

# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### General and special funds:

#### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), 217(g), 228(g), and 1131(b)(2) of the Social Security Act, **[\$20,400,000] \$434,400,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

#### Program and Financing (in millions of dollars)

Identification code 28-0404-0-1-651	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Taxation of benefits .....	13,232	12,515	13,708
01.01 Other .....	22	26	440
10.00 Total new obligations .....	13,254	12,541	14,148
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	16	18	18
22.00 New budget authority (gross) .....	13,262	12,541	14,148
23.90 Total budgetary resources available for obligation	13,278	12,559	14,166
23.95 Total new obligations .....	-13,254	-12,541	-14,148
23.98 Unobligated balance expiring or withdrawn .....	-7		
24.40 Unobligated balance carried forward, end of year .....	18	18	18
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	21	20	434
60.05 Appropriation (indefinite) .....	13,241	12,521	13,714
62.50 Appropriation (total mandatory) .....	13,262	12,541	14,148
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	1	2	2
72.99 Obligated balance, start of year .....	1	2	2
73.10 Total new obligations .....	13,254	12,541	14,148
73.20 Total outlays (gross) .....	-13,254	-12,541	-14,148
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	2	2	2
74.99 Obligated balance, end of year .....	2	2	2
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	13,253	12,541	14,148
86.98 Outlays from mandatory balances .....	1		
87.00 Total outlays (gross) .....	13,254	12,541	14,148
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	13,262	12,541	14,148
90.00 Outlays .....	13,254	12,541	14,148

#### Summary of Budget Authority and Outlays

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Enacted/requested:			
Budget Authority .....	13,262	12,541	14,148
Outlays .....	13,254	12,541	14,148
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-140
Outlays .....			-140
Total:			
Budget Authority .....	13,262	12,541	14,008
Outlays .....	13,254	12,541	14,008

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments to certain

uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks. In addition, for FY 2002, the request includes funds to pay the OASI trust fund for the quinquennial adjustment for FICA tax equivalents related to military service deemed wage credits. It also includes amounts from taxation of Social Security benefits.

#### Object Classification (in millions of dollars)

Identification code 28-0404-0-1-651	2000 actual	2001 est.	2002 est.
25.2 Other services .....	11	12	12
42.0 Insurance claims and indemnities .....	13,243	12,529	14,136
99.9 Total new obligations .....	13,254	12,541	14,148

#### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 28-0404-2-1-651	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....			-140
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-140
23.95 Total new obligations .....			140
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite) .....			-140
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-140
73.20 Total outlays (gross) .....			140
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			-140
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-140
90.00 Outlays .....			-140

This schedule reflects the effect of the Administration's proposal to reduce individual income tax rates. A reduction in income tax rates would reduce the total taxation of Social Security benefits.

#### SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, **[\$365,748,000] \$332,840,000**, to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year **[2002, \$114,000,000] 2003, \$108,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

General and special funds—Continued

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

Program and Financing (in millions of dollars)

Identification code 28-0409-0-1-601	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	519	490	454
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	2	7	7
22.00 New budget authority (gross) .....	525	490	447
23.90 Total budgetary resources available for obligation	527	497	454
23.95 Total new obligations .....	-519	-490	-454
24.40 Unobligated balance carried forward, end of year .....	7	7	
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	384	366	333
65.00 Advance appropriation .....	141	124	114
70.00 Total new budget authority (gross) .....	525	490	447
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	45	43	39
72.99 Obligated balance, start of year .....	45	43	39
73.10 Total new obligations .....	519	490	454
73.20 Total outlays (gross) .....	-521	-492	-458
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	43	39	36
74.99 Obligated balance, end of year .....	43	39	36
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	476	451	419
86.98 Outlays from mandatory balances .....	45	41	39
87.00 Total outlays (gross) .....	521	492	458
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	525	490	447
90.00 Outlays .....	521	492	458

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

Object Classification (in millions of dollars)

Identification code 28-0409-0-1-601	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.5 Personnel compensation: Other personnel compensation .....	1	2	2
25.3 Purchases of goods and services from Government accounts .....	3	3	3
42.0 Insurance claims and indemnities .....	515	484	448
99.0 Subtotal, direct obligations .....	519	489	453
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	519	490	454

Personnel Summary

Identification code 28-0409-0-1-601	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2	4	4

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$23,043,000,000] \$21,270,412,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

In addition, **[\$210,000,000] \$200,000,000**, to remain available until September 30, **[2002] 2003**, for payment to the Social Security trust funds for administrative expenses for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2002, \$10,470,000,000] 2003, \$10,790,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Unavailable Collections (in millions of dollars)

Identification code 28-0406-0-1-609	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year .....	2	5	14
Receipts:			
02.20 State supplemental fees .....	83	100	106
04.00 Total: Balances and collections .....	85	105	120
Appropriations:			
05.00 Supplemental security income program .....	-80	-91	-106
07.99 Balance, end of year .....	5	14	14

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	33,398	30,754	34,382
09.01 State supplementation payments .....	3,399	3,265	3,655
09.02 Administration of State supplementation payments .....	80	91	106
09.09 Reimbursable program .....	3,479	3,356	3,761
10.00 Total new obligations .....	36,877	34,110	38,143
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	44	53	2,747
22.00 New budget authority (gross) .....	36,867	36,804	35,711
22.10 Resources available from recoveries of prior year obligations .....	19		
23.90 Total budgetary resources available for obligation	36,930	36,857	38,458
23.95 Total new obligations .....	-36,877	-34,110	-38,143
24.40 Unobligated balance carried forward, end of year .....	53	2,747	315

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation .....	2,360	2,582	2,850
40.20 Appropriation (special fund, definite, State admin user fees) .....	80	91	106
43.00 Appropriation (total discretionary) .....	2,440	2,673	2,956
Mandatory:			
60.00 Appropriation .....	19,343	20,671	18,620
60.05 Appropriation (indefinite) .....	2,135		
62.50 Appropriation (total mandatory) .....	21,478	20,671	18,620
65.00 Advance appropriation .....	9,550	9,890	10,470
Offsetting collections (cash):			
69.00 Offsetting collections (cash) .....	3,399	3,265	3,655
69.00 Offsetting collections (cash) .....		305	10
69.90 Spending authority from offsetting collections (total mandatory) .....	3,399	3,570	3,665

70.00	Total new budget authority (gross) .....	36,867	36,804	35,711
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year .....	541	512	520
72.99	Obligated balance, start of year .....	541	512	520
73.10	Total new obligations .....	36,877	34,110	38,143
73.20	Total outlays (gross) .....	-36,887	-34,102	-38,096
73.45	Recoveries of prior year obligations .....	-19		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....	512	520	567
74.99	Obligated balance, end of year .....	512	520	567
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	2,409	2,357	2,608
86.93	Outlays from discretionary balances .....	15	323	316
86.97	Outlays from new mandatory authority .....	34,427	31,422	32,425
86.98	Outlays from mandatory balances .....	37		2,747
87.00	Total outlays (gross) .....	36,887	34,102	38,096
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-3,399	-3,570	-3,665
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	33,468	33,234	32,046
90.00	Outlays .....	33,488	30,532	34,431

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

**Object Classification** (in millions of dollars)

Identification code 28-0406-0-1-609	2000 actual	2001 est.	2002 est.	
<b>Direct obligations:</b>				
41.0	Grants, subsidies, and contributions .....	31,046	28,195	31,555
92.0	Undistributed .....	2,352	2,559	2,827
99.0	Subtotal, direct obligations .....	33,398	30,754	34,382
99.0	Reimbursable obligations .....	3,479	3,356	3,761
99.9	Total new obligations .....	36,877	34,110	38,143

**SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS**

**Program and Financing** (in millions of dollars)

Identification code 28-0401-0-1-701	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
10.00	Total new obligations .....	2	11	10
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	4	11	10
23.95	Total new obligations .....	-2	-11	-10
23.98	Unobligated balance expiring or withdrawn .....	-2		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.05	Appropriation (indefinite) .....	3	2	2
Mandatory:				
60.05	Appropriation (indefinite) .....	1	9	8
70.00	Total new budget authority (gross) .....	4	11	10
<b>Change in unpaid obligations:</b>				
Total new obligations .....				
73.10	Total new obligations .....	2	11	10
73.20	Total outlays (gross) .....	-2	-11	-10
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	1	2	2
86.97	Outlays from new mandatory authority .....	1	9	8
87.00	Total outlays (gross) .....	2	11	10

<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	4	11	10
90.00	Outlays .....	1	11	10

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old, were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II, and who are currently eligible for supplemental security income. To receive this benefit these individuals must reside outside the United States.

**Object Classification** (in millions of dollars)

Identification code 28-0401-0-1-701	2000 actual	2001 est.	2002 est.	
25.2	Other services .....	1	2	2
42.0	Insurance claims and indemnities .....	1	9	8
99.9	Total new obligations .....	2	11	10

**OFFICE OF INSPECTOR GENERAL**  
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$16,944,000] \$19,000,000**, together with not to exceed **[\$52,500,000] \$56,000,000**, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House and Senate. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing** (in millions of dollars)

Identification code 28-0400-0-1-651	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
10.00	Total new obligations .....	65	69	75
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	66	69	75
23.95	Total new obligations .....	-65	-69	-75
23.98	Unobligated balance expiring or withdrawn .....	-1		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	15	17	19
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash) .....	46	59	56
68.10	Change in uncollected customer payments from Federal sources .....	5	-7	
68.90	Spending authority from offsetting collections (total discretionary) .....	51	52	56
70.00	Total new budget authority (gross) .....	66	69	75
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year .....	1	14	7
72.95	Uncollected customer payments from Federal sources, start of year .....	-11	-16	-9
72.99	Obligated balance, start of year .....	-10	-2	-2
73.10	Total new obligations .....	65	69	75
73.20	Total outlays (gross) .....	-59	-76	-75
73.40	Adjustments in expired accounts (net) .....	7		
74.00	Change in uncollected customer payments from Federal sources .....	-5	7	
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....	14	7	7

**General and special funds—Continued**

**OFFICE OF INSPECTOR GENERAL—Continued  
(INCLUDING TRANSFER OF FUNDS)—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 28-0400-0-1-651	2000 actual	2001 est.	2002 est.
74.95 Uncollected customer payments from Federal sources, end of year .....	-16	-9	-9
74.99 Obligated balance, end of year .....	-2	-2	-2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	52	62	69
86.93 Outlays from discretionary balances .....	7	14	6
87.00 Total outlays (gross) .....	59	76	75
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-46	-59	-56
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources .....	-5	7	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	15	17	19
90.00 Outlays .....	13	17	19

The Office of the Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

**Object Classification (in millions of dollars)**

Identification code 28-0400-0-1-651	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	37	45	47
12.1 Civilian personnel benefits .....	11	13	14
21.0 Travel and transportation of persons .....	4	3	3
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	1	3
25.3 Purchases of goods and services from Government accounts .....	7	1	
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....			2
32.0 Land and structures .....			1
99.0 Subtotal, direct obligations .....	65	68	75
99.5 Below reporting threshold .....		1	
99.9 Total new obligations .....	65	69	75

**Personnel Summary**

Identification code 28-0400-0-1-651	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment .....	517	584	584

**Trust Funds**

**FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 20-8006-0-7-651	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year .....	731,056	859,907	995,562
<b>Receipts:</b>			
02.00 Transfers from general fund (FICA taxes) .....	391,732	409,951	431,704
02.01 Transfers from general fund (SECA taxes) .....	21,635	22,995	24,238
02.02 Refunds .....	-1,695	-2,030	-2,089
02.03 Deposits by states .....	5		

02.20 Treasury offset program .....	18	18	18
02.21 Attorney fees .....		1	1
02.40 Federal employer contributions (FICA taxes) .....	6,535	6,726	7,259
02.41 Interest received by trust funds .....	53,531	61,293	67,417
<b>Offsetting receipts (intragovernmental):</b>			
02.42 Federal payments to the FOASI trust fund .....	12,491	11,803	12,926
02.42 Federal payments to the FOASI trust fund, legislative proposal not subject to PAYGO .....			-131
02.43 Payments for military service credits .....	7	7	423
02.80 Current law, offsetting collections .....	2,343	2,598	2,689
02.99 Total receipts and collections .....	486,602	513,362	544,455
04.00 Total: Balances and collections .....	1,217,658	1,373,269	1,540,017
<b>Appropriations:</b>			
05.00 Current law .....	-357,751	-377,707	-394,097
05.99 Total appropriations .....	-357,751	-377,707	-394,097
07.99 Balance, end of year .....	859,907	995,562	1,145,920

**Program and Financing (in millions of dollars)**

Identification code 20-8006-0-7-651	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	357,729	377,669	394,058
09.01 Reimbursable program .....	22	39	39
10.00 Total new obligations .....	357,751	377,708	394,097
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	357,751	377,707	394,097
23.95 Total new obligations .....	-357,751	-377,708	-394,097

**New budget authority (gross), detail:**

<b>Discretionary:</b>			
40.26 Appropriation (trust fund, definite) .....	1,784	1,898	1,895
40.76 Reduction pursuant to P.L. 106-113 .....	-2		
43.00 Appropriation (total discretionary) .....	1,782	1,898	1,895
<b>Mandatory:</b>			
60.27 Appropriation (trust fund, indefinite) .....	482,477	508,865	540,002
60.45 Portion precluded from obligation .....	-128,851	-135,654	-150,489
62.50 Appropriation (total mandatory) .....	353,626	373,211	389,513
69.00 Offsetting collections (cash) .....	2,343	2,598	2,689
70.00 Total new budget authority (gross) .....	357,751	377,707	394,097

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year .....	31,320	33,301	34,636
72.99 Obligated balance, start of year .....	31,320	33,301	34,636
73.10 Total new obligations .....	357,751	377,708	394,097
73.20 Total outlays (gross) .....	-355,770	-376,373	-392,749
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	33,301	34,636	35,984
74.99 Obligated balance, end of year .....	33,301	34,636	35,984

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	1,562	1,758	1,774
86.93 Outlays from discretionary balances .....	238	284	140
86.97 Outlays from new mandatory authority .....	353,669	374,030	390,835
86.98 Outlays from mandatory balances .....	301	301	
87.00 Total outlays (gross) .....	355,770	376,373	392,749

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	-2,321	-2,559	-2,650
88.40 Non-Federal sources .....	-8	-8	-8
88.45 Offsetting governmental collections from the public .....	-14	-31	-31
88.90 Total, offsetting collections (cash) .....	-2,343	-2,598	-2,689

**Net budget authority and outlays:**

89.00 Budget authority .....	355,408	375,109	391,408
90.00 Outlays .....	353,427	373,775	390,060

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: Federal securities: Par value .....	762,226	893,519	1,030,615
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92.02	Total investments, end of year: Federal securities:			
	Par value .....	893,519	1,030,615	1,182,032

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

**Status of Funds** (in millions of dollars)

Identification code 20-8006-0-7-651	2000 actual	2001 est.	2002 est.	
<b>Unexpended balance, start of year:</b>				
0100	Treasury balance .....	150	-311	-419
0101	U.S. Securities: Par value .....	762,226	893,519	1,030,615
0199	<b>Total balance, start of year .....</b>	<b>762,376</b>	<b>893,208</b>	<b>1,030,198</b>
<b>Cash income during the year:</b>				
<b>Current law:</b>				
<b>Receipts:</b>				
1200	FICA taxes .....	391,732	409,951	431,704
1201	SECA Taxes .....	21,635	22,995	24,238
1202	Refunds .....	-1,695	-2,030	-2,089
1203	Deposits by States .....	5		
<b>Offsetting receipts (proprietary):</b>				
1220	Treasury offset program .....	18	18	18
1221	Attorney fees .....		1	1
<b>Offsetting receipts (intragovernmental):</b>				
1240	Federal employer contributions (FICA taxes) .....	6,535	6,726	7,259
1241	Interest received by trust fund .....	53,531	61,293	67,417
<b>Offsetting receipts (intragovernmental):</b>				
1242	Individual income taxes on OASI benefits .....	12,481	11,786	12,909
1242	Pension reform .....	3	6	6
1242	Credit for unnegotiated OASI checks .....	7	11	11
1243	Federal payments for military service credits .....	7	7	423
<b>Offsetting collections:</b>				
1280	Offsetting collections .....	2,343	2,598	2,689
1299	Income under present law .....	486,602	513,362	544,586
<b>Proposed legislation:</b>				
<b>Offsetting receipts (intragovernmental):</b>				
2242	Federal payments, legislative proposal subject to PAYGO .....			-131
3299	<b>Total cash income .....</b>	<b>486,602</b>	<b>513,362</b>	<b>544,455</b>
<b>Cash outgo during year:</b>				
<b>Current law:</b>				
<b>Cash outgo during the year (-):</b>				
4500	Benefit payments .....	-347,894	-368,300	-384,312
4500	Payments to Railroad Retirement Board .....	-3,538	-3,227	-3,627
4500	Administrative expenses (subject to limitation) .....	-1,800	-2,042	-1,914
4500	Administrative expenses (Department of Treasury) .....	-195	-206	-207
4500	Outlays from offsetting collections .....	-2,343	-2,598	-2,689
4599	Outgo under current law .....	-355,770	-376,373	-392,749
<b>Unexpended balance, end of year:</b>				
8700	Uninvested balance .....	-311	-419	-130
8701	Federal securities: Par value .....	893,519	1,030,615	1,182,032
8799	<b>Total balance, end of year .....</b>	<b>893,208</b>	<b>1,030,198</b>	<b>1,181,904</b>

**Object Classification** (in millions of dollars)

Identification code 20-8006-0-7-651	2000 actual	2001 est.	2002 est.	
<b>Direct obligations:</b>				
25.3	Office of the Inspector General .....	32	33	34
42.0	Retirement and survivors insurance benefits .....	349,809	369,595	385,599
<b>Undistributed:</b>				
92.0	Reimbursement for administrative expenses of Department of the Treasury .....	195	206	207
92.0	Payment to railroad retirement account (net settlement) (45 U.S.C. 228g) .....	3,538	3,227	3,627
<b>Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration:</b>				
<b>Limitation on expenses:</b>				
93.0	OASI program .....	1,834	2,049	1,941
93.0	SSI program .....	2,321	2,559	2,650
99.0	Subtotal, direct obligations .....	357,729	377,669	394,058
99.0	Reimbursable obligations .....	22	39	39
99.9	<b>Total new obligations .....</b>	<b>357,751</b>	<b>377,708</b>	<b>394,097</b>

**FEDERAL DISABILITY INSURANCE TRUST FUND**

**Unavailable Collections** (in millions of dollars)

Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.	
01.99	Balance, start of year .....	85,724	106,120	127,471
<b>Receipts:</b>				
02.00	Transfers from general fund (FICA taxes) .....	65,401	69,607	73,304
02.01	Transfers from general fund (SECA taxes) .....	3,772	3,692	4,118
02.02	Refunds .....	-270	-345	-355
02.03	Deposits by states .....	4		
02.20	Treasury offset program .....	26	26	26
02.22	Attorney fees .....	8	39	39
02.40	Federal employer contributions (FICA taxes) .....	1,093	1,142	1,233
02.41	Interest received by trust funds .....	6,265	7,593	8,669
<b>Offsetting receipts (intragovernmental):</b>				
02.42	Federal payments to the FDI trust fund .....	761	738	808
02.42	Federal payments to the FDI trust fund, legislative proposal not subject to PAYGO .....			-9
02.43	Payments for military service credits .....	2	2	2
02.99	<b>Total receipts and collections .....</b>	<b>77,062</b>	<b>82,494</b>	<b>87,835</b>
04.00	<b>Total: Balances and collections .....</b>	<b>162,786</b>	<b>188,614</b>	<b>215,306</b>
<b>Appropriations:</b>				
05.00	Federal disability insurance trust fund .....	-56,666	-61,143	-65,087
05.99	<b>Total appropriations .....</b>	<b>-56,666</b>	<b>-61,143</b>	<b>-65,087</b>
07.99	<b>Balance, end of year .....</b>	<b>106,120</b>	<b>127,471</b>	<b>150,219</b>

**Program and Financing** (in millions of dollars)

Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
10.00	Total new obligations .....	56,673	61,143	65,087
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	56,666	61,143	65,087
22.10	Resources available from recoveries of prior year obligations .....	7		
23.90	<b>Total budgetary resources available for obligation .....</b>	<b>56,673</b>	<b>61,143</b>	<b>65,087</b>
23.95	<b>Total new obligations .....</b>	<b>-56,673</b>	<b>-61,143</b>	<b>-65,087</b>
<b>New budget authority (gross), detail:</b>				
<b>Discretionary:</b>				
40.26	Appropriation (trust fund, definite) .....	1,435	1,532	1,606
40.76	Reduction pursuant to P.L. 106-113 .....	-22		
43.00	<b>Appropriation (total discretionary) .....</b>	<b>1,413</b>	<b>1,532</b>	<b>1,606</b>
<b>Mandatory:</b>				
60.27	Appropriation (trust fund, indefinite) .....	75,649	80,962	86,238
60.45	Portion precluded from obligation .....	-20,396	-21,351	-22,757
62.50	<b>Appropriation (total mandatory) .....</b>	<b>55,253</b>	<b>59,611</b>	<b>63,481</b>
70.00	<b>Total new budget authority (gross) .....</b>	<b>56,666</b>	<b>61,143</b>	<b>65,087</b>

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>				
72.40	Unpaid obligations, start of year .....	6,904	7,524	7,916
72.99	Obligated balance, start of year .....	6,904	7,524	7,916
73.10	Total new obligations .....	56,673	61,143	65,087
73.20	Total outlays (gross) .....	-56,046	-60,751	-64,710
73.45	Recoveries of prior year obligations .....	-7		
<b>Unpaid obligations, end of year:</b>				
74.40	Unpaid obligations, end of year .....	7,524	7,916	8,293
74.99	Obligated balance, end of year .....	7,524	7,916	8,293

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	1,377	1,386	1,465
86.93	Outlays from discretionary balances .....	198	178	146
86.97	Outlays from new mandatory authority .....	54,471	59,187	63,099
87.00	<b>Total outlays (gross) .....</b>	<b>56,046</b>	<b>60,751</b>	<b>64,710</b>

**Net budget authority and outlays:**

89.00	Budget authority .....	56,666	61,143	65,087
90.00	Outlays .....	56,046	60,751	64,710

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....	92,666	113,707	135,387
92.02 Total investments, end of year: Federal securities:			
Par value .....	113,707	135,387	158,521

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

Status of Funds (in millions of dollars)

Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	- 37	- 64	.....
0101 U.S. Securities: Par value .....	92,666	113,707	135,387
0199 Total balance, start of year .....	92,628	113,644	135,387
Cash income during the year:			
Current law:			
Receipts:			
1200 FICA taxes .....	65,401	69,607	73,304
1201 SECA Taxes .....	3,772	3,692	4,118
1202 Refunds .....	-270	-345	-355
1203 Deposits by States .....	4	.....	.....
Offsetting receipts (proprietary):			
1220 Treasury offset program .....	26	26	26
1222 Attorney user fees .....	8	39	39
Offsetting receipts (intragovernmental):			
1240 Federal employer contributions .....	1,093	1,142	1,233
1241 Interest received by trust fund .....	6,265	7,593	8,669
Offsetting receipts (intragovernmental):			
1242 Individual income taxes on DI benefits .....	759	735	805
1242 Credit for unnegotiated DI checks .....	2	3	3
1243 Federal payments for military service credits .....	2	2	2
1299 Income under present law .....	77,062	82,494	87,844
Proposed legislation:			
Offsetting receipts (intragovernmental):			
2242 Federal payments, legislative proposal not subject to PAYGO .....	.....	.....	-9
3299 Total cash income .....	77,062	82,494	87,835
Cash outgo during year:			
Current law:			
Cash outgo during the year (-):			
4500 Benefit payments .....	-54,210	-58,231	-62,787
4500 Payments to Railroad Retirement Board .....	-159	12	-185
4500 Administrative expenses (subject to limitation) .....	-1,575	-1,564	-1,611
4500 Administrative expenses (Department of Treasury) .....	-36	-40	-40
4500 Beneficiary services .....	-66	-86	-75
4500 Demonstration projects .....	.....	-6	-12
4500 Pre-1957 military service credits .....	.....	-836	.....
4599 Outgo under current law .....	-56,046	-60,751	-64,710
Unexpended balance, end of year:			
8700 Uninvested balance .....	-64	.....	-9
8701 Federal securities: Par value .....	113,707	135,387	158,521
8799 Total balance, end of year .....	113,644	135,387	158,512

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.
Purchases of goods and services from Government accounts:			
25.3 Office of the Inspector General .....	19	19	21
25.3 Beneficiary services .....	66	86	75
25.3 Demonstration projects .....	.....	12	12
42.0 Disability insurance benefits .....	54,939	58,649	63,169
44.0 Refunds—Pre-1957 military service credits .....	.....	836	.....
Undistributed:			
92.0 Reimbursement for administrative expenses of Department of the Treasury .....	36	40	40
92.0 Payment to railroad retirement account (net settlement) .....	159	-12	185

93.0 Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration .....	1,454	1,513	1,585
99.0 Subtotal, direct obligations .....	56,673	61,143	65,087
99.9 Total new obligations .....	56,673	61,143	65,087

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed **[\$10,000] \$35,000** for official reception and representation expenses, not more than **[\$6,583,000,000] \$7,035,000,000** may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: *Provided*, That not less than \$1,800,000 shall be for the Social Security Advisory Board: *Provided further*, That unobligated balances at the end of fiscal year **[2001] 2002** not needed for fiscal year **[2001] 2002** shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to section 7131 of title 5, United States Code, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

From funds provided under the previous paragraph, notwithstanding the provision under this heading in Public Law 106-113 regarding unobligated balances at the end of fiscal year 2000 not needed for such fiscal year, an amount not to exceed \$50,000,000 from such unobligated balances shall, in addition to funding already available under this heading for fiscal year 2001, be available for necessary expenses.

From funds provided under the first paragraph, not less than \$200,000,000 shall be available for conducting continuing disability reviews.

In addition to funding already available under this heading, and subject to the same terms and conditions, **[\$450,000,000] \$433,000,000**, to remain available until September 30, **[2002] 2003**, for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.

In addition, **[\$91,000,000] \$106,000,000** to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such section 1616(d) or 212(b)(3) in fiscal year **[2001] 2002** exceed **[\$91,000,000] \$106,000,000**, the amounts shall be available in fiscal year **[2002] 2003** only to the extent provided in advance in appropriations Acts.

From funds previously appropriated for this purpose, any unobligated balances at the end of fiscal year **[2000] 2001** shall be available to continue Federal-State partnerships which will evaluate means to promote Medicare buy-in programs targeted to elderly and disabled individuals under titles XVIII and XIX of the Social Security Act.

From funds provided under the first paragraph, up to \$6,000,000 shall be available for implementation, development, evaluation, and other costs associated with administration of section 302 of the Ticket to Work and Work Incentives Improvement Act. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct program .....	6,785	7,308	7,574

Reimbursable program .....	22	39	56
Total obligations .....	6,807	7,347	7,630
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
Appropriation: U.S. securities: Par value .....	288	134	
New budget authority (gross) .....	6,629	7,163	7,630
Unobligated balances transferred from prior years .....	54	50	
Recovery of prior year obligations .....	13		
Total budgetary resources available for obligation .....	6,984	7,347	7,630
New obligations .....	6,807	7,347	7,630
Unobligated balance expiring .....	43		
Unobligated balance available, end of year:			
Appropriation: U.S. securities: Par value .....	134		
<b>New budget authority (gross), detail:</b>			
Limitation on administrative expenses (LAE):			
Appropriations .....	6,192	6,674	7,141
Supplemental appropriation pursuant to P.L. 106-246 .....	35		
Reduction pursuant to P.L. 106-113 .....	-5		
Distribution by funding sources:			
Old Age and Survivors Insurance Trust Fund .....	1,750	1,865	1,860
Disability Insurance Trust Fund .....	1,209	1,273	1,352
Supplemental Security Income (SSI) Appropriation:			
Payment to OASI Trust Fund .....	2,142	2,349	2,627
Hospital Insurance Trust Fund .....	556	581	634
Supplementary Medical Insurance Trust Fund .....	482	513	560
Increased fee for administration of State supplemental payments .....	80	91	106
Special Benefits for Certain World War II Veterans permanent indefinite appropriation .....	3	2	2
Spending authority from reimbursable agreements .....	22	39	56
Subtotal, LAE .....	6,244	6,713	7,197
Adjustments to discretionary caps:			
Continuing disability reviews .....	405	450	433
Reduction pursuant to P.L. 106-113 .....	-20		
Total, including adjustments to discretionary caps .....	6,629	7,163	7,630
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value .....	1,221	1,277	1,269
New obligations .....	6,807	7,347	7,630
Total outlays (gross) .....	6,751	7,355	7,622
Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value .....	1,277	1,269	1,277
<b>Outlay (gross), detail:</b>			
Outlays from new current authority .....	5,880	6,459	6,909
Outlays from current balances .....	871	896	713
Total outlays (gross) .....	6,751	7,355	7,622
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources .....	14	26	42
Non-Federal sources .....	8	13	14
Total offsetting collections (cash) .....	22	39	56
<b>Net budget authority and outlays:</b>			
Budget authority .....	6,607	7,124	7,574
Outlays .....	6,729	7,316	7,566

The Limitation on administrative expenses account provides resources for the Social Security Administration (SSA) to administer the old-age, survivors, and disability insurance programs, the supplemental security income program, the special benefits for certain World War II veterans program and certain health insurance functions for the aged and disabled.

Object Classification (in millions of dollars)			
Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.
Limitation Acct—Direct Obligations:			
Personnel compensation:			
11.1	2,834	3,133	3,313
11.3	118	130	136
11.5	180	90	98
11.8	1	1	2
11.9	3,132	3,353	3,547
12.1	664	754	797
13.0	3	3	3
21.0	53	56	56
22.0	8	7	7
23.1	354	374	395
23.2	1	1	1
23.3			
24.0	272	277	274
24.0	39	41	41
25.1	14	7	7
25.2	1,627	1,710	1,790
25.3			
25.4	91	126	121
25.4	119	165	158
25.5	2	2	2
25.7	109	150	127
26.0	45	39	41
31.0	202	207	170
32.0	30	23	23
42.0	13	12	12
43.0	6		
93.0	-6,785	-7,308	-7,574
99.0			
Subtotal, limitation acct—direct obligations .....			
Limitation Acct—Reimbursable Obligations:			
Personnel compensation:			
11.1	11	17	18
11.5	1	1	5
11.9	12	18	23
12.1	3	4	4
23.1	2	2	2
23.3			
24.0	1	1	10
24.0			2
25.3			
25.4	1	11	12
25.4	1	1	1
25.7	1	1	1
31.0	1	1	1
93.0	-22	-39	-56
99.0			
Subtotal, limitation acct—reimbursable obligations .....			

**Personnel Summary**

Identification code 20-8007-0-7-651	2000 actual	2001 est.	2002 est.
Limitation account—direct:			
6001	61,698	62,310	62,580
Limitation account—reimbursable:			
7001	231	296	296

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Offsetting receipts from the public:			
75-241800	149	150	152
75-309600			
Recovery of beneficiary overpayments from SSI program .....	1,405	1,402	1,578
General Fund Offsetting receipts from the public .....	1,554	1,552	1,730
Intragovernmental payments:			
20-310520		836	
Quinquennial adjustment for military service credits, Federal disability insurance .....		836	
General Fund Intragovernmental payments .....		836	

As directed by Section 104 of P.L. 103–296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which shall be submitted by the President to the Congress without revision, together with the President’s annual budget for SSA.

Pursuant to this authority, the former Commissioner submitted an annual budget for fiscal year 2002 during the previous Administration. This annual budget was not submitted as part of a formal budget process, as agencies in the previous

Administration were not asked to submit FY 2002 requests. SSA, like other agencies, did not submit an FY 2002 budget request to the current Administration. The previous Commissioner’s budget included total administrative resources of \$8,122 million. This represented \$8,015 million for SSA administrative expenses, \$12 million for trust-fund financed demonstration projects authorized by Section 234 of the Social Security Act, and \$95 million for the Office of the Inspector General.