

SOCIAL SECURITY ADMINISTRATION

Federal Funds

General and special funds:

PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), [217(g),] 228(g), and 1131(b)(2) of the Social Security Act, [\$434,400,000] \$20,400,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 28-0404-0-1-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Taxation of benefits	12,503	13,446	14,250
01.01 Other	29	447	33
10.00 Total new obligations	12,532	13,893	14,283
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	15	15
22.00 New budget authority (gross)	12,536	13,892	14,282
23.90 Total budgetary resources available for obligation	12,552	13,907	14,297
23.95 Total new obligations	-12,532	-13,893	-14,283
23.98 Unobligated balance expiring or withdrawn	-4
24.40 Unobligated balance carried forward, end of year	15	15	15
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	12,536	13,892	14,282
Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	4
73.10 Total new obligations	12,532	13,893	14,283
73.20 Total outlays (gross)	-12,531	-13,892	-14,282
74.40 Obligated balance, end of year	3	4	5
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	12,531	13,892	14,282
Net budget authority and outlays:			
89.00 Budget authority	12,536	13,892	14,282
90.00 Outlays	12,531	13,892	14,282

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments for certain uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks. It also includes amounts from taxation of Social Security benefits.

Object Classification (in millions of dollars)

Identification code 28-0404-0-1-651	2001 actual	2002 est.	2003 est.
25.2 Other services	16	18	18
42.0 Insurance claims and indemnities	12,516	13,875	14,265
99.9 Total new obligations	12,532	13,893	14,283

SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, [\$332,840,000] \$300,177,000, to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year

[2003, \$108,000,000] 2004, \$97,000,000, to remain available until expended. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	485	452	416
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	13	8
22.00 New budget authority (gross)	490	447	408
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	498	459	416
23.95 Total new obligations	-485	-452	-416
24.40 Unobligated balance carried forward, end of year	13	8
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	366	333	300
65.00 Advance appropriation	124	114	108
70.00 Total new budget authority (gross)	490	447	408
Change in obligated balances:			
72.40 Obligated balance, start of year	43	41	39
73.10 Total new obligations	485	452	416
73.20 Total outlays (gross)	-486	-454	-420
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	41	39	35
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	445	416	385
86.98 Outlays from mandatory balances	41	38	35
87.00 Total outlays (gross)	486	454	420
Net budget authority and outlays:			
89.00 Budget authority	490	447	408
90.00 Outlays	486	454	420

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	490	447	408
Outlays	486	454	420
Legislative proposal, not subject to PAYGO:			
Budget Authority	-408
Outlays	-420
Total:			
Budget Authority	490	447
Outlays	486	454

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

Object Classification (in millions of dollars)

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.5 Personnel compensation: Other personnel compensation	1	2	2

General and special funds—Continued

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	3	3	4
42.0 Insurance claims and indemnities	481	446	410
99.0 Direct obligations	485	451	416
99.5 Below reporting threshold		1	
99.9 Total new obligations	485	452	416

Personnel Summary

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	3	4	4

SPECIAL BENEFITS FOR DISABLED COAL MINERS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-0409-2-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations			-416
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-408
22.21 Unobligated balance transferred to other accounts			-8
23.90 Total budgetary resources available for obligation			-416
23.95 Total new obligations			416
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			-300
65.00 Advance appropriation			-108
70.00 Total new budget authority (gross)			-408
Change in obligated balances:			
73.10 Total new obligations			-416
73.20 Total outlays (gross)			420
73.31 Obligated balance transferred to other accounts			-39
74.40 Obligated balance, end of year			-35
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			-385
86.98 Outlays from mandatory balances			-35
87.00 Total outlays (gross)			-420
Net budget authority and outlays:			
89.00 Budget authority			-408
90.00 Outlays			-420

This schedule reflects the effect of the Administration's proposal to transfer this program to the Department of Labor effective October 1, 2002. Since 1997, the Department has provided maintenance services to beneficiaries on behalf of SSA pursuant to an interagency agreement. However, joint SSA and DOL Office of Inspector General (OIG) audits have recommended that the program be permanently transferred from SSA to DOL. This proposal would consolidate the entire Black Lung program within a single agency, which would result in more efficient program operations and better service delivery to beneficiaries. There are no budgetary implications arising from this proposal because funding previously appropriated to SSA would be made available to DOL.

Object Classification (in millions of dollars)

Identification code 28-0409-2-1-601	2001 actual	2002 est.	2003 est.
11.5 Personnel compensation: Other personnel compensation			-2
25.3 Other purchases of goods and services from Government accounts			-4
42.0 Insurance claims and indemnities			-410
99.9 Total new obligations			-416

Personnel Summary

Identification code 28-0409-2-1-601	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			-4

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$21,277,412,000] \$24,034,618,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

[In addition, \$200,000,000, to remain available until September 30, 2003, for payment to the Social Security trust funds for administrative expenses for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.]

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2003, \$10,790,000,000] 2004, \$11,080,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	30,453	34,340	35,452
09.01 State supplementation payments	3,160	3,785	3,930
09.09 Reimbursable program	3,160	3,785	3,930
10.00 Total new obligations	33,613	38,125	39,382
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	53	2,891	640
22.00 New budget authority (gross)	36,423	35,873	38,761
22.10 Resources available from recoveries of prior year obligations	28		
23.90 Total budgetary resources available for obligation	36,504	38,764	39,401
23.95 Total new obligations	-33,613	-38,125	-39,382
24.40 Unobligated balance carried forward, end of year	2,891	640	19
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,702	2,986	2,976
Mandatory:			
60.00 Appropriation	20,671	18,620	21,058
65.00 Advance appropriation	9,890	10,470	10,790
Offsetting collections (cash):			
69.00 Offsetting collections (cash)	2,844	3,469	3,602
69.00 Offsetting collections (cash)	316	328	335
69.90 Spending authority from offsetting collections (total mandatory)	3,160	3,797	3,937

70.00	Total new budget authority (gross)	36,423	35,873	38,761
Change in obligated balances:				
72.40	Obligated balance, start of year	271	684	758
73.10	Total new obligations	33,613	38,125	39,382
73.20	Total outlays (gross)	-33,172	-38,050	-39,454
73.45	Recoveries of prior year obligations	-28		
74.40	Obligated balance, end of year	684	758	686
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,221	2,580	2,679
86.93	Outlays from discretionary balances	310	351	369
86.97	Outlays from new mandatory authority	30,641	32,585	35,438
86.98	Outlays from mandatory balances		2,534	968
87.00	Total outlays (gross)	33,172	38,050	39,454
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-3,160	-3,797	-3,937
Net budget authority and outlays:				
89.00	Budget authority	33,263	32,076	34,824
90.00	Outlays	30,012	34,253	35,517

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	33,143	31,947	34,696
90.00	Outlays	29,892	34,124	35,389

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

Object Classification (in millions of dollars)

Identification code 28-0406-0-1-609	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.3	Other purchases of goods and services from Government accounts	2,679	2,956	2,953
41.0	Grants, subsidies, and contributions	27,774	31,384	32,499
99.0	Direct obligations	30,453	34,340	35,452
99.0	Reimbursable obligations	3,160	3,785	3,930
99.9	Total new obligations	33,613	38,125	39,382

STATE SUPPLEMENTAL FEES

Unavailable Collections (in millions of dollars)

Identification code 28-5419-0-2-609	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	5	8	14
Receipts:				
02.20	State supplemental fees, SSI	94	106	111
04.00	Total: Balances and collections	99	114	125
Appropriations:				
05.00	State supplemental fees	-91	-100	-112
07.99	Balance, end of year	8	14	13

Program and Financing (in millions of dollars)

Identification code 28-5419-0-2-609	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 25.3)	91	100	112
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	91	100	112
23.95	Total new obligations	-91	-100	-112

Identification code 28-0401-0-1-701	2001 actual	2002 est.	2003 est.	
New budget authority (gross), detail:				
Discretionary:				
40.20	Appropriation (special fund)	91	100	112
Change in obligated balances:				
73.10	Total new obligations	91	100	112
73.20	Total outlays (gross)	-91	-100	-112
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	91	100	112
Net budget authority and outlays:				
89.00	Budget authority	91	100	112
90.00	Outlays	91	100	112

The Social Security Administration collects a fee from States for costs related to administering SSI State supplementation payments on behalf of States.

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

Program and Financing (in millions of dollars)

Identification code 28-0401-0-1-701	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct Program Activity	8	11	11
09.01	State Supplement Payments	1	5	4
10.00	Total new obligations	9	16	15
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	9	16	15
23.95	Total new obligations	-9	-16	-15
New budget authority (gross), detail:				
Discretionary:				
40.00	(indefinite)	1	2	2
Mandatory:				
60.00	(indefinite)	7	9	9
69.00	Offsetting collections (cash)	1	5	4
70.00	Total new budget authority (gross)	9	16	15
Change in obligated balances:				
73.10	Total new obligations	9	16	15
73.20	Total outlays (gross)	-9	-16	-15
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1	2	2
86.97	Outlays from new mandatory authority	8	14	13
87.00	Total outlays (gross)	9	16	15
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-1	-5	-4
Net budget authority and outlays:				
89.00	Budget authority	8	11	11
90.00	Outlays	6	11	11

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old, were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II, and who are currently eligible for supplemental security income. To receive this benefit these individuals must reside outside the United States.

Object Classification (in millions of dollars)

Identification code 28-0401-0-1-701	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.2	Other services	1	2	2
42.0	Insurance claims and indemnities	7	9	9
99.0	Direct obligations	8	11	11

General and special funds—Continued

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 28-0401-0-1-701	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations	1	5	4
99.9 Total new obligations	9	16	15

**OFFICE OF INSPECTOR GENERAL
(INCLUDING TRANSFER OF FUNDS)**

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$19,000,000] \$21,971,000**, together with not to exceed **[\$56,000,000] \$64,865,000**, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House and Senate. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 28-0400-0-1-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	70	76	84
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	70	76	84
23.95 Total new obligations	-70	-76	-84
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18	20	22
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	42	56	62
68.10 Change in uncollected customer payments from Federal sources (unexpired)	10		
68.90 Spending authority from offsetting collections (total discretionary)	52	56	62
70.00 Total new budget authority (gross)	70	76	84
Change in obligated balances:			
72.40 Obligated balance, start of year	-2	-7	-8
73.10 Total new obligations	70	76	84
73.20 Total outlays (gross)	-70	-77	-84
73.40 Adjustments in expired accounts (net)	1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-10		
74.10 Change in uncollected customer payments from Federal sources (expired)	5		
74.40 Obligated balance, end of year	-7	-8	-8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	61	68	76
86.93 Outlays from discretionary balances	9	9	8
87.00 Total outlays (gross)	70	77	84
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-48	-56	-62
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-10		
88.96 Portion of offsetting collections (cash) credited to expired accounts	6		

Net budget authority and outlays:

89.00 Budget authority	18	20	22
90.00 Outlays	22	21	22

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	17	19	21
90.00 Outlays	21	20	21

The Office of the Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

Object Classification (in millions of dollars)

Identification code 28-0400-0-1-651	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	41	48	51
12.1 Civilian personnel benefits	13	15	17
21.0 Travel and transportation of persons	3	4	4
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services		1	1
25.2 Other services	4		1
25.3 Other purchases of goods and services from Government accounts	2	1	2
26.0 Supplies and materials		1	1
31.0 Equipment	1	1	2
32.0 Land and structures		1	1
99.0 Direct obligations	68	76	84
99.5 Below reporting threshold	2		
99.9 Total new obligations	70	76	84

Personnel Summary

Identification code 28-0400-0-1-651	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	559	584	597

Trust Funds

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	859,907	999,376	1,138,777
Receipts:			
02.00 Transfers from general fund (FICA taxes)	413,845	420,255	442,612
02.01 Transfers from general fund (SECA taxes)	22,872	23,726	25,385
02.02 Refunds	-2,660	-1,850	-1,812
02.20 Treasury offset program	23	18	18
02.21 Attorney fees	1	1	1
02.40 Federal employer contributions (FICA taxes)	6,754	7,547	8,176
02.41 Interest received by trust funds	61,239	68,112	74,325
02.42 Federal payments to the FOASI trust fund	11,790	12,533	13,307
02.43 Payments for military service credits	7	414	
Offsetting collections:			
02.80 Current law, offsetting collections	26	41	44
02.80 Legislative proposal not subject to PAYGO, offsetting collections			4
02.99 Total receipts and collections	513,897	530,797	562,060
04.00 Total: Balances and collections	1,373,804	1,530,173	1,700,837
Appropriations:			
Appropriations:			
05.00 Current law	-374,428	-391,396	-405,617
05.00 Legislative proposal not subject to PAYGO			-4

05.99	Total appropriations	— 374,428	— 391,396	— 405,621
07.99	Balance, end of year	999,376	1,138,777	1,295,216

Program and Financing (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct program	374,484	391,442	405,573
09.01	Reimbursable program	28	42	44
10.00	Total new obligations	374,512	391,484	405,617
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	374,512	391,484	405,617
23.95	Total new obligations	— 374,512	— 391,484	— 405,617
New budget authority (gross), detail:				
Discretionary:				
40.26	Appropriation (trust fund)	1,980	1,990	2,213
Mandatory:				
60.26	Appropriation (trust fund)	511,967	528,852	559,892
60.45	Portion precluded from obligation	— 139,463	— 139,400	— 156,532
62.50	Appropriation (total mandatory)	372,504	389,452	403,360
69.00	Offsetting collections (cash)	28	42	44
70.00	Total new budget authority (gross)	374,512	391,484	405,617
Change in obligated balances:				
72.40	Obligated balance, start of year	33,301	34,660	35,673
73.10	Total new obligations	374,512	391,484	405,617
73.20	Total outlays (gross)	— 373,153	— 390,471	— 404,549
74.40	Obligated balance, end of year	34,660	35,673	36,741

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,731	1,830	2,028
86.93	Outlays from discretionary balances	219	219	268
86.97	Outlays from new mandatory authority	371,203	388,422	402,253
87.00	Total outlays (gross)	373,153	390,471	404,549

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.40	Non-Federal sources	— 13	— 18	— 19
88.45	Offsetting governmental collections (from non-Federal sources)	— 15	— 24	— 25
88.90	Total, offsetting collections (cash)	— 28	— 42	— 44

Net budget authority and outlays:				
89.00	Budget authority	374,484	391,442	405,573
90.00	Outlays	373,125	390,429	404,505

Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	893,519	1,034,114	1,174,450
92.02	Total investments, end of year: Federal securities: Par value	1,034,114	1,174,450	1,331,957

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	374,402	391,355	405,480
90.00	Outlays	373,043	390,342	404,412

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	374,484	391,442	405,573
Outlays	373,125	390,429	404,505
Legislative proposal, not subject to PAYGO:			
Budget Authority			
Outlays			
Total:			
Budget Authority	374,484	391,442	405,573
Outlays	373,125	390,429	404,505

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

Status of Funds (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Treasury balance	— 311	— 78	
0101	U.S. Securities: Par value	893,519	1,034,114	1,174,450
0199	Total balance, start of year	893,208	1,034,036	1,174,450
Cash income during the year:				
Current law:				
Receipts:				
1200	FICA taxes	413,845	420,255	442,612
1201	SECA Taxes	22,872	23,726	25,385
1202	Refunds	— 2,660	— 1,850	— 1,812
Offsetting receipts (proprietary):				
1220	Treasury offset program	23	18	18
1221	Attorney fees	1	1	1
Offsetting receipts (intragovernmental):				
1240	Federal employer contributions (FICA taxes)	6,754	7,547	8,176
1241	Interest received by trust fund	61,239	68,112	74,325
Offsetting receipts (intragovernmental):				
1242	Individual income taxes on OASI benefits	11,776	12,516	13,290
1242	Pension reform	4	6	6
1242	Credit for unnegotiated OASI checks	9	11	11
1243	Federal payments for military service credits	7	414	
Offsetting collections:				
1280	Offsetting collections	26	41	44
1299	Income under present law	513,897	530,797	562,056
Proposed legislation:				
Offsetting collections:				
2280	Offsetting collections, legislative proposal not subject to PAYGO			4
3299	Total cash income	513,897	530,797	562,060
Cash outgo during year:				
Current law:				
Cash outgo during the year (—):				
4500	Benefit payments	— 367,702	— 384,535	— 398,398
4500	Payments to Railroad Retirement Board	— 3,273	— 3,675	— 3,641
4500	Administrative expenses (subject to limitation)	— 1,869	— 1,961	— 2,297
4500	Administrative expenses (Department of Treasury)	— 225	— 212	— 213
4599	Outgo under current law	— 373,069	— 390,383	— 404,549
Proposed legislation:				
5500	Legislative proposal not subject to PAYGO			— 4
6599	Total cash outgo (—)	— 373,069	— 390,383	— 404,553
Unexpended balance, end of year:				
8700	Uninvested balance	— 78		
8701	Federal securities: Par value	1,034,114	1,174,450	1,331,957
8799	Total balance, end of year	1,034,036	1,174,450	1,331,957

Object Classification (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Other purchases of goods and services from Government accounts:				
25.3	Office of the Inspector General	34	37	41
25.3	Portion of the limitation on administrative expenses	1,879	2,028	2,172
42.0	Retirement and survivors insurance benefits	369,073	385,490	399,506
Undistributed:				
92.0	Reimbursement for administrative expenses of Department of the Treasury	225	212	213
92.0	Payment to railroad retirement account (net settlement) (45 U.S.C. 228g)	3,273	3,675	3,641
99.0	Direct obligations	374,484	391,442	405,573
99.0	Reimbursable obligations	28	42	44
99.9	Total new obligations	374,512	391,484	405,617

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8006-2-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program			4
10.00 Total new obligations (object class 25.3)			4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			4
23.95 Total new obligations			-4
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)			4
Change in obligated balances:			
73.10 Total new obligations			4
73.20 Total outlays (gross)			-4
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			4
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			-4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This schedule reflects the effect of the Administration's proposal to transfer the Special Benefits for Disabled Coal Miners program to the Department of Labor effective October 1, 2002.

FEDERAL DISABILITY INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	106,120	122,236	138,848
Receipts:			
02.00 Transfers from general fund (FICA taxes)	70,240	71,361	75,161
02.01 Transfers from general fund (SECA taxes)	3,669	4,020	4,305
02.02 Refunds	-447	-314	-308
02.20 Treasury offset program	32	26	26
02.22 Attorney fees	27	34	36
02.40 Federal employer contributions (FICA taxes)	1,147	1,282	1,388
02.41 Interest received by trust funds	7,572	8,710	9,524
02.42 Federal payments to the FDI trust fund	738	945	975
02.43 Payments for military service credits	2		
02.99 Total receipts and collections	82,980	86,064	91,107
04.00 Total: Balances and collections	189,100	208,300	229,955
Appropriations:			
05.00 Federal disability insurance trust fund	-66,879	-69,452	-71,424
05.99 Total appropriations	-66,879	-69,452	-71,424
06.10 Unobligated balance returned to receipts	15		
07.99 Balance, end of year	122,236	138,848	158,531

Program and Financing (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	66,952	69,525	71,424
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	66,952	69,525	71,424
22.10 Resources available from recoveries of prior year obligations	15		

23.90	Total budgetary resources available for obligation	66,967	69,525	71,424
23.95	Total new obligations	-66,952	-69,525	-71,424
23.98	Unobligated balance expiring or withdrawn	-15		

New budget authority (gross), detail:

Discretionary:				
40.26	Appropriation (trust fund)	1,605	1,679	1,792
Mandatory:				
60.26	Appropriation (trust fund)	81,448	84,458	89,391
60.45	Portion precluded from obligation	-16,101	-16,612	-19,759
62.50	Appropriation (total mandatory)	65,347	67,846	69,632
70.00	Total new budget authority (gross)	66,952	69,525	71,424

Change in obligated balances:

72.40	Obligated balance, start of year	7,524	13,557	14,205
73.10	Total new obligations	66,952	69,525	71,424
73.20	Total outlays (gross)	-60,904	-68,877	-71,479
73.45	Recoveries of prior year obligations	-15		
74.40	Obligated balance, end of year	13,557	14,205	14,150

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	1,557	1,616	1,620
86.93	Outlays from discretionary balances	238	218	220
86.97	Outlays from new mandatory authority	59,109	67,043	69,639
87.00	Total outlays (gross)	60,904	68,877	71,479

Net budget authority and outlays:

89.00	Budget authority	66,952	69,525	71,424
90.00	Outlays	60,904	68,877	71,479

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:				
	Par value		135,842	153,053
92.02 Total investments, end of year: Federal securities:				
	Par value	135,842	153,053	172,681

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	66,879	69,452	71,349
90.00	Outlays	60,831	68,804	71,404

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

Status of Funds (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Treasury balance	-64	-49	
0101	U.S. Securities: Par value	113,707	135,842	153,053
0199	Total balance, start of year	113,644	135,793	153,053
Cash income during the year:				
Current law:				
Receipts:				
1200	FICA taxes	70,240	71,361	75,161
1201	SECA Taxes	3,669	4,020	4,305
1202	Refunds	-447	-314	-308
Offsetting receipts (proprietary):				
1220	Treasury offset program	32	26	26
1222	Attorney user fees	27	34	36
Offsetting receipts (intragovernmental):				
1240	Federal employer contributions	1,147	1,282	1,388
1241	Interest received by trust fund	7,572	8,710	9,524
Offsetting receipts (intragovernmental):				
1242	Individual income taxes on DI benefits	736	942	972
1242	Credit for unnegotiated DI checks	2	3	3
1243	Federal payments for military service credits	2		
1299	Income under present law	82,980	86,064	91,107
Cash outgo during year:				
Current law:				
Cash outgo during the year (-):				
4500	Benefit payments	-58,159	-66,728	-69,285
4500	Payments to Railroad Retirement Board	-10	-188	-213
4500	Administrative expenses (subject to limitation)	-1,723	-1,761	-1,840
4500	Administrative expenses (Department of Treasury)	-42	-41	-41

4500	Beneficiary services	-61	-75	-85
4500	Demonstration projects		-11	-15
4500	Pre-1957 military service credits	-836		
4599	Outgo under current law	-60,831	-68,804	-71,479
Unexpended balance, end of year:				
8700	Uninvested balance	-49		
8701	Federal securities: Par value	135,842	153,053	172,681
8799	Total balance, end of year	135,793	153,053	172,681

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.	
Other purchases of goods and services from Government accounts:				
25.3	Office of the Inspector General	20	22	24
25.3	Beneficiary services	61	75	85
25.3	Demonstration projects	4	14	16
25.3	Portion of the limitation on administrative expenses	1,644	1,719	1,768
42.0	Disability insurance benefits	64,335	67,466	69,277
44.0	Refunds—Pre-1957 military service credits	836		
Undistributed:				
92.0	Reimbursement for administrative expenses of Department of the Treasury	42	41	41
92.0	Payment to railroad retirement account (net settlement)	10	188	213
99.9	Total new obligations	66,952	69,525	71,424

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed **[\$35,000]** \$15,000 for official reception and representation expenses, not more than **[\$7,035,000,000]** \$8,170,753,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: *Provided*, That not less than \$1,800,000 shall be for the Social Security Advisory Board: *Provided further*, That unobligated balances of funds provided under this paragraph at the end of fiscal year **[2002]** 2003 not needed for fiscal year **[2002]** 2003 shall remain available **[until expended]** through fiscal year 2004 to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to section 7131 of title 5, United States Code, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

[From funds provided under the first paragraph, not less than \$200,000,000 shall be available for conducting continuing disability reviews.]

[In addition to funding already available under this heading, and subject to the same terms and conditions, \$433,000,000, to remain available until September 30, 2003, for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.]

In addition, **[\$100,000,000]** \$112,000,000 to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such section 1616(d) or 212(b)(3) in fiscal year **[2002]** 2003 exceed **[\$100,000,000]** \$112,000,000, the amounts shall be available in fiscal year **[2003]** 2004 only to the extent provided in advance in appropriations Acts.

From funds previously appropriated for this purpose, any unobligated balances at the end of fiscal year **[2001]** 2002 shall be available to continue Federal-State partnerships which will evaluate means to promote Medicare buy-in programs targeted to elderly and disabled individuals under titles XVIII and XIX of the Social Security

Act. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Limitation on Administrative Expenses", \$7,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Old-Age and Survivors Insurance (OASI) trust fund	1,879	2,028	2,172
00.02	Disability Insurance (DI) trust fund	1,437	1,453	1,768
00.03	Supplemental Security Income (SSI) appropriation	2,469	2,756	2,953
00.04	Hospital Insurance (HI) trust fund	603	672	649
00.05	Supplementary Medical Insurance (SMI) trust fund	582	591	627
00.06	Special benefits for certain World War II veterans	1	2	2
00.07	Fees for administration of State supplemental SSI payments	91	100	112
Adjustments to discretionary caps:				
00.08	Continuing disability reviews, DI	207	266	
00.09	Continuing disability reviews, SSI	210	200	
01.00	Subtotal, LAE Direct Program	7,479	8,068	8,283
09.01	Reimbursable program	28	42	44
10.00	Total new obligations	7,507	8,110	8,327
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	134	153	
22.00	New budget authority (gross)	7,476	7,957	8,327
22.10	Resources available from recoveries of prior year obligations, and transfers from prior year unobligated balances	105		
23.90	Total budgetary resources available for obligation	7,715	8,110	8,327
23.95	Total new obligations	-7,507	-8,110	-8,327
23.98	Unobligated balance expiring or withdrawn	-55		
24.40	Unobligated balance carried forward, end of year	153		
New budget authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	7,392	8,085	8,484
68.10	Change in uncollected customer payments from Federal sources (unexpired)	58	-169	-200
68.90	Spending authority from offsetting collections (total discretionary)	7,450	7,916	8,284
Mandatory:				
69.00	Offsetting collections (cash)	20	41	43
69.10	Change in uncollected customer payments from Federal sources	6		
69.90	Spending authority from offsetting collections (total mandatory)	26	41	43
70.00	Total new budget authority (gross)	7,476	7,957	8,327
Change in obligated balances:				
72.40	Obligated balance, start of year	1,329	1,376	1,529
73.10	Total new obligations	7,507	8,110	8,327
73.20	Total outlays (gross)	-7,406	-8,126	-8,527
73.40	Adjustments in expired accounts (net)	236		
73.45	Recoveries of prior year obligations	-105		
74.00	Change in uncollected customer payments from Federal sources (unexpired accounts)	-64	169	200
74.10	Change in uncollected customer payments from Federal sources (expired accounts)	-121		
74.40	Obligated balance, end of year	1,376	1,529	1,529
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	6,510	7,194	7,502
86.93	Outlays from discretionary balances	876	891	982
86.97	Outlays from new mandatory authority	20	41	43
87.00	Total outlays (gross)	7,406	8,126	8,527
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	OASI [20-8006]	-1,916	-2,011	-2,255

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
88.00 DI [20-8007]	-1,773	-1,812	-1,816
88.00 SSI [20-0406]	-2,513	-2,896	-3,022
88.00 HI [20-8005]	-580	-672	-649
88.00 SMI [20-8004]	-516	-591	-627
88.00 Special Benefits for Certain WW II Vets [28-0401]	-1	-2	-2
88.00 Fees for administration of state supplemental SSI payment	-91	-100	-112
88.00 Other Federal Sources	-7	-18	-19
88.40 Non-Federal Sources	-15	-24	-25
88.90 Total, offsetting collections (cash)	-7,412	-8,126	-8,527
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-64	169	200

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-6		

Note: 2001 data may not match actual accounting data; 2001 data are presented on a comparable basis to the adjusted structure in place for 2002 and 2003.

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority			
Outlays	-6		
Legislative proposal, not subject to PAYGO:			
Budget Authority			
Outlays			
Total:			
Budget Authority			
Outlays	-6		

The Limitation on administrative expenses account provides resources for the Social Security Administration (SSA) to administer the old-age, survivors, and disability insurance programs, the supplemental security income program, the special benefits for certain World War II veterans program and certain health insurance functions for the aged and disabled.

Object Classification (in millions of dollars)

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,978	3,298	3,425
11.3 Other than full-time permanent	112	137	142
11.5 Other personnel compensation	183	99	112
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	3,275	3,536	3,681
12.1 Civilian personnel benefits	1,029	1,133	1,210
13.0 Benefits for former personnel	3	3	3
21.0 Travel and transportation of persons	55	57	59
22.0 Transportation of things	7	7	8
23.1 Rental payments to GSA	373	391	407
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	306	296	290
24.0 Printing and reproduction	31	41	45
25.1 Advisory and assistance services	11	11	11
25.2 Other services	1,657	1,793	1,833
25.3 Other purchases of goods and services from Government accounts	98	104	115
25.4 Operation and maintenance of facilities	203	189	203
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	149	155	112
26.0 Supplies and materials	42	42	45
31.0 Equipment	171	241	190
32.0 Land and structures	20	22	24
41.0 Grants, subsidies, and contributions	24	25	27
42.0 Insurance claims and indemnities	16	17	17
43.0 Interest and dividends	5	3	1
93.0 Below Reporting Threshold		-2	-2

99.0 Direct obligations	7,479	8,068	8,283
99.0 Reimbursable obligations	28	42	44
99.9 Total new obligations	7,507	8,110	8,327

Personnel Summary

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	61,743	62,580	62,567
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	443	296	296

LIMITATION ON ADMINISTRATIVE EXPENSES
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-8704-2-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program			4
10.00 Total new obligations			4

Budgetary resources available for obligation:

22.00 New budget authority (gross)			4
23.95 Total new obligations			-4

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)			4

Change in obligated balances:

73.10 Total new obligations			4
73.20 Total outlays (gross)			-4

Outlays (gross), detail:

86.97 Outlays from new mandatory authority			4
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Department of Labor [Black Lung]			-4

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			

This schedule reflects the effect of the Administration's proposal to transfer the Special Benefits for Disabled Coal Miners program to the Department of Labor effective October 1, 2002.

Object Classification (in millions of dollars)

Identification code 28-8704-2-7-651	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations			4
99.9 Total new obligations			4

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
75-241800 Receipts from SSI administrative fee: Enacted/requested	152	153	155
75-309600 Recovery of beneficiary overpayments from SSI program: Enacted/requested	1,454	1,550	1,644
General Fund Offsetting receipts from the public	1,606	1,703	1,799

Intragovernmental payments:		
20-310520 Quinquennial adjustment for military service credits, Federal disability insurance: Enacted/requested	836
General Fund Intragovernmental payments	836

As directed by Section 104 of P.L. 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which

shall be submitted by the President to the Congress without revision, together with the President's annual budget for SSA.

The Commissioner is committed to assessing the level of service that SSA should provide and then determining how to meet these service goals. Pending completion of this service delivery assessment, the Commissioner's annual budget for 2003 includes a total administrative budget of \$8,080 million in discretionary resources. This represents \$7,997 million for SSA administrative expenses and \$83 million for the Office of the Inspector General.