds that have been made available through reprogramming pursuant to section 101.

SEC. [ 104 ] 103. The Secretary of the Army may transfer to the Fish and Wildlife Service, and the Fish and Wildlife Service may accept and expend, [ up to \$5,400,000 of ] such funds as the Secretary of the Army and the Director of the Fish and Wildlife Service determine, through consultation, are appropriate, from the funds provided in this title under the heading "Operation and Maintenance" to mitigate for fisheries lost due to Army Corps of Engineers civil works projects.

[ SEC. 105. None of the funds made available in this or any other Act making appropriations for Energy and Water Development for any fiscal year may be used by the Corps of Engineers during the fiscal year ending September 30, 2016, to develop, adopt, implement, administer, or enforce any change to the regulations in effect on October 1, 2012, pertaining to the definitions of the terms "fill material" or "discharge of fill material" for the purposes of the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.). ]

[ SEC. 106. None of the funds in this Act shall be used for an open lake placement alternative of dredged material, after evaluating the least costly, environmentally acceptable manner for the disposal or management of dredged material originating from Lake Erie or tributaries thereto, unless it is approved under a State water quality certification pursuant to 33 U.S.C. 1341. ]

[ SEC. 107. (a) Not later than 180 days after the date of enactment of this Act, the Secretary shall execute a transfer agreement with the South Florida Water Management District for the project identified as the "Ten Mile Creek Water Preserve Area Critical Restoration Project", carried out under section 528(b)(3) of the Water Resources Development Act of 1996 (110 Stat. 3768).

(b) The transfer agreement under subsection (a) shall require the South Florida Water Management District to operate the transferred project as an environmental restoration project to provide water storage and water treatment options.

(c) Upon execution of the transfer agreement under subsection (a), the Ten Mile Creek Water Preserve Area Critical Restoration Project shall no longer be authorized as a Federal project. ]

[ SEC. 108. None of the funds made available in this title may be used for any acquisition that is not consistent with 48 CFR 225.7007. ]

[ SEC. 109. None of the funds made available by this Act may be used to continue the study conducted by the Army Corps of Engineers pursuant to section 5018(a)(1) of the Water Resources Development Act of 2007 (Public Law 110–114). ]

[ SEC. 110. None of the funds made available by this Act may be used to require a permit for the discharge of dredged or fill material under the Federal Water Pollution Control Act (33 U.S.C. 1251, et seq.) for the activities identified in subparagraphs (A) and (C) of section 404(f)(1) of the Act (33 U.S.C. 1344(f)(1)(A), (C)). ] (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

## OTHER DEFENSE—CIVIL PROGRAMS

### MILITARY RETIREMENT

#### *Federal Funds*

#### PAYMENT TO MILITARY RETIREMENT FUND

#### Program and Financing (in millions of dollars)

Identification code 097-0040-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Treasury payment to Military Retirement Fund .....	75,562	79,289	81,214
0900 Total new obligations (object class 13.0) .....	75,562	79,289	81,214
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	75,562	79,289	81,214
1930 Total budgetary resources available .....	75,562	79,289	81,214
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	75,562	79,289	81,214
3020 Outlays (gross) .....	-75,562	-79,289	-81,214
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	75,562	79,289	81,214
Outlays, gross:			
4100 Outlays from new mandatory authority .....	75,562	79,289	81,214
4180 Budget authority, net (total) .....	75,562	79,289	81,214
4190 Outlays, net (total) .....	75,562	79,289	81,214

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	75,562	79,289	81,214
Outlays .....	75,562	79,289	81,214
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			315
Outlays .....			315
<b>Total:</b>			
Budget Authority .....	75,562	79,289	81,529
Outlays .....	75,562	79,289	81,529

The 2017 payment to the Military Retirement Fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by military personnel for service prior to 1985. The amortization schedule for the unfunded liability is determined by the Department of Defense Retirement Board of Actuaries. Included in the unfunded liability are the consolidated requirements of the military departments to cover retired officers and enlisted personnel of the Army, Navy, Marine Corps, and Air Force; retainer pay of enlisted personnel of the Fleet Reserve of the Navy and Marine Corps; and survivors' benefits.

The 2004 National Defense Authorization Act (P.L. 108-136) created additional benefits for certain retirees who receive disability compensation from the Department of Veterans Affairs and moved the responsibility for payments under the Combat Related Special Compensation program to the Military Retirement Fund. Any additional funding requirements for retirees with service prior to 1985 will be included in this payment.

The 2016 National Defense Authorization Act (P.L. 114-92) enacted substantial changes to the current military retirement system. The new retirement system, scheduled to take effect January 1, 2018, is a blend of several components to include a defined retired pay benefit, a defined contribution with the Thrift Savings Plan, and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the current retirement system. The Budget includes a proposal to amend the new blended retirement system to address the military department's priorities to include

flexibility in the payment of continuation pay, TSP matching amounts, TSP matching start date, and when TSP matching contributions terminate.

#### PAYMENT TO MILITARY RETIREMENT FUND

#### (Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 097-0040-2-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Treasury payment to Military Retirement Fund .....			315
0900 Total new obligations (object class 13.0) .....			315
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			315
1930 Total budgetary resources available .....			315
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			315
3020 Outlays (gross) .....			-315
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			315
Outlays, gross:			
4100 Outlays from new mandatory authority .....			315
4180 Budget authority, net (total) .....			315
4190 Outlays, net (total) .....			315

### *Trust Funds*

#### MILITARY RETIREMENT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8097-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	473,543	521,298	588,480
<b>Receipts:</b>			
Current law:			
1140 Employing Agency Contributions, Military Retirement Fund .....	19,691	19,463	18,217
1140 Earnings on Investments, Military Retirement Fund .....	3,134	18,894	18,136
1140 Federal Contributions, Military Retirement Fund .....	75,562	79,289	81,214
1140 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....	6,197	6,870	7,437
1199 Total current law receipts .....	104,584	124,516	125,004
Proposed:			
1240 Employing Agency Contributions, Military Retirement Fund .....			394
1240 Earnings on Investments, Military Retirement Fund .....			-101
1240 Federal Contributions, Military Retirement Fund .....			315
1240 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....			120
1299 Total proposed receipts .....			728
1999 Total receipts .....	104,584	124,516	125,732
2000 Total: Balances and receipts .....	578,127	645,814	714,212
<b>Appropriations:</b>			
Current law:			
2101 Military Retirement Fund .....	-104,584	-125,193	-124,967
2134 Military Retirement Fund .....	47,755	67,859	66,711
2199 Total current law appropriations .....	-56,829	-57,334	-58,256
2999 Total appropriations .....	-56,829	-57,334	-58,256
5099 Balance, end of year .....	521,298	588,480	655,956

MILITARY RETIREMENT FUND—Continued  
Program and Financing (in millions of dollars)

Identification code 097-8097-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Nondisability .....	49,361	49,528	50,280
0002 Temporary disability .....	143	160	163
0003 Permanent disability .....	2,279	2,291	2,336
0004 Fleet reserve .....	1,804	1,873	1,901
0005 Survivors' benefits .....	3,242	3,482	3,576
0900 Total new obligations (object class 42.0) .....	56,829	57,334	58,256
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	104,584	125,193	124,967
1234 Appropriations precluded from obligation .....	-47,755	-67,859	-66,711
1260 Appropriations, mandatory (total) .....	56,829	57,334	58,256
1930 Total budgetary resources available .....	56,829	57,334	58,256
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,541	4,641	478
3010 Obligations incurred, unexpired accounts .....	56,829	57,334	58,256
3020 Outlays (gross) .....	-56,729	-61,497	-58,189
3050 Unpaid obligations, end of year .....	4,641	478	545
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,541	4,641	478
3200 Obligated balance, end of year .....	4,641	478	545
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	56,829	57,334	58,256
Outlays, gross:			
4100 Outlays from new mandatory authority .....	52,189	56,856	57,711
4101 Outlays from mandatory balances .....	4,540	4,641	478
4110 Outlays, gross (total) .....	56,729	61,497	58,189
4180 Budget authority, net (total) .....	56,829	57,334	58,256
4190 Outlays, net (total) .....	56,729	61,497	58,189
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	483,111	530,960	589,633
5001 Total investments, EOY: Federal securities: Par value .....	530,960	589,633	656,412

Public Law 98-94 provided for accrual funding of the military retirement system and for the establishment of a Department of Defense Military Retirement Fund in 1985. The fund has three sources of income. The first is payments from the military personnel accounts, which cover the accruing costs of the future retirement benefits being earned by today's service members. The second source is interest on investments of the fund. The third source is made up of two payments from the general fund of the Treasury. The first Treasury payment covers a portion of the accrued unfunded liability for all the retirees and current members who had earned benefits before the accrual funding system was set up. The second Treasury payment covers the liability for concurrent receipt of military retired pay and disability compensation paid by the Department of Veterans Affairs. This benefit was added in the 2004 National Defense Authorization Act.

The 2016 National Defense Authorization Act (P.L. 114-92) enacted substantial changes to the current military retirement system. The new retirement system, scheduled to take effect January 1, 2018 is a blend of several components to include, a defined retired pay benefit, a defined contribution with the Thrift Savings Plan, and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the current retirement system. The Budget includes a proposal to amend the new blended retirement system to address the military department's priorities to include flexibility in the payment of continuation pay, TSP matching amounts, TSP matching start date, and when TSP matching contributions terminate.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 097-8097-0-7-602	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	478,082	525,937	588,956
0999 Total balance, start of year .....	478,082	525,937	588,956
Cash income during the year:			
Current law:			
Receipts:			
1150 Earnings on Investments, Military Retirement Fund .....	3,134	18,894	18,136
1160 Employing Agency Contributions, Military Retirement Fund .....	19,691	19,463	18,217
1160 Federal Contributions, Military Retirement Fund .....	75,562	79,289	81,214
1160 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....	6,197	6,870	7,437
1199 Income under present law .....	104,584	124,516	125,004
Proposed:			
1250 Earnings on Investments, Military Retirement Fund .....			-101
Offsetting governmental receipts:			
1260 Employing Agency Contributions, Military Retirement Fund .....			394
1260 Federal Contributions, Military Retirement Fund .....			315
1260 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....			120
1299 Income proposed .....			728
1999 Total cash income .....	104,584	124,516	125,732
Cash outgo during year:			
Current law:			
2100 Military Retirement Fund [200-05-8097-0] .....	-56,729	-61,497	-58,189
2199 Outgo under current law .....	-56,729	-61,497	-58,189
2999 Total cash outgo (-) .....	-56,729	-61,497	-58,189
Surplus or deficit::			
3110 Excluding interest .....	44,721	44,125	49,508
3120 Interest .....	3,134	18,894	18,035
3199 Subtotal, surplus or deficit .....	47,855	63,019	67,543
Unexpended balance, end of year::			
4100 Uninvested balance (net), end of year .....	-5,023	-677	-1
4200 Military Retirement Fund .....	530,960	589,633	656,412
4200 Military Retirement Fund .....			88
4999 Total balance, end of year .....	525,937	588,956	656,499

MILITARY RETIREMENT FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 097-8097-2-7-602	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			88

RETIREE HEALTH CARE

Federal Funds

PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 097-0850-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Uniformed Retiree Health Care Fund .....	4,005	3,324	3,247
0900 Total new obligations (object class 13.0) .....	4,005	3,324	3,247
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	4,005	3,324	3,247
1930 Total budgetary resources available .....	4,005	3,324	3,247

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	4,005	3,324	3,247
3020	Outlays (gross) .....	-4,005	-3,324	-3,247
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	4,005	3,324	3,247
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4,005	3,324	3,247
4180	Budget authority, net (total) .....	4,005	3,324	3,247
4190	Outlays, net (total) .....	4,005	3,324	3,247

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,005	3,324	3,247
Outlays .....	4,005	3,324	3,247
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-1,361
Outlays .....			-1,361
<b>Total:</b>			
Budget Authority .....	4,005	3,324	1,886
Outlays .....	4,005	3,324	1,886

**PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 097-0850-2-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Payment to the Uniformed Retiree Health Care Fund .....		-774
0002	Payment to the Uniformed Retiree Health Care Fund .....		-587
0900	Total new obligations .....		-1,361
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		-1,361
1900	Budget authority (total) .....		-1,361
1930	Total budgetary resources available .....		-1,361

**Change in obligated balance:**

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		-1,361
3020	Outlays (gross) .....		1,361

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....		-1,361
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-1,361
4180	Budget authority, net (total) .....		-1,361
4190	Outlays, net (total) .....		-1,361

**Object Classification** (in millions of dollars)

Identification code 097-0850-2-1-054	2015 actual	2016 est.	2017 est.
Direct obligations:			
13.0	Benefits for former personnel .....		-774
13.0	Benefits for former personnel .....		-587
99.9	Total new obligations .....		-1,361

**DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-5472-0-2-551	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	197,922	203,859	212,790

Receipts:

	2015 actual	2016 est.	2017 est.	
Current law:				
1140	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	206	197	205
1140	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....	4,211	8,551	9,407
1140	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	4,005	3,324	3,247
1140	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	7,023	6,629	6,954
1199	Total current law receipts .....	15,445	18,701	19,813
Proposed:				
1240	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-9
1240	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-6
1240	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....			106
1240	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....			129
1240	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-587
1240	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-774
1240	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-275
1240	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....			-313
1299	Total proposed receipts .....			-1,729
1999	Total receipts .....	15,445	18,701	18,084
2000	Total: Balances and receipts .....	213,367	222,560	230,874
Appropriations:				
Current law:				
2101	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	-15,444	-18,700	-19,316
2134	Department of Defense Medicare-Eligible Retiree Health Care Fund .....	5,936	8,930	9,006
2199	Total current law appropriations .....	-9,508	-9,770	-10,310
Proposed:				
2201	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			968
2234	Department of Defense Medicare-Eligible Retiree Health Care Fund .....			-930
2299	Total proposed appropriations .....			38
2999	Total appropriations .....	-9,508	-9,770	-10,272
5099	Balance, end of year .....	203,859	212,790	220,602

**Program and Financing** (in millions of dollars)

Identification code 097-5472-0-2-551	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	DoD Medicare-eligible retiree health care payments .....	9,508	9,770	10,310
0900	Total new obligations (object class 13.0) .....	9,508	9,770	10,310
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	15,444	18,700	19,316
1234	Appropriations precluded from obligation .....	-5,936	-8,930	-9,006
1260	Appropriations, mandatory (total) .....	9,508	9,770	10,310
1930	Total budgetary resources available .....	9,508	9,770	10,310

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	941	486	24
3010	Obligations incurred, unexpired accounts .....	9,508	9,770	10,310
3020	Outlays (gross) .....	-9,963	-10,232	-10,310
3050	Unpaid obligations, end of year .....	486	24	24
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	941	486	24
3200	Obligated balance, end of year .....	486	24	24

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	9,508	9,770	10,310
Outlays, gross:				
4100	Outlays from new mandatory authority .....	9,022	9,770	10,310

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE  
FUND—Continued  
Program and Financing—Continued

Identification code 097-5472-0-2-551	2015 actual	2016 est.	2017 est.
4101 Outlays from mandatory balances	941	462	
4110 Outlays, gross (total)	9,963	10,232	10,310
4180 Budget authority, net (total)	9,508	9,770	10,310
4190 Outlays, net (total)	9,963	10,232	10,310
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	200,372	205,793	213,275
5001 Total investments, EOY: Federal securities: Par value	205,793	213,275	222,780

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	9,508	9,770	10,310
Outlays	9,963	10,232	10,310
Legislative proposal, subject to PAYGO:			
Budget Authority			-38
Outlays			-38
Total:			
Budget Authority	9,508	9,770	10,272
Outlays	9,963	10,232	10,272

Public Law 106-398 provides for accrual funding for health care to Medicare-eligible retirees. The statute establishes an accrual health care fund which has three sources of funding. The first is contributions from employing agencies, which cover the liability for future benefits accruing to current service members. The second is an annual payment from the general fund of the Treasury on the accrued unfunded liability, and the third source is income from the investment of fund balances.

The Budget includes a proposal to implement a modest annual enrollment fee for TRICARE-for-Life coverage for retirees and their family members age 65 and older (with full grandfathering of those Medicare-eligible retirees who are already receiving TRICARE benefits at the time of enactment). Also included is a proposal for increases to pharmacy prescription co-payments for active duty families and all retirees to incentivize usage of mail order and generic drugs. In addition to discretionary savings in the Defense Health Program, the proposals reduce future accrual costs, resulting in reduced discretionary contributions to the Medicare Eligible Retiree Health Care Fund by the Services, Coast Guard, Public Health Service, National Oceanic and Atmospheric Administration and the Department of the Treasury.

Status of Funds (in millions of dollars)

Identification code 097-5472-0-2-551	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year	198,863	204,345	212,814
0999 Total balance, start of year	198,863	204,345	212,814
Cash income during the year:			
Current law:			
Receipts:			
1150 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund	4,211	8,551	9,407
1160 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund	206	197	205
1160 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund	4,005	3,324	3,247
1160 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund	7,023	6,629	6,954
1199 Income under present law	15,445	18,701	19,813
Proposed:			
1250 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund			106
1250 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund			129
Offsetting governmental receipts:			
1260 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund			-9

1260 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund			-6
1260 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund			-587
1260 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund			-774
1260 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund			-275
1260 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund			-313
1299 Income proposed			-1,729
1999 Total cash income	15,445	18,701	18,084
Cash outgo during year:			
Current law:			
2100 Department of Defense Medicare-Eligible Retiree Health Care Fund [200-07-5472-0]	-9,963	-10,232	-10,310
2199 Outgo under current law	-9,963	-10,232	-10,310
Proposed:			
2200 Department of Defense Medicare-Eligible Retiree Health Care Fund			38
2299 Outgo under proposed legislation			38
2999 Total cash outgo (-)	-9,963	-10,232	-10,272
Surplus or deficit::			
3110 Excluding interest	1,271	-82	-1,830
3120 Interest	4,211	8,551	9,642
3199 Subtotal, surplus or deficit	5,482	8,469	7,812
Unexpended balance, end of year::			
4100 Uninvested balance (net), end of year	-1,448	-461	-463
4200 Department of Defense Medicare-Eligible Retiree Health Care Fund	205,793	213,275	222,780
4200 Department of Defense Medicare-Eligible Retiree Health Care Fund			-1,691
4999 Total balance, end of year	204,345	212,814	220,626

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 097-5472-4-2-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 DoD Medicare-eligible retiree health care payments			-35
0002 DoD Medicare-eligible retiree health care payments			-3
0900 Total new obligations			-38
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-968
1234 Appropriations precluded from obligation			930
1260 Appropriations, mandatory (total)			-38
1900 Budget authority (total)			-38
1930 Total budgetary resources available			-38

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			-38
3020 Outlays (gross)			38

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-38
Outlays, gross:			
4100 Outlays from new mandatory authority			-38
4180 Budget authority, net (total)			-38
4190 Outlays, net (total)			-38

Memorandum (non-add) entries:

5001 Total investments, EOY: Federal securities: Par value			-1,691
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Object Classification (in millions of dollars)

Identification code 097-5472-4-2-551	2015 actual	2016 est.	2017 est.
Direct obligations:			
13.0 Benefits for former personnel			-35

13.0	Benefits for former personnel .....			-3
99.9	Total new obligations .....			-38

**EDUCATIONAL BENEFITS**

*Trust Funds*

EDUCATION BENEFITS FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-8098-0-7-702	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1,563	1,370	1,227
Receipts:			
Current law:			
1140 Employing Agency Contributions, Education Benefits Fund .....	77	86	43
1140 Interest on Investments, Education Benefits Fund .....	64	67	48
1199 Total current law receipts .....	141	153	91
1999 Total receipts .....	141	153	91
2000 Total: Balances and receipts .....	1,704	1,523	1,318
Appropriations:			
Current law:			
2101 Education Benefits Fund .....	-141	-153	-92
2103 Education Benefits Fund .....	-209	-143	-185
2134 Education Benefits Fund .....	16		
2199 Total current law appropriations .....	-334	-296	-277
2999 Total appropriations .....	-334	-296	-277
5099 Balance, end of year .....	1,370	1,227	1,041

**Program and Financing** (in millions of dollars)

Identification code 097-8098-0-7-702	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Active duty program .....	128	131	123
0002 Selected Reserve program .....	206	165	154
0900 Total new obligations (object class 13.0) .....	334	296	277

**Budgetary resources:**

<b>Budget authority:</b>				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	141	153	92
1203	Appropriation (previously unavailable) .....	209	143	185
1234	Appropriations precluded from obligation .....	-16		
1260	Appropriations, mandatory (total) .....	334	296	277
1930	Total budgetary resources available .....	334	296	277

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	Obligations incurred, unexpired accounts .....	334	296	277
3020	Outlays (gross) .....	-333	-296	-277
3050	Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	334	296	277
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	333	296	277
4180	Budget authority, net (total) .....	334	296	277
4190	Outlays, net (total) .....	333	296	277

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	1,569	1,376	1,234
5001	Total investments, EOY: Federal securities: Par value .....	1,376	1,234	1,049

The 1985 Department of Defense Authorization Act, Public Law 98-525, as amended by Public Laws 100-48 and 108-375, and the Post 9/11 Veterans Educational Assistance Improvements Act of 2010, Public Law

111-377, provide for the accrual funding of certain education benefits for active duty military personnel under the authority of Chapters 30 and 33, Title 38 U.S.C., and to selected Reserve personnel under the authority of Chapters 1606 and 1607, Title 10 U.S.C. The fund is financed through actuarially determined Government contributions from the Department of Defense military personnel appropriations and interest on investments. Funds are transferred to the Department of Veterans Affairs to make benefit payments to eligible personnel. The status of the fund is as follows:

**Status of Funds** (in millions of dollars)

Identification code 097-8098-0-7-702	2015 actual	2016 est.	2017 est.	
<b>Unexpended balance, start of year:</b>				
0100 Balance, start of year .....	1,563	1,371	1,228	
0999 Total balance, start of year .....	1,563	1,371	1,228	
<b>Cash income during the year:</b>				
Current law:				
Receipts:				
1150	Interest on Investments, Education Benefits Fund .....	64	67	48
1160	Employing Agency Contributions, Education Benefits Fund .....	77	86	43
1199	Income under present law .....	141	153	91
1999	Total cash income .....	141	153	91
<b>Cash outgo during year:</b>				
Current law:				
2100	Education Benefits Fund [200-10-8098-0] .....	-333	-296	-277
2199	Outgo under current law .....	-333	-296	-277
2999	Total cash outgo (-) .....	-333	-296	-277
<b>Surplus or deficit:</b>				
3110	Excluding interest .....	-256	-210	-234
3120	Interest .....	64	67	48
3199	Subtotal, surplus or deficit .....	-192	-143	-186
<b>Unexpended balance, end of year:</b>				
4100	Uninvested balance (net), end of year .....	-5	-6	-7
4200	Education Benefits Fund .....	1,376	1,234	1,049
4999	Total balance, end of year .....	1,371	1,228	1,042

**AMERICAN BATTLE MONUMENTS COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one-for-one replacement basis only) and hire of passenger motor vehicles; not to exceed \$7,500 for official reception and representation expenses; and insurance of official motor vehicles in foreign countries, when required by law of such countries, **[\$105,100,000] \$75,100,000**, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 074-0100-0-1-705	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Administration .....	25	24	20
0002	Cemetery Operations .....	57	81	55
0900	Total new obligations .....	82	105	75
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	37	30	39
1011	Unobligated balance transfer from other acct [074-0101] .....		7	7
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	38	37	46
<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation .....	74	105	75

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 074-0100-0-1-705	2015 actual	2016 est.	2017 est.
1121 Appropriations transferred from other acct [074-0101] ....		2	
1160 Appropriation, discretionary (total) .....	74	107	75
1930 Total budgetary resources available .....	112	144	121
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	39	46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	41	62
3010 Obligations incurred, unexpired accounts .....	82	105	75
3020 Outlays (gross) .....	-68	-84	-74
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	41	62	63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	41	62
3200 Obligated balance, end of year .....	41	62	63
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	74	107	75
Outlays, gross:			
4010 Outlays from new discretionary authority .....	49	64	45
4011 Outlays from discretionary balances .....	19	20	29
4020 Outlays, gross (total) .....	68	84	74
4180 Budget authority, net (total) .....	74	107	75
4190 Outlays, net (total) .....	68	84	74

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and the design, construction, and maintenance of permanent military cemetery memorials in foreign countries. The Commission requests 422 full-time equivalent (FTE) civilian employees to manage and support the annual investment in maintenance, infrastructure, and interpretive projects.

Object Classification (in millions of dollars)

Identification code 074-0100-0-1-705	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	18	23	23
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	20	25	25
12.1 Civilian personnel benefits .....	11	12	12
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	6	15	6
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	5	5	5
25.4 Operation and maintenance of facilities .....	6	10	5
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	4	3
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	22	25	10
99.9 Total new obligations .....	82	105	75

Employment Summary

Identification code 074-0100-0-1-705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	411	419	422

FOREIGN CURRENCY FLUCTUATIONS ACCOUNT

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, such sums as may be necessary, to remain available until expended, for purposes authorized by section 2109 of title 36, United States Code. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 074-0101-0-1-705	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	17	10
1010 Unobligated balance transfer to other accts [074-0100] .....		-7	-7
1050 Unobligated balance (total) .....	17	10	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		2	
1120 Appropriations transferred to other accts [074-0100] .....		-2	
1930 Total budgetary resources available .....	17	10	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	10	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The agency has a currency fluctuation account that insulates its appropriation's buying power from changes in exchange rates. Under "such sums as may be necessary" language, the Commission will reprogram prior year available funds to address exchange rate imbalances in 2017. The Commission will continue to estimate and report its Foreign Currency Fluctuations Account requirements.

Trust Funds

CONTRIBUTIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 074-8569-0-7-705	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Contributions, American Battle Monuments Commission .....	1	1	1
1140 Earnings on Investments, American Battle Monuments Commission .....		1	1
1199 Total current law receipts .....	1	2	2
1999 Total receipts .....	1	2	2
2000 Total: Balances and receipts .....	1	2	3
Appropriations:			
Current law:			
2101 Contributions .....	-1	-1	-1
5099 Balance, end of year .....		1	2

Program and Financing (in millions of dollars)

Identification code 074-8569-0-7-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0004 World War II Memorial .....	3	2	2
0900 Total new obligations (object class 25.4) .....	3	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	7	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	4

3010	Obligations incurred, unexpired accounts .....	3	2	2
3020	Outlays (gross) .....	-2		
3050	Unpaid obligations, end of year .....	2	4	6
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	2	4
3200	Obligated balance, end of year .....	2	4	6
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1		
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	2		
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	2		

*Repair of non-Federal war memorials.*—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

**ARMED FORCES RETIREMENT HOME**  
*Federal Funds*

GENERAL FUND PAYMENT, ARMED FORCES RETIREMENT HOME

**Program and Financing** (in millions of dollars)

Identification code 084-0100-0-1-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	General fund payment .....	20	
0900	Total new obligations (object class 94.0) .....	20	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	20	
1930	Total budgetary resources available .....	20	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	20	
3020	Outlays (gross) .....	-20	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	20	
Outlays, gross:			
4010	Outlays from new discretionary authority .....	20	
4180	Budget authority, net (total) .....	20	
4190	Outlays, net (total) .....	20	

**Trust Funds**

TRUST FUND

For expenses necessary for the Armed Forces Retirement Home to operate and maintain the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi, to be paid from funds available in the Armed Forces Retirement Home Trust Fund, \$64,300,000, of which \$1,000,000 shall remain available until expended for construction and renovation of the physical plants at the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi: *Provided*, That of the amounts made available under this heading from funds available in the Armed Forces Retirement Home Trust Fund, \$20,000,000 shall be paid from the general fund of the Treasury to the Trust Fund. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 084-8522-0-7-602	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	21	15
			15

<b>Receipts:</b>			
Current law:			
1110	Deductions, Armed Forces Retirement Home .....	7	7
1110	Fines and Forfeitures, Armed Forces Retirement Home .....	23	20
1130	Other Receipts, Armed Forces Retirement Home .....	15	16
1130	Gifts, Armed Forces Retirement Home .....	1	
1140	Interest from Investments, Armed Forces Retirement Home .....	2	1
1140	General Fund Payment to the Armed Forces Retirement Home .....		20
1199	Total current law receipts .....	48	64
1999	Total receipts .....	48	64
2000	Total: Balances and receipts .....	69	79
<b>Appropriations:</b>			
Current law:			
2101	Armed Forces Retirement Home .....	-54	-64
5099	Balance, end of year .....	15	15
			14

**Program and Financing** (in millions of dollars)

Identification code 084-8522-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Operations and maintenance .....	62	63
0002	Construction .....	1	1
0900	Total new obligations .....	63	64
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	28	22
1021	Recoveries of prior year unpaid obligations .....	3	1
1050	Unobligated balance (total) .....	31	23
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund) .....	54	64
1930	Total budgetary resources available .....	85	87
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	22	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	8	9
3010	Obligations incurred, unexpired accounts .....	63	64
3020	Outlays (gross) .....	-59	-64
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	-1
3050	Unpaid obligations, end of year .....	9	8
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	8	9
3200	Obligated balance, end of year .....	9	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	54	64
Outlays, gross:			
4010	Outlays from new discretionary authority .....	53	54
4011	Outlays from discretionary balances .....	6	10
4020	Outlays, gross (total) .....	59	64
4180	Budget authority, net (total) .....	54	64
4190	Outlays, net (total) .....	59	64
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	56	43
5001	Total investments, EOY: Federal securities: Par value .....	43	34
			24

Public Law 101-510 created an Armed Forces Retirement Home (AFRH) Trust Fund to finance the AFRH-Gulfport and the AFRH-Washington Homes. The Homes are financed by appropriations drawn from the Trust Fund. AFRH provides residences and related services for certain retired and former members of the Armed Forces and the Coast Guard. The members receiving domiciliary and hospital care are:

	2015 actual	2016 est.	2017 est.
Domiciliary care .....	889	909	919
Hospital care .....	155	157	177
Totals .....	1,044	1,066	1,096

TRUST FUND—Continued

In 2015, AFRH was awarded accreditation from The Joint Commission for Ambulatory and Nursing Care to supplement the current Commission Accreditation of Rehabilitation Facilities (CARF) recognition, implemented the first in a two-step resident fee increase, leased an underutilized building to a D.C. charter school to generate additional income and earned its 11th consecutive unmodified financial audit opinion. In 2016 and 2017, AFRH will build upon our successes and continue working with our Department of Defense leadership to ensure long-term trust fund solvency and identify areas where additional costs savings can be achieved while maintaining quality services for our residents.

Object Classification (in millions of dollars)

Identification code 084-8522-0-7-602	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	16	21	21
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	2		
11.9 Total personnel compensation .....	19	21	21
12.1 Civilian personnel benefits .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	5	5	5
25.4 Operation and maintenance of facilities .....	5	5	5
25.6 Medical care .....	4	3	3
25.7 Operation and maintenance of equipment .....	3	3	3
25.8 Subsistence and support of persons .....	10	10	10
26.0 Supplies and materials .....	1		
32.0 Land and structures .....	1	1	1
99.9 Total new obligations .....	63	64	64

Employment Summary

Identification code 084-8522-0-7-602	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	269	336	336

CEMETERIAL EXPENSES

Federal Funds

CEMETERIAL EXPENSES, ARMY

SALARIES AND EXPENSES

For necessary expenses for maintenance, operation, and improvement of Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, including the purchase or lease of passenger motor vehicles for replacement on a one-for-one basis only, and not to exceed \$1,000 for official reception and representation expenses, **[\$79,516,000]** \$70,800,000, of which not to exceed **[\$15,000,000]** \$28,000,000 shall remain available until September 30, **[2018]** 2019. In addition, such sums as may be necessary for parking maintenance, repairs and replacement, to be derived from the "Lease of Department of Defense Real Property for Defense Agencies" account. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 021-1805-0-1-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0008 Army National Cemeteries .....	73	82	71
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	11	9	7
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	14	9	7
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	66	80	71
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	2		

1900 Budget authority (total) .....	68	80	71
1930 Total budgetary resources available .....	82	89	78
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9	7	7

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	75	42
3010 Obligations incurred, unexpired accounts .....	73	82	71
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-57	-115	-96
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	75	42	17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	63	75	42
3200 Obligated balance, end of year .....	75	42	17

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	68	80	71
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	20	80	71
4011 Outlays from discretionary balances .....	37	35	25
4020 Outlays, gross (total) .....	57	115	96
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-2		
4180 Budget authority, net (total) .....	66	80	71
4190 Outlays, net (total) .....	55	115	96

**Operation and maintenance.**—Funding supports day-to-day operations of Arlington National Cemetery (ANC), including planning and execution for more than 7,000 interments and inurnments annually, as well as routine repairs made to facilities, contracted services, and horticultural work at Arlington National Cemetery and the Soldiers' and Airmen's Home National Cemetery.

**Construction.**—A ten-year capital investment plan has been developed to manage all construction, major rehabilitation, major maintenance, automation and study efforts. Funding supports long-term planning and capital investments made in construction of facilities, land improvements, and other major infrastructure sustainment, restoration, and maintenance.

The work contemplated includes converting the Millennium land to burial sites and planning and design for future expansion efforts. The Army is addressing the Southern Expansion Project and plans to request those resources in future budget submissions.

**Sustainment, Restoration and Modernization (SRM).**—Funding supports ANC's infrastructure to include the renovation, sustainment and maintenance of ANC facilities, infrastructure and roadways.

**ANC Major Construction.**—Funding supports ANC's expansion efforts in construction of facilities and land improvements for expanded burial capacity. Specifically, funding supports the current efforts of Millennium and the Southern Expansion Project. No new funds are requested in this account for 2017.

Object Classification (in millions of dollars)

Identification code 021-1805-0-1-705	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	10	15	15
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	11	15	15
12.1 Civilian personnel benefits .....	3	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	3		
25.2 Other services from non-Federal sources .....	14	25	19
25.7 Operation and maintenance of equipment .....	5		
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	34	33	28
99.9 Total new obligations .....	73	82	71

**Employment Summary**

Identification code 021-1805-0-1-705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	146	201	201

**CONSTRUCTION**

**Program and Financing** (in millions of dollars)

Identification code 021-1809-0-1-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	2		
0900 Total new obligations (object class 32.0) .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	15	15
1050 Unobligated balance (total) .....	17	15	15
1930 Total budgetary resources available .....	17	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	37	17
3010 Obligations incurred, unexpired accounts .....	2		
3020 Outlays (gross) .....	-39	-20	-10
3050 Unpaid obligations, end of year .....	37	17	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	74	37	17
3200 Obligated balance, end of year .....	37	17	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	39	20	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	39	20	10

**ADMINISTRATIVE PROVISIONS**

SEC. 301. Funds appropriated in this Act under the heading "Department of Defense—Civil, Cemeterial Expenses, Army", may be provided to Arlington County, Virginia, for the relocation of the federally owned water main at Arlington National Cemetery, making additional land available for ground burials.

SEC. 302. Amounts deposited into the special account established under 10 U.S.C. 4727 are appropriated and shall be available until expended to support activities at the Army National Military Cemeteries. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

**FOREST AND WILDLIFE CONSERVATION, MILITARY RESERVATIONS**

**Federal Funds**

**WILDLIFE CONSERVATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-5095-0-2-303	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Sales of Hunting and Fishing Permits, Military Reservations .....	3	3	3
2000 Total: Balances and receipts .....	3	3	3
Appropriations:			
Current law:			
2101 Wildlife Conservation .....	-3	-3	-3

5099 Balance, end of year .....			
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**Program and Financing** (in millions of dollars)

Identification code 097-5095-0-2-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Conservation of game .....	3	3	3
0900 Total new obligations (object class 26.0) .....	3	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
1050 Unobligated balance (total) .....	9	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	3	3
1900 Budget authority (total) .....	3	3	3
1930 Total budgetary resources available .....	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	3
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-6	-6
3050 Unpaid obligations, end of year .....	6	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	3
3200 Obligated balance, end of year .....	6	3	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	3	3
4101 Outlays from mandatory balances .....	2	3	3
4110 Outlays, gross (total) .....	3	6	6
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	6	6

These appropriations provide for development and conservation of fish and wildlife and recreational facilities on military installations. Proceeds from the sale of fishing and hunting permits are used for these programs at Army, Navy, Marine Corps, and Air Force installations charging such user fees. These programs are carried out through cooperative plans agreed upon by the local representatives of the Secretary of Defense, the Secretary of the Interior, and the appropriate agency of the State in which the installation is located.

**SELECTIVE SERVICE SYSTEM**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109; and not to exceed \$750 for official reception and representation expenses; **[\$22,703,000]** \$22,900,000: *Provided*, That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever the President deems such action to be necessary in the interest of national defense: *Provided further*, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States. (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 090-0400-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Selective Service System .....	23	23	23

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 090-0400-0-1-054	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	23
1930 Total budgetary resources available .....	23	23	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	6
3010 Obligations incurred, unexpired accounts .....	23	23	23
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-23	-22	-22
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	5	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	6
3200 Obligated balance, end of year .....	5	6	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	23	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	18	18
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	23	22	22
4180 Budget authority, net (total) .....	23	23	23
4190 Outlays, net (total) .....	23	22	22

The Selective Service System (SSS) continues to register men as they reach age 18, as required by law, and maintain an active database of registrant records. Should the Nation return to conscription for a national emergency, the agency would have the first draftees at military processing centers according to the mobilization plan. The agency also manages a

program for the Nation's conscientious objectors in cooperation with the Department of Defense. All Reserve Force Officers participating in the Selective Service System program will remain at 175 in 2016 and 2017 to reflect requirements.

SSS will continue to strengthen its partnership with the Armed Services. The Agency will continue its national initiative to offer every young man that receives a registration acknowledgment, almost two million annually, the opportunity to volunteer for the military services.

SSS will maintain a modernized information technology system to improve business processes, while helping to sustain an all volunteer military by aiding recruiting with its agency mailings. Relevant technology will ensure faster, more accurate registration processing, as well as more secure storage of personally identifiable information. It will also foster better customer service via the Internet.

Object Classification (in millions of dollars)

Identification code 090-0400-0-1-054	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	10	10	10
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	12	12	12
12.1 Civilian personnel benefits .....	3	3	3
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services from non-Federal sources .....	4	4	4
99.9 Total new obligations .....	23	23	23

Employment Summary

Identification code 090-0400-0-1-054	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	121	124	124

# ENVIRONMENTAL PROTECTION AGENCY

## Federal Funds

### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$41,489,000]** \$51,527,000, to remain available until September 30, **[2017]** 2018. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 068-0112-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	5	5	6
0012 Clean and Safe Water .....	22	22	26
0013 Land Preservation and Restoration .....	11	11	13
0014 Healthy Communities and Ecosystems .....	3	3	4
0015 Compliance and Environmental Stewardship .....	2	2	2
0799 Total direct obligations .....	43	43	51
0801 Reimbursable from Superfund Trust Fund .....	10	10	9
0900 Total new obligations .....	53	53	60
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	.....
1021 Recoveries of prior year unpaid obligations .....	.....	1	1
1050 Unobligated balance (total) .....	3	2	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	41	41	52
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	10	9
1701 Change in uncollected payments, Federal sources .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	11	10	9
1900 Budget authority (total) .....	52	51	61
1930 Total budgetary resources available .....	55	53	62
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	.....	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	5	9
3010 Obligations incurred, unexpired accounts .....	53	53	60
3020 Outlays (gross) .....	-48	-48	-57
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-1	-1
3050 Unpaid obligations, end of year .....	5	9	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-3	1	5
3200 Obligated balance, end of year .....	1	5	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	51	61
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	44	53
4011 Outlays from discretionary balances .....	2	4	4
4020 Outlays, gross (total) .....	48	48	57
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-10	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
4070 Budget authority, net (discretionary) .....	41	41	52
4080 Outlays, net (discretionary) .....	38	38	48
4180 Budget authority, net (total) .....	41	41	52
4190 Outlays, net (total) .....	38	38	48

This appropriation supports the Environmental Protection Agency's (EPA) core programs by providing funds for independent Office of Inspector General (OIG) audit, evaluation, and investigative products and advisory

services. These products and services consistently provide significant positive monetary return on investment and contribute substantially to risk reduction, improved environmental quality and human health, as well as improved business practices, operational efficiency, and accountability. Specifically, the OIG performs contract audits and investigations that focus on costs claimed by contractors and assess the effectiveness of contract management. Assistance agreement audits and investigations evaluate the award, administration, and costs of assistance agreements. Program audits, evaluations, and investigations determine the extent to which the desired results or benefits envisioned by the Administration and the Congress are being achieved, and identify activities that could undermine the integrity, efficiency, and effectiveness of EPA programs. Financial statement audits review financial systems and statements to ensure that adequate controls are in place and EPA's accounting information is timely, accurate, reliable and useful, and complies with applicable laws and regulations. Efficiency, risk assessment, and program performance audits review the economy, efficiency, and effectiveness of operations by examining EPA's structure and processes for achieving environmental goals, including assessing risk, setting priorities, developing implementation strategies, and measuring performance. Information resource management audits review EPA information technology and systems to test the integrity of data and systems controls, as well as compliance with a variety of Federal information security laws and requirements. Investigations prevent, detect, and seek prosecution for criminal activity and serious misconduct in EPA programs and operations. Major areas of investigative focus include: financial fraud, infrastructure/terrorist threat, program integrity, employee integrity, cyber crimes, and theft of intellectual or sensitive data. In addition, the EPA Inspector General serves as the IG for the U.S. Chemical Safety and Hazard Investigation Board, providing the full range of audit, evaluation, and investigative services specified by the Inspector General Act, as amended. Additional funds for audit, evaluation, and investigative activities associated with the Superfund Trust Fund are appropriated under that account and transferred to the Inspector General account. This appropriation also supports activities under the Working Capital Fund.

#### Object Classification (in millions of dollars)

Identification code 068-0112-0-1-304	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	30	30	36
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	32	32	38
12.1 Civilian personnel benefits .....	9	9	11
21.0 Travel and transportation of persons .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
99.0 Direct obligations .....	43	43	51
99.0 Reimbursable obligations .....	10	10	9
99.9 Total new obligations .....	53	53	60

#### Employment Summary

Identification code 068-0112-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	233	261	267
1101 Direct military average strength employment .....	1	1	1
2001 Reimbursable civilian full-time equivalent employment .....	53	50	50

#### SCIENCE AND TECHNOLOGY

For science and technology, including research and development activities, which shall include research and development activities under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980; necessary expenses for personnel and related costs and travel expenses; procurement of laboratory equipment and supplies; and other operating expenses in support of research and

SCIENCE AND TECHNOLOGY—Continued

development, **[\$734,648,000]** \$754,184,000, to remain available until September 30, [2017: *Provided*, That of the funds included under this heading, \$14,100,000 shall be for Research: National Priorities as specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)] 2018. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 068–0107–0–1–304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	253	254	259
0012 Clean and Safe Water .....	137	138	140
0013 Land Preservation and Restoration .....	156	157	160
0014 Healthy Communities and Ecosystems .....	177	178	181
0015 Compliance and Environmental Stewardship .....	17	17	17
0799 Total direct obligations .....	740	744	757
0801 Reimbursements from Superfund Trust Fund .....	20	19	15
0802 Other Reimbursements .....	9	10	10
0899 Total reimbursable obligations .....	29	29	25
0900 Total new obligations .....	769	773	782
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	120	125	121
1021 Recoveries of prior year unpaid obligations .....	12	18	18
1050 Unobligated balance (total) .....	132	143	139
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	735	735	754
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	24	16	16
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	29	16	16
1900 Budget authority (total) .....	764	751	770
1930 Total budgetary resources available .....	896	894	909
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	125	121	127
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	356	337	328
3010 Obligations incurred, unexpired accounts .....	769	773	782
3011 Obligations incurred, expired accounts .....	8		
3020 Outlays (gross) .....	-773	-764	-704
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12	-18	-18
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	337	328	388
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-18	-18
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-18	-18	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	336	319	310
3200 Obligated balance, end of year .....	319	310	370
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	764	751	770
Outlays, gross:			
4010 Outlays from new discretionary authority .....	449	439	453
4011 Outlays from discretionary balances .....	324	325	251
4020 Outlays, gross (total) .....	773	764	704
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-26	-16	-16
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-27	-16	-16
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	735	735	754

4080 Outlays, net (discretionary) .....	746	748	688
4180 Budget authority, net (total) .....	735	735	754
4190 Outlays, net (total) .....	746	748	688

This appropriation finances salary, travel, science, technology, environmental monitoring, research, and development activities including laboratory and center supplies, certain operating expenses (including activities under the Working Capital Fund), contracts, grants, intergovernmental agreements, and purchases of scientific equipment. In addition, the Administrator will employ persons in the Office of Research and Development under the authority provided in 42 U.S.C. 209. These activities provide the scientific and technology basis for EPA policy and regulatory development actions. This appropriation supports core Agency programs and each of the Agency's five goals. Specifically in 2017, EPA will place emphasis on the following:

*Taking Action on Climate Change and Improving Air Quality.*—To develop and implement strategies to address climate change and improve air quality, EPA will conduct a range of science and technology activities. These include research to inform the review of the national ambient air quality standards that builds upon new science and work already performed to improve understanding of ozone, particulate matter, lead, sulfur dioxide, carbon monoxide, and nitrogen dioxide. In 2017, the Air, Climate and Energy (ACE) research program will identify air quality benefits associated with climate mitigation and adaptation choices to inform national and regional climate decisions. The program also will conduct systems research and life cycle analysis to understand the production, operation, and impacts of energy systems on health and the environment. Research on the generation, fate, transport, and chemical transformation of air emissions will identify individual and population health risks to inform clean air management decisions in the context of a changing climate and evolving energy use. The ACE research program will also develop and evaluate new approaches for monitoring levels of air pollutants—including air toxics—that may be used to enhance and reduce the cost and/or burden of monitoring for communities and industrial facilities. In conjunction with the Safe and Sustainable Water Resources (SSWR) research program, EPA will coordinate with the Department of Energy and the U.S. Geological Survey to understand and address potential impacts of natural gas development using hydraulic fracturing. EPA will continue collecting data to inform implementation of the Cross-State Air Pollution Rule (CSAPR). EPA will also develop, implement, and ensure compliance with regulatory programs that will significantly reduce emissions from highway and non-road sources, including the implementation of greenhouse gas emission standards for light-duty and heavy duty vehicles. EPA will continue to implement the renewable fuels provisions of the Energy Policy Act of 2005 (P.L. 109–58) and the Energy Independence and Security Act of 2007 (P.L. 110–140). In addition, EPA will develop tools for State and local governments and Tribes to use in developing clean air plans to achieve air quality standards. EPA will conduct field measurements and assessments and provide technical support for indoor air quality remediations that primarily focus on Tribal communities.

*Protecting America's Waters.*—In 2017, through the SSWR program, EPA will conduct research to meet the following science needs articulated by EPA's water program: evaluating groups of contaminants for the protection of human health and the environment; developing innovative tools, technologies, and strategies for managing water resources (including stormwater); and supporting a systems approach for protecting and restoring aquatic systems. The systems approach includes research to inform setting water quality criteria, establishing measures to assess and manage watersheds, and developing effective source control and management methods, especially for urban uses. A major component of the research program is working to support EPA's Drinking Water Strategy.

The SSWR program will address potential water supply endangerments associated with hydraulic fracturing. In addition to continuing work associated with its ongoing study, EPA will coordinate with the Department

of Energy and the U.S. Geological Survey to understand and address potential impacts of natural gas development using hydraulic fracturing.

Within the SSWR program, green infrastructure research will continue to assess, develop, and compile scientifically rigorous tools and models that will be used by EPA's Office of Water, States and municipalities. In addition, SSWR and the other research programs are demonstrating innovative water technologies that will help the Army meet its goals of Net Zero energy, water, and waste by 2020 across the country and overseas. Currently, there are demonstration sites at Fort Riley, in Kansas, and at Joint Base Lewis-McChord in Washington State.

*Cleaning Up Communities and Advancing Sustainable Development.*—The Sustainable and Healthy Communities (SHC) research program, including Superfund research, incorporates the principles of sustainability into its research in many capacities to promote sustainable environmental technologies with a focus on developing a new generation of smart technologies to address environmental conditions in a community. Superfund research costs are appropriated to the Hazardous Substance Superfund Trust Fund appropriation and transferred to this account to allow for proper accounting. In 2017, the SHC research program will continue to develop decision support tools to enable communities' decision makers to solve complex human health and environmental problems. The program will identify health risks and stressors, especially those that disproportionately impact vulnerable populations such as children and the elderly.

SHC's decision support tools focus on social, economic, health, and ecological outcomes when making critical decisions about transportation, materials management and solid waste, land use, and the built environment. These tools, developed in conjunction with EPA's program offices, along with states and local governments, will increase the capacity for community stakeholders to examine the impacts of environmental stressors and local, regional, and state planning decisions on ecosystems and human health and well-being.

SHC's products provide an opportunity for decision makers to utilize an integrated systems approach to simultaneously address all of these objectives while avoiding unanticipated consequences. SHC's research products also provide EPA's regional and program offices with tools to identify more cost effective means to implement regulations and ensure regulatory compliance.

Examples of the application of SHC's decision support tools include: integrating research on pesticide and toxic substances with research on human health and ecosystems; mapping, monitoring, and modeling pollutants such as nitrogen; conducting ecosystem type assessments; and assessing risk management decisions for human health. A component of this research will focus on decreasing risks to vulnerable communities and groups that are disproportionately affected by existing problems.

In 2017, EPA's Human Health Risk Assessment (HHRA) program will continue efforts to develop assessments and scientific products that are used extensively by EPA Program and Regional offices, and other parties, to estimate the potential risk to public health from exposure to environmental contaminants, to develop regulatory standards, and to manage environmental clean-ups. The HHRA research program provides the scientific foundation for Agency actions to protect public health and the environment with four specific components:

- 1) Integrated Risk Information System health hazard and dose-response assessments;
- 2) Integrated Science Assessments of criteria air pollutants;
- 3) Community and Site Specific Risks; and
- 4) Research to Advance Analyses and Applications.

*Homeland Security Research.*—The Homeland Security Research program will continue to support research efforts on evaluating chemical, biological, and radiological (CBR) analytical methods; event detection software; and calibration of contaminant warning systems. The Homeland Security Research program will conduct research on decontamination and management of its consequences for public health, as well as methods for protecting water infrastructures and assessing both threats, and their consequences.

In 2017, decontamination research will continue to address existing scientific knowledge gaps in responding to and recovering from wide-area CBR attacks on urban centers and public areas. Water Infrastructure Protection Research will focus on developing and testing decontamination approaches for water infrastructure and on treating CBR contaminated water caused by terrorist attacks, natural disasters, and/or accidents. Research on real-time distribution system models and methods to isolate and treat contaminated water, clean distribution systems, redirect water, and return water systems to service quickly and affordably is in progress. In 2017, as part of the Water Security Initiative (WSI), EPA will carry out a national training program for water systems on recently completed guidance and electronic tools to design and deploy a Water Quality Surveillance and Response System. Deployment of a Water Quality Surveillance and Response System can allow a water utility to rapidly detect and respond to water quality problems such as contamination in the distribution system in order to reduce public health and economic consequences. EPA will also continue to support water sector-specific agency responsibilities, including the Water Alliance for Threat Reduction, to protect the nation's critical water infrastructure. EPA will continue to oversee the regional laboratory networks that form the Water Laboratory Alliance, which enables the water sector to rapidly analyze a surge of laboratory samples during a significant contamination event. Also EPA will promote more robust drinking water, wastewater, and stormwater system resilience by increasing the national prominence of the Climate Ready Water Utilities (CRWU) initiative. EPA will also support cybersecurity activities within the water infrastructure sector pursuant to Executive Order 13636, Improving Critical Infrastructure Cybersecurity.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—Impacts to people or the environment from chemicals can occur at any point from the extraction of raw materials to the chemical's end of life, when it is disposed of or recycled. EPA's Chemical Safety for Sustainability Research Program (CSS) is designed to strengthen the Agency's ability to evaluate and predict the potential environmental and human health impacts from use of manufactured chemicals throughout their lifecycle. In 2017, CSS will lead development of innovative science to support safe, sustainable design and use of chemicals and materials required to promote human and environmental health, as well as to protect vulnerable populations. The CSS program will support the development and application of improved and new computational systems, models of pathways and tissues; rapid cost-efficient exposure models; and user-friendly web based tools for analysis and decision support. In 2017, EPA will continue its collaboration with the National Institutes of Health (NIH) and the Food and Drug Administration (FDA), under the auspices of the Tox21 consortium. Tox21's high-speed robot screening system will continue testing over 8,000 different chemicals, including nanomaterials and other chemicals found in industrial and consumer products, food additives, and drugs, for potential toxicity. Additionally, the CSS research program plans to:

- 1) Provide partners, decision makers, and other Agency Research Programs with intuitive and user-friendly decision support tools and graphical depictions of chemical data to aid with risk-based prioritization using the CSS Dashboard;
- 2) Produce chemical structure files that cover EPA's eco-toxicological databases, high throughput testing programs like ToxCast and Tox21, and the FDA's food additive database;
- 3) Provide and demonstrate solutions for the sustainable design, production, and use of new chemicals using life cycle chemical assessments in order to encourage the use of green engineering principles by manufacturers;
- 4) Apply and demonstrate newer computational toxicology approaches that will enhance the pace and efficiency of the Endocrine Disruptor Screening Program (EDSP); and
- 5) Apply computational and knowledge driven approaches to amplify the impact of research on engineered nanomaterials (ENMs) and on evaluation of emerging safer chemical alternatives.

SCIENCE AND TECHNOLOGY—Continued

In 2017, the Agency will protect human health by ensuring the availability of appropriate analytical methods for detecting pesticide residues in food and feed, ensuring suitability for monitoring pesticide residues, and enforcing tolerances. EPA will accomplish this by developing and validating multi-residue pesticide analytical methods for food, feed, and water for use by other Federal and State laboratories, and subsequently the program office. Laboratories further support the estimation of human health risks from pesticide use by operating the National Pesticide Standard Repository (NPSR). The NPSR will also protect the environment by supporting Federal and State laboratories involved in enforcement activities.

**Enforcing Environmental Laws.**—In 2017, EPA's Forensics Support program will continue to provide specialized scientific and technical support for the Nation's most complex civil and criminal enforcement cases, as well as technical expertise for Agency compliance efforts. This work is critical to determining non-compliance and building viable enforcement cases. EPA's National Enforcement Investigations Center (NEIC) is a fully accredited environmental forensics center under International Standards Organization 17025, the main standard used by testing and calibration laboratories, as recommended by the National Academy of Sciences. NEIC works closely with the EPA Criminal Investigation Division to provide technical support (e.g., sampling, analysis, consultation and testimony) to criminal investigations. NEIC also works closely with the Regional Offices to provide technical assistance, consultation, on-site inspection, investigation, and case resolution services in support of EPA's Civil Enforcement program.

NEIC will continue to apply its technical resources in support of EPA's national enforcement priorities, and support the technical aspects of criminal investigations. Efforts to stay at the forefront of environmental enforcement in 2017 include focused refinement of single and multi-media compliance monitoring investigation approaches, customized laboratory methods to solve unusual enforcement case challenges, and applied research and development in both laboratory and field applications.

**Enabling and Support Programs.**—EPA's Enabling and Support Programs provide the people, facilities, and systems necessary to operate the programs funded by the Science and Technology appropriations. The offices and the functions they perform are: Administration and Resources Management (facilities infrastructure and operations) and Environmental Information (information technology/data management).

Object Classification (in millions of dollars)

Identification code 068-0107-0-1-304	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	231	232	236
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	4	4	4
11.7 Military personnel .....	2	2	2
11.9 Total personnel compensation .....	243	244	248
12.1 Civilian personnel benefits .....	74	74	76
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	28	28	29
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	13	13	13
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	56	56	57
25.2 Other services from non-Federal sources .....	80	82	83
25.3 Other goods and services from Federal sources .....	33	34	34
25.4 Operation and maintenance of facilities .....	27	28	28
25.5 Research and development contracts .....	65	65	67
25.7 Operation and maintenance of equipment .....	24	24	25
26.0 Supplies and materials .....	9	9	9
31.0 Equipment .....	20	20	20
41.0 Grants, subsidies, and contributions .....	58	58	59
99.0 Direct obligations .....	739	744	757
99.0 Reimbursable obligations .....	30	29	25
99.9 Total new obligations .....	769	773	782

Employment Summary

Identification code 068-0107-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,086	2,182	2,185
1101 Direct military average strength employment .....	16	17	17
2001 Reimbursable civilian full-time equivalent employment .....	70	73	70

ENVIRONMENTAL PROGRAMS AND MANAGEMENT

For environmental programs and management, including necessary expenses, not otherwise provided for, for personnel and related costs and travel expenses; hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase of reprints; library memberships in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members; administrative costs of the brownfields program under the Small Business Liability Relief and Brownfields Revitalization Act of 2002; and not to exceed \$9,000 for official reception and representation expenses, **[\$2,613,679,000]** \$2,852,893,000, to remain available until September 30, **[2017: Provided, That of the funds included under this heading, \$12,700,000 shall be for Environmental Protection: National Priorities as specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): Provided further, That of the funds included under this heading, \$427,737,000 shall be for Geographic Programs specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)]** 2018. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-0108-0-1-304	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Proposed:</b>			
1230 User Fees, Pre-manufacture Notice .....			4
2000 Total: Balances and receipts .....			4
5099 Balance, end of year .....			4

Program and Financing (in millions of dollars)

Identification code 068-0108-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	443	442	479
0012 Clean and Safe Water .....	991	988	1,071
0013 Land Preservation and Restoration .....	346	345	374
0014 Healthy Communities and Ecosystems .....	400	398	433
0015 Compliance and Environmental Stewardship .....	475	473	514
0799 Total direct obligations .....	2,655	2,646	2,871
0801 Environmental Programs and Management (Reimbursable) .....	45	45	45
0900 Total new obligations .....	2,700	2,691	2,916
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	268	247	286
1021 Recoveries of prior year unpaid obligations .....	24	35	35
1050 Unobligated balance (total) .....	292	282	321
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,614	2,613	2,853
1100 Appropriation .....		22	
1160 Appropriation, discretionary (total) .....	2,614	2,635	2,853
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	39	60	60
1701 Change in uncollected payments, Federal sources .....	23		
1750 Spending auth from offsetting collections, disc (total) .....	62	60	60
1900 Budget authority (total) .....	2,676	2,695	2,913
1930 Total budgetary resources available .....	2,968	2,977	3,234
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-21		
1941 Unexpired unobligated balance, end of year .....	247	286	318
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,130	1,181	1,209

3010	Obligations incurred, unexpired accounts .....	2,700	2,691	2,916
3011	Obligations incurred, expired accounts .....	4	.....	.....
3020	Outlays (gross) .....	-2,618	-2,628	-2,773
3040	Recoveries of prior year unpaid obligations, unexpired .....	-24	-35	-35
3041	Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050	Unpaid obligations, end of year .....	1,181	1,209	1,317
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-62	-63	-63
3070	Change in uncollected pymts, Fed sources, unexpired .....	-23	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	22	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-63	-63	-63
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,068	1,118	1,146
3200	Obligated balance, end of year .....	1,118	1,146	1,254
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,676	2,695	2,913
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,767	1,647	1,914
4011	Outlays from discretionary balances .....	851	981	859
4020	Outlays, gross (total) .....	2,618	2,628	2,773
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-26	-45	-45
4033	Non-Federal sources .....	-15	-15	-15
4040	Offsets against gross budget authority and outlays (total) ....	-41	-60	-60
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-23	.....	.....
4052	Offsetting collections credited to expired accounts .....	2	.....	.....
4060	Additional offsets against budget authority only (total) .....	-21	.....	.....
4070	Budget authority, net (discretionary) .....	2,614	2,635	2,853
4080	Outlays, net (discretionary) .....	2,577	2,568	2,713
4180	Budget authority, net (total) .....	2,614	2,635	2,853
4190	Outlays, net (total) .....	2,577	2,568	2,713

This appropriation includes funds for salaries, travel, contracts, grants, and cooperative agreements for pollution abatement, control, and compliance activities and administrative activities of the operating programs, including activities under the Working Capital Fund. This appropriation supports core Agency programs and each of the Agency's five goals. Specifically in fiscal year 2017, EPA will emphasize the following:

**Taking Action on Climate Change and Improving Air Quality.**—To protect and improve air quality and reduce carbon dioxide and other greenhouse gas (GHG) emissions, the EPA will apply a variety of approaches and tools. The EPA will develop and implement strategies to attain ambient air quality standards for the six criteria pollutants: ozone, particulate matter, sulfur dioxide, nitrogen dioxide, carbon monoxide, and lead. The EPA will reduce regional haze through regional approaches where significant transport of pollutants occurs. The EPA will also develop control measures for sources that are best regulated at the Federal level. The EPA will continue to develop and issue national technology-based and risk-based standards using a sector-based approach to reduce the quantity of toxic air pollutants emitted from industrial and manufacturing processes, as well as from urban sources. The EPA will proceed with performing analyses to develop New Source Performance Standards, consistent with Clean Air Act requirements. The Acid Rain program will continue its market-based approach to achieving reduced emissions of sulfur dioxide, primarily from electric utilities. The market-based approach will also be used in other programs, where permitted under the Clean Air Act, to reduce emissions of air pollutants. The EPA will use its authority under the Clean Air Act to continue efforts to reduce GHGs domestically and internationally through cost-effective, non-regulatory programs, while also pursuing regulatory options. Through implementation of the mandatory Greenhouse Gas Reporting Rule, the EPA will work with sources to obtain high quality data in a cost-effective manner. The EPA will continue to provide support and oversight for local, state, and tribal permitting authorities to efficiently process GHG permits, as well as issue permits directly to sources where there is no delegated permitting authority. The EPA will continue partnerships with businesses and other sectors to help reduce GHGs through the greater use

of energy efficient technologies and products that contribute to cleaner air. These government-industry partnership programs are designed to capitalize on the opportunities that consumers, businesses, and organizations have for making sound investments in efficient equipment, policies, practices, and transportation choices. The EPA will continue to coordinate the implementation of the Global Methane Initiative to enhance global cooperation and expand efforts to capture and use methane as a clean alternative energy source. The EPA will also participate with other agencies to help global efforts to increase energy efficiency and reduce carbon dioxide and other GHG emissions. The EPA will implement voluntary outreach and partnership programs with non-governmental entities as well as with state, tribal, and local governments to improve indoor air quality and reduce risks to the public from pollutants including radon in homes, schools, and workplaces. In addition, the EPA will develop and use voluntary and regulatory programs, public information, and training to reduce public exposure to radiation. The EPA will focus its domestic and international efforts to ensure that ozone-depleting substance production and import caps under the Montreal Protocol and Clean Air Act continue to be met.

**Protecting America's Waters.**—To protect and restore our waters to ensure that drinking water is safe, and that aquatic ecosystems sustain fish, plants and wildlife, as well as support economic, recreational, and subsistence activities, EPA will focus on several key strategies. Strong support is provided for helping communities address their water and wastewater infrastructure needs through a multifaceted approach. In conjunction with significant support provided through the Clean Water and Drinking Water State Revolving Funds, the EPA will provide technical assistance, training, and other efforts to enhance the capacity of communities, states, and private investors to plan and finance drinking water and wastewater infrastructure improvements. The EPA will work with states, tribes, and communities to promote innovative practices that advance water system and community resiliency and sustainability. Funding is included to support the Water Infrastructure and Resilience Finance Center and other activities of the Administration's Build America Investment Initiative. The EPA's strategy for helping systems provide safe drinking water over the next several years includes developing or revising drinking water standards, supporting States, Tribes, and water systems in implementing standards, promoting sustainable management of water infrastructure, and protecting sources of drinking water from contamination. The EPA will facilitate the ecosystem-scale protection and restoration of natural areas by supporting continuing efforts of all 28 National Estuary Program estuaries to implement their Comprehensive Conservation and Management Plans to protect and restore estuarine resources. To maximize the impact of each dollar, the EPA will continue to strengthen its vital partnerships with States, Tribes, local governments, and other parties that are also working toward the common goal of improving the Nation's waters. The EPA will implement the President's Executive Order on the Chesapeake Bay, EO 13508, the Chesapeake Bay Total Maximum Daily Load (TMDL) and the 2014 Chesapeake Bay Watershed Agreement, implementing strategies to restore water quality and the Bay ecosystem. The EPA will continue to lead the implementation of the Great Lakes Restoration Initiative. The initiative provides \$250 million for programs and projects strategically chosen to target the most significant environmental problems in the Great Lakes ecosystem. This investment will allow for implementation of important restoration activities emphasizing on-the-ground work to achieve the goals, objectives and targets of the Initiative. The EPA expects to continue to progress in cleaning up and delisting Areas of Concern, reducing phosphorus contributions from agricultural and urban lands that contribute to harmful algal blooms and other water quality impairments and invasive species prevention EPA is working with States and tribes to implement nationally consistent water quality monitoring programs that allows the EPA to make a credible national assessment of water quality. High quality, current monitoring data is critical for the EPA, States, Tribes and others to make watershed-based decisions, develop necessary water quality standards and TMDLs, and accurately and consistently portray conditions and trends. In addition, EPA will continue work

## ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

with its federal partners to support the safe deployment of carbon dioxide capture and storage technologies as a climate mitigation strategy.

*Cleaning Up Communities and Advancing Sustainable Development.*—The EPA will work to preserve land by ensuring proper management of waste, reducing waste generation and increasing recycling. The EPA will continue to assist States in putting in place and maintaining permits at facilities that treat, store or dispose of hazardous waste. Although States are the primary implementers of the Corrective Action program which requires facilities managing hazardous waste to clean up past releases, the EPA directly implements the program in 13 States and provides technical support and oversight for State-led activities. To achieve the EPA's waste management goals, the EPA will: (1) maintain partnerships with businesses, industries, Tribes, States, communities, and consumers; (2) promote environmentally responsible behavior by product manufacturers, users and disposers; and (3) encourage businesses, government, institutions and consumers to reduce waste generation and increase recycling through education, outreach, training, and technical assistance. The EPA will also continue to work with States in order to bring all underground storage tank systems into compliance and keep them in compliance with the release detection and release prevention requirements outlined in the Energy Policy Act of 2005. The EPA works with State, local, and Tribal partners to help protect the public and the environment from releases of hazardous substances from chemical handling facilities by helping them develop area-wide emergency response and contingency plans. The EPA conducts audits and inspections of those facilities handling more than a threshold quantity of certain extremely hazardous chemicals and that are required to implement a Risk Management Program to prevent releases. The EPA will also support the operations and management of the Brownfields program, including training and technical support to assist communities to address general issues associated with redevelopment or reuse of properties that may be complicated by the presence of contamination. The EPA is committed to ensuring environmental justice regardless of race, color, national origin, or income. Recognizing that minority and/or low-income communities may be exposed disproportionately to environmental harm and risks, the EPA works to protect these communities from adverse health and environmental effects and to ensure they are given the opportunity to participate meaningfully in environmental clean-up decisions. The EPA's Environmental Justice program will continue to incorporate environmental justice considerations in the rulemaking process. The EPA will apply effective methods suitable for determining whether disproportionate environmental health impacts on minority, low-income, and tribal populations exist.

In collaboration with our tribal government partners, the EPA works to strengthen human health and environmental protection in Indian country. The EPA works to ensure that its environmental protection programs are implemented in Indian country either by the EPA or by the Tribes. Also, the EPA provides resources and technical assistance for federally-recognized Tribes to create and maintain effective environmental program capacity. In FY 2017, the EPA will continue its collaboration with Tribes to develop long-term EPA-Tribal Environmental Plans (ETEP) for all federally-recognized Tribes, continue to implement the 2013 Tribal General Assistance Program (GAP) guidance to Tribes, and complete performance measures to better assess the Agency's outreach to Tribes.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—To ensure that food will be free from unsafe levels of pesticide residues, the EPA will apply strict health-based standards to the registration of pesticides for use on food or animal feed and ensure that older pesticides meet current health standards. The EPA will also work to expedite and increase the registration of safer pesticides and to decrease the use of pesticides with the highest potential to cause adverse effects. The EPA intends to reduce potential human and environmental risks from commercial and residential exposure to pesticides through programs that focus on farm worker protection, pollinator health and protection, endangered species protection, environmental stewardship, and integrated pest management. The EPA's toxics program

will continue to make substantial progress in protecting public health and the environment from potentially harmful industrial chemicals by assessing the safety of new and existing chemicals, reducing gaps in the availability of chemical data, strengthening management of chemical information, and providing easier and more complete public access to non-confidential chemical data. Following review of completed chemical assessments from the first set of 83 TSCA Work Plan Chemicals identified by the Agency in March of 2012, the EPA will take action where appropriate to manage any unreasonable risk to human health or the environment posed by exposure to those chemicals. The EPA will also continue its efforts to alleviate health risks from exposure to lead-based paint and other sources of lead in the environment, in part by implementing regulations requiring use of firms certified for applying lead-safe practices in renovation, remodeling, painting, and lead-abatement projects. EPA's Pollution Prevention (P2) program will continue to alleviate environmental problems by achieving significant reductions in the use of hazardous materials, energy and water; reductions in the generation of greenhouse gases; cost savings; and increases in the use of safer chemicals and products across the Federal government and through voluntary engagement with business. The P2 Program's efforts advance the agency's priorities to pursue sustainability, take action on climate change and reduce chemical risks. Additionally, the P2 program will continue to promote increased use of those solutions, providing technical assistance and demonstrating their environmental and economic benefits.

The United States will coordinate with other nations in multilateral efforts to protect the environment and human health. The EPA will continue to support the U.S. role in chairing the Arctic Council from 2015–2017, promote formal bilateral and multilateral environmental agreements with key countries, execute environmental components of the Administration's key foreign policy initiatives, and engage in regional and global negotiations aimed at reducing potential environmental risks via formal and informal agreements. The EPA will continue to cooperate with other countries to ensure that domestic and international environmental laws, policies, and priorities are recognized and implemented and, where appropriate, promoted within the multilateral development assistance and trading system.

Combined with public demand for information, unprecedented changes in information technology are altering the way the EPA, States, and Tribes collect, manage, analyze, use, secure, and provide access to quality environmental information. The EPA is working with the States and Tribes to strengthen our information quality, leverage information maintained by other government organizations, and develop new tools that provide the public with simultaneous access to multiple data sets, allowing users to understand local, Tribal, State, regional, and national environmental conditions. Key to achieving information quality will be the further development of the National Environmental Information Exchange Network, which is primarily an affiliation between the EPA and the States and Tribes. The EPA will continue to reduce reporting burden, improve data quality, and accelerate data publications by accelerating the replacement of paper-based submissions with electronic reporting under the Toxic Release Inventory and other programs.

*Enforcing Environmental Laws.*—The EPA will implement a strong enforcement and compliance program focused on identifying and reducing non-compliant actions and deterring future non-compliant actions that violate the law. To improve compliance with environmental laws, the EPA works to provide easy access to tools that help regulated entities, Federal agencies, and the public understand these laws and find efficient, cost-effective means for putting them into practice. The EPA's enforcement program targets inspections and other compliance monitoring activities according to the degree of health and environmental risk. The program collaborates with the Department of Justice, States, local government agencies, and Tribal governments to ensure consistent and fair enforcement of all environmental laws and regulations. The program seeks to aggressively pursue violations that threaten communities, ensure a level economic playing field by ensuring that violators do not realize an economic benefit from noncompliance, and deter future violations. The Civil Enforcement program devel-

ops, litigates, and settles administrative and civil judicial cases against serious violators of environmental laws. The Criminal Enforcement program punishes violators of environmental laws by holding them accountable through jail sentences and criminal fines. Bringing criminal cases sends a strong deterrence message to potential violators, enhances aggregate compliance with laws and regulations and protects our communities. In 2016, the EPA will continue efforts to improve efficiencies by streamlining monitoring and reporting, improving transparency, more accurately gauging compliance, and better engaging the public.

**Enabling and Support Programs.**—The EPA's Enabling and Support Programs (ESPs) provide centralized management services and support to environmental programs. The offices and the functions they perform within the Environmental Programs and Management appropriation are: the Offices of Administration and Resources Management (facilities, infrastructure and operations; acquisition management; human resources management services; grants and interagency agreements; suspension and debarment; administrative law); Environmental Information (exchange network, information security, information technology/data management); the Administrator (civil rights/Title VI compliance, congressional, inter-governmental and external relations, regional science and technology, Science Advisory Board); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability); and General Counsel (alternative dispute resolution and legal advice). Since these centralized services provide support across EPA, resources for the ESPs are allocated across EPA's appropriations, goals, and objectives.

**Object Classification** (in millions of dollars)

Identification code 068-0108-0-1-304	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,017	1,014	1,100
11.3 Other than full-time permanent .....	25	25	27
11.5 Other personnel compensation .....	20	20	22
11.7 Military personnel .....	4	4	4
11.9 Total personnel compensation .....	1,066	1,063	1,153
12.1 Civilian personnel benefits .....	328	327	355
13.0 Benefits for former personnel .....	8	8	9
21.0 Travel and transportation of persons .....	23	23	25
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	165	164	178
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	7	7	8
24.0 Printing and reproduction .....	6	6	6
25.1 Advisory and assistance services .....	175	174	189
25.2 Other services from non-Federal sources .....	325	324	351
25.3 Other goods and services from Federal sources .....	246	245	266
25.4 Operation and maintenance of facilities .....	12	12	13
25.7 Operation and maintenance of equipment .....	29	29	31
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	17	17	18
41.0 Grants, subsidies, and contributions .....	240	239	261
99.0 Direct obligations .....	2,655	2,646	2,871
99.0 Reimbursable obligations .....	45	45	45
99.9 Total new obligations .....	2,700	2,691	2,916

**Employment Summary**

Identification code 068-0108-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9,175	9,729	9,761
1101 Direct military average strength employment .....	30	30	30
2001 Reimbursable civilian full-time equivalent employment .....	27	27	27
2101 Reimbursable military average strength employment .....	2	2	2

**BUILDINGS AND FACILITIES**

For construction, repair, improvement, extension, alteration, and purchase of fixed equipment, land or facilities of, or for use by, the Environmental Protection Agency,

[\$42,317,000] \$52,078,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 068-0110-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	10	10	12
0012 Clean and Safe Water .....	7	7	8
0013 Land Preservation and Restoration .....	8	8	9
0014 Healthy Communities and Ecosystems .....	12	12	14
0015 Compliance and Environmental Stewardship .....	5	5	6
0900 Total new obligations .....	42	42	49
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	11	12	13
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	12	13	14
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	42	42	52
1930 Total budgetary resources available .....	54	55	66
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	13	17
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	50	54
3010 Obligations incurred, unexpired accounts .....	42	42	49
3020 Outlays (gross) .....	-37	-37	-43
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	50	54	59
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	46	50	54
3200 Obligated balance, end of year .....	50	54	59
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	42	42	52
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	5	7	10
4011 Outlays from discretionary balances .....	32	30	33
4020 Outlays, gross (total) .....	37	37	43
4180 Budget authority, net (total) .....	42	42	52
4190 Outlays, net (total) .....	37	37	43

This appropriation provides for the construction, repair, improvement, extension, alteration, and purchase of fixed equipment, land or facilities that are owned or used by EPA. This appropriation supports the EPA-wide goals through Enabling and Support Programs that provide centralized management services and support to the EPA's various environmental programs. EPA's management infrastructure will set and implement the highest quality standards for effective internal management and fiscal responsibility. The facilities funded by this account will provide quality work environments and state-of-the-art laboratories that address employee safety and security and pollution prevention. The appropriation includes costs associated with the consolidation and optimization of EPA's laboratory enterprise, reducing its overall footprint and facility costs.

**Object Classification** (in millions of dollars)

Identification code 068-0110-0-1-304	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
32.0 Land and structures .....	38	38	45
99.9 Total new obligations .....	42	42	49

## STATE AND TRIBAL ASSISTANCE GRANTS

For environmental programs and infrastructure assistance, including capitalization grants for State revolving funds and performance partnership grants, **[\$3,518,161,000]** *\$3,280,400,000*, to remain available until expended, of which—

(1) **[\$1,393,887,000]** *\$979,500,000* shall be for making capitalization grants for the Clean Water State Revolving Funds under title VI of the Federal Water Pollution Control Act; and of which **[\$863,233,000]** *\$1,020,500,000* shall be for making capitalization grants for the Drinking Water State Revolving Funds under section 1452 of the Safe Drinking Water Act: *Provided*, That for fiscal year **[2016]** 2017, to the extent there are sufficient eligible project applications and projects are consistent with State Intended Use Plans, not less than **[10]** 20 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants shall be used by the State for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities: *Provided further*, That for fiscal year **[2016]** 2017, funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants may, at the discretion of each State, be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities: *Provided further*, That notwithstanding section 603(d)(7) of the Federal Water Pollution Control Act, the limitation on the amounts in a State water pollution control revolving fund that may be used by a State to administer the fund shall not apply to amounts included as principal in loans made by such fund in fiscal year **[2016]** 2017 and prior years where such amounts represent costs of administering the fund to the extent that such amounts are or were deemed reasonable by the Administrator, accounted for separately from other assets in the fund, and used for eligible purposes of the fund, including administration: *Provided further*, That for fiscal year 2017, notwithstanding the provisions of sections 201(g)(1), (h), and (l) of the Federal Water Pollution Control Act, grants under Title II of the Federal Water Pollution Control Act for American Samoa, Guam, the Commonwealth of the Northern Marianas, the United States Virgin Islands, and the District of Columbia may also be made for the purpose of providing assistance: (1) solely for facility plans, design activities, or plans, specification, and estimates for any proposed project for the construction of treatment works; and (2) for the construction, repair, or replacement of privately owned treatment works serving one or more principal residences or small commercial establishments; *Provided further*, That for fiscal year 2017, notwithstanding the provisions of 201(g)(1), (h), and (l) and section 518(c) of the Federal Water Pollution Control Act, funds reserved by the Administrator for grants under section 518(c) of the Federal Water Pollution Control Act may also be used to provide assistance: (1) solely for facility plans, design activities, or plans, specifications, and estimates for any proposed project for the construction of treatment works; and (2) for the construction, repair, or replacement of privately owned treatment works serving one or more principal residences or small commercial establishments; Funds reserved under section 518(c) of such Act shall be available for grants only to Indian tribes, as defined in section 518(h) of such Act and former Indian reservations in Oklahoma (as defined by the Secretary of the Interior) and Native Villages as defined in Public Law 92–203: *Provided further*, That for fiscal year 2017, notwithstanding any provision of the Clean Water Act and regulations issued pursuant thereof, up to a total of \$2,000,000 of the funds reserved by the Administrator for grants under section 518(c) of the Federal Water Pollution Control Act may also be used for grants for training, technical assistance, and educational programs relating to the operation and management of the treatment works specified in section 518(c) of such Act; Funds reserved under section 518(c) of such Act shall be available for grants only to Indian tribes, as defined in section 518(h) of such Act and former Indian reservations in Oklahoma (as determined by the Secretary of the Interior) and Native Villages as defined in Public Law 92–203; *Provided further*, That for fiscal year **[2016]** 2017, notwithstanding the limitation on amounts in section 518(c) of the Federal Water Pollution Control Act, up to a total of 2 percent of the funds appropriated, or \$30,000,000, whichever is greater, and notwithstanding the limitation on amounts in section 1452(i) of the Safe Drinking Water Act, up to a total of 2 percent of the funds appropriated, or \$20,000,000, whichever is greater, for State Revolving Funds under such Acts may be reserved by the Administrator for grants under section 518(c) and section 1452(i) of such Acts: *Provided further*, That for fiscal year **[2016]** 2017, notwithstanding the amounts specified in section 205(c) of the Federal Water Pollution Control Act, up to 1.5 percent of the aggregate funds appropriated for the Clean Water State Revolving Fund program under the Act less any sums reserved under section 518(c) of the Act, may be reserved by the Administrator for grants made under title II of the Federal Water Pollution Control Act for American Samoa, Guam, the Commonwealth of the Northern Marianas, and United States Virgin Islands: *Provided further*, That for fiscal year **[2016]** 2017, notwithstanding the limitations on amounts specified in section 1452(j) of the Safe Drinking Water

Act, up to 1.5 percent of the funds appropriated for the Drinking Water State Revolving Fund programs under the Safe Drinking Water Act may be reserved by the Administrator for grants made under section 1452(j) of the Safe Drinking Water Act: *Provided further*, That **[10]** percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants and **20]** *no less than 10 percent but not more than 20 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants and not less than 20 percent but not more than 30 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used by the State to provide additional subsidy to eligible recipients in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these), and shall be so used by the State only where such funds are provided as initial financing for an eligible recipient or to buy, refinance, or restructure the debt obligations of eligible recipients only where such debt was incurred on or after the date of enactment of this Act;*

(2) **[\$10,000,000]** *\$5,000,000* shall be for architectural, engineering, planning, design, construction and related activities in connection with the construction of high priority water and wastewater facilities in the area of the United States-Mexico Border, after consultation with the appropriate border commission; *Provided*, That no funds provided by this appropriations Act to address the water, wastewater and other critical infrastructure needs of the colonias in the United States along the United States-Mexico border shall be made available to a county or municipal government unless that government has established an enforceable local ordinance, or other zoning rule, which prevents in that jurisdiction the development or construction of any additional colonia areas, or the development within an existing colonia the construction of any new home, business, or other structure which lacks water, wastewater, or other necessary infrastructure;

(3) **[\$20,000,000]** *\$17,000,000* shall be for grants to the State of Alaska to address drinking water and wastewater infrastructure needs of rural and Alaska Native Villages: *Provided*, That of these funds: (A) the State of Alaska shall provide a match of 25 percent; (B) no more than 5 percent of the funds may be used for administrative and overhead expenses; and (C) the State of Alaska shall make awards consistent with the Statewide priority list established in conjunction with the Agency and the U.S. Department of Agriculture for all water, sewer, waste disposal, and similar projects carried out by the State of Alaska that are funded under section 221 of the Federal Water Pollution Control Act (33 U.S.C. 1301) or the Consolidated Farm and Rural Development Act (7 U.S.C. 1921 et seq.) which shall allocate not less than 25 percent of the funds provided for projects in regional hub communities;

(4) **[\$80,000,000]** *\$90,000,000* shall be to carry out section 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), including grants, interagency agreements, and associated program support costs: *Provided*, That not more than 25 percent of the amount appropriated to carry out section 104(k) of CERCLA shall be used for site characterization, assessment, and remediation of facilities described in section 101(39)(D)(ii)(II) of CERCLA;

(5) **[\$50,000,000]** *\$10,000,000* shall be for grants under title VII, subtitle G of the Energy Policy Act of 2005;

**[(6)]** *\$20,000,000* shall be for targeted airshed grants in accordance with the terms and conditions of the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act);

**[(7)(6)]** **[\$1,060,041,000]** *\$1,158,400,000* shall be for grants, including associated program support costs, to States, federally recognized tribes, interstate agencies, tribal consortia, and air pollution control agencies for multi-media or single media pollution prevention, control and abatement and related activities, including activities pursuant to the provisions set forth under this heading in Public Law 104–134, and for making grants under section 103 and 105 of the Clean Air Act for particulate matter monitoring and data collection activities subject to terms and conditions specified by the Administrator, of which: **[\$47,745,000]** *\$49,500,000* shall be for carrying out section 128 of CERCLA; **[\$9,646,000]** *\$25,346,000* shall be for Environmental Information Exchange Network grants, including associated program support costs; **[\$1,498,000]** *\$2,498,000* shall be for grants to States under section 2007(f)(2) of the Solid Waste Disposal Act, which shall be in addition to funds appropriated under the heading "Leaking Underground Storage Tank Trust Fund Program" to carry out the provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code other than section 9003(h) of the Solid Waste Disposal Act; **\$17,848,000** of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be for State participation in national- and State-level statistical surveys of water resources and enhancements to State monitoring programs: *Provided*, That for the period of fiscal years 2016 through 2020, notwithstanding other applicable provisions of law, the funds appropriated for the Indian Environ-

mental General Assistance Program shall be available to federally recognized tribes for solid waste and recovered materials collection, transportation, backhaul, and disposal services; and ]

[(8) \$21,000,000 shall be for grants to States and federally recognized Indian tribes for implementation of environmental programs and projects that complement existing environmental program grants, including interagency agreements, as specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)]. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 068-0103-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	296	293	301
0012 Clean and Safe Water .....	2,931	2,896	2,672
0013 Land Preservation and Restoration .....	309	306	281
0014 Healthy Communities and Ecosystems .....	32	32	29
0015 Compliance and Environmental Stewardship .....	25	25	23
0900 Total new obligations .....	3,593	3,552	3,306
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	194	159	135
1021 Recoveries of prior year unpaid obligations .....	53	50	50
1050 Unobligated balance (total) .....	247	209	185
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,545	3,518	3,280
1130 Appropriations permanently reduced .....	-23	-32	.....
1131 Unobligated balance permanently reduced (balances cancelled) .....	-17	-8	.....
1160 Appropriation, discretionary (total) .....	3,505	3,478	3,280
1900 Budget authority (total) .....	3,505	3,478	3,280
1930 Total budgetary resources available .....	3,752	3,687	3,465
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	159	135	159
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,639	5,887	5,621
3010 Obligations incurred, unexpired accounts .....	3,593	3,552	3,306
3020 Outlays (gross) .....	-4,292	-3,768	-3,779
3040 Recoveries of prior year unpaid obligations, unexpired .....	-53	-50	-50
3050 Unpaid obligations, end of year .....	5,887	5,621	5,098
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,639	5,887	5,621
3200 Obligated balance, end of year .....	5,887	5,621	5,098
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,505	3,478	3,280
Outlays, gross:			
4010 Outlays from new discretionary authority .....	517	457	464
4011 Outlays from discretionary balances .....	3,775	3,311	3,315
4020 Outlays, gross (total) .....	4,292	3,768	3,779
4180 Budget authority, net (total) .....	3,505	3,478	3,280
4190 Outlays, net (total) .....	4,292	3,768	3,779

This appropriation supports core EPA programs and each of the EPA's five goals through grants to States, Tribes and other partners. In 2017, \$3.280 billion is provided to assist State and Tribal partners implement their environmental programs to protect human health and the environment. EPA is using common elements for State and Tribal grant agreements, including Performance Partnerships. The elements clearly link to EPA's strategic plan to relate State workplan information into EPA's strategic and annual goals. The Budget also adopts a multifaceted approach to address water and wastewater infrastructure which includes State Revolving Fund activities funded in this appropriation.

*Taking Action on Climate Change and Improving Air Quality.*—To reduce carbon dioxide and other greenhouse gas (GHG) emissions that cause climate change and protect and improve air quality through implementation of the National Ambient Air Quality Standards (NAAQS) and other regulations, the EPA will offer media-specific and multi-media Performance

Partnership Grants, and technical assistance to states and tribes. This financial and technical assistance will assist states and tribes in the development of solutions that address local air needs, and provide support for development of state and tribal implementation plans that address how the state or tribe will meet the requirements of the Clean Air Act. The EPA will also provide funds to states and tribes maintain and to improve air monitoring networks to obtain data on emissions of criteria pollutants and air toxics. The EPA issued air quality standards for fine particulate matter, in the late 1990s and funded the then new monitoring network using section 103 of the Clean Air Act. The EPA is committed to transitioning authority for funding the fine particulate monitoring from section 103 of the Clean Air Act to section 105 given the established and continuing nature of the program. Section 103 provides full funding for pilot programs, demonstrations, research, and other one-time activities; section 105 requires State and local agencies to provide matching funds of at least 40 percent of the amount required for the entire continuing State or local clean air program. Using funds provided by EPA under sections 103 and 105, States and Tribes will prepare State Implementation Plans and Tribal Implementation Plans to implement and achieve the revised, more protective NAAQS; implement new monitoring requirements, including technological upgrades and additional monitoring stations; and support the National Air Toxics Trends Stations monitoring network. Additionally, the EPA will provide funds to support States' collection, review, and use of GHG emissions data and permitting of large sources of GHG's. The EPA will also implement the Diesel Emissions Reduction Act (DERA) Grant Program by providing funding through grants and rebates to continue to reduce diesel emissions in priority areas and areas of highly concentrated diesel pollution.

*Protecting America's Waters.*—This Agency goal is to reduce human exposure to contaminants in drinking water, fish and shellfish, and recreational waters and to protect and restore watersheds and aquatic ecosystems. Significant support is provided to help communities address their water and wastewater infrastructure needs. In 2017, the EPA will invigorate its efforts to work with State and local partners to develop policies that promote the use of water resources in ways that are both ecologically and economically sustainable. In support of this goal, EPA will provide \$1,020.5 million for the Drinking Water State Revolving Fund (SRF), which makes low interest loans to public water systems and grants to tribes and U.S. Territories to upgrade drinking water infrastructure to help them provide safe drinking water. EPA will also provide \$979.5 million for the Clean Water SRF. The Clean Water SRF makes low interest loans to communities and includes a set-aside for Tribes and U.S. Territories to construct wastewater treatment infrastructure, in addition to other projects that enhance water quality. In sum, the Federal Government has invested over \$60 billion in grants to help capitalize the SRFs. With the required State match, additional State contributions, and funds from program leveraging, funds made available for loans totals over \$130 billion since their inception. In 2017, EPA will work with communities to ensure the SRFs support cost-effective, sustainable green infrastructure, or other environmentally innovative activities that promote system and community resilience, as not less than 20 percent of the Clean Water SRF grants shall be used by the State for such activities. For 2017, funds provided to States under the Drinking Water SRF may be used for projects to address green infrastructure, and other environmentally innovative activities. Funding provided through the SRFs will be enhanced by technical assistance, training, and planning efforts funded through EPA's operating programs as part of a multifaceted approach to address water and wastewater infrastructure. EPA will work with its partners to enhance the capacity of communities, states, and private investors to plan and finance drinking water and wastewater infrastructure improvements.

Direct grants are also provided to help address the significant water and wastewater infrastructure needs of Alaska Native Villages. EPA has implemented a management plan that optimizes the pace of the program. EPA will strengthen State core water quality protection and water enforcement

STATE AND TRIBAL ASSISTANCE GRANTS—Continued

programs. EPA will provide direct grant assistance for water and wastewater infrastructure projects on the U.S.-Mexico border.

EPA will support its partnerships with States, Tribes, and partners through media-specific and multi-media, and/or Performance Partnership grants to: (1) increase the number of community drinking water systems that meet all existing health-based standards, (2) protect watersheds by reducing point and nonpoint source pollution, (3) increase the acreage and improve the condition of wetlands, and (4) address agricultural and urban runoff and storm water. EPA will work with its State and Tribal partners to develop and implement broad-based and integrated monitoring and assessment programs that strengthen their water quality standards, improve decision-making, target restoration within the watershed, address significant stressors, and report on conditions.

Furthermore, in 2017 EPA will enhance efforts to address nutrient pollution through working collaboratively with U.S. Department of Agriculture in high priority, focused watersheds and providing funding to States to undertake nutrient pollution reductions.

*Cleaning Up Communities and Advancing Sustainable Development.*—Land is one of America's most valuable resources and cleaning up our communities to create a safe environment for all Americans while encouraging more sustainable development is critical to the future of our country. EPA's Brownfields program supports land revitalization by providing grants to States, Tribes, and local communities to assess and clean-up real property which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant; these actions pave the way for the productive reuse of contaminated properties. In 2017, EPA will provide \$90 million in Brownfields Project grants to local communities, including up to \$5 million in area-wide planning grants to explore new land use and economic development opportunities in communities experiencing coal plant closures as part of the Administration's POWER+ initiative. Increases for grants to States and Tribes to establish their own Brownfields programs will continue to advance the sustainability of non-federal land revitalization programs. Hazardous and non-hazardous wastes on the land can migrate to the air, groundwater and surface water, contaminating drinking water supplies, causing acute illnesses or chronic diseases, and threatening healthy ecosystems in urban, rural, and suburban areas.

Under the Resource Conservation and Recovery Act of 1976, as amended, EPA provides grants to States to strengthen their ability to implement hazardous waste programs. EPA also provides financial and technical assistance to eligible Tribal governments and inter-tribal consortia. In 2017, EPA will provide grants or cooperative agreements to States for underground storage tank release prevention and detection programs. There will also be direct assistance through media-specific, and multimedia and/or Performance Partnership grants to enable Tribes to implement hazardous waste programs.

In addition, EPA provides grants to assist States, Tribes, and partners with worker safety activities, protection of endangered species and water sources, and promotion of environmental stewardship. To protect, sustain or restore the health of people, communities and ecosystems, EPA will focus on the geographic areas with human and ecological communities at most risk. EPA is working to protect, sustain, and restore the health of natural habitats and ecosystems by identifying and evaluating problem areas, developing tools, and improving community capacity to address problems.

Under Federal environmental statutes, EPA is responsible for protecting human health and the environment in Indian country. EPA works with over 560 Federally recognized Tribes located across the United States to improve environmental and human health outcomes. Indian country totals more than 70 million acres with reservations ranging from less than 10 acres to more than 14 million acres. EPA will provide \$96.4 million to build and enhance the capacity of Tribes to address environmental and public health challenges in Indian Country, including lack of access to safe drinking

water, sanitation, adequate waste facilities, and other environmental safeguards taken for granted elsewhere.

EPA plans to provide \$25.4 million to States, U.S. Territories, Tribes, and inter-tribal consortia to help them develop their information management and technology capabilities. The purpose of this support is two-fold: to assist the Agency in providing ready access to real-time environmental information and to allow States and Tribes to better integrate and share their environmental information.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—In 2017, EPA will continue to provide grant assistance to States, U.S. Territories, District of Columbia, and Tribes in order to develop and implement authorized programs for the lead paint abatement program to operate in lieu of the Federal program. EPA will continue to provide support to develop and implement authorized Renovation, Repair and Painting (RRP) programs. EPA directly implements these programs in areas of the country that are not authorized to do so.

In 2017, EPA will continue the Pesticide Program State and Tribal Assistance Grants including pesticide applicator training and certification, worker protection, training on endangered species, coordination with States, Tribes, and other Federal agencies to limit the exposure of pollinators during the application of pesticides, protection of water sources from pesticide exposure and promote the Integrated Pest Management (IPM). EPA will also continue the grant program for States and Tribes, to test innovative Pollution Prevention (P2) approaches and to provide P2 technical assistance to companies.

*Enforcing Environmental Laws.*—To promote compliance with laws intended to protect human health and the environment, EPA will continue to award State and Tribal grants to assist in the implementation of compliance and enforcement provisions of environmental laws. EPA will provide funding to States and Tribes for compliance assurance activities including inspections and enforcement case support activities. Through grants for pesticide enforcement, EPA will support State and Tribal compliance and enforcement activities designed to protect the environment from harmful chemicals and pesticides. These grants will also help States and Tribes protect underserved and vulnerable populations by conducting compliance (inspections) and enforcement activities, including those related to worker protection standards and those at pesticide producing establishments. Toxic Substance compliance grants help protect the public and the environment from polychlorinated biphenyls, asbestos, and lead-based paint. Funds are used to train inspectors, including the train-the-trainer program; provide inspection equipment including sampling and personal protective equipment; and fund travel and salary costs associated with conducting inspections.

**Object Classification** (in millions of dollars)

Identification code 068-0103-0-1-304	2015 actual	2016 est.	2017 est.
<i>Direct obligations:</i>			
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	27	27	25
25.3 Other goods and services from Federal sources .....	59	58	54
41.0 Grants, subsidies, and contributions .....	3,506	3,466	3,226
99.9 Total new obligations .....	3,593	3,552	3,306

**Employment Summary**

Identification code 068-0103-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

WATER INFRASTRUCTURE FINANCE AND INNOVATION DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 068-4372-0-3-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....			980
0900 Total new obligations .....			980
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, discretionary:			
1300 Borrowing authority .....			980
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			2
1900 Budget authority (total) .....			982
1930 Total budgetary resources available .....			982
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			980
3020 Outlays (gross) .....			-140
3050 Unpaid obligations, end of year .....			840
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			840
<b>Financing authority and disbursements, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			980
Mandatory:			
4090 Budget authority, gross .....			2
Financing disbursements:			
4110 Outlays, gross (total) .....			140
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account .....			-2
4180 Budget authority, net (total) .....			980
4190 Outlays, net (total) .....			138

**Status of Direct Loans** (in millions of dollars)

Identification code 068-4372-0-3-301	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....			1,829
1143 Unobligated limitation carried forward (P.L. xx) (-) .....			-849
1150 Total direct loan obligations .....			980
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements .....			140
1290 Outstanding, end of year .....			140

*WATER INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM ACCOUNT*

For the cost of direct loans and for the cost of guaranteed loans, as authorized by the Water Infrastructure Finance and Innovation Act of 2014, \$15,000,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans, including capitalized interest, and total loan principal, including capitalized interest, any part of which is to be guaranteed, not to exceed \$1,829,000,000.

In addition, fees authorized to be collected pursuant to sections 5029 and 5030 of the Water Infrastructure Finance and Innovation Act of 2014 shall be deposited in this account to remain available until expended.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, notwithstanding section 5033 of the Water Infrastructure Finance and Innovation Act of 2014, \$5,000,000, to remain available until September 30, 2018.

**Program and Financing** (in millions of dollars)

Identification code 068-0254-0-1-301	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....			15
0709 Administrative expenses .....			5
0900 Total new obligations .....			20
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			20
1930 Total budgetary resources available .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			20
3020 Outlays (gross) .....			-7
3050 Unpaid obligations, end of year .....			13
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20
Outlays, gross:			
4010 Outlays from new discretionary authority .....			7
4180 Budget authority, net (total) .....			20
4190 Outlays, net (total) .....			7

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 068-0254-0-1-301	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Water Infrastructure Direct Loans .....			980
Direct loan subsidy (in percent):			
132002 Water Infrastructure Direct Loans .....			1.53
132999 Weighted average subsidy rate .....	0.00	0.00	1.53
Direct loan subsidy budget authority:			
133002 Water Infrastructure Direct Loans .....			15
133999 Total subsidy budget authority .....			15
Direct loan subsidy outlays:			
134002 Water Infrastructure Direct Loans .....			2
134999 Total subsidy outlays .....			2
Administrative expense data:			
3510 Budget authority .....			5
3590 Outlays from new authority .....			5

This appropriation supports all activities necessary for the implementation of the Water Infrastructure Finance and Innovation program established by the Water Resources Reform and Development Act of 2014, Title V, Subtitle C. The program will provide low-interest Federal loans or loan guarantees to eligible entities for a wide range of nationally and regionally significant water and wastewater projects. Eligible assistance recipients include corporations, partnerships, municipal entities, and State Revolving Fund programs, among others. Eligible projects include: Clean and Drinking Water State Revolving Fund eligible projects; Projects for enhanced energy efficiency at drinking water and wastewater facilities; Brackish or seawater desalination, aquifer recharge, water recycling; Acquisition of property if it is integral to the project or will mitigate the environmental impact of a project; Bundled SRF projects under one application; and a combination of projects secured by a common security pledge. Of the total \$20 million request to implement the WIFIA program, \$5 million is for the Office of Water's management and operation of the program, including contract support and associated payroll. The WIFIA program will be administered by the Office of Water.

WATER INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM ACCOUNT—Continued

Object Classification (in millions of dollars)

Identification code 068-0254-0-1-301	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
25.1 Advisory and assistance services .....			4
41.0 Grants, subsidies, and contributions .....			15
99.9 Total new obligations .....			20

Employment Summary

Identification code 068-0254-0-1-301	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			12

PAYMENT TO THE HAZARDOUS SUBSTANCE SUPERFUND

Program and Financing (in millions of dollars)

Identification code 068-0250-0-1-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the hazardous substance superfund .....	981	812	989
0900 Total new obligations (object class 94.0) .....	981	812	989
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	981	812	989
1930 Total budgetary resources available .....	981	812	989
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	981	812	989
3020 Outlays (gross) .....	-981	-812	-989
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	981	812	989
Outlays, gross:			
4010 Outlays from new discretionary authority .....	981	812	989
4180 Budget authority, net (total) .....	981	812	989
4190 Outlays, net (total) .....	981	812	989

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, authorizes appropriations from the general fund to finance activities conducted through the Hazardous Substance Superfund. The Administration proposes to continue the payment from the general fund up to the appropriated amount in 2017 less sums available in the Trust Fund on October 1, 2016.

ENVIRONMENTAL SERVICES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-5295-0-2-304	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	370	398	431
Receipts:			
Current law:			
1120 Environmental Services .....	28	33	33
2000 Total: Balances and receipts .....	398	431	464
5099 Balance, end of year .....	398	431	464

The Environmental Services special fund was established for the deposit of fee receipts associated with environmental programs that may, by statute, be deposited into the fund.

PESTICIDE REGISTRATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-5374-0-2-304	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1130 Registration Service Fees, Pesticide Registration Fund .....	16	15	15
2000 Total: Balances and receipts .....	17	16	16
Appropriations:			
Current law:			
2101 Pesticide Registration Fund .....	-16	-15	-15
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 068-5374-0-2-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Healthy Communities and Ecosystems .....	15	15	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	14	14
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	16	15	15
1930 Total budgetary resources available .....	29	29	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 Obligations incurred, unexpired accounts .....	15	15	15
3020 Outlays (gross) .....	-14	-15	-16
3050 Unpaid obligations, end of year .....	5	5	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	8	8
4011 Outlays from discretionary balances .....	3	7	8
4020 Outlays, gross (total) .....	14	15	16
4180 Budget authority, net (total) .....	16	15	15
4190 Outlays, net (total) .....	14	15	16

Fees deposited in this account are paid by industry for expedited processing of certain registration applications and the associated establishment of tolerances for pesticides to be used in or on food and animal feed. These Pesticide Registration Service fees are authorized by Section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

Object Classification (in millions of dollars)

Identification code 068-5374-0-2-304	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	7	7	7
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	8	8	8
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	15	15	15

**Employment Summary**

Identification code 068-5374-0-2-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	70	70	70

**REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 068-4310-0-3-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Ensuring the Safety of Chemicals and Preventing Pollution .....	22	28	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	18	18
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2013			
Sequester .....	-1		
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2014			
Pop-up .....	1		
1050 Unobligated balance (total) .....	12	18	18
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	28	28	28
1802 Offsetting collections (previously unavailable) .....	2	2	
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2	-2	
1850 Spending auth from offsetting collections, mand (total) .....	28	28	28
1900 Budget authority (total) .....	28	28	28
1930 Total budgetary resources available .....	40	46	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	18	18

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 Obligations incurred, unexpired accounts .....	22	28	28
3020 Outlays (gross) .....	-22	-27	-28
3050 Unpaid obligations, end of year .....	3	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	4

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	28	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority .....	19	22	22
4101 Outlays from mandatory balances .....	3	5	6
4110 Outlays, gross (total) .....	22	27	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-28	-28	-28
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-6	-1	

**Memorandum (non-add) entries:**

5096 Unexpired unavailable balance, SOY: Appropriations .....	2	2	2
5098 Unexpired unavailable balance, EOY: Appropriations .....	2	2	2

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Outlays .....	-6	-1	
Legislative proposal, subject to PAYGO:			
Outlays .....			6
Total:	-6	-1	6

Pesticide Maintenance fees are paid by industry to partially fund the costs of pesticide reregistration, registration review, and reassessment of tolerances for pesticides used in or on food and animal feed as required by law. This fee is authorized in Section 4 of the Federal Insecticide, Fungicide,

and Rodenticide Act of 1972, as amended by Public Law 112-177. Authorization to collect the fee will expire on September 30, 2017.

**Object Classification** (in millions of dollars)

Identification code 068-4310-0-3-304	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	9	14	14
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	10	15	15
12.1 Civilian personnel benefits .....	3	4	4
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	22	28	28

**Employment Summary**

Identification code 068-4310-0-3-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	97	145	145

**REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 068-4310-4-3-304	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			-6
3050 Unpaid obligations, end of year .....			-6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....			6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			6

**Fee Spending Restrictions.**—Current statutory language under the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) places a cap on the amount of fees that can be spent within the context of a single fiscal year. The budget proposes to remove this prohibition and allow EPA the flexibility to more effectively use fee resources.

**TSCA CONFIDENTIAL BUSINESS INFORMATION FUND**

**Confidential Business Information Management Fee.**—EPA receives filings under the Toxic Substances Control Act (TSCA) that may contain information claimed as confidential. The Budget proposes to expand EPA's existing authority to collect fees to recover a portion of the costs of reviewing and maintaining this information.

**HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND**

For necessary expenses to carry out section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g), including the development, operation, maintenance, and upgrading of the hazardous waste electronic manifest system established by such section, **[\$3,674,000]** \$7,433,000, to remain available until September 30, **[2018]** 2019. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND—Continued

Program and Financing (in millions of dollars)

Identification code 068-4330-0-3-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0013 Land Preservation and Restoration .....	1	3	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	7
1930 Total budgetary resources available .....	5	8	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	1	3	7
3020 Outlays (gross) .....	-2	-3	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	2
4011 Outlays from discretionary balances .....	1	2	5
4020 Outlays, gross (total) .....	2	3	7
4180 Budget authority, net (total) .....	4	4	7
4190 Outlays, net (total) .....	2	3	7

This appropriation supports all activities necessary for the development of the system established by the Hazardous Waste Electronic Manifest Establishment Act (Public Law 112-195).

Object Classification (in millions of dollars)

Identification code 068-4330-0-3-304	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....		2	6
99.9 Total new obligations .....	1	3	7

Employment Summary

Identification code 068-4330-0-3-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	7	8	8

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 068-4365-0-3-306	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	4
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3		
1900 Budget authority (total) .....	3		
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3		
4180 Budget authority, net (total) .....			

4190 Outlays, net (total) .....	-3		
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These funds pay for EPA's assessment and restoration activities resulting from the Deepwater Horizon Oil Spill in conjunction with injury to, destruction of, loss of, or loss of the use of natural resources, including their supporting ecosystems. EPA was designated as a trustee for Natural Resource Damage Assessment (NRDA) under Executive Order 13626, and this fund was established under the authority of Section 1006(f) (33 U.S.C. 2706(f)) of the Oil Pollution Act of 1990.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 068-4565-0-4-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 ETSD Operations .....	179	180	180
0802 Postage .....	1	1	1
0803 Compass .....	13	14	14
0804 eRelocation .....	23	25	25
0805 COOP .....	2	2	2
0806 Background Investigations .....	4	12	12
0807 People Plus .....	3	3	3
0808 Conference .....	1		
0900 Total new obligations .....	226	237	237
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	80	71	74
1021 Recoveries of prior year unpaid obligations .....	14	10	10
1050 Unobligated balance (total) .....	94	81	84
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	199	215	215
1701 Change in uncollected payments, Federal sources .....	4	15	15
1750 Spending auth from offsetting collections, disc (total) .....	203	230	230
1930 Total budgetary resources available .....	297	311	314
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	71	74	77
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	117	119	132
3010 Obligations incurred, unexpired accounts .....	226	237	237
3020 Outlays (gross) .....	-210	-214	-245
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	-10	-10
3050 Unpaid obligations, end of year .....	119	132	114
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-114	-118	-133
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	-15	-15
3090 Uncollected pymts, Fed sources, end of year .....	-118	-133	-148
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	1	-1
3200 Obligated balance, end of year .....	1	-1	-34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	203	230	230
Outlays, gross:			
4010 Outlays from new discretionary authority .....	146	161	161
4011 Outlays from discretionary balances .....	64	53	84
4020 Outlays, gross (total) .....	210	214	245
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-199	-215	-215
4040 Offsets against gross budget authority and outlays (total) ....	-199	-215	-215
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4	-15	-15
4080 Outlays, net (discretionary) .....	11	-1	30
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	11	-1	30

EPA received authority to establish a Working Capital Fund (WCF) and was designated a pilot franchise fund under Public Law 103-356, the Government Management and Reform Act of 1994. EPA received perman-

ent authority for the WCF in Public Law 105–65, as part of an effort to increase competition for governmental administrative services. EPA's WCF became operational in 1997 and funds ten main activities: information technology and telecommunications operations and data services, managed by the Office of Environmental Information; Agency postage costs, Cincinnati voice services, certain minor facilities alterations costing less than \$150,000 per project, and background investigations, managed by the Office of Administration and Resource Management; the core financial and administrative systems, employee relocations, and budget formulation system managed by the Office of the Chief Financial Officer; and the Agency's continuity of operations site, managed by the Office of Land and Emergency Management. The 2017 amount reflects only base resources and may change during the year in accordance with programmatic needs.

**Object Classification** (in millions of dollars)

Identification code 068–4565–0–4–304	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	20	20
12.1 Civilian personnel benefits .....	16	17	17
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	11	11	11
25.2 Other services from non-Federal sources .....	28	35	35
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	144	144	144
31.0 Equipment .....	6	6	6
99.9 Total new obligations .....	226	237	237

**Employment Summary**

Identification code 068–4565–0–4–304	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	152	181	183

21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS, EPA

The existing fleet of cars, trucks, and buses is aging, contributing to climate change and putting our children's health at risk. To protect the health of the most vulnerable populations and reduce childhood exposure to harmful exhaust, the proposed 21st Century Clean Transportation Plan Investments will accelerate the transition to cleaner vehicle fleets. The Fund provides up to \$300 million in FY 2017 to renew and increase funding for the Diesel Emissions Reduction Grant Program, which is set to expire in 2016. Priority will be given to accelerating Zero Emissions Bus fleets and charging networks that also support the grid through V2G technology.

21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS, EPA

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 068–5669–4–2–304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Cleaner Public Vehicle Fleet .....			225
0900 Total new obligations (object class 41.0) .....			225
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			300
1930 Total budgetary resources available .....			300
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			75
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			225
3020 Outlays (gross) .....			-69
3050 Unpaid obligations, end of year .....			156

<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			156
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			300
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			69
4180 Budget authority, net (total) .....			300
4190 Outlays, net (total) .....			69

**Trust Funds**

**HAZARDOUS SUBSTANCE SUPERFUND**

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611) **[\$1,088,769,000]** \$1,128,989,000, to remain available until expended, consisting of such sums as are available in the Trust Fund on September 30, **[2015]** 2016, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA) and up to **[\$1,088,769,000]** \$1,128,989,000 as a payment from general revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA: *Provided*, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: *Provided further*, That of the funds appropriated under this heading, **[\$9,939,000]** \$8,778,000 shall be paid to the "Office of Inspector General" appropriation to remain available until September 30, **[2017]** 2018, and **[\$18,850,000]** \$15,496,000 shall be paid to the "Science and Technology" appropriation to remain available until September 30, **[2017]** 2018. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 068–8145–0–7–304	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	107	283	138
0198 2015 mandatory sequester adjustment .....		-1	
0198 Reconciliation adjustment .....	1		
0199 Balance, start of year .....	108	282	138
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund .....	1	2	2
1130 Recoveries, Hazardous Substance Superfund .....	274	98	98
1130 Future Clean Up Cost Settlements, Hazardous Substance Superfund Trust Fund .....	1,408	200	225
1140 Interest and Profits on Investments, Hazardous Substance Superfund .....	17	41	64
1140 Interest and Profits on Investments, Hazardous Substance Superfund .....	8	36	57
1140 Interfund Transactions, Hazardous Substance Superfund .....	981	812	989
1199 Total current law receipts .....	2,689	1,189	1,435
<b>Proposed:</b>			
1210 Excise Taxes, Hazardous Substance Superfund .....			902
1210 Corporation Income Taxes, Hazardous Substance Superfund .....			920
1299 Total proposed receipts .....			1,822
1999 Total receipts .....	2,689	1,189	3,257
2000 Total: Balances and receipts .....	2,797	1,471	3,395
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Hazardous Substance Superfund .....	-1,060	-1,060	-1,105
2101 Hazardous Substance Superfund .....	-10	-10	-9
2101 Hazardous Substance Superfund .....	-19	-19	-15
2101 Hazardous Substance Superfund .....		-5	
2101 Hazardous Substance Superfund .....	-1,408	-200	-225
2101 Hazardous Substance Superfund .....	-17	-41	-64
2103 Hazardous Substance Superfund .....	-1	-1	-3
2132 Hazardous Substance Superfund .....	2	3	
2199 Total current law appropriations .....	-2,513	-1,333	-1,421
<b>Proposed:</b>			
2298 Rounding adjustment .....	-1		
2999 Total appropriations .....	-2,514	-1,333	-1,421

HAZARDOUS SUBSTANCE SUPERFUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 068-8145-0-7-304	2015 actual	2016 est.	2017 est.
5099 Balance, end of year .....	283	138	1,974

Program and Financing (in millions of dollars)

Identification code 068-8145-0-7-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0011 Clean Air and Global Climate Change .....	3	3	3
0013 Land Preservation and Restoration .....	993	997	1,010
0015 Compliance and Environmental Stewardship .....	221	222	225
0100 Subtotal direct program .....	1,217	1,222	1,238
0799 Total direct obligations .....	1,217	1,222	1,238
0801 Hazardous Substance Superfund (Reimbursable) .....	361	425	439
0900 Total new obligations .....	1,578	1,647	1,677

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,086	3,545	3,498
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	119	110	
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2013			
Mand Seq .....	-1		
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2014			
Mandatory Pop Up .....	1		
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2014			
Mandatory Sequester .....	-1		
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2013			
Discretionary Pop Up .....	1		
1020 Adjustment of unobligated bal brought forward, Oct 1 - 2015			
Mandatory Sequester Adjustment .....		1	
1021 Recoveries of prior year unpaid obligations .....	93	200	200
1029 Other balances withdrawn (Miscellaneous Receipts Act) .....	-1		
1050 Unobligated balance (total) .....	2,178	3,746	3,698
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	1,060	1,060	1,105
1101 Appropriation (special or trust fund) IG Transfer .....	10	10	9
1101 Appropriation (special or trust fund) S&T Transfer .....	19	19	15
1101 Appropriation (special or trust fund) .....		5	
1160 Appropriation, discretionary (total) .....	1,089	1,094	1,129
<b>Appropriations, mandatory:</b>			
1201 Appropriation [Special Account Collections] .....	1,408	200	225
1201 Appropriation [Special Account Interest] .....	17	41	64
1203 Appropriation (previously unavailable) .....	1	1	3
1232 Appropriations temporarily reduced - Sequester .....	-2	-3	
1260 Appropriations, mandatory (total) .....	1,424	239	292
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	37	66	66
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	397		
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	395		
1900 Budget authority (total) .....	2,945	1,399	1,487
1930 Total budgetary resources available .....	5,123	5,145	5,185
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3,545	3,498	3,508
<b>Special and non-revolving trust funds:</b>			
1952 Expired unobligated balance, start of year .....	9	9	9
1953 Expired unobligated balance, end of year .....	9	9	9
1955 Unobligated balances withdrawn and returned to general fund .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,272	1,404	1,477
3010 Obligations incurred, unexpired accounts .....	1,578	1,647	1,677
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-1,353	-1,374	-1,522
3040 Recoveries of prior year unpaid obligations, unexpired .....	-93	-200	-200
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1,404	1,477	1,432
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8

<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	1,262	1,396	1,469
3200	Obligated balance, end of year .....	1,396	1,469	1,424

Budget authority and outlays, net:

<b>Discretionary:</b>				
4000	Budget authority, gross .....	1,126	1,160	1,195
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	553	537	555
4011	Outlays from discretionary balances .....	550	602	619
4020	Outlays, gross (total) .....	1,103	1,139	1,174
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....		-16	-16
4033	Non-Federal sources .....	-37	-50	-50
4040	Offsets against gross budget authority and outlays (total) ....	-37	-66	-66
4070	Budget authority, net (discretionary) .....	1,089	1,094	1,129
4080	Outlays, net (discretionary) .....	1,066	1,073	1,108
<b>Mandatory:</b>				
4090	Budget authority, gross .....	1,819	239	292
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	91	103	113
4101	Outlays from mandatory balances .....	159	132	235
4110	Outlays, gross (total) .....	250	235	348
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-18		
4123	Non-Federal sources .....	-379		
4130	Offsets against gross budget authority and outlays (total) ....	-397		
<b>Additional offsets against gross budget authority only:</b>				
4140	Change in uncollected pymts, Fed sources, unexpired .....	2		
4160	Budget authority, net (mandatory) .....	1,424	239	292
4170	Outlays, net (mandatory) .....	-147	235	348
4180	Budget authority, net (total) .....	2,513	1,333	1,421
4190	Outlays, net (total) .....	919	1,308	1,456

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value .....	3,446	5,206	5,226
5001	Total investments, EOY: Federal securities: Par value .....	5,206	5,226	5,445

This appropriation provides funds for the implementation of the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (CERCLA) including activities under the Working Capital Fund. This appropriation supports core EPA programs in four of EPA's five goals. Specifically in 2017, emphasis will be placed on the following: *Cleaning Up Communities and Advancing Sustainable Development.*—To preserve and restore land and to protect human health and the environment, EPA will reduce the risks posed by releases of harmful substances and protect against exposure to those substances by cleaning up and restoring contaminated sites to beneficial use. EPA will apply the most effective and scientifically sound methods to control the risks associated with the presence of harmful substances, improve response capabilities, and maximize the effectiveness of response and clean-up actions. EPA's clean-up and response activity at contaminated sites will address environmental concerns, such as the removal of contaminated soil and treatment of contaminated groundwater, in order to reduce human exposures to hazardous pollutants and provide long-term human health protection. EPA will ensure that all releases of harmful substances to the environment are appropriately addressed by responding to incidents and providing technical support. To effectively prepare for and respond to incidents of national significance, EPA will improve decontamination readiness and continue to support a nationwide environmental laboratory network. EPA will continue to provide EPA's remedial project managers and site managers in the EPA's regional offices, as well as community decision-makers with research that improves their ability to weigh alternatives, and make decisions on cleaning up contaminated sites. It will conduct research to improve methods and models and provide technical support to accelerate scientifically defensible and cost-effective decisions for clean-up at complex contaminated sites in accordance with CERCLA. EPA will also work to maximize responsible parties' participation in site clean-ups and pursue greater recovery of EPA's clean-up costs.

**Enforcing Environmental Laws.**—EPA's Superfund Enforcement program protects communities by ensuring that responsible parties pay for and/or conduct clean-ups. The program uses an enforcement first approach that maximizes the participation of liable and viable parties in performing and paying for clean-ups in both the remedial and removal programs. To further carry out the responsibilities of CERCLA, EPA will allocate funds from its appropriation to Federal agency partners including to the Department of Justice (DOJ). DOJ supports EPA's Superfund Enforcement program through negotiations and judicial actions to compel Potentially Responsible Parties to clean up and through litigation to recover Trust Fund monies. EPA will investigate and refer for prosecution criminal and civil violations of CERCLA.

**Enabling and Support Programs.**—EPA's Enabling Support Programs (ESPs) provide centralized management services and support to the Agency's various environmental programs. The offices and the functions they perform within the Superfund appropriation are: the Offices of Administration and Resources Management (facilities infrastructure and operations, acquisition management, human resources management services, grant and interagency agreement management, and suspension and debarment); Environmental Information (exchange network, information security, and information technology/data management); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, and financial management, analysis, and accountability); and General Counsel (alternative dispute resolution and legal advice). Because these centralized services provide support across EPA, resources for the ESPs are allocated across EPA's appropriations, goals, and objectives.

**Status of Funds** (in millions of dollars)

Identification code 068-8145-0-7-304	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year	3,467	5,237	5,118
0999 Total balance, start of year	3,467	5,237	5,118
Cash income during the year:			
Current law:			
Receipts:			
1110 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund	1	2	2
1130 Hazardous Substance Superfund	37	50	50
1130 Hazardous Substance Superfund	379		
1130 Recoveries, Hazardous Substance Superfund	274	98	98
1130 Future Clean Up Cost Settlements, Hazardous Substance Superfund Trust Fund	1,408	200	225
1150 Interest and Profits on Investments, Hazardous Substance Superfund	17	41	64
1150 Interest and Profits on Investments, Hazardous Substance Superfund	8	36	57
1160 Hazardous Substance Superfund		16	16
1160 Hazardous Substance Superfund	18		
1160 Interfund Transactions, Hazardous Substance Superfund	981	812	989
1199 Income under present law	3,123	1,255	1,501
Proposed:			
1210 Excise Taxes, Hazardous Substance Superfund			902
1210 Corporation Income Taxes, Hazardous Substance Superfund			920
1299 Income proposed			1,822
1999 Total cash income	3,123	1,255	3,323
Cash outgo during year:			
Current law:			
2100 Hazardous Substance Superfund [020-00-8145-0]	-1,353	-1,374	-1,522
2199 Outgo under current law	-1,353	-1,374	-1,522
2999 Total cash outgo (-)	-1,353	-1,374	-1,522
Surplus or deficit:			
3110 Excluding interest	1,745	-196	1,680
3120 Interest	25	77	121
3199 Subtotal, surplus or deficit	1,770	-119	1,801
3240 Hazardous Substance Superfund	-1		
3298 Rounding adjustment	1		
3299 Total adjustments			
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	31	-108	1,474

4200 Hazardous Substance Superfund	5,206	5,226	5,445
4999 Total balance, end of year	5,237	5,118	6,919

**Object Classification** (in millions of dollars)

Identification code 068-8145-0-7-304	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	265	266	270
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	7	7	7
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	279	280	284
12.1 Civilian personnel benefits	87	87	89
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	9	9	9
23.1 Rental payments to GSA	44	44	45
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	210	211	214
25.2 Other services from non-Federal sources	334	336	338
25.3 Other goods and services	148	150	151
25.4 Operation and maintenance of facilities	5	5	5
25.7 Operation and maintenance of equipment	12	12	12
26.0 Supplies and materials	4	4	4
31.0 Equipment	10	10	10
41.0 Grants, subsidies, and contributions	68	68	69
42.0 Insurance claims and indemnities	4	1	3
99.0 Direct obligations	1,219	1,222	1,238
99.0 Reimbursable obligations	359	425	439
99.9 Total new obligations	1,578	1,647	1,677

**Employment Summary**

Identification code 068-8145-0-7-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	2,439	2,514	2,514
1101 Direct military average strength employment	9	9	9
2001 Reimbursable civilian full-time equivalent employment	109	109	109

**LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM**

For necessary expenses to carry out leaking underground storage tank cleanup activities authorized by subtitle I of the Solid Waste Disposal Act, **[\$91,941,000]** \$94,285,000, to remain available until expended, of which **[\$66,572,000]** \$66,426,000 shall be for carrying out leaking underground storage tank cleanup activities authorized by section 9003(h) of the Solid Waste Disposal Act; **[\$25,369,000]** \$27,859,000 shall be for carrying out the other provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code: *Provided*, That the Administrator is authorized to use appropriations made available under this heading to implement section 9013 of the Solid Waste Disposal Act to provide financial assistance to federally recognized Indian tribes for the development and implementation of programs to manage underground storage tanks. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 068-8153-0-7-999	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	355	450	478
0198 Rounding adjustment	2		
0199 Balance, start of year	357	450	478
Receipts:			
Current law:			
1110 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund	179	212	211
1140 Earnings on Investments, Leaking Underground Storage Tank Trust Fund	7	8	10
1199 Total current law receipts	186	220	221
1999 Total receipts	186	220	221
2000 Total: Balances and receipts	543	670	699
Appropriations:			
Current law:			
2101 Leaking Underground Storage Tank Trust Fund	-92	-92	-94

LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM—Continued  
Special and Trust Fund Receipts—Continued

Identification code 068-8153-0-7-999	2015 actual	2016 est.	2017 est.
2101 Leaking Underground Storage Tank Trust Fund .....		-100	-100
2199 Total current law appropriations .....	-92	-192	-194
2999 Total appropriations .....	-92	-192	-194
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....	450	478	505

Program and Financing (in millions of dollars)

Identification code 068-8153-0-7-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0013 Land Preservation and Restoration .....	92	193	193
0015 Compliance and Environmental Stewardship .....	1	1	1
0900 Total new obligations .....	93	194	194

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	5
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		4	
1021 Recoveries of prior year unpaid obligations .....	2	3	3
1050 Unobligated balance (total) .....	5	7	8
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	92	92	94
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		100	100
1900 Budget authority (total) .....	92	192	194
1930 Total budgetary resources available .....	97	199	202
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	5	8

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	103	94	87
3010 Obligations incurred, unexpired accounts .....	93	194	194
3020 Outlays (gross) .....	-100	-198	-198
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	94	87	80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	103	94	87
3200 Obligated balance, end of year .....	94	87	80

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	92	92	94
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	29	30
4011 Outlays from discretionary balances .....	83	69	68
4020 Outlays, gross (total) .....	100	98	98
Mandatory:			
4090 Budget authority, gross .....		100	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....		100	100
4180 Budget authority, net (total) .....	92	192	194
4190 Outlays, net (total) .....	100	198	198

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	440	525	478
5001 Total investments, EOY: Federal securities: Par value .....	525	478	505

The Leaking Underground Storage Tank (LUST) Trust Fund, authorized by the Superfund Amendments and Reauthorization Act of 1986, as amended by the Omnibus Budget Reconciliation Act of 1990, the Taxpayer Relief Act of 1997, and the Energy Policy Act (EPA) of 2005, the Moving Ahead for Progress in the 21st Century Act (MAP-21, Public Law 112-141), and the Fixing America's Surface Transportation Act (FAST Act, Public Law 114-94) provides funds for preventing and responding to releases from underground storage tanks, including activities under the Working Capital Fund. The Trust Fund is financed by a 0.1 cent per gallon tax on motor fuels through September 30, 2022.

LUST funds are allocated to States through cooperative agreements to clean up sites posing the greatest threat to human health and the environment as authorized under Section 9003(h) of the Solid Waste Disposal Act of 1965, as amended, and also to implement the activities authorized by Title XV, Subtitle B of EPA. Funds are also used for grants to non-State entities under Section 8001 of the Resource Conservation and Recovery Act of 1976, as amended. Federally recognized Tribes receive grant funding under P.L. 105-276. EPA supports oversight, clean-up, and enforcement programs which are implemented by the States. LUST Trust Fund dollars can be used for State-led clean-ups and for State oversight of responsible party clean-ups. In addition, EPA expanded the authorized activities for the underground storage tank program. This appropriation supports core Agency programs and two of EPA's five goals. Specifically in 2017, emphasis will be placed on the following:

*Cleaning Up Communities and Advancing Sustainable Development.*—The LUST program promotes rapid and effective responses to releases from Federally regulated underground storage tanks containing petroleum by enhancing State, local, and Tribal enforcement and response capability. EPA's LUST program priorities include: increasing the efficiency of LUST clean-ups; addressing contaminants of concern; and promoting the continued use, reuse, and long-term management of LUST sites. EPA will help States and Tribes improve LUST clean-up performance by performing analyses and developing strategies to reduce the backlog of open releases, continuing to develop and promote the use of innovative tools such as multi-site and geographical clean-up approaches, providing guidance and technical support regarding clean-up approaches and technologies, and streamlining clean-up decisions and processes. This research will help communities characterize and remediate contaminated sites at an accelerated pace and lower cost while reducing human health and ecological impacts. EPA will also continue efforts to monitor the soundness of State clean-up funds. EPA's release prevention program priorities will assist States and Tribes to ensure continued compliance with the three year inspection requirement, implement the various release prevention provisions of EPA such as operator training and delivery prohibition, enforce violations discovered during the inspections, and continue building tribal implementation capacity.

*Enforcing Environmental Laws.*—To protect the Nation's groundwater and drinking water from petroleum releases from Underground Storage Tanks (UST), this program provides compliance assistance tools, technical assistance and training to promote and enforce UST systems compliance and clean-ups.

*Enabling and Support Programs.*—Enabling and Support Programs provide the infrastructure of people, facilities, and systems necessary to operate the programs funded by the LUST appropriation. The offices and the functions they perform are: Administration and Resources Management (facilities infrastructure and operations, and acquisition management); and the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability).

Status of Funds (in millions of dollars)

Identification code 068-8153-0-7-999	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	464	550	572
0999 Total balance, start of year .....	464	550	572
Cash income during the year:			
Current law:			
Receipts:			
1110 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund .....	179	212	211
1150 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....	7	8	10
1199 Income under present law .....	186	220	221
1999 Total cash income .....	186	220	221

Cash outgo during year:			
Current law:			
2100	Leaking Underground Storage Tank Trust Fund [020-00-8153-0]	-100	-198
2199	Outgo under current law	-100	-198
2999	Total cash outgo (-)	-100	-198
Surplus or deficit:			
3110	Excluding interest	79	14
3120	Interest	7	8
3199	Subtotal, surplus or deficit	86	22
Unexpended balance, end of year:			
4100	Uninvested balance (net), end of year	25	94
4200	Leaking Underground Storage Tank Trust Fund	525	478
4999	Total balance, end of year	550	572

**Object Classification** (in millions of dollars)

Identification code 068-8153-0-7-999	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	5
12.1	Civilian personnel benefits	2	2
23.1	Rental payments to GSA	1	1
25.2	Other services from non-Federal sources	1	1
25.3	Other goods and services from Federal sources	4	4
41.0	Grants, subsidies, and contributions	80	81
94.0	Financial transfers	100	100
99.9	Total new obligations	93	194

**Employment Summary**

Identification code 068-8153-0-7-999	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment	50	54

**INLAND OIL SPILL PROGRAMS**

For expenses necessary to carry out the Environmental Protection Agency's responsibilities under the Oil Pollution Act of 1990, **[\$18,209,000]** \$25,410,000, to be derived from the Oil Spill Liability trust fund, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 068-8221-0-7-304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0013	Land preservation and restoration	16	15
0015	Compliance and Environmental Leadership	3	3
0100	Direct Program	19	18
0799	Total direct obligations	19	18
0801	Inland Oil Spill Programs (Reimbursable)	20	20
0900	Total new obligations	39	38
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	34	15
1021	Recoveries of prior year unpaid obligations	6	2
1050	Unobligated balance (total)	40	17
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund)	18	18
Spending authority from offsetting collections, discretionary:			
1700	Collected	22	20
1701	Change in uncollected payments, Federal sources	-26	
1750	Spending auth from offsetting collections, disc (total)	-4	20
1900	Budget authority (total)	14	38
1930	Total budgetary resources available	54	55
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	15	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	21	17

3010	Obligations incurred, unexpired accounts	39	38	44
3020	Outlays (gross)	-37	-38	-41
3040	Recoveries of prior year unpaid obligations, unexpired	-6	-2	-2
3050	Unpaid obligations, end of year	17	15	16
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-51	-25	-25
3070	Change in uncollected pymts, Fed sources, unexpired	26		
3090	Uncollected pymts, Fed sources, end of year	-25	-25	-25
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-30	-8	-10
3200	Obligated balance, end of year	-8	-10	-9
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	14	38	45
Outlays, gross:				
4010	Outlays from new discretionary authority	13	28	29
4011	Outlays from discretionary balances	24	10	12
4020	Outlays, gross (total)	37	38	41
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-22	-20	-20
4040	Offsets against gross budget authority and outlays (total)	-22	-20	-20
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	26		
4070	Budget authority, net (discretionary)	18	18	25
4080	Outlays, net (discretionary)	15	18	21
4180	Budget authority, net (total)	18	18	25
4190	Outlays, net (total)	15	18	21

This appropriation provides for EPA's responsibilities for prevention, preparedness, response, and enforcement activities authorized under the Federal Water Pollution Control Act, as amended by the Oil Pollution Act of 1990 (OPA), including activities under the Working Capital Fund. This appropriation supports core Agency programs and two of the Agency's five goals. Specifically in 2016, emphasis will be placed on the following:

*Cleaning Up Communities and Advancing Sustainable Development.*—The Oil Spill program protects U.S. waters by preventing, preparing for, responding to, and monitoring oil spills. Under the regulatory framework established by the Spill Prevention, Control and Countermeasure (SPCC) and Federal Response Plan (FRP) regulations, EPA conducts oil spill prevention, preparedness, inspection, and enforcement activities associated with more than 600,000 non-transportation-related oil storage facilities. The National Oil and Hazardous Substances Pollution Contingency Plan (NCP) then establishes EPA's jurisdiction over inland oil spills and sets forth the framework for response. EPA accesses the Oil Spill Liability Trust Fund, administered by the U.S. Coast Guard, to obtain reimbursement for site-specific spill response activities. More than 30,000 oil and hazardous substance releases occur in the United States every year, with a significant portion of these spills occurring in the inland zone over which EPA has jurisdiction.

EPA develops and manages the regulations and protocols under Subpart J of the NCP which require manufacturers of various oil spill response products to test their products prior to listing on a Product Schedule. The Product Schedule identifies those oil spill remediation agents, such as dispersants and surface washing agents, which could be authorized for use by an On-Scene Coordinator (OSC) on an oil spill. Product testing ensures their effectiveness and provides toxicity information used by OSCs and Regional Response Teams in making informed decisions regarding the use of certain products in response to specific spills. EPA will be focusing its oil spill research efforts on ecological effects and assessment of shoreline and coastal impacts from oil spills and use of dispersants and other chemical agents, human health impacts, and spill remediation alternatives and innovative technology development and evaluation, including green technologies. Spill response is a priority for the Agency, and EPA has been instrumental in providing guidance for various response technologies. A key factor in providing guidance on spill response technologies is developing a firm understanding of the science behind spill behavior in the environment. The EPA will conduct research on the performance and behavior

INLAND OIL SPILL PROGRAMS—Continued

of dispersants that will help inform their potential use in challenging environments such as deep water and arctic spills.

**Enforcing Environmental Laws.**—The Inland Oil Spill Programs appropriation portion of the Civil Enforcement program is designed to prevent oil spills using civil enforcement and compliance assistance approaches, as well as to prepare for and respond to any oil spills affecting the inland waters of the United States. Pursuant to Clean Water Act Section 311 (Oil Spill and Hazardous Substances Liability) requirements, EPA's Civil Enforcement program develops policies; issues administrative clean-up and removal orders and orders protecting public health; pursues administrative remedies and/or refers civil judicial actions to the Department of Justice; assesses civil penalties for spills into the environment or violations of administrative orders or oil pollution prevention regulations; assists regulated entities in understanding their legal requirements under the Clean Water Act; and assists in the recovery of clean-up costs expended by the government.

**Enabling and Support Programs.**—Enabling and Support Programs provide the infrastructure of people, facilities and systems necessary to operate the programs funded by the Inland Oil Spill Programs appropriation. The office and function performed is Administration and Resources Management (facilities infrastructure and operations).

Object Classification (in millions of dollars)

Identification code 068-8221-0-7-304	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	9	9	10
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	10	10	11
12.1 Civilian personnel benefits .....	3	3	4
25.1 Advisory and assistance services .....	1	1	2
25.2 Other services from non-Federal sources .....	2	1	4
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	19	18	24
99.0 Reimbursable obligations .....	20	20	20
99.9 Total new obligations .....	39	38	44

Employment Summary

Identification code 068-8221-0-7-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	81	98	98
2001 Reimbursable civilian full-time equivalent employment .....	8	8	8

ADMINISTRATIVE PROVISIONS—ENVIRONMENTAL PROTECTION AGENCY

(INCLUDING TRANSFERS [AND RESCISSION] OF FUNDS)

For fiscal year [2016] 2017, notwithstanding 31 U.S.C. 6303(1) and 6305(1), the Administrator of the Environmental Protection Agency, in carrying out the Agency's function to implement directly Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, may award cooperative agreements to federally recognized Indian tribes or Intertribal consortia, if authorized by their member tribes, to assist the Administrator in implementing Federal environmental programs for Indian tribes required or authorized by law, except that no such cooperative agreements may be awarded from funds designated for State financial assistance agreements.

The Administrator of the Environmental Protection Agency is authorized to collect and obligate pesticide registration service fees in accordance with section 33 of the

Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

Notwithstanding section 33(d)(2) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) (7 U.S.C. 136w-8(d)(2)), the Administrator of the Environmental Protection Agency may assess fees under section 33 of FIFRA (7 U.S.C. 136w-8) for fiscal year [2016] 2017.

The Administrator is authorized to [transfer up to \$300,000,000 of] make grants from the funds appropriated for the Great Lakes Restoration Initiative under the heading "Environmental Programs and Management" to [the head of any Federal department or agency, with the concurrence of such head, to carry out activities that would support the Great Lakes Restoration Initiative and Great Lakes Water Quality Agreement programs, projects, or activities; to enter into an interagency agreement with the head of such Federal department or agency to carry out these activities; and to make grants to] governmental entities, nonprofit organizations, and institutions[, and individuals] for planning, research, monitoring, outreach, and implementation in furtherance of [the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement] section 118(c)(7) of the Federal Water Pollution Control Act (33 U.S.C. 1268(c)(7)).

The Science and Technology, Environmental Programs and Management, Office of Inspector General, Hazardous Substance Superfund, and Leaking Underground Storage Tank Trust Fund Program Accounts, are available for the construction, alteration, repair, rehabilitation, and renovation of facilities provided that the cost does not exceed \$150,000 per project.

For fiscal year [2016] 2017, and notwithstanding section 518(f) of the Federal Water Pollution Control Act (33 U.S.C. 1377(f)), the Administrator is authorized to use the amounts appropriated for any fiscal year under section 319 of the Act to make grants to federally recognized Indian tribes pursuant to sections 319(h) and 518(e) of that Act.

The Administrator is authorized to use the amounts appropriated under the heading "Environmental Programs and Management" for fiscal year [2016] 2017 to provide grants to implement the Southeastern New England Watershed Restoration Program.

[In addition to the amounts otherwise made available in this Act for the Environmental Protection Agency, \$27,000,000, to be available until September 30, 2017, to be used solely to meet Federal requirements for cybersecurity implementation, including enhancing response capabilities and upgrading incident management tools: Provided, That such funds shall supplement, not supplant, any other amounts made available to the Environmental Protection Agency for such purpose: Provided further, That solely for the purposes provided herein, such funds may be transferred to and merged with any other appropriation in this Title.]

[Of the unobligated balances available for "State and Tribal Assistance Grants" account, \$40,000,000 are permanently rescinded: Provided, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.] (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Governmental receipts:			
068-089500 Registration, PMN, Other Services:			
Enacted/requested .....	1	2	2
General Fund Governmental receipts .....	1	2	2
Offsetting receipts from the public:			
068-322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts: Enacted/requested .....	8	2	2
068-322900 Cellulosic Biofuel Waiver Credits, Renewal Fuel Program:			
Enacted/requested .....		11	11
General Fund Offsetting receipts from the public .....	8	13	13
Intragovernmental payments:			
068-388500 Undistributed Intragovernmental Payments and			
Receivables from Cancelled Accounts:			
Enacted/requested .....	1		
General Fund Intragovernmental payments .....	1		

# EXECUTIVE OFFICE OF THE PRESIDENT

## THE WHITE HOUSE

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the White House as authorized by law, including not to exceed \$3,850,000 for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105; subsistence expenses as authorized by 3 U.S.C. 105, which shall be expended and accounted for as provided in that section; hire of passenger motor vehicles, and travel (not to exceed \$100,000 to be expended and accounted for as provided by 3 U.S.C. 103); and not to exceed \$19,000 for official reception and representation expenses, to be available for allocation within the Executive Office of the President; and for necessary expenses of the Office of Policy Development, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, **[\$55,000,000]** \$55,214,000. (*Executive Office of the President Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 011-0209-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	55	55	55
0801 The White House (Reimbursable) .....	2	3	3
0900 Total new obligations .....	57	58	58
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	55	55	55
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1900 Budget authority (total) .....	57	58	58
1930 Total budgetary resources available .....	57	58	58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	2
3010 Obligations incurred, unexpired accounts .....	57	58	58
3011 Obligations incurred, expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-58	-61	-58
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	5	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	2
3200 Obligated balance, end of year .....	5	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	57	58	58
Outlays, gross:			
4010 Outlays from new discretionary authority .....	52	55	55
4011 Outlays from discretionary balances .....	6	6	3
4020 Outlays, gross (total) .....	58	61	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-3	-3
4180 Budget authority, net (total) .....	55	55	55
4190 Outlays, net (total) .....	56	58	55

These funds provide the President with staff assistance and provide administrative services for the direct support of the President, to include support for the offices and councils in the White House as directed by the President.

#### Object Classification (in millions of dollars)

Identification code 011-0209-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	37	37	37
12.1 Civilian personnel benefits .....	11	10	11
21.0 Travel and transportation of persons .....	2	2	2
24.0 Printing and reproduction .....	1	.....	.....
25.2 Other services from non-Federal sources .....	3	4	4
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	55	54	55
99.0 Reimbursable obligations .....	2	3	3

99.5	Adjustment for rounding .....		1	
99.9	Total new obligations .....	57	58	58

#### Employment Summary

Identification code 011-0209-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	439	450	450

## EXECUTIVE RESIDENCE AT THE WHITE HOUSE

### Federal Funds

#### OPERATING EXPENSES

For necessary expenses of the Executive Residence at the White House, \$12,723,000, to be expended and accounted for as provided by 3 U.S.C. 105, 109, 110, and 112-114. (*Executive Office of the President Appropriations Act, 2016.*)

For the reimbursable expenses of the Executive Residence at the White House, such sums as may be necessary: *Provided*, That all reimbursable operating expenses of the Executive Residence shall be made in accordance with the provisions of this paragraph: *Provided further*, That, notwithstanding any other provision of law, such amount for reimbursable operating expenses shall be the exclusive authority of the Executive Residence to incur obligations and to receive offsetting collections, for such expenses: *Provided further*, That the Executive Residence shall require each person sponsoring a reimbursable political event to pay in advance an amount equal to the estimated cost of the event, and all such advance payments shall be credited to this account and remain available until expended: *Provided further*, That the Executive Residence shall require the national committee of the political party of the President to maintain on deposit \$25,000, to be separately accounted for and available for expenses relating to reimbursable political events sponsored by such committee during such fiscal year: *Provided further*, That the Executive Residence shall ensure that a written notice of any amount owed for a reimbursable operating expense under this paragraph is submitted to the person owing such amount within 60 days after such expense is incurred, and that such amount is collected within 30 days after the submission of such notice: *Provided further*, That the Executive Residence shall charge interest and assess penalties and other charges on any such amount that is not reimbursed within such 30 days, in accordance with the interest and penalty provisions applicable to an outstanding debt on a United States Government claim under 31 U.S.C. 3717: *Provided further*, That each such amount that is reimbursed, and any accompanying interest and charges, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That the Executive Residence shall prepare and submit to the Committees on Appropriations, by not later than 90 days after the end of the fiscal year covered by this Act, a report setting forth the reimbursable operating expenses of the Executive Residence during the preceding fiscal year, including the total amount of such expenses, the amount of such total that consists of reimbursable official and ceremonial events, the amount of such total that consists of reimbursable political events, and the portion of each such amount that has been reimbursed as of the date of the report: *Provided further*, That the Executive Residence shall maintain a system for the tracking of expenses related to reimbursable events within the Executive Residence that includes a standard for the classification of any such expense as political or nonpolitical: *Provided further*, That no provision of this paragraph may be construed to exempt the Executive Residence from any other applicable requirement of subchapter I or II of chapter 37 of title 31, United States Code. (*Executive Office of the President Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 011-0210-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses (Direct) .....	13	13	13
0831 Operating Expenses (Reimbursable) .....	3	5	5
0900 Total new obligations .....	16	18	18
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	5	5

OPERATING EXPENSES—Continued  
Program and Financing—Continued

Identification code 011-0210-0-1-802	2015 actual	2016 est.	2017 est.
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	5	5
1900 Budget authority (total) .....	17	18	18
1930 Total budgetary resources available .....	17	18	18
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	3
3010 Obligations incurred, unexpired accounts .....	16	18	18
3020 Outlays (gross) .....	-16	-16	-17
3050 Unpaid obligations, end of year .....	1	3	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-2		2
3200 Obligated balance, end of year .....		2	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	18	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	14	14
4011 Outlays from discretionary balances .....	1	2	3
4020 Outlays, gross (total) .....	16	16	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-3	-3
4033 Non-Federal sources .....		-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-4	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	13	13	13
4080 Outlays, net (discretionary) .....	12	11	12
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	12	11	12

These funds provide for the care, maintenance, and operation of the Executive Residence.

Object Classification (in millions of dollars)

Identification code 011-0210-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	13	13	13
99.0 Reimbursable obligations .....	3	5	5
99.9 Total new obligations .....	16	18	18

Employment Summary

Identification code 011-0210-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	86	96	96

WHITE HOUSE REPAIR AND RESTORATION

For the repair, alteration, and improvement of the Executive Residence at the White House pursuant to 3 U.S.C. 105(d), \$750,000, to remain available until expended, for required maintenance, resolution of safety and health issues, and continued

preventative maintenance. (Executive Office of the President Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011-0109-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 White House Repair and Restoration (Direct) .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	8	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-2	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
4011 Outlays from discretionary balances .....	2		
4020 Outlays, gross (total) .....	2	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	2	1	1

These funds provide for the repair, alteration, and improvement of the Executive Residence at the White House.

SPECIAL ASSISTANCE TO THE PRESIDENT AND THE OFFICIAL RESIDENCE OF THE VICE PRESIDENT

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to enable the Vice President to provide assistance to the President in connection with specially assigned functions; services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 106, including subsistence expenses as authorized by 3 U.S.C. 106, which shall be expended and accounted for as provided in that section; and hire of passenger motor vehicles, \$4,228,000. (Executive Office of the President Appropriations Act, 2016.)

OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For the care, operation, refurbishing, improvement, and to the extent not otherwise provided for, heating and lighting, including electric power and fixtures, of the official residence of the Vice President; the hire of passenger motor vehicles; and not to exceed \$90,000 pursuant to 3 U.S.C. 106(b)(2), \$299,000: Provided, That advances, repayments, or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities. (Executive Office of the President Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011-1454-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Special Assistance to the President and the Official Residence			
0 (Direct) .....	4	5	5
0801 Special Assistance to the President and the Official Residence			
0 (Reimbursable) .....		1	1
0900 Total new obligations .....	4	6	6

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	5	5
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	1	1
1900	Budget authority (total) .....	5	6
1930	Total budgetary resources available .....	5	7
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	
3010	Obligations incurred, unexpired accounts .....	4	6
3020	Outlays (gross) .....	-5	-6
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	5	6
Outlays, gross:			
4010	Outlays from new discretionary authority .....	4	6
4011	Outlays from discretionary balances .....	1	
4020	Outlays, gross (total) .....	5	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	-1
4180	Budget authority, net (total) .....	5	5
4190	Outlays, net (total) .....	5	5

These funds are used by the Vice President to carry out responsibilities assigned to the Vice President by the President and by various statutes. These funds also provide for the care and operation of the Vice President's official residence.

**Object Classification** (in millions of dollars)

Identification code 011-1454-0-1-802				
	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
21.0	Travel and transportation of persons .....	1	1	1
99.0	Direct obligations .....	4	4	4
99.0	Reimbursable obligations .....		1	1
99.5	Adjustment for rounding .....		1	1
99.9	Total new obligations .....	4	6	6

**Employment Summary**

Identification code 011-1454-0-1-802				
	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	23	25	25

**COUNCIL OF ECONOMIC ADVISERS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Council of Economic Advisers in carrying out its functions under the Employment Act of 1946 (15 U.S.C. 1021 et seq.), **[\$4,195,000]** \$4,201,000. (Executive Office of the President Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 011-1900-0-1-802				
	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Salaries and Expenses (Direct) .....	4	4	4
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4	4	4

1930 Total budgetary resources available .....				
	4	4	4	

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		
3010	Obligations incurred, unexpired accounts .....	4	4	4
3020	Outlays (gross) .....	-5	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1		

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	3	3
4011	Outlays from discretionary balances .....	1	1	1
4020	Outlays, gross (total) .....	5	4	4
4180	Budget authority, net (total) .....	4	4	4
4190	Outlays, net (total) .....	5	4	4

The Council of Economic Advisers analyzes the national economy and its various segments, advises the President on economic developments, recommends policies for economic growth and stability, appraises economic programs and policies of the Federal Government, and assists in preparation of the annual Economic Report of the President to the Congress.

**Object Classification** (in millions of dollars)

Identification code 011-1900-0-1-802				
	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3	3	3
12.1	Civilian personnel benefits .....	1	1	1
99.9	Total new obligations .....	4	4	4

**Employment Summary**

Identification code 011-1900-0-1-802				
	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	26	27	28

**COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY**

*Federal Funds*

**COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY**

For necessary expenses to continue functions assigned to the Council on Environmental Quality and Office of Environmental Quality pursuant to the National Environmental Policy Act of 1969, the Environmental Quality Improvement Act of 1970, and Reorganization Plan No. 1 of 1977, and not to exceed \$750 for official reception and representation expenses, **[\$3,000,000]** \$3,015,000: Provided, That notwithstanding section 202 of the National Environmental Policy Act of 1970, the Council shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 011-1453-0-1-802				
	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Council on Environmental Quality and Office of Environmental Quality .....	3	3	3
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3	3	3
1930	Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	3	3	3

COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY—Continued  
**Program and Financing—Continued**

Identification code 011-1453-0-1-802	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-3	-3	-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

This appropriation provides funds for the Council on Environmental Quality and the Office of Environmental Quality to serve as the focal point for environmental policy development within the Administration and conduct compliance oversight activities under the National Environmental Policy Act (NEPA).

**Object Classification** (in millions of dollars)

Identification code 011-1453-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 011-1453-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	22	24	24

MANAGEMENT FUND, OFFICE OF ENVIRONMENTAL QUALITY

**Program and Financing** (in millions of dollars)

Identification code 011-3963-0-4-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Management Fund, Office of Environmental Quality (Reimbursable) .....		1	1
0809 Reimbursable program activities, subtotal .....		1	1
0900 Total new obligations (object class 25.2) .....		1	1
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1930 Total budgetary resources available .....		1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....		1	1
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		-1	-1

The Office of Environmental Quality Management Fund finances study contracts that are jointly sponsored by the Office of Environmental Quality

and one or more other Federal agencies. The Management Fund also finances Federal interagency environmental projects (including task forces) in which the Office participates.

**NATIONAL SECURITY COUNCIL AND HOMELAND SECURITY COUNCIL**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the National Security Council and the Homeland Security Council, including services as authorized by 5 U.S.C. 3109, **[\$12,800,000]** \$13,069,000. (Executive Office of the President Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 011-2000-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Security Council .....	13	13	13
0801 Salaries and Expenses (Reimbursable) .....	1	1	1
0900 Total new obligations .....	14	14	14
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	14	14	14
1930 Total budgetary resources available .....	14	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 Obligations incurred, unexpired accounts .....	14	14	14
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-13	-14	-14
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	3	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	13	13
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	13	14	14
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	13	13	13
4080 Outlays, net (discretionary) .....	12	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	12	13	13

The National Security Council and Homeland Security Council advise the President on the integration of domestic, foreign, and military policies relating to national security.

**Object Classification** (in millions of dollars)

Identification code 011-2000-0-1-802	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	9	9
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	2	2	2
99.0 Direct obligations .....	12	13	13
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	14	14	14

**Employment Summary**

Identification code 011-2000-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	69	76	76

**OFFICE OF ADMINISTRATION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Administration, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, and hire of passenger motor vehicles, \$96,116,000, of which not to exceed **[\$7,994,000]** \$12,760,000 shall remain available until expended for continued modernization of information resources within the Executive Office of the President. (*Executive Office of the President Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-0038-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries & Expenses .....	99	88	83
0013 Capital Investment Plan .....	12	8	13
0100 Direct program activities, subtotal .....	111	96	96
0799 Total direct obligations .....	111	96	96
0880 Salaries and Expenses (Reimbursable) .....	1	15	5
0900 Total new obligations .....	112	111	101
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	11	11
1050 Unobligated balance (total) .....	10	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	111	96	96
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		15	5
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	2	15	5
1900 Budget authority (total) .....	113	111	101
1930 Total budgetary resources available .....	123	122	112
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	33	31
3010 Obligations incurred, unexpired accounts .....	112	111	101
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-113	-113	-102
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	33	31	30
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	30	28
3200 Obligated balance, end of year .....	30	28	27

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	113	111	101
Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	88	78
4011 Outlays from discretionary balances .....	32	25	24
4020 Outlays, gross (total) .....	113	113	102
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-15	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	111	96	96
4080 Outlays, net (discretionary) .....	113	98	97
4180 Budget authority, net (total) .....	111	96	96
4190 Outlays, net (total) .....	113	98	97

The Office of Administration's mission is to provide a full array of customer-based administrative services to all entities of the Executive Office of the President. These services, defined by Executive Order 12028 of 1977, include financial, personnel, library, information management systems, security and emergency preparedness, and general office administrative services.

**Object Classification** (in millions of dollars)

Identification code 011-0038-0-1-802	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	25	25	25
12.1 Civilian personnel benefits .....	9	9	9
23.1 Rental payments to GSA .....	20	20	20
23.3 Communications, utilities, and miscellaneous charges .....	5	2	1
25.2 Other services from non-Federal sources .....	44	36	38
26.0 Supplies and materials .....	2	3	1
31.0 Equipment .....	6	1	2
99.0 Direct obligations .....	111	96	96
99.0 Reimbursable obligations .....	1	15	5
99.9 Total new obligations .....	112	111	101

**Employment Summary**

Identification code 011-0038-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	234	234	234

**PRESIDENTIAL TRANSITION ADMINISTRATIVE SUPPORT**

For expenses of the Office of Administration to carry out the Presidential Transition Act of 1963, as amended, and similar expenses, in addition to amounts otherwise appropriated by law, \$7,582,000: Provided, That such funds may be transferred to other accounts that provide funding for offices within the Executive Office of the President and the Office of the Vice President in this Act or any other Act, to carry out such purposes.

**Program and Financing** (in millions of dollars)

Identification code 011-0108-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative support .....			8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			8
1930 Total budgetary resources available .....			8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			8
3020 Outlays (gross) .....			-8

PRESIDENTIAL TRANSITION ADMINISTRATIVE SUPPORT—Continued  
Program and Financing—Continued

Identification code 011-0108-0-1-802	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			8
Outlays, gross:			
4010 Outlays from new discretionary authority .....			8
4180 Budget authority, net (total) .....			8
4190 Outlays, net (total) .....			8

This appropriation request of \$7,582,000 for "Administrative Support" for the Office of Administration is for costs of processing of records of the departing President and Vice President under the Presidential Records Act for transfer to the National Archives and Records Administration and other transition-related administrative expenses. This amount is separate from the appropriation request for "Expenses, Presidential Transition" of the General Services Administration that funds transition expenses under the Presidential Transition Act of 1963 (3 U.S.C. 102 note) for the departing President and Vice President and the President-elect and Vice President-elect, which appears elsewhere in this Budget Appendix.

Object Classification (in millions of dollars)

Identification code 011-0108-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....			6
31.0 Equipment .....			1
99.0 Direct obligations .....			7
99.5 Adjustment for rounding .....			1
99.9 Total new obligations .....			8

OFFICE OF MANAGEMENT AND BUDGET

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Management and Budget, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, to carry out the provisions of chapter 35 of title 44, United States Code, and to prepare and submit the budget of the United States Government, in accordance with section 1105(a) of title 31, United States Code, [ \$95,000,000 ] \$100,725,000, of which not to exceed \$3,000 shall be available for official representation expenses: *Provided*, That none of the funds appropriated in this Act for the Office of Management and Budget may be used for the purpose of reviewing any agricultural marketing orders or any activities or regulations under the provisions of the Agricultural Marketing Agreement Act of 1937 (7 U.S.C. 601 et seq.): *Provided further*, That none of the funds made available for the Office of Management and Budget by this Act may be expended for the altering of the transcript of actual testimony of witnesses, except for testimony of officials of the Office of Management and Budget, before the Committees on Appropriations or their subcommittees: *Provided further*, That of the funds made available for the Office of Management and Budget by this Act, no less than one full-time equivalent senior staff position shall be dedicated solely to the Office of the Intellectual Property Enforcement Coordinator: *Provided further*, That none of the funds provided in this or prior Acts shall be used, directly or indirectly, by the Office of Management and Budget, for evaluating or determining if water resource project or study reports submitted by the Chief of Engineers acting through the Secretary of the Army are in compliance with all applicable laws, regulations, and requirements relevant to the Civil Works water resource planning process: *Provided further*, That the Office of Management and Budget shall have not more than 60 days in which to perform budgetary policy reviews of water resource matters on which the Chief of Engineers has reported: *Provided further*, That the Director of the Office of Management and Budget shall notify the appropriate authorizing and appropriating committees when the 60-day review is initiated: *Provided further*, That if water resource reports have not been transmitted to the appropriate authorizing and appropriating committees within 15 days after the end of the Office of Management and Budget review period based on the notification from the Director, Congress

shall assume Office of Management and Budget concurrence with the report and act accordingly]. (*Executive Office of the President Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 011-0300-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Security programs .....	11	11	12
0002 General Government programs .....	10	10	11
0003 Natural Resource programs .....	10	10	11
0005 Health programs .....	8	8	8
0006 Education, Income Maintenance, and Labor programs .....	6	6	6
0007 Office of Federal Financial Management .....	3	3	3
0008 Information and Regulatory Affairs .....	9	9	10
0009 Office of Federal Procurement Policy .....	3	4	4
0010 OMB-wide Offices .....	32	34	36
0100 Direct program activities, subtotal .....	92	95	101
0799 Total direct obligations .....	92	95	101
0801 Salaries and Expenses (Reimbursable) .....	1		
0900 Total new obligations .....	93	95	101

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	92	95	101
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	
1900 Budget authority (total) .....	93	96	101
1930 Total budgetary resources available .....	93	96	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	13	8
3010 Obligations incurred, unexpired accounts .....	93	95	101
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-92	-100	-100
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	13	8	9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	12	7
3200 Obligated balance, end of year .....	12	7	8

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	93	96	101
Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	88	92
4011 Outlays from discretionary balances .....	11	12	8
4020 Outlays, gross (total) .....	92	100	100
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	92	95	101
4080 Outlays, net (discretionary) .....	92	99	100
4180 Budget authority, net (total) .....	92	95	101
4190 Outlays, net (total) .....	92	99	100

The Office of Management and Budget (OMB) assists the President in the discharge of budgetary, management, and other executive responsibilities.

*National Security Programs; General Government Programs; Natural Resource Programs; Health Programs; and Education, Income Maintenance, and Labor Programs.*—These offices examine Federal agency programs, budget requests, and management activities, analyze legislation,

apportion appropriations, study proposed changes in agency functions, and conduct special studies aimed at establishing goals and objectives that would result in long- and short-range improvements in the agencies' financial, administrative, and operational management.

**Financial Management.**—The OMB Office of Federal Financial Management develops and provides direction on the implementation of financial management policies and systems. This office also supports the effective and transparent use of Federal financial resources by improving the quality, utility, and transparency of financial information, and protecting against waste, fraud and abuse in the Federal government.

**Information and Regulatory Affairs.**—The OMB Office of Information and Regulatory Affairs reviews and coordinates agency proposals to implement or revise Federal regulations and information collection requirements. In addition, it analyses, develops, coordinates, and maintains information resources management and statistical policies and practices.

**Procurement Policy.**—The OMB Office of Federal Procurement Policy provides overall direction of Government-wide procurement policies, regulations, and procedures for executive agencies.

**OMB-wide Offices.**—These offices provide executive direction and coordination for all Office of Management and Budget activities. This includes the Director's Office; the Deputy Director, the Deputy Director for Management, the Executive Associate Director, and the Intellectual Property Enforcement Coordinator; Communications; General Counsel; Legislative Affairs; Economic Policy; Management and Operations Division; the Legislative Reference Division; the Budget Review Division; and the Performance and Personnel Management Division; and the Office of E-Government and Information Technology. In addition, these offices provide overall leadership for OMB's activities; develop instructions and procedures on a wide range of management, legislative, legal, economic, budgetary, administrative, and IT-related issues; coordinate OMB review of agency activities; and prepare the President's Budget documents.

**Object Classification** (in millions of dollars)

Identification code 011-0300-0-1-802	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	57	63	65
12.1 Civilian personnel benefits .....	17	19	20
23.1 Rental payments to GSA .....	7	7	7
25.2 Other services from non-Federal sources .....	9	5	7
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	91	95	100
99.0 Reimbursable obligations .....	1		
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations .....	93	95	101

**Employment Summary**

Identification code 011-0300-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	457	490	500

**OFFICE OF NATIONAL DRUG CONTROL POLICY**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Office of National Drug Control Policy; for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469); not to exceed \$10,000 for official reception and representation expenses; and for participation in joint projects or in the provision of services on matters of mutual interest with nonprofit, research, or public organizations or agencies, with or without reimbursement, **[\$20,047,000] \$19,274,000: Provided,** That the Office is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office. (*Executive Office of the President Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-1457-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	23	20	19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	20	19
1900 Budget authority (total) .....	23	20	19
1930 Total budgetary resources available .....	24	21	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	5	4
3010 Obligations incurred, unexpired accounts .....	23	20	19
3020 Outlays (gross) .....	-24	-21	-19
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	5	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	4	3
3200 Obligated balance, end of year .....	4	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	20	19
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	16	15
4011 Outlays from discretionary balances .....	4	5	4
4020 Outlays, gross (total) .....	24	21	19
4180 Budget authority, net (total) .....	23	20	19
4190 Outlays, net (total) .....	24	21	19

The Office of National Drug Control Policy (ONDCP), established by the Anti-Drug Abuse Act of 1988, and reauthorized by the Office of National Drug Control Policy Reauthorization Act of 2006, is charged with developing policies, objectives and priorities for the National Drug Control Program. In addition, ONDCP administers the High Intensity Drug Trafficking Areas Program and the Drug Free Communities (DFC) Support Program. (Descriptions of these programs are found in the Federal Drug Control Programs section of this Appendix.)

For 2017, the account provides funding for personnel compensation, travel, rent, and other basic operations of the Office.

The Administration submitted a reauthorization bill to the Congress that authorizes ONDCP through FY 2020. The bill contains several changes that reflect a 21st century drug policy approach—to include streamlining the agency functions and improving coordination and collaboration among its many stakeholders.

**Object Classification** (in millions of dollars)

Identification code 011-1457-0-1-802	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	11	11	11
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1		
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	4	2	2
26.0 Supplies and materials .....	1	1	
99.9 Total new obligations .....	23	20	19

SALARIES AND EXPENSES—Continued  
Employment Summary

Identification code 011-1457-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	81	84	74

OFFICE OF SCIENCE AND TECHNOLOGY POLICY  
Federal Funds

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

For necessary expenses of the Office of Science and Technology Policy, in carrying out the purposes of the National Science and Technology Policy, Organization, and Priorities Act of 1976 (42 U.S.C. 6601 et seq.), hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, not to exceed \$2,250 for official reception and representation expenses, and rental of conference rooms in the District of Columbia, **[\$5,555,000]** \$5,566,000. (Science Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011-2600-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Science and Technology Policy .....	5	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
1930 Total budgetary resources available .....	6	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	5	6	6
3020 Outlays (gross) .....	-5	-6	-6
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	5
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	5	6	6
4180 Budget authority, net (total) .....	6	6	6
4190 Outlays, net (total) .....	5	6	6

The Office of Science and Technology Policy (OSTP) provides advice to the President concerning policies in science and technology and on the use of science and technology in addressing important national problems. The OSTP operations include support to other Executive Office of the President organizations on issues with science and technology considerations; with the Office of Management and Budget, review and analysis of and recommendations on research and development budgets for all Federal agencies; coordination of research and development programs of the Federal Government; coordination of the implementation of a number of important international science and technology agreements; and other activities necessary to carry out the duties, functions, and activities described in Public Law 94-282, the National Science and Technology Policy, Organization, and Priorities Act of 1976. OSTP also provides support for the National Science and Technology Council.

Object Classification (in millions of dollars)

Identification code 011-2600-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	5	5	5
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations .....	5	6	6

Employment Summary

Identification code 011-2600-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	31	33	33

OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of the United States Trade Representative, including the hire of passenger motor vehicles and the employment of experts and consultants as authorized by section 3109 of title 5, United States Code, **[\$54,500,000]** \$59,376,000, of which \$1,000,000 shall remain available until expended: *Provided*, That not to exceed \$124,000 shall be available for official reception and representation expenses. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011-0400-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the United States Trade Representative .....	53	55	59
0801 Office of the United States Trade Representative (Reimbursable) .....	1	1	1
0900 Total new obligations .....	54	56	60
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	54	55	59
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	54	56	60
1930 Total budgetary resources available .....	56	58	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	4
3010 Obligations incurred, unexpired accounts .....	54	56	60
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-55	-58	-60
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	6	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	6	4
3200 Obligated balance, end of year .....	6	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	54	56	60
Outlays, gross:			
4010 Outlays from new discretionary authority .....	50	51	55
4011 Outlays from discretionary balances .....	5	7	5
4020 Outlays, gross (total) .....	55	58	60
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	54	55	59

4190	Outlays, net (total) .....	55	57	59
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The United States Trade Representative is responsible for developing, coordinating, and advising the President on U.S. international trade policy. The Trade Representative is responsible for the conduct of international trade negotiations, including commodity and direct investment negotiations. The Trade Representative also conducts U.S. affairs relating to the World Trade Organization, in which the United States participates.

**Object Classification** (in millions of dollars)

Identification code 011-0400-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	30	32	34
12.1 Civilian personnel benefits .....	10	11	11
21.0 Travel and transportation of persons .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
25.2 Other services from non-Federal sources .....	4	4	6
26.0 Supplies and materials .....	1		1
99.0 Direct obligations .....	53	55	59
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	54	56	60

**Employment Summary**

Identification code 011-0400-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	228	240	248

**UNANTICIPATED NEEDS**

*Federal Funds*

UNANTICIPATED NEEDS

For expenses necessary to enable the President to meet unanticipated needs, in furtherance of the national interest, security, or defense which may arise at home or abroad during the current fiscal year, as authorized by 3 U.S.C. 108, **[\$800,000]** \$1,000,000, to remain available until September 30, **[2017] 2018.** (*Executive Office of the President Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-0037-0-1-802	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	-1	-1
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....			

This account represents amounts appropriated to the President to meet unanticipated needs in furtherance of national interest, security, or defense.

DATA-DRIVEN INNOVATION

**Program and Financing** (in millions of dollars)

Identification code 011-0030-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Agency projects .....		1	1
0900 Total new obligations (object class 25.3) .....		1	1

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1930 Total budgetary resources available .....	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	

Data-Driven Innovation (DDI) funding promotes increased and improved use of data and evaluation to improve the impact and cost-effectiveness of Federal Government programs. OMB may transfer DDI funds to Federal agencies to lead cross-program projects and evaluations. Examples of such projects and evaluations include: (1) implementing new grant designs that reflect data-driven, outcome-focused approaches; (2) expanding the use of rigorous evaluation and other evidence-building tools, including use of rapid experimentation for continuous improvement; (3) increasing access to and utilization of high quality data for evaluation, performance measurement, and other types of analyses; and (4) partnering with innovative leaders in States, localities, foundations, research organizations, and the private sector experts to create conditions for cost-effective change at all levels of government.

PARTNERSHIP FUND FOR PROGRAM INTEGRITY INNOVATION

**Program and Financing** (in millions of dollars)

Identification code 011-0035-0-1-802	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	6	3
3020 Outlays (gross) .....	-3	-3	-1
3050 Unpaid obligations, end of year .....	6	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	6	3
3200 Obligated balance, end of year .....	6	3	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	3	3	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	3	3	1

Funded from fiscal year 2010 through 2013, the Partnership Fund invested over \$29 million in 11 pilot projects, which are estimated to lead to total savings of up to \$200 million annually if the pilots are implemented more broadly. As evaluations are completed and results finalized, OMB will work with Federal agencies, State and local governments, and other stakeholders to disseminate lessons learned and apply the tested tools and methods across programs and levels of government. Early pilot results show how access to data from financial institutions could help to detect overpayments in the Unemployment Insurance program, how automated risk assessment tools using integrated data from State Medicaid and the Federal Medicare programs could help identify provider fraud, and how a

PARTNERSHIP FUND FOR PROGRAM INTEGRITY INNOVATION—Continued  
shared services solution could help States verify Medicaid provider eligibility.

**INFORMATION TECHNOLOGY OVERSIGHT AND REFORM**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the furtherance of integrated, efficient, secure, and effective uses of information technology in the Federal Government, **[\$30,000,000]** \$35,200,000, to remain available until expended: *Provided*, That the Director of the Office of Management and Budget may transfer these funds to one or more other agencies to carry out projects to meet these purposes. (*Executive Office of the President Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-0036-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Oversight, Cybersecurity and Program Management .....	10	10	10
0002 U.S. Digital Service .....	4	14	18
0900 Total new obligations .....	14	24	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	13	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	30	35
1930 Total budgetary resources available .....	27	43	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	19	26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	6	9
3010 Obligations incurred, unexpired accounts .....	14	24	28
3020 Outlays (gross) .....	-10	-21	-27
3050 Unpaid obligations, end of year .....	6	9	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	6	9
3200 Obligated balance, end of year .....	6	9	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	30	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	10	12
4011 Outlays from discretionary balances .....	7	11	15
4020 Outlays, gross (total) .....	10	21	27
4180 Budget authority, net (total) .....	20	30	35
4190 Outlays, net (total) .....	10	21	27

Information Technology Oversight and Reform (ITOR) funding provides the Government with a resource base controlled by the Director of the Office of Management and Budget (OMB) to support activities and tools that enhance the efficiency, effectiveness, and security of Federal investments in information technology (IT). ITOR goals are to: (1) reduce waste and duplication, and ensure that IT investments stay within their budgets and deliver on time; (2) help agencies deliver IT investments that maximize the Federal Government's productivity and customer satisfaction; (3) expand the use of data and analytics to support agency IT portfolio management; and (4) further cross-agency cybersecurity initiatives and priorities.

*Information Technology Oversight and Analysis.*—Under the direction of the Federal Chief Information Officer (CIO), ITOR funding will be used to enhance transparency, data collection, analytics, and technical assistance in Federal IT investments. ITOR oversight activities will support continued operations of and enhancements to the Federal IT Dashboard, improving the public's view of the Government's annual investment in IT, discussed in Chapter 17 of the Analytical Perspectives volume of the Budget. Building on data from the IT Dashboard and an integrated agency data collection process, the Federal CIO will continue to engage with agencies through

PortfolioStat reviews, identifying underperforming and duplicative investments and taking corrective actions. Additionally, ITOR funds will support policy analysis and development efforts to support Federal IT reform, including continuing to support government-wide implementation of the Federal Information Technology Acquisition Reform Act (FITARA), consistent with OMB's guidance in OMB Memorandum M-15-14, "Management and Oversight of Federal Information Technology." ITOR oversight activities will increase the productivity of IT investments by, for example, optimizing and consolidating data centers under the Federal Data Center Consolidation Initiative, continuing the adoption of cloud computing, and increasing the use of intra-agency and interagency shared services.

*United States Digital Service (USDS).*—ITOR funding is also used to increase the effectiveness of Federal IT investments by improving the digital experiences that individuals and businesses have when interacting with the Government. USDS accomplishes this by deploying a growing team of America's best digital experts across the Government, working to assist Federal agencies in implementing new or improved websites, using leading-edge digital technology practices. USDS has established a strong track record in helping agencies to roll out IT services supporting the Government's highest-impact programs.

*Cybersecurity.*—ITOR funding for cybersecurity will expand OMB's ability to perform data-driven, risk-based oversight of agency and government-wide cybersecurity programs. OMB will also continue to issue and implement Federal policies consistent with emerging technologies and evolving cyber threats. OMB will expand its work with agencies to achieve the 2015-2017 Cybersecurity Cross Agency Priority (CAP) goal, which is focused on agencies managing information risk on a continuous basis, addressing the need for anti-phishing and malware defense measures, and advancing key identity management initiatives. As part of the ITOR cybersecurity program, an expanding team will develop new strategies to protect Federal information assets, developing strengthened and modernized Federal policies and statutes, in cooperation with National Security Council staff, the Department of Homeland Security, the National Institute for Standards and Technology, the Congress, and other key stakeholders. This team will also continue to coordinate the Government-wide response to major cybersecurity incidents and vulnerabilities, to reduce their impact, and facilitate the delivery of inter-agency shared services that enhance the security of Federal systems.

**Object Classification** (in millions of dollars)

Identification code 011-0036-0-1-802	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....	6	16	20
25.3 Other goods and services from Federal sources .....	8	8	8
99.9 Total new obligations .....	14	24	28

**Employment Summary**

Identification code 011-0036-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	37	90	112

**SPECTRUM RELOCATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 011-5512-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	5,310	7,008	9,735
0198 Rounding adjustment .....	1		
0198 Unavailable balance adjustment .....		3,107	
0199 Balance, start of year .....	5,311	10,115	9,735
<b>Receipts:</b>			
Current law:			
1130 Spectrum Relocation Receipts .....	11,500		365
2000 Total: Balances and receipts .....	16,811	10,115	10,100

Appropriations:			
Current law:			
2101	Spectrum Relocation Fund .....	-11,500	
2103	Spectrum Relocation Fund .....	-4,773	-845
2132	Spectrum Relocation Fund .....	845	
2134	Spectrum Relocation Fund .....	5,625	465
2199	Total current law appropriations .....	-9,803	-380
2999	Total appropriations .....	-9,803	-380
5099	Balance, end of year .....	7,008	9,735

**Program and Financing** (in millions of dollars)

Identification code 011-5512-0-2-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	68	3,107
1011	Unobligated balance transfer from other acct [017-1319] ....	1	
1011	Unobligated balance transfer from other acct [057-3020] ....	12	
1011	Unobligated balance transfer from other acct [057-3080] ....	1	
1011	Unobligated balance transfer from other acct [057-3010] ....	4	
1020	Adjustment of unobligated bal brought forward, Oct 1 .....		-3,107
1029	Other balances withdrawn to Treasury .....	-86	
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	11,500	
1203	Appropriation (previously unavailable) .....	4,773	845
1220	Appropriations transferred to other accts [021-2040] .....	-1	-72
1220	Appropriations transferred to other accts [021-2031] .....		-13
1220	Appropriations transferred to other accts [021-2035] .....		-16
1220	Appropriations transferred to other accts [021-2020] .....		-9
1220	Appropriations transferred to other accts [017-1319] .....	-6	-109
1220	Appropriations transferred to other accts [017-1507] .....		-4
1220	Appropriations transferred to other accts [017-1810] .....		-17
1220	Appropriations transferred to other accts [017-1109] .....		-2
1220	Appropriations transferred to other accts [017-1804] .....	-2	-8
1220	Appropriations transferred to other accts [017-1106] .....		-1
1220	Appropriations transferred to other accts [057-3600] .....	-4	-41
1220	Appropriations transferred to other accts [057-3010] .....		-8
1220	Appropriations transferred to other accts [057-3080] .....		-19
1220	Appropriations transferred to other accts [057-3400] .....	-4	-8
1220	Appropriations transferred to other accts [097-0100] .....	-5	-12
1220	Appropriations transferred to other accts [097-0400] .....	-17	-43
1220	Appropriations transferred to other accts [070-0530] .....	-10	
1220	Appropriations transferred to other accts [070-0540] .....	-142	
1220	Appropriations transferred to other accts [070-0400] .....	-54	
1220	Appropriations transferred to other accts [070-0200] .....	-6	
1220	Appropriations transferred to other accts [070-0509] .....	-4	
1220	Appropriations transferred to other accts [070-0102] .....	-275	
1220	Appropriations transferred to other accts [014-4523] .....	-34	
1220	Appropriations transferred to other accts [014-1039] .....	-9	
1220	Appropriations transferred to other accts [014-0804] .....	-36	
1220	Appropriations transferred to other accts [015-0200] .....	-553	
1220	Appropriations transferred to other accts [015-0134] .....	-3	
1220	Appropriations transferred to other accts [015-0324] .....	-29	
1220	Appropriations transferred to other accts [015-1100] .....	-286	
1220	Appropriations transferred to other accts [015-0700] .....	-232	
1220	Appropriations transferred to other accts [013-1460] .....	-218	
1220	Appropriations transferred to other accts [013-1450] .....	-46	
1220	Appropriations transferred to other accts [089-4045] .....	-5	
1220	Appropriations transferred to other accts [089-0228] .....	-2	
1220	Appropriations transferred to other accts [080-0115] .....	-2	
1220	Appropriations transferred to other accts [020-0913] .....	-8	
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-845	
1234	Appropriations precluded from obligation .....	-5,625	-465
1235	Capital transfer of appropriations to general fund .....	-4,703	
1260	Appropriations, mandatory (total) .....	3,107	
1900	Budget authority (total) .....	3,107	
1930	Total budgetary resources available .....	3,107	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3,107	
Special and non-revolving trust funds:			
1955	Unobligated balances withdrawn and returned to general fund .....	86	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	3,107	
4180	Budget authority, net (total) .....	3,107	
4190	Outlays, net (total) .....		

The Spectrum Relocation Fund (SRF), created by the Commercial Spectrum Enhancement Act of 2004, as amended by the Middle Class Tax Relief and Job Creation Act of 2012, reimburses Federal agencies that must relocate or share wireless communications systems in Federal spectrum that has been or will be reallocated to commercial use. Auction receipts associated with the reallocated spectrum are deposited into the SRF to pay eligible pre- and post-auction costs that help efficiently and effectively transition Federal agencies off of the reallocated spectrum or facilitate the sharing of Federal systems with non-Federal systems. The Office of Management and Budget (OMB) administers the SRF in consultation with the National Telecommunications and Information Administration (NTIA) of the Department of Commerce. In 2015, a portion of receipts associated with the Advanced Wireless Services 3 (AWS-3) auction, which reallocates Federal and other spectrum for flexible commercial use, were deposited into the SRF. Beginning in 2015, and continuing in future years, funds from the AWS-3 auction are being transferred to agencies with approved transition plans to reimburse them for the costs associated with clearing or sharing the auctioned bands. Most funds in the SRF must be returned to the Treasury eight years after they are deposited; in 2015, balances associated with the AWS-1 auction, which were deposited into the SRF in 2007, were returned to the Treasury under this provision.

The Spectrum Pipeline Act of 2015, part of the Bipartisan Budget Act of 2015, authorized the transfer to agencies of up to \$500 million of SRF balances, and a portion of future deposits into the SRF, to fund advance planning and research projects that are expected to facilitate future spectrum auctions. Funding for the first round of these projects is expected to be transferred to agencies in 2016.

**ADMINISTRATIVE PROVISIONS—EXECUTIVE OFFICE OF THE PRESIDENT AND FUNDS APPROPRIATED TO THE PRESIDENT**

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. From funds made available in this Act under the headings "The White House", "Executive Residence at the White House", "White House Repair and Restoration", "Council of Economic Advisers", "National Security Council and Homeland Security Council", "Office of Administration", "Special Assistance to the President", and "Official Residence of the Vice President", the Director of the Office of Management and Budget (or such other officer as the President may designate in writing), may, [with advance approval of] 15 days after giving notice to the Committees on Appropriations of the House of Representatives and the Senate, transfer not to exceed 10 percent of any such appropriation to any other such appropriation, to be merged with and available for the same time and for the same purposes as the appropriation to which transferred: *Provided*, That the amount of an appropriation shall not be increased by more than 50 percent by such transfers: *Provided further*, That no amount shall be transferred from "Special Assistance to the President" or "Official Residence of the Vice President" without the approval of the Vice President.

SEC. 202. Within 90 days after the date of enactment of this section, the Director of the Office of Management and Budget shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate on the costs of implementing the Dodd-Frank Wall Street Reform and Consumer Protection Act (Public Law 111-203). Such report shall include—

- (1) the estimated mandatory and discretionary obligations of funds through fiscal year 2018, by Federal agency and by fiscal year, including—
  - (A) the estimated obligations by cost inputs such as rent, information technology, contracts, and personnel;
  - (B) the methodology and data sources used to calculate such estimated obligations; and
  - (C) the specific section of such Act that requires the obligation of funds; and
- (2) the estimated receipts through fiscal year 2018 from assessments, user fees, and other fees by the Federal agency making the collections, by fiscal year, including—
  - (A) the methodology and data sources used to calculate such estimated collections; and
  - (B) the specific section of such Act that authorizes the collection of funds. ]

【SEC. 203. (a) During fiscal year 2016, any Executive order or Presidential memorandum issued by the President shall be accompanied by a written statement from the Director of the Office of Management and Budget on the budgetary impact, including costs, benefits, and revenues, of such order or memorandum.

(b) Any such statement shall include—

(1) a narrative summary of the budgetary impact of such order or memorandum on the Federal Government;

(2) the impact on mandatory and discretionary obligations and outlays as the result of such order or memorandum, listed by Federal agency, for each year in the 5-fiscal year period beginning in fiscal year 2016; and

(3) the impact on revenues of the Federal Government as the result of such order or memorandum over the 5-fiscal-year period beginning in fiscal year 2016.

(c) If an Executive order or Presidential memorandum is issued during fiscal year 2016 due to a national emergency, the Director of the Office of Management and Budget may issue the statement required by subsection (a) not later than 15 days after the date that such order or memorandum is issued.

(d) The requirement for cost estimates for Presidential memoranda shall only apply for Presidential memoranda estimated to have a regulatory cost in excess of \$100,000,000.】

*(Executive Office of the President Appropriations Act, 2016.)*

# GENERAL SERVICES ADMINISTRATION

## REAL PROPERTY ACTIVITIES

### Federal Funds

#### REAL PROPERTY ACTIVITIES

#### FEDERAL BUILDINGS FUND

#### LIMITATIONS ON AVAILABILITY OF REVENUE

#### (INCLUDING TRANSFERS OF FUNDS)

Amounts in the Fund, including revenues and collections deposited into the Fund, shall be available for necessary expenses of real property management and related activities not otherwise provided for, including operation, maintenance, and protection of federally owned and leased buildings; rental of buildings in the District of Columbia; restoration of leased premises; moving governmental agencies (including space adjustments and telecommunications relocation expenses) in connection with the assignment, allocation, and transfer of space; contractual services incident to cleaning or servicing buildings, and moving; repair and alteration of federally owned buildings, including grounds, approaches, and appurtenances; care and safeguarding of sites; maintenance, preservation, demolition, and equipment; acquisition of buildings and sites by purchase, condemnation, or as otherwise authorized by law; acquisition of options to purchase buildings and sites; conversion and extension of federally owned buildings; preliminary planning and design of projects by contract or otherwise; construction of new buildings (including equipment for such buildings); and payment of principal, interest, and any other obligations for public buildings acquired by installment purchase and purchase contract; in the aggregate amount of **[\$10,196,124,000]** **\$10,178,338,000**, of which—

(1) **[\$1,607,738,000]** **\$1,330,522,000** shall remain available until expended for construction and acquisition (including funds for sites and expenses, and associated design and construction services) [as follows: ]

[(A) \$341,000,000 shall be for the DHS Consolidation at St. Elizabeths; ]

[(B) \$105,600,000 shall be for the Alexandria Bay, New York, Land Port of Entry; ]

[(C) \$85,645,000 shall be for the Columbus, New Mexico, Land Port of Entry; ]

[(D) \$947,760,000 shall be for new construction projects of the Federal Judiciary as prioritized in the "Federal Judiciary Courthouse Project Priorities" plan approved by the Judicial Conference of the United States on September 17, 2015, and submitted to the House and Senate Committees on Appropriations on September 28, 2015; ]

[(E) \$52,733,000 shall be for new construction and acquisition projects that are joint United States courthouses and Federal buildings, including U.S. Post Offices, on the "FY2015-FY2019 Five-Year Capital Investment Plan" submitted by the General Services Administration to the House and Senate Committees on Appropriations with the agency's fiscal year 2016 Congressional Justification; and ]

[(F) \$75,000,000 shall be for construction management and oversight activities, and other project support costs, for the FBI Headquarters Consolidation; ]

Provided, That [each of the foregoing limits of costs on new ] **amounts identified in the spend plan** for construction and acquisition [projects] **required by section 515 of this division** may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance [approval] **notice is [obtained from] transmitted to the Committees on Appropriations of a greater amount;**

(2) **[\$735,331,000]** **\$841,617,000** shall remain available until expended for repairs and alterations, including associated design and construction services, of which—

(A) **[\$310,331,000]** **\$529,527,000** is for Major Repairs and Alterations; and

(B) **[\$300,000,000]** **\$312,090,000** is for Basic Repairs and Alterations; and ]

[(C) \$125,000,000 is for Special Emphasis Programs, of which— ]

[(i) \$20,000,000 is for Fire and Life Safety; ]

[(ii) \$20,000,000 is for Judiciary Capital Security; ]

[(iii) \$10,000,000 is for Energy and Water Retrofit and Conservation Measures; and ]

[(iv) \$75,000,000 is for Consolidation Activities: *Provided*, That consolidation projects result in reduced annual rent paid by the tenant agency: *Provided further*, That no consolidation project exceed \$20,000,000 in costs: *Provided further*, That consolidation projects are approved by each of the committees specified in section 3307(a) of title 40, United States Code: *Provided further*, That preference is given to consolidation projects that achieve a utilization rate of 130 usable square feet or less per person for office space: *Provided further*, That the obligation of

funds under this paragraph for consolidation activities may not be made until 10 days after a proposed spending plan and explanation for each project to be undertaken, including estimated savings, has been submitted to the Committees on Appropriations of the House of Representatives and the Senate; ]

*Provided*, That funds made available in this or any previous Act in the Federal Buildings Fund for Repairs and Alterations shall, for prospectus projects, be limited to the amount identified for each project in the *spend plan required by section 515 of this division*, except each project in this or any previous Act may be increased by an amount not to exceed 10 percent unless advance [approval] **notice is [obtained from] transmitted to the Committees on Appropriations of a greater amount: Provided further**, That additional projects for which prospectuses have been [fully approved] **transmitted** may be funded under this category only if advance [approval] **notice is [obtained from] transmitted to the Committees on Appropriations: Provided further**, That the amounts provided in this or any prior Act for "Repairs and Alterations" may be used to fund costs associated with implementing security improvements to buildings necessary to meet the minimum standards for security in accordance with current law [and in compliance with the reprogramming guidelines of the appropriate Committees of the House and Senate]; *Provided further*, That the difference between the funds appropriated and expended on any projects in this or any prior Act, under the heading "Repairs and Alterations", may be transferred to Basic Repairs and Alterations or used to fund authorized increases in prospectus projects: *Provided further*, That the amount provided in this or any prior Act for Basic Repairs and Alterations may be used to pay claims against the Government arising from any projects under the heading "Repairs and Alterations" or used to fund authorized increases in prospectus projects;

(3) **[\$5,579,055,000]** **\$5,655,581,000** for rental of space to remain available until expended; and

(4) **[\$2,274,000,000]** **\$2,350,618,000** for building operations to remain available until expended, of which \$1,137,000,000 is for building services, and \$1,137,000,000 is for salaries and expenses: *Provided further*, That not to exceed 5 percent of any appropriation made available under this paragraph for building operations may be transferred between and merged with such appropriations upon notification to the Committees on Appropriations of the House of Representatives and the Senate, but no such appropriation shall be increased by more than 5 percent by any such transfers: *Provided further*, That section 508 of this title shall not apply with respect to funds made available under this heading for building operations; ] *Provided further*, That the total amount of funds made available from this Fund to the General Services Administration shall not be available for expenses of any construction, repair, alteration and acquisition project for which a prospectus, if required by 40 U.S.C. 3307(a), has not been [approved] **transmitted to the Congress**, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus: *Provided further*, That funds available in the Federal Buildings Fund may be expended for emergency repairs when advance [approval] **notice is [obtained from] provided to the Committees on Appropriations: Provided further**, That amounts necessary to provide reimbursable special services to other agencies under 40 U.S.C. 592(b)(2) and amounts to provide such reimbursable fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control as may be appropriate to enable the United States Secret Service to perform its protective functions pursuant to 18 U.S.C. 3056, shall be available from such revenues and collections: *Provided further*, That revenues and collections and any other sums accruing to this Fund during fiscal year [2016] **2017**, excluding reimbursements under 40 U.S.C. 592(b)(2), in excess of the aggregate new obligational authority authorized for Real Property Activities of the Federal Buildings Fund in this Act shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts.

*In addition to amounts provided under this heading, \$10,163,607,000 shall become available in fiscal year 2018 from amounts in the Fund, of which \$1,592,056,000 shall remain available until expended for construction and acquisition and major repairs and alterations (including funds for sites and expenses, and associated design and construction services), \$390,000,000 shall remain available until expended for basic repairs and alterations, including associated design and construction services, \$5,711,494,000 shall remain available until expended for rental of space, and \$2,470,057,000 shall remain available until expended for building operations: Provided further*, That appropriations made in this section for fiscal year 2018 shall be available to the extent and in the manner that is provided for fiscal year 2017 funds in this section: *Provided further*, That appropriations made available under this heading for fiscal year 2018 may be transferred between and merged with such appropriations upon notification to the Committees on Appropriations of the House of Representatives and the Senate, but no such appropriation shall be increased by

FEDERAL BUILDINGS FUND—Continued

more than 3 percent by any such transfers: Provided further, That if the total amount of revenues and collections deposited in the Fund in fiscal year 2018 is less than \$10,163,607,000, the total amount provided for fiscal year 2018 under this paragraph and the amounts provided for each item shall be reduced commensurately by item as determined by the Administrator: Provided further, That if the total amount of revenues and collections deposited in the Fund in fiscal year 2018 exceeds \$10,163,607,000, the total amount provided for fiscal year 2018 under this paragraph and the amounts provided for each item shall be increased commensurately by item as determined by the Administrator. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 047-4542-0-4-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Construction and acquisition of facilities .....	507	973	1,020
0802 Repairs and alterations .....	793	696	794
0809 Reimbursable program activities, subtotal .....	1,300	1,669	1,814
0810 Rental of space .....	5,742	5,725	5,655
0811 Building operations .....	2,542	2,274	2,351
0819 Reimbursable program activities, subtotal .....	8,284	7,999	8,006
0820 Special services and improvements .....	1,370	1,788	1,601
0900 Total new obligations .....	10,954	11,456	11,421
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,432	4,170	4,958
1021 Recoveries of prior year unpaid obligations .....	152	260	260
1050 Unobligated balance (total) .....	4,584	4,430	5,218
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11,465	11,595	11,779
1701 Change in uncollected payments, Federal sources .....	-293		
1702 Offsetting collections (previously unavailable) .....	2,941	3,567	3,178
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-3,567	-3,178	-3,178
1750 Spending auth from offsetting collections, disc (total) .....	10,546	11,984	11,779
1900 Budget authority (total) .....	10,546	11,984	11,779
1930 Total budgetary resources available .....	15,130	16,414	16,997
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6		
1941 Unexpired unobligated balance, end of year .....	4,170	4,958	5,576
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,293	3,790	4,437
3010 Obligations incurred, unexpired accounts .....	10,954	11,456	11,421
3020 Outlays (gross) .....	-10,305	-10,549	-11,221
3040 Recoveries of prior year unpaid obligations, unexpired .....	-152	-260	-260
3050 Unpaid obligations, end of year .....	3,790	4,437	4,377
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4,040	-3,747	-3,747
3070 Change in uncollected pymts, Fed sources, unexpired .....	293		
3090 Uncollected pymts, Fed sources, end of year .....	-3,747	-3,747	-3,747
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-747	43	690
3200 Obligated balance, end of year .....	43	690	630
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10,546	11,984	11,779
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8,037	8,264	8,312
4011 Outlays from discretionary balances .....	2,268	2,285	2,909
4020 Outlays, gross (total) .....	10,305	10,549	11,221
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11,375	-11,570	-11,754
4033 Non-Federal sources .....	-90	-25	-25
4040 Offsets against gross budget authority and outlays (total) ....	-11,465	-11,595	-11,779
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	293		
4070 Budget authority, net (discretionary) .....	-626	389	
4080 Outlays, net (discretionary) .....	-1,160	-1,046	-558
4180 Budget authority, net (total) .....	-626	389	

4190 Outlays, net (total) .....	-1,160	-1,046	-558
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	2,941	3,567	3,178
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	3,567	3,178	3,178

This revolving fund provides for real property management and related activities, including operation, maintenance, repair of Federally owned buildings, and the construction of Federal buildings, courthouses and land ports of entry. Expenses of the Federal Buildings Fund (FBF) are financed from rental charges assessed to occupants of General Services Administration (GSA)-controlled space. Rent assessments, by law, approximate commercial rates for comparable space and services. Rental income is augmented by appropriations to the fund when new construction needs exceed the resources available for investment within the fund.

The Budget requests \$10,178 million in new obligational authority for the FBF. The Administration's proposal ensures that GSA spends at least at the level of anticipated rent that it collects from Federal Departments and Agencies in order to provide services to those customers.

Historically, the FBF has been permitted to spend at least what it collects from agencies to support leasing from the private sector, as well as maintenance, repairs, major renovations, and new construction to accommodate agency needs in buildings that GSA owns and operates. However, in several recent years, the FBF appropriations were significantly below the anticipated level of rent collections from agencies, denying GSA the ability to pursue an appropriately-sized capital program relative to the size of its portfolio. By restoring the principle that the FBF should be allowed to spend what it collects and pursuing a robust capital program, the Administration hopes to accomplish a number of policy goals: avoiding larger, longer-term capital costs associated with deferring maintenance of Federal facilities, improving energy efficiency at GSA-owned facilities, and realizing a smaller Federal footprint through improved building utilization. Those goals are also supported through the fiscal year 2017 Budget proposal to allow advance appropriations in fiscal year 2018 for spending at the anticipated level of collections in the FBF. This net zero proposal supports capital requirements as well as operating expenses. Advanced appropriations would provide greater certainty to support capital projects and ensure that the funds that agencies pay to GSA are used promptly to construct, maintain, and operate GSA facilities.

The following table reports rent and other income to the fund.

	[In millions of dollars]		
	2015 actual	2016 est.	2017 est.
Rental charges .....	9,864	9,807	10,178
Collections for:			
(a) Special services and improvements .....	1,370	1,788	1,601
(b) Miscellaneous income .....			
Total receipts and reimbursements .....	11,234	11,595	11,779

The following tables report the planned financing for the fund in 2016 and 2017.

	[In millions of dollars]				
	Obligations	End-of-year unobligated balance	Obligational authority Total	New	From prior year
2016 program:					
1. Construction and Acquisition of Facilities .....	973	1,674	2,647	1,608	1,039
2. Repairs and Alterations .....	696	793	1,489	735	754
3. Installment Acquisition Payments .....	0	4	4	0	4
4. Construction of Lease Purchase Facilities .....	0	24	24	0	24
5. Rental of Space .....	5,725	-41	5,684	5,579	105
6. Building Operations .....	2,274	234	2,508	2,274	234
7. International Trade Center .....	0	18	18	0	18
8. Pennsylvania Avenue Activities .....	0	26	26	0	26
Total basic program .....	9,668	2732	12,400	10,196	2,204
Other programs:					
Special services and improvements .....	1,788	1,963	3,751	1,788	1,963

	2016	2017	2016	2017	2017
	Obligations	unobligated balance	Total	New	From prior year
Total Federal Buildings Fund .....	11,456	4,695	16,151	11,984	4,167
[In millions of dollars]					
2017 program:					
1. Construction and Acquisition of Facilities .....	1,020	2,001	3,021	1,347	1,674
2. Repairs and Alterations .....	794	824	1,618	825	793
3. Installation Acquisition Payments .....	0	4	4	0	4
4. Construction of Lease Purchase Facilities .....	0	24	24	0	24
5. Rental of Space .....	5,655	-40	5,615	5,656	-41
6. Building Operations .....	2,351	234	2,584	2,351	234
7. International Trade Center .....	0	18	18	0	18
8. Pennsylvania Avenue Activities .....	0	26	26	0	26
Total basic program .....	9,820	3,091	12,911	10,178	2,732
Other programs:					
Special services and improvements .....	1,601	1,963	3,564	1,601	1,963
Total Federal Buildings Fund .....	11,421	5,054	16,475	11,779	4,695

The FBF consists of the following activities:

**Construction and Acquisition of Facilities.**—This activity provides for the construction or purchase of facilities, prospectus-level extensions to existing buildings, and remediation. All costs directly attributable to site acquisition, construction, and the full range of design and construction services, and management and inspection of construction projects are funded under this activity.

Activity	2017
New Construction:	
National Capital Region, FBI Headquarters Consolidation	759,000
Washington, DC DHS Consolidation at St. Elizabeths	266,604
Washington, DC South East Federal Center Remediation	7,000
Pembina, ND APHIS Building	5,749
Boyers, PA Federal Office Building	31,200
Austin, TX IRS Annex Building Purchase	12,756
Subtotal, Executive Agencies	1,082,309
Calexico, CA Calexico West Land Port of Entry Phase II	248,213
Subtotal, Land Ports of Entry	248,213
Total FY 2017 Construction and Acquisition of Facilities Program	1,330,522

**Repairs and Alterations.**—This activity provides for repairs and alterations of existing buildings as well as associated design and construction services. Protection of the Government's investment, health and safety of building occupants, transfer of agencies from leased space, and cost effectiveness are the principal criteria used in establishing priorities. Repairs to prevent deterioration and damage to buildings, their support systems, and operating equipment are given priority.

Activity	2017
Nonprospectus (Basic) Repairs and Alterations Program	312,090
Major Repairs and Alterations	
Detroit, MI 985 Michigan Avenue	81,303
Portland, OR 911 Federal Building	22,500
Philadelphia, PA William J. Green Jr. Federal Building	52,300
New Orleans, LA F. Edward Hebert Federal Building	66,608
Kansas City, MO 2306/2312 Bannister Road	66,534
Detroit MI, Patrick V. McNamara Federal Building Garage	10,720
Indianapolis, IN Minton-Capehart Federal Building	10,784
Austin, TX Austin Finance Center	22,781
Cleveland, OH Carl B. Stokes United States Courthouse	15,524
Boston, MA John F. Kennedy Federal Building	40,273
Subtotal, Major Repairs and Alterations	389,327
Repair and Alteration - Design Program	
Queens, NY Joseph P. Addabbo Federal Building	8,500
Subtotal, Repair and Alterations Design Program	8,500
Special Emphasis Programs	
Energy and Water Retrofit and Conservation Measures Program	10,000
Judiciary Capital Security Program	26,700
Consolidation Activities Program	70,000
Fire and Life Safety Program	20,000
Subtotal, Special Emphasis Programs	131,700
Total FY 2017 Repairs and Alterations Program	841,617

**Installment Acquisition Payments.**—This activity provides for payments for liabilities incurred under purchase contract authority and lease purchase agreements. GSA makes periodic payments to cover interest and other requirements on the debt incurred for construction of Federal buildings.

**Rental of Space.**—This activity provides for the leasing of privately-owned buildings. Including space occupied by Federal agencies in U.S. Postal Service facilities, GSA provided 193 million square feet of rental space in 2015. GSA expects to provide 190 million square feet of rental space in 2016 and 188 million in 2017.

**Building Operations.**—Building Services: This activity provides services for Government-owned and leased facilities, including cleaning, utilities and fuel, maintenance, and miscellaneous services (such as moving, evaluation of new materials and equipment, and field supervision). Salaries and Expenses: This activity provides general management and administration of all real property related programs including salaries and benefits paid from the FBF, administrative costs funded directly by the FBF, and contributions to the GSA Working Capital Fund. The following tables provide additional detail regarding the 2016 and 2017 building operations program (estimated obligations in millions).

	2016	2017
	Obligations	Obligations
Cleaning .....	322	329
Utilities .....	323	378
Maintenance .....	351	360
Security .....	68	63
Other Building Services .....	74	57
IT .....	54	50
Salaries and Benefits .....	657	678
GSA Working Capital Fund Payments .....	345	352
Management Support .....	63	55
Travel .....	7	10
Other Administrative Costs .....	12	17
Total .....	2,274	2,351

**Other Programs.**—When requested by other Federal agencies, the Public Buildings Service provides building services, such as tenant alterations, cleaning and other operations, and protection services which are in excess of those services provided under the commercial rental charges.

**Object Classification (in millions of dollars)**

Identification code 047-4542-0-4-804	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	496	523	549
11.5 Other personnel compensation .....	12	15	11
11.9 Total personnel compensation .....	508	538	560
12.1 Civilian personnel benefits .....	159	161	166
21.0 Travel and transportation of persons .....	11	7	10
23.2 Rental payments to others .....	5,731	5,727	5,657
23.3 Communications, utilities, and miscellaneous charges .....	428	396	437
25.1 Advisory and assistance services .....	766	966	843
25.2 Other services from non-Federal sources .....	22	24	28
25.3 Other goods and services from Federal sources .....	412	384	363
25.4 Operation and maintenance of facilities .....	1,595	1,393	1,351
25.7 Operation and maintenance of equipment .....	31	61	69
26.0 Supplies and materials .....	11	9	10
31.0 Equipment .....	67	125	115
32.0 Land and structures .....	1,173	1,665	1,812
43.0 Interest and dividends .....	40		
99.9 Total new obligations .....	10,954	11,456	11,421

**Employment Summary**

Identification code 047-4542-0-4-804	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	5,266	5,505	5,435

FEDERAL BUILDINGS FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 047-4543-0-4-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Federal Buildings and Courthouses .....	3		
0803 High-Performance Green Buildings - Major R&A .....	18		
0900 Total new obligations (object class 32.0) .....	21		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	31	31
1012 Unobligated balance transfers between expired and unexpired accounts .....	43		
1050 Unobligated balance (total) .....	53	31	31
1930 Total budgetary resources available .....	53	31	31
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	31	31	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	187	15	3
3010 Obligations incurred, unexpired accounts .....	21		
3011 Obligations incurred, expired accounts .....	12		
3020 Outlays (gross) .....	-161	-12	-2
3041 Recoveries of prior year unpaid obligations, expired .....	-44		
3050 Unpaid obligations, end of year .....	15	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	187	15	3
3200 Obligated balance, end of year .....	15	3	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	161	12	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	161	12	2

This appropriation provides funding for the construction and renovation of Federal buildings, courthouses, land ports of entry; the conversion of existing General Services Administration facilities to High-Performance Green Buildings; and \$4,000,000 for transfer to the Office of Federal High-Performance Green Buildings. Of the available amounts, \$5,000,000,000 was available until September 30, 2010 and the remaining amounts were available until September 30, 2011.

REAL PROPERTY RELOCATION

Program and Financing (in millions of dollars)

Identification code 047-0535-0-1-804	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
1930 Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This appropriation covers relocation costs involved in moving agencies from valuable underutilized property, targeted for public sale, to facilities determined to be more economically suitable to their needs. Relocation and disposal is considered when the benefit/cost ratio is at least 2:1. The sale of these valuable underutilized properties would provide significant revenue to the Treasury and would far outweigh the relocation costs involved.

No appropriation is requested for this program in 2016. General Services Administration will solicit relocation proposals from agencies.

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-5254-0-2-804	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	83	64	65
Receipts:			
Current law:			
1130 Receipts of Rent, Leases and Lease Payments for Government Owned Real Property .....		3	3
1130 Other Receipts, Surplus Real and Related Personal Property .....	1	12	12
1130 Transfers of Surplus Real and Related Personal Property Receipts .....	-11	-6	-6
1199 Total current law receipts .....	-10	9	9
1999 Total receipts .....	-10	9	9
2000 Total: Balances and receipts .....	73	73	74
Appropriations:			
Current law:			
2101 Disposal of Surplus Real and Related Personal Property .....	-2	-9	-9
2132 Disposal of Surplus Real and Related Personal Property .....	1	1	
2199 Total current law appropriations .....	-1	-8	-9
2999 Total appropriations .....	-1	-8	-9
Special and trust fund receipts returned:			
3010 Disposal of Surplus Real and Related Personal Property .....	1		
5098 Unappropriated receipt adjustment .....	-9		
5099 Balance, end of year .....	64	65	65

Program and Financing (in millions of dollars)

Identification code 047-5254-0-2-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Real Property Utilization and Disposal .....	1	8	9
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	9	9
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	1	8	9
1930 Total budgetary resources available .....	1	8	9
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	3	2	5
1953 Expired unobligated balance, end of year .....	2	5	5
1954 Unobligated balance canceling .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	2
3010 Obligations incurred, unexpired accounts .....	1	8	9
3020 Outlays (gross) .....		-7	-9
3050 Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	2
3200 Obligated balance, end of year .....	1	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	8	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....		7	8
4101 Outlays from mandatory balances .....			1
4110 Outlays, gross (total) .....		7	9
4180 Budget authority, net (total) .....	1	8	9
4190 Outlays, net (total) .....		7	9

This mandatory appropriation provides for the efficient disposal of real property assets that no longer meet the needs of landholding Federal agencies. Fees of auctioneers, brokers, appraisers, and environmental consultants; surveying costs; costs of advertising; costs of environmental and historical preservation services; highest and best use of property studies; property utilization studies; deed compliance inspections; and other disposal costs are paid out of receipts from disposals in each year. GSA leverages

the expertise of auctioneers and brokers familiar with local markets to accelerate the disposal of surplus real property.

**Object Classification** (in millions of dollars)

Identification code 047-5254-0-2-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	8	8
25.3 Other goods and services from Federal sources .....			1
99.9 Total new obligations .....	1	8	9

**SUPPLY AND TECHNOLOGY ACTIVITIES**

*Federal Funds*

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 047-5250-0-2-804	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	30	31	31
0198 Unappropriated receipt adjustment .....	-1		
0199 Balance, start of year .....	29	31	31
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Recoveries of Transportation Charges .....	10	11	12
2000 Total: Balances and receipts .....	39	42	43
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Expenses of Transportation Audit Contracts and Contract Administration .....	-13	-13	-13
2132 Expenses of Transportation Audit Contracts and Contract Administration .....	1	1	
2199 Total current law appropriations .....	-12	-12	-13
2999 Total appropriations .....	-12	-12	-13
<b>Special and trust fund receipts returned:</b>			
3010 Expenses of Transportation Audit Contracts and Contract Administration .....	4	1	1
5099 Balance, end of year .....	31	31	31

**Program and Financing** (in millions of dollars)

Identification code 047-5250-0-2-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Audit contracts and contract administration .....	11	12	13
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	13	13	13
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	12	12	13
1930 Total budgetary resources available .....	12	12	13
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Special and non-revolving trust funds:</b>			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	9	8	8
1953 Expired unobligated balance, end of year .....	7	8	8
1954 Unobligated balance canceling .....	4	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	10	12
3010 Obligations incurred, unexpired accounts .....	11	12	13
3020 Outlays (gross) .....	-9	-10	-11
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	10	12	14
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	9	10	12
3200 Obligated balance, end of year .....	10	12	14

**Budget authority and outlays, net:**

Identification code	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	12	12	13
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	6	10	8
4101 Outlays from mandatory balances .....	3		3
4110 Outlays, gross (total) .....	9	10	11
4180 Budget authority, net (total) .....	12	12	13
4190 Outlays, net (total) .....	9	10	11

This permanent, indefinite appropriation provides for the detection and recovery of overpayments to carriers for Government moves under rate and service agreements established by GSA or by other Federal agency transportation managers. Program expenses are financed from overcharges collected from transportation service providers (TSPs) as a result of post payment audits that examine the validity, propriety, and conformity of charges with the proper rate authority. Funds recovered in excess of expenses are returned to the U.S. Treasury. In fiscal year 2015, the program returned \$1 million to the U.S. Treasury, after covering operating costs of \$11 million.

**Object Classification** (in millions of dollars)

Identification code 047-5250-0-2-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	5	6	7
25.3 Other goods and services from Federal sources .....	2	2	2
99.9 Total new obligations .....	11	12	13

**Employment Summary**

Identification code 047-5250-0-2-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	31	37	37

ACQUISITION SERVICES FUND

**Program and Financing** (in millions of dollars)

Identification code 047-4534-0-4-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0850 Assisted Acquisition Services (AAS) - Flow-Thru .....	4,425	4,375	4,641
0851 Integrated Technology Services (ITS) - Flow-Thru .....	1,465	1,445	1,542
0852 General Supplies and Services (GSS) - Flow-Thru .....	801	877	896
0853 Travel, Motor Vehicles and Card Services (TMVCS) - Flow-Thru .....	2,689	2,713	2,782
0854 18F Flow-Thru .....	7	36	79
0855 Integrated Acquisition Environment .....	113	112	104
0856 Acquisition Services Fund - Operating .....	1,014	1,113	1,059
0900 Total new obligations .....	10,514	10,671	11,103
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,074	1,849	1,636
1021 Recoveries of prior year unpaid obligations .....	230	250	250
1050 Unobligated balance (total) .....	2,304	2,099	1,886
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	9,888	10,208	10,730
1801 Change in uncollected payments, Federal sources .....	171		
1850 Spending auth from offsetting collections, mand (total) .....	10,059	10,208	10,730
1930 Total budgetary resources available .....	12,363	12,307	12,616
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,849	1,636	1,513
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,601	5,000	5,213
3010 Obligations incurred, unexpired accounts .....	10,514	10,671	11,103
3020 Outlays (gross) .....	-9,885	-10,208	-10,730
3040 Recoveries of prior year unpaid obligations, unexpired .....	-230	-250	-250

ACQUISITION SERVICES FUND—Continued  
Program and Financing—Continued

Identification code 047-4534-0-4-804	2015 actual	2016 est.	2017 est.
3050 Unpaid obligations, end of year .....	5,000	5,213	5,336
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5,545	-5,716	-5,716
3070 Change in uncollected pymts, Fed sources, unexpired .....	-171		
3090 Uncollected pymts, Fed sources, end of year .....	-5,716	-5,716	-5,716
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-944	-716	-503
3200 Obligated balance, end of year .....	-716	-503	-380
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10,059	10,208	10,730
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,148	5,933	6,784
4101 Outlays from mandatory balances .....	3,737	4,275	3,946
4110 Outlays, gross (total) .....	9,885	10,208	10,730
Offsets against gross budget authority and outlays:			
Offsets collections (collected) from:			
4120 Federal sources .....	-9,109	-10,208	-10,730
4123 Non-Federal sources .....	-779		
4130 Offsets against gross budget authority and outlays (total) ....	-9,888	-10,208	-10,730
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-171		
4170 Outlays, net (mandatory) .....	-3		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-3		

The Acquisition Services Fund (ASF) is a full cost recovery revolving fund that finances operations of the Federal Acquisition Service (FAS). The ASF provides for the acquisition of information technology solutions, telecommunications, motor vehicles, supplies and a wide range of goods and services for federal agencies. This fund recovers all costs through fees charged to federal agencies for services rendered and commodities provided.

The ASF is authorized by section 321 of title 40, United States Code, which requires the Administrator to establish rates to be charged to agencies receiving services that: (1) fully recover costs and (2) provide for the cost and capital requirements of the ASF. The ASF is authorized to retain earnings to cover the cost of replacing fleet vehicles (Replacement Cost Pricing), maintaining supply inventories adequate for customer needs, and funding anticipated operating needs specified by the Cost and Capital Plan.

The ASF is organized around four major business portfolios and three initiatives that deliver solutions to customer agencies:

Integrated Technology Services (ITS)—provides partner agencies with information technology (IT) and telecommunications products and services. ITS provides access to IT services, hardware, software, telecommunications and security services through multiple channels including the Network Services Program, IT Schedule 70, and Government-wide Acquisition Contracts (GWACs). In addition, ITS manages the Federal Public Key Infrastructure.

Assisted Acquisition Services (AAS)—assists agencies in making informed procurement decisions and serves as a center of acquisition excellence for the federal community. AAS provides acquisition, technical, and project management services related to information technology and professional services at the best value.

General Supplies and Services (GSS)—provides partner agencies with general products such as furniture, office supplies, and hardware products. GSS centralizes acquisitions on behalf of the Government to strategically procure goods and services at reduced costs, while ensuring regulatory compliance for partner agency procurements. This portfolio also provides personal property disposal services to partner agencies, which are partially funded by the Operating Expenses appropriation.

Travel, Motor Vehicle and Card Services (TMVCS)—provides partner agencies with a broad scope of services that include travel and relocation, freight management, motor vehicle acquisition, fleet management, and charge card services.

Integrated Award Environment (IAE)—provides a web-based environment that maximizes the power of federal spending data standards. IAE's mission is to work with the federal acquisition workforce and business partners to standardize, integrate, and streamline the federal awarding processes through electronic means, while increasing transparency and ensuring compliance with all applicable federal award regulations .

18F — builds effective, user-centric digital services to improve interaction between government and the people and businesses it serves. It helps agencies deliver on their mission through the development of digital and web services. 18F is financed through the ASF on a reimbursable basis with customer agencies and is managed through GSA's Office of Citizen Services and Innovative Technologies.

Common Acquisition Platform (CAP)—repurposes IT systems and develops new systems in support of GSA-wide acquisition shared services. The newly developed systems provide federal agencies with access to acquisition support data (e.g. prices paid and qualified suppliers) that allows for informed purchases.

Object Classification (in millions of dollars)

Identification code 047-4534-0-4-804	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	296	319	342
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	4	3	4
11.9 Total personnel compensation .....	301	323	347
12.1 Civilian personnel benefits .....	91	97	104
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	5	7	7
22.0 Transportation of things .....	21	5	5
23.1 Rental payments to GSA .....	26	17	16
23.3 Communications, utilities, and miscellaneous charges .....	1,225	1,317	1,386
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	5,047	4,899	5,129
25.2 Other services from non-Federal sources .....	1	5	5
25.3 Other goods and services from Federal sources .....	351	269	264
25.7 Operation and maintenance of equipment .....	172	111	113
26.0 Supplies and materials .....	975	1,059	1,115
31.0 Equipment .....	2,296	2,560	2,610
32.0 Land and structures .....	1		
99.9 Total new obligations .....	10,514	10,671	11,103

Employment Summary

Identification code 047-4534-0-4-804	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	2,960	3,135	3,308

INFORMATION TECHNOLOGY MODERNIZATION FUND

Contingent upon enactment of authorizing legislation to establish an Information Technology Modernization Fund, \$100,000,000, to remain available until expended, is appropriated to such Fund to improve information technology and enhance cybersecurity across the Federal government, finance the retirement of antiquated, legacy information technology systems and transition to new, more secure, more efficient, modern information technology platforms, including the development of information technology products and services for the use of federal agencies in the proper discharge of their responsibilities.

Program and Financing (in millions of dollars)

Identification code 047-4603-2-4-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 IT Modernization and Development .....			100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			100
1930 Total budgetary resources available .....			100

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	100	
3020	Outlays (gross) .....	-50	
3050	Unpaid obligations, end of year .....	50	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....	50	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	100	
Outlays, gross:			
4010	Outlays from new discretionary authority .....	50	
4180	Budget authority, net (total) .....	100	
4190	Outlays, net (total) .....	50	

The Information Technology Modernization Fund (ITMF) is designed to be a full cost recovery revolving fund that finances the retirement of antiquated, legacy IT systems in order to transition to new, more secure, more efficient, modern IT platforms. The fund serves as a long-term, self-sustaining mechanism for Federal agencies to regularly refresh outdated networks and systems with the newest technologies and security capabilities. As funding is allocated to priority agency projects across the Federal Government, it is subsequently replenished by agency repayments to the fund as well as fee-for-service receipts from the development and operation of shared IT platforms.

The fund is to be managed by GSA, including a project review board, comprised of experts in IT acquisition, cybersecurity, and agile development. The board reviews agency modernization proposals and selects projects for funding to ensure prioritization of projects with the greatest risk profile, government-wide impact, and probability of success. In addition, a team of systems architects and developers at GSA provide additional expertise, oversight, and development capabilities as agencies make these major transitions.

**Object Classification** (in millions of dollars)

Identification code 047-4603-2-4-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1	Advisory and assistance services .....	15	
25.3	Other goods and services from Federal sources .....	5	
31.0	Equipment .....	80	
99.9	Total new obligations .....	100	

**INFORMATION TECHNOLOGY MODERNIZATION FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 047-4603-4-4-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	IT Modernization and Development .....		3,000
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		3,000
1900	Budget authority (total) .....		3,000
1930	Total budgetary resources available .....		3,000
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	3,000	
3020	Outlays (gross) .....	-1,500	
3050	Unpaid obligations, end of year .....	1,500	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....	1,500	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	3,000	
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,500	
4180	Budget authority, net (total) .....	3,000	

4190	Outlays, net (total) .....	1,500
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**Object Classification** (in millions of dollars)

Identification code 047-4603-4-4-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	8	
12.1	Civilian personnel benefits .....	3	
23.1	Rental payments to GSA .....	1	
25.1	Advisory and assistance services .....	448	
25.3	Other goods and services from Federal sources .....	154	
31.0	Equipment .....	2,386	
99.9	Total new obligations .....	3,000	

**Employment Summary**

Identification code 047-4603-4-4-808	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....		75

**GENERAL ACTIVITIES**

*Federal Funds*

GOVERNMENT-WIDE POLICY

For expenses authorized by law, not otherwise provided for, for Government-wide policy and evaluation activities associated with the management of real and personal property assets and certain administrative services; Government-wide policy support responsibilities relating to acquisition, travel, motor vehicles, *green buildings*, information technology management, and related technology activities; *the collection and evaluation of data from departments and agencies relating to activities described herein; oversight and management of Government-wide shared service mission support functions*; and services as authorized by 5 U.S.C. 3109; **[\$58,000,000]** \$64,497,000. (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 047-0401-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Government-wide policy .....	58	64
0801	Government-wide Policy (Reimbursable) .....	19	36
0900	Total new obligations .....	77	100
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	13	12
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	58	64
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	18	36
1900	Budget authority (total) .....	76	100
1930	Total budgetary resources available .....	89	112
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	38	44
3010	Obligations incurred, unexpired accounts .....	77	100
3011	Obligations incurred, expired accounts .....	1	
3020	Outlays (gross) .....	-71	-99
3041	Recoveries of prior year unpaid obligations, expired .....	-1	
3050	Unpaid obligations, end of year .....	44	46
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1
3071	Change in uncollected pymts, Fed sources, expired .....	1	
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	36	41
3200	Obligated balance, end of year .....	43	45

GOVERNMENT-WIDE POLICY—Continued  
Program and Financing—Continued

Identification code 047-0401-0-1-804	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	76	97	100
Outlays, gross:			
4010 Outlays from new discretionary authority .....	35	65	66
4011 Outlays from discretionary balances .....	36	34	30
4020 Outlays, gross (total) .....	71	99	96
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-18	-39	-36
4180 Budget authority, net (total) .....	58	58	64
4190 Outlays, net (total) .....	53	60	60

This appropriation provides for the activities of the Office of Government-wide Policy (OGP). OGP works cooperatively with other agencies to provide the leadership needed to develop and evaluate policies associated with high-performing green buildings and real property; acquisition policy and training; personal property; travel, transportation management, motor vehicles and aircraft; committee management; information sharing and cybersecurity; oversight and management of Government-wide shared service mission support functions; and transparency of regulatory information. In its work, OGP identifies policies to drive savings, efficiency, and effectiveness.

Object Classification (in millions of dollars)

Identification code 047-0401-0-1-804	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	15	17	22
11.8 Special personal services payments .....	1	1	.....
11.9 Total personnel compensation .....	16	18	22
12.1 Civilian personnel benefits .....	4	5	6
25.1 Advisory and assistance services .....	22	19	21
25.2 Other services from non-Federal sources .....	.....	3	.....
25.3 Other goods and services from Federal sources .....	15	12	13
99.0 Direct obligations .....	57	57	62
99.0 Reimbursable obligations .....	19	38	36
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations .....	77	97	100

Employment Summary

Identification code 047-0401-0-1-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	120	142	170
2001 Reimbursable civilian full-time equivalent employment .....	21	38	35

OPERATING EXPENSES

[(INCLUDING TRANSFER OF FUNDS)]

For expenses authorized by law, not otherwise provided for, for Government-wide activities associated with utilization and donation of surplus personal property; disposal of real property; agency-wide policy direction, management, and communications; [(the Civilian Board of Contract Appeals;] and services as authorized by 5 U.S.C. 3109; [\$58,560,000, of which \$25,979,000 is for Real and Personal Property Management and Disposal; \$23,397,000 is for the Office of the Administrator] \$50,174,000, of which not to exceed \$7,500 is for official reception and representation expenses]; and \$9,184,000 is for the Civilian Board of Contract Appeals: *Provided*, That not to exceed 5 percent of the appropriation made available under this heading for Office of the Administrator may be transferred to the appropriation for the Real and Personal Property Management and Disposal upon notification to the Committees on Appropriations of the House of Representatives and the Senate, but the appropriation for the Real and Personal Property Management and Disposal may not be increased by more than 5 percent by any such transfer]. (*Financial Services and General Government Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 047-0110-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses (Direct) .....	58	59	50
0801 Operating Expenses (Reimbursable) .....	4	15	15
0900 Total new obligations .....	62	74	65
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	59	50
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	15	15
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	5	15	15
1900 Budget authority (total) .....	66	74	65
1930 Total budgetary resources available .....	67	76	67
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	8	8
3010 Obligations incurred, unexpired accounts .....	62	74	65
3020 Outlays (gross) .....	-62	-74	-67
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	8	8	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	5	5
3200 Obligated balance, end of year .....	5	5	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	66	74	65
Outlays, gross:			
4010 Outlays from new discretionary authority .....	57	64	57
4011 Outlays from discretionary balances .....	5	10	10
4020 Outlays, gross (total) .....	62	74	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4060 Additional offsets against budget authority only (total) .....	-1	.....	.....
4070 Budget authority, net (discretionary) .....	61	59	50
4080 Outlays, net (discretionary) .....	58	59	52
4180 Budget authority, net (total) .....	61	59	50
4190 Outlays, net (total) .....	58	59	52

Object Classification (in millions of dollars)

Identification code 047-0110-0-1-804	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	28	29	25
11.3 Other than full-time permanent .....	3	3	2
11.9 Total personnel compensation .....	31	32	27

This appropriation supports a variety of operational activities which are not feasible or appropriate for a user fee arrangement. Major programs include the personal property utilization and donation activities of the Federal Acquisition Service; the real property utilization and disposal activities of the Public Buildings Service; and Executive Management and Administration activities including support of government-wide emergency response and recovery activities; and top-level agency-wide management, administration, and communications activities.

12.1	Civilian personnel benefits .....	9	8	8
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	1
25.1	Advisory and assistance services .....	2	2	1
25.3	Other goods and services from Federal sources .....	13	13	12
99.0	Direct obligations .....	58	58	50
99.0	Reimbursable obligations .....	3	15	15
99.5	Adjustment for rounding .....	1	1	.....
99.9	Total new obligations .....	62	74	65

**Employment Summary**

Identification code 047-0110-0-1-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	290	288	274
2001 Reimbursable civilian full-time equivalent employment .....	14	16	16

**CIVILIAN BOARD OF CONTRACT APPEALS**

For expenses authorized by law, not otherwise provided for, for the activities associated with the Civilian Board of Contract Appeals, \$9,275,000.

**Program and Financing** (in millions of dollars)

Identification code 047-0610-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	.....	9
0801 Reimbursable program activity .....	.....	.....	1
0900 Total new obligations .....	.....	.....	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	9
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	.....	1
1900 Budget authority (total) .....	.....	.....	10
1930 Total budgetary resources available .....	.....	.....	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	10
3020 Outlays (gross) .....	.....	.....	-10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	.....	-1
4180 Budget authority, net (total) .....	.....	.....	9
4190 Outlays, net (total) .....	.....	.....	9

The Civilian Board of Contract Appeals (CBCA) is an independent tribunal with worldwide jurisdiction housed within the General Services Administration. The CBCA presides over various disputes involving Federal executive branch agencies. Its primary responsibility is to resolve contract disputes between Government contractors and agencies under the Contract Disputes Act.

**Object Classification** (in millions of dollars)

Identification code 047-0610-0-1-804	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	.....	.....	5
12.1 Civilian personnel benefits .....	.....	.....	1
23.3 Communications, utilities, and miscellaneous charges .....	.....	.....	2
25.3 Other goods and services from Federal sources .....	.....	.....	1
99.0 Direct obligations .....	.....	.....	9
99.5 Adjustment for rounding .....	.....	.....	1
99.9 Total new obligations .....	.....	.....	10

**Employment Summary**

Identification code 047-0610-0-1-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	41

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General and service authorized by 5 U.S.C. 3109, **[\$65,000,000] \$66,000,000**, of which \$2,000,000 is available until expended: *Provided*, That not to exceed \$50,000 shall be available for payment for information and detection of fraud against the Government, including payment for recovery of stolen Government property: *Provided further*, That not to exceed \$2,500 shall be available for awards to employees of other Federal agencies and private citizens in recognition of efforts and initiatives resulting in enhanced Office of Inspector General effectiveness. (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 047-0108-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	58	65	66
0802 Office of Inspector General (Reimbursable) .....	.....	1	1
0900 Total new obligations .....	58	66	67
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	65	65	66
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	1	1
1900 Budget authority (total) .....	65	66	67
1930 Total budgetary resources available .....	67	70	71
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	8	8
3010 Obligations incurred, unexpired accounts .....	58	66	67
3020 Outlays (gross) .....	-58	-66	-67
3050 Unpaid obligations, end of year .....	8	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	8
3200 Obligated balance, end of year .....	8	8	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	66	67
Outlays, gross:			
4010 Outlays from new discretionary authority .....	54	55	56
4011 Outlays from discretionary balances .....	4	11	11
4020 Outlays, gross (total) .....	58	66	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	-1	-1
4180 Budget authority, net (total) .....	65	65	66
4190 Outlays, net (total) .....	58	65	66

This appropriation provides agency-wide audit, investigative, and inspection functions to identify and correct management and administrative deficiencies within the General Services Administration (GSA), including conditions for existing or potential instances of fraud, waste, and mismanagement. This audit function provides internal audit and contract audit services. Contract audits provide professional advice to GSA contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of GSA operations and programs, test internal control systems, and develop information to improve operating efficiencies and enhance customer services. The investigative function provides for the

OFFICE OF INSPECTOR GENERAL—Continued

detection and investigation of improper and illegal activities involving GSA programs, personnel, and operations. The inspection function supplements traditional audits and investigations by providing systematic and independent assessments of the design, implementation, and/or results of GSA's operations, programs, or policies.

**Object Classification** (in millions of dollars)

Identification code 047-0108-0-1-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	34	35
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	33	36	37
12.1 Civilian personnel benefits .....	12	12	12
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	2	2
25.3 Other goods and services from Federal sources .....	4	5	5
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	58	65	66
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations .....	58	66	67

**Employment Summary**

Identification code 047-0108-0-1-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	290	326	326
2001 Reimbursable civilian full-time equivalent employment .....	2	3	3

ELECTRONIC GOVERNMENT (E-GOV) FUND

**Program and Financing** (in millions of dollars)

Identification code 047-0600-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Electronic Government (E-GOV) Fund (Direct) .....	5		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	1	
1010 Unobligated balance transfer to other accts [047-4549] .....	-2	-1	
1050 Unobligated balance (total) .....	6		
1930 Total budgetary resources available .....	6		
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	3	
3010 Obligations incurred, unexpired accounts .....	5		
3020 Outlays (gross) .....	-10	-3	
3050 Unpaid obligations, end of year .....	3		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	10	3	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	10	3	

Beginning in fiscal year 2015, the E-Gov program and funding is merged with the Federal Citizen Services Fund.

**Object Classification** (in millions of dollars)

Identification code 047-0600-0-1-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	4		
25.3 Other goods and services from Federal sources .....	1		
99.9 Total new obligations .....	5		

ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS

For carrying out the provisions of the Act of August 25, 1958 (3 U.S.C. 102 note), and Public Law 95-138, **[\$3,277,000] \$3,865,000.** (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 047-0105-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Allowances, pensions, and office staff .....	3	3	4
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3	3	4
1930 Total budgetary resources available .....	3	3	4
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	3	3	4
3020 Outlays (gross) .....	-3	-3	-4
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Budget authority, gross:</b>			
4000 Budget authority, gross .....	3	3	4
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	3	4
4180 Budget authority, net (total) .....	3	3	4
4190 Outlays, net (total) .....	3	3	4

This appropriation provides pensions, office staffs, and related expenses for former Presidents Jimmy Carter, George H.W. Bush, William Clinton, George W. Bush, and future former President Barack Obama, and for the postal franking privileges for the widow of former President Ronald Reagan.

**Object Classification** (in millions of dollars)

Identification code 047-0105-0-1-802	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
13.0 Benefits for former Presidents .....	1	1	1
23.1 Rental payments to GSA .....	1	1	2
99.0 Direct obligations .....	2	2	3
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	3	3	4

EXPENSES, PRESIDENTIAL TRANSITION

For necessary expenses to carry out the Presidential Transition Act of 1963, as amended, \$9,500,000, of which not to exceed \$1,000,000 is for activities authorized by subsections 3(a)(8) and 3(a)(9) of the Act: Provided, That such amounts may be transferred and credited to the "Acquisition Services Fund" or "Federal Buildings Fund" to reimburse obligations incurred prior to enactment of this Act for the purposes provided herein related to the Presidential election in 2016: Provided further, That amounts available under this heading shall be in addition to any other amounts available for such purposes.

**Program and Financing** (in millions of dollars)

Identification code 047-0107-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Presidential Transition .....			10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			10
1930 Total budgetary resources available .....			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			10
3020 Outlays (gross) .....			-10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			10
Outlays, gross:			
4010 Outlays from new discretionary authority .....			10
4180 Budget authority, net (total) .....			10
4190 Outlays, net (total) .....			10

This appropriation provides for an orderly transfer of Executive leadership in accordance with the Presidential Transition Act of 1963, as amended. These expenses include costs of \$1,000,000 provided for briefing personnel associated with the incoming administration. New appropriations are generally requested only in Presidential election years.

**Object Classification** (in millions of dollars)

Identification code 047-0107-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....			5
21.0 Travel and transportation of persons .....			1
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....			2
99.0 Direct obligations .....			9
99.5 Adjustment for rounding .....			1
99.9 Total new obligations .....			10

**PRE-ELECTION PRESIDENTIAL TRANSITION**  
(INCLUDING TRANSFER OF FUNDS)

For activities authorized by the Pre-Election Presidential Transition Act of 2010 (Public Law 111-283), not to exceed \$13,278,000, to remain available until September 30, 2017: *Provided*, That such amounts may be transferred and credited to "Acquisition Services Fund" or "Federal Buildings Fund" to reimburse obligations incurred for the purposes provided herein in fiscal year 2015 and 2016: *Provided further*, That amounts made available under this heading shall be in addition to any other amounts available for such purposes. (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 047-0603-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Pre-Election Transition .....		11	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		13	
1930 Total budgetary resources available .....		13	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		2	

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		11	2
3020 Outlays (gross) .....		-11	-2

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....		13	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		11	
4011 Outlays from discretionary balances .....			2
4020 Outlays, gross (total) .....		11	2
4180 Budget authority, net (total) .....		13	
4190 Outlays, net (total) .....		11	2

In accordance with the Pre-Election Transition Act of 2010, the Pre-Election Presidential Transition appropriation will enable GSA to provide suitable office space for transition activities, provide compensation to transition office staffs, acquire communication services, provide allowances for travel and subsistence, and support printing and postage costs associated with the transition.

**Object Classification** (in millions of dollars)

Identification code 047-0603-0-1-802	2015 actual	2016 est.	2017 est.
Direct obligations:			
23.1 Rental payments to GSA .....		3	1
25.3 Other goods and services from Federal sources .....		8	1
99.9 Total new obligations .....		11	2

**ACQUISITION WORKFORCE TRAINING FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 047-5381-0-2-804	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	4	2	2
Receipts:			
Current law:			
1140 Acquisition Workforce Training Fund .....	7	8	9
2000 Total: Balances and receipts .....	11	10	11
Appropriations:			
Current law:			
2101 Acquisition Workforce Training Fund .....	-9	-8	-9
5099 Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 047-5381-0-2-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Acquisition Workforce Training .....	7	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	15	13
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	13	15	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	8	9
1930 Total budgetary resources available .....	22	23	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	13	12
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	2	2	
1953 Expired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	11	12
3010 Obligations incurred, unexpired accounts .....	7	10	10
3020 Outlays (gross) .....	-9	-9	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	11	12	14

ACQUISITION WORKFORCE TRAINING FUND—Continued  
Program and Financing—Continued

Identification code 047-5381-0-2-804	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14	11	12
3200 Obligated balance, end of year .....	11	12	14
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	9	8	9
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	9	9	8
4180 Budget authority, net (total) .....	9	8	9
4190 Outlays, net (total) .....	9	9	8

The Acquisition Workforce Training Fund (AWTF) is a permanent, indefinite appropriation providing a stable source of funds to train the Federal civilian acquisition workforce. The AWTF is financed through a credit of five percent of the fees collected from non-Department of Defense activities by the General Services Administration (GSA) and other civilian agencies that manage Government-wide Acquisition Contracts (GWACs), Multiple Award Schedules (MAS) contracts, and other multi-agency contracts. Receipts are available for expenditure in the fiscal year collected, as well as the two following fiscal years. The AWTF is managed by the Federal Acquisition Institute (FAI) at GSA, in consultation with the White House Office of Federal Procurement Policy and the FAI Board of Directors.

Object Classification (in millions of dollars)

Identification code 047-5381-0-2-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1		
25.3 Other goods and services from Federal sources .....	6	10	10
99.9 Total new obligations .....	7	10	10

FEDERAL CITIZEN SERVICES FUND  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Office of Citizen Services and Innovative Technologies, including services authorized by 40 U.S.C. 323 and 44 U.S.C. 3604; and for necessary expenses in support of interagency projects that enable the Federal Government to enhance its ability to conduct activities electronically, through the development and implementation of innovative uses of information technology; **[\$55,894,000] \$58,428,000**, to be deposited into the Federal Citizen Services Fund: *Provided*, That the previous amount may be transferred to Federal agencies to carry out the purpose of the Federal Citizen Services Fund: *Provided further*, That the appropriations, revenues, reimbursements, and collections deposited into the Fund shall be available until expended for necessary expenses of Federal Citizen Services and other activities that enable the Federal Government to enhance its ability to conduct activities electronically **in the aggregate amount not to exceed \$90,000,000**: *Provided further*, That appropriations, revenues, reimbursements, and collections accruing to this Fund during fiscal year 2016 in excess of such amount shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts: *Provided further*, That any appropriations provided to the Electronic Government Fund that remain unobligated may be transferred to the Federal Citizen Services Fund: *Provided further*, That the transfer authorities provided herein shall be in addition to any other transfer authority provided in this Act. (*Financial Services and General Government Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 047-4549-0-4-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Citizen Services and Innovative Technologies .....	35	32	37
0002 Electronic Government .....	13	16	15
0003 Digital Services .....	4	8	7
0799 Total direct obligations .....	52	56	59
0802 Federal Citizen Services Fund (Reimbursable) .....	6	14	8

0900 Total new obligations .....	58	70	67
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**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	13	12	13
1011 Unobligated balance transfer from other acct [047-0600] ...	2	1	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	16	13	13
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	53	56	58
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	8	14	7
1701 Change in uncollected payments, Federal sources .....	-7		
1750 Spending auth from offsetting collections, disc (total) .....	1	14	7
1900 Budget authority (total) .....	54	70	65
1930 Total budgetary resources available .....	70	83	78
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	13	11

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	24	15
3010 Obligations incurred, unexpired accounts .....	58	70	67
3020 Outlays (gross) .....	-47	-79	-73
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	24	15	9
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	20	11
3200 Obligated balance, end of year .....	20	11	5

**Budget authority and outlays, net:**  
Discretionary:

4000 Budget authority, gross .....	54	70	65
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	35	70	65
4011 Outlays from discretionary balances .....	12	9	8
4020 Outlays, gross (total) .....	47	79	73
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-8	-14	-7
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	7		
4070 Budget authority, net (discretionary) .....	53	56	58
4080 Outlays, net (discretionary) .....	39	65	66
4180 Budget authority, net (total) .....	53	56	58
4190 Outlays, net (total) .....	39	65	66

**Memorandum (non-add) entries:**

5096 Unexpired unavailable balance, SOY: Appropriations .....	2	2	2
5098 Unexpired unavailable balance, EOY: Appropriations .....	2	2	2

The Federal Citizen Services Fund appropriation provides for the salaries and expenses of GSA's Office of Citizen Services and Innovative Technologies (OCSIT). OCSIT develops and delivers user-centric shared services, solutions, platforms and practices to improve information and service delivery across government, enabling more efficient, effective, citizen-centered government.

The Federal Citizen Services Fund (FCSF) enables citizen access and engagement with government through an array of operational programs and direct citizen facing services. FCSF initiatives allow individuals, businesses, other governments, and the media to easily find and use federal information, services, benefits, and business opportunities via the internet, phone, email, and print. The Fund supports agency facing programs that drive government-wide transformation to digital, citizen centric government through shared services, platforms and solutions, and by providing technical expertise to agencies on projects that leverage digital technologies. Extensive communities of practice in key areas including social media, mobile computing, user experience, prize and challenge competitions, and contact centers serve as a catalyst to drive adoption and improvement of digital services through development and sharing of best practices, training, and

establishment of working groups to address tactical needs. Electronic Government (E-Gov) initiatives will continue to drive innovation in Government operations, using IT to improve the transparency, efficiency and effectiveness of Federal operations, and increase citizen participation in Government.

The FCSF is financed from annual appropriations to pay for the salaries and expenses of OCSIT staff and Citizen Services programs. Reimbursements from Federal agencies pay for the direct costs of information services OCSIT provides on behalf of the agencies. The FCSF also allows for user fees for publications ordered by the public, payments from private entities for services rendered, and gifts from the public. All income is available without regard to fiscal year limitations. OCSIT also includes the Office of 18F, a digital services development and delivery organization which houses the Presidential Innovation Fellows Program (PIF). 18F and the PIF program are funded on a reimbursable basis outside the FCSF by the Acquisition Services Fund (ASF).

**Object Classification** (in millions of dollars)

Identification code 047-4549-0-4-376	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	11	9	9
11.9 Total personnel compensation .....	11	9	9
12.1 Civilian personnel benefits .....	3	3	2
25.1 Advisory and assistance services .....	25	34	38
25.3 Other goods and services from Federal sources .....	12	10	10
99.0 Direct obligations .....	51	56	59
Reimbursable obligations:			
25.1 Advisory and assistance services .....	1	4	4
25.3 Other goods and services from Federal sources .....	5	10	3
99.0 Reimbursable obligations .....	6	14	7
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations .....	58	70	67

**Employment Summary**

Identification code 047-4549-0-4-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	91	97	97

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 047-4540-0-4-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Lapsed Balances .....	3	10	
0801 Working Capital Fund (Reimbursable) .....	706	715	673
0900 Total new obligations .....	709	725	673
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	119	98	46
1012 Unobligated balance transfers between expired and unexpired accounts .....	4		
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	132	98	46
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	678	673	658
1701 Change in uncollected payments, Federal sources .....	-3		
1750 Spending auth from offsetting collections, disc (total) .....	675	673	658
1900 Budget authority (total) .....	675	673	658
1930 Total budgetary resources available .....	807	771	704
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98	46	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	242	274	232

3010 Obligations incurred, unexpired accounts .....	709	725	673
3020 Outlays (gross) .....	-668	-767	-724
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
3050 Unpaid obligations, end of year .....	274	232	181
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	229	264	222
3200 Obligated balance, end of year .....	264	222	171

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	675	673	658
Outlays, gross:			
4010 Outlays from new discretionary authority .....	504	572	559
4011 Outlays from discretionary balances .....	164	195	165
4020 Outlays, gross (total) .....	668	767	724
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-678	-673	-658
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3		
4080 Outlays, net (discretionary) .....	-10	94	66
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-10	94	66

The Working Capital Fund (WCF) is a full cost recovery revolving fund that finances administrative support services for the General Services Administration (GSA). These administrative services include information technology management, budget and financial management, payroll services, legal advice and services, human resources, equal employment opportunity services, oversight of GSA contracting activities, emergency planning and response, and oversight of facilities management for GSA-occupied space and other administrative services. This account also funds liaison activities with the U.S. Small Business Administration to ensure that small and disadvantaged businesses receive a fair share of the agency's business. The WCF also finances administrative services such as human resource management and financial management for several small agencies and commissions on a reimbursable basis.

**Object Classification** (in millions of dollars)

Identification code 047-4540-0-4-804	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	1	
31.0 Equipment .....	2	9	
99.0 Direct obligations .....	3	10	
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	212	216	215
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	3	3	2
11.9 Total personnel compensation .....	217	220	218
12.1 Civilian personnel benefits .....	77	91	91
13.0 Benefits for former personnel .....	3	2	1
21.0 Travel and transportation of persons .....	2	3	3
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	34	27	27
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	27	28	26
25.1 Advisory and assistance services .....	231	206	187
25.2 Other services from non-Federal sources .....	2		
25.3 Other goods and services from Federal sources .....	50	76	63
25.7 Operation and maintenance of equipment .....	12		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	47	60	55
32.0 Land and structures .....	1		
99.0 Reimbursable obligations .....	706	715	673
99.9 Total new obligations .....	709	725	673

WORKING CAPITAL FUND—Continued  
Employment Summary

Identification code 047-4540-0-4-804	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	2,046	2,079	2,062

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
047-322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts .....	101	26	26
General Fund Offsetting receipts from the public .....	101	26	26
Intragovernmental payments:			
047-388500 Undistributed Intragovernmental Payments and			
Receivables from Cancelled Accounts .....	-2	11	11
General Fund Intragovernmental payments .....	-2	11	11

ADMINISTRATIVE PROVISIONS—GENERAL SERVICES ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

SEC. 510. Funds available to the General Services Administration shall be available for the hire of passenger motor vehicles.

SEC. 511. Funds in the Federal Buildings Fund made available for fiscal year [2016] 2017 for Federal Buildings Fund activities may be transferred between such activities only to the extent necessary to meet program requirements: *Provided*, That any proposed transfers shall be [approved] submitted in advance [by] to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 512. Except as otherwise provided in this title, [funds made available by this Act shall be used to transmit] a fiscal year [2017] 2018 request for United States Courthouse construction [only if the request] transmitted using funds made available by this Act should: (1) [meets] meet the design guide standards for construction as established and approved by the General Services Administration, the Judicial Conference of the United States, and the Office of Management and Budget; (2) [reflects] reflect the priorities of the Judicial Conference of the United States as set out in its approved 5-year construction plan; and (3) [includes] include a standardized courtroom utilization study of each facility to be constructed, replaced, or expanded.

SEC. 513. None of the funds provided in this Act may be used to increase the amount of occupiable square feet, provide cleaning services, security enhancements, or any other service usually provided through the Federal Buildings Fund, to any agency that does not pay the rate per square foot assessment for space and services as determined by the General Services Administration in consideration of the Public Buildings Amendments Act of 1972 (Public Law 92-313).

SEC. 514. From funds made available under the heading Federal Buildings Fund, Limitations on Availability of Revenue, claims against the Government of less than \$250,000 arising from direct construction projects and acquisition of buildings may be liquidated from savings effected in other construction projects with prior notification to the Committees on Appropriations of the House of Representatives and the Senate.

[SEC. 515. In any case in which the Committee on Transportation and Infrastructure of the House of Representatives and the Committee on Environment and Public Works of the Senate adopt a resolution granting lease authority pursuant to a prospectus transmitted to Congress by the Administrator of the General Services Administration under 40 U.S.C. 3307, the Administrator shall ensure that the delineated area of procurement is identical to the delineated area included in the prospectus for all lease agreements, except that, if the Administrator determines that the delineated area of the procurement should not be identical to the delineated area included in the prospectus, the Administrator shall provide an explanatory statement to each of such committees and the Committees on Appropriations of the House of Representatives and the Senate prior to exercising any lease authority provided in the resolution.]

SEC. [516] 515. With respect to [each project funded under the heading "Major Repairs and Alterations" or "Judiciary Capital Security Program"] the Federal Buildings Fund construction and acquisition and major repair and alteration programs, and with respect to E-Government projects funded under the heading "Federal Citizen Services Fund", the Administrator of General Services shall submit a spending plan and explanation for each project to be undertaken to the Committees on Appropriations of the House of Representatives and the Senate [not later than 60 days after the date of enactment of this Act] within 15 days prior to any obligation or change in the use of funds.

[SEC. 517. With respect to each project funded under the heading of "new construction projects of the Federal Judiciary", the General Services Administration, in consultation with the Administrative Office of the United States Courts, shall submit a spending plan and description for each project to be undertaken to the Committees on Appropriations of the House of Representatives and the Senate not later than 120 days after the date of enactment of this Act.]

[SEC. 518. With respect to each project funded under the heading of "joint United States courthouses and Federal buildings, including U.S. Post Offices", the General Services Administration shall submit a spending plan and explanation for the projects to be undertaken to the Committees on Appropriations of the House of Representatives and the Senate not later than 60 days after the date of enactment of this Act.]

SEC. 516. Notwithstanding limitations contained in section 572(a) of title 40, United States Code, the Administrator of General Services is authorized to use the fund established in section 572 to assist agencies in identifying, preparing, and divesting real property, to include costs related to identifying and preparing real property to be divested, and costs related to assisting agencies with the identification, preparation and divestiture of real property, and the Administrator is authorized to be reimbursed for such costs from the proceeds of the sale of such properties.

SEC. 517. Notwithstanding geographic limitations contained in section 6701 of title 40, United States Code, the Administrator of General Services is authorized to obligate and expend money transferred to it from the Pennsylvania Avenue Development Corporation, or income earned on transferred Corporation properties, for any real property management and related activities within the area contained in section 6711 of title 40, United States Code. (Financial Services and General Government Appropriations Act, 2016.)

# NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

## Federal Funds

### SCIENCE

For necessary expenses, not otherwise provided for, in the conduct and support of science research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$5,589,400,000]** \$5,302,500,000, to remain available until September 30, **[2017: Provided,** That the formulation and development costs (with development cost as defined under section 30104 of title 51, United States Code) for the James Webb Space Telescope shall not exceed \$8,000,000,000: *Provided further,* That should the individual identified under subsection (c)(2)(E) of section 30104 of title 51, United States Code, as responsible for the James Webb Space Telescope determine that the development cost of the program is likely to exceed that limitation, the individual shall immediately notify the Administrator and the increase shall be treated as if it meets the 30 percent threshold described in subsection (f) of section 30104: *Provided further,* That, of the amounts provided, \$175,000,000 is for an orbiter with a lander to meet the science goals for the Jupiter Europa mission as outlined in the most recent planetary science decadal survey: *Provided further,* That the National Aeronautics and Space Administration shall use the Space Launch System as the launch vehicle for the Jupiter Europa mission, plan for a launch no later than 2022, and include in the fiscal year 2017 budget the 5-year funding profile necessary to achieve these goals **]** 2018. (*Science Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 080-0120-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Science (Direct) .....	5,274	5,589	5,302
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	277	273	273
1021 Recoveries of prior year unpaid obligations .....	27		
1050 Unobligated balance (total) .....	304	273	273
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,245	5,589	5,302
1120 Appropriations transferred to other accts CECR [080-0130] .....	-2		
1160 Appropriation, discretionary (total) .....	5,243	5,589	5,302
1930 Total budgetary resources available .....	5,547	5,862	5,575
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	273	273	273
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,175	3,253	3,379
3010 Obligations incurred, unexpired accounts .....	5,274	5,589	5,302
3011 Obligations incurred, expired accounts .....	19		
3020 Outlays (gross) .....	-5,172	-5,463	-5,424
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27		
3041 Recoveries of prior year unpaid obligations, expired .....	-16		
3050 Unpaid obligations, end of year .....	3,253	3,379	3,257
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,175	3,253	3,379
3200 Obligated balance, end of year .....	3,253	3,379	3,257
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,243	5,589	5,302
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,277	2,740	2,599
4011 Outlays from discretionary balances .....	2,895	2,723	2,825
4020 Outlays, gross (total) .....	5,172	5,463	5,424
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		

Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	5,243	5,589	5,302
4080 Outlays, net (discretionary) .....	5,171	5,463	5,424
4180 Budget authority, net (total) .....	5,243	5,589	5,302
4190 Outlays, net (total) .....	5,171	5,463	5,424

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	5,243	5,589	5,302
Outlays .....	5,171	5,463	5,424
Legislative proposal, subject to PAYGO:			
Budget Authority .....			298
Outlays .....			146
Total:			
Budget Authority .....	5,243	5,589	5,600
Outlays .....	5,171	5,463	5,570

The Science appropriation provides for NASA's science mission, which is comprised of the agency's Earth and space science programs: Earth Science, Planetary Science, Heliophysics, the James Webb Space Telescope, and Astrophysics. These programs, which are managed by the Science Mission Directorate, seek to answer fundamental questions concerning the ways in which Earth's climate is changing; the comparison of Earth with other planets in the solar system and around other stars; the connections among the Sun, Earth, and heliosphere; and the origin and evolution of planetary systems, the galaxy, and the universe, including the origin and distribution of life in the universe. Program objectives are pursued through robotic flight missions, ground-based scientific research and data analysis, and the development of new technologies for future missions.

The Science appropriation provides for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and test and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

#### Object Classification (in millions of dollars)

Identification code 080-0120-0-1-252	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	240	241	249
11.3 Other than full-time permanent .....	6	4	4
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	248	247	255
12.1 Civilian personnel benefits .....	70	73	75
21.0 Travel and transportation of persons .....	23	23	24
22.0 Transportation of things .....	11	11	12
23.2 Rental payments to others .....	4	4	5
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	83	85	87
25.2 Other services from non-Federal sources .....	199	200	202
25.3 Other goods and services from Federal sources .....	147	150	153
25.4 Operation and maintenance of facilities .....	15	16	17
25.5 Research and development contracts .....	3,696	3,987	3,662
25.7 Operation and maintenance of equipment .....	77	79	81
26.0 Supplies and materials .....	31	31	31
31.0 Equipment .....	47	48	49
41.0 Grants, subsidies, and contributions .....	618	630	643
99.9 Total new obligations .....	5,274	5,589	5,302

SCIENCE—Continued  
Employment Summary

Identification code 080-0120-0-1-252	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,908	1,894	1,875

SCIENCE  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 080-0120-4-1-252	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 Science (Direct) .....			298
0900 Total new obligations (object class 25.5) .....			298

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			298
1930 Total budgetary resources available .....			298

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			298
3020 Outlays (gross) .....			-146
3050 Unpaid obligations, end of year .....			152
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			152

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			298
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			146
4180 Budget authority, net (total) .....			298
4190 Outlays, net (total) .....			146

AERONAUTICS

For necessary expenses, not otherwise provided for, in the conduct and support of aeronautics research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$640,000,000]** \$634,500,000, to remain available until September 30, **[2017]** 2018. (Science Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 080-0126-0-1-402	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 Aeronautics (Direct) .....	653	640	635
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**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	18	13	13
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	24	13	13
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	651	640	635
1120 Appropriations transferred to other acct [080-0130] .....	-9		
1160 Appropriation, discretionary (total) .....	642	640	635
1930 Total budgetary resources available .....	666	653	648
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	13	13	13

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	253	322	379
3010 Obligations incurred, unexpired accounts .....	653	640	635
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-578	-583	-638
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	322	379	376
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	253	322	379
3200 Obligated balance, end of year .....	322	379	376

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	642	640	635
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	358	313	311
4011 Outlays from discretionary balances .....	220	270	327
4020 Outlays, gross (total) .....	578	583	638
4180 Budget authority, net (total) .....	642	640	635
4190 Outlays, net (total) .....	578	583	638

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	642	640	635
Outlays .....	578	583	638
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			56
Outlays .....			27
<b>Total:</b>			
Budget Authority .....	642	640	691
Outlays .....	578	583	665

This appropriation provides for the full costs associated with NASA's Aeronautics Research mission which aims to expand the boundaries of aeronautical knowledge for the benefit of the nation and the broad aeronautics community. The mission is managed by NASA's Aeronautics Research Mission Directorate, and consists of the following integrated research programs: Airspace Operations and Safety, Advanced Air Vehicles, Integrated Aviation Systems, and Transformative Aeronautics Concepts. Full costs of these programs cover all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs. Costs include labor, travel, procurement, test, and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

Object Classification (in millions of dollars)

Identification code 080-0126-0-1-402	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	145	149	156
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	148	152	159
12.1 Civilian personnel benefits .....	50	45	47
21.0 Travel and transportation of persons .....	7	7	8
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	11	11	12
25.2 Other services from non-Federal sources .....	30	30	32
25.3 Other goods and services from Federal sources .....	8	8	8
25.4 Operation and maintenance of facilities .....	33	33	34
25.5 Research and development contracts .....	235	223	200
25.7 Operation and maintenance of equipment .....	36	36	37
26.0 Supplies and materials .....	14	14	14
31.0 Equipment .....	31	31	33
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	44	44	45
99.9 Total new obligations .....	653	640	635

**Employment Summary**

Identification code 080–0126–0–1–402	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,283	1,248	1,255

**AERONAUTICS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 080–0126–4–1–402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Aeronautics (Direct) .....			56
0900 Total new obligations (object class 25.5) .....			56
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			56
1930 Total budgetary resources available .....			56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			56
3020 Outlays (gross) .....			–27
3050 Unpaid obligations, end of year .....			29
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			29
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			56
Outlays, gross:			
4100 Outlays from new mandatory authority .....			27
4180 Budget authority, net (total) .....			56
4190 Outlays, net (total) .....			27

**21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS, NASA**

This account provides support for research and development for revolutionary low carbon emission aircraft, including associated transportation systems, as part of a multiagency effort to enable a 21st century clean transportation system.

**21ST CENTURY CLEAN TRANSPORTATION PLAN INVESTMENTS, NASA**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 080–5900–4–2–402	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Aeronautics .....			100
0900 Total new obligations (object class 25.5) .....			100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			100
1930 Total budgetary resources available .....			100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			100
3020 Outlays (gross) .....			–49
3050 Unpaid obligations, end of year .....			51
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			51
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			49

4180 Budget authority, net (total) .....	100
4190 Outlays, net (total) .....	49

**SPACE TECHNOLOGY**

For necessary expenses, not otherwise provided for, in the conduct and support of space technology research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$686,500,000]** \$690,600,000, to remain available until September 30, **[2017: Provided, That \$133,000,000 shall be for the RESTORE satellite servicing program for completion of pre-formulation and initiation of formulation activities for RESTORE and such funds shall not support activities solely needed for the asteroid redirect mission] 2018. (Science Appropriations Act, 2016.)**

**Program and Financing** (in millions of dollars)

Identification code 080–0131–0–1–252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Space Technology (Direct) .....	575	687	691
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	48	48
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	27	48	48
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	596	687	691
1930 Total budgetary resources available .....	623	735	739
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	48	48
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	344	378	501
3010 Obligations incurred, unexpired accounts .....	575	687	691
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	–535	–564	–675
3040 Recoveries of prior year unpaid obligations, unexpired .....	–6		
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	378	501	517
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	344	378	501
3200 Obligated balance, end of year .....	378	501	517
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	596	687	691
Outlays, gross:			
4010 Outlays from new discretionary authority .....	247	240	242
4011 Outlays from discretionary balances .....	288	324	433
4020 Outlays, gross (total) .....	535	564	675
4180 Budget authority, net (total) .....	596	687	691
4190 Outlays, net (total) .....	535	564	675

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	596	687	691
Outlays .....	535	564	675
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			136
Outlays .....			48
<b>Total:</b>			
Budget Authority .....	596	687	827
Outlays .....	535	564	723

This appropriation provides for the full costs associated with program activities under the NASA Space Technology Mission Directorate which

SPACE TECHNOLOGY—Continued

conducts research and development in space technologies serving multiple customers within NASA, private industry, academia, and other government agencies. The full costs provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and those associated with fabrication, tests and flight demonstrations. The Space Technology appropriation also supports the operations of the NASA Office of the Chief Technologist.

The programs within Space Technology enable new missions by drawing on talent from the NASA workforce, academia, small businesses and the broader space enterprise to deliver innovative solutions that dramatically improve technological capabilities for NASA and the Nation. The appropriation funds several programs, including Agency Technology and Innovation, Small Business Innovative Research (SBIR), Small Business Technology Transfer (STTR), Space Technology Research and Development. Performance goals associated with these activities are addressed in NASA's detailed budget request.

Object Classification (in millions of dollars)

Identification code 080-0131-0-1-252	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	91	83	87
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	92	84	88
12.1 Civilian personnel benefits .....	27	26	27
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	2	2	2
25.1 Advisory and assistance services .....	23	24	25
25.2 Other services from non-Federal sources .....	31	32	33
25.3 Other goods and services from Federal sources .....	9	9	9
25.4 Operation and maintenance of facilities .....	2	2	2
25.5 Research and development contracts .....	339	491	487
25.7 Operation and maintenance of equipment .....	6	7	8
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	34		
99.9 Total new obligations .....	575	687	691

Employment Summary

Identification code 080-0131-0-1-252	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	754	721	722

SPACE TECHNOLOGY

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 080-0131-4-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Space Technology (Direct) .....			136
0900 Total new obligations (object class 25.5) .....			136
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			136
1930 Total budgetary resources available .....			136
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			136
3020 Outlays (gross) .....			-48
3050 Unpaid obligations, end of year .....			88
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			88

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....			136
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			48
4180 Budget authority, net (total) .....			136
4190 Outlays, net (total) .....			48

EXPLORATION

For necessary expenses, not otherwise provided for, in the conduct and support of exploration research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$4,030,000,000]** \$3,163,900,000, to remain available until September 30, **[2017: Provided,** That not less than \$1,270,000,000 shall be for the Orion Multi-Purpose Crew Vehicle: *Provided further,* That not less than \$2,000,000,000 shall be for the Space Launch System (SLS) launch vehicle, which shall have a lift capability not less than 130 metric tons and which shall have core elements and an enhanced upper stage developed simultaneously: *Provided further,* That of the amounts provided for SLS, not less than \$85,000,000 shall be for enhanced upper stage development: *Provided further,* That \$410,000,000 shall be for exploration ground systems: *Provided further,* That the National Aeronautics and Space Administration shall provide to the Committees on Appropriations of the House of Representatives and the Senate, concurrent with the annual budget submission, a 5-year budget profile and funding projection that adheres to a 70 percent Joint Confidence Level and is consistent with the Key Decision Point C (KDP-C) for the SLS and with the management agreement contained in the KDP-C for the Orion Multi-Purpose Crew Vehicle: *Provided further,* That \$350,000,000 shall be for exploration research and development.] **2018. (Science Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 080-0124-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Exploration (Direct) .....	4,469	4,030	3,164
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	105	58	58
1021 Recoveries of prior year unpaid obligations .....	55		
1050 Unobligated balance (total) .....	160	58	58
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4,357	4,030	3,164
1121 Appropriations transferred from other acct CECR [080-0130] .....	4		
1121 Appropriations transferred from other acct [080-0115] ...	6		
1160 Appropriation, discretionary (total) .....	4,367	4,030	3,164
1930 Total budgetary resources available .....	4,527	4,088	3,222
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	58	58	58
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,923	1,502	1,772
3010 Obligations incurred, unexpired accounts .....	4,469	4,030	3,164
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-4,837	-3,760	-3,526
3040 Recoveries of prior year unpaid obligations, unexpired .....	-55		
3041 Recoveries of prior year unpaid obligations, expired .....	-11		
3050 Unpaid obligations, end of year .....	1,502	1,772	1,410
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,923	1,502	1,772
3200 Obligated balance, end of year .....	1,502	1,772	1,410
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,367	4,030	3,164
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,869	2,418	1,898

4011	Outlays from discretionary balances .....	1,968	1,342	1,628
4020	Outlays, gross (total) .....	4,837	3,760	3,526
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-1		
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	4,367	4,030	3,164
4080	Outlays, net (discretionary) .....	4,836	3,760	3,526
4180	Budget authority, net (total) .....	4,367	4,030	3,164
4190	Outlays, net (total) .....	4,836	3,760	3,526

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	4,367	4,030	3,164
Outlays .....	4,836	3,760	3,526
Legislative proposal, subject to PAYGO:			
Budget Authority .....			173
Outlays .....			104
Total:			
Budget Authority .....	4,367	4,030	3,337
Outlays .....	4,836	3,760	3,630

This appropriation provides for costs associated with NASA's development of systems and capabilities required for human exploration of space. The systems and capabilities include launch and crew vehicles for missions beyond low Earth orbit, technologies and countermeasures to keep astronauts healthy and functional during deep space missions, and technologies to reduce launch mass and the cost of deep space missions. The full costs provide for the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

NASA's human space exploration programs include Space Launch System, Orion, Exploration Ground Systems, Advanced Exploration Systems, and Human Research. The programs are managed by NASA's Human Exploration and Operations Mission Directorate, which also manages programs in the Space Operations account.

**Object Classification** (in millions of dollars)

Identification code 080-0124-0-1-252	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	356	366	380
11.3 Other than full-time permanent .....	3	2	2
11.5 Other personnel compensation .....	2	1	1
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	362	370	384
12.1 Civilian personnel benefits .....	106	115	119
13.0 Benefits for former personnel .....		1	1
21.0 Travel and transportation of persons .....	16	16	16
22.0 Transportation of things .....	7	7	8
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
25.1 Advisory and assistance services .....	313	319	325
25.2 Other services from non-Federal sources .....	39	39	40
25.3 Other goods and services from Federal sources .....	47	47	48
25.4 Operation and maintenance of facilities .....	111	113	115
25.5 Research and development contracts .....	3,173	2,703	1,804
25.7 Operation and maintenance of equipment .....	105	107	109
26.0 Supplies and materials .....	21	22	22
31.0 Equipment .....	61	62	62
32.0 Land and structures .....	33	33	33
41.0 Grants, subsidies, and contributions .....	72	73	74
99.9 Total new obligations .....	4,469	4,030	3,164

**Employment Summary**

Identification code 080-0124-0-1-252	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,037	3,010	2,996

**EXPLORATION**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 080-0124-4-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Exploration (Direct) .....			173
0900 Total new obligations (object class 25.5) .....			173
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			173
1930 Total budgetary resources available .....			173
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			173
3020 Outlays (gross) .....			-104
3050 Unpaid obligations, end of year .....			69
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			69
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			173
Outlays, gross:			
4100 Outlays from new mandatory authority .....			104
4180 Budget authority, net (total) .....			173
4190 Outlays, net (total) .....			104

**EDUCATION**

For necessary expenses, not otherwise provided for, in the conduct and support of aerospace and aeronautical education research and development activities, including research, development, operations, support, and services; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$115,000,000]** \$100,100,000, to remain available until September 30, **[2017, of which \$18,000,000 shall be for the Experimental Program to Stimulate Competitive Research and \$40,000,000 shall be for the National Space Grant College program]** 2018. (Science Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 080-0128-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Education (Direct) .....	111	115	100
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	25	34	34
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	26	34	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	119	115	100
1930 Total budgetary resources available .....	145	149	134
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	34	34	34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	158	159	129
3010 Obligations incurred, unexpired accounts .....	111	115	100
3011 Obligations incurred, expired accounts .....	1		

EDUCATION—Continued  
Program and Financing—Continued

Identification code 080-0128-0-1-252	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-109	-145	-114
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	159	129	115
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	158	159	129
3200 Obligated balance, end of year .....	159	129	115
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	119	115	100
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	24	21
4011 Outlays from discretionary balances .....	95	121	93
4020 Outlays, gross (total) .....	109	145	114
4180 Budget authority, net (total) .....	119	115	100
4190 Outlays, net (total) .....	109	145	114

This appropriation provides for the full costs associated with NASA's education activities within the Office of Education. NASA's Education program works to educate and inspire students at all levels to pursue careers in the fields of science, technology, engineering, and mathematics (STEM). The full costs provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

The Budget continues NASA's efforts to consolidate its education efforts into a more focused portfolio funded through the Office of Education. Additionally, the Budget provides \$25 million to NASA's Science Mission Directorate to support cooperative agreements that will connect NASA science to learners of all ages. NASA will continue to work closely with other agencies and stakeholders to reach a wider group of students and teachers and to support key areas identified by the Federal STEM Education 5-Year Strategic Plan.

NASA's Education appropriation funds a portfolio of activities that provides opportunities to a diverse audience of educators and learners, including women, minorities, and persons with disabilities. NASA will continue to improve STEM education through an internal competitive process that invests in NASA's most effective education programs. NASA's investments will include support for Minority Serving Institutions and community colleges, which generally serve a high proportion of minority students, preparing them for study at a four-year institution.

Object Classification (in millions of dollars)

Identification code 080-0128-0-1-252	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6	7	7
11.8 Special personal services payments .....	1		
11.9 Total personnel compensation .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	7	7	7
25.2 Other services from non-Federal sources .....	9	9	9
25.5 Research and development contracts .....	8	9	9
25.7 Operation and maintenance of equipment .....	4	4	4
41.0 Grants, subsidies, and contributions .....	74	77	62
99.9 Total new obligations .....	111	115	100

Employment Summary

Identification code 080-0128-0-1-252	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	52	60	60

SAFETY, SECURITY AND MISSION SERVICES

For necessary expenses, not otherwise provided for, in the conduct and support of science, aeronautics, space technology, exploration, space operations and education research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; not to exceed \$63,000 for official reception and representation expenses; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$2,768,600,000] \$2,836,800,000**, to remain available until September 30, **[2017] 2018**. (*Science Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 080-0122-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Cross Agency Support (Direct) .....	2,759	2,769	2,837
0801 Cross Agency Support (Reimbursable) .....	2,379	2,692	2,543
0900 Total new obligations .....	5,138	5,461	5,380
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	212	249	270
1021 Recoveries of prior year unpaid obligations .....	31		
1050 Unobligated balance (total) .....	243	249	270
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,758	2,769	2,837
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2,168	2,713	2,724
1701 Change in uncollected payments, Federal sources .....	218		
1750 Spending auth from offsetting collections, disc (total) .....	2,386	2,713	2,724
1900 Budget authority (total) .....	5,144	5,482	5,561
1930 Total budgetary resources available .....	5,387	5,731	5,831
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	249	270	451
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,820	1,859	1,720
3010 Obligations incurred, unexpired accounts .....	5,138	5,461	5,380
3011 Obligations incurred, expired accounts .....	13		
3020 Outlays (gross) .....	-5,051	-5,600	-5,675
3040 Recoveries of prior year unpaid obligations, unexpired .....	-31		
3041 Recoveries of prior year unpaid obligations, expired .....	-30		
3050 Unpaid obligations, end of year .....	1,859	1,720	1,425
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-988	-1,105	-1,105
3070 Change in uncollected pymts, Fed sources, unexpired .....	-218		
3071 Change in uncollected pymts, Fed sources, expired .....	101		
3090 Uncollected pymts, Fed sources, end of year .....	-1,105	-1,105	-1,105
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	832	754	615
3200 Obligated balance, end of year .....	754	615	320

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	5,144	5,482	5,561
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,254	4,385	4,449
4011 Outlays from discretionary balances .....	1,797	1,215	1,226
4020 Outlays, gross (total) .....	5,051	5,600	5,675
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2,026	-2,466	-2,476
4033 Non-Federal sources .....	-232	-247	-248
4040 Offsets against gross budget authority and outlays (total) ....	-2,258	-2,713	-2,724

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-218	
4052	Offsetting collections credited to expired accounts .....	90	
4060	Additional offsets against budget authority only (total) .....	-128	
4070	Budget authority, net (discretionary) .....	2,758	2,769 2,837
4080	Outlays, net (discretionary) .....	2,793	2,887 2,951
4180	Budget authority, net (total) .....	2,758	2,769 2,837
4190	Outlays, net (total) .....	2,793	2,887 2,951

This appropriation provides for NASA's supporting functions associated with the science, aeronautics, space technology, education, space operations, exploration, and construction and environmental compliance and restoration activities of the agency. This account provides for the operations and maintenance; salaries and related expenses; and other general and administrative activities supporting the programs within the Agency's six mission accounts.

Safety, Security, and Mission Services provides a strategic focus for managing agency mission support functions and some of NASA's unique research facilities.

Center Management and Operations includes the basic costs to manage and operate each of the nine NASA field centers and to maintain the technical capabilities required to support the Agency's Mission. This Budget is not directly identified or aligned to a specific program or project requirement, but is necessary for efficient and effective administration and operation of the NASA Centers.

Agency Management and Operations provides for the management and oversight of Agency programs, the performance of Agency-wide activities and functions, and the maintenance of unique research capabilities and facilities. Responsibilities include the determination of programs and projects; establishment of management policies, procedures, and performance criteria; evaluation of progress; and the coordination and integration of all phases of the Agency's mission.

**Object Classification** (in millions of dollars)

Identification code 080-0122-0-1-252	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	916	950 989
11.3	Other than full-time permanent .....	2	19 19
11.5	Other personnel compensation .....	27	24 26
11.9	Total personnel compensation .....	945	993 1,034
12.1	Civilian personnel benefits .....	211	290 302
13.0	Benefits for former personnel .....	2	3 4
21.0	Travel and transportation of persons .....	2	2 2
22.0	Transportation of things .....	4	4 4
23.1	Rental payments to GSA .....	34	35 35
23.2	Rental payments to others .....	3	3 4
23.3	Communications, utilities, and miscellaneous charges .....	66	66 67
24.0	Printing and reproduction .....	4	4 4
25.1	Advisory and assistance services .....	212	185 185
25.2	Other services from non-Federal sources .....	274	280 282
25.3	Other goods and services from Federal sources .....	44	45 45
25.4	Operation and maintenance of facilities .....	248	153 153
25.5	Research and development contracts .....	176	155 158
25.6	Medical care .....	6	8 8
25.7	Operation and maintenance of equipment .....	432	441 445
26.0	Supplies and materials .....	18	19 19
31.0	Equipment .....	35	36 37
32.0	Land and structures .....	25	27 27
41.0	Grants, subsidies, and contributions .....	18	20 22
99.0	Direct obligations .....	2,759	2,769 2,837
99.0	Reimbursable obligations .....	2,379	2,692 2,543
99.9	Total new obligations .....	5,138	5,461 5,380

**Employment Summary**

Identification code 080-0122-0-1-252	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	7,474	7,796 7,775

2001	Reimbursable civilian full-time equivalent employment .....	421	324 317
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**CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION**

For necessary expenses for construction of facilities including repair, rehabilitation, revitalization, and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, and restoration, and acquisition or condemnation of real property, as authorized by law, and environmental compliance and restoration, **[\$388,900,000] \$419,800,000**, to remain available until September 30, **[2021] 2022: Provided**, That proceeds from leases deposited into this account shall be available for a period of 5 years **[to the extent and in amounts as provided in annual appropriations Acts: Provided further**, That such proceeds referred to in the preceding proviso shall be available for obligation for fiscal year 2016 in an amount not to exceed **\$9,470,300]**: **Provided further**, That each annual budget request shall include an annual estimate of gross receipts and collections and proposed use of all funds collected pursuant to section 20145 of title 51, United States Code. (*Science Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 080-0130-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Construction and Environmental Compliance and Restoration (Direct) .....	492	389 420
0801	Construction and Environmental Compliance and Restoration (Reimbursable) .....	3	7 7
0900	Total new obligations .....	495	396 427
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	203	162 160
1021	Recoveries of prior year unpaid obligations .....	19	
1050	Unobligated balance (total) .....	222	162 160
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100	Appropriation .....	419	389 420
1120	Appropriations transferred to other acct Exploration [080-0124] .....	-4	
1121	Appropriations transferred from other acct Space Operations [080-0115] .....	1	
1121	Appropriations transferred from other acct Aeronautics [080-0126] .....	9	
1121	Appropriations transferred from other acct Science [080-0120] .....	2	
1160	Appropriation, discretionary (total) .....	427	389 420
<b>Spending authority from offsetting collections, discretionary:</b>			
1700	Collected .....	8	5 5
1900	Budget authority (total) .....	435	394 425
1930	Total budgetary resources available .....	657	556 585
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	162	160 158
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	804	735 591
3010	Obligations incurred, unexpired accounts .....	495	396 427
3020	Outlays (gross) .....	-545	-540 -427
3040	Recoveries of prior year unpaid obligations, unexpired .....	-19	
3050	Unpaid obligations, end of year .....	735	591 591
<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	804	735 591
3200	Obligated balance, end of year .....	735	591 591
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000	Budget authority, gross .....	435	394 425
<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....	19	59 64
4011	Outlays from discretionary balances .....	526	481 363
4020	Outlays, gross (total) .....	545	540 427
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033	Non-Federal sources .....	-8	-5 -5
4180	Budget authority, net (total) .....	427	389 420
4190	Outlays, net (total) .....	537	535 422

CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION—Continued

This appropriation provides for NASA's construction and environmental compliance and restoration activities, and allows the agency to use the net proceeds from Enhanced Use Leases for maintenance, capital revitalization, and improvement of real property assets and related personal property at NASA Centers under the authority of section 20145 of the National Aeronautics and Space Act (51 U.S.C. 20145). The costs provide for all of the research; development; operations; design, repair, rehabilitation, modification of facilities, and construction of new facilities; and other general and administrative activities required to execute the programs within this account.

Object Classification (in millions of dollars)

Identification code 080-0130-0-1-252	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.1 Advisory and assistance services .....	19	19	20
25.2 Other services from non-Federal sources .....	38	38	40
25.3 Other goods and services from Federal sources .....	16	16	16
25.4 Operation and maintenance of facilities .....	37	37	38
25.5 Research and development contracts .....	18	18	20
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
32.0 Land and structures .....	361	258	282
99.0 Direct obligations .....	492	389	420
99.0 Reimbursable obligations .....	3	7	7
99.9 Total new obligations .....	495	396	427

SPACE OPERATIONS

For necessary expenses, not otherwise provided for, in the conduct and support of space operations research and development activities, including research, development, operations, support and services; space flight, spacecraft control and communications activities, including operations, production, and services; maintenance and repair, facility planning and design; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, **[\$5,029,200,000] \$5,075,800,000**, to remain available until September 30, **[2017] 2018**. (*Science Appropriations Act, 2016*.)

Program and Financing (in millions of dollars)

Identification code 080-0115-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Space Operations (Direct) .....	3,828	5,029	5,076
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	134	169	169
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2		
1021 Recoveries of prior year unpaid obligations .....	37		
1050 Unobligated balance (total) .....	171	169	169
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,828	5,029	5,076
1120 Appropriations transferred to other accts CECR [080-0130] .....	-1		
1120 Appropriations transferred to other acct [080-0124] .....	-6		
1160 Appropriation, discretionary (total) .....	3,821	5,029	5,076
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] ....	2		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3		
1900 Budget authority (total) .....	3,826	5,029	5,076
1930 Total budgetary resources available .....	3,997	5,198	5,245
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	169	169	169
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,490	1,590	1,471

3010 Obligations incurred, unexpired accounts .....	3,828	5,029	5,076
3011 Obligations incurred, expired accounts .....	18		
3020 Outlays (gross) .....	-3,700	-5,148	-5,102
3040 Recoveries of prior year unpaid obligations, unexpired .....	-37		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	1,590	1,471	1,445
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,490	1,590	1,471
3200 Obligated balance, end of year .....	1,590	1,471	1,445
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,824	5,029	5,076
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,204	3,771	3,812
4011 Outlays from discretionary balances .....	1,496	1,377	1,290
4020 Outlays, gross (total) .....	3,700	5,148	5,102
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1		
4033 Non-Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) ....	-5		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	3,821	5,029	5,076
4080 Outlays, net (discretionary) .....	3,695	5,148	5,102
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2		
4180 Budget authority, net (total) .....	3,823	5,029	5,076
4190 Outlays, net (total) .....	3,695	5,148	5,102

This appropriation provides for the full costs associated with the space operations activities of the agency. The full costs include all labor, travel, procurement, test and fabrication costs to execute these programs, which provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities supporting the programs within this account. Major themes within the Space Operations account include the International Space Station, Space Transportation and Space and Flight Support. Performance goals associated with these activities are addressed in NASA's detailed budget request.

The International Space Station is a complex of research laboratories in low Earth orbit in which America and its international partners, including Russia, Canada, Europe, and Japan, conduct unique scientific and technological investigations in a microgravity environment. The objective of the International Space Station is to support human space exploration and conduct science experiments and technology development unique to the on-orbit attributes of the facility.

Space and Flight Support is comprised of multiple capabilities that provide on-going customer support for a wide range of services. The programs include Space Communications and Navigation, Human Space Flight Operations, Launch Services, Rocket Propulsion Testing, and the multi-user 21st Century Space Launch Complex. They are provided to a wide range of customers including NASA, other U.S. Federal agencies, foreign governments, and commercial customers. The programs are managed by NASA's Human Exploration and Operations Mission Directorate, which also manages programs in the Exploration account.

The Space Transportation theme's objective is to transport U.S. astronauts and cargo safely back and forth to America's orbiting national laboratory, ISS. This newly created theme combines the Commercial Crew Program and ISS Crew and Cargo. Maintaining the ISS requires a fleet of vehicles and launch locations to transport astronauts, science experiments, critical supplies, maintenance hardware, replenish propellant, and dispose of waste. The Commercial Crew Program partners with the U.S. private sector to develop and operate safe, reliable, and affordable crew transportation to low-Earth orbit. Within ISS Crew and Cargo Transportation, NASA currently purchases cargo transportation to the ISS under the CRS contracts with Orbital ATK and SpaceX and crew transportation from the Russian Space Agency, Roscosmos.

**Object Classification** (in millions of dollars)

Identification code 080-0115-0-1-252	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	258	253	262
11.3 Other than full-time permanent .....	3	2	2
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	263	256	265
12.1 Civilian personnel benefits .....	76	78	81
21.0 Travel and transportation of persons .....	11	12	12
22.0 Transportation of things .....	1,141	1,164	1,187
23.2 Rental payments to others .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	3	3	2
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	71	72	73
25.2 Other services from non-Federal sources .....	95	97	99
25.3 Other goods and services from Federal sources .....	25	25	25
25.4 Operation and maintenance of facilities .....	49	50	51
25.5 Research and development contracts .....	1,769	2,911	2,912
25.7 Operation and maintenance of equipment .....	271	276	282
26.0 Supplies and materials .....	16	16	16
31.0 Equipment .....	16	17	18
41.0 Grants, subsidies, and contributions .....	19	49	49
99.9 Total new obligations .....	3,828	5,029	5,076

**Employment Summary**

Identification code 080-0115-0-1-252	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,046	2,027	2,017

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, **[\$37,400,000]** \$38,100,000, of which \$500,000 shall remain available until September 30, **[2017]** 2018. (Science Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 080-0109-0-1-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	36	38	38
0801 Office of Inspector General (Reimbursable) .....	1	1	1
0900 Total new obligations .....	37	39	39
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	37	38	38
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	38	39	39
1930 Total budgetary resources available .....	38	40	40
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	2
3010 Obligations incurred, unexpired accounts .....	37	39	39
3020 Outlays (gross) .....	-38	-40	-38
3050 Unpaid obligations, end of year .....	3	2	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	3	2
3200 Obligated balance, end of year .....	3	2	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4000 Budget authority, gross .....	38	39	39
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	34	39	36
4011 Outlays from discretionary balances .....	4	1	2
4020 Outlays, gross (total) .....	38	40	38

Offsets against gross budget authority and outlays:

	2015 actual	2016 est.	2017 est.
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	37	38	38
4190 Outlays, net (total) .....	37	39	37

This appropriation provides for the full costs associated with the operations of the NASA Office of Inspector General. The mission of the Office of Inspector General is to conduct audits and investigations of agency activities to prevent and detect fraud, waste, abuse, and mismanagement. The Inspector General keeps the Administrator and the Congress informed of problems and deficiencies in agency programs and operations.

**Object Classification** (in millions of dollars)

Identification code 080-0109-0-1-252	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	22	24	24
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	1	2	2
99.0 Direct obligations .....	35	38	38
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations .....	37	39	39

**Employment Summary**

Identification code 080-0109-0-1-252	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	187	188	189
2001 Reimbursable civilian full-time equivalent employment .....	7	7	7

**SCIENCE, AERONAUTICS, AND EXPLORATION**

**Program and Financing** (in millions of dollars)

Identification code 080-0114-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Science, Aeronautics, and Exploration (Direct) .....	1		
0900 Total new obligations (object class 32.0) .....	1		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
1930 Total budgetary resources available .....	2	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	1		
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1		

NASA's Science, Aeronautics and Exploration account formerly included Science, Aeronautics Research, Exploration Systems and Cross Agency Support Programs. Beginning in 2009, Science, Aeronautics, Exploration, Education and Cross Agency Support were split into five separate appro-

SCIENCE, AERONAUTICS, AND EXPLORATION—Continued

priation accounts. The Science, Aeronautics, and Exploration account shows spending from balances prior to the account restructuring.

HUMAN SPACE FLIGHT

Program and Financing (in millions of dollars)

Identification code 080-0111-0-1-252	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	
3020 Outlays (gross) .....		-7	
3050 Unpaid obligations, end of year .....	7		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	
3200 Obligated balance, end of year .....	7		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1		
Outlays, gross:			
4011 Outlays from discretionary balances .....		7	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1	7	

NASA's Human Space Flight account formerly included the International Space Station; Space Shuttle Payload and Expendable Launch Vehicle Support; Human Exploration and Development of Space Investments and Support; Space Communications and Data Systems; and Safety, Mission Assurance and Engineering. Beginning in 2004, Safety, Mission Assurance, and Engineering was allocated as an indirect charge to all programs and all other programs (along with the Crosscutting Technologies portion of the Aerospace Technology Enterprise) were rolled into the Space Flight Capabilities account. In 2005, the Space Flight Capabilities account was renamed the Exploration Capabilities account. In 2009, the Exploration Capabilities account was renamed Space Operations. The Human Space Flight account shows spending from balances prior to the account restructuring.

SCIENCE, AERONAUTICS AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 080-0110-0-1-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

NASA's Science, Aeronautics and Technology account formerly included Space Science, Biological and Physical Research, Earth Science, Aerospace Technology, and Education. Beginning in 2004, Space Science, Biological and Physical Research, Earth Science, the Aeronautics portion of Aerospace Technology, and Education were rolled into the Science, Aeronautics and Exploration account. These programs are now funded by the Aeronautics, Education, Science, and Exploration accounts. The Science, Aeronautics, and Technology account shows spending from balances prior to the account restructuring.

MISSION SUPPORT

Program and Financing (in millions of dollars)

Identification code 080-0112-0-1-999	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

NASA's Mission Support account formerly included Research and Program Management and Construction of Facilities. The Mission Support account shows spending from residual construction of facilities balances from prior to 2004.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 080-4546-0-4-252	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund (Reimbursable) .....	420	433	433
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	10	37
1050 Unobligated balance (total) .....	11	10	37
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	419	460	462
1930 Total budgetary resources available .....	430	470	499
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	37	66
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	141	162	99
3010 Obligations incurred, unexpired accounts .....	420	433	433
3020 Outlays (gross) .....	-399	-496	-469
3050 Unpaid obligations, end of year .....	162	99	63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	141	162	99
3200 Obligated balance, end of year .....	162	99	63
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	419	460	462

Outlays, gross:				
4010	Outlays from new discretionary authority .....	255	391	393
4011	Outlays from discretionary balances .....	144	105	76
4020	Outlays, gross (total) .....	399	496	469
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-408	-450	-452
4033	Non-Federal sources .....	-11	-10	-10
4040	Offsets against gross budget authority and outlays (total) ....	-419	-460	-462
4080	Outlays, net (discretionary) .....	-20	36	7
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-20	36	7

The Working Capital Fund provides goods and services on a reimbursable basis. The Fund consists of three program activities. The first is the Solutions for Enterprise-wide Procurement program, which finances, on an agency-wide basis, scientific and engineering workstation procurement. The second program is the Information Technology Infrastructure Integration Program which consolidates and centralizes management of NASA information technology services in the areas of Tier 1 service desk and ordering, web services and technologies, enterprise business and management applications, integrated network/communications services, end user services, and data center services. The last program, NASA's Shared Services Center, performs selected financial management, human resources, information technology, and procurement services for NASA Headquarters and Centers.

**Object Classification** (in millions of dollars)

Identification code 080-4546-0-4-252	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	13	15	15
12.1	Civilian personnel benefits .....	4	5	5
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	69	69	69
25.4	Operation and maintenance of facilities .....	5	5	5
25.7	Operation and maintenance of equipment .....	328	338	338
99.9	Total new obligations .....	420	433	433

**Employment Summary**

Identification code 080-4546-0-4-252	2015 actual	2016 est.	2017 est.	
2001	Reimbursable civilian full-time equivalent employment .....	142	142	142

**Trust Funds**

SCIENCE, SPACE, AND TECHNOLOGY EDUCATION TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 080-8978-0-7-503	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....	15	15	15
Receipts:				
Current law:				
1140	Earnings on Investments, Science, Space and Technology Education Trust Fund .....	1	1	1
2000	Total: Balances and receipts .....	16	16	16
Appropriations:				
Current law:				
2101	Science, Space, and Technology Education Trust Fund .....	-1	-1	-1
5099	Balance, end of year .....	15	15	15

**Program and Financing** (in millions of dollars)

Identification code 080-8978-0-7-503	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Science, Space, and Technology Education Trust Fund (Direct) .....	1	1	1
0900	Total new obligations (object class 41.0) .....	1	1	1

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....		1	1
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	1	1	1
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	1	1	1

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	16	16	16
5001	Total investments, EOY: Federal securities: Par value .....	16	16	16

NATIONAL SPACE GRANT PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 080-8977-0-7-252	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

Funds for any announced prize otherwise authorized shall remain available, without fiscal year limitation, until [the] a prize is claimed or the offer is withdrawn.

[Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Aeronautics and Space Administration in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers. Balances so transferred shall be merged with and available for the same purposes and the same time period as the appropriations to which transferred. Any transfer pursuant to this provision shall be treated as a reprogramming of funds under section 505 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.]

The spending plan required by this Act shall be provided by NASA at the theme, program, project and activity level. The spending plan, as well as any subsequent change of an amount established in that spending plan that meets the notification requirements of section 505 of this Act, shall be treated as a reprogramming under section 505 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

[The unexpired balances for Commercial Spaceflight Activities contained within the Exploration account may be transferred to the Space Operations account for such activities. Balances so transferred shall be merged with the funds in the Space Operations account and shall be available under the same terms, conditions and period of time as previously appropriated.]

For the closeout of all Space Shuttle contracts and associated programs, amounts that have expired but have not been cancelled in the Exploration, Space Operations, Human Space Flight, Space Flight Capabilities, and Exploration Capabilities appropriations accounts shall remain available through fiscal year 2025 [for the liquidation of valid obligations incurred during the period of fiscal year 2001 through fiscal year 2013].

Section 20145 of title 51 is amended by striking subsection (g). (Science Appropriations Act, 2016.)

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
080-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	15	15

General Fund Offsetting receipts from the public .....	2	15	15
Intragovernmental payments:			
080-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	2		
General Fund Intragovernmental payments .....	2		

# NATIONAL SCIENCE FOUNDATION

## Federal Funds

### RESEARCH AND RELATED ACTIVITIES

For necessary expenses in carrying out the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), and Public Law 86–209 (42 U.S.C. 1880 et seq.); services as authorized by section 3109 of title 5, United States Code; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; and authorized travel; **[\$6,033,645,000]** \$6,079,430,000, to remain available until September 30, **[2017]** 2018, of which not to exceed **[\$540,000,000]** \$544,000,000 shall remain available until expended for polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program: *Provided*, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation. (*Science Appropriations Act, 2016.*)

4011	Outlays from discretionary balances .....	4,713	4,349	4,282
4020	Outlays, gross (total) .....	5,526	5,678	5,620
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-109	-122	-122
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-110	-122	-122
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052	Offsetting collections credited to expired accounts .....	28		
4060	Additional offsets against budget authority only (total) .....	19		
4070	Budget authority, net (discretionary) .....	5,934	6,034	6,079
4080	Outlays, net (discretionary) .....	5,416	5,556	5,498
4180	Budget authority, net (total) .....	5,934	6,034	6,079
4190	Outlays, net (total) .....	5,416	5,556	5,498

### Program and Financing (in millions of dollars)

Identification code 049–0100–0–1–999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Biological Sciences .....	736	744	746
0002 Computer and Information Science and Engineering .....	933	936	939
0003 Engineering .....	924	916	946
0005 Geosciences .....	1,320	1,325	1,320
0006 Mathematical and Physical Sciences .....	1,376	1,355	1,355
0007 Social, Behavioral and Economic Sciences .....	276	272	272
0008 Integrative Activities .....	471	450	451
0009 Office of International Science and Engineering .....	5	49	49
0013 Arctic Research Commission .....	1	1	1
0799 Total direct obligations .....	6,042	6,048	6,079
0801 Research and Related Activities (Reimbursable) .....	95	120	120
0900 Total new obligations .....	6,137	6,168	6,199
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	58	12	
1021 Recoveries of prior year unpaid obligations .....	70		
1050 Unobligated balance (total) .....	128	12	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,934	6,034	6,079
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	82	122	122
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	91	122	122
1900 Budget authority (total) .....	6,025	6,156	6,201
1930 Total budgetary resources available .....	6,153	6,168	6,201
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	12		2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9,174	9,672	10,162
3010 Obligations incurred, unexpired accounts .....	6,137	6,168	6,199
3011 Obligations incurred, expired accounts .....	62		
3020 Outlays (gross) .....	-5,526	-5,678	-5,620
3040 Recoveries of prior year unpaid obligations, unexpired .....	-70		
3041 Recoveries of prior year unpaid obligations, expired .....	-105		
3050 Unpaid obligations, end of year .....	9,672	10,162	10,741
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-117	-98	-98
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	28		
3090 Uncollected pymts, Fed sources, end of year .....	-98	-98	-98
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9,057	9,574	10,064
3200 Obligated balance, end of year .....	9,574	10,064	10,643
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,025	6,156	6,201
Outlays, gross:			
4010 Outlays from new discretionary authority .....	813	1,329	1,338

### Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	5,934	6,034	6,079
Outlays .....	5,416	5,556	5,498
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			346
Outlays .....			69
<b>Total:</b>			
Budget Authority .....	5,934	6,034	6,425
Outlays .....	5,416	5,556	5,567

The Research and Related Activities appropriation enables the United States to provide leadership and promote progress across the expanding frontiers of scientific and engineering research and education.

The major research program activities of NSF are:

**Biological Sciences.**—This activity supports understanding how complex living systems function and interact with each other and with non-living systems, which has direct impact on issues of national importance related to the environment, economy, agriculture, and human welfare. Research in this activity also promotes scientific progress in biology through support of research across scales, from molecules, cells, organisms, to ecosystems. This activity's investment portfolio includes projects on understanding the changing dynamics of the biosphere, research on the fundamental characteristics of biological energy systems, infrastructure and research resources such as databases, centers, and observatories, and efforts to broaden participation and develop the next generation of biological researchers.

**Computer and Information Science and Engineering.**—This activity supports investigator initiated research in all areas of computer and information science and engineering, helps develop and maintain cutting-edge national computational and information infrastructure for research and education generally, and contributes to the education and training of the next generation of computer and computational engineers.

**Engineering.**—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas that are relevant to national problems and long-term needs. This activity also includes small business innovation research.

**Geosciences.**—This activity supports research and associated infrastructure to advance knowledge of the properties and dynamics of the planet on which we live. Research includes understanding the causes and implications of climate change, as well as disruptive processes such as earthquakes and storms. Additionally, this activity supports Arctic and Antarctic research and operational science support and other related activities for United States polar research programs, including the funding to reimburse Federal agencies for logistical and other related activities supported by the United States Antarctic Program.

**Mathematical and Physical Sciences.**—Research in this activity is directed at increasing understanding of natural laws and phenomena across

RESEARCH AND RELATED ACTIVITIES—Continued

the astronomical sciences, chemistry, materials sciences, mathematical sciences, and physics. Research support is available in multiple modalities ranging from multi-user facilities and mid-scale instrumentation to individual investigator awards, from sites for undergraduate research experiences to early career faculty development and collaborative and international efforts.

*Social, Behavioral, and Economic Sciences.*—This activity supports research, education, and infrastructure in the social, behavioral, cognitive, and economic sciences and funds the collection and dissemination of statistics on the science and engineering enterprise.

*Office of International Science and Engineering.*—This activity serves as the focal point of international activities at NSF. In addition to strategic funding and co-funding that targets catalytic partnerships and workforce-building international research opportunities, OISE advances NSF's global science leadership through extensive interactions with U.S. and global counterpart agencies and organizations.

*Integrative Activities.*—This activity supports emerging cross disciplinary research efforts and major research instrumentation. This activity also provides support for the Science and Technology Policy Institute. The Experimental Program to Stimulate Competitive Research broadens participation of States and regions in science and engineering by helping institutions expand their research capacity and competitiveness.

The *United States Arctic Research Commission (USARC)* is an independent agency, funded through NSF's appropriations. USARC promotes Arctic research and recommends national Arctic research policies to guide Federal agencies in developing and implementing their research programs in the Arctic region.

Object Classification (in millions of dollars)

Identification code 049-0100-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	15	15	15
25.1 Advisory and assistance services .....	121	123	130
25.2 Other services from non-Federal sources .....	9	9	9
25.3 Other goods and services from Federal sources .....	78	78	78
25.4 Operation and maintenance of facilities .....	273	270	275
25.5 Research and development contracts .....	6	6	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1		
41.0 Grants, subsidies, and contributions .....	5,538	5,546	5,566
99.0 Direct obligations .....	6,042	6,048	6,079
99.0 Reimbursable obligations .....	95	120	120
99.9 Total new obligations .....	6,137	6,168	6,199

Employment Summary

Identification code 049-0100-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

RESEARCH AND RELATED ACTIVITIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 049-0100-4-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Biological Sciences .....			45
0002 Computer and Information Science and Engineering .....			56
0003 Engineering .....			56
0005 Geosciences .....			79
0006 Mathematical and Physical Sciences .....			82
0007 Social, Behavioral and Economic Sciences .....			16
0008 Integrative Activities .....			9
0009 Office of International Science and Engineering .....			3
0900 Total new obligations (object class 41.0) .....			346

Budgetary resources:

<b>Budget authority:</b>			
Appropriations, mandatory:			
1200	Appropriation .....		346
1930	Total budgetary resources available .....		346

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts .....		346
3020	Outlays (gross) .....		-69
3050	Unpaid obligations, end of year .....		277
<b>Memorandum (non-add) entries:</b>			
3200	Obligated balance, end of year .....		277

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090	Budget authority, gross .....		346
<b>Outlays, gross:</b>			
4100	Outlays from new mandatory authority .....		69
4180	Budget authority, net (total) .....		346
4190	Outlays, net (total) .....		69

MAJOR RESEARCH EQUIPMENT AND FACILITIES CONSTRUCTION

For necessary expenses for the acquisition, construction, commissioning, and upgrading of major research equipment, facilities, and other such capital assets pursuant to the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), including authorized travel, **[\$200,310,000]** \$193,120,000, to remain available until expended. (*Science Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 049-0551-0-1-251	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Major Research Equipment and Facilities Construction .....	145	258	193
0900	Total new obligations (object class 41.0) .....	145	258	193

Budgetary resources:

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....		58	
<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation .....	201	200	193
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2		
1900	Budget authority (total) .....	203	200	193
1930	Total budgetary resources available .....	203	258	193
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	58		

Change in obligated balance:

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	287	174	315
3010	Obligations incurred, unexpired accounts .....	145	258	193
3020	Outlays (gross) .....	-258	-117	-155
3050	Unpaid obligations, end of year .....	174	315	353
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	287	174	315
3200	Obligated balance, end of year .....	174	315	353

Budget authority and outlays, net:

<b>Discretionary:</b>				
4000	Budget authority, gross .....	203	200	193
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....		12	12
4011	Outlays from discretionary balances .....	258	105	143
4020	Outlays, gross (total) .....	258	117	155
<b>Offsets against gross budget authority and outlays:</b>				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-2		
4180	Budget authority, net (total) .....	201	200	193
4190	Outlays, net (total) .....	256	117	155

The Major Research Equipment and Facilities Construction activity supports the acquisition, construction, and commissioning of unique national research platforms and major research facilities and equipment. Performance

of each construction project is measured against an established baseline at regular intervals and at major milestones.

**AGENCY OPERATIONS AND AWARD MANAGEMENT**

For agency operations and award management necessary in carrying out the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.); services authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles; uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; rental of conference rooms in the District of Columbia; and reimbursement of the Department of Homeland Security for security guard services; **[\$330,000,000] \$373,020,000: Provided,** That not to exceed \$8,280 is for official reception and representation expenses: *Provided further,* That contracts may be entered into under this heading in fiscal year **[2016] 2017** for maintenance and operation of facilities and for other services to be provided during the next fiscal year: *Provided further,* That of the amount provided for costs associated with the acquisition, occupancy, and related costs of new headquarters space, not more than **[\$30,770,000] \$40,700,000** shall remain available until expended. (*Science Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 049-0180-0-1-251	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Agency Operations and Award Management .....	307	348	373
0801 Agency Operations and Award Management (Reimbursable) .....	6	10	10
0900 Total new obligations .....	313	358	383
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		18	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	325	330	373
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	10	10
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	6	10	10
1900 Budget authority (total) .....	331	340	383
1930 Total budgetary resources available .....	331	358	383
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	83	90	108
3010 Obligations incurred, unexpired accounts .....	313	358	383
3011 Obligations incurred, expired accounts .....	6		
3020 Outlays (gross) .....	-305	-340	-403
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	90	108	88
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	83	89	107
3200 Obligated balance, end of year .....	89	107	87
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	331	340	383
Outlays, gross:			
4010 Outlays from new discretionary authority .....	252	281	316
4011 Outlays from discretionary balances .....	53	59	87
4020 Outlays, gross (total) .....	305	340	403
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	325	330	373
4080 Outlays, net (discretionary) .....	300	330	393
4180 Budget authority, net (total) .....	325	330	373

4190 Outlays, net (total) .....	300	330	393
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This account funds NSF's scientific, professional, and administrative workforce, the physical and technological infrastructure necessary for a productive, safe and secure work environment, and the essential business operations critical to NSF's administrative processes.

**Object Classification** (in millions of dollars)

Identification code 049-0180-0-1-251	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	142	154	155
11.3 Other than full-time permanent .....	10	10	10
11.5 Other personnel compensation .....	2	2	2
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	155	167	168
12.1 Civilian personnel benefits .....	45	49	51
21.0 Travel and transportation of persons .....	6	5	5
23.1 Rental payments .....	34	34	32
23.3 Communications, utilities, and miscellaneous charges .....	2	4	5
25.1 Advisory and assistance services .....	43	47	54
25.2 Other services from non-Federal sources .....	12	10	12
25.3 Other goods and services from Federal sources .....	6	9	11
25.7 Operation and maintenance of equipment .....	1		2
26.0 Supplies and materials .....	1	3	4
31.0 Equipment .....	2	20	29
99.0 Direct obligations .....	307	348	373
99.0 Reimbursable obligations .....	6	10	10
99.9 Total new obligations .....	313	358	383

**Employment Summary**

Identification code 049-0180-0-1-251	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,285	1,352	1,352

**OFFICE OF THE NATIONAL SCIENCE BOARD**

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, and the employment of experts and consultants under section 3109 of title 5, United States Code) involved in carrying out section 4 of the National Science Foundation Act of 1950 (42 U.S.C. 1863) and Public Law 86-209 (42 U.S.C. 1880 et seq.), **[\$4,370,000] \$4,380,000: Provided,** That not to exceed \$2,500 shall be available for official reception and representation expenses. (*Science Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 049-0350-0-1-251	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of the National Science Board (Direct) .....	4	4	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3

OFFICE OF THE NATIONAL SCIENCE BOARD—Continued  
**Program and Financing**—Continued

Identification code 049-0350-0-1-251	2015 actual	2016 est.	2017 est.
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

This appropriation provides policy-making and related responsibilities for NSF and provides guidance on significant national policy issues in science and engineering research and education, as required by law.

**Object Classification** (in millions of dollars)

Identification code 049-0350-0-1-251	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
99.9 Total new obligations .....	4	4	4

**Employment Summary**

Identification code 049-0350-0-1-251	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	18	19	19

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, **[\$15,160,000]** \$15,200,000, of which \$400,000 shall remain available until September 30, **[2017]** 2018. (*Science Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 049-0300-0-1-251	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	15	15	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	15	15
1930 Total budgetary resources available .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	3
3010 Obligations incurred, unexpired accounts .....	15	15	15
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-15	-14	-15
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	2	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	3
3200 Obligated balance, end of year .....	2	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	12	12
4011 Outlays from discretionary balances .....	3	2	3
4020 Outlays, gross (total) .....	15	14	15
4180 Budget authority, net (total) .....	14	15	15
4190 Outlays, net (total) .....	15	14	15

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which

create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

**Object Classification** (in millions of dollars)

Identification code 049-0300-0-1-251	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	3	3	3
99.9 Total new obligations .....	15	15	15

**Employment Summary**

Identification code 049-0300-0-1-251	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	68	75	69

EDUCATION AND HUMAN RESOURCES

For necessary expenses in carrying out science, mathematics and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), including services as authorized by section 3109 of title 5, United States Code, authorized travel, and rental of conference rooms in the District of Columbia, **[\$880,000,000]** \$898,870,000, to remain available until September 30, **[2017]** 2018. (*Science Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 049-0106-0-1-251	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Education and Human Resources .....	886	886	899
0100 Total Disc obligations .....	886	886	899
0302 Low income scholarship program .....	109	155	75
0303 ITEST grants for Mathematics, Science, or Engineering enrichment courses .....	30	61	25
0391 Total Mandatory Obligations (H-1B) .....	139	216	100
0799 Total direct obligations .....	1,025	1,102	999
0801 Education and Human Resources (Reimbursable) .....	5	15	15
0900 Total new obligations .....	1,030	1,117	1,014
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	125	122	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	16	6	
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	138	122	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	866	880	899
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	141	100	100
1203 Appropriation (previously unavailable) .....	10	7	7
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	-7	
1260 Appropriations, mandatory (total) .....	144	100	107
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	15	15
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	5	15	15
1900 Budget authority (total) .....	1,015	995	1,021
1930 Total budgetary resources available .....	1,153	1,117	1,021
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	122		7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,971	2,104	2,329
3010 Obligations incurred, unexpired accounts .....	1,030	1,117	1,014
3011 Obligations incurred, expired accounts .....	3		

3020	Outlays (gross) .....	-867	-892	-891
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13		
3041	Recoveries of prior year unpaid obligations, expired .....	-20		
3050	Unpaid obligations, end of year .....	2,104	2,329	2,452
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,965	2,099	2,324
3200	Obligated balance, end of year .....	2,099	2,324	2,447

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	871	895	914
Outlays, gross:				
4010	Outlays from new discretionary authority .....	110	138	141
4011	Outlays from discretionary balances .....	686	662	649
4020	Outlays, gross (total) .....	796	800	790
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-6	-15	-15
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	866	880	899
4080	Outlays, net (discretionary) .....	790	785	775
Mandatory:				
4090	Budget authority, gross .....	144	100	107
Outlays, gross:				
4100	Outlays from new mandatory authority .....		11	12
4101	Outlays from mandatory balances .....	71	81	89
4110	Outlays, gross (total) .....	71	92	101
4180	Budget authority, net (total) .....	1,010	980	1,006
4190	Outlays, net (total) .....	861	877	876

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	1,010	980	1,006
Outlays .....	861	877	876
Legislative proposal, subject to PAYGO:			
Budget Authority .....			54
Outlays .....			8
Total:			
Budget Authority .....	1,010	980	1,060
Outlays .....	861	877	884

The Education and Human Resources (EHR) appropriation funds and manages a comprehensive set of programs that further NSF's goals of ensuring a diverse, globally competitive U.S. science, technology, engineering, and mathematics (STEM) workforce, as well as a scientifically literate population. To advance those goals, EHR collaborates with other NSF research units, federal agencies, and promotes public-private partnerships. EHR supports research on STEM teaching and learning to provide the evidence base for improvements in education at all levels in the STEM disciplines. Supporting development and effective implementation of new learning technologies is also a priority. EHR's pre-K-12 education-research programs, for example, develop and test new instruction materials for students and teachers, which incorporate the latest advances in teaching, learning, and education technologies. STEM teacher-education opportunities occur throughout the full continuum, from pre-service and in-service, through life-long learning. Research programs at the undergraduate level improve curricula, strengthen laboratory courses, enhance faculty effectiveness and lead education reforms in STEM disciplines. Advanced technological education programs strengthen student preparation for the high-technology workforce. Support of graduate-level STEM education primarily includes fellowships and traineeships to sustain U.S. leadership in global science and technology. All EHR programs aim to broaden participation of groups underrepresented in STEM fields by, for example, im-

proving infrastructure and academic programs at minority-serving institutions. STEM-education evaluation activities ensure accountability by developing indicators that measure program impact and informing the education community of best practices and other relevant findings. EHR activities also include programs supported by H-1B non-immigrant visa fees, which provide undergraduate and graduate scholarships in STEM disciplines, improve educational opportunities for students, and provide research opportunities for STEM teachers and students.

**Object Classification** (in millions of dollars)

Identification code 049-0106-0-1-251	2015 actual	2016 est.	2017 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	2	2	2
25.1	Advisory and assistance services .....	20	20	20
25.2	Other services from non-Federal sources .....	4	4	4
25.3	Other goods and services from Federal sources .....	2	2	2
41.0	Grants, subsidies, and contributions .....	997	1,074	971
99.0	Direct obligations .....	1,025	1,102	999
99.0	Reimbursable obligations .....	5	15	15
99.9	Total new obligations .....	1,030	1,117	1,014

**EDUCATION AND HUMAN RESOURCES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 049-0106-4-1-251	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0401	Mandatory Programs (Non-H1B) .....			54
0492	Total Mandatory Obligations (Non-H1B) .....			54
0900	Total new obligations (object class 41.0) .....			54

**Budgetary resources:**

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			54
1930	Total budgetary resources available .....			54

**Change in obligated balance:**

Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			54
3020	Outlays (gross) .....			-8
3050	Unpaid obligations, end of year .....			46
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			46

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....			54
Outlays, gross:				
4100	Outlays from new mandatory authority .....			8
4180	Budget authority, net (total) .....			54
4190	Outlays, net (total) .....			8

**Trust Funds**

DONATIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 049-8960-0-7-251	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1130	Donations, National Science Foundation .....	35	35	35
2000	Total: Balances and receipts .....	35	35	35
Appropriations:				
Current law:				
2101	Donations .....	-35	-35	-35
5099	Balance, end of year .....			

**DONATIONS—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 049–8960–0–7–251	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Atacama Large Millimeter Array .....	1	6	1
0002 Gemini Telescope .....	11	22	11
0003 B&M Gates Foundation .....	1	5	1
0004 International Ocean Drilling .....	22	29	22
0005 General Trust Fund .....	.....	2	.....
0900 Total new obligations (object class 41.0) .....	35	64	35
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	29	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	29	29	.....
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	35	35	35
1930 Total budgetary resources available .....	64	64	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	36	65
3010 Obligations incurred, unexpired accounts .....	35	64	35
3020 Outlays (gross) .....	–23	–35	–46
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	36	65	54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	36	65
3200 Obligated balance, end of year .....	36	65	54
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	35	35	35
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	21	21
4101 Outlays from mandatory balances .....	23	14	25

4110 Outlays, gross (total) .....	23	35	46
4180 Budget authority, net (total) .....	35	35	35
4190 Outlays, net (total) .....	23	35	46

This account consists of contributions from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education. These efforts include major international projects, such as operation of the Gemini telescopes, and the NSF ocean discovery program. Other smaller activities supported include cooperative research, joint seminars, exchange of senior scientists, short-term research development visits, international conferences, and support for certain special functions of NSF and the National Science Board. These funds are not otherwise available.

**ADMINISTRATIVE PROVISION**

Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Science Foundation in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than **[10]** 15 percent by any such transfers. Any transfer pursuant to this section shall be treated as a reprogramming of funds under section 505 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section. (*Science Appropriations Act, 2016.*)

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
049–320000 Collections of Receivables from Canceled Accounts .....	1	1	1
049–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	2	2
General Fund Offsetting receipts from the public .....	3	3	3

# OFFICE OF PERSONNEL MANAGEMENT

## Federal Funds

### SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

For necessary expenses to carry out functions of the Office of Personnel Management (OPM) pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; advances for reimbursements to applicable funds of OPM and the Federal Bureau of Investigation for expenses incurred under Executive Order No. 10422 of January 9, 1953, as amended; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, **[\$120,688,000]** *\$144,867,000*, of which **[\$2,500,000]** *\$37,000,000* shall remain available until expended for **[Federal investigations enhancements]** *information technology infrastructure modernization*, and of which **[\$616,000]** *\$391,000* may be for strengthening the capacity and capabilities of the acquisition workforce (as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 4001 et seq.)), including the recruitment, hiring, training, and retention of such workforce and information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management; and in addition **[\$124,550,000]** *\$144,653,000* for administrative expenses, to be transferred from the appropriate trust funds of OPM without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs, *of which \$6,000,000 shall remain available until expended for coordination of Federal Employees' Retirement System disability benefits with the Social Security Administration in carrying out section 1127A of the Social Security Act.* *Provided*, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), 8958(f)(2)(A), 8988(f)(2)(A), and 9004(f)(2)(A) of title 5, United States Code: *Provided further*, That no part of this appropriation shall be available for salaries and expenses of the Legal Examining Unit of OPM established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: *Provided further*, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year **[2016]** *2017*, accept donations of money, property, and personal services: *Provided further*, That such donations, including those from prior years, may be used for the development of publicity materials to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission. (*Financial Services and General Government Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 024-0100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Employee Services .....	29	33	33
0002 Merit System Audit & Compliance .....	13	13	13
0003 Office of the Chief Financial Officer .....	3	1	1
0004 Office of the Chief Information Officer .....	15	31	55
0005 Executive Services .....	18	20	21
0006 Planning & Policy Analysis .....	7	9	9
0007 Health and Insurance .....	10	12	13
0008 Federal Investigative Services .....	.....	2	.....
0100 Total direct program .....	95	121	145
0799 Total direct obligations .....	95	121	145
0801 Trust Fund activity .....	296	124	145
0900 Total new obligations .....	391	245	290
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	15	15
1050 Unobligated balance (total) .....	13	15	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	96	121	145
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	265	124	145
1701 Change in uncollected payments, Federal sources .....	46	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	311	124	145

1900 Budget authority (total) .....	407	245	290
1930 Total budgetary resources available .....	420	260	305
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-14	.....	.....
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	93	91	71
3010 Obligations incurred, unexpired accounts .....	391	245	290
3011 Obligations incurred, expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-385	-265	-310
3041 Recoveries of prior year unpaid obligations, expired .....	-12	.....	.....
3050 Unpaid obligations, end of year .....	91	71	51
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-114	-119	-119
3070 Change in uncollected pymts, Fed sources, unexpired .....	-46	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	41	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-119	-119	-119
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-21	-28	-48
3200 Obligated balance, end of year .....	-28	-48	-68
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	407	245	290
Outlays, gross:			
4010 Outlays from new discretionary authority .....	332	229	271
4011 Outlays from discretionary balances .....	53	36	39
4020 Outlays, gross (total) .....	385	265	310
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-300	-124	-145
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-46	.....	.....
4052 Offsetting collections credited to expired accounts .....	35	.....	.....
4060 Additional offsets against budget authority only (total) .....	-11	.....	.....
4070 Budget authority, net (discretionary) .....	96	121	145
4080 Outlays, net (discretionary) .....	85	141	165
4180 Budget authority, net (total) .....	96	121	145
4190 Outlays, net (total) .....	85	141	165

OPM's mission is to recruit, retain and honor a world-class workforce for the American people. OPM will lead the way in making the Federal Government the model employer by being the model agency in implementing best practices, leading by example, and becoming the change we want to see. The 2017 Budget will permit OPM programs to prioritize their activities in support of the OPM strategic plan for FY 2014-2018. In addition, the 2017 Budget will enable OPM to implement and sustain agency network upgrades and security software maintenance to ensure a stronger, more reliable and protected OPM network architecture. This funding provides critical support to defend the OPM IT network against cybersecurity incidents, and positions OPM to maintain the ongoing critical updates initiated in 2014.

The functions and objectives of OPM's major organizations are:

**Employee Services.**—Develops human resource (HR) policies for Executive Branch agencies and provides policy direction and leadership in designing, developing and promulgating government-wide human resources systems and programs for recruitment, staffing, classification, pay, leave, training, performance management and recognition, employee development, management of executive resources, work/life/wellness programs and labor and employee relations.

**Merit System Accountability and Compliance.**—Ensures Federal agency human resources programs are effective, efficient, and meet merit system principles and related civil service requirements by working directly with other Federal agency Chief Human Capital Officers, Accountability Program Managers, HR managers and specialists. Improves agency programs that are not in compliance with Federal HR policies and regulation; and improves the effectiveness and efficiency of the agency programs to meet agency mission and objectives.

SALARIES AND EXPENSES—Continued

**Retirement Services Program.**—Administers the Civil Service Retirement System (CSRS) and the Federal Employees Retirement System (FERS), serving Federal retirees and survivors who receive monthly annuity payments. Retirement Services Program will continue to focus on making initial eligibility determinations, adjudicating new retirements, initiating survivor benefit payments, and calculating post retirement changes due to disability and death.

**Planning and Policy Analysis.**—Provides strategic analysis and workforce information for the OPM Director and supports the performance goals of the agency. The scope of PPA analysis spans the full range of human resource management issues facing Federal agencies (such as workforce supply, pay, benefits, diversity) and involves a variety of analytical tools (including actuarial analysis, surveys, economic analysis, and policy analysis).

**Healthcare & Insurance.**—Administers Federal Employees Health Benefit Program (FEHBP), Federal Employee Group Life Insurance (FEGLI) Program, Flexible Spending Account Program (FSAFEDS), Federal Long Term Care Insurance Program (FLTCIP), and Federal Employee Dental Vision Insurance Program (FEDVIP). These programs provide a complete suite of insurance benefits for more than eight million Federal employees, retirees, and their families. Healthcare and Insurance is also responsible for implementing and overseeing the Patient Protection and Affordable Care Act's Multi-State Plan Options.

**Object Classification** (in millions of dollars)

Identification code 024-0100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	44	53	55
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	46	55	57
12.1 Civilian personnel benefits .....	15	15	17
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	14	8	8
25.2 Other services from non-Federal sources .....	17	36	61
31.0 Equipment .....	2	6	1
99.0 Direct obligations .....	95	121	145
99.0 Reimbursable obligations .....	296	124	145
99.9 Total new obligations .....	391	245	290

**Employment Summary**

Identification code 024-0100-0-1-805	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	793	943	970
2001 Reimbursable civilian full-time equivalent employment .....	1,068	798	871

OFFICE OF INSPECTOR GENERAL  
SALARIES AND EXPENSES  
(INCLUDING TRANSFER OF TRUST FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles, **[\$4,365,000]** \$5,072,000, and in addition, not to exceed **[\$22,479,000]** \$26,662,000 for administrative expenses to audit, investigate, and provide other oversight of the Office of Personnel Management's retirement and insurance programs, to be transferred from the appropriate trust funds of the Office of Personnel Management, as determined by the Inspector General: *Provided*, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere. (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 024-0400-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program oversight (audits, investigations, etc.) .....	4	4	5
0801 Office of Inspector General (Reimbursable) .....	21	22	27
0900 Total new obligations .....	25	26	32
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4	4	5
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	18	22	27
1701 Change in uncollected payments, Federal sources .....	3		
1750 Spending auth from offsetting collections, disc (total) .....	21	22	27
1900 Budget authority (total) .....	25	26	32
1930 Total budgetary resources available .....	25	26	32
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	6	1
3010 Obligations incurred, unexpired accounts .....	25	26	32
3020 Outlays (gross) .....	-22	-31	-31
3050 Unpaid obligations, end of year .....	6	1	2
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-6	-1	-6
3200 Obligated balance, end of year .....	-1	-6	-5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	25	26	32
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21	25	31
4011 Outlays from discretionary balances .....	1	6	
4020 Outlays, gross (total) .....	22	31	31
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-21	-22	-27
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	3		
4070 Budget authority, net (discretionary) .....	4	4	5
4080 Outlays, net (discretionary) .....	1	9	4
4180 Budget authority, net (total) .....	4	4	5
4190 Outlays, net (total) .....	1	9	4

This appropriation provides agency-wide audit, investigation, administrative sanction, and debarment functions to identify program management, contractual, and administrative deficiencies that may create conditions for fraud, waste, abuse, and mismanagement. During 2015, the Office of the Inspector General (OIG) activities resulted in positive financial impacts of over \$100 million to the Office of Personnel Management (OPM) managed funds and led to 17 arrests, 29 indictments/information inquiries, 27 criminal convictions, and 845 suspensions or debarments within the Federal Employees Health Benefits Program (FEHBP). The OIG joint efforts with the Department of Justice (DOJ) and other Federal, state, and local law enforcement agencies has resulted in collected fines/penalties/forfeitures to the Federal government totaling over \$39 million.

The Audits function provides audit services covering agency programs and operations, including the FEHBP, the Federal Employees Group Life Insurance (FEGLI) program, the Federal Employees Dental and Vision Insurance Program (FEDVIP), the Federal Long Term Care Insurance Program (FLTCIP), the Federal Flexible Spending Accounts for Federal Employees (FSAFEDS), the Combined Federal Campaign Audits (CFC), the Federal retirement programs, Federal Investigative Services background investigations program and other revolving fund programs and operations, and information systems and security audits. Internal agency audits review

all facets of agency operations, including the oversight of the agency financial statement audit. Insurance audits review the operations of health and life insurance carriers, health care providers, pharmacy benefit managers, and insurance subscribers. Our information systems audits include reviews of general controls, application controls and security within the agency's information systems and programs as well as agency contractor operations within the FEHBP, background investigations program, and other OPM programs.

The investigations function detects and investigates improper and illegal activities involving agency programs, personnel, and operations. A large component of the investigative program involves criminal activities within the FEHBP, retirement and life insurance trust fund programs, as well as the OPM revolving fund programs. Our FEHBP administrative sanctions program debars and suspends health care providers whose loss of licensure or conduct may pose a health and safety risk to FEHBP enrollees and their families or a financial threat to the FEHBP.

The evaluations and inspections function conducts nationwide studies of OPM programs from a broad, issue-based perspective. The work of this group includes special reviews that may arise suddenly, Congressional requests for studies or information that may require immediate attention, or agency management requests for independent assessments or inspections of specific areas of operation or matters of urgent concern. Evaluators and inspectors in this group use a variety of methods and techniques to study, evaluate, assess, and inspect an operation in order to develop recommendations for their reports to agency management, Congress, the Council of the Inspectors General on Integrity and Efficiency (CIGIE), and the public.

In 2017, the OIG will continue its audits and investigations of OPM programs, including the FEHBP and retirement trust fund programs, OPM revolving fund programs, and OPM financial statement oversight and other program areas. The OIG will continue to advance its prescription drug audit program, which includes audits of pharmacy benefit managers. Through these audits, the OIG helps the FEHBP recover inappropriate charges, negotiate more favorable contracts, control future cost growth, and improve benefits provided to program enrollees. The OIG will also continue its FEHBP claims data warehouse initiative in 2017. The system's software tools support a variety of analytical procedures, including data mining, using the data in the warehouse. The project has facilitated more efficient and effective oversight of the FEHBP by enhancing the ability of auditors and investigators to identify improper payments.

OPM has begun a major, agency-wide information technology (IT) Infrastructure Project. Our office will provide oversight through all phases of this project over the course of multiple years. The work performed in this area is essential to the IT security posture of the agency, its systems, and the highly sensitive data contained in these systems.

Another challenge facing the OIG is the oversight of the vast OPM revolving fund programs, most notably the Federal Investigative Services, responsible for the Federal background investigations which have significant national security implications. The revolving fund programs are projected to spend over 1.7 billion in 2017.

The 2017 President's Budget includes funds associated with OPM's implementation of the Patient Protection and Affordable Care Act (ACA), including the Indian Health Care Improvement Reauthorization and Extension Act of 2009 (IHCIA), which was enacted as part of the ACA. The OIG audits and examines Multi-State Plan Program (MSPP) records and accounts that pertain to the MSPP. The OIG will work with MSPP issuers to carry out our oversight responsibilities by ensuring compliance with Federal regulations, the MSPP contract and OPM program guidance. This includes plans to review the business practices exhibited by the MSPPs, including their fraud detection systems, and report findings and recommendations to OPM for further action.

In January 2014, the Congress passed the OPM IG Act (H.R. 2860). This legislation has provided the required resources to fund the OIG for administrative expenses to audit, investigate, and provide other oversight of the activities of the OPM revolving fund programs and operations.

**Object Classification** (in millions of dollars)

Identification code 024-0400-0-1-805	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	4
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	4	4	5
99.0 Reimbursable obligations .....	21	22	27
99.9 Total new obligations .....	25	26	32

**Employment Summary**

Identification code 024-0400-0-1-805	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	141	145	147

**GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS**

**Program and Financing** (in millions of dollars)

Identification code 024-0206-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Government contribution for annuitants benefits (1959 Act) .....	11,695	12,003	12,983
0002 Government contribution for annuitants benefits (1960 Act) .....		1	1
0900 Total new obligations (object class 13.0) .....	11,695	12,004	12,984
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	11,695	12,004	12,984
1930 Total budgetary resources available .....	11,695	12,004	12,984
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,210	1,273	
3010 Obligations incurred, unexpired accounts .....	11,695	12,004	12,984
3020 Outlays (gross) .....	-11,632	-13,277	-12,984
3050 Unpaid obligations, end of year .....	1,273		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,210	1,273	
3200 Obligated balance, end of year .....	1,273		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11,695	12,004	12,984
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10,422	12,004	12,984
4101 Outlays from mandatory balances .....	1,210	1,273	
4110 Outlays, gross (total) .....	11,632	13,277	12,984
4180 Budget authority, net (total) .....	11,695	12,004	12,984
4190 Outlays, net (total) .....	11,632	13,277	12,984

This appropriation covers: 1) the Government's share of the cost of health insurance for annuitants as defined in sections 8901 and 8906 of title 5, United States Code; 2) the Government's share of the cost of health insurance for annuitants (who were retired when the Federal employees health benefits law became effective), as defined in the Retired Federal Employees Health Benefits Act of 1960; and 3) the Government's contribution for payment of administrative expenses incurred by OPM in administration of the Act.

The budget authority for this account recognizes the amounts being remitted by the U.S. Postal Service to finance a portion of its post-1971 annuitants' health benefit costs.

	2015 actual	2016 est.	2017 est.
<b>Annuityants:</b>			
FEHB .....	1,920,029	1,954,000	1,974,000
USPS annuitants (non-add) .....	431,567	431,711	431,711
REHB .....	252	207	171

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS—Continued

Total, annuitants .....	1,920,281	1,954,207	1,974,205
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GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE

Program and Financing (in millions of dollars)

Identification code 024-0500-0-1-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct) .....	45	47	48
0900 Total new obligations (object class 25.2) .....	45	47	48
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	45	47	48
1930 Total budgetary resources available .....	45	47	48
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	6
3010 Obligations incurred, unexpired accounts .....	45	47	48
3020 Outlays (gross) .....	-45	-47	-48
3050 Unpaid obligations, end of year .....	6	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	6
3200 Obligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	45	47	48
Outlays, gross:			
4100 Outlays from new mandatory authority .....	39	41	42
4101 Outlays from mandatory balances .....	6	6	6
4110 Outlays, gross (total) .....	45	47	48
4180 Budget authority, net (total) .....	45	47	48
4190 Outlays, net (total) .....	45	47	48

Per P.L. 96-427, Federal Employees Group Life Insurance Act of 1980, enacted October 10, 1980, this appropriation finances the Government's share of premiums, which is one-third the cost, for Basic life insurance for annuitants retiring after December 31, 1989, and who are less than 65 years old.

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

Program and Financing (in millions of dollars)

Identification code 024-0200-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Payment of Government share of retirement costs .....	11,649	11,801	11,601
0003 Transfers for interest on unfunded liability and payment of military service annuities .....	24,403	25,000	25,800
0005 Spouse equity payment .....	63	63	63
0900 Total new obligations .....	36,115	36,864	37,464
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	24,403	25,000	25,800
1200 Appropriation .....	11,712	11,864	11,664
1260 Appropriations, mandatory (total) .....	36,115	36,864	37,464
1930 Total budgetary resources available .....	36,115	36,864	37,464
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	36,115	36,864	37,464
3020 Outlays (gross) .....	-36,115	-36,864	-37,464

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	36,115	36,864	37,464
Outlays, gross:			
4100 Outlays from new mandatory authority .....	36,115	36,864	37,464
4180 Budget authority, net (total) .....	36,115	36,864	37,464
4190 Outlays, net (total) .....	36,115	36,864	37,464

The Payment to the Civil Service Retirement and Disability Fund consists of an appropriation and a permanent indefinite authorization to pay the Government's share of retirement costs. The payment is made directly from the General Fund of the U.S. Treasury into the Civil Service Retirement and Disability Fund and is in addition to appropriated funds that will be contributed from agency budgets.

*Current Appropriation Payment of Government share of retirement costs.*— The Civil Service Retirement Amendments of 1969 provides for an annual appropriation to amortize, over a 30-year period, all increases in Civil Service Retirement System costs resulting from acts of Congress granting new or liberalized benefits, extensions of coverage, or pay raises, exclusive of the effects of cost-of-living adjustments (COLAs). OPM notifies the Secretary of the Treasury each year of such sums as may be necessary to carry out these provisions.

*Permanent Indefinite Authorization.*—Transfers for interest on static unfunded liability and payment of military service annuities.— The Civil Service Retirement Amendments of 1969 also provides permanent, indefinite authorization for the Secretary of the Treasury to transfer, on an annual basis, an amount equal to 5 percent interest on the Civil Service Retirement and Disability Funds current statutory unfunded liability, calculated based on static economic assumptions, and annuity disbursements attributable to credit for military service.

*Payments for Spouse Equity.*—The permanent, indefinite authorization also includes a payment which provides for the Secretary of the Treasury to transfer an amount equal to the annuities granted to eligible former spouses of annuitants who died between September 1978 and May 1985 who did not elect survivor coverage.

*Financing.*—The unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, as authorized by 5 U.S.C. 8348, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, such sums as may be necessary: *Provided*, That annuities authorized by the Act of May 29, 1944, and the Act of August 19, 1950 (33 U.S.C. 771-775), may hereafter be paid out of the Civil Service Retirement and Disability Fund. (Financial Services and General Government Appropriations Act, 2012.)

Object Classification (in millions of dollars)

Identification code 024-0200-0-1-805	2015 actual	2016 est.	2017 est.
Direct obligations:			
12.1 Civilian personnel benefits .....	11,712	11,864	11,664
13.0 Benefits for former personnel .....	24,403	25,000	25,800
99.9 Total new obligations .....	36,115	36,864	37,464

FLEXIBLE BENEFITS PLAN RESERVE

Program and Financing (in millions of dollars)

Identification code 024-0800-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 FSA FEDS Risk Reserve .....	20	37	31
0900 Total new obligations (object class 25.6) .....	20	37	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	82	69	53
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9	23	25

1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2	-2	.....
1850	Spending auth from offsetting collections, mand (total) .....	7	21	25
1930	Total budgetary resources available .....	89	90	78
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	69	53	47
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010	Obligations incurred, unexpired accounts .....	20	37	31
3020	Outlays (gross) .....	-19	-37	-30
3050	Unpaid obligations, end of year .....	3	3	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	3	3
3200	Obligated balance, end of year .....	3	3	4
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	7	21	25
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	21	25
4101	Outlays from mandatory balances .....	18	16	5
4110	Outlays, gross (total) .....	19	37	30
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-1	-1
4123	Non-Federal sources .....	-8	-22	-24
4130	Offsets against gross budget authority and outlays (total) ....	-9	-23	-25
4160	Budget authority, net (mandatory) .....	-2	-2	.....
4170	Outlays, net (mandatory) .....	10	14	5
4180	Budget authority, net (total) .....	-2	-2	.....
4190	Outlays, net (total) .....	10	14	5
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	2	4	6
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	4	6	6

This account contains reserve resources required under the Office of Personnel Management's contract with the administrator of the Flexible Benefits program. This account is funded by payments from Federal agencies based on the participation of their employees in the program and from net forfeitures, as authorized by the National Defense Authorization Act for Fiscal Year 2004 (P.L. 108-136). Account assets are available to indemnify the administrator when benefit payments exceed contributions, for program enhancements, and for OPM's administration of the program. The reserve account balance currently exceeds that deemed necessary to defray reasonable risk, so account balances are also being used to mitigate Federal agencies' contractual costs for the program. We project cost mitigation to continue at least through 2017.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 024-5391-0-2-551		2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	48,468	49,994	51,060
Receipts:				
Current law:				
1140	Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....	.....	.....	3,213
1140	Earnings on Investments, Postal Service Retiree Health Benefits Fund .....	1,526	1,399	1,403
1140	Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....	.....	-5,800	.....
1140	Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....	.....	5,800	3,608
1199	Total current law receipts .....	1,526	1,399	8,224
Proposed:				
1240	Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....	.....	-333	-815
1999	Total receipts .....	1,526	1,066	7,409
2000	Total: Balances and receipts .....	49,994	51,060	58,469

Appropriations:				
Current law:				
2101	Postal Service Retiree Health Benefits Fund .....	-1,525	-7,199	-7,874
2134	Postal Service Retiree Health Benefits Fund .....	1,525	7,199	4,357
2199	Total current law appropriations .....	.....	.....	-3,517
2999	Total appropriations .....	.....	.....	-3,517
5099	Balance, end of year .....	49,994	51,060	54,952

Program and Financing (in millions of dollars)

Identification code 024-5391-0-2-551		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Obligations to FEHB Fund .....	.....	.....	3,517
0900	Total new obligations (object class 13.0) .....	.....	.....	3,517
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1,525	7,199	7,874
1234	Appropriations precluded from obligation .....	-1,525	-7,199	-4,357
1260	Appropriations, mandatory (total) .....	.....	.....	3,517
1930	Total budgetary resources available .....	.....	.....	3,517
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	.....	.....	3,517
3020	Outlays (gross) .....	.....	.....	-3,517
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	.....	.....	3,517
Outlays, gross:				
4100	Outlays from new mandatory authority .....	.....	.....	3,517
4180	Budget authority, net (total) .....	.....	.....	3,517
4190	Outlays, net (total) .....	.....	.....	3,517
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	48,468	45,237	51,080
5001	Total investments, EOY: Federal securities: Par value .....	45,237	51,080	54,972

The Postal Accountability and Enhancement Act (P.L. 109-435) created the Postal Service Retiree Health Benefits Fund to help fully fund the Postal Service's retiree (annuitant) health benefits liabilities.

This account receives from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) payments defined within P.L. 109-435, and modified by P.L. 111-68, to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; and 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees. This account also receives any surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service.

As a result of this health benefits financing system, beginning in 2017, the Postal Service will cease to pay annual premium costs for its post-1971 current annuitants directly to the Employees and Retired Employees Health Benefits Fund. Instead, these premium payments will be paid from amounts that the Postal Service remits to this fund. Payments for a proportion of the premium costs of Postal Service annuitants' pre-1971 service would continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

Under the Postal Accountability and Enhancement Act of 2006 (P.L. 109-435), USPS must make a stream of payments set in statute through 2016 toward paying down retiree health benefit unfunded liabilities, as well as pay annual Federal Employees Health Benefits Program premiums for current retirees. Also under current law, starting in 2017, USPS must pay the per capita accruing costs (or normal cost) to fund future retiree

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND—Continued

health benefits of current employees and a 40-year amortization of the remaining unfunded liability (UFL) for current retirees. The Budget reflects the assumption that the Postal Service will default on the final statutorily required payment in 2016 consistent with their past practice since 2012. The Budget also reflects partial defaults on amortization payments in each year of the 10 year window to prevent the Postal Service from running unsustainable deficits. The Budget proposes to provide some near-term relief to the Postal Service (USPS) by only requiring amortization payments to fund 80% of the remaining UFL. At the end of the current 40-year amortization, the remaining UFL would be retired through a new amortization schedule. The Budget proposes to codify the statutory RHB prefunding payments that USPS defaulted on in FYs 2012, 2013, 2014, 2015, and the assumed default in 2016. These defaults are factored into the 40-year amortization schedule starting in 2017, but remain on USPS's financial statements in each year as outstanding liabilities. This Budget proposal continues to prudently pre-fund the Postal Service's retiree health obligations, but does so without overburdening USPS's current operations. See also the Postal Service section of this Appendix for information on this proposal.

REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 024-4571-0-4-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Human Resource Solutions .....	306	279	195
0802 Investigation services .....	1,238	1,401	1,451
0803 Human Resources Tools & Technology (HRTT) .....	47	40	41
0804 Enterprise human resources integration .....	45	35	34
0805 USAJOBS .....	12	14	14
0806 Presidential Management Fellows .....	2		
0807 Human Resource Line of Business (HRLoB) .....	2	3	3
0808 Inspector General Activities .....	2		
0900 Total new obligations .....	1,654	1,772	1,738
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	251	337	295
1021 Recoveries of prior year unpaid obligations .....	106		
1050 Unobligated balance (total) .....	357	337	295
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,687	1,730	1,730
1801 Change in uncollected payments, Federal sources .....	-53		
1850 Spending auth from offsetting collections, mand (total) .....	1,634	1,730	1,730
1930 Total budgetary resources available .....	1,991	2,067	2,025
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	337	295	287
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	957	941	983
3010 Obligations incurred, unexpired accounts .....	1,654	1,772	1,738
3020 Outlays (gross) .....	-1,564	-1,730	-1,730
3040 Recoveries of prior year unpaid obligations, unexpired .....	-106		
3050 Unpaid obligations, end of year .....	941	983	991
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-705	-652	-652
3070 Change in uncollected pymts, Fed sources, unexpired .....	53		
3090 Uncollected pymts, Fed sources, end of year .....	-652	-652	-652
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	252	289	331
3200 Obligated balance, end of year .....	289	331	339
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,634	1,730	1,730
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,149	452	1,730
4101 Outlays from mandatory balances .....	415	1,278	

4110 Outlays, gross (total) .....	1,564	1,730	1,730
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,687	-1,730	-1,730
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	53		
4170 Outlays, net (mandatory) .....	-123		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-123		

**Budget Program.**—OPM is authorized to use Revolving Funds without fiscal year limitations to conduct investigations, training, and other functions that OPM is authorized or required to perform on a reimbursable basis. OPM operates several programs, which are funded by fees collected from other agencies and other payments. These include Human Resources Solutions (HRS), Federal Investigative Services (FIS), Enterprise Human Resources Data Warehouse (EHRD), Human Resources Line of Business (HRLOB), Human Resources Tools and Technology (HRTT), and USAJOBS.

Human Resources Solutions (HRS) is a reimbursable services organization offering a complete range of tailored and standardized human resources products and services designed to meet the unique and dynamic needs of the Federal Government. HRS provides customer agencies with innovative, high quality Government-to-Government solutions to help them develop leaders, attract and build a high quality public sector workforce, and achieve long-lasting results. HRS is comprised of five program areas operating under two major reimbursable offerings (Government provided and third-party contractor). These program areas are as follows: the Center for Leadership Development, the Federal Staffing Center, HR Strategy and Evaluation Services, the Training and Management Assistance Program, and the Administrative Law Judges Program. A variety of support services are provided to each Practice Area through the Center for Management Services and the Resource Management Office.

USAJOBS is a centralized secure platform that acts as a portal for Federal recruitment for all Government positions, whether competitively or non-competitively sourced. USAJOBS delivers the service by which Federal agencies meet their legal obligation to provide notice of Federal employment opportunities in the competitive service to Federal employees and the public. The technology and program operations offer Federal agencies and job seekers a modern platform to support online recruitment, marketing and a job application solution.

The Federal Investigative Services program (FIS) provides investigative products and services for more than 100 Federal agencies to use as the basis for suitability or fitness for Federal civilian or contract employment, eligibility for employment in a sensitive position or for an identity credential, or eligibility for access to classified national security information as a civilian or contract employee or as a member of the Armed Forces as required by statute, Executive Orders and other rules and regulations. FIS provides more than 90 percent of the Government's background investigations, conducting more than two million investigations a year. FIS ensures the Federal Government has a suitable workforce that protects national security and is worthy of public trust. FIS is comprised of six subcomponents. They are as follows: Operations, Management Services, Technical Services, External Affairs, Quality Program, and the Office of the Associate Director. Pursuant to a recent interagency review of the background investigations process, the Administration recently announced steps the government is taking to improve the security clearance and background investigation processes for Federal employees, members of the Armed Forces, or contractors. One of the steps will be the creation of a new government-wide service provider for background investigations, the National Background Investigations Bureau (NBIB), to be hosted at OPM. Upon full transition, which will take some time to implement, FIS's existing mission, functions, personnel, and support structure will migrate to NBIB.

The Human Resources Tools and Technology Program provides technology support in the form of IT systems development and hosting, supplying both internal and external customers a wide variety of information technology services in the human resources arena.

The Human Resources Line Of Business (HRLOB) is essential to OPM's role to implement effective HR policies, products and services as the initiative drives improved HR solutions and services through the establishment of Shared Service Centers (SSC), service delivery models and strategies for agencies. The HRLOB supports agencies in implementing strategic and consultative HR practices through migration of selected HR functions to SSCs consistent with the business model determined by the Agency.

The Enterprise Human Resources Data Warehouse (EHRD) is comprised of two programs, eOPF and EHRD. These two programs supports the E-Government initiative that was designed to leverage the benefits of information technology. The goal of these two programs is to streamline and automate the collection, aggregation, and sharing of Federal employee human resources (HR), payroll, and training information Government-wide. The investment broadly supports the OPM mission by enabling the agency to provide the Federal HR community with access to employee data to improve workforce planning for hiring, skills development, retention strategies and Government-wide policy.

The OPM IG Act of 2014 extends permitted uses of the revolving fund to include financing the cost of audits, investigations, and oversight activities of OPM's Inspector General. The Act limits the amount of revolving fund resources available to the Inspector General each year to 0.33 percent of the total budgetary authority estimated for the fund in the year.

**Financing.**—OPM's revolving fund account gains spending authority from agreements with other Federal agencies who are seeking the following services: Human Resources Solutions provides a multitude of HR services to other Federal agencies, which include consulting services, training, staffing programs, vendor management, and administrative law judge services. Individual pricing and fee structures for HR Solutions offerings differ because the business models for each of its products and services vary. USAJOBS is financed by an annual fee assessed to Federal agencies. The fee is based on the Federal agency's pro rata share of total Federal government FTE population supported, as provided in the Central Personnel Data File (CPDF). The Federal Investigative Services provides personnel background investigative services on a fixed price basis to determine individual's fitness or suitability for Federal civilian, military, and contract employment and/or eligibility for a security clearance. This will continue when NBIB assumes the mission and absorbs FIS's investigative mission. EHRD provides two primary service offerings on a fee-for-service basis: the electronic Official Personnel Folder (eOPF), including deployment and hosting services, and a suite of analytical tools enabling agencies to perform workforce analysis and forecasting. EHRD provides customized eOPF systems to other agencies at additional cost, in which the customer pays for ongoing eOPF maintenance. The pricing structure for eOPF maintenance is a fixed price per license (i.e., electronic folder) and is based on the number of active users at the customer agency. The HRLOB has established public and private Shared Service Centers (SSCs) to provide technology solutions to support multiple agencies with HR information technology and HR services and is financed in part by agency contributions from partner agencies.

**Operating Results.**—In fiscal year 2015, OPM's revolving fund businesses revenue total was \$1.506 billion and the expenses total was \$1.722 billion which provided a net loss on operations of \$216 million. The cumulative net position of the fund is negative \$61 million.

Object Classification (in millions of dollars)				
Identification code 024-4571-0-4-805	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1 Full-time permanent .....	242	295	302	
11.5 Other personnel compensation .....	13	16	16	
11.9 Total personnel compensation .....	255	311	318	
12.1 Civilian personnel benefits .....	81	96	100	
21.0 Travel and transportation of persons .....	17	22	20	
23.1 Rental payments to GSA .....	19	15	15	
23.3 Communications, utilities, and miscellaneous charges .....	42	32	33	
24.0 Printing and reproduction .....	1	2	2	

25.2	Other services from non-Federal sources .....	1,199	1,281	1,234
26.0	Supplies and materials .....	4	5	5
31.0	Equipment .....	36	8	11
99.9	Total new obligations .....	1,654	1,772	1,738

**Employment Summary**

Identification code 024-4571-0-4-805	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,033	3,692	3,787

**Trust Funds**

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 024-8135-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	850,027	864,516	881,154
0198 Rounding adjustment .....	-2		
0199 Balance, start of year .....	850,025	864,516	881,154
Receipts:			
Current law:			
1110 Employee Contributions, Civil Service Retirement and Disability Fund .....	2,927	3,074	3,515
1110 District of Columbia Contributions, Civil Service Retirement and Disability Fund .....	23	22	21
1110 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....	649	664	677
1140 Agency Contributions, Civil Service Retirement and Disability Fund .....	24,343	26,010	26,371
1140 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....			-1,136
1140 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....	3,241	3,689	5,023
1140 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....		7	7
1140 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....	479	484	401
1140 Treasury Interest, Civil Service Retirement and Disability Fund .....	28,765	28,748	27,367
1140 General Fund Payment to the Civil Service Retirement and Disability Fund .....	36,115	36,864	37,464
1140 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....	46	47	48
1199 Total current law receipts .....	96,588	99,609	99,758
1999 Total receipts .....	96,588	99,609	99,758
2000 Total: Balances and receipts .....	946,613	964,125	980,912
Appropriations:			
Current law:			
2101 Civil Service Retirement and Disability Fund .....	-97	-95	-112
2101 Civil Service Retirement and Disability Fund .....	-96,492	-99,514	-100,782
2103 Civil Service Retirement and Disability Fund .....	-3	-4	-4
2132 Civil Service Retirement and Disability Fund .....	4	4	
2134 Civil Service Retirement and Disability Fund .....	14,491	16,638	15,788
2199 Total current law appropriations .....	-82,097	-82,971	-85,110
2999 Total appropriations .....	-82,097	-82,971	-85,110
5099 Balance, end of year .....	864,516	881,154	895,802

Program and Financing (in millions of dollars)

Identification code 024-8135-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Annuities .....	81,524	82,435	84,541
0002 Refunds and death claims .....	428	441	457
0003 Administration - operations .....	139	89	105
0004 Transfer to MSPB .....	2	2	2
0005 Administration - OIG .....	4	4	5
0900 Total new obligations .....	82,097	82,971	85,110
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	97	95	112

CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued  
Program and Financing—Continued

Identification code 024-8135-0-7-602	2015 actual	2016 est.	2017 est.
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	96,492	99,514	100,782
1203 Appropriation (previously unavailable) .....	3	4	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	-4	.....
1234 Appropriations precluded from obligation .....	-14,491	-16,638	-15,788
1260 Appropriations, mandatory (total) .....	82,000	82,876	84,998
1900 Budget authority (total) .....	82,097	82,971	85,110
1930 Total budgetary resources available .....	82,097	82,971	85,110
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,171	7,370	7,525
3010 Obligations incurred, unexpired accounts .....	82,097	82,971	85,110
3020 Outlays (gross) .....	-81,898	-82,816	-84,938
3050 Unpaid obligations, end of year .....	7,370	7,525	7,697
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	7,171	7,370	7,525
3200 Obligated balance, end of year .....	7,370	7,525	7,697
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	97	95	112
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	66	95	112
4011 Outlays from discretionary balances .....	31	.....	.....
4020 Outlays, gross (total) .....	97	95	112
<b>Mandatory:</b>			
4090 Budget authority, gross .....	82,000	82,876	84,998
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	74,661	75,638	77,534
4101 Outlays from mandatory balances .....	7,140	7,083	7,292
4110 Outlays, gross (total) .....	81,801	82,721	84,826
4180 Budget authority, net (total) .....	82,097	82,971	85,110
4190 Outlays, net (total) .....	81,898	82,816	84,938
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	857,169	731,267	888,524
5001 Total investments, EOY: Federal securities: Par value .....	731,267	888,524	904,308

The Civil Service Retirement and Disability Fund is the oldest and largest of the four trust funds administered by the Office of Personnel Management. The fund is financed and structured very differently from the other three trust funds. It is characterized by permanent indefinite budget authority. Budget Authority is the authority to incur obligations and pay expenses which become available to an agency during any fiscal year. Once approved, permanent budget authority is permanently available for all future years. Indefinite budget authority is used when the precise amount of budget authority required cannot be forecast in advance and must thus be determined at some future point in time (e.g., when actual receipts and expenses become known).

The Civil Service Retirement and Disability Fund covers two Federal civilian retirement systems: the Civil Service Retirement System (CSRS) established on May 22, 1920, and the Federal Employees Retirement System (FERS) established on June 6, 1986. The Retirement Fund is a single plan even though there are two different benefit tiers and funding methods. CSRS is basically a defined benefit plan, covering Federal employees hired prior to 1984. CSRS participants do not participate in the Social Security system. FERS is a three-tiered pension program that uses Social Security as a base, provides an additional basic benefit, and includes a thrift savings plan. FERS covers employees hired after 1983 and formerly CSRS-covered employees who elected to join FERS.

The Budget proposes that the United States Patent and Trademark Office (PTO) continue to fund the full cost for retirement benefits for PTO's employees covered under the Civil Service Retirement System.

**Financing.**— CSRS has been financed under a statutory funding method passed by Congress in 1969. This funding method is based on the static economic assumptions of no future inflation, no future general schedule

salary increases, and a 5 percent interest rate. Under CSRS, regular employees contribute 7.0 percent of pay. Law Enforcement Officers, Firefighters, and Congressional employees contribute an extra 0.5 percent of pay, and Members of Congress an extra 1.0 percent of pay. Non-Postal Agencies match the employee contributions. Also under the static funding method for CSRS, the Treasury pays interest on any static unfunded liabilities that are not being financed by the Postal Service. The Treasury also makes payments to amortize, over a 30-year period, any increases in the static unfunded liability due to salary increases for Non-Postal employees that occurred during the year, and pays for the cost of any benefits attributable to military service for both Postal and Non-Postal employees that were paid out during the year.

FERS is funded under a dynamic entry age normal funding method. Employees and agencies together contribute the full amount of the dynamic normal cost rate. The normal cost rate is for the defined benefit plan only, and does not include the cost of Social Security or the Thrift Plan. FERS regular employees contribute a percentage of salary that is equal to the contribution rate for CSRS employees—7.0 percent, as set forth above, less the 6.2 percent tax rate under the Old Age, Survivors and Disability Insurance (OASDI) portion of Social Security. Under FERS, the dynamic normal cost rates are as follows: For regular employees hired before 2013, the rate is 14.5 percent of pay (employee's share, 0.8 percent and employer's share, 13.7 percent); For regular employees hired during 2013 (known as FERS RAE/Revised Annuity Employee), the rate is 15.0 percent of pay (employee's share, 3.1 percent and employer's share, 11.9 percent); The Bipartisan Budget Act of 2013 included a provision to increase the normal cost rate of employee's contribution to FERS for individuals hired after 2013 and to maintain the employer's contribution rate at its current normal cost rate. Any contributions in excess of the amount necessary to satisfy FERS normal cost percentage will be credited to the assets of the fund, thereby reducing the unfunded liability. For regular employees hired after 2013 (known as FERS FRAE/Further Revised Annuity Employee), the rate is 15.1 percent of pay (employee's share 4.4 percent and employer's share, 11.9 percent).

Under the Postal Accountability and Enhancement Act of 2006 (P.L. 109-435), USPS must make annual amortization payments beginning in 2017 to reduce any unfunded liability (UFL) for its obligations under the Civil Service Retirement System (CSRS). These payments, along with similar amortization payments for UFL in the Federal Employee Retirement System (FERS) are paid to the Civil Service Retirement and Disability Fund. The Budget recognizes partial defaults on those required statutory payments beginning in 2017 to prevent the Postal Service from running unsustainable deficits. The Budget proposes to require OPM to calculate the remaining UFL for both programs using factors specific to the demographics of the Postal Service workforce. The Budget also proposes to extend the length of this amortization to 40-years to parallel the amortization schedule for the Postal Retiree Health Benefits Fund. See Postal Service section of this Appendix for information on this proposal.

	2015 actual	2016 est.	2017 est.
Active employees .....	2,544,116	2,516,000	2,488,000
<b>Annuityants:</b>			
Employees .....	2,082,086	2,102,000	2,123,000
Survivors .....	556,176	548,000	540,000
Total, annuityants .....	2,638,262	2,650,000	2,663,000

**Status of Funds** (in millions of dollars)

Identification code 024-8135-0-7-602	2015 actual	2016 est.	2017 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	857,199	871,886	888,679
0298 Rounding adjustment .....	-3	.....	.....
0999 Total balance, start of year .....	857,196	871,886	888,679
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Employee Contributions, Civil Service Retirement and Disability Fund .....	2,927	3,074	3,515

1110	District of Columbia Contributions, Civil Service Retirement and Disability Fund .....	23	22	21
1110	Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....	649	664	677
1150	FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....	479	484	401
1150	Treasury Interest, Civil Service Retirement and Disability Fund .....	28,765	28,748	27,367
1160	Agency Contributions, Civil Service Retirement and Disability Fund .....	24,343	26,010	26,371
1160	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....			-1,136
1160	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....	3,241	3,689	5,023
1160	Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....		7	7
1160	General Fund Payment to the Civil Service Retirement and Disability Fund .....	36,115	36,864	37,464
1160	Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....	46	47	48
1199	Income under present law .....	96,588	99,609	99,758
Proposed:				
Offsetting governmental receipts:				
1260	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....			
1299	Income proposed .....			
1999	Total cash income .....	96,588	99,609	99,758
Cash outgo during year:				
Current law:				
2100	Civil Service Retirement and Disability Fund [027-00-8135-0] .....	-81,898	-82,816	-84,938
2199	Outgo under current law .....	-81,898	-82,816	-84,938
2999	Total cash outgo (-) .....	-81,898	-82,816	-84,938
Surplus or deficit:				
3110	Excluding interest .....	-14,554	-12,439	-12,948
3120	Interest .....	29,244	29,232	27,768
3199	Subtotal, surplus or deficit .....	14,690	16,793	14,820
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	140,619	155	-809
4200	Civil Service Retirement and Disability Fund .....	731,267	888,524	904,308
4999	Total balance, end of year .....	871,886	888,679	903,499

**Object Classification** (in millions of dollars)

Identification code 024-8135-0-7-602	2015 actual	2016 est.	2017 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	145	95	112
42.0	Insurance claims and indemnities .....	81,524	82,435	84,541
44.0	Refunds and death claims .....	428	441	457
99.9	Total new obligations .....	82,097	82,971	85,110

**EMPLOYEES LIFE INSURANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 024-8424-0-8-602	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Basic life insurance payments .....	1,813	1,699	1,755
0802	Optional life insurance payments .....	1,150	1,288	1,333
0803	Shenandoah life insurance payments .....	1	1	1
0804	Administration—OPM & OIG .....	4	4	6
0805	Administration—long term care .....	4	2	2
0900	Total new obligations (object class 25.2) .....	2,972	2,994	3,097
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	42,622	43,255	43,629
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	4	6
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3,709	3,288	3,775
1801	Change in uncollected payments, Federal sources .....	-108	76	6
1850	Spending auth from offsetting collections, mand (total) .....	3,601	3,364	3,781
1900	Budget authority (total) .....	3,605	3,368	3,787

1930	Total budgetary resources available .....	46,227	46,623	47,416
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	43,255	43,629	44,319
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	919	939	980
3010	Obligations incurred, unexpired accounts .....	2,972	2,994	3,097
3020	Outlays (gross) .....	-2,952	-2,953	-3,028
3050	Unpaid obligations, end of year .....	939	980	1,049
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-360	-252	-328
3070	Change in uncollected pymts, Fed sources, unexpired .....	108	-76	-6
3090	Uncollected pymts, Fed sources, end of year .....	-252	-328	-334
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	559	687	652
3200	Obligated balance, end of year .....	687	652	715

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	4	4	6
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	4	6
4011	Outlays from discretionary balances .....	2		
4020	Outlays, gross (total) .....	4	4	6
Mandatory:				
4090	Budget authority, gross .....	3,601	3,364	3,781
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,036	2,223	2,282
4101	Outlays from mandatory balances .....	912	726	740
4110	Outlays, gross (total) .....	2,948	2,949	3,022
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-545	-531	-536
4121	Interest on Federal securities .....	-392	-283	-720
4123	Non-Federal sources .....	-2,776	-2,478	-2,525
4130	Offsets against gross budget authority and outlays (total) .....	-3,713	-3,292	-3,781
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	108	-76	-6
4160	Budget authority, net (mandatory) .....	-4	-4	-6
4170	Outlays, net (mandatory) .....	-765	-343	-759
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-761	-339	-753

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	43,213	43,958	42,789
5001	Total investments, EOY: Federal securities: Par value .....	43,958	42,789	43,412

This fund finances payments to private insurance companies for Federal Employees' Group Life Insurance and expenses of the Office of Personnel Management in administering the program.

The Administration proposes that the United States Patent and Trademark Office (PTO) will fund the accruing costs associated with post-retirement life insurance benefits for PTO's employees.

*Budget program.*—The status of the basic (regular and optional) life insurance program on September 30 is as follows:

	2015 act.	2016 est.	2017 est.
Life insurance in force (in billions of dollars):			
On active employees .....	717.9	713.0	708.1
On retired employees .....	102.3	106.9	111.7
Total .....	820.2	819.9	820.8
Number of participants (in thousands):			
Active employees .....	2,357	2,368	2,379
Annuitants .....	1,601	1,574	1,548
Total .....	3,958	3,942	3,927

*Financing.*—Non-Postal Service employees and all retirees under 65 pay two-thirds of the premium costs for Basic coverage; agencies pay the remaining third. Optional and certain post-retirement Basic coverages are paid entirely by enrollees. The status of the reserves at the end of the year is as follows:

	2015 act.	2016 est.	2017 est.
Status of Reserves			
Held in reserve (in millions of dollars):			
Contingency reserve .....	607	607	607
Beneficial association program reserve .....	0	0	0

EMPLOYEES LIFE INSURANCE FUND—Continued

U.S. Treasury reserve .....	43,958	43,183	43,875
Total reserves .....	44,565	43,790	44,482

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

Program and Financing (in millions of dollars)

Identification code 024–9981–0–8–551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Benefit payments .....	47,695	49,937	53,595
0802 Payments from OPM contingency reserve .....	243	300	300
0803 Government payment for annuitants (1960 Act) .....	1	1	1
0804 Administration - operations .....	23	31	34
0805 Administration - OIG .....	17	18	22
0806 Administration - dental and vision program .....	16	16	7
0900 Total new obligations (object class 25.6) .....	47,994	50,303	53,959
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20,756	20,774	21,790
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	42	50	56
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	47,817	51,116	54,339
1801 Change in uncollected payments, Federal sources .....	153	153	145
1802 Offsetting collections (previously unavailable) .....	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	47,970	51,269	54,485
1900 Budget authority (total) .....	48,012	51,319	54,541
1930 Total budgetary resources available .....	68,768	72,093	76,331
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20,774	21,790	22,372
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,783	4,392	4,496
3010 Obligations incurred, unexpired accounts .....	47,994	50,303	53,959
3020 Outlays (gross) .....	-48,385	-50,199	-53,849
3050 Unpaid obligations, end of year .....	4,392	4,496	4,606
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,989	-2,142	-2,295
3070 Change in uncollected pymts, Fed sources, unexpired .....	-153	-153	-145
3090 Uncollected pymts, Fed sources, end of year .....	-2,142	-2,295	-2,440
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,794	2,250	2,201
3200 Obligated balance, end of year .....	2,250	2,201	2,166
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42	50	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	50	56
4011 Outlays from discretionary balances .....	13		
4020 Outlays, gross (total) .....	39	50	56
Mandatory:			
4090 Budget authority, gross .....	47,970	51,269	54,485
Outlays, gross:			
4100 Outlays from new mandatory authority .....	43,586	45,757	49,346
4101 Outlays from mandatory balances .....	4,760	4,392	4,447
4110 Outlays, gross (total) .....	48,346	50,149	53,793
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal Sources .....	-33,672	-35,567	-37,743
4121 Interest on Federal securities .....	-239	-527	-592
4123 Non-Federal sources .....	-13,948	-15,072	-16,060
4130 Offsets against gross budget authority and outlays (total) .....	-47,859	-51,166	-54,395
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-153	-153	-145
4160 Budget authority, net (mandatory) .....	-42	-50	-55
4170 Outlays, net (mandatory) .....	487	-1,017	-602
4180 Budget authority, net (total) .....			1
4190 Outlays, net (total) .....	526	-967	-546

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	23,558	23,021	24,655
5001 Total investments, EOY: Federal securities: Par value .....	23,021	24,655	25,158
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	

This display combines FEHB fund and the Retired Employees Health Benefits (REHB) fund.

The FEHB fund provides for the cost of health benefits for: 1) active employees; 2) employees who retired after June 1960, or their survivors; 3) those annuitants transferred from the REHB program as authorized by Public Law 93–246; and 4) tribal organizations. Beginning in 2016 OPM offers a Self Plus One enrollment tier within the FEHB as enacted by the Bipartisan Budget Act of 2013.

The REHB fund, created by the Retired Federal Employees Health Benefits Act of 1960, provides for: 1) the cost of health benefits for retired employees and survivors who enroll in a Government-sponsored uniform health benefits plan; 2) the contribution to retired employees and survivors who retain or purchase private health insurance; and 3) expenses of OPM in administering the program.

**Budget program.**—The balance of the FEHB fund is available for payments without fiscal year limitation. Numbers of participants at the end of each fiscal year are as follows:

	2015 actual	2016 est.	2017 est.
Active employees .....	2,092,000	2,092,000	2,092,000
USPS active employees (non-add) .....	431,567	431,711	431,711
Annuitants .....	1,933,000	1,954,000	1,974,000
Tribal Organizations .....	16,586	16,586	16,586
Total .....	4,041,586	4,062,586	4,082,586

In determining a biweekly subscription rate to cover program costs, one percent is added for administrative expenses and three percent is added for a contingency reserve held by OPM for each carrier. OPM is authorized to transfer unused administrative reserve funds to the contingency reserve.

The REHB fund is available without fiscal year limitation. The amounts contributed by the Government are paid into the fund from annual appropriations. The number of participants at the end of each fiscal year are as follows:

	2015 actual	2016 est.	2017 est.
Uniform plan .....	79	65	54
Private plans .....	173	142	117
Total .....	252	207	171

**Financing.**—The funds are financed by: 1) withholdings from active employees and annuitants; 2) agency contributions for active employees; 3) Government contributions for annuitants appropriated to OPM; and 4) contributions made by the United States Postal Service in accordance with the provisions of Public Law 101–508.

Funds made available to carriers but not used to pay claims in the current period are carried forward as special reserves for use in subsequent periods. OPM maintains a contingency reserve, funded by employee and Government contributions, which may be used to defray future cost increases or provide increased benefits. OPM makes payments to carriers from this reserve whenever carrier-held reserves fall below levels prescribed by OPM regulations or when carriers can demonstrate good cause such as unexpected claims experience or variations from expected community rates.

The Budget proposes that the Patent and Trademark Office continue to fund the accruing costs associated with post-retirement health benefits for its employees.

Status of Funds (in millions of dollars)

Identification code 024–9981–0–8–551	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	23,552	23,026	23,993
0999 Total balance, start of year .....	23,552	23,026	23,993

Cash income during the year:				
Current law:				
Receipts:				
1130	Employees and Retired Employees Health Benefits Funds .....	13,948	15,072	16,060
1150	Employees and Retired Employees Health Benefits Funds .....	239	527	592
1160	Employees and Retired Employees Health Benefits Funds .....	33,672	35,567	37,743
1199	Income under present law .....	47,859	51,166	54,395
1999	Total cash income .....	47,859	51,166	54,395
Cash outgo during year:				
Current law:				
2100	Employees and Retired Employees Health Benefits Funds [027-00-9981-0] .....	-48,385	-50,199	-53,849
2199	Outgo under current law .....	-48,385	-50,199	-53,849
2999	Total cash outgo (-) .....	-48,385	-50,199	-53,849
Surplus or deficit::				
3110	Excluding interest .....	-765	440	-46
3120	Interest .....	239	527	592
3199	Subtotal, surplus or deficit .....	-526	967	546
Unexpended balance, end of year::				
4100	Uninvested balance (net), end of year .....	5	-662	-619
4200	Employees and Retired Employees Health Benefits Funds .....	23,021	24,655	25,158

4999	Total balance, end of year .....	23,026	23,993	24,539
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EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

(Legislative proposal, subject to PAYGO)

The President's 2017 Budget proposes five changes to modernize and strengthen the Federal Employees Health Benefits Program (FEHBP): 1) Streamline pharmacy benefit contracting to allow the Office of Personnel Management (OPM) to contract separately for pharmaceutical benefits; 2) Allow OPM to enter into contracts with a greater variety of health plan types; 3) Permit OPM to adjust plan premiums based on wellness program participation and tobacco use; 4) Extend temporary health benefit coverage to infants born to dependent daughters of FEHBP participants for 30 days; and 5) add FEHBP to the list of Federal Health Programs in the Anti-Kickback statute. If enacted and implemented, these changes could be reflected in carrier contracts issued for 2018.

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
024-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Enacted/requested .....	7	2	2
General Fund Offsetting receipts from the public .....	7	2	2



# SMALL BUSINESS ADMINISTRATION

## Federal Funds

### SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the Small Business Administration, including hire of passenger motor vehicles as authorized by sections 1343 and 1344 of title 31, United States Code, and not to exceed \$3,500 for official reception and representation expenses, **[\$268,000,000, of which not less than \$12,000,000 shall be available for examinations, reviews, and other lender oversight activities]** \$275,033,000: *Provided*, That the Administrator is authorized to charge fees to cover the cost of publications developed by the Small Business Administration, and certain loan program activities, including fees authorized by section 5(b) of the Small Business Act: *Provided further*, That, notwithstanding 31 U.S.C. 3302, revenues received from all such activities shall be credited to this account, to remain available until expended, for carrying out these purposes without further appropriations: *Provided further*, That the Small Business Administration may accept gifts in an amount not to exceed \$4,000,000 and may co-sponsor activities, each in accordance with section 132(a) of division K of Public Law 108-447, during fiscal year **[2016]** 2017: *Provided further*, That \$6,100,000 shall be available for the Loan Modernization and Accounting System, to be available until September 30, **[2017: *Provided further*, That \$3,000,000 shall be for the Federal and State Technology Partnership Program under section 34 of the Small Business Act (15 U.S.C. 657d)]** 2018. (*Financial Services and General Government Appropriations Act, 2016.*)

### Program and Financing (in millions of dollars)

Identification code 073-0100-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Executive direction .....	71	76	77
0002 Capital Access .....	76	82	82
0003 Gov. Contracting/ Bus. Development .....	26	28	28
0004 Entrepreneurial Development .....	10	9	12
0005 Chief Operating Office .....	25	26	27
0006 Office of Chief Information Officer .....	50	37	37
0007 Regional & district offices .....	96	105	106
0008 Agency wide costs .....	49	61	64
0009 Non credit programs .....	2	3	2
0012 Disaster .....	182	177	176
0013 Investment & Innovation .....	16	16	16
0014 International Trade .....	6	7	6
0900 Total new obligations .....	609	627	633
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	236	248	247
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	245	248	247
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	257	268	275
1120 Appropriations transferred to other accts [073-0400] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	256	268	275
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	186	186	186
1700 Collected .....	171	172	172
1750 Spending auth from offsetting collections, disc (total) .....	357	358	358
1900 Budget authority (total) .....	613	626	633
1930 Total budgetary resources available .....	858	874	880
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	248	247	247
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	202	175	175
3010 Obligations incurred, unexpired accounts .....	609	627	633
3011 Obligations incurred, expired accounts .....	6	.....	.....
3020 Outlays (gross) .....	-617	-627	-628
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-16	.....	.....
3050 Unpaid obligations, end of year .....	175	175	180
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	202	175	175
3200 Obligated balance, end of year .....	175	175	180

### Budget authority and outlays, net:

Identification code	2015 actual	2016 est.	2017 est.
Discretionary:			
4000 Budget authority, gross .....	613	626	633
Outlays, gross:			
4010 Outlays from new discretionary authority .....	496	415	419
4011 Outlays from discretionary balances .....	121	212	209
4020 Outlays, gross (total) .....	617	627	628
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-340	-339	-339
4033 Non-Federal sources .....	-19	-19	-19
4040 Offsets against gross budget authority and outlays (total) ....	-359	-358	-358
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4070 Budget authority, net (discretionary) .....	256	268	275
4080 Outlays, net (discretionary) .....	258	269	270
4180 Budget authority, net (total) .....	256	268	275
4190 Outlays, net (total) .....	258	269	270

This account funds the administrative expenses of SBA headquarters and field office operations. Appropriations for the administration of the disaster and business loan programs are transferred to and merged with this account. The 2017 Budget provides \$6.1 million in funding for the continued modernization of the loan management accounting systems, which will improve oversight of SBA's more than \$100 billion portfolio of loans and loan guarantees. Funding is also requested for core agency activities, including information technology investments and human capital development. The Budget also supports an SBA Digital Services team to assist with modernization of SBA's technology systems that support small businesses, including those supporting the Government Contracting programs.

### Object Classification (in millions of dollars)

Identification code 073-0100-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	191	212	215
11.3 Other than full-time permanent .....	4	6	6
11.5 Other personnel compensation .....	.....	3	3
11.9 Total personnel compensation .....	195	221	224
12.1 Civilian personnel benefits .....	61	68	69
21.0 Travel and transportation of persons .....	4	5	5
23.1 Rental payments to GSA .....	35	45	47
23.3 Communications, utilities, and miscellaneous charges .....	8	8	8
24.0 Printing and reproduction .....	.....	1	1
25.2 Other services from non-Federal sources .....	117	96	97
25.3 Other purchases of goods and services from Government accounts (Disaster Administrative Expenses) .....	182	177	176
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	2	1	2
41.0 Grants, subsidies, and contributions .....	2	3	2
99.0 Direct obligations .....	609	627	633
99.9 Total new obligations .....	609	627	633

### Employment Summary

Identification code 073-0100-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,935	3,125	3,125

### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$19,900,000. (*Financial Services and General Government Appropriations Act, 2016.*)

OFFICE OF INSPECTOR GENERAL—Continued  
**Program and Financing** (in millions of dollars)

Identification code 073-0200-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	8	8	8
0002 Investigations .....	9	11	11
0003 Management and Administration .....	1	1	1
0004 General Office .....	2	2	2
0900 Total new obligations .....	20	22	22
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19	20	20
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	20	21	21
1930 Total budgetary resources available .....	27	28	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	6	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	4
3010 Obligations incurred, unexpired accounts .....	20	22	22
3020 Outlays (gross) .....	-19	-21	-21
3050 Unpaid obligations, end of year .....	3	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	4
3200 Obligated balance, end of year .....	3	4	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	21	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	19	19
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	19	21	21
Offsetting against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	19	20	20
4190 Outlays, net (total) .....	18	20	20

The 2017 Budget proposes \$19.9 million in new budget authority and \$1 million transferred from the Disaster Loans Program account for a total of \$20.9 million for the Office of Inspector General (OIG). This appropriation provides funds for agency-wide audit, investigative, and related functions to promote economy and efficiency in SBA operations and to prevent and detect waste, fraud, and abuse.

**Object Classification** (in millions of dollars)

Identification code 073-0200-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	11	12	12
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	12	13	13
12.1 Civilian personnel benefits .....	4	5	5
25.2 Other services .....	4	4	4
99.0 Direct obligations .....	20	22	22
99.9 Total new obligations .....	20	22	22

**Employment Summary**

Identification code 073-0200-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	103	110	110

OFFICE OF ADVOCACY

For necessary expenses of the Office of Advocacy in carrying out the provisions of title II of Public Law 94-305 (15 U.S.C. 634a et seq.) and the Regulatory Flexibility Act of 1980 (5 U.S.C. 601 et seq.), **[\$9,120,000]** \$9,320,000, to remain available until expended. (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 073-0300-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Advocacy (Direct) .....	9	9	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	9
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 Obligations incurred, unexpired accounts .....	9	9	9
3020 Outlays (gross) .....	-9	-8	-8
3050 Unpaid obligations, end of year .....	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	8	8
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	9	8	8
4180 Budget authority, net (total) .....	9	9	9
4190 Outlays, net (total) .....	9	8	8

The 2017 Budget proposes \$9.320 million in new budget authority. This appropriation provides funds for operations of the Office of Advocacy to carry out its statutory duties, including those under the Regulatory Flexibility Act. Pursuant to the funding authorization in Section 1602 (c) of the Small Business Jobs Act, SBA is requesting that the funds remain available until expended. The Office of Advocacy's advice and small business research help the Federal Government take into account the concerns of small businesses when it develops policies and regulations. The Office's regional advocates support regulatory flexibility at the State level, work with the regional Regulatory Fairness Boards established by the Small Business Regulatory Enforcement Fairness Act, and promote the use of Advocacy research and data products in the curricula of universities and other schools in their respective regions.

**Object Classification** (in millions of dollars)

Identification code 073-0300-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations .....	9	9	9

**Employment Summary**

Identification code 073-0300-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	46	46	46

ENTREPRENEURIAL DEVELOPMENT PROGRAM

For necessary expenses of programs supporting entrepreneurial and small business development, **[\$231,100,000]** \$230,600,000, to remain available until September 30, **[2017]** 2018: *Provided*, That **[\$117,000,000]** \$115,000,000 shall be available to fund grants for performance in fiscal year **[2016]** 2017 or fiscal year **[2017]** 2018 as authorized by section 21 of the Small Business Act: *Provided further*, That **[\$25,000,000]** \$31,000,000 shall be for marketing, management, and technical assistance under section 7(m) of the Small Business Act (15 U.S.C. 636(m)(4)) by intermediaries that make microloans under the microloan program: *Provided further*, That **[\$18,000,000]** \$15,000,000 **[shall be]** is available for **[grants to States to carry out export programs that assist small business concerns authorized under section 1207 of Public Law 111-240]** the State Trade and Export Promotion Grant Program, unless the State Trade Expansion Program is enacted, in which event such amount shall be available for the State Trade Expansion Program. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 073-0400-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Non-Credit Programs .....	217	239	231
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	8	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	6	8	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	220	231	231
1121 Appropriations transferred from other acct [073-0100] .....	1		
1160 Appropriation, discretionary (total) .....	221	231	231
1930 Total budgetary resources available .....	227	239	231
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	8		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	137	184	233
3010 Obligations incurred, unexpired accounts .....	217	239	231
3020 Outlays (gross) .....	-167	-190	-184
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	184	233	280
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	137	184	233
3200 Obligated balance, end of year .....	184	233	280
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	221	231	231
Outlays, gross:			
4010 Outlays from new discretionary authority .....	55	81	81
4011 Outlays from discretionary balances .....	112	109	103
4020 Outlays, gross (total) .....	167	190	184
4180 Budget authority, net (total) .....	221	231	231
4190 Outlays, net (total) .....	167	190	184

For 2017, this account supports SBA's core counseling, training and technical assistance programs, including Small Business Development Centers, SCORE, Women's Business Centers, Veterans' Business Outreach Centers, and Microloan technical assistance, as well as various entrepreneurial development initiatives. Some of the initiatives include Entrepreneurial Education, a program designed to train and develop small business owners who are poised for growth; the State Trade and Export Promotion (STEP) program, which helps small businesses tap global markets and expand exports; and Veterans Outreach programs like the Boots to Business program, which provides entrepreneurship training to America's veterans transitioning to civilian life. The Budget also supports other place-based initiatives, such as the Regional Innovation Clusters, Growth Accelerator and Hubzone initiatives, as well as other outreach and contracting activities.

Object Classification (in millions of dollars)

Identification code 073-0400-0-1-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	21	21	21
41.0 Grants, subsidies, and contributions .....	193	215	207
99.9 Total new obligations .....	217	239	231

Employment Summary

Identification code 073-0400-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	22	31	31

SURETY BOND GUARANTEES REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 073-4156-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable obligations .....	14	17	17
0900 Total new obligations (object class 42.0) .....	14	17	17
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	81	85	85
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	18	17	17
1930 Total budgetary resources available .....	99	102	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	85	85	85
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	14	17	17
3020 Outlays (gross) .....	-14	-17	-17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	18	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	17	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-18	-17	-17
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-4		

SBA is authorized to issue bond guarantees to surety companies for construction, service, and supply contracts or work orders, and to reimburse these sureties up to 90 percent of the losses sustained if the contractor defaults. SBA's guarantees provide an incentive for sureties to issue bonds to small contractors who could not otherwise secure them and compete in the contracting industry. It is estimated that there are sufficient funds in reserve to cover the cost of claim defaults in 2017. Therefore, no new appropriated funds are requested in the Budget.

BUSINESS LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans, **[\$3,338,172]** \$4,338,000, to remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That subject to section 502 of the Congressional Budget Act of 1974, during fiscal year **[2016]** 2017 commitments to guarantee loans under section 503 of the Small Business Investment Act of 1958 shall not exceed \$7,500,000,000: *Provided further*, That during fiscal year **[2016]** 2017 commitments for general business loans authorized under section 7(a) of the Small Business Act shall not exceed **[\$26,500,000,000]** \$27,000,000,000 for a combination of amortizing term loans

BUSINESS LOANS PROGRAM ACCOUNT—Continued

and the aggregated maximum line of credit provided by revolving loans: *Provided further*, That during fiscal year [2016] 2017 commitments for loans authorized under subparagraph (C) of section 502(7) of The Small Business Investment Act of 1958 (15 U.S.C. 696(7)) shall not exceed \$7,500,000,000: *Provided further*, That during fiscal year [2016] 2017 commitments to guarantee loans for debentures under section 303(b) of the Small Business Investment Act of 1958 shall not exceed \$4,000,000,000: *Provided further*, That during fiscal year [2016] 2017, guarantees of trust certificates authorized by section 5(g) of the Small Business Act shall not exceed a principal amount of \$12,000,000,000. In addition, for administrative expenses to carry out the direct and guaranteed loan programs, [\$152,725,828] \$152,726,000, which may be transferred to and merged with the appropriations for Salaries and Expenses. (*Financial Services and General Government Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 073-1154-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	3	3	4
0702 Loan guarantee subsidy .....	26		
0705 Reestimates of direct loan subsidy .....		6	
0706 Interest on reestimates of direct loan subsidy .....	2	2	
0707 Reestimates of loan guarantee subsidy .....	173	205	
0708 Interest on reestimates of loan guarantee subsidy .....	49	50	
0709 Administrative expenses .....	148	153	153
0900 Total new obligations .....	401	419	157
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	79	107	112
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	79	107	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1021 Recoveries of prior year unpaid obligations .....	11	5	5
1050 Unobligated balance (total) .....	89	112	117
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	195	156	157
1131 Unobligated balance of appropriations permanently reduced .....			-55
1160 Appropriation, discretionary (total) .....	195	156	102
Appropriations, mandatory:			
1200 Appropriation .....	224	263	
1900 Budget authority (total) .....	419	419	102
1930 Total budgetary resources available .....	508	531	219
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	107	112	62
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	82	18
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	401	419	157
3020 Outlays (gross) .....	-431	-478	-162
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-5	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	82	18	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	129	82	18
3200 Obligated balance, end of year .....	82	18	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	195	156	102
Outlays, gross:			
4010 Outlays from new discretionary authority .....	155	153	99
4011 Outlays from discretionary balances .....	52	62	63
4020 Outlays, gross (total) .....	207	215	162
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Non-Federal sources .....			
4033 Additional offsets against gross budget authority only .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	195	156	102
4080 Outlays, net (discretionary) .....	206	215	162

Mandatory:			
4090 Budget authority, gross .....	224	263	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	224	263	
4180 Budget authority, net (total) .....	419	419	102
4190 Outlays, net (total) .....	430	478	162

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	419	419	102
Outlays .....	430	478	162
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1,250
Total:			
Budget Authority .....	419	419	1,352
Outlays .....	430	478	162

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 073-1154-0-1-376	2015 actual	2016 est.	2017 est.
Direct loan levels supportable by subsidy budget authority:			
115001 7(m) Direct Microloans .....	34	35	44
115999 Total direct loan levels .....	34	35	44
Direct loan subsidy (in percent):			
132001 7(m) Direct Microloans .....	10.12	8.87	9.08
132999 Weighted average subsidy rate .....	10.12	8.87	9.08
Direct loan subsidy budget authority:			
133001 7(m) Direct Microloans .....	3	3	4
133999 Total subsidy budget authority .....	3	3	4
Direct loan subsidy outlays:			
134001 7(m) Direct Microloans .....	4	3	3
134999 Total subsidy outlays .....	4	3	3
Direct loan reestimates:			
135001 7(m) Direct Microloans .....	-8	3	
135007 SBIC Direct Preferred Stock and Fee Loans .....	-2		
135010 7(m) Direct Microloans — ARRA .....	-1	2	
135011 Intermediary Lending Program .....	-2	-1	
135999 Total direct loan reestimates .....	-13	4	
Guaranteed loan levels supportable by subsidy budget authority:			
215002 7(a) General Business Loan Guarantees .....	21,860	26,500	27,000
215004 Section 504 Certified Development Companies Debentures .....	4,306	7,500	7,500
215006 SBIC Debentures .....	2,553	4,000	4,000
215010 Secondary Market Guarantee .....	6,237	12,000	12,000
215027 504 Commercial Real Estate (CRE) Refinance Program .....		7,500	7,500
215999 Total loan guarantee levels .....	34,956	57,500	58,000
Guaranteed loan subsidy (in percent):			
232002 7(a) General Business Loan Guarantees .....	0.00	0.00	0.00
232004 Section 504 Certified Development Companies Debentures .....	0.60	0.00	0.00
232006 SBIC Debentures .....	0.00	0.00	0.00
232010 Secondary Market Guarantee .....	0.00	0.00	0.00
232027 504 Commercial Real Estate (CRE) Refinance Program .....		0.00	0.00
232999 Weighted average subsidy rate .....	0.07	0.00	0.00
Guaranteed loan subsidy budget authority:			
233004 Section 504 Certified Development Companies Debentures .....	26		
233999 Total subsidy budget authority .....	26		
Guaranteed loan subsidy outlays:			
234002 7(a) General Business Loan Guarantees .....	7		
234004 Section 504 Certified Development Companies Debentures .....	48	59	6
234999 Total subsidy outlays .....	55	59	6
Guaranteed loan reestimates:			
235002 7(a) General Business Loan Guarantees .....	-467	-165	
235003 7(a) General Business Loan Guarantees—STAR .....	1		
235004 Section 504 Certified Development Companies Debentures .....	-716	-722	
235006 SBIC Debentures .....	-52	-155	
235007 SBIC Participating Securities .....	-97	-77	
235008 SBIC New Market Venture Capital .....	7	8	
235009 7(a) General Business—Delta .....	-1		
235010 Secondary Market Guarantee .....	94	-17	
235015 Secondary Market 504 First Mortgage Guarantees-ARRA .....	2	-7	
235016 ARC Loan Guarantees—ARRA .....	-15	-9	
235017 7(a) General Business Loan Guarantees—ARRA .....	5	34	
235018 Section 504 Certified Development Companies—ARRA .....	-94	-60	
235020 7(a) Dealer Floor Plan .....	-1		
235021 7(a) Dealer Floor Plan—ARRA .....	-1		
235026 Section 504 Certified Development Companies Debentures—ARRA Ext .....	-83	-54	

235027	504 Commercial Real Estate (CRE) Refinance Program .....	-101	-62	.....
235028	7(a) Business Loan Guarantees—ARRA Extension .....	-76	18	.....
235999	Total guaranteed loan reestimates .....	-1,595	-1,268	.....
Administrative expense data:				
3510	Budget authority .....	148	153	153
3590	Outlays from new authority .....	148	153	153

As required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the business loan program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

For 2017, the Budget proposes \$157.1 million in new budget authority for the Business Loans Program account. This includes \$152.7 million in administrative expenses funding and \$4.3 million in credit subsidy for the direct Microloan Program. This subsidy supports a \$44.4 million program level for direct Microloans. The majority of SBA's loan guarantee programs, such as the 7(a), 504, 504 Debt Refinancing and SBIC programs, will operate with no subsidy requirements in 2017. The Budget also proposes a \$55 million cancellation of prior year unobligated balances appropriated for business loan subsidy for the 504 CDC program that is now zero subsidy.

The Budget supports a program level of \$27.0 billion in Section 7(a) loan guarantees that provide general business credit assistance. To address unanticipated spikes in lending, Section 521 of the SBA general provisions proposes administrative flexibility to increase the 7(a) program level by 15 percent if the program demand were to exhaust the appropriated limit, with notification to the Appropriations and Small Business committees. The 2017 Budget continues to waive upfront fees on all 7(a) loans of \$150,000 or less to spur lending in this market. The Budget also continues to waive upfront fees on SBA Express loans to veterans between \$150,000 and \$350,000 and a 50 percent waiver of upfront fees on all non-SBA Express loans to veterans between \$150,000 and \$500,000. In addition, the Secondary Market Guarantee (SMG) Program allows SBA's fiscal agent to the guaranteed portion of 7(a) loans and sells the securities to investors. This mechanism provides liquidity to lenders participating in the 7(a) loan program. For 2017, the Budget proposes a program level of \$12 billion in such securities.

The guaranteed loan program authorized by Section 503 of the Small Business Investment Act of 1958 is for long-term, fixed-rate financing; the requested guaranteed loan program level is \$7.5 billion in 2017. The guaranteed loan program authorized by Section 502 of the Small Business Investment Act of 1958 is for refinancing existing commercial mortgage and equipment debt. The requested program level for those guaranteed loans is \$7.5 billion in 2017. The Small Business Investment Company (SBIC) program provides credit to support venture capital investments at a program level of \$4 billion.

*Scale-Up Manufacturing Investment Company (SUMIC) Legislative Proposal.*—The Budget proposes authorization of \$1.25 billion in mandatory subsidy budget authority for the SUMIC lending program, which would support innovative manufacturing technologies by financing their scale-up from prototypes to commercial-scale facilities in the United States. The SUMIC is designed to generate \$10 billion in investment activity over five years, using \$5 billion in Federal funds and a matching amount of private funds, to bridge the financing gap for small advanced manufacturing startups. A placeholder subsidy rate of 25% has been assumed for purposes of this request, but actual subsidy costs associated with each application for a Federal contribution to a fund would be determined on a fund-by-fund basis using actual fund financial information. There are no subsidy outlays assumed in 2017. If authorized, outlays are anticipated to begin in 2018 and continue through 2021.

**Object Classification** (in millions of dollars)

Identification code 073-1154-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	148	153	153
41.0 Grants, subsidies, and contributions .....	253	266	4
99.9 Total new obligations .....	401	419	157

**BUSINESS LOANS PROGRAM ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 073-1154-4-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....			1,250
0900 Total new obligations (object class 41.0) .....			1,250
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			1,250
1930 Total budgetary resources available .....			1,250
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			1,250
3050 Unpaid obligations, end of year .....			1,250
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,250
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1,250
4180 Budget authority, net (total) .....			1,250
4190 Outlays, net (total) .....			

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 073-1154-4-1-376	2015 actual	2016 est.	2017 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215031 Scale-Up Manufacturing Investment Company (legislative proposal) .....			5,000
215999 Total loan guarantee levels .....			5,000
<b>Guaranteed loan subsidy (in percent):</b>			
232031 Scale-Up Manufacturing Investment Company (legislative proposal) .....			25.00
232999 Weighted average subsidy rate .....			0.00
<b>Guaranteed loan subsidy budget authority:</b>			
233031 Scale-Up Manufacturing Investment Company (legislative proposal) .....			1,250
233999 Total subsidy budget authority .....			1,250

**BUSINESS DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4148-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	34	35	44
0713 Payment of interest to Treasury .....	6	5	5
0742 Downward reestimate paid to receipt account .....	12	2	
0743 Interest on downward reestimates .....	3		
0900 Total new obligations .....	55	42	49
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	18	10
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-3		
1021 Recoveries of prior year unpaid obligations .....	7	2	2

**BUSINESS DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 073-4148-0-3-376	2015 actual	2016 est.	2017 est.
1023 Unobligated balances applied to repay debt .....	-1	-2	-2
1050 Unobligated balance (total) .....	26	18	10
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	47	34	40
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	35	41	34
1801 Change in uncollected payments, Federal sources .....	-2		
1825 Spending authority from offsetting collections applied to repay debt .....	-33	-41	-34
1900 Budget authority (total) .....	47	34	40
1930 Total budgetary resources available .....	73	52	50
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	18	10	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	45	48
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	3		
3010 Obligations incurred, unexpired accounts .....	55	42	49
3020 Outlays (gross) .....	-47	-37	-40
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-2	-2
3050 Unpaid obligations, end of year .....	45	48	55
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	37	40	43
3200 Obligated balance, end of year .....	40	43	50

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	47	34	40
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	47	37	40
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources: Payments from program account .....	-6	-3	-3
4120 Upward reestimate .....		-6	
4120 Interest on reestimate .....		-2	
4122 Interest on uninvested funds .....	-2	-2	-2
4123 Repayments of principal, net .....	-27	-26	-27
4123 Other income .....		-2	-2
4130 Offsets against gross budget authority and outlays (total) ....	-35	-41	-34
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2		
4160 Budget authority, net (mandatory) .....	14	-7	6
4170 Outlays, net (mandatory) .....	12	-4	6
4180 Budget authority, net (total) .....	14	-7	6
4190 Outlays, net (total) .....	12	-4	6

**Status of Direct Loans (in millions of dollars)**

Identification code 073-4148-0-3-376	2015 actual	2016 est.	2017 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	34	35	44
1150 Total direct loan obligations .....	34	35	44
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	167	168	171
1231 Disbursements: Direct loan disbursements .....	26	30	35
1251 Repayments: Repayments and prepayments .....	-25	-27	-28
1290 Outstanding, end of year .....	168	171	178

**Balance Sheet (in millions of dollars)**

Identification code 073-4148-0-3-376	2014 actual	2015 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	47	53

<b>Investments in US securities:</b>		
1106 Receivables, net .....	1	6
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	167	168
1405 Allowance for subsidy cost (-) .....	-10	-11
1499 Net present value of assets related to direct loans .....	157	157
1999 Total assets .....	205	216
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	204	213
2104 Resources payable to Treasury (Downward Reestimate) .....		3
2201 Non-Federal liabilities: Accounts payable .....	1	
2999 Total liabilities .....	205	216
4999 Total liabilities and net position .....	205	216

**BUSINESS GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 073-4149-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005 Other Expenses .....	51	75	75
<b>Credit program obligations:</b>			
0711 Default claim payments on principal .....	1,122	1,550	1,631
0712 Default claim payments on interest .....	98	135	142
0713 Payment of interest to Treasury .....	60	80	80
0742 Downward reestimate paid to receipt account .....	1,580	1,273	
0743 Interest on downward reestimates .....	238	252	
0791 Direct program activities, subtotal .....	3,098	3,290	1,853
0900 Total new obligations .....	3,149	3,365	1,928

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,843	3,402	1,975
1050 Unobligated balance (total) .....	3,843	3,402	1,975
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	197		
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2,684	1,938	1,843
1801 Change in uncollected payments, Federal sources .....	-45		
1825 Spending authority from offsetting collections applied to repay debt .....	-128		
1850 Spending auth from offsetting collections, mand (total) .....	2,511	1,938	1,843
1900 Budget authority (total) .....	2,708	1,938	1,843
1930 Total budgetary resources available .....	6,551	5,340	3,818
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3,402	1,975	1,890

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	39	114
3010 Obligations incurred, unexpired accounts .....	3,149	3,365	1,928
3020 Outlays (gross) .....	-3,156	-3,290	-1,853
3050 Unpaid obligations, end of year .....	39	114	189
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-120	-75	-75
3070 Change in uncollected pymts, Fed sources, unexpired .....	45		
3090 Uncollected pymts, Fed sources, end of year .....	-75	-75	-75
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-74	-36	39
3200 Obligated balance, end of year .....	-36	39	114

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2,708	1,938	1,843
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	3,156	3,290	1,853
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Payments from program account .....	-277	-59	-6
4120 Upward reestimate .....		-205	
4120 Interest on reestimate .....		-50	
4122 Interest on uninvested funds .....	-70	-70	-70
4123 Fees .....	-1,232	-1,230	-1,418

4123	Principal .....	-957	-324	-349
4123	Interest .....	-84		
4123	Sale of Foreclosed Property .....	-27		
4123	Other .....	-37		
4130	Offsets against gross budget authority and outlays (total) ...	-2,684	-1,938	-1,843
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	45		
4160	Budget authority, net (mandatory) .....	69		
4170	Outlays, net (mandatory) .....	472	1,352	10
4180	Budget authority, net (total) .....	69		
4190	Outlays, net (total) .....	472	1,352	10

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 073-4149-0-3-376	2015 actual	2016 est.	2017 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....	47,000	57,500	58,000
2121	Limitation available from carry-forward .....			
2142	Uncommitted loan guarantee limitation .....	-12,044		
2150	Total guaranteed loan commitments .....	34,956	57,500	58,000
2199	Guaranteed amount of guaranteed loan commitments .....	29,087	50,427	50,794
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	99,005	105,658	117,954
2231	Disbursements of new guaranteed loans .....	24,934	31,886	40,193
2251	Repayments and prepayments .....	-16,778	-17,905	-19,989
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-1,358	-1,514	-1,593
2263	Terminations for default that result in claim payments .....	-138	-171	-180
2264	Other adjustments, net .....	-7		
2290	Outstanding, end of year .....	105,658	117,954	136,385

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	88,335	98,614	114,024
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Addendum:

Identification code 073-4149-0-3-376	2014 actual	2015 actual	
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	7,343	5,793
2331	Disbursements for guaranteed loan claims .....	1,131	1,409
2351	Repayments of loans receivable .....	-831	-430
2361	Write-offs of loans receivable .....	-1,952	-1,659
2364	Other adjustments, net .....	102	
2390	Outstanding, end of year .....	5,793	5,113

**Balance Sheet** (in millions of dollars)

Identification code 073-4149-0-3-376	2014 actual	2015 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	3,735	3,254
Investments in US securities:			
1106	Receivables, net .....	164	248
1206	Non-Federal assets: Receivables, net .....	81	96
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	7,343	5,793
1504	Foreclosed property .....	35	23
1505	Allowance for subsidy cost (-) .....	-6,164	-4,745
1599	Net present value of assets related to defaulted guaranteed loans .....	1,214	1,071
1999	Total assets .....	5,194	4,669
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	1,511	1,501
2105	Other .....	1,598	1,475
Non-Federal liabilities:			
2201	Accounts payable .....	41	32
2204	Liabilities for loan guarantees .....	2,044	1,661
2999	Total liabilities .....	5,194	4,669

4999	Total liabilities and net position .....	5,194	4,669
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**BUSINESS GUARANTEED LOAN FINANCING ACCOUNT**

(Legislative proposal, subject to PAYGO)

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 073-4149-4-3-376	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....		5,000
2121	Limitation available from carry-forward .....		
2150	Total guaranteed loan commitments .....		5,000
2199	Guaranteed amount of guaranteed loan commitments .....		5,000
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....		
2231	Disbursements of new guaranteed loans .....		
2251	Repayments and prepayments .....		
2290	Outstanding, end of year .....		
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....		
2331	Disbursements for guaranteed loan claims .....		
2390	Outstanding, end of year .....		

**BUSINESS LOAN FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4154-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0005	Guaranteed loan default claims .....	3	3
0006	Interest to UST .....	1	1
0007	Other Expenses .....	1	1
0600	Direct program activities, subtotal .....	1	5
0900	Total new obligations .....	1	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1	2
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	8	3
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-8	
1850	Spending auth from offsetting collections, mand (total) .....		3
1900	Budget authority (total) .....	1	5
1930	Total budgetary resources available .....	1	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1
3010	Obligations incurred, unexpired accounts .....	1	5
3020	Outlays (gross) .....	-1	-5
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	1
3200	Obligated balance, end of year .....	1	1

**Budget authority and outlays, net:**

Identification code 073-4154-0-3-376	2015 actual	2016 est.	2017 est.
Mandatory:			
4090	Budget authority, gross .....	1	5
Outlays, gross:			
4100	Outlays from new mandatory authority .....		5
4101	Outlays from mandatory balances .....	1	
4110	Outlays, gross (total) .....	1	5

BUSINESS LOAN FUND LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 073-4154-0-3-376	2015 actual	2016 est.	2017 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-8	-3	-3
4180 Budget authority, net (total) .....	-7	2	2
4190 Outlays, net (total) .....	-7	2	2

Status of Direct Loans (in millions of dollars)

Identification code 073-4154-0-3-376	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	8	8	5
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1263 Write-offs for default: Write-offs for default .....	-2	-2	-2
1290 Outstanding, end of year .....	8	5	2

Status of Guaranteed Loans (in millions of dollars)

Identification code 073-4154-0-3-376	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	8	4	3
2251 Repayments and prepayments .....	-4	-1	-1
2290 Outstanding, end of year .....	4	3	2

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3	3	2
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	9	12	11
2331 Disbursements for guaranteed loan claims .....	3	3	3
2351 Repayments of loans receivable .....	-1	-3	-3
2361 Write-offs of loans receivable .....	-2	-1	-1
2364 Other adjustments, net .....	6		
2390 Outstanding, end of year .....	12	11	10

Balance Sheet (in millions of dollars)

Identification code 073-4154-0-3-376	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1	1
1206 Non-Federal assets: Receivables, net .....	6	
1601 Direct loans, gross .....	8	8
1603 Allowance for estimated uncollectible loans and interest (-) .....	-8	-4
1699 Value of assets related to direct loans .....		4
1701 Defaulted guaranteed loans, gross .....	9	12
1703 Allowance for estimated uncollectible loans and interest (-) .....	-9	-6
1799 Value of assets related to loan guarantees .....		6
1801 Other Federal assets: Cash and other monetary assets .....	1	1
1999 Total assets .....	8	12
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	1	1
2104 Resources payable to Treasury .....	7	11
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	8	12
4999 Total liabilities and net position .....	8	12

Object Classification (in millions of dollars)

Identification code 073-4154-0-3-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
33.0 Investments and loans .....		4	4
43.0 Interest and dividends .....	1	1	1

99.9 Total new obligations .....	1	5	5
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DISASTER LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the direct loan program authorized by section 7(b) of the Small Business Act, **[\$186,858,000]** \$185,977,000, to be available until expended, of which \$1,000,000 is for the Office of Inspector General of the Small Business Administration for audits and reviews of disaster loans and the disaster loan programs and shall be transferred to and merged with the appropriations for the Office of Inspector General; of which **[\$176,858,000]** \$175,977,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, which may be transferred to and merged with the appropriations for Salaries and Expenses; and of which \$9,000,000 is for indirect administrative expenses for the direct loan program, which may be transferred to and merged with the appropriations for Salaries and Expenses: *Provided, That, of the funds provided herein, \$158,829,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5122(2)); \$151,179,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program; and \$7,650,000 is for indirect administrative expenses for the direct loan program: Provided further, That the amount for major disasters under this heading is designated by Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177), as amended. (Financial Services and General Government Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 073-1152-0-1-453	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	36	133	159
0705 Reestimates of direct loan subsidy .....	20	9	
0706 Interest on reestimates of direct loan subsidy .....	15		
0709 Administrative expenses .....	187	187	186
0900 Total new obligations .....	258	329	345

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	738	705	588
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	738	705	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-3		
1021 Recoveries of prior year unpaid obligations .....	6	15	15
1050 Unobligated balance (total) .....	741	720	603
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	187	187	27
1100 Appropriation-Discretionary, Disaster Relief pursuant to 2011 Budget Control Act, Appropriations Committee .....			159
1160 Appropriation, discretionary (total) .....	187	187	186
Appropriations, mandatory:			
1200 Appropriation .....	35	10	
1900 Budget authority (total) .....	222	197	186
1930 Total budgetary resources available .....	963	917	789
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	705	588	444

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	14	21
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	3		
3010 Obligations incurred, unexpired accounts .....	258	329	345
3020 Outlays (gross) .....	-256	-307	-315
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-15	-15
3050 Unpaid obligations, end of year .....	14	21	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	14	21
3200 Obligated balance, end of year .....	14	21	36

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	187	187	186
Outlays, gross:			
4010 Outlays from new discretionary authority .....	187	187	186

4011	Outlays from discretionary balances .....	34	110	129
4020	Outlays, gross (total) .....	221	297	315
	Mandatory:			
4090	Budget authority, gross .....	35	10	.....
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	35	10	.....
4180	Budget authority, net (total) .....	222	197	186
4190	Outlays, net (total) .....	256	307	315

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 073-1152-0-1-453	2015 actual	2016 est.	2017 est.	
<b>Direct loan levels supportable by subsidy budget authority:</b>				
115001	Disaster Assistance Loans .....	293	1,100	1,100
115999	Total direct loan levels .....	293	1,100	1,100
<b>Direct loan subsidy (in percent):</b>				
132001	Disaster Assistance Loans .....	12.43	12.10	14.42
132999	Weighted average subsidy rate .....	12.43	12.10	14.42
<b>Direct loan subsidy budget authority:</b>				
133001	Disaster Assistance Loans .....	36	133	159
133999	Total subsidy budget authority .....	36	133	159
<b>Direct loan subsidy outlays:</b>				
134001	Disaster Assistance Loans .....	34	79	88
134999	Total subsidy outlays .....	34	79	88
<b>Direct loan reestimates:</b>				
135001	Disaster Assistance Loans .....	1	-112	.....
135002	Economic Injury Disaster Loans—Terrorist Attack .....	1	-2	.....
135999	Total direct loan reestimates .....	2	-114	.....
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>				
215001	Immediate Disaster Assistance .....	.....	.....	18
215002	Expedited Disaster Assistance .....	.....	.....	59
215999	Total loan guarantee levels .....	.....	.....	77
<b>Guaranteed loan subsidy (in percent):</b>				
232001	Immediate Disaster Assistance .....	.....	.....	1.91
232002	Expedited Disaster Assistance .....	.....	.....	2.25
232999	Weighted average subsidy rate .....	.....	.....	2.17
<b>Guaranteed loan subsidy budget authority:</b>				
233002	Expedited Disaster Assistance .....	.....	.....	1
233999	Total subsidy budget authority .....	.....	.....	1
<b>Guaranteed loan subsidy outlays:</b>				
234002	Expedited Disaster Assistance .....	.....	.....	1
234999	Total subsidy outlays .....	.....	.....	1
<b>Administrative expense data:</b>				
3510	Budget authority .....	187	187	186
3590	Outlays from new authority .....	187	187	186

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for loans made pursuant to Section 7(b) of the Small Business Act, as amended, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Disaster loans made pursuant to Section 7(b) of the Small Business Act provide Federal assistance for non-farm, private sector disaster losses. Through the disaster assistance program, SBA helps homeowners, renters, businesses of all sizes, and non-profit organizations pay for the cost of replacing, rebuilding or repairing property damaged by disasters. The program is the only form of SBA financial assistance not limited to small businesses. The program provides subsidized loans of up to 30 years to borrowers who have incurred uninsured physical losses or economic injury as the result of a disaster.

In 2017, the Budget supports \$1.1 billion in loans, the ten-year normalized average for such loans. The Budget does not request new credit subsidy budget authority, as SBA has sufficient unobligated balances to support estimated 2017 loan approvals. However, the Budget does request \$158.8 million in new budget authority for administrative expenses related to

major disasters (pursuant to a determination under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act) and \$27.1 million for administrative expenses related to non-major disasters. The funding for major disasters will be designated as being for disaster relief under the cap adjustment in section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

**Object Classification** (in millions of dollars)

Identification code 073-1152-0-1-453	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
25.2	Other services from non-Federal sources .....	187	187	186
41.0	Grants, subsidies, and contributions .....	71	142	159
99.9	Total new obligations .....	258	329	345

**DISASTER DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4150-0-3-453	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0003	Other .....	12	12	12
<b>Credit program obligations:</b>				
0710	Direct loan obligations .....	293	1,100	1,100
0713	Payment of interest to Treasury .....	242	500	500
0742	Downward reestimate paid to receipt account .....	27	84	.....
0743	Interest on downward reestimates .....	5	40	.....
0791	Direct program activities, subtotal .....	567	1,724	1,600
0900	Total new obligations .....	579	1,736	1,612
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	484	271	187
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-23	.....	.....
1021	Recoveries of prior year unpaid obligations .....	61	99	99
1050	Unobligated balance (total) .....	522	370	286
<b>Financing authority:</b>				
<b>Borrowing authority, mandatory:</b>				
1400	Borrowing authority .....	307	1,007	1,007
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	1,005	1,046	1,046
1801	Change in uncollected payments, Federal sources .....	-1	.....	.....
1820	Capital transfer of spending authority from offsetting collections to general fund .....	.....	-500	-500
1825	Spending authority from offsetting collections applied to repay debt .....	-983	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	21	546	546
1900	Budget authority (total) .....	328	1,553	1,553
1930	Total budgetary resources available .....	850	1,923	1,839
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	271	187	227

<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	158	119	243
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	23	.....	.....
3010	Obligations incurred, unexpired accounts .....	579	1,736	1,612
3020	Outlays (gross) .....	-580	-1,513	-1,513
3040	Recoveries of prior year unpaid obligations, unexpired .....	-61	-99	-99
3050	Unpaid obligations, end of year .....	119	243	243
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	166	105	229
3200	Obligated balance, end of year .....	105	229	229

<b>Financing authority and disbursements, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	328	1,553	1,553
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	580	1,513	1,513

DISASTER DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 073-4150-0-3-453	2015 actual	2016 est.	2017 est.
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120			
Payments from program account .....	-34	-78	-88
4120			
Upward reestimate .....	-20	-10	
4120			
Interest on upward reestimate .....	-15		
4122			
Interest income from Treasury .....	-36	-230	-230
4123			
Repayments of principal, net .....	-900	-728	-728
4130			
Offsets against gross budget authority and outlays (total) ....	-1,005	-1,046	-1,046
Additional offsets against financing authority only (total):			
4140			
Change in uncollected pymts, Fed sources, unexpired .....	1		
4160			
Budget authority, net (mandatory) .....	-676	507	507
4170			
Outlays, net (mandatory) .....	-425	467	467
4180			
Budget authority, net (total) .....	-676	507	507
4190			
Outlays, net (total) .....	-425	467	467

Status of Direct Loans (in millions of dollars)

Identification code 073-4150-0-3-453	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1121			
Limitation available from carry-forward .....	5,937	4,188	4,188
1143			
Unobligated limitation carried forward (P.L. xx) (-) .....	-5,644	-3,088	-3,088
1150			
Total direct loan obligations .....	293	1,100	1,100
Cumulative balance of direct loans outstanding:			
1210			
Outstanding, start of year .....	6,794	6,347	6,418
1231			
Disbursements: Direct loan disbursements .....	295	708	1,043
1251			
Repayments: Repayments and prepayments .....	-648	-543	-522
Write-offs for default:			
1263			
Direct loans .....	-101	-94	-95
1264			
Other adjustments, net (+ or -) .....	7		
1290			
Outstanding, end of year .....	6,347	6,418	6,844

Balance Sheet (in millions of dollars)

Identification code 073-4150-0-3-453	2014 actual	2015 actual
ASSETS:		
1101		
Federal assets: Fund balances with Treasury .....	515	324
Net value of assets related to post-1991 direct loans receivable:		
1401		
Direct loans receivable, gross .....	6,794	6,347
1405		
Allowance for subsidy cost (-) .....	-1,227	-1,085
1499		
Net present value of assets related to direct loans .....	5,567	5,262
1999		
Total assets .....	6,082	5,586
LIABILITIES:		
Federal liabilities:		
2103		
Debt .....	6,080	5,584
2105		
Other .....	2	2
2999		
Total liabilities .....	6,082	5,586
4999		
Total liabilities and net position .....	6,082	5,586

DISASTER LOANS GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4293-0-3-453	2015 actual	2016 est.	2017 est.
Budgetary resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800			
Collected .....			1
1930			
Total budgetary resources available .....			1
Memorandum (non-add) entries:			
1941			
Unexpired unobligated balance, end of year .....			1
Financing authority and disbursements, net:			
Mandatory:			
4090			
Budget authority, gross .....			1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120			
Payments from program account .....			-1

4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 073-4293-0-3-453	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2121			
Limitation available from carry-forward .....	74	77	77
2143			
Uncommitted limitation carried forward .....	-74	-77	
2150			
Total guaranteed loan commitments .....			77
2199			
Guaranteed amount of guaranteed loan commitments .....			63
Cumulative balance of guaranteed loans outstanding:			
2210			
Outstanding, start of year .....			
2231			
Disbursements of new guaranteed loans .....			68
2251			
Repayments and prepayments .....			-12
2290			
Outstanding, end of year .....			56
Memorandum:			
2299			
Guaranteed amount of guaranteed loans outstanding, end of year .....			45

DISASTER LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4153-0-3-453	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0101			
Interest expense to Treasury .....		2	2
0103			
Other Expenses .....		1	1
0900			
Total new obligations (object class 25.2) .....		3	3
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200			
Appropriation .....		2	2
Spending authority from offsetting collections, mandatory:			
1800			
Collected .....	1	1	1
1820			
Capital transfer of spending authority from offsetting collections to general fund .....	-1		
1850			
Spending auth from offsetting collections, mand (total) .....		1	1
1900			
Budget authority (total) .....		3	3
1930			
Total budgetary resources available .....		3	3
Change in obligated balance:			
Unpaid obligations:			
3000			
Unpaid obligations, brought forward, Oct 1 .....			3
3010			
Obligations incurred, unexpired accounts .....		3	3
3050			
Unpaid obligations, end of year .....		3	6
Memorandum (non-add) entries:			
3100			
Obligated balance, start of year .....			3
3200			
Obligated balance, end of year .....		3	6

Budget authority and outlays, net:

Mandatory:			
4090			
Budget authority, gross .....		3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123			
Non-Federal sources .....	-1	-1	-1
4180			
Budget authority, net (total) .....	-1	2	2
4190			
Outlays, net (total) .....	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 073-4153-0-3-453	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210			
Outstanding, start of year .....	4	3	2
1251			
Repayments: Repayments and prepayments .....	-1	-1	-1
1290			
Outstanding, end of year .....	3	2	1

**Balance Sheet** (in millions of dollars)

Identification code 073-4153-0-3-453	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		
1601 Direct loans, net .....	4	3
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1	
1699 Value of assets related to direct loans .....	3	3
1999 Total assets .....	3	3
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	3	3
4999 Total liabilities and net position .....	3	3

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
073-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	6		
073-272130 Disaster Loan Program, Downward Reestimates of Subsidies .....	33	123	
073-272230 Business Loan Program, Downward Reestimates of Subsidies .....	1,833	1,528	
General Fund Offsetting receipts from the public .....	1,872	1,651	

**ADMINISTRATIVE PROVISIONS—SMALL BUSINESS ADMINISTRATION**

(INCLUDING CANCELLATION AND TRANSFER OF FUNDS)

SEC. 520. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Small Business Administration in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this paragraph shall be treated as a reprogramming of funds under section 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 521. (a) Subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)), as in effect on September 25, 2012, shall be in effect in any fiscal year during which the cost to the Federal Government of making guarantees under such subparagraph (C) and section 503 of the Small Business Investment Act of 1958 (15 U.S.C. 697) is zero, except that—

- (1) subclause (I)(bb) and subclause (II) of clause (iv) of such subparagraph (C) shall not be in effect;

(2) unless, upon application by a development company and after determining that the refinance loan is needed for good cause, the Administrator of the Small Business Administration waives this paragraph, a development company shall limit its financings under section 502 of the Small Business Investment Act of 1958 (15 U.S.C. 696) so that, during any fiscal year, new financings under such subparagraph (C) shall not exceed 50 percent of the dollars loaned under title V of the Small Business Investment Act of 1958 (15 U.S.C. 695 et seq.) during the previous fiscal year; and

(3) clause (iv)(I)(aa) of such subparagraph (C) shall be applied by substituting "job creation and retention" for "job creation".

(b) Section 303(b)(2)(B) of the Small Business Investment Act of 1958 (15 U.S.C. 683(b)(2)(B)) is amended by striking "\$225,000,000" and inserting "\$350,000,000".

SEC. 521. *For loans and loan guarantees that do not require budget authority and the program level has been established in this Act, the Administrator of the Small Business Administration may increase the program level for such loans and loan guarantees by not more than 15 percent: Provided, That prior to the Administrator implementing such an increase, the Administrator notifies, in writing, the Committees on Appropriations and Small Business of both Houses of Congress at least 15 days in advance.*

SEC. 522. *Of the unobligated balances available for the Certified Development Company Program under section 503 of the Small Business Investment Act of 1958, as amended, \$55,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

SEC. 523. *Section 7(m) of the Small Business Act (15 U.S.C. 636(m)) is amended—*

(a) *in paragraph (4)—*

(1) *by striking subparagraph (E); and*

(2) *by redesignating subparagraph (F) as subparagraph (E); and*

(b) *in paragraph (7), by striking subparagraph (B).*

SEC. 524. **SMALL BUSINESS DEVELOPMENT CENTER AND WOMEN'S BUSINESS CENTER PROGRAM EVALUATIONS.**

(a) *Section 21(a)(7)(A) of the Small Business Act (15 U.S.C. 648(a)(7)(A)) is amended by—*

(1) *striking the word "or" at the end of clause (i);*

(2) *striking the period at the end of clause (ii) and insert "; or"; and*

(3) *adding the following new clause (iii): "(iii) the Administrator considers such a disclosure to be necessary for the purpose of conducting a program evaluation."*

(b) *Section 29(n)(1) of the Small Business Act (15 U.S.C. 656(n)(1)) is amended by—*

(1) *striking the word "or" at the end of subparagraph (A);*

(2) *striking the period at the end of subparagraph (B) and insert "; or"; and*

(3) *adding the following new subparagraph (C): "(C) the Administrator considers such a disclosure to be necessary for the purpose of conducting a program evaluation."*

(Financial Services and General Government Appropriations Act, 2016.)



# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund, as provided under sections 201(m) [ , 228(g), ] and 1131(b)(2) of the Social Security Act, \$11,400,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 028-0404-0-1-651	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Taxation of benefits .....	30,663	32,586	39,221
0002 Other .....	22	28	29
0003 Payroll Tax holiday .....	242	118	.....
0900 Total new obligations .....	30,927	32,732	39,250
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	12	12
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	30,939	32,732	39,250
1930 Total budgetary resources available .....	30,952	32,744	39,262
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-13	.....	.....
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	3	3
3010 Obligations incurred, unexpired accounts .....	30,927	32,732	39,250
3020 Outlays (gross) .....	-30,925	-32,732	-39,250
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	30,939	32,732	39,250
Outlays, gross:			
4100 Outlays from new mandatory authority .....	30,924	32,731	39,250
4101 Outlays from mandatory balances .....	1	1	.....
4110 Outlays, gross (total) .....	30,925	32,732	39,250
4180 Budget authority, net (total) .....	30,939	32,732	39,250
4190 Outlays, net (total) .....	30,925	32,732	39,250

#### Summary of Budget Authority and Outlays (in millions of dollars)

Identification code 028-0404-0-1-651	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	30,939	32,732	39,250
Outlays .....	30,925	32,732	39,250
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....	.....	.....	220
Outlays .....	.....	.....	220
<b>Total:</b>	30,939	32,732	39,470
Outlays .....	30,925	32,732	39,470

This general fund appropriation reimburses the Social Security trust funds annually for 1) pension reform, 2) interest on unnegotiated checks, and 3) Quinquennial Military Service Credits. Amounts appropriated to this account as permanent indefinite authority include receipts from Federal income taxation of Social Security benefits. Section 842 of the Bipartisan Budget Act, 2015 (Public Law 114-74) amends section 217(g)(2) of the Social Security Act ending trust fund/general fund Quinquennial Military Service Credit adjustments effective retroactively to 2010, the date of the last such adjustment.

## Object Classification (in millions of dollars)

Identification code 028-0404-0-1-651	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	22	28	29
94.0 Financial transfers .....	30,663	32,586	39,221
94.0 Financial transfers .....	242	118	.....
99.9 Total new obligations .....	30,927	32,732	39,250

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 028-0404-4-1-651	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Wage Reporting - Payment to the LAE .....	.....	.....	140
0002 WEP-GPO Admin. Funds - Payment to the LAE .....	.....	.....	70
0003 WC Information Reporting - Payment to the LAE .....	.....	.....	10
0900 Total new obligations (object class 94.0) .....	.....	.....	220
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	220
1930 Total budgetary resources available .....	.....	.....	220
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	220
3020 Outlays (gross) .....	.....	.....	-220
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	220
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	220
4180 Budget authority, net (total) .....	.....	.....	220
4190 Outlays, net (total) .....	.....	.....	220

Please see the narrative in the Limitation on Administrative Expenses account for a description of the Federal Wage Reporting, Worker's Compensation, IT Modernization and alternative Windfall Elimination Provision and Government Pension Offset proposals reflected here.

### ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT

#### Program and Financing (in millions of dollars)

Identification code 028-0415-0-1-571	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	6
3020 Outlays (gross) .....	.....	-6	-6
3050 Unpaid obligations, end of year .....	12	6	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	12	6
3200 Obligated balance, end of year .....	12	6	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	.....	6	6

ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT—Continued

Program and Financing—Continued

Identification code 028-0415-0-1-571	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		6	6

Public Law 110-275 requires SSA to transmit identity and financial data used to determine eligibility and the amount of Extra Help (also known as low-income subsidy) from the application process to the Medicaid State agency to initiate an application for the Medicare Savings Program (MSP). As of 2011, new funding for this program comes from a reimbursable agreement with the Centers for Medicare and Medicaid Services and this funding is reflected within the Limitation on Administrative Expenses account.

ADMINISTRATIVE EXPENSES, CHILDREN'S HEALTH INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 028-0416-0-1-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative Expenses, Children's Health Insurance Program (Direct) .....		1	1
0100 Direct program activities, subtotal .....		1	1
0900 Total new obligations (object class 11.1) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1930 Total budgetary resources available .....	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	1

Public Law 111-3 provides assistance for states to insure low-income children who are not eligible for Medicaid whose parent(s) or guardian(s) cannot afford private insurance.

Employment Summary

Identification code 028-0416-0-1-551	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		10	10

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$46,305,733,000]** \$43,824,868,000, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury: *Provided further*, That not more than **[\$101,000,000]** \$58,000,000 shall be available for research and demonstrations under sections 1110, 1115, and 1144 of the Social Security Act, and remain available through September 30, **[2018]** 2019.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2017]** 2018, **[\$14,500,000,000]** \$15,000,000,000, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 028-0406-0-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Supplemental Security Income Program (Direct) .....	59,423	63,483	60,233
0002 Program Integrity .....		1,030	1,387
0799 Total direct obligations .....	59,423	64,513	61,620
0801 State supplementation payments .....	2,632	2,870	2,680
0809 Reimbursable program activities, subtotal .....	2,632	2,870	2,680
0900 Total new obligations .....	62,055	67,383	64,300
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,012	3,845	3,612
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	24	3,845	
1012 Unobligated balance transfers between expired and unexpired accounts .....		63	44
1021 Recoveries of prior year unpaid obligations .....	320		
1050 Unobligated balance (total) .....	2,332	3,908	3,656
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,655	4,743	5,287
Appropriations, mandatory:			
1200 Appropriation .....	36,578	40,487	38,513
Advance appropriations, mandatory:			
1270 Advance appropriation .....	19,700	19,200	14,500
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,634	2,657	2,680
1900 Budget authority (total) .....	63,568	67,087	60,980
1930 Total budgetary resources available .....	65,900	70,995	64,636
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,845	3,612	336
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,891	3,090	3,141
3010 Obligations incurred, unexpired accounts .....	62,055	67,383	64,300
3020 Outlays (gross) .....	-61,536	-67,332	-64,279
3040 Recoveries of prior year unpaid obligations, unexpired .....	-320		
3050 Unpaid obligations, end of year .....	3,090	3,141	3,162
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,891	3,090	3,141
3200 Obligated balance, end of year .....	3,090	3,141	3,162
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,656	4,743	5,287
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,605	4,099	4,641
4011 Outlays from discretionary balances .....	556	655	721
4020 Outlays, gross (total) .....	4,161	4,754	5,362
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
Mandatory:			
4090 Budget authority, gross .....	58,912	62,344	55,693
Outlays, gross:			
4100 Outlays from new mandatory authority .....	55,394	59,112	55,685
4101 Outlays from mandatory balances .....	1,981	3,466	3,232
4110 Outlays, gross (total) .....	57,375	62,578	58,917
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2,634	-2,657	-2,680
4180 Budget authority, net (total) .....	60,933	64,430	58,300
4190 Outlays, net (total) .....	58,901	64,675	61,599

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Enacted/requested:</b>			
Budget Authority .....	60,933	64,430	58,300
Outlays .....	58,901	64,675	61,599
<b>Amounts included in the adjusted baseline:</b>			
Budget Authority .....			25
Outlays .....			25
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			34
Outlays .....			34
<b>Total:</b>			
Budget Authority .....	60,933	64,430	58,359
Outlays .....	58,901	64,675	61,658

Title XVI of the Social Security Act established a Supplemental Security Income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for low-income individuals who are aged, blind, or disabled. A portion of these funds may be used to fund evaluation of research projects, such as the Promoting Readiness of Minors in SSI (PROMISE) pilot, which would improve the outcomes of children receiving SSI and their families.

**Object Classification** (in millions of dollars)

Identification code 028-0406-0-1-609	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.3 Administrative Expenses .....	4,580	4,664	5,326
25.3 Beneficiary Services .....	88	91	89
41.0 Federal benefits .....	54,706	59,610	56,142
41.0 Research .....	49	63	63
41.0 Early Intervention Demo .....		85	
99.0 Direct obligations .....	59,423	64,513	61,620
99.0 Reimbursable obligations .....	2,632	2,870	2,680
99.9 Total new obligations .....	62,055	67,383	64,300

**SUPPLEMENTAL SECURITY INCOME PROGRAM**  
(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

Identification code 028-0406-7-1-609	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			25
1900 Budget authority (total) .....			25
1930 Total budgetary resources available .....			25
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			25
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			-25
3050 Unpaid obligations, end of year .....			-25
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-25
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			25
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			25
4180 Budget authority, net (total) .....			25

4190 Outlays, net (total) .....	25
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**SUPPLEMENTAL SECURITY INCOME PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 028-0406-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			34
0900 Total new obligations (object class 41.0) .....			34
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			34
1930 Total budgetary resources available .....			34
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			34
3020 Outlays (gross) .....			-34
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			34
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			34
4180 Budget authority, net (total) .....			34
4190 Outlays, net (total) .....			34

The Budget includes a re-proposal to conform the treatment of state and local government Earned Income Tax Credits (EITC) and Child Tax Credits (CTC) to be consistent with the treatment of the Federal EITC and CTC for purposes of determining eligibility for the Supplemental Security Income (SSI) program. Currently, the Federal EITC and CTC credits are excluded from SSI income and resource tests. However, state and local versions of these credits are not excluded, resulting in the reduction or loss of SSI benefits for those receiving the credits.

The Budget will re-propose the development of a process to collect workers' compensation (WC) information in a timely manner from states and private insurers in order to correctly offset DI benefits and reduce SSI payments.

The Budget also includes a re-proposal that would increase the SSI eligibility period for refugees, asylees, and other non-citizens in refugee-like immigration statuses (i.e., humanitarian immigrants) from a maximum of seven years to a maximum of nine years during fiscal years (FY) 2017 and 2018. Individuals whose benefits expired solely due to the seven-year time period would be eligible for up to 24 months of extended benefits paid prospectively until the conclusion of the nine-year period or the end of FY 2018, if earlier.

The Budget also re-proposes to hold fraud facilitators liable for overpayments by allowing SSA to recover the overpayment from a third party if the third party was responsible for making fraudulent statements or providing false evidence that allowed the beneficiary to receive payments that should not have been paid.

The Budget will re-propose to restructure the Federal Wage Reporting process by moving from annual to quarterly wage reporting. This proposal will enhance tax administration and improve SSI program integrity.

The Budget will propose to allow SSA to conduct data matches with private commercial databases that maintain data on ownership of real property (i.e., land and buildings), which can be a countable resource for SSI purposes. The proposal would authorize SSA to use that information to automatically increase or decrease benefits accordingly, after proper notification. New beneficiaries would be required to consent to allow SSA to access these databases as a condition of benefit receipt. All other current due process and appeal rights would be preserved.

The Budget will also repropose allowing the use of Customs and Border Patrol Entry/Exit data to prevent improper payments.

SUPPLEMENTAL SECURITY INCOME PROGRAM—Continued

The Budget will propose the usage of all collection tools to recover funds in certain scenarios, such as when someone improperly cashes a beneficiary's check.

The Budget will provide for the exclusion of SSA debts from discharge in bankruptcy proceedings.

The Budget will also propose conducting a continuing disability review when we believe that fraud or similar fault was involved in a prior continuing disability review.

The Budget includes Child Support Enforcement proposals that increase collections and expand distribution, which in turn reduce low-income families' reliance on SSI and result in savings to the program.

Finally, the Budget proposes the elimination of dedicated accounts, which are now required when past-due benefits greater than six times the maximum monthly benefit will be paid.

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

Program and Financing (in millions of dollars)

Identification code 028-0401-0-1-701	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Special Benefits for Certain World War II Veterans (Direct) .....	3	4	3
0801 State supplement payments .....	1	1	1
0900 Total new obligations .....	4	5	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		1	1
Appropriations, mandatory:			
1200 Appropriation .....	3	3	2
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1900 Budget authority (total) .....	4	5	4
1930 Total budgetary resources available .....	4	5	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	5	4
3020 Outlays (gross) .....	-4	-5	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
Mandatory:			
4090 Budget authority, gross .....	4	4	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	3	4	3
4190 Outlays, net (total) .....	3	4	3

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old; were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II; and who were eligible for SSI for the month of December 1999. To receive this benefit, these individuals must reside outside the United States and meet other requirements for eligibility.

Object Classification (in millions of dollars)

Identification code 028-0401-0-1-701	2015 actual	2016 est.	2017 est.
42.0 Direct obligations: Insurance claims and indemnities .....	3	4	3
99.0 Reimbursable obligations .....	1	1	1

99.9 Total new obligations .....	4	5	4
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OFFICE OF INSPECTOR GENERAL

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$29,787,000]** \$31,000,000, together with not to exceed **[\$75,713,000]** \$81,000,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any transfer. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 028-0400-0-1-600	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	103	106	112
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	30	31
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	66	76	81
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	75	76	81
1900 Budget authority (total) .....	104	106	112
1930 Total budgetary resources available .....	104	106	112
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	11	11
3010 Obligations incurred, unexpired accounts .....	103	106	112
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-104	-106	-112
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	11	11	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	8		
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-8	-10	-10
3200 Obligated balance, end of year .....	-10	-10	-10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	104	106	112
Outlays, gross:			
4010 Outlays from new discretionary authority .....	96	95	101
4011 Outlays from discretionary balances .....	8	11	11
4020 Outlays, gross (total) .....	104	106	112
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources against gross budget authority .....	-73	-76	-81
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	7		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	29	30	31
4080 Outlays, net (discretionary) .....	31	30	31
4180 Budget authority, net (total) .....	29	30	31
4190 Outlays, net (total) .....	31	30	31

The Office of the Inspector General conducts independent audits, evaluations, and investigations to identify and prevent fraud, waste, abuse, and mismanagement of Social Security Administration programs and operations.

**Object Classification** (in millions of dollars)

Identification code 028-0400-0-1-600	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	64	67	70
12.1 Civilian personnel benefits .....	26	25	26
21.0 Travel and transportation of persons .....	3	2	3
23.1 Rental payments to GSA .....	5	5	4
25.2 Other services from non-Federal sources .....		4	5
25.3 Other goods and services from Federal sources .....	2	1	2
25.4 Operation and maintenance of facilities .....	1	1	1
31.0 Equipment .....	2	1	1
99.9 Total new obligations .....	103	106	112

**Employment Summary**

Identification code 028-0400-0-1-600	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	528	540	560

**ADMINISTRATIVE EXPENSES, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 028-0417-0-1-651	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	163	94	47
3020 Outlays (gross) .....	-63	-47	-44
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	94	47	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	163	94	47
3200 Obligated balance, end of year .....	94	47	3

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	63	47	44
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	63	47	44

Public Law 111-5 provided funding to process disability and retirement work, to replace the National Computer Center, and to administer \$250 economic recovery payments to eligible Social Security and Supplemental Security Income beneficiaries. The funds for administering the \$250 economic recovery payments were obligated by the end of the first quarter of 2011, as payments ended on December 31, 2010. All obligations since 2012 are for the replacement of the National Computer Center. SSA received a Presidential Waiver on December 28, 2012, allowing the agency to retain and continue to obligate funds appropriated for expenses of the replacement of the National Computer Center.

**STATE SUPPLEMENTAL FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 028-5419-0-2-609	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	4	3	
<b>Receipts:</b>			
Current law:			
1130 State Supplemental Fees, SSI .....	122	133	126
2000 Total: Balances and receipts .....	126	136	126
<b>Appropriations:</b>			
Current law:			
2101 State Supplemental Fees .....	-124	-136	-126
5098 Rounding adjustment .....	1		

5099 Balance, end of year .....	3		
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**Program and Financing** (in millions of dollars)

Identification code 028-5419-0-2-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 State Supplemental Fees (Direct) .....	124	136	126
0900 Total new obligations (object class 25.3) .....	124	136	126
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	124	136	126
1930 Total budgetary resources available .....	124	136	126
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	124	136	126
3020 Outlays (gross) .....	-124	-136	-126
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	124	136	126
Outlays, gross:			
4010 Outlays from new discretionary authority .....	124	136	126
4180 Budget authority, net (total) .....	124	136	126
4190 Outlays, net (total) .....	124	136	126

The Social Security Administration (SSA) collects a fee from States for costs related to administering SSI State supplementary payments on behalf of States. A portion of these fees is used to fund some of SSA's administrative costs.

**Trust Funds**

**FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 028-8006-0-7-651	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2,645,146	2,696,110	2,702,986
0198 Receipt reconciliation adjustment .....	-3		
0199 Balance, start of year .....	2,645,143	2,696,110	2,702,986
<b>Receipts:</b>			
Current law:			
1110 FOASI, Transfers from General Fund (FICA Taxes) .....	621,504	622,112	634,463
1110 FOASI, Transfers from General Fund (SECA Taxes) .....	39,452	35,748	37,048
1110 FOASI, Refunds .....	-2,413	-2,717	-2,763
1130 FOASI, Non-Attorney Fees .....		1	1
1130 FOASI, Attorney Fees .....	1	1	1
1130 FOASI, Tax Refund Offset .....	10	10	10
1140 FOASI, Federal Employer Contributions (FICA Taxes) .....	13,684	13,846	14,030
1140 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312) .....	204	100	
1140 FOASI, Interest Received by Trust Funds .....	93,235	89,129	86,762
1140 FOASI, Federal Payments to the FOASI Trust Fund .....	29,639	31,403	37,167
1199 Total current law receipts .....	795,316	789,633	806,719
Proposed:			
1210 FOASI, Transfers from General Fund (FICA Taxes) .....		2	86
1999 Total receipts .....	795,316	789,635	806,805
2000 Total: Balances and receipts .....	3,440,459	3,485,745	3,509,791
<b>Appropriations:</b>			
Current law:			
2101 Federal Old-age and Survivors Insurance Trust Fund .....	-2,601	-2,714	-2,782
2101 Federal Old-age and Survivors Insurance Trust Fund .....	-792,727	-786,643	-803,933
2103 Federal Old-age and Survivors Insurance Trust Fund .....			-16,869
2134 Federal Old-age and Survivors Insurance Trust Fund .....	50,973	6,598	
2199 Total current law appropriations .....	-744,355	-782,759	-823,584
Proposed:			
2201 Federal Old-age and Survivors Insurance Trust Fund .....			9
2999 Total appropriations .....	-744,355	-782,759	-823,575
<b>Special and trust fund receipts returned:</b>			
3010 Federal Old-age and Survivors Insurance Trust Fund .....	7		
3098 Adjustment for change in allocation .....	270		
3098 Receipt reconciliation adjustment .....	-271		

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 028-8006-0-7-651	2015 actual	2016 est.	2017 est.
5099 Balance, end of year .....	2,696,110	2,702,986	2,686,216

Program and Financing (in millions of dollars)

Identification code 028-8006-0-7-651	2015 actual	2016 est.	2017 est.
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<b>Obligations by program activity:</b>			
0001 Federal Old-age and Survivors Insurance Trust Fund (Direct) ....	744,798	782,759	823,584
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	160		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	271		
1030 Other balances withdrawn to special or trust funds .....	-7		
1050 Unobligated balance (total) .....	424		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2,601	2,714	2,782
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	792,727	786,643	803,933
1203 Appropriation (previously unavailable) .....			16,869
1234 Appropriations precluded from obligation .....	-50,973	-6,598	
1260 Appropriations, mandatory (total) .....	741,754	780,045	820,802
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	19		
1900 Budget authority (total) .....	744,374	782,759	823,584
1930 Total budgetary resources available .....	744,798	782,759	823,584
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts .....	7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	67,556	70,714	73,997
3010 Obligations incurred, unexpired accounts .....	744,798	782,759	823,584
3020 Outlays (gross) .....	-741,480	-779,476	-820,022
3040 Recoveries of prior year unpaid obligations, unexpired .....	-160		
3050 Unpaid obligations, end of year .....	70,714	73,997	77,559
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	67,556	70,714	73,997
3200 Obligated balance, end of year .....	70,714	73,997	77,559
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,601	2,714	2,782
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,552	2,315	2,360
4011 Outlays from discretionary balances .....	452	442	457
4020 Outlays, gross (total) .....	3,004	2,757	2,817
Mandatory:			
4090 Budget authority, gross .....	741,773	780,045	820,802
Outlays, gross:			
4100 Outlays from new mandatory authority .....	671,374	776,719	817,205
4101 Outlays from mandatory balances .....	67,102		
4110 Outlays, gross (total) .....	738,476	776,719	817,205
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-19		
4180 Budget authority, net (total) .....	744,355	782,759	823,584
4190 Outlays, net (total) .....	741,461	779,476	820,022
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,712,805	2,766,649	2,732,659
5001 Total investments, EOY: Federal securities: Par value .....	2,766,649	2,732,659	2,654,972

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	744,355	782,759	823,584
Outlays .....	741,461	779,476	820,022
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-9

Outlays .....			-9
Total:			
Budget Authority .....	744,355	782,759	823,575
Outlays .....	741,461	779,476	820,013

The Old-Age and Survivors Insurance (OASI) program provides monthly cash benefits to retired workers and their dependents, and to survivors of deceased workers.

OASI Cash Outgo Detail

(in millions of dollars)

	2015	2016	2017
Benefit Payments .....	733,713	771,988	812,596
Payments to the Railroad Board .....	4,258	4,241	4,120
Administrative Expenses .....	3,004	2,757	2,817
Treasury Administrative Expenses .....	502	487	486
Beneficiary Services .....	3	3	3
Offsetting Collections .....	(19)	0	0
Increase Minimum Overpayment Withholding .....	0	0	(5)
Exclude Debts from Bankruptcy .....	0	0	(4)
Total Outgo .....	741,461	779,476	820,013

Status of Funds (in millions of dollars)

Identification code 028-8006-0-7-651	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	2,712,699	2,766,554	2,776,713
0999 Total balance, start of year .....	2,712,699	2,766,554	2,776,713
Cash income during the year:			
Current law:			
Receipts:			
1110 FOASI, Transfers from General Fund (FICA Taxes) .....	621,504	622,112	634,463
1110 FOASI, Transfers from General Fund (SECA Taxes) .....	39,452	35,748	37,048
1110 FOASI, Refunds .....	-2,413	-2,717	-2,763
1130 FOASI, Non-Attorney Fees .....		1	1
1130 FOASI, Attorney Fees .....	1	1	1
1130 FOASI, Tax Refund Offset .....	10	10	10
1150 FOASI, Interest Received by Trust Funds .....	93,235	89,129	86,762
1160 Federal Old-age and Survivors Insurance Trust Fund .....	19		
1160 FOASI, Federal Employer Contributions (FICA Taxes) .....	13,684	13,846	14,030
1160 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312) .....	204	100	
1160 FOASI, Federal Payments to the FOASI Trust Fund .....	29,639	31,403	37,167
1199 Income under present law .....	795,335	789,633	806,719
Proposed:			
1210 FOASI, Transfers from General Fund (FICA Taxes) .....			
1210 FOASI, Transfers from General Fund (FICA Taxes) .....		2	86
1299 Income proposed .....		2	86
1999 Total cash income .....	795,335	789,635	806,805
Cash outgo during year:			
Current law:			
2100 Federal Old-age and Survivors Insurance Trust Fund [016-00-8006-0] .....	-741,480	-779,476	-820,022
2199 Outgo under current law .....	-741,480	-779,476	-820,022
Proposed:			
2200 Federal Old-age and Survivors Insurance Trust Fund .....			9
2299 Outgo under proposed legislation .....			9
2999 Total cash outgo (-) .....	-741,480	-779,476	-820,013
Surplus or deficit:			
3110 Excluding interest .....	-39,380	-78,970	-99,970
3120 Interest .....	93,235	89,129	86,762
3199 Subtotal, surplus or deficit .....	53,855	10,159	-13,208
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-95	44,054	108,533
4200 Federal Old-age and Survivors Insurance Trust Fund .....	2,766,649	2,732,659	2,654,972
4999 Total balance, end of year .....	2,766,554	2,776,713	2,763,505

Object Classification (in millions of dollars)

Identification code 028-8006-0-7-651	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.2 Other services from non-Federal sources [Beneficiary Services] .....	3	3	3
25.3 Other goods and services from Federal sources [Treasury Payments] .....	502	487	486

25.3	Other goods and services from Federal sources [RRB] .....	4,258	4,241	4,120
42.0	Insurance claims and indemnities .....	737,010	775,314	816,193
94.0	Financial transfers [OIG] .....	39	40	42
94.0	Financial transfers [LAE + Line 1050] .....	2,986	2,674	2,740
99.9	Total new obligations .....	744,798	782,759	823,584

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-8006-2-7-651	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-9
0900 Total new obligations (object class 42.0) .....			-9
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-9
1930 Total budgetary resources available .....			-9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-9
3020 Outlays (gross) .....			9
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-9
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-9
4180 Budget authority, net (total) .....			-9
4190 Outlays, net (total) .....			-9

The Budget includes a re-proposal to terminate benefits for a stepchild in the same month as benefits are terminated for a parent upon the divorce of the parent. This change would correct a drafting error in the original legislation in order to conform the treatment of stepchild benefits to those of the parent's benefits.

The Budget will also re-propose using the Death Master File to prevent improper payments to deceased individuals by providing the full list to the Do Not Pay system at the Department of Treasury.

The Budget will re-propose to restructure the Federal Wage Reporting process by moving from annual to quarterly wage reporting. This proposal will enhance tax administration and improve OASI program integrity.

The Budget will also repropose using Customs and Border Patrol Entry/Exit data to prevent improper payments.

The Budget will propose the usage of all collection tools to recover funds in certain scenarios, such as when someone improperly cashes a beneficiary's check.

The Budget will provide for the exclusion of SSA debts from discharge in bankruptcy proceedings.

The Budget will also propose increasing the minimum monthly overpayment collection from \$10 a month to 10% of the overpayment amount.

The Budget also repropose to hold fraud facilitators liable for overpayments by allowing SSA to recover the overpayment from a third party if the third party was responsible for making fraudulent statements or providing false evidence that allowed the beneficiary to receive payments that should not have been paid.

Finally, the Budget includes a revised proposal to develop mechanisms for timely and accurate collection of Windfall Elimination Provision/Government Pension Offset (WEP/GPO) data from States and localities for use in payment calculations. In addition, it proposes to transition to an alternative approach which would adjust Social Security benefits based on the extent to which workers have non-covered earnings. SSA now collects data on non-covered employment and could calculate the offset without any disclosure from the individual.

FEDERAL DISABILITY INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 028-8007-0-7-651	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	42,715	13,041	11,194
<b>Receipts:</b>			
Current law:			
1110 FDI, Transfers from General Fund (FICA Taxes) .....	105,541	135,999	149,918
1110 FDI, Transfers from General Fund (SECA Taxes) .....	6,698	6,974	8,754
1110 FDI, Refunds .....	-410	-461	-653
1130 Attorney Fees, Federal Disability Insurance Trust Fund .....	26	24	25
1130 FDI, Tax Refund Offset .....	45	45	45
1140 FDI, Federal Employer Contributions (FICA Taxes) .....	2,324	3,059	3,315
1140 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312) .....	38	18	
1140 FDI, Interest Received by Trust Funds .....	2,733	1,525	1,567
1140 FDI, Federal Payments to the FDI Trust Fund .....	1,041	1,208	2,080
1199 Total current law receipts .....	118,036	148,391	165,051
Proposed:			
1210 FDI, Transfers from General Fund (FICA Taxes) .....			15
1999 Total receipts .....	118,036	148,391	165,066
2000 Total: Balances and receipts .....	160,751	161,432	176,260
<b>Appropriations:</b>			
Current law:			
2101 Federal Disability Insurance Trust Fund .....	-2,821	-2,960	-3,004
2101 Federal Disability Insurance Trust Fund .....	-115,218	-145,431	-162,126
2101 Federal Disability Insurance Trust Fund .....			71
2103 Federal Disability Insurance Trust Fund .....	-29,677	-1,847	
2134 Federal Disability Insurance Trust Fund .....			12,293
2199 Total current law appropriations .....	-147,716	-150,238	-152,766
Proposed:			
2201 Federal Disability Insurance Trust Fund .....			8
2999 Total appropriations .....	-147,716	-150,238	-152,758
<b>Special and trust fund receipts returned:</b>			
3010 Federal Disability Insurance Trust Fund .....	7		
3098 Adjustment for change in allocation .....	-104		
3098 Receipt reconciliation (allocation related) adjustment .....	104		
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....	13,041	11,194	23,502

Program and Financing (in millions of dollars)

Identification code 028-8007-0-7-651	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Disability Insurance Trust Fund (Direct) .....	147,722	150,238	152,766
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	114		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	-104		
1030 Other balances withdrawn to special or trust funds .....	-7		
1050 Unobligated balance (total) .....	3		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2,821	2,960	3,004
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	115,218	145,431	162,126
1203 Appropriation (previously unavailable) .....	29,677	1,847	
1234 Appropriations precluded from obligation .....			-12,293
1260 Appropriations, mandatory (total) .....	144,895	147,278	149,833
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3		
1900 Budget authority (total) .....	147,719	150,238	152,837
1930 Total budgetary resources available .....	147,722	150,238	152,837
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			71
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts .....	7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27,210	28,509	28,737
3010 Obligations incurred, unexpired accounts .....	147,722	150,238	152,766
3020 Outlays (gross) .....	-146,309	-150,010	-152,749
3040 Recoveries of prior year unpaid obligations, unexpired .....	-114		

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued  
Program and Financing—Continued

Identification code 028-8007-0-7-651		2015 actual	2016 est.	2017 est.
3050	Unpaid obligations, end of year	28,509	28,737	28,754
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	27,210	28,509	28,737
3200	Obligated balance, end of year	28,509	28,737	28,754
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	2,821	2,960	3,004
Outlays, gross:				
4010	Outlays from new discretionary authority	2,017	2,597	2,620
4011	Outlays from discretionary balances	776	402	415
4020	Outlays, gross (total)	2,793	2,999	3,035
Mandatory:				
4090	Budget authority, gross	144,898	147,278	149,833
Outlays, gross:				
4100	Outlays from new mandatory authority	117,082	147,011	149,714
4101	Outlays from mandatory balances	26,434		
4110	Outlays, gross (total)	143,516	147,011	149,714
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-3		
4180	Budget authority, net (total)	147,716	150,238	152,837
4190	Outlays, net (total)	146,306	150,010	152,749
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value	70,113	41,638	40,045
5001	Total investments, EOY: Federal securities: Par value	41,638	40,045	96,218

Summary of Budget Authority and Outlays (in millions of dollars)

		2015 actual	2016 est.	2017 est.
Enacted/requested:				
	Budget Authority	147,716	150,238	152,837
	Outlays	146,306	150,010	152,749
Amounts included in the adjusted baseline:				
	Budget Authority			-71
	Outlays			-71
Legislative proposal, not subject to PAYGO:				
	Budget Authority			-8
	Outlays			-8
<b>Total:</b>				
	Budget Authority	147,716	150,238	152,758
	Outlays	146,306	150,010	152,670

The Disability Insurance program provides monthly cash benefits for disabled workers who have not yet attained their normal retirement age, and for their dependents.

Disability Insurance Cash Outgo Detail

(in millions of dollars)

	2015	2016	2017
Benefit Payments	142,846	146,448	149,215
Payments to Railroad Board	419	314	178
Administrative Expenses (Subject to Limitation)	2,793	2,999	3,035
Administrative Expenses (Treasury)	88	90	89
Beneficiary Services	148	150	149
Demonstration Projects	15	9	12
Offsetting Collections	(3)	0	0
Increase Minimum Overpayment Withholding	0	0	(3)
Exclude Debts from Bankruptcy	0	0	(5)
<b>Total Outgo</b>	<b>146,306</b>	<b>150,010</b>	<b>152,670</b>

Status of Funds (in millions of dollars)

Identification code 028-8007-0-7-651		2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:				
0100	Balance, start of year	69,926	41,656	40,037
0298	Rounding adjustment	-1		
0999	Total balance, start of year	69,925	41,656	40,037
Cash income during the year:				
Current law:				
Receipts:				
1110	FDI, Transfers from General Fund (FICA Taxes)	105,541	135,999	149,918

1110	FDI, Transfers from General Fund (SECA Taxes)	6,698	6,974	8,754
1110	FDI, Refunds	-410	-461	-653
1130	Attorney Fees, Federal Disability Insurance Trust Fund	26	24	25
1130	FDI, Tax Refund Offset	45	45	45
1150	FDI, Interest Received by Trust Funds	2,733	1,525	1,567
1160	Federal Disability Insurance Trust Fund	3		
1160	FDI, Federal Employer Contributions (FICA Taxes)	2,324	3,059	3,315
1160	FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	38	18	
1160	FDI, Federal Payments to the FDI Trust Fund	1,041	1,208	2,080
1199	Income under present law	118,039	148,391	165,051
Proposed:				
1210	FDI, Transfers from General Fund (FICA Taxes)			
1210	FDI, Transfers from General Fund (FICA Taxes)			15
1299	Income proposed			15
1999	Total cash income	118,039	148,391	165,066
Cash outgo during year:				
Current law:				
2100	Federal Disability Insurance Trust Fund [016-00-8007-0]	-146,309	-150,010	-152,749
2100	Federal Disability Insurance Trust Fund [016-00-8007-7]			71
2199	Outgo under current law	-146,309	-150,010	-152,678
Proposed:				
2200	Federal Disability Insurance Trust Fund			8
2299	Outgo under proposed legislation			8
2999	Total cash outgo (-)	-146,309	-150,010	-152,670
Surplus or deficit::				
3110	Excluding interest	-31,003	-3,144	10,829
3120	Interest	2,733	1,525	1,567
3199	Subtotal, surplus or deficit	-28,270	-1,619	12,396
3298	Rounding adjustment	1		
3299	Total adjustments	1		
Unexpended balance, end of year::				
4100	Uninvested balance (net), end of year	18	-8	-43,785
4200	Federal Disability Insurance Trust Fund	41,638	40,045	96,218
4999	Total balance, end of year	41,656	40,037	52,433

Object Classification (in millions of dollars)

Identification code 028-8007-0-7-651		2015 actual	2016 est.	2017 est.
Direct obligations:				
25.2	Beneficiary Services (VR & Tickets)	147	150	149
25.3	Other purchases of goods and services from Government accounts (Treasury Admin)	88	90	89
25.3	Other purchases of goods and services from Government accounts (RRB)	419	314	178
25.5	Research and development contracts	12	9	12
42.0	Disability insurance benefits	144,232	146,715	149,334
94.0	Financial transfers (OIG)	35	36	39
94.0	Financial transfers (LAE)	2,789	2,924	2,965
99.9	Total new obligations	147,722	150,238	152,766

FEDERAL DISABILITY INSURANCE TRUST FUND

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 028-8007-7-7-651		2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)			-71
1900	Budget authority (total)			-71
1930	Total budgetary resources available			-71
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			-71
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3020	Outlays (gross)			71
3050	Unpaid obligations, end of year			71
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			71

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-71
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-71
4180	Budget authority, net (total) .....		-71
4190	Outlays, net (total) .....		-71

FEDERAL DISABILITY INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 028-8007-2-7-651		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Direct program activity .....			-8
0900	Total new obligations (object class 42.0) .....			-8
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....			-8
1930	Total budgetary resources available .....			-8
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....			-8
3020	Outlays (gross) .....			8
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			-8
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-8
4180	Budget authority, net (total) .....			-8
4190	Outlays, net (total) .....			-8

The Budget includes a re-proposal to terminate benefits for a stepchild in the same month as benefits are terminated for a parent upon the divorce of the parent. This change would correct a drafting error in the original legislation in order to conform the treatment of stepchild benefits to those of the parent's benefits.

The Budget will also propose increasing the minimum monthly overpayment collection from \$10 a month to 10% of the overpayment amount.

The Budget will re-propose the development of a process to collect workers' compensation (WC) information in a timely manner from states and private insurers in order to correctly offset DI benefits and reduce SSI payments.

The Budget also proposes to hold fraud facilitators liable for overpayments by allowing SSA to recover the overpayment from a third party if the third party was responsible for making fraudulent statements or providing false evidence that allowed the beneficiary to receive payments that should not have been paid.

The Budget will re-propose to restructure the Federal Wage Reporting process by moving from annual to quarterly wage reporting. This proposal will enhance tax administration and improve DI improper payments.

The Budget will also re-propose using Customs and Border Patrol Entry/Exit data to prevent improper payments.

The Budget will propose the usage of all collection tools to recover funds in certain scenarios, such as when someone improperly cashes a beneficiary's check.

The Budget will also propose conducting a continuing disability review when we believe that fraud or similar fault was involved in a prior continuing disability review.

The Budget will provide for the exclusion of SSA debts from discharge in bankruptcy proceedings.

Finally, the Budget includes a revised proposal to develop mechanisms for timely and accurate collection of Windfall Elimination Provision/Government Pension Offset (WEP/GPO) data from States and localities for use in payment calculations. In addition, it proposes to transition to an al-

ternative approach which would adjust Social Security benefits based on the extent to which workers have non-covered earnings. SSA now collects data on non-covered employment and could calculate the offset without any disclosure from the individual.

**LIMITATION ON ADMINISTRATIVE EXPENSES**

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$20,000 for official reception and representation expenses, not more than **[\$10,598,945,000]** \$11,121,000,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, and including the cost of carrying out the Social Security Administration's obligations as required under section 1411 of Public Law 111-148, from any one or all of the trust funds referred to in such section: *Provided*, That not less than **[\$2,300,000]** \$2,500,000 shall be for the Social Security Advisory Board: *Provided further*, That, **[\$116,000,000 may]** not less than \$59,000,000 shall be used for the costs associated with conducting continuing disability reviews under titles II and XVI of the Social Security Act and conducting redeterminations of eligibility under title XVI of the Social Security Act: *Provided further*, That, upon a determination that part of the funds specified in the preceding proviso is not necessary for such reviews and redeterminations, such amounts may be used for other purposes provided herein: **[Provided further**, That the Commissioner may allocate additional funds under this paragraph above the level specified in the previous proviso for such activities but only to reconcile estimated and actual unit costs for conducting such activities and after notifying the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any such reallocation: *Provided further*, That the acquisition of services to conduct and manage representative payee reviews shall be made using full and open competition procedures: *Provided further*, That, \$150,000,000, to remain available until expended, shall be for necessary expenses for the renovation and modernization of the Arthur J. Alt-meyer Building: **[Provided further**, That unobligated balances of funds provided under this paragraph at the end of fiscal year **[2016]** 2017 not needed for fiscal year **[2016]** 2017 shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: *Provided further*, That the Commissioner of Social Security shall notify the Committees on Appropriations of the House of Representatives and the Senate prior to making unobligated balances available under the authority in the previous proviso: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to 5 U.S.C. 7131, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

In addition, for the costs associated with continuing disability reviews under titles II and XVI of the Social Security Act **[and]**, including work-related continuing disability reviews to determine whether earnings derived from services demonstrate an individual's ability to engage in substantial gainful activity, for the cost associated with conducting redeterminations of eligibility under title XVI of the Social Security Act, for the cost of co-operative disability investigation units, and for the cost associated with the prosecution of fraud in the programs and operations of the Social Security Administration by Special Assistant United States Attorneys, **[\$1,426,000,000]** \$1,819,000,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: *Provided*, That, of such amount, \$273,000,000 is provided to meet the terms of section 251(b)(2)(B)(ii)(III) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$1,153,000,000]** \$1,546,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(B) of such Act: *Provided further*, That the Commissioner shall provide to the Congress (at the conclusion of the fiscal year) a report on the obligation and expenditure of these funds, similar to the reports that were required by section 103(d)(2) of Public Law 104-121 for fiscal years 1996 through 2002.

In addition, **[\$136,000,000]** \$126,000,000 to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such sections in fiscal year **[2016]** 2017 exceed **[\$136,000,000]** \$126,000,000, the amounts shall be available in fiscal year **[2017]** 2018 only to the extent provided in advance in appropriations Acts.

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

In addition, up to \$1,000,000 to be derived from fees collected pursuant to section 303(c) of the Social Security Protection Act, which shall remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 028-8704-0-7-651	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 LAE Program Direct .....	10,771	10,766	11,373
0003 National Support Center .....	43	53	.....
0005 Program Integrity Base .....	273	273	273
0006 Program Integrity Cap Adjustment .....	1,123	1,153	1,546
0007 MACRA .....	.....	5	5
0008 Altmeyer .....	.....	13	137
0799 Total direct obligations .....	12,210	12,263	13,334
0801 Reimbursable activity, general .....	65	66	67
0802 Low Income Subsidy .....	.....	6	6
0809 Reimbursable program activities, subtotal .....	65	72	73
0899 Total reimbursable obligations .....	65	72	73
0900 Total new obligations .....	12,275	12,335	13,407
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	372	148	212
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	372	53	.....
1012 Unobligated balance transfers between expired and unexpired accounts [ITS Transfers] .....	186	149	125
1021 Recoveries of prior year unpaid obligations [X Year] .....	59	.....	.....
1050 Unobligated balance (total) .....	617	297	337
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected - LAE Direct .....	9,316	10,766	11,373
1700 Collected - Program Integrity Base .....	273	273	273
1700 Collected - Program Integrity Cap Adjustment .....	1,123	1,153	1,546
1700 Collected - Altmeyer .....	.....	13	137
1700 Collected - Reimbursables .....	.....	66	67
1700 Collected - NSC .....	.....	53	.....
1701 Change in uncollected payments, Federal sources .....	1,158	-96	-262
1750 Spending auth from offsetting collections, disc (total) .....	11,870	12,228	13,134
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	11	11
1801 Change in uncollected payments, Federal sources .....	27	11	11
1850 Spending auth from offsetting collections, mand (total) .....	27	22	22
1900 Budget authority (total) .....	11,897	12,250	13,156
1930 Total budgetary resources available .....	12,514	12,547	13,493
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-91	.....	.....
1941 Unexpired unobligated balance, end of year .....	148	212	86
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	91	.....	.....
1952 Expired unobligated balance, start of year .....	265	282	133
1953 Expired unobligated balance, end of year .....	191	133	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,482	2,430	2,441
3010 Obligations incurred, unexpired accounts .....	12,275	12,335	13,407
3011 Obligations incurred, expired accounts .....	79	.....	.....
3020 Outlays (gross) .....	-12,157	-12,324	-13,312
3040 Recoveries of prior year unpaid obligations, unexpired .....	-59	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-190	.....	.....
3050 Unpaid obligations, end of year .....	2,430	2,441	2,536
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,142	-2,883	-2,798
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,185	85	251
3071 Change in uncollected pymts, Fed sources, expired .....	1,444	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2,883	-2,798	-2,547
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-660	-453	-357
3200 Obligated balance, end of year .....	-453	-357	-11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11,870	12,228	13,134
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10,362	10,529	11,435

4011 Outlays from discretionary balances .....	1,795	1,784	1,866
4020 Outlays, gross (total) .....	12,157	12,313	13,301
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources - LAE Direct .....	-10,569	-10,586	-11,248
4030 Federal sources - NCC Replacement .....	-63	-53	.....
4030 Federal sources - Program Integrity Base .....	-273	-273	-273
4030 Federal sources - Reimbursable .....	-65	-66	-67
4030 Federal sources - Program Integrity Cap .....	-1,123	-1,153	-1,546
4030 Federal sources - Altmeyer Renovations .....	.....	-13	-137
4030 Federal sources .....	.....	-180	-125
4033 Non-Federal sources .....	-64	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-12,157	-12,324	-13,396
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,158	96	262
4052 Offsetting collections credited to expired accounts .....	1,445	.....	.....
4060 Additional offsets against budget authority only (total) .....	287	96	262
4080 Outlays, net (discretionary) .....	.....	-11	-95
Mandatory:			
4090 Budget authority, gross .....	27	22	22
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	1	1
4101 Outlays from mandatory balances .....	.....	10	10
4110 Outlays, gross (total) .....	.....	11	11
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	.....	-11	-11
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-27	-11	-11
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	-11	-95

Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Outlays .....	.....	-11	-95
Legislative proposal, not subject to PAYGO:			
Outlays .....	.....	.....	-177
Total:			
Outlays .....	.....	-11	-272

The Limitation on Administrative Expenses account provides resources for Social Security to administer the Old-Age and Survivors Insurance (OASI) and Disability Insurance (DI) programs, the Supplemental Security Income (SSI) program, and certain health insurance functions for the aged and disabled. Public Law 114-10 prohibits displaying, coding, or embedding Social Security numbers on a beneficiary's Medicare card. In order to fund implementation costs to comply with this provision, SSA will receive \$98 million funded incrementally from FY 2015 to FY 2018.

The request in 2017 for program integrity activities builds on SSA's success in reducing program costs by ensuring that only individuals still eligible for benefits continue to receive them. The agency uses continuing disability reviews (CDRs) to determine whether an individual continues to qualify for DI and/or the SSI program. SSA estimates that CDRs conducted in 2017 will yield a return on investment (ROI) of about \$8 on average in net Federal program savings over 10 years per \$1 budgeted for dedicated program integrity funding, including OASDI, SSI, Medicare and Medicaid program effects. Similarly, SSA estimates indicate that non-medical redeterminations conducted in 2017 will yield a ROI of about \$3 on average of net Federal program savings over 10 years per \$1 budgeted for dedicated program integrity funding, including SSI and Medicaid program effects.

The Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended by the Bipartisan Budget Act of 2015 (BBA), enacted adjustments to the discretionary spending limits in the Act for 2017 through 2021 for administrative program integrity activities at the Social Security Administration. For the Social Security Administration, BBEDCA authorizes a \$1,546 million cap adjustment for 2017. To ensure full funding of the cost increases, this cap adjustment is permissible only if the base level for CDRs and SSI redeterminations is funded at \$273 million. The BBA

also expanded the uses of cap adjustment funds to include cooperative disability investigation units, and special attorneys for fraud prosecutions. SSA will conduct 1,100,000 full medical CDRs and approximately 2.8 million SSI redeterminations of eligibility in 2017. With enactment of the new cap adjustment amounts in the BBA of 2015, full funding of the cap amounts is estimated to eliminate SSA's backlog of CDRs by the end of 2019 and prevent a new backlog from developing during the budget window. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

Outlays, gross:			
4100	Outlays from new mandatory authority .....		43
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....		-220
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		-177

The Budget includes eight proposals that will strengthen the integrity and administration of Social Security programs: 1. The Administration is including a request to restructure the Federal Wage Reporting process by moving from annual to quarterly wage reporting. This proposal will enhance tax administration and improve program integrity for a range of programs. 2. In addition, the Budget includes a re-proposal to develop a mechanism for timely and accurate collection of workers' compensation data for use in payment calculations. This mechanism will improve payment accuracy. 3. The Budget also includes a re-proposal to amend the Internal Revenue Code to lower the employer electronic wage reporting threshold from 250 to 5 employees over three years. 4. The Budget will also re-propose using Customs and Border Patrol Entry/Exit data to prevent improper payments. 5. The Budget also proposes to hold fraud facilitators liable for overpayments by allowing SSA to recover the overpayment from a third party if the third party was responsible for making fraudulent statements or providing false evidence that allowed the beneficiary to receive payments that should not have been paid. 6. The Budget includes a proposal to provide a dedicated source of mandatory funding for SSA to modernize its information technology beginning in FY 2018. 7. The Budget includes a proposal to create and fully fund an Interagency Workforce Council. 8. Finally, the Budget includes a revised proposal to develop mechanisms for timely and accurate collection of Windfall Elimination Provision/Government Pension Offset (WEP/GPO) data from States and localities for use in payment calculations. In addition, it proposes to transition to an alternative approach which would adjust Social Security benefits based on the extent to which workers have non-covered earnings. SSA now collects data on non-covered employment and could calculate the offset without any disclosure from the individual.

**Object Classification** (in millions of dollars)

Identification code 028-8704-0-7-651	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	4,568	4,933	5,160
11.3	Other than full-time permanent .....	128	139	145
11.5	Other personnel compensation .....	289	105	204
11.8	Special personal services payments .....	3	5	6
11.9	Total personnel compensation .....	4,988	5,182	5,515
12.1	Civilian personnel benefits .....	1,572	1,714	1,860
13.0	Benefits for former personnel .....	2	5	1
21.0	Travel and transportation of persons .....	36	24	24
22.0	Transportation of things .....	9	6	6
23.1	Rental payments to GSA .....	706	717	715
23.3	Communications, utilities, and miscellaneous charges .....	491	447	517
24.0	Printing and reproduction .....	34	22	23
25.1	Advisory and assistance services .....	76	52	57
25.2	Other services from non-Federal sources .....	2,550	2,743	2,912
25.3	Other goods and services from Federal sources .....	107	71	74
25.4	Operation and maintenance of facilities .....	350	265	285
25.7	Operation and maintenance of equipment .....	821	672	810
26.0	Supplies and materials .....	45	28	29
31.0	Equipment .....	266	199	252
32.0	Land and structures .....	91	73	211
41.0	Grants, subsidies, and contributions .....	26	17	17
42.0	Insurance claims and indemnities .....	40	26	26
99.0	Direct obligations .....	12,210	12,263	13,334
99.0	Reimbursable obligations .....	65	72	73
99.9	Total new obligations .....	12,275	12,335	13,407

**Employment Summary**

Identification code 028-8704-0-7-651	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	63,170	64,491	65,771
2001	Reimbursable civilian full-time equivalent employment .....	224	359	359

**LIMITATION ON ADMINISTRATIVE EXPENSES**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 028-8704-2-7-651	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Quarterly Wage Reporting .....		20
0002	Worker's Comp Admin Fund .....		5
0003	WEP/GPO Admin Funds .....		18
0900	Total new obligations .....		43
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800	Offsetting Collections (Reimbursables) .....		220
1930	Total budgetary resources available .....		220
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....		177
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts .....		43
3020	Outlays (gross) .....		-43
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090	Budget authority, gross .....		220

**Object Classification** (in millions of dollars)

Identification code 028-8704-2-7-651	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....		21
12.1	Civilian personnel benefits .....		22
99.0	Direct obligations .....		43
99.9	Total new obligations .....		43

**Employment Summary**

Identification code 028-8704-2-7-651	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....		220

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.	
<b>Offsetting receipts from the public:</b>				
028-241700	SSI, Attorney Fees: Enacted/requested .....	8	8	8
028-241800	Receipts from SSI Administrative Fee: Enacted/requested .....	93	102	94
028-309600	Recovery of Beneficiary Overpayments from SSI Program: Enacted/requested .....	2,465	2,495	2,526
<b>General Fund Offsetting receipts from the public .....</b>				
	2,566	2,605	2,628	

## COMMISSIONER'S BUDGET

As directed by Section 104 of Public Law 103–296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for SSA, which shall be

submitted by the President to the Congress without revision, together with the President's request for SSA.

The Commissioner's budget includes \$13,859 million for total administrative discretionary resources in 2017. This represents \$13,610 million for SSA administrative expenses, \$128 million for research, and \$121 million for the Office of the Inspector General.

## OTHER INDEPENDENT AGENCIES

### ACCESS BOARD

#### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, **[\$8,023,000]** \$8,190,000: *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. *(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.)*

#### Program and Financing (in millions of dollars)

Identification code 310-3200-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses (Direct) .....	8	8	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 Obligations incurred, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	-7	-8	-9
3050 Unpaid obligations, end of year .....	3	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	7
4011 Outlays from discretionary balances .....	1	1	2
4020 Outlays, gross (total) .....	7	8	9
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	7	8	9

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

#### Object Classification (in millions of dollars)

Identification code 310-3200-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1

99.9 Total new obligations .....	8	8	8
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#### Employment Summary

Identification code 310-3200-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	29	32	34

## ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., **[\$3,100,000]** \$3,200,000, to remain available until September 30, **[2017]**2018, of which not to exceed \$1,000 is for official reception and representation expenses. *(Financial Services and General Government Appropriations Act, 2016.)*

#### Program and Financing (in millions of dollars)

Identification code 302-1700-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	3	3	3
0900 Total new obligations (object class 99.5) .....	3	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	2	2
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

The Administrative Conference of the United States (ACUS) is an independent agency that assists the President, the Congress, the Judicial Conference and Federal agencies in improving the regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies, promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

## ADVISORY COUNCIL ON HISTORIC PRESERVATION

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665), **[\$6,080,000]** \$6,493,000. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)*

SALARIES AND EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 306–2300–0–1–303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	6	6	6
0801 Salaries and Expenses (Reimbursable) .....	1	1	1
0900 Total new obligations .....	7	7	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	7	7	7
1930 Total budgetary resources available .....	8	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	7	7	7
3020 Outlays (gross) .....	-7	-7	-7
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	7
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	7	7	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	6	6	6
4190 Outlays, net (total) .....	6	6	6

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

Object Classification (in millions of dollars)

Identification code 306–2300–0–1–303	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
25.2 Other services from non-Federal sources .....	1	1	1
99.0 Direct obligations .....	6	6	6
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	7	7	7

Employment Summary

Identification code 306–2300–0–1–303	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	35	36	36
2001 Reimbursable civilian full-time equivalent employment .....	7	8	8

AFFORDABLE HOUSING PROGRAM

Federal Funds

AFFORDABLE HOUSING PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 530–5528–0–2–604	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			22
Receipts:			
Current law:			
1110 Contributions, Federal Home Loan Banks, Affordable Housing Program .....	319	319	319
2000 Total: Balances and receipts .....	319	319	341
Appropriations:			
Current law:			
2101 Affordable Housing Program .....	-319	-319	-319
2132 Affordable Housing Program .....		22	
2199 Total current law appropriations .....	-319	-297	-319
2999 Total appropriations .....	-319	-297	-319
5099 Balance, end of year .....		22	22

Program and Financing (in millions of dollars)

Identification code 530–5528–0–2–604	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Affordable Housing Program (Direct) .....	319	297	319
0900 Total new obligations (object class 41.0) .....	319	297	319
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	319	319	319
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-22	
1260 Appropriations, mandatory (total) .....	319	297	319
1930 Total budgetary resources available .....	319	297	319
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	319	297	319
3020 Outlays (gross) .....	-319	-297	-319
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	319	297	319
Outlays, gross:			
4100 Outlays from new mandatory authority .....	319	297	319
4180 Budget authority, net (total) .....	319	297	319
4190 Outlays, net (total) .....	319	297	319

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

APPALACHIAN REGIONAL COMMISSION

Federal Funds

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, [notwithstanding 40 U.S.C. 14704,] and for expenses necessary for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, [\$146,000,000] \$120,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 309-0200-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Appalachian development highway system .....		1	1
0102 Area development and technical assistance program .....	99	136	132
0103 Local development districts program .....	7	7	7
0191 Total Appalachian regional development programs .....	106	144	140
0201 Federal co-chairman and staff .....	2	2	2
0202 Administrative expenses .....	4	4	4
0291 Total salaries and expenses .....	6	6	6
0799 Total direct obligations .....	112	150	146
0801 Reimbursable program activity .....	5	5	5
0900 Total new obligations .....	117	155	151
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	26	31
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		26	
1021 Recoveries of prior year unpaid obligations .....	11	9	9
1050 Unobligated balance (total) .....	48	35	40
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	90	146	120
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	4	4
1900 Budget authority (total) .....	95	151	125
1930 Total budgetary resources available .....	143	186	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	31	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	116	153	175
3010 Obligations incurred, unexpired accounts .....	117	155	151
3020 Outlays (gross) .....	-69	-124	-119
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-9	-9
3050 Unpaid obligations, end of year .....	153	175	198
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	116	153	175
3200 Obligated balance, end of year .....	153	175	198
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	91	147	121
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	49	40
4011 Outlays from discretionary balances .....	51	71	75
4020 Outlays, gross (total) .....	65	120	115
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4	-4	-4
4180 Budget authority, net (total) .....	90	146	120
4190 Outlays, net (total) .....	64	119	114

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420 county Appalachian Region. The Commission is comprised of 13 members representing the States in the Region and a Federal Co-Chairman, who represents the Federal Government. It is the mission of the ARC to help the Appalachian Region reach parity with the Nation by planning and coordinating regional investments and targeting resources to those communities with the greatest needs by innovating, partnering, and investing to build community capacity and strengthening economic growth in Appalachia. ARC investments go toward area development and technical assistance goals, such as increasing economic opportunities, developing a ready workforce, strengthening critical infrastructure, leveraging

the Region's natural and cultural heritage assets, and building leadership and community capacity. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic development strategies. In 2017, ARC will implement a \$50 million competitive grant program, as part of the multi-agency Partnerships for Opportunity and Workforce and Economic Revitalization Plus (POWER+) Plan, for communities severely impacted by the declining use of coal to develop economic diversification activities in emerging opportunity sectors.

*Salaries and expenses.*—In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff that works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States.

**Object Classification** (in millions of dollars)

Identification code 309-0200-0-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	8	4	4
41.0 Grants, subsidies, and contributions .....	103	145	141
99.0 Direct obligations .....	112	150	146
99.0 Reimbursable obligations .....	5	5	5
99.9 Total new obligations .....	117	155	151

**Employment Summary**

Identification code 309-0200-0-1-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6	8	8

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE  
IN EDUCATION FOUNDATION**

*Trust Funds*

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 313-8281-0-7-502	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	40	40	41
Receipts:			
Current law:			
1140 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation .....	3	4	4
2000 Total: Balances and receipts .....	43	44	45
Appropriations:			
Current law:			
2101 Barry Goldwater Scholarship and Excellence in Education Foundation .....	-3	-3	-3
5099 Balance, end of year .....	40	41	42

**Program and Financing** (in millions of dollars)

Identification code 313-8281-0-7-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Barry Goldwater Scholarship and Excellence in Education Foundation (Direct) .....	2	3	3
0900 Total new obligations (object class 41.0) .....	2	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	29	29
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	3	3
1930 Total budgetary resources available .....	31	32	32

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION  
FOUNDATION—Continued  
Program and Financing—Continued

Identification code 313-8281-0-7-502	2015 actual	2016 est.	2017 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	29	29
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-2	-3	-3
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	2	3	3
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	67	67	67
5001 Total investments, EOY: Federal securities: Par value .....	67	67	67

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is a significant permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue research careers in mathematics, the natural sciences and engineering. The Foundation awards approximately 300 scholarships each year.

Employment Summary

Identification code 313-8281-0-7-502	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

BROADCASTING BOARD OF GOVERNORS

Federal Funds

INTERNATIONAL BROADCASTING OPERATIONS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to enable the Broadcasting Board of Governors (BBG), as authorized, to carry out international communication activities, and to make and supervise grants for radio, Internet, and television broadcasting to the Middle East, **[\$734,087,000] \$768,143,000: Provided**, That in addition to amounts otherwise available for such purposes, up to **[\$31,135,000] \$45,847,654** of the amount appropriated under this heading may remain available until expended for satellite transmissions, *surge capacity to respond to a crisis abroad*, and Internet freedom programs, of which not less than **[\$15,000,000] \$12,500,000** shall be for Internet freedom programs: *Provided further*, That of the total amount appropriated under this heading, not to exceed \$35,000 may be used for representation expenses, of which \$10,000 may be used for such expenses within the United States as authorized, and not to exceed \$30,000 may be used for representation expenses of Radio Free Europe/Radio Liberty: *Provided further*, That the authority provided by section 504(c) of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107-228; 22 U.S.C. 6206 note) *for the Board or its designee* shall remain in effect through September 30, **[2016] 2017**, *except that section 504(b)(3)-(4) of that Act shall not apply: Provided further*, That, in addition to the authority in the previous proviso, funds made available under this heading may be used for purposes authorized by section 801(5) of the United States Information and Educational Exchange Act of 1948, as amended, and, only if equally or better qualified United States citizen applicants are not available when job vacancies occur, for purposes authorized by section 804(1) of that Act: *Provided further*, That the BBG shall notify the Committees on Appropriations within 15 days of any determination by the Board that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in subsections (a) and (b) of section

303 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6202) or the entity's journalistic code of ethics: *Provided further*, That significant modifications to BBG broadcast hours previously justified to Congress, including changes to transmission platforms (shortwave, medium wave, satellite, Internet, and television), for all BBG language services shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, *That the Board may delegate any of its authorities or duties, or those of the Director of the International Broadcasting Bureau, to a Chief Executive Officer (CEO), appointed by the Board, to whom all Agency employees, except the Board, shall report, and to whom the Board may require the head of an international broadcasting entity overseen by the BBG to report: Provided further*, That in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from cooperating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, shall remain available until expended for carrying out authorized purposes: *Provided further*, *That the BBG may transfer to, and merge with, amounts under "International Broadcasting Surge Capacity Fund", pursuant to section 316 of the United States International Broadcasting Act of 1994, for obligation or expenditure by the BBG for surge capacity, unobligated balances of expired funds appropriated under the heading "International Broadcasting Operations" for fiscal year 2017, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated, and funds made available for surge capacity under this heading: Provided further*, *That, if approved by the Board, amounts appropriated under any Act may be disbursed to any BBG grantee for international broadcasting and communications activities wherever any BBG entity is authorized to broadcast overseas: Provided further*, *That the BBG may perform work, including via grant, on an advance or reimbursable basis for another agency or major organizational unit that places an order for broadcasting or related goods or services: Provided further*, *That, nothing in this or any other Act shall be construed to make any BBG grantee a federal agency or instrumentality, and section 304(g) of the United States International Broadcasting Act of 1994 shall apply to the Board when sitting on the Board of any BBG grantee: Provided further*, *That notwithstanding any other provision of law, subject to the regular notification procedures of the Committees on Appropriations, the head of the agency may condition the annual grant to BBG grantees on their consolidation into a single, consolidated grantee, which may broadcast and provide news and information to audiences wherever BBG entities may broadcast, under the same terms and conditions in section 308 of the United States International Broadcasting Act of 1994, except that the Board may select any name for such a consolidated grantee. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 514-0206-0-1-154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Broadcasting Board of Governors .....	736	745	768
0100 Subtotal, direct obligations .....	736	745	768
0801 International Broadcasting Operations (Reimbursable) .....	5		
0900 Total new obligations .....	741	745	768
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	8	11
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	737	745	768
1120 Appropriations transferred to other acct [514-1147] .....	-2		
1160 Appropriation, discretionary (total) .....	735	745	768
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	6	3	3
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	7	3	3
1900 Budget authority (total) .....	742	748	771
1930 Total budgetary resources available .....	751	756	782
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	8	11	14

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	122	153	131
3010	Obligations incurred, unexpired accounts .....	741	745	768
3011	Obligations incurred, expired accounts .....	24	2	2
3020	Outlays (gross) .....	-723	-769	-767
3041	Recoveries of prior year unpaid obligations, expired .....	-11		
3050	Unpaid obligations, end of year .....	153	131	134
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	3		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	116	149	127
3200	Obligated balance, end of year .....	149	127	130

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	742	748	771
Outlays, gross:				
4010	Outlays from new discretionary authority .....	610	629	648
4011	Outlays from discretionary balances .....	113	140	119
4020	Outlays, gross (total) .....	723	769	767
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4	-7	-7
4033	Non-Federal sources .....	-5		
4040	Offsets against gross budget authority and outlays (total) ....	-9	-7	-7
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	3	4	4
4060	Additional offsets against budget authority only (total) .....	2	4	4
4070	Budget authority, net (discretionary) .....	735	745	768
4080	Outlays, net (discretionary) .....	714	762	760
4180	Budget authority, net (total) .....	735	745	768
4190	Outlays, net (total) .....	714	762	760

This appropriation provides operational funding for U.S. non-military, international media programs, including the Voice of America, the Office of Cuba Broadcasting, the necessary engineering and technical needs for all U.S. international media, administrative support activities, as well as grants to Radio Free Europe/Radio Liberty, Radio Free Asia, Middle East Broadcasting Networks, and implementation of a Spanish Language International Media grant to perform the function of the current Office of Cuba Broadcasting.

In 2017, funding is included to support the Broadcasting Board of Governors' global operations, including investments in digital production and distribution technologies, and new media efforts to counter Russian pressure and ISIL messaging, such as programs like *Current Time* and *Raise Your Voice*.

**Object Classification (in millions of dollars)**

Identification code 514-0206-0-1-154	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	158	162	161
11.3	Other than full-time permanent .....	5	5	5
11.5	Other personnel compensation .....	10	10	10
11.8	Special personal services payments .....	4	4	4
11.9	Total personnel compensation .....	177	181	180
12.1	Civilian personnel benefits .....	55	56	56
13.0	Benefits for former personnel .....	1	1	1
21.0	Travel and transportation of persons .....	5	4	4
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	1	36	36
23.2	Rental payments to others .....	2	1	1
23.3	Communications, utilities, and miscellaneous charges .....	92	76	79
25.1	Advisory and assistance services .....	5	4	4
25.2	Other services from non-Federal sources .....	85	73	77
25.4	Operation and maintenance of facilities .....	2	3	3
25.5	Research and development contracts .....	5	6	6
25.7	Operation and maintenance of equipment .....	22	22	22
26.0	Supplies and materials .....	11	7	7
31.0	Equipment .....	15	9	9
41.0	Grants, subsidies, and contributions .....	256	264	281

42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	736	745	768
99.0	Reimbursable obligations .....	5		
99.9	Total new obligations .....	741	745	768

**Employment Summary**

Identification code 514-0206-0-1-154	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	1,711	1,921	1,891

**BROADCASTING CAPITAL IMPROVEMENTS**

For the purchase, rent, construction, repair, preservation, *investment*, and improvement of facilities for radio, television, and digital transmission and reception; the purchase, rent, and installation of necessary equipment for radio, television, and digital transmission and reception, including to Cuba, as authorized; and physical security worldwide, in addition to amounts otherwise available for such purposes, **[\$4,800,000]** \$9,700,000, to remain available until expended, as authorized. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

**Program and Financing (in millions of dollars)**

Identification code 514-0204-0-1-154	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0002	Upgrade of existing relay station capabilities .....	2		5
0003	Maintenance, improvements, replacements and repairs .....	4	4	4
0005	Satellite and terrestrial feed systems .....	2	1	1
0192	Total direct obligations .....	8	5	10
0900	Total new obligations .....	8	5	10

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	8	8
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	11	8	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5	5	10
1930	Total budgetary resources available .....	16	13	18
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	8	8

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	12	9	6
3010	Obligations incurred, unexpired accounts .....	8	5	10
3020	Outlays (gross) .....	-10	-8	-7
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	9	6	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12	9	6
3200	Obligated balance, end of year .....	9	6	9

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5	5	10
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	2	3
4011	Outlays from discretionary balances .....	8	6	4
4020	Outlays, gross (total) .....	10	8	7
4180	Budget authority, net (total) .....	5	5	10
4190	Outlays, net (total) .....	10	8	7

This account provides funding for certain costs of capital projects for the agency, including large-scale capital projects, and the preservation, construction, purchase, maintenance and improvement of the Broadcasting Board of Governors' worldwide technology infrastructure. This activity funds the upgrade and replacement of transmission facilities and equipment to improve transmission quality and includes digital media management, the conversion of program production and operations to a digital domain,

BROADCASTING CAPITAL IMPROVEMENTS—Continued

broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations. In FY 2017, funding is included to continue shortwave realignment, increase satellite capacity to accommodate HDTV, and continue the BBG's migration to HDTV.

**Object Classification** (in millions of dollars)

Identification code 514-0204-0-1-154	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
23.2 Rental payments to others .....	2	1	2
25.2 Other services from non-Federal sources .....	3	2	3
25.4 Operation and maintenance of facilities .....	1	1	2
31.0 Equipment .....	2	1	3
99.9 Total new obligations .....	8	5	10

**BUYING POWER MAINTENANCE**

**Program and Financing** (in millions of dollars)

Identification code 514-1147-0-1-154	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	2
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [514-0206] ....	2		
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2		
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

**Trust Funds**

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 514-8285-0-7-602	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, and amended by Division G of P.L. 105-277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual

government contributions which are appropriated in the International Broadcasting Operations account.

**GENERAL PROVISIONS**

CREATION OF THE POSITION OF CHIEF EXECUTIVE OFFICER OF UNITED STATES INTERNATIONAL MEDIA

SEC. 701. (a) Subsection 304(f) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6203(f)), is amended to read as follows:

"(f) **DECISIONS.**—Decisions of the Board shall be made by majority vote, a quorum being present. A quorum shall consist of a majority of Governors then serving (as determined under subsection (c) of this Section) at the time a decision of the Board is made.";

(b) Subsection 305(a) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(a)), is amended:

(1) in paragraph (2), to read as follows:

"(2) To review and evaluate the mission and operation of, and to assess the quality, effectiveness, and professional integrity of, all such activities within the context of the broad foreign policy objectives of the United States, and to set the strategic direction for international broadcasting activities of the Federal and non-Federal entities granted funds under the Broadcasting Board of Governors."; and

(2) in paragraph (11), to read as follows:

"(11) To appoint a Chief Executive Officer for a 5-year term that is renewable at the Board's discretion and such other staff personnel of the Board as the Board may determine to be necessary, subject to the provisions of Title 5 governing appointments, classification, and compensation. The Board shall appoint a Chief Executive Officer by no later than 180 days following the effective date hereof (and may appoint an interim Chief Executive Officer prior to such appointment) and, thereafter, within 180 days of the departure or removal of a Chief Executive Officer. The Chief Executive Officer may be removed by the Board by a 2/3 majority of Governors then serving.";

(c) Subsections 305(b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(b), (c), and (d)), are each amended to read as follows:

"(b) **DELEGATION OF AUTHORITY.**—The Chief Executive Officer shall have sole responsibility to carry out the authorities enumerated in 22 U.S.C. 6204(a)(1), (5), (6), (7), (8), (10), (11) (except the authority to appoint the Chief Executive Officer under paragraph (11) of subsection (a)), (12), (13), (14), (15), (16), (17), (18), and (19) subject to the ongoing supervision of the Board. The Board, at its discretion, may delegate the responsibilities enumerated in 22 U.S.C. 6204(a)(2), (3), (4), and (9), which may be delegated to the extent the Board determines to be appropriate. The Chief Executive Officer shall exercise any authority so delegated subject to the ongoing supervision of the Board, except the authority to appoint and remove the Chief Executive Officer under paragraph (11) of subsection (a), which shall be exercised solely by the Board.";

"(c) **BROADCASTING BUDGETS.**—The Chief Executive Officer shall submit proposed budgets to the Board for all activities authorized to be conducted under this title for review and approval. The Board shall forward its recommendations concerning the proposed budget for the Board and broadcasting activities under this title, the Radio Broadcasting to Cuba Act, as amended, and the Television Broadcasting to Cuba Act, as amended, to the Office of Management and Budget."; and

"(d) **PROFESSIONAL INDEPENDENCE OF BROADCASTERS.**—The Secretary of State, the Board, and the Chief Executive Officer, in carrying out their functions, shall respect the professional independence and integrity of the International Broadcasting Bureau, its broadcasting services, and the grantees of the Board.";

(d) Subsection 307(b) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6206(b)), is amended to read as follows:

"(b) **SELECTION OF DIRECTOR OF BUREAU.**—The Director of the Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and all responsibilities and authorities shall be transferred to the Chief Executive Officer.";

(e) Subsections 310(a), (b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (Public Law 103-236), are each amended to read as follows:

"(a) **FUNCTIONS AND DUTIES.**—

(1) The Chief Executive Officer shall have the following functions and duties:

(A) To exercise the authorities identified pursuant to Section 305(b);

(B) To exercise the authorities delegated by the Board of Governors pursuant to Section 305(b); and

(C) To carry out all of the broadcasting activities conducted pursuant to this title, the Radio Broadcasting to Cuba Act, as amended, and the Television Broadcasting to Cuba Act, as amended, including by grant; and

(2) The Chief Executive Officer shall not assume any of the functions and duties of the Director of the International Broadcasting Bureau until the individual holding that office on the date of the enactment of this Act ceases to hold that office.":

"(b) ORGANIZATION OF BROADCASTING ACTIVITIES SUBORDINATE TO THE CHIEF EXECUTIVE OFFICER.—The position of the Director of the International Broadcasting Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and the functions and activities formerly organized under such Director shall be organized as directed by, and shall be subordinate to, the Chief Executive Officer. The Directors of the Voice of America and the Office of Cuba Broadcasting shall report, and are subordinate, to the Chief Executive Officer. RFE/RL, Incorporated; Radio Free Asia; and Middle East Broadcast Networks, Incorporated are independent organizations but shall communicate and report through the Chief Executive Officer to the Board, as shall any other such statutory grantee entity. The Board may condition grants to any grantee on complying with CEO direction. Nothing in this or any other Act shall be construed to make any Broadcasting Board of Governors grantee a federal agency or instrumentality.";

"(c) CHIEF EXECUTIVE OFFICER AUTHORITY OVER GRANTS.—For all grants made by the Broadcasting Board of Governors, a condition of the grant shall be that the Chief Executive Officer shall have authority to redirect funds within the scope of the grant as needed in order to maintain consistency with Board-approved agency priorities worldwide. Failure to comply with any redirection in accordance with this condition shall be a violation of the terms and conditions of the award and may result in corrective action taken by the Broadcasting Board of Governors, which may include suspension or termination of the grant until remedied."; and

"(d) CONGRESSIONAL LOBBYING.—No grant funds provided by the Broadcasting Board of Governors may be used for any activity for the purpose of influencing the passage or defeat of legislation being considered by Congress."

#### CREATION OF A CUBA/MARTI GRANTEE

#### SEC. 702. AMENDMENTS TO THE RADIO AND TV BROADCASTING TO CUBA ACTS.

(a) The Radio Broadcasting to Cuba Act, as amended (22 U.S.C. 1465 et seq.) is amended—

(1) in section 3 (22 U.S.C. 1465a)—

(A) in subsection (b), by striking "shall be part of the Voice of America radio broadcasting to Cuba and";

(B) in subsection (c)—

(i) in the first sentence, by striking "shall" and replacing it with "may";

(ii) in the second sentence, by striking the proviso "Provided, That no frequency shall be used for radio broadcasts to Cuba in accordance with this subchapter which is not also used for all other Voice of America broadcasts to Cuba."; and

(iii) in the third sentence, by striking the proviso "Provided, That not less than 30 per centum of the programs broadcast or rebroadcast shall be regular Voice of America broadcasts with particular emphasis on news and programs meeting the requirements of section 1463(2) of this title.";

(C) in subsection (d), by striking the third sentence; and

(D) in subsection (e), by striking "shall be designated "Voice of America: Cuba Service" or "Voice of America: Radio Marti program"" and replacing with "may be designated Radio Marti";

(2) in section 4 (22 U.S.C. 1465b)—

(A) by inserting "(a)" before the first sentence to create a subsection (a);

(B) in this new subsection (a)—

(i) in the first sentence—

(I) by striking "shall establish within the International Broadcasting Bureau a Cuba Service" and replacing it with "may continue to maintain an Office of Cuba Broadcasting"; and

(II) by adding "or "Cuba Service"" after ""Service"" and before the ");";

(ii) in the second sentence—

(I) by striking "shall" and replacing it with "may"; and

(II) by inserting ", including as" before the word "authorized";

(iii) in the third sentence, by striking "shall" in each place it appears and inserting "may"; and

(iv) in the fourth sentence—

(I) by striking "shall" before the term "be" and replacing it with "should";

(II) by striking "other Voice of America functions" and replacing with "the Voice of America"; and

(III) by striking the term "International Broadcasting Bureau" and replacing with "Broadcasting Board of Governors or its designee.";

(C) by adding a new subsection (b) to read as follows:

"(b) The Broadcasting Board of Governors is authorized to establish an independent grantee organization, as a private nonprofit organization, to carry out any and all Agency broadcasting and related programs to Cuba. The Board or its delegate may make and supervise grants to this grantee. Such a grantee shall not be considered a federal agency or instrumentality and shall adhere to the same standards or professionalism and accountability required of all Broadcasting Board of Governors broadcasters and grantees. The Broadcasting Board of Governors is authorized to transfer any facilities or equipment to such grantee. Broadcasting Board of Governors employees may be detailed to such a grantee, notwithstanding any other provision of law. Grants to this grantee shall satisfy any provisions of law requiring a federal entity, rather than a grantee, to carry out broadcasting to Cuba.";

(3) in section 5(d) (22 U.S.C. 1465c(d)):

(A) by striking "Cuba Service and the head of the Television Marti Service" and replacing it with "Office of Cuba Broadcasting, or his equivalent, or any full time Broadcasting Board of Governor employee to whom the head of the Office of Cuba Broadcasting would report,";

(B) by inserting "a" after the word "as"; and

(C) by striking "members" and inserting "member";

(4) in section 6(a) (22 U.S.C. 1465d(a)), by striking "section 1465" and replacing with "sections 1465, 1465aa, and 6201"; and

(5) in section 8 (22 U.S.C. 1465f)

(A) in subsection (a), by striking the last sentence; and

(B) in subsection (c), by striking "under this section" and replacing with "for broadcasting to Cuba".

(b) The Television Broadcasting to Cuba Act, as amended (22 U.S.C. 1465aa et seq.), is amended—

(1) in section 243 (22 U.S.C. 1465bb)—

(A) in subsection (a), by striking "Agency" and replacing with "Board"; and

(B) in subsection (c),

by striking "shall" and replacing with "may";

(2) in section 244 (22 U.S.C. 1465cc)—

(A) in subsection (a)—

(i) in the first sentence, by striking "is within the Voice of America" and replace with "may continue to be within the Office of Cuba Broadcasting";

(ii) in the second sentence, by striking "shall" and replacing with "may";

(iii) by striking the third sentence; and

(iv) in the fourth sentence, by striking "Service shall" and replacing with "Office of Cuba Broadcasting may";

(B) by striking subsection (b); and

(C) in subsection (c)—

(i) by striking "(c)" and replacing with "(b)";

(ii) by striking "this subchapter" and replacing with "the Radio Broadcasting to Cuba Act, as amended, and the Television Broadcasting to Cuba Act, as amended"; and

(iii) by inserting after "grants," the following: "including to the grantee described in 22 U.S.C. 1465b(b).";

(3) in section 246 (22 U.S.C. 1465dd), by adding the following after the end of the last sentence: "Support and services may be provided on a reimbursable basis. Any reimbursement shall be credited to the appropriation from which the property, support, or services was derived."; and

(4) in section 248 (22 U.S.C. 1465ff)—

(A) in paragraph (4), by striking "." and replacing with ";"; and

(B) by adding the following new clause after the end of the last sentence: "(5) the terms 'Office of Cuba Broadcasting' and 'head of the Office of Cuba Broadcasting' have the meaning provided by section 1465b of title 22.".

**BUREAU OF CONSUMER FINANCIAL PROTECTION**

*Federal Funds*

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 581-5577-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund .....	485	565	636
2000 Total: Balances and receipts .....	485	565	636
Appropriations:			
Current law:			
2101 Bureau of Consumer Financial Protection Fund .....	-485	-565	-636
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 581-5577-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Consumer Financial Protection Bureau .....	524	606	636
0100 Direct program activities, subtotal .....	524	606	636
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	139	129	89
1021 Recoveries of prior year unpaid obligations .....	29	1	1
1050 Unobligated balance (total) .....	168	130	90
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	485	565	636
1930 Total budgetary resources available .....	653	695	726
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	129	89	90

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	335	347	327
3010 Obligations incurred, unexpired accounts .....	524	606	636
3020 Outlays (gross) .....	-483	-625	-651
3040 Recoveries of prior year unpaid obligations, unexpired .....	-29	-1	-1
3050 Unpaid obligations, end of year .....	347	327	311
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	335	347	327
3200 Obligated balance, end of year .....	347	327	311

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	485	565	636
Outlays, gross:			
4100 Outlays from new mandatory authority .....	36	424	445
4101 Outlays from mandatory balances .....	447	201	206
4110 Outlays, gross (total) .....	483	625	651
4180 Budget authority, net (total) .....	485	565	636
4190 Outlays, net (total) .....	483	625	651

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	435	442	393
5001 Total investments, EOY: Federal securities: Par value .....	442	393	350

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) as an independent bureau in the Federal Reserve System. To create a single point of accountability in the Federal government for consumer financial protection, the Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to:

- Conduct rulemaking, supervision, and enforcement with respect to Federal consumer financial laws;
- Handle consumer complaints and inquiries about financial products;

- Promote financial education, literacy, and access;
- Research consumer behavior; and,
- Monitor financial markets for new risks to consumers.

Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. Transfers to the Bureau in 2016 are capped at \$613.7 million. The transfer cap for 2017, as adjusted by an annual inflation indicator, is estimated to be \$646.2 million. The Bureau anticipates requesting less than the transfer cap to fund operations in 2016 and 2017 and the Budget reflects estimates of \$606 and \$636 million, respectively.

Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These amounts are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

**Object Classification** (in millions of dollars)

Identification code 581-5577-0-2-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	192	219	239
12.1 Civilian personnel benefits .....	74	81	86
21.0 Travel and transportation of persons .....	18	18	19
23.1 Rental payments to GSA .....	15	15	17
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
24.0 Printing and reproduction .....	2	3	3
25.2 Other services from non-Federal sources .....	192	226	232
26.0 Supplies and materials .....	6	5	5
31.0 Equipment .....	21	38	34
32.0 Land and structures .....	2		
99.9 Total new obligations .....	524	606	636

**Employment Summary**

Identification code 581-5577-0-2-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,464	1,623	1,757

**CONSUMER FINANCIAL CIVIL PENALTY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 581-5578-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Penalties and Fines, Consumer Financial Protection .....	183	19	
2000 Total: Balances and receipts .....	183	19	
Appropriations:			
Current law:			
2101 Consumer Financial Civil Penalty Fund .....	-183	-19	
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 581-5578-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Civil Penalty Payments .....	35	186	119
0900 Total new obligations (object class 25.2) .....	35	186	119
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	157	305	138
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	183	19	
1930 Total budgetary resources available .....	340	324	138
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	305	138	19

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	12	19

3010	Obligations incurred, unexpired accounts .....	35	186	119
3020	Outlays (gross) .....	-24	-179	-27
3050	Unpaid obligations, end of year .....	12	19	111
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	12	19
3200	Obligated balance, end of year .....	12	19	111

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	183	19	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	6	.....
4101	Outlays from mandatory balances .....	23	173	27
4110	Outlays, gross (total) .....	24	179	27
4180	Budget authority, net (total) .....	183	19	.....
4190	Outlays, net (total) .....	24	179	27

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties obtained in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer financial laws. To the extent that such victims cannot be located or payments are otherwise not practicable, the CFPB may use such funds for consumer education and financial literacy programs. In May 2013, the CFPB published a final rule to provide transparency about how money in the Civil Penalty Fund would be used to compensate victims and the circumstances in which the funds may be allocated for consumer education and financial literacy programs. In Fiscal Year 2013, the CFPB made its first allocations of funds from the Civil Penalty Fund to victims and to consumer education and financial literacy programs. The CFPB continues to make allocations pursuant to the rule and distribute allocated funds accordingly. Obligations related to victim compensation are contingent upon identifying the specific victims qualifying for payments.

**CENTRAL INTELLIGENCE AGENCY**

*Federal Funds*

**CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND**

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000. (*Department of Defense Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 056–3400–0–1–054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Personnel benefits .....	514	514	514
0900 Total new obligations (object class 13.0) .....	514	514	514
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	514	514	514
1930 Total budgetary resources available .....	514	514	514
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	514	514	514
3020 Outlays (gross) .....	-514	-514	-514
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	514	514	514
Outlays, gross:			
4100 Outlays from new mandatory authority .....	514	514	514
4180 Budget authority, net (total) .....	514	514	514
4190 Outlays, net (total) .....	514	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$6.2 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget proposes \$514 million in 2017. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

**CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, **[\$11,000,000]** \$12,436,000: *Provided*, That the Chemical Safety and Hazard Investigation Board (Board) shall have not more than three career Senior Executive Service positions: *Provided further*, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: *Provided further*, That notwithstanding any other provision of law, the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 510–3850–0–1–304	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	11	11	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	11	12
1930 Total budgetary resources available .....	12	12	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 Obligations incurred, unexpired accounts .....	11	11	12
3020 Outlays (gross) .....	-12	-11	-12
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	9	10
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	12	11	12
4180 Budget authority, net (total) .....	11	11	12
4190 Outlays, net (total) .....	12	11	12

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and informing stakeholder discussions on chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other

SALARIES AND EXPENSES—Continued

entities to implement Board recommendations. As authorized by law, the Board will submit a concurrent request for 2017 to the Congress and OMB.

Object Classification (in millions of dollars)

Identification code 510-3850-0-1-304	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	5
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	5	5	6
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations .....	11	11	12

Employment Summary

Identification code 510-3850-0-1-304	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	40	49	53

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Trust Funds

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from the sale of Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund to operate the Foundation's programs.

The Foundation supports competitive programs rewarding American scientist/researchers, companies, educators and students who develop new innovations and innovative approaches to homeland security, life sciences, agriscience and solving community issues through science and education.

The Foundation will continue its programs until its funds are expended.

COMMISSION OF FINE ARTS

Federal Funds

SALARIES AND EXPENSES

For expenses of the Commission of Fine Arts under chapter 91 of title 40, United States Code, **[\$2,653,000] \$2,762,000: Provided,** That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: *Provided further,* That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study or education: *Provided further, That one-tenth of one percent of the funds provided under this heading may be used for official reception and representation expenses. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 323-2600-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	2	3	3
0900 Total new obligations .....	2	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1

Budget authority:

<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	4	4
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-2	-3	-3

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	2	3	3

The Commission advises the President, the Congress, and Department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

Object Classification (in millions of dollars)

Identification code 323-2600-0-1-451	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations .....	2	3	3

Employment Summary

Identification code 323-2600-0-1-451	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	10	12	13

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956a), **[\$2,000,000] \$1,400,000: Provided, That, notwithstanding section 956a of title 20, United States Code, eligibility for grants shall be limited to not-for-profit, non-academic institutions of demonstrated national repute and is further limited to organizations having annual income, exclusive of Federal funds, that is in excess of \$1,000,000 and less than \$50,000,000 for each of the three years prior to receipt of a grant. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 323-2602-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Capital Arts and Cultural Affairs (Direct) .....	2	2	1
0900 Total new obligations (object class 41.0) .....	2	2	1
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2	2	1
1930 Total budgetary resources available .....	2	2	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	2	2	1
3020 Outlays (gross) .....	-2	-2	-1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2	2	1
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	2	1
4180 Budget authority, net (total) .....	2	2	1
4190 Outlays, net (total) .....	2	2	1

The Budget includes \$1.4 million for the National Capital Arts and Cultural Affairs grant program and reflects a change to the grantee requirements. The Budget maintains the requirement under current law that grantees have annual income, exclusive of Federal funds, of at least \$1 million for each of the three years prior to receipt of a grant. In addition, the Budget proposes to require grantees to have annual income, exclusive of Federal funds, of less than \$50 million for each of the three years prior to receipt of a grant.

**COMMISSION ON CIVIL RIGHTS**

*Federal Funds*

COMMISSION ON CIVIL RIGHTS

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, **[\$9,200,000] \$9,430,000: Provided,** That none of the funds appropriated in this paragraph may be used to employ any individuals under Schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations exclusive of one special assistant for each Commissioner: *Provided further,* That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: *Provided further,* That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by section 3 of the Civil Rights Commission Act of 1983 (42 U.S.C. 1975a). (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 326-1900-0-1-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	9	9	9
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	9
1930 Total budgetary resources available .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	9	9	9
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-10	-9	-9
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	9	9
4011 Outlays from discretionary balances .....	2		
4020 Outlays, gross (total) .....	10	9	9
4180 Budget authority, net (total) .....	9	9	9
4190 Outlays, net (total) .....	10	9	9

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights (USCCR) is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also

supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

**Object Classification** (in millions of dollars)

Identification code 326-1900-0-1-751	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	2	1	1
99.9 Total new obligations .....	9	9	9

**Employment Summary**

Identification code 326-1900-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	38	39	44

**COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled established under section 8502 of title 41, United States Code, **[\$6,191,000] \$10,612,000: Provided,** That in order to authorize any central nonprofit agency designated pursuant to section 8503(c) of title 41, United States Code, to perform contract requirements of the Committee as prescribed under section 51-3.2 of title 41, Code of Federal Regulations, the Committee shall **[within 180 days after the date of enactment of this Act]** enter into a written agreement with any such central nonprofit agency: *Provided further,* That such agreement entered into under the preceding proviso shall contain such auditing, oversight, and reporting provisions as necessary to implement chapter 85 of title 41, United States Code: *Provided further,* That such agreement shall include the elements listed under the heading "Committee For Purchase From People Who Are Blind or Severely Disabled—Written Agreement Elements" in the **[explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)]** *report accompanying this Act: Provided further,* That **[after 180 days from the date of enactment of this Act]** a fee may not be charged under section 51-3.5 of title 41, Code of Federal Regulations, unless such fee is under the terms of the written agreement between the Committee and any such central nonprofit agency: *Provided further,* That no less than \$750,000 shall be available for the Office of Inspector General. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 338-2000-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	5	6	10
0900 Total new obligations .....	5	6	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	6	11
1930 Total budgetary resources available .....	5	6	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	5	6	10
3020 Outlays (gross) .....	-6	-6	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 338-2000-0-1-505	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	6	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	5	9
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	6	6	10
4180 Budget authority, net (total) .....	5	6	11
4190 Outlays, net (total) .....	6	6	10

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission) administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for people who are blind or have other significant disabilities. The Committee accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Committee then places such products and service requirements on the AbilityOne Procurement List, requiring Federal departments and agencies to procure the designated products and services from a network of 565 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities. The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2014, nearly 47,000 AbilityOne employees earned a combined total of more than \$550 million in wages, with an average hourly wage of \$12.44. The AbilityOne Program continues to emphasize providing employment to veterans, with more than 3,000 employed in direct or indirect labor positions, including supervision and management. Nearly 2,000 AbilityOne employees move into competitive or supported employment each year after gaining skills and experience on AbilityOne jobs.

While pursuing its core mission to increase employment opportunities for people who are blind or have other significant disabilities, the Committee is dedicated to effective stewardship and program integrity. The Committee continues to strengthen its Procurement List business processes and to enhance its oversight of AbilityOne Program participants. The resources proposed for 2017 will enable the Committee to implement new requirements enacted in the Consolidated Appropriations Act of 2016. These requirements include establishing and staffing an Office of Inspector General for the AbilityOne Program. The requirements also include establishing and administering written agreements that govern the Committee's relationship with its designated central nonprofit agencies, evaluating reports and data from such central nonprofit agencies, and increasing the capacity of the Committee's compliance and operations staff to oversee a national program with \$2.8 billion in annual sales of products and services to the Government.

Object Classification (in millions of dollars)

Identification code 338-2000-0-1-505	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	3	3	6
99.5 Adjustment for rounding .....	2	3	4
99.9 Total new obligations .....	5	6	10

Employment Summary

Identification code 338-2000-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	26	26	72

ADMINISTRATIVE PROVISIONS

§ 401. (a) Section 8G of the Inspector General Act of 1978 (5 U.S.C. App.) is amended—

(1) in subsection (a)—

(A) in paragraph (2), by inserting "the Committee for Purchase From People Who Are Blind or Severely Disabled," after "the Board for International Broadcasting,"; and

(B) in paragraph (4)—

(i) by redesignating subparagraphs (D) through (H) as subparagraphs (E) through (I), respectively; and

(ii) by inserting after subparagraph (C) the following new subparagraph:

"(D) with respect to the Committee for Purchase From People Who Are Blind or Severely Disabled, such term means the Chairman of the Committee for Purchase From People Who Are Blind or Severely Disabled,"; and

(2) in subsection (e)(1)—

(A) by striking "board or commission", the first place it appears, and inserting "board, chairman of a committee, or commission"; and

(B) by striking "board or commission", the second place it appears, and inserting "board, committee, or commission".

(b) Not later than 180 days after the date of the enactment of this Act, the Chairman of the Committee for Purchase From People Who Are Blind or Severely Disabled shall appoint an Inspector General for the Committee.

(c) This section, and the amendments made by this section, shall take effect on the date that is 180 days after the date of the enactment of this Act. ]

SEC. [402] 401. Not later than 30 days after the end of each fiscal year quarter, beginning with the first quarter of fiscal year [2016] 2017, the Committee For Purchase From People Who Are Blind or Severely Disabled shall submit to the Committees on Oversight and Government Reform and Education and the Workforce of the House of Representatives, the Committees on Homeland Security and Governmental Affairs and Health, Education, Labor, and Pensions of the Senate, and the Committees on Appropriations of the House of Representatives and the Senate, the reports described under the heading "Committee For Purchase From People Who Are Blind or Severely Disabled—Requested Reports" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act). (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases), in the District of Columbia and elsewhere, [250,000,000] \$330,000,000, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, of which not less than [50,000,000, to remain available until September 30, 2017, shall be for the purchase of information technology and of which not less than \$2,620,000] \$3,461,000 shall be for expenses of the Office of the Inspector General: *Provided*, That notwithstanding the limitations in 31 U.S.C. 1553, amounts provided under this heading are available for the liquidation of obligations equal to current year payments on leases entered into prior to the date of enactment of this Act: *Provided further*, That for the purpose of recording any obligations that should have been recorded against accounts closed pursuant to 31 U.S.C. 1552, these accounts may be reopened solely for the purpose of correcting any violations of 31 U.S.C. 1501(a)(1), and balances canceled pursuant to 31 U.S.C. 1552(a) in any accounts reopened pursuant to this authority shall remain unavailable to liquidate any outstanding obligations. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 339-1400-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	199	197	248
0002 Information Technology .....	51	52	79
0003 Inspector General .....		3	3
0900 Total new obligations .....	250	252	330
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5		
1021 Recoveries of prior year unpaid obligations .....	1	2	
1050 Unobligated balance (total) .....	6	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	250	250	330
1930 Total budgetary resources available .....	256	252	330
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	56	33
3010 Obligations incurred, unexpired accounts .....	250	252	330
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-229	-272	-321
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-1	-5
3050 Unpaid obligations, end of year .....	56	33	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	56	33
3200 Obligated balance, end of year .....	56	33	37
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	250	250	330
Outlays, gross:			
4010 Outlays from new discretionary authority .....	198	222	293
4011 Outlays from discretionary balances .....	31	50	28
4020 Outlays, gross (total) .....	229	272	321
4180 Budget authority, net (total) .....	250	250	330
4190 Outlays, net (total) .....	229	272	321

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to foster open, transparent, competitive, and financially sound markets; to avoid systemic risk; and to protect market users and their funds, consumers, and the public from fraud, manipulation, and abusive practices related to derivatives and other products that are subject to the Commodity Exchange Act (7 U.S.C. 1, et seq.) (CEA or the Act). The CFTC, established by Congress as an independent agency in 1974, administers the Act. The Act established a comprehensive regulatory structure to oversee the volatile futures trading complex, including futures trading in all goods, articles, services, rights, and interests; commodity options trading; and leverage trading in gold and silver bullion and coins.

To meet changing market conditions, the CFTC's mandate has been renewed and expanded several times since its inception. Most recently, and in response to the 2008 financial crisis, the scope of the CFTC's mission grew dramatically upon enactment of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act), which amended the CEA and expanded the CFTC's mission to include oversight of the previously unregulated over-the-counter swaps marketplace.

The markets under the CFTC's regulatory purview are large and economically significant. In the United States, the CFTC regulates the markets for futures and options on futures with an estimated notional value of \$34 trillion and the swaps market with an estimated notional value of \$270 trillion. The Administration proposes an increase of \$80 million and 183 FTE in 2017 over the 2016 enacted level in order to fulfill the CFTC's responsibility to oversee these vital markets. The increase requested for 2017 is a down payment toward doubling the funding of the CFTC from its 2015 level by 2021, enabling the CFTC to thoroughly perform its post-Dodd-Frank mission.

The Administration strongly supports and will again propose legislation authorizing the CFTC to collect user fees from its regulated community equal to the agency's annual appropriation. Fee rates would be designed in a way that supports market access, market liquidity, and the efficiency, competitiveness, and financial integrity of the Nation's futures, options on futures, and swaps markets. Fee funding would shift the costs of regulatory services provided by the CFTC from the general taxpayer to the primary beneficiaries of the CFTC's oversight, and would bring CFTC funding in line with that of all other Federal financial and banking regulators. Subject to enactment of authorizing legislation enabling the CFTC to collect user fees, the Administration proposes that collections begin with the 2018 appropriation.

**Object Classification** (in millions of dollars)

Identification code 339-1400-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	103	114	145
11.3 Other than full-time permanent .....	3	4	5
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	107	119	152
12.1 Civilian personnel benefits .....	33	35	45
21.0 Travel and transportation of persons .....	2	2	3
23.2 Rental payments to others .....	20	15	23
23.3 Communications, utilities, and miscellaneous charges .....	6	3	5
24.0 Printing and reproduction .....	1		
25.2 Other services from non-Federal sources .....	72	66	89
26.0 Supplies and materials .....	2		
31.0 Equipment .....	7	12	13
99.9 Total new obligations .....	250	252	330

**Employment Summary**

Identification code 339-1400-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	690	714	897

**CUSTOMER PROTECTION FUND****Program and Financing** (in millions of dollars)

Identification code 339-4334-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Customer Education Program .....	5	21	22
0002 Whistleblower Program .....	2	3	3
0003 Whistleblower Awards .....		60	52
0900 Total new obligations .....	7	84	77
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	270	264	180
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1050 Unobligated balance (total) .....	271	264	180
1930 Total budgetary resources available .....	271	264	180
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	264	180	103
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 Obligations incurred, unexpired accounts .....	7	84	77
3020 Outlays (gross) .....	-6	-84	-77
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	6	84	77
4180 Budget authority, net (total) .....			

CUSTOMER PROTECTION FUND—Continued  
Program and Financing—Continued

Identification code 339-4334-0-3-376	2015 actual	2016 est.	2017 est.
4190 Outlays, net (total) .....	6	84	77
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	270	263	179
5001 Total investments, EOY: Federal securities: Par value .....	263	179	102

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended the Commodity Exchange Act (7 U.S.C. 1, et seq.) to establish the Customer Protection Fund (the Fund) and to direct the Commodity Futures Trading Commission (Commission) to issue rules implementing incentives and protections for whistleblowers.

The Customer Protection Fund is a revolving fund into which the Commission deposits monetary sanctions it collects in covered judicial or administrative actions. The Commission may deposit such sanctions into the Fund unless the balance in the Fund at the time the sanction is collected exceeds \$100 million. The Commission will not deposit restitution awarded to victims into the Fund, and will pay whistleblower awards and finance customer education initiatives from the Fund. The Commission is required to submit an annual report on the whistleblower award program to the Committee on Agriculture, Nutrition, and Forestry of the Senate and the Committee on Agriculture of the House of Representatives.

Section 748 of the Dodd-Frank Act requires the Commission to pay awards to whistleblowers who provide original information to the Commission that leads to successful enforcement of a Commission action resulting in monetary sanctions exceeding \$1 million, and who satisfy other eligibility requirements. The amount of the awards, as determined by the Commission, will be between 10 to 30 percent of sanctions collected in either the Commission's action or a related action that is based upon original information provided by the whistleblower.

The Commission's award determination is dependent upon certain criteria. The Commission may exercise discretion in granting an award based upon the significance of the information, the degree of assistance provided in support of the Commission's action or related action, the Commission's programmatic interest, and other criteria. An award shall be denied to certain Government employees and others who are statutorily ineligible.

A whistleblower may appeal the Commission's award determination as to whom an award is made, the amount of an award, or the denial of an award to the appropriate U.S. Circuit Court of Appeals.

Object Classification (in millions of dollars)

Identification code 339-4334-0-3-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1	3	3
11.8 Special personal services payments .....		60	52
11.9 Total personnel compensation .....	1	63	55
12.1 Civilian personnel benefits .....		1	1
25.1 Advisory and assistance services .....	5	19	20
99.0 Direct obligations .....	6	83	76
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	7	84	77

Employment Summary

Identification code 339-4334-0-3-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	8	16	17

CONSUMER PRODUCT SAFETY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, **[\$125,000,000] \$130,500,000**, of which **[not less than \$1,000,000] \$4,000,000** shall remain available until September 30, **[2017] 2018**, to **[reduce the costs of third party testing associated with certification of children's products under section 14 of the Consumer Product Safety Act (15 U.S.C. 2063)] implement section 2 of Public Law No. 108-153 (15 U.S.C. 7501), the 21st Century Nanotechnology Research and Development Act. (Financial Services and General Government Appropriations Act, 2016.)**

Program and Financing (in millions of dollars)

Identification code 061-0100-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Consumer Product Safety .....	123	125	131
0100 Direct program activities, subtotal .....	123	125	131
0801 Salaries and Expenses (Reimbursable) .....	3	3	3
0900 Total new obligations .....	126	128	134
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	123	125	131
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	3	3
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	3	3	3
1900 Budget authority (total) .....	126	128	134
1930 Total budgetary resources available .....	127	129	135
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	35	37
3010 Obligations incurred, unexpired accounts .....	126	128	134
3020 Outlays (gross) .....	-123	-126	-133
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	35	37	38
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	32	33	35
3200 Obligated balance, end of year .....	33	35	36
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	126	128	134
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	98	102	107
4011 Outlays from discretionary balances .....	25	24	26
4020 Outlays, gross (total) .....	123	126	133
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total) ...	-3	-3	-3
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	123	125	131
4080 Outlays, net (discretionary) .....	120	123	130
4180 Budget authority, net (total) .....	123	125	131
4190 Outlays, net (total) .....	120	123	130

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112–28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. The 2017 request continues scaling the CPSC's import surveillance initiative to a full-scale national program, and proposes that an import surveillance user fee be enacted with collections beginning by 2018 to offset costs of the program. The request also supports global outreach and education, and includes funding to conduct applied research on exposure to potential chronic hazards related to nanotechnology in consumer products and crumb rubber (artificial field turf and playgrounds).

(5) **[\$3,800,000] \$4,000,000** shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis: *Provided further*, That for the purposes of carrying out the 1990 Act, satisfying the requirements in section 122(c)(1)(D) may include a determination of need by the local community: *Provided further*, That not to exceed 20 percent of funds made available under section 198K of the 1990 Act may be used for Social Innovation Fund Pilot Program-related performance-based awards for Pay for Success projects and shall remain available through September 30, **[2017] 2018**: *Provided further*, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a): *Provided further*, That any funds deobligated from projects under section 198K of the 1990 Act shall immediately be available for activities authorized under section 198K of such Act. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Object Classification** (in millions of dollars)

Identification code 061–0100–0–1–554	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	52	58	60
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	57	63	65
12.1 Civilian personnel benefits .....	17	17	18
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	8	9	9
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	23	26	27
25.3 Other goods and services from Federal sources .....	3	1	1
25.4 Operation and maintenance of facilities .....	1		
25.5 Research and development contracts .....	2	2	4
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	2	2
99.0 Direct obligations .....	123	125	131
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	126	128	134

**Employment Summary**

Identification code 061–0100–0–1–554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	535	567	582

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**

**Federal Funds**

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973 (referred to in this title as "1973 Act") and the National and Community Service Act of 1990 (referred to in this title as "1990 Act"), **[\$787,929,000] \$794,608,000**, notwithstanding sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act: *Provided*, That of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$50,000,000 shall be available for expenses to carry out section 198K of the 1990 Act; (3) **[\$16,038,000] \$17,000,000** shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; (4) \$30,000,000 shall be available *until September 30, 2018* to carry out subtitle E of the 1990 Act; and

**Program and Financing** (in millions of dollars)

Identification code 485–2728–0–1–506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 AmeriCorps*State and National .....	337	386	386
0002 Foster Grandparent Program .....	106	108	108
0003 Senior Companion Program .....	44	46	46
0004 AmeriCorps*VISTA .....	91	92	96
0006 AmeriCorps*NCSC .....	30	30	30
0007 Retired Senior Volunteer Program .....	47	49	49
0008 State Comm. Support Grants .....	16	16	17
0009 Evaluations .....	5	4	6
0010 Social Innovation Fund .....	55	50	50
0011 Innovation, Demon., and Assistance .....	3	3	4
0012 Volunteer Generation Fund .....	4	4	4
0799 Total direct obligations .....	738	788	796
0801 Operating Expenses (Reimbursable) .....	39	35	33
0900 Total new obligations .....	777	823	829
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	17	16
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	9	17	16
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	758	787	795
1120 Appropriations transferred to other accts [485–2723] .....	–1		
1120 Appropriations transferred to other acct [091–0400] .....	–1		
1160 Appropriation, discretionary (total) .....	756	787	795
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	33	35	35
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	35	35	35
1900 Budget authority (total) .....	791	822	830
1930 Total budgetary resources available .....	800	839	846
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–6		
1941 Unexpired unobligated balance, end of year .....	17	16	17
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	831	863	880
3010 Obligations incurred, unexpired accounts .....	777	823	829
3011 Obligations incurred, expired accounts .....	14		
3020 Outlays (gross) .....	–730	–806	–788
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4		
3041 Recoveries of prior year unpaid obligations, expired .....	–25		
3050 Unpaid obligations, end of year .....	863	880	921
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		–2	–2
3070 Change in uncollected pymts, Fed sources, unexpired .....	–2		
3090 Uncollected pymts, Fed sources, end of year .....	–2	–2	–2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	831	861	878
3200 Obligated balance, end of year .....	861	878	919
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	791	822	830
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	133	284	286

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE—Continued  
Program and Financing—Continued

Identification code 485-2728-0-1-506	2015 actual	2016 est.	2017 est.
4011 Outlays from discretionary balances .....	597	522	502
4020 Outlays, gross (total) .....	730	806	788
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-32	-35	-35
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-33	-35	-35
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4070 Budget authority, net (discretionary) .....	756	787	795
4080 Outlays, net (discretionary) .....	697	771	753
4180 Budget authority, net (total) .....	756	787	795
4190 Outlays, net (total) .....	697	771	753

The Corporation for National and Community Service (CNCS) provides opportunities for Americans of all ages to serve their community and country in sustained and effective ways. As the nation's largest grantmaker for service and volunteering, CNCS plays a critical role in strengthening America's nonprofit sector and addressing our nation's challenges through service. CNCS harnesses America's most powerful resource—the energy and talents of its citizens—to solve problems and strengthen communities. From grade school through retirement, CNCS empowers Americans and fosters a lifetime of service. CNCS plays a vital role in supporting the American culture of citizenship, service and responsibility. CNCS promotes service around the country, working hand in hand with thousands of local partners. These institutions include: nonprofits, schools, faith-based and other community organizations, and local governments.

*AmeriCorps State and National.*—With funds channeled through States, Territories, Tribes, and community-based organizations, AmeriCorps grants enable communities to recruit, train, and place AmeriCorps members to meet critical local needs in the areas of disaster services, economic opportunity, education, environmental stewardship, healthy futures, and veterans and military families, as directed by the Edward M. Kennedy Serve America Act of 2009.

*AmeriCorps National Civilian Community Corps.*—AmeriCorps NCCC is a 10-month residential national service program for people ages 18–24. AmeriCorps NCCC members will be deployed to respond to natural disasters and engage in urban and rural development projects across the nation.

*AmeriCorps VISTA.*—Provides full-time members to community organizations and public agencies working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, and homelessness.

*State Service Commission Support Grants.*—These grants support the operation of State Service Commissions that administer approximately two-thirds of AmeriCorps State and National grant funds. Commissions are responsible for monitoring sub-grantees and ensuring that they comply with Federal requirements and performance expectations. These grants must be matched by the Commissions.

*Retired Senior Volunteer Program.*—RSVP grants support volunteers aged 55 and older who help meet a wide range of community needs, including mentoring children and providing independent living services to adults.

*Foster Grandparent Program.*—Grants provide low-income volunteers age 55 and older with service opportunities to provide one-on-one mentoring and support to at-risk children.

*Senior Companion Program.*—Grants support low-income volunteers who provide companionship, transportation, help with light chores, and respite to assist tens of thousands of seniors and people with disabilities to remain in their own homes.

*Innovation, Demonstration, and Assistance.*—These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs. This includes the Social Innovation Fund, which helps identify and scale-up innovative and evidence-based

programs across the country. The 2017 Budget for the Social Innovation Fund continues to request that up to 20 percent of funds be available for Pay For Success projects. The Volunteer Generation Fund will focus on strengthening the ability of nonprofits and other organizations to recruit, retain, and manage volunteers. Additional activities include the annual Martin Luther King, Jr. Day of Service, and United We Serve, the President's call to service initiative.

*Evaluation.*—This activity supports the design and implementation of research and evaluation studies and will facilitate the use of evidence and evaluation by CNCS and national service organizations.

Object Classification (in millions of dollars)

Identification code 485-2728-0-1-506	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7	7	7
11.8 Special personal services payments .....	45	45	45
11.9 Total personnel compensation .....	52	52	52
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	3	3	3
23.2 Rental payments to others .....	5	5	5
25.2 Other services from non-Federal sources .....	38	38	38
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	633	683	689
99.0 Direct obligations .....	738	788	794
99.0 Reimbursable obligations .....	39	35	35
99.9 Total new obligations .....	777	823	829

Employment Summary

Identification code 485-2728-0-1-506	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	176	173	173

PAYMENT TO THE NATIONAL SERVICE TRUST

(INCLUDING TRANSFER OF FUNDS)

For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, **[\$220,000,000] \$206,842,000**, to remain available until expended: *Provided*, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b). (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 485-2726-0-1-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to National Service Trust Fund .....	210	220	207
0900 Total new obligations (object class 94.0) .....	210	220	207
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	210	220	207
1930 Total budgetary resources available .....	210	220	207
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	210	220	207
3020 Outlays (gross) .....	-210	-220	-207

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	210	220	207
Outlays, gross:				
4010	Outlays from new discretionary authority .....	210	220	207
4180	Budget authority, net (total) .....	210	220	207
4190	Outlays, net (total) .....	210	220	207

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, **[\$5,250,000]** \$6,100,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 485-2721-0-1-506	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Office of Inspector General .....	5	5	6
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5	5	6
1930	Total budgetary resources available .....	5	5	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010	Obligations incurred, unexpired accounts .....	5	5	6
3020	Outlays (gross) .....	-5	-5	-5
3050	Unpaid obligations, end of year .....	2	2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	3
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5	5	6
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	2	2
4011	Outlays from discretionary balances .....	2	3	3
4020	Outlays, gross (total) .....	5	5	5
4180	Budget authority, net (total) .....	5	5	6
4190	Outlays, net (total) .....	5	5	5

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

Object Classification (in millions of dollars)

Identification code 485-2721-0-1-506	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	2
25.2	Other services from non-Federal sources .....	2	2	2
99.9	Total new obligations .....	5	5	6

Employment Summary

Identification code 485-2721-0-1-506	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	18	20	22

SALARIES AND EXPENSES

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$81,737,000]** \$89,330,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 485-2722-0-1-506	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	NCSA Salaries & Expenses .....	81	82	89
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	82	82	89
1930	Total budgetary resources available .....	82	83	90
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	24	22	22
3010	Obligations incurred, unexpired accounts .....	81	82	89
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-81	-82	-85
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	22	22	26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24	22	22
3200	Obligated balance, end of year .....	22	22	26
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	82	82	89
Outlays, gross:				
4010	Outlays from new discretionary authority .....	67	63	69
4011	Outlays from discretionary balances .....	14	19	16
4020	Outlays, gross (total) .....	81	82	85
4180	Budget authority, net (total) .....	82	82	89
4190	Outlays, net (total) .....	81	82	85

This account provides salaries and operating expenses for the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485-2722-0-1-506	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	40	41	42
11.3	Other than full-time permanent .....	1		
11.9	Total personnel compensation .....	41	41	42
12.1	Civilian personnel benefits .....	12	12	12
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	8	5	8
23.3	Communications, utilities, and miscellaneous charges .....	3	3	2
25.2	Other services from non-Federal sources .....	16	20	24
99.9	Total new obligations .....	81	82	89

Employment Summary

Identification code 485-2722-0-1-506	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	448	459	476

VISTA ADVANCE PAYMENTS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 485-2723-0-1-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 VISTA Advance Payments Revolving Fund (Reimbursable) .....	10	13	13
0900 Total new obligations (object class 41.0) .....	10	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	2
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct (485-2728) ...	1		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	12	12
1900 Budget authority (total) .....	11	12	12
1930 Total budgetary resources available .....	13	15	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	10	13	13
3020 Outlays (gross) .....	-10	-12	-12
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	12	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	12	12
4011 Outlays from discretionary balances .....	9		
4020 Outlays, gross (total) .....	10	12	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-10	-12	-12
4180 Budget authority, net (total) .....	1		
4190 Outlays, net (total) .....			

The VISTA Advance Payments Revolving Fund was established in 2007 by Public Law 110-05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

Trust Funds

GIFTS AND CONTRIBUTIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 485-9972-0-7-506	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Interest on Investment, National Service Trust Fund .....	4	5	5
1140 Payment from the General Fund, National Service Trust Fund .....	218	220	207
1199 Total current law receipts .....	222	225	212
1999 Total receipts .....	222	225	212
2000 Total: Balances and receipts .....	222	225	212
Appropriations:			
Current law:			
2101 Gifts and Contributions .....	-218	-220	-207
2101 Gifts and Contributions .....	-4	-5	-5
2199 Total current law appropriations .....	-222	-225	-212
2999 Total appropriations .....	-222	-225	-212

5099 Balance, end of year .....

Program and Financing (in millions of dollars)

Identification code 485-9972-0-7-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Gifts and contributions .....	203	214	200
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	99	124	135
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	99	124	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	218	220	207
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	5	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6		
1900 Budget authority (total) .....	228	225	212
1930 Total budgetary resources available .....	327	349	347
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	124	135	147
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	623	635	616
3010 Obligations incurred, unexpired accounts .....	203	214	200
3020 Outlays (gross) .....	-191	-233	-232
3050 Unpaid obligations, end of year .....	635	616	584
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	623	635	616
3200 Obligated balance, end of year .....	635	616	584
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	224	220	207
Outlays, gross:			
4011 Outlays from discretionary balances .....	191	231	229
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4		
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-6		
4070 Budget authority, net (discretionary) .....	218	220	207
4080 Outlays, net (discretionary) .....	185	231	229
Mandatory:			
4090 Budget authority, gross .....	4	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....		1	2
4110 Outlays, gross (total) .....		2	3
4180 Budget authority, net (total) .....	222	225	212
4190 Outlays, net (total) .....	185	233	232
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	717	752	752
5001 Total investments, EOY: Federal securities: Par value .....	752	752	752

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until they are used.

Object Classification (in millions of dollars)

Identification code 485-9972-0-7-506	2015 actual	2016 est.	2017 est.
25.2 Direct obligations: Other services from non-Federal sources .....	200	211	197
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	203	214	200

ADMINISTRATIVE PROVISIONS

SEC. [403] 401. CNCS shall make any significant changes to program requirements, service delivery or policy only through public notice and comment rulemaking. For fiscal year 2016, during any grant selection process, an officer or employee of CNCS shall not knowingly disclose any covered grant selection information regarding such selection, directly or indirectly, to any person other than an officer or employee of CNCS that is authorized by CNCS to receive such information.

SEC. [404] 402. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations. *Notwithstanding section 198(i)(4), these minimum share requirements shall apply to Martin Luther King, Jr. Service Day grants under section 198(i).*

SEC. [405] 403. Donations made to CNCS under section 196 of the 1990 Act for the purposes of financing programs and operations under titles I and II of the 1973 Act or subtitle B, C, D, or E of title I of the 1990 Act shall be used to supplement and not supplant current programs and operations.

SEC. [406] 404. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.

SEC. [407] 405. For the purpose of carrying out section 189D of the 1990 Act—

- (1) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA"); and
- (2) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and
- (3) State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act, are authorized to receive criminal history record information, consistent with Public Law 92-544.

SEC. 406. *Notwithstanding sections 137(a)(3) and (4) of the 1990 Act, national service programs carried out under section 121 of the Act may select disadvantaged youth who are age 14 or older at the time the individual begins the term of service to serve in less than full time positions for disadvantaged youths during the months of May through September. For purposes of section 146(d) of the Act, any disadvantaged youth who is under age 17 at the time the individual begins the term of service shall be treated as an individual eligible to receive a summer of service educational award under section 146(d)(1).*

SEC. 407. *Notwithstanding sections 139(b), 146, and 147 of the 1990 Act, an individual who successfully completes a term of service of not less than 1,200 hours during a period of not more than 1 year may receive a national service education award having a value of 70 percent of the value of a national service education award determined under section 147(a) of the Act.*

SEC. 408. *Section 148 of the 1990 Act is amended by striking subsection (f)(2)(A)(i) and redesignating subsection "(A)(ii)" as "(A)".*

SEC. 409. *The 1973 Act is amended—*

- (a)(1) *by striking section 412;*
- (2) *in subparagraph 201(f)(1) by striking "Notwithstanding section 412, and effective" and inserting "Effective";*
- (3) *in subparagraph 201(g)(3) by striking "in accordance with section 412";*
- (4) *in subparagraph 201(i)(1) by striking "or section 412"; and*
- (b) *in section 1(b), by striking "Sec. 412 Notice and hearing procedures for suspension and termination of financial assistance."; and*
- (c) *in subparagraph 227(a), by striking paragraph (2), removing the designation of paragraph (1), and striking "paragraph (2) and".*

SEC. 410. *Notwithstanding section 198K(m)(1) and 198K(m)(2)(D), of the funds appropriated for the Social Innovation Fund, not more than 7.5 percent may be used to carry out section 198K(m). (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)*

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
485-322055 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1		

General Fund Offsetting receipts from the public ..... 1 .....

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting ("CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year [2018] 2019, \$445,000,000: *Provided*, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB: *Provided further*, That none of the funds made available to CPB by this Act shall be used to support the Television Future Fund or any similar purpose.

In addition, for the costs associated with replacing and upgrading the public broadcasting interconnection system, [ \$40,000,000 ] \$50,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 020-0151-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General programming .....	445	445	445
0002 Interconnection .....		40	50
0900 Total new obligations (object class 41.0) .....	445	485	495
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		40	50
Advance appropriations, discretionary:			
1170 Advance appropriation - General Programming .....	445	445	445
1900 Budget authority (total) .....	445	485	495
1930 Total budgetary resources available .....	445	485	495
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	445	485	495
3020 Outlays (gross) .....	-445	-485	-495
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	445	485	495
Outlays, gross:			
4010 Outlays from new discretionary authority .....	445	485	495
4180 Budget authority, net (total) .....	445	485	495
4190 Outlays, net (total) .....	445	485	495

The FY 2017 Budget proposes an advance appropriation of \$445 million for the Corporation for Public Broadcasting (CPB) for fiscal year 2019. In 1975, Congress first agreed to begin providing CPB with a two-year advance appropriation to support long-range financial planning and to insulate programming decisions. This commitment of future Federal dollars helps leverage investments from other sources and gives producers essential lead time to plan, design, create, and support programming and services.

CPB uses funding to provide grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition, as well as for general operations. CPB also supports the production and acquisition of radio and television programs for national distribution. In addition, CPB assists in the financing of system-wide activities that are essential to station operations, including CPB's contracts for national interconnection services and music royalty licenses.

CORPORATION FOR PUBLIC BROADCASTING—Continued

It also provides limited technical assistance, research, and planning services to improve system-wide capacity and performance.

The Budget also provides \$50 million to CPB in FY 2017 to support the second phase of a \$197 million deployment of the next-generation public television interconnection system. The Public Broadcasting Service (PBS), under a contract with CPB, operates the current satellite-based interconnection system, which allows PBS, distributors, stations, and producers to distribute programming to public television licensees nationwide and in American territories. This system is reaching end-of-life in 2016. The new interconnection system will use a combination of cloud, satellite, and terrestrial fiber-optic technologies to deliver non-real time content, as well as live and near-live content. This funding allows CPB to continue supporting the production and distribution of high-quality, freely available news and programming; satisfy statutory public safety responsibilities; and reduce overall public broadcasting system expenses relating to bandwidth, storage, video processing, and future interconnectivity needs. Public radio interconnection satellite leases expire in 2018, and the improved technology may enable the public television and radio stations to share certain elements of the planned television interconnection system, leading to greater efficiencies. The Budget provides funding in FYs 2018 and 2019 to fully build out and complete the remainder of the public television interconnection system.

In addition, the Budget supports relieving CPB of the statutory requirement to provide a "clear feed" broadcast of PBS's National Program Service to users of large satellite dishes, which have become a niche technology. This requirement is estimated to cost the public television system nearly \$1 million a year in satellite lease fees.

**CORPORATION FOR TRAVEL PROMOTION**

*Federal Funds*

TRAVEL PROMOTION FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 580-5585-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	193	245	252
0198 Unappropriated receipt adjustment .....	6		
0199 Balance, start of year .....	199	245	252
Receipts:			
Current law:			
1110 Fees, Travel Promotion Fund .....	138	100	100
2000 Total: Balances and receipts .....	337	345	352
Appropriations:			
Current law:			
2101 Travel Promotion Fund .....	-100	-100	-100
2132 Travel Promotion Fund .....	7	7	
2199 Total current law appropriations .....	-93	-93	-100
2999 Total appropriations .....	-93	-93	-100
5098 Rounding adjustment .....	1		
5099 Balance, end of year .....	245	252	252

**Program and Financing** (in millions of dollars)

Identification code 580-5585-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Travel Promotion Fund (Direct) .....	93	93	100
0900 Total new obligations (object class 41.0) .....	93	93	100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	100
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	-7	
1260 Appropriations, mandatory (total) .....	93	93	100

1930 Total budgetary resources available .....	93	93	100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	22	24
3010 Obligations incurred, unexpired accounts .....	93	93	100
3020 Outlays (gross) .....	-105	-91	-100
3050 Unpaid obligations, end of year .....	22	24	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	22	24
3200 Obligated balance, end of year .....	22	24	24
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	93	93	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	71	70	70
4101 Outlays from mandatory balances .....	34	21	30
4110 Outlays, gross (total) .....	105	91	100
4180 Budget authority, net (total) .....	93	93	100
4190 Outlays, net (total) .....	105	91	100

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private partnership, funded through a combination of private sector contributions and Federal matching funds, works closely with the travel industry to encourage increased travel and tourism in the United States.

A surcharge to the Electronic System for Traveler Authorization (ESTA) fee that travelers from visa waiver countries pay before arriving in the United States provides Brand USA's Federal matching funds. Authorization to collect the surcharge under the Travel Promotion Act was set to expire September 30, 2015, but was extended to September 30, 2020, in the Travel Promotion, Enhancement, and Modernization Act of 2014 (part of the 2015 Consolidated and Further Continuing Appropriations Act). These funds will enable Brand USA to continue its mission of promoting travel and tourism in the United States.

**COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY**

*Federal Funds*

INSPECTORS GENERAL COUNCIL FUND

**Program and Financing** (in millions of dollars)

Identification code 542-4592-0-4-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Inspectors General Council Fund (Reimbursable) .....	6	7	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6	7	8
1930 Total budgetary resources available .....	17	18	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	6	7	8
3020 Outlays (gross) .....	-5	-7	-8
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	6	7	8
Outlays, gross:				
4100	Outlays from new mandatory authority .....	5	7	8
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-6	-7	-8
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1		

The Inspector General (IG) Reform Act of 2008 (P.L. 110-409) created the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to address program integrity, efficiency, and effectiveness issues that transcend individual Government agencies and to increase the professionalism and effectiveness of IG staff. In 2017, CIGIE estimates that it will need \$7.9 million to continue to support cross-cutting IG activities and train IG staff.

Pursuant to Section 7 of the Inspector General Reform Act of 2008, resources for CIGIE activities are provided through interagency funding. CIGIE plans to collect monies for 2017 during the second half of 2016 and will use \$4.3 million for CIGIE's Training Institute and \$3.6 million for operations. Although CIGIE will collect the required funding for 2017 from agency IGs in the second half of 2016, the President's 2017 Budget also includes funds in individual IG budgets that are dedicated to CIGIE and will be collected in 2017 for use in 2018.

**Object Classification** (in millions of dollars)

Identification code 542-4592-0-4-808	2015 actual	2016 est.	2017 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time Permanent .....	1	1	2
11.8	Special personal services payments .....	2	2	2
11.9	Total personnel compensation .....	3	3	4
12.1	Civilian personnel benefits .....			1
23.1	Rental payments to GSA .....			1
25.1	Advisory and assistance services .....	1	1	1
25.2	Other Services - Non Federal .....	1	1	1
99.0	Reimbursable obligations .....	5	5	8
99.5	Adjustment for rounding .....	1	2	
99.9	Total new obligations .....	6	7	8

**Employment Summary**

Identification code 542-4592-0-4-808	2015 actual	2016 est.	2017 est.	
2001	Reimbursable civilian full-time equivalent employment .....	9	11	15

**COURT SERVICES AND OFFENDER SUPERVISION  
AGENCY FOR THE DISTRICT OF COLUMBIA**

*Federal Funds*

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY  
FOR THE DISTRICT OF COLUMBIA

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, [\$244,763,000] \$248,008,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs, of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002; of which [\$182,406,000] \$182,721,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons, of which up to \$3,159,000 shall remain available until September 30, 2018, for the relocation of offender supervision field offices; and of which [\$62,357,000] \$65,287,000 shall be available to the Pretrial Services Agency: *Provided*, That notwithstanding any other provision of law, all amounts under this heading shall be

apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That amounts under this heading may be used for programmatic incentives for [offenders and] defendants to successfully [meeting] complete their terms of supervision: *Provided further*, That the Director is authorized to accept and use gifts in the form of in-kind contributions of the following: space and hospitality to support offender and defendant programs; equipment, supplies, clothing, and professional development and vocational training services and items necessary to sustain, educate, and train offenders and defendants, including their dependent children; and programmatic incentives for offenders and defendants meeting terms of supervision: *Provided further*, That the Director shall keep accurate and detailed records of the acceptance and use of any gift under the previous proviso, and shall make such records available for audit and public inspection: *Provided further*, That the Court Services and Offender Supervision Agency Director is authorized to accept and use reimbursement from the District of Columbia Government for space and services provided on a cost reimbursable basis. (District of Columbia Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 511-1734-0-1-752	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Community supervision program .....	164	189	188
0002	Pretrial Services Agency .....	61	63	65
0900	Total new obligations .....	225	252	253
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	9	4
1012	Unobligated balance transfers between expired and unexpired accounts .....	3	1	
1050	Unobligated balance (total) .....	4	10	4
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	234	245	248
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		1	1
1900	Budget authority (total) .....	234	246	249
1930	Total budgetary resources available .....	238	256	253
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-4		
1941	Unexpired unobligated balance, end of year .....	9	4	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	46	59	68
3010	Obligations incurred, unexpired accounts .....	225	252	253
3011	Obligations incurred, expired accounts .....	6		
3020	Outlays (gross) .....	-211	-243	-257
3041	Recoveries of prior year unpaid obligations, expired .....	-7		
3050	Unpaid obligations, end of year .....	59	68	64
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	46	59	68
3200	Obligated balance, end of year .....	59	68	64
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	234	246	249
Outlays, gross:				
4010	Outlays from new discretionary authority .....	177	196	198
4011	Outlays from discretionary balances .....	34	47	59
4020	Outlays, gross (total) .....	211	243	257
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) .....	-1	-1	-1
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	234	245	248
4080	Outlays, net (discretionary) .....	210	242	256
4180	Budget authority, net (total) .....	234	245	248
4190	Outlays, net (total) .....	210	242	256

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA—Continued

agency to perform community supervision of D.C. Code offenders. The new agency assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, is an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism, and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

**Community Supervision Program.**—This activity provides supervision of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, routine drug testing, graduated sanctions, treatment, transitional housing, and other offender support services, including services from community and faith-based collaborations. The activity also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole, and supervised release decisions. The 2017 Budget provides additional resources for testing offenders for synthetic drugs.

**Pretrial Services Agency.**—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that individuals on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release. The 2017 Budget provides additional resources for testing defendants for synthetic drugs.

**Object Classification** (in millions of dollars)

Identification code 511-1734-0-1-752	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	103	107	108
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	105	109	110
12.1 Civilian personnel benefits .....	43	43	44
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	.....	1	1
23.1 Rental payments to GSA .....	7	10	12
23.2 Rental payments to others .....	9	10	10
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	4	6	6
25.2 Other services from non-Federal sources .....	33	42	42
25.3 Other goods and services from Federal sources .....	3	3	4
25.4 Operation and maintenance of facilities .....	1	1	1
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	4	5
31.0 Equipment .....	7	8	8
32.0 Land and structures .....	3	7	2
99.0 Direct obligations .....	225	251	252
99.0 Reimbursable obligations .....	.....	1	1
99.9 Total new obligations .....	225	252	253

**Employment Summary**

Identification code 511-1734-0-1-752	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,173	1,260	1,261

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, **[\$29,150,000] \$31,000,000**, to remain available until September 30, **[2017] 2018**. (*Energy and Water Development and Related Agencies Appropriations Act, 2016*.)

**Program and Financing** (in millions of dollars)

Identification code 347-3900-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	28	31	33
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	2
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	7	4	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	29	31
1930 Total budgetary resources available .....	36	33	33
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4	.....	.....
1941 Unexpired unobligated balance, end of year .....	4	2	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	6	8
3010 Obligations incurred, unexpired accounts .....	28	31	33
3020 Outlays (gross) .....	-26	-29	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	6	8	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	6	8
3200 Obligated balance, end of year .....	6	8	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	29	29	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	22	23
4011 Outlays from discretionary balances .....	4	7	7
4020 Outlays, gross (total) .....	26	29	30
4180 Budget authority, net (total) .....	29	29	31
4190 Outlays, net (total) .....	26	29	30

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the executive branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

**Object Classification** (in millions of dollars)

Identification code 347-3900-0-1-999	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	16	17
12.1 Civilian personnel benefits .....	4	5	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	3	3
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	3	3
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1	1	1

99.0	Direct obligations .....	26	31	33
99.5	Adjustment for rounding .....	2		
99.9	Total new obligations .....	28	31	33

**Employment Summary**

Identification code 347-3900-0-1-999	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	106	112	120

**DELTA REGIONAL AUTHORITY**

*Federal Funds*

DELTA REGIONAL AUTHORITY

SALARIES AND EXPENSES

For expenses necessary for the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, **[\$25,000,000]** \$15,936,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 517-0750-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Delta Regional Authority (Direct) .....	12	25	16
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	25	16
1930 Total budgetary resources available .....	12	25	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	27	7
3010 Obligations incurred, unexpired accounts .....	12	25	16
3020 Outlays (gross) .....	-13	-45	-23
3050 Unpaid obligations, end of year .....	27	7	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	27	7
3200 Obligated balance, end of year .....	27	7	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	25	16
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	25	16
4011 Outlays from discretionary balances .....	8	20	7
4020 Outlays, gross (total) .....	13	45	23
4180 Budget authority, net (total) .....	12	25	16
4190 Outlays, net (total) .....	13	45	23

Established by Congress in 2000, the Delta Regional Authority (DRA) is a Federal-state partnership created to help address the economic needs of the eight-state, Mississippi Delta region. DRA's service area spans a 252 county/parish footprint. DRA's economic development investments help support the creation and sustainability of strong local and regional economies. In 2017, DRA will continue to provide support for disaster response and economic recovery, promote regional planning of place-based economic development strategies and provide investments toward its statutory mission. DRA's strategic investments help support projects in the following categories: basic public infrastructure, transportation infrastructure, business development with an emphasis in entrepreneurship, and workforce development. In addition to its investments through the States' Economic Development Assistance Program (SEDAP), the Authority will continue the use of strategic collaboration to help leverage investments from the private and non-profit sectors. DRA continues to help engage communities within the Delta Region and assists in increasing individuals'

access to federal family assets in the areas of healthcare, access to affordable capital, and infrastructure financing tools.

**Object Classification** (in millions of dollars)

Identification code 517-0750-0-1-452	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	11	24	15
99.9 Total new obligations .....	12	25	16

**Employment Summary**

Identification code 517-0750-0-1-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**DENALI COMMISSION**

*Federal Funds*

DENALI COMMISSION

For expenses necessary for the Denali Commission including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses, **[\$11,000,000]** \$15,000,000, to remain available until expended, notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998: *Provided*, That funds shall be available for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105-277), as amended by section 701 of appendix D, title VII, Public Law 106-113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities **[. ]**: *Provided further*, That, notwithstanding any other provision of law regarding payment of a non-federal share in connection with a grant-in-aid program, amounts under this heading shall be available for the payment of such a non-federal share for programs undertaken to carry out the purposes of the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 513-1200-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Denali Commission (Direct) .....	14	11	15
0801 Denali Commission (Reimbursable) .....	14	14	14
0900 Total new obligations .....	28	25	29
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	9
1021 Recoveries of prior year unpaid obligations .....	7	8	8
1050 Unobligated balance (total) .....	8	9	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	11	15
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	12	14	14
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	11	14	14
1900 Budget authority (total) .....	21	25	29
1930 Total budgetary resources available .....	29	34	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	9	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	52	41
3010 Obligations incurred, unexpired accounts .....	28	25	29
3020 Outlays (gross) .....	-25	-28	-28
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-8	-8
3050 Unpaid obligations, end of year .....	52	41	34
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		

DENALI COMMISSION—Continued  
Program and Financing—Continued

Identification code 513-1200-0-1-452	2015 actual	2016 est.	2017 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	55	52	41
3200 Obligated balance, end of year .....	52	41	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	25	29
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	8	10
4011 Outlays from discretionary balances .....	18	20	18
4020 Outlays, gross (total) .....	25	28	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-14	-14
4040 Offsets against gross budget authority and outlays (total) ....	-12	-14	-14
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4070 Budget authority, net (discretionary) .....	10	11	15
4080 Outlays, net (discretionary) .....	13	14	14
4180 Budget authority, net (total) .....	10	11	15
4190 Outlays, net (total) .....	13	14	14

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105-277) and is composed of seven members including the Federal Co-Chair. The Commission's mission is to promote and provide sustainable infrastructure improvement, job training, and other economic development services that improve health, safety, and economic self-sufficiency within rural communities in Alaska. In 2017, the Commission will continue to coordinate cost-shared utilities and infrastructure projects with a focus on the most distressed communities. The 2017 Budget proposes to continue a 50% matching requirement to the Commission's funding of construction projects. This provision, common to other Federal regional economic development agencies, ensures that communities have a stake in their Commission-funded projects. Grants to distressed communities will have a lower matching requirement (20%). This match may be provided by the State of Alaska. In order to improve performance measures, in 2017 the Commission will continue to place an emphasis on gathering output and outcome results from its program partners and grantees. In 2015 President Obama announced that the Denali Commission would serve in a lead coordination role for federal, state and tribal resources to assist communities in developing, and implementing, both short and long-term solutions to address the impacts of climate change; including coastal erosion, flooding and permafrost degradation. To help deliver federal assistance to Alaskan communities, the Budget proposes language to allow the agency to waive the non-federal cost-share for Denali Commission grants when necessary and to use Denali grant funds to meet the non-federal cost share match requirement of other Federal programs.

Object Classification (in millions of dollars)

Identification code 513-1200-0-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	2	2
41.0 Grants, subsidies, and contributions .....	9	7	11
99.0 Direct obligations .....	14	11	15
99.0 Reimbursable obligations .....	14	14	14
99.9 Total new obligations .....	28	25	29

Employment Summary

Identification code 513-1200-0-1-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15	15	15

Trust Funds

DENALI COMMISSION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 513-8056-0-7-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Denali Commission Trust Fund (Direct) .....	5	4	4
0900 Total new obligations (object class 41.0) .....	5	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	5	8
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		-2	
1021 Recoveries of prior year unpaid obligations .....	1	3	3
1050 Unobligated balance (total) .....	4	6	11
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	6	4	4
1102 Appropriation (previously unavailable) .....		2	
1160 Appropriation, discretionary (total) .....	6	6	4
1930 Total budgetary resources available .....	10	12	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	8	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	14	9
3010 Obligations incurred, unexpired accounts .....	5	4	4
3020 Outlays (gross) .....	-3	-6	-6
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-3	-3
3050 Unpaid obligations, end of year .....	14	9	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	14	9
3200 Obligated balance, end of year .....	14	9	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	4
Outlays, gross:			
4011 Outlays from discretionary balances .....	3	6	6
4180 Budget authority, net (total) .....	6	6	4
4190 Outlays, net (total) .....	3	6	6

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DISTRICT OF COLUMBIA

DISTRICT OF COLUMBIA COURTS

Federal Funds

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, **[\$274,401,000]** \$274,681,000, to be allocated as follows: for the District of Columbia Court of Appeals, **[\$14,192,000]** \$14,414,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the Superior Court of the District of Columbia, **[\$123,638,000]** \$125,961,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the District of Columbia Court System, **[\$73,981,000]** \$75,585,000, of which not to exceed \$2,500 is for official

reception and representation expenses; and **[\$62,590,000]** \$58,721,000, to remain available until September 30, **[2017]** 2018, for capital improvements for District of Columbia courthouse facilities: *Provided*, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and facilities condition assessment: *Provided further*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$6,000,000 of the funds provided under this heading among the items and entities funded under this heading: *Provided further*, That the Joint Committee on Judicial Administration in the District of Columbia may, by regulation, establish a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, for employees of the District of Columbia Courts. (*District of Columbia Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 349-1712-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Court of Appeals .....	14	14	14
0002 Superior Court .....	118	126	128
0003 Court system .....	71	74	75
0004 Capital improvements .....	33	68	61
0900 Total new obligations .....	236	282	278
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	35	29
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	26	35	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	245	274	275
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1900 Budget authority (total) .....	246	276	277
1930 Total budgetary resources available .....	272	311	306
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	35	29	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	72	70	89
3010 Obligations incurred, unexpired accounts .....	236	282	278
3011 Obligations incurred, expired accounts .....	17		
3020 Outlays (gross) .....	-234	-263	-269
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-18		
3050 Unpaid obligations, end of year .....	70	89	98
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	72	70	89
3200 Obligated balance, end of year .....	70	89	98
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	246	276	277
Outlays, gross:			
4010 Outlays from new discretionary authority .....	180	208	208
4011 Outlays from discretionary balances .....	54	55	61
4020 Outlays, gross (total) .....	234	263	269
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	245	274	275
4080 Outlays, net (discretionary) .....	232	261	267
4180 Budget authority, net (total) .....	245	274	275
4190 Outlays, net (total) .....	232	261	267

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, and the Court System, as well as capital improvements.

The 2017 Budget provides resources to support the D.C. Courts' core functions, enhanced services for youth and self-represented persons with probate cases, and technology customer service improvements. In addition, the 2017 Budget provides resources for capital improvements to initiate construction of the eastern phase of the Moultrie Courthouse addition (including the D.C. Family Court) and to maintain court facilities in Judiciary Square.

By law, the Courts' annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$275 million includes \$216 million for the District of Columbia Court of Appeals, the Superior Court of the District of Columbia, and the District of Columbia Court System operations and \$59 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$373 million: \$217 million for operations and \$156 million for capital improvements.

**Object Classification** (in millions of dollars)

Identification code 349-1712-0-1-806	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	110	121	124
12.1 Civilian personnel benefits .....	30	31	31
21.0 Travel and transportation of persons .....		1	1
23.2 Rental payments to others .....	5	6	6
23.3 Communications, utilities, and miscellaneous charges .....	8	8	8
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	23	31	30
25.2 Other services from non-Federal sources .....	17	22	21
25.3 Other goods and services from Federal sources .....	2	3	2
25.4 Operation and maintenance of facilities .....	10	13	12
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	4	5	5
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	6	10	10
32.0 Land and structures .....	17	25	22
99.0 Direct obligations .....	234	280	276
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	236	282	278

**FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS**

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21-2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$49,890,000, to remain available until expended: *Provided*, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: *Provided further*, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies. (*District of Columbia Appropriations Act, 2016.*)

FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA  
COURTS—Continued

Program and Financing (in millions of dollars)

Identification code 349-1736-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Payment for Defender Services in District of Columbia Co (Direct) .....	48	54	54
0900 Total new obligations (object class 25.2) .....	48	54	54
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	8	4
1050 Unobligated balance (total) .....	6	8	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	50
1930 Total budgetary resources available .....	56	58	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	4	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	35	52
3010 Obligations incurred, unexpired accounts .....	48	54	54
3020 Outlays (gross) .....	-46	-37	-57
3050 Unpaid obligations, end of year .....	35	52	49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	35	52
3200 Obligated balance, end of year .....	35	52	49
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	50	50	50
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	26	26
4011 Outlays from discretionary balances .....		11	31
4020 Outlays, gross (total) .....	46	37	57
4180 Budget authority, net (total) .....	50	50	50
4190 Outlays, net (total) .....	46	37	57

Under three Defender Services programs, the District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation on their own. The Defender Services programs are the Criminal Justice Act (CJA) program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; and the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, investigations, and genetic testing. The President's recommended funding level for Defender Services is \$50 million. Under a separate transmittal to the Congress, the Courts are also requesting \$50 million for Defender Services.

DISTRICT OF COLUMBIA CRIME VICTIMS COMPENSATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 349-5676-0-2-806	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Fines and Fees, District of Columbia Crime Victims Compensation Fund .....	10	6	6
2000 Total: Balances and receipts .....	10	6	6

Appropriations:

Current law:			
2101 District of Columbia Crime Victims Compensation Fund .....	-10	-6	-6
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 349-5676-0-2-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Crime Victims Compensation .....	9	10	10
0900 Total new obligations (object class 25.1) .....	9	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
1050 Unobligated balance (total) .....	1	2	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		3	3
1900 Budget authority (total) .....	10	9	9
1930 Total budgetary resources available .....	11	11	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 Obligations incurred, unexpired accounts .....	9	10	10
3020 Outlays (gross) .....	-9	-9	-10
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	8	8
4101 Outlays from mandatory balances .....		1	2
4110 Outlays, gross (total) .....	9	9	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-3	-3
4180 Budget authority, net (total) .....	10	6	6
4190 Outlays, net (total) .....	9	6	7

The District of Columbia Courts administer the Crime Victims Compensation Fund, which finances assistance for innocent victims of violent crime, survivors of homicide victims, and dependent family members of homicide victims. The program provides compensation for certain costs related to the crime, such as medical expenses, temporary emergency housing, and funeral expenses. The Fund is financed through assessments imposed in criminal cases, court fines and fees, and a grant from the U.S. Department of Justice. Under the 2002 Supplemental Appropriations Act for Further Recovery From and Response to Terrorist Attacks on the United States (P.L. 107-206), one half of the Fund's unobligated balances at the end of each year are transferred to the District of Columbia Government for outreach activities designed to increase the number of crime victims who apply for compensation.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code 020-1713-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Judicial Retirement Fund .....	14	14	15

0900	Total new obligations (object class 13.0)	14	14	15
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	14	14	15
1930	Total budgetary resources available	14	14	15
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts	14	14	15
3020	Outlays (gross)	-14	-14	-15
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	14	14	15
Outlays, gross:				
4100	Outlays from new mandatory authority	14	14	15
4180	Budget authority, net (total)	14	14	15
4190	Outlays, net (total)	14	14	15

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience) over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund the normal cost and administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers these amounts into the Judicial Fund.

**Trust Funds**

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-8212-0-7-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	140	144	148
<b>Receipts:</b>			
Current law:			
1110 Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1	1
1140 Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	4	2	3
1140 Federal Payments, D.C. Judicial Retirement and Survivors Annuity	14	14	15
1199 Total current law receipts	19	17	19
1999 Total receipts	19	17	19
2000 Total: Balances and receipts	159	161	167
<b>Appropriations:</b>			
Current law:			
2101 District of Columbia Judicial Retirement and Survivors Annuity Fund	-19	-17	-18
2134 District of Columbia Judicial Retirement and Survivors Annuity Fund	4	4	4
2199 Total current law appropriations	-15	-13	-14
2999 Total appropriations	-15	-13	-14
5099 Balance, end of year	144	148	153

**Program and Financing** (in millions of dollars)

Identification code 020-8212-0-7-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Retirement payments	14	12	13
0002 Administrative Costs	1	1	1
0900 Total new obligations	15	13	14

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	19	17	18
1234	Appropriations precluded from obligation	-4	-4	-4
1260	Appropriations, mandatory (total)	15	13	14
1930	Total budgetary resources available	15	13	14

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	2
3010	Obligations incurred, unexpired accounts	15	13	14
3020	Outlays (gross)	-15	-13	-14
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	15	13	14
Outlays, gross:				
4100	Outlays from new mandatory authority	13	13	14
4101	Outlays from mandatory balances	2		
4110	Outlays, gross (total)	15	13	14
4180	Budget authority, net (total)	15	13	14
4190	Outlays, net (total)	15	13	14

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value	144	148	154
5001	Total investments, EOY: Federal securities: Par value	148	154	158

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund to pay retirement and survivor benefits for District of Columbia judges and expenses necessary to administer the Fund or incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The Judicial Fund consists of amounts contributed by the judges, proceeds of accumulated pension assets transferred from the District of Columbia and liquidated pursuant to the Act, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

**Object Classification** (in millions of dollars)

Identification code 020-8212-0-7-602	2015 actual	2016 est.	2017 est.	
<b>Direct obligations:</b>				
25.3	Other goods and services from Federal sources	1	1	1
42.0	Payments to annuitants	14	12	13
99.9	Total new obligations	15	13	14

**Employment Summary**

Identification code 020-8212-0-7-602	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	2	2	2

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues. Consistent with the principle of home rule, it is the Administration's view that the District's local autonomy should be enhanced and increased. The Administration will work with the Congress and the Mayor to provide the District local budget autonomy and legislative autonomy, as reflected in the Budget.

**Federal Funds**

**FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT**

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$40,000,000, to remain available until expended: *Provided*, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: *Provided further*, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: *Provided further*, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: *Provided further*, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor. (*District of Columbia Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 020-1736-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Payment for Resident Tuition Support (Direct) .....	30	40	40
0900 Total new obligations (object class 41.0) .....	30	40	40
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	40	40
1930 Total budgetary resources available .....	30	40	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	30	40	40
3020 Outlays (gross) .....	-30	-40	-40
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	40	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	40	40
4180 Budget authority, net (total) .....	30	40	40
4190 Outlays, net (total) .....	30	40	40

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private historically Black colleges and universities nationwide, as well as public 2-year community colleges. To date, the Tuition Assistance Grant program has assisted over 24,400 students. The Consolidated Appropriations Act, 2016 reduced the annual family income ceiling for program eligibility from \$1,000,000 to \$750,000 starting in the 2016-2017 school year. This change does not affect current grant recipients whose annual family income exceeds \$750,000; these students will continue to be eligible for the grants until graduation.

**FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT**

For a Federal payment for a school improvement program in the District of Columbia, [\$45,000,000] \$43,200,000, to remain available until expended, for payments authorized under the Scholarship for Opportunity and Results Act (division C of Public Law 112-10): *Provided*, [That, to the extent that funds are available for opportunity scholarships and following the priorities included in section 3006 of such Act, the Secretary of Education shall make scholarships available to students eligible under section 3013(3) of such Act (Public Law 112-10; 125 Stat. 211) in-

cluding students who were not offered a scholarship during any previous school year: *Provided further*, ] That within funds provided for opportunity scholarships \$3,200,000 shall be for the activities specified in sections 3007(b) through 3007(d) and 3009 of the Act. (*District of Columbia Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 020-1817-0-1-501	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Department of Education allocation account .....	15	15	3
0002 DC public schools .....	15	15	20
0003 DC public charter schools .....	15	15	20
0900 Total new obligations (object class 41.0) .....	45	45	43
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	45	43
1930 Total budgetary resources available .....	45	45	43
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	45	45	43
3020 Outlays (gross) .....	-45	-45	-43
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	45	43
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	45	43
4180 Budget authority, net (total) .....	45	45	43
4190 Outlays, net (total) .....	45	45	43

The 2017 Budget provides \$43.2 million to support kindergarten through high school education in the District of Columbia, including \$20 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the Nation and \$20 million for D.C. charter schools to support facilities and other unmet needs. The Budget also provides \$3.2 million for the D.C. Opportunity Scholarship program, a private school voucher program re-authorized in 2011, to carry out the evaluation and administration activities of the program; with the amount carried forward from prior fiscal years, the program is expected to have sufficient funding to meet scholarship costs through the 2017-2018 school year.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY**

For a Federal payment to the District of Columbia Water and Sewer Authority, \$14,000,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: *Provided*, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment. (*District of Columbia Appropriations Act, 2016.*)

**FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL**

For a Federal payment to the Criminal Justice Coordinating Council, [\$1,900,000] \$2,000,000, to remain available until expended, to support initiatives related to the coordination of Federal and local criminal justice resources in the District of Columbia. (*District of Columbia Appropriations Act, 2016.*)

**FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS**

For a Federal payment, to remain available until September 30, [2017] 2018, to the Commission on Judicial Disabilities and Tenure, [\$295,000] \$310,000, and for the Judicial Nomination Commission, [\$270,000] \$275,000. (*District of Columbia Appropriations Act, 2016.*)

**FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD**

For a Federal payment to the District of Columbia National Guard, [\$435,000] \$450,000, to remain available until expended for the Major General David F. Wherley, Jr. District of Columbia National Guard Retention and College Access Program. (*District of Columbia Appropriations Act, 2016.*)

## FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, \$5,000,000. (*District of Columbia Appropriations Act, 2016.*)

## FEDERAL PAYMENT FOR THE FEDERAL CITY SHELTER

For a Federal payment to the District of Columbia for activities to support the redevelopment of the site of the Federal City Shelter, including the development of a replacement shelter and permanent supportive housing, \$9,000,000.

## Program and Financing (in millions of dollars)

Identification code 020-1707-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Water and Sewer Authority .....	14	14	14
0002 Criminal Justice Coordinating Council .....	2	2	2
0019 Judicial Commissions .....	1	1	1
0025 HIV/AIDS Prevention .....	5	5	5
0028 Federal City Shelter .....			9
0900 Total new obligations (object class 41.0) .....	22	22	31
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	31
1930 Total budgetary resources available .....	22	22	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		22	31
3020 Outlays (gross) .....	-22	-22	-31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22	22	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	22	31
4180 Budget authority, net (total) .....	22	22	31
4190 Outlays, net (total) .....	22	22	31

The Budget includes \$5 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized; funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget also includes \$14.0 million for D.C. Water to support critical infrastructure needs, \$2 million for the Criminal Justice Coordinating Council, \$0.585 million for judicial commissions, \$0.45 million for the D.C. National Guard, and \$9 million to support the redevelopment of the site of the Federal City Shelter.

## FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, **[\$13,000,000]** \$34,895,000, to remain available until expended, for the costs of providing public safety at events related to the presence of the National Capital in the District of Columbia, including support requested by the Director of the United States Secret Service in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions: *Provided, That, of the amount provided under this heading, \$19,995,000 shall be used for costs associated with the Presidential Inauguration. (District of Columbia Appropriations Act, 2016.)*

## Program and Financing (in millions of dollars)

Identification code 020-1771-0-1-806	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Emergency Planning Fund .....	13	13	15
0002 Presidential Inauguration .....			20
0900 Total new obligations (object class 41.0) .....	13	13	35
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	35
1930 Total budgetary resources available .....	13	13	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	13	13	35
3020 Outlays (gross) .....	-13	-13	-35
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	35
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	13	35
4180 Budget authority, net (total) .....	13	13	35
4190 Outlays, net (total) .....	13	13	35

The 2017 Budget provides \$14.9 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including costs associated with providing support requested by the Director of the U.S. Secret Service. The 2017 Budget also includes \$20 million for emergency planning and security costs for the presidential inauguration.

## FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND

## Program and Financing (in millions of dollars)

Identification code 020-1714-0-1-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Federal Pension Fund .....	487	464	444
0900 Total new obligations (object class 13.0) .....	487	464	444
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	487	464	444
1930 Total budgetary resources available .....	487	464	444
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	487	464	444
3020 Outlays (gross) .....	-487	-464	-444
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	487	464	444
Outlays, gross:			
4100 Outlays from new mandatory authority .....	487	464	444
4180 Budget authority, net (total) .....	487	464	444
4190 Outlays, net (total) .....	487	464	444

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers these amounts into the District of Columbia Federal Pension Fund. Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience)

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND—Continued  
over 10 years, and any other changes in actuarial liability over 20 years  
and (2) amounts necessary to fund administrative expenses for the year.

DISTRICT OF COLUMBIA FEDERAL PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5511-0-2-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year	3,645	3,666	3,620
Receipts:			
Current law:			
1140 Federal Contribution, DC Federal Pension Fund	488	464	444
1140 Earnings on Investments, DC Federal Pension Fund	93	56	68
1199 Total current law receipts	581	520	512
1999 Total receipts	581	520	512
2000 Total: Balances and receipts	4,226	4,186	4,132
Appropriations:			
Current law:			
2101 District of Columbia Federal Pension Fund	-581	-561	-561
2103 District of Columbia Federal Pension Fund	-1	-13	-13
2132 District of Columbia Federal Pension Fund	1	1	
2134 District of Columbia Federal Pension Fund	21	7	6
2199 Total current law appropriations	-560	-566	-568
2999 Total appropriations	-560	-566	-568
5099 Balance, end of year	3,666	3,620	3,564

Program and Financing (in millions of dollars)

Identification code 020-5511-0-2-601	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001 Retirement payments	546	547	550
0002 Administrative costs	16	19	18
0900 Total new obligations	562	566	568
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	2	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	581	561	561
1203 Appropriation (previously unavailable)	1	13	13
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1234 Appropriations precluded from obligation	-21	-7	-6
1260 Appropriations, mandatory (total)	560	566	568
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1900 Budget authority (total)	561	566	568
1930 Total budgetary resources available	563	567	569
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	65	67	
3010 Obligations incurred, unexpired accounts	562	566	568
3020 Outlays (gross)	-558	-633	-568
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	67		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	65	67	
3200 Obligated balance, end of year	67		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	561	566	568
Outlays, gross:			
4100 Outlays from new mandatory authority	491	566	568
4101 Outlays from mandatory balances	67	67	
4110 Outlays, gross (total)	558	633	568

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
4180 Budget authority, net (total)	560	566	568
4190 Outlays, net (total)	557	633	568

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	3,701	3,723	3,914
5001 Total investments, EOY: Federal securities: Par value	3,723	3,914	3,857

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The District of Columbia Federal Pension Fund consists of amounts appropriated to the Fund and income earned from the investment of the Fund assets in public debt securities.

Object Classification (in millions of dollars)

Identification code 020-5511-0-2-601	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	7	10	9
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	4	4	4
42.0 Payments to annuitants	544	547	550
99.0 Direct obligations	560	566	568
99.5 Adjustment for rounding	2		
99.9 Total new obligations	562	566	568

Employment Summary

Identification code 020-5511-0-2-601	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	18	18	18

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

Identification code 020-4446-0-3-806	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0801 Federal Payment for Water and Sewer Services (Reimbursable)	61	56	47
0900 Total new obligations (object class 23.3)	61	56	47
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	62	56	47
1801 Change in uncollected payments, Federal sources	-1		
1850 Spending auth from offsetting collections, mand (total)	61	56	47
1930 Total budgetary resources available	61	56	47
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 Obligations incurred, unexpired accounts	61	56	47
3020 Outlays (gross)	-62	-56	-47
3050 Unpaid obligations, end of year	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070 Change in uncollected pymts, Fed sources, unexpired	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	61	56 47
Outlays, gross:			
4100	Outlays from new mandatory authority .....	60	56 47
4101	Outlays from mandatory balances .....	2	.....
4110	Outlays, gross (total) .....	62	56 47
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-60	-56 -47
4123	Non-Federal sources .....	-2	.....
4130	Offsets against gross budget authority and outlays (total) ....	-62	-56 -47
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	1	.....
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	.....	.....

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the District of Columbia Water and Sewer Authority) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay on a quarterly basis 25 percent of its estimated yearly bill into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full government-wide bill by making up the missed agency payment(s) with a permanent, indefinite appropriation, which must then be reimbursed by the appropriate agency or agencies.

### GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
349-322070 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts .....	.....	1	1
General Fund Offsetting receipts from the public .....	.....	1	1

## TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFERS OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2016]2017, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

- (1) creates new programs;
- (2) eliminates a program, project, or responsibility center;
- (3) establishes or changes allocations specifically denied, limited or increased under this Act;
- (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;
- (5) re-establishes any program or project previously deferred through reprogramming;
- (6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or
- (7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center, unless [prior approval is received from] the

Committees on Appropriations of the House of Representatives and the Senate are notified in writing 15 days in advance of the reprogramming.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, [2016]2017.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3-171; D.C. Official Code, sec. 1-123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

- (1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department;
- (2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day;
- (3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day;
- (4) at the discretion of the Chief Medical Examiner, an officer or employee of the Office of the Chief Medical Examiner who resides in the District of Columbia and is on call 24 hours a day;
- (5) at the discretion of the Director of the Homeland Security and Emergency Management Agency, an officer or employee of the Homeland Security and Emergency Management Agency who resides in the District of Columbia and is on call 24 hours a day;
- (6) the Mayor of the District of Columbia; and
- (7) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. (a) None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

(b) None of the Federal funds contained in this Act may be used to enact any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative for recreational purposes.

SEC. 810. None of the Federal funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42), for all

agencies of the District of Columbia government for fiscal year 2016 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.】

【SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42).】

SEC. 【813】811. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 【814】812. None of the Federal funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 【815】813. Except as otherwise specifically provided by law or under this Act, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 【2016】2017 from appropriations of Federal funds made available for salaries and expenses for fiscal year 【2016】2017 in this Act, shall remain available through September 30, 【2017】2018, for each such account for the purposes authorized: *Provided*, That a 【request】*notification* shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate 【for approval】 prior to the expenditure of such funds: *Provided further*, That these 【requests】*notifications* shall be made in compliance with reprogramming guidelines outlined in section 803 of this Act.

【SEC. 816. (a) During fiscal year 2017, during a period in which neither a District of Columbia continuing resolution or a regular District of Columbia appropriation bill is in effect, local funds are appropriated in the amount provided for any project or activity for which local funds are provided in the Fiscal Year 2017 Budget Request Act of 2016 as submitted to Congress (subject to any modifications enacted by the District of Columbia as of the beginning of the period during which this subsection is in effect) at the rate set forth by such Act.

(b) Appropriations made by subsection (a) shall cease to be available—

(1) during any period in which a District of Columbia continuing resolution for fiscal year 2017 is in effect; or

(2) upon the enactment into law of the regular District of Columbia appropriation bill for fiscal year 2017.

(c) An appropriation made by subsection (a) is provided under the authority and conditions as provided under this Act and shall be available to the extent and in the manner that would be provided by this Act.

(d) An appropriation made by subsection (a) shall cover all obligations or expenditures incurred for such project or activity during the portion of fiscal year 2017 for which this section applies to such project or activity.

(e) This section shall not apply to a project or activity during any period of fiscal year 2017 if any other provision of law (other than an authorization of appropriations)—

(1) makes an appropriation, makes funds available, or grants authority for such project or activity to continue for such period; or

(2) specifically provides that no appropriation shall be made, no funds shall be made available, or no authority shall be granted for such project or activity to continue for such period.

(f) Nothing in this section shall be construed to affect obligations of the government of the District of Columbia mandated by other law.】

【SEC. 817. (a) This section may be cited as the "D.C. Opportunity Scholarship Program School Certification Requirements Act".

(b) Section 3007(a) of the Scholarships for Opportunity and Results Act (Public Law 112–10; 125 Stat. 203) is amended—

(1) in paragraph (4)—

(A) in subparagraph (E), by striking "and" after the semicolon;

(B) in subparagraph (F), by striking the period at the end and inserting a semicolon; and

(C) by adding at the end the following:

"(G)

"(i) is provisionally or fully accredited by a national or regional accrediting agency that is recognized in the District of Columbia School Reform Act of 1995 (sec. 38–1802.02(16)(A)-(G), D.C. Official Code) or any other accrediting body deemed appropriate by the Office of the State Superintendent for Schools for the purposes of accrediting an elementary or secondary school; or

"(ii) in the case of a school that is a participating school as of the day before the date of enactment of the D.C. Opportunity Scholarship Program School Certification Requirements Act and, as of such day, does not meet the requirements of clause (i)—

"(I) by not later than 1 year after such date of enactment, is pursuing accreditation by a national or regional accrediting agency recognized in the District of Columbia School Reform Act of 1995 (sec. 38–1802.02(16)(A)-(G), D.C. Official Code) or any other accrediting body deemed appropriate by the Office of the State Superintendent for Schools for the purposes of accrediting an elementary or secondary school; and

"(II) by not later than 5 years after such date of enactment, is provisionally or fully accredited by such accrediting agency, except that an eligible entity may grant not more than one 1-year extension to meet this requirement for each participating school that provides evidence to the eligible entity from such accrediting agency that the school's application for accreditation is in process and the school will be awarded accreditation before the end of the 1-year extension period;

"(H) conducts criminal background checks on school employees who have direct and unsupervised interaction with students; and

"(I) complies with all requests for data and information regarding the reporting requirements described in section 3010."; and

(2) by adding at the end the following:

"(5) **NEW PARTICIPATING SCHOOLS.**—If a school is not a participating school as of the date of enactment of the D.C. Opportunity Scholarship Program School Certification Requirements Act, the school shall not become a participating school and none of the funds provided under this division for opportunity scholarships may be used by an eligible student to enroll in that school unless the school—

"(A) is actively pursuing provisional or full accreditation by a national or regional accrediting agency that is recognized in the District of Columbia School Reform Act of 1995 (sec. 38–1802.02(16)(A)-(G), D.C. Official Code) or any other accrediting body deemed appropriate by the Office of the State Superintendent for Schools for the purposes of accrediting an elementary or secondary school; and

"(B) meets all of the other requirements for participating schools under this Act.

"(6) **ENROLLING IN ANOTHER SCHOOL.**—An eligible entity shall assist the parents of a participating eligible student in identifying, applying to, and enrolling in an another participating school for which opportunity scholarship funds may be used, if—

"(A) such student is enrolled in a participating private school and may no longer use opportunity scholarship funds for enrollment in that participating private school because such school fails to meet a requirement under paragraph 4, or any other requirement of this Act; or

"(B) a participating eligible student is enrolled in a school that ceases to be a participating school.".

(c) **REPORT TO ELIGIBLE ENTITIES.**—Section 3010 of the Scholarships for Opportunity and Results Act (Public Law 112–10; 125 Stat. 203) is further amended—

(1) by redesignating subsection (d) as subsection (e); and

(2) by inserting after subsection (c) the following:

"(d) **REPORTS TO ELIGIBLE ENTITIES.**—The eligible entity receiving funds under section 3004(a) shall ensure that each participating school under this division submits to the eligible entity beginning not later than 5 years after the date of the enactment of the D.C. Opportunity Scholarship Program School Certification Requirements Act, a certification that the school has been awarded provisional or full accreditation, or has been granted an extension by the eligible entity in accordance with section 3007(a)(4)(G)."

(d) Unless specifically provided otherwise, this section, and the amendments made by this section, shall take effect 1 year after the date of enactment of this Act.】

【SEC. 818. Subparagraph (G) of section 3(c)(2) of the District of Columbia College Access Act of 1999 (Public Law 106–98), as amended, is further amended:

(1) by inserting after "(G)", "(i) for individuals who began an undergraduate course of study prior to school year 2015–2016,"; and

(2) by inserting the following before the period at the end: "and (ii) for individuals who begin an undergraduate course of study in or after school year 2016–2017, is from a family with a taxable annual income of less than \$750,000. Beginning with school year 2017–2018, the Mayor shall adjust the amounts in clauses (i) and (ii) for inflation, as measured by the percentage increase, if any, from the preceding fiscal year in the Consumer Price Index for All Urban Consumers, published by the Bureau of Labor Statistics of the Department of Labor". ]

SEC. [819]814. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 815. Section 446 (D.C. Official Code, sec. 1–204.46), is amended—

(a) in the third sentence, to read as follows: "The Mayor shall submit to the President of the United States for transmission to Congress the portion of the budget so adopted with respect to federal funds and the Mayor shall notify the Speaker of the House of Representatives, and the President of the Senate, as to the portion of the budget so adopted with respect to local funds; provided, that in a control year (as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47–393(4)), the Mayor shall submit to the President of the United States for transmission to Congress the budget so adopted."; and

(b) in the fifth sentence, by striking "the Mayor shall not transmit any annual budget or amendments or supplements thereto, to the President of the United States" and inserting in lieu thereof, "the Mayor shall not submit to the President of the United States, or, for a fiscal year which is not a control year, notify the Speaker of the House of Representatives and the President of the Senate regarding, any annual budget or amendments or supplements thereto".

SEC. 816. (a) Subpart 1 of part D of title IV of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.41 et seq.) is amended by inserting after section 446B the following new section:

"BUDGET AND FISCAL YEAR AUTONOMY.—

"Sec. 446C. (a) BUDGET AUTONOMY.—Notwithstanding the fourth sentence of section 446 of the Home Rule Act (D.C. Official Code, sec. 1–204.46), the second and third sentences of section 447 of the Home Rule Act (D.C. Official Code, sec. 1–204.47), section 602(c) of the Home Rule Act (D.C. Official Code, sec. 1–206.02(c)), or sections 816 and 817 of the Financial Services and General Government Appropriations Act, 2009 (D.C. Official Code, secs. 47–369.01 and 47–369.02), upon the enactment by the District of Columbia of the annual budget, or any amendments or supplements thereto, for a fiscal year, officers and employees of the District of Columbia government may obligate and expend District of Columbia funds and hire employees in accordance with that budget.";

"(b) FISCAL YEAR AUTONOMY.—Notwithstanding section 441 of the Home Rule Act (D.C. Official Code, sec. 1–204.41), the fiscal year of the District government and any entity of the District government shall commence and end on such dates as may be established by the District of Columbia.";

"(c) EXCEPTION FOR CONTROL YEAR.—Subsection (a) shall not apply in the case of any fiscal year that is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47–393(4))."; and

"(d) EFFECTIVE DATE.—This section shall apply with respect to fiscal year 2017 and each succeeding fiscal year.".

(b) Section 1537(b)(1)(B) of title 31, United States Code, is amended by striking "the Director of the Office of Management and Budget.".

SEC. 817. (a) In General.—Section 602 (sec. 1–206.02, D.C. Official Code) is amended by striking subsection (c).

(b) Congressional Resolutions of Disapproval.—

(1) IN GENERAL.—The District of Columbia Home Rule Act is amended by striking section 604 (sec. 1–206.04, D.C. Official Code).

(2) CLERICAL AMENDMENT.—The table of contents is amended by striking the item relating to section 604.

(3) EXERCISE OF RULEMAKING POWER.—This subsection and the amendments made by this subsection are enacted by Congress—

(A) as an exercise of the rulemaking power of the House of Representatives and the Senate, respectively, and as such they shall be considered as a part of the rules of each House, respectively, or of that House to which they specifically apply, and such rules shall supersede other rules only to the extent that they are inconsistent therewith; and

(B) with full recognition of the constitutional right of either House to change such rules (so far as relating to such House) at any time, in the same manner, and to the same extent as in the case of any other rule of such House.

(c) Conforming Amendments.—

(1) DISTRICT OF COLUMBIA HOME RULE ACT.—

(A) Section 303 (sec. 1–203.03, D.C. Official Code) is amended—

(i) in subsection (a), by striking the second sentence; and

(ii) by striking subsection (b) and redesignating subsections (c) and (d) as subsections (b) and (c).

(B) Section 404(e) (sec. 1–204.04(e), D.C. Official Code) is amended by striking "subject to the provisions of section 602(c)" each place it appears.

(C) Section 462 (sec. 1–204.62, D.C. Official Code) is amended—

(i) in subsection (a), by striking "(a) The Council" and inserting "The Council"; and

(ii) by striking subsections (b) and (c).

(D) Section 472(d) (sec. 1–204.72(d), D.C. Official Code) is amended to read as follows:

"(d) Payments Not Subject to Appropriation.—The fourth sentence of section 446 shall not apply to any amount obligated or expended by the District for the payment of the principal of, interest on, or redemption premium for any revenue anticipation note issued under subsection (a)."

(E) Section 475(e) (sec. 1–204.75(e), D.C. Official Code) is amended to read as follows:

"(e) Payments Not Subject to Appropriation.—The fourth sentence of section 446 shall not apply to any amount obligated or expended by the District for the payment of the principal of, interest on, or redemption premium for any revenue anticipation note issued under this section.".

(2) OTHER LAWS.—

(A) Section 2(b)(1) of Amendment No. 1 (relating to initiative and referendum) to title IV (the District Charter) (sec. 1–204.102(b)(1), D.C. Official Code) is amended by striking "the appropriate custodian" and all that follows through "portion of such act to".

(B) Section 5 of Amendment No. 1 (relating to initiative and referendum) to title IV (the District Charter) (sec. 1–204.105, D.C. Official Code) is amended by striking ", and such act" and all that follows and inserting a period.

(C) Section 16 of the District of Columbia Election Code of 1955 (sec. 1–1001.16, D.C. Official Code)—

(i) in subsection (j)(2)—

(I) by striking "sections 404 and 602(c)" and inserting "section 404", and

(II) by striking the second sentence; and

(ii) in subsection (m)—

(I) in the first sentence, by striking "the appropriate custodian" and all that follows through "parts of such act to";

(II) by striking "is held. If, however, after" and inserting "is held unless, under"; and

(III) by striking "section, the act which" and all that follows and inserting "section.".

(d) Effective Date.—The amendments made by this Act shall apply with respect to each act of the District of Columbia—

(1) passed by the Council of the District of Columbia and signed by the Mayor of the District of Columbia;

(2) vetoed by the Mayor and repassed by the Council;

(3) passed by the Council and allowed to become effective by the Mayor without the Mayor's signature; or

(4) in the case of initiated acts and acts subject to referendum, ratified by a majority of the registered qualified electors voting on the initiative or referendum, on or after October 1, 2016.

(Financial Services and General Government Appropriations Act, 2016.)

## ELECTION ASSISTANCE COMMISSION

### Federal Funds

#### ELECTION ASSISTANCE COMMISSION

##### SALARIES AND EXPENSES

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), [ \$9,600,000 ] \$9,800,000, of which \$1,500,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002. (Financial Services and General Government Appropriations Act, 2016.)

ELECTION ASSISTANCE COMMISSION—Continued

Program and Financing (in millions of dollars)

Identification code 525-1650-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Election Assistance Commission .....	6	8	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	10
1120 Appropriations transferred to other accts (013-0500) .....	-2	-2	-2
1160 Appropriation, discretionary (total) .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	3
3010 Obligations incurred, unexpired accounts .....	6	8	8
3020 Outlays (gross) .....	-6	-7	-7
3050 Unpaid obligations, end of year .....	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	3
3200 Obligated balance, end of year .....	2	3	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	6	6
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	6	7	7
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	6	7	7

The Election Assistance Commission assists State and local election officials by testing and certifying election equipment, sharing best practices to improve the administration of Federal elections, and providing them with information about the voting system standards established by the Help America Vote Act of 2002 (P.L. 107-252). Of the amounts proposed for 2017, \$1.5 million will be transferred to the National Institute of Standards and Technology to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

Object Classification (in millions of dollars)

Identification code 525-1650-0-1-808	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	4	3
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....			1
25.2 Other services from non-Federal sources .....	2	3	3
99.9 Total new obligations .....	6	8	8

Employment Summary

Identification code 525-1650-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	22	31	28

ELECTION REFORM PROGRAMS

Program and Financing (in millions of dollars)

Identification code 525-1651-0-1-808	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1050 Unobligated balance (total) .....	3	3	3

1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	6
3020 Outlays (gross) .....	-1		-1
3050 Unpaid obligations, end of year .....	6	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	6	6
3200 Obligated balance, end of year .....	6	6	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1		1

The Election Assistance Commission is responsible for distributing and auditing the use of election reform grant funding, in accordance with the requirements of the Help America Vote Act of 2002. To date, the Federal government has provided over \$3.2 billion in grant funding to States for election administration modernization and improvement. The President's 2017 Budget does not provide resources for additional grant funding.

ELECTION DATA COLLECTION GRANTS

Program and Financing (in millions of dollars)

Identification code 525-1652-0-1-808	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

ELECTRIC RELIABILITY ORGANIZATION

Federal Funds

ELECTRIC RELIABILITY ORGANIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 531-5522-0-2-276	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	7	7	7
Receipts:			
Current law:			
1110 Fees, Electric Reliability Organization .....	100	100	100
2000 Total: Balances and receipts .....	107	107	107
Appropriations:			
Current law:			
2101 Electric Reliability Organization .....	-100	-100	-100
5099 Balance, end of year .....	7	7	7

Program and Financing (in millions of dollars)

Identification code 531-5522-0-2-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Electric Reliability Organization (Direct) .....	100	100	100
0900 Total new obligations (object class 25.2) .....	100	100	100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	100
1930 Total budgetary resources available .....	100	100	100

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....	100	100
3020	Outlays (gross) .....	-100	-100
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	100	100
Outlays, gross:			
4100	Outlays from new mandatory authority .....	100	100
4180	Budget authority, net (total) .....	100	100
4190	Outlays, net (total) .....	100	100

The Energy Policy Act of 2005 (P.L. 109–58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

3020	Outlays (gross) .....	-364	-365	-375
3041	Recoveries of prior year unpaid obligations, expired .....	-4	-12	.....
3050	Unpaid obligations, end of year .....	64	52	54
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	65	64	52
3200	Obligated balance, end of year .....	64	52	54
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	365	365	377
Outlays, gross:				
4010	Outlays from new discretionary authority .....	314	318	328
4011	Outlays from discretionary balances .....	50	47	47
4020	Outlays, gross (total) .....	364	365	375
4180	Budget authority, net (total) .....	365	365	377
4190	Outlays, net (total) .....	364	365	375

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990; the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act (GINA) of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

**EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Non-Discrimination Act (GINA) of 2008 (Public Law 110–233), the ADA Amendments Act of 2008 (Public Law 110–325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111–2), including services as authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles as authorized by section 1343(b) of title 31, United States Code; non-monetary awards to private citizens; and up to \$29,500,000 for payments to State and local enforcement agencies for authorized services to the Commission, **[\$364,500,000] \$376,646,000: Provided**, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds: **Provided further**, That the Commission may take no action to implement any workforce repositioning, restructuring, or reorganization until such time as the Committees on Appropriations of the House of Representatives and the Senate have been notified of such proposals, in accordance with the reprogramming requirements of section 505 of this Act: **Provided further**, That the Chair is authorized to accept and use any gift or donation to carry out the work of the Commission. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 045–0100–0–1–751	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Private sector .....	294	293	303
0002	Federal sector .....	41	42	44
0003	State and local .....	30	30	30
0900	Total new obligations .....	365	365	377
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	365	365	377
1930	Total budgetary resources available .....	365	365	377
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	65	64	52
3010	Obligations incurred, unexpired accounts .....	365	365	377
3011	Obligations incurred, expired accounts .....	2	.....	.....

**TOTAL WORKLOAD**

	2015 actual	2016 est.	2017 est.
Private sector enforcement .....	169,049	167,871	171,230
Federal sector program:			
Hearings .....	18,395	19,741	19,739
Appeals .....	8,190	8,690	8,922
Total workload .....	195,634	196,302	199,891

This 2017 Budget is an opportunity to advance the work the Commission began with the adoption of the Strategic Plan for fiscal years 2012–2016. The strategic plan outlines a framework for achieving the EEOC's mission to "Stop and Remedy Unlawful Employment Discrimination". The plan has three strategic objectives: 1) Combat employment discrimination through strategic law enforcement; 2) Prevent employment discrimination through education and outreach; and 3) Deliver excellent and consistent service through a skilled and diverse workforce and effective systems. The structure of this budget will permit us to improve efficiencies through data resource consolidation, promote knowledge sharing, and foster communication to avoid unnecessary duplication of effort and continue our standards of providing quality service to the public through enforcement and prevention activities. EEOC's enforcement responsibilities are in two areas: the private sector and the Federal sector.

*Private sector.*—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

**PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS**

Workload/Workflow	2015 actual	2016 est.	2017 est.
Total pending .....	78,480	76,408	78,864
Total receipts .....	89,385	90,279	91,182
Net FEPA transfers/deferrals .....	1,184	1,184	1,184
Total workload .....	169,049	167,871	171,230
Resolutions:			
Successful mediation .....	8,243	7,983	8,153
From contract .....	607	347	583

SALARIES AND EXPENSES—Continued

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS—Continued

Workload/Workflow	2015 actual	2016 est.	2017 est.
From staff .....	7,636	7,636	7,570
Administrative enforcement resolutions .....	84,398	81,025	81,669
<b>Total resolutions .....</b>	<b>92,641</b>	<b>89,008</b>	<b>89,821</b>
Pending ending .....	76,408	78,864	81,409

*State and Local Program.*—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations (TEROs) to promote employment opportunities for Native Americans on or near a reservation.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2015 actual	2016 est.	2017 est.
Charges/complaints pending .....	43,432	43,970	44,508
Charges/complaints received .....	41,391	41,391	41,391
<b>Total Workload .....</b>	<b>84,823</b>	<b>85,361</b>	<b>85,899</b>
Charges/complaints resolved .....	39,669	39,669	39,669
Charges/complaints deferred to EEOC .....	1,184	1,184	1,184
Charges/complaints pending ending .....	43,970	44,508	45,046

*Federal sector.*—EEOC holds hearings on complaints of discrimination filed in Federal agencies; decides appeals of complaints of discrimination; and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2015 actual	2016 est.	2017 est.
Hearings pending .....	10,689	12,035	12,033
Hearings requests received .....	7,752	7,752	7,752
Hearings requests consolidated after initial processing .....	(46)	(46)	(46)
<b>Total workload .....</b>	<b>18,395</b>	<b>19,741</b>	<b>19,739</b>
Hearings resolved .....	6,360	7,708	8,633
Hearings pending ending .....	12,035	12,033	11,106

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2015 actual	2016 est.	2017 est.
Appeals pending .....	4,541	4,340	4,572
Appeals received .....	3,649	4,350	4,350
<b>Total workload .....</b>	<b>8,190</b>	<b>8,690</b>	<b>8,922</b>
Appeals resolved .....	3,850	4,118	4,118
Appeals pending ending .....	4,340	4,572	4,804

Object Classification (in millions of dollars)

Identification code 045-0100-0-1-751	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	194	187	191
11.3 Other than full-time permanent .....	2	2	3
11.5 Other personnel compensation .....	2	2	2
<b>11.9 Total personnel compensation .....</b>	<b>198</b>	<b>191</b>	<b>196</b>
12.1 Civilian personnel benefits .....	62	74	76
21.0 Travel and transportation of persons .....	4	3	3
23.1 Rental payments to GSA .....	29	30	30
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
25.1 State and Local Contracts .....	30	30	30
25.2 Other services from non-Federal sources .....	22	14	19
25.2 Security services .....	3	3	3
25.3 Other goods and services from Federal sources .....	3	7	7
26.0 Supplies and materials .....	4	4	4
31.0 Equipment .....	2	1	1
<b>99.9 Total new obligations .....</b>	<b>365</b>	<b>365</b>	<b>377</b>

Employment Summary

Identification code 045-0100-0-1-751	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2,177	2,333	2,347

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 045-4019-0-3-751	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 EEOC Education, Technical Assistance, and Training Revolving Fun (Reimbursable) .....	3	4	4
0809 Reimbursable program activities, subtotal .....	3	4	4
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	2
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	3	3
1930 Total budgetary resources available .....	6	6	5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	6
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-2		
3050 Unpaid obligations, end of year .....	2	6	10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	2	6
3200 Obligated balance, end of year .....	2	6	10
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	4	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2		
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1	-1	-1
4123 Non-Federal sources .....	-3	-2	-2
4130 Offsets against gross budget authority and outlays (total) ....	-4	-3	-3
4170 Outlays, net (mandatory) .....	-2	-3	-3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-2	-3	-3

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

Object Classification (in millions of dollars)

Identification code 045-4019-0-3-751	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	2	3	3
<b>99.9 Total new obligations .....</b>	<b>3</b>	<b>4</b>	<b>4</b>

Employment Summary

Identification code 045-4019-0-3-751	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	14	14	14

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Offsetting receipts from the public:</b>			
045-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1		

General Fund Offsetting receipts from the public ..... 1 .....

**EXPORT-IMPORT BANK OF THE UNITED STATES**

*Federal Funds*

**INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$6,000,000]** \$5,700,000, to remain available until September 30, **[2017]** 2018. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 083-0105-0-1-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0009 Administrative Expenses .....	7	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	6
1930 Total budgetary resources available .....	8	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	4	2
3010 Obligations incurred, unexpired accounts .....	7	6	6
3020 Outlays (gross) .....	-5	-8	-6
3050 Unpaid obligations, end of year .....	4	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	4	2
3200 Obligated balance, end of year .....	4	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	5	5
4011 Outlays from discretionary balances .....	3	3	1
4020 Outlays, gross (total) .....	5	8	6
4180 Budget authority, net (total) .....	6	6	6
4190 Outlays, net (total) .....	5	8	6

**Object Classification** (in millions of dollars)

Identification code 083-0105-0-1-155	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
25.2 Other services from non-Federal sources .....	4	3	3
99.9 Total new obligations .....	7	6	6

**Employment Summary**

Identification code 083-0105-0-1-155	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	25	25	25

**PROGRAM ACCOUNT**

The Export-Import Bank (the Bank) of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology

to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.

**ADMINISTRATIVE EXPENSES**

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed **[\$106,250,000]** \$110,000,000, of which up to \$16,500,000 shall remain available until September 30, 2018: *Provided*, That the Export-Import Bank (the Bank) may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: *Provided further*, That notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until September 30, 2017: *Provided further*, That the Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Bank, repossession or sale of pledged collateral or other assets acquired by the Bank in satisfaction of moneys owed the Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: *Provided further*, That in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account for such purposes, to remain available until expended.

**RECEIPTS COLLECTED**

Receipts collected pursuant to the Export-Import Bank Act of 1945, as amended, and the Federal Credit Reform Act of 1990, as amended, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: *Provided*, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0: *Provided further*, That amounts collected in fiscal year **[2016]** 2017 in excess of obligations, up to \$10,000,000 shall become available on September 1, **[2016]** 2017, and shall remain available until September 30, **[2019]** 2020. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 083-0100-0-1-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	797	50	.....
0706 Interest on reestimates of direct loan subsidy .....	155	12	.....
0707 Reestimates of loan guarantee subsidy .....	287	163	.....
0708 Interest on reestimates of loan guarantee subsidy .....	93	14	.....
0709 Administrative expenses .....	106	106	110
0715 Other .....	16	35	41
0900 Total new obligations .....	1,454	380	151
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	271	299	275
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	265	.....	.....
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	280	299	275
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-30	.....	.....
Appropriations, mandatory:			
1200 Appropriation .....	1,331	240	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	172	10	10
1700 Offsetting collections (Admin Expense) .....	.....	106	110
1750 Spending auth from offsetting collections, disc (total) .....	172	116	120
1900 Budget authority (total) .....	1,473	356	120
1930 Total budgetary resources available .....	1,753	655	395
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	299	275	244

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 083-0100-0-1-155	2015 actual	2016 est.	2017 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	128	121	85
3010	Obligations incurred, unexpired accounts	1,454	380	151
3011	Obligations incurred, expired accounts	5		
3020	Outlays (gross)	-1,456	-374	-135
3040	Recoveries of prior year unpaid obligations, unexpired	-9		
3041	Recoveries of prior year unpaid obligations, expired	-1	-42	
3050	Unpaid obligations, end of year	121	85	101
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	128	121	85
3200	Obligated balance, end of year	121	85	101
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	142	116	120
Outlays, gross:				
4010	Outlays from new discretionary authority	84	100	104
4011	Outlays from discretionary balances	41	34	31
4020	Outlays, gross (total)	125	134	135
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-172	-116	-120
Mandatory:				
4090	Budget authority, gross	1,331	240	
Outlays, gross:				
4100	Outlays from new mandatory authority	1,331	240	
4180	Budget authority, net (total)	1,301	240	
4190	Outlays, net (total)	1,284	258	15

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 083-0100-0-1-155	2015 actual	2016 est.	2017 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Direct Loans: Export Financing	73		
115999	Total direct loan levels	73		
Direct loan subsidy (in percent):				
132001	Direct Loans: Export Financing	-8.27		
132999	Weighted average subsidy rate	-8.27		
Direct loan subsidy budget authority:				
133001	Direct Loans: Export Financing	-6		
133999	Total subsidy budget authority	-6		
Direct loan reestimates:				
135001	Direct Loans: Export Financing	843	-842	
135999	Total direct loan reestimates	843	-842	
Guaranteed loan levels supportable by subsidy budget authority:				
215004	Long Term Guarantees	7,917	9,670	13,880
215005	Medium Term Guarantees	150	150	250
215006	Short Term Insurance	3,197	3,800	4,575
215007	Medium Term Insurance	46	50	100
215008	Working Capital Fund	1,001	1,470	1,620
215999	Total loan guarantee levels	12,311	15,140	20,425
Guaranteed loan subsidy (in percent):				
232004	Long Term Guarantees	-4.58	-6.61	-8.47
232005	Medium Term Guarantees	-1.45	0.00	-1.14
232006	Short Term Insurance	-0.06	0.00	0.00
232007	Medium Term Insurance	-0.45	-0.67	-3.38
232008	Working Capital Fund	0.00	0.00	0.00
232999	Weighted average subsidy rate	-2.98	-4.22	-5.79
Guaranteed loan subsidy budget authority:				
233004	Long Term Guarantees	-363	-639	-1,176
233005	Medium Term Guarantees	-2		-3
233006	Short Term Insurance	-2		
233007	Medium Term Insurance			-3
233999	Total subsidy budget authority	-367	-639	-1,182
Guaranteed loan subsidy outlays:				
234004	Long Term Guarantees	-430	-479	-439
234999	Total subsidy outlays	-430	-479	-439
Guaranteed loan reestimates:				
235003	Guarantee and Insurance Reestimates	-365	-439	

235999	Total guaranteed loan reestimates	-365	-439	
Administrative expense data:				
3510	Budget authority	106	106	110
3580	Outlays from balances	10	10	10
3590	Outlays from new authority	85	85	108

The purpose of the Export-Import Bank (Ex-Im Bank or the Bank) is to sustain U.S. jobs by financing U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

The 2017 Budget estimates that the Bank's export credit support will total \$20.4 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$559.1 million in 2017 in receipts in excess of expected losses on transactions authorized in 2017 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$110.0 million, of which \$15.0 million is for technology expenses. Amounts collected in fiscal year 2017 in excess of obligations, up to \$10 million, shall become available on September 1, 2017 and shall remain available until September 30, 2020. Any excess above \$10 million will be deposited in the General Fund of the Treasury.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 083-0100-0-1-155	2015 actual	2016 est.	2017 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	50	50	52
12.1	Civilian personnel benefits	21	21	22
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	9	9	9
23.3	Communications, utilities, and miscellaneous charges	6	6	6
25.2	Other services from non-Federal sources	7	7	5
26.0	Supplies and materials	1	1	1
31.0	Equipment	10	10	9
41.0	Grants, subsidies, and contributions	1,348	274	45
99.9	Total new obligations	1,454	380	151

Employment Summary

Identification code 083-0100-0-1-155	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	420	420	438

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4028-0-3-155	2015 actual	2016 est.	2017 est.	
<b>Budgetary resources:</b>				
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (repayments)	19	3	3
1820	Capital transfer of spending authority from offsetting collections to general fund	-19	-3	-3

Financing authority and disbursements, net:				
Mandatory:				
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - Principal .....	-19	-2	-2
4123	Non-Federal sources - Interest .....		-1	-1
4130	Offsets against gross budget authority and outlays (total) ....	-19	-3	-3
4160	Budget authority, net (mandatory) .....	-19	-3	-3
4170	Outlays, net (mandatory) .....	-19	-3	-3
4180	Budget authority, net (total) .....	-19	-3	-3
4190	Outlays, net (total) .....	-19	-3	-3

#### Status of Direct Loans (in millions of dollars)

Identification code 083-4028-0-3-155	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	89	87
1251	Repayments: Repayments and prepayments .....	-2	-2
1290	Outstanding, end of year .....	89	87

#### Balance Sheet (in millions of dollars)

Identification code 083-4028-0-3-155	2014 actual	2015 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	89
1405	Allowance for subsidy cost (-) .....	-89
1499	Net present value of assets related to direct loans .....	
1999	Total upward reestimate subsidy BA [11-0091] .....	

### EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

Identification code 083-4161-0-3-155	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
Credit program obligations:			
0710	Direct loan obligations .....	73	
0713	Payment of interest to Treasury .....	727	750
0740	Negative subsidy obligations .....	6	
0742	Downward reestimate paid to receipt account .....	40	703
0743	Interest on downward reestimates .....	68	202
0900	Total new obligations .....	914	1,649
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	921	1,187
1021	Recoveries of prior year unpaid obligations .....	1,888	
1024	Unobligated balance of borrowing authority withdrawn .....	-1,888	
1050	Unobligated balance (total) .....	921	1,187
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	613	
Spending authority from offsetting collections, mandatory:			
1800	Spending authority from offsetting collections (cash) .....	3,292	2,757
1825	Spending authority from offsetting collections applied to repay debt .....	-1,457	-1,517
1850	Spending auth from offsetting collections, mand (total) .....	1,835	1,240
1900	Budget authority (total) .....	1,835	1,240
1930	Total budgetary resources available .....	1,835	2,427
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	921	1,187

#### Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	12,055	7,558
3010	Obligations incurred, unexpired accounts .....	914	1,649
3020	Outlays (gross) .....	-3,523	-3,895
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1,888	
3050	Unpaid obligations, end of year .....	7,558	5,395
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-13

3090	Uncollected pymts, Fed sources, end of year .....	-13	-13
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	12,042	7,545
3200	Obligated balance, end of year .....	7,545	5,382

#### Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross .....	1,835	1,915
Financing disbursements:			
4110	Outlays, gross (total) .....	3,523	3,812
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources: Upward reestimate .....	-951	-62
4122	Interest on uninvested funds .....	-116	-325
4123	Repayments and prepayments .....	-2,225	-2,432
4130	Offsets against gross budget authority and outlays (total) ....	-3,292	-2,819
4160	Budget authority, net (mandatory) .....	-1,457	-904
4170	Outlays, net (mandatory) .....	231	993
4180	Budget authority, net (total) .....	-1,457	-904
4190	Outlays, net (total) .....	231	993

#### Status of Direct Loans (in millions of dollars)

Identification code 083-4161-0-3-155	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....	73	
1150	Total direct loan obligations .....	73	
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	21,222	22,413
1231	Disbursements: Direct loan disbursements .....	2,838	3,812
1251	Repayments: Repayments and prepayments .....	-1,647	-2,432
1263	Write-offs for default: Direct loans .....		-50
1290	Outstanding, end of year .....	22,413	23,743

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Reform and Reauthorization Act of 2015, this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

#### Balance Sheet (in millions of dollars)

Identification code 083-4161-0-3-155	2014 actual	2015 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury .....	1,583
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	22,413
1402	Interest receivable .....	134
1405	Allowance for subsidy cost (-) .....	-1,425
1499	Net present value of assets related to direct loans .....	19,188
1901	Other Federal assets: Other assets .....	970
1999	Total assets .....	21,741
LIABILITIES:		
Federal liabilities:		
2101	Accounts payable .....	107
2103	Debt .....	21,634
2999	Total liabilities .....	21,741
4999	Total liabilities and net position .....	21,741

### EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

Identification code 083-4162-0-3-155	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0003	Payment Certificates .....	11	8
0004	Other claim expenses .....		8

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 083-4162-0-3-155	2015 actual	2016 est.	2017 est.
0091 Direct program activities, subtotal .....	11	16	16
Credit program obligations:			
0711 Default claim payments on principal .....	51	44	44
0740 Negative subsidy obligations .....	367	639	1,182
0742 Downward reestimate paid to receipt account .....	573	468	
0743 Interest on downward reestimates .....	172	149	
0791 Direct program activities, subtotal .....	1,163	1,300	1,226
0900 Total new obligations .....	1,174	1,316	1,242
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,870	1,799	2,841
1021 Recoveries of prior year unpaid obligations .....	77		
1050 Unobligated balance (total) .....	1,947	1,799	2,841
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		312	
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash) .....	1,024	2,046	1,868
1801 Change in uncollected payments, Federal sources .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	1,026	2,046	1,868
1900 Budget authority (total) .....	1,026	2,358	1,868
1930 Total budgetary resources available .....	2,973	4,157	4,709
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,799	2,841	3,467

Identification code 083-4162-0-3-155	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3		115
3010 Obligations incurred, unexpired accounts .....	1,174	1,316	1,242
3020 Outlays (gross) .....	-1,100	-1,201	-1,201
3040 Recoveries of prior year unpaid obligations, unexpired .....	-77		
3050 Unpaid obligations, end of year .....		115	156
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-90	-92	-92
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-92	-92	-92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-87	-92	23
3200 Obligated balance, end of year .....	-92	23	64

Identification code 083-4162-0-3-155	2015 actual	2016 est.	2017 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,026	2,358	1,868
Financing disbursements:			
4110 Outlays, gross (total) .....	1,100	1,201	1,201
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account .....	-382	-178	
4122 Interest on uninvested funds .....	-60	-150	-150
4123 Fees, premiums, claim recoveries .....	-582	-1,718	-1,718
4130 Offsets against gross budget authority and outlays (total) .....	-1,024	-2,046	-1,868
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4160 Budget authority, net (mandatory) .....		312	
4170 Outlays, net (mandatory) .....	76	-845	-667
4180 Budget authority, net (total) .....		312	
4190 Outlays, net (total) .....	76	-845	-667

Identification code 083-4162-0-3-155	2015 actual	2016 est.	2017 est.
<b>Status of Guaranteed Loans (in millions of dollars)</b>			
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	12,311	15,140	20,425
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....	12,311	15,140	20,425
2199 Guaranteed amount of guaranteed loan commitments .....	12,311	15,140	20,425
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	63,271	62,282	56,818
2231 Disbursements of new guaranteed loans .....	13,683	13,742	15,439

2251 Repayments and prepayments .....	-14,621	-19,162	-19,162
2263 Adjustments: Terminations for default that result in claim payments .....	-51	-44	-44
2290 Outstanding, end of year .....	62,282	56,818	53,051
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	62,282	56,818	53,051

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Reform and Reauthorization Act of 2015, this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4162-0-3-155	2014 actual	2015 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,621	1,543
1999 Total assets .....	1,621	1,543
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1,621	1,543
4999 Total liabilities and net position .....	1,621	1,543

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4027-0-3-155	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0006 Claim payments, gross .....	15	1	1
0900 Total new obligations (object class 33.0) .....	15	1	1
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	15	15	15
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-14	-14
1850 Spending auth from offsetting collections, mand (total) .....	15	1	1
1930 Total budgetary resources available .....	15	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	15	1	1
3020 Outlays (gross) .....	-15	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	15	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4101 Outlays from mandatory balances .....	14		
4110 Outlays, gross (total) .....	15	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-15	-15	-15
4180 Budget authority, net (total) .....		-14	-14
4190 Outlays, net (total) .....		-14	-14

Status of Direct Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	376	361	346
1251 Repayments: Repayments and prepayments .....	-15	-15	-15
1290 Outstanding, end of year .....	361	346	331

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 083-4027-0-3-155	2015 actual	2016 est.	2017 est.
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	54	54	44
2351 Repayments of loans receivable .....		-10	-10
2390 Outstanding, end of year .....	54	44	34

**Operating results and financial condition.**—The Ex-Im Bank is a wholly-owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury.

The Ex-Im Bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the Bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Ex-Im Bank's net excess of program costs over revenue were - \$1,304.1 million in 2015. The total Government net position in the Bank was \$221.7 million on September 30, 2015.

**Balance Sheet** (in millions of dollars)

Identification code 083-4027-0-3-155	2014 actual	2015 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	376	361
1603 Allowance for estimated uncollectible loans and interest (-) .....	-279	-104
1699 Value of assets related to direct loans .....	97	257
1701 Defaulted guaranteed loans, gross .....	54	54
1703 Allowance for estimated uncollectible loans and interest (-) .....	-42	-30
1799 Value of assets related to loan guarantees .....	12	24
1999 Total assets .....	109	281
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2203 Debt .....	21	21
2207 Other .....	1	1
2999 Total liabilities .....	22	22
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	1,000	1,000
3300 Cumulative results of operations .....	-913	-741
3999 Total net position .....	87	259
4999 Total liabilities and net position .....	109	281

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
083-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	28		
083-272730 Export-Import Bank Loans, Downward Reestimates of Subsidies .....	853	1,521	
083-272710 Export-Import Bank Loans, Negative Subsidies .....	432	479	439
General Fund Offsetting receipts from the public .....	1,313	2,000	439

**FARM CREDIT ADMINISTRATION****Federal Funds****LIMITATION ON ADMINISTRATIVE EXPENSES**

Not to exceed **[\$65,600,000] \$69,800,000** (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships: *Provided further*, That the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 352-4131-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Limitation on Administrative Expenses (Reimbursable) .....	60	66	70
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	19	19
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	51	66	70
1930 Total budgetary resources available .....	79	85	89
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	12	1
3010 Obligations incurred, unexpired accounts .....	60	66	70
3020 Outlays (gross) .....	-57	-77	-70
3050 Unpaid obligations, end of year .....	12	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	12	1
3200 Obligated balance, end of year .....	12	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	51	66	70
Outlays, gross:			
4100 Outlays from new mandatory authority .....	51	66	70
4101 Outlays from mandatory balances .....	6	11	
4110 Outlays, gross (total) .....	57	77	70
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-1	-1
4123 Non-Federal sources .....	-51	-65	-69
4130 Offsets against gross budget authority and outlays (total) ....	-51	-66	-70
4170 Outlays, net (mandatory) .....	6	11	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	6	11	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	36	30	26
5001 Total investments, EOY: Federal securities: Par value .....	30	26	22

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2015, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 76 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac. Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(f)(1) of the Inspector General Act

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request the IG submits to the head of the department or designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(f)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below: The aggregate budget request for the Office of Inspector General (OIG) is \$1,504,411.

The amount needed for OIG training is \$20,450 (tuition).

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$4,100.

The FCA IG's budget request for 2017 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 352-4131-0-3-351	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	36	39	41
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	37	40	42
12.1 Civilian personnel benefits .....	12	15	16
21.0 Travel and transportation of persons .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	5
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
99.9 Total new obligations .....	60	66	70

Employment Summary

Identification code 352-4131-0-3-351	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	277	297	307

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 352-4136-0-3-351	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Farm credit system insurance fund .....	3	4	4
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,446	3,729	4,018
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	286	293	306
1930 Total budgetary resources available .....	3,732	4,022	4,324
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3,729	4,018	4,320
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-3	-4	-4
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-17	-17
3090 Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-17	-17	-17
3200 Obligated balance, end of year .....	-17	-17	-17
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	286	293	306

<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	3	4	4
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4121 Interest on Federal securities .....	-63	-36	-38
4123 Non-Federal sources .....	-223	-257	-268
4130 Offsets against gross budget authority and outlays (total) .....	-286	-293	-306
4170 Outlays, net (mandatory) .....	-283	-289	-302
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-283	-289	-302
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3,443	3,727	4,042
5001 Total investments, EOY: Federal securities: Par value .....	3,727	4,042	4,334

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. After the first three quarters of 2015, the Insurance Fund was \$128 million below the 2 percent secure base amount as of September 30, 2015 at 1.94 percent. For 2015, the Corporation is assessing insurance premiums at 13 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. Changes to the Corporation's premium authorities were included in the Food, Conservation, and Energy Act of 2008. The authorities changed the assessment base from loans to adjusted insured obligations and raised the assessment limit to 20 basis points, plus an additional 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2016, the Corporation's Board will determine insurance premium rates for 2016.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to ensure the retirement of certain eligible borrower stock, and to pay the operating costs of the Corporation. The Corporation can exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated in 2016.

Object Classification (in millions of dollars)

Identification code 352-4136-0-3-351	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations .....	3	4	4

Employment Summary

Identification code 352-4136-0-3-351	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	11	11	11

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C.

5901–5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, **[\$339,844,000]** \$341,419,008, to remain available until expended: *Provided*, That in addition, **[\$44,168,497]** \$16,866,992 shall be made available until expended for necessary expenses associated with moving to a new facility or reconfiguring the existing space to significantly reduce space consumption: *Provided further*, That **[\$384,012,497]** \$358,286,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year **[2016]** 2017 so as to result in a final fiscal year **[2016]** 2017 appropriation estimated at \$0: *Provided further*, That any offsetting collections received in excess of **[\$384,012,497]** \$358,286,000 in fiscal year **[2016]** 2017 shall not be available for obligation: *Provided further*, That remaining offsetting collections from prior years collected in excess of the amount specified for collection in each such year and otherwise becoming available on October 1, **[2015]** 2016, shall not be available for obligation: *Provided further*, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed **[\$117,000,000]** \$124,000,000 for fiscal year **[2016]** 2017: *Provided further*, That, of the amount appropriated under this heading, not less than **[\$11,600,000]** \$11,751,073 shall be for the salaries and expenses of the Office of Inspector General: *Provided further*, That, in addition \$9,500,000 shall be transferred from the Universal Service Fund to the Commission in fiscal year 2017 to remain available until expended, to oversee the Universal Service Fund. (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 027–0100–0–1–376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Salaries and Expenses (Reimbursable) .....	460	505	490
0809 Reimbursable program activities, subtotal .....	460	505	490
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	26	26
1012 Unobligated balance transfers between expired and unexpired accounts .....	9		
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	34	26	26
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [027–5183] ....			10
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Reimbursables) .....	4	4	4
1700 Offsetting collections (Auctions) .....	106	117	124
1700 Offsetting collections (Reg Fees) .....	349	384	358
1701 Change in uncollected payments, Federal sources .....	1		
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-8		
1750 Spending auth from offsetting collections, disc (total) .....	452	505	486
1900 Budget authority (total) .....	452	505	496
1930 Total budgetary resources available .....	486	531	522
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	26	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	76	64
3010 Obligations incurred, unexpired accounts .....	460	505	490
3020 Outlays (gross) .....	-452	-517	-498
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	76	64	56
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	76	74	62
3200 Obligated balance, end of year .....	74	62	54
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	452	505	496

Outlays, gross:			
4010 Outlays from new discretionary authority .....	384	434	427
4011 Outlays from discretionary balances .....	68	83	71
4020 Outlays, gross (total) .....	452	517	498
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-110	-119	-126
4033 Non-Federal sources .....	-12	-2	-2
4034 Offsetting governmental collections .....	-340	-384	-358
4040 Offsets against gross budget authority and outlays (total) ....	-462	-505	-486
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	-8		10
4080 Outlays, net (discretionary) .....	-10	12	12
4180 Budget authority, net (total) .....	-8		10
4190 Outlays, net (total) .....	-10	12	12
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	91	99	99
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	99	99	99
5093 Expired unavailable balance, SOY: Offsetting collections .....	17	17	17
5095 Expired unavailable balance, EOY: Offsetting collections .....	17	17	17

The Federal Communications Commission (FCC or Commission) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include promoting economic growth and national leadership; protecting public interest goals; making networks work for everyone; and promoting operational excellence. The 2017 Budget includes funding to complete the FCC's headquarters move/consolidation project and critical funding to continue modernizing FCC information technology, including funding for a geospatial information system solution. The 2017 Budget also includes a \$9.5 million transfer from the Universal Service Fund to provide robust oversight of universal service programs, including targeted investments that will identify and reduce improper payments while combating fraud, waste, and abuse. Funding for the Inspector General is \$11.8 million.

**Object Classification** (in millions of dollars)

Identification code 027–0100–0–1–376	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	219	216	218
12.1 Civilian personnel benefits .....	62	61	61
21.0 Travel and transportation of persons .....	2	4	3
23.1 Rental payments to GSA .....	46	42	43
23.3 Communications, utilities, and miscellaneous charges .....	7	8	7
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	38	64	54
25.3 Other goods and services from Federal sources .....	4	34	16
25.7 Operation and maintenance of equipment .....	77	69	78
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	2	4	8
99.9 Total new obligations .....	460	505	490

**Employment Summary**

Identification code 027–0100–0–1–376	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,684	1,650	1,650

**UNIVERSAL SERVICE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 027–5183–0–2–376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Universal Service Fund .....	9,556	9,689	9,840
1140 Earnings on Federal Investments, Universal Service Fund .....	54	100	165

UNIVERSAL SERVICE FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 027-5183-0-2-376	2015 actual	2016 est.	2017 est.
1199 Total current law receipts .....	9,610	9,789	10,005
1999 Total receipts .....	9,610	9,789	10,005
2000 Total: Balances and receipts .....	9,610	9,789	10,005
Appropriations:			
Current law:			
2101 Universal Service Fund .....	-9,575	-9,689	-9,840
2101 Universal Service Fund .....	-35	-100	-165
2199 Total current law appropriations .....	-9,610	-9,789	-10,005
2999 Total appropriations .....	-9,610	-9,789	-10,005
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 027-5183-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Universal service fund .....	17,788	11,297	12,396
0002 Program support .....	134	141	160
0900 Total new obligations (object class 41.0) .....	17,922	11,438	12,556
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,266	-3,809	-3,765
1021 Recoveries of prior year unpaid obligations .....	1,225	1,693	1,093
1050 Unobligated balance (total) .....	4,491	-2,116	-2,672
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [027-0100] .....			-10
Appropriations, mandatory:			
1201 Appropriation (special fund)—Receipts .....	9,575	9,689	9,840
1201 Appropriation (special fund)—Interest .....	35	100	165
1260 Appropriations, mandatory (total) .....	9,610	9,789	10,005
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	12		
1900 Budget authority (total) .....	9,622	9,789	9,995
1930 Total budgetary resources available .....	14,113	7,673	7,323
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	-3,809	-3,765	-5,233
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,555	12,046	11,240
3010 Obligations incurred, unexpired accounts .....	17,922	11,438	12,556
3020 Outlays (gross) .....	-9,206	-10,551	-10,921
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,225	-1,693	-1,093
3050 Unpaid obligations, end of year .....	12,046	11,240	11,782
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,555	12,046	11,240
3200 Obligated balance, end of year .....	12,046	11,240	11,782
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-10
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-4
Mandatory:			
4090 Budget authority, gross .....	9,622	9,789	10,005
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3,690	4,881	5,058
4101 Outlays from mandatory balances .....	5,516	5,670	5,867
4110 Outlays, gross (total) .....	9,206	10,551	10,925
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-12		
4180 Budget authority, net (total) .....	9,610	9,789	9,995
4190 Outlays, net (total) .....	9,194	10,551	10,921
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	7,656	8,122	7,303
5001 Total investments, EOY: Federal securities: Par value .....	8,122	7,303	6,304

Under the Telecommunications Act of 1996, telecommunications carriers that provide interstate and international telecommunications services are required to contribute funds for the preservation and advancement of universal service. The contributions provided, in turn, by each carrier's subscribers, support universal service activities as determined by the FCC funded through the Universal Service Fund (USF). Entities are eligible for USF support if they (1) provide service to high-cost areas, (2) provide eligible services at a discount to schools, libraries, or rural health care providers, and/or (3) provide subsidized service to low-income consumers. Contributions also fund the administrative costs of the program. The FCC has recently conducted the following reform proceedings in USF programs: (1) adoption of an order modernizing the E-rate program by closing the high-speed connectivity gap between rural schools and libraries and their urban and suburban counterparts, and provide sufficient and certain funding for high-speed connectivity to and within all eligible schools and libraries; (2) the High Cost program took a major step to close the rural broadband gap by offering Connect America Funds under multiyear commitments to expand and support broadband services in rural areas where market forces alone cannot support broadband deployment; (3) taking additional strides to modernize the Lifeline program by seeking public input on new and additional solutions, including reforms that would bring the program closer to its core purpose and promote the availability of modern services for low-income families; and (4) adoption of an order creating the Healthcare Connect Fund, which reformed, expanded, and modernized the Rural Health Care Program by, among other things, providing support for high-capacity broadband connectivity to eligible health care providers. The 2017 Budget proposes to transfer \$9.5 million from the USF to the FCC to provide robust oversight of universal service programs, including targeted investments that will identify and reduce improper payments while combating fraud, waste, and abuse.

SPECTRUM AUCTION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 027-0300-0-1-376	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 027-0300-0-1-376	2015 actual	2016 est.	2017 est.
Direct loan reestimates:			
135001 Spectrum Auction .....		-3	

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 027-4133-0-3-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742		1	
0743		2	
0900		3	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	3	3	
1050	3	3	
1930	3	3	
Memorandum (non-add) entries:			
1941	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010		3	
3020		-3	
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
Financing disbursements:			
4110		3	
4180			
4190		3	

Balance Sheet (in millions of dollars)

Identification code 027-4133-0-3-376	2014 actual	2015 actual
<b>ASSETS:</b>		
1101	3	3
1401		
1402		
1405		
1499		
1999	3	3
<b>LIABILITIES:</b>		
2105	3	3
4999	3	3

TV BROADCASTER RELOCATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 027-5610-0-2-376	2015 actual	2016 est.	2017 est.
0100			
<b>Receipts:</b>			
Current law:			
1120			1,750
2000			1,750
<b>Appropriations:</b>			
Current law:			
2101			-1,750
2134			818
2199			-932
2999			-932
5099			818

Program and Financing (in millions of dollars)

Identification code 027-5610-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001		100	832

0900	Total new obligations (object class 41.0)	100	832
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Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		832
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		1,750
1234	Appropriations precluded from obligation		-818
1236	Appropriations applied to repay debt		-932
Borrowing authority, mandatory:			
1400	Borrowing authority	1,000	
1421	Borrowing authority temporarily reduced	-68	
1440	Borrowing authority, mandatory (total)	932	
1900	Budget authority (total)	932	
1930	Total budgetary resources available	932	832
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	832	

Change in obligated balance:

Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	100	832
3020	Outlays (gross)	-100	-832

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross	932	
Outlays, gross:			
4100	Outlays from new mandatory authority	100	
4101	Outlays from mandatory balances		832
4110	Outlays, gross (total)	100	832
4180	Budget authority, net (total)	932	
4190	Outlays, net (total)	100	832

Memorandum (non-add) entries:

5080	Outstanding debt, SOY		-100
5081	Outstanding debt, EOY		-100
5082	Borrowing	-100	-832

SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2017 and total \$4.8 billion through 2026.

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
027-273630	Spectrum Auction Direct Loan, Downward Reestimates of Subsidies	3	
027-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	2	3
027-242900	Fees for Services	19	23
027-247400	Auction Receipts		25
027-089600	Spectrum License User Fees		200
General Fund Offsetting receipts from the public			
	21	29	251

ADMINISTRATIVE PROVISIONS

SEC. 501. Section 302 of the Universal Service Antideficiency Temporary Suspension Act is amended by striking "December 31, [2016] 2017", each place it appears and inserting "December 31, [2017] 2018".

SEC. 502. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change its rules or regulations

for universal service support payments to implement the February 27, 2004 recommendations of the Federal-State Joint Board on Universal Service regarding single connection or primary line restrictions on universal service support payments. (*Financial Services and General Government Appropriations Act, 2016.*)

### FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006.

The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires FDIC to use the least costly method to resolve failed banks and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. In order to accomplish its varied functions to protect depositors, FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

DEPOSIT INSURANCE  
*Federal Funds*  
DEPOSIT INSURANCE FUND

**Program and Financing** (in millions of dollars)

Identification code 051–4596–0–4–373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Insurance .....	230	268	275
0003 Supervision .....	911	875	899
0004 Receivership Management .....	255	464	477
0005 General and Administrative .....	227	179	183
0091 Total operating expenses .....	1,623	1,786	1,834
0101 Resolution Outlays .....	2,810	1,895	7,154
0900 Total new obligations .....	4,433	3,681	8,988
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50,837	62,311	69,767
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1710 Spending authority from offsetting collections transferred to other accounts [051–4595] .....			–36
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	15,666	11,172	19,937
1801 Change in uncollected payments, Federal sources .....	271		
1810 Spending authority from offsetting collections transferred to other accounts [051–4595] .....	–30	–35	
1850 Spending auth from offsetting collections, mand (total) .....	15,907	11,137	19,937
1900 Budget authority (total) .....	15,907	11,137	19,901
1930 Total budgetary resources available .....	66,744	73,448	89,668
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	62,311	69,767	80,680
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	238	237
3010 Obligations incurred, unexpired accounts .....	4,433	3,681	8,988
3020 Outlays (gross) .....	–4,323	–3,682	–8,953
3050 Unpaid obligations, end of year .....	238	237	272
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2,438	–2,709	–2,709
3070 Change in uncollected pymts, Fed sources, unexpired .....	–271		
3090 Uncollected pymts, Fed sources, end of year .....	–2,709	–2,709	–2,709
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	–2,310	–2,471	–2,472

3200 Obligated balance, end of year .....	–2,471	–2,472	–2,437
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			–36
Outlays, gross:			
4010 Outlays from new discretionary authority .....			–36
Mandatory:			
4090 Budget authority, gross .....	15,907	11,137	19,937
Outlays, gross:			
4101 Outlays from mandatory balances .....	4,323	3,682	8,989
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	–693	–611	–2,263
4123 Non-Federal sources .....	–14,973	–10,561	–17,674
4130 Offsets against gross budget authority and outlays (total) ....	–15,666	–11,172	–19,937
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	–271		
4160 Budget authority, net (mandatory) .....	–30	–35	
4170 Outlays, net (mandatory) .....	–11,343	–7,490	–10,948
4180 Budget authority, net (total) .....	–30	–35	–36
4190 Outlays, net (total) .....	–11,343	–7,490	–10,984
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	48,750	60,096	67,551
5001 Total investments, EOY: Federal securities: Par value .....	60,096	67,551	78,463

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new Deposit Insurance Fund on March 31, 2006. Through the DIF, the FDIC resolves and recovers funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, which must be a designated percentage of estimated insured deposits as set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF balance to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect of small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent; and 4) permanently increased the insured deposit level to \$250,000 per account at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) DIF reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

As of September 30, 2015, the DIF balance stood at \$70.1 billion on an accrual basis, measuring expected losses to current balances. This level is equivalent to a reserve ratio of 1.09 percent. The growth in the DIF balance is a result of fewer bank failures and higher assessment revenue.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2017.) The Budget projects that from 2015 on, the DIF reserve ratio is expected to increase steadily, reaching the statutorily required level of 1.35 percent by 2020.

For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

**Object Classification** (in millions of dollars)

Identification code 051-4596-0-4-373	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	808	916	941
12.1 Civilian personnel benefits .....	290	320	329
21.0 Travel and transportation of persons .....	86	90	92
23.2 Rental payments to others .....	41	43	44
23.3 Communications, utilities, and miscellaneous charges .....	23	22	23
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	332	353	363
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	29	26	26
32.0 Land and structures .....	8	10	10
42.0 Resolution Outlays .....	2,810	1,895	7,154
99.9 Total new obligations .....	4,433	3,681	8,988

**Employment Summary**

Identification code 051-4596-0-4-373	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6,667	6,977	6,635

**FSLIC RESOLUTION  
Federal Funds**

**FSLIC RESOLUTION FUND**

**Program and Financing** (in millions of dollars)

Identification code 051-4065-0-3-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Goodwill settlements .....		514	
0803 Receivership management .....	2	1	1
0804 General administrative .....	1	2	1
0809 Reimbursable program activities, subtotal .....	3	517	2
0900 Total new obligations .....	3	517	2

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	867	868	369
1029 Other balances withdrawn to Treasury .....		-500	
1050 Unobligated balance (total) .....	867	368	369
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		514	
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections .....	4	4	1
1900 Budget authority (total) .....	4	518	1
1930 Total budgetary resources available .....	871	886	370
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	868	369	368

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	4
3010 Obligations incurred, unexpired accounts .....	3	517	2
3020 Outlays (gross) .....	-3	-514	
3050 Unpaid obligations, end of year .....	1	4	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	4
3200 Obligated balance, end of year .....	1	4	6

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	4	518	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		514	
4101 Outlays from mandatory balances .....	3		
4110 Outlays, gross (total) .....	3	514	
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-4	-4	-1
4180 Budget authority, net (total) .....		514	
4190 Outlays, net (total) .....	-1	510	-1

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	827	828	332
5001 Total investments, EOY: Federal securities: Par value .....	828	332	333

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act (P.L. 101-73) authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all of its assets, and any net proceeds will be deposited into the General Fund of the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation. Based on information provided by the FDIC, the Budget projects this dissolution to occur in 2018.

**Object Classification** (in millions of dollars)

Identification code 051-4065-0-3-373	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	1
42.0 Insurance claims and indemnities .....		514	
99.9 Total new obligations .....	3	517	2

**Employment Summary**

Identification code 051-4065-0-3-373	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1	2	2

**ORDERLY LIQUIDATION**

**Federal Funds**

**ORDERLY LIQUIDATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 051-5586-0-2-373	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Risk-Based Assessments, Orderly Liquidation Fund .....		11	196
2000 Total: Balances and receipts .....		11	196
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Orderly Liquidation Fund .....		-11	-196
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 051-5586-0-2-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Orderly Liquidation .....		735	1,761
0002 Administrative Expenses .....		1	2
0003 Interest to Treasury .....		8	36
0900 Total new obligations .....		744	1,799

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....		11	196
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....		733	1,603
1900 Budget authority (total) .....		744	1,799

ORDERLY LIQUIDATION FUND—Continued  
Program and Financing—Continued

Identification code 051-5586-0-2-373	2015 actual	2016 est.	2017 est.
1930 Total budgetary resources available .....		744	1,799
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		744	1,799
3020 Outlays (gross) .....		-744	-1,799
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		744	1,799
Outlays, gross:			
4100 Outlays from new mandatory authority .....		744	1,799
4180 Budget authority, net (total) .....		744	1,799
4190 Outlays, net (total) .....		744	1,799
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....			-733
5081 Outstanding debt, EOY .....		-733	-2,336
5082 Borrowing .....		-733	-1,603

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) established a new Orderly Liquidation Authority permitting the appointment of the FDIC as receiver of financial companies whose failure and resolution under otherwise applicable Federal or State law is determined to have serious adverse effects on financial stability in the United States. The aim of the Orderly Liquidation Authority is to resolve efficiently and effectively the failure of a large, interconnected financial company, while limiting the disruptions to the financial markets and the economy.

The Orderly Liquidation Authority receivership mechanism may be used with respect to a variety of financial companies whose failure and resolution under otherwise applicable Federal or State law would have serious adverse effects on financial stability in the United States. These include bank holding companies, nonbank financial companies supervised by the Federal Reserve's Board of Governors (FRB), companies predominantly engaged in activities the FRB has determined are financial in nature under Section 4(k) of the Bank Holding Company Act of 1956, and subsidiaries of any of the foregoing companies. The FRB and the prudential regulator (the FDIC or the Securities and Exchange Commission) or the Federal Insurance Office must recommend in writing that the Treasury Secretary appoint the FDIC as receiver for a failing financial company. The Treasury Secretary must then, in consultation with the President, determine whether seven criteria authorizing the appointment of the FDIC as receiver for the failing financial company have been satisfied, including finding that resolution under otherwise applicable Federal or State law would have serious adverse effects on financial stability in the United States. If the Secretary of the Treasury makes such a determination, he/she will seek a court order to appoint the FDIC as receiver unless the board of directors of the financial company acquiesces to the appointment. The FDIC's authorities as receiver under an Orderly Liquidation Authority receivership are largely comparable to its current receivership authority over failed depository institutions under the Federal Deposit Insurance Act.

The Act states that "no taxpayer funds will be used to prevent the liquidation of any financial company" under the Orderly Liquidation Authority. It establishes an Orderly Liquidation Fund that would be funded by the Treasury in the event of an Orderly Liquidation Authority receivership, which will be available to the FDIC to carry out its authorities as receiver. If it is used by the FDIC, the cost of the Orderly Liquidation Fund must be repaid to the Treasury with interest within 60 months. If the full repayment of the Orderly Liquidation Fund with interest cannot be achieved using proceeds from the liquidation of the financial company, then the FDIC is authorized to charge "eligible financial companies" (bank holding companies with consolidated assets of at least \$50 billion and nonbank financial companies supervised by the FRB) risk-based assessments to re-

couple any unpaid Orderly Liquidation Funds plus interest. While the Budget does not forecast any specific systemic failure, estimates are derived from a probabilistic model that incorporates historic systemic failure information in OECD countries.

Object Classification (in millions of dollars)

Identification code 051-5586-0-2-373	2015 actual	2016 est.	2017 est.
Direct obligations:			
43.0 Admin .....		735	1,761
43.0 Interest and Dividends .....		1	2
43.0 Orderly Liquidation .....		8	36
99.9 Total new obligations .....		744	1,799

FDIC—OFFICE OF INSPECTOR GENERAL  
Federal Funds

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$34,568,000]** \$35,958,000, to be derived from the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund. (*Financial Services and General Government Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 051-4595-0-4-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Office of the Inspector General (Reimbursable) .....	30	35	36
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [051-4596] .....	30	35	36
1930 Total budgetary resources available .....	30	35	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	30	35	36
3020 Outlays (gross) .....	-30	-35	-36
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	35	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	35	36
4180 Budget authority, net (total) .....	30	35	36
4190 Outlays, net (total) .....	30	35	36

FDIC's Office of Inspector General (OIG) is an independent unit within FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (P.L. 100-504). The Resolution Trust Corporation Completion Act (P.L. 103-204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code, thereby safeguarding the FDIC OIG's independence. Assessments paid to the Deposit Insurance Fund by insured financial institutions, and administered by the Federal Deposit Insurance Corporation, fully fund the FDIC OIG's appropriation and a transfer from the Deposit Insurance Fund to the OIG is made on January 1st of each year. To the extent that the OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work is derived from the FRF.

**Object Classification** (in millions of dollars)

Identification code 051-4595-0-4-373	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	21	22
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	19	22	23
12.1 Civilian personnel benefits .....	8	9	9
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	2	2
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	30	35	36

**Employment Summary**

Identification code 051-4595-0-4-373	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	118	130	137

**FEDERAL DRUG CONTROL PROGRAMS**

*Federal Funds*

**HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, **[\$250,000,000]** \$196,410,000, to remain available until September 30, **[2017]** 2018, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: *Provided*, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to \$2,700,000 may be used for auditing services and associated activities: *Provided further*, That, notwithstanding the requirements of Public Law 106-58, any unexpended funds obligated prior to fiscal year **[2014]** 2015 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements: *Provided further*, That each HIDTA designated as of September 30, 2015, shall be funded at not less than the fiscal year 2015 base level, unless the Director submits to the Committees on Appropriations of the House of Representatives and the Senate justification for changes to those levels based on clearly articulated priorities and published Office of National Drug Control Policy performance measures of effectiveness: *Provided further*, That the Director shall notify the Committees on Appropriations of the initial allocation of fiscal year 2016 funding among HIDTAs not later than 45 days after enactment of this Act, and shall notify the Committees of planned uses of discretionary HIDTA funding, as determined in consultation with the HIDTA Directors, not later than 90 days after enactment of this Act: *Provided further*, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein, **[and upon notification to the Committees on Appropriations of the House of Representatives and the Senate,]** such amounts may be transferred back to this appropriation. (*Executive Office of the President Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 011-1070-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Grants and federal transfers .....	217	247	193
0003 Auditing services and activities .....	2	3	3
0900 Total new obligations .....	219	250	196
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	13	13
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	7	13	13
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 New budget authority (gross), detail .....	245	250	196

1120 Appropriations transferred to other accts [070-0540] .....	-1		
1120 Appropriations transferred to other accts [015-1100] .....	-15		
1120 Appropriations transferred to other accts [015-0200] .....	-2		
1120 Appropriations transferred to other accts [015-0322] .....	-1		
1120 Appropriations transferred to other accts [015-0324] .....	-1		
1160 Appropriation, discretionary (total) .....	225	250	196
1930 Total budgetary resources available .....	232	263	209
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	13	13	13

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	224	227	199
3010 Obligations incurred, unexpired accounts .....	219	250	196
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-212	-278	-199
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	227	199	196
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	224	227	199
3200 Obligated balance, end of year .....	227	199	196

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	225	250	196
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	35	62	49
4011 Outlays from discretionary balances .....	177	216	150
4020 Outlays, gross (total) .....	212	278	199
4180 Budget authority, net (total) .....	225	250	196
4190 Outlays, net (total) .....	212	278	199

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, to provide assistance to Federal, state, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

The HIDTA program provides resources to Federal, state, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, state, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations (DTOs); multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure.

**Object Classification** (in millions of dollars)

Identification code 011-1070-0-1-754	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.2 Auditing services and activities .....	2	3	3
41.0 Grants and federal transfers .....	217	247	193
99.9 Total new obligations .....	219	250	196

**OTHER FEDERAL DRUG CONTROL PROGRAMS**

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469), **[\$109,810,000]** \$98,480,000, to remain available until expended, which shall be available as follows: **[\$95,000,000]** \$88,530,000 for the Drug-Free Communities Program, of which \$2,000,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by Public Law 109-469 (21 U.S.C. 1521 note); **[\$2,000,000]** for drug

OTHER FEDERAL DRUG CONTROL PROGRAMS—Continued

court training and technical assistance; \$9,500,000] \$7,829,000 for anti-doping activities; [\$2,060,000] and \$2,121,000 for the United States membership dues to the World Anti-Doping Agency; and \$1,250,000 shall be made available as directed by section 1105 of Public Law 109-469]: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities. (Executive Office of the President Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 011-1460-0-1-802	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Drug-Free Communities Program .....	96	95	88
0003 Drug Court Training & Technical Assistance .....	3	2	
0006 Anti-Doping Activities .....	9	10	8
0008 Section 1105 of Public Law 109-469 .....	3	1	
0009 World Anti-Doping Agency Dues .....	2	2	2
0900 Total new obligations (object class 25.2) .....	113	110	98
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	7	7
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	13	7	7
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	107	110	98
1900 Budget authority (total) .....	107	110	98
1930 Total budgetary resources available .....	120	117	105
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	16	12
3010 Obligations incurred, unexpired accounts .....	113	110	98
3020 Outlays (gross) .....	-110	-114	-99
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	16	12	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	16	12
3200 Obligated balance, end of year .....	16	12	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	107	110	98
Outlays, gross:			
4010 Outlays from new discretionary authority .....	101	99	88
4011 Outlays from discretionary balances .....	9	15	11
4020 Outlays, gross (total) .....	110	114	99
4180 Budget authority, net (total) .....	107	110	98
4190 Outlays, net (total) .....	110	114	99

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, established this account to be administered by the Director of the Office of National Drug Control Policy (ONDCP). The funds appropriated to the program support high-priority drug control programs and may be transferred to drug control agencies.

For 2017, funds appropriated to this account will be used for the following activities:

**Drug Free Communities Support Program.**—The Drug Free Communities Support (DFC) Program provides small grants (no more than \$125,000 per year for an initial 5-year period) to established local community drug free coalitions. The grants are awarded competitively to community coalitions that organize multiple sectors of a community to focus on local needs as a means for reducing and/or preventing youth substance use.

**Anti-Doping Efforts.**—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States.

**World Anti-Doping Agency Dues.**—ONDCP represents the United States in the World Anti-Doping Agency which promotes and coordinates inter-

national activities against doping in sport, in all its forms, and is responsible for the payment of U.S. dues.

Employment Summary

Identification code 011-1460-0-1-802	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

Program and Financing (in millions of dollars)

Identification code 011-1461-0-1-754	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, [\$76,119,000] \$80,540,000, of which [\$5,000,000] \$8,000,000 shall remain available until September 30, [2017] 2018, for lease expiration and replacement lease expenses; and of which not to exceed \$5,000 shall be available for reception and representation expenses. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 360-1600-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Election Commission .....	67	76	81
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	68	76	81
1930 Total budgetary resources available .....	68	77	82
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	8	6
3010 Obligations incurred, unexpired accounts .....	67	76	81
3020 Outlays (gross) .....	-66	-78	-81
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	8	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	6
3200 Obligated balance, end of year .....	8	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	68	76	81
Outlays, gross:			
4010 Outlays from new discretionary authority .....	59	69	74
4011 Outlays from discretionary balances .....	7	9	7
4020 Outlays, gross (total) .....	66	78	81
4180 Budget authority, net (total) .....	68	76	81
4190 Outlays, net (total) .....	66	78	81

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance about the Act and Commission regulations to the public, media, political committees, and election officials. The Commission is also responsible for enforcing the Act through audits, investigations, and civil litigation, and for developing the law by administering and interpreting the Act, the Presidential Election Campaign Fund Act, and the Presidential Primary Matching Payment Account Act.

The Budget proposes to require Senate Campaign Committees to file campaign finance reports electronically with the Federal Election Commission, which is consistent with the reporting requirements for all other Federal political committees. This measure will save at least \$430,000 annually by reducing costs for manual data entry and promote transparency by expediting the process by which the reports are made available to the public.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress.

**Object Classification** (in millions of dollars)

Identification code 360-1600-0-1-808	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	35	38	39
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	36	39	40
12.1 Civilian personnel benefits .....	10	10	10
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	9	9	9
25.3 Other goods and services from Federal sources .....	1	7	10
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	3	4
99.9 Total new obligations .....	67	76	81

**Employment Summary**

Identification code 360-1600-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	331	360	365

**FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL****Federal Funds**

## FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

**Program and Financing** (in millions of dollars)

Identification code 362-5547-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 FFIEC activities .....	14	15	15
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	15	15
1930 Total budgetary resources available .....	14	15	15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	14	15	15
3020 Outlays (gross) .....	-14	-15	-15
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	14	15	15
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	14	15	15

## Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:			
4120 Federal sources .....	-14	-15	-15
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Federal Financial Institutions Examination Council was established in 1979 pursuant to the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95-630). In 1989, pursuant to the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA), the Appraisal Subcommittee (ASC) was established within the Council. The Council has limited specified responsibilities regarding the ASC.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions; to make recommendations to promote uniformity in the supervision of financial institutions; and to conduct examiner training. The Council's members are a member of the Board of Governors of the Federal Reserve System, the Chairman of Federal Deposit Insurance Corporation, the Chairman of the National Credit Union Administration, the Comptroller of the Currency, the Director of the Consumer Financial Protection Bureau, and the Chairman of the State Liaison Committee, which is made up of five representatives from State regulatory agencies that supervise financial institutions.

In addition to its responsibilities under FIRA and FIRREA, the Council was given responsibilities by the Housing and Community Development Act of 1980 and the Economic Growth and Regulatory Paperwork Reduction Act of 1996.

The Budget estimates the Council will spend approximately \$15 million during 2017 from resources provided by its Federal members and other fees and reimbursements.

**Object Classification** (in millions of dollars)

Identification code 362-5547-0-2-376	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.8 Personnel compensation: Special personal services payments .....	3	3	3
25.1 Advisory and assistance services .....	11	12	12
99.9 Total new obligations .....	14	15	15

## FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

**Federal Funds**

## REGISTRY FEES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 362-5026-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	3	2	4
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council .....	2	4	4
1110 Incremental Registry Fees (Dodd-Frank Act) Appraisal Subcommittee .....	1	2	2
1199 Total current law receipts .....	3	6	6
1999 Total receipts .....	3	6	6
2000 Total: Balances and receipts .....	6	8	10
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Registry Fees .....	-4	-4	-4
5099 Balance, end of year .....	2	4	6

**Program and Financing** (in millions of dollars)

Identification code 362-5026-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	4	2	2

REGISTRY FEES—Continued  
Program and Financing—Continued

Identification code 362–5026–0–2–376	2015 actual	2016 est.	2017 est.
0002 Grants, subsidies and contributions .....		2	2
0900 Total new obligations .....	4	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1930 Total budgetary resources available .....	8	8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	–4	–4	–4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC). The ASC is composed of representatives of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the National Credit Union Administration, the Office of the Comptroller of the Currency, the Department of Housing and Urban Development, the Consumer Financial Protection Bureau, and the Federal Housing Finance Agency.

The ASC is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers and the registration and supervision of the operations and activities of appraisal management companies; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally related transactions under their jurisdiction; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; (4) maintaining a national registry of licensed and certified appraisers and appraisal management companies; (5) transmitting an annual report to Congress no later than June 15th; and (6) making grants to the Appraisal Foundation and State Appraiser certifying and licensing agencies.

The ASC activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury at the end of 1998. The ASC is now operating on fee income from State-licensed and State-certified real estate appraisers in the national registry. The Budget projects that the ASC will spend approximately \$4 million in 2017.

Object Classification (in millions of dollars)

Identification code 362–5026–0–2–376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations .....	4	4	4

Employment Summary

Identification code 362–5026–0–2–376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12	14	14

FEDERAL HOUSING FINANCE AGENCY

Federal Funds

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 537–5532–0–2–371	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 FHFA, Fees on GSEs for Administrative Expenses .....	241	249	261
2000 Total: Balances and receipts .....	241	249	261
Appropriations:			
Current law:			
2101 Federal Housing Finance Agency, Administrative Expenses .....	–241	–249	–261
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 537–5532–0–2–371	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Housing Finance Agency, Administrative Expenses (Direct) .....	250	249	211
0801 Federal Housing Finance Agency, Administrative Expenses (Reimbursable) .....	3	5	3
0900 Total new obligations .....	253	254	214

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	30	28	28
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	37	28	28
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [537–5564] .....			–50
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	241	249	261
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	5	3
1900 Budget authority (total) .....	244	254	214
1930 Total budgetary resources available .....	281	282	242
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	28	28

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	36	36
3010 Obligations incurred, unexpired accounts .....	253	254	214
3020 Outlays (gross) .....	–245	–254	–236
3040 Recoveries of prior year unpaid obligations, unexpired .....	–7		
3050 Unpaid obligations, end of year .....	36	36	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35	36	36
3200 Obligated balance, end of year .....	36	36	14

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....			–50
Outlays, gross:			
4010 Outlays from new discretionary authority .....			–44
Mandatory:			
4090 Budget authority, gross .....	244	254	264
Outlays, gross:			
4100 Outlays from new mandatory authority .....	221	225	234
4101 Outlays from mandatory balances .....	24	29	46
4110 Outlays, gross (total) .....	245	254	280

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-3	-5	-3
4180	Budget authority, net (total) .....	241	249	211
4190	Outlays, net (total) .....	242	249	233
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	64	62	62
5001	Total investments, EOY: Federal securities: Par value .....	62	62	62

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the eleven Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L. 110-289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA's strategic goals are: 1) Safe and Sound Housing GSEs 2) Liquidity, Stability and Access in Housing Finance, and 3) Management of the Enterprises' Ongoing Conservatorships. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

**Object Classification** (in millions of dollars)

Identification code 537-5532-0-2-371	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	88	107	114
11.3	Other than full-time permanent .....	1		
11.5	Other personnel compensation .....	4		
11.9	Total personnel compensation .....	93	107	114
12.1	Civilian personnel benefits .....	32	35	37
13.0	Benefits for former personnel .....	4		
21.0	Travel and transportation of persons .....	3	3	3
23.2	Rental payments to others .....	15	17	18
23.3	Communications, utilities, and miscellaneous charges .....	2		
25.2	Other services from non-Federal sources .....	38	27	29
25.3	Other goods and services from Federal sources .....	4		
25.7	Operation and maintenance of equipment .....	4		
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	5	7	7
94.0	Financial transfers .....	47	50	
99.0	Direct obligations .....	250	249	211
99.0	Reimbursable obligations .....	3	5	3
99.9	Total new obligations .....	253	254	214

**Employment Summary**

Identification code 537-5532-0-2-371	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment .....	533	592	592

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$49,900,000, to remain available until September 30, 2018, to be derived from assessments collected from the Federal National Mortgage Association, Federal Home Loan Mortgage Corporation, and the Federal Home Loan Banks under section 1106 of the Housing and Economic Recovery Act of 2008.

**Program and Financing** (in millions of dollars)

Identification code 537-5564-0-2-371	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0001	Office of Inspector General .....		50	
0801	Office of Inspector General Reimbursable .....	48	50	
0900	Total new obligations .....	48	50	50
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1		
1050	Unobligated balance (total) .....	1		

Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other acct [537-5532] ....			50
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	47	50	
1900	Budget authority (total) .....	47	50	50
1930	Total budgetary resources available .....	48	50	50

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10	11	11
3010	Obligations incurred, unexpired accounts .....	48	50	50
3020	Outlays (gross) .....	-47	-50	-52
3050	Unpaid obligations, end of year .....	11	11	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	10	11	11
3200	Obligated balance, end of year .....	11	11	9

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			50
Outlays, gross:				
4010	Outlays from new discretionary authority .....			42
Mandatory:				
4090	Budget authority, gross .....	47	50	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	39	42	
4101	Outlays from mandatory balances .....	8	8	10
4110	Outlays, gross (total) .....	47	50	10
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-47	-50	
4180	Budget authority, net (total) .....			50
4190	Outlays, net (total) .....			52

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is currently funded through FHFA's direct assessments on the housing GSEs. In order to preserve the independence of the IG and provide congressional review of funding levels, the Budget requests an appropriation of \$49.9 million for the FHFA-OIG derived from FHFA's assessments.

**Object Classification** (in millions of dollars)

Identification code 537-5564-0-2-371	2015 actual	2016 est.	2017 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....		21	
11.5	Other personnel compensation .....		2	
11.9	Total personnel compensation .....		23	
12.1	Civilian personnel benefits .....		10	
21.0	Travel and transportation of persons .....		1	
23.1	Rental payments to GSA .....		1	
23.3	Communications, utilities, and miscellaneous charges .....		2	
25.1	Advisory and assistance services .....		2	
25.2	Other services from non-Federal sources .....		1	
25.3	Other goods and services from Federal sources .....		8	
26.0	Supplies and materials .....		1	
31.0	Equipment .....		1	
99.0	Direct obligations .....		50	
99.0	Reimbursable obligations .....	48	50	
99.9	Total new obligations .....	48	50	50

**Employment Summary**

Identification code 537-5564-0-2-371	2015 actual	2016 est.	2017 est.	
2001	Reimbursable civilian full-time equivalent employment .....	140	155	155

## FEDERAL LABOR RELATIONS AUTHORITY

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, **[\$26,200,000]** \$27,062,000: *Provided*, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Financial Services and General Government Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 054-0100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Authority .....	14	14	15
0002 Office of the General Counsel .....	11	11	11
0003 Federal Service Impasses Panel .....	1	1	1
0900 Total new obligations .....	26	26	27
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	26	26	27
1930 Total budgetary resources available .....	26	26	27

#### Change in obligated balance:

Identification code	2015 actual	2016 est.	2017 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	26	26	27
3020 Outlays (gross) .....	-26	-26	-27
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2

#### Budget authority and outlays, net:

Identification code	2015 actual	2016 est.	2017 est.
Discretionary:			
4000 Budget authority, gross .....	26	26	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	24	25
4011 Outlays from discretionary balances .....	2	2	2
4020 Outlays, gross (total) .....	26	26	27
4180 Budget authority, net (total) .....	26	26	27
4190 Outlays, net (total) .....	26	26	27

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: (1) determining the appropriateness of units for Labor organization representation; (2) resolving complaints of unfair labor practices; (3) adjudicating exceptions to arbitrators' awards; (4) adjudicating legal issues relating to duty to bargain; and (5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide, FLRA includes seven Regional Offices and a Headquarters site in Washington, D.C.

**Authority.**—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

**Office of the General Counsel.**—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

**Federal Service Impasses Panel.**—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

#### Object Classification (in millions of dollars)

Identification code 054-0100-0-1-805	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	15	15	16
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	16	16	17
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	25	25	26
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	26	26	27

#### Employment Summary

Identification code 054-0100-0-1-805	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	131	134	134

## FEDERAL MARITIME COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901-5902, **[\$25,660,000]** \$27,490,000: *Provided*, That not to exceed \$2,000 shall be available for official reception and representation expenses. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.*)

#### Program and Financing (in millions of dollars)

Identification code 065-0100-0-1-403	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Formal proceedings .....	8	8	8
0002 Inspector General .....	1	1	1
0003 Operational and Administrative .....	17	17	18
0900 Total new obligations .....	26	26	27
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	26	27	27
1930 Total budgetary resources available .....	26	27	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4
3010	Obligations incurred, unexpired accounts .....	26	26
3020	Outlays (gross) .....	-25	-30
3041	Recoveries of prior year unpaid obligations, expired .....	-1	
3050	Unpaid obligations, end of year .....	4	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	4	4
3200	Obligated balance, end of year .....	4	
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	26	27
Outlays, gross:			
4010	Outlays from new discretionary authority .....	22	26
4011	Outlays from discretionary balances .....	3	4
4020	Outlays, gross (total) .....	25	30
4180	Budget authority, net (total) .....	26	27
4190	Outlays, net (total) .....	25	30

The Federal Maritime Commission (FMC or Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 (1984 Act) as amended by the Ocean Shipping Reform Act of 1998 (OSRA); section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); and Sections 2 and 3 of Public Law 89-777. The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports and ocean transportation intermediaries who operate in the U.S. foreign commerce to ensure that they maintain just and reasonable practices.

*Ocean Transportation Intermediaries (OTIs).* The Commission issues licenses to qualified OTIs operating in the U.S. and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility.

*Passenger Vessel Operators.* The Commission ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death.

*Shipping Act Compliance.* The FMC maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

**Object Classification** (in millions of dollars)

Identification code 065-0100-0-1-403	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	14	15
12.1	Civilian personnel benefits .....	4	4
23.1	Rental payments to GSA .....	4	3
25.2	Other services from non-Federal sources .....	3	3
31.0	Equipment .....	1	1
99.9	Total new obligations .....	26	26

**Employment Summary**

Identification code 065-0100-0-1-403	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	117	130

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
065-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1	1
General Fund	Offsetting receipts from the public .....	1	1

**FEDERAL MEDIATION AND CONCILIATION SERVICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, **[\$48,748,000]** \$50,738,000, including up to **[\$400,000]** \$5,000,000 to remain available through September 30, **[2017]** 2018, for activities authorized by the Labor-Management Cooperation Act of 1978: *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 093-0100-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001	Dispute mediation and preventive mediation, public information, and grants .....	36	38
0002	Arbitration services .....	1	1
0003	Management and administrative support .....	9	10
0004	Labor-Management Grants (separated from line 0001 for FY17) .....		
			5
0091	Total direct program .....	46	49
0101	Reimbursables .....	2	3
0900	Total new obligations .....	48	52
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	5	5
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	46	49
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	2	2
1900	Budget authority (total) .....	48	51
1930	Total budgetary resources available .....	53	56
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	5	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4	7
3010	Obligations incurred, unexpired accounts .....	48	52

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 093-0100-0-1-505	2015 actual	2016 est.	2017 est.
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-46	-51	-53
3050 Unpaid obligations, end of year .....	7	8	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	7	8
3200 Obligated balance, end of year .....	7	8	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	48	51	53
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40	47	48
4011 Outlays from discretionary balances .....	6	4	5
4020 Outlays, gross (total) .....	46	51	53
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
4070 Budget authority, net (discretionary) .....	46	49	51
4080 Outlays, net (discretionary) .....	44	49	51
4180 Budget authority, net (total) .....	46	49	51
4190 Outlays, net (total) .....	44	49	51

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

**Dispute Mediation.**—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

**DISPUTE MEDIATION WORKLOAD DATA**

	2013 actual	2014 actual	2015 actual	2016 est.	2017 est.
Dispute mediation assignments .....	14,810	13,816	13,365	14,350	14,350
Total active mediations .....	5,931	5,713	5,395	6,072	6,072

**PREVENTIVE MEDIATION WORKLOAD DATA**

	2013 actual	2014 actual	2015 actual	2016 est.	2017 est.
Total preventive mediation cases conducted .....	2,027	1,884	1,923	2,000	2,000

**Preventive Mediation, Public Information, and Educational Activities.**—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

**Arbitration Services.**—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

**ARBITRATION SERVICES WORKLOAD DATA**

	2013 actual	2014 actual	2015 actual	2016 est.	2017 est.
Number of panels issued .....	13,361	13,179	12,744	13,500	13,500
Number of arbitrators appointed .....	6,020	5,836	5,415	5,489	5,489

**Management and Administrative Support.**—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

**Labor-Management Cooperation Project.**—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

**Alternative Dispute Resolution (ADR) Projects.**—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

**ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA**

	2013 actual	2014 actual	2015 actual	2016 est.	2017 est.
Number of ADR Cases .....	1,118	910	1,193	1,200	1,200

**Object Classification (in millions of dollars)**

Identification code 093-0100-0-1-505	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	25	27	27
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	6	9	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	4	2	1
41.0 Grants, subsidies, and contributions .....			5
99.0 Direct obligations .....	46	49	51
99.0 Reimbursable obligations .....	2	3	3
99.9 Total new obligations .....	48	52	54

**Employment Summary**

Identification code 093-0100-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	216	227	227
2001 Reimbursable civilian full-time equivalent employment .....	9	10	10

**FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Federal Mine Safety and Health Review Commission, **[\$17,085,000]** \$17,184,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

**Program and Financing (in millions of dollars)**

Identification code 368-2800-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Commission review .....	5	5	5
0002 Administrative law judge determinations .....	12	12	12
0900 Total new obligations .....	17	17	17
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	17
1930 Total budgetary resources available .....	17	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	17	17	17
3020 Outlays (gross) .....	-17	-17	-17
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	17	17
	Outlays, gross:		
4010	Outlays from new discretionary authority .....	16	15
4011	Outlays from discretionary balances .....	1	2
4020	Outlays, gross (total) .....	17	17
4180	Budget authority, net (total) .....	17	17
4190	Outlays, net (total) .....	17	17

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**SELECTED WORKLOAD DATA**

	2015 actual	2016 est.	2017 est.
<b>Commission review activities:</b>			
Cases pending beginning of year .....	178	133	92
New cases received .....	107	105	105
Total case workload .....	270	238	197
Cases decided .....	152	146	129
Cases pending end of year .....	133	92	68
<b>Administrative law judge activities:</b>			
Cases pending beginning of year .....	6,587	4,590	4,200
New cases received .....	4,969	4,969	4,969
Total case workload .....	11,556	9,559	9,169
Cases decided .....	6,966	5,359	5,869
Cases pending end of year .....	4,590	4,200	3,300

**Object Classification (in millions of dollars)**

Identification code 368-2800-0-1-554	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	3	3	3
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	17	17	17

**Employment Summary**

Identification code 368-2800-0-1-554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	75	79	74

**FEDERAL PERMITTING IMPROVEMENT COUNCIL**

**Federal Funds**

ENVIRONMENTAL REVIEW IMPROVEMENT FUND

**Program and Financing (in millions of dollars)**

Identification code 473-4591-0-4-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity .....			4
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			4
1930 Total budgetary resources available .....			4

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			4
3020 Outlays (gross) .....			-3
3050 Unpaid obligations, end of year .....			1

<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4
Outlays, gross:			
4010 Outlays from new discretionary authority .....			3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-1

Title 41 of Public Law 114-94 (FAST-41) codified key elements of the Administration's efforts in the area of permitting modernization through creation of a Federal Permitting Improvement Council (the Council) and governance structure to facilitate interagency permitting efforts; expansion of a public "Dashboard" to provide increased transparency into the permitting process; and provision of a new funding source for coordinating and conducting environmental reviews by 1) establishing a new agency transfer authority and 2) authorizing agencies to issue regulations to collect fees. The fees would be deposited into a newly-created Treasury fund known as the Environmental Review Improvement Fund. The Fund could be used by the Council for purposes of implementing and enforcing FAST-41 or, with OMB Director approval, distributed to agencies to facilitate timely and efficient environmental reviews.

**Object Classification (in millions of dollars)**

Identification code 473-4591-0-4-808	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1
12.1 Civilian personnel benefits .....			1
25.2 Other services from non-Federal sources .....			2
99.9 Total new obligations .....			4

**Employment Summary**

Identification code 473-4591-0-4-808	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....			12

**FEDERAL RETIREMENT THRIFT INVESTMENT BOARD**

**Federal Funds**

PROGRAM EXPENSES

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 026-5290-0-2-602	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board .....	207	220	245
2000 Total: Balances and receipts .....	207	220	245
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Program Expenses .....	-207	-220	-245
5099 Balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 026-5290-0-2-602	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	177	220	245
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		30	30

PROGRAM EXPENSES—Continued  
Program and Financing—Continued

Identification code 026-5290-0-2-602	2015 actual	2016 est.	2017 est.
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	207	220	245
1930 Total budgetary resources available .....	207	250	275
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	30	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	177	220	245
3020 Outlays (gross) .....	-177	-220	-245
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	207	220	245
Outlays, gross:			
4100 Outlays from new mandatory authority .....	177	220	245
4180 Budget authority, net (total) .....	207	220	245
4190 Outlays, net (total) .....	177	220	245

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal Government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Object Classification (in millions of dollars)

Identification code 026-5290-0-2-602	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	22	30	32
12.1 Civilian personnel benefits .....	7	10	10
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	11	14	14
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	6	13	10
25.2 Other services from non-Federal sources .....	109	122	147
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	.....
31.0 Equipment .....	12	20	22
99.9 Total new obligations .....	177	220	245

Employment Summary

Identification code 026-5290-0-2-602	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	207	271	272

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment

fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

	[In millions of dollars]		
	2015 Actual	2016 Est.	2017 Est.
Thrift Savings Fund investment balance, start of year .....	422,200	443,328*	465,089
<b>Receipts during the year:</b>			
Employee contributions .....	19,149	19,723	20,315
Contributions on behalf of employees <sup>1</sup> .....	8,126	8,370	8,621
Earnings and adjustments <sup>2</sup> .....	11,048	11,379	11,720
Total receipts .....	38,323	39,472	40,656
<b>Outlays during the year:</b>			
Withdrawals .....	16,632	17,131	17,645
Loans to employees, net of repayments .....	396	408	420
Administrative expenses .....	167	172	177
Total cash outlays .....	17,195	17,711	18,242
Thrift Savings Fund investment balance, end of year <sup>3</sup> .....	443,328	465,089	487,503
<b>Notes:</b>			
	2015 Actual	2016 Est.	2017 Est.
<sup>1</sup> 2015 Employer contributions included:**			
Automatic contributions for FERS employees: .....	1,853	1,909	1,966
Matching contributions for FERS employees: .....	6,273	6,461	6,655
	8,126	8,370	8,621
<sup>2</sup> 2015 Earnings included:			
Return on investment in Government Securities .....	4,031	4,152	4,277
Return on investment in non-government instruments .....	6,826	7,031	7,242
Interest on loans to employees .....	181	186	192
Agency payments for lost earnings .....	10	10	11
<sup>3</sup> Investment Balances at 9/30/2015 were:			
U.S. Government Securities Investment Fund .....	204,591		
TSP F Fund - U.S. Debt Index Fund .....	24,682		
TSP C Fund - Equity Index Account .....	133,028		
BlackRock Extended Equity Market Index Fund .....	48,222		
BlackRock EAFE Equity Index Fund .....	32,805		

Note: \*2016 Actual Thrift Savings Fund Investment Balance, Start of Year

\*\*Totals may not add due to rounding.

Assumptions for growth: FY 2016 and 2017: 3% estimated growth (except for 2016 Start of Year Balance)

FEDERAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, **[\$306,900,000]** \$342,000,000, to remain available until expended: *Provided*, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: *Provided further*, That, notwithstanding any other provision of law, not to exceed **[\$124,000,000]** \$128,000,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation: *Provided further*, That, notwithstanding any other provision of law, not to exceed **[\$14,000,000]** \$15,000,000 in offsetting collections derived from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), shall be credited to this account, and be retained and used for necessary expenses in this appropriation: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2016]** 2017, so as to result in a final fiscal year **[2016]** 2017 appropriation from the general fund estimated at not more than **[\$168,900,000]** \$199,000,000: *Provided further*, That none of the funds made available to the Fed-

eral Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t). (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 029-0100-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Protect Consumers .....	111	175	184
0002 Maintain Competition .....	81	147	154
0192 Subtotal, direct program .....	192	322	338
0799 Total direct obligations .....	192	322	338
0803 Salaries and Expenses (Reimbursable) .....	113	4	1
0900 Total new obligations .....	305	326	339
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	22	13
1021 Recoveries of prior year unpaid obligations .....	7	6	.....
1050 Unobligated balance (total) .....	34	28	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	180	189	199
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - HSR .....	116	104	128
1700 Offsetting collections (cash) - Do Not Call .....	13	14	15
1700 Offsetting collections (cash) - Reimb .....	.....	4	1
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-16	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	113	122	144
1900 Budget authority (total) .....	293	311	343
1930 Total budgetary resources available .....	327	339	356
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	13	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	97	92	98
3010 Obligations incurred, unexpired accounts .....	305	326	339
3020 Outlays (gross) .....	-303	-314	-325
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-6	.....
3050 Unpaid obligations, end of year .....	92	98	112
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	91	97
3200 Obligated balance, end of year .....	91	97	111
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	293	311	343
Outlays, gross:			
4010 Outlays from new discretionary authority .....	235	214	228
4011 Outlays from discretionary balances .....	68	100	97
4020 Outlays, gross (total) .....	303	314	325
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	-4	-1
4034 Offsetting governmental collections .....	-129	-118	-143
4040 Offsets against gross budget authority and outlays (total) ....	-129	-122	-144
4070 Budget authority, net (discretionary) .....	164	189	199
4080 Outlays, net (discretionary) .....	174	192	181
4180 Budget authority, net (total) .....	164	189	199
4190 Outlays, net (total) .....	174	192	181
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	9	25	25
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	25	25	25

The mission of the Federal Trade Commission (the Commission or FTC) is working to protect consumers by preventing anticompetitive, deceptive, and unfair business practices; enhancing informed consumer choice and public understanding of the competitive process; and accomplishing this without unduly burdening legitimate business activity. The FTC's mission

is based on a vision of a vibrant economy characterized by vigorous competition and consumer access to accurate information.

**Protect Consumers.**— This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through three objectives: (1) Identify and take actions to address deceptive or unfair practices that harm consumers; (2) Provide the public with knowledge and tools to prevent harm to consumers; and (3) Collaborate with domestic and international partners to enhance consumer protection.

**Promote Competition.**— This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through three objectives: (1) Identify and take actions to address anticompetitive mergers and practices that harm consumers; (2) Engage in effective research and stakeholder outreach to promote competition, advance its understanding, and create awareness of its benefits to consumers; and (3) Collaborate with domestic partners and international partners to preserve and promote competition.

The 2017 Budget includes a program level for the Commission of \$342 million, funded by \$199 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$128 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$15 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

The Budget proposes to increase the Hart-Scott-Rodino fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over \$1 billion. Under the proposal, the fee increase would take effect in 2018.

**Object Classification** (in millions of dollars)

Identification code 029-0100-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	22	143	149
11.3 Other than full-time permanent .....	8	8	8
11.5 Other personnel compensation .....	1	2	2
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	32	154	160
12.1 Civilian personnel benefits .....	43	44	44
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	25	26	26
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	55	58	67
25.2 Other services from non-Federal sources .....	3	4	4
25.3 Other goods and services from Federal sources .....	9	10	10
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	3	3	3
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	12	13	14
99.0 Direct obligations .....	192	322	338
99.0 Reimbursable obligations .....	113	4	1
99.9 Total new obligations .....	305	326	339

SALARIES AND EXPENSES—Continued  
**Employment Summary**

Identification code 029-0100-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,144	1,191	1,191

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
029-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	21		
General Fund Offsetting receipts from the public .....	21		

**GULF COAST ECOSYSTEM RESTORATION COUNCIL**

*Federal Funds*

GULF COAST ECOSYSTEM RESTORATION COUNCIL

**Program and Financing** (in millions of dollars)

Identification code 471-1770-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Comprehensive Plan Administrative Expense .....	1	2	2
0802 Comprehensive Plan Program Expenses .....	3	156	35
0803 Spill Impact Program and Projects .....		180	60
0900 Total new obligations .....	4	338	97
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	338	97
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	3	338	97
1930 Total budgetary resources available .....	4	338	97
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	3	112
3010 Obligations incurred, unexpired accounts .....	4	338	97
3020 Outlays (gross) .....	-2	-229	-168
3050 Unpaid obligations, end of year .....	3	112	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	110
3200 Obligated balance, end of year .....	1	110	39
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	338	97
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	228	65
4101 Outlays from mandatory balances .....		1	103
4110 Outlays, gross (total) .....	2	229	168
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2	-338	-97
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4170 Outlays, net (mandatory) .....		-109	71
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		-109	71

The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012, or the RESTORE Act, dedicates 80 percent of any civil and administrative penalties paid under the Clean Water Act by responsible parties in connection with the Deepwater Horizon oil spill to the Gulf Coast Restoration Trust Fund (the Trust Fund). These funds may be used for ecosystem restoration, economic recovery, and tourism promotion in the Gulf Coast region.

In addition to establishing the Trust Fund, the RESTORE Act established the Gulf Coast Ecosystem Restoration Council (the Council). The Council has oversight over the expenditure of sixty percent of the funds made available from the Trust Fund. Thirty percent will be administered for restoration and protection according to the Comprehensive Plan developed by the Council. The other thirty percent will be allocated to the States according to a formula set forth in the RESTORE Act and spent according to individual State expenditure plans to contribute the overall economic and ecological recovery of the Gulf. The Council includes the Governors of the States of Alabama, Florida, Louisiana, Mississippi and Texas and the Secretaries of the U.S. Departments of Agriculture, Army, Commerce, Homeland Security and the Interior, and the Administrator of the U.S. Environmental Protection Agency.

**Object Classification** (in millions of dollars)

Identification code 471-1770-0-1-452	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	3	3
25.1 Advisory and assistance services .....	1		
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1		
41.0 Grants, subsidies, and contributions .....		334	93
99.9 Total new obligations .....	4	338	97

**Employment Summary**

Identification code 471-1770-0-1-452	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	7	17	19

**HARRY S TRUMAN SCHOLARSHIP FOUNDATION**

*Federal Funds*

SALARIES AND EXPENSES

【For payment to the Harry S Truman Scholarship Foundation Trust Fund, established by section 10 of Public Law 93-642, \$1,000,000, to remain available until expended.】 (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 372-0950-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Harry S Truman Scholarship Memorial Trust Fund (Direct) .....	1	1	
0900 Total new obligations (object class 94.0) .....	1	1	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	
1930 Total budgetary resources available .....	1	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	
3020 Outlays (gross) .....	-1	-1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	

4180	Budget authority, net (total)	1	1	.....
4190	Outlays, net (total)	1	1	.....

**Trust Funds**

**HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 372-8296-0-7-502	2015 actual	2016 est.	2017 est.	
0100	Balance, start of year	32	33	34
	Receipts:			
	Current law:			
1140	Interest on Investments, Harry S. Truman Memorial Scholarship Trust Fund	1	1	1
1140	General Fund Payment, Harry S Truman Scholarship Trust Fun	1	1	.....
1199	Total current law receipts	2	2	1
1999	Total receipts	2	2	1
2000	Total: Balances and receipts	34	35	35
	Appropriations:			
	Current law:			
2101	Harry S Truman Memorial Scholarship Trust Fund	-1	-1	-1
5099	Balance, end of year	33	34	34

**Program and Financing** (in millions of dollars)

Identification code 372-8296-0-7-502	2015 actual	2016 est.	2017 est.	
	<b>Obligations by program activity:</b>			
0001	Scholarship awards	2	2	2
0900	Total new obligations	2	2	2
	<b>Budgetary resources:</b>			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	23	22	21
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	1	1
1930	Total budgetary resources available	24	23	22
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	22	21	20
	<b>Change in obligated balance:</b>			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	2	2	2
3020	Outlays (gross)	-2	-2	-2
	<b>Budget authority and outlays, net:</b>			
	Mandatory:			
4090	Budget authority, gross	1	1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority	1	1	1
4101	Outlays from mandatory balances	1	1	1
4110	Outlays, gross (total)	2	2	2
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	2	2	2
	<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value	54	54	54
5001	Total investments, EOY: Federal securities: Par value	54	54	54

Public Law 93-642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 60 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

*Scholarship awards.*—This activity is comprised of scholarships awarded to cover eligible educational expenses.

*Program administration.*—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

**Object Classification** (in millions of dollars)

Identification code 372-8296-0-7-502	2015 actual	2016 est.	2017 est.	
41.0	Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations	2	2	2

**Employment Summary**

Identification code 372-8296-0-7-502	2015 actual	2016 est.	2017 est.	
1001	Direct civilian full-time equivalent employment	5	5	5

**INDEPENDENT PAYMENT ADVISORY BOARD**

**Federal Funds**

INDEPENDENT PAYMENT ADVISORY BOARD

**Program and Financing** (in millions of dollars)

Identification code 578-3746-0-1-571	2015 actual	2016 est.	2017 est.
	<b>Obligations by program activity:</b>		
0001	Independent Payment Advisory Board (Direct)	5	16
	<b>Budgetary resources:</b>		
	Unobligated balance:		
1000	Unobligated balance brought forward, Oct 1		16
1020	Adjustment of unobligated bal brought forward, Oct 1	20	.....
1050	Unobligated balance (total)	20	16
	Budget authority:		
	Spending authority from offsetting collections, mandatory:		
1800	Collected	1	16
1930	Total budgetary resources available	21	32
	Memorandum (non-add) entries:		
1941	Unexpired unobligated balance, end of year	16	16
	<b>Change in obligated balance:</b>		
	Unpaid obligations:		
3010	Obligations incurred, unexpired accounts	5	16
3020	Outlays (gross)	-5	-16
	<b>Budget authority and outlays, net:</b>		
	Mandatory:		
4090	Budget authority, gross	1	16
	Outlays, gross:		
4100	Outlays from new mandatory authority	1	16
4101	Outlays from mandatory balances	4	.....
4110	Outlays, gross (total)	5	16
	Offsets against gross budget authority and outlays:		
	Offsetting collections (collected) from:		
4120	Federal sources	-1	-16
4180	Budget authority, net (total)		.....
4190	Outlays, net (total)	4	.....

The Affordable Care Act established the Independent Payment Advisory Board to reduce the per capita rate of growth in Medicare spending.

**Object Classification** (in millions of dollars)

Identification code 578-3746-0-1-571	2015 actual	2016 est.	2017 est.
	Direct obligations:		
11.1	Personnel compensation: Full-time permanent	2	5
12.1	Civilian personnel benefits	1	2
23.3	Communications, utilities, and miscellaneous charges		1
25.1	Advisory and assistance services	2	8
99.9	Total new obligations	5	16

INDEPENDENT PAYMENT ADVISORY BOARD—Continued  
**Employment Summary**

Identification code 578-3746-0-1-571	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		15	45

**INTERAGENCY COORDINATING COUNCIL ON  
WORKFORCE ATTACHMENT**

*Federal Funds*

INTERAGENCY COORDINATING COUNCIL ON WORKFORCE ATTACHMENT

The Budget proposes to create the Interagency Coordinating Council on Workforce Attachment (ICCWA) to align federal efforts to increase workforce attachment among individuals with health impairments or disabilities. The Council will meet a key need by serving as a coordinator for employment supports in the United States (policy, research, and program guidance). In addition, the Council will help coordinate allocation of funds to support new workforce demonstrations, with concurrence of the Commissioner of the Social Security Administration (SSA), Secretary of the Department of Treasury, and Director of the Office of Management and Budget (OMB).

INTERAGENCY COORDINATING COUNCIL ON WORKFORCE ATTACHMENT  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 588-2125-4-1-609	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Demonstration Projects .....			50
0002 ICCWA Administration .....			1
0900 Total new obligations .....			51
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			51
1930 Total budgetary resources available .....			51
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			51
3020 Outlays (gross) .....			-51
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			51
Outlays, gross:			
4100 Outlays from new mandatory authority .....			51
4180 Budget authority, net (total) .....			51
4190 Outlays, net (total) .....			51

**Object Classification** (in millions of dollars)

Identification code 588-2125-4-1-609	2015 actual	2016 est.	2017 est.
25.1 Direct obligations: Advisory and assistance services .....			50
99.0 Direct obligations .....			50
99.5 Adjustment for rounding .....			1
99.9 Total new obligations .....			51

**INSTITUTE OF AMERICAN INDIAN AND ALASKA  
NATIVE CULTURE AND ARTS DEVELOPMENT**

*Federal Funds*

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498 (20 U.S.C. 56 part A), **[\$11,619,000]** \$11,835,070, to remain available until September 30, **[2017]** 2018. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 373-2900-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Institute .....	9	12	12
0900 Total new obligations (object class 41.0) .....	9	12	12
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	12	12
1930 Total budgetary resources available .....	9	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	9	12	12
3020 Outlays (gross) .....	-9	-12	-12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	12	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	12	12
4180 Budget authority, net (total) .....	9	12	12
4190 Outlays, net (total) .....	9	12	12

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

*Payment to the Institute.*—This activity supports the operations of the Institute.

**INSTITUTE OF MUSEUM AND LIBRARY SERVICES**

*Federal Funds*

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act of 1996 and the National Museum of African American History and Culture Act, \$230,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 417-0300-0-1-503	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Gifts and Donations, Institute of Museum Services .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 417-0300-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Assistance for museums .....	30	31	32
0002 Assistance for libraries .....	181	183	182
0003 Administration .....	17	16	16
0900 Total new obligations .....	228	230	230
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	7
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	4	6	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	228	230	230
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	229	231	231
1930 Total budgetary resources available .....	233	237	239
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	7	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	277	273	270
3010 Obligations incurred, unexpired accounts .....	228	230	230
3020 Outlays (gross) .....	-230	-232	-235
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	273	270	264
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	277	273	270
3200 Obligated balance, end of year .....	273	270	264
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	229	231	231
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	70	70
4011 Outlays from discretionary balances .....	189	162	165
4020 Outlays, gross (total) .....	230	232	235
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	228	230	230
4190 Outlays, net (total) .....	229	231	234

The Institute of Museum and Library Services (IMLS) is the primary source of Federal support for the nation's 123,000 libraries and 35,000 museums. Through strategic grantmaking, policy development, data collection and research, IMLS supports libraries and museums as community anchors that provide vital learning experiences and broad access to content. IMLS provides leadership to help Americans build 21st century skills such as digital literacy; pursue education and training; access early learning opportunities; and participate fully in the workforce and in civil society. The Institute's organization, mission, and functions are defined in the Museum and Library Services Act, Public Law 111-340, and the African American History and Culture Act, Public Law 108-184.

**Object Classification** (in millions of dollars)

Identification code 417-0300-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	1
25.2 Other services from non-Federal sources .....	6	5	6
41.0 Grants, subsidies, and contributions .....	211	214	214
99.9 Total new obligations .....	228	230	230

**Employment Summary**

Identification code 417-0300-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	69	73	73

**INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT**

*Federal Funds*

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, **[\$505,206,000] \$533,596,000.** (Department of Defense Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 467-0401-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Intelligence community management .....	479	505	534
0801 Intelligence Community Management Account (Reimbursable) .....	26	25	25
0900 Total new obligations .....	505	530	559
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	508	505	534
1120 Appropriations transferred to other accts [097-0100] .....	-16		
1120 Appropriations transferred to other accts [057-3600] .....	-4		
1120 Appropriations transferred to other acct [057-3400] .....	-2		
1121 Appropriations transferred from other acct [057-3600] .....	2		
1160 Appropriation, discretionary (total) .....	488	505	534
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	21	25	25
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	26	25	25
1900 Budget authority (total) .....	514	530	559
1930 Total budgetary resources available .....	514	530	559
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	48	127	156
3010 Obligations incurred, unexpired accounts .....	505	530	559
3011 Obligations incurred, expired accounts .....	3		
3020 Outlays (gross) .....	-423	-501	-551
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	127	156	164
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	120	149
3200 Obligated balance, end of year .....	120	149	157
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	514	530	559
Outlays, gross:			
4010 Outlays from new discretionary authority .....	420	404	425
4011 Outlays from discretionary balances .....	3	97	126
4020 Outlays, gross (total) .....	423	501	551
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-27	-25	-25
4040 Offsets against gross budget authority and outlays (total) .....	-27	-25	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	488	505	534
4080 Outlays, net (discretionary) .....	396	476	526

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 467-0401-0-1-054	2015 actual	2016 est.	2017 est.
4180 Budget authority, net (total) .....	488	505	534
4190 Outlays, net (total) .....	396	476	526

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) and the Intelligence Community (IC) as a whole in leading intelligence integration, coordinating cross-program activities, and improving budget oversight. The ICMA funds selected oversight elements such as the National Intelligence Council, the President's Daily Briefing Staff, and other enterprise-wide functions.

These oversight elements are the DNI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These responsibilities include: developing the National Intelligence Program budget, developing intelligence plans and requirements, and overseeing research and development activities. The National Intelligence Council provides analytical support to the DNI and to national policy makers. The President's Daily Briefing Staff supports the production of the daily intelligence briefing that is provided to the President and his senior staff.

**Object Classification** (in millions of dollars)

Identification code 467-0401-0-1-054	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	101	105	102
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	8	9	9
11.9 Total personnel compensation .....	110	114	111
12.1 Civilian personnel benefits .....	31	33	32
21.0 Travel and transportation of persons .....	12	10	10
22.0 Transportation of things .....		4	4
23.1 Rental payments to GSA .....		2	3
23.2 Rental payments to others .....	3	1	1
23.3 Communications, utilities, and miscellaneous charges .....		2	2
24.0 Printing and reproduction .....	4	3	3
25.1 Advisory and assistance services .....	5	120	135
25.2 Other services from non-Federal sources .....	145	12	16
25.3 Other goods and services from Federal sources .....	135	148	160
25.4 Operation and maintenance of facilities .....		1	3
25.5 Research and development contracts .....	2	2	1
25.7 Operation and maintenance of equipment .....	29	45	39
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	6	3
32.0 Land and structures .....			9
99.0 Direct obligations .....	480	505	534
99.0 Reimbursable obligations .....	26	25	25
99.5 Adjustment for rounding .....	-1		
99.9 Total new obligations .....	505	530	559

**Employment Summary**

Identification code 467-0401-0-1-054	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	738	752	721

**INTERNATIONAL TRADE COMMISSION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$2,250 for official reception and representation expenses, **[\$88,500,000]** \$92,866,000, to remain available until expended. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 034-0100-0-1-153	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Research, investigations, and reports .....	86	89	93
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	85	89	93
1900 Budget authority (total) .....	85	89	93
1930 Total budgetary resources available .....	86	89	93
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	15	6
3010 Obligations incurred, unexpired accounts .....	86	89	93
3020 Outlays (gross) .....	-82	-98	-92
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	15	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	15	6
3200 Obligated balance, end of year .....	15	6	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	85	89	93
Outlays, gross:			
4010 Outlays from new discretionary authority .....	72	84	87
4011 Outlays from discretionary balances .....	10	14	5
4020 Outlays, gross (total) .....	82	98	92
4180 Budget authority, net (total) .....	85	89	93
4190 Outlays, net (total) .....	82	98	92

The U.S. International Trade Commission (Commission) is an independent, nonpartisan Federal agency with broad investigative responsibilities on matters of trade. In accordance with its statutory mandate, the Commission makes determinations in proceedings involving imports claimed to injure a domestic industry or violate U.S. intellectual property rights; provides independent tariff, trade, and competitiveness-related analysis and information; and maintains the U.S. tariff schedule.

For 2017, the Commission requests an appropriation of \$92.9 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan for FY 2014-2018 sets two strategic goals that cover its programmatic responsibilities. The agency's goal to produce sound, objective, and timely determinations in investigative proceedings focuses on its import injury and unfair import investigative responsibilities. The agency's goal to produce objective, high-quality, and responsive tariff, trade, and competitiveness-related analysis and information encompasses two areas. First, it focuses on the responsibility to maintain the Harmonized Tariff Schedule of the United States. Second, it focuses on the agency's role to independently provide the highest caliber of information and analysis to U.S. policymakers in a timely manner to assist them when they are securing benefits to the United States in trade negotiations and when they enact legislation or take other policy actions that affect the U.S. economy and industry competitiveness. The Commission also set a management goal to achieve agency-wide efficiency and effectiveness to advance its mission. The agency's focus is on three functional areas—human resources; budget, acquisitions, and finance; and information technology—as they play a critical role in supporting programmatic activities.

The Strategic Plan identifies strategic objectives for each strategic or management goal, strategies to meet these objectives, and specific performance goals. The Plan also identifies two cross-cutting objectives. The per-

formance goals provide the basis by which the agency can assess whether it is making progress toward its strategic objectives.

The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Plan, Annual Performance Report, and Budget Justification at [https://www.usitc.gov/strategic\\_plan.htm](https://www.usitc.gov/strategic_plan.htm).

#### Object Classification (in millions of dollars)

Identification code 034-0100-0-1-153	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	39	40	41
11.3 Other than full-time permanent .....	7	7	7
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	47	48	49
12.1 Civilian personnel benefits .....	13	13	14
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	10	11	11
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	7	8	9
25.3 Other goods and services from Federal sources .....	1	1	2
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	3	4	4
99.9 Total new obligations .....	86	89	93

#### Employment Summary

Identification code 034-0100-0-1-153	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	403	404	414

## JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

### Trust Funds

#### JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 381-8282-0-7-502	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Earnings on Investments, James Madison Memorial Fellowship Foundation .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 James Madison Memorial Fellowship Trust Fund .....	-2	-2	-2
5099 Balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 381-8282-0-7-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Fellowship awards .....	2	1	1
0002 Program administration .....		1	1
0900 Total new obligations .....	2	2	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	38	38	38
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	2	2	2
1930 Total budgetary resources available .....	40	40	40
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	38	38	38
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	2	2	2

3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

#### Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	2	2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

#### Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	37	37	37
5001 Total investments, EOY: Federal securities: Par value .....	37	37	37

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the Foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

#### Object Classification (in millions of dollars)

Identification code 381-8282-0-7-502	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	1	1
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	2	2	2

## JAPAN-UNITED STATES FRIENDSHIP COMMISSION

### Trust Funds

#### JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 382-8025-0-7-154	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	36	35	35
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission .....	2	3	3
2000 Total: Balances and receipts .....	38	38	38
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Japan-United States Friendship Trust Fund .....	-3	-3	-3
5099 Balance, end of year .....	35	35	35

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND—Continued

Program and Financing (in millions of dollars)

Identification code 382-8025-0-7-154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Grants .....	3	2	2
0002 Administration .....		1	1
0900 Total new obligations .....	3	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	3	3
1900 Budget authority (total) .....	3	3	3
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	38	38	38
5001 Total investments, EOY: Federal securities: Par value .....	38	38	38

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission (the Commission) to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies and Study of the United States, policy oriented activities and exchanges. The Commission's funding priorities are: arts and culture; education and public affairs; exchange and scholarship and global challenges.

Object Classification (in millions of dollars)

Identification code 382-8025-0-7-154	2015 actual	2016 est.	2017 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	3	3	3

LEGAL SERVICES CORPORATION

Federal Funds

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, **[\$385,000,000]** \$475,000,000, of which **[\$352,000,000]** \$439,300,000 is for basic field programs and required independent audits; **[\$5,000,000]** \$5,200,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; **[\$19,000,000]** \$19,500,000 is for management and grants oversight; **[\$4,000,000]** \$5,000,000 is for client self-help and information technology; **[\$4,000,000]** \$5,000,000 is for a Pro Bono Innovation Fund; and **[\$1,000,000]** \$1,000,000 is for loan repayment assistance: *Provided*, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by section 5304 of title 5, United States Code,

notwithstanding section 1005(d) of the Legal Services Corporation Act (42 U.S.C. 2996(d)): *Provided further*, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation: *Provided further*, That, for the purposes of section **【505】** 504 of this Act, the Legal Services Corporation shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 020-0501-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Legal Services Corporation .....	378	385	475
0900 Total new obligations (object class 41.0) .....	378	385	475
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	375	385	475
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3		
1900 Budget authority (total) .....	378	385	475
1930 Total budgetary resources available .....	378	385	475
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	14	33
3010 Obligations incurred, unexpired accounts .....	378	385	475
3020 Outlays (gross) .....	-404	-366	-468
3050 Unpaid obligations, end of year .....	14	33	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	14	33
3200 Obligated balance, end of year .....	14	33	40
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	378	385	475
Outlays, gross:			
4010 Outlays from new discretionary authority .....	364	352	435
4011 Outlays from discretionary balances .....	40	14	33
4020 Outlays, gross (total) .....	404	366	468
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4180 Budget authority, net (total) .....	375	385	475
4190 Outlays, net (total) .....	401	366	468

The Legal Services Corporation (LSC) distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered LSC as an independent 501(c)(3) non-profit corporation. Funding for LSC helps ensure that low-income Americans have an opportunity to obtain access to the courts, due process, and fair treatment. The Budget proposes to continue the Pro Bono Innovation Fund that was established in 2014, to support new and innovative projects that promote and enhance pro bono initiatives throughout the country.

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105-119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to **【2015】** 2016 and **【2016】** 2017, respectively.

Section 504(a) of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1996 (Public Law 104-134) is amended by

- (a) striking "to provide financial assistance to" and inserting "by";
- (b) inserting "in a manner" after "(which may be referred to in this section as a 'recipient')"; and

(c) deleting paragraphs (7) and (13) and renumbering the remaining paragraphs accordingly. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.)

**MARINE MAMMAL COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Marine Mammal Commission as authorized by title II of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 et seq.), \$3,431,000. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 387-2200-0-1-302	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	3	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 Obligations incurred, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-4	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	2	2
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	4	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	4	3	3

The Marine Mammal Commission is charged by the Marine Mammal Protection Act of 1972 to further the conservation of marine mammals and their environment. The Commission works to ensure that marine mammal populations are restored and maintained as functioning elements of healthy marine ecosystems in the world's oceans. The Commission provides independent, science-based oversight of domestic and international policies and actions of federal agencies addressing human impacts on marine mammals and their ecosystems.

**Object Classification** (in millions of dollars)

Identification code 387-2200-0-1-302	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
99.0 Direct obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 387-2200-0-1-302	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	12	12	12

**MERIT SYSTEMS PROTECTION BOARD**

**Federal Funds**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, **[\$44,490,000] \$45,083,000**, to remain available until September 30, **[2017] 2018**, and in addition not to exceed \$2,345,000, to remain available until September 30, **[2017] 2018**, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 389-0100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Adjudication .....	37	37	37
0002 Merit systems studies .....	2	3	3
0003 Management support .....	5	5	5
0799 Total direct obligations .....	44	45	45
0801 Salaries and Expenses (Reimbursable) .....	2	2	2
0900 Total new obligations .....	46	47	47
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	43	45	45
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1900 Budget authority (total) .....	45	47	47
1930 Total budgetary resources available .....	51	52	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	6
3010 Obligations incurred, unexpired accounts .....	46	47	47
3020 Outlays (gross) .....	-45	-46	-47
3050 Unpaid obligations, end of year .....	5	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	6
3200 Obligated balance, end of year .....	5	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	47	47
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	43	43
4011 Outlays from discretionary balances .....	8	3	4
4020 Outlays, gross (total) .....	45	46	47
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....	43	45	45
4190 Outlays, net (total) .....	43	44	45

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing

SALARIES AND EXPENSES—Continued

oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal government. The Pendleton Act grew out of the 19th Century reform movement to curtail the excesses of political patronage in government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: the OPM, which manages the Federal workforce; the Federal Labor Relations Authority, which oversees Federal labor-management relations; and the MSPB. The MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of the OPM.

Object Classification (in millions of dollars)

Identification code 389-0100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	28	29	29
12.1 Civilian personnel benefits .....	7	7	7
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	44	45	45
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	46	47	47

Employment Summary

Identification code 389-0100-0-1-805	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	205	233	218
2001 Reimbursable civilian full-time equivalent employment .....	15	15	17

**MILITARY COMPENSATION AND RETIREMENT  
MODERNIZATION COMMISSION**

*Federal Funds*

MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION

Program and Financing (in millions of dollars)

Identification code 479-2994-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Military Compensation and Retirement Modernization Commission (Direct) .....	6	1	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	5	4
1050 Unobligated balance (total) .....	11	5	4
1930 Total budgetary resources available .....	11	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	10	7
3010 Obligations incurred, unexpired accounts .....	6	1	.....
3020 Outlays (gross) .....	.....	-4	-4
3050 Unpaid obligations, end of year .....	10	7	3

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	10	7
3200 Obligated balance, end of year .....	10	7	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	4	4
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	4	4

The purpose of the Military Compensation and Retirement Modernization Commission is to conduct a review of the military compensation and retirement systems. In 2015, the Commission will provide its recommendations to Congress and the President on how to modernize the compensation and retirement systems.

Object Classification (in millions of dollars)

Identification code 479-2994-0-1-054	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	1	.....
23.1 Rental payments to GSA .....	1	.....	.....
99.9 Total new obligations .....	6	1	.....

Employment Summary

Identification code 479-2994-0-1-054	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	32	8	.....

**MORRIS K. UDALL AND STEWART L. UDALL  
FOUNDATION**

*Federal Funds*

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), **[\$1,995,000]** \$1,895,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act: (1) up to \$50,000 shall be used to conduct financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289); and (2) up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102-259 and section 817(a) of Public Law 106-568 (20 U.S.C. 5604(7)): *Provided*, That of the total amount made available under this heading \$200,000 shall be transferred to the Office of Inspector General of the Department of the Interior, to remain available until expended, for audits and investigations of the Morris K. Udall and Stewart L. Udall Foundation, consistent with the Inspector General Act of 1978 (5 U.S.C. App.). (*Financial Services and General Government Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 487-0900-0-1-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation .....	2	2	2
0900 Total new obligations (object class 94.0) .....	2	2	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	2	2
	Outlays, gross:		
4010	Outlays from new discretionary authority .....	2	2
4180	Budget authority, net (total) .....	2	2
4190	Outlays, net (total) .....	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation is authorized to award scholarships, fellowships and grants, and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, \$3,400,000 \$3,249,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 487-0925-0-1-306	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Environmental dispute resolution fund .....	9	7	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	7
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	7	6	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	4	4
1801 Change in uncollected payments, Federal sources .....	1	1	1
1850 Spending auth from offsetting collections, mand (total) .....	5	5	5
1900 Budget authority (total) .....	8	8	8
1930 Total budgetary resources available .....	15	14	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	7	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	9	7	7
3020 Outlays (gross) .....	-7	-7	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-2	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	
3200 Obligated balance, end of year .....	1		-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
	Outlays, gross:		
4010 Outlays from new discretionary authority .....	3	3	3

Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	4	4
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	4	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3	-3	-3
4123 Non-Federal sources .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) .....	-4	-4	-4
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

In 1998, Public Law 105-56 created the U.S. Institute for Environmental Conflict Resolution as the only Federal entity focused entirely on preventing and resolving environmental conflicts and promoting collaborative decision making. The Institute, part of the Udall Foundation, serves as an impartial, non-partisan institution providing assessment, mediation, facilitation, training, and other related services to resolve disputes involving agencies and instrumentalities of the United States involved in natural resource and public lands conflicts, including matters related to energy, transportation, and land use. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental challenges, the most suitable methods for bringing the parties together, and whether a third-party neutral might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition to providing services directly, the Institute maintains a roster of qualified professional facilitators and mediators with substantial experience in environmental collaboration and conflict resolution, including a roster of neutrals with expertise in dealing with Native American Tribal issues, and can help parties in selecting an appropriate neutral.

Object Classification (in millions of dollars)

Identification code 487-0925-0-1-306	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	3	3
25.2 Other services from non-Federal sources .....	2	1	1
99.0 Direct obligations .....	6	4	4
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations .....	9	7	7

Employment Summary

Identification code 487-0925-0-1-306	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	28	29	29

Trust Funds

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 487-8615-0-7-502	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	44	45	47
Receipts:			
Current law:			
1140 General Fund Payments, Morris K. Udall Scholarship Fund ...	2	2	2
1140 Interest on Investments, Morris K. Udall Scholarship Fund ...	1	2	2
1199 Total current law receipts .....	3	4	4
1999 Total receipts .....	3	4	4
2000 Total: Balances and receipts .....	47	49	51
Appropriations:			
Current law:			
2101 Morris K. Udall and Stewart L. Udall Foundation .....	-2	-2	-2
5099 Balance, end of year .....	45	47	49

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION—Continued  
**Program and Financing** (in millions of dollars)

Identification code 487-8615-0-7-502	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation .....	3	2	2
0900 Total new obligations (object class 41.0) .....	3	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1930 Total budgetary resources available .....	4	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 Obligations incurred, unexpired accounts .....	3	2	2
3020 Outlays (gross) .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	3	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	3	2	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	26	26	26
5001 Total investments, EOY: Federal securities: Par value .....	26	26	26

Public Law 102-259 established the Udall Foundation to provide educational resources to promote studies in the natural environment and Native American public health and Tribal policy. In 2015, the Udall Foundation awarded 50 undergraduate scholarships. In FY 2013 the Foundation maintained the current level of scholarships and did not offer fellowships as a result of a decrease in interest generated by the Trust Fund. Twelve participants in the Native American Congressional Summer Internship Program spent ten weeks in Congressional offices, the Council on Environmental Quality, and Executive Branch agencies participating in a program created by the Udall Foundation.

**Employment Summary**

Identification code 487-8615-0-7-502	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	6		

**NATIONAL ARCHIVES AND RECORDS  
ADMINISTRATION**

**Federal Funds**

**OPERATING EXPENSES**

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, **[\$372,393,000]**

**\$380,634,000.** (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 088-0300-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Legislative Archives, Presidential Libraries, and Museum Services .....	112	110	110
0002 Citizen Services .....	103	99	103
0003 Agency and Related Services .....	74	85	90
0004 Facility Operations .....	47	56	49
0005 Archives II Facility .....	9	8	6
0006 Financial Transfer .....	20	21	23
0799 Total direct obligations .....	365	379	381
0888 Operating Expenses (Reimbursable) .....	2	2	2
0900 Total new obligations .....	367	381	383
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	365	379	381
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1700 Offsetting collections (cash applied to repay debt) .....	20	21	23
1726 Spending authority from offsetting collections applied to repay debt .....	-20	-21	-23
1750 Spending auth from offsetting collections, disc (total) .....	2	2	2
1900 Budget authority (total) .....	367	381	383
1930 Total budgetary resources available .....	368	382	384
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110	109	127
3010 Obligations incurred, unexpired accounts .....	367	381	383
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-363	-363	-382
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	109	127	128
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	110	109	127
3200 Obligated balance, end of year .....	109	127	128
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	367	381	383
Outlays, gross:			
4010 Outlays from new discretionary authority .....	266	293	294
4011 Outlays from discretionary balances .....	97	70	88
4020 Outlays, gross (total) .....	363	363	382
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-22	-23	-25
4040 Offsets against gross budget authority and outlays (total) .....	-22	-23	-25
4180 Budget authority, net (total) .....	345	358	358
4190 Outlays, net (total) .....	341	340	357

This appropriation provides for the operation of the Federal government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public.

*Legislative Archives, Presidential Libraries, and Museum Services.*—This activity provides for the Center for Legislative Archives and the Office of Presidential Materials, which provide records management services to Congress and the White House; the Presidential Libraries of thirteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Museum in Washington, DC.

*Citizen Services.*—This activity provides for public access to and engagement with permanently valuable Federal government records by the researcher community and the general public at public research rooms, online at [www.archives.gov](http://www.archives.gov), and through innovative tools and technology to support collaboration with the public. This activity includes \$1 million for

a NARA Digital Service Team to transform NARA digital services with the greatest impact to citizens and businesses so they are easier to use and more cost-effective to build and maintain.

**Agency and Related Services.**—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the Electronic Records Archives system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

**Facility Operations.**—This activity provides for the operations and maintenance of NARA facilities, including interest payments and repayments of principal on debt associated with construction of the National Archives building at College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority.

**Object Classification** (in millions of dollars)

Identification code 088-0300-0-1-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	123	132	136
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	125	135	139
12.1 Civilian personnel benefits .....	39	40	42
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	6	7	7
23.2 Rental payments to others .....	1	1	2
23.3 Communications, utilities, and miscellaneous charges .....	13	13	12
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	10	18	17
25.2 Other services from non-Federal sources .....	27	26	28
25.3 Other goods and services from Federal sources .....	18	24	26
25.4 Operation and maintenance of facilities .....	26	32	29
25.7 Operation and maintenance of equipment .....	30	36	34
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	29	13	10
32.0 Land and structures .....	7	.....	.....
43.0 Interest and dividends .....	9	8	6
94.0 Financial transfers .....	20	21	23
99.0 Direct obligations .....	365	379	381
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	367	381	383

**Employment Summary**

Identification code 088-0300-0-1-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,500	1,560	1,587
2001 Reimbursable civilian full-time equivalent employment .....	26	29	29

**OFFICE OF THE INSPECTOR GENERAL -**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110-409, 122 Stat. 4302-16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), and for the hire of passenger motor vehicles, **[\$4,180,000] \$4,801,000.** (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 088-0305-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	3	4	5
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4	4	5

1930 Total budgetary resources available .....	4	4	5
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	.....
3010 Obligations incurred, unexpired accounts .....	3	4	5
3020 Outlays (gross) .....	-3	-5	-4
3050 Unpaid obligations, end of year .....	1	.....	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	.....
3200 Obligated balance, end of year .....	1	.....	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	4	4	5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	4	4
4011 Outlays from discretionary balances .....	.....	1	.....
4020 Outlays, gross (total) .....	3	5	4
4180 Budget authority, net (total) .....	4	4	5
4190 Outlays, net (total) .....	3	5	4

The Office of Inspector General (OIG) provides independent audits and investigations and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The OIG evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

**Object Classification** (in millions of dollars)

Identification code 088-0305-0-1-804	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	.....	1	1
99.9 Total new obligations .....	3	4	5

**Employment Summary**

Identification code 088-0305-0-1-804	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	16	24	24

**ELECTRONIC RECORD ARCHIVES**

**Program and Financing** (in millions of dollars)

Identification code 088-0303-0-1-804	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	1	1
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

**REPAIRS AND RESTORATION**

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, \$7,500,000, to remain available until expended: **Provided,** That from amounts made available under this heading in Public Laws 111-8 and 111-117 for necessary expenses related to the repair and renovation of the Franklin D. Roosevelt Presidential Library and Museum in Hyde Park, New

REPAIRS AND RESTORATION—Continued

York, the remaining unobligated balances shall be available to implement the National Archives and Records Administration Capital Improvement Plan I. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 088-0302-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Repairs and Restoration (Direct) .....	10	12	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	7	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	17	15	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	3	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	6
3010 Obligations incurred, unexpired accounts .....	10	12	10
3020 Outlays (gross) .....	-11	-12	-11
3050 Unpaid obligations, end of year .....	6	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	6	6
3200 Obligated balance, end of year .....	6	6	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	6	6
4011 Outlays from discretionary balances .....	6	6	5
4020 Outlays, gross (total) .....	11	12	11
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	11	12	11

This appropriation provides for the repair, alteration, and improvement of National Archives facilities and Presidential Libraries nationwide. Funding provided allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 088-0302-0-1-804	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1		
25.4 Operation and maintenance of facilities .....	2		
32.0 Land and structures .....	7	12	10
99.9 Total new obligations .....	10	12	10

GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, \$5,000,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 088-0301-0-1-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 National Historical Publications and Records Commission (Direct) .....	5	5	5
0900 Total new obligations (object class 41.0) .....	5	5	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5

1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	8	7
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-5	-6	-4
3050 Unpaid obligations, end of year .....	8	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	7
3200 Obligated balance, end of year .....	8	7	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	5
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	6	4
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	6	4

The National Historical Publications and Records Commission (NHPRC) grants program provides for grants to preserve and publish non-Federal records that document American history. This appropriation supports core programs and initiatives in the form of grants that publish, preserve, and make accessible important historical documents.

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 088-4578-0-4-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Records Center Revolving Fund (Reimbursable) .....	177	180	182
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	49	54
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	44	49	54
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	183	185	188
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	182	185	188
1930 Total budgetary resources available .....	226	234	242
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	49	54	60
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	31	26
3010 Obligations incurred, unexpired accounts .....	177	180	182
3020 Outlays (gross) .....	-174	-185	-188
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	31	26	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-40	-39	-39
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-39	-39	-39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-9	-8	-13
3200 Obligated balance, end of year .....	-8	-13	-19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	182	185	188
Outlays, gross:			
4010 Outlays from new discretionary authority .....	153	161	164
4011 Outlays from discretionary balances .....	21	24	24
4020 Outlays, gross (total) .....	174	185	188
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-181	-183	-186
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-183	-185	-188

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	1	.....
4080	Outlays, net (discretionary) .....	-9	.....
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	-9	.....

This full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal government records.

**Object Classification** (in millions of dollars)

Identification code 088-4578-0-4-804	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	56	58
11.3	Other than full-time permanent .....	1	1
11.5	Other personnel compensation .....	4	4
11.9	Total personnel compensation .....	61	63
12.1	Civilian personnel benefits .....	20	20
22.0	Transportation of things .....	1	1
23.1	Rental payments to GSA .....	42	42
23.2	Rental payments to others .....	12	12
23.3	Communications, utilities, and miscellaneous charges .....	5	5
25.1	Advisory and assistance services .....	4	4
25.2	Other services from non-Federal sources .....	2	3
25.3	Other goods and services from Federal sources .....	11	11
25.7	Operation and maintenance of equipment .....	10	10
26.0	Supplies and materials .....	2	2
31.0	Equipment .....	7	7
99.9	Total new obligations .....	177	180

**Employment Summary**

Identification code 088-4578-0-4-804	2015 actual	2016 est.	2017 est.
2001	Reimbursable civilian full-time equivalent employment .....	1,204	1,206

**Trust Funds**

**NATIONAL ARCHIVES GIFT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 088-8127-0-7-804	2015 actual	2016 est.	2017 est.
0100	Balance, start of year .....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1130	Gifts and Bequests, National Archives Gift Fund .....	1	1
1130	Interest and Dividends on Non-Federal Securities, National Archives Gift Fund .....	1	1
1130	Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund .....	2	1
1199	Total current law receipts .....	4	3
1999	Total receipts .....	4	3
2000	Total: Balances and receipts .....	4	3
<b>Appropriations:</b>			
<b>Current law:</b>			
2101	National Archives Gift Fund .....	-4	-3
5099	Balance, end of year .....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 088-8127-0-7-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801	National Archives Gift Fund (Reimbursable) .....	3	3
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	2	3

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201	Appropriation (special or trust fund) .....	4	3
1930	Total budgetary resources available .....	6	6
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	3	3

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010	Obligations incurred, unexpired accounts .....	3	3
3020	Outlays (gross) .....	-3	-3

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090	Budget authority, gross .....	4	3
<b>Outlays, gross:</b>			
4100	Outlays from new mandatory authority .....	3	2
4101	Outlays from mandatory balances .....	.....	1
4110	Outlays, gross (total) .....	3	3
4180	Budget authority, net (total) .....	4	3
4190	Outlays, net (total) .....	3	3

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	2	3
5001	Total investments, EOY: Federal securities: Par value .....	3	3
5010	Total investments, SOY: non-Fed securities: Market value .....	25	24
5011	Total investments, EOY: non-Fed securities: Market value .....	24	24

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset a portion of the operating costs of Presidential Libraries.

**Object Classification** (in millions of dollars)

Identification code 088-8127-0-7-804	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
25.2	Other services from non-Federal sources .....	1	1
32.0	Land and structures .....	1	.....
33.0	Investments and loans .....	1	1
94.0	Financial transfers .....	.....	1
99.9	Total new obligations .....	3	3

**NATIONAL ARCHIVES TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 088-8436-0-8-804	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801	Sales .....	7	7
0802	Presidential libraries .....	12	12
0900	Total new obligations .....	19	19
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	11	12
1021	Recoveries of prior year unpaid obligations .....	1	.....
1050	Unobligated balance (total) .....	12	12
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800	Collected .....	19	17
1930	Total budgetary resources available .....	31	29
<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	12	10

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3
3010	Obligations incurred, unexpired accounts .....	19	19
3020	Outlays (gross) .....	-18	-17
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....
3050	Unpaid obligations, end of year .....	3	5
<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	3	3

NATIONAL ARCHIVES TRUST FUND—Continued  
Program and Financing—Continued

Identification code 088-8436-0-8-804	2015 actual	2016 est.	2017 est.
3200 Obligated balance, end of year .....	3	5	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	19	17	18
Outlays, gross:			
4100 Outlays from new mandatory authority .....	16	14	14
4101 Outlays from mandatory balances .....	2	3	4
4110 Outlays, gross (total) .....	18	17	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	-1
4123 Non-Federal sources .....	-18	-16	-17
4130 Offsets against gross budget authority and outlays (total) ....	-19	-17	-18
4170 Outlays, net (mandatory) .....	-1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	13	15	15
5001 Total investments, EOY: Federal securities: Par value .....	15	15	15
5010 Total investments, SOY: non-Fed securities: Market value .....	24	26	26
5011 Total investments, EOY: non-Fed securities: Market value .....	26	26	26

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited to the National Archives Trust Fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 088-8436-0-8-804	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	2	2	2
26.0 Supplies and materials .....	2	2	2
32.0 Land and structures .....	1		
33.0 Investments and loans .....	3	3	3
99.9 Total new obligations .....	19	19	19

Employment Summary

Identification code 088-8436-0-8-804	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	87	99	103

NATIONAL ASSOCIATION OF REGISTERED AGENTS  
AND BROKERS

Federal Funds

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 543-5743-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Membership Fees, NARAB .....		2	55
2000 Total: Balances and receipts .....		2	55
Appropriations:			
Current law:			
2101 National Association of Registered Agents and Brokers .....		-2	-49

5099 Balance, end of year .....			6
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Program and Financing (in millions of dollars)

Identification code 543-5743-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Administrative support .....		1	1
0002 Advisory and assistant services .....			48
0900 Total new obligations .....		1	49
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		2	49
1930 Total budgetary resources available .....		2	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....		1	49
3020 Outlays (gross) .....		-1	-49
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		2	49
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	49
4180 Budget authority, net (total) .....		2	49
4190 Outlays, net (total) .....		1	49

Object Classification (in millions of dollars)

Identification code 543-5743-0-2-376	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
25.1 Advisory and assistance services .....			48
99.9 Total new obligations .....		1	49

Employment Summary

Identification code 543-5743-0-2-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....		7	7

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, **[\$8,348,000] \$8,099,000: Provided,** That one-quarter of 1 percent of the funds provided under this heading may be used for official reception and representational expenses associated with hosting international visitors engaged in the planning and physical development of world capitals. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 394-2500-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	8	8	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	8	8	8

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2
3010	Obligations incurred, unexpired accounts .....	8	8
3020	Outlays (gross) .....	-8	-8
3050	Unpaid obligations, end of year .....	2	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	2
3200	Obligated balance, end of year .....	2	2
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	8	8
Outlays, gross:			
4010	Outlays from new discretionary authority .....	7	8
4011	Outlays from discretionary balances .....	1	.....
4020	Outlays, gross (total) .....	8	8
4180	Budget authority, net (total) .....	8	8
4190	Outlays, net (total) .....	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives, policy-making, and review of development proposals, NCPC helps guide Federal development while preserving the Capital City's unique resources. In 2017, as in the past, NCPC will work with the District of Columbia and Federal and regional partners to develop comprehensive policies and planning initiatives that support the Federal interest and contribute to the best urban design, infrastructure, resource, and land-use outcomes for the Region. In addition, NCPC will continue to ensure that all Federal development in the Region meets the highest design standards and will review Federal plans for regional capital improvements.

Object Classification (in millions of dollars)			
Identification code 394-2500-0-1-451	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	4	4
12.1	Civilian personnel benefits .....	1	1
23.1	Rental payments to GSA .....	2	2
25.1	Advisory and assistance services .....	1	1
99.9	Total new obligations .....	8	8

Employment Summary			
Identification code 394-2500-0-1-451	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	33	37

## NATIONAL COUNCIL ON DISABILITY

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, **[\$3,250,000]** \$3,468,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)			
Identification code 413-3500-0-1-506	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0001	Salaries and expenses .....	1	2
0002	Other services from non-Federal sources .....	2	1
0900	Total new obligations .....	3	3
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	3	3
1930	Total budgetary resources available .....	3	3

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	.....
3010	Obligations incurred, unexpired accounts .....	3	3
3020	Outlays (gross) .....	-4	-3
3100	Unpaid obligations, end of year .....	1	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	.....
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	3	3
Outlays, gross:			
4010	Outlays from new discretionary authority .....	3	3
4011	Outlays from discretionary balances .....	1	.....
4020	Outlays, gross (total) .....	4	3
4180	Budget authority, net (total) .....	3	3
4190	Outlays, net (total) .....	4	3

The National Council on Disability (NCD), an independent federal agency, is composed of 9 members appointed by the President and Congress. Established under the Rehabilitation Act of 1973, as amended by the Workforce Innovation and Opportunity Act, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability, Independent Living, and Rehabilitation Research, and other Federal Departments and agencies.

### Object Classification (in millions of dollars)

Identification code 413-3500-0-1-506	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	1
25.2	Other services from non-Federal sources .....	2	2
99.9	Total new obligations .....	3	3

### Employment Summary

Identification code 413-3500-0-1-506	2015 actual	2016 est.	2017 est.
1001	Direct civilian full-time equivalent employment .....	11	11

## NATIONAL CREDIT UNION ADMINISTRATION

### Federal Funds

#### OPERATING FUND

### Program and Financing (in millions of dollars)

Identification code 025-4056-0-3-373	2015 actual	2016 est.	2017 est.
Obligations by program activity:			
0801	Examination and supervision .....	190	200
0803	Administration .....	76	84
0804	Office of Inspector General .....	3	4
0900	Total new obligations .....	269	288
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	89	90
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	268	284
1801	Change in uncollected payments, Federal sources .....	2	.....
1850	Spending auth from offsetting collections, mand (total) .....	270	284
1930	Total budgetary resources available .....	359	374
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	90	86
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	27	32
3010	Obligations incurred, unexpired accounts .....	269	288

OPERATING FUND—Continued  
Program and Financing—Continued

Identification code 025-4056-0-3-373	2015 actual	2016 est.	2017 est.
3020 Outlays (gross) .....	-264	-305	-284
3050 Unpaid obligations, end of year .....	32	15	31
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-60	-62	-62
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-62	-62	-62
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-33	-30	-47
3200 Obligated balance, end of year .....	-30	-47	-31
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	270	284	284
Outlays, gross:			
4100 Outlays from new mandatory authority .....	224	275	284
4101 Outlays from mandatory balances .....	40	30	
4110 Outlays, gross (total) .....	264	305	284
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-187	-203	-211
4121 Interest on Federal securities .....		-1	-1
4123 Non-Federal sources .....	-2		
4124 Offsetting governmental collections .....	-79	-80	-72
4130 Offsets against gross budget authority and outlays (total) ....	-268	-284	-284
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4170 Outlays, net (mandatory) .....	-4	21	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-4	21	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	54	58	37
5001 Total investments, EOY: Federal securities: Par value .....	58	37	37

The mission of the National Credit Union Administration (NCUA) is to provide, through regulation and supervision, a safe and sound credit union system, which promotes confidence in the national system of cooperative credit. Credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for providing and productive purposes.

NCUA, through its operating fund, conducts activities prescribed by the Federal Credit Union Act of 1934, as amended, which include: 1) chartering new federal credit unions; 2) approving field of membership applications of federal credit unions; 3) promulgating regulations and providing guidance; 4) performing regulatory compliance and safety and soundness examinations; 5) implementing and administering enforcement actions, such as prohibition orders, orders to cease and desist, and orders of conservatorship and liquidation; and 6) administering the National Credit Union Share Insurance Fund (Share Insurance Fund).

The NCUA funds its activities through operating fees levied on all Federal credit unions and through reimbursements from the Share Insurance Fund, which is funded by both Federal credit unions and Federally insured State-chartered credit unions. In 2015, NCUA chartered five new Federal credit unions, bringing the total number of Federal credit unions to 3,820 with total assets of more than \$625 billion.

Object Classification (in millions of dollars)

Identification code 025-4056-0-3-373	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	141	150	156
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	142	151	157
12.1 Civilian personnel benefits .....	54	59	62
21.0 Travel and transportation of persons .....	29	29	29
23.3 Communications, utilities, and miscellaneous charges .....	6	7	7
25.2 Other services from non-Federal sources .....	30	32	32

31.0 Equipment .....	8	10	13
99.9 Total new obligations .....	269	288	300

Employment Summary

Identification code 025-4056-0-3-373	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,219	1,239	1,239

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 025-4468-0-3-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Payments to the operating fund for services and facilities .....	239	203	211
0802 Other Administrative .....	5	3	3
0803 Working Capital .....	64	60	74
0804 Liquidation Expenses .....	63	12	15
0900 Total new obligations .....	371	278	303
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11,018	11,505	11,968
1050 Unobligated balance (total) .....	11,018	11,505	11,968
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	859	741	816
1801 Change in uncollected payments, Federal sources .....	-1		
1850 Spending auth from offsetting collections, mand (total) .....	858	741	816
1930 Total budgetary resources available .....	11,876	12,246	12,784
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11,505	11,968	12,481

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	63	
3010 Obligations incurred, unexpired accounts .....	371	278	303
3020 Outlays (gross) .....	-322	-341	-303
3050 Unpaid obligations, end of year .....	63		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-66	-65	-65
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-65	-65	-65
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-52	-2	-65
3200 Obligated balance, end of year .....	-2	-65	-65

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	858	741	816
Outlays, gross:			
4100 Outlays from new mandatory authority .....	310	278	303
4101 Outlays from mandatory balances .....	12	63	
4110 Outlays, gross (total) .....	322	341	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2		
4121 Interest on Federal securities .....	-248	-251	-380
4123 Non-Federal sources .....	-143	-490	-436
4124 Offsetting governmental collections .....	-466		
4130 Offsets against gross budget authority and outlays (total) ....	-859	-741	-816
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4170 Outlays, net (mandatory) .....	-537	-400	-513
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-537	-400	-513

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	11,024	11,584	11,984
5001 Total investments, EOY: Federal securities: Par value .....	11,584	11,984	12,497

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 025-4468-0-3-373	2015 actual	2016 est.	2017 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	10	10	4
2231 Disbursements of new guaranteed loans .....	10	4	4
2251 Repayments and prepayments .....	-10	-10	-4
2290 Outstanding, end of year .....	10	4	4
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	10	4	4

The primary purpose of the National Credit Union Share Insurance Fund is to provide insurance for deposits of member accounts (also known as insured member shares) in Federal credit unions and State-chartered credit unions that apply and qualify for insurance under the Federal Credit Union Act. As of September 30, 2015, 6,102 State and Federal credit unions were insured by the Share Insurance Fund with insured member shares of \$940 billion—an increase of \$44 billion, or five percent, from 2014.

Following a cost allocation method that distributes National Credit Union Administration (NCUA) costs between its insurance and regulatory functions, the Share Insurance Fund reimburses the NCUA operating fund for its share of administrative costs. In 2015, the Share Insurance Fund paid reimbursements of \$187 million to the operating fund. For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

**Object Classification** (in millions of dollars)

Identification code 025-4468-0-3-373	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....	244	203	211
42.0 Insurance claims and indemnities .....	63	15	18
42.0 Insurance claims and indemnities .....	64	60	74
99.9 Total new obligations .....	371	278	303

**TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND****Program and Financing** (in millions of dollars)

Identification code 025-4477-0-3-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0002 Interest on borrowings .....	4	22	37
0003 Administrative .....	23	16	3
0799 Total direct obligations .....	27	38	40
0900 Total new obligations .....	27	38	40
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,647	3,981	4,516
1050 Unobligated balance (total) .....	3,647	3,981	4,516
<b>Budget authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	300		
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	361	573	378
1825 Spending authority from offsetting collections applied to repay debt .....	-300		
1850 Spending auth from offsetting collections, mand (total) .....	61	573	378
1900 Budget authority (total) .....	361	573	378
1930 Total budgetary resources available .....	4,008	4,554	4,894
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3,981	4,516	4,854
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	1
3010 Obligations incurred, unexpired accounts .....	27	38	40
3020 Outlays (gross) .....	-27	-41	-40

3050 Unpaid obligations, end of year .....	4	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	4	1
3200 Obligated balance, end of year .....	4	1	1

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	361	573	378
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	27	38	40
4101 Outlays from mandatory balances .....		3	
4110 Outlays, gross (total) .....	27	41	40
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-357	-573	-378
4124 Offsetting governmental collections .....	-4		
4130 Offsets against gross budget authority and outlays (total) .....	-361	-573	-378
4170 Outlays, net (mandatory) .....	-334	-532	-338
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-334	-532	-338

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	247	283	215
5001 Total investments, EOY: Federal securities: Par value .....	283	215	253

**Status of Direct Loans** (in millions of dollars)

Identification code 025-4477-0-3-373	2015 actual	2016 est.	2017 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	2,600	2,300	1,700
1251 Repayments: Repayments and prepayments .....	-300	-600	-300
1290 Outstanding, end of year .....	2,300	1,700	1,400

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 025-4477-0-3-373	2015 actual	2016 est.	2017 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	21,640	18,845	15,195
2251 Repayments and prepayments .....	-2,795	-3,650	-3,650
2290 Outstanding, end of year .....	18,845	15,195	11,545
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	12,408	15,195	11,545

The Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) was created under the Helping Families Save Their Homes Act of 2009 (P.L. 111-22). The Stabilization Fund was established to accrue the losses of the corporate credit unions during the financial crisis that began in 2008 and to recover such losses over time through mitigation efforts and assessments on federally insured credit unions. As of June 30, 2015, the remaining resolution costs of corporate credit union failures are projected to range from approximately \$1.9 to \$3.8 billion. Federally insured credit unions have already paid assessments totaling \$4.8 billion.

In September 2010, with the concurrence of the U.S. Treasury, NCUA extended the sunset of the Stabilization Fund through FY 2021. For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

**Object Classification** (in millions of dollars)

Identification code 025-4477-0-3-373	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	21	14	1
43.0 Interest and dividends .....	4	22	37
99.0 Direct obligations .....	26	37	39
42.0 Reimbursable obligations: Insurance claims and indemnities .....	1	1	1
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	27	38	40

TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND—Continued

Employment Summary

Identification code 025-4477-0-3-373	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	5	5	5

CENTRAL LIQUIDITY FACILITY

Program and Financing (in millions of dollars)

Identification code 025-4470-0-3-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Administration .....	1	1	1
0809 Reimbursable program activities, subtotal .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	222	245	253
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash, CCU Guarantee Program) .....	24		
1800 Offsetting collections (interest) .....		2	2
1800 Collected (subscribed stock) .....		7	7
1850 Spending auth from offsetting collections, mand (total) .....	24	9	9
1930 Total budgetary resources available .....	246	254	262
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	245	253	261
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-1	-1
3200 Obligated balance, end of year .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	24	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-2	-1	-1
4123 Non-Federal sources .....	-22	-8	-8
4130 Offsets against gross budget authority and outlays (total) .....	-24	-9	-9
4170 Outlays, net (mandatory) .....	-23	-8	-8
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-23	-8	-8
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	223	246	250
5001 Total investments, EOY: Federal securities: Par value .....	246	250	250

The purpose of the Central Liquidity Facility (CLF), established under Title III of the Federal Credit Union Act, is to improve the general financial stability of credit unions by meeting their liquidity needs through short-term, seasonal and/or protracted adjustment credit and thereby encourage savings, support consumer and mortgage lending, and provide basic financial resources to all segments of the economy. The two primary sources of funds for the CLF are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. As a Federal contingent liquidity source, CLF provides backup funding to its members and in turn adds a measure of stability and confidence to the credit union system. The borrowing authority of the CLF currently stands at \$5.5 billion.

Employment Summary

Identification code 025-4470-0-3-373	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND

For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, \$2,000,000 shall be available until September 30, [2017] 2018, for technical assistance to low-income designated credit unions. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 025-4472-0-3-373	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Technical assistance .....	2	2	2
0801 Loans .....	1	2	2
0900 Total new obligations .....	3	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	6	6
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		2	2
1900 Budget authority (total) .....	2	4	4
1930 Total budgetary resources available .....	9	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-2	-4	-4
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	2
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	1	2	2
Mandatory:			
4090 Budget authority, gross .....		2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	2
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	1	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-2	-2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	6	6	4
5001 Total investments, EOY: Federal securities: Par value .....	6	4	2

Status of Direct Loans (in millions of dollars)

Identification code 025-4472-0-3-373	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	8	11	13
1231 Disbursements: Direct loan disbursements .....	3	2	2
1290 Outstanding, end of year .....	11	13	15

The Community Development Revolving Loan Fund (CDRLF) was established by Congress under Section 130 of the Federal Credit Union Act with a \$6 million appropriation to assist credit unions serving low-income communities to: 1) provide financial services to their communities; 2) stimulate economic activities in their communities, resulting in increased income and employment; and 3) operate more efficiently. CDRLF funds a revolving loan program and a technical assistance program. Since the initial loan program appropriation in 1979, Congress has appropriated an additional \$13.4 million for the revolving loan program and approximately \$15 million for the technical assistance program. Credit unions use the loan and technical assistance funds to increase financial services to their communities, including financial counseling, new products, and enhanced electronic services. As of September 30, 2015, CDRLF's revolving loan portfolio had \$8.9 million in outstanding loans (25 loans outstanding to 25 credit unions). In 2015, CDRLF made 378 technical assistance grants totaling \$2.5 million from the multi-year appropriations received. As of September 30, 2015, total CDRLF assets, including interest earned and appropriations, were \$17.8 million.

Object Classification (in millions of dollars)				
Identification code 025-4472-0-3-373	2015 actual	2016 est.	2017 est.	
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2	
33.0 Reimbursable obligations: Investments and loans .....	1	2	2	
99.0 Reimbursable obligations .....	1	2	2	
99.9 Total new obligations .....	3	4	4	

## NATIONAL ENDOWMENT FOR THE ARTS

### Federal Funds

#### GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, **[\$147,949,000]** \$149,849,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

#### Program and Financing (in millions of dollars)

Identification code 417-0100-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the arts .....	117	125	121
0003 Program support .....	3	2	2
0004 Salaries and expenses .....	28	32	30
0799 Total direct obligations .....	148	159	153
0801 Reimbursable program activity .....	1	1	1
0900 Total new obligations .....	149	160	154
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	14	13	3
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	15	14	4
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	146	148	150
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	147	149	151
1930 Total budgetary resources available .....	162	163	155
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	13	3	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	131	131	137

3010 Obligations incurred, unexpired accounts .....	149	160	154
3020 Outlays (gross) .....	-148	-153	-152
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	131	137	138
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	130	130	136
3200 Obligated balance, end of year .....	130	136	137

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	147	149	151
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	53	50	51
4011 Outlays from discretionary balances .....	95	103	101
4020 Outlays, gross (total) .....	148	153	152
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	146	148	150
4190 Outlays, net (total) .....	147	152	151

The mission of the National Endowment for the Arts is to strengthen the creative capacity of our communities by providing all Americans with diverse opportunities for arts participation. As the independent federal agency that supports and funds the arts in America, the National Endowment for the Arts achieves its mission primarily through grant programs, special initiatives and honorific awards. The agency partners closely with the nation's state and regional arts organizations, as well as private partners, leveraging resources to provide more funding and programs across the country. In 2017, the National Endowment for the Arts will continue to implement the *NEA Military Healing Arts Partnership*, a collaboration with the Department of Defense to promote understanding and utilization of the Healing Arts to assist recovery and reintegration efforts of our nation's service members who have been affected by traumatic brain injury, post-traumatic stress, and other psychological health conditions as a result of their service. In 2017, support will also continue for *Our Town*, an initiative that invests in arts and culture projects that address communities' priorities by linking local governments with arts organizations, artists, and designers to improve quality of life and revitalize local economies.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, also authorizes the National Endowment for the Arts to receive money and other donated property; such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. This presentation also includes the Arts and Artifacts Indemnity Fund, which the National Endowment for the Arts administers on behalf of the Federal Council on the Arts and the Humanities.

#### Object Classification (in millions of dollars)

Identification code 417-0100-0-1-503	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	13	15	15
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	15	17	17
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	3	4	3
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	116	124	120
99.0 Direct obligations .....	145	156	151
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	3	3	2
99.9 Total new obligations .....	149	160	154

GRANTS AND ADMINISTRATION—Continued  
**Employment Summary**

Identification code 417-0100-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	151	162	162

**Trust Funds**

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 417-8040-0-7-503	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Donations, National Endowment for the Arts .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Gifts and Donations, National Endowment for the Arts .....	-1	-1	-1
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 417-8040-0-7-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0102 Permanent authority .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....		-1	-1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....		1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1		

**NATIONAL ENDOWMENT FOR THE HUMANITIES**

**Federal Funds**

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, **[\$147,942,000]** \$149,848,000, to remain available until expended, of which **[\$137,042,000]** \$139,148,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and **[\$10,900,000]** \$10,700,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act,

including \$8,500,000 for the purposes of section 7(h): *Provided*, That appropriations for carrying out section 10(a)(2) shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, devises of money, and other property accepted by the chairman or by grantees of the National Endowment for the Humanities under the provisions of sections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 417-0200-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....	149	128	123
0004 Administration .....		28	27
0900 Total new obligations .....	149	156	150
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	6	
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	9	8	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	146	148	150
1900 Budget authority (total) .....	146	148	150
1930 Total budgetary resources available .....	155	156	152
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6		2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	140	141	140
3010 Obligations incurred, unexpired accounts .....	149	156	150
3020 Outlays (gross) .....	-147	-155	-150
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	141	140	138
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	140	141	140
3200 Obligated balance, end of year .....	141	140	138
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	146	148	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....	66	74	75
4011 Outlays from discretionary balances .....	81	81	75
4020 Outlays, gross (total) .....	147	155	150
4180 Budget authority, net (total) .....	146	148	150
4190 Outlays, net (total) .....	147	155	150

The National Endowment for the Humanities (NEH) supports education, scholarship, and research and development in the humanities; preserves America's cultural and intellectual resources; and provides opportunities for all Americans to engage in learning in the humanities. In 2017, NEH will continue to support partnerships with state humanities councils; the strengthening of humanities teaching and learning in the nation's schools and institutions of higher education; basic research and original scholarship in the humanities; innovative use of digital information technology; efforts to preserve and increase access to books, U.S. newspapers, documents, and other reference materials; and museum exhibitions, documentary films, radio programming, and reading programs that reach millions of Americans. In 2017, NEH will continue support for a special initiative, *"The Common Good: The Humanities in the Public Square"*, designed to enhance the scope and significance of the humanities and the role that humanities scholarship can play in our nation's public life.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, educational institutions, libraries, archives, museums, historical organizations, and other scholarly and cultural institutions and organizations. Support is also provided to individuals for advanced research and scholarship in the humanities.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

**Object Classification** (in millions of dollars)

Identification code 417-0200-0-1-503	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	16	16
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	4	4	4
41.0 Grants, subsidies, and contributions .....	121	128	122
99.9 Total new obligations .....	149	156	150

**Employment Summary**

Identification code 417-0200-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	154	154	152

**Trust Funds**

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 417-8050-0-7-503	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Gifts and Donations, National Endowment for the Humanities .....		1	1
2000 Total: Balances and receipts .....		1	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Gifts and Donations, National Endowment for the Humanities .....		-1	-1
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 417-8050-0-7-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....		1	1
0900 Total new obligations (object class 41.0) .....		1	1
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....		1	1
1930 Total budgetary resources available .....		1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....		1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		1	1
4180 Budget authority, net (total) .....		1	1

4190 Outlays, net (total) .....	1	1
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**ADMINISTRATIVE PROVISIONS**

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: *Provided further*, That the Chairperson of the National Endowment for the Arts may approve grants of up to \$10,000, if in the aggregate the amount of such grants does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: *Provided further*, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**NATIONAL INFRASTRUCTURE BANK**

*Federal Funds*

NATIONAL INFRASTRUCTURE BANK PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 538-3740-4-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0701 Direct loan subsidy .....			123
0702 Loan guarantee subsidy .....			30
0709 Administrative expenses .....			14
0900 Total new obligations .....			167

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			10,000
1930 Total budgetary resources available .....			10,000
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			9,833

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			167
3020 Outlays (gross) .....			-33
3050 Unpaid obligations, end of year .....			134
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			134

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....			10,000
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			33
4180 Budget authority, net (total) .....			10,000
4190 Outlays, net (total) .....			33

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 538-3740-4-1-452	2015 actual	2016 est.	2017 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Infrastructure Direct Loans (Legislative Proposal) .....			1,000
<b>Direct loan subsidy (in percent):</b>			
132001 Infrastructure Direct Loans (Legislative Proposal) .....			12.26
132999 Weighted average subsidy rate .....	0.00	0.00	12.26
<b>Direct loan subsidy budget authority:</b>			
133001 Infrastructure Direct Loans (Legislative Proposal) .....			123
<b>Direct loan subsidy outlays:</b>			
134001 Infrastructure Direct Loans (Legislative Proposal) .....			14
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Infrastructure Loan Guarantees (Legislative Proposal) .....			200

NATIONAL INFRASTRUCTURE BANK PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 538-3740-4-1-452	2015 actual	2016 est.	2017 est.
Guaranteed loan subsidy (in percent):			
232001 Infrastructure Loan Guarantees (Legislative Proposal)			14.83
Guaranteed loan subsidy budget authority:			
232999 Weighted average subsidy rate	0.00	0.00	14.83
Guaranteed loan subsidy outlays:			
233001 Infrastructure Loan Guarantees (Legislative Proposal)			30
234001 Infrastructure Loan Guarantees (Legislative Proposal)			3
Administrative expense data:			
3510 Budget authority			14
3590 Outlays from new authority			14

To direct Federal resources for infrastructure to projects that demonstrate the most merit and may be difficult to fund under the current patchwork of Federal programs, the President has called for the creation of an independent, non-partisan National Infrastructure Bank (NIB), led by infrastructure and financial experts. The NIB would offer broad eligibility and unbiased selection for transportation, water, and energy infrastructure projects. Projects would have a clear public benefit, meet rigorous economic, technical and environmental standards, and be backed by a dedicated revenue stream. Geographic, sector, and size considerations would also be taken into account. Interest rates on loans issued by the NIB would be indexed to United States Treasury rates, and the maturity could be extended up to 35 years, giving the NIB the ability to be a patient partner side-by-side with State, local, and private co-investors. To maximize leverage from Federal investments, the NIB would finance no more than 50 percent of the total costs of any project.

Object Classification (in millions of dollars)

Identification code 538-3740-4-1-452	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			10
25.1 Advisory and assistance services			2
25.2 Other services from non-Federal sources			2
33.0 Investments and loans			153
99.9 Total new obligations			167

Employment Summary

Identification code 538-3740-4-1-452	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment			68

NATIONAL INFRASTRUCTURE BANK DIRECT LOAN FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 538-4427-4-3-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations			1,000
0713 Payment of interest to Treasury			1
0900 Total new obligations			1,001
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			97
Spending authority from offsetting collections, mandatory:			
1800 Collected			14
1900 Budget authority (total)			111
1930 Total budgetary resources available			111
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-890

Change in obligated balance:

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts			1,001
3020 Outlays (gross)			-112
3050 Unpaid obligations, end of year			889
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			889

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross			111
Financing disbursements:			
4110 Outlays, gross (total)			112
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources			-14
4180 Budget authority, net (total)			97
4190 Outlays, net (total)			98

Status of Direct Loans (in millions of dollars)

Identification code 538-4427-4-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			1,000
1150 Total direct loan obligations			1,000
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			111
1290 Outstanding, end of year			111

NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 538-4428-4-3-452	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			3
1900 Budget authority (total)			3
1930 Total budgetary resources available			3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			3
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross			3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources			-3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-3

Status of Guaranteed Loans (in millions of dollars)

Identification code 538-4428-4-3-452	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			200
2150 Total guaranteed loan commitments			200
2199 Guaranteed amount of guaranteed loan commitments			160
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			
2231 Disbursements of new guaranteed loans			19
2290 Outstanding, end of year			19
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			18

**NATIONAL LABOR RELATIONS BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other laws, **[\$274,224,000]** \$274,695,000: *Provided*, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 420-0100-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Casehandling .....	230	228	194
0002 Administrative Law Judges .....	11	13	7
0003 Board Adjudication .....	24	27	16
0004 Securing compliance with Board orders .....	8	5	.....
0005 Internal Review .....	1	1	1
0006 Mission Support .....	.....	.....	57
0900 Total new obligations .....	274	274	275
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	274	274	275
1930 Total budgetary resources available .....	274	274	275
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	29	29
3010 Obligations incurred, unexpired accounts .....	274	274	275
3011 Obligations incurred, expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-271	-274	-275
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	29	29	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	29	29
3200 Obligated balance, end of year .....	29	29	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	274	274	275
Outlays, gross:			
4010 Outlays from new discretionary authority .....	254	252	253
4011 Outlays from discretionary balances .....	17	22	22
4020 Outlays, gross (total) .....	271	274	275
4180 Budget authority, net (total) .....	274	274	275
4190 Outlays, net (total) .....	271	274	275

The Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

	2015 actual	2016 est.	2017 est.
<b>Case intake:</b>			
Unfair labor practice cases .....	20199	20475	20475
Representation cases .....	2822	2825	2825
<b>Administrative law judges:</b>			
Hearings closed .....	191	208	218
Decisions issued .....	202	201	211
<b>Board adjudication:</b>			
Contested Board decisions issued .....	316	347	365
Regional director decisions .....	233	270	270
Board decisions requiring court enforcement .....	51	55	60

*Casehandling (formerly Field investigations in 2015 and earlier).*—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Ap-

proximately 90–96 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

*Administrative law judge hearing.*—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

*Board adjudication.*—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

*Securing compliance with Board orders (activities moved to Casehandling and Mission support).*—Unlike other Federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

*Internal Review.*—Office of the Inspector General.

*Mission Support.*—Previously spread across other program activities; includes administrative, personnel, and financial management functions conducted in the Headquarters office.

**Object Classification** (in millions of dollars)

Identification code 420-0100-0-1-505	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	165	167	168
12.1 Civilian personnel benefits .....	49	50	50
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	26	25	27
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services from non-Federal sources .....	25	22	20
26.0 Supplies and materials .....	.....	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	274	274	275

**Employment Summary**

Identification code 420-0100-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,587	1,600	1,596

ADMINISTRATIVE PROVISIONS

**[SEC. 408.** None of the funds provided by this Act or previous Acts making appropriations for the National Labor Relations Board may be used to issue any new administrative directive or regulation that would provide employees any means of voting through any electronic means in an election to determine a representative for the purposes of collective bargaining.] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**NATIONAL MEDIATION BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, **[\$13,230,000]** \$13,300,000.

SALARIES AND EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 421-2400-0-1-505	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Mediator services .....	7	7	7
0002 Representation services .....	3	3	3
0003 Arbitration services .....	3	3	3
0900 Total new obligations .....	13	13	13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1930 Total budgetary resources available .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 Obligations incurred, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	-12	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	12	12
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	12	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	12	13	13

*Mediator and alternative dispute resolution (ADR) services.*—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and non-economic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2015 actual	2016 est.	2017 est.
Mediation & ADR cases:			
Pending, start of year .....	123	120	124
Received during year .....	111	94	94
Closed during year .....	118	90	90
Pending, end of year .....	116	124	128

*Employee Representation.*—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2015 actual	2016 est.	2017 est.
Representation cases:			
Pending, start of year .....	3	8	3
Received during year .....	33	35	37
Closed during year .....	28	40	39
Pending, end of year .....	8	3	1
Freedom of Information Act (FOIA) requests received .....	36	37	38
Investigation cases closed .....	35	36	37

*Emergency disputes.*—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2015 actual	2016 est.	2017 est.
Board created:			
Emergency (sec. 160) .....	0	1	1

Emergency (sec. 159a) .....	3	1	1
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*Arbitration services.*—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2015 actual	2016 est.	2017 est.
Arbitration cases:			
Pending, start of year .....	5133	6247	7590
Received during year .....	3816	4167	4167
Closed during year .....	2702	2824	2824
Pending, end of year .....	6247	7590	8933

Object Classification (in millions of dollars)

Identification code 421-2400-0-1-505	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6	6	6
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.0 Direct obligations .....	12	12	12
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations .....	13	13	13

Employment Summary

Identification code 421-2400-0-1-505	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	44	51	51

NATIONAL RAILROAD PASSENGER CORPORATION  
OFFICE OF INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, [ \$24,499,000 ] \$23,274,000: *Provided*, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within the Corporation: *Provided further*, That concurrent with the President's budget request for fiscal year 2017, the Inspector General shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2017 in similar format and substance to those submitted by executive agencies of the Federal Government.

(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 575-2996-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment to Amtrak IG .....	22	24	23
0900 Total new obligations (object class 41.0) .....	22	24	23
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24	24	23
1930 Total budgetary resources available .....	24	24	23
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	
3010 Obligations incurred, unexpired accounts .....	22	24	23
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-23	-27	-23
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24	24	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	24	23
4011 Outlays from discretionary balances .....	4	3	
4020 Outlays, gross (total) .....	23	27	23
4180 Budget authority, net (total) .....	24	24	23
4190 Outlays, net (total) .....	23	27	23

The 2017 Budget proposes \$23.274 million for the National Railroad Passenger Corporation (Amtrak) Office of Inspector General (OIG).

**NATIONAL TRANSPORTATION SAFETY BOARD**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), **[\$105,170,000] \$106,000,000**, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 424-0310-0-1-407	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Policy and Direction .....	13	14	15
0002 Communications .....	6	6	6
0003 Aviation Safety .....	30	32	32
0004 Information Technology and Services .....	9	7	7
0005 Research and Engineering .....	14	12	13
0006 NTSB Training Center .....	1	1	1
0007 Administrative Law Judges .....	2	2	2
0008 Highway Safety .....	6	7	7
0009 Marine Safety .....	4	6	5
0010 Railroad, Pipeline, and Hazardous Materials Safety .....	8	9	9
0011 Administrative Support .....	9	9	9
0100 Sub-total, Direct obligations .....	102	105	106

0799 Total direct obligations .....	102	105	106
0806 Training Center .....	1	1	1
0811 Subleases .....	1	1	1
0899 Total reimbursable obligations .....	2	2	2
0900 Total new obligations .....	104	107	108

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	104	105	106
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1900 Budget authority (total) .....	106	107	108
1930 Total budgetary resources available .....	112	113	114
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	6	6	6

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	19	19
3010 Obligations incurred, unexpired accounts .....	104	107	108
3011 Obligations incurred, expired accounts .....	2		
3020 Outlays (gross) .....	-101	-107	-108
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	19	19	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	19	19
3200 Obligated balance, end of year .....	19	19	19

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	106	107	108
Outlays, gross:			
4010 Outlays from new discretionary authority .....	88	86	87
4011 Outlays from discretionary balances .....	13	21	21
4020 Outlays, gross (total) .....	101	107	108
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ...	-2	-2	-2
4070 Budget authority, net (discretionary) .....	104	105	106
4080 Outlays, net (discretionary) .....	99	105	106
4180 Budget authority, net (total) .....	104	105	106
4190 Outlays, net (total) .....	99	105	106

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2017, the Administration proposes a total funding level of \$106 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

**Object Classification** (in millions of dollars)

Identification code 424-0310-0-1-407	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	49	49	50
11.3 Other than full-time permanent .....	2	3	3
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	53	55	56
12.1 Civilian personnel benefits .....	16	17	17
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	9	9	9
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	16	15	15
31.0 Equipment .....	2	3	3

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 424-0310-0-1-407	2015 actual	2016 est.	2017 est.
99.0 Direct obligations .....	102	105	106
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	104	107	108

Employment Summary

Identification code 424-0310-0-1-407	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	418	423	423

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 424-0311-0-1-407	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The National Transportation Safety Board is mandated by the Congress to investigate all catastrophic transportation accidents and; therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2017.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$135,000,000, of which \$5,000,000 shall be for a multi-family rental housing program: *Provided*, That in addition, \$40,000,000 shall be made available until expended to the Neighborhood Reinvestment Corporation for mortgage foreclosure mitigation activities, under the following terms and conditions:

[(1) The Neighborhood Reinvestment Corporation (NRC) shall make grants to counseling intermediaries approved by the Department of Housing and Urban Development (HUD) (with match to be determined by NRC based on affordability and the economic conditions of an area; a match also may be waived by NRC based on the aforementioned conditions) to provide mortgage foreclosure mitigation assistance primarily to States and areas with high rates of defaults and foreclosures to help eliminate the default and foreclosure of mortgages of owner-occupied single-family homes that are at risk of such foreclosure. Other than areas with high rates of defaults and foreclosures, grants may also be provided to approved counseling intermediaries based on a geographic analysis of the Nation by NRC which determines where there is a prevalence of mortgages that are risky and likely to fail, including any trends for mortgages that are likely to default and face foreclosure. A State Housing Finance Agency may also be eligible where the State Housing Finance Agency meets all the requirements under this paragraph. A HUD-approved counseling intermediary shall meet certain mortgage foreclosure mitigation assistance counseling requirements, as determined by NRC, and shall be approved by HUD or NRC as meeting these requirements.]

[(2) Mortgage foreclosure mitigation assistance shall only be made available to homeowners of owner-occupied homes with mortgages in default or in danger of default. These mortgages shall likely be subject to a foreclosure action and

homeowners will be provided such assistance that shall consist of activities that are likely to prevent foreclosures and result in the long-term affordability of the mortgage retained pursuant to such activity or another positive outcome for the homeowner. No funds made available under this paragraph may be provided directly to lenders or homeowners to discharge outstanding mortgage balances or for any other direct debt reduction payments.]

[(3) The use of mortgage foreclosure mitigation assistance by approved counseling intermediaries and State Housing Finance Agencies shall involve a reasonable analysis of the borrower's financial situation, an evaluation of the current value of the property that is subject to the mortgage, counseling regarding the assumption of the mortgage by another non-Federal party, counseling regarding the possible purchase of the mortgage by a non-Federal third party, counseling and advice of all likely restructuring and refinancing strategies or the approval of a work-out strategy by all interested parties.]

[(4) NRC may provide up to 15 percent of the total funds under this paragraph to its own charter members with expertise in foreclosure prevention counseling, subject to a certification by NRC that the procedures for selection do not consist of any procedures or activities that could be construed as a conflict of interest or have the appearance of impropriety.]

[(5) HUD-approved counseling entities and State Housing Finance Agencies receiving funds under this paragraph shall have demonstrated experience in successfully working with financial institutions as well as borrowers facing default, delinquency and foreclosure as well as documented counseling capacity, outreach capacity, past successful performance and positive outcomes with documented counseling plans (including post mortgage foreclosure mitigation counseling), loan workout agreements and loan modification agreements. NRC may use other criteria to demonstrate capacity in underserved areas.]

[(6) Of the total amount made available under this paragraph, up to \$2,000,000 may be made available to build the mortgage foreclosure and default mitigation counseling capacity of counseling intermediaries through NRC training courses with HUD-approved counseling intermediaries and their partners, except that private financial institutions that participate in NRC training shall pay market rates for such training.]

[(7) Of the total amount made available under this paragraph, up to 5 percent may be used for associated administrative expenses for NRC to carry out activities provided under this section.]

[(8) Mortgage foreclosure mitigation assistance grants may include a budget for outreach and advertising, and training, as determined by NRC.]

[(9) NRC shall continue to report bi-annually to the House and Senate Committees on Appropriations as well as the Senate Banking Committee and House Financial Services Committee on its efforts to mitigate mortgage default] \$140,000,000. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 082-1300-0-1-451	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Payment for operations and grants .....	135	135	140
0002 Foreclosure Prevention .....	50	40	
0900 Total new obligations (object class 41.0) .....	185	175	140
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	185	175	140
1930 Total budgetary resources available .....	185	175	140
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	185	175	140
3020 Outlays (gross) .....	-185	-175	-140
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	185	175	140
Outlays, gross:			
4010 Outlays from new discretionary authority .....	185	175	140
4180 Budget authority, net (total) .....	185	175	140
4190 Outlays, net (total) .....	185	175	140

The Neighborhood Reinvestment Corporation (NRC), doing business as "NeighborWorks America," was established by Federal charter in 1978 as a community/public/private partnership providing financial support, tech-

nical assistance, and training for affordable housing and community-based revitalization efforts nationwide. Through its core activities, NRC supports more than 3,000 non-profit organizations and municipalities across the United States, including more than 245 chartered community-based non-profit organizations that comprise the NeighborWorks network, by providing operating and capital resources to support the development and preservation of affordable homes and improvements to their communities. NRC also provides professional training and certification, symposiums and promotion of industry standards. The Budget proposes \$140 million for NRC operations and grants to network members.

**NORTHERN BORDER REGIONAL COMMISSION**

*Federal Funds*

NORTHERN BORDER REGIONAL COMMISSION

For expenses necessary for the Northern Border Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, **[\$7,500,000]** \$5,000,000, to remain available until expended: *Provided*, That such amounts shall be available for administrative expenses, notwithstanding section 15751(b) of title 40, United States Code. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 573-3742-0-1-452	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Northern Border Regional Commission .....	4	7	6
0900 Total new obligations (object class 41.0) .....	4	7	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	8	5
1930 Total budgetary resources available .....	10	14	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	7	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	4	2
3010 Obligations incurred, unexpired accounts .....	4	7	6
3020 Outlays (gross) .....	-2	-9	-5
3050 Unpaid obligations, end of year .....	4	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	4	2
3200 Obligated balance, end of year .....	4	2	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	8	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	4	4
4011 Outlays from discretionary balances .....	2	2	1
4020 Outlays, gross (total) .....	2	9	5
4180 Budget authority, net (total) .....	5	8	5
4190 Outlays, net (total) .....	2	9	5

The Northern Border Regional Commission (NBRC), authorized by P.L. 110-234, was established as a Federal-State partnership to provide a comprehensive approach to addressing persistent economic distress in the northern border region. Covering portions of Maine, New Hampshire, New York, and Vermont, NBRC helps coordinate Federal efforts to develop the basic building blocks for economic development, such as transportation and basic public infrastructure, job skills training, and business development.

**NUCLEAR REGULATORY COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Commission in carrying out the purposes of the Energy Reorganization Act of 1974 and the Atomic Energy Act of 1954, **[\$990,000,000]** \$970,163,000, including official representation expenses not to exceed \$25,000, to remain available until expended: *Provided*, That of the amount appropriated herein, not more than **[\$7,500,000]** \$9,500,000 may be made available for salaries, travel, and other support costs for the Office of the Commission, to remain available until September 30, **[2017]**, of which, notwithstanding section 201(a)(2)(c) of the Energy Reorganization Act of 1974 (42 U.S.C. 5841(a)(2)(c)), the use and expenditure shall only be approved by a majority vote of the Commission **[2018]**: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$872,864,000]** \$851,161,000 in fiscal year **[2016]** 2017 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That of the amounts appropriated under this heading, not less than \$5,000,000 shall be for activities related to the development of regulatory infrastructure for advanced nuclear reactor technologies, and \$5,000,000 of that amount shall not be available from fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2016]** 2017 so as to result in a final fiscal year **[2016]** 2017 appropriation estimated at not more than **[\$117,136,000]**: *Provided further*, That of the amounts appropriated under this heading, \$10,000,000 shall be for university research and development in areas relevant to their respective organization's mission, and \$5,000,000 shall be for a Nuclear Science and Engineering Grant Program that will support multiyear projects that do not align with programmatic missions but are critical to maintaining the discipline of nuclear science and engineering **[\$119,002,000]**. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 031-0200-0-1-276	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2	903	1,776
Receipts:			
Current law:			
1120 Nuclear Facility Fees, Nuclear Regulatory Commission .....	911	841	819
1120 Nuclear Facility Fees, Nuclear Regulatory Commission .....		42	42
1199 Total current law receipts .....	911	883	861
1999 Total receipts .....	911	883	861
2000 Total: Balances and receipts .....	913	1,786	2,637
Appropriations:			
Current law:			
2101 Office of Inspector General .....	-10	-10	-10
5099 Balance, end of year .....	903	1,776	2,627

**Program and Financing** (in millions of dollars)

Identification code 031-0200-0-1-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Nuclear Reactor Safety .....	825	760	757
0005 Nuclear Materials and Waste Safety .....	211	172	171
0007 Decommissioning and Low-Level Waste .....		43	42
0010 Integrated University Program .....		15	
0799 Total direct obligations .....	1,036	990	970
0801 Salaries and Expenses (Reimbursable) .....	5	6	5
0900 Total new obligations .....	1,041	996	975
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	26	45
1021 Recoveries of prior year unpaid obligations .....	5	14	14
1050 Unobligated balance (total) .....	56	40	59
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund) .....	128	117	119
1101 Appropriation (NRC receipts) .....	875	873	851
1160 Appropriation, discretionary (total) .....	1,003	990	970

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 031-0200-0-1-276	2015 actual	2016 est.	2017 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8	11	11
1900 Budget authority (total) .....	1,011	1,001	981
1930 Total budgetary resources available .....	1,067	1,041	1,040
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	45	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	325	326	271
3010 Obligations incurred, unexpired accounts .....	1,041	996	975
3020 Outlays (gross) .....	-1,035	-1,037	-985
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-14	-14
3050 Unpaid obligations, end of year .....	326	271	247
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	323	324	269
3200 Obligated balance, end of year .....	324	269	245
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,011	1,001	981
Outlays, gross:			
4010 Outlays from new discretionary authority .....	802	754	738
4011 Outlays from discretionary balances .....	233	283	247
4020 Outlays, gross (total) .....	1,035	1,037	985
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-5	-5
4033 Non-Federal sources .....	-7	-6	-6
4040 Offsets against gross budget authority and outlays (total) ....	-8	-11	-11
4070 Budget authority, net (discretionary) .....	1,003	990	970
4080 Outlays, net (discretionary) .....	1,027	1,026	974
4180 Budget authority, net (total) .....	1,003	990	970
4190 Outlays, net (total) .....	1,027	1,026	974

**Nuclear Reactor Safety.**—The Nuclear Reactor Safety Program of the U.S. Nuclear Regulatory Commission (NRC) encompasses licensing, regulating, and overseeing civilian nuclear power, research and test reactors, and medical isotope facilities in a manner that adequately protects public health and safety and the environment. This program also provides high assurance of the physical security of facilities and protection against radiological sabotage. This program contributes to the NRC's Safety and Security strategic goals through the activities of the Operating Reactors and New Reactors Business Lines that regulate existing and new nuclear reactors to ensure their safe operation and physical security.

**Nuclear Materials and Waste Safety.**—The Nuclear Materials and Safety Program reflects the U.S. Nuclear Regulatory Commission's (NRC's) effort to license, regulate, and oversee nuclear materials in a manner that adequately protects the public health and safety and the environment. This program provides assurance of physical security of the most risk-significant materials and waste and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, and academic); and spent fuel storage; spent fuel and material transportation packaging; decontamination and decommissioning of facilities; and low-level and high-level radioactive waste. This program contributes to the NRC's Safety and Security strategic goals through the activities of the Fuel Facilities, Nuclear Materials Users, Spent Fuel Storage and Transportation, and Decommissioning and Low-Level Waste Business Lines.

Object Classification (in millions of dollars)

Identification code 031-0200-0-1-276	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	442	434	430
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	8	8	8
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	456	448	444
12.1 Civilian personnel benefits .....	142	140	138
21.0 Travel and transportation of persons .....	20	16	16
22.0 Transportation of things .....	7	7	7
23.1 Rental payments to GSA .....	43	43	43
23.3 Communications, utilities, and miscellaneous charges .....	13	11	11
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	41	36	36
25.2 Other services from non-Federal sources .....	86	80	80
25.3 Other goods and services from Federal sources .....	79	71	74
25.4 Operation and maintenance of facilities .....	5	5	5
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	98	92	91
26.0 Supplies and materials .....	4	4	3
31.0 Equipment .....	6	6	6
32.0 Land and structures .....	12	12	12
41.0 Grants, subsidies, and contributions .....	20	15	.....
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,036	990	970
99.0 Reimbursable obligations .....	5	6	5
99.9 Total new obligations .....	1,041	996	975

Employment Summary

Identification code 031-0200-0-1-276	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	3,649	3,552	3,457
2001 Reimbursable civilian full-time equivalent employment .....	7	13	12

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$12,136,000]** \$12,129,000, to remain available until September 30, **[2017]** 2018: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$10,060,000]** \$10,044,000 in fiscal year **[2016]** 2017 shall be retained and be available until September 30, **[2017]** 2018, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2016]** 2017 so as to result in a final fiscal year **[2016]** 2017 appropriation estimated at not more than **[\$2,076,000]** \$2,085,000: *Provided further*, That of the amounts appropriated under this heading, **[\$958,000]** \$969,000 shall be for Inspector General services for the Defense Nuclear Facilities Safety Board, which shall not be available from fee revenues. (*Energy and Water Development and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 031-0300-0-1-276	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	12	12	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1101 Appropriation (special or trust fund) .....	10	10	10
1160 Appropriation, discretionary (total) .....	12	12	12
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2

3010	Obligations incurred, unexpired accounts .....	12	12	12
3020	Outlays (gross) .....	-11	-12	-12
3050	Unpaid obligations, end of year .....	2	2	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	2	2
3200	Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	12	12	12
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	10	10	10
4011	Outlays from discretionary balances .....	1	2	2
4020	Outlays, gross (total) .....	11	12	12
4180	Budget authority, net (total) .....	12	12	12
4190	Outlays, net (total) .....	11	12	12

The U.S. Nuclear Regulatory Commission's (NRC's) Office of Inspector General (OIG) was established as a statutory entity on April 15, 1989, in accordance with the 1988 amendments to the Inspector General Act. The OIG mission is to (1) independently and objectively conduct and supervise audits and investigations relating to NRC programs and operations, (2) prevent and detect fraud, waste, and abuse, and (3) promote economy, efficiency and effectiveness in the NRC's programs and operations. Starting in fiscal year 2014, the NRC's OIG has exercised the same authorities with respect to the Defense Nuclear Facilities Safety Board per the Consolidated Appropriations Act, 2014.

**Object Classification** (in millions of dollars)

Identification code 031-0300-0-1-276	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations .....	12	12	12

**Employment Summary**

Identification code 031-0300-0-1-276	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	61	63	63

**GENERAL PROVISIONS—INDEPENDENT AGENCIES**

【SEC. 401. The Nuclear Regulatory Commission shall comply with the July 5, 2011, version of Chapter VI of its Internal Commission Procedures when responding to Congressional requests for information.】

【SEC. 402. (a) The amounts made available by this title for the Nuclear Regulatory Commission may be reprogrammed for any program, project, or activity, and the Commission shall notify the Committees on Appropriations of both Houses of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program funding level to increase or decrease by more than \$500,000 or 10 percent, whichever is less, during the time period covered by this Act.

(b)(1) The Nuclear Regulatory Commission may waive the notification requirement in (a) if compliance with such requirement would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Nuclear Regulatory Commission shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver and shall provide a detailed report to the Committees of such waiver and changes to funding levels to programs, projects, or activities.

(c) Except as provided in subsections (a), (b), and (d), the amounts made available by this title for "Nuclear Regulatory Commission—Salaries and Expenses" shall be expended as directed in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(d) None of the funds provided for the Nuclear Regulatory Commission shall be available for obligation or expenditure through a reprogramming of funds that

increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act.

(e) The Commission shall provide a monthly report to the Committees on Appropriations of both Houses of Congress, which includes the following for each program, project, or activity, including any prior year appropriations—

- (1) total budget authority;
- (2) total unobligated balances; and
- (3) total unliquidated obligations.】

【SEC. 403. Public Law 105-277, division A, section 101(g) (title III, section 329(a), (b)) is amended by inserting, in subsection (b), after "State law" and before the period the following: "or for the construction and repair of barge mooring points and barge landing sites to facilitate pumping fuel from fuel transport barges into bulk fuel storage tanks."】 (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
031-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		1	1
General Fund Offsetting receipts from the public .....		1	1

**NUCLEAR WASTE TECHNICAL REVIEW BOARD**

*Federal Funds*

**SALARIES AND EXPENSES**

For expenses necessary for the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, \$3,600,000, to be derived from the Nuclear Waste Fund, to remain available until September 30, 【2017】 2018. (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 431-0500-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Technical and scientific activities .....	3	4	4
0900 Total new obligations .....	3	4	4

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3	4	4
1930 Total budgetary resources available .....	4	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-3	-4	-4

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	3	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	4	4
4180 Budget authority, net (total) .....	3	4	4
4190 Outlays, net (total) .....	3	4	4

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high level radioactive waste. The purpose of the Board is to provide independent expert advice to DOE and the Congress on technical issues

SALARIES AND EXPENSES—Continued

and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions and recommendations at least two times per year to Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 431-0500-0-1-271	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	2	2
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	3	4	4

Employment Summary

Identification code 431-0500-0-1-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, **[\$12,639,000] \$13,411,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 432-2100-0-1-554	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Commission review .....	5	6	6
0002 Administrative law judge determinations .....	5	5	5
0003 Executive direction .....	2	2	2
0900 Total new obligations .....	12	13	13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	13	13
1930 Total budgetary resources available .....	12	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	3
3010 Obligations incurred, unexpired accounts .....	12	13	13
3020 Outlays (gross) .....	-12	-12	-12
3050 Unpaid obligations, end of year .....	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	3
3200 Obligated balance, end of year .....	2	3	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	11	11
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	12	12	12
4180 Budget authority, net (total) .....	12	13	13
4190 Outlays, net (total) .....	12	12	12

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 432-2100-0-1-554	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
99.0 Direct obligations .....	11	11	11
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations .....	12	13	13

Employment Summary

Identification code 432-2100-0-1-554	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	53	66	66

OFFICE OF GOVERNMENT ETHICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the Stop Trading on Congressional Knowledge Act of 2012, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, **[\$15,742,000] \$16,090,206.** (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 434-1100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	15	16	16
0801 Salaries and Expenses (Reimbursable) .....		1	1
0900 Total new obligations .....	15	17	17
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	16	16
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	15	17	17
1930 Total budgetary resources available .....	15	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	2	2
3010 Obligations incurred, unexpired accounts .....	15	17	17
3020 Outlays (gross) .....	-17	-17	-17
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	13	13
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	17	17	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	15	16	16
4190 Outlays, net (total) .....	17	16	16

The U.S. Office of Government Ethics (OGE), established by the Ethics in Government Act of 1978, provides overall leadership and oversight of the executive branch ethics program designed to prevent and resolve conflicts of interest. OGE's mission is part of the very foundation of public

service. The first principle in the Fourteen Principles of Ethical Conduct for Government Officers and Employees is, "[p]ublic service is a public trust, requiring employees to place loyalty to the Constitution, the laws and ethical principles above private gain." Public servants are expected to make impartial decisions based on the interests of the public when performing their job duties. OGE, in concert with agency ethics practitioners throughout the executive branch, ensures that employees fulfill this great trust.

To carry out its leadership and oversight responsibilities, OGE promulgates and maintains enforceable standards of ethical conduct for approximately 2.7 million employees in over 130 executive branch agencies and the White House; oversees a financial disclosure system that reaches more than 26,000 public and more than 380,000 confidential financial disclosure report filers; ensures that executive branch agency ethics programs are in compliance with applicable ethics laws and regulations; provides education and training to the more than 4,500 ethics officials executive branch-wide; conducts outreach to the general public, the private sector, and civil society; and provides technical assistance to state, local, and foreign governments and international organizations.

**Object Classification** (in millions of dollars)

Identification code 434-1100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	9	9
12.1 Civilian personnel benefits .....	2	2	3
23.1 Rental payments to GSA .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	4	3
99.0 Direct obligations .....	15	16	16
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations .....	15	17	17

**Employment Summary**

Identification code 434-1100-0-1-805	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	65	74	72

**OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION**

*Federal Funds*

**SALARIES AND EXPENSES**  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, [§15,000,000] \$15,431,000, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10: *Provided further*, That \$200,000 shall be transferred to the Office of Inspector General of the Department of the Interior, to remain available until expended, for audits and investigations of the Office of Navajo and Hopi Indian Relocation, consistent with the Inspector General Act of 1978 (5 U.S.C. App.). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 435-1100-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Operation of relocation office .....	4	5	5
0003 Relocation payments (housing) .....	3	8	8
0004 Discretionary fund payments .....		2	2
0900 Total new obligations .....	7	15	15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	15	15
1930 Total budgetary resources available .....	7	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	3
3010 Obligations incurred, unexpired accounts .....	7	15	15
3020 Outlays (gross) .....	-7	-13	-15
3050 Unpaid obligations, end of year .....	1	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	3
3200 Obligated balance, end of year .....	1	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	12	12
4011 Outlays from discretionary balances .....	1	1	3
4020 Outlays, gross (total) .....	7	13	15
4180 Budget authority, net (total) .....	7	15	15
4190 Outlays, net (total) .....	7	13	15

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

**Object Classification** (in millions of dollars)

Identification code 435-1100-0-1-808	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	1	2	2
32.0 Land and structures .....	3	10	10
99.9 Total new obligations .....	7	15	15

**Employment Summary**

Identification code 435-1100-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	34	34	34

**OFFICE OF SPECIAL COUNSEL**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12) as amended by Public Law 107-304, the Whistleblower Protection Enhancement Act of 2012 (Public Law 112-199), and the Uniformed Services Employment and Reemployment Rights Act of 1994 (Public Law 103-353), including

SALARIES AND EXPENSES—Continued

services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$24,119,000]** \$26,535,095. (Financial Services and General Government Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 062-0100-0-1-805	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Investigation and prosecution of reprisals for whistle blowing .....	23	24	27
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	24	27
1900 Budget authority (total) .....	23	24	27
1930 Total budgetary resources available .....	23	24	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	4
3010 Obligations incurred, unexpired accounts .....	23	24	27
3020 Outlays (gross) .....	-22	-23	-25
3050 Unpaid obligations, end of year .....	3	4	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	4
3200 Obligated balance, end of year .....	3	4	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	24	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	22	24
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	22	23	25
4180 Budget authority, net (total) .....	23	24	27
4190 Outlays, net (total) .....	22	23	25

The Office of Special Counsel (OSC): 1) investigates federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law and, when appropriate, prosecutes before the Merit Systems Protection Board (MSPB); 2) provides a safe channel for whistleblowing by federal employees and applicants; 3) investigates and enforces the Uniformed Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC then submits a report to the Congress and the President when appropriate.

In 2015, a record 6,141 cases were submitted to OSC for assistance or action by federal employees and other persons, an increase of 17 percent over 2014 levels. Of this total, 4,056 were prohibited personnel practice cases, a 20 percent increase from last year and a new record for the agency. In 2015, OSC resolved 6,027 matters, the highest total in the agency's history. OSC obtained a record 278 favorable actions for federal employees in response to prohibited personnel practice complaints, including 232 favorable actions in response to complaints of reprisal for whistleblowing.

During 2015, OSC received 1,965 disclosures, an all-time high and a 26% increase over 2014 levels. OSC's Disclosure Unit processed and closed a record 1,947 disclosures, a 48% increase from last year, and referred 62 disclosures of waste, fraud, and abuse to agency heads for investigation.

During 2015, OSC received a record number of whistleblower disclosures from employees at the Department of Veterans Affairs (VA). OSC's work with VA whistleblowers helped to promote accountability and improvements within the VA. OSC continues to receive a disproportionate number of cases from VA employees, has established a priority intake system for VA claims, and is working with the new VA leadership to secure relief, where appropriate, for VA whistleblowers. Finally, in 2014 and 2015, OSC's work with whistleblowers at the Department of Homeland Security

(DHS) led to significant reforms in overtime pay for certain DHS employees, saving the U.S. government \$83.7 million. Furthermore, Congress adopted a new pay system for Border Patrol Agents, which will save the U.S. government \$100 million annually.

Case type:	Cases Received 2015	Cases Resolved 2015
Prohibited personnel practice complaints .....	4,056	4,057
Hatch Act complaints .....	106	131
Whistleblower disclosures .....	1,965	1,947
USERRA cases .....	18	21
Totals .....	6,141	6,207

For 2016 and 2017, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases will continue to increase above 2015 case levels.

Overall, the funding requested for 2017 will enable OSC to meet rising demand for OSC's services, protect the growing number of whistleblowers in the VA and other agencies, protect the employment rights of returning service members, manage continually rising case levels, and protect the federal merit system from prohibited personnel and political practices.

Object Classification (in millions of dollars)

Identification code 062-0100-0-1-805	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	16	16	19
12.1 Civilian personnel benefits .....	4	5	5
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations .....	23	24	27

Employment Summary

Identification code 062-0100-0-1-805	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	129	140	155

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA  
NATURAL GAS TRANSPORTATION PROJECTS

Federal Funds

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS TRANSPORTATION  
PROJECTS

Program and Financing (in millions of dollars)

Identification code 534-2850-0-1-271	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Coordination and review .....	1		
0900 Total new obligations (object class 11.1) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 Obligations incurred, unexpired accounts .....	1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects (OFC), established by Public Law 108-324, was an independ-

ent agency in the Executive Branch, pursuant to the Alaska Natural Gas Pipeline Act of 2004. The OFC closed its offices and ceased to exist as of March 2015, due to insufficient funding.

**Employment Summary**

Identification code 534-2850-0-1-271	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1		

**OTHER COMMISSIONS AND BOARDS**

**Federal Funds**

COMMISSION TO ELIMINATE CHILD ABUSE AND NEGLECT FATALITIES

**Program and Financing** (in millions of dollars)

Identification code 481-2992-0-1-506	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Commission to Eliminate Child Abuse and Neglect Fatalities (Direct) .....	2	1	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
1930 Total budgetary resources available .....	3	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	2	1	
3020 Outlays (gross) .....	-2	-1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	2	1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	1	

The Commission to Eliminate Child Abuse and Neglect Fatalities, established by the Protect Our Kids Act of 2012 (Public Law 112-275), is a bipartisan commission consisting of six members appointed by the President and six members appointed by Congressional leaders. The Commission's members will evaluate current programs and prevention efforts and recommend a comprehensive national strategy to reduce and prevent child abuse and neglect fatalities.

**Object Classification** (in millions of dollars)

Identification code 481-2992-0-1-506	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	1	1	
25.2 Other services from non-Federal sources .....	1		
99.9 Total new obligations .....	2	1	

**Employment Summary**

Identification code 481-2992-0-1-506	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	9	7	

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

SALARIES AND EXPENSES

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, **[\$676,000]** \$888,000, as authorized by chapter 3123 of title 54, United States Code: *Provided*, That the Commission may procure temporary, intermittent, and other services and appoint and compensate personnel notwithstanding **[paragraph]** paragraphs (2) and (3) of section 312304(b) of such chapter: *Provided*

further, That such authority shall terminate on October 1, **[2016]** 2017: *Provided further*, That the Commission shall notify the Committees on Appropriations prior to exercising such authority. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.)

SOUTHEAST CRESCENT REGIONAL COMMISSION

**[For expenses necessary for the Southeast Crescent Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$250,000, to remain available until expended.]** (Energy and Water Development and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 095-9911-0-1-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Other Commissions and Boards (Direct) .....	1	1	1
0900 Total new obligations (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

This account presents data on small independent commissions and other entities on a consolidated basis. It includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts. The request includes language needed to enable the Commission to meet its requirements for staff and professional assistance.

**PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

**Federal Funds**

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 579-1299-0-1-552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 General Fund Payment .....	150	150	150
0900 Total new obligations (object class 94.0) .....	150	150	150
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	150	150	150
1930 Total budgetary resources available .....	150	150	150
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	150	150	150
3020 Outlays (gross) .....	-150	-150	-150

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND—Continued  
Program and Financing—Continued

Identification code 579–1299–0–1–552	2015 actual	2016 est.	2017 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	150	150	150
Outlays, gross:			
4100 Outlays from new mandatory authority .....	150	150	150
4180 Budget authority, net (total) .....	150	150	150
4190 Outlays, net (total) .....	150	150	150

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 111–148, annual appropriations will continue through 2019.

**Trust Funds**

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 579–8299–0–7–552	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	40	37	41
Receipts:			
Current law:			
1110 Fees on Health Insurance and Self-insured Health Plans, PCORTF .....	225	322	339
1140 Interest Received by Trust Funds, PCORTF .....			1
1140 Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund .....	150	150	150
1140 Transfers from FHI Trust Fund, PCORTF .....	55	50	49
1140 Transfers from FSMI Trust Fund, PCORTF .....	62	73	83
1199 Total current law receipts .....	492	595	622
1999 Total receipts .....	492	595	622
2000 Total: Balances and receipts .....	532	632	663
Appropriations:			
Current law:			
2101 Patient-Centered Outcomes Research Trust Fund .....	–491	–595	–622
2103 Patient-Centered Outcomes Research Trust Fund .....	–40	–36	–40
2132 Patient-Centered Outcomes Research Trust Fund .....	36	40	
2199 Total current law appropriations .....	–495	–591	–662
2999 Total appropriations .....	–495	–591	–662
5099 Balance, end of year .....	37	41	1

Program and Financing (in millions of dollars)

Identification code 579–8299–0–7–552	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Obligations to PCORI .....	396	472	530
0002 Obligations to HHS .....	99	118	132
0900 Total new obligations (object class 94.0) .....	495	590	662
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	491	595	622
1203 Appropriation (previously unavailable) .....	40	36	40
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–36	–40	
1260 Appropriations, mandatory (total) .....	495	591	662
1900 Budget authority (total) .....	495	591	662
1930 Total budgetary resources available .....	495	591	663
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	629	769	818
3010 Obligations incurred, unexpired accounts .....	495	590	662
3020 Outlays (gross) .....	–355	–541	–705

3050 Unpaid obligations, end of year .....	769	818	775
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	629	769	818
3200 Obligated balance, end of year .....	769	818	775

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	495	591	662
Outlays, gross:			
4100 Outlays from new mandatory authority .....	111	118	132
4101 Outlays from mandatory balances .....	244	423	573
4110 Outlays, gross (total) .....	355	541	705
4180 Budget authority, net (total) .....	495	591	662
4190 Outlays, net (total) .....	355	541	705

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	669	805	859
5001 Total investments, EOY: Federal securities: Par value .....	805	859	775

Public Law 111–148 authorized the establishment of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, transfers from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively.

**POSTAL SERVICE**

*Federal Funds*

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, **[\$55,075,000] \$63,658,000: Provided**, That mail for overseas voting and mail for the blind shall continue to be free: **[Provided further**, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: **] Provided further**, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: **Provided further**, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices. (*Financial Services and General Government Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 018–1001–0–1–372	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Non advance appropriation .....	29	55	64
0004 Advance Appropriation from the previous year .....	71	41	
0900 Total new obligations (object class 41.0) .....	100	96	64
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	55	64
Advance appropriations, discretionary:			
1170 Advance appropriation .....	71	41	
1900 Budget authority (total) .....	100	96	64
1930 Total budgetary resources available .....	100	96	64
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	100	96	64
3020 Outlays (gross) .....	–100	–96	–64
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	100	96	64
Outlays, gross:			
4010 Outlays from new discretionary authority .....	100	96	64

4180	Budget authority, net (total)	100	96	64
4190	Outlays, net (total)	100	96	64

The Budget proposes \$63,658,000 for the estimated 2017 costs of free mail service for the blind and overseas voting.

Pursuant to P.L. 93–328, the 2017 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$37,447,000. This amount includes \$52,060,000 requested for the estimated 2017 costs of free mail service for the blind and overseas voting and a -\$14,613,000 reconciliation adjustment for 2014 actual mail volume of free mail service for the blind and overseas voting.

### POSTAL SERVICE FUND

#### Program and Financing (in millions of dollars)

Identification code 018–4020–0–3–372	2015 actual	2016 est.	2017 est.	
<b>Obligations by program activity:</b>				
0801	Postal field operations	47,457	47,320	47,261
0802	Transportation	6,579	7,005	7,279
0803	Building occupancy	1,958	2,155	2,202
0804	Supplies and services	2,573	2,882	2,868
0805	Research and development	28	27	28
0806	Administration and area operations	12,556	12,818	10,913
0807	Interest	185	200	207
0808	Service-wide expenses	122	153	164
0809	Reimbursable program activities, subtotal	71,458	72,560	70,922
0810	Capital Investment	1,688	1,790	1,900
0811	Change in resources on order and inventory	248		
0819	Reimbursable program activities, subtotal	1,936	1,790	1,900
0900	Total new obligations	73,394	74,350	72,822
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	704	1,483	1,429
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected			277
1710	Transferred to other accounts [018–0100]			-259
1710	Transferred to other accounts [018–0200]			-18
Spending authority from offsetting collections, mandatory:				
1800	Collected	74,432	74,560	74,357
1810	Spending authority from offsetting collections transferred to other accounts [018–0100]	-244	-249	
1810	Spending authority from offsetting collections transferred to other accounts [018–0200]	-15	-15	
1850	Spending auth from offsetting collections, mand (total)	74,173	74,296	74,357
1900	Budget authority (total)	74,173	74,296	74,357
1930	Total budgetary resources available	74,877	75,779	75,786
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,483	1,429	2,964
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5,450	6,381	4,782
3010	Obligations incurred, unexpired accounts	73,394	74,350	72,822
3020	Outlays (gross)	-72,463	-75,949	-77,590
3050	Unpaid obligations, end of year	6,381	4,782	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5,450	6,381	4,782
3200	Obligated balance, end of year	6,381	4,782	14
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	74,173	74,296	74,357
Outlays, gross:				
4100	Outlays from new mandatory authority	72,463	73,260	72,190
4101	Outlays from mandatory balances		2,689	5,400
4110	Outlays, gross (total)	72,463	75,949	77,590
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-946	-875	-884
4121	Interest on Federal securities	-1		
4123	Non-Federal sources	-73,485	-73,828	-73,473
4130	Offsets against gross budget authority and outlays (total)	-74,432	-74,703	-74,357

4160	Budget authority, net (mandatory)	-259	-407	
4170	Outlays, net (mandatory)	-1,969	1,246	3,233
4180	Budget authority, net (total)	-259	-407	
4190	Outlays, net (total)	-1,969	1,246	3,233

#### Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	5,450	7,163	6,233
5001	Total investments, EOY: Federal securities: Par value	7,163	6,233	3,000

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority	-259	-407	
Outlays	-1,969	1,246	3,233
Legislative proposal, subject to PAYGO:			
Outlays		-958	-2,330
Total:			
Budget Authority	-259	-407	
Outlays	-1,969	288	903

The Postal Reorganization Act of 1970 (P.L. 91–375), converted the Post Office Department into the U.S. Postal Service (USPS), an independent establishment within the Executive Branch. The Postal Service commenced operations on July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Since 1971, there have been several Postal reforms. Notably, the Omnibus Budget Reconciliation Act of 1989 (P.L. 101–239) moved the Postal Service "off-budget" so that, beginning in 1990, the receipts and disbursements of the Fund are not considered within the on-budget net spending totals, although they are included within the unified spending and deficit totals. More recently, the 2006 Postal Accountability and Enhancement Act (P.L. 109–435) made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for market-dominant postal products such as First-Class mail, and competitive products such as package delivery. The Act amended the process for determining rate increases for market-dominant products, in part by imposing a limitation on rate increases linked to the Consumer Price Index for All Urban Consumers (CPI-U). Also per the Act, in 2017 the Postal Regulatory Commission (PRC) will determine if changes should be made to the rate structure including whether to continue the CPI-U cap on rate increases.

P.L. 109–435 also created the Postal Service Retiree Health Benefits (RHB) Fund to put the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This Fund receives from the Postal Service: 1) The pension savings provided to the Postal Service by the Postal Civil Service Retirement System (CSRS) Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow through 2006; 2) A 10-year stream of payments defined within P.L. 109–435 to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; 3) Beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) Beginning in 2017, an annual payment based on the 40-year amortization of the remaining unfunded liabilities associated with post-retirement health benefits of USPS employees; and 5) The surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under CSRS to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service, including the savings from shifting the responsibility for retirement credit related to military service from the Postal Service to the Treasury. Since 2006, USPS has contributed over \$50 billion to the Fund but due to financial difficulties has defaulted on required payments each year since FY 2012, steadily increasing the size of the unfunded liability to be retired through the 40-year amortization.

POSTAL SERVICE FUND—Continued

Beginning in 2017, P.L. 109–435 also requires the Postal Service to begin a 27-year amortization to retire any unfunded liability under CSRS.

The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

As amended by P.L. 109–435, the Postal Service's statutory borrowing authority is capped at \$15.0 billion, and its annual increase in outstanding debt within the cap is limited to \$3.0 billion. As of September 30, 2015, the total debt issued and outstanding pursuant to this authority amounts to the full \$15.0 billion.

The Budget estimates that the Postal Service will have an annual operating deficit of \$4.4 billion in 2017 and more than \$4.3 billion in each subsequent year through 2026. In its 2015 annual financial report (Form 10-K), the USPS states that, absent changes to its financial forecast from legislative action, it will likely default on a \$5.8 billion payment to the RHB Fund due on September 30, 2016. In light of the Postal Service's recent history of defaults on required RHB payments, the Budget baseline for the Postal Service reflects this default. The Budget also reflects partial or full defaults by the Postal Service on required CSRS amortization and RHB amortization payments in order to align expenses with estimated Postal revenues. See also the Budget Process chapter of the Analytical Perspectives volume of the Budget for discussion of PAYGO scoring of Postal Reform and the inclusion of default expectations in the baseline.

Object Classification (in millions of dollars)

Identification code 018–4020–0–3–372	2015 actual	2016 est.	2017 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	26,552	26,413	26,266
11.3 Other than full-time permanent .....	4,547	4,391	4,515
11.5 Other personnel compensation .....	4,953	4,890	4,823
11.9 Total personnel compensation .....	36,052	35,694	35,604
12.1 Civilian personnel benefits .....	18,995	19,023	19,965
13.0 Benefits for former personnel .....	3,164	3,355	88
21.0 Travel and transportation of persons .....	120	130	131
22.0 Transportation of things .....	7,153	7,602	7,853
23.1 Rental payments to GSA .....	45	40	41
23.2 Rental payments to others .....	991	1,182	1,213
23.3 Communications, utilities, and miscellaneous charges .....	828	820	835
24.0 Printing and reproduction .....	67	60	58
25.2 Other services from non-Federal sources .....	2,522	3,031	3,070
26.0 Supplies and materials .....	1,480	1,296	1,309
31.0 Equipment .....	1,260	1,389	1,933
32.0 Land and structures .....	429	402	402
42.0 Insurance claims and indemnities .....	103	126	113
43.0 Interest and dividends .....	185	200	207
99.9 Total new obligations .....	73,394	74,350	72,822

Employment Summary

Identification code 018–4020–0–3–372	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	574,696	572,916	560,806

POSTAL SERVICE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 018–4020–4–3–372	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Postal field operations .....		–114	–1,852
0809 Reimbursable program activities, subtotal .....		–114	–1,852

0900 Total new obligations (object class 12.1) .....	–114	–1,852
<b>Budgetary resources:</b>		
Unobligated balance:		
1000 Unobligated balance brought forward, Oct 1 .....		1,064
Budget authority:		
Spending authority from offsetting collections, mandatory:		
1800 Collected .....	950	1,868
1900 Budget authority (total) .....	950	1,868
1930 Total budgetary resources available .....	950	2,932
Memorandum (non-add) entries:		
1941 Unexpired unobligated balance, end of year .....	1,064	4,784
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3000 Unpaid obligations, brought forward, Oct 1 .....		–106
3010 Obligations incurred, unexpired accounts .....	–114	–1,852
3020 Outlays (gross) .....	8	462
3050 Unpaid obligations, end of year .....	–106	–1,496
Memorandum (non-add) entries:		
3100 Obligated balance, start of year .....		–106
3200 Obligated balance, end of year .....	–106	–1,496
<b>Budget authority and outlays, net:</b>		
Mandatory:		
4090 Budget authority, gross .....	950	1,868
Outlays, gross:		
4100 Outlays from new mandatory authority .....	–8	–462
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4123 Non-Federal sources .....	–950	–1,868
4180 Budget authority, net (total) .....		
4190 Outlays, net (total) .....	–958	–2,330
<b>Memorandum (non-add) entries:</b>		
5000 Total investments, SOY: Federal securities: Par value .....		958
5001 Total investments, EOY: Federal securities: Par value .....	958	2,330

The Administration recognizes the enormous value of the U.S. Postal Service (USPS) to the Nation's commerce and communications, as well as the need for reform in light of structural changes to the Nation's means of communication to ensure its future viability. Therefore, the Budget proposes specific authorities to improve the USPS' efficiency, update its business model, and better align its revenues and expenses, along with financial relief measures, grounded on principles of fiscal responsibility as well as sound financial management. The Administration will work with the Congress and Postal stakeholders to secure the necessary reforms.

The Budget proposes to require that OPM calculate any unfunded Postal liabilities and resulting amortization payments for the Civil Service Retirement System (CSRS) and the Federal Employee Retirement System (FERS) using factors (including investment returns, salary growth rates, and cost of living adjustments granted to Postal retirees) specific to the demographics of the Postal Service workforce. The Budget reflects reduced USPS FERS liabilities of \$1.5 billion and reduced CSRS liabilities of \$4 billion based on OPM's initial review that incorporates these Postal-specific demographic factors. The Budget also proposes to extend the amortization schedule of any unfunded liability to 40 years to match existing requirements for Postal Retiree Health Benefits. The combined effect of reduced unfunded liabilities and longer amortization period is estimated to provide USPS with \$5.7 billion in financial relief through 2026.

The Budget also proposes to restructure USPS payments to the Retiree Health Benefits (RHB) Fund that are currently specified in the Postal Accountability and Enhancement Act of 2006 (P.L. 109–435). This change would still prudently pre-fund 100 percent of retiree health liabilities, but on an accruing cost basis that is more affordable for USPS. This restructuring, which includes codifying USPS' missed RHB payments from 2011–2016 and reducing the portion of the unfunded liability to be repaid over the course of the 40-year amortization schedule to 80 percent of the total liability, is estimated to provide USPS with \$18.6 billion in relief through 2026. USPS would be required to continue payments after the 40-year amortization to fully fund its Retiree Health Benefits liabilities.

In addition, the Budget proposes operational reforms to improve efficiencies, reduce Postal expenses and improve its revenue, including: 1) reducing

USPS operating costs by giving USPS authority to reduce mail delivery frequency from six days to five days if mail volume falls below 140 billion pieces for four consecutive quarters (the Budget assumes this will occur near the end of 2018); 2) allowing USPS to leverage its resources by increasing collaboration with State and local governments; 3) allowing the Postal Service to begin shifting to centralized and curbside delivery where appropriate and codify its current administrative plan to avoid small and rural post office closures; 4) enhancing Postal Service governance to allow USPS management and its Board of Governors to more quickly and effectively respond to market opportunities and challenges while retaining strong oversight from the Postal Regulatory Commission (PRC) and Congress; 5) permanently extending the PRC's December 2013 'exigent' postage rate increase that is scheduled to expire early in 2016; and 6) requiring the Postmaster General to provide a proposal to the PRC for a new rate structure that will provide financial stability, pricing flexibility, and support for universal service; the PRC will review and consider this proposal as part of its 10-year review of Postal rates required by P.L. 109–435.

Together, these reforms would set USPS on a sustainable business path, providing it with over \$27 billion in cash relief, operational savings, and additional revenue through 2020. The Budget also proposes to amend the Budget Act to require PAYGO scoring of Postal legislation be on a unified budget basis to comprehensively reflect the impact of legislation on overall deficits and debt. On a unified basis, the proposed reforms yield estimated PAYGO savings of almost \$39 billion over 11 years.

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$248,600,000]** \$258,800,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109–435): *Provided, That unobligated balances remaining in this account on October 1, 2017, shall be transferred back to the Postal Service Fund. (Financial Services and General Government Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 018–0100–0–1–372	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	76	77	81
0002 Investigations .....	168	172	178
0799 Total direct obligations .....	244	249	259
0801 Office of Inspector General (Reimbursable) .....		1	1
0900 Total new obligations .....	244	250	260
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1711 Transferred from other accounts [018–4020] .....	244	249	259
1750 Spending auth from offsetting collections, disc (total) .....	244	250	260
1930 Total budgetary resources available .....	244	250	260
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	244	250	260
3020 Outlays (gross) .....	–244	–250	–260
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	244	250	260
Outlays, gross:			
4010 Outlays from new discretionary authority .....	244	250	260
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		–1	–1
4180 Budget authority, net (total) .....	244	249	259

4190 Outlays, net (total) .....	244	249	259
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U.S. Postal Service (USPS) Office of Inspector General (OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of USPS programs and operations. The OIG meets this responsibility by conducting audits, investigations, and other reviews. The OIG focuses on the prevention, identification, and elimination of 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in USPS programs and operations.

Pursuant to P.L. 109–435, the 2017 appropriation request of the Office of Inspector General of the U.S. Postal Service is \$258,800,000.

Section 603(b)(1) of P.L. 109–435 (Postal Accountability and Enhancement Act) authorizes appropriations for the Office of Inspector General out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the USPS Office of Inspector General spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 018–0100–0–1–372	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	143	147	150
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	2	3
11.9 Total personnel compensation .....	147	150	154
12.1 Civilian personnel benefits .....	54	56	61
21.0 Travel and transportation of persons .....	7	5	6
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	6	6	7
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	17	19	18
25.7 Operation and maintenance of equipment .....	4	6	1
26.0 Supplies and materials .....	2	1	2
31.0 Equipment .....	3	3	6
32.0 Land and structures .....	1		1
99.0 Direct obligations .....	244	249	259
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations .....	244	250	260

Employment Summary

Identification code 018–0100–0–1–372	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	1,133	1,129	1,138

POSTAL REGULATORY COMMISSION

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109–435), **[\$15,200,000]** \$17,726,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act: *Provided, That unobligated balances remaining in this account on October 1, 2017, shall be transferred back to the Postal Service Fund. (Financial Services and General Government Appropriations Act, 2016.)*

Program and Financing (in millions of dollars)

Identification code 018–0200–0–1–372	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Postal Service Accountability .....	4	4	8
0002 Public Access and Participation .....	4	5	3
0003 Integration and Support .....	6	5	6
0004 Office of the Inspector General .....	1	1	1
0900 Total new obligations .....	15	15	18

POSTAL REGULATORY COMMISSION—Continued  
Program and Financing—Continued

Identification code 018-0200-0-1-372	2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [018-4020] .....	15	15	18
1930 Total budgetary resources available .....	15	15	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	15	15	18
3020 Outlays (gross) .....	-15	-15	-18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	15	18
4180 Budget authority, net (total) .....	15	15	18
4190 Outlays, net (total) .....	15	15	18

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service (USPS) since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, P.L. 109-435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of USPS products and services, ensuring USPS transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to P.L. 109-435, the 2017 appropriation request of the Postal Regulatory Commission is \$17,726,000. Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 018-0200-0-1-372	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	10
12.1 Civilian personnel benefits .....	2	2	3
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	2	2	3
99.9 Total new obligations .....	15	15	18

Employment Summary

Identification code 018-0200-0-1-372	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	77	77	80

PRESIDIO TRUST

Federal Funds  
PRESIDIO TRUST

Program and Financing (in millions of dollars)

Identification code 512-4331-0-3-303	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Presidio Trust (Reimbursable) .....	127	140	140

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	52	54
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	124	144	149
1701 Change in uncollected payments, Federal sources .....	1	-2	-7
1726 Spending authority from offsetting collections applied to repay debt .....	-2		
1750 Spending auth from offsetting collections, disc (total) .....	123	142	142
1900 Budget authority (total) .....	123	142	142
1930 Total budgetary resources available .....	179	194	196
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	54	56

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	33	76
3010 Obligations incurred, unexpired accounts .....	127	140	140
3020 Outlays (gross) .....	-118	-97	-143
3050 Unpaid obligations, end of year .....	33	76	73
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-9	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	2	7
3090 Uncollected pymts, Fed sources, end of year .....	-9	-7	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	24	69
3200 Obligated balance, end of year .....	24	69	73

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	123	142	142
Outlays, gross:			
4010 Outlays from new discretionary authority .....	101	78	78
4011 Outlays from discretionary balances .....	17	19	65
4020 Outlays, gross (total) .....	118	97	143
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4031 Interest on Federal securities .....	-2	-2	-2
4033 Non-Federal sources .....	-119	-142	-147
4040 Offsets against gross budget authority and outlays (total) ....	-124	-144	-149
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	2	7
4070 Budget authority, net (discretionary) .....	-2		
4080 Outlays, net (discretionary) .....	-6	-47	-6
4180 Budget authority, net (total) .....	-2		
4190 Outlays, net (total) .....	-6	-47	-6

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	67	70	70
5001 Total investments, EOY: Federal securities: Par value .....	70	70	70

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that will operate without annual appropriations beginning in FY 2013. Funds to operate the park and its public programs will come from lease revenues and other non-Federally appropriated funding sources. The Presidio of San Francisco is an historic preservation success, and a success for the American taxpayer.

Object Classification (in millions of dollars)

Identification code 512-4331-0-3-303	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	26	30	30
12.1 Civilian personnel benefits .....	15	17	17
23.3 Communications, utilities, and miscellaneous charges .....	10	12	12
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	50	53	53
26.0 Supplies and materials .....	12	12	12
31.0 Equipment .....	3	3	3

32.0	Land and structures .....	10	12	12
99.9	Total new obligations .....	127	140	140

**Employment Summary**

Identification code 512-4331-0-3-303	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	332	332	332

**PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT**

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 512-4332-0-3-303	2015 actual	2016 est.	2017 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	200	200	200
2143 Uncommitted limitation carried forward .....	-200	-200	-200
2150 Total guaranteed loan commitments .....			

**PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Terrorism Prevention Act of 2004 (42 U.S.C. 2000ee), **[\$21,297,000]** \$10,081,000, to remain available until September 30, **[2017]** 2018. (Financial Services and General Government Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 535-2724-0-1-054	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	4	25	10
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	5	1
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	8	21	10
1930 Total budgetary resources available .....	9	26	11
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	5
3010 Obligations incurred, unexpired accounts .....	4	25	10
3020 Outlays (gross) .....	-4	-21	-14
3050 Unpaid obligations, end of year .....	1	5	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	5
3200 Obligated balance, end of year .....	1	5	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	8	21	10
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	16	8
4011 Outlays from discretionary balances .....	2	5	6
4020 Outlays, gross (total) .....	4	21	14
4180 Budget authority, net (total) .....	8	21	10
4190 Outlays, net (total) .....	4	21	14

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission

Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms. The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report semi-annually on its operations to the U.S. Congress, as well as inform the public of its activities, as appropriate.

**Object Classification** (in millions of dollars)

Identification code 535-2724-0-1-054	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....		1	1
25.1 Advisory and assistance services .....	1	1	1
25.3 Other goods and services from Federal sources .....		17	2
99.9 Total new obligations .....	4	25	10

**Employment Summary**

Identification code 535-2724-0-1-054	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	15	35	37

**PUBLIC DEFENDER SERVICE FOR THE DISTRICT OF COLUMBIA**

**Federal Funds**

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE**

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, **[\$40,889,000]** \$41,829,000: *Provided*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies: *Provided further*, That, notwithstanding section 1342 of title 31, United States Code, and in addition to the authority provided by the District of Columbia Code Section 2-1607(b), upon approval of the Board of Trustees, the District of Columbia Public Defender Service may accept and use voluntary and uncompensated services for the purpose of aiding or facilitating the work of the District of Columbia Public Defender Service: *Provided further*, That, notwithstanding District of Columbia Code section 2-1603(d), for the purpose of any action brought against the Board of the Trustees of the District of Columbia Public Defender Service at any time during fiscal year **[2016]** 2017 or any previous fiscal year, the trustees shall be deemed to be employees of the Public Defender Service. (District of Columbia Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 511-1733-0-1-754	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public Defender Service .....	40	41	42
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	41	41	42
1930 Total budgetary resources available .....	41	42	43
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	4

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER  
SERVICE—Continued  
Program and Financing—Continued

Identification code 511-1733-0-1-754	2015 actual	2016 est.	2017 est.
3010 Obligations incurred, unexpired accounts .....	40	41	42
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-41	-41	-42
3050 Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	4
3200 Obligated balance, end of year .....	4	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	41	41	42
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	37	38
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	41	41	42
4180 Budget authority, net (total) .....	41	41	42
4190 Outlays, net (total) .....	41	41	42

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (P.L. 91-358; see also D.C. Code Sec. 2-1601, et seq.) to fulfill the constitutional mandate (under *Gideon v. Wainwright*) to provide criminal defense counsel for individuals who cannot afford to hire a lawyer. PDS's mission is to provide and promote quality legal representation for indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice. PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system and individuals facing parole revocation for D.C. Code offenses.

Object Classification (in millions of dollars)

Identification code 511-1733-0-1-754	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	22	22	22
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	23	23	23
12.1 Civilian personnel benefits .....	7	7	7
23.1 Rental payments to GSA .....	4	4	4
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	3
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	39	39	40
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations .....	40	41	42

Employment Summary

Identification code 511-1733-0-1-754	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	220	224	224

RAILROAD RETIREMENT BOARD

Federal Funds

DUAL BENEFITS PAYMENTS ACCOUNT

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, **[\$29,000,000]** \$25,000,000, which shall include amounts becoming available in fiscal year **[2016]** 2017 pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount

by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 060-0111-0-1-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Dual Benefits Payments Account (Direct) .....	32	29	25
0900 Total new obligations (object class 41.0) .....	32	29	25
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	32	28	23
Appropriations, mandatory:			
1200 Appropriation .....	2	1	2
1900 Budget authority (total) .....	34	29	25
1930 Total budgetary resources available .....	34	29	25
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 Obligations incurred, unexpired accounts .....	32	29	25
3011 Obligations incurred, expired accounts .....		1	2
3020 Outlays (gross) .....	-32	-28	-25
3050 Unpaid obligations, end of year .....		2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			2
3200 Obligated balance, end of year .....		2	4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	32	28	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	28	23
Mandatory:			
4090 Budget authority, gross .....	2	1	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2		2
4180 Budget authority, net (total) .....	34	29	25
4190 Outlays, net (total) .....	32	28	25

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

Established in conjunction with the Railroad Retirement Solvency Act of 1983, this account acts as a conduit for various financial transactions, such as interfund transfers and fund transfers from the Department of the Treasury.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, **[2017]** 2018, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 060-0113-0-1-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Federal Payments to Railroad Retirement Accounts (Direct) .....	724	756	711
0900 Total new obligations (object class 42.0) .....	724	756	711
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	724	756	711
1930	Total budgetary resources available	740	772	727
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	16	16	16

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		1	1
3010	Obligations incurred, unexpired accounts	724	756	711
3020	Outlays (gross)	-723	-756	-711
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		1	1
3200	Obligated balance, end of year	1	1	1

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross	724	756	711
Outlays, gross:				
4100	Outlays from new mandatory authority	723	756	711
4180	Budget authority, net (total)	724	756	711
4190	Outlays, net (total)	723	756	711

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits. This account also reflects transfers from the general fund of the Treasury to the Social Security Equivalent Benefit Account pursuant to the Hiring Incentives to Restore Employment (HIRE) Act (P.L. 111-147), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), the Temporary Payroll Tax Cut Continuation Act (P.L.112-78), the American Taxpayer Relief Act of 2012 (P.L. 112-240), the Consolidated Appropriations Act, 2014 (P.L. 113-76), and the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235).

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS**

**Program and Financing** (in millions of dollars)

Identification code 060-0117-0-1-603		2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	132	132	132
1930	Total budgetary resources available	132	132	132
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	132	132	132
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111-92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112-78), the Middle Class Tax Relief and Job Creation Act of 2012 (P.L. 112-96).

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 060-0114-0-1-603		2015 actual	2016 est.	2017 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	9	9	9
1930	Total budgetary resources available	9	9	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	9	9	9
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

**Trust Funds**

**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 060-8051-0-7-603		2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>				
0001	Railroad Unemployment Insurance Trust Fund (Direct)	100	134	141
0801	Railroad Unemployment Insurance Trust Fund (Reimbursable)	16	17	19
0900	Total new obligations	116	151	160
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	
1001	Discretionary unobligated balance brought fwd, Oct 1		1	
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund)	18	28	28
1134	Appropriations precluded from obligation	-4	-12	-11
1160	Appropriation, discretionary (total)	14	16	17
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	60	117	124
1203	Appropriation (unavailable balances)	26		
1260	Appropriations, mandatory (total)	86	117	124
Spending authority from offsetting collections, discretionary:				
1700	Collected	1		
Spending authority from offsetting collections, mandatory:				
1800	Collected	16	17	19
1900	Budget authority (total)	117	150	160
1930	Total budgetary resources available	117	151	160
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	5	6
3010	Obligations incurred, unexpired accounts	116	151	160
3020	Outlays (gross)	-113	-150	-160
3050	Unpaid obligations, end of year	5	6	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	5	6
3200	Obligated balance, end of year	5	6	6

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross	15	16	17
Outlays, gross:				
4010	Outlays from new discretionary authority	13	16	17
4011	Outlays from discretionary balances	2	5	
4020	Outlays, gross (total)	15	21	17
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1		
Mandatory:				
4090	Budget authority, gross	102	134	143
Outlays, gross:				
4100	Outlays from new mandatory authority	98	129	143
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-16	-17	-19
4180	Budget authority, net (total)	100	133	141
4190	Outlays, net (total)	96	133	141

**Memorandum (non-add) entries:**

5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1	1

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND—Continued

Object Classification (in millions of dollars)

Identification code 060-8051-0-7-603	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
42.0 Benefit payments .....	85	118	124
94.0 Financial transfers .....	15	16	17
99.0 Direct obligations .....	100	134	141
99.0 Reimbursable obligations .....	16	17	19
99.9 Total new obligations .....	116	151	160

RAIL INDUSTRY PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8011-0-7-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	402	489	264
0198 Unappropriated receipt adjustment .....	19		
0199 Balance, start of year .....	421	489	264
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Refunds, Rail Industry Pension Fund .....	-1	-3	-3
1110 Taxes, Rail Industry Pension Fund .....	3,337	3,383	3,419
1140 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....	17	20	19
1140 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund .....	1,191	1,172	1,525
1140 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....	425	462	414
1199 Total current law receipts .....	4,969	5,034	5,374
1999 Total receipts .....	4,969	5,034	5,374
2000 Total: Balances and receipts .....	5,390	5,523	5,638
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Rail Industry Pension Fund .....	-73	-74	-84
2101 Rail Industry Pension Fund .....	-4,896	-5,152	-5,414
2103 Rail Industry Pension Fund .....		-67	-67
2134 Rail Industry Pension Fund .....	67	34	139
2199 Total current law appropriations .....	-4,902	-5,259	-5,426
2999 Total appropriations .....	-4,902	-5,259	-5,426
5098 Rounding adjustment .....	1		
5099 Balance, end of year .....	489	264	212

Program and Financing (in millions of dollars)

Identification code 060-8011-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rail Industry Pension Fund (Direct) .....	5,031	5,316	5,426
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	73	74	84
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	4,896	5,152	5,414
1203 Appropriation (unavailable balances) .....		67	67
1221 Appropriations transferred from other acct [060-8010] .....	129	57	
1234 Appropriations precluded from obligation .....	-67	-34	-139
1260 Appropriations, mandatory (total) .....	4,958	5,242	5,342
1900 Budget authority (total) .....	5,031	5,316	5,426
1930 Total budgetary resources available .....	5,031	5,316	5,426
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	371	389	19
3010 Obligations incurred, unexpired accounts .....	5,031	5,316	5,426
3020 Outlays (gross) .....	-5,013	-5,686	-5,426
3050 Unpaid obligations, end of year .....	389	19	19
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	371	389	19
3200 Obligated balance, end of year .....	389	19	19

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	73	74	84
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	73	74	84
<b>Mandatory:</b>			
4090 Budget authority, gross .....	4,958	5,242	5,342
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	4,940	5,242	5,342
4101 Outlays from mandatory balances .....		370	
4110 Outlays, gross (total) .....	4,940	5,612	5,342
4180 Budget authority, net (total) .....	5,031	5,316	5,426
4190 Outlays, net (total) .....	5,013	5,686	5,426

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	803	874	726
5001 Total investments, EOY: Federal securities: Par value .....	874	726	808

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Approximately 16,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 060-8011-0-7-601	2015 actual	2016 est.	2017 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	747	916	321
0298 Cash reconciliation adjustment .....	75		
0999 Total balance, start of year .....	822	916	321
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Refunds, Rail Industry Pension Fund .....	-1	-3	-3
1110 Taxes, Rail Industry Pension Fund .....	3,337	3,383	3,419
1150 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....	17	20	19
1160 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund .....	1,191	1,172	1,525
1160 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....	425	462	414
1160 Limitation on the Office of Inspector General .....	10	10	11
1160 Limitation on Administration .....	145	141	152
1160 Limitation on Administration .....	3		
1199 Income under present law .....	5,127	5,185	5,537
1999 Total cash income .....	5,127	5,185	5,537
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Rail Industry Pension Fund [446-00-8011-0] .....	-5,013	-5,686	-5,426
2100 Limitation on the Office of Inspector General [446-00-8018-0] .....	-10	-10	-11
2100 Limitation on Administration [446-00-8237-0] .....	-141	-141	-153
2199 Outgo under current law .....	-5,164	-5,837	-5,590
<b>Proposed:</b>			
2200 Limitation on Administration .....			-4
2299 Outgo under proposed legislation .....			-4
2999 Total cash outgo (-) .....	-5,164	-5,837	-5,594
<b>Surplus or deficit:</b>			
3110 Excluding interest .....	-54	-672	-76
3120 Interest .....	17	20	19
3199 Subtotal, surplus or deficit .....	-37	-652	-57
3230 Rail Industry Pension Fund .....	129	57	
3230 Limitation on Administration .....	1		
3298 Rounding adjustment .....	1		
3299 Total adjustments .....	131	57	
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year .....	42	-405	-544
4200 Rail Industry Pension Fund .....	874	726	808
4999 Total balance, end of year .....	916	321	264

Object Classification (in millions of dollars)

Identification code 060-8011-0-7-601	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
42.0 Benefit payments .....	4,958	5,242	5,342

94.0	Financial transfers .....	73	74	84
99.9	Total new obligations .....	5,031	5,316	5,426

**LIMITATION ON ADMINISTRATION**

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$111,225,000]** \$122,499,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund: *Provided*, That notwithstanding section 7(b)(9) of the Railroad Retirement Act this limitation may be used to hire attorneys only through the excepted service: *Provided further*, That the previous proviso shall not change the status under Federal employment laws of any attorney hired by the Railroad Retirement Board prior to January 1, 2013. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 060-8237-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rail Industry Pension Fund .....	68	68	78
0002 Railroad Social Security Equivalent Benefit .....	30	28	29
0003 Railroad Unemployment Insurance Trust Fund .....	14	15	15
0100 Subtotal, direct program .....	112	111	122
0799 Total direct obligations .....	112	111	122
0801 Medicare and other reimbursements .....	33	30	30
0900 Total new obligations .....	145	141	152
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	3
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	145	141	152
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3		
1900 Budget authority (total) .....	148	141	152
1930 Total budgetary resources available .....	149	144	155
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	3	3	3
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	5	6	6
1953 Expired unobligated balance, end of year .....	5	6	6

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	27	8
3010 Obligations incurred, unexpired accounts .....	145	141	152
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-141	-141	-153
3041 Recoveries of prior year unpaid obligations, expired .....	-2	-19	
3050 Unpaid obligations, end of year .....	27	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	24	27	8
3200 Obligated balance, end of year .....	27	8	7

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	145	141	152
Outlays, gross:			
4010 Outlays from new discretionary authority .....	124	141	152
4011 Outlays from discretionary balances .....	17		1
4020 Outlays, gross (total) .....	141	141	153
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-145	-141	-152
4040 Offsets against gross budget authority and outlays (total) ....	-145	-141	-152
Mandatory:			
4090 Budget authority, gross .....	3		

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:			
4120 Federal sources .....		-3	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		-7	1

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Outlays .....	-7		1
Legislative proposal, subject to PAYGO:			
Budget Authority .....			4
Outlays .....			4
Total:			
Budget Authority .....			4
Outlays .....	-7		5

The table below shows anticipated workloads.

	2014 Actual	2015 actual	2016 est.	2017 est.
Pending, start of year .....	7,210	10,611	17,077	18,491
New Railroad Retirement applications .....	44,170	42,379	41,000	39,000
New Social Security certifications .....	3,124	3,417	3,000	3,000
Total dispositions (excluding partial awards) .....	43,893	39,330	42,586	42,177
Pending, end of year .....	10,611	17,077	18,491	18,313

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2014 act.	2015 est.	2016 est.
Total beneficiaries .....	1,009,500	894,196	549,154	530,367	533,749	523,400

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes a legislative proposal to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management.

The President's Budget includes a legislative proposal to amend the Railroad Retirement Act and the Railroad Unemployment Insurance Act to include a felony charge for individuals committing fraud against the Agency. Under this proposal, both the Railroad Retirement Act and the Railroad Unemployment Insurance Act would be amended to include a felony charge similar to violations under 42 U.S.C. 408, 18 U.S.C. 1001, or 18 U.S.C. 287.

The President's Budget includes a request to amend the Social Security Act to provide access for the Railroad Retirement Board to the National Directory of New Hires.

**Object Classification** (in millions of dollars)

Identification code 060-8237-0-7-601	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	60	62	65
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	62	64	67
12.1 Civilian personnel benefits .....	21	21	22
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	4
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services from non-Federal sources .....	19	16	22
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	112	111	122
99.0 Reimbursable obligations .....	33	30	30
99.9 Total new obligations .....	145	141	152

LIMITATION ON ADMINISTRATION—Continued  
**Employment Summary**

Identification code 060-8237-0-7-601	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	785	810	800
2001 Reimbursable civilian full-time equivalent employment .....	50	50	50

LIMITATION ON ADMINISTRATION  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 060-8237-4-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program Integrity .....			4
0900 Total new obligations (object class 11.1) .....			4
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			4
1930 Total budgetary resources available .....			4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			4
3020 Outlays (gross) .....			-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			4
Outlays, gross:			
4100 Outlays from new mandatory authority .....			4
4180 Budget authority, net (total) .....			4
4190 Outlays, net (total) .....			4

The President's Budget also includes \$4.4 million to strengthen the integrity of the RRB's programs. These funds will provide the RRB with the flexibility to hire and train staff to support the processing of additional program integrity work.

**Employment Summary**

Identification code 060-8237-4-7-601	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....			29

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 060-8118-0-7-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	24,512	22,954	23,350
Receipts:			
Current law:			
1130 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....	-611	1,313	483
1130 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust .....	286	312	298
1140 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....	24	16	24
1199 Total current law receipts .....	-301	1,641	805
1999 Total receipts .....	-301	1,641	805
2000 Total: Balances and receipts .....	24,211	24,595	24,155
Appropriations:			
Current law:			
2101 National Railroad Retirement Investment Trust .....	-1,257	-1,245	-1,598
5099 Balance, end of year .....	22,954	23,350	22,557

**Program and Financing** (in millions of dollars)

Identification code 060-8118-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 NRRIT expenses .....	1,257	1,245	1,598
0900 Total new obligations (object class 94.0) .....	1,257	1,245	1,598
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,257	1,245	1,598
1930 Total budgetary resources available .....	1,257	1,245	1,598
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1,257	1,245	1,598
3020 Outlays (gross) .....	-1,257	-1,245	-1,598
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,257	1,245	1,598
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,257	1,245	1,598
4180 Budget authority, net (total) .....	1,257	1,245	1,598
4190 Outlays, net (total) .....	1,257	1,245	1,598
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	917	796	809
5001 Total investments, EOY: Federal securities: Par value .....	796	809	783
5010 Total investments, SOY: non-Fed securities: Market value .....	25,111	23,672	24,049
5011 Total investments, EOY: non-Fed securities: Market value .....	23,672	24,049	23,276

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

**Status of Funds** (in millions of dollars)

Identification code 060-8118-0-7-601	2015 actual	2016 est.	2017 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	25,156	23,598	23,994
0999 Total balance, start of year .....	25,156	23,598	23,994
Cash income during the year:			
Current law:			
Receipts:			
1150 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....	-611	1,313	483
1150 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....	24	16	24
1150 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust .....	286	312	298
1199 Income under present law .....	-301	1,641	805
1999 Total cash income .....	-301	1,641	805
Cash outgo during year:			
Current law:			
2100 National Railroad Retirement Investment Trust [446-00-8118-0] .....	-1,257	-1,245	-1,598
2199 Outgo under current law .....	-1,257	-1,245	-1,598
2999 Total cash outgo (-) .....	-1,257	-1,245	-1,598
Surplus or deficit:			
3110 Excluding interest .....	-1,257	-1,245	-1,598
3120 Interest .....	-301	1,641	805
3199 Subtotal, surplus or deficit .....	-1,558	396	-793
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	22,802	23,185	22,418
4200 National Railroad Retirement Investment Trust .....	796	809	783
4999 Total balance, end of year .....	23,598	23,994	23,201

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than **[\$8,437,000]** \$10,499,000, to be derived from the railroad retirement accounts

and railroad unemployment insurance account. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2016.)

**Program and Financing** (in millions of dollars)

Identification code 060-8018-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rail Industry Pension Fund .....	10	6	7
0002 Railroad Social Security Equivalent Benefit .....	2	2	2
0003 Railroad Unemployment Insurance Trust .....	1	1	1
0100 Subtotal, direct program .....	10	9	10
0799 Total direct obligations .....	10	9	10
0801 Medicare and other reimbursements .....	1	1	1
0900 Total new obligations .....	10	10	11
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	10	11
1930 Total budgetary resources available .....	10	10	11
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	1	1	1
1953 Expired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 Obligations incurred, unexpired accounts .....	10	10	11
3020 Outlays (gross) .....	-10	-10	-11
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	10	11
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	10	10	11
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-10	-11
4180 Budget authority, net (total) .....	10	10	11
4190 Outlays, net (total) .....	10	10	11

**Object Classification** (in millions of dollars)

Identification code 060-8018-0-7-601	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	6
12.1 Civilian personnel benefits .....	2	2	2
99.0 Direct obligations .....	7	7	8
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations .....	10	10	11

**Employment Summary**

Identification code 060-8018-0-7-601	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	42	44	50
2001 Reimbursable civilian full-time equivalent employment .....	6	6	6

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 060-8010-0-7-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	301	311	317
0198 Unappropriated receipt adjustment .....	-48	.....	.....

	2015 actual	2016 est.	2017 est.
0199 Balance, start of year .....	253	311	317
Receipts:			
Current law:			
1110 Refunds, Railroad Social Security Equivalent Benefit Account .....	-1	-3	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes .....	3,096	3,140	3,186
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund .....	-565	-614	-625
1140 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities .....	24	20	24
1140 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....	293	294	297
1140 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund .....	-30	-30	-35
1140 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....	4,258	4,241	4,120
1140 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....	419	314	178
1140 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111-312) .....	1	.....	.....
1140 Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account .....	5	5	5
1199 Total current law receipts .....	7,500	7,367	7,147
1999 Total receipts .....	7,500	7,367	7,147
2000 Total: Balances and receipts .....	7,753	7,678	7,464
Appropriations:			
Current law:			
2101 Railroad Social Security Equivalent Benefit Account .....	-32	-30	-31
2101 Railroad Social Security Equivalent Benefit Account .....	-7,468	-7,538	-7,146
2103 Railroad Social Security Equivalent Benefit Account .....	.....	-58	-174
2134 Railroad Social Security Equivalent Benefit Account .....	58	265	.....
2199 Total current law appropriations .....	-7,442	-7,361	-7,351
2999 Total appropriations .....	-7,442	-7,361	-7,351
5099 Balance, end of year .....	311	317	113

**Program and Financing** (in millions of dollars)

Identification code 060-8010-0-7-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Railroad Social Security Equivalent Benefit Account (Direct) .....	7,339	7,263	7,395
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	1
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	60	.....	.....
1050 Unobligated balance (total) .....	60	.....	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	32	30	31
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	7,468	7,538	7,146
1203 Appropriation (previously unavailable) .....	.....	58	174
1220 Appropriations transferred to other accts [060-8011] .....	-129	-57	.....
1234 Appropriations precluded from obligation .....	-58	-265	.....
1236 Appropriations applied to repay debt .....	-3,879	-3,843	-3,809
1260 Appropriations, mandatory (total) .....	3,402	3,431	3,511
Borrowing authority, mandatory:			
1400 Borrowing authority .....	3,845	3,803	3,854
1900 Budget authority (total) .....	7,279	7,264	7,396
1930 Total budgetary resources available .....	7,339	7,264	7,397
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	1	2

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	565	570	46
3010 Obligations incurred, unexpired accounts .....	7,339	7,263	7,395
3020 Outlays (gross) .....	-7,334	-7,787	-7,375
3050 Unpaid obligations, end of year .....	570	46	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	565	570	46
3200 Obligated balance, end of year .....	570	46	66

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	32	30	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	30	31

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 060-8010-0-7-601	2015 actual	2016 est.	2017 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	7,247	7,234	7,365
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	7,247	7,216	7,344
4101 Outlays from mandatory balances .....	55	541	.....
4110 Outlays, gross (total) .....	7,302	7,757	7,344
4180 Budget authority, net (total) .....	7,279	7,264	7,396
4190 Outlays, net (total) .....	7,334	7,787	7,375
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	887	881	881
5001 Total investments, EOY: Federal securities: Par value .....	881	881	881
5080 Outstanding debt, SOY .....	-3,532	-3,498	-3,458
5081 Outstanding debt, EOY .....	-3,498	-3,458	-3,503
5082 Borrowing .....	-3,845	-3,803	-3,854

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2015, \$3.847 million was advanced and \$3.879 million was repaid.

**Status of Funds** (in millions of dollars)

Identification code 060-8010-0-7-601	2015 actual	2016 est.	2017 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	-2,696	-2,617	-3,094
0298 Cash reconciliation adjustment .....	42	.....	.....
0999 Total balance, start of year .....	-2,654	-2,617	-3,094
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Refunds, Railroad Social Security Equivalent Benefit Account .....	-1	-3	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes .....	3,096	3,140	3,186
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund .....	-565	-614	-625
1150 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities ....	24	20	24
1150 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund .....	-30	-30	-35
1160 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....	293	294	297
1160 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....	4,258	4,241	4,120
1160 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....	419	314	178
1160 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111-312) .....	1	.....	.....
1160 Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account .....	5	5	5
1199 Income under present law .....	7,500	7,367	7,147
1999 Total cash income .....	7,500	7,367	7,147
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Railroad Social Security Equivalent Benefit Account [446-00-8010-0] .....	-7,334	-7,787	-7,375

2199 Outgo under current law .....	-7,334	-7,787	-7,375
2999 Total cash outgo (-) .....	-7,334	-7,787	-7,375
<b>Surplus or deficit:</b>			
3110 Excluding interest .....	172	-410	-217
3120 Interest .....	-6	-10	-11
3199 Subtotal, surplus or deficit .....	166	-420	-228
3230 Railroad Social Security Equivalent Benefit Account .....	-129	-57	.....
3299 Total adjustments .....	-129	-57	.....
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year .....	-3,498	-3,975	-4,203
4200 Railroad Social Security Equivalent Benefit Account .....	881	881	881
4999 Total balance, end of year .....	-2,617	-3,094	-3,322

**Object Classification** (in millions of dollars)

Identification code 060-8010-0-7-601	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
42.0 Benefit payments .....	7,206	7,123	7,224
94.0 Financial transfers .....	101	110	140
94.0 Financial transfers .....	32	30	31
99.9 Total new obligations .....	7,339	7,263	7,395

**RECOVERY ACCOUNTABILITY AND TRANSPARENCY BOARD**

**Federal Funds**

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 539-3725-0-1-808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	10	.....	.....
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	18	.....	.....
1930 Total budgetary resources available .....	18	.....	.....
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-8	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	1	1
3010 Obligations incurred, unexpired accounts .....	10	.....	.....
3020 Outlays (gross) .....	-14	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	18	.....	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	9	.....	.....
4011 Outlays from discretionary balances .....	5	.....	.....
4020 Outlays, gross (total) .....	14	.....	.....
4180 Budget authority, net (total) .....	18	.....	.....
4190 Outlays, net (total) .....	14	.....	.....

The Recovery Accountability and Transparency Board (Board) is an independent Federal agency charged with coordinating and conducting oversight of funds provided under the Disaster Relief Appropriations Act of 2013 and the American Recovery and Reinvestment Act of 2009 in order to detect and prevent fraud, waste, and abuse. The Board also develops and tests information technology resources and oversight mechanisms to enhance transparency of and detect and remediate fraud, waste, and abuse in federal spending. The Board provides support to the Inspector General

and law enforcement communities. The Board sunset on September 30, 2015.

**Object Classification** (in millions of dollars)

Identification code 539-3725-0-1-808	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.3 Other than full-time permanent .....	2		
11.8 Special personal services payments .....	1		
11.9 Total personnel compensation .....	3		
12.1 Civilian personnel benefits .....	1		
23.1 Rental payments to GSA .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	3		
25.7 Operation and maintenance of equipment .....	1		
99.9 Total new obligations .....	10		

**Employment Summary**

Identification code 539-3725-0-1-808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	23		

**SECURITIES AND EXCHANGE COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, **[\$1,605,000,000] \$1,781,457,278**, to remain available until expended; of which not less than **[\$11,315,971] \$14,700,700** shall be for the Office of Inspector General; of which not to exceed \$75,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence; and of which not less than \$68,223,000 shall be for the Division of Economic and Risk Analysis; *Provided*, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: *Provided further*, That not to exceed **[\$1,605,000,000] \$1,781,457,278** of such offsetting collections shall be available until expended for necessary expenses of this account: *Provided further*, That the total amount appropriated under this heading from the general fund for fiscal year **[2016] 2017** shall be reduced as such offsetting fees are received so as to result in a final total fiscal year **[2016] 2017** appropriation from the general fund estimated at not more than \$0. (*Financial Services and General Government Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 050-0100-0-1-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Enforcement .....	480	513	543
0002 Compliance Inspections and Examinations .....	297	315	342
0003 Corporation Finance .....	141	147	153
0004 Trading and Markets .....	78	80	85
0005 Investment Management .....	55	57	61
0006 Economic and Risk Analysis .....	54	68	72
0007 General Counsel .....	42	47	49
0008 Other Program Offices .....	64	72	76
0009 Agency Direction and Administrative Support .....	260	296	309
0010 Inspector General .....	11	15	17
0900 Total new obligations .....	1,482	1,610	1,707
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	73	52	

1021 Recoveries of prior year unpaid obligations .....	31	25	
1050 Unobligated balance (total) .....	104	77	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,494	1,606	1,781
1900 Budget authority (total) .....	1,502	1,606	1,781
1901 Adjustment for new budget authority used to liquidate deficiencies .....	-72	-73	-74
1930 Total budgetary resources available .....	1,534	1,610	1,707
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	52		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	833	851	782
3010 Obligations incurred, unexpired accounts .....	1,482	1,610	1,707
3020 Outlays (gross) .....	-1,433	-1,654	-1,820
3040 Recoveries of prior year unpaid obligations, unexpired .....	-31	-25	
3050 Unpaid obligations, end of year .....	851	782	669
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	833	851	782
3200 Obligated balance, end of year .....	851	782	669

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,502	1,606	1,781
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,081	1,365	1,514
4011 Outlays from discretionary balances .....	352	289	306
4020 Outlays, gross (total) .....	1,433	1,654	1,820
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1		
4033 Non-Federal sources .....	-1	-1	
4034 Offsetting governmental collections .....	-1,492	-1,605	-1,781
4040 Offsets against gross budget authority and outlays (total) .....	-1,494	-1,606	-1,781
4070 Budget authority, net (discretionary) .....	8		
4080 Outlays, net (discretionary) .....	-61	48	39
4180 Budget authority, net (total) .....	8		
4190 Outlays, net (total) .....	-61	48	39

**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	6,559	6,559	6,559
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	6,559	6,559	6,559

**Unfunded deficiencies:**

7000 Unfunded deficiency, start of year .....	-358	-286	-213
<b>Change in deficiency during the year:</b>			
7012 Budgetary resources used to liquidate deficiencies .....	72	73	74
7020 Unfunded deficiency, end of year .....	-286	-213	-139

The primary mission of the Securities and Exchange Commission (SEC) is to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The SEC's six major programs include the following:

**Enforcement.**—The Division of Enforcement investigates and prosecutes civil violations of the Federal securities laws and works closely with the Department of Justice and other law enforcement partners to coordinate and assist in criminal prosecutions.

**Compliance Inspections and Examinations.**—The Office of Compliance Inspections and Examinations conducts the SEC's National Examination Program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC.

**Corporation Finance.**—The Division of Corporation Finance selectively reviews company disclosures to ensure that investors have the information necessary to make informed investment decisions and to help deter fraud and misrepresentation in securities transactions.

**Trading and Markets.**—The Division of Trading and Markets' (TM) mission is to establish and maintain standards for fair, orderly, and efficient markets while fostering investor protection and confidence in the markets. TM oversees the activities of industry self-regulatory organizations, such as the Financial Industry Regulatory Authority, and directly regulates

SALARIES AND EXPENSES—Continued

market participants where Commission rulemaking is more effective than self-regulation.

*Investment Management.*—The Division of Investment Management works to protect investors, promote informed investment decision making, and facilitate appropriate innovation in investment products and services through regulation of the asset management industry.

*Economic and Risk Analysis.*—The Division of Economic and Risk Analysis integrates financial economics and rigorous data analytics into the core mission of the SEC.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy, the Office of the Chief Accountant, and the Office of International Affairs.

*Implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Dodd-Frank Act).*—The Dodd-Frank Act assigned significant new responsibilities to the SEC that have a substantial long-term impact on the agency's workload, including oversight of hedge fund advisers and a portion of the over-the-counter derivatives market; registration of municipal advisers, security-based swap dealers, and major security-based swap participants; enhanced supervision of credit rating agencies and clearing agencies; heightened regulation of asset-backed securities; and administration of a new whistleblower award program. The increase requested for 2017 is a down payment toward doubling the funding of the SEC from its 2015 level by 2021, enabling the SEC to thoroughly perform its post-Dodd-Frank mission.

The SEC is funded through offsetting fees and assessments collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee). The Budget proposes \$1.781 billion in collections to fund SEC operations in 2017. Because the SEC's budget is offset by fees, the agency's funding level has no impact on the Federal deficit.

Object Classification (in millions of dollars)

Identification code 050-0100-0-1-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	702	840	904
11.3 Other than full-time permanent .....	33		
11.5 Other personnel compensation .....	9	9	10
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	746	851	916
12.1 Civilian personnel benefits .....	249	277	297
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	13	15	16
23.1 Rental payments to GSA .....	6	9	9
23.2 Rental payments to others .....	21	11	12
23.3 Communications, utilities, and miscellaneous charges .....	13	13	13
24.0 Printing and reproduction .....	9	9	9
25.1 Advisory and assistance services .....	49	59	59
25.2 Other services from non-Federal sources .....	107	73	77
25.3 Other goods and services from Federal sources .....	47	49	49
25.4 Operation and maintenance of facilities .....	3	9	9
25.7 Operation and maintenance of equipment .....	158	178	180
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	48	45	47
32.0 Land and structures .....	9	7	9
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,483	1,610	1,707
99.5 Adjustment for rounding .....	-1		
99.9 Total new obligations .....	1,482	1,610	1,707

Employment Summary

Identification code 050-0100-0-1-376	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4,301	4,621	4,870

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 050-5566-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	31	31	31
Receipts:			
Current law:			
1110 Registration Fees, Securities and Exchange Commission Reserve Fund .....	50	50	50
2000 Total: Balances and receipts .....	81	81	81
Appropriations:			
Current law:			
2101 Securities and Exchange Commission Reserve Fund .....	-50	-50	-50
2103 Securities and Exchange Commission Reserve Fund .....	-30	-30	-30
2132 Securities and Exchange Commission Reserve Fund .....	30	30	
2199 Total current law appropriations .....	-50	-50	-80
2999 Total appropriations .....	-50	-50	-80
5099 Balance, end of year .....	31	31	1

Program and Financing (in millions of dollars)

Identification code 050-5566-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Enforcement .....	8	8	13
0002 Compliance Inspections and Examinations .....	9	10	14
0003 Corporation Finance .....	13	13	20
0004 Trading and Markets .....	6	5	8
0005 Investment Management .....	5	4	6
0009 Agency Direction and Administrative Support .....	12	14	19
0900 Total new obligations .....	53	54	80
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	4	3	
1050 Unobligated balance (total) .....	4	4	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	50	50	50
1203 Appropriation (previously unavailable) .....	30	30	30
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-30	-30	
1260 Appropriations, mandatory (total) .....	50	50	80
1930 Total budgetary resources available .....	54	54	80
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60	55	46
3010 Obligations incurred, unexpired accounts .....	53	54	80
3020 Outlays (gross) .....	-54	-60	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-3	
3050 Unpaid obligations, end of year .....	55	46	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60	55	46
3200 Obligated balance, end of year .....	55	46	46
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	50	50	80
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	17	47
4101 Outlays from mandatory balances .....	45	43	33
4110 Outlays, gross (total) .....	54	60	80
4180 Budget authority, net (total) .....	50	50	80
4190 Outlays, net (total) .....	54	60	80

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Dodd-Frank Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) to establish the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund in the Treasury from which the Commission may obligate

amounts determined necessary to carry out Commission functions. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is funded by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50 million and obligations from the Reserve Fund may not exceed \$100 million. The balance in the Reserve Fund may not exceed \$100 million. Amounts in the Reserve Fund are available until expended. (The remainder of registration fee collections for each fiscal year are deposited in the General Fund of the Treasury and are not available for obligation by the Commission.)

Amounts collected and deposited in the Reserve Fund are not subject to appropriation or apportionment. However, the Commission is required to notify Congress of the amount and purpose of any obligations made utilizing amounts from the Reserve Fund within 10 days.

#### Object Classification (in millions of dollars)

Identification code 050-5566-0-2-376	2015 actual	2016 est.	2017 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	5	8
25.7 Operation and maintenance of equipment .....	10	9	14
31.0 Equipment .....	38	40	58
99.9 Total new obligations .....	53	54	80

#### INVESTOR PROTECTION FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 050-5567-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	6		
0198 Sequester adjustment .....	-6		
0199 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Interest, Investor Protection Fund .....	-2	4	1
2000 Total: Balances and receipts .....	-2	4	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Investor Protection Fund .....	2	-4	-1
5099 Balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 050-5567-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Whistleblower Payments .....	15	18	18
0900 Total new obligations (object class 11.8) .....	15	18	18
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	408	397	383
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	6		
1050 Unobligated balance (total) .....	414	397	383
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	-2	4	1
1930 Total budgetary resources available .....	412	401	384
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	397	383	366

#### Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	23		
3010 Obligations incurred, unexpired accounts .....	15	18	18
3020 Outlays (gross) .....	-38	-18	-18
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	23		

#### Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	-2	4	1
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	38	18	18
4180 Budget authority, net (total) .....	-2	4	1
4190 Outlays, net (total) .....	38	18	18

#### Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	395	395	379
5001 Total investments, EOY: Federal securities: Par value .....	395	379	361

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act), Congress substantially expanded the Securities and Exchange Commission's (SEC or Commission) authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with inside knowledge to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

To comply with direction provided in the Dodd-Frank Act, the SEC's Division of Enforcement established an Office of the Whistleblower to administer and enforce the whistleblower award program. The Investor Protection Fund (the Fund), established by the Dodd-Frank Act, provides resources for payments to whistleblowers and for the SEC's Office of the Inspector General Employee Suggestion Program. Deposits into the Fund are comprised of a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the Commission under the Federal securities laws that are not added to a disgorgement fund or other fund under section 308 of the Sarbanes-Oxley Act of 2002 (P.L. 107-204), as well as amounts in such funds that will not be distributed to injured investors. No sanction collected by the Commission can be deposited into the Fund if the balance at the time the sanction is collected exceeds \$300 million. The Commission is required to submit an annual report on the whistleblower award program to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives.

The figures reported for FY 2016 and FY 2017 are based on assumptions regarding several variables inherent to litigation and to the Commission's whistleblower award process. Given the potential for significant variation in the payouts and their timing, it is possible that actual payouts will be either significantly higher or significantly lower than these estimates.

#### GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
<b>Offsetting receipts from the public:</b>			
050-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....		1	1
General Fund Offsetting receipts from the public .....		1	1

#### PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

#### Federal Funds

#### PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 526-5376-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	22	16	16
0198 Unappropriated special fund receipt adjustment .....	-4		
0199 Balance, start of year .....	18	16	16

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD—Continued  
Special and Trust Fund Receipts—Continued

Identification code 526-5376-0-2-376	2015 actual	2016 est.	2017 est.
<b>Receipts:</b>			
Current law:			
1110 Accounting Support Fees, Public Company Accounting Oversight Board .....	228	254	267
2000 Total: Balances and receipts .....	246	270	283
<b>Appropriations:</b>			
Current law:			
2101 Public Company Accounting Oversight Board .....	-1	-1	-1
2101 Public Company Accounting Oversight Board .....	-228	-253	-250
2103 Public Company Accounting Oversight Board .....	-18	-17	-17
2132 Public Company Accounting Oversight Board .....	17	17	.....
2199 Total current law appropriations .....	-230	-254	-268
2999 Total appropriations .....	-230	-254	-268
5099 Balance, end of year .....	16	16	15

**Program and Financing** (in millions of dollars)

Identification code 526-5376-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Accounting Oversight .....	245	258	267
0002 Accounting Scholarship Program .....	1	1	1
0900 Total new obligations (object class 25.1) .....	246	259	268

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		10	5
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	26	.....	.....
1050 Unobligated balance (total) .....	26	10	5
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	1	1	1
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	228	253	250
1203 Appropriation (previously unavailable) .....	18	17	17
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-17	-17	.....
1260 Appropriations, mandatory (total) .....	229	253	267
1900 Budget authority (total) .....	230	254	268
1930 Total budgetary resources available .....	256	264	273
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	10	5	5

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	246	259	268
3020 Outlays (gross) .....	-246	-259	-268

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	1
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	1	1
<b>Mandatory:</b>			
4090 Budget authority, gross .....	229	253	267
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	229	253	267
4101 Outlays from mandatory balances .....	16	5	.....
4110 Outlays, gross (total) .....	245	258	267
4180 Budget authority, net (total) .....	230	254	268
4190 Outlays, net (total) .....	246	259	268

Note: Because the Public Company Accounting Oversight Board (PCAOB) does not report budgetary data to Treasury, amounts shown above were derived from the PCAOB's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107-204) established the PCAOB to oversee the audit of public companies that are subject to the Federal securities laws. The PCAOB was created to protect the interests of investors by regulating the preparation of informative, accurate, and independent audit reports for companies whose securities are sold to and held by and for public investors. Funding for the PCAOB comes from registration and

annual fees paid by public accounting firms and accounting support fees paid by public companies.

STANDARD SETTING BODY

**Federal Funds**

PAYMENT TO STANDARD SETTING BODY

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 527-5377-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....		2	2
0198 Unappropriated special fund receipt adjustment .....	2	.....	.....
0199 Balance, start of year .....	2	2	2
<b>Receipts:</b>			
Current law:			
1110 Accounting Support Fees, Standard Setting Body .....	26	25	26
2000 Total: Balances and receipts .....	28	27	28
<b>Appropriations:</b>			
Current law:			
2101 Payment to Standard Setting Body .....	-26	-25	-24
2103 Payment to Standard Setting Body .....	-2	-2	-2
2132 Payment to Standard Setting Body .....	2	2	.....
2199 Total current law appropriations .....	-26	-25	-26
2999 Total appropriations .....	-26	-25	-26
5099 Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 527-5377-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Advisory and assistance services .....	26	25	26
0900 Total new obligations (object class 25.1) .....	26	25	26

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	26	25	24
1203 Appropriation (previously unavailable) .....	2	2	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	26	25	26
1930 Total budgetary resources available .....	26	25	26

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....	26	25	26
3020 Outlays (gross) .....	-26	-25	-26

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	26	25	26
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	26	25	26
4180 Budget authority, net (total) .....	26	25	26
4190 Outlays, net (total) .....	26	25	26

Note: Because the standard setting body does not provide budgetary data to Treasury, amounts shown above were derived from the standard setting body's financial data.

The Financial Accounting Standards Board (FASB) is an independent, private-sector organization organized in 1973 within the Financial Accounting Foundation (FAF), which is an independent, private-sector, not-for-profit corporation. The FASB consists of a seven-member board, whose members are appointed by the FAF. The FASB was originally designated by the Securities and Exchange Commission (SEC) as the authoritative standard setter for purposes of the Federal securities laws in 1973. In April 2003, the SEC reaffirmed the status of the FASB as a designated private-sector standard setting body pursuant to the Sarbanes-Oxley Act of 2002 (P.L. 107-204) (the Act), stating that the FASB's financial accounting and reporting standards are recognized as "generally accepted" for purposes of the Federal securities laws.

The Act authorizes funding for the standard setting body to be derived from accounting support fees assessed on public companies, although the FAF has, on a voluntary basis, partially offset the fees that could be assessed pursuant to the Act by payments derived from publication sales and licensing fees. Prior to the Act, the FASB was funded by voluntary contributions from public companies, public accounting firms, and other stakeholders.

## SECURITIES INVESTOR PROTECTION CORPORATION

*Federal Funds*

## SECURITIES INVESTOR PROTECTION CORPORATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 576-5600-0-2-376	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	2,146	2,415	2,529
0198 SIPC supplied adjustment .....	7		
0199 Balance, start of year .....	2,153	2,415	2,529
Receipts:			
Current law:			
1110 Assessments, SIPC .....	425	416	217
1130 Earnings on Investments, SIPC .....	27	-86	13
1199 Total current law receipts .....	452	330	230
1999 Total receipts .....	452	330	230
2000 Total: Balances and receipts .....	2,605	2,745	2,759
Appropriations:			
Current law:			
2101 Securities Investor Protection Corporation .....	-205	-215	-201
2103 Securities Investor Protection Corporation .....		-15	-15
2132 Securities Investor Protection Corporation .....	15	15	
2199 Total current law appropriations .....	-190	-215	-216
2999 Total appropriations .....	-190	-215	-216
5098 Rounding adjustment .....		-1	
5099 Balance, end of year .....	2,415	2,529	2,543

**Program and Financing** (in millions of dollars)

Identification code 576-5600-0-2-376	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Program Management .....	15	15	16
0002 Customer Claims .....	175	200	200
0900 Total new obligations (object class 25.1) .....	190	215	216
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	205	215	201
1203 Appropriation (previously unavailable) .....		15	15
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-15	-15	
1260 Appropriations, mandatory (total) .....	190	215	216
1930 Total budgetary resources available .....	190	215	216
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	190	215	216
3020 Outlays (gross) .....	-190	-215	-216
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	190	215	216
Outlays, gross:			
4100 Outlays from new mandatory authority .....	190	215	216
4180 Budget authority, net (total) .....	190	215	216
4190 Outlays, net (total) .....	190	215	216
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,060	2,360	2,543
5001 Total investments, EOY: Federal securities: Par value .....	2,360	2,543	2,613

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, amounts shown above were derived from SIPC's financial data.

SIPC was created by the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to afford certain protections to customers against loss resulting from broker-dealer failure and, thereby, promote investor confidence in the Nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under Section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC receives funds through assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of brokerage firms in SIPA liquidation. SIPC has not accessed these loans to date and the Budget does not project that SIPC will require use of these loans over the next 10 years.

## SMITHSONIAN INSTITUTION

*Federal Funds*

## SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, [\$696,045,000] \$759,224,000, to remain available until September 30, [2017] 2018, except as otherwise provided herein; of which not to exceed [\$48,233,000] \$50,467,000 for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of African American History and Culture, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 033-0100-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Public programs .....	40	42	46
0002 Exhibitions .....	49	50	50
0003 Collections .....	71	76	84
0004 Research .....	82	83	88
0005 Facilities .....	203	214	246
0006 Security & safety .....	76	78	84
0007 Information technology .....	60	62	65
0008 Operations .....	77	78	80
0009 Development .....	7	7	7
0799 Total direct obligations .....	665	690	750
0821 Salaries and Expenses (Reimbursable) .....	7	7	7
0900 Total new obligations .....	672	697	757
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	39	50	56
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	675	696	759
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	11	11
1701 Change in uncollected payments, Federal sources .....	1	-4	-4
1750 Spending auth from offsetting collections, disc (total) .....	8	7	7
1900 Budget authority (total) .....	683	703	766
1930 Total budgetary resources available .....	722	753	822
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	50	56	65

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 033-0100-0-1-503	2015 actual	2016 est.	2017 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	97	115	56
3010 Obligations incurred, unexpired accounts .....	672	697	757
3020 Outlays (gross) .....	-654	-756	-778
3050 Unpaid obligations, end of year .....	115	56	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	4	4
3071 Change in uncollected pymts, Fed sources, expired .....	1	-4	-4
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	114	55
3200 Obligated balance, end of year .....	114	55	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	683	703	766
Outlays, gross:			
4010 Outlays from new discretionary authority .....	541	612	666
4011 Outlays from discretionary balances .....	113	144	112
4020 Outlays, gross (total) .....	654	756	778
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources against gross budget authority only .....	-8	-11	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	4	4
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....		4	4
4070 Budget authority, net (discretionary) .....	675	696	759
4080 Outlays, net (discretionary) .....	646	745	767
4180 Budget authority, net (total) .....	675	696	759
4190 Outlays, net (total) .....	646	745	767

The Smithsonian Institution conducts research in natural and physical sciences, history and the history of cultures, technology and the arts. The Institution acquires and preserves more than 137 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 033-0100-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	289	300	318
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	14	14	15
11.9 Total personnel compensation .....	306	317	336
12.1 Civilian personnel benefits .....	100	104	111
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	1	1	1
23.3 Rent, Communications, and Utilities .....	86	91	99
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	118	121	139
26.0 Supplies and materials .....	18	19	23
31.0 Equipment .....	26	27	31
32.0 Land and structures .....	3	3	3
99.0 Direct obligations .....	665	690	750

99.0 Reimbursable obligations .....	7	7	7
99.9 Total new obligations .....	672	697	757

Employment Summary

Identification code 033-0100-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	4,006	4,404	4,545

FACILITIES CAPITAL

For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, **[\$144,198,000]** \$163,000,000, to remain available until expended, of which not to exceed \$10,000 shall be for services as authorized by 5 U.S.C. 3109. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 033-0103-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0010 Construction .....	24	10	48
0020 Revitalization .....	99	88	88
0030 Facilities planning and design .....	22	46	26
0900 Total new obligations .....	145	144	162
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	144	144	163
1930 Total budgetary resources available .....	159	158	177
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	14	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	114	117	133
3010 Obligations incurred, unexpired accounts .....	145	144	162
3020 Outlays (gross) .....	-142	-128	-114
3050 Unpaid obligations, end of year .....	117	133	181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	114	117	133
3200 Obligated balance, end of year .....	117	133	181
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	144	144	163
Outlays, gross:			
4010 Outlays from new discretionary authority .....	39	36	38
4011 Outlays from discretionary balances .....	103	92	76
4020 Outlays, gross (total) .....	142	128	114
4180 Budget authority, net (total) .....	144	144	163
4190 Outlays, net (total) .....	142	128	114

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design related to these activities. The 2017 President's Budget provides funds for critical infrastructure improvements at the National Museum of Natural History, the National Museum of American History, the Freer Gallery of Art, the Smithsonian Environmental Research Center, the National Zoological Park and the National Museum of the American Indian facility in New York. Current long-term projects in this

account include the Suitland Collections Facility and renovations at the National Air and Space Museum facilities.

**Object Classification** (in millions of dollars)

Identification code 033-0103-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	10	10	10
32.0 Land and structures .....	127	124	142
99.9 Total new obligations .....	145	144	162

**Employment Summary**

Identification code 033-0103-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	48	48	48

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS**

**OPERATIONS AND MAINTENANCE**

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$21,660,000]** \$22,260,000. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 033-0302-0-1-503	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 Operations and Maintenance, JFK Center for the Performing Arts (Direct) .....	22	22	22
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**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	22
1930 Total budgetary resources available .....	22	22	22

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	2
3010 Obligations incurred, unexpired accounts .....	22	22	22
3020 Outlays (gross) .....	-21	-25	-22
3050 Unpaid obligations, end of year .....	5	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	2
3200 Obligated balance, end of year .....	5	2	2

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	22	22	22
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	18	18
4011 Outlays from discretionary balances .....	3	7	4
4020 Outlays, gross (total) .....	21	25	22
4180 Budget authority, net (total) .....	22	22	22
4190 Outlays, net (total) .....	21	25	22

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

**Object Classification** (in millions of dollars)

Identification code 033-0302-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	7	6	5
25.2 Other services from non-Federal sources .....	10	11	11

99.9 Total new obligations .....	22	22	22
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**Employment Summary**

Identification code 033-0302-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	45	56	56

**CAPITAL REPAIR AND RESTORATION**

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$14,740,000]** \$13,000,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 033-0303-0-1-503	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 Capital Repair and Restoration, JFK Center for the Performing Arts (Direct) .....	4	15	13
0900 Total new obligations (object class 25.2) .....	4	15	13

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	15	13
1930 Total budgetary resources available .....	14	25	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	3	3
3010 Obligations incurred, unexpired accounts .....	4	15	13
3020 Outlays (gross) .....	-11	-15	-14
3050 Unpaid obligations, end of year .....	3	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	3	3
3200 Obligated balance, end of year .....	3	3	2

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	11	15	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	9	8
4011 Outlays from discretionary balances .....	9	6	6
4020 Outlays, gross (total) .....	11	15	14
4180 Budget authority, net (total) .....	11	15	13
4190 Outlays, net (total) .....	11	15	14

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

**NATIONAL GALLERY OF ART**

**SALARIES AND EXPENSES**

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts

NATIONAL GALLERY OF ART—Continued

made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$124,988,000] \$135,801,000**, to remain available until September 30, **[2017] 2018**, of which not to exceed **[\$3,578,000] \$3,620,000** for the special exhibition program shall remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 033-0200-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses, National Gallery of Art (Direct) .....	119	128	136
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	3
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	3	6	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	120	125	136
1930 Total budgetary resources available .....	123	131	141
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	22	21
3010 Obligations incurred, unexpired accounts .....	119	128	136
3020 Outlays (gross) .....	-118	-127	-132
3040 Recoveries of prior year unpaid obligations, unexpired .....	2	2	2
3050 Unpaid obligations, end of year .....	22	21	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	22	21
3200 Obligated balance, end of year .....	22	21	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	120	125	136
Outlays, gross:			
4010 Outlays from new discretionary authority .....	101	105	114
4011 Outlays from discretionary balances .....	17	22	18
4020 Outlays, gross (total) .....	118	127	132
4180 Budget authority, net (total) .....	120	125	136
4190 Outlays, net (total) .....	118	127	132

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

**Object Classification** (in millions of dollars)

Identification code 033-0200-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	57	61	67
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	4	3
11.9 Total personnel compensation .....	61	66	71
12.1 Civilian personnel benefits .....	19	21	22
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	8	13	13
25.2 Other services .....	19	17	16
25.4 Operation and maintenance of facilities .....	3	3	3
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	5	4	7
99.9 Total new obligations .....	119	128	136

**Employment Summary**

Identification code 033-0200-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	767	805	849

**REPAIR, RESTORATION AND RENOVATION OF BUILDINGS**

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, **[\$22,564,000] \$22,600,000**, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 033-0201-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Repair, Restoration, and Renovation of Buildings, National Gallery (Direct) .....	20	22	22
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	3
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	2	2	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19	23	23
1900 Budget authority (total) .....	19	23	23
1930 Total budgetary resources available .....	21	25	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	3	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	20	23
3010 Obligations incurred, unexpired accounts .....	20	22	22
3020 Outlays (gross) .....	-22	-18	-21
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	20	23	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	20	23
3200 Obligated balance, end of year .....	20	23	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	19	23	23
Outlays, gross:			
4011 Outlays from discretionary balances .....	22	18	21
4180 Budget authority, net (total) .....	19	23	23
4190 Outlays, net (total) .....	22	18	21

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, and leases of space necessitated by such renovations. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

**Object Classification** (in millions of dollars)

Identification code 033-0201-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.4 Operation and maintenance of facilities .....	1	1	1
32.0 Land and structures .....	19	20	20
32.0 Land and structures .....	1	1	1
99.9 Total new obligations .....	20	22	22

**Employment Summary**

Identification code 033-0201-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS**

**SALARIES AND EXPENSES**

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$10,500,000] \$10,400,000**, to remain available until September 30, **[2017] 2018**. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 033-0400-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses, Woodrow Wilson International Center for S (Direct) .....	11	11	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	11	10
1930 Total budgetary resources available .....	11	11	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	4
3010 Obligations incurred, unexpired accounts .....	11	11	10
3020 Outlays (gross) .....	-11	-11	-11
3050 Unpaid obligations, end of year .....	4	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	4
3200 Obligated balance, end of year .....	4	4	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	8	8
4011 Outlays from discretionary balances .....	4	3	3
4020 Outlays, gross (total) .....	11	11	11
4180 Budget authority, net (total) .....	11	11	10
4190 Outlays, net (total) .....	11	11	11

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

**Object Classification** (in millions of dollars)

Identification code 033-0400-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	2	2	1
99.9 Total new obligations .....	11	11	10

**Employment Summary**

Identification code 033-0400-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	43	52	52

**STATE JUSTICE INSTITUTE**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1984 (42 U.S.C. 10701 et seq.) \$5,121,000, of which \$500,000 shall remain available until September 30, **[2017] 2018: Provided**, That not to exceed \$2,250 shall be available for official reception and representation expenses: *Provided further*, That, for the purposes of section **[505]504** of this Act, the State Justice Institute shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2016.*)

**Program and Financing** (in millions of dollars)

Identification code 453-0052-0-1-752	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	5	5	5
0900 Total new obligations (object class 41.0) .....	5	5	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	6
3010 Obligations incurred, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-6	-6	-5
3050 Unpaid obligations, end of year .....	7	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	6
3200 Obligated balance, end of year .....	7	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1		
4011 Outlays from discretionary balances .....	5	6	5
4020 Outlays, gross (total) .....	6	6	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	6	6	5

The State Justice Institute (SJI) was established by Federal law (42 U.S.C. 10701 et seq.) as a non-profit corporation to award grants and undertake other activities to improve the quality of justice in state courts and foster innovative, efficient solutions to common issues faced by all courts. SJI has the authority to assist all state courts—criminal, civil, juvenile, family, and appellate—and the mandate to share the success of one state's innovations with every state court system and the Federal courts.

**SURFACE TRANSPORTATION BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, **[\$32,375,000] \$33,250,000: Provided**, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the Chairman of the Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: *Provided further*, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2016] 2017**, to result in a final appropriation from the general fund estimated at no more than **[\$31,125,000] \$32,000,000**. (*Department of Transportation Appropriations Act, 2016.*)

SALARIES AND EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 472-0301-0-1-401	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Rail carriers .....	30	31	32
0100 Total direct obligations .....	30	31	32
0812 Reimbursable rail carriers .....	1	1	1
0900 Total new obligations .....	31	32	33
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	31	32
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	32	32	33
1930 Total budgetary resources available .....	32	33	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	5
3010 Obligations incurred, unexpired accounts .....	31	32	33
3020 Outlays (gross) .....	-31	-32	-33
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	32	33
Outlays, gross:			
4010 Outlays from new discretionary authority .....	27	29	30
4011 Outlays from discretionary balances .....	4	3	3
4020 Outlays, gross (total) .....	31	32	33
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	31	31	32
4190 Outlays, net (total) .....	30	31	32

The Surface Transportation Board (the Board) was created on January 1, 1996, by P.L. 104-88, the Interstate Commerce Commission Termination Act of 1995 (ICCTA). The Surface Transportation Board Reauthorization Act of 2015 (P.L. 114-110) established the Board as a wholly independent agency and expanded the Board's membership from three to five Board Members. The Board is specifically responsible for the regulation of the rail and pipeline industries and certain non-licensing regulation of motor carriers and water carriers.

*Rail Carriers.*—This regulatory oversight encompasses the regulation of rates, mergers and acquisitions, construction, and abandonment of railroad lines, as well as the planning, analysis, and policy development associated with these activities.

*Other Surface Transportation Carriers.*—This regulatory oversight includes certain regulation of the intercity bus industry and surface pipeline carriers as well as the rate regulation of water transportation in non-contiguous domestic trade, household-good carriers, and collectively determined motor rates.

*2017 Program.*—\$ 33,250,000 is requested to implement rulemakings and adjudicate the ongoing caseload within the directives and deadlines set forth by the ICCTA. This includes a request for \$1,250,000 from offsetting collections of user fees.

The following paragraph is presented in compliance with Section 703 of the ICCTA. It is presented without change or correction.

*The Board's Request to the Office of Management and Budget (OMB).*—The Board had submitted to the Secretary of Transportation and OMB a 2017 appropriation request of \$40,105,000 and a request that

\$1,250,000 from the offsetting collection of user fees be made available to the Board to operate at 175 full-time equivalents. The offsetting collection of user fees is based on the costs incurred by the Board for fee-related activities and is commensurate with the costs of processing parties' submissions. In past fiscal years, the Board received both an appropriation and authorization for offsetting collections to be made available to the appropriation for the Board's expenses. The Budget request reflects offsetting collections as a credit to the appropriation received, to the extent that they are collected.

This level of funding is necessary to implement rulemakings and adjudicate the ongoing caseload within the deadlines imposed by ICCTA. The Board requires adequate resources to perform key functions under the ICCTA, including rail rate reasonableness oversight; the processing of rail consolidations, abandonments, and other restructuring proposals; and the resolution of non-rail matters. This request also includes staffing and resources required to implement the Board's expanded jurisdiction with respect to regulation of passenger rail service under the Passenger Rail Investment and Improvement Act of 2008 (P.L. No. 110-432) and the enhancement of the Board's audit program to monitor the financial condition of the Nation's railroads.

Object Classification (in millions of dollars)

Identification code 472-0301-0-1-401	2015 actual	2016 est.	2017 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	17	18	19
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	18	19	20
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	4	4	4
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	2	2	2
99.9 Total new obligations .....	31	32	33

Employment Summary

Identification code 472-0301-0-1-401	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	137	145	170

TENNESSEE VALLEY AUTHORITY

Federal Funds

TENNESSEE VALLEY AUTHORITY FUND

Program and Financing (in millions of dollars)

Identification code 455-4110-0-3-999	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0801 Power program: Operating expenses .....	8,789	8,833	8,861
0802 Power program: Capital expenditures .....	3,331	2,756	2,504
0803 Other Cash Items .....	22,314	19,401	22,926
0804 Non-Federal Investments .....	9,226	12,381	9,235
0809 Reimbursable program activities, subtotal .....	43,660	43,371	43,526
0900 Total new obligations .....	43,660	43,371	43,526
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,191	2,059	2,051
1022 Capital transfer of unobligated balances to general fund .....	-5	-8	-8
1050 Unobligated balance (total) .....	2,186	2,051	2,043
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	356	1,661	1,342
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	43,531	41,729	42,222
1801 Change in uncollected payments, Federal sources .....	-76	-19	-38

1827	Addition of yearly change in temporary cash investments .....	-278		
1850	Spending auth from offsetting collections, mand (total) .....	43,177	41,710	42,184
1900	Budget authority (total) .....	43,533	43,371	43,526
1930	Total budgetary resources available .....	45,719	45,422	45,569
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,059	2,051	2,043
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,031	2,125	2,125
3010	Obligations incurred, unexpired accounts .....	43,660	43,371	43,526
3020	Outlays (gross) .....	-43,566	-43,371	-43,526
3050	Unpaid obligations, end of year .....	2,125	2,125	2,125
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,677	-1,601	-1,582
3070	Change in uncollected pymts, Fed sources, unexpired .....	76	19	38
3090	Uncollected pymts, Fed sources, end of year .....	-1,601	-1,582	-1,544
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	354	524	543
3200	Obligated balance, end of year .....	524	543	581
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	43,533	43,371	43,526
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	41,340	43,526
4101	Outlays from mandatory balances .....	43,565	2,031	
4110	Outlays, gross (total) .....	43,566	43,371	43,526
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-308	-2,000	-2,000
4123	Non-Federal sources .....	-43,223	-40,897	-41,497
4130	Offsets against gross budget authority and outlays (total) ....	-43,531	-42,897	-43,497
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	76	19	38
4160	Budget authority, net (mandatory) .....	78	493	67
4170	Outlays, net (mandatory) .....	35	474	29
4180	Budget authority, net (total) .....	78	493	67
4190	Outlays, net (total) .....	35	474	29
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	25	25	25
5001	Total investments, EOY: Federal securities: Par value .....	25	25	25
5010	Total investments, SOY: non-Fed securities: Market value .....	548	270	270
5011	Total investments, EOY: non-Fed securities: Market value .....	270	270	270

**Status of Direct Loans** (in millions of dollars)

Identification code 455-4110-0-3-999	2015 actual	2016 est.	2017 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	17	26	44
1231	Disbursements: Direct loan disbursements .....	17	25	25
1251	Repayments: Repayments and prepayments .....	-8	-7	-10
1290	Outstanding, end of year .....	26	44	59

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation for the unified development of a river basin comprised of parts of seven states. The agency is currently self-funded, financing its operations almost entirely from revenues and power system financings.

*TVA's Non-Power Programs.*—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide a wide range of other public benefits. TVA is responsible for critical stewardship activities within the Tennessee Valley which include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas.

These services are funded entirely by TVA's power revenues and its user fees.

*TVA's Power Program.*—TVA supplies electric power to an area of 80,000 square miles in parts of the seven Tennessee Valley states. Estimated income from power operations, net of interest charges and depreciation, and other operating expenses is approximately \$784 million in 2017 on operating revenues of \$11.1 billion. Power generating facilities are financed from power revenues and power system financings. TVA's power system financings consist primarily of the sale of debt securities and secondarily of alternative forms of financing such as lease arrangements.

*TVA Policy Initiatives.*—TVA is executing a plan to continue to provide competitive, reliable rates to its customers. TVA worked with its local power company customers to restructure its pricing plan to put TVA in a more competitive position to attract and retain customers. TVA exceeded its cost reduction initiative goal of reducing operating costs by \$500 million from its 2013 budget by more than \$100 million and is committed to future continuous improvement initiatives. TVA plans to adjust its capital spending based on market and regulatory conditions. On October 22, 2015, the Nuclear Regulatory Commission (NRC) issued a forty-year operating license for Watts Bar Unit 2, and it is expected that the unit will begin commercial operation in the third quarter of fiscal year 2016. The total estimated cost of completion is approximately \$4.5 billion. In September 2015, Units 1 and 2 of the Sequoyah Nuclear Plant received license extensions to 2040 and 2041, respectively. During 2015, the TVA Board of Directors approved the retirement of Units 7 and 8 at Widows Creek Fossil Plant removing 938 megawatts (MW) of summer net capability from its coal-fired generation fleet. These were the last operating units at the Stevenson, Alabama facility, which stopped generating electricity in September 2015 after a 63-year history of producing power. The TVA Board also approved the acquisition of a 700 MW combined-cycle plant located in Ackerman, Mississippi and a power purchase agreement for an 80 MW solar installation in Lauderdale County, Alabama which would be the largest in the Tennessee Valley region. The TVA Board also approved the recommendations in the 2015 Integrated Resource Plan which provide strategic guidance for a diverse resource portfolio and reinforce the importance that TVA's power be reliable, affordable, and sustainable into the future. Work is also continuing on the remediation of the seepage discovered in October 2014 at TVA's Boone Dam, and the project is expected to take five to seven years to complete. TVA recently filed its Annual Report on Form 10-K with the Securities and Exchange Commission, which provides transparency of its business operations.

*Financing.*—Amounts estimated to become available for TVA programs in 2017 are to be derived from operating revenues of \$11.1 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. TVA's outstanding debt and debt-like obligations were \$26.1 billion at the beginning of 2016 and are estimated to increase to \$26.6 billion by the end of 2017, primarily from several capacity expansion projects. At the beginning of 2016, TVA had \$2.2 billion in debt-like obligations that are not counted against its statutory debt cap.

*Operating results and financial conditions.*—Payments to the Treasury from power proceeds in 2017 are estimated at an \$8 million return on the appropriation investment in the power program. Total capital spending for 2017 is estimated at \$2.5 billion, which in addition to new generation capacity includes \$320 million for environmental projects and \$1.2 billion to maintain TVA's existing generation assets. Total Government equity at September 30, 2017, is estimated to be \$776 million more than that at September 30, 2016. This change includes the estimated net income from power operations and payments to the Treasury. As of September 30, 2015 the funding status of TVA employees' defined benefit pension plan (TVARS) declined to a 53% funding ratio and \$6.0 billion unfunded liability. This compares to a 62% funding ratio and \$4.8 billion unfunded liability in 2014, and a 63% funding ratio and \$4.8 billion unfunded liability in 2013. TVA contributed \$275 million to TVARS, compared to a minimum

TENNESSEE VALLEY AUTHORITY FUND—Continued

required contribution under the TVARS rules of \$210 million, and incurred \$511 million in actuarial costs in 2015.

**Balance Sheet** (in millions of dollars)

Identification code 455-4110-0-3-999	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	35	45
Investments in US securities:		
1106 Receivables, net .....	32	29
Non-Federal assets:		
1201 Investments in non-Federal securities, net .....	1,981	2,011
1206 Receivables, net .....	1,645	1,572
1207 Advances and prepayments .....	56	54
1601 Direct loans, gross .....	211	250
1603 Allowance for estimated uncollectible loans and interest (-) .....	-2	-1
1699 Value of assets related to direct loans .....	209	249
Other Federal assets:		
1801 Cash and other monetary assets .....	5,936	5,862
1802 Inventories and related properties .....	1,056	1,030
1803 Property, plant and equipment, net .....	30,349	32,408
1901 Regulatory assets due to pensions .....	4,297	5,565
1999 Total assets .....	45,596	48,825
<b>LIABILITIES:</b>		
2101 Federal liabilities: Accounts payable .....	196	294
Non-Federal liabilities:		
2201 Accounts payable .....	1,791	1,775
2202 Interest payable .....	380	366
2203 Debt, Alternative Financing .....	2,412	2,205
2203 Debt, Notes/Bonds .....	23,576	23,750
2206 Pension and post-retirement benefits .....	5,410	6,684
2207 Other .....	5,726	6,547
2999 Total liabilities .....	39,491	41,621
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	6,105	7,204
4999 Total liabilities and net position .....	45,596	48,825

**Object Classification** (in millions of dollars)

Identification code 455-4110-0-3-999	2015 actual	2016 est.	2017 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	971	1,051	992
11.5 Other personnel compensation .....	167	120	98
11.9 Total personnel compensation .....	1,138	1,171	1,090
12.1 Civilian personnel benefits .....	795	555	529
21.0 Travel and transportation of persons .....	30	22	23
22.0 Transportation of things .....	47	3	4
23.2 Rental payments to others .....	78	67	61
24.0 Printing and reproduction .....	5	1	1
25.1 Advisory and assistance services .....	30	12	12
25.2 Other services from non-Federal sources .....	257	232	243
25.7 Operation and maintenance of equipment .....	2,450	2,102	1,749
26.0 Supplies and materials .....	1,700	1,778	1,653
31.0 Equipment .....	447	486	844
32.0 Land and structures .....	344	.....	.....
33.0 Investments and loans .....	36,080	36,856	37,288
41.0 Grants, subsidies, and contributions .....	30	29	29
42.0 Insurance claims and indemnities .....	15	.....	.....
43.0 Interest and dividends .....	214	57	.....
99.9 Total new obligations .....	43,660	43,371	43,526

**Employment Summary**

Identification code 455-4110-0-3-999	2015 actual	2016 est.	2017 est.
2001 Reimbursable civilian full-time equivalent employment .....	10,918	11,462	11,462

**UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS**

*Federal Funds*

UNITED MINE WORKERS OF AMERICA PENSION FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 476-5604-0-2-601	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Proposed:			
1240 Federal Payment to United Mine Workers of America Pension Fund .....	.....	.....	285
2000 Total: Balances and receipts .....	.....	.....	285
Appropriations:			
Proposed:			
2201 United Mine Workers of America Pension Funds .....	.....	.....	-285
5099 Balance, end of year .....	.....	.....	.....

Under current law, the Office of Surface Mining (OSM) at the Department of Interior is obligated to make annual payments to certain States as well as certain health care plans administered by the United Mine Workers of America (UMWA). OSM is also obligated to make additional payments derived from the general fund of the Treasury to those UMWA health care plans. The size of those payments depend on the interest credited to balances in the Abandoned Mine Reclamation Fund. Under current law, total obligations derived from the general fund for those purposes cannot exceed \$490 million a year. The Budget would include a legislative proposal that would pay the 1974 UMWA pension plan the difference between that cap and other OSM obligations to the states and other health care plans. Payments would be made by the Pension Benefit Guaranty Corporation within the Department of Labor. The 1974 plan, which covers more than 100,000 mineworkers, is underfunded and approaching insolvency. Payments would continue until the plan is fully funded on a current liability basis.

UNITED MINE WORKERS OF AMERICA PENSION FUNDS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 476-5604-4-2-601	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 UMWA Pension Fund .....	.....	.....	285
0900 Total new obligations (object class 42.0) .....	.....	.....	285
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	.....	.....	285
1930 Total budgetary resources available .....	.....	.....	285
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	.....	.....	285
3020 Outlays (gross) .....	.....	.....	-285
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	285
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	285
4180 Budget authority, net (total) .....	.....	.....	285
4190 Outlays, net (total) .....	.....	.....	285

*Trust Funds*

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 476-8295-0-7-551	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	53	53	52

Receipts:				
Current law:				
1110	Premiums, Combined Fund and 1992 Plan, UMWA .....	25	23	21
1140	Transfers from Abandoned Mine Reclamation Fund .....	32	29	50
1140	Federal Payment to United Mine Workers of America Combined Benefit Fund .....	164	150	115
1199	Total current law receipts .....	221	202	186
Proposed:				
1240	Federal Payment to United Mine Workers of America Combined Benefit Fund .....			90
1999	Total receipts .....	221	202	276
2000	Total: Balances and receipts .....	274	255	328
Appropriations:				
Current law:				
2101	United Mine Workers of America 1992 Benefit Plan .....	-55	-49	-41
2101	United Mine Workers of America Combined Benefit Fund .....	-106	-95	-97
2101	United Mine Workers of America 1993 Benefit Plan .....	-60	-59	-48
2199	Total current law appropriations .....	-221	-203	-186
Proposed:				
2201	United Mine Workers of America 1993 Benefit Plan .....			-90
2999	Total appropriations .....	-221	-203	-276
5099	Balance, end of year .....	53	52	52

**Program and Financing** (in millions of dollars)

Identification code 476-8295-0-7-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America Combined Benefit Fund .....	106	95	97
0900 Total new obligations (object class 42.0) .....	106	95	97

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	106	95	97
1930 Total budgetary resources available .....	106	95	97
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	106	95	97
3020 Outlays (gross) .....	-106	-95	-97

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	106	95	97
Outlays, gross:			
4100 Outlays from new mandatory authority .....	106	95	97
4180 Budget authority, net (total) .....	106	95	97
4190 Outlays, net (total) .....	106	95	97

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from the United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

**Program and Financing** (in millions of dollars)

Identification code 476-8260-0-7-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1992 Benefit Plan .....	55	49	41
0900 Total new obligations (object class 42.0) .....	55	49	41

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	55	49	41
1930 Total budgetary resources available .....	55	49	41

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	55	49	41
3020 Outlays (gross) .....	-55	-49	-41

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	55	49	41
Outlays, gross:			
4100 Outlays from new mandatory authority .....	55	49	41
4180 Budget authority, net (total) .....	55	49	41
4190 Outlays, net (total) .....	55	49	41

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; a Medicare prescription drug demonstration; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

**Program and Financing** (in millions of dollars)

Identification code 476-8535-0-7-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1993 Benefit Plan .....	60	59	48
0900 Total new obligations (object class 42.0) .....	60	59	48

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	60	60
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	60	59	48
1930 Total budgetary resources available .....	120	119	108
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	60	60	60

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	60	59	48
3020 Outlays (gross) .....	-60	-59	-48

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	60	59	48
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60	59	48
4180 Budget authority, net (total) .....	60	59	48
4190 Outlays, net (total) .....	60	59	48

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	60	59	48
Outlays .....	60	59	48
Legislative proposal, subject to PAYGO:			
Budget Authority .....			90
Outlays .....			90
Total:			
Budget Authority .....	60	59	138
Outlays .....	60	59	138

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN—Continued

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

The Budget includes a legislative proposal that would revise the formula for general fund payments to the Plan by taking into account all beneficiaries enrolled in the Plan as of enactment, as well as those retirees whose health benefits were denied or reduced as the result of a bituminous coal industry bankruptcy proceeding commenced in 2012.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 476-8535-4-7-551	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1993 Benefit Plan .....			90
0900 Total new obligations (object class 42.0) .....			90
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			90
1930 Total budgetary resources available .....			90
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			90
3020 Outlays (gross) .....			-90
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			90
Outlays, gross:			
4100 Outlays from new mandatory authority .....			90
4180 Budget authority, net (total) .....			90
4190 Outlays, net (total) .....			90

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by sections 7251 through 7298 of title 38, United States Code, **[\$32,141,000]** \$30,945,100: *Provided*, That \$2,500,000 shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth **[.]** under this heading in Public Law 102-229. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016.*)

Program and Financing (in millions of dollars)

Identification code 345-0300-0-1-705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	28	32	31
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	32	31

1930 Total budgetary resources available .....	31	32	31
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 Obligations incurred, unexpired accounts .....	28	32	31
3020 Outlays (gross) .....	-29	-32	-31
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	31	32	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	27	29	28
4011 Outlays from discretionary balances .....	2	3	3
4020 Outlays, gross (total) .....	29	32	31
4180 Budget authority, net (total) .....	31	32	31
4190 Outlays, net (total) .....	29	32	31

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act, Pub. L. No. 100-687, Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. §§ 7251-7299. The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. The judges are appointed by the President, by and with the advice and consent of the Senate, for 15-year terms, except that two have been appointed for 13-year terms pursuant to Pub. L. No. 106-117, Nov. 30, 1999. Two additional, temporary judgeships were currently authorized pursuant to 38 U.S.C. § 7253(i) and one judge having retired in September 2015, eight active judges are serving on the Court. The temporary authorization for nine judges directs that no additional judges may be appointed until there are fewer than seven judges serving. Based on potential retirements, this could occur as early as December 2016. Due to the often long lead time in appointing judges, serious consideration to extending or making permanent the authorization for nine judges is warranted. Our five senior judges may also be recalled to provide service throughout the year, as needed. Two other judges are retired due to permanent disability. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code. The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to VA benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeal. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those decisions as appropriate. Additionally, the Court has authority under 28 U.S.C. § 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and to act on applications under 28 U.S.C. § 2412(d), the Equal Access to Justice Act (EAJA). Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if *certiorari* is granted, by the United States Supreme Court. The Court is located in Washington, D.C., *see* 38 U.S.C. § 7255 (requiring the principal office of the Court and duty station of each active service judge to be located in the D.C. metropolitan area), but as a national court, the Court may sit anywhere in the United States.

In 1992, the Congress authorized the Court to transfer up to \$950,000 from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation

program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider that budget request separately from the Court's budget request, although both are submitted together.

A total of \$30,945,100 of which \$28,445,100 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by 38 U.S.C. §§ 7251–7299; and \$2,500,000, which shall be transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law No. 102–229.

**Object Classification** (in millions of dollars)

Identification code 345–0300–0–1–705	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	12	14	14
12.1 Civilian personnel benefits .....	6	8	6
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	3	3	4
25.3 Other goods and services from Federal sources .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	28	32	31

**Employment Summary**

Identification code 345–0300–0–1–705	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	112	126	126

**Trust Funds**

## COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 345–8290–0–7–705	2015 actual	2016 est.	2017 est.
0100 Balance, start of year .....	38	41	45
Receipts:			
Current law:			
1140 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE .....	1	1	1
1140 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund .....	3	4	2
1199 Total current law receipts .....	4	5	3
1999 Total receipts .....	4	5	3
2000 Total: Balances and receipts .....	42	46	48
Appropriations:			
Current law:			
2101 Court of Appeals for Veterans Claims Retirement Fund .....	–1	–1	–1
5099 Balance, end of year .....	41	45	47

**Program and Financing** (in millions of dollars)

Identification code 345–8290–0–7–705	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Court of Appeals for Veterans Claims Retirement Fund .....	1	1	1
0900 Total new obligations (object class 42.0) .....	1	1	1

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	–1	–1	–1

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	36	39	42
5001 Total investments, EOY: Federal securities: Par value .....	39	42	42

The United States Court of Appeals for Veterans Claims Retirement Fund (Retirement Fund or Fund), established under 38 U.S.C. § 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1% of their salaries to cover creditable service for retired pay purposes and 2.2% of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to the Retirement Fund from the Court's annual appropriation. The Court's contribution to the Fund is estimated annually by an actuarial firm retained by the Court. The Fund is invested solely in government securities.

**UNITED STATES ENRICHMENT CORPORATION FUND****Federal Funds**

## UNITED STATES ENRICHMENT CORPORATION FUND

**Program and Financing** (in millions of dollars)

Identification code 486–4054–0–3–271	2015 actual	2016 est.	2017 est.
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**Budgetary resources:**

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	2
1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	–2	–2	–2

**Budget authority and outlays, net:**

Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	–2	–2	–2
4180 Budget authority, net (total) .....	–2	–2	–2
4190 Outlays, net (total) .....	–2	–2	–2

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1,612	1,614	1,616
5001 Total investments, EOY: Federal securities: Par value .....	1,614	1,616	1,618
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1,612	1,614	1,616
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1,614	1,616	1,618

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2015 actual	2016 est.	2017 est.
Enacted/requested:			
Budget Authority .....	–2	–2	–2
Outlays .....	–2	–2	–2
Legislative proposal, subject to PAYGO:			
Budget Authority .....			674
Outlays .....			472
Total:			
Budget Authority .....	–2	–2	672
Outlays .....	–2	–2	470

## UNITED STATES ENRICHMENT CORPORATION FUND

## (Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 486–4054–4–3–271	2015 actual	2016 est.	2017 est.
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**Obligations by program activity:**

0001 Oak Ridge .....			140
0002 Paducah .....			187
0003 Portsmouth .....			210

UNITED STATES ENRICHMENT CORPORATION FUND—Continued  
Program and Financing—Continued

Identification code 486-4054-4-3-271	2015 actual	2016 est.	2017 est.
0004 Pension and Community and Regulatory Support .....			23
0005 Infrastructure .....			84
0006 Title X Uranium/Thorium Reimbursement Program .....			30
0900 Total new obligations .....			674
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1204 Reappropriation .....			674
1900 Budget authority (total) .....			674
1930 Total budgetary resources available .....			674
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			674
3020 Outlays (gross) .....			-472
3050 Unpaid obligations, end of year .....			202
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			202
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			674
Outlays, gross:			
4100 Outlays from new mandatory authority .....			472
4180 Budget authority, net (total) .....			674
4190 Outlays, net (total) .....			472
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			-472

The Energy Policy Act of 1992 established the United States Enrichment Corporation (USEC) as a wholly owned government corporation and transferred the Department of Energy's uranium enrichment enterprise to the corporation. EPAct also established the USEC Fund for all financial transactions of the corporation. Pursuant to the USEC Privatization Act of 1996, the government privatized USEC through a stock sale to the private sector in 1996. Since privatization, the balances in the USEC Fund remain unused and continue to accrue interest. The uranium enrichment facilities are now shut down and significantly contaminated by decades of operations for defense and non-defense commercial activities. Under EPAct, the Uranium Enrichment Decontamination and Decommissioning (UED&D) Fund pays, subject to appropriation, the decontamination and decommissioning costs of the gaseous diffusion plants in Tennessee, Ohio, and Kentucky. The Administration proposes authorize the use of balances in the USEC Fund to carry out activities currently authorized to be funded by the UED&D Fund due to higher-than-expected cleanup costs.

Object Classification (in millions of dollars)

Identification code 486-4054-4-3-271	2015 actual	2016 est.	2017 est.
Direct obligations:			
25.1 Advisory and assistance services .....			13
25.2 Other services from non-Federal sources .....			48
25.4 Operation and maintenance of facilities .....			611
41.0 Grants, subsidies, and contributions .....			2
99.9 Total new obligations .....			674

UNITED STATES HOLOCAUST MEMORIAL MUSEUM

Federal Funds

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), **[\$54,000,000]** \$56,999,500, of which **[\$1,215,000]** \$865,000 shall remain available until September 30, **[2018]** 2019, for the Museum's equipment replacement program; and of which **[\$2,500,000]**

\$2,200,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016.)

Program and Financing (in millions of dollars)

Identification code 456-3300-0-1-503	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Holocaust Memorial Museum (Direct) .....	71	72	75
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	52	54	57
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	19	15	15
1900 Budget authority (total) .....	71	69	72
1930 Total budgetary resources available .....	78	76	76
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	4	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	13	17
3010 Obligations incurred, unexpired accounts .....	71	72	75
3011 Obligations incurred, expired accounts .....	1		
3020 Outlays (gross) .....	-69	-68	-70
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	13	17	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	13	17
3200 Obligated balance, end of year .....	13	17	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	71	69	72
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	56	58
4011 Outlays from discretionary balances .....	28	12	12
4020 Outlays, gross (total) .....	69	68	70
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-19	-15	-15
4180 Budget authority, net (total) .....	52	54	57
4190 Outlays, net (total) .....	50	53	55

The Museum is a permanent living memorial to the victims of the Holocaust. As a public-private partnership, the Museum sponsors national educational outreach and scholarship, as well as annual Days of Remembrance commemorations.

Object Classification (in millions of dollars)

Identification code 456-3300-0-1-503	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	17	18	19
12.1 Civilian personnel benefits .....	11	6	6
21.0 Travel and transportation of persons .....	1		
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	5	3	3
24.0 Printing and reproduction .....	1		
25.2 Other services from non-Federal sources .....	25	25	25
25.4 Operation and maintenance of facilities .....	2	17	17
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	3		1
32.0 Land and structures .....	1		
99.9 Total new obligations .....	71	72	75

## Employment Summary

Identification code 456-3300-0-1-503	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	180	180	180

## UNITED STATES INSTITUTE OF PEACE

## Federal Funds

## UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act (22 U.S.C. 4601 et seq.), **[\$35,300,000]** \$37,884,000, to remain available until September 30, **[2017]** 2018, which shall not be used for construction activities. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016.*)

## Program and Financing (in millions of dollars)

Identification code 458-1300-0-1-153	2015 actual	2016 est.	2017 est.
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Obligations by program activity:			
0001 Operating Expenses (Direct) .....	38	36	36
0801 Operating Expenses (Reimbursable) .....	23	17	17
0900 Total new obligations .....	61	53	53

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	2	3
1021 Recoveries of prior year unpaid obligations .....	3	1	1
1050 Unobligated balance (total) .....	30	3	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	35	35	38
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	24	15	15
1701 Change in uncollected payments, Federal sources .....	11	3	3
1750 Spending auth from offsetting collections, disc (total) .....	35	18	18
1900 Budget authority (total) .....	70	53	56
1930 Total budgetary resources available .....	100	56	60
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-37		
1941 Unexpired unobligated balance, end of year .....	2	3	7

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	25	22
3010 Obligations incurred, unexpired accounts .....	61	53	53
3011 Obligations incurred, expired accounts .....	14		
3020 Outlays (gross) .....	-74	-55	-57
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	25	22	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-52	-55
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11	-3	-3
3071 Change in uncollected pymts, Fed sources, expired .....	-3		
3090 Uncollected pymts, Fed sources, end of year .....	-52	-55	-58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-9	-27	-33
3200 Obligated balance, end of year .....	-27	-33	-41

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	70	53	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	37	39
4011 Outlays from discretionary balances .....	53	18	18
4020 Outlays, gross (total) .....	74	55	57
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-24	-15	-15
4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) .....	-29	-15	-15

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-11	-3	-3
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	-6	-3	-3
4070 Budget authority, net (discretionary) .....	35	35	38
4080 Outlays, net (discretionary) .....	45	40	42
4180 Budget authority, net (total) .....	35	35	38
4190 Outlays, net (total) .....	45	40	42

Created by Congress in 1984, the United States Institute of Peace (USIP) is an independent, nonpartisan institution charged with increasing the nation's capacity to prevent, mitigate, and help resolve international conflict without violence. USIP is governed by a 15-person board made up of the Secretary of State and the Secretary of Defense, the President of the National Defense University, and 12 others appointed by the President of the United States on a bipartisan basis and confirmed by the U.S. Senate.

USIP exemplifies America's commitment to peace and acts daily to uphold that commitment. The Institute does so by engaging directly in conflict zones, where staff and local partners take significant risks in the ongoing struggle against violence. USIP also provides education, training, analysis and resources to those working for peace.

Headquartered on the National Mall, USIP advances U.S. strategic interests while helping to protect the vulnerable from conflicts that devastate lives and livelihoods. These conflicts undermine legitimate governments that attempt to resolve disputes through laws rather than arms, and violate universal standards of human dignity. All too often, they sustain extremists and their vicious ideologies. Left unaddressed, these conflicts imperil America's economic and physical security. They threaten values America shares with just societies worldwide. For these reasons, Congress included United States Institute of Peace Act in Title XVII of the Defense Authorization Act of 1985, creating an independent institute to "promote international peace and the resolution of conflicts among the nations and peoples of the world without recourse to violence."

## Object Classification (in millions of dollars)

Identification code 458-1300-0-1-153	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	9	9	9
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	22	20	20
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	38	36	36
99.0 Reimbursable obligations .....	23	17	17
99.9 Total new obligations .....	61	53	53

UNITED STATES INTERAGENCY COUNCIL ON  
HOMELESSNESS

## Federal Funds

## OPERATING EXPENSES

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, **[\$3,530,000]** \$3,600,000.

*Title II of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11319) is amended by striking "October 1, 2017", and inserting "October 1, 2020", and in section 204(a) by striking "level V" and inserting "level IV". (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016.)*

OPERATING EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 376–1300–0–1–808	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0101 Operations	3	4	4
0900 Total new obligations	3	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1930 Total budgetary resources available	4	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	3	4	4
3020 Outlays (gross)	-3	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	3	4	4

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at every level of government and with the private sector to prevent and end homelessness. In collaboration with its 19 member Federal agencies, USICH led the development of Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness, which was released in June 2010. The Plan set four ambitious goals: to prevent and end chronic homelessness; end veteran homelessness; end homelessness for families, youth and children; and set a path to preventing and ending all types of homelessness. The Budget proposes \$3.6 million for USICH to continue to work with Federal, state and local partners to implement the Plan. In addition, the Budget proposes to extend USICH's authorization through October 1, 2020, and increase the salary level for the Executive Director, consistent with other equivalent positions in the Federal Government.

Object Classification (in millions of dollars)

Identification code 376–1300–0–1–808	2015 actual	2016 est.	2017 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Adjustment for rounding	1	2	2
99.9 Total new obligations	3	4	4

Employment Summary

Identification code 376–1300–0–1–808	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment	20	21	21

VIETNAM EDUCATION FOUNDATION

Federal Funds

VIETNAM DEBT REPAYMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 519–5365–0–2–154	2015 actual	2016 est.	2017 est.
0100 Balance, start of year			

Receipts:

Current law:			
1140 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund	10	10	10
2000 Total: Balances and receipts	10	10	10
Appropriations:			
Current law:			
2101 Vietnam Debt Repayment Fund	-10	-10	-10
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 519–5365–0–2–154	2015 actual	2016 est.	2017 est.
<b>Obligations by program activity:</b>			
0001 Vietnam Debt Repayment Fund (Direct)	4	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	4	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	10	10	10
1220 Appropriations transferred to other acct [019–0209]	-5	-5	-5
1260 Appropriations, mandatory (total)	5	5	5
1930 Total budgetary resources available	8	9	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	5	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-4	-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	4	4	4
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	4	4	4

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106–554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs. Beginning in 2015, and in each subsequent year through 2018, the remaining amounts deposited into the fund from USDA and USAID shall be available to support the establishment of an independent, not-for-profit academic institution in the Social Republic of Vietnam.

Object Classification (in millions of dollars)

Identification code 519–5365–0–2–154	2015 actual	2016 est.	2017 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations	4	4	4

**Employment Summary**

Identification code 519-5365-0-2-154	2015 actual	2016 est.	2017 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2015 actual	2016 est.	2017 est.
Offsetting receipts from the public:			
519-322076 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	.....	5	5
General Fund Offsetting receipts from the public .....	.....	5	5



# AMENDMENTS TO AND REVISIONS IN BUDGET AUTHORITY FOR 2014

## STATEMENT ON CHANGES

### (Between the Transmittal of the 2016 and 2017 Budgets)

A statement of all amendments to, or revisions in, budget authority requested between transmittal of the 2016 Budget and the 2017 Budget is presented below. This statement is included in the budget in accordance with the Congressional Budget Act of 1974 (31 U.S.C. 1105(d)).

The modifications to proposals for 2016 budget authority that were made through the course of the past year took the form of Presidential amendments to the budget transmitted on February 2, 2015, and other requests.

These modifications were printed in the documents of the House of Representatives that are identified on the following listing.

Transmitted to the Congress on	Agencies affected	Printed as
April 14, 2015 .....	Department of Agriculture .....	H. Doc. No. 114-27
	Department of Defense .....	
	Department of Health and Human Services .....	

	Department of Homeland Security	
	Department of the Interior	
	Department of Justice	
	Department of State and Other International Programs	
	Department of Transportation	
	General Services Administration	
	National Aeronautics and Space Administration	
	Small Business Administration	
	Social Security Administration	
	Legislative Branch	
September 30, 2015 .....	Department of Defense .....	H. Doc. No. 114-62
	Department of Homeland Security	
	Department of State and Other International Programs	
	Broadcasting Board of Governors	
	United States Agency for International Development	
September 30, 2015 .....	Department of Agriculture .....	H. Doc. No. 114-63
December 18, 2015 .....	Department of State and Other International Programs .....	H. Doc. No. 114-87
December 18, 2015 .....	Department of Defense .....	H. Doc. No. 114-88
	Department of Homeland Security	
	Department of State and Other International Programs	
	Broadcasting Board of Governors	
	United States Agency for International Development	



## ADVANCE APPROPRIATIONS

An advance appropriation is one made to become available one year or more beyond the year for which the appropriations act is passed. Advance appropriations in 2017 appropriations acts will become available for programs in 2018 or beyond. Since these appropriations are not available until after 2017, the amounts will not be included in the 2017 totals, but will be reflected in the totals for the year for which they are requested.

The Congressional Budget Act of 1974 (31 U.S.C. 1105(a)(17)) requires inclusion in the budget of "information on estimates of appropriations for the fiscal year following the fiscal year for which the budget is submitted for grants, contracts, and other payments under each program for which there is an authorization of appropriations for that following fiscal year when the appropriations are authorized to be included in an appropriation law for the fiscal year before the fiscal year in which the appropriation is to be available for obligation." In fulfillment of this requirement, the accompanying table lists those accounts that have either received discretionary or mandatory advance appropriations since 2015 or will request, in 2017, advance appropriations for 2018 and beyond and cites the applicable authorizing statute.

For additional information on advance appropriations, please refer to the Budget Process chapter in the *Analytical Perspectives* volume.

### Advance Appropriations by Agency in the 2017 Budget

(Budget authority in millions of dollars)

Agency/Program	2015	Pre-cancellation Enacted Levels 2016	2017	2018 Request
<b>Discretionary One-year Advances:</b>				
<b>Department of Education (20 U.S.C. 1223):</b>				
Education for the Disadvantaged .....	10,841	10,841	10,841	10,841
Special Education .....	9,283	9,283	9,283	9,283
Career, Technical, and Adult Education .....	791	791	791	791
School Improvement Programs .....	1,681	1,681	1,681	1,681
<b>Department of Housing and Urban Development (42 U.S.C. 1437 et seq.):</b>				
Tenant-Based Rental Assistance .....	4,000	4,000	4,000	4,000
Project-Based Rental Assistance .....	400	400	400	400
<b>Department of Labor:</b>				
Training and Employment Services (29 U.S.C. 2801 et seq.) .....	1,772	1,772	1,772	1,772
<b>Department of Veterans Affairs (P.L. 111-81):</b>				
Medical Services .....	45,016	47,603	51,673	44,887
Medical Support and Compliance .....	5,880	6,144	6,524	6,654
Medical Facilities .....	4,739	4,915	5,074	5,435

Medical Community Care <sup>1</sup> .....			(7,246)	9,409
Enacted (P.L. 113-235 and P.L. 114-113)				
Cancellations and Reappropriations <sup>2</sup> .....	-1,750	-1,750		
2015 Enacted Cancellations (P.L. 113-235) .....	-37			
<b>General Services Administration (40 U.S.C. 592):</b>				
Federal Buildings Fund <sup>3</sup> .....				10,164
<b>Postal Service:</b>				
Payment to Postal Service Fund (39 U.S.C. 2401) .....	71	41		
<b>Discretionary Two-year Advances:</b>				
Corporation for Public Broadcasting (47 U.S.C. 396) <sup>4</sup> .....	445	445	445	445
<b>Subtotal, Discretionary Advance Appropriations .....</b>	<b>83,132</b>	<b>86,166</b>	<b>92,484</b>	<b>105,762</b>
<b>Mandatory:</b>				
<b>Department of Agriculture:</b>				
Supplemental Nutrition Assistance Program (7 U.S.C. 2027) .....				19,647
<b>Department of Health and Human Services:</b>				
Grants to States for Medicaid (42 U.S.C. 1396-1) .....	103,472	113,272	115,582	125,219
Payments to States for Child Support Enforcement and Family Support (24 U.S.C. Ch. 9) .....	1,250	1,160	1,300	1,400
Payments for Foster Care and Permanency (P.L. 96-272) .....	2,200	2,300	2,300	2,500
<b>Department of Labor:</b>				
Special Benefits for Disabled Coal Miners (30 U.S.C. 921) .....	24	21	19	16
<b>Department of Veterans Affairs (P.L. 113-235):<sup>5</sup></b>				
Compensation and Pensions .....			86,083	89,941
Readjustment Benefits .....			16,341	13,683
Veterans Insurance and Indemnities .....			92	108
<b>Social Security Administration:</b>				
Supplemental Security Income Program (42 U.S.C. 1381) .....	19,700	19,200	14,500	15,000
<b>Total, Advance Appropriations .....</b>	<b>209,778</b>	<b>222,119</b>	<b>328,701</b>	<b>373,276</b>

<sup>1</sup>Consistent with section 4003 of the Surface Transportation and Veterans Health Care Choice Improvement Act of 2015 (P.L. 114-41), the Budget requests \$7.2 billion be reallocated from the 2017 advance appropriation enacted in the Consolidated Appropriations Act, 2016 (P.L. 114-113) to the new Medical Community Care account and includes the new account in its advance appropriations request for veterans medical care for 2018.

<sup>2</sup>The Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235) cancelled \$1,750 million from the one-year funds advance appropriated for 2015 in P.L. 113-76 and reappropriated an equal amount with an extended period of availability. The Consolidated Appropriations Act, 2016 (P.L. 114-113) cancelled \$1,750 million from the one-year funds advance appropriated for 2016 in P.L. 113-235 and reappropriated an equal amount with an extended period of availability.

<sup>3</sup>The requested advance appropriation for the General Services Administration will be fully offset in 2018 by collections in the Federal Buildings Fund.

<sup>4</sup>The 2017 request includes a 2019 advance appropriation for the Corporation for Public Broadcasting of \$445 million, consistent with the historical practice of providing the Corporation a two-year advance appropriation.

<sup>5</sup>The 2018 amounts are net of the \$178 million in savings for Compensation and Pension and \$26 million in savings for Readjustment Benefits that will result from enactment of authorizing legislation that is proposed as part of the 2017 President's Budget.



## FINANCING VEHICLES AND THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE

This chapter contains descriptions of and data on financing vehicles and the Board of Governors of the Federal Reserve System. The Financing Corporation functions as a financing vehicle for the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund. The Resolution Funding Corporation provided financing for the Resolution Trust Corporation (RTC) and is subject to the general oversight and direction of the Secretary of the Treasury.

The Board of Governors of the Federal Reserve System's transactions are not included in the Budget because of its unique status in the conduct of monetary policy. The Board provides data on its administrative budget, which is included here for information. Its budget is not subject to review by the President and is executed and presented here on a calendar-year basis.

The 2014 balance sheets for the Financing Corporation and Resolution Funding Corporation are as of December 31, 2014, and the 2015 balance sheets are as of September 30, 2015.

### FINANCING CORPORATION

The Financing Corporation (FICO) is a mixed-ownership Government corporation, chartered by the Federal Home Loan Bank Board pursuant to the Federal Savings and Loan Insurance Corporation Recapitalization Act of 1987, as amended (the Act). FICO's sole purpose is to function as a financing vehicle for the FSLIC Resolution Fund, formerly the Federal Savings and Loan Insurance Corporation. Pursuant to the Act, FICO was authorized to issue debentures, bonds, and other obligations subject to limitations contained in the Act, the net proceeds of which were to be used solely to purchase capital certificates issued by the FSLIC Resolution Fund or to refund any previously issued obligations. The Resolution Trust Corporation Refinancing, Restructuring, and Improvement Act of 1991 terminated FICO's borrowing authority.

The Act provided formulas pursuant to which the Federal Home Loan Banks make capital contributions to FICO. FICO used the proceeds received from the sales of such capital stock to purchase non-interest bearing securities for deposit in a segregated account as required by the Act. The non-interest bearing securities held in the segregated account are the primary source of repayment of the principal of FICO obligations. Securities in the segregated account are kept separate from other FICO accounts and funds, but are not specifically pledged as collateral for the payment of obligations. The primary source of payment of interest on the obligations is the receipt of assessments imposed on and collected from institutions' accounts, which are insured by the Federal Deposit Insurance Corporation's Deposit Insurance Fund.

### Balance Sheet (in millions of dollars)

Identification code 920-4980-0-4-373	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Segregated accounts investment, net .....	6,418	6,841
Other Federal assets:		
1801 Cash, cash equivalents .....	210	294
1901 Other assets .....	5	4
1999 Total assets .....	6,633	7,139
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	157	236
2203 Debt .....	8,158	8,160
2207 Other .....	70	72
2999 Total liabilities .....	8,385	8,468
<b>NET POSITION:</b>		
3100 FICO capital stock purchased by FHLBanks .....	680	680
3300 Cumulative results of operations .....	5,738	6,161
3300 FSLIC capital certificates .....	-8,170	-8,170
3999 Total net position .....	-1,752	-1,329

4999 Total liabilities and net position .....	6,633	7,139
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### RESOLUTION FUNDING CORPORATION

The Resolution Funding Corporation (REFCORP) is a mixed-ownership Government corporation established by Title V of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). The sole purpose of REFCORP was to provide financing for the Resolution Trust Corporation (RTC). Pursuant to FIRREA, REFCORP was authorized to issue debentures, bonds, and other obligations, subject to limitations contained in the Act and regulations established by the Thrift Depositor Protection Oversight Board. The proceeds of the debt (less any discount, plus any premium, net of issuance cost) were used solely to purchase nonredeemable capital certificates of RTC or to refund any previously issued obligations.

Until October 29, 1998, REFCORP was subject to the general oversight and direction of the Thrift Depositor Protection Oversight Board. At that time, the Oversight Board was abolished and its authority and duties were transferred to the Secretary of the Treasury. The day-to-day operations of REFCORP are under the management of a three-member Directorate composed of the Chief Executive Officer of the Office of Finance of the Federal Home Loan Banks and two members selected from among the presidents of the 11 Federal Home Loan Banks (FHLBanks). Members of the Directorate serve without compensation, and REFCORP is not permitted to have any paid employees.

FIRREA, as amended, and the regulations adopted by the Thrift Depositor Protection Oversight Board and the Secretary of the Treasury required that Federal Home Loan Banks (FHLBs) contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by REFCORP until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011.

### Balance Sheet (in millions of dollars)

Identification code 920-4981-0-4-373	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Principal fund account investment, net .....	15,966	16,924
1206 Non-Federal assets: Assessments receivable for interest expense .....	886	888
1999 Total assets .....	16,852	17,812
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Accrued interest payable on long-term obligations .....	886	888
2203 Debt .....	30,065	30,064
2999 Total liabilities .....	30,951	30,952
<b>NET POSITION:</b>		
3100 Nonvoting capital stock issued to FHLBanks .....	2,513	2,513
3300 Cumulative results of operations .....	13,618	14,577
3300 RTC nonredeemable capital certificates .....	-31,286	-31,286
3300 Contributed capital - principal fund assessments .....	1,056	1,056
3999 Total net position .....	-14,099	-13,140
4999 Total liabilities and net position .....	16,852	17,812

### BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

#### Program and Financing (in millions of dollars)

Identification code 920-4982-0-4-803	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0801 Monetary and economic policy .....	124	136	139
0802 Federal Reserve System policy direction .....	35	37	38

BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM—Continued  
**Program and Financing—Continued**

Identification code 920-4982-0-4-803	2014 actual	2015 est.	2016 est.
0803 Supervisory, regulatory, and legal services .....	198	215	219
0804 Support and security services .....	198	214	219
0805 Extraordinary items .....	9	26	40
0806 Below reporting threshold .....	2	1	.....
0809 Reimbursable program activities, subtotal .....	566	629	655
0810 Office of Inspector General operating expenses .....	25	29	32
0900 Total new obligations .....	591	658	687
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800    Collected .....	591	658	687
1930 Total budgetary resources available .....	591	658	687
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	591	658	687
3020 Outlays (gross) .....	-591	-658	-687
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	591	658	687
4110 Outlays, gross (total) .....	591	658	687
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123      Non-Federal sources .....	-591	-658	-687
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The Federal Reserve System operates under the provisions of the Federal Reserve Act of 1913, as amended, and other acts of the Congress.

To carry out its responsibilities under this Act, the Board determines general monetary, credit, and operating policies for the System as a whole and formulates the rules and regulations necessary to carry out the purposes

of the Act. The Board's principal duties consist of exerting an influence over credit conditions and supervising the Federal Reserve banks and member banks.

Under the provisions of section 10 of the Federal Reserve Act, the Board of Governors levies upon the Federal Reserve banks, in proportion to their capital and surplus, an assessment sufficient to pay its estimated expenses. Also under the Act, the Board determines and prescribes the manner in which its obligations are incurred and its expenses paid. Funds derived from assessments are deposited in the Federal Reserve Bank of Richmond and the Act provides that such funds "not be construed to be Government funds or appropriated moneys." No Government appropriation is required to support operations of the Board.

The information presented pertains to Board operations only; expenditures made for production, issuance, retirement, and shipment of Federal Reserve notes are not included because those costs are reimbursed in full by the Federal Reserve banks.

**Object Classification** (in millions of dollars)

Identification code 920-4982-0-4-803	2014 actual	2015 est.	2016 est.
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent .....	351	380	392
11.9    Total personnel compensation .....	351	380	392
12.1    Civilian personnel benefits .....	71	77	76
13.0    Benefits for former personnel .....	7	6	6
21.0    Travel and transportation of persons .....	15	15	15
22.0    Transportation of things .....	.....	1	1
23.2    Rental payments to others .....	18	26	27
23.3    Communications, utilities, and miscellaneous charges .....	11	10	10
24.0    Printing and reproduction .....	2	2	2
25.1    Advisory and assistance services .....	58	67	81
25.2    Other services from non-Federal sources .....	26	40	43
25.4    Operation and maintenance of facilities .....	2	3	3
25.7    Operation and maintenance of equipment .....	4	5	5
26.0    Supplies and materials .....	2	2	2
31.0    Equipment .....	24	24	24
99.9 Total new obligations .....	591	658	687

## GOVERNMENT-SPONSORED ENTERPRISES

This chapter contains descriptions of the data on the Government-sponsored enterprises listed below. These enterprises were established and chartered by the Federal Government for public policy purposes. They are not included in the Federal Budget because they are private companies, and their securities are not backed by the full faith and credit of the Federal Government. However, because of their public purpose, detailed statements of financial condition are presented, to the extent such information is available, on a basis that is as consistent as practicable with the basis for the budget data of Government agencies.

—The Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation provide assistance to the secondary market for residential mortgages.

—The Federal Home Loan Banks assist thrift institutions, banks, insurance companies, and credit unions in providing financing for housing and community development.

—Institutions of the Farm Credit System, which include the Agricultural Credit Bank and Farm Credit Banks, provide financial assistance to agriculture. They are regulated by the Farm Credit Administration.

—The Federal Agricultural Mortgage Corporation, also a Farm Credit System institution under the regulation of the Farm Credit Administration, provides a secondary market for agricultural real estate, rural housing loans, and certain rural utility loans, as well as for farm and business loans guaranteed by the U.S. Department of Agriculture.

### FEDERAL NATIONAL MORTGAGE ASSOCIATION

#### PORTFOLIO PROGRAMS

##### Status of Direct Loans (in millions of dollars)

Identification code 915-4986-0-4-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	438,100	370,450	339,304
1251 Repayments: Net repayments and prepayments .....	-67,650	-31,146	-50,896
1290 Outstanding, end of year .....	370,450	339,304	288,408

The Federal National Mortgage Association (Fannie Mae) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Fannie Mae is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Fannie Mae engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Fannie Mae was established in 1938 to assist private markets in providing a steady supply of funds for housing. Fannie Mae was originally a subsidiary of the Reconstruction Finance Corporation and was permitted to purchase only loans insured by the Federal Housing Administration (FHA). In 1954, Fannie Mae was restructured as a mixed ownership (part government, part private) corporation. Legislation directed the sale of the Government's remaining interest in Fannie Mae in 1968 and completed the transformation to private shareholder ownership in 1970.

The Housing and Economic Recovery Act (HERA) of 2008 strengthened housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and providing temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. On September 6, 2008, FHFA placed Fannie Mae under Federal conservatorship to avoid a possible collapse of the housing finance market and further risks to the broader financial market. On the following day, the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Fannie Mae to make investments

of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by Fannie Mae as of December 31, 2012, and under the terms of the PSPA, the cumulative funding commitment cap for Fannie Mae was set at \$233.7 billion. As of December 31, 2015, Fannie Mae had received \$116.1 billion under the PSPA and made a total of \$144.8 billion in dividend payments to Treasury on the senior preferred stock. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Fannie Mae, including the PSPA, is shown on-budget. For additional discussion and analyses of Fannie Mae, please see the *Analytical Perspectives* volume of the Budget documents.

#### Balance Sheet (in millions of dollars)

Identification code 915-4986-0-4-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par .....	17,757	26,961
1201 Non-Federal assets: Investments in non-Federal securities, net .....	29,450	26,600
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities .....	287,584	248,930
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts .....	2,767,805	2,804,581
1604 Direct loans and interest receivable, net .....	3,055,389	3,053,511
1606 Acquired Property, net .....	11,339	7,691
1699 Value of assets related to direct loans .....	3,066,728	3,061,202
Other Federal assets:		
1801 Cash and other monetary assets .....	73,624	77,007
1901 Other assets .....	42,757	39,012
1999 Total assets .....	3,230,316	3,230,782
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	10,492	10,016
2203 Debt .....	474,952	417,458
2203 Debt - Consolidated Trusts .....	2,726,528	2,788,787
2207 Other .....	11,945	10,518
2999 Total liabilities .....	3,223,917	3,226,779
<b>NET POSITION:</b>		
3300 Senior Preferred Stock .....	117,149	117,149
3300 Private Equity .....	-110,800	-113,177
3300 Noncontrolling Interest .....	50	31
3999 Total net position .....	6,399	4,003
4999 Total liabilities and net position .....	3,230,316	3,230,782

#### MORTGAGE-BACKED SECURITIES

##### Status of Direct Loans (in millions of dollars)

Identification code 915-4987-0-4-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,768,291	2,801,954	2,801,954
1231 Disbursements: Direct loan disbursements .....	522,948	.....	.....
1251 Repayments: Repayments and prepayments .....	-489,285	.....	.....
1290 Outstanding, end of year .....	2,801,954	2,801,954	2,801,954

Prior to January 1, 2010, the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Fannie Mae were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Fannie Mae, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards imple-

## MORTGAGE-BACKED SECURITIES—Continued

mented on January 1, 2010, require consolidation of many, but not all, of these securities in Fannie Mae's financial statements. For the purposes of the Budget they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Fannie Mae as "Issuances" and "Liquidations" respectively.

## FEDERAL HOME LOAN MORTGAGE CORPORATION

## PORTFOLIO PROGRAMS

## Status of Direct Loans (in millions of dollars)

Identification code 913-4988-0-4-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	413,610	367,145	339,304
1251 Repayments: Repayments and prepayments .....	-46,465	-27,841	-50,896
1290 Outstanding, end of year .....	367,145	339,304	288,408

The Federal Home Loan Mortgage Corporation (Freddie Mac) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Freddie Mac is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market, and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Freddie Mac engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Freddie Mac was established in 1970 under the Emergency Home Finance Act. The Congress chartered Freddie Mac to provide mortgage lenders with an organized national secondary market enabling them to manage their conventional mortgage portfolio more effectively and gain indirect access to a ready source of additional funds to meet new demands for mortgages. Freddie Mac serves as a conduit facilitating the flow of investment dollars from the capital markets to mortgage lenders, and ultimately, to homebuyers.

The Housing and Economic Recovery Act (HERA) of 2008 strengthened housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. On September 6, 2008, FHFA placed Freddie Mac under Federal conservatorship to avoid a possible collapse of the housing finance market and further risks to the broader financial market. On the following day, the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Freddie Mac to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by Freddie Mac as of December 31, 2012, and under the terms of the PSPA, the cumulative funding commitment cap for Freddie Mac was set at \$211.8 billion. As of December 31, 2015, Freddie Mac had received \$71.3 billion under the PSPA and made a total of \$96.5 billion in dividend payments to Treasury on the senior preferred stock. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Freddie Mac, including the PSPA, is shown on-budget. For additional discussion and analyses of Freddie Mac, please see the *Analytical Perspectives* volume of the Budget documents.

## Balance Sheet (in millions of dollars)

Identification code 913-4988-0-4-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par .....	9,159	12,158
1201 Non-Federal assets: Investments in non-Federal securities, net .....	29,956	38,738
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities .....	275,490	239,797
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts .....	1,549,533	1,615,291
1604 Direct loans and interest receivable, net .....	1,825,023	1,855,088
1606 Acquired property, net .....	2,911	1,795
1699 Value of assets related to direct loans .....	1,827,934	1,856,883
Other Federal assets:		
1801 Cash and other monetary assets .....	37,254	36,551
1901 Other assets .....	18,481	17,817
1999 Total assets .....	1,922,784	1,962,147
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	6,217	6,135
2203 Debt .....	435,706	408,281
2203 Debt - Consolidated Trusts .....	1,467,845	1,539,108
2207 Other .....	7,830	7,324
2999 Total liabilities .....	1,917,598	1,960,848
<b>NET POSITION:</b>		
3300 Senior Preferred Stock .....	72,336	72,336
3300 Private Equity .....	-67,150	-71,037
3999 Total net position .....	5,186	1,299
4999 Total liabilities and net position .....	1,922,784	1,962,147

## MORTGAGE-BACKED SECURITIES

## Status of Direct Loans (in millions of dollars)

Identification code 914-4989-0-4-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,646,431	1,719,202	1,719,202
1231 Disbursements: Direct loan disbursements .....	379,847	.....	.....
1251 Repayments: Repayments and prepayments .....	-307,076	.....	.....
1290 Outstanding, end of year .....	1,719,202	1,719,202	1,719,202

Prior to January 1, 2010, the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Freddie Mac were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Freddie Mac, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010, require consolidation of many, but not all, of these securities in Freddie Mac's financial statements. For the purposes of the Budget, they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Freddie Mac as "Issuances" and "Liquidations" respectively.

## FEDERAL HOME LOAN BANK SYSTEM

## FEDERAL HOME LOAN BANKS

## Status of Direct Loans (in millions of dollars)

Identification code 913-4990-0-4-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	587,969	636,324	636,324
1231 Disbursements: Direct loan disbursements .....	5,097,753	5,097,753	5,097,753
1251 Repayments: Repayments and prepayments .....	-5,049,665	-5,097,753	-5,097,753
1264 Write-offs for default: Other adjustments, net (+ or -) .....	267	.....	.....
1290 Outstanding, end of year .....	636,324	636,324	636,324

The Federal Home Loan Bank System is a Government-sponsored enterprise (GSE) in the housing finance market. The Federal Home Loan Banks were chartered by the Federal Home Loan Bank Board under the authority of the Federal Home Loan Bank Act of 1932 (Act). The 11 Federal Home Loan Banks (FHLBanks) are under the supervision of the Federal Housing Finance Agency (FHFA), established by the Congress in 2008. The common mission of FHLBanks is to facilitate the extension of credit through their members. To accomplish this mission, FHLBanks make loans, called "advances", and provide other credit products and services to their over 7,300 member commercial banks, savings associations, insurance companies, and credit unions. Advances and letters of credit must be fully secured by eligible collateral, and long-term advances may be made only for the purpose of providing funds for residential housing finance. However, "community financial institutions" may also use long-term advances to finance small businesses, small farms, and small agribusinesses. Additionally, specialized advance programs provide funds for community reinvestment and affordable housing programs. All regulated financial depositories, certified community development financial institutions, and insurance companies engaged in residential housing finance are eligible for membership, and must meet other requirements in the Act to obtain membership. Each FHLBank operates in a geographic district and together FHLBanks cover all of the United States, as well as the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. The principal source of funds for the lending operation is the sale of consolidated obligations to the public. The consolidated obligations are not guaranteed by the U.S. Government as to principal or interest. Other sources of lendable funds include members' deposits and capital. Funds not immediately needed for advances to members are invested. The capital stock of the Federal Home Loan Banks is owned entirely by the members. Initially the U.S. Government purchased stock of the banks in the amount of \$125 million. The banks had repurchased the Government's investment in full by mid-1951. The Act, as amended in 1989, requires each FHLBank to operate an Affordable Housing Program (AHP). Each FHLBank provides subsidies in the form of direct grants or below-market rate advances for members that use the funds for qualifying affordable housing projects. Each of the FHLBanks must set aside annually 10 percent of its previous year's net earnings, subject to an aggregate minimum of \$100 million, for the AHP. The Act, as amended in 1999, also required that FHLBanks contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by the Resolution Funding Corporation until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBanks fulfilled this obligation on August 5, 2011. For additional discussion and analyses of the FHLBanks, please see the *Analytical Perspectives* volume of the Budget.

#### Balance Sheet (in millions of dollars)

Identification code 913-4990-0-4-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in US securities:		
1102 Treasury securities, par .....	1,229	307
Non-Federal assets:		
1201 Investments in non-Federal securities, net .....	238,354	263,134
1206 Accounts receivable .....	1,094	1,106
1401 Net value of assets related to direct loans receivable: Direct loans receivable, gross .....	587,891	636,325
Other Federal assets:		
1801 Cash and other monetary assets .....	53,488	17,480
1803 Property, plant and equipment, net .....	223	210
1901 Other assets .....	1,060	1,364
1999 Total assets .....	883,339	919,926
<b>LIABILITIES:</b>		
2101 Federal liabilities: REFCORP and Affordable Housing Program .....	793	833
Non-Federal liabilities:		
2202 Interest payable .....	1,374	1,353
2203 Debt .....	818,042	858,606
2207 Deposit funds and other borrowing .....	9,322	8,268
2207 Other .....	7,170	4,649

2999 Total liabilities .....	836,701	873,709
<b>NET POSITION:</b>		
3100 Invested capital .....	46,638	46,217
4999 Total liabilities and net position .....	883,339	919,926

## FARM CREDIT SYSTEM

The Farm Credit System (System) is a Government-sponsored enterprise that provides privately financed credit to agricultural and rural communities. The major functional entities of the System are (1) the Agricultural Credit Bank (ACB); (2) the Farm Credit Banks (FCBs); and (3) the direct-lender associations. Farmer Mac, which is also an institution of the System, is discussed separately below. The history and specific functions of the bank entities are discussed after the presentation of financial schedules for each bank entity. As part of the System, these entities are regulated and examined by the Farm Credit Administration (FCA), an independent Federal agency. The administrative costs of FCA are financed by assessments on System institutions, including Farmer Mac. System banks finance loans primarily from sales of bonds to the public and their own capital funds. The System bonds issued by the banks are not guaranteed by the U.S. Government as to either principal or interest. The bonds are backed by an insurance fund, administered by the Farm Credit System Insurance Corporation (FCSIC), an independent Federal agency that collects insurance premiums from member banks to pay its administrative expenses and fund insurance reserves. All of the banks' current operating expenses are paid from their own income and do not require budgetary resources from the Federal Government.

#### AGRICULTURAL CREDIT BANK

##### Status of Direct Loans (in millions of dollars)

Identification code 912-4991-0-4-351	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	75,638	84,524	85,914
1231 Disbursements: Direct loan disbursements .....	307,720	314,859	322,164
1251 Repayments: Repayments and prepayments .....	-298,827	-313,427	-320,139
1263 Write-offs for default: Direct loans .....	-7	-42	-54
1290 Outstanding, end of year .....	84,524	85,914	87,885

CoBank, ACB, which is headquartered outside Denver, Colorado, serves eligible cooperatives nationwide and provides funding to Agricultural Credit Associations (ACAs) and Federal Land Credit Associations (FLCAs) in its chartered district. CoBank, ACB, is the only Agricultural Credit Bank (ACB) in the Farm Credit System. The ACB operates under statutory authority that combines the authorities of a Farm Credit Bank (FCB) and a Bank for Cooperatives (BC). In exercising its FCB authority, CoBank's charter limits its lending to 25 ACAs and one FLCA located in the northeast, central, and western regions of the country. As an entity lending to cooperatives, CoBank is chartered to provide credit and related services nationwide to eligible cooperatives primarily engaged in farm supply, grain, marketing, and processing (including sugar, dairy, and ethanol). CoBank also makes loans to rural utilities, including telecommunications companies, and it provides international loans for the financing of agricultural exports.

##### Statement of Changes in Net Worth (in thousands of dollars)

	2014 act.	2015 act.	2016 est.	2017 est.
Beginning balance of net worth .....	6,609,288	7,061,398	7,800,245	8,129,335
Capital stock and participations issued .....	26,635	335,770	51,693	29,968
Capital stock and participations retired .....	33,439	169,301	29,400	28,100
Net income .....	916,449	915,751	866,495	821,553
Cash/Dividends/Patronage Distributions .....	-428,852	-456,814	-487,810	-505,858
Other, net .....	-28,683	113,441	-71,888	-15,238
Ending balance of net worth .....	7,061,398	7,800,245	8,129,335	8,431,660

AGRICULTURAL CREDIT BANK—Continued

Financing Activities (in thousands of dollars)

	2014 act.	2015 act.	2016 est.	2017 est.
Beginning balance of outstanding system obligations .....	82,111,600	88,513,292	97,279,304	100,796,741
Consolidated systemwide and other bank bonds issued .....	26,128,369	41,260,664	42,217,911	43,197,367
Consolidated systemwide and other bank bonds retired .....	21,309,889	31,383,539	39,150,224	40,380,459
Consolidated systemwide notes, net .....	1,674,497	-1,043,732	500,000	500,000
Other (Net) .....	-91,285	-67,381	-50,250	-36,250
Ending balance of outstanding system obligations .....	88,513,292	97,279,304	100,796,741	104,077,399

Balance Sheet (in millions of dollars)

Identification code 912-4991-0-4-351	2014 actual	2015 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Cash and investment securities .....	24,965	25,081
1206 Accrued interest receivable on loans .....	357	315
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross .....	75,638	84,524
1603 Allowance for estimated uncollectible loans and interest (-) .....	-407	-460
1699 Value of assets related to direct loans .....	75,231	84,064
1803 Other Federal assets: Property, plant and equipment, net .....	1,071	1,025
1999 Total assets .....	101,624	110,485
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1,316	1,484
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds .....	88,513	97,279
2201 Notes payable and other interest-bearing liabilities .....	4,461	3,636
2202 Accrued interest payable .....	273	286
2999 Total liabilities .....	94,563	102,685
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	7,061	7,800
4999 Total liabilities and net position .....	101,624	110,485

FARM CREDIT BANKS

Status of Direct Loans (in millions of dollars)

Identification code 912-4992-0-4-371	2015 actual	2016 est.	2017 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	108,205	115,851	119,570
1231 Disbursements: Direct loan disbursements .....	191,962	202,012	212,677
1251 Repayments: Repayments and prepayments .....	-184,314	-198,279	-207,492
1263 Write-offs for default: Direct loans .....	-2	-14	-20
1290 Outstanding, end of year .....	115,851	119,570	124,735

The Agricultural Credit Act of 1987 (1987 Act) required the Federal Land Banks (FLBs) and Federal Intermediate Credit Banks (FICBs) to merge into a Farm Credit Bank (FCB) in each of the 12 Farm Credit districts. FCBs operate under statutory authority that combines the prior authorities of an FLB and of an FICB. No merger occurred in the Jackson district in 1988 because the FLB of Jackson was in receivership. Pursuant to section 410(e) of the 1987 Act, as amended by the Farm Credit Banks Safety and Soundness Act of 1992, FICB of Jackson merged with FCB of Columbia on October 1, 1993. Mergers and consolidations of FCBs across district lines, which began in 1992, have continued to date. As a result of this restructuring activity, three FCBs, headquartered in the following cities, remain as of October 1, 2015: AgFirst Farm Credit Bank, Columbia, South Carolina; AgriBank, FCB, St. Paul, Minnesota; and FCB of Texas, Austin, Texas.

FCBs serve as discount banks and, as of October 1, 2015, provided funds to one Federal Land Credit Association (FLCA) and 49 Agricultural Credit Associations (ACAs). These direct-lender associations, in turn, primarily make short- and intermediate-term production loans and long-term real estate loans to eligible farmers and ranchers, farm-related businesses, and rural homeowners. FCBs can also lend to other financing insti-

tutions, including commercial banks, as authorized by the Farm Credit Act of 1971, as amended.

All the capital stock of FICBs, from their organization in 1923 to December 31, 1956, was held by the U.S. Government. The Farm Credit Act of 1956 provided a long-range plan for the eventual ownership of the FICBs by the production credit associations and the gradual retirement of the Government's investment in the banks. This retirement was accomplished in full on December 31, 1968. The last of the Government capital that had been invested in FLBs was repaid in 1947.

Statement of Changes in Net Worth (in thousands of dollars)

	2014 act.	2015 act.	2016 est.	2017 est.
Beginning balance of net worth .....	8,615,024	8,828,842	9,149,023	9,491,888
Capital stock and participations issued .....	373,384	185,976	190,100	193,290
Capital stock and participations retired .....	559,397	85,259	70,741	74,322
Surplus Retired .....	-43	-2,162	0	0
Net income .....	1,140,319	1,049,060	877,182	882,883
Cash/Dividends/Patronage Distributions .....	-777,461	-778,566	-655,281	-551,848
Other, net .....	36,930	-53,192	1,605	-49,133
Ending balance of net worth .....	8,828,842	9,149,023	9,491,888	9,892,758

Financing Activities (in thousands of dollars)

	2014 act.	2015 act.	2016 est.	2017 est.
Beginning balance of outstanding system obligations .....	118,125,324	125,494,765	133,822,885	138,943,867
Consolidated systemwide and other bank bonds issued .....	268,562,125	222,845,285	226,189,209	235,002,654
Consolidated systemwide and other bank bonds retired .....	263,321,191	215,967,375	222,218,861	225,855,012
Consolidated systemwide notes, net .....	2,128,507	1,450,210	1,150,634	1,392,485
Other (Net) .....	0	0	0	0
Ending balance of outstanding system obligations .....	125,494,765	133,822,885	138,943,867	149,483,994

Balance Sheet (in millions of dollars)

Identification code 912-4992-0-4-371	2014 actual	2015 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Cash and investment securities .....	27,610	28,678
1206 Accrued Interest Receivable .....	475	502
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross .....	108,204	115,850
1603 Allowance for estimated uncollectible loans and interest (-) .....	-38	-37
1699 Value of assets related to direct loans .....	108,166	115,813
1803 Other Federal assets: Property, plant and equipment, net .....	534	560
1999 Total assets .....	136,785	145,553
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	323	394
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds .....	125,495	133,822
2201 Notes payable and other interest-bearing liabilities .....	1,835	1,849
2202 Accrued interest payable .....	303	339
2999 Total liabilities .....	127,956	136,404
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	8,829	9,149
4999 Total liabilities and net position .....	136,785	145,553

FEDERAL AGRICULTURAL MORTGAGE CORPORATION

Status of Guaranteed Loans (in millions of dollars)

Identification code 912-4993-0-4-351	2015 actual	2016 est.	2017 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	14,005	15,628	15,628
2231 Disbursements of new guaranteed loans .....	3,479	.....	.....
2251 Repayments and prepayments .....	-1,856	.....	.....
2290 Outstanding, end of year .....	15,628	15,628	15,628
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,899	.....	.....

## FARMER MAC

Farmer Mac is authorized under the Farm Credit Act of 1971, as amended by the Agricultural Credit Act of 1987 (Act), to create a secondary market for agricultural real estate and rural home mortgages. The Farmer Mac title of the Act was amended by the 1990 farm bill to authorize Farmer Mac to purchase, pool, and securitize the guaranteed portions of farmer program, rural business, and community development loans guaranteed by the U.S. Department of Agriculture (USDA). The Farmer Mac title was amended in 1991 to clarify Farmer Mac's authority to issue debt obligations, provide for the establishment of minimum capital standards, establish the Office of Secondary Market Oversight at the Farm Credit Administration (FCA), and expand the Agency's rulemaking authority. The Farm Credit System Reform Act of 1996 (1996 Act) amended the Farmer Mac title to allow Farmer Mac to purchase loans directly from lenders and to issue and guarantee mortgage-backed securities without requiring that a minimum cash reserve or subordinated (first loss) interest be maintained by poolers as had been required under its original authority. The 1996 Act expanded FCA's regulatory authority to include provisions for establishing a conservatorship or receivership, if necessary, and provided for increased core capital requirements at Farmer Mac phased in over three years. Most recently, the 2008 Farm Bill, the Food, Conservation and Energy Act of 2008 amended the Farmer Mac title to authorize the financing of rural electric and telephone cooperatives.

Farmer Mac operates through several programs: the "Farm & Ranch" program involves mortgage loans secured by first liens on agricultural real estate, or rural housing (qualified loans); the "USDA guarantees" program involves the guaranteed portions of certain USDA-guaranteed loans; and the "Rural Utilities" program involves rural electric and telephone loans. Farmer Mac operates by (1) purchasing, or committing to purchase, newly originated or existing qualified loans or guaranteed portions from lenders; (2) purchasing or guaranteeing "AgVantage" bonds backed by qualified loans; and (3) exchanging qualified loans or guaranteed portions for guaranteed securities. Loans purchased by Farmer Mac may be aggregated into pools that back Farmer Mac guaranteed securities, which are held by Farmer Mac or sold into the capital markets.

Farmer Mac is governed by a 15-member Board of Directors. Ten board members are elected by stockholders, including five by stockholders that are Farm Credit System (FCS) institutions and five by stockholders that are non-FCS financial services firms. Five are appointed by the President, subject to Senate confirmation.

## FINANCING

Financial support and funding for Farmer Mac's operations come from several sources: sale of common and preferred stock, issuance of debt ob-

ligations, and income. Under procedures specified in the Act, Farmer Mac may issue obligations to the U.S. Treasury in a cumulative amount not to exceed \$1.5 billion to fulfill Farmer Mac's guarantee obligations.

As of September 30, 2015, Farmer Mac's core capital exceeded statutory requirements. Additionally, Farmer Mac's regulatory capital (core capital plus the allowance for loan losses) exceeded the amount of required regulatory capital as determined by the risk-based capital rule.

## GUARANTEES

Farmer Mac provides a guarantee of timely payment of principal and interest on securities backed by qualified loans or pools of qualified loans. These securities are not guaranteed by the United States and are not "Government securities."

Farmer Mac is subject to reporting requirements under securities laws, and its guaranteed mortgage-backed securities are subject to registration with the Securities and Exchange Commission under the 1933 and 1934 Securities Acts.

## REGULATION

Farmer Mac is federally regulated by FCA, acting through its Office of Secondary Market Oversight (OSMO). FCA is responsible for the supervision of, examination of, and rulemaking for Farmer Mac.

## Balance Sheet (in millions of dollars)

Identification code 912-4993-0-4-351	2014 actual	2015 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Investment in securities .....	3,616	2,032
1206 Receivables, net .....	116	151
Net value of assets related to direct loans receivable:		
1401 Direct loans receivable, gross .....	10,100	11,080
1402 Interest receivable .....	66	74
1499 Net present value of assets related to direct loans .....	10,166	11,154
1801 Other Federal assets: Cash and other monetary assets .....	628	1,517
1999 Total assets .....	14,526	14,854
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	88	121
2202 Interest payable .....	35	38
2203 Debt .....	13,577	14,110
2204 Liabilities for loan guarantees .....	43	44
2999 Total liabilities .....	13,743	14,313
<b>NET POSITION:</b>		
3300 Invested capital .....	783	541
4999 Total liabilities and net position .....	14,526	14,854



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